



NEW YORK
STATE OF
OPPORTUNITY™

FY 2020

Executive Budget Financial Plan

Updated for Governor's Amendments and Forecast Revisions

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Introduction

Introduction

The Governor submitted his Executive Budget proposal for Fiscal Year (FY) 2020 to the Legislature on January 15, 2019. The Governor submitted amendments to the Executive Budget for FY 2020 on February 14, 2019. The Executive Budget Financial Plan herein sets forth the multi-year (FY 2019 through FY 2023) forecast of receipts and disbursements based on the Governor's amended FY 2020 budget proposal. The State's FY 2020 will begin on April 1, 2019 and end on March 31, 2020.

The factors affecting the State's financial condition are numerous and complex. This Financial Plan contains "forward-looking statements" relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates, and data that it believes are reasonable as of publication, but that may be incorrect, incomplete, or imprecise or not reflective of results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words "expects", "forecasts", "projects", "intends", "anticipates", "estimates", "assumes", and analogous expressions are intended to identify forward-looking statements in this Financial Plan. Any such statements are inherently subject to a variety of risks and uncertainties that could cause results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to: general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions, and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State's expectations as of the date of this Financial Plan.

Significant Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Financial Plan is presented on a cash basis of accounting.¹

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

From time to time, the Division of the Budget (DOB) will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase "reserved for," are not held in distinct accounts within the General Fund, and may be used for other purposes.

¹ State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only. DOB does not use it to manage State finances during the fiscal year or update it on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

State Operating Funds is a broader measure of spending on operations (as distinct from capital purposes) funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Project Funds and Federal Funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, the State Operating Funds perspective is, in DOB's view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees, and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective. The State's adherence to a 2 percent annual spending growth benchmark is calculated on a State Operating Funds basis.

As described later, the Financial Plan reflects some actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies, and a more accurate accounting of the overall capital budget; (b) the payment of certain operating costs using available resources outside of the State Operating Funds basis of reporting; (c) the restructuring of the STAR program such that certain benefits are provided as a tax credit rather than a disbursement, consistent with other State tax credits; (d) appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTF, a change which increases reported disbursements from State Operating Funds; and (e) no longer appropriating certain receipts payable to the Metropolitan Transportation Authority (MTA). On balance, if these and other transactions are not executed or reported in a manner consistent with DOB's interpretation of legislation included with the FY 2019 Enacted Budget and FY 2020 Executive Budget, then annual spending growth in State Operating Funds would be higher than Financial Plan projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Project Funds and Federal Funds, in addition to State Operating Funds. The All Funds basis provides the most comprehensive view of the cash-basis financial operations of the State.

Financial Plan projections for future years may show budget gaps or surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, available to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll participants). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

The Financial Plan projections for FY 2021 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted in the Financial Plan, to no greater than 2 percent. Total disbursements in the tables and narrative contained in this Financial Plan do not reflect these assumed savings, which are reflected instead on a distinct line and labeled “Adherence to 2% Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors that are currently unknown. If the 2 percent annual State Operating Funds spending growth benchmark is exceeded, projected budget gaps would be higher (or projected surpluses would be lower).

Differences may occur from time to time between the State's Financial Plan and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, the Financial Plan may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

Summary of Revisions to the Initial Executive Budget

Summary of Revisions to the Initial Executive Budget

DOB has updated the Executive Budget Financial Plan (the “Updated Financial Plan” or “Updated Plan”) to reflect Governor’s amendments and forecast revisions to the initial Executive Budget Financial Plan (the “Initial Financial Plan” or “Initial Plan”). The changes to the Initial Plan are summarized here and are followed by the official Financial Plan projections reflecting the amended FY 2020 Executive Budget proposal. The forecast revisions rely on updated information on receipts and disbursements through January 2019.

Initial Financial Plan

On January 15, 2019, the Governor submitted to the Legislature the Initial Financial Plan for FY 2020. At the time of submission, DOB reported that the General Fund was expected to remain in balance in the current fiscal year (FY 2019), and that the Executive proposal for FY 2020, if enacted without modification, would eliminate the estimated budget gap for FY 2020 and reduce the budget gaps projected for FY 2021 through FY 2023.

In the Initial Plan, DOB noted that a shortfall in PIT receipts “appeared abruptly in the final weeks of December 2018 and has persisted through the early part of January 2019, a period that is typically marked by a relatively heavy flow of PIT receipts compared to the rest of the fiscal year.” The shortfall was observed in the estimated payment and withholding components of the PIT. In response to data available at the time, DOB reduced the annual estimates for General Fund tax receipts by \$396 million in FY 2019, \$1.6 billion in FY 2020, \$3 billion in FY 2021, \$3.4 billion in FY 2022, and \$4.1 billion in FY 2023.² DOB speculated on the factors that may have been contributing to the shortfall, including taxpayer behavior in response to Federal tax law changes, in particular, the Federal limit on state and local tax (SALT) deductions (the “SALT limit”), and weakness in the financial markets.

Update on Tax Receipts

PIT collections through January 2019 continued to be disappointing, making it clear that the downward revisions made in the Initial Financial Plan were not steep enough. PIT receipts from estimated payments in the current year were \$2.3 billion below the estimate in the Initial Plan. In addition, receipts from the withholding component were marginally below expectations.

² In comparison to the Mid-Year Update to the FY 2019 Financial Plan (November 2018). The revisions are exclusive of (a) changes in debt service on state revenue bonds, which affect the amount of tax receipts transferred to the General Fund after debt service requirements are satisfied, and (b) proposals to increase tax receipts in the Initial Financial Plan.

PIT Estimated Payments

Estimated payments are due quarterly on (or around) the 15th of April, June, September, and January. Prior to the SALT limit, taxpayers making estimated payments generally had an incentive to make the January payment in December to claim a deduction when they filed their Federal tax return due in April. The SALT limit, which effectively eliminated the incentive to make estimated payments by December 31, appears to have dramatically altered the estimated payment pattern. Estimated payments received in December 2018 were 88 percent lower than in December 2017. By late January 2019, the year-over-year decline in estimated payments for December/January had been reduced to 55 percent, as payments were received by the January deadline.

In the Initial Plan, DOB had estimated a year-over-year decline in estimated payments of approximately 28 percent in the current fiscal year. The steeper decline translates into a \$2.3 billion loss in PIT receipts in the current fiscal year compared to the Initial Plan.

As collections of PIT estimated payments in the current fiscal year are virtually complete, the estimated recuperation of the receipts shortfall will remain unknown until late April, when taxpayers will have either finalized their 2018 returns or filed for an extension accompanied by a payment. In the Updated Financial Plan, DOB estimates that approximately \$1.4 billion of the \$2.3 billion shortfall will be recovered in the April settlement that occurs in first quarter of FY 2020. This forecast for the April 2019 settlement implies a \$900 million reduction in receipts related to 2018 non-wage income. The reduction is consistent with DOB's downward revision of \$10.5 billion to 2018 non-wage income compared to the Initial Financial Plan. Capital gains, which comprise about 42 percent of non-wage income, are now estimated to decline by approximately 3.1 percent for the 2018 tax year, compared to a decline of 0.1 percent in the Initial Financial Plan.

PIT Withholding

Withholding which largely comes from current wages, including bonus income, was marginally weaker than expected compared to the Initial Plan. Preliminary January withholding receipts fell short of the Executive target by \$117 million due to weakness in bonus payments. A substantial decline in early January withholding had been expected, due to late December bonus payments, but the remainder of January proved disappointing, growing at just 1.2 percent over the same period last year. Based on this information, DOB is reducing the estimate for withholding by \$261 million in the current fiscal year and \$344 million in FY 2020. The changes are consistent with DOB's updated estimates for finance and insurance-sector bonuses and wage growth.



Summary of Revisions to the Initial Executive Budget

Updated Financial Plan

In the Updated Financial Plan, DOB has reduced the annual estimate for tax receipts in total by \$2.6 billion in FY 2019, \$1.2 billion in FY 2020, and similar amounts in the following fiscal years as PIT receipts grow from a lower collections base. DOB believes that the revisions are reasonable and consistent with available data, but there can be no assurance that actual receipts collections will not vary materially and adversely from these revised estimates.

In addition to the revision to PIT receipts, the Updated Financial Plan reflects the costs of a new labor contract with the union representing State correctional officers, whose members ratified a seven-year contract on January 24, 2019. The contract, which is patterned on the agreements of other State employee unions, covers FY 2017 through FY 2023. The Updated Financial Plan expects that the Legislature will authorize the necessary pay bill and that retroactive payments for the contract period from April 1, 2016 through the present will be paid in the current fiscal year.

The Updated Financial Plan reflects a combination of legislative and administrative actions to address the receipts shortfall and costs of the labor contract. DOB expects that the actions will be sufficient to maintain budget balance in the current year and ensure a balanced budget proposal for FY 2020.³

The following table shows the revisions to the Initial Plan and is followed by a discussion of significant proposals and revisions.

³ Assumes the Governor's Executive Budget, as amended, is enacted without modification by the Legislature.

Summary of Revisions to the Initial Executive Budget



GENERAL FUND SURPLUS/(GAP) PROJECTIONS: INITIAL TO UPDATED EXECUTIVE BUDGET					
(millions of dollars)					
	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>	<u>FY 2023</u>
INITIAL EXECUTIVE BUDGET SURPLUS/(GAP)	0	0	(4,336)	(3,690)	(3,793)
Receipts Revisions	(1,844)	(1,109)	(1,735)	(1,838)	(2,204)
Tax Receipts (before Debt Service)	(2,562)	(1,172)	(1,780)	(1,892)	(2,248)
Monetary Settlements	86	0	0	0	0
Non-Tax Receipts	632	63	45	54	44
Disbursement Revisions	1,439	609	1,312	950	870
Local Assistance	499	896	957	679	680
Agency Operations	177	(387)	355	271	165
Capital Projects Transfers	750	100	0	0	25
Other Transfers	13	0	0	0	0
Use/(Reserve) of Fund Balance	405	500	0	0	(25)
Settlements Received	(86)	0	0	0	0
Labor Contracts	155	0	0	0	0
Debt Reduction Reserve	0	500	0	0	0
Settlements Used for Operations	336	0	0	0	(25)
UPDATED EXECUTIVE BUDGET SURPLUS/(GAP)	0	0	(4,759)	(4,578)	(5,152)
Change v. Initial Executive	0	0	(423)	(888)	(1,359)

Summary of Amendments and Revisions

The Updated Financial Plan is balanced in FY 2019 and FY 2020. The reduction in PIT receipts and the labor contract costs are fully offset by a combination of new proposals and administrative actions. Recurring savings reflect new gap-closing proposals, as described below, and revisions to General Fund spending and available resources across most Financial Plan categories. The savings are derived from the cautious estimation of expenses and enacting budgets annually that include savings actions in excess of what is needed to ensure budget balance.

With less than two months remaining in FY 2019, it is necessary to cover a portion of the revenue shortfall in the current fiscal year with one-time resources, including the use of fund balances. These resources include: \$750 million from reimbursing General Fund capital advances made in prior fiscal years; \$250 million of the \$500 million in settlements that the Executive Budget had proposed to fund a share of new \$2.5 billion water grants (these grants will now be funded with bonds); \$86 million in new settlements received since the Initial Plan; and \$310 million from balances accumulated in other funds.

General Fund Receipts

- **Tax Receipts.** Revisions to the Initial Plan are largely concentrated in the PIT, which is reduced by \$2.6 billion in FY 2019 and \$1.2 billion in FY 2020. Specifically, the estimated payment component of PIT has been lowered by \$2.3 billion in the current year and \$900 million in FY 2020, and the withholding component by \$261 million and \$344 million, respectively. The impact of the reductions is carried through the outyears of the Financial Plan, consistent with a lower base for both components. In addition, the Executive amendments accelerates the implementation date of the proposed internet fairness conformity tax from September 1 to June 1. This revision is expected to generate an additional \$71 million in State sales tax receipts in FY 2020.
- **Monetary Settlements.** The State has received another \$86 million in new settlements since the Initial Plan. DOB plans to use the full amount in FY 2019 to maintain a balanced budget.
- **Non-Tax Receipts.** The increase mainly reflects the transfer to the General Fund of excess balances identified by DOB and not needed to fund program commitments. Many of the transfers were planned in prior years but held aside when they were not needed.

General Fund Disbursements

- **Local Assistance.** The Updated Plan includes \$1.4 billion in savings over the two years. As part of the gap-closing plan, an amendment to the Initial Budget has been introduced to provide additional savings actions within the Medicaid program (\$550 million). Other savings reflect cautious estimates of expenses in the General Fund and an increase in the amount of HCRA receipts expected to be available to offset General Fund Medicaid costs. In addition, the amended Executive Budget will ensure that towns and villages are held harmless from the impact of the elimination of AIM in low-reliance municipalities by requiring counties to use additional sales tax revenue from the Executive proposed internet fairness conformity tax to make any affected town or village whole.
- **State Operations (including Fringe Benefits):** NYSCOPBA members on January 24, ratified a seven-year contract covering FY 2017 through FY 2023. The contract terms are similar to those of the other employee unions for this period. The FY 2019 cost is estimated at \$205 million, which includes retroactive payments from FY 2017 to the present. The FY 2020 cost is estimated at \$157 million. The costs of the contract will be funded with the money reserved for potential labor agreements (\$155 million), and savings from agency operations, consistent with other labor agreements.

Savings in State Operations compared to the Initial Plan reflect the cautious estimation of costs for agency operations and the recurring impact of routine cost controls. In addition, an amendment submitted to the Executive Budget would allow for the closure of up to three prisons in FY 2020. Lastly, in the outyears of the Financial Plan, the cost of agency operations is further reduced by accelerating the repayment prior-year pension debt using \$500 million in cash set aside for debt management.

- **Transfers.** The Updated Plan includes \$750 million in FY 2019 and \$100 million in FY 2020 from reimbursing General Fund capital advances made in prior fiscal years, as well as revisions based on program commitments.



Summary of Revisions to the Initial Executive Budget

Use of Fund Balance

- **Reserves.** The Updated Financial Plan includes the use of money reserved for labor contracts and debt reduction as described above.
- **Monetary Settlements.** To help offset the PIT receipts revisions in the current year, DOB proposes using \$250 million of the \$500 million in monetary settlements previously earmarked for water grants and the \$86 million in new settlements noted above.

The Executive Budget Financial Plan that follows reflects the Governor's FY 2020 budget proposal inclusive of the amendments and forecast revisions described above.

Overview of the Financial Plan



Overview of the Financial Plan

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2018 ¹	FY 2019	FY 2020	
	Results	Current Estimate	Before Changes ³	Executive Proposed
State Operating Funds Disbursements				
Size of Budget	\$98,151	\$100,144	\$104,806	\$101,958
Annual Growth	2.0%	2.0%	4.7%	1.8%
Other Disbursement Measures				
General Fund (Excluding Transfers) ²	\$59,872	\$62,538	\$67,201	\$64,423
Annual Growth	3.2%	4.5%	7.5%	3.0%
General Fund (Including Transfers) ^{2,4}	\$69,724	\$72,542	\$79,291	\$75,865
Annual Growth	2.4%	4.0%	9.3%	4.6%
Capital Budget (Federal and State)	\$10,640	\$13,131	\$13,099	\$13,426
Annual Growth	4.8%	23.4%	-0.2%	2.2%
Federal Operating Aid	\$54,953	\$58,456	\$60,108	\$59,759
Annual Growth	8.5%	6.4%	2.8%	2.2%
All Funds ⁵	\$163,744	\$171,731	\$178,013	\$175,143
Annual Growth	4.3%	4.9%	3.7%	2.0%
Capital Budget (Including "Off-Budget" Capital) ⁶	\$11,284	\$13,792	\$13,730	\$14,044
Annual Growth	5.1%	22.2%	-0.4%	1.8%
All Funds (Including "Off-Budget" Capital) ⁶	\$164,388	\$172,392	\$178,644	\$175,761
Annual Growth	4.3%	4.9%	3.6%	2.0%
Inflation (CPI)	2.1%	2.3%	2.4%	2.1%
All Funds Receipts				
Taxes	\$79,266	\$74,976	\$82,762	\$80,809
Annual Growth	6.6%	-5.4%	10.4%	7.8%
Miscellaneous Receipts	\$27,262	\$31,345	\$26,474	\$27,797
Annual Growth	2.5%	15.0%	-15.5%	-11.3%
Federal Receipts (Operating and Capital)	\$58,942	\$62,879	\$63,372	\$63,772
Annual Growth	6.4%	6.7%	0.8%	1.4%
Total Receipts ⁶	\$165,470	\$169,200	\$172,608	\$172,378
Annual Growth	5.8%	2.3%	2.0%	1.9%
General Fund Cash Balance				
Tax Stabilization/Rainy Day Reserve	\$9,445	\$6,545	\$5,389	\$4,998
Extraordinary Monetary Settlements	\$1,798	\$2,048	\$1,798	\$2,286
All Other Reserves/Fund Balances	\$5,020	\$3,943	\$2,908	\$2,684
	\$2,627	\$554	\$683	\$28
Debt				
Debt Service as % All Funds Receipts	4.0%	3.6%	3.3%	3.3%
State-Related Debt Outstanding	\$51,631	\$53,576	\$57,088	\$57,281
Debt Outstanding as % Personal Income	4.0%	4.0%	4.1%	4.1%
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	117,397	119,327	118,868	119,449

¹ Results as reported by the State Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting, released July 2018.

² For comparability purposes, FY 2019 and FY 2020 General Fund disbursements, before and after transfers, are adjusted to exclude (1) the reclassification of mental hygiene funds to the General Fund from Special Revenue Funds and (2) movement of certain DOT and DMV operating expenses to the General Fund from the DHBT, both of which took effect in FY 2019.

³ As reported in the FY 2019 Mid-Year Update. Before Executive Budget proposals to balance the FY 2020 Budget.

⁴ Includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds to support designated purposes.

⁵ All Funds disbursements are expected to exceed receipts (including other financing sources) in FY 2019 and FY 2020 with the difference funded from other available resources, including Extraordinary Monetary Settlements and bond proceeds used to reimburse capital spending planned from other funding sources in the first instance.

⁶ Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

Executive Summary

FY 2020 Executive Budget

The Executive Budget is balanced in the General Fund, eliminating a FY 2020 budget gap estimated at \$3.1 billion in the Mid-Year Update, as well as the additional shortfall caused by the reduction in projected tax receipts. The budget gaps for future years are reduced to \$4.8 billion in FY 2021, \$4.6 billion in FY 2022, and \$5.2 billion in FY 2023, before actions to hold spending growth at the 2 percent limit.

The following table summarizes the multi-year impact of the Executive Budget Financial Plan on General Fund operations. It is followed by a discussion of significant proposals and revisions in each major Financial Plan category. The estimates assume that the Executive Budget is adopted as proposed without modification.

FY 2020 EXECUTIVE BUDGET GAP-CLOSING PLAN GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
MID-YEAR UPDATE SURPLUS/(GAP)	(3,070)	(6,429)	(6,551)	(6,735)
Tax Receipts Reestimates	(2,811)	(4,747)	(5,241)	(5,970)
Spending Changes	4,463	1,994	1,569	1,639
Local Assistance	2,882	2,090	1,796	1,835
Agency Operations	(104)	214	133	77
Debt Service (including prepayment)	843	(52)	(69)	18
All Other	842	(258)	(291)	(291)
Revenue Actions/Resource Changes	1,418	4,423	5,645	5,914
EXECUTIVE BUDGET SURPLUS/(GAP) ¹	0	(4,759)	(4,578)	(5,152)
Adherence to 2% SOF Spending - Calculated Savings ²	0	2,721	4,088	5,869
EXECUTIVE BUDGET SURPLUS/(GAP)	0	(2,038)	(490)	717

¹ Before actions to adhere to the 2 percent benchmark.

² Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2019 estimate). The Governor is expected to propose, and negotiate with the Legislature to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.



Overview of the Financial Plan

DOB estimates that if future budgets hold spending growth in State Operating Funds to 2 percent annually, the General Fund would have budget gaps of \$2.0 billion in FY 2021 and \$490 million in FY 2022, followed by a surplus of \$717 million in FY 2023.⁴ These calculations assume that all savings from the reductions in spending are made available to the General Fund.

The Executive Budget Financial Plan reductions include re-estimates to spending based on updated information, specific cost-containment and tax action proposals, and the prepayment of FY 2020 expenses from excess resources expected to be available in FY 2019.

Local Assistance

In comparison to the current services estimate, General Fund disbursements would be reduced by \$2.9 billion in FY 2020 if the Executive Budget is adopted as proposed. Savings from the reductions, which consist of specific actions and re-estimates to the spending base, are expected to increase in value over the Financial Plan period.

School Aid and Medicaid are the State's largest local assistance programs, comprising over 45 percent of the State Operating Funds budget. The Executive Budget provides for the following:

- **School Aid.** The Executive Budget recommends \$27.7 billion in School Aid for school year (SY) 2020, an increase of \$1 billion (3.6 percent). Starting in FY 2020, the Budget proposes legislation to amend and align the School Aid growth cap to the ten-year average growth in personal income. This is consistent with the Medicaid spending cap (or "Global Cap") index, which is based on the ten-year rolling average of the Medical Care Consumer Price Index (CPI). The FY 2020 Executive Budget proposed School Aid increase of 3.6 percent is consistent with the proposed change to the index.
- **Health Care/Medicaid.** Spending under the Global Cap is expected to total \$19.4 billion in FY 2020, an increase of \$568 million, consistent with the statutory index of 3 percent. The Global Cap is expected to provide \$990 million in General Fund savings in FY 2020. Total Medicaid spending, including spending outside the Global Cap, is expected to increase by \$735 million to \$21.1 billion in FY 2020.

Other General Fund savings in local assistance are from deferring the planned human services Cost-of-Living Adjustment (COLA) in FY 2020, modifying cost-sharing arrangements with local governments, and updating cost estimates for a range of State programs, which reflect, in part, the continuing impact of cost containment and spending controls enacted in prior years.

⁴ As State Operating Funds is currently constituted.

Agency Operations

Continued cost controls and measures to reduce operating costs, including fringe benefits, for Executive agencies are expected in FY 2020. The Budget proposes flat spending on agency operations with limited exceptions, such as costs associated with the Raise the Age initiative. Agencies are expected to continue to reduce the cost of service delivery, improve administrative practices, and pursue statewide solutions, including Lean initiatives to streamline operations and management. The Budget also includes savings from the closure of up to three prisons and interest savings from the payment of the State's pension bill in April 2019.

Between FY 2011 and FY 2016 the State borrowed from the retirement system at interest rates of 3 to 5 percent under the statutory pension contribution stabilization program, to smooth pension costs after heavy investment losses during the 2008 recession. The Executive Budget proposes accelerating the repayment of \$500 million of prior-year pension obligations in FY 2020 if fiscal conditions permit. The FY 2020 payment will reduce loans with above market interest rates and generate \$33 million of interest savings through FY 2023.

Debt Service

Savings are expected from the sale of at least 50 percent of bonds on a competitive basis; the refunding of bonds that meet DOB's savings criteria; the reimbursement of first-instance capital expenditures made in prior years with available bond proceeds; and other measures. Savings are expected to be offset in part by new debt service on bonds issued to finance capital projects.

In addition, the Executive Budget gap-closing plan assumes payment in FY 2019 of \$620 million of debt service due in FY 2020. This is in addition to \$145 million in debt service prepayments included in the prior Financial Plan update. The level of prepayments may change, depending on FY 2019 operating results.

Tax Actions/Revisions

The Executive Budget extends the current top income tax rate for high-income earners for five years, through calendar year 2024⁵. The current rate has been in place since January 1, 2012, when the top rate was scheduled to revert to 6.85 percent from 8.97 percent but was instead renewed at 8.82 percent. The Budget also extends for five years the provision that limits the amount of charitable contributions that can be deducted by high-income taxpayers from State taxes.

Proposed tax actions also include requiring online marketplace providers to collect sales taxes, a tax on vapor products, the discontinuation of the sales tax exemption on energy services, and various tax credit extensions.

⁵ The FY 2022 and FY 2023 estimates for the proposed extension have been revised in this Financial Plan compare to the estimates included in the Initial Financial Plan.



Other Changes

Other changes include upward revisions to the estimated Federal share of mental hygiene expenses and increases in the level of resources available in other funds, as well as accounting changes for certain transactions.

Cash Position

DOB estimates the General Fund would end FY 2020 with a closing balance of \$5.0 billion, a decrease of \$1.5 billion from FY 2019, if the Executive Budget is adopted without modification. The decrease reflects the planned use of Extraordinary Monetary Settlements to fund activities appropriated from capital projects funds (\$1 billion) and the planned use of the debt management reserve to payoff pension obligations incurred in prior years (\$500 million). In addition, the Financial Plan reflects a \$238 million deposit to the Rainy Day reserve in FY 2020 funded from settlements.

DOB expects that the State will have sufficient liquidity in FY 2020 to make all planned payments as they become due without having to temporarily borrow from the Short-Term Investment Pool (STIP). The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

Other Financial Plan Matters

State Response to Federal Tax Changes

The FY 2019 Enacted Budget included State tax reforms intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, the creation of an optional payroll tax program, and the establishment of a new State charitable giving vehicle. For Tax Year 2019, 262 employers have elected to participate in the Employer Compensation Expense Program (ECEP). DOB estimates employers will remit roughly \$2 million under the new program. Amounts on deposit in the Charitable Gifts Trust Fund at the close of calendar year 2018 are appropriated for authorized purposes in the FY 2020 Budget, as planned. The State has \$93 million on deposit in the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively).

Managing Risks

Economic uncertainties and actions by the Federal government continue to pose a heightened risk to State finances. Similar to FY 2019, the Executive Budget includes several measures to respond to uncertainties and Federal risks, including the following provisions:

- Legislation is proposed that would allow for across-the-board reductions to certain local assistance disbursements if the annual estimate for tax receipts in FY 2020 is revised downward by \$500 million or more during the fiscal year. The legislation provides for a uniform reduction of up to 3 percent to local assistance appropriations and related cash disbursements in the General Fund and State Special Revenue Funds. Programs that are exempt from the across-the-board reductions include School Aid, Medicaid, and public assistance.
- State legislation, proposed with the FY 2020 Executive Budget, continues authorization for a process by which the State would manage significant reductions in Federal aid during FY 2020 should they arise. Specifically, the legislation allows the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal policymakers (a) reduce Federal Financial Participation (FFP) in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid in funding to New York State that affects the State Operating Funds Financial Plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both houses, or the plan submitted by the Budget Director takes effect automatically.
- The Governor's Executive Budget amendments include proposed legislation to protect the State's fiscal integrity by requiring bills with a fiscal impact passed after the budget has been enacted to be accompanied by a plan to pay for the costs of such bills.

Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 1.8 percent from FY 2019 to FY 2020, consistent with the 2 percent spending growth benchmark. The table below illustrates the change in annual State spending by major program, purpose and fund.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2019	FY 2020	Annual Change	
	Current	Proposed	\$	%
LOCAL ASSISTANCE	66,338	67,421	1,083	1.6%
School Aid (School Year Basis)	26,734	27,690	956	3.6%
DOH Medicaid ¹	20,400	21,135	735	3.6%
Transportation	3,936	3,534	(402)	-10.2%
STAR ²	2,424	2,186	(238)	-9.8%
Social Services	2,846	2,831	(15)	-0.5%
Higher Education	3,002	2,945	(57)	-1.9%
Mental Hygiene ³	2,176	1,978	(198)	-9.1%
All Other ⁴	4,820	5,122	302	6.3%
STATE OPERATIONS/GENERAL STATE CHARGES	27,831	28,843	1,012	3.6%
State Operations	19,403	19,609	206	1.1%
Personal Service:	<u>13,795</u>	<u>14,052</u>	<u>257</u>	<u>1.9%</u>
Executive Agencies	7,651	7,675	24	0.3%
University Systems	4,060	4,232	172	4.2%
Elected Officials	2,084	2,145	61	2.9%
Non-Personal Service:	<u>5,608</u>	<u>5,557</u>	<u>(51)</u>	<u>-0.9%</u>
Executive Agencies	2,890	2,894	4	0.1%
University Systems	2,115	2,068	(47)	-2.2%
Elected Officials	603	595	(8)	-1.3%
General State Charges	8,428	9,234	806	9.6%
Pension Contribution	2,422	2,963	541	22.3%
Health Insurance	4,196	4,307	111	2.6%
Other Fringe Benefits/Fixed Costs	1,810	1,964	154	8.5%
DEBT SERVICE	5,975	5,694	(281)	-4.7%
TOTAL STATE OPERATING FUNDS	100,144	101,958	1,814	1.8%
Capital Projects (State and Federal Funds)	13,131	13,426	295	2.2%
Federal Operating Aid	58,456	59,759	1,303	2.2%
TOTAL ALL GOVERNMENTAL FUNDS	171,731	175,143	3,412	2.0%

¹ Total State share Medicaid funding excludes Master Settlement Agreement (MSA) payments to the State that will be deposited directly to a Medicaid Escrow Fund to defray the cost of the State's takeover of Medicaid costs for counties and New York City.

² The FY 2018 Enacted Budget converted the New York City Personal Income Tax (PIT) rate reduction benefit to a nonrefundable State PIT credit. This change has no impact on STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Multi-Year Projections" herein.

³ Mental Hygiene spending is estimated at \$4.0 billion in FY 2020, an increase of 4.8 percent from FY 2019. However, a large portion of funding occurs under the Medicaid Global Cap.

⁴ "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State fiscal year basis, School Aid is estimated to total \$27.2 billion in FY 2020, an increase of \$749 million from FY 2019. It also reflects a reduction for the portion of the State's takeover of Medicaid costs for counties and New York City that will be funded from MSA payments deposited directly to a Medicaid Escrow Fund (\$435 million in FY 2019 and \$327 million in FY 2020), which reduces reported disbursements. Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety, as well as reclassifications.

State Operating Funds Spending

State Operating Funds encompasses a wide range of State activities funded from income sources outside of the General Fund, including dedicated tax revenues, tuition, patient income, and assessments. Activities funded with these income sources often have no direct bearing on the State's ability to maintain a balanced budget in the General Fund, but nonetheless are captured in State Operating Funds and subject to the 2 percent spending limit. Activities funded from these "own-source" revenues contribute to annual spending growth.

State Operating Funds spending growth in recent years also reflects the State's effort to address long-standing public policy issues. In FY 2015, the State absorbed the full cost of growth in Medicaid on behalf of local governments, creating the most significant mandate relief initiative for counties in decades. The State takeover of local government Medicaid costs had been proposed as early as 1991, followed by many subsequent unsuccessful attempts. In FY 2017, the State enacted a law that provides for predictable increases in the minimum wage. In 1970, the State's minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods of no change. By 2013, in inflation-adjusted dollars, the value of the State's minimum wage had fallen to about 65 percent of its 1970 value. FY 2020 State Operating Funds spending increases by roughly \$700 million for these two policy initiatives.

Summary of Annual Spending Change

Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals. Medicaid and School Aid are the State's largest local aid programs, comprising over 45 percent of State Operating Funds spending.

In SY 2020, School Aid is expected to total \$27.7 billion, an increase of nearly \$1 billion (3.6 percent), including a \$338 million increase in Foundation Aid. Medicaid spending subject to the Global Cap) will grow at the indexed rate of 3.0 percent (\$568 million) to \$19.4 billion. In total, local Medicaid spending funded from State resources will increase by \$735 million to \$21.1 billion in FY 2020.

Several factors are responsible for the annual decline in transportation spending in State Operating Funds. In FY 2019, the State made an extraordinary operating aid payment of \$194 million for the Subway Action Plan and remitted \$135 million in residual payroll mobility tax (PMT) collections held in fund balances to the MTA. In addition, similar to changes made in FY 2019 related to PMT, the Executive Budget proposes that several taxes and fees collected by the State and remitted to MTA will no longer be subject to annual appropriation by the State Legislature. Previously, the State collected these revenues on behalf of, and disbursed the entire amount to, the MTA. Similar to the PMT, these taxes would be remitted directly to MTA, increasing timeliness and removing any uncertainty related to the annual appropriation process. Consistent with the proposed statutory change, the Financial Plan does not include the receipts and related local assistance disbursements for FY 2020 of these taxes and fees. The estimated value of the taxes and fees in FY 2020 is approximately \$300 million. The annual decline is offset in part by a \$160 million increase in disbursements from the Mass Transportation Operating Assistance Fund, which is funded by the expected growth in dedicated taxes and existing fund balance.

STAR spending is affected by the conversion of STAR benefits to State PIT credits. The conversion has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$937 million in FY 2019 and \$1.2 billion in FY 2020). Additionally, proposed Executive Budget actions to reduce the income limit for the Basic STAR exemption from \$500,000 to \$250,000 and hold annual growth in the STAR exemption benefit flat will decrease STAR program spending over the course of the Financial Plan as participation shifts from the exemption program to the credit program.

Social services spending reflects the use of available Temporary Assistance for Needy Families (TANF) funding to offset state costs in Child Care and Advantage Afterschool programs, deferral of the FY 2020 human services COLA, and the proposed establishment of a New York City (NYC) share for the Family Assistance Program.

Higher Education spending is offset by a change in accounting for Tuition Assistance Program (TAP) payments made to State operated the State University of New York (SUNY) campuses. The Executive Budget Financial Plan reflects a modification to the accounting and Higher Education Services Corporation (HESC) TAP payments made to SUNY on behalf of student recipients. This change eliminates recording the payment twice on a State Funds basis – first from the General Fund to SUNY and again by SUNY to fund operating expenses. The TAP payment will now be reflected as a transfer to SUNY, like Medicaid payments made to State-operated health facilities on behalf of Medicaid recipients. These changes are partly offset by the third phase of the Excelsior Free Tuition Program and City University of New York (CUNY) fringe benefit growth.

Mental hygiene spending increases reflect enhancements in community mental health services, community-based employment opportunities for individuals with disabilities, increased funding to not-for-profit providers for minimum wage increases, and additional resources to address the heroin and opioid crisis. However, the State Operating Funds growth is more than offset by the fact that a significant portion of mental hygiene spending is funded with available resources from the Medicaid Global Cap. This technical adjustment lowers reported mental hygiene spending and increases Medicaid spending by an identical amount. This transaction has no impact on mental hygiene service delivery or operations.

Local assistance spending reported in the Financial Plan is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, NYC is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State aid. The legislation specified that the money refunded from STARC could be received by the State as a miscellaneous receipt or directed by the State to a public authority to offset debt service costs on State-supported bonds. The Legislature authorized, via specific language in the STARC appropriation, that the STARC refunding money recouped from NYC be treated as an offset to State spending. The Financial Plan reflects an offset to spending of \$170 million in FY 2019 and \$17 million in FY 2020. In prior years, the reimbursement money was reflected as a miscellaneous receipt.



State Operations

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (e.g., supplies, utilities). Spending for Executive agency operations is expected to increase by 0.3 percent in FY 2020. Growth is mainly due to the annualization of certain DOT operating costs related to snow and ice removal and pay increases.

Operations spending for the University Systems and elected officials -- the Attorney General, Comptroller, Legislature and Judiciary -- is expected to increase by 2 percent.

The annual spending growth for fringe benefits is due mainly to rising health care and prescription drug costs for State employees and retirees, and Workers' Compensation expenses. In addition, DOB plans to accelerate the repayment of \$500 million of amortized pension costs in FY 2020 if fiscal conditions permit, which is expected to generate \$33 million of interest savings through FY 2023.

Debt Service

Debt service declines from FY 2019 to FY 2020 due to the prepayment of debt service. DOB determines the level of prepayments each year based on operating results, resources on hand, and other factors. DOB has made prepayments of debt annually for several years. In FY 2020, the effect of prepayments is offset in part by the expected growth in debt service on State-supported debt.

General Fund Financial Plan

General Fund Cash-Basis Financial Plan

FY 2019 Financial Plan Update

The General Fund Financial Plan reflects two fund reclassifications approved in the FY 2019 Enacted Budget. The changes had no net impact on General Fund operations but affect the reporting of total receipts and disbursements, as well as financial plan categories.

The reclassifications affect the comparability of FY 2018 results to FY 2019 estimates. In addition, General Fund receipts and disbursements continue to be affected by the receipt and use of Extraordinary Monetary Settlements. The following comparison of FY 2019 receipts and disbursements to FY 2018 results excludes (a) the receipt and use of Extraordinary Monetary Settlements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that took effect in FY 2019. See “Financial Plan Tables and Accompanying Notes” herein for the unadjusted estimates for FY 2019.

Receipts

General Fund receipts, including transfers from other funds, are projected to total \$68.5 billion in FY 2019, a decrease of \$2.1 billion (3.0 percent) from FY 2018 results. The annual change is affected by taxpayers responding to the Tax Cuts and Jobs Act of 2017 (TCJA) by increasing current estimated payments in the final year of uncapped SALT deductions. Specifically, FY 2019 receipts are negatively affected by an increase in FY 2018 current estimated payments, at the expense of FY 2019 extensions. In addition, FY 2019 receipts are negatively affected by a decrease in FY 2019 current estimated payments, to the benefit of FY 2020 extensions.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$43.2 billion, a decrease of \$3.8 million. This decline is attributable to the shifting payment pattern described above.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.5 billion, an annual increase of \$278 million (2.1 percent). This reflects projected growth in disposable income and taxable consumption.

General Fund business tax receipts are estimated at \$5.4 billion, an annual increase of \$480 million (9.8 percent) from FY 2018 results. This growth is due to projected higher gross receipts due to a projected increase in corporate profits and various TCJA flow-through impacts.

Other tax receipts to the General Fund are expected to total \$2.0 billion after payment of debt service on Clean Water/Clean Air (CW/CA) bonds, a decrease of \$246 million (10.8 percent). This decrease reflects a return to an average number of estate tax payments exceeding \$25 million.

Non-tax receipts are estimated at \$4.4 billion, an increase of \$1.1 billion. The growth is mainly due to the expected transfer of resources from the Health Care Transformation Fund (HCTF) and the transfer of balances accumulated in other funds.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Multi-Year Projections" herein.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$71.3 billion in FY 2019, an annual increase of \$2.0 billion (2.9 percent) from FY 2018 results.

Local assistance grants are expected to total \$48.1 billion in FY 2019, an annual increase of \$2.0 billion (4.3 percent). The largest increases are for School Aid (\$1.1 billion on a State fiscal year basis) and Medicaid (\$874 million).

General Fund disbursements for agency operations, including fringe benefits and fixed costs, are expected to total \$14.5 billion, an annual increase of \$664 million (4.8 percent). Personal and non-personal service costs is expected to increase \$379 million from FY 2018, reflecting labor agreements, including retroactive payments related to the NYSCOPBA contract ratified in January 2019. Fringe benefit costs associated with State employees, including retiree health insurance costs, are expected to increase by \$285 million (5.1 percent), mainly due to medical cost inflation. The State's costs for Workers' Compensation are expected to increase by \$101 million, due to underlying growth in the average weekly wage benefit and medical costs (\$51 million), as well as a reduction in other resources available to offset costs (\$50 million).

General Fund transfers to other funds are estimated to total \$8.8 billion, a decrease of \$661 million. The decline is mainly due to the timing of General Fund capital reimbursements from bond proceeds.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Multi-Year Projections" herein.

FY 2019 General Fund Revisions

The following table summarizes revisions to FY 2019 General Fund projections since the Mid-Year Update. A brief summary of changes follows the table below.

SUMMARY OF REVISIONS TO MID-YEAR UPDATE GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)	
	Current FY 2019
MID-YEAR UPDATE SURPLUS/(GAP)	0
Receipts Revisions¹	(2,137)
Tax Receipts (before Debt Service)	(2,958)
Debt Service (impact on Tax Receipts) ²	(436)
STAR (impact on Tax Receipts)	35
Non-Tax Receipts	559
Monetary Settlement	663
Disbursements Revisions	2,226
Local Assistance	1,229
Agency Operations	315
Debt Service Transfers ²	(29)
Capital Projects Transfers	755
Monetary Settlement Transfers	(83)
Other Transfers	39
Use/(Reserve) of Fund Balance	(89)
Settlements Received	(663)
Settlement Transfers	83
Settlements Used for Operations	336
Reserve Used for Labor Contracts	155
EXECUTIVE BUDGET SURPLUS/(GAP)	0
¹ Includes the impact of changes to estimated debt service and STAR that alter the amount of tax receipts transferred to the General Fund.	
² The prepayment of \$620 million of additional FY 2020 debt service costs in FY 2019 is reflected as both a transfer from dedicated funds (\$536 million) and transfers to debt service funds (\$84 million), as well as other changes.	

Receipts Revisions

General Fund receipts, including transfers from other funds, have been reduced by \$2.1 billion compared to the Mid-Year Update. As described earlier in this Financial Plan, the estimate for tax receipts in the current year has been lowered by nearly \$3 billion, excluding the impact of debt service changes. The revisions are due to weaker than expected PIT collections.

Two significant factors affect reported General Fund tax receipts that are unrelated to actual collections. First, changes in debt service costs impact General Fund tax receipts. The State has three bonding programs where tax receipts are deposited into dedicated debt service funds (outside of the General Fund) and used to make debt service payments on bonds issued by the State. After satisfying debt service requirements for these bonding programs, the residual is transferred back to the General Fund. Debt service costs in FY 2019 are projected to increase by \$436 million compared to the Mid-Year Update, largely due to debt service prepayments, resulting in less tax revenue being transferred back to the General Fund. Second, the STAR program is funded from PIT receipts, with changes in the cost of the program affecting reported PIT receipts. In the current fiscal year, the estimated cost of the STAR program has been lowered based on updated claims.

Miscellaneous receipts and transfers from other funds have been increased mainly to reflect the transfer of excess balances identified by DOB and not needed to fund program commitments. Many of the transfers were planned in prior years but held aside when they were not needed. In addition, the State has received \$663 million in Extraordinary Monetary settlements since the Mid-Year Update. Of this amount, \$336 million will be used for operations in the current fiscal year. Other adjustments to non-tax receipts are based on results to date, updated information and the transfer of balances accumulated in other funds.

Disbursements Revisions

General Fund disbursements, including transfers to other funds, have been reduced by \$2.2 billion. The lower estimates reflect program-specific information and the cautious estimation of expenses in the General Fund to create an informal reserve against risks. The estimates for Local Assistance and State Operations have been reduced for a range of programs and activities, based on updated data and operating results to date. Agency operations includes the expected payment of salary increases, which includes retroactive payments for FY 2017 to the present, consistent with recently ratified labor contracts. These costs were previously planned and are expected to be offset by continued cost controls.

Capital transfers have been lowered due to the timing of General Fund reimbursements of eligible capital expenses with bond proceeds. The increase in debt service is due to the planned prepayment of expenses. Other transfer revisions reflect routine adjustments to the timing of transactions.

FY 2019 Closing Balance

DOB projects the State will end FY 2019 with a total General Fund cash balance of \$6.5 billion, a decrease of \$2.9 billion from FY 2018. The General Fund closing balance excluding Extraordinary Monetary Settlements is estimated at \$2.6 billion, or \$1.8 billion lower than the closing balance at the end of FY 2018. The change is due mostly to the expected use of the \$1.9 billion in cash received in FY 2018. These funds are attributed to the acceleration of tax payments in response to the Federal limit on SALT deductibility, which became effective January 1, 2018. In addition, the closing balance is reduced due to the use of the labor reserve to fund a portion of the NYSCOPBA contract.

Balances in the State’s principal "rainy day" reserves, the Tax Stabilization Reserve and the Rainy Day Reserve, are expected to increase by \$250 million after planned deposit of a portion of new monetary settlements. The Financial Plan maintains a reserve of \$500 million for debt management purposes, which DOB expects to use in FY 2020 to accelerate the repayment of amortized pension costs.

The balance of Extraordinary Monetary Settlements is expected to total \$3.9 billion at the close of FY 2019, a decrease of \$1.1 billion from FY 2018. The anticipated decrease reflects \$1 billion in planned transfers to the Dedicated Infrastructure Investment Fund (DIIF) and other funds for authorized purposes; \$194 million in funding for the MTA’s Subway Action Plan; a \$250 million deposit to rainy day reserves (the first of two planned deposits); and \$719 million for operating expenses. These uses are offset in part by \$1.2 billion in new settlements received.

TOTAL BALANCES (millions of dollars)			
	FY 2018 Results	FY 2019 Current	Annual Change
TOTAL GENERAL FUND BALANCE	9,445	6,545	(2,900)
Statutory Reserves:			
"Rainy Day" Reserves	1,798	2,048	250
Community Projects	46	33	(13)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Labor Agreements	155	0	(155)
Undesignated Fund Balance	1,905	0	(1,905)
Subtotal Excluding Settlements	4,425	2,602	(1,823)
Extraordinary Monetary Settlements	5,020	3,943	(1,077)

FY 2020 Executive Budget Financial Plan

The following table summarizes the projected annual change from FY 2019 to FY 2020 in General Fund receipts, disbursements, and fund balances. The following table presentation and discussion of General Fund receipts and disbursements exclude the receipt and use of Extraordinary Monetary Settlements which are shown separately.

GENERAL FUND FINANCIAL PLAN ¹				
(millions of dollars)				
	FY 2019 Current	FY 2020 Proposed	Annual Change	
			Dollar	Percent
Opening Fund Balance (Excluding Extraordinary Monetary Settlements)	4,425	2,602	(1,823)	-41.2%
Total Receipts	69,552	75,075	5,523	7.9%
Taxes	64,127	70,340	6,213	9.7%
Miscellaneous Receipts/Federal Grants ²	2,089	2,071	(18)	-0.9%
Transfers from Other Funds	3,336	2,664	(672)	-20.1%
Total Disbursements	72,344	75,601	3,257	4.5%
Local Assistance	49,784	50,969	1,185	2.4%
State Operations	18,927	19,965	1,038	5.5%
Transfers to Other Funds ³	3,633	4,667	1,034	28.5%
Net Change in Operations	(2,792)	(526)	2,266	81.2%
General Fund Use of Extraordinary Settlements ⁴	969	238	(731)	-75.4%
Closing Fund Balance (Excluding Extraordinary Monetary Settlements) ³	2,602	2,314	(288)	-11.1%
Extraordinary Monetary Settlements				
Settlements on Hand as of April 1	5,020	3,943	(1,077)	-21.5%
New Settlements Received/Expected ⁵	1,186	0	(1,186)	-100.0%
Transfers/Uses	(2,263)	(1,259)	1,004	44.4%
Closing Balance (Extraordinary Monetary Settlements)	3,943	2,684	(1,259)	-31.9%
Closing Fund Balance (Including Extraordinary Monetary Settlements)	6,545	4,998	(1,547)	-23.6%

¹ FY 2019 and FY 2020 include the reclassification of mental hygiene funds to the General Fund from Special Revenue Funds and movement of certain DOT and DMV operating expenses to the General Fund from the Dedicated Highway and Bridge Trust Fund, as both took effect in FY 2019.

² Excludes the Extraordinary Monetary Settlements received by the General Fund less amounts retained by the Department of Law.

³ Excludes the use of Extraordinary Monetary Settlements to support transfers from the General Fund to other funds (e.g., Dedicated Infrastructure investment Fund).

⁴ FY 2019: \$719 million is expected to be used for operations and \$250 million is expected to be deposited to the Rainy Day Reserve. FY 2020: \$238 million is expected to be deposited to the Rainy Day Reserve, fiscal conditions permitting.

⁵ Includes the gross value of all settlements received by the State, including funds retained by the Department of Law.

Receipts

General Fund receipts, including transfers from other funds, are estimated to total \$75.1 billion in FY 2020, an increase of \$5.5 billion (7.9 percent) from FY 2019 projections. The annual change is affected by taxpayers responding to TCJA by shifting estimated PIT payments typically made on a quarterly basis into the extension period. Specifically, FY 2020 receipts are positively affected by an increase in extension payments at the expense of FY 2019 estimated payments. In addition, the year-over-year change is impacted by the payment of \$500 million in additional PIT refunds in the last quarter of FY 2019.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds are estimated to total \$47.6 billion, an increase of \$4.4 billion (10.2 percent) from FY 2019. A large share of the increase is due to the change in timing of PIT receipts whereby a large amount of receipts was shifted from FY 2019 to FY 2018. Thus, the FY 2020 receipts grow from a lower base. In addition, the accelerated refunds described above also impact year-over-year growth.

Consumption/use tax receipts, including transfers after payment of debt service on LGAC and Sales Tax Revenue Bonds, are estimated to total \$14.5 billion in FY 2020, an increase of \$1 billion (7.4 percent) from FY 2019. The increase mainly reflects projected growth in disposable income and taxable consumption.

Business tax receipts are estimated at \$6.2 billion in FY 2020, an increase of \$767 million (14.2 percent) from FY 2019 projections. The growth is primarily attributable to new for-profit insurance providers subject to premium insurance tax.

Other tax receipts, including transfers after payment of debt service on CWCA Bonds, are expected to total \$2.1 billion in FY 2020, an increase of \$43 million (2.1 percent) from FY 2019.

Non-tax receipts and transfers are estimated at \$4.7 billion in FY 2020, a decrease of \$690 million from FY 2019. The decline which largely reflects the use of certain resources available in FY 2019 that do not recur in FY 2020.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances among funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Multi-Year Projections."

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$75.6 billion in FY 2020, an increase of \$3.3 billion (4.5 percent) from FY 2019. General Fund disbursements reflect conservative estimates of disbursements in each financial category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

Local assistance spending is estimated at \$51.0 billion in FY 2020, an increase of \$1.2 billion (2.4 percent) from FY 2019. The increase is primarily driven by School Aid (\$275 million on a State fiscal year basis) and by Medicaid (\$911 million).

General Fund operational costs are expected to total nearly \$20.0 billion in FY 2020, an increase of \$1.0 billion (5.5 percent) from FY 2019. The increase is comprised of the accelerated repayment of amortized pension costs (\$500 million), estimated growth in health insurance costs for State employees and retirees (\$111 million), higher State costs for Workers' Compensation (\$101 million), and projected growth in the State's annual pension payment (\$41 million). In addition, operating costs for the independently elected agencies are projected to increase over FY 2019 levels (\$75 million). Growth in operating cost for executive agencies⁶ is expected to increase partly due to varying levels of offsets and accounting reclassifications such as operating costs related to snow and ice removal that was reclassified from Capital Projects Fund to the General Fund beginning in FY 2019.

General Fund transfers to other funds are projected to total \$4.7 billion in FY 2020, an increase of \$1.0 billion from FY 2019. Transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements) are projected to increase by \$931 million due to increased debt service payments for DHBTF bonds and the timing of bond proceeds used to reimburse prior year advances. Debt service transfers are expected to decline by \$267 million, mainly due to prepayments. SUNY transfers are expected to increase by \$154 million primarily due to a change in accounting for TAP payments made to State operated SUNY campuses.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year.

For a more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Multi-Year Projections."

⁶ On a State Operating Funds basis, most executive agencies are expected to hold operations spending at FY 2019 levels with limited exceptions.

FY 2020 Closing Balance

DOB projects the State will end FY 2020 with a General Fund cash balance of \$5.0 billion, a decrease of \$1.5 billion from FY 2019. The General Fund cash balance excluding monetary settlements is estimated at \$2.3 billion, or \$288 million lower than the estimated closing balance at the end of FY 2019. The change is due to the planned use of the entire reserve for debt management (\$500 million) and the projected spending of resources in the Community Projects Fund (\$26 million), partly offset by the planned deposit of Extraordinary Monetary Settlements into the Rainy Day Reserve (\$238 million), if fiscal conditions permit.

Monetary settlements on deposit at the close of FY 2020 are expected to total \$2.7 billion, a decrease of \$1.3 billion from the estimated FY 2019 closing balance. This decrease reflects expected uses and disbursements for initiatives funded with settlements. (See "Extraordinary Monetary Settlements" herein.)

TOTAL BALANCES (millions of dollars)			
	FY 2019 Current	FY 2020 Proposed	Annual Change
TOTAL GENERAL FUND BALANCE	6,545	4,998	(1,547)
Statutory Reserves:			
"Rainy Day" Reserves	2,048	2,286	238
Community Projects	33	7	(26)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	0	(500)
Subtotal Excluding Settlements	2,602	2,314	(288)
Extraordinary Monetary Settlements	3,943	2,684	(1,259)

FY 2020 Detailed Gap-Closing Plan

The following table and narrative summarize the proposed General Fund gap-closing plan. To the extent the State enacts budgets that adhere to the 2 percent spending benchmark, the level of savings required in each subsequent year to hold spending to 2 percent would be lower.

SUMMARY OF REVISIONS TO MID-YEAR UPDATE GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
MID-YEAR UPDATE SURPLUS/(GAP)	(3,070)	(6,429)	(6,551)	(6,735)
Tax Receipts Reestimates	(2,811)	(4,747)	(5,241)	(5,970)
Receipts	1,975	4,229	5,482	5,853
PIT Top Rate Extension	771	3,560	4,707	5,057
Other Taxes/Miscellaneous Receipts	442	815	910	951
Debt Service Transfers	557	(194)	(163)	(61)
Non-Tax Transfers	205	48	28	(94)
Disbursements	3,426	2,326	1,706	1,386
Local Assistance	2,882	2,090	1,796	1,835
Agency Operations	(104)	214	133	77
Debt Service Transfers	286	142	94	79
Capital Projects Transfers	510	(18)	(6)	(5)
Monetary Settlement Transfers	20	138	(26)	(313)
Other Transfers	(168)	(240)	(285)	(287)
Use/(Reserve) of Fund Balance	480	(138)	26	314
Rainy Day Reserve	(238)	0	0	0
Extraordinary Monetary Settlements	218	(138)	26	314
Debt Management	500	0	0	0
EXECUTIVE BUDGET SURPLUS/(GAP) ¹	0	(4,759)	(4,578)	(5,152)
Adherence to 2% SOF Spending - Calculated Savings ²	0	2,721	4,088	5,869
EXECUTIVE BUDGET SURPLUS/(GAP)	0	(2,038)	(490)	717

¹ Before actions to adhere to the 2 percent benchmark.

² Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2019 estimate). The Governor is expected to propose, and negotiate with the Legislature to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

Receipts

Tax Receipts Reestimates. Estimated tax receipts, excluding debt service, have been lowered from the Mid-Year Update due mainly to lower than expected PIT withholding and estimated tax receipts in December 2018 and January 2019.

PIT Top Rate Extension. The Executive Budget extends the current top income tax rate for high-income earners for five years, through calendar year 2024.⁷ The current rate has been in place since January 1, 2012, when the top rate was scheduled to revert to 6.85 from 8.97 percent but was instead renewed at 8.82 percent.

Other Taxes/Miscellaneous Receipts. Other tax actions and receipts changes are expected to provide \$442 million in receipts in FY 2020. The Executive Budget includes the following proposed actions.

- **PIT Limitation on Charitable Contributions.** The limitation on itemized deductions to 25 percent of contributions, applicable to taxpayers with incomes above \$10 million and originally enacted in 2010, would be extended through 2024.
- **Internet Fairness Conformity Tax.** Online providers such as Amazon and eBay supply a marketplace for third-party sellers. Currently, these sellers are required to collect sales tax from New York residents if the seller is located in New York. The Executive Budget requires marketplace providers to collect the tax on any sale to State residents, whether the seller is located within, or outside, New York.
- **Other Tax Actions.** The Budget includes other tax credits, extensions, enforcement initiatives and reforms. These include extension of the clean heating fuel credit and workers with disability credit; a health tax of 10 cents per fluid milliliter of vapor products at the distributor level; and a gross excise tax on adult cannabis.
- **STAR.** The Executive Budget proposes two measures to incentivize participation in the STAR credit program. The STAR benefit for participants would be capped at the FY 2019 benefit level, rather than allowed to grow by up to 2 percent, for the exemption program only. Benefits for participants in the credit program would be allowed to grow by up to 2 percent. In addition, the threshold for receiving the STAR exemption would be set at \$250,000, compared to the current threshold of \$500,000. Beneficiaries in the \$250,000 to \$500,000 bracket who convert to the credit program would maintain the full STAR benefit.
- **Miscellaneous Receipts.** The Executive Budget proposes a \$120 inspection fee levied on for-profit vehicles and an increase in the notice of violation fine for certain regulated vehicles. Other changes include updated estimates of investment income and various other miscellaneous receipts categories.

⁷ The FY 2022 and FY 2023 estimates for the proposed extension have been revised in this Financial Plan compared to the estimates included in the Initial Financial Plan.

Debt Service Transfers. The Executive Budget reflects savings from expected refundings, continued use of competitive bond sales, and other debt management actions, as well as the planned payment of FY 2020 expenses in FY 2019.

Non-Tax Transfers. Other resource changes include updated estimates of various transfers from other funds, including mental hygiene Federal reimbursements.

Disbursements

Local Assistance. Targeted actions and continuation of prior-year cost controls are expected to generate nearly \$2.9 billion in General Fund savings compared to the current services estimate. The Executive Budget includes the following proposed actions.

- **Education.** General Fund savings include a decline in SY 2019 aid compared with the FY 2019 Enacted Budget estimates for expense-based aid, as well as increased revenues from lottery and gaming for education purposes. In addition, the Executive Budget proposes calculating the personal income growth index (PIGI) for School Aid using a ten-year average of annual income growth, rather than a one-year snapshot that is far more volatile. The proposed change is consistent with the Medicaid spending cap index calculation using the ten-year average growth in the medical component of CPI.
- **Health Care.** The Medicaid Budget will include savings totaling \$990 million. To achieve savings within the Global Cap to support these additional costs, the Department of Health (DOH) will continue to implement various Medicaid Redesign Team (MRT) actions to improve the efficiency and delivery of the statewide Medicaid program.

Other health care savings include initiatives to reduce certain public health programs, to provide increased flexibility to support ongoing public health programs and investments in new or emerging public health priorities; adjustment to reimbursements of public health funding for NYC to reflect the availability of alternative funding sources; modifications to pharmacy benefit programs; and reforms to streamline the evaluation process for the Early Intervention (EI) program.

In addition, the Budget includes additional funding to support services for the elderly and reduce childhood lead exposure.

- **Human Services COLA.** The 2.9 percent statutory COLA increase is deferred for mental hygiene and social service providers in FY 2020. Recent budget actions that provided targeted support to direct care and clinical staff, as well as workers in foster care and adoption programs, and costs of the minimum wage in the human services program areas, continue to be funded.

- **Human Services.** Savings reflect the planned use of available TANF to offset state costs in Child Care and Advantage Afterschool programs, proposed rebalancing of fiscal equity shares between the State and NYC for the Family Assistance Program, and revisions to Pay for Success program costs based on updated information.
- **Mental Hygiene.** Spending revisions reflect updated assumptions and revised timelines for ongoing transformation efforts to ensure efficient use of State resources in the mental hygiene service delivery system; enhanced efforts to combat the opioid crisis; and continued expansion of programs and services to ensure individuals with developmental disability and behavioral health needs have appropriate access to care. These investments are supported in part by continued efficiencies in program operations and reductions in unnecessary institutional capacity.
- **All Other.** Savings are expected from targeted actions and updated spending projections across many program areas, including updated enrollment data for SUNY Community Colleges; the continued utilization of JP Morgan Settlement and Mortgage Insurance Fund (MIF) resources to fund housing and homelessness programs; and revisions to the estimates for the County-wide Shared Services Property Tax Savings Plans program. In addition, the Executive Budget proposes to eliminate Aid and Incentives for Municipalities (AIM) to low-reliance towns and villages, which will be replaced by additional sales tax from the applicable county. Counties are expected to receive additional sales tax from the Executive proposed internet fairness conformity tax and will be required to remit to any affected town or village an amount equal to the AIM reduction.

In addition, funding is included for the proposed DREAM Act, which extends student financial assistance to undocumented immigrant students pursuing higher education in New York, and for youth justice and anti-gang violence initiatives.

Agency Operations. Reductions to agency operations and continued cost controls contribute \$396 million to the General Fund gap-closing plan, which is offset by the planned repayment of \$500 million of amortized pension costs due in FY 2021 through FY 2023.

- **Executive Agencies.** The proposed Budget holds spending flat on a State Operating Funds basis, with limited exceptions, such as costs attributable to the Raise the Age implementation. Agencies are expected to continue to use less costly forms of service delivery, improve administrative practices, and pursue statewide solutions, including Lean initiatives to streamline operations and management. In addition, the Executive Budget proposes the closure of up to three prisons to reduce excess capacity due to prison population declines.

Pension estimates reflect the planned payment of the full FY 2020 Employees' Retirement System (ERS)/Police and Fire Retirement System (PFRS) pension bill in April 2019. Health insurance savings are based on eliminating taxpayer-subsidized Income-Related Monthly Adjustment Amount (IRMAA) reimbursements for high income New York State Health Insurance Program (NYSHIP) enrollees and maintaining Medicare Part B premium reimbursements at \$135.50 per month.

The Budget also proposes lowering the interest charged on judgments against the State from as high as 9 percent to a fair-market interest rate. The current rate was established in 1982 when interest rates were at 15 percent to avoid unnecessary taxpayer costs. The recommended rate is in line with the interest rate applied to judgements in Federal courts and would ensure that neither side in a lawsuit will be disadvantaged by an interest rate that is above or below what otherwise could be earned while cases are being adjudicated.

Between FY 2011 and FY 2016 the State borrowed from the retirement system at interest rates of 3 to 5 percent under the statutory pension contribution stabilization program, to smooth pension costs after heavy investment losses during the 2008 recession. The Executive Budget proposes accelerating the repayment of \$500 million of prior-year pension obligations in FY 2020 if fiscal conditions permit. The FY 2020 payment will reduce loans with above market interest rates and generate \$33 million of interest savings through FY 2023.

- **Elected Officials.** The Budget reflects the Judiciary's request for increased operating support to fund salary increases and additional staff in court operations and security. In addition, spending increases requested by the OSC and the Department of Law, mainly due to increased personal service expenses are also reflected.

Debt Service Transfers. The Executive Budget reflects savings from expected refundings, continued use of competitive bond sales, and other debt management actions, as well as the planned payment of FY 2020 expenses in FY 2019.

Capital Projects Transfers. The Executive Budget reflects savings from the use of available bond proceeds to reimburse capital spending expenses from prior years and higher costs for NYC bridge and tunnel security.

Monetary Settlement Transfers. The timing of transfers of settlement reserves has been updated based on FY 2019 spending to date and estimated activity over the multi-year Financial Plan.

Other Transfers. The Executive Budget reflects a modification to the accounting of HESC TAP payments made to SUNY on behalf of student recipients. This change eliminates recording the payment twice on a State Funds basis – first from the General Fund to SUNY and again by SUNY to fund operating expenses. The TAP payment will now be reflected as a transfer to SUNY, like Medicaid payments made to State-operated health facilities on behalf of Medicaid recipients. Other changes include updated estimates of various transfers from other funds, including mental hygiene and Indigent Legal Services.

General Fund Financial Plan



The following table provides a summary of the proposed actions and revisions that provide General Fund gap-closing savings and State Operating Funds spending impact, as summarized above.

FY 2020 EXECUTIVE BUDGET		
SIGNIFICANT SAVINGS AND REVISIONS		
<i>(millions of dollars)</i>		
	General Fund Savings/ (Costs)	Spending Increase/ (Decrease)
Budget and Spending "Gaps"	(3,070)	2,848
Tax Receipts Revisions	(2,811)	0
Total Savings	5,881	(2,848)
PIT Top Rate Extension	771	0
Prepayment of FY 2020 Expenses	620	(620)
Medicaid MRT/Global Cap Savings	990	(990)
Lottery/Gaming Reestimate (General Fund School Aid Offset)	422	0
Accelerated Payment of Amortized Pension Costs	(500)	500
Debt Reduction Reserve Fund	500	0
School Aid Database Update (November 2018)	242	(242)
Debt Service	223	(307)
Mental Hygiene (Federal Revenues)	178	0
Defer 2.9% Human Services COLA	141	(141)
Internet Fairness Conformity Tax	170	0
TANF Funding for Child Care Subsidies and Advantage After School	100	(100)
ESCO Sales Tax Exemption Discontinuation	90	0
Prepay March 2020 Pension Bill	63	(63)
County-Wide Shared Services Reestimate	60	(60)
No AIM to Low Need Towns/Villages	59	(59)
State Reimbursement for Certain Family Assistance Program Costs	72	(72)
Wayfair Implementation	70	0
HCRA Receipts	50	0
STAR Tax Credit Program Incentives	46	(231)
State Reimbursement for Certain Health Programs	27	(27)
Prison Closures	21	(21)
Judiciary Budget Request	(80)	68
NYC Bridge and Tunnel Security	(50)	0
DREAM Act	(19)	19
Aging Population Services Funding	(15)	15
Youth Justice and Anti-Gang Violence Initiative	(10)	10
Blood Lead Level Funding	(7)	7
Reimbursement of Prior Year Capital Expenses	525	0
All Other Cost Controls/Reestimates/Actions/Reclassifications	1,122	(534)

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the STIP. Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have adequate liquidity in FY 2020 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES			
FY 2020			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	8,894	4,185	13,079
May	4,174	3,779	7,953
June	4,754	4,500	9,254
July	5,331	4,124	9,455
August	4,064	3,524	7,588
September	5,852	1,526	7,378
October	5,734	2,389	8,123
November	3,375	1,761	5,136
December	4,986	1,556	6,542
January	9,932	1,684	11,616
February	9,564	2,171	11,735
March	4,998	3,357	8,355

Extraordinary Monetary Settlements

Since FY 2015, DOB calculates that the State has received a total of nearly \$11.9 billion in Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The following table lists the Extraordinary Monetary Settlements by firm and amount.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)						
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	11,855
Aetna Insurance Company	0	0	0	0	2	2
Agricultural Bank of China	0	0	215	0	0	215
American International Group, Inc.	35	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	15
AXA Equitable Life Insurance Company	20	0	0	0	0	20
Bank Leumi	130	0	0	0	0	130
Bank of America	300	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	42
Bank of Tokyo Mitsubishi	315	0	0	0	0	315
Barclays	0	670	0	0	15	685
BNP Paribas	2,243	1,348	0	350	0	3,941
Chubb	0	0	0	0	1	1
Cigna	0	0	0	2	0	2
Citigroup (State Share)	92	0	0	0	0	92
Commerzbank	610	82	0	0	0	692
Conduent Education Services	0	0	0	0	1	1
Credit Agricole	0	459	0	0	0	459
Credit Suisse AG	715	30	0	135	0	880
Deutsche Bank	0	800	444	0	205	1,449
FedEx	0	0	0	0	26	26
Goldman Sachs	0	50	190	0	55	295
Habib Bank	0	0	0	225	0	225
Intesa SanPaolo	0	0	235	0	0	235
Lockton Affinity	0	0	0	0	7	7
Mashreqbank	0	0	0	0	40	40
Mega Bank	0	0	180	0	0	180
MetLife Parties	50	0	0	0	20	70
Morgan Stanley	0	150	0	0	0	150
Nationstar Mortgage	0	0	0	0	5	5
New Day	0	1	0	0	0	1
Ocwen Financial	100	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	1
PHH Mortgage	0	0	28	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	25
Promontory	0	15	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	100
Société Générale SA	0	0	0	0	498	498
Standard Chartered Bank	300	0	0	0	40	340
UBS	0	0	0	0	41	41
Volkswagen	0	0	32	33	0	65
Wells Fargo	0	0	0	0	65	65
Western Union	0	0	0	60	0	60
William Penn	0	0	0	0	6	6
Other Settlements	7	0	(7)	0	1	1



General Fund Financial Plan

Since the Enacted Budget Financial Plan, the State has received \$998 million in monetary settlement payments from firms that have engaged in conduct that violates New York State laws and regulations. The table below shows the past and planned uses of settlements.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)											
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	Total
Opening Settlement Balance in General Fund	0	4,667	6,300	5,335	5,020	3,943	2,684	2,081	1,170	188	0
Receipt of Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	0	0	0	0	0	11,855
Use/Transfer of Funds	275	1,972	2,282	1,120	2,263	1,259	603	911	982	188	11,855
Capital Purposes:	0	857	2,117	391	1,020	1,021	603	911	982	188	8,090
Dedicated Infrastructure Investment Fund	0	857	697	941	1,054	1,125	864	798	784	188	7,308
Environmental Protection Fund	0	0	120	0	0	0	0	0	0	0	120
Mass Transit	0	0	0	67	6	6	6	0	0	0	85
Healthcare	0	0	0	19	72	70	80	63	23	0	327
Clean Water Grants	0	0	0	0	0	0	25	50	175	0	250
Javits Center Expansion	0	0	0	164	388	320	128	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	0	(500)	(500)	0	0	0	(1,000)
FY 2017 Temporary Loan to Capital Projects Fund	0	0	1,300	(1,300)	0	0	0	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	0	0	500	(500)	0	0	0	0	0	0
Other Purposes:	275	1,115	165	574	993	0	0	0	0	0	3,122
Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	0	0	0	850
CSX Litigation Payment	0	0	0	76	0	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	275	250	102	461	719	0	0	0	0	0	1,807
Mass Transit Operating	0	0	0	10	0	0	0	0	0	0	10
MTA Operating Aid	0	0	0	0	194	0	0	0	0	0	194
Department of Law - Litigation Services Operations	0	10	63	27	80	0	0	0	0	0	180
OASAS Chemical Dependence Program	0	5	0	0	0	0	0	0	0	0	5
Reservation of Funds:	0	0	0	155	250	238	0	0	0	0	643
Rainy Day Reserves	0	0	0	0	250	238	0	0	0	0	488
Reserve for Retroactive Labor Settlements	0	0	0	155	0	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,667	6,300	5,335	5,020	3,943	2,684	2,081	1,170	188	0	0

Other Matters Affecting the Financial Plan

General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. For instance, actual receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, certain projections contained in the Financial Plan assume that annual growth in State Operating Funds spending will be limited to 2 percent in FY 2021, FY 2022, and FY 2023, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur and adhere to the limit imposed by the State's 2 percent annual spending growth benchmark.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning economic and receipts forecasts include impacts of national and international events; ongoing financial risks in the eurozone; changes in consumer confidence, the price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; tech industry developments and employment; the effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid projected in the Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to issue securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may

result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to, reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of non-recurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

Financial Plan projections for FYs 2021 through 2023 assume that School Aid and Medicaid disbursements will be limited to the ten-year rolling average growth of State personal income and the medical component of the CPI, respectively. Starting in FY 2014, the School Aid growth cap was limited to the annual growth in NYS personal income. To reduce volatility and align with the Medicaid cap, the Executive Budget now proposes amending the statutory PIGI for School Aid to reflect average annual income growth over a ten-year period. Consistent with a ten-year average growth cap, the Financial Plan reflects a School Aid increase of 3.6 percent in SY 2020.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Financial Plan. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. Over the past six years, DOH State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to outside factors including fluctuations in economic conditions, which may increase caseload, and changes in Federal aid, which could affect State health care spending. It should further be noted that the Medicaid Global Cap, which is indexed to historical Medical CPI trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund. The HCRA fund finances approximately one-quarter of the State's share of Medicaid costs.



Other Matters Affecting the Financial Plan

The Financial Plan forecast assumes various transactions that could fail to occur as planned including, but not limited to, receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation⁸; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including (a) realignment of certain operating costs to the capital budget to provide consistent reporting across all agencies and a more accurate accounting of the overall capital budget; (b) payment of certain operating costs using available resources in accounts outside the State Operating Funds basis of reporting; (c) restructuring of the STAR program to a tax credit for consistency with the reporting of other State tax credits; and (d) other accounting and reporting changes. If these and other transactions are not implemented as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed each year, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses). There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many policies that drive this Federal aid may be subject to change under the Trump Administration and the new Congress. Current Federal aid projections, and the assumptions on which they rely, are subject to revision because of changes in Federal policy.

In addition, the Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust

⁸ The Seneca Nation withheld payments to the State that were expected in FY 2018 and FY 2019 (between April 1, 2017 and December 31, 2018), so the State and the Seneca Nation entered into arbitration. On January 7, 2019, the majority of an arbitration panel concluded that the Seneca Nation is obligated to make any past due payments and resume payments going forward. The Financial Plan assumes the full settlement payment by March 2019.

the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

Given the Federal uncertainty, the FY 2019 Enacted Budget and the proposed FY 2020 Budget includes legislation to continue authorization for a process by which the State could manage any potentially significant reductions in Federal aid during FYs 2020 and 2021. Specifically, the legislation allows the Budget Director to prepare a plan for consideration by the Legislature if Federal policymakers (a) reduce FFP in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid funding to New York State that affects the State Operating Funds financial plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both the Senate and Assembly. Otherwise, the plan submitted by the Budget Director takes effect automatically.

Current Federal Aid

The President has proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018 and 2019. The proposed cuts for FFY 2018 were largely rejected by the Consolidated Appropriations Act of 2018, which was enacted in March 2018, and by the portions of the FFY 2019 budget that have passed thus far, including appropriations for the Departments of Health and Human Services, Labor and Education – which supply most of the Federal funding to the State.

Uncertainty concerning the funds that flow to the State via the remaining FFY 2019 appropriations bills is complicated further by the recent partial government shutdown and the short term continuing resolution (CR) currently in place through February 15. Congress has passed a bill to avert another shutdown that is pending the President's approval.

The Budget Control Act (BCA) of 2011 temporarily raised the debt limit, established discretionary spending caps on the Federal government through Federal FY 2021, and instituted sequestration of some mandatory funds on which the State relies. Despite modest legislative adjustments to the discretionary caps contained in the BCA, the possibility of reductions in Federal support is elevated as long as the caps remain in place. Congress passed legislation in 2013, 2015, and 2018 that temporarily increased the discretionary spending caps imposed by the BCA through FFY 2019. Similar adjustments will need to be made to the BCA for the upcoming FFY 2020 to forestall potential cuts in discretionary programs on which the State relies.



Other Matters Affecting the Financial Plan

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in FFY 2020, beginning October 1, 2019. DOB estimates that if the changes take effect as scheduled, New York will experience the largest reduction among all states, costing the State \$7.2 billion in lost Federal DSH payments when fully phased in.

Federal Health Care Policy

In 2017, the Federal government attempted to end the Basic Health Program Essential Plan (EP) in New York State, reverse the ACA's Medicaid expansion, and shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended policy. If enacted into law, these policies would have had a substantial adverse impact on the State.

Additionally, the Trump Administration withheld Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through the New York State of Health (NYSOH), New York's official health plan marketplace. Recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology for 2018. However, the State has not received any guidance on whether it will receive Federal reimbursement in the EP for CSR's in 2019 and beyond. This funding represents about 25 percent of the total Federal funding for the program and, absent any action by Congress, the State Financial Plan remains at risk. The Financial Plan continues to reflect support for the EP program.

Excise Tax on High-Cost Employer-Sponsored Health Coverage ("Cadillac Tax")

The Excise Tax on High-Cost Employer-Sponsored Health Coverage (26 USC 4980I) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but has since been altered by intervening laws that delay the implementation of the tax until 2022.

Regulations from the Internal Revenue Service (IRS) have yet to be published. DOB has no current estimate as to the potential impact from this Federal excise tax.

MRT Medicaid Waiver

The Federal CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding for Medicaid over several years to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. DOH continues to work with the CMS and to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

Federal Debt Limit

On February 9, 2018, the Federal government enacted legislation suspending the Federal debt limit through March 1, 2019, forestalling possible default by the Federal government until at least that time. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on both national and State economies, financial markets, and intergovernmental aid payments. The specific effects of a future Federal government default on the Financial Plan are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Impact of Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the TCJA (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in the 2018 Tax Year. The Federal tax law makes extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. The changes to the Federal tax code have significant flow-through effects on state tax burdens and state tax receipts. From the standpoint of individual New York State taxpayers, one of the most onerous provisions in the TCJA is a new \$10,000 limit on the deductibility of SALT payments, effective for Tax Year 2018. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York's economic competitiveness.

DOB and the Department of Taxation and Finance (DTF) estimate that the SALT deduction limit raises Federal tax liability for New York taxpayers by \$14.3 billion for Tax Year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a statistically significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses, which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and erode the value of home prices, thereby posing risks to the State's tax base.

State Response to Federal Tax Law Changes

The FY 2019 Enacted Budget included State tax reforms intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, the creation of an optional payroll tax program, and the establishment of a new State charitable giving vehicle, all of which are described below. The State is evaluating other tax law changes in response to the TCJA, including the feasibility of an unincorporated business tax.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit intended to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit argues that the new SALT limit was enacted to target New York and similarly situated states, that it interferes with states' rights to make their own fiscal decisions, and that it will disproportionately harm taxpayers in these states.

Decoupling From Federal Tax Code

The State tax code is closely aligned in many respects with the Federal tax code. The FY 2019 Enacted Budget included legislation that decouples the State tax code from the Federal tax code, where appropriate, to minimize roughly \$1 billion in State tax increases that would otherwise have been the result of the Federal tax changes. Those decoupled Federal changes, which would have affected the General Fund budget gaps projected in FY 2020 and beyond, include:

- **Federal Limit on SALT Deduction.** The TCJA capped the itemized deductions for SALT at \$10,000. The State tax code is updated to decouple from this limit, preventing a State tax increase from the linkage of this Federal limit to State income tax returns.
- **Federal Changes and Eliminations to Certain Deductions.** The State decoupled from new Federal limits on other deductions.
- **Temporary Federal Medical Expense Deduction Increase.** Federal changes impose a two-year increase in the itemized medical expense deduction, thereby lowering taxpayer liability. The State has not changed its tax code.
- **Child Tax Credit.** Federal law changes the value of, and eligibility for, the child tax credit. The Empire State Child Tax Credit program will remain unchanged.
- **New York Single Filer Standard Deduction.** The Federal repeal of personal exemptions would have eliminated the ability of New York single-filer taxpayers to claim the standard deduction on their State tax returns. The FY 2019 Enacted Budget included legislation to address this issue. Absent this legislation, New York State taxpayers would have been subject to an annual State tax increase of \$840 million, beginning in FY 2020.



Employer Compensation Expense Program (ECEP)

Under legislation approved with the FY 2019 Enacted Budget, employers may opt in to a new ECEP, which is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business.

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year, with the first annual election due by December 1, 2018, for the tax year beginning on January 1, 2019.

The ECEP is intended to be revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. Remittance of ECEP revenue to the State is expected to occur on the same schedule as PIT withholdings, with remittances starting in the fourth quarter of FY 2019. A new State PIT credit will be available to employees whose wages are subject to the tax; any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP.

For the 2019 Tax Year, 262 employers elected to participate in the ECEP and are expected to remit \$2 million.

State Charitable Gifts Trust Fund

The FY 2019 Enacted Budget created a new State Charitable Gifts Trust Fund to accept gifts, starting in Tax Year 2018, for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer making a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.⁹

To date, the State has received \$93 million in charitable gifts that have been deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). The FY 2020 Executive Budget appropriates these funds for authorized purposes. See the discussion below under “Impact of Tax Changes on PIT Revenue Bonds” for a stress test projection of the potential impact to PIT bondholders from charitable giving.

⁹ The FY 2019 Enacted Budget also provided that the SUNY Research Foundation, CUNY Research Foundation, and Health Research, Inc. may accept up to \$10 million each in charitable gifts on an annual basis. State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in Tax Year 2019.

Impact of Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and the State Charitable Gifts Trust Fund, the FY 2019 Enacted Budget amended the State Finance Law provisions creating the Revenue Bond Tax Fund (RBTF) to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF in the event that (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. Accordingly, the amount of donations to the State Charitable Gifts Trust Fund is the principal direct risk to the amount of New York State PIT receipts deposited to the RBTF under the tax law changes enacted by the State as part of the FY 2019 Enacted Budget. To address this risk, the State increased the amount of PIT receipts deposited into the RBTF from 25 percent to 50 percent.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the State Charitable Gifts Trust Fund that could occur annually under varying assumptions. The calculation of this ceiling is intended as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. It should not, under any circumstances, be viewed as a projection of likely donations. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or other governmental actors relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The ceiling on the amount of potential donations is calculated to be in the range of \$28 billion annually, on average (2018 through 2022).¹⁰ The calculation of the ceiling assumes that every

¹⁰ The calculation of maximum potential donations is based on current law, including the scheduled reversion of the top PIT rate from 8.82 percent to 6.85 percent starting in Tax Year 2020.



Other Matters Affecting the Financial Plan

resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file (2016), as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Financial Plan are materially accurate.

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After these adjustments and with inclusion of ECEP revenues, receipts to the RBTF are projected to remain above the level of receipts that would have been expected under statutes effective prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected ceiling on the amount of donations is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

Accordingly, although the calculation of a maximum amount of charitable donations to the State Charitable Gifts Trust Fund reflects DOB's and DTF's current best judgment and estimates, such amount may be higher.

IRS Guidance

On August 23, 2018, the IRS issued proposed regulations – IRS REG-112176-18 – that seek to provide new rules governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions. In the case of state tax credits received by a taxpayer making a charitable contribution, the proposed regulations would require the taxpayer to reduce the Federal income tax deduction by the amount of the state tax credit received for such charitable contribution; provided, however, this rule would not apply if the value of the state tax credit to be received does not exceed 15 percent of the charitable contribution. If finalized, the effective date of these proposed regulations would be August 27, 2018. The Treasury Department and the IRS have collected comments from the public on these proposed regulations and held a public hearing on November 5, 2018. Based on its review of the proposed regulations, DOB anticipates that if the proposed regulations are adopted in their current form, then contributions to the State Charitable Gifts Trust Fund may decline. The proposed regulations, by their terms, do not impact the Federal tax reduction that DOB expects would result for certain taxpayers employed by entities that may enroll in the ECEP.

Implementation

The State developed the ECEP and Charitable Gifts Trust Fund based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted above, the IRS has proposed regulations that would impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from Federally taxable income while receiving State tax credits for such donations.

The FY 2019 Enacted Budget allows taxpayers to claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 tax years if the underpayments arise from reliance on amendments to State tax law enacted in 2018. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

There could be a material expense to the State if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 tax years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in April 2020, or thereafter.



Other Matters Affecting the Financial Plan

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors, including rates of participation in the ECEP; the magnitude of donations to the State Charitable Gifts Trust Fund; the amount of determinations of underpayment attributable to reliance on other changes in State tax law made in 2018; the amount of time between the due date of the return and the date any Federal determination is issued; the interest rate applied; and the frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

Current Labor Negotiations and Agreements (Current Contract Period)

The State has multi-year labor agreements in place with most of the unionized workforce. The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

United University Professions (UUP) has a six-year collective bargaining agreement that covers academic years 2017 through 2022. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP. The cost of the agreement (approximately \$253 million in FY 2020) has been included in the Financial Plan and is primarily funded by SUNY except for the related fringe benefit costs, which are paid by the State. At the request of SUNY, the State will advance approximately \$110 million in planned payments for State-operated SUNY campuses from November 2019 to June 2019, to make resources available for retroactive payments.

On October 10, 2018, the Police Benevolent Association of the New York State Troopers (NYSTPBA) ratified a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for a 2 percent annual general salary increase in each year of the contract and additional compensation changes, which are partly offset by changes to health insurance benefit design within NYSHIP, like UUP and CSEA. The cost of this agreement is expected to be offset by agency management plan savings, consistent with past practice.

Employees represented by the Public Employees Federation (PEF) and the Graduate Student Employees Union (GSEU) have three-year collective bargaining agreements providing 2 percent annual salary increases in FYs 2017 through 2019. Salary increases provided to PEF and GSEU employees were also extended to Management/Confidential (M/C) employees. Negotiations on the next contracts with PEF and GSEU are expected to commence later in the year.

Other Matters Affecting the Financial Plan



The State and NYSCOPBA recently agreed to a seven-year contract covering fiscal years 2017 through 2023, which was ratified by the membership on January 24, 2019. The agreement provides for a 2 percent general salary increase each year, and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. The agreement is estimated to cost approximately \$360 million in FY 2019 and FY 2020, including retroactive payments. The cost of the agreement, which is reflected in this update, is expected to be offset by reserves previously included in the Financial Plan.

The State is in negotiations with all other employee unions whose contracts concluded in previous fiscal years, including the New York State Police Investigators Association (NYSPIA) and Council 82. Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

The contract periods for State employee union contracts are illustrated below.

		UNION LABOR CONTRACTS IN PLACE (Annual Salary Increase Percentages)								
	Current/Expired Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
NYSPBA	FY 2019 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%		
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%		
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%				
GSEU	FY 2017 - FY 2019	2%	2%	2%	2%	2%				
CUNY	FY 2011 - FY 2018	2.5%	2%	2%	1.5%					
NYSPIA	FY 2012 - FY 2018	2%	2%	1.5%	1.5%					
Council 82	FY 2010 - FY 2016	2%	2%							
PBANYS	FY 2006 - FY 2015	2%								

The Judiciary also has agreements with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2019 for Court Officers Benevolent Association of Nassau County (COBANC); FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2017 to FY 2019 for seven other unions.



Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.¹¹ All estimates are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6.0 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which will be reflected in FY 2020 contribution rates.

FY 2019 Projections

The State's FY 2019 ERS/PFRS pension liability projection of \$2.2 billion is based on the most recent estimate prepared by OSC as of December 2018. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals (i.e., amortizations) and additional interest savings from paying the majority of the pension bill in April 2018. The State's FY 2019 ERS/PFRS pension estimate was revised downward based on the actual FY 2018 salary base (used to calculate the FY 2019 bill), which was lower than previously projected.

FY 2020 Projections

The preliminary FY 2020 ERS/PFRS pension liability estimate of \$2.2 billion is impacted by FY 2018 investment returns of 11.4 percent, which was above the Comptroller's assumed rate of return (7 percent). The estimate also reflects the impact of past investment performance and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease from 14.9 percent to 14.6 of payroll, while the average contribution rate for PFRS will remain stable at 23.5 percent of payroll.¹² In addition to the \$2.2 billion liability, the FY 2020 estimate reflects a \$500 million repayment in FY 2020 of amortized pension costs due in FY 2021 through FY 2023. The accelerated repayment would save approximately \$33 million in interest expense. DOB will evaluate fiscal conditions before executing the accelerated amortization repayment.

¹¹ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹² Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including the costs covered for local ERS) was \$27 million in FY 2019 based on actual credit purchased through December 31, 2018. DOB currently estimates ERS costs of \$30 million in FY 2020 and ongoing costs of \$7 million beginning in FY 2021 as additional veterans become eligible to purchase the credit.

Outyear Projections

Pension liability estimates for FY 2021 and beyond, as projected by DOB, reflect growth in normal costs primarily based on the expectation that collective bargaining will result in continued salary increases, and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (e.g., the normal rate¹³) to a system-wide amortization threshold (e.g., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the 2010 legislation, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer specific graded rate based on the employer's own tier and plan demographics.

The State, including the Office of Court Administration (OCA), has not amortized its pension costs, since FY 2016.

¹³ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (e.g., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Other Matters Affecting the Financial Plan



The amortization threshold is projected to equal the normal rate in upcoming State fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM (millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ³	Excess Contributions			
	Normal Costs ²	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						PFRS			
						ERS (%)	(%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,076.1	(778.5)	100.8	1,398.4	3.00	18.5	25.7	11.5	19.5
2014	2,633.8	(937.0)	192.0	1,888.8	3.67	20.5	28.9	12.5	20.5
2015	2,325.7	(713.1)	305.7	1,918.3	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.1)	389.9	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,788.6	0.0	432.1	2,220.7	2.33	15.1	24.3	15.1	23.5
2018	1,786.6	0.0	432.1	2,218.7	2.84	14.9	24.3	14.9	24.3
2019 Est.	1,762.9	0.0	432.1	2,195.0	3.64	14.4	23.5	14.4	23.5
2020 Est. ⁵	1,799.0	0.0	932.1	2,731.1	TBD	14.2	23.5	14.2	23.5
-----Projected by DOB ⁶ -----									
2021	1,967.8	0.0	212.9	2,180.7	TBD	15.2	24.5	15.2	24.5
2022	2,188.6	0.0	212.8	2,401.4	TBD	16.2	25.5	16.2	25.5
2023	2,392.6	0.0	204.1	2,596.7	TBD	17.2	26.5	17.2	26.5
2024	2,607.8	0.0	240.1	2,847.9	TBD	18.2	27.5	18.2	27.5
2025	2,815.5	0.0	126.4	2,941.9	TBD	19.2	28.5	19.2	28.5
2026	3,028.2	0.0	42.2	3,070.4	TBD	20.2	29.5	20.2	29.5

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortization Amount) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ FY 2020 liability is \$2.2 billion before pre-payment of \$500 million of amortization principal due in FY 2021 through 2023.

⁶ Outyear projections are prepared by DOB. The Retirement System does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed "(Amortized)" amount or the mandatory "Excess Contributions" amount for a given fiscal year.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have at least ten years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2018, the State's Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year, and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2018, the unfunded actuarial accrued liability for FY 2018 is \$90.5 billion (\$72.8 billion for the State and \$17.7 billion for SUNY), an increase of \$3.3 billion from FY 2017 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2018 used an actuarial valuation of OPEB liabilities as of April 1, 2016. These valuations were determined using the Frozen Entry Age actuarial cost method and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth in the State's unfunded actuarial accrued liability is due to the reduction of the discount rate from 3.155 to 2.637 percent, calculated as the average STIP rate for the past 20 years at the time of valuation. The decline in the discount rate increases the present value of the projected benefit obligation.

The actuarially determined annual OPEB cost for FY 2018 totaled \$5.5 billion (\$4.3 billion for the State and \$1.2 billion for SUNY), an increase of \$1.3 billion from FY 2017 (\$1 billion for the State and \$264 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level percentage of earnings basis. The actuarially determined cost was \$3.6 billion (\$2.7 billion for the State and \$878 million for SUNY) greater than the PAYGO required cash payments for retiree costs made by the State in FY 2018. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net position at the end of FY 2018 by \$3.6 billion.

GASB has no authority to require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began contributing, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the “Trust Fund”) that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not include any deposits to the Trust Fund.

The provisions of GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, is expected to be incorporated into the State’s FY 2019 Basic Financial Statements. The FY 2019 Basic Financial Statements are expected to be issued in July 2019. The GASB Statements, as amended by GASB Statement 75, alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the unfunded net OPEB obligation to be reported by the State in its Statement of Net Position. Reporting the unfunded OPEB liability on the Statement of Net Position, rather than as a note to the Basic Financial Statements, is expected to significantly increase the State’s total long-term liabilities and show the State in a negative net position.

GASB Statement 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB’s methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological, and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State of New York, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

On October 8, 2018, the United Nations' Intergovernmental Panel on Climate Change (IPCC) released a special report on the impacts of global warming. The report found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes ("reasons for concern"). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate ("detectable") today, to high ("severe and widespread") at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

Participants in financial markets are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.¹⁴ In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The

¹⁴ For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.

Moody's report identified rising sea levels and the effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population concentration in coastal counties.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal Funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, its localities, and the MTA may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, services relating to the security of State government networks and geographic information systems¹⁵, and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber

¹⁵ Statewide cybersecurity policies can be found at: <https://its.ny.gov/eiso/policies/security>.



Other Matters Affecting the Financial Plan

threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyber-attacks. Banks, insurance companies and other covered entities regulated by the Department of Financial Services (DFS) unless eligible for limited exemptions, are required to (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or of which notice is required to any government body, self-regulatory agency, or supervisory body.

Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's financial plan projections. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State or its public authorities cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacts the State and its public authorities by removing certain refunding opportunities for Federal tax exempt financing, including advance refundings for debt service savings when interest rates are favorable.

Other Matters Affecting the Financial Plan



Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2018).

Current projections indicate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to decline from \$5.8 billion in FY 2019 to about \$24 million in FY 2023. This includes the estimated impact of funding increased capital commitment levels with State bonds. The debt service costs on debt issued after April 1, 2000 and estimated new issuances is projected at \$5.1 billion in FY 2020, or roughly \$3.5 billion below the statutory debt service limitation.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000 ¹	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2019	\$1,338,085	4.00%	53,523	47,692	5,831	3.56%	0.44%	5,581	53,273
FY 2020	\$1,388,391	4.00%	55,536	52,163	3,373	3.76%	0.24%	4,878	57,041
FY 2021	\$1,446,835	4.00%	57,873	55,761	2,112	3.85%	0.15%	3,406	59,167
FY 2022	\$1,508,158	4.00%	60,326	59,180	1,146	3.92%	0.08%	2,789	61,969
FY 2023	\$1,572,593	4.00%	62,904	62,880	24	4.00%	0.00%	2,186	65,066
FY 2024	\$1,639,763	4.00%	65,591	65,330	261	3.98%	0.02%	1,698	67,028

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2019	\$169,200	5.00%	8,460	4,710	3,750	2.78%	2.22%	1,265	5,975
FY 2020	\$172,378	5.00%	8,619	5,074	3,545	2.94%	2.06%	620	5,694
FY 2021	\$173,663	5.00%	8,683	5,578	3,105	3.21%	1.79%	1,463	7,041
FY 2022	\$179,746	5.00%	8,987	5,966	3,021	3.32%	1.68%	1,097	7,063
FY 2023	\$186,444	5.00%	9,322	6,486	2,836	3.48%	1.52%	862	7,348
FY 2024	\$191,244	5.00%	9,562	6,921	2,641	3.62%	1.38%	602	7,523

¹ Assumes that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit at their call dates.



Other Matters Affecting the Financial Plan

The projected debt capacity under the debt outstanding cap depends on expected growth in State personal income. The State uses personal income estimates published by the Federal government, specifically the Bureau of Economic Analysis (BEA), to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The BEA increased its calendar year 2017 personal income estimate by \$70 billion from March to October 2018, resulting in a \$2.8 billion increase in debt outstanding capacity. Notably, this material fluctuation in statutory debt capacity occurred between the end of FY 2018, when debt outstanding is measured, and the final compliance determination in October 2018. While, in this instance, the State benefitted from the significant increase in BEA’s estimate of New York State personal income, this volatility could have compromised the State’s ability to manage within its statutory debt cap had the personal income estimate been unexpectedly revised downward by a similar amount. Absent such swings in personal income estimates, the State traditionally has relied on adjustments to capital spending priorities and debt financing practices to preserve available debt capacity and stay within the statutory limits.

Such volatility in New York State personal income estimates has prompted DOB to reexamine the manner in which BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of reducing New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The net residency adjustment reported by BEA decreased the measure of 2017 State personal income by \$73 billion at the time of the FY 2018 debt outstanding calculation. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections which correspondingly reduces the State’s debt capacity under the Debt Reform Act.

Changes in the State's available debt capacity since the Mid-Year Update to the Financial Plan, as illustrated below, reflect the impact of several factors, including BEA personal income forecast adjustments and capital spending revisions. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Current	Proposed	Projected	Projected	Projected
Mid-Year Update to the Financial Plan	5,794	4,175	3,438	2,878	2,066
Personal Income Forecast Adjustment	(187)	(579)	(719)	(820)	(901)
Capital Spending	224	(223)	(607)	(912)	(1,141)
FY 2020 Executive Budget Financial Plan as Amended	5,831	3,373	2,112	1,146	24

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of December 31, 2018, there were approximately \$193 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$125 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$31 million in FY 2020 and FY 2021, \$25 million in FY 2022, and \$20 million in FY 2023 and FY 2024. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$7 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.



SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) NYU Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The physical demolition has been completed, and final review of the environmental paperwork is nearing completion.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center. To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement.

Other Matters Affecting the Financial Plan



The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



State Financial Plan Multi-Year Projections



Introduction

This section presents the State’s multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2020 through FY 2023, with an emphasis on the FY 2020 projections, which reflect the impact of the Financial Plan.

The State’s cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State’s receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, primarily concentrated in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State’s multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State’s future financial position is likely to diminish particularly in later years of the Financial Plan. Accordingly, in terms of outyear projections, the first “outyear” of the FY 2020 Budget, FY 2021, is the most relevant from a planning perspective.

Summary

The Financial Plan reflects 1.8 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent annual spending growth benchmark.

The projections for FY 2021 and thereafter as set forth in the Financial Plan reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2% Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2021, FY 2022, and FY 2023, the projected operating position could decline.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.



State Financial Plan Multi-Year Projections

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
RECEIPTS					
Taxes (After Debt Service)	64,127	70,340	72,437	76,149	80,206
Miscellaneous Receipts/Federal Grants	3,195	2,071	2,049	1,906	1,896
Other Transfers	3,336	2,664	2,139	1,790	1,709
Total Receipts	70,658	75,075	76,625	79,845	83,811
DISBURSEMENTS					
Local Assistance	49,784	50,969	55,057	57,524	60,617
School Aid	23,127	23,402	24,816	26,027	27,296
Medicaid	14,271	15,182	16,143	17,267	18,049
All Other	12,386	12,385	14,098	14,230	15,272
State Operations	11,544	11,802	12,691	12,730	13,029
Personal Service	8,714	8,856	9,562	9,552	9,751
Non-Personal Service	2,830	2,946	3,129	3,178	3,278
General State Charges	7,383	8,163	8,031	8,625	9,239
Transfers to Other Funds	4,847	5,688	6,215	6,455	7,060
Debt Service	804	537	762	653	642
Capital Projects	1,963	2,895	3,051	3,214	3,678
SUNY Operations	1,020	1,174	1,255	1,255	1,255
All Other	1,060	1,082	1,147	1,333	1,485
Total Disbursements	73,558	76,622	81,994	85,334	89,945
Use (Reservation) of Fund Balance:	2,900	1,547	610	911	982
Community Projects	13	26	7	0	0
Labor Agreements	155	0	0	0	0
Undesignated Fund Balance	1,905	0	0	0	0
Rainy Day Reserve	(250)	(238)	0	0	0
Debt Management	0	500	0	0	0
Extraordinary Monetary Settlements ¹	1,077	1,259	603	911	982
BUDGET SURPLUS/(GAP) PROJECTIONS²	0	0	(4,759)	(4,578)	(5,152)
Adherence to 2% Spending Benchmark³	n/a	n/a	2,721	4,088	5,869
BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	0	(2,038)	(490)	717
¹ Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund. ² Before actions to adhere to the 2 percent spending growth benchmark. ³ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2019 estimate). The Governor is expected to propose, and negotiate with the Legislature to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.					

State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
RECEIPTS					
Taxes	73,555	79,392	82,649	86,606	90,886
Miscellaneous Receipts/Federal Grants	23,062	20,153	18,686	18,874	18,400
Total Receipts	96,617	99,545	101,335	105,480	109,286
DISBURSEMENTS					
Local Assistance	66,338	67,421	70,370	73,070	75,694
School Aid (School Year Basis)	26,734	27,690	28,784	30,036	31,313
DOH Medicaid ¹	20,400	21,135	22,093	23,199	24,064
Transportation	3,936	3,534	3,565	3,694	3,845
STAR	2,424	2,186	2,073	1,979	1,858
Higher Education	3,002	2,945	2,934	2,979	3,014
Social Services	2,846	2,831	2,957	3,015	3,018
Mental Hygiene	2,176	1,978	2,499	2,490	2,748
All Other ²	4,820	5,122	5,465	5,678	5,834
State Operations	19,403	19,609	20,336	20,458	20,812
Personal Service	13,795	14,052	14,717	14,734	15,017
Non-Personal Service	5,608	5,557	5,619	5,724	5,795
General State Charges	8,428	9,234	9,164	9,771	10,414
Pension Contribution	2,422	2,963	2,417	2,643	2,838
Health Insurance	4,196	4,307	4,627	4,961	5,323
All Other	1,810	1,964	2,120	2,167	2,253
Debt Service	5,975	5,694	7,041	7,063	7,348
Capital Projects	0	0	0	0	0
Total Disbursements³	100,144	101,958	106,911	110,362	114,268
Net Other Financing Sources/(Uses)	1,049	192	(36)	(353)	(806)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	2,478	2,221	853	657	636
General Fund	2,900	1,547	610	911	982
Special Revenue Funds	(496)	677	248	(238)	(329)
Debt Service Funds	74	(3)	(5)	(16)	(17)
GENERAL FUND BUDGET SURPLUS/(GAP)³	0	0	(4,759)	(4,578)	(5,152)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	2,721	4,088	5,869
BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	0	(2,038)	(490)	717

¹ Total State share Medicaid funding excludes the utilization of tobacco MSA payments which will be directly deposited to a Medicaid Escrow Fund to cover a portion of local Medicaid growth.

² All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

³ Before actions to adhere to the 2 percent spending growth benchmark.

⁴ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2019 estimate). The Governor is expected to propose, and negotiate with the Legislature to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

The National Economy

The economic outlook for the national economy is virtually unchanged from the Executive Budget forecast due in part to the National Income and Product Account (NIPA) data vacuum that persists as a result of the government shutdown. However, global data points toward even more weakness than was reflected in January, which in turn will result in further downward pressure on inflation. Increased global weakness, combined with less inflation, is consistent with the Federal Reserve remaining cautious about future rate increases. Moreover, the national labor market entered 2019 with some additional unexpected strength. On balance, DOB continues to project U.S. Gross Domestic Product (GDP) growth of 2.4 percent for 2019, following estimated growth of 2.9 percent for 2018.

Although the national outlook for 2019 is little changed, the projected quarterly growth path has been altered by the impact of the government shutdown. The partial shutdown lasted five weeks, from December 22, 2018, through January 25, 2019, making it the longest in U.S. history. Almost 800,000 Federal government workers were either furloughed or worked without pay; based on Congressional Budget Office (CBO) estimates, a total of approximately \$18 billion in Federal discretionary spending was delayed.¹⁶ The updated Executive Budget forecast incorporates CBO's estimates of the altered path of Federal spending due to the shutdown.

Based on CBO estimates, we assume the government shutdown lowered the level of U.S. real GDP by one-tenth relative to what it otherwise would have been, reflecting the negative impact from delayed Federal government spending, weaker consumer spending due to the delayed payment of Federal government workers, and second-round effects of lower private-sector income resulting from Federal spending delays. This lost inflation-adjusted level of output is estimated to have resulted in lower growth of 0.2 percent on a seasonally adjusted annualized basis (see Table 1). Additional weakness in housing and net exports has resulted in a total downward revision to fourth quarter growth of three-tenths of a percentage point, to 2.4 percent.

CBO estimates that the partial government shutdown will continue to shave growth from the first quarter of 2019 since not all forgone economic activity will be recoverable in February and March. Furloughed Federal employees may try to make up for forgone activity once they resume work, but in the NIPA data, the contribution of Federal salaried workers to real GDP is usually measured as a function of their regular hours and thus will not be recorded. However, it is assumed that all of the recoverable activity will be recouped before September 30, 2019, the end of the Federal fiscal year. Therefore, a boost to economic output is expected for both the second and third quarters of 2019, as Federal workers spend their back pay and Federal agencies resume making purchases and providing services. The following table shows the estimated economic impact of the partial government shutdown for each affected quarter.

¹⁶ Congressional Budget Office, "The Effects of the Partial Shutdown Ending in January 2019," January 28, 2019. See <https://www.cbo.gov/publication/54937>, viewed February 8, 2019. CBO's estimates do not account for the uncertainty caused by the delayed publication of economic data from the Federal agencies affected by the shutdown.

Estimated Economic Impact of the Partial Federal Government Shutdown					
	2018Q4	2019Q1	2019Q2	2019Q3	2019Q4
Effect on the Level of Real GDP (Percent)	-0.1	-0.2	0.1	0.1	close to 0
Effect on the Annualized Quarterly Growth Rate of Real GDP (Percentage Points)	-0.2	-0.4	1.0	close to 0	-0.3
Source: CBO					

The government shutdown is expected to have had a small temporary impact on both the level of employment and the unemployment rate. The estimated 800,000 Federal employees who were either furloughed or working without pay will receive back pay, so they should be counted as employed in the establishment survey conducted by the Bureau of Labor Statistics (BLS). Based on the household survey, from which the unemployment rate is derived, only the 300,000 Federal workers who were furloughed in January would be counted as unemployed on temporary layoff, which may have elevated January’s unemployment rate. But the temporary decrease in private-sector activity that resulted from the shutdown might have slowed hiring and increased layoffs in the private sector. On balance, January employment posted a strong monthly gain of 304,000. However, household survey data indicate that the number of individuals working part-time for economic reasons increased by 490,000 in January. This jump could include unpaid Federal workers who took temporary part-time jobs to replace a portion of their lost income, in which case the January monthly gain could have overstated the strength of the labor market.

In addition, the annual benchmark revisions to the payroll survey data raised the average monthly gain for 2018 to 223,000, an increase of 3,000. As a result, DOB’s current outlook for the labor market is marginally stronger than reflected in the Executive Budget, with average monthly gains of 166,000 now projected for 2019. However, DOB continues to expect employment growth to slow as the expansion matures. Total nonagricultural employment growth of 1.6 percent is projected for 2019, representing an upward revision of 0.2 percentage point from the Executive Budget, though that is still a deceleration from an upwardly revised 1.7 percent for 2018.

U.S. ECONOMIC INDICATORS			
<i>(Percent change from prior calendar year)</i>			
	2018	2019	2020
	(Estimate)	(Forecast)	(Forecast)
Real U.S. Gross Domestic Product	2.9	2.4	2.1
Consumer Price Index (CPI)	2.4	2.0	2.4
Personal Income	4.4	4.1	4.3
Nonagricultural Employment	1.7	1.6	1.2
Source: Moody’s Analytics; DOB staff estimates.			



State Financial Plan Multi-Year Projections

In an effort to normalize interest rates, the Federal Reserve raised its Federal funds target rate three times in 2017 and four times in 2018. However, as the outlook for both the domestic and global economies becomes murkier, the outlook for monetary policy has become more uncertain. The Federal Open Market Committee (FOMC) removed references to “further gradual increases” in its January 2019 meeting statement and included new language signaling a more patient, data-dependent stance. DOB’s economic outlook is consistent with a single rate hike at the end of 2019, followed by one more rate hike in 2020. This would bring the upper end of the target range close to 3 percent by the end of 2020, 25 basis points lower than the Executive Budget forecast.

Several factors are at work prompting a change in the monetary policy outlook. First, the global economic outlook has only deteriorated since the completion of the Executive Budget forecast. The failure to produce a Brexit deal has clouded the outlook for the U.K. economy, while the Italian economy appears to be in recession. Moreover, China’s fragile growth outlook has reduced momentum in all of its neighboring trading partners. While currency pressures eased in 2018, growth in other emerging markets is not expected to be able to offset the weakness in developed markets. World GDP is thus expected to be weaker than was reflected in the Executive Budget forecast. Accordingly, real export growth for 2019 has been revised down by two-tenths of a percentage point to 2.5 percent.

Second, financial conditions have tightened. The most recent results from the Federal Reserve’s Senior Loan Officer Survey indicate a tightening of bank lending standards. The risk spread (as measured by difference between corporate bond yields and risk-free long-term treasury yields) widened over the course of 2018 and continued widening in early 2019. Meanwhile, the yield curve, which tracks the difference between long- and short-term rates, remains relatively flat, putting downward pressure on banking system profits, particularly now that the rates that banks pay their depositors are finally rising from historically low levels, as banks are forced to compete with the rise in risk-free short-term Treasury yields. The ten-year Treasury yield has fallen about 10 basis points since the middle of December, despite a 25 basis-point increase in the Federal funds rate. The sluggishness of the ten-year Treasury yield is due in large part to the safe-haven status of U.S. Treasury securities and is therefore likely to persist, particularly as the global economy decelerates.

Finally, inflation remains moderate from a historical perspective and well within the Federal Reserve’s target range. Domestic oil prices appear to be stabilizing between \$50 and \$55 per barrel, with slowing global growth and expanding U.S. production providing a counterweight to the uncertainty that a complicated geopolitical landscape is having on supply. Thus, there is little evidence that inflation is poised to rise significantly above the Federal Reserve’s 2 percent target over the near term. Therefore, consumer price inflation is estimated to fall from 2.4 percent in 2018 to 2.0 percent in 2019 on an annual average basis, 0.2 percentage points below the Executive Budget forecast.

Overall, the economic outlook is slightly weaker than reflected in the Executive Budget, but many risks remain. Recent analysis by the U.S. Government Accountability Office indicates that many households may have under-withheld during the 2018 tax year under the new tax law, resulting in fewer and smaller refunds paid out by the IRS in the first and second quarters of 2019 than anticipated. If this phenomenon is sufficiently widespread, household spending for the first half of



this year could be weaker than reflected in this forecast. If the U.S. and China fail to strike a trade deal by the March 1 deadline, yet another escalation in the tariff war could cause world trade to contract further, possibly damaging U.S. corporate profits if companies are forced to shift away from east Asian supply chains to more expensive countries. In addition, if tariffs result in even higher input prices than anticipated, the current relatively benign inflation environment could deteriorate, possibly resulting in lower job and investment growth than reflected in this forecast. Slower global growth than projected could result in lower demand for U.S. exports, resulting in weaker growth in corporate profits, investment, and employment than reflected in this forecast.

Finally, all of the risks mentioned above have injected a large degree of volatility into equity markets in recent months. Lower and more volatile equity prices can result in lower household spending through both the wealth effect and as a signal that the road ahead is uncertain. This volatility could be further exacerbated by the risk surrounding the long-term impact of declining Federal tax receipts due to TCJA on budget deficits and the national debt. On the positive side, if global growth is stronger than reflected in this outlook, or lower long-term interest rates spark a stronger housing market than expected, growth in corporate profits, investment, and employment could be stronger than anticipated in this forecast.

The New York State Economy

New York State private sector job growth appears to be stabilizing at a historically healthy pace. Following 1.5 percent growth for 2017, the first half of 2018 had slightly stronger growth of 1.6 percent. However, the State economy will not be immune to slowing global growth and a weakening national economy going forward. Indeed, preliminary data for the second half of the year indicates a slight stepdown from the first half, resulting in estimated growth of 1.4 percent for all of 2018. Slower growth of 1.3 percent is projected for 2019 as national and global economic growth continues to taper off. Both of these estimates are virtually unchanged from the Executive Budget forecast.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2018 (Actual)	FY 2019 (Estimated)	FY 2020 (Forecast)
Personal Income	5.7	3.9	4.1
Wages	4.7	3.2	3.8
Nonagricultural Employment	1.3	1.2	1.1

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.



State Financial Plan Multi-Year Projections

The outlook for finance and insurance sector bonuses has weakened relative to the Executive Budget forecast, because of both weaker estimates of Wall Street's fourth-quarter 2018 revenue performance and evidence that one-time bonus payments paid out in the first quarter of 2018 were likely stronger than originally estimated. Consequently, finance and insurance sector bonuses have been adjusted downward by 5.1 percentage points from the Executive Budget forecast to a decline of 14.7 percent for FY 2019, which contains the bonus season now in progress. With the equity market outlook now a bit stronger for 2019, finance and insurance sector bonus growth for FY 2020 has been revised up from a decline of 1.2 percent to growth of 0.2 percent, although the level of bonus payouts is now expected to be lower due to the lower FY 2019 base level.

Revised Quarterly Census of Employment and Wage data indicate weaker bonus growth but stronger non-bonus wage growth for the second half of 2018. As a result, underlying non-bonus wage growth is revised up by 0.3 percentage points from the Executive Budget forecast to a healthy 4.8 percent for FY 2019, with 4.2 percent growth now projected for FY 2020. On balance, total State wage growth of 3.2 percent is projected for FY 2019, representing a 0.1 percentage point downward revision from the Executive Budget forecast, while FY 2020 wage growth has been revised up by 0.2 percentage points to 3.8 percent, consistent with the revisions to both bonus and non-bonus wage growth.

Equity market volatility and taxpayer responses to both anticipated and actual changes to Federal tax law have resulted in large swings in taxable non-wage income for New York. For example, prior to 2009, hedge fund managers were able to avoid paying U.S. income taxes on fee compensation earned from their offshore funds by deferring and reinvesting it. The Emergency Economic Stabilization Act of 2008 ended this practice and required that all deferred compensation for services performed before January 1, 2009, be repatriated by December 31, 2017, at which point it would be subject to taxation. DOB estimates that approximately \$15 billion in such income was added to New York State adjusted gross income (NYSAGI) for the 2017 tax year. Moreover, the results of the 2016 presidential election created an expectation among high-income taxpayers that Federal PIT rates would be reduced effective in 2017, including the capital gains tax. This expectation likely motivated taxpayers to delay capital gains, wages, and possibly other income-generating activity into 2017, reducing wage and nonwage income for 2016 and increasing it for 2017. State taxpayers are estimated to have delayed \$6 billion in capital-gains generating activity from 2016 into 2017. These developments, combined with fourth quarter financial market activity, are estimated to have boosted growth in the non-wage components of NYSAGI for the 2017 Tax Year, while depressing them for 2018. As a result, positive capital gains realizations are now estimated to have fallen 3.1 percent for the 2018 Tax Year, following growth of 32.2 percent for 2017. Combined positive partnership and S Corp gains are estimated to have fallen 16.1 percent for tax year 2018, following growth of 18.1 percent for 2017.



Although State private sector job growth remains above its historical average, there are many risks to the forecast. All the risks to the U.S. forecast apply to the State forecast as well although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. The uncertainty surrounding the macroeconomic outlook for the national and global economies is amplified in the financial markets, as demonstrated by the 19.8 percent decline in the S&P 500 over a scant three months at the end of 2018. Risks related to the impact of tariffs, the strong dollar, and weakening global growth are likely to continue to create volatility and restrain equity market growth over the near term. Due to the disproportionate global tilt of financial markets, the State's finance sector is particularly vulnerable to these risks. Weaker and/or more volatile markets than anticipated could result in weaker bonus and wage growth, as well as lower taxable capital gains realizations, than are reflected in this forecast. In contrast, stronger equity markets, along with stronger national and global growth, could result in stronger employment and wage growth than this forecast reflects.



State Financial Plan Multi-Year Projections

Receipts

The Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. The multiyear tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the DTF and other agencies which collect State receipts and are predicated on economic analysis and forecasts.

Overall base growth (i.e. absent law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, non-wage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2019 are projected to total \$169.2 billion, 2.3 percent above FY 2018 results.

ALL FUNDS RECEIPTS (millions of dollars)											
	FY 2018 Results	FY 2019 Current	Change	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
Personal Income Tax	51,501	47,583	-7.6%	51,770	8.8%	53,964	4.2%	57,124	5.9%	60,300	5.6%
Consumption/Use Taxes	16,711	17,445	4.4%	18,186	4.2%	18,948	4.2%	19,481	2.8%	20,112	3.2%
Business Taxes	7,164	7,744	8.1%	8,611	11.2%	8,784	2.0%	8,929	1.7%	9,292	4.1%
Other Taxes	2,451	2,204	-10.1%	2,242	1.7%	2,344	4.5%	2,456	4.8%	2,567	4.5%
Payroll Mobility Tax ¹	1,439	0	-100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Total State Taxes	79,266	74,976	-5.4%	80,809	7.8%	84,040	4.0%	87,990	4.7%	92,271	4.9%
Miscellaneous Receipts	27,262	31,345	15.0%	27,797	-11.3%	25,163	-9.5%	25,569	1.6%	25,397	-0.7%
Federal Receipts	58,942	62,879	6.7%	63,772	1.4%	64,460	1.1%	66,187	2.7%	68,776	3.9%
Total All Funds Receipts	165,470	169,200	2.3%	172,378	1.9%	173,663	0.7%	179,746	3.5%	186,444	3.7%

¹ The FY 2019 amount does not include MTA PMT receipts as the Enacted Budget provided that the PMT be remitted to MTA without an appropriation beginning in FY 2019.

State tax receipts are projected to decrease 5.4 percent in FY 2019, driven largely by a PIT decline of 7.6 percent. Refer to the Personal Income Tax section herein for additional explanation of the atypical growth rate pattern for FY 2018 and FY 2019.

Consistent with the projected growth in the State economy over the multi-year Financial Plan period beyond FY 2019, all tax categories are projected to exhibit underlying annual outyear growth.

After controlling for the impact of tax law changes, base tax revenue increased 6.5 percent in FY 2018, is projected to decrease by 4.3 percent in FY 2019 and increase by 12.6 percent in FY 2020.



State Financial Plan Multi-Year Projections

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)											
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	51,501	47,583	-7.6%	51,770	8.8%	53,964	4.2%	57,124	5.9%	60,300	5.6%
Gross Collections	62,035	58,806	-5.2%	63,394	7.8%	65,471	3.3%	69,420	6.0%	73,204	5.5%
Refunds (Incl. State/City Offset)	(10,534)	(11,223)	-6.5%	(11,624)	-3.6%	(11,507)	1.0%	(12,296)	-6.9%	(12,904)	-4.9%
GENERAL FUND¹	36,037	21,367	-40.7%	23,699	10.9%	24,909	5.1%	26,583	6.7%	28,292	6.4%
Gross Collections	62,035	58,806	-5.2%	63,394	7.8%	65,471	3.3%	69,420	6.0%	73,204	5.5%
Refunds (Incl. State/City Offset)	(10,534)	(11,223)	-6.5%	(11,624)	-3.6%	(11,507)	1.0%	(12,296)	-6.9%	(12,904)	-4.9%
STAR	(2,589)	(2,424)	6.4%	(2,186)	9.8%	(2,073)	5.2%	(1,979)	4.5%	(1,858)	6.1%
RBTF	(12,875)	(23,792)	-84.8%	(25,885)	-8.8%	(26,982)	-4.2%	(28,562)	-5.9%	(30,150)	-5.6%

¹Excludes Transfers.

All Funds PIT receipts for FY 2019 are estimated to total \$47.6 billion, a decrease of \$3.9 billion (7.6 percent) from FY 2018 results. This decrease is primarily driven by a decline in estimated payments related to the 2018 Tax Year coupled with an increase in total refunds. This decline is partially offset by expected growth in withholding and final returns.

The following table summarizes, by component, actual receipts for FY 2018 and forecast amounts through FY 2023.

State Financial Plan Multi-Year Projections



ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS						
(millions of dollars)						
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Current	Proposed	Projected	Projected	Projected
Receipts						
Withholding	40,269	40,721	42,510	44,133	46,306	48,243
Estimated Payments	17,781	13,956	16,572	16,769	18,351	19,991
Current Year	14,329	10,429	10,956	11,579	12,433	13,276
Prior Year ¹	3,452	3,527	5,616	5,190	5,918	6,715
Final Returns	2,478	2,629	2,748	2,908	3,032	3,189
Current Year	308	286	301	316	331	346
Prior Year ¹	2,170	2,343	2,447	2,592	2,701	2,843
Delinquent	1,507	1,500	1,564	1,661	1,731	1,781
Gross Receipts	62,035	58,806	63,394	65,471	69,420	73,204
Refunds						
Prior Year ¹	6,292	6,080	6,328	7,230	7,716	8,015
Previous Years	527	626	653	683	714	745
Current Year ¹	2,249	2,250	1,750	1,750	1,750	1,750
Advanced Credit Payment	610	1,094	1,894	720	867	1,020
State/City Offset ¹	856	1,173	999	1,124	1,249	1,374
Total Refunds	10,534	11,223	11,624	11,507	12,296	12,904
Net Receipts	51,501	47,583	51,770	53,964	57,124	60,300

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2019 is estimated to be \$452 million (1.1 percent) higher than FY 2018 results, driven by the combination of moderate growth in non-bonus wages and a decline in bonus wages. In the initial Executive Budget Financial Plan, DOB projected withholding growth at 1.8 percent over FY 2018 levels. Extension payments related to Tax Year 2017 are expected to increase by \$75 million (2.2 percent), primarily due to one-time payments related to the expiration of the Federal ten-year window to repatriate foreign hedge fund earnings. These one-time payments have been offset by an acceleration of New York State tax liability payments into December 2017 to take advantage of the uncapped Federal itemized deduction for State and local taxes paid for Tax Year 2017, leaving growth in extension payments nearly flat. Estimated payments attributable to Tax Year 2018 are projected to decrease by \$3.9 billion (27.2 percent), driven by a combination of a decline in nonwage income, higher estimated payments made in Tax Year 2017, stemming from the TCJA and repatriation of foreign hedge fund earnings, and higher extensions payments made in Tax Year 2018, due to payment timing behavior in response to the capped Federal itemized deduction for State and local taxes paid for Tax Year 2018. FY 2019 final return payments are projected to increase by \$151 million (6.1 percent) and delinquencies are projected to decline by \$7 million (0.5 percent).

Projected growth in total refunds of \$689 million (6.5 percent) includes increases of \$484 million (79.3 percent) in advanced credit payments related to Tax Year 2018, \$99 million (18.8 percent) in previous tax year (2016 and earlier) refunds, and \$317 million (37 percent) in the state-city offset, partially offset by a decline of \$212 million (3.4 percent) in prior tax year (2017) refunds. The administrative January-March refund cap will remain at the higher level in FY 2019, as in FY 2018.



State Financial Plan Multi-Year Projections

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2019 of \$21.4 billion are projected to decrease by \$14.7 billion (40.7 percent) from FY 2018 results, reflecting a combination of enacted legislation that doubled RBTF deposits from 25 percent to 50 percent of net PIT receipts, and the decline in All Funds receipts noted above. As a result, RBTF deposits are projected to nearly double to \$23.8 billion. The FY 2019 STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts for FY 2020 of \$51.8 billion are projected to increase by \$4.2 billion (8.8 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 7.8 percent, reflecting increases of \$1.8 billion (4.4 percent) in withholding, \$527 million (5.1 percent) in estimated payments related to Tax Year 2019, \$2.1 billion (59.2 percent) in extension payments related to Tax Year 2018, \$119 million (4.5 percent) in final returns, and \$64 million (4.3 percent) in delinquencies. Total refunds are projected to increase by \$401 million (3.6 percent), due to increases of \$800 million (73.1 percent) in advanced credit payments, \$248 million (4.1 percent) in prior tax year (2018) refunds, and \$27 million (4.3 percent) in previous tax years (2017 and earlier) refunds, partially offset by a \$500 million (22.2 percent) expected decline in the administrative refund cap and a \$174 million (14.8 percent) decline in the state-city offset.

General Fund PIT receipts for FY 2020 of \$23.7 billion are projected to increase by \$2.3 billion (10.9 percent) from current year estimates, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$25.9 billion and the STAR transfer is projected to be \$2.2 billion.

All Funds PIT receipts for FY 2021 of \$54 billion are projected to increase by nearly \$2.2 billion (4.2 percent) from FY 2020 projections. Gross PIT receipts are projected to increase 3.3 percent, primarily reflecting projected withholding growth of \$1.6 billion (3.8 percent). Total refunds are projected to decline by \$117 million (1 percent).

Total estimated payments are projected to increase by \$197 million (1.2 percent) due to a \$623 million (5.7 percent) increase in estimated payments for Tax Year 2020, partially offset by a \$426 million (7.6 percent) decline in extensions for Tax Year 2019. Final returns are expected to increase by \$160 million (5.8 percent) and delinquencies are projected to increase by \$97 million (6.2 percent). The decline in total refunds is attributable to the scheduled expiration of the Property Tax Relief Credit.

General Fund PIT receipts for FY 2021 of \$24.9 billion are projected to increase by \$1.2 billion (5.1 percent). RBTF deposits are projected to be \$27 billion, and the STAR transfer is projected to be \$2.1 billion.

All Funds PIT receipts in FY 2022 are projected to increase by \$3.2 billion from FY 2021 projections to reach \$57.1 billion, while General Fund PIT receipts are projected to total \$26.6 billion in FY 2022.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)											
	FY 2018	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023		
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	16,711	17,445	4.4%	18,186	4.2%	18,948	4.2%	19,481	2.8%	20,112	3.2%
Sales Tax	14,495	15,212	4.9%	16,107	5.9%	16,797	4.3%	17,365	3.4%	17,973	3.5%
Cigarette and Tobacco Taxes	1,171	1,112	-5.0%	1,048	-5.8%	989	-5.6%	944	-4.6%	904	-4.2%
Vapor Excise Tax	0	0	0.0%	10	0.0%	39	290.0%	39	0.0%	39	0.0%
Motor Fuel Tax	512	531	3.7%	515	-3.0%	515	0.0%	515	0.0%	515	0.0%
Highway Use Tax	93	144	54.8%	142	-1.4%	143	0.7%	145	1.4%	146	0.7%
Alcoholic Beverage Taxes	259	262	1.2%	265	1.1%	269	1.5%	272	1.1%	275	1.1%
Medical Cannabis Excise Tax	2	4	100.0%	4	0.0%	4	0.0%	4	0.0%	4	0.0%
Adult Use Cannabis Tax	0	0	0.0%	0	0.0%	83	0.0%	85	2.4%	141	65.9%
Taxicab Surcharge	56	50	-10.7%	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Auto Rental Tax	123	130	5.7%	95	-26.9%	109	14.7%	112	2.8%	115	2.7%
GENERAL FUND¹	7,377	7,709	4.5%	8,119	5.3%	8,434	3.9%	8,692	3.1%	8,971	3.2%
Sales Tax	6,776	7,120	5.1%	7,542	5.9%	7,867	4.3%	8,133	3.4%	8,418	3.5%
Cigarette and Tobacco Taxes	342	327	-4.4%	312	-4.6%	298	-4.5%	287	-3.7%	278	-3.1%
Alcoholic Beverage Taxes	259	262	1.2%	265	1.1%	269	1.5%	272	1.1%	275	1.1%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2019 are estimated to total \$17.4 billion, a \$734 million (4.4 percent) increase from FY 2018 results. Sales tax receipts are estimated to increase \$717 million (4.9 percent) from FY 2018 results, reflecting base growth (i.e., absent law changes) of 6.4 percent. Cigarette and tobacco tax collections are projected to decrease by \$59 million (5 percent), reflecting a decline in taxable cigarette consumption. Highway Use Tax (HUT) collections are estimated to increase by \$51 million (54.8 percent) due to the return to normal refund levels after FY 2018 litigation-induced historic refund payouts. Motor fuel tax receipts are estimated to increase by \$19 million (3.7 percent) reflecting higher-than-expected gasoline consumption. Taxicab surcharge receipts are estimated to decline by \$6 million (10.7 percent) as consumers choose alternative transportation services not subject to the surcharge. Auto rental tax receipts are estimated to increase by \$7 million (5.7 percent).

General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under the LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2019 are projected to total \$7.7 billion, a \$332 million (4.5 percent) increase from FY 2018 results. This increase largely reflects the All Funds sales and use tax and cigarette tax trends noted above.



State Financial Plan Multi-Year Projections

All Funds consumption/use tax receipts for FY 2020 are projected to total \$18.2 billion, a \$741 million (4.2 percent) increase from FY 2019 estimates. The projected \$895 million (5.9 percent) increase in sales tax receipts reflects tax base growth of 3.7 percent and an additional \$273 million in projected revenue related to FY 2020 Executive Budget legislation. This increase is partially offset by the continued decline in taxable cigarette consumption, as well as shifting certain MTA receipts directly to the MTA.

General Fund consumption/use tax receipts are projected to be \$8.1 billion in FY 2020, a \$410 million (5.3 percent) increase from FY 2019. The projected increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2021 are projected to increase to over \$18.9 billion, an \$762 million (4.2 percent) increase from FY 2020. Increases in sales tax receipts reflects base growth of 3.5 percent, and an additional \$389 million in projected revenue related to Executive Budget legislation. The vapor excise tax will be fully phased-in and is projected to generate \$39 million. The excise tax, along with the license fees for adult use cannabis, is projected to generate \$83 million. These increases are partially offset by a continued decline in taxable cigarette consumption, as well as shifting certain MTA receipts directly to the MTA. FY 2021 General Fund consumption/use tax receipts are projected to increase to \$8.4 billion, a \$315 million (3.9 percent) increase from FY 2020 projections.

All Funds consumption/use tax receipts are projected to increase to nearly \$19.5 billion (2.8 percent growth) in FY 2022, largely representing base growth in sales tax receipts, which is slightly offset by a continued decline in taxable cigarette consumption, as well as shifting certain MTA receipts directly to the MTA. General Fund consumption/use tax receipts are projected to increase to nearly \$8.7 billion (3.1 percent growth) in FY 2022, reflecting the All Funds sales and use tax and cigarette tax trends, noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)											
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	7,164	7,744	8.1%	8,611	11.2%	8,784	2.0%	8,929	1.7%	9,292	4.1%
Corporate Franchise Tax	3,080	3,977	29.1%	4,362	9.7%	4,605	5.6%	4,646	0.9%	4,886	5.2%
Corporation and Utilities Tax	748	685	-8.4%	710	3.6%	717	1.0%	727	1.4%	736	1.2%
Insurance Tax	1,777	1,816	2.2%	2,307	27.0%	2,354	2.0%	2,467	4.8%	2,595	5.2%
Bank Tax	467	123	-73.7%	71	-42.3%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,092	1,143	4.7%	1,161	1.6%	1,108	-4.6%	1,089	-1.7%	1,075	-1.3%
GENERAL FUND	4,916	5,396	9.8%	6,163	14.2%	6,359	3.2%	6,463	1.6%	6,776	4.8%
Corporate Franchise Tax	2,327	3,157	35.7%	3,510	11.2%	3,717	5.9%	3,714	-0.1%	3,908	5.2%
Corporation and Utilities Tax	570	515	-9.6%	537	4.3%	541	0.7%	547	1.1%	553	1.1%
Insurance Tax	1,609	1,622	0.8%	2,056	26.8%	2,101	2.2%	2,202	4.8%	2,315	5.1%
Bank Tax	410	102	-75.1%	60	-41.2%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2019 are estimated to total \$7.7 billion, an increase of \$580 million (8.1 percent) from FY 2018 results. The estimate reflects increases in corporate franchise tax, insurance tax and petroleum business tax (PBT) receipts. Corporation and utilities tax and bank tax receipts are estimated to decline primarily due to higher receipts in FY 2018 that are not expected to recur in FY 2019.

Corporation franchise tax receipts are estimated to increase \$897 million (29.1 percent) in FY 2019, reflecting higher gross receipts. FY 2018 results were negatively impacted by a shortfall in cash remittances from taxpayers that continue to apply remaining overpayment balances from Tax Year 2015 that they can use to offset current year liability. It will likely be several years before many larger taxpayers exhaust these overpayment balances and need to remit cash payments for current liability. FY 2019 estimates also include \$60 million resulting from taxable interest associated with the Federal TCJA repatriated earnings provision and \$20 million from other TCJA flow-through impacts.

Corporation and utilities tax receipts are estimated to decrease by \$63 million (8.4 percent) from FY 2019. Audit receipts are projected to fall by \$44 million due to one-time payments from telecommunication companies in FY 2018. Gross receipts from telecommunications companies are expected to decline due to industry competitiveness and the movement of most communications to internet-based solutions which are not taxable. In 2017, over 90 percent of the population owned a smartphone.

Insurance tax receipts for FY 2019 are estimated to increase \$39 million (2.2 percent) from FY 2018. Projected growth in Tax Year 2018 liability reflects expected decreases in credit claims for assessments paid to the Life Insurance Company Guaranty Corporation (LICGC). The LICGC exists to protect policyholders from the insolvency of their life insurers. This is the third year of claims for the credit for assessments paid in prior years.



State Financial Plan Multi-Year Projections

Receipts from the repealed bank tax (all from prior liability periods) are estimated to decrease by \$344 million (73.7 percent), reflecting lower audit receipts (\$176 million) and increased refunds. PBT receipts are estimated to increase \$51 million (4.7 percent) in FY 2019, primarily due to the 5 percent increase in the PBT rate index.

General Fund business tax receipts for FY 2019 of \$5.4 billion are projected to increase by \$480 million (9.8 percent) from FY 2018 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of \$8.6 billion are projected to increase by \$867 million (11.2 percent) from FY 2019 estimates. The corporation franchise tax receipts increase of \$385 million (9.7 percent) reflects projected growth in corporate profits, increased audit receipts, and a stabilization of liability as taxpayers adjust to all aspects of State corporate tax reform (effective Tax Year 2015). This projection includes \$71 million in TCJA flow-through impacts in the corporation franchise tax. The increase in corporation and utilities tax receipts of \$25 million (3.6 percent) is primarily attributable to growth in the utilities section of the tax.

Insurance tax receipts for FY 2020 of \$2.3 billion are projected to increase by \$491 million (27 percent) from current year estimates. This projected increase is primarily due to the conversion of a not-for-profit health insurer to a for-profit health insurer in addition to projected growth in insurance tax premiums combined with lower expected LICGC credit claims. Receipts from the repealed bank tax are projected to decrease by \$52 million (42.3 percent) in FY 2019, due to lower projected audit receipts. PBT receipts are projected to increase by \$18 million (1.6 percent) in FY 2020 due to the 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2020 of nearly \$6.2 billion are projected to increase by \$767 million (14.2 percent) from current year estimates, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2021 of nearly \$8.8 billion are projected to increase by \$173 million (2 percent), and General Fund business tax receipts are projected to increase to nearly \$6.4 billion (3.2 percent growth) from FY 2020 projections. The increase is primarily reflective of growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. Increases in projected corporation and utilities tax and insurance tax receipts are partially offset by a decline in projected bank tax and PBT receipts. This projection includes \$52 million in TCJA flow-through impacts in the corporation franchise tax.

All Funds business tax receipts for FY 2022 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2022, All Funds business tax receipts are projected to increase to \$8.9 billion (1.7 percent growth), and General Fund business tax receipts are projected to increase to nearly \$6.5 billion (1.6 percent growth). This projection includes \$53 million in TCJA flow-through impacts.

Other Taxes

OTHER TAXES (millions of dollars)											
	FY 2018 Results	FY 2019 Current	Change	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
STATE/ALL FUNDS	2,451	2,204	-10.1%	2,242	1.7%	2,344	4.5%	2,456	4.8%	2,567	4.5%
Estate Tax	1,308	1,056	-19.3%	1,074	1.7%	1,135	5.7%	1,198	5.6%	1,263	5.4%
Real Estate Transfer Tax	1,125	1,130	0.4%	1,148	1.6%	1,183	3.0%	1,219	3.0%	1,263	3.6%
Employer Compensation Expense Program	0	0	0.0%	2	0.0%	8	300.0%	21	162.5%	23	9.5%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,326	1,074	-19.0%	1,093	1.8%	1,157	5.9%	1,226	6.0%	1,292	5.4%
Estate Tax	1,308	1,056	-19.3%	1,074	1.7%	1,135	5.7%	1,198	5.6%	1,263	5.4%
Employer Compensation Expense Program	0	0	0.0%	1	0.0%	4	300.0%	10	150.0%	11	10.0%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2019 are estimated to total over \$2.2 billion, a decrease of \$247 million (10.1 percent) from FY 2018 results. This is primarily due to an estimated \$252 million (19.3 percent) decrease in estate tax receipts resulting from a return to historical levels in both the number and average payment value of super-large (i.e., over \$25 million) payments. Real estate transfer tax receipts are expected to increase by \$5 million (0.4 percent) due to weak estimated growth in housing starts and housing prices.

General Fund other tax receipts are estimated to be near \$1.1 billion in FY 2019, a decrease of \$252 million (19 percent) from FY 2018 results, reflecting the decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2020 are projected to total well over \$2.2 billion, a \$38 million (1.7 percent) increase from FY 2019 estimates. The \$18 million (1.7 percent) projected increase in estate tax receipts reflects projected growth in household net worth, partially offset by a projected decline of one super-large payment compared to the prior year. Real estate transfer tax receipts are projected to increase by \$18 million (1.6 percent), reflecting continued weak projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to be nearly \$1.1 billion, an increase of \$19 million (1.8 percent) from FY 2019 estimates due to the projected increase in estate tax receipts noted above.



State Financial Plan Multi-Year Projections

All Funds other tax receipts for FY 2021 are projected to be well over \$2.3 billion, a \$102 million (4.5 percent) increase from FY 2020 projections. Estate tax receipts are projected to increase by \$61 million (5.7 percent) in FY 2021, reflecting projected growth in household net worth. The \$35 million (3 percent) projected increase in real estate transfer tax receipts in FY 2021 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to total below \$1.2 billion, an increase of \$64 million (5.9 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 reflect projected trend growth in household net worth, housing starts, and housing prices. All Funds other tax receipts are projected to be nearly \$2.5 billion in FY 2022, an increase of \$112 million (4.8 percent).

General Fund other tax receipts are projected to be over \$1.2 billion in FY 2022, an increase of \$69 million (6 percent).

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS											
(millions of dollars)											
	FY 2018	FY 2019	FY 2020		FY 2021			FY 2022		FY 2023	
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	27,262	31,345	15.0%	27,797	-11.3%	25,163	-9.5%	25,569	1.6%	25,397	0.0%
General Fund	3,129	3,195	2.1%	2,071	-35.2%	2,049	-1.1%	1,906	-7.0%	1,896	-0.5%
Special Revenue Funds	17,933	19,496	8.7%	17,816	-8.6%	16,374	-8.1%	16,707	2.0%	16,247	-2.8%
Capital Projects Funds	5,729	8,156	42.4%	7,516	-7.8%	6,346	-15.6%	6,563	3.4%	6,862	4.6%
Debt Service Funds	471	498	5.7%	394	-20.9%	394	0.0%	393	-0.3%	392	-0.3%

All Funds miscellaneous receipts are projected to total \$31.3 billion in FY 2019, an increase of 15 percent from FY 2018 results. This increase is primarily due to growth in bond financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with PIT or Sales Tax Revenue Bond proceeds, at which time they are captured as miscellaneous receipts.

All Funds miscellaneous receipts are projected to decline annually after FY 2019, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceed reimbursements in later years, which corresponds to prior year capital expenses.

Federal Grants

FEDERAL GRANTS (millions of dollars)											
	FY 2018	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023		
	Results	Current	Change	Proposed	Change	Projected	Change	Projected	Change	Projected	
ALL FUNDS	58,942	62,879	6.7%	63,772	1.4%	64,460	1.1%	66,187	2.7%	68,776	0.0%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	56,744	60,372	6.4%	61,470	1.8%	62,200	1.2%	63,928	2.8%	66,520	4.1%
Capital Projects Funds	2,125	2,433	14.5%	2,229	-8.4%	2,187	-1.9%	2,187	0.0%	2,187	0.0%
Debt Service Funds	73	74	1.4%	73	-1.4%	73	0.0%	72	-1.4%	69	-4.2%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the current administration and congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan. The FY 2020 Executive Budget includes proposed authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.



State Financial Plan Multi-Year Projections

Disbursements

In FY 2020, disbursements from the State's General Fund, including transfers, are expected to total \$76.6 billion and disbursements from State Operating Funds are expected to total \$102 billion. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (e.g., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$67 billion in FY 2020, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain key factors considered in preparing the spending projections for the State’s local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2019 Current	Forecast			
		FY 2020 Projected	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HEALTH CARE					
Medicaid - Individuals Covered	6,244,999	6,280,845	6,298,768	6,307,730	6,312,211
Essential Plan - Individuals Covered	743,421	748,572	753,758	758,981	764,239
Child Health Plus - Individuals Covered	395,199	410,703	418,455	422,493	424,257
State Takeover of County/NYC Costs ¹	\$3,337	\$3,677	\$4,027	\$4,389	\$4,761
EDUCATION					
School Aid (School Year Basis Funding)	\$26,734	\$27,690	\$28,784	\$30,036	\$31,313
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	558,135	557,950	TBD	TBD	TBD
Tuition Assistance Program (Recipients)	273,884	274,148	TBD	TBD	TBD
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	206,170	201,673	198,774	195,823	192,967
Safety Net Program (Families)	120,580	117,775	115,903	114,041	112,256
Safety Net Program (Singles)	211,438	212,716	215,224	217,332	219,622
MENTAL HYGIENE					
OMH Community Beds	45,006	46,528	47,324	47,874	48,414
OPWDD Community Beds	43,722	44,075	44,430	44,789	45,150
OASAS Community Beds	13,532	13,778	14,066	14,150	14,331
Total	102,260	104,381	105,820	106,813	107,895
PRISON POPULATION					
	47,400	47,400	47,400	47,400	47,400

¹ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.



State Financial Plan Multi-Year Projections

Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to total \$27.7 billion in SY 2020, an annual increase of \$956 million (3.6 percent), including a \$338 million Foundation Aid increase. A Community Schools set-aside of \$250 million within Foundation Aid (a \$50 million increase from the prior year) provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$411 million supports increased reimbursement in expense-based and categorical aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan provides \$50 million for new competitive grant programs, including a \$15 million investment to expand prekindergarten programs for three- and four-year-old students targeted to high-need school districts, and \$10 million to expand the Empire State After-School Program, helping to keep young people safe and engaged during after school hours. The State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten (SUFPK) programs.

Since FY 2013, Financial Plan projections have assumed that year-over-year growth in School Aid disbursements would not exceed the annual percent growth in NYS personal income. However, since FY 2014, the State has annually authorized School Aid increases above PIGI. Starting in FY 2020, the Budget recommends that the statutory growth cap for School Aid be amended to reflect a ten-year average of annual NYS personal income growth. The use of a School Aid growth cap based on a ten-year average is expected to reduce the volatility associated with a one-year average growth cap, limit the impact of the BEA’s frequent revisions to NYS personal income growth estimates, and better align Executive School Aid proposals with the State’s Enacted School Aid increases. Consistent with a ten-year average PIGI, the FY 2020 Executive Budget Financial Plan reflects a School Aid increase of 3.6 percent.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	SY 2019	SY 2020	Change	SY 2021	Change	SY 2022	Change	SY 2023	Change
Total	26,734	27,690	956	28,784	1,094	30,036	1,252	31,313	1,277
			3.6%		4.0%		4.3%		4.3%

State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	26,468	27,217	2.8%	28,352	4.2%	29,557	4.3%	30,826	4.3%
General Fund Local Assistance	23,028	23,324	1.3%	24,738	6.1%	25,949	4.9%	27,218	4.9%
Medicaid	99	78	-21.2%	78	0.0%	78	0.0%	78	0.0%
Core Lottery Aid	2,294	2,679	16.8%	2,427	-9.4%	2,427	0.0%	2,427	0.0%
VLT Lottery Aid	907	975	7.5%	948	-2.8%	948	0.0%	948	0.0%
Commercial Gaming	140	161	15.0%	161	0.0%	155	-3.7%	155	0.0%

State fiscal year spending for School Aid is projected to total \$27.2 billion in FY 2020, a 2.8 percent increase over FY 2019. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as core lottery, video lottery and commercial gaming revenues are expected to either decline or remain largely flat beginning in FY 2021. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid are supplemented by commercial gaming revenues shared with the State by commercial gaming facilities. These receipts are expected to remain flat in FY 2021, decline slightly by \$6 million in FY 2022, and remain flat in FY 2023. Pursuant to State Gaming Commission approval, four casinos were awarded licenses and are now operational. In January 2019, the Monticello Casino and Raceway announced plans to cease its VLT operations in April 2019. The assumption is a significant amount of current gaming activity at Monticello will shift to nearby Resorts World Catskills Casino. This closure and anticipated shift in gaming activity are expected to have a limited net impact on the State's projected combined VLT and casino revenue resources in FY 2020 and thereafter.

If casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.



State Financial Plan Multi-Year Projections

Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	2,263	2,310	2.1%	2,352	1.8%	2,445	4.0%	2,546	4.1%
Special Education	1,295	1,350	4.2%	1,417	5.0%	1,483	4.7%	1,550	4.5%
All Other Education	968	960	-0.8%	935	-2.6%	962	2.9%	996	3.5%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2020 is primarily attributable to the restoration of State funding to reimburse summer school special education programs, which was reduced in last year’s Enacted Budget. Outyear spending is primarily attributable to projected cost growth in preschool and summer school special education programs.

Projected decrease in All Other Education spending in FY 2020 and FY 2021 primarily reflects the discontinuation of one-time aid and grants. Outyear spending is projected to increase, largely due to continued growth in charter school supplemental tuition and facilities aid payments for charter schools in New York City.

School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner’s property value from the local school tax levy. Lower-income senior citizens will receive a \$68,700 exemption in FY 2020. The DTF oversees local property assessment administration and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2020 program costs are: the Basic school property tax exemption for homeowners with incomes under \$250,000 or the Basic school property tax credit for homeowners with incomes under \$500,000 (54 percent); Enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,300 (27 percent); and a credit for income-eligible resident NYC personal income taxpayers (19 percent). The FY 2018 Enacted Budget converted the NYC PIT rate reduction into a PIT tax credit starting with the 2017 tax year. As of FY 2019, NYC STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

Spending on the STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from STAR-eligible homeowners. Since 2017, the STAR exemption program has been gradually transitioned from a spending program into an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change has no impact on the value of the STAR benefit received by homeowners.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,424	2,186	-9.8%	2,073	-5.2%	1,979	-4.5%	1,858	-6.1%
Gross Program Costs	3,361	3,426	1.9%	3,489	1.8%	3,569	2.3%	3,610	1.1%
Program Conversion	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(937)	(1,240)	-32.3%	(1,416)	-14.2%	(1,590)	-12.3%	(1,752)	-10.2%
Basic Exemption	1,526	1,372	-10.1%	1,300	-5.2%	1,239	-4.7%	1,162	-6.2%
Gross Program Costs	1,786	1,864	4.4%	1,895	1.7%	1,935	2.1%	1,964	1.5%
Personal Income Tax Credit	(260)	(492)	-89.2%	(595)	-20.9%	(696)	-17.0%	(802)	-15.2%
Enhanced (Senior) Exemption	898	814	-9.4%	773	-5.0%	740	-4.3%	696	-5.9%
Gross Program Costs	949	922	-2.8%	938	1.7%	961	2.5%	973	1.2%
Personal Income Tax Credit	(51)	(108)	-111.8%	(165)	-52.8%	(221)	-33.9%	(277)	-25.3%
New York City PIT	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Gross Program Costs	626	640	2.2%	656	2.5%	673	2.6%	673	0.0%
Personal Income Tax Credit	(626)	(640)	-2.2%	(656)	-2.5%	(673)	-2.6%	(673)	0.0%

The gross program costs and PIT credit values reported in the FY 2019 Enacted Budget Financial Plan table were reported on a tax year basis. The values in the table above have been changed to a State fiscal year basis, and reflect updated PIT credit information. These changes do not impact the projected net cost of the STAR Program.

Much of the spending decline projected in FYs 2020 through 2023 is related to recommended Executive Budget actions to reduce the income limit for recipients of the Basic STAR exemption benefit from \$500,000 to \$250,000 and capping annual growth in the STAR exemption benefit at zero percent; both actions are not applicable to STAR credit benefits.



State Financial Plan Multi-Year Projections

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the HESC.

HIGHER EDUCATION (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	3,002	2,945	-1.9%	2,934	-0.4%	2,979	1.5%	3,014	1.2%
City University	1,494	1,517	1.5%	1,562	3.0%	1,597	2.2%	1,631	2.1%
Senior Colleges	1,235	1,277	3.4%	1,316	3.1%	1,351	2.7%	1,386	2.6%
Community College	259	240	-7.3%	246	2.5%	246	0.0%	245	-0.4%
Higher Education Services	1,026	959	-6.5%	901	-6.0%	911	1.1%	913	0.2%
Tuition Assistance Program	836	804	-3.8%	762	-5.2%	771	1.2%	773	0.3%
Scholarships/Awards	178	143	-19.7%	127	-11.2%	128	0.8%	128	0.0%
Aid for Part-Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
State University	482	469	-2.7%	471	0.4%	471	0.0%	470	-0.2%
Community College	477	465	-2.5%	467	0.4%	467	0.0%	466	-0.2%
Other/Cornell	5	4	-20.0%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of more than 410,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 309,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State also provides more than \$1.0 billion annually for SUNY state-operated campus operations through a General Fund transfer and fully supports the fringe benefits costs of SUNY employees at state-operated campuses, totaling nearly \$2.0 billion. The State also pays debt service for bond-financed capital projects of the university systems. State debt service payments for capital projects at SUNY and CUNY are estimated at nearly \$1.2 billion in FY 2020, an increase of \$38 million from FY 2019 levels.

HESC is New York State’s student financial aid agency and oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, TAP, the Aid for Part Time Study program, and 26 other scholarship and loan forgiveness programs. Along with other sources of tuition assistance, the Excelsior Scholarship will allow approximately 55 percent of full-time SUNY and CUNY in-state students to attend college tuition-free when it is fully phased in. Together, these programs provide financial aid to approximately 400,000 students.

The FY 2020 Executive Budget includes funding to implement the DREAM Act which will give undocumented students access to the Excelsior Scholarship, TAP, as well as other state-administered scholarships. The Executive Budget also provides for the launch of the third and final phase of the Excelsior Scholarship, increasing the income eligibility threshold to \$125,000, and new funding to implement a family empowerment pilot program at SUNY and CUNY community colleges. The family empowerment pilot program will enable single parents to receive financial and academic supports, including on-campus childcare options aligned with the nationally recognized Accelerated Study in Associates Program (ASAP).



Higher education spending is projected to decrease by \$57 million, or 1.9 percent, from FY 2019 to FY 2020 and by \$11 million, or 0.4 percent, from FY 2020 to FY 2021. This decrease largely reflects accounting changes for certain payments from HESC to State-operated SUNY campuses, to count these payments as a transfer instead of a disbursement. Projected spending growth in FY 2022 and FY 2023 is largely due to employee fringe benefit growth for CUNY Senior Colleges.



Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with the goal of achieving a 25 percent reduction in avoidable hospital use over five years. The Financial Plan reflects the impact of the DSRIP program through additional Federal Funds disbursements of nearly \$8 billion through FY 2021, with the remaining funds expected to be disbursed after FY 2021. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funded Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The FY 2020 Executive Budget reflects the continuation of the “Global Cap” through FY 2023, and the projections assume that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.3 percent in FY 2019. Reflecting updated projections for the medical CPI growth, DOB currently forecasts allowable cap growth at 3 percent for FYs 2020 and 2021 and 2.9 percent for FYs 2022 and 2023.

State Financial Plan Multi-Year Projections



MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Global Medicaid Cap¹	18,865	19,433	20,006	20,594	21,200
Annual \$ Change		568	573	588	606
Annual % Change		3.0%	3.0%	2.9%	2.9%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration costs, increased FFP pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, education aid and corrections.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Department of Health Medicaid	<u>20,297</u>	<u>21,080</u>	<u>21,991</u>	<u>23,099</u>	<u>23,963</u>
Local Assistance	20,400	21,135	22,093	23,199	24,064
State Operations	332	272	269	271	270
MSA Payments (Share of Local Growth) ²	(435)	(327)	(371)	(371)	(371)
Other State Agency Medicaid Spending	<u>3,038</u>	<u>2,740</u>	<u>3,228</u>	<u>3,183</u>	<u>3,393</u>
Mental Hygiene	2,852	2,569	3,054	3,007	3,217
Foster Care	85	91	94	98	98
Education	99	78	78	78	78
Corrections	2	2	2	0	0
Total State Share Medicaid (All Agencies)	23,335	23,820	25,219	26,282	27,356
Annual \$ Change		485	1,399	1,063	1,074
Annual % Change		2.1%	5.9%	4.2%	4.1%
Essential Plan³	87	84	79	76	72
Local Assistance	0	0	0	0	0
State Operations	87	84	79	76	72

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

² MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State share support for Medicaid.

³ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.



State Financial Plan Multi-Year Projections

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
STATE OPERATING FUNDS	23,422	23,904	2.1%	25,298	5.8%	26,358	4.2%	27,428	4.1%
Department of Health Medicaid	20,384	21,164	3.8%	22,070	4.3%	23,175	5.0%	24,035	3.7%
General Fund - DOH Medicaid Local	14,271	15,182	6.4%	16,143	6.3%	17,267	7.0%	18,049	4.5%
DOH Medicaid	11,433	11,794	3.2%	12,707	7.7%	13,013	2.4%	13,541	4.1%
Non-DOH Medicaid ¹	1,653	1,484	-10.2%	1,317	-11.3%	1,873	42.2%	1,873	0.0%
Minimum Wage	703	1,131	60.9%	1,207	6.7%	1,287	6.6%	1,358	5.5%
Local Growth Takeover (Zero Growth Phase-in) ²	917	1,100	20.0%	1,283	16.6%	1,465	14.2%	1,648	12.5%
MSA Payments (Share of Local Growth) ³	(435)	(327)	24.8%	(371)	-13.5%	(371)	0.0%	(371)	0.0%
General Fund - DOH Medicaid State Ops	332	272	-18.1%	269	-1.1%	271	0.7%	270	-0.4%
General Fund - Essential Plan	87	84	-3.4%	79	-6.0%	76	-3.8%	72	-5.3%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	87	84	-3.4%	79	-6.0%	76	-3.8%	72	-5.3%
Other State Funds - DOH Medicaid Local	5,694	5,626	-1.2%	5,579	-0.8%	5,561	-0.3%	5,644	1.5%
HCRA Financing	3,915	3,889	-0.7%	3,811	-2.0%	3,762	-1.3%	3,813	1.4%
Indigent Care Support	892	892	0.0%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	887	843	-5.0%	874	3.7%	905	3.5%	937	3.5%
Medical Indemnity Fund	0	2	0.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	3,038	2,740	-9.8%	3,228	17.8%	3,183	-1.4%	3,393	6.6%
USE OF MSA PAYMENTS (Share of Local Growth)³	435	327	-24.8%	371	13.5%	371	0.0%	371	0.0%
LOCAL SHARE OF MEDICAID⁴	8,516	7,328	-14.0%	7,036	-4.0%	7,204	2.4%	7,212	0.1%
FEDERAL SHARE OF MEDICAID	45,156	46,769	3.6%	47,381	1.3%	48,963	3.3%	51,425	5.0%
DOH Medicaid	40,300	41,884	3.9%	42,447	1.3%	44,016	3.7%	46,470	5.6%
Essential Plan	4,856	4,885	0.6%	4,934	1.0%	4,947	0.3%	4,955	0.2%
ALL FUNDING SOURCES	77,529	78,328	1.0%	80,086	2.2%	82,896	3.5%	86,436	4.3%

¹ The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap. Effective FY 2020, the Medicaid Global Cap program will assume a portion of the annual costs associated with minimum wage.

² As of County Year (CY) 2015 the State pays the full share of local government Medicaid services growth.

³ MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth consistent with legislation.

⁴ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

The FY 2020 Executive Budget Financial Plan includes \$990 million in annual savings from the Medicaid Global Cap. To achieve savings within the Global Cap necessary to support these additional costs, DOH will continue to implement various MRT actions to improve efficiency and effective delivery of the statewide Medicaid program. These actions include a reduction of Pharmacy Benefit Manager (PBM) costs by narrowing the gap between Managed Care Organization payments to pharmacies and the costs to the Medicaid program; consolidate and establish uniform reimbursement for Fiscal Intermediaries providing support in the consumer directed personal care program; transform the nursing home patient acuity data collection process to provide improved rate adequacy; apply for a waiver to leverage Federal funding for certain supportive housing services currently funded with State-only dollars; investing in the NYSOFA support services targeted to maintain the elderly in their communities, support family and friends in their caregiving roles, and delay future Medicaid costs by intervening with less intensive services earlier.

State Financial Plan Multi-Year Projections



In FY 2018, bonds secured by annual payments from tobacco manufacturers under the MSA were retired, with no remaining debt service requirements to be paid on these bonds. DOB expects payments under the MSA of approximately \$435 million to be available in FY 2020 (including \$103 million from FY 2019) and additional payments to be available in subsequent years. Existing legislation directs these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$917 million in FY 2019 and \$1.1 billion in FY 2020. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but does reduce reported State-supported Medicaid spending accounted for in State Operating Funds. The Financial Plan assumes that the MSA payments will provide financial plan relief through lower annual General Fund Medicaid disbursements. The table below displays the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Share Support	<u>23,857</u>	<u>24,231</u>	<u>25,669</u>	<u>26,729</u>	<u>27,799</u>
State Funds Medicaid Disbursements	23,422	23,904	25,298	26,358	27,428
MSA Payments (Local Growth)	435	327	371	371	371

The Financial Plan maintains General Fund support for costs associated with the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in New York City, and Westchester, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.¹⁷ The impact of these minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$703 million in FY 2019, \$1.1 billion in FY 2020, \$1.2 billion in FY 2021, \$1.3 billion in FY 2022, and \$1.4 billion in FY 2023.

¹⁷ Home health care workers in New York City and certain counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York City; \$3.22 for Westchester, Nassau, and Suffolk counties), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.



State Financial Plan Multi-Year Projections

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients is expected to reach about 6.3 million by the end of FY 2020, a slight increase from FY 2019. This increase is partly driven by an increase in elderly enrollees in the Medicaid program.

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service districts may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Savings associated with the Medicaid program are provided to the Financial Plan through the Mental Hygiene Global Cap Adjustment, which allows for a portion of the Office for People with Developmental Disabilities (OPWDD) and Office of Mental Health (OMH) related Medicaid costs to be paid for under the Global Cap.

Local Medicaid Cap

The Local Medicaid Cap, which was implemented in FY 2006, was designed to relieve pressure on county property taxes and the NYC budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. In January 2006, counties began making cap payments based on 2005 Medicaid expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out all growth in the local share of Medicaid costs over a three year period. The takeover of local Medicaid costs by the State saved local districts nearly \$3.7 billion in FY 2020 including nearly \$2.0 billion from counties outside of New York City. Over the life of the Financial Plan (FY 2019 through FY 2023) the State will assume more than \$20 billion in Medicaid expenditures that would have otherwise been borne by local districts.

State Financial Plan Multi-Year Projections



LOCAL GOVERNMENT SAVINGS					
STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER)					
FY 2019 to FY 2023					
(in dollars)					
County	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Albany	35,957,091	39,091,519	42,323,114	45,654,889	49,089,948
Allegany	6,250,580	6,761,693	7,288,650	7,831,943	8,392,079
Broome	36,476,312	38,835,425	41,267,671	43,775,316	46,360,698
Cattaraugus	12,847,503	13,784,279	14,750,095	15,745,851	16,772,475
Cayuga	12,686,410	13,536,456	14,412,854	15,316,420	16,247,996
Chautauqua	24,237,676	25,991,683	27,800,064	29,664,505	31,586,744
Chemung	14,568,215	15,663,030	16,791,784	17,955,529	19,155,351
Chenango	8,145,848	8,715,149	9,302,099	9,907,244	10,531,149
Clinton	12,086,674	13,017,435	13,977,050	14,966,413	15,986,446
Columbia	11,313,827	12,018,552	12,745,124	13,494,219	14,266,537
Cortland	7,111,399	7,652,873	8,211,133	8,786,699	9,380,107
Delaware	8,170,538	8,701,138	9,248,185	9,812,192	10,393,682
Dutchess	53,513,254	56,528,430	59,637,076	62,842,090	66,146,460
Erie	148,126,356	159,406,789	171,036,916	183,027,576	195,389,946
Essex	5,400,552	5,783,026	6,177,356	6,583,910	7,003,067
Franklin	8,279,018	8,855,705	9,450,270	10,063,266	10,695,264
Fulton	9,232,818	9,959,061	10,707,818	11,479,786	12,275,685
Genesee	7,064,202	7,589,481	8,131,044	8,689,395	9,265,055
Greene	8,441,158	9,014,780	9,606,185	10,215,923	10,844,564
Hamilton	428,454	462,313	497,221	533,211	570,317
Herkimer	10,711,968	11,477,383	12,266,526	13,080,133	13,918,962
Jefferson	16,957,769	18,120,914	19,320,116	20,556,493	21,831,199
Lewis	3,319,076	3,583,283	3,855,681	4,136,524	4,426,072
Livingston	7,708,558	8,243,237	8,794,492	9,362,836	9,948,799
Madison	9,256,044	9,898,736	10,561,351	11,244,508	11,948,843
Monroe	134,111,803	143,999,222	154,193,150	164,703,090	175,538,838
Montgomery	11,670,438	12,413,740	13,180,086	13,970,187	14,784,782
Nassau	214,267,995	228,375,536	242,920,410	257,916,176	273,376,810
Niagara	32,427,809	34,886,115	37,420,629	40,033,713	42,727,803
Oneida	40,380,700	43,410,010	46,533,229	49,753,267	53,073,127
Onondaga	87,620,280	93,610,546	99,786,511	106,153,930	112,718,739
Ontario	13,946,691	14,897,864	15,878,524	16,889,583	17,931,986
Orange	82,460,963	87,303,739	92,296,641	97,444,323	102,751,583
Orleans	7,126,684	7,612,433	8,113,240	8,629,571	9,161,910
Oswego	22,040,919	23,518,223	25,041,324	26,611,640	28,230,637
Otsego	6,642,592	7,183,658	7,741,496	8,316,627	8,909,588
Putnam	9,892,880	10,506,643	11,139,434	11,791,841	12,464,472
Rensselaer	21,590,877	23,340,058	25,143,463	27,002,774	28,919,723
Rockland	73,375,609	77,774,270	82,309,289	86,984,893	91,805,441
St. Lawrence	17,339,426	18,637,961	19,976,750	21,357,042	22,780,123
Saratoga	22,890,386	24,387,271	25,930,560	27,521,690	29,162,145
Schenectady	35,332,205	37,511,830	39,759,022	42,075,878	44,464,556
Schoharie	4,527,297	4,850,661	5,184,049	5,527,773	5,882,151
Schuyler	2,491,123	2,688,172	2,891,329	3,100,784	3,316,732
Seneca	4,410,769	4,738,237	5,075,856	5,423,941	5,782,818
Steuben	15,274,987	16,370,910	17,500,806	18,665,728	19,866,763
Suffolk	256,722,717	272,621,288	289,012,716	305,912,278	323,335,726
Sullivan	20,810,363	22,103,310	23,436,338	24,810,689	26,227,646
Tioga	6,416,335	6,874,505	7,346,878	7,833,895	8,336,009
Tompkins	9,783,789	10,468,322	11,174,075	11,901,707	12,651,895
Ulster	38,388,074	40,736,455	43,157,635	45,653,872	48,227,493
Warren	8,576,122	9,232,320	9,908,859	10,606,371	11,325,506
Washington	11,025,331	11,726,986	12,450,392	13,196,223	13,965,175
Wayne	16,859,253	17,832,469	18,835,854	19,870,345	20,936,905
Westchester	151,208,321	162,809,864	174,771,055	187,103,043	199,817,323
Wyoming	5,379,342	5,719,224	6,069,643	6,430,924	6,803,405
Yates	3,424,043	3,666,319	3,916,105	4,173,635	4,439,149
Rest of State	1,866,707,425	1,994,500,529	2,126,255,220	2,262,094,306	2,402,144,404
New York City	1,469,849,011	1,682,133,022	1,900,997,838	2,126,647,463	2,359,292,227
Statewide	3,336,556,436	3,676,633,552	4,027,253,058	4,388,741,769	4,761,436,631



State Financial Plan Multi-Year Projections

Health Care Transformation Fund

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell substantially all its assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, DFS and the Office of the Attorney General. The transaction included the health care companies’ agreement to contribute an estimated \$2 billion in both direct payments and taxes over five years.

The funds are expected to be used over the next five years to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care related needs including, but not limited to, those associated with the social determinants of health.

Following the completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF¹⁸ in July 2018. Future conversion proceeds expected to be deposited to the HCTF include \$468 million in FY 2020, followed by a \$118 million in both FY 2021 and FY 2022, and \$68 million in FY 2023. The direct payments to the HCTF do not include the impact of increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

HEALTH CARE TRANSFORMATION FUND					
PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Opening Balance	0	513	314	0	0
Receipts	<u>1,068</u>	<u>468</u>	<u>118</u>	<u>118</u>	<u>68</u>
Fidelis Payment	1,000	400	50	50	0
Centene Payment	68	68	68	68	68
Planned Uses	<u>(555)</u>	<u>(667)</u>	<u>(432)</u>	<u>(118)</u>	<u>(68)</u>
Housing Rental Subsidies	(329)	(441)	(296)	(118)	(68)
State Only Medicaid Payments	(136)	(136)	(136)	0	0
Capital Projects	(90)	(90)	0	0	0
Closing Balance	513	314	0	0	0

DOB expects to transfer HCTF funds to the General Fund to offset State costs for eligible health care transformation activities, including capital investments, debt restructuring activities, housing and other social purposes. The actual transfers will be reported in future Financial Plan Updates, as appropriate.

¹⁸ The HCTF was created pursuant to Part FFF of Chapter 59 of the Laws of 2018 to account for receipts such as those associated with the Fidelis- Centene sale. Moneys in HCTF shall be available for transfer to any other fund of the State as authorized and directed by the Director of the Budget to support health care delivery.

Essential Plan

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 740,000 New Yorkers have enrolled since the EP launched in January 2016.

ESSENTIAL PLAN (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL ALL FUNDS SPENDING	4,943	4,969	0.5%	5,013	0.9%	5,023	0.2%	5,027	0.1%
State Operating Funds	87	84	-3.4%	79	-6.0%	76	-3.8%	72	-5.3%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	87	84	-3.4%	79	-6.0%	76	-3.8%	72	-5.3%
Federal Operating Funds	4,856	4,885	0.6%	4,934	1.0%	4,947	0.3%	4,955	0.2%

The multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal marketplace premium index for base program expenses. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance program to be fully Federally financed. State savings associated with the EP local assistance program are managed within the total available resources of the Medicaid Global Cap.

In FY 2018, the President took unilateral Executive action to withhold CSR payments, threatening low-cost health insurance coverage for income eligible recipients when purchasing a QHP or EP coverage through the NYSOH, New York’s official health plan marketplace. Despite the Federal withholding of CSR payments, which amount to 25 percent of the Federal funding for the EP, the Executive Budget supported this program. However, recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. The Executive Budget continues to reflect support for the EP program.



State Financial Plan Multi-Year Projections

Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children from low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and EI that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	1,685	1,698	0.8%	1,891	11.4%	2,008	6.2%	2,028	1.0%
Public Health	1,556	1,557	0.1%	1,745	12.1%	1,856	6.4%	1,871	0.8%
Child Health Plus	364	416	14.3%	609	46.4%	716	17.6%	732	2.2%
General Public Health Work	155	179	15.5%	163	-8.9%	167	2.5%	167	0.0%
EPIC	128	121	-5.5%	118	-2.5%	118	0.0%	118	0.0%
Early Intervention	173	173	0.0%	165	-4.6%	165	0.0%	165	0.0%
HCRA Program	367	369	0.5%	384	4.1%	384	0.0%	384	0.0%
All Other	369	299	-19.0%	306	2.3%	306	0.0%	305	-0.3%
Aging	129	141	9.3%	146	3.5%	152	4.1%	157	3.3%

The Public Health budget maintains average annual growth over the multi-year Financial Plan of 4.8 percent and reflects increased support to local governments for services administered on behalf of the State, partly offset by program restructuring and administrative efficiencies. Increased CHP spending over the multi-year Financial Plan reflects enrollment that continues to increase at a strong pace. A one-time claims correction increased State costs by \$50 million in FY 2019. The increase in FY 2020 reflects the September 2019 phase down of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support and increased enrollment.

In addition to on-going program support, the FY 2020 Executive Budget includes \$6.8 million to help reduce the risk of child exposure to lead paint by lowering the acceptable blood lead level from 15 µg/dl to 5 µg/dl and support increased local enforcement and prevention costs through the GPHW program. Additionally, the Budget supports a five percent rate increase for EI services provided by licensed physical therapists, occupational therapists, and speech-language pathologists to improve access to care and expand service delivery for infants and toddlers with disabilities and their families. To offset the aforementioned statewide program costs and new investments, the Executive Budget Financial Plan modifies reimbursement of certain public health funding for New York City. The rate realignment of the NYC GPHW program will generate savings of \$27 million in FY 2020 and \$54 million annually thereafter. Additional Public Health savings of \$16 million will be generated by shifting the Traumatic Brain Injury Program, Off-Track Betting retirees health insurance, and Nursing Home Transition and Diversion waiver under the Medicaid Global Cap.

The FY 2020 Executive Budget Financial Plan includes SOFA savings realized by eliminating the planned 2.9 percent FY 2020 increase in the Human Services Cost of Living increase, resulting in \$5 million in annual savings for FY 2020 through FY 2023. These savings are offset by a \$15 million investment in the Expanded In-Home Services for the Elderly Program (EISEP) program to address locally-identified capacity needs in NYSOFA support services to maintain the elderly in their communities, support family and friends in their caregiving roles, and delay future Medicaid costs by intervening with less intensive services earlier.



State Financial Plan Multi-Year Projections

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, such as CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Current	Proposed	Projected	Projected	Projected
OPENING BALANCE	15	0	0	0	0
TOTAL RECEIPTS	5,916	6,002	6,059	6,100	6,146
Surcharges	3,519	3,647	3,785	3,859	3,936
Covered Lives Assessment	1,110	1,110	1,045	1,045	1,045
Cigarette Tax Revenue	785	736	691	658	627
Hospital Assessments	424	424	424	424	424
Excise Tax on Vapor Products	0	10	39	39	39
NYC Cigarette Tax Transfer	32	32	32	32	32
EPIC Receipts/ICR Audit Fees	46	43	43	43	43
TOTAL DISBURSEMENTS AND TRANSFERS	5,931	6,002	6,059	6,100	6,146
Medicaid Assistance Account ¹	<u>3,915</u>	<u>3,889</u>	<u>3,811</u>	<u>3,762</u>	<u>3,813</u>
Medicaid Costs	3,718	3,692	3,614	3,565	3,616
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	892	892	892	892	892
HCRA Program Account	375	377	392	392	392
Child Health Plus	375	429	625	734	748
Elderly Pharmaceutical Insurance Coverage	140	133	131	130	130
NYSOH Health Benefit Exchange	0	47	44	43	42
SHIN-NY/APCD	40	40	0	0	0
All Other	194	195	164	147	129
ANNUAL OPERATING SURPLUS/(DEFICIT)	(15)	0	0	0	0
CLOSING BALANCE	0	0	0	0	0

¹ NYSOH spending will be financed with available HCRA resources through the Medicaid program.

Total HCRA receipts are forecast to grow modestly in total over the multi-year plan, in relation to increased surcharge collections generated, as well as continued growth in utilization levels due to expanded coverage through the ACA and a new 20 percent excise tax on vapor products. Projected increases in surcharges are partly offset by declines in estimated covered lives assessments and cigarette tax collections, attributable to declining taxable consumption.

Total HCRA disbursements are commensurate with the multi-year revenue forecast. The Executive Budget Financial Plan reflects continued FY 2020 HCRA funding for a number of programs and initiatives. Specifically, the continuation of the SHIN-NY/All-Payer Claims Databases (APCD) infrastructure development initiative, estimated at \$40 million annually, which improves the informational and data capabilities associated with claiming records; \$892 million for Hospital Indigent Care, which assists providers in paying for uncompensated services provided; and the continuation of the EPIC program, which supplements income-eligible seniors for their out-of-pocket Medicare Part D drug plan costs. Over the multi-year Financial Plan period, the most substantial area of spending growth is within the CHP program, based on the expiration of the enhanced Federal resources provided through the ACA in September 2019. Due to substantiated claims of strong year-over-year enrollment growth, estimated outyear spending growth is \$196 million in FY 2021, \$109 million in FY 2022 and \$14 million in FY 2023.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

Mental Hygiene

The Department of Mental Hygiene is comprised of the Office for People with Developmental Disabilities (OPWDD), Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,176	1,978	-9.1%	2,499	26.3%	2,490	-0.4%	2,748	10.4%
People with Developmental Disabilities	2,183	2,280	4.4%	2,577	13.0%	2,765	7.3%	2,937	6.2%
Residential Services	1,367	1,404	2.7%	1,543	9.9%	1,656	7.3%	1,758	6.2%
Day Programs	641	659	2.8%	724	9.9%	776	7.2%	825	6.3%
Clinic	20	20	0.0%	22	10.0%	24	9.1%	25	4.2%
All Other Services (Net of Offsets)	155	197	27.1%	288	46.2%	309	7.3%	329	6.5%
Mental Health	1,291	1,367	5.9%	1,463	7.0%	1,530	4.6%	1,597	4.4%
Adult Local Services	1,017	1,080	6.2%	1,155	6.9%	1,210	4.8%	1,260	4.1%
Children Local Services	274	287	4.7%	308	7.3%	320	3.9%	337	5.3%
Alcohol and Substance Abuse	354	364	2.8%	381	4.7%	395	3.7%	414	4.8%
Outpatient/Methadone	128	132	3.1%	138	4.5%	142	2.9%	150	5.6%
Residential	144	148	2.8%	156	5.4%	164	5.1%	172	4.9%
Prevention and Program Support	75	77	2.7%	81	5.2%	84	3.7%	88	4.8%
Crisis	7	7	0.0%	6	-14.3%	5	-16.7%	4	-20.0%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,829	4,012	4.8%	4,422	10.2%	4,691	6.1%	4,949	5.5%
Total Global Cap Adjustments ¹	(1,653)	(2,034)	-23.0%	(1,923)	5.5%	(2,201)	-14.5%	(2,201)	0.0%
OPWDD Medicaid	(1,653)	(1,814)	-9.7%	(1,703)	6.1%	(1,981)	-16.3%	(1,981)	0.0%
OMH Medicaid	0	(220)	0.0%	(220)	0.0%	(220)	0.0%	(220)	0.0%

¹ Adjustments reflect OPWDD and OMH programmatic spending that is paid for with available resources under the Medicaid Global Cap. There are no budgetary reductions or impacts to mental hygiene program spending as a result of these interactions.

Local assistance spending accounts for approximately 44 percent of total mental hygiene spending from State Operating Funds and is projected to grow by an average rate of 6.6 percent in the outyears. The main factors driving this level of growth are: enhancements in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.

The FY 2020 Executive Budget Financial Plan includes approximately \$183 million, or 4.8 percent, in increased local assistance funding for the mental hygiene agencies. Roughly \$56 million will be used to support the incremental pay standards of minimum wage and related fringe benefit increases associated with the transition to a \$15 an hour living wage. Other increases include investments to leverage up to \$120 million in additional OPWDD funding, which will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. The Financial Plan also includes additional OMH funding to support ongoing community-based services expansion and enhanced funding to existing residential programs.

The FY 2020 Executive Budget Financial Plan also reflects increased support for OASAS to continue to enhance prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities and public awareness activities.

The funding increase is offset by a technical shift of program expenses to the Medicaid Global Cap (\$440 million), as a greater share of OPWDD and OMH Medicaid spending will be funded with available Global Cap resources. These technical adjustments have no impact on mental hygiene service delivery or operations.



Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	1,155	1,343	16.3%	1,351	0.6%	1,355	0.3%	1,358	0.2%
SSI	661	663	0.3%	667	0.6%	667	0.0%	667	0.0%
Public Assistance Benefits	381	535	40.4%	541	1.1%	541	0.0%	541	0.0%
Public Assistance Initiatives	11	28	154.5%	24	-14.3%	24	0.0%	24	0.0%
All Other	102	117	14.7%	119	1.7%	123	3.4%	126	2.4%

DOB’s caseload models project a total of 532,164 public assistance recipients in FY 2020. Approximately 201,673 families are expected to receive benefits through the Family Assistance program in FY 2020, a decrease of 2.2 percent from FY 2020. The Safety Net caseload for families is projected at 117,755 in FY 2020, a decrease of 2.3 percent from FY 2019. The caseload for single adults/childless couples supported through the Safety Net program is projected at 212,716 in FY 2020, an increase of 0.6 percent from FY 2019.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan, as caseload is expected to level off. Public assistance benefits will increase due to a variety of factors, including the expansion of New York City HIV/AIDS Services Administration (HASA) benefits to public assistance recipients living in New York City, and increased costs associated with an increase in Safety Net caseload for singles. Other spending growth includes increased spending on homeless services and prevention and the Response to Human Trafficking program. The Executive Budget also proposes a restructuring of financing for the Family Assistance program, shifting 10 percent of costs previously financed by Federal TANF to NYC to align with the funding structure for the Emergency Assistance for Families program.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2019	FY 2020	FY 2021		FY 2022		FY 2023		Change
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	1,691	1,488	-12.0%	1,606	7.9%	1,660	3.4%	1,660	0.0%
Child Welfare Service	506	491	-3.0%	501	2.0%	501	0.0%	501	0.0%
Foster Care Block Grant	384	384	0.0%	393	2.3%	403	2.5%	403	0.0%
Adoption	148	148	0.0%	157	6.1%	157	0.0%	157	0.0%
Child Care	290	143	-50.7%	244	70.6%	263	7.8%	263	0.0%
Youth Programs	90	99	10.0%	92	-7.1%	92	0.0%	92	0.0%
Medicaid	85	89	4.7%	95	6.7%	99	4.2%	99	0.0%
Adult Protective/Domestic Violence	45	48	6.7%	51	6.3%	54	5.9%	54	0.0%
Committees on Special Education	22	24	9.1%	26	8.3%	29	11.5%	29	0.0%
All Other	121	62	-48.8%	47	-24.2%	62	31.9%	62	0.0%

FY 2020 OCFS State Operating Funds spending is projected to decrease from FY 2019 due to several factors, including utilization of TANF to offset state child care and Advantage After-School costs, reducing Pay For Success program spending based on participation and spending to date, and the planned deferral of the Human Services COLA in FY 2020. Growth in the outyears is primarily attributable to a decrease in TANF dollars supporting child care, which is offset by increased General Fund support for the program.

Transportation

In FY 2020, the State expects to provide almost \$5.8 billion in operating aid to mass transit systems, including over \$2.3 billion in off-budget aid to the MTA (not included in the table below). This aid is funded mainly from various dedicated taxes and fees. The MTA, the nation’s largest transit and commuter rail system, receives the majority of the mass transit aid - totaling \$5.3 billion in FY 2020.

The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

TRANSPORTATION (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	3,936	3,534	-10.2%	3,565	0.9%	3,694	3.6%	3,845	4.1%
Mass Transit Operating Aid:	<u>2,323</u>	<u>2,497</u>	<u>7.5%</u>	<u>2,508</u>	<u>0.4%</u>	<u>2,508</u>	<u>0.0%</u>	<u>2,508</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,187	2,350	7.5%	2,350	0.0%	2,350	0.0%	2,350	0.0%
Public Transit Aid	92	103	12.0%	114	10.7%	114	0.0%	114	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	378	244	-35.4%	244	0.0%	244	0.0%	244	0.0%
MTA Aid Trust	292	31	-89.4%	0	-100.0%	0	0.0%	0	0.0%
Dedicated Mass Transit	683	696	1.9%	747	7.3%	876	17.3%	1,027	17.2%
AMTAP	260	66	-74.6%	66	0.0%	66	0.0%	66	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year that reflect the most recent revenue forecast assumptions.

The Executive Budget includes legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This will eliminate the pass through of these fees and taxes, will ensure more timely receipt by the MTA, consistent with treatment of mobility tax collections. Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments. The MTA will receive over \$2.2 billion from Mobility Tax resources in FY 2020.

Local Government Assistance

Direct aid to local governments includes the AIM program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)											
	FY 2019		FY 2020			FY 2021		FY 2022		FY 2023	
	Current	Proposed	Change	Projected	Change	Projected	Change	Projected	Change		
TOTAL STATE OPERATING FUNDS	724	672	-7.2%	704	4.8%	704	0.0%	704	0.0%		
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%		
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%		
Towns and Villages	68	9	-86.8%	9	0.0%	9	0.0%	9	0.0%		
Restructuring/Efficiency	9	16	77.8%	48	200.0%	48	0.0%	48	0.0%		

The Executive Budget proposes to eliminate AIM for 846 towns and 480 villages that rely on AIM for less than 2 percent of total expenditures. The affected municipalities would instead receive county internet sales tax in an amount equal to the AIM reduction. Counties are expected to receive additional sales tax from the Executive proposed internet fairness conformity tax.

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase from FY 2019 to FY 2021 due to potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and General State Charges (GSCs). PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigation. Certain agency operating costs of DOT and DMV are included in Capital Projects Funds and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2019 Current	Forecast			
		FY 2020 Projected	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Negotiated Base Salary Increases ¹					
UUP	2%	2%	2%	2%	TBD
NYSBPA	2%	2%	2%	2%	2%
NYSCOPBA	2%	2%	2%	2%	2%
CSEA/DC-37 (Rent Regulation Unit)	2%	2%	2%	TBD	TBD
PEF/GSEU/MC	2%	TBD	TBD	TBD	TBD
NYSPIA ² /Council 82/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ³	119,327	119,449	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁴	15.5%	15.2%	15.8%	17.5%	18.8%
After Amortization ⁵	19.2%	23.0%	17.5%	19.2%	20.4%
PFRS Contribution Rate					
Before Amortization ⁴	24.1%	23.9%	25.0%	26.7%	28.1%
After Amortization ⁵	26.9%	30.3%	26.2%	27.8%	29.2%
Employee/Retiree Health Insurance Growth Rates	5.6%	2.8%	8.1%	7.8%	7.8%
PS/Fringe as % of Receipts (All Funds Basis)	13.5%	13.8%	14.1%	14.0%	14.0%
¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements. ² Contract contains "reopener" language which allows this union to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018. ³ Reflects workforce that is subject to direct Executive control. ⁴ Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation. ⁵ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.					

State Financial Plan Multi-Year Projections



Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$19.4 billion in FY 2019 to \$20.8 billion in FY 2023. Most Executive agencies are expected to hold spending at FY 2019 levels. The increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, labor agreements, growth in SUNY operating costs, including costs of the recently settled UUP contract, and an additional administrative payroll in FY 2021.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Current	Proposed	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	10,541	10,569	10,965	11,055	11,204
Mental Hygiene	2,800	2,810	2,876	2,927	2,976
Corrections and Community Supervision	2,752	2,707	2,751	2,792	2,870
State Police	728	728	754	769	784
Information Technology Services	545	540	551	557	568
Department of Health	812	804	799	796	788
Tax and Finance	334	345	356	345	344
Children and Family Services	289	264	383	385	385
Transportation	280	332	337	342	342
Environmental Conservation	209	199	211	205	205
Financial Services	209	209	215	209	209
Parks, Recreation and Historic Preservation	175	159	166	161	161
Workers' Compensation Board	143	143	148	143	143
Temporary and Disability Assistance	127	133	138	140	140
General Services	137	103	102	100	102
Gaming	81	73	75	73	73
All Other	920	1,020	1,103	1,111	1,114
UNIVERSITY SYSTEMS	6,175	6,300	6,562	6,654	6,859
State University	6,080	6,203	6,465	6,555	6,760
City University	95	97	97	99	99
INDEPENDENT AGENCIES	333	339	347	339	339
Law	179	182	187	182	182
Audit & Control (OSC)	154	157	160	157	157
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	17,049	17,208	17,874	18,048	18,402
Judiciary	2,124	2,166	2,223	2,166	2,166
Legislature	230	235	239	244	244
Statewide Total	19,403	19,609	20,336	20,458	20,812
Personal Service	13,795	14,052	14,717	14,734	15,017
Non-Personal Service	5,608	5,557	5,619	5,724	5,795



State Financial Plan Multi-Year Projections

In addition to growth across many agencies for collective bargaining agreements, the most significant annual changes to FY 2020 spending for agency operations include:

- **Mental Hygiene.** Cost increases are driven primarily by continued delivery in State-operated program settings.
- **Children and Family Services.** Higher spending is mainly driven by additional funding to support raising the age of criminal responsibility from 16 to 18 by October 1, 2019. The increases are offset by a modification to the youth facility billings process.
- **Transportation.** Increases reflect a larger amount of operating costs related to snow and ice removal, and bus, truck and rail inspection which have been reclassified from the DHBTf to the General Fund beginning in FY 2019.
- **General Services.** The Executive Budget shifts certain Business Services Center (BSC) and other operational expenses to an Internal Service Fund to simplify BSC operations by merging funding sources.

Workforce

In FY 2020, \$14 billion, or 13.7 percent, of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 97,500 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (46,464) and Independent Agencies (18,051); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in the mental hygiene agencies and Department of Corrections and Community Supervision (DOCCS).

STATE OPERATING FUNDS		
FY 2020 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
SUBJECT TO DIRECT EXECUTIVE CONTROL	7,675	97,532
Mental Hygiene	2,334	32,353
Corrections and Community Supervision	2,146	26,858
State Police	668	5,666
Department of Health	296	4,078
Information Technology Services	292	3,479
Tax and Finance	272	4,085
Children and Family Services	172	2,360
Environmental Conservation	163	2,235
Transportation	162	2,591
Financial Services	154	1,395
Parks, Recreation and Historic Preservation	125	1,524
Workers' Compensation Board	85	1,109
Education	84	1,263
Temporary and Disability Assistance	73	1,016
General Services	26	407
All Other	623	7,113
UNIVERSITY SYSTEMS	4,232	46,464
State University	4,183	46,090
City University ²	49	374
INDEPENDENT AGENCIES	2,145	18,051
Law	129	1,533
Audit & Control (OSC)	126	1,524
Judiciary	1,710	14,991
Legislature ³	180	3
Statewide Total	14,052	162,047
¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.		
² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,258 FTEs, which are excluded from this table.		
³ Legislative employees who are nonannual salaried are excluded from this table.		

General State Charges

The State provides a variety of fringe benefits to its current and former employees, including health insurance, pensions, the Social Security payroll tax, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pay for certain statewide fixed costs, including taxes on State-owned lands, payments in lieu of taxes (PILOT) (for the City of Albany) and judgments / settlements awarded in the Court of Claims. Many of these payments are mandated by statute or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments.

GSC spending is projected to increase at an average annual rate of 5.6 percent over the multi-year Financial Plan period (\$512 million) and 9.6 percent in FY 2020 (\$806 million).

Growth in the health insurance program of \$111 million (2.6 percent) is reflective of medical inflation and current enrollment levels. Workers' compensation costs are increasing by \$101 million due to underlying growth in the average weekly wage used for benefit calculations and medical costs (\$51 million / 8.9 percent), and a reduction available in reserve funds to offset costs (\$50 million). Overall pension costs are projected to remain relatively stable due to improved investment returns and ongoing savings from Tier 5 and Tier 6 pension reforms. Social Security spending is also relatively stable due to steady workforce levels.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	8,428	9,234	9.6%	9,164	-0.8%	9,771	6.6%	10,414	6.6%
Fringe Benefits	8,011	8,804	9.9%	8,723	-0.9%	9,324	6.9%	9,967	6.9%
Health Insurance	4,196	4,307	2.6%	4,627	7.4%	4,961	7.2%	5,323	7.3%
Pensions	2,422	2,963	22.3%	2,417	-18.4%	2,643	9.4%	2,838	7.4%
Social Security	1,035	1,067	3.1%	1,104	3.5%	1,095	-0.8%	1,106	1.0%
Workers' Compensation	476	577	21.2%	679	17.7%	736	8.4%	797	8.3%
Employee Benefits	143	137	-4.2%	151	10.2%	166	9.9%	179	7.8%
Dental Insurance	60	61	1.7%	63	3.3%	65	3.2%	66	1.5%
Unemployment Insurance	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(333)	(320)	3.9%	(330)	-3.1%	(354)	-7.3%	(354)	0.0%
Fixed Costs	417	430	3.1%	441	2.6%	447	1.4%	447	0.0%
Public Land Taxes/PILOTS	263	269	2.3%	276	2.6%	282	2.2%	282	0.0%
Litigation	154	161	4.5%	165	2.5%	165	0.0%	165	0.0%

Growth in GSC spending in FY 2020 reflects a \$500 million repayment of amortized pension costs due in FY 2021 through FY 2023. The accelerated repayment would save approximately \$33 million in interest expense. DOB will evaluate fiscal conditions before executing the accelerated amortization repayment.

In addition, the FY 2020 estimate includes gap-closing savings of approximately \$173 million included in the Executive Budget Financial Plan. The savings are primarily driven by \$63 million in interest savings achieved by paying the majority of the State pension bill in April 2019, rather than on a monthly basis as previously assumed. Over the multi-year Financial Plan period, outyear pension costs reflect expected investment performance, projected growth in salary base, and assumptions about future normal and administrative costs. Pension costs also reflect repayment of prior-year amortization, costs for Chapter 41 of 2016 (Veteran's pension credit legislation), and other adjustments.

NYSHIP costs have increased by approximately 14 percent over the past three fiscal years -- from \$3.20 billion in FY 2016 to \$3.67 billion in FY 2018. The Executive Budget includes three proposals to help restrain this growth.

The first proposal would eliminate the taxpayer subsidy for high-income state retirees who pay Medicare Part B IRMAA. This regressive subsidy provides retirees earning over \$85,000 per year greater State taxpayer subsidies than lower income retirees. The reimbursement of these costs, which were originally intended by the Federal government to have wealthier retirees pay a fairer share of Medicare costs, would no longer be provided. Eliminating this subsidy is estimated to save \$12.4 million in FY 2021 (\$2.9 million in FY 2020 due to the lag in reimbursement).

The second proposal would establish a floor for state reimbursement of the Medicare Part B standard premium. In CY 2019, New York taxpayers are reimbursing the standard premium for new and existing retirees at the amount of \$135.50 per month, consistent with CY 2019 Federal program costs. Any future increases in reimbursement above this level would be subject to the annual budget process. The cost of this reimbursement is increasing from \$207 million in CY 2018 to \$249 million in CY 2019. This proposal will save \$2.3 million in FY 2020, and \$12.3 million in FY 2021 if the subsequent budget does not authorize a funding increase.

The third proposal would create a sliding scale for retiree health insurance coverage. Currently, taxpayers support lifetime health coverage for State retirees with more than 10 years of service. This proposal would create a sliding scale of subsidies that begin at ten years of service, and gradually increase until they are no different than current levels once an individual reaches 30 years of service. This would be effective for new employees who begin State service on or after April 1, 2019. Since this proposal affects future employees, no savings are expected until April of 2029, but a 5 percent reduction in the State's OPEB liability (\$4 billion) is anticipated.

The Executive Budget also proposes to establish interest rates paid on court judgements by public and private entities at a variable market-based interest rate equal to the average one-year constant maturity treasury yield. This is the same rate utilized by the Federal court system. The current fixed rate of as much as 9 percent annually was established in 1981 when interest rates were at 15 percent to avoid unnecessary taxpayer costs. Payment of a prevailing market rate will help ensure that neither side in a lawsuit will be disadvantaged by an interest rate that is above or below what otherwise could be earned while cases are being adjudicated. It will provide mandate relief for local governments and lower State taxpayer costs by \$6 million.



State Financial Plan Multi-Year Projections

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Current	Proposed	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	4,847	5,688	6,215	6,455	7,060
Debt Service	804	537	762	653	642
SUNY University Operations	1,020	1,174	1,255	1,255	1,255
Capital Projects	1,963	2,895	3,051	3,214	3,678
Extraordinary Monetary Settlements:	1,020	1,021	603	911	982
Dedicated Infrastructure Investment Fund	1,054	1,125	864	798	784
FY 2018 Temporary Loan to Capital Projects Fund ¹	(500)	0	0	0	0
Transfer to DIIF for Javits Expansion	388	320	128	0	0
Bond Proceeds Receipts for Javits Expansion	0	(500)	(500)	0	0
Transfer to Capital Projects Fund - Clean Water Grants	0	0	25	50	175
Mass Transit Capital from Settlements	6	6	6	0	0
Statewide Health Care Capital from Settlements	72	70	80	63	23
Dedicated Highway and Bridge Trust Fund	138	403	480	323	438
Environmental Protection Fund	28	28	28	28	96
All Other Capital	777	1,443	1,940	1,952	2,162
ALL OTHER TRANSFERS	1,060	1,082	1,147	1,333	1,485
Department of Transportation (MTA Payroll Tax)	244	244	244	244	244
SUNY - Medicaid Reimbursement	243	243	243	243	243
Judiciary Funds	112	112	113	113	113
Dedicated Mass Transportation Trust Fund	66	65	116	256	408
Banking Services	47	53	53	53	53
Indigent Legal Services	28	28	28	75	75
General Service Executive Direction	22	22	22	22	22
Mass Transportation Operating Assistance	22	21	21	21	21
Correctional Industries	21	21	21	21	21
Public Transportation Systems	16	16	16	16	16
Health Income Fund	16	16	16	16	16
Centralized Technology Services	14	11	11	11	11
Spinal Cord Injury	9	9	9	9	9
Medical Cannabis Fund	7	5	7	7	7
All Other	193	216	227	226	226

¹ Represents the temporary use of Extraordinary Monetary Settlement fund balances to pay for capital projects in the first instance. These advances will be repaid in the following year when the State reimburses the capital spending from bond proceeds.



A portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and HUT. The Financial Plan includes transfers from the General Fund that effectively subsidize DHBTF expenses, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) routinely exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$5.7 billion in FY 2020, a \$841 million increase from FY 2019. The change is mainly due to capital projects transfers and includes the timing of reimbursing General Fund capital advances made in prior fiscal years (\$650 million) and an increase in transfers to DHBTF in FY 2020 (\$265 million) to support debt service payments related to DHBTF bonds.



State Financial Plan Multi-Year Projections

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as the Empire State Development Corporation (ESD), DASNY, and the New York State Thruway Authority (NYSTA), the payment obligation on which is subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2019 Current	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
General Fund	804	537	-33.2%	762	41.9%	653	-14.3%	642	-1.7%
Other State Support	5,171	5,157	-0.3%	6,279	21.8%	6,410	2.1%	6,706	4.6%
State Operating/All Funds Total	5,975	5,694	-4.7%	7,041	23.7%	7,063	0.3%	7,348	4.0%

Total State Operating/All Funds debt service is projected to be \$5.7 billion in FY 2020, of which \$537 million is paid from the General Fund via transfers, and \$5.2 billion is paid from other State funds supported by dedicated tax receipts. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for the State’s revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment in FY 2018 of \$594 million in debt service costs due in FY 2019, as well as a planned prepayment of \$765 million in FY 2019 for debt service costs due in FY 2020.



FY 2019 Year-To-Date Operating Results



FY 2019 Year-To-Date Operating Results

This is a summary of preliminary operating results for the first nine months of FY 2019 (April through December 2018) compared to: (a) results for the prior fiscal year (April through December 2017), (b) the “initial” projections set forth in the FY 2019 Enacted Budget Financial Plan, and (c) the most recent “updated” projections set forth in the FY 2019 Mid-Year Financial Plan Update. The summary of spending results compared to estimates is focused on the State Operating Funds basis, which is the 2 percent growth benchmark measure.

Summary of General Fund Operating Results

Monetary settlements continue to affect the State’s cash position. The table below summarizes the receipts and disbursements variances from the initial and updated estimates, excluding monetary settlements. The discussion of balances, receipts, and disbursements that follow this table also excludes the receipts and use of monetary settlements, unless otherwise noted.

FY 2019 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2019 ENACTED AND REVISED BUDGETS					
APRIL THROUGH DECEMBER 2018					
(millions of dollars)					
	Initial Plan	Revised Plan	Results	Variance Above / (Below)	
				Initial	Revised
Opening Fund Balance (Excl. Extr. Monetary Settlements)	4,425	4,425	4,425	-	-
Total Receipts	51,220	50,751	50,605	(615)	(146)
Taxes ¹	48,584	48,339	47,934	(650)	(405)
Non-Tax Receipts/Transfers ²	2,636	2,412	2,671	35	259
Total Disbursements	53,243	53,098	53,218	(25)	120
Local Assistance	34,763	35,010	35,230	467	220
Agency Operations	14,816	14,548	14,319	(497)	(229)
Transfers to Other Funds ³	3,664	3,540	3,669	5	129
Net Change in Operations	(2,023)	(2,347)	(2,613)	(590)	(266)
Closing Fund Balance (Excl. Extr. Monetary Settlements)	2,402	2,078	1,812	(590)	(266)
Extraordinary Monetary Settlements					
Opening Balance	5,020	5,020	5,020	-	-
Settlements Received/Expected ⁴	183	518	1,096	913	578
Transfers/Uses	(1,539)	(1,138)	(1,278)	261	(140)
Closing Balance	3,664	4,400	4,838	1,174	438
Closing Fund Balance (Incl. Extr. Monetary Settlements)	6,066	6,478	6,650	584	172

¹ Includes transfers from other funds after debt service.

² Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.

³ Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).

⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

FY 2019 Year-To-Date Operating Results



Results Compared to Enacted and Updated Estimates

The General Fund closing balance at the end of December was \$1.8 billion (\$590 million below the initial estimate and \$266 million above the updated estimate).

PIT collections were lower than expected, with respect to both the initial and revised plans, primarily due to a combination of lower December current estimated payments and underestimated transfers from the Revenue Bond Tax Fund to the General Fund. Business tax collections were lower than projected in both the initial and revised plans, primarily attributable to lower audit receipts, and the timing of insurance and corporate franchise tax payments.

Local assistance spending was higher than expected, mainly due to Medicaid, partly offset by lower spending in several areas, including School Aid, social services and mental hygiene. Operational spending fell below estimates. General Fund transfers to support capital spending did not occur as planned.

Extraordinary Monetary Settlements

The State ended December 2018 with a balance of \$4.8 billion in monetary settlement funds held in the General Fund. The balance is higher than planned and reflects the receipt of unplanned settlement moneys and slower utilization.

The table below summarizes the variances from the initial and updated estimates, including monetary settlements.

GENERAL FUND OPERATING RESULTS APRIL THROUGH DECEMBER 2018 (millions of dollars)							
	Initial Plan	Revised Plan	Results	Above/(Below) Variance			
				Initial Plan		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	9,445	9,445	9,445	-	0.0%	-	0.0%
Total Receipts	51,328	51,194	51,621	293	0.6%	427	0.8%
Taxes:	48,584	48,339	47,934	(650)	-1.3%	(405)	-0.8%
Personal Income Tax ¹	32,538	32,337	32,084	(454)	-1.4%	(253)	-0.8%
Consumption / Use Taxes ¹	10,489	10,438	10,481	(8)	-0.1%	43	0.4%
Business Taxes	3,982	4,024	3,755	(227)	-5.7%	(269)	-6.7%
Other Taxes ¹	1,575	1,540	1,614	39	2.5%	74	4.8%
Receipts and Grants	1,594	1,893	2,804	1,210	75.9%	911	48.1%
Transfers From Other Funds	1,150	962	883	(267)	-23.2%	(79)	-8.2%
Total Spending	54,707	54,161	54,416	(291)	-0.5%	255	0.5%
Local Assistance	34,763	35,010	35,230	467	1.3%	220	0.6%
Agency Operations (including GSCs)	14,816	14,548	14,319	(497)	-3.4%	(229)	-1.6%
Transfers to Other Funds	5,128	4,603	4,867	(261)	-5.1%	264	5.7%
Debt Service Transfer	570	509	510	(60)	-10.5%	1	0.2%
Capital Projects Transfer	2,836	2,377	2,667	(169)	-6.0%	290	12.2%
SUNY Operations Transfer	1,034	1,020	1,019	(15)	-1.5%	(1)	-0.1%
All Other Transfers	688	697	671	(17)	-2.5%	(26)	-3.7%
Change in Operations	(3,379)	(2,967)	(2,795)	584	17.3%	172	5.8%
CLOSING BALANCE	6,066	6,478	6,650	584	9.6%	172	2.7%

¹ Includes transfers from other funds after debt service.



FY 2019 Year-To-Date Operating Results

All Governmental Funds -- Results Compared to Prior Year

All Funds receipts through December 2018 were \$3.2 billion higher than during the same period in the prior year. This growth was comprised of higher Federal grants and miscellaneous receipts, offset by reduced taxes. Spending also increased for all major fund categories with the largest growth seen in Medicaid, Capital and Federal spending.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
APRIL THROUGH DECEMBER				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2018	FY 2019	\$	%
OPENING BALANCE	11,105	12,749	1,644	14.8%
ALL FUNDS RECEIPTS:	118,069	121,250	3,181	2.7%
Total Taxes	54,869	53,043	(1,826)	-3.3%
Personal Income Tax	34,032	32,530	(1,502)	-4.4%
All Other Taxes	20,837	20,513	(324)	-1.6%
Miscellaneous Receipts	20,549	21,562	1,013	4.9%
Federal Grants	42,651	46,645	3,994	9.4%
Bond & Note Proceeds	0	0	0	0.0%
ALL FUNDS DISBURSEMENTS:	115,226	121,557	6,331	5.5%
STATE OPERATING FUNDS	67,660	69,317	1,657	2.4%
Local Assistance	44,698	46,553	1,855	4.2%
School Aid	15,625	16,030	405	2.6%
DOH Medicaid (incl. admin and EP)	15,594	17,412	1,818	11.7%
All Other	13,479	13,111	(368)	-2.7%
State Operations	20,769	21,012	243	1.2%
Agency Operations	14,152	14,342	190	1.3%
Executive Agencies	7,525	7,572	47	0.6%
University Systems	4,638	4,692	54	1.2%
Elected Officials	1,989	2,078	89	4.5%
Fringe Benefits/Fixed Costs	6,617	6,670	53	0.8%
Pension Contribution	2,377	2,362	(15)	-0.6%
Health Insurance	2,917	3,135	218	7.5%
Other Fringe Benefits/Fixed Costs	1,323	1,173	(150)	-11.3%
Debt Service	2,193	1,752	(441)	-20.1%
Capital Projects	0	0	0	0.0%
CAPITAL PROJECTS (State and Federal Funds)	7,787	8,832	1,045	13.4%
FEDERAL OPERATING AID	39,779	43,408	3,629	9.1%
NET OTHER FINANCING SOURCES	(95)	(19)	76	80.0%
CHANGE IN OPERATIONS	2,748	(326)	(3,074)	-111.9%
CLOSING BALANCE	13,853	12,423	(1,430)	-10.3%

Receipts

PIT tax receipts decreased by \$1.5 billion (4.4 percent) due to a significant timing-related decline in current estimated payments related to the cap on SALT deductions, and strong growth in Property Tax Relief credits and Property Tax STAR credits payments, partially offset by moderate growth in withholding receipts, and a decline in total current Tax Year 2017 refunds as the result of a nearly \$500 million year-over-year increase in the FY 2018 January to March administrative refund cap.

The decline in all other taxes includes the direct remittance of the PMT collections to the MTA beginning in FY 2019, which previously passed through the State and was thus included in the FY 2018 receipts (\$963 million), as well as lower estate tax payments due to the receipt of three super-large estate tax payments through December in FY 2019 at a significantly lower total value, compared to three through the same period in FY 2018. The declines were partly offset by higher Consumption/User tax collections including growth in sales tax and corporate franchise tax receipts.

Miscellaneous receipts were \$1 billion (4.9 percent) higher in the current year mainly due to the receipt of a \$1 billion payment from Fidelis Care pursuant to the sale of substantially all its assets to Centene Corporation; unplanned extraordinary settlement moneys (\$297 million); HCRA receipts (\$142 million); and revenues deposited into the newly created Charitable Gifts Trust Fund (\$93 million). Lower bond proceeds reimbursements (\$933 million), primarily associated with ESDC, partly offset the higher receipts.

Federal grants were \$4 billion higher and follow the increase in Federal operating aid disbursements described below.

Spending

State Operating Funds spending totaled \$69.3 billion, an increase of \$1.7 billion (2.4 percent) compared to the prior year. Growth in Medicaid (\$1.8 billion) and School Aid (\$405 million) was partially offset by lower aggregate spending in all other local assistance programs (\$368 million).

Increased Medicaid spending was largely driven by: Increased claiming for rate changes that were processed later in this fiscal year (\$1 billion); Federal Part D Clawback (\$151 million); Timing of various program costs (\$100 million), ACA reimbursements to local governments (\$90 million), Community First Choice (\$139 million); and other offline payments; audit collections (\$81 million); Resolution of CMS deferrals (\$248 million); and underspending in the EP (\$82 million) associated with the timing of Federal receipts.

The increase in School Aid spending was largely due to an increase in General Aid payments (\$474 million), the timing of SUFPK aid payments (\$231 million), and Medicaid payments (\$47 million). These increases were partially offset by lower spending for Teachers' Retirement (\$221 million) and School Aid Lottery payments (\$134 million).



FY 2019 Year-To-Date Operating Results

The decline in all other local assistance spending was primarily attributable to direct flow of the PMT collections to the MTA, which previously passed through the State and were included in the FY 2018 results (\$905 million). This decline was partially offset by increased spending for the MTA Subway Action Plan (\$194 million), TAP (\$133 million), public assistance benefits (\$96 million), and the Special Education Summer School program (\$70 million).

Spending growth for executive agency operations occurred primarily in transportation (\$163 million), which includes certain operating costs that were moved from the DHBTF to the General Fund beginning in the current year, partially offset by decreased spending across multiple agencies including DOCCS, health and gaming. Operating spending for elected officials and University systems increased due to personal service costs associated with collectively bargained retroactive payments.

Higher fringe benefits spending (\$53 million) included planned cost increases for the State's share of employee health insurance and Workers' Compensation payments, offset by lower pension costs and other timing-related transactional adjustments.

Lower debt service spending (\$441 million) is attributable to the prepayment of FY 2019 obligations at the end of FY 2018 as well as a shift in principal payments from the beginning of the fiscal year to later in the year due to refundings.

Capital Projects Fund spending increased by \$1 billion primarily due to expenses for the MTA (\$463 million), expansion of the Jacob Javits Convention Center (\$316 million), DOH initiatives (\$140 million), and broadband expansion (\$69 million).

Growth in Federal operating aid spending (\$3.6 billion) was driven by increases in several programs including:

- Medicaid (\$1.8 billion higher) reflecting programmatic growth and increases in Federal Share funding.
- Social Services (\$1 billion higher) primarily attributable to the timing of payments related to Child Care (\$666 million), Public Assistance benefits (\$184 million), Flexible Fund for Family Services (\$112 million), and the Home Energy Assistance Program (HEAP) (\$63 million) programs relative to the same period in the prior year.
- EP (\$272 million higher) due to enrollment growth.
- Medicaid Administration (\$254 million higher) attributable to the resolution of FY 2016 CMS deferrals.
- School Aid (\$138 million higher) driven by increases in Federal Every Student Succeeds Act (ESSA) grants, partially offset by reduced spending in school breakfast and lunch meal reimbursement and Title III English Language Acquisition State Grants.

FY 2019 Year-To-Date Operating Results



All Governmental Funds -- Results Compared to Plan

The State ended December 2018 with an All Funds closing balance of \$12.4 billion, higher than both estimates (\$2.8 billion above the initial estimate and \$1.2 billion higher than the updated estimate).

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN APRIL 2018 THROUGH DECEMBER 2018 (millions of dollars)							
	Initial Plan	Revised Plan	Results	Above/(Below)			
				Initial Plan		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	12,749	12,749	12,749	-	0.0%	0	0.0%
ALL FUNDS RECEIPTS:	118,137	120,856	121,250	3,113	2.6%	394	0.3%
Total Taxes	53,609	53,463	53,043	(566)	-1.1%	(420)	-0.8%
Personal Income Tax	33,031	32,811	32,530	(501)	-1.5%	(281)	-0.9%
Consumption / Use Tax	13,159	13,188	13,266	107	0.8%	78	0.6%
Business Taxes	5,736	5,795	5,506	(230)	-4.0%	(289)	-5.0%
Other Taxes	1,683	1,669	1,741	58	3.4%	72	4.3%
Miscellaneous Receipts	20,877	21,490	21,562	685	3.3%	72	0.3%
Federal Grants	43,651	45,903	46,645	2,994	6.9%	742	1.6%
ALL FUNDS DISBURSEMENTS:	121,200	122,320	121,557	357	0.3%	(763)	-0.6%
STATE OPERATING FUNDS	69,283	69,109	69,317	34	0.0%	208	0.3%
Local Assistance	46,034	46,304	46,553	519	1.1%	249	0.5%
School Aid	16,286	16,240	16,030	(256)	-1.6%	(210)	-1.3%
DOH Medicaid ¹	15,969	16,595	17,412	1,443	9.0%	817	4.9%
Transportation	3,648	3,647	3,582	(66)	-1.8%	(65)	-1.8%
STAR	101	101	74	(27)	-26.7%	(27)	-26.7%
Social Services	2,164	1,986	1,850	(314)	-14.5%	(136)	-6.8%
Higher Education	2,007	2,095	2,023	16	0.8%	(72)	-3.4%
Mental Hygiene	1,620	1,537	1,520	(100)	-6.2%	(17)	-1.1%
All Other	4,239	4,103	4,062	(177)	-4.2%	(41)	-1.0%
State Operations	21,414	21,062	21,012	(402)	-1.9%	(50)	-0.2%
Agency Operations	14,493	14,393	14,342	(151)	-1.0%	(51)	-0.4%
Personal Service:	10,208	10,309	10,417	209	2.0%	108	1.0%
Executive Agencies	5,598	5,582	5,653	55	1.0%	71	1.3%
University Systems	3,010	3,048	3,082	72	2.4%	34	1.1%
Elected Officials	1,600	1,679	1,682	82	5.1%	3	0.2%
Non-Personal Service:	4,285	4,084	3,925	(360)	-8.4%	(159)	-3.9%
Executive Agencies	2,159	2,031	1,919	(240)	-11.1%	(112)	-5.5%
University Systems	1,718	1,663	1,610	(108)	-6.3%	(53)	-3.2%
Elected Officials	408	390	396	(12)	-2.9%	6	1.5%
Fringe Benefits/Fixed Costs	6,921	6,669	6,670	(251)	-3.6%	1	0.0%
Pension Contribution	2,348	2,361	2,362	14	0.6%	1	0.0%
Health Insurance	3,170	3,135	3,135	(35)	-1.1%	-	0.0%
Other Fringe Benefits/Fixed Costs	1,403	1,173	1,173	(230)	-16.4%	-	0.0%
Debt Service	1,835	1,743	1,752	(83)	-4.5%	9	0.5%
CAPITAL PROJECTS (State and Federal Funds)	11,060	9,696	8,832	(2,228)	-20.1%	(864)	-8.9%
FEDERAL OPERATING AID	40,857	43,515	43,408	2,551	6.2%	(107)	-0.2%
NET OTHER FINANCING SOURCES	(68)	(64)	(19)	49	72.1%	45	70.3%
CHANGE IN OPERATIONS	(3,131)	(1,528)	(326)	2,805	89.6%	1,202	78.7%
CLOSING BALANCE	9,618	11,221	12,423	2,805	29.2%	1,202	10.7%

1. Includes the Essential Plan



FY 2019 Year-To-Date Operating Results

Receipts

Through December 2018, PIT collections were lower than both forecasts due to weaker than expected Tax Year 2018 current estimated payments. Consumption/Use Tax collections exceeded both forecasts due to higher sales tax base growth. Lower than projected business tax receipts were primarily driven by the timing of insurance tax payments and lower than projected audit receipts.

Miscellaneous Receipts exceeded the initial projections mainly due to the receipt of unplanned monetary settlements (\$572 million), higher HCRA and lottery receipts. These higher receipts were offset by the timing of bond proceed reimbursements, primarily for ESD projects which are expected to be reimbursed with a bond sale in January. Compared to the plan, miscellaneous receipts were in line with projections.

Federal grants were higher than both estimates (\$3 billion and \$742 million, respectively), driven by Federal operating aid disbursements, as well as the timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

Spending

Total State Operating Funds spending was \$34 million higher than initial estimates and \$208 million (0.3 percent) higher than updated estimates. The following discussion of the variances is focused on the comparison to Enacted Budget estimates.

Higher local assistance spending (\$519 million) is driven mainly by the following large variances:

- Medicaid (\$1.4 billion higher) includes increased claims attributable to timing-related changes to managed care and managed long-term care rate packages (\$1.2 billion), certain Nursing Home payments (\$70 million), and lower than anticipated pharmacy rebates (\$172 million).
- Social Services spending (\$314 million lower) was slower than expected for Child Welfare Services (\$225 million), public assistance benefit payments (\$48 million), and Youth Programs (\$20 million).
- School Aid (\$256 million lower) underspending in Excess Cost aid set-asides for students with disabilities (\$153 million), General School Aid payments (\$109 million), and slower than anticipated spend-out of various claims-based programs (\$82 million); partially offset by higher spending on the Employment Preparation Education program (\$61 million) and the State Share Medicaid (\$56 million) related to an increase in the Medicaid reimbursement rate for the School Supportive Health Services program.

- Other variances include mental hygiene (\$100 million lower) due primarily to the timing of agency payment activity; transportation (\$66 million lower); education spending (\$54 million lower), largely related to preschool special education payments and Fiscal Stabilization grants; and Public Health (\$51 million lower), attributable to GPHW, Roswell Park Cancer Institute (RPCI) and Physician Excess Medical Malpractice.

Agency operations spending was \$151 million lower than planned. Lower spending for Executive agencies was primarily attributable to Medicaid Administration costs, DOCCS, and EP. SUNY and Judiciary personal service spending was higher than expected due to retroactive salary increases. Lower NPS spending in the University Systems was primarily from SUNY operations.

GSCs were \$251 million lower than initial estimates, primarily due to timing of reimbursements for operations supported by Federal and capital funding.

Capital spending was \$2.2 billion below initial projections mainly due to the timing of economic development grant awards (\$606 million). Additional underspending occurred in Transportation (\$566 million) attributable to slower than projected spending for large scale DOT capital projects; Health and Social Welfare (\$502 million) driven by the timing of spending for housing programs (\$340 million) and health care facility transformation (\$160 million); Education (\$297 million) related to lower than anticipated Smart School claims; and lower than anticipated spending for Parks and Environment (\$165 million).

Growth in Federal operating aid spending (\$2.6 billion) was driven by increases in several programs, including:

- Medicaid (\$1.5 billion higher) reflecting increased claims (\$1.7 billion), partially offset by lower spending in the Balanced Incentive Program (BIP) (\$30 million).
- Social Services (\$399 million higher) primarily attributable to timing of payments related to Child Care (\$634 million); offset by underspending in Flexible Fund for Family Services (\$76 million), public assistance benefit payments and Title XX (\$54 million each), HEAP (\$35 million), and Supplemental Nutrition Assistance Program benefits (\$14 million).
- Public Health (\$313 million higher) driven by the CHP program as a result of increased enrollment beyond expectations and higher state operation costs.
- All Other Education (\$235 million higher) associated with higher spending on Federal Individuals with Disabilities in Education Act (IDEA) flow-through grants (\$205 million).



FY 2019 Year-To-Date Operating Results

- Medicaid Administration (\$145 million higher) due to local assistance related to the resolution of FY 2016 CMS deferrals (\$280 million), offset by lower NPS disbursements attributable to timing of contract payments.
- School Aid (\$166 million lower) mainly driven by Federal ESSA grants (\$108 million) and reimbursement for school breakfast and lunch meals (\$58 million).

Compared to updated estimates, spending variances were generally consistent with the initial estimates described above, except for GSCs, capital projects and Federal Funds, which varied to a lesser degree compared to the Plan, due to timing adjustments reflected in the Mid-Year Update.

Fiscal Impact on Local Governments



Fiscal Impact on Local Governments

The Executive Budget Five-Year Financial Plan included a summary of the estimated fiscal impact on municipalities supplemented with detailed tables. The tables are again included in this Financial Plan update to reflect Executive amendments.

Included in the Executive amendments is a proposal to ensure any AIM base level grant adjustments are offset by the additional revenue from *Wayfair* and Marketplace sales tax collections. This amendment would require counties to use such additional sales tax revenue to provide a payment equal to the value of the AIM base level grant to any affected town or village to make them whole. The State will implement the additional *Wayfair* and Marketplace sales tax requirements starting June 1 of this year as opposed to September 1, the date originally used in the Executive Budget. With these changes, the estimated positive impact on municipalities has increased to \$72.2 million in local fiscal years ending in 2019 and remains at nearly \$1.3 billion in local fiscal years ending in 2020.

Glossary of Acronyms



Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AGI	Adjusted Gross Income
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ARC	Annual Required Contribution
ASAP	Accelerated Study in Associates Program
AXA	AXA Equitable Life Insurance Company
BANs	Bond Anticipation Notes
BCA	Budget Control Act of 2011
BEA	Bureau of Economic Analysis
BIP	Balanced Incentive Program
BLS	Bureau of Labor Statistics
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BSC	Business Services Center
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CBO	Congressional Budget Office
CHP	Child Health Plus
CISO	Chief Information Security Office
CMS	Centers for Medicare & Medicaid Services
COBANC	Court Officers Benevolent Association of Nassau County
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CR	Continuing Resolution
CSEA	Civil Service Employees Association
CSR	Cost Sharing Reduction
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision

Glossary of Acronyms



DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
ECEP	Employer Compensation Expense Program
EI	Early Intervention
EISEP	Expanded In-Home Services for the Elderly Program
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
ESSA	Every Student Succeeds Act
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 through September 30)
FMAP	Federal Medical Assistance Percentage
FOMC	Federal Open Market Committee
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GLIP	Group Life Insurance Plan
GO	General Obligation
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HEAP	Home Energy Assistance Program
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IDEA	Individuals with Disabilities in Education Act
IPCC	Intergovernmental Panel on Climate Change
IRMAA	Income-Related Monthly Adjustment Amount
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICGC	Life Insurance Company Guaranty Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MH	Mental Hygiene
MIF	Mortgage Insurance Fund



Glossary of Acronyms

MMIS	Medicaid Management Information System
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NIPA	National Income and Product Account
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSAGI	New York State Adjusted Gross Income
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSSOFA	New York State Office for the Aging
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBM	Pharmacy Benefit Manager
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBS	RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SOF	State Operating Funds
SOFA	State Office for the Aging

Glossary of Acronyms



SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STIP	Short-Term Investment Pool
SUFPK	Statewide Universal Full-Day Prekindergarten
SUNY	State University of New York
SY	School Year (July 1 Through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal



Financial Plan Tables and Accompanying Notes



The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS (Personal Service) - Includes the payment of salaries and compensation for State employees.

NPS (Non-Personal Service) - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs (General State Charges) - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserve - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two mental hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

DOT/DMV Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from the DHBTF to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

MTA Financial Assistance Fund

The Executive Budget includes legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This will eliminate the pass through of these fees and taxes, will ensure more timely receipt by the MTA, and is consistent with treatment of mobility tax collections. In FY 2020, total off-budget resources totaled \$1.9 billion in supplemental taxes and fees, and \$1.6 billion in PMT receipts. Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the MCTD. Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The FY 2019 Enacted Budget included legislation directing that Mobility Tax collections be remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 the PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.6 billion, respectively.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

STAR spending in FY 2019 and FY 2020 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$937 million in FY 2019 and \$1.2 billion in FY 2020).

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

Note 6 – State Funding of SUNY Operating Support

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 – General Fund/HCRA Combined Gap

Current HCRA authorization ends March 31, 2020. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 – Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 10 – Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 11 – Temporary Loans Summary

The total outstanding loan balance as of March 31, 2018 was \$3.1 billion, comprising of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2017 was \$2.8 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

TEMPORARY LOANS OUTSTANDING (millions of dollars)			
	March 31		Annual Change
	2017	2018	
Total Loans Outstanding	2,759	3,091	332
State Special Revenue Funds	298	313	15
Federal Funds	980	1,190	210
Capital Funds	1,246	1,286	40
Proprietary Funds	235	302	67

Note 12 — Adherence to 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2% Spending Benchmark” is the savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The “Net General Fund Surplus (Deficit)” calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received or is currently expecting the following Extraordinary Monetary Settlements:

- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy, and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the IRS and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.

- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid an \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.

- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA's violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.

- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively "Goldman") paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman's failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.



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- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory’s performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS’s requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day’s violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.

- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.
- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) will pay \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.



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- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage’s failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna’s violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") will pay \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) will pay \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.

- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") will pay a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.
- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, "Lockton") paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.



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- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- Wells Fargo & Company ("Wells Fargo") will pay \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo's representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank’s (a) failure to maintain an effective and compliant AML program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter "Aetna") paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna's business practices and fulfillment of their obligations to policyholders and claimants.

- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- Wells Fargo & Company ("Wells Fargo") paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This settlement agreement pertains to Wells Fargo's representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter "ACS") paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS's repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of Federally-guaranteed student loans.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant OFAC compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State will receive \$77.649 million of the payment.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, "FedEx") paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx's alleged shipment of illegal cigarettes.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company's pension risk transfer group annuity operations.

Note 14 – List of Settlement Uses (Prior to FY 2020 Executive Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2019:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** Provides \$680 million in grants to health care providers to facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities. The Plan also funds capital expenses of RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing development and services (\$590 million), and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million). Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Broadband Initiative (\$500 million).** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Buffalo Billion Phase II (\$400 million).** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.

- **MTA Capital Plan (\$315 million).** Includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvements in regional mobility, and construction of four new Metro-North stations in the Bronx. An additional \$65 million paid in FY 2018 was also provided for the MTA's 2015-2019 Capital Program.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** Provides funding for preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and manmade disasters. Additionally, funding has been used for counterterrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels.
- **Transportation Capital Plan (\$200 million).** Provides funding for transportation infrastructure projects across the State.
- **Municipal Restructuring and Consolidation Competition (\$170 million).** Includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative, and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens.
- **Transformative Economic Development Projects (\$150 million).** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Downtown Revitalization Round Two (\$100 million).** The Downtown Revitalization Initiative funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Economic Development (\$85 million).** Funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

- **Southern Tier/Hudson Valley Farm Initiative (\$50 million).** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **Non-MTA Transit (\$20 million).** Funds will be directed by the DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Extraordinary Monetary Settlements - Cash Flow Management

Since 2015, the receipt of \$11.8 billion in Extraordinary Monetary Settlements has increased the State's cash on hand and improved its liquidity position. A large portion, \$8.3 billion, of the Extraordinary Monetary Settlements has been enacted, or is proposed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2018 to support higher education, transportation, and economic development. This advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
2. Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds from planned issuances in FYs 2020 and 2021.

TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
Total Settlement Funds Replenished/(Used)	(1,300)	636	112	180	372	0
Transfer to DIIF for Javits Center Expansion	0	(164)	(388)	(320)	(128)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0



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**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	23,699	24,909	26,583	28,292
Consumption/Use Taxes	8,119	8,434	8,692	8,971
Business Taxes	6,163	6,359	6,463	6,776
Other Taxes	1,093	1,157	1,226	1,292
Miscellaneous Receipts	2,071	2,049	1,906	1,896
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	23,891	24,198	25,358	26,785
ECEP in Excess of Revenue Bond Debt Service	1	4	11	12
Sales Tax in Excess of LGAC Bond Debt Service	3,468	3,681	3,928	4,109
Sales Tax in Excess of Revenue Bond Debt Service	2,933	2,682	2,834	2,865
Real Estate Taxes in Excess of CW/CA Debt Service	973	1,013	1,054	1,104
All Other	2,664	2,139	1,790	1,709
Total Receipts	<u>75,075</u>	<u>76,625</u>	<u>79,845</u>	<u>83,811</u>
Disbursements:				
Local Assistance	50,969	55,057	57,524	60,617
State Operations:				
Personal Service	8,856	9,562	9,552	9,751
Non-Personal Service	2,946	3,129	3,178	3,278
General State Charges	8,163	8,031	8,625	9,239
Transfers to Other Funds:				
Debt Service	537	762	653	642
Capital Projects	2,895	3,051	3,214	3,678
SUNY Operations	1,174	1,255	1,255	1,255
Other Purposes	1,082	1,147	1,333	1,485
Total Disbursements	<u>76,622</u>	<u>81,994</u>	<u>85,334</u>	<u>89,945</u>
Use (Reservation) of Fund Balance:				
Community Projects	26	7	0	0
Rainy Day Reserve	(238)	0	0	0
Debt Management	500	0	0	0
Extraordinary Monetary Settlements	1,259	603	911	982
Total Use (Reservation) of Fund Balance	<u>1,547</u>	<u>610</u>	<u>911</u>	<u>982</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	0	(4,759)	(4,578)	(5,152)
Adherence to 2% Spending Benchmark				
	0	2,721	4,088	5,869
Net General Fund Surplus (Deficit)	<u>0</u>	<u>(2,038)</u>	<u>(490)</u>	<u>717</u>

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Current</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	7,749	9,445	1,696	21.9%
Receipts:				
Taxes:				
Personal Income Tax	36,037	21,367	(14,670)	-40.7%
Consumption/Use Taxes	7,377	7,709	332	4.5%
Business Taxes	4,916	5,396	480	9.8%
Other Taxes	1,326	1,074	(252)	-19.0%
Miscellaneous Receipts	3,129	3,195	66	2.1%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,909	21,824	10,915	100.1%
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,098	3,132	34	1.1%
Sales Tax in Excess of Revenue Bond Debt Service	2,763	2,675	(88)	-3.2%
Real Estate Taxes in Excess of CW/CA Debt Service	944	950	6	0.6%
All Other	921	3,336	2,415	262.2%
Total Receipts	71,420	70,658	(762)	-1.1%
Disbursements:				
Local Assistance	46,072	49,784	3,712	8.1%
State Operations:				
Personal Service	6,136	8,714	2,578	42.0%
Non-Personal Service	2,092	2,830	738	35.3%
General State Charges	5,572	7,383	1,811	32.5%
Transfers to Other Funds:				
Debt Service	1,047	804	(243)	-23.2%
Capital Projects	2,191	1,963	(228)	-10.4%
State Share of Mental Hygiene Medicaid	1,333	0	(1,333)	-100.0%
SUNY Operations	1,015	1,020	5	0.5%
Other Purposes	4,266	1,060	(3,206)	-75.2%
Total Disbursements	69,724	73,558	3,834	5.5%
Excess (Deficiency) of Receipts Over Disbursements	1,696	(2,900)	(4,596)	-271.0%
Closing Fund Balance	9,445	6,545	(2,900)	-30.7%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	790	250	
Contingency Reserve	21	21	0	
Community Projects	46	33	(13)	
Reserved For				
Potential Labor Agreements	155	0	(155)	
Undesignated Fund Balance	1,905	0	(1,905)	
Debt Management	500	500	0	
Extraordinary Monetary Settlements	5,020	3,943	(1,077)	

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	9,445	6,545	(2,900)	-30.7%
Receipts:				
Taxes:				
Personal Income Tax	21,367	23,699	2,332	10.9%
Consumption/Use Taxes	7,709	8,119	410	5.3%
Business Taxes	5,396	6,163	767	14.2%
Other Taxes	1,074	1,093	19	1.8%
Miscellaneous Receipts	3,195	2,071	(1,124)	-35.2%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	21,824	23,891	2,067	9.5%
ECEP in Excess of Revenue Bond Debt Service	0	1	1	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,132	3,468	336	10.7%
Sales Tax in Excess of Revenue Bond Debt Service	2,675	2,933	258	9.6%
Real Estate Taxes in Excess of CW/CA Debt Service	950	973	23	2.4%
All Other	3,336	2,664	(672)	-20.1%
Total Receipts	70,658	75,075	4,417	6.3%
Disbursements:				
Local Assistance	49,784	50,969	1,185	2.4%
State Operations:				
Personal Service	8,714	8,856	142	1.6%
Non-Personal Service	2,830	2,946	116	4.1%
General State Charges	7,383	8,163	780	10.6%
Transfers to Other Funds:				
Debt Service	804	537	(267)	-33.2%
Capital Projects	1,963	2,895	932	47.5%
SUNY Operations	1,020	1,174	154	15.1%
Other Purposes	1,060	1,082	22	2.1%
Total Disbursements	73,558	76,622	3,064	4.2%
Excess (Deficiency) of Receipts Over Disbursements	(2,900)	(1,547)	1,353	46.7%
Closing Fund Balance	6,545	4,998	(1,547)	-23.6%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	790	1,028	238	
Contingency Reserve	21	21	0	
Community Projects	33	7	(26)	
Reserved For				
Potential Labor Agreements	0	0	0	
Debt Management	500	0	(500)	
Extraordinary Monetary Settlements	3,943	2,684	(1,259)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2019 Enacted	Change	FY 2019 Executive (Amended)
Receipts:			
Taxes:			
Personal Income Tax	22,746	(1,379)	21,367
Consumption/Use Taxes	7,647	62	7,709
Business Taxes	5,626	(230)	5,396
Other Taxes	1,051	23	1,074
Miscellaneous Receipts	2,127	1,068	3,195
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	23,714	(1,890)	21,824
ECEP in Excess of Revenue Bond Debt Service	0	0	0
Sales Tax in Excess of LGAC Bond Debt Service	3,156	(24)	3,132
Sales Tax in Excess of Revenue Bond Debt Service	2,757	(82)	2,675
Real Estate Taxes in Excess of CW/CA Debt Service	998	(48)	950
All Other	2,838	498	3,336
Total Receipts	72,660	(2,002)	70,658
Disbursements:			
Local Assistance	51,063	(1,279)	49,784
State Operations:			
Personal Service	8,691	23	8,714
Non-Personal Service	3,054	(224)	2,830
General State Charges	7,553	(170)	7,383
Transfers to Other Funds:			
Debt Service	827	(23)	804
Capital Projects	3,257	(1,294)	1,963
SUNY Operations	1,034	(14)	1,020
Other Purposes	1,122	(62)	1,060
Total Disbursements	76,601	(3,043)	73,558
Use (Reservation) of Fund Balance:			
Community Projects	29	(16)	13
Labor Agreements	0	155	155
Undesignated Fund Balance	1,905	0	1,905
Rainy Day Reserve	0	(250)	(250)
Extraordinary Monetary Settlements	2,007	(930)	1,077
Total Use (Reservation) of Fund Balance	3,941	(1,041)	2,900
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)			
	0	0	0
Adherence to 2% Spending Benchmark			
	0	0	0
Net General Fund Surplus (Deficit)	0	0	0

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2019 Mid-Year	Change	FY 2019 Executive (Amended)
Receipts:			
Taxes:			
Personal Income Tax	22,746	(1,379)	21,367
Consumption/Use Taxes	7,647	62	7,709
Business Taxes	5,626	(230)	5,396
Other Taxes	1,051	23	1,074
Miscellaneous Receipts	2,474	721	3,195
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	23,620	(1,796)	21,824
ECEP in Excess of Revenue Bond Debt Service	0	0	0
Sales Tax in Excess of LGAC Bond Debt Service	3,156	(24)	3,132
Sales Tax in Excess of Revenue Bond Debt Service	2,642	33	2,675
Real Estate Taxes in Excess of CW/CA Debt Service	998	(48)	950
All Other	2,835	501	3,336
Total Receipts	72,795	(2,137)	70,658
Disbursements:			
Local Assistance	51,013	(1,229)	49,784
State Operations:			
Personal Service	8,812	(98)	8,714
Non-Personal Service	3,038	(208)	2,830
General State Charges	7,392	(9)	7,383
Transfers to Other Funds:			
Debt Service	775	29	804
Capital Projects	2,635	(672)	1,963
SUNY Operations	1,020	0	1,020
Other Purposes	1,099	(39)	1,060
Total Disbursements	75,784	(2,226)	73,558
Use (Reservation) of Fund Balance:			
Community Projects	13	0	13
Labor Agreements	0	155	155
Undesignated Fund Balance	1,905	0	1,905
Rainy Day Reserve	0	(250)	(250)
Extraordinary Monetary Settlements	1,071	6	1,077
Total Use (Reservation) of Fund Balance	2,989	(89)	2,900
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)			
	0	0	0
Adherence to 2% Spending Benchmark			
	0	0	0
Net General Fund Surplus (Deficit)	0	0	0

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 Mid-Year	Change	FY 2020 Executive (Amended)
Receipts:			
Taxes:			
Personal Income Tax	24,559	(860)	23,699
Consumption/Use Taxes	7,913	206	8,119
Business Taxes	6,170	(7)	6,163
Other Taxes	1,110	(17)	1,093
Miscellaneous Receipts	2,028	43	2,071
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,646	(755)	23,891
ECEP in Excess of Revenue Bond Debt Service	0	1	1
Sales Tax in Excess of LGAC Bond Debt Service	3,293	175	3,468
Sales Tax in Excess of Revenue Bond Debt Service	2,677	256	2,933
Real Estate Taxes in Excess of CW/CA Debt Service	1,056	(83)	973
All Other	2,459	205	2,664
Total Receipts	75,911	(836)	75,075
Disbursements:			
Local Assistance	53,851	(2,882)	50,969
State Operations:			
Personal Service	9,001	(145)	8,856
Non-Personal Service	3,079	(133)	2,946
General State Charges	7,781	382	8,163
Transfers to Other Funds:			
Debt Service	823	(286)	537
Capital Projects	3,425	(530)	2,895
SUNY Operations	1,008	166	1,174
Other Purposes	1,080	2	1,082
Total Disbursements	80,048	(3,426)	76,622
Use (Reservation) of Fund Balance:			
Community Projects	26	0	26
Rainy Day Reserve	0	(238)	(238)
Debt Management	0	500	500
Extraordinary Monetary Settlements	1,041	218	1,259
Total Use (Reservation) of Fund Balance	1,067	480	1,547
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(3,070)	3,070	0
Adherence to 2% Spending Benchmark	2,668	(2,668)	0
Net General Fund Surplus (Deficit)	(402)	402	0

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2021 Mid-Year	Change	FY 2021 Executive (Amended)
Receipts:			
Taxes:			
Personal Income Tax	25,103	(194)	24,909
Consumption/Use Taxes	8,185	249	8,434
Business Taxes	6,470	(111)	6,359
Other Taxes	1,173	(16)	1,157
Miscellaneous Receipts	2,001	48	2,049
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,053	(855)	24,198
ECEP in Excess of Revenue Bond Debt Service	0	4	4
Sales Tax in Excess of LGAC Bond Debt Service	3,542	139	3,681
Sales Tax in Excess of Revenue Bond Debt Service	2,428	254	2,682
Real Estate Taxes in Excess of CW/CA Debt Service	1,097	(84)	1,013
All Other	2,091	48	2,139
Total Receipts	77,143	(518)	76,625
Disbursements:			
Local Assistance	57,147	(2,090)	55,057
State Operations:			
Personal Service	9,506	56	9,562
Non-Personal Service	3,111	18	3,129
General State Charges	8,319	(288)	8,031
Transfers to Other Funds:			
Debt Service	904	(142)	762
Capital Projects	3,171	(120)	3,051
SUNY Operations	1,004	251	1,255
Other Purposes	1,158	(11)	1,147
Total Disbursements	84,320	(2,326)	81,994
Use (Reservation) of Fund Balance:			
Community Projects	7	0	7
Extraordinary Monetary Settlements	741	(138)	603
Total Use (Reservation) of Fund Balance	748	(138)	610
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,429)	1,670	(4,759)
Adherence to 2% Spending Benchmark	5,431	(2,710)	2,721
Net General Fund Surplus (Deficit)	(998)	(1,040)	(2,038)

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2022 Mid-Year	Change	FY 2022 Executive (Amended)
Receipts:			
Taxes:			
Personal Income Tax	26,326	257	26,583
Consumption/Use Taxes	8,464	228	8,692
Business Taxes	6,577	(114)	6,463
Other Taxes	1,238	(12)	1,226
Miscellaneous Receipts	1,883	23	1,906
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,813	(455)	25,358
ECEP in Excess of Revenue Bond Debt Service	0	11	11
Sales Tax in Excess of LGAC Bond Debt Service	3,810	118	3,928
Sales Tax in Excess of Revenue Bond Debt Service	2,591	243	2,834
Real Estate Taxes in Excess of CW/CA Debt Service	1,140	(86)	1,054
All Other	1,762	28	1,790
Total Receipts	79,604	241	79,845
Disbursements:			
Local Assistance	59,320	(1,796)	57,524
State Operations:			
Personal Service	9,437	115	9,552
Non-Personal Service	3,162	16	3,178
General State Charges	8,889	(264)	8,625
Transfers to Other Funds:			
Debt Service	747	(94)	653
Capital Projects	3,182	32	3,214
SUNY Operations	1,004	251	1,255
Other Purposes	1,299	34	1,333
Total Disbursements	87,040	(1,706)	85,334
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	885	26	911
Total Use (Reservation) of Fund Balance	885	26	911
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,551)	1,973	(4,578)
Adherence to 2% Spending Benchmark	6,235	(2,147)	4,088
Net General Fund Surplus (Deficit)	(316)	(174)	(490)

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Taxes:				
Withholdings	42,510	44,133	46,306	48,243
Estimated Payments	16,572	16,769	18,351	19,991
Final Payments	2,748	2,908	3,032	3,189
Other Payments	1,564	1,661	1,731	1,781
Gross Collections	63,394	65,471	69,420	73,204
State/City Offset	(999)	(1,124)	(1,249)	(1,374)
Refunds	(10,625)	(10,383)	(11,047)	(11,530)
Reported Tax Collections	51,770	53,964	57,124	60,300
STAR (Dedicated Deposits)	(2,186)	(2,073)	(1,979)	(1,858)
RBTF (Dedicated Transfers)	(25,885)	(26,982)	(28,562)	(30,150)
Personal Income Tax	23,699	24,909	26,583	28,292
Sales and Use Tax	15,084	15,734	16,266	16,836
Cigarette and Tobacco Taxes	312	298	287	278
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	265	269	272	275
Medical Cannabis Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	15,661	16,301	16,825	17,389
LGAC/STBF (Dedicated Transfers)	(7,542)	(7,867)	(8,133)	(8,418)
Consumption/Use Taxes	8,119	8,434	8,692	8,971
Corporation Franchise Tax	3,510	3,717	3,714	3,908
Corporation and Utilities Tax	537	541	547	553
Insurance Taxes	2,056	2,101	2,202	2,315
Bank Tax	60	0	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	6,163	6,359	6,463	6,776
Estate Tax	1,074	1,135	1,198	1,263
Real Estate Transfer Tax	1,148	1,183	1,219	1,263
Employer Compensation Expense Program	2	8	21	23
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	3	3	3	3
Gross Other Taxes	2,242	2,344	2,456	2,567
Real Estate Transfer Tax (Dedicated)	(1,148)	(1,183)	(1,219)	(1,263)
RBTF (Dedicated Transfers)	(1)	(4)	(11)	(12)
Other Taxes	1,093	1,157	1,226	1,292
Payroll Tax	0	0	0	0
Total Taxes	39,074	40,859	42,964	45,331
Licenses, Fees, Etc.	693	677	677	677
Abandoned Property	450	450	450	450
Motor Vehicle Fees	303	308	223	217
ABC License Fee	66	62	68	64
Reimbursements	109	107	53	53
Investment Income	38	18	8	8
Extraordinary Settlements	0	0	0	0
Other Transactions	412	427	427	427
Miscellaneous Receipts	2,071	2,049	1,906	1,896
Federal Receipts	0	0	0	0
Total	41,145	42,908	44,870	47,227

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2018 Results	FY 2019 Current	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	40,269	40,721	452	1.1%
Estimated Payments	17,781	13,956	(3,825)	-21.5%
Final Payments	2,478	2,629	151	6.1%
Other Payments	1,507	1,500	(7)	-0.5%
Gross Collections	62,035	58,806	(3,229)	-5.2%
State/City Offset	(856)	(1,173)	(317)	-37.0%
Refunds	(9,678)	(10,050)	(372)	-3.8%
Reported Tax Collections	51,501	47,583	(3,918)	-7.6%
STAR (Dedicated Deposits)	(2,589)	(2,424)	165	6.4%
RBTF (Dedicated Transfers)	(12,875)	(23,792)	(10,917)	-84.8%
Personal Income Tax	36,037	21,367	(14,670)	-40.7%
Sales and Use Tax	13,553	14,240	687	5.1%
Cigarette and Tobacco Taxes	342	327	(15)	-4.4%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	259	262	3	1.2%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	14,154	14,829	675	4.8%
LGAC/STBF (Dedicated Transfers)	(6,777)	(7,120)	(343)	-5.1%
Consumption/Use Taxes	7,377	7,709	332	4.5%
Corporation Franchise Tax	2,327	3,157	830	35.7%
Corporation and Utilities Tax	570	515	(55)	-9.6%
Insurance Taxes	1,609	1,622	13	0.8%
Bank Tax	410	102	(308)	-75.1%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	4,916	5,396	480	9.8%
Estate Tax	1,308	1,056	(252)	-19.3%
Real Estate Transfer Tax	1,125	1,130	5	0.4%
Employer Compensation Expense Program	0	0	0	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,451	2,204	(247)	-10.1%
Real Estate Transfer Tax (Dedicated)	(1,125)	(1,130)	(5)	-0.4%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	1,326	1,074	(252)	-19.0%
Payroll Tax	0	0	0	0.0%
Total Taxes	49,656	35,546	(14,110)	-28.4%
Licenses, Fees, Etc.	669	670	1	0.1%
Abandoned Property	460	450	(10)	-2.2%
Motor Vehicle Fees	252	269	17	6.7%
ABC License Fee	65	66	1	1.5%
Reimbursements	275	107	(168)	-61.1%
Investment Income	60	90	30	50.0%
Extraordinary Settlements	778	1,105	327	42.0%
Other Transactions	570	438	(132)	-23.2%
Miscellaneous Receipts	3,129	3,195	66	2.1%
Federal Receipts	0	0	0	0.0%
Total	52,785	38,741	(14,044)	-26.6%

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	40,721	42,510	1,789	4.4%
Estimated Payments	13,956	16,572	2,616	18.7%
Final Payments	2,629	2,748	119	4.5%
Other Payments	1,500	1,564	64	4.3%
Gross Collections	58,806	63,394	4,588	7.8%
State/City Offset	(1,173)	(999)	174	14.8%
Refunds	(10,050)	(10,625)	(575)	-5.7%
Reported Tax Collections	47,583	51,770	4,187	8.8%
STAR (Dedicated Deposits)	(2,424)	(2,186)	238	9.8%
RBTF (Dedicated Transfers)	(23,792)	(25,885)	(2,093)	-8.8%
Personal Income Tax	21,367	23,699	2,332	10.9%
Sales and Use Tax	14,240	15,084	844	5.9%
Cigarette and Tobacco Taxes	327	312	(15)	-4.6%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	262	265	3	1.1%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	14,829	15,661	832	5.6%
LGAC/STBF (Dedicated Transfers)	(7,120)	(7,542)	(422)	-5.9%
Consumption/Use Taxes	7,709	8,119	410	5.3%
Corporation Franchise Tax	3,157	3,510	353	11.2%
Corporation and Utilities Tax	515	537	22	4.3%
Insurance Taxes	1,622	2,056	434	26.8%
Bank Tax	102	60	(42)	-41.2%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	5,396	6,163	767	14.2%
Estate Tax	1,056	1,074	18	1.7%
Real Estate Transfer Tax	1,130	1,148	18	1.6%
Employer Compensation Expense Program	0	2	2	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,204	2,242	38	1.7%
Real Estate Transfer Tax (Dedicated)	(1,130)	(1,148)	(18)	-1.6%
RBTF (Dedicated Transfers)	0	(1)	(1)	0.0%
Other Taxes	1,074	1,093	19	1.8%
Payroll Tax	0	0	0	0.0%
Total Taxes	35,546	39,074	3,528	9.9%
Licenses, Fees, Etc.	670	693	23	3.4%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	269	303	34	12.6%
ABC License Fee	66	66	0	0.0%
Reimbursements	107	109	2	1.9%
Investment Income	90	38	(52)	-57.8%
Extraordinary Settlements	1,105	0	(1,105)	-100.0%
Other Transactions	438	412	(26)	-5.9%
Miscellaneous Receipts	3,195	2,071	(1,124)	-35.2%
Federal Receipts	0	0	0	0.0%
Total	38,741	41,145	2,404	6.2%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,749	3,732	144	11,625
Receipts:				
Taxes	49,656	7,639	20,658	77,953
Miscellaneous Receipts	3,129	17,734	471	21,334
Federal Receipts	0	1	73	74
Total Receipts	52,785	25,374	21,202	99,361
Disbursements:				
Local Assistance	46,072	19,532	0	65,604
State Operations:				
Personal Service	6,136	7,034	0	13,170
Non-Personal Service	2,092	3,517	42	5,651
General State Charges	5,572	2,281	0	7,853
Debt Service	0	0	5,873	5,873
Capital Projects	0	0	0	0
Total Disbursements	59,872	32,364	5,915	98,151
Other Financing Sources (Uses):				
Transfers from Other Funds	18,635	7,949	3,873	30,457
Transfers to Other Funds	(9,852)	(682)	(19,151)	(29,685)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	8,783	7,267	(15,278)	772
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,696	277	9	1,982
Closing Fund Balance	9,445	4,009	153	13,607

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	9,445	4,009	153	13,607
Receipts:				
Taxes	35,546	6,086	31,923	73,555
Miscellaneous Receipts	3,195	19,294	498	22,987
Federal Receipts	0	1	74	75
Total Receipts	38,741	25,381	32,495	96,617
Disbursements:				
Local Assistance	49,784	16,554	0	66,338
State Operations:				
Personal Service	8,714	5,081	0	13,795
Non-Personal Service	2,830	2,739	39	5,608
General State Charges	7,383	1,045	0	8,428
Debt Service	0	0	5,975	5,975
Capital Projects	0	0	0	0
Total Disbursements	68,711	25,419	6,014	100,144
Other Financing Sources (Uses):				
Transfers from Other Funds	31,917	2,098	3,614	37,629
Transfers to Other Funds	(4,847)	(1,564)	(30,169)	(36,580)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	27,070	534	(26,555)	1,049
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,900)	496	(74)	(2,478)
Closing Fund Balance	6,545	4,505	79	11,129

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	6,545	4,505	79	11,129
Receipts:				
Taxes	39,074	5,861	34,457	79,392
Miscellaneous Receipts	2,071	17,614	394	20,079
Federal Receipts	0	1	73	74
Total Receipts	41,145	23,476	34,924	99,545
Disbursements:				
Local Assistance	50,969	16,452	0	67,421
State Operations:				
Personal Service	8,856	5,196	0	14,052
Non-Personal Service	2,946	2,565	46	5,557
General State Charges	8,163	1,071	0	9,234
Debt Service	0	0	5,694	5,694
Capital Projects	0	0	0	0
Total Disbursements	70,934	25,284	5,740	101,958
Other Financing Sources (Uses):				
Transfers from Other Funds	33,930	2,237	3,481	39,648
Transfers to Other Funds	(5,688)	(1,106)	(32,662)	(39,456)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	28,242	1,131	(29,181)	192
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,547)	(677)	3	(2,221)
Closing Fund Balance	4,998	3,828	82	8,908

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	40,859	5,873	35,917	82,649
Miscellaneous Receipts	2,049	16,169	394	18,612
Federal Receipts	0	1	73	74
Total Receipts	<u>42,908</u>	<u>22,043</u>	<u>36,384</u>	<u>101,335</u>
Disbursements:				
Local Assistance	55,057	15,313	0	70,370
State Operations:				
Personal Service	9,562	5,155	0	14,717
Non-Personal Service	3,129	2,446	44	5,619
General State Charges	8,031	1,133	0	9,164
Debt Service	0	0	7,041	7,041
Capital Projects	0	0	0	0
Total Disbursements	<u>75,779</u>	<u>24,047</u>	<u>7,085</u>	<u>106,911</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	33,717	2,377	3,543	39,637
Transfers to Other Funds	(6,215)	(621)	(32,837)	(39,673)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>27,502</u>	<u>1,756</u>	<u>(29,294)</u>	<u>(36)</u>
Use (Reservation) of Fund Balance:				
Community Projects	7	0	0	7
Extraordinary Monetary Settlements	603	0	0	603
Total Use (Reservation) of Fund Balance	<u>610</u>	<u>0</u>	<u>0</u>	<u>610</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	(4,759)	(248)	5	(5,002)
Adherence to 2% Spending Benchmark				
	2,721	0	0	2,721
Net Surplus (Deficit)	<u>(2,038)</u>	<u>(248)</u>	<u>5</u>	<u>(2,281)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	42,964	5,836	37,806	86,606
Miscellaneous Receipts	1,906	16,502	393	18,801
Federal Receipts	0	1	72	73
Total Receipts	44,870	22,339	38,271	105,480
Disbursements:				
Local Assistance	57,524	15,546	0	73,070
State Operations:				
Personal Service	9,552	5,182	0	14,734
Non-Personal Service	3,178	2,502	44	5,724
General State Charges	8,625	1,146	0	9,771
Debt Service	0	0	7,063	7,063
Capital Projects	0	0	0	0
Total Disbursements	78,879	24,376	7,107	110,362
Other Financing Sources (Uses):				
Transfers from Other Funds	34,975	2,554	3,240	40,769
Transfers to Other Funds	(6,455)	(279)	(34,388)	(41,122)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	28,520	2,275	(31,148)	(353)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	911	0	0	911
Total Use (Reservation) of Fund Balance	911	0	0	911
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	(4,578)	238	16	(4,324)
Adherence to 2% Spending Benchmark	4,088	0	0	4,088
Net Surplus (Deficit)	(490)	238	16	(236)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2023
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	45,331	5,831	39,724	90,886
Miscellaneous Receipts	1,896	16,042	392	18,330
Federal Receipts	0	1	69	70
Total Receipts	47,227	21,874	40,185	109,286
Disbursements:				
Local Assistance	60,617	15,077	0	75,694
State Operations:				
Personal Service	9,751	5,266	0	15,017
Non-Personal Service	3,278	2,473	44	5,795
General State Charges	9,239	1,175	0	10,414
Debt Service	0	0	7,348	7,348
Capital Projects	0	0	0	0
Total Disbursements	82,885	23,991	7,392	114,268
Other Financing Sources (Uses):				
Transfers from Other Funds	36,584	2,706	3,218	42,508
Transfers to Other Funds	(7,060)	(260)	(35,994)	(43,314)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	29,524	2,446	(32,776)	(806)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	982	0	0	982
Total Use (Reservation) of Fund Balance	982	0	0	982
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	(5,152)	329	17	(4,806)
Adherence to 2% Spending Benchmark	5,869	0	0	5,869
Net Surplus (Deficit)	717	329	17	1,063

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)**

	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	13,607	11,129	(2,478)	-18.2%
Receipts:				
Taxes	73,555	79,392	5,837	7.9%
Miscellaneous Receipts	22,987	20,079	(2,908)	-12.7%
Federal Receipts	75	74	(1)	-1.3%
Total Receipts	96,617	99,545	2,928	3.0%
Disbursements:				
Local Assistance	66,338	67,421	1,083	1.6%
State Operations:				
Personal Service	13,795	14,052	257	1.9%
Non-Personal Service	5,608	5,557	(51)	-0.9%
General State Charges	8,428	9,234	806	9.6%
Debt Service	5,975	5,694	(281)	-4.7%
Capital Projects	0	0	0	0.0%
Total Disbursements	100,144	101,958	1,814	1.8%
Other Financing Sources (Uses):				
Transfers from Other Funds	37,629	39,648	2,019	5.4%
Transfers to Other Funds	(36,580)	(39,456)	(2,876)	-7.9%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	1,049	192	(857)	-81.7%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,478)	(2,221)	257	10.4%
Closing Fund Balance	11,129	8,908	(2,221)	-20.0%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,749	4,272	(1,060)	144	11,105
Receipts:					
Taxes	49,656	7,639	1,313	20,658	79,266
Miscellaneous Receipts	3,129	17,933	5,729	471	27,262
Federal Receipts	0	56,744	2,125	73	58,942
Total Receipts	52,785	82,316	9,167	21,202	165,470
Disbursements:					
Local Assistance	46,072	72,126	3,797	0	121,995
State Operations:					
Personal Service	6,136	7,702	0	0	13,838
Non-Personal Service	2,092	4,886	0	42	7,020
General State Charges	5,572	2,603	0	0	8,175
Debt Service	0	0	0	5,873	5,873
Capital Projects	0	0	6,843	0	6,843
Total Disbursements	59,872	87,317	10,640	5,915	163,744
Other Financing Sources (Uses):					
Transfers from Other Funds	18,635	7,949	2,607	3,873	33,064
Transfers to Other Funds	(9,852)	(2,918)	(1,385)	(19,151)	(33,306)
Bond and Note Proceeds	0	0	160	0	160
Net Other Financing Sources (Uses)	8,783	5,031	1,382	(15,278)	(82)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,696	30	(91)	9	1,644
Closing Fund Balance	9,445	4,302	(1,151)	153	12,749

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	<u>9,445</u>	<u>4,302</u>	<u>(1,151)</u>	<u>153</u>	<u>12,749</u>
Receipts:					
Taxes	35,546	6,086	1,421	31,923	74,976
Miscellaneous Receipts	3,195	19,496	8,156	498	31,345
Federal Receipts	0	60,372	2,433	74	62,879
Total Receipts	<u>38,741</u>	<u>85,954</u>	<u>12,010</u>	<u>32,495</u>	<u>169,200</u>
Disbursements:					
Local Assistance	49,784	72,585	5,104	0	127,473
State Operations:					
Personal Service	8,714	5,742	0	0	14,456
Non-Personal Service	2,830	4,150	0	39	7,019
General State Charges	7,383	1,398	0	0	8,781
Debt Service	0	0	0	5,975	5,975
Capital Projects	0	0	8,027	0	8,027
Total Disbursements	<u>68,711</u>	<u>83,875</u>	<u>13,131</u>	<u>6,014</u>	<u>171,731</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	31,917	2,110	2,310	3,614	39,951
Transfers to Other Funds	(4,847)	(3,728)	(1,356)	(30,169)	(40,100)
Bond and Note Proceeds	0	0	609	0	609
Net Other Financing Sources (Uses)	<u>27,070</u>	<u>(1,618)</u>	<u>1,563</u>	<u>(26,555)</u>	<u>460</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses)					
Over Disbursements	<u>(2,900)</u>	<u>461</u>	<u>442</u>	<u>(74)</u>	<u>(2,071)</u>
Closing Fund Balance	<u>6,545</u>	<u>4,763</u>	<u>(709)</u>	<u>79</u>	<u>10,678</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	6,545	4,763	(709)	79	10,678
Receipts:					
Taxes	39,074	5,861	1,417	34,457	80,809
Miscellaneous Receipts	2,071	17,816	7,516	394	27,797
Federal Receipts	0	61,470	2,229	73	63,772
Total Receipts	41,145	85,147	11,162	34,924	172,378
Disbursements:					
Local Assistance	50,969	73,809	5,260	0	130,038
State Operations:					
Personal Service	8,856	5,849	0	0	14,705
Non-Personal Service	2,946	3,970	0	46	6,962
General State Charges	8,163	1,415	0	0	9,578
Debt Service	0	0	0	5,694	5,694
Capital Projects	0	0	8,166	0	8,166
Total Disbursements	70,934	85,043	13,426	5,740	175,143
Other Financing Sources (Uses):					
Transfers from Other Funds	33,930	2,249	3,217	3,481	42,877
Transfers to Other Funds	(5,688)	(3,103)	(1,586)	(32,662)	(43,039)
Bond and Note Proceeds	0	0	604	0	604
Net Other Financing Sources (Uses)	28,242	(854)	2,235	(29,181)	442
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,547)	(750)	(29)	3	(2,323)
Closing Fund Balance	4,998	4,013	(738)	82	8,355

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	40,859	5,873	1,391	35,917	84,040
Miscellaneous Receipts	2,049	16,374	6,346	394	25,163
Federal Receipts	0	62,200	2,187	73	64,460
Total Receipts	42,908	84,447	9,924	36,384	173,663
Disbursements:					
Local Assistance	55,057	73,219	4,778	0	133,054
State Operations:					
Personal Service	9,562	5,834	0	0	15,396
Non-Personal Service	3,129	3,819	0	44	6,992
General State Charges	8,031	1,484	0	0	9,515
Debt Service	0	0	0	7,041	7,041
Capital Projects	0	0	7,499	0	7,499
Total Disbursements	75,779	84,356	12,277	7,085	179,497
Other Financing Sources (Uses):					
Transfers from Other Funds	33,717	2,389	3,317	3,543	42,966
Transfers to Other Funds	(6,215)	(2,505)	(1,580)	(32,837)	(43,137)
Bond and Note Proceeds	0	0	609	0	609
Net Other Financing Sources (Uses)	27,502	(116)	2,346	(29,294)	438
Use (Reservation) of Fund Balance:					
Community Projects	7	0	0	0	7
Extraordinary Monetary Settlements	603	0	0	0	603
Total Use (Reservation) of Fund Balance	610	0	0	0	610
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(4,759)	(25)	(7)	5	(4,786)
Adherence to 2% Spending Benchmark	2,721	0	0	0	2,721
Net Surplus (Deficit)	(2,038)	(25)	(7)	5	(2,065)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	42,964	5,836	1,384	37,806	87,990
Miscellaneous Receipts	1,906	16,707	6,563	393	25,569
Federal Receipts	0	63,928	2,187	72	66,187
Total Receipts	44,870	86,471	10,134	38,271	179,746
Disbursements:					
Local Assistance	57,524	74,966	4,685	0	137,175
State Operations:					
Personal Service	9,552	5,861	0	0	15,413
Non-Personal Service	3,178	3,885	0	44	7,107
General State Charges	8,625	1,520	0	0	10,145
Debt Service	0	0	0	7,063	7,063
Capital Projects	0	0	7,839	0	7,839
Total Disbursements	78,879	86,232	12,524	7,107	184,742
Other Financing Sources (Uses):					
Transfers from Other Funds	34,975	2,566	3,456	3,240	44,237
Transfers to Other Funds	(6,455)	(2,103)	(1,468)	(34,388)	(44,414)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	28,520	463	2,401	(31,148)	236
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	911	0	0	0	911
Total Use (Reservation) of Fund Balance	911	0	0	0	911
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(4,578)	702	11	16	(3,849)
Adherence to 2% Spending Benchmark	4,088	0	0	0	4,088
Net Surplus (Deficit)	(490)	702	11	16	239

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>Special</u> <u>Revenue</u> <u>Funds</u>	<u>Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>All</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	45,331	5,831	1,385	39,724	92,271
Miscellaneous Receipts	1,896	16,247	6,862	392	25,397
Federal Receipts	0	66,520	2,187	69	68,776
Total Receipts	<u>47,227</u>	<u>88,598</u>	<u>10,434</u>	<u>40,185</u>	<u>186,444</u>
Disbursements:					
Local Assistance	60,617	77,009	4,803	0	142,429
State Operations:					
Personal Service	9,751	5,948	0	0	15,699
Non-Personal Service	3,278	3,825	0	44	7,147
General State Charges	9,239	1,549	0	0	10,788
Debt Service	0	0	0	7,348	7,348
Capital Projects	0	0	8,266	0	8,266
Total Disbursements	<u>82,885</u>	<u>88,331</u>	<u>13,069</u>	<u>7,392</u>	<u>191,677</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	36,584	2,718	3,918	3,218	46,438
Transfers to Other Funds	(7,060)	(1,971)	(1,589)	(35,994)	(46,614)
Bond and Note Proceeds	0	0	322	0	322
Net Other Financing Sources (Uses)	<u>29,524</u>	<u>747</u>	<u>2,651</u>	<u>(32,776)</u>	<u>146</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	982	0	0	0	982
Total Use (Reservation) of Fund Balance	<u>982</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>982</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(5,152)	1,014	16	17	(4,105)
Adherence to 2% Spending Benchmark	5,869	0	0	0	5,869
Net Surplus (Deficit)	<u>717</u>	<u>1,014</u>	<u>16</u>	<u>17</u>	<u>1,764</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Opening Fund Balance	12,749	10,678	(2,071)	-16.2%
Receipts:				
Taxes	74,976	80,809	5,833	7.8%
Miscellaneous Receipts	31,345	27,797	(3,548)	-11.3%
Federal Receipts	62,879	63,772	893	1.4%
Total Receipts	169,200	172,378	3,178	1.9%
Disbursements:				
Local Assistance	127,473	130,038	2,565	2.0%
State Operations:				
Personal Service	14,456	14,705	249	1.7%
Non-Personal Service	7,019	6,962	(57)	-0.8%
General State Charges	8,781	9,578	797	9.1%
Debt Service	5,975	5,694	(281)	-4.7%
Capital Projects	8,027	8,166	139	1.7%
Total Disbursements	171,731	175,143	3,412	2.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	39,951	42,877	2,926	7.3%
Transfers to Other Funds	(40,100)	(43,039)	(2,939)	-7.3%
Bond and Note Proceeds	609	604	(5)	-0.8%
Net Other Financing Sources (Uses)	460	442	(18)	-3.9%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,071)	(2,323)	(252)	-12.2%
Closing Fund Balance	10,678	8,355	(2,323)	-21.8%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	40,721	0	0	0	40,721
Estimated Payments	13,956	0	0	0	13,956
Final Payments	2,629	0	0	0	2,629
Other Payments	1,500	0	0	0	1,500
Gross Collections	58,806	0	0	0	58,806
State/City Offset	(1,173)	0	0	0	(1,173)
Refunds	(10,050)	0	0	0	(10,050)
Reported Tax Collections	47,583	0	0	0	47,583
STAR (Dedicated Deposits)	(2,424)	2,424	0	0	0
RBTF (Dedicated Transfers)	(23,792)	0	0	23,792	0
Personal Income Tax	21,367	2,424	0	23,792	47,583
Sales and Use Tax	14,240	972	0	0	15,212
Cigarette and Tobacco Taxes	327	785	0	0	1,112
Vapor Excise Tax	0	0	0	0	0
Motor Fuel Tax	0	111	420	0	531
Alcoholic Beverage Taxes	262	0	0	0	262
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	(2)	146	0	144
Auto Rental Tax	0	49	81	0	130
Taxicab Surcharge	0	50	0	0	50
Gross Consumption/Use Taxes	14,829	1,969	647	0	17,445
LGAC/STBF (Dedicated Transfers)	(7,120)	0	0	7,120	0
Consumption/Use Taxes	7,709	1,969	647	7,120	17,445
Corporation Franchise Tax	3,157	820	0	0	3,977
Corporation and Utilities Tax	515	156	14	0	685
Insurance Taxes	1,622	194	0	0	1,816
Bank Tax	102	21	0	0	123
Petroleum Business Tax	0	502	641	0	1,143
Business Taxes	5,396	1,693	655	0	7,744
Estate Tax	1,056	0	0	0	1,056
Real Estate Transfer Tax	1,130	0	0	0	1,130
Employer Compensation Expense Program	0	0	0	0	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,204	0	0	0	2,204
Real Estate Transfer Tax (Dedicated)	(1,130)	0	119	1,011	0
RBTF (Dedicated Transfers)	0	0	0	0	0
Other Taxes	1,074	0	119	1,011	2,204
Payroll Tax	0	0	0	0	0
Total Taxes	35,546	6,086	1,421	31,923	74,976
Licenses, Fees, Etc.	670	0	0	0	670
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	269	422	808	0	1,499
ABC License Fee	66	0	0	0	66
Reimbursements	107	0	0	0	107
Investment Income	90	0	0	0	90
Extraordinary Settlements	1,105	0	0	0	1,105
Other Transactions	438	19,074	7,348	498	27,358
Miscellaneous Receipts	3,195	19,496	8,156	498	31,345
Federal Receipts	0	60,372	2,433	74	62,879
Total	38,741	85,954	12,010	32,495	169,200

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,510	0	0	0	42,510
Estimated Payments	16,572	0	0	0	16,572
Final Payments	2,748	0	0	0	2,748
Other Payments	1,564	0	0	0	1,564
Gross Collections	63,394	0	0	0	63,394
State/City Offset	(999)	0	0	0	(999)
Refunds	(10,625)	0	0	0	(10,625)
Reported Tax Collections	51,770	0	0	0	51,770
STAR (Dedicated Deposits)	(2,186)	2,186	0	0	0
RBTF (Dedicated Transfers)	(25,885)	0	0	25,885	0
Personal Income Tax	23,699	2,186	0	25,885	51,770
Sales and Use Tax	15,084	1,023	0	0	16,107
Cigarette and Tobacco Taxes	312	736	0	0	1,048
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	265	0	0	0	265
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	142	0	142
Auto Rental Tax	0	11	84	0	95
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,661	1,892	633	0	18,186
LGAC/STBF (Dedicated Transfers)	(7,542)	0	0	7,542	0
Consumption/Use Taxes	8,119	1,892	633	7,542	18,186
Corporation Franchise Tax	3,510	852	0	0	4,362
Corporation and Utilities Tax	537	159	14	0	710
Insurance Taxes	2,056	251	0	0	2,307
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	510	651	0	1,161
Business Taxes	6,163	1,783	665	0	8,611
Estate Tax	1,074	0	0	0	1,074
Real Estate Transfer Tax	1,148	0	0	0	1,148
Employer Compensation Expense Program	2	0	0	0	2
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,242	0	0	0	2,242
Real Estate Transfer Tax (Dedicated)	(1,148)	0	119	1,029	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
Other Taxes	1,093	0	119	1,030	2,242
Payroll Tax	0	0	0	0	0
Total Taxes	39,074	5,861	1,417	34,457	80,809
Licenses, Fees, Etc.	693	0	0	0	693
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	303	229	808	0	1,340
ABC License Fee	66	0	0	0	66
Reimbursements	109	0	0	0	109
Investment Income	38	0	0	0	38
Extraordinary Settlements	0	0	0	0	0
Other Transactions	412	17,587	6,708	394	25,101
Miscellaneous Receipts	2,071	17,816	7,516	394	27,797
Federal Receipts	0	61,470	2,229	73	63,772
Total	41,145	85,147	11,162	34,924	172,378

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,133	0	0	0	44,133
Estimated Payments	16,769	0	0	0	16,769
Final Payments	2,908	0	0	0	2,908
Other Payments	1,661	0	0	0	1,661
Gross Collections	65,471	0	0	0	65,471
State/City Offset	(1,124)	0	0	0	(1,124)
Refunds	(10,383)	0	0	0	(10,383)
Reported Tax Collections	53,964	0	0	0	53,964
STAR (Dedicated Deposits)	(2,073)	2,073	0	0	0
RBTF (Dedicated Transfers)	(26,982)	0	0	26,982	0
Personal Income Tax	24,909	2,073	0	26,982	53,964
Sales and Use Tax	15,734	1,063	0	0	16,797
Cigarette and Tobacco Taxes	298	691	0	0	989
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	269	0	0	0	269
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	83	0	0	83
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	22	87	0	109
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	16,301	2,010	637	0	18,948
LGAC/STBF (Dedicated Transfers)	(7,867)	0	0	7,867	0
Consumption/Use Taxes	8,434	2,010	637	7,867	18,948
Corporation Franchise Tax	3,717	888	0	0	4,605
Corporation and Utilities Tax	541	162	14	0	717
Insurance Taxes	2,101	253	0	0	2,354
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	487	621	0	1,108
Business Taxes	6,359	1,790	635	0	8,784
Estate Tax	1,135	0	0	0	1,135
Real Estate Transfer Tax	1,183	0	0	0	1,183
Employer Compensation Expense Program	8	0	0	0	8
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,344	0	0	0	2,344
Real Estate Transfer Tax (Dedicated)	(1,183)	0	119	1,064	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,157	0	119	1,068	2,344
Payroll Tax	0	0	0	0	0
Total Taxes	40,859	5,873	1,391	35,917	84,040
Licenses, Fees, Etc.	677	0	0	0	677
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	308	229	815	0	1,352
ABC License Fee	62	0	0	0	62
Reimbursements	107	0	0	0	107
Investment Income	18	0	0	0	18
Extraordinary Settlements	0	0	0	0	0
Other Transactions	427	16,145	5,531	394	22,497
Miscellaneous Receipts	2,049	16,374	6,346	394	25,163
Federal Receipts	0	62,200	2,187	73	64,460
Total	42,908	84,447	9,924	36,384	173,663

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,306	0	0	0	46,306
Estimated Payments	18,351	0	0	0	18,351
Final Payments	3,032	0	0	0	3,032
Other Payments	1,731	0	0	0	1,731
Gross Collections	69,420	0	0	0	69,420
State/City Offset	(1,249)	0	0	0	(1,249)
Refunds	(11,047)	0	0	0	(11,047)
Reported Tax Collections	57,124	0	0	0	57,124
STAR (Dedicated Deposits)	(1,979)	1,979	0	0	0
RBTF (Dedicated Transfers)	(28,562)	0	0	28,562	0
Personal Income Tax	26,583	1,979	0	28,562	57,124
Sales and Use Tax	16,266	1,099	0	0	17,365
Cigarette and Tobacco Taxes	287	657	0	0	944
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	272	0	0	0	272
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	85	0	0	85
Highway Use Tax	0	1	144	0	145
Auto Rental Tax	0	22	90	0	112
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	16,825	2,015	641	0	19,481
LGAC/STBF (Dedicated Transfers)	(8,133)	0	0	8,133	0
Consumption/Use Taxes	8,692	2,015	641	8,133	19,481
Corporation Franchise Tax	3,714	932	0	0	4,646
Corporation and Utilities Tax	547	166	14	0	727
Insurance Taxes	2,202	265	0	0	2,467
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	479	610	0	1,089
Business Taxes	6,463	1,842	624	0	8,929
Estate Tax	1,198	0	0	0	1,198
Real Estate Transfer Tax	1,219	0	0	0	1,219
Employer Compensation Expense Program	21	0	0	0	21
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,456	0	0	0	2,456
Real Estate Transfer Tax (Dedicated)	(1,219)	0	119	1,100	0
RBTF (Dedicated Transfers)	(11)	0	0	11	0
Other Taxes	1,226	0	119	1,111	2,456
Payroll Tax	0	0	0	0	0
Total Taxes	42,964	5,836	1,384	37,806	87,990
Licenses, Fees, Etc.	677	0	0	0	677
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	223	229	801	0	1,253
ABC License Fee	68	0	0	0	68
Reimbursements	53	0	0	0	53
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	427	16,478	5,762	393	23,060
Miscellaneous Receipts	1,906	16,707	6,563	393	25,569
Federal Receipts	0	63,928	2,187	72	66,187
Total	44,870	86,471	10,134	38,271	179,746

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	48,243	0	0	0	48,243
Estimated Payments	19,991	0	0	0	19,991
Final Payments	3,189	0	0	0	3,189
Other Payments	1,781	0	0	0	1,781
Gross Collections	73,204	0	0	0	73,204
State/City Offset	(1,374)	0	0	0	(1,374)
Refunds	(11,530)	0	0	0	(11,530)
Reported Tax Collections	60,300	0	0	0	60,300
STAR (Dedicated Deposits)	(1,858)	1,858	0	0	0
RBTF (Dedicated Transfers)	(30,150)	0	0	30,150	0
Personal Income Tax	28,292	1,858	0	30,150	60,300
Sales and Use Tax	16,836	1,137	0	0	17,973
Cigarette and Tobacco Taxes	278	626	0	0	904
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	275	0	0	0	275
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	141	0	0	141
Highway Use Tax	0	0	146	0	146
Auto Rental Tax	0	22	93	0	115
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	17,389	2,077	646	0	20,112
LGAC/STBF (Dedicated Transfers)	(8,418)	0	0	8,418	0
Consumption/Use Taxes	8,971	2,077	646	8,418	20,112
Corporation Franchise Tax	3,908	978	0	0	4,886
Corporation and Utilities Tax	553	169	14	0	736
Insurance Taxes	2,315	280	0	0	2,595
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	469	606	0	1,075
Business Taxes	6,776	1,896	620	0	9,292
Estate Tax	1,263	0	0	0	1,263
Real Estate Transfer Tax	1,263	0	0	0	1,263
Employer Compensation Expense Program	23	0	0	0	23
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,567	0	0	0	2,567
Real Estate Transfer Tax (Dedicated)	(1,263)	0	119	1,144	0
RBTF (Dedicated Transfers)	(12)	0	0	12	0
Other Taxes	1,292	0	119	1,156	2,567
Payroll Tax	0	0	0	0	0
Total Taxes	45,331	5,831	1,385	39,724	92,271
Licenses, Fees, Etc.	677	0	0	0	677
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	217	229	796	0	1,242
ABC License Fee	64	0	0	0	64
Reimbursements	53	0	0	0	53
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	427	16,018	6,066	392	22,903
Miscellaneous Receipts	1,896	16,247	6,862	392	25,397
Federal Receipts	0	66,520	2,187	69	68,776
Total	47,227	88,598	10,434	40,185	186,444

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	40,721	42,510	1,789	4.4%
Estimated Payments	13,956	16,572	2,616	18.7%
Final Payments	2,629	2,748	119	4.5%
Other Payments	1,500	1,564	64	4.3%
Gross Collections	58,806	63,394	4,588	7.8%
State/City Offset	(1,173)	(999)	174	14.8%
Refunds	(10,050)	(10,625)	(575)	-5.7%
Reported Tax Collections	47,583	51,770	4,187	8.8%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	47,583	51,770	4,187	8.8%
Sales and Use Tax	15,212	16,107	895	5.9%
Cigarette and Tobacco Taxes	1,112	1,048	(64)	-5.8%
Vapor Excise Tax	0	10	10	0.0%
Motor Fuel Tax	531	515	(16)	-3.0%
Alcoholic Beverage Taxes	262	265	3	1.1%
Medical Cannabis Excise Tax	4	4	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	144	142	(2)	-1.4%
Auto Rental Tax	130	95	(35)	-26.9%
Taxicab Surcharge	50	0	(50)	-100.0%
Gross Consumption/Use Taxes	17,445	18,186	741	4.2%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	17,445	18,186	741	4.2%
Corporation Franchise Tax	3,977	4,362	385	9.7%
Corporation and Utilities Tax	685	710	25	3.6%
Insurance Taxes	1,816	2,307	491	27.0%
Bank Tax	123	71	(52)	-42.3%
Petroleum Business Tax	1,143	1,161	18	1.6%
Business Taxes	7,744	8,611	867	11.2%
Estate Tax	1,056	1,074	18	1.7%
Real Estate Transfer Tax	1,130	1,148	18	1.6%
Employer Compensation Expense Program	0	2	2	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,204	2,242	38	1.7%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	2,204	2,242	38	1.7%
Payroll Tax	0	0	0	0.0%
Total Taxes	74,976	80,809	5,833	7.8%
Licenses, Fees, Etc.	670	693	23	3.4%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	1,499	1,340	(159)	-10.6%
ABC License Fee	66	66	0	0.0%
Reimbursements	107	109	2	1.9%
Investment Income	90	38	(52)	-57.8%
Extraordinary Settlements	1,105	0	(1,105)	-100.0%
Other Transactions	27,358	25,101	(2,257)	-8.2%
Miscellaneous Receipts	31,345	27,797	(3,548)	-11.3%
Federal Receipts	62,879	63,772	893	1.4%
Total	169,200	172,378	3,178	1.9%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,732	540	4,272
Receipts:			
Taxes	7,639	0	7,639
Miscellaneous Receipts	17,734	199	17,933
Federal Receipts	1	56,743	56,744
Total Receipts	25,374	56,942	82,316
Disbursements:			
Local Assistance	19,532	52,594	72,126
State Operations:			
Personal Service	7,034	668	7,702
Non-Personal Service	3,517	1,369	4,886
General State Charges	2,281	322	2,603
Capital Projects	0	0	0
Total Disbursements	32,364	54,953	87,317
Other Financing Sources (Uses):			
Transfers from Other Funds	7,949	0	7,949
Transfers to Other Funds	(682)	(2,236)	(2,918)
Net Other Financing Sources (Uses)	7,267	(2,236)	5,031
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	277	(247)	30
Closing Fund Balance	4,009	293	4,302

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,009	293	4,302
Receipts:			
Taxes	6,086	0	6,086
Miscellaneous Receipts	19,294	202	19,496
Federal Receipts	1	60,371	60,372
Total Receipts	25,381	60,573	85,954
Disbursements:			
Local Assistance	16,554	56,031	72,585
State Operations:			
Personal Service	5,081	661	5,742
Non-Personal Service	2,739	1,411	4,150
General State Charges	1,045	353	1,398
Capital Projects	0	0	0
Total Disbursements	25,419	58,456	83,875
Other Financing Sources (Uses):			
Transfers from Other Funds	2,098	12	2,110
Transfers to Other Funds	(1,564)	(2,164)	(3,728)
Net Other Financing Sources (Uses)	534	(2,152)	(1,618)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	496	(35)	461
Closing Fund Balance	4,505	258	4,763

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,505	258	4,763
Receipts:			
Taxes	5,861	0	5,861
Miscellaneous Receipts	17,614	202	17,816
Federal Receipts	1	61,469	61,470
Total Receipts	23,476	61,671	85,147
Disbursements:			
Local Assistance	16,452	57,357	73,809
State Operations:			
Personal Service	5,196	653	5,849
Non-Personal Service	2,565	1,405	3,970
General State Charges	1,071	344	1,415
Capital Projects	0	0	0
Total Disbursements	25,284	59,759	85,043
Other Financing Sources (Uses):			
Transfers from Other Funds	2,237	12	2,249
Transfers to Other Funds	(1,106)	(1,997)	(3,103)
Net Other Financing Sources (Uses)	1,131	(1,985)	(854)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(677)	(73)	(750)
Closing Fund Balance	3,828	185	4,013

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,828	185	4,013
Receipts:			
Taxes	5,873	0	5,873
Miscellaneous Receipts	16,169	205	16,374
Federal Receipts	1	62,199	62,200
Total Receipts	22,043	62,404	84,447
Disbursements:			
Local Assistance	15,313	57,906	73,219
State Operations:			
Personal Service	5,155	679	5,834
Non-Personal Service	2,446	1,373	3,819
General State Charges	1,133	351	1,484
Capital Projects	0	0	0
Total Disbursements	24,047	60,309	84,356
Other Financing Sources (Uses):			
Transfers from Other Funds	2,377	12	2,389
Transfers to Other Funds	(621)	(1,884)	(2,505)
Net Other Financing Sources (Uses)	1,756	(1,872)	(116)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(248)	223	(25)
Closing Fund Balance	3,580	408	3,988

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,580	408	3,988
Receipts:			
Taxes	5,836	0	5,836
Miscellaneous Receipts	16,502	205	16,707
Federal Receipts	1	63,927	63,928
Total Receipts	22,339	64,132	86,471
Disbursements:			
Local Assistance	15,546	59,420	74,966
State Operations:			
Personal Service	5,182	679	5,861
Non-Personal Service	2,502	1,383	3,885
General State Charges	1,146	374	1,520
Capital Projects	0	0	0
Total Disbursements	24,376	61,856	86,232
Other Financing Sources (Uses):			
Transfers from Other Funds	2,554	12	2,566
Transfers to Other Funds	(279)	(1,824)	(2,103)
Net Other Financing Sources (Uses)	2,275	(1,812)	463
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	238	464	702
Closing Fund Balance	3,818	872	4,690

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,818	872	4,690
Receipts:			
Taxes	5,831	0	5,831
Miscellaneous Receipts	16,042	205	16,247
Federal Receipts	1	66,519	66,520
Total Receipts	21,874	66,724	88,598
Disbursements:			
Local Assistance	15,077	61,932	77,009
State Operations:			
Personal Service	5,266	682	5,948
Non-Personal Service	2,473	1,352	3,825
General State Charges	1,175	374	1,549
Capital Projects	0	0	0
Total Disbursements	23,991	64,340	88,331
Other Financing Sources (Uses):			
Transfers from Other Funds	2,706	12	2,718
Transfers to Other Funds	(260)	(1,711)	(1,971)
Net Other Financing Sources (Uses)	2,446	(1,699)	747
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	329	685	1,014
Closing Fund Balance	4,147	1,557	5,704

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Opening Fund Balance	4,302	4,763	461	10.7%
Receipts:				
Taxes	6,086	5,861	(225)	-3.7%
Miscellaneous Receipts	19,496	17,816	(1,680)	-8.6%
Federal Receipts	60,372	61,470	1,098	1.8%
Total Receipts	85,954	85,147	(807)	-0.9%
Disbursements:				
Local Assistance	72,585	73,809	1,224	1.7%
State Operations:				
Personal Service	5,742	5,849	107	1.9%
Non-Personal Service	4,150	3,970	(180)	-4.3%
General State Charges	1,398	1,415	17	1.2%
Debt Service	0	0	0	0.0%
Capital Projects	0	0	0	0.0%
Total Disbursements	83,875	85,043	1,168	1.4%
Other Financing Sources (Uses):				
Transfers from Other Funds	2,110	2,249	139	6.6%
Transfers to Other Funds	(3,728)	(3,103)	625	16.8%
Net Other Financing Sources (Uses)	(1,618)	(854)	764	47.2%
Excess (Deficiency) of Receipts and Other	461	(750)	(1,211)	-262.7%
Closing Fund Balance	4,763	4,013	(750)	-15.7%

CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)

	FY 2020	FY 2021	FY 2022	FY 2023
	Proposed	Projected	Projected	Projected
Personal Income Tax	2,186	2,073	1,979	1,858
Consumption/Use Taxes	1,892	2,010	2,015	2,077
Sales and Use Tax	1,023	1,063	1,099	1,137
Cigarette and Tobacco Taxes	736	691	657	626
Vapor Excise Tax	10	39	39	39
Motor Fuel Tax	108	108	108	108
Highway Use Tax	0	0	1	0
Medical Cannabis Excise Tax	4	4	4	4
Adult Use Cannabis Tax	0	83	85	141
Auto Rental Tax	11	22	22	22
Taxicab Surcharge	0	0	0	0
Business Taxes	1,783	1,790	1,842	1,896
Corporation Franchise Tax	852	888	932	978
Corporation and Utilities Tax	159	162	166	169
Insurance Taxes	251	253	265	280
Bank Tax	11	0	0	0
Petroleum Business Tax	510	487	479	469
Payroll Tax	0	0	0	0
Total Taxes	5,861	5,873	5,836	5,831
Miscellaneous Receipts	17,816	16,374	16,707	16,247
HCRA	5,256	5,329	5,404	5,480
State University Income	4,671	4,988	5,168	5,400
Lottery	3,420	3,426	3,425	3,425
Medicaid	845	875	906	938
Industry Assessments	690	709	703	703
Motor Vehicle Fees	229	229	229	229
All Other	2,705	818	872	72
Federal Receipts	61,470	62,200	63,928	66,520
Total	85,147	84,447	86,471	88,598

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Personal Income Tax	2,424	2,186	(238)	-9.8%
Consumption/Use Taxes	1,969	1,892	(77)	-3.9%
Sales and Use Tax	972	1,023	51	5.2%
Cigarette and Tobacco Taxes	785	736	(49)	-6.2%
Vapor Excise Tax	0	10	10	0.0%
Motor Fuel Tax	111	108	(3)	-2.7%
Highway Use Tax	(2)	0	2	100.0%
Medical Cannabis Excise Tax	4	4	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Auto Rental Tax	49	11	(38)	-77.6%
Taxicab Surcharge	50	0	(50)	-100.0%
Business Taxes	1,693	1,783	90	5.3%
Corporation Franchise Tax	820	852	32	3.9%
Corporation and Utilities Tax	156	159	3	1.9%
Insurance Taxes	194	251	57	29.4%
Bank Tax	21	11	(10)	-47.6%
Petroleum Business Tax	502	510	8	1.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	6,086	5,861	(225)	-3.7%
Miscellaneous Receipts	19,496	17,816	(1,680)	-8.6%
HCRA	5,131	5,256	125	2.4%
State University Income	4,839	4,671	(168)	-3.5%
Lottery	3,525	3,420	(105)	-3.0%
Medicaid	886	845	(41)	-4.6%
Industry Assessments	687	690	3	0.4%
Motor Vehicle Fees	422	229	(193)	-45.7%
All Other	4,006	2,705	(1,301)	-32.5%
Federal Receipts	60,372	61,470	1,098	1.8%
Total	85,954	85,147	(807)	-0.9%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(491)	(569)	(1,060)
Receipts:			
Taxes	1,313	0	1,313
Miscellaneous Receipts	5,727	2	5,729
Federal Receipts	5	2,120	2,125
Total Receipts	7,045	2,122	9,167
Disbursements:			
Local Assistance	3,101	696	3,797
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,684	1,159	6,843
Total Disbursements	8,785	1,855	10,640
Other Financing Sources (Uses):			
Transfers from Other Funds	2,883	(276)	2,607
Transfers to Other Funds	(1,380)	(5)	(1,385)
Bond and Note Proceeds	160	0	160
Net Other Financing Sources (Uses)	1,663	(281)	1,382
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(77)	(14)	(91)
Closing Fund Balance	(568)	(583)	(1,151)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(568)	(583)	(1,151)
Receipts:			
Taxes	1,421	0	1,421
Miscellaneous Receipts	8,156	0	8,156
Federal Receipts	5	2,428	2,433
Total Receipts	9,582	2,428	12,010
Disbursements:			
Local Assistance	4,351	753	5,104
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,712	1,315	8,027
Total Disbursements	11,063	2,068	13,131
Other Financing Sources (Uses):			
Transfers from Other Funds	2,690	(380)	2,310
Transfers to Other Funds	(1,345)	(11)	(1,356)
Bond and Note Proceeds	609	0	609
Net Other Financing Sources (Uses)	1,954	(391)	1,563
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	473	(31)	442
Closing Fund Balance	(95)	(614)	(709)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(95)	(614)	(709)
Receipts:			
Taxes	1,417	0	1,417
Miscellaneous Receipts	7,516	0	7,516
Federal Receipts	5	2,224	2,229
Total Receipts	8,938	2,224	11,162
Disbursements:			
Local Assistance	4,554	706	5,260
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,071	1,095	8,166
Total Disbursements	11,625	1,801	13,426
Other Financing Sources (Uses):			
Transfers from Other Funds	3,612	(395)	3,217
Transfers to Other Funds	(1,574)	(12)	(1,586)
Bond and Note Proceeds	604	0	604
Net Other Financing Sources (Uses)	2,642	(407)	2,235
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(45)	16	(29)
Closing Fund Balance	(140)	(598)	(738)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(140)	(598)	(738)
Receipts:			
Taxes	1,391	0	1,391
Miscellaneous Receipts	6,346	0	6,346
Federal Receipts	5	2,182	2,187
Total Receipts	7,742	2,182	9,924
Disbursements:			
Local Assistance	4,072	706	4,778
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,452	1,047	7,499
Total Disbursements	10,524	1,753	12,277
Other Financing Sources (Uses):			
Transfers from Other Funds	3,718	(401)	3,317
Transfers to Other Funds	(1,569)	(11)	(1,580)
Bond and Note Proceeds	609	0	609
Net Other Financing Sources (Uses)	2,758	(412)	2,346
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(24)	17	(7)
Closing Fund Balance	(164)	(581)	(745)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(164)	(581)	(745)
Receipts:			
Taxes	1,384	0	1,384
Miscellaneous Receipts	6,563	0	6,563
Federal Receipts	5	2,182	2,187
Total Receipts	7,952	2,182	10,134
Disbursements:			
Local Assistance	3,979	706	4,685
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,792	1,047	7,839
Total Disbursements	10,771	1,753	12,524
Other Financing Sources (Uses):			
Transfers from Other Funds	3,858	(402)	3,456
Transfers to Other Funds	(1,456)	(12)	(1,468)
Bond and Note Proceeds	413	0	413
Net Other Financing Sources (Uses)	2,815	(414)	2,401
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(4)	15	11
Closing Fund Balance	(168)	(566)	(734)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(168)	(566)	(734)
Receipts:			
Taxes	1,385	0	1,385
Miscellaneous Receipts	6,862	0	6,862
Federal Receipts	5	2,182	2,187
Total Receipts	8,252	2,182	10,434
Disbursements:			
Local Assistance	4,097	706	4,803
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,219	1,047	8,266
Total Disbursements	11,316	1,753	13,069
Other Financing Sources (Uses):			
Transfers from Other Funds	4,320	(402)	3,918
Transfers to Other Funds	(1,577)	(12)	(1,589)
Bond and Note Proceeds	322	0	322
Net Other Financing Sources (Uses)	3,065	(414)	2,651
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1	15	16
Closing Fund Balance	(167)	(551)	(718)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(1,151)</u>	<u>(709)</u>	<u>442</u>	<u>38.4%</u>
Receipts:				
Taxes	1,421	1,417	(4)	-0.3%
Miscellaneous Receipts	8,156	7,516	(640)	-7.8%
Federal Receipts	2,433	2,229	(204)	-8.4%
Total Receipts	<u>12,010</u>	<u>11,162</u>	<u>(848)</u>	<u>-7.1%</u>
Disbursements:				
Local Assistance	5,104	5,260	156	3.1%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	8,027	8,166	139	1.7%
Total Disbursements	<u>13,131</u>	<u>13,426</u>	<u>295</u>	<u>2.2%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	2,310	3,217	907	39.3%
Transfers to Other Funds	(1,356)	(1,586)	(230)	-17.0%
Bond and Note Proceeds	609	604	(5)	-0.8%
Net Other Financing Sources (Uses)	<u>1,563</u>	<u>2,235</u>	<u>672</u>	<u>43.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>442</u>	<u>(29)</u>	<u>(471)</u>	<u>-106.6%</u>
Closing Fund Balance	<u>(709)</u>	<u>(738)</u>	<u>(29)</u>	<u>-4.1%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Consumption/Use Taxes	633	637	641	646
Motor Fuel Tax	407	407	407	407
Highway Use Tax	142	143	144	146
Auto Rental Tax	84	87	90	93
Business Taxes	665	635	624	620
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	651	621	610	606
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,417	1,391	1,384	1,385
Miscellaneous Receipts	7,516	6,346	6,563	6,862
Authority Bond Proceeds	5,897	5,285	5,499	5,804
State Park Fees	159	124	124	124
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	808	815	801	796
All Other	575	45	62	61
Federal Receipts	2,229	2,187	2,187	2,187
Total	11,162	9,924	10,134	10,434

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Consumption/Use Taxes	647	633	(14)	-2.2%
Motor Fuel Tax	420	407	(13)	-3.1%
Highway Use Tax	146	142	(4)	-2.7%
Auto Rental Tax	81	84	3	3.7%
Business Taxes	655	665	10	1.5%
Corporation and Utilities Tax	14	14	0	0.0%
Petroleum Business Tax	641	651	10	1.6%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,421	1,417	(4)	-0.3%
Miscellaneous Receipts	8,156	7,516	(640)	-7.8%
Authority Bond Proceeds	6,321	5,897	(424)	-6.7%
State Park Fees	138	159	21	15.2%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	808	808	0	0.0%
All Other	812	575	(237)	-29.2%
Federal Receipts	2,433	2,229	(204)	-8.4%
Total	12,010	11,162	(848)	-7.1%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	9	5	5	5	5
Empire State Development Corporation	0	0	0	0	0
Functional Total	<u>9</u>	<u>5</u>	<u>5</u>	<u>5</u>	<u>5</u>
TRANSPORTATION					
Transportation, Department of	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	103	93	101	101	101
People with Developmental Disabilities, Office for	37	35	35	35	35
Alcoholism and Substance Abuse Services, Office of	10	9	9	8	10
Functional Total	<u>150</u>	<u>137</u>	<u>145</u>	<u>144</u>	<u>146</u>
EDUCATION					
Education School Aid	15	15	15	15	13
Functional Total	<u>15</u>	<u>15</u>	<u>15</u>	<u>15</u>	<u>13</u>
HIGHER EDUCATION					
City University of New York	390	375	376	376	376
State University of New York	90	86	86	86	86
Functional Total	<u>480</u>	<u>461</u>	<u>462</u>	<u>462</u>	<u>462</u>
ALL OTHER					
Judiciary	7	0	0	0	0
Functional Total	<u>7</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>661</u>	<u>618</u>	<u>627</u>	<u>626</u>	<u>626</u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Income Tax	25,885	26,982	28,562	30,150
Consumption/Use Taxes	7,542	7,867	8,133	8,418
Sales and Use Tax	7,542	7,867	8,133	8,418
Other Taxes	1,030	1,068	1,111	1,156
Real Estate Transfer Tax	1,029	1,064	1,100	1,144
Employer Compensation Expense Program	1	4	11	12
Total Taxes	34,457	35,917	37,806	39,724
Miscellaneous Receipts	394	394	393	392
Mental Hygiene Patient Receipts	246	246	246	246
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	4	3	2
Federal Receipts	73	73	72	69
Total	34,924	36,384	38,271	40,185

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Personal Income Tax	23,792	25,885	2,093	8.8%
Consumption/Use Taxes	7,120	7,542	422	5.9%
Sales and Use Tax	7,120	7,542	422	5.9%
Other Taxes	1,011	1,030	19	1.9%
Real Estate Transfer Tax	1,011	1,029	18	1.8%
Employer Compensation Expense Program	0	1	1	0.0%
Total Taxes	31,923	34,457	2,534	7.9%
Miscellaneous Receipts	498	394	(104)	-20.9%
Mental Hygiene Patient Receipts	350	246	(104)	-29.7%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	144	144	0	0.0%
All Other	4	4	0	0.0%
Federal Receipts	74	73	(1)	-1.4%
Total	32,495	34,924	2,429	7.5%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,749	3,732	(491)	144	11,134
Receipts:					
Taxes	49,656	7,639	1,313	20,658	79,266
Miscellaneous Receipts	3,129	17,734	5,727	471	27,061
Federal Receipts	0	1	5	73	79
Total Receipts	52,785	25,374	7,045	21,202	106,406
Disbursements:					
Local Assistance	46,072	19,532	3,101	0	68,705
State Operations:					
Personal Service	6,136	7,034	0	0	13,170
Non-Personal Service	2,092	3,517	0	42	5,651
General State Charges	5,572	2,281	0	0	7,853
Debt Service	0	0	0	5,873	5,873
Capital Projects	0	0	5,684	0	5,684
Total Disbursements	59,872	32,364	8,785	5,915	106,936
Other Financing Sources (Uses):					
Transfers from Other Funds	18,635	7,949	2,883	3,873	33,340
Transfers to Other Funds	(9,852)	(682)	(1,380)	(19,151)	(31,065)
Bond and Note Proceeds	0	0	160	0	160
Net Other Financing Sources (Uses)	8,783	7,267	1,663	(15,278)	2,435
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,696	277	(77)	9	1,905
Closing Fund Balance	9,445	4,009	(568)	153	13,039

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	9,445	4,009	(568)	153	13,039
Receipts:					
Taxes	35,546	6,086	1,421	31,923	74,976
Miscellaneous Receipts	3,195	19,294	8,156	498	31,143
Federal Receipts	0	1	5	74	80
Total Receipts	38,741	25,381	9,582	32,495	106,199
Disbursements:					
Local Assistance	49,784	16,554	4,351	0	70,689
State Operations:					
Personal Service	8,714	5,081	0	0	13,795
Non-Personal Service	2,830	2,739	0	39	5,608
General State Charges	7,383	1,045	0	0	8,428
Debt Service	0	0	0	5,975	5,975
Capital Projects	0	0	6,712	0	6,712
Total Disbursements	68,711	25,419	11,063	6,014	111,207
Other Financing Sources (Uses):					
Transfers from Other Funds	31,917	2,098	2,690	3,614	40,319
Transfers to Other Funds	(4,847)	(1,564)	(1,345)	(30,169)	(37,925)
Bond and Note Proceeds	0	0	609	0	609
Net Other Financing Sources (Uses)	27,070	534	1,954	(26,555)	3,003
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,900)	496	473	(74)	(2,005)
Closing Fund Balance	6,545	4,505	(95)	79	11,034

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	6,545	4,505	(95)	79	11,034
Receipts:					
Taxes	39,074	5,861	1,417	34,457	80,809
Miscellaneous Receipts	2,071	17,614	7,516	394	27,595
Federal Receipts	0	1	5	73	79
Total Receipts	41,145	23,476	8,938	34,924	108,483
Disbursements:					
Local Assistance	50,969	16,452	4,554	0	71,975
State Operations:					
Personal Service	8,856	5,196	0	0	14,052
Non-Personal Service	2,946	2,565	0	46	5,557
General State Charges	8,163	1,071	0	0	9,234
Debt Service	0	0	0	5,694	5,694
Capital Projects	0	0	7,071	0	7,071
Total Disbursements	70,934	25,284	11,625	5,740	113,583
Other Financing Sources (Uses):					
Transfers from Other Funds	33,930	2,237	3,612	3,481	43,260
Transfers to Other Funds	(5,688)	(1,106)	(1,574)	(32,662)	(41,030)
Bond and Note Proceeds	0	0	604	0	604
Net Other Financing Sources (Uses)	28,242	1,131	2,642	(29,181)	2,834
Excess (Deficiency) of Receipts and Other Financing Sources (Uses)					
Over Disbursements	(1,547)	(677)	(45)	3	(2,266)
Closing Fund Balance	4,998	3,828	(140)	82	8,768

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	40,859	5,873	1,391	35,917	84,040
Miscellaneous Receipts	2,049	16,169	6,346	394	24,958
Federal Receipts	0	1	5	73	79
Total Receipts	42,908	22,043	7,742	36,384	109,077
Disbursements:					
Local Assistance	55,057	15,313	4,072	0	74,442
State Operations:					
Personal Service	9,562	5,155	0	0	14,717
Non-Personal Service	3,129	2,446	0	44	5,619
General State Charges	8,031	1,133	0	0	9,164
Debt Service	0	0	0	7,041	7,041
Capital Projects	0	0	6,452	0	6,452
Total Disbursements	75,779	24,047	10,524	7,085	117,435
Other Financing Sources (Uses):					
Transfers from Other Funds	33,717	2,377	3,718	3,543	43,355
Transfers to Other Funds	(6,215)	(621)	(1,569)	(32,837)	(41,242)
Bond and Note Proceeds	0	0	609	0	609
Net Other Financing Sources (Uses)	27,502	1,756	2,758	(29,294)	2,722
Use (Reservation) of Fund Balance:					
Community Projects	7				
Extraordinary Monetary Settlements	603				
Total Use (Reservation) of Fund Balance	610				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(4,759)				
Adherence to 2% Spending Benchmark	2,721				
Net General Fund Surplus (Deficit)	(2,038)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	42,964	5,836	1,384	37,806	87,990
Miscellaneous Receipts	1,906	16,502	6,563	393	25,364
Federal Receipts	0	1	5	72	78
Total Receipts	<u>44,870</u>	<u>22,339</u>	<u>7,952</u>	<u>38,271</u>	<u>113,432</u>
Disbursements:					
Local Assistance	57,524	15,546	3,979	0	77,049
State Operations:					
Personal Service	9,552	5,182	0	0	14,734
Non-Personal Service	3,178	2,502	0	44	5,724
General State Charges	8,625	1,146	0	0	9,771
Debt Service	0	0	0	7,063	7,063
Capital Projects	0	0	6,792	0	6,792
Total Disbursements	<u>78,879</u>	<u>24,376</u>	<u>10,771</u>	<u>7,107</u>	<u>121,133</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	34,975	2,554	3,858	3,240	44,627
Transfers to Other Funds	(6,455)	(279)	(1,456)	(34,388)	(42,578)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	<u>28,520</u>	<u>2,275</u>	<u>2,815</u>	<u>(31,148)</u>	<u>2,462</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	911				
Total Use (Reservation) of Fund Balance	<u>911</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,578)</u>				
Adherence to 2% Spending Benchmark	<u>4,088</u>				
Net General Fund Surplus (Deficit)	<u>(490)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2023
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	45,331	5,831	1,385	39,724	92,271
Miscellaneous Receipts	1,896	16,042	6,862	392	25,192
Federal Receipts	0	1	5	69	75
Total Receipts	47,227	21,874	8,252	40,185	117,538
Disbursements:					
Local Assistance	60,617	15,077	4,097	0	79,791
State Operations:					
Personal Service	9,751	5,266	0	0	15,017
Non-Personal Service	3,278	2,473	0	44	5,795
General State Charges	9,239	1,175	0	0	10,414
Debt Service	0	0	0	7,348	7,348
Capital Projects	0	0	7,219	0	7,219
Total Disbursements	82,885	23,991	11,316	7,392	125,584
Other Financing Sources (Uses):					
Transfers from Other Funds	36,584	2,706	4,320	3,218	46,828
Transfers to Other Funds	(7,060)	(260)	(1,577)	(35,994)	(44,891)
Bond and Note Proceeds	0	0	322	0	322
Net Other Financing Sources (Uses)	29,524	2,446	3,065	(32,776)	2,259
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	982				
Total Use (Reservation) of Fund Balance	982				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(5,152)				
Adherence to 2% Spending Benchmark	5,869				
Net General Fund Surplus (Deficit)	717				

**CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)**

	FY 2019 Current	FY 2020 Proposed	Annual \$ Change	Annual % Change
Opening Fund Balance	13,039	11,034	(2,005)	-15.4%
Receipts:				
Taxes	74,976	80,809	5,833	7.8%
Miscellaneous Receipts	31,143	27,595	(3,548)	-11.4%
Federal Receipts	80	79	(1)	-1.3%
Total Receipts	106,199	108,483	2,284	2.2%
Disbursements:				
Local Assistance	70,689	71,975	1,286	1.8%
State Operations:				
Personal Service	13,795	14,052	257	1.9%
Non-Personal Service	5,608	5,557	(51)	-0.9%
General State Charges	8,428	9,234	806	9.6%
Debt Service	5,975	5,694	(281)	-4.7%
Capital Projects	6,712	7,071	359	5.3%
Total Disbursements	111,207	113,583	2,376	2.1%
Other Financing Sources (Uses):				
Transfers from Other Funds	40,319	43,260	2,941	7.3%
Transfers to Other Funds	(37,925)	(41,030)	(3,105)	-8.2%
Bond and Note Proceeds	609	604	(5)	-0.8%
Net Other Financing Sources (Uses)	3,003	2,834	(169)	-5.6%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,005)	(2,266)	(261)	-13.0%
Closing Fund Balance	11,034	8,768	(2,266)	-20.5%

**CASHFLOW
GENERAL FUND
FY 2018
(dollars in millions)**

	2017 April Results	2017 May Results	2017 June Results	2017 July Results	2017 August Results	2017 September Results	2017 October Results	2017 November Results	2017 December Results	2018 January Results	2018 February Results	2018 March Results	Total
OPENING BALANCE	7,749	7,405	3,140	3,014	3,774	3,883	6,523	6,363	4,509	10,145	14,864	15,318	7,749
RECEIPTS:													
Personal Income Tax	3,751	1,576	3,431	1,987	2,292	3,536	2,016	1,782	4,991	6,008	2,435	2,232	36,037
Consumption/Use Taxes	522	539	731	582	567	737	570	603	743	619	508	656	7,377
Business Taxes	421	137	770	55	376	782	(46)	18	1,441	(136)	(83)	1,481	4,916
Other Taxes	91	114	103	66	86	214	77	73	154	174	81	93	1,326
Total Taxes	4,785	2,366	5,035	2,690	3,321	5,269	2,617	2,476	7,029	6,665	2,941	4,462	49,656
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	460
ABC License Fee	6	6	6	5	6	6	7	4	5	6	5	3	65
Investment Income	4	1	2	1	2	4	4	4	3	4	16	17	60
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	669
Motor Vehicle Fees	36	29	31	(5)	34	7	18	16	5	15	20	46	252
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	275
Extraordinary Settlements	0	350	0	0	0	231	0	137	0	60	0	0	778
Other Transactions	20	31	52	51	24	106	45	36	82	27	23	73	570
Total Miscellaneous Receipts	95	513	164	112	127	519	153	431	228	222	157	408	3,129
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,249	509	1,162	455	356	1,400	674	396	1,687	966	784	1,271	10,909
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	234	54	508	263	226	341	260	274	346	280	6	306	3,098
Sales Tax Bond Fund	179	186	280	205	210	310	196	211	282	216	171	317	2,763
Real Estate Taxes in Excess of CW/CA Debt Service	81	95	89	84	86	93	65	80	62	78	77	54	944
All Other	10	2	3	6	5	94	12	40	94	82	111	462	921
Total Transfers from Other Funds	1,753	846	2,042	1,013	883	2,238	1,207	1,001	2,471	1,622	1,149	2,410	18,635
TOTAL RECEIPTS	6,633	3,725	7,241	3,815	4,331	8,026	3,977	3,908	9,728	8,509	4,247	7,280	71,420
DISBURSEMENTS:													
School Aid	868	3,358	1,878	38	570	1,753	801	1,563	1,845	540	651	8,150	22,015
Higher Education	19	37	954	221	128	181	93	37	188	27	36	912	2,833
All Other Education	95	484	75	158	56	49	61	407	83	34	117	519	2,138
Medicaid - DOH	1,376	1,408	1,370	1,165	1,248	1,416	1,220	1,416	994	1,146	767	138	13,398
Public Health	68	177	50	63	44	36	35	42	30	14	67	81	707
Mental Hygiene	2	3	184	(1)	2	216	0	0	65	116	72	88	747
Children and Families	36	101	194	71	23	134	70	73	170	45	316	372	1,605
Temporary & Disability Assistance	95	112	143	92	93	67	63	67	63	63	197	175	1,230
Transportation	0	25	14	0	25	0	0	24	11	0	13	3	115
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	65	761
All Other	11	16	90	30	74	31	15	50	(29)	104	37	94	523
Total Local Assistance	2,570	5,732	5,340	1,839	2,263	3,719	2,365	3,681	3,602	2,090	2,274	10,597	46,072
Personal Service	485	642	475	466	565	477	498	622	488	446	450	522	6,136
Non-Personal Service	91	226	185	142	212	179	181	182	128	222	121	223	2,092
Total State Operations	576	868	660	608	777	656	679	804	616	668	571	745	8,228
General State Charges	2,398	292	409	347	41	514	396	86	404	225	317	143	5,572
Debt Service	274	(1)	2	148	14	(87)	362	(3)	0	306	(28)	60	1,047
Capital Projects	310	268	438	(602)	495	437	(270)	370	(791)	247	360	929	2,191
State Share Medicaid	100	138	98	64	151	95	63	162	92	122	136	112	1,333
SUNY Operations	218	218	218	181	0	0	0	181	(1)	0	0	0	1,015
Other Purposes	531	475	202	470	481	52	542	481	170	132	163	567	4,266
Total Transfers to Other Funds	1,433	1,098	958	261	1,141	497	697	1,191	(530)	807	631	1,668	9,852
TOTAL DISBURSEMENTS	6,977	7,990	7,367	3,055	4,222	5,386	4,137	5,762	4,092	3,790	3,793	13,153	69,724
Excess/(Deficiency) of Receipts over Disbursements	(344)	(4,265)	(126)	760	109	2,640	(160)	(1,854)	5,636	4,719	454	(5,873)	1,696
CLOSING BALANCE	7,405	3,140	3,014	3,774	3,883	6,523	6,363	4,509	10,145	14,864	15,318	9,445	9,445

**CASHFLOW
GENERAL FUND
FY 2019
(dollars in millions)**

	2018 April Results	2018 May Results	2018 June Results	2018 July Results	2018 August Results	2018 September Results	2018 October Results	2018 November Results	2018 December Results	2019 January Projected	2019 February Projected	2019 March Projected	Total
OPENING BALANCE	9,445	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,537	9,191	9,445
RECEIPTS:													
Personal Income Tax	2,928	1,092	2,476	1,450	1,487	2,398	1,287	1,087	1,986	2,010	1,694	1,472	21,367
Consumption/Use Taxes	544	580	799	598	595	765	588	602	769	629	524	716	7,709
Business Taxes	346	(120)	1,020	168	84	992	96	(14)	1,183	198	20	1,423	5,396
Other Taxes	52	82	74	99	74	123	100	127	128	120	49	49	1,074
Total Taxes	3,870	1,634	4,366	2,315	2,240	4,278	2,071	1,802	4,066	2,957	2,287	3,660	35,546
Abandoned Property	1	0	0	0	0	10	45	250	0	0	0	144	450
ABC License Fee	6	7	7	7	5	5	7	4	9	6	2	1	66
Investment Income	17	10	11	7	9	11	4	10	9	8	0	(6)	90
Licenses, Fees, etc.	51	43	58	63	67	36	60	101	67	42	45	37	670
Motor Vehicle Fees	30	25	13	2	35	3	23	10	72	27	14	15	269
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(24)	20	(9)	107
Extraordinary Settlements	108	74	205	15	0	0	40	558	15	0	89	1	1,105
Other Transactions	24	100	(17)	52	26	92	52	49	81	3	40	(64)	438
Total Miscellaneous Receipts	220	205	383	141	165	183	157	1,072	278	62	210	119	3,195
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	2,928	1,070	2,487	1,438	1,056	2,648	1,287	926	2,053	2,800	801	2,330	21,824
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	249	218	405	275	250	355	269	274	361	286	0	190	3,132
Sales Tax Bond Fund	187	187	291	204	195	320	162	177	262	188	157	345	2,675
Real Estate Taxes in Excess of CW/CA Debt Service	74	92	88	82	105	78	71	75	92	80	62	51	950
All Other	101	81	86	68	172	109	74	42	151	267	194	1,991	3,336
Total Transfers from Other Funds	3,539	1,648	3,357	2,067	1,778	3,510	1,863	1,494	2,919	3,621	1,214	4,907	31,917
TOTAL RECEIPTS	7,629	3,487	8,106	4,523	4,183	7,971	4,091	4,368	7,263	6,640	3,711	8,686	70,658
DISBURSEMENTS:													
School Aid	1,113	3,526	1,566	371	648	1,617	883	1,516	1,973	599	738	8,578	23,128
Higher Education	25	43	267	1,154	61	178	37	33	227	54	126	797	3,002
All Other Education	42	46	51	300	463	32	78	22	486	40	184	506	2,250
Medicaid - DOH	1,523	1,924	1,381	1,133	1,977	1,203	1,213	1,624	1,243	1,053	698	(701)	14,271
Public Health	39	191	38	49	78	22	46	46	25	24	58	50	663
Mental Hygiene	150	36	323	149	43	330	151	49	288	114	193	344	2,170
Children and Families	12	35	41	102	109	216	150	62	220	44	355	341	1,687
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	75	113	1,155
Transportation	46	69	61	47	32	0	0	24	11	0	13	1	304
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	1	67	765
All Other	18	43	84	35	69	38	37	20	20	37	69	(81)	389
Total Local Assistance	3,035	6,019	4,298	3,448	3,580	3,901	2,711	3,466	4,772	2,029	2,510	10,015	49,784
Personal Service	668	846	684	725	838	660	827	664	686	798	674	644	8,714
Non-Personal Service	164	282	221	182	228	210	219	188	165	226	288	457	2,830
Total State Operations	832	1,128	905	907	1,066	870	1,046	852	851	1,024	962	1,101	11,544
General State Charges	2,707	368	470	319	245	435	542	401	375	453	431	637	7,383
Debt Service	192	38	20	223	(100)	(63)	201	(7)	5	287	(25)	33	804
Capital Projects	54	468	818	333	622	454	(587)	517	(12)	(78)	163	(789)	1,963
State Share Medicaid	(29)	0	0	0	0	0	0	0	0	0	0	29	0
SUNY Operations	218	218	218	182	2	0	0	182	0	0	0	0	1,020
Other Purposes	127	56	194	7	71	37	63	91	54	38	16	306	1,060
Total Transfers to Other Funds	562	780	1,250	745	595	428	(323)	783	47	247	154	(421)	4,847
TOTAL DISBURSEMENTS	7,136	8,295	6,923	5,419	5,486	5,634	3,976	5,502	6,045	3,753	4,057	11,332	73,558
Excess/(Deficiency) of Receipts over Disbursements	493	(4,808)	1,183	(896)	(1,303)	2,337	115	(1,134)	1,218	2,887	(346)	(2,646)	(2,900)
CLOSING BALANCE	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,537	9,191	6,545	6,545

CASHFLOW
STATE OPERATING FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,607	15,387	10,769	12,337	13,257	12,327	12,615	13,074	12,443	12,892	18,378	18,851		13,607
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,692	3,388	2,973	0	47,583
Consumption/Use Taxes	1,233	1,257	1,718	1,323	1,300	1,659	1,292	1,304	1,677	1,367	1,138	1,530	0	16,798
Business Taxes	518	1,366	1,261	243	153	1,230	155	44	1,438	287	79	1,717	0	7,089
Other Taxes	139	174	160	187	184	206	176	207	225	200	119	108	0	2,085
Total Taxes	7,746	3,579	8,090	4,653	4,611	7,892	4,197	3,743	7,446	10,546	4,724	6,328	0	73,555
Abandoned Property	1	0	0	0	0	10	45	250	0	0	0	144	0	450
ABC License Fee	6	7	7	436	431	446	7	4	9	6	2	1	0	66
HCRA	456	404	474	7	431	466	423	506	371	451	379	354	0	5,131
Investment Income	17	10	11	7	9	11	4	10	9	8	0	(6)	0	90
Licenses, Fees, etc.	51	43	58	63	67	36	60	101	67	42	45	37	0	670
Lottery	275	323	256	251	322	259	413	255	261	341	253	316	0	3,525
Medicaid	68	70	77	72	73	77	74	77	84	81	76	47	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	47	49	0	691
Reimbursements	(17)	(54)	106	(5)	23	62	(74)	90	25	(24)	20	(9)	0	107
State University Income	291	357	269	290	448	682	397	374	160	479	593	499	0	4,839
Extraordinary Settlements	108	74	205	15	0	40	558	15	0	89	1	1	0	1,105
Other Transactions	455	348	294	1,414	304	455	391	318	378	488	339	243	0	5,427
Total Miscellaneous Receipts	1,788	1,649	1,804	2,594	1,746	2,041	1,840	2,591	1,484	1,931	1,843	1,676	0	22,987
Federal Receipts	(2)	(1)	0	2	35	0	0	0	1	0	2	38	0	75
TOTAL RECEIPTS	9,532	5,227	9,894	7,249	6,392	9,933	6,037	6,334	8,931	12,477	6,569	8,042	0	96,617
DISBURSEMENTS:														
School Aid	1,113	3,526	1,892	371	648	3,700	1,019	1,652	2,109	735	874	8,830	0	26,469
Higher Education	25	43	267	1,154	61	178	37	33	227	54	126	797	0	3,002
All Other Education	42	46	52	301	464	33	80	22	487	41	185	510	0	2,263
STAR	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,546	1,828	2,069	1,703	1,594	1,279	(822)	0	19,963
Public Health	103	229	136	215	116	83	103	105	116	73	97	181	0	1,557
Mental Hygiene	149	36	323	149	42	390	152	49	289	114	193	349	0	2,175
Children and Families	12	36	41	102	109	216	130	63	220	144	355	343	0	1,691
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	75	113	0	1,155
Transportation	236	446	366	333	370	325	263	465	778	61	91	202	0	3,936
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	1	67	0	765
All Other	40	92	107	62	109	55	55	67	42	67	123	119	0	938
Total Local Assistance	3,591	7,025	5,509	4,263	4,709	6,731	3,806	4,602	6,317	5,183	3,399	11,203	0	66,338
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,403	1,093	1,111	1,167	1,084	1,126	0	13,795
Non-Personal Service	348	531	473	368	424	424	480	406	374	461	526	696	0	5,608
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,883	1,499	1,485	1,628	1,610	1,822	0	19,403
General State Charges	2,827	445	509	396	360	513	619	550	450	535	490	734	0	8,428
Debt Service	64	126	166	26	96	831	48	47	348	16	733	3,474	0	5,975
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,881	9,527	7,718	6,114	6,898	9,525	6,356	6,698	8,600	7,362	6,232	17,233	0	100,144
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,147	2,122	4,083	2,586	2,015	3,801	2,298	2,009	3,112	4,241	1,543	6,183	(511)	37,629
Transfers to other funds	(4,018)	(2,40)	(4,691)	(2,801)	(2,439)	(3,921)	(1,570)	(2,276)	(2,994)	(3,870)	(1,407)	(4,714)	511	(36,580)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	129	(318)	(608)	(215)	(424)	(120)	778	(267)	118	371	136	1,469	0	1,049
Excess/(Deficiency) of Receipts over Disbursements	1,780	(4,618)	1,568	920	(930)	288	459	(631)	449	5,486	473	(7,722)	0	(2,478)
CLOSING BALANCE	15,387	10,769	12,337	13,257	12,327	12,615	13,074	12,443	12,892	18,378	18,851	11,129	0	11,129

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,749	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,830	18,671		12,749
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,692	3,388	2,973	0	47,583
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,339	1,339	1,353	1,748	1,414	1,183	1,581	0	17,445
Business Taxes	585	3	1,321	299	207	1,290	208	100	1,492	338	1,300	1,771	0	7,744
Other Taxes	139	174	172	199	196	218	188	219	236	212	131	120	0	2,204
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,656	4,832	6,445	0	74,976
Abandoned Property	1	0	0	0	0	10	45	250	0	0	0	144	0	450
ABC License Fee	6	7	7	7	5	5	7	4	9	6	2	1	0	66
HCRA	456	404	474	436	431	446	423	506	371	451	379	354	0	5,131
Investment Income	17	10	11	11	9	11	4	10	9	8	0	(6)	0	90
Licenses, Fees, etc.	51	43	58	63	67	36	60	101	67	42	45	37	0	670
Lottery	275	323	256	251	322	259	413	255	261	341	253	316	0	3,525
Medical	68	70	77	72	73	78	74	77	84	81	76	47	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	47	49	0	691
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(24)	20	(9)	0	107
State University Income	291	357	269	290	448	682	397	374	160	479	593	499	0	4,839
Extraordinary Settlements	108	74	205	15	0	0	40	558	15	0	89	1	0	1,105
Other Transactions	876	525	420	1,732	418	573	1,749	427	1,661	1,370	2,084	1,950	0	13,785
Total Miscellaneous Receipts	2,209	1,826	1,930	2,912	1,860	2,159	3,198	2,700	2,767	2,813	3,588	3,383	0	31,345
Federal Receipts	3,616	4,915	5,452	4,088	6,477	6,347	4,741	4,978	6,033	4,786	4,835	6,611	0	62,879
TOTAL RECEIPTS	13,682	10,409	15,610	11,773	13,062	16,547	12,248	11,538	16,382	18,255	13,255	16,439	0	169,200
DISBURSEMENTS:														
School Aid	1,434	4,094	2,107	641	784	3,777	1,149	1,805	2,274	1,070	1,016	9,228	0	29,379
Higher Education	25	43	267	1,154	61	178	37	33	227	54	126	797	0	3,002
All Other Education	107	98	189	387	702	103	149	49	584	92	194	430	0	3,084
STAR	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Medical - DOH	6,180	5,995	4,778	4,399	6,894	5,694	5,626	5,567	5,170	4,867	5,228	4,469	0	64,647
Public Health	238	394	368	408	256	524	295	308	377	224	278	484	0	4,154
Mental Hygiene	166	62	346	173	55	176	305	305	305	132	220	397	0	2,443
Children and Families	48	95	85	114	341	392	179	62	331	85	405	392	0	2,529
Temporary & Disability Assistance	277	220	279	449	944	284	503	320	594	277	345	467	0	4,959
Transportation	294	489	723	378	473	530	361	559	1,113	142	183	609	0	5,854
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	1	67	0	765
All Other	332	438	144	478	281	261	513	502	271	598	461	(46)	0	4,233
Total Local Assistance	7,699	12,124	10,491	8,583	10,791	12,191	8,995	9,279	11,498	9,877	8,457	17,488	0	127,473
Personal Service	1,102	1,470	1,123	1,107	1,260	1,077	1,472	1,141	1,160	1,213	1,138	1,193	0	14,456
Non-Personal Service	418	622	563	429	652	479	585	479	453	588	708	893	0	7,019
Total State Operations	1,520	2,092	1,686	1,536	1,912	1,706	2,057	1,620	1,613	1,801	1,846	2,086	0	21,475
General State Charges	2,866	473	518	418	484	541	646	576	478	572	488	721	0	8,781
Debt Service	64	126	166	26	96	831	48	47	348	16	733	3,474	0	5,975
Capital Projects	361	591	522	673	761	603	688	679	533	557	885	1,174	0	8,027
TOTAL DISBURSEMENTS	12,510	15,406	13,383	11,236	14,044	15,872	12,434	12,201	14,470	12,823	12,409	24,943	0	171,731
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,714	2,538	3,117	4,181	1,721	5,660	(511)	39,951
Transfers to other funds	(4,111)	(2,616)	(4,987)	(2,900)	(2,694)	(4,270)	(1,681)	(2,540)	(3,122)	(4,206)	(1,726)	(5,758)	511	(40,100)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	609	0	609
NET OTHER FINANCING SOURCES/(USES)	92	(21)	(56)	0	(52)	(10)	33	(2)	(5)	(23)	(5)	511	0	460
Excess/(Deficiency) of Receipts over Disbursements	1,264	(5,018)	2,171	537	(1,034)	665	(153)	(665)	1,907	5,407	841	(7,993)	0	(2,071)
CLOSING BALANCE	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,830	18,671	10,678	0	10,678

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,302	4,970	4,749	5,653	6,783	6,577	6,012	5,493	5,547	6,017	6,562	6,214		4,302
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Consumption/Use Taxes	186	151	195	175	157	184	166	152	187	166	123	127	0	1,969
Business Taxes	172	84	241	75	69	238	59	58	255	89	59	294	0	1,693
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	225	217	509	2,591	182	435	0	6,086
HCRA	456	404	474	436	431	446	423	506	371	451	379	354	0	5,131
State University Income	291	357	269	290	448	682	397	374	160	479	593	499	0	4,839
Lottery	275	323	256	251	322	259	413	255	261	341	253	316	0	3,525
Medical	77	70	77	72	73	78	74	77	84	81	76	47	0	886
Motor Vehicle Fees	38	42	34	42	29	38	37	38	33	32	33	34	0	422
Other Transactions	401	248	303	1,312	275	355	322	274	287	445	216	255	0	4,693
Total Miscellaneous Receipts	1,538	1,444	1,413	2,403	1,578	1,850	1,666	1,524	1,196	1,829	1,550	1,505	0	19,496
Federal Receipts	3,557	4,861	5,310	3,773	6,168	6,095	4,562	4,771	5,831	4,449	4,634	6,361	0	60,372
TOTAL RECEIPTS	5,453	6,540	7,159	6,426	7,972	8,367	6,453	6,512	7,536	8,869	6,366	8,301	0	85,954
DISBURSEMENTS:														
School Aid	314	556	531	254	131	2,148	256	281	295	468	258	619	0	6,111
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	136	87	217	70	70	26	97	51	5	(88)	0	785
STAR	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Medical - DOH	3,255	4,256	4,214	3,266	4,917	4,491	4,413	3,943	3,927	3,814	4,530	5,350	0	50,376
Public Health	182	191	319	307	170	488	214	251	300	181	149	270	0	3,022
Mental Hygiene	12	21	9	16	6	11	20	12	11	12	13	16	0	159
Children and Families	36	60	44	12	232	176	29	0	111	41	50	51	0	842
Temporary & Disability Assistance	210	125	181	343	844	104	391	253	484	213	254	342	0	3,744
Transportation	192	380	310	288	343	330	265	443	770	65	83	206	0	3,675
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	63	176	(67)	162	112	98	209	321	90	3	69	211	0	1,447
Total Local Assistance	4,328	5,815	5,677	4,735	6,972	7,916	5,867	5,537	6,152	7,184	5,411	6,991	0	72,585
Personal Service	434	624	439	382	422	417	645	477	474	415	464	549	0	5,742
Non-Personal Service	253	339	335	246	411	417	366	290	287	361	416	429	0	4,150
Total State Operations	687	963	774	628	833	834	1,011	767	761	776	880	978	0	9,892
General State Charges	159	105	48	99	239	106	104	175	103	119	57	84	0	1,398
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,174	6,883	6,499	5,462	8,044	8,856	6,982	6,479	7,016	8,079	6,348	8,053	0	83,875
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	382	286	591	218	136	80	123	291	88	145	26	255	(511)	2,110
Transfers to Other Funds	7	(164)	(347)	(52)	(270)	(156)	(113)	(270)	(138)	(390)	(392)	(1,954)	5.11	(3,728)
NET OTHER FINANCING SOURCES/(USES)	389	122	244	166	(134)	(76)	10	21	(50)	(245)	(366)	(1,699)	0	(1,618)
Excess/(Deficiency) of Receipts over Disbursements	668	(221)	904	1,130	(206)	(565)	(519)	54	470	545	(348)	(1,451)	0	461
CLOSING BALANCE	4,970	4,749	5,653	6,783	6,577	6,012	5,493	5,547	6,017	6,562	6,214	4,763	0	4,763

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,009	5,103	5,119	5,600	7,063	7,026	5,831	5,850	5,909	5,411	6,017	6,078		4,009
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Consumption/Use Taxes	186	151	195	175	166	184	166	152	187	166	123	127	0	1,869
Business Taxes	172	84	241	75	69	238	59	58	255	89	59	294	0	1,693
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	225	217	509	2,591	182	435	0	6,086
HCRA	456	404	474	436	431	446	423	506	371	451	379	354	0	5,131
State University Income	291	357	269	290	448	682	397	374	160	479	593	499	0	4,839
Lottery	275	323	256	251	322	259	413	255	261	341	253	316	0	3,525
Medicaid	77	70	77	72	77	78	74	77	84	81	76	47	0	886
Motor Vehicle Fees	38	42	34	42	29	30	37	38	33	32	33	34	0	422
Other Transactions	387	200	293	1,299	254	344	305	257	276	441	218	217	0	4,491
Total Miscellaneous Receipts	1,524	1,396	1,403	2,390	1,557	1,839	1,649	1,507	1,185	1,825	1,552	1,467	0	19,294
Federal Receipts	(2)	(1)	0	0	0	0	0	0	1	0	0	3	0	1
TOTAL RECEIPTS	1,880	1,630	1,839	2,640	1,783	2,261	1,874	1,724	1,695	4,416	1,734	1,905	0	25,381
DISBURSEMENTS:														
School Aid	0	0	326	0	0	2,083	136	136	136	136	136	252	0	3,341
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	1	1	1	2	0	1	1	1	4	0	13
STAR	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Medicaid - DOH	281	541	458	335	713	343	615	445	460	541	581	379	0	5,692
Public Health	64	38	98	166	38	61	60	59	91	49	39	131	0	894
Mental Hygiene	(1)	0	0	0	(1)	0	1	0	1	0	0	5	0	5
Children and Families	0	1	0	0	0	0	0	1	0	0	0	2	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	190	377	305	286	338	325	263	441	767	61	78	201	0	3,632
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	49	23	27	40	17	18	47	22	30	54	200	0	549
Total Local Assistance	556	1,006	1,211	815	1,129	2,830	1,095	1,136	1,545	3,154	889	1,188	0	16,554
Personal Service	383	554	377	336	374	366	576	429	425	369	410	482	0	5,081
Non-Personal Service	183	248	245	185	280	212	261	217	208	234	234	232	0	2,739
Total State Operations	566	802	622	521	654	578	837	646	633	603	644	714	0	7,820
General State Charges	120	77	39	77	115	78	77	149	75	82	59	97	0	1,045
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,242	1,885	1,872	1,413	1,898	3,486	2,009	1,931	2,253	3,839	1,592	1,999	0	25,419
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	382	286	591	218	136	80	123	291	88	145	26	243	(511)	2,098
Transfers to Other Funds	74	(15)	(77)	18	(58)	(50)	31	(25)	(28)	(116)	(107)	(1,722)	511	(1,564)
NET OTHER FINANCING SOURCES/(USES)	456	271	514	236	78	30	154	266	60	29	(81)	(1,479)	0	534
Excess/(Deficiency) of Receipts over Disbursements	1,094	16	481	1,463	(37)	(1,195)	19	59	(498)	606	61	(1,573)	0	496
CLOSING BALANCE	5,103	5,119	5,600	7,063	7,026	5,831	5,850	5,909	5,411	6,017	6,078	4,505	0	4,505

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2019
(dollars in millions)

	2018	April	May	June	July	August	September	October	November	December	2019	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Projected	Projected	Projected	Projected	
OPENING BALANCE	293	(133)	(370)	53	(280)	(449)	181	(357)	606	545	136	293			
RECEIPTS:															
Miscellaneous Receipts	14	48	10	13	21	11	17	17	17	11	4	4	(2)	38	202
Federal Receipts	3,559	4,862	5,310	3,773	6,168	6,095	4,562	4,771	5,830	5,830	4,449	4,634	4,634	6,358	60,371
TOTAL RECEIPTS	3,573	4,910	5,320	3,786	6,189	6,106	4,579	4,788	5,841	5,841	4,453	4,632	4,632	6,396	60,573
DISBURSEMENTS:															
School Aid	314	556	205	254	131	65	120	145	159	159	332	122	122	367	2,770
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	135	86	216	69	68	26	96	96	50	4	4	(92)	772
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,974	3,715	3,756	2,931	4,204	4,148	3,798	3,498	3,467	3,467	3,273	3,949	3,949	4,971	44,684
Public Health	118	153	221	141	132	427	154	192	209	209	132	110	110	139	2,128
Mental Hygiene	13	21	9	16	7	11	19	12	12	10	12	13	13	11	154
Children and Families	36	59	44	12	232	176	29	(1)	(1)	111	41	50	50	49	838
Temporary & Disability Assistance	210	125	181	343	844	104	391	253	484	484	213	254	254	342	3,744
Transportation	2	3	5	2	5	5	2	2	2	3	4	5	5	5	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	41	127	(90)	135	72	81	191	274	274	68	(27)	15	15	11	898
Total Local Assistance	3,772	4,809	4,466	3,920	5,843	5,086	4,772	4,401	4,607	4,607	4,030	4,522	4,522	5,803	56,031
Personal Service	51	70	62	46	48	51	69	48	48	49	46	54	54	67	661
Non-Personal Service	70	91	90	61	131	205	105	73	73	79	127	182	182	197	1,411
Total State Operations	121	161	152	107	179	256	174	121	121	128	173	236	236	264	2,072
General State Charges	39	28	9	22	124	28	27	26	26	28	37	(2)	(2)	(13)	353
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,932	4,998	4,627	4,049	6,146	5,370	4,973	4,548	4,548	4,763	4,240	4,756	4,756	6,054	58,456
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
Transfers to Other Funds	(67)	(149)	(270)	(70)	(212)	(106)	(144)	(245)	(245)	(110)	(274)	(285)	(285)	(232)	(2,164)
NET OTHER FINANCING SOURCES/(USES)	(67)	(149)	(270)	(70)	(212)	(106)	(144)	(245)	(245)	(110)	(274)	(285)	(285)	(220)	(2,152)
Excess/(Deficiency) of Receipts over Disbursements	(426)	(237)	423	(333)	(169)	630	(538)	(5)	(5)	988	(61)	(409)	(409)	122	(35)
CLOSING BALANCE	(133)	(370)	53	(280)	(449)	181	(357)	(362)	606	545	136	258			

**CASHFLOW
DEBT SERVICE FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	153	346	520	424	777	1,187	333	658	1,102	831	2,824	3,582	153
RECEIPTS:													
Personal Income Tax	2,928	1,092	2,475	1,450	1,487	2,399	1,287	1,094	2,053	4,346	1,694	1,487	23,792
Consumption/Use Taxes	503	526	724	550	548	710	538	550	721	572	491	687	7,120
Other Taxes	87	92	89	88	110	83	76	80	97	80	70	59	1,011
Total Taxes	3,518	1,710	3,288	2,088	2,145	3,192	1,901	1,724	2,871	4,998	2,255	2,233	31,923
Miscellaneous Receipts	44	48	18	63	24	19	34	12	21	44	81	90	498
Federal Receipts	0	0	0	2	35	0	0	0	0	0	2	35	74
TOTAL RECEIPTS	3,562	1,758	3,306	2,153	2,204	3,211	1,935	1,736	2,892	5,042	2,338	2,358	32,495
DISBURSEMENTS:													
State Operations	1	1	7	1	13	2	0	1	1	1	4	7	39
Debt Service	64	126	166	26	96	831	48	47	348	16	733	3,474	5,975
TOTAL DISBURSEMENTS	65	127	173	27	109	833	48	48	349	17	737	3,481	6,014
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	226	188	135	301	101	211	312	224	105	475	303	1,033	3,614
Transfers to Other Funds	(3,550)	(1,645)	(3,364)	(2,074)	(1,786)	(3,443)	(1,874)	(1,468)	(2,919)	(3,507)	(1,146)	(3,413)	(30,169)
NET OTHER FINANCING SOURCES/(USES)	(3,304)	(1,457)	(3,229)	(1,773)	(1,685)	(3,232)	(1,562)	(1,244)	(2,814)	(3,032)	(843)	(2,380)	(26,555)
Excess/(Deficiency) of Receipts over Disbursements	193	174	(96)	353	410	(854)	325	444	(271)	1,993	758	(3,503)	(74)
CLOSING BALANCE	346	520	424	777	1,187	333	658	1,102	831	2,824	3,582	79	79

CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,151)	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,536)	(1,565)	(1,075)	(1,093)	(316)	(1,151)
RECEIPTS:													
Consumption/Use Taxes	44	50	66	52	48	77	47	49	71	47	45	51	647
Business Taxes	67	39	60	56	54	60	53	56	54	51	51	54	655
Other Taxes	0	0	12	12	12	12	12	12	11	11	12	12	119
Total Taxes	111	89	138	120	114	149	112	117	136	110	108	117	1,421
Miscellaneous Receipts	407	129	116	305	93	107	1,341	92	1,272	878	1,747	1,669	8,156
Federal Receipts	59	54	142	313	274	252	179	207	202	337	199	215	2,433
TOTAL RECEIPTS	577	272	396	738	481	508	1,632	416	1,610	1,325	2,054	2,001	12,010
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	1	1	1	1	5	12	49
Public Health	17	12	11	52	8	14	38	11	52	19	71	164	469
Mental Hygiene	4	5	14	8	6	6	5	3	6	6	14	37	114
School Aid	7	12	10	16	5	12	10	8	6	3	20	31	140
Temporary & Disability Assistance	0	0	0	0	0	16	0	0	16	0	16	12	60
Transportation	56	40	352	43	98	200	96	92	332	77	87	402	1,875
All Other Local	251	219	127	281	100	125	267	161	161	558	323	(176)	2,397
Total Local Assistance	336	290	516	400	239	374	417	276	574	664	536	482	5,104
Economic Development	10	13	18	12	45	27	9	38	3	11	75	119	380
Parks & the Environment	27	47	52	55	51	40	53	40	45	48	114	296	868
Transportation	208	316	228	344	389	335	381	336	302	262	365	375	3,841
Health & Social Welfare	7	14	11	7	14	13	7	5	8	5	29	112	232
Mental Hygiene	22	32	38	33	32	24	37	23	27	24	12	5	309
Public Protection	19	34	32	47	48	48	45	39	45	45	38	80	520
Education	45	76	61	124	109	62	75	102	57	120	92	117	1,040
All Other	23	59	82	51	73	54	81	96	46	42	160	70	837
Total Capital Projects	361	591	522	673	761	603	688	679	533	557	885	1,174	8,027
TOTAL DISBURSEMENTS	697	881	1,038	1,073	1,000	977	1,105	955	1,107	1,221	1,421	1,656	13,131
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	56	473	848	314	627	459	(584)	529	5	(60)	178	(535)	2,310
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(17)	(19)	(18)	(62)	(34)	(812)	(1,356)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	609	609
NET OTHER FINANCING SOURCES/USES	30	446	822	285	584	216	(601)	510	(13)	(122)	144	(738)	1,563
Excess/(Deficiency) of Receipts over Disbursements	(90)	(163)	(180)	(50)	65	(253)	(74)	(29)	490	(18)	777	(393)	442
CLOSING BALANCE	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,536)	(1,565)	(1,075)	(1,093)	(316)	(709)	(709)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2019
(dollars in millions)**

	2018 April Results	2018 May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(568)	(610)	(702)	(532)	(748)	(771)	(1,103)	(981)	(1,056)	(609)	(639)	212	(568)
RECEIPTS:													
Consumption/Use Taxes	44	50	66	52	48	77	47	49	71	47	45	51	647
Business Taxes	67	39	60	56	54	60	53	56	54	51	51	54	655
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	12	119
Total Taxes	111	89	138	120	114	149	112	117	136	110	108	117	1,421
Miscellaneous Receipts	407	129	116	305	93	107	1,341	91	1,272	878	1,747	1,670	8,156
Federal Receipts	0	0	0	0	0	2	1	0	0	0	0	2	5
TOTAL RECEIPTS	518	218	254	425	207	258	1,454	208	1,408	988	1,855	1,789	9,582
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	1	1	1	1	5	12	49
Public Health	17	12	11	49	8	14	38	11	10	19	70	140	399
Mental Hygiene	4	5	14	6	6	6	5	3	6	6	14	37	114
School Aid	7	12	10	16	5	12	10	8	6	3	20	31	140
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	16	12	60
Transportation	5	3	325	26	57	163	71	52	306	10	27	349	1,394
All Other Local	251	219	127	263	100	125	267	161	158	378	323	(177)	2,195
Total Local Assistance	285	253	489	362	198	337	392	236	503	417	475	404	4,351
Economic Development	10	13	18	12	45	27	9	38	3	11	75	119	380
Parks & the Environment	26	47	51	55	44	39	48	40	44	47	112	287	847
Transportation	155	232	125	238	247	206	225	219	219	187	275	270	2,598
Health & Social Welfare	6	13	13	7	13	12	6	5	7	5	27	109	223
Mental Hygiene	22	32	38	33	32	24	37	23	27	24	12	5	309
Public Protection	17	32	29	44	47	45	42	34	43	44	37	80	494
Education	45	76	61	124	109	62	75	102	57	120	92	117	1,040
All Other	24	58	82	51	72	54	81	96	45	41	159	58	821
Total Capital Projects	305	503	417	564	616	469	523	557	445	479	789	1,045	6,712
TOTAL DISBURSEMENTS	590	756	906	926	814	806	915	793	948	896	1,264	1,449	11,063
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	56	473	848	314	627	459	(400)	529	5	(60)	294	(455)	2,690
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(17)	(19)	(18)	(62)	(34)	(801)	(1,345)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	609
NET OTHER FINANCING SOURCES/(USES)	30	446	822	285	584	216	(417)	510	(13)	(122)	260	(647)	1,954
Excess/(Deficiency) of Receipts over Disbursements	(42)	(92)	170	(216)	(23)	(332)	122	(75)	447	(30)	851	(307)	473
CLOSING BALANCE	(610)	(702)	(532)	(748)	(771)	(1,103)	(981)	(1,056)	(609)	(639)	212	(568)	(95)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(583)	(631)	(702)	(692)	(526)	(438)	(359)	(555)	(509)	(466)	(454)	(528)	(583)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	1	0	0	0	(1)	0
Federal Receipts	59	54	142	313	274	250	178	207	202	337	199	213	2,428
TOTAL RECEIPTS	59	54	142	313	274	250	178	208	202	337	199	212	2,428
DISBURSEMENTS:													
Public Health	0	0	0	3	0	0	0	0	42	0	1	24	70
Transportation	51	37	27	17	41	37	25	40	26	67	60	53	481
All Other Local	0	0	0	18	0	0	0	0	3	180	0	1	202
Total Local Assistance	51	37	27	38	41	37	25	40	71	247	61	78	753
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	0	1	0	0	1	5	0	1	1	2	9	21
Transportation	53	84	103	106	142	129	156	117	83	75	90	105	1,243
Health & Social Welfare	1	1	(2)	0	1	1	1	0	1	0	2	3	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	3	3	1	3	3	5	2	1	1	0	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	0	0	0	0	0	0	0	1	1	12	16
Total Capital Projects	56	88	105	109	145	134	165	122	88	78	96	129	1,315
TOTAL DISBURSEMENTS	107	125	132	147	186	171	190	162	159	325	157	207	2,068
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	(184)	0	0	0	(116)	(80)	(380)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	(11)	(11)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	(184)	0	0	0	(116)	(91)	(391)
Excess/(Deficiency) of Receipts over Disbursements	(48)	(71)	(10)	166	88	79	(196)	46	43	12	(74)	(86)	(81)
CLOSING BALANCE	(631)	(702)	(692)	(526)	(438)	(359)	(555)	(509)	(466)	(454)	(528)	(614)	(614)

**CASHFLOW
STATE FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,039	14,777	10,067	11,805	12,509	11,556	11,512	12,093	11,387	12,283	17,739	19,063		13,039
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,692	3,388	2,973	0	47,583
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,736	1,339	1,353	1,748	1,414	1,183	1,581	0	17,445
Business Taxes	585	3	1,321	299	207	1,290	208	100	1,492	338	1,330	1,771	0	7,744
Other Taxes	139	174	172	199	196	218	188	219	236	212	131	120	0	2,204
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,656	4,852	6,445	0	74,976
Abandoned Property	1	0	0	0	0	10	45	250	0	0	0	144	0	450
ABC License Fee	6	7	7	7	5	5	7	4	9	6	2	1	0	66
HCRA	456	404	474	436	431	446	423	506	371	451	379	354	0	5,131
Investment Income	17	10	11	7	9	11	4	10	9	8	0	(6)	0	90
Licenses, Fees, etc.	275	43	58	63	67	36	60	101	67	42	45	37	0	670
Lottery	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid	68	70	77	72	73	259	413	255	261	341	253	316	0	3,525
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	47	49	0	886
Reimbursements	291	357	106	(5)	23	26	(74)	90	25	(24)	20	(9)	0	107
State University Income	108	74	205	15	0	0	397	374	160	479	593	499	0	4,839
Extraordinary Settlements	862	477	410	1,719	397	562	1,732	409	1,650	1,366	2,086	1,913	0	1,105
Other Transactions	2,195	1,778	1,920	2,859	1,839	2,148	3,181	2,682	2,756	2,809	3,590	3,346	0	13,583
Total Miscellaneous Receipts	(2)	(1)	0	2	35	2	1	0	1	0	2	40	0	31,143
Federal Receipts	10,050	5,445	10,148	7,674	6,599	10,191	7,491	6,542	10,339	13,465	8,424	9,831	0	106,199
TOTAL RECEIPTS														
DISBURSEMENTS:														
School Aid	1,120	3,538	1,902	387	653	3,712	1,029	1,660	2,115	738	884	8,861	0	26,609
Higher Education	25	43	267	1,154	61	178	37	33	227	54	126	797	0	3,002
All Other Education	43	48	54	301	486	34	81	23	488	42	190	522	0	2,312
STAR	0	0	0	0	0	0	0	7	67	2,336	0	14	0	2,424
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,546	1,828	2,069	1,703	1,594	1,279	(322)	0	19,963
Public Health	120	241	147	264	124	97	141	116	126	120	167	321	0	1,956
Mental Hygiene	153	41	337	157	48	336	157	52	295	92	207	386	0	2,289
Children and Families	12	36	41	102	109	216	150	65	220	44	207	343	0	1,691
Temporary & Disability Assistance	67	95	98	106	100	180	112	67	110	64	91	125	0	1,215
Transportation	241	449	691	359	427	488	334	517	1,084	71	118	551	0	5,330
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	1	67	0	765
All Other	291	311	234	325	209	180	322	228	200	445	446	(58)	0	3,133
Total Local Assistance	3,876	7,278	5,998	4,625	4,907	7,068	4,198	4,838	6,820	5,600	3,874	11,607	0	70,689
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,403	1,093	1,111	1,167	1,084	1,126	0	13,795
Non-Personal Service	348	531	473	368	521	424	480	406	374	461	526	696	0	5,608
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,883	1,499	1,485	1,628	1,610	1,822	0	19,403
General State Charges	2,827	445	509	396	360	513	619	550	450	535	490	734	0	8,428
Debt Service	64	126	166	26	96	831	48	47	348	16	733	3,474	0	5,975
Capital Projects	305	503	417	564	616	469	523	557	445	479	789	1,045	0	6,712
TOTAL DISBURSEMENTS	8,471	10,283	8,624	7,040	7,712	10,331	7,271	7,491	9,548	8,258	7,496	18,682	0	111,207
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,888	2,538	3,117	4,181	1,837	5,728	(511)	40,319
Transfers to other funds	(4,044)	(2,467)	(4,717)	(2,830)	(2,482)	(4,164)	(1,537)	(2,295)	(3,012)	(3,932)	(1,441)	(5,515)	511	(37,925)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	609	0	609
NET OTHER FINANCING SOURCES/(USES)	159	128	214	70	160	96	361	243	105	249	396	822	0	3,003
Excess/(Deficiency) of Receipts over Disbursements	1,738	(4,710)	1,738	704	(953)	(44)	581	(706)	896	5,456	1,324	(8,029)	0	(2,005)
CLOSING BALANCE	14,777	10,067	11,805	12,509	11,556	11,512	12,093	11,387	12,283	17,739	19,063	11,034	0	11,034

**CASHFLOW
GENERAL FUND
FY 2020
(dollars in millions)**

	2019		2020		2020		2020		2020		2020		2020	
	April	May	June	July	August	September	October	November	December	January	February	March	Projected	Total
OPENING BALANCE	4,119	4,174	4,174	4,754	5,331	4,064	5,852	5,734	3,375	4,986	9,932	9,564	6,545	
RECEIPTS:														
Personal Income Tax	4,119	2,539	2,539	1,662	1,354	2,434	1,265	991	2,150	2,376	1,797	1,864	23,699	
Consumption/Use Taxes	584	831	831	631	622	808	631	631	809	665	556	763	8,119	
Business Taxes	253	42	1,146	57	1,186	75	75	22	1,245	76	41	1,963	6,163	
Other Taxes	91	91	91	91	92	91	91	91	91	91	90	92	1,093	
Total Taxes	5,047	1,869	4,607	2,441	2,125	4,520	2,062	1,735	4,295	3,207	2,484	4,682	39,074	
Abandoned Property	0	0	0	0	0	40	10	150	50	0	0	200	450	
ABC License Fee	6	6	6	5	6	6	6	5	5	6	5	4	66	
Investment Income	3	3	3	4	3	3	3	3	3	3	3	4	38	
Licenses, Fees, etc.	45	75	65	50	35	75	60	55	65	70	40	58	693	
Motor Vehicle Fees	33	27	16	5	38	6	26	13	34	32	36	37	303	
Reimbursements	9	9	9	9	9	9	9	9	9	9	9	10	109	
Extraordinary Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	
Other Transactions	10	15	50	15	15	95	40	15	60	15	15	67	412	
Total Miscellaneous Receipts	106	135	149	88	106	234	154	250	226	135	108	380	2,071	
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	
PIT in Excess of Revenue Bond Debt Service	4,119	1,148	2,422	1,662	1,191	2,035	1,265	999	1,936	4,484	1,401	1,229	23,891	
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	1	
Tax in Excess of LGAC	269	100	559	286	270	377	291	292	379	304	4	337	3,468	
Sales Tax Bond Fund	185	186	306	202	204	351	213	214	302	226	184	360	2,933	
Real Estate Taxes in Excess of CW/CA Debt Service	85	83	87	89	91	93	76	78	82	82	80	58	973	
All Other	167	163	169	219	163	186	175	218	163	200	286	555	2,664	
Total Transfers from Other Funds	4,825	1,680	3,543	2,458	1,919	3,042	2,020	1,801	2,851	5,296	1,955	2,540	33,930	
TOTAL RECEIPTS	9,978	3,684	8,299	4,987	4,150	7,796	4,236	3,786	7,372	8,638	4,547	7,602	75,075	
DISBURSEMENTS:														
School Aid	1,152	3,652	1,625	366	668	1,663	1,021	1,648	2,145	524	772	8,166	23,402	
Higher Education	24	33	1,096	231	45	170	92	37	189	52	338	638	2,945	
All Other Education	36	40	62	300	274	52	91	296	372	31	383	360	2,297	
Medicaid - DOH	1,542	1,927	1,317	979	1,881	1,391	1,164	1,879	924	1,068	1,261	(151)	15,182	
Public Health	30	183	28	48	73	21	54	32	57	50	18	22	616	
Mental Hygiene	112	45	262	163	52	289	151	45	312	153	78	311	1,973	
Children and Families	72	65	211	70	65	211	73	69	212	78	135	223	1,484	
Temporary & Disability Assistance	105	105	105	106	164	105	106	105	115	105	105	117	1,343	
Transportation	0	24	14	0	24	0	0	24	11	0	14	(4)	110	
Unrestricted Aid	1	17	395	7	7	42	11	64	190	4	1	65	744	
All Other	29	31	65	73	86	65	57	65	79	83	102	138	873	
Total Local Assistance	3,103	6,122	5,180	2,343	3,339	4,009	2,820	4,204	4,606	2,148	3,207	9,888	50,969	
Personal Service	665	832	665	767	766	677	842	680	701	842	666	753	8,856	
Non-Personal Service	228	293	222	241	267	260	242	241	201	227	237	287	2,946	
Total State Operations	893	1,125	887	1,008	1,033	937	1,084	921	902	1,069	903	1,040	11,802	
General State Charges	3,065	425	475	391	444	479	530	439	415	445	542	513	8,163	
Debt Service	134	0	(2)	101	(3)	(27)	130	0	(2)	265	(24)	(35)	537	
Capital Projects	56	473	752	345	527	572	(270)	455	(230)	(245)	255	205	2,895	
State Share Medicaid	0	0	0	0	0	0	0	0	0	0	0	0	0	
SUNY Operations	222	225	331	217	24	11	6	73	14	5	5	41	1,174	
Other Purposes	156	34	96	5	53	27	54	53	56	5	27	516	1,082	
Total Transfers to Other Funds	568	732	1,177	668	601	583	(80)	581	(162)	30	263	727	5,688	
TOTAL DISBURSEMENTS	7,629	8,404	7,719	4,410	5,417	6,008	4,354	6,145	5,761	3,692	4,915	12,168	76,622	
Excess/(Deficiency) of Receipts over Disbursements	2,349	(4,720)	580	577	(1,267)	1,788	(118)	(2,359)	1,611	4,946	(368)	(4,566)	(1,547)	
CLOSING BALANCE	8,894	4,174	4,754	5,331	4,064	5,852	5,734	3,375	4,986	9,932	9,564	4,998	4,998	

**CASHFLOW
STATE OPERATING FUNDS
FY 2020
(dollars in millions)**

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,129	14,573	10,008	10,914	11,855	10,474	10,284	10,286	7,593	8,732	14,183	14,262		11,129
RECEIPTS:														
Personal Income Tax	8,238	2,296	5,078	3,324	2,708	4,868	2,531	1,998	4,420	8,967	3,594	3,748	0	51,770
Consumption/Use Taxes	1,293	1,279	1,798	1,366	1,354	1,741	1,369	1,366	1,748	1,430	1,202	1,608	0	17,553
Business Taxes	181	179	182	184	188	190	172	173	166	177	175	2,376	0	7,946
Other Taxes	10,087	3,842	8,481	4,990	4,361	8,274	4,208	3,605	7,884	10,710	5,062	7,888	0	2,123
Total Taxes	0	0	0	0	0	40	10	150	50	0	0	200	0	79,392
Abandoned Property	456	425	474	460	430	466	480	417	435	418	425	390	0	66
HCRA	3	3	3	4	3	3	3	3	3	3	3	4	0	5,256
Investment Income	45	75	65	50	35	75	60	55	65	70	40	58	0	38
Licenses, Fees, etc.	264	324	268	320	277	268	334	258	262	318	266	261	0	693
Medicaid	52	70	70	70	70	70	70	70	70	70	70	75	0	3,420
Motor Vehicle Fees	9	9	31	27	60	21	47	32	50	53	57	53	0	845
Reimbursements	298	267	261	288	380	663	432	296	273	478	657	378	0	1,099
State University Income	0	0	0	0	0	0	0	0	0	0	0	0	0	4,671
Extraordinary Settlements	382	333	454	285	253	428	305	305	429	308	356	161	0	3,999
Other Transactions	1,585	1,561	1,641	1,518	1,523	2,029	1,756	1,600	1,651	1,733	1,888	1,594	0	20,079
Total Miscellaneous Receipts	0	0	0	0	2	35	0	0	0	0	3	34	0	74
Federal Receipts	11,672	5,403	10,122	6,508	5,886	10,338	5,964	5,205	9,535	12,443	6,953	9,516	0	99,545
TOTAL RECEIPTS														
DISBURSEMENTS:														
School Aid	1,152	3,652	1,951	366	668	4,140	1,167	1,794	2,291	670	918	8,449	0	27,218
Higher Education	24	33	1,096	231	45	170	92	37	189	52	338	638	0	2,945
All Other Education	36	42	62	300	276	55	92	297	374	31	383	362	0	2,310
STAR	0	0	0	0	0	0	1	8	60	2,107	0	10	0	2,186
Medicaid - DOH	1,813	2,462	1,700	1,462	2,517	1,740	1,763	2,346	1,384	1,591	1,652	377	0	20,807
Public Health	96	221	134	215	111	96	113	90	167	97	65	153	0	1,558
Mental Hygiene	112	45	282	164	52	289	153	45	313	153	78	312	0	1,978
Children and Families	72	65	211	70	65	211	73	69	212	78	135	227	0	1,488
Temporary & Disability Assistance	105	105	105	106	164	105	106	105	115	105	105	117	0	1,343
Transportation	53	479	284	272	425	265	253	538	762	53	96	54	0	3,534
Unrestricted Aid	1	17	395	7	7	42	11	4	190	4	1	65	0	744
All Other	72	68	98	91	84	75	107	100	109	134	156	216	0	1,310
Total Local Assistance	3,536	7,189	6,298	3,284	4,414	7,188	3,931	5,433	6,166	5,075	3,927	10,980	0	67,421
Personal Service	1,079	1,321	1,105	1,226	1,152	1,069	1,278	1,169	1,189	1,245	1,065	1,154	0	14,052
Non-Personal Service	434	507	475	399	517	476	441	443	434	447	460	524	0	5,557
Total State Operations	1,513	1,828	1,580	1,625	1,669	1,545	1,719	1,612	1,623	1,692	1,525	1,678	0	19,609
General State Charges	3,143	508	571	452	498	591	612	533	602	510	613	601	0	9,234
Debt Service	72	102	235	45	319	1,029	49	90	415	45	786	2,507	0	5,694
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	8,264	9,627	8,684	5,406	6,900	10,353	6,311	7,668	8,806	7,322	6,851	15,766	0	101,958
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	5,443	2,084	4,198	2,922	2,167	3,459	2,303	2,131	3,111	5,669	2,205	4,433	(477)	39,648
Transfers to other funds	(5,407)	(2,425)	(4,730)	(3,083)	(2,534)	(3,634)	(1,954)	(2,361)	(2,701)	(5,339)	(2,228)	(3,537)	477	(39,456)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	36	(341)	(532)	(161)	(367)	(175)	349	(230)	410	330	(23)	896	0	192
Excess/(Deficiency) of Receipts over Disbursements	3,444	(4,565)	906	941	(1,381)	(190)	2	(2,693)	1,139	5,451	79	(5,354)	0	(2,221)
CLOSING BALANCE	14,573	10,008	10,914	11,855	10,474	10,284	10,286	7,593	8,732	14,183	14,262	8,908	0	8,908

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2020
(dollars in millions)**

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	10,678	13,079	7,953	9,254	9,455	7,588	7,378	8,123	5,136	6,542	11,616	11,735		10,678
RECEIPTS:														
Personal Income Tax	8,238	2,296	5,078	3,324	2,708	4,868	2,531	1,998	4,420	8,967	3,594	3,748	0	51,770
Consumption/Use Taxes	1,338	1,325	1,865	1,413	1,404	1,819	1,417	1,411	1,813	1,476	1,245	1,660	0	18,186
Business Taxes	429	137	1,480	178	167	1,535	192	122	1,607	191	144	2,429	0	8,611
Other Taxes	181	179	194	196	200	202	184	185	178	189	187	167	0	2,242
Total Taxes	10,186	3,937	8,617	5,111	4,479	8,424	4,324	3,716	8,018	10,823	5,170	8,004	0	80,809
Abandoned Property	0	0	0	0	0	40	10	150	50	0	0	200	0	450
ABC License Fee	6	6	6	5	6	6	6	5	5	6	5	4	0	66
HCRA	456	425	474	460	430	446	480	417	435	418	425	390	0	5,256
Investment Income	3	3	3	4	3	3	3	3	3	3	3	4	0	38
Licenses, Fees, etc.	45	75	65	50	35	75	60	55	65	70	40	58	0	693
Lottery	264	324	268	320	277	268	334	258	262	318	266	261	0	3,420
Medicaid	70	70	70	70	70	70	70	70	70	70	70	75	0	845
Motor Vehicle Fees	52	49	31	27	60	21	47	32	50	53	57	53	0	532
Reimbursements	9	9	9	9	9	9	9	9	9	9	9	10	0	109
State University Income	298	267	261	288	380	663	432	296	273	478	657	378	0	4,671
Extraordinary Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Transactions	487	466	699	779	391	938	1,827	448	1,916	1,021	520	2,225	0	11,717
Total Miscellaneous Receipts	1,690	1,694	1,886	2,012	1,661	2,539	3,278	1,743	3,138	2,446	2,052	3,658	0	27,797
Federal Receipts	3,841	4,696	5,718	3,903	5,454	6,115	5,372	4,737	5,892	5,304	5,104	7,636	0	63,772
TOTAL RECEIPTS	15,717	10,327	16,221	11,026	11,594	17,078	12,974	10,196	17,048	18,573	12,326	19,298	0	172,378
DISBURSEMENTS:														
School Aid	1,412	3,912	2,211	626	928	4,438	1,427	2,054	2,551	930	1,178	8,768	0	30,435
Higher Education	24	33	1,096	231	45	170	92	37	189	52	338	638	0	2,945
All Other Education	101	107	127	397	341	120	157	362	439	96	448	426	0	3,121
STAR	0	0	0	0	0	0	1	8	60	2,107	0	10	0	2,186
Medicaid - DOH	4,985	6,421	5,510	4,677	7,044	5,888	5,699	5,687	5,271	5,856	5,212	4,833	0	67,083
Public Health	269	383	448	401	290	396	294	276	401	288	245	617	0	4,377
Mental Hygiene	134	64	286	183	69	313	175	62	338	177	99	365	0	2,265
Children and Families	142	135	281	140	135	281	143	139	282	148	205	295	0	2,326
Temporary & Disability Assistance	455	302	512	320	368	530	303	356	579	294	301	529	0	4,849
Transportation	115	523	485	327	486	563	314	599	1,160	105	143	513	0	5,333
Unrestricted Aid	1	17	395	7	7	42	11	4	190	4	1	65	0	744
All Other	268	278	181	411	309	303	325	503	467	394	355	580	0	4,374
Total Local Assistance	7,906	12,175	11,532	7,720	10,022	13,044	8,941	10,087	11,996	10,451	8,525	17,639	0	130,038
Personal Service	1,129	1,386	1,156	1,275	1,202	1,119	1,343	1,222	1,239	1,294	1,118	1,222	0	14,705
Non-Personal Service	503	605	562	484	613	524	524	543	543	539	540	948	0	6,962
Total State Operations	1,632	1,991	1,718	1,759	1,815	1,695	1,868	1,765	1,783	1,833	1,658	2,170	0	21,667
General State Charges	3,173	539	596	481	526	618	648	560	627	541	638	631	0	9,578
Debt Service	72	102	235	45	319	1,029	49	90	415	45	786	2,507	0	5,694
Capital Projects	517	628	810	816	773	899	717	677	838	624	595	272	0	8,166
TOTAL DISBURSEMENTS	13,300	15,435	14,891	10,821	13,455	17,285	12,223	13,179	15,639	13,494	12,202	23,219	0	175,143
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	5,500	2,558	4,951	3,268	2,695	4,032	2,034	2,592	2,882	5,425	2,471	4,946	(477)	42,877
Transfers to other funds	(5,516)	(2,576)	(4,980)	(3,272)	(2,701)	(4,035)	(2,040)	(2,596)	(2,885)	(5,430)	(2,476)	(5,009)	477	(43,039)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	604	0	604
NET OTHER FINANCING SOURCES/(USES)	(16)	(18)	(29)	(4)	(6)	(3)	(6)	(4)	(3)	(5)	(5)	541	0	442
Excess/(Deficiency) of Receipts over Disbursements	2,401	(5,126)	1,301	201	(1,867)	(210)	745	(2,987)	1,406	5,074	119	(3,380)	0	(2,323)
CLOSING BALANCE	13,079	7,953	9,254	9,455	7,588	7,378	8,123	5,136	6,542	11,616	11,735	8,355	0	8,355

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2020
(dollars in millions)

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,763	5,111	4,610	5,317	4,892	4,449	3,085	3,325	2,814	2,678	2,744	3,340		4,763
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	8	60	2,107	0	10	0	2,186
Consumption/Use Taxes	171	151	187	162	156	177	156	151	179	157	122	123	0	1,892
Business Taxes	122	46	277	60	54	289	61	46	305	60	50	413	0	1,783
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	293	197	464	222	210	466	218	205	544	2,324	172	546	0	5,861
HCRA	456	425	474	460	430	446	480	417	435	418	425	390	0	5,256
State University Income	298	267	261	288	380	663	432	296	273	478	657	378	0	4,671
Lottery	264	324	268	320	277	268	334	268	262	318	266	261	0	3,420
Medical	70	70	70	70	70	70	70	70	70	70	70	75	0	845
Motor Vehicle Fees	19	15	15	22	22	15	21	19	16	21	21	16	0	229
Other Transactions	330	315	374	244	220	309	246	272	347	272	317	149	0	3,395
Total Miscellaneous Receipts	1,437	1,423	1,462	1,404	1,399	1,771	1,583	1,332	1,403	1,577	1,756	1,269	0	17,816
Federal Receipts	3,726	4,574	5,494	3,757	5,272	5,911	5,213	4,497	5,745	5,178	4,882	7,221	0	61,470
TOTAL RECEIPTS	5,456	6,194	7,420	5,383	6,881	8,148	7,014	6,034	7,692	9,079	6,810	9,036	0	85,147
DISBURSEMENTS:														
School Aid	235	235	561	235	235	2,712	381	381	381	381	381	515	0	6,633
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	65	67	65	65	67	68	66	66	67	65	65	64	0	790
STAR	0	0	0	0	0	0	0	8	60	2,107	0	10	0	2,186
Medical - DOH	3,443	4,494	4,193	3,698	5,163	4,497	4,535	3,808	4,347	4,788	3,951	4,984	0	51,901
Public Health	195	156	376	309	173	331	196	200	369	194	183	452	0	3,134
Mental Hygiene	14	9	14	11	8	14	16	8	16	13	10	26	0	159
Children and Families	70	70	70	70	70	70	70	70	70	70	70	72	0	842
Temporary & Disability Assistance	350	197	391	214	204	409	197	251	448	189	196	398	0	3,444
Transportation	57	459	274	276	405	269	257	518	755	57	86	54	0	3,467
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	76	115	(34)	113	76	77	105	134	121	162	112	196	0	1,253
Total Local Assistance	4,505	5,802	5,910	4,991	6,401	8,447	5,824	5,444	6,634	8,026	5,054	6,771	0	73,809
Personal Service	464	554	491	508	436	442	501	542	538	452	452	469	0	5,849
Non-Personal Service	275	310	338	310	329	314	283	299	322	311	295	651	0	3,970
Total State Operations	739	864	829	751	765	756	784	841	860	763	747	1,120	0	9,819
General State Charges	108	114	121	90	82	139	118	121	212	96	96	118	0	1,415
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,352	6,780	6,860	5,832	7,248	9,342	6,726	6,406	7,706	8,885	5,897	8,009	0	85,043
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	375	253	414	230	86	56	68	135	79	18	40	972	(477)	2,249
Transfers to Other Funds	(131)	(168)	(267)	(206)	(162)	(226)	(116)	(274)	(201)	(146)	(357)	(1,326)	477	(3,103)
NET OTHER FINANCING SOURCES/(USES)	244	85	147	24	(76)	(170)	(48)	(139)	(122)	(128)	(317)	(354)	0	(854)
Excess/(Deficiency) of Receipts over Disbursements	348	(501)	707	(425)	(443)	(1,364)	240	(511)	(136)	66	596	673	0	(750)
CLOSING BALANCE	5,111	4,610	5,317	4,892	4,449	3,085	3,325	2,814	2,678	2,744	3,340	4,013	0	4,013

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2020**
(dollars in millions)

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,505	5,409	5,343	5,713	5,886	5,762	4,076	4,040	3,612	3,108	3,295	3,655		4,505
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	8	60	2,107	0	10	0	2,186
Consumption/Use Taxes	171	151	187	162	156	177	156	151	179	157	122	123	0	1,892
Business Taxes	122	46	277	60	54	289	61	46	305	60	50	413	0	1,783
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	293	197	464	222	210	466	218	205	544	2,324	172	546	0	5,861
HCRA	456	425	474	460	430	446	480	417	435	418	425	390	0	5,256
State University Income	298	267	261	288	380	663	432	296	273	478	657	378	0	4,671
Lottery	264	324	268	320	277	268	334	258	262	318	266	261	0	3,420
Medicaid	70	70	70	70	70	70	70	70	70	70	70	70	0	845
Motor Vehicle Fees	19	22	13	22	21	15	21	26	16	21	291	16	0	349
Other Transactions	327	289	373	242	212	308	244	267	346	254	297	34	0	3,193
Total Miscellaneous Receipts	1,434	1,397	1,461	1,402	1,391	1,770	1,581	1,327	1,402	1,559	1,736	1,154	0	17,614
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
TOTAL RECEIPTS	1,727	1,594	1,925	1,624	1,601	2,236	1,799	1,532	1,946	3,883	1,908	1,701	0	23,476
DISBURSEMENTS:														
School Aid	0	0	326	0	0	2,477	146	146	146	146	146	283	0	3,816
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	2	0	0	2	3	1	1	2	0	0	2	0	13
STAR	0	0	0	0	0	0	1	8	60	2,107	0	10	0	2,186
Medicaid - DOH	271	535	383	483	636	349	599	467	460	523	391	528	0	5,625
Public Health	66	38	106	167	38	75	59	58	110	47	47	131	0	942
Mental Hygiene	0	0	0	1	0	0	2	0	1	0	0	1	0	5
Children and Families	0	0	0	0	0	0	0	0	0	0	0	4	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	53	455	270	272	401	265	253	514	751	53	82	55	0	3,424
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	43	37	33	18	(2)	0	50	35	30	51	54	78	0	437
Total Local Assistance	433	1,067	1,118	941	1,075	3,179	1,111	1,229	1,560	2,927	720	1,092	0	16,452
Personal Service	414	489	440	459	386	392	436	489	488	403	399	401	0	5,196
Non-Personal Service	206	212	251	158	233	214	199	199	232	219	215	227	0	2,565
Total State Operations	620	701	691	617	619	606	635	688	720	622	614	628	0	7,761
General State Charges	78	83	96	61	54	112	82	94	187	65	71	88	0	1,071
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,131	1,851	1,905	1,619	1,748	3,897	1,828	2,011	2,467	3,614	1,405	1,808	0	25,284
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	375	253	414	230	86	56	68	135	79	18	40	960	(477)	2,237
Transfers to Other Funds	(67)	(62)	(64)	(62)	(63)	(81)	(75)	(84)	(62)	(100)	(183)	(680)	477	(1,106)
NET OTHER FINANCING SOURCES/(USES)	308	191	350	168	23	(25)	(7)	51	17	(82)	(143)	280	0	1,131
Excess/(Deficiency) of Receipts over Disbursements	904	(66)	370	173	(124)	(1,686)	(36)	(428)	(504)	187	360	173	0	(677)
CLOSING BALANCE	5,409	5,343	5,713	5,886	5,762	4,076	4,040	3,612	3,108	3,295	3,655	3,828	0	3,828

**CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2020
(dollars in millions)**

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	258	(298)	(733)	(396)	(994)	(1,313)	(991)	(715)	(798)	(430)	(551)	(315)	258
RECEIPTS:													
Miscellaneous Receipts	3	26	1	2	8	1	2	5	1	18	20	115	202
Federal Receipts	3,726	4,574	5,494	3,757	5,272	5,911	5,213	4,497	5,745	5,178	4,882	7,220	61,469
TOTAL RECEIPTS	3,729	4,600	5,495	3,759	5,280	5,912	5,215	4,502	5,746	5,196	4,902	7,335	61,671
DISBURSEMENTS:													
School Aid	235	235	235	235	235	235	235	235	235	235	235	232	2,817
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	65	65	65	65	65	65	65	65	65	65	65	62	777
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,172	3,959	3,810	3,215	4,527	4,148	3,936	3,341	3,887	4,265	3,560	4,456	46,276
Public Health	129	118	270	142	135	256	137	142	259	147	136	321	2,192
Mental Hygiene	14	9	14	10	8	14	14	8	15	13	10	25	154
Children and Families	70	70	70	70	70	70	70	70	70	70	70	68	838
Temporary & Disability Assistance	350	197	391	214	204	409	197	251	448	189	196	398	3,444
Transportation	4	4	4	4	4	4	4	4	4	4	4	(1)	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	33	78	(67)	95	78	67	55	99	91	111	58	118	816
Total Local Assistance	4,072	4,735	4,792	4,050	5,326	5,268	4,713	4,215	5,074	5,099	4,334	5,679	57,357
Personal Service	50	65	51	49	50	50	65	53	50	49	53	68	653
Non-Personal Service	69	98	87	85	96	100	84	100	90	92	80	424	1,405
Total State Operations	119	163	138	134	146	150	149	153	140	141	133	492	2,058
General State Charges	30	31	25	29	28	27	36	27	25	31	25	30	344
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,221	4,929	4,955	4,213	5,500	5,445	4,898	4,395	5,239	5,271	4,492	6,201	59,759
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	12
Transfers to Other Funds	(64)	(106)	(203)	(144)	(99)	(145)	(41)	(190)	(139)	(46)	(174)	(646)	(1,997)
NET OTHER FINANCING SOURCES/(USES)	(64)	(106)	(203)	(144)	(99)	(145)	(41)	(190)	(139)	(46)	(174)	(634)	(1,985)
Excess/(Deficiency) of Receipts over Disbursements	(556)	(435)	337	(598)	(319)	322	276	(83)	368	(121)	236	500	(73)
CLOSING BALANCE	(298)	(733)	(396)	(994)	(1,313)	(991)	(715)	(798)	(430)	(551)	(315)	185	185

**CASHFLOW
DEBT SERVICE FUNDS
FY 2020**
(dollars in millions)

	2019												2020			Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected				
OPENING BALANCE	79	270	491	447	638	648	356	512	606	638	956	1,043	79			
RECEIPTS:																
Personal Income Tax	4,119	1,148	2,539	1,662	1,354	2,434	1,265	999	2,210	4,484	1,797	1,874	25,885			
Consumption/Use Taxes	538	540	780	572	576	756	582	584	760	608	524	722	7,542			
Other Taxes	90	88	91	93	96	98	81	82	75	87	85	64	1,050			
Total Taxes	4,747	1,776	3,410	2,327	2,026	3,288	1,928	1,665	3,045	5,179	2,406	2,660	34,457			
Miscellaneous Receipts	45	29	31	28	26	25	21	23	23	39	44	60	394			
Federal Receipts	0	0	0	0	2	35	0	0	0	0	3	33	73			
TOTAL RECEIPTS	4,792	1,805	3,441	2,355	2,054	3,348	1,949	1,688	3,068	5,218	2,453	2,753	34,924			
DISBURSEMENTS:																
State Operations	0	2	2	0	17	2	0	3	1	1	8	10	46			
Debt Service	72	102	235	45	319	1,029	49	90	415	45	786	2,507	5,694			
TOTAL DISBURSEMENTS	72	104	237	45	336	1,031	49	93	416	46	794	2,517	5,740			
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	243	151	241	234	162	361	215	195	181	355	210	933	3,481			
Transfers to Other Funds	(4,772)	(1,651)	(3,489)	(2,353)	(1,870)	(2,970)	(1,959)	(1,696)	(2,801)	(5,209)	(1,782)	(2,150)	(32,662)			
NET OTHER FINANCING SOURCES/(USES)	(4,529)	(1,480)	(3,248)	(2,119)	(1,708)	(2,609)	(1,744)	(1,501)	(2,620)	(4,854)	(1,572)	(1,197)	(29,181)			
Excess/(Deficiency) of Receipts over Disbursements	191	221	(44)	191	10	(292)	156	94	32	318	87	(961)	3			
CLOSING BALANCE	270	491	447	638	648	356	512	606	638	956	1,043	82	82			

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2020
(dollars in millions)**

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(709)	(1,196)	(1,322)	(1,264)	(1,406)	(1,573)	(1,915)	(1,448)	(1,659)	(1,760)	(2,016)	(2,212)	(709)
RECEIPTS:													
Consumption/Use Taxes	45	46	67	48	50	78	48	45	65	46	43	52	633
Business Taxes	54	49	57	61	56	60	56	54	57	55	53	53	665
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	95	136	121	118	150	116	111	134	113	108	116	1,417
Miscellaneous Receipts	102	107	244	492	130	509	1,520	138	1,486	695	144	1,949	7,516
Federal Receipts	115	122	224	146	180	169	159	240	147	126	219	382	2,229
TOTAL RECEIPTS	316	324	604	759	428	828	1,795	489	1,767	934	471	2,447	11,162
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	32	0	0	0	0	0	0	0	2	34
Public Health	44	44	44	44	44	44	44	44	44	44	44	143	627
Mental Hygiene	8	10	10	9	9	10	8	9	10	11	11	28	133
School Aid	25	25	25	25	25	63	25	25	25	25	25	87	400
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	16	0	0	14	62
Transportation	58	40	197	51	57	294	57	57	394	48	43	460	1,756
All Other Local	163	132	150	225	147	161	163	304	267	149	141	246	2,248
Total Local Assistance	298	251	442	386	282	588	297	439	756	277	264	980	5,260
Economic Development	60	58	85	87	73	97	61	67	90	60	62	75	875
Parks & the Environment	42	47	46	47	48	41	46	41	41	44	42	307	794
Transportation	210	298	394	369	365	469	376	360	426	270	234	(54)	3,717
Health & Social Welfare	8	10	8	10	11	15	13	16	15	14	17	47	184
Mental Hygiene	28	27	25	25	26	25	18	20	15	20	19	24	272
Public Protection	62	41	49	39	40	45	38	41	45	40	40	69	549
Education	63	85	82	141	111	113	87	72	69	68	81	56	1,028
All Other	44	62	121	98	99	92	78	60	137	108	100	(252)	747
Total Capital Projects	517	628	810	816	773	899	717	677	838	624	595	272	8,166
TOTAL DISBURSEMENTS	815	879	1,252	1,202	1,055	1,487	1,014	1,116	1,594	901	859	1,252	13,426
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	57	474	753	346	528	573	(269)	461	(229)	(244)	266	501	3,217
Transfers to Other Funds	(45)	(45)	(47)	(45)	(68)	(256)	(45)	(45)	(45)	(45)	(74)	(836)	(1,586)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	604	604
NET OTHER FINANCING SOURCES/(USES)	12	429	706	301	460	317	(314)	416	(274)	(289)	192	279	2,235
Excess/(Deficiency) of Receipts over Disbursements	(487)	(126)	58	(142)	(167)	(342)	467	(211)	(101)	(256)	(196)	1,474	(29)
CLOSING BALANCE	(1,196)	(1,322)	(1,264)	(1,406)	(1,573)	(1,915)	(1,448)	(1,659)	(1,760)	(2,016)	(2,212)	(738)	(738)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(95)	(587)	(727)	(720)	(852)	(1,025)	(1,238)	(764)	(1,048)	(902)	(1,152)	(1,458)	(95)
RECEIPTS:													
Consumption/Use Taxes	45	46	67	48	50	78	48	45	65	46	43	52	633
Business Taxes	54	49	57	61	56	60	56	54	57	55	53	53	665
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	95	136	121	118	150	116	111	134	113	108	116	1,417
Miscellaneous Receipts	102	107	244	492	130	509	1,520	138	1,486	695	144	1,949	7,516
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	5	5
TOTAL RECEIPTS	201	202	380	613	248	659	1,636	249	1,620	808	252	2,070	8,938
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	32	0	0	0	0	0	0	0	2	34
Public Health	38	38	38	38	38	38	38	38	38	38	38	139	557
Mental Hygiene	8	10	10	9	9	8	8	9	10	11	11	28	133
School Aid	25	25	25	25	25	63	25	25	25	25	25	87	400
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	16	0	0	14	62
Transportation	7	10	164	11	12	244	12	12	354	11	11	427	1,275
All Other Local	158	127	145	220	142	160	162	303	143	148	142	243	2,093
Total Local Assistance	236	210	398	335	226	531	245	387	586	233	227	940	4,554
Economic Development	60	58	85	87	73	97	61	67	90	60	62	75	875
Parks & the Environment	41	45	44	45	46	41	44	39	40	42	41	305	773
Transportation	166	236	327	269	252	353	266	251	326	187	166	(121)	2,678
Health & Social Welfare	10	9	10	9	10	14	13	14	14	13	16	46	175
Mental Hygiene	28	27	25	25	26	25	18	20	15	20	19	24	272
Public Protection	60	39	47	37	38	43	36	39	43	38	38	65	523
Education	63	85	82	141	111	113	87	72	69	68	81	56	1,028
All Other	44	62	121	98	99	92	78	60	137	108	100	(252)	747
Total Capital Projects	469	561	741	711	655	778	603	562	734	536	523	198	7,071
TOTAL DISBURSEMENTS	705	771	1,139	1,046	881	1,309	848	949	1,320	769	750	1,138	11,625
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	57	474	813	346	528	693	(269)	461	(109)	(244)	266	596	3,612
Transfers to Other Funds	(45)	(45)	(47)	(45)	(68)	(256)	(45)	(45)	(45)	(45)	(74)	(814)	(1,574)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	604	604
NET OTHER FINANCING SOURCES/(USES)	12	429	766	301	460	437	(314)	416	(154)	(289)	192	386	2,642
Excess/(Deficiency) of Receipts over Disbursements	(492)	(140)	7	(132)	(173)	(213)	474	(284)	146	(250)	(306)	1,318	(45)
CLOSING BALANCE	(587)	(727)	(720)	(852)	(1,025)	(1,238)	(764)	(1,048)	(902)	(1,152)	(1,458)	(1,400)	(140)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(614)	(609)	(595)	(544)	(554)	(548)	(677)	(684)	(611)	(858)	(864)	(754)	(614)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	115	122	224	146	180	169	159	240	147	126	219	377	2,224
TOTAL RECEIPTS	115	122	224	146	180	169	159	240	147	126	219	377	2,224
DISBURSEMENTS:													
Public Health	6	6	6	6	6	6	6	6	6	6	6	4	70
Transportation	51	30	33	40	45	50	45	45	40	37	32	33	481
All Other Local	5	5	5	5	5	1	1	1	124	1	(1)	3	155
Total Local Assistance	62	41	44	51	56	57	52	52	170	44	37	40	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	2	2	2	2	2	2	1	2	1	2	21
Transportation	44	62	67	100	113	116	110	109	100	83	68	67	1,039
Health & Social Welfare	1	1	(2)	1	1	1	0	2	1	1	1	1	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	2	2	2	2	2	2	2	2	4	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Capital Projects	48	67	69	105	118	121	114	115	104	88	72	74	1,095
TOTAL DISBURSEMENTS	110	108	113	156	174	178	166	167	274	132	109	114	1,801
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	(60)	0	0	(120)	0	0	(120)	0	0	(95)	(395)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	(12)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	(60)	0	0	(120)	0	0	(120)	0	0	(107)	(407)
Excess/(Deficiency) of Receipts over Disbursements	5	14	51	(10)	6	(129)	(7)	73	(247)	(6)	110	156	16
CLOSING BALANCE	(609)	(595)	(544)	(544)	(548)	(677)	(684)	(611)	(858)	(864)	(754)	(598)	(598)

**CASHFLOW
STATE FUNDS
FY 2020**
(dollars in millions)

	2019 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,034	13,986	9,281	10,194	11,003	9,449	9,046	9,522	6,545	7,830	13,031	12,804		11,034
RECEIPTS:														
Personal Income Tax	8,238	2,296	5,078	3,324	2,708	4,868	2,531	1,998	4,420	8,967	3,594	3,748	0	51,770
Consumption/Use Taxes	1,338	1,325	1,865	1,413	1,404	1,819	1,417	1,411	1,813	1,476	1,245	1,660	0	18,186
Business Taxes	429	137	1,480	1,178	1,667	1,535	1,192	1,222	1,607	1,911	1,444	2,429	0	8,611
Other Taxes	181	179	194	196	200	202	184	185	178	189	187	167	0	2,242
Total Taxes	10,186	3,937	8,617	5,111	4,479	8,424	4,324	3,716	8,018	10,823	5,170	8,004	0	80,809
Abandoned Property	0	0	0	0	0	40	10	150	50	0	0	200	0	450
ABC License Fee	6	6	6	5	6	6	6	5	5	6	5	4	0	66
HCRA	456	425	474	460	430	446	480	417	483	418	425	390	0	5,256
Investment Income	3	3	3	4	3	3	3	3	3	3	3	4	0	38
Licenses, Fees, etc.	45	75	65	50	35	75	60	55	65	70	40	58	0	693
Lottery	264	324	268	320	277	268	334	258	262	318	266	261	0	3,420
Medicaid														
Motor Vehicle Fees	52	49	31	27	60	21	47	32	50	53	57	75	0	845
Reimbursements	9	9	9	9	9	9	9	9	9	9	9	10	0	109
State University Income	298	267	261	288	380	663	432	296	273	478	657	378	0	4,671
Extraordinary Settlements	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Transactions	484	440	698	777	383	937	1,825	443	1,915	1,003	500	2,110	0	11,515
Total Miscellaneous Receipts	1,687	1,668	1,885	2,010	1,653	2,538	3,276	1,738	3,137	2,428	2,032	3,543	0	27,595
Federal Receipts	0	0	0	0	2	35	0	0	0	0	3	39	0	79
TOTAL RECEIPTS	11,873	5,605	10,502	7,121	6,134	10,997	7,600	5,454	11,155	13,251	7,205	11,586	0	108,483
DISBURSEMENTS:														
School Aid	1,177	3,677	1,976	391	693	4,203	1,192	1,819	2,316	695	943	8,536	0	27,618
Higher Education	24	33	1,096	231	45	170	92	37	189	52	338	638	0	2,945
All Other Education	36	42	62	332	276	55	92	297	374	31	383	364	0	2,344
STAR	0	0	0	0	0	0	0	8	60	2,107	0	10	0	2,186
Medicaid - DOH	1,813	2,462	1,700	1,462	2,517	1,740	1,763	2,346	1,384	1,591	1,652	377	0	20,807
Public Health	134	259	172	253	149	134	151	128	205	135	103	292	0	2,115
Mental Hygiene	120	55	272	173	61	289	161	54	323	164	89	340	0	2,111
Children and Families	72	65	211	70	65	211	73	69	212	78	135	227	0	1,488
Temporary & Disability Assistance	105	105	121	106	164	121	106	105	131	105	105	131	0	1,405
Transportation	60	489	448	283	437	509	265	550	1,116	64	107	481	0	4,809
Unrestricted Aid	1	17	395	7	7	42	11	4	190	4	1	65	0	744
All Other	230	195	243	311	226	235	269	403	252	282	298	459	0	3,403
Total Local Assistance	3,772	7,399	6,696	3,619	4,640	7,719	4,176	5,820	6,752	5,308	4,154	11,920	0	71,975
Personal Service	1,079	1,321	1,105	1,226	1,152	1,069	1,278	1,169	1,189	1,245	1,065	1,154	0	14,052
Non-Personal Service	434	507	475	399	517	476	441	443	484	447	460	524	0	5,557
Total State Operations	1,513	1,828	1,580	1,625	1,669	1,545	1,719	1,612	1,623	1,692	1,525	1,678	0	19,609
General State Charges	3,143	508	571	452	498	591	612	533	602	510	613	601	0	9,234
Debt Service	72	102	235	45	319	1,029	49	90	415	45	786	2,507	0	5,694
Capital Projects	469	561	741	711	655	778	603	562	734	536	523	198	0	7,071
TOTAL DISBURSEMENTS	8,969	10,998	9,823	6,452	7,781	11,662	7,159	8,617	10,126	8,091	7,601	16,904	0	113,583
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	5,500	2,558	5,011	3,268	2,695	4,152	2,034	2,592	3,002	5,425	2,471	5,029	(477)	43,260
Transfers to other funds	(5,452)	(2,470)	(4,777)	(3,128)	(2,602)	(3,890)	(1,999)	(2,406)	(2,746)	(5,384)	(2,302)	(4,351)	477	(41,030)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	604	0	604
NET OTHER FINANCING SOURCES/(USES)	48	88	234	140	93	262	35	186	256	41	169	1,282	0	2,834
Excess/(Deficiency) of Receipts over Disbursements	2,952	(4,705)	913	809	(1,554)	(403)	476	(2,977)	1,285	5,201	(227)	(4,036)	0	(2,266)
CLOSING BALANCE	13,986	9,281	10,194	11,003	9,449	9,046	9,522	6,545	7,830	13,031	12,804	11,586	0	8,768

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020 THROUGH FY 2023
(millions of dollars)

	<u>FY 2020</u> <u>Proposed</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>
Opening Fund Balance	0	0	0	0
Receipts:				
Taxes	746	730	697	666
Miscellaneous receipts	5,256	5,329	5,403	5,480
Total Receipts	<u>6,002</u>	<u>6,059</u>	<u>6,100</u>	<u>6,146</u>
Disbursements and Transfers:				
Medical Assistance Account	3,889	3,811	3,762	3,813
Hospital Indigent Care	892	892	892	892
HCRA Program Account	377	392	392	392
Child Health Plus	429	625	734	748
Elderly Pharmaceutical Insurance Coverage	133	131	130	130
NYSOH - Health Benefit Exchange	47	44	43	42
SHIN-NY/APCD	40	0	0	0
All Other	195	164	147	129
Total Disbursements and Transfers	<u>6,002</u>	<u>6,059</u>	<u>6,100</u>	<u>6,146</u>
Change in Fund Balance	0	0	0	0
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019 and FY 2020
(millions of dollars)

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	15	0	(15)
Receipts:			
Taxes	785	746	(39)
Miscellaneous receipts	5,131	5,256	125
Total Receipts	<u>5,916</u>	<u>6,002</u>	<u>86</u>
Disbursements and Transfers:			
Medical Assistance Account	3,915	3,889	(26)
Hospital Indigent Care	892	892	0
HCRA Program Account	375	377	2
Child Health Plus	375	429	54
Elderly Pharmaceutical Insurance Coverage	140	133	(7)
NYSOH - Health Benefit Exchange	0	47	47
SHIN-NY/APCD	40	40	0
All Other	194	195	1
Total Disbursements and Transfers	<u>5,931</u>	<u>6,002</u>	<u>71</u>
Change in Fund Balance	(15)	0	15
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected	Total
Opening Fund Balance	15	174	212	274	279	171	271	239	372	256	339	194	15
Receipts:													
Taxes	64	69	73	78	71	64	70	64	63	65	47	57	785
Miscellaneous receipts	456	404	474	436	431	446	423	506	371	451	379	354	5,131
Total Receipts	520	473	547	514	502	510	493	570	434	516	426	411	5,916
Disbursements and Transfers:													
Medical Assistance Account	220	331	331	263	496	269	385	307	394	314	435	170	3,915
Hospital Indigent Care	61	64	52	72	71	74	77	61	66	62	69	163	892
HGRA Program Account	36	5	54	132	3	22	22	6	5	17	4	69	375
Child Health Plus	20	22	34	21	22	26	28	40	72	21	22	47	375
Elderly Pharmaceutical Insurance Coverage	7	10	12	12	12	13	12	13	11	12	13	13	140
NYSOH - Health Benefit Exchange	0	0	0	0	0	0	0	0	0	0	0	0	0
NYSOH - Health Benefit Exchange	0	0	0	0	0	0	0	0	0	0	0	0	0
SHIN-NY/APCD	17	3	2	9	6	6	1	10	2	7	28	103	194
All Other	361	435	485	509	610	410	525	437	550	433	571	605	5,931
Total	159	38	62	5	(108)	100	(32)	133	(116)	83	(145)	(194)	(15)
Change in Fund Balance	174	212	274	279	171	271	239	372	256	339	194	0	0
Closing Fund Balance													

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	0	161	215	264	277	165	245	260	278	199	245	243	0
Receipts:													
Taxes	60	65	69	73	66	61	68	62	62	66	45	49	746
Miscellaneous receipts	456	425	474	460	430	446	480	417	435	418	425	390	5,256
Total Receipts	516	490	543	533	496	507	548	479	497	484	470	439	6,002
Disbursements and Transfers:													
Medical Assistance Account	210	330	331	270	495	275	384	307	394	314	322	257	3,889
Hospital Indigent Care	61	64	52	72	71	74	74	90	66	68	69	131	892
HGRA Program Account	36	5	54	132	3	22	17	14	4	4	5	5	377
Child Health Plus	22	22	40	22	22	41	31	31	67	31	31	69	429
Elderly Pharmaceutical Insurance Coverage	7	10	12	12	12	12	13	11	12	12	11	9	133
NYSOH - Health Benefit Exchange	3	3	3	3	3	3	5	5	5	5	5	4	47
NYSOH - Health Benefit Exchange	0	0	0	0	0	0	0	0	0	0	0	0	0
SHIN-NY/APCD	16	2	2	9	2	0	9	2	2	4	29	118	195
All Other	355	436	494	520	608	427	533	461	576	438	472	682	6,002
Total	161	54	49	13	(112)	80	15	18	(79)	46	(2)	(243)	0
Change in Fund Balance	161	215	264	277	165	245	260	278	199	245	243	0	0
Closing Fund Balance													

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

	FY 2019 Current			FY 2020 Proposed			FY 2021 Projected			FY 2022 Projected			FY 2023 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(269)	26	12	(239)	26	13	(219)	23	14	(187)	20	15	(187)	17	16
Receipts:															
Unemployment Taxes	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	667	73	1	645	91	1	646	91	1	646	91	1	646	92	1
Federal Receipts	0	50	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	667	2,573	1	645	2,591	1	646	2,591	1	646	2,591	1	646	2,592	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	125	9	0	143	15	0	151	15	0	145	15	0	145	15	0
Non-Personal Service	549	60	0	544	72	0	551	72	0	551	72	0	560	73	0
Unemployment Benefits	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	73	3	0	71	6	0	75	6	0	75	6	0	76	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	747	2,572	0	758	2,593	0	777	2,593	0	771	2,593	0	781	2,594	0
Other Financing Sources (Uses):															
Transfers from Other Funds	138	0	0	154	0	0	154	0	0	154	0	0	154	0	0
Transfers to Other Funds	(28)	(1)	0	(21)	(1)	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0
	110	(1)	0	133	(1)	0	142	(1)	0	146	(1)	0	146	(1)	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	30	0	1	20	(3)	1	11	(3)	1	21	(3)	1	11	(3)	1
Closing Fund Balance	(239)	26	13	(219)	23	14	(208)	20	15	(187)	17	16	(176)	14	17

Workforce Impact Summary

General Fund FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	2,329	2,361	(406)	361	0	0	(45)	2,316
Corrections and Community Supervision, Department of	29,003	27,226	(1,065)	693	0	0	(372)	26,854
Education Department, State	281	279	(28)	28	0	0	0	279
Environmental Conservation, Department of	994	1,108	(89)	94	0	0	5	1,113
General Services, Office of	930	818	(137)	137	(424)	0	(424)	394
Health, Department of	1,463	1,872	(240)	335	0	0	95	1,967
Information Technology Services, Office of	3,464	3,479	(130)	130	0	0	0	3,479
Labor, Department of	0	1	0	0	0	0	0	1
Mental Health, Office of	13,351	12,973	(1,455)	1,495	0	0	40	13,013
Motor Vehicles, Department of	0	167	0	0	0	0	0	167
Parks, Recreation and Historic Preservation, Office of	1,107	1,221	(119)	136	0	0	17	1,238
People with Developmental Disabilities, Office for	18,476	18,195	(1,078)	1,078	0	0	0	18,195
State Police, Division of	5,219	5,330	(311)	311	0	0	0	5,330
Taxation and Finance, Department of	3,845	3,276	(132)	242	0	0	110	3,386
Temporary and Disability Assistance, Office of	1,011	1,016	(104)	104	0	0	0	1,016
Transportation, Department of	0	2,545	(114)	114	0	0	0	2,545
Subtotal - Major Agencies	81,473	81,867	(5,408)	5,258	(424)	0	(574)	81,293
Minor Agencies	4,090	4,278	(409)	440	0	0	31	4,309
Subtotal - Subject to Direct Executive Control	85,563	86,145	(5,817)	5,698	(424)	0	(543)	85,602
University Systems								
State University of New York	3	3	0	0	0	0	0	3
Subtotal - University Systems	3	3	0	0	0	0	0	3
Independently Elected Agencies								
Audit and Control, Department of	1,324	1,336	(107)	107	0	0	0	1,336
Law, Department of	1,077	1,065	(76)	76	0	0	0	1,065
Subtotal - Independently Elected Agencies	2,401	2,401	(183)	183	0	0	0	2,401
Grand Total	87,967	88,549	(6,000)	5,881	(424)	0	(543)	88,006

Workforce Impact Summary

General Fund FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Minor Agencies								
Adirondack Park Agency	54	54	(1)	1	0	0	0	54
Aging, Office for the	11	12	(1)	7	0	0	6	18
Agriculture and Markets, Department of	371	357	(41)	70	0	0	29	386
Alcoholic Beverage Control, Division of	113	120	(8)	8	0	0	0	120
Alcoholism and Substance Abuse Services, Office of	720	722	0	0	0	0	0	722
Arts, Council on the	27	30	(3)	3	0	0	0	30
Budget, Division of the	220	245	(40)	40	0	0	0	245
Civil Service, Department of	186	185	(33)	33	0	0	0	185
Correction, Commission of	29	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	376	398	(24)	24	0	0	0	398
Economic Development, Department of	135	151	(13)	13	0	0	0	151
Elections, State Board of	69	75	(6)	8	0	0	2	77
Employee Relations, Office of	26	66	(3)	3	0	0	0	66
Executive Chamber	97	136	(50)	50	0	0	0	136
Gaming Commission, New York State	58	55	(4)	5	0	0	1	56
Housing and Community Renewal, Division of	33	54	(6)	6	0	0	0	54
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	107	124	(13)	13	0	0	0	124
Inspector General, Office of the	87	92	(7)	7	0	0	0	92
Judicial Conduct, Commission on	40	43	(3)	3	0	0	0	43
Justice Center for the Protection of People with Special Needs	407	417	(33)	26	0	0	(7)	410
Labor Management Committees	72	77	(6)	6	0	0	0	77
Lieutenant Governor, Office of the	4	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	203	214	(26)	26	0	0	0	214
Military and Naval Affairs, Division of	100	104	(12)	12	0	0	0	104
Prevention of Domestic Violence, Office for	17	18	(2)	2	0	0	0	18
Public Employment Relations Board	30	33	(3)	3	0	0	0	33
Public Ethics, Joint Commission on	52	52	(4)	4	0	0	0	52
State, Department of	205	138	(36)	36	0	0	0	138
Statewide Financial System	135	142	(11)	11	0	0	0	142
Tax Appeals, Division of	23	27	(1)	1	0	0	0	27
Veterans' Affairs, Division of	76	90	(15)	15	0	0	0	90
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	4,090	4,278	(409)	440	0	0	31	4,309

Workforce Impact Summary

State Operating Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	2,365	2,405	(425)	380	0	0	(45)	2,360
Corrections and Community Supervision, Department of	29,008	27,230	(1,065)	693	0	0	(372)	26,858
Education Department, State	1,238	1,263	(126)	126	0	0	0	1,263
Environmental Conservation, Department of	2,094	2,230	(185)	190	0	0	5	2,235
Financial Services, Department of	1,356	1,381	(55)	69	0	0	14	1,395
General Services, Office of	988	831	(139)	139	(424)	0	(424)	407
Health, Department of	3,437	3,973	(527)	632	0	0	105	4,078
Information Technology Services, Office of	3,464	3,479	(130)	130	0	0	0	3,479
Labor, Department of	342	508	(33)	33	0	0	0	508
Mental Health, Office of	13,351	12,973	(1,455)	1,495	0	0	40	13,013
Motor Vehicles, Department of	498	665	(75)	75	0	0	0	665
Parks, Recreation and Historic Preservation, Office of	1,314	1,507	(138)	155	0	0	17	1,524
People with Developmental Disabilities, Office for	18,476	18,195	(1,078)	1,078	0	0	0	18,195
State Police, Division of	5,539	5,666	(311)	311	0	0	0	5,666
Taxation and Finance, Department of	3,898	3,975	(142)	252	0	0	110	4,085
Temporary and Disability Assistance, Office of	1,011	1,016	(104)	104	0	0	0	1,016
Transportation, Department of	39	2,591	(116)	116	0	0	0	2,591
Workers' Compensation Board	1,082	1,109	(89)	89	0	0	0	1,109
Subtotal - Major Agencies	89,500	90,997	(6,193)	6,067	(424)	0	(550)	90,447
Minor Agencies	6,430	6,823	(711)	914	59	0	262	7,085
Subtotal - Subject to Direct Executive Control	95,930	97,820	(6,904)	6,981	(365)	0	(288)	97,532
University Systems								
City University of New York	375	374	0	0	0	0	0	374
State University of New York	45,880	46,090	0	0	0	0	0	46,090
Subtotal - University Systems	46,255	46,464	0	0	0	0	0	46,464
Independently Elected Agencies								
Audit and Control, Department of	1,490	1,524	(134)	134	0	0	0	1,524
Law, Department of	1,543	1,533	(119)	119	0	0	0	1,533
Subtotal - Independently Elected Agencies	3,033	3,057	(253)	253	0	0	0	3,057
Grand Total	145,218	147,341	(7,157)	7,234	(365)	0	(288)	147,053

Workforce Impact Summary

State Operating Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Minor Agencies								
Adirondack Park Agency	54	54	(1)	1	0	0	0	54
Aging, Office for the	11	12	(1)	7	0	0	6	18
Agriculture and Markets, Department of	415	400	(46)	75	0	0	29	429
Alcoholic Beverage Control, Division of	113	120	(8)	8	0	0	0	120
Alcoholism and Substance Abuse Services, Office of	720	722	(109)	109	0	0	0	722
Arts, Council on the	27	30	(3)	3	0	0	0	30
Budget, Division of the	234	261	(45)	45	0	0	0	261
Civil Service, Department of	192	191	(34)	34	0	0	0	191
Correction, Commission of	29	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	381	403	(24)	24	0	0	0	403
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	136	153	(13)	13	0	0	0	153
Elections, State Board of	69	75	(6)	8	0	0	2	77
Employee Relations, Office of	26	66	(3)	3	0	0	0	66
Executive Chamber	97	136	(50)	50	0	0	0	136
Financial Control Board, New York State	12	12	(1)	2	0	0	1	13
Gaming Commission, New York State	417	411	(19)	38	0	0	19	430
Higher Education Services Corporation, New York State	183	176	(15)	15	0	0	0	176
Homeland Security and Emergency Services, Division of	347	441	(26)	35	59	0	68	509
Housing and Community Renewal, Division of	536	561	(74)	168	0	0	94	655
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	107	124	(13)	13	0	0	0	124
Indigent Legal Services, Office of	24	34	0	2	0	0	2	36
Inspector General, Office of the	87	92	(7)	7	0	0	0	92
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Judicial Conduct, Commission on	40	43	(3)	3	0	0	0	43
Justice Center for the Protection of People with Special Needs	422	430	(33)	26	0	0	(7)	423
Labor Management Committees	72	77	(6)	6	0	0	0	77
Lieutenant Governor, Office of the	4	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	203	214	(26)	26	0	0	0	214
Military and Naval Affairs, Division of	102	106	(12)	12	0	0	0	106
Prevention of Domestic Violence, Office for	17	18	(2)	2	0	0	0	18
Public Employment Relations Board	30	33	(3)	3	0	0	0	33
Public Ethics, Joint Commission on	52	52	(4)	4	0	0	0	52
Public Service Department	494	495	(26)	34	0	0	8	503
State, Department of	480	508	(52)	92	0	0	40	548
Statewide Financial System	135	142	(11)	11	0	0	0	142
Tax Appeals, Division of	23	27	(1)	1	0	0	0	27
Veterans' Affairs, Division of	76	90	(15)	15	0	0	0	90
Victim Services, Office of	43	54	(15)	15	0	0	0	54
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	6,430	6,823	(711)	914	59	0	262	7,085

Workforce Impact Summary

State Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	2,435	2,474	(440)	395	0	0	(45)	2,429
Corrections and Community Supervision, Department of	29,038	28,239	(1,103)	731	0	0	(372)	27,867
Education Department, State	1,238	1,263	(126)	126	0	0	0	1,263
Environmental Conservation, Department of	2,621	2,846	(211)	216	0	0	5	2,851
Financial Services, Department of	1,356	1,381	(55)	69	0	0	14	1,395
General Services, Office of	1,280	1,251	(139)	139	(424)	0	(424)	827
Health, Department of	3,558	4,100	(551)	656	0	0	105	4,205
Information Technology Services, Office of	3,471	3,489	(130)	130	0	0	0	3,489
Labor, Department of	342	508	(33)	33	0	0	0	508
Mental Health, Office of	13,900	13,656	(1,455)	1,495	0	0	40	13,696
Motor Vehicles, Department of	2,284	2,327	(266)	230	0	0	(36)	2,291
Parks, Recreation and Historic Preservation, Office of	1,729	1,991	(150)	167	0	0	17	2,008
People with Developmental Disabilities, Office for	18,856	18,572	(1,078)	1,078	0	0	0	18,572
State Police, Division of	5,609	5,741	(311)	311	0	0	0	5,741
Taxation and Finance, Department of	3,898	3,975	(142)	252	0	0	110	4,085
Temporary and Disability Assistance, Office of	1,019	1,024	(104)	104	0	0	0	1,024
Transportation, Department of	8,390	8,394	(377)	377	0	0	0	8,394
Workers' Compensation Board	1,082	1,109	(89)	89	0	0	0	1,109
Subtotal - Major Agencies	102,106	102,340	(6,760)	6,598	(424)	0	(586)	101,754
Minor Agencies	6,487	6,892	(718)	921	59	0	262	7,154
Subtotal - Subject to Direct Executive Control	108,593	109,232	(7,478)	7,519	(365)	0	(324)	108,908
University Systems								
City University of New York	375	374	0	0	0	0	0	374
State University Construction Fund	142	152	0	0	0	0	0	152
State University of New York	45,880	46,090	0	0	0	0	0	46,090
Subtotal - University Systems	46,397	46,616	0	0	0	0	0	46,616
Independently Elected Agencies								
Audit and Control, Department of	1,490	1,524	(134)	134	0	0	0	1,524
Law, Department of	1,545	1,540	(119)	119	0	0	0	1,540
Subtotal - Independently Elected Agencies	3,035	3,064	(253)	253	0	0	0	3,064
Grand Total	158,025	158,912	(7,731)	7,772	(365)	0	(324)	158,588

Workforce Impact Summary

State Funds
FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Minor Agencies								
Adirondack Park Agency	54	54	(1)	1	0	0	0	54
Aging, Office for the	11	12	(1)	7	0	0	6	18
Agriculture and Markets, Department of	415	400	(47)	76	0	0	29	429
Alcoholic Beverage Control, Division of	113	120	(8)	8	0	0	0	120
Alcoholism and Substance Abuse Services, Office of	736	737	(109)	109	0	0	0	737
Arts, Council on the	27	30	(3)	3	0	0	0	30
Budget, Division of the	234	261	(45)	45	0	0	0	261
Civil Service, Department of	192	191	(34)	34	0	0	0	191
Correction, Commission of	29	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	381	403	(24)	24	0	0	0	403
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	136	153	(13)	13	0	0	0	153
Elections, State Board of	69	75	(6)	8	0	0	2	77
Employee Relations, Office of	26	66	(3)	3	0	0	0	66
Executive Chamber	97	136	(50)	50	0	0	0	136
Financial Control Board, New York State	12	12	(1)	2	0	0	1	13
Gaming Commission, New York State	417	411	(19)	38	0	0	19	430
Higher Education Services Corporation, New York State	183	176	(15)	15	0	0	0	176
Homeland Security and Emergency Services, Division of	347	441	(26)	35	59	0	68	509
Housing and Community Renewal, Division of	536	561	(74)	168	0	0	94	655
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	107	124	(13)	13	0	0	0	124
Indigent Legal Services, Office of	24	34	0	2	0	0	2	36
Inspector General, Office of the	87	92	(7)	7	0	0	0	92
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Judicial Conduct, Commission on	40	43	(3)	3	0	0	0	43
Justice Center for the Protection of People with Special Needs	422	430	(33)	26	0	0	(7)	423
Labor Management Committees	72	77	(6)	6	0	0	0	77
Lieutenant Governor, Office of the	4	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	203	214	(26)	26	0	0	0	214
Military and Naval Affairs, Division of	143	160	(18)	18	0	0	0	160
Prevention of Domestic Violence, Office for	17	18	(2)	2	0	0	0	18
Public Employment Relations Board	30	33	(3)	3	0	0	0	33
Public Ethics, Joint Commission on	52	52	(4)	4	0	0	0	52
Public Service Department	494	495	(26)	34	0	0	8	503
State, Department of	480	508	(52)	92	0	0	40	548
Statewide Financial System	135	142	(11)	11	0	0	0	142
Tax Appeals, Division of	23	27	(1)	1	0	0	0	27
Veterans' Affairs, Division of	76	90	(15)	15	0	0	0	90
Victim Services, Office of	43	54	(15)	15	0	0	0	54
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	6,487	6,892	(718)	921	59	0	262	7,154

Workforce Impact Summary

All Funds
FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	2,887	2,964	(513)	468	0	0	(45)	2,919
Corrections and Community Supervision, Department of	29,351	29,175	(1,139)	767	0	0	(372)	28,803
Education Department, State	2,575	2,692	(269)	269	0	0	0	2,692
Environmental Conservation, Department of	2,887	3,110	(234)	239	0	0	5	3,115
Financial Services, Department of	1,356	1,381	(55)	69	0	0	14	1,395
General Services, Office of	1,811	1,931	(323)	323	0	0	0	1,931
Health, Department of	4,690	5,462	(718)	872	0	0	154	5,616
Information Technology Services, Office of	3,471	3,489	(130)	130	0	0	0	3,489
Labor, Department of	2,935	2,987	(285)	285	0	0	0	2,987
Mental Health, Office of	13,911	13,677	(1,455)	1,495	0	0	40	13,717
Motor Vehicles, Department of	2,301	2,344	(266)	266	0	0	0	2,344
Parks, Recreation and Historic Preservation, Office of	1,751	2,024	(152)	169	0	0	17	2,041
People with Developmental Disabilities, Office for	18,867	18,590	(1,078)	1,078	0	0	0	18,590
State Police, Division of	5,609	5,741	(311)	311	0	0	0	5,741
Taxation and Finance, Department of	3,898	3,975	(142)	252	0	0	110	4,085
Temporary and Disability Assistance, Office of	1,923	1,989	(234)	234	0	0	0	1,989
Transportation, Department of	8,501	8,520	(383)	383	0	0	0	8,520
Workers' Compensation Board	1,082	1,109	(89)	89	0	0	0	1,109
Subtotal - Major Agencies	109,806	111,160	(7,776)	7,699	0	0	(77)	111,083
Minor Agencies	7,591	8,167	(852)	1,051	0	0	199	8,366
Subtotal - Subject to Direct Executive Control	117,397	119,327	(8,628)	8,750	0	0	122	119,449
University Systems								
City University of New York	13,726	13,632	0	0	0	0	0	13,632
State University Construction Fund	142	152	0	0	0	0	0	152
State University of New York	45,882	46,092	0	0	0	0	0	46,092
Subtotal - University Systems	59,750	59,876	0	0	0	0	0	59,876
Independently Elected Agencies								
Audit and Control, Department of	2,630	2,663	(212)	212	0	0	0	2,663
Law, Department of	1,822	1,839	(139)	139	0	0	0	1,839
Subtotal - Independently Elected Agencies	4,452	4,502	(351)	351	0	0	0	4,502
Grand Total	181,599	183,705	(8,979)	9,101	0	0	122	183,827

Workforce Impact Summary

All Funds
FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Minor Agencies								
Adirondack Park Agency	54	54	(1)	1	0	0	0	54
Aging, Office for the	89	95	(16)	16	0	0	0	95
Agriculture and Markets, Department of	460	483	(50)	79	0	0	29	512
Alcoholic Beverage Control, Division of	113	120	(8)	8	0	0	0	120
Alcoholism and Substance Abuse Services, Office of	736	737	(109)	109	0	0	0	737
Arts, Council on the	27	30	(3)	3	0	0	0	30
Budget, Division of the	234	261	(45)	45	0	0	0	261
Civil Service, Department of	345	362	(67)	67	0	0	0	362
Correction, Commission of	29	32	(2)	2	0	0	0	32
Criminal Justice Services, Division of	408	435	(24)	24	0	0	0	435
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	136	153	(13)	13	0	0	0	153
Elections, State Board of	69	81	(6)	10	0	0	4	85
Employee Relations, Office of	33	77	(3)	3	0	0	0	77
Executive Chamber	97	136	(50)	50	0	0	0	136
Financial Control Board, New York State	12	12	(1)	2	0	0	1	13
Gaming Commission, New York State	417	411	(19)	38	0	0	19	430
Higher Education Services Corporation, New York State	183	176	(15)	15	0	0	0	176
Homeland Security and Emergency Services, Division of	504	605	(29)	38	0	0	9	614
Housing and Community Renewal, Division of	608	682	(86)	180	0	0	94	776
Hudson River Valley Greenway Communities Council	0	1	0	0	0	0	0	1
Human Rights, Division of	160	164	(19)	19	0	0	0	164
Indigent Legal Services, Office of	24	34	0	2	0	0	2	36
Inspector General, Office of the	87	92	(7)	7	0	0	0	92
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Judicial Conduct, Commission on	40	43	(3)	3	0	0	0	43
Justice Center for the Protection of People with Special Needs	422	430	(33)	26	0	0	(7)	423
Labor Management Committees	72	77	(6)	6	0	0	0	77
Lieutenant Governor, Office of the	4	7	(1)	1	0	0	0	7
Medicaid Inspector General, Office of the	405	426	(52)	52	0	0	0	426
Military and Naval Affairs, Division of	390	405	(45)	45	0	0	0	405
Prevention of Domestic Violence, Office for	24	27	(2)	2	0	0	0	27
Public Employment Relations Board	30	33	(3)	3	0	0	0	33
Public Ethics, Joint Commission on	52	52	(4)	4	0	0	0	52
Public Service Department	494	520	(26)	34	0	0	8	528
State, Department of	493	525	(52)	92	0	0	40	565
Statewide Financial System	135	142	(11)	11	0	0	0	142
Tax Appeals, Division of	23	27	(1)	1	0	0	0	27
Veterans' Affairs, Division of	83	98	(15)	15	0	0	0	98
Victim Services, Office of	79	102	(24)	24	0	0	0	102
Welfare Inspector General, Office of	7	7	(1)	1	0	0	0	7
Subtotal - Minor Agencies	7,591	8,167	(852)	1,051	0	0	199	8,366

Workforce Impact Summary

Special Revenue Funds - Other FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	36	44	(19)	19	0	0	0	44
Corrections and Community Supervision, Department of	5	4	0	0	0	0	0	4
Education Department, State	957	984	(98)	98	0	0	0	984
Environmental Conservation, Department of	1,100	1,122	(96)	96	0	0	0	1,122
Financial Services, Department of	1,356	1,381	(55)	69	0	0	14	1,395
General Services, Office of	58	13	(2)	2	0	0	0	13
Health, Department of	1,974	2,101	(287)	297	0	0	10	2,111
Labor, Department of	342	507	(33)	33	0	0	0	507
Motor Vehicles, Department of	498	498	(75)	75	0	0	0	498
Parks, Recreation and Historic Preservation, Office of	207	286	(19)	19	0	0	0	286
State Police, Division of	320	336	0	0	0	0	0	336
Taxation and Finance, Department of	53	699	(10)	10	0	0	0	699
Transportation, Department of	39	46	(2)	2	0	0	0	46
Workers' Compensation Board	1,082	1,109	(89)	89	0	0	0	1,109
Subtotal - Major Agencies	8,027	9,130	(785)	809	0	0	24	9,154
Minor Agencies	2,340	2,545	(302)	474	59	0	231	2,776
Subtotal - Subject to Direct Executive Control	10,367	11,675	(1,087)	1,283	59	0	255	11,930
University Systems								
City University of New York	375	374	0	0	0	0	0	374
State University of New York	45,877	46,087	0	0	0	0	0	46,087
Subtotal - University Systems	46,252	46,461	0	0	0	0	0	46,461
Independently Elected Agencies								
Audit and Control, Department of	166	188	(27)	27	0	0	0	188
Law, Department of	466	468	(43)	43	0	0	0	468
Subtotal - Independently Elected Agencies	632	656	(70)	70	0	0	0	656
Grand Total	57,251	58,792	(1,157)	1,353	59	0	255	59,047

Workforce Impact Summary

Special Revenue Funds - Other FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Minor Agencies								
Agriculture and Markets, Department of	44	43	(5)	5	0	0	0	43
Alcoholism and Substance Abuse Services, Office of	0	0	(109)	109	0	0	0	0
Budget, Division of the	14	16	(5)	5	0	0	0	16
Civil Service, Department of	6	6	(1)	1	0	0	0	6
Criminal Justice Services, Division of	5	5	0	0	0	0	0	5
Deferred Compensation Board	4	4	0	0	0	0	0	4
Economic Development, Department of	1	2	0	0	0	0	0	2
Financial Control Board, New York State	12	12	(1)	2	0	0	1	13
Gaming Commission, New York State	359	356	(15)	33	0	0	18	374
Higher Education Services Corporation, New York State	183	176	(15)	15	0	0	0	176
Homeland Security and Emergency Services, Division of	347	441	(26)	35	59	0	68	509
Housing and Community Renewal, Division of	503	507	(68)	162	0	0	94	601
Indigent Legal Services, Office of	24	34	0	2	0	0	2	36
Interest on Lawyer Account	9	9	0	0	0	0	0	9
Justice Center for the Protection of People with Special Needs	15	13	0	0	0	0	0	13
Military and Naval Affairs, Division of	2	2	0	0	0	0	0	2
Public Service Department	494	495	(26)	34	0	0	8	503
State, Department of	275	370	(16)	56	0	0	40	410
Victim Services, Office of	43	54	(15)	15	0	0	0	54
Subtotal - Minor Agencies	2,340	2,545	(302)	474	59	0	231	2,776

Workforce Impact Summary

Special Revenue Funds - Federal FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	366	391	(45)	45	0	0	0	391
Corrections and Community Supervision, Department of	36	646	(25)	25	0	0	0	646
Education Department, State	1,198	1,285	(129)	129	0	0	0	1,285
Environmental Conservation, Department of	260	260	(23)	23	0	0	0	260
Health, Department of	1,051	1,311	(167)	216	0	0	49	1,360
Labor, Department of	2,565	2,463	(250)	250	0	0	0	2,463
Mental Health, Office of	0	11	0	0	0	0	0	11
Motor Vehicles, Department of	17	17	0	36	0	0	36	53
Parks, Recreation and Historic Preservation, Office of	22	33	(2)	2	0	0	0	33
People with Developmental Disabilities, Office for	11	18	0	0	0	0	0	18
Temporary and Disability Assistance, Office of	904	965	(130)	130	0	0	0	965
Transportation, Department of	111	126	(6)	6	0	0	0	126
Subtotal - Major Agencies	6,541	7,526	(777)	862	0	0	85	7,611
Minor Agencies								
Aging, Office for the	78	83	(15)	9	0	0	(6)	77
Agriculture and Markets, Department of	6	35	0	0	0	0	0	35
Criminal Justice Services, Division of	27	32	0	0	0	0	0	32
Elections, State Board of	0	6	0	2	0	0	2	8
Homeland Security and Emergency Services, Division of	157	164	(3)	3	(59)	0	(59)	105
Housing and Community Renewal, Division of	72	101	(12)	12	0	0	0	101
Human Rights, Division of	53	40	(6)	6	0	0	0	40
Medicaid Inspector General, Office of the	202	212	(26)	26	0	0	0	212
Military and Naval Affairs, Division of	183	179	(20)	20	0	0	0	179
Public Service Department	0	25	0	0	0	0	0	25
State, Department of	13	17	0	0	0	0	0	17
Veterans' Affairs, Division of	7	8	0	0	0	0	0	8
Victim Services, Office of	36	48	(9)	9	0	0	0	48
Subtotal - Minor Agencies	834	950	(91)	87	(59)	0	(63)	887
Subtotal - Subject to Direct Executive Control	7,375	8,476	(868)	949	(59)	0	22	8,498
University Systems								
State University of New York	2	2	0	0	0	0	0	2
Subtotal - University Systems	2	2	0	0	0	0	0	2
Independently Elected Agencies								
Audit and Control, Department of	5	0	0	0	0	0	0	0
Law, Department of	229	249	(20)	20	0	0	0	249
Subtotal - Independently Elected Agencies	234	249	(20)	20	0	0	0	249
Grand Total	7,611	8,727	(888)	969	(59)	0	22	8,749

Workforce Impact Summary

Capital Projects Funds - Other FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	70	69	(15)	15	0	0	0	69
Corrections and Community Supervision, Department of	30	1,009	(38)	38	0	0	0	1,009
Environmental Conservation, Department of	527	616	(26)	26	0	0	0	616
General Services, Office of	292	420	0	0	0	0	0	420
Health, Department of	121	127	(24)	24	0	0	0	127
Information Technology Services, Office of	7	10	0	0	0	0	0	10
Mental Health, Office of	549	683	0	0	0	0	0	683
Motor Vehicles, Department of	1,786	1,662	(191)	155	0	0	(36)	1,626
Parks, Recreation and Historic Preservation, Office of	415	484	(12)	12	0	0	0	484
People with Developmental Disabilities, Office for	380	377	0	0	0	0	0	377
State Police, Division of	70	75	0	0	0	0	0	75
Temporary and Disability Assistance, Office of	8	8	0	0	0	0	0	8
Transportation, Department of	8,351	5,803	(261)	261	0	0	0	5,803
Subtotal - Major Agencies	12,606	11,343	(567)	531	0	0	(36)	11,307
Minor Agencies								
Agriculture and Markets, Department of	0	0	(1)	1	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	16	15	0	0	0	0	0	15
Military and Naval Affairs, Division of	41	54	(6)	6	0	0	0	54
Subtotal - Minor Agencies	57	69	(7)	7	0	0	0	69
Subtotal - Subject to Direct Executive Control	12,663	11,412	(574)	538	0	0	(36)	11,376
University Systems								
State University Construction Fund	142	152	0	0	0	0	0	152
Subtotal - University Systems	142	152	0	0	0	0	0	152
Independently Elected Agencies								
Law, Department of	2	7	0	0	0	0	0	7
Subtotal - Independently Elected Agencies	2	7	0	0	0	0	0	7
Grand Total	12,807	11,571	(574)	538	0	0	(36)	11,535

Workforce Impact Summary

Capital Projects Funds - Federal FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Environmental Conservation, Department of	6	4	0	0	0	0	0	4
General Services, Office of	0	0	(70)	70	0	0	0	0
Health, Department of	81	51	0	0	0	0	0	51
Subtotal - Major Agencies	87	55	(70)	70	0	0	0	55
Minor Agencies								
Housing and Community Renewal, Division of	0	20	0	0	0	0	0	20
Military and Naval Affairs, Division of	64	64	(7)	7	0	0	0	64
Subtotal - Minor Agencies	64	84	(7)	7	0	0	0	84
Subtotal - Subject to Direct Executive Control	151	139	(77)	77	0	0	0	139
Grand Total	151	139	(77)	77	0	0	0	139

Workforce Impact Summary

Enterprise Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Corrections and Community Supervision, Department of	6	10	0	0	0	0	0	10
General Services, Office of	11	62	(10)	10	0	0	0	62
Subtotal - Major Agencies	17	72	(10)	10	0	0	0	72
Minor Agencies								
Agriculture and Markets, Department of	37	45	(3)	3	0	0	0	45
Military and Naval Affairs, Division of	0	2	0	0	0	0	0	2
Subtotal - Minor Agencies	37	47	(3)	3	0	0	0	47
Subtotal - Subject to Direct Executive Control	54	119	(13)	13	0	0	0	119
Grand Total	54	119	(13)	13	0	0	0	119

Workforce Impact Summary

Internal Service Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Major Agencies								
Children and Family Services, Office of	86	99	(28)	28	0	0	0	99
Corrections and Community Supervision, Department of	271	280	(11)	11	0	0	0	280
Education Department, State	139	144	(14)	14	0	0	0	144
General Services, Office of	520	618	(104)	104	424	0	424	1,042
Labor, Department of	28	16	(2)	2	0	0	0	16
Mental Health, Office of	11	10	0	0	0	0	0	10
Subtotal - Major Agencies	1,055	1,167	(159)	159	424	0	424	1,591
Minor Agencies								
Civil Service, Department of	153	171	(33)	33	0	0	0	171
Employee Relations, Office of	7	11	0	0	0	0	0	11
Prevention of Domestic Violence, Office for	7	9	0	0	0	0	0	9
Subtotal - Minor Agencies	167	191	(33)	33	0	0	0	191
Subtotal - Subject to Direct Executive Control	1,222	1,358	(192)	192	424	0	424	1,782
Independently Elected Agencies								
Audit and Control, Department of	168	161	0	0	0	0	0	161
Law, Department of	48	50	0	0	0	0	0	50
Subtotal - Independently Elected Agencies	216	211	0	0	0	0	0	211
Grand Total	1,438	1,569	(192)	192	424	0	424	1,993

Workforce Impact Summary

Agency Trust Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
University Systems								
City University of New York	13,351	13,258	0	0	0	0	0	13,258
Subtotal - University Systems	13,351	13,258	0	0	0	0	0	13,258
Grand Total	13,351	13,258	0	0	0	0	0	13,258

Workforce Impact Summary

Pension Trust Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Independently Elected Agencies								
Audit and Control, Department of	967	978	(78)	78	0	0	0	978
Subtotal - Independently Elected Agencies	967	978	(78)	78	0	0	0	978
Grand Total	967	978	(78)	78	0	0	0	978

Workforce Impact Summary

Private Purpose Trust Funds FY 2018 Through FY 2020

	FY 2018 Actuals (03/31/18)	Starting Estimate (03/31/19)	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Ending Estimate (03/31/20)
Minor Agencies								
Agriculture and Markets, Department of	2	3	0	0	0	0	0	3
Subtotal - Minor Agencies	2	3	0	0	0	0	0	3
Subtotal - Subject to Direct Executive Control	2	3	0	0	0	0	0	3
Grand Total	2	3	0	0	0	0	0	3

Impact of SFY 2020 Executive Budget on Local Governments, LFY Ending in 2019
Includes SFY 2019 Major Local Aid Programs for Local Governments
UPDATED FOR EXECUTIVE AMENDMENTS
 (\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
School Aid - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
School Aid - Total SFY 2019 Major Local Aid Programs	26,549.7	10,921.5	15,628.2	0.0	0.0	0.0
Other Education						
Other Education - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
Other Education - Total SFY 2019 Major Local Aid Programs	184.1	TBD	TBD	0.0	0.0	0.0
Special Education						
Special Education - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
Special Education - Total SFY 2019 Major Local Aid Programs	1,294.6	664.2	228.1	401.3	0.0	0.0
STAR - Total SFY 2019 Major Local Aid Programs	2,424.3	181.3	2,243.0	0.0	0.0	0.0
Medicaid						
Medicaid - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
Medicaid - Total SFY 2019 Major Local Aid Programs	3,336.5	1,469.8	0.0	1,866.7	0.0	0.0
Human Services						
- Shift NYC HIV/AIDS Rent Cap Funding to Safety Net Assistance	(0.8)	(0.8)	0.0	0.0	0.0	0.0
- Align NYC Share of FA with EAF	(18.1)	(18.1)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	(18.9)	(18.9)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2019 Major Local Aid Programs	4,425.4	2,834.2	0.0	1,591.2	0.0	0.0
Health						
- Address Service Capacity Needs in Aging	9.3	1.0	0.0	8.3	0.0	0.0
- Streamline EI Screenings	0.4	0.1	0.0	0.3	0.0	0.0
- Increase Support to Lower Blood Lead Levels	0.4	0.0	0.0	0.4	0.0	0.0
- Increase EI Provider Rates	(0.3)	(0.1)	0.0	(0.2)	0.0	0.0
Health - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	9.8	1.0	0.0	8.8	0.0	0.0
Health - Total SFY 2019 Major Local Aid Programs	435.1	252.8	0.0	182.3	0.0	0.0
Mental Hygiene						
- Permit Counties to Establish Jail Based Restoration Units	0.2	0.0	0.0	0.2	0.0	0.0
Mental Hygiene - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.2	0.0	0.0	0.2	0.0	0.0
Mental Hygiene - Total SFY 2019 Major Local Aid Programs	72.4	35.5	3.0	33.9	0.0	0.0
Transportation						
- Increase County and City Transit MIMTOA	10.8	2.3	0.0	8.5	0.0	0.0
- Increase Transit Operating Aid	1.4	0.3	0.0	1.1	0.0	0.0
- Maintain Local Shuttle Bus Service to/from Certain LIRR Stations	0.1	0.0	0.0	0.0	0.0	0.1
Transportation - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	12.3	2.6	0.0	9.6	0.0	0.1
Transportation - Total SFY 2019 Major Local Aid Programs	886.1	240.1	0.0	359.9	56.1	230.0
Municipal Aid						
- Provide Aid to City of Jamestown	1.0	0.0	0.0	0.0	1.0	0.0
- Adjust AIM to Towns and Villages Based on Reliance*	(59.2)	0.0	0.0	0.0	0.0	(59.2)
Municipal Aid - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	(58.2)	0.0	0.0	0.0	1.0	(59.2)
Municipal Aid - Total SFY 2019 Major Local Aid Programs	744.4	0.0	0.0	2.7	669.4	72.3
Public Protection						
Public Protection - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
Public Protection - Total SFY 2019 Major Local Aid Programs	249.4	71.1	0.5	165.3	8.0	4.5
Environment						
Environment - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
Environment - Total SFY 2019 Major Local Aid Programs	360.0	TBD	0.0	TBD	TBD	TBD
Economic Development						
Economic Development - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.0	0.0	0.0	0.0	0.0	0.0
Economic Development - Total SFY 2019 Major Local Aid Programs	0.0	0.0	0.0	0.0	0.0	0.0
All Other Impacts						
- Fully Fund Veterans Service Agency Allocations	0.1	0.0	0.0	0.1	0.0	0.0
All Other - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	0.1	0.0	0.0	0.1	0.0	0.0
All Other - Total SFY 2019 Major Local Aid Programs	446.0	220.0	146.0	80.0	0.0	0.0
Revenue Actions						
- Collect Online Marketplace Sales Tax	79.2	0.0	1.1	29.1	3.3	45.7
- Enforce Out-of-State Online Retailer Sales Tax (Wayfair Decision)	31.0	0.0	0.4	11.4	1.3	17.9
- Discontinue the ESCO Sales Tax Incentive	16.7	0.0	0.3	14.3	1.6	0.5
- Authorize 2 Percent Cannabis Excise Tax	TBD	TBD	TBD	TBD	TBD	TBD
Revenues - Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	126.9	0.0	1.8	54.8	6.2	64.1
Total SFY 2020 Executive Budget Impact on LFY 2019	72.2	(15.3)	1.8	73.5	7.2	5.0
Grand Total SFY 2020 Executive Budget as Amended Impact on LFY 2019	72.2	(15.3)	1.8	73.5	7.2	5.0
Grand Total SFY 2019 Major Local Aid Programs/Savings	41,408.0	16,890.5	18,249.8	4,683.3	733.5	306.8

*Numbers reflect calendar year values.

Impact of SFY 2020 Executive Budget on Local Governments, LFY Ending in 2020 Includes SFY 2020 Major Local Aid Programs for Local Governments UPDATED FOR EXECUTIVE AMENDMENTS						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
	(\$ in Millions)					
School Aid						
- Increase School Aid	749.3	282.6	466.7	0.0	0.0	0.0
School Aid - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	749.3	282.6	466.7	0.0	0.0	0.0
School Aid - Total SFY 2020 Major Local Aid Programs	27,299.0	11,204.1	16,094.9	0.0	0.0	0.0
Other Education						
- Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
- Total SFY 2020 Major Local Aid Programs	390.7	TBD	TBD	0.0	0.0	0.0
Special Education						
- Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
- Total SFY 2020 Major Local Aid Programs	1,341.5	686.6	246.5	408.4	0.0	0.0
STAR - Total SFY 2020 Major Local Aid Programs	2,186.0	166.4	2,019.6	0.0	0.0	0.0
Medicaid						
- Discontinue Rural Transit Assistance	(4.0)	0.0	0.0	(4.0)	0.0	0.0
Medicaid - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	(4.0)	0.0	0.0	(4.0)	0.0	0.0
Medicaid - Total SFY 2020 Major Local Aid Programs	3,676.6	1,682.1	0.0	1,994.5	0.0	0.0
Human Services						
- Shift NYC HIV/AIDS Rent Cap Funding to Safety Net Assistance	(3.0)	(3.0)	0.0	0.0	0.0	0.0
- Align NYC Share of FA with EAF	(72.3)	(72.3)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	(75.3)	(75.3)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2020 Major Local Aid Programs	4,288.2	2,719.6	0.0	1,568.6	0.0	0.0
Health						
- Address Service Capacity Needs in Aging	15.0	3.9	0.0	11.1	0.0	0.0
- Increase Support to Lower Blood Lead Levels	2.2	0.6	0.0	1.6	0.0	0.0
- Streamline EI Screenings	0.5	0.5	0.0	0.4	0.0	0.0
- Increase EI Provider Rates	(0.8)	(0.5)	0.0	(0.3)	0.0	0.0
- Realign GPHW Reimbursement	(26.9)	(26.9)	0.0	0.0	0.0	0.0
Health - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	(9.6)	(22.4)	0.0	12.8	0.0	0.0
Health - Total SFY 2020 Major Local Aid Programs	464.1	268.9	0.0	195.2	0.0	0.0
Mental Hygiene						
- Permit Counties to Establish Jail Based Restoration Units	0.3	0.0	0.0	0.3	0.0	0.0
Mental Hygiene - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.3	0.0	0.0	0.3	0.0	0.0
Mental Hygiene - Total SFY 2020 Major Local Aid Programs	74.5	37.0	3.0	34.5	0.0	0.0
Transportation						
- Increase County and City Transit MMTOA	20.5	9.1	0.0	11.4	0.0	0.0
- Increase Transit Operating Aid	2.7	1.2	0.0	1.5	0.0	0.0
- Maintain Local Shuttle Bus Service to/from Certain LIRR Stations	0.5	0.0	0.0	0.5	0.0	0.0
Transportation - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	23.7	10.3	0.0	12.9	0.0	0.5
Transportation - Total SFY 2020 Major Local Aid Programs	441.6	240.1	0.0	351.8	50.1	199.6
Municipal Aid						
- Adjust AIM to Towns and Villages Based on Reliance*	(69.2)	0.0	0.0	0.0	0.0	(69.2)
Municipal Aid - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	(69.2)	0.0	0.0	0.0	0.0	(69.2)
Municipal Aid - Total SFY 2020 Major Local Aid Programs	684.5	0.0	0.0	2.7	669.4	12.4
Public Protection						
- Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
- Total SFY 2020 Major Local Aid Programs	328.4	76.7	0.8	236.4	10.0	5.5
Environment						
- Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
- Total SFY 2020 Major Local Aid Programs	360.0	TBD	0.0	TBD	TBD	TBD
Economic Development						
- Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
- Total SFY 2020 Major Local Aid Programs	0.0	0.0	0.0	0.0	0.0	0.0
All Other Impacts						
- Fully Fund Veterans Service Agency Allocations	0.2	0.1	0.0	0.1	0.0	0.0
All Other - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	0.2	0.1	0.0	0.1	0.0	0.0
All Other - Total SFY 2020 Major Local Aid Programs	452.0	220.0	150.0	82.0	0.0	0.0
Revenue Actions						
- Collect Online Marketplace Sales Tax*	280.0	121.8	3.4	91.9	10.4	52.5
- Enforce Out-of-State Online Retailer Sales Tax (Wayfair Decision)*	110.0	47.8	1.3	36.1	4.1	20.6
- Discontinue the ESCO Sales Tax Incentive	46.3	0.0	1.1	38.1	3.8	3.3
- Extend Limitation on Charitable Contribution	8.0	8.0	0.0	0.0	0.0	0.0
- Permanently Extend Tax Shelter Reporting	4.0	4.0	0.0	0.0	0.0	0.0
Revenues - Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	448.3	181.6	5.8	166.1	18.3	76.4
Total SFY 2020 Executive Budget Impact on LFY 2020	1,073.7	376.9	472.5	188.2	18.3	17.7
- Fiscal Stabilization Fund for Schools	157.0	TBD	TBD	0.0	0.0	0.0
- New Competitive School Grants	50.0	TBD	TBD	0.0	0.0	0.0
Grand Total SFY 2020 Executive Budget as Amended Impact on LFY 2020	1,280.7	376.9	472.5	188.2	18.3	17.7
Grand Total SFY 2020 Major Local Aid Programs/Savings	42,388.1	17,301.5	18,514.8	4,874.1	729.5	217.5

*Numbers reflect calendar year values.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	65,366	70,719	62,613	59,185	58,373	58,373
Local Assistance	32,318	38,271	29,197	25,197	25,347	25,347
State Operations	33,048	32,448	33,416	33,988	33,026	33,026
Personal Service	28,155	26,463	26,913	28,434	27,648	27,648
Non-Personal Service	4,893	5,985	6,503	5,554	5,378	5,378
<i>Alcoholic Beverage Control, Division of</i>	11,819	12,483	11,244	11,560	11,244	11,244
State Operations	11,819	12,483	11,244	11,560	11,244	11,244
Personal Service	8,131	8,147	8,585	8,901	8,585	8,585
Non-Personal Service	3,688	4,336	2,659	2,659	2,659	2,659
<i>Economic Development, Department of</i>	70,791	63,334	62,878	62,878	62,728	62,728
Local Assistance	52,871	47,993	47,537	47,537	47,387	47,387
State Operations	17,920	15,341	15,341	15,341	15,341	15,341
Personal Service	13,240	12,826	12,826	12,826	12,826	12,826
Non-Personal Service	4,680	2,515	2,515	2,515	2,515	2,515
<i>Empire State Development Corporation</i>	124,880	96,477	71,054	76,054	76,054	76,054
Local Assistance	124,880	96,477	71,054	76,054	76,054	76,054
<i>Olympic Regional Development Authority</i>	2,736	11,143	8,526	8,526	8,526	8,526
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,783	8,526	8,526	8,526	8,526
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service	188	3,188	3,188	3,188	3,188	3,188
Functional Total	275,592	254,156	216,315	218,203	216,925	216,925
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,468	4,469	4,556	4,714	4,556	4,556
State Operations	4,468	4,469	4,556	4,714	4,556	4,556
Personal Service	4,179	4,108	4,243	4,401	4,243	4,243
Non-Personal Service	289	361	313	313	313	313
<i>Environmental Conservation, Department of</i>	96,795	106,448	109,183	119,694	116,728	116,728
Local Assistance	2,491	4,095	1,199	1,199	1,199	1,199
State Operations	94,304	102,353	107,984	118,495	115,529	115,529
Personal Service	82,506	81,929	87,366	93,077	90,111	90,111
Non-Personal Service	11,798	20,424	20,618	25,418	25,418	25,418
<i>Parks, Recreation and Historic Preservation, Office of</i>	105,254	105,455	104,640	109,588	105,844	105,844
Local Assistance	2,931	406	100	100	100	100
State Operations	102,323	105,049	104,540	109,488	105,744	105,744
Personal Service	96,935	97,116	97,861	102,809	99,065	99,065
Non-Personal Service	5,388	7,933	6,679	6,679	6,679	6,679
Functional Total	206,517	216,372	218,379	233,996	227,128	227,128
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	1,600	12,088	12,347	12,586	12,830	12,830
Local Assistance	1,600	375	0	0	0	0
State Operations	0	11,713	12,347	12,586	12,830	12,830
Personal Service	0	8,524	8,694	8,868	9,046	9,046
Non-Personal Service	0	3,189	3,653	3,718	3,784	3,784
<i>Transportation, Department of</i>	116,103	574,281	431,756	436,908	441,800	441,800
Local Assistance	114,708	303,851	109,851	109,851	109,851	109,851
State Operations	1,395	270,430	321,905	327,057	331,949	331,949
Personal Service	0	158,494	159,060	159,055	159,048	159,048
Non-Personal Service	1,395	111,936	162,845	168,002	172,901	172,901
Functional Total	117,703	586,369	444,103	449,494	454,630	454,630
HEALTH						
<i>Aging, Office for the</i>	125,380	130,509	143,492	148,591	153,730	159,041
Local Assistance	124,180	129,278	141,280	146,336	151,518	156,829
State Operations	1,200	1,231	2,212	2,255	2,212	2,212
Personal Service	1,103	1,125	1,856	1,899	1,856	1,856
Non-Personal Service	97	106	356	356	356	356

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Health, Department of	14,611,316	15,486,966	16,296,010	17,234,111	18,358,474	19,134,576
Medical Assistance	13,111,453	13,686,191	14,748,956	15,726,359	16,865,615	17,655,845
Local Assistance	13,111,453	13,686,191	14,748,956	15,726,359	16,865,615	17,655,845
Essential Plan	87,638	86,723	84,224	78,757	76,397	72,453
State Operations	87,638	86,723	84,224	78,757	76,397	72,453
Personal Service	3,148	4,206	4,580	4,418	4,384	4,487
Non-Personal Service	84,490	82,517	79,644	74,339	72,013	67,966
Medicaid Administration	583,789	917,523	704,948	685,547	672,217	662,033
Local Assistance	286,336	585,173	433,149	416,867	401,295	392,511
State Operations	297,453	332,350	271,799	268,680	270,922	269,522
Personal Service	37,196	51,113	49,378	52,421	50,930	50,846
Non-Personal Service	260,257	281,237	222,421	216,259	219,992	218,676
Public Health	828,436	796,529	757,882	743,448	744,245	744,245
Local Assistance	707,236	662,797	615,713	598,174	602,076	602,076
State Operations	121,200	133,732	142,169	145,274	142,169	142,169
Personal Service	81,988	93,264	101,603	104,708	101,603	101,603
Non-Personal Service	39,212	40,468	40,566	40,566	40,566	40,566
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
State Operations	18,282	18,111	15,318	15,925	15,318	15,318
Personal Service	15,864	14,936	14,053	14,660	14,053	14,053
Non-Personal Service	2,418	3,175	1,265	1,265	1,265	1,265
Functional Total	14,754,978	15,635,586	16,454,820	17,398,627	18,527,522	19,308,935
SOCIAL WELFARE						
Children and Family Services, Office of	1,832,432	1,958,076	1,729,083	1,966,068	2,022,381	2,022,964
OCFS	1,755,070	1,873,334	1,638,276	1,872,081	1,924,230	1,924,813
Local Assistance	1,527,520	1,602,747	1,392,921	1,508,346	1,558,113	1,558,696
State Operations	227,550	270,587	245,355	363,735	366,117	366,117
Personal Service	168,186	188,936	168,632	263,366	263,509	263,509
Non-Personal Service	59,364	81,651	76,723	100,369	102,608	102,608
OCFS - Other	77,362	84,742	90,807	93,987	98,151	98,151
Local Assistance	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	9,912	14,256	28,610	44,995	45,506	45,506
Local Assistance	4,119	9,706	24,060	40,090	40,765	40,765
State Operations	5,793	4,550	4,550	4,905	4,741	4,741
Personal Service	3,866	4,199	4,199	4,492	4,328	4,328
Non-Personal Service	1,927	351	351	413	413	413
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
State Operations	9,465	9,921	9,921	10,590	10,230	10,230
Personal Service	8,662	9,461	9,461	10,121	9,752	9,752
Non-Personal Service	803	460	460	469	478	478
Labor, Department of	12,863	14,800	5,288	5,349	5,351	5,351
Local Assistance	12,666	14,512	5,000	5,000	5,000	5,000
State Operations	197	288	288	349	351	351
Personal Service	84	88	88	94	91	91
Non-Personal Service	113	200	200	255	260	260
National and Community Service	770	690	690	699	699	699
Local Assistance	477	350	350	350	350	350
State Operations	293	340	340	349	349	349
Personal Service	292	331	331	340	340	340
Non-Personal Service	1	9	9	9	9	9
Temporary and Disability Assistance, Office of	1,351,164	1,278,575	1,472,873	1,485,666	1,492,186	1,494,986
Welfare Assistance	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
Local Assistance	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
All Other	213,840	225,754	246,007	253,300	259,820	262,620
Local Assistance	92,194	102,093	116,196	118,996	122,796	125,596
State Operations	121,646	123,661	129,811	134,304	137,024	137,024
Personal Service	64,895	68,356	73,340	76,709	78,259	78,259
Non-Personal Service	56,751	55,305	56,471	57,595	58,765	58,765
Functional Total	3,216,606	3,276,318	3,246,465	3,513,367	3,576,353	3,579,736

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
MENTAL HYGIENE						
<i>Alcoholism and Substance Abuse Services, Office of</i>	<u>36,221</u>	<u>427,861</u>	<u>440,182</u>	<u>459,027</u>	<u>474,089</u>	<u>493,390</u>
OASAS	<u>14,896</u>	<u>362,852</u>	<u>374,173</u>	<u>391,576</u>	<u>405,911</u>	<u>424,818</u>
Local Assistance	14,896	329,395	339,891	356,235	370,940	389,543
State Operations	0	33,457	34,282	35,341	34,971	35,275
Personal Service	0	26,660	27,023	27,809	27,160	27,438
Non-Personal Service	0	6,797	7,259	7,532	7,811	7,837
OASAS - Other	<u>21,325</u>	<u>65,009</u>	<u>66,009</u>	<u>67,451</u>	<u>68,178</u>	<u>68,572</u>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	0	43,684	44,684	46,126	46,853	47,247
Personal Service	0	33,257	33,581	34,597	34,892	35,248
Non-Personal Service	0	10,427	11,103	11,529	11,961	11,999
<i>Justice Center</i>	<u>37,797</u>	<u>40,192</u>	<u>40,768</u>	<u>42,652</u>	<u>41,980</u>	<u>42,571</u>
Local Assistance	170	170	170	170	170	170
State Operations	37,627	40,022	40,598	42,482	41,810	42,401
Personal Service	30,029	31,377	32,210	33,833	32,890	33,203
Non-Personal Service	7,598	8,645	8,388	8,649	8,920	9,198
<i>Mental Health, Office of</i>	<u>273,626</u>	<u>2,633,499</u>	<u>2,500,204</u>	<u>2,631,899</u>	<u>2,735,579</u>	<u>2,831,740</u>
OMH	<u>8,237</u>	<u>1,314,982</u>	<u>1,421,836</u>	<u>1,510,949</u>	<u>1,576,220</u>	<u>1,643,914</u>
Local Assistance	7,540	990,563	1,068,197	1,153,977	1,209,893	1,268,304
State Operations	697	324,419	353,639	356,972	366,327	375,610
Personal Service	0	277,404	314,751	317,884	325,901	333,689
Non-Personal Service	697	47,015	38,888	39,088	40,426	41,921
OMH - Other	<u>265,389</u>	<u>1,318,517</u>	<u>1,078,368</u>	<u>1,120,950</u>	<u>1,159,359</u>	<u>1,187,826</u>
Local Assistance	265,389	299,325	76,826	87,745	99,214	107,457
State Operations	0	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
Personal Service	0	816,887	784,927	805,641	810,776	819,154
Non-Personal Service	0	202,305	216,615	227,564	249,369	261,215
<i>People with Developmental Disabilities, Office for</i>	<u>438,201</u>	<u>1,856,036</u>	<u>1,787,138</u>	<u>2,223,254</u>	<u>2,147,839</u>	<u>2,338,140</u>
OPWDD	<u>143</u>	<u>388,271</u>	<u>361,951</u>	<u>357,380</u>	<u>367,557</u>	<u>378,419</u>
Local Assistance	143	388,271	361,951	357,380	367,557	378,419
OPWDD - Other	<u>438,058</u>	<u>1,467,765</u>	<u>1,425,187</u>	<u>1,865,874</u>	<u>1,780,282</u>	<u>1,959,721</u>
Local Assistance	438,058	141,501	104,418	516,759	415,983	577,610
State Operations	0	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Personal Service	0	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Non-Personal Service	0	187,692	181,620	186,824	192,233	197,780
Functional Total	<u>785,845</u>	<u>4,957,588</u>	<u>4,768,292</u>	<u>5,356,832</u>	<u>5,399,487</u>	<u>5,705,841</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
<i>Correction, Commission of</i>	<u>2,594</u>	<u>2,651</u>	<u>2,651</u>	<u>2,773</u>	<u>2,735</u>	<u>2,735</u>
State Operations	2,594	2,651	2,651	2,773	2,735	2,735
Personal Service	2,316	2,414	2,414	2,531	2,488	2,488
Non-Personal Service	278	237	237	242	247	247
<i>Correctional Services, Department of</i>	<u>2,632,319</u>	<u>2,754,259</u>	<u>2,709,478</u>	<u>2,753,116</u>	<u>2,794,200</u>	<u>2,872,070</u>
Local Assistance	5,686	4,836	4,836	4,836	4,836	4,836
State Operations	2,626,633	2,749,423	2,704,642	2,748,280	2,789,364	2,867,234
Personal Service	2,098,248	2,191,709	2,145,485	2,193,429	2,239,712	2,314,257
Non-Personal Service	528,385	557,714	559,157	554,851	549,652	552,977
<i>Corrections and Community Supervision Medicaid, Department of</i>	<u>0</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>0</u>	<u>0</u>
Local Assistance	0	2,000	2,000	2,000	0	0
<i>Criminal Justice Services, Division of</i>	<u>170,421</u>	<u>195,479</u>	<u>174,638</u>	<u>175,602</u>	<u>175,332</u>	<u>176,020</u>
Local Assistance	136,570	161,786	141,000	141,000	141,000	141,000
State Operations	33,851	33,693	33,638	34,602	34,332	35,020
Personal Service	26,713	27,163	27,110	28,074	27,633	28,147
Non-Personal Service	7,138	6,530	6,528	6,528	6,699	6,873
<i>Homeland Security and Emergency Services, Division of</i>	<u>4,956</u>	<u>6,572</u>	<u>4,972</u>	<u>4,972</u>	<u>5,290</u>	<u>5,396</u>
Local Assistance	3,886	5,572	3,972	3,972	4,290	4,376
State Operations	1,070	1,000	1,000	1,000	1,000	1,020
Personal Service	1,070	1,000	1,000	1,000	1,000	1,020

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
State Operations	5,552	5,696	5,708	5,936	6,114	6,220
Personal Service	4,238	4,312	4,347	4,548	4,483	4,573
Non-Personal Service	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
State Operations	10	30	30	30	30	30
Non-Personal Service	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
State Operations	14	38	38	38	38	38
Non-Personal Service	14	38	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,438	21,659	21,957	22,593	23,046
Local Assistance	819	820	820	820	886	904
State Operations	22,919	20,618	20,839	21,137	21,707	22,142
Personal Service	15,015	14,161	14,241	14,539	14,615	14,908
Non-Personal Service	7,904	6,457	6,598	6,598	7,092	7,234
State Police, Division of	664,644	649,346	646,623	676,833	691,342	705,165
State Operations	664,644	649,346	646,623	676,833	691,342	705,165
Personal Service	630,445	619,059	618,103	643,273	655,772	668,883
Non-Personal Service	34,199	30,287	28,520	33,560	35,570	36,282
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
State Operations	30,137	30,382	30,506	30,949	31,117	31,732
Personal Service	11,094	11,758	11,882	12,325	12,121	12,356
Non-Personal Service	19,043	18,624	18,624	18,624	18,996	19,376
Victim Services, Office of	(514)	0	0	0	0	0
Local Assistance	(514)	0	0	0	0	0
Functional Total	3,533,871	3,667,891	3,598,303	3,674,206	3,728,791	3,822,452
HIGHER EDUCATION						
City University of New York	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
Local Assistance	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
Higher Education Services Corporation, New York State	879,322	1,026,449	958,624	901,387	910,954	913,488
Local Assistance	879,322	1,026,449	958,624	901,387	910,954	913,488
State University of New York	489,832	482,989	470,208	471,374	471,374	470,374
Local Assistance	488,783	482,289	469,466	470,632	470,632	469,632
State Operations	1,049	700	742	742	742	742
Non-Personal Service	1,049	700	742	742	742	742
Functional Total	2,834,307	3,003,175	2,945,816	2,935,070	2,979,584	3,015,000
EDUCATION						
Arts, Council on the	39,210	45,315	45,155	45,251	45,155	45,155
Local Assistance	34,950	40,995	40,835	40,835	40,835	40,835
State Operations	4,260	4,320	4,320	4,416	4,320	4,320
Personal Service	2,626	2,498	2,498	2,594	2,498	2,498
Non-Personal Service	1,634	1,822	1,822	1,822	1,822	1,822
Education, Department of	24,209,060	25,438,014	25,756,669	27,213,946	28,516,675	29,887,614
School Aid	21,953,910	23,028,193	23,323,534	24,737,832	25,948,654	27,217,852
Local Assistance	21,953,910	23,028,193	23,323,534	24,737,832	25,948,654	27,217,852
School Aid – Other	61,169	99,342	78,195	78,195	78,195	78,195
Local Assistance	61,169	99,342	78,195	78,195	78,195	78,195
Special Education Categorical Programs	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
Local Assistance	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
All Other	930,276	1,015,879	1,005,303	980,698	1,006,760	1,041,116
Local Assistance	873,880	955,093	947,065	921,488	948,522	982,878
State Operations	56,396	60,786	58,238	59,210	58,238	58,238
Personal Service	31,037	29,812	29,334	30,306	29,334	29,334
Non-Personal Service	25,359	30,974	28,904	28,904	28,904	28,904
Functional Total	24,248,270	25,483,329	25,801,824	27,259,197	28,561,830	29,932,769

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
GENERAL GOVERNMENT						
Budget, Division of the	23,931	23,722	22,895	23,749	22,895	22,895
State Operations	23,931	23,722	22,895	23,749	22,895	22,895
Personal Service	20,484	22,216	22,216	23,070	22,216	22,216
Non-Personal Service	3,447	1,506	679	679	679	679
Civil Service, Department of	14,117	12,929	13,746	14,216	13,842	13,850
State Operations	14,117	12,929	13,746	14,216	13,842	13,850
Personal Service	13,731	12,511	13,296	13,766	13,387	13,387
Non-Personal Service	386	418	450	450	455	463
Deferred Compensation Board	33	57	57	58	57	57
State Operations	33	57	57	58	57	57
Personal Service	33	32	32	33	32	32
Non-Personal Service	0	25	25	25	25	25
Elections, State Board of	7,769	9,837	10,147	10,583	10,054	9,428
Local Assistance	50	0	0	0	0	0
State Operations	7,719	9,837	10,147	10,583	10,054	9,428
Personal Service	6,077	6,143	6,288	6,571	6,478	6,316
Non-Personal Service	1,642	3,694	3,859	4,012	3,576	3,112
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
State Operations	3,569	3,863	11,444	6,542	6,444	6,445
Personal Service	2,515	3,750	11,248	6,346	6,248	6,248
Non-Personal Service	1,054	113	196	196	196	197
Gaming Commission, New York State	4,373	6,362	6,362	6,527	6,362	6,362
State Operations	4,373	6,362	6,362	6,527	6,362	6,362
Personal Service	2,872	4,282	4,282	4,447	4,282	4,282
Non-Personal Service	1,501	2,080	2,080	2,080	2,080	2,080
General Services, Office of	138,445	132,916	98,741	97,438	96,034	97,586
State Operations	138,445	132,916	98,741	97,438	96,034	97,586
Personal Service	63,907	47,530	25,515	28,901	26,510	26,510
Non-Personal Service	74,538	85,386	73,226	68,537	69,524	71,076
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
State Operations	7,166	7,427	7,487	7,826	8,061	8,210
Personal Service	6,223	6,600	6,648	6,970	6,857	6,994
Non-Personal Service	943	827	839	856	1,204	1,216
Labor Management Committees	24,773	31,000	31,000	31,211	31,000	31,000
State Operations	24,773	31,000	31,000	31,211	31,000	31,000
Personal Service	7,747	5,446	5,487	5,698	5,487	5,487
Non-Personal Service	17,026	25,554	25,513	25,513	25,513	25,513
Prevention of Domestic Violence, Office for	2,349	2,944	3,044	3,116	3,054	3,054
Local Assistance	791	1,285	1,385	1,385	1,412	1,412
State Operations	1,558	1,659	1,659	1,731	1,642	1,642
Personal Service	1,412	1,469	1,491	1,524	1,431	1,431
Non-Personal Service	146	190	168	207	211	211
Public Employment Relations Board	3,232	3,560	3,589	3,719	3,589	3,589
State Operations	3,232	3,560	3,589	3,719	3,589	3,589
Personal Service	3,059	3,313	3,338	3,468	3,338	3,338
Non-Personal Service	173	247	251	251	251	251
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State Operations	5,115	5,576	5,630	5,835	6,010	6,119
Personal Service	4,386	4,646	4,681	4,867	4,827	4,924
Non-Personal Service	729	930	949	968	1,183	1,195
State, Department of	19,872	23,380	20,127	13,489	13,127	13,127
Local Assistance	9,122	14,022	12,537	5,537	5,537	5,537
State Operations	10,750	9,358	7,590	7,952	7,590	7,590
Personal Service	10,364	8,970	7,354	7,716	7,354	7,354
Non-Personal Service	386	388	236	236	236	236
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
State Operations	2,688	3,040	3,040	3,150	3,040	3,040
Personal Service	2,541	2,870	2,870	2,980	2,870	2,870
Non-Personal Service	147	170	170	170	170	170
Taxation and Finance, Department of	307,009	261,622	270,349	279,042	270,349	270,349
Local Assistance	888	926	926	926	926	926

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	306,121	260,696	269,423	278,116	269,423	269,423
Personal Service	266,320	219,350	227,987	235,376	227,987	227,987
Non-Personal Service	39,801	41,346	41,436	42,740	41,436	41,436
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
State Operations	535,977	544,532	540,200	550,613	557,169	568,327
Personal Service	289,994	298,499	291,520	307,987	303,329	309,410
Non-Personal Service	245,983	246,033	248,680	242,626	253,840	258,917
Veterans' Affairs, Division of	13,791	16,028	14,076	14,322	14,257	14,257
Local Assistance	7,906	9,792	7,840	7,840	7,840	7,840
State Operations	5,885	6,236	6,236	6,482	6,417	6,417
Personal Service	5,512	5,938	5,938	6,178	6,107	6,107
Non-Personal Service	373	298	298	304	310	310
Welfare Inspector General, Office of	671	686	701	731	753	768
State Operations	671	686	701	731	753	768
Personal Service	619	621	626	654	646	659
Non-Personal Service	52	65	75	77	107	109
Functional Total	1,114,880	1,089,481	1,062,635	1,072,167	1,066,097	1,078,463
ELECTED OFFICIALS						
Audit and Control, Department of	164,445	166,737	169,441	171,863	169,441	169,441
Local Assistance	32,025	32,024	32,024	32,024	32,024	32,024
State Operations	132,420	134,713	137,417	139,839	137,417	137,417
Personal Service	107,894	109,470	112,181	114,603	112,181	112,181
Non-Personal Service	24,526	25,243	25,236	25,236	25,236	25,236
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
State Operations	13,560	13,578	13,578	14,032	13,578	13,578
Personal Service	9,979	11,113	11,113	11,567	11,113	11,113
Non-Personal Service	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	2,691,658	2,768,724	2,849,400	2,906,400	2,849,400	2,849,400
Local Assistance	2,974	3,500	19,000	19,000	19,000	19,000
State Operations	1,966,636	2,011,700	2,047,600	2,104,600	2,047,600	2,047,600
Personal Service	1,565,719	1,599,500	1,641,300	1,698,300	1,641,300	1,641,300
Non-Personal Service	400,917	412,200	406,300	406,300	406,300	406,300
General State Charges	722,048	753,524	782,800	782,800	782,800	782,800
Law, Department of	105,993	107,538	109,689	112,935	109,689	109,689
State Operations	105,993	107,538	109,689	112,935	109,689	109,689
Personal Service	95,500	95,988	97,907	101,153	97,907	97,907
Non-Personal Service	10,493	11,550	11,782	11,782	11,782	11,782
Legislature	222,212	228,908	233,445	238,156	242,919	242,919
State Operations	222,212	228,908	233,445	238,156	242,919	242,919
Personal Service	174,350	175,541	179,691	182,633	186,286	186,286
Non-Personal Service	47,862	53,367	53,754	55,523	56,633	56,633
Lieutenant Governor, Office of the	492	614	614	634	614	614
State Operations	492	614	614	634	614	614
Personal Service	382	523	523	543	523	523
Non-Personal Service	110	91	91	91	91	91
Functional Total	3,198,360	3,286,099	3,376,167	3,444,020	3,385,641	3,385,641
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	723,783	672,208	704,192	704,192	704,192
Local Assistance	721,789	723,533	672,208	704,192	704,192	704,192
State Operations	131	250	0	0	0	0
Non-Personal Service	131	250	0	0	0	0
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Local Assistance	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Local Assistance	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Local Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Small Government Assistance	217	218	218	218	218	218
Local Assistance	217	218	218	218	218	218
Functional Total	<u>760,640</u>	<u>764,887</u>	<u>743,561</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Miscellaneous	(18,274)	(134,779)	681,166	2,179,769	2,121,892	2,910,892
Local Assistance	(69,431)	(232,467)	287,478	1,267,081	1,209,204	1,848,204
State Operations	44,005	93,268	389,268	908,268	908,268	1,058,268
Personal Service	34	56	146,056	515,056	515,056	590,056
Non-Personal Service	43,971	93,212	243,212	393,212	393,212	468,212
General State Charges	7,152	4,420	4,420	4,420	4,420	4,420
Functional Total	<u>4,824,542</u>	<u>6,490,636</u>	<u>8,056,330</u>	<u>9,423,587</u>	<u>9,959,829</u>	<u>11,362,784</u>
TOTAL GENERAL FUND SPENDING	<u>59,872,111</u>	<u>68,711,887</u>	<u>70,933,010</u>	<u>75,779,311</u>	<u>78,879,362</u>	<u>82,885,849</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	65,366	70,719	62,613	59,185	58,373	58,373
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development, Department of	70,791	63,334	62,878	62,878	62,728	62,728
Empire State Development Corporation	124,880	96,477	71,054	76,054	76,054	76,054
Olympic Regional Development Authority	2,736	11,143	8,526	8,526	8,526	8,526
Functional Total	275,592	254,156	216,315	218,203	216,925	216,925
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,469	4,556	4,714	4,556	4,556
Environmental Conservation, Department of	96,795	106,448	109,183	119,694	116,728	116,728
Parks, Recreation and Historic Preservation, Office of	105,254	105,455	104,640	109,588	105,844	105,844
Functional Total	206,517	216,372	218,379	233,996	227,128	227,128
TRANSPORTATION						
Motor Vehicles, Department of	1,600	12,088	12,347	12,586	12,830	12,830
Transportation, Department of	116,103	574,281	431,756	436,908	441,800	441,800
Functional Total	117,703	586,369	444,103	449,494	454,630	454,630
HEALTH						
Aging, Office for the	125,380	130,509	143,492	148,591	153,730	159,041
Health, Department of	14,611,316	15,486,966	16,296,010	17,234,111	18,358,474	19,134,576
<i>Medical Assistance</i>	13,111,453	13,686,191	14,748,956	15,726,359	16,865,615	17,655,845
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	583,789	917,523	704,948	685,547	672,217	662,033
<i>Public Health</i>	828,436	796,529	757,882	743,448	744,245	744,245
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
Functional Total	14,754,978	15,635,586	16,454,820	17,398,627	18,527,522	19,308,935
SOCIAL WELFARE						
Children and Family Services, Office of	1,832,432	1,958,076	1,729,083	1,966,068	2,022,381	2,022,964
<i>OCFS</i>	1,755,070	1,873,334	1,638,276	1,872,081	1,924,230	1,924,813
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	9,912	14,256	28,610	44,995	45,506	45,506
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
Labor, Department of	12,863	14,800	5,288	5,349	5,351	5,351
National and Community Service	770	690	690	699	699	699
Temporary and Disability Assistance, Office of	1,351,164	1,278,575	1,472,873	1,485,666	1,492,186	1,494,986
<i>Welfare Assistance</i>	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
<i>All Other</i>	213,840	225,754	246,007	253,300	259,820	262,620
Functional Total	3,216,606	3,276,318	3,246,465	3,513,367	3,576,353	3,579,736
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,221	427,861	440,182	459,027	474,089	493,390
<i>OASAS</i>	14,896	362,852	374,173	391,576	405,911	424,818
<i>OASAS - Other</i>	21,325	65,009	66,009	67,451	68,178	68,572
Justice Center	37,797	40,192	40,768	42,652	41,980	42,571
Mental Health, Office of	273,626	2,633,499	2,500,204	2,631,899	2,735,579	2,831,740
<i>OMH</i>	8,237	1,314,982	1,421,836	1,510,949	1,576,220	1,643,914
<i>OMH - Other</i>	265,389	1,318,517	1,078,368	1,120,950	1,159,359	1,187,826
People with Developmental Disabilities, Office for	438,201	1,856,036	1,787,138	2,223,254	2,147,839	2,338,140
<i>OPWDD</i>	143	388,271	361,951	357,380	367,557	378,419
<i>OPWDD - Other</i>	438,058	1,467,765	1,425,187	1,865,874	1,780,282	1,959,721
Functional Total	785,845	4,957,588	4,768,292	5,356,832	5,399,487	5,705,841
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,632,319	2,754,259	2,709,478	2,753,116	2,794,200	2,872,070
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	170,421	195,479	174,638	175,602	175,332	176,020
Homeland Security and Emergency Services, Division of	4,956	6,572	4,972	4,972	5,290	5,396
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,438	21,659	21,957	22,593	23,046
State Police, Division of	664,644	649,346	646,623	676,833	691,342	705,165
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	(514)	0	0	0	0	0
Functional Total	3,533,871	3,667,891	3,598,303	3,674,206	3,728,791	3,822,452

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HIGHER EDUCATION						
City University of New York	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
Higher Education Services Corporation, New York State	879,322	1,026,449	958,624	901,387	910,954	913,488
State University of New York	489,832	482,989	470,208	471,374	471,374	470,374
Functional Total	2,834,307	3,003,175	2,945,816	2,935,070	2,979,584	3,015,000
EDUCATION						
Arts, Council on the	39,210	45,315	45,155	45,251	45,155	45,155
Education, Department of	24,209,060	25,438,014	25,756,669	27,213,946	28,516,675	29,887,614
<i>School Aid</i>	21,953,910	23,028,193	23,323,534	24,737,832	25,948,654	27,217,852
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>Special Education Categorical Programs</i>	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
<i>All Other</i>	930,276	1,015,879	1,005,303	980,698	1,006,760	1,041,116
Functional Total	24,248,270	25,483,329	25,801,824	27,259,197	28,561,830	29,932,769
GENERAL GOVERNMENT						
Budget, Division of the	23,931	23,722	22,895	23,749	22,895	22,895
Civil Service, Department of	14,117	12,929	13,746	14,216	13,842	13,850
Deferred Compensation Board	33	57	57	58	57	57
Elections, State Board of	7,769	9,837	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	4,373	6,362	6,362	6,527	6,362	6,362
General Services, Office of	138,445	132,916	98,741	97,438	96,034	97,586
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,000	31,000	31,211	31,000	31,000
Prevention of Domestic Violence, Office for	2,349	2,944	3,044	3,116	3,054	3,054
Public Employment Relations Board	3,232	3,560	3,589	3,719	3,589	3,589
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	19,872	23,380	20,127	13,489	13,127	13,127
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	307,009	261,622	270,349	279,042	270,349	270,349
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
Veterans' Affairs, Division of	13,791	16,028	14,076	14,322	14,257	14,257
Welfare Inspector General, Office of	671	686	701	731	753	768
Functional Total	1,114,880	1,089,481	1,062,635	1,072,167	1,066,097	1,078,463
ELECTED OFFICIALS						
Audit and Control, Department of	164,445	166,737	169,441	171,863	169,441	169,441
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,691,658	2,768,724	2,849,400	2,906,400	2,849,400	2,849,400
Law, Department of	105,993	107,538	109,689	112,935	109,689	109,689
Legislature	222,212	228,908	233,445	238,156	242,919	242,919
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	3,198,360	3,286,099	3,376,167	3,444,020	3,385,641	3,385,641
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	723,783	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	760,640	764,887	743,561	800,545	795,545	795,545
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Miscellaneous	(18,274)	(134,779)	681,166	2,179,769	2,121,892	2,910,892
Functional Total	4,824,542	6,490,636	8,056,330	9,423,587	9,959,829	11,362,784
TOTAL GENERAL FUND SPENDING	59,872,111	68,711,887	70,933,010	75,779,311	78,879,362	82,885,849

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	38,271	29,197	25,197	25,347	25,347
Economic Development, Department of	52,871	47,993	47,537	47,537	47,387	47,387
Empire State Development Corporation	124,880	96,477	71,054	76,054	76,054	76,054
Olympic Regional Development Authority	0	2,360	0	0	0	0
Functional Total	210,069	185,101	147,788	148,788	148,788	148,788
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,491	4,095	1,199	1,199	1,199	1,199
Parks, Recreation and Historic Preservation, Office of	2,931	406	100	100	100	100
Functional Total	5,422	4,501	1,299	1,299	1,299	1,299
TRANSPORTATION						
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	114,708	303,851	109,851	109,851	109,851	109,851
Functional Total	116,308	304,226	109,851	109,851	109,851	109,851
HEALTH						
Aging, Office for the	124,180	129,278	141,280	146,336	151,518	156,829
Health, Department of	14,105,025	14,934,161	15,797,818	16,741,400	17,868,986	18,650,432
<i>Medical Assistance</i>	13,111,453	13,686,191	14,748,956	15,726,359	16,865,615	17,655,845
<i>Medicaid Administration</i>	286,336	585,173	433,149	416,867	401,295	392,511
<i>Public Health</i>	707,236	662,797	615,713	598,174	602,076	602,076
Functional Total	14,229,205	15,063,439	15,939,098	16,887,736	18,020,504	18,807,261
SOCIAL WELFARE						
Children and Family Services, Office of	1,604,882	1,687,489	1,483,728	1,602,333	1,656,264	1,656,847
<i>OCFS</i>	1,527,520	1,602,747	1,392,921	1,508,346	1,558,113	1,558,696
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	4,119	9,706	24,060	40,090	40,765	40,765
Labor, Department of	12,666	14,512	5,000	5,000	5,000	5,000
National and Community Service	477	350	350	350	350	350
Temporary and Disability Assistance, Office of	1,229,518	1,154,914	1,343,062	1,351,362	1,355,162	1,357,962
<i>Welfare Assistance</i>	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
<i>All Other</i>	92,194	102,093	116,196	118,996	122,796	125,596
Functional Total	2,851,662	2,866,971	2,856,200	2,999,135	3,057,541	3,060,924
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,221	350,720	361,216	377,560	392,265	410,868
<i>OASAS</i>	14,896	329,395	339,891	356,235	370,940	389,543
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170	170
Mental Health, Office of	272,929	1,289,888	1,145,023	1,241,722	1,309,107	1,375,761
<i>OMH</i>	7,540	990,563	1,068,197	1,153,977	1,209,893	1,268,304
<i>OMH - Other</i>	265,389	299,325	76,826	87,745	99,214	107,457
People with Developmental Disabilities, Office for	438,201	529,772	466,369	874,139	783,540	956,029
<i>OPWDD</i>	143	388,271	361,951	357,380	367,557	378,419
<i>OPWDD - Other</i>	438,058	141,501	104,418	516,759	415,983	577,610
Functional Total	747,521	2,170,550	1,972,778	2,493,591	2,485,082	2,742,828
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections Services, Department of	5,686	4,836	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	136,570	161,786	141,000	141,000	141,000	141,000
Homeland Security and Emergency Services, Division of	3,886	5,572	3,972	3,972	4,290	4,376
Military and Naval Affairs, Division of	819	820	820	820	886	904
Victim Services, Office of	(514)	0	0	0	0	0
Functional Total	146,447	175,014	152,628	152,628	151,012	151,116
HIGHER EDUCATION						
City University of New York	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
Higher Education Services Corporation, New York State	879,322	1,026,449	958,624	901,387	910,954	913,488
State University of New York	488,783	482,289	469,466	470,632	470,632	469,632
Functional Total	2,833,258	3,002,475	2,945,074	2,934,328	2,978,842	3,014,258

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
EDUCATION						
Arts, Council on the	34,950	40,995	40,835	40,835	40,835	40,835
Education, Department of	<u>24,152,664</u>	<u>25,377,228</u>	<u>25,698,431</u>	<u>27,154,736</u>	<u>28,458,437</u>	<u>29,829,376</u>
<i>School Aid</i>	21,953,910	23,028,193	23,323,534	24,737,832	25,948,654	27,217,852
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>Special Education Categorical Programs</i>	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
<i>All Other</i>	<u>873,880</u>	<u>955,093</u>	<u>947,065</u>	<u>921,488</u>	<u>948,522</u>	<u>982,878</u>
Functional Total	<u>24,187,614</u>	<u>25,418,223</u>	<u>25,739,266</u>	<u>27,195,571</u>	<u>28,499,272</u>	<u>29,870,211</u>
GENERAL GOVERNMENT						
Elections, State Board of	50	0	0	0	0	0
Prevention of Domestic Violence, Office for	791	1,285	1,385	1,385	1,412	1,412
State, Department of	9,122	14,022	12,537	5,537	5,537	5,537
Taxation and Finance, Department of	888	926	926	926	926	926
Veterans' Affairs, Division of	<u>7,906</u>	<u>9,792</u>	<u>7,840</u>	<u>7,840</u>	<u>7,840</u>	<u>7,840</u>
Functional Total	<u>18,757</u>	<u>26,025</u>	<u>22,688</u>	<u>15,688</u>	<u>15,715</u>	<u>15,715</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024	32,024
Judiciary	<u>2,974</u>	<u>3,500</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>	<u>19,000</u>
Functional Total	<u>34,999</u>	<u>35,524</u>	<u>51,024</u>	<u>51,024</u>	<u>51,024</u>	<u>51,024</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	723,533	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Functional Total	<u>760,509</u>	<u>764,637</u>	<u>743,561</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
ALL OTHER CATEGORIES						
Miscellaneous	(69,431)	(232,467)	287,478	1,267,081	1,209,204	1,848,204
Functional Total	<u>(69,431)</u>	<u>(232,467)</u>	<u>287,478</u>	<u>1,267,081</u>	<u>1,209,204</u>	<u>1,848,204</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>46,072,340</u>	<u>49,784,219</u>	<u>50,968,733</u>	<u>55,057,265</u>	<u>57,523,679</u>	<u>60,617,024</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,048	32,448	33,416	33,988	33,026	33,026
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development, Department of	17,920	15,341	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	2,736	8,783	8,526	8,526	8,526	8,526
Functional Total	65,523	69,055	68,527	69,415	68,137	68,137
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,469	4,556	4,714	4,556	4,556
Environmental Conservation, Department of	94,304	102,353	107,984	118,495	115,529	115,529
Parks, Recreation and Historic Preservation, Office of	102,323	105,049	104,540	109,488	105,744	105,744
Functional Total	201,095	211,871	217,080	232,697	225,829	225,829
TRANSPORTATION						
Motor Vehicles, Department of	0	11,713	12,347	12,586	12,830	12,830
Transportation, Department of	1,395	270,430	321,905	327,057	331,949	331,949
Functional Total	1,395	282,143	334,252	339,643	344,779	344,779
HEALTH						
Aging, Office for the	1,200	1,231	2,212	2,255	2,212	2,212
Health, Department of	506,291	552,805	498,192	492,711	489,488	484,144
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	297,453	332,350	271,799	268,680	270,922	269,522
<i>Public Health</i>	121,200	133,732	142,169	145,274	142,169	142,169
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
Functional Total	525,773	572,147	515,722	510,891	507,018	501,674
SOCIAL WELFARE						
Children and Family Services, Office of	227,550	270,587	245,355	363,735	366,117	366,117
<i>OCFS</i>	227,550	270,587	245,355	363,735	366,117	366,117
Housing and Community Renewal, Division of	5,793	4,550	4,550	4,905	4,741	4,741
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
Labor, Department of	197	288	288	349	351	351
National and Community Service	293	340	340	349	349	349
Temporary and Disability Assistance, Office of	121,646	123,661	129,811	134,304	137,024	137,024
<i>All Other</i>	121,646	123,661	129,811	134,304	137,024	137,024
Functional Total	364,944	409,347	390,265	514,232	518,812	518,812
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	77,141	78,966	81,467	81,824	82,522
<i>OASAS</i>	0	33,457	34,282	35,341	34,971	35,275
<i>OASAS - Other</i>	0	43,684	44,684	46,126	46,853	47,247
Justice Center	37,627	40,022	40,598	42,482	41,810	42,401
Mental Health, Office of	697	1,343,611	1,355,181	1,390,177	1,426,472	1,455,979
<i>OMH</i>	697	324,419	353,639	356,972	366,327	375,610
<i>OMH - Other</i>	0	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
People with Developmental Disabilities, Office for	0	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
<i>OPWDD - Other</i>	0	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Functional Total	38,324	2,787,038	2,795,514	2,863,241	2,914,405	2,963,013
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,626,633	2,749,423	2,704,642	2,748,280	2,789,364	2,867,234
Criminal Justice Services, Division of	33,851	33,693	33,638	34,602	34,332	35,020
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	22,919	20,618	20,839	21,137	21,707	22,142
State Police, Division of	664,644	649,346	646,623	676,833	691,342	705,165
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Functional Total	3,387,424	3,492,877	3,445,675	3,521,578	3,577,779	3,671,336
HIGHER EDUCATION						
State University of New York	1,049	700	742	742	742	742
Functional Total	1,049	700	742	742	742	742

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
EDUCATION						
Arts, Council on the	4,260	4,320	4,320	4,416	4,320	4,320
Education, Department of	56,396	60,786	58,238	59,210	58,238	58,238
<i>All Other</i>	56,396	60,786	58,238	59,210	58,238	58,238
Functional Total	60,656	65,106	62,558	63,626	62,558	62,558
GENERAL GOVERNMENT						
Budget, Division of the	23,931	23,722	22,895	23,749	22,895	22,895
Civil Service, Department of	14,117	12,929	13,746	14,216	13,842	13,850
Deferred Compensation Board	33	57	57	58	57	57
Elections, State Board of	7,719	9,837	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	4,373	6,362	6,362	6,527	6,362	6,362
General Services, Office of	138,445	132,916	98,741	97,438	96,034	97,586
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,000	31,000	31,211	31,000	31,000
Prevention of Domestic Violence, Office for	1,558	1,659	1,659	1,731	1,642	1,642
Public Employment Relations Board	3,232	3,560	3,589	3,719	3,589	3,589
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	10,750	9,358	7,590	7,952	7,590	7,590
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	306,121	260,696	269,423	278,116	269,423	269,423
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
Veterans' Affairs, Division of	5,885	6,236	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	686	701	731	753	768
Functional Total	1,096,123	1,063,456	1,039,947	1,056,479	1,050,382	1,062,748
ELECTED OFFICIALS						
Audit and Control, Department of	132,420	134,713	137,417	139,839	137,417	137,417
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	1,966,636	2,011,700	2,047,600	2,104,600	2,047,600	2,047,600
Law, Department of	105,993	107,538	109,689	112,935	109,689	109,689
Legislature	222,212	228,908	233,445	238,156	242,919	242,919
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	2,441,313	2,497,051	2,542,343	2,610,196	2,551,817	2,551,817
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	131	250	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	44,005	93,268	389,268	908,268	908,268	1,058,268
Functional Total	44,005	93,268	389,268	908,268	908,268	1,058,268
TOTAL STATE OPERATIONS SPENDING	8,227,755	11,544,309	11,801,893	12,691,008	12,730,526	13,029,713

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	28,155	26,463	26,913	28,434	27,648	27,648
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585	8,585
Economic Development, Department of	13,240	12,826	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Functional Total	52,074	53,031	53,662	55,499	54,397	54,397
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	4,108	4,243	4,401	4,243	4,243
Environmental Conservation, Department of	82,506	81,929	87,366	93,077	90,111	90,111
Parks, Recreation and Historic Preservation, Office of	96,935	97,116	97,861	102,809	99,065	99,065
Functional Total	183,620	183,153	189,470	200,287	193,419	193,419
TRANSPORTATION						
Motor Vehicles, Department of	0	8,524	8,694	8,868	9,046	9,046
Transportation, Department of	0	158,494	159,060	159,055	159,048	159,048
Functional Total	0	167,018	167,754	167,923	168,094	168,094
HEALTH						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	122,332	148,583	155,561	161,547	156,917	156,936
<i>Essential Plan</i>	3,148	4,206	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	37,196	51,113	49,378	52,421	50,930	50,846
<i>Public Health</i>	81,988	93,264	101,603	104,708	101,603	101,603
Medicaid Inspector General, Office of the	15,864	14,936	14,053	14,660	14,053	14,053
Functional Total	139,299	164,644	171,470	178,106	172,826	172,845
SOCIAL WELFARE						
Children and Family Services, Office of	168,186	188,936	168,632	263,366	263,509	263,509
<i>OCFS</i>	168,186	188,936	168,632	263,366	263,509	263,509
Housing and Community Renewal, Division of	3,866	4,199	4,199	4,492	4,328	4,328
Human Rights, Division of	8,662	9,461	9,461	10,121	9,752	9,752
Labor, Department of	84	88	88	94	91	91
National and Community Service	292	331	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,356	73,340	76,709	78,259	78,259
<i>All Other</i>	64,895	68,356	73,340	76,709	78,259	78,259
Functional Total	245,985	271,371	256,051	355,122	356,279	356,279
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	59,917	60,604	62,406	62,052	62,686
<i>OASAS</i>	0	26,660	27,023	27,809	27,160	27,438
<i>OASAS - Other</i>	0	33,257	33,581	34,597	34,892	35,248
Justice Center	30,029	31,377	32,210	33,833	32,890	33,203
Mental Health, Office of	0	1,094,291	1,099,678	1,123,525	1,136,677	1,152,843
<i>OMH</i>	0	277,404	314,751	317,884	325,901	333,689
<i>OMH - Other</i>	0	816,887	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	0	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
<i>OPWDD - Other</i>	0	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Functional Total	30,029	2,324,157	2,331,641	2,382,055	2,403,685	2,433,063
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,414	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,248	2,191,709	2,145,485	2,193,429	2,239,712	2,314,257
Criminal Justice Services, Division of	26,713	27,163	27,110	28,074	27,633	28,147
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,015	14,161	14,241	14,539	14,615	14,908
State Police, Division of	630,445	619,059	618,103	643,273	655,772	668,883
Statewide Financial System	11,094	11,758	11,882	12,325	12,121	12,356
Functional Total	2,789,139	2,871,576	2,824,582	2,899,719	2,957,824	3,046,632
EDUCATION						
Arts, Council on the	2,626	2,498	2,498	2,594	2,498	2,498
Education, Department of	31,037	29,812	29,334	30,306	29,334	29,334
<i>All Other</i>	31,037	29,812	29,334	30,306	29,334	29,334
Functional Total	33,663	32,310	31,832	32,900	31,832	31,832
GENERAL GOVERNMENT						
Budget, Division of the	20,484	22,216	22,216	23,070	22,216	22,216

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Civil Service, Department of	13,731	12,511	13,296	13,766	13,387	13,387
Deferred Compensation Board	33	32	32	33	32	32
Elections, State Board of	6,077	6,143	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	3,750	11,248	6,346	6,248	6,248
Gaming Commission, New York State	2,872	4,282	4,282	4,447	4,282	4,282
General Services, Office of	63,907	47,530	25,515	28,901	26,510	26,510
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	5,446	5,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,469	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827	4,924
State, Department of	10,364	8,970	7,354	7,716	7,354	7,354
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	266,320	219,350	227,987	235,376	227,987	227,987
Technology, Office for	289,994	298,499	291,520	307,987	303,329	309,410
Veterans' Affairs, Division of	5,512	5,938	5,938	6,178	6,107	6,107
Welfare Inspector General, Office of	619	621	626	654	646	659
Functional Total	707,796	654,186	640,817	666,552	649,386	655,552
ELECTED OFFICIALS						
Audit and Control, Department of	107,894	109,470	112,181	114,603	112,181	112,181
Executive Chamber	9,979	11,113	11,113	11,567	11,113	11,113
Judiciary	1,565,719	1,599,500	1,641,300	1,698,300	1,641,300	1,641,300
Law, Department of	95,500	95,988	97,907	101,153	97,907	97,907
Legislature	174,350	175,541	179,691	182,633	186,286	186,286
Lieutenant Governor, Office of the	382	523	523	543	523	523
Functional Total	1,953,824	1,992,135	2,042,715	2,108,799	2,049,310	2,049,310
ALL OTHER CATEGORIES						
Miscellaneous	34	56	146,056	515,056	515,056	590,056
Functional Total	34	56	146,056	515,056	515,056	590,056
TOTAL PERSONAL SERVICE SPENDING	6,135,463	8,713,637	8,856,050	9,562,018	9,552,108	9,751,479

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,893	5,985	6,503	5,554	5,378	5,378
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659	2,659
Economic Development, Department of	4,680	2,515	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	188	3,188	3,188	3,188	3,188	3,188
Functional Total	13,449	16,024	14,865	13,916	13,740	13,740
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	361	313	313	313	313
Environmental Conservation, Department of	11,798	20,424	20,618	25,418	25,418	25,418
Parks, Recreation and Historic Preservation, Office of	5,388	7,933	6,679	6,679	6,679	6,679
Functional Total	17,475	28,718	27,610	32,410	32,410	32,410
TRANSPORTATION						
Motor Vehicles, Department of	0	3,189	3,653	3,718	3,784	3,784
Transportation, Department of	1,395	111,936	162,845	168,002	172,901	172,901
Functional Total	1,395	115,125	166,498	171,720	176,685	176,685
HEALTH						
Aging, Office for the	97	106	356	356	356	356
Health, Department of	383,959	404,222	342,631	331,164	332,571	327,208
<i>Essential Plan</i>	84,490	82,517	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	260,257	281,237	222,421	216,259	219,992	218,676
<i>Public Health</i>	39,212	40,468	40,566	40,566	40,566	40,566
Medicaid Inspector General, Office of the	2,418	3,175	1,265	1,265	1,265	1,265
Functional Total	386,474	407,503	344,252	332,785	334,192	328,829
SOCIAL WELFARE						
Children and Family Services, Office of	59,364	81,651	76,723	100,369	102,608	102,608
<i>OCFS</i>	59,364	81,651	76,723	100,369	102,608	102,608
Housing and Community Renewal, Division of	1,927	351	351	413	413	413
Human Rights, Division of	803	460	460	469	478	478
Labor, Department of	113	200	200	255	260	260
National and Community Service	1	9	9	9	9	9
Temporary and Disability Assistance, Office of	56,751	55,305	56,471	57,595	58,765	58,765
<i>All Other</i>	56,751	55,305	56,471	57,595	58,765	58,765
Functional Total	118,959	137,976	134,214	159,110	162,533	162,533
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	17,224	18,362	19,061	19,772	19,836
<i>OASAS</i>	0	6,797	7,259	7,532	7,811	7,837
<i>OASAS - Other</i>	0	10,427	11,103	11,529	11,961	11,999
Justice Center	7,598	8,645	8,388	8,649	8,920	9,198
Mental Health, Office of	697	249,320	255,503	266,652	289,795	303,136
<i>OMH</i>	697	47,015	38,888	39,088	40,426	41,921
<i>OMH - Other</i>	0	202,305	216,615	227,564	249,369	261,215
People with Developmental Disabilities, Office for	0	187,692	181,620	186,824	192,233	197,780
<i>OPWDD - Other</i>	0	187,692	181,620	186,824	192,233	197,780
Functional Total	8,295	462,881	463,873	481,186	510,720	529,950
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	237	237	242	247	247
Correctional Services, Department of	528,385	557,714	559,157	554,851	549,652	552,977
Criminal Justice Services, Division of	7,138	6,530	6,528	6,528	6,699	6,873
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	7,904	6,457	6,598	6,598	7,092	7,234
State Police, Division of	34,199	30,287	28,520	33,560	35,570	36,282
Statewide Financial System	19,043	18,624	18,624	18,624	18,996	19,376
Functional Total	598,285	621,301	621,093	621,859	619,955	624,704
HIGHER EDUCATION						
State University of New York	1,049	700	742	742	742	742
Functional Total	1,049	700	742	742	742	742
EDUCATION						
Arts, Council on the	1,634	1,822	1,822	1,822	1,822	1,822
Education, Department of	25,359	30,974	28,904	28,904	28,904	28,904

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<i>All Other</i>	25,359	30,974	28,904	28,904	28,904	28,904
Functional Total	<u>26,993</u>	<u>32,796</u>	<u>30,726</u>	<u>30,726</u>	<u>30,726</u>	<u>30,726</u>
GENERAL GOVERNMENT						
Budget, Division of the	3,447	1,506	679	679	679	679
Civil Service, Department of	386	418	450	450	455	463
Deferred Compensation Board	0	25	25	25	25	25
Elections, State Board of	1,642	3,694	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	113	196	196	196	197
Gaming Commission, New York State	1,501	2,080	2,080	2,080	2,080	2,080
General Services, Office of	74,538	85,386	73,226	68,537	69,524	71,076
Inspector General, Office of the	943	827	839	856	1,204	1,216
Labor Management Committees	17,026	25,554	25,513	25,513	25,513	25,513
Prevention of Domestic Violence, Office for	146	190	168	207	211	211
Public Employment Relations Board	173	247	251	251	251	251
Public Integrity, Commission on	729	930	949	968	1,183	1,195
State, Department of	386	388	236	236	236	236
Tax Appeals, Division of	147	170	170	170	170	170
Taxation and Finance, Department of	39,801	41,346	41,436	42,740	41,436	41,436
Technology, Office for	245,983	246,033	248,680	242,626	253,840	258,917
Veterans' Affairs, Division of	373	298	298	304	310	310
Welfare Inspector General, Office of	52	65	75	77	107	109
Functional Total	<u>388,327</u>	<u>409,270</u>	<u>399,130</u>	<u>389,927</u>	<u>400,996</u>	<u>407,196</u>
ELECTED OFFICIALS						
Audit and Control, Department of	24,526	25,243	25,236	25,236	25,236	25,236
Executive Chamber	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	400,917	412,200	406,300	406,300	406,300	406,300
Law, Department of	10,493	11,550	11,782	11,782	11,782	11,782
Legislature	47,862	53,367	53,754	55,523	56,633	56,633
Lieutenant Governor, Office of the	110	91	91	91	91	91
Functional Total	<u>487,489</u>	<u>504,916</u>	<u>499,628</u>	<u>501,397</u>	<u>502,507</u>	<u>502,507</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	<u>131</u>	<u>250</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Miscellaneous	43,971	93,212	243,212	393,212	393,212	468,212
Functional Total	<u>43,971</u>	<u>93,212</u>	<u>243,212</u>	<u>393,212</u>	<u>393,212</u>	<u>468,212</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u>2,092,292</u>	<u>2,830,672</u>	<u>2,945,843</u>	<u>3,128,990</u>	<u>3,178,418</u>	<u>3,278,234</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ELECTED OFFICIALS						
Judiciary	722,048	753,524	782,800	782,800	782,800	782,800
Functional Total	<u>722,048</u>	<u>753,524</u>	<u>782,800</u>	<u>782,800</u>	<u>782,800</u>	<u>782,800</u>
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Miscellaneous	7,152	4,420	4,420	4,420	4,420	4,420
Functional Total	<u>4,849,968</u>	<u>6,629,835</u>	<u>7,379,584</u>	<u>7,248,238</u>	<u>7,842,357</u>	<u>8,456,312</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>5,572,016</u>	<u>7,383,359</u>	<u>8,162,384</u>	<u>8,031,038</u>	<u>8,625,157</u>	<u>9,239,112</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	71,270	78,000	69,912	66,692	81,538	81,573
Local Assistance	32,318	38,271	29,197	25,197	25,347	25,347
State Operations	37,697	37,838	38,822	39,502	54,232	54,232
Personal Service	30,802	29,423	29,878	31,503	30,613	30,613
Non-Personal Service/Indirect Costs	6,895	8,415	8,944	7,999	23,619	23,619
General State Charges	1,255	1,891	1,893	1,993	1,959	1,994
<i>Alcoholic Beverage Control, Division of</i>	11,819	12,483	11,244	11,560	11,244	11,244
State Operations	11,819	12,483	11,244	11,560	11,244	11,244
Personal Service	8,131	8,147	8,585	8,901	8,585	8,585
Non-Personal Service/Indirect Costs	3,688	4,336	2,659	2,659	2,659	2,659
<i>Economic Development, Department of</i>	72,278	66,712	64,856	64,856	69,706	69,706
Local Assistance	52,871	49,393	47,537	47,537	52,387	52,387
State Operations	19,405	17,291	17,291	17,291	17,291	17,291
Personal Service	13,243	12,929	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,162	4,362	4,362	4,362	4,362	4,362
General State Charges	2	28	28	28	28	28
<i>Empire State Development Corporation</i>	124,880	96,477	71,054	76,054	76,054	76,054
Local Assistance	124,880	96,477	71,054	76,054	76,054	76,054
<i>Financial Services, Department of</i>	357,557	374,003	369,050	387,954	381,591	381,591
Local Assistance	57,254	64,954	58,527	58,496	58,496	58,496
State Operations	209,379	208,877	208,699	214,981	208,844	208,844
Personal Service	154,146	156,666	153,752	159,736	153,893	153,893
Non-Personal Service/Indirect Costs	55,233	52,211	54,947	55,245	54,951	54,951
General State Charges	90,924	100,172	101,824	114,477	114,251	114,251
<i>Olympic Regional Development Authority</i>	2,736	11,293	8,676	8,676	8,676	8,676
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,933	8,676	8,676	8,676	8,676
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	188	3,338	3,338	3,338	3,338	3,338
<i>Public Service Department</i>	84,881	77,674	80,221	82,664	79,946	79,075
Local Assistance	102	136	122	122	122	122
State Operations	52,384	50,777	52,311	53,016	50,850	49,470
Personal Service	42,867	42,100	41,745	43,683	42,085	42,098
Non-Personal Service/Indirect Costs	9,517	8,677	10,566	9,333	8,765	7,372
General State Charges	32,395	26,761	27,788	29,526	28,974	29,483
Functional Total	725,421	716,642	675,013	698,456	708,755	707,919
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,468	4,469	4,556	4,714	4,556	4,556
State Operations	4,468	4,469	4,556	4,714	4,556	4,556
Personal Service	4,179	4,108	4,243	4,401	4,243	4,243
Non-Personal Service/Indirect Costs	289	361	313	313	313	313
<i>Environmental Conservation, Department of</i>	260,839	264,427	247,689	262,442	254,490	255,352
Local Assistance	2,491	4,095	1,199	1,199	1,199	1,199
State Operations	212,775	208,932	198,781	211,366	204,921	204,921
Personal Service	175,514	171,136	163,044	171,554	165,189	165,189
Non-Personal Service/Indirect Costs	37,261	37,796	35,737	39,812	39,732	39,732
General State Charges	45,573	51,400	47,709	49,877	48,370	49,232
<i>Parks, Recreation and Historic Preservation, Office of</i>	179,334	183,719	165,231	171,701	166,830	166,830
Local Assistance	7,087	5,856	3,950	3,950	3,950	3,950
State Operations	168,983	174,711	159,321	165,744	160,939	160,939
Personal Service	130,555	135,268	125,462	131,883	127,078	127,078
Non-Personal Service/Indirect Costs	38,428	39,443	33,859	33,861	33,861	33,861
General State Charges	3,264	3,152	1,960	2,007	1,941	1,941
Functional Total	444,641	452,615	417,476	438,857	425,876	426,738
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	71,728	84,516	83,653	86,529	86,862	86,862
Local Assistance	1,600	375	0	0	0	0
State Operations	49,142	60,826	59,535	60,566	60,247	60,247
Personal Service	36,244	45,018	43,263	44,207	44,497	44,497

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Non-Personal Service/Indirect Costs	12,898	15,808	16,272	16,359	15,750	15,750
General State Charges	20,986	23,315	24,118	25,963	26,615	26,615
Transportation, Department of	5,034,223	4,218,603	3,868,031	3,904,161	4,038,152	4,189,152
Local Assistance	5,023,364	3,936,260	3,534,080	3,564,712	3,693,873	3,844,873
State Operations	9,139	280,219	331,754	337,037	341,822	341,822
Personal Service	2,803	161,818	162,384	162,506	162,396	162,396
Non-Personal Service/Indirect Costs	6,336	118,401	169,370	174,531	179,426	179,426
General State Charges	1,720	2,124	2,197	2,412	2,457	2,457
Functional Total	5,105,951	4,303,119	3,951,684	3,990,690	4,125,014	4,276,014
HEALTH						
Aging, Office for the	125,380	130,510	143,493	148,592	153,731	159,042
Local Assistance	124,180	129,278	141,280	146,336	151,518	156,829
State Operations	1,200	1,232	2,213	2,256	2,213	2,213
Personal Service	1,103	1,125	1,856	1,899	1,856	1,856
Non-Personal Service/Indirect Costs	97	107	357	357	357	357
Health, Department of	21,460,861	22,370,029	23,204,044	24,302,173	25,517,536	26,390,399
Medical Assistance	18,857,443	19,378,607	20,374,096	21,303,834	22,425,007	23,298,760
Local Assistance	18,857,443	19,378,607	20,374,096	21,303,834	22,425,007	23,298,760
Essential Plan	87,638	86,723	84,224	78,757	76,397	72,453
State Operations	87,638	86,723	84,224	78,757	76,397	72,453
Personal Service	3,148	4,206	4,580	4,418	4,384	4,487
Non-Personal Service/Indirect Costs	84,490	82,517	79,644	74,339	72,013	67,966
Medicaid Administration	583,789	917,523	706,489	687,088	673,758	663,574
Local Assistance	286,336	585,173	433,149	416,867	401,295	392,511
State Operations	297,453	332,350	272,759	269,640	271,882	270,482
Personal Service	37,196	51,113	50,288	53,331	51,840	51,756
Non-Personal Service/Indirect Costs	260,257	281,237	222,471	216,309	220,042	218,726
General State Charges	0	0	581	581	581	581
Public Health	1,931,991	1,987,176	2,039,235	2,232,494	2,342,374	2,355,612
Local Assistance	1,544,070	1,556,466	1,557,469	1,745,187	1,855,968	1,871,253
State Operations	356,208	393,030	446,540	450,303	448,117	446,056
Personal Service	212,539	224,930	241,167	244,791	241,434	241,619
Non-Personal Service/Indirect Costs	143,669	168,100	205,373	205,512	206,683	204,437
General State Charges	31,713	37,680	35,226	37,004	38,289	38,303
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
State Operations	18,282	18,111	15,318	15,925	15,318	15,318
Personal Service	15,864	14,936	14,053	14,660	14,053	14,053
Non-Personal Service/Indirect Costs	2,418	3,175	1,265	1,265	1,265	1,265
Functional Total	21,604,523	22,518,650	23,362,855	24,466,690	25,686,585	26,564,759
SOCIAL WELFARE						
Children and Family Services, Office of	1,851,516	1,982,382	1,753,385	1,990,927	2,047,531	2,048,114
OCFS	1,774,154	1,897,640	1,662,578	1,896,940	1,949,380	1,949,963
Local Assistance	1,530,772	1,606,329	1,396,503	1,511,928	1,561,695	1,562,278
State Operations	241,724	288,900	263,944	382,762	385,419	385,419
Personal Service	170,908	192,290	171,986	266,849	266,958	266,958
Non-Personal Service/Indirect Costs	70,816	96,610	91,958	115,913	118,461	118,461
General State Charges	1,658	2,411	2,131	2,250	2,266	2,266
OCFS - Other	77,362	84,742	90,807	93,987	98,151	98,151
Local Assistance	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	72,665	94,145	108,499	127,781	130,267	130,267
Local Assistance	4,410	10,558	24,912	40,942	41,617	41,617
State Operations	52,210	57,666	57,666	60,918	59,500	59,500
Personal Service	41,535	47,298	47,298	50,120	48,544	48,544
Non-Personal Service/Indirect Costs	10,675	10,368	10,368	10,798	10,956	10,956
General State Charges	16,045	25,921	25,921	25,921	29,150	29,150
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
State Operations	9,465	9,921	9,921	10,590	10,230	10,230
Personal Service	8,662	9,461	9,461	10,121	9,752	9,752
Non-Personal Service/Indirect Costs	803	460	460	469	478	478

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Labor, Department of	83,203	81,668	72,156	74,893	76,435	76,435
Local Assistance	12,666	14,662	5,150	5,150	5,150	5,150
State Operations	49,642	46,519	46,519	49,256	48,280	48,280
Personal Service	34,323	32,618	32,618	34,864	33,620	33,620
Non-Personal Service/Indirect Costs	15,319	13,901	13,901	14,392	14,660	14,660
General State Charges	20,895	20,487	20,487	20,487	23,005	23,005
National and Community Service	770	690	690	699	699	699
Local Assistance	477	350	350	350	350	350
State Operations	293	340	340	349	349	349
Personal Service	292	331	331	340	340	340
Non-Personal Service/Indirect Costs	1	9	9	9	9	9
Temporary and Disability Assistance, Office of	1,352,404	1,282,103	1,476,401	1,489,194	1,495,714	1,498,514
Welfare Assistance	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
Local Assistance	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
All Other	215,080	229,282	249,535	256,828	263,348	266,148
Local Assistance	92,194	102,093	116,196	118,996	122,796	125,596
State Operations	122,874	127,061	133,211	137,704	140,424	140,424
Personal Service	64,895	68,356	73,340	76,709	78,259	78,259
Non-Personal Service/Indirect Costs	57,979	58,705	59,871	60,995	62,165	62,165
General State Charges	12	128	128	128	128	128
Functional Total	3,370,023	3,450,909	3,421,052	3,694,084	3,760,876	3,764,259
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	441,089	437,642	450,041	468,798	483,875	503,357
OASAS	348,092	372,633	384,032	401,347	415,697	434,785
Local Assistance	301,336	332,720	343,279	359,535	374,240	392,843
State Operations	31,964	39,913	40,753	41,812	41,457	41,942
Personal Service	20,354	26,660	27,023	27,809	27,160	27,438
Non-Personal Service/Indirect Costs	11,610	13,253	13,730	14,003	14,297	14,504
General State Charges	14,792	0	0	0	0	0
OASAS - Other	92,997	65,009	66,009	67,451	68,178	68,572
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	43,684	44,684	46,126	46,853	47,247
Personal Service	36,074	33,257	33,581	34,597	34,892	35,248
Non-Personal Service/Indirect Costs	13,434	10,427	11,103	11,529	11,961	11,999
General State Charges	22,164	0	0	0	0	0
Justice Center	40,136	42,998	43,596	45,523	44,888	45,518
Local Assistance	619	649	649	649	649	649
State Operations	38,868	41,460	42,049	43,950	43,291	43,896
Personal Service	31,243	32,769	33,616	35,255	34,325	34,651
Non-Personal Service/Indirect Costs	7,625	8,691	8,433	8,695	8,966	9,245
General State Charges	649	889	898	924	948	973
Mental Health, Office of	3,182,496	2,640,444	2,507,149	2,638,844	2,742,524	2,838,685
OMH	1,459,290	1,321,927	1,428,781	1,517,894	1,583,165	1,650,859
Local Assistance	915,920	992,063	1,069,697	1,155,477	1,211,393	1,269,804
State Operations	349,973	329,864	359,084	362,417	371,772	381,055
Personal Service	287,281	277,507	314,854	317,987	326,004	333,792
Non-Personal Service/Indirect Costs	62,692	52,357	44,230	44,430	45,768	47,263
General State Charges	193,397	0	0	0	0	0
OMH - Other	1,723,206	1,318,517	1,078,368	1,120,950	1,159,359	1,187,826
Local Assistance	265,389	299,325	76,826	87,745	99,214	107,457
State Operations	1,000,487	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
Personal Service	783,120	816,887	784,927	805,641	810,776	819,154
Non-Personal Service/Indirect Costs	217,367	202,305	216,615	227,564	249,369	261,215
General State Charges	457,330	0	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
State Operations	204	0	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,856,217	1,787,319	2,223,435	2,148,020	2,338,321
OPWDD	407,423	388,452	362,132	357,561	367,738	378,600
Local Assistance	407,275	388,271	361,951	357,380	367,557	378,419
State Operations	148	181	181	181	181	181

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Service	124	0	0	0	0	0
Non-Personal Service/Indirect Costs	24	181	181	181	181	181
OPWDD - Other	2,455,481	1,467,765	1,425,187	1,865,874	1,780,282	1,959,721
Local Assistance	438,663	141,501	104,418	516,759	415,983	577,610
State Operations	1,333,017	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Personal Service	1,129,750	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Non-Personal Service/Indirect Costs	203,267	187,692	181,620	186,824	192,233	197,780
General State Charges	683,801	0	0	0	0	0
Functional Total	6,526,829	4,977,301	4,788,105	5,376,600	5,419,307	5,725,881
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
State Operations	2,594	2,651	2,651	2,773	2,735	2,735
Personal Service	2,316	2,414	2,414	2,531	2,488	2,488
Non-Personal Service/Indirect Costs	278	237	237	242	247	247
Correctional Services, Department of	2,633,748	2,757,211	2,712,430	2,756,068	2,797,152	2,875,047
Local Assistance	5,686	4,836	4,836	4,836	4,836	4,836
State Operations	2,627,945	2,752,269	2,707,488	2,751,126	2,792,210	2,870,105
Personal Service	2,098,499	2,191,928	2,145,704	2,193,648	2,239,931	2,314,480
Non-Personal Service/Indirect Costs	529,446	560,341	561,784	557,478	552,279	555,625
General State Charges	117	106	106	106	106	106
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Local Assistance	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	222,524	203,198	204,173	203,995	204,742
Local Assistance	156,618	185,704	166,433	166,433	166,433	166,433
State Operations	36,502	36,785	36,730	37,705	37,527	38,273
Personal Service	26,993	27,555	27,502	28,477	28,033	28,547
Non-Personal Service/Indirect Costs	9,509	9,230	9,228	9,228	9,494	9,726
General State Charges	35	35	35	35	35	36
Homeland Security and Emergency Services, Division of	60,960	89,899	74,971	131,846	143,640	146,566
Local Assistance	30,642	52,539	35,941	91,542	100,839	102,925
State Operations	29,703	36,551	38,213	39,469	41,896	42,736
Personal Service	16,362	23,313	29,610	30,856	32,215	32,774
Non-Personal Service/Indirect Costs	13,341	13,238	8,603	8,613	9,681	9,962
General State Charges	615	809	817	835	905	905
Indigent Legal Services, Office of	75,499	113,290	150,900	211,080	261,200	311,215
Local Assistance	72,102	107,569	144,810	204,810	254,810	304,810
State Operations	2,328	3,815	4,044	4,110	4,108	4,123
Personal Service	1,873	2,982	3,208	3,274	3,260	3,260
Non-Personal Service/Indirect Costs	455	833	836	836	848	863
General State Charges	1,069	1,906	2,046	2,160	2,282	2,282
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
State Operations	5,552	5,696	5,708	5,936	6,114	6,220
Personal Service	4,238	4,312	4,347	4,548	4,483	4,573
Non-Personal Service/Indirect Costs	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
State Operations	10	30	30	30	30	30
Non-Personal Service/Indirect Costs	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
State Operations	14	38	38	38	38	38
Non-Personal Service/Indirect Costs	14	38	38	38	38	38
Military and Naval Affairs, Division of	25,915	23,255	23,036	23,334	24,067	24,551
Local Assistance	819	820	820	820	886	904
State Operations	25,090	22,376	22,207	22,505	23,171	23,637
Personal Service	15,083	14,322	14,402	14,700	14,776	15,073
Non-Personal Service/Indirect Costs	10,007	8,054	7,805	7,805	8,395	8,564
General State Charges	6	59	9	9	10	10
State Police, Division of	756,006	751,938	756,434	782,656	797,835	813,032
State Operations	741,934	728,038	728,024	753,703	768,512	783,509
Personal Service	677,367	666,051	667,795	693,405	706,142	720,303
Non-Personal Service/Indirect Costs	64,567	61,987	60,229	60,298	62,370	63,206
General State Charges	14,072	23,900	28,410	28,953	29,323	29,523
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	30,137	30,382	30,506	30,949	31,117	31,732
Personal Service	11,094	11,758	11,882	12,325	12,121	12,356
Non-Personal Service/Indirect Costs	19,043	18,624	18,624	18,624	18,996	19,376
Victim Services, Office of	26,080	33,557	36,345	36,462	37,111	37,111
Local Assistance	20,728	27,956	30,744	30,744	31,398	31,398
State Operations	3,714	3,951	3,951	4,068	4,030	4,030
Personal Service	3,184	3,176	3,176	3,293	3,242	3,242
Non-Personal Service/Indirect Costs	530	775	775	775	788	788
General State Charges	1,638	1,650	1,650	1,650	1,683	1,683
Functional Total	3,809,670	4,032,471	3,998,247	4,187,345	4,305,034	4,453,019

HIGHER EDUCATION

City University of New York	1,568,018	1,588,979	1,613,611	1,659,865	1,695,752	1,730,582
Local Assistance	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
State Operations	102,720	95,092	96,477	97,406	98,346	99,294
Personal Service	52,623	48,148	48,594	49,045	49,501	49,961
Non-Personal Service/Indirect Costs	50,097	46,944	47,883	48,361	48,845	49,333
General State Charges	145	150	150	150	150	150
Higher Education - Miscellaneous	337	441	441	441	441	441
State Operations	256	291	291	291	291	291
Personal Service	169	198	198	198	198	198
Non-Personal Service/Indirect Costs	87	93	93	93	93	93
General State Charges	81	150	150	150	150	150
Higher Education Services Corporation, New York State	929,204	1,078,743	1,010,918	953,681	963,248	965,782
Local Assistance	879,322	1,026,449	958,624	901,387	910,954	913,488
State Operations	41,751	43,274	43,274	43,274	43,274	43,274
Personal Service	13,380	12,916	12,916	12,916	12,916	12,916
Non-Personal Service/Indirect Costs	28,371	30,358	30,358	30,358	30,358	30,358
General State Charges	8,131	9,020	9,020	9,020	9,020	9,020
State University of New York	6,999,905	7,076,170	7,210,511	7,502,398	7,608,026	7,839,860
Local Assistance	488,783	482,289	469,466	470,632	470,632	469,632
State Operations	6,080,263	6,080,073	6,202,986	6,464,736	6,555,201	6,760,705
Personal Service	3,753,285	4,012,048	4,183,028	4,408,663	4,460,781	4,617,493
Non-Personal Service/Indirect Costs	2,326,978	2,068,025	2,019,958	2,056,073	2,094,420	2,143,212
General State Charges	430,859	513,808	538,059	567,030	582,193	609,523
Functional Total	9,497,464	9,744,333	9,835,481	10,116,385	10,267,467	10,536,665

EDUCATION

Arts, Council on the	39,210	45,413	45,253	45,349	45,253	45,253
Local Assistance	34,950	41,093	40,933	40,933	40,933	40,933
State Operations	4,260	4,320	4,320	4,416	4,320	4,320
Personal Service	2,626	2,498	2,498	2,594	2,498	2,498
Non-Personal Service/Indirect Costs	1,634	1,822	1,822	1,822	1,822	1,822
Education, Department of	30,372,803	31,337,751	31,889,333	32,957,946	34,158,225	35,408,269
School Aid	25,396,252	26,369,033	27,139,334	28,273,632	29,478,854	30,748,052
Local Assistance	25,396,252	26,369,033	27,139,334	28,273,632	29,478,854	30,748,052
School Aid – Other	61,169	99,342	78,195	78,195	78,195	78,195
Local Assistance	61,169	99,342	78,195	78,195	78,195	78,195
STAR Property Tax Relief	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
Local Assistance	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
Special Education Categorical Programs	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
Local Assistance	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
All Other	1,062,532	1,150,517	1,136,172	1,115,782	1,138,653	1,173,586
Local Assistance	883,117	968,126	960,098	934,521	961,555	995,911
State Operations	144,872	144,928	140,897	143,959	140,749	140,726
Personal Service	90,372	88,090	84,363	87,672	84,298	84,264
Non-Personal Service/Indirect Costs	54,500	56,838	56,534	56,287	56,451	56,462
General State Charges	34,543	37,463	35,177	37,302	36,349	36,949
Functional Total	30,412,013	31,383,164	31,934,586	33,003,295	34,203,478	35,453,522

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
GENERAL GOVERNMENT						
Budget, Division of the	27,312	30,423	29,596	30,603	29,596	29,596
State Operations	26,600	28,766	27,939	28,886	27,939	27,939
Personal Service	21,683	24,567	24,567	25,511	24,567	24,567
Non-Personal Service/Indirect Costs	4,917	4,199	3,372	3,375	3,372	3,372
General State Charges	712	1,657	1,657	1,717	1,657	1,657
Civil Service, Department of	14,975	13,945	14,351	14,848	14,890	14,911
State Operations	14,795	13,716	14,120	14,604	14,649	14,666
Personal Service	14,053	12,870	13,658	14,142	13,752	13,752
Non-Personal Service/Indirect Costs	742	846	462	462	897	914
General State Charges	180	229	231	244	241	245
Deferred Compensation Board	675	846	891	896	900	904
State Operations	451	641	648	649	648	648
Personal Service	408	410	413	414	413	413
Non-Personal Service/Indirect Costs	43	231	235	235	235	235
General State Charges	224	205	243	247	252	256
Elections, State Board of	7,813	9,837	10,147	10,583	10,054	9,428
Local Assistance	50	0	0	0	0	0
State Operations	7,763	9,837	10,147	10,583	10,054	9,428
Personal Service	6,077	6,143	6,288	6,571	6,478	6,316
Non-Personal Service/Indirect Costs	1,686	3,694	3,859	4,012	3,576	3,112
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
State Operations	3,569	3,863	11,444	6,542	6,444	6,445
Personal Service	2,515	3,750	11,248	6,346	6,248	6,248
Non-Personal Service/Indirect Costs	1,054	113	196	196	196	197
Gaming Commission, New York State	176,850	243,212	207,583	210,000	207,583	207,583
Local Assistance	65,779	144,930	116,850	116,850	116,850	116,850
State Operations	94,531	80,707	73,158	74,685	73,158	73,158
Personal Service	34,862	38,736	38,687	40,178	38,687	38,687
Non-Personal Service/Indirect Costs	59,669	41,971	34,471	34,507	34,471	34,471
General State Charges	16,540	17,575	17,575	18,465	17,575	17,575
General Services, Office of	143,940	137,504	103,331	102,167	100,769	102,396
State Operations	143,571	136,956	102,783	101,584	100,194	101,811
Personal Service	67,107	48,388	26,373	29,798	27,381	27,381
Non-Personal Service/Indirect Costs	76,464	88,568	76,410	71,786	72,813	74,430
General State Charges	369	548	548	583	575	585
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
State Operations	7,166	7,427	7,487	7,826	8,061	8,210
Personal Service	6,223	6,600	6,648	6,970	6,857	6,994
Non-Personal Service/Indirect Costs	943	827	839	856	1,204	1,216
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
State Operations	24,773	31,300	31,306	31,517	31,306	31,306
Personal Service	7,747	5,446	5,487	5,698	5,487	5,487
Non-Personal Service/Indirect Costs	17,026	25,854	25,819	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	2,349	2,949	3,049	3,121	3,059	3,059
Local Assistance	791	1,285	1,385	1,385	1,412	1,412
State Operations	1,558	1,664	1,664	1,736	1,647	1,647
Personal Service	1,412	1,469	1,491	1,524	1,431	1,431
Non-Personal Service/Indirect Costs	146	195	173	212	216	216
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
State Operations	3,260	3,604	3,634	3,764	3,634	3,634
Personal Service	3,059	3,313	3,338	3,468	3,338	3,338
Non-Personal Service/Indirect Costs	201	291	296	296	296	296
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State Operations	5,115	5,576	5,630	5,835	6,010	6,119
Personal Service	4,386	4,646	4,681	4,867	4,827	4,924
Non-Personal Service/Indirect Costs	729	930	949	968	1,183	1,195
State, Department of	64,267	68,057	64,929	60,278	59,444	59,461
Local Assistance	10,035	14,961	12,537	5,537	5,537	5,537
State Operations	43,799	40,986	39,468	40,580	39,468	39,468
Personal Service	29,257	27,741	26,754	27,842	26,754	26,754
Non-Personal Service/Indirect Costs	14,542	13,245	12,714	12,738	12,714	12,714
General State Charges	10,433	12,110	12,924	14,161	14,439	14,456
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	2,688	3,040	3,040	3,150	3,040	3,040
Personal Service	2,541	2,870	2,870	2,980	2,870	2,870
Non-Personal Service/Indirect Costs	147	170	170	170	170	170
Taxation and Finance, Department of	345,192	358,276	368,922	381,089	368,962	368,322
Local Assistance	1,542	2,726	2,726	2,726	2,726	2,726
State Operations	321,015	334,267	344,887	355,796	344,845	344,278
Personal Service	275,658	263,707	272,371	281,655	272,500	272,386
Non-Personal Service/Indirect Costs	45,357	70,560	72,516	74,141	72,345	71,892
General State Charges	22,635	21,283	21,309	22,567	21,391	21,318
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
State Operations	535,977	544,532	540,200	550,613	557,169	568,327
Personal Service	289,994	298,499	291,520	307,987	303,329	309,410
Non-Personal Service/Indirect Costs	245,983	246,033	248,680	242,626	253,840	258,917
Veterans' Affairs, Division of	13,791	16,028	14,076	14,322	14,257	14,257
Local Assistance	7,906	9,792	7,840	7,840	7,840	7,840
State Operations	5,885	6,236	6,236	6,482	6,417	6,417
Personal Service	5,512	5,938	5,938	6,178	6,107	6,107
Non-Personal Service/Indirect Costs	373	298	298	304	310	310
Welfare Inspector General, Office of	671	686	701	731	753	768
State Operations	671	686	701	731	753	768
Personal Service	619	621	626	654	646	659
Non-Personal Service/Indirect Costs	52	65	75	77	107	109
Workers' Compensation Board	193,366	196,508	196,439	205,865	196,439	196,439
State Operations	140,971	143,288	143,219	148,002	143,219	143,219
Personal Service	85,117	84,959	84,892	89,608	84,892	84,892
Non-Personal Service/Indirect Costs	55,854	58,329	58,327	58,394	58,327	58,327
General State Charges	52,395	53,220	53,220	57,863	53,220	53,220
Functional Total	1,573,749	1,677,613	1,616,756	1,643,750	1,622,370	1,634,205

ELECTED OFFICIALS

Audit and Control, Department of	180,421	188,511	191,670	194,334	191,670	191,670
Local Assistance	32,025	32,024	32,024	32,024	32,024	32,024
State Operations	146,719	154,352	157,449	160,113	157,449	157,449
Personal Service	119,019	123,015	126,111	128,775	126,111	126,111
Non-Personal Service/Indirect Costs	27,700	31,337	31,338	31,338	31,338	31,338
General State Charges	1,677	2,135	2,197	2,197	2,197	2,197
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
State Operations	13,560	13,578	13,578	14,032	13,578	13,578
Personal Service	9,979	11,113	11,113	11,567	11,113	11,113
Non-Personal Service/Indirect Costs	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	2,945,329	3,019,499	3,110,200	3,167,201	3,110,201	3,110,501
Local Assistance	114,224	112,200	129,900	129,901	129,901	129,901
State Operations	2,080,909	2,124,175	2,165,700	2,222,700	2,165,700	2,166,000
Personal Service	1,624,889	1,658,975	1,709,800	1,766,800	1,709,800	1,710,100
Non-Personal Service/Indirect Costs	456,020	465,200	455,900	455,900	455,900	455,900
General State Charges	750,196	783,124	814,600	814,600	814,600	814,600
Law, Department of	200,091	198,698	202,303	207,704	202,303	202,303
State Operations	183,513	179,396	182,504	187,099	182,504	182,504
Personal Service	132,481	126,309	129,145	133,741	129,145	129,145
Non-Personal Service/Indirect Costs	51,032	53,087	53,359	53,358	53,359	53,359
General State Charges	16,578	19,302	19,799	20,605	19,799	19,799
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
State Operations	223,372	229,858	234,395	239,106	243,869	243,869
Personal Service	174,350	175,541	179,691	182,633	186,286	186,286
Non-Personal Service/Indirect Costs	49,022	54,317	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	492	614	614	634	614	614
State Operations	492	614	614	634	614	614
Personal Service	382	523	523	543	523	523
Non-Personal Service/Indirect Costs	110	91	91	91	91	91
Functional Total	3,563,265	3,650,758	3,752,760	3,823,011	3,762,235	3,762,535

LOCAL GOVERNMENT ASSISTANCE

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<i>Aid and Incentives for Municipalities</i>	721,920	723,783	672,208	704,192	704,192	704,192
Local Assistance	721,789	723,533	672,208	704,192	704,192	704,192
State Operations	131	250	0	0	0	0
Non-Personal Service/Indirect Costs	131	250	0	0	0	0
<i>County-Wide Shared Services Initiative</i>	0	0	40,000	65,000	60,000	60,000
Local Assistance	0	0	40,000	65,000	60,000	60,000
<i>Efficiency Incentive Grants Program</i>	348	0	0	0	0	0
Local Assistance	348	0	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	9,270	12,001	2,250	2,250	2,250	2,250
Local Assistance	9,270	12,001	2,250	2,250	2,250	2,250
<i>Municipalities with VLT Facilities</i>	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
<i>Small Government Assistance</i>	217	218	218	218	218	218
Local Assistance	217	218	218	218	218	218
Functional Total	760,640	764,887	743,561	800,545	795,545	795,545
ALL OTHER CATEGORIES						
<i>General State Charges</i>	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
<i>Long-Term Debt Service</i>	5,914,725	6,013,692	5,739,845	7,085,247	7,107,277	7,392,282
State Operations	41,887	39,183	45,706	44,359	44,359	44,359
Non-Personal Service/Indirect Costs	41,887	39,183	45,706	44,359	44,359	44,359
Debt Service	5,872,838	5,974,509	5,694,139	7,040,888	7,062,918	7,347,923
<i>Miscellaneous</i>	(728)	(167,184)	344,249	342,919	335,047	324,057
Local Assistance	(56,833)	(219,943)	198,478	128,081	120,204	109,204
State Operations	47,660	46,991	140,001	209,056	209,056	209,056
Personal Service	2,194	2,275	95,285	164,340	164,340	164,340
Non-Personal Service/Indirect Costs	45,466	44,716	44,716	44,716	44,716	44,716
General State Charges	8,445	5,768	5,770	5,782	5,787	5,797
Functional Total	10,756,813	12,471,923	13,459,258	14,671,984	15,280,261	16,168,231
TOTAL STATE OPERATING FUNDS SPENDING	98,151,002	100,144,385	101,956,834	106,911,692	110,362,803	114,269,292

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	71,270	78,000	69,912	66,692	81,538	81,573
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development, Department of	72,278	66,712	64,856	64,856	69,706	69,706
Empire State Development Corporation	124,880	96,477	71,054	76,054	76,054	76,054
Financial Services, Department of	357,557	374,003	369,050	387,954	381,591	381,591
Olympic Regional Development Authority	2,736	11,293	8,676	8,676	8,676	8,676
Public Service Department	84,881	77,674	80,221	82,664	79,946	79,075
Functional Total	725,421	716,642	675,013	698,456	708,755	707,919
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,469	4,556	4,714	4,556	4,556
Environmental Conservation, Department of	260,839	264,427	247,689	262,442	254,490	255,352
Parks, Recreation and Historic Preservation, Office of	179,334	183,719	165,231	171,701	166,830	166,830
Functional Total	444,641	452,615	417,476	438,857	425,876	426,738
TRANSPORTATION						
Motor Vehicles, Department of	71,728	84,516	83,653	86,529	86,862	86,862
Transportation, Department of	5,034,223	4,218,603	3,868,031	3,904,161	4,038,152	4,189,152
Functional Total	5,105,951	4,303,119	3,951,684	3,990,690	4,125,014	4,276,014
HEALTH						
Aging, Office for the	125,380	130,510	143,493	148,592	153,731	159,042
Health, Department of	21,460,861	22,370,029	23,204,044	24,302,173	25,517,536	26,390,399
<i>Medical Assistance</i>	18,857,443	19,378,607	20,374,096	21,303,834	22,425,007	23,298,760
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	583,789	917,523	706,489	687,088	673,758	663,574
<i>Public Health</i>	1,931,991	1,987,176	2,039,235	2,232,494	2,342,374	2,355,612
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
Functional Total	21,604,523	22,518,650	23,362,855	24,466,690	25,686,585	26,564,759
SOCIAL WELFARE						
Children and Family Services, Office of	1,851,516	1,982,382	1,753,385	1,990,927	2,047,531	2,048,114
<i>OCFS</i>	1,774,154	1,897,640	1,662,578	1,896,940	1,949,380	1,949,963
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	72,665	94,145	108,499	127,781	130,267	130,267
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
Labor, Department of	83,203	81,668	72,156	74,893	76,435	76,435
National and Community Service	770	690	690	699	699	699
Temporary and Disability Assistance, Office of	1,352,404	1,282,103	1,476,401	1,489,194	1,495,714	1,498,514
<i>Welfare Assistance</i>	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
<i>All Other</i>	215,080	229,282	249,535	256,828	263,348	266,148
Functional Total	3,370,023	3,450,909	3,421,052	3,694,084	3,760,876	3,764,259
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	441,089	437,642	450,041	468,798	483,875	503,357
<i>OASAS</i>	348,092	372,633	384,032	401,347	415,697	434,785
<i>OASAS - Other</i>	92,997	65,009	66,009	67,451	68,178	68,572
Justice Center	40,136	42,998	43,596	45,523	44,888	45,518
Mental Health, Office of	3,182,496	2,640,444	2,507,149	2,638,844	2,742,524	2,838,685
<i>OMH</i>	1,459,290	1,321,927	1,428,781	1,517,894	1,583,165	1,650,859
<i>OMH - Other</i>	1,723,206	1,318,517	1,078,368	1,120,950	1,159,359	1,187,826
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,856,217	1,787,319	2,223,435	2,148,020	2,338,321
<i>OPWDD</i>	407,423	388,452	362,132	357,561	367,738	378,600
<i>OPWDD - Other</i>	2,455,481	1,467,765	1,425,187	1,865,874	1,780,282	1,959,721
Functional Total	6,526,829	4,977,301	4,788,105	5,376,600	5,419,307	5,725,881

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,633,748	2,757,211	2,712,430	2,756,068	2,797,152	2,875,047
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	222,524	203,198	204,173	203,995	204,742
Homeland Security and Emergency Services, Division of	60,960	89,899	74,971	131,846	143,640	146,566
Indigent Legal Services, Office of	75,499	113,290	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	25,915	23,255	23,036	23,334	24,067	24,551
State Police, Division of	756,006	751,938	756,434	782,656	797,835	813,032
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	26,080	33,557	36,345	36,462	37,111	37,111
Functional Total	3,809,670	4,032,471	3,998,247	4,187,345	4,305,034	4,453,019
HIGHER EDUCATION						
City University of New York	1,568,018	1,588,979	1,613,611	1,659,865	1,695,752	1,730,582
Higher Education - Miscellaneous	337	441	441	441	441	441
Higher Education Services Corporation, New York State	929,204	1,078,743	1,010,918	953,681	963,248	965,782
State University of New York	6,999,905	7,076,170	7,210,511	7,502,398	7,608,026	7,839,860
Functional Total	9,497,464	9,744,333	9,835,481	10,116,385	10,267,467	10,536,665
EDUCATION						
Arts, Council on the	39,210	45,413	45,253	45,349	45,253	45,253
Education, Department of	30,372,803	31,337,751	31,889,333	32,957,946	34,158,225	35,408,269
<i>School Aid</i>	25,396,252	26,369,033	27,139,334	28,273,632	29,478,854	30,748,052
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
<i>All Other</i>	1,062,532	1,150,517	1,136,172	1,115,782	1,138,653	1,173,586
Functional Total	30,412,013	31,383,164	31,934,586	33,003,295	34,203,478	35,453,522
GENERAL GOVERNMENT						
Budget, Division of the	27,312	30,423	29,596	30,603	29,596	29,596
Civil Service, Department of	14,975	13,945	14,351	14,848	14,890	14,911
Deferred Compensation Board	675	846	891	896	900	904
Elections, State Board of	7,813	9,837	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	243,212	207,583	210,000	207,583	207,583
General Services, Office of	143,940	137,504	103,331	102,167	100,769	102,396
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,349	2,949	3,049	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	64,267	68,057	64,929	60,278	59,444	59,461
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	345,192	358,276	368,922	381,089	368,962	368,322
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
Veterans' Affairs, Division of	13,791	16,028	14,076	14,322	14,257	14,257
Welfare Inspector General, Office of	671	686	701	731	753	768
Workers' Compensation Board	193,366	196,508	196,439	205,865	196,439	196,439
Functional Total	1,573,749	1,677,613	1,616,756	1,643,750	1,622,370	1,634,205
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	188,511	191,670	194,334	191,670	191,670
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,945,329	3,019,499	3,110,200	3,167,201	3,110,201	3,110,501
Law, Department of	200,091	198,698	202,303	207,704	202,303	202,303
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	3,563,265	3,650,758	3,752,760	3,823,011	3,762,235	3,762,535
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	723,783	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	760,640	764,887	743,561	800,545	795,545	795,545

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Long-Term Debt Service	5,914,725	6,013,692	5,739,845	7,085,247	7,107,277	7,392,282
Miscellaneous	(728)	(167,184)	344,249	342,919	335,047	324,057
Functional Total	<u>10,756,813</u>	<u>12,471,923</u>	<u>13,459,258</u>	<u>14,671,984</u>	<u>15,280,261</u>	<u>16,168,231</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>98,151,002</u>	<u>100,144,385</u>	<u>101,956,834</u>	<u>106,911,692</u>	<u>110,362,803</u>	<u>114,269,292</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	38,271	29,197	25,197	25,347	25,347
Economic Development, Department of	52,871	49,393	47,537	47,537	52,387	52,387
Empire State Development Corporation	124,880	96,477	71,054	76,054	76,054	76,054
Financial Services, Department of	57,254	64,954	58,527	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Public Service Department	102	136	122	122	122	122
Functional Total	267,425	251,591	206,437	207,406	212,406	212,406
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,491	4,095	1,199	1,199	1,199	1,199
Parks, Recreation and Historic Preservation, Office of	7,087	5,856	3,950	3,950	3,950	3,950
Functional Total	9,578	9,951	5,149	5,149	5,149	5,149
TRANSPORTATION						
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	5,023,364	3,936,260	3,534,080	3,564,712	3,693,873	3,844,873
Functional Total	5,024,964	3,936,635	3,534,080	3,564,712	3,693,873	3,844,873
HEALTH						
Aging, Office for the	124,180	129,278	141,280	146,336	151,518	156,829
Health, Department of	20,687,849	21,520,246	22,364,714	23,465,888	24,682,270	25,562,524
<i>Medical Assistance</i>	18,857,443	19,378,607	20,374,096	21,303,834	22,425,007	23,298,760
<i>Medicaid Administration</i>	286,336	585,173	433,149	416,867	401,295	392,511
<i>Public Health</i>	1,544,070	1,556,466	1,557,469	1,745,187	1,855,968	1,871,253
Functional Total	20,812,029	21,649,524	22,505,994	23,612,224	24,833,788	25,719,353
SOCIAL WELFARE						
Children and Family Services, Office of	1,608,134	1,691,071	1,487,310	1,605,915	1,659,846	1,660,429
<i>OCFS</i>	1,530,772	1,606,329	1,396,503	1,511,928	1,561,695	1,562,278
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	4,410	10,558	24,912	40,942	41,617	41,617
Labor, Department of	12,666	14,662	5,150	5,150	5,150	5,150
National and Community Service	477	350	350	350	350	350
Temporary and Disability Assistance, Office of	1,229,518	1,154,914	1,343,062	1,351,362	1,355,162	1,357,962
<i>Welfare Assistance</i>	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
<i>All Other</i>	92,194	102,093	116,196	118,996	122,796	125,596
Functional Total	2,855,205	2,871,555	2,860,784	3,003,719	3,062,125	3,065,508
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	322,661	354,045	364,604	380,860	395,565	414,168
<i>OASAS</i>	301,336	332,720	343,279	359,535	374,240	392,843
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	649	649	649	649	649
Mental Health, Office of	1,181,309	1,291,388	1,146,523	1,243,222	1,310,607	1,377,261
<i>OMH</i>	915,920	992,063	1,069,697	1,155,477	1,211,393	1,269,804
<i>OMH - Other</i>	265,389	299,325	76,826	87,745	99,214	107,457
People with Developmental Disabilities, Office for	845,938	529,772	466,369	874,139	783,540	956,029
<i>OPWDD</i>	407,275	388,271	361,951	357,380	367,557	378,419
<i>OPWDD - Other</i>	438,663	141,501	104,418	516,759	415,983	577,610
Functional Total	2,350,527	2,175,854	1,978,145	2,498,870	2,490,361	2,748,107
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	156,618	185,704	166,433	166,433	166,433	166,433
Homeland Security and Emergency Services, Division of	30,642	52,539	35,941	91,542	100,839	102,925
Indigent Legal Services, Office of	72,102	107,569	144,810	204,810	254,810	304,810
Military and Naval Affairs, Division of	819	820	820	820	886	904
Victim Services, Office of	20,728	27,956	30,744	30,744	31,398	31,398
Functional Total	286,595	381,424	385,584	501,185	559,202	611,306
HIGHER EDUCATION						
City University of New York	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
Higher Education Services Corporation, New York State	879,322	1,026,449	958,624	901,387	910,954	913,488
State University of New York	488,783	482,289	469,466	470,632	470,632	469,632
Functional Total	2,833,258	3,002,475	2,945,074	2,934,328	2,978,842	3,014,258

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
EDUCATION						
Arts, Council on the	34,950	41,093	40,933	40,933	40,933	40,933
Education, Department of	30,193,388	31,155,360	31,713,259	32,776,685	33,981,127	35,230,594
<i>School Aid</i>	25,396,252	26,369,033	27,139,334	28,273,632	29,478,854	30,748,052
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
<i>All Other</i>	883,117	968,126	960,098	934,521	961,555	995,911
Functional Total	30,228,338	31,196,453	31,754,192	32,817,618	34,022,060	35,271,527
GENERAL GOVERNMENT						
Elections, State Board of	50	0	0	0	0	0
Gaming Commission, New York State	65,779	144,930	116,850	116,850	116,850	116,850
Prevention of Domestic Violence, Office for	791	1,285	1,385	1,385	1,412	1,412
State, Department of	10,035	14,961	12,537	5,537	5,537	5,537
Taxation and Finance, Department of	1,542	2,726	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,906	9,792	7,840	7,840	7,840	7,840
Functional Total	86,103	173,694	141,338	134,338	134,365	134,365
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024	32,024
Judiciary	114,224	112,200	129,900	129,901	129,901	129,901
Functional Total	146,249	144,224	161,924	161,925	161,925	161,925
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	723,533	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	760,509	764,637	743,561	800,545	795,545	795,545
ALL OTHER CATEGORIES						
Miscellaneous	(56,833)	(219,943)	198,478	128,081	120,204	109,204
Functional Total	(56,833)	(219,943)	198,478	128,081	120,204	109,204
TOTAL LOCAL ASSISTANCE SPENDING	65,603,947	66,338,074	67,420,740	70,370,100	73,069,845	75,693,526

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,697	37,838	38,822	39,502	54,232	54,232
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development, Department of	19,405	17,291	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	208,877	208,699	214,981	208,844	208,844
Olympic Regional Development Authority	2,736	8,933	8,676	8,676	8,676	8,676
Public Service Department	52,384	50,777	52,311	53,016	50,850	49,470
Functional Total	333,420	336,199	337,043	345,026	351,137	349,757
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,469	4,556	4,714	4,556	4,556
Environmental Conservation, Department of	212,775	208,932	198,781	211,366	204,921	204,921
Parks, Recreation and Historic Preservation, Office of	168,983	174,711	159,321	165,744	160,939	160,939
Functional Total	386,226	388,112	362,658	381,824	370,416	370,416
TRANSPORTATION						
Motor Vehicles, Department of	49,142	60,826	59,535	60,566	60,247	60,247
Transportation, Department of	9,139	280,219	331,754	337,037	341,822	341,822
Functional Total	58,281	341,045	391,289	397,603	402,069	402,069
HEALTH						
Aging, Office for the	1,200	1,232	2,213	2,256	2,213	2,213
Health, Department of	741,299	812,103	803,523	798,700	796,396	788,991
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	297,453	332,350	272,759	269,640	271,882	270,482
<i>Public Health</i>	356,208	393,030	446,540	450,303	448,117	446,056
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
Functional Total	760,781	831,446	821,054	816,881	813,927	806,522
SOCIAL WELFARE						
Children and Family Services, Office of	241,724	288,900	263,944	382,762	385,419	385,419
<i>OCFS</i>	241,724	288,900	263,944	382,762	385,419	385,419
Housing and Community Renewal, Division of	52,210	57,666	57,666	60,918	59,500	59,500
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
Labor, Department of	49,642	46,519	46,519	49,256	48,280	48,280
National and Community Service	293	340	340	349	349	349
Temporary and Disability Assistance, Office of	122,874	127,061	133,211	137,704	140,424	140,424
<i>All Other</i>	122,874	127,061	133,211	137,704	140,424	140,424
Functional Total	476,208	530,407	511,601	641,579	644,202	644,202
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	81,472	83,597	85,437	87,938	88,310	89,189
<i>OASAS</i>	31,964	39,913	40,753	41,812	41,457	41,942
<i>OASAS - Other</i>	49,508	43,684	44,684	46,126	46,853	47,247
Justice Center	38,868	41,460	42,049	43,950	43,291	43,896
Mental Health, Office of	1,350,460	1,349,056	1,360,626	1,395,622	1,431,917	1,461,424
<i>OMH</i>	349,973	329,864	359,084	362,417	371,772	381,055
<i>OMH - Other</i>	1,000,487	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,326,445	1,320,950	1,349,296	1,364,480	1,382,292
<i>OPWDD</i>	148	181	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Functional Total	2,804,169	2,800,558	2,809,062	2,876,806	2,927,998	2,976,801
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,627,945	2,752,269	2,707,488	2,751,126	2,792,210	2,870,105
Criminal Justice Services, Division of	36,502	36,785	36,730	37,705	37,527	38,273
Homeland Security and Emergency Services, Division of	29,703	36,551	38,213	39,469	41,896	42,736
Indigent Legal Services, Office of	2,328	3,815	4,044	4,110	4,108	4,123
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	25,090	22,376	22,207	22,505	23,171	23,637
State Police, Division of	741,934	728,038	728,024	753,703	768,512	783,509
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	3,714	3,951	3,951	4,068	4,030	4,030
Functional Total	3,505,523	3,622,582	3,579,590	3,652,412	3,711,488	3,807,168
HIGHER EDUCATION						
City University of New York	102,720	95,092	96,477	97,406	98,346	99,294

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Higher Education - Miscellaneous	256	291	291	291	291	291
Higher Education Services Corporation, New York State State University of New York	41,751	43,274	43,274	43,274	43,274	43,274
Functional Total	6,080,263	6,080,073	6,202,986	6,464,736	6,555,201	6,760,705
	6,224,990	6,218,730	6,343,028	6,605,707	6,697,112	6,903,564
EDUCATION						
Arts, Council on the	4,260	4,320	4,320	4,416	4,320	4,320
Education, Department of	144,872	144,928	140,897	143,959	140,749	140,726
<i>All Other</i>	144,872	144,928	140,897	143,959	140,749	140,726
Functional Total	149,132	149,248	145,217	148,375	145,069	145,046
GENERAL GOVERNMENT						
Budget, Division of the	26,600	28,766	27,939	28,886	27,939	27,939
Civil Service, Department of	14,795	13,716	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	641	648	649	648	648
Elections, State Board of	7,763	9,837	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	80,707	73,158	74,685	73,158	73,158
General Services, Office of	143,571	136,956	102,783	101,584	100,194	101,811
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	1,558	1,664	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	43,799	40,986	39,468	40,580	39,468	39,468
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	321,015	334,267	344,887	355,796	344,845	344,278
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
Veterans' Affairs, Division of	5,885	6,236	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	686	701	731	753	768
Workers' Compensation Board	140,971	143,288	143,219	148,002	143,219	143,219
Functional Total	1,384,158	1,397,092	1,367,711	1,393,565	1,378,655	1,390,528
ELECTED OFFICIALS						
Audit and Control, Department of	146,719	154,352	157,449	160,113	157,449	157,449
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,080,909	2,124,175	2,165,700	2,222,700	2,165,700	2,166,000
Law, Department of	183,513	179,396	182,504	187,099	182,504	182,504
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	2,648,565	2,701,973	2,754,240	2,823,684	2,763,714	2,764,014
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	131	250	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	39,183	45,706	44,359	44,359	44,359
Miscellaneous	47,660	46,991	140,001	209,056	209,056	209,056
Functional Total	89,547	86,174	185,707	253,415	253,415	253,415
TOTAL STATE OPERATIONS SPENDING	18,821,131	19,403,816	19,608,200	20,336,877	20,459,202	20,813,502

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,802	29,423	29,878	31,503	30,613	30,613
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585	8,585
Economic Development, Department of	13,243	12,929	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,666	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	42,867	42,100	41,745	43,683	42,085	42,098
Functional Total	251,737	254,860	252,227	262,090	253,443	253,456
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	4,108	4,243	4,401	4,243	4,243
Environmental Conservation, Department of	175,514	171,136	163,044	171,554	165,189	165,189
Parks, Recreation and Historic Preservation, Office of	130,555	135,268	125,462	131,883	127,078	127,078
Functional Total	310,248	310,512	292,749	307,838	296,510	296,510
TRANSPORTATION						
Motor Vehicles, Department of	36,244	45,018	43,263	44,207	44,497	44,497
Transportation, Department of	2,803	161,818	162,384	162,506	162,396	162,396
Functional Total	39,047	206,836	205,647	206,713	206,893	206,893
HEALTH						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	252,883	280,249	296,035	302,540	297,658	297,862
<i>Essential Plan</i>	3,148	4,206	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	37,196	51,113	50,288	53,331	51,840	51,756
<i>Public Health</i>	212,539	224,930	241,167	244,791	241,434	241,619
Medicaid Inspector General, Office of the	15,864	14,936	14,053	14,660	14,053	14,053
Functional Total	269,850	296,310	311,944	319,099	313,567	313,771
SOCIAL WELFARE						
Children and Family Services, Office of	170,908	192,290	171,986	266,849	266,958	266,958
<i>OCFS</i>	170,908	192,290	171,986	266,849	266,958	266,958
Housing and Community Renewal, Division of	41,535	47,298	47,298	50,120	48,544	48,544
Human Rights, Division of	8,662	9,461	9,461	10,121	9,752	9,752
Labor, Department of	34,323	32,618	32,618	34,864	33,620	33,620
National and Community Service	292	331	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,356	73,340	76,709	78,259	78,259
<i>All Other</i>	64,895	68,356	73,340	76,709	78,259	78,259
Functional Total	320,615	350,354	335,034	439,003	437,473	437,473
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	56,428	59,917	60,604	62,406	62,052	62,686
<i>OASAS</i>	20,354	26,660	27,023	27,809	27,160	27,438
<i>OASAS - Other</i>	36,074	33,257	33,581	34,597	34,892	35,248
Justice Center	31,243	32,769	33,616	35,255	34,325	34,651
Mental Health, Office of	1,070,401	1,094,394	1,099,781	1,123,628	1,136,780	1,152,946
<i>OMH</i>	287,281	277,507	314,854	317,987	326,004	333,792
<i>OMH - Other</i>	783,120	816,887	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	1,129,874	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	124	0	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Functional Total	2,287,946	2,325,652	2,333,150	2,383,580	2,405,223	2,434,614
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,414	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,499	2,191,928	2,145,704	2,193,648	2,239,931	2,314,480
Criminal Justice Services, Division of	26,993	27,555	27,502	28,477	28,033	28,547
Homeland Security and Emergency Services, Division of	16,362	23,313	29,610	30,856	32,215	32,774
Indigent Legal Services, Office of	1,873	2,982	3,208	3,274	3,260	3,260
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,083	14,322	14,402	14,700	14,776	15,073
State Police, Division of	677,367	666,051	667,795	693,405	706,142	720,303
Statewide Financial System	11,094	11,758	11,882	12,325	12,121	12,356
Victim Services, Office of	3,184	3,176	3,176	3,293	3,242	3,242
Functional Total	2,857,009	2,947,811	2,910,040	2,987,057	3,046,691	3,137,096
HIGHER EDUCATION						
City University of New York	52,623	48,148	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	198	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,916	12,916	12,916	12,916	12,916

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State University of New York	3,753,285	4,012,048	4,183,028	4,408,663	4,460,781	4,617,493
Functional Total	3,819,457	4,073,310	4,244,736	4,470,822	4,523,396	4,680,568
EDUCATION						
Arts, Council on the	2,626	2,498	2,498	2,594	2,498	2,498
Education, Department of	90,372	88,090	84,363	87,672	84,298	84,264
<i>All Other</i>	90,372	88,090	84,363	87,672	84,298	84,264
Functional Total	92,998	90,588	86,861	90,266	86,796	86,762
GENERAL GOVERNMENT						
Budget, Division of the	21,683	24,567	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	12,870	13,658	14,142	13,752	13,752
Deferred Compensation Board	408	410	413	414	413	413
Elections, State Board of	6,077	6,143	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	3,750	11,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	38,736	38,687	40,178	38,687	38,687
General Services, Office of	67,107	48,388	26,373	29,798	27,381	27,381
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	5,446	5,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,469	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827	4,924
State, Department of	29,257	27,741	26,754	27,842	26,754	26,754
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	263,707	272,371	281,655	272,500	272,386
Technology, Office for	289,994	298,499	291,520	307,987	303,329	309,410
Veterans' Affairs, Division of	5,512	5,938	5,938	6,178	6,107	6,107
Welfare Inspector General, Office of	619	621	626	654	646	659
Workers' Compensation Board	85,117	84,959	84,892	89,608	84,892	84,892
Functional Total	858,230	840,673	827,850	862,391	836,564	842,616
ELECTED OFFICIALS						
Audit and Control, Department of	119,019	123,015	126,111	128,775	126,111	126,111
Executive Chamber	9,979	11,113	11,113	11,567	11,113	11,113
Judiciary	1,624,889	1,658,975	1,709,800	1,766,800	1,709,800	1,710,100
Law, Department of	132,481	126,309	129,145	133,741	129,145	129,145
Legislature	174,350	175,541	179,691	182,633	186,286	186,286
Lieutenant Governor, Office of the	382	523	523	543	523	523
Functional Total	2,061,100	2,095,476	2,156,383	2,224,059	2,162,978	2,163,278
ALL OTHER CATEGORIES						
Miscellaneous	2,194	2,275	95,285	164,340	164,340	164,340
Functional Total	2,194	2,275	95,285	164,340	164,340	164,340
TOTAL PERSONAL SERVICE SPENDING	13,170,431	13,794,657	14,051,906	14,717,258	14,733,874	15,017,377

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	6,895	8,415	8,944	7,999	23,619	23,619
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659	2,659
Economic Development, Department of	6,162	4,362	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	52,211	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	188	3,338	3,338	3,338	3,338	3,338
Public Service Department	9,517	8,677	10,566	9,333	8,765	7,372
Functional Total	81,683	81,339	84,816	82,936	97,694	96,301
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	361	313	313	313	313
Environmental Conservation, Department of	37,261	37,796	35,737	39,812	39,732	39,732
Parks, Recreation and Historic Preservation, Office of	38,428	39,443	33,859	33,861	33,861	33,861
Functional Total	75,978	77,600	69,909	73,986	73,906	73,906
TRANSPORTATION						
Motor Vehicles, Department of	12,898	15,808	16,272	16,359	15,750	15,750
Transportation, Department of	6,336	118,401	169,370	174,531	179,426	179,426
Functional Total	19,234	134,209	185,642	190,890	195,176	195,176
HEALTH						
Aging, Office for the	97	107	357	357	357	357
Health, Department of	488,416	531,854	507,488	496,160	498,738	491,129
<i>Essential Plan</i>	84,490	82,517	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	260,257	281,237	222,471	216,309	220,042	218,726
<i>Public Health</i>	143,669	168,100	205,373	205,512	206,683	204,437
Medicaid Inspector General, Office of the	2,418	3,175	1,265	1,265	1,265	1,265
Functional Total	490,931	535,136	509,110	497,782	500,360	492,751
SOCIAL WELFARE						
Children and Family Services, Office of	70,816	96,610	91,958	115,913	118,461	118,461
<i>OCFS</i>	70,816	96,610	91,958	115,913	118,461	118,461
Housing and Community Renewal, Division of	10,675	10,368	10,368	10,798	10,956	10,956
Human Rights, Division of	803	460	460	469	478	478
Labor, Department of	15,319	13,901	13,901	14,392	14,660	14,660
National and Community Service	1	9	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	58,705	59,871	60,995	62,165	62,165
<i>All Other</i>	57,979	58,705	59,871	60,995	62,165	62,165
Functional Total	155,593	180,053	176,567	202,576	206,729	206,729
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,044	23,680	24,833	25,532	26,258	26,503
<i>OASAS</i>	11,610	13,253	13,730	14,003	14,297	14,504
<i>OASAS - Other</i>	13,434	10,427	11,103	11,529	11,961	11,999
Justice Center	7,625	8,691	8,433	8,695	8,966	9,245
Mental Health, Office of	280,059	254,662	260,845	271,994	295,137	308,478
<i>OMH</i>	62,692	52,357	44,230	44,430	45,768	47,263
<i>OMH - Other</i>	217,367	202,305	216,615	227,564	249,369	261,215
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	187,873	181,801	187,005	192,414	197,961
<i>OPWDD</i>	24	181	181	181	181	181
<i>OPWDD - Other</i>	203,267	187,692	181,620	186,824	192,233	197,780
Functional Total	516,223	474,906	475,912	493,226	522,775	542,187
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	237	237	242	247	247
Correctional Services, Department of	529,446	560,341	561,784	557,478	552,279	555,625
Criminal Justice Services, Division of	9,509	9,230	9,228	9,228	9,494	9,726
Homeland Security and Emergency Services, Division of	13,341	13,238	8,603	8,613	9,681	9,962
Indigent Legal Services, Office of	455	833	836	836	848	863
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	10,007	8,054	7,805	7,805	8,395	8,564
State Police, Division of	64,567	61,987	60,229	60,298	62,370	63,206
Statewide Financial System	19,043	18,624	18,624	18,624	18,996	19,376
Victim Services, Office of	530	775	775	775	788	788
Functional Total	648,514	674,771	669,550	665,355	664,797	670,072
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
City University of New York	50,097	46,944	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	93	93	93	93	93
Higher Education Services Corporation, New York State	28,371	30,358	30,358	30,358	30,358	30,358
State University of New York	2,326,978	2,068,025	2,019,958	2,056,073	2,094,420	2,143,212
Functional Total	2,405,533	2,145,420	2,098,292	2,134,885	2,173,716	2,222,996
EDUCATION						
Arts, Council on the	1,634	1,822	1,822	1,822	1,822	1,822
Education, Department of	54,500	56,838	56,534	56,287	56,451	56,462
<i>All Other</i>	54,500	56,838	56,534	56,287	56,451	56,462
Functional Total	56,134	58,660	58,356	58,109	58,273	58,284
GENERAL GOVERNMENT						
Budget, Division of the	4,917	4,199	3,372	3,375	3,372	3,372
Civil Service, Department of	742	846	462	462	897	914
Deferred Compensation Board	43	231	235	235	235	235
Elections, State Board of	1,686	3,694	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	113	196	196	196	197
Gaming Commission, New York State	59,669	41,971	34,471	34,507	34,471	34,471
General Services, Office of	76,464	88,568	76,410	71,786	72,813	74,430
Inspector General, Office of the	943	827	839	856	1,204	1,216
Labor Management Committees	17,026	25,854	25,819	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	146	195	173	212	216	216
Public Employment Relations Board	201	291	296	296	296	296
Public Integrity, Commission on	729	930	949	968	1,183	1,195
State, Department of	14,542	13,245	12,714	12,738	12,714	12,714
Tax Appeals, Division of	147	170	170	170	170	170
Taxation and Finance, Department of	45,357	70,560	72,516	74,141	72,345	71,892
Technology, Office for	245,983	246,033	248,680	242,626	253,840	258,917
Veterans' Affairs, Division of	373	298	298	304	310	310
Welfare Inspector General, Office of	52	65	75	77	107	109
Workers' Compensation Board	55,854	58,329	58,327	58,394	58,327	58,327
Functional Total	525,928	556,419	539,861	531,174	542,091	547,912
ELECTED OFFICIALS						
Audit and Control, Department of	27,700	31,337	31,338	31,338	31,338	31,338
Executive Chamber	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	456,020	465,200	455,900	455,900	455,900	455,900
Law, Department of	51,032	53,087	53,359	53,358	53,359	53,359
Legislature	49,022	54,317	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	91	91	91	91	91
Functional Total	587,465	606,497	597,857	599,625	600,736	600,736
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	131	250	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	39,183	45,706	44,359	44,359	44,359
Miscellaneous	45,466	44,716	44,716	44,716	44,716	44,716
Functional Total	87,353	83,899	90,422	89,075	89,075	89,075
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,650,700	5,609,159	5,556,294	5,619,619	5,725,328	5,796,125

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,255	1,891	1,893	1,993	1,959	1,994
Economic Development, Department of	2	28	28	28	28	28
Financial Services, Department of	90,924	100,172	101,824	114,477	114,251	114,251
Public Service Department	32,395	26,761	27,788	29,526	28,974	29,483
Functional Total	124,576	128,852	131,533	146,024	145,212	145,756
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,573	51,400	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	1,960	2,007	1,941	1,941
Functional Total	48,837	54,552	49,669	51,884	50,311	51,173
TRANSPORTATION						
Motor Vehicles, Department of	20,986	23,315	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	2,124	2,197	2,412	2,457	2,457
Functional Total	22,706	25,439	26,315	28,375	29,072	29,072
HEALTH						
Health, Department of	31,713	37,680	35,807	37,585	38,870	38,884
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	37,680	35,226	37,004	38,289	38,303
Functional Total	31,713	37,680	35,807	37,585	38,870	38,884
SOCIAL WELFARE						
Children and Family Services, Office of	1,658	2,411	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,411	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	25,921	25,921	25,921	29,150	29,150
Labor, Department of	20,895	20,487	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	128	128	128	128	128
<i>All Other</i>	12	128	128	128	128	128
Functional Total	38,610	48,947	48,667	48,786	54,549	54,549
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	889	898	924	948	973
Mental Health, Office of	650,727	0	0	0	0	0
<i>OMH</i>	193,397	0	0	0	0	0
<i>OMH - Other</i>	457,330	0	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,372,133	889	898	924	948	973
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	117	106	106	106	106	106
Criminal Justice Services, Division of	35	35	35	35	35	36
Homeland Security and Emergency Services, Division of	615	809	817	835	905	905
Indigent Legal Services, Office of	1,069	1,906	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	6	59	9	9	10	10
State Police, Division of	14,072	23,900	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683	1,683
Functional Total	17,552	28,465	33,073	33,748	34,344	34,545
HIGHER EDUCATION						
City University of New York	145	150	150	150	150	150
Higher Education - Miscellaneous	81	150	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,020	9,020	9,020	9,020	9,020
State University of New York	430,859	513,808	538,059	567,030	582,193	609,523
Functional Total	439,216	523,128	547,379	576,350	591,513	618,843
EDUCATION						
Education, Department of	34,543	37,463	35,177	37,302	36,349	36,949
<i>All Other</i>	34,543	37,463	35,177	37,302	36,349	36,949
Functional Total	34,543	37,463	35,177	37,302	36,349	36,949
GENERAL GOVERNMENT						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Budget, Division of the	712	1,657	1,657	1,717	1,657	1,657
Civil Service, Department of	180	229	231	244	241	245
Deferred Compensation Board	224	205	243	247	252	256
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575	17,575
General Services, Office of	369	548	548	583	575	585
State, Department of	10,433	12,110	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	21,283	21,309	22,567	21,391	21,318
Workers' Compensation Board	52,395	53,220	53,220	57,863	53,220	53,220
Functional Total	103,488	106,827	107,707	115,847	109,350	109,312
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,135	2,197	2,197	2,197	2,197
Judiciary	750,196	783,124	814,600	814,600	814,600	814,600
Law, Department of	16,578	19,302	19,799	20,605	19,799	19,799
Functional Total	768,451	804,561	836,596	837,402	836,596	836,596
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Miscellaneous	8,445	5,768	5,770	5,782	5,787	5,797
Functional Total	4,851,261	6,631,183	7,380,934	7,249,600	7,843,724	8,457,689
TOTAL GENERAL STATE CHARGES SPENDING	7,853,086	8,427,986	9,233,755	9,163,827	9,770,838	10,414,341

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,264	61,984	12,362	11,415	6,415	5,415
Economic Development Capital	2,751	22,587	20,270	19,569	29,069	29,069
Economic Development, Department of	8,964	13,977	0	5,249	0	0
Empire State Development Corporation	1,082,775	1,446,774	1,765,194	1,808,139	1,659,955	1,912,195
Energy Research and Development Authority	17,109	22,877	20,450	15,720	14,001	13,000
Olympic Regional Development Authority	38,000	50,000	70,000	30,000	10,000	10,000
Power Authority, New York	243	5,250	36,000	36,500	2,000	2,000
Regional Economic Development Program	2,030	3,500	320	320	320	320
Strategic Investment Program	1,625	7,002	6,317	10,494	6,317	6,317
Functional Total	<u>1,161,761</u>	<u>1,633,951</u>	<u>1,930,913</u>	<u>1,937,406</u>	<u>1,728,077</u>	<u>1,978,316</u>
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	657,267	921,994	983,345	1,047,912	1,121,761	1,236,414
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	179,957	178,403	200,232	165,414	165,864	165,414
Functional Total	<u>837,224</u>	<u>1,100,397</u>	<u>1,188,577</u>	<u>1,228,326</u>	<u>1,302,625</u>	<u>1,413,828</u>
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0	0
Motor Vehicles, Department of	221,992	228,463	238,276	249,696	226,511	211,527
Transportation, Department of	4,564,825	4,686,496	4,559,561	4,714,630	4,756,518	4,736,405
Functional Total	<u>4,851,817</u>	<u>5,714,959</u>	<u>5,472,837</u>	<u>5,159,182</u>	<u>4,983,029</u>	<u>4,947,932</u>
HEALTH						
Health, Department of	211,303	580,865	739,365	711,622	690,173	852,081
<i>Public Health</i>	211,303	580,865	739,365	711,622	690,173	852,081
Functional Total	<u>211,303</u>	<u>580,865</u>	<u>739,365</u>	<u>711,622</u>	<u>690,173</u>	<u>852,081</u>
SOCIAL WELFARE						
Children and Family Services, Office of	41,259	68,695	23,626	23,804	23,660	23,673
<i>OCFS</i>	41,259	68,695	23,626	23,804	23,660	23,673
Housing and Community Renewal, Division of	278,873	723,215	581,252	547,023	459,023	459,023
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	48,051	60,650	62,907	62,907	62,907	57,657
<i>All Other</i>	48,051	60,650	62,907	62,907	62,907	57,657
Functional Total	<u>375,134</u>	<u>925,088</u>	<u>712,285</u>	<u>649,783</u>	<u>550,590</u>	<u>540,353</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	39,927	67,922	80,886	84,444	57,592	65,804
<i>OASAS</i>	39,927	67,922	80,886	84,444	57,592	65,804
Mental Health, Office of	284,683	263,086	226,853	228,624	256,901	295,272
<i>OMH</i>	284,683	263,086	226,853	228,624	256,901	295,272
People with Developmental Disabilities, Office for	72,053	92,028	98,063	85,521	85,984	86,659
<i>OPWDD</i>	72,053	92,028	98,063	85,521	85,984	86,659
Functional Total	<u>396,663</u>	<u>423,036</u>	<u>405,802</u>	<u>398,589</u>	<u>400,477</u>	<u>447,735</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	355,744	378,637	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	85,364	42,256	87,539	38,500	38,000	44,367
Military and Naval Affairs, Division of	63,307	73,592	117,263	61,344	52,244	41,607
State Police, Division of	72,903	44,725	45,684	55,853	54,688	47,538
Functional Total	<u>577,318</u>	<u>539,210</u>	<u>616,804</u>	<u>496,343</u>	<u>482,617</u>	<u>450,487</u>
HIGHER EDUCATION						
City University of New York	36,608	35,900	36,620	37,352	37,352	37,352
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650	6,650
State University of New York	940,055	951,643	908,016	925,193	929,473	934,473
Functional Total	<u>981,088</u>	<u>1,000,043</u>	<u>956,511</u>	<u>976,795</u>	<u>973,475</u>	<u>978,475</u>
EDUCATION						
Education, Department of	117,147	240,500	517,368	465,739	475,766	383,316
<i>School Aid</i>	91,584	140,000	400,000	400,000	400,000	310,000
<i>All Other</i>	25,563	100,500	117,368	65,739	75,766	73,316
Functional Total	<u>117,147</u>	<u>240,500</u>	<u>517,368</u>	<u>465,739</u>	<u>475,766</u>	<u>383,316</u>
GENERAL GOVERNMENT						
General Services, Office of	128,849	209,828	237,662	190,879	154,517	124,129

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
State, Department of	1,233	2,767	12,000	20,000	55,000	77,000
Technology, Office for	102,831	115,390	114,118	30,057	34,451	16,453
Workers' Compensation Board	4,824	2,500	30,000	35,806	0	0
Functional Total	<u>237,737</u>	<u>330,485</u>	<u>393,780</u>	<u>276,742</u>	<u>243,968</u>	<u>217,582</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	5,024	1,400	0	0	0
Judiciary	13,943	15,500	24,000	3,500	0	0
Law, Department of	5,035	9,452	968	0	0	0
Functional Total	<u>18,978</u>	<u>29,976</u>	<u>26,368</u>	<u>3,500</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0	0
Miscellaneous	91,065	(440,093)	(403,282)	(432,000)	304,000	452,812
Special Infrastructure Account	783,139	1,036,864	864,359	404,442	388,577	405,861
Functional Total	<u>874,204</u>	<u>611,771</u>	<u>466,077</u>	<u>(27,558)</u>	<u>692,577</u>	<u>858,673</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u>10,640,374</u>	<u>13,130,281</u>	<u>13,426,687</u>	<u>12,276,469</u>	<u>12,523,374</u>	<u>13,068,778</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	95,102	155,386	97,584	93,453	103,344	102,425
Local Assistance	32,318	38,271	29,197	25,197	25,347	25,347
State Operations	51,225	51,083	52,051	52,723	67,453	67,453
Personal Service	34,271	32,871	33,291	34,916	34,026	34,026
Non-Personal Service/Indirect Costs	16,954	18,212	18,760	17,807	33,427	33,427
General State Charges	3,295	4,048	3,974	4,118	4,129	4,210
Capital Projects	8,264	61,984	12,362	11,415	6,415	5,415
<i>Alcoholic Beverage Control, Division of</i>	11,819	12,483	11,244	11,560	11,244	11,244
State Operations	11,819	12,483	11,244	11,560	11,244	11,244
Personal Service	8,131	8,147	8,585	8,901	8,585	8,585
Non-Personal Service/Indirect Costs	3,688	4,336	2,659	2,659	2,659	2,659
<i>Economic Development Capital</i>	2,751	22,587	20,270	19,569	29,069	29,069
Local Assistance	2,751	0	(1,330)	(1,293)	(1,793)	(1,793)
Capital Projects	0	22,587	21,600	20,862	30,862	30,862
<i>Economic Development, Department of</i>	88,621	88,989	73,156	78,405	78,006	78,006
Local Assistance	68,718	57,448	55,592	55,316	60,442	60,442
State Operations	19,891	17,536	17,536	17,536	17,536	17,536
Personal Service	13,243	12,929	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,648	4,607	4,607	4,607	4,607	4,607
General State Charges	2	28	28	28	28	28
Capital Projects	10	13,977	0	5,525	0	0
<i>Empire State Development Corporation</i>	1,207,655	1,543,251	1,836,248	1,884,193	1,736,009	1,988,249
Local Assistance	1,136,529	1,350,499	1,129,098	1,130,017	1,321,138	1,321,138
Capital Projects	71,126	192,752	707,150	754,176	414,871	667,111
<i>Energy Research and Development Authority</i>	17,109	22,877	20,450	15,720	14,001	13,000
Capital Projects	17,109	22,877	20,450	15,720	14,001	13,000
<i>Financial Services, Department of</i>	357,811	375,403	370,450	389,354	382,991	382,991
Local Assistance	57,254	64,954	58,527	58,496	58,496	58,496
State Operations	209,633	210,277	210,099	216,381	210,244	210,244
Personal Service	154,146	156,666	153,752	159,736	153,893	153,893
Non-Personal Service/Indirect Costs	55,487	53,611	56,347	56,645	56,351	56,351
General State Charges	90,924	100,172	101,824	114,477	114,251	114,251
<i>Olympic Regional Development Authority</i>	40,736	61,293	78,676	38,676	18,676	18,676
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,933	8,676	8,676	8,676	8,676
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	188	3,338	3,338	3,338	3,338	3,338
Capital Projects	38,000	50,000	70,000	30,000	10,000	10,000
<i>Power Authority, New York</i>	243	5,250	36,000	36,500	2,000	2,000
Local Assistance	83	0	0	0	0	0
Capital Projects	160	5,250	36,000	36,500	2,000	2,000
<i>Public Service Department</i>	88,976	80,639	82,249	84,706	82,004	81,149
Local Assistance	102	136	122	122	122	122
State Operations	54,691	52,019	53,606	54,310	52,144	50,764
Personal Service	44,476	43,302	42,947	44,885	43,287	43,300
Non-Personal Service/Indirect Costs	10,215	8,717	10,659	9,425	8,857	7,464
General State Charges	34,183	28,484	28,521	30,274	29,738	30,263
<i>Regional Economic Development Program</i>	2,030	3,500	320	320	320	320
Local Assistance	2,030	0	(17)	(17)	(17)	(17)
Capital Projects	0	3,500	337	337	337	337
<i>Strategic Investment Program</i>	1,625	7,002	6,317	10,494	6,317	6,317
Local Assistance	1,625	0	(333)	(552)	(333)	(333)
Capital Projects	0	7,002	6,650	11,046	6,650	6,650
Functional Total	1,914,478	2,378,660	2,632,964	2,662,950	2,463,981	2,713,446
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,468	4,819	4,906	5,064	4,906	4,906
State Operations	4,468	4,819	4,906	5,064	4,906	4,906
Personal Service	4,179	4,108	4,243	4,401	4,243	4,243
Non-Personal Service/Indirect Costs	289	711	663	663	663	663

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Environmental Conservation, Department of	974,519	1,248,546	1,292,737	1,374,148	1,440,426	1,556,328
Local Assistance	345,907	237,095	396,204	437,965	456,538	461,204
State Operations	252,567	253,682	243,531	257,184	250,739	250,739
Personal Service	200,579	198,944	190,852	200,430	194,065	194,065
Non-Personal Service/Indirect Costs	51,988	54,738	52,679	56,754	56,674	56,674
General State Charges	62,194	68,775	64,662	67,853	66,727	67,976
Capital Projects	313,851	688,994	588,340	611,146	666,422	776,409
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Capital Projects	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	366,435	365,660	369,101	340,923	336,502	336,052
Local Assistance	9,463	7,126	5,220	5,220	5,220	5,220
State Operations	173,759	176,979	161,689	168,258	163,453	163,453
Personal Service	132,575	136,391	126,685	133,250	128,445	128,445
Non-Personal Service/Indirect Costs	41,184	40,588	35,004	35,008	35,008	35,008
General State Charges	3,264	3,152	1,960	2,031	1,965	1,965
Capital Projects	179,949	178,403	200,232	165,414	165,864	165,414
Functional Total	1,345,422	1,619,025	1,671,744	1,735,135	1,796,834	1,909,286
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0	0
Local Assistance	65,000	800,000	675,000	194,856	0	0
Motor Vehicles, Department of	312,091	339,247	350,012	364,549	341,697	326,713
Local Assistance	14,833	18,375	18,000	18,000	18,000	18,000
State Operations	53,425	68,374	68,898	70,084	69,765	69,765
Personal Service	37,707	48,753	48,098	49,186	49,476	49,476
Non-Personal Service/Indirect Costs	15,718	19,621	20,800	20,898	20,289	20,289
General State Charges	21,841	24,035	24,838	26,769	27,421	27,421
Capital Projects	221,992	228,463	238,276	249,696	226,511	211,527
Transportation, Department of	9,667,708	8,978,222	8,500,504	8,692,273	8,867,582	8,998,469
Local Assistance	6,168,328	5,053,351	4,657,858	4,675,959	4,798,748	4,943,901
State Operations	26,750	304,288	355,673	361,374	365,741	365,741
Personal Service	11,964	172,302	172,868	173,393	172,880	172,880
Non-Personal Service/Indirect Costs	14,786	131,986	182,805	187,981	192,861	192,861
General State Charges	6,070	8,674	8,686	9,053	8,946	8,946
Capital Projects	3,466,560	3,611,909	3,478,287	3,645,887	3,694,147	3,679,881
Functional Total	10,044,799	10,117,469	9,525,516	9,251,678	9,209,279	9,325,182
HEALTH						
Aging, Office for the	234,525	235,956	250,713	257,702	262,841	268,152
Local Assistance	221,725	224,924	238,226	245,030	250,212	255,523
State Operations	12,800	11,032	12,487	12,672	12,629	12,629
Personal Service	7,079	7,646	7,782	7,967	7,924	7,924
Non-Personal Service/Indirect Costs	5,721	3,386	4,705	4,705	4,705	4,705
Health, Department of	66,062,707	70,560,033	73,200,791	74,753,389	77,462,183	80,978,111
Medical Assistance	55,644,622	58,802,575	61,361,066	62,884,781	65,568,984	68,925,276
Local Assistance	55,644,622	58,802,575	61,361,066	62,884,781	65,568,984	68,925,276
Essential Plan	3,962,563	4,942,550	4,968,998	5,013,168	5,023,502	5,027,477
Local Assistance	3,874,925	4,855,827	4,884,774	4,934,411	4,947,105	4,955,024
State Operations	87,638	86,723	84,224	78,757	76,397	72,453
Personal Service	3,148	4,206	4,580	4,418	4,384	4,487
Non-Personal Service/Indirect Costs	84,490	82,517	79,644	74,339	72,013	67,966
Medicaid Administration	1,356,021	1,793,664	1,603,478	1,552,838	1,545,326	1,506,678
Local Assistance	716,713	989,609	837,585	821,303	805,731	796,947
State Operations	636,474	797,840	758,670	723,905	731,658	701,794
Personal Service	69,223	100,758	105,529	111,871	109,181	109,431
Non-Personal Service/Indirect Costs	567,251	697,082	653,141	612,034	622,477	592,363
General State Charges	2,834	6,215	7,223	7,630	7,937	7,937
Public Health	5,099,501	5,021,244	5,267,249	5,302,602	5,324,371	5,518,680
Local Assistance	4,246,415	4,152,927	4,376,209	4,455,092	4,482,550	4,681,178
State Operations	732,966	673,142	702,502	706,524	699,516	696,342
Personal Service	281,163	285,519	298,163	303,842	298,153	298,367
Non-Personal Service/Indirect Costs	451,803	387,623	404,339	402,682	401,363	397,975

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
General State Charges	64,888	82,831	76,194	80,642	81,961	80,816
Capital Projects	55,232	112,344	112,344	60,344	60,344	60,344
Medicaid Inspector General, Office of the	46,446	48,043	42,820	44,859	43,638	43,638
State Operations	37,092	38,175	32,589	33,852	32,631	32,631
Personal Service	31,681	30,066	28,300	29,521	28,300	28,300
Non-Personal Service/Indirect Costs	5,411	8,109	4,289	4,331	4,331	4,331
General State Charges	9,354	9,868	10,231	11,007	11,007	11,007
Functional Total	66,343,678	70,844,032	73,494,324	75,055,950	77,768,662	81,289,901
SOCIAL WELFARE						
Children and Family Services, Office of	3,139,782	3,004,450	2,730,794	2,972,773	3,031,171	3,031,767
OCFS	3,062,420	2,919,708	2,639,987	2,878,786	2,933,020	2,933,616
Local Assistance	2,686,986	2,444,629	2,234,803	2,350,228	2,399,995	2,400,578
State Operations	321,311	381,415	361,598	482,723	487,354	487,354
Personal Service	197,353	221,537	201,233	297,300	298,018	298,018
Non-Personal Service/Indirect Costs	123,958	159,878	160,365	185,423	189,336	189,336
General State Charges	12,864	24,969	19,960	22,031	22,011	22,011
Capital Projects	41,259	68,695	23,626	23,804	23,660	23,673
OCFS - Other	77,362	84,742	90,807	93,987	98,151	98,151
Local Assistance	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	420,810	880,103	752,494	738,523	654,806	654,806
Local Assistance	340,738	779,207	651,598	633,399	546,074	546,074
State Operations	60,527	67,970	67,970	72,198	71,081	71,081
Personal Service	47,478	54,893	54,893	58,245	56,832	56,832
Non-Personal Service/Indirect Costs	13,049	13,077	13,077	13,953	14,249	14,249
General State Charges	19,545	29,926	29,926	29,926	34,651	34,651
Capital Projects	0	3,000	3,000	3,000	3,000	3,000
Human Rights, Division of	14,612	14,343	14,343	15,257	14,990	14,990
State Operations	14,612	14,343	14,343	15,257	14,990	14,990
Personal Service	12,315	12,596	12,596	13,475	13,173	13,173
Non-Personal Service/Indirect Costs	2,297	1,747	1,747	1,782	1,817	1,817
Labor, Department of	562,650	564,356	555,484	573,065	602,207	602,207
Local Assistance	161,244	166,554	157,042	157,042	157,042	157,042
State Operations	280,007	281,932	281,762	299,343	304,943	304,943
Personal Service	206,559	192,402	192,238	206,414	208,606	208,606
Non-Personal Service/Indirect Costs	73,448	89,530	89,524	92,929	96,337	96,337
General State Charges	121,399	115,870	116,680	116,680	140,222	140,222
National and Community Service	15,097	16,564	16,571	16,904	17,223	17,223
Local Assistance	477	350	350	350	350	350
State Operations	14,620	15,985	15,985	16,312	16,631	16,631
Personal Service	551	708	708	730	738	738
Non-Personal Service/Indirect Costs	14,069	15,277	15,277	15,582	15,893	15,893
General State Charges	0	229	236	242	242	242
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000	0
Local Assistance	6,951	0	0	0	0	0
Capital Projects	0	47,500	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Local Assistance	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,614,149	5,300,297	5,187,267	5,194,358	5,204,898	5,203,488
Welfare Assistance	3,297,890	3,979,397	3,853,442	3,858,942	3,858,942	3,858,942
Local Assistance	3,297,890	3,979,397	3,853,442	3,858,942	3,858,942	3,858,942
All Other	1,316,259	1,320,900	1,333,825	1,335,416	1,345,956	1,344,546
Local Assistance	979,490	978,943	995,303	998,103	1,001,903	999,453
State Operations	283,573	291,426	283,480	287,973	293,689	293,689
Personal Service	153,573	154,487	151,095	154,464	157,570	157,570
Non-Personal Service/Indirect Costs	130,000	136,939	132,385	133,509	136,119	136,119
General State Charges	52,395	49,731	54,242	48,540	49,564	50,604
Capital Projects	801	800	800	800	800	800
Functional Total	8,774,051	9,852,641	9,301,453	9,526,929	9,530,295	9,524,481

MENTAL HYGIENE

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Alcoholism and Substance Abuse Services, Office of	596,829	627,067	652,779	675,094	663,425	691,234
OASAS	503,832	562,058	586,770	607,643	595,247	622,662
Local Assistance	448,258	512,283	536,051	555,807	543,612	570,315
State Operations	38,975	44,542	46,087	47,077	46,735	47,314
Personal Service	25,461	29,202	30,094	30,813	30,124	30,432
Non-Personal Service/Indirect Costs	13,514	15,340	15,993	16,264	16,611	16,882
General State Charges	14,792	2,384	2,028	2,097	2,190	2,211
Capital Projects	1,807	2,849	2,604	2,662	2,710	2,822
OASAS - Other	92,997	65,009	66,009	67,451	68,178	68,572
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	43,684	44,684	46,126	46,853	47,247
Personal Service	36,074	33,257	33,581	34,597	34,892	35,248
Non-Personal Service/Indirect Costs	13,434	10,427	11,103	11,529	11,961	11,999
General State Charges	22,164	0	0	0	0	0
Developmental Disabilities Planning Council	4,378	4,200	4,200	4,200	4,200	4,200
State Operations	3,508	3,415	3,415	3,415	3,415	3,415
Personal Service	1,007	1,266	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,501	2,149	2,149	2,149	2,149	2,149
General State Charges	870	785	785	785	785	785
Justice Center	41,365	43,826	44,424	46,351	45,731	46,410
Local Assistance	619	649	649	649	649	649
State Operations	40,037	42,224	42,813	44,714	44,069	44,695
Personal Service	31,552	32,997	33,844	35,483	34,554	34,882
Non-Personal Service/Indirect Costs	8,485	9,227	8,969	9,231	9,515	9,813
General State Charges	709	953	962	988	1,013	1,066
Mental Health, Office of	3,501,554	2,945,333	2,775,818	2,901,330	3,033,287	3,167,819
OMH	1,778,348	1,626,816	1,697,450	1,780,380	1,873,928	1,979,993
Local Assistance	1,016,591	1,077,042	1,160,922	1,234,004	1,291,104	1,347,243
State Operations	352,420	331,232	360,452	363,785	373,140	382,423
Personal Service	289,317	278,320	315,667	318,800	326,817	334,605
Non-Personal Service/Indirect Costs	63,103	52,912	44,785	44,985	46,323	47,818
General State Charges	194,563	456	469	469	469	469
Capital Projects	214,774	218,086	175,607	182,122	209,215	249,858
OMH - Other	1,723,206	1,318,517	1,078,368	1,120,950	1,159,359	1,187,826
Local Assistance	265,389	299,325	76,826	87,745	99,214	107,457
State Operations	1,000,487	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
Personal Service	783,120	816,887	784,927	805,641	810,776	819,154
Non-Personal Service/Indirect Costs	217,367	202,305	216,615	227,564	249,369	261,215
General State Charges	457,330	0	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
State Operations	204	0	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,949,245	1,886,382	2,309,956	2,235,004	2,425,980
OPWDD	479,874	481,480	461,195	444,082	454,722	466,259
Local Assistance	409,319	392,150	365,830	361,259	371,436	382,298
State Operations	546	1,181	1,181	1,181	1,181	1,181
Personal Service	124	0	0	0	0	0
Non-Personal Service/Indirect Costs	422	1,181	1,181	1,181	1,181	1,181
Capital Projects	70,009	88,149	94,184	81,642	82,105	82,780
OPWDD - Other	2,455,481	1,467,765	1,425,187	1,865,874	1,780,282	1,959,721
Local Assistance	438,663	141,501	104,418	516,759	415,983	577,610
State Operations	1,333,017	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Personal Service	1,129,750	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Non-Personal Service/Indirect Costs	203,267	187,692	181,620	186,824	192,233	197,780
General State Charges	683,801	0	0	0	0	0
Functional Total	7,079,685	5,569,671	5,363,603	5,936,931	5,981,647	6,335,643

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
State Operations	2,594	2,651	2,651	2,773	2,735	2,735
Personal Service	2,316	2,414	2,414	2,531	2,488	2,488
Non-Personal Service/Indirect Costs	278	237	237	242	247	247

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Correctional Services, Department of	3,017,424	3,153,833	3,096,733	3,114,699	3,152,822	3,210,007
Local Assistance	5,686	4,836	4,836	4,836	4,836	4,836
State Operations	2,654,783	2,769,213	2,724,432	2,768,070	2,809,154	2,887,049
Personal Service	2,124,813	2,207,681	2,161,457	2,209,401	2,255,684	2,330,233
Non-Personal Service/Indirect Costs	529,970	561,532	562,975	558,669	553,470	556,816
General State Charges	1,211	1,147	1,147	1,147	1,147	1,147
Capital Projects	355,744	378,637	366,318	340,646	337,685	316,975
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Local Assistance	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	221,521	273,523	228,197	229,251	229,073	229,912
Local Assistance	170,749	227,504	182,233	182,233	182,233	182,233
State Operations	50,120	45,636	45,581	46,635	46,457	47,288
Personal Service	32,935	32,157	32,104	33,158	32,714	33,228
Non-Personal Service/Indirect Costs	17,185	13,479	13,477	13,477	13,743	14,060
General State Charges	652	383	383	383	383	391
Homeland Security and Emergency Services, Division of	1,524,755	1,100,489	1,022,777	1,039,613	1,050,907	1,060,864
Local Assistance	1,430,243	995,386	923,095	949,313	959,610	966,696
State Operations	58,572	74,050	74,130	75,386	77,813	79,317
Personal Service	27,650	38,313	42,337	43,583	44,942	45,801
Non-Personal Service/Indirect Costs	30,922	35,737	31,793	31,803	32,871	33,516
General State Charges	7,269	7,873	5,396	5,414	5,484	5,484
Capital Projects	28,671	23,180	20,156	9,500	8,000	9,367
Indigent Legal Services, Office of	75,499	113,290	150,900	211,080	261,200	311,215
Local Assistance	72,102	107,569	144,810	204,810	254,810	304,810
State Operations	2,328	3,815	4,044	4,110	4,108	4,123
Personal Service	1,873	2,982	3,208	3,274	3,260	3,260
Non-Personal Service/Indirect Costs	455	833	836	836	848	863
General State Charges	1,069	1,906	2,046	2,160	2,282	2,282
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
State Operations	5,552	5,696	5,708	5,936	6,114	6,220
Personal Service	4,238	4,312	4,347	4,548	4,483	4,573
Non-Personal Service/Indirect Costs	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
State Operations	10	30	30	30	30	30
Non-Personal Service/Indirect Costs	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
State Operations	14	38	38	38	38	38
Non-Personal Service/Indirect Costs	14	38	38	38	38	38
Military and Naval Affairs, Division of	132,339	143,595	183,453	128,267	119,900	110,480
Local Assistance	819	820	820	820	886	904
State Operations	62,438	58,606	58,741	59,349	60,015	61,214
Personal Service	36,483	35,354	35,434	35,732	35,808	36,526
Non-Personal Service/Indirect Costs	25,955	23,252	23,307	23,617	24,207	24,688
General State Charges	5,775	10,577	6,629	6,754	6,755	6,755
Capital Projects	63,307	73,592	117,263	61,344	52,244	41,607
State Police, Division of	853,462	823,138	828,593	865,274	879,826	888,390
Local Assistance	62	0	0	0	0	0
State Operations	764,680	753,013	752,999	778,968	794,315	809,829
Personal Service	687,413	678,026	679,770	705,670	718,545	732,955
Non-Personal Service/Indirect Costs	77,267	74,987	73,229	73,298	75,770	76,874
General State Charges	15,817	25,400	29,910	30,453	30,823	31,023
Capital Projects	72,903	44,725	45,684	55,853	54,688	47,538
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
State Operations	30,137	30,382	30,506	30,949	31,117	31,732
Personal Service	11,094	11,758	11,882	12,325	12,121	12,356
Non-Personal Service/Indirect Costs	19,043	18,624	18,624	18,624	18,996	19,376
Victim Services, Office of	60,952	84,250	105,295	85,946	86,733	86,733
Local Assistance	52,669	74,956	95,744	77,744	78,492	78,492
State Operations	6,645	7,644	7,901	6,552	6,558	6,558
Personal Service	5,044	5,359	5,626	5,002	4,985	4,985
Non-Personal Service/Indirect Costs	1,601	2,285	2,275	1,550	1,573	1,573
General State Charges	1,638	1,650	1,650	1,650	1,683	1,683
Functional Total	5,924,259	5,732,915	5,656,881	5,715,856	5,820,495	5,938,356

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HIGHER EDUCATION						
City University of New York	1,604,707	1,632,513	1,657,865	1,704,851	1,740,738	1,775,568
Local Assistance	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
State Operations	102,801	102,726	104,111	105,040	105,980	106,928
Personal Service	52,623	48,148	48,594	49,045	49,501	49,961
Non-Personal Service/Indirect Costs	50,178	54,578	55,517	55,995	56,479	56,967
General State Charges	145	150	150	150	150	150
Capital Projects	36,608	35,900	36,620	37,352	37,352	37,352
Higher Education - Miscellaneous	337	441	441	441	441	441
State Operations	256	291	291	291	291	291
Personal Service	169	198	198	198	198	198
Non-Personal Service/Indirect Costs	87	93	93	93	93	93
General State Charges	81	150	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650	6,650
Local Assistance	4,425	12,500	11,875	14,250	6,650	6,650
Higher Education Services Corporation, New York State	934,399	1,085,377	1,017,552	960,315	969,882	972,416
Local Assistance	879,384	1,026,449	958,624	901,387	910,954	913,488
State Operations	46,884	49,907	49,907	49,907	49,907	49,907
Personal Service	13,380	13,752	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	33,504	36,155	36,155	36,155	36,155	36,155
General State Charges	8,131	9,021	9,021	9,021	9,021	9,021
State University of New York	8,281,819	8,339,853	8,430,567	8,739,631	8,849,539	9,086,373
Local Assistance	489,533	482,289	469,466	470,632	470,632	469,632
State Operations	6,421,347	6,392,062	6,514,975	6,776,725	6,867,190	7,072,694
Personal Service	3,761,248	4,019,277	4,190,257	4,415,892	4,468,010	4,624,722
Non-Personal Service/Indirect Costs	2,660,099	2,372,785	2,324,718	2,360,833	2,399,180	2,447,972
General State Charges	430,884	513,859	538,110	567,081	582,244	609,574
Capital Projects	940,055	951,643	908,016	925,193	929,473	934,473
Functional Total	10,825,687	11,070,684	11,118,300	11,419,488	11,567,250	11,841,448
EDUCATION						
Arts, Council on the	39,750	46,113	45,953	46,049	45,953	45,953
Local Assistance	35,490	41,693	41,533	41,533	41,533	41,533
State Operations	4,260	4,420	4,420	4,516	4,420	4,420
Personal Service	2,626	2,498	2,498	2,594	2,498	2,498
Non-Personal Service/Indirect Costs	1,634	1,922	1,922	1,922	1,922	1,922
Education, Department of	33,883,898	35,320,130	36,202,579	37,280,448	38,490,754	39,648,348
School Aid	27,848,709	29,278,883	30,356,692	31,539,570	32,744,792	33,923,990
Local Assistance	27,848,709	29,278,883	30,356,692	31,539,570	32,744,792	33,923,990
School Aid - Other	61,169	99,342	78,195	78,195	78,195	78,195
Local Assistance	61,169	99,342	78,195	78,195	78,195	78,195
STAR Property Tax Relief	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
Local Assistance	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
Special Education Categorical Programs	2,001,400	1,984,600	2,044,637	2,117,221	2,183,066	2,250,451
Local Assistance	2,001,400	1,984,600	2,044,637	2,117,221	2,183,066	2,250,451
All Other	1,383,475	1,533,046	1,537,060	1,472,346	1,505,244	1,537,727
Local Assistance	985,722	1,098,833	1,075,805	1,030,228	1,057,262	1,091,618
State Operations	305,724	294,315	290,284	297,077	293,867	293,844
Personal Service	176,266	172,576	168,849	175,409	172,035	172,001
Non-Personal Service/Indirect Costs	129,458	121,739	121,435	121,668	121,832	121,843
General State Charges	85,316	88,398	87,603	93,302	92,349	92,949
Capital Projects	6,713	51,500	83,368	51,739	61,766	59,316
Functional Total	33,923,648	35,366,243	36,248,532	37,326,497	38,536,707	39,694,301
GENERAL GOVERNMENT						
Budget, Division of the	27,312	30,423	29,596	30,603	29,596	29,596
State Operations	26,600	28,766	27,939	28,886	27,939	27,939
Personal Service	21,683	24,567	24,567	25,511	24,567	24,567
Non-Personal Service/Indirect Costs	4,917	4,199	3,372	3,375	3,372	3,372
General State Charges	712	1,657	1,657	1,717	1,657	1,657

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Civil Service, Department of	14,975	13,945	14,351	14,848	14,890	14,911
State Operations	14,795	13,716	14,120	14,604	14,649	14,666
Personal Service	14,053	12,870	13,658	14,142	13,752	13,752
Non-Personal Service/Indirect Costs	742	846	462	462	897	914
General State Charges	180	229	231	244	241	245
Deferred Compensation Board	675	846	891	896	900	904
State Operations	451	641	648	649	648	648
Personal Service	408	410	413	414	413	413
Non-Personal Service/Indirect Costs	43	231	235	235	235	235
General State Charges	224	205	243	247	252	256
Elections, State Board of	11,951	14,077	15,427	15,363	13,634	11,032
Local Assistance	326	0	0	0	0	0
State Operations	11,625	13,927	15,127	15,063	13,334	10,732
Personal Service	6,176	6,383	6,768	7,051	6,958	6,796
Non-Personal Service/Indirect Costs	5,449	7,544	8,359	8,012	6,376	3,936
General State Charges	0	150	300	300	300	300
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
State Operations	3,569	3,863	11,444	6,542	6,444	6,445
Personal Service	2,515	3,750	11,248	6,346	6,248	6,248
Non-Personal Service/Indirect Costs	1,054	113	196	196	196	197
Gaming Commission, New York State	176,850	243,212	207,583	210,000	207,583	207,583
Local Assistance	65,779	144,930	116,850	116,850	116,850	116,850
State Operations	94,531	80,707	73,158	74,685	73,158	73,158
Personal Service	34,862	38,736	38,687	40,178	38,687	38,687
Non-Personal Service/Indirect Costs	59,669	41,971	34,471	34,507	34,471	34,471
General State Charges	16,540	17,575	17,575	18,465	17,575	17,575
General Services, Office of	280,851	355,614	349,275	301,328	263,568	234,968
Local Assistance	0	250	250	250	250	250
State Operations	151,633	144,988	110,815	109,616	108,226	110,004
Personal Service	67,107	48,388	26,373	29,798	27,381	27,381
Non-Personal Service/Indirect Costs	84,526	96,600	84,442	79,818	80,845	82,623
General State Charges	369	548	548	583	575	585
Capital Projects	128,849	209,828	237,662	190,879	154,517	124,129
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
State Operations	7,166	7,427	7,487	7,826	8,061	8,210
Personal Service	6,223	6,600	6,648	6,970	6,857	6,994
Non-Personal Service/Indirect Costs	943	827	839	856	1,204	1,216
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
State Operations	24,773	31,300	31,306	31,517	31,306	31,306
Personal Service	7,747	5,446	5,487	5,698	5,487	5,487
Non-Personal Service/Indirect Costs	17,026	25,854	25,819	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	2,372	2,949	3,049	3,121	3,059	3,059
Local Assistance	791	1,285	1,385	1,385	1,412	1,412
State Operations	1,581	1,664	1,664	1,736	1,647	1,647
Personal Service	1,435	1,469	1,491	1,524	1,431	1,431
Non-Personal Service/Indirect Costs	146	195	173	212	216	216
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
State Operations	3,260	3,604	3,634	3,764	3,634	3,634
Personal Service	3,059	3,313	3,338	3,468	3,338	3,338
Non-Personal Service/Indirect Costs	201	291	296	296	296	296
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State Operations	5,115	5,576	5,630	5,835	6,010	6,119
Personal Service	4,386	4,646	4,681	4,867	4,827	4,924
Non-Personal Service/Indirect Costs	729	930	949	968	1,183	1,195
State, Department of	127,596	139,394	145,500	148,850	183,017	205,034
Local Assistance	65,899	72,918	80,494	83,494	118,494	140,494
State Operations	48,251	48,790	47,272	48,384	47,272	47,272
Personal Service	32,267	31,499	30,512	31,600	30,512	30,512
Non-Personal Service/Indirect Costs	15,984	17,291	16,760	16,784	16,760	16,760
General State Charges	12,213	14,919	15,734	16,972	17,251	17,268
Capital Projects	1,233	2,767	2,000	0	0	0
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
State Operations	2,688	3,040	3,040	3,150	3,040	3,040
Personal Service	2,541	2,870	2,870	2,980	2,870	2,870

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Non-Personal Service/Indirect Costs	147	170	170	170	170	170
Taxation and Finance, Department of	346,372	359,496	370,142	382,309	370,182	369,542
Local Assistance	1,542	2,726	2,726	2,726	2,726	2,726
State Operations	322,195	335,487	346,107	357,016	346,065	345,498
Personal Service	275,658	263,707	272,371	281,655	272,500	272,386
Non-Personal Service/Indirect Costs	46,537	71,780	73,736	75,361	73,565	73,112
General State Charges	22,635	21,283	21,309	22,567	21,391	21,318
Technology, Office for	641,934	659,922	654,318	580,670	591,620	584,780
State Operations	539,103	544,532	540,200	550,613	557,169	568,327
Personal Service	289,994	298,499	291,520	307,987	303,329	309,410
Non-Personal Service/Indirect Costs	249,109	246,033	248,680	242,626	253,840	258,917
Capital Projects	102,831	115,390	114,118	30,057	34,451	16,453
Veterans' Affairs, Division of	14,694	17,954	16,028	16,333	16,321	16,332
Local Assistance	7,906	9,792	7,840	7,840	7,840	7,840
State Operations	6,493	7,660	7,698	7,979	7,945	7,945
Personal Service	6,016	6,742	6,742	7,004	6,950	6,950
Non-Personal Service/Indirect Costs	477	918	956	975	995	995
General State Charges	295	502	490	514	536	547
Welfare Inspector General, Office of	671	686	701	731	753	768
State Operations	671	686	701	731	753	768
Personal Service	619	621	626	654	646	659
Non-Personal Service/Indirect Costs	52	65	75	77	107	109
Workers' Compensation Board	198,190	199,008	226,439	241,671	196,439	196,439
State Operations	140,971	143,288	143,219	148,002	143,219	143,219
Personal Service	85,117	84,959	84,892	89,608	84,892	84,892
Non-Personal Service/Indirect Costs	55,854	58,329	58,327	58,394	58,327	58,327
General State Charges	52,395	53,220	53,220	57,863	53,220	53,220
Capital Projects	4,824	2,500	30,000	35,806	0	0
Functional Total	1,891,014	2,092,336	2,095,841	2,005,357	1,950,057	1,933,702
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	193,535	193,070	194,334	191,670	191,670
Local Assistance	32,025	32,024	32,024	32,024	32,024	32,024
State Operations	146,719	154,352	157,449	160,113	157,449	157,449
Personal Service	119,019	123,015	126,111	128,775	126,111	126,111
Non-Personal Service/Indirect Costs	27,700	31,337	31,338	31,338	31,338	31,338
General State Charges	1,677	2,135	2,197	2,197	2,197	2,197
Capital Projects	0	5,024	1,400	0	0	0
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
State Operations	13,560	13,578	13,578	14,032	13,578	13,578
Personal Service	9,979	11,113	11,113	11,567	11,113	11,113
Non-Personal Service/Indirect Costs	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	2,965,828	3,045,399	3,145,100	3,181,601	3,121,101	3,121,401
Local Assistance	114,224	112,200	129,900	129,901	129,901	129,901
State Operations	2,087,034	2,134,175	2,176,100	2,233,100	2,176,100	2,176,400
Personal Service	1,626,662	1,661,175	1,711,700	1,768,700	1,711,700	1,712,000
Non-Personal Service/Indirect Costs	460,372	473,000	464,400	464,400	464,400	464,400
General State Charges	750,627	783,524	815,100	815,100	815,100	815,100
Capital Projects	13,943	15,500	24,000	3,500	0	0
Law, Department of	243,901	251,798	245,423	252,123	244,455	245,311
State Operations	210,621	210,315	211,849	217,300	211,849	212,705
Personal Service	152,616	146,569	149,913	155,365	149,913	150,769
Non-Personal Service/Indirect Costs	58,005	63,746	61,936	61,935	61,936	61,936
General State Charges	28,245	32,031	32,606	34,823	32,606	32,606
Capital Projects	5,035	9,452	968	0	0	0
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
State Operations	223,372	229,858	234,395	239,106	243,869	243,869
Personal Service	174,350	175,541	179,691	182,633	186,286	186,286
Non-Personal Service/Indirect Costs	49,022	54,317	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	492	614	614	634	614	614
State Operations	492	614	614	634	614	614
Personal Service	382	523	523	543	523	523
Non-Personal Service/Indirect Costs	110	91	91	91	91	91

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Functional Total	<u>3,627,574</u>	<u>3,734,782</u>	<u>3,832,180</u>	<u>3,881,830</u>	<u>3,815,287</u>	<u>3,816,443</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	<u>721,920</u>	<u>723,783</u>	<u>672,208</u>	<u>704,192</u>	<u>704,192</u>	<u>704,192</u>
Local Assistance	721,789	723,533	672,208	704,192	704,192	704,192
State Operations	131	250	0	0	0	0
Non-Personal Service/Indirect Costs	131	250	0	0	0	0
County-Wide Shared Services Initiative	<u>0</u>	<u>0</u>	<u>40,000</u>	<u>65,000</u>	<u>60,000</u>	<u>60,000</u>
Local Assistance	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	<u>348</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Local Assistance	348	0	0	0	0	0
Miscellaneous Financial Assistance	<u>9,270</u>	<u>12,001</u>	<u>2,250</u>	<u>2,250</u>	<u>2,250</u>	<u>2,250</u>
Local Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	<u>28,885</u>	<u>28,885</u>	<u>28,885</u>	<u>28,885</u>	<u>28,885</u>	<u>28,885</u>
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Local Assistance	217	218	218	218	218	218
Functional Total	<u>760,640</u>	<u>764,887</u>	<u>743,561</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	<u>0</u>	<u>15,000</u>	<u>5,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
Local Assistance	0	9,800	0	0	0	0
Capital Projects	0	5,200	5,000	0	0	0
General State Charges	<u>4,842,816</u>	<u>6,625,415</u>	<u>7,375,164</u>	<u>7,243,818</u>	<u>7,837,937</u>	<u>8,451,892</u>
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Long-Term Debt Service	<u>5,914,725</u>	<u>6,013,692</u>	<u>5,739,845</u>	<u>7,085,247</u>	<u>7,107,277</u>	<u>7,392,282</u>
State Operations	41,887	39,183	45,706	44,359	44,359	44,359
Non-Personal Service/Indirect Costs	41,887	39,183	45,706	44,359	44,359	44,359
Debt Service	5,872,838	5,974,509	5,694,139	7,040,888	7,062,918	7,347,923
Miscellaneous	<u>(252,020)</u>	<u>(1,103,942)</u>	<u>(526,971)</u>	<u>(557,019)</u>	<u>171,109</u>	<u>308,931</u>
Local Assistance	(329,661)	(602,608)	(145,750)	(191,099)	(183,827)	(194,827)
State Operations	47,603	46,991	140,001	209,056	209,056	209,056
Personal Service	2,194	2,275	95,285	164,340	164,340	164,340
Non-Personal Service/Indirect Costs	45,409	44,716	44,716	44,716	44,716	44,716
General State Charges	8,445	5,768	5,770	5,782	5,787	5,797
Capital Projects	21,593	(554,093)	(526,992)	(580,758)	140,093	288,905
Special Infrastructure Account	<u>783,139</u>	<u>1,036,864</u>	<u>864,359</u>	<u>404,442</u>	<u>388,577</u>	<u>405,861</u>
Local Assistance	422,467	10,000	5,000	10,000	0	20,000
Capital Projects	360,672	1,026,864	859,359	394,442	388,577	385,861
Functional Total	<u>11,288,660</u>	<u>12,587,029</u>	<u>13,457,397</u>	<u>14,176,488</u>	<u>15,504,900</u>	<u>16,558,966</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>163,743,595</u>	<u>171,730,374</u>	<u>175,142,296</u>	<u>179,495,634</u>	<u>184,740,939</u>	<u>191,676,700</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	95,102	155,386	97,584	93,453	103,344	102,425
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development Capital	2,751	22,587	20,270	19,569	29,069	29,069
Economic Development, Department of	88,621	88,989	73,156	78,405	78,006	78,006
Empire State Development Corporation	1,207,655	1,543,251	1,836,248	1,884,193	1,736,009	1,988,249
Energy Research and Development Authority	17,109	22,877	20,450	15,720	14,001	13,000
Financial Services, Department of	357,811	375,403	370,450	389,354	382,991	382,991
Olympic Regional Development Authority	40,736	61,293	78,676	38,676	18,676	18,676
Power Authority, New York	243	5,250	36,000	36,500	2,000	2,000
Public Service Department	88,976	80,639	82,249	84,706	82,004	81,149
Regional Economic Development Program	2,030	3,500	320	320	320	320
Strategic Investment Program	1,625	7,002	6,317	10,494	6,317	6,317
Functional Total	1,914,478	2,378,660	2,632,964	2,662,950	2,463,981	2,713,446
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,819	4,906	5,064	4,906	4,906
Environmental Conservation, Department of	974,519	1,248,546	1,292,737	1,374,148	1,440,426	1,556,328
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	366,435	365,660	369,101	340,923	336,502	336,052
Functional Total	1,345,422	1,619,025	1,671,744	1,735,135	1,796,834	1,909,286
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0	0
Motor Vehicles, Department of	312,091	339,247	350,012	364,549	341,697	326,713
Transportation, Department of	9,667,708	8,978,222	8,500,504	8,692,273	8,867,582	8,998,469
Functional Total	10,044,799	10,117,469	9,525,516	9,251,678	9,209,279	9,325,182
HEALTH						
Aging, Office for the	234,525	235,956	250,713	257,702	262,841	268,152
Health, Department of	66,062,707	70,560,033	73,200,791	74,753,389	77,462,183	80,978,111
<i>Medical Assistance</i>	55,644,622	58,802,575	61,361,066	62,884,781	65,568,984	68,925,276
<i>Essential Plan</i>	3,962,563	4,942,550	4,968,998	5,013,168	5,023,502	5,027,477
<i>Medicaid Administration</i>	1,356,021	1,793,664	1,603,478	1,552,838	1,545,326	1,506,678
<i>Public Health</i>	5,099,501	5,021,244	5,267,249	5,302,602	5,324,371	5,518,680
Medicaid Inspector General, Office of the	46,446	48,043	42,820	44,859	43,638	43,638
Functional Total	66,343,678	70,844,032	73,494,324	75,055,950	77,768,662	81,289,901
SOCIAL WELFARE						
Children and Family Services, Office of	3,139,782	3,004,450	2,730,794	2,972,773	3,031,171	3,031,767
<i>OCFS</i>	3,062,420	2,919,708	2,639,987	2,878,786	2,933,020	2,933,616
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	420,810	880,103	752,494	738,523	654,806	654,806
Human Rights, Division of	14,612	14,343	14,343	15,257	14,990	14,990
Labor, Department of	562,650	564,356	555,484	573,065	602,207	602,207
National and Community Service	15,097	16,564	16,571	16,904	17,223	17,223
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,614,149	5,300,297	5,187,267	5,194,358	5,204,898	5,203,488
<i>Welfare Assistance</i>	3,297,890	3,979,397	3,853,442	3,858,942	3,858,942	3,858,942
<i>All Other</i>	1,316,259	1,320,900	1,333,825	1,335,416	1,345,956	1,344,546
Functional Total	8,774,051	9,852,641	9,301,453	9,526,929	9,530,295	9,524,481
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	596,829	627,067	652,779	675,094	663,425	691,234
<i>OASAS</i>	503,832	562,058	586,770	607,643	595,247	622,662
<i>OASAS - Other</i>	92,997	65,009	66,009	67,451	68,178	68,572
Developmental Disabilities Planning Council	4,378	4,200	4,200	4,200	4,200	4,200
Justice Center	41,365	43,826	44,424	46,351	45,731	46,410
Mental Health, Office of	3,501,554	2,945,333	2,775,818	2,901,330	3,033,287	3,167,819
<i>OMH</i>	1,778,348	1,626,816	1,697,450	1,780,380	1,873,928	1,979,993
<i>OMH - Other</i>	1,723,206	1,318,517	1,078,368	1,120,950	1,159,359	1,187,826
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,949,245	1,886,382	2,309,956	2,235,004	2,425,980
<i>OPWDD</i>	479,874	481,480	461,195	444,082	454,722	466,259
<i>OPWDD - Other</i>	2,455,481	1,467,765	1,425,187	1,865,874	1,780,282	1,959,721
Functional Total	7,079,685	5,569,671	5,363,603	5,936,931	5,981,647	6,335,643
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	3,017,424	3,153,833	3,096,733	3,114,699	3,152,822	3,210,007
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	221,521	273,523	228,197	229,251	229,073	229,912
Homeland Security and Emergency Services, Division of	1,524,755	1,100,489	1,022,777	1,039,613	1,050,907	1,060,864

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	75,499	113,290	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	132,339	143,595	183,453	128,267	119,900	110,480
State Police, Division of	853,462	823,138	828,593	865,274	879,826	888,390
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	60,952	84,250	105,295	85,946	86,733	86,733
Functional Total	5,924,259	5,732,915	5,656,881	5,715,856	5,820,495	5,938,356
HIGHER EDUCATION						
City University of New York	1,604,707	1,632,513	1,657,865	1,704,851	1,740,738	1,775,568
Higher Education - Miscellaneous	337	441	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650	6,650
Higher Education Services Corporation, New York State	934,399	1,085,377	1,017,552	960,315	969,882	972,416
State University of New York	8,281,819	8,339,853	8,430,567	8,739,631	8,849,539	9,086,373
Functional Total	10,825,687	11,070,684	11,118,300	11,419,488	11,567,250	11,841,448
EDUCATION						
Arts, Council on the	39,750	46,113	45,953	46,049	45,953	45,953
Education, Department of	33,883,898	35,320,130	36,202,579	37,280,448	38,490,754	39,648,348
<i>School Aid</i>	27,848,709	29,278,883	30,356,692	31,539,570	32,744,792	33,923,990
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	2,001,400	1,984,600	2,044,637	2,117,221	2,183,066	2,250,451
<i>All Other</i>	1,383,475	1,533,046	1,537,060	1,472,346	1,505,244	1,537,727
Functional Total	33,923,648	35,366,243	36,248,532	37,326,497	38,536,707	39,694,301
GENERAL GOVERNMENT						
Budget, Division of the	27,312	30,423	29,596	30,603	29,596	29,596
Civil Service, Department of	14,975	13,945	14,351	14,848	14,890	14,911
Deferred Compensation Board	675	846	891	896	900	904
Elections, State Board of	11,951	14,077	15,427	15,363	13,634	11,032
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	243,212	207,583	210,000	207,583	207,583
General Services, Office of	280,851	355,614	349,275	301,328	263,568	234,968
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,372	2,949	3,049	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	127,596	139,394	145,500	148,850	183,017	205,034
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	346,372	359,496	370,142	382,309	370,182	369,542
Technology, Office for	641,934	659,922	654,318	580,670	591,620	584,780
Veterans' Affairs, Division of	14,694	17,954	16,028	16,333	16,321	16,332
Welfare Inspector General, Office of	671	686	701	731	753	768
Workers' Compensation Board	198,190	199,008	226,439	241,671	196,439	196,439
Functional Total	1,891,014	2,092,336	2,095,841	2,005,357	1,950,057	1,933,702
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	193,535	193,070	194,334	191,670	191,670
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,965,828	3,045,399	3,145,100	3,181,601	3,121,101	3,121,401
Law, Department of	243,901	251,798	245,423	252,123	244,455	245,311
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	3,627,574	3,734,782	3,832,180	3,881,830	3,815,287	3,816,443
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	723,783	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	760,640	764,887	743,561	800,545	795,545	795,545

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	<u>FY 2018</u> <u>Actuals</u>	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0	0
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Long-Term Debt Service	5,914,725	6,013,692	5,739,845	7,085,247	7,107,277	7,392,282
Miscellaneous	(252,020)	(1,103,942)	(526,971)	(557,019)	171,109	308,931
Special Infrastructure Account	783,139	1,036,864	864,359	404,442	388,577	405,861
Functional Total	<u>11,288,660</u>	<u>12,587,029</u>	<u>13,457,397</u>	<u>14,176,488</u>	<u>15,504,900</u>	<u>16,558,966</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>163,743,595</u>	<u>171,730,374</u>	<u>175,142,296</u>	<u>179,495,634</u>	<u>184,740,939</u>	<u>191,676,700</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	38,271	29,197	25,197	25,347	25,347
Economic Development Capital	2,751	0	(1,330)	(1,293)	(1,793)	(1,793)
Economic Development, Department of	68,718	57,448	55,592	55,316	60,442	60,442
Empire State Development Corporation	1,136,529	1,350,499	1,129,098	1,130,017	1,321,138	1,321,138
Financial Services, Department of	57,254	64,954	58,527	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Power Authority, New York	83	0	0	0	0	0
Public Service Department	102	136	122	122	122	122
Regional Economic Development Program	2,030	0	(17)	(17)	(17)	(17)
Strategic Investment Program	1,625	0	(333)	(552)	(333)	(333)
Functional Total	1,301,410	1,513,668	1,270,856	1,267,286	1,463,402	1,463,402
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	345,907	237,095	396,204	437,965	456,538	461,204
Parks, Recreation and Historic Preservation, Office of	9,463	7,126	5,220	5,220	5,220	5,220
Functional Total	355,370	244,221	401,424	443,185	461,758	466,424
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0	0
Motor Vehicles, Department of	14,833	18,375	18,000	18,000	18,000	18,000
Transportation, Department of	6,168,328	5,053,351	4,657,858	4,675,959	4,798,748	4,943,901
Functional Total	6,248,161	5,871,726	5,350,858	4,888,815	4,816,748	4,961,901
HEALTH						
Aging, Office for the	221,725	224,924	238,226	245,030	250,212	255,523
Health, Department of	64,482,675	68,800,938	71,459,634	73,095,587	75,804,370	79,358,425
<i>Medical Assistance</i>	55,644,622	58,802,575	61,361,066	62,884,781	65,568,984	68,925,276
<i>Essential Plan</i>	3,874,925	4,855,827	4,884,774	4,934,411	4,947,105	4,955,024
<i>Medicaid Administration</i>	716,713	989,609	837,585	821,303	805,731	796,947
<i>Public Health</i>	4,246,415	4,152,927	4,376,209	4,455,092	4,482,550	4,681,178
Functional Total	64,704,400	69,025,862	71,697,860	73,340,617	76,054,582	79,613,948
SOCIAL WELFARE						
Children and Family Services, Office of	2,764,348	2,529,371	2,325,610	2,444,215	2,498,146	2,498,729
<i>OCFS</i>	2,686,986	2,444,629	2,234,803	2,350,228	2,399,995	2,400,578
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	340,738	779,207	651,598	633,399	546,074	546,074
Labor, Department of	161,244	166,554	157,042	157,042	157,042	157,042
National and Community Service	477	350	350	350	350	350
Nonprofit Infrastructure Capital Investment Program	6,951	0	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,277,380	4,958,340	4,848,745	4,857,045	4,860,845	4,858,395
<i>Welfare Assistance</i>	3,297,890	3,979,397	3,853,442	3,858,942	3,858,942	3,858,942
<i>All Other</i>	979,490	978,943	995,303	998,103	1,001,903	999,453
Functional Total	7,551,138	8,458,850	7,983,345	8,092,051	8,062,457	8,060,590
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	469,583	533,608	557,376	577,132	564,937	591,640
<i>OASAS</i>	448,258	512,283	536,051	555,807	543,612	570,315
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	649	649	649	649	649
Mental Health, Office of	1,281,980	1,376,367	1,237,748	1,321,749	1,390,318	1,454,700
<i>OMH</i>	1,016,591	1,077,042	1,160,922	1,234,004	1,291,104	1,347,243
<i>OMH - Other</i>	265,389	299,325	76,826	87,745	99,214	107,457
People with Developmental Disabilities, Office for	847,982	533,651	470,248	878,018	787,419	959,908
<i>OPWDD</i>	409,319	392,150	365,830	361,259	371,436	382,298
<i>OPWDD - Other</i>	438,663	141,501	104,418	516,759	415,983	577,610
Functional Total	2,600,164	2,444,275	2,266,021	2,777,548	2,743,323	3,006,897
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	170,749	227,504	182,233	182,233	182,233	182,233
Homeland Security and Emergency Services, Division of	1,430,243	995,386	923,095	949,313	959,610	966,696
Indigent Legal Services, Office of	72,102	107,569	144,810	204,810	254,810	304,810
Military and Naval Affairs, Division of	819	820	820	820	886	904
State Police, Division of	62	0	0	0	0	0
Victim Services, Office of	52,669	74,956	95,744	77,744	78,492	78,492
Functional Total	1,732,330	1,413,071	1,353,538	1,421,756	1,480,867	1,537,971

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
HIGHER EDUCATION						
City University of New York	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650	6,650
Higher Education Services Corporation, New York State	879,384	1,026,449	958,624	901,387	910,954	913,488
State University of New York	489,533	482,289	469,466	470,632	470,632	469,632
Functional Total	<u>2,838,495</u>	<u>3,014,975</u>	<u>2,956,949</u>	<u>2,948,578</u>	<u>2,985,492</u>	<u>3,020,908</u>
EDUCATION						
Arts, Council on the	35,490	41,693	41,533	41,533	41,533	41,533
Education, Department of	<u>33,486,145</u>	<u>34,885,917</u>	<u>35,741,324</u>	<u>36,838,330</u>	<u>38,042,772</u>	<u>39,202,239</u>
<i>School Aid</i>	27,848,709	29,278,883	30,356,692	31,539,570	32,744,792	33,923,990
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	2,001,400	1,984,600	2,044,637	2,117,221	2,183,066	2,250,451
<i>All Other</i>	985,722	1,098,833	1,075,805	1,030,228	1,057,262	1,091,618
Functional Total	<u>33,521,635</u>	<u>34,927,610</u>	<u>35,782,857</u>	<u>36,879,863</u>	<u>38,084,305</u>	<u>39,243,772</u>
GENERAL GOVERNMENT						
Elections, State Board of	326	0	0	0	0	0
Gaming Commission, New York State	65,779	144,930	116,850	116,850	116,850	116,850
General Services, Office of	0	250	250	250	250	250
Prevention of Domestic Violence, Office for	791	1,285	1,385	1,385	1,412	1,412
State, Department of	65,899	72,918	80,494	83,494	118,494	140,494
Taxation and Finance, Department of	1,542	2,726	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,906	9,792	7,840	7,840	7,840	7,840
Functional Total	<u>142,243</u>	<u>231,901</u>	<u>209,545</u>	<u>212,545</u>	<u>247,572</u>	<u>269,572</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024	32,024
Judiciary	114,224	112,200	129,900	129,901	129,901	129,901
Functional Total	<u>146,249</u>	<u>144,224</u>	<u>161,924</u>	<u>161,925</u>	<u>161,925</u>	<u>161,925</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	723,533	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	<u>760,509</u>	<u>764,637</u>	<u>743,561</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	9,800	0	0	0	0
Miscellaneous	(329,661)	(602,608)	(145,750)	(191,099)	(183,827)	(194,827)
Special Infrastructure Account	422,467	10,000	5,000	10,000	0	20,000
Functional Total	<u>92,806</u>	<u>(582,808)</u>	<u>(140,750)</u>	<u>(181,099)</u>	<u>(183,827)</u>	<u>(174,827)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>121,994,910</u>	<u>127,472,212</u>	<u>130,037,988</u>	<u>133,053,615</u>	<u>137,174,149</u>	<u>142,428,028</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,225	51,083	52,051	52,723	67,453	67,453
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development, Department of	19,891	17,536	17,536	17,536	17,536	17,536
Financial Services, Department of	209,633	210,277	210,099	216,381	210,244	210,244
Olympic Regional Development Authority	2,736	8,933	8,676	8,676	8,676	8,676
Public Service Department	54,691	52,019	53,606	54,310	52,144	50,764
Functional Total	349,995	352,331	353,212	361,186	367,297	365,917
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,819	4,906	5,064	4,906	4,906
Environmental Conservation, Department of	252,567	253,682	243,531	257,184	250,739	250,739
Parks, Recreation and Historic Preservation, Office of	173,759	176,979	161,689	168,258	163,453	163,453
Functional Total	430,794	435,480	410,126	430,506	419,098	419,098
TRANSPORTATION						
Motor Vehicles, Department of	53,425	68,374	68,898	70,084	69,765	69,765
Transportation, Department of	26,750	304,288	355,673	361,374	365,741	365,741
Functional Total	80,175	372,662	424,571	431,458	435,506	435,506
HEALTH						
Aging, Office for the	12,800	11,032	12,487	12,672	12,629	12,629
Health, Department of	1,457,078	1,557,705	1,545,396	1,509,186	1,507,571	1,470,589
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	636,474	797,840	758,670	723,905	731,658	701,794
<i>Public Health</i>	732,966	673,142	702,502	706,524	699,516	696,342
Medicaid Inspector General, Office of the	37,092	38,175	32,589	33,852	32,631	32,631
Functional Total	1,506,970	1,606,912	1,590,472	1,555,710	1,552,831	1,515,849
SOCIAL WELFARE						
Children and Family Services, Office of	321,311	381,415	361,598	482,723	487,354	487,354
<i>OCFS</i>	321,311	381,415	361,598	482,723	487,354	487,354
Housing and Community Renewal, Division of	60,527	67,970	67,970	72,198	71,081	71,081
Human Rights, Division of	14,612	14,343	14,343	15,257	14,990	14,990
Labor, Department of	280,007	281,932	281,762	299,343	304,943	304,943
National and Community Service	14,620	15,985	15,985	16,312	16,631	16,631
Temporary and Disability Assistance, Office of	283,573	291,426	283,480	287,973	293,689	293,689
<i>All Other</i>	283,573	291,426	283,480	287,973	293,689	293,689
Functional Total	974,650	1,053,071	1,025,138	1,173,806	1,188,688	1,188,688
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	88,483	88,226	90,771	93,203	93,588	94,561
<i>OASAS</i>	38,975	44,542	46,087	47,077	46,735	47,314
<i>OASAS - Other</i>	49,508	43,684	44,684	46,126	46,853	47,247
Developmental Disabilities Planning Council	3,508	3,415	3,415	3,415	3,415	3,415
Justice Center	40,037	42,224	42,813	44,714	44,069	44,695
Mental Health, Office of	1,352,907	1,350,424	1,361,994	1,396,990	1,433,285	1,462,792
<i>OMH</i>	352,420	331,232	360,452	363,785	373,140	382,423
<i>OMH - Other</i>	1,000,487	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,563	1,327,445	1,321,950	1,350,296	1,365,480	1,383,292
<i>OPWDD</i>	546	1,181	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,333,017	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Functional Total	2,818,702	2,811,734	2,820,943	2,888,618	2,939,837	2,988,755
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,654,783	2,769,213	2,724,432	2,768,070	2,809,154	2,887,049
Criminal Justice Services, Division of	50,120	45,636	45,581	46,635	46,457	47,288
Homeland Security and Emergency Services, Division of	58,572	74,050	74,130	75,386	77,813	79,317
Indigent Legal Services, Office of	2,328	3,815	4,044	4,110	4,108	4,123
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	62,438	58,606	58,741	59,349	60,015	61,214
State Police, Division of	764,680	753,013	752,999	778,968	794,315	809,829
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	6,645	7,644	7,901	6,552	6,558	6,558
Functional Total	3,637,873	3,750,774	3,706,761	3,778,796	3,838,454	3,936,133

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HIGHER EDUCATION						
City University of New York	102,801	102,726	104,111	105,040	105,980	106,928
Higher Education - Miscellaneous	256	291	291	291	291	291
Higher Education Services Corporation, New York State	46,884	49,907	49,907	49,907	49,907	49,907
State University of New York	6,421,347	6,392,062	6,514,975	6,776,725	6,867,190	7,072,694
Functional Total	6,571,288	6,544,986	6,669,284	6,931,963	7,023,368	7,229,820
EDUCATION						
Arts, Council on the	4,260	4,420	4,420	4,516	4,420	4,420
Education, Department of	305,724	294,315	290,284	297,077	293,867	293,844
<i>All Other</i>	305,724	294,315	290,284	297,077	293,867	293,844
Functional Total	309,984	298,735	294,704	301,593	298,287	298,264
GENERAL GOVERNMENT						
Budget, Division of the	26,600	28,766	27,939	28,886	27,939	27,939
Civil Service, Department of	14,795	13,716	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	641	648	649	648	648
Elections, State Board of	11,625	13,927	15,127	15,063	13,334	10,732
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	80,707	73,158	74,685	73,158	73,158
General Services, Office of	151,633	144,988	110,815	109,616	108,226	110,004
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	1,581	1,664	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	48,251	48,790	47,272	48,384	47,272	47,272
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	322,195	335,487	346,107	357,016	346,065	345,498
Technology, Office for	539,103	544,532	540,200	550,613	557,169	568,327
Veterans' Affairs, Division of	6,493	7,660	7,698	7,979	7,945	7,945
Welfare Inspector General, Office of	671	686	701	731	753	768
Workers' Compensation Board	140,971	143,288	143,219	148,002	143,219	143,219
Functional Total	1,405,471	1,419,662	1,391,209	1,416,598	1,400,519	1,410,577
ELECTED OFFICIALS						
Audit and Control, Department of	146,719	154,352	157,449	160,113	157,449	157,449
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,087,034	2,134,175	2,176,100	2,233,100	2,176,100	2,176,400
Law, Department of	210,621	210,315	211,849	217,300	211,849	212,705
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	2,681,798	2,742,892	2,793,985	2,864,285	2,803,459	2,804,615
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	131	250	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	39,183	45,706	44,359	44,359	44,359
Miscellaneous	47,603	46,991	140,001	209,056	209,056	209,056
Functional Total	89,490	86,174	185,707	253,415	253,415	253,415
TOTAL STATE OPERATIONS SPENDING	20,857,321	21,475,663	21,666,112	22,387,934	22,520,759	22,846,637

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	34,271	32,871	33,291	34,916	34,026	34,026
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585	8,585
Economic Development, Department of	13,243	12,929	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,666	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	44,476	43,302	42,947	44,885	43,287	43,300
Functional Total	256,815	259,510	256,842	266,705	258,058	258,071
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	4,108	4,243	4,401	4,243	4,243
Environmental Conservation, Department of	200,579	198,944	190,852	200,430	194,065	194,065
Parks, Recreation and Historic Preservation, Office of	132,575	136,391	126,685	133,250	128,445	128,445
Functional Total	337,333	339,443	321,780	338,081	326,753	326,753
TRANSPORTATION						
Motor Vehicles, Department of	37,707	48,753	48,098	49,186	49,476	49,476
Transportation, Department of	11,964	172,302	172,868	173,393	172,880	172,880
Functional Total	49,671	221,055	220,966	222,579	222,356	222,356
HEALTH						
Aging, Office for the	7,079	7,646	7,782	7,967	7,924	7,924
Health, Department of	353,534	390,483	408,272	420,131	411,718	412,285
<i>Essential Plan</i>	3,148	4,206	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	69,223	100,758	105,529	111,871	109,181	109,431
<i>Public Health</i>	281,163	285,519	298,163	303,842	298,153	298,367
Medicaid Inspector General, Office of the	31,681	30,066	28,300	29,521	28,300	28,300
Functional Total	392,294	428,195	444,354	457,619	447,942	448,509
SOCIAL WELFARE						
Children and Family Services, Office of	197,353	221,537	201,233	297,300	298,018	298,018
<i>OCFS</i>	197,353	221,537	201,233	297,300	298,018	298,018
Housing and Community Renewal, Division of	47,478	54,893	54,893	58,245	56,832	56,832
Human Rights, Division of	12,315	12,596	12,596	13,475	13,173	13,173
Labor, Department of	206,559	192,402	192,238	206,414	208,606	208,606
National and Community Service	551	708	708	730	738	738
Temporary and Disability Assistance, Office of	153,573	154,487	151,095	154,464	157,570	157,570
<i>All Other</i>	153,573	154,487	151,095	154,464	157,570	157,570
Functional Total	617,829	636,623	612,763	730,628	734,937	734,937
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	61,535	62,459	63,675	65,410	65,016	65,680
<i>OASAS</i>	25,461	29,202	30,094	30,813	30,124	30,432
<i>OASAS - Other</i>	36,074	33,257	33,581	34,597	34,892	35,248
Developmental Disabilities Planning Council	1,007	1,266	1,266	1,266	1,266	1,266
Justice Center	31,552	32,997	33,844	35,483	34,554	34,882
Mental Health, Office of	1,072,437	1,095,207	1,100,594	1,124,441	1,137,593	1,153,759
<i>OMH</i>	289,317	278,320	315,667	318,800	326,817	334,605
<i>OMH - Other</i>	783,120	816,887	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	1,129,874	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	124	0	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Functional Total	2,296,405	2,330,501	2,338,528	2,388,891	2,410,495	2,439,918
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,414	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,124,813	2,207,681	2,161,457	2,209,401	2,255,684	2,330,233
Criminal Justice Services, Division of	32,935	32,157	32,104	33,158	32,714	33,228
Homeland Security and Emergency Services, Division of	27,650	38,313	42,337	43,583	44,942	45,801
Indigent Legal Services, Office of	1,873	2,982	3,208	3,274	3,260	3,260
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483	4,573
Military and Naval Affairs, Division of	36,483	35,354	35,434	35,732	35,808	36,526
State Police, Division of	687,413	678,026	679,770	705,670	718,545	732,955
Statewide Financial System	11,094	11,758	11,882	12,325	12,121	12,356
Victim Services, Office of	5,044	5,359	5,626	5,002	4,985	4,985
Functional Total	2,933,859	3,018,356	2,978,579	3,055,224	3,115,030	3,206,405
HIGHER EDUCATION						
City University of New York	52,623	48,148	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	198	198	198	198	198
Higher Education Services Corporation, New York State	13,380	13,752	13,752	13,752	13,752	13,752

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State University of New York	3,761,248	4,019,277	4,190,257	4,415,892	4,468,010	4,624,722
Functional Total	3,827,420	4,081,375	4,252,801	4,478,887	4,531,461	4,688,633
EDUCATION						
Arts, Council on the	2,626	2,498	2,498	2,594	2,498	2,498
Education, Department of	176,266	172,576	168,849	175,409	172,035	172,001
<i>All Other</i>	176,266	172,576	168,849	175,409	172,035	172,001
Functional Total	178,892	175,074	171,347	178,003	174,533	174,499
GENERAL GOVERNMENT						
Budget, Division of the	21,683	24,567	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	12,870	13,658	14,142	13,752	13,752
Deferred Compensation Board	408	410	413	414	413	413
Elections, State Board of	6,176	6,383	6,768	7,051	6,958	6,796
Employee Relations, Office of	2,515	3,750	11,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	38,736	38,687	40,178	38,687	38,687
General Services, Office of	67,107	48,388	26,373	29,798	27,381	27,381
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	5,446	5,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,435	1,469	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827	4,924
State, Department of	32,267	31,499	30,512	31,600	30,512	30,512
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	263,707	272,371	281,655	272,500	272,386
Technology, Office for	289,994	298,499	291,520	307,987	303,329	309,410
Veterans' Affairs, Division of	6,016	6,742	6,742	7,004	6,950	6,950
Welfare Inspector General, Office of	619	621	626	654	646	659
Workers' Compensation Board	85,117	84,959	84,892	89,608	84,892	84,892
Functional Total	861,866	845,475	832,892	867,455	841,645	847,697
ELECTED OFFICIALS						
Audit and Control, Department of	119,019	123,015	126,111	128,775	126,111	126,111
Executive Chamber	9,979	11,113	11,113	11,567	11,113	11,113
Judiciary	1,626,662	1,661,175	1,711,700	1,768,700	1,711,700	1,712,000
Law, Department of	152,616	146,569	149,913	155,365	149,913	150,769
Legislature	174,350	175,541	179,691	182,633	186,286	186,286
Lieutenant Governor, Office of the	382	523	523	543	523	523
Functional Total	2,083,008	2,117,936	2,179,051	2,247,583	2,185,646	2,186,802
ALL OTHER CATEGORIES						
Miscellaneous	2,194	2,275	95,285	164,340	164,340	164,340
Functional Total	2,194	2,275	95,285	164,340	164,340	164,340
TOTAL PERSONAL SERVICE SPENDING	13,837,586	14,455,818	14,705,188	15,395,995	15,413,196	15,698,920

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	16,954	18,212	18,760	17,807	33,427	33,427
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659	2,659
Economic Development, Department of	6,648	4,607	4,607	4,607	4,607	4,607
Financial Services, Department of	55,487	53,611	56,347	56,645	56,351	56,351
Olympic Regional Development Authority	188	3,338	3,338	3,338	3,338	3,338
Public Service Department	10,215	8,717	10,659	9,425	8,857	7,464
Functional Total	93,180	92,821	96,370	94,481	109,239	107,846
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	711	663	663	663	663
Environmental Conservation, Department of	51,988	54,738	52,679	56,754	56,674	56,674
Parks, Recreation and Historic Preservation, Office of	41,184	40,588	35,004	35,008	35,008	35,008
Functional Total	93,461	96,037	88,346	92,425	92,345	92,345
TRANSPORTATION						
Motor Vehicles, Department of	15,718	19,621	20,800	20,898	20,289	20,289
Transportation, Department of	14,786	131,986	182,805	187,981	192,861	192,861
Functional Total	30,504	151,607	203,605	208,879	213,150	213,150
HEALTH						
Aging, Office for the	5,721	3,386	4,705	4,705	4,705	4,705
Health, Department of	1,103,544	1,167,222	1,137,124	1,089,055	1,095,853	1,058,304
<i>Essential Plan</i>	84,490	82,517	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	567,251	697,082	653,141	612,034	622,477	592,363
<i>Public Health</i>	451,803	387,623	404,339	402,682	401,363	397,975
Medicaid Inspector General, Office of the	5,411	8,109	4,289	4,331	4,331	4,331
Functional Total	1,114,676	1,178,717	1,146,118	1,098,091	1,104,889	1,067,340
SOCIAL WELFARE						
Children and Family Services, Office of	123,958	159,878	160,365	185,423	189,336	189,336
<i>OCFS</i>	123,958	159,878	160,365	185,423	189,336	189,336
Housing and Community Renewal, Division of	13,049	13,077	13,077	13,953	14,249	14,249
Human Rights, Division of	2,297	1,747	1,747	1,782	1,817	1,817
Labor, Department of	73,448	89,530	89,524	92,929	96,337	96,337
National and Community Service	14,069	15,277	15,277	15,582	15,893	15,893
Temporary and Disability Assistance, Office of	130,000	136,939	132,385	133,509	136,119	136,119
<i>All Other</i>	130,000	136,939	132,385	133,509	136,119	136,119
Functional Total	356,821	416,448	412,375	443,178	453,751	453,751
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,948	25,767	27,096	27,793	28,572	28,881
<i>OASAS</i>	13,514	15,340	15,993	16,264	16,611	16,882
<i>OASAS - Other</i>	13,434	10,427	11,103	11,529	11,961	11,999
Developmental Disabilities Planning Council	2,501	2,149	2,149	2,149	2,149	2,149
Justice Center	8,485	9,227	8,969	9,231	9,515	9,813
Mental Health, Office of	280,470	255,217	261,400	272,549	295,692	309,033
<i>OMH</i>	63,103	52,912	44,785	44,985	46,323	47,818
<i>OMH - Other</i>	217,367	202,305	216,615	227,564	249,369	261,215
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,689	188,873	182,801	188,005	193,414	198,961
<i>OPWDD</i>	422	1,181	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	203,267	187,692	181,620	186,824	192,233	197,780
Functional Total	522,297	481,233	482,415	499,727	529,342	548,837
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	237	237	242	247	247
Correctional Services, Department of	529,970	561,532	562,975	558,669	553,470	556,816
Criminal Justice Services, Division of	17,185	13,479	13,477	13,477	13,743	14,060
Homeland Security and Emergency Services, Division of	30,922	35,737	31,793	31,803	32,871	33,516
Indigent Legal Services, Office of	455	833	836	836	848	863
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	25,955	23,252	23,307	23,617	24,207	24,688
State Police, Division of	77,267	74,987	73,229	73,298	75,770	76,874
Statewide Financial System	19,043	18,624	18,624	18,624	18,996	19,376
Victim Services, Office of	1,601	2,285	2,275	1,550	1,573	1,573
Functional Total	704,014	732,418	728,182	723,572	723,424	729,728
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
City University of New York	50,178	54,578	55,517	55,995	56,479	56,967
Higher Education - Miscellaneous	87	93	93	93	93	93
Higher Education Services Corporation, New York State	33,504	36,155	36,155	36,155	36,155	36,155
State University of New York	<u>2,660,099</u>	<u>2,372,785</u>	<u>2,324,718</u>	<u>2,360,833</u>	<u>2,399,180</u>	<u>2,447,972</u>
Functional Total	<u>2,743,868</u>	<u>2,463,611</u>	<u>2,416,483</u>	<u>2,453,076</u>	<u>2,491,907</u>	<u>2,541,187</u>
EDUCATION						
Arts, Council on the	1,634	1,922	1,922	1,922	1,922	1,922
Education, Department of	<u>129,458</u>	<u>121,739</u>	<u>121,435</u>	<u>121,668</u>	<u>121,832</u>	<u>121,843</u>
<i>All Other</i>	<u>129,458</u>	<u>121,739</u>	<u>121,435</u>	<u>121,668</u>	<u>121,832</u>	<u>121,843</u>
Functional Total	<u>131,092</u>	<u>123,661</u>	<u>123,357</u>	<u>123,590</u>	<u>123,754</u>	<u>123,765</u>
GENERAL GOVERNMENT						
Budget, Division of the	4,917	4,199	3,372	3,375	3,372	3,372
Civil Service, Department of	742	846	462	462	897	914
Deferred Compensation Board	43	231	235	235	235	235
Elections, State Board of	5,449	7,544	8,359	8,012	6,376	3,936
Employee Relations, Office of	1,054	113	196	196	196	197
Gaming Commission, New York State	59,669	41,971	34,471	34,507	34,471	34,471
General Services, Office of	84,526	96,600	84,442	79,818	80,845	82,623
Inspector General, Office of the	943	827	839	856	1,204	1,216
Labor Management Committees	17,026	25,854	25,819	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	146	195	173	212	216	216
Public Employment Relations Board	201	291	296	296	296	296
Public Integrity, Commission on	729	930	949	968	1,183	1,195
State, Department of	15,984	17,291	16,760	16,784	16,760	16,760
Tax Appeals, Division of	147	170	170	170	170	170
Taxation and Finance, Department of	46,537	71,780	73,736	75,361	73,565	73,112
Technology, Office for	249,109	246,033	248,680	242,626	253,840	258,917
Veterans' Affairs, Division of	477	918	956	975	995	995
Welfare Inspector General, Office of	52	65	75	77	107	109
Workers' Compensation Board	<u>55,854</u>	<u>58,329</u>	<u>58,327</u>	<u>58,394</u>	<u>58,327</u>	<u>58,327</u>
Functional Total	<u>543,605</u>	<u>574,187</u>	<u>558,317</u>	<u>549,143</u>	<u>558,874</u>	<u>562,880</u>
ELECTED OFFICIALS						
Audit and Control, Department of	27,700	31,337	31,338	31,338	31,338	31,338
Executive Chamber	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	460,372	473,000	464,400	464,400	464,400	464,400
Law, Department of	58,005	63,746	61,936	61,935	61,936	61,936
Legislature	49,022	54,317	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	91	91	91	91	91
Functional Total	<u>598,790</u>	<u>624,956</u>	<u>614,934</u>	<u>616,702</u>	<u>617,813</u>	<u>617,813</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	<u>131</u>	<u>250</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	39,183	45,706	44,359	44,359	44,359
Miscellaneous	45,409	44,716	44,716	44,716	44,716	44,716
Functional Total	<u>87,296</u>	<u>83,899</u>	<u>90,422</u>	<u>89,075</u>	<u>89,075</u>	<u>89,075</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>7,019,735</u>	<u>7,019,845</u>	<u>6,960,924</u>	<u>6,991,939</u>	<u>7,107,563</u>	<u>7,147,717</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,295	4,048	3,974	4,118	4,129	4,210
Economic Development, Department of	2	28	28	28	28	28
Financial Services, Department of	90,924	100,172	101,824	114,477	114,251	114,251
Public Service Department	34,183	28,484	28,521	30,274	29,738	30,263
Functional Total	128,404	132,732	134,347	148,897	148,146	148,752
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,194	68,775	64,662	67,853	66,727	67,976
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	1,960	2,031	1,965	1,965
Functional Total	65,458	71,927	66,622	69,884	68,692	69,941
TRANSPORTATION						
Motor Vehicles, Department of	21,841	24,035	24,838	26,769	27,421	27,421
Transportation, Department of	6,070	8,674	8,686	9,053	8,946	8,946
Functional Total	27,911	32,709	33,524	35,822	36,367	36,367
HEALTH						
Health, Department of	67,722	89,046	83,417	88,272	89,898	88,753
<i>Medicaid Administration</i>	2,834	6,215	7,223	7,630	7,937	7,937
<i>Public Health</i>	64,888	82,831	76,194	80,642	81,961	80,816
Medicaid Inspector General, Office of the	9,354	9,868	10,231	11,007	11,007	11,007
Functional Total	77,076	98,914	93,648	99,279	100,905	99,760
SOCIAL WELFARE						
Children and Family Services, Office of	12,864	24,969	19,960	22,031	22,011	22,011
<i>OCFS</i>	12,864	24,969	19,960	22,031	22,011	22,011
Housing and Community Renewal, Division of	19,545	29,926	29,926	29,926	34,651	34,651
Labor, Department of	121,399	115,870	116,680	116,680	140,222	140,222
National and Community Service	0	229	236	242	242	242
Temporary and Disability Assistance, Office of	52,395	49,731	54,242	48,540	49,564	50,604
<i>All Other</i>	52,395	49,731	54,242	48,540	49,564	50,604
Functional Total	206,203	220,725	221,044	217,419	246,690	247,730
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	2,384	2,028	2,097	2,190	2,211
<i>OASAS</i>	14,792	2,384	2,028	2,097	2,190	2,211
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Developmental Disabilities Planning Council	870	785	785	785	785	785
Justice Center	709	953	962	988	1,013	1,066
Mental Health, Office of	651,893	456	469	469	469	469
<i>OMH</i>	194,563	456	469	469	469	469
<i>OMH - Other</i>	457,330	0	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,374,229	4,578	4,244	4,339	4,457	4,531
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,211	1,147	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	652	383	383	383	383	391
Homeland Security and Emergency Services, Division of	7,269	7,873	5,396	5,414	5,484	5,484
Indigent Legal Services, Office of	1,069	1,906	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	5,775	10,577	6,629	6,754	6,755	6,755
State Police, Division of	15,817	25,400	29,910	30,453	30,823	31,023
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683	1,683
Functional Total	33,431	48,936	47,161	47,961	48,557	48,765
HIGHER EDUCATION						
City University of New York	145	150	150	150	150	150
Higher Education - Miscellaneous	81	150	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,021	9,021	9,021	9,021	9,021
State University of New York	430,884	513,859	538,110	567,081	582,244	609,574
Functional Total	439,241	523,180	547,431	576,402	591,565	618,895
EDUCATION						
Education, Department of	85,316	88,398	87,603	93,302	92,349	92,949
<i>All Other</i>	85,316	88,398	87,603	93,302	92,349	92,949
Functional Total	85,316	88,398	87,603	93,302	92,349	92,949
GENERAL GOVERNMENT						

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Budget, Division of the	712	1,657	1,657	1,717	1,657	1,657
Civil Service, Department of	180	229	231	244	241	245
Deferred Compensation Board	224	205	243	247	252	256
Elections, State Board of	0	150	300	300	300	300
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575	17,575
General Services, Office of	369	548	548	583	575	585
State, Department of	12,213	14,919	15,734	16,972	17,251	17,268
Taxation and Finance, Department of	22,635	21,283	21,309	22,567	21,391	21,318
Veterans' Affairs, Division of	295	502	490	514	536	547
Workers' Compensation Board	52,395	53,220	53,220	57,863	53,220	53,220
Functional Total	105,563	110,288	111,307	119,472	112,998	112,971
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,135	2,197	2,197	2,197	2,197
Judiciary	750,627	783,524	815,100	815,100	815,100	815,100
Law, Department of	28,245	32,031	32,606	34,823	32,606	32,606
Functional Total	780,549	817,690	849,903	852,120	849,903	849,903
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Miscellaneous	8,445	5,768	5,770	5,782	5,787	5,797
Functional Total	4,851,261	6,631,183	7,380,934	7,249,600	7,843,724	8,457,689
TOTAL GENERAL STATE CHARGES SPENDING	8,174,642	8,781,260	9,577,768	9,514,497	10,144,353	10,788,253

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,264	61,984	12,362	11,415	6,415	5,415
Economic Development Capital	0	22,587	21,600	20,862	30,862	30,862
Economic Development, Department of	10	13,977	0	5,525	0	0
Empire State Development Corporation	71,126	192,752	707,150	754,176	414,871	667,111
Energy Research and Development Authority	17,109	22,877	20,450	15,720	14,001	13,000
Olympic Regional Development Authority	38,000	50,000	70,000	30,000	10,000	10,000
Power Authority, New York	160	5,250	36,000	36,500	2,000	2,000
Regional Economic Development Program	0	3,500	337	337	337	337
Strategic Investment Program	0	7,002	6,650	11,046	6,650	6,650
Functional Total	134,669	379,929	874,549	885,581	485,136	735,375
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	313,851	688,994	588,340	611,146	666,422	776,409
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	179,949	178,403	200,232	165,414	165,864	165,414
Functional Total	493,800	867,397	793,572	791,560	847,286	953,823
TRANSPORTATION						
Motor Vehicles, Department of	221,992	228,463	238,276	249,696	226,511	211,527
Transportation, Department of	3,466,560	3,611,909	3,478,287	3,645,887	3,694,147	3,679,881
Functional Total	3,688,552	3,840,372	3,716,563	3,895,583	3,920,658	3,891,408
HEALTH						
Health, Department of	55,232	112,344	112,344	60,344	60,344	60,344
<i>Public Health</i>	55,232	112,344	112,344	60,344	60,344	60,344
Functional Total	55,232	112,344	112,344	60,344	60,344	60,344
SOCIAL WELFARE						
Children and Family Services, Office of	41,259	68,695	23,626	23,804	23,660	23,673
<i>OCFS</i>	41,259	68,695	23,626	23,804	23,660	23,673
Housing and Community Renewal, Division of	0	3,000	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	47,500	44,500	16,049	5,000	0
Temporary and Disability Assistance, Office of	801	800	800	800	800	800
<i>All Other</i>	801	800	800	800	800	800
Functional Total	42,060	119,995	71,926	43,653	32,460	27,473
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,807	2,849	2,604	2,662	2,710	2,822
<i>OASAS</i>	1,807	2,849	2,604	2,662	2,710	2,822
Mental Health, Office of	214,774	218,086	175,607	182,122	209,215	249,858
<i>OMH</i>	214,774	218,086	175,607	182,122	209,215	249,858
People with Developmental Disabilities, Office for	70,009	88,149	94,184	81,642	82,105	82,780
<i>OPWDD</i>	70,009	88,149	94,184	81,642	82,105	82,780
Functional Total	286,590	309,084	272,395	266,426	294,030	335,460
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	355,744	378,637	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	28,671	23,180	20,156	9,500	8,000	9,367
Military and Naval Affairs, Division of	63,307	73,592	117,263	61,344	52,244	41,607
State Police, Division of	72,903	44,725	45,684	55,853	54,688	47,538
Functional Total	520,625	520,134	549,421	467,343	452,617	415,487
HIGHER EDUCATION						
City University of New York	36,608	35,900	36,620	37,352	37,352	37,352
State University of New York	940,055	951,643	908,016	925,193	929,473	934,473
Functional Total	976,663	987,543	944,636	962,545	966,825	971,825
EDUCATION						
Education, Department of	6,713	51,500	83,368	51,739	61,766	59,316
<i>All Other</i>	6,713	51,500	83,368	51,739	61,766	59,316
Functional Total	6,713	51,500	83,368	51,739	61,766	59,316
GENERAL GOVERNMENT						
General Services, Office of	128,849	209,828	237,662	190,879	154,517	124,129
State, Department of	1,233	2,767	2,000	0	0	0
Technology, Office for	102,831	115,390	114,118	30,057	34,451	16,453
Workers' Compensation Board	4,824	2,500	30,000	35,806	0	0
Functional Total	237,737	330,485	383,780	256,742	188,968	140,582

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	5,024	1,400	0	0	0
Judiciary	13,943	15,500	24,000	3,500	0	0
Law, Department of	5,035	9,452	968	0	0	0
Functional Total	<u>18,978</u>	<u>29,976</u>	<u>26,368</u>	<u>3,500</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	5,200	5,000	0	0	0
Miscellaneous	21,593	(554,093)	(526,992)	(580,758)	140,093	288,905
Special Infrastructure Account	360,672	1,026,864	859,359	394,442	388,577	385,861
Functional Total	<u>382,265</u>	<u>477,971</u>	<u>337,367</u>	<u>(186,316)</u>	<u>528,670</u>	<u>674,766</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>6,843,884</u></u>	<u><u>8,026,730</u></u>	<u><u>8,166,289</u></u>	<u><u>7,498,700</u></u>	<u><u>7,838,760</u></u>	<u><u>8,265,859</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Actuals	Current	Proposed	Projected	Projected	Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	79,534	139,984	82,274	78,107	87,953	86,988
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development Capital	2,751	22,587	20,270	19,569	29,069	29,069
Economic Development, Department of	81,242	80,689	64,856	70,105	69,706	69,706
Empire State Development Corporation	1,207,655	1,543,251	1,836,248	1,884,193	1,736,009	1,988,249
Energy Research and Development Authority	17,109	22,877	20,450	15,720	14,001	13,000
Financial Services, Department of	357,557	374,003	369,050	387,954	381,591	381,591
Olympic Regional Development Authority	40,736	61,293	78,676	38,676	18,676	18,676
Power Authority, New York	243	5,250	36,000	36,500	2,000	2,000
Public Service Department	84,881	77,674	80,221	82,664	79,946	79,075
Regional Economic Development Program	2,030	3,500	320	320	320	320
Strategic Investment Program	1,625	7,002	6,317	10,494	6,317	6,317
Functional Total	1,887,182	2,350,593	2,605,926	2,635,862	2,436,832	2,686,235
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,469	4,556	4,714	4,556	4,556
Environmental Conservation, Department of	760,842	971,834	1,063,447	1,142,767	1,208,664	1,324,179
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	353,493	354,122	357,463	329,115	324,694	324,244
Functional Total	1,118,803	1,330,425	1,430,466	1,491,596	1,552,914	1,664,979
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0	0
Motor Vehicles, Department of	293,720	312,979	321,929	336,225	313,373	298,389
Transportation, Department of	7,997,573	7,181,523	6,907,613	7,146,596	7,322,475	7,453,362
Functional Total	8,356,293	8,294,502	7,904,542	7,677,677	7,635,848	7,751,751
HEALTH						
Aging, Office for the	125,380	130,510	143,493	148,592	153,731	159,042
Health, Department of	21,620,700	22,874,605	23,867,120	24,937,506	26,131,420	27,166,191
<i>Medical Assistance</i>	18,857,443	19,378,607	20,374,096	21,303,834	22,425,007	23,298,760
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	583,789	917,523	706,489	687,088	673,758	663,574
<i>Public Health</i>	2,091,830	2,491,752	2,702,311	2,867,827	2,956,258	3,131,404
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
Functional Total	21,764,362	23,023,226	24,025,931	25,102,023	26,300,469	27,340,551
SOCIAL WELFARE						
Children and Family Services, Office of	1,892,775	2,051,077	1,777,011	2,014,731	2,071,191	2,071,787
<i>OCFS</i>	1,815,413	1,966,335	1,686,204	1,920,744	1,973,040	1,973,636
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	351,538	814,360	686,751	671,804	586,290	586,290
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
Labor, Department of	83,203	81,668	72,156	74,893	76,435	76,435
National and Community Service	770	690	690	699	699	699
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	1,400,455	1,342,753	1,539,308	1,552,101	1,558,621	1,556,171
<i>Welfare Assistance</i>	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
<i>All Other</i>	263,131	289,932	312,442	319,735	326,255	323,805
Functional Total	3,745,157	4,372,997	4,130,337	4,340,867	4,308,466	4,301,612
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	481,016	505,564	530,927	553,242	541,467	569,161
<i>OASAS</i>	388,019	440,555	464,918	485,791	473,289	500,589
<i>OASAS - Other</i>	92,997	65,009	66,009	67,451	68,178	68,572
Justice Center	40,136	42,998	43,596	45,523	44,888	45,518
Mental Health, Office of	3,467,179	2,903,530	2,734,002	2,867,468	2,999,425	3,133,957
<i>OMH</i>	1,743,973	1,585,013	1,655,634	1,746,518	1,840,066	1,946,131
<i>OMH - Other</i>	1,723,206	1,318,517	1,078,368	1,120,950	1,159,359	1,187,826
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,934,957	1,948,245	1,885,382	2,308,956	2,234,004	2,424,980
<i>OPWDD</i>	479,476	480,480	460,195	443,082	453,722	465,259
<i>OPWDD - Other</i>	2,455,481	1,467,765	1,425,187	1,865,874	1,780,282	1,959,721
Functional Total	6,923,492	5,400,337	5,193,907	5,775,189	5,819,784	6,173,616
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correcional Services, Department of	2,989,492	3,135,848	3,078,748	3,096,714	3,134,837	3,192,022
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	222,524	203,198	204,173	203,995	204,742
Homeland Security and Emergency Services, Division of	146,324	132,155	162,510	170,346	181,640	190,933

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	75,499	113,290	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	58,162	70,847	114,299	58,678	50,311	40,158
State Police, Division of	828,909	796,663	802,118	838,509	852,523	860,570
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	26,080	33,557	36,345	36,462	37,111	37,111
Functional Total	4,355,928	4,545,681	4,589,051	4,657,688	4,761,651	4,877,506
HIGHER EDUCATION						
City University of New York	1,604,626	1,624,879	1,650,231	1,697,217	1,733,104	1,767,934
Higher Education - Miscellaneous	337	441	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650	6,650
Higher Education Services Corporation, New York State	929,204	1,078,743	1,010,918	953,681	963,248	965,782
State University of New York	7,939,960	8,027,813	8,118,527	8,427,591	8,537,499	8,774,333
Functional Total	10,478,552	10,744,376	10,791,992	11,093,180	11,240,942	11,515,140
EDUCATION						
Arts, Council on the	39,210	45,413	45,253	45,349	45,253	45,253
Education, Department of	30,489,950	31,578,251	32,406,701	33,423,685	34,633,991	35,791,585
<i>School Aid</i>	25,487,836	26,509,033	27,539,334	28,673,632	29,878,854	31,058,052
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
<i>All Other</i>	1,088,095	1,251,017	1,253,540	1,181,521	1,214,419	1,246,902
Functional Total	30,529,160	31,623,664	32,451,954	33,469,034	34,679,244	35,836,838
GENERAL GOVERNMENT						
Budget, Division of the	27,312	30,423	29,596	30,603	29,596	29,596
Civil Service, Department of	14,975	13,945	14,351	14,848	14,890	14,911
Deferred Compensation Board	675	846	891	896	900	904
Elections, State Board of	7,813	9,837	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	243,212	207,583	210,000	207,583	207,583
General Services, Office of	272,789	347,332	340,993	293,046	255,286	226,525
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,349	2,949	3,049	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	65,500	70,824	76,929	80,278	114,444	136,461
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	345,192	358,276	368,922	381,089	368,962	368,322
Technology, Office for	638,808	659,922	654,318	580,670	591,620	584,780
Veterans' Affairs, Division of	13,791	16,028	14,076	14,322	14,257	14,257
Welfare Inspector General, Office of	671	686	701	731	753	768
Workers' Compensation Board	198,190	199,008	226,439	241,671	196,439	196,439
Functional Total	1,811,486	2,008,098	2,010,536	1,920,492	1,866,338	1,851,787
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	193,535	193,070	194,334	191,670	191,670
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,959,272	3,034,999	3,134,200	3,170,701	3,110,201	3,110,501
Law, Department of	205,126	208,150	203,271	207,704	202,303	202,303
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	3,582,243	3,680,734	3,779,128	3,826,511	3,762,235	3,762,535
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	723,783	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	760,640	764,887	743,561	800,545	795,545	795,545

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0	0
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Long-Term Debt Service	5,914,725	6,013,692	5,739,845	7,085,247	7,107,277	7,392,282
Miscellaneous	83,243	(623,577)	(59,033)	(89,081)	639,047	776,869
Special Infrastructure Account	783,139	1,036,864	864,359	404,442	388,577	405,861
Functional Total	<u>11,623,923</u>	<u>13,067,394</u>	<u>13,925,335</u>	<u>14,644,426</u>	<u>15,972,838</u>	<u>17,026,904</u>
TOTAL STATE FUNDS SPENDING	<u>106,937,221</u>	<u>111,206,914</u>	<u>113,582,666</u>	<u>117,435,090</u>	<u>121,133,106</u>	<u>125,584,999</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	38,271	29,197	25,197	25,347	25,347
Economic Development Capital	2,751	0	(1,330)	(1,293)	(1,793)	(1,793)
Economic Development, Department of	61,825	49,393	47,537	47,261	52,387	52,387
Empire State Development Corporation	1,136,529	1,350,499	1,129,098	1,130,017	1,321,138	1,321,138
Financial Services, Department of	57,254	64,954	58,527	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Power Authority, New York	83	0	0	0	0	0
Public Service Department	102	136	122	122	122	122
Regional Economic Development Program	2,030	0	(17)	(17)	(17)	(17)
Strategic Investment Program	1,625	0	(333)	(552)	(333)	(333)
Functional Total	1,294,517	1,505,613	1,262,801	1,259,231	1,455,347	1,455,347
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	191,133	35,095	241,204	282,965	301,538	306,204
Parks, Recreation and Historic Preservation, Office of	7,095	5,856	3,950	3,950	3,950	3,950
Functional Total	198,228	40,951	245,154	286,915	305,488	310,154
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0	0
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	5,624,706	4,529,866	4,134,373	4,152,474	4,275,263	4,420,416
Functional Total	5,691,306	5,330,241	4,809,373	4,347,330	4,275,263	4,420,416
HEALTH						
Aging, Office for the	124,180	129,278	141,280	146,336	151,518	156,829
Health, Department of	20,800,316	21,918,767	22,921,735	24,047,166	25,242,099	26,284,261
<i>Medical Assistance</i>	18,857,443	19,378,607	20,374,096	21,303,834	22,425,007	23,298,760
<i>Medicaid Administration</i>	286,336	585,173	433,149	416,867	401,295	392,511
<i>Public Health</i>	1,656,537	1,954,987	2,114,490	2,326,465	2,415,797	2,592,990
Functional Total	20,924,496	22,048,045	23,063,015	24,193,502	25,393,617	26,441,090
SOCIAL WELFARE						
Children and Family Services, Office of	1,608,134	1,691,071	1,487,310	1,605,915	1,659,846	1,660,429
<i>OCFS</i>	1,530,772	1,606,329	1,396,503	1,511,928	1,561,695	1,562,278
<i>OCFS - Other</i>	77,362	84,742	90,807	93,987	98,151	98,151
Housing and Community Renewal, Division of	283,283	730,773	603,164	584,965	497,640	497,640
Labor, Department of	12,666	14,662	5,150	5,150	5,150	5,150
National and Community Service	477	350	350	350	350	350
Nonprofit Infrastructure Capital Investment Program	6,951	0	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	1,276,768	1,214,764	1,405,169	1,413,469	1,417,269	1,414,819
<i>Welfare Assistance</i>	1,137,324	1,052,821	1,226,866	1,232,366	1,232,366	1,232,366
<i>All Other</i>	139,444	161,943	178,303	181,103	184,903	182,453
Functional Total	3,188,279	3,676,648	3,501,143	3,609,849	3,580,255	3,578,388
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	360,781	419,118	442,886	462,642	450,447	477,150
<i>OASAS</i>	339,456	397,793	421,561	441,317	429,122	455,825
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	649	649	649	649	649
Mental Health, Office of	1,251,218	1,336,388	1,197,769	1,289,724	1,358,293	1,422,675
<i>OMH</i>	985,829	1,037,063	1,120,943	1,201,979	1,259,079	1,315,218
<i>OMH - Other</i>	265,389	299,325	76,826	87,745	99,214	107,457
People with Developmental Disabilities, Office for	847,982	533,651	470,248	878,018	787,419	959,908
<i>OPWDD</i>	409,319	392,150	365,830	361,259	371,436	382,298
<i>OPWDD - Other</i>	438,663	141,501	104,418	516,759	415,983	577,610
Functional Total	2,460,600	2,289,806	2,111,552	2,631,033	2,596,808	2,860,382
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0	0
Criminal Justice Services, Division of	156,618	185,704	166,433	166,433	166,433	166,433
Homeland Security and Emergency Services, Division of	87,335	71,615	103,324	120,542	130,839	137,925
Indigent Legal Services, Office of	72,102	107,569	144,810	204,810	254,810	304,810
Military and Naval Affairs, Division of	819	820	820	820	886	904
Victim Services, Office of	20,728	27,956	30,744	30,744	31,398	31,398
Functional Total	343,288	400,500	452,967	530,185	589,202	646,306
HIGHER EDUCATION						
City University of New York	1,465,153	1,493,737	1,516,984	1,562,309	1,597,256	1,631,138

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650	6,650
Higher Education Services Corporation, New York State	879,322	1,026,449	958,624	901,387	910,954	913,488
State University of New York	488,783	482,289	469,466	470,632	470,632	469,632
Functional Total	2,837,683	3,014,975	2,956,949	2,948,578	2,985,492	3,020,908
EDUCATION						
Arts, Council on the	34,950	41,093	40,933	40,933	40,933	40,933
Education, Department of	30,303,822	31,344,360	32,147,259	33,190,685	34,395,127	35,554,594
<i>School Aid</i>	25,487,836	26,509,033	27,539,334	28,673,632	29,878,854	31,058,052
<i>School Aid – Other</i>	61,169	99,342	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,294,600	1,349,637	1,417,221	1,483,066	1,550,451
<i>All Other</i>	901,967	1,017,126	994,098	948,521	975,555	1,009,911
Functional Total	30,338,772	31,385,453	32,188,192	33,231,618	34,436,060	35,595,527
GENERAL GOVERNMENT						
Elections, State Board of	50	0	0	0	0	0
Gaming Commission, New York State	65,779	144,930	116,850	116,850	116,850	116,850
Prevention of Domestic Violence, Office for State, Department of	791	1,285	1,385	1,385	1,412	1,412
Taxation and Finance, Department of	10,035	14,961	22,537	25,537	60,537	82,537
Veterans' Affairs, Division of	1,542	2,726	2,726	2,726	2,726	2,726
	7,906	9,792	7,840	7,840	7,840	7,840
Functional Total	86,103	173,694	151,338	154,338	189,365	211,365
ELECTED OFFICIALS						
Audit and Control, Department of Judiciary	32,025	32,024	32,024	32,024	32,024	32,024
	114,224	112,200	129,900	129,901	129,901	129,901
Functional Total	146,249	144,224	161,924	161,925	161,925	161,925
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	723,533	672,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218	218
Functional Total	760,509	764,637	743,561	800,545	795,545	795,545
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	9,800	0	0	0	0
Miscellaneous	12,639	(105,943)	322,188	276,839	284,111	273,111
Special Infrastructure Account	422,467	10,000	5,000	10,000	0	20,000
Functional Total	435,106	(86,143)	327,188	286,839	284,111	293,111
TOTAL LOCAL ASSISTANCE SPENDING	68,705,136	70,688,644	71,975,157	74,441,888	77,048,478	79,790,464

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,697	37,838	38,822	39,502	54,232	54,232
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244	11,244
Economic Development, Department of	19,405	17,291	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	208,877	208,699	214,981	208,844	208,844
Olympic Regional Development Authority	2,736	8,933	8,676	8,676	8,676	8,676
Public Service Department	52,384	50,777	52,311	53,016	50,850	49,470
Functional Total	333,420	336,199	337,043	345,026	351,137	349,757
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,469	4,556	4,714	4,556	4,556
Environmental Conservation, Department of	212,775	208,932	198,781	211,366	204,921	204,921
Parks, Recreation and Historic Preservation, Office of	168,983	174,711	159,321	165,744	160,939	160,939
Functional Total	386,226	388,112	362,658	381,824	370,416	370,416
TRANSPORTATION						
Motor Vehicles, Department of	49,142	60,826	59,535	60,566	60,247	60,247
Transportation, Department of	9,139	280,219	331,754	337,037	341,822	341,822
Functional Total	58,281	341,045	391,289	397,603	402,069	402,069
HEALTH						
Aging, Office for the	1,200	1,232	2,213	2,256	2,213	2,213
Health, Department of	741,299	812,103	803,523	798,700	796,396	788,991
<i>Essential Plan</i>	87,638	86,723	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	297,453	332,350	272,759	269,640	271,882	270,482
<i>Public Health</i>	356,208	393,030	446,540	450,303	448,117	446,056
Medicaid Inspector General, Office of the	18,282	18,111	15,318	15,925	15,318	15,318
Functional Total	760,781	831,446	821,054	816,881	813,927	806,522
SOCIAL WELFARE						
Children and Family Services, Office of	241,724	288,900	263,944	382,762	385,419	385,419
<i>OCFS</i>	241,724	288,900	263,944	382,762	385,419	385,419
Housing and Community Renewal, Division of	52,210	57,666	57,666	60,918	59,500	59,500
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230	10,230
Labor, Department of	49,642	46,519	46,519	49,256	48,280	48,280
National and Community Service	293	340	340	349	349	349
Temporary and Disability Assistance, Office of	122,874	127,061	133,211	137,704	140,424	140,424
<i>All Other</i>	122,874	127,061	133,211	137,704	140,424	140,424
Functional Total	476,208	530,407	511,601	641,579	644,202	644,202
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	81,472	83,597	85,437	87,938	88,310	89,189
<i>OASAS</i>	31,964	39,913	40,753	41,812	41,457	41,942
<i>OASAS - Other</i>	49,508	43,684	44,684	46,126	46,853	47,247
Justice Center	38,868	41,460	42,049	43,950	43,291	43,896
Mental Health, Office of	1,350,460	1,349,056	1,360,626	1,395,622	1,431,917	1,461,424
<i>OMH</i>	349,973	329,864	359,084	362,417	371,772	381,055
<i>OMH - Other</i>	1,000,487	1,019,192	1,001,542	1,033,205	1,060,145	1,080,369
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,326,445	1,320,950	1,349,296	1,364,480	1,382,292
<i>OPWDD</i>	148	181	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,326,264	1,320,769	1,349,115	1,364,299	1,382,111
Functional Total	2,804,169	2,800,558	2,809,062	2,876,806	2,927,998	2,976,801
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,651	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,627,945	2,752,269	2,707,488	2,751,126	2,792,210	2,870,105
Criminal Justice Services, Division of	36,502	36,785	36,730	37,705	37,527	38,273
Homeland Security and Emergency Services, Division of	29,703	36,551	38,213	39,469	41,896	42,736
Indigent Legal Services, Office of	2,328	3,815	4,044	4,110	4,108	4,123
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114	6,220
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	25,090	22,376	22,207	22,505	23,171	23,637
State Police, Division of	741,934	728,038	728,024	753,703	768,512	783,509
Statewide Financial System	30,137	30,382	30,506	30,949	31,117	31,732
Victim Services, Office of	3,714	3,951	3,951	4,068	4,030	4,030
Functional Total	3,505,523	3,622,582	3,579,590	3,652,412	3,711,488	3,807,168
HIGHER EDUCATION						
City University of New York	102,720	95,092	96,477	97,406	98,346	99,294

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Higher Education - Miscellaneous	256	291	291	291	291	291
Higher Education Services Corporation, New York State	41,751	43,274	43,274	43,274	43,274	43,274
State University of New York	6,080,263	6,080,073	6,202,986	6,464,736	6,555,201	6,760,705
Functional Total	6,224,990	6,218,730	6,343,028	6,605,707	6,697,112	6,903,564
EDUCATION						
Arts, Council on the	4,260	4,320	4,320	4,416	4,320	4,320
Education, Department of	144,872	144,928	140,897	143,959	140,749	140,726
<i>All Other</i>	144,872	144,928	140,897	143,959	140,749	140,726
Functional Total	149,132	149,248	145,217	148,375	145,069	145,046
GENERAL GOVERNMENT						
Budget, Division of the	26,600	28,766	27,939	28,886	27,939	27,939
Civil Service, Department of	14,795	13,716	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	641	648	649	648	648
Elections, State Board of	7,763	9,837	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	3,863	11,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	80,707	73,158	74,685	73,158	73,158
General Services, Office of	143,571	136,956	102,783	101,584	100,194	101,811
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	31,300	31,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	1,558	1,664	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010	6,119
State, Department of	43,799	40,986	39,468	40,580	39,468	39,468
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	321,015	334,267	344,887	355,796	344,845	344,278
Technology, Office for	535,977	544,532	540,200	550,613	557,169	568,327
Veterans' Affairs, Division of	5,885	6,236	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	686	701	731	753	768
Workers' Compensation Board	140,971	143,288	143,219	148,002	143,219	143,219
Functional Total	1,384,158	1,397,092	1,367,711	1,393,565	1,378,655	1,390,528
ELECTED OFFICIALS						
Audit and Control, Department of	146,719	154,352	157,449	160,113	157,449	157,449
Executive Chamber	13,560	13,578	13,578	14,032	13,578	13,578
Judiciary	2,080,909	2,124,175	2,165,700	2,222,700	2,165,700	2,166,000
Law, Department of	183,513	179,396	182,504	187,099	182,504	182,504
Legislature	223,372	229,858	234,395	239,106	243,869	243,869
Lieutenant Governor, Office of the	492	614	614	634	614	614
Functional Total	2,648,565	2,701,973	2,754,240	2,823,684	2,763,714	2,764,014
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	131	250	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	39,183	45,706	44,359	44,359	44,359
Miscellaneous	47,660	46,991	140,001	209,056	209,056	209,056
Functional Total	89,547	86,174	185,707	253,415	253,415	253,415
TOTAL STATE OPERATIONS SPENDING	18,821,131	19,403,816	19,608,200	20,336,877	20,459,202	20,813,502

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,802	29,423	29,878	31,503	30,613	30,613
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585	8,585
Economic Development, Department of	13,243	12,929	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,666	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	42,867	42,100	41,745	43,683	42,085	42,098
Functional Total	251,737	254,860	252,227	262,090	253,443	253,456
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	4,108	4,243	4,401	4,243	4,243
Environmental Conservation, Department of	175,514	171,136	163,044	171,554	165,189	165,189
Parks, Recreation and Historic Preservation, Office of	130,555	135,268	125,462	131,883	127,078	127,078
Functional Total	310,248	310,512	292,749	307,838	296,510	296,510
TRANSPORTATION						
Motor Vehicles, Department of	36,244	45,018	43,263	44,207	44,497	44,497
Transportation, Department of	2,803	161,818	162,384	162,506	162,396	162,396
Functional Total	39,047	206,836	205,647	206,713	206,893	206,893
HEALTH						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	252,883	280,249	296,035	302,540	297,658	297,862
<i>Essential Plan</i>	3,148	4,206	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	37,196	51,113	50,288	53,331	51,840	51,756
<i>Public Health</i>	212,539	224,930	241,167	244,791	241,434	241,619
Medicaid Inspector General, Office of the	15,864	14,936	14,053	14,660	14,053	14,053
Functional Total	269,850	296,310	311,944	319,099	313,567	313,771
SOCIAL WELFARE						
Children and Family Services, Office of	170,908	192,290	171,986	266,849	266,958	266,958
<i>OCFS</i>	170,908	192,290	171,986	266,849	266,958	266,958
Housing and Community Renewal, Division of	41,535	47,298	47,298	50,120	48,544	48,544
Human Rights, Division of	8,662	9,461	9,461	10,121	9,752	9,752
Labor, Department of	34,323	32,618	32,618	34,864	33,620	33,620
National and Community Service	292	331	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,356	73,340	76,709	78,259	78,259
<i>All Other</i>	64,895	68,356	73,340	76,709	78,259	78,259
Functional Total	320,615	350,354	335,034	439,003	437,473	437,473
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	56,428	59,917	60,604	62,406	62,052	62,686
<i>OASAS</i>	20,354	26,660	27,023	27,809	27,160	27,438
<i>OASAS - Other</i>	36,074	33,257	33,581	34,597	34,892	35,248
Justice Center	31,243	32,769	33,616	35,255	34,325	34,651
Mental Health, Office of	1,070,401	1,094,394	1,099,781	1,123,628	1,136,780	1,152,946
<i>OMH</i>	287,281	277,507	314,854	317,987	326,004	333,792
<i>OMH - Other</i>	783,120	816,887	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	1,129,874	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	124	0	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,138,572	1,139,149	1,162,291	1,172,066	1,184,331
Functional Total	2,287,946	2,325,652	2,333,150	2,383,580	2,405,223	2,434,614
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,414	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,499	2,191,928	2,145,704	2,193,648	2,239,931	2,314,480
Criminal Justice Services, Division of	26,993	27,555	27,502	28,477	28,033	28,547
Homeland Security and Emergency Services, Division of	16,362	23,313	29,610	30,856	32,215	32,774
Indigent Legal Services, Office of	1,873	2,982	3,208	3,274	3,260	3,260
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,083	14,322	14,402	14,700	14,776	15,073
State Police, Division of	677,367	666,051	667,795	693,405	706,142	720,303
Statewide Financial System	11,094	11,758	11,882	12,325	12,121	12,356
Victim Services, Office of	3,184	3,176	3,176	3,293	3,242	3,242
Functional Total	2,857,009	2,947,811	2,910,040	2,987,057	3,046,691	3,137,096
HIGHER EDUCATION						
City University of New York	52,623	48,148	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	198	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,916	12,916	12,916	12,916	12,916

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State University of New York	3,753,285	4,012,048	4,183,028	4,408,663	4,460,781	4,617,493
Functional Total	3,819,457	4,073,310	4,244,736	4,470,822	4,523,396	4,680,568
EDUCATION						
Arts, Council on the	2,626	2,498	2,498	2,594	2,498	2,498
Education, Department of	90,372	88,090	84,363	87,672	84,298	84,264
<i>All Other</i>	90,372	88,090	84,363	87,672	84,298	84,264
Functional Total	92,998	90,588	86,861	90,266	86,796	86,762
GENERAL GOVERNMENT						
Budget, Division of the	21,683	24,567	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	12,870	13,658	14,142	13,752	13,752
Deferred Compensation Board	408	410	413	414	413	413
Elections, State Board of	6,077	6,143	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	3,750	11,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	38,736	38,687	40,178	38,687	38,687
General Services, Office of	67,107	48,388	26,373	29,798	27,381	27,381
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	5,446	5,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,469	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827	4,924
State, Department of	29,257	27,741	26,754	27,842	26,754	26,754
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	263,707	272,371	281,655	272,500	272,386
Technology, Office for	289,994	298,499	291,520	307,987	303,329	309,410
Veterans' Affairs, Division of	5,512	5,938	5,938	6,178	6,107	6,107
Welfare Inspector General, Office of	619	621	626	654	646	659
Workers' Compensation Board	85,117	84,959	84,892	89,608	84,892	84,892
Functional Total	858,230	840,673	827,850	862,391	836,564	842,616
ELECTED OFFICIALS						
Audit and Control, Department of	119,019	123,015	126,111	128,775	126,111	126,111
Executive Chamber	9,979	11,113	11,113	11,567	11,113	11,113
Judiciary	1,624,889	1,658,975	1,709,800	1,766,800	1,709,800	1,710,100
Law, Department of	132,481	126,309	129,145	133,741	129,145	129,145
Legislature	174,350	175,541	179,691	182,633	186,286	186,286
Lieutenant Governor, Office of the	382	523	523	543	523	523
Functional Total	2,061,100	2,095,476	2,156,383	2,224,059	2,162,978	2,163,278
ALL OTHER CATEGORIES						
Miscellaneous	2,194	2,275	95,285	164,340	164,340	164,340
Functional Total	2,194	2,275	95,285	164,340	164,340	164,340
TOTAL PERSONAL SERVICE SPENDING	13,170,431	13,794,657	14,051,906	14,717,258	14,733,874	15,017,377

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	6,895	8,415	8,944	7,999	23,619	23,619
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659	2,659
Economic Development, Department of	6,162	4,362	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	52,211	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	188	3,338	3,338	3,338	3,338	3,338
Public Service Department	9,517	8,677	10,566	9,333	8,765	7,372
Functional Total	81,683	81,339	84,816	82,936	97,694	96,301
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	361	313	313	313	313
Environmental Conservation, Department of	37,261	37,796	35,737	39,812	39,732	39,732
Parks, Recreation and Historic Preservation, Office of	38,428	39,443	33,859	33,861	33,861	33,861
Functional Total	75,978	77,600	69,909	73,986	73,906	73,906
TRANSPORTATION						
Motor Vehicles, Department of	12,898	15,808	16,272	16,359	15,750	15,750
Transportation, Department of	6,336	118,401	169,370	174,531	179,426	179,426
Functional Total	19,234	134,209	185,642	190,890	195,176	195,176
HEALTH						
Aging, Office for the	97	107	357	357	357	357
Health, Department of	488,416	531,854	507,488	496,160	498,738	491,129
<i>Essential Plan</i>	84,490	82,517	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	260,257	281,237	222,471	216,309	220,042	218,726
<i>Public Health</i>	143,669	168,100	205,373	205,512	206,683	204,437
Medicaid Inspector General, Office of the	2,418	3,175	1,265	1,265	1,265	1,265
Functional Total	490,931	535,136	509,110	497,782	500,360	492,751
SOCIAL WELFARE						
Children and Family Services, Office of	70,816	96,610	91,958	115,913	118,461	118,461
<i>OCFS</i>	70,816	96,610	91,958	115,913	118,461	118,461
Housing and Community Renewal, Division of	10,675	10,368	10,368	10,798	10,956	10,956
Human Rights, Division of	803	460	460	469	478	478
Labor, Department of	15,319	13,901	13,901	14,392	14,660	14,660
National and Community Service	1	9	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	58,705	59,871	60,995	62,165	62,165
<i>All Other</i>	57,979	58,705	59,871	60,995	62,165	62,165
Functional Total	155,593	180,053	176,567	202,576	206,729	206,729
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,044	23,680	24,833	25,532	26,258	26,503
<i>OASAS</i>	11,610	13,253	13,730	14,003	14,297	14,504
<i>OASAS - Other</i>	13,434	10,427	11,103	11,529	11,961	11,999
Justice Center	7,625	8,691	8,433	8,695	8,966	9,245
Mental Health, Office of	280,059	254,662	260,845	271,994	295,137	308,478
<i>OMH</i>	62,692	52,357	44,230	44,430	45,768	47,263
<i>OMH - Other</i>	217,367	202,305	216,615	227,564	249,369	261,215
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	187,873	181,801	187,005	192,414	197,961
<i>OPWDD</i>	24	181	181	181	181	181
<i>OPWDD - Other</i>	203,267	187,692	181,620	186,824	192,233	197,780
Functional Total	516,223	474,906	475,912	493,226	522,775	542,187
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	237	237	242	247	247
Correctional Services, Department of	529,446	560,341	561,784	557,478	552,279	555,625
Criminal Justice Services, Division of	9,509	9,230	9,228	9,228	9,494	9,726
Homeland Security and Emergency Services, Division of	13,341	13,238	8,603	8,613	9,681	9,962
Indigent Legal Services, Office of	455	833	836	836	848	863
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	30	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38	38
Military and Naval Affairs, Division of	10,007	8,054	7,805	7,805	8,395	8,564
State Police, Division of	64,567	61,987	60,229	60,298	62,370	63,206
Statewide Financial System	19,043	18,624	18,624	18,624	18,996	19,376
Victim Services, Office of	530	775	775	775	788	788
Functional Total	648,514	674,771	669,550	665,355	664,797	670,072
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
City University of New York	50,097	46,944	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	93	93	93	93	93
Higher Education Services Corporation, New York State	28,371	30,358	30,358	30,358	30,358	30,358
State University of New York	2,326,978	2,068,025	2,019,958	2,056,073	2,094,420	2,143,212
Functional Total	2,405,533	2,145,420	2,098,292	2,134,885	2,173,716	2,222,996
EDUCATION						
Arts, Council on the	1,634	1,822	1,822	1,822	1,822	1,822
Education, Department of	54,500	56,838	56,534	56,287	56,451	56,462
<i>All Other</i>	54,500	56,838	56,534	56,287	56,451	56,462
Functional Total	56,134	58,660	58,356	58,109	58,273	58,284
GENERAL GOVERNMENT						
Budget, Division of the	4,917	4,199	3,372	3,375	3,372	3,372
Civil Service, Department of	742	846	462	462	897	914
Deferred Compensation Board	43	231	235	235	235	235
Elections, State Board of	1,686	3,694	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	113	196	196	196	197
Gaming Commission, New York State	59,669	41,971	34,471	34,507	34,471	34,471
General Services, Office of	76,464	88,568	76,410	71,786	72,813	74,430
Inspector General, Office of the	943	827	839	856	1,204	1,216
Labor Management Committees	17,026	25,854	25,819	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	146	195	173	212	216	216
Public Employment Relations Board	201	291	296	296	296	296
Public Integrity, Commission on	729	930	949	968	1,183	1,195
State, Department of	14,542	13,245	12,714	12,738	12,714	12,714
Tax Appeals, Division of	147	170	170	170	170	170
Taxation and Finance, Department of	45,357	70,560	72,516	74,141	72,345	71,892
Technology, Office for	245,983	246,033	248,680	242,626	253,840	258,917
Veterans' Affairs, Division of	373	298	298	304	310	310
Welfare Inspector General, Office of	52	65	75	77	107	109
Workers' Compensation Board	55,854	58,329	58,327	58,394	58,327	58,327
Functional Total	525,928	556,419	539,861	531,174	542,091	547,912
ELECTED OFFICIALS						
Audit and Control, Department of	27,700	31,337	31,338	31,338	31,338	31,338
Executive Chamber	3,581	2,465	2,465	2,465	2,465	2,465
Judiciary	456,020	465,200	455,900	455,900	455,900	455,900
Law, Department of	51,032	53,087	53,359	53,358	53,359	53,359
Legislature	49,022	54,317	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	91	91	91	91	91
Functional Total	587,465	606,497	597,857	599,625	600,736	600,736
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	250	0	0	0	0
Functional Total	131	250	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	39,183	45,706	44,359	44,359	44,359
Miscellaneous	45,466	44,716	44,716	44,716	44,716	44,716
Functional Total	87,353	83,899	90,422	89,075	89,075	89,075
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,650,700	5,609,159	5,556,294	5,619,619	5,725,328	5,796,125

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,255	1,891	1,893	1,993	1,959	1,994
Economic Development, Department of	2	28	28	28	28	28
Financial Services, Department of	90,924	100,172	101,824	114,477	114,251	114,251
Public Service Department	32,395	26,761	27,788	29,526	28,974	29,483
Functional Total	124,576	128,852	131,533	146,024	145,212	145,756
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,573	51,400	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	1,960	2,007	1,941	1,941
Functional Total	48,837	54,552	49,669	51,884	50,311	51,173
TRANSPORTATION						
Motor Vehicles, Department of	20,986	23,315	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	2,124	2,197	2,412	2,457	2,457
Functional Total	22,706	25,439	26,315	28,375	29,072	29,072
HEALTH						
Health, Department of	31,713	37,680	35,807	37,585	38,870	38,884
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	37,680	35,226	37,004	38,289	38,303
Functional Total	31,713	37,680	35,807	37,585	38,870	38,884
SOCIAL WELFARE						
Children and Family Services, Office of	1,658	2,411	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,411	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	25,921	25,921	25,921	29,150	29,150
Labor, Department of	20,895	20,487	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	128	128	128	128	128
<i>All Other</i>	12	128	128	128	128	128
Functional Total	38,610	48,947	48,667	48,786	54,549	54,549
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	889	898	924	948	973
Mental Health, Office of	650,727	0	0	0	0	0
<i>OMH</i>	193,397	0	0	0	0	0
<i>OMH - Other</i>	457,330	0	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,372,133	889	898	924	948	973
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	117	106	106	106	106	106
Criminal Justice Services, Division of	35	35	35	35	35	36
Homeland Security and Emergency Services, Division of	615	809	817	835	905	905
Indigent Legal Services, Office of	1,069	1,906	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	6	59	9	9	10	10
State Police, Division of	14,072	23,900	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683	1,683
Functional Total	17,552	28,465	33,073	33,748	34,344	34,545
HIGHER EDUCATION						
City University of New York	145	150	150	150	150	150
Higher Education - Miscellaneous	81	150	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,020	9,020	9,020	9,020	9,020
State University of New York	430,859	513,808	538,059	567,030	582,193	609,523
Functional Total	439,216	523,128	547,379	576,350	591,513	618,843
EDUCATION						
Education, Department of	34,543	37,463	35,177	37,302	36,349	36,949
<i>All Other</i>	34,543	37,463	35,177	37,302	36,349	36,949
Functional Total	34,543	37,463	35,177	37,302	36,349	36,949
GENERAL GOVERNMENT						
Budget, Division of the	712	1,657	1,657	1,717	1,657	1,657

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Civil Service, Department of	180	229	231	244	241	245
Deferred Compensation Board	224	205	243	247	252	256
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575	17,575
General Services, Office of	369	548	548	583	575	585
State, Department of	10,433	12,110	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	21,283	21,309	22,567	21,391	21,318
Workers' Compensation Board	52,395	53,220	53,220	57,863	53,220	53,220
Functional Total	103,488	106,827	107,707	115,847	109,350	109,312
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,135	2,197	2,197	2,197	2,197
Judiciary	750,196	783,124	814,600	814,600	814,600	814,600
Law, Department of	16,578	19,302	19,799	20,605	19,799	19,799
Functional Total	768,451	804,561	836,596	837,402	836,596	836,596
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,625,415	7,375,164	7,243,818	7,837,937	8,451,892
Miscellaneous	8,445	5,768	5,770	5,782	5,787	5,797
Functional Total	4,851,261	6,631,183	7,380,934	7,249,600	7,843,724	8,457,689
TOTAL GENERAL STATE CHARGES SPENDING	7,853,086	8,427,986	9,233,755	9,163,827	9,770,838	10,414,341

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,264	61,984	12,362	11,415	6,415	5,415
Economic Development Capital	0	22,587	21,600	20,862	30,862	30,862
Economic Development, Department of	10	13,977	0	5,525	0	0
Empire State Development Corporation	71,126	192,752	707,150	754,176	414,871	667,111
Energy Research and Development Authority	17,109	22,877	20,450	15,720	14,001	13,000
Olympic Regional Development Authority	38,000	50,000	70,000	30,000	10,000	10,000
Power Authority, New York	160	5,250	36,000	36,500	2,000	2,000
Regional Economic Development Program	0	3,500	337	337	337	337
Strategic Investment Program	0	7,002	6,650	11,046	6,650	6,650
Functional Total	134,669	379,929	874,549	885,581	485,136	735,375
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	311,361	676,407	575,753	598,559	653,835	763,822
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	174,151	170,403	192,232	157,414	157,864	157,414
Functional Total	485,512	846,810	772,985	770,973	826,699	933,236
TRANSPORTATION						
Motor Vehicles, Department of	221,992	228,463	238,276	249,696	226,511	211,527
Transportation, Department of	2,362,008	2,369,314	2,439,289	2,654,673	2,702,933	2,688,667
Functional Total	2,584,000	2,597,777	2,677,565	2,904,369	2,929,444	2,900,194
HEALTH						
Health, Department of	47,372	106,055	106,055	54,055	54,055	54,055
<i>Public Health</i>	47,372	106,055	106,055	54,055	54,055	54,055
Functional Total	47,372	106,055	106,055	54,055	54,055	54,055
SOCIAL WELFARE						
Children and Family Services, Office of	41,259	68,695	23,626	23,804	23,660	23,673
<i>OCFS</i>	41,259	68,695	23,626	23,804	23,660	23,673
Nonprofit Infrastructure Capital Investment Program	0	47,500	44,500	16,049	5,000	0
Temporary and Disability Assistance, Office of	801	800	800	800	800	800
<i>All Other</i>	801	800	800	800	800	800
Functional Total	42,060	116,995	68,926	40,653	29,460	24,473
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,807	2,849	2,604	2,662	2,710	2,822
<i>OASAS</i>	1,807	2,849	2,604	2,662	2,710	2,822
Mental Health, Office of	214,774	218,086	175,607	182,122	209,215	249,858
<i>OMH</i>	214,774	218,086	175,607	182,122	209,215	249,858
People with Developmental Disabilities, Office for	70,009	88,149	94,184	81,642	82,105	82,780
<i>OPWDD</i>	70,009	88,149	94,184	81,642	82,105	82,780
Functional Total	286,590	309,084	272,395	266,426	294,030	335,460
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	355,744	378,637	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	28,671	23,180	20,156	9,500	8,000	9,367
Military and Naval Affairs, Division of	32,247	47,592	91,263	35,344	26,244	15,607
State Police, Division of	72,903	44,725	45,684	55,853	54,688	47,538
Functional Total	489,565	494,134	523,421	441,343	426,617	389,487
HIGHER EDUCATION						
City University of New York	36,608	35,900	36,620	37,352	37,352	37,352
State University of New York	940,055	951,643	908,016	925,193	929,473	934,473
Functional Total	976,663	987,543	944,636	962,545	966,825	971,825
EDUCATION						
Education, Department of	6,713	51,500	83,368	51,739	61,766	59,316
<i>All Other</i>	6,713	51,500	83,368	51,739	61,766	59,316
Functional Total	6,713	51,500	83,368	51,739	61,766	59,316
GENERAL GOVERNMENT						
General Services, Office of	128,849	209,828	237,662	190,879	154,517	124,129
State, Department of	1,233	2,767	2,000	0	0	0
Technology, Office for	102,831	115,390	114,118	30,057	34,451	16,453
Workers' Compensation Board	4,824	2,500	30,000	35,806	0	0
Functional Total	237,737	330,485	383,780	256,742	188,968	140,582

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	5,024	1,400	0	0	0
Judiciary	13,943	15,500	24,000	3,500	0	0
Law, Department of	5,035	9,452	968	0	0	0
Functional Total	<u>18,978</u>	<u>29,976</u>	<u>26,368</u>	<u>3,500</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	5,200	5,000	0	0	0
Miscellaneous	14,499	(570,393)	(526,992)	(580,758)	140,093	288,905
Special Infrastructure Account	360,672	1,026,864	859,359	394,442	388,577	385,861
Functional Total	<u>375,171</u>	<u>461,671</u>	<u>337,367</u>	<u>(186,316)</u>	<u>528,670</u>	<u>674,766</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>5,685,030</u>	<u>6,711,959</u>	<u>7,071,415</u>	<u>6,451,610</u>	<u>6,791,670</u>	<u>7,218,769</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	1,400	0	0	5,000	5,000
Financial Services, Department of	57,254	64,954	58,527	58,496	58,496	58,496
Public Service Department	102	136	122	122	122	122
Functional Total	57,356	66,490	58,649	58,618	63,618	63,618
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,156	5,450	3,850	3,850	3,850	3,850
Functional Total	4,156	5,450	3,850	3,850	3,850	3,850
TRANSPORTATION						
Transportation, Department of	4,908,656	3,632,409	3,424,229	3,454,861	3,584,022	3,735,022
Functional Total	4,908,656	3,632,409	3,424,229	3,454,861	3,584,022	3,735,022
HEALTH						
Health, Department of	6,582,824	6,586,085	6,566,896	6,724,488	6,813,284	6,912,092
<i>Medical Assistance</i>	5,745,990	5,692,416	5,625,140	5,577,475	5,559,392	5,642,915
<i>Public Health</i>	836,834	893,669	941,756	1,147,013	1,253,892	1,269,177
Functional Total	6,582,824	6,586,085	6,566,896	6,724,488	6,813,284	6,912,092
SOCIAL WELFARE						
Children and Family Services, Office of	3,252	3,582	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,252	3,582	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	291	852	852	852	852	852
Labor, Department of	0	150	150	150	150	150
Functional Total	3,543	4,584	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	286,440	3,325	3,388	3,300	3,300	3,300
<i>OASAS</i>	286,440	3,325	3,388	3,300	3,300	3,300
Justice Center	449	479	479	479	479	479
Mental Health, Office of	908,380	1,500	1,500	1,500	1,500	1,500
<i>OMH</i>	908,380	1,500	1,500	1,500	1,500	1,500
People with Developmental Disabilities, Office for	407,737	0	0	0	0	0
<i>OPWDD</i>	407,132	0	0	0	0	0
<i>OPWDD - Other</i>	605	0	0	0	0	0
Functional Total	1,603,006	5,304	5,367	5,279	5,279	5,279
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	20,048	23,918	25,433	25,433	25,433	25,433
Homeland Security and Emergency Services, Division of	26,756	46,967	31,969	87,570	96,549	98,549
Indigent Legal Services, Office of	72,102	107,569	144,810	204,810	254,810	304,810
Victim Services, Office of	21,242	27,956	30,744	30,744	31,398	31,398
Functional Total	140,148	206,410	232,956	348,557	408,190	460,190
EDUCATION						
Arts, Council on the	0	98	98	98	98	98
Education, Department of	6,040,724	5,778,132	6,014,828	5,621,949	5,522,690	5,401,218
<i>School Aid</i>	3,442,342	3,340,840	3,815,800	3,535,800	3,530,200	3,530,200
<i>STAR Property Tax Relief</i>	2,589,145	2,424,259	2,185,995	2,073,116	1,979,457	1,857,985
<i>All Other</i>	9,237	13,033	13,033	13,033	13,033	13,033
Functional Total	6,040,724	5,778,230	6,014,926	5,622,047	5,522,788	5,401,316
GENERAL GOVERNMENT						
Gaming Commission, New York State	65,779	144,930	116,850	116,850	116,850	116,850
State, Department of	913	939	0	0	0	0
Taxation and Finance, Department of	654	1,800	1,800	1,800	1,800	1,800
Functional Total	67,346	147,669	118,650	118,650	118,650	118,650
ELECTED OFFICIALS						
Judiciary	111,250	108,700	110,900	110,901	110,901	110,901
Functional Total	111,250	108,700	110,900	110,901	110,901	110,901

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	12,598	12,524	(89,000)	(1,139,000)	(1,089,000)	(1,739,000)
Functional Total	<u>12,598</u>	<u>12,524</u>	<u>(89,000)</u>	<u>(1,139,000)</u>	<u>(1,089,000)</u>	<u>(1,739,000)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>19,531,607</u>	<u>16,553,855</u>	<u>16,452,007</u>	<u>15,312,835</u>	<u>15,546,166</u>	<u>15,076,502</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,647	2,960	2,965	3,069	2,965	2,965
Economic Development, Department of	3	103	103	103	103	103
Financial Services, Department of	154,146	156,666	153,752	159,736	153,893	153,893
Public Service Department	42,867	42,100	41,745	43,683	42,085	42,098
Functional Total	199,663	201,829	198,565	206,591	199,046	199,059
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	93,008	89,207	75,678	78,477	75,078	75,078
Parks, Recreation and Historic Preservation, Office of	33,620	38,152	27,601	29,074	28,013	28,013
Functional Total	126,628	127,359	103,279	107,551	103,091	103,091
TRANSPORTATION						
Motor Vehicles, Department of	36,244	36,494	34,569	35,339	35,451	35,451
Transportation, Department of	2,803	3,324	3,324	3,451	3,348	3,348
Functional Total	39,047	39,818	37,893	38,790	38,799	38,799
HEALTH						
Health, Department of	130,551	131,666	140,474	140,993	140,741	140,926
<i>Medicaid Administration</i>	0	0	910	910	910	910
<i>Public Health</i>	130,551	131,666	139,564	140,083	139,831	140,016
Functional Total	130,551	131,666	140,474	140,993	140,741	140,926
SOCIAL WELFARE						
Children and Family Services, Office of	2,722	3,354	3,354	3,483	3,449	3,449
<i>OCFS</i>	2,722	3,354	3,354	3,483	3,449	3,449
Housing and Community Renewal, Division of	37,669	43,099	43,099	45,628	44,216	44,216
Labor, Department of	34,239	32,530	32,530	34,770	33,529	33,529
Functional Total	74,630	78,983	78,983	83,881	81,194	81,194
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	56,428	0	0	0	0	0
<i>OASAS</i>	20,354	0	0	0	0	0
<i>OASAS - Other</i>	36,074	0	0	0	0	0
Justice Center	1,214	1,392	1,406	1,422	1,435	1,448
Mental Health, Office of	1,070,401	103	103	103	103	103
<i>OMH</i>	287,281	103	103	103	103	103
<i>OMH - Other</i>	783,120	0	0	0	0	0
People with Developmental Disabilities, Office for	1,129,874	0	0	0	0	0
<i>OPWDD</i>	124	0	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	0	0	0	0	0
Functional Total	2,257,917	1,495	1,509	1,525	1,538	1,551
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	251	219	219	219	219	223
Criminal Justice Services, Division of	280	392	392	403	400	400
Homeland Security and Emergency Services, Division of	15,292	22,313	28,610	29,856	31,215	31,754
Indigent Legal Services, Office of	1,873	2,982	3,208	3,274	3,260	3,260
Military and Naval Affairs, Division of	68	161	161	161	161	165
State Police, Division of	46,922	46,992	49,692	50,132	50,370	51,420
Victim Services, Office of	3,184	3,176	3,176	3,293	3,242	3,242
Functional Total	67,870	76,235	85,458	87,338	88,867	90,464
HIGHER EDUCATION						
City University of New York	52,623	48,148	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	198	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,916	12,916	12,916	12,916	12,916
State University of New York	3,753,285	4,012,048	4,183,028	4,408,663	4,460,781	4,617,493
Functional Total	3,819,457	4,073,310	4,244,736	4,470,822	4,523,396	4,680,568
EDUCATION						
Education, Department of	59,335	58,278	55,029	57,366	54,964	54,930
<i>All Other</i>	59,335	58,278	55,029	57,366	54,964	54,930
Functional Total	59,335	58,278	55,029	57,366	54,964	54,930
GENERAL GOVERNMENT						
Budget, Division of the	1,199	2,351	2,351	2,441	2,351	2,351

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Civil Service, Department of	322	359	362	376	365	365
Deferred Compensation Board	375	378	381	381	381	381
Gaming Commission, New York State	31,990	34,454	34,405	35,731	34,405	34,405
General Services, Office of	3,200	858	858	897	871	871
State, Department of	18,893	18,771	19,400	20,126	19,400	19,400
Taxation and Finance, Department of	9,338	44,357	44,384	46,279	44,513	44,399
Workers' Compensation Board	85,117	84,959	84,892	89,608	84,892	84,892
Functional Total	150,434	186,487	187,033	195,839	187,178	187,064
ELECTED OFFICIALS						
Audit and Control, Department of	11,125	13,545	13,930	14,172	13,930	13,930
Judiciary	59,170	59,475	68,500	68,500	68,500	68,800
Law, Department of	36,981	30,321	31,238	32,588	31,238	31,238
Functional Total	107,276	103,341	113,668	115,260	113,668	113,968
ALL OTHER CATEGORIES						
Miscellaneous	2,160	2,219	(50,771)	(350,716)	(350,716)	(425,716)
Functional Total	2,160	2,219	(50,771)	(350,716)	(350,716)	(425,716)
TOTAL PERSONAL SERVICE SPENDING	7,034,968	5,081,020	5,195,856	5,155,240	5,181,766	5,265,898

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,002	2,430	2,441	2,445	18,241	18,241
Economic Development, Department of	1,482	1,847	1,847	1,847	1,847	1,847
Financial Services, Department of	55,233	52,211	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	0	150	150	150	150	150
Public Service Department	9,517	8,677	10,566	9,333	8,765	7,372
Functional Total	68,234	65,315	69,951	69,020	83,954	82,561
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	25,463	17,372	15,119	14,394	14,314	14,314
Parks, Recreation and Historic Preservation, Office of	33,040	31,510	27,180	27,182	27,182	27,182
Functional Total	58,503	48,882	42,299	41,576	41,496	41,496
TRANSPORTATION						
Motor Vehicles, Department of	12,898	12,619	12,619	12,641	11,966	11,966
Transportation, Department of	4,941	6,465	6,525	6,529	6,525	6,525
Functional Total	17,839	19,084	19,144	19,170	18,491	18,491
HEALTH						
Aging, Office for the	0	1	1	1	1	1
Health, Department of	104,457	127,632	164,857	164,996	166,167	163,921
<i>Medicaid Administration</i>	0	0	50	50	50	50
<i>Public Health</i>	104,457	127,632	164,807	164,946	166,117	163,871
Functional Total	104,457	127,633	164,858	164,997	166,168	163,922
SOCIAL WELFARE						
Children and Family Services, Office of	11,452	14,959	15,235	15,544	15,853	15,853
<i>OCFS</i>	11,452	14,959	15,235	15,544	15,853	15,853
Housing and Community Renewal, Division of	8,748	10,017	10,017	10,385	10,543	10,543
Labor, Department of	15,206	13,701	13,701	14,137	14,400	14,400
Temporary and Disability Assistance, Office of	1,228	3,400	3,400	3,400	3,400	3,400
<i>All Other</i>	1,228	3,400	3,400	3,400	3,400	3,400
Functional Total	36,634	42,077	42,353	43,466	44,196	44,196
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,044	6,456	6,471	6,471	6,486	6,667
<i>OASAS</i>	11,610	6,456	6,471	6,471	6,486	6,667
<i>OASAS - Other</i>	13,434	0	0	0	0	0
Justice Center	27	46	45	46	46	47
Mental Health, Office of	279,362	5,342	5,342	5,342	5,342	5,342
<i>OMH</i>	61,995	5,342	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	217,367	0	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	181	181	181	181	181
<i>OPWDD</i>	24	181	181	181	181	181
<i>OPWDD - Other</i>	203,267	0	0	0	0	0
Functional Total	507,928	12,025	12,039	12,040	12,055	12,237
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,061	2,627	2,627	2,627	2,627	2,648
Criminal Justice Services, Division of	2,371	2,700	2,700	2,700	2,795	2,853
Homeland Security and Emergency Services, Division of	13,341	13,238	8,603	8,613	9,681	9,962
Indigent Legal Services, Office of	455	833	836	836	848	863
Military and Naval Affairs, Division of	2,103	1,597	1,207	1,207	1,303	1,330
State Police, Division of	30,368	31,700	31,709	26,738	26,800	26,924
Victim Services, Office of	530	775	775	775	788	788
Functional Total	50,229	53,470	48,457	43,496	44,842	45,368
HIGHER EDUCATION						
City University of New York	50,097	46,944	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	93	93	93	93	93
Higher Education Services Corporation, New York State	28,371	30,358	30,358	30,358	30,358	30,358
State University of New York	2,325,929	2,067,325	2,019,216	2,055,331	2,093,678	2,142,470
Functional Total	2,404,484	2,144,720	2,097,550	2,134,143	2,172,974	2,222,254
EDUCATION						
Education, Department of	29,141	25,864	27,630	27,383	27,547	27,558
<i>All Other</i>	29,141	25,864	27,630	27,383	27,547	27,558
Functional Total	29,141	25,864	27,630	27,383	27,547	27,558

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
GENERAL GOVERNMENT						
Budget, Division of the	1,470	2,693	2,693	2,696	2,693	2,693
Civil Service, Department of	356	428	12	12	442	451
Deferred Compensation Board	43	206	210	210	210	210
Elections, State Board of	44	0	0	0	0	0
Gaming Commission, New York State	58,168	39,891	32,391	32,427	32,391	32,391
General Services, Office of	1,926	3,182	3,184	3,249	3,289	3,354
Labor Management Committees	0	300	306	306	306	306
Prevention of Domestic Violence, Office for	0	5	5	5	5	5
Public Employment Relations Board	28	44	45	45	45	45
State, Department of	14,156	12,857	12,478	12,502	12,478	12,478
Taxation and Finance, Department of	5,556	29,214	31,080	31,401	30,909	30,456
Workers' Compensation Board	55,854	58,329	58,327	58,394	58,327	58,327
Functional Total	137,601	147,149	140,731	141,247	141,095	140,716
ELECTED OFFICIALS						
Audit and Control, Department of	3,174	6,094	6,102	6,102	6,102	6,102
Judiciary	55,103	53,000	49,600	49,600	49,600	49,600
Law, Department of	40,539	41,537	41,577	41,576	41,577	41,577
Legislature	1,160	950	950	950	950	950
Functional Total	99,976	101,581	98,229	98,228	98,229	98,229
ALL OTHER CATEGORIES						
Miscellaneous	1,495	(48,496)	(198,496)	(348,496)	(348,496)	(423,496)
Functional Total	1,495	(48,496)	(198,496)	(348,496)	(348,496)	(423,496)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	3,516,521	2,739,304	2,564,745	2,446,270	2,502,551	2,473,532

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,255	1,891	1,893	1,993	1,959	1,994
Economic Development, Department of	2	28	28	28	28	28
Financial Services, Department of	90,924	100,172	101,824	114,477	114,251	114,251
Public Service Department	32,395	26,761	27,788	29,526	28,974	29,483
Functional Total	124,576	128,852	131,533	146,024	145,212	145,756
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,573	51,400	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	1,960	2,007	1,941	1,941
Functional Total	48,837	54,552	49,669	51,884	50,311	51,173
TRANSPORTATION						
Motor Vehicles, Department of	20,986	23,315	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	2,124	2,197	2,412	2,457	2,457
Functional Total	22,706	25,439	26,315	28,375	29,072	29,072
HEALTH						
Health, Department of	31,713	37,680	35,807	37,585	38,870	38,884
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	37,680	35,226	37,004	38,289	38,303
Functional Total	31,713	37,680	35,807	37,585	38,870	38,884
SOCIAL WELFARE						
Children and Family Services, Office of	1,658	2,411	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,411	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	25,921	25,921	25,921	29,150	29,150
Labor, Department of	20,895	20,487	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	128	128	128	128	128
<i>All Other</i>	12	128	128	128	128	128
Functional Total	38,610	48,947	48,667	48,786	54,549	54,549
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	889	898	924	948	973
Mental Health, Office of	650,727	0	0	0	0	0
<i>OMH</i>	193,397	0	0	0	0	0
<i>OMH - Other</i>	457,330	0	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,372,133	889	898	924	948	973
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	117	106	106	106	106	106
Criminal Justice Services, Division of	35	35	35	35	35	36
Homeland Security and Emergency Services, Division of	615	809	817	835	905	905
Indigent Legal Services, Office of	1,069	1,906	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	6	59	9	9	10	10
State Police, Division of	14,072	23,900	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683	1,683
Functional Total	17,552	28,465	33,073	33,748	34,344	34,545
HIGHER EDUCATION						
City University of New York	145	150	150	150	150	150
Higher Education - Miscellaneous	81	150	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,020	9,020	9,020	9,020	9,020
State University of New York	430,859	513,808	538,059	567,030	582,193	609,523
Functional Total	439,216	523,128	547,379	576,350	591,513	618,843
EDUCATION						
Education, Department of	34,543	37,463	35,177	37,302	36,349	36,949
<i>All Other</i>	34,543	37,463	35,177	37,302	36,349	36,949
Functional Total	34,543	37,463	35,177	37,302	36,349	36,949
GENERAL GOVERNMENT						

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Budget, Division of the	712	1,657	1,657	1,717	1,657	1,657
Civil Service, Department of	180	229	231	244	241	245
Deferred Compensation Board	224	205	243	247	252	256
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575	17,575
General Services, Office of	369	548	548	583	575	585
State, Department of	10,433	12,110	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	21,283	21,309	22,567	21,391	21,318
Workers' Compensation Board	52,395	53,220	53,220	57,863	53,220	53,220
Functional Total	103,488	106,827	107,707	115,847	109,350	109,312
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,135	2,197	2,197	2,197	2,197
Judiciary	28,148	29,600	31,800	31,800	31,800	31,800
Law, Department of	16,578	19,302	19,799	20,605	19,799	19,799
Functional Total	46,403	51,037	53,796	54,602	53,796	53,796
ALL OTHER CATEGORIES						
Miscellaneous	1,293	1,348	1,350	1,362	1,367	1,377
Functional Total	1,293	1,348	1,350	1,362	1,367	1,377
TOTAL GENERAL STATE CHARGES SPENDING	2,281,070	1,044,627	1,071,371	1,132,789	1,145,681	1,175,229

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	6,893	8,055	8,055	8,055	8,055	8,055
Functional Total	<u>6,893</u>	<u>8,055</u>	<u>8,055</u>	<u>8,055</u>	<u>8,055</u>	<u>8,055</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	2,368	1,270	1,270	1,270	1,270	1,270
Functional Total	<u>2,368</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>
TRANSPORTATION						
Motor Vehicles, Department of	13,233	18,000	18,000	18,000	18,000	18,000
Transportation, Department of	46,699	42,504	42,504	42,504	42,504	42,504
Functional Total	<u>59,932</u>	<u>60,504</u>	<u>60,504</u>	<u>60,504</u>	<u>60,504</u>	<u>60,504</u>
HEALTH						
Aging, Office for the	97,545	95,646	96,946	98,694	98,694	98,694
Health, Department of	43,638,755	46,812,171	48,467,899	48,978,421	50,492,271	53,004,164
<i>Medical Assistance</i>	36,787,179	39,423,968	40,986,970	41,580,947	43,143,977	45,626,516
<i>Essential Plan</i>	3,874,925	4,855,827	4,884,774	4,934,411	4,947,105	4,955,024
<i>Medicaid Administration</i>	430,377	404,436	404,436	404,436	404,436	404,436
<i>Public Health</i>	2,546,274	2,127,940	2,191,719	2,058,627	1,996,753	2,018,188
Functional Total	<u>43,736,300</u>	<u>46,907,817</u>	<u>48,564,845</u>	<u>49,077,115</u>	<u>50,590,965</u>	<u>53,102,858</u>
SOCIAL WELFARE						
Children and Family Services, Office of	1,156,214	838,300	838,300	838,300	838,300	838,300
<i>OCFS</i>	1,156,214	838,300	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	57,455	48,434	48,434	48,434	48,434	48,434
Labor, Department of	148,578	151,892	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,000,612	3,743,576	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,160,566	2,926,576	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	840,046	817,000	817,000	817,000	817,000	817,000
Functional Total	<u>4,362,859</u>	<u>4,782,202</u>	<u>4,482,202</u>	<u>4,482,202</u>	<u>4,482,202</u>	<u>4,482,202</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	108,802	114,490	114,490	114,490	114,490	114,490
<i>OASAS</i>	108,802	114,490	114,490	114,490	114,490	114,490
Mental Health, Office of	30,762	39,979	39,979	32,025	32,025	32,025
<i>OMH</i>	30,762	39,979	39,979	32,025	32,025	32,025
Functional Total	<u>139,564</u>	<u>154,469</u>	<u>154,469</u>	<u>146,515</u>	<u>146,515</u>	<u>146,515</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	14,131	41,800	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,342,908	923,771	819,771	828,771	828,771	828,771
State Police, Division of	62	0	0	0	0	0
Victim Services, Office of	31,941	47,000	65,000	47,000	47,094	47,094
Functional Total	<u>1,389,042</u>	<u>1,012,571</u>	<u>900,571</u>	<u>891,571</u>	<u>891,665</u>	<u>891,665</u>
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	62	0	0	0	0	0
State University of New York	750	0	0	0	0	0
Functional Total	<u>812</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION						
Arts, Council on the	540	600	600	600	600	600
Education, Department of	3,182,323	3,541,557	3,594,065	3,647,645	3,647,645	3,647,645
<i>School Aid</i>	2,360,873	2,769,850	2,817,358	2,865,938	2,865,938	2,865,938
<i>Special Education Categorical Programs</i>	737,695	690,000	695,000	700,000	700,000	700,000
<i>All Other</i>	83,755	81,707	81,707	81,707	81,707	81,707
Functional Total	<u>3,182,863</u>	<u>3,542,157</u>	<u>3,594,665</u>	<u>3,648,245</u>	<u>3,648,245</u>	<u>3,648,245</u>
GENERAL GOVERNMENT						
Elections, State Board of	276	0	0	0	0	0
General Services, Office of	0	250	250	250	250	250
State, Department of	55,864	57,957	57,957	57,957	57,957	57,957
Functional Total	<u>56,140</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>
ALL OTHER CATEGORIES						
Miscellaneous	(342,300)	(496,665)	(467,938)	(467,938)	(467,938)	(467,938)
Functional Total	<u>(342,300)</u>	<u>(496,665)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>52,594,473</u>	<u>56,030,587</u>	<u>57,356,850</u>	<u>57,905,746</u>	<u>59,419,690</u>	<u>61,931,583</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,469	3,448	3,413	3,413	3,413	3,413
Public Service Department	1,609	1,202	1,202	1,202	1,202	1,202
Functional Total	5,078	4,650	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	25,065	27,808	27,808	28,876	28,876	28,876
Parks, Recreation and Historic Preservation, Office of	2,020	1,123	1,223	1,367	1,367	1,367
Functional Total	27,085	28,931	29,031	30,243	30,243	30,243
TRANSPORTATION						
Motor Vehicles, Department of	1,463	3,735	4,835	4,979	4,979	4,979
Transportation, Department of	9,161	10,484	10,484	10,887	10,484	10,484
Functional Total	10,624	14,219	15,319	15,866	15,463	15,463
HEALTH						
Aging, Office for the	5,976	6,521	5,926	6,068	6,068	6,068
Health, Department of	100,651	110,234	112,237	117,591	114,060	114,423
<i>Medicaid Administration</i>	32,027	49,645	55,241	58,540	57,341	57,675
<i>Public Health</i>	68,624	60,589	56,996	59,051	56,719	56,748
Medicaid Inspector General, Office of the	15,817	15,130	14,247	14,861	14,247	14,247
Functional Total	122,444	131,885	132,410	138,520	134,375	134,738
SOCIAL WELFARE						
Children and Family Services, Office of	26,445	29,247	29,247	30,451	31,060	31,060
<i>OCFS</i>	26,445	29,247	29,247	30,451	31,060	31,060
Housing and Community Renewal, Division of	5,943	7,595	7,595	8,125	8,288	8,288
Human Rights, Division of	3,653	3,135	3,135	3,354	3,421	3,421
Labor, Department of	172,236	159,784	159,620	171,550	174,986	174,986
National and Community Service	259	377	377	390	398	398
Temporary and Disability Assistance, Office of	88,678	86,131	77,755	77,755	79,311	79,311
<i>All Other</i>	88,678	86,131	77,755	77,755	79,311	79,311
Functional Total	297,214	286,269	277,729	291,625	297,464	297,464
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	5,107	2,542	3,071	3,004	2,964	2,994
<i>OASAS</i>	5,107	2,542	3,071	3,004	2,964	2,994
Developmental Disabilities Planning Council	1,007	1,266	1,266	1,266	1,266	1,266
Justice Center	309	228	228	228	229	231
Mental Health, Office of	2,036	813	813	813	813	813
<i>OMH</i>	2,036	813	813	813	813	813
Functional Total	8,459	4,849	5,378	5,311	5,272	5,304
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	26,314	15,753	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,942	4,602	4,602	4,681	4,681	4,681
Homeland Security and Emergency Services, Division of	11,288	15,000	12,727	12,727	12,727	13,027
Military and Naval Affairs, Division of	21,400	21,032	21,032	21,032	21,032	21,453
State Police, Division of	10,046	11,975	11,975	12,265	12,403	12,652
Victim Services, Office of	1,860	2,183	2,450	1,709	1,743	1,743
Functional Total	76,850	70,545	68,539	68,167	68,339	69,309
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	836	836	836	836	836
State University of New York	7,963	7,229	7,229	7,229	7,229	7,229
Functional Total	7,963	8,065	8,065	8,065	8,065	8,065
EDUCATION						
Education, Department of	85,894	84,486	84,486	87,737	87,737	87,737
<i>All Other</i>	85,894	84,486	84,486	87,737	87,737	87,737
Functional Total	85,894	84,486	84,486	87,737	87,737	87,737
GENERAL GOVERNMENT						
Elections, State Board of	99	240	480	480	480	480
Prevention of Domestic Violence, Office for	23	0	0	0	0	0
State, Department of	3,010	3,758	3,758	3,758	3,758	3,758
Veterans' Affairs, Division of	504	804	804	826	843	843
Functional Total	3,636	4,802	5,042	5,064	5,081	5,081

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ELECTED OFFICIALS						
Judiciary	1,773	2,200	1,900	1,900	1,900	1,900
Law, Department of	20,135	20,260	20,768	21,624	20,768	21,624
Functional Total	<u>21,908</u>	<u>22,460</u>	<u>22,668</u>	<u>23,524</u>	<u>22,668</u>	<u>23,524</u>
TOTAL PERSONAL SERVICE SPENDING	<u>667,155</u>	<u>661,161</u>	<u>653,282</u>	<u>678,737</u>	<u>679,322</u>	<u>681,543</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	10,059	9,797	9,816	9,808	9,808	9,808
Economic Development, Department of	486	245	245	245	245	245
Financial Services, Department of	254	1,400	1,400	1,400	1,400	1,400
Public Service Department	698	40	93	92	92	92
Functional Total	11,497	11,482	11,554	11,545	11,545	11,545
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	350	350	350	350	350
Environmental Conservation, Department of	14,727	16,942	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,756	1,145	1,145	1,147	1,147	1,147
Functional Total	17,483	18,437	18,437	18,439	18,439	18,439
TRANSPORTATION						
Motor Vehicles, Department of	2,820	3,813	4,528	4,539	4,539	4,539
Transportation, Department of	8,450	13,585	13,435	13,450	13,435	13,435
Functional Total	11,270	17,398	17,963	17,989	17,974	17,974
HEALTH						
Aging, Office for the	5,624	3,279	4,348	4,348	4,348	4,348
Health, Department of	615,128	635,368	629,636	592,895	597,115	567,175
<i>Medicaid Administration</i>	306,994	415,845	430,670	395,725	402,435	373,637
<i>Public Health</i>	308,134	219,523	198,966	197,170	194,680	193,538
Medicaid Inspector General, Office of the	2,993	4,934	3,024	3,066	3,066	3,066
Functional Total	623,745	643,581	637,008	600,309	604,529	574,589
SOCIAL WELFARE						
Children and Family Services, Office of	53,142	63,268	68,407	69,510	70,875	70,875
<i>OCFS</i>	53,142	63,268	68,407	69,510	70,875	70,875
Housing and Community Renewal, Division of	2,374	2,709	2,709	3,155	3,293	3,293
Human Rights, Division of	1,494	1,287	1,287	1,313	1,339	1,339
Labor, Department of	58,129	75,629	75,623	78,537	81,677	81,677
National and Community Service	14,068	15,268	15,268	15,573	15,884	15,884
Temporary and Disability Assistance, Office of	72,021	78,234	72,514	72,514	73,954	73,954
<i>All Other</i>	72,021	78,234	72,514	72,514	73,954	73,954
Functional Total	201,228	236,395	235,808	240,602	247,022	247,022
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,904	2,087	2,263	2,261	2,314	2,378
<i>OASAS</i>	1,904	2,087	2,263	2,261	2,314	2,378
Developmental Disabilities Planning Council	2,501	2,149	2,149	2,149	2,149	2,149
Justice Center	860	536	536	536	549	568
Mental Health, Office of	411	555	555	555	555	555
<i>OMH</i>	411	555	555	555	555	555
People with Developmental Disabilities, Office for	398	1,000	1,000	1,000	1,000	1,000
<i>OPWDD</i>	398	1,000	1,000	1,000	1,000	1,000
Functional Total	6,074	6,327	6,503	6,501	6,567	6,650
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	524	1,191	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	7,676	4,249	4,249	4,249	4,249	4,334
Homeland Security and Emergency Services, Division of	17,581	22,499	23,190	23,190	23,190	23,554
Military and Naval Affairs, Division of	15,948	15,198	15,502	15,812	15,812	16,124
State Police, Division of	12,700	13,000	13,000	13,000	13,400	13,668
Victim Services, Office of	1,071	1,510	1,500	775	785	785
Functional Total	55,500	57,647	58,632	58,217	58,627	59,656
HIGHER EDUCATION						
City University of New York	81	7,634	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	5,133	5,797	5,797	5,797	5,797	5,797
State University of New York	333,120	304,760	304,760	304,760	304,760	304,760
Functional Total	338,334	318,191	318,191	318,191	318,191	318,191
EDUCATION						
Arts, Council on the	0	100	100	100	100	100
Education, Department of	74,958	64,901	64,901	65,381	65,381	65,381
<i>All Other</i>	74,958	64,901	64,901	65,381	65,381	65,381
Functional Total	74,958	65,001	65,001	65,481	65,481	65,481

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Actuals	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
GENERAL GOVERNMENT						
Elections, State Board of	3,763	3,850	4,500	4,000	2,800	824
General Services, Office of	8,062	8,032	8,032	8,032	8,032	8,193
State, Department of	1,442	4,046	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	1,180	1,220	1,220	1,220	1,220	1,220
Technology, Office for	3,126	0	0	0	0	0
Veterans' Affairs, Division of	104	620	658	671	685	685
Functional Total	17,677	17,768	18,456	17,969	16,783	14,968
ELECTED OFFICIALS						
Judiciary	4,352	7,800	8,500	8,500	8,500	8,500
Law, Department of	6,973	10,659	8,577	8,577	8,577	8,577
Functional Total	11,325	18,459	17,077	17,077	17,077	17,077
ALL OTHER CATEGORIES						
Miscellaneous	(57)	0	0	0	0	0
Functional Total	(57)	0	0	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,369,034	1,410,686	1,404,630	1,372,320	1,382,235	1,351,592

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Actuals</u>	<u>FY 2019 Current</u>	<u>FY 2020 Proposed</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,040	2,157	2,081	2,125	2,170	2,216
Public Service Department	1,788	1,723	733	748	764	780
Functional Total	<u>3,828</u>	<u>3,880</u>	<u>2,814</u>	<u>2,873</u>	<u>2,934</u>	<u>2,996</u>
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	16,621	17,375	16,953	17,976	18,357	18,744
Parks, Recreation and Historic Preservation, Office of	0	0	0	24	24	24
Functional Total	<u>16,621</u>	<u>17,375</u>	<u>16,953</u>	<u>18,000</u>	<u>18,381</u>	<u>18,768</u>
TRANSPORTATION						
Motor Vehicles, Department of	855	720	720	806	806	806
Transportation, Department of	4,350	6,550	6,489	6,641	6,489	6,489
Functional Total	<u>5,205</u>	<u>7,270</u>	<u>7,209</u>	<u>7,447</u>	<u>7,295</u>	<u>7,295</u>
HEALTH						
Health, Department of	36,009	51,366	47,610	50,687	51,028	49,869
<i>Medicaid Administration</i>	2,834	6,215	6,642	7,049	7,356	7,356
<i>Public Health</i>	33,175	45,151	40,968	43,638	43,672	42,513
Medicaid Inspector General, Office of the	9,354	9,868	10,231	11,007	11,007	11,007
Functional Total	<u>45,363</u>	<u>61,234</u>	<u>57,841</u>	<u>61,694</u>	<u>62,035</u>	<u>60,876</u>
SOCIAL WELFARE						
Children and Family Services, Office of	11,206	22,558	17,829	19,781	19,745	19,745
<i>OCFS</i>	11,206	22,558	17,829	19,781	19,745	19,745
Housing and Community Renewal, Division of	3,500	4,005	4,005	4,005	5,501	5,501
Labor, Department of	100,504	95,383	96,193	96,193	117,217	117,217
National and Community Service	0	229	236	242	242	242
Temporary and Disability Assistance, Office of	52,383	49,603	54,114	48,412	49,436	50,476
<i>All Other</i>	52,383	49,603	54,114	48,412	49,436	50,476
Functional Total	<u>167,593</u>	<u>171,778</u>	<u>172,377</u>	<u>168,633</u>	<u>192,141</u>	<u>193,181</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	2,384	2,028	2,097	2,190	2,211
<i>OASAS</i>	0	2,384	2,028	2,097	2,190	2,211
Developmental Disabilities Planning Council	870	785	785	785	785	785
Justice Center	60	64	64	64	65	93
Mental Health, Office of	1,166	456	469	469	469	469
<i>OMH</i>	1,166	456	469	469	469	469
Functional Total	<u>2,096</u>	<u>3,689</u>	<u>3,346</u>	<u>3,415</u>	<u>3,509</u>	<u>3,558</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,094	1,041	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	617	348	348	348	348	355
Homeland Security and Emergency Services, Division of	6,654	7,064	4,579	4,579	4,579	4,579
Military and Naval Affairs, Division of	5,769	10,518	6,620	6,745	6,745	6,745
State Police, Division of	1,745	1,500	1,500	1,500	1,500	1,500
Functional Total	<u>15,879</u>	<u>20,471</u>	<u>14,088</u>	<u>14,213</u>	<u>14,213</u>	<u>14,220</u>
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	1	1	1	1	1
State University of New York	25	51	51	51	51	51
Functional Total	<u>25</u>	<u>52</u>	<u>52</u>	<u>52</u>	<u>52</u>	<u>52</u>
EDUCATION						
Education, Department of	50,773	50,935	52,426	56,000	56,000	56,000
<i>All Other</i>	50,773	50,935	52,426	56,000	56,000	56,000
Functional Total	<u>50,773</u>	<u>50,935</u>	<u>52,426</u>	<u>56,000</u>	<u>56,000</u>	<u>56,000</u>
GENERAL GOVERNMENT						
Elections, State Board of	0	150	300	300	300	300
State, Department of	1,780	2,809	2,810	2,811	2,812	2,812
Veterans' Affairs, Division of	295	502	490	514	536	547
Functional Total	<u>2,075</u>	<u>3,461</u>	<u>3,600</u>	<u>3,625</u>	<u>3,648</u>	<u>3,659</u>
ELECTED OFFICIALS						
Judiciary	431	400	500	500	500	500
Law, Department of	11,667	12,729	12,807	14,218	12,807	12,807
Functional Total	<u>12,098</u>	<u>13,129</u>	<u>13,307</u>	<u>14,718</u>	<u>13,307</u>	<u>13,307</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>321,556</u>	<u>353,274</u>	<u>344,013</u>	<u>350,670</u>	<u>373,515</u>	<u>373,912</u>

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
RBTF - Dedicated PIT in excess of Debt Service		21,824,369	23,890,975	24,197,556	25,358,457	26,785,173
ECEP in Excess of Revenue Bond Debt Service		100	1,200	4,050	10,600	11,600
STBF - Sales Tax Bond Fund		2,675,124	2,932,762	2,682,334	2,834,456	2,864,855
LGAC - Dedicated Sales Tax in excess of Debt Service		3,132,377	3,468,179	3,680,705	3,928,335	4,108,607
CWCA - Real Estate Transfer Tax in excess of Debt Service		950,430	973,121	1,012,556	1,053,814	1,104,402
Total All Other Transfers		3,336,115	2,663,653	2,139,163	1,790,191	1,708,824
339.21982	Administration Program	1,301	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.21977	Business and Licensing Services Account	118,077	55,674	55,058	53,328	53,328
339.21971	Cable Television Account	2,500	0	0	0	0
339.21920	Certificate of Need Account	1,086	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	3,000	0	0	0	0
334.55055	Civil Service Administration Account	1,651	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	639	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	289	289	289	289	289
S01.23702	Commercial Gaming Regulation	2	2	2	2	2
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	357	357	357	357	357
339.21945	Criminal Justice Improvement Account	8,596	9,500	9,500	9,500	9,500
072.30050	Dedicated Highway and Bridge Trust Fund	57,567	57,567	57,567	57,567	57,567
323.55010	Design and Construction Account	1,866	1,866	1,866	1,866	1,866
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
366.23102	Drinking Water Program Management and Administration - Health Account	1,108	1,108	1,108	1,108	1,108
S07.24901	Elementary Secondary Education Charitable	0	36,211	0	0	0
061.20809	Emergency Medical Services Training Account	131	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	131	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	7	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	1,961	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	1,569	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
265.25100	Federal Health and Human Services Fund	117,423	103,423	103,423	103,423	103,423
290.25300	Federal Operating Grants Fund	23,076	576	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	33,801	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	20,543	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	53,346	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	8	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	25,200	25,200	25,200	25,200	25,200
S06.24850	Health Care Transformation Account	555,000	667,000	432,000	118,000	118,000
S07.24900	Health Charitable Gifts Trust	0	59,000	0	0	0
396.55300	Health Insurance Internal Services Account	3,428	3,428	3,428	3,428	3,428
339.22140	Helen Hayes Hospital Account	299	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	16,221	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
339.22096	Legal Services Assistance Fund	9,830	29,080	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration - New	4,225	4,274	4,274	4,274	4,274
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	1,432,483	1,243,856	1,112,738	1,065,489	982,230
339.21909	Mental Hygiene Patient Income Account	37,958	0	0	0	0
225.23652	Metropolitan Transportation Authority Aid Trust Account	225	0	0	0	0
S02.23753	MMF - Law Enforcement	0	150	0	0	0
314.21452	Mobile Source Account	6,404	6,404	6,404	6,404	6,404
225.23651	Mobility Tax Trust Account	2,000	0	0	0	0
339.22144	Montrose State Veterans Home	67	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,100	1,400	1,100	1,100	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	119	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	107	107	107	107	107
323.5502X	Office of General Services Executive Direction Account	105	105	105	105	105
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,000	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
339.22239	Opioid Stewardship Account	100,000	100,000	100,000	100,000	100,000
331.0GSPS	Parking Services	1,000	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	102	102	102	102	102
061.20814	Primary Care Initiatives Account	158	158	158	158	158
S01.23703	Problem Gambling Services	1,500	0	0	0	0
339.22088	Professional Medical Conduct Account	291	291	291	291	291
339.22123	Public Safety Communication Account	5,161	30,161	5,161	5,161	5,161
339.22011	Public Service Account	5,671	7,871	7,871	7,871	7,871
339.21915	Quality of Care Account	66,954	0	0	0	0
339.21965	Radiological Health Protection	216	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	329	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
339.21912	Regulation of Racing Account	458	458	458	458	458
339.22156	Rent Revenue Other - New York City	115	115	115	115	115
339.21900	Reserve for Transaction Risks	(123,846)	(328,291)	(350,000)	(350,000)	(350,000)
339.22024	Revenue Arrearage Account	70,474	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	6,725	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	115,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	32,000	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	43,352	39,884	43,308	57,300	60,292
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	4,214	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,320	5,320	5,320	5,320	5,320
339.22055	Traffic Adjudication Account	0	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	8	8	8	8	8
339.22067	Transportation Regulation Account	885	1,988	2,428	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	213,350	132,150	132,150	132,150	132,150
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	50,569	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,583	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,405	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	666	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
339.21995	Workers' Compensation Account	16,352	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	141,507	0	0	0	0
		<u>31,918,515</u>	<u>33,929,890</u>	<u>33,716,364</u>	<u>34,975,853</u>	<u>36,583,461</u>

General Fund Transfers To Other Funds
(thousands of dollars)

Fund	Account Name	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Transfers to Debt Service Funds		803,746	537,426	761,502	652,771	642,338
Transfers to Capital Projects Funds		1,963,289	2,895,040	3,051,080	3,213,922	3,677,474
Transfers to SUNY University Operations		1,020,245	1,173,921	1,254,800	1,254,800	1,254,800
Total All Other Transfers		1,061,393	1,082,215	1,146,574	1,333,436	1,485,436
020.20143	Alzheimers Disease Assistance	270	270	270	270	270
334.55057	Banking Services Account	47,109	53,435	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	500	500	500	500	500
323.55022	Business Services Center	6,000	28,000	28,000	28,000	28,000
334.55069	Centralized Technology Services Account	13,960	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	159,300	149,300	159,300	159,300	159,300
397.55350	Correctional Industries Account	20,773	20,773	20,773	20,773	20,773
340.22501	Court Facility Income Account	112,000	112,000	113,000	113,000	113,000
073.20853	Dedicated Mass Transportation Non MTA	5,349	5,274	5,274	5,274	5,274
339.22056	Federal Salary Sharing Account	2,727	2,787	2,859	2,940	2,940
319.40300	Health Income Fund	16,079	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	8,083	8,083	8,083	8,083	8,083
316.40250	Housing Debt Fund	1,000	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	28,000	28,000	28,000	74,781	74,781
313.21402	Metropolitan Mass Transportation Operating Assistance Account	21,513	21,175	21,175	21,175	21,175
S02.23755	MMF - Health Operation and Oversight Account	6,550	4,626	6,913	6,913	6,913
225.23651	Mobility Tax Trust Account	244,250	244,250	244,250	244,250	244,250
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	3,100	3,500	3,500	3,500	3,500
323.550ZY	OGS Building Administration Account	1,500	9,500	9,500	9,500	9,500
323.550ZX	OGS Executive Direction Account	21,783	21,783	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	200	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	16,420	16,144	16,144	16,144	16,144
073.20852	Railroad Account	9,344	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,020	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22656	State University Hospital IFR Operations Account	243,000	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	1,500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	52,117	51,394	102,394	242,394	394,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		4,848,673	5,688,602	6,213,956	6,454,929	7,060,048

CASH COMBINING STATEMENT
GENERAL FUND
FY 2019
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Fringe Benefits Escrow Account	Total
Opening Fund Balance	0	1,258	21	46	540	5,020	2,060	500	0	0	9,445
Receipts:											
Taxes	35,546	0	0	0	0	0	0	0	0	0	35,546
Miscellaneous Receipts	2,090	0	0	0	0	1,105	0	0	0	0	3,195
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total receipts	37,636	0	0	0	0	1,105	0	0	0	0	38,741
Disbursements:											
Local Assistance	49,774	0	0	10	0	0	0	0	0	0	49,784
State Operations	11,544	0	0	0	0	0	0	0	0	0	11,544
General State Charges	7,383	0	0	0	0	0	0	0	0	0	7,383
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	68,701	0	0	10	0	0	0	0	0	0	68,711
Other financing sources (uses):											
Transfers from Other Funds	72,647	0	0	0	250	0	511	0	(41,491)	0	31,917
Transfers to Other Funds	(41,582)	0	0	(3)	0	(2,182)	(2,571)	0	41,491	0	(4,847)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	31,065	0	0	(3)	250	(2,182)	(2,060)	0	0	0	27,070
Change in Fund Balance	0	0	0	(13)	250	(1,077)	(2,060)	0	0	0	(2,900)
Closing Fund Balance	0	1,258	21	33	790	3,943	0	500	0	0	6,545

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2019
(thousands of dollars)

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMERS PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	2,334	64,073	39,952	51	23	6,734	4,907	0	5,664	14,572	75,055
Receipts:											
Taxes	0	0	0	0	0	0	0	2,424,259	0	785,000	481,036
Miscellaneous Receipts	72	(147,335)	40,000	318	65	4,905	9,233	0	0	5,130,879	144,489
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(147,335)	40,000	318	65	4,905	9,233	2,424,259	0	5,915,879	625,525
Disbursements:											
Local Assistance	0	9,019	23,000	0	0	0	5,056	2,424,259	4,837	5,666,825	682,844
State Operations	72	2,881	1,478	420	247	2,666	2,149	0	0	39,040	0
General State Charges	0	220	549	187	149	1,195	1,115	0	0	6,603	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,120	25,027	607	396	3,861	8,320	2,424,259	4,837	5,712,468	682,844
Other Financing Sources (Uses):											
Transfers from Other Funds	0	160,892	0	300	300	0	0	0	4,837	0	66,810
Transfers to Other Funds	0	0	0	(8)	0	(265)	(1,383)	0	0	(217,978)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,892	0	292	300	(265)	(1,383)	0	4,837	(217,978)	66,810
Change in Fund Balance	0	1,437	14,973	3	(31)	779	(470)	0	0	(14,567)	9,491
Closing Fund Balance	2,334	65,510	54,925	54	(8)	7,513	4,437	0	5,664	5	84,546

	STATE LOTTERY (20900-20949)	COMBINED STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (20950-20999)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (23000-23099)	FEDERAL HEALTH AND HUMAN SERVICES (23100-23199)	FEDERAL EDUCATION (23200-23249)	MISCELLANEOUS OPERATING GRANTS (23300-23399)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	105,952	9,489	165,728	25,452	370,545	(1,094)	(227,683)	(3,342)	(4,708)	73,557	36,754
Receipts:											
Taxes	0	0	99,000	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,524,961	7,467	193,500	100,011	43,998	392	4,255	900	77,111	46,792	57,976
Federal Grants	0	650	0	2,114,934	53,177,179	3,137,437	1,465,348	0	0	0	0
Total Receipts	3,524,961	8,117	292,500	2,214,945	53,221,177	3,137,829	1,469,603	900	77,111	46,792	57,976
Disbursements:											
Local Assistance	3,200,800	0	669,699	2,062,563	50,142,762	2,548,621	1,124,760	0	0	0	0
State Operations	52,884	6,701	0	96,539	955,145	520,601	264,259	432	66,202	24,525	13,390
General State Charges	11,833	0	0	21,751	122,133	55,780	58,268	266	28,746	13,808	7,691
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,265,517	6,701	669,699	2,180,853	51,220,040	3,125,002	1,447,287	698	94,948	38,333	21,081
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	244,250	0	0	0	11,517	0	19,157	75	19,006
Transfers to Other Funds	(4,891)	0	(2,225)	(34,092)	(2,032,485)	(12,827)	(33,833)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(4,891)	0	242,025	(34,092)	(2,032,485)	(12,827)	(22,316)	0	8,406	(1,820)	(30,300)
Change in Fund Balance	254,553	1,416	(135,174)	0	(31,348)	(31,348)	0	202	(9,431)	6,639	6,595
Closing Fund Balance	360,505	10,905	30,554	25,452	339,197	(1,094)	(227,683)	(3,140)	(14,139)	80,196	43,349

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2019
(Thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY (21550-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21340)	EQUIPMENT LOAN FUND FOR THE DISBURSEMENT (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN ENERGY PROGRAM (21450-21499)	NEW YORK STATE INFRASTRUCTURE PROGRAM (21500-21549)	LEGISLATIVE COMMITTEE OPERATIONS (21550-21599)	STATE UNIVERSITY DOMINION UNIVERSITY (40350-40399)	COMBINED NON- EXPENDABLE FUNDS (21650-21699)	WINTER SPORTS EDUCATION PROGRAM (21700-21749)	MUSICAL INSTRUMENT REVENUE FUNDS (21750-21799)
Opening Fund Balance	4,333	7,630	537	17,716	(25,556)	68	10,926	240,235	461	0	1
Receipts:											
Taxes	0	0	0	2,294,911	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	10,000	28	17,500	43,200	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	10,000	28	2,312,411	43,200	0	1,719	344,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,279,866	0	0	0	0	0	0	0
State Operations	33,684	12,600	91	4,031	23,149	0	950	0	59	75	0
General State Charges	13,505	200	0	2,124	12,176	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	47,189	12,800	91	2,286,021	35,325	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,933	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(8,205)	(6,404)	0	0	(384,016)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	1,307	(2,800)	(70)	29,728	(6,404)	0	0	(384,016)	0	0	0
Change In Fund Balance	5,640	(4,830)	467	73,834	(24,085)	68	11,695	200,243	56	0	1
Closing Fund Balance											

	ARTS CAPITAL RESERVE (21850-21899)	MISCELLANEOUS STATE SPECIAL FUNDS (21900-22499)	COURT FACILITIES INSURANCE (22500-22549)	EMPLOYMENT (22550-22599)	STATE UNIVERSITY OPERATIONS (22650-22699)	CHEMICAL DEPENDENCE (22700-22749)	LAKE GEORGE OPERATIONS (22750-22799)	LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE PROGRAMS (22800-22849)	NEW YORK GREAT LAKES (22850-22899)	FEDERAL REVENUE MAXIMIZATION (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	916	1,240,418	2,883	50	1,370,597	14,526	(142)	27,137	704	23	10,558
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	3,098,184	150	0	4,838,783	6,479	1,208	123,567	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	3,098,273	150	0	4,838,783	6,479	1,208	123,567	160	0	900
Disbursements:											
Local Assistance	98	1,183,428	108,700	0	0	0	0	4,237	0	0	852
State Operations	0	1,517,204	1,800	0	5,808,124	6,456	971	9,244	155	0	0
General State Charges	0	402,107	800	0	513,808	0	425	35	53	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	3,102,739	111,300	0	6,321,932	6,456	1,396	13,516	208	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	690,156	112,000	0	1,783,200	0	0	0	0	0	0
Transfers to Other Funds	0	(1,001,891)	(167)	0	(254,090)	(13,500)	0	(116,520)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(38)	(311,735)	111,833	0	1,529,110	(13,500)	0	(116,520)	0	0	0
Change In Fund Balance	(38)	(316,201)	683	0	45,961	(13,477)	(188)	(6,469)	(48)	0	48
Closing Fund Balance	878	924,217	3,566	50	1,416,558	1,049	(330)	20,668	656	23	10,606

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2019**
(thousands of dollars)

	INYS DOT HIGHWAY SAFETY PROGRAM (23000-23048)	VOCATIONAL REI (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT AND ADJUSTMENT (23100-23148)	NEW YORK CITY COUNTY CLERKS' OPERATIONS (23150-23199)	JUDICIARY DATA PROCESSING (23200-23248)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23448)	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC CENTER (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATIVE (23600-23649)	UNEMPLOYMENT INTEREST ANNUAL (23650-23699)	UNEMPLOYMENT INSURANCE OCCUPATIONAL (23700-23749)
Opening Fund Balance	(10,567)	4	(5,351)	(25,782)	19,608	169,199	180	283,674	128,160	35,533	(511)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	34,900	40,000	97,641	85	132,000	53,840	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	306,186	0	7,780
Total Receipts	3,068	100	0	34,900	40,000	97,641	85	132,000	360,026	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	113,150	9,339	0	7,780
State Operations	3,449	25	0	23,573	24,861	95,092	75	28,815	212,949	2,685	0
General State Charges	0	0	0	11,500	8,900	150	0	1,906	87,084	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,449	45	0	35,073	33,761	95,242	75	143,871	309,372	3,858	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,100	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(381)	(32)	(1,108)	3,100	0	0	0	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(10,948)	23	(1,108)	2,927	6,239	2,399	10	16,129	85	425	0
Closing Fund Balance	(10,948)	27	(6,459)	(22,855)	25,847	171,598	190	279,803	128,245	35,958	(511)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23849)	FANTASY SPORTS (24850-24951)	HEALTHCARE TRANSFER (24850)	CHARITABLE TRUST FUND (24900-24901)	SPECIAL REVENUE OTHER	SUB-TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(1,270)	23,436	5,616	4,112	8,008	0	0	0	4,302,085	0	4,302,085
Receipts:											
Taxes	0	0	3,500	(1,700)	0	0	0	0	6,086,006	0	6,086,006
Miscellaneous Receipts	0	176,196	0	1,867	5,000	1,068,000	93,391	(1,000)	19,495,591	0	19,495,591
Federal Grants	162,466	0	0	0	0	0	0	0	60,372,069	0	60,372,069
Total Receipts	162,466	176,196	3,500	167	5,000	1,068,000	93,391	(1,000)	85,953,666	0	85,953,666
Disbursements:											
Local Assistance	134,762	175,365	1,800	0	0	0	0	1,000	72,585,442	0	72,585,442
State Operations	22,354	3,523	4,503	1,335	708	0	0	0	9,892,171	0	9,892,171
General State Charges	8,258	1,346	1,274	491	292	0	0	0	1,397,901	0	1,397,901
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	165,374	180,234	7,577	1,826	1,000	0	0	1,000	83,875,514	0	83,875,514
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	6,550	0	0	0	0	0	3,188,083	(1,077,903)	2,110,180
Transfers to Other Funds	0	(1,502)	0	(45)	0	(555,000)	0	1,000	(4,805,578)	1,077,903	(3,727,675)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2,908)	(1,502)	6,550	(45)	0	(555,000)	0	1,000	(1,617,495)	0	(1,617,495)
Change in Fund Balance	(4,178)	17,896	8,089	2,408	12,008	513,000	93,391	(1,000)	460,657	0	460,657
Closing Fund Balance	(4,178)	17,896	8,089	2,408	12,008	513,000	93,391	(1,000)	4,762,742	0	4,762,742

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2019

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Ment Hyg Gifts	2,332	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	2,332
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,453	0	350	0	0	0	350	0	216	48	7	136	0	0	0	0	407	1,396
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	52	0	5	0	0	5	5	0	0	5	0	0	0	0	0	0	5	52
020.20109-Helen Hayes Hsp	59	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	24
020.20110-Oxford Donation	308	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	424
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	89	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	86
020.20113-Donations-Batav	24	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	3
020.20114-Montrose Donati	180	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	179
020.20116-IBR Genetic Cou	33	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	33
020.20118-Tech Transfer	15	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	41
020.20120-Spec Events	2,506	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,644
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,304	0	733	0	0	0	733	0	44	518	1	28	0	0	0	0	591	1,446
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	3,561	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	3,433
020.20129-NYSCB Gift& Beq	176	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	159
020.20130-St Transm Money	19,725	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	0	19,945
020.20142-Youth Grants &	275	0	2	0	0	2	2	0	43	418	0	17	0	0	0	0	478	(201)
020.20143-Alzheimers Dis	1,640	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,360
020.20144-Local Gov Comm	145	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	150
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	18	284
020.20149-Autism Aware &	292	0	10	0	0	0	10	0	0	93	4	30	0	0	0	0	3,355	18,217
020.20150-Emergency Serv	15,884	0	5,688	0	0	0	5,688	3,101	127	23	0	0	0	0	0	0	23	342
020.20151-Batavia-Charlot	345	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	80
020.20152-Rome-Gifts And	79	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	6,119
020.20155-Br Can Res & Ed	7,659	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	6,119
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	105	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	105
020.20166-Erie Canal Muse	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,392	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,615
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,778	0	240	0	0	200	440	548	0	0	0	0	0	0	0	0	548	2,670
020.20185-Percy T Phillip	41	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	41
020.20192-Missing Children	96	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	105
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	534	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	534
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	62	0	100	0	0	0	100	0	14	90	1	9	0	0	0	0	114	48
020.201HH-OWH Grant & Beq	794	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	794
020.201MH-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2019

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XK-Grants Account	1,542	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,975
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	262	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	562
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	235	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	235
020.20201-Veterans Rem Ce	902	0	241	0	0	0	241	0	0	0	0	0	0	0	0	0	0	1,143
020.20205-Mental Illness	155	0	58	0	0	0	58	0	0	0	0	0	0	0	0	0	0	213
020.20206-Women's Cancer	157	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	57
020.20209-Combined Gifts	0	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	(3)
023.20300-N Y Int Lawyers	39,951	0	40,000	0	0	0	40,000	23,000	830	619	29	0	549	0	0	0	25,027	54,924
024.20350-NYS Archvs Pine	51	0	318	0	0	300	618	0	292	119	9	0	187	0	0	8	615	54
025.20401-Child Performer	27	0	65	0	0	300	365	0	232	9	6	0	149	0	0	0	396	(4)
050.20451-Tuition Reimb	4,671	0	705	0	0	0	705	0	200	0	0	0	101	0	0	23	324	5,052
050.20452-Voc School Supe	2,064	0	4,200	0	0	0	4,200	0	1,713	700	53	0	1,094	0	0	242	3,802	2,462
052.20501-Loc Govt Record	4,906	0	9,233	0	0	0	9,233	5,056	1,745	350	54	0	1,115	0	0	1,383	9,703	4,436
053.20550-Sch Tax Relief	(1)	2,424,259	0	0	0	0	2,424,259	2,424,259	0	0	0	0	0	0	0	0	2,424,259	(1)
054.20601-Chatter School	5,665	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,665
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	83	0	0	0	0	0	0	0	1,889	140	42	0	1,475	0	0	0	3,546	(3,463)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	0	0	0	0	0	0	0	3,914,916	0	0	0	0	0	0	0	0	3,914,916	(3,914,916)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	3,666,664	0	8,209	0	0	0	0	0	0	374,873	(374,177)
061.20807-HCRA Program	696	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	2,078	1,381	45	0	1,590	0	0	131	15,795	(14,798)
061.20809-EMS Training	997	0	0	0	0	0	0	10,570	774	9,338	207	0	589	0	0	0	374,717	(374,762)
061.20810-Child Health In	(45)	0	0	0	0	0	0	363,809	0	0	0	0	0	0	0	208,587	208,587	5,674,493
061.20811-HCRA Undistrib	10,578	785,000	5,087,502	0	0	0	5,872,502	0	0	0	0	0	0	0	0	0	0	(2)
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	61	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	1
061.20814-Primary Care In	78	0	0	0	0	0	0	180	0	0	4	0	147	0	0	158	489	(411)
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,169	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,331)
061.20818-EPIC Premium	306	0	43,377	0	0	0	43,377	128,306	715	10,193	23	0	457	0	0	0	139,694	(96,011)
061.20819-Health Occup De	277	0	0	0	0	0	0	0	526	189	22	0	580	0	0	0	1,317	(1,040)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	384	2	10	0	278	0	0	0	674	(430)
061.20821-Health Care Del	244	0	0	0	0	0	0	0	2,419	206	64	0	1,487	0	0	0	4,176	(4,048)
061.20822-Cig Task Force	128	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.20851-Transit Authori	51,663	375,692	112,872	0	0	52,117	540,681	534,825	0	0	0	0	0	0	0	0	534,825	57,519
073.20852-Railroad Account	9,248	66,337	19,802	0	0	9,344	95,483	93,410	0	0	0	0	0	0	0	0	93,410	11,321
073.20853-DMTF	14,141	39,007	11,815	0	0	5,349	56,171	54,609	0	0	0	0	0	0	0	0	54,609	15,703
160.20901-Education - New	135	0	2,533,000	0	0	0	2,533,000	2,294,000	0	0	0	0	0	0	0	0	2,294,000	239,135
160.20902-Lottery Adm New	97,278	0	40,921	0	0	0	40,921	0	17,821	30,236	488	0	10,151	0	0	4,225	62,921	75,278
160.20903-VLT Administrat	8,481	0	12,040	0	0	0	12,040	0	2,978	1,280	81	0	1,682	0	0	666	6,687	13,834
160.20904-VLT - Education	59	0	939,000	0	0	0	939,000	906,800	0	0	0	0	0	0	0	0	906,800	32,259
221.20950-Comb Student Ln	9,490	0	7,467	650	0	0	8,117	0	0	6,701	0	0	0	0	0	0	6,701	10,906
225.23651-Mobility Tax Tr	135,009	0	0	0	0	244,250	244,250	377,699	0	0	0	0	0	0	0	2,000	379,699	(440)
225.23652-MTA Aid Trust	30,719	99,000	193,500	0	0	0	292,500	292,000	0	0	0	0	0	0	0	225	292,225	30,994
300.21002-Encon Admstrat	(3,344)	0	900	0	0	0	900	0	418	14	0	0	266	0	0	0	698	(3,142)
301.21051-EnCon Energy Ef	156	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	156
301.21052-EnCon-Seized As	224	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	244
301.21053-Wst Tire Migr/Re	24,941	0	19,200	0	0	0	19,200	0	12,842	2,000	428	0	8,205	0	0	0	23,475	20,666

CASH COMBINING STATEMENT BY ACCOUNT
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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Dist.	Closing Balance
301.21054-Oil & Gas Accou	129	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	139
301.21055-Marine/Coastal	177	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	190
301.21060-Indirect Charge	2,207	0	0	0	0	10,157	10,157	0	4,861	3,855	164	0	3,128	0	0	2,085	14,093	(1,729)
301.21061-Hazardous Sub B	948	0	350	0	0	0	350	0	243	40	9	0	156	0	0	0	448	850
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	2,000	0	67	0	1,278	0	0	0	3,345	(3,344)
301.21065-Federal Grant I	635	0	40	0	0	9,000	9,040	0	8,452	168	0	0	894	0	0	1,041	9,661	14
301.21066-Low Level Radio	(3,849)	0	2,811	0	0	0	2,811	0	1,368	227	39	0	0	0	0	433	2,961	(3,999)
301.21067-Recreation Acco	(1,669)	0	10,200	0	0	0	10,200	0	3,267	970	97	0	1,863	0	0	455	6,652	1,879
301.21077-Public Safety R	6	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	6
301.21080-Encon Magazine	780	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,171
301.21081-Environmental R	(37,620)	0	28,600	0	0	0	28,600	0	13,943	2,424	464	0	8,909	0	0	6,187	31,927	(40,947)
301.21082-Natural Resourc	(15,497)	0	4,811	0	0	0	4,811	0	577	397	20	0	369	0	0	400	1,763	(12,449)
301.21083-UST-Trust Recov	397	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	409
301.21084-Mined Land Recl	3,617	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,382	0	0	0	3,733	4,094
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21022-Monitors-Aggre	19,716	0	6,000	0	0	0	6,000	0	4,009	493	134	0	2,562	0	0	0	7,198	18,518
302.21150-Conservation	8,097	0	43,222	0	0	75	43,297	0	20,540	2,108	692	0	13,124	0	0	1,820	38,284	13,110
302.21151-Marine Resource	3,479	0	1,480	0	0	0	1,480	0	991	0	34	0	634	0	0	0	1,659	3,300
302.21152-Migratory Bird	97	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	62
302.21153-Guides License	164	0	55	0	0	0	55	0	51	6	2	0	33	0	0	0	92	127
302.21154-Fish And Game T	61,697	0	2,000	0	0	0	2,000	0	197	14	4	0	153	0	0	75	75	63,622
302.21155-Surf Clam/Quaho	(1)	0	0	0	0	0	0	0	26	29	1	0	17	0	0	0	73	(74)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
303.21201-Oil Spill - DAC	4	0	280	0	0	705	985	0	592	58	15	0	365	0	0	0	1,030	(41)
303.21202-Oil Sp Relocain	3	0	0	0	0	301	301	0	197	14	4	0	153	0	0	0	368	(64)
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,226	910	374	0	7,173	0	0	0	19,683	(1,685)
303.21204-Oil Spill - DAC	36,750	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	45,140
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	850	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	1,755
305.21252-OSHA Inspection	3,479	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,333	0	0	0	21,737	3,881
306.21301-CSF Regis Fee	7,632	0	10,000	0	0	0	10,000	0	500	12,100	0	0	200	0	0	0	12,800	4,832
307.21351-Equipment Loan	540	0	28	0	0	0	28	0	0	91	0	0	0	0	0	7	98	470
313.21401-Pub Tran Systms	9,777	75,785	0	0	0	16,420	92,205	92,429	660	195	20	0	422	0	0	0	93,726	8,256
313.21402-Metropolitan Ma	7,841	2,219,126	17,500	0	0	21,513	2,258,139	2,187,437	2,664	410	82	0	1,702	0	0	8,205	2,200,500	65,480
313.21403-Urban Mass Tran	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(22,802)	0	9,200	0	0	0	9,200	0	2,602	647	87	0	1,663	0	0	0	4,999	(18,601)
314.21452-Mobile Source	(2,760)	0	34,000	0	0	0	34,000	0	16,455	2,851	507	0	10,513	0	0	6,404	36,730	(5,490)
318.21501-Housing Reserve	67	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	67
321.21551-Legis Comp R&D	10,863	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,630
321.21552-Demographics/Re	62	0	2	0	0	2	2	0	0	0	0	0	0	0	0	0	0	64
330.40350-S U Dorm Income	240,232	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	384,016	384,016	200,240
332.21651-Brummer Award	38	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	38
332.21652-William Vorce F	232	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	232
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wmir Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	915	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	877
340.22501-CFIA Undistrib	2,883	0	150	0	0	112,000	112,150	108,700	1,700	100	0	0	800	0	0	167	111,467	3,566

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
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(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Distb.	Closing Balance
341.22552-DFY-NYC Summer	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
345.22652-L I Vets Home	19,437	0	46,728	0	0	0	46,728	0	29,809	18,534	0	0	0	0	0	0	48,343	17,822
345.22653-S U Genl IFR	749,819	0	652,404	0	0	41,063	693,467	0	197,558	399,235	0	12,736	0	0	0	77,336	686,865	756,421
345.22654-S U Inc Offset	(19,765)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19,765)
345.22655-Gen Rev Offset	164,319	0	1,877,916	0	0	1,020,245	2,898,161	0	2,326,052	463,969	0	4,270	0	0	0	108,402	2,902,693	159,787
345.22656-S U Hosp Opjs	283,372	0	2,113,259	0	0	681,612	2,794,871	0	1,224,447	1,011,297	0	492,405	0	0	0	68,352	2,796,501	281,742
345.22657-SUNY Stabilizat	41,457	0	0	0	0	40,280	40,280	0	400	600	0	0	0	0	0	0	1,000	80,737
345.22658-State Univ Hosp	14,832	0	47,897	0	0	0	47,897	0	44,586	2,919	0	0	0	0	0	0	47,505	15,224
345.22659-SUNY Tuition Re	117,127	0	100,579	0	0	0	100,579	0	54,958	33,760	0	4,397	0	0	0	0	93,115	124,591
345.22660-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	14,525	0	6,479	0	0	0	6,479	0	6,456	0	0	0	0	0	0	13,500	19,956	1,048
349.22751-Lk George Park	(140)	0	1,208	0	0	0	1,208	0	701	250	20	425	0	0	0	0	1,396	(328)
354.22801-MVIFA	3,873	0	4,742	0	0	0	4,742	4,237	136	4	4	35	0	0	0	1,100	5,516	3,099
354.22802-St Police MV En	23,265	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	115,420	124,520	17,570
355.22851-Great Lakes Pro	700	0	160	0	0	0	160	0	82	70	3	53	0	0	0	0	208	652
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,557	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,605
362.23001-DOT Comm Veh Sa	(10,567)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(10,948)
365.23051-Vocatl Rehabil	5	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	28
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(25,781)	0	34,900	0	0	3,100	38,000	0	20,173	3,400	0	11,500	0	0	0	0	35,073	(22,854)
369.23201-Jud Data Proc O	19,608	0	40,000	0	0	0	40,000	0	18,861	6,000	0	8,900	0	0	0	0	33,761	25,847
377.23267-CUNY Stabilizn	4,955	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,955
377.2327X-CUNY Tuin Reim	81,575	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	83,190
377.2327Y-CUNY Inc Reimb	82,669	0	92,533	0	0	0	92,533	0	44,655	46,944	0	150	0	0	0	0	91,749	83,453
385.23501-Lk Pleacid Train	179	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	189
390.23551-Indigent Legal	263,673	0	132,000	0	0	28,000	160,000	113,150	2,982	25,738	95	1,906	0	0	0	0	143,871	279,802
482.23601-UJ Sp Int & Pen	35,532	0	15,866	0	0	0	15,866	0	1,643	1,000	42	1,173	0	0	0	11,583	15,441	35,957
501.23701-Commercial Gami	28,326	0	168,000	0	0	0	168,000	172,040	0	0	0	0	0	0	0	0	172,040	24,286
501.23702-Comm Game Regul	(8,465)	0	4,871	0	0	0	4,871	0	2,236	1,223	64	1,346	0	0	0	2	4,871	(8,465)
501.23703-Prob Gambli Svcs	3,572	0	3,325	0	0	0	3,325	3,325	0	0	0	0	0	0	0	1,500	4,825	2,072
502.23750-Med Marth Colle	1,112	1,575	0	0	0	0	1,575	0	0	0	0	0	0	0	0	0	0	2,687
502.23752-MMF - County Di	290	1,575	0	0	0	0	1,575	1,800	0	0	0	0	0	0	0	0	1,800	65
502.23753-MMF - Law Enfor	124	175	0	0	0	0	175	0	0	0	0	0	0	0	0	0	0	299
502.23754-MMF - Addiction	124	175	0	0	0	0	175	0	0	0	0	0	0	0	0	0	0	299
502.23755-Health Operatio	3,968	0	0	0	0	6,550	6,550	0	1,670	2,794	39	1,274	0	0	0	0	5,777	4,741
503.23800-Inter Recip Pos	848	0	567	0	0	0	567	0	273	70	8	174	0	0	0	45	570	845
503.23801-Hwy Use Tax Adm	3,260	(1,700)	1,300	0	0	0	(400)	0	515	455	14	317	0	0	0	0	1,301	1,559
503.23802-Cure Childhood	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
504.24950-Fan Sports Educ	8,007	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	0	0	13,007
504.24951-Fan Sport Admin	0	0	0	0	0	0	0	0	480	214	14	292	0	0	0	0	1,000	(1,000)
506.24850-Hlth Care Trans	0	0	1,068,000	0	0	0	1,068,000	0	0	0	0	0	0	0	0	555,000	555,000	513,000
507.24900-Hlth Caritable	0	0	57,870	0	0	0	57,870	0	0	0	0	0	0	0	0	0	0	57,870
507.24901-Elem Sec Ed Cha	0	0	35,521	0	0	0	35,521	0	0	0	0	0	0	0	0	0	0	35,521

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,897	0	500	0	0	0	500	67	0	0	0	0	0	0	0	0	6,330
339.21902-S P A R C S	6,996	0	6,600	0	0	0	6,600	0	490	559	11	0	363	0	0	4,214	7,959
339.21904-Fire Prev/Code	42,774	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	53,346	4,238
339.21905-NYS Twy Police	10,902	0	56,203	0	0	0	56,203	35,186	35,186	16	0	0	21,001	0	0	0	10,902
339.21906-DMV Seiz Assets	115	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	115
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	37,958	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37,958	0
339.21911-Fin Cntrl Board	(723)	0	3,044	0	0	0	3,044	1,389	1,389	811	45	0	799	0	0	0	(723)
339.21912-Reg of Racing	(3,719)	0	12,647	0	0	0	12,647	6,809	6,809	5,577	171	0	1,755	0	0	458	(5,842)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	109
339.21915-Quality Care	65,839	0	1,115	0	0	0	1,115	0	0	0	0	0	0	0	0	66,954	0
339.21916-Nurses Aide Reg	1,019	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,019
339.21917-Med Frd Seized	173	0	238	0	0	0	238	0	0	238	0	0	0	0	0	0	173
339.21918-Child Care & Pr	1,659	0	436	0	0	0	436	100	100	0	0	0	0	0	0	0	1,995
339.21919-Cyber Sec Upgr	895	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	895
339.21920-Cert of Need	9,063	0	2,959	0	0	0	2,959	1,996	1,996	1,516	47	0	1,552	0	0	7,769	(858)
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,067	0	131	0	0	0	131	26	26	5	0	0	16	0	0	2	1,149
339.21923-DOL Fee Penalty	3,688	0	20,383	0	0	0	20,383	5,991	5,991	1,215	154	0	4,167	0	0	300	12,244
339.21924-Educ Museum	207	0	863	0	0	0	863	282	282	334	9	0	180	0	0	62	203
339.21925-Ns Hm Receiptshp	2,876	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,901
339.21926-3rd Party Hlth	458	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	458
339.21927-Boating Noise L	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21928-Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	90	0	684	0	0	0	684	111	111	528	3	0	20	0	0	0	112
339.21930-Lve NY W Boat	90	0	245	0	0	0	245	206	206	25	3	0	82	0	0	0	19
339.21932-Snowmobile	5,680	0	6,150	0	0	0	6,150	5,450	1,417	649	9	0	70	0	0	0	4,235
339.21933-Tr Surplus Prop	3,803	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	3,226
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	134,238	130,310	0	0	0	0	0	0	45,472
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,270	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	3,047
339.21945-Crim Jus Improv	31,842	0	42,724	0	0	0	42,724	27,956	2,733	396	116	0	1,650	0	0	8,596	33,119
339.21948-Farm Prod Insp	606	0	1,390	0	0	0	1,390	648	648	123	20	0	414	0	0	0	791
339.21950-FgprintID&Tech	34,704	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	26,646
339.21953-NY Fire Academy	336	0	468	0	0	0	468	278	278	314	9	0	239	0	0	0	(36)
339.21958-Domestic Awaren	92	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	96
339.21959-Environmental L	3,413	0	3,700	0	0	0	3,700	1,479	1,479	568	35	0	1,166	0	0	131	3,734
339.21960-HESC-Ins Prem P	64,022	0	69,881	0	0	0	69,881	12,916	12,916	29,936	422	0	9,020	0	0	16,221	65,388
339.21961-Train Mgmt Eval	13	0	1,940	0	0	0	1,940	1,572	1,572	92	52	0	1,004	0	0	8	(775)
339.21962-Clin Lab Refrnc	(8,756)	0	18,059	0	0	0	18,059	5,562	5,562	2,478	127	0	4,032	0	0	4,848	(7,744)
339.21964-Pub Emp Rel Brd	748	0	86	0	0	0	86	0	0	44	0	0	0	0	0	0	790
339.21965-Radio Hlth Prot	1,631	0	4,048	0	0	0	4,048	2,121	2,121	141	49	0	1,629	0	0	796	943
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21967-OHRD St Match	1,410	0	11	0	0	6,000	6,011	0	0	3,867	0	0	0	0	0	0	3,554
339.21968-Educatn Library	155	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	160
339.21969-Teacher Certif	4,512	0	6,600	0	0	0	6,600	0	3,400	643	105	0	2,172	0	0	450	4,342
339.21970-Banking Deptmnt	33,467	0	99,682	0	0	0	99,682	0	52,149	12,609	1,607	0	33,317	0	0	0	33,467
339.21971-Cable TV Accont	6,516	0	3,130	0	0	0	3,130	0	1,361	109	42	0	870	0	0	2,500	4,764
339.21972-Econ Devel Asst	309	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	309
339.21973-Fin Svcs Seized	715	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	715
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	249	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	249
339.21977-Business and Li	87,745	0	86,443	0	0	0	86,443	939	16,517	10,407	509	0	10,552	0	0	118,077	17,187
339.21978-Indir Cost Reco	4,402	0	0	0	0	0	0	0	9,125	4,362	0	0	5,830	0	0	0	3,992
339.21979-High School Equ	1,193	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,193
339.21980-OTDA Program	2,862	0	0	0	0	500	500	0	0	3,400	0	0	128	0	0	0	(166)
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	1,551	0	13	0	0	8,500	8,513	0	4,394	2,181	100	0	3,388	0	0	1,343	(1,342)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	15,896	0	0	0	15,896	0	10,076	5,820	0	0	0	0	0	0	1
339.21986-Seized Assets	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.21987-Spinal Injury	6,011	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	6,011
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	9,258	0	0	0	0	7,400	7,400	0	1,551	8,879	52	0	1,270	0	0	0	4,906
339.21990-OCTF Crime Forf	29	0	1,436	0	0	0	1,436	0	0	1,436	0	0	0	0	0	0	29
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.21993-Radon Detection	410	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	419
339.21994-Insurance Dept	133,423	0	273,578	0	0	0	273,578	64,404	104,517	34,471	3,224	0	66,855	0	0	0	133,530
339.21995-Workers' Compn	21,021	0	226,202	0	0	0	226,202	0	84,959	56,090	2,239	0	53,220	0	0	31,352	19,363
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enfr	8,897	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,131
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopto Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Probim Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	829	0	1,200	0	0	0	1,200	0	425	14	13	0	272	0	0	0	1,305
339.219YL-OGS Bldg Admin	13,319	0	10,000	0	0	0	10,000	0	0	1,119	0	0	0	0	0	12,000	10,200
339.219YN-OGS Sid & Puch	8,725	0	5,660	0	0	0	5,660	0	858	1,831	26	0	548	0	3,000	8,122	8,122
339.219Z3-MHPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,409	0	2,500	0	0	0	2,500	2,000	182	100	6	0	116	0	0	52	2,453
339.22003-Bell Jar Collec	16	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	0	631
339.22004-Ind & Util Serv	3,468	0	2,547	0	0	0	2,547	0	1,750	150	46	0	1,076	0	0	0	2,993
339.22008-Courts Special	1,265	0	3,000	0	0	0	3,000	0	0	1,300	0	0	0	0	0	0	2,965
339.22009-Asbestos Trning	(214)	0	330	0	0	0	330	0	141	15	2	0	114	0	0	0	(156)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	36,562	0	87,082	0	0	0	87,082	0	41,554	7,598	1,273	0	26,412	0	0	5,671	41,136
339.22012-Aty Licensing	5,921	0	32,000	0	0	0	32,000	0	18,241	5,100	0	0	8,200	0	0	0	6,380
339.22014-DSS Prov Recovs	191	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	191
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	57	0	197	0	0	0	197	0	161	9	4	0	59	0	0	0	21
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,898	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,898
339.22022-College Savings	15,034	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	15,406
339.22023-Discover Queens	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
339.22024-Reven Arrearage	70,700	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	72,300	18,249
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,371	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,371
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	7,615	0	4,200	0	0	0	4,200	0	130	0	4	0	83	0	0	6,725	4,873
339.22029-Plant Industry	455	0	529	0	0	0	529	0	274	0	8	0	175	0	0	0	527
339.22032-Batavia School	(9,575)	0	9,600	0	0	900	10,500	0	5,676	628	175	0	3,646	0	0	0	(9,200)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22034-Investment Serv	1,385	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	1,385
339.22035-Diabetes Resear	33	0	6	0	0	0	6	0	0	44	0	0	0	0	0	0	(5)
339.22037-Keep Kids Drug	54	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	63
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,843)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,843)
339.22040-Senate Recyclab	590	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	610
339.22041-Medicaid Fraud	14,056	0	13,593	0	0	0	13,593	0	6,752	2,401	197	0	4,243	0	0	0	14,056
339.22042-DED Marketing A	3,322	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,332
339.22044-Tug Hill Admin	139	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	135
339.22045-Settlement Enf	594	0	600	0	0	0	600	550	0	50	0	0	0	0	0	0	594
339.22046-Regulation of I	(72,940)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,821	0	0	329	(73,967)
339.22047-NYS FLEX Spend	277	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	277
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	42,739	0	55,352	0	0	0	55,352	0	19,327	9,795	595	0	12,348	0	0	10,332	45,694
339.22052-Armory Rental A	3,503	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,503
339.22053-Rome School	(2,746)	0	9,600	0	0	1,020	10,620	0	4,280	652	132	0	2,895	0	0	0	(85)
339.22054-Seized Assets	(5,599)	0	543	0	0	0	543	0	0	0	0	0	0	0	0	0	(5,056)
339.22055-Traf Adjudicat	(13,172)	0	44,500	0	0	0	44,500	0	20,024	8,605	617	0	12,793	0	0	0	(10,711)
339.22056-Fed Salary Shar	0	0	0	0	0	2,727	2,727	419	1,392	0	46	0	889	0	0	0	(19)
339.22057-Cook/Chill Acco	1,374	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,374
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	24,698	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	1,976	24,698
339.22063-Cultural Educat	(2,560)	0	27,170	0	0	0	27,170	0	11,872	5,400	366	0	7,585	0	0	0	(2,569)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	43	0	3,150	0	0	0	3,150	0	359	417	11	0	229	0	0	1,961	216
339.22067-Trans Regul Acc	11,631	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,165	9,466
339.22068-Cons Prot Act	1,962	0	91	0	0	0	91	0	0	67	0	0	151	0	0	0	1,835
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	2,132	0	470	0	0	0	470	0	241	9	5	0	188	0	95	0	2,064
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	40	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	40
339.22078-Local Services	1,195	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	1,184
339.22080-Adult Shelter	18,285	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	20,885
339.22081-QAA Earned Rev	400	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	400
339.22082-Family Pres Svc	3,052	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	3,938
339.22083-Electronic Bene	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45
339.22084-Federal-Seized	(9,788)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	74	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	(10,373)
339.22086-OMH-Research OH	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22087-DMV-Compulsory	8,738	0	24,900	0	0	0	24,900	990	10,584	6,341	242	0	8,139	0	3,960	0	3,982
339.22088-Prof Medic Cond	1,726	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,851
339.22089-Hwy Const & Ma	(3,485)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	(418)
339.22090-Housing Indirec	2,344	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	2,516
339.22091-Adult Home Qual	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22092-Homeless Hsg	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22093-COCOT		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22094-Accid Prevent C	231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	231
339.22095-IG Szd Assets	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
339.22096-Leg Svcs Assist	37,376	0	20,500	0	0	0	20,500	14,100	0	0	0	0	0	0	0	9,830	33,946
339.22097-Loc Pub Hlth	6,376	0	84	0	0	0	84	0	43	4	1	0	38	0	0	59	6,315
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.220DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(3,381)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,329)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22103-Vital Records M	7,443	0	4,840	0	0	0	4,840	0	696	354	16	0	537	0	0	4,687	5,993
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,023	0	218	0	0	0	218	0	0	232	0	0	0	0	0	0	1,009
339.22109-Conference & Sp	96	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	96
339.22110-Assisted Living	1,419	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,419
339.22111-OCFS Program	373	0	6	0	0	0	6	0	0	645	0	0	0	0	0	0	(266)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	12,498	0	73,581	0	0	0	73,581	0	22,413	35,157	691	0	14,320	0	0	1,000	12,498
339.22118-Animal Populati	464	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	452
339.22119-Love Your Libra	76	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	82
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.22123-Pub Safe Commun	53,972	0	123,000	0	0	0	123,000	40,666	21,070	37,545	0	0	0	0	0	8,161	69,530
339.22124-Cuba Lake Mgmt	162	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	156
339.22126-St Justice Inst	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
339.22128-Med Reimb Acct	1,590	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,590
339.22130-Low Inc Housing	3,373	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	3,708
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	55	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	55
339.22133-Procure Op News	348	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	173
339.22134-OVS RESTITUTION	605	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	605
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	842	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	782
339.22137-Pet Dealer	111	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	143
339.22138-Auth Bdgt Office	1,389	0	2,088	0	0	1,826	3,914	0	989	254	30	0	642	0	45	0	3,343
339.22139-Patient Safety	3,459	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,459
339.22140-Helen Hayes Hos	13,259	0	115	0	0	59,583	59,698	0	34,618	20,788	0	0	2,000	0	0	1,585	13,966
339.22141-NYC Veterans	2,462	0	350	0	0	30,837	31,187	0	17,450	8,538	0	0	6,925	0	392	0	344
339.22142-NYS Home-Vetera	455	0	120	0	0	23,669	23,789	0	14,425	6,176	0	0	0	0	581	0	3,062
339.22143-WNY Vets Home	858	0	55	0	0	12,708	12,763	0	8,734	4,117	0	0	0	0	132	0	638
339.22144-Montrose S V H	818	0	30	0	0	27,795	27,815	0	18,951	7,588	0	0	0	0	295	0	1,799
339.22145-DOH Hospital Ho	3,143	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	123,745	0	2,589
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	8,269	0	1,387	0	0	0	1,387	972	0	0	0	0	0	0	0	0	8,684
339.22149-Motor Fuel Qual	1,572	0	2,800	0	0	0	2,800	0	1,137	1,214	35	0	726	0	0	0	1,260
339.22150-Weights Measure	69	0	325	0	0	0	325	0	221	101	7	0	141	0	0	0	(76)
339.22151-Defer Comp Adm	(110)	0	820	0	0	0	820	0	378	183	23	0	205	0	0	0	(79)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22152-Hazard Abatement	999	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,049
339.22153-Education Stats	819	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	874
339.22154-Real Estate Fin	4,748	0	3,312	0	0	0	3,312	0	1,156	1,381	36	0	739	0	0	0	4,748
339.22156-NYC Rent Rev	2,107	0	56,862	0	0	0	56,862	0	30,749	8,300	1,579	0	23,400	0	4,115	0	(9,174)
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	(155)	0	550	0	0	0	550	0	380	0	10	0	125	0	0	0	(120)
339.22159-CSFP Salvage AC	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.22161-ES Stem Cell Tr	(145)	0	0	0	0	33,134	33,134	0	559	32,382	13	0	515	0	510	0	(990)
339.22162-Systems & Tech	11,427	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	5,320	0	11,857
339.22163-Patron Services	5,580	0	72,235	0	0	0	72,235	0	36,253	30,637	28	0	2,826	0	3,992	0	4,079
339.22165-Trans Aviati	1,692	0	4,410	0	0	0	4,410	0	0	4,649	0	0	0	0	0	0	1,453
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	537	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	537
339.22168-Tax Rev Arrear	4,438	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,438
339.22169-TSCR Account	82,181	0	336,600	0	0	0	336,600	112,930	0	0	0	0	0	0	213,350	0	92,501
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,292	0	39	0	0	2,087	2,126	0	0	1,576	0	0	0	0	0	0	3,842
339.22172-Undgrnd Sfty T	2,299	0	110	0	0	0	110	0	0	0	0	0	0	0	175	0	2,234
339.22173-Vol Fire Rec&Re	903	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	903
339.22174-HAVA Match	1,554	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,554
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	889	0	9,000	0	0	0	9,000	9,560	290	11	6	0	226	0	76	0	(280)
339.22178-Crim Back Check	385	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	385
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22182-OWIG Adm Reimb	197	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	197
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	685	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	725
339.22186-Yth Fac PerDiem	90,504	0	55,000	0	0	0	55,000	0	0	0	0	0	0	0	0	0	3,997
339.22187-Provider Assess	113	0	886,000	0	0	0	886,000	886,000	0	0	0	0	0	0	0	0	113
339.22188-Fed Indirect Re	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22189-DOCS Asset Forf	306	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	306
339.22190-Conference&Sign	109	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	109
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	6,286	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,286
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,428
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	600	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	600
339.22198-HEP	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22199-Airport Securit	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22202-SBCI Account	13	0	0	0	0	0	0	1,400	0	300	0	0	0	0	0	0	(231)
339.22203-Article X Inter	103	0	0	0	0	0	0	69	0	0	0	0	0	0	0	0	43
339.22206-Wholesale Mkt	7,927	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	(1,387)
339.22207-Tech Financing	5,414	0	0	0	0	0	0	0	0	0	0	0	0	0	5,500	0	7,227
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	(86)
339.22212-Lake George Inv	231	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	0
339.22213-BOE Enforcement	171	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	231
339.22214-Fireworks Reven	827	0	320	0	0	0	320	0	135	50	5	0	87	0	0	0	171
339.22215-Delivery Transf	969	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	870
																	969

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22235-Instlt Accreditt	0	0	48	0	0	0	48	0	0	0	0	0	0	0	0	0	48
339.22238-Eq Sh PRK Treas	0	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	0
339.22239-Opioid Steward	0	0	100,000	0	0	0	100,000	0	0	0	0	0	0	0	0	100,000	0

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2019
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	INFRASTRUCTURE (30350-30399)	STATE PARK (30400-30449)	PASSENGER FACILITY CHARGE (30450-30499)	ENVIRONMENTAL PROTECTION (30500-30549)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30699)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(40,624)	142,533	8,554	(9,454)	15	150,959	164	668	3,328	
Receipts:											
Taxes	0	1,301,453	0	0	0	0	119,100	0	0	0	0
Miscellaneous Receipts	5,131,186	1,536,751	0	250	137,600	0	34,650	0	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0	0
Total Receipts	5,131,186	2,843,096	0	250	137,600	0	153,750	0	0	0	0
Disbursements:											
Local Assistance	3,350,177	61,940	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,390,204	1,971,974	50,000	250	137,600	0	240,275	0	0	0	0
Total Disbursements	5,740,381	2,033,914	50,000	250	137,600	0	240,275	0	0	0	0
Other Financing Sources (Uses):											
Transfers from Other Funds	613,573	519,489	50,000	0	0	0	28,000	0	0	0	0
Transfers to Other Funds	(4,412)	(1,319,520)	0	0	0	0	(25)	(25)	(240)	(25)	
Bond & Note Proceeds	0	0	0	0	0	0	0	25	240	25	
Net Other Financing Sources (Uses)	609,161	(800,031)	50,000	0	0	0	28,000	0	0	0	0
Change in Fund Balance	(34)	9,151	0	0	0	0	(58,525)	0	0	0	0
Closing Fund Balance	(34)	(31,473)	142,533	8,554	(9,454)	15	92,434	164	668	3,328	

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1372) (30600-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1366) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31390-31449)	FOREST PRESERVE EXPANSION BOND (31490-31499)	HAZARDOUS WASTE REMEDIAL (31900-31949)	TRANSPORTATION SUBURBAN BOND (31850-31859)
Opening Fund Balance	1,420	18,980	4,255	6,217	2,778	1,428	(582,764)	917	(79,180)	516
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0
Federal Grants	0	0	0	0	0	0	2,428,388	0	103,250	0
Total Receipts	0	0	0	0	0	0	2,428,388	0	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	752,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,314,771	10	104,996	0
Total Disbursements	0	0	0	0	0	0	2,067,752	10	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	20,200	0
Transfers to Other Funds	(615)	(12,711)	(25)	(3,807)	(25)	(3,075)	(391,398)	0	(25,200)	0
Bond & Note Proceeds	615	12,711	25	4,807	25	10,075	(391,398)	0	(5,000)	0
Net Other Financing Sources (Uses)	0	0	0	1,000	0	7,000	(391,398)	0	(5,000)	0
Change in Fund Balance	0	0	0	1,000	0	7,000	(30,762)	0	(6,746)	0
Closing Fund Balance	1,420	18,980	4,255	7,217	2,778	8,428	(613,526)	917	(85,926)	516

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2019
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32500-32549)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32550-32599)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32600-32649)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32650-32699)
Opening Fund Balance	(25,429)	(13,109)	(176,007)	17,582	(12,441)	160,419	60,983	(26)	(599,930)	(180,019)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	62,329	0	544,081	1,000	0	90,000	21,790	0	217,369	271,564
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	62,329	0	544,081	1,000	0	90,000	21,790	0	217,369	271,564
Disbursements:										
Local Assistance	0	0	544,656	0	0	0	0	0	93,422	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Changes	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	61,829	0	0	1,017	0	90,000	52,925	0	124,197	378,637
Total Disbursements	61,829	0	544,656	1,017	0	90,000	52,925	0	217,619	378,637
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	25,000	41,900	0	6,837	107,073
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	25,000	41,900	0	6,837	107,073
Change in Fund Balance	500	0	0	(17)	0	25,000	10,765	0	6,837	0
Closing Fund Balance	(24,929)	(13,109)	(176,007)	17,565	(12,441)	185,419	71,748	(26)	(593,343)	(180,019)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(75,606)	61,656	0	(1,151,217)	0	(1,151,217)
Receipts:							
Taxes	0	0	0	0	1,420,553	0	1,420,553
Miscellaneous Receipts	0	4,181	0	301	8,156,312	0	8,156,312
Federal Grants	0	0	0	0	2,433,280	0	2,433,280
Total Receipts	0	4,181	0	301	12,010,145	0	12,010,145
Disbursements:							
Local Assistance	0	0	300,409	0	5,103,585	0	5,103,585
State Operations	0	0	0	0	0	0	0
General State Changes	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	4,181	1,103,864	0	8,026,730	0	8,026,730
Total Disbursements	0	4,181	1,404,273	0	13,130,315	0	13,130,315
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,442,617	0	2,855,264	(544,841)	2,310,423
Transfers to Other Funds	(140,000)	0	0	0	(1,901,078)	544,841	(1,356,237)
Bond & Note Proceeds	580,000	0	0	0	608,548	0	608,548
Net Other Financing Sources (Uses)	440,000	0	1,442,617	0	1,562,734	0	1,562,734
Change in Fund Balance	440,000	0	38,344	301	442,564	0	442,564
Closing Fund Balance	440,000	(75,606)	100,000	301	(708,653)	0	(708,653)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2019

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	117,944	0	0	35,165	0	0	153,109	0	153,109
Receipts:									
Taxes	0	27,351,790	0	0	1,010,900	3,560,400	31,923,090	0	31,923,090
Miscellaneous Receipts	350,178	0	3,624	143,702	0	500	498,004	0	498,004
Federal Grants	0	73,630	0	0	0	0	73,630	0	73,630
Total Receipts	350,178	27,425,420	3,624	143,702	1,010,900	3,560,900	32,494,724	0	32,494,724
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,535	32,632	0	2,161	0	2,855	39,183	0	39,183
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	110,252	5,408,199	4,624	26,166	0	425,268	5,974,509	0	5,974,509
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	111,787	5,440,831	4,624	28,327	0	428,123	6,013,692	0	6,013,692
Other Financing Sources (Uses):									
Transfers from Other Funds	1,400,853	2,515,004	1,000	42,069	0	0	3,958,926	(345,175)	3,613,751
Transfers to Other Funds	(1,717,187)	(24,499,593)	0	(154,028)	(1,010,901)	(3,132,377)	(30,514,086)	345,175	(30,168,911)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(316,334)	(21,984,589)	1,000	(111,959)	(1,010,901)	(3,132,377)	(26,555,160)	0	(26,555,160)
Change in Fund Balance	(77,943)	0	0	3,416	(1)	400	(74,128)	0	(74,128)
Closing Fund Balance	40,001	0	0	38,581	(1)	400	78,981	0	78,981

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2019**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centr Services	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(4,429)	0	64,626	0	0	0	64,626	0	29,251	15,423	901	0	18,688	0	0	1,866	66,129	(5,932)
323.55020-OGS Ent Contr	(72,553)	0	200,000	0	0	0	200,000	0	600	199,074	18	0	383	0	0	0	200,075	(72,628)
323.55022-Business Srv Ct	(4,638)	0	0	0	0	6,000	6,000	0	5,686	5,000	175	0	3,633	0	0	0	14,494	(13,132)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centr Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(11,667)	0	134,630	0	0	21,783	156,413	0	4,447	129,208	137	0	2,841	0	0	19,919	156,552	(11,806)
323.5502Y-OGS Bldg Admin	(3,654)	0	26,429	0	0	13,500	39,929	0	2,231	19,876	69	0	1,425	0	0	0	23,601	12,674
323.5502Z-OGS Std & Purch	(2,150)	0	12,057	0	0	0	12,057	0	3,211	5,430	99	0	2,052	0	0	0	10,792	(885)
334.55050-Agencies Int Sv	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
334.55052-Archives R	223	0	1,638	0	0	0	1,638	0	920	114	27	0	488	0	0	0	1,549	312
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,285	0	5,963	0	0	0	5,963	0	2,792	500	86	0	1,784	0	0	1,651	6,813	1,435
334.55056-EHS Occup Hlth	251	0	870	0	0	0	870	0	635	517	20	0	406	0	0	0	1,578	(457)
334.55057-Banking Service	(8)	0	500	0	0	47,109	47,609	0	0	44,254	0	0	0	0	0	0	44,254	3,347
334.55058-Cult Resources	(4,376)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	840	0	0	284	6,677	(3,724)
334.55059-Neighbor Work P	(12,383)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(12,383)
334.55060-Audio/Print Chgb	2,660	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,946	0	0	0	17,710	2,563
334.55061-NYT Account	(2,146)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(2,146)
334.55062-State Data Ctr	(55,237)	0	0	0	0	18,300	18,300	0	0	0	0	0	0	0	0	0	0	(36,937)
334.55063-Human Svcs Tele	4,068	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(8,432)
334.55065-OPWDD Copy Cent	754	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	754
334.55066-Intrusion Detec	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(93)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(93)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(43,985)	0	30,000	0	0	13,960	43,960	0	0	30,000	0	0	0	0	0	0	30,000	(30,025)
334.55070-Learning Mgmt S	1,801	0	0	0	0	0	0	0	0	0	0	0	0	0	0	300	300	1,501
334.55071-Labor Cont Ctr	110	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	231
334.55072-HS Cont Ctr	920	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,334	0	0	0	17,544	1,093
334.55074-Civil Recoverie	(4,752)	0	20,530	0	0	0	20,530	0	3,370	14,902	104	0	2,154	0	0	0	20,530	(4,752)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financong	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Sev	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	38	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	68
347.55150-DFY Voc Educatn	68	0	25	0	0	0	25	0	0	14	0	0	0	0	0	0	14	79
394.55200-Joint Labor-Mgt	1,750	0	2,000	0	0	0	2,000	0	912	406	30	0	322	0	0	0	1,670	2,080
395.55251-Ex Dir Intl Aud	(6,473)	0	4,269	0	0	0	4,269	0	2,558	71	67	0	1,573	0	0	0	4,269	(6,473)
395.55252-CIO INFO TECH C	(21,979)	0	29,110	0	0	8,083	29,110	0	11,091	11,410	272	0	6,337	0	0	0	29,110	(21,979)
396.55300-Health Insuranc	(4,546)	0	14,121	0	0	0	22,204	0	9,150	1,700	282	0	5,846	0	0	3,428	20,406	(2,748)
396.55301-CS EBD Adm Reim	(5,266)	0	4,500	0	0	0	4,500	0	1,850	335	57	0	1,182	0	0	639	4,063	(4,829)
397.55350-Correctional In	(24,930)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(28,591)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2019**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	1,410	0	14,350	0	0	0	14,350	0	4,074	8,596	0	0	0	0	0	0	12,670	3,090
326.50100-DOCS Commissary	3,449	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,531
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	174	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	198
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(72)	0	1,497	0	0	0	1,497	0	609	441	19	0	446	0	0	0	1,515	(90)
331.50319-Attica Emp Mess	1,435	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,429
331.50322-Asset Preservat	98	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	89
331.50323-Farm Program	685	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	671
331.50327-Emp Plz Gift Sh	(89)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(95)
331.5032Z-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	0	0	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	89	0	1,853	0	0	1,000	9,454	(1,362)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(67)
351.50400-OMH Sheit Wkshs	2,138	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,138
352.50450-MR Shel Wkshop	1,869	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,769
353.50500-MH & MR Communi	4,210	0	2,200	0	0	0	2,200	0	383	1,172	10	0	229	0	0	0	1,794	4,616
353.50516-MR Community St	147	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	144
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	863,441	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,441
481.50651-Interest Assess	5,478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,478
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**CASH COMBINING STATEMENT
GENERAL FUND**

FY 2020

(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Eliminations	Total
Opening Fund Balance	0	1,258	21	33	790	3,943	0	500	0	0	0	6,545
Receipts:												
Taxes	39,074	0	0	0	0	0	0	0	0	0	0	39,074
Miscellaneous Receipts	2,071	0	0	0	0	0	0	0	0	0	0	2,071
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
Total receipts	41,145	0	0	0	0	0	0	0	0	0	0	41,145
Disbursements:												
Local Assistance	50,959	0	0	10	0	0	0	0	0	0	0	50,969
State Operations	11,802	0	0	0	0	0	0	0	0	0	0	11,802
General State Charges	8,163	0	0	0	0	0	0	0	0	0	0	8,163
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	70,924	0	0	10	0	0	0	0	0	0	0	70,934
Other financing sources (uses):												
Transfers from Other Funds	67,176	0	0	2	238	0	12	0	0	0	(33,498)	33,930
Transfers to Other Funds	(37,397)	0	0	(18)	0	(1,259)	(12)	(500)	0	0	33,498	(5,688)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	29,779	0	0	(16)	238	(1,259)	0	(500)	0	0	0	28,242
Change in Fund Balance	0	0	0	(26)	238	(1,259)	0	(500)	0	0	0	(1,547)
Closing Fund Balance	0	1,258	21	7	1,028	2,684	0	0	0	0	0	4,998

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(Thousands of dollars)

	MENTAL HEALTH GRTS DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMER'S PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	2,334	65,510	54,925	54	(8)	7,513	4,437	0	5,664	5	84,546
Receipts:											
Taxes	0	0	0	0	0	0	0	2,185,995	0	746,000	483,654
Miscellaneous Receipts	72	(137,493)	39,000	318	65	4,905	9,233	0	0	5,255,638	144,489
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(137,493)	39,000	318	65	4,905	9,233	2,185,995	0	6,001,638	628,143
Disbursements:											
Local Assistance	0	8,991	20,000	0	0	0	5,056	2,185,995	4,837	5,688,636	695,119
State Operations	72	2,881	1,488	421	247	3,037	2,155	0	0	87,395	0
General State Charges	0	223	551	186	149	1,222	1,114	0	0	6,119	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,095	22,039	607	396	4,259	8,325	2,185,995	4,837	5,782,150	695,119
Other Financing Sources (Uses):											
Transfers from Other Funds	0	150,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	(549)	0	(8)	0	(285)	(1,383)	0	0	(219,488)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	150,343	0	292	300	(285)	(1,383)	0	4,837	(219,488)	65,884
Change in Fund Balance	0	755	16,961	3	(31)	361	(475)	0	0	0	(1,092)
Closing Fund Balance	2,334	66,265	71,886	57	(39)	7,874	3,962	0	5,664	5	83,454

	STATE LOTTERY (20900-20949)	COMBINED STUDENT LOAN TRUST (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	360,505	10,905	30,554	25,452	339,197	(227,683)	(3,140)	(14,139)	80,196	43,349
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,419,961	6,730	0	100,011	43,998	4,255	900	77,111	46,792	57,976
Federal Grants	0	650	0	2,146,207	54,371,660	1,318,111	0	0	0	0
Total Receipts	3,419,961	7,380	0	2,246,218	54,415,658	1,322,366	900	77,111	46,792	57,976
Disbursements:										
Local Assistance	3,654,200	0	275,244	2,136,678	51,483,116	1,012,860	0	0	0	0
State Operations	45,335	6,701	0	61,522	976,382	522,027	432	51,718	23,773	13,455
General State Charges	11,833	0	0	14,217	128,586	47,159	266	25,518	13,355	7,721
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,711,368	6,701	275,244	2,214,417	52,588,084	1,322,867	698	77,236	37,128	21,176
Other Financing Sources (Uses):										
Transfers from Other Funds	18,000	0	244,250	0	0	11,517	0	19,157	75	19,006
Transfers to Other Funds	(4,940)	0	0	(33,801)	(1,898,022)	(11,016)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	13,060	0	244,250	(33,801)	(1,898,022)	501	202	8,406	(1,820)	(30,300)
Change in Fund Balance	(278,347)	679	(30,994)	0	(70,448)	0	0	8,281	7,844	6,500
Closing Fund Balance	82,158	11,584	(440)	25,452	268,749	(227,683)	(2,938)	(5,858)	88,040	49,849

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21,250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21,300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21,350-21399)	TRANSPORTATION OPERATING ASSISTANCE (21,400-21449)	MASS TRANSPORTATION OPERATING ASSISTANCE (21,400-21449)	CLEAN AIR (21,450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21,500-21549)	LEGISLATIVE COMPUTER SERVICES (21,550-21599)	STATE UNIVERSITY DORMITORY INCOME (403,90-403,99)	COMBINED NON- EXPENDABLE TRUST (21,650-21699)	WINTER SPORTS EDUCATION TRUST (21,700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21,750-21799)
Opening Fund Balance	5,640	4,830	467	73,834	(24,085)	68	200,243	11,695	517	0	1	
Receipts:												
Taxes	0	0	0	2,440,721	0	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,600	28	17,500	43,200	0	344,024	1,719	115	75	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	9,600	28	2,458,221	43,200	0	344,024	1,719	115	75	0	0
Disbursements:												
Local Assistance	0	0	0	2,453,866	0	0	0	0	0	0	0	0
State Operations	33,684	12,700	91	4,031	22,647	0	950	0	59	75	0	0
General State Charges	13,505	200	0	2,197	12,537	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	47,189	12,900	91	2,460,094	35,184	0	950	0	59	75	0	0
Other Financing Sources (Uses):												
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(4,000)	(6,404)	0	(384,016)	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(7)	33,319	(6,404)	0	(384,016)	0	0	0	0	0
Change in Fund Balance	1,307	(3,300)	(70)	31,446	1,612	0	769	(39,992)	56	0	0	0
Closing Fund Balance	6,947	1,530	397	105,280	(2,473)	68	160,251	12,464	573	0	0	1

	ARTS CAPITAL REVOLVING (21,850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21,900-22499)	COURT FACILITIES INCENTIVE AID (22,500-22549)	EMPLOYMENT TRAINING (22,550-22599)	STATE UNIVERSITY INCOME (22,650-22699)	CHEMICAL DEPENDENCE SERVICE (22,700-22749)	LAKE GEORGE PARK TRUST FRAUD PREVENTION (22,750-22799)	LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE FRAUD PREVENTION (22,800-22849)	STATE POLICE MOTOR VEHICLE (22,850-22899)	NEW YORK GREAT LAKES PROTECTION (22,850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22,900-22949)	HOUSING DEVELOPMENT (22,950-22999)
Opening Fund Balance	878	924,217	3,566	50	1,416,558	1,049	(330)	20,668	656	23	10,606	
Receipts:												
Taxes	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	2,341,547	150	0	4,671,141	6,494	1,208	123,567	160	0	900	0
Federal Grants	0	89	0	0	0	0	0	0	0	0	0	0
Total Receipts	60	2,341,636	150	0	4,671,141	6,494	1,208	123,567	160	0	900	0
Disbursements:												
Local Assistance	98	989,262	110,900	0	0	0	0	4,237	0	0	852	0
State Operations	0	1,256,390	1,900	0	5,929,378	6,471	972	9,244	155	0	0	0
General State Charges	0	406,091	800	0	538,059	0	447	35	55	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	2,651,743	113,600	0	6,467,437	6,471	1,419	13,516	210	0	852	0
Other Financing Sources (Uses):												
Transfers from Other Funds	0	680,864	112,000	0	1,902,869	0	0	0	0	0	0	0
Transfers to Other Funds	0	(356,515)	(3,517)	0	(210,766)	0	0	(113,820)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(38)	324,349	108,483	0	1,692,103	0	(21)	(113,820)	(50)	0	0	48
Change in Fund Balance	840	938,459	(1,401)	50	1,312,365	1,072	(541)	16,899	606	23	10,654	
Closing Fund Balance												

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(Thousands of dollars)

	NYS DOT HIGHWAY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS OPERATIONS OFFSET (23150-23199)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY REIMBURSEMENT (23250-23449)	US OLYMPIC COMMITTEE/ LAKE PLACID PARAOLYMPIC TRAINING (23500-23549)	INDENT TECHNICAL SERVICES (23550-23599)	UNEMPLOYMENT ADMINISTRATION (23900-23949)	UNEMPLOYMENT INSURANCE AND FIDELITY (23600-23649)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (23950-23999)
Opening Fund Balance	(10,948)	27	(6,459)	(22,855)	25,847	171,598	190	279,803	128,245	35,958	(511)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	47,500	99,130	85	197,900	53,840	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	306,996	0	7,780
Total Receipts	3,068	100	0	30,100	47,500	99,130	85	197,900	360,836	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	150,906	9,339	0	7,780
State Operations	3,449	25	0	25,000	26,400	96,477	75	29,044	212,779	2,685	0
General State Charges	0	0	0	12,400	10,000	150	0	2,046	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,449	45	0	37,400	36,400	96,627	75	181,996	310,012	3,858	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,500	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(32)	(1,108)	3,500	0	0	0	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(381)	23	(1,108)	(3,800)	11,100	2,503	10	43,904	255	425	0
Closing Fund Balance	(11,329)	50	(7,567)	(26,655)	36,947	174,101	200	323,707	128,500	36,383	(511)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23801)	FANTASY SPORTS (24950-24951)	HEALTH CARE TRANSFER (24850)	CHARITABLE GIFTS TRUST FUND (24900-24901)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(4,178)	17,896	8,089	2,408	12,008	513,000	93,391	(1,000)	4,762,742	0	4,762,742
Receipts:											
Taxes	0	0	3,500	400	0	0	0	1,000	5,861,270	0	5,861,270
Miscellaneous Receipts	0	206,259	2,000	1,700	5,000	468,000	1,820	(1,000)	17,815,705	0	17,815,705
Federal Grants	162,466	0	0	0	0	0	0	0	61,469,548	0	61,469,548
Total Receipts	162,466	206,259	5,500	2,100	5,000	468,000	1,820	0	85,146,523	0	85,146,523
Disbursements:											
Local Assistance	134,762	201,988	1,800	0	0	0	0	0	73,808,857	0	73,808,857
State Operations	22,354	3,523	4,604	3,754	708	0	0	47,000	9,818,513	0	9,818,513
General State Charges	8,258	1,346	1,160	621	292	0	0	0	1,415,384	0	1,415,384
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	165,374	206,857	7,564	4,375	1,000	0	0	47,000	85,042,754	0	85,042,754
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	4,626	0	0	0	0	0	3,303,396	(1,054,269)	2,249,127
Transfers to Other Funds	0	(2)	(150)	(60)	(18,000)	(667,000)	(95,211)	1,000	(4,156,944)	1,054,269	(3,102,675)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2,908)	(600)	4,476	(2,335)	(18,000)	(667,000)	(95,211)	1,000	(853,548)	0	(853,548)
Change in Fund Balance	(7,086)	17,296	10,501	73	(1,992)	314,000	0	(47,000)	4,012,963	0	4,012,963
Closing Fund Balance											

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Ment Hyg Gifts	2,332	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	2,332
020.20100-Combined Exp Tr	(35)	0	(149,300)	0	0	149,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,396	0	350	0	0	0	350	216	0	48	7	139	0	0	0	0	410	1,336
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	52	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	52
020.20109-Helen Hayes Hsp	24	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	(11)
020.20110-Oxford Donation	424	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	540
020.20111-Donat-St.Albans	(8)	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(21)
020.20112-CVB Gifts & Beq	86	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	83
020.20113-Donations-Batav	3	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(18)
020.20114-Montrose Donati	179	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	178
020.20116-IBR Genetic Cou	33	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	33
020.20118-Tech Transfer	41	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	67
020.20120-Spec Events	2,644	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,782
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,446	0	733	0	0	0	733	0	44	518	1	28	0	0	0	0	591	1,588
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	3,433	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	3,305
020.20129-NYSCB Gift& Beq	159	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	142
020.20130-St Transm Money	19,945	0	230	0	0	0	230	0	0	0	0	0	0	0	0	0	0	20,175
020.20142-Youth Grants &	(201)	0	0	0	0	0	0	0	43	418	0	17	0	0	0	0	478	(679)
020.20143-Alzheimers Dis	1,360	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,080
020.20144-Local Gov Comm	150	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	155
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	284	0	10	0	0	0	10	0	0	18	0	0	0	0	0	0	18	276
020.20150-Emergency Serv	18,217	0	5,688	0	0	0	5,688	3,101	127	93	4	30	0	0	0	0	3,355	20,550
020.20151-Batavia-Charlot	342	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	339
020.20152-Rome-Gifts And	80	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	81
020.20155-Br Can Res & Ed	6,119	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	4,579
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	105	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	105
020.20166-Erie Canal Muse	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20167-Grants and Bequ	7	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	6
020.20174-Life Pass It on	1,615	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,838
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
020.20182-Parole Ofcr Mem	43	0	0	0	0	200	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,670	0	240	0	0	0	440	520	0	0	0	0	0	0	0	0	520	2,590
020.20185-Percy T Phillip	41	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	41
020.20192-Missing Children	105	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	114
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	534	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	534
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	48	0	100	0	0	0	100	0	14	90	1	9	0	0	0	0	114	34
020.201HH-OWH Grant & Beq	794	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	794
020.201MH-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Dist.	Closing Balance
020.201XK-Grants Account	1,975	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	549	1,616	1,859
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	562	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	862
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	235	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	235
020.20201-Veterans Rem Ce	1,143	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	1,218
020.20205-Mental Illness	213	0	58	0	0	0	58	0	0	0	0	0	0	0	0	0	0	271
020.20206-Women's Cancer	57	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	(43)
020.20209-Combined Gifts	(3)	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	(6)
023.20300-N Y Int Lawyers	54,924	0	39,000	0	0	0	39,000	20,000	840	619	29	0	551	0	0	0	22,039	71,885
024.20350-NYS Archvs Pine	54	0	318	0	0	300	618	0	292	119	10	0	186	0	0	8	615	57
025.20401-Child Performer	(4)	0	65	0	0	300	365	0	232	9	6	0	149	0	0	0	396	(35)
050.20451-Tuition Reimb	5,052	0	705	0	0	0	705	0	200	0	0	0	101	0	0	23	324	5,433
050.20452-Voc School Supe	2,462	0	4,200	0	0	0	4,200	0	1,755	1,022	60	0	1,121	0	0	282	4,220	2,442
052.20501-Loc Govt Record	4,436	0	9,233	0	0	0	9,233	5,056	1,745	350	60	0	1,114	0	0	1,383	9,708	3,961
053.20509-Sch Tax Relief	(1)	2,185,995	0	0	0	0	2,185,995	2,185,995	0	0	0	0	0	0	0	0	2,185,995	(1)
054.20601-Chatter School	5,665	0	0	0	0	4,837	4,837	0	0	0	0	0	0	0	0	0	4,837	5,665
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(3,463)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,463)
061.20801-Tobacco Cntr &	1	0	0	0	0	0	0	0	1,885	140	59	0	1,246	0	0	0	3,330	(6,793)
061.20802-Health Care Srv	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20803-Medicaid Fraud	(3,914,916)	0	0	0	0	0	0	3,888,640	0	0	0	0	0	0	0	0	3,888,640	(7,803,556)
061.20804-Medical Assist.	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20805-Enhanced Com	(374,177)	0	0	0	0	0	0	369,108	0	8,209	0	0	0	0	0	0	377,317	(751,494)
061.20807-HCRA Program	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20808-HCRA Transition	(14,798)	0	0	0	0	0	0	10,570	2,168	1,381	67	0	1,433	0	0	131	15,750	(30,548)
061.20809-EMS Training	(374,762)	0	0	0	0	0	0	416,401	952	10,438	304	0	714	0	0	0	428,809	(803,571)
061.20810-Child Health In	5,674,493	746,000	5,214,961	0	0	0	5,960,961	0	0	0	0	0	0	0	0	210,097	210,097	11,425,357
061.20811-HCRA Undistrib	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20812-Hospital Based	1	0	0	0	0	0	0	60	0	0	0	0	0	0	0	60	60	(59)
061.20813-Ad Home Res Co	(411)	0	0	0	0	0	0	0	277	0	9	0	183	0	0	158	627	(1,038)
061.20814-Primary Care In	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20815-Prev Coll Monit	(99)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(201)
061.20816-Pilot Health In	(890,331)	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(1,781,831)
061.20817-Indigent Care	(96,011)	0	40,677	0	0	0	40,677	121,357	715	10,193	23	0	473	0	0	0	132,761	(188,095)
061.20818-EPIC Premium	(1,040)	0	0	0	0	0	0	0	655	189	21	0	433	0	0	0	1,298	(2,338)
061.20819-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20820-Matern & Ch HIV	(430)	0	0	0	0	0	0	0	227	2	7	0	150	0	0	0	386	(816)
061.20822-Cig Task Force	(4,048)	0	0	0	0	0	0	0	2,419	206	64	0	1,487	0	0	0	4,176	(8,224)
061.NYSOH-NYS of Health	0	0	0	0	0	0	0	0	5,663	41,122	0	0	0	0	0	0	46,785	(46,785)
073.20851-Transit Authori	57,519	377,782	112,872	0	0	51,394	542,048	545,267	0	0	0	0	0	0	0	0	545,267	54,300
073.20852-Railroad Account	11,321	66,727	19,802	0	0	9,216	95,745	95,243	0	0	0	0	0	0	0	0	95,243	11,823
073.20853-DMTF	15,703	39,145	11,815	0	0	5,274	56,234	54,609	0	0	0	0	0	0	0	0	54,609	17,328
160.20901-Education - New	239,135	0	2,422,000	0	0	18,000	2,440,000	2,679,000	0	0	0	0	0	0	0	0	2,679,000	135
160.20902-Lottery Adm New	75,278	0	42,921	0	0	0	42,921	0	17,772	22,736	488	0	10,151	0	0	4,274	55,421	62,778
160.20903-VLT Administrat	13,834	0	12,040	0	0	0	12,040	0	2,978	1,280	81	0	1,682	0	0	666	6,687	19,187
160.20904-VLT - Education	32,259	0	943,000	0	0	0	943,000	975,200	0	0	0	0	0	0	0	0	975,200	59
221.20950-Comb Student Ln	10,906	0	6,730	650	0	0	7,380	244,250	0	6,701	0	0	0	0	0	0	6,701	11,585
225.23651-Mobility Tax Tr	(440)	0	0	0	0	244,250	244,250	244,250	0	0	0	0	0	0	0	0	244,250	(440)
225.23652-MTA Aid Trust	30,994	0	0	0	0	0	0	30,994	0	0	0	0	0	0	0	0	30,994	0
300.21002-Encon Admin Acc	(3,142)	0	900	0	0	0	900	0	418	14	0	0	266	0	0	0	698	(2,940)
301.21051-EnCon Energy Ef	156	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	156
301.21052-EnCon-Seized As	244	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	264

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21053-Wst Tlr Mgr/Rc	20,666	0	19,200	0	0	0	19,200	0	12,842	1,525	416	0	8,200	0	0	0	22,983	16,883
301.21054-Oil & Gas Accou	139	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	149
301.21055-Marine/Coastal	190	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	203
301.21060-Indirect Charge	(1,729)	0	0	0	0	10,157	10,157	0	6,671	2,853	160	0	3,125	0	0	2,085	14,894	(6,466)
301.21061-Hazardous Sub B	850	0	350	0	0	0	350	0	152	33	8	0	101	0	0	0	294	906
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envrir R	(3,344)	0	0	0	0	0	0	0	1,000	0	33	0	639	0	0	0	1,672	(5,016)
301.21065-Federal Grant I	14	0	40	0	0	9,000	9,040	0	8,452	168	0	0	0	0	0	1,041	9,661	(607)
301.21066-Low Level Radio	(3,999)	0	2,811	0	0	0	2,811	0	1,393	227	46	0	901	0	0	433	3,000	(4,188)
301.21067-Recreation Acco	1,879	0	10,200	0	0	0	10,200	0	2,067	862	95	0	1,088	0	0	455	4,567	7,512
301.21077-Public Safety R	6	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	6
301.21080-Encon Magazine	1,171	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,562
301.21081-Environmental R	(40,947)	0	28,600	0	0	0	28,600	0	1,595	2,424	427	0	7,154	0	0	6,187	17,787	(30,134)
301.21082-Natural Resourc	(12,449)	0	4,811	0	0	0	4,811	0	577	397	19	0	369	0	0	400	1,762	(9,400)
301.21083-UST-Trust Recov	409	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	421
301.21084-Mined Land Recl	4,094	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,381	0	0	0	3,732	4,572
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.210ZZ-Monitors-Aggr	18,518	0	6,000	0	0	0	6,000	0	4,009	493	130	0	2,560	0	0	0	7,192	17,326
302.21150-Conservation	13,110	0	43,222	0	0	75	43,297	0	19,840	2,108	641	0	12,670	0	0	1,820	37,079	19,328
302.21151-Marine Resource	3,300	0	1,480	0	0	0	1,480	0	991	0	33	0	633	0	0	0	1,657	3,123
302.21152-Migratory Bird	62	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	27
302.21153-Guides License	127	0	55	0	0	0	55	0	51	6	2	0	34	0	0	0	93	89
302.21154-Fish And Game T	63,622	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	65,547
302.21155-Surf Clam/Quaho	(74)	0	0	0	0	0	0	0	26	29	1	0	18	0	0	0	74	(148)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	27	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	52
302.21158-OUTDOOR REC & T	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
303.21201-Oil Spill - DAC	(41)	0	280	0	0	705	985	0	667	58	23	0	427	0	0	0	1,175	(231)
303.21202-Oil Sp Relocain	(64)	0	0	0	0	301	301	0	189	14	6	0	125	0	0	0	334	(97)
303.21203-Oil Spill - DEC	(1,685)	0	43,996	0	0	18,000	18,000	0	11,226	910	362	0	7,169	0	0	0	19,667	(3,352)
303.21204-Oil Spill - DAC	45,140	0	0	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	53,530
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	1,755	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	2,660
305.21252-OSHA Inspection	3,881	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,333	0	0	0	21,737	4,283
306.21301-CSF Regis Fee	4,832	0	9,600	0	0	0	9,600	0	500	12,200	0	0	200	0	0	0	12,900	1,532
307.21351-Equipment Loan	470	0	28	0	0	0	28	0	0	91	0	0	0	0	0	7	98	400
313.21401-Pub Tran Sysms	8,256	87,604	0	0	0	16,144	103,748	103,429	660	195	20	0	436	0	0	0	104,740	7,264
313.21402-Metropolitan Ma	65,480	2,353,117	17,500	0	0	21,175	2,391,792	2,350,437	2,664	410	82	0	1,761	0	0	4,000	2,359,354	97,918
313.21403-Urban Mass Tran	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(18,601)	0	9,200	0	0	0	9,200	0	2,602	147	85	0	1,662	0	0	0	4,496	(13,897)
314.21452-Mobile Source	(5,490)	0	34,000	0	0	0	34,000	0	16,455	2,851	507	0	10,875	0	0	6,404	37,092	(8,582)
318.21501-Housing Reserve	67	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	67
321.21551-Legisl Comp R&D	11,630	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,397
321.21552-Demographics/Re	64	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	66
330.40350-S U Dorm Income	200,240	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	384,016	384,016	160,248
332.21651-Brummer Award	38	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	38
332.21652-William Vorce F	232	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	232
332.21653-Rocky Pocanico	63	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	121
332.21654-OPWDD Nonexp Tr	72	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	70
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-NYS Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	877	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	839

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
340.22501-CFIA Undisrib	3,566	0	150	0	0	112,000	112,150	110,900	1,800	100	0	0	800	0	0	3,517	117,117	(1,401)
341.22552-DFY-NYC Summer	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
345.22652-L I Vets Home	17,822	0	47,474	0	0	0	47,474	0	30,464	18,719	0	0	0	0	0	0	49,183	16,113
345.22653-S U Genl IFR	756,421	0	651,028	0	0	31,487	682,515	0	199,061	372,675	0	0	12,000	0	0	67,760	651,496	787,440
345.22654-S U Inc Offset	(19,765)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19,765)
345.22655-Gen Rev Offset	159,787	0	1,792,595	0	0	1,173,921	2,966,516	0	2,434,190	422,577	0	0	4,270	0	0	103,122	2,964,159	162,144
345.22656-S U Hosp Ops	281,742	0	2,029,215	0	0	662,461	2,691,676	0	1,284,707	1,031,523	0	0	517,304	0	0	39,884	2,873,418	100,000
345.22657-SUNY Stabilizat	80,737	0	0	0	0	35,000	35,000	0	400	600	0	0	0	0	0	0	1,000	114,737
345.22658-State Univ Hosp	15,224	0	49,244	0	0	0	49,244	0	46,815	2,977	0	0	0	0	0	0	49,792	14,676
345.22659-SUNY Tuition Re	124,591	0	101,585	0	0	0	101,585	0	57,737	26,933	0	0	4,485	0	0	0	89,155	137,021
345.22660-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	1,048	0	6,494	0	0	0	6,494	0	0	6,471	0	0	0	0	0	0	6,471	1,071
349.22751-Lk George Park	(328)	0	1,208	0	0	0	1,208	0	701	250	21	0	447	0	0	0	1,419	(539)
354.22801-MVTIFA	3,099	0	4,742	0	0	0	4,742	4,237	136	4	4	0	35	0	0	1,400	5,816	2,025
354.22802-St Police MV En	17,570	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	14,875
355.22851-Great Lakes Pro	652	0	160	0	0	0	160	0	82	70	3	0	55	0	0	0	210	602
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,605	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,653
362.23001-DOT Comm Veh Sa	(10,948)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(11,329)
365.23051-Vocatl Rehabil	28	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	51
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(6,460)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(7,568)
368.23151-NYC County Cler	(22,854)	0	30,100	0	0	3,500	33,600	0	21,600	3,400	0	0	12,400	0	0	0	37,400	(26,654)
369.23201-Jud Data Proc O	25,847	0	47,500	0	0	0	47,500	0	26,400	0	0	0	10,000	0	0	0	36,400	36,947
377.23267-CUNY Stabilizn	4,955	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,955
377.23270-Drink Water DOH	83,190	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	84,805
377.2327X-CUNY Tuin Reim	83,453	0	94,022	0	0	0	94,022	0	45,101	47,883	0	0	150	0	0	0	93,134	84,341
377.2327Y-CUNY Inc Reimb	189	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	199
385.23501-Lk Placid Train	279,802	0	197,900	0	0	28,000	225,900	150,906	3,208	25,723	113	0	2,046	0	0	0	181,996	323,706
390.23551-Indigent Legal	35,957	0	15,866	0	0	0	15,866	0	1,643	1,000	42	0	1,173	0	0	11,583	15,441	36,382
482.23601-UJ Sp Int & Pen	24,286	0	198,000	0	0	0	198,000	198,600	0	0	0	0	0	0	0	0	198,600	23,686
501.23701-Commercial Gami	(8,465)	0	4,871	0	0	0	4,871	0	2,236	1,223	64	0	1,346	0	0	2	4,871	(8,465)
501.23702-Comm Game Regul	2,072	0	3,388	0	0	0	3,388	3,388	0	0	0	0	0	0	0	0	3,388	2,072
501.23703-Prob Gambli Svcs	2,687	1,575	0	0	0	0	1,575	0	0	0	0	0	0	0	0	0	0	4,262
502.23750-Med Marth Colle	65	1,575	0	0	0	0	1,575	1,800	0	0	0	0	0	0	0	0	1,800	(160)
502.23752-MMF - County DI	299	175	0	0	0	0	175	0	0	0	0	0	0	0	0	150	150	324
502.23753-MMF - Law Enfor	299	175	0	0	0	0	175	0	0	0	0	0	0	0	0	0	0	474
502.23754-MMF - Addictio	4,741	0	2,000	0	0	4,626	6,626	0	1,755	2,794	55	0	1,160	0	0	0	5,764	5,603
502.23755-Health Operato	845	0	1,200	0	0	0	1,200	0	435	427	15	0	278	0	0	60	1,215	830
503.23800-Inter Recip Pos	1,559	400	500	0	0	0	900	0	181	202	6	0	111	0	0	0	500	1,959
503.23801-Hwy Use Tax Adm	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
503.23802-Cure Childhood	0	0	0	0	0	0	0	0	361	2,116	11	0	232	0	0	0	2,720	(2,720)
503.23806-NYS Secure Choi	13,007	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	18,000	18,000	7
504.24950-Fan Sports Educ	(1,000)	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(2,000)
504.24951-Fan Sport Admin	513,000	0	468,000	0	0	0	468,000	0	0	0	0	0	0	0	0	667,000	667,000	314,000
506.24850-Hlth Care Trans	57,870	0	1,130	0	0	0	1,130	0	0	0	0	0	0	0	0	59,000	59,000	0
507.24900-Hlth Caritable	35,521	0	690	0	0	0	690	0	0	0	0	0	0	0	0	36,211	36,211	0
507.24901-Elem Sec Ed Cha																		

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	6,330	0	500	0	0	0	500	60	0	0	0	0	0	0	0	0	6,770
339.21902-S P A R C S	7,959	0	6,600	0	0	0	6,600	0	516	559	16	0	341	0	0	4,214	8,913
339.21904-Fire Prev/Code	4,238	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	4,238
339.21905-NYS Twy Police	10,902	0	63,312	0	0	0	63,312	0	37,886	25	0	0	25,401	0	0	0	10,902
339.21906-DMV Seiz Assets	115	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	115
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	0	0	0	0	0	(1,725)	(1,725)	0	0	0	0	0	0	0	0	0	(1,725)
339.21911-Fin Cntrl Board	(723)	0	3,044	0	0	0	3,044	0	1,389	811	45	0	799	0	0	0	(723)
339.21912-Reg of Racing	(5,842)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(7,965)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	109
339.21916-Nurses Aide Reg	1,019	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,019
339.21917-Med Frd Seized	173	0	238	0	0	0	238	0	0	238	0	0	0	0	0	0	173
339.21918-Child Care & Pr	1,995	0	436	0	0	0	436	100	0	0	0	0	0	0	0	0	2,331
339.21919-Cyber Sec Upgr	895	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	895
339.21920-Cert of Need	(858)	0	2,959	0	0	0	2,959	0	1,703	1,516	53	0	1,126	0	0	7,769	(10,066)
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,149	0	131	0	0	0	131	0	50	5	2	0	33	0	0	2	1,188
339.21923-DOL Fee Penalty	12,244	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	300	20,800
339.21924-Educ Museum	203	0	868	0	0	0	868	0	282	334	10	0	180	0	0	62	203
339.21925-Ns Hm Receiptship	2,901	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,926
339.21926-3rd Party Hlth	458	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	458
339.21927-Boating Noise L	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21928-I Love NY Ves	922	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,843
339.21929-Summer Sch AftS	112	0	684	0	0	0	684	0	111	528	4	0	22	0	0	0	131
339.21930-I Lve NY W Boat	19	0	245	0	0	0	245	0	130	25	4	0	84	0	0	0	21
339.21932-Snowmobile	4,235	0	6,150	0	0	0	6,150	3,850	111	363	9	0	72	0	0	0	5,980
339.21933-Tr Surplus Prop	3,226	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	2,649
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pftnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	45,472	0	0	0	0	310,025	310,025	0	129,654	136,511	0	0	0	0	0	0	89,332
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,047	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	2,824
339.21945-Crim Jus Improv	33,119	0	42,724	0	0	0	42,724	30,744	2,733	396	116	0	1,650	0	0	9,500	30,704
339.21948-Farm Prod Insp-	791	0	1,390	0	0	0	1,390	0	648	123	22	0	414	0	0	0	974
339.21950-FgprintID&Tech	26,646	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	18,588
339.21953-NY Fire Academy	(36)	0	468	0	0	0	468	0	278	314	9	0	239	0	0	0	(408)
339.21958-Domestic Awaren	96	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	100
339.21959-Environmental L	3,734	0	3,700	0	0	0	3,700	0	1,466	568	46	0	969	0	0	131	4,254
339.21960-HESC Ins Prem P	65,388	0	69,881	0	0	0	69,881	0	12,916	29,936	422	0	9,020	0	0	15,827	67,148
339.21961-Train Mgmt Eval	(775)	0	1,600	0	0	0	1,600	0	1,572	92	51	0	1,004	0	0	8	(1,902)
339.21962-Clin Lab Refrnc	(7,744)	0	18,059	0	0	0	18,059	0	5,701	2,478	176	0	3,768	0	0	4,848	(6,656)
339.21964-Pub Emp Rel Brid	790	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	831
339.21965-Radio Hlth Prot	943	0	4,048	0	0	0	4,048	0	2,272	141	70	0	1,502	0	0	796	210
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	3,554	0	0	0	0	6,000	6,000	0	0	3,867	0	0	0	0	0	0	5,687

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatin Library	160	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	165
339.21969-Teacher Certif	4,342	0	6,600	0	0	0	6,600	0	3,400	643	117	0	2,171	0	0	537	4,074
339.21970-Banking Deprimt	33,467	0	100,832	0	0	0	100,832	0	50,110	12,609	1,542	0	33,164	0	0	0	36,874
339.21971-Cable TV Acct	4,764	0	3,130	0	0	0	3,130	0	1,361	109	47	0	869	0	0	0	5,508
339.21972-Econ Devel Asst	309	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	309
339.21973-Fin Svcs Seized	715	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	715
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	249	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	249
339.21977-Business and Li	17,187	0	86,443	0	0	0	86,443	0	17,146	10,007	529	0	11,317	0	0	55,674	8,957
339.21978-Indir Cost Reco	3,992	0	0	0	0	9,259	9,259	0	2,762	2,409	0	0	1,763	0	0	3,941	2,376
339.21979-High School Equ	1,193	0	225	0	0	0	225	0	0	225	0	0	0	0	0	10	1,183
339.21980-OTDA Program	(166)	0	0	0	0	500	500	0	0	3,400	0	0	128	0	0	0	(3,194)
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	(1,342)	0	13	0	0	8,500	8,513	0	3,842	2,181	119	0	2,539	0	0	1,343	(2,853)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	15,896	0	0	0	15,896	0	10,386	5,820	0	0	0	0	0	0	(309)
339.21986-Seized Assets	20	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	22
339.21987-Spinal Injury	6,011	0	0	0	0	8,500	8,500	0	0	0	0	0	0	0	0	0	6,011
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	4,906	0	0	0	0	12,000	12,000	0	1,551	9,158	50	0	990	0	0	0	5,157
339.21990-OCTF Crime Forf	29	0	956	0	0	0	956	0	0	956	0	0	0	0	0	0	29
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.21993-Radon Detection	419	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	428
339.21994-Insurance Dept	133,530	0	276,166	0	0	0	276,166	58,036	103,642	37,301	3,195	0	68,660	0	0	0	138,862
339.21995-Workers' Compn	19,363	0	226,202	0	0	0	226,202	84,892	84,892	56,088	2,239	0	53,220	0	0	36,352	12,774
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	9,131	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,365
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	49	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	99
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licens Sv	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219G-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Prblm Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Pemis	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	1,305	0	1,200	0	0	0	1,200	0	425	14	15	0	271	0	0	0	1,780
339.219YL-OGS Bldg Admin	10,200	0	10,000	0	0	0	10,000	0	0	1,119	0	0	0	0	0	0	19,081
339.219YN-OGS Std & Purch	8,122	0	5,660	0	0	0	5,660	0	858	1,831	28	0	548	0	0	3,000	7,517
339.219Z3-MHPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,453	0	2,500	0	0	0	2,500	2,000	182	100	6	0	116	0	0	52	2,497
339.22003-Bell Jar Collec	631	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	0	1,246
339.22004-Ind & Util Serv	2,993	0	2,547	0	0	0	2,547	0	1,750	150	46	0	1,076	0	0	0	2,518
339.22008-Courts Special	2,965	0	2,400	0	0	0	2,400	0	0	2,500	0	0	0	0	0	0	2,865
339.22009-Asbestos Trng	(156)	0	330	0	0	0	330	0	226	15	7	0	149	0	0	0	(223)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	41,136	0	87,082	0	0	0	87,082	0	41,199	9,284	1,472	0	27,451	0	0	7,871	40,941
339.22012-Atty Licensing	6,380	0	30,000	0	0	0	30,000	0	18,200	6,400	0	0	8,400	0	0	0	3,380
339.22014-DSS Prov Recovs	191	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	191
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	21	0	197	0	0	0	197	0	161	9	4	0	9	0	0	0	35
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,898	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,898
339.22022-College Savings	15,406	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	15,778
339.22023-Discover Queens	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
339.22024-Reven Arrearage	18,249	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	20,503	17,595
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,371	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,371
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	4,873	0	4,200	0	0	0	4,200	0	130	0	4	0	83	0	0	1,822	7,034
339.22029-Plant Industry	527	0	529	0	0	0	529	0	274	0	9	0	175	0	0	0	598
339.22032-Batavia School	(9,200)	0	9,600	0	0	0	10,500	0	5,364	628	195	0	3,426	0	0	512	(8,825)
339.22034-Investment Serv	1,385	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	1,385

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22035-Diabetes Resear	(5)	0	6	0	0	0	6	0	0	44	0	0	0	0	0	0	(43)
339.22037-Keep Kids Drug	63	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	72
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,843)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,843)
339.22040-Senate Recyclab	610	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	630
339.22041-Medicaid Fraud	14,056	0	13,810	0	0	0	13,810	0	6,920	2,423	198	0	4,269	0	0	0	14,056
339.22042-DED Marketing A	3,332	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,342
339.22044-Tug Hill Admin	135	0	38	0	0	0	38	0	29	3	0	0	0	0	10	0	131
339.22045-Settlement Enf	594	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	594
339.22046-Regulation of I	(73,967)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,931	0	0	329	(75,104)
339.22047-NYS FLEX Spend	277	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	271
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	45,694	0	55,352	0	0	0	55,352	0	22,770	11,283	781	0	14,541	0	0	13,299	38,372
339.22052-Armory Rental A	3,503	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,503
339.22053-Rome School	(85)	0	9,600	0	0	1,020	10,620	0	4,019	652	147	0	2,566	0	0	427	2,724
339.22054-Seized Assets	(5,056)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,056)
339.22055-Traf Adjudicatin	(10,711)	0	44,500	0	0	0	44,500	0	18,099	8,605	617	0	13,234	0	0	2,288	(9,054)
339.22056-Fed Salary Shar	(19)	0	0	0	0	2,787	2,787	419	1,406	0	45	0	898	0	0	0	0
339.22057-Cook/Chill Acco	1,374	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,374
339.22060-Credential Srvs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	24,698	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	0	24,698
339.22063-Cultural Educat	(2,589)	0	28,362	0	0	0	28,362	0	11,622	6,592	408	0	7,421	0	0	2,947	(3,217)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	216	0	3,150	0	0	0	3,150	0	362	0	12	0	231	0	0	1,961	800
339.22067-Trans Regul Acc	9,466	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,282	7,184
339.22068-Cons Prot Act	1,835	0	91	0	0	0	91	0	0	67	0	0	156	0	0	0	1,703
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	2,064	0	470	0	0	0	470	0	233	9	8	0	154	0	0	95	2,035
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	40	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	40
339.22078-Local Services	1,184	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	1,173
339.22080-Adult Shelter	20,885	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	23,485
339.22081-QAA Earned Rev	400	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	400
339.22082-Family Pres Svc	3,938	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	4,824
339.22083-Electronic Bene	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45
339.22084-Federal-Seized	(38)	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(76)
339.22085-DHCR Mortgage S	(10,373)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(10,958)
339.22086-OMH-Research OH	2	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	(70)
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	3,382	0	24,900	0	0	0	24,900	990	10,847	6,341	335	0	7,169	0	0	3,960	(1,360)
339.22089-Hwyay Const & Ma	1,851	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,976
339.22090-Housing Indirec	(418)	0	193	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	2,649
339.22091-Adult Home Qual	2,516	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21	2,688
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	231

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22095-IG Szd Assets	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
339.22096-Leg Svcs Assist	33,946	0	25,100	0	0	0	25,100	15,100	0	0	0	0	0	0	0	29,080	14,866
339.22097-Loc Pub Hlth	6,315	0	84	0	0	0	84	0	305	4	10	0	202	0	0	59	5,819
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22100-DHCR HCA Applic	(3,329)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,277)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22103-Vital Records M	5,993	0	4,840	0	0	0	4,840	0	644	354	20	0	426	0	0	4,687	4,702
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,009	0	218	0	0	0	218	0	0	232	0	0	0	0	0	0	995
339.22109-Conference & Sp	96	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	96
339.22110-Assisted Living	1,419	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,419
339.22111-OCFS Program	(266)	0	0	0	0	0	0	0	0	645	0	0	0	0	0	0	(911)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD JK Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	12,498	0	74,235	0	0	0	74,235	0	23,125	35,595	747	0	14,768	0	0	0	12,498
339.22118-Animal Populati	452	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	440
339.22119-Love Your Libra	82	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	88
339.22120-DISTINCTIVE PLA	(25)	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(50)
339.22122-Local Wireless	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.22123-Pub Safe Commun	69,530	0	123,000	0	0	0	123,000	25,668	27,359	32,960	0	0	0	0	0	35,161	71,382
339.22124-Cuba Lake Mgmt	156	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	150
339.22126-St Justice Inst	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
339.22128-Med Reimb Acct	1,590	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,590
339.22130-Low Inc Housing	3,708	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	4,043
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	55	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	55
339.22133-Procure Op News	173	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	(2)
339.22134-OVS RESTITUTION	605	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	605
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	782	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	722
339.22137-Pet Dealer	143	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	175
339.22138-Auth Bdgt Office	3,343	0	2,088	0	0	1,826	3,914	0	989	254	30	0	654	0	45	0	5,285
339.22139-Patient Safety	3,459	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,459
339.22140-Helen Hayes Hos	13,966	0	3,115	0	0	59,583	62,698	0	35,281	21,220	21	0	2,423	0	1,585	0	16,134
339.22141-NYS Veterans	344	0	350	0	0	30,837	31,187	0	17,814	8,735	12	0	7,157	0	392	0	(2,579)
339.22142-NYS Home-Vetera	3,062	0	120	0	0	23,669	23,789	0	14,710	6,313	9	0	182	0	581	0	5,056
339.22143-WNY Vets Home	638	0	55	0	0	12,708	12,763	0	8,881	4,196	5	0	94	0	132	0	93
339.22144-Montrose S V H	1,799	0	30	0	0	27,785	27,815	0	19,288	7,742	11	0	216	0	295	0	2,062
339.22145-DOH Hospital Ho	2,589	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	123,745	0	2,035
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	8,684	0	1,387	0	0	1,387	1,387	1,000	0	0	0	0	0	0	0	0	9,071
339.22149-Motor Fuel Qual	1,260	0	2,800	0	0	2,800	2,800	0	1,142	1,214	39	0	729	0	0	0	936
339.22150-Weights Measure	(76)	0	325	0	0	0	325	0	221	101	8	0	141	0	0	0	(22)
339.22151-Defer Comp Adm	(79)	0	820	0	0	0	820	0	381	187	23	0	243	0	0	0	(93)
339.22152-Hazard Abatemen	1,049	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,099

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22153-Education Stats	874	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	929
339.22154-Real Estate Fin	4,748	0	3,375	0	0	0	3,375	0	1,193	1,381	39	0	762	0	0	0	4,748
339.22156-NYC Rent Rev	(9,174)	0	56,862	0	0	0	56,862	0	30,749	8,300	1,579	0	23,400	0	0	4,115	(20,455)
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	(120)	0	550	0	0	0	550	0	380	0	10	0	125	0	0	0	(85)
339.22159-CSFP Salvage Ac	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.22161-ES Stem Cell Tr	(990)	0	0	0	0	30,555	30,555	0	452	25,882	14	0	299	0	0	510	2,408
339.22162-Systems & Tech	11,857	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	12,287
339.22163-Paron Services	4,079	0	55,416	0	0	0	55,416	0	27,084	26,592	28	0	1,626	0	0	3,992	173
339.22165-Trans Aviatn	1,453	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,154
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	537	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	537
339.22168-Tax Rev Arrear	4,438	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,438
339.22169-TSCR Account	92,501	0	212,000	0	0	0	212,000	79,850	0	0	0	0	0	0	0	132,150	92,501
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,842	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,782
339.22172-Undgrnd Sfty T	2,234	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	2,169
339.22173-Vol Fire Rec&Re	903	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	903
339.22174-HAVA Match	1,554	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,554
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	(280)	0	9,000	0	0	0	9,000	9,560	369	11	12	0	244	0	0	76	(1,552)
339.22178-Crim Back Check	385	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	385
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22182-OWIG Adm Reimb	197	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	197
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	725	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	765
339.22186-Yth Fac PerDiem	3,997	0	17,000	0	0	0	17,000	0	0	0	0	0	0	0	0	0	20,997
339.22187-Provider Assess	113	0	845,000	0	0	0	845,000	845,000	0	0	0	0	0	0	0	0	113
339.22188-Fed Indirect Re	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22189-DOCS Asset Forf	306	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	306
339.22190-Conference&Sign	109	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	109
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	6,286	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,286
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,428
339.22196-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	600	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	600
339.22198-HEP	(231)	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(531)
339.22199-Airport Securit	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22202-SBCI Account	(1,387)	0	0	0	0	0	0	62	0	0	0	0	0	0	0	0	(1,387)
339.22203-Article X Inter	34	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	(28)
339.22206-Wholesale Mkt	7,227	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,527
339.22207-Tech Financing	(86)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(86)
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	231	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	231
339.22213-BOE Enforcement	171	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	171
339.22214-Fireworks Reven	870	0	320	0	0	0	320	0	143	0	5	0	95	0	0	0	947
339.22215-Delivery Transf	969	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	969
339.22235-Instit Accred	48	0	570	0	0	0	570	0	290	56	6	0	171	0	0	47	48

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22238-Eq Sh PRK Treas	0	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	0
339.22239-Opioid Steward	0	0	100,000	0	0	0	100,000	0	0	0	0	0	0	0	0	100,000	0
339.NYSMI-NYS Med Indem	0	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	0

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020
(thousands of dollars)

	STATE CAPITAL PROJECTS (80000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (80550-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (80100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (80300-30349)	STATE PARK INFRASTRUCTURE (80350-30399)	PASSENGER FACILITY CHARGE (80400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	(34)	(31,473)	142,533	8,554	(9,454)	15	92,434	164	668	3,328
Receipts:										
Taxes	0	1,298,025	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,158,882	1,381,267	0	500	159,175	0	79,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	4,158,882	2,683,797	0	500	159,175	0	198,750	0	0	0
Disbursements:										
Local Assistance	3,662,508	63,532	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,713,605	1,869,503	50,000	500	159,175	0	255,275	0	0	0
Total Disbursements	6,376,113	1,933,035	50,000	500	159,175	0	255,275	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	2,219,772	797,821	50,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,575)	(1,549,167)	0	0	0	0	0	(25)	(240)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	240	25
Net Other Financing Sources (Uses)	2,217,197	(751,346)	50,000	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	(584)	0	0	0	0	(28,525)	0	0	0
Closing Fund Balance	(68)	(32,057)	142,533	8,554	(9,454)	15	63,909	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (80600-30669)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,420	18,980	4,255	7,217	2,778	8,428	(613,526)	917	(85,926)	516
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,223,542	0	0	0
Total Receipts	0	0	0	0	0	0	2,223,542	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,094,874	10	104,996	0
Total Disbursements	0	0	0	0	0	0	1,800,855	10	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(615)	(194,046)	(25)	(3,608)	(25)	(3,274)	(406,449)	0	(25,200)	0
Bond & Note Proceeds	615	194,046	25	4,807	25	4,274	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	1,199	0	1,000	(406,449)	0	(9,700)	0
Change in Fund Balance	0	0	0	1,199	0	1,000	(16,238)	0	(11,446)	0
Closing Fund Balance	1,420	18,980	4,255	8,416	2,778	9,428	(597,288)	917	(97,372)	516

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31950-31999)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32300-32399)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32400-32449)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32500-32599)
Opening Fund Balance	(24,929)	(13,109)	(176,007)	17,565	(12,441)	185,419	71,748	(26)	(593,343)	(180,019)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	16,463	0	538,747	1,000	0	75,000	24,383	0	219,091	258,453
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	16,463	0	538,747	1,000	0	75,000	24,383	0	219,091	258,453
Disbursements:										
Local Assistance	0	0	539,322	0	0	0	0	0	118,052	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	15,657	0	0	1,017	0	75,000	85,068	0	101,289	366,318
Total Disbursements	15,657	0	539,322	1,017	0	75,000	85,068	0	219,341	366,318
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	0	49,800	0	2,000	107,865
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	0	49,800	0	2,000	107,865
Change in Fund Balance	806	0	0	(17)	0	0	(10,885)	0	1,750	0
Closing Fund Balance	(24,123)	(13,109)	(176,007)	17,548	(12,441)	185,419	60,863	(26)	(591,593)	(180,019)

	SMART SCHOOLS BOND FUND (80710-80749)	NEW YORK STATE STORM RECOVERY (83000-83049)	INFRA- STRUCTURE INVESTMENT (83050-83091)	DEDICATED CAPITAL PROJECTS OTHER	Eliminations	Financial Plan
Opening Fund Balance	440,000	(75,606)	100,000	301	0	(708,653)
Receipts:						
Taxes	0	0	0	0	0	1,417,125
Miscellaneous Receipts	0	0	500,000	301	0	7,516,172
Federal Grants	0	0	0	500	0	2,228,547
Total Receipts	0	0	500,000	801	0	11,161,844
Disbursements:						
Local Assistance	0	0	171,037	0	0	5,260,432
State Operations	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0
Capital Projects	0	0	1,274,002	0	0	8,166,289
Total Disbursements	0	0	1,445,039	0	0	13,426,721
Other Financing Sources (Uses):						
Transfers from Other Funds	0	0	945,039	0	(999,390)	3,216,982
Transfers to Other Funds	(400,000)	0	0	0	999,390	(1,585,884)
Bond & Note Proceeds	400,000	0	0	0	0	604,082
Net Other Financing Sources (Uses)	0	0	945,039	0	0	2,235,180
Change in Fund Balance	440,000	(75,606)	100,000	801	0	(738,350)
Closing Fund Balance	440,000	(75,606)	100,000	1,102	0	(738,350)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2020

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Eliminations	Sub Total	Financial Plan
Opening Fund Balance	40,001	0	0	38,581	(1)	400	0	78,981	78,981
Receipts:									
Taxes	0	29,657,276	0	0	1,028,900	3,770,750	0	34,456,926	34,456,926
Miscellaneous Receipts	245,855	0	3,494	143,702	0	500	0	393,551	393,551
Federal Grants	0	73,493	0	0	0	0	0	73,493	73,493
Total Receipts	245,855	29,730,769	3,494	143,702	1,028,900	3,771,250	0	34,923,970	34,923,970
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	895	40,185	0	2,471	0	2,155	0	45,706	45,706
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	(1)	5,362,572	4,494	26,158	0	300,916	0	5,694,139	5,694,139
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	894	5,402,757	4,494	28,629	0	303,071	0	5,739,845	5,739,845
Other Financing Sources (Uses):									
Transfers from Other Funds	1,304,517	2,496,924	1,000	42,069	0	0	(363,125)	3,844,510	3,481,385
Transfers to Other Funds	(1,549,478)	(26,824,937)	0	(154,028)	(1,028,899)	(3,468,179)	363,125	(33,025,521)	(32,662,396)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(244,961)	(24,328,013)	1,000	(111,959)	(1,028,899)	(3,468,179)	0	(29,181,011)	(29,181,011)
Change in Fund Balance	0	(1)	0	3,114	1	0	0	3,114	3,114
Closing Fund Balance	40,001	(1)	0	41,695	0	400	0	82,095	82,095

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2020**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centr Services	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(5,932)	0	64,626	0	0	0	64,626	0	29,251	15,423	945	0	18,680	0	0	1,866	66,165	(7,471)
323.55020-OGS Ent Contr	(72,628)	0	200,000	0	0	0	200,000	0	600	199,074	19	0	383	0	0	0	200,076	(72,704)
323.55022-Business Srv Ct	(13,132)	0	0	0	0	28,000	28,000	0	27,586	5,100	0	0	0	0	0	0	32,686	(17,818)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centr Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(11,806)	0	134,630	0	0	21,783	156,413	0	4,447	129,208	144	0	2,840	0	0	13,123	149,762	(5,155)
323.5502Y-OGS Bldg Admin	12,674	0	26,429	0	0	9,500	35,929	0	2,231	27,876	72	0	1,425	0	0	0	31,604	16,999
323.550ZZ-OGS Std & Purch	(885)	0	12,057	0	0	0	12,057	0	3,211	5,430	104	0	2,051	0	0	0	10,796	376
334.55050-Agencies Int Sv	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
334.55052-Archives R	312	0	1,654	0	0	0	1,654	0	920	114	27	0	504	0	0	0	1,565	401
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	1,435	0	5,963	0	0	0	5,963	0	2,792	500	90	0	1,783	0	0	1,651	6,816	582
334.55056-EHS Occup Hlth	(457)	0	870	0	0	0	870	0	635	517	21	0	406	0	0	0	1,579	(1,166)
334.55057-Banking Service	3,347	0	500	0	0	53,435	53,935	0	0	49,690	0	0	0	0	0	0	49,690	7,592
334.55058-Cult Resources	(3,724)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	865	0	0	284	6,702	(3,097)
334.55059-Neighbor Work P	(12,383)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(12,383)
334.55060-Audio/Print Chgb	2,563	0	17,927	0	0	0	17,927	0	8,419	4,345	0	0	5,092	0	0	0	17,856	2,634
334.55061-NYT Account	(2,146)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,146)
334.55062-State Data Ctr	(36,937)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36,937)
334.55063-Human Svcs Tele	(8,432)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(8,432)
334.55065-OPWDD Copy Cent	754	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	754
334.55066-Intrusion Detec	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(93)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(93)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(30,025)	0	30,000	0	0	11,460	41,460	0	0	30,000	0	0	0	0	0	0	30,000	(18,565)
334.55070-Learning Mgmt S	1,501	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,501
334.55071-Labor Cont Ctr	231	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	352
334.55072-HS Cont Ctr	1,093	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,419	0	0	0	17,629	1,181
334.55074-Civil Recoverie	(4,752)	0	5,628	0	0	0	5,628	0	3,370	0	104	0	2,154	0	0	0	5,628	(4,752)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financong	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Sev	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	68	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	98
347.55150-DFY Voc Educatn	79	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	104
394.55200-Joint Labor-Mgt	2,080	0	2,000	0	0	0	2,000	0	912	406	30	0	582	0	0	0	1,930	2,150
395.55251-Ex Dir Intl Aud	(6,473)	0	4,269	0	0	0	4,269	0	2,766	178	92	0	1,768	0	0	0	4,804	(7,008)
395.55252-CIO INFO TECH C	(21,979)	0	29,110	0	0	8,083	29,110	0	6,917	15,141	359	0	6,883	0	0	0	29,300	(22,169)
396.55300-Health Insuranc	(2,748)	0	14,121	0	0	0	22,204	0	9,150	1,700	296	0	5,843	0	0	3,428	20,417	(961)
396.55301-CS EBD Adm Reim	(4,829)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,181	0	0	639	4,065	(4,394)
397.55350-Correctional In	(28,591)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(32,252)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2020**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	3,090	0	14,400	0	0	0	14,400	0	4,124	8,596	141	0	2,634	0	0	0	15,495	1,995
326.50100-DOCS Commissary	3,531	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,613
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DPY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	14	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	15
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	198	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	222
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(90)	0	1,497	0	0	0	1,497	0	609	441	20	0	389	0	0	0	1,459	(52)
331.50319-Attica Emp Mess	1,429	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,423
331.50322-Asset Preservat	89	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	80
331.50323-Farm Program	671	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	657
331.50327-Emp Plz Gift Sh	(95)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(101)
331.5032Z-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	(1,434)	0	0	0	0	0	0	0	0	659	18	0	66	0	0	0	1,434	(2,868)
331.GOLFX-Golf	0	0	17,000	0	0	0	17,000	0	5,000	12,000	0	0	0	0	0	0	17,000	0
331.OGSPS-Parking Servs	(1,362)	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,852	0	0	1,000	9,458	(2,728)
331.OGSSE-Special Events	(6)	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(12)
331.OGSSW-Solid Waste	(67)	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(134)
331.RSXXX-Retail Sales	0	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	0
351.50400-OWH Sheit Wikshs	2,138	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,138
352.50450-MR Shel Wikshop	1,769	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,669
353.50500-MH & MR Communi	4,616	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	5,013
353.50516-MR Community St	144	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	141
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U I Benefit Frnd	863,441	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,441
481.50651-Interest Assess	5,478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,478
481.506F-S-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2018 RESULTS
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	32,275	73,959	33,048	47,244
Economic Development, Department of	52,795	260,225	17,920	27,012
Empire State Development Corporation	124,571	428,547	0	0
Olympic Regional Development Authority	0	0	2,736	3,893
FUNCTIONAL TOTAL	209,641	762,731	53,704	78,149
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,468	4,444
Environmental Conservation, Department of	2,319	19,113	94,304	136,348
Parks, Recreation and Historic Preservation, Office of	1,709	11,791	102,323	129,156
FUNCTIONAL TOTAL	4,028	30,904	201,095	269,948
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	114,708	117,379	1,395	1,050
FUNCTIONAL TOTAL	114,708	117,379	1,395	1,050
HEALTH				
Aging, Office for the	123,293	244,663	1,200	1,236
Health, Department of	14,104,581	75,679,119	506,291	921,411
Medicaid Inspector General, Office of the	0	0	18,282	19,426
FUNCTIONAL TOTAL	14,227,874	75,923,782	525,773	942,073
SOCIAL WELFARE				
Children and Family Services, Office of	1,602,284	2,763,400	227,550	329,705
Housing and Community Renewal, Division of	3,885	26,988	5,793	12,674
Human Rights, Division of	0	0	9,465	12,135
Labor, Department of	12,463	37,845	197	287
National and Community Service	477	1,597	293	336
Temporary and Disability Assistance, Office of	1,229,518	1,501,683	121,646	186,269
FUNCTIONAL TOTAL	2,848,627	4,331,513	364,944	541,406
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	36,208	59,666	0	0
Mental Health, Office of	272,895	395,482	697	796
People with Developmental Disabilities, Office for	438,058	3,317,997	0	0
Justice Center	170	289	37,627	41,685
FUNCTIONAL TOTAL	747,331	3,773,434	38,324	42,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,594	2,955
Correctional Services, Department of	5,686	52,991	2,626,633	2,664,733
Criminal Justice Services, Division of	136,282	321,482	33,851	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	3,404	1,041,618	1,070	1,000
Judicial Conduct, Commission on	0	0	5,552	5,584
Judicial Nomination, Commission on	0	0	10	30
Judicial Screening, Committees	0	0	14	38
Military and Naval Affairs, Division of	819	2,080	22,919	25,354
State Police, Division of	0	0	664,644	671,621
Statewide Financial Services	0	0	30,137	30,491
Victim Services	(537)	5,967	0	0
FUNCTIONAL TOTAL	145,654	1,424,138	3,387,424	3,439,823
EDUCATION				
Arts, Council on the	34,950	76,440	4,260	5,312
City University of New York	1,464,984	1,506,168	0	0
Education, Department of	24,151,791	50,781,286	56,396	90,965
Higher Education Services Corporation, New York State	879,322	1,202,720	0	0
State University of New York	488,757	493,959	1,049	1,616,626
FUNCTIONAL TOTAL	27,019,804	54,060,573	61,705	1,712,903
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,931	29,778
Civil Service, Department of	0	0	14,117	14,553
Deferred Compensation	0	0	33	111
Elections, State Board of	50	1,900	7,719	9,659
Employee Relations, Office of	0	0	3,569	2,913
Gaming Commission, New York State	0	0	4,373	6,431
General Services, Office of	0	0	138,445	152,917
Inspector General, Office of the	0	0	7,166	6,944
Labor Management Committee	0	0	24,773	93,658
Prevention of Domestic Violence, Office for	791	2,892	1,558	1,767
Public Employment Relations Board	0	0	3,232	3,600
Public Integrity, Commission on	0	0	5,115	5,582
State, Department of	8,288	31,019	10,750	11,379
Tax Appeals, Division of	0	0	2,688	3,040
Taxation and Finance, Department of	888	926	306,121	262,174
Technology, Office for	0	0	535,977	582,793
Veterans' Affairs, Division of	7,813	21,012	5,885	6,792
Welfare Inspector General, Office of	0	0	671	1,162
FUNCTIONAL TOTAL	17,830	57,749	1,096,123	1,195,253
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	132,420	132,331
Executive Chamber	0	0	13,560	17,854
Law, Department of	0	0	105,993	105,435
Judiciary	2,974	33,000	1,966,636	2,685,590
Legislature	0	0	222,212	408,030
Lieutenant Governor, Office of the	0	0	492	630
FUNCTIONAL TOTAL	34,999	65,025	2,441,313	3,349,870
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	760,509	898,679	131	2,500
FUNCTIONAL TOTAL	930,509	1,068,679	131	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur outside of DOH.

**GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2019 CURRENT**

(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	38,271	65,253	32,448	61,002
Economic Development, Department of	47,993	242,232	15,341	25,339
Empire State Development Corporation	96,477	412,847	0	0
Olympic Regional Development Authority	2,360	0	8,783	9,940
FUNCTIONAL TOTAL	185,101	720,332	56,572	96,281
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,469	4,544
Environmental Conservation, Department of	4,095	18,394	102,353	145,528
Parks, Recreation and Historic Preservation, Office of	406	9,810	105,049	129,156
FUNCTIONAL TOTAL	4,501	28,204	211,871	279,228
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	303,851	308,220	270,430	340,401
FUNCTIONAL TOTAL	303,851	308,220	270,430	340,401
HEALTH				
Aging, Office for the	129,278	247,868	1,231	1,236
Health, Department of	14,934,161	102,259,405	552,805	976,367
Medicaid Inspector General, Office of the	0	0	18,111	19,426
FUNCTIONAL TOTAL	15,063,439	102,507,273	572,147	997,029
SOCIAL WELFARE				
Children and Family Services, Office of	1,687,489	2,899,421	270,587	341,113
Housing and Community Renewal, Division of	9,706	31,098	4,550	12,674
Human Rights, Division of	0	0	9,921	12,135
Labor, Department of	14,512	37,599	288	287
National and Community Service	350	1,587	340	336
Temporary and Disability Assistance, Office of	1,154,914	1,541,649	123,661	183,750
FUNCTIONAL TOTAL	2,866,971	4,511,354	409,347	550,295
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	350,720	460,900	77,141	135,482
Mental Health, Office of	1,289,888	1,890,350	1,343,611	2,819,400
People with Developmental Disabilities, Office for	529,772	4,242,699	1,326,264	2,416,781
Justice Center	170	302	40,022	43,328
FUNCTIONAL TOTAL	2,170,550	6,594,251	2,787,038	5,414,991
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,955
Correctional Services, Department of	6,836	62,284	2,749,423	2,647,885
Criminal Justice Services, Division of	161,786	378,661	33,693	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	5,572	1,196,518	1,000	1,000
Judicial Conduct, Commission on	0	0	5,696	5,696
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	1,563	20,618	25,354
State Police, Division of	0	0	649,346	679,655
Statewide Financial Services	0	0	30,382	30,491
Victim Services	0	8,156	0	0
FUNCTIONAL TOTAL	175,014	1,647,182	3,492,877	3,431,121
EDUCATION				
Arts, Council on the	40,995	82,820	4,320	4,319
City University of New York	1,493,737	1,579,738	0	0
Education, Department of	25,377,228	28,003,348	60,786	99,564
Higher Education Services Corporation, New York State	1,026,449	1,191,541	0	0
State University of New York	482,289	483,644	700	1,722,000
FUNCTIONAL TOTAL	28,420,698	31,341,091	65,806	1,825,883
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,722	29,078
Civil Service, Department of	0	1,000	12,929	14,553
Deferred Compensation	0	0	57	111
Elections, State Board of	0	1,838	9,837	14,658
Employee Relations, Office of	0	0	3,863	2,913
Gaming Commission, New York State	0	0	6,362	6,431
General Services, Office of	0	0	132,916	148,417
Inspector General, Office of the	0	0	7,427	6,944
Labor Management Committee	0	0	31,000	108,473
Prevention of Domestic Violence, Office for	1,285	3,612	1,659	1,767
Public Employment Relations Board	0	0	3,560	3,600
Public Integrity, Commission on	0	0	5,576	5,582
State, Department of	14,022	35,108	9,358	11,553
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	1,852	260,696	262,174
Technology, Office for	0	0	544,532	582,793
Veterans' Affairs, Division of	9,792	21,976	6,236	6,922
Welfare Inspector General, Office of	0	0	686	1,162
FUNCTIONAL TOTAL	26,025	65,386	1,063,456	1,210,171
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	134,713	134,713
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	107,538	107,538
Judiciary	3,500	18,500	2,011,700	2,765,376
Legislature	0	0	228,908	337,556
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	35,524	50,525	2,497,051	3,363,667
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	0	170,000	0	0
Local Government Assistance	764,637	1,129,957	250	2,500
FUNCTIONAL TOTAL	764,637	1,299,957	250	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2020 PROPOSED
(Thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	29,197	71,002	33,416	71,449
Economic Development, Department of	47,537	249,574	15,341	25,570
Empire State Development Corporation	71,054	370,978	0	0
Olympic Regional Development Authority	0	0	8,526	26,940
FUNCTIONAL TOTAL	147,788	691,554	57,283	123,959
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,556	4,684
Environmental Conservation, Department of	1,199	17,125	107,984	149,690
Parks, Recreation and Historic Preservation, Office of	100	5,511	104,540	130,721
FUNCTIONAL TOTAL	1,299	22,636	217,080	285,095
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	109,851	113,009	321,905	607,362
FUNCTIONAL TOTAL	109,851	113,009	321,905	607,362
HEALTH				
Aging, Office for the	141,280	239,153	2,212	2,217
Health, Department of	15,797,818	86,759,489	498,192	784,795
Medicaid Inspector General, Office of the	0	0	15,318	16,633
FUNCTIONAL TOTAL	15,939,098	86,998,642	515,722	803,645
SOCIAL WELFARE				
Children and Family Services, Office of	1,483,728	2,648,493	245,355	344,787
Housing and Community Renewal, Division of	24,060	34,959	4,550	18,055
Human Rights, Division of	0	0	9,921	12,135
Labor, Department of	5,000	21,988	288	287
National and Community Service	350	1,466	340	418
Temporary and Disability Assistance, Office of	1,343,062	1,535,201	129,811	215,018
FUNCTIONAL TOTAL	2,856,200	4,242,107	390,265	590,700
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	361,216	445,813	78,966	124,647
Mental Health, Office of	1,145,023	1,520,030	1,355,181	2,253,835
People with Developmental Disabilities, Office for	466,369	4,596,108	1,320,769	2,239,870
Justice Center	170	324	40,598	44,564
FUNCTIONAL TOTAL	1,972,778	6,562,275	2,795,514	4,662,916
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,955
Correctional Services, Department of	6,836	72,279	2,704,642	2,656,284
Criminal Justice Services, Division of	141,000	301,009	33,638	38,309
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	3,972	1,308,900	1,000	1,000
Judicial Conduct, Commission on	0	0	5,708	5,696
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	1,730	20,839	25,354
State Police, Division of	0	0	646,623	679,655
Statewide Financial Services	0	0	30,506	30,506
Victim Services	0	2,665	0	0
FUNCTIONAL TOTAL	152,628	1,686,583	3,445,675	3,439,827
EDUCATION				
Arts, Council on the	40,835	84,486	4,320	4,319
City University of New York	1,516,984	1,603,984	0	0
Education, Department of	25,698,431	27,155,341	58,238	69,633
Higher Education Services Corporation, New York State	958,624	1,173,109	0	0
State University of New York	469,466	472,136	742	1,762,770
FUNCTIONAL TOTAL	28,684,340	30,489,056	63,300	1,836,722
GENERAL GOVERNMENT				
Budget, Division of the	0	0	22,895	28,251
Civil Service, Department of	0	3,000	13,746	15,840
Deferred Compensation	0	0	57	111
Elections, State Board of	0	1,834	10,147	13,718
Employee Relations, Office of	0	0	11,444	6,736
Gaming Commission, New York State	0	0	6,362	6,431
General Services, Office of	0	0	98,741	125,086
Inspector General, Office of the	0	0	7,487	6,944
Labor Management Committee	0	0	31,000	118,893
Prevention of Domestic Violence, Office for	1,385	3,711	1,659	1,767
Public Employment Relations Board	0	0	3,589	3,672
Public Integrity, Commission on	0	0	5,630	5,582
State, Department of	12,537	27,915	7,590	11,041
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	926	269,423	271,016
Technology, Office for	0	0	540,200	582,707
Veterans' Affairs, Division of	7,840	22,279	6,236	7,222
Welfare Inspector General, Office of	0	0	701	1,162
FUNCTIONAL TOTAL	22,688	59,665	1,039,947	1,209,219
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	137,417	137,417
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	109,689	109,689
Judiciary	19,000	19,000	2,047,600	2,843,172
Legislature	0	0	233,445	445,186
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	51,024	51,025	2,542,343	3,553,948
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	153,333	170,000	0	0
Local Government Assistance	743,561	1,062,267	0	2,500
FUNCTIONAL TOTAL	896,894	1,232,267	0	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: FY 2020 Proposed Appropriations reflect amounts included in the Executive Budget.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 4: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Current</u>
Revenues:			
Taxes:			
Personal Income Tax	23,153	(836)	22,317
Consumption/Use Taxes	7,361	(13)	7,348
Business Taxes	5,320	57	5,377
Other Taxes	1,051	19	1,070
Miscellaneous Receipts	4,910	2,937	7,847
Federal Receipts	0	0	-
Total Receipts	<u>41,795</u>	<u>2,164</u>	<u>43,959</u>
Expenditures:			
Local Assistance	50,316	(705)	49,611
State Operations	13,252	(22)	13,230
General State Charges	8,263	(1,150)	7,113
Debt Service	0	0	-
Capital Projects	0	0	-
Total Disbursements	<u>71,831</u>	<u>(1,877)</u>	<u>69,954</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	33,199	(1,867)	31,332
Transfers To Other Funds	(7,302)	(426)	(7,728)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	-
Net Other Financing Sources (Uses)	<u>25,897</u>	<u>(2,293)</u>	<u>23,604</u>
Operating Surplus/(Deficit)	<u>(4,139)</u>	<u>1,748</u>	<u>(2,391)</u>
Accumulated Surplus/(Deficit)¹	<u>533</u>	<u>1,748</u>	<u>2,281</u>

¹ Changes to the projected Accumulated Surplus/(Deficit) in FY 2019 reflect the use of extraordinary monetary settlement reserves. These receipts are scheduled to be transferred over a multi-year period through FY 2024.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2019 and FY 2020
(millions of dollars)**

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>Annual</u> <u>Change</u>
Revenues:			
Taxes:			
Personal Income Tax	22,317	22,567	250
Consumption/Use Taxes	7,348	7,840	492
Business Taxes	5,377	6,042	665
Other Taxes	1,070	1,093	23
Miscellaneous Receipts	7,847	5,950	(1,897)
Federal Receipts	0	-	0
Total Receipts	<u>43,959</u>	<u>43,492</u>	<u>(467)</u>
Expenditures:			
Local Assistance	49,611	50,775	1,164
State Operations	13,230	13,266	36
General State Charges	7,113	7,802	689
Debt Service	0	-	0
Capital Projects	0	-	0
Total Disbursements	<u>69,954</u>	<u>71,843</u>	<u>1,889</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	31,332	33,922	2,590
Transfers To Other Funds	(7,728)	(8,610)	(882)
Proceeds From Financing Arrangements/ Advance Refundings	0	-	0
Net Other Financing Sources (Uses)	<u>23,604</u>	<u>25,312</u>	<u>1,708</u>
Operating Surplus/(Deficit)	<u>(2,391)</u>	<u>(3,039)</u>	<u>(648)</u>
Accumulated Surplus/(Deficit)¹	<u>2,281</u>	<u>(758)</u>	

¹ Changes to the projected Accumulated Surplus/(Deficit) in FY 2019 reflect the use of extraordinary monetary settlement reserves. These receipts are scheduled to be transferred over a multi-year period through FY 2024.

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2019
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	36,112	6,034	1,420	31,940	75,506
Public Health/Patient Fees	0	5,131	0	494	5,625
Miscellaneous Receipts	7,847	2,851	1,854	3	12,555
Federal Receipts	0	65,265	2,393	74	67,732
Total Receipts	43,959	79,281	5,667	32,511	161,418
Expenditures:					
Local Assistance	49,611	76,774	5,137	0	131,522
State Operations	13,230	2,221	0	39	15,490
General State Charges	7,113	517	0	0	7,630
Debt Service	0	0	0	4,859	4,859
Capital Projects	0	0	7,701	0	7,701
Total Disbursements	69,954	79,512	12,838	4,898	167,202
Other Financing Sources (Uses):					
Transfers From Other Funds	31,332	4,174	2,235	3,614	41,355
Transfers To Other Funds	(7,728)	(3,538)	(1,356)	(31,285)	(43,907)
Proceeds Of General Obligation Bonds	0	0	609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	6,300	0	6,300
Net Other Financing Sources (Uses)	23,604	636	7,788	(27,671)	4,357
Operating Surplus/(Deficit)	(2,391)	405	617	(58)	(1,427)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2020
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	37,542	5,868	1,417	34,479	79,306
Public Health/Patient Fees	0	5,689	0	390	6,079
Miscellaneous Receipts	5,950	1,642	1,755	2	9,349
Federal Receipts	0	66,468	2,188	73	68,729
Total Receipts	43,492	79,667	5,360	34,944	163,463
Expenditures:					
Local Assistance	50,775	77,735	5,294	0	133,804
State Operations	13,266	2,297	0	46	15,609
General State Charges	7,802	507	0	0	8,309
Debt Service	0	0	0	4,585	4,585
Capital Projects	0	0	7,840	0	7,840
Total Disbursements	71,843	80,539	13,134	4,631	170,147
Other Financing Sources (Uses):					
Transfers From Other Funds	33,957	4,028	3,167	3,481	44,633
Transfers To Other Funds	(8,635)	(3,551)	(1,586)	(33,771)	(47,543)
Proceeds Of General Obligation Bonds	0	0	604	0	604
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,763	0	5,763
Net Other Financing Sources (Uses)	25,322	477	7,948	(30,290)	3,457
Operating Surplus/(Deficit)	(3,029)	(395)	174	23	(3,227)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2019
(millions of dollars)**

	Major Funds					Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds			
Revenues:							
Taxes:							
Personal Income Tax	22,317	0	23,792	2,424	0	48,533	
Consumption/Use Taxes	7,348	0	3,560	6,182	0	17,090	
Business Taxes	5,377	0	0	2,305	0	7,682	
Other Taxes	1,070	0	0	1,131	0	2,201	
Public Health/Patient Fees	0	0	0	5,625	0	5,625	
Miscellaneous Receipts	7,847	204	0	4,504	0	12,555	
Federal Receipts	0	65,265	74	2,393	0	67,732	
Total Receipts	43,959	65,469	27,426	24,564	0	161,418	
Expenditures:							
Local Assistance	49,611	61,094		20,817	0	131,522	
State Operations	13,230	1,861	33	366	0	15,490	
General State Charges	7,113	394		123	0	7,630	
Debt Service	0	0	4,292	567	0	4,859	
Capital Projects	0	0		7,701	0	7,701	
Total Disbursements	69,954	63,349	4,325	29,574	0	167,202	
Other Financing Sources (Uses):							
Transfers From Other Funds	31,332	12	2,515	7,496	(34,646)	6,709	
Transfers To Other Funds	(7,728)	(2,164)	(25,615)	(8,400)	34,646	(9,261)	
Proceeds Of General Obligation Bonds	0			609	0	609	
Proceeds From Financing Arrangements/ Advance Refundings	0			6,300	0	6,300	
Net Other Financing Sources (Uses)	23,604	(2,152)	(23,100)	6,005	0	4,357	
Operating Surplus/(Deficit)	(2,391)	(32)	1	995	0	(1,427)	

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2020
(millions of dollars)**

	Major Funds					Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds			
Revenues:							
Taxes:							
Personal Income Tax	22,567	0	25,885	2,187	0	50,639	
Consumption/Use Taxes	7,840	0	3,771	6,314	0	17,925	
Business Taxes	6,042	0	0	2,458	0	8,500	
Other Taxes	1,093	0	0	1,149	0	2,242	
Public Health/Patient Fees	0	0	0	6,079	0	6,079	
Miscellaneous Receipts	5,950	204	0	3,195	0	9,349	
Federal Receipts	0	66,467	73	2,189	0	68,729	
Total Receipts	43,492	66,671	29,729	23,571	0	163,463	
Expenditures:							
Local Assistance	50,775	62,521	0	20,508	0	133,804	
State Operations	13,266	1,850	40	453	0	15,609	
General State Charges	7,802	386	0	121	0	8,309	
Debt Service	0	0	4,254	331	0	4,585	
Capital Projects	0	0	0	7,840	0	7,840	
Total Disbursements	71,843	64,757	4,294	29,253	0	170,147	
Other Financing Sources (Uses):							
Transfers From Other Funds	33,957	12	2,497	8,167	(38,508)	6,125	
Transfers To Other Funds	(8,635)	(1,997)	(27,934)	(8,977)	38,508	(9,035)	
Proceeds Of General Obligation Bonds				604	0	604	
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	5,763	0	5,763	
Net Other Financing Sources (Uses)	25,322	(1,985)	(25,437)	5,557	0	3,457	
Operating Surplus/(Deficit)	(3,029)	(71)	(2)	(125)	0	(3,227)	

GAAP COMBINING STATEMENT
GENERAL FUND

FY 2019
(millions of dollars)

	LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10050-10099)	COMMUNITY PROJECTS (10250-10299)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	RAINY DAY RESERVE (10800-10849)	CENTRALIZED SERVICES (55000-55049)	STATE EXPOSITION SPECIAL (50050-50099)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	AGENCY ENTERPRISE SERVICE (50300-50399)	AGENCY INTERNAL SERVICE (55050-55099)
Revenues:										
Personal Income Tax	-	22,317	0	0	0	0	0	0	0	0
Consumption/Use Taxes	-	7,348	0	0	0	0	0	0	0	0
Business Taxes	-	5,377	0	0	0	0	0	0	0	0
Other Taxes	-	1,070	0	0	0	0	0	0	0	0
Miscellaneous Receipts	-	3,185	0	1,474	0	438	14	39	13	125
Federal Receipts	-	-	0	0	0	0	0	0	0	0
Total Receipts	-	39,297	0	1,474	0	438	14	39	13	125
Expenditures:										
Local Assistance	48,418	-	10	0	0	0	0	0	0	0
State Operations	-	11,809	0	74	0	420	13	39	12	152
General State Charges	-	5,235	0	1,400	0	29	0	0	3	17
Debt Service	-	0	0	0	0	0	0	0	0	0
Capital Projects	-	17,044	0	0	0	0	0	0	0	0
Total Disbursements	48,418	17,044	10	1,474	0	449	13	39	15	169
Other Financing Sources (Uses):										
Transfers From Other Funds	-	31,341	0	0	250	41	0	0	0	68
Transfers To Other Funds	(1,489)	(6,310)	(3)	0	0	(22)	0	0	(1)	(2)
Proceeds From Financing Arrangements/Advance Refundings	-	-	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,489)	25,031	(3)	0	250	19	0	0	(1)	66
Operating Surplus/(Deficit)	(49,907)	47,284	(13)	0	250	8	1	0	(3)	22
MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)										
Personal Income Tax	-	-	0	0	0	0	0	0	0	22,317
Consumption/Use Taxes	-	-	0	0	0	0	0	0	0	7,348
Business Taxes	-	-	0	0	0	0	0	0	0	5,377
Other Taxes	-	-	0	0	0	0	0	0	0	1,070
Miscellaneous Receipts	3,098	2	3	3	2	33	19	49	(650)	7,847
Federal Receipts	-	-	0	0	0	0	0	0	0	0
Total Receipts	3,098	2	3	3	2	33	19	49	(650)	43,959
Expenditures:										
Local Assistance	1,183	-	0	0	0	0	0	0	0	49,611
Agency Operations	1,253	2	3	2	1	25	13	62	(650)	13,230
General State Charges	402	-	0	0	0	8	7	12	0	7,113
Debt Service	-	-	0	0	0	0	0	0	0	0
Capital Projects	-	-	0	0	0	0	0	0	0	0
Total Disbursements	2,838	2	3	2	1	33	20	74	(650)	69,954
Other Financing Sources (Uses):										
Transfers From Other Funds	545	-	0	0	0	0	8	21	(942)	31,332
Transfers To Other Funds	(839)	-	0	0	0	0	(4)	0	942	(7,728)
Proceeds From Financing Arrangements/Advance Refundings	-	-	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(294)	-	0	0	0	0	4	21	0	23,604
Operating Surplus/(Deficit)	(34)	-	0	1	1	0	3	(4)	0	(2,391)

GAAP COMBINING STATEMENT

GENERAL FUND
FY 2020
(millions of dollars)

	LOCAL ASSISTANCE ACCOUNT (10900-10949)	STATE OPERATIONS ACCOUNT (10950-10999)	COMMUNITY PROJECTS (10250-10299)	FINANCE ESCROW ACCOUNT (10900-10949)	RAINY DAY RESERVE (10900-10949)	CENTRALIZED SERVICES (55900-55949)	STATE EXPENDITURE SPECIAL (50950-50999)	CORRECTIONAL COMMISSARY (50100-50299)	AGENCY ENTERPRISE (50300-50399)	AGENCY INTERNAL SERVICE (50500-50599)
Revenues:										
Personal Income Tax	-	22,567	0	0	0	0	0	0	0	0
Consumption/Use Taxes	-	7,840	0	0	0	0	0	0	0	0
Business Taxes	-	6,042	0	0	0	0	0	0	0	0
Other Taxes	-	1,093	0	0	0	0	0	0	0	0
Miscellaneous Receipts	-	2,061	0	1,474	0	438	14	39	31	102
Federal Receipts	-	-	0	0	0	0	0	0	0	0
Total Receipts	-	39,603	0	1,474	0	438	14	39	31	102
Expenditures:										
Local Assistance	49,776	-	10	-	-	-	-	-	-	-
State Operations	-	12,089	-	74	0	0	0	0	0	0
General State Charges	-	5,519	-	1,400	0	450	13	39	31	134
Debt Service	-	-	-	-	0	0	0	0	0	17
Capital Projects	-	-	-	-	0	0	0	0	0	0
Total Disbursements	49,776	18,008	10	1,474	0	475	16	39	34	151
Other Financing Sources (Uses):										
Transfers From Other Funds	-	33,363	0	0	238	59	0	0	0	66
Transfers To Other Funds	(1,701)	(7,018)	(16)	0	0	(15)	0	0	(1)	(2)
Proceeds From Financing Arrangements/Advance Refundings	-	-	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,701)	26,345	(16)	0	238	44	0	0	0	64
Operating Surplus/(Deficit)	(51,477)	47,940	(26)	0	238	7	(2)	0	(4)	15

	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	MENTAL HYGIENE REVOLVING (55100-55149)	SHELTERED WORKSHOP FUNDS (50400-50499)	MENTAL HYGIENE COMMUNITY STORES (50500-50599)	JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249)	AUDIT AND CONTROL REVOLVING (55250-55299)	HEALTH INSURANCE REVOLVING (55300-55349)	CORRECTIONAL INDUSTRIES REVOLVING (55350-55399)	Eliminations	Total
Revenues:										
Personal Income Tax	-	-	0	0	0	0	0	0	0	22,567
Consumption/Use Taxes	-	-	0	0	0	0	0	0	0	7,840
Business Taxes	-	-	0	0	0	0	0	0	0	6,042
Other Taxes	-	-	0	0	0	0	0	0	0	1,093
Miscellaneous Receipts	2,342	2	3	3	2	33	19	49	(662)	5,950
Federal Receipts	-	-	0	0	0	0	0	0	0	0
Total Receipts	2,342	2	3	3	2	33	19	49	(662)	48,492
Expenditures:										
Local Assistance	989	-	0	0	0	0	0	0	0	50,775
Agency Operations	900	2	3	2	1	25	13	62	(662)	13,266
Fringe Benefits/Fixed Costs	406	-	0	0	0	9	7	12	0	7,802
Debt Service	-	-	0	0	0	0	0	0	0	0
Capital Projects	-	-	0	0	0	0	0	0	0	0
Total Disbursements	2,395	2	3	2	1	34	20	74	(662)	71,843
Other Financing Sources (Uses):										
Transfers From Other Funds	536	-	0	0	0	0	8	21	(334)	36,957
Transfers To Other Funds	(212)	-	0	0	0	0	(4)	0	334	(6,635)
Proceeds From Financing Arrangements/Advance Refundings	-	-	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	324	-	0	0	0	0	21	21	0	25,322
Operating Surplus/(Deficit)	281	-	1	1	0	(1)	3	(6)	0	(3,029)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2019
(millions of dollars)

	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Cash Financial Plan	Special Revenue Funds	Other Funds	Entity Difference						
Revenues:										
Taxes:										
Personal Income Tax	21,367	0	0	0	21,367	950	0	0	0	22,317
Consumption/Use Taxes	7,709	0	0	0	7,709	(361)	0	0	0	7,348
Business Taxes	5,396	0	0	0	5,396	(19)	0	0	0	5,377
Other Taxes	1,074	-	0	0	1,074	(4)	0	0	0	1,070
Miscellaneous Receipts	3,195	3,098	740	740	7,033	-	1,404	(650)	60	7,847
Federal Receipts	-	-	0	0	0	-	0	0	0	0
Total Revenues	38,741	3,098	740	740	42,579	566	1,404	(650)	60	43,959
Expenditures:										
Local Assistance	49,784	1,183	0	0	50,967	(126)	0	0	(1,230)	49,611
State Operations	11,544	1,517	744	744	13,805	(45)	4	(650)	116	13,230
General State Charges	7,383	402	76	76	7,861	(58)	1,400	0	(2,090)	7,113
Debt Service	-	-	0	0	0	-	0	0	0	0
Capital Projects	-	-	0	0	0	-	0	0	0	0
Total Expenditures	68,711	3,102	820	820	72,633	(229)	1,404	(650)	(3,204)	69,954
Other Financing Sources (Uses):										
Transfers From Other Funds	31,917	546	138	138	32,601	-	(942)	0	(327)	31,332
Transfers To Other Funds	(4,847)	(857)	(29)	(29)	(5,733)	-	942	0	(2,937)	(7,728)
Proceeds From Financing Arrangements/ Advance Refundings	-	-	0	0	0	-	0	0	0	0
Net Other Financing Sources (Uses)	27,070	(311)	109	109	26,868	-	0	0	(3,264)	23,604
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(2,900)	(315)	29	29	(3,186)	795	0	0	0	(2,391)
(Increase)/Decrease In Reserves										
Operating Surplus/(Deficit)	(2,900)	(315)	29	29	(3,186)	795	0	0	0	(2,391)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)

	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-25448)	STATE UNIVERSITY INCOME (221650-22699)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22489)	STATE LOTTERY (20900-20949)	Fond Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Revenues:										
Taxes	6,086	0	0	0	0	0	0	0	(52)	6,034
Public Health	0	0	0	0	0	5,131	0	0	0	5,131
Miscellaneous Receipts	19,496	(8)	(4,839)	(3,098)	0	(5,131)	0	0	46	2,851
Federal Receipts	60,372	0	0	0	4,722	(312)	0	0	483	65,265
Total Receipts	85,954	(8)	(4,839)	(3,098)	4,722	(312)	0	0	477	79,281
Expenditures:										
Local Assistance	72,585	0	0	(1,184)	4,722	0	0	0	380	76,774
State Operations	9,892	(95)	(5,808)	(1,517)	0	(312)	0	0	114	2,221
General State Charges	1,398	0	(514)	(402)	0	0	0	0	47	517
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	83,875	(95)	(6,322)	(3,103)	4,722	(312)	0	0	541	79,512
Other Financing Sources (Uses):										
Transfers From Other Funds	2,621	0	(1,743)	(546)	0	0	0	370	0	4,174
Transfers To Other Funds	(4,239)	0	214	857	0	0	0	(370)	0	(3,538)
Net Other Financing Sources (Uses)	(1,618)	0	(1,529)	311	3,472	0	0	0	0	636
Operating Surplus/(Deficit)	461	(3)	(46)	316	0	0	0	0	(64)	405

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND
FY 2019
(millions of dollars)

	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	STATE CAPITAL PROJECTS (30000-30049)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Revenues:									
Taxes	1,421	0	0	0	0	0	0	(1)	1,420
Miscellaneous Receipts	8,156	0	(90)	(630)	0	0	(5,656)	82	1,854
Federal Receipts	2,433	0	0	0	0	0	0	(40)	2,393
Total Receipts	12,010	0	(90)	(630)	0	0	(5,656)	41	5,667
Expenditures:									
Local Assistance	5,104	0	0	0	0	0	0	33	5,137
Capital Projects	8,027	(50)	(90)	(848)	0	661	0	9	7,701
Total Disbursements	13,131	(50)	(90)	(848)	0	661	0	42	12,838
Other Financing Sources (Uses):									
Transfers From Other Funds	2,310	(50)	(25)	0	0	0	0	0	2,235
Transfers To Other Funds	(1,356)	0	0	0	0	0	0	0	(1,356)
Proceeds Of GO Bonds	609	0	0	0	0	0	0	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	644	5,656	0	6,300
Net Other Financing Sources (Uses)	1,563	(50)	(25)	0	0	644	5,656	0	7,788
Operating Surplus/(Deficit)	442	0	(25)	218	0	(17)	0	(1)	617

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
FY 2019
(millions of dollars)

	LGAC	Reclass Patient Fees	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
Revenues:					
Taxes	31,923	0	0	17	31,940
Patient Fees	0	0	494	0	494
Miscellaneous Receipts	498	(1)	(494)	0	3
Federal Receipts	74	0	0	0	74
Total Receipts	32,495	(1)	0	17	32,511
Expenditures:					
State Operations	39	0	0	0	39
Debt Service	5,975	0	(1,116)	0	4,859
Total Disbursements	6,014	0	(1,116)	0	4,898
Other Financing Sources (Uses):					
Transfers From Other Funds	3,614	0	0	0	3,614
Transfers To Other Funds	(30,169)	0	(1,116)	0	(31,285)
Net Other Financing Sources (Uses)	(26,555)	0	(1,116)	0	(27,671)
Operating Surplus/(Deficit)	(74)	(1)	0	17	(58)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2020
(millions of dollars)

	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Cash Financial Plan	Special Revenue Funds	Other Funds	Entity Difference						
Revenues:										
Taxes:										
Personal Income Tax	23,699				23,699	(1,132)				22,567
Consumption/Use Taxes	8,119				8,119	(279)				7,840
Business Taxes	6,163				6,163	(121)				6,042
Other Taxes	1,093				1,093	-				1,093
Miscellaneous Receipts	2,071		736		5,149	(1)	1,404	(662)	60	5,950
Federal Receipts	-				0					0
Total Revenues	41,145	2,342	736		44,223	(1,533)	1,404	(662)	60	43,492
Expenditures:										
Local Assistance	50,969	989	-		51,958	94	0	0	(1,277)	50,775
State Operations	11,802	1,256	774		13,832	(32)	4	(662)	124	13,266
General State Charges	8,163	406	78		8,647	(82)	1,400	0	(2,163)	7,802
Debt Service	-	-	-		0			0		0
Capital Projects	-	-	-		0		0	0	0	0
Total Expenditures	70,934	2,651	852		74,437	(20)	1,404	(662)	(3,316)	71,843
Other Financing Sources (Uses):										
Transfers From Other Funds	33,930	536	154		34,620	-	(334)	0	(329)	33,957
Transfers To Other Funds	(5,688)	(212)	(22)		(5,922)	-	334	0	(3,047)	(8,635)
Proceeds From Financing Arrangements/ Advance Refundings	-	-	-		0		0	0	0	0
Net Other Financing Sources (Uses)	28,242	324	132		28,698	-	0	0	(3,376)	25,322
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(1,547)	15	16		(1,516)	(1,513)	0	0	0	(3,029)
(Increase)/Decrease In Reserves										
Operating Surplus/(Deficit)	(1,547)	15	16		(1,516)	(1,513)	0	0	0	(3,029)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)

	CITY	UNIVERSITY	STATE	MISCELLANEOUS	STATE	LOTTERY	FOOD	RECLASS	RECLASS	INTERFUND	SYSTEM	ESTIMATED
	TUITION	UNIVERSITY	INCOME	STATE SPECIAL	REVENUE	LOTTERY	STAMPS	Public Health	SUNY	Activity	Accruals	GAAP
	REIMBURSEMENT	INCOME	REVENUE	REVENUE	LOTTERY	LOTTERY	STAMPS	Public Health	SUNY	Activity	Accruals	Expenditures
	(21,250-23449)	(2,269-22699)	(2,190-22699)	(2090-20949)	(2090-20949)	(2090-20949)	STAMPS	Public Health	SUNY	Activity	Accruals	Expenditures
Revenues:												
Taxes	5,861	0	0	0	0	0	0	0	0	0	7	5,868
Public Health	0	0	0	0	0	0	0	5,689	0	0	0	5,689
Miscellaneous Receipts	17,816	(99)	(4,671)	(2,342)	(3,420)	0	0	(5,689)	0	0	47	1,642
Federal Receipts	61,470	0	0	0	0	0	4,816	0	(312)	0	494	66,468
Total Receipts	85,147	(99)	(4,671)	(2,342)	(3,420)	0	4,816	0	(312)	0	548	79,667
Expenditures:												
Local Assistance	73,809	0	0	(989)	(289)	0	4,816	0	0	0	388	77,735
State Operations	9,819	(96)	(5,929)	(1,256)	(45)	0	0	(312)	0	0	116	2,297
General State Changes	1,415	0	(538)	(406)	(12)	0	0	0	0	0	48	507
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	85,043	(96)	(6,467)	(2,651)	(346)	0	4,816	0	(312)	0	552	80,539
Other Financing Sources (Uses):												
Transfers From Other Funds	2,726	0	(1,868)	(536)	3,347	0	0	0	0	359	0	4,028
Transfers To Other Funds	(3,580)	0	176	212	0	0	0	0	0	(359)	0	(3,551)
Net Other Financing Sources (Uses)	(854)	0	(1,692)	(324)	3,347	0	0	0	0	0	0	477
Operating Surplus/(Deficit)	(750)	(3)	104	(15)	273	0	0	0	0	0	(4)	(95)

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND
FY 2020
(millions of dollars)

	STATE UNIVERSITY	STATE UNIVERSITY	STATE UNIVERSITY	STATE	STATE	APPROPRIATED	COPIES	OFF-BUDGET	RECLASS	SYSTEM	ESTIMATED
	RESIDENCE HALLS	CAPITAL	CAPITAL	UNIVERSITY	UNIVERSITY	LOANS	COPIES	Capital	Proceeds	Accruals	GAAP
	REHABILITATION	AND REPAIR	PROJECTS	PROJECTS	PROJECTS	LOANS	COPIES	Capital	Proceeds	Accruals	Expenditures
	(30100-30299)	(32400-32999)	(30000-30049)	(30000-30049)	(30000-30049)	LOANS	COPIES	Capital	Proceeds	Accruals	Expenditures
Revenues:											
Taxes	1,417	0	0	0	0	0	0	0	0	0	1,417
Miscellaneous Receipts	7,516	0	(75)	(600)	(83)	0	0	(5,161)	0	0	1,755
Federal Receipts	2,229	0	0	0	0	0	0	0	0	(41)	2,188
Total Receipts	11,162	0	(75)	(600)	(83)	0	0	(5,161)	0	42	5,360
Expenditures:											
Local Assistance	5,260	0	0	0	0	0	0	0	0	34	5,294
Capital Projects	8,166	(50)	(75)	(820)	(83)	0	618	0	0	9	7,840
Total Disbursements	13,426	(50)	(75)	(820)	(83)	0	618	0	0	43	13,134
Other Financing Sources (Uses):											
Transfers From Other Funds	3,217	(50)	0	0	0	0	0	0	0	0	3,167
Transfers To Other Funds	(1,586)	0	0	0	0	0	0	0	0	0	(1,586)
Proceeds Of GO Bonds	604	0	0	0	0	0	0	0	0	0	604
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	0	602	5,161	0	5,763
Net Other Financing Sources (Uses)	2,235	(50)	0	0	0	0	0	602	5,161	0	7,948
Operating Surplus/(Deficit)	(29)	0	0	220	0	0	0	(16)	0	(1)	174

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
FY 2020
(millions of dollars)

	ESTIMATED CASH	RECLASS	SUNY/	SYSTEM	ESTIMATED
	DISBURSEMENTS	PATIENT FEES	CUNY DS	ACCUMULATED	GAAP
	DISBURSEMENTS	PATIENT FEES	CUNY DS	ACCUMULATED	Expenditures
Revenues:					
Taxes	34,457	0	0	22	34,479
Patient Fees	0	0	390	0	390
Miscellaneous Receipts	394	(2)	(390)	0	2
Federal Receipts	73	0	0	0	73
Total Receipts	34,924	(2)	0	22	34,944
Expenditures:					
State Operations	46	0	0	0	46
Debt Service	5,694	0	(1,109)	0	4,585
Total Disbursements	5,740	0	(1,109)	0	4,631
Other Financing Sources (Uses):					
Transfers From Other Funds	3,481	0	0	0	3,481
Transfers To Other Funds	(32,662)	0	0	0	(33,771)
Net Other Financing Sources (Uses)	(29,181)	0	(1,109)	0	(30,290)
Operating Surplus/(Deficit)	3	(2)	0	22	23

GAAP FINANCIAL PLAN
GENERAL FUND
FY 2020 THROUGH FY 2023
(millions of dollars)

	FY 2020 <u>Proposed</u>	FY 2021 <u>Projected</u>	FY 2022 <u>Projected</u>	FY 2023 <u>Projected</u>
Revenues:				
Taxes:				
Personal Income Tax	22,567	24,871	26,741	28,232
Consumption/Use Taxes	7,840	8,151	8,406	8,685
Business Taxes	6,042	6,287	6,464	6,709
Other Taxes	1,093	1,157	1,226	1,292
Miscellaneous Receipts	5,950	4,257	4,207	3,422
Federal Receipts	0	-	-	-
Total Receipts	<u>43,492</u>	<u>44,723</u>	<u>47,044</u>	<u>48,340</u>
Expenditures:				
Local Assistance	50,775	53,629	56,132	58,586
State Operations	13,266	13,848	13,963	14,104
General State Charges	7,802	7,585	8,150	8,639
Debt Service	0	-	-	-
Capital Projects	0	-	-	-
Total Disbursements	<u>71,843</u>	<u>75,062</u>	<u>78,245</u>	<u>81,329</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	33,957	34,073	35,370	37,147
Transfers To Other Funds	(8,635)	(9,609)	(9,910)	(10,641)
Proceeds From Financing Arrangements/ Advance Refundings	0	-	-	-
Net Other Financing Sources (Uses)	<u>25,322</u>	<u>24,464</u>	<u>25,460</u>	<u>26,506</u>
Operating Surplus/(Deficit)*	<u>(3,029)</u>	<u>(5,875)</u>	<u>(5,741)</u>	<u>(6,483)</u>

*FY 2021 through FY 2023 operating deficits do not reflect the impact of the State's adherence to the two percent spending benchmark, which would reduce expenditures from current forecasted levels.

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL OBLIGATION BONDS	<u>2,332,221</u>	<u>2,745,490</u>	<u>3,146,884</u>	<u>3,322,489</u>	<u>3,392,716</u>	<u>3,267,212</u>
REVENUE BONDS						
Personal Income Tax	34,903,690	38,095,810	40,371,420	42,885,609	45,662,189	47,330,301
Sales Tax	10,422,900	11,464,303	12,212,259	13,062,996	13,893,111	14,739,328
Dedicated Highway	1,389,245	1,285,390	899,150	838,250	773,445	701,475
Mental Health Services	269,150	225,895	181,420	137,825	103,185	75,230
SUNY Dorms	367,930	345,995	274,260	141,415	-	-
Health Income	147,680	128,610	108,620	88,320	68,455	48,350
LGAC	<u>1,195,000</u>	<u>876,630</u>	<u>542,935</u>	<u>303,265</u>	<u>178,250</u>	<u>86,170</u>
Subtotal Revenue Bonds	<u>48,695,595</u>	<u>52,422,632</u>	<u>54,590,064</u>	<u>57,457,681</u>	<u>60,678,635</u>	<u>62,980,854</u>
SERVICE CONTRACT	<u>2,244,730</u>	<u>1,873,002</u>	<u>1,429,810</u>	<u>1,188,434</u>	<u>994,268</u>	<u>779,608</u>
TOTAL STATE-SUPPORTED	<u>53,272,546</u>	<u>57,041,125</u>	<u>59,166,758</u>	<u>61,968,604</u>	<u>65,065,619</u>	<u>67,027,674</u>
BY PROGRAM AREA						
Economic Development & Housing	6,942,884	8,316,802	9,567,706	10,911,128	12,299,144	13,322,320
Education	17,564,221	18,323,868	18,842,977	19,209,700	19,557,051	19,750,796
Environment	2,712,274	3,147,424	3,424,833	3,682,065	4,090,061	4,369,801
Health & Mental Hygiene	4,115,883	4,587,619	4,991,438	5,423,475	5,943,548	6,256,719
State Facilities & Equipment	5,492,222	5,782,494	5,791,138	5,971,358	6,118,782	6,233,284
Transportation	15,250,062	16,006,288	16,005,731	16,467,613	16,878,784	17,008,583
LGAC	<u>1,195,000</u>	<u>876,630</u>	<u>542,935</u>	<u>303,265</u>	<u>178,250</u>	<u>86,170</u>
TOTAL STATE-SUPPORTED	<u>53,272,547</u>	<u>57,041,125</u>	<u>59,166,758</u>	<u>61,968,604</u>	<u>65,065,619</u>	<u>67,027,674</u>

STATE DEBT OUTSTANDING
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
SUBTOTAL STATE-SUPPORTED	<u>53,272,546</u>	<u>57,041,125</u>	<u>59,166,758</u>	<u>61,968,604</u>	<u>65,065,619</u>	<u>67,027,674</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	165,020	135,480	104,395	77,635	59,300	40,055
Moral Obligation						
Housing Finance Agency	155	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	138,605	104,165	67,985	30,000	0	0
SUBTOTAL OTHER STATE	<u>303,780</u>	<u>239,645</u>	<u>172,380</u>	<u>107,635</u>	<u>59,300</u>	<u>40,055</u>
GRAND TOTAL STATE-RELATED	<u>53,576,326</u>	<u>57,280,770</u>	<u>59,339,138</u>	<u>62,076,239</u>	<u>65,124,919</u>	<u>67,067,729</u>

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
GENERAL OBLIGATION BONDS	302,711	291,073	327,484	378,750	404,472	420,644
REVENUE BONDS						
Personal Income Tax	3,394,261	3,447,244	4,587,488	4,873,094	5,099,316	5,219,163
Sales Tax	884,769	837,988	1,251,065	1,231,945	1,343,795	1,417,935
Dedicated Highway	199,621	448,181	106,482	107,368	111,433	115,011
Mental Health Services	110,252	0	0	0	0	0
Health Income	26,166	26,158	25,821	24,799	23,733	21,709
LGAC	425,269	300,915	251,140	136,511	98,489	57,415
Subtotal Revenue Bonds	<u>5,040,337</u>	<u>5,060,487</u>	<u>6,221,996</u>	<u>6,373,716</u>	<u>6,676,767</u>	<u>6,831,235</u>
SERVICE CONTRACT	631,462	342,581	491,407	310,453	266,685	271,558
TOTAL STATE-SUPPORTED	<u>5,974,509</u>	<u>5,694,141</u>	<u>7,040,887</u>	<u>7,062,919</u>	<u>7,347,924</u>	<u>7,523,438</u>
BY PROGRAM AREA						
Economic Development & Housing	997,727	853,676	1,089,855	1,111,548	1,224,216	1,286,766
Education	1,465,665	1,466,248	1,731,627	1,900,912	1,998,946	2,047,526
Environment	303,973	287,101	550,615	664,577	595,695	679,484
Health & Mental Hygiene	548,196	456,324	575,110	586,832	551,202	641,277
State Facilities & Equipment	592,672	510,450	788,257	662,197	724,627	660,348
Transportation	1,641,008	1,819,426	2,054,283	2,000,344	2,154,749	2,150,622
LGAC	425,269	300,915	251,140	136,511	98,489	57,415
TOTAL STATE-SUPPORTED	<u>5,974,509</u>	<u>5,694,141</u>	<u>7,040,887</u>	<u>7,062,919</u>	<u>7,347,924</u>	<u>7,523,438</u>

STATE DEBT SERVICE
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019</u> <u>Current</u>	<u>FY 2020</u> <u>Proposed</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
SUBTOTAL STATE-SUPPORTED	<u>5,974,509</u>	<u>5,694,141</u>	<u>7,040,887</u>	<u>7,062,919</u>	<u>7,347,924</u>	<u>7,523,438</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program ¹	12,131	7,086	7,103	7,099	2,500	2,500
Moral Obligation						
Housing Finance Agency	697	161	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	40,964	41,204	41,263	41,265	31,470	0
SUBTOTAL OTHER STATE	<u>53,792</u>	<u>48,451</u>	<u>48,366</u>	<u>48,364</u>	<u>33,970</u>	<u>2,500</u>
GRAND TOTAL STATE-RELATED	<u>6,028,301</u>	<u>5,742,592</u>	<u>7,089,253</u>	<u>7,111,283</u>	<u>7,381,895</u>	<u>7,525,938</u>

¹ Debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019</u> Current	<u>FY 2020</u> Proposed	<u>FY 2021</u> Projected	<u>FY 2022</u> Projected	<u>FY 2023</u> Projected	<u>FY 2024</u> Projected
GENERAL OBLIGATION BONDS	<u>160,626</u>	<u>601,961</u>	<u>606,679</u>	<u>411,823</u>	<u>321,831</u>	<u>136,831</u>
REVENUE BONDS						
Personal Income Tax	2,731,530	5,314,736	4,748,298	5,088,692	5,544,719	4,571,902
Sales Tax	<u>2,588,280</u>	<u>1,366,521</u>	<u>1,407,517</u>	<u>1,449,742</u>	<u>1,493,235</u>	<u>1,538,032</u>
Subtotal Revenue Bonds	<u>5,319,810</u>	<u>6,681,257</u>	<u>6,155,815</u>	<u>6,538,435</u>	<u>7,037,954</u>	<u>6,109,934</u>
TOTAL STATE-SUPPORTED	<u>5,480,436</u>	<u>7,283,218</u>	<u>6,762,494</u>	<u>6,950,258</u>	<u>7,359,785</u>	<u>6,246,765</u>
BY PROGRAM AREA						
Economic Development & Housing	1,551,038	2,156,643	2,002,451	2,058,050	2,179,315	1,849,737
Education	746,577	1,426,107	1,324,145	1,360,910	1,441,099	1,223,161
Environment	347,020	637,968	592,356	608,803	644,675	547,181
Health & Mental Hygiene	412,713	790,010	733,527	753,894	798,315	677,586
State Facilities & Equipment	454,532	578,418	537,064	551,975	584,499	496,105
Transportation	<u>1,968,557</u>	<u>1,694,072</u>	<u>1,572,952</u>	<u>1,616,625</u>	<u>1,711,881</u>	<u>1,452,993</u>
SUBTOTAL STATE-SUPPORTED	<u>5,480,436</u>	<u>7,283,218</u>	<u>6,762,494</u>	<u>6,950,258</u>	<u>7,359,785</u>	<u>6,246,765</u>

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	FY 2019 Current	FY 2020 Proposed	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL OBLIGATION BONDS	<u>199,790</u>	<u>188,692</u>	<u>205,286</u>	<u>236,217</u>	<u>251,604</u>	<u>262,335</u>
REVENUE BONDS						
Personal Income Tax	1,654,395	2,122,616	2,472,688	2,574,503	2,768,140	2,903,790
Sales Tax	427,930	325,118	659,560	599,006	663,120	691,815
Dedicated Highway	126,665	103,855	386,240	60,900	64,805	71,970
Mental Health Services	90,110	43,255	44,475	43,595	34,640	27,955
SUNY Dorms	25,810	21,935	19,095	15,885	7,050	-
Health Income	18,170	19,070	19,990	20,300	19,865	20,105
LGAC	174,580	318,370	333,695	239,670	125,015	92,080
Subtotal Revenue Bonds	<u>2,517,660</u>	<u>2,954,220</u>	<u>3,935,744</u>	<u>3,553,858</u>	<u>3,682,635</u>	<u>3,807,714</u>
SERVICE CONTRACT	<u>600,099</u>	<u>371,728</u>	<u>443,192</u>	<u>241,376</u>	<u>194,166</u>	<u>214,660</u>
TOTAL STATE-SUPPORTED	<u>3,317,549</u>	<u>3,514,639</u>	<u>4,584,221</u>	<u>4,031,451</u>	<u>4,128,405</u>	<u>4,284,710</u>
BY PROGRAM AREA						
Economic Development & Housing	742,798	782,725	751,547	714,627	791,300	826,561
Education	605,046	666,460	752,395	877,228	959,383	1,029,416
Environment	198,220	202,818	314,947	351,571	236,679	267,441
Health & Mental Hygiene	333,105	318,274	329,708	321,856	278,242	364,414
State Facilities & Equipment	294,355	288,147	528,419	371,756	437,076	381,603
Transportation	969,445	937,846	1,573,509	1,154,743	1,300,710	1,323,195
LGAC	174,580	318,370	333,695	239,670	125,015	92,080
TOTAL STATE-SUPPORTED	<u>3,317,549</u>	<u>3,514,639</u>	<u>4,584,221</u>	<u>4,031,451</u>	<u>4,128,405</u>	<u>4,284,710</u>

STATE DEBT RETIREMENTS
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019</u> Current	<u>FY 2020</u> Proposed	<u>FY 2021</u> Projected	<u>FY 2022</u> Projected	<u>FY 2023</u> Projected	<u>FY 2024</u> Projected
SUBTOTAL STATE-SUPPORTED	<u>3,317,549</u>	<u>3,514,639</u>	<u>4,584,221</u>	<u>4,031,451</u>	<u>4,128,405</u>	<u>4,284,710</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	28,170	29,540	31,085	26,760	18,335	19,245
Moral Obligation						
Housing Finance Agency	645	155	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	33,000	34,440	36,180	37,985	30,000	0
SUBTOTAL OTHER STATE	<u>61,815</u>	<u>64,135</u>	<u>67,265</u>	<u>64,745</u>	<u>48,335</u>	<u>19,245</u>
GRAND TOTAL STATE-RELATED	<u>3,379,364</u>	<u>3,578,774</u>	<u>4,651,486</u>	<u>4,096,196</u>	<u>4,176,740</u>	<u>4,303,955</u>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
23700-23749		Commercial Gaming Revenue Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
24850-24899		Health Care Transformation Fund	Special Revenue
24900-24949		Charitable Gifts Trust Fund	Special Revenue
24950-24999		Interactive Fantasy Sports Fund	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart School Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

