



# FY 2019 Mid-Year Update

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# Introduction



## Introduction

This is the Mid-Year Update to the Financial Plan (“Financial Plan” or “Mid-Year Update”) for Fiscal Year (FY) 2019. Except for specific revisions described herein, the projections in the Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the First Quarterly Update to the Financial Plan (the “First Quarterly Update” or “Prior Financial Plan”) issued in August 2018. The State’s FY 2019 began on April 1, 2018 and ends on March 31, 2019. The Division of the Budget (DOB) expects to next update the State’s official Financial Plan projections with the FY 2020 Executive Budget.

The factors affecting the State’s financial condition are numerous and complex. This Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes” and analogous expressions are intended to identify forward-looking statements in this Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State’s expectations as of the date of this Financial Plan.

## Significant Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced. The General Fund is balanced using the cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase "reserved for," are not held in distinct accounts within the General Fund, and may be used for other purposes.

**State Operating Funds** is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded special revenue funds and Debt Service Funds (spending from Capital Project Funds and Federal funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, State Operating Funds is, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections often emphasizes the State Operating Funds



perspective. The State's adherence to a 2 percent annual spending growth benchmark is calculated on the State Operating Funds basis.

As described later, the Financial Plan reflects some actions that have affected, or are intended to affect, the amount of annual spending accounted for in the State Operating Funds basis of reporting. These include but are not limited to: (a) realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) the payment of certain operating costs using available resources in accounts outside the State Operating Funds basis of reporting; (c) the restructuring of the STAR program such that the spending for certain benefits is instead provided as a tax credit consistent with other State tax credits; (iv) appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTF, a change which will increase reported disbursements from State Operating Funds; and (v) an amendment to the enabling statute for the Payroll Mobility Tax (PMT) to no longer require that receipts payable to the Metropolitan Transportation Authority (MTA) be appropriated by the State Legislature, a change which is intended to improve the credit quality of MTA bonds that may be secured by the PMT and which has the effect of lowering State Operating Funds receipts and disbursements. In general, if these and other transactions are not executed or reported in a manner consistent with DOB's interpretation of legislation included with the FY 2019 Enacted Budget, then annual spending growth in State Operating Funds would be higher than Financial Plan projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only. It is not used by DOB as a benchmark for managing State finances during the fiscal year, nor is it updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

The Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into consideration the current and projected fiscal position of the State.

The Financial Plan projections for FY 2020 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted in the Financial Plan, to no greater than 2 percent. Total disbursements in the tables and narrative contained in this Financial Plan do not reflect these assumed savings, which are reflected instead on a distinct line and labeled “Adherence to 2% Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors that are currently unknown. If the 2 percent annual State Operating Funds spending growth benchmark is not adhered to, projected budget gaps would be higher (or projected surpluses would be lower).

Differences may occur from time to time between the State's Financial Plan and OSC's financial reports in the presentation and reporting of receipts and disbursements. For example, the Financial Plan may reflect a net expenditure amount while OSC may report the gross amount of the expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

# Overview of the Financial Plan



## Financial Plan At-A-Glance: Key Measures

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)			
	FY 2018	FY 2019	
	Results	First Quarter	Mid-Year
<b>State Operating Funds Disbursements</b>			
Size of Budget	\$98,151	\$100,135	\$100,135
Annual Growth	2.0%	2.0%	2.0%
<b>Other Disbursement Measures</b>			
General Fund (Excluding Transfers) <sup>1</sup>	\$59,872	\$64,173	\$64,082
Annual Growth	3.2%	7.2%	7.0%
General Fund (Including Transfers) <sup>1,2</sup>	\$69,724	\$75,570	\$74,768
Annual Growth	2.4%	8.4%	7.2%
Capital Budget (Federal and State)	\$10,640	\$14,474	\$13,170
Annual Growth	4.8%	36.0%	23.8%
Federal Operating Aid	\$54,953	\$55,673	\$58,282
Annual Growth	8.5%	1.3%	6.1%
All Funds <sup>3</sup>	\$163,744	\$170,282	\$171,587
Annual Growth	4.3%	4.0%	4.8%
Capital Budget (Including "Off-Budget" Capital <sup>4</sup> )	\$11,284	\$15,146	\$13,864
Annual Growth	5.1%	34.2%	22.9%
All Funds (Including "Off-Budget" Capital <sup>4</sup> )	\$164,388	\$170,954	\$172,281
Annual Growth	4.3%	4.0%	4.8%
<b>Inflation (CPI)</b>	2.1%	2.5%	2.5%
<b>All Funds Receipts</b>			
Taxes	\$79,266	\$77,923	\$77,923
Annual Growth	6.6%	-1.7%	-1.7%
Miscellaneous Receipts	\$27,262	\$28,300	\$28,178
Annual Growth	2.5%	3.8%	3.4%
Federal Receipts (Operating and Capital)	\$58,942	\$60,083	\$62,510
Annual Growth	6.4%	1.9%	6.1%
Total Receipts <sup>3</sup>	\$165,470	\$166,306	\$168,611
Annual Growth	5.8%	0.5%	1.9%
<b>General Fund Cash Balance</b>			
Tax Stabilization/Rainy Day Reserve	\$1,798	\$1,798	\$1,798
Extraordinary Monetary Settlements	\$5,020	\$3,308	\$3,949
All Other Reserves/Fund Balances	\$2,627	\$693	\$709
<b>Debt</b>			
Debt Service as % All Funds Receipts	4.0%	3.3%	3.3%
State-Related Debt Outstanding	\$51,631	\$54,964	\$53,866
Debt Outstanding as % Personal Income	4.0%	4.3%	4.0%
<b>State Workforce FTEs (Subject to Direct Executive Control) - All Funds</b>	117,397	118,868	118,868

<sup>1</sup> FY 2019 General Fund disbursements, before and after transfers, exclude reclassification of mental hygiene funds to the General Fund from Special Revenue Funds and movement of certain DOT and DMV operating expenses to the General Fund from the Dedicated Highway and Bridge Trust Fund.

<sup>2</sup> Includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds.

<sup>3</sup> All Funds disbursements are expected to exceed receipts (including other financing sources) in FY 2019 with the difference funded from other available resources, including Extraordinary Monetary Settlements and GO bond proceeds used to reimburse capital spending planned from other funding sources in the first instance.

<sup>4</sup> Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

## Summary

### Financial Plan Highlights

The FY 2019 Financial Plan projects that the General Fund will remain in balance on a cash basis of accounting. Estimates for tax receipts remain unchanged in this Mid-Year Update, with results through September 2018 generally on track to meet expectations. Estimates for General Fund disbursements have been reduced in each year of the Financial Plan, based on a review of operating results to date and other information.

The General Fund budget gap for FY 2020 is now estimated at \$3.1 billion, a decrease of nearly \$1 billion compared to the First Quarterly Update. If spending growth in State Operating Funds were to be held to 2 percent in FY 2020, DOB estimates the General Fund budget gap would be \$402 million.<sup>1</sup>

State Operating Funds disbursements are estimated at \$100.1 billion in FY 2019, an increase of 2 percent over FY 2018 results. The estimate reflects downward revisions in this Mid-Year Update to disbursements in each Financial Plan category. To maintain annual spending growth at 2 percent, the Financial Plan includes in the current-year a planned payment of \$145 million for debt service due in FY 2020.<sup>2</sup> DOB actively manages disbursements with the goal of adhering as closely as possible to the 2 percent spending benchmark.

On September 4, 2018, the United University Professions (UUP) ratified a six-year collective bargaining agreement for academic years 2017 through 2022. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within the New York State Health Insurance Program (NYSHIP). The multi-year costs of the agreement (approximately \$225 million in FY 2019) are reflected in this Mid-Year Update.

On October 10, 2018, the Police Benevolent Association of the New York State Troopers (NYSTPBA) ratified a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP, similar to UUP and the Civil Service Employees Association (CSEA). Costs are expected to be funded within agency operating budgets, consistent with the treatment of other negotiated salary increases.

Since the First Quarterly Update to the Financial Plan, the State received a \$40 million payment from Mashreqbank will be held in reserve, consistent with treatment of other settlements.

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<sup>1</sup> Assumes the current composition of State Operating Funds, and that all savings from reducing spending growth to 2 percent are made available to the General Fund.

<sup>2</sup> The planned payment was sized to maintain State Operating Funds disbursements at the level estimated in the First Quarterly Update.

Extraordinary Monetary Settlements held in the General Fund are expected to total \$3.95 billion at the close of FY 2019, of which \$411 million has not been designated for specific purposes. In addition, the General Fund is expected to maintain \$1.8 billion in rainy day reserves, \$500 million for debt management, and smaller balances in other reserves.

The U.S. Bureau of Economic Analysis (BEA) has released the State personal income data used to calculate the final School Aid Growth Cap for the 2019-20 school year pursuant to State Education Law Section 3602. The resulting Personal Income Growth Index (PIGI), equal to the annual growth rate in New York State personal income from FY 2017 to FY 2018, is 6.1 percent. The Mid-Year Update assumes School Aid growth of 3.6 percent. Consistent with DOB's practice since enactment of the PIGI as the statutory growth cap for School Aid, the PIGI impact will be reflected in the upcoming Executive Budget Financial Plan as part of a comprehensive recommendation for School Aid.

The Governor is expected to submit his FY 2020 Executive Budget to the Legislature no later than February 1, 2019. DOB expects the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described herein, and is based on the current composition of the State Operating Funds perspective as reported by DOB. The General Fund operating projections<sup>3</sup> for FY 2020, FY 2021, and FY 2022 are calculated based on this assumption. DOB expects that specific proposals to limit annual spending growth in State Operating Funds to 2 percent will be included in future Budget proposals.

## Other Developments

On August 23, 2018, the Internal Revenue Service (IRS) issued proposed regulations – IRS REG-112176-18 – that seek to provide new rules governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions. In the case of state tax credits received by a taxpayer making a charitable contribution, the proposed regulations would reduce the Federal income tax deduction available to such taxpayer by the amount of the state tax credit received by the taxpayer for such charitable contribution; provided, however, this rule would not apply, if the value of the state tax credit to be received for the charitable contribution does not exceed 15 percent of the charitable contribution. The Treasury Department and the IRS have collected comments from the general public on these proposed regulations and held a public hearing on November 5, 2018. Based on its review of the proposed regulations, DOB anticipates that if the proposed regulations are adopted in their current form, then contributions to the State Charitable Gifts Trust Fund may decline. The proposed regulations, by their terms, do not impact the Federal tax reduction that DOB expects would result for certain taxpayers employed by entities enrolled in the Employer Compensation Expense Program (ECEP).

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<sup>3</sup> The Financial Plan displays General Fund budget gaps both before and after savings that would be estimated to occur if annual growth in State Operating Funds spending is held to 2 percent in FY 2020 and each year thereafter.

## General Fund Operating Results Through September

The State ended September 2018 with a General Fund cash balance of \$6.5 billion, \$533 million above the Enacted Budget Financial Plan estimate. The higher balance is mainly attributable to lower spending for agency operations and capital projects, and receipt of unplanned extraordinary monetary settlements.

Receipts, including transfers from other funds, totaled \$35.9 billion through September 2018. Tax receipts were generally consistent with Enacted Budget Financial Plan estimates. Non-tax receipts were \$262 million above planned levels, due mainly to Extraordinary Monetary Settlements.

Disbursements, including transfers to other funds, totaled \$38.9 billion through September 2018, \$350 million lower than initial projections in the Enacted Budget Financial Plan. Lower spending for local assistance reflects payments for education, higher education, and social services programs. Many of these payments are expected to occur by the end of the third quarter of FY 2019. However, some of the spending reduction has been recognized in the revisions described herein. In addition, certain General Fund transfers to other funds were not needed in the first half of FY 2019, as spending for capital projects and other purposes occurred more slowly than expected. These transfers are expected to be made later in FY 2019.



## Multi-Year Financial Plan Revisions

### General Fund

The following table summarizes revisions to the FY 2019 First Quarterly Financial Plan Update. Descriptions of significant changes follow the table below.

SUMMARY OF REVISIONS TO FIRST QUARTERLY FINANCIAL PLAN				
GENERAL FUND BUDGETARY BASIS OF ACCOUNTING				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2019	FY 2020	FY 2021	FY 2022
<b>FIRST QUARTERLY UPDATE SURPLUS/(GAP)</b>	<b>0</b>	<b>(4,027)</b>	<b>(6,946)</b>	<b>(6,957)</b>
<b>Receipts Revisions<sup>1</sup></b>	<b>(145)</b>	<b>385</b>	<b>214</b>	<b>(128)</b>
Tax Receipts (before Debt Service)	0	0	0	0
Debt Service (impact on Tax Receipts) <sup>1</sup>	(209)	166	(76)	(142)
Debt Service Prepayment	(145)	145	0	0
Other Debt Service Revisions	(64)	21	(76)	(142)
Non-Tax Receipts	24	219	290	14
Mashreqbank Settlement Payment	40	0	0	0
<b>Disbursements Revisions</b>	<b>802</b>	<b>662</b>	<b>418</b>	<b>254</b>
Local Assistance	35	56	(149)	141
Agency Operations	56	299	292	228
Transfers to DIIF	601	107	114	(280)
Transfers to Debt Service Funds	52	125	113	129
Transfers to Other Funds	58	75	48	36
<b>Use/(Reserve) of Fund Balance</b>	<b>(657)</b>	<b>(90)</b>	<b>(115)</b>	<b>280</b>
Extraordinary Monetary Settlements	(641)	(107)	(114)	280
Settlement Payments Received	(40)	0	0	0
Transfer to DIIF	(601)	(107)	(114)	280
Community Projects Fund	(16)	17	(1)	0
<b>Change in Adherence to 2% Spending - Calculated Savings</b>	<b>0</b>	<b>579</b>	<b>117</b>	<b>235</b>
<b>MID-YEAR UPDATE SURPLUS/(GAP)<sup>2</sup></b>	<b>0</b>	<b>(3,070)</b>	<b>(6,429)</b>	<b>(6,551)</b>
Adherence to 2% SOF Spending - Calculated Savings <sup>3</sup>	0	2,668	5,431	6,235
<b>MID-YEAR UPDATE SURPLUS/(GAP)</b>	<b>0</b>	<b>(402)</b>	<b>(998)</b>	<b>(316)</b>

<sup>1</sup> Includes impact of changes to estimated debt service that alter the amount of tax receipts transferred to the General Fund.

<sup>2</sup> Before actions to adhere to the 2 percent benchmark.

<sup>3</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on current FY 2019 projections), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher (or the projected surpluses lower).

## Receipts Revisions

General Fund receipts, including transfers from other funds, are projected to total \$72.8 billion in FY 2019, a decrease of \$145 million from the First Quarterly Update. Projected General Fund tax receipts remain unchanged. However, transfers of tax receipts after payment of debt service have been revised to reflect the planned payment in FY 2019 of \$145 million in debt service due in FY 2020<sup>4</sup>. In addition, miscellaneous receipts and transfers from other State funds have been revised to reflect the receipt of an unplanned Extraordinary Monetary Settlement payment, updated information and accounting reclassifications, as well as certain transactions that may not be completed by year-end.

## Disbursements Revisions

General Fund disbursements, including transfers to other funds, are expected to total \$75.8 billion in FY 2019, a decrease of \$802 million from First Quarterly Update projections. Revisions to General Fund disbursements are based on a review of operating results to date and updated information on programs and activities.

### Local Assistance

Projected General Fund disbursements for local assistance have been revised downward in each year of the Financial Plan. General Fund disbursements for local assistance are expected to total \$51 billion in FY 2019, a \$35 million decrease from the First Quarterly Update. Notable revisions include:

- **Mental Hygiene (FY 2019: \$57 million; FY 2020 \$13 million):** Lowered General Fund spending in FYs 2019 and 2020 is largely driven by the timing of Federal support for a variety of Medicaid-funded programs including children's residential treatment services, and care coordination expenses.

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<sup>4</sup> Prepayments will be funded with resources that DOB expects to be available after operations. The level of prepayments may change, depending on FY 2019 operating results. Debt service revisions typically affect both transfers from and to the General Fund. Thus, the reduction in tax receipts transferred to the General Fund is offset in part by a downward revision to General Fund transfers to support spending from the Debt Service Fund.

- **School Aid/Special Education (FY 2019: \$42 million; FY 2020 \$63 million):** School Aid changes include recoveries of overpayments for the 2018-19 school year, as well as underspending for Statewide Universal Full-Day Prekindergarten outside of New York City. Spending for the preschool special education and summer school special education programs has been revised downward due to lower than anticipated enrollment and minimum wage costs.
- **Social Services (FY 2019: \$0; FY 2020: \$33 million):** Spending reductions are due mainly to updated assumptions for detention and foster care spending.
- **Health/Medicaid (FY 2019: \$33 million; FY 2020: \$17 million):** Estimated HCRA surcharge receipts have been increased by \$101 million in FY 2019 and in each year thereafter, based on prior year trends and actual collections to date. Savings in FY 2019 are offset by a claims corrections that is expected to drive a one-time \$50 million increase in State costs. Child Health Plus (CHP) enrollment continues to grow above current estimates, resulting in upward revisions to spending over the multi-year Financial Plan. Beginning in FY 2020, the projected cost of the minimum wage increase to the Medicaid program has been revised upward by roughly \$100 million annually, due to increased utilization. In addition, health care transformation spending has been increased [see the Health Care Transformation Fund (HCTF) summary included in the “State Financial Plan Projections Fiscal Years 2019 Through 2022” herein].
- **Human Services Cost-of-Living Adjustment (COLA) (FY 2019: \$0; FY 2020: \$32 million):** The statutory human services COLA calculation affecting service providers, primarily in children and family and mental hygiene programs, was updated in August 2018. The updated COLA for FY 2020 is 2.9 percent, compared to 2.5 percent estimated in the Enacted Budget.
- **Other:** Local assistance spending estimates have been revised for other programs based on enrollment, applications, participation and spending to date.

## Agency Operations

General Fund disbursements for agency operations, including employee fringe benefits, are expected to total \$19.2 billion in the current year, a decrease of \$56 million from First Quarterly Update projections. The most significant changes include:

- **Health Insurance (FY 2019: \$71 million; FY 2020: \$231 million):** Reflects revised estimates for health care inflation and enrollment trends, including lower costs associated with the competitively bid NYSHIP prescription drug contract, which is pending final approval from the State Comptroller.
- **Pensions (FY 2019: \$38 million; FY 2020: \$72 million):** Reflects revised estimates from the pension system, including updated information on salary trends, veterans pension credit costs, and higher than anticipated investment returns.
- **Other:** State Operations estimates have been revised across several areas based on spending to date. Notable changes include an upward revision for mental hygiene spending due mainly to delayed timing of the expansion of community-based services. Revisions also reflect an increase in Social Security costs due to the UUP contract,<sup>5</sup> which are entirely funded by the State.

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<sup>5</sup> The cost of the SUNY UUP salary contract ratified by members in September 2019 has been added to the Financial Plan, including retroactive payments to academic year 2017. Nearly all the spending (roughly \$225 million in FY 2019 and \$265 million in FY 2020) occurs outside of the General Fund in SUNY special revenue accounts.



## Transfers to Other Funds

General Fund transfers to other funds are expected to total \$5.5 billion in FY 2019, a decrease of \$711 million from the First Quarterly Update. The most significant changes include:

- **Transfers to the Dedicated Infrastructure Investment Fund (DIIF) (FY 2019: \$601 million; FY 2020: \$107 million):** Timing of transfers of settlement reserves to support activities appropriated in other funds has been updated based on spending to date.
- **Transfers to Debt Service Funds (FY 2019: \$52 million; FY 2020: \$125 million):** Updated debt service costs reflect actual bond sales to date and savings from refunding certain bonds.
- **Transfers to Other Funds (FY 2019: \$58 million; FY 2020: \$75 million):** Other General Fund transfers are expected to be lower than previously anticipated mainly due to revised levels of spending for capital projects and other programs, and other accounting reclassifications and adjustments.

## Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 2 percent from FY 2018 to FY 2019, consistent with the 2 percent spending growth benchmark. The table below illustrates major sources of the change in annual State spending by major program, purpose and fund.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2018 Results	FY 2019 Updated	Annual Change	
			\$	%
<b>LOCAL ASSISTANCE</b>	<b>65,604</b>	<b>66,700</b>	<b>1,096</b>	<b>1.7%</b>
School Aid (School Year Basis)	25,639	26,553	914	3.6%
DOH Medicaid <sup>1</sup>	19,143	20,396	1,253	6.5%
Transportation <sup>2</sup>	5,025	3,936	(1,089)	-21.7%
STAR <sup>3</sup>	2,589	2,459	(130)	-5.0%
Social Services	2,837	2,971	134	4.7%
Higher Education	2,833	3,057	224	7.9%
Mental Hygiene	2,350	2,181	(169)	-7.2%
All Other <sup>4</sup>	5,188	5,147	(41)	-0.8%
<b>STATE OPERATIONS/GENERAL STATE CHARGES</b>	<b>26,674</b>	<b>27,915</b>	<b>1,241</b>	<b>4.7%</b>
<b>State Operations</b>	<b>18,821</b>	<b>19,505</b>	<b>684</b>	<b>3.6%</b>
Personal Service:	<b>13,170</b>	<b>13,716</b>	<b>546</b>	<b>4.1%</b>
Executive Agencies	7,314	7,434	120	1.6%
DOT/DMV Operations Reclassification	0	167	167	0.0%
University Systems	3,806	4,022	216	5.7%
Elected Officials	2,050	2,093	43	2.1%
Non-Personal Service:	<b>5,651</b>	<b>5,789</b>	<b>138</b>	<b>2.4%</b>
Executive Agencies	2,690	2,798	108	4.0%
DOT/DMV Operations Reclassification	0	115	115	0.0%
University Systems	2,377	2,273	(104)	-4.4%
Elected Officials	584	603	19	3.3%
<b>General State Charges</b>	<b>7,853</b>	<b>8,410</b>	<b>557</b>	<b>7.1%</b>
Pension Contribution	2,442	2,417	(25)	-1.0%
Health Insurance	3,963	4,205	242	6.1%
Other Fringe Benefits/Fixed Costs	1,448	1,788	340	23.5%
<b>DEBT SERVICE</b>	<b>5,873</b>	<b>5,520</b>	<b>(353)</b>	<b>-6.0%</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>98,151</b>	<b>100,135</b>	<b>1,984</b>	<b>2.0%</b>
<b>Capital Projects (State and Federal Funds)</b>	<b>10,640</b>	<b>13,170</b>	<b>2,530</b>	<b>23.8%</b>
<b>Federal Operating Aid</b>	<b>54,953</b>	<b>58,282</b>	<b>3,329</b>	<b>6.1%</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS</b>	<b>163,744</b>	<b>171,587</b>	<b>7,843</b>	<b>4.8%</b>

<sup>1</sup> Total State share Medicaid funding excludes Master Settlement Agreement (MSA) payments to the State that will be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund to defray the cost of the State's takeover of Medicaid costs for counties and New York City.

<sup>2</sup> The FY 2019 transportation estimate does not include the MTA PMT. The Enacted Budget provided that the PMT be remitted to MTA without an appropriation beginning in FY 2019.

<sup>3</sup> The FY 2018 Enacted Budget converted the New York City Personal Income Tax (PIT) rate reduction benefit to a nonrefundable State PIT credit. This change has no impact on STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2019 Through 2022" herein.

<sup>4</sup> "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State fiscal year basis, School Aid is estimated to total \$26.5 billion in FY 2019, an increase of \$1 billion from FY 2018. It also reflects a reduction for the portion of the State's takeover of Medicaid costs for counties and New York City that will be funded from MSA payments deposited directly to the MMIS Escrow Fund (\$435 million in FY 2019), which reduces reported disbursements. Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety, as well as reclassifications.

## State Operating Funds — Summary of Annual Spending Change

A significant amount of spending that occurs in State Operating Funds is supported with resources generated in exchange for services provided by State entities. Examples include State University of New York (SUNY) operations that are funded with tuition, patient and fee revenue; and regulatory activities by the Workers' Compensation Board (WCB) and Department of Financial Services (DFS) that are funded with industry assessments. In addition, other spending is supported with revenues that are used exclusively, or nearly exclusively, for dedicated purposes, such as the various taxes collected and disbursed to the MTA and other transit systems from the Metropolitan Mass Transportation Fund and public transportation funds. These “own-source” revenues contribute more than \$400 million in spending growth from FY 2018 to FY 2019. These activities have no direct bearing on the State’s ability to maintain a balanced budget in the General Fund.

Spending growth in recent years reflects the State’s effort to address long-standing public policy issues. For example, in FY 2015, the State absorbed the full cost of growth in Medicaid on behalf of local governments, creating the most significant mandate relief initiative for counties in decades. The State takeover of local government Medicaid costs had been proposed as early as 1991, followed by many subsequent unsuccessful attempts. Additionally, in FY 2017, the State enacted a law that provides for predictable increases in the minimum wage. In 1970, the State’s minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods of no change. By 2013, in inflation-adjusted dollars, the value of the State’s minimum wage had fallen to about 65 percent of its 1970 value. The FY 2019 State Operating Funds spending increases include over \$900 million for the incremental cost of the local Medicaid growth takeover, and nearly \$800 million for the direct cost of the minimum wage increase to health care providers.

### Local Assistance

Medicaid and School Aid are the State’s largest local aid programs, comprising approximately 45 percent of State Operating Funds spending. In School Year (SY) 2019, School Aid is expected to total \$26.6 billion, an increase of \$914 million (3.6 percent), due primarily to a \$618 million increase in Foundation Aid.<sup>6</sup> Medicaid spending subject to the Medicaid spending cap (or “Global Cap”) is expected to grow at the indexed rate of 3.2 percent to \$18.9 billion. In total, Medicaid spending funded from State resources will increase to \$20.4 billion. Increases are primarily attributable to the takeover of local Medicaid growth (\$182 million), the cost of minimum wage increases, (\$448 million), and other spending outside the Global Cap.

In FY 2018, bonds secured by annual payments from tobacco manufactures under the Master Settlement Agreement (MSA) were retired, with no remaining debt service to be paid on these bonds. Thus, DOB expects payments under the MSA of approximately \$435 million to be available in FY 2019 (including \$103 million from FY 2018) and additional payments to be available in subsequent years. Legislation included in the FY 2018 Enacted Budget directed these payments

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<sup>6</sup> Total education aid, including reimbursement for charter school supplemental tuition and facilities aid, is projected at \$26.7 billion in SY 2019, an increase of nearly \$1 billion (3.9 percent) from SY 2018.

be used to help defray costs of the State's takeover of Medicaid costs for counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$917 million in FY 2019 and \$1.1 billion in FY 2020. Consistent with State law, DOB expects MSA payments to be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund. The deposit mechanism has no impact on overall Medicaid spending funded with State resources, but does reduce reported State-supported Medicaid spending accounted for in FY 2019 State Operating Funds by \$435 million.

The FY 2019 Enacted Budget includes legislation dedicating \$836 million to the MTA's NYC Subway Action Plan, which will provide \$508 million for operating purposes and \$328 million for capital purposes. The State and NYC will each fund 50 percent of the MTA's NYC Subway Action Plan (\$418 million). The State will provide \$194 million from Extraordinary Monetary Settlements and \$60 million in accelerated PMT pass-through resources, which constitute the State's operating obligation consistent with the Subway Action Plan. The Action Plan will address system failures, breakdowns, delays and deteriorating customer service.

The PMT will no longer be appropriated annually by the State Legislature. Previously, the State collected the PMT on behalf of, and disbursed the entire amount to, the MTA. The FY 2019 Enacted Budget amended the enabling statute to no longer require the PMT to be appropriated annually by the State Legislature but instead be paid directly to MTA from a sole custody fund. This allows the MTA to collect PMT receipts without delays or uncertainty related to the appropriation process. Consistent with this statutory change, the Financial Plan does not include PMT receipts and related local assistance disbursements. In FY 2019 the PMT receipts and disbursements that are no longer reported in the Financial Plan are estimated at approximately \$1.5 billion and \$1.4 billion, respectively.

STAR spending in FY 2018 and FY 2019 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$775 million in FY 2018 and \$937 million in FY 2019).

Higher Education spending growth is due mainly to the second phase of the Excelsior Free Tuition Program, the timing of certain payments during academic year 2018, and increased funding for other scholarships, which provide financial aid to approximately 400,000 students.

Local assistance spending reported in the Financial Plan is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, NYC is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State aid. The legislation specifies that the money refunded from STARC could be received by the State as a miscellaneous receipt, or directed by the State to a State public authority to offset debt service costs on State-supported bonds. The Legislature authorized, via specific language in the STARC appropriation, that the STARC refunding money recouped from NYC be treated as an offset to State spending. The



Financial Plan reflects the offset in the calculation of FY 2019 State Operating Funds spending. In prior years, the reimbursement money was reflected as a miscellaneous receipt.

## State Operations/Fringe Benefits

Spending for Executive agency operations is expected to increase by 2.3 percent in FY 2019, excluding the reclassification of certain DOT and DMV operating costs to the General Fund. Beginning in FY 2019 certain transportation operating costs are appropriated from the General Fund instead of the DHBTF. These operating expenses were previously funded by a transfer from the General Fund to the DHBTF. The change, which will increase disbursements in State Operating Funds by roughly \$280 million in FY 2019, applies to operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities.

Operations spending for the University Systems and elected officials, which include the Attorney General, Comptroller, Legislature and Judiciary, is expected to increase by 1.8 percent and 2.4 percent, respectively.

Spending growth for fringe benefits is due mainly to rising employee health care and prescription drug costs. The State continues to repay the State Retirement System for amounts amortized from FY 2011 through FY 2016. The payments were amortized to mitigate the extraordinary increase in annual contributions following investment losses. In FY 2019 amortization repayment totals \$432 million.

## Debt Service

The decline in Debt Service Funds spending is mainly due to the payment of \$594 million in planned FY 2019 debt service costs during FY 2018, which is partly offset by the planned payment of \$145 million of FY 2020 debt service costs in FY 2019.

## Impact of Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act of 2017 (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which are effective in the 2018 tax year. The new Federal tax law makes extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. The changes to the Federal tax code will have significant flow-through effects on state tax burdens and state tax receipts. From the standpoint of individual New York State taxpayers, one of the most onerous provisions in the TCJA is a new \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for tax year 2018. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience, and may adversely affect New York's economic competitiveness.

DOB and the Department of Taxation and Finance (DTF) estimate that the SALT deduction limit raises Federal tax liability for New York taxpayers by \$14.3 billion for tax year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a statistically significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses, which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and erode the value of home prices, thereby posing risks to the State's tax base.

## State Response to Federal Tax Law Changes

The FY 2019 Enacted Budget includes State tax reforms intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, the creation of an optional payroll tax program, and the establishment of a new State charitable giving vehicle, all of which are described below. The State is evaluating other tax law changes in response to the TCJA, including the feasibility of an unincorporated business tax.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit intended to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit argues that the new SALT limit was enacted to target New York and similarly situated states, that it interferes with states' rights to make their own fiscal decisions, and that it will disproportionately harm taxpayers in these states.

## Decoupling From Federal Tax Code

The State tax code is closely aligned in many respects with the Federal tax code. The FY 2019 Enacted Budget includes legislation that decouples the State tax code from the Federal tax code, where appropriate, to minimize roughly \$1 billion in State tax increases that would otherwise have been the result of the Federal tax changes. Those decoupled Federal changes, which would have affected the General Fund budget gaps projected in FY 2020 and beyond, include:

- **Federal Limit on SALT Deduction.** The TCJA capped the itemized deductions for SALT at \$10,000. The State tax code is updated to decouple from this limit, preventing a State tax increase from the linkage of this Federal limit to State income tax returns.
- **Federal Changes and Eliminations to Certain Deductions.** The State decoupled from new Federal limits on other deductions.
- **Temporary Federal Medical Expense Deduction Increase.** Federal changes impose a two-year increase in the itemized medical expense deduction, thereby lowering taxpayer liability. The State has not changed its tax code.
- **Child Tax Credit.** Federal law changes the value of, and eligibility for, the child tax credit. The Empire State Child Tax Credit program will remain unchanged.
- **New York Single Filer Standard Deduction.** The Federal repeal of personal exemptions would have eliminated the ability of New York single-filer taxpayers to claim the standard deduction on their State tax returns. The FY 2019 Enacted Budget includes legislation to address this issue. Absent this legislation, New York State taxpayers would have been subject to an annual State tax increase of \$840 million, beginning in FY 2020.

## Employer Compensation Expense Program (ECEP)

Under legislation approved with the FY 2019 Enacted Budget, employers may opt in to a new ECEP, which is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business.

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in tax year 2019, 3 percent in 2020, and 5 percent in 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year, with the first annual election due by December 1, 2018 for the tax year beginning on January 1, 2019.

The ECEP is intended to be revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. Remittance of ECEP revenue to the State is expected to occur on the same schedule as PIT withholdings, with remittances starting in the fourth quarter of FY 2019. A new State PIT credit will be available to employees whose wages are subject to the tax; any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP.

DOB expects to include information on actual ECEP participation by the third quarterly update to the Enacted Budget Financial Plan, as information on employer elections becomes available.

## State Charitable Gifts Trust Fund

The FY 2019 Enacted Budget created a new State Charitable Gifts Trust Fund to accept gifts, starting in tax year 2018, for the purposes of improving health care and education in New York State. Taxpayers who itemize deductions may claim these charitable gifts as deductions on their Federal and State income tax returns. Any taxpayer making a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.<sup>7</sup> Amounts on deposit in the Charitable Gifts Trust Fund at the close of calendar year 2018 are expected to be appropriated for authorized purposes in the FY 2020 Budget.

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<sup>7</sup> The FY 2019 Enacted Budget also provides that the SUNY Research Foundation, the CUNY Research Foundation, and Health Research, Inc. may accept up to \$10 million each in charitable gifts on an annual basis. State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in tax year 2019.

To date, the State has received \$93 million in charitable gifts that have been deposited to the Charitable Gifts Trust Fund for healthcare and education (\$57 million and \$35 million, respectively). See the discussion below under “Impact of Tax Changes on PIT Revenue Bonds” for a stress test projection of the potential impact to bondholders from charitable giving.

## Impact of Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and the State Charitable Gifts Trust Fund, the FY 2019 Enacted Budget amended the State Finance Law provisions creating the Revenue Bond Tax Fund to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the Revenue Bond Tax Fund from 25 percent to 50 percent. In addition, the legislation that created the ECEP requires that 50 percent of ECEP receipts received by the State be deposited to the Revenue Bond Tax Fund. These changes became effective April 1, 2018.

The amendments also increase the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the Revenue Bond Tax Fund in the event that (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the Financing Agreement have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the Revenue Bond Tax Fund until amounts on deposit in the Revenue Bond Tax Fund equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the Revenue Bond Tax Fund until amounts on deposit in the Revenue Bond Tax Fund equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. Accordingly, the amount of donations to the State Charitable Gifts Trust Fund is the principal direct risk to the amount of New York State PIT receipts deposited to the Revenue Bond Tax Fund under the tax law changes enacted by the State as part of the FY 2019 Enacted Budget. To address this risk, the State increased the amount of PIT receipts deposited into the Revenue Bond Tax Fund from 25 percent to 50 percent.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the State Charitable Gifts Trust Fund that could occur annually under varying assumptions as of the FY 2019 Enacted Budget. The calculation of this ceiling is intended as a stress test on State PIT receipts that may flow to the Revenue Bond Tax Fund under different levels of assumed taxpayer participation. It should not, under any circumstances, be viewed either as an estimate or projection of likely donations. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or other governmental actors relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other

professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The ceiling on the amount of potential donations is calculated to be in the range of \$28 billion annually, on average (2018 through 2022).<sup>8</sup> The calculation of the ceiling assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent personal income tax population study file (2015), as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (i) no further changes in Federal tax law occur, and (ii) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Enacted Budget Financial Plan are materially accurate.

In general, taxpayer behavior is simplified using assumptions that maximize the impact of charitable giving on PIT receipts in each year. After these adjustments and with inclusion of ECEP revenues, receipts to the Revenue Bond Tax Fund are projected to remain above the level of receipts that would have been expected under statutes effective prior to enactment of the FY 2019 Budget, even in a maximum participation scenario.

The DOB and DTF calculation of the projected ceiling on the amount of donations is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the Revenue Bond Tax Fund below the levels projected in the FY 2019 Executive Budget Financial Plan, as amended. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the Revenue Bond Tax Fund.

Accordingly, although the calculation of a maximum amount reflects DOB's and DTF's current best judgment and estimates, such amount may be higher.

## IRS Guidance

On August 23, 2018, the IRS issued proposed regulations – IRS REG-112176-18 – that seek to provide new rules governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions. In the case of state tax credits received by a taxpayer making a charitable contribution, the proposed regulations would reduce the Federal income tax deduction available to such taxpayer by the amount of the state tax credit received by the taxpayer for such charitable contribution; provided, however, this rule would not apply, if the value of the state tax credit to be

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<sup>8</sup> The calculation of maximum potential donations is based on current law, including the scheduled reversion of the top PIT rate to 6.85 percent starting in tax year 2020.

received for the charitable contribution does not exceed 15 percent of the charitable contribution. The Treasury Department and the IRS have collected comments from the general public on these proposed regulations and held a public hearing on November 5, 2018. Based on its review of the proposed regulations, DOB anticipates that if the proposed regulations are adopted in their current form, then contributions to the State Charitable Gifts Trust Fund may decline. The proposed regulations, by their terms, do not impact the Federal tax reduction that DOB expects would result for certain taxpayers employed by entities enrolled in the ECEP.

## Implementation

The State developed the ECEP and Charitable Gifts Trust Fund based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted above, the IRS has proposed regulations that would impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from Federally taxable income while receiving State tax credits for such donations.

The FY 2019 Enacted Budget allows taxpayers to claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 tax years if the underpayments arise from reliance on amendments to State tax law enacted in 2018. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

There could be a material expense to the State if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 tax years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in April 2020, or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors, including: rates of participation in the ECEP; the magnitude of donations to the State Charitable Gifts Trust Fund; the amount of determinations of underpayment attributable to reliance on other changes in State tax law made in 2018; the amount of time between the due date of the return and the date any Federal determination is issued; the interest rate applied; and the frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.







**FY 2019**  
**General Fund Financial Plan**



## General Fund Cash-Basis Financial Plan

The General Fund is affected by two fund reclassifications approved in the FY 2019 Enacted Budget. The changes have no net impact on General Fund operations, but change the reporting of receipts and disbursements in total and among spending categories.

- **Mental Hygiene Fund Reclassification.** Spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and the Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two Mental Hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central General State Charges (GSCs) budget.
- **DOT/DMV Operating Cost Reclassification.** Certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities are reclassified from the DHBTf to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTf. The reclassification is intended to align operating and capital functions with their revenue sources.

# FY 2019 General Fund Financial Plan



The reclassifications affect the comparability of FY 2018 results to FY 2019 estimates. In addition, General Fund receipts and disbursements continue to be affected by the receipt and use of Extraordinary Monetary Settlements. The following table adjusts for the impact of the reclassifications and Extraordinary Monetary Settlements. Unless otherwise noted, the discussions of receipts and disbursements that follow the table exclude (a) the receipts and use of Extraordinary Monetary Settlements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019. See “Financial Plan Tables and Accompanying Notes” herein for the unadjusted plan.

GENERAL FUND FINANCIAL PLAN (millions of dollars)							
	FY 2018 Results	FY 2019 Adjusted	MH Reclass	DOT/DMV Reclass	FY 2019 Updated	Adjusted Annual Change	
						Dollar	Percent
<b>Opening Fund Balance (Excluding Extraordinary Monetary Settlements)</b>	2,414	4,425	0	0	4,425	2,011	83.3%
<b>Total Receipts</b>	<u>70,642</u>	<u>71,336</u>	<u>986</u>	<u>30</u>	<u>72,352</u>	<u>694</u>	<u>1.0%</u>
Taxes	67,370	67,486	0	0	67,486	116	0.2%
Miscellaneous Receipts/Federal Grants <sup>1</sup>	2,351	2,167	(166)	30	2,031	(184)	-7.8%
Transfers from Other Funds	921	1,683	1,152	0	2,835	762	82.7%
<b>Total Disbursements</b>	<u>69,323</u>	<u>73,637</u>	<u>986</u>	<u>30</u>	<u>74,653</u>	<u>4,314</u>	<u>6.2%</u>
Local Assistance	46,072	49,303	1,710	0	51,013	3,231	7.0%
State Operations	13,800	14,779	4,075	388	19,242	979	7.1%
Transfers to Other Funds <sup>2</sup>	9,451	9,555	(4,799)	(358)	4,398	104	1.1%
<b>Net Change in Operations</b>	1,319	(2,301)	0	0	(2,301)	(3,620)	-274.5%
<b>General Fund Use of Extraordinary Monetary Settlements <sup>3</sup></b>	692	383			383	(309)	-44.7%
<b>Closing Fund Balance (Excluding Extraordinary Monetary Settlements) <sup>2</sup></b>	<u>4,425</u>	<u>2,507</u>	<u>0</u>	<u>0</u>	<u>2,507</u>	<u>(1,918)</u>	<u>-43.3%</u>
<b>Extraordinary Monetary Settlements</b>							
Opening Balance	5,335	5,020			5,020	(315)	-5.9%
New Settlements Received <sup>4</sup>	805	518			518	(287)	-35.7%
Transfers/Uses	(1,120)	(1,589)			(1,589)	(469)	41.9%
<b>Closing Balance (Extraordinary Monetary Settlements)</b>	<u>5,020</u>	<u>3,949</u>			<u>3,949</u>	<u>(1,071)</u>	<u>-21.3%</u>
<b>Closing Fund Balance (Including Extraordinary Monetary Settlements)</b>	<u>9,445</u>	<u>6,456</u>			<u>6,456</u>	<u>(2,989)</u>	<u>-31.6%</u>

<sup>1</sup> Excludes the Extraordinary Monetary Settlements received by the General Fund, except those amounts retained by the Department of Law in other funds to support operational costs.

<sup>2</sup> Excludes the use of Extraordinary Monetary Settlements to support transfers from the General Fund to other funds (e.g., Dedicated Infrastructure Investment Fund).

<sup>3</sup> FY 2018: \$461 million for operations, \$76 million for an unbudgeted litigation payment, and \$155 million set aside to fund potential retroactive salary increases. FY 2019: \$383 million expected to be used for operations.

<sup>4</sup> Includes the gross value of all settlements received by the State, including funds retained by the Department of Law in other funds to support operational costs.

## Receipts<sup>9</sup>

General Fund receipts, including transfers from other funds, are projected to total \$71.3 billion in FY 2019, an increase of \$694 million (1.0 percent) from FY 2018 results. The annual change is impacted by the shift of an estimated \$1.9 billion of receipts from FY 2019 into FY 2018 due to the TCJA and the payment of \$500 million in additional PIT refunds in the last quarter of FY 2018. Excluding these shifts, the total receipts increase is \$4.0 billion or 5.8 percent.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$46.4 billion, an annual decrease of \$580 million. Excluding the shifts described above, the underlying PIT growth of roughly 6.0 percent is consistent with forecasted economic growth and revisions based on April 2018 results.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.4 billion, an annual increase of \$207 million (1.6 percent). This reflects projected growth in disposable income and taxable consumption.

General Fund business tax receipts are estimated at \$5.6 billion, an increase of \$710 million (14.4 percent). This growth is due to projected increases in corporate profits and new for-profit insurance providers subject to a premium insurance tax.

Other tax receipts to the General Fund are expected to total \$2.0 billion including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) bonds, a decrease of \$221 million (9.7 percent). This decrease reflects reflecting a return to an average number of estate tax payments exceeding \$25 million.

Non-tax receipts are estimated at \$3.9 billion, an increase of \$578 million. The growth is mainly due to the expected transfer of resources from the HCTF.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2019 Through 2022" herein.

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<sup>9</sup> The reported activity by Financial Plan category excludes the impact of (a) Extraordinary Monetary Settlements on receipts and disbursements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019.

## Disbursements<sup>10</sup>

General Fund disbursements, including transfers to other funds, are expected to total \$73.6 billion in FY 2019, an annual increase of \$4.3 billion (6.2 percent) from FY 2018 results.

Local assistance grants are expected to total \$49.3 billion in FY 2019, an annual increase of \$3.2 billion (7.0 percent). The largest increases are \$1.0 billion for School Aid (on a State fiscal year basis) and \$971 million for Medicaid.

General Fund disbursements for agency operations, including fringe benefits and fixed costs, are expected to total \$14.8 billion, an annual increase of \$979 million (7.1 percent). Personal and non-personal service costs increased \$685 million from FY 2018, reflecting increased personal service costs driven by labor agreements. Fringe benefit costs associated with State employees, including retiree health insurance costs, are expected to increase by \$294 million (5.3 percent), mainly due to negotiated rate increases reflecting medical cost inflation and current enrollment levels. The State's costs for Workers' Compensation are expected to increase by \$174 million, due to underlying growth in the average weekly wage benefit and medical costs (\$69 million), as well as a reduction in other resources available to offset costs (\$105 million).

General Fund transfers to other funds are estimated to total \$9.6 billion, an increase of \$104 million. The increase is mainly due to transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements), reflecting the timing of General Fund capital reimbursements from bond proceeds.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2019 through 2022" herein.

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<sup>10</sup> The reported activity by Financial Plan category excludes the impacts of (a) Extraordinary Monetary Settlements on receipts and disbursements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019.



## Closing Balance for FY 2019

DOB projects that the State will end FY 2019 with a General Fund cash balance of \$6.5 billion, a decrease of \$3.0 billion from FY 2018. The General Fund closing balance, excluding Extraordinary Monetary Settlements, is estimated at \$2.5 billion, or \$1.9 billion lower than the closing balance at the end of FY 2018. The change is due almost entirely to the expected use of the \$1.9 billion in cash received in FY 2018 that is attributed to taxpayer behavior, principally the acceleration of tax payments in response to the Federal limit on SALT deductibility, which became effective January 1, 2018.

Balances in the State’s principal "rainy day" reserves, the Tax Stabilization Reserve and the Rainy Day Reserve, are expected to remain unchanged at \$1.8 billion. The Financial Plan continues to maintain a reserve of \$500 million for debt management purposes. DOB will decide on the use of these funds based on market conditions, financial plan needs, and other factors.

The balance from Extraordinary Monetary Settlements is expected to total \$3.9 billion at the close of FY 2019, a decrease of \$1.1 billion from FY 2018. The anticipated decrease reflects the use of Extraordinary Monetary Settlements to fund activities appropriated from other funds (\$937 million); the MTA Subway Action Plan (\$194 million); and general operations (\$383 million), partly offset by the receipt of settlement payments to date in FY 2019 (\$518 million less \$75 million retained by the Department of Law in other funds).

<b>TOTAL BALANCES</b> (millions of dollars)			
	<b>FY 2018</b> <b>Results</b>	<b>FY 2019</b> <b>Updated</b>	<b>Annual</b> <b>Change</b>
<b>TOTAL GENERAL FUND BALANCE</b>	<b>9,445</b>	<b>6,456</b>	<b>(2,989)</b>
<b>General Fund (Excl. Extraordinary Monetary Settlements)</b>	<b>4,425</b>	<b>2,507</b>	<b>(1,918)</b>
<b>Statutory Reserves:</b>			
"Rainy Day" Reserves	1,798	1,798	0
Community Projects	46	33	(13)
Contingency Reserve	21	21	0
<b>Fund Balance Reserved for:</b>			
Debt Management	500	500	0
Labor Agreements	155	155	0
Undesignated Fund Balance	1,905	0	(1,905)
<b>Extraordinary Monetary Settlements Fund Balance</b>	<b>5,020</b>	<b>3,949</b>	<b>(1,071)</b>

## Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2019 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

<b>PROJECTED MONTH-END CASH BALANCES</b>			
<b>FY 2019</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Other Funds</b>	<b>All Funds</b>
<b>April</b>	9,938	4,075	14,013
<b>May</b>	5,130	3,865	8,995
<b>June</b>	6,313	4,853	11,166
<b>July</b>	5,417	6,286	11,703
<b>August</b>	4,114	6,555	10,669
<b>September</b>	6,451	4,883	11,334
<b>October</b>	6,612	4,516	11,128
<b>November</b>	3,883	3,521	7,404
<b>December</b>	6,478	4,743	11,221
<b>January</b>	12,456	4,418	16,874
<b>February</b>	12,748	3,763	16,511
<b>March</b>	6,456	3,899	10,355



## Extraordinary Monetary Settlements

From the beginning of FY 2015 through October 2018, DOB calculates that the State has received a total of \$11.2 billion in Extraordinary Monetary Settlements for violations of State laws by major financial and other institutions. The following table lists the Extraordinary Monetary Settlements by firm and amount.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)						
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	Total
<b>Extraordinary Monetary Settlements</b>	<b>4,942</b>	<b>3,605</b>	<b>1,317</b>	<b>805</b>	<b>518</b>	<b>11,187</b>
BNP Paribas	2,243	1,348	0	350	0	3,941
Department of Financial Services (DFS)	2,243	0	0	350	0	2,593
Asset Forfeiture (DANY)	0	1,348	0	0	0	1,348
Deutsche Bank	0	800	444	0	205	1,449
Credit Suisse AG	715	30	0	135	0	880
Commerzbank	610	82	0	0	0	692
Barclays	0	670	0	0	0	670
Credit Agricole	0	459	0	0	0	459
Bank of Tokyo Mitsubishi	315	0	0	0	0	315
Bank of America	300	0	0	0	0	300
Standard Chartered Bank	300	0	0	0	0	300
Goldman Sachs	0	50	190	0	55	295
Morgan Stanley	0	150	0	0	0	150
Bank Leumi	130	0	0	0	0	130
Ocwen Financial	100	0	0	0	0	100
Citigroup (State Share)	92	0	0	0	0	92
MetLife Parties	50	0	0	0	0	50
American International Group, Inc.	35	0	0	0	0	35
PricewaterhouseCoopers LLP	25	0	0	0	0	25
AXA Equitable Life Insurance Company	20	0	0	0	0	20
Promontory	0	15	0	0	0	15
New Day	0	1	0	0	0	1
Volkswagen	0	0	32	33	0	65
Mega Bank	0	0	180	0	0	180
Agricultural Bank of China	0	0	215	0	0	215
PHH Mortgage	0	0	28	0	0	28
Intesa SanPaolo	0	0	235	0	0	235
Habib Bank	0	0	0	225	0	225
Cigna	0	0	0	2	0	2
Western Union	0	0	0	60	0	60
RBS Financial Products Inc.	0	0	0	0	100	100
Bank of America Merrill Lynch	0	0	0	0	42	42
UBS	0	0	0	0	41	41
Athene Life Insurance	0	0	0	0	15	15
Nationstar Mortgage	0	0	0	0	5	5
William Penn	0	0	0	0	6	6
Lockton Affinity	0	0	0	0	7	7
Chubb	0	0	0	0	1	1
Mashreqbank	0	0	0	0	40	40
Other Settlements	7	0	(7)	0	1	1

Since the First Quarterly Update, the State received \$40 million from Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, Mashreqbank) for a penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and the DFS. This consent order pertains to Mashreqbank's (a) failure to maintain an effective and compliant anti-money laundering program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions.

In addition, Wells Fargo & Company ("Wells Fargo") will pay a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General. This Settlement Agreement pertains to Wells Fargo's representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.

## Uses of Extraordinary Monetary Settlements

A total of \$10.8 billion in Extraordinary Monetary Settlements has been allocated to date. The remaining balance of \$411 million remains unallocated.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)										
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
<b>Opening Settlement Balance in General Fund</b>	0	4,667	6,300	5,335	5,020	3,949	2,908	2,167	1,282	613
<b>Receipt of Extraordinary Monetary Settlement Payment</b>	4,942	3,605	1,317	805	518	0	0	0	0	0
<b>Use/Transfer of Funds</b>	275	1,972	2,282	1,120	1,589	1,041	741	885	669	202
Capital Purposes:										
Transfer to DIIF	0	857	697	941	1,009	1,145	989	822	646	202
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	0	0	0
Transfer to Capital Projects Fund - Mass Transit	0	0	0	67	6	6	6	0	0	0
Transfer to Capital Projects Fund - Healthcare	0	0	0	19	72	70	80	63	23	0
Transfer to DIIF for Javits Center Expansion	0	0	0	164	350	320	166	0	0	0
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	0	(500)	(500)	0	0	0
FY 2017 Temporary Loan to Capital Projects Fund	0	0	1,300	(1,300)	0	0	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	0	0	500	(500)	0	0	0	0	0
Other Purposes:										
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	0	0	0
CSX Litigation Payment	0	0	0	76	0	0	0	0	0	0
Financial Plan - General Fund Operating Purposes	275	250	102	461	383	0	0	0	0	0
Transfer to Local Assistance Account - Mass Transit Operating	0	0	0	10	0	0	0	0	0	0
MTA Operating Aid	0	0	0	0	194	0	0	0	0	0
Department of Law - Litigation Services Operations	0	10	63	27	75	0	0	0	0	0
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	0	0	0
Reservation of Funds:										
Reserve for Retroactive Labor Settlements	0	0	0	155	0	0	0	0	0	0
<b>Closing Settlement Balance in General Fund</b>	4,667	6,300	5,335	5,020	3,949	2,908	2,167	1,282	613	411

A total of \$7.8 billion of Extraordinary Monetary Settlement receipts has been, or is expected to be, used to finance various spending from capital appropriations, including operating activities associated with the maintenance, protection, preservation, and operation of capital assets. Another \$2.9 billion has been, or is expected to be, used for other purposes, including resolution of certain matters such as Office for People with Developmental Disabilities (OPWDD) Federal disallowances in FY 2016, retroactive labor costs, General Fund operations, one-time litigation payments to CSX Transportation, Inc. (CSX), and costs of the Department of Law's Litigation Services Bureau.

The Financial Plan reflects use of previously unallocated Extraordinary Monetary Settlements to support:

- **General Fund Operations (\$383 million).** Consistent with prior years, the Financial Plan reflects the use of funds not appropriated for other purposes.
- **MTA Subsidy (\$194 million).** Additional support will be provided to the MTA for its operations in FY 2019 as part of the State's commitment to fund 50 percent of the MTA's New York City Subway Action Plan.
- **Health Care Capital Grants (\$125 million).** An additional \$525 million will be provided to the Health Care Facility Transformation Program, of which \$125 million will be funded from Extraordinary Monetary Settlements over a multi-year period.



# **Other Matters Affecting the Financial Plan**





### General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. For instance, actual receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, certain projections contained in this Financial Plan assume that annual growth in State Operating Funds spending will be limited to 2 percent in FY 2020, FY 2021, and FY 2022, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex Budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent annual spending growth benchmark.

The Financial Plan is based on numerous assumptions, including condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the eurozone; changes in consumer confidence, oil and gas supplies and prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law and other programmatic purposes; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, success with which the State controls expenditures; and ability of the State and its public authorities to issue securities successfully in the public credit markets. Some of these issues are described in more detail herein. Projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

## Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of non-recurring resources; or other measures. In some cases, the ability of the State to implement such actions requires approval of the Legislature and cannot be implemented solely by action of the Governor.

Financial Plan projections for the FYs 2020 through 2022 assume that School Aid and Medicaid disbursements will not exceed the annual growth in NYS personal income, and the ten-year rolling average growth of the medical component of the Consumer Price Index (CPI), respectively. However, since FY 2014, the State has annually authorized School Aid spending increases above the PIGI. In SY 2019, the Financial Plan reflects a 3.6 percent School Aid increase, compared to 1.5 percent growth in the PIGI. For SY 2020 the Financial Plan reflects a School Aid increase of 3.6 percent. However, recently updated personal income growth data results in a 6.1 percent index.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Financial Plan. Over the past six years, the Department of Health (DOH) State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to several factors including fluctuations in economic conditions, which may increase caseload, and changes in Federal aid, which could affect State health care spending. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing reimbursements to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Global Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund. The HCRA fund finances approximately one-quarter of the State's share of Medicaid costs.

The Financial Plan forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation<sup>11</sup>; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

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<sup>11</sup> The Seneca Nation has withheld payments to the State expected in FY 2018 and FY 2019 (between April 1, 2017 and September 30, 2018). The State and Seneca Nation are currently in arbitration. The Financial Plan assumes successful resolution by the end of FY 2019.



The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis of reporting including (a) realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) payment of certain operating costs using available resources in accounts outside the State Operating Funds basis of reporting; and (c) restructuring of the STAR program as a tax credit for consistency with the reporting of other State tax credits. If these and other transactions are not implemented as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with particular emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements, and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that such resources will be sufficient to address risks that may materialize in a given fiscal year.

### Federal Issues

The State receives substantial Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many policies that drive this Federal aid may be subject to change under the Trump Administration and current Congress. Current Federal aid projections, and assumptions on which they rely, are subject to revision as a result of changes in Federal policy. Actions by the Federal government, including the TCJA, pose a heightened risk to State finances. Enactment of Federal tax law changes is currently projected to add \$1.9 trillion to the Federal deficit over the next ten years,<sup>12</sup> increasing the likelihood that Congress will seek material cuts in Federal aid programs or impose new barriers to the receipt of Federal aid by families and individuals.

In addition, the Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare and Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-provided services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

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<sup>12</sup> Congressional Budget Office, “The Budget and Economic Outlook: 2018 to 2028”, April 2018.

Given this uncertainty, the Enacted Budget includes legislation to continue authorization for a process by which the State could manage any potentially significant reductions in Federal aid during FYs 2019 and 2020. Specifically, the legislation allows the Budget Director to prepare a plan for consideration by the Legislature if Federal policymakers (a) reduce Federal Financial Participation (FFP) in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid to New York State that affects the State Operating Funds financial plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both the Senate and Assembly. Otherwise, the plan submitted by the Budget Director takes effect automatically.

### Current Federal Aid

President Trump has proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018 and 2019. Proposed cuts for FFY 2018 were largely rejected by the Consolidated Appropriations Act of 2018, enacted in March 2018. Portions of the FFY 2019 budget are still under consideration by Congress. If the proposed cuts are adopted, Federal aid to New York could be reduced by billions of dollars.

The Budget Control Act (BCA) of 2011, which temporarily raised the Federal debt limit, established discretionary spending caps on the Federal government through FFY 2021, and under certain conditions institutes automatic spending cuts for specific Federal funds on which the State relies. Discretionary Federal funding to the State could be reduced if these caps are not adjusted, suspended or eliminated. On February 9, 2018, the Federal government enacted legislation increasing the spending caps for FFYs 2018 and 2019, lessening the potential for significant spending cuts in discretionary domestic programs through FFY 2019.

### Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments came into effect with the start of FFY 2018, beginning October 1, 2017. These changes have since been delayed to FFY 2020 (beginning October 1, 2019) by Federal legislation enacted on February 9, 2018. The legislation also accelerates full implementation of the DSH cuts to begin in FFY 2021, in contrast to the previous multi-year phase-in.

DOB estimates that if the changes take effect as scheduled, New York will see the largest reduction among all states, costing the State billions of dollars in lost Federal DSH payments when fully phased in. DOB continues to monitor Federal Medicaid DSH payment policies.

## Federal Health Care Policy

In 2017, the Federal government attempted to end the Basic Health Program Essential Plan (EP) in New York State, the ACA's Medicaid expansion, and to shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended entitlement. If these bills had been enacted into law, these policies would have had a substantial adverse impact on the Financial Plan.

Additionally, the Trump Administration withheld Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through the New York State of Health (NYSOH), New York's official health plan marketplace. However, recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. The Financial Plan continues to reflect support for the EP program.

While Federal funding for the Children's Health Insurance Program (CHIP) has been reauthorized through FFY 2027, other Federal changes could potentially affect the State's health care policies. DOB continues to monitor Federal health care policy.

## Excise Tax on High-Cost Employer-Sponsored Health Coverage ("Cadillac Tax")

The Excise Tax on High-Cost Employer-Sponsored Health Coverage (26 USC 4980I) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but has since been altered by intervening laws that delay the implementation of the tax until 2022.

Regulations from the IRS have yet to be published. DOB has no current estimate as to the potential impact to the Financial Plan from this Federal excise tax.

## Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding over several years to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. DOH continues to work with the CMS and to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

### Federal Debt Limit

On February 9, 2018, the Federal government enacted legislation suspending the Federal debt limit through March 1, 2019, forestalling possible default by the Federal government until at least that time. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on both national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

### Current Labor Negotiations and Agreements (Current Contract Period)

The State has multi-year labor agreements in place with the majority of the unionized workforce. CSEA and DC-37 (Rent Regulation) employees have a five-year labor contract that provides annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

On September 4, 2018, the United University Professions (UUP) ratified a six-year collective bargaining agreement that covers academic years 2017 through 2022. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP. The cost of the agreement (approximately \$225 million in FY 2019) has been included in this Mid-Year Update and is primarily funded by SUNY with the exception of the related fringe benefit costs, which are paid by the State.

On October 10, 2018, the Police Benevolent Association of the New York State Troopers (NYSTPBA) ratified a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for a 2 percent annual general salary increase in each year of the contract and additional compensation changes, which are partly offset by changes to health insurance benefit design within NYSHIP, similar to UUP and CSEA. The cost of this agreement is expected to be offset by agency management plan savings, consistent with past practice.

Employees represented by the Public Employees Federation (PEF) and the Graduate Student Employees Union (GSEU) have a three-year collective bargaining agreement providing 2 percent annual salary increases in FYs 2017 through 2019. Salary increases provided to PEF and GSEU employees have also been extended to Management/Confidential (M/C) employees.

The State is in negotiations with all other employee unions whose contracts concluded in previous fiscal years, including the New York State Police Investigators Association (NYSPIA), Council 82, and the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) following the March 2017 membership rejection of a tentative collective bargaining agreement on a five-year labor contract through FY 2021.<sup>13</sup> Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

The Judiciary has reached agreements with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2019 for Court Officers Benevolent Association of Nassau County (COBANC); FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2017 to FY 2019 for seven other unions.

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<sup>13</sup> The five-year collective bargaining agreement with NYSCOPBA that was not ratified would have provided for annual 2 percent general salary increases through FY 2021, and differentials typically received within the law enforcement community (e.g., Hazardous Duty Pay), the costs of which were offset by benefit design changes within NYSHIP and reductions in overtime costs.

## Pension Contributions

### Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in Employees' Retirement System (ERS) and Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.<sup>14</sup> All estimates are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. Salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6.0 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, to be reflected in FY 2020 contribution rates.

### FY 2019 Projections

The State's FY 2019 ERS/PFRS pension estimate of \$2.2 billion is based on the most recent bill prepared by OSC as of October 2018. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals (i.e., amortizations) and additional interest savings from paying the majority of the pension bill in April 2018. The State's FY 2019 ERS/PFRS pension estimate is revised downward based on actual FY 2018 salary base (used to calculate the FY 2019 bill), which was lower than previously projected.

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<sup>14</sup> The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).



## Other Matters Affecting the Financial Plan

### FY 2020 Projections

The preliminary FY 2020 ERS/PFRS pension liability of \$2.3 billion is impacted by FY 2018 investment returns of 11.4 percent, which was above the Comptroller's assumed rate of return (7 percent). The estimate also reflects the impact of past investment performance and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease from 14.9 percent of payroll to 14.6, while the average contribution rate for PFRS will remain stable at 23.5 percent.<sup>15</sup>

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs will first be billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including the costs covered for local ERS) was \$52 million in FY 2018 based on actual credit purchased through December 31, 2017. DOB currently estimates ERS costs of \$30 million in FY 2019 and \$30 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as additional veterans become eligible to purchase the credit.

### Outyear Projections

Pension estimates for FY 2021 and beyond, as projected by DOB, reflect growth in normal costs based primarily on the expectation that collective bargaining will result in continued salary increases, and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

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<sup>15</sup> Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

## Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate<sup>16</sup>) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the 2010 legislation, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer specific graded rate based on the employer's own tier and plan demographics.

The State has not amortized its pension costs [including the Office of Court Administration (OCA)] since FY 2016.

The amortization threshold is projected to equal the normal rate in upcoming State fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

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<sup>16</sup> For the purpose of this discussion, "normal rate" refers to all amortization-eligible costs (i.e. normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.



EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)									
Fiscal Year	Statewide Pension Payments <sup>1</sup>				Rates for Determining (Amortization Amount) / Excess Contributions				
	Normal Costs <sup>2</sup>	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments	Interest Rate on Amortization Amount (%) <sup>3</sup>	System Average Normal Rate <sup>4</sup>		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.0	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.8	15.9	21.6	10.5	18.5
2013	2,076.1	(778.5)	100.8	1,398.4	3.0	18.5	25.7	11.5	19.5
2014	2,633.8	(937.0)	192.0	1,888.8	3.7	20.5	28.9	12.5	20.5
2015	2,325.7	(713.1)	305.7	1,918.3	3.2	19.7	27.5	13.5	21.5
2016	1,972.1	(356.1)	389.9	2,005.9	3.2	17.7	24.7	14.5	22.5
2017	1,788.6	0.0	432.1	2,220.7	2.3	15.1	24.3	15.1	23.5
2018	1,786.6	0.0	432.1	2,218.7	2.8	14.9	24.3	14.9	24.3
2019 Est.	1,774.8	0.0	432.1	2,206.9	3.6	14.4	23.5	14.4	23.5
2020 Est.	1,838.3	0.0	432.1	2,270.4	TBD	14.2	23.5	14.2	23.5
<i>Projected by DOB<sup>5</sup></i>									
2021	1,922.7	0.0	432.1	2,354.8	TBD	15.3	24.5	15.2	24.5
2022	2,157.9	0.0	399.8	2,557.7	TBD	16.2	25.5	16.2	25.5
2023	2,477.5	0.0	331.3	2,808.8	TBD	17.2	26.5	17.2	26.5
2024	1,860.6	0.0	240.1	2,100.7	TBD	16.2	25.5	16.2	25.5
2025	1,876.0	0.0	126.4	2,002.4	TBD	15.2	24.5	15.2	24.5
2026	1,891.3	0.0	42.2	1,933.5	TBD	14.2	23.5	14.2	23.5

<sup>1</sup> Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

<sup>2</sup> Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

<sup>3</sup> Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

<sup>4</sup> The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortization Amount) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

<sup>5</sup> Outyear projections are prepared by DOB. The Retirement System does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

### **Other Post-Employment Benefits (OPEB)**

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have at least ten years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State’s Basic Financial Statements for FY 2018, the State’s Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year, and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State’s Basic Financial Statements for FY 2018, the unfunded actuarial accrued liability for FY 2018 is \$90.5 billion (\$72.8 billion for the State and \$17.7 billion for SUNY), an increase of \$3.3 billion from FY 2017 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2018 used an actuarial valuation of OPEB liabilities as of April 1, 2016. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth in the State’s unfunded actuarial accrued liability is due to the reduction of the discount rate from 3.155 to 2.637 percent, calculated as the average STIP rate for the past 20 years at the time of valuation. The decline in the discount rate increases the present value of the projected benefit obligation.

The actuarially determined annual OPEB cost for FY 2018 totaled \$5.5 billion (\$4.3 billion for the State and \$1.2 billion for SUNY), an increase of 1.3 billion from FY 2017 (\$1 billion for the State and \$264 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level percentage of earnings basis. The actuarially determined cost was \$3.6 billion (\$2.7 billion for the State and \$878 million for SUNY) greater than the PAYGO required cash payments for retiree costs made by the State in FY 2018. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net position at the end of FY 2018 by \$3.6 billion.

GASB has no authority to require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund") that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not include any deposits to the Trust Fund.

The provisions of GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, is expected to be incorporated into the State's FY 2019 Basic Financial Statements. The FY 2019 Basic Financial Statements are expected to be issued in July 2019. The GASB Statements, as amended by GASB Statement 75, alter the actuarial methods used to calculate OPEB liabilities, standardizes asset smoothing and discount rates, and requires the unfunded net OPEB obligation to be reported by the State in its Statement of Net Position. Reporting the unfunded OPEB liability on the Statement of Net Position, rather than as a note to the Basic Financial Statements, is expected to significantly increase the State's total long-term liabilities and show the State in a negative net position.

GASB Statement 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

## Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions resulting from various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

## Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. Climate change risks, if they materialize, can adversely impact the Financial Plan in current or future years. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

On October 8, 2018, the United Nations' Intergovernmental Panel on Climate Change (IPCC) released a special report on the impacts of global warming. The report finds that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes ("reasons for concern"). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate ("detectable") today, to high ("severe and widespread") at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of State bonds, which may be issued with a term of up to 30 years under State statute.

Participants in financial markets are acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.<sup>17</sup> In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and the effect on coastal infrastructure as the primary climate risks for

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<sup>17</sup> For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.



## Other Matters Affecting the Financial Plan

the northeastern U.S., including New York State. These risks are heightened by population concentration in coastal counties.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, MTA, and State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

### Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems<sup>18</sup>, and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team and hotline, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises for State and local government. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack

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<sup>18</sup> Statewide security policies can be found at: <https://its.ny.gov/eiso/policies/security>.

could impact business operations and/or damage State digital networks and systems, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by DFS are, unless eligible for limited exemptions, required to: (a) maintain a cyber security program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or of which notice is required to any government body, self-regulatory agency, or supervisory body.

### **Financial Condition of New York State Localities**

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's financial plan projections. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit [www.frb.ny.gov](http://www.frb.ny.gov).

### **Bond Market**

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacts the State and its public authorities by removing certain refunding opportunities for Federal tax exempt financing, including advance refundings for debt service savings when interest rates are favorable.

## Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only, and limits the maximum term of bonds to 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2018).

The statute requires that limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at that time, State-supported debt may continue to be issued. However, if either the debt outstanding or debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For FY 2018, the cumulative debt outstanding and debt service caps are 4 and 5 percent, respectively. As shown in the following tables, actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2018, the State has issued new debt resulting in \$44.7 billion of debt outstanding applicable to the debt reform cap. This is about \$6.5 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$4.5 billion in FY 2018, or roughly \$3.8 billion below the statutory debt service limitation.

DEBT OUTSTANDING CAP (millions of dollars)		
	Dollar	Percent
Personal Income (CY 2017) <sup>1</sup>	1,281,082	
Max. Allowable Debt Outstanding	51,243	4.00%
Debt Outstanding Subject to Cap	44,744	3.49%
Remaining Capacity	6,499	0.51%

<sup>1</sup> Bureau of Economic Analysis (BEA).

DEBT SERVICE CAP (millions of dollars)		
	Dollar	Percent
All Funds Receipts (FY 2018)	165,470	
Max. Allowable Debt Service	8,274	5.00%
Debt Service Subject to Cap	4,472	2.70%
Remaining Capacity	3,802	2.30%

The State uses personal income estimates published by the Federal government to calculate the cap on debt outstanding, as required by statute. From January to October 2018, the State experienced volatility in FY 2018 debt capacity because of changes in Federal personal income estimates. The BEA increased its calendar year 2017 personal income estimate by \$70 billion from March to October 2018, resulting in a \$2.8 billion increase in debt outstanding capacity. Notably, this material fluctuation in statutory debt capacity occurred between the end of FY 2018, when debt outstanding is measured, and the final compliance determination in October 2018. This

volatility could compromise the State's ability to manage within its statutory debt cap, particularly if the personal income estimate is revised downward unexpectedly by a similar amount.

The volatility in estimates has prompted DOB to reexamine how BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of understating New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The net residency adjustment reported by BEA decreased 2017 State personal income by \$73 billion at the time of the FY 2018 debt outstanding calculation. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State debt. To date, in administering the debt reform cap, DOB has used State personal income, as understated by the BEA residency adjustment, in debt outstanding cap calculations and projections.

Current projections anticipate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the availability under the debt outstanding cap is expected to decline from \$6.5 billion in FY 2018 to about \$2.1 billion in FY 2023. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected availability under the debt cap depends on expected growth in State personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue Bond credit (which are not backed by a general obligation pledge of SUNY) are not included in the State’s calculation of debt caps because these bonds do not meet the definition of “State-supported debt” as set forth in the Debt Reform Act. The bonds are backed solely with dormitory rental revenue. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.



DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2018	\$1,281,082	4.00%	51,243	44,744	6,499	3.49%	0.51%	6,522	51,266
FY 2019	\$1,342,794	4.00%	53,712	47,918	5,794	3.57%	0.43%	5,645	53,562
FY 2020	\$1,402,865	4.00%	56,115	51,939	4,175	3.70%	0.30%	4,909	56,849
FY 2021	\$1,464,797	4.00%	58,592	55,154	3,438	3.77%	0.23%	3,428	58,582
FY 2022	\$1,528,675	4.00%	61,147	58,269	2,878	3.81%	0.19%	2,812	61,081
FY 2023	\$1,595,090	4.00%	63,804	61,737	2,066	3.87%	0.13%	2,210	63,948

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2018	\$165,470	5.00%	8,274	4,472	3,802	2.70%	2.30%	1,386	5,858
FY 2019	\$168,611	5.00%	8,431	4,801	3,630	2.85%	2.15%	718	5,520
FY 2020	\$172,608	5.00%	8,630	5,206	3,424	3.02%	1.98%	1,414	6,620
FY 2021	\$174,709	5.00%	8,735	5,638	3,097	3.23%	1.77%	1,483	7,121
FY 2022	\$180,351	5.00%	9,018	6,000	3,018	3.33%	1.67%	1,097	7,097
FY 2023	\$183,808	5.00%	9,190	6,489	2,701	3.53%	1.47%	862	7,352

The State's available debt capacity under its statutory debt cap reflects the impact of several factors since the July Update Financial Plan. These include a significant increase in the personal income forecast, actual bond sale results to date, and adjustment of debt issuances to align with projected bond-financed capital spending. Debt capacity amounts continue to assume that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit within one year of their call dates. The impact on the debt cap is shown in the following chart.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY (millions of dollars)						
	FY 2018 Results	FY 2019 Mid-Year	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>First Quarterly Update Financial Plan</b>	<b>3,872</b>	<b>1,751</b>	<b>507</b>	<b>49</b>	<b>93</b>	<b>117</b>
Personal Income Forecast Adjustment	2,627	3,025	3,114	3,222	3,338	3,452
Capital Reestimates	0	1,018	554	167	(553)	(1,503)
<b>Mid-Year Update to the Financial Plan</b>	<b>6,499</b>	<b>5,794</b>	<b>4,175</b>	<b>3,438</b>	<b>2,878</b>	<b>2,066</b>

### Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2018, there were approximately \$193 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$99 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$26 million in FY 2019, \$28 million annually in FY 2020 and FY 2021, \$22 million in FY 2022, and \$17 million in FY 2023. These amounts are based on actual experience to date of participants in the program, and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$9 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

### **SUNY Downstate Hospital and the Long Island College Hospital (LICH)**

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) NYU Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The physical demolition has been completed, and final review of the environmental paperwork is nearing completion.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center. To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement.

## Other Matters Affecting the Financial Plan



The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



# **State Financial Plan Projections Fiscal Years 2019 Through 2022**





# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements. Projections reflect the impact of forecast revisions in FYs 2019 through FY 2022, with an emphasis on the FY 2019 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2019 budget, FY 2020, is the most relevant from a planning perspective.

## Summary

The Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent annual spending growth benchmark.

The projections for FY 2020 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2% Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2020, FY 2021, and/or FY 2022, the projected operating position could decline.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.





# State Financial Plan Projections Fiscal Years 2019 Through 2022

## General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>RECEIPTS</b>					
Taxes (After Debt Service)	67,370	67,486	71,424	73,051	75,959
Miscellaneous Receipts/Federal Grants	3,129	2,474	2,028	2,001	1,883
Other Transfers	921	2,835	2,459	2,091	1,762
<b>Total Receipts</b>	<b>71,420</b>	<b>72,795</b>	<b>75,911</b>	<b>77,143</b>	<b>79,604</b>
<b>DISBURSEMENTS</b>					
Local Assistance	46,072	51,013	53,851	57,147	59,320
School Aid	22,015	23,143	24,090	25,194	26,453
Medicaid/EP	13,397	14,313	15,734	16,780	17,659
All Other	10,660	13,557	14,027	15,173	15,208
State Operations	8,228	11,850	12,080	12,617	12,599
Personal Service	6,136	8,812	9,001	9,506	9,437
Non-Personal Service	2,092	3,038	3,079	3,111	3,162
General State Charges	5,572	7,392	7,781	8,319	8,889
Transfers to Other Funds	9,852	5,529	6,336	6,237	6,232
Debt Service	1,047	775	823	904	747
Capital Projects	2,191	2,635	3,425	3,171	3,182
State Share of Mental Hygiene Medicaid <sup>1</sup>	1,333	0	0	0	0
SUNY Operations	1,015	1,020	1,008	1,004	1,004
All Other	4,266	1,099	1,080	1,158	1,299
<b>Total Disbursements</b>	<b>69,724</b>	<b>75,784</b>	<b>80,048</b>	<b>84,320</b>	<b>87,040</b>
<b>Use (Reservation) of Fund Balance:</b>	<b>(1,696)</b>	<b>2,989</b>	<b>1,067</b>	<b>748</b>	<b>885</b>
Community Projects	10	13	26	7	0
Labor Agreements	(130)	0	0	0	0
Undesignated Fund Balance	(1,891)	1,905	0	0	0
Extraordinary Monetary Settlements <sup>2</sup>	315	1,071	1,041	741	885
<b>BUDGET SURPLUS/(GAP) PROJECTIONS<sup>3</sup></b>	<b>0</b>	<b>0</b>	<b>(3,070)</b>	<b>(6,429)</b>	<b>(6,551)</b>
<b>Adherence to 2% Spending Benchmark<sup>4</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>2,668</b>	<b>5,431</b>	<b>6,235</b>
<b>BUDGET SURPLUS/(GAP) ESTIMATE AT 2%</b>	<b>0</b>	<b>0</b>	<b>(402)</b>	<b>(998)</b>	<b>(316)</b>
<sup>1</sup> The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from certain Special Revenue Funds to the General Fund, the State share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than to a Special Revenue Fund.					
<sup>2</sup> Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.					
<sup>3</sup> Before actions to adhere to the 2 percent spending growth benchmark.					
<sup>4</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on current FY 2019 projections), assuming that the Governor continues to propose, and the Legislature continues to enact, a budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gap would be higher (or the projected surplus lower).					

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>RECEIPTS</b>					
Taxes	77,953	76,528	81,350	83,397	86,679
Miscellaneous Receipts/Federal Grants	21,408	20,625	19,830	19,218	19,270
<b>Total Receipts</b>	<b>99,361</b>	<b>97,153</b>	<b>101,180</b>	<b>102,615</b>	<b>105,949</b>
<b>DISBURSEMENTS</b>					
Local Assistance	65,604	66,700	69,542	72,666	75,073
School Aid (School Year Basis)	25,639	26,553	27,509	28,692	29,983
DOH Medicaid <sup>1</sup>	19,143	20,396	21,655	22,700	23,560
Transportation	5,025	3,936	3,617	3,664	3,795
STAR	2,589	2,459	2,417	2,402	2,402
Higher Education	2,833	3,057	3,175	3,233	3,275
Social Services	2,837	2,971	3,030	3,051	3,090
Mental Hygiene	2,350	2,181	2,609	3,127	3,117
All Other <sup>2</sup>	5,188	5,147	5,530	5,797	5,851
State Operations	18,821	19,505	19,830	20,429	20,367
Personal Service	13,170	13,716	13,990	14,547	14,393
Non-Personal Service	5,651	5,789	5,840	5,882	5,974
General State Charges	7,853	8,410	8,814	9,396	9,963
Pension Contribution	2,442	2,417	2,518	2,628	2,822
Health Insurance	3,963	4,205	4,361	4,708	5,058
All Other	1,448	1,788	1,935	2,060	2,083
Debt Service	5,873	5,520	6,620	7,121	7,097
Capital Projects	0	0	0	0	0
<b>Total Disbursements<sup>3</sup></b>	<b>98,151</b>	<b>100,135</b>	<b>104,806</b>	<b>109,612</b>	<b>112,500</b>
Net Other Financing Sources/(Uses)	772	378	(455)	(236)	(381)
<b>RECONCILIATION TO GENERAL FUND GAP</b>					
Designated Fund Balances:	(1,982)	2,604	1,011	804	381
General Fund	(1,696)	2,989	1,067	748	885
Special Revenue Funds	(277)	(366)	(28)	86	(432)
Debt Service Funds	(9)	(19)	(28)	(30)	(72)
<b>GENERAL FUND BUDGET SURPLUS/(GAP)<sup>3</sup></b>	<b>0</b>	<b>0</b>	<b>(3,070)</b>	<b>(6,429)</b>	<b>(6,551)</b>
<b>Adherence to 2% Spending Benchmark<sup>4</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>2,668</b>	<b>5,431</b>	<b>6,235</b>
<b>BUDGET SURPLUS/(GAP) ESTIMATE AT 2%</b>	<b>0</b>	<b>0</b>	<b>(402)</b>	<b>(998)</b>	<b>(316)</b>

<sup>1</sup> Total State share Medicaid funding includes the utilization of tobacco MSA payments which will be directly deposited to the MMIS Escrow Fund to cover a portion of local Medicaid growth.

<sup>2</sup> All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

<sup>3</sup> Before actions to adhere to the 2 percent spending growth benchmark.

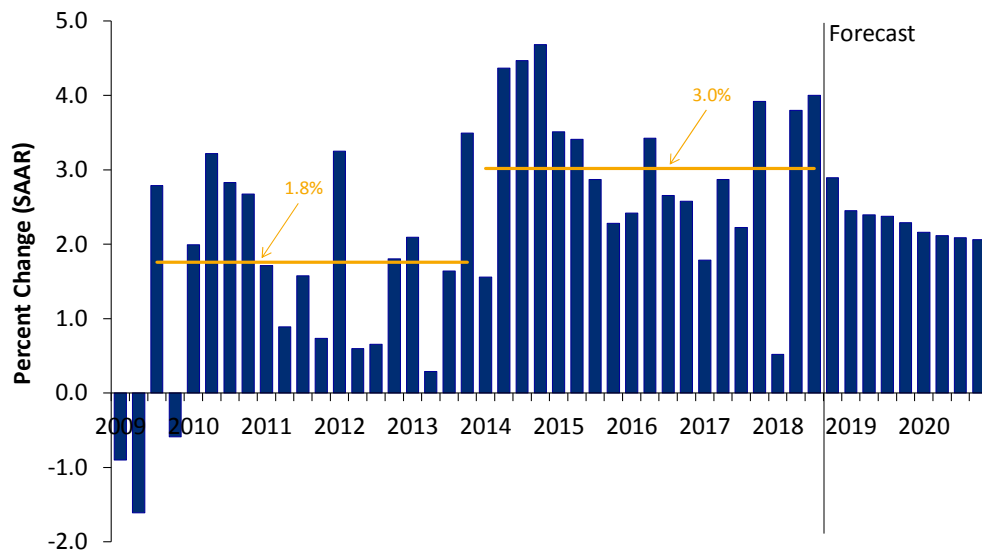
<sup>4</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on current FY 2019 projections), assuming that the Governor continues to propose, and the Legislature continues to enact, a budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gap would be higher (or the projected surplus lower).

## Economic Backdrop

### The National Economy

The national economy grew a solid 3.5 percent in the third quarter of 2018, on the heels of even stronger 4.2 percent growth in the second. The third quarter was propelled primarily by stronger than expected household spending growth of 4.0 percent and a large swing in the change in private inventories from a decline of \$36.8 billion in the second quarter to a \$76.3 billion increase in the third. That swing likely reflects some “stocking up” on the part of businesses seeking to avoid or minimize the impact of tariffs on their input costs. In contrast, both residential and nonresidential fixed investment were lower than reflected in the First Quarterly Update forecast completed in July. However, the most recent data indicate that global economic growth is slowing and that rising interest rates are beginning to take their toll. Thus, as the impact of the Federal tax cuts fades, interest rates rise, and global growth slows, DOB projects that the U.S. economy will decelerate in the fourth quarter of 2018 and slow even further in 2019. U.S. real GDP growth is estimated to fall from 2.9 percent in 2018 to 2.7 percent in 2019. Although the overall outlook is virtually unchanged from July, the risks have heightened significantly going forward.

### Real Household Spending Growth Has Improved with the Labor Market and More Recently with Tax Cuts



Source: Moody's Analytics, DOB staff estimates.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



The strong labor market, coupled with moderate growth in wages, continues to support solid growth in household spending. As illustrated in the figure above, average quarterly real consumption growth has accelerated from 1.8 percent during the first half of the expansion to 3.0 percent during the more recent period starting in 2014Q1. Household spending has been further buoyed in 2018 by the tax reductions embodied in the Federal TCJA. However, the impact of the tax cuts is expected to taper gradually starting in the first quarter of next year. After growing 2.5 percent in 2017, real household spending growth has been revised up to 2.7 percent for both 2018 and 2019 on an annual average basis, but is expected to fall to 2.2 percent by 2020. One factor offsetting the positive impact of a lower tax bite on consumer spending has been a moribund housing market. Although long-term mortgage rates remain low from a historical perspective, their recent rise appears to be having a negative impact on the demand for new homes. DOB now estimates real growth in residential fixed investment of 0.4 percent for 2018 and 1.7 percent for 2019, representing a significant downward revision from the July forecast.

The private sector labor market added around 215,000 jobs per month during the first half of 2018, well above average gains of the prior two years, but private sector job gains stepped down to 170,000 per month in the third quarter. Although weather events have likely contributed to recent labor market volatility, it is also likely that job gains will decelerate going forward as labor market slack continues to diminish. The conventional unemployment rate has averaged 3.9 percent over the six months ending in September, fully half a percentage point below its pre-recession level. A broader measure of the unemployment rate that includes workers who are marginally attached to the labor force has also fallen below its pre-recession level, while the percentage of the workforce working part-time, a measure of underemployment, is also inching closer to where it was before the Great Recession. Moreover, evidence of labor shortages continues to emerge in a number of sectors requiring special skills, such as construction and manufacturing. Total nonagricultural employment growth of 1.6 percent is projected for 2018, followed by 1.4 percent growth in 2019, unchanged from the First Quarterly Update forecast.

Business investment growth substantially improved during the first half of 2018, driven mainly by increasing global demand for U.S. exports, expanded energy sector production, and a lift from the TCJA. However, the most recent data suggest that the impact of all of these developments on investment growth may have peaked. Moreover, tariffs are winding their way through the supply chain, significantly raising input costs for some manufacturing firms. The most recent round of tariffs imposed by the US on another \$200 billion of Chinese imports is likely to further slow domestic demand, and modestly boost inflation. With easing global growth and a strengthening dollar, net exports may become even more of a drag on national economic growth in 2019. Consequently, real export growth has been revised down by 0.5 percentage point to 4.3 percent for 2018 and down by 0.6 percentage point to 3.4 percent for 2019. Real business fixed investment growth of 6.9 percent is now estimated for 2018, two-tenths of a percentage point higher than the First Quarterly Update forecast, with provisions of the TCJA likely to have pulled some spending forward into the first half of 2018. In contrast, real business fixed investment growth has been revised down to 4.4 percent for 2019.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

Inflation has picked up modestly, consistent with the First Quarterly Update forecast. Rising energy prices have led to a 2.5 percent rise in consumer prices for the first nine months of 2018, following 2.1 percent inflation for all of 2017. In the meantime, core CPI inflation, which excludes the volatile food and energy components, has also accelerated in 2018 from 1.8 percent in 2017 to 2.1 percent over the first nine months of this year. However, inflation remains moderate from a historical perspective and well within the Federal Reserve’s target range. Moreover, domestic oil prices appear to be stabilizing just below \$70 per barrel, as evidence of slowing global growth provides a counterweight to the uncertainty that a complicated geopolitical landscape is having on supply. Consumer price inflation of 2.5 percent is estimated for 2018 on annual average basis, falling to 2.3 percent for 2019. However, the uncertainty surrounding both future energy prices and the impact of present and future tariffs represent substantial risks to this forecast.

A tightening labor market also represents a risk to DOB’s inflation forecast. Wage growth of 4.8 percent is projected for 2018, two-tenths of a percentage point higher than the First Quarterly Update forecast. Overall personal income growth of 4.5 percent is estimated for 2018, four-tenths of a percentage point above the July estimate. In addition to stronger growth in pre-tax income, after-tax disposable income will continue to be supported by federal income tax cuts before their simulative impact fades in 2019. With the national economy continuing to exhibit strength, the Federal Reserve has stayed on its interest rate normalization path, raising its federal funds rate target three times thus far this year. Although the Federal Reserve appears poised to raise rates again this December and three more times in 2019, the risk of a policy error in this environment of relatively tame wage and price inflation is becoming substantial.

<b>U.S. ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	<b>2017</b> <b>(Actual)</b>	<b>2018</b> <b>(Forecast)</b>	<b>2019</b> <b>(Forecast)</b>
Real U.S. Gross Domestic Product	2.2	2.9	2.7
Consumer Price Index (CPI)	2.1	2.5	2.3
Personal Income	4.4	4.5	4.4
Nonagricultural Employment	1.6	1.6	1.4
Source: Moody’s Analytics; DOB staff estimates.			

Despite rising short-term rates, long-term interest rates have failed to sustain a commensurate rise. As a result, the yield curve, which tracks the difference between long- and short-term rates, remains relatively flat. Since yield curve inversion, which occurs when short-term rates actually exceed long-term rates, often precedes a recession, a flattening curve can be a cause of concern. But even in the absence of inversion, a flat yield curve could put downward pressure on banking system profits, particularly now that the rates that banks pay their depositors are finally rising from their historically low levels, as banks are forced to compete with the rise in risk-free short-term Treasury yields. The Federal Reserve Bank of New York decomposes long-term treasury bond yields into two components: (1) expectations for future risk-neutral short-term interest rates, which

# State Financial Plan Projections Fiscal Years 2019 Through 2022



have been trending higher consistent with recent monetary policy moves, and (2) a term premium to compensate for the inflation risk associated with holding a multi-period instrument, which is currently near historic lows.<sup>19</sup> Indeed, low inflation expectations and central bank purchases of long-duration assets to promote the recovery from the Great Recession are the main forces that held term premia down. Term premia are expected to increase as the Fed shrinks its balance sheet and inflation expectations firm, but this rebalancing is occurring quite slowly. For example, the ten-year Treasury yield has risen only about 10 basis points since the end of September, when the Federal Reserve raised its federal funds rate target by a full 25 basis points. This sluggishness is due in large part to the safe haven status of US Treasury securities and is therefore likely to persist, particularly as the global economy decelerates. DOB expects the ten-year Treasury yield to continue to rise only gradually, approaching 4 percent by the end of 2020.

Although the current outlook remains largely unchanged from the prior forecast, the risks loom larger. Slower global growth than projected could result in lower demand for US exports, resulting in weaker growth in corporate profits, investment, and employment than reflected in this forecast. The disruption of trade flows due to the uncertainty surrounding tariffs could represent a significant setback for the manufacturing sector if firms delay production plans. In addition, if tariffs result in even higher input prices than anticipated, the current relatively benign inflation environment could deteriorate, possibly resulting in lower job and investment growth than reflected in this forecast. Higher inflation than anticipated could induce the Federal Reserve to tighten even faster than anticipated, which could result in slower household spending and residential construction growth. The risks associated with tariffs, rising interest rates, and the impact of slower global growth on corporate earnings have injected a large degree of volatility into equity markets in recent weeks. Lower and more volatile equity prices can result in lower household spending through both the wealth effect and as a signal that the road ahead is uncertain. This volatility could be further exacerbated by the risk surrounding the long-term impact of federal tax reductions on budget deficits and the national debt.

On the positive side, if the impact of federal tax reform on consumer spending and business investment is stronger than projected without significantly raising inflation, stronger growth in employment, wages, and the overall economy could result. Recent data indicate that year-over-year growth in the inventory of existing single-family homes has been tilting up since June after dropping for an unprecedented 36 straight months. If rising inventories result in slower home price growth, the resulting rise in home affordability could stimulate greater home sales than currently projected, which in turn could fuel stronger household spending than reflected in this forecast.

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<sup>19</sup> Please see Tobias Adrian, Richard K. Crump, and Emanuel Moench (ACM), "Pricing the Term Structure with Linear Regressions," Federal Reserve Bank of New York Staff Reports, no. 340, August 2008; revised April 2013, [https://www.newyorkfed.org/research/data\\_indicators/term\\_premia.html](https://www.newyorkfed.org/research/data_indicators/term_premia.html), viewed October 29, 2018.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## The New York State Economy

New York State private sector job growth appears to be stabilizing at a historically healthy rate. The State’s leading industrial sectors continue to be healthcare, management and administrative services, information, education, and construction. In contrast, the manufacturing, wholesale trade, and retail trade sectors continue to exhibit losses, while growth in the leisure and hospitality sector and the professional, scientific, and technical services sector is slowing. On balance, State private sector job growth remains strong, with growth of 1.5 percent estimated for 2018. Slower growth of 1.3 percent is projected for 2019 as national and global economic growth taper off. Both estimates are unchanged from the First Quarterly Update forecast.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2017 (Actual)	FY 2018 (Estimated)	FY 2019 (Forecast)
Personal Income	4.2	5.8	4.5
Wages	3.9	4.7	3.8
Nonagricultural Employment	1.4	1.4	1.4

Source: Moody’s Analytics; New York State Department of Labor; DOB staff estimates.

Finance and insurance sector bonuses are estimated to have grown 13.7 percent for the FY 2018 bonus season, the strongest growth in four years. This growth was associated with an impressive 2017 revenue performance for New York Stock Exchange member firms that was the strongest since 2006. However, FY 2018 Wall Street bonuses are also suspected to have been buttressed by one-time payments associated with the reduction in the corporate tax rate embodied in the TCJA. These payments are not expected to recur in FY 2019, nor is last year’s strong revenue performance expected to be repeated this year. Consequently, finance and insurance sector bonuses are expected to fall 2.3 percent for FY 2019, virtually unchanged from the July estimate. Overall wage growth of 3.8 percent is estimated for FY 2019, also little changed from July, following upwardly revised growth of 4.7 percent for FY 2018.

In September 2018, the U.S. BEA published a comprehensive revision to its state-level personal income estimates going back to 1947. The \$68.5 billion revision in the NYS personal income estimate for the 2018 State fiscal year was an unusually large 2.2 percentage points, raising growth to 6.1 percent from the initial estimate of a 3.9 percent increase, as the table below shows. The revision to BEA’s estimate of New York State wages aligns BEA’s estimate more closely to the source data, the Quarterly Census of Employment and Wages (QCEW).<sup>20</sup> Although over half of total State personal income is comprised of wages, the September 2018 revision to wages accounts for only 13 percent of the total personal income revision for FY 2018.

<sup>20</sup> The QCEW is the series used by DOB to forecast State wages and bonuses.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## RECENT REVISIONS TO NEW YORK STATE BEA PERSONAL INCOME GROWTH (Percent change from prior State fiscal year)

<u>Fiscal Year</u>	<u>Initial Release (June)</u>	<u>Second Release (Sept.)</u>	<u>Difference from First Release</u>	<u>Current (Sept. 2018)</u>	<u>Difference from Second Release</u>	<u>Total Revision To-date</u>
2010	-1.1	-0.5	0.6	0.9	1.4	2.0
2011	3.4	4.7	1.3	5.0	0.3	1.6
2012	3.5	3.0	-0.5	4.7	1.7	1.2
2013	3.3	3.1	-0.2	5.2	2.1	1.9
2014	2.6	1.7	-0.9	2.0	0.3	-0.6
2015	4.1	3.9	-0.2	4.0	0.1	-0.1
2016	4.4	3.9	-0.5	4.4	0.5	0.0
2017	2.5	1.5	-1.0	3.1	1.6	0.6
2018	3.9	6.1	2.2	6.1	-	2.2

Source: U.S. Bureau of Economic Analysis, Moody's Analytics.

The source data for two other large personal income components – property income and proprietors' income – includes state tax return data, though with a lag. Indeed, the September release only now incorporates data from 2016 tax returns. In addition, a large portion of property income, which combines interest, dividend, and rental income, is imputed by BEA. BEA cites a recent improvement in the measurement of the implicit services of savings institutions and credit unions, such as free checking, as a source of the September revision. Property income accounts for 30 percent of the total value of the FY 2018 revision. Finally, a significant contributor to the large upward revision to proprietors' income was the incorporation of results from the 2008-2010 IRS Tax Gap study. The IRS defines the tax gap as the amount of tax liability faced by taxpayers that is not paid on time and is thus a measure of tax compliance. Since the incorporation of the 2008-2010 study was the first since the incorporation of results from the 2006 study in 2012, the associated revision was substantial. Proprietors' income accounts for 47 percent of the total FY 2018 revision. Together, the revisions to these three income components comprise just under 90 percent of the total revision to FY 2018 New York personal income. However, as suggested by the data presented in the above table, FY 2018 personal income growth will look quite different by the next comprehensive revision, three to five years from now.





## State Financial Plan Projections Fiscal Years 2019 Through 2022

Although the State's private-sector labor market has stabilized at a healthy pace of growth, there are many risks to the forecast. All the risks to the U.S. forecast apply to the State forecast as well, although, as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. The uncertainty surrounding the macroeconomic outlook for the national and global economies is amplified in the financial markets, as demonstrated by the recent 9.3 percent decline in equity prices as represented by the S&P 500. Risks related to the impact of tariffs, the strong dollar, and weakening global growth are likely to continue to create volatility and restrain equity market growth over the near-term. Due to the disproportionate global tilt of financial markets, the State's finance sector is particularly vulnerable to these risks. Financial markets also tend to amplify the perturbations associated with shifting monetary policy, as businesses and investors adjust to interest rates that are approaching their highest levels in ten years. Weaker and/or more volatile markets than anticipated could result in weaker bonus and wage growth, as well as lower realizations of taxable capital gains than reflected in this forecast. In contrast, stronger equity markets, along with stronger national and global growth, could result in stronger employment and wage growth than is reflected in this forecast.

## Receipts

The Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the DTF and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth (i.e. absent law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, non-wage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

The projections described below do not consider the impact that the ECEP or the State Charitable Gifts Trust Fund may have on PIT receipts.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Overview of the Receipts Forecast

All Funds receipts in FY 2019 are projected to total \$168.6 billion, 1.9 percent above FY 2018 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
Personal Income Tax	51,501	50,410	-2.1%	53,952	7.0%	55,010	2.0%	57,456	4.4%
Consumption/Use Taxes	16,711	17,303	3.5%	17,839	3.1%	18,390	3.1%	18,962	3.1%
Business Taxes	7,164	7,981	11.4%	8,630	8.1%	8,966	3.9%	9,123	1.8%
Other Taxes	2,451	2,229	-9.1%	2,341	5.0%	2,440	4.2%	2,543	4.2%
Payroll Mobility Tax <sup>1</sup>	1,439	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
<b>Total State Taxes</b>	<b>79,266</b>	<b>77,923</b>	<b>-1.7%</b>	<b>82,762</b>	<b>6.2%</b>	<b>84,806</b>	<b>2.5%</b>	<b>88,084</b>	<b>3.9%</b>
Miscellaneous Receipts	27,262	28,178	3.4%	26,474	-6.0%	25,496	-3.7%	25,813	1.2%
Federal Receipts	58,942	62,510	6.1%	63,372	1.4%	64,407	1.6%	66,454	3.2%
<b>Total All Funds Receipts</b>	<b>165,470</b>	<b>168,611</b>	<b>1.9%</b>	<b>172,608</b>	<b>2.4%</b>	<b>174,709</b>	<b>1.2%</b>	<b>180,351</b>	<b>3.2%</b>

<sup>1</sup> The FY 2019 amount does not include MTA PMT because the Enacted Budget provided that the PMT be remitted to MTA without an appropriation beginning in FY 2019.

State tax receipts are projected to decrease 1.7 percent from FY 2018 results, largely due to the PMT moving off budget. After accounting for this change, State tax receipt estimates remain flat compared to FY 2018 results. Refer to the PIT section herein for additional explanation of the atypical growth rate pattern for FY 2018 and FY 2019.

Consistent with projected growth in the State economy over the multi-year Financial Plan period beyond FY 2019, all tax categories are projected to exhibit underlying annual growth in outyears.

After controlling for the impact of tax law changes, base tax revenue increased 6.5 percent in FY 2018, and is projected to decrease by 0.1 percent in FY 2019 and increase by 11.8 percent in FY 2020.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE/ALL FUNDS</b>	<b>51,501</b>	<b>50,410</b>	<b>-2.1%</b>	<b>53,952</b>	<b>7.0%</b>	<b>55,010</b>	<b>2.0%</b>	<b>57,456</b>	<b>4.4%</b>
Gross Collections	62,035	62,102	0.1%	65,502	5.5%	66,335	1.3%	69,807	5.2%
Refunds (Incl. State/City Offset)	(10,534)	(11,692)	-11.0%	(11,550)	1.2%	(11,325)	1.9%	(12,351)	-9.1%
<b>GENERAL FUND<sup>1</sup></b>	<b>36,037</b>	<b>22,746</b>	<b>-36.9%</b>	<b>24,559</b>	<b>8.0%</b>	<b>25,103</b>	<b>2.2%</b>	<b>26,326</b>	<b>4.9%</b>
Gross Collections	62,035	62,102	0.1%	65,502	5.5%	66,335	1.3%	69,807	5.2%
Refunds (Incl. State/City Offset)	(10,534)	(11,692)	-11.0%	(11,550)	1.2%	(11,325)	1.9%	(12,351)	-9.1%
STAR	(2,589)	(2,459)	5.0%	(2,417)	1.7%	(2,402)	0.6%	(2,402)	0.0%
RBTF	(12,875)	(25,205)	-95.8%	(26,976)	-7.0%	(27,505)	-2.0%	(28,728)	-4.4%

<sup>1</sup>Excludes Transfers.

All Funds PIT receipts for FY 2019 are estimated to total \$50.4 billion, a decrease of \$1.1 billion (2.1 percent) from FY 2018 results. This decrease is primarily driven by a decline in estimated payments related to the 2018 tax year coupled with an increase in total refunds. This decline is partially offset by growth in withholding and final returns.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

The following table summarizes, by component, actual receipts for FY 2018 and forecast amounts through FY 2022.

<b>ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS</b>					
<b>(millions of dollars)</b>					
	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>Receipts</b>					
Withholding	40,269	41,782	43,033	44,024	46,144
Estimated Payments	17,781	16,221	18,157	17,802	18,960
Current Year	14,329	12,729	13,741	12,582	13,536
Prior Year <sup>1</sup>	3,452	3,492	4,416	5,220	5,424
Final Returns	2,478	2,599	2,748	2,908	3,032
Current Year	308	286	301	316	331
Prior Year <sup>1</sup>	2,170	2,313	2,447	2,592	2,701
Delinquent	<u>1,507</u>	<u>1,500</u>	<u>1,564</u>	<u>1,601</u>	<u>1,671</u>
Gross Receipts	62,035	62,102	65,502	66,335	69,807
<b>Refunds</b>					
Prior Year <sup>1</sup>	6,292	6,699	6,739	7,689	8,565
Previous Years	527	523	553	583	614
Current Year <sup>1</sup>	2,249	2,250	1,750	1,750	1,750
Advanced Credit Payment	610	1,247	1,709	479	573
State/City Offset <sup>1</sup>	<u>856</u>	<u>973</u>	<u>799</u>	<u>824</u>	<u>849</u>
Total Refunds	10,534	11,692	11,550	11,325	12,351
<b>Net Receipts</b>	<b>51,501</b>	<b>50,410</b>	<b>53,952</b>	<b>55,010</b>	<b>57,456</b>

<sup>1</sup>These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2019 is estimated to be \$1.5 billion (3.8 percent) higher than FY 2018 results, driven by modest wage growth. Extension payments related to tax year 2017 are expected to increase by \$40 million (1.2 percent), primarily due to one-time payments related to the expiration of the Federal ten-year window to repatriate foreign hedge fund earnings. These one-time payments have been offset by an acceleration of New York State tax liability payments into December 2017 to take advantage of the uncapped Federal itemized deduction for State and local taxes paid for tax year 2017, leaving growth in extension payments nearly flat. Estimated payments for tax year 2018 are projected to decrease by \$1.6 billion (11.2 percent), driven by a combination of a decline in nonwage income and an inflated tax year 2017 estimated payments base, stemming from the TCJA and repatriation of foreign hedge fund earnings. FY 2019 final return payments are projected to increase by \$121 million (4.9 percent) and delinquencies are projected to decline by \$7 million (0.5 percent).

# State Financial Plan Projections Fiscal Years 2019 Through 2022



The projected growth in total refunds of \$1.2 billion (11 percent) includes increases of \$407 million (6.5 percent) in prior tax year (2017) refunds, \$637 million (104.4 percent) in advanced credit payments related to tax year 2018, and \$117 million (13.7 percent) in the state-city offset, partially offset by a decline of \$4 million (0.8 percent) in previous tax year (2016 and earlier) refunds. The administrative January-March refund cap will remain at the higher level in FY 2019, as in FY 2018.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2019 of \$22.7 billion are projected to decrease by \$13.3 billion (36.9 percent) from FY 2018 results, reflecting a combination of enacted legislation that doubled RBTF deposits from 25 percent to 50 percent of net PIT receipts, and the decline in All Funds receipts noted above. As a result, RBTF deposits are projected to nearly double to \$25.2 billion. The FY 2019 STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts for FY 2020 of \$54 billion are projected to increase by \$3.5 billion (7 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 5.5 percent, reflecting increases of \$1.3 billion (3 percent) in withholding, \$1 billion (8 percent) in estimated payments related to tax year 2019, \$924 million (26.5 percent) in extension payments related to tax year 2018, \$149 million (5.7 percent) in final returns, and \$64 million (4.3 percent) in delinquencies. Total refunds are projected to decline \$142 million (1.2 percent), due to the combination of a \$500 million (22.2 percent) expected decline in the administrative refund cap and a \$174 million (17.9 percent) decline in the state-city offset, partially offset by increases of \$40 million (0.6 percent) in prior tax year (2018) refunds, \$30 million (5.7 percent) in previous tax years (2017 and earlier) refunds, and \$462 million (37 percent) in advanced credit payments. The modest growth in withholding is attributable to the expiration of the temporary high-income surcharge, scheduled to sunset after tax year 2019.

General Fund PIT receipts for FY 2020 of \$24.6 billion are projected to increase by \$1.8 billion (8 percent) from current year estimates, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$27 billion and the STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts for FY 2021 of \$55 billion are projected to increase by \$1.1 billion (2 percent) from FY 2020 estimates. Gross PIT receipts are projected to increase 1.3 percent, reflecting withholding that is projected to grow by \$991 million (2.3 percent) and total refunds that are projected to decline by \$225 million (1.9 percent), partially offset by a projected decrease in total estimated payments of \$355 million (2 percent).



# State Financial Plan Projections Fiscal Years 2019 Through 2022

The relatively low withholding growth rate and the decline in total estimated payments reflect the aforementioned expiration of the high-income surcharge. The decline in total estimated payments includes a projected decline of \$1.2 billion (8.4 percent) in estimated payments for tax year 2020, partially offset by a \$804 million (18.2 percent) increase in extensions for tax year 2019. Final returns are expected to increase by \$160 million (5.8 percent) and delinquencies are projected to increase by \$37 million (2.4 percent). The decline in total refunds is attributable to the scheduled expiration of the Property Tax Relief Credit.

General Fund PIT receipts for FY 2021 of \$25.1 billion are projected to increase by \$544 million (2.2 percent). RBTF deposits are projected to be \$27.5 billion, and the STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts in FY 2022 are projected to increase by \$2.4 billion to \$57.5 billion, while General Fund PIT receipts are projected to total \$26.3 billion. Growth is projected to be suppressed by the combination of modest growth in extension payments related to tax year 2020 and an increase in tax year 2020-related refunds, both resulting from the aforementioned surcharge expiration.

## Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE/ALL FUNDS</b>	<b>16,711</b>	<b>17,303</b>	<b>3.5%</b>	<b>17,839</b>	<b>3.1%</b>	<b>18,390</b>	<b>3.1%</b>	<b>18,962</b>	<b>3.1%</b>
Sales Tax	14,495	15,086	4.1%	15,670	3.9%	16,263	3.8%	16,871	3.7%
Cigarette and Tobacco Taxes	1,171	1,119	-4.4%	1,068	-4.6%	1,020	-4.5%	977	-4.2%
Motor Fuel Tax	512	512	0.0%	507	-1.0%	504	-0.6%	501	-0.6%
Highway Use Tax	93	142	52.7%	142	0.0%	143	0.7%	145	1.4%
Alcoholic Beverage Taxes	259	262	1.2%	265	1.1%	269	1.5%	272	1.1%
Medical Marijuana Excise Tax	2	2	0.0%	2	0.0%	2	0.0%	2	0.0%
Taxicab Surcharge	56	50	-10.7%	50	0.0%	50	0.0%	50	0.0%
Auto Rental Tax	123	130	5.7%	135	3.8%	139	3.0%	144	3.6%
<b>GENERAL FUND<sup>1</sup></b>	<b>7,377</b>	<b>7,647</b>	<b>3.7%</b>	<b>7,913</b>	<b>3.5%</b>	<b>8,185</b>	<b>3.4%</b>	<b>8,464</b>	<b>3.4%</b>
Sales Tax	6,776	7,057	4.1%	7,332	3.9%	7,612	3.8%	7,898	3.8%
Cigarette and Tobacco Taxes	342	328	-4.1%	316	-3.7%	304	-3.8%	294	-3.3%
Alcoholic Beverage Taxes	259	262	1.2%	265	1.1%	269	1.5%	272	1.1%

<sup>1</sup>Excludes Transfers.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



All Funds consumption/use tax receipts for FY 2019 are estimated to total \$17.3 billion, a \$592 million (3.5 percent) increase from FY 2018 results. Sales tax receipts are estimated to increase \$591 million (4.1 percent) from FY 2018 results, reflecting base growth (i.e., absent law changes) of 5.4 percent. This base growth stems from estimated disposable income and consumption of taxable services. Cigarette and tobacco tax collections are projected to decrease by \$52 million (4.4 percent), reflecting a trend decline in taxable cigarette consumption. Highway use tax (HUT) collections are estimated to increase by \$49 million (52.7 percent) as long-term trend levels resume following litigation-induced refund increases in FY 2018. Taxicab surcharge receipts are estimated to decline by \$6 million (10.7 percent) resulting from consumers choosing alternative transportation services not subject to the surcharge. Auto rental tax receipts are estimated to increase by \$7 million (5.7 percent).

General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2019 are projected to total over \$7.6 billion, a \$270 million (3.7 percent) increase from FY 2018 results. This increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2020 are projected to total over \$17.8 billion, a \$536 million (3.1 percent) increase from FY 2019 estimates. The projected \$584 million (3.9 percent) increase in sales tax receipts reflects sales tax base growth of 3.9 percent due to projected growth in disposable income and consumption, partially offset by the continued trend decline in taxable cigarette consumption.

General Fund consumption/use tax receipts are projected to total over \$7.9 billion in FY 2020, a \$266 million (3.5 percent) increase from FY 2019. The projected increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2021 are projected to increase to nearly \$18.4 billion, a \$551 million (3.1 percent) increase from FY 2020. The projected increase reflects sales tax base growth of 3.9 percent, and a continued trend decline in taxable cigarette consumption. FY 2021 General Fund consumption/use tax receipts are projected to increase to nearly \$8.2 billion, a \$272 million (3.4 percent) increase from FY 2020 projections.

All Funds consumption/use tax receipts are projected to increase to nearly \$19 billion (3.1 percent growth) in FY 2022, largely representing base growth in sales tax receipts, which is slightly offset by a continued trend decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to nearly \$8.5 billion (3.4 percent growth) in FY 2022, reflecting the All Funds sales and use tax and cigarette tax trends, noted above.





# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE/ALL FUNDS</b>	<b>7,164</b>	<b>7,981</b>	<b>11.4%</b>	<b>8,630</b>	<b>8.1%</b>	<b>8,966</b>	<b>3.9%</b>	<b>9,123</b>	<b>1.8%</b>
Corporate Franchise Tax	3,080	4,027	30.7%	4,482	11.3%	4,736	5.7%	4,780	0.9%
Corporation and Utilities Tax	748	700	-6.4%	710	1.4%	718	1.1%	727	1.3%
Insurance Tax	1,777	1,976	11.2%	2,201	11.4%	2,354	7.0%	2,467	4.8%
Bank Tax	467	142	-69.6%	71	-50.0%	0	-100.0%	0	0.0%
Petroleum Business Tax	1,092	1,136	4.0%	1,166	2.6%	1,158	-0.7%	1,149	-0.8%
<b>GENERAL FUND</b>	<b>4,916</b>	<b>5,626</b>	<b>14.4%</b>	<b>6,170</b>	<b>9.7%</b>	<b>6,470</b>	<b>4.9%</b>	<b>6,577</b>	<b>1.7%</b>
Corporate Franchise Tax	2,326	3,212	38.1%	3,610	12.4%	3,828	6.0%	3,828	0.0%
Corporation and Utilities Tax	570	530	-7.0%	537	1.3%	541	0.7%	547	1.1%
Insurance Tax	1,610	1,762	9.4%	1,963	11.4%	2,101	7.0%	2,202	4.8%
Bank Tax	410	122	-70.2%	60	-50.8%	0	-100.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2019 are estimated to total nearly \$8 billion, an increase of \$817 million (11.4 percent) from FY 2018 results. The estimate reflects increases in corporate franchise tax, insurance tax and petroleum business tax (PBT) receipts. Corporation and utilities tax and bank tax receipts are estimated to decline primarily due to higher receipts in FY 2018 that are not expected to recur in FY 2019.

Corporation franchise tax receipts are estimated to increase \$947 million (30.7 percent) in FY 2019, reflecting higher gross receipts and audits. FY 2018 results were negatively impacted by a shortfall in cash remittances from taxpayers that continue to have overpayment balances from tax year 2015 that they can use to satisfy current year liability. It will likely be several years before many larger taxpayers need to remit cash payments for current liability. FY 2019 estimates also include \$60 million resulting from taxable interest associated with the Federal TCJA repatriated earnings provision and \$20 million from other TCJA flow-through impacts. Audit receipts are projected to increase in FY 2019 (by \$137 million) as a greater number of large cases are expected to be closed.

Corporation and utilities tax receipts are estimated to decrease \$48 million (6.4 percent) in FY 2019. Audits are projected to fall by \$44 million as FY 2018 included payments from telecommunication companies that are not expected to recur. Gross receipts from telecommunications companies are expected to decline due to industry competitiveness and the movement of most communications to internet-based solutions which are not taxable. In 2017, over 90 percent of the population owned a smartphone.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



Insurance tax receipts for FY 2019 are estimated to increase \$199 million (11.2 percent) from FY 2018. Projected growth in tax year 2018 liability as well as lower expected credit claims for assessments paid to the Life Insurance Company Guaranty Corporation (LICGC) account for the year-over-year increase. The LICGC exists to protect policyholders from the insolvency of their life insurers. This is the third year of claims for the credit for assessments paid earlier. FY 2019 also includes a part-year revenue increase from the conversion of a not-for-profit health insurer to a for-profit health insurer.

Receipts from the repealed bank tax (all from prior liability periods) are estimated to decrease by \$325 million (69.6 percent) from FY 2019, stemming from lower audit receipts (\$296 million) and smaller prior period adjustments. PBT receipts are estimated to increase \$44 million (4 percent) in FY 2019, primarily due to the 5 percent increase in the PBT rate index effective January 1, 2018 and the projected 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2019 of \$5.6 billion are projected to increase by \$710 million (14.4 percent) from FY 2018 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of \$8.6 billion are projected to increase by \$649 million (8.1 percent) from FY 2019 estimates. The corporation franchise tax receipts increase of \$455 million (11.3 percent) reflects projected growth in corporate profits and a stabilization of liability as taxpayers adjust to all aspects of State corporate tax reform (effective tax year 2015). The corporation and utilities tax receipts increase of \$10 million (1.4 percent) is primarily attributable to growth in the utilities section of the tax. This projection includes \$71 million in TCJA flow-through impacts in the corporation franchise tax.

Insurance tax receipts for FY 2020 of \$2.2 billion are projected to increase by \$225 million (11.4 percent) from current year estimates. Projected growth in insurance tax premiums combined with lower expected LICGC credit claims contribute to this year-over-year growth. FY 2020 includes the full year impact from the health insurer conversion described earlier. Receipts from the repealed bank tax are projected to decrease by \$71 million (50 percent) in FY 2019, due to lower projected audit receipts. PBT receipts are projected to increase by \$30 million (2.6 percent) in FY 2020 due to a projected 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2020 of nearly \$6.2 billion are projected to increase by \$544 million (9.7 percent) from current year estimates, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2021 of nearly \$9 billion are projected to increase by \$336 million (3.9 percent), and General Fund business tax receipts are projected to increase to nearly \$6.5 billion (4.9 percent growth) from FY 2020 projections. The increase is primarily reflective of growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. Increases in projected corporation and utilities tax and insurance tax receipts are partially offset by a decline in projected bank tax and PBT receipts. This projection includes \$52 million in TCJA flow-through impacts in the corporation franchise tax.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

All Funds business tax receipts for FY 2022 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2022, All Funds business tax receipts are projected to increase to \$9.1 billion (1.8 percent growth), and General Fund business tax receipts are projected to increase to nearly \$6.6 billion (1.7 percent growth). This projection includes \$53 million in TCJA flow-through impacts.

## Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>2,451</b>	<b>2,229</b>	<b>-9.1%</b>	<b>2,341</b>	<b>5.0%</b>	<b>2,440</b>	<b>4.2%</b>	<b>2,543</b>	<b>4.2%</b>
Estate Tax	1,308	1,033	-21.0%	1,092	5.7%	1,155	5.8%	1,220	5.6%
Real Estate Transfer Tax	1,125	1,178	4.7%	1,231	4.5%	1,267	2.9%	1,305	3.0%
Employer Compensation Expense Program	0	TBD	0.0%	TBD	TBD	TBD	TBD	TBD	TBD
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%
<b>GENERAL FUND<sup>1</sup></b>	<b>1,326</b>	<b>1,051</b>	<b>-20.7%</b>	<b>1,110</b>	<b>5.6%</b>	<b>1,173</b>	<b>5.7%</b>	<b>1,238</b>	<b>5.5%</b>
Estate Tax	1,308	1,033	-21.0%	1,092	5.7%	1,155	5.8%	1,220	5.6%
Employer Compensation Expense Program	0	TBD	0.0%	TBD	TBD	TBD	TBD	TBD	TBD
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%

<sup>1</sup>Excludes Transfers.

All Funds other tax receipts for FY 2019 are estimated to total over \$2.2 billion, a decrease of \$222 million (9.1 percent) from FY 2018 results. This is primarily due to an estimated \$275 million (21 percent) decrease in estate tax receipts which is a result of a return to a historical average in both the number and payment value of super-large (i.e., over \$25 million) payments. Real estate transfer tax receipts are expected to increase by \$53 million (4.7 percent) due to estimated growth in housing starts and housing prices.

General Fund other tax receipts are estimated to approach \$1.1 billion in FY 2019, a decrease of \$275 million (20.7 percent) from FY 2018 results, reflecting the estate tax receipts decrease noted above.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



All Funds other tax receipts for FY 2020 are projected to total over \$2.3 billion, a \$112 million (5 percent) increase from FY 2019 estimates. The \$59 million (5.7 percent) projected increase in estate tax receipts reflects projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$53 million (4.5 percent), reflecting projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to be slightly above \$1.1 billion, an increase of \$59 million (5.6 percent) from FY 2019 estimates due to the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 are projected to be over \$2.4 billion, a \$99 million (4.2 percent) increase from FY 2020 projections. Estate tax receipts are projected to increase by \$63 million (5.8 percent) in FY 2021, reflecting projected growth in household net worth. The \$36 million (2.9 percent) projected increase in real estate transfer tax receipts in FY 2021 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to total slightly below \$1.2 billion, an increase of \$63 million (5.7 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 reflect projected trend growth in household net worth, housing starts, and housing prices. All Funds other tax receipts are projected to be over \$2.5 billion in FY 2022, an increase of \$103 million (4.2 percent).

General Fund other tax receipts are projected to be over \$1.2 billion in FY 2022, an increase of \$65 million (5.5 percent).

## Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>ALL FUNDS</b>	<b>27,262</b>	<b>28,178</b>	<b>3.4%</b>	<b>26,474</b>	<b>-6.0%</b>	<b>25,496</b>	<b>-3.7%</b>	<b>25,813</b>	<b>1.2%</b>
General Fund	3,129	2,474	-20.9%	2,028	-18.0%	2,001	-1.3%	1,883	-5.9%
Special Revenue Funds	17,933	17,780	-0.9%	17,461	-1.8%	16,879	-3.3%	17,051	1.0%
Capital Projects Funds	5,729	7,426	29.6%	6,516	-12.3%	6,147	-5.7%	6,411	4.3%
Debt Service Funds	471	498	5.7%	469	-5.8%	469	0.0%	468	-0.2%

All Funds miscellaneous receipts are projected to total \$28.2 billion in FY 2019, an increase of 3.4 percent from FY 2018 results. This increase is primarily due to higher bond financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with PIT or Sales Tax Revenue Bond proceeds, at which time they are captured as miscellaneous receipts.

All Funds miscellaneous receipts are projected to decline in FY 2020 and FY 2021, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceeds reimbursements in later years, which subsequently corresponds to the spending out of bond-financed capital projects.

## Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>ALL FUNDS</b>	<b>58,942</b>	<b>62,510</b>	<b>6.1%</b>	<b>63,372</b>	<b>1.4%</b>	<b>64,407</b>	<b>1.6%</b>	<b>66,454</b>	<b>3.2%</b>
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	56,744	60,003	5.7%	61,070	1.8%	62,147	1.8%	64,195	3.3%
Capital Projects Funds	2,125	2,433	14.5%	2,229	-8.4%	2,187	-1.9%	2,187	0.0%
Debt Service Funds	73	74	1.4%	73	-1.4%	73	0.0%	72	-1.4%

# State Financial Plan Projections Fiscal Years 2019 Through 2022



Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of policies that may be proposed and adopted by the Trump Administration and current Congress. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan. The FY 2018 Enacted Budget included authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

## Responding to Federal Tax Reform

RESPONDING TO FEDERAL TAX REFORM				
(millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Maintain 2017 Empire State Child Tax Credit Benefits	0	0	0	0
Decouple PIT from Federal SALT & State Single Filer Standard Deduction	0	(1,525)	0	(1,525)
Eliminate Repatriation Tax Deduction	2,000	0	2,000	0
Establish State and Local Charitable Gifts Trust Funds	0	TBD	0	TBD
Allow NYC PIT Decoupling from Charity Itemized Deduction	0	0	0	0
Impose an Employer Compensation Expense Tax	TBD	TBD	TBD	TBD
<b>TOTAL RESPONDING TO FEDERAL TAX REFORM</b>	<b>2,000</b>	<b>(1,525)</b>	<b>2,000</b>	<b>(1,525)</b>

- Maintain 2017 Empire State Child Tax Credit Benefits.** The TCJA of 2017 changed the maximum value of the Federal child tax credit as well as the range of incomes eligible for the credit. Absent Enacted Budget legislation, these changes would have increased the total tax expenditure related to the Empire State Child Tax Credit, since the State credit is based on the Federal credit. This Budget permanently calculates the State credit based on the Federal credit calculation in effect prior to the TCJA.

- **Decouple PIT from Federal SALT & State Single Filer Standard Deduction.** Effective tax year 2018, the TCJA limits the SALT deduction to \$10,000 annually and eliminates personal exemptions entirely. Absent Enacted Budget legislation, the SALT deduction cap would have restricted property tax deductions on State returns to the \$10,000 limit, and the elimination of personal exemptions would have limited the standard deduction for single filers to the amount claimable by dependent filers. The FY 2019 Enacted Budget allows unrestricted deductibility of property taxes on State returns and retains the full value of the State standard deduction for single filers.
- **Eliminate Repatriation Tax Deduction.** To avoid a large unintended revenue loss, the State decoupled from the new Federal tax deduction for repatriation of certain foreign income.
- **Establish State and Local Charitable Gifts Funds.** While the TCJA limits the SALT deduction to \$10,000 annually, the deduction for charitable gifts remains uncapped. Newly established state and local charitable gifts funds allow taxpayers to make gifts to funds of their choice and benefit from charitable gifts deductions on both Federal and State returns, while receiving tax credits equal to up to 85 percent of their charitable gifts.
- **Allow NYC to Decouple from the Charitable Gifts Deduction.** The Federal itemized deduction for charitable gifts flows-through to State returns and, for NYC residents, into the calculation of NYC PIT liability. NYC is authorized to decouple from the charitable gifts itemized deduction with respect to gifts made to the newly established State and local charitable gifts funds, thereby protecting NYC tax revenue.
- **Impose an Employer Compensation Expense Tax.** Businesses, unlike individuals, retain full deductibility of SALT payments following enactment of the TCJA. To mitigate the impact of the cap on SALT deductions on individual taxpayers, legislation included with the FY 2019 Enacted Budget imposes a tax on compensation expenses paid by employers that elect to participate in the ECEP. The tax is applicable to the participating employer's calendar year payroll expenses in excess of \$40,000 for each individual employee. The tax rate gradually increases to 5 percent over a three-year phase-in period. Employees of participating employers are entitled to a credit to offset the expected impact of the Employer Compensation Expense Tax on wages.

See the discussion under the heading “Overview of the Financial Plan– Impact of Federal Tax Law changes” for more information on the State’s response to Federal tax law changes, including a summary of the IRS’ August 23, 2018 proposed regulations governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions.

## Disbursements

In FY 2019, total disbursements from the State's General Fund, including transfers, are expected to be \$75.8 billion and total disbursements from State Operating Funds are expected to be \$100.1 billion. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections take into account various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.



## Local Assistance Grants

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$66.7 billion in FY 2019, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized below.

<b>FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES</b> (millions of dollars)					
	FY 2018	FY 2019	Forecast		
			FY 2020	FY 2021	FY 2022
	Results	Updated	Projected	Projected	Projected
<b>HEALTH CARE</b>					
Medicaid - Individuals Covered	6,207,104	6,262,173	6,289,708	6,303,475	6,310,359
Essential Plan - Individuals Covered	728,807	733,755	737,615	739,715	741,821
Child Health Plus - Individuals Covered	364,401	395,199	410,703	418,455	422,493
State Takeover of County/NYC Costs <sup>1</sup>	\$2,996	\$3,337	\$3,677	\$4,027	\$4,389
<b>EDUCATION</b>					
School Aid (School Year Basis Funding)	\$25,639	\$26,553	\$27,509	\$28,692	\$29,983
<b>HIGHER EDUCATION</b>					
Public Higher Education Enrollment (FTEs)	557,854	557,854	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	275,916	276,207	N/A	N/A	N/A
<b>PUBLIC ASSISTANCE</b>					
Family Assistance Program (Families)	217,760	214,749	211,525	208,308	205,200
Safety Net Program (Families)	120,905	119,575	117,673	115,815	114,035
Safety Net Program (Singles)	206,880	209,570	211,088	213,086	215,112
<b>MENTAL HYGIENE</b>					
OMH Community Beds	43,347	45,006	46,528	47,324	47,874
OPWDD Community Beds	43,080	43,511	43,859	44,210	44,563
OASAS Community Beds	<u>13,256</u>	<u>13,532</u>	<u>13,778</u>	<u>14,066</u>	<u>14,150</u>
Total	99,683	102,049	104,165	105,600	106,587
<b>PRISON POPULATION</b>					
	49,800	48,200	48,200	48,200	48,200

<sup>1</sup> Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Education

### School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

### School Year (July 1 – June 30)

School Aid is expected to total \$26.6 billion in SY 2019, an annual increase of \$914 million (3.6 percent), including a \$618 million Foundation Aid increase. A Community Schools set-aside of \$200 million within Foundation Aid (a \$50 million increase from the prior year) provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$245 million supports increased reimbursement in expense-based and categorical aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan provides \$50 million for new competitive grant programs, including a \$15 million investment to expand prekindergarten programs for three- and four-year-old students targeted to high-need school districts, and \$10 million to expand the Empire State After-School Program, helping to keep young people safe and engaged during after school hours. The State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten (SUFPK) programs.

The Financial Plan projections assume that year-over-year growth in School Aid disbursements will not exceed the annual percent growth in NYS personal income. However, since FY 2014, the State has annually authorized School Aid increases above the PIGI. In SY 2019, the Financial Plan reflects a 3.6 percent School Aid increase, compared to 1.5 percent growth in the PIGI. For SY 2020, the Financial Plan reflects a School Aid increase of 3.6 percent; however, the recently updated personal income growth data results in a 6.1 percent index.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) <sup>1</sup>									
(millions of dollars)									
	<u>SY 2018</u>	<u>SY 2019</u>	<u>Change</u>	<u>SY 2020</u>	<u>Change</u>	<u>SY 2021</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>
Total	25,639	26,553	914	27,509	956	28,692	1,183	29,983	1,291
			3.6%		3.6%		4.3%		4.5%

<sup>1</sup>School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2018	FY 2019	FY 2020		FY 2021		FY 2022		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>25,457</b>	<b>26,483</b>	<b>4.0%</b>	<b>27,460</b>	<b>3.7%</b>	<b>28,567</b>	<b>4.0%</b>	<b>29,826</b>	<b>4.4%</b>
General Fund Local Assistance	21,954	23,043	5.0%	24,012	4.2%	25,116	4.6%	26,375	5.0%
Medicaid	61	99	62.3%	78	-21.2%	78	0.0%	78	0.0%
Core Lottery Aid	2,395	2,294	-4.2%	2,288	-0.3%	2,291	0.1%	2,291	0.0%
VLT Lottery Aid	958	907	-5.3%	934	3.0%	934	0.0%	934	0.0%
Commercial Gaming - VLT Offset	8	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Commercial Gaming	81	140	72.8%	148	5.7%	148	0.0%	148	0.0%

State fiscal year spending for School Aid is projected to total \$26.5 billion in FY 2019, a 4.0 percent increase over FY 2018. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as core lottery, video lottery and commercial gaming revenues are expected to remain largely flat beginning in FY 2020. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid are supplemented by commercial gaming revenues shared with the State by commercial gaming facilities. These receipts are expected to increase in FY 2020 by \$8 million but remain flat thereafter. Between December 2014 and August 2016, four casino resorts were recommended by the State's Gaming Facility Location Board and approved by the State Gaming Commission. The approved casinos have since opened and are in operation. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,147</b>	<b>2,332</b>	<b>8.6%</b>	<b>2,295</b>	<b>-1.6%</b>	<b>2,375</b>	<b>3.5%</b>	<b>2,463</b>	<b>3.7%</b>
Special Education	1,264	1,329	5.1%	1,350	1.6%	1,417	5.0%	1,483	4.7%
All Other Education	883	1,003	13.6%	945	-5.8%	958	1.4%	980	2.3%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2019 is due to lower-than-expected summer school and preschool special education claims submitted during FY 2018 that are expected to materialize in FY 2019. Outyear growth for Special Education is attributable to increased State reimbursement to special education providers for minimum wage costs and projected cost growth in the preschool and summer school special education programs.

The projected increase in All Other Education spending in FY 2019 primarily reflects a continuation or increase of one-time aid and grants. The decrease in spending levels in All Other Education in FY 2020 is largely attributable to the discontinuation of one-time aid and grants. Projected increases for charter school supplemental basic tuition and nonpublic schools are expected to drive growth in FY 2021 and thereafter.

## School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$66,800 exemption in FY 2019. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2019 program costs are: the Basic school property tax exemption or credit for homeowners with incomes under \$500,000 (53 percent); Enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,000 (28 percent); and a credit for income-eligible resident NYC personal income taxpayers (19 percent). The FY 2018 Enacted Budget converted the NYC PIT rate reduction benefit into a PIT tax credit. The conversion has reduced and will eventually eliminate the NYC payment as a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

STAR property tax exemption spending reflects reimbursements made to school districts to offset a reduction in the amount of property tax revenue collected from STAR-eligible homeowners. In FY 2017, the STAR exemption program began a gradual shift from a spending program into an advance refundable PIT credit program. First-time homebuyers and homeowners who move now receive a refundable PIT credit, in lieu of a property tax exemption. Likewise, this change has no impact on the value of the STAR benefit received by homeowners.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,589</b>	<b>2,459</b>	<b>-5.0%</b>	<b>2,417</b>	<b>-1.7%</b>	<b>2,402</b>	<b>-0.6%</b>	<b>2,402</b>	<b>0.0%</b>
Gross Program Costs	3,422	3,396	-0.8%	3,472	2.2%	3,577	3.0%	3,698	3.4%
Program Conversion	(277)	0	100.0%	0	0.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(498)	(937)	-88.2%	(1,055)	-12.6%	(1,175)	-11.4%	(1,296)	-10.3%
FY 2017 Overpayment <sup>1</sup>	(58)	0	100.0%	0	0.0%	0	0.0%	0	0.0%
<b>Basic Exemption</b>	<b>1,623</b>	<b>1,592</b>	<b>-1.9%</b>	<b>1,565</b>	<b>-1.7%</b>	<b>1,556</b>	<b>-0.6%</b>	<b>1,556</b>	<b>0.0%</b>
Gross Program Costs	1,796	1,852	3.1%	1,912	3.2%	1,989	4.0%	2,076	4.4%
Personal Income Tax Credit	(173)	(260)	-50.3%	(347)	-33.5%	(433)	-24.8%	(520)	-20.1%
<b>Enhanced (Senior) Exemption</b>	<b>908</b>	<b>867</b>	<b>-4.5%</b>	<b>852</b>	<b>-1.7%</b>	<b>846</b>	<b>-0.7%</b>	<b>846</b>	<b>0.0%</b>
Gross Program Costs	943	918	-2.7%	920	0.2%	932	1.3%	949	1.8%
Personal Income Tax Credit	(35)	(51)	-45.7%	(68)	-33.3%	(86)	-26.5%	(103)	-19.8%
<b>New York City PIT</b>	<b>58</b>	<b>0</b>	<b>-100.0%</b>	<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0.0%</b>
Gross Program Costs	683	626	-8.3%	640	2.2%	656	2.5%	673	2.6%
Program Conversion	(277)	0	100.0%	0	0.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(290)	(626)	-115.9%	(640)	-2.2%	(656)	-2.5%	(673)	-2.6%
FY 2017 Overpayment <sup>1</sup>	(58)	0	100.0%	0	0.0%	0	0.0%	0	0.0%

<sup>1</sup> Conversion of the NYC Rate Reduction Benefit to Personal Income Credit pertains to 2017 tax year. As such, it was retroactively made effective to January 1, 2017.

\* The gross program costs and PIT credit values reported in the Enacted Budget Financial Plan table were reported on a tax year basis. The values in the table above have been changed to a State fiscal year basis, and reflect updated PIT credit information. These changes do not impact the projected net cost of the STAR Program.

Much of the spending decline projected for FY 2019 is attributable to the timing of the New York City rate reduction benefit payout, upon conversion to a PIT credit. STAR actions that were enacted with the FY 2017 Enacted Budget will continue to reduce revenues in addition to the spending changes noted above. Projected revenue reductions will increase over the course of the Financial Plan as STAR actions are implemented, particularly those revenue reductions driven by the conversion of the New York City PIT rate reduction benefit.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,833</b>	<b>3,057</b>	<b>7.9%</b>	<b>3,175</b>	<b>3.9%</b>	<b>3,233</b>	<b>1.8%</b>	<b>3,275</b>	<b>1.3%</b>
<b>City University</b>	<b>1,465</b>	<b>1,494</b>	<b>2.0%</b>	<b>1,533</b>	<b>2.6%</b>	<b>1,573</b>	<b>2.6%</b>	<b>1,608</b>	<b>2.2%</b>
Senior Colleges	1,211	1,234	1.9%	1,277	3.5%	1,317	3.1%	1,352	2.7%
Community College	254	260	2.4%	256	-1.5%	256	0.0%	256	0.0%
<b>Higher Education Services</b>	<b>879</b>	<b>1,081</b>	<b>23.0%</b>	<b>1,157</b>	<b>7.0%</b>	<b>1,175</b>	<b>1.6%</b>	<b>1,182</b>	<b>0.6%</b>
Tuition Assistance Program	813	899	10.6%	939	4.4%	949	1.1%	956	0.7%
Scholarships/Awards	55	170	209.1%	206	21.2%	214	3.9%	214	0.0%
Aid for Part-Time Study	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
<b>State University</b>	<b>489</b>	<b>482</b>	<b>-1.4%</b>	<b>485</b>	<b>0.6%</b>	<b>485</b>	<b>0.0%</b>	<b>485</b>	<b>0.0%</b>
Community College	484	477	-1.4%	481	0.8%	481	0.0%	481	0.0%
Other/Cornell	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 404,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 320,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State also provides more than \$1.0 billion annually for SUNY campus operations through a General Fund transfer and fully supports the fringe benefits costs of SUNY employees at state-operated campuses, which total nearly \$2.0 billion. The State also pays debt service for bond-financed capital projects of the university systems. State debt service payments for capital projects at SUNY and CUNY are estimated at \$1.2 billion in FY 2019, an increase of \$24 million from FY 2018 levels.

HESC is New York State's student financial aid agency and oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, TAP, and 24 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 400,000 students.

Higher education spending is projected to increase by \$224 million, or 7.9 percent, from FY 2018 to FY 2019. This change in spending largely reflects the launch of the second phase of the Excelsior Free Tuition Program, increased funding for scholarships, fringe benefit increases at CUNY, and the timing of certain payments during academic year 2018. Along with other sources of tuition assistance, the Excelsior Scholarship will allow approximately 53 percent of full-time SUNY and CUNY in-state students to attend college tuition-free when it is fully phased in.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment that allows the State to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with the goal of achieving a 25 percent reduction in avoidable hospital use over a five year period. The Financial Plan reflects the impact of the DSRIP program by showing additional Federal funds disbursements of nearly \$8 billion through FY 2021. The remaining funds are expected to be disbursed after FY 2021. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

## Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funded Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Financial Plan reflects the continuation of the “Global Cap” through FY 2022, and the projections assume that statutory authority will be extended in subsequent years. Allowable Growth under the cap for medical services is 3.2 percent in FY 2019 and estimated at 3.1 percent for each subsequent year through FY 2022.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
<b>Global Medicaid Cap<sup>1</sup></b>	<b>18,270</b>	<b>18,863</b>	<b>19,446</b>	<b>20,048</b>	<b>20,667</b>
Annual % Change		3.2%	3.1%	3.1%	3.1%

<sup>1</sup> Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration costs, increased FFP pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, education aid and corrections.





# State Financial Plan Projections Fiscal Years 2019 Through 2022

<b>TOTAL STATE-SHARE MEDICAID DISBURSEMENTS<sup>1</sup></b> (millions of dollars)					
	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
Department of Health Medicaid	19,441	20,294	21,644	22,639	23,501
Local Assistance	19,143	20,396	21,655	22,700	23,560
State Operations	298	333	316	310	312
MSA Payments (Share of Local Growth) <sup>2</sup>	0	(435)	(327)	(371)	(371)
Other State Agency Medicaid Spending	4,409	3,037	3,316	3,806	3,759
Mental Hygiene <sup>3</sup>	4,271	2,851	3,145	3,632	3,583
Foster Care	77	85	91	94	98
Education	61	99	78	78	78
Corrections	0	2	2	2	0
<b>Total State Share Medicaid (All Agencies)</b>	<b>23,850</b>	<b>23,331</b>	<b>24,960</b>	<b>26,445</b>	<b>27,260</b>
Annual \$ Change		(519)	1,629	1,485	815
Annual % Change		-2.2%	7.0%	5.9%	3.1%
<b>Essential Plan<sup>4</sup></b>	<b>88</b>	<b>87</b>	<b>84</b>	<b>79</b>	<b>76</b>
Local Assistance	0	0	0	0	0
State Operations	88	87	84	79	76

<sup>1</sup> DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and minimum wage increases.

<sup>2</sup> MSA payments will be deposited directly to the MMIS Escrow Fund to cover total State share support for Medicaid.

<sup>3</sup> The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

<sup>4</sup> The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending (More information on HCRA can be found in the section entitled "HCRA Financial Plan").

# State Financial Plan Projections Fiscal Years 2019 Through 2022



DEPARTMENT OF HEALTH MEDICAID <sup>1</sup> (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>STATE OPERATING FUNDS</b>	<b>23,938</b>	<b>23,418</b>	<b>-2.2%</b>	<b>25,044</b>	<b>6.9%</b>	<b>26,524</b>	<b>5.9%</b>	<b>27,336</b>	<b>3.1%</b>
<b>Department of Health Medicaid</b>	<b>19,529</b>	<b>20,381</b>	<b>4.4%</b>	<b>21,728</b>	<b>6.6%</b>	<b>22,718</b>	<b>4.6%</b>	<b>23,577</b>	<b>3.8%</b>
General Fund - DOH Medicaid Local	13,397	14,313	6.8%	15,734	9.9%	16,780	6.6%	17,659	5.2%
DOH Medicaid	11,138	11,475	3.0%	12,283	7.0%	13,222	7.6%	13,560	2.6%
Mental Hygiene - Global Cap Adjustment <sup>2</sup>	1,269	1,653	30.3%	1,547	-6.4%	1,439	-7.0%	1,718	19.4%
Minimum Wage	255	703	175.7%	1,131	60.9%	1,207	6.7%	1,287	6.6%
Local Growth Takeover (Zero Growth Phase-in) <sup>3</sup>	735	917	24.8%	1,100	20.0%	1,283	16.6%	1,465	14.2%
MSA Payments (Share of Local Growth) <sup>4</sup>	0	(435)	0.0%	(327)	24.8%	(371)	-13.5%	(371)	0.0%
General Fund - DOH Medicaid State Ops	298	333	11.7%	316	-5.1%	310	-1.9%	312	0.6%
General Fund - Essential Plan	88	87	-1.1%	84	-3.4%	79	-6.0%	76	-3.8%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	88	87	-1.1%	84	-3.4%	79	-6.0%	76	-3.8%
Other State Funds - DOH Medicaid Local	5,746	5,648	-1.7%	5,594	-1.0%	5,549	-0.8%	5,530	-0.3%
HCRA Financing	3,966	3,871	-2.4%	3,857	-0.4%	3,782	-1.9%	3,732	-1.3%
Indigent Care Support	922	891	-3.4%	892	0.1%	892	0.0%	892	0.0%
Provider Assessment Revenue	858	886	3.3%	845	-4.6%	875	3.6%	906	3.5%
Other State Agency Medicaid Spending <sup>5</sup>	4,409	3,037	-31.1%	3,316	9.2%	3,806	14.8%	3,759	-1.2%
<b>USE OF MSA PAYMENTS (Share of Local Growth)<sup>4</sup></b>	<b>0</b>	<b>435</b>	<b>0.0%</b>	<b>327</b>	<b>-24.8%</b>	<b>371</b>	<b>13.5%</b>	<b>371</b>	<b>0.0%</b>
<b>LOCAL SHARE OF MEDICAID<sup>6,7</sup></b>	<b>7,949</b>	<b>8,516</b>	<b>7.1%</b>	<b>7,328</b>	<b>-14.0%</b>	<b>7,036</b>	<b>-4.0%</b>	<b>7,204</b>	<b>2.4%</b>
<b>FEDERAL SHARE OF MEDICAID</b>	<b>41,434</b>	<b>44,991</b>	<b>8.6%</b>	<b>47,146</b>	<b>4.8%</b>	<b>47,846</b>	<b>1.5%</b>	<b>49,429</b>	<b>3.3%</b>
DOH Medicaid	37,559	40,300	7.3%	42,183	4.7%	42,834	1.5%	44,404	3.7%
Essential Plan	3,875	4,691	21.1%	4,963	5.8%	5,012	1.0%	5,025	0.3%
<b>ALL FUNDING SOURCES</b>	<b>73,321</b>	<b>77,360</b>	<b>5.5%</b>	<b>79,845</b>	<b>3.2%</b>	<b>81,777</b>	<b>2.4%</b>	<b>84,340</b>	<b>3.1%</b>

<sup>1</sup> The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.  
<sup>2</sup> The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.  
<sup>3</sup> As of County Year (CY) 2015 the full share of local Medicaid services growth has been financed with State resources.  
<sup>4</sup> MSA payments will be deposited directly to the MMIS Escrow Fund to cover a portion of the State's share of local Medicaid growth.  
<sup>5</sup> The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.  
<sup>6</sup> The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals.  
<sup>7</sup> Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

The Financial Plan includes \$425 million in annual savings from funding certain OPWDD-related Medicaid expenses under the Medicaid Global Cap. To achieve savings within the Global Cap necessary to support these additional costs, DOH will continue to implement various MRT actions to improve efficiency and effective delivery of the statewide Medicaid program. These reforms represent modifications to the Medicaid long-term care program to ensure access to long-term care services and support for a growing aging population; incentives supporting the transition to value-based payment arrangements; additional program integrity efficiencies; and enhancement of certain Medicaid services and practices, including covered telehealth and claims editing development.

MSA payments will be used to fund a portion of the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The use of MSA payments will not affect total funding for the Medicaid program. The Financial Plan assumes that the MSA payments will provide financial plan relief through lower annual General Fund Medicaid disbursements. The table below displays the adjusted funding shares.

<b>FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS</b> (millions of dollars)					
	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>State Share Support</b>	<b><u>23,938</u></b>	<b><u>23,853</u></b>	<b><u>25,371</u></b>	<b><u>26,895</u></b>	<b><u>27,707</u></b>
State Funds Medicaid Disbursements <sup>1,2</sup>	23,938	23,418	25,044	26,524	27,336
MSA Payments (Local Growth)	0	435	327	371	371

<sup>1</sup> The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.  
<sup>2</sup> The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

The Financial Plan includes additional General Fund support for costs associated with the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in New York City, and Westchester, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.<sup>21</sup> The impact of these minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$703 million in FY 2019; \$1.1 billion in FY 2020; \$1.2 billion in FY 2021; and \$1.3 billion in FY 2022.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients is expected to reach about 6.3 million by the end of FY 2019, a slight increase from FY 2018. This moderate increase is in part driven by an increase in elderly enrollees in the Medicaid program.

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap, as noted above.

<sup>21</sup> Home health care workers in New York City and certain counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York City; \$3.22 for Westchester, Nassau, and Suffolk counties), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Health Care Transformation Fund (HCTF)

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell substantially all its assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by the Department of Health, DFS and the Office of the Attorney General. The transaction included the health care companies’ agreement to contribute an estimated \$2 billion in both direct payments and taxes over the next five years.

The funds are expected to be used over the next five years to offset State costs for health care transformation activities, including enhancing access to affordable quality healthcare and healthcare related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet healthcare related needs including, but not limited to, those associated with the social determinants of health.

Following the completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF<sup>22</sup> in July 2018. Future conversion proceeds expected to be deposited to the HCTF include \$468 million in FY 2020, followed by a \$118 million in both FY 2021 and FY 2022, and \$68 million in FY 2023. The direct payments to the HCTF do not include the impact of increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

HEALTH CARE TRANSFORMATION FUND PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018 (millions of dollars)				
	FY 2019	FY 2020	FY 2021	FY 2022
<b>Opening Balance</b>	0	513	314	0
<b>Receipts</b>	<b>1,068</b>	<b>468</b>	<b>118</b>	<b>118</b>
Fidelis Payment	1,000	400	50	50
Centene Payment	68	68	68	68
<b>Planned Uses</b>	<b>(555)</b>	<b>(667)</b>	<b>(432)</b>	<b>(118)</b>
Housing Rental Subsidies	(274)	(274)	(154)	(118)
State Only Medicaid Payments	(136)	(136)	0	0
Capital Projects	(90)	(90)	0	0
Transitional Operational Support for Health Care Providers	(55)	(167)	(278)	0
<b>Closing Balance</b>	<b>513</b>	<b>314</b>	<b>0</b>	<b>0</b>

DOB expects to transfer HCTF funds to the General Fund to offset State costs for eligible health care transformation activities, including capital investments, debt restructuring activities, housing and other social purposes, and transitional operating support for health care facilities. The actual transfers will be reported in future Financial Plan Updates, as appropriate.

<sup>22</sup> The HCTF was created pursuant to Part FFF of Chapter 59 of the Laws of 2018 to account for receipts such as those associated with the Fidelis- Centene sale. Moneys in HCTF shall be available for transfer to any other fund of the State as authorized and directed by the Director of the Budget to support health care delivery.

## Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

ESSENTIAL PLAN (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL ALL FUNDS SPENDING</b>	<b>3,963</b>	<b>4,778</b>	<b>20.6%</b>	<b>5,047</b>	<b>5.6%</b>	<b>5,091</b>	<b>0.9%</b>	<b>5,101</b>	<b>0.2%</b>
<b>State Operating Funds</b>	<b>88</b>	<b>87</b>	<b>-1.1%</b>	<b>84</b>	<b>-3.4%</b>	<b>79</b>	<b>-6.0%</b>	<b>76</b>	<b>-3.8%</b>
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	88	87	-1.1%	84	-3.4%	79	-6.0%	76	-3.8%
<b>Federal Operating Funds</b>	<b>3,875</b>	<b>4,691</b>	<b>21.1%</b>	<b>4,963</b>	<b>5.8%</b>	<b>5,012</b>	<b>1.0%</b>	<b>5,025</b>	<b>0.3%</b>

The multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal marketplace premium index for base program expenses. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance program to be fully Federally financed.

State savings associated with the EP local assistance program are managed within the total available resources of the Medicaid Global Cap. This includes a portion of the spending associated with increasing EP enrollment, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

In FY 2018, the Trump Administration withheld CSR payments, putting low-cost health insurance coverage for income-eligible recipients at risk. However, recent actions by the Trump Administration, in response to litigation brought by the State, will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. The Financial Plan continues to reflect support for the EP program.

It is not possible at this time to assess the potential fiscal impact of long-term policies that may be adopted. The FY 2019 Enacted Budget includes authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

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## Public Health/Aging Programs

Public Health includes the CHP program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	1,668	1,684	1.0%	1,776	5.5%	1,959	10.3%	2,075	5.9%
<b>Public Health</b>	1,544	1,555	0.7%	1,644	5.7%	1,822	10.8%	1,933	6.1%
Child Health Plus	291	364	25.1%	416	14.3%	609	46.4%	716	17.6%
General Public Health Work	195	155	-20.5%	204	31.6%	208	2.0%	212	1.9%
EPIC	126	128	1.6%	131	2.3%	128	-2.3%	128	0.0%
Early Intervention	175	173	-1.1%	173	0.0%	165	-4.6%	165	0.0%
HCRA Program	388	367	-5.4%	394	7.4%	384	-2.5%	384	0.0%
All Other	369	368	-0.3%	326	-11.4%	328	0.6%	328	0.0%
<b>Aging</b>	124	129	4.0%	132	2.3%	137	3.8%	142	3.6%

Declining spending for HCRA and other public health programs is partly due to the decision to fund a greater portion of the Roswell Park Cancer Institute (RPCI) with Capital Project Fund dollars.

The FY 2019 Enacted Budget included a one-time recoupment of ineligible GPHW claims paid during program years 2015 and 2016. Effective November 2018, these savings will be offset by the current year rebasing of FY 2019 costs to accelerate reimbursements to counties and NYC. Increased CHP spending over the multi-year financial plan reflects substantiated claims that enrollment continues to increase at a strong pace. A one-time claims correction will increase State costs by \$50 million in FY 2019. The increase in FY 2020 reflects the September 2019 phase down of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support and increased enrollment.



## State Financial Plan Projections Fiscal Years 2019 Through 2022

The Enacted Budget included SOFA savings realized by eliminating the planned 1.9 percent increase in the Human Services Cost of Living increase, resulting in \$4 million in annual savings for FY 2019 through FY 2022. These savings are offset by the rebasing of annual program costs for the Naturally Occurring Retirement Community (NORC) and Community Services for the Elderly (CSE) for \$6 million effective FY 2020.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA FINANCIAL PLAN FY 2018 THROUGH FY 2022					
(millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	Results	Updated	Projected	Projected	Projected
<b>OPENING BALANCE</b>	12	15	0	0	0
<b>TOTAL RECEIPTS</b>	<b>5,873</b>	<b>5,872</b>	<b>5,958</b>	<b>5,995</b>	<b>6,037</b>
Surcharges	3,407	3,470	3,597	3,735	3,810
Covered Lives Assessment	1,103	1,110	1,110	1,045	1,045
Cigarette Tax Revenue	829	791	752	716	683
Hospital Assessments	437	424	424	424	424
NYC Cigarette Tax Transfer/Other	97	77	75	75	75
<b>TOTAL DISBURSEMENTS AND TRANSFERS</b>	<b>5,870</b>	<b>5,887</b>	<b>5,958</b>	<b>5,995</b>	<b>6,037</b>
Medicaid Assistance Account <sup>1</sup>	<u>3,966</u>	<u>3,871</u>	<u>3,857</u>	<u>3,782</u>	<u>3,732</u>
Medicaid Costs	3,769	3,674	3,660	3,585	3,535
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	922	892	892	892	892
HCRA Program Account	394	375	402	392	392
Child Health Plus	295	375	429	625	734
Elderly Pharmaceutical Insurance Coverage	137	140	142	140	139
SHIN-NY/APCD	17	40	40	0	0
All Other	139	194	196	164	148
<b>ANNUAL OPERATING SURPLUS/(DEFICIT)</b>	<b>3</b>	<b>(15)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>CLOSING BALANCE</b>	<b>15</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

<sup>1</sup> NYSOH spending will be financed with available HCRA resources through the Medicaid program.





## State Financial Plan Projections Fiscal Years 2019 Through 2022

Total HCRA receipts are forecasted to remain stable in total over the multi-year plan. On a multi-year basis, projected increases in surcharges are partly offset by declines in estimated covered lives assessments and cigarette tax collections, attributable to lower consumption.

Total HCRA disbursements are commensurate with the multi-year revenue forecast. The Financial Plan reflects increased FY 2019 HCRA funding for the Diversity in Medicine program, Rural Health Access initiative, and the Rural Health Network Development programs. The Diversity in Medicine program works to help address the gap in physician diversity through outreach and scholarships. The Rural Health Access and Rural Health Development programs provide support and grants to rural healthcare systems to promote more effective delivery through planning, coordination, development, implementation and operation of local networks.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Mental Hygiene

The Department of Mental Hygiene is comprised of the Office for People with Developmental Disabilities (OPWDD), Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

<b>MENTAL HYGIENE</b> (millions of dollars)									
	<b>FY 2018</b>	<b>FY 2019</b>		<b>FY 2020</b>		<b>FY 2021</b>		<b>FY 2022</b>	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,350</b>	<b>2,181</b>	<b>-7.2%</b>	<b>2,609</b>	<b>19.6%</b>	<b>3,127</b>	<b>19.9%</b>	<b>3,117</b>	<b>-0.3%</b>
<b>People with Developmental Disabilities</b>	<b>2,115</b>	<b>2,183</b>	<b>3.2%</b>	<b>2,366</b>	<b>8.4%</b>	<b>2,668</b>	<b>12.8%</b>	<b>2,855</b>	<b>7.0%</b>
Residential Services	1,299	1,367	5.2%	1,454	6.4%	1,595	9.7%	1,708	7.1%
Day Programs	609	641	5.3%	682	6.4%	748	9.7%	801	7.1%
Clinic	19	20	5.3%	21	5.0%	23	9.5%	25	8.7%
All Other Services (Net)	188	155	-17.6%	209	34.8%	302	44.5%	321	6.3%
<b>Mental Health</b>	<b>1,181</b>	<b>1,296</b>	<b>9.7%</b>	<b>1,408</b>	<b>8.6%</b>	<b>1,499</b>	<b>6.5%</b>	<b>1,566</b>	<b>4.5%</b>
Adult Local Services	939	1,022	8.8%	1,116	9.2%	1,191	6.7%	1,246	4.6%
Children Local Services	242	274	13.2%	292	6.6%	308	5.5%	320	3.9%
<b>Alcohol and Substance Abuse</b>	<b>322</b>	<b>354</b>	<b>9.9%</b>	<b>381</b>	<b>7.6%</b>	<b>398</b>	<b>4.5%</b>	<b>413</b>	<b>3.8%</b>
Outpatient/Methadone	117	129	10.3%	136	5.4%	141	3.7%	145	2.8%
Residential	128	145	13.3%	156	7.6%	169	8.3%	177	4.7%
Prevention and Program Support	68	72	5.9%	82	13.9%	82	0.0%	85	3.7%
Crisis	9	8	-11.1%	7	-12.5%	6	-14.3%	6	0.0%
<b>Justice Center</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>
<b>SUBTOTAL BEFORE ADJUSTMENTS</b>	<b>3,619</b>	<b>3,834</b>	<b>5.9%</b>	<b>4,156</b>	<b>8.4%</b>	<b>4,566</b>	<b>9.9%</b>	<b>4,835</b>	<b>5.9%</b>
<b>Global Cap Adjustment</b>	<b>(1,269)</b>	<b>(1,653)</b>	<b>-30.3%</b>	<b>(1,547)</b>	<b>6.4%</b>	<b>(1,439)</b>	<b>7.0%</b>	<b>(1,718)</b>	<b>-19.4%</b>



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Local assistance spending accounts for approximately 44 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 7.5 percent in the outyears. The main factors driving this level of growth are: enhancements in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.

The Financial Plan includes an approximately \$215 million or 5.9 percent increase in local assistance funding for the mental hygiene agencies. This increase is largely related to \$132 million used to support a 6.5 percent increase for direct care professionals and a 3.25 percent increase for clinical staff employed by not-for-profit organizations delivering services on behalf of OPWDD, OMH and OASAS. Additionally, \$44 million will be used to support the minimum wage and related fringe benefit increases associated with the movement to a \$15 an hour living wage. Other increases include community investments, new service investments in the OPWDD system, and funding in OASAS to combat the heroin and opioid crisis.

In addition to investments in salaries for the not-for-profit workforce, there is a \$60 million annualized State-share investment in new OPWDD program services. Partly offsetting the cost of these investments are savings associated with ongoing service delivery transformation and efforts to ensure the efficient use of State resources.

The Financial Plan reflects the continued expansion of community-based services and provides \$10 million in enhanced support for existing OMH housing programs. The Financial Plan also reflects continued support for OASAS program expansion begun in FY 2018 to address the opioid crisis. These include increased Residential Treatment capacity, expansion of outpatient Opioid Treatment Programs, Family Support Navigators, Certified Peer Recovery Advocates, Jail based substance abuse disorder programs, and other evidence-based programs.

The additional funding increase is offset by technical adjustments to the Medicaid Global Cap, as a greater share of OPWDD-related spending will be financed from Global Cap resources. These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

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## Social Services

### Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,229</b>	<b>1,280</b>	<b>4.1%</b>	<b>1,357</b>	<b>6.0%</b>	<b>1,360</b>	<b>0.2%</b>	<b>1,364</b>	<b>0.3%</b>
SSI	649	661	1.8%	663	0.3%	667	0.6%	667	0.0%
Public Assistance Benefits	475	506	6.5%	545	7.7%	541	-0.7%	541	0.0%
Public Assistance Initiatives	13	11	-15.4%	33	200.0%	33	0.0%	33	0.0%
All Other	92	102	10.9%	116	13.7%	119	2.6%	123	3.4%

DOB’s caseload models project a total of 543,894 public assistance recipients in FY 2019. Approximately 214,749 families are expected to receive benefits through the Family Assistance program in FY 2019, a decrease of 1.4 percent from FY 2018. The Safety Net caseload for families is projected at 119,575 in FY 2019, a decrease of 1.1 percent from FY 2018. The caseload for single adults/childless couples supported through the Safety Net program is projected at 209,570 in FY 2019, an increase of 1.3 percent from FY 2018.

SSI spending is projected to increase gradually over the course of the multi-year Financial Plan as caseload is expected to grow. Public assistance benefits will increase in FY 2019 and FY 2020 due to a variety of factors including the expansion of NYC HIV/AIDS Services Administration (HASA) benefits to public assistance recipients living in NYC and increased costs associated with litigation proceedings that will increase Safety Net Assistance expenditures. Other spending growth includes increased spending on homeless services and prevention, the Response to Human Trafficking program, and a new program intended to prevent unaccompanied refugee children from joining the MS-13 gang on Long Island.



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## Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,608</b>	<b>1,691</b>	<b>5.2%</b>	<b>1,673</b>	<b>-1.1%</b>	<b>1,691</b>	<b>1.1%</b>	<b>1,726</b>	<b>2.1%</b>
Child Welfare Service	509	506	-0.6%	491	-3.0%	501	2.0%	501	0.0%
Foster Care Block Grant	384	384	0.0%	389	1.3%	393	1.0%	403	2.5%
Adoption	148	148	0.0%	155	4.7%	157	1.3%	157	0.0%
Child Care	203	290	42.9%	270	-6.9%	271	0.4%	271	0.0%
Youth Programs	105	90	-14.3%	99	10.0%	92	-7.1%	92	0.0%
Medicaid	77	85	10.4%	91	7.1%	95	4.4%	99	4.2%
Adult Protective/Domestic Violence	53	45	-15.1%	48	6.7%	51	6.3%	54	5.9%
Committees on Special Education	37	22	-40.5%	24	9.1%	26	8.3%	28	7.7%
All Other	92	121	31.5%	106	-12.4%	105	-0.9%	121	15.2%

OCFS State Operating Funds spending is projected to increase from FY 2018 to FY 2019 due to several factors, including restoring funding for child care subsidies to FY 2017 levels, costs associated with the regionally-based, multi-year increase in the statewide minimum wage, and legislative spending additions to fund a range of OCFS programs. These increases are partially offset by savings actions allowing the expiration of provisions authorizing State reimbursement to NYC for Close to Home costs, which provide juvenile justice services to NYC youth classified by the court system as juvenile delinquents, and the elimination of the human services COLA in FY 2019.

Increased spending in the outyears is primarily due to implementation of the “Raise the Age” initiative, which will increase the age of criminal responsibility from 16 to 18, and planned increases consistent with anticipated program growth for a number of initiatives. These increases are partly offset by a reduction in Detention spending to date.

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## Transportation

In FY 2019, the State expects to provide almost \$5.4 billion in operating aid to mass transit systems, including over \$1.4 billion in off-budget aid to the MTA (not included in the table below). This aid is funded mainly from various dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of the mass transit aid - totaling \$4.8 billion in FY 2019.

The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

TRANSPORTATION (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE OPERATING FUNDS SUPPORT</b>	<b>5,025</b>	<b>3,936</b>	<b>-21.7%</b>	<b>3,617</b>	<b>-8.1%</b>	<b>3,664</b>	<b>1.3%</b>	<b>3,795</b>	<b>3.6%</b>
Mass Transit Operating Aid:	<u>2,283</u>	<u>2,324</u>	<u>1.8%</u>	<u>2,326</u>	<u>0.1%</u>	<u>2,326</u>	<u>0.0%</u>	<u>2,326</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,152	2,188	1.7%	2,190	0.1%	2,190	0.0%	2,190	0.0%
Public Transit Aid	87	92	5.7%	92	0.0%	92	0.0%	92	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	1,709	378	-77.9%	242	-36.0%	242	0.0%	242	0.0%
MTA Aid Trust	283	292	3.2%	296	1.4%	292	-1.4%	294	0.7%
Dedicated Mass Transit	678	683	0.7%	696	1.9%	746	7.2%	876	17.4%
AMTAP	70	260	271.4%	57	-78.1%	57	0.0%	57	0.0%
All Other	2	(1)	-150.0%	0	100.0%	1	0.0%	0	-100.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year that reflect the most recent revenue forecast assumptions.

The FY 2019 Enacted Budget includes legislation directing the Mobility Tax collections to be remitted directly to the MTA. This will eliminate the pass through of this tax and ensure more timely receipt by the MTA, which provides a one-time benefit of \$60 million for the MTA. Beginning in FY 2019, the Financial Plan will no longer include new Mobility Tax receipts or associated local assistance payments. Combining on-budget (\$402 million) and off-budget (\$1.4 billion) spending, the MTA will receive over \$1.8 billion of Mobility Tax resources in FY 2019.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>722</b>	<b>724</b>	<b>0.3%</b>	<b>731</b>	<b>1.0%</b>	<b>763</b>	<b>4.4%</b>	<b>763</b>	<b>0.0%</b>
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	7	9	28.6%	16	77.8%	48	200.0%	48	0.0%

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to grow modestly from FY 2019 to FY 2022, due to revisions in the timing of spending, including potential awards from the Financial Restructuring Board (FRB) for Local Governments. Based on current outstanding awards and reimbursement amounts from the FRB and lower than expected spending on efficiency grants, the State anticipates that spending on Municipality Restructuring/Efficiency will be \$24 million lower than previously planned for FY 2020. In addition, \$8 million of General Fund spending is shifted to capital funds.

## Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigation. Certain agency operating costs of DOT and DMV (adjusted for the reclassification discussed above) are included in Capital Projects Funds and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 93 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.



FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2018	FY 2019	Forecast		
	Results	Updated	Projected	Projected	Projected
Negotiated Base Salary Increases <sup>1</sup>					
UUP	2%	2%	2%	2%	2%
NYSPBA	2%	2%	2%	2%	2%
CSEA/DC-37 (Rent Regulation Unit)	2%	2%	2%	2%	TBD
PEF/GSEU/MC	2%	2%	TBD	TBD	TBD
NYSPIA <sup>2</sup>	1.5%	TBD	TBD	TBD	TBD
Council 82/NYSCOPBA/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce <sup>3</sup>	117,397	118,868	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization <sup>4</sup>	16.2%	15.7%	15.9%	17.2%	18.6%
After Amortization <sup>5</sup>	20.0%	19.4%	19.6%	20.8%	21.8%
PFRS Contribution Rate					
Before Amortization <sup>4</sup>	25.1%	24.1%	24.0%	25.1%	26.2%
After Amortization <sup>5</sup>	28.1%	26.9%	27.4%	28.4%	29.4%
Employee/Retiree Health Insurance Growth Rates	7.0%	7.9%	6.9%	6.6%	6.6%
PS/Fringe as % of Receipts (All Funds Basis)	13.1%	13.5%	13.5%	14.0%	13.8%

<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

<sup>2</sup> Contracts contain "reopener" language which allows these unions to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018.

<sup>3</sup> Reflects workforce that is subject to direct Executive control.

<sup>4</sup> Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GUP), and Chapter 41 of 2016 veterans' pension credit legislation.

<sup>5</sup> After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$18.8 billion in FY 2018 to \$20.4 billion in FY 2022. Most Executive agencies are expected to hold spending at FY 2018 levels. In addition to the DOT/DMV operations classification discussed previously, increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, anticipated labor agreements, growth in SUNY operating costs including costs of the recently settled UUP contract, and an additional administrative payroll in FY 2021.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	Results	Updated	Projected	Projected	Projected
<b>SUBJECT TO DIRECT EXECUTIVE CONTROL</b>	<b>10,004</b>	<b>10,232</b>	<b>10,448</b>	<b>10,807</b>	<b>10,840</b>
Mental Hygiene	2,804	2,792	2,820	2,896	2,945
Corrections and Community Supervision	2,628	2,606	2,619	2,624	2,616
State Police	742	731	725	751	766
Information Technology Services <sup>1</sup>	536	545	540	551	557
Medicaid Admin/EP	385	419	400	389	387
Public Health	356	393	389	395	394
Tax and Finance	321	332	331	341	330
Children and Family Services	242	289	376	443	446
Environmental Conservation	213	208	205	216	210
Financial Services	209	209	213	219	213
Parks, Recreation and Historic Preservation	169	173	171	178	173
Workers' Compensation Board	141	143	145	151	146
Temporary and Disability Assistance	123	127	133	138	141
General Services	144	137	133	132	130
Gaming	95	81	81	82	81
Potential and Settled Labor Agreements <sup>2</sup>	495	626	741	856	856
Agency Financial Management Plan	(500)	(500)	(500)	(500)	(500)
All Other	901	921	926	945	949
<b>DOT/DMV Operations Reclassification</b>	<b>0</b>	<b>282</b>	<b>332</b>	<b>337</b>	<b>343</b>
<b>UNIVERSITY SYSTEMS</b>	<b>6,183</b>	<b>6,295</b>	<b>6,359</b>	<b>6,518</b>	<b>6,481</b>
State University	6,080	6,200	6,262	6,421	6,382
City University	103	95	97	97	99
<b>INDEPENDENT AGENCIES</b>	<b>330</b>	<b>333</b>	<b>336</b>	<b>350</b>	<b>338</b>
Law	183	179	182	189	183
Audit & Control (OSC)	147	154	154	161	155
<b>TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE</b>	<b>16,517</b>	<b>17,142</b>	<b>17,475</b>	<b>18,012</b>	<b>18,002</b>
Judiciary	2,081	2,133	2,121	2,178	2,121
Legislature	223	230	234	239	244
<b>Statewide Total</b>	<b>18,821</b>	<b>19,505</b>	<b>19,830</b>	<b>20,429</b>	<b>20,367</b>
Personal Service	13,170	13,716	13,990	14,547	14,393
Non-Personal Service	5,651	5,789	5,840	5,882	5,974

<sup>1</sup> Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

<sup>2</sup> Includes the estimated potential and settled cost for executive agencies.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

The most significant changes to spending for agency operations include:

- **Mental Hygiene.** As a result of the Mental Hygiene Fund reclassification, spending will decline in FY 2019 due to the elimination of indirect costs previously charged to the Special Revenue Fund. Cost increases in the out years are driven by operating expenses at the institutional facilities and personal service costs for direct care professionals and clinical staff delivering services on behalf the OPWDD, OMH and OASAS.
- **State Police.** The modest decline in spending reflects the reclassification of certain personal service spending, offset by the full year cost of recruit classes and added resources to combat MS-13 gang activities.
- **Medicaid Admin/EP.** Increased spending in FY 2019 is mainly attributable to higher costs associated with NYSOH enrollment trends partially offset by lower than anticipated operating expenses including contract costs.
- **Public Health.** Higher spending in outyears is due to certification and surveillance activities, additional funding to support stem cell research, and higher operating costs for NYSOH.
- **Children and Family Services.** Increases reflect additional funding in OCFS to support raising the age of criminal responsibility from 16 to 18 by October 1, 2019.
- **Gaming.** Decreases reflect a change in the accounting structure related to advertising costs, so that direct payments are made to the vendors from a third party instead of through the Gaming Commission.
- **Potential and Settled Labor Agreements.** Spending reflects negotiated settlement agreements with PEF, which have been extended to unrepresented M/C employees, the five-year settlement with CSEA, DC-37 (Rent Regulation Unit), and parity, with remaining unsettled unions assumed to follow the PEF model.
- **Agency Financial Management Plans.** All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018.
- **DOT/DMV Operations Reclassification.** Reflects the reclassification of certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities from the DHBTF to the General Fund.
- **SUNY.** Higher spending reflects the cost of the UUP salary contract ratified by members in September 2019, partially offset by revisions to agency operations spending based on a review of operating results to date.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Workforce

In FY 2019, \$13.7 billion or 13.7 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 97,500 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (46,038) and Independent Agencies (18,176); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in the mental hygiene agencies and Department of Corrections and Community Supervision (DOCCS).

STATE OPERATING FUNDS		
FY 2019 FTEs <sup>1</sup> AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
<b>Subject to Direct Executive Control</b>	<b>7,434</b>	<b>94,741</b>
Mental Hygiene Agencies	2,318	32,334
Corrections and Community Supervision	2,043	27,238
State Police	669	5,666
Information Technology Services	286	3,401
Health	280	3,974
Tax and Finance	264	3,977
Children and Family Services	192	2,406
Environmental Conservation	170	2,124
Financial Services	157	1,382
Parks, Recreation and Historic Preservation	134	1,304
Education	88	1,263
Workers' Compensation Board	85	1,110
Temporary and Disability Assistance	68	1,019
General Services	48	832
All Other	632	6,711
<b>DOT/DMV Operations Reclassification</b>	<b>167</b>	<b>2,712</b>
<b>University Systems</b>	<b>4,022</b>	<b>46,038</b>
State University	3,974	45,655
City University <sup>2</sup>	48	383
<b>Independent Agencies</b>	<b>2,093</b>	<b>18,176</b>
Law	126	1,583
Audit & Control (OSC)	123	1,527
Judiciary	1,668	15,064
Legislature <sup>3</sup>	176	2
<b>Total</b>	<b>13,716</b>	<b>161,667</b>

<sup>1</sup> FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

<sup>2</sup> CUNY employees are funded primarily through an agency trust fund that supports an additional 13,166 FTEs, which are excluded from this table.

<sup>3</sup> Legislative employees are nonannual salaried and are excluded from this table.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## GSCs

The State provides a variety of fringe benefits to its current and former employees, including health insurance, pensions, the Social Security payroll tax, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pay for certain statewide fixed costs, including taxes on State-owned lands, payments in lieu of taxes (for the City of Albany) and judgments / settlements awarded in the Court of Claims. Many of these payments are mandated by statute or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments. Commencing in FY 2019, there are two fund reclassifications that will impact fringe benefit collections from other agencies. First, the mental hygiene spending in the Mental Hygiene Program Fund and the Patient Income Fund (\$1.4 billion) will be accounted for in the General Fund. Similarly, DOT and DMV spending related to snow and ice removal, bus, truck and rail inspection and regulatory activities in the DHBTF will be accounted for in the General Fund (\$107 million).

GSC spending is projected to increase at an average annual rate of 6.1 percent over the multi-year Financial Plan period. This growth is primarily attributable to the health insurance, pensions, and workers' compensation programs. Growth is offset by reductions in other fringe benefits and fixed costs.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



Growth in the health insurance program of \$242 million (6.1 percent) is reflective of medical inflation at stable enrollment levels. Workers' compensation costs are increasing by \$174 million in FY 2019 primarily due to underlying growth in the average weekly wage used in benefit calculations and medical costs (\$69 million / 13.4 percent), as well as a reduction in the use of offsetting reserve funds (\$105 million). Overall pension costs are projected to increase by a modest amount due to improved investment returns and ongoing savings from the Tier 5 and Tier 6 pension reforms. Social Security spending is also relatively stable due to steady workforce levels.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2018 Results	FY 2019 Updated	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>7,853</b>	<b>8,410</b>	<b>7.1%</b>	<b>8,814</b>	<b>4.8%</b>	<b>9,396</b>	<b>6.6%</b>	<b>9,963</b>	<b>6.0%</b>
<b>Fringe Benefits</b>	<b>7,440</b>	<b>7,980</b>	<b>7.3%</b>	<b>8,378</b>	<b>5.0%</b>	<b>8,949</b>	<b>6.8%</b>	<b>9,510</b>	<b>6.3%</b>
Health Insurance	3,963	4,205	6.1%	4,361	3.7%	4,708	8.0%	5,058	7.4%
Pensions	2,442	2,417	-1.0%	2,518	4.2%	2,628	4.4%	2,822	7.4%
Social Security	1,014	1,037	2.3%	1,055	1.7%	1,092	3.5%	1,081	-1.0%
Workers' Compensation	306	480	56.9%	592	23.3%	679	14.7%	736	8.4%
Employee Benefits	92	99	7.6%	103	4.0%	108	4.9%	111	2.8%
Dental Insurance	64	60	-6.3%	61	1.7%	63	3.3%	65	3.2%
Unemployment Insurance	13	12	-7.7%	12	0.0%	12	0.0%	12	0.0%
All Other	2,359	1,118	-52.6%	1,129	1.0%	1,172	3.8%	1,169	-0.3%
Non-State Escrow	(2,813)	(1,448)	48.5%	(1,453)	-0.3%	(1,513)	-4.1%	(1,544)	-2.0%
<b>Fixed Costs</b>	<b>413</b>	<b>430</b>	<b>4.1%</b>	<b>436</b>	<b>1.4%</b>	<b>447</b>	<b>2.5%</b>	<b>453</b>	<b>1.3%</b>
Public Land Taxes/PILOTS	254	263	3.5%	269	2.3%	275	2.2%	282	2.5%
Litigation	159	167	5.0%	167	0.0%	172	3.0%	171	-0.6%

Growth in GSC spending in FY 2019 has been partly offset by gap-closing savings in ERS/PFRS. Approximately \$62 million in additional pension interest savings was achieved by paying most of the State pension bill in April 2018, rather than monthly as previously assumed. Over the multi-year Financial Plan period, outyear pension costs reflect expected investment performance, projected growth in the salary base, and assumptions about future normal and administrative costs. Pension costs also reflect repayment of prior-year amortizations, costs for Chapter 41 of 2016 (veteran's pension credit legislation), and other adjustments. Similarly, reimbursements collected from agency fringe benefit assessments have been revised based on projected personal service spending and reimbursement rates.

## Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State’s share of Medicaid costs for mental hygiene facilities (FY 2018 only), debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

<b>GENERAL FUND TRANSFERS TO OTHER FUNDS</b> (millions of dollars)					
	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>TOTAL TRANSFERS TO OTHER FUNDS</b>	<b>9,852</b>	<b>5,529</b>	<b>6,336</b>	<b>6,237</b>	<b>6,232</b>
State Share of Mental Hygiene Medicaid <sup>1</sup>	1,333	0	0	0	0
Debt Service	1,047	775	823	904	747
SUNY University Operations	1,015	1,020	1,008	1,004	1,004
Capital Projects	<b>2,191</b>	<b>2,635</b>	<b>3,425</b>	<b>3,171</b>	<b>3,182</b>
Dedicated Highway and Bridge Trust Fund	771	167	447	524	368
Dedicated Infrastructure Investment Fund	941	1,009	1,145	989	822
FY 2017 Temporary Loan to Capital Projects Fund <sup>2</sup>	(1,300)	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund <sup>2</sup>	500	(500)	0	0	0
Transfer to DIIF for Javits Expansion	164	350	320	166	0
Bond Proceeds Receipts for Javits Expansion	0	0	(500)	(500)	0
Mass Transit Capital from Settlements	67	6	6	6	0
Statewide Health Care Capital from Settlements	19	72	70	80	63
Environmental Protection Fund	28	28	28	28	28
All Other Capital	<b>1,001</b>	<b>1,503</b>	<b>1,909</b>	<b>1,878</b>	<b>1,901</b>
<b>ALL OTHER TRANSFERS</b>	<b>4,266</b>	<b>1,099</b>	<b>1,080</b>	<b>1,158</b>	<b>1,299</b>
Mental Hygiene <sup>1</sup>	3,127	0	0	0	0
Department of Transportation (MTA Payroll Tax)	268	244	244	244	244
SUNY - Medicaid Reimbursement	405	243	243	243	243
Judiciary Funds	109	110	109	110	110
Dedicated Mass Transportation Trust Fund	63	65	65	116	256
Banking Services	41	60	53	53	53
Indigent Legal Services	25	35	58	82	82
General Service Executive Direction	22	22	22	22	22
Mass Transportation Operating Assistance	21	21	21	21	21
Correctional Industries	12	21	21	21	21
Public Transportation Systems	15	16	16	16	16
Health Income Fund	15	16	16	16	16
Centralized Technology Services	9	14	11	11	11
Spinal Cord Injury	9	9	9	9	9
Medical Marijuana Fund	5	7	5	7	7
All Other	120	216	187	187	188

<sup>1</sup> The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from certain Special Revenue Funds to the General Fund, the State share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than to a Special Revenue Fund.

<sup>2</sup> Represents the temporary use of Extraordinary Monetary Settlement fund balances to pay for capital projects in the first instance. These advances will be repaid in the following year when the State reimburses the capital spending from bond proceeds.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and HUT. The Financial Plan includes transfers from the General Fund that effectively subsidize DHBTF expenses, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) routinely exceed current and projected revenue deposits and bond proceeds. This transfer is reduced in FY 2019 and in the ensuing years of the Financial Plan as certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities have been reclassified from the DHBTF to the General Fund.

General Fund transfers to other funds are expected to total \$5.5 billion in FY 2019, a \$4.3 billion decrease from FY 2018. The change is primarily driven by the consolidation of certain Mental Hygiene Special Revenue Funds into the General Fund, which eliminate the General Fund transfers for mental hygiene activity, offset by an increase in transfers appropriated to DIIF and the Capital Projects Fund in FY 2019, including temporary loans and planned repayment of funds related to debt management actions.



## Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), the payment obligation on which is subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

<b>DEBT SERVICE SPENDING PROJECTIONS</b> (millions of dollars)									
	<u>FY 2018</u> Results	<u>FY 2019</u> Updated	<u>Change</u>	<u>FY 2020</u> Projected	<u>Change</u>	<u>FY 2021</u> Projected	<u>Change</u>	<u>FY 2022</u> Projected	<u>Change</u>
General Fund	1,047	775	-26.0%	823	6.2%	904	9.8%	747	-17.4%
Other State Support	4,826	4,745	-1.7%	5,797	22.2%	6,217	7.2%	6,350	2.1%
<b>State Operating/All Funds Total</b>	<b>5,873</b>	<b>5,520</b>	<b>-6.0%</b>	<b>6,620</b>	<b>19.9%</b>	<b>7,121</b>	<b>7.6%</b>	<b>7,097</b>	<b>-0.3%</b>

Total State Operating/All Funds debt service is projected to be \$5.5 billion in FY 2019, of which \$775 million is paid from the General Fund via transfers, and \$4.7 billion is from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment in FY 2018 of \$594 million in debt service costs due in FY 2019, as well as a planned prepayment of \$145 million in FY 2019 for debt service costs due in FY 2020.



# **FY 2019 Mid-Year Operating Results**





# FY 2019 Mid-Year Operating Results

This section provides a summary of preliminary operating results for the first half of FY 2019 (April through September 2018) compared to: (a) results for the prior fiscal year (April through September 2017), (b) the “initial” projections set forth in the FY 2019 Enacted Budget Financial Plan, and (c) the most recent “updated” projections set forth in the FY 2019 First Quarterly Financial Plan Update. The summary of spending results compared to estimates is focused on the State Operating Funds basis, which is the 2 percent growth benchmark measure.

## Summary of General Fund Operating Results

Monetary settlements continue to affect the State’s cash position. The table below summarizes the variances from the initial and updated estimates, excluding monetary settlements. The discussion of balances, receipts, and disbursements that follows also excludes the receipts and use of monetary settlements, unless otherwise noted.

FY 2019 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2019 INITIAL AND UPDATED BUDGETS					
(millions of dollars)					
	Initial Estimates	Updated Estimates	Results	Variance Above / (Below)	
				Initial	Updated
<b>Opening Fund Balance (Excl. Extr. Monetary Settlements)</b>	<b>4,425</b>	<b>4,425</b>	<b>4,425</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>35,606</b>	<b>35,708</b>	<b>35,496</b>	<b>(110)</b>	<b>(212)</b>
Taxes	34,066	34,156	33,985	(81)	(171)
Non-Tax Receipts/Transfers <sup>1</sup>	1,540	1,552	1,511	(29)	(41)
<b>Total Disbursements</b>	<b>38,362</b>	<b>37,821</b>	<b>37,945</b>	<b>(417)</b>	<b>124</b>
Local Assistance	24,130	23,847	24,281	151	434
Agency Operations	10,590	10,397	10,252	(338)	(145)
Transfers to Other Funds <sup>2</sup>	3,642	3,577	3,412	(230)	(165)
<b>Net Change in Operations</b>	<b>(2,756)</b>	<b>(2,113)</b>	<b>(2,449)</b>	<b>307</b>	<b>(336)</b>
<b>Closing Fund Balance (Excl. Extr. Monetary Settlements)</b>	<b>1,669</b>	<b>2,312</b>	<b>1,976</b>	<b>307</b>	<b>(336)</b>
<b>Extraordinary Monetary Settlements</b>					
Opening Balance	5,020	5,020	5,020	0	0
Settlements Received/Expected <sup>3</sup>	183	478	478	295	0
Transfers/Uses	(954)	(1,139)	(1,023)	(69)	116
<b>Closing Balance</b>	<b>4,249</b>	<b>4,359</b>	<b>4,475</b>	<b>226</b>	<b>116</b>
<b>Closing Fund Balance (Incl. Extr. Monetary Settlements)</b>	<b>5,918</b>	<b>6,671</b>	<b>6,451</b>	<b>533</b>	<b>(220)</b>

<sup>1</sup> Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.

<sup>2</sup> Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).

<sup>3</sup> Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

# FY 2019 Mid-Year Operating Results



## Results Compared to Enacted and Updated Estimates

The General Fund closing balance at the end of September was \$6.5 billion (\$533 million above the initial estimate and \$220 million lower than the updated estimate).

Tax receipts were stronger than expected for withholding and tax year (TY) 2018 current estimated payments. However, timing-related refund payments more than offset the positive variance through September.

Higher local assistance spending was mainly due to Medicaid, partly offset by lower spending for Child Welfare Services and lower spending on education. Operational spending and transfers fell below estimates. Nearly all variances are timing-related and expected to resolve in future months.

## Extraordinary Monetary Settlements

The State ended September 2018 with a balance of \$4.5 billion in monetary settlement funds held in the General Fund. The higher than planned balance includes receipt of unplanned settlement moneys reflected in the FY 2019 First Quarterly Update and slower than expected uses of the moneys.

The table below summarizes the variances from the initial and updated estimates, including monetary settlements.

GENERAL FUND OPERATING RESULTS APRIL 2018 THROUGH SEPTEMBER 2018 (millions of dollars)							
	Initial Plan	Updated Plan	Results	Above/(Below) Variance			
				Initial Plan		Updated Plan	
				\$	%	\$	%
<b>OPENING BALANCE</b>	9,445	9,445	9,445	0	0.0%	0	0.0%
<b>Total Receipts</b>	35,714	36,111	35,899	185	0.5%	(212)	-0.6%
Taxes:	34,066	34,156	33,985	(81)	-0.2%	(171)	-0.5%
Personal Income Tax <sup>1</sup>	23,523	23,527	23,458	(65)	-0.3%	(69)	-0.3%
Consumption / Use Taxes <sup>1</sup>	6,971	7,019	7,017	46	0.7%	(2)	0.0%
Business Taxes	2,514	2,587	2,490	(24)	-1.0%	(97)	-3.7%
Other Taxes <sup>1</sup>	1,058	1,023	1,020	(38)	-3.6%	(3)	-0.3%
Receipts and Grants	919	1,319	1,297	378	41.1%	(22)	-1.7%
Transfers From Other Funds	729	636	617	(112)	-15.4%	(19)	-3.0%
<b>Total Spending</b>	39,241	38,885	38,893	(348)	-0.9%	8	0.0%
Local Assistance	24,130	23,847	24,281	151	0.6%	434	1.8%
Agency Operations (including GSCs)	10,590	10,397	10,252	(338)	-3.2%	(145)	-1.4%
Transfers to Other Funds	4,521	4,641	4,360	(161)	-3.6%	(281)	-6.1%
Debt Service Transfer	336	332	310	(26)	-7.7%	(22)	-6.6%
Capital Projects Transfer	2,906	2,980	2,749	(157)	-5.4%	(231)	-7.8%
SUNY Operations Transfer	842	836	838	(4)	-0.5%	2	0.2%
All Other Transfers	437	493	463	26	5.9%	(30)	-6.1%
<b>Change in Operations</b>	(3,527)	(2,774)	(2,994)	533	15.1%	(220)	-7.9%
<b>CLOSING BALANCE</b>	5,918	6,671	6,451	533	9.0%	(220)	-3.3%

<sup>1</sup> Includes transfers from other funds after debt service.

## All Governmental Funds -- Results Compared to Prior Year

All Funds receipts through September 2018 were \$4.5 billion higher than the same prior year period, comprised of increased receipts in all major categories. Spending also increased for all major funds with the largest growth seen in Medicaid, Capital and Federal spending.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
APRIL THROUGH SEPTEMBER				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2018	FY 2019	\$	%
<b>OPENING BALANCE</b>	<b>11,105</b>	<b>12,749</b>	<b>1,644</b>	<b>14.8%</b>
<b>ALL FUNDS RECEIPTS:</b>	<b>76,561</b>	<b>81,083</b>	<b>4,522</b>	<b>5.9%</b>
<b>Total Taxes</b>	<b>36,087</b>	<b>37,292</b>	<b>1,205</b>	<b>3.3%</b>
Personal Income Tax	22,175	23,662	1,487	6.7%
All Other Taxes	13,912	13,630	(282)	-2.0%
<b>Miscellaneous Receipts</b>	<b>12,438</b>	<b>12,896</b>	<b>458</b>	<b>3.7%</b>
<b>Federal Grants</b>	<b>28,036</b>	<b>30,895</b>	<b>2,859</b>	<b>10.2%</b>
<b>Bond &amp; Note Proceeds</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>ALL FUNDS DISBURSEMENTS:</b>	<b>77,752</b>	<b>82,451</b>	<b>4,699</b>	<b>6.0%</b>
<b>STATE OPERATING FUNDS</b>	<b>46,494</b>	<b>47,663</b>	<b>1,169</b>	<b>2.5%</b>
<b>Local Assistance</b>	<b>30,608</b>	<b>31,828</b>	<b>1,220</b>	<b>4.0%</b>
School Aid	10,981	11,250	269	2.4%
DOH Medicaid (incl. admin and EP)	10,491	11,812	1,321	12.6%
All Other	9,136	8,766	(370)	-4.0%
<b>State Operations</b>	<b>14,331</b>	<b>14,526</b>	<b>195</b>	<b>1.4%</b>
<b>Agency Operations</b>	<b>9,309</b>	<b>9,476</b>	<b>167</b>	<b>1.8%</b>
Executive Agencies	4,993	5,118	125	2.5%
University Systems	3,021	2,979	(42)	-1.4%
Elected Officials	1,295	1,379	84	6.5%
<b>Fringe Benefits/Fixed Costs</b>	<b>5,022</b>	<b>5,050</b>	<b>28</b>	<b>0.6%</b>
Pension Contribution	2,303	2,276	(27)	-1.2%
Health Insurance	1,941	2,098	157	8.1%
Other Fringe Benefits/Fixed Costs	778	676	(102)	-13.1%
<b>Debt Service</b>	<b>1,555</b>	<b>1,309</b>	<b>(246)</b>	<b>-15.8%</b>
<b>Capital Projects</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>CAPITAL PROJECTS (State and Federal Funds)</b>	<b>4,844</b>	<b>5,665</b>	<b>821</b>	<b>16.9%</b>
<b>FEDERAL OPERATING AID</b>	<b>26,414</b>	<b>29,123</b>	<b>2,709</b>	<b>10.3%</b>
<b>NET OTHER FINANCING SOURCES</b>	<b>(63)</b>	<b>(47)</b>	<b>16</b>	<b>25.4%</b>
<b>CHANGE IN OPERATIONS</b>	<b>(1,254)</b>	<b>(1,415)</b>	<b>(161)</b>	<b>12.8%</b>
<b>CLOSING BALANCE</b>	<b>9,851</b>	<b>11,334</b>	<b>1,483</b>	<b>15.1%</b>

## Receipts

PIT tax receipts grew \$1.5 billion (6.7 percent) primarily due to a combination of strong growth in current estimated tax payments, moderate growth in withholding receipts, and a decline in Tax Year 2017 current refunds as the result of a nearly \$500 million year-over-year increase in the FY 2018 January to March administrative refund cap. The growth is partially offset by increased Property Tax Relief Credit payments.

The decline in all other taxes includes the direct remittance of the PMT collections to the MTA beginning in FY 2019, which previously passed through the State and was thus included in the FY 2018 receipts (\$636 million), as well as lower estate tax payments due to the receipt of three super-large extraordinary payments last year (\$173 million). The declines were partly offset by higher Consumption/User Tax collections including growth in sales tax (\$435 million) and corporate franchise tax receipts (\$110 million).

Miscellaneous receipts were \$458 million (3.7 percent) higher in the current year mainly due to the receipt of a \$1 billion payment from Fidelis Care pursuant to the sale of substantially all its assets to Centene Corporation; higher HCRA receipts (\$137 million); and revenues deposited into the newly created Charitable Gifts Trust Fund (\$93 million). Lower bond proceed reimbursements (\$754 million), primarily associated with SUNY and Environmental Conservation, partly offset the higher receipts.

Federal grants were \$2.9 billion higher, and follow the increase in Federal operating aid disbursements described below.

## Spending

State Operating Funds spending totaled \$47.7 billion, an increase of \$1.2 billion (2.5 percent) compared to the prior year. Growth in Medicaid (\$1.3 billion) and School Aid (\$269 million) was partially offset by lower aggregate spending in all other local assistance programs (\$370 million).



Increased Medicaid spending was largely driven by:

- Increased claiming for monthly managed care and managed long-term care payments related to rate package changes that were expected to be processed later in this fiscal year (\$645 million);
- Higher State reimbursements due to Federal Part D Clawback (\$135 million);
- Timing of various program costs, including Supplemental Medical Insurance (\$88 million), Managed Long-Term Care Quality Pool Awards (\$62 million), Voluntary UPL (\$48 million), reimbursements to local governments for FMAP savings reconciliations (\$90 million), Community First Choice (\$139 million) and other offline payments such as Advanced Training Initiative and CINERGY; and
- Underspending in the Essential Plan (EP) (\$82 million) associated with the timing of Federal receipts.

The increase in School Aid spending was largely due to timing of SUFPK aid payments to New York City (\$222 million) and an increase in General Aid payments (\$182 million), partially offset by lower spending for Teachers' Retirement (\$83 million) and Excess Cost Aid (\$58 million).

The decline in all other local assistance spending is primarily attributable to direct flow of the Payroll Mobility Tax (PMT) collections to the MTA, which was previously passed through the State and included in the FY 2018 results (\$584 million). This decline is partially offset by increased spending for higher education driven by TAP (\$139 million) and CUNY Senior Colleges (\$50 million).

Executive agency operational spending growth was largely attributable to increased spending for transportation (\$84 million), which includes certain operating costs that were moved from the DHBTf to the General Fund beginning in the current year. Operating spending for Elected Officials increased due to Judiciary personal service costs associated with collectively bargained retro-active payments (\$65 million).

Higher fringe benefits spending (\$28 million) includes planned cost increases for the State's share of employee health insurance and Workers' Compensation payments, offset by lower pension costs and other timing-related transactional adjustments.

Lower Debt Service spending (\$246 million) is mainly due to prepayment of FY 2019 obligations at the end of FY 2018.

Capital Projects Fund spending increased by \$821 million primarily due to expenses for the MTA (\$423 million), the Jacob Javits Convention center (\$151 million), and faster than anticipated spending on the Housing Capital Plan (\$85 million).

Growth in Federal operating aid spending (\$2.7 billion) was driven by increases in several programs including:

- Medicaid (\$1.4 billion higher), reflecting increased claiming and payments for Quality Pool/ Quality Incentive Vital Access Provider Pool (QIVAPP).
- Social Services (\$774 million higher), primarily attributable to timing of payments related to Child Care (\$425 million) and the Flexible Federal Fund for Family Services (\$345 million) programs relative to the same period in the prior year.
- School Aid (\$363 million higher), driven by increases in Title I School Grants, Title II Teacher Quality Improvement Grants and School Lunch Act Grants.
- EP (\$265 million higher), due to enrollment growth.
- Public Health (\$219 million lower), driven by the Child Health Plus (CHP) program as result of a large Federal retro payment in the prior year.



# FY 2019 Mid-Year Operating Results

## All Governmental Funds Results -- Results Compared to Plan

The State ended September 2018 with an All Funds closing balance of \$11.3 billion, higher than both estimates (\$2.2 billion above the initial estimate and \$867 million higher than the updated estimate).

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN APRIL 2018 THROUGH SEPTEMBER 2018 (millions of dollars)							
	Initial Plan	Updated Plan	Results	Above/(Below)			
				Initial Plan		Updated Plan	
				\$	%	\$	%
<b>OPENING BALANCE</b>	<b>12,749</b>	<b>12,749</b>	<b>12,749</b>	<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0.0%</b>
<b>ALL FUNDS RECEIPTS:</b>	<b>78,338</b>	<b>79,161</b>	<b>81,083</b>	<b>2,745</b>	<b>3.5%</b>	<b>1,922</b>	<b>2.4%</b>
<b>Total Taxes</b>	<b>37,313</b>	<b>37,474</b>	<b>37,292</b>	<b>(21)</b>	<b>-0.1%</b>	<b>(182)</b>	<b>-0.5%</b>
Personal Income Tax	23,747	23,749	23,662	(85)	-0.4%	(87)	-0.4%
Consumption / Use Tax	8,747	8,816	8,827	80	0.9%	11	0.1%
Business Taxes	3,693	3,809	3,705	12	0.3%	(104)	-2.7%
Other Taxes	1,126	1,100	1,098	(28)	-2.5%	(2)	-0.2%
Miscellaneous Receipts	12,570	12,974	12,896	326	2.6%	(78)	-0.6%
Federal Grants	28,455	28,713	30,895	2,440	8.6%	2,182	7.6%
<b>ALL FUNDS DISBURSEMENTS:</b>	<b>81,861</b>	<b>81,448</b>	<b>82,451</b>	<b>590</b>	<b>0.7%</b>	<b>1,003</b>	<b>1.2%</b>
<b>STATE OPERATING FUNDS</b>	<b>47,997</b>	<b>47,493</b>	<b>47,663</b>	<b>(334)</b>	<b>-0.7%</b>	<b>170</b>	<b>0.4%</b>
<b>Local Assistance</b>	<b>31,707</b>	<b>31,493</b>	<b>31,828</b>	<b>121</b>	<b>0.4%</b>	<b>335</b>	<b>1.1%</b>
School Aid	11,319	11,216	11,250	(69)	-0.6%	34	0.3%
DOH Medicaid <sup>1</sup>	11,050	10,891	11,812	762	6.9%	921	8.5%
Transportation	2,096	2,112	2,076	(20)	-1.0%	(36)	-1.7%
STAR	0	0	0	0	0.0%	0	0.0%
Social Services	1,413	1,238	1,146	(267)	-18.9%	(92)	-7.4%
Higher Education	1,674	1,785	1,728	54	3.2%	(57)	-3.2%
Mental Hygiene	1,099	1,066	1,029	(70)	-6.4%	(37)	-3.5%
All Other	3,056	3,185	2,787	(269)	-8.8%	(398)	-12.5%
<b>State Operations</b>	<b>14,948</b>	<b>14,663</b>	<b>14,526</b>	<b>(422)</b>	<b>-2.8%</b>	<b>(137)</b>	<b>-0.9%</b>
<b>Agency Operations</b>	<b>9,708</b>	<b>9,575</b>	<b>9,476</b>	<b>(232)</b>	<b>-2.4%</b>	<b>(99)</b>	<b>-1.0%</b>
Personal Service:	<b>6,854</b>	<b>6,805</b>	<b>6,811</b>	<b>(43)</b>	<b>-0.6%</b>	<b>6</b>	<b>0.1%</b>
Executive Agencies	3,865	3,779	3,790	(75)	-1.9%	11	0.3%
University Systems	1,947	1,904	1,902	(45)	-2.3%	(2)	-0.1%
Elected Officials	1,042	1,122	1,119	77	7.4%	(3)	-0.3%
Non-Personal Service:	<b>2,854</b>	<b>2,770</b>	<b>2,665</b>	<b>(189)</b>	<b>-6.6%</b>	<b>(105)</b>	<b>-3.8%</b>
Executive Agencies	1,466	1,391	1,328	(138)	-9.4%	(63)	-4.5%
University Systems	1,118	1,104	1,077	(41)	-3.7%	(27)	-2.4%
Elected Officials	270	275	260	(10)	-3.7%	(15)	-5.5%
<b>Fringe Benefits/Fixed Costs</b>	<b>5,240</b>	<b>5,088</b>	<b>5,050</b>	<b>(190)</b>	<b>-3.6%</b>	<b>(38)</b>	<b>-0.7%</b>
Pension Contribution	2,282	2,281	2,276	(6)	-0.3%	(5)	-0.2%
Health Insurance	2,120	2,105	2,098	(22)	-1.0%	(7)	-0.3%
Other Fringe Benefits/Fixed Costs	838	702	676	(162)	-19.3%	(26)	-3.7%
<b>Debt Service</b>	<b>1,342</b>	<b>1,337</b>	<b>1,309</b>	<b>(33)</b>	<b>-2.5%</b>	<b>(28)</b>	<b>-2.1%</b>
<b>CAPITAL PROJECTS (State and Federal Funds)</b>	<b>7,014</b>	<b>6,478</b>	<b>5,665</b>	<b>(1,349)</b>	<b>-19.2%</b>	<b>(813)</b>	<b>-12.6%</b>
<b>FEDERAL OPERATING AID</b>	<b>26,850</b>	<b>27,477</b>	<b>29,123</b>	<b>2,273</b>	<b>8.5%</b>	<b>1,646</b>	<b>6.0%</b>
<b>NET OTHER FINANCING SOURCES</b>	<b>(47)</b>	<b>5</b>	<b>(47)</b>	<b>-</b>	<b>0.0%</b>	<b>(52)</b>	<b>-1040.0%</b>
<b>CHANGE IN OPERATIONS</b>	<b>(3,570)</b>	<b>(2,282)</b>	<b>(1,415)</b>	<b>2,155</b>	<b>60.4%</b>	<b>867</b>	<b>38.0%</b>
<b>CLOSING BALANCE</b>	<b>9,179</b>	<b>10,467</b>	<b>11,334</b>	<b>2,155</b>	<b>23.5%</b>	<b>867</b>	<b>8.3%</b>

1. Includes the Essential Plan

## Receipts

Through September, PIT collections were lower than both forecasts due to timing-related refund payments, partly offset by stronger than expected withholding and TY 2018 current estimated payments. Consumption/Use Tax collections exceeded both forecasts due to higher sales tax base growth. Compared to the updated estimate, lower than projected business tax receipts were driven by timing of insurance tax payments.

Miscellaneous Receipts exceeded the initial projection mainly due to the receipt of unplanned monetary settlements (\$295 million). Compared to the updated plan, which included unplanned monetary settlements, miscellaneous receipts were \$78 million lower, driven by lower bond proceed reimbursements associated with transportation.

Federal grants receipts were higher than both estimates (\$2.4 billion and \$2.2 billion, respectively), driven by Federal operating aid disbursements, as well as timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

## Spending

Total State Operating Funds spending was \$334 million (0.7 percent) lower than initial estimates and \$170 million (0.4 percent) higher than updated estimates. The following discussion of the variances is focused on the comparison to Enacted estimates.

Higher local assistance spending (\$121 million) is driven mainly by the following large variances:

- Medicaid (\$762 million higher) includes increased claims for monthly managed care and managed long-term care attributable to timing-related rate package changes (\$506 million); lower than anticipated rebates (\$106 million); and earlier than expected payments for various programs, including local government share of FMAP savings payments (\$90 million), Voluntary Upper Payment Limit (UPL) (\$79 million), and Supplemental Medical Insurance (\$26 million).
- Social Services spending (\$267 million lower) was slower than expected for Child Welfare Services, Day Care, and Foster Care Block Grant.
- School Aid (\$69 million lower) underspending in Excess Cost Aid set-asides for students with disabilities (\$125 million) is partially offset by higher spending for the State Share Medicaid (\$24 million) related to an increase in the Medicaid reimbursement rate for the School Supportive Health Services program, UPK (\$22 million), and various other claims-based programs (\$14 million).
- Mental Hygiene (\$70 million lower) is due primarily to the timing of agency payment activity.
- Higher Education (\$54 million higher) includes higher spending on TAP (\$105 million), partially offset by year-to-date underspending on Scholarships (\$46 million).

- All Other local assistance spending (\$269 million lower) includes lower education spending (\$229 million), largely related to timing of preschool special education payments and Public Health (\$76 million) due to lower than projected spending across many programs, including Diagnostic and Treatment Centers, Bad Debt and Charity, EI, Family Planning, EPIC, and Physician Medical Malpractice.

Agency Operations spending was \$232 million lower than planned. Lower spending for Executive agencies and University Systems was partially offset by increased Judiciary spending related to retro-active salary increases. Lower than projected Executive agency spending was primarily attributable to Medicaid Administration costs and DOCCS.

GSCs were \$190 million lower than initial estimates, primarily due to timing of reimbursements for operations supported by Federal and capital funding.

Capital spending was \$1.3 billion below initial projections, mainly due to lower than anticipated spending on Economic Development (\$436 million) related to the Regional Economic Development Council's delayed implementation, and slower than anticipated spending for Long Island Transformation projects, Wadsworth Laboratory, and the High Tech Innovative Initiative. Additional underspending occurred in Transportation (\$335 million), attributable to slower than projected spending for Hunts-Point/Bruckner, Legislative Acceleration projects, and JFK Access projects; Health and Social Welfare (\$195 million), primarily due to the delayed implementation of programs; Lower/Higher Education (\$194 million) related to Smart Schools Bond Act claims and SUNY/CUNY underspending; and Parks and Environment (\$81 million) related to Department of Environmental Conservation (DEC).

Compared to updated estimates, spending variances were generally consistent with the initial estimates described above, except for capital projects and Federal funds, which varied to a lesser degree compared to the Plan, due to timing adjustments reflected in the First Quarterly Update.



# Glossary of Acronyms





AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AGI	Adjusted Gross Income
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ARC	Annual Required Contribution
AXA	AXA Equitable Life Insurance Company
BANs	Bond Anticipation Notes
BCA	Budget Control Act of 2011
BEA	Bureau of Economic Analysis
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHIP	Children's Health Insurance Program
CHP	Child Health Plus
CISO	Chief Information Security Office
CMS	Centers for Medicare & Medicaid Services
COBANC	Court Officers Benevolent Association of Nassau County
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CSE	Community Services for the Elderly
CSEA	Civil Service Employees Association
CSR	Cost Sharing Reduction
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance

# Glossary of Acronyms



ECEP	Employer Compensation Expense Program
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 through September 30)
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GO	General Obligation
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IPCC	Intergovernmental Panel on Climate Change
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICGC	Life Insurance Company Guaranty Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MH	Mental Hygiene
MMIS	Medicaid Management Information System
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NORC	Naturally Occurring Retirement Community
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System



## Glossary of Acronyms

NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QCEW	Quarterly Census of Employment and Wages
QHP	Qualified Health Plan (NYSOH)
QIVAPP	Quality Incentive Vital Access Provider Pool
RBS	RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STIP	Short-Term Investment Pool
SUFPK	Statewide Universal Full-Day Prekindergarten
SUNY	State University of New York
SY	School Year
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year

# Glossary of Acronyms



UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
UPK	Universal Prekindergarten
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLТ	Video Lottery Terminal
WCB	Workers' Compensation Board



# **Financial Plan Tables and Accompanying Notes**



The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

**Capital Projects** - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital

programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

## **State Operating Funds**

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It is comprised of the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

## **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

## **Fiduciary Funds**

**Private-Purpose Trust Funds** - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.



### Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

**PS** - Includes the payment of salaries and compensation for State employees.

**NPS** - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

**GSCs** - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

**Fringe Benefits.** Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

**Fixed Costs.** For State Payments in Lieu of Taxes (PILOT) programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

**Capital Projects** - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

**Bond Proceeds** - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

## Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

**Tax Stabilization Reserve** - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

**Rainy Day Reserve** - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

**Debt Management** - Reserved for i) payment of principal, interest, or related expenses; ii) retiring or defeasing existing State-supported debt obligations, including accrued interest; and iii) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

**Extraordinary Monetary Settlements** - Includes the balance of Extraordinary Monetary Settlements after planned uses.

## Note 5 — Items Affecting Annual Comparability

### Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two Mental Hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

### DOT/DMV Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from the DHBTF to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

### MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the MCTD. Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The Enacted Budget includes legislation directing that Mobility Tax collections be remitted directly to the MTA. This eliminated the pass-through of this tax and ensures more timely receipt by the MTA. The State Financial Plan will no longer include new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 the PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.4 billion, respectively.

### Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

## **STAR**

STAR spending in FY 2018 and FY 2019 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$775 million in FY 2018 and \$937 million in FY 2019).

## **Superstorm Sandy**

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

## **Federal Health Care Transformation**

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

## **Note 6 — State Funding of SUNY Operating Support**

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

## **Note 7 — Off-Budget Transactions**

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

## **Note 8 — General Fund/HCRA Combined Gap**

Current HCRA authorization ends April 1, 2020. HCRA is projected to remain balanced through FY 2022. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

## **Note 9 — Statewide Cash Flow Administration**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

## Note 10 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit A of the Comptroller's Annual Report to the Legislature.

## Note 11 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2018 was \$3.1 billion, comprised of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds; the State pending Federal receipts; State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2017 was \$2.8 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

<b>TEMPORARY LOANS OUTSTANDING</b> (millions of dollars)			
	<u>March 31</u>		<u>Annual Change</u>
	<u>2017</u>	<u>2018</u>	
<b>Total Loans Outstanding</b>	<b><u>2,759</u></b>	<b><u>3,091</u></b>	<b><u>332</u></b>
State Special Revenue Funds	298	313	15
Federal Funds	980	1,190	210
Capital Funds	1,246	1,286	40
Proprietary Funds	235	302	67

## Note 12 — Adherence to 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2% Spending Benchmark” is the savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The “Net General Fund Surplus (Deficit) calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

## Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received or is currently expecting the following Extraordinary Monetary Settlements:

- BNPP paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between the DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy, and raised serious safety and soundness concerns for regulators. BNPP’s conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and the New York State DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its Foreign Exchange trading business.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.

- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and the New York State DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank Securities Inc. (“Deutsche Bank”) paid an \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.



- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Barclays paid the State \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA's violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.

- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. ("Citigroup") paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively "Goldman") paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman's failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.



## Financial Plan Tables and Accompanying Notes

- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory’s performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS’s requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day’s violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.

- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and DEC will administer, approximately \$128 million for air quality improvement programs in New York.
- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) will pay \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the States of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the Commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against Defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.

- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage’s failure to (i) maintain books, accounts, records, and files in an appropriate manner, (ii) adequately and accurately disclose certain fees, and (iii) comply with other laws and regulations.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between the NYS DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-2006 to process payments on behalf of Iranian clients and other entities.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Cigna Health and Life Insurance Company (“Cigna”) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna’s violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Western Union Financial Services, Inc. (“Western Union”) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, “UBS”) will pay \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS’s creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (“RBS”) will pay \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS’s creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.

- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") will pay a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.
- Nationstar Mortgage LLC ("Nationstar") paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (i) failure to obtain authorization for the use of multiple domain names; (ii) failure to maintain books, records and customer files; (iii) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers; (iv) operation of two branch locations without authorization; (v) failure to maintain required documentation in servicing files; (vi) failure to maintain a schedule of fees on its website; (vii) failure to submit quarterly reports in a timely manner; and (viii) failure to file multiple 90-day pre-foreclosure notices.
- The Goldman Sachs Group, Inc. ("Goldman Sachs") paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 Consent Order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, "Lockton") paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.
- William Penn Life Insurance Company of New York ("William Penn") paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.



## Financial Plan Tables and Accompanying Notes

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, “Deutsche Bank”), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and the New York State DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and the New York State DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- Wells Fargo & Company (“Wells Fargo”) will pay \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank”) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and the New York State DFS. This consent order pertains to Mashreqbank’s (i) failure to maintain an effective and compliant anti-money laundering program, and (ii) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions.

## Note 14 – List of Settlement Uses (Prior to FY 2019 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2019:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing development and services (\$590 million), and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million). Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Health Care/Hospitals (\$600 million).** Provides \$555 million in grants to health care providers to facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities. The Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Broadband Initiative (\$500 million).** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Buffalo Billion Phase II (\$400 million).** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.



- **MTA Capital Plan (\$315 million).** Includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvements in regional mobility, and construction of four new Metro-North stations in the Bronx. An additional \$65 million paid in FY 2018 was also provided for the MTA's 2015-2019 Capital Program.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** Provides funding for preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and manmade disasters. Additionally, funding has been used for counterterrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels.
- **Transportation Capital Plan (\$200 million).** Provides funding for transportation infrastructure projects across the State.
- **Municipal Restructuring and Consolidation Competition (\$170 million).** Includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative, and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens.
- **Transformative Economic Development Projects (\$150 million).** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Downtown Revitalization Round Two (\$100 million).** The Downtown Revitalization Initiative funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Economic Development (\$85 million).** Funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

- Southern Tier/Hudson Valley Farm Initiative (\$50 million).** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- Non-MTA Transit (\$20 million).** Funds will be directed by the DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

## Extraordinary Monetary Settlements - Cash Flow Management

Since 2015, the receipt of \$11.2 billion in Extraordinary Monetary Settlements has increased the State's cash on hand and improved its liquidity position. A large portion, \$7.8 billion, of the Extraordinary Monetary Settlements has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

- Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2018 to support higher education, transportation, and economic development. This advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
- Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds from planned issuances in FYs 2020 and 2021.

TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
<b>Total Settlement Funds Replenished/(Used)</b>	<b>(1,300)</b>	<b>636</b>	<b>150</b>	<b>180</b>	<b>334</b>	<b>0</b>
Transfer to DIIF for Javits Center Expansion	0	(164)	(350)	(320)	(166)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0



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**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2019 Mid-Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	22,746	24,559	25,103	26,326
Consumption/Use Taxes	7,647	7,913	8,185	8,464
Business Taxes	5,626	6,170	6,470	6,577
Other Taxes	1,051	1,110	1,173	1,238
Miscellaneous Receipts	2,474	2,028	2,001	1,883
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	23,620	24,646	25,053	25,813
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD	TBD
Sales Tax in Excess of LGAC Bond Debt Service	3,156	3,293	3,542	3,810
Sales Tax in Excess of Revenue Bond Debt Service	2,642	2,677	2,428	2,591
Real Estate Taxes in Excess of CW/CA Debt Service	998	1,056	1,097	1,140
All Other	2,835	2,459	2,091	1,762
<b>Total Receipts</b>	<b><u>72,795</u></b>	<b><u>75,911</u></b>	<b><u>77,143</u></b>	<b><u>79,604</u></b>
<b>Disbursements:</b>				
Local Assistance	51,013	53,851	57,147	59,320
State Operations:				
Personal Service	8,812	9,001	9,506	9,437
Non-Personal Service	3,038	3,079	3,111	3,162
General State Charges	7,392	7,781	8,319	8,889
Transfers to Other Funds:				
Debt Service	775	823	904	747
Capital Projects	2,635	3,425	3,171	3,182
State Share of Mental Hygiene Medicaid	0	0	0	0
SUNY Operations	1,020	1,008	1,004	1,004
Other Purposes	1,099	1,080	1,158	1,299
<b>Total Disbursements</b>	<b><u>75,784</u></b>	<b><u>80,048</u></b>	<b><u>84,320</u></b>	<b><u>87,040</u></b>
<b>Use (Reservation) of Fund Balance:</b>				
Community Projects	13	26	7	0
Undesignated Fund Balance	1,905	0	0	0
Extraordinary Monetary Settlements	1,071	1,041	741	885
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>2,989</u></b>	<b><u>1,067</u></b>	<b><u>748</u></b>	<b><u>885</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>0</b>	<b>(3,070)</b>	<b>(6,429)</b>	<b>(6,551)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>0</b>	<b>2,668</b>	<b>5,431</b>	<b>6,235</b>
<b>Net General Fund Surplus (Deficit)</b>	<b><u>0</u></b>	<b><u>(402)</u></b>	<b><u>(998)</u></b>	<b><u>(316)</u></b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Mid-Year</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Opening Fund Balance</b>	<b>7,749</b>	<b>9,445</b>	<b>1,696</b>	<b>21.9%</b>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	36,037	22,746	(13,291)	-36.9%
Consumption/Use Taxes	7,377	7,647	270	3.7%
Business Taxes	4,916	5,626	710	14.4%
Other Taxes	1,326	1,051	(275)	-20.7%
Miscellaneous Receipts	3,129	2,474	(655)	-20.9%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,909	23,620	12,711	116.5%
ECEP in Excess of Revenue Bond Debt Service	0	TBD	TBD	TBD
Sales Tax in Excess of LGAC Bond Debt Service	3,098	3,156	58	1.9%
Sales Tax in Excess of Revenue Bond Debt Service	2,763	2,642	(121)	-4.4%
Real Estate Taxes in Excess of CW/CA Debt Service	944	998	54	5.7%
All Other	921	2,835	1,914	207.8%
<b>Total Receipts</b>	<b>71,420</b>	<b>72,795</b>	<b>1,375</b>	<b>1.9%</b>
<b>Disbursements:</b>				
Local Assistance	46,072	51,013	4,941	10.7%
State Operations:				
Personal Service	6,136	8,812	2,676	43.6%
Non-Personal Service	2,092	3,038	946	45.2%
General State Charges	5,572	7,392	1,820	32.7%
Transfers to Other Funds:				
Debt Service	1,047	775	(272)	-26.0%
Capital Projects	2,191	2,635	444	20.3%
State Share of Mental Hygiene Medicaid	1,333	0	(1,333)	-100.0%
SUNY Operations	1,015	1,020	5	0.5%
Other Purposes	4,266	1,099	(3,167)	-74.2%
<b>Total Disbursements</b>	<b>69,724</b>	<b>75,784</b>	<b>6,060</b>	<b>8.7%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>1,696</b>	<b>(2,989)</b>	<b>(4,685)</b>	<b>-276.2%</b>
<b>Closing Fund Balance</b>	<b>9,445</b>	<b>6,456</b>	<b>(2,989)</b>	<b>-31.6%</b>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	46	33	(13)	
<b>Reserved For</b>				
Potential Labor Agreements	155	155	0	
Undesignated Fund Balance	1,905	0	(1,905)	
Debt Management	500	500	0	
Extraordinary Monetary Settlements	5,020	3,949	(1,071)	

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2019 First Quarter</u>	<u>Change</u>	<u>FY 2019 Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	22,746	0	22,746
Consumption/Use Taxes	7,647	0	7,647
Business Taxes	5,626	0	5,626
Other Taxes	1,051	0	1,051
Miscellaneous Receipts	2,422	52	2,474
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	23,714	(94)	23,620
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC Bond Debt Service	3,156	0	3,156
Sales Tax in Excess of Revenue Bond Debt Service	2,757	(115)	2,642
Real Estate Taxes in Excess of CW/CA Debt Service	998	0	998
All Other	2,823	12	2,835
<b>Total Receipts</b>	<b><u>72,940</u></b>	<b><u>(145)</u></b>	<b><u>72,795</u></b>
<b>Disbursements:</b>			
Local Assistance	51,048	(35)	51,013
State Operations:			
Personal Service	8,726	86	8,812
Non-Personal Service	3,047	(9)	3,038
General State Charges	7,525	(133)	7,392
Transfers to Other Funds:			
Debt Service	827	(52)	775
Capital Projects	3,257	(622)	2,635
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,034	(14)	1,020
Other Purposes	1,122	(23)	1,099
<b>Total Disbursements</b>	<b><u>76,586</u></b>	<b><u>(802)</u></b>	<b><u>75,784</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects	29	(16)	13
Undesignated Fund Balance	1,905	0	1,905
Extraordinary Monetary Settlements	1,712	(641)	1,071
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>3,646</u></b>	<b><u>(657)</u></b>	<b><u>2,989</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>			
	<b>0</b>	<b>0</b>	<b>0</b>
<b>Adherence to 2% Spending Benchmark</b>			
	<b>0</b>	<b>0</b>	<b>0</b>
<b>Net General Fund Surplus (Deficit)</b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2020 First Quarter</u>	<u>Change</u>	<u>FY 2020 Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	24,559	0	24,559
Consumption/Use Taxes	7,913	0	7,913
Business Taxes	6,170	0	6,170
Other Taxes	1,110	0	1,110
Miscellaneous Receipts	2,028	0	2,028
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,260	386	24,646
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC Bond Debt Service	3,293	0	3,293
Sales Tax in Excess of Revenue Bond Debt Service	2,897	(220)	2,677
Real Estate Taxes in Excess of CW/CA Debt Service	1,056	0	1,056
All Other	2,240	219	2,459
<b>Total Receipts</b>	<u><b>75,526</b></u>	<u><b>385</b></u>	<u><b>75,911</b></u>
<b>Disbursements:</b>			
Local Assistance	53,907	(56)	53,851
State Operations:			
Personal Service	8,951	50	9,001
Non-Personal Service	3,125	(46)	3,079
General State Charges	8,084	(303)	7,781
Transfers to Other Funds:			
Debt Service	948	(125)	823
Capital Projects	3,567	(142)	3,425
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,025	(17)	1,008
Other Purposes	1,103	(23)	1,080
<b>Total Disbursements</b>	<u><b>80,710</b></u>	<u><b>(662)</b></u>	<u><b>80,048</b></u>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects	9	17	26
Extraordinary Monetary Settlements	1,148	(107)	1,041
<b>Total Use (Reservation) of Fund Balance</b>	<u><b>1,157</b></u>	<u><b>(90)</b></u>	<u><b>1,067</b></u>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<u><b>(4,027)</b></u>	<u><b>957</b></u>	<u><b>(3,070)</b></u>
<b>Adherence to 2% Spending Benchmark</b>	<u><b>3,247</b></u>	<u><b>(579)</b></u>	<u><b>2,668</b></u>
<b>Net General Fund Surplus (Deficit)</b>	<u><u><b>(780)</b></u></u>	<u><u><b>378</b></u></u>	<u><u><b>(402)</b></u></u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2021 First Quarter</u>	<u>Change</u>	<u>FY 2021 Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	25,103	0	25,103
Consumption/Use Taxes	8,185	0	8,185
Business Taxes	6,470	0	6,470
Other Taxes	1,173	0	1,173
Miscellaneous Receipts	2,001	0	2,001
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,807	246	25,053
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC Bond Debt Service	3,542	0	3,542
Sales Tax in Excess of Revenue Bond Debt Service	2,750	(322)	2,428
Real Estate Taxes in Excess of CW/CA Debt Service	1,097	0	1,097
All Other	1,801	290	2,091
<b>Total Receipts</b>	<b><u>76,929</u></b>	<b><u>214</u></b>	<b><u>77,143</u></b>
<b>Disbursements:</b>			
Local Assistance	56,998	149	57,147
State Operations:			
Personal Service	9,456	50	9,506
Non-Personal Service	3,168	(57)	3,111
General State Charges	8,604	(285)	8,319
Transfers to Other Funds:			
Debt Service	1,017	(113)	904
Capital Projects	3,292	(121)	3,171
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,021	(17)	1,004
Other Purposes	1,182	(24)	1,158
<b>Total Disbursements</b>	<b><u>84,738</u></b>	<b><u>(418)</u></b>	<b><u>84,320</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects	8	(1)	7
Extraordinary Monetary Settlements	855	(114)	741
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>863</u></b>	<b><u>(115)</u></b>	<b><u>748</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(6,946)</b>	<b>517</b>	<b>(6,429)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>5,548</b>	<b>(117)</b>	<b>5,431</b>
<b>Net General Fund Surplus (Deficit)</b>	<b><u>(1,398)</u></b>	<b><u>400</u></b>	<b><u>(998)</u></b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2022 First Quarter</u>	<u>Change</u>	<u>FY 2022 Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	26,326	0	26,326
Consumption/Use Taxes	8,464	0	8,464
Business Taxes	6,577	0	6,577
Other Taxes	1,238	0	1,238
Miscellaneous Receipts	1,883	0	1,883
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,588	225	25,813
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC Bond Debt Service	3,810	0	3,810
Sales Tax in Excess of Revenue Bond Debt Service	2,958	(367)	2,591
Real Estate Taxes in Excess of CW/CA Debt Service	1,140	0	1,140
All Other	1,748	14	1,762
<b>Total Receipts</b>	<b><u>79,732</u></b>	<b><u>(128)</u></b>	<b><u>79,604</u></b>
<b>Disbursements:</b>			
Local Assistance	59,461	(141)	59,320
State Operations:			
Personal Service	9,388	49	9,437
Non-Personal Service	3,201	(39)	3,162
General State Charges	9,127	(238)	8,889
Transfers to Other Funds:			
Debt Service	876	(129)	747
Capital Projects	2,897	285	3,182
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,021	(17)	1,004
Other Purposes	1,323	(24)	1,299
<b>Total Disbursements</b>	<b><u>87,294</u></b>	<b><u>(254)</u></b>	<b><u>87,040</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Extraordinary Monetary Settlements	605	280	885
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>605</u></b>	<b><u>280</u></b>	<b><u>885</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(6,957)</b>	<b>406</b>	<b>(6,551)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>6,470</b>	<b>(235)</b>	<b>6,235</b>
<b>Net General Fund Surplus (Deficit)</b>	<b><u>(487)</u></b>	<b><u>171</u></b>	<b><u>(316)</u></b>

**CASH RECEIPTS  
GENERAL FUND  
(millions of dollars)**

	FY 2019 Mid-Year	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>Taxes:</b>				
Withholdings	41,782	43,033	44,024	46,144
Estimated Payments	16,221	18,157	17,802	18,960
Final Payments	2,599	2,748	2,908	3,032
Other Payments	1,500	1,564	1,601	1,671
<b>Gross Collections</b>	<b>62,102</b>	<b>65,502</b>	<b>66,335</b>	<b>69,807</b>
State/City Offset	(973)	(799)	(824)	(849)
Refunds	(10,719)	(10,751)	(10,501)	(11,502)
<b>Reported Tax Collections</b>	<b>50,410</b>	<b>53,952</b>	<b>55,010</b>	<b>57,456</b>
STAR (Dedicated Deposits)	(2,459)	(2,417)	(2,402)	(2,402)
RBTF (Dedicated Transfers)	(25,205)	(26,976)	(27,505)	(28,728)
<b>Personal Income Tax</b>	<b>22,746</b>	<b>24,559</b>	<b>25,103</b>	<b>26,326</b>
Sales and Use Tax	14,114	14,664	15,224	15,796
Cigarette and Tobacco Taxes	328	316	304	294
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	262	265	269	272
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Consumption/Use Taxes</b>	<b>14,704</b>	<b>15,245</b>	<b>15,797</b>	<b>16,362</b>
LGAC/STBF (Dedicated Transfers)	(7,057)	(7,332)	(7,612)	(7,898)
<b>Consumption/Use Taxes</b>	<b>7,647</b>	<b>7,913</b>	<b>8,185</b>	<b>8,464</b>
Corporation Franchise Tax	3,212	3,610	3,828	3,828
Corporation and Utilities Tax	530	537	541	547
Insurance Taxes	1,762	1,963	2,101	2,202
Bank Tax	122	60	0	0
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<b>5,626</b>	<b>6,170</b>	<b>6,470</b>	<b>6,577</b>
Estate Tax	1,033	1,092	1,155	1,220
Real Estate Transfer Tax	1,178	1,231	1,267	1,305
Employer Compensation Expense Program	TBD	TBD	TBD	TBD
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	3	3	3	3
<b>Gross Other Taxes</b>	<b>2,229</b>	<b>2,341</b>	<b>2,440</b>	<b>2,543</b>
Real Estate Transfer Tax (Dedicated)	(1,178)	(1,231)	(1,267)	(1,305)
RBTF (Dedicated Transfers)	TBD	TBD	TBD	TBD
<b>Other Taxes</b>	<b>1,051</b>	<b>1,110</b>	<b>1,173</b>	<b>1,238</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>37,070</b>	<b>39,752</b>	<b>40,931</b>	<b>42,605</b>
Licenses, Fees, Etc.	670	692	676	676
Abandoned Property	450	450	450	450
Motor Vehicle Fees	269	285	280	210
ABC License Fee	66	66	62	68
Reimbursements	107	109	107	53
Investment Income	40	18	8	8
Extraordinary Settlements	443	0	0	0
Other Transactions	429	408	418	418
<b>Miscellaneous Receipts</b>	<b>2,474</b>	<b>2,028</b>	<b>2,001</b>	<b>1,883</b>
<b>Federal Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>39,544</b>	<b>41,780</b>	<b>42,932</b>	<b>44,488</b>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
(millions of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Mid-Year</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Taxes:</b>				
Withholdings	40,269	41,782	1,513	3.8%
Estimated Payments	17,781	16,221	(1,560)	-8.8%
Final Payments	2,478	2,599	121	4.9%
Other Payments	1,507	1,500	(7)	-0.5%
<b>Gross Collections</b>	<b>62,035</b>	<b>62,102</b>	<b>67</b>	<b>0.1%</b>
State/City Offset	(856)	(973)	(117)	-13.7%
Refunds	(9,678)	(10,719)	(1,041)	-10.8%
<b>Reported Tax Collections</b>	<b>51,501</b>	<b>50,410</b>	<b>(1,091)</b>	<b>-2.1%</b>
STAR (Dedicated Deposits)	(2,589)	(2,459)	130	5.0%
RBTF (Dedicated Transfers)	(12,875)	(25,205)	(12,330)	-95.8%
<b>Personal Income Tax</b>	<b>36,037</b>	<b>22,746</b>	<b>(13,291)</b>	<b>-36.9%</b>
Sales and Use Tax	13,553	14,114	561	4.1%
Cigarette and Tobacco Taxes	342	328	(14)	-4.1%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	259	262	3	1.2%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
<b>Gross Consumption/Use Taxes</b>	<b>14,154</b>	<b>14,704</b>	<b>550</b>	<b>3.9%</b>
LGAC/STBF (Dedicated Transfers)	(6,777)	(7,057)	(280)	-4.1%
<b>Consumption/Use Taxes</b>	<b>7,377</b>	<b>7,647</b>	<b>270</b>	<b>3.7%</b>
Corporation Franchise Tax	2,326	3,212	886	38.1%
Corporation and Utilities Tax	570	530	(40)	-7.0%
Insurance Taxes	1,610	1,762	152	9.4%
Bank Tax	410	122	(288)	-70.2%
Petroleum Business Tax	0	0	0	0.0%
<b>Business Taxes</b>	<b>4,916</b>	<b>5,626</b>	<b>710</b>	<b>14.4%</b>
Estate Tax	1,308	1,033	(275)	-21.0%
Real Estate Transfer Tax	1,125	1,178	53	4.7%
Employer Compensation Expense Program	0	TBD	TBD	TBD
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
<b>Gross Other Taxes</b>	<b>2,451</b>	<b>2,229</b>	<b>(222)</b>	<b>-9.1%</b>
Real Estate Transfer Tax (Dedicated)	(1,125)	(1,178)	(53)	-4.7%
RBTF (Dedicated Transfers)	0	TBD	TBD	TBD
<b>Other Taxes</b>	<b>1,326</b>	<b>1,051</b>	<b>(275)</b>	<b>-20.7%</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>49,656</b>	<b>37,070</b>	<b>(12,586)</b>	<b>-25.3%</b>
Licenses, Fees, Etc.	669	670	1	0.1%
Abandoned Property	460	450	(10)	-2.2%
Motor Vehicle Fees	252	269	17	6.7%
ABC License Fee	65	66	1	1.5%
Reimbursements	275	107	(168)	-61.1%
Investment Income	60	40	(20)	-33.3%
Extraordinary Settlements	778	443	(335)	-43.1%
Other Transactions	570	429	(141)	-24.7%
<b>Miscellaneous Receipts</b>	<b>3,129</b>	<b>2,474</b>	<b>(655)</b>	<b>-20.9%</b>
<b>Federal Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total</b>	<b>52,785</b>	<b>39,544</b>	<b>(13,241)</b>	<b>-25.1%</b>



**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2018  
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Opening Fund Balance</b>	<b>7,749</b>	<b>3,732</b>	<b>144</b>	<b>11,625</b>
<b>Receipts:</b>				
Taxes	49,656	7,639	20,658	77,953
Miscellaneous Receipts	3,129	17,734	471	21,334
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<b>52,785</b>	<b>25,374</b>	<b>21,202</b>	<b>99,361</b>
<b>Disbursements:</b>				
Local Assistance	46,072	19,532	0	65,604
State Operations:				
Personal Service	6,136	7,034	0	13,170
Non-Personal Service	2,092	3,517	42	5,651
General State Charges	5,572	2,281	0	7,853
Debt Service	0	0	5,873	5,873
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>59,872</b>	<b>32,364</b>	<b>5,915</b>	<b>98,151</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,635	7,949	3,873	30,457
Transfers to Other Funds	(9,852)	(682)	(19,151)	(29,685)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>8,783</b>	<b>7,267</b>	<b>(15,278)</b>	<b>772</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>1,696</b>	<b>277</b>	<b>9</b>	<b>1,982</b>
<b>Closing Fund Balance</b>	<b>9,445</b>	<b>4,009</b>	<b>153</b>	<b>13,607</b>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2019  
(millions of dollars)**

	<b>General Fund</b>	<b>State Special Revenue Funds</b>	<b>Debt Service Funds</b>	<b>State Operating Funds Total</b>
<b>Opening Fund Balance</b>	<b>9,445</b>	<b>4,009</b>	<b>153</b>	<b>13,607</b>
<b>Receipts:</b>				
Taxes	37,070	6,137	33,321	76,528
Miscellaneous Receipts	2,474	17,578	498	20,550
Federal Receipts	0	1	74	75
<b>Total Receipts</b>	<b>39,544</b>	<b>23,716</b>	<b>33,893</b>	<b>97,153</b>
<b>Disbursements:</b>				
Local Assistance	51,013	15,687	0	66,700
State Operations:				
Personal Service	8,812	4,904	0	13,716
Non-Personal Service	3,038	2,704	47	5,789
General State Charges	7,392	1,018	0	8,410
Debt Service	0	0	5,520	5,520
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>70,255</b>	<b>24,313</b>	<b>5,567</b>	<b>100,135</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,251	2,123	3,599	38,973
Transfers to Other Funds	(5,529)	(1,160)	(31,906)	(38,595)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>27,722</b>	<b>963</b>	<b>(28,307)</b>	<b>378</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(2,989)</b>	<b>366</b>	<b>19</b>	<b>(2,604)</b>
<b>Closing Fund Balance</b>	<b>6,456</b>	<b>4,375</b>	<b>172</b>	<b>11,003</b>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2020**  
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Receipts:</b>				
Taxes	39,752	6,178	35,420	81,350
Miscellaneous Receipts	2,028	17,259	469	19,756
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<b>41,780</b>	<b>23,438</b>	<b>35,962</b>	<b>101,180</b>
<b>Disbursements:</b>				
Local Assistance	53,851	15,691	0	69,542
State Operations:				
Personal Service	9,001	4,989	0	13,990
Non-Personal Service	3,079	2,714	47	5,840
General State Charges	7,781	1,033	0	8,814
Debt Service	0	0	6,620	6,620
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>73,712</b>	<b>24,427</b>	<b>6,667</b>	<b>104,806</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	34,131	2,101	3,607	39,839
Transfers to Other Funds	(6,336)	(1,084)	(32,874)	(40,294)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>27,795</b>	<b>1,017</b>	<b>(29,267)</b>	<b>(455)</b>
<b>Use (Reservation) of Fund Balance:</b>				
Community Projects	26	0	0	26
Extraordinary Monetary Settlements	1,041	0	0	1,041
<b>Total Use (Reservation) of Fund Balance</b>	<b>1,067</b>	<b>0</b>	<b>0</b>	<b>1,067</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(3,070)</b>	<b>28</b>	<b>28</b>	<b>(3,014)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>2,668</b>	<b>0</b>	<b>0</b>	<b>2,668</b>
<b>Net Surplus (Deficit)</b>	<b>(402)</b>	<b>28</b>	<b>28</b>	<b>(346)</b>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2021**  
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Receipts:</b>				
Taxes	40,931	6,201	36,265	83,397
Miscellaneous Receipts	2,001	16,674	469	19,144
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<b>42,932</b>	<b>22,876</b>	<b>36,807</b>	<b>102,615</b>
<b>Disbursements:</b>				
Local Assistance	57,147	15,519	0	72,666
State Operations:				
Personal Service	9,506	5,041	0	14,547
Non-Personal Service	3,111	2,724	47	5,882
General State Charges	8,319	1,077	0	9,396
Debt Service	0	0	7,121	7,121
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>78,083</b>	<b>24,361</b>	<b>7,168</b>	<b>109,612</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	34,211	2,175	3,566	39,952
Transfers to Other Funds	(6,237)	(776)	(33,175)	(40,188)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>27,974</b>	<b>1,399</b>	<b>(29,609)</b>	<b>(236)</b>
<b>Use (Reservation) of Fund Balance:</b>				
Community Projects	7	0	0	7
Extraordinary Monetary Settlements	741	0	0	741
<b>Total Use (Reservation) of Fund Balance</b>	<b>748</b>	<b>0</b>	<b>0</b>	<b>748</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(6,429)</b>	<b>(86)</b>	<b>30</b>	<b>(6,485)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>5,431</b>	<b>0</b>	<b>0</b>	<b>5,431</b>
<b>Net Surplus (Deficit)</b>	<b>(998)</b>	<b>(86)</b>	<b>30</b>	<b>(1,054)</b>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2022**  
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Receipts:</b>				
Taxes	42,605	6,262	37,812	86,679
Miscellaneous Receipts	1,883	16,846	468	19,197
Federal Receipts	0	1	72	73
<b>Total Receipts</b>	<b>44,488</b>	<b>23,109</b>	<b>38,352</b>	<b>105,949</b>
<b>Disbursements:</b>				
Local Assistance	59,320	15,753	0	75,073
State Operations:				
Personal Service	9,437	4,956	0	14,393
Non-Personal Service	3,162	2,765	47	5,974
General State Charges	8,889	1,074	0	9,963
Debt Service	0	0	7,097	7,097
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>80,808</b>	<b>24,548</b>	<b>7,144</b>	<b>112,500</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	35,116	2,305	3,236	40,657
Transfers to Other Funds	(6,232)	(434)	(34,372)	(41,038)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>28,884</b>	<b>1,871</b>	<b>(31,136)</b>	<b>(381)</b>
<b>Use (Reservation) of Fund Balance:</b>				
Extraordinary Monetary Settlements	885	0	0	885
<b>Total Use (Reservation) of Fund Balance</b>	<b>885</b>	<b>0</b>	<b>0</b>	<b>885</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(6,551)</b>	<b>432</b>	<b>72</b>	<b>(6,047)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>6,235</b>	<b>0</b>	<b>0</b>	<b>6,235</b>
<b>Net Surplus (Deficit)</b>	<b>(316)</b>	<b>432</b>	<b>72</b>	<b>188</b>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Opening Fund Balance</b>	<b>11,625</b>	<b>13,607</b>	<b>1,982</b>	<b>17.0%</b>
<b>Receipts:</b>				
Taxes	77,953	76,528	(1,425)	-1.8%
Miscellaneous Receipts	21,334	20,550	(784)	-3.7%
Federal Receipts	74	75	1	1.4%
<b>Total Receipts</b>	<b>99,361</b>	<b>97,153</b>	<b>(2,208)</b>	<b>-2.2%</b>
<b>Disbursements:</b>				
Local Assistance	65,604	66,700	1,096	1.7%
State Operations:				
Personal Service	13,170	13,716	546	4.1%
Non-Personal Service	5,651	5,789	138	2.4%
General State Charges	7,853	8,410	557	7.1%
Debt Service	5,873	5,520	(353)	-6.0%
Capital Projects	0	0	0	0.0%
<b>Total Disbursements</b>	<b>98,151</b>	<b>100,135</b>	<b>1,984</b>	<b>2.0%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	30,457	38,973	8,516	28.0%
Transfers to Other Funds	(29,685)	(38,595)	(8,910)	-30.0%
Bond and Note Proceeds	0	0	0	0.0%
<b>Net Other Financing Sources (Uses)</b>	<b>772</b>	<b>378</b>	<b>(394)</b>	<b>-51.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>1,982</b>	<b>(2,604)</b>	<b>(4,586)</b>	<b>-231.4%</b>
<b>Closing Fund Balance</b>	<b>13,607</b>	<b>11,003</b>	<b>(2,604)</b>	<b>-19.1%</b>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2018  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>All Funds Total</b>
<b>Opening Fund Balance</b>	<b>7,749</b>	<b>4,272</b>	<b>(1,060)</b>	<b>144</b>	<b>11,105</b>
<b>Receipts:</b>					
Taxes	49,656	7,639	1,313	20,658	79,266
Miscellaneous Receipts	3,129	17,933	5,729	471	27,262
Federal Receipts	0	56,744	2,125	73	58,942
<b>Total Receipts</b>	<b>52,785</b>	<b>82,316</b>	<b>9,167</b>	<b>21,202</b>	<b>165,470</b>
<b>Disbursements:</b>					
Local Assistance	46,072	72,126	3,797	0	121,995
State Operations:					
Personal Service	6,136	7,702	0	0	13,838
Non-Personal Service	2,092	4,886	0	42	7,020
General State Charges	5,572	2,603	0	0	8,175
Debt Service	0	0	0	5,873	5,873
Capital Projects	0	0	6,843	0	6,843
<b>Total Disbursements</b>	<b>59,872</b>	<b>87,317</b>	<b>10,640</b>	<b>5,915</b>	<b>163,744</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,635	7,949	2,607	3,873	33,064
Transfers to Other Funds	(9,852)	(2,918)	(1,385)	(19,151)	(33,306)
Bond and Note Proceeds	0	0	160	0	160
<b>Net Other Financing Sources (Uses)</b>	<b>8,783</b>	<b>5,031</b>	<b>1,382</b>	<b>(15,278)</b>	<b>(82)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>1,696</b>	<b>30</b>	<b>(91)</b>	<b>9</b>	<b>1,644</b>
<b>Closing Fund Balance</b>	<b>9,445</b>	<b>4,302</b>	<b>(1,151)</b>	<b>153</b>	<b>12,749</b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Opening Fund Balance</b>	<u>9,445</u>	<u>4,302</u>	<u>(1,151)</u>	<u>153</u>	<u>12,749</u>
<b>Receipts:</b>					
Taxes	37,070	6,137	1,395	33,321	77,923
Miscellaneous Receipts	2,474	17,780	7,426	498	28,178
Federal Receipts	0	60,003	2,433	74	62,510
<b>Total Receipts</b>	<u>39,544</u>	<u>83,920</u>	<u>11,254</u>	<u>33,893</u>	<u>168,611</u>
<b>Disbursements:</b>					
Local Assistance	51,013	71,553	5,246	0	127,812
State Operations:					
Personal Service	8,812	5,565	0	0	14,377
Non-Personal Service	3,038	4,113	0	47	7,198
General State Charges	7,392	1,364	0	0	8,756
Debt Service	0	0	0	5,520	5,520
Capital Projects	0	0	7,924	0	7,924
<b>Total Disbursements</b>	<u>70,255</u>	<u>82,595</u>	<u>13,170</u>	<u>5,567</u>	<u>171,587</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	33,251	2,135	2,982	3,599	41,967
Transfers to Other Funds	(5,529)	(3,334)	(1,360)	(31,906)	(42,129)
Bond and Note Proceeds	0	0	744	0	744
<b>Net Other Financing Sources (Uses)</b>	<u>27,722</u>	<u>(1,199)</u>	<u>2,366</u>	<u>(28,307)</u>	<u>582</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,989)</u>	<u>126</u>	<u>450</u>	<u>19</u>	<u>(2,394)</u>
<b>Closing Fund Balance</b>	<u>6,456</u>	<u>4,428</u>	<u>(701)</u>	<u>172</u>	<u>10,355</u>



**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	39,752	6,178	1,412	35,420	82,762
Miscellaneous Receipts	2,028	17,461	6,516	469	26,474
Federal Receipts	0	61,070	2,229	73	63,372
<b>Total Receipts</b>	<b><u>41,780</u></b>	<b><u>84,709</u></b>	<b><u>10,157</u></b>	<b><u>35,962</u></b>	<b><u>172,608</u></b>
<b>Disbursements:</b>					
Local Assistance	53,851	73,407	5,383	0	132,641
State Operations:					
Personal Service	9,001	5,642	0	0	14,643
Non-Personal Service	3,079	4,124	0	47	7,250
General State Charges	7,781	1,362	0	0	9,143
Debt Service	0	0	0	6,620	6,620
Capital Projects	0	0	7,716	0	7,716
<b>Total Disbursements</b>	<b><u>73,712</u></b>	<b><u>84,535</u></b>	<b><u>13,099</u></b>	<b><u>6,667</u></b>	<b><u>178,013</u></b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,131	2,113	3,737	3,607	43,588
Transfers to Other Funds	(6,336)	(2,910)	(1,602)	(32,874)	(43,722)
Bond and Note Proceeds	0	0	779	0	779
<b>Net Other Financing Sources (Uses)</b>	<b><u>27,795</u></b>	<b><u>(797)</u></b>	<b><u>2,914</u></b>	<b><u>(29,267)</u></b>	<b><u>645</u></b>
<b>Use (Reservation) of Fund Balance:</b>					
Community Projects	26	0	0	0	26
Extraordinary Monetary Settlements	1,041	0	0	0	1,041
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>1,067</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>1,067</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>					
	<b>(3,070)</b>	<b>(623)</b>	<b>(28)</b>	<b>28</b>	<b>(3,693)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>2,668</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,668</b>
<b>Net Surplus (Deficit)</b>	<b><u>(402)</u></b>	<b><u>(623)</u></b>	<b><u>(28)</u></b>	<b><u>28</u></b>	<b><u>(1,025)</u></b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2021**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Receipts:</b>					
Taxes	40,931	6,201	1,409	36,265	84,806
Miscellaneous Receipts	2,001	16,879	6,147	469	25,496
Federal Receipts	0	62,147	2,187	73	64,407
<b>Total Receipts</b>	<b>42,932</b>	<b>85,227</b>	<b>9,743</b>	<b>36,807</b>	<b>174,709</b>
<b>Disbursements:</b>					
Local Assistance	57,147	73,891	4,734	0	135,772
State Operations:					
Personal Service	9,506	5,720	0	0	15,226
Non-Personal Service	3,111	4,103	0	47	7,261
General State Charges	8,319	1,417	0	0	9,736
Debt Service	0	0	0	7,121	7,121
Capital Projects	0	0	7,473	0	7,473
<b>Total Disbursements</b>	<b>78,083</b>	<b>85,131</b>	<b>12,207</b>	<b>7,168</b>	<b>182,589</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,211	2,187	3,433	3,566	43,397
Transfers to Other Funds	(6,237)	(2,529)	(1,598)	(33,175)	(43,539)
Bond and Note Proceeds	0	0	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<b>27,974</b>	<b>(342)</b>	<b>2,444</b>	<b>(29,609)</b>	<b>467</b>
<b>Use (Reservation) of Fund Balance:</b>					
Community Projects	7	0	0	0	7
Extraordinary Monetary Settlements	741	0	0	0	741
<b>Total Use (Reservation) of Fund Balance</b>	<b>748</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>748</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>					
	<b>(6,429)</b>	<b>(246)</b>	<b>(20)</b>	<b>30</b>	<b>(6,665)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>5,431</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,431</b>
<b>Net Surplus (Deficit)</b>	<b>(998)</b>	<b>(246)</b>	<b>(20)</b>	<b>30</b>	<b>(1,234)</b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2022**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	42,605	6,262	1,405	37,812	88,084
Miscellaneous Receipts	1,883	17,051	6,411	468	25,813
Federal Receipts	0	64,195	2,187	72	66,454
<b>Total Receipts</b>	<b><u>44,488</u></b>	<b><u>87,508</u></b>	<b><u>10,003</u></b>	<b><u>38,352</u></b>	<b><u>180,351</u></b>
<b>Disbursements:</b>					
Local Assistance	59,320	75,639	4,515	0	139,474
State Operations:					
Personal Service	9,437	5,637	0	0	15,074
Non-Personal Service	3,162	4,153	0	47	7,362
General State Charges	8,889	1,445	0	0	10,334
Debt Service	0	0	0	7,097	7,097
Capital Projects	0	0	7,696	0	7,696
<b>Total Disbursements</b>	<b><u>80,808</u></b>	<b><u>86,874</u></b>	<b><u>12,211</u></b>	<b><u>7,144</u></b>	<b><u>187,037</u></b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	35,116	2,317	3,420	3,236	44,089
Transfers to Other Funds	(6,232)	(2,153)	(1,479)	(34,372)	(44,236)
Bond and Note Proceeds	0	0	263	0	263
<b>Net Other Financing Sources (Uses)</b>	<b><u>28,884</u></b>	<b><u>164</u></b>	<b><u>2,204</u></b>	<b><u>(31,136)</u></b>	<b><u>116</u></b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	885	0	0	0	885
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>885</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>885</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>					
	<b>(6,551)</b>	<b>798</b>	<b>(4)</b>	<b>72</b>	<b>(5,685)</b>
<b>Adherence to 2% Spending Benchmark</b>					
	<b>6,235</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,235</b>
<b>Net Surplus (Deficit)</b>	<b><u>(316)</u></b>	<b><u>798</u></b>	<b><u>(4)</u></b>	<b><u>72</u></b>	<b><u>550</u></b>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<b>11,105</b>	<b>12,749</b>	<b>1,644</b>	<b>14.8%</b>
<b>Receipts:</b>				
Taxes	79,266	77,923	(1,343)	-1.7%
Miscellaneous Receipts	27,262	28,178	916	3.4%
Federal Receipts	58,942	62,510	3,568	6.1%
<b>Total Receipts</b>	<b>165,470</b>	<b>168,611</b>	<b>3,141</b>	<b>1.9%</b>
<b>Disbursements:</b>				
Local Assistance	121,995	127,812	5,817	4.8%
State Operations:				
Personal Service	13,838	14,377	539	3.9%
Non-Personal Service	7,020	7,198	178	2.5%
General State Charges	8,175	8,756	581	7.1%
Debt Service	5,873	5,520	(353)	-6.0%
Capital Projects	6,843	7,924	1,081	15.8%
<b>Total Disbursements</b>	<b>163,744</b>	<b>171,587</b>	<b>7,843</b>	<b>4.8%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,064	41,967	8,903	26.9%
Transfers to Other Funds	(33,306)	(42,129)	(8,823)	-26.5%
Bond and Note Proceeds	160	744	584	365.0%
<b>Net Other Financing Sources (Uses)</b>	<b>(82)</b>	<b>582</b>	<b>664</b>	<b>809.8%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>1,644</b>	<b>(2,394)</b>	<b>(4,038)</b>	<b>-245.6%</b>
<b>Closing Fund Balance</b>	<b>12,749</b>	<b>10,355</b>	<b>(2,394)</b>	<b>-18.8%</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2018**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	40,269	0	0	0	40,269
Estimated Payments	17,781	0	0	0	17,781
Final Payments	2,478	0	0	0	2,478
Other Payments	1,507	0	0	0	1,507
<b>Gross Collections</b>	<b>62,035</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>62,035</b>
State/City Offset	(856)	0	0	0	(856)
Refunds	(9,678)	0	0	0	(9,678)
<b>Reported Tax Collections</b>	<b>51,501</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>51,501</b>
STAR (Dedicated Deposits)	(2,589)	2,589	0	0	0
RBTF (Dedicated Transfers)	(12,875)	0	0	12,875	0
<b>Personal Income Tax</b>	<b>36,037</b>	<b>2,589</b>	<b>0</b>	<b>12,875</b>	<b>51,501</b>
Sales and Use Tax	13,553	942	0	0	14,495
Cigarette and Tobacco Taxes	342	829	0	0	1,171
Motor Fuel Tax	0	109	403	0	512
Alcoholic Beverage Taxes	259	0	0	0	259
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	2	91	0	93
Auto Rental Tax	0	45	78	0	123
Taxicab Surcharge	0	56	0	0	56
<b>Gross Consumption/Use Taxes</b>	<b>14,154</b>	<b>1,985</b>	<b>572</b>	<b>0</b>	<b>16,711</b>
LGAC/STBF (Dedicated Transfers)	(6,777)	0	0	6,777	0
<b>Consumption/Use Taxes</b>	<b>7,377</b>	<b>1,985</b>	<b>572</b>	<b>6,777</b>	<b>16,711</b>
Corporation Franchise Tax	2,326	754	0	0	3,080
Corporation and Utilities Tax	570	164	14	0	748
Insurance Taxes	1,610	167	0	0	1,777
Bank Tax	410	57	0	0	467
Petroleum Business Tax	0	484	608	0	1,092
<b>Business Taxes</b>	<b>4,916</b>	<b>1,626</b>	<b>622</b>	<b>0</b>	<b>7,164</b>
Estate Tax	1,308	0	0	0	1,308
Real Estate Transfer Tax	1,125	0	0	0	1,125
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,451</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,451</b>
Real Estate Transfer Tax (Dedicated)	(1,125)	0	119	1,006	0
RBTF (Dedicated Transfers)	0	0	0	0	0
<b>Other Taxes</b>	<b>1,326</b>	<b>0</b>	<b>119</b>	<b>1,006</b>	<b>2,451</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,439</b>	<b>0</b>	<b>0</b>	<b>1,439</b>
<b>Total Taxes</b>	<b>49,656</b>	<b>7,639</b>	<b>1,313</b>	<b>20,658</b>	<b>79,266</b>
Licenses, Fees, Etc.	669	0	0	0	669
Abandoned Property	460	0	0	0	460
Motor Vehicle Fees	252	414	833	0	1,499
ABC License Fee	65	0	0	0	65
Reimbursements	275	0	0	0	275
Investment Income	60	0	0	0	60
Extraordinary Settlements	778	0	0	0	778
Other Transactions	570	17,519	4,896	471	23,456
<b>Miscellaneous Receipts</b>	<b>3,129</b>	<b>17,933</b>	<b>5,729</b>	<b>471</b>	<b>27,262</b>
<b>Federal Receipts</b>	<b>0</b>	<b>56,744</b>	<b>2,125</b>	<b>73</b>	<b>58,942</b>
<b>Total</b>	<b>52,785</b>	<b>82,316</b>	<b>9,167</b>	<b>21,202</b>	<b>165,470</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	41,782	0	0	0	41,782
Estimated Payments	16,221	0	0	0	16,221
Final Payments	2,599	0	0	0	2,599
Other Payments	<b>1,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,500</b>
<b>Gross Collections</b>	<b>62,102</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>62,102</b>
State/City Offset	(973)	0	0	0	(973)
Refunds	(10,719)	0	0	0	(10,719)
<b>Reported Tax Collections</b>	<b>50,410</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,410</b>
STAR (Dedicated Deposits)	(2,459)	2,459	0	0	0
RBTF (Dedicated Transfers)	(25,205)	0	0	25,205	0
<b>Personal Income Tax</b>	<b>22,746</b>	<b>2,459</b>	<b>0</b>	<b>25,205</b>	<b>50,410</b>
Sales and Use Tax	14,114	972	0	0	15,086
Cigarette and Tobacco Taxes	328	791	0	0	1,119
Motor Fuel Tax	0	107	405	0	512
Alcoholic Beverage Taxes	262	0	0	0	262
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	3	139	0	142
Auto Rental Tax	0	49	81	0	130
Taxicab Surcharge	0	50	0	0	50
<b>Gross Consumption/Use Taxes</b>	<b>14,704</b>	<b>1,974</b>	<b>625</b>	<b>0</b>	<b>17,303</b>
LGAC/STBF (Dedicated Transfers)	(7,057)	0	0	7,057	0
<b>Consumption/Use Taxes</b>	<b>7,647</b>	<b>1,974</b>	<b>625</b>	<b>7,057</b>	<b>17,303</b>
Corporation Franchise Tax	3,212	815	0	0	4,027
Corporation and Utilities Tax	530	156	14	0	700
Insurance Taxes	1,762	214	0	0	1,976
Bank Tax	122	20	0	0	142
Petroleum Business Tax	0	499	637	0	1,136
<b>Business Taxes</b>	<b>5,626</b>	<b>1,704</b>	<b>651</b>	<b>0</b>	<b>7,981</b>
Estate Tax	1,033	0	0	0	1,033
Real Estate Transfer Tax	1,178	0	0	0	1,178
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,229</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,229</b>
Real Estate Transfer Tax (Dedicated)	(1,178)	0	119	1,059	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
<b>Other Taxes</b>	<b>1,051</b>	<b>0</b>	<b>119</b>	<b>1,059</b>	<b>2,229</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>37,070</b>	<b>6,137</b>	<b>1,395</b>	<b>33,321</b>	<b>77,923</b>
Licenses, Fees, Etc.	670	0	0	0	670
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	269	422	808	0	1,499
ABC License Fee	66	0	0	0	66
Reimbursements	107	0	0	0	107
Investment Income	40	0	0	0	40
Extraordinary Settlements	443	0	0	0	443
Other Transactions	429	17,358	6,618	498	24,903
<b>Miscellaneous Receipts</b>	<b>2,474</b>	<b>17,780</b>	<b>7,426</b>	<b>498</b>	<b>28,178</b>
<b>Federal Receipts</b>	<b>0</b>	<b>60,003</b>	<b>2,433</b>	<b>74</b>	<b>62,510</b>
<b>Total</b>	<b>39,544</b>	<b>83,920</b>	<b>11,254</b>	<b>33,893</b>	<b>168,611</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	43,033	0	0	0	43,033
Estimated Payments	18,157	0	0	0	18,157
Final Payments	2,748	0	0	0	2,748
Other Payments	1,564	0	0	0	1,564
<b>Gross Collections</b>	<b>65,502</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>65,502</b>
State/City Offset	(799)	0	0	0	(799)
Refunds	(10,751)	0	0	0	(10,751)
<b>Reported Tax Collections</b>	<b>53,952</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>53,952</b>
STAR (Dedicated Deposits)	(2,417)	2,417	0	0	0
RBTF (Dedicated Transfers)	(26,976)	0	0	26,976	0
<b>Personal Income Tax</b>	<b>24,559</b>	<b>2,417</b>	<b>0</b>	<b>26,976</b>	<b>53,952</b>
Sales and Use Tax	14,664	1,006	0	0	15,670
Cigarette and Tobacco Taxes	316	752	0	0	1,068
Motor Fuel Tax	0	106	401	0	507
Alcoholic Beverage Taxes	265	0	0	0	265
Medical Marijuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	2	140	0	142
Auto Rental Tax	0	51	84	0	135
Taxicab Surcharge	0	50	0	0	50
<b>Gross Consumption/Use Taxes</b>	<b>15,245</b>	<b>1,969</b>	<b>625</b>	<b>0</b>	<b>17,839</b>
LGAC/STBF (Dedicated Transfers)	(7,332)	0	0	7,332	0
<b>Consumption/Use Taxes</b>	<b>7,913</b>	<b>1,969</b>	<b>625</b>	<b>7,332</b>	<b>17,839</b>
Corporation Franchise Tax	3,610	872	0	0	4,482
Corporation and Utilities Tax	537	159	14	0	710
Insurance Taxes	1,963	238	0	0	2,201
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	512	654	0	1,166
<b>Business Taxes</b>	<b>6,170</b>	<b>1,792</b>	<b>668</b>	<b>0</b>	<b>8,630</b>
Estate Tax	1,092	0	0	0	1,092
Real Estate Transfer Tax	1,231	0	0	0	1,231
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,341</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,341</b>
Real Estate Transfer Tax (Dedicated)	(1,231)	0	119	1,112	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
<b>Other Taxes</b>	<b>1,110</b>	<b>0</b>	<b>119</b>	<b>1,112</b>	<b>2,341</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>39,752</b>	<b>6,178</b>	<b>1,412</b>	<b>35,420</b>	<b>82,762</b>
Licenses, Fees, Etc.	692	0	0	0	692
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	285	425	794	0	1,504
ABC License Fee	66	0	0	0	66
Reimbursements	109	0	0	0	109
Investment Income	18	0	0	0	18
Extraordinary Settlements	0	0	0	0	0
Other Transactions	408	17,036	5,722	469	23,635
<b>Miscellaneous Receipts</b>	<b>2,028</b>	<b>17,461</b>	<b>6,516</b>	<b>469</b>	<b>26,474</b>
<b>Federal Receipts</b>	<b>0</b>	<b>61,070</b>	<b>2,229</b>	<b>73</b>	<b>63,372</b>
<b>Total</b>	<b>41,780</b>	<b>84,709</b>	<b>10,157</b>	<b>35,962</b>	<b>172,608</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2021**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	44,024	0	0	0	44,024
Estimated Payments	17,802	0	0	0	17,802
Final Payments	2,908	0	0	0	2,908
Other Payments	1,601	0	0	0	1,601
<b>Gross Collections</b>	<b>66,335</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>66,335</b>
State/City Offset	(824)	0	0	0	(824)
Refunds	(10,501)	0	0	0	(10,501)
<b>Reported Tax Collections</b>	<b>55,010</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>55,010</b>
STAR (Dedicated Deposits)	(2,402)	2,402	0	0	0
RBTF (Dedicated Transfers)	(27,505)	0	0	27,505	0
<b>Personal Income Tax</b>	<b>25,103</b>	<b>2,402</b>	<b>0</b>	<b>27,505</b>	<b>55,010</b>
Sales and Use Tax	15,224	1,039	0	0	16,263
Cigarette and Tobacco Taxes	304	716	0	0	1,020
Motor Fuel Tax	0	105	399	0	504
Alcoholic Beverage Taxes	269	0	0	0	269
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	2	141	0	143
Auto Rental Tax	0	52	87	0	139
Taxicab Surcharge	0	50	0	0	50
<b>Gross Consumption/Use Taxes</b>	<b>15,797</b>	<b>1,966</b>	<b>627</b>	<b>0</b>	<b>18,390</b>
LGAC/STBF (Dedicated Transfers)	(7,612)	0	0	7,612	0
<b>Consumption/Use Taxes</b>	<b>8,185</b>	<b>1,966</b>	<b>627</b>	<b>7,612</b>	<b>18,390</b>
Corporation Franchise Tax	3,828	908	0	0	4,736
Corporation and Utilities Tax	541	163	14	0	718
Insurance Taxes	2,101	253	0	0	2,354
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	509	649	0	1,158
<b>Business Taxes</b>	<b>6,470</b>	<b>1,833</b>	<b>663</b>	<b>0</b>	<b>8,966</b>
Estate Tax	1,155	0	0	0	1,155
Real Estate Transfer Tax	1,267	0	0	0	1,267
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,440</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,440</b>
Real Estate Transfer Tax (Dedicated)	(1,267)	0	119	1,148	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
<b>Other Taxes</b>	<b>1,173</b>	<b>0</b>	<b>119</b>	<b>1,148</b>	<b>2,440</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>40,931</b>	<b>6,201</b>	<b>1,409</b>	<b>36,265</b>	<b>84,806</b>
Licenses, Fees, Etc.	676	0	0	0	676
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	280	424	794	0	1,498
ABC License Fee	62	0	0	0	62
Reimbursements	107	0	0	0	107
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	418	16,455	5,353	469	22,695
<b>Miscellaneous Receipts</b>	<b>2,001</b>	<b>16,879</b>	<b>6,147</b>	<b>469</b>	<b>25,496</b>
<b>Federal Receipts</b>	<b>0</b>	<b>62,147</b>	<b>2,187</b>	<b>73</b>	<b>64,407</b>
<b>Total</b>	<b>42,932</b>	<b>85,227</b>	<b>9,743</b>	<b>36,807</b>	<b>174,709</b>



**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2022**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	46,144	0	0	0	46,144
Estimated Payments	18,960	0	0	0	18,960
Final Payments	3,032	0	0	0	3,032
Other Payments	1,671	0	0	0	1,671
<b>Gross Collections</b>	<b>69,807</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>69,807</b>
State/City Offset	(849)	0	0	0	(849)
Refunds	(11,502)	0	0	0	(11,502)
<b>Reported Tax Collections</b>	<b>57,456</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>57,456</b>
STAR (Dedicated Deposits)	(2,402)	2,402	0	0	0
RBTF (Dedicated Transfers)	(28,728)	0	0	28,728	0
<b>Personal Income Tax</b>	<b>26,326</b>	<b>2,402</b>	<b>0</b>	<b>28,728</b>	<b>57,456</b>
Sales and Use Tax	15,796	1,075	0	0	16,871
Cigarette and Tobacco Taxes	294	683	0	0	977
Motor Fuel Tax	0	105	396	0	501
Alcoholic Beverage Taxes	272	0	0	0	272
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	3	142	0	145
Auto Rental Tax	0	54	90	0	144
Taxicab Surcharge	0	50	0	0	50
<b>Gross Consumption/Use Taxes</b>	<b>16,362</b>	<b>1,972</b>	<b>628</b>	<b>0</b>	<b>18,962</b>
LGAC/STBF (Dedicated Transfers)	(7,898)	0	0	7,898	0
<b>Consumption/Use Taxes</b>	<b>8,464</b>	<b>1,972</b>	<b>628</b>	<b>7,898</b>	<b>18,962</b>
Corporation Franchise Tax	3,828	952	0	0	4,780
Corporation and Utilities Tax	547	166	14	0	727
Insurance Taxes	2,202	265	0	0	2,467
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	505	644	0	1,149
<b>Business Taxes</b>	<b>6,577</b>	<b>1,888</b>	<b>658</b>	<b>0</b>	<b>9,123</b>
Estate Tax	1,220	0	0	0	1,220
Real Estate Transfer Tax	1,305	0	0	0	1,305
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,543</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,543</b>
Real Estate Transfer Tax (Dedicated)	(1,305)	0	119	1,186	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
<b>Other Taxes</b>	<b>1,238</b>	<b>0</b>	<b>119</b>	<b>1,186</b>	<b>2,543</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>42,605</b>	<b>6,262</b>	<b>1,405</b>	<b>37,812</b>	<b>88,084</b>
Licenses, Fees, Etc.	676	0	0	0	676
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	210	418	795	0	1,423
ABC License Fee	68	0	0	0	68
Reimbursements	53	0	0	0	53
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	418	16,633	5,616	468	23,135
<b>Miscellaneous Receipts</b>	<b>1,883</b>	<b>17,051</b>	<b>6,411</b>	<b>468</b>	<b>25,813</b>
<b>Federal Receipts</b>	<b>0</b>	<b>64,195</b>	<b>2,187</b>	<b>72</b>	<b>66,454</b>
<b>Total</b>	<b>44,488</b>	<b>87,508</b>	<b>10,003</b>	<b>38,352</b>	<b>180,351</b>

**STATE RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
(millions of dollars)

	FY 2018 Results	FY 2019 Mid-Year	Annual \$ Change	Annual % Change
<b>Taxes:</b>				
Withholdings	40,269	41,782	1,513	3.8%
Estimated Payments	17,781	16,221	(1,560)	-8.8%
Final Payments	2,478	2,599	121	4.9%
Other Payments	1,507	1,500	(7)	-0.5%
<b>Gross Collections</b>	<b>62,035</b>	<b>62,102</b>	<b>67</b>	<b>0.1%</b>
State/City Offset	(856)	(973)	(117)	-13.7%
Refunds	(9,678)	(10,719)	(1,041)	-10.8%
<b>Reported Tax Collections</b>	<b>51,501</b>	<b>50,410</b>	<b>(1,091)</b>	<b>-2.1%</b>
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
<b>Personal Income Tax</b>	<b>51,501</b>	<b>50,410</b>	<b>(1,091)</b>	<b>-2.1%</b>
Sales and Use Tax	14,495	15,086	591	4.1%
Cigarette and Tobacco Taxes	1,171	1,119	(52)	-4.4%
Motor Fuel Tax	512	512	0	0.0%
Alcoholic Beverage Taxes	259	262	3	1.2%
Medical Marihuana Excise Tax	2	2	0	0.0%
Highway Use Tax	93	142	49	52.7%
Auto Rental Tax	123	130	7	5.7%
Taxicab Surcharge	56	50	(6)	-10.7%
<b>Gross Consumption/Use Taxes</b>	<b>16,711</b>	<b>17,303</b>	<b>592</b>	<b>3.5%</b>
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
<b>Consumption/Use Taxes</b>	<b>16,711</b>	<b>17,303</b>	<b>592</b>	<b>3.5%</b>
Corporation Franchise Tax	3,080	4,027	947	30.7%
Corporation and Utilities Tax	748	700	(48)	-6.4%
Insurance Taxes	1,777	1,976	199	11.2%
Bank Tax	467	142	(325)	-69.6%
Petroleum Business Tax	1,092	1,136	44	4.0%
<b>Business Taxes</b>	<b>7,164</b>	<b>7,981</b>	<b>817</b>	<b>11.4%</b>
Estate Tax	1,308	1,033	(275)	-21.0%
Real Estate Transfer Tax	1,125	1,178	53	4.7%
Employer Compensation Expense Program	0	TBD	TBD	TBD
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
<b>Gross Other Taxes</b>	<b>2,451</b>	<b>2,229</b>	<b>(222)</b>	<b>-9.1%</b>
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	TBD	TBD	TBD
<b>Other Taxes</b>	<b>2,451</b>	<b>2,229</b>	<b>(222)</b>	<b>-9.1%</b>
<b>Payroll Tax</b>	<b>1,439</b>	<b>0</b>	<b>(1,439)</b>	<b>-100.0%</b>
<b>Total Taxes</b>	<b>79,266</b>	<b>77,923</b>	<b>(1,343)</b>	<b>-1.7%</b>
Licenses, Fees, Etc.	669	670	1	0.1%
Abandoned Property	460	450	(10)	-2.2%
Motor Vehicle Fees	1,499	1,499	0	0.0%
ABC License Fee	65	66	1	1.5%
Reimbursements	275	107	(168)	-61.1%
Investment Income	60	40	(20)	-33.3%
Extraordinary Settlements	778	443	(335)	-43.1%
Other Transactions	23,456	24,903	1,447	6.2%
<b>Miscellaneous Receipts</b>	<b>27,262</b>	<b>28,178</b>	<b>916</b>	<b>3.4%</b>
<b>Federal Receipts</b>	<b>58,942</b>	<b>62,510</b>	<b>3,568</b>	<b>6.1%</b>
<b>Total</b>	<b>165,470</b>	<b>168,611</b>	<b>3,141</b>	<b>1.9%</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2018  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b><u>3,732</u></b>	<b><u>540</u></b>	<b><u>4,272</u></b>
<b>Receipts:</b>			
Taxes	7,639	0	7,639
Miscellaneous Receipts	17,734	199	17,933
Federal Receipts	<u>1</u>	<u>56,743</u>	<u>56,744</u>
<b>Total Receipts</b>	<b><u>25,374</u></b>	<b><u>56,942</u></b>	<b><u>82,316</u></b>
<b>Disbursements:</b>			
Local Assistance	19,532	52,594	72,126
State Operations:			
Personal Service	7,034	668	7,702
Non-Personal Service	3,517	1,369	4,886
General State Charges	2,281	322	2,603
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total Disbursements</b>	<b><u>32,364</u></b>	<b><u>54,953</u></b>	<b><u>87,317</u></b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,949	0	7,949
Transfers to Other Funds	<u>(682)</u>	<u>(2,236)</u>	<u>(2,918)</u>
<b>Net Other Financing Sources (Uses)</b>	<b><u>7,267</u></b>	<b><u>(2,236)</u></b>	<b><u>5,031</u></b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>277</b>	<b>(247)</b>	<b>30</b>
<b>Closing Fund Balance</b>	<b><u>4,009</u></b>	<b><u>293</u></b>	<b><u>4,302</u></b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b><u>4,009</u></b>	<b><u>293</u></b>	<b><u>4,302</u></b>
<b>Receipts:</b>			
Taxes	6,137	0	6,137
Miscellaneous Receipts	17,578	202	17,780
Federal Receipts	<u>1</u>	<u>60,002</u>	<u>60,003</u>
<b>Total Receipts</b>	<b><u>23,716</u></b>	<b><u>60,204</u></b>	<b><u>83,920</u></b>
<b>Disbursements:</b>			
Local Assistance	15,687	55,866	71,553
State Operations:			
Personal Service	4,904	661	5,565
Non-Personal Service	2,704	1,409	4,113
General State Charges	1,018	346	1,364
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total Disbursements</b>	<b><u>24,313</u></b>	<b><u>58,282</u></b>	<b><u>82,595</u></b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,123	12	2,135
Transfers to Other Funds	<u>(1,160)</u>	<u>(2,174)</u>	<u>(3,334)</u>
<b>Net Other Financing Sources (Uses)</b>	<b><u>963</u></b>	<b><u>(2,162)</u></b>	<b><u>(1,199)</u></b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>366</b>	<b>(240)</b>	<b>126</b>
<b>Closing Fund Balance</b>	<b><u>4,375</u></b>	<b><u>53</u></b>	<b><u>4,428</u></b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>4,375</b>	<b>53</b>	<b>4,428</b>
<b>Receipts:</b>			
Taxes	6,178	0	6,178
Miscellaneous Receipts	17,259	202	17,461
Federal Receipts	1	61,069	61,070
<b>Total Receipts</b>	<b>23,438</b>	<b>61,271</b>	<b>84,709</b>
<b>Disbursements:</b>			
Local Assistance	15,691	57,716	73,407
State Operations:			
Personal Service	4,989	653	5,642
Non-Personal Service	2,714	1,410	4,124
General State Charges	1,033	329	1,362
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>24,427</b>	<b>60,108</b>	<b>84,535</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,101	12	2,113
Transfers to Other Funds	(1,084)	(1,826)	(2,910)
<b>Net Other Financing Sources (Uses)</b>	<b>1,017</b>	<b>(1,814)</b>	<b>(797)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>28</b>	<b>(651)</b>	<b>(623)</b>
<b>Closing Fund Balance</b>	<b>4,403</b>	<b>(598)</b>	<b>3,805</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2021  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>4,403</b>	<b>(598)</b>	<b>3,805</b>
<b>Receipts:</b>			
Taxes	6,201	0	6,201
Miscellaneous Receipts	16,674	205	16,879
Federal Receipts	1	62,146	62,147
<b>Total Receipts</b>	<b>22,876</b>	<b>62,351</b>	<b>85,227</b>
<b>Disbursements:</b>			
Local Assistance	15,519	58,372	73,891
State Operations:			
Personal Service	5,041	679	5,720
Non-Personal Service	2,724	1,379	4,103
General State Charges	1,077	340	1,417
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>24,361</b>	<b>60,770</b>	<b>85,131</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,175	12	2,187
Transfers to Other Funds	(776)	(1,753)	(2,529)
<b>Net Other Financing Sources (Uses)</b>	<b>1,399</b>	<b>(1,741)</b>	<b>(342)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(86)</b>	<b>(160)</b>	<b>(246)</b>
<b>Closing Fund Balance</b>	<b>4,317</b>	<b>(758)</b>	<b>3,559</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2022  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>4,317</u>	<u>(758)</u>	<u>3,559</u>
<b>Receipts:</b>			
Taxes	6,262	0	6,262
Miscellaneous Receipts	16,846	205	17,051
Federal Receipts	<u>1</u>	<u>64,194</u>	<u>64,195</u>
<b>Total Receipts</b>	<u>23,109</u>	<u>64,399</u>	<u>87,508</u>
<b>Disbursements:</b>			
Local Assistance	15,753	59,886	75,639
State Operations:			
Personal Service	4,956	681	5,637
Non-Personal Service	2,765	1,388	4,153
General State Charges	1,074	371	1,445
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total Disbursements</b>	<u>24,548</u>	<u>62,326</u>	<u>86,874</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,305	12	2,317
Transfers to Other Funds	<u>(434)</u>	<u>(1,719)</u>	<u>(2,153)</u>
<b>Net Other Financing Sources (Uses)</b>	<u>1,871</u>	<u>(1,707)</u>	<u>164</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>432</u>	<u>366</u>	<u>798</u>
<b>Closing Fund Balance</b>	<u>4,749</u>	<u>(392)</u>	<u>4,357</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>4,272</u>	<u>4,302</u>	<u>30</u>	<u>0.7%</u>
<b>Receipts:</b>				
Taxes	7,639	6,137	(1,502)	-19.7%
Miscellaneous Receipts	17,933	17,780	(153)	-0.9%
Federal Receipts	56,744	60,003	3,259	5.7%
<b>Total Receipts</b>	<u>82,316</u>	<u>83,920</u>	<u>1,604</u>	<u>1.9%</u>
<b>Disbursements:</b>				
Local Assistance	72,126	71,553	(573)	-0.8%
State Operations:				
Personal Service	7,702	5,565	(2,137)	-27.7%
Non-Personal Service	4,886	4,113	(773)	-15.8%
General State Charges	2,603	1,364	(1,239)	-47.6%
Debt Service	0	0	0	0.0%
Capital Projects	0	0	0	0.0%
<b>Total Disbursements</b>	<u>87,317</u>	<u>82,595</u>	<u>(4,722)</u>	<u>-5.4%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	7,949	2,135	(5,814)	-73.1%
Transfers to Other Funds	(2,918)	(3,334)	(416)	-14.3%
<b>Net Other Financing Sources (Uses)</b>	<u>5,031</u>	<u>(1,199)</u>	<u>(6,230)</u>	<u>-123.8%</u>
<b>Excess (Deficiency) of Receipts and Other</b>	<u>30</u>	<u>126</u>	<u>96</u>	<u>320.0%</u>
<b>Closing Fund Balance</b>	<u>4,302</u>	<u>4,428</u>	<u>126</u>	<u>2.9%</u>



**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
(millions of dollars)**

	<u>FY 2019</u> <u>Mid-Year</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>
<b>Personal Income Tax</b>	<b>2,459</b>	<b>2,417</b>	<b>2,402</b>	<b>2,402</b>
<b>Consumption/Use Taxes</b>	<b>1,974</b>	<b>1,969</b>	<b>1,966</b>	<b>1,972</b>
Sales and Use Tax	972	1,006	1,039	1,075
Cigarette and Tobacco Taxes	791	752	716	683
Motor Fuel Tax	107	106	105	105
Highway Use Tax	3	2	2	3
Medical Marihuana Excise Tax	2	2	2	2
Auto Rental Tax	49	51	52	54
Taxicab Surcharge	50	50	50	50
<b>Business Taxes</b>	<b>1,704</b>	<b>1,792</b>	<b>1,833</b>	<b>1,888</b>
Corporation Franchise Tax	815	872	908	952
Corporation and Utilities Tax	156	159	163	166
Insurance Taxes	214	238	253	265
Bank Tax	20	11	0	0
Petroleum Business Tax	499	512	509	505
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>6,137</b>	<b>6,178</b>	<b>6,201</b>	<b>6,262</b>
<b>Miscellaneous Receipts</b>	<b>17,780</b>	<b>17,461</b>	<b>16,879</b>	<b>17,051</b>
HCRA	5,081	5,206	5,279	5,354
State University Income	4,879	5,040	5,210	5,274
Lottery	3,254	3,277	3,281	3,280
Medicaid	886	845	875	906
Industry Assessments	687	691	710	704
Motor Vehicle Fees	422	425	424	418
All Other	2,571	1,977	1,100	1,115
<b>Federal Receipts</b>	<b>60,003</b>	<b>61,070</b>	<b>62,147</b>	<b>64,195</b>
<b>Total</b>	<b>83,920</b>	<b>84,709</b>	<b>85,227</b>	<b>87,508</b>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
(millions of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Mid-Year</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Personal Income Tax</b>	<b>2,589</b>	<b>2,459</b>	<b>(130)</b>	<b>-5.0%</b>
<b>Consumption/Use Taxes</b>	<b>1,985</b>	<b>1,974</b>	<b>(11)</b>	<b>-0.6%</b>
Sales and Use Tax	942	972	30	3.2%
Cigarette and Tobacco Taxes	829	791	(38)	-4.6%
Motor Fuel Tax	109	107	(2)	-1.8%
Highway Use Tax	2	3	1	50.0%
Medical Marihuana Excise Tax	2	2	0	0.0%
Auto Rental Tax	45	49	4	8.9%
Taxicab Surcharge	56	50	(6)	-10.7%
<b>Business Taxes</b>	<b>1,626</b>	<b>1,704</b>	<b>78</b>	<b>4.8%</b>
Corporation Franchise Tax	754	815	61	8.1%
Corporation and Utilities Tax	164	156	(8)	-4.9%
Insurance Taxes	167	214	47	28.1%
Bank Tax	57	20	(37)	-64.9%
Petroleum Business Tax	484	499	15	3.1%
<b>Payroll Tax</b>	<b>1,439</b>	<b>0</b>	<b>(1,439)</b>	<b>-100.0%</b>
<b>Total Taxes</b>	<b>7,639</b>	<b>6,137</b>	<b>(1,502)</b>	<b>-19.7%</b>
<b>Miscellaneous Receipts</b>	<b>17,933</b>	<b>17,780</b>	<b>(153)</b>	<b>-0.9%</b>
HCRA	5,044	5,081	37	0.7%
State University Income	4,776	4,879	103	2.2%
Lottery	3,425	3,254	(171)	-5.0%
Medicaid	858	886	28	3.3%
Industry Assessments	698	687	(11)	-1.6%
Motor Vehicle Fees	414	422	8	1.9%
All Other	2,718	2,571	(147)	-5.4%
<b>Federal Receipts</b>	<b>56,744</b>	<b>60,003</b>	<b>3,259</b>	<b>5.7%</b>
<b>Total</b>	<b>82,316</b>	<b>83,920</b>	<b>1,604</b>	<b>1.9%</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2018  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(491)</u>	<u>(569)</u>	<u>(1,060)</u>
<b>Receipts:</b>			
Taxes	1,313	0	1,313
Miscellaneous Receipts	5,727	2	5,729
Federal Receipts	5	2,120	2,125
<b>Total Receipts</b>	<u>7,045</u>	<u>2,122</u>	<u>9,167</u>
<b>Disbursements:</b>			
Local Assistance	3,101	696	3,797
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,684	1,159	6,843
<b>Total Disbursements</b>	<u>8,785</u>	<u>1,855</u>	<u>10,640</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,883	(276)	2,607
Transfers to Other Funds	(1,380)	(5)	(1,385)
Bond and Note Proceeds	160	0	160
<b>Net Other Financing Sources (Uses)</b>	<u>1,663</u>	<u>(281)</u>	<u>1,382</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(77)</u>	<u>(14)</u>	<u>(91)</u>
<b>Closing Fund Balance</b>	<u><u>(568)</u></u>	<u><u>(583)</u></u>	<u><u>(1,151)</u></u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(568)</u>	<u>(583)</u>	<u>(1,151)</u>
<b>Receipts:</b>			
Taxes	1,395	0	1,395
Miscellaneous Receipts	7,426	0	7,426
Federal Receipts	5	2,428	2,433
<b>Total Receipts</b>	<u>8,826</u>	<u>2,428</u>	<u>11,254</u>
<b>Disbursements:</b>			
Local Assistance	4,540	706	5,246
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,609	1,315	7,924
<b>Total Disbursements</b>	<u>11,149</u>	<u>2,021</u>	<u>13,170</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,362	(380)	2,982
Transfers to Other Funds	(1,348)	(12)	(1,360)
Bond and Note Proceeds	744	0	744
<b>Net Other Financing Sources (Uses)</b>	<u>2,758</u>	<u>(392)</u>	<u>2,366</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>435</b>	<b>15</b>	<b>450</b>
<b>Closing Fund Balance</b>	<u><u>(133)</u></u>	<u><u>(568)</u></u>	<u><u>(701)</u></u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>(133)</b>	<b>(568)</b>	<b>(701)</b>
<b>Receipts:</b>			
Taxes	1,412	0	1,412
Miscellaneous Receipts	6,516	0	6,516
Federal Receipts	5	2,224	2,229
<b>Total Receipts</b>	<b>7,933</b>	<b>2,224</b>	<b>10,157</b>
<b>Disbursements:</b>			
Local Assistance	4,677	706	5,383
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,621	1,095	7,716
<b>Total Disbursements</b>	<b>11,298</b>	<b>1,801</b>	<b>13,099</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	4,131	(394)	3,737
Transfers to Other Funds	(1,591)	(11)	(1,602)
Bond and Note Proceeds	779	0	779
<b>Net Other Financing Sources (Uses)</b>	<b>3,319</b>	<b>(405)</b>	<b>2,914</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(46)</b>	<b>18</b>	<b>(28)</b>
<b>Closing Fund Balance</b>	<b>(179)</b>	<b>(550)</b>	<b>(729)</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2021  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(179)</u>	<u>(550)</u>	<u>(729)</u>
<b>Receipts:</b>			
Taxes	1,409	0	1,409
Miscellaneous Receipts	6,147	0	6,147
Federal Receipts	5	2,182	2,187
<b>Total Receipts</b>	<u>7,561</u>	<u>2,182</u>	<u>9,743</u>
<b>Disbursements:</b>			
Local Assistance	4,028	706	4,734
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,426	1,047	7,473
<b>Total Disbursements</b>	<u>10,454</u>	<u>1,753</u>	<u>12,207</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,834	(401)	3,433
Transfers to Other Funds	(1,586)	(12)	(1,598)
Bond and Note Proceeds	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>2,857</u>	<u>(413)</u>	<u>2,444</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(36)</u>	<u>16</u>	<u>(20)</u>
<b>Closing Fund Balance</b>	<u>(215)</u>	<u>(534)</u>	<u>(749)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2022  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(215)</u>	<u>(534)</u>	<u>(749)</u>
<b>Receipts:</b>			
Taxes	1,405	0	1,405
Miscellaneous Receipts	6,411	0	6,411
Federal Receipts	5	2,182	2,187
<b>Total Receipts</b>	<u>7,821</u>	<u>2,182</u>	<u>10,003</u>
<b>Disbursements:</b>			
Local Assistance	3,809	706	4,515
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,649	1,047	7,696
<b>Total Disbursements</b>	<u>10,458</u>	<u>1,753</u>	<u>12,211</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,822	(402)	3,420
Transfers to Other Funds	(1,467)	(12)	(1,479)
Bond and Note Proceeds	263	0	263
<b>Net Other Financing Sources (Uses)</b>	<u>2,618</u>	<u>(414)</u>	<u>2,204</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(19)</u>	<u>15</u>	<u>(4)</u>
<b>Closing Fund Balance</b>	<u>(234)</u>	<u>(519)</u>	<u>(753)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<b>(1,060)</b>	<b>(1,151)</b>	<b>(91)</b>	<b>-8.6%</b>
<b>Receipts:</b>				
Taxes	1,313	1,395	82	6.2%
Miscellaneous Receipts	5,729	7,426	1,697	29.6%
Federal Receipts	2,125	2,433	308	14.5%
<b>Total Receipts</b>	<b>9,167</b>	<b>11,254</b>	<b>2,087</b>	<b>22.8%</b>
<b>Disbursements:</b>				
Local Assistance	3,797	5,246	1,449	38.2%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,843	7,924	1,081	15.8%
<b>Total Disbursements</b>	<b>10,640</b>	<b>13,170</b>	<b>2,530</b>	<b>23.8%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers From Other Funds	2,607	2,982	375	14.4%
Transfers to Other Funds	(1,385)	(1,360)	25	1.8%
Bond and Note Proceeds	160	744	584	365.0%
<b>Net Other Financing Sources (Uses)</b>	<b>1,382</b>	<b>2,366</b>	<b>984</b>	<b>71.2%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(91)</b>	<b>450</b>	<b>541</b>	<b>594.5%</b>
<b>Closing Fund Balance</b>	<b>(1,151)</b>	<b>(701)</b>	<b>450</b>	<b>39.1%</b>



**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

	FY 2019 Mid-Year	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>Consumption/Use Taxes</b>	<b>625</b>	<b>625</b>	<b>627</b>	<b>628</b>
Motor Fuel Tax	405	401	399	396
Highway Use Tax	139	140	141	142
Auto Rental Tax	81	84	87	90
<b>Business Taxes</b>	<b>651</b>	<b>668</b>	<b>663</b>	<b>658</b>
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	637	654	649	644
<b>Other Taxes</b>	<b>119</b>	<b>119</b>	<b>119</b>	<b>119</b>
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	<b>1,395</b>	<b>1,412</b>	<b>1,409</b>	<b>1,405</b>
<b>Miscellaneous Receipts</b>	<b>7,426</b>	<b>6,516</b>	<b>6,147</b>	<b>6,411</b>
Authority Bond Proceeds	6,339	5,456	5,087	5,359
State Park Fees	137	139	123	123
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	808	794	794	795
All Other	65	50	66	57
<b>Federal Receipts</b>	<b>2,433</b>	<b>2,229</b>	<b>2,187</b>	<b>2,187</b>
<b>Total</b>	<b>11,254</b>	<b>10,157</b>	<b>9,743</b>	<b>10,003</b>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

	FY 2018 Results	FY 2019 Mid-Year	Annual \$ Change	Annual % Change
<b>Consumption/Use Taxes</b>	<b>572</b>	<b>625</b>	<b>53</b>	<b>9.3%</b>
Motor Fuel Tax	403	405	2	0.5%
Highway Use Tax	91	139	48	52.7%
Auto Rental Tax	78	81	3	3.8%
<b>Business Taxes</b>	<b>622</b>	<b>651</b>	<b>29</b>	<b>4.7%</b>
Corporation and Utilities Tax	14	14	0	0.0%
Petroleum Business Tax	608	637	29	4.8%
<b>Other Taxes</b>	<b>119</b>	<b>119</b>	<b>0</b>	<b>0.0%</b>
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	<b>1,313</b>	<b>1,395</b>	<b>82</b>	<b>6.2%</b>
<b>Miscellaneous Receipts</b>	<b>5,729</b>	<b>7,426</b>	<b>1,697</b>	<b>29.6%</b>
Authority Bond Proceeds	4,620	6,339	1,719	37.2%
State Park Fees	209	137	(72)	-34.4%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	833	808	(25)	-3.0%
All Other	(10)	65	75	750.0%
<b>Federal Receipts</b>	<b>2,125</b>	<b>2,433</b>	<b>308</b>	<b>14.5%</b>
<b>Total</b>	<b>9,167</b>	<b>11,254</b>	<b>2,087</b>	<b>22.8%</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**CAPITAL OFF-BUDGET SPENDING**  
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Mid-Year</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	8	9	5	5	5
Empire State Development Corporation	9	13	13	13	13
<b>Functional Total</b>	<b>17</b>	<b>22</b>	<b>18</b>	<b>18</b>	<b>18</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	128	123	93	101	101
People with Developmental Disabilities, Office for	12	37	35	35	35
Alcoholism and Substance Abuse Services, Office of	4	10	9	9	8
<b>Functional Total</b>	<b>144</b>	<b>170</b>	<b>137</b>	<b>145</b>	<b>144</b>
<b>EDUCATION</b>					
Education School Aid	8	15	15	15	15
<b>Functional Total</b>	<b>8</b>	<b>15</b>	<b>15</b>	<b>15</b>	<b>15</b>
<b>HIGHER EDUCATION</b>					
City University of New York	395	390	375	376	376
State University of New York	70	90	86	86	86
<b>Functional Total</b>	<b>465</b>	<b>480</b>	<b>461</b>	<b>462</b>	<b>462</b>
<b>ALL OTHER</b>					
Judiciary	10	7	0	0	0
<b>Functional Total</b>	<b>10</b>	<b>7</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<b>644</b>	<b>694</b>	<b>631</b>	<b>640</b>	<b>639</b>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
(millions of dollars)**

	FY 2019 Mid-Year	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>Personal Income Tax</b>	<b>25,205</b>	<b>26,976</b>	<b>27,505</b>	<b>28,728</b>
<b>Consumption/Use Taxes</b>	<b>7,057</b>	<b>7,332</b>	<b>7,612</b>	<b>7,898</b>
Sales and Use Tax	7,057	7,332	7,612	7,898
<b>Other Taxes</b>	<b>1,059</b>	<b>1,112</b>	<b>1,148</b>	<b>1,186</b>
Real Estate Transfer Tax	1,059	1,112	1,148	1,186
Employer Compensation Expense Program	TBD	TBD	TBD	TBD
<b>Total Taxes</b>	<b>33,321</b>	<b>35,420</b>	<b>36,265</b>	<b>37,812</b>
<b>Miscellaneous Receipts</b>	<b>498</b>	<b>469</b>	<b>469</b>	<b>468</b>
Mental Hygiene Patient Receipts	350	321	321	321
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	4	4	3
<b>Federal Receipts</b>	<b>74</b>	<b>73</b>	<b>73</b>	<b>72</b>
<b>Total</b>	<b>33,893</b>	<b>35,962</b>	<b>36,807</b>	<b>38,352</b>

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
(millions of dollars)**

	FY 2018 Results	FY 2019 Mid-Year	Annual \$ Change	Annual % Change
<b>Personal Income Tax</b>	<b>12,875</b>	<b>25,205</b>	<b>12,330</b>	<b>95.8%</b>
<b>Consumption/Use Taxes</b>	<b>6,777</b>	<b>7,057</b>	<b>280</b>	<b>4.1%</b>
Sales and Use Tax	6,777	7,057	280	4.1%
<b>Other Taxes</b>	<b>1,006</b>	<b>1,059</b>	<b>53</b>	<b>5.3%</b>
Real Estate Transfer Tax	1,006	1,059	53	5.3%
Employer Compensation Expense Program	0	TBD	TBD	TBD
<b>Total Taxes</b>	<b>20,658</b>	<b>33,321</b>	<b>12,663</b>	<b>61.3%</b>
<b>Miscellaneous Receipts</b>	<b>471</b>	<b>498</b>	<b>27</b>	<b>5.7%</b>
Mental Hygiene Patient Receipts	314	350	36	11.5%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	153	144	(9)	-5.9%
All Other	4	4	0	0.0%
<b>Federal Receipts</b>	<b>73</b>	<b>74</b>	<b>1</b>	<b>1.4%</b>
<b>Total</b>	<b>21,202</b>	<b>33,893</b>	<b>12,691</b>	<b>59.9%</b>

CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2018  
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
<b>Opening Fund Balance</b>	<u>7,749</u>	<u>3,732</u>	<u>(491)</u>	<u>144</u>	<u>11,134</u>
<b>Receipts:</b>					
Taxes	49,656	7,639	1,313	20,658	79,266
Miscellaneous Receipts	3,129	17,734	5,727	471	27,061
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<u>52,785</u>	<u>25,374</u>	<u>7,045</u>	<u>21,202</u>	<u>106,406</u>
<b>Disbursements:</b>					
Local Assistance	46,072	19,532	3,101	0	68,705
State Operations:					
Personal Service	6,136	7,034	0	0	13,170
Non-Personal Service	2,092	3,517	0	42	5,651
General State Charges	5,572	2,281	0	0	7,853
Debt Service	0	0	0	5,873	5,873
Capital Projects	0	0	5,684	0	5,684
<b>Total Disbursements</b>	<u>59,872</u>	<u>32,364</u>	<u>8,785</u>	<u>5,915</u>	<u>106,936</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,635	7,949	2,883	3,873	33,340
Transfers to Other Funds	(9,852)	(682)	(1,380)	(19,151)	(31,065)
Bond and Note Proceeds	0	0	160	0	160
<b>Net Other Financing Sources (Uses)</b>	<u>8,783</u>	<u>7,267</u>	<u>1,663</u>	<u>(15,278)</u>	<u>2,435</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,696</u>	<u>277</u>	<u>(77)</u>	<u>9</u>	<u>1,905</u>
<b>Closing Fund Balance</b>	<u>9,445</u>	<u>4,009</u>	<u>(568)</u>	<u>153</u>	<u>13,039</u>

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2019**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	<b>9,445</b>	<b>4,009</b>	<b>(568)</b>	<b>153</b>	<b>13,039</b>
<b>Receipts:</b>					
Taxes	37,070	6,137	1,395	33,321	77,923
Miscellaneous Receipts	2,474	17,578	7,426	498	27,976
Federal Receipts	0	1	5	74	80
<b>Total Receipts</b>	<b>39,544</b>	<b>23,716</b>	<b>8,826</b>	<b>33,893</b>	<b>105,979</b>
<b>Disbursements:</b>					
Local Assistance	51,013	15,687	4,540	0	71,240
State Operations:					
Personal Service	8,812	4,904	0	0	13,716
Non-Personal Service	3,038	2,704	0	47	5,789
General State Charges	7,392	1,018	0	0	8,410
Debt Service	0	0	0	5,520	5,520
Capital Projects	0	0	6,609	0	6,609
<b>Total Disbursements</b>	<b>70,255</b>	<b>24,313</b>	<b>11,149</b>	<b>5,567</b>	<b>111,284</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	33,251	2,123	3,362	3,599	42,335
Transfers to Other Funds	(5,529)	(1,160)	(1,348)	(31,906)	(39,943)
Bond and Note Proceeds	0	0	744	0	744
<b>Net Other Financing Sources (Uses)</b>	<b>27,722</b>	<b>963</b>	<b>2,758</b>	<b>(28,307)</b>	<b>3,136</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(2,989)</b>	<b>366</b>	<b>435</b>	<b>19</b>	<b>(2,169)</b>
<b>Closing Fund Balance</b>	<b>6,456</b>	<b>4,375</b>	<b>(133)</b>	<b>172</b>	<b>10,870</b>

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2020**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	39,752	6,178	1,412	35,420	82,762
Miscellaneous Receipts	2,028	17,259	6,516	469	26,272
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<b><u>41,780</u></b>	<b><u>23,438</u></b>	<b><u>7,933</u></b>	<b><u>35,962</u></b>	<b><u>109,113</u></b>
<b>Disbursements:</b>					
Local Assistance	53,851	15,691	4,677	0	74,219
State Operations:					
Personal Service	9,001	4,989	0	0	13,990
Non-Personal Service	3,079	2,714	0	47	5,840
General State Charges	7,781	1,033	0	0	8,814
Debt Service	0	0	0	6,620	6,620
Capital Projects	0	0	6,621	0	6,621
<b>Total Disbursements</b>	<b><u>73,712</u></b>	<b><u>24,427</u></b>	<b><u>11,298</u></b>	<b><u>6,667</u></b>	<b><u>116,104</u></b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,131	2,101	4,131	3,607	43,970
Transfers to Other Funds	(6,336)	(1,084)	(1,591)	(32,874)	(41,885)
Bond and Note Proceeds	0	0	779	0	779
<b>Net Other Financing Sources (Uses)</b>	<b><u>27,795</u></b>	<b><u>1,017</u></b>	<b><u>3,319</u></b>	<b><u>(29,267)</u></b>	<b><u>2,864</u></b>
<b>Use (Reservation) of Fund Balance:</b>					
Community Projects	26				
Extraordinary Monetary Settlements	1,041				
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>1,067</u></b>				
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(3,070)</b>				
<b>Adherence to 2% Spending Benchmark</b>	<b>2,668</b>				
<b>Net General Fund Surplus (Deficit)</b>	<b><u>(402)</u></b>				

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2021**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	40,931	6,201	1,409	36,265	84,806
Miscellaneous Receipts	2,001	16,674	6,147	469	25,291
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<b><u>42,932</u></b>	<b><u>22,876</u></b>	<b><u>7,561</u></b>	<b><u>36,807</u></b>	<b><u>110,176</u></b>
<b>Disbursements:</b>					
Local Assistance	57,147	15,519	4,028	0	76,694
State Operations:					
Personal Service	9,506	5,041	0	0	14,547
Non-Personal Service	3,111	2,724	0	47	5,882
General State Charges	8,319	1,077	0	0	9,396
Debt Service	0	0	0	7,121	7,121
Capital Projects	0	0	6,426	0	6,426
<b>Total Disbursements</b>	<b><u>78,083</u></b>	<b><u>24,361</u></b>	<b><u>10,454</u></b>	<b><u>7,168</u></b>	<b><u>120,066</u></b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,211	2,175	3,834	3,566	43,786
Transfers to Other Funds	(6,237)	(776)	(1,586)	(33,175)	(41,774)
Bond and Note Proceeds	0	0	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<b><u>27,974</u></b>	<b><u>1,399</u></b>	<b><u>2,857</u></b>	<b><u>(29,609)</u></b>	<b><u>2,621</u></b>
<b>Use (Reservation) of Fund Balance:</b>					
Community Projects	7				
Extraordinary Monetary Settlements	741				
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>748</u></b>				
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(6,429)</b>				
<b>Adherence to 2% Spending Benchmark</b>	<b>5,431</b>				
<b>Net General Fund Surplus (Deficit)</b>	<b><u>(998)</u></b>				

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2022**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	42,605	6,262	1,405	37,812	88,084
Miscellaneous Receipts	1,883	16,846	6,411	468	25,608
Federal Receipts	0	1	5	72	78
<b>Total Receipts</b>	<b><u>44,488</u></b>	<b><u>23,109</u></b>	<b><u>7,821</u></b>	<b><u>38,352</u></b>	<b><u>113,770</u></b>
<b>Disbursements:</b>					
Local Assistance	59,320	15,753	3,809	0	78,882
State Operations:					
Personal Service	9,437	4,956	0	0	14,393
Non-Personal Service	3,162	2,765	0	47	5,974
General State Charges	8,889	1,074	0	0	9,963
Debt Service	0	0	0	7,097	7,097
Capital Projects	0	0	6,649	0	6,649
<b>Total Disbursements</b>	<b><u>80,808</u></b>	<b><u>24,548</u></b>	<b><u>10,458</u></b>	<b><u>7,144</u></b>	<b><u>122,958</u></b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	35,116	2,305	3,822	3,236	44,479
Transfers to Other Funds	(6,232)	(434)	(1,467)	(34,372)	(42,505)
Bond and Note Proceeds	0	0	263	0	263
<b>Net Other Financing Sources (Uses)</b>	<b><u>28,884</u></b>	<b><u>1,871</u></b>	<b><u>2,618</u></b>	<b><u>(31,136)</u></b>	<b><u>2,237</u></b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	885				
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>885</u></b>				
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(6,551)</b>				
<b>Adherence to 2% Spending Benchmark</b>	<b>6,235</b>				
<b>Net General Fund Surplus (Deficit)</b>	<b><u>(316)</u></b>				



**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Opening Fund Balance</b>	<b>11,134</b>	<b>13,039</b>	<b>1,905</b>	<b>17.1%</b>
<b>Receipts:</b>				
Taxes	79,266	77,923	(1,343)	-1.7%
Miscellaneous Receipts	27,061	27,976	915	3.4%
Federal Receipts	79	80	1	1.3%
<b>Total Receipts</b>	<b>106,406</b>	<b>105,979</b>	<b>(427)</b>	<b>-0.4%</b>
<b>Disbursements:</b>				
Local Assistance	68,705	71,240	2,535	3.7%
State Operations:				
Personal Service	13,170	13,716	546	4.1%
Non-Personal Service	5,651	5,789	138	2.4%
General State Charges	7,853	8,410	557	7.1%
Debt Service	5,873	5,520	(353)	-6.0%
Capital Projects	5,684	6,609	925	16.3%
<b>Total Disbursements</b>	<b>106,936</b>	<b>111,284</b>	<b>4,348</b>	<b>4.1%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,340	42,335	8,995	27.0%
Transfers to Other Funds	(31,065)	(39,943)	(8,878)	-28.6%
Bond and Note Proceeds	160	744	584	365.0%
<b>Net Other Financing Sources (Uses)</b>	<b>2,435</b>	<b>3,136</b>	<b>701</b>	<b>28.8%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>1,905</b>	<b>(2,169)</b>	<b>(4,074)</b>	<b>-213.9%</b>
<b>Closing Fund Balance</b>	<b>13,039</b>	<b>10,870</b>	<b>(2,169)</b>	<b>-16.6%</b>

**CASHFLOW  
GENERAL FUND  
FY 2018  
(dollars in millions)**

	2017	April	May	June	July	August	September	October	November	December	2018	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
<b>OPENING BALANCE</b>	7,749	7,405	7,405	3,140	3,014	3,774	3,883	6,523	6,363	4,509	10,145	14,864	15,318	7,749	
<b>RECEIPTS:</b>															
Personal Income Tax	3,751	1,576	3,431	3,431	1,987	2,292	3,536	2,016	1,782	4,991	6,008	2,435	2,232	36,037	
Consumption/Use Taxes	522	539	731	582	570	567	737	570	603	743	619	508	656	7,377	
Business Taxes	421	114	770	770	55	376	782	(46)	18	1,141	(136)	(83)	1,481	4,916	
Other Taxes	91	114	103	103	66	86	214	77	73	154	174	81	93	1,326	
Total Taxes	4,785	2,366	5,035	5,035	2,690	3,321	5,269	2,617	2,476	7,029	6,665	2,941	4,462	49,656	
Abandoned Property	0	0	0	0	0	1	64	5	170	21	24	0	0	175	460
ABC License Fee	6	6	6	6	5	6	6	7	4	5	6	5	3	65	65
Investment Income	4	1	1	1	1	2	2	4	4	3	4	16	17	60	60
Licenses, Fees, etc.	27	73	36	36	51	36	77	63	36	89	61	78	42	669	
Motor Vehicle Fees	36	29	31	31	(5)	34	7	18	16	5	15	20	46	252	
Reimbursements	2	23	37	37	9	24	26	11	28	23	25	15	52	275	
Extraordinary Settlements	0	350	0	0	0	0	231	0	137	0	60	0	0	778	
Other Transactions	20	31	52	52	51	24	106	45	36	82	27	23	73	570	
Total Miscellaneous Receipts	95	513	112	164	112	127	519	153	431	228	222	157	408	3,129	
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,249	509	1,162	1,162	455	356	1,400	674	396	1,687	966	784	1,271	10,909	
Tax in Excess of LGAC	234	54	508	508	263	226	341	260	274	346	280	6	306	3,098	
Sales Tax Bond Fund	179	186	280	280	205	310	310	196	211	282	216	171	317	2,763	
Real Estate Taxes in Excess of CW/CA Debt Service	81	95	89	89	84	86	93	65	80	62	78	77	54	944	
All Other	10	2	3	3	6	5	94	12	40	94	82	111	462	921	
Total Transfers from Other Funds	1,753	846	2,042	2,042	1,013	883	2,238	1,207	1,001	2,471	1,622	1,149	2,410	18,635	
<b>TOTAL RECEIPTS</b>	6,633	3,725	7,241	7,241	3,815	4,331	8,026	3,977	3,908	9,728	8,509	4,247	7,280	71,420	
<b>DISBURSEMENTS:</b>															
School Aid	868	3,358	1,878	1,878	38	570	1,753	801	1,563	1,845	540	651	8150	22,015	
Higher Education	19	37	954	954	221	128	181	93	37	188	27	36	912	2,833	
All Other Education	95	484	75	75	158	56	49	61	407	83	34	117	519	2,138	
Medicaid - DOH	1,376	1,408	1,370	1,370	1,165	1,428	1,150	1,220	1,416	994	1,446	767	138	13,398	
Public Health	68	177	50	50	63	44	36	35	42	30	14	67	81	707	
Mental Hygiene	2	3	184	184	(1)	2	216	0	0	65	116	72	88	747	
Children and Families	36	101	194	194	71	23	134	70	73	170	45	316	372	1,605	
Temporary & Disability Assistance	95	112	143	143	92	93	67	63	67	63	63	197	175	1,230	
Transportation	0	25	14	14	0	25	0	0	24	11	0	13	3	115	
Unrestricted Aid	0	11	388	388	2	0	102	7	2	182	1	1	65	761	
All Other	11	16	90	90	30	74	31	15	50	(29)	104	37	94	523	
Total Local Assistance	2,570	5,732	5,340	5,340	1,839	2,263	3,719	2,365	3,681	3,602	2,090	2,274	10,597	46,072	
Personal Service	485	642	475	475	466	565	477	498	622	488	446	450	522	6,136	
Non-Personal Service	91	226	185	185	142	212	179	181	182	128	222	121	223	2,092	
Total State Operations	576	868	660	660	608	777	656	679	804	616	668	571	745	8,228	
General State Charges	2,398	292	409	409	347	41	514	396	86	404	225	317	143	5,572	
Debt Service	274	(1)	2	2	148	14	(87)	362	(3)	0	306	(28)	60	1,047	
Capital Projects	310	268	438	438	(602)	495	437	(270)	370	(791)	247	360	929	2,191	
State Share Medicaid	100	138	98	98	64	151	95	63	162	92	122	136	112	1,333	
SUNY Operations	531	475	202	202	181	0	0	0	181	(1)	0	0	0	1,015	
Other Purposes	1,433	1,098	958	958	261	1,141	497	697	1,191	(530)	807	631	1,668	4,266	
Total Transfers to Other Funds	6,977	7,990	7,367	7,367	3,055	4,222	5,386	4,137	5,762	4,092	3,790	3,793	13,153	69,724	
<b>TOTAL DISBURSEMENTS</b>	(344)	(4,265)	(126)	(126)	760	109	2,640	(160)	(1,854)	5,636	4,719	454	(5,873)	1,696	
Excess/(Deficiency) of Receipts over Disbursements	7,405	3,140	3,014	3,014	3,774	3,883	6,523	6,363	4,509	10,145	14,864	15,318	9,445	9,445	
<b>CLOSING BALANCE</b>															

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2018  
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	11,625	12,636	8,247	8,315	10,096	10,520	10,984	11,587	9,744	14,492	21,562	22,062	0	11,625
<b>RECEIPTS:</b>														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,695	2,390	6,772	11,229	3,248	2,992	0	51,501
Consumption/Use Taxes	1,178	1,184	1,590	1,280	1,251	1,597	1,263	1,314	1,614	1,352	1,102	1,414	0	16,139
Business Taxes	556	198	977	130	474	995	18	99	1,417	(37)	(39)	1,754	0	6,542
Other Taxes	306	316	298	249	289	413	264	248	339	461	311	277	0	3,771
Total Taxes	7,041	3,800	7,517	4,308	5,070	7,720	4,240	4,051	10,142	13,005	4,622	6,437	0	77,953
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	0	460
ABC License Fee	6	6	6	5	6	6	7	4	5	6	5	3	0	65
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
Investment Income	4	1	2	2	2	2	4	4	3	4	16	17	0	60
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	0	669
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medical	71	68	68	75	70	73	78	74	75	69	71	66	0	858
Motor Vehicle Fees	71	66	69	30	71	43	53	49	34	47	57	76	0	666
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	0	275
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Extraordinary Settlements	0	350	0	0	0	231	0	137	504	191	353	575	0	4,258
Other Transactions	257	206	477	287	207	640	238	313	504	191	353	575	0	4,258
Total Miscellaneous Receipts	1,388	1,744	1,682	1,445	1,583	2,582	1,559	1,871	1,741	1,805	1,927	2,007	0	21,334
Federal Receipts	0	0	0	2	35	0	0	0	0	2	34	1	0	74
<b>TOTAL RECEIPTS</b>	8,429	5,544	9,199	5,755	6,688	10,302	5,799	5,922	11,883	14,812	6,583	8,445	0	99,361
<b>DISBURSEMENTS:</b>														
School Aid	868	3,358	2,205	38	570	3,942	946	1,708	1,990	685	796	8,351	0	25,457
Higher Education	19	37	954	221	128	181	93	37	188	27	36	912	0	2,833
All Other Education	95	484	76	160	58	50	61	407	85	34	118	519	0	2,147
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medical - DOH	1,765	1,939	1,717	1,486	1,915	1,669	1,611	2,028	1,464	1,627	1,422	501	0	19,144
Public Health	111	223	142	255	89	74	87	114	73	84	102	190	0	1,544
Mental Hygiene	39	124	448	106	41	417	48	53	345	218	147	364	0	2,350
Children and Families	36	102	194	71	23	134	70	74	170	45	317	372	0	1,608
Temporary & Disability Assistance	95	112	143	92	93	67	63	67	63	63	197	175	0	1,230
Transportation	245	504	434	372	496	413	362	584	862	204	319	229	0	5,024
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	65	0	761
All Other	42	41	110	60	114	50	66	83	(7)	128	124	106	0	917
Total Local Assistance	3,315	6,995	6,869	2,863	3,527	7,099	3,415	5,168	5,507	5,530	3,581	11,795	0	65,604
Personal Service	1,050	1,371	1,013	998	1,181	998	1,064	1,344	1,034	983	995	1,139	0	13,170
Non-Personal Service	322	500	526	364	526	460	506	474	421	557	409	586	0	5,651
Total State Operations	1,372	1,871	1,539	1,362	1,707	1,458	1,570	1,818	1,455	1,540	1,404	1,725	0	18,821
General State Charges	2,452	739	467	393	429	542	533	521	541	476	399	361	0	7,853
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	0	5,873
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	7,226	9,693	9,061	4,647	6,011	9,856	5,545	7,589	8,032	7,577	6,093	16,821	0	98,151
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,169	1,936	3,151	2,091	1,945	2,800	2,362	2,065	2,980	2,343	1,939	4,307	(631)	30,457
Transfers to other funds	(3,361)	(2,176)	(3,221)	(1,418)	(2,198)	(2,782)	(2,013)	(2,241)	(2,083)	(2,508)	(1,929)	(4,386)	631	(29,685)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(192)	(240)	(70)	673	(253)	18	349	(176)	897	(165)	10	(79)	0	772
Excess/(Deficiency) of Receipts over Disbursements	1,011	(4,359)	68	1,781	424	464	603	(1,843)	4,748	7,070	500	(8,455)	0	1,982
<b>CLOSING BALANCE</b>	12,636	8,247	8,315	10,096	10,520	10,984	11,587	9,744	14,492	21,562	22,062	13,607	0	13,607

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2018  
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	11,105	11,516	6,484	7,680	9,317	9,495	9,851	9,874	8,187	13,854	20,138	18,809		11,105
<b>RECEIPTS:</b>														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,695	2,390	6,772	11,229	3,248	2,992	0	51,501
Consumption/Use Taxes	1,230	1,188	1,643	1,335	1,306	1,652	1,316	1,365	1,666	1,401	1,145	1,464	0	16,711
Business Taxes	603	244	1,032	185	524	1,053	69	151	1,469	15	16	1,804	0	7,165
Other Taxes	306	316	310	261	276	425	276	259	351	473	323	288	0	3,889
Total Taxes	7,140	3,850	7,637	4,430	5,187	7,845	4,356	4,165	10,258	13,118	4,732	6,548	0	79,266
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	0	460
ABC License Fee	6	6	6	5	6	6	7	4	5	6	5	3	0	65
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
Investment Income	4	1	2	1	2	2	4	4	3	4	16	17	0	60
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	0	669
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medicaid	71	68	68	75	70	73	78	74	75	69	71	66	0	858
Motor Vehicle Fees	71	66	69	30	43	43	53	49	34	47	57	76	0	666
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	0	275
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Extraordinary Settlements	0	350	0	0	0	231	0	137	0	0	0	1,186	0	778
Other Transactions	363	348	680	1,522	388	796	1,222	433	2,342	426	480	2,618	0	10,186
Total Miscellaneous Receipts	1,494	1,886	1,885	2,670	1,764	2,738	2,543	1,991	3,579	2,040	2,054	2,618	0	27,262
Federal Receipts	3,473	4,696	5,680	3,774	5,262	5,150	4,215	5,350	5,051	3,762	3,963	8,566	0	58,942
<b>TOTAL RECEIPTS</b>	<b>12,107</b>	<b>10,432</b>	<b>15,202</b>	<b>10,874</b>	<b>12,213</b>	<b>15,733</b>	<b>11,114</b>	<b>11,506</b>	<b>18,888</b>	<b>18,920</b>	<b>10,749</b>	<b>17,732</b>	<b>0</b>	<b>165,470</b>
<b>DISBURSEMENTS:</b>														
School Aid	1,081	3,564	2,523	239	723	4,051	1,079	1,928	2,323	894	966	8,539	0	27,910
Higher Education	19	37	954	221	128	181	93	37	188	27	36	913	0	2,834
All Other Education	146	643	235	229	142	235	128	456	119	72	164	560	0	2,987
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medicaid - DOH	4,786	5,844	4,698	4,462	6,008	4,698	4,806	5,680	4,774	4,856	5,483	4,141	0	60,236
Public Health	171	408	322	433	192	835	226	244	196	278	243	698	0	4,246
Mental Hygiene	58	155	469	122	56	441	82	63	362	230	170	392	0	2,600
Children and Families	127	291	303	102	166	181	162	158	182	54	375	663	0	2,764
Temporary & Disability Assistance	256	236	378	242	195	300	653	249	146	187	651	785	0	4,278
Transportation	285	559	522	402	632	512	435	627	1,154	268	394	445	0	6,235
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	283	65	0	761
All Other	376	239	287	322	477	359	352	410	328	511	283	611	0	4,555
Total Local Assistance	7,305	11,987	11,137	6,776	8,719	11,753	8,024	9,865	10,046	9,792	8,768	17,823	0	121,995
Personal Service	1,100	1,450	1,065	1,045	1,228	1,047	1,112	1,422	1,082	1,031	1,045	1,211	0	13,838
Non-Personal Service	369	619	612	427	659	647	645	489	489	693	530	734	0	7,020
Total State Operations	1,469	2,069	1,677	1,472	1,887	1,694	1,757	2,018	1,571	1,724	1,575	1,945	0	20,858
General State Charges	2,459	785	186	404	487	562	557	565	552	479	458	389	0	8,175
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	0	5,873
Capital Projects	350	472	525	556	577	596	719	645	518	606	564	715	0	6,843
<b>TOTAL DISBURSEMENTS</b>	<b>11,670</b>	<b>15,461</b>	<b>14,003</b>	<b>9,237</b>	<b>12,018</b>	<b>15,362</b>	<b>11,084</b>	<b>13,175</b>	<b>13,216</b>	<b>12,632</b>	<b>12,074</b>	<b>23,812</b>	<b>0</b>	<b>163,744</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,485	2,313	3,628	1,507	2,457	3,239	2,096	2,430	2,280	2,595	2,302	5,363	(631)	33,064
Transfers to other funds	(3,511)	(2,316)	(3,631)	(1,507)	(2,474)	(3,254)	(2,103)	(2,448)	(2,285)	(2,599)	(2,306)	(5,503)	631	(33,306)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	160	0	160
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(26)</b>	<b>(3)</b>	<b>(3)</b>	<b>0</b>	<b>(17)</b>	<b>(15)</b>	<b>(7)</b>	<b>(18)</b>	<b>(5)</b>	<b>(4)</b>	<b>(4)</b>	<b>20</b>	<b>0</b>	<b>(82)</b>
Excess/(Deficiency) of Receipts over Disbursements	411	(5,029)	1,196	1,637	178	356	23	(1,687)	5,667	6,284	(1,329)	(6,060)	0	1,644
<b>CLOSING BALANCE</b>	<b>11,516</b>	<b>6,484</b>	<b>7,680</b>	<b>9,317</b>	<b>9,495</b>	<b>9,851</b>	<b>9,874</b>	<b>8,187</b>	<b>13,854</b>	<b>20,138</b>	<b>18,809</b>	<b>12,749</b>	<b>0</b>	<b>12,749</b>

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2018**  
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,272	4,732	3,896	5,154	5,592	5,523	4,213	4,049	3,888	3,916	3,393	1,990		4,272
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	58	0	0	0	5	11	88	2,414	1	12	0	2,589
Consumption/Use Taxes	183	157	183	171	169	179	173	160	178	173	125	134	0	1,985
Business Taxes	135	61	207	75	98	213	64	81	276	99	44	273	0	1,626
Other Taxes	120	107	106	99	105	100	117	91	117	204	148	125	0	1,439
Total Taxes	438	325	554	345	372	492	359	343	659	2,890	318	544	0	7,639
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
State/University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Lottery	263	308	288	259	362	260	256	337	264	337	259	254	0	3,425
Medicaid	71	68	68	75	70	73	78	74	75	69	71	66	0	858
Motor Vehicle Fees	35	37	38	35	37	36	35	33	41	32	37	30	0	414
Other Transactions	200	181	381	218	238	448	179	261	415	130	315	450	0	3,416
Total Miscellaneous Receipts	1,256	1,237	1,474	1,305	1,511	1,977	1,392	1,424	1,506	1,549	1,755	1,547	0	17,933
Federal Receipts	3,337	4,583	5,398	3,633	5,067	5,002	4,086	5,080	4,748	3,634	3,783	8,393	0	56,744
<b>TOTAL RECEIPTS</b>	5,031	6,145	7,426	5,283	6,950	7,471	5,837	6,847	6,913	8,073	5,856	10,484	0	82,316
<b>DISBURSEMENTS:</b>														
School Aid	213	206	623	195	149	2,292	258	358	468	350	309	382	0	5,803
Higher Education	0	0	0	0	0	0	0	0	0	0	0	1	0	1
All Other Education	51	159	160	54	86	44	67	49	36	38	47	39	0	830
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medicaid - DOH	3,410	4,436	3,328	3,297	4,760	3,548	3,586	4,264	3,780	3,710	4,716	4,003	0	46,838
Public Health	103	222	270	360	142	700	174	198	130	228	165	601	0	3,383
Mental Hygiene	50	136	273	116	45	213	71	64	291	110	84	290	0	1,743
Children and Families	91	190	109	31	143	47	92	85	12	9	59	291	0	1,159
Temporary & Disability Assistance	161	124	235	150	86	233	590	182	67	124	454	595	0	3,001
Transportation	251	481	426	374	473	415	364	570	854	206	309	233	0	4,956
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	153	93	22	136	150	84	206	214	90	301	141	233	0	1,823
Total Local Assistance	4,483	6,047	5,504	4,713	6,034	7,666	5,409	5,995	5,820	7,490	6,286	6,679	0	72,126
Personal Service	615	808	590	579	663	570	614	800	594	585	595	689	0	7,702
Non-Personal Service	277	391	423	277	446	464	463	414	350	470	408	503	0	4,886
Total State Operations	892	1,199	1,013	856	1,109	1,034	1,077	1,214	944	1,055	1,003	1,192	0	12,588
General State Charges	61	493	69	57	446	48	161	479	148	254	141	246	0	2,603
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	5,436	7,739	6,586	5,626	7,589	8,748	6,647	7,688	6,912	8,799	7,430	8,117	0	87,317
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	1,026	977	834	856	856	234	724	887	388	350	575	873	(631)	7,949
Transfers to Other Funds	(161)	(219)	(416)	(75)	(286)	(267)	(78)	(207)	(361)	(147)	(404)	(928)	631	(2,918)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	865	758	418	781	570	(33)	646	680	27	203	171	(55)	0	5,031
Excess/(Deficiency) of Receipts over Disbursements	460	(836)	1,258	438	(69)	(1,310)	(164)	(161)	28	(523)	(1,403)	2,312	0	30
<b>CLOSING BALANCE</b>	4,732	3,896	5,154	5,592	5,523	4,213	4,049	3,888	3,916	3,393	1,990	4,302	0	4,302

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**FY 2018**  
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,732	4,805	4,536	4,883	5,542	5,634	4,065	4,437	4,117	3,610	3,744	4,035		3,732
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	58	0	0	0	5	11	88	2,414	1	12	0	2,589
Consumption/Use Taxes	183	157	183	171	169	179	173	160	178	173	125	134	0	1,985
Business Taxes	135	61	207	75	98	213	64	81	276	99	44	273	0	1,626
Other Taxes	120	107	106	99	105	100	117	91	117	204	148	125	0	1,439
Total Taxes	438	325	554	345	372	492	359	343	659	2,890	318	544	0	7,639
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
State University Income	290	248	301	245	364	408	364	340	265	553	673	336	0	4,776
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medicaid	71	68	68	75	70	75	78	74	75	69	71	66	0	858
Motor Vehicle Fees	35	37	38	35	37	36	35	33	29	32	37	30	0	414
Other Transactions	187	133	369	205	213	439	166	244	405	118	298	440	0	3,217
Total Miscellaneous Receipts	1,243	1,189	1,462	1,292	1,486	1,968	1,379	1,407	1,496	1,537	1,738	1,537	0	17,734
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
<b>TOTAL RECEIPTS</b>	<b>1,681</b>	<b>1,514</b>	<b>2,016</b>	<b>1,637</b>	<b>1,858</b>	<b>2,460</b>	<b>1,738</b>	<b>1,750</b>	<b>2,155</b>	<b>4,427</b>	<b>2,056</b>	<b>2,082</b>	<b>0</b>	<b>25,374</b>
<b>DISBURSEMENTS:</b>														
School Aid	0	0	327	0	0	2,189	145	145	145	145	145	201	0	3,442
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	2	2	1	0	0	2	0	1	0	0	9
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medicaid - DOH	389	531	347	321	667	519	391	612	470	481	655	363	0	5,746
Public Health	43	46	92	192	45	38	52	72	43	70	35	109	0	837
Mental Hygiene	37	121	264	107	39	201	48	53	280	102	75	276	0	1,603
Children and Families	0	1	0	0	0	0	0	1	0	0	1	0	0	3
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	245	479	420	372	471	413	362	560	851	204	306	226	0	4,909
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	31	25	20	30	40	19	51	33	22	24	87	12	0	394
Total Local Assistance	745	1,203	1,529	1,024	1,264	3,380	1,050	1,487	1,905	3,440	1,307	1,198	0	19,532
Personal Service	565	729	538	532	616	521	566	722	546	537	545	617	0	7,034
Non-Personal Service	230	272	337	214	323	277	324	292	282	334	287	355	0	3,517
Total State Operations	795	1,001	875	746	929	798	890	1,014	828	871	832	972	0	10,551
General State Charges	54	447	58	46	388	28	137	435	137	251	82	218	0	2,281
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	<b>1,594</b>	<b>2,651</b>	<b>2,462</b>	<b>1,816</b>	<b>2,581</b>	<b>4,206</b>	<b>2,077</b>	<b>2,936</b>	<b>2,870</b>	<b>4,562</b>	<b>2,221</b>	<b>2,388</b>	<b>0</b>	<b>32,364</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	1,026	977	834	856	856	234	724	887	388	350	575	873	(631)	7,949
Transfers to Other Funds	(40)	(109)	(41)	(18)	(41)	(57)	(13)	(21)	(180)	(81)	(119)	(593)	631	(682)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>986</b>	<b>868</b>	<b>793</b>	<b>838</b>	<b>815</b>	<b>177</b>	<b>711</b>	<b>866</b>	<b>208</b>	<b>269</b>	<b>456</b>	<b>280</b>	<b>0</b>	<b>7,267</b>
Excess/(Deficiency) of Receipts over Disbursements	1,073	(269)	347	659	92	(1,569)	372	(320)	(507)	134	291	(26)	0	277
<b>CLOSING BALANCE</b>	<b>4,805</b>	<b>4,536</b>	<b>4,883</b>	<b>5,542</b>	<b>5,634</b>	<b>4,065</b>	<b>4,437</b>	<b>4,117</b>	<b>3,610</b>	<b>3,744</b>	<b>4,035</b>	<b>4,009</b>	<b>0</b>	<b>4,009</b>

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2018**  
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	540	(73)	(640)	271	50	(111)	148	(388)	(229)	306	(351)	(2,045)	540
<b>RECEIPTS:</b>													
Miscellaneous Receipts	13	48	12	13	25	9	13	17	10	12	17	10	199
Federal Receipts	3,337	4,583	5,398	3,633	5,067	5,002	4,086	5,080	4,748	3,634	3,783	8,392	56,743
<b>TOTAL RECEIPTS</b>	3,350	4,631	5,410	3,646	5,092	5,011	4,099	5,097	4,758	3,646	3,800	8,402	56,942
<b>DISBURSEMENTS:</b>													
School Aid	213	206	296	195	149	103	113	213	323	205	164	181	2,361
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	1
All Other Education	51	159	159	52	84	43	67	49	34	38	46	39	821
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medical - DOH	3,021	3,905	2,981	2,976	4,083	3,029	3,195	3,652	3,310	3,229	4,061	3,640	41,092
Public Health	60	176	178	168	97	732	122	126	87	158	130	492	2,546
Mental Hygiene	13	15	9	9	6	12	23	11	11	8	9	14	140
Children and Families	191	189	109	31	143	47	92	84	12	9	58	291	1,156
Temporary & Disability Assistance	161	124	235	150	86	233	590	182	67	124	454	595	3,001
Transportation	6	2	6	2	2	2	2	10	3	2	3	7	47
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	122	68	2	106	110	65	155	181	68	277	54	221	1,429
<b>Total Local Assistance</b>	3,738	4,848	3,975	3,689	4,770	4,286	4,359	4,508	3,915	4,050	4,979	5,481	52,594
Personal Service	50	79	52	47	47	49	48	78	48	48	50	72	668
Non-Personal Service	47	119	86	63	133	187	139	122	68	136	121	148	1,369
<b>Total State Operations</b>	97	198	138	110	180	236	187	200	116	184	171	220	2,037
General State Charges	7	46	11	11	58	20	24	44	11	3	59	28	322
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	3,842	5,088	4,124	3,810	5,008	4,542	4,570	4,752	4,042	4,237	5,209	5,729	54,953
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(121)	(110)	(375)	(57)	(245)	(210)	(65)	(186)	(181)	(66)	(285)	(335)	(2,236)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(121)	(110)	(375)	(57)	(245)	(210)	(65)	(186)	(181)	(66)	(285)	(335)	(2,236)
Excess/(Deficiency) of Receipts over Disbursements	(613)	(567)	911	(221)	(161)	259	(536)	159	535	(657)	(1,694)	2,338	(247)
<b>CLOSING BALANCE</b>	(73)	(640)	271	50	(111)	148	(388)	(229)	306	(351)	(2,045)	293	293

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2018  
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	144	426	571	418	780	1,003	396	787	1,118	737	2,954	2,709	144
<b>RECEIPTS:</b>													
Personal Income Tax	1,250	526	1,163	662	764	1,179	674	597	1,693	2,807	812	748	12,875
Consumption/Use Taxes	473	488	676	527	515	681	520	551	693	560	469	624	6,777
Other Taxes	95	95	89	84	98	99	70	84	68	83	82	59	1,006
Total Taxes	1,818	1,109	1,928	1,273	1,377	1,959	1,264	1,232	2,454	3,450	1,363	1,431	20,658
Miscellaneous Receipts	50	42	56	41	(30)	95	27	33	17	46	32	62	471
Federal Receipts	0	0	0	2	35	0	0	0	0	2	34	0	73
<b>TOTAL RECEIPTS</b>	1,868	1,151	1,984	1,316	1,382	2,054	1,291	1,265	2,471	3,498	1,429	1,493	21,202
<b>DISBURSEMENTS:</b>													
State Operations	1	2	4	8	1	4	1	0	11	1	1	8	42
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	5,873
<b>TOTAL DISBURSEMENTS</b>	88	150	190	37	349	761	28	82	540	32	710	2,948	5,915
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	390	113	275	222	206	328	431	177	121	371	215	1,024	3,873
Transfers to Other Funds	(1,888)	(969)	(2,222)	(1,139)	(1,016)	(2,228)	(1,303)	(1,029)	(2,433)	(1,620)	(1,179)	(2,125)	(19,151)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(1,498)	(856)	(1,947)	(917)	(810)	(1,900)	(872)	(852)	(2,312)	(1,249)	(964)	(1,101)	(15,278)
Excess/(Deficiency) of Receipts over Disbursements	282	145	(153)	362	223	(607)	391	331	(381)	2,217	(245)	(2,556)	9
<b>CLOSING BALANCE</b>	426	571	418	780	1,003	396	787	1,118	737	2,954	2,709	153	153



**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2018**  
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(1,060)	(1,047)	(1,123)	(906)	(829)	(914)	(1,281)	(1,325)	(1,328)	(944)	(1,073)	(1,208)	(1,060)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	52	4	53	55	55	55	53	51	52	49	43	50	572
Business Taxes	47	46	55	55	50	58	51	52	52	52	55	50	623
Other Taxes	0	0	12	12	12	12	12	11	12	12	12	11	118
Total Taxes	99	50	120	122	117	125	116	114	116	113	110	111	1,313
Miscellaneous Receipts	93	94	191	1,212	156	147	971	103	1,828	223	110	601	5,729
Federal Receipts	136	113	282	139	160	148	129	270	303	126	146	173	2,125
<b>TOTAL RECEIPTS</b>	<b>328</b>	<b>257</b>	<b>593</b>	<b>1,473</b>	<b>433</b>	<b>420</b>	<b>1,216</b>	<b>487</b>	<b>2,247</b>	<b>462</b>	<b>366</b>	<b>885</b>	<b>9,167</b>
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	0	0	0	0	0	0	0	0	19
Public Health	0	9	2	10	6	9	17	4	36	36	11	16	156
Mental Hygiene	6	16	12	7	9	12	11	(1)	6	6	14	14	110
School Aid	0	0	22	6	0	6	20	7	10	4	6	7	92
Temporary & Disability Assistance	0	0	0	0	16	0	0	0	16	0	0	15	47
Transportation	34	53	82	28	134	97	71	33	289	62	72	209	1,164
All Other Local	212	130	175	156	253	244	131	146	267	106	105	284	2,209
Total Local Assistance	252	208	293	224	422	368	250	189	624	212	208	547	3,797
Economic Development	1	4	11	5	17	14	6	29	17	6	10	15	135
Parks & the Environment	20	34	48	34	42	35	48	48	36	44	47	58	494
Transportation	216	262	266	354	297	362	442	338	239	365	207	341	3,689
Health & Social Welfare	2	0	5	4	7	(11)	9	10	9	14	11	37	97
Mental Hygiene	17	18	34	13	25	24	18	38	19	22	20	39	287
Public Protection	19	36	51	43	31	46	35	47	37	53	50	73	521
Education	49	81	70	75	93	78	108	75	83	65	102	105	984
All Other	26	37	40	28	65	48	53	60	78	37	117	47	636
Total Capital Projects	350	472	525	556	577	596	719	645	518	606	564	715	6,843
<b>TOTAL DISBURSEMENTS</b>	<b>602</b>	<b>680</b>	<b>818</b>	<b>780</b>	<b>999</b>	<b>964</b>	<b>969</b>	<b>834</b>	<b>1,142</b>	<b>818</b>	<b>772</b>	<b>1,262</b>	<b>10,640</b>
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	316	377	477	(584)	512	439	(266)	365	(700)	252	363	1,056	2,607
Transfers to Other Funds	(29)	(30)	(35)	(32)	(31)	(262)	(25)	(21)	(700)	(29)	(92)	(782)	(1,385)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	160	160
NET OTHER FINANCING SOURCES/(USES)	287	347	442	(616)	481	177	(291)	344	(721)	227	271	434	1,382
Excess/(Deficiency) of Receipts over Disbursements	13	(76)	217	77	(85)	(367)	(44)	(3)	384	(129)	(135)	57	(91)
<b>CLOSING BALANCE</b>	<b>(1,047)</b>	<b>(1,123)</b>	<b>(906)</b>	<b>(829)</b>	<b>(914)</b>	<b>(1,281)</b>	<b>(1,325)</b>	<b>(1,328)</b>	<b>(944)</b>	<b>(1,073)</b>	<b>(1,208)</b>	<b>(1,151)</b>	<b>(1,151)</b>

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2018**  
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(491)	(502)	(557)	(470)	(406)	(471)	(815)	(788)	(921)	(526)	(659)	(772)	(491)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	52	4	53	55	55	55	53	51	52	49	43	51	573
Business Taxes	47	46	55	55	50	58	52	52	52	52	55	49	622
Other Taxes	0	0	12	12	12	12	12	11	12	12	12	11	118
Total Taxes	99	50	120	122	117	125	116	114	116	113	110	111	1,313
Miscellaneous Receipts	92	94	191	1,212	156	147	971	103	1,827	223	110	601	5,727
Federal Receipts	0	0	0	0	0	3	0	0	0	0	0	2	5
<b>TOTAL RECEIPTS</b>	191	144	311	1,334	273	275	1,087	217	1,943	336	220	714	7,045
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	0	0	0	0	0	0	0	0	19
Public Health	0	9	2	10	6	4	17	4	3	34	11	12	112
Mental Hygiene	6	16	12	12	9	12	11	(1)	6	4	14	14	110
School Aid	0	0	22	6	4	6	20	7	10	4	6	7	92
Temporary & Disability Assistance	0	0	0	0	16	0	0	0	16	0	0	15	47
Transportation	3	10	51	3	73	72	12	6	230	11	23	172	666
All Other Local	212	130	175	156	253	241	131	146	122	104	105	280	2,055
Total Local Assistance	221	165	262	199	361	335	191	162	387	157	159	502	3,101
Economic Development	1	4	11	5	17	14	6	29	17	6	10	15	135
Parks & the Environment	20	33	47	33	42	34	47	47	36	44	46	57	486
Transportation	136	175	154	257	184	232	308	229	164	301	180	264	2,584
Health & Social Welfare	2	0	4	4	6	(11)	9	9	8	13	10	35	89
Mental Hygiene	17	18	34	13	25	24	18	38	19	22	20	39	287
Public Protection	17	34	49	40	29	42	32	45	35	51	46	70	490
Education	49	81	70	75	93	78	108	75	83	65	102	105	984
All Other	26	36	40	28	62	48	50	60	78	37	117	147	629
Total Capital Projects	268	381	409	455	458	461	578	532	440	539	531	632	5,684
<b>TOTAL DISBURSEMENTS</b>	489	546	671	654	819	796	769	694	827	696	690	1,134	8,785
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	316	377	477	(584)	513	438	(266)	365	(700)	252	450	1,245	2,883
Transfers to Other Funds	(29)	(30)	(30)	(32)	(32)	(261)	(21)	(21)	(21)	(25)	(93)	(781)	(1,380)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	160	160
NET OTHER FINANCING SOURCES/(USES)	287	347	447	(616)	481	177	(291)	344	(721)	227	357	624	1,663
Excess/(Deficiency) of Receipts over Disbursements	(11)	(55)	87	64	(65)	(344)	27	(133)	395	(133)	(113)	204	(77)
<b>CLOSING BALANCE</b>	(502)	(557)	(470)	(406)	(471)	(815)	(788)	(921)	(526)	(659)	(772)	(568)	(568)

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2018**  
(dollars in millions)

	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
<b>OPENING BALANCE</b>	(569)	(545)	(566)	(436)	(423)	(443)	(466)	(537)	(407)	(418)	(414)	(437)	(569)	(545)	(566)	(436)
<b>RECEIPTS:</b>																
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	1	0	0	0	2	0	0	0
Federal Receipts	136	113	282	139	160	145	129	270	303	126	146	171	2,120	136	113	282
<b>TOTAL RECEIPTS</b>	137	113	282	139	160	145	129	270	304	126	146	171	2,122	137	113	282
<b>DISBURSEMENTS:</b>																
Public Health	0	0	0	0	0	5	0	0	0	2	0	3	43	0	0	0
Transportation	31	43	31	25	61	25	59	27	59	51	49	38	499	31	43	31
All Other Local	0	0	0	0	0	3	0	0	145	2	0	4	154	0	0	0
Total Local Assistance	31	43	31	25	61	33	59	27	237	55	49	45	696	31	43	31
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	1	1	0	1	1	1	0	0	1	1	8	0	1	1
Transportation	80	87	112	97	113	130	134	109	75	64	27	77	1,105	80	87	112
Health & Social Welfare	0	0	1	0	1	0	0	1	1	1	1	2	8	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	3	2	4	3	2	2	2	4	3	31	2	2	3
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	1	0	0	3	0	3	0	0	0	0	0	7	0	1	0
Total Capital Projects	82	91	116	101	119	135	141	113	78	67	33	83	1,159	82	91	116
<b>TOTAL DISBURSEMENTS</b>	113	134	147	126	180	168	200	140	315	122	82	128	1,855	113	134	147
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	0	0	0	0	(1)	1	0	0	0	0	(87)	(189)	(276)	0	0	0
Transfers to Other Funds	0	0	(5)	0	1	(1)	0	0	0	0	0	0	(5)	0	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	(5)	0	0	0	0	0	0	0	(87)	(189)	(281)	0	0	0
Excess/(Deficiency) of Receipts over Disbursements	24	(21)	130	13	(20)	(23)	(71)	130	(11)	4	(23)	(146)	(14)	24	(21)	130
<b>CLOSING BALANCE</b>	(545)	(566)	(436)	(423)	(443)	(466)	(537)	(407)	(418)	(414)	(437)	(583)	(545)	(566)	(436)	(423)

**CASHFLOW  
STATE FUNDS  
FY 2018  
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	11,134	12,134	7,690	7,845	9,690	10,049	10,169	10,799	8,823	13,966	20,903	21,290		11,134
<b>RECEIPTS:</b>														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,695	2,990	6,772	11,229	3,248	2,992	0	51,501
Consumption/Use Taxes	1,230	1,188	1,643	1,335	1,306	1,652	1,316	1,365	1,666	1,401	1,445	1,465	0	16,712
Business Taxes	603	244	1,032	185	524	1,053	69	151	1,469	15	16	1,803	0	7,164
Other Taxes	306	316	310	261	301	425	276	259	351	473	323	288	0	3,889
Total Taxes	7,140	3,850	7,637	4,430	5,187	7,845	4,356	4,165	10,258	13,118	4,732	6,548	0	79,265
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	0	460
ABC License Fee	6	6	6	5	6	6	4	4	5	6	5	3	0	65
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
Investment Income	4	1	2	2	2	2	4	4	3	4	16	17	0	60
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	0	669
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medical	71	68	68	75	70	73	74	78	74	69	71	66	0	858
Motor Vehicle Fees	71	66	69	30	43	53	47	34	47	34	57	76	0	666
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	0	275
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Extraordinary Settlements	0	350	0	0	0	231	0	137	0	60	0	0	0	778
Other Transactions	349	300	668	1,509	363	787	1,209	416	2,331	414	463	1,176	0	9,985
Total Miscellaneous Receipts	1,480	1,838	1,873	2,657	1,739	2,729	2,530	1,974	3,568	2,028	2,037	2,608	0	27,061
Federal Receipts	0	0	0	2	35	3	0	0	0	2	34	3	0	79
<b>TOTAL RECEIPTS</b>	8,620	5,688	9,510	7,089	6,961	10,577	6,886	6,139	13,826	15,148	6,803	9,159	0	106,406
<b>DISBURSEMENTS:</b>														
School Aid	868	3,358	2,227	44	574	3,948	966	1,715	2,000	689	802	8,358	0	25,549
Higher Education	19	37	954	221	128	181	93	37	188	27	36	912	0	2,833
All Other Education	95	484	76	177	58	50	61	407	85	34	118	521	0	2,166
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medical - DOH	1,765	1,939	1,717	1,486	1,915	1,669	1,611	2,028	1,464	1,627	1,422	501	0	19,144
Public Health	111	232	144	265	95	78	104	118	76	118	113	202	0	1,656
Mental Hygiene	45	140	460	113	50	429	59	52	351	222	161	378	0	2,460
Children and Families	36	102	194	71	23	134	70	74	170	45	317	372	0	1,608
Temporary & Disability Assistance	95	112	143	92	109	67	63	67	79	63	197	190	0	1,277
Transportation	248	514	485	375	569	485	374	590	1,082	215	342	401	0	5,690
Unrestricted Aid	0	11	388	2	0	102	7	2	182	0	1	65	0	761
All Other	254	171	285	216	367	291	197	229	115	232	229	386	0	2,972
Total Local Assistance	3,536	7,100	7,131	3,062	3,888	7,434	3,606	5,330	5,894	5,687	3,740	12,297	0	68,705
Personal Service	1,050	1,371	1,013	998	1,181	998	1,064	1,344	1,034	983	995	1,139	0	13,170
Non-Personal Service	322	500	526	364	526	460	506	474	421	557	409	586	0	5,651
Total State Operations	1,372	1,871	1,539	1,362	1,707	1,458	1,570	1,818	1,455	1,540	1,404	1,725	0	18,821
General State Charges	2,452	739	467	393	429	542	533	521	541	476	399	361	0	7,853
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	0	5,873
Capital Projects	268	381	409	455	458	461	578	532	440	539	531	632	0	5,684
<b>TOTAL DISBURSEMENTS</b>	7,715	10,239	9,732	5,301	6,830	10,652	6,314	8,283	8,859	8,273	6,783	17,955	0	106,936
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,485	2,313	3,628	1,507	2,458	3,238	2,096	2,430	2,280	2,595	2,389	5,552	(631)	33,340
Transfers to other funds	(3,990)	(2,066)	(3,251)	(1,450)	(2,230)	(3,043)	(2,038)	(2,262)	(2,104)	(2,533)	(2,022)	(5,167)	631	(31,065)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	160	0	160
<b>NET OTHER FINANCING SOURCES (USES)</b>	95	107	377	57	228	195	58	168	176	62	367	545	0	2,435
Excess/(Deficiency) of Receipts over Disbursements	1,000	(4,444)	155	1,845	359	120	630	(1,976)	5,143	6,937	387	(8,251)	0	1,905
<b>CLOSING BALANCE</b>	12,134	7,690	7,845	9,690	10,049	10,169	10,799	8,823	13,966	20,903	21,290	13,039	0	13,039

**CASHFLOW  
GENERAL FUND  
FY 2019  
(dollars in millions)**

	2018					2019					Total		
	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected		February Projected	March Projected
<b>OPENING BALANCE</b>	9,445	9,938	5,130	6,313	5,417	4,114	6,451	6,612	3,883	6,478	12,456	12,748	9,445
<b>RECEIPTS:</b>													
Personal Income Tax	2,928	1,092	2,476	1,450	1,487	2,398	1,273	826	2,375	3,108	1,759	1,574	22,746
Consumption/Use Taxes	544	580	799	598	595	765	585	586	760	628	522	685	7,647
Business Taxes	346	(120)	1,020	168	84	992	94	85	1,355	(107)	100	1,609	5,626
Other Taxes	52	82	71	99	74	123	114	88	87	87	87	87	1,051
Total Taxes	3,870	1,634	4,366	2,315	2,240	4,278	2,066	1,585	4,577	3,716	2,468	3,955	37,070
Abandoned Property	1	0	0	0	0	10	45	120	15	15	0	244	450
ABC License Fee	6	7	7	7	5	5	6	5	4	5	5	4	66
Investment Income	17	10	11	7	9	11	4	0	0	0	0	(29)	40
Licenses, Fees, etc.	51	43	58	63	67	36	71	45	68	70	50	48	670
Motor Vehicle Fees	30	25	13	2	35	3	23	25	30	26	30	27	269
Reimbursements	(17)	(54)	106	(5)	23	26	(90)	4	4	4	4	102	107
Extraordinary Settlements	108	74	205	15	0	0	40	0	0	0	0	1	443
Other Transactions	24	100	(17)	52	26	92	76	31	70	33	31	(89)	429
Total Miscellaneous Receipts	220	205	383	141	165	183	175	230	191	153	120	308	2,474
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	2,928	1,070	2,487	1,438	1,056	2,648	1,273	837	2,295	5,457	1,474	657	23,620
Tax in Excess of LGAC	249	218	405	275	250	355	269	268	355	285	244	(17)	3,156
Sales Tax Bond Fund	187	187	291	204	195	320	171	170	257	187	146	327	2,642
Real Estate Taxes in Excess of CW/CA Debt Service	74	92	88	82	105	78	71	85	75	87	85	76	998
All Other	101	81	86	68	172	109	115	121	109	146	210	1,517	2,835
Total Transfers from Other Funds	3,539	1,648	3,357	2,067	1,778	3,510	1,899	1,481	3,091	6,162	2,159	2,560	33,251
<b>TOTAL RECEIPTS</b>	7,629	3,487	8,106	4,523	4,183	7,971	4,140	3,296	7,859	10,031	4,747	6,823	72,795
<b>DISBURSEMENTS:</b>													
School Aid	1,113	3,526	1,566	371	648	1,617	888	1,623	2,071	505	746	8,469	23,143
Higher Education	25	43	267	1,154	61	178	37	110	220	70	347	545	3,057
All Other Education	42	46	51	300	463	32	89	313	154	43	331	455	2,319
Medicaid - DOH	1,523	1,924	1,381	1,133	1,977	1,203	1,214	1,346	680	1,052	704	176	14,313
Public Health	39	191	38	49	78	22	43	36	60	41	29	35	661
Mental Hygiene	150	36	323	149	43	330	142	48	315	143	140	356	2,175
Children and Families	12	35	41	102	109	216	149	242	127	242	147	265	1,687
Temporary & Disability Assistance	67	95	98	106	100	164	106	106	110	105	106	117	1,280
Transportation	46	69	61	47	32	0	0	24	11	0	13	1	304
Unrestricted Aid	0	11	388	2	0	101	7	4	185	1	1	65	765
All Other	18	43	84	35	69	38	34	111	124	161	186	406	1,309
Total Local Assistance	3,035	6,019	4,298	3,448	3,580	3,901	2,709	3,963	4,057	2,363	2,750	10,890	51,013
Personal Service	668	846	684	725	838	660	825	657	674	853	693	689	8,812
Non-Personal Service	164	282	221	182	228	210	219	291	256	281	301	403	3,038
Total State Operations	832	1,128	905	907	1,066	870	1,044	948	930	1,134	994	1,092	11,850
General State Charges	2,707	368	470	319	245	435	542	422	410	444	479	551	7,392
Debt Service	192	38	20	223	(100)	(63)	201	0	(2)	342	(25)	(51)	775
Capital Projects	54	468	818	333	622	454	(582)	440	(230)	(245)	242	261	2,635
State Share Medicaid	(29)	0	0	0	0	0	0	0	0	0	0	29	0
SUNY Operations	218	218	182	182	2	0	0	182	0	0	0	0	1,020
Other Purposes	127	56	194	7	71	37	65	70	99	15	15	343	1,099
Total Transfers to Other Funds	562	780	1,250	745	595	428	(316)	692	(133)	112	232	582	5,529
<b>TOTAL DISBURSEMENTS</b>	7,136	8,295	6,923	5,419	5,486	5,634	3,979	6,025	5,264	4,053	4,455	13,115	75,784
Excess/(Deficiency) of Receipts over Disbursements	493	(4,808)	1,183	(896)	(1,303)	2,337	161	(2,729)	2,595	5,978	292	(6,292)	(2,989)
<b>CLOSING BALANCE</b>	9,938	5,130	6,313	5,417	4,114	6,451	6,612	3,883	6,478	12,456	12,748	6,456	6,456

**CASHFLOW**  
**STATE OPERATING FUNDS**  
**FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	13,607	15,387	10,769	12,337	13,257	12,327	12,615	13,051	10,271	12,558	19,210	19,539		13,607
<b>RECEIPTS:</b>														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,547	1,674	4,928	10,914	3,518	3,167	0	50,410
Consumption/Use Taxes	1,233	1,257	1,718	1,323	1,300	1,659	1,272	1,288	1,649	1,368	1,134	1,477	0	16,678
Business Taxes	518	1,366	1,261	243	153	1,230	150	158	1,623	(30)	162	1,898	0	7,330
Other Taxes	139	174	160	187	184	206	190	178	167	179	177	169	0	2,110
Total Taxes	7,746	3,579	8,090	4,653	4,611	7,892	4,175	3,282	8,367	12,431	4,991	6,711	0	76,528
Abandoned Property	1	0	0	0	0	10	45	120	15	15	0	244	0	450
ABC License Fee	6	7	7	7	5	6	6	5	4	5	5	4	0	66
HCRA	456	404	474	436	431	446	423	397	435	381	377	421	0	5,081
Investment Income	17	10	11	7	9	11	4	0	0	0	0	(29)	0	40
Licenses, Fees, etc.	51	43	58	63	67	36	71	45	68	70	50	48	0	670
Lottery	275	323	256	251	322	259	419	234	236	280	244	155	0	3,254
Medicaid	68	70	77	72	73	74	74	74	74	74	74	69	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	59	63	59	64	63	0	691
Reimbursements	(17)	(54)	106	(5)	23	26	(90)	4	4	4	4	102	0	107
State University Income	291	357	269	290	448	682	306	266	250	517	628	575	0	4,879
Extraordinary Settlements	108	74	205	15	0	0	40	0	0	0	0	1	0	443
Other Transactions	455	348	294	1,414	304	455	453	134	212	90	131	(307)	0	3,983
Total Miscellaneous Receipts	1,788	1,649	1,804	2,594	1,746	2,041	1,811	1,338	1,361	1,495	1,577	1,346	0	20,550
Federal Receipts	(2)	(1)	0	2	35	0	0	0	0	0	2	39	0	75
<b>TOTAL RECEIPTS</b>	9,532	5,227	9,894	7,249	6,392	9,933	5,986	4,620	9,728	13,926	6,570	8,096	0	97,153
<b>DISBURSEMENTS:</b>														
School Aid	1,113	3,526	1,892	371	648	3,700	1,024	1,759	2,207	641	882	8,721	0	26,484
Higher Education	25	43	267	1,154	61	178	37	110	220	70	347	545	0	3,057
All Other Education	42	46	52	301	464	33	91	314	156	44	332	456	0	2,331
STAR	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,546	1,826	1,817	1,140	1,582	1,182	602	0	19,961
Public Health	103	229	136	215	116	83	103	81	147	81	68	193	0	1,555
Mental Hygiene	149	36	323	149	42	330	144	48	316	143	140	360	0	2,180
Children and Families	12	36	41	102	109	216	149	242	127	242	147	268	0	1,691
Temporary & Disability Assistance	67	95	98	106	100	164	106	106	110	105	106	117	0	1,280
Transportation	236	446	366	333	370	325	263	504	804	49	91	150	0	3,937
Unrestricted Aid	0	11	388	2	0	101	7	4	185	1	1	65	0	765
All Other	40	92	107	62	109	55	56	77	95	87	106	114	0	1,000
Total Local Assistance	3,591	7,025	5,509	4,263	4,709	6,731	3,807	5,073	5,596	5,394	3,402	11,600	0	66,700
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,401	1,062	1,035	1,229	1,071	1,107	0	13,716
Non-Personal Service	348	531	473	368	424	482	473	482	464	483	518	704	0	5,789
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,874	1,544	1,499	1,712	1,589	1,811	0	19,505
General State Charges	2,827	445	509	396	360	513	615	503	501	493	538	710	0	8,410
Debt Service	64	126	166	26	96	831	38	53	343	17	748	3,012	0	5,520
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	7,881	9,527	7,718	6,114	6,898	9,525	6,334	7,173	7,939	7,616	6,277	17,133	0	100,135
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,147	2,122	4,083	2,586	2,015	3,801	2,380	1,956	3,469	6,630	2,440	3,855	(511)	38,973
Transfers to other funds	(4,018)	(2,40)	(4,691)	(2,801)	(2,439)	(3,921)	(1,596)	(2,183)	(2,971)	(6,288)	(2,404)	(3,354)	511	(38,595)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	129	(318)	(608)	(215)	(424)	(120)	784	(227)	498	342	36	501	0	378
Excess/(Deficiency) of Receipts over Disbursements	1,780	(4,618)	1,568	920	(930)	288	436	(2,780)	2,287	6,652	329	(8,536)	0	(2,604)
<b>CLOSING BALANCE</b>	15,387	10,769	12,337	13,257	13,257	12,327	13,051	10,271	12,558	19,210	19,539	11,003	0	11,003

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	12,749	14,013	8,995	11,166	11,703	10,669	11,334	11,128	7,404	11,221	16,874	16,511		12,749
<b>RECEIPTS:</b>														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,547	1,674	4,928	10,914	3,518	3,167	0	50,410
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,736	1,313	1,409	1,708	1,409	1,175	1,531	0	17,303
Business Taxes	585	3	1,321	299	207	1,290	205	209	1,676	21	213	1,952	0	7,981
Other Taxes	139	174	172	199	196	1,290	202	190	179	191	189	180	0	2,229
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,294	3,386	8,491	12,535	5,095	6,830	0	77,923
Abandoned Property	1	0	0	0	0	10	45	120	15	15	0	244	0	450
ABC License Fee	6	7	7	7	5	5	6	5	4	4	5	4	0	66
HCRA	456	404	474	436	431	446	423	397	435	381	377	421	0	5,081
Investment Income	17	10	11	11	9	11	4	0	0	0	0	(29)	0	40
Licenses, Fees, etc.	51	43	58	63	67	36	71	45	68	70	50	48	0	670
Lottery	275	323	256	251	322	259	419	234	236	280	244	155	0	3,254
Medical	68	70	77	72	74	78	74	74	74	74	74	69	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	59	63	59	64	63	0	691
Reimbursements	(17)	(54)	106	(5)	23	26	(90)	4	4	4	4	102	0	107
State University Income	291	357	269	290	448	682	306	266	250	517	628	575	0	4,879
Extraordinary Settlements	108	74	205	15	0	0	40	0	0	0	0	1	0	443
Other Transactions	876	525	420	1,732	418	573	1,805	216	2,862	563	216	1,405	0	11,611
Total Miscellaneous Receipts	2,209	1,826	1,930	2,912	1,860	2,159	3,163	1,420	4,011	1,968	1,662	3,058	0	28,178
Federal Receipts	3,616	4,915	5,452	4,088	6,477	6,347	4,708	4,497	5,803	4,834	4,514	7,259	0	62,510
<b>TOTAL RECEIPTS</b>	13,682	10,409	15,610	11,773	13,062	16,547	12,165	9,303	18,305	19,337	11,271	17,147	0	168,611
<b>DISBURSEMENTS:</b>														
School Aid	1,434	4,094	2,107	641	784	3,777	1,154	1,993	2,646	875	1,052	8,972	0	29,529
Higher Education	25	43	267	1,154	61	178	37	110	220	70	347	545	0	3,057
All Other Education	107	98	189	387	702	103	160	373	172	54	340	467	0	3,152
STAR	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Medical - DOH	4,778	6,180	5,595	4,399	6,894	5,694	5,613	5,280	4,659	5,616	4,712	5,059	0	64,479
Public Health	238	394	368	408	256	524	301	316	350	291	262	443	0	4,151
Mental Hygiene	166	62	346	173	55	347	175	67	333	165	163	396	0	2,448
Children and Families	48	95	85	114	341	392	173	293	178	293	198	319	0	2,529
Temporary & Disability Assistance	277	220	279	449	944	284	488	373	612	321	311	526	0	5,084
Transportation	294	489	723	378	473	530	369	602	1,244	105	147	501	0	5,855
Unrestricted Aid	0	11	388	2	0	101	7	4	185	1	1	65	0	765
All Other	332	438	144	478	281	261	437	494	412	395	399	233	0	4,304
Total Local Assistance	7,699	12,124	10,491	8,583	10,791	12,191	8,915	9,916	11,100	10,535	7,932	17,535	0	127,812
Personal Service	1,102	1,470	1,123	1,107	1,260	1,077	1,467	1,114	1,086	1,279	1,123	1,169	0	14,377
Non-Personal Service	418	622	563	629	652	629	588	618	597	600	629	853	0	7,198
Total State Operations	1,520	2,092	1,686	1,536	1,912	1,706	2,055	1,732	1,683	1,879	1,752	2,022	0	21,575
General State Charges	2,866	473	518	418	484	541	640	518	519	503	549	727	0	8,756
Debt Service	64	126	166	26	96	831	38	53	343	17	748	3,012	0	5,520
Capital Projects	361	591	522	673	761	603	718	802	837	746	648	662	0	7,924
<b>TOTAL DISBURSEMENTS</b>	12,510	15,406	13,383	11,236	14,044	15,872	12,366	13,021	14,482	13,680	11,629	23,958	0	171,587
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,799	2,406	3,245	6,388	2,685	4,424	(511)	41,967
Transfers to other funds	(4,111)	(2,616)	(4,987)	(2,900)	(2,694)	(4,270)	(1,804)	(2,412)	(3,251)	(6,392)	(2,690)	(4,513)	511	(42,129)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	744	0	744
<b>NET OTHER FINANCING SOURCES (USES)</b>	92	(21)	(56)	0	(52)	(10)	(5)	(6)	(6)	(4)	(5)	655	0	582
Excess/(Deficiency) of Receipts over Disbursements	1,264	(5,018)	2,171	537	(1,034)	665	(206)	(3,724)	3,817	5,653	(363)	(6,156)	0	(2,394)
<b>CLOSING BALANCE</b>	14,013	8,995	11,166	11,703	10,669	11,334	11,128	7,404	11,221	16,874	16,511	10,355	0	10,355

**CASHFLOW**  
**SPECIAL REVENUE FUNDS**  
**FY 2019**  
**(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,302	4,970	4,749	5,653	6,783	6,577	6,012	5,431	4,728	4,663	4,542	4,484		4,302
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Consumption/Use Taxes	186	151	195	175	157	184	165	149	178	170	123	141	0	1,974
Business Taxes	172	84	241	75	69	238	56	73	268	77	62	289	0	1,704
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	222	233	535	2,596	185	439	0	6,137
HCRA	456	404	474	436	431	446	423	397	435	381	377	421	0	5,081
State University Income	291	357	269	290	448	682	306	266	250	517	628	575	0	4,879
Lottery	275	323	256	251	322	259	419	234	236	280	244	155	0	3,254
Medical	38	70	77	72	73	78	74	74	74	74	74	69	0	886
Motor Vehicle Fees	401	42	34	42	29	30	34	34	33	33	34	36	0	422
Other Transactions	401	248	303	1,312	275	355	340	63	108	31	66	(244)	0	3,258
Total Miscellaneous Receipts	1,538	1,444	1,413	2,403	1,578	1,850	1,599	1,068	1,136	1,316	1,423	1,012	0	17,780
Federal Receipts	3,557	4,861	5,310	3,773	6,168	6,095	4,528	4,254	5,600	4,661	4,359	6,837	0	60,003
<b>TOTAL RECEIPTS</b>	5,453	6,540	7,159	6,426	7,972	8,367	6,349	5,555	7,271	8,573	5,967	8,288	0	83,920
<b>DISBURSEMENTS:</b>														
School Aid	314	556	531	254	131	2,148	256	355	560	355	291	360	0	6,111
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	136	87	217	70	71	57	15	8	6	3	0	784
STAR	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Medical - DOH	3,255	4,256	4,214	3,266	4,917	4,491	4,399	3,934	3,979	4,564	4,008	4,883	0	50,166
Public Health	182	191	319	307	170	488	214	191	246	191	179	344	0	3,022
Mental Hygiene	12	21	9	16	6	11	28	11	11	13	13	8	0	159
Children and Families	36	60	44	12	232	176	24	51	51	51	51	54	0	842
Temporary & Disability Assistance	210	125	181	343	844	104	382	252	502	201	205	395	0	3,744
Transportation	192	380	310	288	343	330	267	483	797	52	82	152	0	3,676
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	63	176	(67)	162	112	98	140	71	81	39	30	(315)	0	590
Total Local Assistance	4,328	5,815	5,677	4,735	6,972	7,916	5,782	5,416	6,331	7,823	4,865	5,893	0	71,553
Personal Service	434	624	439	382	422	417	642	457	412	426	430	480	0	5,565
Non-Personal Service	253	339	335	246	411	417	369	324	340	319	326	434	0	4,113
Total State Operations	687	963	774	628	833	834	1,011	781	752	745	756	914	0	9,678
General State Charges	159	105	48	99	239	106	98	96	109	59	70	176	0	1,364
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	5,174	6,883	6,499	5,462	8,044	8,856	6,891	6,293	7,192	8,627	5,691	6,983	0	82,595
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	382	286	591	218	136	80	162	258	115	62	21	335	(511)	2,135
Transfers to Other Funds	7	(164)	(347)	(52)	(270)	(156)	(201)	(223)	(259)	(129)	(355)	(1,696)	5.1	(3,334)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	389	122	244	166	(134)	(76)	(39)	35	(144)	(67)	(334)	(1,361)	0	(1,199)
Excess/(Deficiency) of Receipts over Disbursements	668	(221)	904	1,130	(206)	(565)	(581)	(703)	(65)	(121)	(58)	(56)	0	126
<b>CLOSING BALANCE</b>	4,970	4,749	5,653	6,783	6,577	6,012	5,431	4,728	4,663	4,542	4,484	4,428	0	4,428



**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,009	5,103	5,119	5,600	7,063	7,026	5,831	5,796	5,565	5,146	5,406	5,616		4,009
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Consumption/Use Taxes	186	151	195	175	157	184	165	149	178	170	123	141	0	1,974
Business Taxes	172	84	241	75	69	238	56	73	268	77	62	289	0	1,704
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	222	233	535	2,596	185	439	0	6,137
HCRA	456	404	474	436	431	446	423	397	435	381	377	421	0	5,081
State University Income	291	357	269	290	448	682	306	266	250	517	628	575	0	4,879
Lottery	275	323	256	251	322	259	419	234	236	280	244	155	0	3,254
Medicaid	77	70	77	72	73	78	74	74	74	74	74	69	0	886
Motor Vehicle Fees	38	42	34	42	29	34	37	34	33	33	34	36	0	422
Other Transactions	387	200	293	1,299	254	344	332	67	108	16	53	(297)	0	3,056
Total Miscellaneous Receipts	1,524	1,396	1,403	2,390	1,557	1,839	1,591	1,072	1,136	1,301	1,410	959	0	17,578
Federal Receipts	(2)	(1)	0	0	0	0	0	0	0	0	0	4	0	1
<b>TOTAL RECEIPTS</b>	1,880	1,630	1,839	2,640	1,783	2,261	1,813	1,305	1,671	3,897	1,595	1,402	0	23,716
<b>DISBURSEMENTS:</b>														
School Aid	0	0	326	0	0	2,083	136	136	136	136	136	252	0	3,341
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	1	1	1	2	1	2	1	1	1	0	12
STAR	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Medicaid - DOH	281	541	458	335	713	343	612	471	460	530	478	426	0	5,648
Public Health	64	38	98	166	38	61	60	45	87	40	39	158	0	894
Mental Hygiene	(1)	0	0	0	(1)	0	2	0	1	0	0	4	0	5
Children and Families	0	1	0	0	0	0	0	0	0	0	0	3	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	190	377	305	286	338	325	263	480	793	49	78	149	0	3,633
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	49	23	27	40	17	22	(34)	(29)	(74)	(80)	(292)	0	(309)
Total Local Assistance	556	1,006	1,211	815	1,129	2,830	1,098	1,110	1,539	3,031	652	710	0	15,887
Personal Service	383	554	377	336	374	366	576	405	361	376	378	418	0	4,904
Non-Personal Service	183	248	245	185	212	212	254	188	207	202	215	285	0	2,704
Total State Operations	566	802	622	521	654	578	830	593	568	578	593	703	0	7,608
General State Charges	120	77	39	77	115	78	73	81	91	49	59	159	0	1,018
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	1,242	1,885	1,872	1,413	1,898	3,486	2,001	1,784	2,198	3,658	1,304	1,572	0	24,313
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	382	286	591	218	136	80	162	258	109	62	21	329	(511)	2,123
Transfers to Other Funds	74	(15)	(77)	18	(58)	(50)	(9)	(10)	(1)	(41)	(102)	(1,400)	511	(1,160)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	456	271	514	236	78	30	153	248	108	21	(81)	(1,071)	0	963
Excess/(Deficiency) of Receipts over Disbursements	1,094	16	481	1,463	(37)	(1,195)	(35)	(231)	(419)	260	210	(1,241)	0	366
<b>CLOSING BALANCE</b>	5,103	5,119	5,600	7,063	7,026	5,831	5,796	5,565	5,146	5,406	5,616	4,375	0	4,375

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2019**  
(dollars in millions)

	2018	April	May	June	July	August	September	October	November	December	2019	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>RECEIPTS:</b>															
Miscellaneous Receipts	14	48	10	13	21	11	11	8	(4)	0	15	13	13	53	202
Federal Receipts	3,559	4,862	5,310	3,773	6,168	6,095	4,528	4,528	4,254	5,600	4,661	4,359	4,359	6,833	60,002
<b>TOTAL RECEIPTS</b>	<b>3,573</b>	<b>4,910</b>	<b>5,320</b>	<b>3,786</b>	<b>6,189</b>	<b>6,106</b>	<b>4,536</b>	<b>4,536</b>	<b>4,250</b>	<b>5,600</b>	<b>4,676</b>	<b>4,372</b>	<b>4,372</b>	<b>6,886</b>	<b>60,204</b>
<b>DISBURSEMENTS:</b>															
School Aid	314	556	205	254	131	131	120	120	219	424	219	155	155	108	2,770
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	135	86	216	69	69	69	56	13	7	5	5	2	772
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,974	3,715	3,756	2,931	4,204	4,148	3,787	3,787	3,463	3,519	4,034	3,530	3,530	4,457	44,518
Public Health	118	153	221	141	132	427	154	154	146	159	151	140	140	186	2,128
Mental Hygiene	13	21	9	16	7	11	26	26	11	10	13	13	13	4	154
Children and Families	36	59	44	12	232	176	24	24	51	51	51	51	51	51	838
Temporary & Disability Assistance	210	125	181	343	844	104	382	382	252	502	201	205	205	395	3,744
Transportation	2	3	5	2	5	5	4	4	3	4	3	4	4	3	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	41	127	(90)	135	72	81	118	118	105	110	113	110	110	(23)	899
Total Local Assistance	3,772	4,809	4,466	3,920	5,843	5,086	4,684	4,684	4,306	4,792	4,792	4,213	4,213	5,183	55,866
Personal Service	51	70	62	46	48	51	66	66	52	51	50	52	52	62	661
Non-Personal Service	70	91	90	61	131	205	115	136	136	133	117	111	111	149	1,409
Total State Operations	121	161	152	107	179	256	181	181	188	184	167	163	163	211	2,070
General State Charges	39	28	9	22	124	28	25	25	15	18	10	11	11	17	346
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	<b>3,932</b>	<b>4,998</b>	<b>4,627</b>	<b>4,049</b>	<b>6,146</b>	<b>5,370</b>	<b>4,890</b>	<b>4,890</b>	<b>4,509</b>	<b>4,994</b>	<b>4,969</b>	<b>4,387</b>	<b>4,387</b>	<b>5,411</b>	<b>58,282</b>
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	6	0	0	0	6	12
Transfers to Other Funds	(67)	(149)	(270)	(70)	(212)	(106)	(192)	(192)	(213)	(258)	(88)	(253)	(253)	(296)	(2,174)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(67)</b>	<b>(149)</b>	<b>(270)</b>	<b>(70)</b>	<b>(212)</b>	<b>(106)</b>	<b>(192)</b>	<b>(192)</b>	<b>(213)</b>	<b>(252)</b>	<b>(88)</b>	<b>(253)</b>	<b>(253)</b>	<b>(290)</b>	<b>(2,162)</b>
Excess/(Deficiency) of Receipts over Disbursements	(426)	(237)	423	(333)	(169)	630	(546)	(546)	(472)	354	(381)	(268)	(268)	1,185	(240)
<b>CLOSING BALANCE</b>	<b>(133)</b>	<b>(370)</b>	<b>53</b>	<b>(280)</b>	<b>(449)</b>	<b>181</b>	<b>(365)</b>	<b>(365)</b>	<b>(837)</b>	<b>(483)</b>	<b>(864)</b>	<b>(1,132)</b>	<b>(1,132)</b>	<b>53</b>	<b>53</b>

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	153	346	520	424	777	1,187	333	643	823	934	1,348	1,175	153
<b>RECEIPTS:</b>													
Personal Income Tax	2,928	1,092	2,475	1,450	1,487	2,399	1,273	837	2,464	5,457	1,759	1,584	25,205
Consumption/Use Taxes	503	526	724	550	548	710	538	537	711	570	489	651	7,057
Other Taxes	87	92	89	88	110	83	76	90	80	92	90	82	1,059
Total Taxes	3,518	1,710	3,288	2,088	2,145	3,192	1,887	1,464	3,255	6,119	2,338	2,317	33,321
Miscellaneous Receipts	44	48	18	63	24	19	45	36	34	41	47	79	498
Federal Receipts	0	0	0	2	35	0	0	0	0	0	2	35	74
TOTAL RECEIPTS	3,562	1,758	3,306	2,153	2,204	3,211	1,932	1,500	3,289	6,160	2,387	2,431	33,893
<b>DISBURSEMENTS:</b>													
State Operations	1	1	7	1	13	2	0	3	1	0	2	16	47
Debt Service	64	126	166	26	96	831	38	53	343	17	748	3,012	5,520
TOTAL DISBURSEMENTS	65	127	173	27	109	833	38	56	344	17	750	3,028	5,567
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	226	188	135	301	101	211	319	217	269	406	260	966	3,599
Transfers to Other Funds	(3,550)	(1,645)	(3,364)	(2,074)	(1,786)	(3,443)	(1,903)	(1,481)	(3,103)	(6,135)	(2,070)	(1,372)	(31,906)
NET OTHER FINANCING SOURCES/(USES)	(3,304)	(1,457)	(3,229)	(1,773)	(1,685)	(3,232)	(1,584)	(1,264)	(2,834)	(5,729)	(1,810)	(406)	(28,307)
Excess/(Deficiency) of Receipts over Disbursements	193	174	(96)	353	410	(854)	310	180	111	414	(173)	(1,003)	19
<b>CLOSING BALANCE</b>	346	520	424	777	1,187	333	643	823	934	1,348	1,175	172	172

**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2019  
(dollars in millions)**

	2018 April Results	2018 May Results	2018 June Results	2018 July Results	2018 August Results	2018 September Results	2018 October Projected	2018 November Projected	2018 December Projected	2019 January Projected	2019 February Projected	2019 March Projected	Total
<b>OPENING BALANCE</b>	(1,151)	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,558)	(2,030)	(854)	(1,472)	(1,896)	(1,151)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	44	50	66	52	48	77	52	41	59	41	41	54	625
Business Taxes	67	39	60	56	54	60	55	51	53	51	51	54	651
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	111	89	138	120	114	149	119	104	124	104	104	119	1,395
Miscellaneous Receipts	407	129	116	305	93	107	1,344	86	2,650	458	72	1,659	7,426
Federal Receipts	59	54	142	313	274	252	180	243	203	173	153	387	2,433
<b>TOTAL RECEIPTS</b>	577	272	396	738	481	508	1,643	433	2,977	735	329	2,165	11,254
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	0	0	22	1	0	3	3	3	3	9	49
Public Health	17	12	11	52	8	14	44	89	44	59	54	64	468
Mental Hygiene	4	5	14	8	6	6	5	8	7	9	10	32	114
School Aid	7	12	10	16	5	12	10	15	15	15	15	143	275
Temporary & Disability Assistance	0	0	0	0	0	16	0	15	0	15	0	14	60
Transportation	56	40	352	43	98	200	102	95	436	53	52	348	1,875
All Other Local	251	219	127	281	100	125	263	312	207	195	183	142	2,405
Total Local Assistance	336	290	516	400	239	374	424	537	712	349	317	752	5,246
Economic Development	10	13	18	12	45	27	10	69	58	59	9	156	486
Parks & the Environment	27	47	52	55	51	40	51	83	81	81	92	242	902
Transportation	208	316	228	344	389	335	377	401	358	306	263	316	3,841
Health & Social Welfare	7	14	11	7	14	13	10	14	23	21	19	79	232
Mental Hygiene	22	32	38	33	32	24	37	21	19	17	14	1	290
Public Protection	19	34	32	47	48	48	47	32	48	28	28	83	494
Education	45	76	61	124	109	62	82	89	98	97	88	109	1,040
All Other	23	59	82	51	73	54	104	93	152	137	135	(324)	639
Total Capital Projects	361	591	522	673	761	603	718	802	837	746	648	662	7,924
<b>TOTAL DISBURSEMENTS</b>	697	881	1,038	1,073	1,000	977	1,142	1,339	1,549	1,095	965	1,414	13,170
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	56	473	848	314	627	459	(581)	450	(230)	(242)	245	563	2,982
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(16)	(16)	(22)	(16)	(33)	(863)	(1,360)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	744	744
<b>NET OTHER FINANCING SOURCES/(USES)</b>	30	446	822	285	584	216	(597)	434	(252)	(258)	212	444	2,366
Excess/(Deficiency) of Receipts over Disbursements	(90)	(163)	180	(50)	65	(253)	(96)	(472)	1,176	(618)	(424)	1,195	450
<b>CLOSING BALANCE</b>	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,558)	(2,030)	(854)	(1,472)	(1,896)	(701)	(701)

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2019  
(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(568)	(610)	(702)	(532)	(748)	(771)	(1,103)	(1,002)	(1,476)	(324)	(961)	(1,306)	(568)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	44	50	66	52	48	77	52	41	59	41	41	54	625
Business Taxes	67	39	60	56	54	60	55	51	53	51	51	54	651
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	111	89	138	120	114	149	119	104	124	104	104	119	1,395
Miscellaneous Receipts	407	129	116	305	93	107	1,344	86	2,650	458	72	1,659	7,426
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
<b>TOTAL RECEIPTS</b>	518	218	254	425	207	258	1,463	190	2,774	562	176	1,781	8,826
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	0	3	3	3	3	9	49
Public Health	17	12	11	49	8	14	44	34	44	52	53	61	399
Mental Hygiene	4	5	14	6	6	6	5	8	7	9	10	32	114
School Aid	7	12	10	16	5	12	10	15	15	15	15	143	275
Temporary & Disability Assistance	0	0	0	0	0	16	0	15	0	15	0	14	60
Transportation	5	3	325	26	57	163	76	45	391	12	12	279	1,394
All Other Local	251	219	127	263	100	125	263	312	207	185	183	4	2,249
Total Local Assistance	285	253	489	362	198	337	398	432	667	301	276	542	4,540
Economic Development	10	13	18	12	45	27	10	69	58	59	9	156	486
Parks & the Environment	26	47	51	55	51	39	43	81	79	79	90	240	881
Transportation	155	232	125	238	247	206	221	271	238	206	193	266	2,598
Health & Social Welfare	6	13	13	7	13	12	10	13	20	20	18	78	223
Mental Hygiene	22	32	38	33	32	24	37	21	19	17	14	1	290
Public Protection	17	32	29	44	47	45	45	30	46	26	26	81	488
Education	45	76	61	124	109	62	82	97	98	97	88	109	1,040
All Other	24	58	82	51	72	54	103	92	151	136	134	(334)	623
Total Capital Projects	305	503	417	564	616	469	551	666	709	640	572	597	6,609
<b>TOTAL DISBURSEMENTS</b>	590	756	906	926	814	806	949	1,098	1,376	941	848	1,139	11,149
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	56	473	848	314	627	459	(397)	450	(230)	(242)	361	643	3,362
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(16)	(16)	(16)	(16)	(34)	(856)	(1,348)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	744
NET OTHER FINANCING SOURCES/(USES)	30	446	822	285	584	216	(413)	434	(246)	(258)	327	531	2,758
Excess/(Deficiency) of Receipts over Disbursements	(42)	(92)	170	(216)	(23)	(332)	101	(474)	1,152	(637)	(345)	1,173	435
<b>CLOSING BALANCE</b>	(610)	(702)	(532)	(748)	(771)	(1,103)	(1,002)	(1,476)	(324)	(961)	(1,306)	(1,333)	(133)

**CASHFLOW  
CAPITAL PROJECTS FEDERAL FUNDS  
FY 2019  
(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(583)	(631)	(702)	(692)	(526)	(438)	(360)	(557)	(555)	(531)	(512)	(591)	(583)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	59	54	142	313	274	250	180	243	203	173	153	384	2,428
<b>TOTAL RECEIPTS</b>	59	54	142	313	274	250	180	243	203	173	153	384	2,428
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	3	0	0	0	55	0	7	1	3	69
Transportation	51	37	27	17	41	37	26	50	45	41	40	69	481
All Other Local	0	0	0	18	0	0	0	0	0	0	0	138	156
Total Local Assistance	51	37	27	38	41	37	26	105	45	48	41	210	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	0	1	0	0	1	8	2	2	2	2	2	21
Transportation	53	84	103	106	142	129	156	130	120	100	70	50	1,243
Health & Social Welfare	1	1	(2)	0	1	1	0	1	3	1	1	1	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	3	3	1	3	2	2	2	2	2	2	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	0	0	0	0	1	1	1	1	1	10	16
Total Capital Projects	56	88	105	109	145	134	167	136	128	106	76	65	1,315
<b>TOTAL DISBURSEMENTS</b>	107	125	132	147	186	171	193	241	173	154	117	275	2,021
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	(1)	(184)	0	0	0	(116)	(79)	(380)
Transfers to Other Funds	0	0	0	0	0	0	0	0	(6)	0	1	(7)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	0	0	0	(1)	(184)	0	(6)	0	(115)	(86)	(392)
Excess/(Deficiency) of Receipts over Disbursements	(48)	(71)	(10)	166	88	78	(197)	2	24	19	(79)	23	15
<b>CLOSING BALANCE</b>	(631)	(702)	(692)	(526)	(438)	(360)	(557)	(555)	(531)	(512)	(591)	(568)	(568)

**CASHFLOW  
STATE FUNDS  
FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	13,039	14,777	10,067	11,805	12,509	11,556	11,512	12,049	8,795	12,234	18,249	18,233		13,039
<b>RECEIPTS:</b>														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,547	1,674	4,928	10,914	3,518	3,167	0	50,410
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,736	1,340	1,313	1,708	1,409	1,175	1,531	0	17,303
Business Taxes	585	3	1,321	299	207	1,290	205	209	1,676	21	213	1,952	0	7,981
Other Taxes	139	174	172	199	196	218	202	190	179	191	189	180	0	2,229
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,294	3,386	8,491	12,535	5,095	6,830	0	77,923
Abandoned Property	1	0	0	0	0	10	45	120	15	15	0	244	0	450
ABC License Fee	6	7	7	7	5	5	6	5	4	5	5	4	0	66
HCRA	456	404	474	436	431	446	423	397	435	381	377	421	0	5,081
Investment Income	17	10	11	7	9	11	0	0	0	0	0	(29)	0	40
Licenses, Fees, etc.	51	43	58	63	67	36	71	45	68	70	50	48	0	670
Lottery	275	323	256	251	322	259	419	234	236	280	244	155	0	3,254
Medicaid	68	70	77	72	73	74	74	74	74	74	74	69	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	59	63	59	64	63	0	691
Reimbursements	(17)	(54)	106	(5)	23	26	(90)	4	4	4	4	102	0	107
State University Income	291	357	269	290	448	682	306	266	250	517	628	575	0	4,879
Extraordinary Settlements	108	74	205	15	0	0	40	0	0	0	0	1	0	443
Other Transactions	862	477	410	1,719	397	562	1,797	220	2,862	548	203	1,352	0	11,409
Total Miscellaneous Receipts	2,195	1,778	1,920	2,899	1,839	2,148	3,155	1,424	4,011	1,953	1,649	3,005	0	27,976
Federal Receipts	(2)	(1)	0	2	35	2	0	0	0	0	2	42	0	80
TOTAL RECEIPTS	10,050	5,445	10,148	7,674	6,599	10,191	7,449	4,810	12,502	14,488	6,746	9,877	0	105,979
<b>DISBURSEMENTS:</b>														
School Aid	1,120	3,538	1,902	387	653	3,712	1,034	1,774	2,222	656	897	8,864	0	26,759
Higher Education	25	43	267	1,154	61	178	37	110	220	70	347	545	0	3,057
All Other Education	43	48	54	301	486	34	91	317	159	479	335	465	0	2,380
STAR	0	0	0	0	0	0	1	11	89	2,349	0	9	0	2,459
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,546	1,826	1,817	1,140	1,582	1,182	602	0	19,961
Public Health	120	241	147	264	124	120	147	115	191	133	121	254	0	1,954
Mental Hygiene	153	41	337	157	48	336	149	56	323	152	150	392	0	2,294
Children and Families	12	36	41	102	109	216	149	242	127	242	147	268	0	1,691
Temporary & Disability Assistance	67	95	98	106	100	180	106	121	110	120	106	131	0	1,340
Transportation	241	449	691	359	427	488	339	549	1,195	61	103	429	0	5,331
Unrestricted Aid	0	11	388	2	0	101	7	4	185	1	1	65	0	765
All Other	291	311	234	325	209	180	319	389	302	282	289	118	0	3,249
Total Local Assistance	3,876	7,278	5,998	4,625	4,907	7,068	4,205	5,505	6,263	5,695	3,678	12,142	0	71,240
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,401	1,062	1,035	1,229	1,071	1,107	0	13,716
Non-Personal Service	348	531	473	368	521	424	473	482	464	483	518	704	0	5,789
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,874	1,544	1,499	1,712	1,589	1,811	0	19,505
General State Charges	2,827	445	509	396	360	513	615	503	501	493	538	710	0	8,410
Debt Service	64	126	166	26	96	831	38	53	343	17	748	3,012	0	5,520
Capital Projects	305	503	417	564	616	469	551	666	709	640	572	597	0	6,609
TOTAL DISBURSEMENTS	8,471	10,283	8,624	7,040	7,712	10,331	7,283	8,271	9,315	8,557	7,125	18,272	0	111,284
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,983	2,406	3,239	6,388	2,801	4,498	(511)	42,335
Transfers to other funds	(4,044)	(2,467)	(4,717)	(2,830)	(2,482)	(4,164)	(1,612)	(2,199)	(2,887)	(6,304)	(2,438)	(4,210)	511	(39,943)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	744	0	744
NET OTHER FINANCING SOURCES/(USES)	159	128	214	70	160	96	371	207	252	84	363	1,032	0	3,136
Excess/(Deficiency) of Receipts over Disbursements	1,738	(4,710)	1,738	704	(953)	(44)	537	(3,254)	3,439	6,015	(16)	(7,363)	0	(2,169)
<b>CLOSING BALANCE</b>	14,777	10,067	11,805	12,509	11,556	11,512	12,049	8,795	12,234	18,249	18,233	10,870	0	10,870

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2019**  
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year Update</u>
<b>Opening Fund Balance</b>	<u>15</u>	<u>0</u>	<u>15</u>
<b>Receipts:</b>			
Taxes	791	0	791
Miscellaneous receipts	<u>4,980</u>	<u>101</u>	<u>5,081</u>
<b>Total Receipts</b>	<u>5,771</u>	<u>101</u>	<u>5,872</u>
<b>Disbursements and Transfers:</b>			
Medical Assistance Account	3,837	34	3,871
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	380	(5)	375
Child Health Plus (CHP)	307	68	375
Elderly Pharmaceutical Insurance Coverage (EPIC)	136	4	140
SHIN-NY/APCD	40	0	40
All Other	<u>194</u>	<u>0</u>	<u>194</u>
<b>Total Disbursements and Transfers</b>	<u>5,786</u>	<u>101</u>	<u>5,887</u>
<b>Change in Fund Balance</b>	<u>(15)</u>	<u>0</u>	<u>(15)</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>



**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2019 THROUGH FY 2022**  
(millions of dollars)

	<u>FY 2019</u> <u>Mid-Year</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>
<b>Opening Fund Balance</b>	15	0	0	0
<b>Receipts:</b>				
Taxes	791	752	716	683
Miscellaneous receipts	5,081	5,206	5,279	5,354
<b>Total Receipts</b>	<u>5,872</u>	<u>5,958</u>	<u>5,995</u>	<u>6,037</u>
<b>Disbursements and Transfers:</b>				
Medical Assistance Account	3,871	3,857	3,782	3,732
Hospital Indigent Care	892	892	892	892
HCRA Program Account	375	402	392	392
Child Health Plus	375	429	625	734
Elderly Pharmaceutical Insurance Coverage	140	142	140	139
SHIN-NY/APCD	40	40	0	0
All Other	194	196	164	148
<b>Total Disbursements and Transfers</b>	<u>5,887</u>	<u>5,958</u>	<u>5,995</u>	<u>6,037</u>
<b>Change in Fund Balance</b>	<u>(15)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2018 and FY 2019**  
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Mid-Year</u>	<u>Annual</u> <u>Change</u>
<b>Opening Fund Balance</b>	12	15	3
<b>Receipts:</b>			
Taxes	829	791	(38)
Miscellaneous receipts	5,044	5,081	37
<b>Total Receipts</b>	<u>5,873</u>	<u>5,872</u>	<u>(1)</u>
<b>Disbursements and Transfers:</b>			
Medical Assistance Account	3,966	3,871	(95)
Hospital Indigent Care	922	892	(30)
HCRA Program Account	394	375	(19)
Child Health Plus	295	375	80
Elderly Pharmaceutical Insurance Coverage	137	140	3
SHIN-NY/APCD	17	40	23
All Other	139	194	55
<b>Total Disbursements and Transfers</b>	<u>5,870</u>	<u>5,887</u>	<u>17</u>
<b>Change in Fund Balance</b>	<u>3</u>	<u>(15)</u>	<u>(18)</u>
<b>Closing Fund Balance</b>	<u>15</u>	<u>0</u>	<u>(15)</u>

CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2018  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected	Total
<b>Opening Fund Balance</b>	12	111	58	158	190	140	124	189	123	210	228	51	12
<b>Receipts:</b>													
Taxes	64	77	75	71	84	70	75	68	68	72	48	57	829
Miscellaneous receipts	397	395	398	473	440	407	436	401	458	428	400	411	5,044
<b>Total Receipts</b>	461	472	473	544	524	477	511	469	526	500	448	468	5,873
<b>Disbursements and Transfers:</b>													
Medical Assistance Account	250	377	248	225	450	318	353	373	329	348	476	219	3,966
Hospital Indigent Care	69	84	31	96	72	128	38	90	66	64	109	75	922
HGRA Program Account	38	1	54	142	24	4	21	38	11	33	7	21	394
Child Health Plus	(1)	34	26	33	8	20	19	19	19	21	20	77	295
Elderly Pharmaceutical Insurance Coverage	3	11	12	12	15	13	12	14	13	15	6	11	137
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	17
All Other	3	18	2	4	5	10	3	1	1	1	7	84	139
<b>Total</b>	362	525	373	512	574	493	446	535	439	482	625	504	5,870
<b>Change in Fund Balance</b>	99	(53)	100	32	(50)	(16)	65	(66)	87	18	(177)	(36)	3
<b>Closing Fund Balance</b>	111	58	158	190	140	124	189	123	210	228	51	15	15

CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2019  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening Fund Balance</b>	15	174	212	274	279	171	271	234	254	207	229	183	15
<b>Receipts:</b>													
Taxes	64	69	73	78	71	64	70	65	65	70	46	56	791
Miscellaneous receipts	456	404	474	436	431	446	423	397	435	381	377	421	5,081
<b>Total Receipts</b>	520	473	547	514	502	510	493	462	500	451	423	477	5,872
<b>Disbursements and Transfers:</b>													
Medical Assistance Account	220	331	331	263	496	269	385	307	394	314	335	226	3,871
Hospital Indigent Care	61	64	52	72	71	74	74	90	66	68	69	131	892
HGRA Program Account	36	5	54	132	3	22	21	8	32	4	4	4	375
Child Health Plus	20	22	34	21	22	26	28	22	40	22	24	94	375
Elderly Pharmaceutical Insurance Coverage	7	10	12	12	12	13	13	13	13	14	9	11	140
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	40
All Other	17	3	2	9	6	6	9	1	2	7	28	104	194
<b>Total</b>	361	435	485	509	610	410	530	442	547	429	469	660	5,887
<b>Change in Fund Balance</b>	159	38	62	5	(108)	100	(37)	20	(47)	22	(46)	(183)	(15)
<b>Closing Fund Balance</b>	174	212	274	279	171	271	234	254	207	229	183	0	0

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS  
(millions of dollars)**

	FY 2018 Results			FY 2019 Mid-Year			FY 2020 Projected			FY 2021 Projected			FY 2022 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
<b>Opening Fund Balance</b>	(200)	24	11	(269)	25	12	(240)	25	13	(226)	21	14	(218)	17	15
<b>Receipts:</b>															
Unemployment Taxes	0	2,174	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	523	58	1	667	73	1	647	73	1	648	73	1	648	73	1
Federal Receipts	0	18	0	0	50	0	0	50	0	0	50	0	0	50	0
<b>Total Receipts</b>	<b>523</b>	<b>2,250</b>	<b>1</b>	<b>667</b>	<b>2,573</b>	<b>1</b>	<b>647</b>	<b>2,573</b>	<b>1</b>	<b>648</b>	<b>2,573</b>	<b>1</b>	<b>648</b>	<b>2,573</b>	<b>1</b>
<b>Disbursements:</b>															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	102	6	0	125	9	0	122	10	0	125	10	0	123	10	0
Non-Personal Service	485	50	0	562	60	0	540	60	0	548	60	0	548	60	0
Unemployment Benefits	0	2,192	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	61	1	0	74	3	0	74	6	0	79	6	0	80	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>648</b>	<b>2,249</b>	<b>0</b>	<b>761</b>	<b>2,572</b>	<b>0</b>	<b>736</b>	<b>2,576</b>	<b>0</b>	<b>752</b>	<b>2,576</b>	<b>0</b>	<b>751</b>	<b>2,576</b>	<b>0</b>
<b>Other Financing Sources (Uses):</b>															
Transfers from Other Funds	92	0	0	151	0	0	124	0	0	124	0	0	124	0	0
Transfers to Other Funds	(36)	0	0	(28)	(1)	0	(21)	(1)	0	(12)	(1)	0	(8)	(1)	0
	56	0	0	123	(1)	0	103	(1)	0	112	(1)	0	116	(1)	0
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(69)</b>	<b>1</b>	<b>1</b>	<b>29</b>	<b>0</b>	<b>1</b>	<b>14</b>	<b>(4)</b>	<b>1</b>	<b>8</b>	<b>(4)</b>	<b>1</b>	<b>13</b>	<b>(4)</b>	<b>1</b>
<b>Closing Fund Balance</b>	<b>(269)</b>	<b>25</b>	<b>12</b>	<b>(240)</b>	<b>25</b>	<b>13</b>	<b>(226)</b>	<b>21</b>	<b>14</b>	<b>(218)</b>	<b>17</b>	<b>15</b>	<b>(205)</b>	<b>13</b>	<b>16</b>

# Workforce Impact Summary

## General Fund

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,440	2,329	2,362
Corrections and Community Supervision, Department of	28,846	29,003	27,234
Education Department, State	278	281	279
Environmental Conservation, Department of	941	994	993
General Services, Office of	1,188	930	819
Health, Department of	1,540	1,463	1,873
Information Technology Services, Office of	3,486	3,464	3,401
Labor, Department of	0	0	1
Mental Health, Office of	0	0	12,972
Motor Vehicles, Department of	0	0	167
Parks, Recreation and Historic Preservation, Office of	1,268	1,107	1,123
People with Developmental Disabilities, Office for	0	0	18,203
State Police, Division of	5,295	5,219	5,330
Taxation and Finance, Department of	3,888	3,845	3,276
Temporary and Disability Assistance, Office of	1,002	1,011	1,019
Transportation, Department of	0	0	2,545
<b>Subtotal - Major Agencies</b>	<b>50,172</b>	<b>49,646</b>	<b>81,597</b>
<b>Minor Agencies</b>	<b>3,518</b>	<b>3,370</b>	<b>4,263</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>53,690</b>	<b>53,016</b>	<b>85,860</b>
<b>University Systems</b>			
State University of New York	0	3	0
<b>Subtotal - University Systems</b>	<b>0</b>	<b>3</b>	<b>0</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,356	1,324	1,354
Law, Department of	1,075	1,077	1,065
<b>Subtotal - Independently Elected Agencies</b>	<b>2,431</b>	<b>2,401</b>	<b>2,419</b>
<b>Grand Total</b>	<b>56,121</b>	<b>55,420</b>	<b>88,279</b>

# Workforce Impact Summary

## General Fund

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Minor Agencies</b>			
Adirondack Park Agency	53	54	54
Aging, Office for the	11	11	12
Agriculture and Markets, Department of	380	371	357
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	2	0	723
Arts, Council on the	28	27	30
Budget, Division of the	224	220	245
Civil Service, Department of	158	186	173
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	377	376	399
Economic Development, Department of	146	135	152
Elections, State Board of	75	69	76
Employee Relations, Office of	26	26	26
Executive Chamber	121	97	136
Gaming Commission, New York State	56	58	59
Housing and Community Renewal, Division of	67	33	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	125	107	124
Inspector General, Office of the	92	87	109
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	402	407	422
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	217	203	214
Military and Naval Affairs, Division of	145	100	104
Prevention of Domestic Violence, Office for	18	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
State, Department of	198	205	139
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	82	76	90
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>3,518</b>	<b>3,370</b>	<b>4,263</b>

**Note:** To conform the budgeting of mental hygiene program operations with Generally Accepted Accounting Principles (GAAP), the FY 2019 Enacted Budget shifts FTEs from Special Revenue funds to the General Fund. This technical change does not impact overall FTE levels; however, the shift is presented as a current year adjustment on a cash basis (3/31/18 to 3/31/19) and as a prior year shift on an appropriation basis (FY 2017 actuals to 3/31/18).

**Workforce Impact Summary**  
**State Operating Funds**  
**2016-17 Through 2018-19**

	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>
	<b>Actuals</b>	<b>Actuals</b>	<b>Estimate</b>
	<b>(03/31/17)</b>	<b>(03/31/18)</b>	<b>(03/31/19)</b>
<b>Major Agencies</b>			
Children and Family Services, Office of	2,483	2,365	2,406
Corrections and Community Supervision, Department of	28,849	29,008	27,238
Education Department, State	1,216	1,238	1,263
Environmental Conservation, Department of	2,147	2,094	2,124
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	1,247	988	832
Health, Department of	3,615	3,437	3,974
Information Technology Services, Office of	3,486	3,464	3,401
Labor, Department of	348	342	508
Mental Health, Office of	14,156	13,351	12,972
Motor Vehicles, Department of	501	498	665
Parks, Recreation and Historic Preservation, Office of	1,509	1,314	1,304
People with Developmental Disabilities, Office for	18,946	18,476	18,203
State Police, Division of	5,645	5,539	5,666
Taxation and Finance, Department of	3,946	3,898	3,977
Temporary and Disability Assistance, Office of	1,002	1,011	1,019
Transportation, Department of	90	39	2,591
Workers' Compensation Board	1,122	1,082	1,110
<b>Subtotal - Major Agencies</b>	<b>91,633</b>	<b>89,500</b>	<b>90,635</b>
<b>Minor Agencies</b>	<b>6,577</b>	<b>6,430</b>	<b>6,818</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>98,210</b>	<b>95,930</b>	<b>97,453</b>
<b>University Systems</b>			
City University of New York	391	375	383
State University of New York	45,314	45,880	45,655
<b>Subtotal - University Systems</b>	<b>45,705</b>	<b>46,255</b>	<b>46,038</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,530	1,490	1,527
Law, Department of	1,562	1,543	1,583
<b>Subtotal - Independently Elected Agencies</b>	<b>3,092</b>	<b>3,033</b>	<b>3,110</b>
<b>Grand Total</b>	<b>147,007</b>	<b>145,218</b>	<b>146,601</b>

**Workforce Impact Summary**  
**State Operating Funds**  
**2016-17 Through 2018-19**

	<b>2016-17 Actuals (03/31/17)</b>	<b>2017-18 Actuals (03/31/18)</b>	<b>2018-19 Estimate (03/31/19)</b>
<b>Minor Agencies</b>			
Adirondack Park Agency	53	54	54
Aging, Office for the	11	11	12
Agriculture and Markets, Department of	427	415	400
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	730	720	723
Arts, Council on the	28	27	30
Budget, Division of the	234	234	261
Civil Service, Department of	160	192	179
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	379	381	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	136	153
Elections, State Board of	75	69	76
Employee Relations, Office of	26	26	26
Executive Chamber	121	97	136
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	410	417	411
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	312	347	441
Housing and Community Renewal, Division of	564	536	561
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	125	107	124
Indigent Legal Services, Office of	17	24	34
Inspector General, Office of the	92	87	109
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	417	422	436
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	217	203	214
Military and Naval Affairs, Division of	147	102	106
Prevention of Domestic Violence, Office for	18	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
Public Service Department	508	494	495
State, Department of	486	480	509
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	82	76	90
Victim Services, Office of	51	43	54
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>6,577</b>	<b>6,430</b>	<b>6,818</b>

**Workforce Impact Summary**  
**State Funds**  
**2016-17 Through 2018-19**

	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>
	<b>Actuals</b>	<b>Actuals</b>	<b>Estimate</b>
	<b>(03/31/17)</b>	<b>(03/31/18)</b>	<b>(03/31/19)</b>
<b>Major Agencies</b>			
Children and Family Services, Office of	2,487	2,435	2,475
Corrections and Community Supervision, Department of	28,879	29,038	28,247
Education Department, State	1,216	1,238	1,263
Environmental Conservation, Department of	2,680	2,621	2,717
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	1,247	1,280	1,252
Health, Department of	3,685	3,558	4,106
Information Technology Services, Office of	3,486	3,471	3,405
Labor, Department of	348	342	508
Mental Health, Office of	14,209	13,900	13,655
Motor Vehicles, Department of	2,166	2,284	2,328
Parks, Recreation and Historic Preservation, Office of	1,733	1,729	1,729
People with Developmental Disabilities, Office for	18,946	18,856	18,580
State Police, Division of	5,645	5,609	5,741
Taxation and Finance, Department of	3,946	3,898	3,977
Temporary and Disability Assistance, Office of	1,007	1,019	1,027
Transportation, Department of	8,423	8,390	8,397
Workers' Compensation Board	1,122	1,082	1,110
<b>Subtotal - Major Agencies</b>	<b>102,550</b>	<b>102,106</b>	<b>101,899</b>
<b>Minor Agencies</b>	<b>6,592</b>	<b>6,487</b>	<b>6,887</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>109,142</b>	<b>108,593</b>	<b>108,786</b>
<b>University Systems</b>			
City University of New York	391	375	383
State University Construction Fund	144	142	152
State University of New York	45,314	45,880	45,655
<b>Subtotal - University Systems</b>	<b>45,849</b>	<b>46,397</b>	<b>46,190</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,530	1,490	1,527
Law, Department of	1,566	1,545	1,590
<b>Subtotal - Independently Elected Agencies</b>	<b>3,096</b>	<b>3,035</b>	<b>3,117</b>
<b>Grand Total</b>	<b>158,087</b>	<b>158,025</b>	<b>158,093</b>



**Workforce Impact Summary**  
**State Funds**  
**2016-17 Through 2018-19**

	<b>2016-17 Actuals (03/31/17)</b>	<b>2017-18 Actuals (03/31/18)</b>	<b>2018-19 Estimate (03/31/19)</b>
<b>Minor Agencies</b>			
Adirondack Park Agency	53	54	54
Aging, Office for the	11	11	12
Agriculture and Markets, Department of	427	415	400
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	745	736	738
Arts, Council on the	28	27	30
Budget, Division of the	234	234	261
Civil Service, Department of	160	192	179
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	379	381	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	136	153
Elections, State Board of	75	69	76
Employee Relations, Office of	26	26	26
Executive Chamber	121	97	136
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	410	417	411
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	312	347	441
Housing and Community Renewal, Division of	564	536	561
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	125	107	124
Indigent Legal Services, Office of	17	24	34
Inspector General, Office of the	92	87	109
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	417	422	436
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	217	203	214
Military and Naval Affairs, Division of	147	143	160
Prevention of Domestic Violence, Office for	18	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
Public Service Department	508	494	495
State, Department of	486	480	509
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	82	76	90
Victim Services, Office of	51	43	54
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>6,592</b>	<b>6,487</b>	<b>6,887</b>

# Workforce Impact Summary

## All Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,932	2,887	2,965
Corrections and Community Supervision, Department of	29,189	29,351	29,183
Education Department, State	2,590	2,575	2,692
Environmental Conservation, Department of	2,956	2,887	2,994
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	1,755	1,811	1,932
Health, Department of	4,853	4,690	5,463
Information Technology Services, Office of	3,486	3,471	3,405
Labor, Department of	2,861	2,935	2,990
Mental Health, Office of	14,221	13,911	13,684
Motor Vehicles, Department of	2,184	2,301	2,345
Parks, Recreation and Historic Preservation, Office of	1,752	1,751	1,762
People with Developmental Disabilities, Office for	18,958	18,867	18,598
State Police, Division of	5,645	5,609	5,741
Taxation and Finance, Department of	3,946	3,898	3,977
Temporary and Disability Assistance, Office of	1,973	1,923	1,992
Transportation, Department of	8,487	8,501	8,523
Workers' Compensation Board	1,122	1,082	1,110
<b>Subtotal - Major Agencies</b>	<b>110,235</b>	<b>109,806</b>	<b>110,738</b>
<b>Minor Agencies</b>	<b>7,672</b>	<b>7,591</b>	<b>8,130</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>117,907</b>	<b>117,397</b>	<b>118,868</b>
<b>University Systems</b>			
City University of New York	13,635	13,726	13,549
State University Construction Fund	144	142	152
State University of New York	45,316	45,882	45,657
<b>Subtotal - University Systems</b>	<b>59,095</b>	<b>59,750</b>	<b>59,358</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,647	2,630	2,663
Law, Department of	1,787	1,822	1,839
<b>Subtotal - Independently Elected Agencies</b>	<b>4,434</b>	<b>4,452</b>	<b>4,502</b>
<b>Grand Total</b>	<b>181,436</b>	<b>181,599</b>	<b>182,728</b>

# Workforce Impact Summary

## All Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Minor Agencies</b>			
Adirondack Park Agency	53	54	54
Aging, Office for the	90	89	95
Agriculture and Markets, Department of	472	460	483
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	745	736	738
Arts, Council on the	28	27	30
Budget, Division of the	234	234	261
Civil Service, Department of	324	345	350
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	410	408	436
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	136	153
Elections, State Board of	75	69	76
Employee Relations, Office of	32	33	37
Executive Chamber	121	97	136
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	410	417	411
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	467	504	599
Housing and Community Renewal, Division of	644	608	682
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	155	160	164
Indigent Legal Services, Office of	17	24	34
Inspector General, Office of the	92	87	109
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	417	422	441
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	432	405	426
Military and Naval Affairs, Division of	366	390	390
Prevention of Domestic Violence, Office for	26	24	27
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
Public Service Department	508	494	520
State, Department of	499	493	526
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	89	83	98
Victim Services, Office of	79	79	92
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>7,672</b>	<b>7,591</b>	<b>8,130</b>

**Workforce Impact Summary**  
**Special Revenue Funds - Other**  
**2016-17 Through 2018-19**

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Major Agencies</b>			
Children and Family Services, Office of	43	36	44
Corrections and Community Supervision, Department of	3	5	4
Education Department, State	938	957	984
Environmental Conservation, Department of	1,206	1,100	1,131
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	59	58	13
Health, Department of	2,075	1,974	2,101
Labor, Department of	348	342	507
Mental Health, Office of	14,156	13,351	0
Motor Vehicles, Department of	501	498	498
Parks, Recreation and Historic Preservation, Office of	241	207	181
People with Developmental Disabilities, Office for	18,946	18,476	0
State Police, Division of	350	320	336
Taxation and Finance, Department of	58	53	701
Transportation, Department of	90	39	46
Workers' Compensation Board	1,122	1,082	1,110
<b>Subtotal - Major Agencies</b>	<b>41,461</b>	<b>39,854</b>	<b>9,038</b>
<b>Minor Agencies</b>	<b>3,059</b>	<b>3,060</b>	<b>2,555</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>44,520</b>	<b>42,914</b>	<b>11,593</b>
<b>University Systems</b>			
City University of New York	391	375	383
State University of New York	45,314	45,877	45,655
<b>Subtotal - University Systems</b>	<b>45,705</b>	<b>46,252</b>	<b>46,038</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	174	166	173
Law, Department of	487	466	518
<b>Subtotal - Independently Elected Agencies</b>	<b>661</b>	<b>632</b>	<b>691</b>
<b>Grand Total</b>	<b>90,886</b>	<b>89,798</b>	<b>58,322</b>

**Workforce Impact Summary**  
**Special Revenue Funds - Other**  
**2016-17 Through 2018-19**

	<b>2016-17</b> <b>Actuals</b> <b>(03/31/17)</b>	<b>2017-18</b> <b>Actuals</b> <b>(03/31/18)</b>	<b>2018-19</b> <b>Estimate</b> <b>(03/31/19)</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	47	44	43
Alcoholism and Substance Abuse Services, Office of	728	720	0
Budget, Division of the	10	14	16
Civil Service, Department of	2	6	6
Criminal Justice Services, Division of	2	5	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	354	359	352
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	312	347	441
Housing and Community Renewal, Division of	497	503	507
Indigent Legal Services, Office of	17	24	34
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	15	15	14
Military and Naval Affairs, Division of	2	2	2
Public Service Department	508	494	495
State, Department of	288	275	370
Victim Services, Office of	51	43	54
<b>Subtotal - Minor Agencies</b>	<b>3,059</b>	<b>3,060</b>	<b>2,555</b>

**Note:** To conform the budgeting of mental hygiene program operations with Generally Accepted Accounting Principles (GAAP), the FY 2019 Enacted Budget shifts FTEs from Special Revenue funds to the General Fund. This technical change does not impact overall FTE levels; however, the shift is presented as a current year adjustment on a cash basis (3/31/18 to 3/31/19) and as a prior year shift on an appropriation basis (FY 2017 actuals to 3/31/18).

**Workforce Impact Summary**  
**Special Revenue Funds - Federal**  
**2016-17 Through 2018-19**

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Major Agencies</b>			
Children and Family Services, Office of	367	366	391
Corrections and Community Supervision, Department of	37	36	646
Education Department, State	1,227	1,198	1,285
Environmental Conservation, Department of	271	260	269
Health, Department of	1,122	1,051	1,311
Labor, Department of	2,494	2,565	2,466
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	18	17	17
Parks, Recreation and Historic Preservation, Office of	19	22	33
People with Developmental Disabilities, Office for	12	11	18
Temporary and Disability Assistance, Office of	966	904	965
Transportation, Department of	64	111	126
<b>Subtotal - Major Agencies</b>	<b>6,597</b>	<b>6,541</b>	<b>7,538</b>
<b>Minor Agencies</b>			
Aging, Office for the	79	78	83
Agriculture and Markets, Department of	6	6	35
Criminal Justice Services, Division of	31	27	32
Homeland Security and Emergency Services, Division of	155	157	158
Housing and Community Renewal, Division of	80	72	101
Human Rights, Division of	30	53	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	215	202	212
Military and Naval Affairs, Division of	187	183	179
Public Service Department	0	0	25
State, Department of	13	13	17
Veterans' Affairs, Division of	7	7	8
Victim Services, Office of	28	36	38
<b>Subtotal - Minor Agencies</b>	<b>831</b>	<b>834</b>	<b>933</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>7,428</b>	<b>7,375</b>	<b>8,471</b>
<b>University Systems</b>			
State University of New York	2	2	2
<b>Subtotal - University Systems</b>	<b>2</b>	<b>2</b>	<b>2</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	5	5	0
Law, Department of	221	229	249
<b>Subtotal - Independently Elected Agencies</b>	<b>226</b>	<b>234</b>	<b>249</b>
<b>Grand Total</b>	<b>7,656</b>	<b>7,611</b>	<b>8,722</b>

**Workforce Impact Summary**  
**Capital Projects Funds - Other**  
**2016-17 Through 2018-19**

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Major Agencies</b>			
Children and Family Services, Office of	4	70	69
Corrections and Community Supervision, Department of	30	30	1,009
Environmental Conservation, Department of	533	527	593
General Services, Office of	0	292	420
Health, Department of	70	121	132
Information Technology Services, Office of	0	7	4
Mental Health, Office of	53	549	683
Motor Vehicles, Department of	1,665	1,786	1,663
Parks, Recreation and Historic Preservation, Office of	224	415	425
People with Developmental Disabilities, Office for	0	380	377
State Police, Division of	0	70	75
Temporary and Disability Assistance, Office of	5	8	8
Transportation, Department of	8,333	8,351	5,806
<b>Subtotal - Major Agencies</b>	<b>10,917</b>	<b>12,606</b>	<b>11,264</b>
<b>Minor Agencies</b>			
Alcoholism and Substance Abuse Services, Office of	15	16	15
Military and Naval Affairs, Division of	0	41	54
<b>Subtotal - Minor Agencies</b>	<b>15</b>	<b>57</b>	<b>69</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>10,932</b>	<b>12,663</b>	<b>11,333</b>
<b>University Systems</b>			
State University Construction Fund	144	142	152
<b>Subtotal - University Systems</b>	<b>144</b>	<b>142</b>	<b>152</b>
<b>Independently Elected Agencies</b>			
Law, Department of	4	2	7
<b>Subtotal - Independently Elected Agencies</b>	<b>4</b>	<b>2</b>	<b>7</b>
<b>Grand Total</b>	<b>11,080</b>	<b>12,807</b>	<b>11,492</b>

**Workforce Impact Summary**  
**Capital Projects Funds - Federal**  
**2016-17 Through 2018-19**

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Major Agencies</b>			
Environmental Conservation, Department of	5	6	8
Health, Department of	46	81	46
<b>Subtotal - Major Agencies</b>	<b>51</b>	<b>87</b>	<b>54</b>
<b>Minor Agencies</b>			
Housing and Community Renewal, Division of	0	0	20
Military and Naval Affairs, Division of	32	64	49
<b>Subtotal - Minor Agencies</b>	<b>32</b>	<b>64</b>	<b>69</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>83</b>	<b>151</b>	<b>123</b>
<b>Grand Total</b>	<b>83</b>	<b>151</b>	<b>123</b>



**Workforce Impact Summary**  
**Enterprise Funds**  
**2016-17 Through 2018-19**

	<b>2016-17 Actuals (03/31/17)</b>	<b>2017-18 Actuals (03/31/18)</b>	<b>2018-19 Estimate (03/31/19)</b>
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	5	6	10
General Services, Office of	9	11	62
Mental Health, Office of	0	0	5
<b>Subtotal - Major Agencies</b>	<b>14</b>	<b>17</b>	<b>77</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	36	37	45
Military and Naval Affairs, Division of	0	0	2
<b>Subtotal - Minor Agencies</b>	<b>36</b>	<b>37</b>	<b>47</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>50</b>	<b>54</b>	<b>124</b>
<b>Grand Total</b>	<b>50</b>	<b>54</b>	<b>124</b>

**Workforce Impact Summary**  
**Internal Service Funds**  
**2016-17 Through 2018-19**

	<b>2016-17 Actuals (03/31/17)</b>	<b>2017-18 Actuals (03/31/18)</b>	<b>2018-19 Estimate (03/31/19)</b>
<b>Major Agencies</b>			
Children and Family Services, Office of	78	86	99
Corrections and Community Supervision, Department of	268	271	280
Education Department, State	147	139	144
General Services, Office of	499	520	618
Labor, Department of	19	28	16
Mental Health, Office of	12	11	13
<b>Subtotal - Major Agencies</b>	<b>1,023</b>	<b>1,055</b>	<b>1,170</b>
<b>Minor Agencies</b>			
Civil Service, Department of	164	153	171
Employee Relations, Office of	6	7	11
Prevention of Domestic Violence, Office for	8	7	9
<b>Subtotal - Minor Agencies</b>	<b>178</b>	<b>167</b>	<b>191</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>1,201</b>	<b>1,222</b>	<b>1,361</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	174	168	158
Law, Department of	0	48	0
<b>Subtotal - Independently Elected Agencies</b>	<b>174</b>	<b>216</b>	<b>158</b>
<b>Grand Total</b>	<b>1,375</b>	<b>1,438</b>	<b>1,519</b>

# Workforce Impact Summary

## Agency Trust Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>University Systems</b>			
City University of New York	13,244	13,351	13,166
<b>Subtotal - University Systems</b>	<b>13,244</b>	<b>13,351</b>	<b>13,166</b>
<b>Grand Total</b>	<b>13,244</b>	<b>13,351</b>	<b>13,166</b>

# Workforce Impact Summary

## Pension Trust Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	938	967	978
<b>Subtotal - Independently Elected Agencies</b>	<b>938</b>	<b>967</b>	<b>978</b>
<b>Grand Total</b>	<b>938</b>	<b>967</b>	<b>978</b>

# Workforce Impact Summary

## Private Purpose Trust Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	3	2	3
<b>Subtotal - Minor Agencies</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Grand Total</b>	<b>3</b>	<b>2</b>	<b>3</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b><i>Agriculture and Markets, Department of</i></b>	<b>64,846</b>	<b>65,366</b>	<b>70,719</b>	<b>61,430</b>	<b>57,441</b>	<b>56,380</b>
Local Assistance	31,980	32,318	38,271	28,757	24,757	24,907
State Operations	32,866	33,048	32,448	32,673	32,684	31,473
Personal Service	27,374	28,155	26,463	26,463	27,474	26,463
Non-Personal Service	5,492	4,893	5,985	6,210	5,210	5,010
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>10,843</b>	<b>11,819</b>	<b>12,483</b>	<b>11,244</b>	<b>11,560</b>	<b>11,244</b>
State Operations	10,843	11,819	12,483	11,244	11,560	11,244
Personal Service	7,567	8,131	8,147	8,585	8,901	8,585
Non-Personal Service	3,276	3,688	4,336	2,659	2,659	2,659
<b><i>Economic Development, Department of</i></b>	<b>73,002</b>	<b>70,791</b>	<b>74,040</b>	<b>68,985</b>	<b>68,985</b>	<b>68,835</b>
Local Assistance	53,748	52,871	58,699	53,644	53,644	53,494
State Operations	19,254	17,920	15,341	15,341	15,341	15,341
Personal Service	13,152	13,240	12,826	12,826	12,826	12,826
Non-Personal Service	6,102	4,680	2,515	2,515	2,515	2,515
<b><i>Empire State Development Corporation</i></b>	<b>122,298</b>	<b>124,880</b>	<b>95,576</b>	<b>86,746</b>	<b>91,746</b>	<b>91,746</b>
Local Assistance	122,298	124,880	95,576	86,746	91,746	91,746
<b><i>Olympic Regional Development Authority</i></b>	<b>2,736</b>	<b>2,736</b>	<b>11,143</b>	<b>5,736</b>	<b>5,736</b>	<b>5,736</b>
Local Assistance	0	0	2,360	0	0	0
State Operations	2,736	2,736	8,783	5,736	5,736	5,736
Personal Service	2,548	2,548	5,595	2,548	2,548	2,548
Non-Personal Service	188	188	3,188	3,188	3,188	3,188
<b>Functional Total</b>	<b>273,725</b>	<b>275,592</b>	<b>263,961</b>	<b>234,141</b>	<b>235,468</b>	<b>233,941</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b><i>Adirondack Park Agency</i></b>	<b>4,535</b>	<b>4,468</b>	<b>4,469</b>	<b>4,414</b>	<b>4,572</b>	<b>4,414</b>
State Operations	4,535	4,468	4,469	4,414	4,572	4,414
Personal Service	4,112	4,179	4,108	4,108	4,266	4,108
Non-Personal Service	423	289	361	306	306	306
<b><i>Environmental Conservation, Department of</i></b>	<b>92,279</b>	<b>96,795</b>	<b>106,048</b>	<b>112,832</b>	<b>129,102</b>	<b>126,136</b>
Local Assistance	1,906	2,491	4,095	3,019	3,019	3,019
State Operations	90,373	94,304	101,953	109,813	126,083	123,117
Personal Service	83,931	82,506	81,529	89,429	100,899	97,933
Non-Personal Service	6,442	11,798	20,424	20,384	25,184	25,184
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>113,058</b>	<b>105,254</b>	<b>104,718</b>	<b>105,525</b>	<b>110,473</b>	<b>106,729</b>
Local Assistance	4,298	2,931	406	100	100	100
State Operations	108,760	102,323	104,312	105,425	110,373	106,629
Personal Service	103,327	96,935	96,377	97,490	102,438	98,694
Non-Personal Service	5,433	5,388	7,935	7,935	7,935	7,935
<b>Functional Total</b>	<b>209,872</b>	<b>206,517</b>	<b>215,235</b>	<b>222,771</b>	<b>244,147</b>	<b>237,279</b>
<b>TRANSPORTATION</b>						
<b><i>Motor Vehicles, Department of</i></b>	<b>0</b>	<b>1,600</b>	<b>12,088</b>	<b>11,947</b>	<b>12,186</b>	<b>12,430</b>
Local Assistance	0	1,600	375	0	0	0
State Operations	0	0	11,713	11,947	12,186	12,430
Personal Service	0	0	8,524	8,694	8,868	9,046
Non-Personal Service	0	0	3,189	3,253	3,318	3,384

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>Transportation, Department of</b>	<b>107,383</b>	<b>116,103</b>	<b>574,281</b>	<b>434,703</b>	<b>435,765</b>	<b>440,664</b>
Local Assistance	106,214	114,708	303,851	100,851	100,851	100,851
State Operations	1,169	1,395	270,430	333,852	334,914	339,813
Personal Service	0	0	158,494	166,980	166,980	166,980
Non-Personal Service	1,169	1,395	111,936	166,872	167,934	172,833
<b>Functional Total</b>	<b>107,383</b>	<b>117,703</b>	<b>586,369</b>	<b>446,650</b>	<b>447,951</b>	<b>453,094</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>126,347</b>	<b>125,380</b>	<b>130,509</b>	<b>132,816</b>	<b>138,041</b>	<b>143,310</b>
Local Assistance	125,116	124,180	129,278	131,585	136,767	142,079
State Operations	1,231	1,200	1,231	1,231	1,274	1,231
Personal Service	1,125	1,103	1,125	1,125	1,168	1,125
Non-Personal Service	106	97	106	106	106	106
<b>Health, Department of</b>	<b>13,614,139</b>	<b>14,611,316</b>	<b>15,527,506</b>	<b>16,936,292</b>	<b>17,971,558</b>	<b>18,850,760</b>
<b>Medical Assistance</b>	<b>11,709,956</b>	<b>13,111,453</b>	<b>13,727,999</b>	<b>15,305,819</b>	<b>16,368,125</b>	<b>17,263,123</b>
Local Assistance	11,709,956	13,111,453	13,727,999	15,305,819	16,368,125	17,263,123
<b>Essential Plan</b>	<b>312,837</b>	<b>87,638</b>	<b>86,723</b>	<b>84,224</b>	<b>78,757</b>	<b>76,397</b>
Local Assistance	269,000	0	0	0	0	0
State Operations	43,837	87,638	86,723	84,224	78,757	76,397
Personal Service	1,367	3,148	4,206	4,580	4,418	4,384
Non-Personal Service	42,470	84,490	82,517	79,644	74,339	72,013
<b>Medicaid Administration</b>	<b>728,644</b>	<b>583,789</b>	<b>917,523</b>	<b>744,037</b>	<b>721,913</b>	<b>707,680</b>
Local Assistance	467,643	286,336	585,173	428,149	411,867	396,295
State Operations	261,001	297,453	332,350	315,888	310,046	311,385
Personal Service	36,908	37,196	51,113	54,086	57,098	55,666
Non-Personal Service	224,093	260,257	281,237	261,802	252,948	255,719
<b>Public Health</b>	<b>862,702</b>	<b>828,436</b>	<b>795,261</b>	<b>802,212</b>	<b>802,763</b>	<b>803,560</b>
Local Assistance	730,107	707,236	661,497	668,348	665,794	669,696
State Operations	132,595	121,200	133,764	133,864	136,969	133,864
Personal Service	87,337	81,988	93,295	93,295	96,400	93,295
Non-Personal Service	45,258	39,212	40,469	40,569	40,569	40,569
<b>Medicaid Inspector General, Office of the</b>	<b>20,489</b>	<b>18,282</b>	<b>18,111</b>	<b>18,111</b>	<b>18,718</b>	<b>18,111</b>
State Operations	20,489	18,282	18,111	18,111	18,718	18,111
Personal Service	16,415	15,864	14,936	14,936	15,543	14,936
Non-Personal Service	4,074	2,418	3,175	3,175	3,175	3,175
<b>Functional Total</b>	<b>13,760,975</b>	<b>14,754,978</b>	<b>15,676,126</b>	<b>17,087,219</b>	<b>18,128,317</b>	<b>19,012,181</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,929,379</b>	<b>1,832,432</b>	<b>1,958,154</b>	<b>2,025,737</b>	<b>2,111,037</b>	<b>2,148,600</b>
<b>OCFS</b>	<b>1,847,132</b>	<b>1,755,070</b>	<b>1,873,412</b>	<b>1,934,930</b>	<b>2,017,050</b>	<b>2,050,449</b>
Local Assistance	1,629,928	1,527,520	1,602,747	1,578,002	1,593,427	1,624,444
State Operations	217,204	227,550	270,665	356,928	423,623	426,005
Personal Service	166,257	168,186	189,011	258,122	311,749	311,892
Non-Personal Service	50,947	59,364	81,654	98,806	111,874	114,113
<b>OCFS - Other</b>	<b>82,247</b>	<b>77,362</b>	<b>84,742</b>	<b>90,807</b>	<b>93,987</b>	<b>98,151</b>
Local Assistance	82,247	77,362	84,742	90,807	93,987	98,151
<b>Housing and Community Renewal, Division of</b>	<b>12,376</b>	<b>9,912</b>	<b>13,956</b>	<b>43,987</b>	<b>44,995</b>	<b>45,506</b>
Local Assistance	4,585	4,119	9,406	39,437	40,090	40,765
State Operations	7,791	5,793	4,550	4,550	4,905	4,741
Personal Service	5,000	3,866	4,199	4,199	4,492	4,328
Non-Personal Service	2,791	1,927	351	351	413	413

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>Human Rights, Division of</b>	<b>10,382</b>	<b>9,465</b>	<b>9,921</b>	<b>9,921</b>	<b>10,590</b>	<b>10,230</b>
State Operations	10,382	9,465	9,921	9,921	10,590	10,230
Personal Service	9,533	8,662	9,461	9,461	10,121	9,752
Non-Personal Service	849	803	460	460	469	478
<b>Labor, Department of</b>	<b>15,392</b>	<b>12,863</b>	<b>14,800</b>	<b>288</b>	<b>349</b>	<b>351</b>
Local Assistance	15,081	12,666	14,512	0	0	0
State Operations	311	197	288	288	349	351
Personal Service	120	84	88	88	94	91
Non-Personal Service	191	113	200	200	255	260
<b>National and Community Service</b>	<b>471</b>	<b>770</b>	<b>690</b>	<b>690</b>	<b>699</b>	<b>699</b>
Local Assistance	267	477	350	350	350	350
State Operations	204	293	340	340	349	349
Personal Service	203	292	331	331	340	340
Non-Personal Service	1	1	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,356,683</b>	<b>1,351,164</b>	<b>1,403,666</b>	<b>1,487,645</b>	<b>1,494,938</b>	<b>1,501,458</b>
<b>Welfare Assistance</b>	<b>1,130,191</b>	<b>1,137,324</b>	<b>1,177,821</b>	<b>1,241,366</b>	<b>1,241,366</b>	<b>1,241,366</b>
Local Assistance	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<b>All Other</b>	<b>226,492</b>	<b>213,840</b>	<b>225,845</b>	<b>246,279</b>	<b>253,572</b>	<b>260,092</b>
Local Assistance	89,812	92,194	102,093	116,196	118,996	122,796
State Operations	136,680	121,646	123,752	130,083	134,576	137,296
Personal Service	73,429	64,895	68,444	73,603	76,972	78,522
Non-Personal Service	63,251	56,751	55,308	56,480	57,604	58,774
<b>Functional Total</b>	<b>3,324,683</b>	<b>3,216,606</b>	<b>3,401,187</b>	<b>3,568,268</b>	<b>3,662,608</b>	<b>3,706,844</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>27,098</b>	<b>36,221</b>	<b>427,899</b>	<b>456,991</b>	<b>477,050</b>	<b>491,862</b>
<b>OASAS</b>	<b>5,773</b>	<b>14,896</b>	<b>362,890</b>	<b>390,782</b>	<b>409,399</b>	<b>423,484</b>
Local Assistance	5,773	14,896	329,395	356,377	373,935	388,390
State Operations	0	0	33,495	34,405	35,464	35,094
Personal Service	0	0	26,697	27,133	27,919	27,270
Non-Personal Service	0	0	6,798	7,272	7,545	7,824
<b>OASAS - Other</b>	<b>21,325</b>	<b>21,325</b>	<b>65,009</b>	<b>66,209</b>	<b>67,651</b>	<b>68,378</b>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	0	0	43,684	44,884	46,326	47,053
Personal Service	0	0	33,257	33,781	34,797	35,092
Non-Personal Service	0	0	10,427	11,103	11,529	11,961
<b>Justice Center</b>	<b>37,222</b>	<b>37,797</b>	<b>40,192</b>	<b>41,975</b>	<b>43,859</b>	<b>43,187</b>
Local Assistance	200	170	170	170	170	170
State Operations	37,022	37,627	40,022	41,805	43,689	43,017
Personal Service	28,113	30,029	31,377	32,717	34,340	33,397
Non-Personal Service	8,909	7,598	8,645	9,088	9,349	9,620
<b>Mental Health, Office of</b>	<b>277,868</b>	<b>273,626</b>	<b>2,631,087</b>	<b>2,763,159</b>	<b>2,890,571</b>	<b>2,992,284</b>
<b>OMH</b>	<b>7,368</b>	<b>8,237</b>	<b>1,312,570</b>	<b>1,446,406</b>	<b>1,529,736</b>	<b>1,593,040</b>
Local Assistance	6,587	7,540	995,563	1,096,276	1,176,206	1,232,122
State Operations	781	697	317,007	350,130	353,530	360,918
Personal Service	0	0	269,985	311,021	314,021	320,071
Non-Personal Service	781	697	47,022	39,109	39,509	40,847
<b>OMH - Other</b>	<b>270,500</b>	<b>265,389</b>	<b>1,318,517</b>	<b>1,316,753</b>	<b>1,360,835</b>	<b>1,399,244</b>
Local Assistance	270,500	265,389	299,325	309,711	320,630	332,099
State Operations	0	0	1,019,192	1,007,042	1,040,205	1,067,145
Personal Service	0	0	816,887	784,927	805,641	810,776
Non-Personal Service	0	0	202,305	222,115	234,564	256,369



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>People with Developmental Disabilities, Office for</b>	<b>583,212</b>	<b>438,201</b>	<b>1,855,411</b>	<b>2,146,103</b>	<b>2,592,598</b>	<b>2,516,055</b>
<b>OPWDD</b>	<b>92</b>	<b>143</b>	<b>388,271</b>	<b>383,644</b>	<b>389,573</b>	<b>399,750</b>
Local Assistance	92	143	388,271	383,644	389,573	399,750
<b>OPWDD - Other</b>	<b>583,120</b>	<b>438,058</b>	<b>1,467,140</b>	<b>1,762,459</b>	<b>2,203,025</b>	<b>2,116,305</b>
Local Assistance	583,120	438,058	141,501	434,826	838,871	737,192
State Operations	0	0	1,325,639	1,327,633	1,364,154	1,379,113
Personal Service	0	0	1,137,939	1,145,989	1,177,306	1,186,856
Non-Personal Service	0	0	187,700	181,644	186,848	192,257
<b>Functional Total</b>	<b>925,400</b>	<b>785,845</b>	<b>4,954,589</b>	<b>5,408,228</b>	<b>6,004,078</b>	<b>6,043,388</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,431</b>	<b>2,594</b>	<b>2,651</b>	<b>2,651</b>	<b>2,773</b>	<b>2,735</b>
State Operations	2,431	2,594	2,651	2,651	2,773	2,735
Personal Service	2,234	2,316	2,414	2,414	2,531	2,488
Non-Personal Service	197	278	237	237	242	247
<b>Correctional Services, Department of</b>	<b>2,643,575</b>	<b>2,632,319</b>	<b>2,608,171</b>	<b>2,620,544</b>	<b>2,626,174</b>	<b>2,617,544</b>
Local Assistance	4,457	5,686	4,836	4,836	4,836	4,836
State Operations	2,639,118	2,626,633	2,603,335	2,615,708	2,621,338	2,612,708
Personal Service	2,113,239	2,098,248	2,042,613	2,056,117	2,061,747	2,056,117
Non-Personal Service	525,879	528,385	560,722	559,591	559,591	556,591
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>2,000</b>	<b>2,000</b>	<b>2,000</b>	<b>0</b>
Local Assistance	0	0	2,000	2,000	2,000	0
<b>Criminal Justice Services, Division of</b>	<b>163,222</b>	<b>170,421</b>	<b>195,507</b>	<b>165,721</b>	<b>166,685</b>	<b>166,415</b>
Local Assistance	129,354	136,570	161,786	132,000	132,000	132,000
State Operations	33,868	33,851	33,721	33,721	34,685	34,415
Personal Service	26,740	26,713	27,190	27,190	28,154	27,713
Non-Personal Service	7,128	7,138	6,531	6,531	6,531	6,702
<b>Homeland Security and Emergency Services, Division of</b>	<b>6,640</b>	<b>4,956</b>	<b>6,572</b>	<b>4,972</b>	<b>4,972</b>	<b>5,290</b>
Local Assistance	5,501	3,886	5,572	3,972	3,972	4,290
State Operations	1,139	1,070	1,000	1,000	1,000	1,000
Personal Service	992	1,070	1,000	1,000	1,000	1,000
Non-Personal Service	147	0	0	0	0	0
<b>Judicial Conduct, Commission on</b>	<b>5,505</b>	<b>5,552</b>	<b>5,696</b>	<b>5,708</b>	<b>5,936</b>	<b>6,114</b>
State Operations	5,505	5,552	5,696	5,708	5,936	6,114
Personal Service	4,181	4,238	4,312	4,347	4,548	4,483
Non-Personal Service	1,324	1,314	1,384	1,361	1,388	1,631
<b>Judicial Nomination, Commission on</b>	<b>10</b>	<b>10</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	10	10	30	30	30	30
Non-Personal Service	10	10	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>9</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	9	14	38	38	38	38
Non-Personal Service	9	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>24,734</b>	<b>23,738</b>	<b>21,438</b>	<b>21,579</b>	<b>21,875</b>	<b>22,509</b>
Local Assistance	786	819	820	820	820	886
State Operations	23,948	22,919	20,618	20,759	21,055	21,623
Personal Service	16,761	15,015	14,161	14,161	14,457	14,531
Non-Personal Service	7,187	7,904	6,457	6,598	6,598	7,092
<b>State Police, Division of</b>	<b>652,546</b>	<b>664,644</b>	<b>651,846</b>	<b>651,623</b>	<b>676,833</b>	<b>691,342</b>
State Operations	652,546	664,644	651,846	651,623	676,833	691,342
Personal Service	608,046	630,445	621,559	618,103	643,273	655,772
Non-Personal Service	44,500	34,199	30,287	33,520	33,560	35,570

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>Statewide Financial System</b>	<b>30,309</b>	<b>30,137</b>	<b>30,382</b>	<b>30,506</b>	<b>30,949</b>	<b>31,117</b>
State Operations	30,309	30,137	30,382	30,506	30,949	31,117
Personal Service	11,045	11,094	11,758	11,882	12,325	12,121
Non-Personal Service	19,264	19,043	18,624	18,624	18,624	18,996
<b>Victim Services, Office of</b>	<b>1,201</b>	<b>(514)</b>	<b>0</b>	<b>2,788</b>	<b>2,788</b>	<b>2,788</b>
Local Assistance	1,201	(514)	0	2,788	2,788	2,788
<b>Functional Total</b>	<b>3,530,182</b>	<b>3,533,871</b>	<b>3,524,331</b>	<b>3,508,160</b>	<b>3,541,053</b>	<b>3,545,922</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,424,632</b>	<b>1,465,153</b>	<b>1,493,737</b>	<b>1,533,264</b>	<b>1,572,884</b>	<b>1,607,831</b>
Local Assistance	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
State Operations	503	0	0	0	0	0
Non-Personal Service	503	0	0	0	0	0
<b>Higher Education Services Corporation, New York State</b>	<b>957,504</b>	<b>879,322</b>	<b>1,080,675</b>	<b>1,156,934</b>	<b>1,175,207</b>	<b>1,182,313</b>
Local Assistance	957,504	879,322	1,080,675	1,156,934	1,175,207	1,182,313
<b>State University of New York</b>	<b>492,548</b>	<b>489,832</b>	<b>482,989</b>	<b>485,343</b>	<b>485,343</b>	<b>485,343</b>
Local Assistance	491,891	488,783	482,289	484,643	484,643	484,643
State Operations	657	1,049	700	700	700	700
Non-Personal Service	657	1,049	700	700	700	700
<b>Functional Total</b>	<b>2,874,684</b>	<b>2,834,307</b>	<b>3,057,401</b>	<b>3,175,541</b>	<b>3,233,434</b>	<b>3,275,487</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>42,832</b>	<b>39,210</b>	<b>45,315</b>	<b>45,155</b>	<b>45,251</b>	<b>45,155</b>
Local Assistance	38,907	34,950	40,995	40,835	40,835	40,835
State Operations	3,925	4,260	4,320	4,320	4,416	4,320
Personal Service	2,491	2,626	2,498	2,498	2,594	2,498
Non-Personal Service	1,434	1,634	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>23,260,901</b>	<b>24,209,060</b>	<b>25,522,389</b>	<b>26,430,187</b>	<b>27,614,973</b>	<b>28,961,263</b>
<b>School Aid</b>	<b>20,959,530</b>	<b>21,953,910</b>	<b>23,043,568</b>	<b>24,011,597</b>	<b>25,115,674</b>	<b>26,375,025</b>
Local Assistance	20,959,530	21,953,910	23,043,568	24,011,597	25,115,674	26,375,025
<b>School Aid – Other</b>	<b>57,079</b>	<b>61,169</b>	<b>99,342</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>
Local Assistance	57,079	61,169	99,342	78,195	78,195	78,195
<b>Special Education Categorical Programs</b>	<b>1,317,359</b>	<b>1,263,705</b>	<b>1,328,600</b>	<b>1,349,837</b>	<b>1,417,421</b>	<b>1,483,266</b>
Local Assistance	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<b>All Other</b>	<b>926,933</b>	<b>930,276</b>	<b>1,050,879</b>	<b>990,558</b>	<b>1,003,683</b>	<b>1,024,777</b>
Local Assistance	868,560	873,880	990,093	932,320	944,473	966,539
State Operations	58,373	56,396	60,786	58,238	59,210	58,238
Personal Service	30,913	31,037	29,812	29,334	30,306	29,334
Non-Personal Service	27,460	25,359	30,974	28,904	28,904	28,904
<b>Functional Total</b>	<b>23,303,733</b>	<b>24,248,270</b>	<b>25,567,704</b>	<b>26,475,342</b>	<b>27,660,224</b>	<b>29,006,418</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>22,118</b>	<b>23,931</b>	<b>23,722</b>	<b>22,895</b>	<b>23,749</b>	<b>22,895</b>
State Operations	22,118	23,931	23,722	22,895	23,749	22,895
Personal Service	19,346	20,484	22,216	22,216	23,070	22,216
Non-Personal Service	2,772	3,447	1,506	679	679	679
<b>Civil Service, Department of</b>	<b>12,614</b>	<b>14,117</b>	<b>12,544</b>	<b>12,643</b>	<b>13,113</b>	<b>12,739</b>
State Operations	12,614	14,117	12,544	12,643	13,113	12,739
Personal Service	12,061	13,731	12,138	12,229	12,699	12,320
Non-Personal Service	553	386	406	414	414	419

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b><i>Deferred Compensation Board</i></b>	<b>39</b>	<b>33</b>	<b>57</b>	<b>57</b>	<b>58</b>	<b>57</b>
State Operations	39	33	57	57	58	57
Personal Service	29	33	32	32	33	32
Non-Personal Service	10	0	25	25	25	25
<b><i>Elections, State Board of</i></b>	<b>7,084</b>	<b>7,769</b>	<b>9,837</b>	<b>10,147</b>	<b>10,583</b>	<b>10,054</b>
Local Assistance	93	50	0	0	0	0
State Operations	6,991	7,719	9,837	10,147	10,583	10,054
Personal Service	5,765	6,077	6,143	6,288	6,571	6,478
Non-Personal Service	1,226	1,642	3,694	3,859	4,012	3,576
<b><i>Employee Relations, Office of</i></b>	<b>2,334</b>	<b>3,569</b>	<b>2,601</b>	<b>2,621</b>	<b>2,719</b>	<b>2,621</b>
State Operations	2,334	3,569	2,601	2,621	2,719	2,621
Personal Service	2,269	2,515	2,529	2,548	2,646	2,548
Non-Personal Service	65	1,054	72	73	73	73
<b><i>Gaming Commission, New York State</i></b>	<b>5,709</b>	<b>4,373</b>	<b>6,362</b>	<b>6,362</b>	<b>6,527</b>	<b>6,362</b>
State Operations	5,709	4,373	6,362	6,362	6,527	6,362
Personal Service	3,724	2,872	4,282	4,282	4,447	4,282
Non-Personal Service	1,985	1,501	2,080	2,080	2,080	2,080
<b><i>General Services, Office of</i></b>	<b>153,855</b>	<b>138,445</b>	<b>132,981</b>	<b>128,903</b>	<b>127,600</b>	<b>126,196</b>
State Operations	153,855	138,445	132,981	128,903	127,600	126,196
Personal Service	73,515	63,907	47,592	47,592	50,978	48,587
Non-Personal Service	80,340	74,538	85,389	81,311	76,622	77,609
<b><i>Inspector General, Office of the</i></b>	<b>7,179</b>	<b>7,166</b>	<b>7,427</b>	<b>7,487</b>	<b>7,826</b>	<b>8,061</b>
State Operations	7,179	7,166	7,427	7,487	7,826	8,061
Personal Service	5,833	6,223	6,600	6,648	6,970	6,857
Non-Personal Service	1,346	943	827	839	856	1,204
<b><i>Labor Management Committees</i></b>	<b>28,818</b>	<b>24,773</b>	<b>31,000</b>	<b>31,000</b>	<b>31,211</b>	<b>31,000</b>
State Operations	28,818	24,773	31,000	31,000	31,211	31,000
Personal Service	7,871	7,747	5,446	5,487	5,698	5,487
Non-Personal Service	20,947	17,026	25,554	25,513	25,513	25,513
<b><i>Prevention of Domestic Violence, Office for</i></b>	<b>2,028</b>	<b>2,349</b>	<b>2,944</b>	<b>3,044</b>	<b>3,116</b>	<b>3,054</b>
Local Assistance	528	791	1,285	1,385	1,385	1,412
State Operations	1,500	1,558	1,659	1,659	1,731	1,642
Personal Service	1,338	1,412	1,469	1,491	1,524	1,431
Non-Personal Service	162	146	190	168	207	211
<b><i>Public Employment Relations Board</i></b>	<b>3,269</b>	<b>3,232</b>	<b>3,560</b>	<b>3,589</b>	<b>3,719</b>	<b>3,589</b>
State Operations	3,269	3,232	3,560	3,589	3,719	3,589
Personal Service	3,056	3,059	3,313	3,338	3,468	3,338
Non-Personal Service	213	173	247	251	251	251
<b><i>Public Integrity, Commission on</i></b>	<b>4,876</b>	<b>5,115</b>	<b>5,576</b>	<b>5,630</b>	<b>5,835</b>	<b>6,010</b>
State Operations	4,876	5,115	5,576	5,630	5,835	6,010
Personal Service	3,808	4,386	4,646	4,681	4,867	4,827
Non-Personal Service	1,068	729	930	949	968	1,183
<b><i>State, Department of</i></b>	<b>24,962</b>	<b>19,872</b>	<b>23,414</b>	<b>22,632</b>	<b>15,994</b>	<b>15,632</b>
Local Assistance	11,701	9,122	14,022	13,440	6,440	6,440
State Operations	13,261	10,750	9,392	9,192	9,554	9,192
Personal Service	12,334	10,364	9,003	8,953	9,315	8,953
Non-Personal Service	927	386	389	239	239	239
<b><i>Tax Appeals, Division of</i></b>	<b>2,833</b>	<b>2,688</b>	<b>3,040</b>	<b>3,040</b>	<b>3,150</b>	<b>3,040</b>
State Operations	2,833	2,688	3,040	3,040	3,150	3,040
Personal Service	2,604	2,541	2,870	2,870	2,980	2,870
Non-Personal Service	229	147	170	170	170	170
<b><i>Taxation and Finance, Department of</i></b>	<b>263,572</b>	<b>307,009</b>	<b>255,928</b>	<b>256,553</b>	<b>265,246</b>	<b>256,553</b>
Local Assistance	908	888	926	926	926	926

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
State Operations	262,664	306,121	255,002	255,627	264,320	255,627
Personal Service	234,901	266,320	219,408	219,939	227,328	219,939
Non-Personal Service	27,763	39,801	35,594	35,688	36,992	35,688
<b>Technology, Office for</b>	<b>548,366</b>	<b>535,977</b>	<b>544,532</b>	<b>540,286</b>	<b>550,699</b>	<b>557,255</b>
State Operations	548,366	535,977	544,532	540,286	550,699	557,255
Personal Service	298,543	289,994	286,118	291,603	308,070	303,412
Non-Personal Service	249,823	245,983	258,414	248,683	242,629	253,843
<b>Veterans' Affairs, Division of</b>	<b>14,291</b>	<b>13,791</b>	<b>16,028</b>	<b>13,873</b>	<b>14,119</b>	<b>14,054</b>
Local Assistance	8,340	7,906	9,792	7,637	7,637	7,637
State Operations	5,951	5,885	6,236	6,236	6,482	6,417
Personal Service	5,086	5,512	5,938	5,938	6,178	6,107
Non-Personal Service	865	373	298	298	304	310
<b>Welfare Inspector General, Office of</b>	<b>592</b>	<b>671</b>	<b>686</b>	<b>701</b>	<b>731</b>	<b>753</b>
State Operations	592	671	686	701	731	753
Personal Service	565	619	621	626	654	646
Non-Personal Service	27	52	65	75	77	107
<b>Functional Total</b>	<b>1,104,539</b>	<b>1,114,880</b>	<b>1,082,239</b>	<b>1,071,463</b>	<b>1,085,995</b>	<b>1,079,925</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>165,774</b>	<b>164,445</b>	<b>166,737</b>	<b>166,737</b>	<b>172,424</b>	<b>167,291</b>
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	133,749	132,420	134,713	134,713	140,400	135,267
Personal Service	103,758	107,894	109,470	109,470	114,603	109,470
Non-Personal Service	29,991	24,526	25,243	25,243	25,797	25,797
<b>Executive Chamber</b>	<b>14,653</b>	<b>13,560</b>	<b>13,578</b>	<b>13,578</b>	<b>14,032</b>	<b>13,578</b>
State Operations	14,653	13,560	13,578	13,578	14,032	13,578
Personal Service	10,746	9,979	11,113	11,113	11,567	11,113
Non-Personal Service	3,907	3,581	2,465	2,465	2,465	2,465
<b>Judiciary</b>	<b>2,589,330</b>	<b>2,691,658</b>	<b>2,768,354</b>	<b>2,783,829</b>	<b>2,840,829</b>	<b>2,783,829</b>
Local Assistance	2,443	2,974	3,500	18,500	18,500	18,500
State Operations	1,911,837	1,966,636	2,020,225	2,009,200	2,066,200	2,009,200
Personal Service	1,509,278	1,565,719	1,608,025	1,597,000	1,654,000	1,597,000
Non-Personal Service	402,559	400,917	412,200	412,200	412,200	412,200
General State Charges	675,050	722,048	744,629	756,129	756,129	756,129
<b>Law, Department of</b>	<b>104,150</b>	<b>105,993</b>	<b>107,538</b>	<b>108,461</b>	<b>113,235</b>	<b>108,735</b>
State Operations	104,150	105,993	107,538	108,461	113,235	108,735
Personal Service	92,699	95,500	95,988	96,653	101,153	96,653
Non-Personal Service	11,451	10,493	11,550	11,808	12,082	12,082
<b>Legislature</b>	<b>224,312</b>	<b>222,212</b>	<b>228,908</b>	<b>233,486</b>	<b>238,156</b>	<b>242,919</b>
State Operations	224,312	222,212	228,908	233,486	238,156	242,919
Personal Service	170,623	174,350	175,541	179,052	182,633	186,286
Non-Personal Service	53,689	47,862	53,367	54,434	55,523	56,633
<b>Lieutenant Governor, Office of the</b>	<b>510</b>	<b>492</b>	<b>614</b>	<b>614</b>	<b>634</b>	<b>614</b>
State Operations	510	492	614	614	634	614
Personal Service	364	382	523	523	543	523
Non-Personal Service	146	110	91	91	91	91
<b>Functional Total</b>	<b>3,098,729</b>	<b>3,198,360</b>	<b>3,285,729</b>	<b>3,306,705</b>	<b>3,379,310</b>	<b>3,316,966</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>714,839</b>	<b>721,920</b>	<b>723,783</b>	<b>731,363</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance	714,732	721,789	723,533	731,363	763,347	763,347
State Operations	107	131	250	0	0	0
Non-Personal Service	107	131	250	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
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(thousands of dollars)

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>County-Wide Shared Services Initiative</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>125,000</b>	<b>0</b>
Local Assistance	0	0	0	100,000	125,000	0
<b>Efficiency Incentive Grants Program</b>	<b>0</b>	<b>348</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	0	348	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>16,046</b>	<b>9,270</b>	<b>12,001</b>	<b>2,250</b>	<b>2,250</b>	<b>2,250</b>
Local Assistance	16,046	9,270	12,001	2,250	2,250	2,250
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>
Local Assistance	29,331	28,885	28,885	28,885	28,885	28,885
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,433</b>	<b>760,640</b>	<b>764,887</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>4,782,944</b>	<b>4,842,816</b>	<b>6,642,283</b>	<b>7,020,211</b>	<b>7,558,961</b>	<b>8,129,073</b>
General State Charges	4,782,944	4,842,816	6,642,283	7,020,211	7,558,961	8,129,073
<b>Miscellaneous</b>	<b>(69,320)</b>	<b>(18,274)</b>	<b>1,232,592</b>	<b>1,323,717</b>	<b>1,981,561</b>	<b>1,973,684</b>
Local Assistance	(95,195)	(69,431)	677,683	704,390	1,118,934	1,111,057
State Operations	21,571	44,005	550,489	614,907	858,207	858,207
Personal Service	49	34	259,056	327,195	554,995	554,995
Non-Personal Service	21,522	43,971	291,433	287,712	303,212	303,212
General State Charges	4,304	7,152	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<b>4,713,624</b>	<b>4,824,542</b>	<b>7,874,875</b>	<b>8,343,928</b>	<b>9,540,522</b>	<b>10,102,757</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>57,987,962</b>	<b>59,872,111</b>	<b>70,254,633</b>	<b>73,711,132</b>	<b>78,082,807</b>	<b>80,808,902</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	64,846	65,366	70,719	61,430	57,441	56,380
Alcoholic Beverage Control, Division of	10,843	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	73,002	70,791	74,040	68,985	68,985	68,835
Empire State Development Corporation	122,298	124,880	95,576	86,746	91,746	91,746
Olympic Regional Development Authority	2,736	2,736	11,143	5,736	5,736	5,736
<b>Functional Total</b>	<b>273,725</b>	<b>275,592</b>	<b>263,961</b>	<b>234,141</b>	<b>235,468</b>	<b>233,941</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,535	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	92,279	96,795	106,048	112,832	129,102	126,136
Parks, Recreation and Historic Preservation, Office of	113,058	105,254	104,718	105,525	110,473	106,729
<b>Functional Total</b>	<b>209,872</b>	<b>206,517</b>	<b>215,235</b>	<b>222,771</b>	<b>244,147</b>	<b>237,279</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	1,600	12,088	11,947	12,186	12,430
Transportation, Department of	107,383	116,103	574,281	434,703	435,765	440,664
<b>Functional Total</b>	<b>107,383</b>	<b>117,703</b>	<b>586,369</b>	<b>446,650</b>	<b>447,951</b>	<b>453,094</b>
<b>HEALTH</b>						
Aging, Office for the	126,347	125,380	130,509	132,816	138,041	143,310
Health, Department of	13,614,139	14,611,316	15,527,506	16,936,292	17,971,558	18,850,760
<i>Medical Assistance</i>	11,709,956	13,111,453	13,727,999	15,305,819	16,368,125	17,263,123
<i>Essential Plan</i>	312,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	728,644	583,789	917,523	744,037	721,913	707,680
<i>Public Health</i>	862,702	828,436	795,261	802,212	802,763	803,560
Medicaid Inspector General, Office of the	20,489	18,282	18,111	18,111	18,718	18,111
<b>Functional Total</b>	<b>13,760,975</b>	<b>14,754,978</b>	<b>15,676,126</b>	<b>17,087,219</b>	<b>18,128,317</b>	<b>19,012,181</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,929,379	1,832,432	1,958,154	2,025,737	2,111,037	2,148,600
<i>OCFS</i>	1,847,132	1,755,070	1,873,412	1,934,930	2,017,050	2,050,449
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	12,376	9,912	13,956	43,987	44,995	45,506
Human Rights, Division of	10,382	9,465	9,921	9,921	10,590	10,230
Labor, Department of	15,392	12,863	14,800	288	349	351
National and Community Service	471	770	690	690	699	699
Temporary and Disability Assistance, Office of	1,356,683	1,351,164	1,403,666	1,487,645	1,494,938	1,501,458
<i>Welfare Assistance</i>	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	226,492	213,840	225,845	246,279	253,572	260,092
<b>Functional Total</b>	<b>3,324,683</b>	<b>3,216,606</b>	<b>3,401,187</b>	<b>3,568,268</b>	<b>3,662,608</b>	<b>3,706,844</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	27,098	36,221	427,899	456,991	477,050	491,862
<i>OASAS</i>	5,773	14,896	362,890	390,782	409,399	423,484
<i>OASAS - Other</i>	21,325	21,325	65,009	66,209	67,651	68,378
Justice Center	37,222	37,797	40,192	41,975	43,859	43,187
Mental Health, Office of	277,868	273,626	2,631,087	2,763,159	2,890,571	2,992,284
<i>OMH</i>	7,368	8,237	1,312,570	1,446,406	1,529,736	1,593,040
<i>OMH - Other</i>	270,500	265,389	1,318,517	1,316,753	1,360,835	1,399,244
People with Developmental Disabilities, Office for	583,212	438,201	1,855,411	2,146,103	2,592,598	2,516,055
<i>OPWDD</i>	92	143	388,271	383,644	389,573	399,750
<i>OPWDD - Other</i>	583,120	438,058	1,467,140	1,762,459	2,203,025	2,116,305
<b>Functional Total</b>	<b>925,400</b>	<b>785,845</b>	<b>4,954,589</b>	<b>5,408,228</b>	<b>6,004,078</b>	<b>6,043,388</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,643,575	2,632,319	2,608,171	2,620,544	2,626,174	2,617,544
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	163,222	170,421	195,507	165,721	166,685	166,415

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Homeland Security and Emergency Services, Division of	6,640	4,956	6,572	4,972	4,972	5,290
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	24,734	23,738	21,438	21,579	21,875	22,509
State Police, Division of	652,546	664,644	651,846	651,623	676,833	691,342
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	1,201	(514)	0	2,788	2,788	2,788
<b>Functional Total</b>	<b>3,530,182</b>	<b>3,533,871</b>	<b>3,524,331</b>	<b>3,508,160</b>	<b>3,541,053</b>	<b>3,545,922</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,424,632	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
Higher Education Services Corporation, New York State	957,504	879,322	1,080,675	1,156,934	1,175,207	1,182,313
State University of New York	492,548	489,832	482,989	485,343	485,343	485,343
<b>Functional Total</b>	<b>2,874,684</b>	<b>2,834,307</b>	<b>3,057,401</b>	<b>3,175,541</b>	<b>3,233,434</b>	<b>3,275,487</b>
<b>EDUCATION</b>						
Arts, Council on the	42,832	39,210	45,315	45,155	45,251	45,155
Education, Department of	23,260,901	24,209,060	25,522,389	26,430,187	27,614,973	28,961,263
<i>School Aid</i>	20,959,530	21,953,910	23,043,568	24,011,597	25,115,674	26,375,025
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>Special Education Categorical Programs</i>	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<i>All Other</i>	926,933	930,276	1,050,879	990,558	1,003,683	1,024,777
<b>Functional Total</b>	<b>23,303,733</b>	<b>24,248,270</b>	<b>25,567,704</b>	<b>26,475,342</b>	<b>27,660,224</b>	<b>29,006,418</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,118	23,931	23,722	22,895	23,749	22,895
Civil Service, Department of	12,614	14,117	12,544	12,643	13,113	12,739
Deferred Compensation Board	39	33	57	57	58	57
Elections, State Board of	7,084	7,769	9,837	10,147	10,583	10,054
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	5,709	4,373	6,362	6,362	6,527	6,362
General Services, Office of	153,855	138,445	132,981	128,903	127,600	126,196
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,000	31,000	31,211	31,000
Prevention of Domestic Violence, Office for	2,028	2,349	2,944	3,044	3,116	3,054
Public Employment Relations Board	3,269	3,232	3,560	3,589	3,719	3,589
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	24,962	19,872	23,414	22,632	15,994	15,632
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	263,572	307,009	255,928	256,553	265,246	256,553
Technology, Office for	548,366	535,977	544,532	540,286	550,699	557,255
Veterans' Affairs, Division of	14,291	13,791	16,028	13,873	14,119	14,054
Welfare Inspector General, Office of	592	671	686	701	731	753
<b>Functional Total</b>	<b>1,104,539</b>	<b>1,114,880</b>	<b>1,082,239</b>	<b>1,071,463</b>	<b>1,085,995</b>	<b>1,079,925</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	165,774	164,445	166,737	166,737	172,424	167,291
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,589,330	2,691,657	2,768,354	2,783,829	2,840,829	2,783,829
Law, Department of	104,150	105,993	107,538	108,461	113,235	108,735
Legislature	224,312	222,212	228,908	233,486	238,156	242,919
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<b>3,098,729</b>	<b>3,198,359</b>	<b>3,285,729</b>	<b>3,306,705</b>	<b>3,379,310</b>	<b>3,316,966</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,839	721,920	723,783	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,433</b>	<b>760,640</b>	<b>764,887</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Miscellaneous	(69,320)	(18,274)	1,232,592	1,323,717	1,981,561	1,973,684
<b>Functional Total</b>	<b>4,713,623</b>	<b>4,824,541</b>	<b>7,874,875</b>	<b>8,343,928</b>	<b>9,540,522</b>	<b>10,102,757</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>57,987,961</b>	<b>59,872,109</b>	<b>70,254,633</b>	<b>73,711,132</b>	<b>78,082,807</b>	<b>80,808,902</b>



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,980	32,318	38,271	28,757	24,757	24,907
Economic Development, Department of	53,748	52,871	58,699	53,644	53,644	53,494
Empire State Development Corporation	122,298	124,880	95,576	86,746	91,746	91,746
Olympic Regional Development Authority	0	0	2,360	0	0	0
<b>Functional Total</b>	<b>208,026</b>	<b>210,069</b>	<b>194,906</b>	<b>169,147</b>	<b>170,147</b>	<b>170,147</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	1,906	2,491	4,095	3,019	3,019	3,019
Parks, Recreation and Historic Preservation, Office of	4,298	2,931	406	100	100	100
<b>Functional Total</b>	<b>6,204</b>	<b>5,422</b>	<b>4,501</b>	<b>3,119</b>	<b>3,119</b>	<b>3,119</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	1,600	375	0	0	0
Transportation, Department of	106,214	114,708	303,851	100,851	100,851	100,851
<b>Functional Total</b>	<b>106,214</b>	<b>116,308</b>	<b>304,226</b>	<b>100,851</b>	<b>100,851</b>	<b>100,851</b>
<b>HEALTH</b>						
Aging, Office for the	125,116	124,180	129,278	131,585	136,767	142,079
Health, Department of	13,176,706	14,105,025	14,974,669	16,402,316	17,445,786	18,329,114
<i>Medical Assistance</i>	11,709,956	13,111,453	13,727,999	15,305,819	16,368,125	17,263,123
<i>Essential Plan</i>	269,000	0	0	0	0	0
<i>Medicaid Administration</i>	467,643	286,336	585,173	428,149	411,867	396,295
<i>Public Health</i>	730,107	707,236	661,497	668,348	665,794	669,696
<b>Functional Total</b>	<b>13,301,822</b>	<b>14,229,205</b>	<b>15,103,947</b>	<b>16,533,901</b>	<b>17,582,553</b>	<b>18,471,193</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,712,175	1,604,882	1,687,489	1,668,809	1,687,414	1,722,595
<i>OCFS</i>	1,629,928	1,527,520	1,602,747	1,578,002	1,593,427	1,624,444
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	4,585	4,119	9,406	39,437	40,090	40,765
Labor, Department of	15,081	12,666	14,512	0	0	0
National and Community Service	267	477	350	350	350	350
Temporary and Disability Assistance, Office of	1,220,003	1,229,518	1,279,914	1,357,562	1,360,362	1,364,162
<i>Welfare Assistance</i>	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	89,812	92,194	102,093	116,196	118,996	122,796
<b>Functional Total</b>	<b>2,952,111</b>	<b>2,851,662</b>	<b>2,991,671</b>	<b>3,066,158</b>	<b>3,088,216</b>	<b>3,127,872</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	27,098	36,221	350,720	377,702	395,260	409,715
<i>OASAS</i>	5,773	14,896	329,395	356,377	373,935	388,390
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	200	170	170	170	170	170
Mental Health, Office of	277,087	272,929	1,294,888	1,405,987	1,496,836	1,564,221
<i>OMH</i>	6,587	7,540	995,563	1,096,276	1,176,206	1,232,122
<i>OMH - Other</i>	270,500	265,389	299,325	309,711	320,630	332,099
People with Developmental Disabilities, Office for	583,212	438,201	529,772	818,470	1,228,444	1,136,942
<i>OPWDD</i>	92	143	388,271	383,644	389,573	399,750
<i>OPWDD - Other</i>	583,120	438,058	141,501	434,826	838,871	737,192
<b>Functional Total</b>	<b>887,597</b>	<b>747,521</b>	<b>2,175,550</b>	<b>2,602,329</b>	<b>3,120,710</b>	<b>3,111,048</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	4,457	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	129,354	136,570	161,786	132,000	132,000	132,000
Homeland Security and Emergency Services, Division of	5,501	3,886	5,572	3,972	3,972	4,290
Military and Naval Affairs, Division of	786	819	820	820	820	886
Victim Services, Office of	1,201	(514)	0	2,788	2,788	2,788
<b>Functional Total</b>	<b>141,299</b>	<b>146,447</b>	<b>175,014</b>	<b>146,416</b>	<b>146,416</b>	<b>144,800</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
Higher Education Services Corporation, New York State	957,504	879,322	1,080,675	1,156,934	1,175,207	1,182,313
State University of New York	491,891	488,783	482,289	484,643	484,643	484,643
<b>Functional Total</b>	<b>2,873,524</b>	<b>2,833,258</b>	<b>3,056,701</b>	<b>3,174,841</b>	<b>3,232,734</b>	<b>3,274,787</b>
<b>EDUCATION</b>						
Arts, Council on the	38,907	34,950	40,995	40,835	40,835	40,835
Education, Department of	23,202,528	24,152,664	25,461,603	26,371,949	27,555,763	28,903,025
<i>School Aid</i>	20,959,530	21,953,910	23,043,568	24,011,597	25,115,674	26,375,025
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>Special Education Categorical Programs</i>	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<i>All Other</i>	868,560	873,880	990,093	932,320	944,473	966,539
<b>Functional Total</b>	<b>23,241,435</b>	<b>24,187,614</b>	<b>25,502,598</b>	<b>26,412,784</b>	<b>27,596,598</b>	<b>28,943,860</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	93	50	0	0	0	0
Prevention of Domestic Violence, Office for	528	791	1,285	1,385	1,385	1,412
State, Department of	11,701	9,122	14,022	13,440	6,440	6,440
Taxation and Finance, Department of	908	888	926	926	926	926
Veterans' Affairs, Division of	8,340	7,906	9,792	7,637	7,637	7,637
<b>Functional Total</b>	<b>21,570</b>	<b>18,757</b>	<b>26,025</b>	<b>23,388</b>	<b>16,388</b>	<b>16,415</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	2,443	2,974	3,500	18,500	18,500	18,500
<b>Functional Total</b>	<b>34,468</b>	<b>34,999</b>	<b>35,524</b>	<b>50,524</b>	<b>50,524</b>	<b>50,524</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,732	721,789	723,533	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,326</b>	<b>760,509</b>	<b>764,637</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(95,195)	(69,431)	677,683	704,390	1,118,934	1,111,057
<b>Functional Total</b>	<b>(95,195)</b>	<b>(69,431)</b>	<b>677,683</b>	<b>704,390</b>	<b>1,118,934</b>	<b>1,111,057</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>44,439,401</b>	<b>46,072,340</b>	<b>51,012,983</b>	<b>53,850,564</b>	<b>57,146,890</b>	<b>59,320,373</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	32,866	33,048	32,448	32,673	32,684	31,473
Alcoholic Beverage Control, Division of	10,843	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	19,254	17,920	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	2,736	2,736	8,783	5,736	5,736	5,736
<b>Functional Total</b>	<b>65,699</b>	<b>65,523</b>	<b>69,055</b>	<b>64,994</b>	<b>65,321</b>	<b>63,794</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,535	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	90,373	94,304	101,953	109,813	126,083	123,117
Parks, Recreation and Historic Preservation, Office of	108,760	102,323	104,312	105,425	110,373	106,629
<b>Functional Total</b>	<b>203,668</b>	<b>201,095</b>	<b>210,734</b>	<b>219,652</b>	<b>241,028</b>	<b>234,160</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	0	11,713	11,947	12,186	12,430
Transportation, Department of	1,169	1,395	270,430	333,852	334,914	339,813
<b>Functional Total</b>	<b>1,169</b>	<b>1,395</b>	<b>282,143</b>	<b>345,799</b>	<b>347,100</b>	<b>352,243</b>
<b>HEALTH</b>						
Aging, Office for the	1,231	1,200	1,231	1,231	1,274	1,231
Health, Department of	437,433	506,291	552,837	533,976	525,772	521,646
<i>Essential Plan</i>	43,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	261,001	297,453	332,350	315,888	310,046	311,385
<i>Public Health</i>	132,595	121,200	133,764	133,864	136,969	133,864
Medicaid Inspector General, Office of the	20,489	18,282	18,111	18,111	18,718	18,111
<b>Functional Total</b>	<b>459,153</b>	<b>525,773</b>	<b>572,179</b>	<b>553,318</b>	<b>545,764</b>	<b>540,988</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	217,204	227,550	270,665	356,928	423,623	426,005
<i>OCFS</i>	217,204	227,550	270,665	356,928	423,623	426,005
Housing and Community Renewal, Division of	7,791	5,793	4,550	4,550	4,905	4,741
Human Rights, Division of	10,382	9,465	9,921	9,921	10,590	10,230
Labor, Department of	311	197	288	288	349	351
National and Community Service	204	293	340	340	349	349
Temporary and Disability Assistance, Office of	136,680	121,646	123,752	130,083	134,576	137,296
<i>All Other</i>	136,680	121,646	123,752	130,083	134,576	137,296
<b>Functional Total</b>	<b>372,572</b>	<b>364,944</b>	<b>409,516</b>	<b>502,110</b>	<b>574,392</b>	<b>578,972</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	0	77,179	79,289	81,790	82,147
<i>OASAS</i>	0	0	33,495	34,405	35,464	35,094
<i>OASAS - Other</i>	0	0	43,684	44,884	46,326	47,053
Justice Center	37,022	37,627	40,022	41,805	43,689	43,017
Mental Health, Office of	781	697	1,336,199	1,357,172	1,393,735	1,428,063
<i>OMH</i>	781	697	317,007	350,130	353,530	360,918
<i>OMH - Other</i>	0	0	1,019,192	1,007,042	1,040,205	1,067,145
People with Developmental Disabilities, Office for	0	0	1,325,639	1,327,633	1,364,154	1,379,113
<i>OPWDD - Other</i>	0	0	1,325,639	1,327,633	1,364,154	1,379,113
<b>Functional Total</b>	<b>37,803</b>	<b>38,324</b>	<b>2,779,039</b>	<b>2,805,899</b>	<b>2,883,368</b>	<b>2,932,340</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**STATE OPERATIONS**  
(thousands of dollars)

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,639,118	2,626,633	2,603,335	2,615,708	2,621,338	2,612,708
Criminal Justice Services, Division of	33,868	33,851	33,721	33,721	34,685	34,415
Homeland Security and Emergency Services, Division of	1,139	1,070	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	23,948	22,919	20,618	20,759	21,055	21,623
State Police, Division of	652,546	664,644	651,846	651,623	676,833	691,342
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
<b>Functional Total</b>	<b>3,388,883</b>	<b>3,387,424</b>	<b>3,349,317</b>	<b>3,361,744</b>	<b>3,394,637</b>	<b>3,401,122</b>
<b>HIGHER EDUCATION</b>						
City University of New York	503	0	0	0	0	0
State University of New York	657	1,049	700	700	700	700
<b>Functional Total</b>	<b>1,160</b>	<b>1,049</b>	<b>700</b>	<b>700</b>	<b>700</b>	<b>700</b>
<b>EDUCATION</b>						
Arts, Council on the	3,925	4,260	4,320	4,320	4,416	4,320
Education, Department of	58,373	56,396	60,786	58,238	59,210	58,238
<i>All Other</i>	58,373	56,396	60,786	58,238	59,210	58,238
<b>Functional Total</b>	<b>62,298</b>	<b>60,656</b>	<b>65,106</b>	<b>62,558</b>	<b>63,626</b>	<b>62,558</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,118	23,931	23,722	22,895	23,749	22,895
Civil Service, Department of	12,614	14,117	12,544	12,643	13,113	12,739
Deferred Compensation Board	39	33	57	57	58	57
Elections, State Board of	6,991	7,719	9,837	10,147	10,583	10,054
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	5,709	4,373	6,362	6,362	6,527	6,362
General Services, Office of	153,855	138,445	132,981	128,903	127,600	126,196
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,000	31,000	31,211	31,000
Prevention of Domestic Violence, Office for	1,500	1,558	1,659	1,659	1,731	1,642
Public Employment Relations Board	3,269	3,232	3,560	3,589	3,719	3,589
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	13,261	10,750	9,392	9,192	9,554	9,192
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	262,664	306,121	255,002	255,627	264,320	255,627
Technology, Office for	548,366	535,977	544,532	540,286	550,699	557,255
Veterans' Affairs, Division of	5,951	5,885	6,236	6,236	6,482	6,417
Welfare Inspector General, Office of	592	671	686	701	731	753
<b>Functional Total</b>	<b>1,082,969</b>	<b>1,096,123</b>	<b>1,056,214</b>	<b>1,048,075</b>	<b>1,069,607</b>	<b>1,063,510</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	133,749	132,420	134,713	134,713	140,400	135,267
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	1,911,837	1,966,636	2,020,225	2,009,200	2,066,200	2,009,200
Law, Department of	104,150	105,993	107,538	108,461	113,235	108,735
Legislature	224,312	222,212	228,908	233,486	238,156	242,919
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<b>2,389,211</b>	<b>2,441,313</b>	<b>2,505,576</b>	<b>2,500,052</b>	<b>2,572,657</b>	<b>2,510,313</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	21,571	44,005	550,489	614,907	858,207	858,207
<b>Functional Total</b>	<u>21,571</u>	<u>44,005</u>	<u>550,489</u>	<u>614,907</u>	<u>858,207</u>	<u>858,207</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>8,086,263</u></u>	<u><u>8,227,755</u></u>	<u><u>11,850,318</u></u>	<u><u>12,079,808</u></u>	<u><u>12,616,407</u></u>	<u><u>12,598,907</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	27,374	28,155	26,463	26,463	27,474	26,463
Alcoholic Beverage Control, Division of	7,567	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,152	13,240	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	2,548	5,595	2,548	2,548	2,548
<b>Functional Total</b>	<b>50,641</b>	<b>52,074</b>	<b>53,031</b>	<b>50,422</b>	<b>51,749</b>	<b>50,422</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,112	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	83,931	82,506	81,529	89,429	100,899	97,933
Parks, Recreation and Historic Preservation, Office of	103,327	96,935	96,377	97,490	102,438	98,694
<b>Functional Total</b>	<b>191,370</b>	<b>183,620</b>	<b>182,014</b>	<b>191,027</b>	<b>207,603</b>	<b>200,735</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	0	8,524	8,694	8,868	9,046
Transportation, Department of	0	0	158,494	166,980	166,980	166,980
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>167,018</b>	<b>175,674</b>	<b>175,848</b>	<b>176,026</b>
<b>HEALTH</b>						
Aging, Office for the	1,125	1,103	1,125	1,125	1,168	1,125
Health, Department of	125,612	122,332	148,614	151,961	157,916	153,345
<i>Essential Plan</i>	1,367	3,148	4,206	4,580	4,418	4,384
<i>Medicaid Administration</i>	36,908	37,196	51,113	54,086	57,098	55,666
<i>Public Health</i>	87,337	81,988	93,295	93,295	96,400	93,295
Medicaid Inspector General, Office of the	16,415	15,864	14,936	14,936	15,543	14,936
<b>Functional Total</b>	<b>143,152</b>	<b>139,299</b>	<b>164,675</b>	<b>168,022</b>	<b>174,627</b>	<b>169,406</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	166,257	168,186	189,011	258,122	311,749	311,892
<i>OCFS</i>	166,257	168,186	189,011	258,122	311,749	311,892
Housing and Community Renewal, Division of	5,000	3,866	4,199	4,199	4,492	4,328
Human Rights, Division of	9,533	8,662	9,461	9,461	10,121	9,752
Labor, Department of	120	84	88	88	94	91
National and Community Service	203	292	331	331	340	340
Temporary and Disability Assistance, Office of	73,429	64,895	68,444	73,603	76,972	78,522
<i>All Other</i>	73,429	64,895	68,444	73,603	76,972	78,522
<b>Functional Total</b>	<b>254,542</b>	<b>245,985</b>	<b>271,534</b>	<b>345,804</b>	<b>403,768</b>	<b>404,925</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	0	59,954	60,914	62,716	62,362
<i>OASAS</i>	0	0	26,697	27,133	27,919	27,270
<i>OASAS - Other</i>	0	0	33,257	33,781	34,797	35,092
Justice Center	28,113	30,029	31,377	32,717	34,340	33,397
Mental Health, Office of	0	0	1,086,872	1,095,948	1,119,662	1,130,847
<i>OMH</i>	0	0	269,985	311,021	314,021	320,071
<i>OMH - Other</i>	0	0	816,887	784,927	805,641	810,776
People with Developmental Disabilities, Office for	0	0	1,137,939	1,145,989	1,177,306	1,186,856
<i>OPWDD - Other</i>	0	0	1,137,939	1,145,989	1,177,306	1,186,856
<b>Functional Total</b>	<b>28,113</b>	<b>30,029</b>	<b>2,316,142</b>	<b>2,335,568</b>	<b>2,394,024</b>	<b>2,413,462</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,234	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,113,239	2,098,248	2,042,613	2,056,117	2,061,747	2,056,117
Criminal Justice Services, Division of	26,740	26,713	27,190	27,190	28,154	27,713
Homeland Security and Emergency Services, Division of	992	1,070	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	4,181	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	16,761	15,015	14,161	14,161	14,457	14,531
State Police, Division of	608,046	630,445	621,559	618,103	643,273	655,772
Statewide Financial System	11,045	11,094	11,758	11,882	12,325	12,121
<b>Functional Total</b>	<b>2,783,238</b>	<b>2,789,139</b>	<b>2,725,007</b>	<b>2,735,214</b>	<b>2,768,035</b>	<b>2,774,225</b>
<b>EDUCATION</b>						
Arts, Council on the	2,491	2,626	2,498	2,498	2,594	2,498
Education, Department of	30,913	31,037	29,812	29,334	30,306	29,334
<i>All Other</i>	30,913	31,037	29,812	29,334	30,306	29,334
<b>Functional Total</b>	<b>33,404</b>	<b>33,663</b>	<b>32,310</b>	<b>31,832</b>	<b>32,900</b>	<b>31,832</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	19,346	20,484	22,216	22,216	23,070	22,216
Civil Service, Department of	12,061	13,731	12,138	12,229	12,699	12,320
Deferred Compensation Board	29	33	32	32	33	32
Elections, State Board of	5,765	6,077	6,143	6,288	6,571	6,478
Employee Relations, Office of	2,269	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	3,724	2,872	4,282	4,282	4,447	4,282
General Services, Office of	73,515	63,907	47,592	47,592	50,978	48,587
Inspector General, Office of the	5,833	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,871	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,338	1,412	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,056	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	3,808	4,386	4,646	4,681	4,867	4,827
State, Department of	12,334	10,364	9,003	8,953	9,315	8,953
Tax Appeals, Division of	2,604	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	234,901	266,320	219,408	219,939	227,328	219,939
Technology, Office for	298,543	289,994	286,118	291,603	308,070	303,412
Veterans' Affairs, Division of	5,086	5,512	5,938	5,938	6,178	6,107
Welfare Inspector General, Office of	565	619	621	626	654	646
<b>Functional Total</b>	<b>692,648</b>	<b>707,796</b>	<b>640,364</b>	<b>646,761</b>	<b>677,496</b>	<b>660,330</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	103,758	107,894	109,470	109,470	114,603	109,470
Executive Chamber	10,746	9,979	11,113	11,113	11,567	11,113
Judiciary	1,509,278	1,565,719	1,608,025	1,597,000	1,654,000	1,597,000
Law, Department of	92,699	95,500	95,988	96,653	101,153	96,653
Legislature	170,623	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	364	382	523	523	543	523
<b>Functional Total</b>	<b>1,887,468</b>	<b>1,953,824</b>	<b>2,000,660</b>	<b>1,993,811</b>	<b>2,064,499</b>	<b>2,001,045</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	49	34	259,056	327,195	554,995	554,995
<b>Functional Total</b>	<b>49</b>	<b>34</b>	<b>259,056</b>	<b>327,195</b>	<b>554,995</b>	<b>554,995</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>6,064,625</b>	<b>6,135,463</b>	<b>8,811,811</b>	<b>9,001,330</b>	<b>9,505,544</b>	<b>9,437,403</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	5,492	4,893	5,985	6,210	5,210	5,010
Alcoholic Beverage Control, Division of	3,276	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	6,102	4,680	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	188	188	3,188	3,188	3,188	3,188
<b>Functional Total</b>	<b>15,058</b>	<b>13,449</b>	<b>16,024</b>	<b>14,572</b>	<b>13,572</b>	<b>13,372</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	423	289	361	306	306	306
Environmental Conservation, Department of	6,442	11,798	20,424	20,384	25,184	25,184
Parks, Recreation and Historic Preservation, Office of	5,433	5,388	7,935	7,935	7,935	7,935
<b>Functional Total</b>	<b>12,298</b>	<b>17,475</b>	<b>28,720</b>	<b>28,625</b>	<b>33,425</b>	<b>33,425</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	0	3,189	3,253	3,318	3,384
Transportation, Department of	1,169	1,395	111,936	166,872	167,934	172,833
<b>Functional Total</b>	<b>1,169</b>	<b>1,395</b>	<b>115,125</b>	<b>170,125</b>	<b>171,252</b>	<b>176,217</b>
<b>HEALTH</b>						
Aging, Office for the	106	97	106	106	106	106
Health, Department of	311,821	383,959	404,223	382,015	367,856	368,301
<i>Essential Plan</i>	42,470	84,490	82,517	79,644	74,339	72,013
<i>Medicaid Administration</i>	224,093	260,257	281,237	261,802	252,948	255,719
<i>Public Health</i>	45,258	39,212	40,469	40,569	40,569	40,569
Medicaid Inspector General, Office of the	4,074	2,418	3,175	3,175	3,175	3,175
<b>Functional Total</b>	<b>316,001</b>	<b>386,474</b>	<b>407,504</b>	<b>385,296</b>	<b>371,137</b>	<b>371,582</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	50,947	59,364	81,654	98,806	111,874	114,113
<i>OCFS</i>	50,947	59,364	81,654	98,806	111,874	114,113
Housing and Community Renewal, Division of	2,791	1,927	351	351	413	413
Human Rights, Division of	849	803	460	460	469	478
Labor, Department of	191	113	200	200	255	260
National and Community Service	1	1	9	9	9	9
Temporary and Disability Assistance, Office of	63,251	56,751	55,308	56,480	57,604	58,774
<i>All Other</i>	63,251	56,751	55,308	56,480	57,604	58,774
<b>Functional Total</b>	<b>118,030</b>	<b>118,959</b>	<b>137,982</b>	<b>156,306</b>	<b>170,624</b>	<b>174,047</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	0	17,225	18,375	19,074	19,785
<i>OASAS</i>	0	0	6,798	7,272	7,545	7,824
<i>OASAS - Other</i>	0	0	10,427	11,103	11,529	11,961
Justice Center	8,909	7,598	8,645	9,088	9,349	9,620
Mental Health, Office of	781	697	249,327	261,224	274,073	297,216
<i>OMH</i>	781	697	47,022	39,109	39,509	40,847
<i>OMH - Other</i>	0	0	202,305	222,115	234,564	256,369
People with Developmental Disabilities, Office for	0	0	187,700	181,644	186,848	192,257
<i>OPWDD - Other</i>	0	0	187,700	181,644	186,848	192,257
<b>Functional Total</b>	<b>9,690</b>	<b>8,295</b>	<b>462,897</b>	<b>470,331</b>	<b>489,344</b>	<b>518,878</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	197	278	237	237	242	247
Correctional Services, Department of	525,879	528,385	560,722	559,591	559,591	556,591
Criminal Justice Services, Division of	7,128	7,138	6,531	6,531	6,531	6,702
Homeland Security and Emergency Services, Division of	147	0	0	0	0	0
Judicial Conduct, Commission on	1,324	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	7,187	7,904	6,457	6,598	6,598	7,092



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
State Police, Division of	44,500	34,199	30,287	33,520	33,560	35,570
Statewide Financial System	19,264	19,043	18,624	18,624	18,624	18,996
<b>Functional Total</b>	<b>605,645</b>	<b>598,285</b>	<b>624,310</b>	<b>626,530</b>	<b>626,602</b>	<b>626,897</b>
<b>HIGHER EDUCATION</b>						
City University of New York	503	0	0	0	0	0
State University of New York	657	1,049	700	700	700	700
<b>Functional Total</b>	<b>1,160</b>	<b>1,049</b>	<b>700</b>	<b>700</b>	<b>700</b>	<b>700</b>
<b>EDUCATION</b>						
Arts, Council on the	1,434	1,634	1,822	1,822	1,822	1,822
Education, Department of	27,460	25,359	30,974	28,904	28,904	28,904
<i>All Other</i>	27,460	25,359	30,974	28,904	28,904	28,904
<b>Functional Total</b>	<b>28,894</b>	<b>26,993</b>	<b>32,796</b>	<b>30,726</b>	<b>30,726</b>	<b>30,726</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	2,772	3,447	1,506	679	679	679
Civil Service, Department of	553	386	406	414	414	419
Deferred Compensation Board	10	0	25	25	25	25
Elections, State Board of	1,226	1,642	3,694	3,859	4,012	3,576
Employee Relations, Office of	65	1,054	72	73	73	73
Gaming Commission, New York State	1,985	1,501	2,080	2,080	2,080	2,080
General Services, Office of	80,340	74,538	85,389	81,311	76,622	77,609
Inspector General, Office of the	1,346	943	827	839	856	1,204
Labor Management Committees	20,947	17,026	25,554	25,513	25,513	25,513
Prevention of Domestic Violence, Office for	162	146	190	168	207	211
Public Employment Relations Board	213	173	247	251	251	251
Public Integrity, Commission on	1,068	729	930	949	968	1,183
State, Department of	927	386	389	239	239	239
Tax Appeals, Division of	229	147	170	170	170	170
Taxation and Finance, Department of	27,763	39,801	35,594	35,688	36,992	35,688
Technology, Office for	249,823	245,983	258,414	248,683	242,629	253,843
Veterans' Affairs, Division of	865	373	298	298	304	310
Welfare Inspector General, Office of	27	52	65	75	77	107
<b>Functional Total</b>	<b>390,321</b>	<b>388,327</b>	<b>415,850</b>	<b>401,314</b>	<b>392,111</b>	<b>403,180</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	29,991	24,526	25,243	25,243	25,797	25,797
Executive Chamber	3,907	3,581	2,465	2,465	2,465	2,465
Judiciary	402,559	400,917	412,200	412,200	412,200	412,200
Law, Department of	11,451	10,493	11,550	11,808	12,082	12,082
Legislature	53,689	47,862	53,367	54,434	55,523	56,633
Lieutenant Governor, Office of the	146	110	91	91	91	91
<b>Functional Total</b>	<b>501,743</b>	<b>487,489</b>	<b>504,916</b>	<b>506,241</b>	<b>508,158</b>	<b>509,268</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	21,522	43,971	291,433	287,712	303,212	303,212
<b>Functional Total</b>	<b>21,522</b>	<b>43,971</b>	<b>291,433</b>	<b>287,712</b>	<b>303,212</b>	<b>303,212</b>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<b>2,021,638</b>	<b>2,092,292</b>	<b>3,038,507</b>	<b>3,078,478</b>	<b>3,110,863</b>	<b>3,161,504</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	675,050	722,047	744,629	756,129	756,129	756,129
<b>Functional Total</b>	<u>675,050</u>	<u>722,047</u>	<u>744,629</u>	<u>756,129</u>	<u>756,129</u>	<u>756,129</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Miscellaneous	4,304	7,152	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>4,787,247</u>	<u>4,849,967</u>	<u>6,646,703</u>	<u>7,024,631</u>	<u>7,563,381</u>	<u>8,133,493</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>5,462,297</u>	<u>5,572,014</u>	<u>7,391,332</u>	<u>7,780,760</u>	<u>8,319,510</u>	<u>8,889,622</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b><i>Agriculture and Markets, Department of</i></b>	<b>78,233</b>	<b>71,270</b>	<b>78,000</b>	<b>68,785</b>	<b>65,072</b>	<b>79,766</b>
Local Assistance	31,980	32,318	38,271	28,757	24,757	24,907
State Operations	44,534	37,697	37,838	38,068	38,187	52,668
Personal Service	30,523	30,802	29,423	29,428	30,543	29,428
Non-Personal Service/Indirect Costs	14,011	6,895	8,415	8,640	7,644	23,240
General State Charges	1,719	1,255	1,891	1,960	2,128	2,191
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>11,248</b>	<b>11,819</b>	<b>12,483</b>	<b>11,244</b>	<b>11,560</b>	<b>11,244</b>
State Operations	11,127	11,819	12,483	11,244	11,560	11,244
Personal Service	7,742	8,131	8,147	8,585	8,901	8,585
Non-Personal Service/Indirect Costs	3,385	3,688	4,336	2,659	2,659	2,659
General State Charges	121	0	0	0	0	0
<b><i>Economic Development, Department of</i></b>	<b>75,181</b>	<b>72,278</b>	<b>77,418</b>	<b>70,963</b>	<b>70,963</b>	<b>75,813</b>
Local Assistance	53,998	52,871	60,099	53,644	53,644	58,494
State Operations	21,183	19,405	17,291	17,291	17,291	17,291
Personal Service	13,152	13,243	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	8,031	6,162	4,362	4,362	4,362	4,362
General State Charges	0	2	28	28	28	28
<b><i>Empire State Development Corporation</i></b>	<b>122,298</b>	<b>124,880</b>	<b>95,576</b>	<b>86,746</b>	<b>91,746</b>	<b>91,746</b>
Local Assistance	122,298	124,880	95,576	86,746	91,746	91,746
<b><i>Financial Services, Department of</i></b>	<b>362,563</b>	<b>357,557</b>	<b>374,035</b>	<b>378,571</b>	<b>397,475</b>	<b>391,112</b>
Local Assistance	66,703	57,254	64,954	61,886	61,855	61,855
State Operations	209,208	209,379	208,909	212,543	218,825	212,688
Personal Service	151,522	154,146	156,697	157,475	163,459	157,616
Non-Personal Service/Indirect Costs	57,686	55,233	52,212	55,068	55,366	55,072
General State Charges	86,652	90,924	100,172	104,142	116,795	116,569
<b><i>Olympic Regional Development Authority</i></b>	<b>2,736</b>	<b>2,736</b>	<b>11,293</b>	<b>5,886</b>	<b>5,886</b>	<b>5,886</b>
Local Assistance	0	0	2,360	0	0	0
State Operations	2,736	2,736	8,933	5,886	5,886	5,886
Personal Service	2,548	2,548	5,595	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	188	188	3,338	3,338	3,338	3,338
<b><i>Public Service Department</i></b>	<b>75,529</b>	<b>84,881</b>	<b>77,674</b>	<b>78,645</b>	<b>80,874</b>	<b>80,921</b>
Local Assistance	0	102	136	136	136	136
State Operations	50,006	52,384	50,777	50,827	51,440	49,827
Personal Service	41,485	42,867	42,100	42,100	43,711	42,100
Non-Personal Service/Indirect Costs	8,521	9,517	8,677	8,727	7,729	7,727
General State Charges	25,523	32,395	26,761	27,682	29,298	30,958
<b>Functional Total</b>	<b>727,788</b>	<b>725,421</b>	<b>726,479</b>	<b>700,840</b>	<b>723,576</b>	<b>736,488</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b><i>Adirondack Park Agency</i></b>	<b>4,535</b>	<b>4,468</b>	<b>4,469</b>	<b>4,414</b>	<b>4,572</b>	<b>4,414</b>
State Operations	4,535	4,468	4,469	4,414	4,572	4,414
Personal Service	4,112	4,179	4,108	4,108	4,266	4,108
Non-Personal Service/Indirect Costs	423	289	361	306	306	306
<b><i>Environmental Conservation, Department of</i></b>	<b>274,904</b>	<b>260,839</b>	<b>263,712</b>	<b>252,785</b>	<b>262,808</b>	<b>254,714</b>
Local Assistance	2,105	2,491	4,095	3,019	3,019	3,019
State Operations	225,085	212,775	208,217	204,773	216,247	209,602
Personal Service	176,058	175,514	170,420	168,321	176,631	170,066
Non-Personal Service/Indirect Costs	49,027	37,261	37,797	36,452	39,616	39,536
General State Charges	47,714	45,573	51,400	44,993	43,542	42,093
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>191,925</b>	<b>179,334</b>	<b>182,232</b>	<b>180,091</b>	<b>186,561</b>	<b>181,690</b>
Local Assistance	7,963	7,087	5,856	5,550	5,550	5,550
State Operations	178,446	168,983	173,224	171,381	177,704	172,799

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Personal Service	135,346	130,555	133,829	132,271	138,592	133,687
Non-Personal Service/Indirect Costs	43,100	38,428	39,395	39,110	39,112	39,112
General State Charges	2,972	3,264	3,152	3,160	3,307	3,341
Capital Projects	2,544	0	0	0	0	0
<b>Functional Total</b>	<b>471,364</b>	<b>444,641</b>	<b>450,413</b>	<b>437,290</b>	<b>453,941</b>	<b>440,818</b>
<b>TRANSPORTATION</b>						
<b>Motor Vehicles, Department of</b>	<b>70,033</b>	<b>71,728</b>	<b>84,516</b>	<b>85,178</b>	<b>88,054</b>	<b>88,387</b>
Local Assistance	0	1,600	375	0	0	0
State Operations	49,560	49,142	60,826	61,060	62,091	61,772
Personal Service	35,655	36,244	45,018	45,188	46,132	46,422
Non-Personal Service/Indirect Costs	13,905	12,898	15,808	15,872	15,959	15,350
General State Charges	20,473	20,986	23,315	24,118	25,963	26,615
<b>Transportation, Department of</b>	<b>5,002,277</b>	<b>5,034,223</b>	<b>4,218,625</b>	<b>3,963,258</b>	<b>4,011,278</b>	<b>4,147,514</b>
Local Assistance	4,977,299	5,023,364	3,936,260	3,617,086	3,663,712	3,795,148
State Operations	21,228	9,139	280,220	343,908	345,113	349,869
Personal Service	6,497	2,803	161,818	170,555	170,693	170,555
Non-Personal Service/Indirect Costs	14,731	6,336	118,402	173,353	174,420	179,314
General State Charges	3,750	1,720	2,145	2,264	2,453	2,497
<b>Functional Total</b>	<b>5,072,310</b>	<b>5,105,951</b>	<b>4,303,141</b>	<b>4,048,436</b>	<b>4,099,332</b>	<b>4,235,901</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>126,347</b>	<b>125,380</b>	<b>130,510</b>	<b>132,817</b>	<b>138,042</b>	<b>143,311</b>
Local Assistance	125,116	124,180	129,278	131,585	136,767	142,079
State Operations	1,231	1,200	1,232	1,232	1,275	1,232
Personal Service	1,125	1,103	1,125	1,125	1,168	1,125
Non-Personal Service/Indirect Costs	106	97	107	107	107	107
<b>Health, Department of</b>	<b>20,477,016</b>	<b>21,460,861</b>	<b>22,366,569</b>	<b>23,794,785</b>	<b>24,970,279</b>	<b>25,940,481</b>
<b>Medical Assistance</b>	<b>17,505,933</b>	<b>18,857,443</b>	<b>19,376,415</b>	<b>20,899,531</b>	<b>21,916,649</b>	<b>22,792,661</b>
Local Assistance	17,505,933	18,857,443	19,376,415	20,899,531	21,916,649	22,792,661
<b>Essential Plan</b>	<b>312,837</b>	<b>87,638</b>	<b>86,723</b>	<b>84,224</b>	<b>78,757</b>	<b>76,397</b>
Local Assistance	269,000	0	0	0	0	0
State Operations	43,837	87,638	86,723	84,224	78,757	76,397
Personal Service	1,367	3,148	4,206	4,580	4,418	4,384
Non-Personal Service/Indirect Costs	42,470	84,490	82,517	79,644	74,339	72,013
<b>Medicaid Administration</b>	<b>728,644</b>	<b>583,789</b>	<b>917,523</b>	<b>744,037</b>	<b>721,913</b>	<b>707,680</b>
Local Assistance	467,643	286,336	585,173	428,149	411,867	396,295
State Operations	261,001	297,453	332,350	315,888	310,046	311,385
Personal Service	36,908	37,196	51,113	54,086	57,098	55,666
Non-Personal Service/Indirect Costs	224,093	260,257	281,237	261,802	252,948	255,719
<b>Public Health</b>	<b>1,929,602</b>	<b>1,931,991</b>	<b>1,985,908</b>	<b>2,066,993</b>	<b>2,252,960</b>	<b>2,363,743</b>
Local Assistance	1,515,383	1,544,070	1,555,166	1,644,317	1,822,020	1,932,801
State Operations	383,676	356,208	393,062	388,597	395,083	393,800
Personal Service	223,681	212,539	224,961	225,400	229,055	225,639
Non-Personal Service/Indirect Costs	159,995	143,669	168,101	163,197	166,028	168,161
General State Charges	30,543	31,713	37,680	34,079	35,857	37,142
<b>Medicaid Inspector General, Office of the</b>	<b>20,489</b>	<b>18,282</b>	<b>18,111</b>	<b>18,111</b>	<b>18,718</b>	<b>18,111</b>
State Operations	20,489	18,282	18,111	18,111	18,718	18,111
Personal Service	16,415	15,864	14,936	14,936	15,543	14,936
Non-Personal Service/Indirect Costs	4,074	2,418	3,175	3,175	3,175	3,175
<b>Functional Total</b>	<b>20,623,852</b>	<b>21,604,523</b>	<b>22,515,190</b>	<b>23,945,713</b>	<b>25,127,039</b>	<b>26,101,903</b>
<b>SOCIAL WELFARE</b>						

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>Children and Family Services, Office of</b>	<b>1,966,820</b>	<b>1,851,516</b>	<b>1,982,381</b>	<b>2,049,994</b>	<b>2,135,764</b>	<b>2,173,609</b>
<b>OCFS</b>	<b>1,884,573</b>	<b>1,774,154</b>	<b>1,897,639</b>	<b>1,959,187</b>	<b>2,041,777</b>	<b>2,075,458</b>
Local Assistance	1,633,092	1,530,772	1,606,329	1,581,584	1,597,009	1,628,026
State Operations	249,825	241,724	289,267	375,809	442,944	445,608
Personal Service	169,024	170,908	192,365	261,476	315,232	315,341
Non-Personal Service/Indirect Costs	80,801	70,816	96,902	114,333	127,712	130,267
General State Charges	1,656	1,658	2,043	1,794	1,824	1,824
<b>OCFS - Other</b>	<b>82,247</b>	<b>77,362</b>	<b>84,742</b>	<b>90,807</b>	<b>93,987</b>	<b>98,151</b>
Local Assistance	82,247	77,362	84,742	90,807	93,987	98,151
<b>Housing and Community Renewal, Division of</b>	<b>60,118</b>	<b>72,665</b>	<b>85,845</b>	<b>115,876</b>	<b>119,781</b>	<b>122,267</b>
Local Assistance	4,370	4,410	10,258	40,289	40,942	41,617
State Operations	50,299	52,210	52,467	52,467	55,719	54,301
Personal Service	42,730	41,535	42,828	42,828	45,650	44,074
Non-Personal Service/Indirect Costs	7,569	10,675	9,639	9,639	10,069	10,227
General State Charges	5,449	16,045	23,120	23,120	23,120	26,349
<b>Human Rights, Division of</b>	<b>10,382</b>	<b>9,465</b>	<b>9,921</b>	<b>9,921</b>	<b>10,590</b>	<b>10,230</b>
State Operations	10,382	9,465	9,921	9,921	10,590	10,230
Personal Service	9,533	8,662	9,461	9,461	10,121	9,752
Non-Personal Service/Indirect Costs	849	803	460	460	469	478
<b>Labor, Department of</b>	<b>82,569</b>	<b>83,203</b>	<b>81,668</b>	<b>67,156</b>	<b>69,893</b>	<b>71,435</b>
Local Assistance	15,403	12,666	14,662	150	150	150
State Operations	47,669	49,642	46,519	46,519	49,256	48,280
Personal Service	34,150	34,323	32,618	32,618	34,864	33,620
Non-Personal Service/Indirect Costs	13,519	15,319	13,901	13,901	14,392	14,660
General State Charges	19,497	20,895	20,487	20,487	20,487	23,005
<b>National and Community Service</b>	<b>471</b>	<b>770</b>	<b>690</b>	<b>690</b>	<b>699</b>	<b>699</b>
Local Assistance	267	477	350	350	350	350
State Operations	204	293	340	340	349	349
Personal Service	203	292	331	331	340	340
Non-Personal Service/Indirect Costs	1	1	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,357,751</b>	<b>1,352,404</b>	<b>1,407,194</b>	<b>1,491,173</b>	<b>1,498,466</b>	<b>1,504,986</b>
<b>Welfare Assistance</b>	<b>1,130,191</b>	<b>1,137,324</b>	<b>1,177,821</b>	<b>1,241,366</b>	<b>1,241,366</b>	<b>1,241,366</b>
Local Assistance	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<b>All Other</b>	<b>227,560</b>	<b>215,080</b>	<b>229,373</b>	<b>249,807</b>	<b>257,100</b>	<b>263,620</b>
Local Assistance	89,812	92,194	102,093	116,196	118,996	122,796
State Operations	137,626	122,874	127,152	133,483	137,976	140,696
Personal Service	73,429	64,895	68,444	73,603	76,972	78,522
Non-Personal Service/Indirect Costs	64,197	57,979	58,708	59,880	61,004	62,174
General State Charges	122	12	128	128	128	128
<b>Functional Total</b>	<b>3,478,111</b>	<b>3,370,023</b>	<b>3,567,699</b>	<b>3,734,810</b>	<b>3,835,193</b>	<b>3,883,226</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>420,226</b>	<b>441,089</b>	<b>437,680</b>	<b>466,850</b>	<b>486,821</b>	<b>501,648</b>
<b>OASAS</b>	<b>330,886</b>	<b>348,092</b>	<b>372,671</b>	<b>400,641</b>	<b>419,170</b>	<b>433,270</b>
Local Assistance	282,770	301,336	332,720	359,765	377,235	391,690
State Operations	34,313	31,964	39,951	40,876	41,935	41,580
Personal Service	19,701	20,354	26,697	27,133	27,919	27,270
Non-Personal Service/Indirect Costs	14,612	11,610	13,254	13,743	14,016	14,310
General State Charges	13,803	14,792	0	0	0	0
<b>OASAS - Other</b>	<b>89,340</b>	<b>92,997</b>	<b>65,009</b>	<b>66,209</b>	<b>67,651</b>	<b>68,378</b>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	48,092	49,508	43,684	44,884	46,326	47,053

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Personal Service	34,856	36,074	33,257	33,781	34,797	35,092
Non-Personal Service/Indirect Costs	13,236	13,434	10,427	11,103	11,529	11,961
General State Charges	19,923	22,164	0	0	0	0
<b>Justice Center</b>	<b>39,719</b>	<b>40,136</b>	<b>42,979</b>	<b>44,832</b>	<b>46,798</b>	<b>46,205</b>
Local Assistance	679	619	649	649	649	649
State Operations	38,190	38,868	41,456	43,254	45,156	44,496
Personal Service	29,240	31,243	32,769	34,123	35,762	34,832
Non-Personal Service/Indirect Costs	8,950	7,625	8,687	9,131	9,394	9,664
General State Charges	850	649	874	929	993	1,060
<b>Mental Health, Office of</b>	<b>3,152,278</b>	<b>3,182,496</b>	<b>2,638,032</b>	<b>2,770,104</b>	<b>2,897,516</b>	<b>2,999,229</b>
<b>OMH</b>	<b>1,457,079</b>	<b>1,459,290</b>	<b>1,319,515</b>	<b>1,453,351</b>	<b>1,536,681</b>	<b>1,599,985</b>
Local Assistance	916,016	915,920	997,063	1,097,776	1,177,706	1,233,622
State Operations	348,833	349,973	322,452	355,575	358,975	366,363
Personal Service	288,507	287,281	270,088	311,124	314,124	320,174
Non-Personal Service/Indirect Costs	60,326	62,692	52,364	44,451	44,851	46,189
General State Charges	192,230	193,397	0	0	0	0
<b>OMH - Other</b>	<b>1,695,199</b>	<b>1,723,206</b>	<b>1,318,517</b>	<b>1,316,753</b>	<b>1,360,835</b>	<b>1,399,244</b>
Local Assistance	270,500	265,389	299,325	309,711	320,630	332,099
State Operations	995,433	1,000,487	1,019,192	1,007,042	1,040,205	1,067,145
Personal Service	784,057	783,120	816,887	784,927	805,641	810,776
Non-Personal Service/Indirect Costs	211,376	217,367	202,305	222,115	234,564	256,369
General State Charges	429,266	457,330	0	0	0	0
<b>Mental Hygiene, Department of</b>	<b>227</b>	<b>204</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	227	204	0	0	0	0
Non-Personal Service/Indirect Costs	227	204	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>2,903,369</b>	<b>2,862,904</b>	<b>1,855,592</b>	<b>2,146,284</b>	<b>2,592,779</b>	<b>2,516,236</b>
<b>OPWDD</b>	<b>384,881</b>	<b>407,423</b>	<b>388,452</b>	<b>383,825</b>	<b>389,754</b>	<b>399,931</b>
Local Assistance	384,818	407,275	388,271	383,644	389,573	399,750
State Operations	63	148	181	181	181	181
Personal Service	0	124	0	0	0	0
Non-Personal Service/Indirect Costs	63	24	181	181	181	181
<b>OPWDD - Other</b>	<b>2,518,488</b>	<b>2,455,481</b>	<b>1,467,140</b>	<b>1,762,459</b>	<b>2,203,025</b>	<b>2,116,305</b>
Local Assistance	583,954	438,663	141,501	434,826	838,871	737,192
State Operations	1,297,334	1,333,017	1,325,639	1,327,633	1,364,154	1,379,113
Personal Service	1,092,002	1,129,750	1,137,939	1,145,989	1,177,306	1,186,856
Non-Personal Service/Indirect Costs	205,332	203,267	187,700	181,644	186,848	192,257
General State Charges	637,200	683,801	0	0	0	0
<b>Functional Total</b>	<b>6,515,819</b>	<b>6,526,829</b>	<b>4,974,283</b>	<b>5,428,070</b>	<b>6,023,914</b>	<b>6,063,318</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,431</b>	<b>2,594</b>	<b>2,651</b>	<b>2,651</b>	<b>2,773</b>	<b>2,735</b>
State Operations	2,431	2,594	2,651	2,651	2,773	2,735
Personal Service	2,234	2,316	2,414	2,414	2,531	2,488
Non-Personal Service/Indirect Costs	197	278	237	237	242	247
<b>Correctional Services, Department of</b>	<b>2,645,220</b>	<b>2,633,748</b>	<b>2,611,123</b>	<b>2,623,496</b>	<b>2,629,126</b>	<b>2,620,496</b>
Local Assistance	4,457	5,686	4,836	4,836	4,836	4,836
State Operations	2,640,662	2,627,945	2,606,181	2,618,554	2,624,184	2,615,554
Personal Service	2,113,404	2,098,499	2,042,832	2,056,336	2,061,966	2,056,336
Non-Personal Service/Indirect Costs	527,258	529,446	563,349	562,218	562,218	559,218
General State Charges	101	117	106	106	106	106
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>2,000</b>	<b>2,000</b>	<b>2,000</b>	<b>0</b>
Local Assistance	0	0	2,000	2,000	2,000	0
<b>Criminal Justice Services, Division of</b>	<b>191,089</b>	<b>193,155</b>	<b>222,552</b>	<b>193,281</b>	<b>194,256</b>	<b>194,078</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
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	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Local Assistance	155,863	156,618	185,704	156,433	156,433	156,433
State Operations	35,193	36,502	36,813	36,813	37,788	37,610
Personal Service	26,998	26,993	27,582	27,582	28,557	28,113
Non-Personal Service/Indirect Costs	8,195	9,509	9,231	9,231	9,231	9,497
General State Charges	33	35	35	35	35	35
<b>Homeland Security and Emergency Services, Division of</b>	<b>70,123</b>	<b>60,960</b>	<b>93,699</b>	<b>96,171</b>	<b>131,846</b>	<b>143,640</b>
Local Assistance	43,427	30,642	56,339	57,141	91,542	100,839
State Operations	26,030	29,703	36,551	38,213	39,469	41,896
Personal Service	16,748	16,362	23,313	29,610	30,856	32,215
Non-Personal Service/Indirect Costs	9,282	13,341	13,238	8,603	8,613	9,681
General State Charges	666	615	809	817	835	905
<b>Indigent Legal Services, Office of</b>	<b>63,641</b>	<b>75,499</b>	<b>166,393</b>	<b>190,581</b>	<b>214,855</b>	<b>214,975</b>
Local Assistance	60,503	72,102	160,672	184,765	208,859	208,859
State Operations	2,171	2,328	3,815	3,813	3,879	3,877
Personal Service	1,678	1,873	2,982	2,982	3,048	3,034
Non-Personal Service/Indirect Costs	493	455	833	831	831	843
General State Charges	967	1,069	1,906	2,003	2,117	2,239
<b>Judicial Conduct, Commission on</b>	<b>5,505</b>	<b>5,552</b>	<b>5,696</b>	<b>5,708</b>	<b>5,936</b>	<b>6,114</b>
State Operations	5,505	5,552	5,696	5,708	5,936	6,114
Personal Service	4,181	4,238	4,312	4,347	4,548	4,483
Non-Personal Service/Indirect Costs	1,324	1,314	1,384	1,361	1,388	1,631
<b>Judicial Nomination, Commission on</b>	<b>10</b>	<b>10</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	10	10	30	30	30	30
Non-Personal Service/Indirect Costs	10	10	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>9</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	9	14	38	38	38	38
Non-Personal Service/Indirect Costs	9	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>27,594</b>	<b>25,915</b>	<b>23,255</b>	<b>22,956</b>	<b>23,252</b>	<b>23,983</b>
Local Assistance	786	819	820	820	820	886
State Operations	26,799	25,090	22,376	22,127	22,423	23,087
Personal Service	17,164	15,083	14,322	14,322	14,618	14,692
Non-Personal Service/Indirect Costs	9,635	10,007	8,054	7,805	7,805	8,395
General State Charges	9	6	59	9	9	10
<b>State Police, Division of</b>	<b>738,493</b>	<b>756,006</b>	<b>754,438</b>	<b>750,125</b>	<b>776,047</b>	<b>790,925</b>
State Operations	720,382	741,934	730,538	725,315	750,894	765,602
Personal Service	649,718	677,367	668,551	665,095	690,605	703,242
Non-Personal Service/Indirect Costs	70,664	64,567	61,987	60,220	60,289	62,360
General State Charges	18,111	14,072	23,900	24,810	25,153	25,323
<b>Statewide Financial System</b>	<b>30,309</b>	<b>30,137</b>	<b>30,382</b>	<b>30,506</b>	<b>30,949</b>	<b>31,117</b>
State Operations	30,309	30,137	30,382	30,506	30,949	31,117
Personal Service	11,045	11,094	11,758	11,882	12,325	12,121
Non-Personal Service/Indirect Costs	19,264	19,043	18,624	18,624	18,624	18,996
<b>Victim Services, Office of</b>	<b>28,996</b>	<b>26,080</b>	<b>33,557</b>	<b>36,345</b>	<b>36,462</b>	<b>37,111</b>
Local Assistance	23,748	20,728	27,956	30,744	30,744	31,398
State Operations	3,678	3,714	3,951	3,951	4,068	4,030
Personal Service	3,145	3,184	3,176	3,176	3,293	3,242
Non-Personal Service/Indirect Costs	533	530	775	775	775	788
General State Charges	1,570	1,638	1,650	1,650	1,650	1,683
<b>Functional Total</b>	<b>3,803,420</b>	<b>3,809,670</b>	<b>3,945,814</b>	<b>3,953,888</b>	<b>4,047,570</b>	<b>4,065,242</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,526,003</b>	<b>1,568,018</b>	<b>1,588,979</b>	<b>1,629,891</b>	<b>1,670,440</b>	<b>1,706,327</b>
Local Assistance	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
State Operations	94,213	102,720	95,092	96,477	97,406	98,346

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
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	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Personal Service	48,975	52,623	48,148	48,594	49,045	49,501
Non-Personal Service/Indirect Costs	45,238	50,097	46,944	47,883	48,361	48,845
General State Charges	7,661	145	150	150	150	150
<b>Higher Education - Miscellaneous</b>	<b>529</b>	<b>337</b>	<b>441</b>	<b>441</b>	<b>441</b>	<b>441</b>
State Operations	386	256	291	291	291	291
Personal Service	283	169	198	198	198	198
Non-Personal Service/Indirect Costs	103	87	93	93	93	93
General State Charges	143	81	150	150	150	150
<b>Higher Education Services Corporation, New York State</b>	<b>1,014,320</b>	<b>929,204</b>	<b>1,132,969</b>	<b>1,209,228</b>	<b>1,227,501</b>	<b>1,234,607</b>
Local Assistance	957,504	879,322	1,080,675	1,156,934	1,175,207	1,182,313
State Operations	48,292	41,751	43,274	43,274	43,274	43,274
Personal Service	14,475	13,380	12,916	12,916	12,916	12,916
Non-Personal Service/Indirect Costs	33,817	28,371	30,358	30,358	30,358	30,358
General State Charges	8,524	8,131	9,020	9,020	9,020	9,020
<b>State University of New York</b>	<b>6,914,895</b>	<b>6,999,905</b>	<b>7,166,919</b>	<b>7,246,571</b>	<b>7,419,662</b>	<b>7,371,152</b>
Local Assistance	491,891	488,783	482,289	484,643	484,643	484,643
State Operations	6,002,721	6,080,263	6,199,559	6,261,362	6,420,899	6,382,236
Personal Service	3,766,633	3,753,285	3,973,548	4,014,523	4,153,020	4,093,105
Non-Personal Service/Indirect Costs	2,236,088	2,326,978	2,226,011	2,246,839	2,267,879	2,289,131
General State Charges	420,283	430,859	485,071	500,566	514,120	504,273
<b>Functional Total</b>	<b>9,455,747</b>	<b>9,497,464</b>	<b>9,889,308</b>	<b>10,086,131</b>	<b>10,318,044</b>	<b>10,312,527</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>42,832</b>	<b>39,210</b>	<b>45,413</b>	<b>45,253</b>	<b>45,349</b>	<b>45,253</b>
Local Assistance	38,907	34,950	41,093	40,933	40,933	40,933
State Operations	3,925	4,260	4,320	4,320	4,416	4,320
Personal Service	2,491	2,626	2,498	2,498	2,594	2,498
Non-Personal Service/Indirect Costs	1,434	1,634	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>29,854,304</b>	<b>30,372,803</b>	<b>31,457,126</b>	<b>32,353,760</b>	<b>33,532,259</b>	<b>34,876,998</b>
<b>School Aid</b>	<b>24,293,624</b>	<b>25,396,252</b>	<b>26,384,408</b>	<b>27,381,797</b>	<b>28,488,874</b>	<b>29,748,225</b>
Local Assistance	24,293,624	25,396,252	26,384,408	27,381,797	28,488,874	29,748,225
<b>School Aid – Other</b>	<b>57,079</b>	<b>61,169</b>	<b>99,342</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>
Local Assistance	57,079	61,169	99,342	78,195	78,195	78,195
<b>STAR Property Tax Relief</b>	<b>3,139,051</b>	<b>2,589,145</b>	<b>2,459,259</b>	<b>2,417,238</b>	<b>2,401,995</b>	<b>2,401,995</b>
Local Assistance	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<b>Special Education Categorical Programs</b>	<b>1,317,359</b>	<b>1,263,705</b>	<b>1,328,600</b>	<b>1,349,837</b>	<b>1,417,421</b>	<b>1,483,266</b>
Local Assistance	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<b>All Other</b>	<b>1,047,191</b>	<b>1,062,532</b>	<b>1,185,517</b>	<b>1,126,693</b>	<b>1,145,774</b>	<b>1,165,317</b>
Local Assistance	875,873	883,117	1,003,126	945,353	957,506	979,572
State Operations	139,176	144,872	144,928	142,548	145,816	142,550
Personal Service	86,602	90,372	88,090	87,774	90,983	87,774
Non-Personal Service/Indirect Costs	52,574	54,500	56,838	54,774	54,833	54,776
General State Charges	32,142	34,543	37,463	38,792	42,452	43,195
<b>Functional Total</b>	<b>29,897,136</b>	<b>30,412,013</b>	<b>31,502,539</b>	<b>32,399,013</b>	<b>33,577,608</b>	<b>34,922,251</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>25,335</b>	<b>27,312</b>	<b>30,423</b>	<b>29,596</b>	<b>30,603</b>	<b>29,596</b>
State Operations	24,559	26,600	28,766	27,939	28,886	27,939
Personal Service	20,619	21,683	24,567	24,567	25,511	24,567
Non-Personal Service/Indirect Costs	3,940	4,917	4,199	3,372	3,375	3,372
General State Charges	776	712	1,657	1,657	1,717	1,657
<b>Civil Service, Department of</b>	<b>13,379</b>	<b>14,975</b>	<b>13,560</b>	<b>13,680</b>	<b>14,189</b>	<b>13,815</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
State Operations	13,295	14,795	13,331	13,441	13,926	13,545
Personal Service	12,212	14,053	12,497	12,591	13,075	12,685
Non-Personal Service/Indirect Costs	1,083	742	834	850	851	860
General State Charges	84	180	229	239	263	270
<b>Deferred Compensation Board</b>	<b>613</b>	<b>675</b>	<b>846</b>	<b>853</b>	<b>854</b>	<b>853</b>
State Operations	418	451	641	648	649	648
Personal Service	368	408	410	413	414	413
Non-Personal Service/Indirect Costs	50	43	231	235	235	235
General State Charges	195	224	205	205	205	205
<b>Elections, State Board of</b>	<b>7,188</b>	<b>7,813</b>	<b>9,837</b>	<b>10,147</b>	<b>10,583</b>	<b>10,054</b>
Local Assistance	93	50	0	0	0	0
State Operations	7,095	7,763	9,837	10,147	10,583	10,054
Personal Service	5,765	6,077	6,143	6,288	6,571	6,478
Non-Personal Service/Indirect Costs	1,330	1,686	3,694	3,859	4,012	3,576
<b>Employee Relations, Office of</b>	<b>2,334</b>	<b>3,569</b>	<b>2,601</b>	<b>2,621</b>	<b>2,719</b>	<b>2,621</b>
State Operations	2,334	3,569	2,601	2,621	2,719	2,621
Personal Service	2,269	2,515	2,529	2,548	2,646	2,548
Non-Personal Service/Indirect Costs	65	1,054	72	73	73	73
<b>Gaming Commission, New York State</b>	<b>253,064</b>	<b>176,850</b>	<b>238,873</b>	<b>212,703</b>	<b>215,120</b>	<b>212,703</b>
Local Assistance	101,820	65,779	140,570	114,400	114,400	114,400
State Operations	138,467	94,531	80,728	80,728	82,255	80,728
Personal Service	32,822	34,862	38,757	38,757	40,248	38,757
Non-Personal Service/Indirect Costs	105,645	59,669	41,971	41,971	42,007	41,971
General State Charges	12,777	16,540	17,575	17,575	18,465	17,575
<b>General Services, Office of</b>	<b>161,219</b>	<b>143,940</b>	<b>137,569</b>	<b>133,510</b>	<b>132,372</b>	<b>130,999</b>
State Operations	160,812	143,571	137,021	132,943	131,745	130,355
Personal Service	77,580	67,107	48,450	48,450	51,875	49,458
Non-Personal Service/Indirect Costs	83,232	76,464	88,571	84,493	79,870	80,897
General State Charges	407	369	548	567	627	644
<b>Inspector General, Office of the</b>	<b>7,179</b>	<b>7,166</b>	<b>7,427</b>	<b>7,487</b>	<b>7,826</b>	<b>8,061</b>
State Operations	7,179	7,166	7,427	7,487	7,826	8,061
Personal Service	5,833	6,223	6,600	6,648	6,970	6,857
Non-Personal Service/Indirect Costs	1,346	943	827	839	856	1,204
<b>Labor Management Committees</b>	<b>28,818</b>	<b>24,773</b>	<b>31,300</b>	<b>31,306</b>	<b>31,517</b>	<b>31,306</b>
State Operations	28,818	24,773	31,300	31,306	31,517	31,306
Personal Service	7,871	7,747	5,446	5,487	5,698	5,487
Non-Personal Service/Indirect Costs	20,947	17,026	25,854	25,819	25,819	25,819
<b>Prevention of Domestic Violence, Office for</b>	<b>2,028</b>	<b>2,349</b>	<b>2,949</b>	<b>3,049</b>	<b>3,121</b>	<b>3,059</b>
Local Assistance	528	791	1,285	1,385	1,385	1,412
State Operations	1,500	1,558	1,664	1,664	1,736	1,647
Personal Service	1,338	1,412	1,469	1,491	1,524	1,431
Non-Personal Service/Indirect Costs	162	146	195	173	212	216
<b>Public Employment Relations Board</b>	<b>3,281</b>	<b>3,260</b>	<b>3,604</b>	<b>3,634</b>	<b>3,764</b>	<b>3,634</b>
State Operations	3,281	3,260	3,604	3,634	3,764	3,634
Personal Service	3,056	3,059	3,313	3,338	3,468	3,338
Non-Personal Service/Indirect Costs	225	201	291	296	296	296
<b>Public Integrity, Commission on</b>	<b>4,876</b>	<b>5,115</b>	<b>5,576</b>	<b>5,630</b>	<b>5,835</b>	<b>6,010</b>
State Operations	4,876	5,115	5,576	5,630	5,835	6,010
Personal Service	3,808	4,386	4,646	4,681	4,867	4,827
Non-Personal Service/Indirect Costs	1,068	729	930	949	968	1,183
<b>State, Department of</b>	<b>69,942</b>	<b>64,267</b>	<b>68,091</b>	<b>67,724</b>	<b>63,055</b>	<b>62,203</b>
Local Assistance	12,643	10,035	14,961	14,379	7,379	7,379
State Operations	47,676	43,799	41,020	40,821	41,933	40,821
Personal Service	29,588	29,257	27,774	27,724	28,812	27,724

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	18,088	14,542	13,246	13,097	13,121	13,097
General State Charges	9,623	10,433	12,110	12,524	13,743	14,003
<b>Tax Appeals, Division of</b>	<b>2,833</b>	<b>2,688</b>	<b>3,040</b>	<b>3,040</b>	<b>3,150</b>	<b>3,040</b>
State Operations	2,833	2,688	3,040	3,040	3,150	3,040
Personal Service	2,604	2,541	2,870	2,870	2,980	2,870
Non-Personal Service/Indirect Costs	229	147	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>357,907</b>	<b>345,192</b>	<b>362,336</b>	<b>360,880</b>	<b>371,807</b>	<b>360,280</b>
Local Assistance	1,080	1,542	2,726	2,726	2,726	2,726
State Operations	331,810	321,015	332,346	331,091	340,898	330,482
Personal Service	284,526	275,658	264,119	264,323	273,392	264,338
Non-Personal Service/Indirect Costs	47,284	45,357	68,227	66,768	67,506	66,144
General State Charges	25,017	22,635	27,264	27,063	28,183	27,072
<b>Technology, Office for</b>	<b>548,366</b>	<b>535,977</b>	<b>544,532</b>	<b>540,286</b>	<b>550,699</b>	<b>557,255</b>
State Operations	548,366	535,977	544,532	540,286	550,699	557,255
Personal Service	298,543	289,994	286,118	291,603	308,070	303,412
Non-Personal Service/Indirect Costs	249,823	245,983	258,414	248,683	242,629	253,843
<b>Veterans' Affairs, Division of</b>	<b>14,291</b>	<b>13,791</b>	<b>16,028</b>	<b>13,873</b>	<b>14,119</b>	<b>14,054</b>
Local Assistance	8,340	7,906	9,792	7,637	7,637	7,637
State Operations	5,951	5,885	6,236	6,236	6,482	6,417
Personal Service	5,086	5,512	5,938	5,938	6,178	6,107
Non-Personal Service/Indirect Costs	865	373	298	298	304	310
<b>Welfare Inspector General, Office of</b>	<b>592</b>	<b>671</b>	<b>686</b>	<b>701</b>	<b>731</b>	<b>753</b>
State Operations	592	671	686	701	731	753
Personal Service	565	619	621	626	654	646
Non-Personal Service/Indirect Costs	27	52	65	75	77	107
<b>Workers' Compensation Board</b>	<b>187,641</b>	<b>193,366</b>	<b>196,543</b>	<b>198,595</b>	<b>208,376</b>	<b>204,271</b>
State Operations	138,722	140,971	143,323	145,193	150,513	146,408
Personal Service	82,632	85,117	84,993	85,604	89,709	85,604
Non-Personal Service/Indirect Costs	56,090	55,854	58,330	59,589	60,804	60,804
General State Charges	48,919	52,395	53,220	53,402	57,863	57,863
<b>Functional Total</b>	<b>1,690,886</b>	<b>1,573,749</b>	<b>1,675,821</b>	<b>1,639,315</b>	<b>1,670,440</b>	<b>1,654,567</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>182,905</b>	<b>180,421</b>	<b>188,511</b>	<b>188,694</b>	<b>195,069</b>	<b>189,387</b>
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	149,275	146,719	154,352	154,535	160,878	155,196
Personal Service	115,964	119,019	123,015	123,093	128,775	123,093
Non-Personal Service/Indirect Costs	33,311	27,700	31,337	31,442	32,103	32,103
General State Charges	1,605	1,677	2,135	2,135	2,167	2,167
<b>Executive Chamber</b>	<b>14,653</b>	<b>13,560</b>	<b>13,578</b>	<b>13,578</b>	<b>14,032</b>	<b>13,578</b>
State Operations	14,653	13,560	13,578	13,578	14,032	13,578
Personal Service	10,746	9,979	11,113	11,113	11,567	11,113
Non-Personal Service/Indirect Costs	3,907	3,581	2,465	2,465	2,465	2,465
<b>Judiciary</b>	<b>2,822,160</b>	<b>2,945,329</b>	<b>3,019,129</b>	<b>3,034,128</b>	<b>3,091,129</b>	<b>3,034,129</b>
Local Assistance	101,099	114,224	112,200	127,199	127,200	127,200
State Operations	2,018,899	2,080,909	2,132,700	2,121,200	2,178,200	2,121,200
Personal Service	1,568,100	1,624,889	1,667,500	1,656,000	1,713,000	1,656,000
Non-Personal Service/Indirect Costs	450,799	456,020	465,200	465,200	465,200	465,200
General State Charges	702,162	750,196	774,229	785,729	785,729	785,729
<b>Law, Department of</b>	<b>184,901</b>	<b>200,091</b>	<b>198,698</b>	<b>201,421</b>	<b>209,617</b>	<b>203,561</b>
State Operations	170,170	183,513	179,396	181,842	189,005	182,949
Personal Service	120,219	132,481	126,309	127,662	133,718	127,662
Non-Personal Service/Indirect Costs	49,951	51,032	53,087	54,180	55,287	55,287
General State Charges	14,731	16,578	19,302	19,579	20,612	20,612

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>Legislature</b>	<b>225,893</b>	<b>223,372</b>	<b>229,858</b>	<b>234,436</b>	<b>239,106</b>	<b>243,869</b>
State Operations	225,893	223,372	229,858	234,436	239,106	243,869
Personal Service	170,623	174,350	175,541	179,052	182,633	186,286
Non-Personal Service/Indirect Costs	55,270	49,022	54,317	55,384	56,473	57,583
<b>Lieutenant Governor, Office of the</b>	<b>510</b>	<b>492</b>	<b>614</b>	<b>614</b>	<b>634</b>	<b>614</b>
State Operations	510	492	614	614	634	614
Personal Service	364	382	523	523	543	523
Non-Personal Service/Indirect Costs	146	110	91	91	91	91
<b>Functional Total</b>	<b>3,431,022</b>	<b>3,563,265</b>	<b>3,650,388</b>	<b>3,672,871</b>	<b>3,749,587</b>	<b>3,685,138</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>714,839</b>	<b>721,920</b>	<b>723,783</b>	<b>731,363</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance	714,732	721,789	723,533	731,363	763,347	763,347
State Operations	107	131	250	0	0	0
Non-Personal Service/Indirect Costs	107	131	250	0	0	0
<b>County-Wide Shared Services Initiative</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>125,000</b>	<b>0</b>
Local Assistance	0	0	0	100,000	125,000	0
<b>Efficiency Incentive Grants Program</b>	<b>0</b>	<b>348</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	0	348	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>16,046</b>	<b>9,270</b>	<b>12,001</b>	<b>2,250</b>	<b>2,250</b>	<b>2,250</b>
Local Assistance	16,046	9,270	12,001	2,250	2,250	2,250
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>
Local Assistance	29,331	28,885	28,885	28,885	28,885	28,885
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,433</b>	<b>760,640</b>	<b>764,887</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>4,782,944</b>	<b>4,842,816</b>	<b>6,642,283</b>	<b>7,020,211</b>	<b>7,558,961</b>	<b>8,129,073</b>
General State Charges	4,782,944	4,842,816	6,642,283	7,020,211	7,558,961	8,129,073
<b>Long-Term Debt Service</b>	<b>5,552,429</b>	<b>5,914,725</b>	<b>5,566,764</b>	<b>6,667,152</b>	<b>7,168,443</b>	<b>7,144,263</b>
State Operations	38,647	41,887	47,183	47,183	47,183	47,183
Non-Personal Service/Indirect Costs	38,647	41,887	47,183	47,183	47,183	47,183
Debt Service	5,513,782	5,872,838	5,519,581	6,619,969	7,121,260	7,097,080
<b>Miscellaneous</b>	<b>(64,162)</b>	<b>(728)</b>	<b>(39,586)</b>	<b>209,161</b>	<b>338,772</b>	<b>330,900</b>
Local Assistance	(94,357)	(56,833)	(219,943)	(84,610)	(70,066)	(77,943)
State Operations	24,783	47,660	174,610	288,022	403,077	403,077
Personal Service	1,939	2,194	128,275	243,285	358,340	358,340
Non-Personal Service/Indirect Costs	22,844	45,466	46,335	44,737	44,737	44,737
General State Charges	5,412	8,445	5,747	5,749	5,761	5,766
<b>Functional Total</b>	<b>10,271,211</b>	<b>10,756,813</b>	<b>12,169,461</b>	<b>13,896,524</b>	<b>15,066,176</b>	<b>15,604,236</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>96,199,099</b>	<b>98,151,002</b>	<b>100,135,423</b>	<b>104,805,617</b>	<b>109,612,120</b>	<b>112,500,315</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	78,233	71,270	78,000	68,785	65,072	79,766
Alcoholic Beverage Control, Division of	11,248	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	75,181	72,278	77,418	70,963	70,963	75,813
Empire State Development Corporation	122,298	124,880	95,576	86,746	91,746	91,746
Financial Services, Department of	362,563	357,557	374,035	378,571	397,475	391,112
Olympic Regional Development Authority	2,736	2,736	11,293	5,886	5,886	5,886
Public Service Department	75,529	84,881	77,674	78,645	80,874	80,921
<b>Functional Total</b>	<b>727,788</b>	<b>725,421</b>	<b>726,479</b>	<b>700,840</b>	<b>723,576</b>	<b>736,488</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,535	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	274,904	260,839	263,712	252,785	262,808	254,714
Parks, Recreation and Historic Preservation, Office of	191,925	179,334	182,232	180,091	186,561	181,690
<b>Functional Total</b>	<b>471,364</b>	<b>444,641</b>	<b>450,413</b>	<b>437,290</b>	<b>453,941</b>	<b>440,818</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	70,033	71,728	84,516	85,178	88,054	88,387
Transportation, Department of	5,002,277	5,034,223	4,218,625	3,963,258	4,011,278	4,147,514
<b>Functional Total</b>	<b>5,072,310</b>	<b>5,105,951</b>	<b>4,303,141</b>	<b>4,048,436</b>	<b>4,099,332</b>	<b>4,235,901</b>
<b>HEALTH</b>						
Aging, Office for the	126,347	125,380	130,510	132,817	138,042	143,311
Health, Department of	20,477,016	21,460,861	22,366,569	23,794,785	24,970,279	25,940,481
<i>Medical Assistance</i>	17,505,933	18,857,443	19,376,415	20,899,531	21,916,649	22,792,661
<i>Essential Plan</i>	312,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	728,644	583,789	917,523	744,037	721,913	707,680
<i>Public Health</i>	1,929,602	1,931,991	1,985,908	2,066,993	2,252,960	2,363,743
Medicaid Inspector General, Office of the	20,489	18,282	18,111	18,111	18,718	18,111
<b>Functional Total</b>	<b>20,623,852</b>	<b>21,604,523</b>	<b>22,515,190</b>	<b>23,945,713</b>	<b>25,127,039</b>	<b>26,101,903</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,966,820	1,851,516	1,982,381	2,049,994	2,135,764	2,173,609
<i>OCFS</i>	1,884,573	1,774,154	1,897,639	1,959,187	2,041,777	2,075,458
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	60,118	72,665	85,845	115,876	119,781	122,267
Human Rights, Division of	10,382	9,465	9,921	9,921	10,590	10,230
Labor, Department of	82,569	83,203	81,668	67,156	69,893	71,435
National and Community Service	471	770	690	690	699	699
Temporary and Disability Assistance, Office of	1,357,751	1,352,404	1,407,194	1,491,173	1,498,466	1,504,986
<i>Welfare Assistance</i>	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	227,560	215,080	229,373	249,807	257,100	263,620
<b>Functional Total</b>	<b>3,478,111</b>	<b>3,370,023</b>	<b>3,567,699</b>	<b>3,734,810</b>	<b>3,835,193</b>	<b>3,883,226</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	420,226	441,089	437,680	466,850	486,821	501,648
<i>OASAS</i>	330,886	348,092	372,671	400,641	419,170	433,270
<i>OASAS - Other</i>	89,340	92,997	65,009	66,209	67,651	68,378
Justice Center	39,719	40,136	42,979	44,832	46,798	46,205
Mental Health, Office of	3,152,278	3,182,496	2,638,032	2,770,104	2,897,516	2,999,229
<i>OMH</i>	1,457,079	1,459,290	1,319,515	1,453,351	1,536,681	1,599,985
<i>OMH - Other</i>	1,695,199	1,723,206	1,318,517	1,316,753	1,360,835	1,399,244
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	2,903,369	2,862,904	1,855,592	2,146,284	2,592,779	2,516,236
<i>OPWDD</i>	384,881	407,423	388,452	383,825	389,754	399,931
<i>OPWDD - Other</i>	2,518,488	2,455,481	1,467,140	1,762,459	2,203,025	2,116,305
<b>Functional Total</b>	<b>6,515,819</b>	<b>6,526,829</b>	<b>4,974,283</b>	<b>5,428,070</b>	<b>6,023,914</b>	<b>6,063,318</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,645,220	2,633,748	2,611,123	2,623,496	2,629,126	2,620,496

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	191,089	193,155	222,552	193,281	194,256	194,078
Homeland Security and Emergency Services, Division of	70,123	60,960	93,699	96,171	131,846	143,640
Indigent Legal Services, Office of	63,641	75,499	166,393	190,581	214,855	214,975
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	27,594	25,915	23,255	22,956	23,252	23,983
State Police, Division of	738,493	756,006	754,438	750,125	776,047	790,925
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	28,996	26,080	33,557	36,345	36,462	37,111
<b>Functional Total</b>	<b>3,803,420</b>	<b>3,809,670</b>	<b>3,945,814</b>	<b>3,953,888</b>	<b>4,047,570</b>	<b>4,065,242</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,526,003	1,568,018	1,588,979	1,629,891	1,670,440	1,706,327
Higher Education - Miscellaneous	529	337	441	441	441	441
Higher Education Services Corporation, New York State	1,014,320	929,204	1,132,969	1,209,228	1,227,501	1,234,607
State University of New York	6,914,894	6,999,907	7,166,919	7,246,571	7,419,662	7,371,152
<b>Functional Total</b>	<b>9,455,746</b>	<b>9,497,466</b>	<b>9,889,308</b>	<b>10,086,131</b>	<b>10,318,044</b>	<b>10,312,527</b>
<b>EDUCATION</b>						
Arts, Council on the	42,832	39,210	45,413	45,253	45,349	45,253
Education, Department of	29,854,304	30,372,803	31,457,126	32,353,760	33,532,259	34,876,998
<i>School Aid</i>	24,293,624	25,396,252	26,384,408	27,381,797	28,488,874	29,748,225
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<i>All Other</i>	1,047,191	1,062,532	1,185,517	1,126,693	1,145,774	1,165,317
<b>Functional Total</b>	<b>29,897,136</b>	<b>30,412,013</b>	<b>31,502,539</b>	<b>32,399,013</b>	<b>33,577,608</b>	<b>34,922,251</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	25,335	27,312	30,423	29,596	30,603	29,596
Civil Service, Department of	13,379	14,975	13,560	13,680	14,189	13,815
Deferred Compensation Board	613	675	846	853	854	853
Elections, State Board of	7,188	7,813	9,837	10,147	10,583	10,054
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	253,064	176,850	238,873	212,703	215,120	212,703
General Services, Office of	161,219	143,940	137,569	133,510	132,372	130,999
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	2,028	2,349	2,949	3,049	3,121	3,059
Public Employment Relations Board	3,281	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	69,942	64,267	68,091	67,724	63,055	62,203
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	357,907	345,192	362,336	360,880	371,807	360,280
Technology, Office for	548,366	535,977	544,532	540,286	550,699	557,255
Veterans' Affairs, Division of	14,291	13,791	16,028	13,873	14,119	14,054
Welfare Inspector General, Office of	592	671	686	701	731	753
Workers' Compensation Board	187,641	193,366	196,543	198,595	208,376	204,271
<b>Functional Total</b>	<b>1,690,886</b>	<b>1,573,749</b>	<b>1,675,821</b>	<b>1,639,315</b>	<b>1,670,440</b>	<b>1,654,567</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	182,905	180,421	188,511	188,694	195,069	189,387
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,822,161	2,945,329	3,019,129	3,034,128	3,091,129	3,034,129
Law, Department of	184,901	200,091	198,698	201,421	209,617	203,561
Legislature	225,893	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<b>3,431,023</b>	<b>3,563,265</b>	<b>3,650,388</b>	<b>3,672,871</b>	<b>3,749,587</b>	<b>3,685,138</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Aid and Incentives for Municipalities	714,839	721,920	723,783	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,433</b>	<b>760,640</b>	<b>764,887</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Long-Term Debt Service	5,552,429	5,914,725	5,566,764	6,667,152	7,168,443	7,144,263
Miscellaneous	(64,162)	(728)	(39,586)	209,161	338,772	330,900
<b>Functional Total</b>	<b>10,271,210</b>	<b>10,756,812</b>	<b>12,169,461</b>	<b>13,896,524</b>	<b>15,066,176</b>	<b>15,604,236</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>96,199,098</b>	<b>98,151,003</b>	<b>100,135,423</b>	<b>104,805,617</b>	<b>109,612,120</b>	<b>112,500,315</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,980	32,318	38,271	28,757	24,757	24,907
Economic Development, Department of	53,998	52,871	60,099	53,644	53,644	58,494
Empire State Development Corporation	122,298	124,880	95,576	86,746	91,746	91,746
Financial Services, Department of	66,703	57,254	64,954	61,886	61,855	61,855
Olympic Regional Development Authority	0	0	2,360	0	0	0
Public Service Department	0	102	136	136	136	136
<b>Functional Total</b>	<b>274,979</b>	<b>267,425</b>	<b>261,396</b>	<b>231,169</b>	<b>232,138</b>	<b>237,138</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,105	2,491	4,095	3,019	3,019	3,019
Parks, Recreation and Historic Preservation, Office of	7,963	7,087	5,856	5,550	5,550	5,550
<b>Functional Total</b>	<b>10,068</b>	<b>9,578</b>	<b>9,951</b>	<b>8,569</b>	<b>8,569</b>	<b>8,569</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	1,600	375	0	0	0
Transportation, Department of	4,977,299	5,023,364	3,936,260	3,617,086	3,663,712	3,795,148
<b>Functional Total</b>	<b>4,977,299</b>	<b>5,024,964</b>	<b>3,936,635</b>	<b>3,617,086</b>	<b>3,663,712</b>	<b>3,795,148</b>
<b>HEALTH</b>						
Aging, Office for the	125,116	124,180	129,278	131,585	136,767	142,079
Health, Department of	19,757,959	20,687,849	21,516,754	22,971,997	24,150,536	25,121,757
<i>Medical Assistance</i>	17,505,933	18,857,443	19,376,415	20,899,531	21,916,649	22,792,661
<i>Essential Plan</i>	269,000	0	0	0	0	0
<i>Medicaid Administration</i>	467,643	286,336	585,173	428,149	411,867	396,295
<i>Public Health</i>	1,515,383	1,544,070	1,555,166	1,644,317	1,822,020	1,932,801
<b>Functional Total</b>	<b>19,883,075</b>	<b>20,812,029</b>	<b>21,646,032</b>	<b>23,103,582</b>	<b>24,287,303</b>	<b>25,263,836</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,715,339	1,608,134	1,691,071	1,672,391	1,690,996	1,726,177
<i>OCFS</i>	1,633,092	1,530,772	1,606,329	1,581,584	1,597,009	1,628,026
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	4,370	4,410	10,258	40,289	40,942	41,617
Labor, Department of	15,403	12,666	14,662	150	150	150
National and Community Service	267	477	350	350	350	350
Temporary and Disability Assistance, Office of	1,220,003	1,229,518	1,279,914	1,357,562	1,360,362	1,364,162
<i>Welfare Assistance</i>	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	89,812	92,194	102,093	116,196	118,996	122,796
<b>Functional Total</b>	<b>2,955,382</b>	<b>2,855,205</b>	<b>2,996,255</b>	<b>3,070,742</b>	<b>3,092,800</b>	<b>3,132,456</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	304,095	322,661	354,045	381,090	398,560	413,015
<i>OASAS</i>	282,770	301,336	332,720	359,765	377,235	391,690
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	619	649	649	649	649
Mental Health, Office of	1,186,516	1,181,309	1,296,388	1,407,487	1,498,336	1,565,721
<i>OMH</i>	916,016	915,920	997,063	1,097,776	1,177,706	1,233,622
<i>OMH - Other</i>	270,500	265,389	299,325	309,711	320,630	332,099
People with Developmental Disabilities, Office for	968,772	845,938	529,772	818,470	1,228,444	1,136,942
<i>OPWDD</i>	384,818	407,275	388,271	383,644	389,573	399,750
<i>OPWDD - Other</i>	583,954	438,663	141,501	434,826	838,871	737,192
<b>Functional Total</b>	<b>2,460,062</b>	<b>2,350,527</b>	<b>2,180,854</b>	<b>2,607,696</b>	<b>3,125,989</b>	<b>3,116,327</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	4,457	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	155,863	156,618	185,704	156,433	156,433	156,433
Homeland Security and Emergency Services, Division of	43,427	30,642	56,339	57,141	91,542	100,839
Indigent Legal Services, Office of	60,503	72,102	160,672	184,765	208,859	208,859

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Military and Naval Affairs, Division of	786	819	820	820	820	886
Victim Services, Office of	23,748	20,728	27,956	30,744	30,744	31,398
<b>Functional Total</b>	<b>288,784</b>	<b>286,595</b>	<b>438,327</b>	<b>436,739</b>	<b>495,234</b>	<b>503,251</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
Higher Education Services Corporation, New York State	957,504	879,322	1,080,675	1,156,934	1,175,207	1,182,313
State University of New York	491,891	488,783	482,289	484,643	484,643	484,643
<b>Functional Total</b>	<b>2,873,524</b>	<b>2,833,258</b>	<b>3,056,701</b>	<b>3,174,841</b>	<b>3,232,734</b>	<b>3,274,787</b>
<b>EDUCATION</b>						
Arts, Council on the	38,907	34,950	41,093	40,933	40,933	40,933
Education, Department of	29,682,986	30,193,388	31,274,735	32,172,420	33,343,991	34,691,253
<i>School Aid</i>	24,293,624	25,396,252	26,384,408	27,381,797	28,488,874	29,748,225
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<i>All Other</i>	875,873	883,117	1,003,126	945,353	957,506	979,572
<b>Functional Total</b>	<b>29,721,893</b>	<b>30,228,338</b>	<b>31,315,828</b>	<b>32,213,353</b>	<b>33,384,924</b>	<b>34,732,186</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	93	50	0	0	0	0
Gaming Commission, New York State	101,820	65,779	140,570	114,400	114,400	114,400
Prevention of Domestic Violence, Office for State, Department of	528	791	1,285	1,385	1,385	1,412
Taxation and Finance, Department of	12,643	10,035	14,961	14,379	7,379	7,379
Veterans' Affairs, Division of	1,080	1,542	2,726	2,726	2,726	2,726
	8,340	7,906	9,792	7,637	7,637	7,637
<b>Functional Total</b>	<b>124,504</b>	<b>86,103</b>	<b>169,334</b>	<b>140,527</b>	<b>133,527</b>	<b>133,554</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of Judiciary	32,025	32,025	32,024	32,024	32,024	32,024
	101,099	114,224	112,200	127,199	127,200	127,200
<b>Functional Total</b>	<b>133,124</b>	<b>146,249</b>	<b>144,224</b>	<b>159,223</b>	<b>159,224</b>	<b>159,224</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,732	721,789	723,533	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,326</b>	<b>760,509</b>	<b>764,637</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(94,357)	(56,833)	(219,943)	(84,610)	(70,066)	(77,943)
<b>Functional Total</b>	<b>(94,357)</b>	<b>(56,833)</b>	<b>(219,943)</b>	<b>(84,610)</b>	<b>(70,066)</b>	<b>(77,943)</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>64,368,663</b>	<b>65,603,947</b>	<b>66,700,231</b>	<b>69,541,633</b>	<b>72,665,788</b>	<b>75,073,233</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	44,534	37,697	37,838	38,068	38,187	52,668
Alcoholic Beverage Control, Division of	11,127	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	21,183	19,405	17,291	17,291	17,291	17,291
Financial Services, Department of	209,208	209,379	208,909	212,543	218,825	212,688
Olympic Regional Development Authority	2,736	2,736	8,933	5,886	5,886	5,886
Public Service Department	50,006	52,384	50,777	50,827	51,440	49,827
<b>Functional Total</b>	<b>338,794</b>	<b>333,420</b>	<b>336,231</b>	<b>335,859</b>	<b>343,189</b>	<b>349,604</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,535	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	225,085	212,775	208,217	204,773	216,247	209,602
Parks, Recreation and Historic Preservation, Office of	178,446	168,983	173,224	171,381	177,704	172,799
<b>Functional Total</b>	<b>408,066</b>	<b>386,226</b>	<b>385,910</b>	<b>380,568</b>	<b>398,523</b>	<b>386,815</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	49,560	49,142	60,826	61,060	62,091	61,772
Transportation, Department of	21,228	9,139	280,220	343,908	345,113	349,869
<b>Functional Total</b>	<b>70,788</b>	<b>58,281</b>	<b>341,046</b>	<b>404,968</b>	<b>407,204</b>	<b>411,641</b>
<b>HEALTH</b>						
Aging, Office for the	1,231	1,200	1,232	1,232	1,275	1,232
Health, Department of	688,514	741,299	812,135	788,709	783,886	781,582
<i>Essential Plan</i>	43,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	261,001	297,453	332,350	315,888	310,046	311,385
<i>Public Health</i>	383,676	356,208	393,062	388,597	395,083	393,800
Medicaid Inspector General, Office of the	20,489	18,282	18,111	18,111	18,718	18,111
<b>Functional Total</b>	<b>710,234</b>	<b>760,781</b>	<b>831,478</b>	<b>808,052</b>	<b>803,879</b>	<b>800,925</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	249,825	241,724	289,267	375,809	442,944	445,608
<i>OCFS</i>	249,825	241,724	289,267	375,809	442,944	445,608
Housing and Community Renewal, Division of	50,299	52,210	52,467	52,467	55,719	54,301
Human Rights, Division of	10,382	9,465	9,921	9,921	10,590	10,230
Labor, Department of	47,669	49,642	46,519	46,519	49,256	48,280
National and Community Service	204	293	340	340	349	349
Temporary and Disability Assistance, Office of	137,626	122,874	127,152	133,483	137,976	140,696
<i>All Other</i>	137,626	122,874	127,152	133,483	137,976	140,696
<b>Functional Total</b>	<b>496,005</b>	<b>476,208</b>	<b>525,666</b>	<b>618,539</b>	<b>696,834</b>	<b>699,464</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	82,405	81,472	83,635	85,760	88,261	88,633
<i>OASAS</i>	34,313	31,964	39,951	40,876	41,935	41,580
<i>OASAS - Other</i>	48,092	49,508	43,684	44,884	46,326	47,053
Justice Center	38,190	38,868	41,456	43,254	45,156	44,496
Mental Health, Office of	1,344,266	1,350,460	1,341,644	1,362,617	1,399,180	1,433,508
<i>OMH</i>	348,833	349,973	322,452	355,575	358,975	366,363
<i>OMH - Other</i>	995,433	1,000,487	1,019,192	1,007,042	1,040,205	1,067,145
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	1,297,397	1,333,165	1,325,820	1,327,814	1,364,335	1,379,294
<i>OPWDD</i>	63	148	181	181	181	181
<i>OPWDD - Other</i>	1,297,334	1,333,017	1,325,639	1,327,633	1,364,154	1,379,113
<b>Functional Total</b>	<b>2,762,485</b>	<b>2,804,169</b>	<b>2,792,555</b>	<b>2,819,445</b>	<b>2,896,932</b>	<b>2,945,931</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,640,662	2,627,945	2,606,181	2,618,554	2,624,184	2,615,554
Criminal Justice Services, Division of	35,193	36,502	36,813	36,813	37,788	37,610
Homeland Security and Emergency Services, Division of	26,030	29,703	36,551	38,213	39,469	41,896

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Indigent Legal Services, Office of	2,171	2,328	3,815	3,813	3,879	3,877
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	26,799	25,090	22,376	22,127	22,423	23,087
State Police, Division of	720,382	741,934	730,538	725,315	750,894	765,602
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	3,678	3,714	3,951	3,951	4,068	4,030
<b>Functional Total</b>	<b>3,493,179</b>	<b>3,505,523</b>	<b>3,479,022</b>	<b>3,487,719</b>	<b>3,522,431</b>	<b>3,531,690</b>
<b>HIGHER EDUCATION</b>						
City University of New York	94,213	102,720	95,092	96,477	97,406	98,346
Higher Education - Miscellaneous	386	256	291	291	291	291
Higher Education Services Corporation, New York State	48,292	41,751	43,274	43,274	43,274	43,274
State University of New York	6,002,720	6,080,264	6,199,559	6,261,362	6,420,899	6,382,236
<b>Functional Total</b>	<b>6,145,611</b>	<b>6,224,991</b>	<b>6,338,216</b>	<b>6,401,404</b>	<b>6,561,870</b>	<b>6,524,147</b>
<b>EDUCATION</b>						
Arts, Council on the	3,925	4,260	4,320	4,320	4,416	4,320
Education, Department of	139,176	144,872	144,928	142,548	145,816	142,550
<i>All Other</i>	139,176	144,872	144,928	142,548	145,816	142,550
<b>Functional Total</b>	<b>143,101</b>	<b>149,132</b>	<b>149,248</b>	<b>146,868</b>	<b>150,232</b>	<b>146,870</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	24,559	26,600	28,766	27,939	28,886	27,939
Civil Service, Department of	13,295	14,795	13,331	13,441	13,926	13,545
Deferred Compensation Board	418	451	641	648	649	648
Elections, State Board of	7,095	7,763	9,837	10,147	10,583	10,054
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	138,467	94,531	80,728	80,728	82,255	80,728
General Services, Office of	160,812	143,571	137,021	132,943	131,745	130,355
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	1,500	1,558	1,664	1,664	1,736	1,647
Public Employment Relations Board	3,281	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	47,676	43,799	41,020	40,821	41,933	40,821
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	331,810	321,015	332,346	331,091	340,898	330,482
Technology, Office for	548,366	535,977	544,532	540,286	550,699	557,255
Veterans' Affairs, Division of	5,951	5,885	6,236	6,236	6,482	6,417
Welfare Inspector General, Office of	592	671	686	701	731	753
Workers' Compensation Board	138,722	140,971	143,323	145,193	150,513	146,408
<b>Functional Total</b>	<b>1,468,584</b>	<b>1,384,158</b>	<b>1,393,679</b>	<b>1,385,556</b>	<b>1,415,847</b>	<b>1,401,724</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	149,275	146,719	154,352	154,535	160,878	155,196
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,018,899	2,080,909	2,132,700	2,121,200	2,178,200	2,121,200
Law, Department of	170,170	183,513	179,396	181,842	189,005	182,949
Legislature	225,893	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<b>2,579,400</b>	<b>2,648,565</b>	<b>2,710,498</b>	<b>2,706,205</b>	<b>2,781,855</b>	<b>2,717,406</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,647	41,887	47,183	47,183	47,183	47,183
Miscellaneous	24,783	47,660	174,610	288,022	403,077	403,077
<b>Functional Total</b>	<u>63,430</u>	<u>89,547</u>	<u>221,793</u>	<u>335,205</u>	<u>450,260</u>	<u>450,260</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u>18,679,784</u>	<u>18,821,132</u>	<u>19,505,592</u>	<u>19,830,388</u>	<u>20,429,056</u>	<u>20,366,477</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	30,523	30,802	29,423	29,428	30,543	29,428
Alcoholic Beverage Control, Division of	7,742	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,152	13,243	12,929	12,929	12,929	12,929
Financial Services, Department of	151,522	154,146	156,697	157,475	163,459	157,616
Olympic Regional Development Authority	2,548	2,548	5,595	2,548	2,548	2,548
Public Service Department	41,485	42,867	42,100	42,100	43,711	42,100
<b>Functional Total</b>	<b>246,972</b>	<b>251,737</b>	<b>254,891</b>	<b>253,065</b>	<b>262,091</b>	<b>253,206</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,112	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	176,058	175,514	170,420	168,321	176,631	170,066
Parks, Recreation and Historic Preservation, Office of	135,346	130,555	133,829	132,271	138,592	133,687
<b>Functional Total</b>	<b>315,516</b>	<b>310,248</b>	<b>308,357</b>	<b>304,700</b>	<b>319,489</b>	<b>307,861</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	35,655	36,244	45,018	45,188	46,132	46,422
Transportation, Department of	6,497	2,803	161,818	170,555	170,693	170,555
<b>Functional Total</b>	<b>42,152</b>	<b>39,047</b>	<b>206,836</b>	<b>215,743</b>	<b>216,825</b>	<b>216,977</b>
<b>HEALTH</b>						
Aging, Office for the	1,125	1,103	1,125	1,125	1,168	1,125
Health, Department of	261,956	252,883	280,280	284,066	290,571	285,689
<i>Essential Plan</i>	1,367	3,148	4,206	4,580	4,418	4,384
<i>Medicaid Administration</i>	36,908	37,196	51,113	54,086	57,098	55,666
<i>Public Health</i>	223,681	212,539	224,961	225,400	229,055	225,639
Medicaid Inspector General, Office of the	16,415	15,864	14,936	14,936	15,543	14,936
<b>Functional Total</b>	<b>279,496</b>	<b>269,850</b>	<b>296,341</b>	<b>300,127</b>	<b>307,282</b>	<b>301,750</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	169,024	170,908	192,365	261,476	315,232	315,341
<i>OCFS</i>	169,024	170,908	192,365	261,476	315,232	315,341
Housing and Community Renewal, Division of	42,730	41,535	42,828	42,828	45,650	44,074
Human Rights, Division of	9,533	8,662	9,461	9,461	10,121	9,752
Labor, Department of	34,150	34,323	32,618	32,618	34,864	33,620
National and Community Service	203	292	331	331	340	340
Temporary and Disability Assistance, Office of	73,429	64,895	68,444	73,603	76,972	78,522
<i>All Other</i>	73,429	64,895	68,444	73,603	76,972	78,522
<b>Functional Total</b>	<b>329,069</b>	<b>320,615</b>	<b>346,047</b>	<b>420,317</b>	<b>483,179</b>	<b>481,649</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	54,557	56,428	59,954	60,914	62,716	62,362
<i>OASAS</i>	19,701	20,354	26,697	27,133	27,919	27,270
<i>OASAS - Other</i>	34,856	36,074	33,257	33,781	34,797	35,092
Justice Center	29,240	31,243	32,769	34,123	35,762	34,832
Mental Health, Office of	1,072,564	1,070,401	1,086,975	1,096,051	1,119,765	1,130,950
<i>OMH</i>	288,507	287,281	270,088	311,124	314,124	320,174
<i>OMH - Other</i>	784,057	783,120	816,887	784,927	805,641	810,776
People with Developmental Disabilities, Office for	1,092,002	1,129,874	1,137,939	1,145,989	1,177,306	1,186,856
<i>OPWDD</i>	0	124	0	0	0	0
<i>OPWDD - Other</i>	1,092,002	1,129,750	1,137,939	1,145,989	1,177,306	1,186,856
<b>Functional Total</b>	<b>2,248,363</b>	<b>2,287,946</b>	<b>2,317,637</b>	<b>2,337,077</b>	<b>2,395,549</b>	<b>2,415,000</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,234	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,113,404	2,098,499	2,042,832	2,056,336	2,061,966	2,056,336
Criminal Justice Services, Division of	26,998	26,993	27,582	27,582	28,557	28,113
Homeland Security and Emergency Services, Division of	16,748	16,362	23,313	29,610	30,856	32,215
Indigent Legal Services, Office of	1,678	1,873	2,982	2,982	3,048	3,034

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Judicial Conduct, Commission on	4,181	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	17,164	15,083	14,322	14,322	14,618	14,692
State Police, Division of	649,718	677,367	668,551	665,095	690,605	703,242
Statewide Financial System	11,045	11,094	11,758	11,882	12,325	12,121
Victim Services, Office of	3,145	3,184	3,176	3,176	3,293	3,242
<b>Functional Total</b>	<b>2,846,315</b>	<b>2,857,009</b>	<b>2,801,242</b>	<b>2,817,746</b>	<b>2,852,347</b>	<b>2,859,966</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,975	52,623	48,148	48,594	49,045	49,501
Higher Education - Miscellaneous	283	169	198	198	198	198
Higher Education Services Corporation, New York State	14,475	13,380	12,916	12,916	12,916	12,916
State University of New York	3,766,631	3,753,286	3,973,548	4,014,523	4,153,020	4,093,105
<b>Functional Total</b>	<b>3,830,364</b>	<b>3,819,458</b>	<b>4,034,810</b>	<b>4,076,231</b>	<b>4,215,179</b>	<b>4,155,720</b>
<b>EDUCATION</b>						
Arts, Council on the	2,491	2,626	2,498	2,498	2,594	2,498
Education, Department of	86,602	90,372	88,090	87,774	90,983	87,774
<i>All Other</i>	86,602	90,372	88,090	87,774	90,983	87,774
<b>Functional Total</b>	<b>89,093</b>	<b>92,998</b>	<b>90,588</b>	<b>90,272</b>	<b>93,577</b>	<b>90,272</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,619	21,683	24,567	24,567	25,511	24,567
Civil Service, Department of	12,212	14,053	12,497	12,591	13,075	12,685
Deferred Compensation Board	368	408	410	413	414	413
Elections, State Board of	5,765	6,077	6,143	6,288	6,571	6,478
Employee Relations, Office of	2,269	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	32,822	34,862	38,757	38,757	40,248	38,757
General Services, Office of	77,580	67,107	48,450	48,450	51,875	49,458
Inspector General, Office of the	5,833	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,871	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,338	1,412	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,056	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	3,808	4,386	4,646	4,681	4,867	4,827
State, Department of	29,588	29,257	27,774	27,724	28,812	27,724
Tax Appeals, Division of	2,604	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	284,526	275,658	264,119	264,323	273,392	264,338
Technology, Office for	298,543	289,994	286,118	291,603	308,070	303,412
Veterans' Affairs, Division of	5,086	5,512	5,938	5,938	6,178	6,107
Welfare Inspector General, Office of	565	619	621	626	654	646
Workers' Compensation Board	82,632	85,117	84,993	85,604	89,709	85,604
<b>Functional Total</b>	<b>877,085</b>	<b>858,230</b>	<b>827,260</b>	<b>833,947</b>	<b>872,662</b>	<b>847,547</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	115,964	119,019	123,015	123,093	128,775	123,093
Executive Chamber	10,746	9,979	11,113	11,113	11,567	11,113
Judiciary	1,568,100	1,624,889	1,667,500	1,656,000	1,713,000	1,656,000
Law, Department of	120,219	132,481	126,309	127,662	133,718	127,662
Legislature	170,623	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	364	382	523	523	543	523
<b>Functional Total</b>	<b>1,986,016</b>	<b>2,061,100</b>	<b>2,104,001</b>	<b>2,097,443</b>	<b>2,170,236</b>	<b>2,104,677</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,939	2,194	128,275	243,285	358,340	358,340
<b>Functional Total</b>	<b>1,939</b>	<b>2,194</b>	<b>128,275</b>	<b>243,285</b>	<b>358,340</b>	<b>358,340</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,092,380</b>	<b>13,170,432</b>	<b>13,716,285</b>	<b>13,989,953</b>	<b>14,546,756</b>	<b>14,392,965</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	14,011	6,895	8,415	8,640	7,644	23,240
Alcoholic Beverage Control, Division of	3,385	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	8,031	6,162	4,362	4,362	4,362	4,362
Financial Services, Department of	57,686	55,233	52,212	55,068	55,366	55,072
Olympic Regional Development Authority	188	188	3,338	3,338	3,338	3,338
Public Service Department	8,521	9,517	8,677	8,727	7,729	7,727
<b>Functional Total</b>	<b>91,822</b>	<b>81,683</b>	<b>81,340</b>	<b>82,794</b>	<b>81,098</b>	<b>96,398</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	423	289	361	306	306	306
Environmental Conservation, Department of	49,027	37,261	37,797	36,452	39,616	39,536
Parks, Recreation and Historic Preservation, Office of	43,100	38,428	39,395	39,110	39,112	39,112
<b>Functional Total</b>	<b>92,550</b>	<b>75,978</b>	<b>77,553</b>	<b>75,868</b>	<b>79,034</b>	<b>78,954</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	13,905	12,898	15,808	15,872	15,959	15,350
Transportation, Department of	14,731	6,336	118,402	173,353	174,420	179,314
<b>Functional Total</b>	<b>28,636</b>	<b>19,234</b>	<b>134,210</b>	<b>189,225</b>	<b>190,379</b>	<b>194,664</b>
<b>HEALTH</b>						
Aging, Office for the	106	97	107	107	107	107
Health, Department of	426,558	488,416	531,855	504,643	493,315	495,893
<i>Essential Plan</i>	42,470	84,490	82,517	79,644	74,339	72,013
<i>Medicaid Administration</i>	224,093	260,257	281,237	261,802	252,948	255,719
<i>Public Health</i>	159,995	143,669	168,101	163,197	166,028	168,161
Medicaid Inspector General, Office of the	4,074	2,418	3,175	3,175	3,175	3,175
<b>Functional Total</b>	<b>430,738</b>	<b>490,931</b>	<b>535,137</b>	<b>507,925</b>	<b>496,597</b>	<b>499,175</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	80,801	70,816	96,902	114,333	127,712	130,267
<i>OCFS</i>	80,801	70,816	96,902	114,333	127,712	130,267
Housing and Community Renewal, Division of	7,569	10,675	9,639	9,639	10,069	10,227
Human Rights, Division of	849	803	460	460	469	478
Labor, Department of	13,519	15,319	13,901	13,901	14,392	14,660
National and Community Service	1	1	9	9	9	9
Temporary and Disability Assistance, Office of	64,197	57,979	58,708	59,880	61,004	62,174
<i>All Other</i>	64,197	57,979	58,708	59,880	61,004	62,174
<b>Functional Total</b>	<b>166,936</b>	<b>155,593</b>	<b>179,619</b>	<b>198,222</b>	<b>213,655</b>	<b>217,815</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	27,848	25,044	23,681	24,846	25,545	26,271
<i>OASAS</i>	14,612	11,610	13,254	13,743	14,016	14,310
<i>OASAS - Other</i>	13,236	13,434	10,427	11,103	11,529	11,961
Justice Center	8,950	7,625	8,687	9,131	9,394	9,664
Mental Health, Office of	271,702	280,059	254,669	266,566	279,415	302,558
<i>OMH</i>	60,326	62,692	52,364	44,451	44,851	46,189
<i>OMH - Other</i>	211,376	217,367	202,305	222,115	234,564	256,369
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	205,395	203,291	187,881	181,825	187,029	192,438
<i>OPWDD</i>	63	24	181	181	181	181
<i>OPWDD - Other</i>	205,332	203,267	187,700	181,644	186,848	192,257
<b>Functional Total</b>	<b>514,122</b>	<b>516,223</b>	<b>474,918</b>	<b>482,368</b>	<b>501,383</b>	<b>530,931</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	197	278	237	237	242	247
Correctional Services, Department of	527,258	529,446	563,349	562,218	562,218	559,218
Criminal Justice Services, Division of	8,195	9,509	9,231	9,231	9,231	9,497
Homeland Security and Emergency Services, Division of	9,282	13,341	13,238	8,603	8,613	9,681

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Indigent Legal Services, Office of	493	455	833	831	831	843
Judicial Conduct, Commission on	1,324	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	9,635	10,007	8,054	7,805	7,805	8,395
State Police, Division of	70,664	64,567	61,987	60,220	60,289	62,360
Statewide Financial System	19,264	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	533	530	775	775	775	788
<b>Functional Total</b>	<b>646,864</b>	<b>648,514</b>	<b>677,780</b>	<b>669,973</b>	<b>670,084</b>	<b>671,724</b>
<b>HIGHER EDUCATION</b>						
City University of New York	45,238	50,097	46,944	47,883	48,361	48,845
Higher Education - Miscellaneous	103	87	93	93	93	93
Higher Education Services Corporation, New York State	33,817	28,371	30,358	30,358	30,358	30,358
State University of New York	2,236,089	2,326,978	2,226,011	2,246,839	2,267,879	2,289,131
<b>Functional Total</b>	<b>2,315,247</b>	<b>2,405,533</b>	<b>2,303,406</b>	<b>2,325,173</b>	<b>2,346,691</b>	<b>2,368,427</b>
<b>EDUCATION</b>						
Arts, Council on the	1,434	1,634	1,822	1,822	1,822	1,822
Education, Department of	52,574	54,500	56,838	54,774	54,833	54,776
<i>All Other</i>	52,574	54,500	56,838	54,774	54,833	54,776
<b>Functional Total</b>	<b>54,008</b>	<b>56,134</b>	<b>58,660</b>	<b>56,596</b>	<b>56,655</b>	<b>56,598</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	3,940	4,917	4,199	3,372	3,375	3,372
Civil Service, Department of	1,083	742	834	850	851	860
Deferred Compensation Board	50	43	231	235	235	235
Elections, State Board of	1,330	1,686	3,694	3,859	4,012	3,576
Employee Relations, Office of	65	1,054	72	73	73	73
Gaming Commission, New York State	105,645	59,669	41,971	41,971	42,007	41,971
General Services, Office of	83,232	76,464	88,571	84,493	79,870	80,897
Inspector General, Office of the	1,346	943	827	839	856	1,204
Labor Management Committees	20,947	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	162	146	195	173	212	216
Public Employment Relations Board	225	201	291	296	296	296
Public Integrity, Commission on	1,068	729	930	949	968	1,183
State, Department of	18,088	14,542	13,246	13,097	13,121	13,097
Tax Appeals, Division of	229	147	170	170	170	170
Taxation and Finance, Department of	47,284	45,357	68,227	66,768	67,506	66,144
Technology, Office for	249,823	245,983	258,414	248,683	242,629	253,843
Veterans' Affairs, Division of	865	373	298	298	304	310
Welfare Inspector General, Office of	27	52	65	75	77	107
Workers' Compensation Board	56,090	55,854	58,330	59,589	60,804	60,804
<b>Functional Total</b>	<b>591,499</b>	<b>525,928</b>	<b>566,419</b>	<b>551,609</b>	<b>543,185</b>	<b>554,177</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	33,311	27,700	31,337	31,442	32,103	32,103
Executive Chamber	3,907	3,581	2,465	2,465	2,465	2,465
Judiciary	450,799	456,020	465,200	465,200	465,200	465,200
Law, Department of	49,951	51,032	53,087	54,180	55,287	55,287
Legislature	55,270	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	146	110	91	91	91	91
<b>Functional Total</b>	<b>593,384</b>	<b>587,465</b>	<b>606,497</b>	<b>608,762</b>	<b>611,619</b>	<b>612,729</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,647	41,887	47,183	47,183	47,183	47,183
Miscellaneous	22,844	45,466	46,335	44,737	44,737	44,737
<b>Functional Total</b>	<u>61,491</u>	<u>87,353</u>	<u>93,518</u>	<u>91,920</u>	<u>91,920</u>	<u>91,920</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>5,587,404</u>	<u>5,650,700</u>	<u>5,789,307</u>	<u>5,840,435</u>	<u>5,882,300</u>	<u>5,973,512</u>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,719	1,255	1,891	1,960	2,128	2,191
Alcoholic Beverage Control, Division of	121	0	0	0	0	0
Economic Development, Department of	0	2	28	28	28	28
Financial Services, Department of	86,652	90,924	100,172	104,142	116,795	116,569
Public Service Department	25,523	32,395	26,761	27,682	29,298	30,958
<b>Functional Total</b>	<b>114,015</b>	<b>124,576</b>	<b>128,852</b>	<b>133,812</b>	<b>148,249</b>	<b>149,746</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	47,714	45,573	51,400	44,993	43,542	42,093
Parks, Recreation and Historic Preservation, Office of	2,972	3,264	3,152	3,160	3,307	3,341
<b>Functional Total</b>	<b>50,686</b>	<b>48,837</b>	<b>54,552</b>	<b>48,153</b>	<b>46,849</b>	<b>45,434</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	20,473	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	3,750	1,720	2,145	2,264	2,453	2,497
<b>Functional Total</b>	<b>24,223</b>	<b>22,706</b>	<b>25,460</b>	<b>26,382</b>	<b>28,416</b>	<b>29,112</b>
<b>HEALTH</b>						
Health, Department of	30,543	31,713	37,680	34,079	35,857	37,142
<i>Public Health</i>	30,543	31,713	37,680	34,079	35,857	37,142
<b>Functional Total</b>	<b>30,543</b>	<b>31,713</b>	<b>37,680</b>	<b>34,079</b>	<b>35,857</b>	<b>37,142</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,656	1,658	2,043	1,794	1,824	1,824
<i>OCFS</i>	1,656	1,658	2,043	1,794	1,824	1,824
Housing and Community Renewal, Division of	5,449	16,045	23,120	23,120	23,120	26,349
Labor, Department of	19,497	20,895	20,487	20,487	20,487	23,005
Temporary and Disability Assistance, Office of	122	12	128	128	128	128
<i>All Other</i>	122	12	128	128	128	128
<b>Functional Total</b>	<b>26,724</b>	<b>38,610</b>	<b>45,778</b>	<b>45,529</b>	<b>45,559</b>	<b>51,306</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	33,726	36,956	0	0	0	0
<i>OASAS</i>	13,803	14,792	0	0	0	0
<i>OASAS - Other</i>	19,923	22,164	0	0	0	0
Justice Center	850	649	874	929	993	1,060
Mental Health, Office of	621,496	650,727	0	0	0	0
<i>OMH</i>	192,230	193,397	0	0	0	0
<i>OMH - Other</i>	429,266	457,330	0	0	0	0
People with Developmental Disabilities, Office for	637,200	683,801	0	0	0	0
<i>OPWDD - Other</i>	637,200	683,801	0	0	0	0
<b>Functional Total</b>	<b>1,293,272</b>	<b>1,372,133</b>	<b>874</b>	<b>929</b>	<b>993</b>	<b>1,060</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	101	117	106	106	106	106
Criminal Justice Services, Division of	33	35	35	35	35	35
Homeland Security and Emergency Services, Division of	666	615	809	817	835	905
Indigent Legal Services, Office of	967	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	9	6	59	9	9	10
State Police, Division of	18,111	14,072	23,900	24,810	25,153	25,323
Victim Services, Office of	1,570	1,638	1,650	1,650	1,650	1,683
<b>Functional Total</b>	<b>21,457</b>	<b>17,552</b>	<b>28,465</b>	<b>29,430</b>	<b>29,905</b>	<b>30,301</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>HIGHER EDUCATION</b>						
City University of New York	7,661	145	150	150	150	150
Higher Education - Miscellaneous	143	81	150	150	150	150
Higher Education Services Corporation, New York State	8,524	8,131	9,020	9,020	9,020	9,020
State University of New York	420,283	430,860	485,071	500,566	514,120	504,273
<b>Functional Total</b>	<b>436,611</b>	<b>439,217</b>	<b>494,391</b>	<b>509,886</b>	<b>523,440</b>	<b>513,593</b>
<b>EDUCATION</b>						
Education, Department of	32,142	34,543	37,463	38,792	42,452	43,195
<i>All Other</i>	32,142	34,543	37,463	38,792	42,452	43,195
<b>Functional Total</b>	<b>32,142</b>	<b>34,543</b>	<b>37,463</b>	<b>38,792</b>	<b>42,452</b>	<b>43,195</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	776	712	1,657	1,657	1,717	1,657
Civil Service, Department of	84	180	229	239	263	270
Deferred Compensation Board	195	224	205	205	205	205
Gaming Commission, New York State	12,777	16,540	17,575	17,575	18,465	17,575
General Services, Office of	407	369	548	567	627	644
State, Department of	9,623	10,433	12,110	12,524	13,743	14,003
Taxation and Finance, Department of	25,017	22,635	27,264	27,063	28,183	27,072
Workers' Compensation Board	48,919	52,395	53,220	53,402	57,863	57,863
<b>Functional Total</b>	<b>97,798</b>	<b>103,488</b>	<b>112,808</b>	<b>113,232</b>	<b>121,066</b>	<b>119,289</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,605	1,677	2,135	2,135	2,167	2,167
Judiciary	702,163	750,196	774,229	785,729	785,729	785,729
Law, Department of	14,731	16,578	19,302	19,579	20,612	20,612
<b>Functional Total</b>	<b>718,499</b>	<b>768,451</b>	<b>795,666</b>	<b>807,443</b>	<b>808,508</b>	<b>808,508</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Miscellaneous	5,412	8,445	5,747	5,749	5,761	5,766
<b>Functional Total</b>	<b>4,788,355</b>	<b>4,851,260</b>	<b>6,648,030</b>	<b>7,025,960</b>	<b>7,564,722</b>	<b>8,134,839</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>7,634,325</b>	<b>7,853,086</b>	<b>8,410,019</b>	<b>8,813,627</b>	<b>9,396,016</b>	<b>9,963,525</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	2,544	0	0	0	0	0
<b>Functional Total</b>	<u>2,544</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>2,544</u></u>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,337	8,264	64,699	16,915	5,415	5,415
Economic Development Capital	1,844	2,751	22,587	20,270	19,569	29,069
Economic Development, Department of	4,617	8,964	13,977	0	5,249	0
Empire State Development Corporation	985,203	1,082,775	1,541,774	1,750,951	1,798,531	1,659,955
Energy Research and Development Authority	14,545	17,109	22,877	17,595	15,720	14,001
Olympic Regional Development Authority	7,500	38,000	50,000	20,000	10,000	10,000
Power Authority, New York	1,916	243	13,750	29,000	32,700	2,000
Regional Economic Development Program	4,156	2,030	3,500	320	320	320
Strategic Investment Program	683	1,625	7,002	6,317	10,494	6,317
<b>Functional Total</b>	<b>1,024,801</b>	<b>1,161,761</b>	<b>1,740,166</b>	<b>1,861,368</b>	<b>1,897,998</b>	<b>1,727,077</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	692,871	657,267	909,744	967,845	987,862	1,061,711
Hudson River Park Trust	0	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	151,320	179,957	177,903	179,732	164,914	165,364
<b>Functional Total</b>	<b>844,191</b>	<b>837,224</b>	<b>1,087,647</b>	<b>1,152,577</b>	<b>1,162,776</b>	<b>1,237,075</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	250,000	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	217,104	221,992	228,495	231,724	243,144	224,758
Transportation, Department of	4,887,049	4,564,825	4,686,596	4,540,859	4,684,928	4,733,816
<b>Functional Total</b>	<b>5,354,153</b>	<b>4,851,817</b>	<b>5,715,091</b>	<b>5,447,583</b>	<b>5,122,928</b>	<b>4,958,574</b>
<b>HEALTH</b>						
Health, Department of	105,396	211,303	580,865	739,365	711,622	690,173
<i>Public Health</i>	105,396	211,303	580,865	739,365	711,622	690,173
<b>Functional Total</b>	<b>105,396</b>	<b>211,303</b>	<b>580,865</b>	<b>739,365</b>	<b>711,622</b>	<b>690,173</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	24,392	41,259	68,695	22,872	23,050	22,906
<i>OCFS</i>	24,392	41,259	68,695	22,872	23,050	22,906
Housing and Community Renewal, Division of	130,959	278,873	767,215	504,252	503,023	459,023
Nonprofit Infrastructure Capital Investment Program	0	6,951	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	54,596	48,051	60,650	62,907	62,907	62,907
<i>All Other</i>	54,596	48,051	60,650	62,907	62,907	62,907
<b>Functional Total</b>	<b>209,947</b>	<b>375,134</b>	<b>969,088</b>	<b>634,531</b>	<b>605,029</b>	<b>549,836</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	55,331	39,927	67,922	80,886	84,444	57,592
<i>OASAS</i>	55,331	39,927	67,922	80,886	84,444	57,592
Mental Health, Office of	184,328	284,683	243,144	226,853	228,624	256,901
<i>OMH</i>	184,328	284,683	243,144	226,853	228,624	256,901
People with Developmental Disabilities, Office for	69,870	72,053	92,577	93,170	87,316	88,536
<i>OPWDD</i>	69,870	72,053	92,577	93,170	87,316	88,536
<b>Functional Total</b>	<b>309,529</b>	<b>396,663</b>	<b>403,643</b>	<b>400,909</b>	<b>400,384</b>	<b>403,029</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	303,275	355,744	378,637	342,168	316,496	316,985
Homeland Security and Emergency Services, Division of	64,492	85,364	42,256	59,539	36,000	37,000
Military and Naval Affairs, Division of	70,039	63,307	47,692	66,313	48,844	42,244
State Police, Division of	31,235	72,903	44,725	42,684	52,753	51,488
<b>Functional Total</b>	<b>469,041</b>	<b>577,318</b>	<b>513,310</b>	<b>510,704</b>	<b>454,093</b>	<b>447,717</b>
<b>HIGHER EDUCATION</b>						
City University of New York	36,527	36,608	35,900	36,620	37,352	37,352
Higher Education Facilities Capital Matching Grants Program	0	4,425	12,500	11,875	14,250	6,650
State University of New York	760,044	940,056	951,643	923,016	940,193	944,473
<b>Functional Total</b>	<b>796,571</b>	<b>981,089</b>	<b>1,000,043</b>	<b>971,511</b>	<b>991,795</b>	<b>988,475</b>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>EDUCATION</b>						
Education, Department of	54,635	117,147	375,500	652,368	451,557	311,500
<i>School Aid</i>	35,205	91,584	275,000	575,000	400,000	250,000
<i>All Other</i>	19,430	25,563	100,500	77,368	51,557	61,500
<b>Functional Total</b>	<b>54,635</b>	<b>117,147</b>	<b>375,500</b>	<b>652,368</b>	<b>451,557</b>	<b>311,500</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	92,531	128,849	209,828	187,662	190,879	154,517
State, Department of	866	1,233	12,767	62,000	50,000	40,000
Technology, Office for	86,220	102,831	118,865	18,751	24,700	33,700
Workers' Compensation Board	3,752	4,824	2,500	30,000	35,806	0
<b>Functional Total</b>	<b>183,369</b>	<b>237,737</b>	<b>343,960</b>	<b>298,413</b>	<b>301,385</b>	<b>228,217</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	2,100	0	5,024	1,400	0	0
Judiciary	0	13,943	15,000	3,500	0	0
Law, Department of	6,201	5,035	9,452	968	0	0
<b>Functional Total</b>	<b>8,301</b>	<b>18,978</b>	<b>29,476</b>	<b>5,868</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	15,000	5,000	0	0
Miscellaneous	147,950	91,065	(453,093)	(459,282)	(495,000)	241,000
Special Infrastructure Account	649,103	783,139	848,864	878,602	602,050	427,650
<b>Functional Total</b>	<b>797,053</b>	<b>874,204</b>	<b>410,771</b>	<b>424,320</b>	<b>107,050</b>	<b>668,650</b>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<b>10,156,987</b>	<b>10,640,375</b>	<b>13,169,560</b>	<b>13,099,517</b>	<b>12,206,617</b>	<b>12,210,323</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b><i>Agriculture and Markets, Department of</i></b>	<b>96,908</b>	<b>95,102</b>	<b>158,101</b>	<b>100,905</b>	<b>85,806</b>	<b>100,633</b>
Local Assistance	31,980	32,318	38,271	28,757	24,757	24,907
State Operations	56,825	51,225	51,083	51,122	51,233	65,714
Personal Service	34,188	34,271	32,871	32,841	33,956	32,841
Non-Personal Service/Indirect Costs	22,637	16,954	18,212	18,281	17,277	32,873
General State Charges	3,766	3,295	4,048	4,111	4,401	4,597
Capital Projects	4,337	8,264	64,699	16,915	5,415	5,415
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>11,248</b>	<b>11,819</b>	<b>12,483</b>	<b>11,244</b>	<b>11,560</b>	<b>11,244</b>
State Operations	11,127	11,819	12,483	11,244	11,560	11,244
Personal Service	7,742	8,131	8,147	8,585	8,901	8,585
Non-Personal Service/Indirect Costs	3,385	3,688	4,336	2,659	2,659	2,659
General State Charges	121	0	0	0	0	0
<b><i>Economic Development Capital</i></b>	<b>1,844</b>	<b>2,751</b>	<b>22,587</b>	<b>20,270</b>	<b>19,569</b>	<b>29,069</b>
Local Assistance	1,844	2,751	0	(1,330)	(1,293)	(1,793)
Capital Projects	0	0	22,587	21,600	20,862	30,862
<b><i>Economic Development, Department of</i></b>	<b>84,240</b>	<b>88,621</b>	<b>99,695</b>	<b>79,263</b>	<b>84,512</b>	<b>84,113</b>
Local Assistance	62,448	68,718	68,154	61,699	61,423	66,549
State Operations	21,775	19,891	17,536	17,536	17,536	17,536
Personal Service	13,152	13,243	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	8,623	6,648	4,607	4,607	4,607	4,607
General State Charges	0	2	28	28	28	28
Capital Projects	17	10	13,977	0	5,525	0
<b><i>Empire State Development Corporation</i></b>	<b>1,107,501</b>	<b>1,207,655</b>	<b>1,637,350</b>	<b>1,837,697</b>	<b>1,890,277</b>	<b>1,751,701</b>
Local Assistance	1,031,640	1,136,529	1,349,598	1,144,790	1,145,709	1,336,830
Capital Projects	75,861	71,126	287,752	692,907	744,568	414,871
<b><i>Energy Research and Development Authority</i></b>	<b>14,545</b>	<b>17,109</b>	<b>22,877</b>	<b>17,595</b>	<b>15,720</b>	<b>14,001</b>
Capital Projects	14,545	17,109	22,877	17,595	15,720	14,001
<b><i>Financial Services, Department of</i></b>	<b>362,898</b>	<b>357,811</b>	<b>375,435</b>	<b>379,971</b>	<b>398,875</b>	<b>392,512</b>
Local Assistance	66,703	57,254	64,954	61,886	61,855	61,855
State Operations	209,543	209,633	210,309	213,943	220,225	214,088
Personal Service	151,522	154,146	156,697	157,475	163,459	157,616
Non-Personal Service/Indirect Costs	58,021	55,487	53,612	56,468	56,766	56,472
General State Charges	86,652	90,924	100,172	104,142	116,795	116,569
<b><i>Olympic Regional Development Authority</i></b>	<b>10,236</b>	<b>40,736</b>	<b>61,293</b>	<b>25,886</b>	<b>15,886</b>	<b>15,886</b>
Local Assistance	0	0	2,360	0	0	0
State Operations	2,736	2,736	8,933	5,886	5,886	5,886
Personal Service	2,548	2,548	5,595	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	188	188	3,338	3,338	3,338	3,338
Capital Projects	7,500	38,000	50,000	20,000	10,000	10,000
<b><i>Power Authority, New York</i></b>	<b>1,916</b>	<b>243</b>	<b>13,750</b>	<b>29,000</b>	<b>32,700</b>	<b>2,000</b>
Local Assistance	1,916	83	0	0	0	0
Capital Projects	0	160	13,750	29,000	32,700	2,000
<b><i>Public Service Department</i></b>	<b>78,938</b>	<b>88,976</b>	<b>80,639</b>	<b>81,610</b>	<b>83,839</b>	<b>83,886</b>
Local Assistance	0	102	136	136	136	136
State Operations	51,775	54,691	52,019	52,069	52,682	51,069
Personal Service	42,918	44,476	43,302	43,302	44,913	43,302
Non-Personal Service/Indirect Costs	8,857	10,215	8,717	8,767	7,769	7,767
General State Charges	27,163	34,183	28,484	29,405	31,021	32,681
<b><i>Regional Economic Development Program</i></b>	<b>4,156</b>	<b>2,030</b>	<b>3,500</b>	<b>320</b>	<b>320</b>	<b>320</b>
Local Assistance	4,156	2,030	0	(17)	(17)	(17)
Capital Projects	0	0	3,500	337	337	337
<b><i>Strategic Investment Program</i></b>	<b>683</b>	<b>1,625</b>	<b>7,002</b>	<b>6,317</b>	<b>10,494</b>	<b>6,317</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Local Assistance	683	1,625	0	(333)	(552)	(333)
Capital Projects	0	0	7,002	6,650	11,046	6,650
<b>Functional Total</b>	<b>1,775,113</b>	<b>1,914,478</b>	<b>2,494,712</b>	<b>2,590,078</b>	<b>2,649,558</b>	<b>2,491,682</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,547</b>	<b>4,468</b>	<b>4,819</b>	<b>4,764</b>	<b>4,922</b>	<b>4,764</b>
State Operations	4,547	4,468	4,819	4,764	4,922	4,764
Personal Service	4,112	4,179	4,108	4,108	4,266	4,108
Non-Personal Service/Indirect Costs	435	289	711	656	656	656
<b>Environmental Conservation, Department of</b>	<b>1,026,898</b>	<b>974,519</b>	<b>1,235,581</b>	<b>1,282,333</b>	<b>1,314,464</b>	<b>1,380,600</b>
Local Assistance	327,482	345,907	190,095	398,024	409,785	453,358
State Operations	269,816	252,567	252,967	249,523	262,065	255,420
Personal Service	202,238	200,579	198,228	196,129	205,507	198,942
Non-Personal Service/Indirect Costs	67,578	51,988	54,739	53,394	56,558	56,478
General State Charges	62,106	62,194	68,775	61,946	61,518	60,450
Capital Projects	367,494	313,851	723,744	572,840	581,096	611,372
<b>Hudson River Park Trust</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,000</b>	<b>10,000</b>	<b>10,000</b>
Capital Projects	0	0	0	5,000	10,000	10,000
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>353,753</b>	<b>366,435</b>	<b>363,673</b>	<b>363,461</b>	<b>355,283</b>	<b>350,862</b>
Local Assistance	13,281	9,463	7,126	6,820	6,820	6,820
State Operations	183,671	173,759	175,492	173,749	180,218	175,313
Personal Service	138,056	132,575	134,952	133,494	139,959	135,054
Non-Personal Service/Indirect Costs	45,615	41,184	40,540	40,255	40,259	40,259
General State Charges	2,972	3,264	3,152	3,160	3,331	3,365
Capital Projects	153,829	179,949	177,903	179,732	164,914	165,364
<b>Functional Total</b>	<b>1,385,198</b>	<b>1,345,422</b>	<b>1,604,073</b>	<b>1,655,558</b>	<b>1,684,669</b>	<b>1,746,226</b>
<b>TRANSPORTATION</b>						
<b>Metropolitan Transportation Authority</b>	<b>250,000</b>	<b>65,000</b>	<b>800,000</b>	<b>675,000</b>	<b>194,856</b>	<b>0</b>
Local Assistance	250,000	65,000	800,000	675,000	194,856	0
<b>Motor Vehicles, Department of</b>	<b>308,315</b>	<b>312,091</b>	<b>339,279</b>	<b>343,170</b>	<b>357,707</b>	<b>339,654</b>
Local Assistance	15,199	14,833	18,375	18,000	18,000	18,000
State Operations	54,854	53,425	68,374	68,608	69,794	69,475
Personal Service	37,012	37,707	48,753	48,923	50,011	50,301
Non-Personal Service/Indirect Costs	17,842	15,718	19,621	19,685	19,783	19,174
General State Charges	21,158	21,841	24,035	24,838	26,769	27,421
Capital Projects	217,104	221,992	228,495	231,724	243,144	224,758
<b>Transportation, Department of</b>	<b>9,950,606</b>	<b>9,667,708</b>	<b>8,978,344</b>	<b>8,577,087</b>	<b>8,769,003</b>	<b>8,954,243</b>
Local Assistance	6,331,110	6,168,328	5,053,351	4,740,864	4,774,959	4,900,023
State Operations	31,448	26,750	304,289	367,827	369,023	373,788
Personal Service	12,251	11,964	172,302	181,039	181,178	181,039
Non-Personal Service/Indirect Costs	19,197	14,786	131,987	186,788	187,845	192,749
General State Charges	6,299	6,070	8,695	8,811	8,836	8,987
Capital Projects	3,581,749	3,466,560	3,612,009	3,459,585	3,616,185	3,671,445
<b>Functional Total</b>	<b>10,508,921</b>	<b>10,044,799</b>	<b>10,117,623</b>	<b>9,595,257</b>	<b>9,321,566</b>	<b>9,293,897</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>225,544</b>	<b>234,525</b>	<b>235,956</b>	<b>240,768</b>	<b>247,883</b>	<b>253,152</b>
Local Assistance	213,003	221,725	224,924	228,531	235,461	240,773
State Operations	12,541	12,800	11,032	12,237	12,422	12,379
Personal Service	7,196	7,079	7,646	7,782	7,967	7,924
Non-Personal Service/Indirect Costs	5,345	5,721	3,386	4,455	4,455	4,455
<b>Health, Department of</b>	<b>60,565,508</b>	<b>66,062,707</b>	<b>70,391,609</b>	<b>74,167,847</b>	<b>75,887,110</b>	<b>78,350,743</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>Medical Assistance</b>	<b>51,178,257</b>	<b>55,644,622</b>	<b>58,800,383</b>	<b>62,185,233</b>	<b>63,885,628</b>	<b>66,324,670</b>
Local Assistance	51,178,257	55,644,622	58,800,383	62,185,233	63,885,628	66,324,670
<b>Essential Plan</b>	<b>3,569,893</b>	<b>3,962,563</b>	<b>4,777,550</b>	<b>5,046,998</b>	<b>5,091,168</b>	<b>5,101,502</b>
Local Assistance	3,526,056	3,874,925	4,690,827	4,962,774	5,012,411	5,025,105
State Operations	43,837	87,638	86,723	84,224	78,757	76,397
Personal Service	1,367	3,148	4,206	4,580	4,418	4,384
Non-Personal Service/Indirect Costs	42,470	84,490	82,517	79,644	74,339	72,013
<b>Medicaid Administration</b>	<b>1,518,498</b>	<b>1,356,021</b>	<b>1,793,664</b>	<b>1,640,501</b>	<b>1,587,138</b>	<b>1,578,723</b>
Local Assistance	843,305	716,713	989,609	832,585	816,303	800,731
State Operations	673,671	636,474	797,840	801,274	763,786	770,636
Personal Service	71,191	69,223	100,758	108,802	115,113	112,482
Non-Personal Service/Indirect Costs	602,480	567,251	697,082	692,472	648,673	658,154
General State Charges	1,522	2,834	6,215	6,642	7,049	7,356
<b>Public Health</b>	<b>4,298,860</b>	<b>5,099,501</b>	<b>5,020,012</b>	<b>5,295,115</b>	<b>5,323,176</b>	<b>5,345,848</b>
Local Assistance	3,482,012	4,246,415	4,151,627	4,463,057	4,531,925	4,559,383
State Operations	708,621	732,966	673,210	644,667	651,412	645,307
Personal Service	288,062	281,163	285,585	282,501	288,211	282,463
Non-Personal Service/Indirect Costs	420,559	451,803	387,625	362,166	363,201	362,844
General State Charges	67,062	64,888	82,831	75,047	79,495	80,814
Capital Projects	41,165	55,232	112,344	112,344	60,344	60,344
<b>Medicaid Inspector General, Office of the</b>	<b>50,994</b>	<b>46,446</b>	<b>48,043</b>	<b>48,406</b>	<b>50,445</b>	<b>49,224</b>
State Operations	41,851	37,092	38,175	38,175	39,438	38,217
Personal Service	32,737	31,681	30,066	30,066	31,287	30,066
Non-Personal Service/Indirect Costs	9,114	5,411	8,109	8,109	8,151	8,151
General State Charges	9,143	9,354	9,868	10,231	11,007	11,007
<b>Functional Total</b>	<b>60,842,046</b>	<b>66,343,678</b>	<b>70,675,608</b>	<b>74,457,021</b>	<b>76,185,438</b>	<b>78,653,119</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>2,941,351</b>	<b>3,139,782</b>	<b>2,996,327</b>	<b>3,018,635</b>	<b>3,107,833</b>	<b>3,147,469</b>
<b>OCFS</b>	<b>2,859,104</b>	<b>3,062,420</b>	<b>2,911,585</b>	<b>2,927,828</b>	<b>3,013,846</b>	<b>3,049,318</b>
Local Assistance	2,494,992	2,686,986	2,444,629	2,419,884	2,435,309	2,466,326
State Operations	328,252	321,311	380,776	472,047	541,423	546,022
Personal Service	194,832	197,353	221,612	290,723	345,683	346,401
Non-Personal Service/Indirect Costs	133,420	123,958	159,164	181,324	195,740	199,621
General State Charges	11,468	12,864	17,485	13,025	14,064	14,064
Capital Projects	24,392	41,259	68,695	22,872	23,050	22,906
<b>OCFS - Other</b>	<b>82,247</b>	<b>77,362</b>	<b>84,742</b>	<b>90,807</b>	<b>93,987</b>	<b>98,151</b>
Local Assistance	82,247	77,362	84,742	90,807	93,987	98,151
<b>Housing and Community Renewal, Division of</b>	<b>256,695</b>	<b>420,810</b>	<b>915,803</b>	<b>682,871</b>	<b>686,523</b>	<b>646,806</b>
Local Assistance	187,653	340,738	822,907	589,975	589,399	546,074
State Operations	59,782	60,527	62,771	62,771	66,999	65,882
Personal Service	49,632	47,478	50,423	50,423	53,775	52,362
Non-Personal Service/Indirect Costs	10,150	13,049	12,348	12,348	13,224	13,520
General State Charges	9,260	19,545	27,125	27,125	27,125	31,850
Capital Projects	0	0	3,000	3,000	3,000	3,000
<b>Human Rights, Division of</b>	<b>14,890</b>	<b>14,612</b>	<b>14,343</b>	<b>14,343</b>	<b>15,257</b>	<b>14,990</b>
State Operations	14,890	14,612	14,343	14,343	15,257	14,990
Personal Service	12,205	12,315	12,596	12,596	13,475	13,173
Non-Personal Service/Indirect Costs	2,685	2,297	1,747	1,747	1,782	1,817
<b>Labor, Department of</b>	<b>534,361</b>	<b>562,650</b>	<b>564,441</b>	<b>550,739</b>	<b>568,320</b>	<b>597,462</b>
Local Assistance	150,118	161,244	166,554	152,042	152,042	152,042
State Operations	273,212	280,007	282,017	282,017	299,598	305,198
Personal Service	204,836	206,559	192,484	192,484	206,660	208,852
Non-Personal Service/Indirect Costs	68,376	73,448	89,533	89,533	92,938	96,346



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
General State Charges	111,031	121,399	115,870	116,680	116,680	140,222
<b>National and Community Service</b>	<b>17,282</b>	<b>15,097</b>	<b>16,564</b>	<b>16,571</b>	<b>16,904</b>	<b>17,223</b>
Local Assistance	267	477	350	350	350	350
State Operations	17,015	14,620	15,985	15,985	16,312	16,631
Personal Service	465	551	708	708	730	738
Non-Personal Service/Indirect Costs	16,550	14,069	15,277	15,277	15,582	15,893
General State Charges	0	0	229	236	242	242
<b>Nonprofit Infrastructure Capital Investment Program</b>	<b>0</b>	<b>6,951</b>	<b>47,500</b>	<b>44,500</b>	<b>16,049</b>	<b>5,000</b>
Local Assistance	0	6,951	0	0	0	0
Capital Projects	0	0	47,500	44,500	16,049	5,000
<b>Roosevelt Island Operating Corporation</b>	<b>0</b>	<b>0</b>	<b>25,028</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	0	0	25,028	0	0	0
<b>Temporary and Disability Assistance, Office of</b>	<b>4,722,939</b>	<b>4,614,149</b>	<b>5,425,388</b>	<b>5,197,479</b>	<b>5,204,772</b>	<b>5,222,556</b>
<b>Welfare Assistance</b>	<b>3,430,246</b>	<b>3,297,890</b>	<b>4,104,397</b>	<b>3,867,942</b>	<b>3,867,942</b>	<b>3,867,942</b>
Local Assistance	3,430,246	3,297,890	4,104,397	3,867,942	3,867,942	3,867,942
<b>All Other</b>	<b>1,292,693</b>	<b>1,316,259</b>	<b>1,320,991</b>	<b>1,329,537</b>	<b>1,336,830</b>	<b>1,354,614</b>
Local Assistance	963,125	979,490	978,943	995,303	998,103	1,001,903
State Operations	286,879	283,573	291,517	288,936	293,429	299,145
Personal Service	154,062	153,573	154,575	151,358	154,727	157,833
Non-Personal Service/Indirect Costs	132,817	130,000	136,942	137,578	138,702	141,312
General State Charges	42,343	52,395	49,731	44,498	44,498	52,766
Capital Projects	346	801	800	800	800	800
<b>Functional Total</b>	<b>8,487,518</b>	<b>8,774,051</b>	<b>10,005,394</b>	<b>9,525,138</b>	<b>9,615,658</b>	<b>9,651,506</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>604,265</b>	<b>596,829</b>	<b>627,105</b>	<b>669,588</b>	<b>693,117</b>	<b>681,198</b>
<b>OASAS</b>	<b>514,925</b>	<b>503,832</b>	<b>562,096</b>	<b>603,379</b>	<b>625,466</b>	<b>612,820</b>
Local Assistance	458,058	448,258	512,283	552,537	573,507	561,062
State Operations	41,998	38,975	44,580	46,210	47,200	46,858
Personal Service	24,808	25,461	29,239	30,204	30,923	30,234
Non-Personal Service/Indirect Costs	17,190	13,514	15,341	16,006	16,277	16,624
General State Charges	13,803	14,792	2,384	2,028	2,097	2,190
Capital Projects	1,066	1,807	2,849	2,604	2,662	2,710
<b>OASAS - Other</b>	<b>89,340</b>	<b>92,997</b>	<b>65,009</b>	<b>66,209</b>	<b>67,651</b>	<b>68,378</b>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	48,092	49,508	43,684	44,884	46,326	47,053
Personal Service	34,856	36,074	33,257	33,781	34,797	35,092
Non-Personal Service/Indirect Costs	13,236	13,434	10,427	11,103	11,529	11,961
General State Charges	19,923	22,164	0	0	0	0
<b>Developmental Disabilities Planning Council</b>	<b>3,892</b>	<b>4,378</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>
State Operations	3,661	3,508	3,415	3,415	3,415	3,415
Personal Service	976	1,007	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,685	2,501	2,149	2,149	2,149	2,149
General State Charges	231	870	785	785	785	785
<b>Justice Center</b>	<b>41,625</b>	<b>41,365</b>	<b>43,807</b>	<b>45,660</b>	<b>47,626</b>	<b>47,048</b>
Local Assistance	679	619	649	649	649	649
State Operations	40,096	40,037	42,220	44,018	45,920	45,274
Personal Service	29,334	31,552	32,997	34,351	35,990	35,061
Non-Personal Service/Indirect Costs	10,762	8,485	9,223	9,667	9,930	10,213
General State Charges	850	709	938	993	1,057	1,125
<b>Mental Health, Office of</b>	<b>3,379,732</b>	<b>3,501,554</b>	<b>2,922,979</b>	<b>3,038,773</b>	<b>3,160,002</b>	<b>3,289,992</b>
<b>OMH</b>	<b>1,684,533</b>	<b>1,778,348</b>	<b>1,604,462</b>	<b>1,722,020</b>	<b>1,799,167</b>	<b>1,890,748</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Local Assistance	1,001,866	1,016,591	1,082,042	1,189,001	1,256,233	1,313,333
State Operations	350,032	352,420	323,820	356,943	360,343	367,731
Personal Service	289,320	289,317	270,901	311,937	314,937	320,987
Non-Personal Service/Indirect Costs	60,712	63,103	52,919	45,006	45,406	46,744
General State Charges	192,665	194,563	456	469	469	469
Capital Projects	139,970	214,774	198,144	175,607	182,122	209,215
<b>OMH - Other</b>	<b>1,695,199</b>	<b>1,723,206</b>	<b>1,318,517</b>	<b>1,316,753</b>	<b>1,360,835</b>	<b>1,399,244</b>
Local Assistance	270,500	265,389	299,325	309,711	320,630	332,099
State Operations	995,433	1,000,487	1,019,192	1,007,042	1,040,205	1,067,145
Personal Service	784,057	783,120	816,887	784,927	805,641	810,776
Non-Personal Service/Indirect Costs	211,376	217,367	202,305	222,115	234,564	256,369
General State Charges	429,266	457,330	0	0	0	0
<b>Mental Hygiene, Department of</b>	<b>227</b>	<b>204</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	227	204	0	0	0	0
Non-Personal Service/Indirect Costs	227	204	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>2,974,349</b>	<b>2,935,355</b>	<b>1,949,169</b>	<b>2,240,454</b>	<b>2,681,095</b>	<b>2,605,772</b>
<b>OPWDD</b>	<b>455,861</b>	<b>479,874</b>	<b>482,029</b>	<b>477,995</b>	<b>478,070</b>	<b>489,467</b>
Local Assistance	385,635	409,319	392,150	387,523	393,452	403,629
State Operations	1,173	546	1,181	1,181	1,181	1,181
Personal Service	0	124	0	0	0	0
Non-Personal Service/Indirect Costs	1,173	422	1,181	1,181	1,181	1,181
Capital Projects	69,053	70,009	88,698	89,291	83,437	84,657
<b>OPWDD - Other</b>	<b>2,518,488</b>	<b>2,455,481</b>	<b>1,467,140</b>	<b>1,762,459</b>	<b>2,203,025</b>	<b>2,116,305</b>
Local Assistance	583,954	438,663	141,501	434,826	838,871	737,192
State Operations	1,297,334	1,333,017	1,325,639	1,327,633	1,364,154	1,379,113
Personal Service	1,092,002	1,129,750	1,137,939	1,145,989	1,177,306	1,186,856
Non-Personal Service/Indirect Costs	205,332	203,267	187,700	181,644	186,848	192,257
General State Charges	637,200	683,801	0	0	0	0
<b>Functional Total</b>	<b>7,004,090</b>	<b>7,079,685</b>	<b>5,547,260</b>	<b>5,998,675</b>	<b>6,586,040</b>	<b>6,628,210</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,431</b>	<b>2,594</b>	<b>2,651</b>	<b>2,651</b>	<b>2,773</b>	<b>2,735</b>
State Operations	2,431	2,594	2,651	2,651	2,773	2,735
Personal Service	2,234	2,316	2,414	2,414	2,531	2,488
Non-Personal Service/Indirect Costs	197	278	237	237	242	247
<b>Correctional Services, Department of</b>	<b>2,971,422</b>	<b>3,017,424</b>	<b>3,007,745</b>	<b>2,983,649</b>	<b>2,963,607</b>	<b>2,955,466</b>
Local Assistance	4,457	5,686	4,836	4,836	4,836	4,836
State Operations	2,662,598	2,654,783	2,623,125	2,635,498	2,641,128	2,632,498
Personal Service	2,134,801	2,124,813	2,058,585	2,072,089	2,077,719	2,072,089
Non-Personal Service/Indirect Costs	527,797	529,970	564,540	563,409	563,409	560,409
General State Charges	1,092	1,211	1,147	1,147	1,147	1,147
Capital Projects	303,275	355,744	378,637	342,168	316,496	316,985
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>2,000</b>	<b>2,000</b>	<b>2,000</b>	<b>0</b>
Local Assistance	0	0	2,000	2,000	2,000	0
<b>Criminal Justice Services, Division of</b>	<b>215,234</b>	<b>221,521</b>	<b>273,551</b>	<b>218,280</b>	<b>219,334</b>	<b>219,156</b>
Local Assistance	171,426	170,749	227,504	172,233	172,233	172,233
State Operations	43,444	50,120	45,664	45,664	46,718	46,540
Personal Service	31,283	32,935	32,184	32,184	33,238	32,794
Non-Personal Service/Indirect Costs	12,161	17,185	13,480	13,480	13,480	13,746
General State Charges	364	652	383	383	383	383
<b>Homeland Security and Emergency Services, Division of</b>	<b>1,432,507</b>	<b>1,524,755</b>	<b>1,104,289</b>	<b>1,015,977</b>	<b>1,037,113</b>	<b>1,049,907</b>
Local Assistance	1,353,983	1,430,243	999,186	919,295	949,313	959,610
State Operations	62,691	58,572	74,050	74,130	75,386	77,813
Personal Service	26,736	27,650	38,313	42,337	43,583	44,942

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	35,955	30,922	35,737	31,793	31,803	32,871
General State Charges	7,388	7,269	7,873	5,396	5,414	5,484
Capital Projects	8,445	28,671	23,180	17,156	7,000	7,000
<b>Indigent Legal Services, Office of</b>	<b>63,641</b>	<b>75,499</b>	<b>166,393</b>	<b>190,581</b>	<b>214,855</b>	<b>214,975</b>
Local Assistance	60,503	72,102	160,672	184,765	208,859	208,859
State Operations	2,171	2,328	3,815	3,813	3,879	3,877
Personal Service	1,678	1,873	2,982	2,982	3,048	3,034
Non-Personal Service/Indirect Costs	493	455	833	831	831	843
General State Charges	967	1,069	1,906	2,003	2,117	2,239
<b>Judicial Conduct, Commission on</b>	<b>5,505</b>	<b>5,552</b>	<b>5,696</b>	<b>5,708</b>	<b>5,936</b>	<b>6,114</b>
State Operations	5,505	5,552	5,696	5,708	5,936	6,114
Personal Service	4,181	4,238	4,312	4,347	4,548	4,483
Non-Personal Service/Indirect Costs	1,324	1,314	1,384	1,361	1,388	1,631
<b>Judicial Nomination, Commission on</b>	<b>10</b>	<b>10</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	10	10	30	30	30	30
Non-Personal Service/Indirect Costs	10	10	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>9</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	9	14	38	38	38	38
Non-Personal Service/Indirect Costs	9	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>130,428</b>	<b>132,339</b>	<b>117,695</b>	<b>132,423</b>	<b>115,685</b>	<b>109,816</b>
Local Assistance	786	819	820	820	820	886
State Operations	53,525	62,438	58,606	58,661	59,267	59,931
Personal Service	29,020	36,483	35,354	35,354	35,650	35,724
Non-Personal Service/Indirect Costs	24,505	25,955	23,252	23,307	23,617	24,207
General State Charges	6,078	5,775	10,577	6,629	6,754	6,755
Capital Projects	70,039	63,307	47,692	66,313	48,844	42,244
<b>State Police, Division of</b>	<b>788,957</b>	<b>853,462</b>	<b>825,638</b>	<b>819,284</b>	<b>855,565</b>	<b>869,716</b>
Local Assistance	91	62	0	0	0	0
State Operations	737,575	764,680	755,513	750,290	776,159	791,405
Personal Service	655,292	687,413	680,526	677,070	702,870	715,645
Non-Personal Service/Indirect Costs	82,283	77,267	74,987	73,220	73,289	75,760
General State Charges	20,056	15,817	25,400	26,310	26,653	26,823
Capital Projects	31,235	72,903	44,725	42,684	52,753	51,488
<b>Statewide Financial System</b>	<b>30,309</b>	<b>30,137</b>	<b>30,382</b>	<b>30,506</b>	<b>30,949</b>	<b>31,117</b>
State Operations	30,309	30,137	30,382	30,506	30,949	31,117
Personal Service	11,045	11,094	11,758	11,882	12,325	12,121
Non-Personal Service/Indirect Costs	19,264	19,043	18,624	18,624	18,624	18,996
<b>Victim Services, Office of</b>	<b>73,166</b>	<b>60,952</b>	<b>83,227</b>	<b>85,780</b>	<b>85,948</b>	<b>86,735</b>
Local Assistance	65,814	52,669	74,956	77,744	77,744	78,492
State Operations	5,782	6,645	6,621	6,386	6,554	6,560
Personal Service	4,638	5,044	4,834	4,834	5,002	4,985
Non-Personal Service/Indirect Costs	1,144	1,601	1,787	1,552	1,552	1,575
General State Charges	1,570	1,638	1,650	1,650	1,650	1,683
<b>Functional Total</b>	<b>5,713,619</b>	<b>5,924,259</b>	<b>5,619,335</b>	<b>5,486,907</b>	<b>5,533,833</b>	<b>5,545,805</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,564,711</b>	<b>1,604,707</b>	<b>1,632,513</b>	<b>1,674,145</b>	<b>1,715,426</b>	<b>1,751,313</b>
Local Assistance	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
State Operations	96,394	102,801	102,726	104,111	105,040	105,980
Personal Service	48,975	52,623	48,148	48,594	49,045	49,501
Non-Personal Service/Indirect Costs	47,419	50,178	54,578	55,517	55,995	56,479
General State Charges	7,661	145	150	150	150	150
Capital Projects	36,527	36,608	35,900	36,620	37,352	37,352
<b>Higher Education - Miscellaneous</b>	<b>529</b>	<b>337</b>	<b>441</b>	<b>441</b>	<b>441</b>	<b>441</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
State Operations	386	256	291	291	291	291
Personal Service	283	169	198	198	198	198
Non-Personal Service/Indirect Costs	103	87	93	93	93	93
General State Charges	143	81	150	150	150	150
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>0</b>	<b>4,425</b>	<b>12,500</b>	<b>11,875</b>	<b>14,250</b>	<b>6,650</b>
Local Assistance	0	4,425	12,500	11,875	14,250	6,650
<b>Higher Education Services Corporation, New York State</b>	<b>1,020,224</b>	<b>934,399</b>	<b>1,139,603</b>	<b>1,215,862</b>	<b>1,234,135</b>	<b>1,241,241</b>
Local Assistance	957,549	879,384	1,080,675	1,156,934	1,175,207	1,182,313
State Operations	54,150	46,884	49,907	49,907	49,907	49,907
Personal Service	14,530	13,380	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	39,620	33,504	36,155	36,155	36,155	36,155
General State Charges	8,525	8,131	9,021	9,021	9,021	9,021
<b>State University of New York</b>	<b>7,985,267</b>	<b>8,281,819</b>	<b>8,430,602</b>	<b>8,481,627</b>	<b>8,671,895</b>	<b>8,627,665</b>
Local Assistance	491,891	489,533	482,289	484,643	484,643	484,643
State Operations	6,313,023	6,421,347	6,511,548	6,573,351	6,732,888	6,694,225
Personal Service	3,775,120	3,761,248	3,980,777	4,021,752	4,160,249	4,100,334
Non-Personal Service/Indirect Costs	2,537,903	2,660,099	2,530,771	2,551,599	2,572,639	2,593,891
General State Charges	420,308	430,884	485,122	500,617	514,171	504,324
Capital Projects	760,045	940,055	951,643	923,016	940,193	944,473
<b>Functional Total</b>	<b>10,570,731</b>	<b>10,825,687</b>	<b>11,215,659</b>	<b>11,383,950</b>	<b>11,636,147</b>	<b>11,627,310</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>43,884</b>	<b>39,750</b>	<b>46,113</b>	<b>45,953</b>	<b>46,049</b>	<b>45,953</b>
Local Assistance	39,959	35,490	41,693	41,533	41,533	41,533
State Operations	3,925	4,260	4,420	4,420	4,516	4,420
Personal Service	2,491	2,626	2,498	2,498	2,594	2,498
Non-Personal Service/Indirect Costs	1,434	1,634	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>33,672,197</b>	<b>33,883,898</b>	<b>35,574,505</b>	<b>36,802,006</b>	<b>37,840,579</b>	<b>39,045,261</b>
<b>School Aid</b>	<b>27,193,544</b>	<b>27,848,709</b>	<b>29,429,258</b>	<b>30,774,155</b>	<b>31,754,812</b>	<b>32,864,163</b>
Local Assistance	27,193,544	27,848,709	29,429,258	30,774,155	31,754,812	32,864,163
<b>School Aid – Other</b>	<b>57,079</b>	<b>61,169</b>	<b>99,342</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>
Local Assistance	57,079	61,169	99,342	78,195	78,195	78,195
<b>STAR Property Tax Relief</b>	<b>3,139,051</b>	<b>2,589,145</b>	<b>2,459,259</b>	<b>2,417,238</b>	<b>2,401,995</b>	<b>2,401,995</b>
Local Assistance	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<b>Special Education Categorical Programs</b>	<b>1,907,839</b>	<b>2,001,400</b>	<b>2,018,600</b>	<b>2,044,837</b>	<b>2,117,421</b>	<b>2,183,266</b>
Local Assistance	1,907,839	2,001,400	2,018,600	2,044,837	2,117,421	2,183,266
<b>All Other</b>	<b>1,374,684</b>	<b>1,383,475</b>	<b>1,568,046</b>	<b>1,487,581</b>	<b>1,488,156</b>	<b>1,517,642</b>
Local Assistance	979,302	985,722	1,133,833	1,061,060	1,053,213	1,075,279
State Operations	310,252	305,724	294,315	291,935	298,934	295,668
Personal Service	174,795	176,266	172,576	172,260	178,720	175,511
Non-Personal Service/Indirect Costs	135,457	129,458	121,739	119,675	120,214	120,157
General State Charges	79,985	85,316	88,398	91,218	98,452	99,195
Capital Projects	5,145	6,713	51,500	43,368	37,557	47,500
<b>Functional Total</b>	<b>33,716,081</b>	<b>33,923,648</b>	<b>35,620,618</b>	<b>36,847,959</b>	<b>37,886,628</b>	<b>39,091,214</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>25,335</b>	<b>27,312</b>	<b>30,423</b>	<b>29,596</b>	<b>30,603</b>	<b>29,596</b>
State Operations	24,559	26,600	28,766	27,939	28,886	27,939
Personal Service	20,619	21,683	24,567	24,567	25,511	24,567
Non-Personal Service/Indirect Costs	3,940	4,917	4,199	3,372	3,375	3,372
General State Charges	776	712	1,657	1,657	1,717	1,657
<b>Civil Service, Department of</b>	<b>13,379</b>	<b>14,975</b>	<b>13,560</b>	<b>13,680</b>	<b>14,189</b>	<b>13,815</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
State Operations	13,295	14,795	13,331	13,441	13,926	13,545
Personal Service	12,212	14,053	12,497	12,591	13,075	12,685
Non-Personal Service/Indirect Costs	1,083	742	834	850	851	860
General State Charges	84	180	229	239	263	270
<b>Deferred Compensation Board</b>	<b>613</b>	<b>675</b>	<b>846</b>	<b>853</b>	<b>854</b>	<b>853</b>
State Operations	418	451	641	648	649	648
Personal Service	368	408	410	413	414	413
Non-Personal Service/Indirect Costs	50	43	231	235	235	235
General State Charges	195	224	205	205	205	205
<b>Elections, State Board of</b>	<b>10,289</b>	<b>11,951</b>	<b>14,077</b>	<b>15,427</b>	<b>15,363</b>	<b>13,634</b>
Local Assistance	647	326	0	0	0	0
State Operations	9,593	11,625	13,927	15,127	15,063	13,334
Personal Service	5,863	6,176	6,383	6,768	7,051	6,958
Non-Personal Service/Indirect Costs	3,730	5,449	7,544	8,359	8,012	6,376
General State Charges	49	0	150	300	300	300
<b>Employee Relations, Office of</b>	<b>2,334</b>	<b>3,569</b>	<b>2,601</b>	<b>2,621</b>	<b>2,719</b>	<b>2,621</b>
State Operations	2,334	3,569	2,601	2,621	2,719	2,621
Personal Service	2,269	2,515	2,529	2,548	2,646	2,548
Non-Personal Service/Indirect Costs	65	1,054	72	73	73	73
<b>Gaming Commission, New York State</b>	<b>253,064</b>	<b>176,850</b>	<b>238,873</b>	<b>212,703</b>	<b>215,120</b>	<b>212,703</b>
Local Assistance	101,820	65,779	140,570	114,400	114,400	114,400
State Operations	138,467	94,531	80,728	80,728	82,255	80,728
Personal Service	32,822	34,862	38,757	38,757	40,248	38,757
Non-Personal Service/Indirect Costs	105,645	59,669	41,971	41,971	42,007	41,971
General State Charges	12,777	16,540	17,575	17,575	18,465	17,575
<b>General Services, Office of</b>	<b>262,189</b>	<b>280,851</b>	<b>355,679</b>	<b>329,454</b>	<b>331,533</b>	<b>293,798</b>
Local Assistance	0	0	250	250	250	250
State Operations	169,251	151,633	145,053	140,975	139,777	138,387
Personal Service	77,580	67,107	48,450	48,450	51,875	49,458
Non-Personal Service/Indirect Costs	91,671	84,526	96,603	92,525	87,902	88,929
General State Charges	407	369	548	567	627	644
Capital Projects	92,531	128,849	209,828	187,662	190,879	154,517
<b>Inspector General, Office of the</b>	<b>7,179</b>	<b>7,166</b>	<b>7,427</b>	<b>7,487</b>	<b>7,826</b>	<b>8,061</b>
State Operations	7,179	7,166	7,427	7,487	7,826	8,061
Personal Service	5,833	6,223	6,600	6,648	6,970	6,857
Non-Personal Service/Indirect Costs	1,346	943	827	839	856	1,204
<b>Labor Management Committees</b>	<b>28,818</b>	<b>24,773</b>	<b>31,300</b>	<b>31,306</b>	<b>31,517</b>	<b>31,306</b>
State Operations	28,818	24,773	31,300	31,306	31,517	31,306
Personal Service	7,871	7,747	5,446	5,487	5,698	5,487
Non-Personal Service/Indirect Costs	20,947	17,026	25,854	25,819	25,819	25,819
<b>Prevention of Domestic Violence, Office for</b>	<b>2,062</b>	<b>2,372</b>	<b>2,949</b>	<b>3,049</b>	<b>3,121</b>	<b>3,059</b>
Local Assistance	528	791	1,285	1,385	1,385	1,412
State Operations	1,534	1,581	1,664	1,664	1,736	1,647
Personal Service	1,368	1,435	1,469	1,491	1,524	1,431
Non-Personal Service/Indirect Costs	166	146	195	173	212	216
<b>Public Employment Relations Board</b>	<b>3,281</b>	<b>3,260</b>	<b>3,604</b>	<b>3,634</b>	<b>3,764</b>	<b>3,634</b>
State Operations	3,281	3,260	3,604	3,634	3,764	3,634
Personal Service	3,056	3,059	3,313	3,338	3,468	3,338
Non-Personal Service/Indirect Costs	225	201	291	296	296	296
<b>Public Integrity, Commission on</b>	<b>4,876</b>	<b>5,115</b>	<b>5,576</b>	<b>5,630</b>	<b>5,835</b>	<b>6,010</b>
State Operations	4,876	5,115	5,576	5,630	5,835	6,010
Personal Service	3,808	4,386	4,646	4,681	4,867	4,827
Non-Personal Service/Indirect Costs	1,068	729	930	949	968	1,183
<b>State, Department of</b>	<b>133,681</b>	<b>127,596</b>	<b>149,428</b>	<b>198,295</b>	<b>181,627</b>	<b>170,776</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Local Assistance	71,828	65,899	82,918	132,336	115,336	105,336
State Operations	51,058	48,251	48,824	48,625	49,737	48,625
Personal Service	32,134	32,267	31,532	31,482	32,570	31,482
Non-Personal Service/Indirect Costs	18,924	15,984	17,292	17,143	17,167	17,143
General State Charges	10,795	12,213	14,919	15,334	16,554	16,815
Capital Projects	0	1,233	2,767	2,000	0	0
<b>Tax Appeals, Division of</b>	<b>2,833</b>	<b>2,688</b>	<b>3,040</b>	<b>3,040</b>	<b>3,150</b>	<b>3,040</b>
State Operations	2,833	2,688	3,040	3,040	3,150	3,040
Personal Service	2,604	2,541	2,870	2,870	2,980	2,870
Non-Personal Service/Indirect Costs	229	147	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>359,123</b>	<b>346,372</b>	<b>363,556</b>	<b>362,100</b>	<b>373,027</b>	<b>361,500</b>
Local Assistance	1,080	1,542	2,726	2,726	2,726	2,726
State Operations	333,026	322,195	333,566	332,311	342,118	331,702
Personal Service	284,526	275,658	264,119	264,323	273,392	264,338
Non-Personal Service/Indirect Costs	48,500	46,537	69,447	67,988	68,726	67,364
General State Charges	25,017	22,635	27,264	27,063	28,183	27,072
<b>Technology, Office for</b>	<b>635,173</b>	<b>641,934</b>	<b>663,397</b>	<b>559,037</b>	<b>575,399</b>	<b>590,955</b>
State Operations	548,953	539,103	544,532	540,286	550,699	557,255
Personal Service	298,543	289,994	286,118	291,603	308,070	303,412
Non-Personal Service/Indirect Costs	250,410	249,109	258,414	248,683	242,629	253,843
Capital Projects	86,220	102,831	118,865	18,751	24,700	33,700
<b>Veterans' Affairs, Division of</b>	<b>15,067</b>	<b>14,694</b>	<b>17,636</b>	<b>15,496</b>	<b>15,789</b>	<b>15,753</b>
Local Assistance	8,340	7,906	9,792	7,637	7,637	7,637
State Operations	6,509	6,493	7,604	7,604	7,883	7,847
Personal Service	5,512	6,016	6,742	6,742	7,004	6,950
Non-Personal Service/Indirect Costs	997	477	862	862	879	897
General State Charges	218	295	240	255	269	269
<b>Welfare Inspector General, Office of</b>	<b>592</b>	<b>671</b>	<b>686</b>	<b>701</b>	<b>731</b>	<b>753</b>
State Operations	592	671	686	701	731	753
Personal Service	565	619	621	626	654	646
Non-Personal Service/Indirect Costs	27	52	65	75	77	107
<b>Workers' Compensation Board</b>	<b>193,275</b>	<b>198,190</b>	<b>199,043</b>	<b>228,595</b>	<b>244,182</b>	<b>204,271</b>
State Operations	140,604	140,971	143,323	145,193	150,513	146,408
Personal Service	82,632	85,117	84,993	85,604	89,709	85,604
Non-Personal Service/Indirect Costs	57,972	55,854	58,330	59,589	60,804	60,804
General State Charges	48,919	52,395	53,220	53,402	57,863	57,863
Capital Projects	3,752	4,824	2,500	30,000	35,806	0
<b>Functional Total</b>	<b>1,953,163</b>	<b>1,891,014</b>	<b>2,103,701</b>	<b>2,022,704</b>	<b>2,056,349</b>	<b>1,966,138</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>185,005</b>	<b>180,421</b>	<b>193,535</b>	<b>190,094</b>	<b>195,069</b>	<b>189,387</b>
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	149,275	146,719	154,352	154,535	160,878	155,196
Personal Service	115,964	119,019	123,015	123,093	128,775	123,093
Non-Personal Service/Indirect Costs	33,311	27,700	31,337	31,442	32,103	32,103
General State Charges	1,605	1,677	2,135	2,135	2,167	2,167
Capital Projects	2,100	0	5,024	1,400	0	0
<b>Executive Chamber</b>	<b>14,653</b>	<b>13,560</b>	<b>13,578</b>	<b>13,578</b>	<b>14,032</b>	<b>13,578</b>
State Operations	14,653	13,560	13,578	13,578	14,032	13,578
Personal Service	10,746	9,979	11,113	11,113	11,567	11,113
Non-Personal Service/Indirect Costs	3,907	3,581	2,465	2,465	2,465	2,465
<b>Judiciary</b>	<b>2,828,688</b>	<b>2,965,828</b>	<b>3,044,529</b>	<b>3,048,028</b>	<b>3,101,529</b>	<b>3,044,529</b>
Local Assistance	101,099	114,224	112,200	127,199	127,200	127,200
State Operations	2,024,995	2,087,034	2,142,700	2,131,200	2,188,200	2,131,200
Personal Service	1,570,097	1,626,662	1,669,700	1,658,200	1,715,200	1,658,200

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	454,898	460,372	473,000	473,000	473,000	473,000
General State Charges	702,594	750,627	774,629	786,129	786,129	786,129
Capital Projects	0	13,943	15,000	3,500	0	0
<b>Law, Department of</b>	<b>226,651</b>	<b>243,901</b>	<b>251,798</b>	<b>247,036</b>	<b>256,423</b>	<b>250,367</b>
State Operations	195,421	210,621	210,315	213,260	221,593	215,537
Personal Service	138,838	152,616	146,569	148,270	155,342	149,286
Non-Personal Service/Indirect Costs	56,583	58,005	63,746	64,990	66,251	66,251
General State Charges	25,029	28,245	32,031	32,808	34,830	34,830
Capital Projects	6,201	5,035	9,452	968	0	0
<b>Legislature</b>	<b>225,893</b>	<b>223,372</b>	<b>229,858</b>	<b>234,436</b>	<b>239,106</b>	<b>243,869</b>
State Operations	225,893	223,372	229,858	234,436	239,106	243,869
Personal Service	170,623	174,350	175,541	179,052	182,633	186,286
Non-Personal Service/Indirect Costs	55,270	49,022	54,317	55,384	56,473	57,583
<b>Lieutenant Governor, Office of the</b>	<b>510</b>	<b>492</b>	<b>614</b>	<b>614</b>	<b>634</b>	<b>614</b>
State Operations	510	492	614	614	634	614
Personal Service	364	382	523	523	543	523
Non-Personal Service/Indirect Costs	146	110	91	91	91	91
<b>Functional Total</b>	<b>3,481,400</b>	<b>3,627,574</b>	<b>3,733,912</b>	<b>3,733,786</b>	<b>3,806,793</b>	<b>3,742,344</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>714,839</b>	<b>721,920</b>	<b>723,783</b>	<b>731,363</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance	714,732	721,789	723,533	731,363	763,347	763,347
State Operations	107	131	250	0	0	0
Non-Personal Service/Indirect Costs	107	131	250	0	0	0
<b>County-Wide Shared Services Initiative</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>125,000</b>	<b>0</b>
Local Assistance	0	0	0	100,000	125,000	0
<b>Efficiency Incentive Grants Program</b>	<b>0</b>	<b>348</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	0	348	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>16,046</b>	<b>9,270</b>	<b>12,001</b>	<b>2,250</b>	<b>2,250</b>	<b>2,250</b>
Local Assistance	16,046	9,270	12,001	2,250	2,250	2,250
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>
Local Assistance	29,331	28,885	28,885	28,885	28,885	28,885
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,433</b>	<b>760,640</b>	<b>764,887</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
<b>Arts and Cultural Facilities Improvement</b>	<b>0</b>	<b>0</b>	<b>15,000</b>	<b>5,000</b>	<b>0</b>	<b>0</b>
Local Assistance	0	0	9,800	0	0	0
Capital Projects	0	0	5,200	5,000	0	0
<b>General State Charges</b>	<b>4,782,944</b>	<b>4,842,816</b>	<b>6,642,283</b>	<b>7,020,211</b>	<b>7,558,961</b>	<b>8,129,073</b>
General State Charges	4,782,944	4,842,816	6,642,283	7,020,211	7,558,961	8,129,073
<b>Long-Term Debt Service</b>	<b>5,552,429</b>	<b>5,914,725</b>	<b>5,566,764</b>	<b>6,667,152</b>	<b>7,168,443</b>	<b>7,144,263</b>
State Operations	38,647	41,887	47,183	47,183	47,183	47,183
Non-Personal Service/Indirect Costs	38,647	41,887	47,183	47,183	47,183	47,183
Debt Service	5,513,782	5,872,838	5,519,581	6,619,969	7,121,260	7,097,080
<b>Miscellaneous</b>	<b>(167,516)</b>	<b>(252,020)</b>	<b>(989,344)</b>	<b>(718,059)</b>	<b>(624,166)</b>	<b>103,962</b>
Local Assistance	(230,963)	(329,661)	(602,608)	(428,838)	(389,246)	(381,974)
State Operations	24,815	47,603	174,610	288,022	403,077	403,077
Personal Service	1,939	2,194	128,275	243,285	358,340	358,340
Non-Personal Service/Indirect Costs	22,876	45,409	46,335	44,737	44,737	44,737

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
General State Charges	5,412	8,445	5,747	5,749	5,761	5,766
Capital Projects	33,220	21,593	(567,093)	(582,992)	(643,758)	77,093
<b><i>Special Infrastructure Account</i></b>	<b>649,103</b>	<b>783,139</b>	<b>848,864</b>	<b>878,602</b>	<b>602,050</b>	<b>427,650</b>
Local Assistance	230,875	422,467	10,000	5,000	10,000	0
Capital Projects	418,228	360,672	838,864	873,602	592,050	427,650
<b>Functional Total</b>	<b><u>10,816,960</u></b>	<b><u>11,288,660</u></b>	<b><u>12,083,567</u></b>	<b><u>13,852,906</u></b>	<b><u>14,705,288</u></b>	<b><u>15,804,948</u></b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b><u>157,015,273</u></b>	<b><u>163,743,595</u></b>	<b><u>171,586,349</u></b>	<b><u>178,012,655</u></b>	<b><u>182,587,667</u></b>	<b><u>187,037,099</u></b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	96,908	95,102	158,101	100,905	85,806	100,633
Alcoholic Beverage Control, Division of	11,248	11,819	12,483	11,244	11,560	11,244
Economic Development Capital	1,844	2,751	22,587	20,270	19,569	29,069
Economic Development, Department of	84,240	88,621	99,695	79,263	84,512	84,113
Empire State Development Corporation	1,107,501	1,207,655	1,637,350	1,837,697	1,890,277	1,751,701
Energy Research and Development Authority	14,545	17,109	22,877	17,595	15,720	14,001
Financial Services, Department of	362,898	357,811	375,435	379,971	398,875	392,512
Olympic Regional Development Authority	10,236	40,736	61,293	25,886	15,886	15,886
Power Authority, New York	1,916	243	13,750	29,000	32,700	2,000
Public Service Department	78,938	88,976	80,639	81,610	83,839	83,886
Regional Economic Development Program	4,156	2,030	3,500	320	320	320
Strategic Investment Program	683	1,625	7,002	6,317	10,494	6,317
<b>Functional Total</b>	<b>1,775,113</b>	<b>1,914,478</b>	<b>2,494,712</b>	<b>2,590,078</b>	<b>2,649,558</b>	<b>2,491,682</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,547	4,468	4,819	4,764	4,922	4,764
Environmental Conservation, Department of	1,026,898	974,519	1,235,581	1,282,333	1,314,464	1,380,600
Hudson River Park Trust	0	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	353,753	366,435	363,673	363,461	355,283	350,862
<b>Functional Total</b>	<b>1,385,198</b>	<b>1,345,422</b>	<b>1,604,073</b>	<b>1,655,558</b>	<b>1,684,669</b>	<b>1,746,226</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	250,000	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	308,315	312,091	339,279	343,170	357,707	339,654
Transportation, Department of	9,950,606	9,667,708	8,978,344	8,577,087	8,769,003	8,954,243
<b>Functional Total</b>	<b>10,508,921</b>	<b>10,044,799</b>	<b>10,117,623</b>	<b>9,595,257</b>	<b>9,321,566</b>	<b>9,293,897</b>
<b>HEALTH</b>						
Aging, Office for the	225,544	234,525	235,956	240,768	247,883	253,152
Health, Department of	60,565,508	66,062,707	70,391,609	74,167,847	75,887,110	78,350,743
<i>Medical Assistance</i>	51,178,257	55,644,622	58,800,383	62,185,233	63,885,628	66,324,670
<i>Essential Plan</i>	3,569,893	3,962,563	4,777,550	5,046,998	5,091,168	5,101,502
<i>Medicaid Administration</i>	1,518,498	1,356,021	1,793,664	1,640,501	1,587,138	1,578,723
<i>Public Health</i>	4,298,860	5,099,501	5,020,012	5,295,115	5,323,176	5,345,848
Medicaid Inspector General, Office of the	50,994	46,446	48,043	48,406	50,445	49,224
<b>Functional Total</b>	<b>60,842,046</b>	<b>66,343,678</b>	<b>70,675,608</b>	<b>74,457,021</b>	<b>76,185,438</b>	<b>78,653,119</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,941,351	3,139,782	2,996,327	3,018,635	3,107,833	3,147,469
<i>OCFS</i>	2,859,104	3,062,420	2,911,585	2,927,828	3,013,846	3,049,318
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	256,695	420,810	915,803	682,871	686,523	646,806
Human Rights, Division of	14,890	14,612	14,343	14,343	15,257	14,990
Labor, Department of	534,361	562,650	564,441	550,739	568,320	597,462
National and Community Service	17,282	15,097	16,564	16,571	16,904	17,223
Nonprofit Infrastructure Capital Investment Program	0	6,951	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	4,722,939	4,614,149	5,425,388	5,197,479	5,204,772	5,222,556
<i>Welfare Assistance</i>	3,430,246	3,297,890	4,104,397	3,867,942	3,867,942	3,867,942
<i>All Other</i>	1,292,693	1,316,259	1,320,991	1,329,537	1,336,830	1,354,614
<b>Functional Total</b>	<b>8,487,518</b>	<b>8,774,051</b>	<b>10,005,394</b>	<b>9,525,138</b>	<b>9,615,658</b>	<b>9,651,506</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	604,265	596,829	627,105	669,588	693,117	681,198
<i>OASAS</i>	514,925	503,832	562,096	603,379	625,466	612,820
<i>OASAS - Other</i>	89,340	92,997	65,009	66,209	67,651	68,378
Developmental Disabilities Planning Council	3,892	4,378	4,200	4,200	4,200	4,200
Justice Center	41,625	41,365	43,807	45,660	47,626	47,048
Mental Health, Office of	3,379,732	3,501,554	2,922,979	3,038,773	3,160,002	3,289,992

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<i>OMH</i>	1,684,533	1,778,348	1,604,462	1,722,020	1,799,167	1,890,748
<i>OMH - Other</i>	1,695,199	1,723,206	1,318,517	1,316,753	1,360,835	1,399,244
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	2,974,349	2,935,355	1,949,169	2,240,454	2,681,095	2,605,772
<i>OPWDD</i>	455,861	479,874	482,029	477,995	478,070	489,467
<i>OPWDD - Other</i>	2,518,488	2,455,481	1,467,140	1,762,459	2,203,025	2,116,305
<b>Functional Total</b>	<b>7,004,090</b>	<b>7,079,685</b>	<b>5,547,260</b>	<b>5,998,675</b>	<b>6,586,040</b>	<b>6,628,210</b>

**PUBLIC PROTECTION/CRIMINAL JUSTICE**

Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,971,422	3,017,424	3,007,745	2,983,649	2,963,607	2,955,466
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	215,234	221,521	273,551	218,280	219,334	219,156
Homeland Security and Emergency Services, Division of	1,432,507	1,524,755	1,104,289	1,015,977	1,037,113	1,049,907
Indigent Legal Services, Office of	63,641	75,499	166,393	190,581	214,855	214,975
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	130,428	132,339	117,695	132,423	115,685	109,816
State Police, Division of	788,957	853,462	825,638	819,284	855,565	869,716
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	73,166	60,952	83,227	85,780	85,948	86,735
<b>Functional Total</b>	<b>5,713,619</b>	<b>5,924,259</b>	<b>5,619,335</b>	<b>5,486,907</b>	<b>5,533,833</b>	<b>5,545,805</b>

**HIGHER EDUCATION**

City University of New York	1,564,711	1,604,707	1,632,513	1,674,145	1,715,426	1,751,313
Higher Education - Miscellaneous	529	337	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	0	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	1,020,224	934,399	1,139,603	1,215,862	1,234,135	1,241,241
State University of New York	7,985,264	8,281,822	8,430,602	8,481,627	8,671,895	8,627,665
<b>Functional Total</b>	<b>10,570,728</b>	<b>10,825,690</b>	<b>11,215,659</b>	<b>11,383,950</b>	<b>11,636,147</b>	<b>11,627,310</b>

**EDUCATION**

Arts, Council on the	43,884	39,750	46,113	45,953	46,049	45,953
Education, Department of	33,672,197	33,883,898	35,574,505	36,802,006	37,840,579	39,045,261
<i>School Aid</i>	27,193,544	27,848,709	29,429,258	30,774,155	31,754,812	32,864,163
<i>School Aid - Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,907,839	2,001,400	2,018,600	2,044,837	2,117,421	2,183,266
<i>All Other</i>	1,374,684	1,383,475	1,568,046	1,487,581	1,488,156	1,517,642
<b>Functional Total</b>	<b>33,716,081</b>	<b>33,923,648</b>	<b>35,620,618</b>	<b>36,847,959</b>	<b>37,886,628</b>	<b>39,091,214</b>

**GENERAL GOVERNMENT**

Budget, Division of the	25,335	27,312	30,423	29,596	30,603	29,596
Civil Service, Department of	13,379	14,975	13,560	13,680	14,189	13,815
Deferred Compensation Board	613	675	846	853	854	853
Elections, State Board of	10,289	11,951	14,077	15,427	15,363	13,634
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	253,064	176,850	238,873	212,703	215,120	212,703
General Services, Office of	262,189	280,851	355,679	329,454	331,533	293,798
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	2,062	2,372	2,949	3,049	3,121	3,059
Public Employment Relations Board	3,281	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	133,681	127,596	149,428	198,295	181,627	170,776
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	359,123	346,372	363,556	362,100	373,027	361,500
Technology, Office for	635,173	641,934	663,397	559,037	575,399	590,955
Veterans' Affairs, Division of	15,067	14,694	17,636	15,496	15,789	15,753
Welfare Inspector General, Office of	592	671	686	701	731	753

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Workers' Compensation Board	193,275	198,190	199,043	228,595	244,182	204,271
<b>Functional Total</b>	<u>1,953,163</u>	<u>1,891,014</u>	<u>2,103,701</u>	<u>2,022,704</u>	<u>2,056,349</u>	<u>1,966,138</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	185,005	180,421	193,535	190,094	195,069	189,387
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,828,689	2,965,828	3,044,529	3,048,028	3,101,529	3,044,529
Law, Department of	226,651	243,901	251,798	247,036	256,423	250,367
Legislature	225,893	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<u>3,481,401</u>	<u>3,627,574</u>	<u>3,733,912</u>	<u>3,733,786</u>	<u>3,806,793</u>	<u>3,742,344</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,839	721,920	723,783	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>760,433</u>	<u>760,640</u>	<u>764,887</u>	<u>862,716</u>	<u>919,700</u>	<u>794,700</u>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	15,000	5,000	0	0
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Long-Term Debt Service	5,552,429	5,914,725	5,566,764	6,667,152	7,168,443	7,144,263
Miscellaneous	(167,516)	(252,020)	(989,344)	(718,059)	(624,166)	103,962
Special Infrastructure Account	649,103	783,139	848,864	878,602	602,050	427,650
<b>Functional Total</b>	<u>10,816,959</u>	<u>11,288,659</u>	<u>12,083,567</u>	<u>13,852,906</u>	<u>14,705,288</u>	<u>15,804,948</u>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<u><u>157,015,270</u></u>	<u><u>163,743,597</u></u>	<u><u>171,586,349</u></u>	<u><u>178,012,655</u></u>	<u><u>182,587,667</u></u>	<u><u>187,037,099</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,980	32,318	38,271	28,757	24,757	24,907
Economic Development Capital	1,844	2,751	0	(1,330)	(1,293)	(1,793)
Economic Development, Department of	62,448	68,718	68,154	61,699	61,423	66,549
Empire State Development Corporation	1,031,640	1,136,529	1,349,598	1,144,790	1,145,709	1,336,830
Financial Services, Department of	66,703	57,254	64,954	61,886	61,855	61,855
Olympic Regional Development Authority	0	0	2,360	0	0	0
Power Authority, New York	1,916	83	0	0	0	0
Public Service Department	0	102	136	136	136	136
Regional Economic Development Program	4,156	2,030	0	(17)	(17)	(17)
Strategic Investment Program	683	1,625	0	(333)	(552)	(333)
<b>Functional Total</b>	<b>1,201,370</b>	<b>1,301,410</b>	<b>1,523,473</b>	<b>1,295,588</b>	<b>1,292,018</b>	<b>1,488,134</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	327,482	345,907	190,095	398,024	409,785	453,358
Parks, Recreation and Historic Preservation, Office of	13,281	9,463	7,126	6,820	6,820	6,820
<b>Functional Total</b>	<b>340,763</b>	<b>355,370</b>	<b>197,221</b>	<b>404,844</b>	<b>416,605</b>	<b>460,178</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	250,000	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	15,199	14,833	18,375	18,000	18,000	18,000
Transportation, Department of	6,331,110	6,168,328	5,053,351	4,740,864	4,774,959	4,900,023
<b>Functional Total</b>	<b>6,596,309</b>	<b>6,248,161</b>	<b>5,871,726</b>	<b>5,433,864</b>	<b>4,987,815</b>	<b>4,918,023</b>
<b>HEALTH</b>						
Aging, Office for the	213,003	221,725	224,924	228,531	235,461	240,773
Health, Department of	59,029,630	64,482,675	68,632,446	72,443,649	74,246,267	76,709,889
<i>Medical Assistance</i>	51,178,257	55,644,622	58,800,383	62,185,233	63,885,628	66,324,670
<i>Essential Plan</i>	3,526,056	3,874,925	4,690,827	4,962,774	5,012,411	5,025,105
<i>Medicaid Administration</i>	843,305	716,713	989,609	832,585	816,303	800,731
<i>Public Health</i>	3,482,012	4,246,415	4,151,627	4,463,057	4,531,925	4,559,383
<b>Functional Total</b>	<b>59,242,633</b>	<b>64,704,400</b>	<b>68,857,370</b>	<b>72,672,180</b>	<b>74,481,728</b>	<b>76,950,662</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,577,239	2,764,348	2,529,371	2,510,691	2,529,296	2,564,477
<i>OCFS</i>	2,494,992	2,686,986	2,444,629	2,419,884	2,435,309	2,466,326
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	187,653	340,738	822,907	589,975	589,399	546,074
Labor, Department of	150,118	161,244	166,554	152,042	152,042	152,042
National and Community Service	267	477	350	350	350	350
Nonprofit Infrastructure Capital Investment Program	0	6,951	0	0	0	0
Roosevelt Island Operating Corporation	0	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	4,393,371	4,277,380	5,083,340	4,863,245	4,866,045	4,869,845
<i>Welfare Assistance</i>	3,430,246	3,297,890	4,104,397	3,867,942	3,867,942	3,867,942
<i>All Other</i>	963,125	979,490	978,943	995,303	998,103	1,001,903
<b>Functional Total</b>	<b>7,308,648</b>	<b>7,551,138</b>	<b>8,627,550</b>	<b>8,116,303</b>	<b>8,137,132</b>	<b>8,132,788</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	479,383	469,583	533,608	573,862	594,832	582,387
<i>OASAS</i>	458,058	448,258	512,283	552,537	573,507	561,062
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	619	649	649	649	649
Mental Health, Office of	1,272,366	1,281,980	1,381,367	1,498,712	1,576,863	1,645,432
<i>OMH</i>	1,001,866	1,016,591	1,082,042	1,189,001	1,256,233	1,313,333
<i>OMH - Other</i>	270,500	265,389	299,325	309,711	320,630	332,099
People with Developmental Disabilities, Office for	969,589	847,982	533,651	822,349	1,232,323	1,140,821
<i>OPWDD</i>	385,635	409,319	392,150	387,523	393,452	403,629
<i>OPWDD - Other</i>	583,954	438,663	141,501	434,826	838,871	737,192
<b>Functional Total</b>	<b>2,722,017</b>	<b>2,600,164</b>	<b>2,449,275</b>	<b>2,895,572</b>	<b>3,404,667</b>	<b>3,369,289</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	4,457	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	171,426	170,749	227,504	172,233	172,233	172,233
Homeland Security and Emergency Services, Division of	1,353,983	1,430,243	999,186	919,295	949,313	959,610
Indigent Legal Services, Office of	60,503	72,102	160,672	184,765	208,859	208,859
Military and Naval Affairs, Division of	786	819	820	820	820	886
State Police, Division of	91	62	0	0	0	0
Victim Services, Office of	65,814	52,669	74,956	77,744	77,744	78,492
<b>Functional Total</b>	<b>1,657,060</b>	<b>1,732,330</b>	<b>1,469,974</b>	<b>1,361,693</b>	<b>1,415,805</b>	<b>1,424,916</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
Higher Education Facilities Capital Matching Grants Program	0	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	957,549	879,384	1,080,675	1,156,934	1,175,207	1,182,313
State University of New York	491,891	489,533	482,289	484,643	484,643	484,643
<b>Functional Total</b>	<b>2,873,569</b>	<b>2,838,495</b>	<b>3,069,201</b>	<b>3,186,716</b>	<b>3,246,984</b>	<b>3,281,437</b>
<b>EDUCATION</b>						
Arts, Council on the	39,959	35,490	41,693	41,533	41,533	41,533
Education, Department of	33,276,815	33,486,145	35,140,292	36,375,485	37,405,636	38,602,898
<i>School Aid</i>	27,193,544	27,848,709	29,429,258	30,774,155	31,754,812	32,864,163
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,907,839	2,001,400	2,018,600	2,044,837	2,117,421	2,183,266
<i>All Other</i>	979,302	985,722	1,133,833	1,061,060	1,053,213	1,075,279
<b>Functional Total</b>	<b>33,316,774</b>	<b>33,521,635</b>	<b>35,181,985</b>	<b>36,417,018</b>	<b>37,447,169</b>	<b>38,644,431</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	647	326	0	0	0	0
Gaming Commission, New York State	101,820	65,779	140,570	114,400	114,400	114,400
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	528	791	1,285	1,385	1,385	1,412
State, Department of	71,828	65,899	82,918	132,336	115,336	105,336
Taxation and Finance, Department of	1,080	1,542	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	8,340	7,906	9,792	7,637	7,637	7,637
<b>Functional Total</b>	<b>184,243</b>	<b>142,243</b>	<b>237,541</b>	<b>258,734</b>	<b>241,734</b>	<b>231,761</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	101,099	114,224	112,200	127,199	127,200	127,200
<b>Functional Total</b>	<b>133,124</b>	<b>146,249</b>	<b>144,224</b>	<b>159,223</b>	<b>159,224</b>	<b>159,224</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,732	721,789	723,533	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,326</b>	<b>760,509</b>	<b>764,637</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	9,800	0	0	0
Miscellaneous	(230,963)	(329,661)	(602,608)	(428,838)	(389,246)	(381,974)
Special Infrastructure Account	230,875	422,467	10,000	5,000	10,000	0
<b>Functional Total</b>	<b>(88)</b>	<b>92,806</b>	<b>(582,808)</b>	<b>(423,838)</b>	<b>(379,246)</b>	<b>(381,974)</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>116,336,748</b>	<b>121,994,910</b>	<b>127,811,369</b>	<b>132,640,613</b>	<b>135,771,335</b>	<b>139,473,569</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	56,825	51,225	51,083	51,122	51,233	65,714
Alcoholic Beverage Control, Division of	11,127	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	21,775	19,891	17,536	17,536	17,536	17,536
Financial Services, Department of	209,543	209,633	210,309	213,943	220,225	214,088
Olympic Regional Development Authority	2,736	2,736	8,933	5,886	5,886	5,886
Public Service Department	51,775	54,691	52,019	52,069	52,682	51,069
<b>Functional Total</b>	<b>353,781</b>	<b>349,995</b>	<b>352,363</b>	<b>351,800</b>	<b>359,122</b>	<b>365,537</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,547	4,468	4,819	4,764	4,922	4,764
Environmental Conservation, Department of	269,816	252,567	252,967	249,523	262,065	255,420
Parks, Recreation and Historic Preservation, Office of	183,671	173,759	175,492	173,749	180,218	175,313
<b>Functional Total</b>	<b>458,034</b>	<b>430,794</b>	<b>433,278</b>	<b>428,036</b>	<b>447,205</b>	<b>435,497</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	54,854	53,425	68,374	68,608	69,794	69,475
Transportation, Department of	31,448	26,750	304,289	367,827	369,023	373,788
<b>Functional Total</b>	<b>86,302</b>	<b>80,175</b>	<b>372,663</b>	<b>436,435</b>	<b>438,817</b>	<b>443,263</b>
<b>HEALTH</b>						
Aging, Office for the	12,541	12,800	11,032	12,237	12,422	12,379
Health, Department of	1,426,129	1,457,078	1,557,773	1,530,165	1,493,955	1,492,340
<i>Essential Plan</i>	43,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	673,671	636,474	797,840	801,274	763,786	770,636
<i>Public Health</i>	708,621	732,966	673,210	644,667	651,412	645,307
Medicaid Inspector General, Office of the	41,851	37,092	38,175	38,175	39,438	38,217
<b>Functional Total</b>	<b>1,480,521</b>	<b>1,506,970</b>	<b>1,606,980</b>	<b>1,580,577</b>	<b>1,545,815</b>	<b>1,542,936</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	328,252	321,311	380,776	472,047	541,423	546,022
<i>OCFS</i>	328,252	321,311	380,776	472,047	541,423	546,022
Housing and Community Renewal, Division of	59,782	60,527	62,771	62,771	66,999	65,882
Human Rights, Division of	14,890	14,612	14,343	14,343	15,257	14,990
Labor, Department of	273,212	280,007	282,017	282,017	299,598	305,198
National and Community Service	17,015	14,620	15,985	15,985	16,312	16,631
Temporary and Disability Assistance, Office of	286,879	283,573	291,517	288,936	293,429	299,145
<i>All Other</i>	286,879	283,573	291,517	288,936	293,429	299,145
<b>Functional Total</b>	<b>980,030</b>	<b>974,650</b>	<b>1,047,409</b>	<b>1,136,099</b>	<b>1,233,018</b>	<b>1,247,868</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	90,090	88,483	88,264	91,094	93,526	93,911
<i>OASAS</i>	41,998	38,975	44,580	46,210	47,200	46,858
<i>OASAS - Other</i>	48,092	49,508	43,684	44,884	46,326	47,053
Developmental Disabilities Planning Council	3,661	3,508	3,415	3,415	3,415	3,415
Justice Center	40,096	40,037	42,220	44,018	45,920	45,274
Mental Health, Office of	1,345,465	1,352,907	1,343,012	1,363,985	1,400,548	1,434,876
<i>OMH</i>	350,032	352,420	323,820	356,943	360,343	367,731
<i>OMH - Other</i>	995,433	1,000,487	1,019,192	1,007,042	1,040,205	1,067,145
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	1,298,507	1,333,563	1,326,820	1,328,814	1,365,335	1,380,294
<i>OPWDD</i>	1,173	546	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,297,334	1,333,017	1,325,639	1,327,633	1,364,154	1,379,113
<b>Functional Total</b>	<b>2,778,046</b>	<b>2,818,702</b>	<b>2,803,731</b>	<b>2,831,326</b>	<b>2,908,744</b>	<b>2,957,770</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,662,598	2,654,783	2,623,125	2,635,498	2,641,128	2,632,498
Criminal Justice Services, Division of	43,444	50,120	45,664	45,664	46,718	46,540

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Homeland Security and Emergency Services, Division of	62,691	58,572	74,050	74,130	75,386	77,813
Indigent Legal Services, Office of	2,171	2,328	3,815	3,813	3,879	3,877
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	53,525	62,438	58,606	58,661	59,267	59,931
State Police, Division of	737,575	764,680	755,513	750,290	776,159	791,405
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	5,782	6,645	6,621	6,386	6,554	6,560
<b>Functional Total</b>	<b>3,606,050</b>	<b>3,637,873</b>	<b>3,606,191</b>	<b>3,613,375</b>	<b>3,648,817</b>	<b>3,658,658</b>
<b>HIGHER EDUCATION</b>						
City University of New York	96,394	102,801	102,726	104,111	105,040	105,980
Higher Education - Miscellaneous	386	256	291	291	291	291
Higher Education Services Corporation, New York State	54,150	46,884	49,907	49,907	49,907	49,907
State University of New York	6,313,021	6,421,348	6,511,548	6,573,351	6,732,888	6,694,225
<b>Functional Total</b>	<b>6,463,951</b>	<b>6,571,289</b>	<b>6,664,472</b>	<b>6,727,660</b>	<b>6,888,126</b>	<b>6,850,403</b>
<b>EDUCATION</b>						
Arts, Council on the	3,925	4,260	4,420	4,420	4,516	4,420
Education, Department of	310,252	305,724	294,315	291,935	298,934	295,668
<i>All Other</i>	310,252	305,724	294,315	291,935	298,934	295,668
<b>Functional Total</b>	<b>314,177</b>	<b>309,984</b>	<b>298,735</b>	<b>296,355</b>	<b>303,450</b>	<b>300,088</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	24,559	26,600	28,766	27,939	28,886	27,939
Civil Service, Department of	13,295	14,795	13,331	13,441	13,926	13,545
Deferred Compensation Board	418	451	641	648	649	648
Elections, State Board of	9,593	11,625	13,927	15,127	15,063	13,334
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	138,467	94,531	80,728	80,728	82,255	80,728
General Services, Office of	169,251	151,633	145,053	140,975	139,777	138,387
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	1,534	1,581	1,664	1,664	1,736	1,647
Public Employment Relations Board	3,281	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	51,058	48,251	48,824	48,625	49,737	48,625
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	333,026	322,195	333,566	332,311	342,118	331,702
Technology, Office for	548,953	539,103	544,532	540,286	550,699	557,255
Veterans' Affairs, Division of	6,509	6,493	7,604	7,604	7,883	7,847
Welfare Inspector General, Office of	592	671	686	701	731	753
Workers' Compensation Board	140,604	140,971	143,323	145,193	150,513	146,408
<b>Functional Total</b>	<b>1,487,180</b>	<b>1,405,471</b>	<b>1,416,193</b>	<b>1,408,960</b>	<b>1,438,784</b>	<b>1,423,490</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	149,275	146,719	154,352	154,535	160,878	155,196
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,024,994	2,087,034	2,142,700	2,131,200	2,188,200	2,131,200
Law, Department of	195,421	210,621	210,315	213,260	221,593	215,537
Legislature	225,893	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<b>2,610,746</b>	<b>2,681,798</b>	<b>2,751,417</b>	<b>2,747,623</b>	<b>2,824,443</b>	<b>2,759,994</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,647	41,887	47,183	47,183	47,183	47,183

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Miscellaneous	24,815	47,603	174,610	288,022	403,077	403,077
<b>Functional Total</b>	<b>63,462</b>	<b>89,490</b>	<b>221,793</b>	<b>335,205</b>	<b>450,260</b>	<b>450,260</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>20,682,387</b>	<b>20,857,322</b>	<b>21,575,475</b>	<b>21,893,451</b>	<b>22,486,601</b>	<b>22,435,764</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	34,188	34,271	32,871	32,841	33,956	32,841
Alcoholic Beverage Control, Division of	7,742	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,152	13,243	12,929	12,929	12,929	12,929
Financial Services, Department of	151,522	154,146	156,697	157,475	163,459	157,616
Olympic Regional Development Authority	2,548	2,548	5,595	2,548	2,548	2,548
Public Service Department	42,918	44,476	43,302	43,302	44,913	43,302
<b>Functional Total</b>	<b>252,070</b>	<b>256,815</b>	<b>259,541</b>	<b>257,680</b>	<b>266,706</b>	<b>257,821</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,112	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	202,238	200,579	198,228	196,129	205,507	198,942
Parks, Recreation and Historic Preservation, Office of	138,056	132,575	134,952	133,494	139,959	135,054
<b>Functional Total</b>	<b>344,406</b>	<b>337,333</b>	<b>337,288</b>	<b>333,731</b>	<b>349,732</b>	<b>338,104</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	37,012	37,707	48,753	48,923	50,011	50,301
Transportation, Department of	12,251	11,964	172,302	181,039	181,178	181,039
<b>Functional Total</b>	<b>49,263</b>	<b>49,671</b>	<b>221,055</b>	<b>229,962</b>	<b>231,189</b>	<b>231,340</b>
<b>HEALTH</b>						
Aging, Office for the	7,196	7,079	7,646	7,782	7,967	7,924
Health, Department of	360,620	353,534	390,549	395,883	407,742	399,329
<i>Essential Plan</i>	1,367	3,148	4,206	4,580	4,418	4,384
<i>Medicaid Administration</i>	71,191	69,223	100,758	108,802	115,113	112,482
<i>Public Health</i>	288,062	281,163	285,585	282,501	288,211	282,463
Medicaid Inspector General, Office of the	32,737	31,681	30,066	30,066	31,287	30,066
<b>Functional Total</b>	<b>400,553</b>	<b>392,294</b>	<b>428,261</b>	<b>433,731</b>	<b>446,996</b>	<b>437,319</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	194,832	197,353	221,612	290,723	345,683	346,401
<i>OCFS</i>	194,832	197,353	221,612	290,723	345,683	346,401
Housing and Community Renewal, Division of	49,632	47,478	50,423	50,423	53,775	52,362
Human Rights, Division of	12,205	12,315	12,596	12,596	13,475	13,173
Labor, Department of	204,836	206,559	192,484	192,484	206,660	208,852
National and Community Service	465	551	708	708	730	738
Temporary and Disability Assistance, Office of	154,062	153,573	154,575	151,358	154,727	157,833
<i>All Other</i>	154,062	153,573	154,575	151,358	154,727	157,833
<b>Functional Total</b>	<b>616,032</b>	<b>617,829</b>	<b>632,398</b>	<b>698,292</b>	<b>775,050</b>	<b>779,359</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	59,664	61,535	62,496	63,985	65,720	65,326
<i>OASAS</i>	24,808	25,461	29,239	30,204	30,923	30,234
<i>OASAS - Other</i>	34,856	36,074	33,257	33,781	34,797	35,092
Developmental Disabilities Planning Council	976	1,007	1,266	1,266	1,266	1,266
Justice Center	29,334	31,552	32,997	34,351	35,990	35,061
Mental Health, Office of	1,073,377	1,072,437	1,087,788	1,096,864	1,120,578	1,131,763
<i>OMH</i>	289,320	289,317	270,901	311,937	314,937	320,987
<i>OMH - Other</i>	784,057	783,120	816,887	784,927	805,641	810,776
People with Developmental Disabilities, Office for	1,092,002	1,129,874	1,137,939	1,145,989	1,177,306	1,186,856
<i>OPWDD</i>	0	124	0	0	0	0
<i>OPWDD - Other</i>	1,092,002	1,129,750	1,137,939	1,145,989	1,177,306	1,186,856
<b>Functional Total</b>	<b>2,255,353</b>	<b>2,296,405</b>	<b>2,322,486</b>	<b>2,342,455</b>	<b>2,400,860</b>	<b>2,420,272</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,234	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,134,801	2,124,813	2,058,585	2,072,089	2,077,719	2,072,089
Criminal Justice Services, Division of	31,283	32,935	32,184	32,184	33,238	32,794
Homeland Security and Emergency Services, Division of	26,736	27,650	38,313	42,337	43,583	44,942

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Indigent Legal Services, Office of	1,678	1,873	2,982	2,982	3,048	3,034
Judicial Conduct, Commission on	4,181	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	29,020	36,483	35,354	35,354	35,650	35,724
State Police, Division of	655,292	687,413	680,526	677,070	702,870	715,645
Statewide Financial System	11,045	11,094	11,758	11,882	12,325	12,121
Victim Services, Office of	4,638	5,044	4,834	4,834	5,002	4,985
<b>Functional Total</b>	<b>2,900,908</b>	<b>2,933,859</b>	<b>2,871,262</b>	<b>2,885,493</b>	<b>2,920,514</b>	<b>2,928,305</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,975	52,623	48,148	48,594	49,045	49,501
Higher Education - Miscellaneous	283	169	198	198	198	198
Higher Education Services Corporation, New York State	14,530	13,380	13,752	13,752	13,752	13,752
State University of New York	3,775,119	3,761,249	3,980,777	4,021,752	4,160,249	4,100,334
<b>Functional Total</b>	<b>3,838,907</b>	<b>3,827,421</b>	<b>4,042,875</b>	<b>4,084,296</b>	<b>4,223,244</b>	<b>4,163,785</b>
<b>EDUCATION</b>						
Arts, Council on the	2,491	2,626	2,498	2,498	2,594	2,498
Education, Department of	174,795	176,266	172,576	172,260	178,720	175,511
<i>All Other</i>	174,795	176,266	172,576	172,260	178,720	175,511
<b>Functional Total</b>	<b>177,286</b>	<b>178,892</b>	<b>175,074</b>	<b>174,758</b>	<b>181,314</b>	<b>178,009</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,619	21,683	24,567	24,567	25,511	24,567
Civil Service, Department of	12,212	14,053	12,497	12,591	13,075	12,685
Deferred Compensation Board	368	408	410	413	414	413
Elections, State Board of	5,863	6,176	6,383	6,768	7,051	6,958
Employee Relations, Office of	2,269	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	32,822	34,862	38,757	38,757	40,248	38,757
General Services, Office of	77,580	67,107	48,450	48,450	51,875	49,458
Inspector General, Office of the	5,833	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,871	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,368	1,435	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,056	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	3,808	4,386	4,646	4,681	4,867	4,827
State, Department of	32,134	32,267	31,532	31,482	32,570	31,482
Tax Appeals, Division of	2,604	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	284,526	275,658	264,119	264,323	273,392	264,338
Technology, Office for	298,543	289,994	286,118	291,603	308,070	303,412
Veterans' Affairs, Division of	5,512	6,016	6,742	6,742	7,004	6,950
Welfare Inspector General, Office of	565	619	621	626	654	646
Workers' Compensation Board	82,632	85,117	84,993	85,604	89,709	85,604
<b>Functional Total</b>	<b>880,185</b>	<b>861,866</b>	<b>832,062</b>	<b>838,989</b>	<b>877,726</b>	<b>852,628</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	115,964	119,019	123,015	123,093	128,775	123,093
Executive Chamber	10,746	9,979	11,113	11,113	11,567	11,113
Judiciary	1,570,097	1,626,662	1,669,700	1,658,200	1,715,200	1,658,200
Law, Department of	138,838	152,616	146,569	148,270	155,342	149,286
Legislature	170,623	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	364	382	523	523	543	523
<b>Functional Total</b>	<b>2,006,632</b>	<b>2,083,008</b>	<b>2,126,461</b>	<b>2,120,251</b>	<b>2,194,060</b>	<b>2,128,501</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,939	2,194	128,275	243,285	358,340	358,340
<b>Functional Total</b>	<b>1,939</b>	<b>2,194</b>	<b>128,275</b>	<b>243,285</b>	<b>358,340</b>	<b>358,340</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,723,534</b>	<b>13,837,587</b>	<b>14,377,038</b>	<b>14,642,923</b>	<b>15,225,731</b>	<b>15,073,783</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	22,637	16,954	18,212	18,281	17,277	32,873
Alcoholic Beverage Control, Division of	3,385	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	8,623	6,648	4,607	4,607	4,607	4,607
Financial Services, Department of	58,021	55,487	53,612	56,468	56,766	56,472
Olympic Regional Development Authority	188	188	3,338	3,338	3,338	3,338
Public Service Department	8,857	10,215	8,717	8,767	7,769	7,767
<b>Functional Total</b>	<b>101,711</b>	<b>93,180</b>	<b>92,822</b>	<b>94,120</b>	<b>92,416</b>	<b>107,716</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	435	289	711	656	656	656
Environmental Conservation, Department of	67,578	51,988	54,739	53,394	56,558	56,478
Parks, Recreation and Historic Preservation, Office of	45,615	41,184	40,540	40,255	40,259	40,259
<b>Functional Total</b>	<b>113,628</b>	<b>93,461</b>	<b>95,990</b>	<b>94,305</b>	<b>97,473</b>	<b>97,393</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	17,842	15,718	19,621	19,685	19,783	19,174
Transportation, Department of	19,197	14,786	131,987	186,788	187,845	192,749
<b>Functional Total</b>	<b>37,039</b>	<b>30,504</b>	<b>151,608</b>	<b>206,473</b>	<b>207,628</b>	<b>211,923</b>
<b>HEALTH</b>						
Aging, Office for the	5,345	5,721	3,386	4,455	4,455	4,455
Health, Department of	1,065,509	1,103,544	1,167,224	1,134,282	1,086,213	1,093,011
<i>Essential Plan</i>	42,470	84,490	82,517	79,644	74,339	72,013
<i>Medicaid Administration</i>	602,480	567,251	697,082	692,472	648,673	658,154
<i>Public Health</i>	420,559	451,803	387,625	362,166	363,201	362,844
Medicaid Inspector General, Office of the	9,114	5,411	8,109	8,109	8,151	8,151
<b>Functional Total</b>	<b>1,079,968</b>	<b>1,114,676</b>	<b>1,178,719</b>	<b>1,146,846</b>	<b>1,098,819</b>	<b>1,105,617</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	133,420	123,958	159,164	181,324	195,740	199,621
<i>OCFS</i>	133,420	123,958	159,164	181,324	195,740	199,621
Housing and Community Renewal, Division of	10,150	13,049	12,348	12,348	13,224	13,520
Human Rights, Division of	2,685	2,297	1,747	1,747	1,782	1,817
Labor, Department of	68,376	73,448	89,533	89,533	92,938	96,346
National and Community Service	16,550	14,069	15,277	15,277	15,582	15,893
Temporary and Disability Assistance, Office of	132,817	130,000	136,942	137,578	138,702	141,312
<i>All Other</i>	132,817	130,000	136,942	137,578	138,702	141,312
<b>Functional Total</b>	<b>363,998</b>	<b>356,821</b>	<b>415,011</b>	<b>437,807</b>	<b>457,968</b>	<b>468,509</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	30,426	26,948	25,768	27,109	27,806	28,585
<i>OASAS</i>	17,190	13,514	15,341	16,006	16,277	16,624
<i>OASAS - Other</i>	13,236	13,434	10,427	11,103	11,529	11,961
Developmental Disabilities Planning Council	2,685	2,501	2,149	2,149	2,149	2,149
Justice Center	10,762	8,485	9,223	9,667	9,930	10,213
Mental Health, Office of	272,088	280,470	255,224	267,121	279,970	303,113
<i>OMH</i>	60,712	63,103	52,919	45,006	45,406	46,744
<i>OMH - Other</i>	211,376	217,367	202,305	222,115	234,564	256,369
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	206,505	203,689	188,881	182,825	188,029	193,438
<i>OPWDD</i>	1,173	422	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	205,332	203,267	187,700	181,644	186,848	192,257
<b>Functional Total</b>	<b>522,693</b>	<b>522,297</b>	<b>481,245</b>	<b>488,871</b>	<b>507,884</b>	<b>537,498</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	197	278	237	237	242	247
Correctional Services, Department of	527,797	529,970	564,540	563,409	563,409	560,409
Criminal Justice Services, Division of	12,161	17,185	13,480	13,480	13,480	13,746

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Homeland Security and Emergency Services, Division of	35,955	30,922	35,737	31,793	31,803	32,871
Indigent Legal Services, Office of	493	455	833	831	831	843
Judicial Conduct, Commission on	1,324	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	24,505	25,955	23,252	23,307	23,617	24,207
State Police, Division of	82,283	77,267	74,987	73,220	73,289	75,760
Statewide Financial System	19,264	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	1,144	1,601	1,787	1,552	1,552	1,575
<b>Functional Total</b>	<b>705,142</b>	<b>704,014</b>	<b>734,929</b>	<b>727,882</b>	<b>728,303</b>	<b>730,353</b>
<b>HIGHER EDUCATION</b>						
City University of New York	47,419	50,178	54,578	55,517	55,995	56,479
Higher Education - Miscellaneous	103	87	93	93	93	93
Higher Education Services Corporation, New York State	39,620	33,504	36,155	36,155	36,155	36,155
State University of New York	2,537,902	2,660,099	2,530,771	2,551,599	2,572,639	2,593,891
<b>Functional Total</b>	<b>2,625,044</b>	<b>2,743,868</b>	<b>2,621,597</b>	<b>2,643,364</b>	<b>2,664,882</b>	<b>2,686,618</b>
<b>EDUCATION</b>						
Arts, Council on the	1,434	1,634	1,922	1,922	1,922	1,922
Education, Department of	135,457	129,458	121,739	119,675	120,214	120,157
<i>All Other</i>	135,457	129,458	121,739	119,675	120,214	120,157
<b>Functional Total</b>	<b>136,891</b>	<b>131,092</b>	<b>123,661</b>	<b>121,597</b>	<b>122,136</b>	<b>122,079</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	3,940	4,917	4,199	3,372	3,375	3,372
Civil Service, Department of	1,083	742	834	850	851	860
Deferred Compensation Board	50	43	231	235	235	235
Elections, State Board of	3,730	5,449	7,544	8,359	8,012	6,376
Employee Relations, Office of	65	1,054	72	73	73	73
Gaming Commission, New York State	105,645	59,669	41,971	41,971	42,007	41,971
General Services, Office of	91,671	84,526	96,603	92,525	87,902	88,929
Inspector General, Office of the	1,346	943	827	839	856	1,204
Labor Management Committees	20,947	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	166	146	195	173	212	216
Public Employment Relations Board	225	201	291	296	296	296
Public Integrity, Commission on	1,068	729	930	949	968	1,183
State, Department of	18,924	15,984	17,292	17,143	17,167	17,143
Tax Appeals, Division of	229	147	170	170	170	170
Taxation and Finance, Department of	48,500	46,537	69,447	67,988	68,726	67,364
Technology, Office for	250,410	249,109	258,414	248,683	242,629	253,843
Veterans' Affairs, Division of	997	477	862	862	879	897
Welfare Inspector General, Office of	27	52	65	75	77	107
Workers' Compensation Board	57,972	55,854	58,330	59,589	60,804	60,804
<b>Functional Total</b>	<b>606,995</b>	<b>543,605</b>	<b>584,131</b>	<b>569,971</b>	<b>561,058</b>	<b>570,862</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	33,311	27,700	31,337	31,442	32,103	32,103
Executive Chamber	3,907	3,581	2,465	2,465	2,465	2,465
Judiciary	454,897	460,372	473,000	473,000	473,000	473,000
Law, Department of	56,583	58,005	63,746	64,990	66,251	66,251
Legislature	55,270	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	146	110	91	91	91	91
<b>Functional Total</b>	<b>604,114</b>	<b>598,790</b>	<b>624,956</b>	<b>627,372</b>	<b>630,383</b>	<b>631,493</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,647	41,887	47,183	47,183	47,183	47,183

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Miscellaneous	22,876	45,409	46,335	44,737	44,737	44,737
<b>Functional Total</b>	<b>61,523</b>	<b>87,296</b>	<b>93,518</b>	<b>91,920</b>	<b>91,920</b>	<b>91,920</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>6,958,853</b>	<b>7,019,735</b>	<b>7,198,437</b>	<b>7,250,528</b>	<b>7,260,870</b>	<b>7,361,981</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,766	3,295	4,048	4,111	4,401	4,597
Alcoholic Beverage Control, Division of	121	0	0	0	0	0
Economic Development, Department of	0	2	28	28	28	28
Financial Services, Department of	86,652	90,924	100,172	104,142	116,795	116,569
Public Service Department	27,163	34,183	28,484	29,405	31,021	32,681
<b>Functional Total</b>	<b>117,702</b>	<b>128,404</b>	<b>132,732</b>	<b>137,686</b>	<b>152,245</b>	<b>153,875</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	62,106	62,194	68,775	61,946	61,518	60,450
Parks, Recreation and Historic Preservation, Office of	2,972	3,264	3,152	3,160	3,331	3,365
<b>Functional Total</b>	<b>65,078</b>	<b>65,458</b>	<b>71,927</b>	<b>65,106</b>	<b>64,849</b>	<b>63,815</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	21,158	21,841	24,035	24,838	26,769	27,421
Transportation, Department of	6,299	6,070	8,695	8,811	8,836	8,987
<b>Functional Total</b>	<b>27,457</b>	<b>27,911</b>	<b>32,730</b>	<b>33,649</b>	<b>35,605</b>	<b>36,408</b>
<b>HEALTH</b>						
Health, Department of	68,584	67,722	89,046	81,689	86,544	88,170
<i>Medicaid Administration</i>	1,522	2,834	6,215	6,642	7,049	7,356
<i>Public Health</i>	67,062	64,888	82,831	75,047	79,495	80,814
Medicaid Inspector General, Office of the	9,143	9,354	9,868	10,231	11,007	11,007
<b>Functional Total</b>	<b>77,727</b>	<b>77,076</b>	<b>98,914</b>	<b>91,920</b>	<b>97,551</b>	<b>99,177</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	11,468	12,864	17,485	13,025	14,064	14,064
<i>OCFS</i>	11,468	12,864	17,485	13,025	14,064	14,064
Housing and Community Renewal, Division of	9,260	19,545	27,125	27,125	27,125	31,850
Labor, Department of	111,031	121,399	115,870	116,680	116,680	140,222
National and Community Service	0	0	229	236	242	242
Temporary and Disability Assistance, Office of	42,343	52,395	49,731	44,498	44,498	52,766
<i>All Other</i>	42,343	52,395	49,731	44,498	44,498	52,766
<b>Functional Total</b>	<b>174,102</b>	<b>206,203</b>	<b>210,440</b>	<b>201,564</b>	<b>202,609</b>	<b>239,144</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	33,726	36,956	2,384	2,028	2,097	2,190
<i>OASAS</i>	13,803	14,792	2,384	2,028	2,097	2,190
<i>OASAS - Other</i>	19,923	22,164	0	0	0	0
Developmental Disabilities Planning Council	231	870	785	785	785	785
Justice Center	850	709	938	993	1,057	1,125
Mental Health, Office of	621,931	651,893	456	469	469	469
<i>OMH</i>	192,665	194,563	456	469	469	469
<i>OMH - Other</i>	429,266	457,330	0	0	0	0
People with Developmental Disabilities, Office for	637,200	683,801	0	0	0	0
<i>OPWDD - Other</i>	637,200	683,801	0	0	0	0
<b>Functional Total</b>	<b>1,293,938</b>	<b>1,374,229</b>	<b>4,563</b>	<b>4,275</b>	<b>4,408</b>	<b>4,569</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,092	1,211	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	364	652	383	383	383	383
Homeland Security and Emergency Services, Division of	7,388	7,269	7,873	5,396	5,414	5,484
Indigent Legal Services, Office of	967	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	6,078	5,775	10,577	6,629	6,754	6,755
State Police, Division of	20,056	15,817	25,400	26,310	26,653	26,823
Victim Services, Office of	1,570	1,638	1,650	1,650	1,650	1,683
<b>Functional Total</b>	<b>37,515</b>	<b>33,431</b>	<b>48,936</b>	<b>43,518</b>	<b>44,118</b>	<b>44,514</b>
<b>HIGHER EDUCATION</b>						

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
City University of New York	7,661	145	150	150	150	150
Higher Education - Miscellaneous	143	81	150	150	150	150
Higher Education Services Corporation, New York State	8,525	8,131	9,021	9,021	9,021	9,021
State University of New York	420,308	430,885	485,122	500,617	514,171	504,324
<b>Functional Total</b>	<b>436,637</b>	<b>439,242</b>	<b>494,443</b>	<b>509,938</b>	<b>523,492</b>	<b>513,645</b>
<b>EDUCATION</b>						
Education, Department of	79,985	85,316	88,398	91,218	98,452	99,195
<i>All Other</i>	79,985	85,316	88,398	91,218	98,452	99,195
<b>Functional Total</b>	<b>79,985</b>	<b>85,316</b>	<b>88,398</b>	<b>91,218</b>	<b>98,452</b>	<b>99,195</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	776	712	1,657	1,657	1,717	1,657
Civil Service, Department of	84	180	229	239	263	270
Deferred Compensation Board	195	224	205	205	205	205
Elections, State Board of	49	0	150	300	300	300
Gaming Commission, New York State	12,777	16,540	17,575	17,575	18,465	17,575
General Services, Office of	407	369	548	567	627	644
State, Department of	10,795	12,213	14,919	15,334	16,554	16,815
Taxation and Finance, Department of	25,017	22,635	27,264	27,063	28,183	27,072
Veterans' Affairs, Division of	218	295	240	255	269	269
Workers' Compensation Board	48,919	52,395	53,220	53,402	57,863	57,863
<b>Functional Total</b>	<b>99,237</b>	<b>105,563</b>	<b>116,007</b>	<b>116,597</b>	<b>124,446</b>	<b>122,670</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,605	1,677	2,135	2,135	2,167	2,167
Judiciary	702,596	750,627	774,629	786,129	786,129	786,129
Law, Department of	25,029	28,245	32,031	32,808	34,830	34,830
<b>Functional Total</b>	<b>729,230</b>	<b>780,549</b>	<b>808,795</b>	<b>821,072</b>	<b>823,126</b>	<b>823,126</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Miscellaneous	5,412	8,445	5,747	5,749	5,761	5,766
<b>Functional Total</b>	<b>4,788,355</b>	<b>4,851,260</b>	<b>6,648,030</b>	<b>7,025,960</b>	<b>7,564,722</b>	<b>8,134,839</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>7,926,963</b>	<b>8,174,642</b>	<b>8,755,915</b>	<b>9,142,503</b>	<b>9,735,623</b>	<b>10,334,977</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,337	8,264	64,699	16,915	5,415	5,415
Economic Development Capital	0	0	22,587	21,600	20,862	30,862
Economic Development, Department of	17	10	13,977	0	5,525	0
Empire State Development Corporation	75,861	71,126	287,752	692,907	744,568	414,871
Energy Research and Development Authority	14,545	17,109	22,877	17,595	15,720	14,001
Olympic Regional Development Authority	7,500	38,000	50,000	20,000	10,000	10,000
Power Authority, New York	0	160	13,750	29,000	32,700	2,000
Regional Economic Development Program	0	0	3,500	337	337	337
Strategic Investment Program	0	0	7,002	6,650	11,046	6,650
<b>Functional Total</b>	<b>102,260</b>	<b>134,669</b>	<b>486,144</b>	<b>805,004</b>	<b>846,173</b>	<b>484,136</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	367,494	313,851	723,744	572,840	581,096	611,372
Hudson River Park Trust	0	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	153,829	179,949	177,903	179,732	164,914	165,364
<b>Functional Total</b>	<b>521,323</b>	<b>493,800</b>	<b>901,647</b>	<b>757,572</b>	<b>756,010</b>	<b>786,736</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	217,104	221,992	228,495	231,724	243,144	224,758
Transportation, Department of	3,581,749	3,466,560	3,612,009	3,459,585	3,616,185	3,671,445
<b>Functional Total</b>	<b>3,798,853</b>	<b>3,688,552</b>	<b>3,840,504</b>	<b>3,691,309</b>	<b>3,859,329</b>	<b>3,896,203</b>
<b>HEALTH</b>						
Health, Department of	41,165	55,232	112,344	112,344	60,344	60,344
<i>Public Health</i>	41,165	55,232	112,344	112,344	60,344	60,344
<b>Functional Total</b>	<b>41,165</b>	<b>55,232</b>	<b>112,344</b>	<b>112,344</b>	<b>60,344</b>	<b>60,344</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	24,392	41,259	68,695	22,872	23,050	22,906
<i>OCFS</i>	24,392	41,259	68,695	22,872	23,050	22,906
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	47,500	44,500	16,049	5,000
Temporary and Disability Assistance, Office of	346	801	800	800	800	800
<i>All Other</i>	346	801	800	800	800	800
<b>Functional Total</b>	<b>24,738</b>	<b>42,060</b>	<b>119,995</b>	<b>71,172</b>	<b>42,899</b>	<b>31,706</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,066	1,807	2,849	2,604	2,662	2,710
<i>OASAS</i>	1,066	1,807	2,849	2,604	2,662	2,710
Mental Health, Office of	139,970	214,774	198,144	175,607	182,122	209,215
<i>OMH</i>	139,970	214,774	198,144	175,607	182,122	209,215
People with Developmental Disabilities, Office for	69,053	70,009	88,698	89,291	83,437	84,657
<i>OPWDD</i>	69,053	70,009	88,698	89,291	83,437	84,657
<b>Functional Total</b>	<b>210,089</b>	<b>286,590</b>	<b>289,691</b>	<b>267,502</b>	<b>268,221</b>	<b>296,582</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	303,275	355,744	378,637	342,168	316,496	316,985
Homeland Security and Emergency Services, Division of	8,445	28,671	23,180	17,156	7,000	7,000
Military and Naval Affairs, Division of	70,039	63,307	47,692	66,313	48,844	42,244
State Police, Division of	31,235	72,903	44,725	42,684	52,753	51,488
<b>Functional Total</b>	<b>412,994</b>	<b>520,625</b>	<b>494,234</b>	<b>468,321</b>	<b>425,093</b>	<b>417,717</b>
<b>HIGHER EDUCATION</b>						
City University of New York	36,527	36,608	35,900	36,620	37,352	37,352
State University of New York	760,044	940,056	951,643	923,016	940,193	944,473
<b>Functional Total</b>	<b>796,571</b>	<b>976,664</b>	<b>987,543</b>	<b>959,636</b>	<b>977,545</b>	<b>981,825</b>
<b>EDUCATION</b>						



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Education, Department of	5,145	6,713	51,500	43,368	37,557	47,500
<i>All Other</i>	5,145	6,713	51,500	43,368	37,557	47,500
<b>Functional Total</b>	<u>5,145</u>	<u>6,713</u>	<u>51,500</u>	<u>43,368</u>	<u>37,557</u>	<u>47,500</u>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	92,531	128,849	209,828	187,662	190,879	154,517
State, Department of	0	1,233	2,767	2,000	0	0
Technology, Office for	86,220	102,831	118,865	18,751	24,700	33,700
Workers' Compensation Board	3,752	4,824	2,500	30,000	35,806	0
<b>Functional Total</b>	<u>182,503</u>	<u>237,737</u>	<u>333,960</u>	<u>238,413</u>	<u>251,385</u>	<u>188,217</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	2,100	0	5,024	1,400	0	0
Judiciary	0	13,943	15,000	3,500	0	0
Law, Department of	6,201	5,035	9,452	968	0	0
<b>Functional Total</b>	<u>8,301</u>	<u>18,978</u>	<u>29,476</u>	<u>5,868</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	5,200	5,000	0	0
Miscellaneous	33,220	21,593	(567,093)	(582,992)	(643,758)	77,093
Special Infrastructure Account	418,228	360,672	838,864	873,602	592,050	427,650
<b>Functional Total</b>	<u>451,448</u>	<u>382,265</u>	<u>276,971</u>	<u>295,610</u>	<u>(51,708)</u>	<u>504,743</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>6,555,390</u></u>	<u><u>6,843,885</u></u>	<u><u>7,924,009</u></u>	<u><u>7,716,119</u></u>	<u><u>7,472,848</u></u>	<u><u>7,695,709</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	82,570	79,534	142,699	85,700	70,487	85,181
Alcoholic Beverage Control, Division of	11,248	11,819	12,483	11,244	11,560	11,244
Economic Development Capital	1,844	2,751	22,587	20,270	19,569	29,069
Economic Development, Department of	79,798	81,242	91,395	70,963	76,212	75,813
Empire State Development Corporation	1,107,501	1,207,655	1,637,350	1,837,697	1,890,277	1,751,701
Energy Research and Development Authority	14,545	17,109	22,877	17,595	15,720	14,001
Financial Services, Department of	362,563	357,557	374,035	378,571	397,475	391,112
Olympic Regional Development Authority	10,236	40,736	61,293	25,886	15,886	15,886
Power Authority, New York	1,916	243	13,750	29,000	32,700	2,000
Public Service Department	75,529	84,881	77,674	78,645	80,874	80,921
Regional Economic Development Program	4,156	2,030	3,500	320	320	320
Strategic Investment Program	683	1,625	7,002	6,317	10,494	6,317
<b>Functional Total</b>	<b>1,752,589</b>	<b>1,887,182</b>	<b>2,466,645</b>	<b>2,562,208</b>	<b>2,621,574</b>	<b>2,463,565</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,535	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	754,553	760,842	1,005,869	1,053,043	1,083,083	1,148,838
Hudson River Park Trust	0	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	338,130	353,493	352,135	351,823	343,475	339,054
<b>Functional Total</b>	<b>1,097,218</b>	<b>1,118,803</b>	<b>1,362,473</b>	<b>1,414,280</b>	<b>1,441,130</b>	<b>1,502,306</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	250,000	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	287,137	293,720	313,011	316,902	331,198	313,145
Transportation, Department of	7,876,559	7,997,573	7,181,645	6,984,138	7,224,011	7,409,135
<b>Functional Total</b>	<b>8,413,696</b>	<b>8,356,293</b>	<b>8,294,656</b>	<b>7,976,040</b>	<b>7,750,065</b>	<b>7,722,280</b>
<b>HEALTH</b>						
Aging, Office for the	126,347	125,380	130,510	132,817	138,042	143,311
Health, Department of	20,529,647	21,620,700	22,871,145	24,457,861	25,605,612	26,554,365
<i>Medical Assistance</i>	17,505,933	18,857,443	19,376,415	20,899,531	21,916,649	22,792,661
<i>Essential Plan</i>	312,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	728,644	583,789	917,523	744,037	721,913	707,680
<i>Public Health</i>	1,982,233	2,091,830	2,490,484	2,730,069	2,888,293	2,977,627
Medicaid Inspector General, Office of the	20,489	18,282	18,111	18,111	18,718	18,111
<b>Functional Total</b>	<b>20,676,483</b>	<b>21,764,362</b>	<b>23,019,766</b>	<b>24,608,789</b>	<b>25,762,372</b>	<b>26,715,787</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,991,212	1,892,775	2,051,076	2,072,866	2,158,814	2,196,515
<i>OCFS</i>	1,908,965	1,815,413	1,966,334	1,982,059	2,064,827	2,098,364
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	191,077	351,538	850,060	617,128	619,804	578,290
Human Rights, Division of	10,382	9,465	9,921	9,921	10,590	10,230
Labor, Department of	82,569	83,203	81,668	67,156	69,893	71,435
National and Community Service	471	770	690	690	699	699
Nonprofit Infrastructure Capital Investment Program	0	6,951	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	1,412,347	1,400,455	1,467,844	1,554,080	1,561,373	1,567,893
<i>Welfare Assistance</i>	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	282,156	263,131	290,023	312,714	320,007	326,527
<b>Functional Total</b>	<b>3,688,058</b>	<b>3,745,157</b>	<b>4,533,787</b>	<b>4,366,341</b>	<b>4,437,222</b>	<b>4,430,062</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	475,557	481,016	505,602	547,736	571,265	559,240
<i>OASAS</i>	386,217	388,019	440,593	481,527	503,614	490,862
<i>OASAS - Other</i>	89,340	92,997	65,009	66,209	67,651	68,378
Justice Center	39,719	40,136	42,979	44,832	46,798	46,205
Mental Health, Office of	3,336,606	3,467,179	2,881,176	2,996,957	3,126,140	3,256,130
<i>OMH</i>	1,641,407	1,743,973	1,562,659	1,680,204	1,765,305	1,856,886
<i>OMH - Other</i>	1,695,199	1,723,206	1,318,517	1,316,753	1,360,835	1,399,244

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	2,973,239	2,934,957	1,948,169	2,239,454	2,680,095	2,604,772
<i>OPWDD</i>	454,751	479,476	481,029	476,995	477,070	488,467
<i>OPWDD - Other</i>	2,518,488	2,455,481	1,467,140	1,762,459	2,203,025	2,116,305
<b>Functional Total</b>	<b>6,825,348</b>	<b>6,923,492</b>	<b>5,377,926</b>	<b>5,828,979</b>	<b>6,424,298</b>	<b>6,466,347</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,948,495	2,989,492	2,989,760	2,965,664	2,945,622	2,937,481
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	191,089	193,155	222,552	193,281	194,256	194,078
Homeland Security and Emergency Services, Division of	134,615	146,324	135,955	155,710	167,846	180,640
Indigent Legal Services, Office of	63,641	75,499	166,393	190,581	214,855	214,975
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	62,989	58,162	44,947	63,269	46,096	40,227
State Police, Division of	769,728	828,909	799,163	792,809	828,800	842,413
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	28,996	26,080	33,557	36,345	36,462	37,111
<b>Functional Total</b>	<b>4,237,817</b>	<b>4,355,928</b>	<b>4,433,124</b>	<b>4,438,592</b>	<b>4,475,663</b>	<b>4,486,959</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,562,530	1,604,626	1,624,879	1,666,511	1,707,792	1,743,679
Higher Education - Miscellaneous	529	337	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	0	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	1,014,320	929,204	1,132,969	1,209,228	1,227,501	1,234,607
State University of New York	7,674,938	7,939,963	8,118,562	8,169,587	8,359,855	8,315,625
<b>Functional Total</b>	<b>10,252,317</b>	<b>10,478,555</b>	<b>10,889,351</b>	<b>11,057,642</b>	<b>11,309,839</b>	<b>11,301,002</b>
<b>EDUCATION</b>						
Arts, Council on the	42,832	39,210	45,413	45,253	45,349	45,253
Education, Department of	29,908,939	30,489,950	31,832,626	33,006,128	33,983,816	35,188,498
<i>School Aid</i>	24,328,829	25,487,836	26,659,408	27,956,797	28,888,874	29,998,225
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<i>All Other</i>	1,066,621	1,088,095	1,286,017	1,204,061	1,197,331	1,226,817
<b>Functional Total</b>	<b>29,951,771</b>	<b>30,529,160</b>	<b>31,878,039</b>	<b>33,051,381</b>	<b>34,029,165</b>	<b>35,233,751</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	25,335	27,312	30,423	29,596	30,603	29,596
Civil Service, Department of	13,379	14,975	13,560	13,680	14,189	13,815
Deferred Compensation Board	613	675	846	853	854	853
Elections, State Board of	7,188	7,813	9,837	10,147	10,583	10,054
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	253,064	176,850	238,873	212,703	215,120	212,703
General Services, Office of	253,750	272,789	347,397	321,172	323,251	285,516
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	2,028	2,349	2,949	3,049	3,121	3,059
Public Employment Relations Board	3,281	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	70,808	65,500	80,858	129,724	113,055	102,203
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	357,907	345,192	362,336	360,880	371,807	360,280
Technology, Office for	634,586	638,808	663,397	559,037	575,399	590,955
Veterans' Affairs, Division of	14,291	13,791	16,028	13,873	14,119	14,054
Welfare Inspector General, Office of	592	671	686	701	731	753
Workers' Compensation Board	191,393	198,190	199,043	228,595	244,182	204,271
<b>Functional Total</b>	<b>1,874,255</b>	<b>1,811,486</b>	<b>2,019,781</b>	<b>1,937,728</b>	<b>1,971,825</b>	<b>1,882,784</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
(thousands of dollars)

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	185,005	180,421	193,535	190,094	195,069	189,387
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,822,161	2,959,272	3,034,129	3,037,628	3,091,129	3,034,129
Law, Department of	191,102	205,126	208,150	202,389	209,617	203,561
Legislature	225,893	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<u>3,439,324</u>	<u>3,582,243</u>	<u>3,679,864</u>	<u>3,678,739</u>	<u>3,749,587</u>	<u>3,685,138</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,839	721,920	723,783	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>760,433</u>	<u>760,640</u>	<u>764,887</u>	<u>862,716</u>	<u>919,700</u>	<u>794,700</u>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	15,000	5,000	0	0
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Long-Term Debt Service	5,552,429	5,914,725	5,566,764	6,667,152	7,168,443	7,144,263
Miscellaneous	76,129	83,243	(508,979)	(250,121)	(156,228)	571,900
Special Infrastructure Account	649,103	783,139	848,864	878,602	602,050	427,650
<b>Functional Total</b>	<u>11,060,604</u>	<u>11,623,922</u>	<u>12,563,932</u>	<u>14,320,844</u>	<u>15,173,226</u>	<u>16,272,886</u>
<b>TOTAL STATE FUNDS SPENDING</b>	<u>104,029,913</u>	<u>106,937,223</u>	<u>111,284,231</u>	<u>116,104,279</u>	<u>120,065,666</u>	<u>122,957,567</u>

**CASH DISBURSEMENTS BY FUNCTION**

**STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,980	32,318	38,271	28,757	24,757	24,907
Economic Development Capital	1,844	2,751	0	(1,330)	(1,293)	(1,793)
Economic Development, Department of	58,598	61,825	60,099	53,644	53,368	58,494
Empire State Development Corporation	1,031,640	1,136,529	1,349,598	1,144,790	1,145,709	1,336,830
Financial Services, Department of	66,703	57,254	64,954	61,886	61,855	61,855
Olympic Regional Development Authority	0	0	2,360	0	0	0
Power Authority, New York	1,916	83	0	0	0	0
Public Service Department	0	102	136	136	136	136
Regional Economic Development Program	4,156	2,030	0	(17)	(17)	(17)
Strategic Investment Program	683	1,625	0	(333)	(552)	(333)
<b>Functional Total</b>	<b>1,197,520</b>	<b>1,294,517</b>	<b>1,515,418</b>	<b>1,287,533</b>	<b>1,283,963</b>	<b>1,480,079</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	169,993	191,133	35,095	243,024	254,785	298,358
Parks, Recreation and Historic Preservation, Office of	7,998	7,095	5,856	5,550	5,550	5,550
<b>Functional Total</b>	<b>177,991</b>	<b>198,228</b>	<b>40,951</b>	<b>248,574</b>	<b>260,335</b>	<b>303,908</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	250,000	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	0	1,600	375	0	0	0
Transportation, Department of	5,637,160	5,624,706	4,529,866	4,217,379	4,251,474	4,376,538
<b>Functional Total</b>	<b>5,887,160</b>	<b>5,691,306</b>	<b>5,330,241</b>	<b>4,892,379</b>	<b>4,446,330</b>	<b>4,376,538</b>
<b>HEALTH</b>						
Aging, Office for the	125,116	124,180	129,278	131,585	136,767	142,079
Health, Department of	19,775,413	20,800,316	21,915,275	23,529,018	24,731,814	25,681,586
<i>Medical Assistance</i>	17,505,933	18,857,443	19,376,415	20,899,531	21,916,649	22,792,661
<i>Essential Plan</i>	269,000	0	0	0	0	0
<i>Medicaid Administration</i>	467,643	286,336	585,173	428,149	411,867	396,295
<i>Public Health</i>	1,532,837	1,656,537	1,953,687	2,201,338	2,403,298	2,492,630
<b>Functional Total</b>	<b>19,900,529</b>	<b>20,924,496</b>	<b>22,044,553</b>	<b>23,660,603</b>	<b>24,868,581</b>	<b>25,823,665</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,715,339	1,608,134	1,691,071	1,672,391	1,690,996	1,726,177
<i>OCFS</i>	1,633,092	1,530,772	1,606,329	1,581,584	1,597,009	1,628,026
<i>OCFS - Other</i>	82,247	77,362	84,742	90,807	93,987	98,151
Housing and Community Renewal, Division of	135,329	283,283	774,473	541,541	540,965	497,640
Labor, Department of	15,403	12,666	14,662	150	150	150
National and Community Service	267	477	350	350	350	350
Nonprofit Infrastructure Capital Investment Program	0	6,951	0	0	0	0
Roosevelt Island Operating Corporation	0	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	1,274,253	1,276,768	1,339,764	1,419,669	1,422,469	1,426,269
<i>Welfare Assistance</i>	1,130,191	1,137,324	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	144,062	139,444	161,943	178,303	181,103	184,903
<b>Functional Total</b>	<b>3,140,591</b>	<b>3,188,279</b>	<b>3,845,348</b>	<b>3,634,101</b>	<b>3,654,930</b>	<b>3,650,586</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	358,360	360,781	419,118	459,372	480,342	467,897
<i>OASAS</i>	337,035	339,456	397,793	438,047	459,017	446,572
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	619	649	649	649	649
Mental Health, Office of	1,230,874	1,251,218	1,341,388	1,458,733	1,544,838	1,613,407
<i>OMH</i>	960,374	985,829	1,042,063	1,149,022	1,224,208	1,281,308
<i>OMH - Other</i>	270,500	265,389	299,325	309,711	320,630	332,099
People with Developmental Disabilities, Office for	969,589	847,982	533,651	822,349	1,232,323	1,140,821
<i>OPWDD</i>	385,635	409,319	392,150	387,523	393,452	403,629
<i>OPWDD - Other</i>	583,954	438,663	141,501	434,826	838,871	737,192
<b>Functional Total</b>	<b>2,559,502</b>	<b>2,460,600</b>	<b>2,294,806</b>	<b>2,741,103</b>	<b>3,258,152</b>	<b>3,222,774</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	4,457	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	155,863	156,618	185,704	156,433	156,433	156,433
Homeland Security and Emergency Services, Division of	99,474	87,335	75,415	99,524	120,542	130,839
Indigent Legal Services, Office of	60,503	72,102	160,672	184,765	208,859	208,859
Military and Naval Affairs, Division of	786	819	820	820	820	886
Victim Services, Office of	23,748	20,728	27,956	30,744	30,744	31,398
<b>Functional Total</b>	<b>344,831</b>	<b>343,288</b>	<b>457,403</b>	<b>479,122</b>	<b>524,234</b>	<b>533,251</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,424,129	1,465,153	1,493,737	1,533,264	1,572,884	1,607,831
Higher Education Facilities Capital Matching Grants Program	0	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	957,504	879,322	1,080,675	1,156,934	1,175,207	1,182,313
State University of New York	491,891	488,783	482,289	484,643	484,643	484,643
<b>Functional Total</b>	<b>2,873,524</b>	<b>2,837,683</b>	<b>3,069,201</b>	<b>3,186,716</b>	<b>3,246,984</b>	<b>3,281,437</b>
<b>EDUCATION</b>						
Arts, Council on the	38,907	34,950	41,093	40,933	40,933	40,933
Education, Department of	29,732,476	30,303,822	31,598,735	32,781,420	33,757,991	34,955,253
<i>School Aid</i>	24,328,829	25,487,836	26,659,408	27,956,797	28,888,874	29,998,225
<i>School Aid – Other</i>	57,079	61,169	99,342	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,317,359	1,263,705	1,328,600	1,349,837	1,417,421	1,483,266
<i>All Other</i>	890,158	901,967	1,052,126	979,353	971,506	993,572
<b>Functional Total</b>	<b>29,771,383</b>	<b>30,338,772</b>	<b>31,639,828</b>	<b>32,822,353</b>	<b>33,798,924</b>	<b>34,996,186</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	93	50	0	0	0	0
Gaming Commission, New York State	101,820	65,779	140,570	114,400	114,400	114,400
Prevention of Domestic Violence, Office for	528	791	1,285	1,385	1,385	1,412
State, Department of	13,509	10,035	24,961	74,379	57,379	47,379
Taxation and Finance, Department of	1,080	1,542	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	8,340	7,906	9,792	7,637	7,637	7,637
<b>Functional Total</b>	<b>125,370</b>	<b>86,103</b>	<b>179,334</b>	<b>200,527</b>	<b>183,527</b>	<b>173,554</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	101,099	114,224	112,200	127,199	127,200	127,200
<b>Functional Total</b>	<b>133,124</b>	<b>146,249</b>	<b>144,224</b>	<b>159,223</b>	<b>159,224</b>	<b>159,224</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	714,732	721,789	723,533	731,363	763,347	763,347
County-Wide Shared Services Initiative	0	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	0	348	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,326</b>	<b>760,509</b>	<b>764,637</b>	<b>862,716</b>	<b>919,700</b>	<b>794,700</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	9,800	0	0	0
Miscellaneous	20,373	12,639	(105,943)	39,100	78,692	85,964
Special Infrastructure Account	230,875	422,467	10,000	5,000	10,000	0
<b>Functional Total</b>	<b>251,248</b>	<b>435,106</b>	<b>(86,143)</b>	<b>44,100</b>	<b>88,692</b>	<b>85,964</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>67,123,099</b>	<b>68,705,136</b>	<b>71,239,801</b>	<b>74,219,050</b>	<b>76,693,576</b>	<b>78,881,866</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	44,534	37,697	37,838	38,068	38,187	52,668
Alcoholic Beverage Control, Division of	11,127	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	21,183	19,405	17,291	17,291	17,291	17,291
Financial Services, Department of	209,208	209,379	208,909	212,543	218,825	212,688
Olympic Regional Development Authority	2,736	2,736	8,933	5,886	5,886	5,886
Public Service Department	50,006	52,384	50,777	50,827	51,440	49,827
<b>Functional Total</b>	<b>338,794</b>	<b>333,420</b>	<b>336,231</b>	<b>335,859</b>	<b>343,189</b>	<b>349,604</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,535	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	225,085	212,775	208,217	204,773	216,247	209,602
Parks, Recreation and Historic Preservation, Office of	178,446	168,983	173,224	171,381	177,704	172,799
<b>Functional Total</b>	<b>408,066</b>	<b>386,226</b>	<b>385,910</b>	<b>380,568</b>	<b>398,523</b>	<b>386,815</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	49,560	49,142	60,826	61,060	62,091	61,772
Transportation, Department of	21,228	9,139	280,220	343,908	345,113	349,869
<b>Functional Total</b>	<b>70,788</b>	<b>58,281</b>	<b>341,046</b>	<b>404,968</b>	<b>407,204</b>	<b>411,641</b>
<b>HEALTH</b>						
Aging, Office for the	1,231	1,200	1,232	1,232	1,275	1,232
Health, Department of	688,514	741,299	812,135	788,709	783,886	781,582
<i>Essential Plan</i>	43,837	87,638	86,723	84,224	78,757	76,397
<i>Medicaid Administration</i>	261,001	297,453	332,350	315,888	310,046	311,385
<i>Public Health</i>	383,676	356,208	393,062	388,597	395,083	393,800
Medicaid Inspector General, Office of the	20,489	18,282	18,111	18,111	18,718	18,111
<b>Functional Total</b>	<b>710,234</b>	<b>760,781</b>	<b>831,478</b>	<b>808,052</b>	<b>803,879</b>	<b>800,925</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	249,825	241,724	289,267	375,809	442,944	445,608
<i>OCFS</i>	249,825	241,724	289,267	375,809	442,944	445,608
Housing and Community Renewal, Division of	50,299	52,210	52,467	52,467	55,719	54,301
Human Rights, Division of	10,382	9,465	9,921	9,921	10,590	10,230
Labor, Department of	47,669	49,642	46,519	46,519	49,256	48,280
National and Community Service	204	293	340	340	349	349
Temporary and Disability Assistance, Office of	137,626	122,874	127,152	133,483	137,976	140,696
<i>All Other</i>	137,626	122,874	127,152	133,483	137,976	140,696
<b>Functional Total</b>	<b>496,005</b>	<b>476,208</b>	<b>525,666</b>	<b>618,539</b>	<b>696,834</b>	<b>699,464</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	82,405	81,472	83,635	85,760	88,261	88,633
<i>OASAS</i>	34,313	31,964	39,951	40,876	41,935	41,580
<i>OASAS - Other</i>	48,092	49,508	43,684	44,884	46,326	47,053
Justice Center	38,190	38,868	41,456	43,254	45,156	44,496
Mental Health, Office of	1,344,266	1,350,460	1,341,644	1,362,617	1,399,180	1,433,508
<i>OMH</i>	348,833	349,973	322,452	355,575	358,975	366,363
<i>OMH - Other</i>	995,433	1,000,487	1,019,192	1,007,042	1,040,205	1,067,145
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	1,297,397	1,333,165	1,325,820	1,327,814	1,364,335	1,379,294
<i>OPWDD</i>	63	148	181	181	181	181
<i>OPWDD - Other</i>	1,297,334	1,333,017	1,325,639	1,327,633	1,364,154	1,379,113
<b>Functional Total</b>	<b>2,762,485</b>	<b>2,804,169</b>	<b>2,792,555</b>	<b>2,819,445</b>	<b>2,896,932</b>	<b>2,945,931</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,431	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,640,662	2,627,945	2,606,181	2,618,554	2,624,184	2,615,554
Criminal Justice Services, Division of	35,193	36,502	36,813	36,813	37,788	37,610
Homeland Security and Emergency Services, Division of	26,030	29,703	36,551	38,213	39,469	41,896

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**STATE OPERATIONS**  
(thousands of dollars)

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Indigent Legal Services, Office of	2,171	2,328	3,815	3,813	3,879	3,877
Judicial Conduct, Commission on	5,505	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	26,799	25,090	22,376	22,127	22,423	23,087
State Police, Division of	720,382	741,934	730,538	725,315	750,894	765,602
Statewide Financial System	30,309	30,137	30,382	30,506	30,949	31,117
Victim Services, Office of	3,678	3,714	3,951	3,951	4,068	4,030
<b>Functional Total</b>	<b>3,493,179</b>	<b>3,505,523</b>	<b>3,479,022</b>	<b>3,487,719</b>	<b>3,522,431</b>	<b>3,531,690</b>
<b>HIGHER EDUCATION</b>						
City University of New York	94,213	102,720	95,092	96,477	97,406	98,346
Higher Education - Miscellaneous	386	256	291	291	291	291
Higher Education Services Corporation, New York State	48,292	41,751	43,274	43,274	43,274	43,274
State University of New York	6,002,720	6,080,264	6,199,559	6,261,362	6,420,899	6,382,236
<b>Functional Total</b>	<b>6,145,611</b>	<b>6,224,991</b>	<b>6,338,216</b>	<b>6,401,404</b>	<b>6,561,870</b>	<b>6,524,147</b>
<b>EDUCATION</b>						
Arts, Council on the	3,925	4,260	4,320	4,320	4,416	4,320
Education, Department of	139,176	144,872	144,928	142,548	145,816	142,550
<i>All Other</i>	139,176	144,872	144,928	142,548	145,816	142,550
<b>Functional Total</b>	<b>143,101</b>	<b>149,132</b>	<b>149,248</b>	<b>146,868</b>	<b>150,232</b>	<b>146,870</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	24,559	26,600	28,766	27,939	28,886	27,939
Civil Service, Department of	13,295	14,795	13,331	13,441	13,926	13,545
Deferred Compensation Board	418	451	641	648	649	648
Elections, State Board of	7,095	7,763	9,837	10,147	10,583	10,054
Employee Relations, Office of	2,334	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	138,467	94,531	80,728	80,728	82,255	80,728
General Services, Office of	160,812	143,571	137,021	132,943	131,745	130,355
Inspector General, Office of the	7,179	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	28,818	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	1,500	1,558	1,664	1,664	1,736	1,647
Public Employment Relations Board	3,281	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	4,876	5,115	5,576	5,630	5,835	6,010
State, Department of	47,676	43,799	41,020	40,821	41,933	40,821
Tax Appeals, Division of	2,833	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	331,810	321,015	332,346	331,091	340,898	330,482
Technology, Office for	548,366	535,977	544,532	540,286	550,699	557,255
Veterans' Affairs, Division of	5,951	5,885	6,236	6,236	6,482	6,417
Welfare Inspector General, Office of	592	671	686	701	731	753
Workers' Compensation Board	138,722	140,971	143,323	145,193	150,513	146,408
<b>Functional Total</b>	<b>1,468,584</b>	<b>1,384,158</b>	<b>1,393,679</b>	<b>1,385,556</b>	<b>1,415,847</b>	<b>1,401,724</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	149,275	146,719	154,352	154,535	160,878	155,196
Executive Chamber	14,653	13,560	13,578	13,578	14,032	13,578
Judiciary	2,018,899	2,080,909	2,132,700	2,121,200	2,178,200	2,121,200
Law, Department of	170,170	183,513	179,396	181,842	189,005	182,949
Legislature	225,893	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	510	492	614	614	634	614
<b>Functional Total</b>	<b>2,579,400</b>	<b>2,648,565</b>	<b>2,710,498</b>	<b>2,706,205</b>	<b>2,781,855</b>	<b>2,717,406</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,647	41,887	47,183	47,183	47,183	47,183
Miscellaneous	24,783	47,660	174,610	288,022	403,077	403,077
<b>Functional Total</b>	<u>63,430</u>	<u>89,547</u>	<u>221,793</u>	<u>335,205</u>	<u>450,260</u>	<u>450,260</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u>18,679,784</u>	<u>18,821,132</u>	<u>19,505,592</u>	<u>19,830,388</u>	<u>20,429,056</u>	<u>20,366,477</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	30,523	30,802	29,423	29,428	30,543	29,428
Alcoholic Beverage Control, Division of	7,742	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,152	13,243	12,929	12,929	12,929	12,929
Financial Services, Department of	151,522	154,146	156,697	157,475	163,459	157,616
Olympic Regional Development Authority	2,548	2,548	5,595	2,548	2,548	2,548
Public Service Department	41,485	42,867	42,100	42,100	43,711	42,100
<b>Functional Total</b>	<b>246,972</b>	<b>251,737</b>	<b>254,891</b>	<b>253,065</b>	<b>262,091</b>	<b>253,206</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,112	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	176,058	175,514	170,420	168,321	176,631	170,066
Parks, Recreation and Historic Preservation, Office of	135,346	130,555	133,829	132,271	138,592	133,687
<b>Functional Total</b>	<b>315,516</b>	<b>310,248</b>	<b>308,357</b>	<b>304,700</b>	<b>319,489</b>	<b>307,861</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	35,655	36,244	45,018	45,188	46,132	46,422
Transportation, Department of	6,497	2,803	161,818	170,555	170,693	170,555
<b>Functional Total</b>	<b>42,152</b>	<b>39,047</b>	<b>206,836</b>	<b>215,743</b>	<b>216,825</b>	<b>216,977</b>
<b>HEALTH</b>						
Aging, Office for the	1,125	1,103	1,125	1,125	1,168	1,125
Health, Department of	261,956	252,883	280,280	284,066	290,571	285,689
<i>Essential Plan</i>	1,367	3,148	4,206	4,580	4,418	4,384
<i>Medicaid Administration</i>	36,908	37,196	51,113	54,086	57,098	55,666
<i>Public Health</i>	223,681	212,539	224,961	225,400	229,055	225,639
Medicaid Inspector General, Office of the	16,415	15,864	14,936	14,936	15,543	14,936
<b>Functional Total</b>	<b>279,496</b>	<b>269,850</b>	<b>296,341</b>	<b>300,127</b>	<b>307,282</b>	<b>301,750</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	169,024	170,908	192,365	261,476	315,232	315,341
<i>OCFS</i>	169,024	170,908	192,365	261,476	315,232	315,341
Housing and Community Renewal, Division of	42,730	41,535	42,828	42,828	45,650	44,074
Human Rights, Division of	9,533	8,662	9,461	9,461	10,121	9,752
Labor, Department of	34,150	34,323	32,618	32,618	34,864	33,620
National and Community Service	203	292	331	331	340	340
Temporary and Disability Assistance, Office of	73,429	64,895	68,444	73,603	76,972	78,522
<i>All Other</i>	73,429	64,895	68,444	73,603	76,972	78,522
<b>Functional Total</b>	<b>329,069</b>	<b>320,615</b>	<b>346,047</b>	<b>420,317</b>	<b>483,179</b>	<b>481,649</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	54,557	56,428	59,954	60,914	62,716	62,362
<i>OASAS</i>	19,701	20,354	26,697	27,133	27,919	27,270
<i>OASAS - Other</i>	34,856	36,074	33,257	33,781	34,797	35,092
Justice Center	29,240	31,243	32,769	34,123	35,762	34,832
Mental Health, Office of	1,072,564	1,070,401	1,086,975	1,096,051	1,119,765	1,130,950
<i>OMH</i>	288,507	287,281	270,088	311,124	314,124	320,174
<i>OMH - Other</i>	784,057	783,120	816,887	784,927	805,641	810,776
People with Developmental Disabilities, Office for	1,092,002	1,129,874	1,137,939	1,145,989	1,177,306	1,186,856
<i>OPWDD</i>	0	124	0	0	0	0
<i>OPWDD - Other</i>	1,092,002	1,129,750	1,137,939	1,145,989	1,177,306	1,186,856
<b>Functional Total</b>	<b>2,248,363</b>	<b>2,287,946</b>	<b>2,317,637</b>	<b>2,337,077</b>	<b>2,395,549</b>	<b>2,415,000</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,234	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,113,404	2,098,499	2,042,832	2,056,336	2,061,966	2,056,336
Criminal Justice Services, Division of	26,998	26,993	27,582	27,582	28,557	28,113
Homeland Security and Emergency Services, Division of	16,748	16,362	23,313	29,610	30,856	32,215
Indigent Legal Services, Office of	1,678	1,873	2,982	2,982	3,048	3,034

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**PERSONAL SERVICE**  
(thousands of dollars)

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Judicial Conduct, Commission on	4,181	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	17,164	15,083	14,322	14,322	14,618	14,692
State Police, Division of	649,718	677,367	668,551	665,095	690,605	703,242
Statewide Financial System	11,045	11,094	11,758	11,882	12,325	12,121
Victim Services, Office of	3,145	3,184	3,176	3,176	3,293	3,242
<b>Functional Total</b>	<b>2,846,315</b>	<b>2,857,009</b>	<b>2,801,242</b>	<b>2,817,746</b>	<b>2,852,347</b>	<b>2,859,966</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,975	52,623	48,148	48,594	49,045	49,501
Higher Education - Miscellaneous	283	169	198	198	198	198
Higher Education Services Corporation, New York State	14,475	13,380	12,916	12,916	12,916	12,916
State University of New York	3,766,631	3,753,286	3,973,548	4,014,523	4,153,020	4,093,105
<b>Functional Total</b>	<b>3,830,364</b>	<b>3,819,458</b>	<b>4,034,810</b>	<b>4,076,231</b>	<b>4,215,179</b>	<b>4,155,720</b>
<b>EDUCATION</b>						
Arts, Council on the	2,491	2,626	2,498	2,498	2,594	2,498
Education, Department of	86,602	90,372	88,090	87,774	90,983	87,774
<i>All Other</i>	86,602	90,372	88,090	87,774	90,983	87,774
<b>Functional Total</b>	<b>89,093</b>	<b>92,998</b>	<b>90,588</b>	<b>90,272</b>	<b>93,577</b>	<b>90,272</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,619	21,683	24,567	24,567	25,511	24,567
Civil Service, Department of	12,212	14,053	12,497	12,591	13,075	12,685
Deferred Compensation Board	368	408	410	413	414	413
Elections, State Board of	5,765	6,077	6,143	6,288	6,571	6,478
Employee Relations, Office of	2,269	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	32,822	34,862	38,757	38,757	40,248	38,757
General Services, Office of	77,580	67,107	48,450	48,450	51,875	49,458
Inspector General, Office of the	5,833	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,871	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,338	1,412	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,056	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	3,808	4,386	4,646	4,681	4,867	4,827
State, Department of	29,588	29,257	27,774	27,724	28,812	27,724
Tax Appeals, Division of	2,604	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	284,526	275,658	264,119	264,323	273,392	264,338
Technology, Office for	298,543	289,994	286,118	291,603	308,070	303,412
Veterans' Affairs, Division of	5,086	5,512	5,938	5,938	6,178	6,107
Welfare Inspector General, Office of	565	619	621	626	654	646
Workers' Compensation Board	82,632	85,117	84,993	85,604	89,709	85,604
<b>Functional Total</b>	<b>877,085</b>	<b>858,230</b>	<b>827,260</b>	<b>833,947</b>	<b>872,662</b>	<b>847,547</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	115,964	119,019	123,015	123,093	128,775	123,093
Executive Chamber	10,746	9,979	11,113	11,113	11,567	11,113
Judiciary	1,568,100	1,624,889	1,667,500	1,656,000	1,713,000	1,656,000
Law, Department of	120,219	132,481	126,309	127,662	133,718	127,662
Legislature	170,623	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	364	382	523	523	543	523
<b>Functional Total</b>	<b>1,986,016</b>	<b>2,061,100</b>	<b>2,104,001</b>	<b>2,097,443</b>	<b>2,170,236</b>	<b>2,104,677</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,939	2,194	128,275	243,285	358,340	358,340
<b>Functional Total</b>	<b>1,939</b>	<b>2,194</b>	<b>128,275</b>	<b>243,285</b>	<b>358,340</b>	<b>358,340</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,092,380</b>	<b>13,170,432</b>	<b>13,716,285</b>	<b>13,989,953</b>	<b>14,546,756</b>	<b>14,392,965</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	14,011	6,895	8,415	8,640	7,644	23,240
Alcoholic Beverage Control, Division of	3,385	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	8,031	6,162	4,362	4,362	4,362	4,362
Financial Services, Department of	57,686	55,233	52,212	55,068	55,366	55,072
Olympic Regional Development Authority	188	188	3,338	3,338	3,338	3,338
Public Service Department	8,521	9,517	8,677	8,727	7,729	7,727
<b>Functional Total</b>	<b>91,822</b>	<b>81,683</b>	<b>81,340</b>	<b>82,794</b>	<b>81,098</b>	<b>96,398</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	423	289	361	306	306	306
Environmental Conservation, Department of	49,027	37,261	37,797	36,452	39,616	39,536
Parks, Recreation and Historic Preservation, Office of	43,100	38,428	39,395	39,110	39,112	39,112
<b>Functional Total</b>	<b>92,550</b>	<b>75,978</b>	<b>77,553</b>	<b>75,868</b>	<b>79,034</b>	<b>78,954</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	13,905	12,898	15,808	15,872	15,959	15,350
Transportation, Department of	14,731	6,336	118,402	173,353	174,420	179,314
<b>Functional Total</b>	<b>28,636</b>	<b>19,234</b>	<b>134,210</b>	<b>189,225</b>	<b>190,379</b>	<b>194,664</b>
<b>HEALTH</b>						
Aging, Office for the	106	97	107	107	107	107
Health, Department of	426,558	488,416	531,855	504,643	493,315	495,893
<i>Essential Plan</i>	42,470	84,490	82,517	79,644	74,339	72,013
<i>Medicaid Administration</i>	224,093	260,257	281,237	261,802	252,948	255,719
<i>Public Health</i>	159,995	143,669	168,101	163,197	166,028	168,161
Medicaid Inspector General, Office of the	4,074	2,418	3,175	3,175	3,175	3,175
<b>Functional Total</b>	<b>430,738</b>	<b>490,931</b>	<b>535,137</b>	<b>507,925</b>	<b>496,597</b>	<b>499,175</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	80,801	70,816	96,902	114,333	127,712	130,267
<i>OCFS</i>	80,801	70,816	96,902	114,333	127,712	130,267
Housing and Community Renewal, Division of	7,569	10,675	9,639	9,639	10,069	10,227
Human Rights, Division of	849	803	460	460	469	478
Labor, Department of	13,519	15,319	13,901	13,901	14,392	14,660
National and Community Service	1	1	9	9	9	9
Temporary and Disability Assistance, Office of	64,197	57,979	58,708	59,880	61,004	62,174
<i>All Other</i>	64,197	57,979	58,708	59,880	61,004	62,174
<b>Functional Total</b>	<b>166,936</b>	<b>155,593</b>	<b>179,619</b>	<b>198,222</b>	<b>213,655</b>	<b>217,815</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	27,848	25,044	23,681	24,846	25,545	26,271
<i>OASAS</i>	14,612	11,610	13,254	13,743	14,016	14,310
<i>OASAS - Other</i>	13,236	13,434	10,427	11,103	11,529	11,961
Justice Center	8,950	7,625	8,687	9,131	9,394	9,664
Mental Health, Office of	271,702	280,059	254,669	266,566	279,415	302,558
<i>OMH</i>	60,326	62,692	52,364	44,451	44,851	46,189
<i>OMH - Other</i>	211,376	217,367	202,305	222,115	234,564	256,369
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	205,395	203,291	187,881	181,825	187,029	192,438
<i>OPWDD</i>	63	24	181	181	181	181
<i>OPWDD - Other</i>	205,332	203,267	187,700	181,644	186,848	192,257
<b>Functional Total</b>	<b>514,122</b>	<b>516,223</b>	<b>474,918</b>	<b>482,368</b>	<b>501,383</b>	<b>530,931</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	197	278	237	237	242	247
Correctional Services, Department of	527,258	529,446	563,349	562,218	562,218	559,218
Criminal Justice Services, Division of	8,195	9,509	9,231	9,231	9,231	9,497
Homeland Security and Emergency Services, Division of	9,282	13,341	13,238	8,603	8,613	9,681

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Indigent Legal Services, Office of	493	455	833	831	831	843
Judicial Conduct, Commission on	1,324	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	10	30	30	30	30
Judicial Screening Committees, New York State	9	14	38	38	38	38
Military and Naval Affairs, Division of	9,635	10,007	8,054	7,805	7,805	8,395
State Police, Division of	70,664	64,567	61,987	60,220	60,289	62,360
Statewide Financial System	19,264	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	533	530	775	775	775	788
<b>Functional Total</b>	<b>646,864</b>	<b>648,514</b>	<b>677,780</b>	<b>669,973</b>	<b>670,084</b>	<b>671,724</b>
<b>HIGHER EDUCATION</b>						
City University of New York	45,238	50,097	46,944	47,883	48,361	48,845
Higher Education - Miscellaneous	103	87	93	93	93	93
Higher Education Services Corporation, New York State	33,817	28,371	30,358	30,358	30,358	30,358
State University of New York	2,236,089	2,326,978	2,226,011	2,246,839	2,267,879	2,289,131
<b>Functional Total</b>	<b>2,315,247</b>	<b>2,405,533</b>	<b>2,303,406</b>	<b>2,325,173</b>	<b>2,346,691</b>	<b>2,368,427</b>
<b>EDUCATION</b>						
Arts, Council on the	1,434	1,634	1,822	1,822	1,822	1,822
Education, Department of	52,574	54,500	56,838	54,774	54,833	54,776
<i>All Other</i>	52,574	54,500	56,838	54,774	54,833	54,776
<b>Functional Total</b>	<b>54,008</b>	<b>56,134</b>	<b>58,660</b>	<b>56,596</b>	<b>56,655</b>	<b>56,598</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	3,940	4,917	4,199	3,372	3,375	3,372
Civil Service, Department of	1,083	742	834	850	851	860
Deferred Compensation Board	50	43	231	235	235	235
Elections, State Board of	1,330	1,686	3,694	3,859	4,012	3,576
Employee Relations, Office of	65	1,054	72	73	73	73
Gaming Commission, New York State	105,645	59,669	41,971	41,971	42,007	41,971
General Services, Office of	83,232	76,464	88,571	84,493	79,870	80,897
Inspector General, Office of the	1,346	943	827	839	856	1,204
Labor Management Committees	20,947	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	162	146	195	173	212	216
Public Employment Relations Board	225	201	291	296	296	296
Public Integrity, Commission on	1,068	729	930	949	968	1,183
State, Department of	18,088	14,542	13,246	13,097	13,121	13,097
Tax Appeals, Division of	229	147	170	170	170	170
Taxation and Finance, Department of	47,284	45,357	68,227	66,768	67,506	66,144
Technology, Office for	249,823	245,983	258,414	248,683	242,629	253,843
Veterans' Affairs, Division of	865	373	298	298	304	310
Welfare Inspector General, Office of	27	52	65	75	77	107
Workers' Compensation Board	56,090	55,854	58,330	59,589	60,804	60,804
<b>Functional Total</b>	<b>591,499</b>	<b>525,928</b>	<b>566,419</b>	<b>551,609</b>	<b>543,185</b>	<b>554,177</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	33,311	27,700	31,337	31,442	32,103	32,103
Executive Chamber	3,907	3,581	2,465	2,465	2,465	2,465
Judiciary	450,799	456,020	465,200	465,200	465,200	465,200
Law, Department of	49,951	51,032	53,087	54,180	55,287	55,287
Legislature	55,270	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	146	110	91	91	91	91
<b>Functional Total</b>	<b>593,384</b>	<b>587,465</b>	<b>606,497</b>	<b>608,762</b>	<b>611,619</b>	<b>612,729</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	107	131	250	0	0	0
<b>Functional Total</b>	<b>107</b>	<b>131</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,647	41,887	47,183	47,183	47,183	47,183
Miscellaneous	22,844	45,466	46,335	44,737	44,737	44,737
<b>Functional Total</b>	<u>61,491</u>	<u>87,353</u>	<u>93,518</u>	<u>91,920</u>	<u>91,920</u>	<u>91,920</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>5,587,404</u>	<u>5,650,700</u>	<u>5,789,307</u>	<u>5,840,435</u>	<u>5,882,300</u>	<u>5,973,512</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,719	1,255	1,891	1,960	2,128	2,191
Alcoholic Beverage Control, Division of	121	0	0	0	0	0
Economic Development, Department of	0	2	28	28	28	28
Financial Services, Department of	86,652	90,924	100,172	104,142	116,795	116,569
Public Service Department	25,523	32,395	26,761	27,682	29,298	30,958
<b>Functional Total</b>	<b>114,015</b>	<b>124,576</b>	<b>128,852</b>	<b>133,812</b>	<b>148,249</b>	<b>149,746</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	47,714	45,573	51,400	44,993	43,542	42,093
Parks, Recreation and Historic Preservation, Office of	2,972	3,264	3,152	3,160	3,307	3,341
<b>Functional Total</b>	<b>50,686</b>	<b>48,837</b>	<b>54,552</b>	<b>48,153</b>	<b>46,849</b>	<b>45,434</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	20,473	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	3,750	1,720	2,145	2,264	2,453	2,497
<b>Functional Total</b>	<b>24,223</b>	<b>22,706</b>	<b>25,460</b>	<b>26,382</b>	<b>28,416</b>	<b>29,112</b>
<b>HEALTH</b>						
Health, Department of	30,543	31,713	37,680	34,079	35,857	37,142
<i>Public Health</i>	30,543	31,713	37,680	34,079	35,857	37,142
<b>Functional Total</b>	<b>30,543</b>	<b>31,713</b>	<b>37,680</b>	<b>34,079</b>	<b>35,857</b>	<b>37,142</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,656	1,658	2,043	1,794	1,824	1,824
<i>OCFS</i>	1,656	1,658	2,043	1,794	1,824	1,824
Housing and Community Renewal, Division of	5,449	16,045	23,120	23,120	23,120	26,349
Labor, Department of	19,497	20,895	20,487	20,487	20,487	23,005
Temporary and Disability Assistance, Office of	122	12	128	128	128	128
<i>All Other</i>	122	12	128	128	128	128
<b>Functional Total</b>	<b>26,724</b>	<b>38,610</b>	<b>45,778</b>	<b>45,529</b>	<b>45,559</b>	<b>51,306</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	33,726	36,956	0	0	0	0
<i>OASAS</i>	13,803	14,792	0	0	0	0
<i>OASAS - Other</i>	19,923	22,164	0	0	0	0
Justice Center	850	649	874	929	993	1,060
Mental Health, Office of	621,496	650,727	0	0	0	0
<i>OMH</i>	192,230	193,397	0	0	0	0
<i>OMH - Other</i>	429,266	457,330	0	0	0	0
People with Developmental Disabilities, Office for	637,200	683,801	0	0	0	0
<i>OPWDD - Other</i>	637,200	683,801	0	0	0	0
<b>Functional Total</b>	<b>1,293,272</b>	<b>1,372,133</b>	<b>874</b>	<b>929</b>	<b>993</b>	<b>1,060</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	101	117	106	106	106	106
Criminal Justice Services, Division of	33	35	35	35	35	35
Homeland Security and Emergency Services, Division of	666	615	809	817	835	905
Indigent Legal Services, Office of	967	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	9	6	59	9	9	10
State Police, Division of	18,111	14,072	23,900	24,810	25,153	25,323
Victim Services, Office of	1,570	1,638	1,650	1,650	1,650	1,683
<b>Functional Total</b>	<b>21,457</b>	<b>17,552</b>	<b>28,465</b>	<b>29,430</b>	<b>29,905</b>	<b>30,301</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>HIGHER EDUCATION</b>						
City University of New York	7,661	145	150	150	150	150
Higher Education - Miscellaneous	143	81	150	150	150	150
Higher Education Services Corporation, New York State	8,524	8,131	9,020	9,020	9,020	9,020
State University of New York	420,283	430,860	485,071	500,566	514,120	504,273
<b>Functional Total</b>	<u>436,611</u>	<u>439,217</u>	<u>494,391</u>	<u>509,886</u>	<u>523,440</u>	<u>513,593</u>
<b>EDUCATION</b>						
Education, Department of	32,142	34,543	37,463	38,792	42,452	43,195
<i>All Other</i>	32,142	34,543	37,463	38,792	42,452	43,195
<b>Functional Total</b>	<u>32,142</u>	<u>34,543</u>	<u>37,463</u>	<u>38,792</u>	<u>42,452</u>	<u>43,195</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	776	712	1,657	1,657	1,717	1,657
Civil Service, Department of	84	180	229	239	263	270
Deferred Compensation Board	195	224	205	205	205	205
Gaming Commission, New York State	12,777	16,540	17,575	17,575	18,465	17,575
General Services, Office of	407	369	548	567	627	644
State, Department of	9,623	10,433	12,110	12,524	13,743	14,003
Taxation and Finance, Department of	25,017	22,635	27,264	27,063	28,183	27,072
Workers' Compensation Board	48,919	52,395	53,220	53,402	57,863	57,863
<b>Functional Total</b>	<u>97,798</u>	<u>103,488</u>	<u>112,808</u>	<u>113,232</u>	<u>121,066</u>	<u>119,289</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,605	1,677	2,135	2,135	2,167	2,167
Judiciary	702,163	750,196	774,229	785,729	785,729	785,729
Law, Department of	14,731	16,578	19,302	19,579	20,612	20,612
<b>Functional Total</b>	<u>718,499</u>	<u>768,451</u>	<u>795,666</u>	<u>807,443</u>	<u>808,508</u>	<u>808,508</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,782,943	4,842,815	6,642,283	7,020,211	7,558,961	8,129,073
Miscellaneous	5,412	8,445	5,747	5,749	5,761	5,766
<b>Functional Total</b>	<u>4,788,355</u>	<u>4,851,260</u>	<u>6,648,030</u>	<u>7,025,960</u>	<u>7,564,722</u>	<u>8,134,839</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>7,634,325</u>	<u>7,853,086</u>	<u>8,410,019</u>	<u>8,813,627</u>	<u>9,396,016</u>	<u>9,963,525</u>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,337	8,264	64,699	16,915	5,415	5,415
Economic Development Capital	0	0	22,587	21,600	20,862	30,862
Economic Development, Department of	17	10	13,977	0	5,525	0
Empire State Development Corporation	75,861	71,126	287,752	692,907	744,568	414,871
Energy Research and Development Authority	14,545	17,109	22,877	17,595	15,720	14,001
Olympic Regional Development Authority	7,500	38,000	50,000	20,000	10,000	10,000
Power Authority, New York	0	160	13,750	29,000	32,700	2,000
Regional Economic Development Program	0	0	3,500	337	337	337
Strategic Investment Program	0	0	7,002	6,650	11,046	6,650
<b>Functional Total</b>	<b>102,260</b>	<b>134,669</b>	<b>486,144</b>	<b>805,004</b>	<b>846,173</b>	<b>484,136</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	311,761	311,361	711,157	560,253	568,509	598,785
Hudson River Park Trust	0	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	148,714	174,151	169,903	171,732	156,914	157,364
<b>Functional Total</b>	<b>460,475</b>	<b>485,512</b>	<b>881,060</b>	<b>736,985</b>	<b>735,423</b>	<b>766,149</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	217,104	221,992	228,495	231,724	243,144	224,758
Transportation, Department of	2,214,421	2,362,008	2,369,414	2,420,587	2,624,971	2,680,231
<b>Functional Total</b>	<b>2,431,525</b>	<b>2,584,000</b>	<b>2,597,909</b>	<b>2,652,311</b>	<b>2,868,115</b>	<b>2,904,989</b>
<b>HEALTH</b>						
Health, Department of	35,177	47,372	106,055	106,055	54,055	54,055
<i>Public Health</i>	35,177	47,372	106,055	106,055	54,055	54,055
<b>Functional Total</b>	<b>35,177</b>	<b>47,372</b>	<b>106,055</b>	<b>106,055</b>	<b>54,055</b>	<b>54,055</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	24,392	41,259	68,695	22,872	23,050	22,906
<i>OCFS</i>	24,392	41,259	68,695	22,872	23,050	22,906
Nonprofit Infrastructure Capital Investment Program	0	0	47,500	44,500	16,049	5,000
Temporary and Disability Assistance, Office of	346	801	800	800	800	800
<i>All Other</i>	346	801	800	800	800	800
<b>Functional Total</b>	<b>24,738</b>	<b>42,060</b>	<b>116,995</b>	<b>68,172</b>	<b>39,899</b>	<b>28,706</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,066	1,807	2,849	2,604	2,662	2,710
<i>OASAS</i>	1,066	1,807	2,849	2,604	2,662	2,710
Mental Health, Office of	139,970	214,774	198,144	175,607	182,122	209,215
<i>OMH</i>	139,970	214,774	198,144	175,607	182,122	209,215
People with Developmental Disabilities, Office for	69,053	70,009	88,698	89,291	83,437	84,657
<i>OPWDD</i>	69,053	70,009	88,698	89,291	83,437	84,657
<b>Functional Total</b>	<b>210,089</b>	<b>286,590</b>	<b>289,691</b>	<b>267,502</b>	<b>268,221</b>	<b>296,582</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	303,275	355,744	378,637	342,168	316,496	316,985
Homeland Security and Emergency Services, Division of	8,445	28,671	23,180	17,156	7,000	7,000
Military and Naval Affairs, Division of	35,395	32,247	21,692	40,313	22,844	16,244
State Police, Division of	31,235	72,903	44,725	42,684	52,753	51,488
<b>Functional Total</b>	<b>378,350</b>	<b>489,565</b>	<b>468,234</b>	<b>442,321</b>	<b>399,093</b>	<b>391,717</b>
<b>HIGHER EDUCATION</b>						
City University of New York	36,527	36,608	35,900	36,620	37,352	37,352
State University of New York	760,044	940,056	951,643	923,016	940,193	944,473
<b>Functional Total</b>	<b>796,571</b>	<b>976,664</b>	<b>987,543</b>	<b>959,636</b>	<b>977,545</b>	<b>981,825</b>
<b>EDUCATION</b>						
Education, Department of	5,145	6,713	51,500	43,368	37,557	47,500

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<i>All Other</i>	5,145	6,713	51,500	43,368	37,557	47,500
<b>Functional Total</b>	<u>5,145</u>	<u>6,713</u>	<u>51,500</u>	<u>43,368</u>	<u>37,557</u>	<u>47,500</u>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	92,531	128,849	209,828	187,662	190,879	154,517
State, Department of	0	1,233	2,767	2,000	0	0
Technology, Office for	86,220	102,831	118,865	18,751	24,700	33,700
Workers' Compensation Board	3,752	4,824	2,500	30,000	35,806	0
<b>Functional Total</b>	<u>182,503</u>	<u>237,737</u>	<u>333,960</u>	<u>238,413</u>	<u>251,385</u>	<u>188,217</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	2,100	0	5,024	1,400	0	0
Judiciary	0	13,943	15,000	3,500	0	0
Law, Department of	6,201	5,035	9,452	968	0	0
<b>Functional Total</b>	<u>8,301</u>	<u>18,978</u>	<u>29,476</u>	<u>5,868</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	0	5,200	5,000	0	0
Miscellaneous	25,561	14,499	(583,393)	(582,992)	(643,758)	77,093
Special Infrastructure Account	418,228	360,672	838,864	873,602	592,050	427,650
<b>Functional Total</b>	<u>443,789</u>	<u>375,171</u>	<u>260,671</u>	<u>295,610</u>	<u>(51,708)</u>	<u>504,743</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>5,078,923</u>	<u>5,685,031</u>	<u>6,609,238</u>	<u>6,621,245</u>	<u>6,425,758</u>	<u>6,648,619</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	250	0	1,400	0	0	5,000
Financial Services, Department of	66,703	57,254	64,954	61,886	61,855	61,855
Public Service Department	0	102	136	136	136	136
<b>Functional Total</b>	<b>66,953</b>	<b>57,356</b>	<b>66,490</b>	<b>62,022</b>	<b>61,991</b>	<b>66,991</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	199	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,665	4,156	5,450	5,450	5,450	5,450
<b>Functional Total</b>	<b>3,864</b>	<b>4,156</b>	<b>5,450</b>	<b>5,450</b>	<b>5,450</b>	<b>5,450</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	4,871,085	4,908,656	3,632,409	3,516,235	3,562,861	3,694,297
<b>Functional Total</b>	<b>4,871,085</b>	<b>4,908,656</b>	<b>3,632,409</b>	<b>3,516,235</b>	<b>3,562,861</b>	<b>3,694,297</b>
<b>HEALTH</b>						
Health, Department of	6,581,253	6,582,824	6,542,085	6,569,681	6,704,750	6,792,643
<i>Medical Assistance</i>	5,795,977	5,745,990	5,648,416	5,593,712	5,548,524	5,529,538
<i>Public Health</i>	785,276	836,834	893,669	975,969	1,156,226	1,263,105
<b>Functional Total</b>	<b>6,581,253</b>	<b>6,582,824</b>	<b>6,542,085</b>	<b>6,569,681</b>	<b>6,704,750</b>	<b>6,792,643</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	3,164	3,252	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,164	3,252	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	(215)	291	852	852	852	852
Labor, Department of	322	0	150	150	150	150
<b>Functional Total</b>	<b>3,271</b>	<b>3,543</b>	<b>4,584</b>	<b>4,584</b>	<b>4,584</b>	<b>4,584</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	276,997	286,440	3,325	3,388	3,300	3,300
<i>OASAS</i>	276,997	286,440	3,325	3,388	3,300	3,300
Justice Center	479	449	479	479	479	479
Mental Health, Office of	909,429	908,380	1,500	1,500	1,500	1,500
<i>OMH</i>	909,429	908,380	1,500	1,500	1,500	1,500
People with Developmental Disabilities, Office for	385,560	407,737	0	0	0	0
<i>OPWDD</i>	384,726	407,132	0	0	0	0
<i>OPWDD - Other</i>	834	605	0	0	0	0
<b>Functional Total</b>	<b>1,572,465</b>	<b>1,603,006</b>	<b>5,304</b>	<b>5,367</b>	<b>5,279</b>	<b>5,279</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	26,509	20,048	23,918	24,433	24,433	24,433
Homeland Security and Emergency Services, Division of	37,926	26,756	50,767	53,169	87,570	96,549
Indigent Legal Services, Office of	60,503	72,102	160,672	184,765	208,859	208,859
Victim Services, Office of	22,547	21,242	27,956	27,956	27,956	28,610
<b>Functional Total</b>	<b>147,485</b>	<b>140,148</b>	<b>263,313</b>	<b>290,323</b>	<b>348,818</b>	<b>358,451</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,480,458	6,040,724	5,813,132	5,800,471	5,788,228	5,788,228
<i>School Aid</i>	3,334,094	3,442,342	3,340,840	3,370,200	3,373,200	3,373,200
<i>STAR Property Tax Relief</i>	3,139,051	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>All Other</i>	7,313	9,237	13,033	13,033	13,033	13,033
<b>Functional Total</b>	<b>6,480,458</b>	<b>6,040,724</b>	<b>5,813,230</b>	<b>5,800,569</b>	<b>5,788,326</b>	<b>5,788,326</b>
<b>GENERAL GOVERNMENT</b>						
Gaming Commission, New York State	101,820	65,779	140,570	114,400	114,400	114,400
State, Department of	942	913	939	939	939	939
Taxation and Finance, Department of	172	654	1,800	1,800	1,800	1,800
<b>Functional Total</b>	<b>102,934</b>	<b>67,346</b>	<b>143,309</b>	<b>117,139</b>	<b>117,139</b>	<b>117,139</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	98,656	111,250	108,700	108,699	108,700	108,700
<b>Functional Total</b>	<u>98,656</u>	<u>111,250</u>	<u>108,700</u>	<u>108,699</u>	<u>108,700</u>	<u>108,700</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	838	12,598	(897,626)	(789,000)	(1,189,000)	(1,189,000)
<b>Functional Total</b>	<u>838</u>	<u>12,598</u>	<u>(897,626)</u>	<u>(789,000)</u>	<u>(1,189,000)</u>	<u>(1,189,000)</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u><u>19,929,262</u></u>	<u><u>19,531,607</u></u>	<u><u>15,687,248</u></u>	<u><u>15,691,069</u></u>	<u><u>15,518,898</u></u>	<u><u>15,752,860</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,149	2,647	2,960	2,965	3,069	2,965
Alcoholic Beverage Control, Division of	175	0	0	0	0	0
Economic Development, Department of	0	3	103	103	103	103
Financial Services, Department of	151,522	154,146	156,697	157,475	163,459	157,616
Public Service Department	41,485	42,867	42,100	42,100	43,711	42,100
<b>Functional Total</b>	<b>196,331</b>	<b>199,663</b>	<b>201,860</b>	<b>202,643</b>	<b>210,342</b>	<b>202,784</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	92,127	93,008	88,891	78,892	75,732	72,133
Parks, Recreation and Historic Preservation, Office of	32,019	33,620	37,452	34,781	36,154	34,993
<b>Functional Total</b>	<b>124,146</b>	<b>126,628</b>	<b>126,343</b>	<b>113,673</b>	<b>111,886</b>	<b>107,126</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	35,655	36,244	36,494	36,494	37,264	37,376
Transportation, Department of	6,497	2,803	3,324	3,575	3,713	3,575
<b>Functional Total</b>	<b>42,152</b>	<b>39,047</b>	<b>39,818</b>	<b>40,069</b>	<b>40,977</b>	<b>40,951</b>
<b>HEALTH</b>						
Health, Department of	136,344	130,551	131,666	132,105	132,655	132,344
<i>Public Health</i>	136,344	130,551	131,666	132,105	132,655	132,344
<b>Functional Total</b>	<b>136,344</b>	<b>130,551</b>	<b>131,666</b>	<b>132,105</b>	<b>132,655</b>	<b>132,344</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,767	2,722	3,354	3,354	3,483	3,449
<i>OCFS</i>	2,767	2,722	3,354	3,354	3,483	3,449
Housing and Community Renewal, Division of	37,730	37,669	38,629	38,629	41,158	39,746
Labor, Department of	34,030	34,239	32,530	32,530	34,770	33,529
<b>Functional Total</b>	<b>74,527</b>	<b>74,630</b>	<b>74,513</b>	<b>74,513</b>	<b>79,411</b>	<b>76,724</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	54,557	56,428	0	0	0	0
<i>OASAS</i>	19,701	20,354	0	0	0	0
<i>OASAS - Other</i>	34,856	36,074	0	0	0	0
Justice Center	1,127	1,214	1,392	1,406	1,422	1,435
Mental Health, Office of	1,072,564	1,070,401	103	103	103	103
<i>OMH</i>	288,507	287,281	103	103	103	103
<i>OMH - Other</i>	784,057	783,120	0	0	0	0
People with Developmental Disabilities, Office for	1,092,002	1,129,874	0	0	0	0
<i>OPWDD</i>	0	124	0	0	0	0
<i>OPWDD - Other</i>	1,092,002	1,129,750	0	0	0	0
<b>Functional Total</b>	<b>2,220,250</b>	<b>2,257,917</b>	<b>1,495</b>	<b>1,509</b>	<b>1,525</b>	<b>1,538</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	165	251	219	219	219	219
Criminal Justice Services, Division of	258	280	392	392	403	400
Homeland Security and Emergency Services, Division of	15,756	15,292	22,313	28,610	29,856	31,215
Indigent Legal Services, Office of	1,678	1,873	2,982	2,982	3,048	3,034
Military and Naval Affairs, Division of	403	68	161	161	161	161
State Police, Division of	41,672	46,922	46,992	46,992	47,332	47,470
Victim Services, Office of	3,145	3,184	3,176	3,176	3,293	3,242
<b>Functional Total</b>	<b>63,077</b>	<b>67,870</b>	<b>76,235</b>	<b>82,532</b>	<b>84,312</b>	<b>85,741</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,975	52,623	48,148	48,594	49,045	49,501
Higher Education - Miscellaneous	283	169	198	198	198	198
Higher Education Services Corporation, New York State	14,475	13,380	12,916	12,916	12,916	12,916
State University of New York	3,766,633	3,753,285	3,973,548	4,014,523	4,153,020	4,093,105
<b>Functional Total</b>	<b>3,830,366</b>	<b>3,819,457</b>	<b>4,034,810</b>	<b>4,076,231</b>	<b>4,215,179</b>	<b>4,155,720</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>EDUCATION</b>						
Education, Department of	55,689	59,335	58,278	58,440	60,677	58,440
<i>All Other</i>	<u>55,689</u>	<u>59,335</u>	<u>58,278</u>	<u>58,440</u>	<u>60,677</u>	<u>58,440</u>
<b>Functional Total</b>	<u>55,689</u>	<u>59,335</u>	<u>58,278</u>	<u>58,440</u>	<u>60,677</u>	<u>58,440</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,273	1,199	2,351	2,351	2,441	2,351
Civil Service, Department of	151	322	359	362	376	365
Deferred Compensation Board	339	375	378	381	381	381
Gaming Commission, New York State	29,098	31,990	34,475	34,475	35,801	34,475
General Services, Office of	4,065	3,200	858	858	897	871
State, Department of	17,254	18,893	18,771	18,771	19,497	18,771
Taxation and Finance, Department of	49,625	9,338	44,711	44,384	46,064	44,399
Workers' Compensation Board	82,632	85,117	84,993	85,604	89,709	85,604
<b>Functional Total</b>	<u>184,437</u>	<u>150,434</u>	<u>186,896</u>	<u>187,186</u>	<u>195,166</u>	<u>187,217</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	12,206	11,125	13,545	13,623	14,172	13,623
Judiciary	58,822	59,170	59,475	59,000	59,000	59,000
Law, Department of	27,520	36,981	30,321	31,009	32,565	31,009
<b>Functional Total</b>	<u>98,548</u>	<u>107,276</u>	<u>103,341</u>	<u>103,632</u>	<u>105,737</u>	<u>103,632</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,890	2,160	(130,781)	(83,910)	(196,655)	(196,655)
<b>Functional Total</b>	<u>1,890</u>	<u>2,160</u>	<u>(130,781)</u>	<u>(83,910)</u>	<u>(196,655)</u>	<u>(196,655)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>7,027,757</u></u>	<u><u>7,034,968</u></u>	<u><u>4,904,474</u></u>	<u><u>4,988,623</u></u>	<u><u>5,041,212</u></u>	<u><u>4,955,562</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	8,519	2,002	2,430	2,430	2,434	18,230
Alcoholic Beverage Control, Division of	109	0	0	0	0	0
Economic Development, Department of	1,929	1,482	1,847	1,847	1,847	1,847
Financial Services, Department of	57,686	55,233	52,212	55,068	55,366	55,072
Olympic Regional Development Authority	0	0	150	150	150	150
Public Service Department	8,521	9,517	8,677	8,727	7,729	7,727
<b>Functional Total</b>	<b>76,764</b>	<b>68,234</b>	<b>65,316</b>	<b>68,222</b>	<b>67,526</b>	<b>83,026</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	42,585	25,463	17,373	16,068	14,432	14,352
Parks, Recreation and Historic Preservation, Office of	37,667	33,040	31,460	31,175	31,177	31,177
<b>Functional Total</b>	<b>80,252</b>	<b>58,503</b>	<b>48,833</b>	<b>47,243</b>	<b>45,609</b>	<b>45,529</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	13,905	12,898	12,619	12,619	12,641	11,966
Transportation, Department of	13,562	4,941	6,466	6,481	6,486	6,481
<b>Functional Total</b>	<b>27,467</b>	<b>17,839</b>	<b>19,085</b>	<b>19,100</b>	<b>19,127</b>	<b>18,447</b>
<b>HEALTH</b>						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	114,737	104,457	127,632	122,628	125,459	127,592
<i>Public Health</i>	114,737	104,457	127,632	122,628	125,459	127,592
<b>Functional Total</b>	<b>114,737</b>	<b>104,457</b>	<b>127,633</b>	<b>122,629</b>	<b>125,460</b>	<b>127,593</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	29,854	11,452	15,248	15,527	15,838	16,154
<i>OCFS</i>	29,854	11,452	15,248	15,527	15,838	16,154
Housing and Community Renewal, Division of	4,778	8,748	9,288	9,288	9,656	9,814
Labor, Department of	13,328	15,206	13,701	13,701	14,137	14,400
Temporary and Disability Assistance, Office of	946	1,228	3,400	3,400	3,400	3,400
<i>All Other</i>	946	1,228	3,400	3,400	3,400	3,400
<b>Functional Total</b>	<b>48,906</b>	<b>36,634</b>	<b>41,637</b>	<b>41,916</b>	<b>43,031</b>	<b>43,768</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	27,848	25,044	6,456	6,471	6,471	6,486
<i>OASAS</i>	14,612	11,610	6,456	6,471	6,471	6,486
<i>OASAS - Other</i>	13,236	13,434	0	0	0	0
Justice Center	41	27	42	43	45	44
Mental Health, Office of	270,921	279,362	5,342	5,342	5,342	5,342
<i>OMH</i>	59,545	61,995	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	211,376	217,367	0	0	0	0
Mental Hygiene, Department of	227	204	0	0	0	0
People with Developmental Disabilities, Office for	205,395	203,291	181	181	181	181
<i>OPWDD</i>	63	24	181	181	181	181
<i>OPWDD - Other</i>	205,332	203,267	0	0	0	0
<b>Functional Total</b>	<b>504,432</b>	<b>507,928</b>	<b>12,021</b>	<b>12,037</b>	<b>12,039</b>	<b>12,053</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,379	1,061	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	1,067	2,371	2,700	2,700	2,700	2,795
Homeland Security and Emergency Services, Division of	9,135	13,341	13,238	8,603	8,613	9,681
Indigent Legal Services, Office of	493	455	833	831	831	843
Military and Naval Affairs, Division of	2,448	2,103	1,597	1,207	1,207	1,303
State Police, Division of	26,164	30,368	31,700	26,700	26,729	26,790
Victim Services, Office of	533	530	775	775	775	788
<b>Functional Total</b>	<b>41,219</b>	<b>50,229</b>	<b>53,470</b>	<b>43,443</b>	<b>43,482</b>	<b>44,827</b>
<b>HIGHER EDUCATION</b>						

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
City University of New York	44,735	50,097	46,944	47,883	48,361	48,845
Higher Education - Miscellaneous	103	87	93	93	93	93
Higher Education Services Corporation, New York State	33,817	28,371	30,358	30,358	30,358	30,358
State University of New York	2,235,431	2,325,929	2,225,311	2,246,139	2,267,179	2,288,431
<b>Functional Total</b>	<b>2,314,086</b>	<b>2,404,484</b>	<b>2,302,706</b>	<b>2,324,473</b>	<b>2,345,991</b>	<b>2,367,727</b>
<b>EDUCATION</b>						
Education, Department of	25,114	29,141	25,864	25,870	25,929	25,872
<i>All Other</i>	25,114	29,141	25,864	25,870	25,929	25,872
<b>Functional Total</b>	<b>25,114</b>	<b>29,141</b>	<b>25,864</b>	<b>25,870</b>	<b>25,929</b>	<b>25,872</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,168	1,470	2,693	2,693	2,696	2,693
Civil Service, Department of	530	356	428	436	437	441
Deferred Compensation Board	40	43	206	210	210	210
Elections, State Board of	104	44	0	0	0	0
Gaming Commission, New York State	103,660	58,168	39,891	39,891	39,927	39,891
General Services, Office of	2,892	1,926	3,182	3,182	3,248	3,288
Labor Management Committees	0	0	300	306	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	12	28	44	45	45	45
State, Department of	17,161	14,156	12,857	12,858	12,882	12,858
Taxation and Finance, Department of	19,521	5,556	32,633	31,080	30,514	30,456
Workers' Compensation Board	56,090	55,854	58,330	59,589	60,804	60,804
<b>Functional Total</b>	<b>201,178</b>	<b>137,601</b>	<b>150,569</b>	<b>150,295</b>	<b>151,074</b>	<b>150,997</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	3,320	3,174	6,094	6,199	6,306	6,306
Judiciary	48,240	55,103	53,000	53,000	53,000	53,000
Law, Department of	38,500	40,539	41,537	42,372	43,205	43,205
Legislature	1,581	1,160	950	950	950	950
<b>Functional Total</b>	<b>91,641</b>	<b>99,976</b>	<b>101,581</b>	<b>102,521</b>	<b>103,461</b>	<b>103,461</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,322	1,495	(245,098)	(242,975)	(258,475)	(258,475)
<b>Functional Total</b>	<b>1,322</b>	<b>1,495</b>	<b>(245,098)</b>	<b>(242,975)</b>	<b>(258,475)</b>	<b>(258,475)</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>3,527,118</b>	<b>3,516,521</b>	<b>2,703,617</b>	<b>2,714,774</b>	<b>2,724,254</b>	<b>2,764,825</b>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,719	1,255	1,891	1,960	2,128	2,191
Alcoholic Beverage Control, Division of	121	0	0	0	0	0
Economic Development, Department of	0	2	28	28	28	28
Financial Services, Department of	86,652	90,924	100,172	104,142	116,795	116,569
Public Service Department	25,523	32,395	26,761	27,682	29,298	30,958
<b>Functional Total</b>	<b>114,015</b>	<b>124,576</b>	<b>128,852</b>	<b>133,812</b>	<b>148,249</b>	<b>149,746</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	47,714	45,573	51,400	44,993	43,542	42,093
Parks, Recreation and Historic Preservation, Office of	2,972	3,264	3,152	3,160	3,307	3,341
<b>Functional Total</b>	<b>50,686</b>	<b>48,837</b>	<b>54,552</b>	<b>48,153</b>	<b>46,849</b>	<b>45,434</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	20,473	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	3,750	1,720	2,145	2,264	2,453	2,497
<b>Functional Total</b>	<b>24,223</b>	<b>22,706</b>	<b>25,460</b>	<b>26,382</b>	<b>28,416</b>	<b>29,112</b>
<b>HEALTH</b>						
Health, Department of	30,543	31,713	37,680	34,079	35,857	37,142
<i>Public Health</i>	30,543	31,713	37,680	34,079	35,857	37,142
<b>Functional Total</b>	<b>30,543</b>	<b>31,713</b>	<b>37,680</b>	<b>34,079</b>	<b>35,857</b>	<b>37,142</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,656	1,658	2,043	1,794	1,824	1,824
<i>OCFS</i>	1,656	1,658	2,043	1,794	1,824	1,824
Housing and Community Renewal, Division of	5,449	16,045	23,120	23,120	23,120	26,349
Labor, Department of	19,497	20,895	20,487	20,487	20,487	23,005
Temporary and Disability Assistance, Office of	122	12	128	128	128	128
<i>All Other</i>	122	12	128	128	128	128
<b>Functional Total</b>	<b>26,724</b>	<b>38,610</b>	<b>45,778</b>	<b>45,529</b>	<b>45,559</b>	<b>51,306</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	33,726	36,956	0	0	0	0
<i>OASAS</i>	13,803	14,792	0	0	0	0
<i>OASAS - Other</i>	19,923	22,164	0	0	0	0
Justice Center	850	649	874	929	993	1,060
Mental Health, Office of	621,496	650,727	0	0	0	0
<i>OMH</i>	192,230	193,397	0	0	0	0
<i>OMH - Other</i>	429,266	457,330	0	0	0	0
People with Developmental Disabilities, Office for	637,200	683,801	0	0	0	0
<i>OPWDD - Other</i>	637,200	683,801	0	0	0	0
<b>Functional Total</b>	<b>1,293,272</b>	<b>1,372,133</b>	<b>874</b>	<b>929</b>	<b>993</b>	<b>1,060</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	101	117	106	106	106	106
Criminal Justice Services, Division of	33	35	35	35	35	35
Homeland Security and Emergency Services, Division of	666	615	809	817	835	905
Indigent Legal Services, Office of	967	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	9	6	59	9	9	10
State Police, Division of	18,111	14,072	23,900	24,810	25,153	25,323
Victim Services, Office of	1,570	1,638	1,650	1,650	1,650	1,683
<b>Functional Total</b>	<b>21,457</b>	<b>17,552</b>	<b>28,465</b>	<b>29,430</b>	<b>29,905</b>	<b>30,301</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
<b>HIGHER EDUCATION</b>						
City University of New York	7,661	145	150	150	150	150
Higher Education - Miscellaneous	143	81	150	150	150	150
Higher Education Services Corporation, New York State	8,524	8,131	9,020	9,020	9,020	9,020
State University of New York	420,283	430,859	485,071	500,566	514,120	504,273
<b>Functional Total</b>	<b>436,611</b>	<b>439,216</b>	<b>494,391</b>	<b>509,886</b>	<b>523,440</b>	<b>513,593</b>
<b>EDUCATION</b>						
Education, Department of	32,142	34,543	37,463	38,792	42,452	43,195
<i>All Other</i>	32,142	34,543	37,463	38,792	42,452	43,195
<b>Functional Total</b>	<b>32,142</b>	<b>34,543</b>	<b>37,463</b>	<b>38,792</b>	<b>42,452</b>	<b>43,195</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	776	712	1,657	1,657	1,717	1,657
Civil Service, Department of	84	180	229	239	263	270
Deferred Compensation Board	195	224	205	205	205	205
Gaming Commission, New York State	12,777	16,540	17,575	17,575	18,465	17,575
General Services, Office of	407	369	548	567	627	644
State, Department of	9,623	10,433	12,110	12,524	13,743	14,003
Taxation and Finance, Department of	25,017	22,635	27,264	27,063	28,183	27,072
Workers' Compensation Board	48,919	52,395	53,220	53,402	57,863	57,863
<b>Functional Total</b>	<b>97,798</b>	<b>103,488</b>	<b>112,808</b>	<b>113,232</b>	<b>121,066</b>	<b>119,289</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,605	1,677	2,135	2,135	2,167	2,167
Judiciary	27,112	28,148	29,600	29,600	29,600	29,600
Law, Department of	14,731	16,578	19,302	19,579	20,612	20,612
<b>Functional Total</b>	<b>43,448</b>	<b>46,403</b>	<b>51,037</b>	<b>51,314</b>	<b>52,379</b>	<b>52,379</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,108	1,293	1,327	1,329	1,341	1,346
<b>Functional Total</b>	<b>1,108</b>	<b>1,293</b>	<b>1,327</b>	<b>1,329</b>	<b>1,341</b>	<b>1,346</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>2,172,027</b>	<b>2,281,070</b>	<b>1,018,687</b>	<b>1,032,867</b>	<b>1,076,506</b>	<b>1,073,903</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	3,850	6,893	8,055	8,055	8,055	8,055
<b>Functional Total</b>	<b>3,850</b>	<b>6,893</b>	<b>8,055</b>	<b>8,055</b>	<b>8,055</b>	<b>8,055</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	5,283	2,368	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>5,283</b>	<b>2,368</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	15,199	13,233	18,000	18,000	18,000	18,000
Transportation, Department of	48,511	46,699	42,504	42,504	42,504	42,504
<b>Functional Total</b>	<b>63,710</b>	<b>59,932</b>	<b>60,504</b>	<b>60,504</b>	<b>60,504</b>	<b>60,504</b>
<b>HEALTH</b>						
Aging, Office for the	87,887	97,545	95,646	96,946	98,694	98,694
Health, Department of	39,207,440	43,638,755	46,647,171	48,844,631	49,444,453	50,958,303
<i>Medical Assistance</i>	33,672,324	36,787,179	39,423,968	41,285,702	41,968,979	43,532,009
<i>Essential Plan</i>	3,257,056	3,874,925	4,690,827	4,962,774	5,012,411	5,025,105
<i>Medicaid Administration</i>	375,662	430,377	404,436	404,436	404,436	404,436
<i>Public Health</i>	1,902,398	2,546,274	2,127,940	2,191,719	2,058,627	1,996,753
<b>Functional Total</b>	<b>39,295,327</b>	<b>43,736,300</b>	<b>46,742,817</b>	<b>48,941,577</b>	<b>49,543,147</b>	<b>51,056,997</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	861,900	1,156,214	838,300	838,300	838,300	838,300
<i>OCFS</i>	861,900	1,156,214	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	52,324	57,455	48,434	48,434	48,434	48,434
Labor, Department of	134,715	148,578	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,119,118	3,000,612	3,743,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,300,055	2,160,566	2,926,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	819,063	840,046	817,000	817,000	817,000	817,000
<b>Functional Total</b>	<b>4,168,057</b>	<b>4,362,859</b>	<b>4,782,202</b>	<b>4,482,202</b>	<b>4,482,202</b>	<b>4,482,202</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	121,023	108,802	114,490	114,490	114,490	114,490
<i>OASAS</i>	121,023	108,802	114,490	114,490	114,490	114,490
Mental Health, Office of	41,492	30,762	39,979	39,979	32,025	32,025
<i>OMH</i>	41,492	30,762	39,979	39,979	32,025	32,025
<b>Functional Total</b>	<b>162,515</b>	<b>139,564</b>	<b>154,469</b>	<b>154,469</b>	<b>146,515</b>	<b>146,515</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	15,563	14,131	41,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,254,509	1,342,908	923,771	819,771	828,771	828,771
State Police, Division of	91	62	0	0	0	0
Victim Services, Office of	42,066	31,941	47,000	47,000	47,000	47,094
<b>Functional Total</b>	<b>1,312,229</b>	<b>1,389,042</b>	<b>1,012,571</b>	<b>882,571</b>	<b>891,571</b>	<b>891,665</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	45	62	0	0	0	0
State University of New York	0	750	0	0	0	0
<b>Functional Total</b>	<b>45</b>	<b>812</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	1,052	540	600	600	600	600
Education, Department of	3,544,339	3,182,323	3,541,557	3,594,065	3,647,645	3,647,645
<i>School Aid</i>	2,864,715	2,360,873	2,769,850	2,817,358	2,865,938	2,865,938
<i>Special Education Categorical Programs</i>	590,480	737,695	690,000	695,000	700,000	700,000
<i>All Other</i>	89,144	83,755	81,707	81,707	81,707	81,707
<b>Functional Total</b>	<b>3,545,391</b>	<b>3,182,863</b>	<b>3,542,157</b>	<b>3,594,665</b>	<b>3,648,245</b>	<b>3,648,245</b>
<b>GENERAL GOVERNMENT</b>						

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Elections, State Board of	554	276	0	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	58,319	55,864	57,957	57,957	57,957	57,957
<b>Functional Total</b>	<b>58,873</b>	<b>56,140</b>	<b>58,207</b>	<b>58,207</b>	<b>58,207</b>	<b>58,207</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(251,336)	(342,300)	(496,665)	(467,938)	(467,938)	(467,938)
<b>Functional Total</b>	<b>(251,336)</b>	<b>(342,300)</b>	<b>(496,665)</b>	<b>(467,938)</b>	<b>(467,938)</b>	<b>(467,938)</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>48,363,944</b>	<b>52,594,473</b>	<b>55,865,587</b>	<b>57,715,582</b>	<b>58,371,778</b>	<b>59,885,722</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,665	3,469	3,448	3,413	3,413	3,413
Public Service Department	1,433	1,609	1,202	1,202	1,202	1,202
<b>Functional Total</b>	<b>5,098</b>	<b>5,078</b>	<b>4,650</b>	<b>4,615</b>	<b>4,615</b>	<b>4,615</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	26,180	25,065	27,808	27,808	28,876	28,876
Parks, Recreation and Historic Preservation, Office of	2,710	2,020	1,123	1,223	1,367	1,367
<b>Functional Total</b>	<b>28,890</b>	<b>27,085</b>	<b>28,931</b>	<b>29,031</b>	<b>30,243</b>	<b>30,243</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,357	1,463	3,735	3,735	3,879	3,879
Transportation, Department of	5,754	9,161	10,484	10,484	10,485	10,484
<b>Functional Total</b>	<b>7,111</b>	<b>10,624</b>	<b>14,219</b>	<b>14,219</b>	<b>14,364</b>	<b>14,363</b>
<b>HEALTH</b>						
Aging, Office for the	6,071	5,976	6,521	6,657	6,799	6,799
Health, Department of	98,664	100,651	110,269	111,817	117,171	113,640
<i>Medicaid Administration</i>	34,283	32,027	49,645	54,716	58,015	56,816
<i>Public Health</i>	64,381	68,624	60,624	57,101	59,156	56,824
Medicaid Inspector General, Office of the	16,322	15,817	15,130	15,130	15,744	15,130
<b>Functional Total</b>	<b>121,057</b>	<b>122,444</b>	<b>131,920</b>	<b>133,604</b>	<b>139,714</b>	<b>135,569</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	25,808	26,445	29,247	29,247	30,451	31,060
<i>OCFS</i>	25,808	26,445	29,247	29,247	30,451	31,060
Housing and Community Renewal, Division of	6,902	5,943	7,595	7,595	8,125	8,288
Human Rights, Division of	2,672	3,653	3,135	3,135	3,354	3,421
Labor, Department of	170,686	172,236	159,866	159,866	171,796	175,232
National and Community Service	262	259	377	377	390	398
Temporary and Disability Assistance, Office of	80,633	88,678	86,131	77,755	77,755	79,311
<i>All Other</i>	80,633	88,678	86,131	77,755	77,755	79,311
<b>Functional Total</b>	<b>286,963</b>	<b>297,214</b>	<b>286,351</b>	<b>277,975</b>	<b>291,871</b>	<b>297,710</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	5,107	5,107	2,542	3,071	3,004	2,964
<i>OASAS</i>	5,107	5,107	2,542	3,071	3,004	2,964
Developmental Disabilities Planning Council	976	1,007	1,266	1,266	1,266	1,266
Justice Center	94	309	228	228	228	229
Mental Health, Office of	813	2,036	813	813	813	813
<i>OMH</i>	813	2,036	813	813	813	813
<b>Functional Total</b>	<b>6,990</b>	<b>8,459</b>	<b>4,849</b>	<b>5,378</b>	<b>5,311</b>	<b>5,272</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	21,397	26,314	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	4,285	5,942	4,602	4,602	4,681	4,681
Homeland Security and Emergency Services, Division of	9,988	11,288	15,000	12,727	12,727	12,727
Military and Naval Affairs, Division of	11,856	21,400	21,032	21,032	21,032	21,032
State Police, Division of	5,574	10,046	11,975	11,975	12,265	12,403
Victim Services, Office of	1,493	1,860	1,658	1,658	1,709	1,743
<b>Functional Total</b>	<b>54,593</b>	<b>76,850</b>	<b>70,020</b>	<b>67,747</b>	<b>68,167</b>	<b>68,339</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	55	0	836	836	836	836
State University of New York	8,488	7,963	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<b>8,543</b>	<b>7,963</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>
<b>EDUCATION</b>						
Education, Department of	88,193	85,894	84,486	84,486	87,737	87,737

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<i>All Other</i>	88,193	85,894	84,486	84,486	87,737	87,737
<b>Functional Total</b>	<u>88,193</u>	<u>85,894</u>	<u>84,486</u>	<u>84,486</u>	<u>87,737</u>	<u>87,737</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	98	99	240	480	480	480
Prevention of Domestic Violence, Office for	30	23	0	0	0	0
State, Department of	2,546	3,010	3,758	3,758	3,758	3,758
Veterans' Affairs, Division of	426	504	804	804	826	843
<b>Functional Total</b>	<u>3,100</u>	<u>3,636</u>	<u>4,802</u>	<u>5,042</u>	<u>5,064</u>	<u>5,081</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	1,997	1,773	2,200	2,200	2,200	2,200
Law, Department of	18,619	20,135	20,260	20,608	21,624	21,624
<b>Functional Total</b>	<u>20,616</u>	<u>21,908</u>	<u>22,460</u>	<u>22,808</u>	<u>23,824</u>	<u>23,824</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>631,154</u></u>	<u><u>667,155</u></u>	<u><u>660,753</u></u>	<u><u>652,970</u></u>	<u><u>678,975</u></u>	<u><u>680,818</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	8,626	10,059	9,797	9,641	9,633	9,633
Economic Development, Department of	592	486	245	245	245	245
Financial Services, Department of	335	254	1,400	1,400	1,400	1,400
Public Service Department	336	698	40	40	40	40
<b>Functional Total</b>	<b>9,889</b>	<b>11,497</b>	<b>11,482</b>	<b>11,326</b>	<b>11,318</b>	<b>11,318</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	12	0	350	350	350	350
Environmental Conservation, Department of	18,551	14,727	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,515	2,756	1,145	1,145	1,147	1,147
<b>Functional Total</b>	<b>21,078</b>	<b>17,483</b>	<b>18,437</b>	<b>18,437</b>	<b>18,439</b>	<b>18,439</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	3,937	2,820	3,813	3,813	3,824	3,824
Transportation, Department of	4,466	8,450	13,585	13,435	13,425	13,435
<b>Functional Total</b>	<b>8,403</b>	<b>11,270</b>	<b>17,398</b>	<b>17,248</b>	<b>17,249</b>	<b>17,259</b>
<b>HEALTH</b>						
Aging, Office for the	5,239	5,624	3,279	4,348	4,348	4,348
Health, Department of	638,951	615,128	635,369	629,639	592,898	597,118
<i>Medicaid Administration</i>	378,387	306,994	415,845	430,670	395,725	402,435
<i>Public Health</i>	260,564	308,134	219,524	198,969	197,173	194,683
Medicaid Inspector General, Office of the	5,040	2,993	4,934	4,934	4,976	4,976
<b>Functional Total</b>	<b>649,230</b>	<b>623,745</b>	<b>643,582</b>	<b>638,921</b>	<b>602,222</b>	<b>606,442</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	52,619	53,142	62,262	66,991	68,028	69,354
<i>OCFS</i>	52,619	53,142	62,262	66,991	68,028	69,354
Housing and Community Renewal, Division of	2,581	2,374	2,709	2,709	3,155	3,293
Human Rights, Division of	1,836	1,494	1,287	1,287	1,313	1,339
Labor, Department of	54,857	58,129	75,632	75,632	78,546	81,686
National and Community Service	16,549	14,068	15,268	15,268	15,573	15,884
Temporary and Disability Assistance, Office of	68,620	72,021	78,234	77,698	77,698	79,138
<i>All Other</i>	68,620	72,021	78,234	77,698	77,698	79,138
<b>Functional Total</b>	<b>197,062</b>	<b>201,228</b>	<b>235,392</b>	<b>239,585</b>	<b>244,313</b>	<b>250,694</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	2,578	1,904	2,087	2,263	2,261	2,314
<i>OASAS</i>	2,578	1,904	2,087	2,263	2,261	2,314
Developmental Disabilities Planning Council	2,685	2,501	2,149	2,149	2,149	2,149
Justice Center	1,812	860	536	536	536	549
Mental Health, Office of	386	411	555	555	555	555
<i>OMH</i>	386	411	555	555	555	555
People with Developmental Disabilities, Office for	1,110	398	1,000	1,000	1,000	1,000
<i>OPWDD</i>	1,110	398	1,000	1,000	1,000	1,000
<b>Functional Total</b>	<b>8,571</b>	<b>6,074</b>	<b>6,327</b>	<b>6,503</b>	<b>6,501</b>	<b>6,567</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	539	524	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	3,966	7,676	4,249	4,249	4,249	4,249
Homeland Security and Emergency Services, Division of	26,673	17,581	22,499	23,190	23,190	23,190
Military and Naval Affairs, Division of	14,870	15,948	15,198	15,502	15,812	15,812
State Police, Division of	11,619	12,700	13,000	13,000	13,000	13,400
Victim Services, Office of	611	1,071	1,012	777	777	787
<b>Functional Total</b>	<b>58,278</b>	<b>55,500</b>	<b>57,149</b>	<b>57,909</b>	<b>58,219</b>	<b>58,629</b>
<b>HIGHER EDUCATION</b>						
City University of New York	2,181	81	7,634	7,634	7,634	7,634

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2017 Results</b>	<b>FY 2018 Results</b>	<b>FY 2019 Updated</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>
Higher Education Services Corporation, New York State	5,803	5,133	5,797	5,797	5,797	5,797
State University of New York	301,813	333,120	304,760	304,760	304,760	304,760
<b>Functional Total</b>	<b>309,797</b>	<b>338,334</b>	<b>318,191</b>	<b>318,191</b>	<b>318,191</b>	<b>318,191</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	82,883	74,958	64,901	64,901	65,381	65,381
<i>All Other</i>	82,883	74,958	64,901	64,901	65,381	65,381
<b>Functional Total</b>	<b>82,883</b>	<b>74,958</b>	<b>65,001</b>	<b>65,001</b>	<b>65,481</b>	<b>65,481</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	2,400	3,763	3,850	4,500	4,000	2,800
General Services, Office of	8,439	8,062	8,032	8,032	8,032	8,032
Prevention of Domestic Violence, Office for	4	0	0	0	0	0
State, Department of	836	1,442	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	1,216	1,180	1,220	1,220	1,220	1,220
Technology, Office for	587	3,126	0	0	0	0
Veterans' Affairs, Division of	132	104	564	564	575	587
Workers' Compensation Board	1,882	0	0	0	0	0
<b>Functional Total</b>	<b>15,496</b>	<b>17,677</b>	<b>17,712</b>	<b>18,362</b>	<b>17,873</b>	<b>16,685</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	4,098	4,352	7,800	7,800	7,800	7,800
Law, Department of	6,632	6,973	10,659	10,810	10,964	10,964
<b>Functional Total</b>	<b>10,730</b>	<b>11,325</b>	<b>18,459</b>	<b>18,610</b>	<b>18,764</b>	<b>18,764</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	32	(57)	0	0	0	0
<b>Functional Total</b>	<b>32</b>	<b>(57)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>1,371,449</b>	<b>1,369,034</b>	<b>1,409,130</b>	<b>1,410,093</b>	<b>1,378,570</b>	<b>1,388,469</b>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2017 Results	FY 2018 Results	FY 2019 Updated	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,047	2,040	2,157	2,151	2,273	2,406
Public Service Department	1,640	1,788	1,723	1,723	1,723	1,723
<b>Functional Total</b>	<b>3,687</b>	<b>3,828</b>	<b>3,880</b>	<b>3,874</b>	<b>3,996</b>	<b>4,129</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	14,392	16,621	17,375	16,953	17,976	18,357
Parks, Recreation and Historic Preservation, Office of	0	0	0	0	24	24
<b>Functional Total</b>	<b>14,392</b>	<b>16,621</b>	<b>17,375</b>	<b>16,953</b>	<b>18,000</b>	<b>18,381</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	685	855	720	720	806	806
Transportation, Department of	2,549	4,350	6,550	6,547	6,383	6,490
<b>Functional Total</b>	<b>3,234</b>	<b>5,205</b>	<b>7,270</b>	<b>7,267</b>	<b>7,189</b>	<b>7,296</b>
<b>HEALTH</b>						
Health, Department of	38,041	36,009	51,366	47,610	50,687	51,028
<i>Medicaid Administration</i>	1,522	2,834	6,215	6,642	7,049	7,356
<i>Public Health</i>	36,519	33,175	45,151	40,968	43,638	43,672
Medicaid Inspector General, Office of the	9,143	9,354	9,868	10,231	11,007	11,007
<b>Functional Total</b>	<b>47,184</b>	<b>45,363</b>	<b>61,234</b>	<b>57,841</b>	<b>61,694</b>	<b>62,035</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	9,812	11,206	15,442	11,231	12,240	12,240
<i>OCFS</i>	9,812	11,206	15,442	11,231	12,240	12,240
Housing and Community Renewal, Division of	3,811	3,500	4,005	4,005	4,005	5,501
Labor, Department of	91,534	100,504	95,383	96,193	96,193	117,217
National and Community Service	0	0	229	236	242	242
Temporary and Disability Assistance, Office of	42,221	52,383	49,603	44,370	44,370	52,638
<i>All Other</i>	42,221	52,383	49,603	44,370	44,370	52,638
<b>Functional Total</b>	<b>147,378</b>	<b>167,593</b>	<b>164,662</b>	<b>156,035</b>	<b>157,050</b>	<b>187,838</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	0	2,384	2,028	2,097	2,190
<i>OASAS</i>	0	0	2,384	2,028	2,097	2,190
Developmental Disabilities Planning Council	231	870	785	785	785	785
Justice Center	0	60	64	64	64	65
Mental Health, Office of	435	1,166	456	469	469	469
<i>OMH</i>	435	1,166	456	469	469	469
<b>Functional Total</b>	<b>666</b>	<b>2,096</b>	<b>3,689</b>	<b>3,346</b>	<b>3,415</b>	<b>3,509</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	991	1,094	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	331	617	348	348	348	348
Homeland Security and Emergency Services, Division of	6,722	6,654	7,064	4,579	4,579	4,579
Military and Naval Affairs, Division of	6,069	5,769	10,518	6,620	6,745	6,745
State Police, Division of	1,945	1,745	1,500	1,500	1,500	1,500
<b>Functional Total</b>	<b>16,058</b>	<b>15,879</b>	<b>20,471</b>	<b>14,088</b>	<b>14,213</b>	<b>14,213</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	1	0	1	1	1	1
State University of New York	25	25	51	51	51	51
<b>Functional Total</b>	<b>26</b>	<b>25</b>	<b>52</b>	<b>52</b>	<b>52</b>	<b>52</b>
<b>EDUCATION</b>						
Education, Department of	47,843	50,773	50,935	52,426	56,000	56,000
<i>All Other</i>	47,843	50,773	50,935	52,426	56,000	56,000
<b>Functional Total</b>	<b>47,843</b>	<b>50,773</b>	<b>50,935</b>	<b>52,426</b>	<b>56,000</b>	<b>56,000</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Results</u>	<u>FY 2019 Updated</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	49	0	150	300	300	300
State, Department of	1,172	1,780	2,809	2,810	2,811	2,812
Veterans' Affairs, Division of	218	295	240	255	269	269
<b>Functional Total</b>	<u>1,439</u>	<u>2,075</u>	<u>3,199</u>	<u>3,365</u>	<u>3,380</u>	<u>3,381</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	433	431	400	400	400	400
Law, Department of	10,298	11,667	12,729	13,229	14,218	14,218
<b>Functional Total</b>	<u>10,731</u>	<u>12,098</u>	<u>13,129</u>	<u>13,629</u>	<u>14,618</u>	<u>14,618</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>292,638</u>	<u>321,556</u>	<u>345,896</u>	<u>328,876</u>	<u>339,607</u>	<u>371,452</u>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

SFS Fund	Account Name	FY 2018 Results	FY 2019 Update	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>RBTF - Dedicated PIT in excess of Debt Service</b>		<b>10,908,781</b>	<b>23,619,961</b>	<b>24,646,169</b>	<b>25,052,739</b>	<b>25,813,447</b>
<b>STBF - Sales Tax Bond Fund</b>		<b>2,762,646</b>	<b>2,642,377</b>	<b>2,677,223</b>	<b>2,428,312</b>	<b>2,591,443</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>		<b>3,098,439</b>	<b>3,155,874</b>	<b>3,292,686</b>	<b>3,542,241</b>	<b>3,810,136</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>		<b>943,564</b>	<b>998,430</b>	<b>1,056,121</b>	<b>1,096,556</b>	<b>1,139,814</b>
<b>Total All Other Transfers</b>		<b>921,708</b>	<b>2,834,665</b>	<b>2,460,314</b>	<b>2,091,487</b>	<b>1,761,781</b>
339.21982	Administration Program	296	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	639	0	0	0	0
339.21977	Business and Licensing Services Account	3,785	52,145	51,841	51,225	49,495
339.21971	Cable Television Account	0	2,500	0	0	0
339.21920	Certificate of Need Account	1,292	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	3,500	3,000	0	0	0
061.20810	Child Health Insurance Account	30	0	0	0	0
025.20401	Child Performer Protection Account	87	0	0	0	0
334.55055	Civil Service Administration Account	1,400	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	786	289	289	289	289
S01.23702	Commercial Gaming Regulation	0	2	2	2	2
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	227	357	357	357	357
339.21945	Criminal Justice Improvement Account	8,847	8,596	8,596	8,596	8,596
072.30050	Dedicated Highway and Bridge Trust Fund	57,208	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	3,252	0	0	0	0
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
486.26000	Division of Labor Federal Grants	1,571	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20818	Elderly Pharmaceutical Insurance Coverage Premium Account	33	0	0	0	0
061.20809	Emergency Medical Services Training Account	216	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	189	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	1,600	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	420	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	220	0	0	0	0
265.25100	Federal Health and Human Services Fund	97,240	117,423	103,423	103,423	103,423
290.25300	Federal Operating Grants Fund	2,761	23,076	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	51,392	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	12,135	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	0	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	24	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	24,100	25,200	25,200	25,200	25,200
061.20821	Health Care Delivery Administration Account	16	0	0	0	0
S06.24850	Health Care Transformation Account	0	555,000	667,000	432,000	118,000
396.55300	Health Insurance Internal Services Account	3,704	3,428	3,428	3,428	3,428
061.20819	Health Occupation Development Workplan Demo Account	57	0	0	0	0
S02.23755	Health Operation and Oversight Account	612	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	12,903	16,221	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,608	2,085	2,085	2,085	2,085
334.55071	Labor Contact Center Account	125	0	0	0	0
339.22096	Legal Services Assistance Fund	9,545	9,830	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	10	5	5	5	5
160.20902	Lottery Administration - New	5,521	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	0	1,335,814	1,048,199	901,072	873,089
339.21909	Mental Hygiene Patient Income Account	0	37,958	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,886	0	0	0	0
225.23652	Metropolitan Transportation Authority Aid Trust Account	33	225	225	225	225
020.20176	Miscellaneous Gifts Account Capital	1	0	0	0	0
314.21452	Mobile Source Account	5,146	6,404	6,404	6,404	6,404
225.23651	Mobility Tax Trust Account	1,016	2,000	2,000	2,000	2,000
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	4,300	1,100	1,100	1,100	1,100
339.22062	New York City Assessment Account	48,000	0	0	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	21	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	827	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	1,106	0	0	0	0
323.5502Y	Office of General Services Building Administration Account - Internal Service	11	0	0	0	0
339.219YL	Office of General Services Building Administration Account - Special Revenue State	2,043	0	0	0	0
323.5502X	Office of General Services Executive Direction Account	1,867	105	105	105	105
323.5502Z	Office of General Services Standards and Purchase Account - Internal Service	21	0	0	0	0
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,029	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
339.22239	Opioid Stewardship Account	0	100,000	100,000	100,000	100,000

General Fund Transfers From Other Funds  
(thousands of dollars)

SFS Fund	Account Name	FY 2018 Results	FY 2019 Update	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
331.0GSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	15	158	158	158	158
S01.23703	Problem Gambling Services	0	1,500	0	0	0
339.22088	Professional Medical Conduct Account	874	291	291	291	291
339.22123	Public Safety Communication Account	53,651	5,161	5,161	5,161	5,161
339.22011	Public Service Account	4,613	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	3,316	0	0	0	0
339.21965	Radiological Health Protection	352	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	7,449	115	115	115	115
339.21900	Reserve for Transaction Risks	0	(357,334)	(257,334)	(257,334)	(257,334)
339.22024	Revenue Arrearage Account	8,645	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	1,822	6,725	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	113,420	115,420	112,420	112,420	112,420
384.32400	State University Capital Projects Fund	1	0	0	0	0
345.22653	State University General IFR Account	176,384	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	40,000	43,352	39,884	43,308	57,300
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	1,122	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	4,502	5,320	5,320	5,320	5,320
061.20801	Tobacco Control and Cancer Services Account	128	0	0	0	0
339.22055	Traffic Adjudication Account	1,401	0	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.22067	Transportation Regulation Account	0	885	1,988	2,428	2,443
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	0	207,200	126,600	126,600	126,600
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	28,317	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	3,328	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,807	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	0	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
050.20452	Vocational School Supervision Account	297	0	0	0	0
339.21995	Workers' Compensation Account	13,326	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	65,000	199,604	71,604	81,656	81,656
		<b>18,635,138</b>	<b>33,251,307</b>	<b>34,132,513</b>	<b>34,211,335</b>	<b>35,116,621</b>

**General Fund Transfers To Other Funds**  
(thousands of dollars)

SFS Fund	Account Name	FY 2018 Results	FY 2019 Update	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<b>Transfers to State Share of Mental Hygiene Medicaid<sup>1</sup></b>		<b>1,333,315</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Transfers to Debt Service Funds</b>		<b>1,047,221</b>	<b>775,415</b>	<b>823,128</b>	<b>904,188</b>	<b>747,443</b>
<b>Transfers to Capital Projects Funds</b>		<b>2,190,643</b>	<b>2,635,081</b>	<b>3,424,610</b>	<b>3,171,133</b>	<b>3,181,951</b>
<b>Transfers to SUNY University Operations</b>		<b>1,014,993</b>	<b>1,020,245</b>	<b>1,008,149</b>	<b>1,003,778</b>	<b>1,003,778</b>
<b>Total All Other Transfers</b>		<b>4,265,316</b>	<b>1,098,742</b>	<b>1,079,736</b>	<b>1,158,255</b>	<b>1,298,336</b>
020.20143	Alzheimers Disease Assistance	271	270	270	270	270
334.55057	Banking Services Account	40,514	60,109	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	412	500	500	500	500
323.55022	Business Services Center	0	6,000	6,000	6,000	6,000
334.55069	Centralized Technology Services Account	8,960	13,960	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	178,889	149,300	149,300	149,300
397.55350	Correctional Industries Account	12,000	20,773	20,773	20,773	20,773
340.22501	Court Facility Income Account	109,396	110,000	109,000	110,000	110,000
339.22015	Crimes Against Revenue Program Account	2,000	0	0	0	0
073.20853	Dedicated Mass Transportation Non MTA	5,013	5,274	5,274	5,274	5,274
339.22056	Federal Salary Sharing Account	0	2,727	2,787	2,859	2,940
319.40300	Health Income Fund	15,259	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	8,083	8,083	8,083	8,083	8,083
502.23755	Health Operation and Oversight Account	4,540	6,550	4,626	6,913	6,913
316.40250	Housing Debt Fund	1,006	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	25,347	35,000	57,621	81,781	81,781
339.22157	Medicaid Income Account	211	0	0	0	0
339.21909	Mental Hygiene Patient Income Account <sup>2</sup>	1,463,076	0	0	0	0
339.21907	Mental Hygiene Program Fund Account <sup>2</sup>	1,664,165	0	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	20,975	21,175	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	268,436	244,250	244,250	244,250	244,250
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	3,656	4,400	4,400	4,400	4,400
323.5502Y	OGS Building Administration Account	0	1,500	1,500	1,500	1,500
323.5502X	OGS Executive Direction Account	21,783	21,783	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	172	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	15,058	16,144	16,144	16,144	16,144
073.20852	Railroad Account	8,772	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,032	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22653	State University General IFR Account	13,540	0	0	0	0
345.22656	State University Hospital IFR Operations Account	483,317	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	1,500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	48,876	51,394	51,394	102,394	242,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		<b>9,851,488</b>	<b>5,529,483</b>	<b>6,335,623</b>	<b>6,237,354</b>	<b>6,231,508</b>

<sup>1</sup>The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from the Mental Hygiene Program Fund and the Patient Income Account to the General Fund, the State Share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than the Special Revenue Funds.

<sup>2</sup>Effective FY 2019, spending associated with Mental Hygiene agencies' will now be centrally accounted for in the General Fund. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

**CASH COMBINING STATEMENT**  
**GENERAL FUND**  
**FY 2019**  
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Total
<b>Opening Fund Balance</b>	0	1,258	21	46	540	5,020	2,060	500	0	0	0	9,445
<b>Receipts:</b>												
Taxes	37,070	0	0	0	0	0	0	0	0	0	0	37,070
Miscellaneous Receipts	2,030	0	0	0	0	444	0	0	0	0	0	2,474
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	39,100	0	0	0	0	444	0	0	0	0	0	39,544
<b>Disbursements:</b>												
Local Assistance	51,003	0	0	10	0	0	0	0	0	0	0	51,013
State Operations	11,850	0	0	0	0	0	0	0	0	0	0	11,850
General State Charges	7,392	0	0	0	0	0	0	0	0	0	0	7,392
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	70,245	0	0	10	0	0	0	0	0	0	0	70,255
<b>Other financing sources (uses):</b>												
Transfers from Other Funds	73,502	0	0	0	0	0	512	0	0	(40,763)	0	33,251
Transfers to Other Funds	(42,357)	0	0	(3)	0	(1,515)	(2,417)	0	0	40,763	0	(5,529)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	31,145	0	0	(3)	0	(1,515)	(1,905)	0	0	0	0	27,722
<b>Change in Fund Balance</b>	0	0	0	(13)	0	(1,071)	(1,905)	0	0	0	0	(2,989)
<b>Closing Fund Balance</b>	0	1,258	21	33	540	3,949	155	500	0	0	0	6,456

CASH COMPARING STATEMENT  
SPECIAL FUND ACCOUNTS  
FY 2019  
(Thousands of dollars)

	MENTAL HEALTH GIFTS DONATION AND LOAN (20000-20099)	COMBINED EXPENDABLE ACCOUNT (20000-20099)	NEW YORK INTEREST ON LAWYER ACCOUNT (20000-20099)	NEW YORK STATE ARCHIVES PARTNERSHIP ACCOUNT (20000-20099)	CHILD PERFORMERS ACCOUNT (20000-20099)	TUITION REIMBURSEMENT ACCOUNT (20000-20099)	LOCAL GOVERNMENT RECORDS MANAGEMENT ACCOUNT (20000-20099)	SCHOOL TAX ACCOUNT (20000-20099)	CHARTER SCHOOL ACCOUNT (20000-20099)	HEALTH CARE REFORM ACT ACCOUNT (20000-20099)	DEDICATED MASS TRANSPORTATION ACCOUNT (20000-20099)
Opening Fund Balance	2,334	64,073	39,952	51	23	6,734	4,907	0	5,664	14,572	75,055
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	791,000	474,800
Miscellaneous Receipts	72	(167,120)	33,000	318	65	4,905	9,233	0	0	5,080,879	144,489
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(167,120)	33,000	318	65	4,905	9,233	0	0	5,871,879	619,289
Disbursements:											
Local Assistance	0	9,019	23,000	0	0	0	5,056	2,459,259	4,837	5,622,825	682,844
State Operations	72	2,881	1,499	420	247	2,666	2,149	0	0	39,040	0
General State Changes	0	220	528	187	149	1,195	1,115	0	0	6,603	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,120	25,027	607	396	3,861	8,320	2,459,259	4,837	5,668,468	682,844
Other Financing Sources (Uses):											
Transfers from Other Funds	0	180,481	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	0	0	(8)	0	(265)	(1,383)	0	0	(217,978)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	180,481	0	292	300	(265)	(1,383)	0	4,837	(217,978)	65,884
Change in Fund Balance	0	1,241	7,973	3	(31)	779	(470)	0	0	(14,567)	2,329
Closing Fund Balance	2,334	65,314	47,925	54	(8)	7,513	4,437	0	5,664	5	77,384

	STATE LOTTERY ACCOUNT (20000-20099)	COMBINED STUDENT LOAN ACCOUNT (20000-20099)	MTA FINANCIAL ASSISTANCE ACCOUNT (20000-20099)	FEDERAL UNION/FOOD AND SERVICE ACCOUNT (20000-20099)	FEDERAL HEALTH AND WELFARE ACCOUNT (20000-20099)	FEDERAL EDUCATION ACCOUNT (20000-20099)	MISCELLANEOUS GRANTS ACCOUNT (20000-20099)	SEWAGE TREATMENT PROGRAM ACCOUNT (20000-20099)	RIION SCHOOL REVENUE ACCOUNT (20000-20099)	CONSERVATION ACCOUNT (20000-20099)	ENVIRONMENTAL PROTECTION ACCOUNT (20000-20099)
Opening Fund Balance	105,952	9,489	165,728	25,452	370,545	(1,094)	(227,683)	(3,342)	(4,708)	73,557	36,754
Receipts:											
Taxes	0	0	99,000	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,253,761	27,467	193,500	100,011	43,998	392	4,255	900	77,111	46,792	57,976
Federal Grants	0	660	0	2,115,926	52,862,483	3,130,867	1,417,488	0	0	0	0
Total Receipts	3,253,761	28,127	292,500	2,115,937	52,866,481	3,140,259	1,421,743	900	77,111	46,792	57,976
Disbursements:											
Local Assistance	3,200,800	0	669,699	2,062,563	49,977,762	2,548,621	1,124,760	0	0	0	0
State Operations	52,905	23,588	96,539	96,539	954,542	520,234	265,180	432	65,887	24,525	13,390
General State Changes	11,833	0	0	21,751	115,040	55,757	58,006	266	28,746	13,808	7,691
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,265,538	23,588	669,699	2,180,853	51,047,344	3,124,612	1,445,946	698	94,633	38,333	21,081
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	244,250	0	0	0	11,517	0	19,157	75	19,006
Transfers to Other Funds	(4,870)	0	(2,225)	(34,092)	(2,042,485)	(12,827)	(33,833)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(4,870)	0	242,025	(34,092)	(2,042,485)	(12,827)	(22,316)	0	8,406	(1,820)	(30,300)
Change in Fund Balance	(4,870)	4,529	(16,647)	992	(193,348)	2,820	(46,519)	202	(9,116)	6,639	6,595
Closing Fund Balance	89,305	14,018	30,278	26,444	177,197	1,726	(71,202)	(3,140)	(1,382)	80,196	43,349

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2019**  
(Thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE COURT (21350-21399)	MASS TRANSPORTATION OPERATING PROGRAM (21400-21449)	CLEAN ENERGY (21450-21499)	NEW YORK STATE INFRASTRUCTURE (21500-21549)	LEGISLATIVE COMPUTER PROGRAMS (21550-21599)	STATE UNIVERSITY DOMINIUM (21600-21649)	COMBINED NON- EXPENDABLE (21650-21699)	WINTER SPORTS EDUCATION (21700-21749)	MUSICAL INSTRUMENT REVOLVING (21750-21799)
<b>Opening Fund Balance</b>	4,333	7,630	537	17,716	(25,556)	68	10,926	240,235	461	0	1
<b>Receipts:</b>											
Taxes	0	0	0	2,308,600	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	10,000	50	17,500	43,200	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	48,496	10,000	50	2,326,100	43,200	0	1,719	344,024	115	75	0
<b>Disbursements:</b>											
Local Assistance	0	0	0	2,279,866	0	0	0	0	0	0	0
State Operations	33,684	12,600	91	4,031	23,149	0	950	0	59	75	0
General State Charges	13,505	200	0	2,124	12,176	0	0	0	0	0	0
Diet/Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	47,189	12,800	91	2,286,021	35,325	0	950	0	59	75	0
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(8,205)	(6,404)	0	0	(384,016)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	(7)	29,114	(6,404)	0	0	(384,016)	0	0	0
<b>Change in Fund Balance</b>	1,207	(2,800)	(48)	69,193	1,471	0	769	(39,992)	56	0	0
<b>Closing Fund Balance</b>	5,640	4,830	489	86,909	(24,085)	68	11,695	200,243	517	0	1

	ARTS CAPITAL PROGRAMS (21850-21899)	MISCELLANEOUS STATE SPECIAL PROGRAMS (21900-21949)	COURT FACILITIES PROGRAMS (22500-22549)	EMPLOYMENT (22550-22599)	STATE UNIVERSITY (22600-22649)	CHEMICAL DEPENDENCE (22700-22749)	LAW GEORGE PROGRAMS (22750-22799)	LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE PROGRAMS (22800-22849)	NEW YORK GREAT LAKES (22850-22899)	FEDERAL REVENUE MAINTENANCE (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
<b>Opening Fund Balance</b>	916	1,240,418	2,883	50	1,370,597	14,526	(142)	27,137	704	23	10,558
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	1,714,016	150	0	4,878,783	6,479	1,208	123,567	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	60	1,714,105	150	0	4,878,783	6,479	1,208	123,567	160	0	900
<b>Disbursements:</b>											
Local Assistance	98	274,718	108,700	0	0	0	0	4,237	0	0	852
State Operations	0	1,129,385	1,800	0	5,916,323	6,456	971	9,244	155	0	0
General State Charges	0	404,698	800	0	485,071	0	425	35	53	0	0
Diet/Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	98	1,808,801	111,300	0	6,401,394	6,456	1,396	13,516	208	0	852
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	690,156	110,000	0	1,783,200	0	0	0	0	0	0
Transfers to Other Funds	0	(597,131)	(167)	0	(254,090)	(13,500)	0	(116,520)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	93,025	109,833	0	1,529,110	(13,500)	(188)	(116,520)	0	0	0
<b>Change in Fund Balance</b>	(38)	(1,671)	(1,317)	0	6,499	(13,477)	(6,499)	(6,499)	(48)	0	48
<b>Closing Fund Balance</b>	878	1,238,747	1,566	50	1,377,096	1,049	(330)	203,744	656	23	10,606





CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2019

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hyg Gifts	2,332	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	2,332
020.20100-Combined Exp Tr	(35)	0	(179,300)	0	0	178,889	(411)	0	0	0	0	0	0	0	0	0	0	(446)
020.20101-Planting Fields	1,453	0	350	0	0	0	350	0	216	48	7	0	136	0	0	0	407	1,396
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	52	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	52
020.20109-Helen Hayes Hsp	59	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	24
020.20110-Oxford Donation	308	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	424
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	89	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	86
020.20113-Donations-Batav	24	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	3
020.20114-Montrose Donati	180	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	179
020.20116-IBR Genetic Cou	33	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	33
020.20118-Tech Transfer	15	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	41
020.20120-Spec Events	2,506	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,644
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,304	0	950	0	0	0	950	0	44	518	1	0	28	0	0	0	591	1,663
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	3,561	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	3,433
020.20129-NYSCB Gift& Beq	176	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	159
020.20130-St Transm Money	19,725	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	0	19,945
020.20142-Youth Grants &	275	0	0	0	0	0	0	0	43	418	0	0	17	0	0	0	478	(203)
020.20143-Alzheimers Dis	1,640	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,360
020.20144-Local Gov Comm	145	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	150
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	292	0	10	0	0	0	10	0	0	18	0	0	0	0	0	0	18	284
020.20150-Emergency Serv	15,884	0	5,688	0	0	0	5,688	3,101	127	93	4	0	30	0	0	0	3,355	18,217
020.20151-Batavia-Charlot	345	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	342
020.20152-Rome-Gifts And	79	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	80
020.20155-Br Can Res & Ed	7,659	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	6,119
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	105	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	105
020.20166-Erie Canal Muse	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,392	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,615
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Solero	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,778	0	240	0	0	200	440	548	0	0	0	0	0	0	0	0	548	2,670
020.20185-Percy T Phillip	41	0	0	0	0	0	0	0	0	142	0	0	0	0	0	0	142	105
020.20192-Missing Children	96	0	407	0	0	0	407	0	256	0	0	0	0	0	0	0	398	41
020.20198-HESC Gifts Dona	534	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.201B4-DEFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	62	0	100	0	0	0	100	0	14	90	1	0	9	0	0	0	114	48
020.201HH-OWH Grant & Beq	794	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	794
020.201MI-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XK-Grants Account	1,777	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	2,210
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	262	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	562
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	0
020.20201-Veterans Rem Ce	902	0	241	0	0	0	241	0	0	0	0	0	0	0	0	0	0	1,143
020.20205-Mental Illness	155	0	58	0	0	0	58	0	0	0	0	0	0	0	0	0	0	213
020.20206-Women's Cancer	157	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	57
020.20209-Combined Gifts	0	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	(3)
023.20300-N Y Int Lawyers	39,951	0	33,000	0	0	0	33,000	23,000	830	619	50	0	528	0	0	0	25,027	47,924
024.20350-NYS Archvs Pine	51	0	318	0	0	300	618	0	292	119	9	0	187	0	0	8	615	54
025.20401-Child Performer	27	0	65	0	0	300	365	0	232	9	6	0	149	0	0	0	396	(4)
050.20451-Tuition Reimb	4,671	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,052
050.20452-Voc School Supe	2,064	0	4,200	0	0	0	4,200	0	1,713	700	53	0	1,094	0	0	242	3,802	2,462
052.20501-Loc Govt Record	4,906	0	9,233	0	0	0	9,233	5,056	1,745	350	54	0	1,115	0	0	1,383	9,703	4,436
053.20550-Sch Tax Relief	(1)	2,459,259	0	0	0	0	2,459,259	2,459,259	0	0	0	0	0	0	0	0	2,459,259	(1)
054.20601-Charter School	5,665	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,665
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	83	0	0	0	0	0	0	0	1,889	140	42	0	1,475	0	0	0	3,546	(3,463)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	0	0	0	0	0	0	0	3,870,916	0	0	0	0	0	0	0	0	3,870,916	(3,870,916)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	696	0	0	0	0	0	0	366,664	0	8,209	0	0	0	0	0	0	0	(374,177)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EMS Training	997	0	0	0	0	0	0	10,570	2,078	1,381	45	0	1,590	0	0	131	15,795	(14,798)
061.20810-Child Health In	(45)	0	0	0	0	0	0	363,809	774	9,338	207	0	589	0	0	0	374,717	(374,762)
061.20811-HCRA Undistrib	10,578	791,000	5,037,502	0	0	0	5,828,502	0	0	0	0	0	0	0	0	208,587	208,587	5,630,493
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	61	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	1
061.20814-Primary Care In	78	0	0	0	0	0	0	180	0	0	4	0	147	0	0	158	489	(411)
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,169	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,331)
061.20818-EPIC Premium	306	0	43,377	0	0	0	43,377	128,306	715	10,193	23	0	457	0	0	0	139,694	(96,011)
061.20819-Health Occup De	277	0	0	0	0	0	0	526	189	0	22	0	580	0	0	0	1,317	(1,040)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20822-Health Care Del	244	0	0	0	0	0	0	384	2	0	10	0	278	0	0	0	674	(430)
061.20822-Cig Task Force	128	0	0	0	0	0	0	2,419	206	0	64	0	1,487	0	0	0	4,176	(4,048)
073.20851-Transit Authori	51,663	370,828	112,872	0	0	51,394	535,094	534,825	0	0	0	0	0	0	0	0	534,825	51,932
073.20852-Railroad Account	9,248	65,464	19,802	0	0	9,216	94,482	93,410	0	0	0	0	0	0	0	0	93,410	10,320
073.20853-DMTF	14,141	38,508	11,815	0	0	5,274	55,597	54,609	0	0	0	0	0	0	0	0	54,609	15,129
160.20901-Education - New	135	0	2,294,000	0	0	0	2,294,000	2,294,000	0	0	0	0	0	0	0	0	2,294,000	135
160.20902-Lottery Adm New	97,278	0	40,921	0	0	0	40,921	17,842	30,236	0	488	0	10,151	0	0	4,204	62,921	75,278
160.20903-VLT Administrat	8,481	0	12,040	0	0	0	12,040	2,978	1,280	0	81	0	1,682	0	0	666	6,687	13,834
160.20904-VLT - Education	59	0	906,800	0	0	0	906,800	906,800	0	0	0	0	0	0	0	0	906,800	59
221.20950-Comb Student Ln	9,490	0	27,467	650	0	244,250	244,250	377,699	0	0	0	0	0	0	0	2,000	379,699	(440)
225.23651-Mobility Tax Tr	135,009	0	0	0	0	0	0	292,000	0	0	0	0	0	0	0	225	292,225	30,994
225.23652-MTA Aid Trust	30,719	99,000	193,500	0	0	0	900	418	0	14	0	0	266	0	0	0	698	(3,142)
300.21002-EnCon Admin Acc	(3,344)	0	900	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.21051-EnCon Energy Ef	156	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	156
301.21052-EnCon-Seized As	224	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	224
301.21053-Wst Tire MgrRe	24,941	0	19,200	0	0	0	19,200	12,842	2,000	0	428	0	8,205	0	0	0	23,475	20,666

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301.21054-Oil & Gas Accou	129	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	139
301.21055-Marine/Coastal	177	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	190
301.21060-Indirect Charge	2,207	0	0	0	0	10,157	10,157	0	4,895	3,856	164	0	3,128	0	0	2,085	14,128	(1,764)
301.21061-Hazardous Sub B	948	0	350	0	0	0	350	0	243	40	9	0	156	0	0	0	448	850
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	2,000	0	67	0	1,278	0	0	0	3,345	(3,344)
301.21065-Federal Grant I	635	0	40	0	0	9,000	9,040	0	8,452	168	0	0	894	0	0	1,041	9,661	14
301.21066-Low Level Radio	(3,849)	0	2,811	0	0	0	2,811	0	1,368	227	39	0	0	0	0	433	2,961	(3,999)
301.21067-Recreation Acco	(1,669)	0	10,200	0	0	0	10,200	0	2,917	970	97	0	1,863	0	0	455	6,302	2,229
301.21077-Public Safety R	6	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	6
301.21080-Encon Magazine	780	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,171
301.21081-Environmental R	(37,620)	0	28,600	0	0	0	28,600	0	13,943	2,424	464	0	8,909	0	0	6,187	31,927	(40,947)
301.21082-Natural Resourc	(15,497)	0	4,811	0	0	0	4,811	0	577	397	20	0	369	0	0	400	1,763	(12,449)
301.21083-UST-Trust Recov	397	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	409
301.21084-Mined Land Recl	3,617	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,382	0	0	0	3,733	4,084
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21088-Monitors-Aggre	19,716	0	6,000	0	0	0	6,000	0	4,009	493	134	0	2,562	0	0	0	7,198	18,518
302.21150-Conservation	8,097	0	43,222	0	0	75	43,297	0	20,540	2,108	692	0	13,124	0	0	1,820	38,284	13,110
302.21151-Marine Resource	3,479	0	1,480	0	0	0	1,480	0	991	0	34	0	634	0	0	0	1,659	3,300
302.21152-Migratory Bird	97	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	62
302.21153-Guides License	164	0	55	0	0	0	55	0	51	6	2	0	33	0	0	0	92	127
302.21154-Fish And Game T	61,697	0	2,000	0	0	0	2,000	0	20,540	2,108	692	0	13,124	0	0	75	75	63,622
302.21155-Surf Clam/Quaho	(1)	0	0	0	0	0	0	0	26	29	1	0	17	0	0	0	73	(74)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
303.21201-Oil Spill - DAC	4	0	280	0	0	705	985	0	592	58	15	0	365	0	0	0	1,030	(41)
303.21202-Oil Sp Relocatr	3	0	0	0	0	301	301	0	197	14	4	0	153	0	0	0	388	(64)
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,226	910	374	0	7,173	0	0	0	19,683	(1,685)
303.21204-Oil Spill - DAC	36,750	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	45,140
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	850	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,462	1,755
305.21252-OSHA Inspection	3,479	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,333	0	0	0	21,737	3,881
306.21301-CSF Regis Fee	7,632	0	10,000	0	0	0	10,000	0	500	12,100	0	0	200	0	0	0	12,800	4,832
307.21351-Equipment Loan	540	0	50	0	0	0	50	0	0	91	0	0	0	0	0	7	98	492
313.21401-Pub Tran Systems	9,777	75,420	0	0	0	16,144	91,564	92,429	660	195	20	0	422	0	0	0	93,726	7,615
313.21402-Metropolitan Ma	7,841	2,233,180	17,500	0	0	21,175	2,271,855	2,187,437	2,664	410	82	0	1,702	0	0	8,205	2,200,500	79,196
313.21403-Urban Mass Tran	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(22,802)	0	9,200	0	0	0	9,200	0	2,602	647	87	0	1,663	0	0	0	4,999	(18,601)
314.21452-Mobile Source	(2,760)	0	34,000	0	0	0	34,000	0	16,455	2,851	507	0	10,513	0	0	6,404	36,730	(5,490)
318.21501-Housing Resene	67	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	67
321.21551-Legisl Comp R&D	10,863	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,630
321.21552-Demographics/Re	62	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	64
330.40350-S U Dorm Income	240,232	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	384,016	384,016	200,240
332.21651-Brummer Award	38	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	38
332.21652-William Vorce F	232	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	232
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-NYS Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	9.15	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	877
340.22501-CFIA Undistrib	2,883	0	150	0	0	110,000	110,150	108,700	1,700	100	0	0	800	0	0	167	111,467	1,566

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341.22552-DFY-NYC Summer	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
345.22652-L1 Vets Home	19,437	0	49,728	0	0	0	49,728	0	29,809	21,034	0	0	0	0	0	0	50,843	18,322
345.22653-S U Genl IFR	749,819	0	862,404	0	0	41,063	903,467	0	192,558	509,035	0	0	4,000	0	0	77,336	782,929	870,357
345.22654-S U Inc Offset	(19,765)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19,765)
345.22655-Cen Rev Offset	164,319	0	1,967,916	0	0	1,020,245	2,988,161	0	2,395,052	510,289	0	0	4,270	0	0	108,402	2,957,993	194,487
345.22656-S U Hosp Ops	283,372	0	1,853,259	0	0	681,612	2,534,871	0	1,199,447	981,297	0	0	472,404	0	0	68,352	2,721,500	96,743
345.22657-SUNY Stabilizat	41,457	0	0	0	0	40,280	40,280	0	400	600	0	0	0	0	0	0	1,000	80,737
345.22658-State Univ Hosp	14,832	0	44,897	0	0	0	44,897	0	42,086	2,919	0	0	0	0	0	0	45,005	14,724
345.22659-SUNY Tuition Re	117,127	0	100,579	0	0	0	100,579	0	54,958	36,859	0	0	4,397	0	0	0	96,214	121,492
345.2268P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	14,525	0	6,479	0	0	0	6,479	0	0	6,456	0	0	0	0	0	13,500	19,956	1,048
349.22751-Lk George Park	(1,40)	0	1,208	0	0	0	1,208	0	701	250	20	0	425	0	0	0	1,396	(328)
354.22801-MVTIFA	3,873	0	4,742	0	0	0	4,742	4,237	136	4	4	0	35	0	0	1,100	5,516	3,099
354.22802-St Police MV En	23,265	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	115,420	124,520	17,570
355.22851-Great Lakes Pro	700	0	160	0	0	0	160	0	82	70	3	0	53	0	0	0	208	652
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,557	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,605
362.23001-DOT Comm Veh Sa	(10,567)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(10,948)
365.23051-Vocatl Rehabil	5	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	28
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,352)
368.23151-NYC County Cler	(25,781)	0	34,900	0	0	4,400	39,300	0	20,173	3,400	0	0	11,500	0	0	1,108	1,108	(6,460)
369.23201-Jud Data Proc O	19,608	0	40,000	0	0	0	40,000	0	18,861	6,000	0	0	8,900	0	0	0	33,761	25,847
377.23267-CUNY Stabilizn	4,955	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,955
377.2322X-CUNY Tuitn Reim	81,575	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	83,190
377.2322Y-CUNY Inc Reimb	82,669	0	92,533	0	0	0	92,533	0	44,655	46,944	0	0	150	0	0	0	91,749	83,453
385.23501-Lk Placid Train	179	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	189
390.23551-Indigent Legal	263,673	0	132,000	0	0	35,000	167,000	166,253	2,982	25,738	95	0	1,906	0	0	0	196,974	233,699
482.23601-UJ Sp Int & Pen	35,532	0	15,866	0	0	0	15,866	0	1,643	1,000	42	0	1,173	0	0	11,583	15,441	35,957
501.23701-Commercial Gami	28,326	0	160,000	0	0	0	160,000	170,040	0	0	0	0	0	0	0	0	170,040	18,286
501.23702-Comm Game Regul	(8,465)	0	4,871	0	0	0	4,871	0	2,236	1,223	64	0	1,346	0	0	2	4,871	(8,465)
501.23703-Prob Gambi Svcs	3,572	0	3,325	0	0	0	3,325	3,325	0	0	0	0	0	0	0	1,500	4,825	2,072
502.23750-Med Marth Colle	1,112	945	0	0	0	0	945	0	0	0	0	0	0	0	0	0	0	2,057
502.23752-MMF - County Di	290	945	0	0	0	0	945	1,800	0	0	0	0	0	0	0	0	1,800	(565)
502.23753-MMF - Law Enfor	124	105	0	0	0	0	105	0	0	0	0	0	0	0	0	0	0	229
502.23754-MMF - Addiction	124	105	0	0	0	0	105	0	0	0	0	0	0	0	0	0	0	229
502.23755-Health Operatio	3,968	0	0	0	0	6,550	6,550	0	1,670	2,794	39	0	1,274	0	0	0	5,777	4,741
503.23800-Inter Recip Pos	848	0	567	0	0	0	567	0	273	70	8	0	174	0	0	45	570	845
503.23801-Hwy Use Tax Adm	3,260	3,000	1,300	0	0	0	4,300	0	515	455	14	0	317	0	0	0	1,301	6,259
503.23802-Cure Childhood	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
503.23806-NYS Secure Choi	0	0	0	0	0	0	0	0	354	3,408	11	0	227	0	0	0	4,000	(4,000)
504.24950-Fan Sports Educ	8,007	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	0	0	13,007
504.24951-Fan Sport Admin	0	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,000)
506.24850-Hlth Care Trans	0	0	1,068,000	0	0	0	1,068,000	0	0	0	0	0	0	0	0	555,000	555,000	513,000
507.24900-Hlth Caritative	0	0	57,428	0	0	0	57,428	0	0	0	0	0	0	0	0	0	0	57,428

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,897	0	500	0	0	0	500	67	0	0	0	0	0	0	0	0	6,330
339.21902-S P A R C S	6,996	0	6,600	0	0	0	6,600	0	490	559	11	0	363	0	0	4,214	7,959
339.21904-Fire Prev/Code	42,774	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	42,774
339.21905-NYS Twy Police	10,902	0	56,203	0	0	0	56,203	35,186	35,186	16	0	0	21,001	0	0	0	10,902
339.21906-DMV Seiz Assets	115	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	115
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	37,958	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37,958	0
339.21911-Fin Cntrl Board	(723)	0	3,044	0	0	0	3,044	1,389	1,389	811	45	0	799	0	0	0	(723)
339.21912-Reg of Racing	(3,719)	0	12,647	0	0	0	12,647	6,809	6,809	5,577	171	0	1,755	0	0	458	(5,842)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	109
339.21915-Quality Care	65,839	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65,839
339.21916-Nurses Aide Reg	1,019	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,019
339.21917-Med Frd Seized	173	0	238	0	0	0	238	0	0	238	0	0	0	0	0	0	173
339.21918-Child Care & Pr	1,659	0	463	0	0	0	463	100	100	0	0	0	0	0	0	0	2,022
339.21919-Cyber Sec Upgr	895	0	2,959	0	0	0	2,959	0	1,996	1,516	47	0	1,552	0	0	7,769	895
339.21920-Cert of Need	9,063	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(858)
339.21922-Continuing Care	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21923-DOL Fee Penalty	1,067	0	131	0	0	0	131	26	26	5	0	0	16	0	0	2	1,149
339.21924-Educ Museum	3,688	0	20,383	0	0	0	20,383	5,991	5,991	1,215	154	0	4,167	0	0	300	12,244
339.21924-Educ Museum	207	0	863	0	0	0	863	282	282	334	9	0	180	0	0	62	203
339.21925-Ns Hm Receiptshp	2,876	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,901
339.21926-3rd Party Hlth	458	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	458
339.21927-Boating Noise L	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21928-Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	90	0	684	0	0	0	684	111	111	528	3	0	20	0	0	0	112
339.21930-H Lve NY W Boat	90	0	245	0	0	0	245	206	206	25	3	0	82	0	0	0	19
339.21932-Snowmobile	5,680	0	6,150	0	0	0	6,150	5,450	1,417	649	9	0	70	0	0	0	4,235
339.21933-Tr Surplus Prop	3,803	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	3,226
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptrnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	119,238	139,710	0	0	0	0	0	0	51,072
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,270	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	3,047
339.21945-Crim Jus Improv	31,842	0	42,724	0	0	0	42,724	27,956	2,733	396	116	0	1,650	0	0	8,596	33,119
339.21948-Farm Prod Insp	606	0	1,390	0	0	0	1,390	648	648	123	20	0	414	0	0	0	791
339.21950-FgprintID&Tech	34,704	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	26,646
339.21953-NY Fire Academy	336	0	468	0	0	0	468	278	278	314	9	0	239	0	0	0	(36)
339.21958-Domestic Awaren	92	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	96
339.21959-Environmental L	3,413	0	3,700	0	0	0	3,700	0	1,479	568	35	0	1,166	0	0	131	3,734
339.21960-HESC-Ins Prem P	64,022	0	69,881	0	0	0	69,881	0	12,916	29,936	422	0	9,020	0	0	16,221	65,388
339.21961-Train Mgmt Eval	13	0	1,720	0	0	0	1,720	0	1,572	92	46	0	987	0	0	8	(972)
339.21962-Clin Lab Refrnc	(8,756)	0	18,059	0	0	0	18,059	0	5,562	2,478	127	0	4,032	0	0	4,848	(7,744)
339.21964-Pub Emp Rel Brd	748	0	86	0	0	0	86	0	0	44	0	0	0	0	0	0	790
339.21965-Radio Hlth Prot	1,631	0	4,048	0	0	0	4,048	0	2,121	141	49	0	1,629	0	0	796	943
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21967-OHRD St Match	1,410	0	11	0	0	6,000	6,011	0	0	3,867	0	0	0	0	0	0	3,554
339.21968-Educatin Library	155	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	160
339.21969-Teacher Certif	4,512	0	6,600	0	0	0	6,600	0	3,400	643	105	0	2,172	0	0	450	4,342
339.21970-Banking Deptmnt	33,467	0	99,682	0	0	0	99,682	0	52,149	12,609	1,607	0	33,317	0	0	0	33,467
339.21971-Cable TV Accont	6,516	0	3,130	0	0	0	3,130	0	1,361	109	42	0	870	0	0	2,500	4,764
339.21972-Econ Devel Asst	309	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	309
339.21973-Fin Svcs Seized	715	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	715
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	249	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	249
339.21977-Business and Li	87,745	0	86,443	0	0	18,907	86,443	939	16,517	10,407	509	0	10,552	0	0	52,145	83,119
339.21978-Indir Cost Reco	4,402	0	0	0	0	0	0	0	9,125	4,362	0	0	5,830	0	0	0	3,992
339.21979-High School Equ	1,193	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,193
339.21980-OTDA Program	2,862	0	0	0	0	500	500	0	0	3,400	0	0	128	0	0	0	(166)
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	1,551	0	13	0	0	8,500	8,513	0	4,394	2,181	100	0	3,388	0	0	1,343	(1,342)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	15,896	0	0	0	15,896	0	10,076	5,820	0	0	0	0	0	0	1
339.21986-Seized Assets	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.21987-Spinal Injury	6,011	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	6,011
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	9,258	0	0	0	0	7,400	7,400	0	1,551	8,879	46	0	921	0	0	0	5,261
339.21990-OCTF Crime Forf	29	0	1,436	0	0	0	1,436	0	0	1,436	0	0	0	0	0	0	29
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infrs	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.21993-Radon Detection	410	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	419
339.21994-Insurance Dept	133,423	0	273,578	0	0	0	273,578	64,404	104,548	34,472	3,224	0	66,855	0	0	0	133,498
339.21995-Workers' Compn	21,021	0	226,202	0	0	0	226,202	0	84,993	56,091	2,239	0	53,220	0	0	31,352	19,328
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enfr	8,897	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,131
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopto Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Prblm Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	829	0	1,200	0	0	0	1,200	0	425	14	13	0	272	0	0	0	1,305
339.219YL-OGS Bldg Admin	13,319	0	10,000	0	0	0	10,000	0	0	1,119	0	0	0	0	0	12,000	10,200
339.219YN-OGS Sid & Puch	8,725	0	5,660	0	0	0	5,660	0	858	1,831	26	0	548	0	3,000	8,122	8,122
339.219Z3-MHPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,409	0	2,500	0	0	0	2,500	2,000	182	100	6	0	116	0	0	52	2,453
339.22003-Bell Jar Collec	16	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	0	631
339.22004-Ind & Util Serv	3,468	0	2,547	0	0	0	2,547	0	1,750	150	46	0	1,076	0	0	0	2,993
339.22008-Courts Special	1,265	0	3,000	0	0	0	3,000	0	0	1,300	0	0	0	0	0	0	2,965
339.22009-Asbestos Trning	(214)	0	330	0	0	0	330	0	141	15	2	0	114	0	0	0	(156)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	36,562	0	87,082	0	0	0	87,082	0	41,554	7,598	1,273	0	26,412	0	5,671	5,671	41,136
339.22012-Aty Licensing	5,921	0	32,000	0	0	0	32,000	0	18,241	5,100	0	0	8,200	0	0	0	6,380
339.22014-DSS Prov Recovs	191	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	191
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	57	0	197	0	0	0	197	0	161	9	4	0	59	0	0	0	21
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,898	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,898
339.22022-College Savings	15,034	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	15,406
339.22023-Discover Queens	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
339.22024-Reven Arrearage	70,700	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	20,503	20,503	70,046
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,371	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,371
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	7,615	0	4,700	0	0	0	4,700	0	130	0	4	0	81	0	6,725	6,725	5,375
339.22029-Plant Industry	455	0	529	0	0	0	529	0	274	0	8	0	175	0	0	0	527
339.22032-Batavia School	(9,575)	0	9,600	0	0	900	10,500	0	5,676	628	175	0	3,646	0	0	0	(9,200)



CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22034-Investment Serv	1,385	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	1,385
339.22035-Diabetes Resear	33	0	6	0	0	0	6	0	0	44	0	0	0	0	0	0	(5)
339.22037-Keep Kids Drug	54	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	63
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,843)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,843)
339.22040-Senate Recyclab	590	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	610
339.22041-Medicaid Fraud	14,056	0	13,593	0	0	0	13,593	0	6,752	2,401	197	0	4,243	0	0	0	14,056
339.22042-DED Marketing A	3,322	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,332
339.22044-Tug Hill Admin	139	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	135
339.22045-Settlement Enf	594	0	600	0	0	0	600	550	0	50	0	0	0	0	0	0	594
339.22046-Regulation of I	(72,940)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,821	0	0	329	(73,967)
339.22047-NYS FLEX Spend	277	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	277
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	42,739	0	54,000	0	0	0	54,000	0	19,327	9,795	595	0	12,348	0	0	10,332	44,342
339.22052-Armory Rental A	3,503	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,503
339.22053-Rome School	(2,746)	0	9,600	0	0	1,020	10,620	0	4,280	652	132	0	2,895	0	0	0	(85)
339.22054-Seized Assets	(5,599)	0	543	0	0	0	543	0	0	0	0	0	0	0	0	0	(5,056)
339.22055-Traf Adjudicatn	(13,172)	0	44,500	0	0	0	44,500	0	20,024	8,605	617	0	12,793	0	0	0	(10,711)
339.22056-Fed Salary Shar	0	0	0	0	0	2,727	2,727	419	1,392	0	42	0	874	0	0	0	0
339.22057-Cook/Chill Acco	1,374	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,374
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	24,698	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	22,553	0	0	1,976	18,944
339.22063-Cultural Educat	(2,560)	0	27,170	0	0	0	27,170	0	11,872	5,400	366	0	7,585	0	0	0	(2,569)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	43	0	3,150	0	0	0	3,150	0	359	417	11	0	229	0	0	1,961	216
339.22067-Trans Regul Acc	11,631	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,165	9,466
339.22068-Cons Prot Acct	1,962	0	91	0	0	0	91	0	0	67	0	0	151	0	0	0	1,835
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	2,132	0	470	0	0	0	470	0	241	9	5	0	188	0	95	2,064	2,064
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	40	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	40
339.22078-Local Services	1,195	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	1,184
339.22080-Adult Shelter	18,285	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	20,885
339.22081-QAA Earned Rev	400	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	400
339.22082-Family Pres Svc	3,052	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	3,938
339.22083-Electronic Bene	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(9,788)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(10,373)
339.22086-OMH-Research OH	74	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	2
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	8,738	0	24,900	0	0	0	24,900	990	10,584	6,341	242	0	8,139	0	3,960	3,382	3,382
339.22089-Hway Const & Ma	1,726	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,851
339.22090-Housing Indirec	(3,485)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	(418)
339.22091-Adult Home Qual	2,344	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	2,516
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22094-Accid Prevent C	231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	231
339.22095-IG Szd Assets	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
339.22096-Leg Svcs Assist	37,376	0	20,500	0	0	0	20,500	14,100	0	0	0	0	0	0	0	9,830	33,946
339.22097-Loc Pub Hlth	6,376	0	84	0	0	0	84	0	43	4	1	0	38	0	0	59	6,315
339.22098-Local Dist Trail	0	0	0	0	0	0	0	0	0	301	0	0	0	0	0	0	(301)
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.2209Z-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(3,381)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,329)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22103-Vital Records M	7,443	0	4,840	0	0	0	4,840	696	0	354	16	0	537	0	0	4,687	5,993
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,023	0	267	0	0	0	267	0	0	232	0	0	0	0	0	0	1,058
339.22109-Conference & Sp	96	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	96
339.22110-Assisted Living	1,419	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,419
339.22111-OCFS Program	373	0	6	0	0	0	6	0	0	645	0	0	0	0	0	0	(266)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD JT Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	12,498	0	73,581	0	0	0	73,581	0	22,413	35,157	691	0	14,320	0	0	1,000	12,498
339.22118-Animal Populati	464	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	452
339.22119-Love Your Libra	76	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	82
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.22123-Pub Safe Commun	53,972	0	123,000	0	0	0	123,000	44,466	21,070	37,545	0	0	0	0	0	8,161	65,730
339.22124-Cuba Lake Mgmt	162	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	156
339.22126-St Justice Inst	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
339.22128-Med Reimb Acct	1,590	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,590
339.22130-Low Inc Housing	3,373	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	3,708
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	55	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	55
339.22133-Procure Op News	348	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	173
339.22134-OVS RESTITUTION	605	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	605
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Cir	842	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	782
339.22137-Pet Dealer	111	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	143
339.22138-Auth Bdgnt Office	1,389	0	2,088	0	0	0	3,477	0	989	254	30	0	642	0	0	45	3,343
339.22139-Patient Safety	3,459	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,459
339.22140-Helen Hayes Hos	13,259	0	115	0	0	0	59,698	0	34,618	20,788	0	0	2,000	0	0	1,585	13,966
339.22141-NYC Veterans	2,462	0	350	0	0	0	31,187	0	17,450	8,538	0	0	6,925	0	0	392	344
339.22142-NYS Home-Vetera	455	0	120	0	0	0	23,789	0	14,425	6,176	0	0	0	0	0	581	3,062
339.22143-WNY Vets Home	858	0	55	0	0	0	12,763	0	8,734	4,117	0	0	0	0	0	132	638
339.22144-Montrose S V H	818	0	30	0	0	0	27,785	0	18,951	7,588	0	0	0	0	0	295	1,799
339.22145-DOH Hospital Ho	3,143	0	0	0	0	0	123,191	0	0	0	0	0	0	0	0	123,745	2,589
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	8,269	0	1,387	0	0	0	1,387	972	0	0	0	0	0	0	0	0	8,684
339.22149-Motor Fuel Qual	1,572	0	2,800	0	0	0	2,800	0	1,137	1,214	35	0	726	0	0	0	1,260
339.22150-Weights Measure	69	0	325	0	0	0	325	0	221	101	7	0	141	0	0	0	(76)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22151-Defer Comp Adm	(110)	0	820	0	0	0	820	0	378	183	23	0	205	0	0	0	(79)
339.22152-Hazard Abatement	999	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,049
339.22153-Education Stats	819	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	874
339.22154-Real Estate Fin	4,748	0	3,312	0	0	0	3,312	0	1,156	1,381	36	0	739	0	0	0	4,748
339.22156-NYC Rent Rev	2,107	0	48,862	0	0	0	48,862	0	26,279	7,750	1,400	0	20,599	0	0	4,115	(9,174)
339.22157-Medicaid Income	619	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	619
339.22158-Rent Revenue	(155)	0	550	0	0	0	550	0	380	0	10	0	125	0	0	0	(120)
339.22159-CSFP Salvage Ac	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.22161-ES Stem Cell Tr	(145)	0	0	0	0	33,134	33,134	0	559	32,382	13	0	515	0	0	510	(990)
339.22162-Systems & Tech	11,427	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	11,857
339.22163-Patron Services	5,580	0	72,235	0	0	0	72,235	0	35,553	30,637	28	0	2,826	0	0	3,992	4,779
339.22165-Trans Aviati	1,692	0	3,660	0	0	0	3,660	0	0	4,649	1	0	21	0	0	0	681
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	537	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	537
339.22168-Tax Rev Arrear	4,438	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,438
339.22169-TSCR Account	82,181	0	328,000	0	0	0	328,000	110,570	0	0	0	0	0	0	0	207,200	92,411
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,292	0	39	0	0	2,087	2,126	0	0	1,576	0	0	0	0	0	0	3,842
339.22172-Undgrnd Sfty T	2,299	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	2,234
339.22173-Vol Fire Rec&Re	903	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	903
339.22174-HAVA Match	1,554	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,554
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	889	0	9,000	0	0	0	9,000	9,560	290	11	6	0	226	0	0	76	(280)
339.22178-Crim Back Check	385	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	385
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22182-OWIG Adm Reimb	3,176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,176
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	685	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	725
339.22186-Yth Fac PerDiem	90,504	0	199,604	0	0	0	199,604	0	0	0	0	0	0	0	0	199,604	90,504
339.22187-Provider Assess	113	0	886,000	0	0	0	886,000	886,000	0	0	0	0	0	0	0	0	113
339.22188-Fed Indirect Re	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22189-DOCS Asset Forf	306	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	306
339.22190-Conference&Sign	109	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	109
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	6,286	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,286
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,428
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	600	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	600
339.22198-HEP	69	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(231)
339.22199-Airport Securit	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22202-SBCI Account	13	0	5,000	0	0	0	5,000	1,400	0	0	0	0	0	0	0	0	3,613
339.22203-Article X Inter	103	0	0	0	0	0	0	69	0	0	0	0	0	0	0	0	34
339.22206-Wholesale Mkt	7,927	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	7,227
339.22207-Tech Financing	5,414	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(86)
339.22208-Offender Progra	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,500	0
339.22212-Lake George Inv	231	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	231
339.22213-BOE Enforcement	171	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	171
339.22214-Fireworks Reven	827	0	320	0	0	0	320	0	135	50	5	0	87	0	0	0	870

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22215-Delivery Transf	969	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	969
339.22235-Insitt Accredi	0	0	48	0	0	0	48	0	0	0	0	0	0	0	0	0	48
339.22239-Opioid Steward	0	0	100,000	0	0	0	100,000	0	0	0	0	0	0	0	0	100,000	0

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2019**  
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	INFRASTRUCTURE PARK (30350-30399)	STATE PARK (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30699)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
<b>Opening Fund Balance</b>	0	(40,624)	142,533	8,554	(9,454)	0	15	150,959	164	668	3,328
<b>Receipts:</b>											
Taxes	0	1,275,800	0	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,398,359	1,536,751	0	250	137,100	0	0	34,650	0	0	0
Federal Grants	0	(4,892)	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	4,398,359	2,817,443	0	250	137,100	0	0	153,750	0	0	0
<b>Disbursements:</b>											
Local Assistance	3,490,177	61,940	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,377,376	1,972,106	50,000	250	137,100	0	0	240,275	0	0	0
<b>Total Disbursements</b>	5,867,553	2,034,046	50,000	250	137,100	0	0	240,275	0	0	0
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	1,473,572	548,626	50,000	0	0	0	0	28,000	0	0	0
Transfers to Other Funds	(4,412)	(1,322,873)	0	0	0	0	0	(25)	(25)	(240)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	25	240	25
<b>Net Other Financing Sources (Uses)</b>	1,469,160	(774,247)	50,000	0	0	0	0	28,000	0	0	0
<b>Change in Fund Balance</b>	(34)	9,150	0	0	0	0	0	(58,525)	0	0	0
<b>Closing Fund Balance</b>	(34)	(31,474)	142,533	8,554	(9,454)	0	15	92,434	164	668	3,328

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30640-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
<b>Opening Fund Balance</b>	1,420	18,980	6,217	2,778	1,428	(582,764)	917	(79,180)	516
<b>Receipts:</b>									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	103,250	0
Federal Grants	0	0	0	0	0	2,428,388	0	0	0
<b>Total Receipts</b>	0	0	0	0	0	2,428,388	0	103,250	0
<b>Disbursements:</b>									
Local Assistance	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	1,314,771	10	104,996	0
<b>Total Disbursements</b>	0	0	0	0	0	2,020,752	10	104,996	0
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	0	0	0	0	0	0	0	20,200	0
Transfers to Other Funds	(615)	(12,711)	(3,807)	(25)	(3,075)	(391,398)	0	(25,200)	0
Bond & Note Proceeds	615	12,711	4,807	25	10,075	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	1,000	0	7,000	(391,398)	0	(5,000)	0
<b>Change in Fund Balance</b>	0	0	1,000	0	7,000	16,238	0	(6,746)	0
<b>Closing Fund Balance</b>	1,420	18,980	7,217	2,778	8,428	(566,526)	917	(85,926)	516

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2019**  
(thousands of dollars)

	DIVISION FOR YOUTH ENRICHMENT IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE PROGRAM (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS CAPITAL PROJECTS (32500-32249)	CITY UNIVERSITY OF NEW YORK PROJECTS (3250-32299)	MENTAL HYGIENE FACILITIES IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES IMPROVEMENT (32350-32399)
Opening Fund Balance	(25,429)	(13,109)	(176,007)	17,582	(12,441)	160,419	60,983	(26)	(599,930)	(180,019)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	62,329	0	544,081	1,000	0	90,000	25,265	0	217,369	271,564
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>62,329</b>	<b>0</b>	<b>544,081</b>	<b>1,000</b>	<b>0</b>	<b>90,000</b>	<b>25,265</b>	<b>0</b>	<b>217,369</b>	<b>271,564</b>
Disbursements:										
Local Assistance	0	0	544,656	0	0	0	0	0	93,422	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	61,829	0	0	1,017	0	90,000	56,400	0	124,197	378,637
<b>Total Disbursements</b>	<b>61,829</b>	<b>0</b>	<b>544,656</b>	<b>1,017</b>	<b>0</b>	<b>90,000</b>	<b>56,400</b>	<b>0</b>	<b>217,619</b>	<b>378,637</b>
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	25,000	41,900	0	6,837	107,073
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>575</b>	<b>0</b>	<b>0</b>	<b>25,000</b>	<b>41,900</b>	<b>0</b>	<b>6,837</b>	<b>107,073</b>
Change in Fund Balance	500	0	(17)	(17)	0	25,000	30,765	0	6,587	0
Closing Fund Balance	(24,929)	(13,109)	(176,007)	17,565	(12,441)	185,419	71,748	(26)	(593,343)	(180,019)

	SMART SCHOOLS AND YOUTH (30710-30749)	NEW YORK STATE STORAGE (30800-30849)	DEDICATED INFRASTRUCTURE PROGRAMS (30850-30925)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(75,606)	61,656	0	(1,151,217)	0	(1,151,217)
Receipts:							
Taxes	0	0	0	0	1,394,900	0	1,394,900
Miscellaneous Receipts	0	4,181	0	301	7,426,460	0	7,426,460
Federal Grants	0	0	0	0	2,433,280	0	2,433,280
<b>Total Receipts</b>	<b>0</b>	<b>4,181</b>	<b>0</b>	<b>301</b>	<b>11,254,640</b>	<b>0</b>	<b>11,254,640</b>
Disbursements:							
Local Assistance	0	0	349,409	0	5,245,585	0	5,245,585
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	4,181	1,010,864	0	7,924,009	0	7,924,009
<b>Total Disbursements</b>	<b>0</b>	<b>4,181</b>	<b>1,360,273</b>	<b>0</b>	<b>13,169,594</b>	<b>0</b>	<b>13,169,594</b>
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,360,273	0	3,662,056	(679,841)	2,982,215
Transfers to Other Funds	(275,000)	0	0	0	(2,039,431)	679,841	(1,359,590)
Bond & Note Proceeds	715,000	0	0	0	743,548	0	743,548
<b>Net Other Financing Sources (Uses)</b>	<b>440,000</b>	<b>0</b>	<b>1,360,273</b>	<b>0</b>	<b>2,366,173</b>	<b>0</b>	<b>2,366,173</b>
Change in Fund Balance	440,000	0	0	301	451,219	0	451,219
Closing Fund Balance	440,000	(75,606)	61,656	301	(699,998)	0	(699,998)

CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2019

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/ CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	117,944	0	0	35,165	0	0	153,109	0	153,109
<b>Receipts:</b>									
Taxes	0	28,733,690	0	0	1,058,900	3,528,900	33,321,490	0	33,321,490
Miscellaneous Receipts	350,178	0	3,624	143,702	0	500	498,004	0	498,004
Federal Grants	0	73,630	0	0	0	0	73,630	0	73,630
<b>Total Receipts</b>	350,178	28,807,320	3,624	143,702	1,058,900	3,529,400	33,893,124	0	33,893,124
<b>Disbursements:</b>									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,535	40,632	0	2,161	0	2,855	47,183	0	47,183
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	123,059	4,995,461	4,624	26,166	0	370,271	5,519,581	0	5,519,581
Capital Projects	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	124,594	5,036,093	4,624	28,327	0	373,126	5,566,764	0	5,566,764
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	1,410,853	2,491,112	1,000	42,069	0	0	3,945,034	(346,261)	3,598,773
Transfers to Other Funds	(1,621,604)	(26,262,338)	0	(154,028)	(1,058,901)	(3,155,874)	(32,252,745)	346,261	(31,906,484)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(210,751)	(23,771,226)	1,000	(111,959)	(1,058,901)	(3,155,874)	(28,307,711)	0	(28,307,711)
<b>Change in Fund Balance</b>	14,833	1	0	3,416	(1)	400	18,649	0	18,649
<b>Closing Fund Balance</b>	132,777	1	0	38,581	(1)	400	171,758	0	171,758

**CASH COMBINING STATEMENT BY ACCOUNT  
INTERNAL SERVICE  
FY 2019**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centr Services	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(4,429)	0	64,626	0	0	0	64,626	0	29,251	15,423	901	0	18,688	0	0	1,866	66,129	(5,932)
323.55020-OGS Ent Contr	(72,553)	0	200,000	0	0	0	200,000	0	600	199,074	18	0	383	0	0	0	200,075	(72,628)
323.55022-Business Srv Ct	(4,638)	0	0	0	0	6,000	6,000	0	5,686	5,000	175	0	3,633	0	0	0	14,494	(13,132)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centr Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(11,667)	0	134,630	0	0	21,763	156,413	0	4,447	129,208	137	0	2,841	0	0	19,919	156,552	(11,806)
323.5502Y-OGS Bldg Admin	(3,654)	0	26,429	0	0	13,500	39,929	0	2,231	19,876	69	0	1,425	0	0	0	23,601	12,674
323.5502Z-OGS Std & Purch	(2,150)	0	12,057	0	0	0	12,057	0	3,211	5,430	99	0	2,052	0	0	0	10,792	(885)
334.55050-Agencies Int Sv	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
334.55052-Archives R	223	0	1,638	0	0	0	1,638	0	920	114	27	0	488	0	0	0	1,549	312
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,285	0	5,963	0	0	0	5,963	0	2,792	500	86	0	1,784	0	0	1,651	6,813	1,435
334.55056-EHS Occup Hlth	251	0	870	0	0	0	870	0	635	517	20	0	406	0	0	0	1,578	(457)
334.55057-Banking Service	(8)	0	500	0	0	60,109	60,609	0	0	57,254	0	0	0	0	0	0	57,254	3,347
334.55058-Cult Resources	(4,376)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	840	0	0	284	6,677	(3,724)
334.55059-Neighbor Work P	(12,383)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(12,383)
334.55060-Audio/Print Chgb	2,660	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,946	0	0	0	17,710	2,563
334.55061-NYT Account	(2,146)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(2,146)
334.55062-State Data Ctr	(55,237)	0	0	0	0	18,300	18,300	0	0	0	0	0	0	0	0	0	0	(36,937)
334.55063-Human Svcs Tele	4,068	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(8,432)
334.55065-OPWDD Copy Cent	754	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	754
334.55066-Intrusion Detec	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(93)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(93)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(43,985)	0	30,000	0	0	13,960	43,960	0	0	30,000	0	0	908	0	0	0	30,908	(30,983)
334.55070-Learning Mgmt S	1,801	0	0	0	0	0	0	0	0	0	0	0	0	0	0	300	300	1,501
334.55071-Labor Cont Ctr	110	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	231
334.55072-HS Cont Ctr	920	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,334	0	0	0	17,544	1,093
334.55074-Civil Recoverie	(4,752)	0	20,530	0	0	0	20,530	0	3,370	14,902	104	0	2,154	0	0	0	20,530	(4,752)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financong	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	38	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	68
347.55150-DFY Voc Educatn	68	0	25	0	0	0	25	0	0	14	0	0	0	0	0	0	14	79
394.55200-Joint Labor-Mgt	1,750	0	2,000	0	0	0	2,000	0	912	406	30	0	322	0	0	0	1,670	2,080
395.55251-Ex Dir Intl Aud	(6,473)	0	4,269	0	0	0	4,269	0	2,558	71	67	0	1,573	0	0	0	4,269	(6,473)
395.55252-CIO INFO TECH C	(21,979)	0	29,110	0	0	8,083	29,110	0	11,091	11,410	272	0	6,337	0	0	0	29,110	(21,979)
396.55300-Health Insuranc	(4,546)	0	14,121	0	0	0	22,204	0	9,150	1,700	282	0	5,846	0	0	3,428	20,406	(2,748)
396.55301-CS EBD Adm Reim	(5,266)	0	4,500	0	0	0	4,500	0	1,850	335	57	0	1,182	0	0	639	4,063	(4,829)
397.55350-Correctional In	(24,930)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(28,591)



**CASH COMBINING STATEMENT BY ACCOUNT  
ENTERPRISE  
FY 2019**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	1,410	0	14,350	0	0	0	14,350	0	4,074	8,596	0	0	0	0	0	0	12,670	3,090
326.50100-DOCS Commissary	3,449	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,531
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	174	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	198
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(72)	0	1,497	0	0	0	1,497	0	609	441	19	0	446	0	0	0	1,515	(90)
331.50319-Attica Emp Mess	1,435	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,429
331.50322-Asset Preservat	98	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	89
331.50323-Farm Program	685	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	671
331.50327-Emp Plz Gift Sh	(89)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(95)
331.5032Z-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	0	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	89	0	1,853	0	0	1,000	9,454	(1,362)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(67)
351.50400-OMH Sheit Wkshs	2,138	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,138
352.50450-MR Shel Wkshop	1,869	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,769
353.50500-MH & MR Communi	4,210	0	2,200	0	0	0	2,200	0	383	1,172	10	0	229	0	0	0	1,794	4,616
353.50516-MR Community St	147	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	144
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	863,441	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,441
481.50651-Interest Assess	5,478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,478
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**STATE DEBT OUTSTANDING**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>GENERAL OBLIGATION BONDS</b>	<u>2,371,385</u>	<u>2,467,221</u>	<u>3,047,698</u>	<u>3,431,127</u>	<u>3,437,991</u>	<u>3,333,387</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	33,588,605	33,456,727	36,167,966	38,215,493	40,681,511	43,493,242
Sales Tax	7,376,930	11,426,096	12,399,030	13,075,553	13,850,959	14,601,179
Dedicated Highway	1,622,115	1,389,245	1,285,390	899,150	838,250	773,445
Mental Health Services	598,645	508,420	437,325	373,145	329,550	294,910
SUNY Dorms	393,740	367,930	345,995	274,260	141,415	-
Health Income	165,850	147,680	128,610	108,620	88,320	68,455
LGAC	<u>1,369,580</u>	<u>1,195,000</u>	<u>876,630</u>	<u>542,935</u>	<u>303,265</u>	<u>178,250</u>
<b>Subtotal Revenue Bonds</b>	<u>45,115,465</u>	<u>48,491,098</u>	<u>51,640,946</u>	<u>53,489,156</u>	<u>56,233,270</u>	<u>59,409,481</u>
<b>SERVICE CONTRACT</b>	<u>3,778,662</u>	<u>2,603,758</u>	<u>2,160,010</u>	<u>1,661,333</u>	<u>1,409,627</u>	<u>1,204,656</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>51,265,512</u>	<u>53,562,077</u>	<u>56,848,654</u>	<u>58,581,616</u>	<u>61,080,888</u>	<u>63,947,524</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	6,188,504	6,485,163	7,917,219	9,245,330	10,722,540	12,282,689
Education	17,443,198	18,035,981	18,659,231	19,031,122	19,251,899	19,451,348
Environment	2,563,370	2,648,174	3,066,329	3,350,228	3,625,786	4,051,585
Health & Mental Hygiene	4,078,355	4,358,380	4,783,280	5,096,038	5,467,725	5,936,505
State Facilities & Equipment	5,345,765	5,217,220	5,257,136	5,119,752	5,125,802	5,109,911
Transportation	14,276,740	15,622,160	16,288,830	16,196,210	16,583,871	16,937,236
LGAC	<u>1,369,580</u>	<u>1,195,000</u>	<u>876,630</u>	<u>542,935</u>	<u>303,265</u>	<u>178,250</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>51,265,512</u>	<u>53,562,077</u>	<u>56,848,654</u>	<u>58,581,616</u>	<u>61,080,888</u>	<u>63,947,524</u>

**STATE DEBT OUTSTANDING**

**FY 2018 THROUGH FY 2023**

**(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Mid- Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>51,265,512</u>	<u>53,562,077</u>	<u>56,848,654</u>	<u>58,581,616</u>	<u>61,080,888</u>	<u>63,947,524</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	193,190	165,020	135,480	104,395	77,635	59,300
Tobacco Settlement Financing Corp.	0	0	0	0	0	0
<b>Moral Obligation</b>						
Housing Finance Agency	800	155	0	0	0	0
<b>State Guaranteed Debt</b>						
Job Development Authority	0	0	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	171,605	138,605	104,165	67,985	30,000	0
<b>SUBTOTAL OTHER STATE</b>	<u>365,595</u>	<u>303,780</u>	<u>239,645</u>	<u>172,381</u>	<u>107,635</u>	<u>59,300</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>51,631,107</u>	<u>53,865,857</u>	<u>57,088,299</u>	<u>58,753,997</u>	<u>61,188,523</u>	<u>64,006,824</u>

**STATE DEBT SERVICE**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>GENERAL OBLIGATION BONDS</b>	335,619	302,711	304,550	358,880	410,145	419,589
<b>REVENUE BONDS</b>						
Personal Income Tax	3,297,207	3,009,129	3,776,012	4,311,438	4,633,660	4,858,798
Sales Tax	625,077	886,015	988,420	1,377,580	1,357,450	1,466,973
Dedicated Highway	249,128	199,099	452,139	106,483	107,368	111,433
Mental Health Services	171,419	123,059	83,605	73,385	49,848	39,242
Health Income	26,545	26,166	26,158	25,821	24,799	23,733
LGAC	287,244	370,271	370,708	261,404	136,510	98,488
<b>Subtotal Revenue Bonds</b>	<u>4,656,620</u>	<u>4,613,739</u>	<u>5,697,043</u>	<u>6,156,111</u>	<u>6,309,635</u>	<u>6,598,668</u>
<b>SERVICE CONTRACT</b>	<u>866,099</u>	<u>603,131</u>	<u>618,379</u>	<u>606,269</u>	<u>377,302</u>	<u>333,440</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>5,858,337</u>	<u>5,519,581</u>	<u>6,619,971</u>	<u>7,121,260</u>	<u>7,097,082</u>	<u>7,351,698</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	959,935	868,552	1,158,155	1,234,981	1,289,958	1,413,121
Education	1,461,595	1,389,927	1,628,340	1,756,111	1,929,269	2,081,068
Environment	291,258	267,840	341,961	476,067	535,463	436,317
Health & Mental Hygiene	520,086	505,346	541,624	643,950	620,252	604,442
State Facilities & Equipment	626,294	570,881	626,346	731,018	626,831	613,539
Transportation	1,711,926	1,546,763	1,952,837	2,017,728	1,958,798	2,104,722
LGAC	287,244	370,271	370,708	261,404	136,510	98,488
<b>TOTAL STATE-SUPPORTED</b>	<u>5,858,337</u>	<u>5,519,581</u>	<u>6,619,971</u>	<u>7,121,260</u>	<u>7,097,082</u>	<u>7,351,698</u>

**STATE DEBT SERVICE**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

	<u>FY 2018</u> Results	<u>FY 2019</u> Mid-Year	<u>FY 2020</u> Projected	<u>FY 2021</u> Projected	<u>FY 2022</u> Projected	<u>FY 2023</u> Projected
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>5,858,337</u>	<u>5,519,581</u>	<u>6,619,971</u>	<u>7,121,260</u>	<u>7,097,082</u>	<u>7,351,698</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program <sup>1</sup>	37,845	11,598	9,386	9,403	9,424	4,838
Tobacco Settlement Financing Corp.	676,288	0	0	0	0	0
<b>Moral Obligation</b>						
Housing Finance Agency	700	697	161	0	0	0
<b>State Guaranteed Debt</b>						
Job Development Authority	3,252	0	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	40,986	40,964	41,204	41,263	41,265	31,470
<b>SUBTOTAL OTHER STATE</b>	<u>759,071</u>	<u>53,259</u>	<u>50,751</u>	<u>50,666</u>	<u>50,689</u>	<u>36,308</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>6,617,408</u>	<u>5,572,839</u>	<u>6,670,722</u>	<u>7,171,926</u>	<u>7,147,771</u>	<u>7,388,006</u>

<sup>1</sup> Starting in FY 2019 debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

**STATE DEBT ISSUANCES**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>GENERAL OBLIGATION BONDS</b>	<u>144,890</u>	<u>295,626</u>	<u>776,961</u>	<u>606,679</u>	<u>261,823</u>	<u>158,594</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	2,885,880	1,547,134	4,829,672	4,439,639	5,006,635	5,549,391
Sales Tax	<u>2,710,275</u>	<u>3,915,000</u>	<u>1,366,521</u>	<u>1,407,517</u>	<u>1,449,742</u>	<u>1,493,235</u>
<b>Subtotal Revenue Bonds</b>	<u>5,596,155</u>	<u>5,462,134</u>	<u>6,196,193</u>	<u>5,847,156</u>	<u>6,456,378</u>	<u>7,042,626</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>5,741,045</u>	<u>5,757,760</u>	<u>6,973,154</u>	<u>6,453,835</u>	<u>6,718,201</u>	<u>7,201,220</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	1,375,615	1,109,664	2,260,881	2,092,504	2,178,219	2,334,826
Education	2,018,820	1,219,844	1,319,934	1,221,633	1,271,674	1,363,104
Environment	467,180	287,048	631,532	584,499	608,442	652,187
Health & Mental Hygiene	300,830	618,619	746,655	691,049	719,356	771,076
State Facilities & Equipment	391,880	168,923	371,647	343,969	358,059	383,803
Transportation	1,186,720	2,353,661	1,642,504	1,520,181	1,582,451	1,696,225
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>5,741,045</u>	<u>5,757,760</u>	<u>6,973,154</u>	<u>6,453,835</u>	<u>6,718,201</u>	<u>7,201,220</u>

**STATE DEBT RETIREMENTS**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>GENERAL OBLIGATION BONDS</b>	<u>229,585</u>	<u>199,790</u>	<u>196,484</u>	<u>223,250</u>	<u>254,959</u>	<u>263,198</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	1,508,935	1,673,333	2,118,433	2,392,112	2,540,617	2,737,660
Sales Tax	341,510	460,624	393,587	730,994	674,337	743,015
Dedicated Highway	137,850	126,665	103,855	386,240	60,900	64,805
Mental Health Services	137,425	90,110	71,095	64,180	43,595	34,640
SUNY Dorms	29,835	25,810	21,935	19,095	15,885	7,050
Health Income	18,270	18,170	19,070	19,990	20,300	19,865
LGAC	<u>261,380</u>	<u>174,580</u>	<u>318,370</u>	<u>333,695</u>	<u>239,670</u>	<u>125,015</u>
<b>Subtotal Revenue Bonds</b>	<u>2,435,205</u>	<u>2,569,291</u>	<u>3,046,345</u>	<u>3,946,306</u>	<u>3,595,303</u>	<u>3,732,050</u>
<b>SERVICE CONTRACT</b>	<u>832,532</u>	<u>600,099</u>	<u>443,748</u>	<u>498,677</u>	<u>251,706</u>	<u>204,971</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>3,497,322</u>	<u>3,369,180</u>	<u>3,686,577</u>	<u>4,668,233</u>	<u>4,101,968</u>	<u>4,200,219</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	735,611	759,146	828,825	764,393	701,009	774,677
Education	626,475	614,811	696,684	797,102	933,937	1,029,290
Environment	192,264	202,243	213,376	300,600	332,884	226,388
Health & Mental Hygiene	324,160	338,480	321,754	378,291	347,670	302,295
State Facilities & Equipment	358,011	297,469	331,731	481,353	352,009	399,694
Transportation	999,421	982,451	975,835	1,612,800	1,194,790	1,342,860
LGAC	<u>261,380</u>	<u>174,580</u>	<u>318,370</u>	<u>333,695</u>	<u>239,670</u>	<u>125,015</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>3,497,322</u>	<u>3,369,180</u>	<u>3,686,577</u>	<u>4,668,233</u>	<u>4,101,968</u>	<u>4,200,219</u>

**STATE DEBT RETIREMENTS**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Mid-Year</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>3,497,322</u>	<u>3,369,180</u>	<u>3,686,577</u>	<u>4,668,233</u>	<u>4,101,968</u>	<u>4,200,219</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	26,850	28,170	29,540	31,085	26,760	18,335
Tobacco Settlement Financing Corp.	659,865	0	0	0	0	0
<b>Moral Obligation</b>						
Housing Finance Agency	600	645	155	0	0	0
<b>State Guaranteed Debt</b>						
Job Development Authority	3,085	0	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	31,770	33,000	34,440	36,180	37,985	30,000
<b>SUBTOTAL OTHER STATE</b>	<u>722,170</u>	<u>61,815</u>	<u>64,135</u>	<u>67,266</u>	<u>64,745</u>	<u>48,335</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>4,219,492</u>	<u>3,430,995</u>	<u>3,750,712</u>	<u>4,735,499</u>	<u>4,166,713</u>	<u>4,248,554</u>



STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		New York State Commercial Gaming Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
24950-24999		Interactive Fantasy Sports Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart School Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

# STATE OF NEW YORK FUND STRUCTURE

