



# **FY 2017**

# **Enacted Budget Financial Plan**

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# Table of Contents

<b>Introduction</b> .....	1
Budget Projections .....	1
Budgetary/Accounting Practices .....	1
Risks and Uncertainties .....	3
<b>Financial Plan Overview</b> .....	7
Financial Plan At-A-Glance: Key Measures .....	7
Executive Summary .....	8
Annual Spending Growth.....	13
<b>General Fund Financial Plan</b> .....	19
FY 2016 Year-End Summary .....	19
FY 2017 Financial Plan .....	21
FY 2017 Detailed Gap-Closing Plan .....	25
Changes to the Executive Budget.....	31
Monetary Settlements.....	35
<b>Other Matters Affecting the State Financial Plan</b> .....	43
<b>Financial Plan Projections Fiscal Years 2017 Through 2020</b> .....	61
<b>FY 2016 Preliminary Year-End Results</b> .....	119
<b>Fiscal Impact on Local Governments</b> .....	129
<b>Glossary of Acronyms</b> .....	133
<b>Financial Plan Tables and Accompanying Notes</b> .....	139



# Introduction



## Introduction

The Enacted Budget Financial Plan for Fiscal Year (FY) 2017 (the “Enacted Budget Financial Plan” or “Current Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FY 2017 through FY 2020. The State’s FY 2017 began on April 1, 2016 and ends on March 31, 2017.

## Budget Projections

The Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, estimated to be needed to maintain current services levels and specific commitments, and (b) the expected level of resources including transfers from other funds, to pay for these disbursements. The General Fund projections are based on a number of assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into account the current and projected fiscal position of the State. The Financial Plan projections for FY 2018 and thereafter, set forth in this Enacted Budget Financial Plan, reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending to no greater than 2 percent. Total disbursements in the Financial Plan tables narrative contained in this Enacted Budget Financial Plan do not reflect these assumed savings, which are instead reflected on a distinct line and labeled as “Adherence to 2 Percent Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors not known at this time.

## Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Enacted Budget Financial Plan is presented on a cash basis of accounting.

The State’s **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the mental hygiene program and patient income accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State's budgetary and gap-closing discussion is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). Typically identified with the phrase "reserved for," these amounts are not held in distinct accounts within the General Fund, and may be used for other purposes.

**State Operating Funds** is a broader measure of spending for operations (as distinct from capital purposes) funded with State resources. It includes financial activity not only in the General Fund, but also in State-funded Special Revenue Funds and debt service funds (spending from capital projects funds and Federal funds is excluded). As more financial activity occurred in funds outside of the General Fund, State Operating Funds became, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (i.e., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursements projections often emphasizes the State Operating Funds perspective.

The State also reports disbursements and receipts activity for **All Governmental Funds** ("All Funds"), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables display State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a *pro forma* Generally Accepted Accounting Principles (GAAP) financial plan for informational purposes. The GAAP-basis Financial Plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not



updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements. However, GAAP is a financial reporting regime, not a budgeting system.

## **Risks and Uncertainties**

The factors affecting the State's financial condition are complex. This Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time such forecasts were prepared, and contains statements relating to future results and economic performance that are “forward-looking statements” as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations and are necessarily dependent upon assumptions, estimates, and data that the State believes are reasonable as of the date made but that may be incorrect, incomplete or imprecise, or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in this Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress, but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions, and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Enacted Budget Financial Plan.



# Financial Plan Overview



## Financial Plan At-A-Glance: Key Measures

The following table provides certain Financial Plan information for FY 2016 and FY 2017.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2016		FY 2017	
	Revised <sup>1</sup>	Results	Executive Amended <sup>2</sup>	Enacted
<b>State Operating Funds Disbursements</b>				
Size of Budget	\$94,289	\$94,288	\$95,898	\$96,180
Annual Growth	2.0%	2.0%	1.7%	2.0%
<b>Other Disbursement Measures</b>				
General Fund (Excluding Transfers)	\$57,563 6.1%	\$56,666 4.4%	\$59,133 4.4%	\$59,681 5.3%
General Fund (Including Transfers) <sup>3</sup>	\$72,583 15.5%	\$68,042 8.3%	\$70,636 3.8%	\$71,841 5.6%
State Funds (Including Capital)	\$102,153 4.1%	\$101,232 3.1%	\$105,276 4.0%	\$106,302 5.0%
Capital Budget (Federal and State)*	\$9,268 22.8%	\$8,981 19.0%	\$9,682 7.8%	\$11,920 32.7%
Federal Operating Aid (Excluding Extraordinary Aid) *	\$40,030 3.5%	\$40,601 5.0%	\$39,786 -2.0%	\$40,054 -1.3%
All Funds (Excluding Extraordinary Aid) *	\$143,587 3.6%	\$143,870 3.8%	\$145,366 1.0%	\$148,154 3.0%
Capital Budget (Including "Off-Budget") *	\$10,027 21.0%	\$9,549 15.2%	\$10,535 10.3%	\$12,723 33.2%
All Funds (Including "Off-Budget" Capital) *	\$144,346 3.6%	\$144,438 3.6%	\$146,219 1.2%	\$148,957 3.1%
<b>Inflation (CPI)</b>	0.4%	0.4%	1.3%	1.4%
<b>All Funds Receipts</b>				
Taxes	\$75,083 5.7%	\$74,673 5.1%	\$77,625 4.0%	\$77,128 3.3%
Miscellaneous Receipts	\$26,333 -10.5%	\$27,268 -7.4%	\$24,159 -11.4%	\$23,567 -13.6%
Federal Grants *	\$44,579 2.7%	\$44,486 2.5%	\$43,242 -2.8%	\$43,700 -1.8%
Total Receipts *	\$145,995 1.5%	\$146,427 1.8%	\$145,026 -1.0%	\$144,395 -1.4%
<b>General Fund Cash Balance</b>	\$5,011	\$8,934	\$3,158	\$6,069
Stabilization/Rainy Day Reserve Funds	\$1,798	\$1,798	\$1,798	\$1,798
Monetary Settlements	\$2,617	\$6,300	\$555	\$3,547
All Other Reserves/Fund Balances	\$596	\$836	\$805	\$724
<b>State Workforce FTEs (Subject to Direct Executive Control) - All Funds</b>	118,311	117,863	118,538	118,590
<b>Debt</b>				
Debt Service as % All Funds Receipts	3.9%	4.0%	3.9%	3.7%
State-Related Debt Outstanding	\$52,751	\$52,102	\$54,693	\$52,555
Debt Outstanding as % Personal Income	4.6%	4.6%	4.6%	4.4%

<sup>1</sup> Updated as part of the FY 2017 Executive Budget, as amended.

<sup>2</sup> The annual percentage change calculations in the FY 2017 "Executive Amended" column have been updated for FY 2016 results.

<sup>3</sup> Annual growth includes planned extraordinary transfer of monetary settlements from the General Fund to other funds.

\* All Funds, Federal Operating Funds and Capital Projects Funds receipts and disbursements exclude (a) Federal disaster aid for Superstorm Sandy and (b) additional Federal aid associated with Federal health care reform. Prior plans included an adjustment for spending funded from monetary settlements with financial institutions.

## Executive Summary

### FY 2017

The Governor introduced the Executive Budget for FY 2017 on January 13, 2016, and submitted amendments to it on February 12, 2016, as permitted by law. The Executive Budget proposal provided for balanced operations in the General Fund, as required by law, and limited annual spending growth in State Operating Funds to 1.7 percent, below the 2 percent spending benchmark established by the current administration.

The Legislature completed action on the appropriations and accompanying legislation that comprise the FY 2017 budget on April 1, 2016. Consistent with past practice, the Legislature enacted the annual debt service appropriations without amendment and in advance of the other appropriations (the debt service appropriations were passed on March 28, 2016). The Governor completed his review of all FY 2017 budget bills, including the veto of certain line items which had no material impact to the Financial Plan, in mid-April 2016.

DOB estimates that the FY 2017 Enacted Budget is balanced on a cash basis in the General Fund, as required by law, and limits the expected annual growth in State Operating Funds spending to 2 percent, consistent with the spending benchmark. The Enacted Budget projections reflect the changes to the Executive Budget proposal made during negotiations, updated data on economic activity and tax receipts, and operating results for FY 2016. (See "FY 2017 Financial Plan" and "FY 2017 Detailed Gap-Closing Plan" herein.)

The General Fund closing balance for FY 2017 is estimated at \$6.1 billion, a decrease of \$2.9 billion from FY 2016 results. The expected transfer of monetary settlements from the General Fund to other funds in FY 2017 is the principal cause for the decrease. DOB had originally planned to execute most transfers of settlement money to the Dedicated Infrastructure Investment Fund (DIIF) during FY 2016, but now intends to make those transfers on an as-needed basis over the next five years as spending occurs.<sup>1</sup> The State's general reserves are expected to remain unchanged in FY 2017. (See "FY 2017 Financial Plan -- Closing Balance for FY 2017" herein.)

### Budget Negotiations and Subsequent Events

During budget negotiations, the Executive and Legislature agreed to \$1.2 billion in General Fund additions and restorations to the Executive Budget proposal for FY 2017.<sup>2</sup> The budget agreement included a substantial increase in School Aid above the Executive proposal (\$382 million on a State Fiscal Year basis). School Aid is expected to total \$24.8 billion in school year (SY) 2017, an annual increase of \$1.5 billion (6.5 percent). Other spending additions, totaling \$281 million, were approved for a range of purposes, including education, higher education, and human services. (See "Changes to the Executive Budget" herein.)

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<sup>1</sup> Legislation enacted with the FY 2017 budget provides transfer authority from the General Fund to DIIF for five years.

<sup>2</sup> Additions represent distinct new spending added to the Executive Budget proposal. Restorations are costs from the rejection of certain savings proposals contained in the Executive Budget.

Two significant savings initiatives, the realignment of funding shares for the City University of New York (CUNY) and the New York City Medicaid program, were not included in the final budget agreement.<sup>3</sup> Both initiatives would have provided substantial savings in future years of the Financial Plan. As a result, the spending reductions (from the current-services projections) that will be needed to limit spending growth in future fiscal years to 2 percent have increased compared to the Executive Budget proposal. In addition, proposals to cap the annual growth in STAR benefits, reform medical malpractice insurance, and calibrate State retiree health benefits with years of service were not approved.

The Enacted Budget authorized new tax reductions that take effect in FY 2018. The most significant of these will reduce marginal tax rates on middle incomes from between 5.9 percent and 6.65 percent to between 5.5 percent and 6 percent. This tax reduction is estimated to cost \$236 million in FY 2018, growing to \$1.5 billion in FY 2020, on a cash basis. On a liability basis, the value of the tax reduction is estimated at \$4.2 billion, when fully effective in calendar year 2025.

The Enacted Budget authorizes regional, phased in increases to the State's hourly minimum wage. In New York City, the minimum wage will increase to \$15 by the end of December 2018 for workers in businesses with more than ten employees, and by the end of December 2019 for workers in businesses with ten or fewer employees. In Nassau, Suffolk and Westchester counties, the minimum wage increase will increase to \$15 at the end of December 2021. For the rest of the State, the minimum wage will increase to \$12.50 by the end of December 2020, after which it will continue to increase to a maximum level of \$15 on an indexed schedule to be set by the Director of DOB in consultation with the Department of Labor (DOL). Beginning in 2019, the Director of DOB will conduct an annual analysis of the economy in each region and the effect of the minimum wage increases statewide to determine whether a temporary suspension of the scheduled increases is necessary. The Enacted Budget includes funding for salary and related fringe benefit costs associated with minimum wage increases for the State-share of Medicaid-funded and related programs. In FY 2017, this funding covers increases for employees currently making below the hourly minimum wage of \$11.00 in New York City, \$10.00 in Long Island and Westchester, and \$9.70 in the rest of New York.<sup>4</sup>

In addition to budget negotiations, the Enacted Budget incorporates updated economic forecasts for the U.S. and the State. National economic data are sending mixed signals. General economic indicators, including private-sector employment, wages, and residential investment continue to show steady growth, suggesting a relatively low risk of a recession. However, plant, equipment, and software investment by business continues to be weak, which is likely to dampen the potential strength of the recovery. For New York, the economy continues to perform well, with solid growth in private-sector employment and wages. However, the relatively weak performance of the financial sector is negatively affecting taxable personal income and, by extension, PIT receipts. Tax collections in April were \$370 million below the Executive Budget Financial Plan

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<sup>3</sup> The CUNY proposal in the Executive Budget would have reduced State spending by an estimated \$393 million in FY 2017. The Executive Budget recommended setting aside \$240 million in savings from the proposal to fund a reserve for the settlement of CUNY collective bargaining agreements.

<sup>4</sup> Scheduled to take effect on December 31, 2016.

estimate, with most of the variance concentrated in the PIT.<sup>5</sup> As a result of the revisions to taxable income, as well as other factors, DOB has lowered the forecast for General Fund tax receipts by \$350 million in comparison to the Executive Budget Financial Plan. DOB will continue to monitor the performance of the financial sector and tax collections in the first quarter, with downward revisions to tax receipts possible in the current year and future years if weakness persists. (See "Financial Plan Projections Fiscal Years 2017 Through 2020" herein.)

The Enacted Budget Financial Plan identifies savings in FY 2017 that DOB estimates will be sufficient to fund the additions and restorations from budget negotiations, as well as to cover the new costs that have emerged. These include: substantially lower-than-budgeted aid claims for school districts and the STAR program; the use of resources accumulated in prior years but not needed to maintain budget balance in those years (e.g., fund balances); the payment of FY 2017 expenses in FY 2016 beyond the level assumed in the Executive Budget Financial Plan; and spending reestimates across a range of programs and activities based on FY 2016 results.

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<sup>5</sup> Preliminary DOB estimate. OSC will report the April cash results on May 15, 2016.



## Multi-Year Financial Plan Projections

The following table summarizes the multi-year impact of the Enacted Budget Financial Plan on General Fund operations. The table begins with the initial budget gaps (i.e., projected prior to the Executive Budget) and ends with the Enacted Budget surplus/(gap) projections.

<b>GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS</b>				
<b>ENACTED BUDGET</b>				
<b>GAP-CLOSING PLAN</b>				
<b>(millions of dollars)</b>				
	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>INITIAL BUDGET SURPLUS/(GAP) ESTIMATE<sup>1</sup></b>	<b>(1,781)</b>	<b>(2,802)</b>	<b>(4,414)</b>	<b>(4,205)</b>
Spending Changes	2,143	695	231	(477)
Resource Changes	(362)	(220)	(106)	(572)
Tax Actions	0	(274)	(1,186)	(1,643)
<b>Adherence to 2% Spending Benchmark<sup>2</sup></b>	<b>n/a</b>	<b>2,956</b>	<b>4,634</b>	<b>6,498</b>
<b>ENACTED BUDGET SURPLUS/(GAP)</b>	<b>0</b>	<b>355</b>	<b>(841)</b>	<b>(399)</b>

<sup>1</sup> FY 2016 Mid-Year Update, dated November 2015.

<sup>2</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2016 results). The Governor is expected to propose, and negotiate with the Legislature to enact, an annual budget that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan table and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

The General Fund budget gap for FY 2017 is closed principally with reestimates to projected disbursements, payment in FY 2016 of expenses that were due in FY 2017, and the use of resources accumulated in prior years but not needed to maintain budget balance in those years (e.g., fund balances). In addition, agency operations are generally expected to remain at current levels across the Financial Plan period. (See "FY 2017 Detailed Gap-Closing Plan" herein.)

The Financial Plan projections for FY 2018 and thereafter set forth in this Enacted Budget Financial Plan are based on an assumption that the Governor will continue to propose, and the Legislature will continue to enact, budgets balanced on a cash basis that limit annual growth in State Operating Funds disbursements to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described elsewhere in this Financial Plan. It is therefore affected by the prepayment of expenses across fiscal years.

Current projections show a potential General Fund budget surplus of \$355 million in FY 2018 and potential General Fund budget gaps of \$841 million in FY 2019 and \$399 million in FY 2020 if State spending growth were to continue at a rate of 2 percent in future years. The projected budget gaps in FY 2019 and FY 2020 are due to several factors, including the planned reversion of the top PIT rate to 6.85 percent from the current rate of 8.82 percent at the end of calendar year 2017, and the multi-year income tax reductions for middle-class taxpayers enacted in FY 2017.

## **Monetary Settlements**

In recent years, the Department of Financial Services (DFS), Department of Law, and the Manhattan District Attorney's Office have reached financial settlements with a number of financial institutions and insurance companies for violations of various State and/or Federal laws. From FY 2015 through FY 2017, the State has received a total of \$8.7 billion in financial settlements. Of this amount, \$665 million has not been appropriated for use. (See "Monetary Settlements" herein.)

## **Other Developments**

On April 8, 2016, the State and the New York State Public Employees Federation (PEF) announced tentative agreement on a one-year contract for FY 2016 that provides a general salary increase of 2 percent. The agreement is subject to ratification by the PEF membership and the passage of a pay bill by the Legislature. If approved, the agreement is expected to cost approximately \$146 million in FY 2017 (covering FY 2016 and FY 2017) and \$74 million thereafter. The Enacted Budget Financial Plan sets aside a portion of the General Fund balance to fund the estimated FY 2017 cost of the PEF agreement. Disbursements in FY 2017 and future years related to the contract, if ratified and approved by the Legislature, will be reflected in a subsequent update to the Financial Plan.

The legislative session continues until late June. The impact of any legislation that is enacted and has a fiscal impact will be reflected in the First Quarterly Update to the Financial Plan.

## Annual Spending Growth

The following table illustrates major sources of annual change in State spending from FY 2016 to FY 2017 by major program, purpose, and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2016 Results	FY 2017 Enacted	Annual Change	
			\$	%
<b>LOCAL ASSISTANCE</b>	<b>62,653</b>	<b>64,889</b>	<b>2,236</b>	<b>3.6%</b>
School Aid (School Year Basis)	23,290	24,797	1,507	6.5%
DOH Medicaid <sup>1</sup>	17,453	18,184	731	4.2%
Transportation	4,745	4,952	207	4.4%
STAR	3,335	3,228	(107)	-3.2%
Social Services	2,949	2,924	(25)	-0.8%
Higher Education	2,955	3,031	76	2.6%
Mental Hygiene	2,646	2,571	(75)	-2.8%
All Other <sup>2</sup>	5,280	5,202	(78)	-1.5%
<b>STATE OPERATIONS/FRINGE BENEFITS</b>	<b>26,035</b>	<b>26,085</b>	<b>50</b>	<b>0.2%</b>
<b>State Operations</b>	<b>18,583</b>	<b>18,534</b>	<b>(49)</b>	<b>-0.3%</b>
Personal Service:	<u>12,981</u>	<u>12,841</u>	<u>(140)</u>	<u>-1.1%</u>
Executive Agencies	7,236	7,163	(73)	-1.0%
Extra Bi-Weekly Institutional Pay Period	163	0	(163)	n/a
University Systems	3,675	3,723	48	1.3%
Elected Officials	1,907	1,955	48	2.5%
Non-Personal Service:	<u>5,602</u>	<u>5,693</u>	<u>91</u>	<u>1.6%</u>
Executive Agencies	2,747	2,791	44	1.6%
University Systems	2,279	2,294	15	0.7%
Elected Officials	576	608	32	5.6%
<b>Fringe Benefits/Fixed Costs</b>	<b>7,452</b>	<b>7,551</b>	<b>99</b>	<b>1.3%</b>
Pension Contribution	2,225	2,352	127	5.7%
Health Insurance	3,463	3,720	257	7.4%
Other Fringe Benefits/Fixed Costs	1,763	1,479	(284)	-16.1%
<b>DEBT SERVICE</b>	<b>5,598</b>	<b>5,203</b>	<b>(395)</b>	<b>-7.1%</b>
<b>CAPITAL PROJECTS</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>50.0%</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>94,288</b>	<b>96,180</b>	<b>1,892</b>	<b>2.0%</b>
<b>Capital Projects (State and Federal Funds)</b>	<b>8,981</b>	<b>11,920</b>	<b>2,939</b>	<b>32.7%</b>
<b>Federal Operating Aid<sup>3</sup></b>	<b>40,601</b>	<b>40,054</b>	<b>(547)</b>	<b>-1.3%</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS<sup>3</sup></b>	<b>143,870</b>	<b>148,154</b>	<b>4,284</b>	<b>3.0%</b>

<sup>1</sup> Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The Essential Plan is not a Medicaid program; however, State-funded support is managed within total DOH Medicaid Global Cap resources.

<sup>2</sup> "All Other" includes public health, other education, local government assistance, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending for School Aid. On a State Fiscal Year basis, School Aid is estimated to total \$24.4 billion in FY 2017, an increase of \$1.12 billion from FY 2016.

<sup>3</sup> Federal Operating Funds and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy. All Funds disbursements, including these purposes, are expected to total \$156.1 billion in FY 2017, an increase of 3.6 percent.

DOB estimates that State Operating Funds disbursements will increase by 2 percent in FY 2017. Medicaid and School Aid are the State's largest local aid programs, comprising over 40 percent of the State Operating Funds budget. In SY 2017, School Aid will total \$24.8 billion, an increase of \$1.5 billion (6.5 percent). Medicaid will grow at the indexed rate of 3.4 percent, consistent with the statutory index ("Global Cap"),<sup>6</sup> to \$17.7 billion. In total, State-funded Medicaid will increase to \$18.5 billion, including the Essential Plan (EP)<sup>7</sup>, the takeover of local Medicaid costs, and other spending outside the Global Cap.

In addition, the State continues to provide capital funding to restructure the State's health care delivery system. Disbursements for transportation increase due to the growth in dedicated tax receipts and the distribution of accumulated fund balances. The cost of the STAR program is projected to grow over the Financial Plan period. However, STAR spending declines, reflecting the first year in which new homeowners, and homeowners who move, will receive their benefit as a PIT credit. Without the change, STAR spending growth in FY 2017 would be approximately 2.3 percent. Social services spending also declines, primarily reflecting the use of available Federal Temporary Assistance for Needy Families (TANF) resources. Agency operations, including fringe benefits, are expected to increase by 0.2 percent. Debt service decreases from FY 2016 due exclusively to the prepayment of FY 2017 expenses in FY 2016.

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<sup>6</sup> The Medicaid Global Cap is a statutory limit on annual State-funded Medicaid expenditures, indexed to the Medical component of the Consumer Price Index (CPI). Total State-funded Medicaid expenditures also include certain program costs which are not subject to the indexed provisions of the Global Cap.

<sup>7</sup> The Essential Plan (EP) is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. Approximately 85 percent of program expenses are subsidized with Federal funds made available through the Affordable Care Act (ACA). The Essential Plan is not a Medicaid program; however, the State Funds support is managed within total DOH Medicaid Global Cap resources.

All Funds spending, which includes spending from capital funds and Federal funds, is expected to increase by 3.0 percent from FY 2016, excluding extraordinary aid.

<b>TOTAL DISBURSEMENTS</b> (millions of dollars)				
	<b>FY 2016</b>	<b>FY 2017</b>	<b>Annual</b>	<b>Annual %</b>
	<b>Results</b>	<b>Enacted</b>	<b>Change</b>	<b>Change</b>
<b>STATE OPERATING FUNDS</b>	<b>94,288</b>	<b>96,180</b>	<b>1,892</b>	<b>2.0%</b>
General Fund (excluding transfers)	56,666	59,681	3,015	5.3%
Other State Funds	31,987	31,257	(730)	-2.3%
Debt Service Funds	5,635	5,242	(393)	-7.0%
<b>ALL GOVERNMENTAL FUNDS</b>	<b>143,870</b>	<b>148,154</b>	<b>4,284</b>	<b>3.0%</b>
State Operating Funds	94,288	96,180	1,892	2.0%
Capital Projects Funds	8,981	11,920	2,939	32.7%
Federal Operating Funds	40,601	40,054	(547)	-1.3%
<b>ALL GOVERNMENTAL FUNDS (INCL. EXTRAORDINARY AID)</b>	<b>150,708</b>	<b>156,105</b>	<b>5,397</b>	<b>3.6%</b>
Federal Disaster Aid for Superstorm Sandy	1,165	1,160	(5)	-0.4%
Federal Health Care Reform	5,673	6,791	1,118	19.7%
<b>GENERAL FUND (INCLUDING TRANSFERS)</b>	<b>68,042</b>	<b>71,841</b>	<b>3,799</b>	<b>5.6%</b>
<b>STATE FUNDS</b>	<b>101,232</b>	<b>106,302</b>	<b>5,070</b>	<b>5.0%</b>

Extraordinary aid relates to (a) Federal health care reform, which includes the Affordable Care Act (ACA), the new Essential Plan, and the Federal waiver to transform the State's health care system, all of which increase the flow of Federal Funds through the State's Financial Plan; and (b) Federal aid that passes through the State's Financial Plan to local governments, public authorities, and not-for-profits for recovery from Superstorm Sandy.<sup>8</sup> When extraordinary aid is included, All Funds disbursements are projected to total \$156.1 billion, an increase of 3.6 percent from FY 2016.

<sup>8</sup> Prior plans included an adjustment for spending funded from monetary settlements with financial institutions.



# General Fund Financial Plan





## FY 2016 Year-End Summary

Monetary settlements have had a dramatic temporary effect on the State's receipts and cash position.<sup>9</sup> The following table summarizes the variance between the Executive Budget Financial Plan (dated February 2016) and year-end results, with and without monetary settlements (beyond the settlement amounts annually budgeted in the General Fund Financial Plan for operating purposes).<sup>10</sup>

<b>FY 2016 GENERAL FUND OPERATING RESULTS</b>			
<b>SUMMARY OF CHANGES FROM EXECUTIVE BUDGET</b>			
<b>(millions of dollars)</b>			
	<u>Revised Plan</u>	<u>Results</u>	<u>Variance</u>
<b>Opening Fund Balance (Excluding Monetary Settlements)</b>	<b>2,633</b>	<b>2,633</b>	<b>0</b>
<b>Receipts</b>	<b>66,944</b>	<b>66,336</b>	<b>(608)</b>
Tax Receipts	63,247	62,581	(666)
Miscellaneous Receipts/Other Non-Tax Revenue <sup>1</sup>	3,697	3,755	58
<b>Spending</b>	<b>67,183</b>	<b>66,335</b>	<b>(848)</b>
Local Assistance	44,153	43,314	(839)
Agency Operations	13,410	13,352	(58)
Transfers to Other Funds	9,620	9,669	49
<b>Net Change in Operations</b>	<b>(239)</b>	<b>1</b>	<b>240</b>
<b>Closing Fund Balance (Excluding Monetary Settlements)</b>	<b>2,394</b>	<b>2,634</b>	<b>240</b>
<b>Monetary Settlements</b>			
Settlements on Hand as of April 1, 2015	4,667	4,667	0
New Settlements Received in FY 2016	3,605	3,605	0
Transfers/Uses	(5,655)	(1,972)	3,683
<b>Closing Fund Balance (Including Monetary Settlements)</b>	<b>5,011</b>	<b>8,934</b>	<b>3,923</b>

<sup>1</sup>Includes \$250 million in settlement money budgeted for operating purposes.

As shown in the table above, the State ended FY 2016 with a General Fund cash balance of \$8.9 billion, including monetary settlements. The closing balance was \$3.9 billion higher than estimated in the Executive Budget Financial Plan (February 2016). Most of the variance was due to the timing of transfers of monetary settlements from the General Fund to other funds. DOB had originally planned to execute most of those transfers to DIIF during FY 2016, but now intends to make them on an as-needed basis over the next five years as spending from DIIF is expected to occur. The FY 2017 budget provides transfer authority from the General Fund to DIIF for five years.

<sup>9</sup> The sources and uses of monetary settlements are described more fully later in this Financial Plan.

<sup>10</sup> Amounts budgeted for General Fund balance totaled \$275 million in FY 2015, \$250 million in FY 2016, and \$102 million in FY 2017.

## FY 2016 Year-End Results, Excluding Monetary Settlements

The State ended FY 2016 in balance on a General Fund cash basis receipts exceeded disbursements by \$1 million. The General Fund closing balance was \$240 million higher than estimated in the last update to the Financial Plan.<sup>11</sup>

General Fund receipts, including transfers from other funds, totaled \$66.3 billion, or \$608 million lower than estimated in the last update. The variance was mainly due to lower business tax collections as a result of payment timing changes and lower than expected audit receipts in the corporate franchise tax, and a modest variance in PIT collections. Miscellaneous receipts and non-tax transfers totaled \$3.8 billion, or \$58 million higher than expected.

General Fund disbursements, including transfers to other funds, totaled \$66.3 billion, a decrease of \$848 million from the last update. The significant variances in local assistance and agency operations are due in large part to the cautious calculation of General Fund expenses. Local assistance disbursements were \$839 million below budgeted levels, with lower spending in education, health, local government aid, and other purposes. Disbursements for agency operations, including fringe benefits, were \$58 million lower than planned. Transfers to other funds were \$49 million higher than budgeted.

In comparison to the last update, the State paid an additional \$197 million in debt service and fringe benefits that were due in FY 2017. The prepayments are reflected in the totals for tax receipts, agency operations, and transfers reported above.

The payment of FY 2017 expenses during FY 2016 totaled \$1.6 billion, consisting of \$800 million in disbursements and another \$800 million in accelerated refund payments to taxpayers.<sup>12</sup> FY 2017 debt service expenses totaled \$710 million. The monthly workers' compensation payment for February 2016 liabilities that was planned for April 2016 was paid (\$37 million) and a transfer to the DHBTF to offset the expected loss of FY 2017 highway use tax decal and registration fees that were declared unconstitutional (\$59 million).

The State ended FY 2016 with a General Fund cash balance, excluding monetary settlements, of \$2.6 billion. The balance consists of \$1.8 billion in the State's rainy day funds, \$63 million in the Community Projects Fund, and \$21 million in the Contingency Reserve Fund. In addition, the balance included \$500 million set aside for debt management and \$15 million for costs of labor agreements. The undesignated fund balance is \$237 million.

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<sup>11</sup> FY 2017 Executive Budget Financial Plan, Updated for Governor's Amendments and Forecast Revisions, dated February 2016.

<sup>12</sup> Issued in May 2015.

## FY 2017 Financial Plan

DOB estimates that the Enacted Budget Financial Plan provides for balanced operations in the General Fund in FY 2017. General Fund disbursements are estimated to exceed receipts by \$112 million. The difference is funded with \$87 million from the FY 2016 undesignated General Fund balance, \$15 million from the balance set aside for prior-year labor settlements, and \$10 million from the Community Projects Fund.

The following table summarizes the estimated annual change from FY 2016 to FY 2017 in General Fund receipts, disbursements, and fund balances, with and without the impact of monetary settlements.

GENERAL FUND FINANCIAL PLAN				
(millions of dollars)				
	FY 2016 Results	FY 2017 Enacted	Annual Change	
			Dollar	Percent
<b>Opening Fund Balance (Excluding Monetary Settlements)</b>	2,633	2,634	1	0.0%
<b>Total Receipts</b>	66,336	68,958	2,622	4.0%
Taxes	62,581	65,117	2,536	4.1%
Miscellaneous Receipts/Federal Grants	2,800	2,795	(5)	-0.2%
Other Transfers	955	1,046	91	9.5%
<b>Total Disbursements</b>	66,335	69,070	2,735	4.1%
Local Assistance Grants	43,314	45,957	2,643	6.1%
Agency Operations	13,352	13,724	372	2.8%
Transfers to Other Funds <sup>1</sup>	9,669	9,389	(280)	-2.9%
<b>Net Change in Operations</b>	1	(112)	(113)	-11300.0%
<b>Closing Fund Balance (Excluding Monetary Settlements)</b>	2,634	2,522	(112)	-4.3%
<b>Monetary Settlements</b>				
Settlements on Hand as of April 1	4,667	6,300		
New Settlements Received in FY 2016	3,605	190		
Transfers/Uses	(1,972)	(2,943)		
<b>Closing Fund Balance (Including Monetary Settlements)</b>	8,934	6,069	(2,865)	-32.1%

<sup>1</sup> Includes extraordinary transfers of monetary settlement receipts from the General Fund to (a) the Dedicated Infrastructure Investment Fund (\$857 million in FY 2016 and \$1.3 billion in FY 2017); (b) the mental hygiene account to fund a portion of a Federal disallowance for the Office for People with Developmental Disabilities (OPWDD) (\$850 million in FY 2016); and (c) the Environmental Protection Fund (\$120 million in FY 2017).

As shown in the table above, the General Fund is expected to end FY 2017 with a cash balance of \$6.1 billion, a decrease of \$2.9 billion. As in FY 2016, the decline is due to the change in monetary settlements on hand. DOB intends to make transfers of monetary settlements on an as-needed basis over the next five years as spending occurs. Legislation enacted with the FY 2017 budget provides transfer authority from the General Fund to DIIF for five years.

## FY 2017 Financial Plan Projections, Excluding Monetary Settlements

### Receipts

General Fund receipts, including transfers from other funds, are estimated to total \$69 billion in FY 2017, an increase of \$2.6 billion (4 percent) from FY 2016 results. Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, are estimated to total \$65.1 billion in FY 2017, an increase of \$2.5 billion (4.1 percent) from FY 2016 results.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to increase by \$2.7 billion (6.3 percent) from FY 2016 results. This primarily reflects increases in withholding and estimated payments attributable to the 2016 tax year, and the acceleration of tax refund payments into FY 2016.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$12.6 billion in FY 2017, an increase of \$296 million (2.4 percent) from FY 2016 results, reflecting projected growth in taxable consumption.

General Fund business tax receipts are estimated at \$5.8 billion in FY 2017, an increase of \$103 million (1.8 percent) from FY 2016 results. The annual estimate reflects increased bank tax receipts stemming from a reduced number of prior period adjustments, slightly offset by declines across all other statutorily imposed business tax components.<sup>13</sup>

Other tax receipts in the General Fund are expected to total \$2.0 billion in FY 2017, a decrease of \$516 million (20.5 percent) from FY 2016 results. This primarily reflects an extraordinary level of estate tax and real estate transfer tax collections in FY 2016 that are not expected to recur.

General Fund non-tax receipts and transfers are estimated at \$3.8 billion in FY 2017, an increase of \$86 million from FY 2016 results. The increase is primarily due to transfers from a variety of accounts that have accumulated resources in prior years.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "Financial Plan Projections Fiscal Years 2017 Through 2020" herein.

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<sup>13</sup> Legislation enacted in 2014 merged the bank tax with the corporate franchise tax, and amended the corporate franchise tax to accommodate changes in the financial services industry and make other modernization changes.

## Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$69.1 billion in FY 2017, an increase of \$2.7 billion (4.1 percent) from FY 2016. Local assistance grants are expected to total \$46 billion in FY 2017, an annual increase of \$2.6 billion (6.1 percent) from FY 2016, including \$968 million for School Aid (on a State fiscal year basis), \$547 million for Medicaid and the EP, and \$242 million for education programs outside of School Aid. Other increases reflected payments for a range of social services, public health, and general purpose aid programs, as well as accounting reclassifications that have the effect of moving spending between Financial Plan categories and across fund types.

Disbursements for agency operations, including fringe benefits and fixed costs, in the General Fund are expected to total \$13.7 billion in FY 2017, an annual increase of \$372 million (2.8 percent). Most executive agencies are expected to hold spending at FY 2016 levels. The annual increase reflects expected increases for employee health insurance costs and the State's annual pension payment, as well as costs for the Department of Health (DOH) to operate the New York State of Health (NYSOH) health benefit exchange, continue the transition of administrative functions from local service districts to the State, and operate the new EP. In addition, operating costs for many agencies are charged to several funds, as well as affected by offsets and accounting reclassifications.

General Fund transfers to other funds are estimated to total \$9.4 billion in FY 2017, a decrease of \$280 million from FY 2016. This change is comprised mainly of a lower level of transfers for debt service, which is primarily due to FY 2016 payments of FY 2017 expenses.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "Financial Plan Projections Fiscal Years 2017 Through 2020" herein.

## Closing Balance for FY 2017

DOB projects that the State will end FY 2017 with a General Fund cash balance of \$6.1 billion, a decrease of \$2.8 billion from FY 2016. The balance from monetary settlements is expected to total \$3.6 billion, a decrease of \$2.7 billion from FY 2016. The decrease reflects the timing of planned transfers to other funds from which monetary settlements will be spent. The balance excluding settlements is estimated to be \$2.5 billion, or \$112 million lower than FY 2016. The decline reflects use of Community Projects Fund resources (\$10 million) to support estimated spending and the use of resources carried in from FY 2016 (\$102 million).<sup>14</sup>

Balances in the State’s principal "rainy day" reserves, the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund, are expected to remain unchanged in FY 2017.

The Enacted Budget Financial Plan maintains a reserve of \$500 million for debt management purposes in FY 2017, unchanged from the level held at the end of FY 2016. DOB will decide on the use of these funds based on market conditions, Financial Plan needs, and other factors.

<b>TOTAL BALANCES</b> (millions of dollars)			
	<b>FY 2016</b> <b>Results</b>	<b>FY 2017</b> <b>Enacted</b>	<b>Annual</b> <b>Change</b>
<b>TOTAL GENERAL FUND BALANCE</b>	<b>8,934</b>	<b>6,069</b>	<b>(2,865)</b>
<b>Statutory Reserves:</b>			
"Rainy Day" Reserve Funds	1,798	1,798	0
Community Projects Fund	63	53	(10)
Contingency Reserve Fund	21	21	0
<b>Fund Balance Reserved for:</b>			
Debt Management	500	500	0
Labor Agreements Prior to FY 2017	15	150	135
Monetary Settlements	6,300	3,547	(2,753)
Programmed	5,755	2,882	(2,873)
Unbudgeted	545	665	120
Undesignated Fund Balance	237	0	(237)

<sup>14</sup> The undesignated fund balance carried forward from FY 2016 totaled \$237 million, of which \$150 million is now reserved for potential costs of prior year labor agreements and the remaining \$87 million planned for use in FY 2017.

## FY 2017 Detailed Gap-Closing Plan

The following table and narrative summarizes the Enacted Budget gap-closing plan.

<b>FY 2017 ENACTED BUDGET GENERAL FUND GAP-CLOSING PLAN</b>				
(millions of dollars)				
	FY 2017	FY 2018	FY 2019	FY 2020
<b>INITIAL BUDGET SURPLUS/(GAP) ESTIMATE<sup>1</sup></b>	<b>(1,781)</b>	<b>(2,802)</b>	<b>(4,414)</b>	<b>(4,205)</b>
<b>SPENDING CHANGES</b>	<b>2,143</b>	<b>695</b>	<b>231</b>	<b>(477)</b>
<b>Agency Operations</b>	<b>340</b>	<b>44</b>	<b>(72)</b>	<b>(488)</b>
Executive Agency Operations	168	70	97	33
Fringe Benefits/Fixed Costs	193	(11)	(154)	(506)
University Systems/Independent Officials	(21)	(15)	(15)	(15)
<b>Local Assistance</b>	<b>1,793</b>	<b>1,459</b>	<b>1,451</b>	<b>1,538</b>
Higher Education	49	51	49	38
Mental Hygiene	174	90	16	(54)
Health Care	287	201	154	174
STAR *	184	477	575	670
Human Services/Housing	150	74	71	60
Updated Aid Claims	576	407	459	464
All Other	373	159	127	186
<b>Debt Management</b>	<b>723</b>	<b>367</b>	<b>243</b>	<b>304</b>
<b>Capital Projects</b>	<b>72</b>	<b>(13)</b>	<b>85</b>	<b>(11)</b>
<b>Initiatives/Investments</b>	<b>(785)</b>	<b>(1,162)</b>	<b>(1,476)</b>	<b>(1,820)</b>
School Aid	(382)	(587)	(612)	(640)
Education/Higher Education	(132)	(128)	(113)	(83)
Minimum Wage Increase	(19)	(126)	(355)	(588)
SUNY/CUNY Performance Incentive Program	0	(30)	(30)	(30)
Charter School Funding	0	(27)	(27)	(27)
All Other	(252)	(264)	(339)	(452)
<b>RESOURCE CHANGES</b>	<b>(362)</b>	<b>(220)</b>	<b>(106)</b>	<b>(572)</b>
Tax Revisions	(579)	(44)	164	100
Federal DSHP Resources	(250)	0	0	0
STARC Debt Refunding Savings	200	200	200	0
STAR Conversion*	(98)	(281)	(574)	(671)
All Other	365	(95)	104	(1)
<b>TAX ACTIONS</b>	<b>0</b>	<b>(274)</b>	<b>(1,186)</b>	<b>(1,643)</b>
Middle Class Tax Cuts	0	(236)	(1,071)	(1,504)
Other Tax Extenders/Credits	0	(38)	(115)	(139)
<b>ADHERENCE TO 2% SPENDING BENCHMARK<sup>2</sup></b>	<b>n/a</b>	<b>2,956</b>	<b>4,634</b>	<b>6,498</b>
<b>ENACTED BUDGET SURPLUS/(GAP)</b>	<b>0</b>	<b>355</b>	<b>(841)</b>	<b>(399)</b>

<sup>1</sup> State Fiscal Year 2016 Mid-Year Update, dated November 2015.

<sup>2</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2016 results). The Governor is expected to propose, and negotiate with the Legislature to enact, an annual budget that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

\* Converting the STAR benefit to a refundable credit will result in lower STAR spending with a comparable decrease in PIT receipts. This change has no impact on the STAR benefits received by homeowners. See "STAR Program" in "Financial Plan Projections Fiscal Years 2017 through 2020" herein.

## Spending Changes

### Agency Operations

Operating costs for State agencies include salaries, wages, fringe benefits, and Non-Personal Service (NPS) costs (i.e., supplies, utilities). Redesign and cost-control efforts are expected to continue to reduce spending compared to current service projections. Reductions from the prior projections for agency operations contribute \$340 million to the General Fund gap-closing plan.

Specifically:

- **Executive Agencies:** Compared to the current service projections, savings are due to holding most agency operating budgets at a constant level across the Financial Plan period; the continued transition of individuals from mental hygiene institutions to appropriate community settings; and the alignment of certain operating and equipment costs with capital and Federal financing sources. Agencies are expected to continue to use less costly forms of service deliveries, improve administrative practices, and pursue statewide solutions, including the utilization of a management system known as “Lean” which applies a series of principles to streamline operations and management.

On a State Operating Funds basis, and excluding the 27<sup>th</sup> institutional payroll in FY 2016 and certain repayments to the New York Power Authority (NYPA), Executive agency operational costs are expected to total \$10.0 billion in FY 2017, slightly lower than FY 2016. In FY 2018 and beyond, spending is expected to increase by \$60 million to \$80 million annually. Agencies with growth include DOH, reflecting the NYSOH benefit exchange and the new EP program; Corrections; State Police; Gaming; Office of Mental Health (OMH); Office for People with Developmental Disabilities (OPWDD); and Office for Children and Family Services (OCFS).

- **Fringe Benefits/Fixed Costs:** Estimates for fringe benefits and fixed costs have been lowered to reflect the payment of the FY 2017 Employees’ Retirement System (ERS) and Police and Fire Retirement System (PFRS) pension bill in April 2016 rather than on the March 1, 2017 due date. In addition, resources are expected to be provided directly to the State Insurance Fund (SIF) to offset the State’s cost for workers’ compensation claims over the next four years (\$140 million in FY 2017; \$100 million in both FY 2018 and 2019, and \$35 million in FY 2020). Increasing fringe benefit costs associated with updated baseline growth in health insurance rate renewals and workers’ compensation costs offset these savings in future years.
- **University Systems:** Spending on the State University of New York (SUNY) operations will be reduced through the discontinuation of previous legislative additions.



### Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals. Reductions from the prior projections for local assistance spending are expected to generate \$1.8 billion in General Fund savings.<sup>15</sup> Savings are expected from both targeted actions and continuation of prior-year cost containment. Specifically:

- **Higher Education:** Savings include revisions to scholarship awards due to updates in both enrollment patterns and average award amounts.
- **Mental Hygiene:** The spending has been reduced to reflect revised timelines for ongoing transformation efforts in the mental hygiene system, and the Federal government's extension of the timeframe to disburse funding from the Balancing Incentive Program (BIP).
- **Health Care:** Spending estimates have been reduced for the Child Health Plus (CHP) program as a result of Federal funding under the ACA. Savings also reflect additional HCRA resources that lower General Fund spending. Furthermore, additional means to offset costs under the Medicaid Global Cap are available through the Medicaid integrity and efficiency initiative authorized in the FY 2017 Enacted Budget. This voluntary initiative permits DOH and local social service districts to formulate a joint plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide Financial Plan savings.
- **STAR:** The Enacted Budget Financial Plan reflects the gradual transformation of the STAR benefit to a refundable PIT credit. The change applies only to new housing transactions, i.e., new homebuyers, and homeowners who move.<sup>16</sup> This transformation reduces State spending and more appropriately reflects the program costs as a tax expenditure, which is the current basis of the program, and provides more transparency regarding school tax levy growth. In addition, the New York City PIT STAR credit will be converted to a New York State PIT STAR credit, a simple reporting change that eliminates the need to reimburse costs paid by the City.
- **Human Services:** Savings reflect the use of TANF funding sources to reduce OCFS Child Care General Fund spending. They also reflect a one-time revision to the Pay For Success program based on timing, and updated spending forecasts in several programs, including OCFS spending on detention reconciliation, the Committee on Special Education, and Medicaid-related foster care spending. These savings are partially offset by revised costs for public assistance, based on an update to DOB's caseload models as well as spending in the Bridges to Health program and the reinvestment of State savings gained from Federal rule changes in post-adoption and primary preventive services. This reinvestment is required in order to continue Federal provision of Title IV-E funds.

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<sup>15</sup> Local assistance includes payments for School Aid, STAR, Medicaid, public assistance, child welfare, local government assistance and a range of other purposes.

<sup>16</sup> Transforming the STAR benefit to a refundable credit will result in lower STAR spending with a comparable decrease in PIT receipts. This change has no impact on the STAR benefits received by homeowners.

- **Updated Aid Claims:** Savings are expected due to updated claims for expense-based aid programs submitted by school districts and the STAR program.
- **All Other:** Savings are expected from additional lottery/Video Lottery Terminal (VLT) receipts available to fund School Aid; use of available Mortgage Insurance Fund (MIF) resources to fund initiatives addressing housing and homelessness programs; special education programs and grant spending based on updated information; funding certain local government programs with available resources earmarked for municipal restructuring; and spending revisions based on utilization trends in other local assistance programs.

## Debt Management

Savings reflect the prepayment of \$710 million of FY 2017 expenses in FY 2016 and \$60 million of FY 2018 expenses in FY 2017, as well as expected refundings, continued use of competitive bond sales, and other debt management resources.

## Capital Projects

General Fund support for capital projects is lower due to the use of accumulated resources and downward revisions to capital spending estimates.

## Initiatives/Investments

During budget negotiations, the Executive and Legislature agreed to \$663 million in new spending additions beyond the Executive Budget proposal. (See “Changes to the Executive Budget” herein.)

The Budget includes the Executive-recommended spending increases for SUNY State-operated campuses and CUNY senior colleges; charter school tuition; homelessness, poverty reduction; the State subsidy to maintain Verrazano Bridge toll levels; victim services; upstate transit infrastructure; violence prevention; and aging. It also reflects debt service costs for new capital initiatives funded with bonds.

## Resource Changes

- **Tax Revisions:** The estimate for annual tax receipts has been revised to reflect the updated forecast for the U.S. and State economies and results to date. In addition, the reconciliation of prior year tax collections from mobile telecommunication services companies is expected to reduce sales tax collections.
- **Federal Designated State Health Program (DSHP) Resources:** FY 2017 resources have been reduced by \$250 million to remove previously expected Federal DSHP revenue to support transformational changes in the Mental Hygiene service delivery system while the State continues to pursue the matter with the Centers for Medicare & Medicaid Services (CMS).
- **Sales Tax Asset Receivable Corporation (STARC) Debt Refunding Savings:** The Enacted Budget includes a provision that permits the State to realize refunding savings on debt funded exclusively with State resources. In 2004, STARC issued \$2.6 billion in debt (STARC bonds) to refinance certain obligations related to the New York City fiscal crisis. The STARC bonds are secured by \$170 million in annual State sales tax payments to STARC through 2034. In October 2014, STARC refunded the STARC bonds, generating about \$650 million in debt service savings that, due to structuring provisions, accrued to New York City. Given the unique structure of the STARC bonds, the State will recoup the savings on the refunding of the STARC bonds over the next three State fiscal years through the adjustment of sales tax receipts otherwise payable to New York City.
- **STAR Program Conversion:** The conversions of the NYC PIT STAR credit to a State credit, and the STAR benefit for new homeowners and homeowners who move, to a tax credit will result in lower General Fund tax collections beginning in FY 2017. There will be no impact on the level of benefits for homeowners covered by the change.
- **Other Resource Changes:** Other changes include updated estimates of various miscellaneous receipts and accumulated transfers from other funds, including revenue transfers from the collection of franchise operator fees for gaming facilities, Federal health care reimbursements, and NYPA to support annual energy-related program activity, with no additional contributions expected.

## Tax Actions

- **Middle Class Tax Cuts:** Effective FY 2018, the Enacted Budget provides reduced tax rates over the course of eight years for New York's middle-income families and small businesses. When fully phased-in, the range of marginal tax rates on middle incomes will be reduced from between 5.9 percent and 6.65 percent to between 5.5 percent and 6 percent, providing annual savings of \$4.2 billion for 6 million middle-class taxpayers when fully phased in 2025. Without the benefit of this legislation, these taxpayers would have faced an increased marginal tax rate of 6.85 percent after the end of tax year 2017. The cost of this tax law change grows from \$236 million in FY 2018 to \$1.5 billion in FY 2020, on a cash basis.
- **Other Tax Extenders/Credits:** Other significant tax actions include enhancing the Urban Youth Jobs Program Tax Credit and the extension of other tax credits.

## Changes to the Executive Budget

### General Fund

The table below summarizes all the changes to the Executive Budget Financial Plan.

<b>CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN</b>				
<b>GENERAL FUND</b>				
<b>(millions of dollars)</b>				
	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>RESTORATIONS/ADDITIONS TO EXECUTIVE PLAN</b>	<b>(1,181)</b>	<b>(1,929)</b>	<b>(1,804)</b>	<b>(2,168)</b>
<u>New Spending Adds:</u>	<u>(663)</u>	<u>(732)</u>	<u>(743)</u>	<u>(741)</u>
School Aid	(382)	(587)	(612)	(640)
Other Education Aid	(78)	(91)	(87)	(57)
Higher Education	(54)	(36)	(26)	(26)
Human Services/Labor	(64)	0	0	0
All Other	(85)	(18)	(18)	(18)
<u>Restorations/Modifications:</u>	<u>(518)</u>	<u>(1,197)</u>	<u>(1,061)</u>	<u>(1,427)</u>
CUNY Financing Parity	(153)	(505)	(516)	(529)
NYC Medicaid Growth Takeover	(180)	(476)	(589)	(705)
STAR Benefit Conversion to Tax Credit (Modified)	(98)	(96)	(96)	(95)
STAR Exemption Cap/Mandatory Income Verification	(56)	(117)	(173)	(229)
Retiree Health Insurance	(10)	(20)	(30)	(41)
Excess Medical Malpractice	0	(25)	(25)	(25)
Debt Service Cost of Capital Adds	0	(107)	(137)	(178)
Other Restorations/Modifications/Rejected Initiatives	(21)	149	505	375
<b>TAX LAW REVISIONS TO EXECUTIVE PLAN</b>	<b>(17)</b>	<b>161</b>	<b>(539)</b>	<b>(987)</b>
<u>Not Accepted:</u>	<u>0</u>	<u>411</u>	<u>561</u>	<u>562</u>
Small Business Taxes Rate Reduction	0	298	298	298
Education Tax Credit	0	0	150	150
Thruway Toll Credit	0	113	113	114
<u>Modified/New:</u>	<u>(17)</u>	<u>(250)</u>	<u>(1,100)</u>	<u>(1,549)</u>
Middle Class Income Tax Cut	0	(236)	(1,071)	(1,504)
Farmer Wage Credit	0	0	(15)	(18)
All Other	(17)	(14)	(14)	(27)
<b>TAX RECEIPTS REVISIONS</b>	<b>(350)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ADDITIONAL/NEW COSTS</b>	<b>(39)</b>	<b>(162)</b>	<b>(394)</b>	<b>(629)</b>
Public Assistance Caseload Increase	(20)	(36)	(39)	(41)
Minimum Wage Increase	(19)	(126)	(355)	(588)
<b>AVAILABLE RESOURCES</b>	<b>1,587</b>	<b>450</b>	<b>391</b>	<b>537</b>
Updated Local Claims	576	407	459	464
Accumulated Transfers	300	0	0	0
FY 2016 Prepayments/Advances	256	0	0	0
All Other Revisions	455	43	(68)	73
<b>NET SAVINGS/(COSTS)<sup>1</sup></b>	<b>0</b>	<b>(1,480)</b>	<b>(2,346)</b>	<b>(3,247)</b>

<sup>1</sup> Before savings estimated from limiting annual growth in future years to 2 percent.

During negotiations, the Executive and Legislature agreed to \$1.2 billion in General Fund additions and restorations to the FY 2017 Executive Budget proposal.<sup>17</sup> The budget agreement included a substantial increase in School Aid above the Executive proposal (\$382 million on a State Fiscal Year basis). School Aid is expected to total \$24.8 billion in SY 2017, an annual

<sup>17</sup> Additions represent distinct new spending added to the Executive Budget proposal. Restorations are costs from the rejection of certain savings proposals contained in the Executive Budget.

increase of \$1.5 billion (6.5 percent). Other spending additions, totaling \$281 million, were approved for a range of purposes, including education, higher education, and human services.

Two significant savings initiatives, the realignment of funding shares for CUNY and the New York City Medicaid program, were not included in the final budget agreement.<sup>18</sup> Both initiatives would have provided substantial savings in future years of the Financial Plan. As a result, the spending reductions (from the current-services projections) that will be needed to limit annual spending growth in future fiscal years to 2 percent have increased compared to the Executive Budget proposal. In addition, proposals to cap the annual growth in STAR benefits, reform medical malpractice insurance, and calibrate State retiree health benefits with years of service and ability to pay were not approved.

The Executive and Legislature also reached agreement on the restoration of certain proposed tax law changes included in the Executive Budget, as well as modifications and new tax law changes, including a middle class income tax cut and a farm wage credit. In addition, receipts have been revised downward in all years to reflect updated economic forecasts.

The Enacted Budget reflects revised costs for public assistance, based on an update to DOB's caseload models. It also includes funding to support salary and related fringe benefit costs associated with minimum wage increases for the State-share of Medicaid-funded and related programs.

The Enacted Budget Financial Plan identifies resources in FY 2017 that DOB estimates will be sufficient to fund the additions and restorations from budget negotiations, as well as to cover the new costs that have emerged. The resources include:

- Updated local claims for expense-based aid programs for school districts and for the STAR program (\$576 million). Expense-based aids are subject to quarterly updating and fluctuate unpredictably.
- Certain transfers to the General Fund and other financing sources that were initially expected in FY 2016 are now scheduled to be available in FY 2017, including transfers to support capital projects spending (\$50 million); franchise fees for the operation of gaming facilities to offset education spending (\$137 million); Federal health care reimbursements (\$61 million); and youth facility reimbursements (\$13 million).
- Prudent estimation of costs has permitted the State to make additional prepayments of FY 2017 expenses (\$256 million).
- Other changes include revisions to projected spending across multiple programs based on operating results for FY 2016, and other management actions, as well as the use of \$87 million in undesignated fund.

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<sup>18</sup> The CUNY proposal in the Executive Budget would have reduced State spending by an estimated \$393 million in FY 2017. The Executive Budget recommended setting aside \$240 million in savings from the proposal to fund a reserve for the settlement of CUNY collective bargaining agreements.

## Spending Changes

The table below summarizes all the changes to the Executive Budget Financial Plan that impact State Operating Funds spending.

<b>CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN</b>				
<b>STATE OPERATING FUNDS SPENDING</b>				
<i>(millions of dollars)</i>				
	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>EXECUTIVE BUDGET PROPOSAL</b>	<b>95,898</b>	<b>99,741</b>	<b>103,287</b>	<b>106,629</b>
<b>RESTORATIONS/ADDITIONS TO EXECUTIVE PLAN</b>	<b>1,345</b>	<b>1,834</b>	<b>1,709</b>	<b>2,074</b>
<u>New Spending Adds:</u>	<u>663</u>	<u>732</u>	<u>743</u>	<u>741</u>
School Aid	382	587	612	640
All Other	281	145	131	101
<u>Restorations/Modifications:</u>	<u>682</u>	<u>1,102</u>	<u>966</u>	<u>1,333</u>
CUNY Financing Parity	393	505	516	529
NYC Medicaid Growth Takeover	180	476	589	705
STAR Exemption Cap/Mandatory Income Verification	56	117	173	229
Retiree Health Insurance Savings	10	20	30	41
Excess Medical Malpractice	10	25	25	25
Debt Service Cost of Capital Adds	0	107	137	178
Other Restorations/Modifications/Rejected Initiatives	33	(148)	(504)	(374)
<b>ADDITIONAL/NEW COSTS</b>	<b>89</b>	<b>218</b>	<b>450</b>	<b>686</b>
State Police/Thruway Reclassification	50	56	56	57
Public Assistance Caseload Increase	20	36	39	41
Minimum Wage Increase	19	126	355	588
<b>AVAILABLE RESOURCES</b>	<b>(1,152)</b>	<b>(734)</b>	<b>(746)</b>	<b>(824)</b>
Updated Local Claims	(576)	(407)	(459)	(464)
Debt Service Savings	(100)	0	0	0
FY 16 Prepayment/Advances	(197)	0	0	0
Capital Staff to Capital Funds (Mental Hygiene)	(82)	(83)	(84)	(85)
Transit Receipts Revision	(18)	(17)	(21)	(25)
All Other Revisions	(179)	(227)	(182)	(250)
<b>ENACTED BUDGET SPENDING <sup>1</sup></b>	<b>96,180</b>	<b>101,059</b>	<b>104,700</b>	<b>108,565</b>

<sup>1</sup> Before savings estimated from limiting annual growth in future years to 2 percent.

In addition to the General Fund changes described above, other significant new costs and available resources that impact spending include the restatement of spending for State Police services on the Thruway (\$50 million); reclassification of mental hygiene personnel and fringe benefit expenses, related to the maintenance and preservation of State assets, to capital projects funds (\$82 million); and revised transit aid spending based on the updated receipts forecast.

The Enacted Budget Financial Plan sets aside a portion of the General Fund balance to fund the estimated FY 2017 cost of the tentative PEF agreement. If the agreement is ratified by the PEF membership and a pay bill is passed by the Legislature, the spending would increase by approximately \$146 million in FY 2017 (covering FY 2016 and FY 2017) and \$74 million thereafter.

## Cash Flow

The State authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2017 to make all planned payments as they become due. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

<b>ALL FUNDS MONTH-END CASH BALANCES</b>			
<b>FY 2017</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Other Funds</b>	<b>All Funds</b>
<b>April</b>	10,864	3,316	14,180
<b>May</b>	7,460	4,354	11,814
<b>June</b>	8,186	4,928	13,114
<b>July</b>	7,836	5,364	13,200
<b>August</b>	7,187	5,418	12,605
<b>September</b>	10,815	3,339	14,154
<b>October</b>	9,626	3,384	13,010
<b>November</b>	7,607	2,912	10,519
<b>December</b>	10,722	3,178	13,900
<b>January</b>	11,734	4,903	16,637
<b>February</b>	11,696	4,655	16,351
<b>March</b>	6,069	2,516	8,585



## Monetary Settlements

From FY 2015 through FY 2017, DOB estimates that the State will have received a total of \$8.7 billion in monetary settlements with financial institutions and insurance companies. The following table lists the settlements by firm and amount.

<b>SUMMARY OF RECEIPTS OF SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS</b> (millions of dollars)				
	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>Total</u>
<b>Monetary Settlements</b>	<b>4,942</b>	<b>3,605</b>	<b>183</b>	<b>8,730</b>
BNP Paribas	<u>2,243</u>	<u>1,348</u>	<u>0</u>	<u>3,591</u>
Department of Financial Services (DFS)	2,243	0	0	2,243
Asset Forfeiture (DANY)	0	1,348	0	1,348
Deutsche Bank	0	800	0	800
Credit Suisse AG	715	30	0	745
Commerzbank	610	82	0	692
Barclays	0	670	0	670
Credit Agricole	0	459	0	459
Bank of Tokyo Mitsubishi	315	0	0	315
Bank of America	300	0	0	300
Standard Chartered Bank	300	0	0	300
Goldman Sachs	0	50	190	240
Morgan Stanley	0	150	0	150
Bank Leumi	130	0	0	130
Ocwen Financial	100	0	0	100
Citigroup (State Share)	92	0	0	92
MetLife Parties	50	0	0	50
American International Group, Inc.	35	0	0	35
PricewaterhouseCoopers	25	0	0	25
AXA Equitable Life Insurance Company	20	0	0	20
Promontory	0	15	0	15
New Day	0	1	0	1
Other Settlements	7	0	(7)	0

## Uses of Monetary Settlements

The Financial Plan reflects the Executive’s intention to continue applying the majority of the settlement resources to fund capital investments and nonrecurring expenditures. The Enacted Budget authorized the transfer of settlement funds over a five-year period to DIIF to finance various appropriated purposes (\$6.4 billion), as well \$120 million to the Environmental Protection Fund (EPF).

Other uses include \$850 million to resolve Federal OPWDD disallowances in FY 2016 and \$5 million for chemical dependence programs administered by the Office of Alcoholism and Substance Abuse Services (OASAS). A portion of the monetary settlements are used to support General Fund operations, as previously planned, as well as operational costs of the Department of Law’s Litigation Services Bureau.

DOB expects the projects and activities funded with settlements funded over several years leaving a relatively large cash balance available in FY 2017 and FY 2018. The State plans to use these resources to make cash advances for certain capital programs in FY 2017 (\$1.3 billion) and FY 2018 (\$500 million). The resources are expected to be reimbursed fully with bond proceeds by the end of FY 2019. These bond-financed programs include higher education, economic development, and transportation.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
<b>Opening Settlement Balance in General Fund</b>	0	4,667	6,300	3,547	2,646	1,446	714	0
<b>Receipt of Settlement Payment</b>	4,942	3,605	183	0	0	0	0	8,730
<b>Use/Transfer of Funds</b>	275	1,972	2,936	901	1,200	732	49	8,065
Capital Purposes:								
Transfer to Dedicated Infrastructure Investment Fund	0	857	1,351	1,701	1,700	732	49	6,390
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	120
Transfer to Capital Projects Fund	0	0	1,300	(800)	(500)	0	0	0
Other Purposes:								
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	850
Financial Plan - General Fund Operating Purposes	275	250	102	0	0	0	0	627
Department of Law - Litigation Services Operations	0	10	63	0	0	0	0	73
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	5
<b>Closing Settlement Balance in General Fund</b>	4,667	6,300	3,547	2,646	1,446	714	665	665

The following capital purposes will be funded with \$6.5 billion of monetary settlement collections:

**Thruway Stabilization (\$2.0 billion):** The Enacted Budget continues to invest in Thruway infrastructure adding another \$700 million to last year’s commitment of \$1.3 billion. The investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.

**Upstate Revitalization Program (\$1.7 billion):** Funding for the Upstate Revitalization Initiative (URI). In 2015, \$1.5 billion was awarded to the three Upstate regions selected as URI best plan awardees. The Enacted Budget includes an additional \$200 million (\$170 million from monetary settlements) to support projects in the remaining four eligible Upstate regions.

**Affordable and Homeless Housing (\$640 million):** The Enacted Budget supports a multi-year investment in affordable housing services, and provides housing opportunities for individuals and families who are homeless or at risk of homelessness. Funds will be invested over the next five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.

**Broadband Initiative (\$500 million):** Funding is included in the Enacted Budget for the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.

**Health Care/Hospitals (\$400 million):** The Enacted Budget provides \$355 million in grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities, to create a financially sustainable system of care intended to promote a patient-centered model of health care delivery. An essential health care provider is a hospital or hospital system that offers health services in a region deemed by the Health Commissioner to be underserved. Funding may be used to restructure debt obligations or fund capital improvements to facilitate mergers and consolidations of hospitals in rural communities. The Plan also funds capital expenses of the Roswell Park Cancer Institute (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).

**Penn Station Access (\$250 million):** The Metropolitan Transportation Authority (MTA) Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.

**Transportation Capital Plan (\$200 million):** The Enacted Budget allocates funds to transportation infrastructure projects across the State.

**Municipal Restructuring and Consolidation Competition (\$170 million):** The Enacted Budget includes \$20 million in funding for a new Municipal Consolidation Competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million allocated last year to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.

**Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** The Enacted Budget Financial Plan funds preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.

**Transformative Economic Development Projects (\$150 million):** The Budget includes funds for investment that will catalyze private investment, spurring significant economic development and job creation to help strengthen communities in Nassau and Suffolk counties and their economies.

**Infrastructure Improvements (\$115 million):** Funding is included in the Budget for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.

**Economic Development (\$85 million):** The Enacted Budget continues funding the economic development strategy of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

**Southern Tier/Hudson Valley Farm Initiative (\$50 million):** Funding is included in the Budget to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

**Empire State Poverty Reduction Initiative (ESPRI) (\$25 million):** To combat poverty throughout the State, the Enacted Budget includes \$25 million for the ESPRI. This program will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.

**EPF (\$120 million):** The Enacted Budget directs monetary settlement resources to the EPF. These and other EPF resources would provide dedicated funding to communities throughout New York State to improve the environment, combat climate change, and reduce greenhouse gas emissions.

As described above, monetary settlement resources will be used temporarily to: (i) meet initial funding requirements for the Javits expansion project and (ii) support \$1.3 billion of bond-financed capital disbursements. The table below shows the schedule for these temporary uses.

TEMPORARY USE OF MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS						
(millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
<b>Total Settlement Funds Replenished/(Used)</b>	<b>(1,300)</b>	<b>640</b>	<b>150</b>	<b>180</b>	<b>330</b>	<b>0</b>
Transfer to DIIF for Javits Center Expansion	0	(160)	(350)	(320)	(170)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
Management of Debt Issuances	(1,300)	800	500	0	0	0

**Javits Expansion:** Spending for the Javits expansion will be supported by settlement funds in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed by proceeds from bonds that are planned to be issued in FYs 2020 and 2021.

**Management of Debt Issuances:** A total of \$1.3 billion of capital spending for higher education, transportation and economic development will be funded initially from the settlement fund balances set aside in the General Fund. These funds will be made available for the projects appropriated from DIIF when the State reimburses the \$1.3 billion of spending with bond proceeds anticipated in FY 2018 (\$800 million) and FY 2019 (\$500 million).



# **Other Matters Affecting the State Financial Plan**





## General

The Enacted Budget Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Current Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted. In addition, projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings that result from the 2 percent limit will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex Budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across fiscal years, assisting in the adherence to spending targets and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent spending benchmark.

The Enacted Budget Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts reflect the impact of: national and international events; ongoing financial instability in the Eurozone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; potential pandemics; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt reduction on consumer spending and State tax collections; and the outcome of litigation and other claims affecting the State.

Among other factors, the Enacted Budget Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the current Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the current Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

## Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Enacted Budget Financial Plan projections for the outyears assume that School Aid and Medicaid disbursements will be limited to the annual growth in NYS personal income, and the ten-year average growth of the medical component of the Consumer Price Index (CPI), respectively. However, the FY 2014 through FY 2017 Enacted Budgets authorized spending for School Aid to increase above personal income growth that would otherwise be used to calculate the school year increases. The FY 2017 Enacted Budget includes a 6.5 percent School Aid increase, compared to the personal income indexed rate of 3.9 percent.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the current Financial Plan. Over the past five years, DOH State Funds Medicaid spending levels have been maintained at or below indexed levels. However, Medicaid program spending is sensitive to a number of factors including fluctuations in economic conditions, which may increase caseload. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. However, these actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and therefore General Fund spending remains sensitive to revenue performance in the State's HCRA fund (which finances approximately one-quarter of the DOH State-share costs of Medicaid).

The forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of miscellaneous revenues at the levels expected in the Financial Plan, and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years.

In developing the Financial Plan, DOB attempts to mitigate the financial risks from receipts volatility, litigation, and unexpected costs, with a particular emphasis on the General Fund. It does this by, among other things, calculating total General Fund disbursements cautiously (i.e., to a level they are unlikely to reach) and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that the tools available to mitigate risks are sufficient to address risks that may materialize in a given fiscal year.

## Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to address response to, and recovery from, severe weather events and other disasters. Despite modest legislative adjustments to the budgetary caps contained in the Budget Control Act of 2011, the possibility for a reduction in Federal support is elevated so long as the caps remain in place. Any reduction in Federal funding levels could have a materially adverse impact on the Financial Plan. In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. Issues of particular concern are described below.

### Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal CMS and the State have reached an agreement authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, New York State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS, and to refine the eligibility data systems to draw the appropriate amount of enhanced FMAP. This reconciliation may result in a modification of payments to the State and local governments.

### Federal Debt Ceiling

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. Including the temporary suspension of the debt limit that ended that standoff in 2013, Congress has passed three suspensions since then, the most recent extending through March of 2017.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

## ACA - Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

The “Cadillac Tax” is a 40 percent excise tax to be assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The tax was passed into law in 2010 as a component of the Federal ACA. That law was amended in December 2015 to delay the effective date of the tax from calendar year 2018 to calendar year 2020. Final guidance from the Internal Revenue Service is pending. DOB has no current estimate as to the potential financial impact on the State from this Federal excise tax.

## Current Labor Negotiations (Current Contract Period)

The State has reached multi-year collective bargaining agreements beyond FY 2016 with two unions -- the State Police Troopers and Commissioned and Noncommissioned Officers – both represented by the Police Benevolent Association of the New York State Troopers (NYSPBA). These agreements provided members with a 2 percent general salary increase at the start of FY 2015 and FY 2016, respectively, and a 1.5 percent general salary increase that will commence at the start of FY 2017 and FY 2018, respectively. The State is in active negotiations with all other employee unions.

The State recently reached a one-year retroactive labor agreement, pending ratification by membership and the passage of a pay bill by the Legislature, to provide a 2 percent annual salary increase to members of the New York State Public Employees Federation (PEF) for the period April 1, 2015 through March 31, 2016. This agreement would bring PEF in line with most other State union contracts which have salary increases that concluded in FY 2016, including the Civil Service Employees Association (CSEA), United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), Council 82, District Council 37 (DC-37 Housing) and the Graduate Student Employees Union (GSEU). Negotiations also continue with the Police Benevolent Association of New York State (PBANYS; last salary increase at the end of FY 2015) and with the New York State Police Investigators Association (last salary increase at the end of FY 2011).

The State is prepared to negotiate fiscally responsible successor agreements with all of these unions. The State Operating Funds cost of providing a 1 percent general salary increase effective in FY 2017 for PEF, PBANYS, CSEA, UUP, NYSCOPBA, Council 82, DC-37 Housing and GSEU and unrepresented management/confidential (M/C) employees is approximately \$131 million annually.

## Pension Amortization

### Background

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

In FY 2016, the State made a total pension payment to the New York State and Local Retirement System (NYSLRS) of \$1.7 billion, and amortized \$296.7 million (the maximum amount allowable). In addition, the State's Office of Court Administration (OCA) made a total pension payment of \$263.6 million, and amortized \$59.5 million (the maximum amount legally allowable). The total deferred amount of the FY 2016 pension payment — \$356.2 million — will be repaid with interest over the next ten years, with the final payment being made in FY 2026.

The State is required to begin repayment on each new amortization in the fiscal year immediately following the year in which the deferral was made. The full amount of each amortization, with interest, must be repaid within ten years, but the amount can be paid off sooner. The annual interest rate on each new amortization is determined by OSC, and is fixed for the entire term of the deferral. Legislation included in the FY 2017 Enacted Budget authorizes the State to prepay annual installments of principal associated with an amortization, prior to the expiration of the amortization repayment schedule, and thus only be required to make the related interest payments during the subsequent fiscal years associated with such prepayments. This option does not allow the State to extend the ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

For amounts amortized in FY 2011 through FY 2016, the State Comptroller set interest rates of 5 percent, 3.75 percent, 3 percent, 3.67 percent, 3.15 percent and 3.21 percent, respectively. The normal annual employer contribution to the NYSLRS is based on rates established by the NYSLRS Actuary using the annual fund valuation and actuarially prescribed policies and procedures. Employer contribution rates are established for both ERS and PFRS. These rates are then applied to the State-employee salary base for each respective employee group. The State's normal annual contribution is the total bill, excluding payments for deficiency, group life, previous amortizations, incentive costs, administrative costs, and prior-year adjustments.

The amortization rates (i.e. the graded rates) for ERS and PFRS are determined by a formula enacted in the 2010 legislation. The respective graded rates always move toward their system's average normal rate by up to 1 percent per year. When the average normal rate is more than 1 percent greater than the graded rate, the use of the amortization program reduces the portion of the normal contribution that is payable immediately. The balance of the normal contribution may be amortized. However, when the graded rate equals or exceeds the normal average rate, amortization is not allowed. Additionally, when the graded rate is more than 1 percent greater than the average normal rate, the employer is required to pay the graded rate. Any additional contributions are first used to pay off existing amortizations. If all amortizations have been paid, any excess is deposited into a reserve account and used to offset future increases in contribution

# Other Matters Affecting the State Financial Plan



rates. The amortization threshold is projected to approximate the normal rate in upcoming fiscal years. Therefore, the Financial Plan no longer assumes amortization of State and OCA pension costs beyond FY 2016.

For both the ERS and the PFRS, the following table provides: i) system average normal rates; and ii) amortization (graded) rates.

Fiscal Year (FY)	New York State Employees' Retirement System (ERS)		New York State Police and Fire Retirement System (PFRS)	
	Normal Rates	Graded Rates	Normal Rates	Graded Rates
	(GLIP Portion) <sup>1</sup>	(does not apply to GLIP)	(GLIP Portion)	(does not apply to GLIP)
FY 2011	11.9 (0.4)	9.5	18.2 (0.1)	17.5
FY 2012	16.3 (0.4)	10.5	21.6 (0.0)	18.5
FY 2013	18.9 (0.4)	11.5	25.8 (0.1)	19.5
FY 2014	20.9 (0.4)	12.5	28.9 (0.0)	20.5
FY 2015	20.1 (0.4)	13.5	27.6 (0.1)	21.5
FY 2016	18.2 (0.5)	14.5	24.7 (0.0)	22.5
FY 2017	15.5 (0.4)	15.1	24.3 (0.0)	23.5

<sup>1</sup> Group Life Insurance Plan (GLIP) portion reflected in parenthesis along with normal rates.

## Outyear Projections

All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS (ERS and PFRS) updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed — for ERS the scale was reduced from 4.8 percent to 3.8 percent and for PFRS the scale was reduced from 5.4 percent to 4.5 percent. Factoring in these and other assumptions, the average contribution rate for ERS will decrease from 18.2 percent of payroll to 15.5 percent, or about 15 percent, while the average contribution rate for PFRS will decrease from 24.7 percent of payroll to 24.3 percent, or approximately 2 percent.

The FY 2017 ERS/PFRS pension estimate of \$2.1 billion that is reflected in the current Financial Plan incorporates the estimate prepared by the Comptroller’s Office in October 2015, includes payment of prior amortizations totaling \$432 million, and also reflects additional interest savings by acceleration of non-Judiciary and Judiciary pension payments to April 2016. Total payment estimates include both the non-Judiciary and Judiciary components, and reflects payment of the entire pension bill, with no additional amortization.

The following tables provide aggregate pension costs across all the various systems associated with State employees: i) ERS for both the Executive branch and Judiciary; ii) PFRS for the Executive; iii) Teachers’ Retirement System (TRS) for both SUNY and the State Education Department (SED); iv) Optional Retirement Program (ORP) for both SUNY and SED; and v) NYS Voluntary Defined Contribution Plan (VDC). Amortization (graded) rates, deferrals and repayments are provided for ERS (Executive and Judiciary) and PFRS (Executive).

<b>STATE PENSION COSTS AND AMORTIZATION SAVINGS</b>					
(millions of dollars)					
	<b>FY 2011</b>	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>
<b>AMORTIZATION THRESHOLDS (Graded Rate)</b>					
ERS (%)	9.5	10.5	11.5	12.5	13.5
PFRS (%)	17.5	18.5	19.5	20.5	21.5
<b>STATEWIDE PENSION PAYMENTS</b>					
	<b>1,470</b>	<b>1,696</b>	<b>1,601</b>	<b>2,086</b>	<b>2,118</b>
Gross Pension Costs	1,633	2,140	2,192	2,744	2,438
(Amortization Amount) / Excess Contributions	(250)	(563)	(779)	(937)	(713)
Repayment of Amortization (incl. FY 2005 and FY 2006)	87	119	188	279	393

<b>STATE PENSION COSTS AND AMORTIZATION SAVINGS (CONTINUED)</b>					
(millions of dollars)					
	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>AMORTIZATION THRESHOLDS (Graded Rate)</b>					
ERS (%)	14.5	15.1	15.1	14.6	14.8
PFRS (%)	22.5	23.5	23.8	23.3	23.5
<b>STATEWIDE PENSION PAYMENTS</b>					
	<b>2,225</b>	<b>2,352</b>	<b>2,463</b>	<b>2,445</b>	<b>2,500</b>
Gross Pension Costs	2,189	1,920	2,031	2,013	2,068
(Amortization Amount) / Excess Contributions	(356)	0	0	0	0
Repayment of Amortization (incl. FY 2005 and FY 2006)	392	432	432	432	432

The next table reflects projected pension contributions and amortizations exclusively for the Executive branch and Judiciary employees participating in the ERS and PFRS. The “Normal Costs” column shows the amount of the State’s pension cost prior to amortization, as authorized in 2010. The “(Amortized) / Excess Contributions” column shows amounts deferred (i.e., amortized) or payments of outstanding contingencies. The “Amortization Payments” column provides the amount paid in a given fiscal year (principal and interest on deferrals) as authorized in 2010. The “Total” column provides the State’s actual or planned pension contribution, net of amortization.

<b>EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM<sup>1</sup></b>				
<b>PENSION CONTRIBUTIONS AND OUTYEAR PROJECTIONS</b>				
<b>(millions of dollars)</b>				
<b>Fiscal Year</b>	<b>Normal Costs<sup>2</sup></b>	<b>(Amortized)/Excess Contributions</b>	<b>Amortization Payments</b>	<b>Total</b>
<b>Results:</b>				
<b>2011</b>	1,543.2	(249.6)	0.0	1,293.6
<b>2012</b>	2,037.5	(562.8)	32.3	1,507.0
<b>2013</b>	2,076.1	(778.5)	100.9	1,398.5
<b>2014</b>	2,633.8	(937.0)	192.1	1,888.9
<b>2015</b>	2,325.8	(713.1)	305.6	1,918.3
<b>Projections:</b>				
<b>2016</b>	1,972.2	(356.2)	389.9	2,005.9
<b>2017</b>	1,696.2	0.0	432.1	2,128.3
<b>2018</b>	1,802.2	0.0	432.1	2,234.3
<b>2019</b>	1,780.4	0.0	432.1	2,212.5
<b>2020</b>	1,830.5	0.0	432.1	2,262.6
<b>2021</b>	1,911.4	0.0	432.2	2,343.6
<b>2022</b>	1,977.9	0.0	399.8	2,377.7
<b>2023</b>	1,993.5	0.0	331.3	2,324.8
<b>2024</b>	2,009.1	0.0	240.1	2,249.2
<b>2025</b>	2,024.4	0.0	126.5	2,150.9
<b>2026</b>	2,039.6	0.0	42.2	2,081.8
<b>2027</b>	2,054.3	0.0	0.0	2,054.3
<b>2028</b>	2,068.9	0.0	0.0	2,068.9
<b>2029</b>	2,061.5	0.0	0.0	2,061.5
<b>2030</b>	2,052.1	0.0	0.0	2,052.1
<b>2031</b>	2,040.1	0.0	0.0	2,040.1

<sup>1</sup> Pension contribution values in this table do not include pension costs related to the Optional Retirement Program and Teachers' Retirement System for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

<sup>2</sup> Normal costs include payments from amortizations prior to FY 2011, which will end in FY 2016 as a result of early repayments.



## Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in the New York State Health Insurance Program (NYSHIP), or are enrolled in the NYSHIP opt-out program at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2015, the State's Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2015, the unfunded actuarial accrued liability for FY 2015 is \$77.4 billion (\$63.4 billion for the State and \$13.9 billion for SUNY), an increase of \$9.2 billion from FY 2014 (attributable entirely to the State). The unfunded actuarial accrued liability for FY 2015 used an actuarial valuation of OPEB liabilities as of April 1, 2014 for the State, and April 1, 2012 for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. Driving a significant portion of the annual growth in the State's unfunded actuarial accrued liability is the adoption of new generational mortality projection tables developed by the Society of Actuaries, reflecting an improvement in life expectancy in future years, and resulting in increases to accrued liabilities and the present value of projected benefits. Also driving a portion of the annual growth are the expected increases in NYSHIP costs due to health care cost trends and utilization increases.

The actuarially determined annual OPEB cost for FY 2015 totaled \$3 billion (\$2.3 billion for the State and \$0.7 billion for SUNY), an increase of \$20 million from FY 2014 (\$17 million for the State and \$3 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$1.5 billion (\$1 billion for the State and \$0.5 billion for SUNY) greater than the cash payments for retiree costs made by the State in FY 2015. This difference between the State's PAYGO costs, and the actuarially-determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2015 by \$1.5 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the current Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of the Governor's Office of Employee Relations (GOER), the Department of Civil Service and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its current PAYGO funding practice.

The State is currently examining GASB Statement 75, which amends GASB Statement 45 requirements. The GASB Statement 75 will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the funded status of the OPEB liabilities to be reported by the State. GASB Statement 75 will be included in FY 2019 financial statements.

## **Litigation**

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Current Financial Plan.

## **Storm Recovery**

In recent years, New York State has sustained damage from three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response are being processed, and both recovery and future mitigation efforts have begun, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount over the coming years for response, recovery, and mitigation costs. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities, or that such Federal disaster aid will be provided on the expected schedule.

## Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

## Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and by providing grants and loans as a condition of implementing recommended efficiency initiatives.

## Bond Market

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt.

## Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt to capital purposes only, and for maximum terms of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service

# Other Matters Affecting the State Financial Plan



costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014. The State was in compliance with the statutory caps in the most recent calculation period (FY 2015).

DOB projects that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$4.9 billion in FY 2016 to \$105 million in FY 2020. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit (which are not backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding	\$ Remaining	Debt as a	% Remaining	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
				Since April 1, 2000	Capacity	% of PI	Capacity		
FY 2016	1,142,490	4.00%	45,700	40,814	4,885	3.57%	0.43%	9,415	50,229
FY 2017	1,193,200	4.00%	47,728	42,981	4,747	3.60%	0.40%	8,111	51,092
FY 2018	1,251,360	4.00%	50,054	48,166	1,889	3.85%	0.15%	6,813	54,979
FY 2019	1,315,830	4.00%	52,633	51,988	645	3.95%	0.05%	5,771	57,759
FY 2020	1,379,570	4.00%	55,183	55,078	105	3.99%	0.01%	4,895	59,973
FY 2021	1,444,290	4.00%	57,772	57,488	284	3.98%	0.02%	3,421	60,909

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service	\$ Remaining	DS as a	% Remaining	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
				Since April 1, 2000	Capacity	% of Revenue	Capacity		
FY 2016	153,265	5.00%	7,663	4,087	3,576	2.67%	2.33%	1,492	5,579
FY 2017	152,346	5.00%	7,617	4,355	3,262	2.86%	2.14%	823	5,178
FY 2018	159,142	5.00%	7,957	4,766	3,192	2.99%	2.01%	1,477	6,242
FY 2019	160,110	5.00%	8,006	5,365	2,640	3.35%	1.65%	1,392	6,757
FY 2020	163,777	5.00%	8,189	5,856	2,333	3.58%	1.42%	1,362	7,218
FY 2021	170,279	5.00%	8,514	6,247	2,267	3.67%	1.33%	1,198	7,444

The State's available debt capacity under its statutory debt cap reflects the impact of several factors in the Enacted Budget. The summary below highlights each factor and its cumulative impact on the remaining capacity under the cap since the FY 2017 Executive Budget proposal. These factors include a change (reduction) to the personal income forecast, additional capital commitments approved in the Enacted Budget, revised estimates for bond-financed capital spending, debt issuance adjustments that leverage the State's strong liquidity position, and economic refundings of SUNY Dorms debt. In the Enacted Budget, capital spending estimates have consistently been revised downward, as conservative spending estimates are reconciled to actual results. Over the past four years, actual results have been \$2.8 billion below the level projected in the Executive Budget for the most recently completed fiscal year (FY 2013 - \$685 million, FY 2014 - \$543 million, FY 2015 - \$587 million, and FY 2016 - \$957 million). In managing the State's debt issuances, the Budget assumes that cash on hand from settlement moneys will be used to defer \$1.3 billion of bond issuances in FY 2017, which will instead be issued in FY 2018 (\$800 million) and in FY 2019 (\$500 million). Also, debt issuances were further reduced

by \$500 million in FY 2017 for timing-related reasons, reflecting the lag between capital spending and reimbursements from bond sales. The SUNY Dorms refundings assume that bonds under the existing program will be refunded into the new SUNY Dorms Facilities Revenue Credit as they reach their call dates.

<b>DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY</b>						
<i>(millions of dollars)</i>						
	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>30-Day Executive Budget Financial Plan</b>	<b>4,403</b>	<b>2,892</b>	<b>1,522</b>	<b>630</b>	<b>189</b>	<b>584</b>
Personal Income Forecast Adjustment	8	(108)	(206)	(190)	(180)	(170)
<b>New Base for Enacted Budget Financial Plan</b>	<b>4,411</b>	<b>2,784</b>	<b>1,316</b>	<b>440</b>	<b>9</b>	<b>414</b>
Enacted Capital Adds	0	(897)	(1,180)	(1,313)	(2,068)	(2,908)
Enacted Capital Reestimates	474	1,042	752	1,001	1,553	1,983
Management of Debt Issuances	0	1,818	974	454	448	441
SUNY Dorms Anticipated Refundings	0	0	27	63	163	353
<b>Enacted Budget Financial Plan</b>	<b>4,885</b>	<b>4,747</b>	<b>1,889</b>	<b>645</b>	<b>105</b>	<b>284</b>

## Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, which include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2016, there were approximately \$257 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the remaining financially distressed hospitals, one is experiencing significant operating losses that have impaired its ability to remain current on its loan agreement with DASNY. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014 when \$12 million was paid, and again in FY 2015 and FY 2016 when \$24 million and \$19 million were paid, respectively. DASNY also estimates the State will pay debt service costs of approximately \$25 million in FY 2017, and approximately \$14 million annually in FY 2018 through FY 2021. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital that currently is not meeting the terms of its loan agreement with DASNY, a second financially distressed hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, and a third hospital that is now closed. The State has estimated additional exposure of up to \$24 million annually, if all financially distressed hospitals failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

### **SUNY Downstate Hospital and the Long Island College Hospital (LICH)**

In May 2011, the New York State Supreme Court issued an order (the "May 2011 Order") that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn ("Downstate Hospital"). In 2012, DASNY issued tax exempt State PIT Revenue Bonds ("PIT Bonds"), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

To address the deteriorating financial condition of Downstate Hospital, which has been caused in part by the deteriorating financial position of LICH, legislation adopted with the FY 2014 Enacted Budget required the Chancellor of SUNY to submit to the Governor and the Legislature a multi-year sustainability plan to: a) set forth recommendations necessary to achieve financial stability for Downstate Hospital, and b) preserve the academic mission of Downstate Hospital's medical school. In accordance with this legislation, the Chancellor of SUNY submitted the sustainability plan for Downstate Hospital on May 31, 2013, and supplemented the plan with changes in a letter dated June 13, 2013. The supplemented plan was approved by both the Commissioner of Health and the Director of the Budget on June 13, 2013. Generally, the approved sustainability plan anticipates: a) a significant restructuring of health care service lines at Downstate Hospital in order to achieve financial milestones assumed in the sustainability plan, and supported by State financial assistance from DOH; and b) monetizing the LICH asset value to support the costs associated with Downstate Hospital exiting LICH operations. Consistent with the sustainability plan, as supplemented, SUNY, together with Holdings, issued a request for proposals (RFP) to provide health care services in or around the LICH facilities and to purchase the LICH real estate.

In 2013, State Supreme Court Judge Demarest, who issued the May 2011 Order, issued, sua sponte, certain additional orders that could have affected the validity of the May 2011 Order. Also, in 2013, State Supreme Court Judge Baynes issued a series of orders that, effectively, precluded SUNY from exiting LICH operations. On February 25, 2014, Judges Demarest and Baynes approved a settlement whereby all parties agreed to discharge their claims, and the judges vacated their orders. Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a new RFP seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property. The structure of the settlement also increased the likelihood that sufficient proceeds from the transaction would be available to support defeasance of the PIT Bonds by setting a minimum purchase price.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with FPG Cobble Hill Acquisitions, LLC (the "purchaser"), an affiliate of Fortis Property Group, LLC ("Fortis") (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center which will provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all, of the assets of Holdings was approved by the State Supreme Court in Kings County. The Initial Closing was held as of September 1, 2015 and on September 3, 2015, sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds which were paid and legally

deceased from such proceeds. Title to 17 of the 20 properties was conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing) is anticipated to occur on or before June 30, 2016. However, NMS must be conveyed with all improvements fully demolished and all environmental issues remediated by Fortis. The external demolition of the buildings has been the subject of a court ordered restraint. As of October 29, 2015, the restraint has been removed. In its efforts to complete the demolitions and environmental remediation, Fortis has continued to deal with challenges raised by adjoining property owners and community groups. It is possible that continuing and new challenges may delay the NMS Closing.

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, title to the two remaining portions of the LICH properties, will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the construction of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.





**Financial Plan Projections  
Fiscal Years 2017 Through 2020**



## Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2017 through FY 2020, with an emphasis on the FY 2017 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 40 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, transportation, and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of this Enacted Budget Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2017 budget, FY 2018, is the most relevant from a planning perspective.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Summary

The Enacted Budget Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to the 2 percent spending benchmark.

The projections for FY 2018 and thereafter set forth in the Enacted Budget Financial Plan reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The estimated savings are labeled on a distinct line in the Enacted Budget Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” The total disbursements in the Enacted Budget Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State does not adhere to the 2 percent State Operating Funds spending benchmark in FY 2018, FY 2019, and FY 2020, the projected budget gaps would be higher.

The following tables present the Enacted Budget Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

## General Fund Projections

<b>GENERAL FUND PROJECTIONS</b> (millions of dollars)					
	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
	<b>Results</b>	<b>Enacted</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>RECEIPTS</b>					
Taxes (After Debt Service)	62,581	65,117	68,201	68,307	71,029
Miscellaneous Receipts/Federal Grants	5,842	2,813	2,486	2,455	2,318
Other Transfers	1,253	1,046	750	750	734
<b>Total Receipts</b>	<b>69,676</b>	<b>68,976</b>	<b>71,437</b>	<b>71,512</b>	<b>74,081</b>
<b>DISBURSEMENTS</b>					
Local Assistance Grants	43,314	45,957	49,086	51,650	54,496
School Aid	20,133	21,101	22,679	23,931	25,241
Medicaid/EP	12,136	12,683	13,566	14,470	15,448
All Other	11,045	12,173	12,841	13,249	13,807
State Operations	7,955	8,299	8,655	8,499	8,640
Personal Service	6,011	6,054	6,097	6,135	6,189
Non-Personal Service	1,944	2,245	2,558	2,364	2,451
General State Charges	5,397	5,425	5,824	6,033	6,417
Transfers to Other Funds	11,376	12,160	11,375	12,005	12,156
Debt Service	1,196	706	1,260	1,182	1,076
Capital Projects	2,721	4,461	3,019	3,399	3,311
State Share of Mental Hygiene Medicaid	2,036	1,437	1,325	1,301	1,236
SUNY Operations	998	996	1,001	997	997
All Other	4,425	4,560	4,770	5,126	5,536
<b>Total Disbursements</b>	<b>68,042</b>	<b>71,841</b>	<b>74,940</b>	<b>78,187</b>	<b>81,709</b>
<b>Adherence to 2% Spending Benchmark<sup>1</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>2,956</b>	<b>4,634</b>	<b>6,498</b>
<b>Use (Reservation) of Fund Balance:</b>	<b>(1,634)</b>	<b>2,865</b>	<b>902</b>	<b>1,200</b>	<b>731</b>
Community Projects Fund	11	10	0	0	0
Labor Agreements Prior to FY 2017	35	15	0	0	0
Undesignated Fund Balance	(47)	87	0	0	0
Monetary Settlements <sup>2</sup>	(1,633)	2,753	902	1,200	731
Programmed	(1,088)	2,873	902	1,200	731
Unbudgeted	(545)	(120)	0	0	0
<b>BUDGET SURPLUS/(GAP) PROJECTIONS</b>	<b>0</b>	<b>0</b>	<b>355</b>	<b>(841)</b>	<b>(399)</b>
<p><sup>1</sup> Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.</p> <p><sup>2</sup> FY 2016 and FY 2017 reflect transfers of monetary settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund (\$857 million in FY 2016 and \$1.35 billion in FY 2017); the Environmental Protection Fund (\$120 million in FY 2017); and the mental hygiene account for Federal disallowance repayment (\$850 million in FY 2016).</p>					

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



### State Operating Funds Projections

STATE OPERATING FUNDS PROJECTIONS					
(millions of dollars)					
	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>RECEIPTS</b>					
Taxes	73,279	75,832	79,208	79,989	83,142
Miscellaneous Receipts/Federal Grants	23,328	18,807	18,606	18,799	18,502
<b>Total Receipts</b>	<b>96,607</b>	<b>94,639</b>	<b>97,814</b>	<b>98,788</b>	<b>101,644</b>
<b>DISBURSEMENTS</b>					
Local Assistance Grants	62,653	64,889	67,885	70,742	73,563
School Aid (School Year Basis)	23,290	24,797	25,906	27,219	28,599
DOH Medicaid	17,453	18,184	18,982	19,882	20,741
Transportation	4,745	4,952	5,040	5,097	5,192
STAR	3,335	3,228	2,977	2,921	2,869
Higher Education	2,955	3,031	3,097	3,158	3,195
Social Services	2,949	2,924	2,982	3,015	3,047
Mental Hygiene	2,646	2,571	3,132	3,494	3,738
All Other <sup>1</sup>	5,280	5,202	5,769	5,956	6,182
State Operations	18,583	18,534	18,893	18,885	19,034
Personal Service	12,981	12,841	12,900	13,020	13,113
Non-Personal Service	5,602	5,693	5,993	5,865	5,921
General State Charges	7,452	7,551	8,022	8,302	8,736
Pension Contribution	2,225	2,352	2,463	2,445	2,500
Health Insurance (Active Employees)	2,178	2,343	2,484	2,651	2,831
Health Insurance (Retired Employees)	1,285	1,376	1,459	1,557	1,663
All Other	1,763	1,479	1,616	1,648	1,743
Debt Service	5,598	5,203	6,257	6,771	7,232
Capital Projects	2	3	2	0	0
<b>Total Disbursements</b>	<b>94,288</b>	<b>96,180</b>	<b>101,059</b>	<b>104,700</b>	<b>108,565</b>
Net Other Financing Sources/(Uses)	432	(1,657)	140	(327)	(134)
<b>Adherence to 2% Spending Benchmark<sup>2</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>2,956</b>	<b>4,634</b>	<b>6,498</b>
<b>RECONCILIATION TO GENERAL FUND GAP</b>					
Designated Fund Balances:	(2,751)	3,198	504	764	158
General Fund	(1,634)	2,865	902	1,200	731
Special Revenue Funds	(1,075)	422	(290)	(344)	(413)
Debt Service Funds	(42)	(89)	(108)	(92)	(160)
<b>GENERAL FUND BUDGET SURPLUS/(GAP)</b>	<b>0</b>	<b>0</b>	<b>355</b>	<b>(841)</b>	<b>(399)</b>

<sup>1</sup> All Other includes other education, parks, environment, economic development, public safety, and reconciliation between the basis for school year and State fiscal year spending on School aid.

<sup>2</sup> Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, projected budget gaps would be higher.

## Economic Backdrop

### The National Economy

The U.S. economy has been struggling in recent months, as those sectors most exposed to global forces continue to thwart the positive momentum stemming from more domestically-oriented sectors. This battle has continued unabated since the completion of the 30-day Amendment forecast. The dramatic slide in both oil and equity market prices bottomed in the middle of February, but the damage was done. The national economy, as measured by real U.S. Gross Domestic Product (GDP), grew only 0.5 percent in the first quarter of 2016, following 1.4 percent growth in the fourth quarter of 2015. Both growth rates were well below the average rate of growth over the life of the expansion to-date. Moreover, the most recent high-frequency data suggest that the second quarter likely will only improve to about 2 percent. Consumer spending growth has slowed, export and investment growth remains weak, corporate profits are in virtual recession, and the 10-year Treasury yield remains below 2 percent. DOB is now projecting economic growth of 1.8 percent for 2016, 0.2 percentage point below the 30-day Amendment forecast.

It appears that the Federal Reserve may have injected some volatility into equity and commodity market with its first interest rate hike in 10 years. The U.S. dollar had been strengthening in anticipation of that increase while central banks around the world were moving in the opposite direction, but the dollar's climb intensified following the Federal Open Market Committee (FOMC) decision to move in mid-December of last year, reaching values unseen since the end of 2002. The strong dollar combined with concerns over global growth to send oil prices into a tailspin, with prices falling below \$30 by mid-January. Equity prices moved in lockstep with oil prices, falling 13 percent between December 1, 2015, and February 11 of this year; oil hit its low point of \$26.19 on the same day. Both have rebounded somewhat since, but the volatility likely put a damper on both consumer and financial market activity.

Although the national labor market has decelerated since the second half of last year, it provides the most compelling evidence that, despite the recent volatility, the U.S. economic expansion remains on track. Monthly private sector job gains averaged a healthy 183,000 over the first four months of 2016, though down from 229,000 over the last six months of 2015. However, the labor market's solid performance has been shown to be consistent with relatively weak output growth. Several observations help to shed some light on this seeming paradox. First, almost 50 percent of the job growth over the period from January 2014 through April 2016 has been concentrated in three relatively low-wage/low productivity sectors: healthcare and social assistance; leisure and hospitality; and wholesale and retail trade. Second, the share of workers who are employed part-time remains elevated. While the unemployment rate has unwound 91 percent of its increase during the Great Recession, the increase in the share of part-time employment has only fallen 68 percent. Finally, despite recent strong rates of job growth, the current labor market recovery remains one of the weakest of the postwar era, with only the 2002-2007 expansion posting weaker growth. Total nonagricultural employment growth is expected to exhibit a still-healthy 1.9 percent for 2016, though this is a deceleration from 2.1 percent growth in 2015. However, a

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



continued healthy pace of job growth does not necessarily imply substantially accelerating real GDP growth.

Consistent with decelerating labor market growth and equity market prices remaining below their 2015 peak, household spending growth is also expected to slow going forward. Light vehicle sales appear to have peaked in the fall of last year, averaging 17.3 million on an annualized basis over the first four months of 2016 compared with an average of 17.9 million over the final six months of last year. Moreover, households have been saving a larger percentage of their disposable income since the beginning of the year. As a result, real growth in household consumption of 2.5 percent is projected for 2016, representing a modest downward revision from the 30-Day forecast, following 3.1 percent growth in 2015.

Although the current expansion is expected to extend well beyond its seventh year, those areas of the U.S. economy that are the most exposed to global demand or to the decline in energy prices remain very weak. These include the nation's manufacturing sector outside of autos and the energy mining and extraction industries. Based on the most recent Institute for Supply Management (ISM) Purchasing Managers' Index data, U.S. manufacturing activity is no longer in recession, but growth remains weak in the face of continued sluggish global growth. The possibility of stronger growth later in the year has emerged with the recent decline in the value of the dollar, down about 5 percent from its January peak. Nevertheless, real growth in U.S. exports has been revised down to 0.9 percent for 2016, though the weaker dollar brightens the outlook for later this year and 2017.

Consistent with a weaker dollar, oil prices rose above \$40 per barrel in March and have remained there. But the March U.S. oil rig count was 55 percent lower compared with the same month last year, and real investment in structures related to mining exploration and shafts and wells continues to fall. Moreover, equipment investment in mining, oilfield and gas field machinery is also in decline. DOB estimates that real growth in non-residential fixed investment will weaken further to 1.0 percent in 2016, following 2.8 percent growth in 2015; both represent downward revisions from the 30-day Amendment forecast.

Despite slowing elsewhere in the economy, the housing market has remained resilient, with revised data now pointing toward a stronger 2015 than originally estimated. Housing starts exhibited monthly average growth of 1.2 percent over the course of 2015, a further improvement from the 0.9 percent increase observed for 2014. However, virtually all of that strength was in the first six months of the year, with a softening occurring in the second half and continuing into the first quarter of 2016. Multi-family construction, though still outpacing single-family starts, slowed down further entering 2016, implying more tepid spillover effects to the rest of the economy than observed in prior housing cycles. DOB has revised up its projection for real residential investment growth to 8.5 percent for 2016, following 8.9 percent growth in 2015.

With energy prices rising and the dollar below its recent peak, inflation expectations appear to be rising as well. DOB now estimates consumer price inflation of 1.1 percent for 2016, marginally above the 30-day Amendment forecast. But with a more subdued outlook for both domestic and global growth, inflation expectations are expected to remain below the Federal Reserve Board's target rate over the medium-term. With weaker than expected domestic demand, significant risks



from the global economy, average wage growth only beginning to improve, and the 10-year Treasury yield remaining stubbornly below 2 percent, the Federal Reserve is now likely to implement only two federal funds rate hikes during 2016, one in June and the other in December.

<b>U.S. ECONOMIC INDICATORS</b>			
<b>(Percent change from prior calendar year)</b>			
	<b>2015</b>	<b>2016</b>	<b>2017</b>
	<b>(Actual)</b>	<b>(Forecast)</b>	<b>(Forecast)</b>
Real U.S. Gross Domestic Product	2.4	1.8	2.4
Consumer Price Index (CPI)	0.1	1.1	2.1
Personal Income	4.4	4.1	4.7
Nonagricultural Employment	2.1	1.9	1.6

Source: Moody's Analytics; DOB staff estimates.

Although DOB has dimmed its economic outlook, there are still significant risks to this forecast. If the euro-area and Chinese economies are more sluggish than expected, even slower export and corporate profits growth than reflected in this forecast could result and even weaker equity market growth could follow. If the labor market should slow significantly and domestic demand decelerate further than anticipated, the current expansion's growth engine could run out of power and thereby constitute an even more significant drag on the national economy than the drag from abroad. The U.S. presidential election adds yet one more layer of uncertainty. In contrast, if the actions of central banks around the globe to stimulate their economies, which have included negative interest rates and expanding fiscal policy, are more effective than expected, export, profits, and equity market growth could be stronger than projected. Finally, when the expansion eventually strengthens, the response of both domestic and global financial markets to the unwinding of the Federal Reserve's unprecedentedly accommodative policies will continue to pose a risk, particularly given the lack of experience upon which to draw.

## The New York State Economy

The State's private sector labor market continues to surprise to the upside, despite a softening national and global backdrop. The most recent detailed data indicate continued robust growth in professional and business services, transportation and warehousing, construction and real estate services, education, and health care. As a result, DOB's outlook for private sector job growth for 2016 was revised up slightly from 1.5 percent to 1.6 percent, following strong growth of 2.2 percent for 2015. Total employment growth for both 2015 and 2016 were also slightly revised up to 1.9 percent and 1.4 percent, respectively.

Continued strong job growth has also led to an upward revision to non-bonus wage growth to 5.0 percent for FY 2016, the strongest since the start of the State's most recent recession. However, the equity market correction that began late last year is estimated to have weighed even more heavily on bonus payouts for the 2015-16 bonus season than originally thought. As a result, estimated finance and insurance sector bonus growth for FY 2016 has been revised down to a decline of 6.3 percent. On balance, overall wage growth for FY 2016 has been revised up to

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



4.6 percent with strong non-bonus wage growth more than compensating for the large decline in bonuses. Finance and insurance sector bonuses are projected to grow 3.7 percent for FY 2017, but from a lower level than the 30-Day estimate, with overall wage growth now projected at 4.3 percent.

<b>NEW YORK STATE ECONOMIC INDICATORS</b>			
<b>(Percent change from prior State fiscal year)</b>			
	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
	<b>(Actual)</b>	<b>(Estimated)</b>	<b>(Forecast)</b>
Personal Income	3.5	4.3	4.7
Wages	4.4	4.6	4.3
Nonagricultural Employment	1.9	1.8	1.3

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

The performance of the State's private-sector labor market remains robust, but there are significant risks to the forecast. All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. If equity market growth proves to be weaker than anticipated, bonus payouts for the 2016-17 bonus season could be much lower than anticipated. Moreover, under the still evolving regulatory environment, the pattern of Wall Street bonus payouts continues to shift, with payments now more widely dispersed throughout the year. Taxable payouts can represent both current-year awards and deferred payments from prior years, with the deferral ratio itself proving to be unstable. As a result, the uncertainty surrounding bonus projections continues to mount. Recent events also have demonstrated how sensitive financial markets can be to shifting expectations surrounding energy prices, Federal Reserve policy, and global growth. Financial market gyrations are likely to have a larger impact on the State economy than on the nation as a whole. Should financial and real estate markets be either weaker or stronger than we expect, both bonuses and taxable capital gains realizations could be correspondingly affected.

## All Funds Receipts Projections

Financial Plan receipts include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
Personal Income Tax	47,055	49,464	5.1%	51,755	4.6%	51,734	0.0%	53,743	3.9%
Consumption/Use Taxes	15,725	16,134	2.6%	16,866	4.5%	17,453	3.5%	18,005	3.2%
Business Taxes	7,884	7,994	1.4%	8,323	4.1%	8,448	1.5%	8,863	4.9%
Other Taxes	2,703	2,183	-19.2%	2,174	-0.4%	2,191	0.8%	2,292	4.6%
Payroll Tax	1,306	1,353	3.6%	1,416	4.7%	1,485	4.9%	1,562	5.2%
<b>Total State Taxes</b>	<b>74,673</b>	<b>77,128</b>	<b>3.3%</b>	<b>80,534</b>	<b>4.4%</b>	<b>81,311</b>	<b>1.0%</b>	<b>84,465</b>	<b>3.9%</b>
Miscellaneous Receipts	27,268	23,567	-13.6%	25,875	9.8%	25,308	-2.2%	24,802	-2.0%
Federal Receipts	51,324	51,651	0.6%	52,733	2.1%	53,490	1.4%	54,512	1.9%
<b>Total All Fund Receipts</b>	<b>153,265</b>	<b>152,346</b>	<b>-0.6%</b>	<b>159,142</b>	<b>4.5%</b>	<b>160,109</b>	<b>0.6%</b>	<b>163,779</b>	<b>2.3%</b>

All Funds receipts in FY 2017 are estimated at \$152.3 billion, 0.6 percent below FY 2016 results. State tax receipts are expected to increase 3.3 percent in FY 2017. The increase in PIT receipts is primarily due to withholding growth and a decline in refunds, while the decline in other taxes is the result of one-time factors affecting FY 2016 and the continued phase-in of the estate tax cut. The miscellaneous receipts decline in FY 2017 is primarily due to the substantial decline in monetary settlement payments from financial institutions.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



Consistent with the projected growth in the New York economy over the multi-year Financial Plan period beyond FY 2017, all tax categories are expected to exhibit growth. The Other tax category is expected to display a near term decline due to tax cuts enacted in 2014, but is expected to resume growth in the long term.

After controlling for the impact of tax law changes, base tax revenue increased 5.4 percent in FY 2016, and is projected to increase by 3.5 percent in FY 2017 and 5.3 percent in FY 2018.

### Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>47,055</b>	<b>49,464</b>	<b>5.1%</b>	<b>51,755</b>	<b>4.6%</b>	<b>51,734</b>	<b>0.0%</b>	<b>53,743</b>	<b>3.9%</b>
Gross Collections	56,600	58,540	3.4%	61,895	5.7%	62,741	1.4%	66,091	5.3%
Refunds (Incl. State/City Offset)	(9,545)	(9,076)	4.9%	(10,140)	-11.7%	(11,007)	-8.6%	(12,348)	-12.2%
<b>GENERAL FUND<sup>1</sup></b>	<b>31,957</b>	<b>33,870</b>	<b>6.0%</b>	<b>35,839</b>	<b>5.8%</b>	<b>35,879</b>	<b>0.1%</b>	<b>37,438</b>	<b>4.3%</b>
Gross Collections	56,600	58,540	3.4%	61,895	5.7%	62,741	1.4%	66,091	5.3%
Refunds (Incl. State/City Offset)	(9,545)	(9,076)	4.9%	(10,140)	-11.7%	(11,007)	-8.6%	(12,348)	-12.2%
STAR	(3,335)	(3,228)	3.2%	(2,977)	7.8%	(2,921)	1.9%	(2,869)	1.8%
RBTF	(11,763)	(12,366)	-5.1%	(12,939)	-4.6%	(12,934)	0.0%	(13,436)	-3.9%

<sup>1</sup>Excludes Transfers.

All Funds personal income tax receipts for FY 2017 are estimated to be \$49.5 billion, an increase of \$2.4 billion (5.1 percent) from FY 2016 results. This increase includes growth in withholding, estimated payments attributable to the 2016 tax year, final returns, and delinquency collections, coupled with a moderate decline in total refunds related to the decrease of the administrative refund cap in January to March 2017. Growth in these categories is partially offset by a decline in extension payments attributable to the 2015 tax year.

The following table summarizes, by component, actual receipts for FY 2016 and forecast amounts through FY 2020.

<b>PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS</b>				
<b>ALL FUNDS</b>				
<b>(millions of dollars)</b>				
	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
	<b>Enacted</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>Receipts</b>				
Withholding	38,356	39,802	41,056	43,158
Estimated Payments	16,106	17,805	17,194	18,244
Current Year	12,045	13,159	12,102	13,152
Prior Year <sup>1</sup>	4,061	4,646	5,092	5,092
Final Returns	2,720	2,891	3,034	3,168
Current Year	280	292	292	292
Prior Year <sup>1</sup>	2,440	2,599	2,742	2,876
Delinquent	1,358	1,397	1,457	1,521
Gross Receipts	58,540	61,895	62,741	66,091
<b>Refunds</b>				
Prior Year <sup>1</sup>	5,037	6,366	6,608	7,556
Previous Years	718	689	714	744
Current Year <sup>1</sup>	1,750	1,750	1,750	1,750
Advanced Credit Payment	883	647	1,247	1,709
State/City Offset <sup>1</sup>	688	688	688	589
Total Refunds	9,076	10,140	11,007	12,348
<b>Net Receipts</b>	<b>49,464</b>	<b>51,755</b>	<b>51,734</b>	<b>53,743</b>

<sup>1</sup>These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2017 is estimated to be \$1.8 billion (4.9 percent) higher than FY 2016 results, due mainly to moderate estimated wage growth. Extension payments related to tax year 2015 are estimated to decrease by \$489 million (10.7 percent), primarily due to payment-timing differences relative to tax year 2014 payments (taxpayers paid a higher percentage of their tax year 2015 liability through estimated payments and a lower percentage through extensions). Estimated payments for tax year 2016 are projected to be \$484 million (4.2 percent) higher. Final return payments and delinquencies are projected to be \$90 million (3.4 percent) higher and \$48 million (3.7 percent) higher than FY 2016 results, respectively.

The projected decrease in total refunds of \$469 million (4.9 percent) includes a \$93 million decline (1.8 percent) in prior (tax year 2015) refunds, a \$100 million (16.2 percent) increase in previous (tax year 2014 and earlier) refunds, an \$801 million (31.4 percent) decline in current (tax year 2016) refunds (due to a decrease in the January to March 2017 administrative refund cap), a \$312 million (54.6 percent) increase in advanced credit payments related to tax year 2016, and a \$13 million (1.9 percent) increase in the state-city offset. The advanced credit payment forecast includes \$98 million in payments attributable to the conversion of the STAR homeowners' benefit to a personal income tax credit.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2017 of \$33.9 billion are estimated to

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



increase by \$1.9 billion (6 percent) from FY 2016 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$12.4 billion and the STAR transfer is projected to be \$3.2 billion.

All Funds PIT receipts for FY 2018 of \$51.8 billion are projected to increase by \$2.3 billion (4.6 percent) from FY 2017 estimates. Gross receipts are projected to increase 5.7 percent, reflecting withholding that is projected to grow by \$1.4 billion (3.8 percent) and estimated payments related to tax year 2017 that are projected to grow by \$1.1 billion (9.2 percent). The relatively weak growth in withholding is attributable to the combination of the newly-enacted middle income tax cuts and the scheduled decline of the current top marginal tax rate from 8.82 percent to 6.85 percent, both effective for tax year 2018. Payments from extensions for tax year 2016 are projected to increase by \$585 million (14.4 percent) and final returns are expected to increase \$171 million (6.3 percent). Delinquencies are projected to increase \$39 million (2.9 percent) from the prior year. Total refunds are projected to increase by \$1.1 billion (11.7 percent) from the prior year. The aforementioned figures include a \$236 million reduction in withholding and a \$281 million increase in total refunds attributable to FY 2017 Enacted Budget legislation.

General Fund PIT receipts for FY 2018 of \$35.8 billion are projected to increase by \$2 billion (5.8 percent). RBTF deposits are projected to be \$12.9 billion, and the STAR transfer is projected to be \$3 billion.

All Funds PIT receipts in FY 2019 are projected to decrease by \$21 million to \$51.7 billion, while General Fund PIT receipts are projected to total \$35.9 billion. Projected near-flat growth in FY 2019 receipts is due to the aforementioned expiration of the current top income tax rate at the end of tax year 2017, combined with continued phase-in of the just enacted middle income tax cuts. Legislation included in the FY 2017 Enacted Budget is projected to reduce FY 2019 collections by \$1.7 billion.

All Funds income tax receipts are projected to increase by \$2 billion (3.9 percent) in FY 2020 to reach \$53.7 billion, while General Fund receipts are projected to total \$37.4 billion.

## Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>15,725</b>	<b>16,134</b>	<b>2.6%</b>	<b>16,866</b>	<b>4.5%</b>	<b>17,453</b>	<b>3.5%</b>	<b>18,005</b>	<b>3.2%</b>
Sales Tax	13,359	13,870	3.8%	14,573	5.1%	15,192	4.2%	15,780	3.9%
Cigarette and Tobacco Taxes	1,251	1,226	-2.0%	1,192	-2.8%	1,151	-3.4%	1,105	-4.0%
Motor Fuel Tax	503	494	-1.8%	491	-0.6%	486	-1.0%	483	-0.6%
Highway Use Tax	158	84	-46.8%	138	64.3%	140	1.4%	141	0.7%
Alcoholic Beverage Taxes	255	258	1.2%	263	1.9%	268	1.9%	273	1.9%
Medical Marihuana Excise Tax	0	4	0.0%	4	0.0%	4	0.0%	4	0.0%
Taxicab Surcharge	73	70	-4.1%	70	0.0%	70	0.0%	70	0.0%
Auto Rental Tax	126	128	1.6%	135	5.5%	142	5.2%	149	4.9%
<b>GENERAL FUND<sup>1</sup></b>	<b>6,819</b>	<b>7,087</b>	<b>3.9%</b>	<b>7,424</b>	<b>4.8%</b>	<b>7,712</b>	<b>3.9%</b>	<b>7,983</b>	<b>3.5%</b>
Sales Tax	6,242	6,481	3.8%	6,816	5.2%	7,109	4.3%	7,386	3.9%
Cigarette and Tobacco Taxes	322	348	8.1%	345	-0.9%	335	-2.9%	324	-3.3%
Alcoholic Beverage Taxes	255	258	1.2%	263	1.9%	268	1.9%	273	1.9%

<sup>1</sup>Excludes Transfers.

All Funds consumption/use tax receipts for FY 2017 are estimated to be \$16.1 billion, an increase of \$409 million (2.6 percent) from FY 2016 results. Sales tax receipts are estimated to increase \$511 million (3.8 percent) from the prior year, resulting from 3.7 percent base (i.e., absent law changes) growth. This base growth stems from estimated moderate disposable income, employment, and consumption growth. Cash results are reduced by agreements between certain mobile telecommunications providers and the State to allow such providers to remit less sales tax for a period in lieu of receiving State refunds due to them under Tax Law Section 184. These agreements resulted from acknowledgement by DTF that a mobile telecommunications provider was not subject to the Tax Law Section 184 franchise tax imposed on them between 2005 and 2014. Cigarette and tobacco tax collections are estimated to decline \$25 million (2 percent), primarily reflecting trend declines in taxable cigarette consumption, partially offset by a decrease in cigar tax refunds resulting in part from a nonbinding Administrative Law Judge Determination (Matter of Davidoff of Geneva, Inc.). Highway use tax collections are expected to decrease by \$74 million (46.8 percent) due to refunds associated with Independent Owner Operator Drivers Association versus New York Department of Taxation and Finance as well as a reduction in continuing registration fees resulting from the same litigation. Motor fuel tax collections are expected to decrease \$9 million (1.8 percent), reflecting an expected increase in refunds combined with a slight decline in taxable motor fuel consumption, partially offset by slight growth in diesel consumption. Taxicab receipts are estimated to decline by \$3 million (4.1 percent) as the result of consumers choosing alternative transportation services not subject to the surcharge.

General Fund sales and use tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on State sales and use tax revenue bonds. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



General Fund consumption/use tax receipts for FY 2017 are estimated to total nearly \$7.1 billion, an increase of \$268 million (3.9 percent) from FY 2016 results. This increase largely reflects the All Funds sales, cigarette, and tobacco tax trends noted above.

All Funds consumption/use tax receipts for FY 2018 are projected to be \$16.9 billion, an increase of \$732 million (4.5 percent) from the current year. The projected \$703 million (5.1 percent) increase in sales tax receipts reflects sales tax base growth of 4.1 percent, due to projected disposable income, employment, and consumption growth.

General Fund consumption/use tax receipts are projected to total \$7.4 billion in FY 2018, a \$337 million (4.8 percent) increase from the current year. The projected increase largely reflects the All Funds sales, cigarette, and tobacco tax trends noted above.

All Funds consumption/use tax receipts are projected to increase to nearly \$17.5 billion (3.5 percent growth) in FY 2019 and to \$18 billion (3.2 percent growth) in FY 2020, largely representing base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

General Fund consumption/use tax receipts are projected to total \$7.7 billion (3.9 percent growth) in FY 2019 and nearly \$8 billion (3.5 percent growth) in FY 2020, reflecting the All Funds trends noted above.

### Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>7,884</b>	<b>7,994</b>	<b>1.4%</b>	<b>8,323</b>	<b>4.1%</b>	<b>8,448</b>	<b>1.5%</b>	<b>8,863</b>	<b>4.9%</b>
Corporate Franchise Tax	4,527	4,483	-1.0%	4,780	6.6%	4,822	0.9%	5,222	8.3%
Corporation and Utilities Tax	774	738	-4.7%	732	-0.8%	744	1.6%	754	1.3%
Insurance Tax	1,580	1,477	-6.5%	1,572	6.4%	1,701	8.2%	1,784	4.9%
Bank Tax	(121)	203	267.8%	190	-6.4%	143	-24.7%	71	-50.3%
Petroleum Business Tax	1,124	1,093	-2.8%	1,049	-4.0%	1,038	-1.0%	1,032	-0.6%
<b>GENERAL FUND</b>	<b>5,647</b>	<b>5,750</b>	<b>1.8%</b>	<b>6,078</b>	<b>5.7%</b>	<b>6,155</b>	<b>1.3%</b>	<b>6,538</b>	<b>6.2%</b>
Corporate Franchise Tax	3,763	3,688	-2.0%	3,950	7.1%	3,949	0.0%	4,312	9.2%
Corporation and Utilities Tax	594	568	-4.4%	559	-1.6%	563	0.7%	569	1.1%
Insurance Tax	1,419	1,321	-6.9%	1,407	6.5%	1,521	8.1%	1,597	5.0%
Bank Tax	(129)	173	234.1%	162	-6.4%	122	-24.7%	60	-50.8%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2017 are estimated at \$8 billion, an increase of \$110 million (1.4 percent) from FY 2016 results. The estimate primarily reflects an increase in the bank tax of \$324 million and a combined decrease of \$214 million among all other taxes.

Corporation franchise tax receipts are estimated to decrease \$44 million (1 percent) in FY 2017, reflecting additional elements of corporate tax reform (a reduction in the business income tax rate from 7.1 percent to 6.5 percent and the first year of the capital tax base phase-out). Offsetting the majority of this reduction is an increase in expected audit receipts of \$454 million.



Corporation and utilities tax receipts are expected to decrease \$36 million (4.7 percent) in FY 2017. Gross receipts are expected to increase from FY 2016 results, while audits are expected to be lower. In FY 2016 several telecommunication audit cases were closed. This is not expected to recur in FY 2017.

Insurance tax receipts for FY 2017 are expected to decrease \$103 million (6.5 percent) from FY 2016 results. Projected growth in insurance tax premiums is more than offset by the first full year impact of the tax credit for assessments paid to the Life Insurance Guaranty Corporation (LIGC.) It is expected that taxpayers will lower their 2016 estimated payments to reflect this non-refundable tax credit. The LIGC exists to protect policyholders from the insolvency of their insurers. Audits and refunds are also expected to reflect historical trends.

Bank tax receipts are estimated to increase by \$324 million in FY 2017. The increase stems from an estimated reduction in prior period adjustments. Audit receipts are estimated to increase \$17 million from FY 2016 results.

PBT receipts are estimated to decrease \$31 million (2.8 percent) in FY 2017, primarily due to the 5 percent decrease in the PBT rate index effective January 2016, and the estimated 5 percent decrease effective January 2017, and an estimated slight decline in taxable motor fuel consumption. These declines are partially offset by estimated slight growth in diesel fuel consumption.

General Fund business tax receipts for FY 2017 of \$5.8 billion are estimated to increase \$103 million (1.8 percent) from FY 2016 results, reflecting the All Funds trends discussed above.

<b>ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS</b>					
<b>(millions of dollars)</b>					
	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Enacted</b>
<b>Corporate Franchise Tax</b>	<b>3,009</b>	<b>3,812</b>	<b>3,548</b>	<b>4,527</b>	<b>4,483</b>
Audit	752	1,157	615	652	1,106
Non-Audit	2,257	2,655	2,933	3,875	3,377
<b>Corporation and Utilities Taxes</b>	<b>894</b>	<b>797</b>	<b>727</b>	<b>774</b>	<b>738</b>
Audit	100	56	16	82	44
Non-Audit	794	741	711	692	694
<b>Insurance Taxes</b>	<b>1,509</b>	<b>1,444</b>	<b>1,533</b>	<b>1,580</b>	<b>1,477</b>
Audit	34	22	22	24	31
Non-Audit	1,475	1,422	1,511	1,556	1,446
<b>Bank Taxes</b>	<b>1,912</b>	<b>1,050</b>	<b>1,536</b>	<b>(121)</b>	<b>203</b>
Audit	405	102	809	267	284
Non-Audit	1,507	948	727	(388)	(81)
<b>Petroleum Business Taxes</b>	<b>1,140</b>	<b>1,155</b>	<b>1,158</b>	<b>1,124</b>	<b>1,093</b>
Audit	5	7	15	4	7
Non-Audit	1,135	1,148	1,143	1,120	1,086
<b>Total Business Taxes</b>	<b>8,464</b>	<b>8,258</b>	<b>8,502</b>	<b>7,884</b>	<b>7,994</b>
Audit	1,296	1,344	1,477	1,029	1,472
Non-Audit	7,168	6,914	7,025	6,855	6,522

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



All Funds business tax receipts for FY 2018 of \$8.3 billion are projected to increase by \$329 million (4.1 percent) from the current year. The increase in corporation franchise tax receipts of \$297 million (6.6 percent) reflects full implementation of the majority of the corporate tax reform changes with liability growth reflecting projected growth in corporate profits. The corporation and utilities tax receipts decline of \$6 million (0.8 percent) reflects lower telecommunications receipts partially offset by a modest increase in utility tax revenue. Insurance tax receipts are projected to increase \$95 million (6.4 percent). Projected growth in insurance tax premiums combined with lower expected LIGC credit claims contributes to year-over-year growth. Bank tax receipts are projected to decrease by \$13 million (6.4 percent), due to lower projected audit receipts. PBT receipts are projected to decline \$44 million (4 percent) in FY 2018, primarily due to the projected 5 percent decrease in the PBT rate index effective January 2017 noted above and projected modest declines in taxable motor fuel consumption, partially offset by growth in diesel fuel consumption.

General Fund business tax receipts for FY 2018 of \$6.1 billion are projected to increase \$328 million (5.7 percent), reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2019 and FY 2020 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to over \$8.4 billion (1.5 percent growth) in FY 2019, and increase to \$8.9 billion (4.9 percent growth) in FY 2020. General Fund business tax receipts are expected to increase to \$6.2 billion (1.3 percent growth) in FY 2019 and \$6.5 billion (6.2 percent growth) in FY 2020.

### Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>2,703</b>	<b>2,183</b>	<b>-19.2%</b>	<b>2,174</b>	<b>-0.4%</b>	<b>2,191</b>	<b>0.8%</b>	<b>2,292</b>	<b>4.6%</b>
Estate Tax	1,521	1,024	-32.7%	949	-7.3%	912	-3.9%	963	5.6%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Estate Transfer Tax	1,163	1,138	-2.1%	1,204	5.8%	1,258	4.5%	1,308	4.0%
Pari-Mutuel Taxes	17	18	5.9%	18	0.0%	18	0.0%	18	0.0%
All Other Taxes	2	3	50.0%	3	0.0%	3	0.0%	3	0.0%
<b>GENERAL FUND<sup>1</sup></b>	<b>1,540</b>	<b>1,045</b>	<b>-32.1%</b>	<b>970</b>	<b>-7.2%</b>	<b>933</b>	<b>-3.8%</b>	<b>984</b>	<b>5.5%</b>
Estate Tax	1,521	1,024	-32.7%	949	-7.3%	912	-3.9%	963	5.6%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	17	18	5.9%	18	0.0%	18	0.0%	18	0.0%
All Other Taxes	2	3	50.0%	3	0.0%	3	0.0%	3	0.0%

<sup>1</sup>Excludes Transfers.

All Funds other tax receipts for FY 2017 are estimated to be slightly below \$2.2 billion, a \$520 million (19.2 percent) decrease from FY 2016 results. This largely reflects an estimated decline in estate tax receipts of \$497 million (32.7 percent) due to the continued phase-in of the increased filing threshold, and an expected return to historical levels of super large payments (i.e., payments over \$25 million). Additionally, real estate transfer tax receipts are projected to decrease \$25 million (2.1 percent) due to the combination of a small estimated decrease in the

volume of transactions in New York City and a large estimated decrease in housing starts statewide, partially offset by year-over-year price growth. The transaction decline is partially due to a building permit shift from FY 2017 into FY 2016 caused by the uncertainty that surrounded the extension of New York City property tax abatement legislation. The remaining taxes in this category are estimated to generate an additional \$2 million (10.5 percent) largely due to legislation that legalized mixed martial arts.

General Fund other tax receipts are expected to be well over \$1 billion in FY 2017, a \$495 million (32.1 percent) decrease from FY 2016 results, reflecting the decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2018 are projected to slightly decline due to a projected decrease in estate tax receipts resulting from the continued phase-in of the increased filing threshold, partially offset by projected growth in household net worth. Additionally, real estate transfer tax receipts are projected to increase in FY 2018 reflecting projected growth in housing starts and housing prices.

General Fund other tax receipts for FY 2018 are projected to decrease by \$75 million (7.2 percent), due to the projected decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2019 and FY 2020 reflect tax cuts, projected trends in household net worth, housing starts and housing prices. All Funds other tax receipts are projected to increase slightly (0.8 percent) in FY 2019, then resume trend growth (4.6 percent) in FY 2020. General Fund other tax receipt estimates for FY 2019 and FY 2020 are projected to decrease by 3.8 percent, then increase by 5.5 percent, respectively, due to the projected changes in estate tax receipts noted in the table above.

## Miscellaneous Receipts and Federal Grants

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2016 Results	FY 2017 Current	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>ALL FUNDS</b>	<b>27,268</b>	<b>23,567</b>	<b>-13.6%</b>	<b>25,875</b>	<b>9.8%</b>	<b>25,308</b>	<b>-2.2%</b>	<b>24,802</b>	<b>-2.0%</b>
General Fund	5,842	2,813	-51.8%	2,486	-11.6%	2,455	-1.2%	2,318	-5.6%
Special Revenue Funds	17,117	15,681	-8.4%	15,797	0.7%	16,025	1.4%	15,867	-1.0%
Capital Projects Funds	3,822	4,618	20.8%	7,127	54.3%	6,367	-10.7%	6,158	-3.3%
Debt Service Funds	487	455	-6.6%	465	2.2%	461	-0.9%	459	-0.4%

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, monetary settlements and a variety of fees and licenses.

All Funds miscellaneous receipts are projected to total \$23.6 billion in FY 2017, a decrease of 13.6 percent from FY 2016 results. This decrease is primarily due to the impact of extraordinary monetary settlements received in the General Fund during FY 2016, as described earlier in this Enacted Budget Financial Plan. In addition to the impact of monetary settlements, declining

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



FY 2017 miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, and tuition income revenue.

All Funds miscellaneous receipts are projected to increase in FY 2018, largely reflecting the expected timing of bond proceed reimbursement for capital expenditures, and remain relatively flat in FY 2019 and FY 2020.

FEDERAL GRANTS (millions of dollars)									
	FY 2016 Results	FY 2017 Current	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>ALL FUNDS</b>	<b>51,324</b>	<b>51,651</b>	<b>0.6%</b>	<b>52,733</b>	<b>2.1%</b>	<b>53,490</b>	<b>1.4%</b>	<b>54,512</b>	<b>1.9%</b>
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	49,105	49,416	0.6%	50,567	2.3%	51,326	1.5%	52,292	1.9%
Capital Projects Funds	2,146	2,162	0.7%	2,093	-3.2%	2,091	-0.1%	2,147	2.7%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are expected to grow to \$54.5 billion by FY 2020, primarily reflecting the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to continuing administration and Congressional authorization, appropriations and budget action.

## Enacted Budget Tax Law Changes

The Enacted Budget contains tax law changes that strengthen opportunities for working and middle class families, promote economic activity, simplify taxation, and reduce STAR spending.

TAX CUTS AND CREDITS (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Provide Middle-Class Personal Income Tax Cuts	-	(236)	-	(236)
Enhance the Urban Youth Jobs Program Tax Credit	-	(30)	-	(30)
Establish Additional Alcohol Beverage Tax Tasting Exemptions and Production Credits	(1)	(3)	(1)	(3)
Exempt Commercial Fuel Cell Systems from the Sales Tax	(4)	(2)	(4)	(2)
Provide Farm Workforce Retention Credit	-	-	-	-
Make Special Additional Mortgage Recording Tax (SAMRT) Credit Refundable	(15)	(15)	(15)	(15)
<b>TOTAL TAX CUTS AND CREDITS</b>	<b>(20)</b>	<b>(286)</b>	<b>(20)</b>	<b>(286)</b>

## Tax Cuts and Credits

- Provide Middle-Class Personal Income Tax Cuts.** To lower the tax burden on New York's middle-income families and small businesses, the Enacted Budget provides reduced tax rates over the course of eight years. When fully phased-in, the range of marginal tax rates on middle incomes will be reduced from between 5.9 percent and 6.65 percent to between 5.5 percent and 6 percent, providing annual savings of \$4.2 billion for 6 million middle-class taxpayers. Without the benefit of this legislation, these taxpayers would have faced an increased marginal tax rate of 6.85 percent after the end of tax year 2017.

MIDDLE CLASS PERSONAL INCOME TAX CUTS ESTIMATED REVENUE LOSS BY FISCAL YEAR (millions of dollars)		
FY 2018	FY 2019	FY 2020
(236)	(1,071)	(1,504)

- Enhance the Urban Youth Jobs Program Tax Credit.** This program encourages businesses to hire unemployed, disadvantaged youth, ages 16 to 24, living in the cities and towns with the highest poverty and unemployment rates. The annual allocation is increased from \$20 million to \$50 million for hiring years 2016 and 2017, of which \$20 million annually may be allocated statewide.
- Establish Additional Alcohol Beverage Tax Tasting Exemptions and Production Credits.** The beer, wine and spirits industry has created over 1,600 jobs since mid-2011. Further, wine, beer, cider and liquor tastings are a tourist attraction, helping bolster travel and exploration of New York products. Previously, only alcoholic beverages used in tastings were exempt from the sales and use tax. The Budget expands benefits for this industry by also: exempting any product used in on-site tastings from the alcoholic beverage tax; amending the beer production credit to also include New York wine, liquor and cider production; and conforming provisions of the Tax Law with the Alcoholic Beverage Control Law to eliminate confusion and uncertainty.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



- Exempt Commercial Fuel Cell Systems from the Sales Tax.** Exempts from State sales and use taxes the cost of purchasing or leasing commercial fuel cell systems, the services of installing and maintaining such systems, and the sale of hydrogen or electricity by the entity that sells or leases such systems for a qualifying purpose. Localities have the right to opt-in to such exemption.
- Provide Farm Workforce Retention Credit.** The Enacted Budget provides farm businesses across New York with tax cuts designed to both assist in the retention of existing farm employees and promote the hiring of new employees, while aiding farmers as they adapt to the higher minimum wage. In tax year 2017, every farm business in New York is entitled to a benefit equal to \$250 for each qualified farm employee, with the benefit increasing to \$600 per qualified farm employee by tax year 2021. Qualified farm employees include all employees, excluding general executive officers, that meet a threshold of 500 labor hours.
- Make Special Additional Mortgage Recording Tax (SAMRT) Credit Refundable.** The Enacted Budget allows Article 9-A taxpayers to claim a refund of the credit attributable to the special additional mortgage recording tax that a taxpayer pays on or after January 1, 2015 as a lender with respect to residential mortgages. Previously this credit was non-refundable.

### Tax Simplification Actions

TAX SIMPLIFICATION ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Simplify the Taxation of Remarketed Rooms	-	-	-	-
<b>TOTAL TAX SIMPLIFICATION ACTIONS</b>	-	-	-	-

- Simplify the Taxation of Remarketed Rooms.** The Budget streamlines the collection of sales tax on remarketed hotel rooms. The purchase of hotel room occupancies by room remarketers such as Travelocity or Hotels.com will be exempt from the sales tax when those purchases are made from hotels for later resale to consumers. Previously, room remarketers collected the full amount of tax at the time of final sale to the consumer, and then requested a refund from the Department of Taxation and Finance for the amount of tax already remitted to the hotel.

### Enforcement Initiatives

ENFORCEMENT INITIATIVES (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Expand Motor Fuel Wholesaler Registration Requirements	1	3	3	10
<b>TOTAL ENFORCEMENT INITIATIVES</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>10</b>

- Expand Motor Fuel Wholesaler Registration Requirements.** The Enacted Budget requires certain wholesalers of motor fuel to register with the Department of Taxation and Finance, and to file informational returns. This information will be used to detect and prevent bootlegging and tax evasion.

## Tax Law Extenders

TAX LAW EXTENDERS (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Extend the Empire State Commercial Production Tax Credit for Two Years	-	-	-	-
Authorize Additional Credits of \$8 Million for the Low-Income Housing Credit for Each of the Next Five Fiscal Years	-	(8)	-	(8)
Extend the Hire-A-Vet Credit for Two Years	-	-	-	-
Extend the Clean Heating Fuel Credit for Three Years	-	-	-	-
Extend the Alternative Fuels Tax Exemptions for Five Years	(1)	(1)	(2)	(4)
Extend the Excelsior Jobs Program for Two Years	-	-	-	-
Extend the Credit for Companies who Provide Transportation to Individuals with Disabilities for Six Years	-	-	-	-
Permanently Extend the Non-Custodial Earned Income Tax Credit	-	-	-	-
Extend Tax Shelter Reporting Requirements for Four Years	18	18	18	18
Make Permanent, Extend, and Update Certain Modernization Provisions of the Tax Law	-	-	-	-
<b>TOTAL TAX LAW EXTENDERS</b>	<b>17</b>	<b>9</b>	<b>16</b>	<b>6</b>

**Extend the Empire State Commercial Production Tax Credit for Two Years.** This tax credit covers 20 percent of qualified commercial production costs in New York State that exceed the average of the three prior years' costs, plus five percent of costs above \$500,000 in the Metropolitan Commuter Transportation District (MCTD), and above \$200,000 outside the MCTD.

- Authorize an Additional \$8 Million for the Low-Income Housing Credit in Each of the Next Five Fiscal Years.** To help expand affordable housing in New York, this program provides credits to develop qualifying low income housing projects. The Enacted Budget authorizes the Division of Housing and Community Renewal to allocate an additional \$8 million for the program in each of the next five fiscal years. Credits are awarded in equal installments for a ten-year period. The total amount of credits to be awarded from this new authorization will be \$400 million over a multi-year period.
- Extend the Hire-A-Vet Credit for Two Years.** To support veterans in their return to the workforce, the Enacted Budget extends this credit for an additional two years, adding the 2016 and 2017 hiring periods. The program provides a refundable tax credit to employers equal to 10 percent of wages paid to a qualified veteran (up to \$5,000) and 15 percent of wages paid to a disabled veteran (up to \$15,000).
- Extend the Clean Heating Fuel Credit for Three Years.** To support efforts to reduce greenhouse gas emissions and to mitigate the effects of climate change, the Enacted Budget modifies and extends, for an additional three years, the Clean Heating Fuel credit. The current credit is equal to one cent per percent of biodiesel per gallon of biofuel purchased by an eligible taxpayer and was scheduled to expire on December 31, 2016. This credit is amended to apply only to biofuel blends that are comprised of more than five percent biodiesel.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



- **Extend the Alternative Fuels Tax Exemptions for Five Years.** The previously existing exemptions for e85, 29 CNG, hydrogen and B-20 from the sales, petroleum business and motor fuel taxes are extended for five years.
- **Extend the Excelsior Jobs Program for Two Years.** The Excelsior Jobs Program claims period is extended through 2026, allowing Empire State Development the ability to continue to offer a 10 year benefit period for the next several years. Unused credits from previous years will be used to fund the extension.
- **Extend the Credit for Companies that Provide Transportation to Individuals with Disabilities for Six Years.** The credit for companies who provide transportation to individuals with disabilities is extended for an additional six years. Previously scheduled to expire on December 31, 2016, the credit offsets up to \$10,000 in costs associated with upgrading vehicles, or purchasing qualifying new vehicles, to accommodate the transportation of disabled individuals.
- **Permanently Extend the Non-Custodial Earned Income Tax Credit.** The non-custodial parent earned income tax credit is permanently extended, providing parity with the existing permanent earned income tax credit. Previously scheduled to expire on December 31, 2016, the credit complements the regular EITC by allowing a lower credit for parents who pay child support for a qualifying child with whom they do not reside.
- **Extend Tax Shelter Reporting Requirements for Four Years.** The requirement for taxpayers to provide the Department of Taxation and Finance with copies of federal tax shelter reports is extended to July 1, 2019.
- **Make Permanent, Extend and Update Certain Modernization Provisions of the Tax Law.** The tax modernization provisions relating to electronic filing, payment requirements, sales tax compliance tools, and preparer penalties are permanently extended. Additionally, tax preparer e-file failure penalties are extended for three years.



## STAR Program Actions

SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS				
Net Financial Plan Impact (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Convert the STAR Benefit into a Tax Credit for New Homeowners				
• Credit Portion	(98)	(194)	(98)	(194)
• Spending Savings	98	194	-	-
Convert New York City Personal Income Tax STAR Credit into a State Personal Income Tax Credit				
• Credit Portion	-	(87)	-	(87)
• Spending Savings	87	284	-	-
Clarify Timing of STAR Recoupment Program	-	-	-	-
Allow Late Filing of Enhanced STAR Renewal Applications and Senior Exemptions for Cases of Hardship	(1)	(1)	-	-
Make Direct STAR Payments to Property Owners in Appropriate Cases	-	-	-	-
<b>TOTAL SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS</b>	<b>86</b>	<b>196</b>	<b>(98)</b>	<b>(281)</b>

- Convert the STAR Benefit into a Tax Credit for New Homeowners.** The STAR program is simplified by transforming the exemption into a pre-paid refundable personal income tax credit, allowing school districts to collect revenue without having to wait for reimbursement from the State. The change only applies to first-time homebuyers and homeowners who move. Previously, school districts collected reduced revenue as a result of the STAR exemptions, and the State compensated them for the cost of the exemption. There is no change to the amount of the STAR credit for taxpayers, only the mechanism used to claim the credit.
- Convert New York City Personal Income Tax STAR Credit into a State Personal Income Tax Credit.** Previously, eligible NYC taxpayers applied for a NYC STAR Personal Income Tax credit on their NYS tax forms, following which, the State reimbursed the City for the lost revenue. The Enacted Budget gives eligible taxpayers a credit against their State PIT, as opposed to their City PIT, thereby eliminating the need for the State to reimburse the City government. This simplification effort has no impact on the taxpayer and no impact on revenues for the City of New York.
- Clarify Timing of STAR Recoupment Program.** The Budget provides a clear and uniform timeframe for administering the STAR recoupment program. The previous timing provision looked back to the "three preceding assessment rolls" which is non-uniform (assessment calendars vary throughout the State) and difficult to administer.
- Allow Late Filing of Enhanced STAR Renewal Applications and Senior Exemptions for Cases of Hardship.** The Budget allows late filing of Enhanced STAR renewal applications for cases of hardship (such as a serious personal illness or hospitalization for the taxpayer or an immediate family member), as late as the last day of the interest-free period for payment of school taxes. Additionally, the local option under the senior exemption in RPTL 467 is expanded to allow a late filing of renewal applications for hardship up to the last day of the interest-free period for payment of school taxes.
- Make Direct STAR Payments to Property Owners in Appropriate Cases.** The Budget allows the Commissioner of Taxation and Finance to directly reimburse a STAR-eligible property owner who didn't receive the STAR tax savings to which she was entitled due to an administrative error, and where no other remedy is readily available.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Other Revenue Actions

OTHER REVENUE ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Amend State and Local Tax Law to Comply with Newly-Adopted Federal Tax Regulations on Aviation Fuel	-	-	-	-
Amend Economic Transformation and Facility Redevelopment Program	-	(2)	-	(2)
Conform to New Federal Tax Filing Dates	-	-	-	-
Amend the State and New York City Corporate Tax Reform Statutes for Technical Amendments	-	-	-	-
Amend Medical Marihuana Excise Tax Disclosure Statutes for Technical Amendments	-	-	-	-
Extend Manufacturer Credit to Agricultural Businesses	-	-	-	-
Amend Leased Bank Asset Test Provisions	-	-	-	-
Eliminate Charitable Giving as a Factor in Determining Domicile for the Estate Tax	-	-	-	-
<b>TOTAL OTHER REVENUE ACTIONS</b>	-	<b>(2)</b>	-	<b>(2)</b>

- Amend State and Local Tax Law to Comply with Newly-Adopted Federal Tax Regulations on Aviation Fuel.** All petroleum business tax revenue imposed on aviation fuel is directed to a new dedicated airport fund effective April 1, 2017 and the local sales tax on such aviation fuel is repealed, effective December 1, 2017.
- Amend Economic Transformation and Facility Redevelopment Program.** Allows any psychiatric facility previously owned by New York State and operated pursuant to section 7.17 of the Mental Hygiene Law, and located within the Metropolitan Commuter Transportation District (excluding New York City) into the Economic Transformation and Facility Redevelopment Program. Prospective participants must submit an application by September 1, 2016 to be eligible to participate in the program.
- Conform to New Federal Tax Filing Dates.** NYS law is conformed to new federal tax filing deadlines for certain business taxpayers. Recent Federal legislation changed the federal tax filing deadline for partnerships from April 15 to March 15, and from March 15 to April 15 for C corporations.
- Amend the State and New York City Corporate Tax Reform Statutes for Technical Amendments.** Clarifies that stock that generates other exempt income, but is not marked to market under IRC sections 475 or 1256, is not a qualified financial instrument (QFI) with respect to the other exempt income and corrects cross references and terminology in various places within the State and City tax law.
- Amend Medical Marihuana Excise Tax Disclosure Statutes for Technical Amendments.** Amended the Tax Law to allow the Department of Taxation and Finance to report medical marihuana excise tax receipts distributed to local governments without violating tax secrecy.

- Extend Manufacturer Credit to Agricultural Businesses.** Extends the real property tax credit for manufacturers to an Article 9-A taxpayer principally engaged in the production of goods by farming, agriculture, horticulture, floriculture, viticulture, or commercial fishing, that pay real property taxes on property leased from a related or unrelated third party. This change conforms Article 9-A to the treatment of leased property for agricultural businesses under the personal income tax.
- Amend Leased Bank Asset Test Provisions.** Provides that for purposes of the asset tests under the entire net income subtraction modifications for qualified residential loan portfolios and community banks and small thrifts, total assets include leased real property that is not properly reflected on a balance sheet.
- Eliminate Charitable Giving as a Factor in Determining Domicile for the Estate Tax.** The Department of Taxation and Finance’s longstanding administrative practice of not using qualifying charitable gifts of time or money as a means of determining domicile for purposes of calculating New York State estate tax liability is codified.

## Gaming Initiatives

<b>GAMING INITIATIVES</b> (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year	-	-	-	-
Extend the Video Lottery Gaming (VLG) Vendor’s Capital Awards Program for One Year	-	-	-	-
Extend Monticello Video Lottery Terminal Rates for One Year	-	-	(3)	-
Amend the Upstate New York Gaming and Economic Development Act for Technical Changes	-	-	-	-
Provide for an Additional Commission for Certain Video Lottery Terminal Facilities	-	-	-	-
Bolster Jockey Injury Compensation Fund	-	-	-	-
Allow for the Creation and Administration of a Jockey Health Trust	-	-	-	-
Outline Provisions for Nassau OTB terminals to be Hosted at the Resorts World VLT Facility	-	-	-	-
Increase Regulatory Fee to Support Racehorse Health and Safety	-	-	1	1
Adjust Timing of Reimbursement to Gaming Commission of Per Diem Costs for Harness Racing Judge and Starter	-	-	-	-
<b>TOTAL GAMING INITIATIVES</b>	-	-	(2)	1

- Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year.** The pari-mutuel tax rate and other racing-related provisions are extended for one year.
- Extend the Video Lottery Gaming (VLG) Vendor’s Capital Awards Program for One Year.** VLG operators are provided one additional year to earn qualifying capital awards, which encourage facility upgrades and improvements.
- Extend Monticello Video Lottery Terminal Rates for One Year.** The higher commission rate for the Monticello Video Lottery Terminal Facility is extended for one additional year.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



- **Amend the Upstate New York Gaming and Economic Development Act for Technical Changes.** The Tax Law is amended to clarify that the reduced tax rate paid by a Video Lottery Terminal facility that competes with a commercial gaming facility in the same region only applies to the pro-rated portion of the year when the competing commercial gaming facility is open, and not for the whole of such opening year. Additionally, the Enacted Budget clarifies that host county payments are based solely on the net gaming income of the specific commercial gaming in that host community.
- **Provide for an Additional Commission for Certain Video Lottery Terminal Facilities.** The intention of the Upstate Gaming and Economic Development Act of 2013 was for a Video Lottery Terminal Facility competing with a commercial gaming facility to pay the same percentage share of net gaming income to support education. However, since the Finger Lakes facility is not in the same gaming region as the proposed LAGO facility, even though it is less than 30 miles away, no such relief from the Gaming Act would have been provided to Finger Lakes. The Enacted Budget corrects this inequity.
- **Bolster Jockey Injury Compensation Fund.** The Enacted Budget provides that \$2 million of NYRA's excess purse cushion will be transferred to the Jockey Injury Compensation Fund to ensure solvency.
- **Allow for the Creation and Administration of a Jockey Health Trust.** Provides that if at least 51 percent of eligible, active jockeys enter into a Memorandum of Understanding (MOU), and if the Gaming Commission determines that such MOU is in the best interest of racing, that NYRA may create and administer a jockey health trust for the purpose of obtaining health benefits for jockeys and their eligible dependents.
- **Outline Provisions for Nassau OTB terminals to be Hosted at the Resorts World VLT Facility.** Provides that Nassau OTB and the Resorts World VLT Facility may enter into an agreement, subject to Gaming Commission approval, for the 1,000 terminals authorized by the Upstate Gaming and Economic Development Act of 2013 to be hosted by Resorts World. Resorts World will be eligible for capital awards (i.e., reimbursement of qualifying capital costs) at a rate of 1 percent after 400 terminals are designated as hosted, and 4 percent when 1,000 terminals are hosted or April 1, 2019, whichever occurs first. The provision of such capital awards is contingent on an agreement by Resorts World to invest a minimum of \$300 million on facility expansion, and hotel, convention and exhibition space.
- **Increase Regulatory Fee to Support Racehorse Health and Safety.** Previously, a regulatory fee of 0.5 percent of handle was imposed on thoroughbred, harness, off-track pari-mutuel betting and simulcast racing. To fund the recommendations of the Racehorse Health and Safety Task Force and to enhance the Gaming Commission's capacity to promote equine health and safety, the Enacted Budget increases the amount to 0.6 percent.

- Adjust Timing of Reimbursement to Gaming Commission of Per Diem Costs for Harness Racing Judge and Starter.** Licensed harness tracks are required to pay the per diem costs for one associate judge and one starter at each harness track facility. Previously, the Gaming Commission was required to notify the facility of the per diem costs before the month begins, which the track pays on the last business day of the month. However, actual costs and race days are not known until well after the month has concluded, leading to a lengthy reimbursement process. The reimbursement timing requirements of harness tracks are adjusted to establish a more efficient requirement process.

## Fee Actions

FEE ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2017	FY 2018	FY 2017	FY 2018
Reduce Highway Use Tax Decal and Registration Fees	-	-	(59)	(6)
Extend Waste Tire Fee	-	-	6	24
Redirect DMV Funds to Dedicated Highway and Bridge Trust Fund	-	-	-	-
<b>TOTAL FEE ACTIONS</b>	-	-	<b>(53)</b>	<b>18</b>

- Reduce Highway Use Tax Decal and Registration Fees.** The Enacted Budget addressed an adverse ruling regarding the State's decaling and registration fees for the Highway Use Tax by reducing the fees charged to be commensurate with the verifiable amount of associated administrative costs.
- Extend Waste Tire Fee.** In order to avoid cuts to essential programs, the Budget extends the Waste Tire Fee, which was previously scheduled to expire December 31, 2016. This \$2.50 fee, which has been in place since 2003, funds the abatement of waste tire sites and supports approximately 140 Department of Environmental Conservation employees involved in critical solid and hazardous waste cleanup activities.
- Redirect DMV Funds to Dedicated Highway and Bridge Trust Fund.** The DMV Seized Assets, Compulsory Insurance, Internet Point Insurance Reduction Program and the Motorcycle Safety Funds are consolidated into the DHBTF. This will increase efficiency and transparency by reducing the overall number of DMV fund sources, and improve programmatic flexibility.

## Disbursements

Total disbursements in FY 2017 are estimated at \$71.8 billion in the State's General Fund (including transfers) and \$96.2 billion in total State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors, including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an Enacted Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

## Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$64.9 billion in FY 2017, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
(millions of dollars)					
	FY 2016 Results <sup>1</sup>	FY 2017 Enacted	Forecast		
			FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>MEDICAID</b>					
Individuals Covered	6,140,813	6,320,438	6,408,439	6,451,522	6,474,592
- Essential Plan	441,223	472,815	476,091	479,390	482,711
- Child Health Plus (Caseload)	275,854	281,516	283,205	284,904	286,614
State Takeover of County/NYC Costs	\$2,031	\$2,360	\$2,680	\$2,989	\$3,287
- Family Health Plus	\$0	\$0	\$0	\$0	\$0
- Medicaid	\$2,031	\$2,360	\$2,680	\$2,989	\$3,287
<b>EDUCATION</b>					
SY School Aid (Funding)	\$23,290	\$24,797	\$25,906	\$27,219	\$28,599
<b>HIGHER EDUCATION</b>					
Public Higher Education Enrollment (FTEs)	573,555	573,555	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	301,554	301,869	N/A	N/A	N/A
<b>PUBLIC ASSISTANCE</b>					
Family Assistance Program (Caseload)	243,642	238,388	235,591	232,955	230,355
Safety Net Program (Families)	117,682	115,259	113,865	112,561	111,278
Safety Net Program (Singles)	203,114	203,512	203,920	206,266	208,355
<b>Total Mental Hygiene Community Beds</b>	<b>98,190</b>	<b>101,178</b>	<b>103,009</b>	<b>103,932</b>	<b>104,017</b>
- OMH Community Beds	42,018	44,112	45,363	45,716	45,716
- OPWDD Community Beds	42,314	42,938	43,437	43,971	43,971
- OASAS Community Beds	13,858	14,128	14,209	14,245	14,330
<b>PRISON POPULATION (CORRECTIONS)</b>	<b>52,800</b>	<b>52,000</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

<sup>1</sup> Reflects preliminary unaudited results.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Education

### School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30) Basis

School Aid is expected to increase by \$1.51 billion (6.5 percent) in SY 2017. This increase includes \$627 million for additional Foundation Aid and \$434 million for full restoration of the Gap Elimination Adjustment (GEA) for all 674 school districts. In total, \$175 million is provided to facilitate the transformation of schools in high-need districts into community hubs offering expanded services to children and their families, including \$100 million as a set-aside within Foundation Aid and \$75 million in new Community Schools Grants. The latter will be awarded to school districts with failing and persistently failing schools, based on a plan developed by SED, to support the operating and capital costs associated with the conversion of such schools into community schools. In addition, another \$344 million supports increased reimbursement in expense-based aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Enacted Budget Financial Plan also includes \$28 million for new competitive grants, including \$22 million to expand prekindergarten access for three-year-old children. In addition, the Enacted Budget Financial Plan reflects the continuation of \$340 million in recurring annual funding to support the statewide Universal Full-Day Prekindergarten program.

School Aid is projected to increase by an additional \$1.11 billion (4.5 percent) in SY 2018, consistent with the Personal Income Growth Index in statute. Actual School Aid increases approved by the Legislature have exceeded the index in recent years.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	SY 2016	SY 2017	Change	SY 2018	Change	SY 2019	Change	SY 2020	Change
Total	23,290	24,797	1,507	25,906	1,109	27,219	1,313	28,599	1,380
			6.5%		4.5%		5.1%		5.1%

School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.



## State Fiscal Year Basis

The State finances School Aid from General Fund and Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related Budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected sources of spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>23,302</b>	<b>24,422</b>	<b>4.8%</b>	<b>25,898</b>	<b>6.0%</b>	<b>27,196</b>	<b>5.0%</b>	<b>28,555</b>	<b>5.0%</b>
General Fund Local Assistance	20,133	21,101	4.8%	22,679	7.5%	23,931	5.5%	25,241	5.5%
Core Lottery Aid	2,219	2,360	6.4%	2,243	-5.0%	2,227	-0.7%	2,224	-0.1%
VLT Lottery Aid	950	961	1.2%	886	-7.8%	867	-2.1%	893	3.0%
Commercial Gaming - VLT Offset	0	0	0.0%	71	0.0%	89	25.4%	63	-29.2%
Commercial Gaming	0	0	0.0%	19	0.0%	82	331.6%	134	63.4%

State fiscal year spending for School Aid is projected to total \$24.4 billion in FY 2017. In future years, receipts available to finance this category of aid from core lottery sales are projected to decline. In addition to State aid, school districts receive more than \$3 billion annually in Federal aid.

It is expected that State aid payments for School Aid will be supplemented by commercial gaming revenues, beginning in FY 2017. Three casino resorts were recommended by the State's Gaming Facility Location Board (the "Board") in December 2014, and approved by the State Gaming Commission in December 2015. A fourth casino was recommended by the Board in October 2015. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid to be funded from casino revenue resources becomes a General Fund obligation. It is expected that the four casinos will be operational in FY 2018.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,085</b>	<b>2,330</b>	<b>11.8%</b>	<b>2,390</b>	<b>2.6%</b>	<b>2,520</b>	<b>5.4%</b>	<b>2,626</b>	<b>4.2%</b>
Special Education	1,317	1,437	9.1%	1,540	7.2%	1,657	7.6%	1,784	7.7%
All Other Education	768	893	16.3%	850	-4.8%	863	1.5%	842	-2.4%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses for the major cultural institutions of the State Archives, the State Library, and the State Museum as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State's adult citizens, ensuring that such individuals have access to a "one-stop" source for all their employment needs, and are made aware of the full range of services available in other agencies.

Special Education spending growth in FY 2017 is primarily the result of lower-than-expected preschool special education claims submitted during FY 2016, as well as rate increases given to private special education providers. The increase in All Other Education spending in FY 2017 is driven primarily by supplemental State payments to charter schools, investments in new programs such as the My Brother's Keeper initiative, increased funding for existing programs including nonpublic schools and higher education opportunity programs, and one-time costs associated with targeted aid and grants.

In FY 2018, the decrease in projected spending for all other education is primarily attributable to the expiration of a two-year appropriation provided to nonpublic schools to reimburse them for State-mandated services provided in prior years. However, this decrease is offset by projected increases in State reimbursement for special education programs, which are expected to continue to drive outyear growth.

## STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. It is expected that lower-income senior citizens will receive a \$65,300 exemption in FY 2017. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate share of total spending in FY 2017 are: the basic school property tax exemption for homeowners with income under \$500,000 (54 percent); the enhanced school property tax exemption for senior citizen homeowners with incomes under \$84,550 (29 percent); and a flat refundable credit and rate reduction for income-eligible resident New York City personal income taxpayers (17 percent).

Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues. The projected spending decline over the course of the Financial Plan is the result of changes to the STAR program included in the Enacted Budget and which will phase in over time. STAR will gradually shift from a spending program into a refundable pre-paid PIT credit, with this change applying to first-time homebuyers and to homeowners who move. Further reductions in STAR spending will be achieved by the conversion of the New York City PIT STAR credit into a New York State PIT credit.

SCHOOL TAX RELIEF (STAR)									
(millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>3,335</b>	<b>3,228</b>	<b>-3.2%</b>	<b>2,977</b>	<b>-7.8%</b>	<b>2,921</b>	<b>-1.9%</b>	<b>2,869</b>	<b>-1.8%</b>
Basic Exemption	1,774	1,756	-1.0%	1,708	-2.7%	1,667	-2.4%	1,624	-2.6%
Enhanced (Seniors)	943	943	0.0%	916	-2.9%	895	-2.3%	872	-2.6%
New York City PIT	618	529	-14.4%	353	-33.3%	359	1.7%	373	3.9%

The following table illustrates total savings that result from the STAR tax credit conversions, after accounting for the impact of the estimated State PIT receipts.

STAR CONVERSION CREDIT				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2017	FY 2018	FY 2019	FY 2020
<b>CONVERSION OF NEW YORK CITY PIT STAR CREDIT TO A STATE PIT CREDIT:</b>				
PIT Receipts	0	(87)	(284)	(286)
STAR Spending	87	284	286	286
<b>STAR BENEFIT INTO A TAX CREDIT FOR NEW HOMEOWNERS:</b>				
PIT Receipts	(98)	(194)	(290)	(385)
STAR Spending	98	194	290	385
<b>NET FINANCIAL PLAN IMPACT</b>	<b>87</b>	<b>197</b>	<b>2</b>	<b>0</b>

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

<b>HIGHER EDUCATION</b> (millions of dollars)									
	<b>FY 2016</b>	<b>FY 2017</b>		<b>FY 2018</b>		<b>FY 2019</b>		<b>FY 2020</b>	
	<b>Results</b>	<b>Enacted</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,955</b>	<b>3,031</b>	<b>2.6%</b>	<b>3,097</b>	<b>2.2%</b>	<b>3,158</b>	<b>2.0%</b>	<b>3,195</b>	<b>1.2%</b>
<b>City University</b>	<b>1,429</b>	<b>1,454</b>	<b>1.7%</b>	<b>1,486</b>	<b>2.2%</b>	<b>1,527</b>	<b>2.8%</b>	<b>1,553</b>	<b>1.7%</b>
Senior Colleges	1,198	1,206	0.7%	1,243	3.1%	1,285	3.4%	1,311	2.0%
Community College	231	248	7.4%	243	-2.0%	242	-0.4%	242	0.0%
<b>Higher Education Services</b>	<b>1,025</b>	<b>1,068</b>	<b>4.2%</b>	<b>1,103</b>	<b>3.3%</b>	<b>1,123</b>	<b>1.8%</b>	<b>1,135</b>	<b>1.1%</b>
Tuition Assistance Program	966	978	1.2%	991	1.3%	994	0.3%	994	0.0%
Scholarships/Awards	47	78	66.0%	100	28.2%	117	17.0%	129	10.3%
Aid for Part-Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
<b>State University</b>	<b>501</b>	<b>509</b>	<b>1.6%</b>	<b>508</b>	<b>-0.2%</b>	<b>508</b>	<b>0.0%</b>	<b>507</b>	<b>-0.2%</b>
Community College	496	504	1.6%	503	-0.2%	503	0.0%	502	-0.2%
Other/Cornell	5	5	0.0%	5	0.0%	5	0.0%	5	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 396,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 333,000 students. State funds are used to support a significant portion of SUNY and CUNY operations, including employee fringe benefit costs. The State also provides a sizeable benefit to CUNY and SUNY through the debt service it pays on bond-financed capital projects at the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.2 billion in FY 2017 (not reflected in annual spending totals for the universities).

HESC administers the Tuition Assistance Program (TAP), which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal governments.

In total, State Operating Funds local assistance spending is projected to increase from FY 2016 to FY 2017. This increase is distributed across SUNY, CUNY, and HESC programs and operations. Additional outyear growth is projected to be driven by spending in student financial assistance programs, largely the result of increasing enrollment in recent scholarship initiatives such as Science, Technology, Engineering and Math (STEM) and the Get On Your Feet Loan Forgiveness Program. CUNY Senior College spending is also projected to grow in the outyears due to employee benefits costs.

## Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the Delivery System Reform Incentive Payment (DSRIP) program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve 25 percent reduction in avoidable hospital use over five years. The Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of more than \$7 billion through FY 2020, with the remaining funds expected to be disbursed beyond FY 2020. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

## Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Enacted Budget Financial Plan reflects the continuation of the Medicaid spending cap through FY 2018, and the projections assume that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.4 percent for FY 2017. Reflecting projected CPI reductions, DOB currently forecasts allowable cap growth at 3.2 percent in FY 2018; 3.0 percent in FY 2019; and 2.8 percent in FY 2020.

# Financial Plan Projections Fiscal Years 2017 Through 2020



<b>MEDICAID GLOBAL CAP FORECAST</b> (millions of dollars)					
	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>
<b>Global Medicaid Cap<sup>1</sup></b>	<b>17,104</b>	<b>17,692</b>	<b>18,259</b>	<b>18,812</b>	<b>19,338</b>
Annual % Change		3.4%	3.2%	3.0%	2.8%

<sup>1</sup> Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal financial participation pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Enacted Budget Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

<b>TOTAL STATE-SHARE MEDICAID DISBURSEMENTS<sup>1</sup></b> (millions of dollars)					
	<u>FY 2016</u> Results	<u>FY 2017</u> Enacted	<u>FY 2018</u> Projected	<u>FY 2019</u> Projected	<u>FY 2020</u> Projected
Department of Health Medicaid	<u>17,707</u>	<u>18,147</u>	<u>18,964</u>	<u>19,855</u>	<u>20,711</u>
Local Assistance	17,434	17,850	18,637	19,527	20,376
State Operations	273	297	327	328	335
Other State Agency Medicaid Spending	<u>4,883</u>	<u>4,537</u>	<u>4,952</u>	<u>5,199</u>	<u>5,394</u>
Mental Hygiene	4,739	4,397	4,810	5,057	5,250
Foster Care	89	90	92	92	94
Education	55	50	50	50	50
<b>Total State Share Medicaid (All Agencies)</b>	<b>22,590</b>	<b>22,684</b>	<b>23,916</b>	<b>25,054</b>	<b>26,105</b>
Annual \$ Change		94	1,232	1,138	1,051
Annual % Change		0.4%	5.4%	4.8%	4.2%
<b>Essential Plan<sup>2</sup></b>	<b>32</b>	<b>377</b>	<b>385</b>	<b>395</b>	<b>406</b>

<sup>1</sup> DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; and increased Federal Financial Participation that became effective in January 2014.

<sup>2</sup> The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, and provider assessment revenue. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID <sup>1,2</sup> (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE OPERATING FUNDS</b>	<b>17,739</b>	<b>18,524</b>	<b>4.4%</b>	<b>19,349</b>	<b>4.5%</b>	<b>20,250</b>	<b>4.7%</b>	<b>21,117</b>	<b>4.3%</b>
General Fund - DOH Medicaid Local	12,117	12,349	1.9%	13,221	7.1%	14,115	6.8%	15,083	6.9%
DOH Medicaid	11,250	11,257	0.1%	12,373	9.9%	13,311	7.6%	14,281	7.3%
Mental Hygiene - Global Cap Adjustment <sup>3</sup>	867	1,092	26.0%	848	-22.3%	804	-5.2%	802	-0.2%
General Fund - DOH Medicaid State Ops <sup>4</sup>	273	297	8.8%	327	10.1%	328	0.3%	335	2.1%
General Fund - Essential Plan	32	377	1078.1%	385	2.1%	395	2.6%	406	2.8%
Local Assistance	19	334	1657.9%	345	3.3%	355	2.9%	365	2.8%
State Operations	13	43	230.8%	40	-7.0%	40	0.0%	41	2.5%
Other State Funds - DOH Medicaid Local	5,317	5,501	3.5%	5,416	-1.5%	5,412	-0.1%	5,293	-2.2%
HCRA Financing	3,523	3,737	6.1%	3,712	-0.7%	3,708	-0.1%	3,589	-3.2%
Indigent Care Support	961	952	-0.9%	892	-6.3%	892	0.0%	892	0.0%
Provider Assessment Revenue	833	812	-2.5%	812	0.0%	812	0.0%	812	0.0%

<sup>1</sup> The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.  
<sup>2</sup> Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.  
<sup>3</sup> The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.  
<sup>4</sup> Includes operating costs of the New York State of Health Exchange which are funded by DOH within the Medicaid Global Cap.

The FY 2017 Enacted Budget Financial Plan includes additional funding to support the increased cost of Medicaid associated with the regionally-based multi-year phase-in of statewide minimum wage increases authorized by the Enacted Budget. This initiative is expected to increase annual Medicaid spending, above previously forecasted Global Cap limits, by \$13 million in FY 2017; \$88 million in FY 2018; \$253 million in FY 2019; and \$411 million in FY 2020.

The FY 2017 Enacted Budget Financial Plan also reflects a continuation of the MRT initiative, which focuses on implementing various investments and efficiencies within the statewide Medicaid program in order to achieve improved health care service delivery and cost efficiency within the statutory spending limits of the Medicaid Global Cap. DOH proposes a number of initiatives to reduce spending within the Global Cap, including certain efficiencies in managed care program premiums; realigning the capital and operating components of the Supportive Housing program; and a new penalty for extreme generic drug pricing, in order to discourage such practices and limit cost increases.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



The MRT savings initiatives are expected to offset a number of increased cost pressures and program investments within the Global Cap, including increases in Medicare Part D “clawback” expenses as a result of rising drug prices; Medicare Part B increases due to Federal requirements for states to hold certain beneficiaries harmless for premium increases when Cost-of-Living Adjustments (COLAs) are not included in Social Security plans; and additional funding for fiscally distressed hospitals. Savings of \$44 million are expected upon implementation of the MRT initiatives, and are programmed for General Fund use in each of FYs 2017 and 2018. These savings are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap. Additional means to offset rising costs within the Medicaid Global Cap are available through the Medicaid integrity and efficiency initiative which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide Financial Plan savings.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients is expected to exceed 6.1 million by the end of FY 2016, a slight decrease from FY 2015 caseload of 6.2 million. This decline is mainly attributable to the transition from Medicaid to the EP of certain legally residing immigrants.



## Essential Plan

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards will be enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 85 percent of program expenditures are expected to be paid by the Federal government.

ESSENTIAL PLAN (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL ALL FUNDS SPENDING</b>	<b>1,539</b>	<b>2,461</b>	<b>59.9%</b>	<b>2,535</b>	<b>3.0%</b>	<b>2,610</b>	<b>3.0%</b>	<b>2,683</b>	<b>2.8%</b>
<b>State Operating Funds</b>	<b>32</b>	<b>377</b>	<b>1078.1%</b>	<b>385</b>	<b>2.1%</b>	<b>395</b>	<b>2.6%</b>	<b>406</b>	<b>2.8%</b>
Local Assistance	19	334	1657.9%	345	3.3%	355	2.9%	365	2.8%
State Operations	13	43	230.8%	40	-7.0%	40	0.0%	41	2.5%
<b>Federal Operating Funds</b>	<b>1,507</b>	<b>2,084</b>	<b>38.3%</b>	<b>2,150</b>	<b>3.2%</b>	<b>2,215</b>	<b>3.0%</b>	<b>2,277</b>	<b>2.8%</b>

The Enacted Budget Financial Plan includes forecast revisions based on updated income level data associated with program enrollees, which is expected to drive an increased Federal share of funding and lower the State's share of support as compared with initial estimates. The State's program costs associated with the EP program, and related savings, are managed within the total available resources of the Medicaid Global Cap.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Public Health/Aging Programs

Public Health includes the CHP program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the EI program that pays for services to infants and toddlers under the age of three, with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,774</b>	<b>1,643</b>	<b>-7.4%</b>	<b>1,684</b>	<b>2.5%</b>	<b>1,713</b>	<b>1.7%</b>	<b>1,866</b>	<b>8.9%</b>
<b>Public Health</b>	<b>1,647</b>	<b>1,513</b>	<b>-8.1%</b>	<b>1,551</b>	<b>2.5%</b>	<b>1,575</b>	<b>1.5%</b>	<b>1,723</b>	<b>9.4%</b>
Child Health Plus	378	220	-41.8%	230	4.5%	246	7.0%	374	52.0%
General Public Health Work	194	199	2.6%	202	1.5%	206	2.0%	210	1.9%
EPIC	126	132	4.8%	133	0.8%	128	-3.8%	128	0.0%
Early Intervention	160	159	-0.6%	159	0.0%	159	0.0%	159	0.0%
HCRA Program	426	383	-10.1%	398	3.9%	397	-0.3%	402	1.3%
All Other	363	420	15.7%	429	2.1%	439	2.3%	450	2.5%
<b>Aging</b>	<b>127</b>	<b>130</b>	<b>2.4%</b>	<b>133</b>	<b>2.3%</b>	<b>138</b>	<b>3.8%</b>	<b>143</b>	<b>3.6%</b>

The FY 2017 Enacted Budget Financial Plan includes approximately \$106 million in savings, from the CHP program (\$70 million) and HCRA Program account (\$36 million), by leveraging enhanced Federal funding for children's health care programs serving populations that meet expanded income thresholds, thus lowering State costs. Growth in FY 2020 for the CHP program is driven mainly by the expirations of enhanced FMAP on September 30, 2019, which will shift a significant portion of support back to State funds.

Annual GPHW spending has been revised in each plan year to reflect recent claiming patterns, and is projected to grow at moderate levels. EPIC program growth reflects increasing pharmaceutical costs which impact Medicare Part D premium payment estimates.

HCRA Program spending is expected to decline in FY 2017, in part through the use of an available fund balance of \$15 million in the Excess Medical Malpractice Liability Pool, and through the use of Federal funding sources as described above. From FY 2018 through FY 2020, HCRA Program spending is expected to remain relatively flat.

## HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and Doctors Across New York program. The HCRA authorization was extended through FY 2017, pursuant to legislation included in the FY 2015 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, the NYSOH, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA closed FY 2016 with a balance of \$78 million, which is the result of an advanced deposit of April 2016 revenue into March 2016. This impact is a matter of timing, and will not impact total forecasted HCRA collections through FY 2017.

HCRA FINANCIAL PLAN FY 2016 THROUGH FY 2020 (millions of dollars)					
	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>OPENING BALANCE</b>	<b>14</b>	<b>78</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL RECEIPTS</b>	<b>5,655</b>	<b>5,538</b>	<b>5,529</b>	<b>5,554</b>	<b>5,576</b>
Surcharges	3,118	3,091	3,131	3,191	3,251
Covered Lives Assessment	1,112	1,079	1,045	1,045	1,045
Cigarette Tax Revenue	928	878	847	816	781
Hospital Assessments	397	404	424	424	424
NYC Cigarette Tax Transfer/Other	100	86	82	78	75
<b>TOTAL DISBURSEMENTS</b>	<b>5,591</b>	<b>5,616</b>	<b>5,529</b>	<b>5,554</b>	<b>5,576</b>
Medicaid Assistance Account <sup>1</sup>	<u>3,523</u>	<u>3,737</u>	<u>3,713</u>	<u>3,708</u>	<u>3,590</u>
Medicaid Costs	3,326	3,540	3,516	3,511	3,393
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	961	952	892	892	892
HCRA Program Account	429	393	408	406	411
Child Health Plus	381	223	234	249	378
Elderly Pharmaceutical Insurance Coverage	137	144	145	140	140
SHIN-NY/APCD	42	30	0	0	0
All Other	118	137	137	159	165
<b>ANNUAL OPERATING SURPLUS/(DEFICIT)</b>	<b>64</b>	<b>(78)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>CLOSING BALANCE</b>	<b>78</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

<sup>1</sup> NYSOH spending will be financed with available HCRA resources through the Medicaid program.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



After adjusting for the timing of receipts deposits advanced to March 2016, as noted above, total HCRA receipts are forecasted to grow moderately in FY 2017 in relation to higher surcharge collections generated from an increase to Upper Payment Limit (UPL) disbursements. The level of annual growth forecasted for HCRA receipts through the multi-year planning period mainly reflects anticipation of increased collections due to expanded health insurance coverage through the ACA, and increases consistent with historic collection patterns. Continued declines for cigarette tax collections, which is attributable to declining taxable consumption, reduces annual HCRA receipts growth.

HCRA spending is expected to total \$5.6 billion in FY 2017. The most significant area of spending growth includes additional financing of the State share of Medicaid costs, which is partly offset by a significant decrease in spending for CHP as the availability of Federal resources through the ACA will increase. The Enacted Budget Financial Plan reflects a nonrecurring reduction in HCRA transfers to the Excess Medical Malpractice Liability Pool, which reimburses certain physicians and dentists for a secondary level of medical malpractice insurance coverage, by offsetting the State's FY 2017 subsidy level with existing fund balance availability.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

## Mental Hygiene

The Department of Mental Hygiene is comprised of the OPWDD, OMH, OASAS, the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs. Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

<b>MENTAL HYGIENE</b> (millions of dollars)									
	<b>FY 2016</b>	<b>FY 2017</b>		<b>FY 2018</b>		<b>FY 2019</b>		<b>FY 2020</b>	
	<b>Results</b>	<b>Enacted</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,646</b>	<b>2,571</b>	<b>-2.8%</b>	<b>3,132</b>	<b>21.8%</b>	<b>3,494</b>	<b>11.6%</b>	<b>3,738</b>	<b>7.0%</b>
<b>People with Developmental Disabilities</b>	<b>2,075</b>	<b>2,193</b>	<b>5.7%</b>	<b>2,362</b>	<b>7.7%</b>	<b>2,522</b>	<b>6.8%</b>	<b>2,688</b>	<b>6.6%</b>
Residential Services	1,386	1,465	5.7%	1,578	7.7%	1,685	6.8%	1,796	6.6%
Day Programs	604	638	5.6%	687	7.7%	734	6.8%	782	6.5%
Clinic	20	21	5.0%	23	9.5%	24	4.3%	26	8.3%
All Other Local/Resources	65	69	6.2%	74	7.2%	79	6.8%	84	6.3%
<b>Mental Health</b>	<b>1,135</b>	<b>1,191</b>	<b>4.9%</b>	<b>1,309</b>	<b>9.9%</b>	<b>1,446</b>	<b>10.5%</b>	<b>1,502</b>	<b>3.9%</b>
Adult Local Services	917	967	5.5%	1,063	9.9%	1,185	11.5%	1,224	3.3%
Children Local Services	218	224	2.8%	246	9.8%	261	6.1%	278	6.5%
<b>Alcohol and Substance Abuse</b>	<b>307</b>	<b>320</b>	<b>4.2%</b>	<b>350</b>	<b>9.4%</b>	<b>371</b>	<b>6.0%</b>	<b>391</b>	<b>5.4%</b>
Outpatient/Methadone	117	122	4.3%	134	9.8%	142	6.0%	149	4.9%
Residential	123	128	4.1%	140	9.4%	148	5.7%	156	5.4%
Prevention and Program Support	59	61	3.4%	67	9.8%	71	6.0%	75	5.6%
Crisis	8	9	12.5%	9	0.0%	10	11.1%	11	10.0%
<b>Justice Center</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>
<b>SUBTOTAL BEFORE ADJUSTMENTS</b>	<b>3,518</b>	<b>3,705</b>	<b>5.3%</b>	<b>4,022</b>	<b>8.6%</b>	<b>4,340</b>	<b>7.9%</b>	<b>4,582</b>	<b>5.6%</b>
<b>Other Adjustments</b>	<b>(872)</b>	<b>(1,134)</b>	<b>-30.0%</b>	<b>(890)</b>	<b>21.5%</b>	<b>(846)</b>	<b>4.9%</b>	<b>(844)</b>	<b>0.2%</b>
Global Cap Adjustment	(867)	(1,092)	-26.0%	(848)	22.3%	(804)	5.2%	(802)	0.2%
Other DOH Offsets	(42)	(42)	0.0%	(42)	0.0%	(42)	0.0%	(42)	0.0%
53rd Medicaid Cycle	37	0	-100.0%	0	0.0%	0	0.0%	0	0.0%

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



Local assistance spending accounts for over 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 6.8 percent annually. The main factor driving this level of growth is enhancement of community mental health services; enhancing community-based employment and residential opportunities for individuals with disabilities; maximizing payments from third-party payers; and providing cost-of-living increases and new funding to not-for-profit providers for the minimum wage increase authorized as part of the Enacted Budget agreement.

The Enacted Budget increases local assistance funding for mental hygiene agencies from \$3.5 billion in FY 2016 to \$3.7 billion in FY 2017. The spending increase is largely related to new community investments in OPWDD and OMH, as individuals are transitioned from State-operated services to community-integrated settings; new service investments in the OPWDD system; new residential beds opening in OMH; and funding to support a modest 0.2 percent Human Services COLA for not-for-profit providers that deliver services on behalf of OPWDD, OMH and OASAS.

This funding increase is offset by technical adjustments to the Medicaid Global Cap (\$225 million), as a greater share of OPWDD-related spending will be financed from Global Cap resources, and recognition of one-time costs in FY 2016 for a 53rd weekly Medicaid Cycle (\$37 million). These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

The Enacted Budget Financial Plan also includes updated assumptions to reflect revised timelines for ongoing transformation efforts in the mental hygiene service delivery system, and the Federal government's extension of the timeframe to disburse funding from BIP. Authorized under the ACA, BIP is an optional program that provides additional Federal funding to qualifying states to encourage the shift from institutional to community services. It is expected that BIP will enable the State to engage a broad network of providers, advocates and community leaders to develop systematic improvements to delivery systems for individuals with intellectual and/or developmental disabilities and individuals with mental illness.

## Social Services

### Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,213</b>	<b>1,252</b>	<b>3.2%</b>	<b>1,281</b>	<b>2.3%</b>	<b>1,299</b>	<b>1.4%</b>	<b>1,309</b>	<b>0.8%</b>
SSI	641	670	4.5%	679	1.3%	679	0.0%	679	0.0%
Public Assistance Benefits	474	459	-3.2%	474	3.3%	477	0.6%	479	0.4%
Public Assistance Initiatives	7	29	314.3%	27	-6.9%	36	33.3%	36	0.0%
All Other	91	94	3.3%	101	7.4%	107	5.9%	115	7.5%

OTDA spending on SSI is projected to increase between FY 2016 and FY 2017 and to continue to increase gradually over the course of the multi-year Financial Plan due to updated caseload projections. Public Assistance benefits spending is projected to decline from FY 2016 to FY 2017, with DOB projecting a total of 557,159 recipients in FY 2017. Approximately 238,388 families are expected to receive benefits through the Family Assistance program in FY 2017, a decrease of 2.2 percent from FY 2016. In the Safety Net program an average of 115,259 families are expected to be helped in FY 2017, a decrease of 2.1 percent from FY 2016. The caseload for single adults/childless couples supported through the Safety Net program is projected at 203,512 in FY 2017, an increase of 0.2 percent from FY 2016. Spending in Public Assistance and All Other Initiatives will increase from FY 2016 to FY 2017 due to the implementation of new programs including several to address homelessness. Growth will be more gradual in the outyears.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## OCFS

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,736</b>	<b>1,672</b>	<b>-3.7%</b>	<b>1,701</b>	<b>1.7%</b>	<b>1,716</b>	<b>0.9%</b>	<b>1,738</b>	<b>1.3%</b>
Child Welfare Service	491	448	-8.8%	472	5.4%	482	2.1%	492	2.1%
Foster Care Block Grant	445	445	0.0%	455	2.2%	464	2.0%	472	1.7%
Adoption	152	154	1.3%	154	0.0%	154	0.0%	154	0.0%
Day Care	270	208	-23.0%	185	-11.1%	178	-3.8%	178	0.0%
Youth Programs	111	161	45.0%	154	-4.3%	153	-0.6%	153	0.0%
Medicaid	89	90	1.1%	92	2.2%	92	0.0%	94	2.2%
Committees on Special Education	45	39	-13.3%	41	5.1%	42	2.4%	44	4.8%
Adult Protective/Domestic Violence	35	32	-8.6%	33	3.1%	34	3.0%	34	0.0%
All Other	98	95	-3.1%	115	21.1%	117	1.7%	117	0.0%

OCFS State Operating Funds spending is projected to decline between FY 2016 and FY 2017, primarily due to the use of Federal TANF to maintain funding for child care subsidies. Spending is projected to increase after FY 2018 due to a variety of factors including a projected increase in child welfare services claims and increased costs to fund statutory Human Services COLA increases.



## Transportation

In FY 2017, the State will provide approximately \$5.0 billion in operating aid to mass transit systems. The aid is funded mainly from dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of the statewide mass transit operating aid. In addition, the MTA receives operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund now provides additional annual support, subject to appropriation, to the MTA to make up the lost revenue.

TRANSPORTATION (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE OPERATING FUNDS SUPPORT</b>	<b>4,745</b>	<b>4,952</b>	<b>4.4%</b>	<b>5,040</b>	<b>1.8%</b>	<b>5,097</b>	<b>1.1%</b>	<b>5,192</b>	<b>1.9%</b>
Mass Transit Operating Aid:	<u>2,160</u>	<u>2,280</u>	<u>5.6%</u>	<u>2,280</u>	<u>0.0%</u>	<u>2,280</u>	<u>0.0%</u>	<u>2,280</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,030	2,152	6.0%	2,152	0.0%	2,152	0.0%	2,152	0.0%
Public Transit Aid	86	84	-2.3%	84	0.0%	84	0.0%	84	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,851	1,950	5.3%	2,052	5.2%	2,113	3.0%	2,207	4.4%
Dedicated Mass Transit	666	661	-0.8%	651	-1.5%	647	-0.6%	649	0.3%
AMTAP	68	61	-10.3%	56	-8.2%	56	0.0%	56	0.0%
All Other	0	0	0.0%	1	0.0%	1	0.0%	0	-100.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Enacted Budget Financial Plan includes revised spending estimates for transit assistance in each year to reflect the current receipts forecast.

Beginning in FY 2017 capital financing sources will support all capital-related spending currently funded from the Dedicated Mass Transportation Trust Fund (DMTTF).

# Financial Plan Projections Fiscal Years 2017 Through 2020



## Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>728</b>	<b>715</b>	<b>-1.8%</b>	<b>763</b>	<b>6.7%</b>	<b>763</b>	<b>0.0%</b>	<b>763</b>	<b>0.0%</b>
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	13	0	-100.0%	48	0.0%	48	0.0%	48	0.0%

State Operating Funds spending for AIM efficiency incentive grants will decline from FY 2016 to FY 2017 due to the timing of grants and the use of settlement money appropriated in DIIF for local government purposes.

## Agency Operations

Agency operating costs consist of PS, NPS, and General State Charges (GSCs). PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the Department of Transportation (DOT) and the Department of Motor Vehicles (DMV) are included in the capital projects fund type and are not reflected in State Operating Funds.

## Factors Impacting Agency Operations Spending Projections

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers). PS estimates reflect current negotiated collective bargaining agreements. See additional discussion of current labor negotiations in “Other Matters Affecting the State Financial Plan” herein.

The following table presents certain variables used in preparing the spending projections for agency operations.

	FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS				
	FY 2016 Results	FY 2017 Enacted	Forecast		
			FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Negotiated Base Salary Increases <sup>1</sup>					
CSEA/NYSCOPBA/Council 82/UUP/DC-37/GSEU	2%	TBD	TBD	TBD	TBD
PEF <sup>2</sup>	2%	TBD	TBD	TBD	TBD
PBANYS	TBD	TBD	TBD	TBD	TBD
NYSBPA	2%	1.5%	1.5%	TBD	TBD
State Workforce <sup>3</sup>	117,863	118,590	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization <sup>4</sup>	18.9%	15.9%	15.5%	15.0%	15.2%
After Amortization <sup>5</sup>	19.3%	19.5%	19.4%	18.8%	18.9%
PFRS Contribution Rate					
Before Amortization <sup>4</sup>	25.5%	25.1%	23.8%	23.3%	23.5%
After Amortization <sup>5</sup>	27.6%	28.7%	27.1%	26.5%	26.7%
Employee/Retiree Health Insurance Growth Rates	5.1%	6.6%	5.8%	6.5%	6.5%
PS/Fringe as % of Receipts (All Funds Basis)	13.7%	13.8%	13.5%	13.7%	13.7%

<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.  
<sup>2</sup> The State recently reached a one-year 2% retroactive labor agreement, pending ratification by membership.  
<sup>3</sup> Reflects workforce that is Subject to Direct Executive Control.  
<sup>4</sup> Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP).  
<sup>5</sup> After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

# Financial Plan Projections Fiscal Years 2017 Through 2020



## State Operating Funds PS/NPS Projections

Operating costs for PS/NPS are projected to grow modestly over the financial plan period from \$18.5 billion in FY 2017 to \$19.0 billion in FY 2020. Most executive agencies are expected to hold spending at FY 2016 levels. The annual increase reflects expected increases for employee health insurance costs and the State's annual pension payment, as well as costs for the DOH to operate the NYSOH health benefit exchange, continue the transition of administrative functions from local service districts to the State, and operate the new EP. The Budget includes costs from from collective bargaining agreements, (1.5 percent increases in FYs 2017 and 2018 for NYSPBA), applicable lump sum payments, and repayment of a portion of the deficit reduction adjustment made to employee salaries.

Excluding the 27<sup>th</sup> institutional payroll in FY 2016 and certain repayments to NYPA, Executive agency operational costs are expected to total \$9.9 billion in FY 2017, slightly lower than FY 2016. In FY 2018 and beyond, spending is expected to increase by \$60 to \$80 million annually. Agencies with growth include the DOH, attributable to the NYSOH benefit exchange and the new EP program; Corrections; State Police; Gaming; OMH; OPWDD; and OCFS.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS (millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Enacted	Projected	Projected	Projected
<b>SUBJECT TO DIRECT EXECUTIVE CONTROL</b>	<b>10,145</b>	<b>9,964</b>	<b>10,247</b>	<b>10,114</b>	<b>10,152</b>
Mental Hygiene	2,824	2,738	2,733	2,775	2,816
Corrections and Community Supervision	2,618	2,622	2,630	2,632	2,640
State Police	693	685	696	696	696
Information Technology Services <sup>1</sup>	506	533	565	577	577
Public Health	403	383	377	377	378
Tax and Finance	336	328	329	329	329
Medicaid Admin/EP	286	340	367	368	376
Children and Family Services	263	245	247	254	254
Environmental Conservation	238	229	229	230	230
Financial Services	202	211	212	212	212
Parks, Recreation and Historic Preservation	181	177	177	175	175
General Services	157	166	166	166	166
Gaming	147	153	158	158	158
Temporary and Disability Assistance	147	130	125	125	125
Workers' Compensation Board	139	137	142	143	145
Extra Bi-Weekly Institutional Pay Period	163	0	0	0	0
New York Power Authority Repayment	21	21	236	22	0
All Other	821	866	858	875	875
<b>UNIVERSITY SYSTEMS</b>	<b>5,953</b>	<b>6,006</b>	<b>6,081</b>	<b>6,180</b>	<b>6,286</b>
State University	5,866	5,920	5,994	6,092	6,196
City University	87	86	87	88	90
<b>INDEPENDENT AGENCIES</b>	<b>310</b>	<b>319</b>	<b>320</b>	<b>321</b>	<b>324</b>
Law	169	172	173	174	177
Audit & Control (OSC)	141	147	147	147	147
<b>TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE</b>	<b>16,408</b>	<b>16,289</b>	<b>16,648</b>	<b>16,615</b>	<b>16,762</b>
Judiciary	1,959	2,026	2,026	2,051	2,053
Legislature	216	219	219	219	219
<b>Statewide Total</b>	<b>18,583</b>	<b>18,534</b>	<b>18,893</b>	<b>18,885</b>	<b>19,034</b>
Personal Service	12,981	12,841	12,900	13,020	13,113
Non-Personal Service	5,602	5,693	5,993	5,865	5,921

<sup>1</sup> Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

The most significant changes include:

- **Medicaid Admin/EP:** Growth in Medicaid Admin/EP reflects the transitioning of certain functions from the local services districts to the State as part of the ongoing statewide Medicaid Admin takeover initiative, and the implementation of the NYSOH health benefit exchange, the State's centralized marketplace for health plan shopping and enrollment in accordance with the ACA.
- **Information Technology Services:** Increases in IT Services from FY 2017 to FY 2020 are attributable to agency transfers for the continuous statewide IT consolidation, which is offset by efficiencies realized through the IT consolidation.
- **Mental Hygiene:** Lower Mental Hygiene agency spending in FY 2017 is the result of aligning PS and NPS costs to the appropriate fund type.
- **NYPA Repayment:** Annual payments to NYPA are pursuant to funding schedules agreed upon by the State and NYPA, and are consistent with previous Financial Plan assumptions.
- **Extra Biweekly Institutional Pay Period:** There are typically 26 pay periods in a fiscal year. In FY 2016, employees on the institutional pay schedule had one additional payroll driven by the way the calendar fell.
- **Judiciary:** FY 2017 salary increase for judges, as authorized by the New York State Commission on Legislative, Judicial, and Executive Compensation, will be absorbed within the Judiciary's budget.
- **State University:** Higher SUNY spending reflects anticipated operating needs at SUNY campuses and hospitals supported through campus revenues, State support and hospital revenues.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



### Workforce

In FY 2017, \$12.8 billion or 13.3 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 98,000 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (43,982) and Independent Agencies (18,185); employees paid on a nonannual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in three areas: SUNY, the mental hygiene agencies, and the Department of Corrections and Community Supervision (DOCCS).

<b>STATE OPERATING FUNDS</b>		
<b>FY 2017 FTEs<sup>1</sup> AND PERSONAL SERVICE SPENDING BY AGENCY</b>		
<b>(millions of dollars)</b>		
	<b>Dollars</b>	<b>FTEs</b>
<b>Subject to Direct Executive Control</b>	<b>7,163</b>	<b>98,198</b>
Mental Hygiene Agencies	2,239	33,825
Corrections and Community Supervision	2,070	28,123
State Police	619	5,608
Tax and Finance	269	4,267
Health	268	3,743
Environmental Conservation	174	2,164
Children and Family Services	162	2,465
Financial Services	154	1,382
Parks, Recreation and Historic Preservation	132	1,528
All Other	1,076	15,093
<b>University Systems</b>	<b>3,723</b>	<b>43,982</b>
State University	3,678	43,667
City University <sup>2</sup>	45	315
<b>Independent Agencies</b>	<b>1,955</b>	<b>18,185</b>
Law	118	1,583
Audit & Control (OSC)	114	1,603
Judiciary	1,557	14,998
Legislature <sup>3</sup>	166	1
<b>Total</b>	<b>12,841</b>	<b>160,365</b>

<sup>1</sup> FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

<sup>2</sup> CUNY employees are funded primarily through an agency trust fund that supports an additional 13,330 FTEs, which are excluded from this table.

<sup>3</sup> Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate .

## General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State’s employer-share of Social Security, health insurance, workers’ compensation, unemployment insurance, survivors’ benefits fund, employee benefits funds, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget.<sup>19</sup> The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits that are paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include fixed costs for several categories including State payments in lieu of taxes, payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>7,452</b>	<b>7,551</b>	<b>1.3%</b>	<b>8,022</b>	<b>6.2%</b>	<b>8,302</b>	<b>3.5%</b>	<b>8,736</b>	<b>5.2%</b>
<b>Fringe Benefits</b>	<b>7,045</b>	<b>7,143</b>	<b>1.4%</b>	<b>7,622</b>	<b>6.7%</b>	<b>7,899</b>	<b>3.6%</b>	<b>8,328</b>	<b>5.4%</b>
Health Insurance	3,463	3,720	7.4%	3,943	6.0%	4,209	6.7%	4,493	6.8%
Employee Health Insurance	2,178	2,343	7.6%	2,484	6.0%	2,651	6.7%	2,831	6.8%
Retiree Health Insurance	1,285	1,376	7.1%	1,459	6.0%	1,557	6.7%	1,663	6.8%
Pensions	2,225	2,352	5.7%	2,463	4.7%	2,445	-0.7%	2,500	2.2%
Social Security	985	966	-2.0%	971	0.6%	979	0.8%	984	0.5%
Worker’s Compensation	476	320	-32.8%	432	35.0%	473	9.5%	583	23.3%
Employee Benefits	91	89	-2.2%	90	1.1%	91	1.1%	92	1.1%
Dental Insurance	59	65	10.2%	65	0.0%	65	0.0%	65	0.0%
Unemployment Insurance	15	17	13.3%	17	0.0%	17	0.0%	17	0.0%
All Other/Non-State Escrow	(270)	(385)	-42.6%	(359)	6.8%	(380)	-5.8%	(406)	-6.8%
<b>Fixed Costs</b>	<b>407</b>	<b>408</b>	<b>0.2%</b>	<b>399</b>	<b>-2.1%</b>	<b>404</b>	<b>1.0%</b>	<b>408</b>	<b>1.0%</b>

GSCs are projected to increase at an average annual rate of 4.1 percent over the Financial Plan period, driven primarily by cost increases for pension contributions and the employer share of costs for employee and retiree health insurance benefits.

In FY 2017, State Operating Funds spending is projected to increase by \$99 million (1.3 percent). Health insurance increases are due to rising prescription drug costs, greater use of more expensive specialty drugs for chronic conditions, and price inflation. Pension cost growth reflects the impact of higher graded rates, which increases the State’s gross funding liability, and the repayment of prior-year amortizations, partially offset by an increase in lower cost Tier 6 entrants.

<sup>19</sup> As of July 2015, SUNY Teachers Insurance and Annuity Association - College Retirement Equities Fund (TIAA- CREF) and other SUNY fringe benefit costs are no longer paid directly by, and have been shifted to the central statewide appropriation.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020



Reducing FY 2017 growth in GSCs from base spending estimates are gap-closing savings of approximately \$228 million. The savings are primarily driven by \$140 million in lower projected workers' compensation payments, reflecting the use of available reserves which will be transferred directly to SIF; and approximately \$79 million in interest savings achieved by paying the full State pension bill in April 2016, rather than on the due date of March 1, 2017.

In addition to the actions described above, fringe benefit and fixed cost spending estimates reflect a mix of increasing costs associated with updated baseline growth in health insurance rate renewals and workers' compensation liabilities, and other downward adjustments which reflect the shift of spending between the SUNY and GSC budgets, and the timing of certain payments from prior years.



## Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

<b>GENERAL FUND TRANSFERS TO OTHER FUNDS</b>					
(millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Enacted	Projected	Projected	Projected
<b>TOTAL TRANSFERS TO OTHER FUNDS</b>	<b>11,376</b>	<b>12,160</b>	<b>11,375</b>	<b>12,005</b>	<b>12,156</b>
State Share of Mental Hygiene Medicaid <sup>1</sup>	2,036	1,437	1,325	1,301	1,236
Debt Service	1,196	706	1,260	1,182	1,076
SUNY University Operations	998	996	1,001	997	997
<b>Capital Projects</b>	<b>2,721</b>	<b>4,461</b>	<b>3,019</b>	<b>3,399</b>	<b>3,311</b>
Dedicated Highway and Bridge Trust Fund	681	602	711	692	974
Dedicated Infrastructure Investment Fund	857	1,351	1,701	1,700	732
Management of Debt Issuances	0	1,300	(800)	(500)	0
Environmental Protection Fund	23	146	28	28	28
All Other Capital	1,160	1,062	1,379	1,479	1,577
<b>ALL OTHER TRANSFERS</b>	<b>4,425</b>	<b>4,560</b>	<b>4,770</b>	<b>5,126</b>	<b>5,536</b>
Mental Hygiene	3,195	3,317	3,546	3,913	4,324
Department of Transportation (MTA Payroll Tax)	331	333	334	334	335
SUNY - Medicaid Reimbursement	355	282	282	282	282
Judiciary Funds	107	107	107	107	107
SUNY - Hospital Operations	88	88	69	69	69
Dedicated Mass Transportation Trust Fund	63	63	66	66	66
Banking Services	52	52	53	53	53
Indigent Legal Services	30	35	35	35	35
Mass Transportation Operating Assistance	21	37	38	38	38
Alcoholic Beverage Control	15	0	0	0	0
Information Technology Services	8	2	2	2	2
Public Transportation Systems	15	15	16	16	16
Correctional Industries	11	11	11	11	11
Spinal Cord Injury	9	9	9	9	9
Medical Marihuana Fund	7	5	5	5	5
All Other	118	204	197	186	184

<sup>1</sup> Includes transfers related to the multi-year OPWDD disallowance repayments, including the use of monetary settlement funds for the \$850 million upfront repayment.

A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and highway use taxes. The Enacted Budget Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$12.2 billion in FY 2017, a \$784 million increase from FY 2016. This growth is primarily due to transfers and uses of settlement money.

# Financial Plan Projections

## Fiscal Years 2017 Through 2020




### Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2016 Results	FY 2017 Enacted	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
General Fund	1,196	706	-41.0%	1,260	78.5%	1,182	-6.2%	1,076	-9.0%
Other State Support	4,402	4,497	2.2%	4,997	11.1%	5,589	11.8%	6,156	10.1%
<b>State Operating/All Funds Total</b>	<b>5,598</b>	<b>5,203</b>	<b>-7.1%</b>	<b>6,257</b>	<b>20.3%</b>	<b>6,771</b>	<b>8.2%</b>	<b>7,232</b>	<b>6.8%</b>

Total State Operating/All Funds debt service is projected at \$5.2 billion in FY 2017, of which approximately \$706 million is paid from the General Fund via transfers, and \$4.5 billion from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTF bonds, and mental health facilities bonds.

Enacted Budget Financial Plan estimates for debt service spending reflect a number of factors, including bond sale results to date, assumed debt management savings, revised bond-financed capital spending estimates, and increased debt service costs associated with enacted additional capital commitment levels. Debt service spending in FY 2016 reflected prepayments of about \$710 million due during FY 2017, and FY 2017 debt service spending estimates assume the prepayment of \$60 million of debt service due during FY 2018.



**FY 2016 Preliminary  
Year-End Results**



This section provides a summary of operating results for April 2015 through March 2016 compared to (1) the initial projections set forth in the FY 2016 Enacted Budget; (2) the revised projections of the FY 2016 Executive Budget, as amended; and (3) the results for the prior fiscal year (April 2014 through March 2015). The explanation of variance is mainly focused on results compared to initial projections.

The results below include monetary settlements. For a summary discussion of operating results that exclude monetary settlements, see the earlier discussion of the FY 2016 and FY 2017 General Fund.

## General Fund Results

Monetary settlements have had a dramatic temporary effect on the State's receipts, transfers, and cash position and as such, the State ended March 2016 with a General Fund closing balance of \$8.9 billion. This balance was substantially higher than estimated in both the FY 2016 Enacted Budget Financial Plan and the revised Financial Plan (February 2016), which reflects the receipt of an additional \$1.6 billion<sup>20</sup> in monetary settlements and \$3.7 billion in planned transfers to DIIF that did not occur by March 31, 2016. In addition, both tax receipts and spending were lower than expected.

<b>GENERAL FUND OPERATING RESULTS</b>					
<b>April 2015 through March 2016</b>					
<b>(millions of dollars)</b>					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>7,300</b>	<b>7,300</b>	<b>7,300</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>68,285</b>	<b>70,294</b>	<b>69,676</b>	<b>1,391</b>	<b>(618)</b>
Taxes:	62,622	63,247	62,581	(41)	(666)
Personal Income Tax <sup>1</sup>	42,139	42,380	42,116	(23)	(264)
Consumption / Use Taxes <sup>1</sup>	12,623	12,243	12,306	(317)	63
Business Taxes	5,897	6,202	5,647	(250)	(555)
Other Taxes <sup>1</sup>	1,963	2,422	2,512	549	90
Receipts and Grants	4,365	5,820	5,842	1,477	22
Transfers From Other Funds	1,298	1,227	1,253	(45)	26
<b>Total Spending</b>	<b>72,090</b>	<b>72,583</b>	<b>68,042</b>	<b>(4,048)</b>	<b>(4,541)</b>
Local Assistance	44,356	44,153	43,314	(1,042)	(839)
Agency Operations (including GSCs)	13,458	13,410	13,352	(106)	(58)
Debt Service Transfer	886	1,282	1,196	310	(86)
Capital Projects Transfer	5,947	6,148	2,721	(3,226)	(3,427)
State Share of Mental Hygiene Medicaid Transfer	2,162	2,159	2,036	(126)	(123)
SUNY Operations Transfer	998	998	998	-	-
All Other Transfers	4,283	4,433	4,425	142	(8)
<b>Change in Operations</b>	<b>(3,805)</b>	<b>(2,289)</b>	<b>1,634</b>	<b>5,439</b>	<b>3,923</b>
<b>Closing Balance</b>	<b>3,495</b>	<b>5,011</b>	<b>8,934</b>	<b>5,439</b>	<b>3,923</b>

<sup>1</sup> Includes transfers from other funds after debt service.

<sup>20</sup> The FY 2017 Executive Budget Financial Plan reflected an additional \$1.6 billion in monetary settlement receipts in FY 2016 beyond the amount expected in the FY 2016 Enacted Budget Plan.

## Receipts

Tax collections in total were \$41 million lower than initially planned. Lower tax collections occurred in consumption/use taxes (\$317 million) due mainly to refunds and accounting adjustments; business taxes (\$250 million) due to lower audit receipts primarily in the corporate franchise tax; and a modest variance in PIT (\$23 million). These declines are almost entirely offset by higher other taxes collections (\$549 million) attributable to extraordinary estate tax collections related to super large payments (i.e., payments over \$25 million) that substantially exceeded historical averages, and NYC real estate transfer tax payments (mostly luxury condos) that continue to outperform.

Higher miscellaneous receipts were primarily due to \$1.6 billion in unanticipated monetary settlements with the following financial institutions for the violation of various State and/or Federal laws, see “Monetary Settlements” herein:

- Barclays (\$670 million)
- Credit Agricole (\$459 million)
- Deutsche Bank (\$200 million)
- Morgan Stanley (\$150 million)
- Goldman Sachs (\$50 million)
- Credit Suisse AG (\$30 million)
- Promontory Financial Group (\$15 million)
- New Day Financial (\$1 million)

Compared to the prior update, General Fund revenue collections were \$618 million lower than projected, with the largest variances due to lower Business Tax collections (\$555 million), reflecting payment timing changes and lower audit receipts driven by the corporate franchise tax and lower PIT collections (\$264 million) as a weaker than expected withholding, partly offset by lower than expected prior-year refunds, and the impact of transfers from Debt Service funds.

## Spending

Through March 2016, General Fund disbursements, including transfers to other funds, were \$4.0 billion lower than initially expected, primarily a result of planned transfers to DIIF (\$3.7 billion) that did not occur by March 31, 2016, and are now scheduled to occur over a multi-year period. Excluding the DIIF transfers, General Fund disbursements were \$355 million below initial projections. Certain financial plan accounting adjustments, which are offsetting across funds and financial plan categories on a State Operating Funds basis, partly contribute to General Fund spending variances.

Local assistance spending was lower than initially planned with the largest underspending occurring in health care due mainly to additional HCRA resources which became available to finance Medicaid payments which would otherwise have been paid from General Fund resources.



## FY 2016 Preliminary Year-End Results

Operational spending was less than expected across various agencies, partly offset by higher GSC spending resulting from higher Workers' Compensation payments, and lower reimbursement from other sources.

Lower transfers to capital projects funds are primarily attributable to the re-scheduling of transfers to DIIF, as described above, partly offset by additional transfers due to the timing of bond proceed reimbursements. Transfers to debt service were higher due to the payment of FY 2017 expenses in FY 2016.

## State Operating Funds Results

Consistent with the General Fund explanation above, the closing balance of \$12.6 billion in State Operating Funds was substantially higher than initially estimated in both the FY 2016 Enacted Budget Financial Plan and the prior plan, which reflects the receipt of an additional \$1.6 billion<sup>21</sup> in monetary settlement receipts, and \$3.7 billion in planned transfers of monetary settlement resources to DIIF that did not occur by March 31, 2016.

STATE OPERATING FUNDS RESULTS					
April 2015 through March 2016					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>9,890</b>	<b>9,890</b>	<b>9,890</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>93,301</b>	<b>95,336</b>	<b>96,607</b>	<b>3,306</b>	<b>1,271</b>
Taxes:	73,213	73,715	73,279	66	(436)
Personal Income Tax	47,075	47,093	47,055	(20)	(38)
Consumption / Use Taxes	15,242	15,019	15,090	(152)	71
Business Taxes	7,515	7,778	7,244	(271)	(534)
Other Taxes	3,381	3,825	3,890	509	65
Miscellaneous/Federal Receipts	20,088	21,621	23,328	3,240	1,707
<b>Total Spending</b>	<b>94,250</b>	<b>94,289</b>	<b>94,288</b>	<b>38</b>	<b>(1)</b>
Local Assistance	63,305	63,032	62,653	(652)	(379)
Agency Operations (including GSCs)	25,822	25,804	26,035	213	231
Debt Service	5,122	5,452	5,598	476	146
Capital Projects	1	1	2	1	1
<b>Other Financing Sources</b>	<b>(2,673)</b>	<b>(3,003)</b>	<b>432</b>	<b>3,105</b>	<b>3,435</b>
<b>Change in Operations</b>	<b>(3,622)</b>	<b>(1,956)</b>	<b>2,751</b>	<b>6,373</b>	<b>4,707</b>
<b>Closing Balance</b>	<b>6,268</b>	<b>7,934</b>	<b>12,641</b>	<b>6,373</b>	<b>4,707</b>

Receipts in State Operating Funds were \$3.3 billion higher than the FY 2016 Enacted Budget Financial Plan projections. This increase is primarily comprised of higher miscellaneous receipts (\$3.2 billion), including unanticipated monetary settlements with financial institutions (\$1.6 billion), State Lottery receipts (\$298 million), SUNY operations revenue (\$250 million), HCRA Resources (\$130 million), and Workers' Compensation (\$66 million).

State Operating Funds spending was \$38 million higher than initial projections. Local assistance was lower (\$652 million), which is offset by higher agency operations spending (\$213 million) and debt service (\$476 million). The local assistance variance is attributable to lower than anticipated Special Education claiming (\$153 million) and under-spending in transit aid (\$117 million), Mental Hygiene agencies (\$72 million), Tribal State Compact (\$68 million), STAR (\$48 million), and ESD (\$31 million). Agency operations spending variance is driven by PS costs in SUNY and DOCCS, primarily as a result of higher overtime costs, and higher GSCs as a result of an additional Workers' Compensation payment. The debt service variance reflects additional prepayments made in March 2016.

<sup>21</sup> The 2017 Executive Budget Financial Plan reflected an additional \$1.6 billion in monetary settlement receipts in FY 2016 beyond the amount expected in the FY 2016 Enacted Budget Plan.



Other financing sources represent the difference between transfers to and from State Operating Funds. Lower than anticipated transfers reflect the timing of DIIF transfer described above.

## Capital Projects Results

The State ended March 2016 with a Capital Projects closing balance of negative \$891 million, \$3.6 billion lower than originally projected. The variance is mainly attributable to lower than anticipated transfers from the General Fund to DIIF (\$3.7 billion), which is reflected in other financing sources, as well as lower receipts (\$982 million), primarily miscellaneous receipts, in the areas of ESD (\$486 million), MTA (\$310 million), Health (\$250 million), SUNY (\$170 million), and Division of Homeland Security and Emergency Services (DHSES) (\$121 million), partially offset by higher Federal grants mainly for transportation (\$450 million).

CAPITAL PROJECTS FUNDS RESULTS					
April 2015 through March 2016					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>(724)</b>	<b>(724)</b>	<b>(724)</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>8,344</b>	<b>8,429</b>	<b>7,362</b>	<b>(982)</b>	<b>(1,067)</b>
Taxes:	<u>1,349</u>	<u>1,368</u>	<u>1,394</u>	<u>45</u>	<u>26</u>
Consumption / Use Taxes	608	621	636	28	15
Business Taxes	622	628	639	17	11
Other Taxes	119	119	119	-	-
Miscellaneous Receipts	5,299	4,585	3,822	(1,477)	(763)
Federal Grants	1,696	2,476	2,146	450	(330)
<b>Total Spending</b>	<b>10,313</b>	<b>10,010</b>	<b>8,981</b>	<b>(1,332)</b>	<b>(1,029)</b>
Economic Development	825	688	716	(109)	28
Parks & the Environment	749	704	681	(68)	(23)
Transportation	4,676	5,359	4,519	(157)	(840)
Health & Social Welfare	572	305	258	(314)	(47)
Mental Hygiene	243	263	243	-	(20)
Public Protection	455	447	422	(33)	(25)
Education	1,485	1,204	1,000	(485)	(204)
All Other	1,308	1,040	1,142	(166)	102
<b>Other Financing Sources</b>	<b>5,407</b>	<b>5,449</b>	<b>1,452</b>	<b>(3,955)</b>	<b>(3,997)</b>
<b>Change in Operations</b>	<b>3,438</b>	<b>3,868</b>	<b>(167)</b>	<b>(3,605)</b>	<b>(4,035)</b>
<b>Closing Balance</b>	<b>2,714</b>	<b>3,144</b>	<b>(891)</b>	<b>(3,605)</b>	<b>(4,035)</b>

The most significant areas contributing to lower capital spending (\$1.3 billion) include slower than expected spending for Smart Schools and EXCEL projects (\$415 million); Public Health (\$299 million), mainly due to Capital Restructuring Financing Program, Health Care Facility Transformation Program, and the federal drinking water program. Underspending also occurred in various capital projects including DOT, MTA, and ESD.

## All Governmental Funds Results

The State ended FY 2016 with an All Governmental Funds closing balance of \$11.8 billion, \$2.6 billion above the initial projection. Higher receipts (\$1.9 billion), lower spending (\$1.4 billion), and reduced other financing sources (\$708 million) contribute to the variance.

<b>All GOVERNMENTAL FUNDS RESULTS</b>					
<b>April 2015 through March 2016</b>					
<b>(millions of dollars)</b>					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>9,355</b>	<b>9,355</b>	<b>9,355</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>151,368</b>	<b>153,744</b>	<b>153,265</b>	<b>1,897</b>	<b>(480)</b>
Taxes:	74,562	75,083	74,673	111	(411)
Personal Income Tax	47,075	47,093	47,055	(21)	(39)
Consumption / Use Taxes	15,850	15,640	15,725	(125)	85
Business Taxes	8,137	8,406	7,884	(253)	(522)
Other Taxes	3,500	3,944	4,009	509	65
Miscellaneous Receipts	25,410	26,333	27,268	1,858	935
Federal Grants	51,396	52,328	51,324	(72)	(1,004)
<b>Total Spending</b>	<b>152,150</b>	<b>152,078</b>	<b>150,708</b>	<b>(1,442)</b>	<b>(1,370)</b>
State Operating Funds:	94,250	94,289	94,288	38	(1)
Local Assistance	63,305	63,032	62,653	(652)	(379)
Agency Operations (including GSCs)	25,822	25,804	26,035	213	231
Debt Service	5,122	5,452	5,598	476	146
Capital Projects	1	1	2	1	1
Capital Projects Funds	10,313	10,010	8,981	(1,332)	(1,029)
Federal Operating Funds	47,587	47,779	47,439	(148)	(340)
<b>Other Financing Sources</b>	<b>606</b>	<b>398</b>	<b>(102)</b>	<b>(708)</b>	<b>(500)</b>
<b>Change in Operations</b>	<b>(176)</b>	<b>2,064</b>	<b>2,455</b>	<b>2,631</b>	<b>391</b>
<b>Closing Balance</b>	<b>9,179</b>	<b>11,419</b>	<b>11,810</b>	<b>2,631</b>	<b>391</b>

Through March 2016, total All Funds receipts were \$1.9 billion higher than the FY 2016 Enacted Budget Financial Plan projections, mainly due to higher miscellaneous receipts consistent with the explanations described earlier.

Through March 2016, All Funds spending was \$1.4 billion below FY 2016 Enacted Budget Financial Plan projections. In addition to the State Operating Funds and Capital spending variances described earlier, Federal Operating Funds spending was \$148 million lower, reflecting significant underspending in Homeland Security and Emergency Services (\$467 million), which was partially offset by overspending in Public Health (\$354 million).

## All Governmental Funds Annual Change

All Governmental Funds results include a higher opening balance (\$5.3 billion), growth in receipts (\$4.2 billion), and higher spending (\$6.8 billion) compared to the same period in the prior year.

<b>ALL GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR</b>				
<b>April 2015 through March 2016</b>				
<b>(millions of dollars)</b>				
	<b>FY 2015</b>	<b>FY 2016</b>	<b>Increase/(Decrease)</b>	
	<b>Results</b>	<b>Results</b>	<b>\$</b>	<b>%</b>
<b>Opening Balance</b>	<b>4,035</b>	<b>9,355</b>	<b>5,320</b>	
<b>Total Receipts</b>	<b>149,108</b>	<b>153,265</b>	<b>4,157</b>	<b>2.8%</b>
Taxes:	<u>71,034</u>	<u>74,673</u>	<u>3,639</u>	<u>5.1%</u>
Personal Income Tax	43,709	47,055	3,346	7.7%
Consumption / Use Taxes	15,385	15,725	340	2.2%
Business Taxes	8,503	7,884	(619)	-7.3%
Other Taxes	3,437	4,009	572	16.6%
Miscellaneous Receipts	29,438	27,268	(2,170)	-7.4%
Federal Grants	48,637	51,324	2,687	5.5%
<b>Total Spending</b>	<b>143,890</b>	<b>150,708</b>	<b>6,818</b>	<b>4.7%</b>
State Operating Funds:	<u>92,426</u>	<u>94,288</u>	<u>1,862</u>	<u>2.0%</u>
Local Assistance	61,052	62,653	1,601	2.6%
Agency Operations (including GSCs)	25,190	26,035	845	3.4%
Debt Service	6,183	5,598	(585)	-9.5%
Capital Projects	1	2	1	100.0%
Capital Projects Funds	7,548	8,981	1,433	19.0%
Federal Operating Funds	43,917	47,439	3,523	8.0%
<b>Other Financing Sources</b>	<b>103</b>	<b>(102)</b>	<b>(205)</b>	
<b>Change in Operations</b>	<b>5,320</b>	<b>2,455</b>	<b>(2,866)</b>	
<b>Closing Balance</b>	<b>9,355</b>	<b>11,810</b>	<b>2,454</b>	

## Receipts

All Funds tax receipts were \$3.6 billion higher than prior year results, including PIT collections (\$3.3 billion) due to growth in extension payments attributable to tax year 2014 and estimated FY 2015 tax year payments, withholding, and final returns; other taxes (\$572 million) from extraordinary growth in large estate tax payments and New York City real estate transfer tax payments; and consumption/use taxes (\$340 million) primarily from an increase in taxable auto sales and food and lodging establishments, partly offset by a large, non-recurring refund. An annual decline in business taxes (\$619 million) primarily reflects the first year of corporate tax reform.

Miscellaneous receipts were \$2.2 billion below the prior year due mainly to a larger amount of settlement funds received in FY 2015 (\$1.3 billion) and a decline in SIF assessment reserves transferred to the State per the terms of legislation included in the FY 2014 Budget (\$750 million).

The \$2.7 billion annual growth in Federal grants reflects the impact of spending variances, as described below, and other timing-related factors.

## Spending

Through March 2016, All Funds spending is \$6.8 billion above the prior year, comprised of State Operating Funds (\$1.9 billion), Federal Operating Funds (\$3.5 billion), and Capital Projects Funds (\$1.4 billion).

State Operating Funds local assistance growth includes increases in education (\$1.7 billion) mainly for school aid increases, health care (\$590 million), and social services (\$113 million); offset by decreases in Mental Hygiene agencies (\$277 million), DFS (\$143 million), higher education (\$137 million), and the impact of downward spending reclassifications to account for an increase in Medicaid payments to SUNY Hospitals (\$136 million). Higher agency operations' spending includes an additional institutional payroll (\$169 million) and higher PS costs in SUNY (\$123 million), State Police (\$47 million) and Judiciary (\$30 million), as well as budgeted fringe benefit cost increases for pension, health insurance, and litigation (\$419 million). The decline in debt service spending from the prior year (\$585 million) is largely due to the prepayment of FY 2016 costs in FY 2015.

Federal spending growth includes increased spending for health care (\$3.7 billion), consistent with the impact of the ACA and new health care costs under the EP, and for education (\$472 million), partly offset by a spending decline in Homeland Security and Emergency Services due to lower disaster-related costs (\$519 million).

Growth in capital projects spending is primarily attributable to the capital infrastructure projects funded with monetary settlement funds (\$723 million), ESD (\$240 million) for Buffalo Billion projects, and projects related to State and Municipal facilities (\$166 million).

# **Fiscal Impact on Local Governments**



This section presents the estimated fiscal impact of changes proposed in the FY 2017 Enacted Budget on New York's municipalities as required by State Finance Law. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

## Major Changes From The Executive Budget

- **Education:** The Enacted Budget includes a school aid increase of \$1.507 billion for the 2016-17 school year, which is approximately \$516 million higher than proposed in the Executive Budget.
- **Water Infrastructure:** The Enacted Budget provides an increase of \$100 million in capital funding for municipal water infrastructure projects, on top of the \$100 million proposed in the Executive Budget and the \$200 million provided in the FY 2016 Enacted Budget. Funding from this total investment of \$400 million will be used to enhance water quality in the State by remedying environmental deficiencies or complying with environmental laws and regulations. \$100 million of the new funding will be available for municipalities in FY 2017 and \$100 million will be available beginning in FY 2018.
- **Municipal Aid:** The Enacted Budget includes new or restored Miscellaneous Financial Assistance for certain local governments (\$5.2 million) and a restoration of Village per Capita Aid (\$2.0 million).
- **Additional Medicaid Integrity:** The Enacted Budget continues the Medicaid growth takeover for counties and New York City without the adjustments proposed in the Executive Budget. Accordingly, the local impact detail related to Medicaid has been removed from this analysis. However, the Enacted Budget creates a new cost savings initiative to improve the identification and detection of efficiencies or overpayments in Medicaid by authorizing local social districts to engage in Medicaid program integrity initiatives in collaboration with DOH.

## Summary of Other Actions Affecting Local Governments

- **Downtown Revitalization Initiative:** The Enacted Budget provides \$100 million for a new initiative to fund transformative housing, economic development, transportation, and community projects that will attract and retain residents, visitors, and businesses to downtown neighborhoods. Ten communities that are currently experiencing population loss and/or economic decline, as selected by each respective Regional Economic Development Committee, will submit revitalization plans for their downtown area, developed in collaboration with policy and planning experts.
- **Municipal Consolidation Competition:** The Enacted Budget creates a new \$20 million Municipal Consolidation Competition to improve consolidations, dissolutions, mergers, or other permanent changes in municipal governing structures, as well as shared services, efficiencies, and other actions that will reduce property tax burdens on a permanent basis.

- **Binding Arbitration:** In 2013, reforms were enacted requiring arbitrators to give significant weight to a distressed local government's ability to pay and to consider the property tax cap when making awards. As the provisions were previously set to expire July 1, 2016, the Enacted Budget extends these reforms for an additional three years.
- **Sales Tax on Aviation Fuel:** Due to a recent clarification of Federal law governing the use of aviation fuel tax revenues by the Federal Aviation Administration (FAA), the Enacted Budget removes aviation fuel from the local sales and use tax base, effective December 2017. Removal of aviation fuels from the tax base is expected to have a negligible impact on local sales and use tax revenues beginning in calendar year 2018, but it will spare localities and the State from having to implement onerous changes to bring the current system of local sales and use taxes on aviation fuel into compliance with Federal law.

## Local Year 2017 Fiscal Summary

The Enacted Budget will result in a positive local impact of \$2.0 billion for local fiscal years ending in 2017 — the first full-annual local fiscal year affected by the FY 2017 Enacted Budget. The fiscal summary of the impact on local governments for local fiscal year 2017 is as follows:

- **School Districts:** The Enacted Budget will provide a statewide school aid increase of \$1.507 billion for the 2017 school year. School districts outside of New York City are expected to benefit by \$880.0 million in 2017 from this increase, in addition to a portion of \$28.0 million in new competitive school grants and a portion of \$75.0 million in funding for community schools. An estimated \$210.0 million in Smart Schools capital funding will also be disbursed to such school districts in 2017.
- **New York City:** Enacted Budget actions will have a net positive \$663.5 million impact on the City of New York in City Fiscal Year 2017. This is primarily due to a \$523.9 million school aid increase and an estimated \$140.0 million in Smart Schools capital disbursements. The City of New York will also be eligible to receive a portion of \$28.0 million in new competitive school grants and a portion of \$75.0 million in funding for community schools.
- **Counties:** In 2017, county governments will experience a \$4.4 million net positive impact from Enacted Budget actions, primarily due to \$4.3 million in legislative additions related to a Veteran Peer-to-Peer program and Crisis Intervention and Diversion Programs.
- **Other Municipalities:** Other cities, towns, and villages will experience an overall \$1.6 million positive impact in local fiscal years ending in 2017, primarily due to \$0.9 million from increased power plant retirement mitigation funding and \$0.7 million in new or restored municipal aid programs.



# Glossary of Acronyms



AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claim Databases
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
AXA	AXA Equitable Life Insurance Company
BAN	Bond Anticipation Note
BIP	Balancing Incentive Program
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CO/NCO	Commissioned/Non-commissioned Officers
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CUNY	City University of New York
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security and Emergency Services
DIIF	Dedicated Infrastructure Investment Fund
DMTTF	Dedicated Mass Transportation Trust Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSHP	Designated State Health Program
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EI	Early Intervention
EP	Essential Plan

# Glossary of Acronyms



EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
FAA	Federal Aviation Administration
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FOMC	Federal Open Market Committee
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GOER	Governor's Office of Employee Relations
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
IAAF	Interim Access Assurance Fund
IRMAA	Income-Related Monthly Adjustment Amount
ISM	Institute for Supply Management
IT	Information Technology
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIGC	Life Insurance Guaranty Corporation
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MIF	Mortgage Insurance Fund
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
MTACIF	Metropolitan Transit Assistance for Capital Investment Fund
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYPA	New York Power Authority
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPBA	Police Benevolent Association of the New York State Troopers
NYSTA	New York State Thruway Authority
NYU	New York University

OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFS	Statewide Financial System
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STEM	Science, Technology, Engineering and Math
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TIAA - CREF	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TRS	Teachers' Retirement System
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution Plan
VLT	Video Lottery Terminal





# **Financial Plan Tables and Accompanying Notes**





The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

### **Note 1 – Basis of Accounting**

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

### **Note 2 – Fund Types and Perspectives**

The State records its transactions in the following fund types:

#### **Governmental Funds**

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

**Capital Projects** - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic

development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds such as the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

## **State Operating Funds**

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

## **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

## **Fiduciary Funds**

**Private-Purpose Trust Funds** - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

## Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

**PS** - Includes the payment of salaries and compensation for State employees.

**NPS** - Includes payments for operational costs, such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

**GSCs** - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

**Capital Projects** - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional facilities and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

**Bond Proceeds** - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

## Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

**Tax Stabilization Reserve Fund** - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

**Rainy Day Reserve Fund** - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve Fund** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects Fund** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2016 Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

**Debt Management** - Reserved for i) the payment of principal, interest, or related expenses, ii) retiring or defeasing existing State-supported debt obligations, including accrued interest, and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for”.

**Labor Agreements Prior to FY 2017** - Due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

**Monetary Settlements** - Includes the balance of monetary settlements after planned uses.

## Note 5 — Spending Adjustments

Certain accounts formerly reported in the Financial Plan as Federal Funds have been reclassified to State Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Funds, and reducing reported disbursements from Federal Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

## Note 6 — Items Affecting Annual Comparability

**American Recovery and Reinvestment Act of 2009 (ARRA)** - On February 17, 2009, President Barack Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

**Superstorm Sandy** - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year plan to continue the State's recovery from Superstorm Sandy.

## Federal Health Care Transformation

- **Affordable Care Act** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage, ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH health benefit exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.
- **Federal Delivery Service Reform Incentive Payments** - On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **Essential Plan** - The EP is a health insurance program, authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the EP. The Financial Plan assumes the State will participate and begin phasing in certain legally residing immigrants currently receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP will be enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal government. Upon full implementation of EP, approximately 85 percent of program expenditures are expected to be funded by the Federal government. State funding for the EP is offset by lower State Funds Medicaid program spending, and additional Federal Funds.

**Mergers** - State agency mergers in recent years include the following:

- New York State Gaming Commission was created on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, actual and estimated spending beginning in FY 2014 is reflected in the new agency.
- Activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities were subsumed by the New York State Justice Center for the Protection of People with Special Needs, when it became operational on June 30, 2013.
- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Services.
- Consumer Protection Board merged into DOS.
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development.
- Banking and Insurance Departments merged into a new agency known as DFS.

#### **Note 7 — State Funding of SUNY Operating Support**

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending to a transfer from the General Fund. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the 2013 academic year that began in July 2012. All General Fund support for SUNY operations is now transferred from the General Fund to this special revenue account, supplementing tuition revenue received by this account, and from which all university operations are funded.

#### **Note 8 — Off-Budget Transactions**

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

## **Note 9 — General Fund/HCRA Combined Gap**

Current HCRA authorization ends April 1, 2017. HCRA is projected to remain balanced through FY 2020. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

## **Note 10 — MTA Financial Assistance Fund**

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

## **Note 11 — Changes to the Medicaid Program**

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

## **Note 12 — Statewide Cash Flow Administration**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds



from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

### **Note 13 — Transfer Eliminations**

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit A of the Comptroller's Annual Report to the Legislature.

### **Note 14 — Temporary Loans Summary**

The total outstanding loan balance as of March 31, 2016 was \$2.7 billion, comprised of activities that are financed initially by the State pending Federal receipt (\$1.2 billion), advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$966 million), State Special Revenue Funds (\$345 million), and Proprietary Funds (\$179 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

## Note 15 — Pension Amortization

Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2015 and FY 2016, the interest rates charged on the deferred amounts were 3.15 percent and 3.21 percent, respectively. The amortization threshold (the “graded rate”) is projected to approximate the normal rate in upcoming years. Therefore, the Financial Plan no longer assumes amortization of State and OCA pension costs beyond FY 2016.

<b>STATE PENSION COSTS AND AMORTIZATION SAVINGS</b>					
<b>(millions of dollars)</b>					
	<b>FY 2011</b>	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>
<b>AMORTIZATION THRESHOLDS (Graded Rate)</b>					
ERS (%)	9.5	10.5	11.5	12.5	13.5
PFRS (%)	17.5	18.5	19.5	20.5	21.5
<b>STATEWIDE PENSION PAYMENTS</b>					
Gross Pension Costs	<b>1,470</b>	<b>1,696</b>	<b>1,601</b>	<b>2,086</b>	<b>2,118</b>
(Amortization Amount) / Excess Contributions	1,633	2,140	2,192	2,744	2,438
Repayment of Amortization (incl. FY 2005 and FY 2006)	(250)	(563)	(779)	(937)	(713)
	87	119	188	279	393

<b>STATE PENSION COSTS AND AMORTIZATION SAVINGS (CONTINUED)</b>					
<b>(millions of dollars)</b>					
	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>AMORTIZATION THRESHOLDS (Graded Rate)</b>					
ERS (%)	14.5	15.1	15.1	14.6	14.8
PFRS (%)	22.5	23.5	23.8	23.3	23.5
<b>STATEWIDE PENSION PAYMENTS</b>					
Gross Pension Costs	<b>2,225</b>	<b>2,352</b>	<b>2,463</b>	<b>2,445</b>	<b>2,500</b>
(Amortization Amount) / Excess Contributions	2,189	1,920	2,031	2,013	2,068
Repayment of Amortization (incl. FY 2005 and FY 2006)	(356)	0	0	0	0
	392	432	432	432	432

## Note 16 — 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

**Note 17 – Reclassification of SUNY Dormitory Income Fund**

Legislation included with the FY 2014 Enacted Budget created a new bonding program for SUNY Dormitory Facilities. The program is supported solely by third-party revenues generated by student rents. All rental revenues initially flow to DASNY for the payment of debt service on SUNY Dormitory Facilities, after which the balance of rental revenues is credited to the State's SUNY Dormitory Income Fund to support other costs associated with SUNY Dormitory Facilities. There will be no prospective debt service spending from the SUNY Dormitory Income fund, and therefore this fund was reclassified from a Debt Service Fund to a Special Revenue Fund of the State, effective in FY 2015.

**Note 18 - List of Settlements Received**

The following monetary settlements were received by the State through FY 2017.

- BNP Paribas, S.A. New York Branch (BNPP) paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General and Credit Suisse Securities to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank's transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney's Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank's actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and

Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates, including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- Barclays paid \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties..
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU’s wrongful actions in misleading DFS concerning BTMU’s U.S. dollar clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU’s unlawful clearance through the New York Branch and other New York-based

financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.

- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and Banc of America Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State allegedly resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Citigroup Inc. (“Citigroup”) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.



## Financial Plan Tables and Accompanying Notes

- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking laws.

## General Fund – Total Budget

FY 2017 through FY 2020.....	T-1
Financial Plan, Annual Change from FY 2015 to FY 2016.....	T-2
Financial Plan, Annual Change from FY 2016 to FY 2017.....	T-3
FY 2016 Year-End (Change from FY 2017 Executive).....	T-4
FY 2016 Year-End (Change from FY 2016 Enacted Budget).....	T-5
FY 2017.....	T-6
FY 2018.....	T-7
FY 2019.....	T-8
FY 2020.....	T-9

## General Fund – Revenue Detail (Excluding Transfers)

Financial Plan Projections FY 2017 through FY 2020.....	T-10
Financial Plan, Annual Change from FY 2016 to FY 2017.....	T-11

## State Operating Funds Budget

FY 2015.....	T-12
FY 2016.....	T-13
FY 2017.....	T-14
FY 2018.....	T-15
FY 2019.....	T-16
FY 2020.....	T-17
Annual Change from FY 2016 to FY 2017.....	T-18
FY 2016 Year-End (Change from FY 2017 Executive).....	T-19
FY 2016 Year-End (Change from FY 2016 Enacted Budget).....	T-20

## All Governmental Funds – Total Budget

FY 2015.....	T-21
FY 2016.....	T-22
FY 2017.....	T-23
FY 2018.....	T-24
FY 2019.....	T-25
FY 2020.....	T-26
Annual Change from FY 2016 to FY 2017.....	T-27
FY 2016 Year-End (Change from FY 2017 Executive).....	T-28
FY 2016 Year-End (Change from FY 2016 Enacted Budget).....	T-29

## All Governmental Funds – Revenue Detail

FY 2016.....	T-30
FY 2017.....	T-31
FY 2018.....	T-32
FY 2019.....	T-33
FY 2020.....	T-34
Annual Change from FY 2016 to FY 2017.....	T-35



## Special Revenue Funds

FY 2015 .....	T-36
FY 2016 .....	T-37
FY 2017 .....	T-38
FY 2018 .....	T-39
FY 2019 .....	T-40
FY 2020 .....	T-41
Annual Change from FY 2016 to FY 2017 .....	T-42
Receipts Detail, FY 2017 through FY 2020 .....	T-43
Receipts Detail, Annual Change from FY 2016 to FY 2017 .....	T-44

## Capital Budget

FY 2015 .....	T-45
FY 2016 .....	T-46
FY 2017 .....	T-47
FY 2018 .....	T-48
FY 2019 .....	T-49
FY 2020 .....	T-50
Annual Change from FY 2016 to FY 2017 .....	T-51
Receipts Detail, FY 2017 through FY 2020 .....	T-52
Receipts Detail, Annual Change from FY 2016 to FY 2017 .....	T-52
Off Budget Capital Spending, FY 2016 through FY 2020 .....	T-53

## Debt Service Funds – Revenue Detail

Projections FY 2017 through FY 2020 .....	T-54
Annual Change from FY 2016 to FY 2017 .....	T-54

## State Funds – Total Budget

FY 2015 .....	T-55
FY 2016 .....	T-56
FY 2017 .....	T-57
FY 2018 .....	T-58
FY 2019 .....	T-59
FY 2020 .....	T-60
Annual Change from FY 2016 to FY 2017 .....	T-61

## Cash Flow – FY 2015 Results

General Fund .....	T-62
State Operating Funds .....	T-63
All Governmental Funds .....	T-64

## Cash Flow – Update of FY 2016 Actuals

General Fund.....	T-65
State Operating Funds.....	T-66
All Governmental Funds.....	T-67
Special Revenue Funds - Total.....	T-68
Special Revenue Funds - State .....	T-69
Special Revenue Funds - Federal.....	T-70
Debt Service Funds .....	T-71
Capital Projects Funds - Total.....	T-72
Capital Projects Funds - State.....	T-73
Capital Projects Funds - Federal.....	T-74
State Funds.....	T-75

## Cash Flow – FY 2017 Monthly Projections

General Fund.....	T-76
State Operating Funds.....	T-77
All Governmental Funds.....	T-78
Special Revenue Funds - Total.....	T-79
Special Revenue Funds - State .....	T-80
Special Revenue Funds - Federal.....	T-81
Debt Service Funds .....	T-82
Capital Projects Funds - Total.....	T-83
Capital Projects Funds - State.....	T-84
Capital Projects Funds - Federal.....	T-85
State Funds.....	T-86

## Health Care Reform Act Resources Fund

Projections FY 2017 (Change from Executive) .....	T-87
Projections FY 2017 through FY 2020 .....	T-88
Annual Change from FY 2016 to FY 2017 .....	T-88
FY 2016 Monthly Cash Flow Projections .....	T-89
FY 2017 Monthly Cash Flow Projections.....	T-89

## Proprietary and Fiduciary Funds (Projections FY 2016 through FY 2020) ..... T-90

## Workforce Summary Report (FY 2015 through FY 2017)

General Fund .....	T-91
State Operating Funds.....	T-93
State Funds .....	T-95
All Funds .....	T-97
Special Revenue Fund - Other .....	T-99
Special Revenue Fund - Federal.....	T-101
Capital Projects Fund - Other .....	T-102
Capital Projects Fund - Federal.....	T-103
Enterprise Fund .....	T-104
Internal Service Fund .....	T-105



Agency Trust Fund.....	T-106
Pension Trust Fund.....	T-107
Private Purpose Trust Fund.....	T-108

**Local Government Impact Summary Report**

Impact on Local Fiscal Year Ending FY 2016 .....	T-109
Impact on Local Fiscal Year Ending FY 2017.....	T-109

**Spending Detail by Agency (FY 2016 through FY 2020)**

General Fund – Total (Agency Detail Excluding Transfers).....	T-110
General Fund – Total .....	T-118
General Fund – Local Assistance .....	T-121
General Fund – State Operations.....	T-123
General Fund – Personal Service.....	T-125
General Fund – Non-personal Service.....	T-127
General Fund – General State Charges .....	T-129

State Operating Funds – Total (Agency Detail).....	T-130
State Operating Funds – Total .....	T-139
State Operating Funds – Local Assistance .....	T-142
State Operating Funds – State Operations .....	T-144
State Operating Funds – Personal Service.....	T-146
State Operating Funds – Non-personal Service .....	T-148
State Operating Funds – General State Charges .....	T-150
State Operating Funds – Capital Projects.....	T-152

Capital Projects Funds – Total.....	T-153
-------------------------------------	-------

All Governmental Funds – Total (Agency Detail) .....	T-155
All Governmental Funds – Total .....	T-166
All Governmental Funds – Local Assistance .....	T-169
All Governmental Funds – State Operations.....	T-171
All Governmental Funds – Personal Service.....	T-173
All Governmental Funds – Non-personal Service.....	T-175
All Governmental Funds – General State Charges.....	T-177
All Governmental Funds – Capital Projects .....	T-179

State Funds – Total.....	T-181
State Funds – Local Assistance .....	T-184
State Funds – State Operations.....	T-186
State Funds – Personal Service .....	T-188
State Funds – Non-personal Service .....	T-190
State Funds – General State Charges.....	T-192
State Funds – Capital Projects .....	T-194

Special Revenue State Funds – Local Assistance .....	T-196
--	-------

Special Revenue State Funds – Personal Service .....	T-198
Special Revenue State Funds – Non-personal Service.....	T-200
Special Revenue State Funds – General State Charges.....	T-202
Special Revenue Federal Funds – Local Assistance .....	T-204
Special Revenue Federal Funds – Personal Service .....	T-206
Special Revenue Federal Funds – Non-personal Service .....	T-208
Special Revenue Federal Funds – General State Charges.....	T-210

## General Fund Transfers (FY 2016 through FY 2020)

General Fund Transfers from Other Funds .....	T-212
General Fund Transfers to Other Funds .....	T-214
Transfer Pursuant to \$500 Million Authorization .....	T-215

## FY 2017 Cash Basis Combining Statement

General Fund.....	T-216
Special Revenue Funds.....	T-217
Special Revenue Other Funds Detail by Account.....	T-220
Miscellaneous Special Revenue Fund (339) Detail by Account.....	T-224
Capital Projects Funds .....	T-230
Debt Service Funds .....	T-232
Internal Services .....	T-233
Enterprise .....	T-234

## Cash to Appropriation Table - General Fund

FY 2016 .....	T-235
FY 2017 .....	T-236

## GAAP – General Fund

Update of FY 2017 (Change from Executive).....	T-237
Projections FY 2017 through FY 2020 .....	T-238

## GAAP – All Governmental Funds

FY 2017 Financial Plan .....	T-239
FY 2017 Major Funds Financial Plan .....	T-240

## GAAP Basis Combining Statement

FY 2017 General Fund .....	T-241
----------------------------	-------

## FY 2017 Cash to GAAP Conversion Tables

General Fund.....	T-242
Special Revenue Funds.....	T-243
Capital Projects Funds .....	T-243
Debt Service Funds .....	T-243

## Financial Plan Table Appendices

List of Joint Custody Funds .....	T-244
State Fund Structure .....	T-247

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2017 through FY 2020  
(millions of dollars)**

	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	33,870	35,839	35,879	37,438
Consumption/Use Taxes	7,087	7,424	7,712	7,983
Business Taxes	5,750	6,078	6,155	6,538
Other Taxes	1,045	970	933	984
Miscellaneous Receipts	2,813	2,486	2,455	2,318
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,899	11,033	10,592	10,651
Sales Tax in Excess of LGAC	2,868	3,117	3,158	3,296
Sales Tax in Excess of Revenue Bond Debt Service	2,647	2,719	2,802	3,011
Real Estate Taxes in Excess of CW/CA Debt Service	951	1,021	1,076	1,128
All Other	1,046	750	750	734
<b>Total Receipts</b>	<b>68,976</b>	<b>71,437</b>	<b>71,512</b>	<b>74,081</b>
<b>Disbursements:</b>				
Local Assistance Grants	45,957	49,086	51,650	54,496
Departmental Operations:				
Personal Service	6,054	6,097	6,135	6,189
Non-Personal Service	2,245	2,558	2,364	2,451
General State Charges	5,425	5,824	6,033	6,417
Transfers to Other Funds:				
Debt Service	706	1,260	1,182	1,076
Capital Projects	4,461	3,019	3,399	3,311
State Share of Mental Hygiene Medicaid	1,437	1,325	1,301	1,236
SUNY Operations	996	1,001	997	997
Other Purposes	4,560	4,770	5,126	5,536
<b>Total Disbursements</b>	<b>71,841</b>	<b>74,940</b>	<b>78,187</b>	<b>81,709</b>
<b>Use (Reservation) of Fund Balance:</b>				
Community Projects Fund	10	0	0	0
Labor Agreements Prior to FY 2017	15	0	0	0
Undesignated Fund Balance	87	0	0	0
Monetary Settlements	2,753	902	1,200	731
Programmed	2,873	902	1,200	731
Unbudgeted	(120)	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<b>2,865</b>	<b>902</b>	<b>1,200</b>	<b>731</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>0</b>	<b>2,956</b>	<b>4,634</b>	<b>6,498</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements</b>				
	<b>0</b>	<b>355</b>	<b>(841)</b>	<b>(399)</b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE  
(millions of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Opening Fund Balance</b>	2,235	7,300	5,065	226.6%
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	29,485	31,957	2,472	8.4%
Consumption/Use Taxes	6,691	6,819	128	1.9%
Business Taxes	6,265	5,647	(618)	-9.9%
Other Taxes	1,128	1,540	412	36.5%
Miscellaneous Receipts	8,410	5,842	(2,568)	-30.5%
Federal Receipts	2	0	(2)	-100.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,659	10,159	1,500	17.3%
Sales Tax in Excess of LGAC	2,632	2,728	96	3.6%
Sales Tax in Excess of Revenue Bond Debt Service	2,940	2,759	(181)	-6.2%
Real Estate Taxes in Excess of CW/CA Debt Service	844	972	128	15.2%
All Other	865	1,253	388	44.9%
<b>Total Receipts</b>	<u>67,921</u>	<u>69,676</u>	<u>1,755</u>	<u>2.6%</u>
<b>Disbursements:</b>				
Local Assistance Grants	41,592	43,314	1,722	4.1%
Departmental Operations:				
Personal Service	5,806	6,011	205	3.5%
Non-Personal Service	1,858	1,944	86	4.6%
General State Charges	4,999	5,397	398	8.0%
Transfers to Other Funds:				
Debt Service	1,297	1,196	(101)	-7.8%
Capital Projects	1,264	2,721	1,457	115.3%
State Share of Mental Hygiene Medicaid	1,419	2,036	617	43.5%
SUNY Operations	980	998	18	1.8%
Other Purposes	3,641	4,425	784	21.5%
<b>Total Disbursements</b>	<u>62,856</u>	<u>68,042</u>	<u>5,186</u>	<u>8.3%</u>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<u>5,065</u>	<u>1,634</u>	<u>(3,431)</u>	<u>-67.7%</u>
<b>Closing Fund Balance</b>	<u>7,300</u>	<u>8,934</u>	<u>1,634</u>	<u>22.4%</u>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,258	1,258	0	
Rainy Day Reserve Fund	540	540	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	74	63	(11)	
<b>Reserved For</b>				
Labor Agreements Prior to FY 2017	50	15	(35)	
Debt Management	500	500	0	
Undesignated Fund Balance	190	237	47	
Monetary Settlements	4,667	6,300	1,633	
Programmed	4,667	5,755	1,088	
Unbudgeted	0	545	545	

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE FROM CURRENT YEAR  
(millions of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Opening Fund Balance</b>	7,300	8,934	1,634	22.4%
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	31,957	33,870	1,913	6.0%
Consumption/Use Taxes	6,819	7,087	268	3.9%
Business Taxes	5,647	5,750	103	1.8%
Other Taxes	1,540	1,045	(495)	-32.1%
Miscellaneous Receipts	5,842	2,813	(3,029)	-51.8%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,159	10,899	740	7.3%
Sales Tax in Excess of LGAC	2,728	2,868	140	5.1%
Sales Tax in Excess of Revenue Bond Debt Service	2,759	2,647	(112)	-4.1%
Real Estate Taxes in Excess of CW/CA Debt Service	972	951	(21)	-2.2%
All Other	1,253	1,046	(207)	-16.5%
<b>Total Receipts</b>	<b>69,676</b>	<b>68,976</b>	<b>(700)</b>	<b>-1.0%</b>
<b>Disbursements:</b>				
Local Assistance Grants	43,314	45,957	2,643	6.1%
Departmental Operations:				
Personal Service	6,011	6,054	43	0.7%
Non-Personal Service	1,944	2,245	301	15.5%
General State Charges	5,397	5,425	28	0.5%
Transfers to Other Funds:				
Debt Service	1,196	706	(490)	-41.0%
Capital Projects	2,721	4,461	1,740	63.9%
State Share of Mental Hygiene Medicaid	2,036	1,437	(599)	-29.4%
SUNY Operations	998	996	(2)	-0.2%
Other Purposes	4,425	4,560	135	3.1%
<b>Total Disbursements</b>	<b>68,042</b>	<b>71,841</b>	<b>3,799</b>	<b>5.6%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>1,634</b>	<b>(2,865)</b>	<b>(4,499)</b>	<b>-275.3%</b>
<b>Closing Fund Balance</b>	<b>8,934</b>	<b>6,069</b>	<b>(2,865)</b>	<b>-32.1%</b>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,258	1,258	0	
Rainy Day Reserve Fund	540	540	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	63	53	(10)	
<b>Reserved For</b>				
Labor Agreements Prior to FY 2017	15	150	135	
Debt Management	500	500	0	
Undesignated Fund Balance <sup>1</sup>	237	0	(237)	
Monetary Settlements	6,300	3,547	(2,753)	
Programmed	5,755	2,882	(2,873)	
Unbudgeted	545	665	120	

<sup>1</sup>The undesignated fund balance carried forward from FY 2016 totaled \$237 million of which \$150 million is now reserved for potential costs of prior year labor agreements and the remaining \$87 million is used in FY 2017.

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2016  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	7,300	0	7,300
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	31,983	(26)	31,957
Consumption/Use Taxes	6,781	38	6,819
Business Taxes	6,202	(555)	5,647
Other Taxes	1,466	74	1,540
Miscellaneous Receipts	5,820	22	5,842
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,397	(238)	10,159
Sales Tax in Excess of LGAC	2,715	13	2,728
Sales Tax in Excess of Revenue Bond Debt Service	2,747	12	2,759
Real Estate Taxes in Excess of CW/CA Debt Service	956	16	972
All Other	1,227	26	1,253
<b>Total Receipts</b>	<u>70,294</u>	<u>(618)</u>	<u>69,676</u>
<b>Disbursements:</b>			
Local Assistance Grants	44,153	(839)	43,314
Departmental Operations:			
Personal Service	6,139	(128)	6,011
Non-Personal Service	2,083	(139)	1,944
General State Charges	5,188	209	5,397
Transfers to Other Funds:			
Debt Service	1,282	(86)	1,196
Capital Projects	6,148	(3,427)	2,721
State Share of Mental Hygiene Medicaid	2,159	(123)	2,036
SUNY Operations	998	0	998
Other Purposes	4,433	(8)	4,425
<b>Total Disbursements</b>	<u>72,583</u>	<u>(4,541)</u>	<u>68,042</u>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<u>(2,289)</u>	<u>3,923</u>	<u>1,634</u>
<b>Closing Fund Balance</b>	<u>5,011</u>	<u>3,923</u>	<u>8,934</u>
<b>Statutory Reserves</b>			
Tax Stabilization Reserve Fund	1,258	0	1,258
Rainy Day Reserve Fund	540	0	540
Contingency Reserve Fund	21	0	21
Community Projects Fund	60	3	63
<b>Reserved For</b>			
Labor Agreements Prior to FY 2017	15	0	15
Debt Management	500	0	500
Undesignated Fund Balance	0	237	237
Monetary Settlements	2,617	3,683	6,300
Programmed	2,402	3,353	5,755
Unbudgeted	215	330	545



**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2016  
(millions of dollars)**

	<b>FY 2016 Enacted</b>	<b>Change</b>	<b>Results</b>
<b>Opening Fund Balance</b>	7,300	0	7,300
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	31,924	33	31,957
Consumption/Use Taxes	6,890	(71)	6,819
Business Taxes	5,897	(250)	5,647
Other Taxes	1,069	471	1,540
Miscellaneous Receipts	4,365	1,477	5,842
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,215	(56)	10,159
Sales Tax in Excess of LGAC	2,767	(39)	2,728
Sales Tax in Excess of Revenue Bond Debt Service	2,966	(207)	2,759
Real Estate Taxes in Excess of CW/CA Debt Service	894	78	972
All Other	1,298	(45)	1,253
<b>Total Receipts</b>	<b>68,285</b>	<b>1,391</b>	<b>69,676</b>
<b>Disbursements:</b>			
Local Assistance Grants	44,356	(1,042)	43,314
Departmental Operations:			
Personal Service	6,079	(68)	6,011
Non-Personal Service	2,184	(240)	1,944
General State Charges	5,195	202	5,397
Transfers to Other Funds:			
Debt Service	886	310	1,196
Capital Projects	5,947	(3,226)	2,721
State Share of Mental Hygiene Medicaid	2,162	(126)	2,036
SUNY Operations	998	0	998
Other Purposes	4,283	142	4,425
<b>Total Disbursements</b>	<b>72,090</b>	<b>(4,048)</b>	<b>68,042</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>(3,805)</b>	<b>5,439</b>	<b>1,634</b>
<b>Closing Fund Balance</b>	<b>3,495</b>	<b>5,439</b>	<b>8,934</b>
<b>Statutory Reserves</b>			
Tax Stabilization Reserve Fund	1,258	0	1,258
Rainy Day Reserve Fund	540	0	540
Contingency Reserve Fund	21	0	21
Community Projects Fund	74	(11)	63
<b>Reserved For</b>			
Labor Agreements Prior to FY 2017	60	(45)	15
Debt Management	500	0	500
Undesignated Fund Balance	0	237	237
Monetary Settlements	1,042	5,258	6,300
Programmed	0	5,755	5,755
Unbudgeted	1,042	(497)	545

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	34,242	(372)	33,870
Consumption/Use Taxes	7,089	(2)	7,087
Business Taxes	5,776	(26)	5,750
Other Taxes	986	59	1,045
Miscellaneous Receipts	2,642	171	2,813
Federal Receipts			
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,830	69	10,899
Sales Tax in Excess of LGAC	2,869	(1)	2,868
Sales Tax in Excess of Revenue Bond Debt Service	2,646	1	2,647
Real Estate Taxes in Excess of CW/CA Debt Service	950	1	951
All Other	753	293	1,046
<b>Total Receipts</b>	<u>68,783</u>	<u>193</u>	<u>68,976</u>
<b>Disbursements:</b>			
Local Assistance Grants	45,427	530	45,957
Departmental Operations:			
Personal Service	6,025	29	6,054
Non-Personal Service	2,209	36	2,245
General State Charges	5,472	(47)	5,425
Transfers to Other Funds:			
Debt Service	725	(19)	706
Capital Projects	3,759	702	4,461
State Share of Mental Hygiene Medicaid	1,433	4	1,437
SUNY Operations	985	11	996
Other Purposes	4,601	(41)	4,560
<b>Total Disbursements</b>	<u>70,636</u>	<u>1,205</u>	<u>71,841</u>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects Fund	16	(6)	10
Possible CUNY Labor Agreement	(240)	240	0
Labor Agreements Prior to FY 2017	15	0	15
Undesignated Fund Balance	0	87	87
Monetary Settlements	2,062	691	2,753
Programmed	2,062	811	2,873
Unbudgeted	0	(120)	(120)
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,853</u>	<u>1,012</u>	<u>2,865</u>
<b>Adherence to 2% Spending Benchmark</b>	0	0	0
<b>Net General Fund Surplus (Deficit)</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2018  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	35,891	(52)	35,839
Consumption/Use Taxes	7,424	0	7,424
Business Taxes	6,087	(9)	6,078
Other Taxes	912	58	970
Miscellaneous Receipts	2,522	(36)	2,486
Federal Receipts			
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,063	(30)	11,033
Sales Tax in Excess of LGAC	3,117	0	3,117
Sales Tax in Excess of Revenue Bond Debt Service	2,716	3	2,719
Real Estate Taxes in Excess of CW/CA Debt Service	1,019	2	1,021
All Other	718	32	750
<b>Total Receipts</b>	<u>71,469</u>	<u>(32)</u>	<u>71,437</u>
<b>Disbursements:</b>			
Local Assistance Grants	47,724	1,362	49,086
Departmental Operations:			
Personal Service	6,126	(29)	6,097
Non-Personal Service	2,518	40	2,558
General State Charges	5,825	(1)	5,824
Transfers to Other Funds:			
Debt Service	1,304	(44)	1,260
Capital Projects	2,105	914	3,019
State Share of Mental Hygiene Medicaid	1,301	24	1,325
SUNY Operations	997	4	1,001
Other Purposes	4,802	(32)	4,770
<b>Total Disbursements</b>	<u>72,702</u>	<u>2,238</u>	<u>74,940</u>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects Fund	(1)	1	0
Monetary Settlements	113	789	902
Programmed	113	789	902
Unbudgeted	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>112</u>	<u>790</u>	<u>902</u>
<b>Adherence to 2% Spending Benchmark</b>	1,643	1,313	2,956
<b>Net General Fund Surplus (Deficit)</b>	<u>522</u>	<u>(167)</u>	<u>355</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2019  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	36,510	(631)	35,879
Consumption/Use Taxes	7,712	0	7,712
Business Taxes	6,165	(10)	6,155
Other Taxes	876	57	933
Miscellaneous Receipts	2,561	(106)	2,455
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,862	(270)	10,592
Sales Tax in Excess of LGAC	3,158	0	3,158
Sales Tax in Excess of Revenue Bond Debt Service	2,798	4	2,802
Real Estate Taxes in Excess of CW/CA Debt Service	1,075	1	1,076
All Other	716	34	750
<b>Total Receipts</b>	<u>72,433</u>	<u>(921)</u>	<u>71,512</u>
<b>Disbursements:</b>			
Local Assistance Grants	50,123	1,527	51,650
Departmental Operations:			
Personal Service	6,221	(86)	6,135
Non-Personal Service	2,344	20	2,364
General State Charges	6,048	(15)	6,033
Transfers to Other Funds:			
Debt Service	1,218	(36)	1,182
Capital Projects	2,228	1,171	3,399
State Share of Mental Hygiene Medicaid	1,238	63	1,301
SUNY Operations	997	0	997
Other Purposes	5,258	(132)	5,126
<b>Total Disbursements</b>	<u>75,675</u>	<u>2,512</u>	<u>78,187</u>
<b>Use (Reservation) of Fund Balance:</b>			
Monetary Settlements	113	1,087	1,200
Programmed	113	1,087	1,200
Unbudgeted	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>113</u>	<u>1,087</u>	<u>1,200</u>
<b>Adherence to 2% Spending Benchmark</b>	3,227	1,407	4,634
<b>Net General Fund Surplus (Deficit)</b>	<u>98</u>	<u>(939)</u>	<u>(841)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2020  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	38,459	(1,021)	37,438
Consumption/Use Taxes	7,983	0	7,983
Business Taxes	6,551	(13)	6,538
Other Taxes	926	58	984
Miscellaneous Receipts	2,390	(72)	2,318
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,984	(333)	10,651
Sales Tax in Excess of LGAC	3,296	0	3,296
Sales Tax in Excess of Revenue Bond Debt Service	3,006	5	3,011
Real Estate Taxes in Excess of CW/CA Debt Service	1,127	1	1,128
All Other	701	33	734
<b>Total Receipts</b>	<u>75,423</u>	<u>(1,342)</u>	<u>74,081</u>
<b>Disbursements:</b>			
Local Assistance Grants	52,716	1,780	54,496
Departmental Operations:			
Personal Service	6,269	(80)	6,189
Non-Personal Service	2,430	21	2,451
General State Charges	6,424	(7)	6,417
Transfers to Other Funds:			
Debt Service	1,108	(32)	1,076
Capital Projects	2,543	768	3,311
State Share of Mental Hygiene Medicaid	1,127	109	1,236
SUNY Operations	997	0	997
Other Purposes	5,543	(7)	5,536
<b>Total Disbursements</b>	<u>79,157</u>	<u>2,552</u>	<u>81,709</u>
<b>Use (Reservation) of Fund Balance:</b>			
Monetary Settlements	114	617	731
Programmed	114	617	731
Unbudgeted	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>114</u>	<u>617</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	4,568	1,930	6,498
<b>Net General Fund Surplus (Deficit)</b>	<u>948</u>	<u>(1,347)</u>	<u>(399)</u>

**CASH RECEIPTS  
CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Taxes:</b>				
Withholdings	38,356	39,802	41,056	43,158
Estimated Payments	16,106	17,805	17,194	18,244
Final Payments	2,720	2,891	3,034	3,168
Other Payments	1,358	1,397	1,457	1,521
<b>Gross Collections</b>	<b>58,540</b>	<b>61,895</b>	<b>62,741</b>	<b>66,091</b>
State/City Offset	(688)	(688)	(688)	(589)
Refunds	(8,388)	(9,452)	(10,319)	(11,759)
<b>Reported Tax Collections</b>	<b>49,464</b>	<b>51,755</b>	<b>51,734</b>	<b>53,743</b>
STAR (Dedicated Deposits)	(3,228)	(2,977)	(2,921)	(2,869)
RBTF (Dedicated Transfers)	(12,366)	(12,939)	(12,934)	(13,436)
<b>Personal Income Tax</b>	<b>33,870</b>	<b>35,839</b>	<b>35,879</b>	<b>37,438</b>
Sales and Use Tax	12,962	13,632	14,218	14,772
Cigarette and Tobacco Taxes	348	345	335	324
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	258	263	268	273
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Utility Taxes and Fees</b>	<b>13,568</b>	<b>14,240</b>	<b>14,821</b>	<b>15,369</b>
LGAC/STBF (Dedicated Transfers)	(6,481)	(6,816)	(7,109)	(7,386)
<b>Consumption/Use Taxes</b>	<b>7,087</b>	<b>7,424</b>	<b>7,712</b>	<b>7,983</b>
Corporation Franchise Tax	3,688	3,950	3,949	4,312
Corporation and Utilities Tax	568	559	563	569
Insurance Taxes	1,321	1,407	1,521	1,597
Bank Tax	173	162	122	60
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<b>5,750</b>	<b>6,078</b>	<b>6,155</b>	<b>6,538</b>
Estate Tax	1,024	949	912	963
Real Estate Transfer Tax	1,138	1,204	1,258	1,308
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	18	18	18	18
Other Taxes	3	3	3	3
<b>Gross Other Taxes</b>	<b>2,183</b>	<b>2,174</b>	<b>2,191</b>	<b>2,292</b>
Real Estate Transfer Tax (Dedicated)	(1,138)	(1,204)	(1,258)	(1,308)
<b>Other Taxes</b>	<b>1,045</b>	<b>970</b>	<b>933</b>	<b>984</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>47,752</b>	<b>50,311</b>	<b>50,679</b>	<b>52,943</b>
Licenses, Fees, Etc.	609	661	634	666
Abandoned Property	525	525	525	525
Motor Vehicle Fees	183	233	246	258
ABC License Fee	63	60	66	62
Reimbursements	298	298	280	303
Investment Income	10	13	8	8
Other Transactions	1,125	696	696	496
<b>Miscellaneous Receipts</b>	<b>2,813</b>	<b>2,486</b>	<b>2,455</b>	<b>2,318</b>
<b>Federal Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>50,565</b>	<b>52,797</b>	<b>53,134</b>	<b>55,261</b>

**CURRENT STATE RECEIPTS**  
**GENERAL FUND**  
**FY 2016 and FY 2017**  
(millions of dollars)

	<b>FY 2016</b>	<b>FY 2017</b>	<b>Annual</b>	<b>Annual</b>
	<b>Results</b>	<b>Enacted</b>	<b>\$ Change</b>	<b>% Change</b>
<b>Taxes:</b>				
Withholdings	36,549	38,356	1,807	4.9%
Estimated Payments	16,111	16,106	(5)	0.0%
Final Payments	2,630	2,720	90	3.4%
Other Payments	1,310	1,358	48	3.7%
<b>Gross Collections</b>	<b>56,600</b>	<b>58,540</b>	<b>1,940</b>	<b>3.4%</b>
State/City Offset	(675)	(688)	(13)	-1.9%
Refunds	(8,870)	(8,388)	482	5.4%
<b>Reported Tax Collections</b>	<b>47,055</b>	<b>49,464</b>	<b>2,409</b>	<b>5.1%</b>
STAR (Dedicated Deposits)	(3,335)	(3,228)	107	3.2%
RBTF (Dedicated Transfers)	(11,763)	(12,366)	(603)	-5.1%
<b>Personal Income Tax</b>	<b>31,957</b>	<b>33,870</b>	<b>1,913</b>	<b>6.0%</b>
Sales and Use Tax	12,485	12,962	477	3.8%
Cigarette and Tobacco Taxes	322	348	26	8.1%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	255	258	3	1.2%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
<b>Gross Utility Taxes and Fees</b>	<b>13,062</b>	<b>13,568</b>	<b>506</b>	<b>3.9%</b>
LGAC/STBF (Dedicated Transfers)	(6,243)	(6,481)	(238)	-3.8%
<b>Consumption/Use Taxes</b>	<b>6,819</b>	<b>7,087</b>	<b>268</b>	<b>3.9%</b>
Corporation Franchise Tax	3,763	3,688	(75)	-2.0%
Corporation and Utilities Tax	594	568	(26)	-4.4%
Insurance Taxes	1,419	1,321	(98)	-6.9%
Bank Tax	(129)	173	302	234.1%
Petroleum Business Tax	0	0	0	0.0%
<b>Business Taxes</b>	<b>5,647</b>	<b>5,750</b>	<b>103</b>	<b>1.8%</b>
Estate Tax	1,521	1,024	(497)	-32.7%
Real Estate Transfer Tax	1,163	1,138	(25)	-2.1%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	2	3	1	50.0%
<b>Gross Other Taxes</b>	<b>2,703</b>	<b>2,183</b>	<b>(520)</b>	<b>-19.2%</b>
Real Estate Transfer Tax (Dedicated)	(1,163)	(1,138)	25	2.1%
<b>Other Taxes</b>	<b>1,540</b>	<b>1,045</b>	<b>(495)</b>	<b>-32.1%</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>45,963</b>	<b>47,752</b>	<b>1,789</b>	<b>3.9%</b>
Licenses, Fees, Etc.	630	609	(21)	-3.3%
Abandoned Property	527	525	(2)	-0.4%
Motor Vehicle Fees	194	183	(11)	-5.7%
ABC License Fee	66	63	(3)	-4.5%
Reimbursements	232	298	66	28.4%
Investment Income	13	10	(3)	-23.1%
Other Transactions	4,180	1,125	(3,055)	-73.1%
<b>Miscellaneous Receipts</b>	<b>5,842</b>	<b>2,813</b>	<b>(3,029)</b>	<b>-51.8%</b>
<b>Federal Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total</b>	<b>51,805</b>	<b>50,565</b>	<b>(1,240)</b>	<b>-2.4%</b>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2015  
(millions of dollars)**

	<b>General Fund</b>	<b>State Special Revenue Funds</b>	<b>Debt Service Funds</b>	<b>State Operating Funds Total</b>
<b>Opening Fund Balance</b>	2,235	2,489	65	4,789
<b>Receipts:</b>				
Taxes	43,569	8,193	17,899	69,661
Miscellaneous Receipts	8,410	16,381	510	25,301
Federal Receipts	2	0	73	75
<b>Total Receipts</b>	<u>51,981</u>	<u>24,574</u>	<u>18,482</u>	<u>95,037</u>
<b>Disbursements:</b>				
Local Assistance Grants	41,592	19,460	0	61,052
Departmental Operations:				
Personal Service	5,806	6,744	0	12,550
Non-Personal Service	1,858	3,710	39	5,607
General State Charges	4,999	2,034	0	7,033
Debt Service	0	0	6,183	6,183
Capital Projects	0	1	0	1
<b>Total Disbursements</b>	<u>54,255</u>	<u>31,949</u>	<u>6,222</u>	<u>92,426</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	15,940	7,767	4,681	28,388
Transfers to Other Funds	(8,601)	(409)	(16,888)	(25,898)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>7,339</u>	<u>7,358</u>	<u>(12,207)</u>	<u>2,490</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>5,065</u>	<u>(17)</u>	<u>53</u>	<u>5,101</u>
<b>Closing Fund Balance</b>	<u><u>7,300</u></u>	<u><u>2,472</u></u>	<u><u>118</u></u>	<u><u>9,890</u></u>



**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2016  
(millions of dollars)**

	<b>General Fund</b>	<b>State Special Revenue Funds</b>	<b>Debt Service Funds</b>	<b>State Operating Funds Total</b>
<b>Opening Fund Balance</b>	7,300	2,472	118	9,890
<b>Receipts:</b>				
Taxes	45,963	8,266	19,050	73,279
Miscellaneous Receipts	5,842	16,926	487	23,255
Federal Receipts	0	0	73	73
<b>Total Receipts</b>	<u>51,805</u>	<u>25,192</u>	<u>19,610</u>	<u>96,607</u>
<b>Disbursements:</b>				
Local Assistance Grants	43,314	19,339	0	62,653
Departmental Operations:				
Personal Service	6,011	6,970	0	12,981
Non-Personal Service	1,944	3,621	37	5,602
General State Charges	5,397	2,055	0	7,452
Debt Service	0	0	5,598	5,598
Capital Projects	0	2	0	2
<b>Total Disbursements</b>	<u>56,666</u>	<u>31,987</u>	<u>5,635</u>	<u>94,288</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	17,871	8,631	4,007	30,509
Transfers to Other Funds	(11,376)	(761)	(17,940)	(30,077)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,495</u>	<u>7,870</u>	<u>(13,933)</u>	<u>432</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,634</u>	<u>1,075</u>	<u>42</u>	<u>2,751</u>
<b>Closing Fund Balance</b>	<u><u>8,934</u></u>	<u><u>3,547</u></u>	<u><u>160</u></u>	<u><u>12,641</u></u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	8,934	3,547	160	12,641
<b>Receipts:</b>				
Taxes	47,752	8,214	19,866	75,832
Miscellaneous Receipts	2,813	15,465	455	18,733
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<u>50,565</u>	<u>23,680</u>	<u>20,394</u>	<u>94,639</u>
<b>Disbursements:</b>				
Local Assistance Grants	45,957	18,932	0	64,889
Departmental Operations:				
Personal Service	6,054	6,787	0	12,841
Non-Personal Service	2,245	3,409	39	5,693
General State Charges	5,425	2,126	0	7,551
Debt Service	0	0	5,203	5,203
Capital Projects	0	3	0	3
<b>Total Disbursements</b>	<u>59,681</u>	<u>31,257</u>	<u>5,242</u>	<u>96,180</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,411	7,853	3,262	29,526
Transfers to Other Funds	(12,160)	(698)	(18,325)	(31,183)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,251</u>	<u>7,155</u>	<u>(15,063)</u>	<u>(1,657)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,865)</u>	<u>(422)</u>	<u>89</u>	<u>(3,198)</u>
<b>Closing Fund Balance</b>	<u>6,069</u>	<u>3,125</u>	<u>249</u>	<u>9,443</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2018  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	50,311	8,057	20,840	79,208
Miscellaneous Receipts	2,486	15,581	465	18,532
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<u>52,797</u>	<u>23,639</u>	<u>21,378</u>	<u>97,814</u>
<b>Disbursements:</b>				
Local Assistance Grants	49,086	18,799	0	67,885
Departmental Operations:				
Personal Service	6,097	6,803	0	12,900
Non-Personal Service	2,558	3,386	49	5,993
General State Charges	5,824	2,198	0	8,022
Debt Service	0	0	6,257	6,257
Capital Projects	0	2	0	2
<b>Total Disbursements</b>	<u>63,565</u>	<u>31,188</u>	<u>6,306</u>	<u>101,059</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,640	8,044	3,976	30,660
Transfers to Other Funds	(11,375)	(205)	(18,940)	(30,520)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>7,265</u>	<u>7,839</u>	<u>(14,964)</u>	<u>140</u>
<b>Use (Reservation) of Fund Balance:</b>				
Monetary Settlements	902	0	0	902
Programmed	902	0	0	0
Unbudgeted	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>902</u>	<u>0</u>	<u>0</u>	<u>902</u>
<b>Adherence to 2% Spending Benchmark</b>	2,956	0	0	2,956
<b>Net Surplus (Deficit)</b>	<u>355</u>	<u>290</u>	<u>108</u>	<u>753</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2019  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	50,679	8,128	21,182	79,989
Miscellaneous Receipts	2,455	15,809	461	18,725
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<u>53,134</u>	<u>23,938</u>	<u>21,716</u>	<u>98,788</u>
<b>Disbursements:</b>				
Local Assistance Grants	51,650	19,092	0	70,742
Departmental Operations:				
Personal Service	6,135	6,885	0	13,020
Non-Personal Service	2,364	3,452	49	5,865
General State Charges	6,033	2,269	0	8,302
Debt Service	0	0	6,771	6,771
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<u>66,182</u>	<u>31,698</u>	<u>6,820</u>	<u>104,700</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,378	8,319	3,821	30,518
Transfers to Other Funds	(12,005)	(215)	(18,625)	(30,845)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,373</u>	<u>8,104</u>	<u>(14,804)</u>	<u>(327)</u>
<b>Use (Reservation) of Fund Balance:</b>				
Monetary Settlements	1,200	0	0	1,200
Programmed	1,200	0	0	1,200
Unbudgeted	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,200</u>	<u>0</u>	<u>0</u>	<u>1,200</u>
<b>Adherence to 2% Spending Benchmark</b>	4,634	0	0	4,634
<b>Net Surplus (Deficit)</b>	<u>(841)</u>	<u>344</u>	<u>92</u>	<u>(405)</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2020  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	52,943	8,188	22,011	83,142
Miscellaneous Receipts	2,318	15,651	459	18,428
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<u>55,261</u>	<u>23,840</u>	<u>22,543</u>	<u>101,644</u>
<b>Disbursements:</b>				
Local Assistance Grants	54,496	19,067	0	73,563
Departmental Operations:				
Personal Service	6,189	6,924	0	13,113
Non-Personal Service	2,451	3,421	49	5,921
General State Charges	6,417	2,319	0	8,736
Debt Service	0	0	7,232	7,232
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<u>69,553</u>	<u>31,731</u>	<u>7,281</u>	<u>108,565</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,820	8,520	3,837	31,177
Transfers to Other Funds	(12,156)	(216)	(18,939)	(31,311)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,664</u>	<u>8,304</u>	<u>(15,102)</u>	<u>(134)</u>
<b>Use (Reservation) of Fund Balance:</b>				
Monetary Settlements	731	0	0	731
Programmed	731	0	0	731
Unbudgeted	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>	<u>0</u>	<u>0</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	6,498	0	0	6,498
<b>Net Surplus (Deficit)</b>	<u>(399)</u>	<u>413</u>	<u>160</u>	<u>174</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	9,890	12,641	2,751	27.8%
<b>Receipts:</b>				
Taxes	73,279	75,832	2,553	3.5%
Miscellaneous Receipts	23,255	18,733	(4,522)	-19.4%
Federal Receipts	73	74	1	1.4%
<b>Total Receipts</b>	<u>96,607</u>	<u>94,639</u>	<u>(1,968)</u>	<u>-2.0%</u>
<b>Disbursements:</b>				
Local Assistance Grants	62,653	64,889	2,236	3.6%
Departmental Operations:				
Personal Service	12,981	12,841	(140)	-1.1%
Non-Personal Service	5,602	5,693	91	1.6%
General State Charges	7,452	7,551	99	1.3%
Debt Service	5,598	5,203	(395)	-7.1%
Capital Projects	2	3	1	50.0%
<b>Total Disbursements</b>	<u>94,288</u>	<u>96,180</u>	<u>1,892</u>	<u>2.0%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	30,509	29,526	(983)	-3.2%
Transfers to Other Funds	(30,077)	(31,183)	(1,106)	-3.7%
Bond and Note Proceeds	0	0	0	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>432</u>	<u>(1,657)</u>	<u>(2,089)</u>	<u>-483.6%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,751</u>	<u>(3,198)</u>	<u>(5,949)</u>	<u>-216.2%</u>
<b>Closing Fund Balance</b>	<u>12,641</u>	<u>9,443</u>	<u>(3,198)</u>	<u>-25.3%</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2016  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	9,890	0	9,890
<b>Receipts:</b>			
Taxes	73,715	(436)	73,279
Miscellaneous Receipts	21,547	1,708	23,255
Federal Receipts	74	(1)	73
<b>Total Receipts</b>	<u>95,336</u>	<u>1,271</u>	<u>96,607</u>
<b>Disbursements:</b>			
Local Assistance Grants	63,032	(379)	62,653
Departmental Operations:			
Personal Service	12,957	24	12,981
Non-Personal Service	5,521	81	5,602
General State Charges	7,326	126	7,452
Debt Service	5,452	146	5,598
Capital Projects	1	1	2
<b>Total Disbursements</b>	<u>94,289</u>	<u>(1)</u>	<u>94,288</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	30,920	(411)	30,509
Transfers to Other Funds	(33,923)	3,846	(30,077)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>(3,003)</u>	<u>3,435</u>	<u>432</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(1,956)</u>	<u>4,707</u>	<u>2,751</u>
<b>Closing Fund Balance</b>	<u>7,934</u>	<u>4,707</u>	<u>12,641</u>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2016**  
(millions of dollars)

	<u>FY 2016 Enacted</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	9,890	0	9,890
<b>Receipts:</b>			
Taxes	73,213	66	73,279
Miscellaneous Receipts	20,014	3,241	23,255
Federal Receipts	74	(1)	73
<b>Total Receipts</b>	<u>93,301</u>	<u>3,306</u>	<u>96,607</u>
<b>Disbursements:</b>			
Local Assistance Grants	63,305	(652)	62,653
Departmental Operations:			
Personal Service	12,868	113	12,981
Non-Personal Service	5,620	(18)	5,602
General State Charges	7,334	118	7,452
Debt Service	5,122	476	5,598
Capital Projects	1	1	2
<b>Total Disbursements</b>	<u>94,250</u>	<u>38</u>	<u>94,288</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	30,748	(239)	30,509
Transfers to Other Funds	(33,421)	3,344	(30,077)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>(2,673)</u>	<u>3,105</u>	<u>432</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(3,622)</u>	<u>6,373</u>	<u>2,751</u>
<b>Closing Fund Balance</b>	<u>6,268</u>	<u>6,373</u>	<u>12,641</u>



**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	2,235	2,364	(629)	65	4,035
<b>Receipts:</b>					
Taxes	43,569	8,193	1,373	17,899	71,034
Miscellaneous Receipts	8,410	16,557	3,961	510	29,438
Federal Receipts	2	46,531	2,030	73	48,636
<b>Total Receipts</b>	<u>51,981</u>	<u>71,281</u>	<u>7,364</u>	<u>18,482</u>	<u>149,108</u>
<b>Disbursements:</b>					
Local Assistance Grants	41,592	61,090	2,043	0	104,725
Departmental Operations:					
Personal Service	5,806	7,357	0	0	13,163
Non-Personal Service	1,858	5,080	0	39	6,977
General State Charges	4,999	2,338	0	0	7,337
Debt Service	0	0	0	6,183	6,183
Capital Projects	0	1	5,505	0	5,506
<b>Total Disbursements</b>	<u>54,255</u>	<u>75,866</u>	<u>7,548</u>	<u>6,222</u>	<u>143,891</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	15,940	7,767	1,419	4,681	29,807
Transfers to Other Funds	(8,601)	(2,885)	(1,492)	(16,888)	(29,866)
Bond and Note Proceeds	0	0	162	0	162
<b>Net Other Financing Sources (Uses)</b>	<u>7,339</u>	<u>4,882</u>	<u>89</u>	<u>(12,207)</u>	<u>103</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>5,065</u>	<u>297</u>	<u>(95)</u>	<u>53</u>	<u>5,320</u>
<b>Closing Fund Balance</b>	<u>7,300</u>	<u>2,661</u>	<u>(724)</u>	<u>118</u>	<u>9,355</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	7,300	2,661	(724)	118	9,355
<b>Receipts:</b>					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	17,117	3,822	487	27,268
Federal Receipts	0	49,105	2,146	73	51,324
<b>Total Receipts</b>	<u>51,805</u>	<u>74,488</u>	<u>7,362</u>	<u>19,610</u>	<u>153,265</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,314	64,502	2,498	0	110,314
Departmental Operations:					
Personal Service	6,011	7,586	0	0	13,597
Non-Personal Service	1,944	4,994	0	37	6,975
General State Charges	5,397	2,342	0	0	7,739
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	6,483	0	6,485
<b>Total Disbursements</b>	<u>56,666</u>	<u>79,426</u>	<u>8,981</u>	<u>5,635</u>	<u>150,708</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	17,871	8,670	2,895	4,007	33,443
Transfers to Other Funds	(11,376)	(2,786)	(1,443)	(17,940)	(33,545)
Bond and Note Proceeds	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,495</u>	<u>5,884</u>	<u>1,452</u>	<u>(13,933)</u>	<u>(102)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,634</u>	<u>946</u>	<u>(167)</u>	<u>42</u>	<u>2,455</u>
<b>Closing Fund Balance</b>	<u>8,934</u>	<u>3,607</u>	<u>(891)</u>	<u>160</u>	<u>11,810</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	8,934	3,607	(891)	160	11,810
<b>Receipts:</b>					
Taxes	47,752	8,214	1,296	19,866	77,128
Miscellaneous Receipts	2,813	15,681	4,618	455	23,567
Federal Receipts	0	49,416	2,162	73	51,651
<b>Total Receipts</b>	<u>50,565</u>	<u>73,311</u>	<u>8,076</u>	<u>20,394</u>	<u>152,346</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,957	64,737	4,203	0	114,897
Departmental Operations:					
Personal Service	6,054	7,442	0	0	13,496
Non-Personal Service	2,245	4,635	0	39	6,919
General State Charges	5,425	2,445	0	0	7,870
Debt Service	0	0	0	5,203	5,203
Capital Projects	0	3	7,717	0	7,720
<b>Total Disbursements</b>	<u>59,681</u>	<u>79,262</u>	<u>11,920</u>	<u>5,242</u>	<u>156,105</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,411	7,853	4,758	3,262	34,284
Transfers to Other Funds	(12,160)	(2,417)	(1,457)	(18,325)	(34,359)
Bond and Note Proceeds	0	0	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>6,251</u>	<u>5,436</u>	<u>3,910</u>	<u>(15,063)</u>	<u>534</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,865)</u>	<u>(515)</u>	<u>66</u>	<u>89</u>	<u>(3,225)</u>
<b>Closing Fund Balance</b>	<u><u>6,069</u></u>	<u><u>3,092</u></u>	<u><u>(825)</u></u>	<u><u>249</u></u>	<u><u>8,585</u></u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2018  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	50,311	8,057	1,326	20,840	80,534
Miscellaneous Receipts	2,486	15,797	7,127	465	25,875
Federal Receipts	0	50,567	2,093	73	52,733
<b>Total Receipts</b>	<u>52,797</u>	<u>74,421</u>	<u>10,546</u>	<u>21,378</u>	<u>159,142</u>
<b>Disbursements:</b>					
Local Assistance Grants	49,086	65,568	4,846	0	119,500
Departmental Operations:					
Personal Service	6,097	7,459	0	0	13,556
Non-Personal Service	2,558	4,594	0	49	7,201
General State Charges	5,824	2,522	0	0	8,346
Debt Service	0	0	0	6,257	6,257
Capital Projects	0	2	8,075	0	8,077
<b>Total Disbursements</b>	<u>63,565</u>	<u>80,145</u>	<u>12,921</u>	<u>6,306</u>	<u>162,937</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,640	8,044	3,148	3,976	33,808
Transfers to Other Funds	(11,375)	(2,081)	(1,506)	(18,940)	(33,902)
Bond and Note Proceeds	0	0	728	0	728
<b>Net Other Financing Sources (Uses)</b>	<u>7,265</u>	<u>5,963</u>	<u>2,370</u>	<u>(14,964)</u>	<u>634</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	902	0	0	0	902
Programmed	902	0	0	0	902
Unbudgeted	0	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>902</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>902</u>
<b>Adherence to 2% Spending Benchmark</b>	2,956	0	0	0	2,956
<b>Net Surplus (Deficit)</b>	<u>355</u>	<u>239</u>	<u>(5)</u>	<u>108</u>	<u>697</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2019  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>All Funds Total</b>
<b>Receipts:</b>					
Taxes	50,679	8,128	1,322	21,182	81,311
Miscellaneous Receipts	2,455	16,025	6,367	461	25,308
Federal Receipts	0	51,326	2,091	73	53,490
<b>Total Receipts</b>	<u>53,134</u>	<u>75,479</u>	<u>9,780</u>	<u>21,716</u>	<u>160,109</u>
<b>Disbursements:</b>					
Local Assistance Grants	51,650	66,652	4,386	0	122,688
Departmental Operations:					
Personal Service	6,135	7,548	0	0	13,683
Non-Personal Service	2,364	4,639	0	49	7,052
General State Charges	6,033	2,597	0	0	8,630
Debt Service	0	0	0	6,771	6,771
Capital Projects	0	0	7,992	0	7,992
<b>Total Disbursements</b>	<u>66,182</u>	<u>81,436</u>	<u>12,378</u>	<u>6,820</u>	<u>166,816</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,378	8,319	3,527	3,821	34,045
Transfers to Other Funds	(12,005)	(2,041)	(1,479)	(18,625)	(34,150)
Bond and Note Proceeds	0	0	531	0	531
<b>Net Other Financing Sources (Uses)</b>	<u>6,373</u>	<u>6,278</u>	<u>2,579</u>	<u>(14,804)</u>	<u>426</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	1,200	0	0	0	1,200
Programmed	1,200	0	0	0	1,200
Unbudgeted	0	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,200</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,200</u>
<b>Adherence to 2% Spending Benchmark</b>	4,634	0	0	0	4,634
<b>Net Surplus (Deficit)</b>	<u>(841)</u>	<u>321</u>	<u>(19)</u>	<u>92</u>	<u>(447)</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2020  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	52,943	8,188	1,323	22,011	84,465
Miscellaneous Receipts	2,318	15,867	6,158	459	24,802
Federal Receipts	0	52,292	2,147	73	54,512
<b>Total Receipts</b>	<u>55,261</u>	<u>76,347</u>	<u>9,628</u>	<u>22,543</u>	<u>163,779</u>
<b>Disbursements:</b>					
Local Assistance Grants	54,496	67,662	4,143	0	126,301
Departmental Operations:					
Personal Service	6,189	7,589	0	0	13,778
Non-Personal Service	2,451	4,627	0	49	7,127
General State Charges	6,417	2,648	0	0	9,065
Debt Service	0	0	0	7,232	7,232
Capital Projects	0	0	7,568	0	7,568
<b>Total Disbursements</b>	<u>69,553</u>	<u>82,526</u>	<u>11,711</u>	<u>7,281</u>	<u>171,071</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,820	8,520	3,415	3,837	34,592
Transfers to Other Funds	(12,156)	(1,888)	(1,711)	(18,939)	(34,694)
Bond and Note Proceeds	0	0	365	0	365
<b>Net Other Financing Sources (Uses)</b>	<u>6,664</u>	<u>6,632</u>	<u>2,069</u>	<u>(15,102)</u>	<u>263</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	731	0	0	0	731
Programmed	731	0	0	0	731
Unbudgeted	0	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	6,498	0	0	0	6,498
<b>Net Surplus (Deficit)</b>	<u>(399)</u>	<u>453</u>	<u>(14)</u>	<u>160</u>	<u>200</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	9,355	11,810	2,455	26.2%
<b>Receipts:</b>				
Taxes	74,673	77,128	2,455	3.3%
Miscellaneous Receipts	27,268	23,567	(3,701)	-13.6%
Federal Receipts	51,324	51,651	327	0.6%
<b>Total Receipts</b>	<u>153,265</u>	<u>152,346</u>	<u>(919)</u>	<u>-0.6%</u>
<b>Disbursements:</b>				
Local Assistance Grants	110,314	114,897	4,583	4.2%
Departmental Operations:				
Personal Service	13,597	13,496	(101)	-0.7%
Non-Personal Service	6,975	6,919	(56)	-0.8%
General State Charges	7,739	7,870	131	1.7%
Debt Service	5,598	5,203	(395)	-7.1%
Capital Projects	6,485	7,720	1,235	19.0%
<b>Total Disbursements</b>	<u>150,708</u>	<u>156,105</u>	<u>5,397</u>	<u>3.6%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,443	34,284	841	2.5%
Transfers to Other Funds	(33,545)	(34,359)	(814)	-2.4%
Bond and Note Proceeds	0	609	609	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>(102)</u>	<u>534</u>	<u>636</u>	<u>623.5%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,455</u>	<u>(3,225)</u>	<u>(5,680)</u>	<u>-231.4%</u>
<b>Closing Fund Balance</b>	<u>11,810</u>	<u>8,585</u>	<u>(3,225)</u>	<u>-27.3%</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	9,355	0	9,355
<b>Receipts:</b>			
Taxes	75,083	(410)	74,673
Miscellaneous Receipts	26,333	935	27,268
Federal Receipts	52,328	(1,004)	51,324
<b>Total Receipts</b>	<u>153,744</u>	<u>(479)</u>	<u>153,265</u>
<b>Disbursements:</b>			
Local Assistance Grants	111,849	(1,535)	110,314
Departmental Operations:			
Personal Service	13,581	16	13,597
Non-Personal Service	6,709	266	6,975
General State Charges	7,632	107	7,739
Debt Service	5,452	146	5,598
Capital Projects	6,855	(370)	6,485
<b>Total Disbursements</b>	<u>152,078</u>	<u>(1,370)</u>	<u>150,708</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	37,391	(3,948)	33,443
Transfers to Other Funds	(37,467)	3,922	(33,545)
Bond and Note Proceeds	474	(474)	0
<b>Net Other Financing Sources (Uses)</b>	<u>398</u>	<u>(500)</u>	<u>(102)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,064</u>	<u>391</u>	<u>2,455</u>
<b>Closing Fund Balance</b>	<u>11,419</u>	<u>391</u>	<u>11,810</u>



**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<b>FY 2016 Enacted</b>	<b>Change</b>	<b>Results</b>
<b>Opening Fund Balance</b>	9,355	0	9,355
<b>Receipts:</b>			
Taxes	74,562	111	74,673
Miscellaneous Receipts	25,410	1,858	27,268
Federal Receipts	51,396	(72)	51,324
<b>Total Receipts</b>	<u>151,368</u>	<u>1,897</u>	<u>153,265</u>
<b>Disbursements:</b>			
Local Assistance Grants	111,910	(1,596)	110,314
Departmental Operations:			
Personal Service	13,504	93	13,597
Non-Personal Service	6,814	161	6,975
General State Charges	7,640	99	7,739
Debt Service	5,122	476	5,598
Capital Projects	7,160	(675)	6,485
<b>Total Disbursements</b>	<u>152,150</u>	<u>(1,442)</u>	<u>150,708</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	37,021	(3,578)	33,443
Transfers to Other Funds	(37,100)	3,555	(33,545)
Bond and Note Proceeds	685	(685)	0
<b>Net Other Financing Sources (Uses)</b>	<u>606</u>	<u>(708)</u>	<u>(102)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(176)</u>	<u>2,631</u>	<u>2,455</u>
<b>Closing Fund Balance</b>	<u>9,179</u>	<u>2,631</u>	<u>11,810</u>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2016**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	36,549	0	0	0	36,549
Estimated Payments	16,111	0	0	0	16,111
Final Payments	2,630	0	0	0	2,630
Other Payments	1,310	0	0	0	1,310
<b>Gross Collections</b>	<b>56,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>56,600</b>
State/City Offset	(675)	0	0	0	(675)
Refunds	(8,870)	0	0	0	(8,870)
<b>Reported Tax Collections</b>	<b>47,055</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>47,055</b>
STAR (Dedicated Deposits)	(3,335)	3,335	0	0	0
RBTF (Dedicated Transfers)	(11,763)	0	0	11,763	0
<b>Personal Income Tax</b>	<b>31,957</b>	<b>3,335</b>	<b>0</b>	<b>11,763</b>	<b>47,055</b>
Sales and Use Tax	12,485	874	0	0	13,359
Cigarette and Tobacco Taxes	322	929	0	0	1,251
Motor Fuel Tax	0	105	398	0	503
Alcoholic Beverage Taxes	255	0	0	0	255
Medical Marihuana Excise Tax	0	0	0	0	0
Highway Use Tax	0	0	158	0	158
Auto Rental Tax	0	47	79	0	126
Taxicab Surcharge	0	73	0	0	73
<b>Gross Utility Taxes and Fees</b>	<b>13,062</b>	<b>2,028</b>	<b>635</b>	<b>0</b>	<b>15,725</b>
LGAC/STBF (Dedicated Transfers)	(6,243)	0	0	6,243	0
<b>Consumption/Use Taxes</b>	<b>6,819</b>	<b>2,028</b>	<b>635</b>	<b>6,243</b>	<b>15,725</b>
Corporation Franchise Tax	3,763	764	0	0	4,527
Corporation and Utilities Tax	594	165	15	0	774
Insurance Taxes	1,419	161	0	0	1,580
Bank Tax	(129)	8	0	0	(121)
Petroleum Business Tax	0	499	625	0	1,124
<b>Business Taxes</b>	<b>5,647</b>	<b>1,597</b>	<b>640</b>	<b>0</b>	<b>7,884</b>
Estate Tax	1,521	0	0	0	1,521
Real Estate Transfer Tax	1,163	0	0	0	1,163
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	2	0	0	0	2
<b>Gross Other Taxes</b>	<b>2,703</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,703</b>
Real Estate Transfer Tax (Dedicated)	(1,163)	0	119	1,044	0
<b>Other Taxes</b>	<b>1,540</b>	<b>0</b>	<b>119</b>	<b>1,044</b>	<b>2,703</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,306</b>	<b>0</b>	<b>0</b>	<b>1,306</b>
<b>Total Taxes</b>	<b>45,963</b>	<b>8,266</b>	<b>1,394</b>	<b>19,050</b>	<b>74,673</b>
Licenses, Fees, Etc.	630	0	0	0	630
Abandoned Property	527	0	0	0	527
Motor Vehicle Fees	194	431	754	0	1,379
ABC License Fee	66	0	0	0	66
Reimbursements	232	0	0	0	232
Investment Income	13	0	0	0	13
Other Transactions	4,180	16,686	3,068	487	24,421
<b>Miscellaneous Receipts</b>	<b>5,842</b>	<b>17,117</b>	<b>3,822</b>	<b>487</b>	<b>27,268</b>
<b>Federal Receipts</b>	<b>0</b>	<b>49,105</b>	<b>2,146</b>	<b>73</b>	<b>51,324</b>
<b>Total</b>	<b>51,805</b>	<b>74,488</b>	<b>7,362</b>	<b>19,610</b>	<b>153,265</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2017**  
(millions of dollars)

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>Total</b>
<b>Taxes:</b>					
Withholdings	38,356	0	0	0	38,356
Estimated Payments	16,106	0	0	0	16,106
Final Payments	2,720	0	0	0	2,720
Other Payments	1,358	0	0	0	1,358
<b>Gross Collections</b>	<b>58,540</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>58,540</b>
State/City Offset	(688)	0	0	0	(688)
Refunds	(8,388)	0	0	0	(8,388)
<b>Reported Tax Collections</b>	<b>49,464</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>49,464</b>
STAR (Dedicated Deposits)	(3,228)	3,228	0	0	0
RBTF (Dedicated Transfers)	(12,366)	0	0	12,366	0
<b>Personal Income Tax</b>	<b>33,870</b>	<b>3,228</b>	<b>0</b>	<b>12,366</b>	<b>49,464</b>
Sales and Use Tax	12,962	908	0	0	13,870
Cigarette and Tobacco Taxes	348	878	0	0	1,226
Motor Fuel Tax	0	103	391	0	494
Alcoholic Beverage Taxes	258	0	0	0	258
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	84	0	84
Auto Rental Tax	0	48	80	0	128
Taxicab Surcharge	0	70	0	0	70
<b>Gross Utility Taxes and Fees</b>	<b>13,568</b>	<b>2,011</b>	<b>555</b>	<b>0</b>	<b>16,134</b>
LGAC/STBF (Dedicated Transfers)	(6,481)	0	0	6,481	0
<b>Consumption/Use Taxes</b>	<b>7,087</b>	<b>2,011</b>	<b>555</b>	<b>6,481</b>	<b>16,134</b>
Corporation Franchise Tax	3,688	795	0	0	4,483
Corporation and Utilities Tax	568	156	14	0	738
Insurance Taxes	1,321	156	0	0	1,477
Bank Tax	173	30	0	0	203
Petroleum Business Tax	0	485	608	0	1,093
<b>Business Taxes</b>	<b>5,750</b>	<b>1,622</b>	<b>622</b>	<b>0</b>	<b>7,994</b>
Estate Tax	1,024	0	0	0	1,024
Real Estate Transfer Tax	1,138	0	0	0	1,138
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,183</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,183</b>
Real Estate Transfer Tax (Dedicated)	(1,138)	0	119	1,019	0
<b>Other Taxes</b>	<b>1,045</b>	<b>0</b>	<b>119</b>	<b>1,019</b>	<b>2,183</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,353</b>	<b>0</b>	<b>0</b>	<b>1,353</b>
<b>Total Taxes</b>	<b>47,752</b>	<b>8,214</b>	<b>1,296</b>	<b>19,866</b>	<b>77,128</b>
Licenses, Fees, Etc.	609	0	0	0	609
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	183	390	781	0	1,354
ABC License Fee	63	0	0	0	63
Reimbursements	298	0	0	0	298
Investment Income	10	0	0	0	10
Other Transactions	1,125	15,291	3,837	455	20,708
<b>Miscellaneous Receipts</b>	<b>2,813</b>	<b>15,681</b>	<b>4,618</b>	<b>455</b>	<b>23,567</b>
<b>Federal Receipts</b>	<b>0</b>	<b>49,416</b>	<b>2,162</b>	<b>73</b>	<b>51,651</b>
<b>Total</b>	<b>50,565</b>	<b>73,311</b>	<b>8,076</b>	<b>20,394</b>	<b>152,346</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2018**  
(millions of dollars)

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>Total</b>
<b>Taxes:</b>					
Withholdings	39,802	0	0	0	39,802
Estimated Payments	17,805	0	0	0	17,805
Final Payments	2,891	0	0	0	2,891
Other Payments	1,397	0	0	0	1,397
<b>Gross Collections</b>	<b>61,895</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>61,895</b>
State/City Offset	(688)	0	0	0	(688)
Refunds	(9,452)	0	0	0	(9,452)
<b>Reported Tax Collections</b>	<b>51,755</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>51,755</b>
STAR (Dedicated Deposits)	(2,977)	2,977	0	0	0
RBTF (Dedicated Transfers)	(12,939)	0	0	12,939	0
<b>Personal Income Tax</b>	<b>35,839</b>	<b>2,977</b>	<b>0</b>	<b>12,939</b>	<b>51,755</b>
Sales and Use Tax	13,632	941	0	0	14,573
Cigarette and Tobacco Taxes	345	847	0	0	1,192
Motor Fuel Tax	0	103	388	0	491
Alcoholic Beverage Taxes	263	0	0	0	263
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	138	0	138
Auto Rental Tax	0	51	84	0	135
Taxicab Surcharge	0	70	0	0	70
<b>Gross Utility Taxes and Fees</b>	<b>14,240</b>	<b>2,016</b>	<b>610</b>	<b>0</b>	<b>16,866</b>
LGAC/STBF (Dedicated Transfers)	(6,816)	0	0	6,816	0
<b>Consumption/Use Taxes</b>	<b>7,424</b>	<b>2,016</b>	<b>610</b>	<b>6,816</b>	<b>16,866</b>
Corporation Franchise Tax	3,950	830	0	0	4,780
Corporation and Utilities Tax	559	159	14	0	732
Insurance Taxes	1,407	165	0	0	1,572
Bank Tax	162	28	0	0	190
Petroleum Business Tax	0	466	583	0	1,049
<b>Business Taxes</b>	<b>6,078</b>	<b>1,648</b>	<b>597</b>	<b>0</b>	<b>8,323</b>
Estate Tax	949	0	0	0	949
Real Estate Transfer Tax	1,204	0	0	0	1,204
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,174</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,174</b>
Real Estate Transfer Tax (Dedicated)	(1,204)	0	119	1,085	0
<b>Other Taxes</b>	<b>970</b>	<b>0</b>	<b>119</b>	<b>1,085</b>	<b>2,174</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,416</b>	<b>0</b>	<b>0</b>	<b>1,416</b>
<b>Total Taxes</b>	<b>50,311</b>	<b>8,057</b>	<b>1,326</b>	<b>20,840</b>	<b>80,534</b>
Licenses, Fees, Etc.	661	0	0	0	661
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	233	401	781	0	1,415
ABC License Fee	60	0	0	0	60
Reimbursements	298	0	0	0	298
Investment Income	13	0	0	0	13
Other Transactions	696	15,396	6,346	465	22,903
<b>Miscellaneous Receipts</b>	<b>2,486</b>	<b>15,797</b>	<b>7,127</b>	<b>465</b>	<b>25,875</b>
<b>Federal Receipts</b>	<b>0</b>	<b>50,567</b>	<b>2,093</b>	<b>73</b>	<b>52,733</b>
<b>Total</b>	<b>52,797</b>	<b>74,421</b>	<b>10,546</b>	<b>21,378</b>	<b>159,142</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>Total</b>
<b>Taxes:</b>					
Withholdings	41,056	0	0	0	41,056
Estimated Payments	17,194	0	0	0	17,194
Final Payments	3,034	0	0	0	3,034
Other Payments	1,457	0	0	0	1,457
<b>Gross Collections</b>	<b>62,741</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>62,741</b>
State/City Offset	(688)	0	0	0	(688)
Refunds	(10,319)	0	0	0	(10,319)
<b>Reported Tax Collections</b>	<b>51,734</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>51,734</b>
STAR (Dedicated Deposits)	(2,921)	2,921	0	0	0
RBTF (Dedicated Transfers)	(12,934)	0	0	12,934	0
<b>Personal Income Tax</b>	<b>35,879</b>	<b>2,921</b>	<b>0</b>	<b>12,934</b>	<b>51,734</b>
Sales and Use Tax	14,218	974	0	0	15,192
Cigarette and Tobacco Taxes	335	816	0	0	1,151
Motor Fuel Tax	0	102	384	0	486
Alcoholic Beverage Taxes	268	0	0	0	268
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	54	88	0	142
Taxicab Surcharge	0	70	0	0	70
<b>Gross Utility Taxes and Fees</b>	<b>14,821</b>	<b>2,020</b>	<b>612</b>	<b>0</b>	<b>17,453</b>
LGAC/STBF (Dedicated Transfers)	(7,109)	0	0	7,109	0
<b>Consumption/Use Taxes</b>	<b>7,712</b>	<b>2,020</b>	<b>612</b>	<b>7,109</b>	<b>17,453</b>
Corporation Franchise Tax	3,949	873	0	0	4,822
Corporation and Utilities Tax	563	167	14	0	744
Insurance Taxes	1,521	180	0	0	1,701
Bank Tax	122	21	0	0	143
Petroleum Business Tax	0	461	577	0	1,038
<b>Business Taxes</b>	<b>6,155</b>	<b>1,702</b>	<b>591</b>	<b>0</b>	<b>8,448</b>
Estate Tax	912	0	0	0	912
Real Estate Transfer Tax	1,258	0	0	0	1,258
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,191</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,191</b>
Real Estate Transfer Tax (Dedicated)	(1,258)	0	119	1,139	0
<b>Other Taxes</b>	<b>933</b>	<b>0</b>	<b>119</b>	<b>1,139</b>	<b>2,191</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,485</b>	<b>0</b>	<b>0</b>	<b>1,485</b>
<b>Total Taxes</b>	<b>50,679</b>	<b>8,128</b>	<b>1,322</b>	<b>21,182</b>	<b>81,311</b>
Licenses, Fees, Etc.	634	0	0	0	634
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	246	401	788	0	1,435
ABC License Fee	66	0	0	0	66
Reimbursements	280	0	0	0	280
Investment Income	8	0	0	0	8
Other Transactions	696	15,624	5,579	461	22,360
<b>Miscellaneous Receipts</b>	<b>2,455</b>	<b>16,025</b>	<b>6,367</b>	<b>461</b>	<b>25,308</b>
<b>Federal Receipts</b>	<b>0</b>	<b>51,326</b>	<b>2,091</b>	<b>73</b>	<b>53,490</b>
<b>Total</b>	<b>53,134</b>	<b>75,479</b>	<b>9,780</b>	<b>21,716</b>	<b>160,109</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	43,158	0	0	0	43,158
Estimated Payments	18,244	0	0	0	18,244
Final Payments	3,168	0	0	0	3,168
Other Payments	1,521	0	0	0	1,521
<b>Gross Collections</b>	<b>66,091</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>66,091</b>
State/City Offset	(589)	0	0	0	(589)
Refunds	(11,759)	0	0	0	(11,759)
<b>Reported Tax Collections</b>	<b>53,743</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>53,743</b>
STAR (Dedicated Deposits)	(2,869)	2,869	0	0	0
RBTF (Dedicated Transfers)	(13,436)	0	0	13,436	0
<b>Personal Income Tax</b>	<b>37,438</b>	<b>2,869</b>	<b>0</b>	<b>13,436</b>	<b>53,743</b>
Sales and Use Tax	14,772	1,008	0	0	15,780
Cigarette and Tobacco Taxes	324	781	0	0	1,105
Motor Fuel Tax	0	101	382	0	483
Alcoholic Beverage Taxes	273	0	0	0	273
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	56	93	0	149
Taxicab Surcharge	0	70	0	0	70
<b>Gross Utility Taxes and Fees</b>	<b>15,369</b>	<b>2,020</b>	<b>616</b>	<b>0</b>	<b>18,005</b>
LGAC/STBF (Dedicated Transfers)	(7,386)	0	0	7,386	0
<b>Consumption/Use Taxes</b>	<b>7,983</b>	<b>2,020</b>	<b>616</b>	<b>7,386</b>	<b>18,005</b>
Corporation Franchise Tax	4,312	910	0	0	5,222
Corporation and Utilities Tax	569	171	14	0	754
Insurance Taxes	1,597	187	0	0	1,784
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	458	574	0	1,032
<b>Business Taxes</b>	<b>6,538</b>	<b>1,737</b>	<b>588</b>	<b>0</b>	<b>8,863</b>
Estate Tax	963	0	0	0	963
Real Estate Transfer Tax	1,308	0	0	0	1,308
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,292</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,292</b>
Real Estate Transfer Tax (Dedicated)	(1,308)	0	119	1,189	0
<b>Other Taxes</b>	<b>984</b>	<b>0</b>	<b>119</b>	<b>1,189</b>	<b>2,292</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,562</b>	<b>0</b>	<b>0</b>	<b>1,562</b>
<b>Total Taxes</b>	<b>52,943</b>	<b>8,188</b>	<b>1,323</b>	<b>22,011</b>	<b>84,465</b>
Licenses, Fees, Etc.	666	0	0	0	666
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	258	401	781	0	1,440
ABC License Fee	62	0	0	0	62
Reimbursements	303	0	0	0	303
Investment Income	8	0	0	0	8
Other Transactions	496	15,466	5,377	459	21,798
<b>Miscellaneous Receipts</b>	<b>2,318</b>	<b>15,867</b>	<b>6,158</b>	<b>459</b>	<b>24,802</b>
<b>Federal Receipts</b>	<b>0</b>	<b>52,292</b>	<b>2,147</b>	<b>73</b>	<b>54,512</b>
<b>Total</b>	<b>55,261</b>	<b>76,347</b>	<b>9,628</b>	<b>22,543</b>	<b>163,779</b>

**CURRENT STATE RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2016 and FY 2017**  
(millions of dollars)

	<u>FY 2016</u> <u>Current</u>	<u>FY 2017</u> <u>Proposed</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Taxes:</b>				
Withholdings	36,549	38,356	1,807	4.9%
Estimated Payments	16,111	16,106	(5)	0.0%
Final Payments	2,630	2,720	90	3.4%
Other Payments	1,310	1,358	48	3.7%
<b>Gross Collections</b>	<u>56,600</u>	<u>58,540</u>	<u>1,940</u>	<u>3.4%</u>
State/City Offset	(675)	(688)	(13)	-1.9%
Refunds	(8,870)	(8,388)	482	5.4%
<b>Reported Tax Collections</b>	<u>47,055</u>	<u>49,464</u>	<u>2,409</u>	<u>5.1%</u>
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
<b>Personal Income Tax</b>	<u>47,055</u>	<u>49,464</u>	<u>2,409</u>	<u>5.1%</u>
Sales and Use Tax	13,359	13,870	511	3.8%
Cigarette and Tobacco Taxes	1,251	1,226	(25)	-2.0%
Motor Fuel Tax	503	494	(9)	-1.8%
Alcoholic Beverage Taxes	255	258	3	1.2%
Medical Marihuana Excise Tax	0	4	4	0.0%
Highway Use Tax	158	84	(74)	-46.8%
Auto Rental Tax	126	128	2	1.6%
Taxicab Surcharge	73	70	(3)	-4.1%
<b>Gross Utility Taxes and Fees</b>	<u>15,725</u>	<u>16,134</u>	<u>409</u>	<u>2.6%</u>
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
<b>Consumption/Use Taxes</b>	<u>15,725</u>	<u>16,134</u>	<u>409</u>	<u>2.6%</u>
Corporation Franchise Tax	4,527	4,483	(44)	-1.0%
Corporation and Utilities Tax	774	738	(36)	-4.7%
Insurance Taxes	1,580	1,477	(103)	-6.5%
Bank Tax	(121)	203	324	267.8%
Petroleum Business Tax	1,124	1,093	(31)	-2.8%
<b>Business Taxes</b>	<u>7,884</u>	<u>7,994</u>	<u>110</u>	<u>1.4%</u>
Estate Tax	1,521	1,024	(497)	-32.7%
Real Estate Transfer Tax	1,163	1,138	(25)	-2.1%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	2	3	1	50.0%
<b>Gross Other Taxes</b>	<u>2,703</u>	<u>2,183</u>	<u>(520)</u>	<u>-19.2%</u>
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
<b>Other Taxes</b>	<u>2,703</u>	<u>2,183</u>	<u>(520)</u>	<u>-19.2%</u>
<b>Payroll Tax</b>	<u>1,306</u>	<u>1,353</u>	<u>47</u>	<u>3.6%</u>
<b>Total Taxes</b>	<u>74,673</u>	<u>77,128</u>	<u>2,455</u>	<u>3.3%</u>
Licenses, Fees, Etc.	630	609	(21)	-3.3%
Abandoned Property	527	525	(2)	-0.4%
Motor Vehicle Fees	1,379	1,354	(25)	-1.8%
ABC License Fee	66	63	(3)	-4.5%
Reimbursements	232	298	66	28.4%
Investment Income	13	10	(3)	-23.1%
Other Transactions	24,421	20,708	(3,713)	-15.2%
<b>Miscellaneous Receipts</b>	<u>27,268</u>	<u>23,567</u>	<u>(3,701)</u>	<u>-13.6%</u>
<b>Federal Receipts</b>	<u>51,324</u>	<u>51,651</u>	<u>327</u>	<u>0.6%</u>
<b>Total</b>	<u>153,265</u>	<u>152,346</u>	<u>(919)</u>	<u>-0.6%</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	2,489	(125)	2,364
<b>Receipts:</b>			
Taxes	8,193	0	8,193
Miscellaneous Receipts	16,381	176	16,557
Federal Receipts	0	46,531	46,531
<b>Total Receipts</b>	<u>24,574</u>	<u>46,707</u>	<u>71,281</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,460	41,630	61,090
Departmental Operations:			
Personal Service	6,744	613	7,357
Non-Personal Service	3,710	1,370	5,080
General State Charges	2,034	304	2,338
Capital Projects	1	0	1
<b>Total Disbursements</b>	<u>31,949</u>	<u>43,917</u>	<u>75,866</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,767	0	7,767
Transfers to Other Funds	(409)	(2,476)	(2,885)
<b>Net Other Financing Sources (Uses)</b>	<u>7,358</u>	<u>(2,476)</u>	<u>4,882</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(17)</u>	<u>314</u>	<u>297</u>
<b>Closing Fund Balance</b>	<u>2,472</u>	<u>189</u>	<u>2,661</u>



**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	2,472	189	2,661
<b>Receipts:</b>			
Taxes	8,266	0	8,266
Miscellaneous Receipts	16,926	191	17,117
Federal Receipts	0	49,105	49,105
<b>Total Receipts</b>	<u>25,192</u>	<u>49,296</u>	<u>74,488</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,339	45,163	64,502
Departmental Operations:			
Personal Service	6,970	616	7,586
Non-Personal Service	3,621	1,373	4,994
General State Charges	2,055	287	2,342
Capital Projects	2	0	2
<b>Total Disbursements</b>	<u>31,987</u>	<u>47,439</u>	<u>79,426</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,631	39	8,670
Transfers to Other Funds	(761)	(2,025)	(2,786)
<b>Net Other Financing Sources (Uses)</b>	<u>7,870</u>	<u>(1,986)</u>	<u>5,884</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,075</u>	<u>(129)</u>	<u>946</u>
<b>Closing Fund Balance</b>	<u>3,547</u>	<u>60</u>	<u>3,607</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2017  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,547	60	3,607
<b>Receipts:</b>			
Taxes	8,214	0	8,214
Miscellaneous Receipts	15,465	216	15,681
Federal Receipts	1	49,415	49,416
<b>Total Receipts</b>	<u>23,680</u>	<u>49,631</u>	<u>73,311</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,932	45,805	64,737
Departmental Operations:			
Personal Service	6,787	655	7,442
Non-Personal Service	3,409	1,226	4,635
General State Charges	2,126	319	2,445
Capital Projects	3	0	3
<b>Total Disbursements</b>	<u>31,257</u>	<u>48,005</u>	<u>79,262</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,853	0	7,853
Transfers to Other Funds	(698)	(1,719)	(2,417)
<b>Net Other Financing Sources (Uses)</b>	<u>7,155</u>	<u>(1,719)</u>	<u>5,436</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(422)</u>	<u>(93)</u>	<u>(515)</u>
<b>Closing Fund Balance</b>	<u>3,125</u>	<u>(33)</u>	<u>3,092</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2018  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,125	(33)	3,092
<b>Receipts:</b>			
Taxes	8,057	0	8,057
Miscellaneous Receipts	15,581	216	15,797
Federal Receipts	1	50,566	50,567
<b>Total Receipts</b>	<u>23,639</u>	<u>50,782</u>	<u>74,421</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,799	46,769	65,568
Departmental Operations:			
Personal Service	6,803	656	7,459
Non-Personal Service	3,386	1,208	4,594
General State Charges	2,198	324	2,522
Capital Projects	2	0	2
<b>Total Disbursements</b>	<u>31,188</u>	<u>48,957</u>	<u>80,145</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,044	0	8,044
Transfers to Other Funds	(205)	(1,876)	(2,081)
<b>Net Other Financing Sources (Uses)</b>	<u>7,839</u>	<u>(1,876)</u>	<u>5,963</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>290</u>	<u>(51)</u>	<u>239</u>
<b>Closing Fund Balance</b>	<u>3,415</u>	<u>(84)</u>	<u>3,331</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,415	(84)	3,331
<b>Receipts:</b>			
Taxes	8,128	0	8,128
Miscellaneous Receipts	15,809	216	16,025
Federal Receipts	1	51,325	51,326
<b>Total Receipts</b>	<u>23,938</u>	<u>51,541</u>	<u>75,479</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,092	47,560	66,652
Departmental Operations:			
Personal Service	6,885	663	7,548
Non-Personal Service	3,452	1,187	4,639
General State Charges	2,269	328	2,597
Capital Projects	0	0	0
<b>Total Disbursements</b>	<u>31,698</u>	<u>49,738</u>	<u>81,436</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,319	0	8,319
Transfers to Other Funds	(215)	(1,826)	(2,041)
<b>Net Other Financing Sources (Uses)</b>	<u>8,104</u>	<u>(1,826)</u>	<u>6,278</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>344</u>	<u>(23)</u>	<u>321</u>
<b>Closing Fund Balance</b>	<u>3,759</u>	<u>(107)</u>	<u>3,652</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,759	(107)	3,652
<b>Receipts:</b>			
Taxes	8,188	0	8,188
Miscellaneous Receipts	15,651	216	15,867
Federal Receipts	1	52,291	52,292
<b>Total Receipts</b>	<u>23,840</u>	<u>52,507</u>	<u>76,347</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,067	48,595	67,662
Departmental Operations:			
Personal Service	6,924	665	7,589
Non-Personal Service	3,421	1,206	4,627
General State Charges	2,319	329	2,648
Capital Projects	0	0	0
<b>Total Disbursements</b>	<u>31,731</u>	<u>50,795</u>	<u>82,526</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,520	0	8,520
Transfers to Other Funds	(216)	(1,672)	(1,888)
<b>Net Other Financing Sources (Uses)</b>	<u>8,304</u>	<u>(1,672)</u>	<u>6,632</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>413</u>	<u>40</u>	<u>453</u>
<b>Closing Fund Balance</b>	<u>4,172</u>	<u>(67)</u>	<u>4,105</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	2,661	3,607	946	35.6%
<b>Receipts:</b>				
Taxes	8,266	8,214	(52)	-0.6%
Miscellaneous Receipts	17,117	15,681	(1,436)	-8.4%
Federal Receipts	49,105	49,416	311	0.6%
<b>Total receipts</b>	<u>74,488</u>	<u>73,311</u>	<u>(1,177)</u>	<u>-1.6%</u>
<b>Disbursements:</b>				
Local Assistance Grants	64,502	64,737	235	0.4%
Departmental Operations:				
Personal Service	7,586	7,442	(144)	-1.9%
Non-Personal Service	4,994	4,635	(359)	-7.2%
General State Charges	2,342	2,445	103	4.4%
Debt Service	0	0	0	0.0%
Capital Projects	2	3	1	50.0%
<b>Total Disbursements</b>	<u>79,426</u>	<u>79,262</u>	<u>(164)</u>	<u>-0.2%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	8,670	7,853	(817)	-9.4%
Transfers to Other Funds	(2,786)	(2,417)	369	13.2%
<b>Net Other Financing Sources (Uses)</b>	<u>5,884</u>	<u>5,436</u>	<u>(448)</u>	<u>-7.6%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>946</u>	<u>(515)</u>	<u>(1,461)</u>	<u>-154.4%</u>
<b>Closing Fund Balance</b>	<u><u>3,607</u></u>	<u><u>3,092</u></u>	<u><u>(515)</u></u>	<u><u>-14.3%</u></u>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Personal Income Tax</b>	3,228	2,977	2,921	2,869
<b>Consumption/Use Taxes</b>	2,011	2,016	2,020	2,020
Sales and Use Tax	908	941	974	1,008
Cigarette and Tobacco Taxes	878	847	816	781
Motor Fuel Tax	103	103	102	101
Medical Marihuana Excise Tax	4	4	4	4
Auto Rental Tax	48	51	54	56
Taxicab Surcharge	70	70	70	70
<b>Business Taxes</b>	1,622	1,648	1,702	1,737
Corporation Franchise Tax	795	830	873	910
Corporation and Utilities Tax	156	159	167	171
Insurance Taxes	156	165	180	187
Bank Tax	30	28	21	11
Petroleum Business Tax	485	466	461	458
<b>Payroll Tax</b>	1,353	1,416	1,485	1,562
<b>Total Taxes</b>	8,214	8,057	8,128	8,188
<b>Miscellaneous Receipts</b>	15,681	15,797	16,025	15,867
HCRA	4,660	4,682	4,738	4,795
State University Income	4,292	4,434	4,510	4,616
Lottery	3,353	3,284	3,260	3,283
Medicaid	812	812	812	812
Industry Assessments	824	829	829	829
Motor Vehicle Fees	390	401	401	401
All Other	1,350	1,355	1,475	1,131
<b>Federal Receipts</b>	49,416	50,567	51,326	52,292
<b>Total</b>	73,311	74,421	75,479	76,347

**CASH RECEIPTS**  
**SPECIAL REVENUE FUNDS**  
**FY 2016 and FY 2017**  
(millions of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Personal Income Tax</b>	3,335	3,228	(107)	-3.2%
<b>Consumption/Use Taxes</b>	2,028	2,011	(17)	-0.8%
Sales and Use Tax	874	908	34	3.9%
Cigarette and Tobacco Taxes	929	878	(51)	-5.5%
Motor Fuel Tax	105	103	(2)	-1.9%
Medical Marihuana Excise Tax	0	4	4	0.0%
Auto Rental Tax	47	48	1	2.1%
Taxicab Surcharge	73	70	(3)	-4.1%
<b>Business Taxes</b>	1,597	1,622	25	1.6%
Corporation Franchise Tax	764	795	31	4.1%
Corporation and Utilities Tax	165	156	(9)	-5.5%
Insurance Taxes	161	156	(5)	-3.1%
Bank Tax	8	30	22	275.0%
Petroleum Business Tax	499	485	(14)	-2.8%
<b>Payroll Tax</b>	1,306	1,353	47	3.6%
<b>Total Taxes</b>	8,266	8,214	(52)	-0.6%
<b>Miscellaneous Receipts</b>	17,117	15,681	(1,436)	-8.4%
HCRA	4,727	4,660	(67)	-1.4%
State University Income	4,561	4,292	(269)	-5.9%
Lottery	3,472	3,353	(119)	-3.4%
Medicaid	833	812	(21)	-2.5%
Industry Assessments	686	824	138	20.1%
Motor Vehicle Fees	431	390	(41)	-9.5%
All Other	2,407	1,350	(1,057)	-43.9%
<b>Federal Receipts</b>	49,105	49,416	311	0.6%
<b>Total</b>	<u>74,488</u>	<u>73,311</u>	<u>(1,177)</u>	<u>-1.6%</u>



**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	(445)	(184)	(629)
<b>Receipts:</b>			
Taxes	1,373	0	1,373
Miscellaneous Receipts	3,960	1	3,961
Federal Receipts	5	2,025	2,030
<b>Total Receipts</b>	<u>5,338</u>	<u>2,026</u>	<u>7,364</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,312	731	2,043
Capital Projects	4,410	1,095	5,505
<b>Total Disbursements</b>	<u>5,722</u>	<u>1,826</u>	<u>7,548</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,802	(383)	1,419
Transfers to Other Funds	(1,477)	(15)	(1,492)
Bond and Note Proceeds	162	0	162
<b>Net Other Financing Sources (Uses)</b>	<u>487</u>	<u>(398)</u>	<u>89</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>103</u>	<u>(198)</u>	<u>(95)</u>
<b>Closing Fund Balance</b>	<u>(342)</u>	<u>(382)</u>	<u>(724)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	(342)	(382)	(724)
<b>Receipts:</b>			
Taxes	1,394	0	1,394
Miscellaneous Receipts	3,821	1	3,822
Federal Receipts	5	2,141	2,146
<b>Total Receipts</b>	<u>5,220</u>	<u>2,142</u>	<u>7,362</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,887	611	2,498
Capital Projects	5,057	1,426	6,483
<b>Total Disbursements</b>	<u>6,944</u>	<u>2,037</u>	<u>8,981</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,172	(277)	2,895
Transfers to Other Funds	(1,439)	(4)	(1,443)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>1,733</u>	<u>(281)</u>	<u>1,452</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>9</u>	<u>(176)</u>	<u>(167)</u>
<b>Closing Fund Balance</b>	<u>(333)</u>	<u>(558)</u>	<u>(891)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2017  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(333)</u>	<u>(558)</u>	<u>(891)</u>
<b>Receipts:</b>			
Taxes	1,296	0	1,296
Miscellaneous Receipts	4,618	0	4,618
Federal Receipts	5	2,157	2,162
<b>Total Receipts</b>	<u>5,919</u>	<u>2,157</u>	<u>8,076</u>
<b>Disbursements:</b>			
Local Assistance Grants	3,497	706	4,203
Capital Projects	6,625	1,092	7,717
<b>Total Disbursements</b>	<u>10,122</u>	<u>1,798</u>	<u>11,920</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	5,084	(326)	4,758
Transfers to Other Funds	(1,445)	(12)	(1,457)
Bond and Note Proceeds	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>4,248</u>	<u>(338)</u>	<u>3,910</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>45</u>	<u>21</u>	<u>66</u>
<b>Closing Fund Balance</b>	<u>(288)</u>	<u>(537)</u>	<u>(825)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2018  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(288)</u>	<u>(537)</u>	<u>(825)</u>
<b>Receipts:</b>			
Taxes	1,326	0	1,326
Miscellaneous Receipts	7,127	0	7,127
Federal Receipts	5	2,088	2,093
<b>Total Receipts</b>	<u>8,458</u>	<u>2,088</u>	<u>10,546</u>
<b>Disbursements:</b>			
Local Assistance Grants	4,140	706	4,846
Capital Projects	7,074	1,001	8,075
<b>Total Disbursements</b>	<u>11,214</u>	<u>1,707</u>	<u>12,921</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,496	(348)	3,148
Transfers to Other Funds	(1,494)	(12)	(1,506)
Bond and Note Proceeds	728	0	728
<b>Net Other Financing Sources (Uses)</b>	<u>2,730</u>	<u>(360)</u>	<u>2,370</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(26)</u>	<u>21</u>	<u>(5)</u>
<b>Closing Fund Balance</b>	<u>(314)</u>	<u>(516)</u>	<u>(830)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(314)</u>	<u>(516)</u>	<u>(830)</u>
<b>Receipts:</b>			
Taxes	1,322	0	1,322
Miscellaneous Receipts	6,367	0	6,367
Federal Receipts	5	2,086	2,091
<b>Total Receipts</b>	<u>7,694</u>	<u>2,086</u>	<u>9,780</u>
<b>Disbursements:</b>			
Local Assistance Grants	3,680	706	4,386
Capital Projects	7,009	983	7,992
<b>Total Disbursements</b>	<u>10,689</u>	<u>1,689</u>	<u>12,378</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,891	(364)	3,527
Transfers to Other Funds	(1,468)	(11)	(1,479)
Bond and Note Proceeds	531	0	531
<b>Net Other Financing Sources (Uses)</b>	<u>2,954</u>	<u>(375)</u>	<u>2,579</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(41)</u>	<u>22</u>	<u>(19)</u>
<b>Closing Fund Balance</b>	<u>(355)</u>	<u>(494)</u>	<u>(849)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(355)</u>	<u>(494)</u>	<u>(849)</u>
<b>Receipts:</b>			
Taxes	1,323	0	1,323
Miscellaneous Receipts	6,158	0	6,158
Federal Receipts	5	2,142	2,147
<b>Total Receipts</b>	<u>7,486</u>	<u>2,142</u>	<u>9,628</u>
<b>Disbursements:</b>			
Local Assistance Grants	3,437	706	4,143
Capital Projects	6,548	1,020	7,568
<b>Total Disbursements</b>	<u>9,985</u>	<u>1,726</u>	<u>11,711</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,798	(383)	3,415
Transfers to Other Funds	(1,699)	(12)	(1,711)
Bond and Note Proceeds	365	0	365
<b>Net Other Financing Sources (Uses)</b>	<u>2,464</u>	<u>(395)</u>	<u>2,069</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(35)</u>	<u>21</u>	<u>(14)</u>
<b>Closing Fund Balance</b>	<u>(390)</u>	<u>(473)</u>	<u>(863)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>(724)</u>	<u>(891)</u>	<u>(167)</u>	<u>-23.1%</u>
<b>Receipts:</b>				
Taxes	1,394	1,296	(98)	-7.0%
Miscellaneous Receipts	3,822	4,618	796	20.8%
Federal Receipts	<u>2,146</u>	<u>2,162</u>	<u>16</u>	<u>0.7%</u>
<b>Total Receipts</b>	<u>7,362</u>	<u>8,076</u>	<u>714</u>	<u>9.7%</u>
<b>Disbursements:</b>				
Local Assistance Grants	2,498	4,203	1,705	68.3%
Capital Projects	<u>6,483</u>	<u>7,717</u>	<u>1,234</u>	<u>19.0%</u>
<b>Total Disbursements</b>	<u>8,981</u>	<u>11,920</u>	<u>2,939</u>	<u>32.7%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers From Other Funds	2,895	4,758	1,863	64.4%
Transfers to Other Funds	(1,443)	(1,457)	(14)	-1.0%
Bond and Note Proceeds	<u>0</u>	<u>609</u>	<u>609</u>	<u>0.0%</u>
<b>Net Other Financing Sources (Uses)</b>	<u>1,452</u>	<u>3,910</u>	<u>2,458</u>	<u>169.3%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(167)</u>	<u>66</u>	<u>233</u>	<u>139.5%</u>
<b>Closing Fund Balance</b>	<u>(891)</u>	<u>(825)</u>	<u>66</u>	<u>7.4%</u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Consumption/Use Taxes</b>	555	610	612	616
Motor Fuel Tax	391	388	384	382
Highway Use Tax	84	138	140	141
Auto Rental Tax	80	84	88	93
<b>Business Taxes</b>	622	597	591	588
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	608	583	577	574
<b>Other Taxes</b>	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	1,296	1,326	1,322	1,323
<b>Miscellaneous Receipts</b>	4,618	7,127	6,367	6,158
Authority Bond Proceeds	3,555	6,073	5,307	5,105
State Park Fees	124	118	118	118
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	781	781	788	781
All Other	81	78	77	77
<b>Federal Receipts</b>	2,162	2,093	2,091	2,147
<b>Total</b>	8,076	10,546	9,780	9,628

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Consumption/Use Taxes</b>	635	555	(80)	-12.6%
Motor Fuel Tax	398	391	(7)	-1.8%
Highway Use Tax	158	84	(74)	-46.8%
Auto Rental Tax	79	80	1	1.3%
<b>Business Taxes</b>	640	622	(18)	-2.8%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	625	608	(17)	-2.7%
<b>Other Taxes</b>	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	1,394	1,296	(98)	-7.0%
<b>Miscellaneous Receipts</b>	3,822	4,618	796	20.8%
Authority Bond Proceeds	2,879	3,555	676	23.5%
State Park Fees	128	124	(4)	-3.1%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	754	781	27	3.6%
All Other	(16)	81	97	606.3%
<b>Federal Receipts</b>	2,146	2,162	16	0.7%
<b>Total</b>	7,362	8,076	714	9.7%



**CASH DISBURSEMENTS BY FUNCTION**  
**CAPITAL OFF-BUDGET SPENDING**  
(millions of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Enacted</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	9	10	10	10	5
Empire State Development Corporation	11	13	13	13	13
<b>Functional Total</b>	<u>20</u>	<u>23</u>	<u>23</u>	<u>23</u>	<u>18</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	0	0	0	0	0
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	75	141	142	93	93
People with Developmental Disabilities, Office for	15	33	36	39	40
Alcoholism and Substance Abuse Services, Office of	3	5	5	5	5
<b>Functional Total</b>	<u>93</u>	<u>179</u>	<u>183</u>	<u>137</u>	<u>138</u>
<b>EDUCATION</b>					
Education School Aid	25	30	30	21	0
<b>Functional Total</b>	<u>25</u>	<u>30</u>	<u>30</u>	<u>21</u>	<u>0</u>
<b>HIGHER EDUCATION</b>					
City University of New York	347	476	475	475	475
State University of New York	77	90	90	90	90
<b>Functional Total</b>	<u>424</u>	<u>566</u>	<u>565</u>	<u>565</u>	<u>565</u>
<b>ALL OTHER</b>					
Judiciary	6	5	7	0	0
<b>Functional Total</b>	<u>6</u>	<u>5</u>	<u>7</u>	<u>0</u>	<u>0</u>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<u><u>568</u></u>	<u><u>803</u></u>	<u><u>808</u></u>	<u><u>746</u></u>	<u><u>721</u></u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>Personal Income Tax</b>	12,366	12,939	12,934	13,436
<b>Consumption/Use Taxes</b>	6,481	6,816	7,109	7,386
Sales and Use Tax	6,481	6,816	7,109	7,386
<b>Other Taxes</b>	1,019	1,085	1,139	1,189
Real Estate Transfer Tax	1,019	1,085	1,139	1,189
<b>Total Taxes</b>	19,866	20,840	21,182	22,011
<b>Miscellaneous Receipts</b>	455	465	461	459
Mental Hygiene Patient Receipts	303	316	313	311
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	146	144	144	144
All Other	6	5	4	4
<b>Federal Receipts</b>	73	73	73	73
<b>Total</b>	<u>20,394</u>	<u>21,378</u>	<u>21,716</u>	<u>22,543</u>

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Personal Income Tax</b>	11,763	12,366	603	5.1%
<b>Consumption/Use Taxes</b>	6,243	6,481	238	3.8%
Sales and Use Tax	6,243	6,481	238	3.8%
<b>Other Taxes</b>	1,044	1,019	(25)	-2.4%
Real Estate Transfer Tax	1,044	1,019	(25)	-2.4%
<b>Total Taxes</b>	19,050	19,866	816	4.3%
<b>Miscellaneous Receipts</b>	487	455	(32)	-6.6%
Mental Hygiene Patient Receipts	308	303	(5)	-1.6%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	173	146	(27)	-15.6%
All Other	6	6	0	0.0%
<b>Federal Receipts</b>	73	73	0	0.0%
<b>Total</b>	<u>19,610</u>	<u>20,394</u>	<u>784</u>	<u>4.0%</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	2,235	2,489	(445)	65	4,344
<b>Receipts:</b>					
Taxes	43,569	8,193	1,373	17,899	71,034
Miscellaneous Receipts	8,410	16,381	3,960	510	29,261
Federal Receipts	2	0	5	73	80
<b>Total Receipts</b>	<u>51,981</u>	<u>24,574</u>	<u>5,338</u>	<u>18,482</u>	<u>100,375</u>
<b>Disbursements:</b>					
Local Assistance Grants	41,592	19,460	1,312	0	62,364
Departmental Operations:					
Personal Service	5,806	6,744	0	0	12,550
Non-Personal Service	1,858	3,710	0	39	5,607
General State Charges	4,999	2,034	0	0	7,033
Debt Service	0	0	0	6,183	6,183
Capital Projects	0	1	4,410	0	4,411
<b>Total Disbursements</b>	<u>54,255</u>	<u>31,949</u>	<u>5,722</u>	<u>6,222</u>	<u>98,148</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	15,940	7,767	1,802	4,681	30,190
Transfers to Other Funds	(8,601)	(409)	(1,477)	(16,888)	(27,375)
Bond and Note Proceeds	0	0	162	0	162
<b>Net Other Financing Sources (Uses)</b>	<u>7,339</u>	<u>7,358</u>	<u>487</u>	<u>(12,207)</u>	<u>2,977</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>5,065</u>	<u>(17)</u>	<u>103</u>	<u>53</u>	<u>5,204</u>
<b>Closing Fund Balance</b>	<u><u>7,300</u></u>	<u><u>2,472</u></u>	<u><u>(342)</u></u>	<u><u>118</u></u>	<u><u>9,548</u></u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	7,300	2,472	(342)	118	9,548
<b>Receipts:</b>					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	16,926	3,821	487	27,076
Federal Receipts	0	0	5	73	78
<b>Total Receipts</b>	<u>51,805</u>	<u>25,192</u>	<u>5,220</u>	<u>19,610</u>	<u>101,827</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,314	19,339	1,887	0	64,540
Departmental Operations:					
Personal Service	6,011	6,970	0	0	12,981
Non-Personal Service	1,944	3,621	0	37	5,602
General State Charges	5,397	2,055	0	0	7,452
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	5,057	0	5,059
<b>Total Disbursements</b>	<u>56,666</u>	<u>31,987</u>	<u>6,944</u>	<u>5,635</u>	<u>101,232</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	17,871	8,631	3,172	4,007	33,681
Transfers to Other Funds	(11,376)	(761)	(1,439)	(17,940)	(31,516)
Bond and Note Proceeds	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,495</u>	<u>7,870</u>	<u>1,733</u>	<u>(13,933)</u>	<u>2,165</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,634</u>	<u>1,075</u>	<u>9</u>	<u>42</u>	<u>2,760</u>
<b>Closing Fund Balance</b>	<u>8,934</u>	<u>3,547</u>	<u>(333)</u>	<u>160</u>	<u>12,308</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	8,934	3,547	(333)	160	12,308
<b>Receipts:</b>					
Taxes	47,752	8,214	1,296	19,866	77,128
Miscellaneous Receipts	2,813	15,465	4,618	455	23,351
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<u>50,565</u>	<u>23,680</u>	<u>5,919</u>	<u>20,394</u>	<u>100,558</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,957	18,932	3,497	0	68,386
Departmental Operations:					
Personal Service	6,054	6,787	0	0	12,841
Non-Personal Service	2,245	3,409	0	39	5,693
General State Charges	5,425	2,126	0	0	7,551
Debt Service	0	0	0	5,203	5,203
Capital Projects	0	3	6,625	0	6,628
<b>Total Disbursements</b>	<u>59,681</u>	<u>31,257</u>	<u>10,122</u>	<u>5,242</u>	<u>106,302</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,411	7,853	5,084	3,262	34,610
Transfers to Other Funds	(12,160)	(698)	(1,445)	(18,325)	(32,628)
Bond and Note Proceeds	0	0	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>6,251</u>	<u>7,155</u>	<u>4,248</u>	<u>(15,063)</u>	<u>2,591</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,865)</u>	<u>(422)</u>	<u>45</u>	<u>89</u>	<u>(3,153)</u>
<b>Closing Fund Balance</b>	<u><u>6,069</u></u>	<u><u>3,125</u></u>	<u><u>(288)</u></u>	<u><u>249</u></u>	<u><u>9,155</u></u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2018  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	50,311	8,057	1,326	20,840	80,534
Miscellaneous Receipts	2,486	15,581	7,127	465	25,659
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<u>52,797</u>	<u>23,639</u>	<u>8,458</u>	<u>21,378</u>	<u>106,272</u>
<b>Disbursements:</b>					
Local Assistance Grants	49,086	18,799	4,140	0	72,025
Departmental Operations:					
Personal Service	6,097	6,803	0	0	12,900
Non-Personal Service	2,558	3,386	0	49	5,993
General State Charges	5,824	2,198	0	0	8,022
Debt Service	0	0	0	6,257	6,257
Capital Projects	0	2	7,074	0	7,076
<b>Total Disbursements</b>	<u>63,565</u>	<u>31,188</u>	<u>11,214</u>	<u>6,306</u>	<u>112,273</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,640	8,044	3,496	3,976	34,156
Transfers to Other Funds	(11,375)	(205)	(1,494)	(18,940)	(32,014)
Bond and Note Proceeds	0	0	728	0	728
<b>Net Other Financing Sources (Uses)</b>	<u>7,265</u>	<u>7,839</u>	<u>2,730</u>	<u>(14,964)</u>	<u>2,870</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	902				
Programmed	902				
Unbudgeted	0				
<b>Total Use (Reservation) of Fund Balance</b>	<u>902</u>				
<b>Adherence to 2% Spending Benchmark</b>	2,956				
<b>Net General Fund Surplus (Deficit)</b>	<u>355</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2019  
(millions of dollars)**

	<b>General Fund</b>	<b>State Special Revenue Funds</b>	<b>State Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>State Funds Total</b>
<b>Receipts:</b>					
Taxes	50,679	8,128	1,322	21,182	81,311
Miscellaneous Receipts	2,455	15,809	6,367	461	25,092
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<u>53,134</u>	<u>23,938</u>	<u>7,694</u>	<u>21,716</u>	<u>106,482</u>
<b>Disbursements:</b>					
Local Assistance Grants	51,650	19,092	3,680	0	74,422
Departmental Operations:					
Personal Service	6,135	6,885	0	0	13,020
Non-Personal Service	2,364	3,452	0	49	5,865
General State Charges	6,033	2,269	0	0	8,302
Debt Service	0	0	0	6,771	6,771
Capital Projects	0	0	7,009	0	7,009
<b>Total Disbursements</b>	<u>66,182</u>	<u>31,698</u>	<u>10,689</u>	<u>6,820</u>	<u>115,389</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,378	8,319	3,891	3,821	34,409
Transfers to Other Funds	(12,005)	(215)	(1,468)	(18,625)	(32,313)
Bond and Note Proceeds	0	0	531	0	531
<b>Net Other Financing Sources (Uses)</b>	<u>6,373</u>	<u>8,104</u>	<u>2,954</u>	<u>(14,804)</u>	<u>2,627</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	1,200				
Programmed	1,200				
Unbudgeted	0				
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,200</u>				
<b>Adherence to 2% Spending Benchmark</b>	4,634				
<b>Net General Fund Surplus (Deficit)</b>	<u>(841)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2020  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	52,943	8,188	1,323	22,011	84,465
Miscellaneous Receipts	2,318	15,651	6,158	459	24,586
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<u>55,261</u>	<u>23,840</u>	<u>7,486</u>	<u>22,543</u>	<u>109,130</u>
<b>Disbursements:</b>					
Local Assistance Grants	54,496	19,067	3,437	0	77,000
Departmental Operations:					
Personal Service	6,189	6,924	0	0	13,113
Non-Personal Service	2,451	3,421	0	49	5,921
General State Charges	6,417	2,319	0	0	8,736
Debt Service	0	0	0	7,232	7,232
Capital Projects	0	0	6,548	0	6,548
<b>Total Disbursements</b>	<u>69,553</u>	<u>31,731</u>	<u>9,985</u>	<u>7,281</u>	<u>118,550</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,820	8,520	3,798	3,837	34,975
Transfers to Other Funds	(12,156)	(216)	(1,699)	(18,939)	(33,010)
Bond and Note Proceeds	0	0	365	0	365
<b>Net Other Financing Sources (Uses)</b>	<u>6,664</u>	<u>8,304</u>	<u>2,464</u>	<u>(15,102)</u>	<u>2,330</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	731				
Programmed	731				
Unbudgeted	0				
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>				
<b>Adherence to 2% Spending Benchmark</b>	6,498				
<b>Net General Fund Surplus (Deficit)</b>	<u>(399)</u>				



**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	9,548	12,308	2,760	28.9%
<b>Receipts:</b>				
Taxes	74,673	77,128	2,455	3.3%
Miscellaneous Receipts	27,076	23,351	(3,725)	-13.8%
Federal Receipts	78	79	1	1.3%
<b>Total Receipts</b>	<u>101,827</u>	<u>100,558</u>	<u>(1,269)</u>	<u>-1.2%</u>
<b>Disbursements:</b>				
Local Assistance Grants	64,540	68,386	3,846	6.0%
Departmental Operations:				
Personal Service	12,981	12,841	(140)	-1.1%
Non-Personal Service	5,602	5,693	91	1.6%
General State Charges	7,452	7,551	99	1.3%
Debt Service	5,598	5,203	(395)	-7.1%
Capital Projects	5,059	6,628	1,569	31.0%
<b>Total Disbursements</b>	<u>101,232</u>	<u>106,302</u>	<u>5,070</u>	<u>5.0%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,681	34,610	929	2.8%
Transfers to Other Funds	(31,516)	(32,628)	(1,112)	-3.5%
Bond and Note Proceeds	0	609	609	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>2,165</u>	<u>2,591</u>	<u>426</u>	<u>19.7%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,760</u>	<u>(3,153)</u>	<u>(5,913)</u>	<u>-214.2%</u>
<b>Closing Fund Balance</b>	<u>12,308</u>	<u>9,155</u>	<u>(3,153)</u>	<u>-25.6%</u>

**CASHFLOW  
GENERAL FUND  
FY 2015  
(dollars in millions)**

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	2,235	5,533	4,548	5,131	6,998	6,889	8,053	7,715	6,559	8,254	10,363	11,218	2,235
<b>RECEIPTS:</b>													
Personal Income Tax	4,015	1,576	2,759	1,924	1,793	2,969	1,861	1,352	3,599	2,992	2,555	2,090	29,485
Consumption/Use Taxes	506	507	656	527	520	674	516	525	661	540	436	623	6,691
Business Taxes	148	353	1,243	127	(87)	940	87	2	916	157	58	2,321	6,265
Other Taxes	85	121	70	98	92	107	106	91	98	126	50	84	1,128
Total Taxes	4,754	2,557	4,728	2,676	2,318	4,690	2,570	1,970	5,274	3,815	3,099	5,118	43,569
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	652
ABC License Fee	7	5	5	6	4	5	6	5	5	5	5	3	61
Investment Income	0	0	0	1	0	0	0	0	0	0	0	0	4
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	75	26	65	19	588
Motor Vehicle Fees	37	21	6	20	6	24	24	(1)	21	13	13	29	191
Reimbursements	7	12	45	0	22	48	3	16	27	12	22	52	266
Other Transactions	88	1,722	30	2,271	104	536	340	364	249	46	21	877	6,648
Total Miscellaneous Receipts	175	1,817	156	2,320	190	673	511	562	397	147	171	1,291	8,410
Federal Receipts	1	0	0	0	0	0	0	0	0	1	0	0	2
PIT in Excess of Revenue Bond Debt Service	1,338	355	1,063	395	266	1,223	422	191	1,242	875	476	813	8,659
Tax in Excess of LGAC	212	87	441	233	179	310	233	235	307	243	(2)	153	2,632
Sales Tax Bond Fund	208	220	293	226	225	306	219	222	292	253	190	286	2,940
Real Estate Taxes in Excess of CW/CA Debt Service	57	75	78	60	73	69	75	72	74	74	73	57	844
All Other	90	66	57	9	17	93	11	24	(5)	29	84	390	865
Total Transfers from Other Funds	1,905	801	1,932	924	765	2,001	960	744	1,910	1,478	821	1,699	15,940
TOTAL RECEIPTS	6,835	5,175	6,816	5,920	3,273	7,364	4,041	3,276	7,581	5,441	4,091	8,108	67,921
<b>DISBURSEMENTS:</b>													
School Aid	282	2,679	1,834	82	597	1,711	771	1,389	1,607	378	465	6,620	18,415
Higher Education	13	14	464	599	139	53	458	28	222	327	327	713	3,060
All Other Education	20	326	15	212	135	478	64	30	164	35	101	490	2,070
Medicaid - DOH	1,100	1,057	897	1,142	770	832	1,013	1,213	699	919	1,010	1,025	11,677
Public Health	1	75	117	64	78	42	41	32	79	47	93	73	742
Mental Hygiene	5	2	295	1	2	257	2	(2)	491	12	209	132	14,066
Children and Families	27	96	75	157	60	151	167	70	248	108	125	314	1,598
Temporary & Disability Assistance	98	105	158	104	96	96	98	93	93	95	90	108	1,236
Transportation	0	0	0	0	25	0	0	23	13	2	10	1	98
Unrestricted Aid	0	11	390	2	0	102	8	5	182	1	0	64	765
All Other	22	(13)	197	34	22	(7)	22	29	50	130	69	(30)	525
Total Local Assistance Grants	1,568	4,376	4,442	2,397	1,921	3,715	2,644	2,915	3,848	1,757	2,499	9,510	41,592
Personal Service	447	529	447	602	451	448	526	453	591	445	450	477	5,806
Non-Personal Service	83	147	146	133	151	148	169	121	133	133	151	343	1,858
Total Departmental Operations	530	676	593	735	602	596	695	574	724	578	601	760	7,664
General State Charges	504	649	357	703	146	1,126	408	140	306	251	(96)	505	4,999
Debt Service	401	(152)	(2)	231	(11)	(99)	202	(60)	(32)	393	(22)	448	1,297
Capital Projects	9	31	104	(288)	169	181	172	174	547	123	178	(136)	1,264
State Share Medicaid	169	42	67	68	261	147	160	40	112	187	43	123	1,419
SUNY Operations	210	210	210	188	0	0	0	163	0	0	0	(1)	980
Other Purposes	146	328	462	19	294	534	98	486	381	43	33	817	3,644
Total Transfers to Other Funds	935	459	841	218	713	763	632	803	1,008	746	232	1,251	8,601
TOTAL DISBURSEMENTS	3,537	6,160	6,233	4,053	3,382	6,200	4,379	4,432	5,886	3,332	3,236	12,026	62,856
Excess/(Deficiency) of Receipts over Disbursements	3,298	(985)	583	1,867	(109)	1,164	(338)	(1,156)	1,695	2,109	855	(3,918)	5,065
<b>CLOSING BALANCE</b>	5,533	4,548	5,131	6,998	6,889	8,053	7,715	6,559	8,254	10,363	11,218	7,300	7,300

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2015  
(dollars in millions)**

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,789	9,037	8,094	8,740	10,816	11,122	10,528	10,509	9,564	10,263	14,100	15,240	0	4,789
<b>RECEIPTS:</b>														
Personal Income Tax	5,353	2,101	4,244	2,565	2,391	4,230	2,488	1,836	4,964	7,274	3,410	2,853	0	43,709
Consumption/Use Taxes	1,196	1,117	1,439	1,183	1,145	1,488	1,161	1,144	1,466	1,193	964	1,329	0	14,785
Business Taxes	218	464	1,485	179	(6)	1,142	124	58	1,127	239	97	2,723	0	7,850
Other Taxes	288	289	229	266	261	263	289	255	288	352	278	259	0	3,317
Total Taxes	7,015	3,971	7,397	4,193	3,791	7,123	4,062	3,293	7,845	9,058	4,749	7,164	0	69,661
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	0	652
ABC License Fee	7	5	5	6	4	5	6	5	5	5	5	3	0	61
HCRA	333	376	359	416	352	371	386	357	399	398	385	367	0	4,499
Investment Income	0	0	0	0	0	0	0	0	0	0	0	3	0	4
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	19	26	65	19	0	588
Lottery	312	254	243	305	245	244	305	262	277	253	263	252	0	3,215
Medicaid	72	21	20	74	107	71	65	69	61	71	64	58	0	792
Motor Vehicle Fees	68	53	52	54	36	53	56	27	57	38	37	61	0	592
Reimbursements	7	12	45	0	22	48	3	16	27	12	22	52	0	266
State University Income	265	255	259	283	394	637	414	233	249	493	528	393	0	4,403
Other Transactions	268	2,048	388	2,507	350	856	644	597	637	312	297	1,325	0	10,229
Total Miscellaneous Receipts	1,368	3,081	1,441	3,668	1,564	2,402	2,017	1,744	1,807	1,657	1,711	2,841	0	25,301
Federal Receipts	1	0	0	2	35	0	0	0	0	1	35	1	0	75
<b>TOTAL RECEIPTS</b>	<b>8,384</b>	<b>7,052</b>	<b>8,838</b>	<b>7,863</b>	<b>5,390</b>	<b>9,525</b>	<b>6,079</b>	<b>5,037</b>	<b>9,652</b>	<b>10,716</b>	<b>6,495</b>	<b>10,006</b>	<b>0</b>	<b>95,037</b>
<b>DISBURSEMENTS:</b>														
School Aid	282	2,679	2,145	82	597	3,760	914	1,532	1,750	521	607	6,762	0	21,631
Higher Education	13	14	464	599	139	53	458	28	222	30	327	745	0	3,092
All Other Education	20	326	17	212	135	483	64	30	167	35	101	491	0	2,081
STAR	0	0	424	0	0	203	5	25	124	2,460	3	53	0	3,297
Medicaid - DOH	1,373	1,583	1,178	1,655	1,184	1,220	1,594	1,548	1,247	1,394	1,316	1,499	0	16,791
Public Health	29	153	153	232	201	82	140	107	132	84	134	272	0	1,719
Mental Hygiene	53	55	486	103	44	425	156	31	781	64	295	429	0	2,922
Children and Families	27	97	75	157	60	151	168	70	248	108	126	314	0	1,501
Temporary & Disability Assistance	98	105	158	104	93	96	98	98	93	95	90	108	0	1,236
Transportation	155	566	338	352	479	403	334	591	853	213	286	264	0	4,834
Unrestricted Aid	0	11	390	2	0	102	8	5	182	1	64	64	0	765
All Other	39	8	220	270	88	25	43	54	77	154	71	39	0	1,083
Total Local Assistance Grants	2,089	5,597	6,048	3,768	3,015	7,003	3,982	4,119	5,876	5,159	3,356	11,040	0	61,052
Personal Service	1,004	1,136	953	1,272	958	968	1,128	968	1,303	952	967	941	0	12,550
Non-Personal Service	355	395	478	394	439	466	519	391	437	441	459	833	0	5,607
Total Departmental Operations	1,359	1,531	1,431	1,666	1,397	1,434	1,647	1,359	1,740	1,393	1,426	1,774	0	18,157
General State Charges	680	837	469	735	547	1,164	478	485	413	396	259	570	0	7,033
Debt Service	173	217	291	78	397	752	145	93	622	77	552	2,786	0	6,183
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	0	1
<b>TOTAL DISBURSEMENTS</b>	<b>4,301</b>	<b>8,182</b>	<b>8,239</b>	<b>6,247</b>	<b>5,356</b>	<b>10,353</b>	<b>6,252</b>	<b>6,056</b>	<b>8,651</b>	<b>7,025</b>	<b>5,593</b>	<b>16,171</b>	<b>0</b>	<b>92,426</b>
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	3,162	1,534	2,890	1,728	1,900	3,203	1,848	1,777	2,783	2,480	1,540	4,065	(462)	28,388
Transfers to other funds	(2,997)	(1,347)	(2,843)	(1,268)	(1,628)	(2,969)	(1,694)	(1,643)	(3,085)	(2,334)	(1,302)	(3,250)	462	(25,898)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>165</b>	<b>187</b>	<b>47</b>	<b>460</b>	<b>272</b>	<b>234</b>	<b>154</b>	<b>74</b>	<b>(302)</b>	<b>146</b>	<b>238</b>	<b>815</b>	<b>0</b>	<b>2,490</b>
Excess/(Deficiency) of Receipts over Disbursements	4,248	(943)	646	2,076	306	(594)	(19)	(945)	699	3,837	1,140	(5,350)	0	5,101
<b>CLOSING BALANCE</b>	<b>9,037</b>	<b>8,094</b>	<b>8,740</b>	<b>10,816</b>	<b>11,122</b>	<b>10,528</b>	<b>10,509</b>	<b>9,564</b>	<b>10,263</b>	<b>14,100</b>	<b>15,240</b>	<b>9,890</b>	<b>0</b>	<b>9,890</b>

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2015**  
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,035	7,576	7,114	7,739	9,849	9,668	9,215	9,126	7,544	8,699	12,481	13,572	0	4,035
<b>RECEIPTS:</b>														
Personal Income Tax	5,353	2,101	4,244	2,565	2,391	4,230	2,488	1,836	4,964	7,274	3,410	2,853	0	43,709
Consumption/Use Taxes	1,204	1,164	1,496	1,229	1,195	1,557	1,207	1,183	1,529	1,238	1,007	1,376	0	15,385
Business Taxes	273	515	1,548	232	57	1,202	177	105	1,179	295	1,148	2,773	0	8,504
Other Taxes	288	289	241	278	273	275	301	267	300	364	290	270	0	3,436
Total Taxes	7,118	4,069	7,529	4,304	3,916	7,264	4,172	3,391	7,972	9,171	4,855	7,272	0	71,034
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	0	652
ABC License Fee	7	5	6	6	4	5	6	5	5	5	5	3	0	61
HCRA	333	376	359	416	352	371	386	357	399	398	385	367	0	4,499
Investment Income	0	0	0	1	0	0	0	0	0	0	0	3	0	4
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	75	26	65	19	0	588
Lottery	312	254	243	305	244	244	305	262	277	253	263	252	0	3,215
Medicaid	72	21	20	74	107	110	65	69	61	71	64	58	0	792
Motor Vehicle Fees	68	53	52	54	36	53	56	27	57	38	37	61	0	592
Reimbursements	7	12	45	0	22	12	3	16	27	12	22	52	0	266
State University Income	265	255	259	283	394	637	414	233	249	493	528	393	0	4,403
Other Transactions	483	2,225	625	3,158	447	1,089	755	10,29	806	399	399	2,951	0	14,366
Total Miscellaneous Receipts	1,583	3,288	1,678	4,319	1,661	2,635	2,128	2,176	1,976	1,744	1,813	4,467	0	29,438
Federal Receipts	2,979	3,967	4,032	3,448	4,221	4,089	3,785	3,485	4,869	3,789	4,232	5,740	0	48,636
<b>TOTAL RECEIPTS</b>	<b>11,680</b>	<b>11,294</b>	<b>13,239</b>	<b>12,071</b>	<b>9,798</b>	<b>13,988</b>	<b>10,086</b>	<b>9,052</b>	<b>14,817</b>	<b>14,704</b>	<b>10,900</b>	<b>17,479</b>	<b>0</b>	<b>149,108</b>
<b>DISBURSEMENTS:</b>														
School Aid	573	2,963	2,383	215	709	3,816	1,025	1,647	1,886	704	857	6,906	0	23,684
Higher Education	13	14	464	599	139	53	459	28	222	30	327	745	0	3,093
All Other Education	175	493	124	318	141	535	141	111	256	106	182	613	0	3,241
STAR	0	0	424	0	0	203	5	25	124	2,460	3	53	0	3,297
Medicaid - DOH	3,624	3,708	3,760	3,851	3,755	3,385	4,127	4,029	4,066	3,941	3,769	4,622	0	46,637
Public Health	154	272	271	342	424	218	252	223	241	178	223	551	0	3,349
Mental Hygiene	81	65	500	129	59	438	178	54	799	81	315	441	0	3,140
Children and Families	186	103	109	244	91	448	197	102	316	121	230	531	0	2,678
Temporary & Disability Assistance	284	352	576	361	365	563	288	299	441	311	256	637	0	4,733
Transportation	211	601	447	387	511	553	392	623	1,198	285	315	432	0	5,915
Unrestricted Aid	0	11	390	2	0	102	8	5	182	1	0	64	0	785
All Other	119	133	293	386	691	(16)	136	978	454	288	373	358	0	4,193
Total Local Assistance Grants	5,420	8,715	9,741	6,834	6,931	10,298	7,208	8,124	10,145	8,506	6,850	15,963	0	104,725
Personal Service	1,053	1,180	1,003	1,338	1,009	1,017	1,176	1,010	1,372	997	1,009	999	0	13,163
Non-Personal Service	444	489	532	490	544	656	647	468	557	539	624	1,017	0	6,977
Total Departmental Operations	1,497	1,639	1,535	1,828	1,553	1,673	1,823	1,478	1,929	1,536	1,633	2,016	0	20,140
General State Charges	691	844	519	737	589	1,176	511	491	459	423	317	580	0	7,337
Debt Service	173	217	291	78	397	752	145	93	622	77	552	2,786	0	6,183
Capital Projects	296	339	523	473	492	538	477	473	536	381	453	525	0	5,506
<b>TOTAL DISBURSEMENTS</b>	<b>8,077</b>	<b>11,754</b>	<b>12,609</b>	<b>9,950</b>	<b>9,962</b>	<b>14,437</b>	<b>10,164</b>	<b>10,659</b>	<b>13,691</b>	<b>10,923</b>	<b>9,805</b>	<b>21,860</b>	<b>0</b>	<b>143,891</b>
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	3,197	1,607	3,016	1,441	2,049	3,402	2,025	1,884	3,344	2,616	1,720	3,968	(462)	29,807
Transfers to other funds	(3,259)	(1,609)	(3,021)	(1,452)	(2,066)	(3,406)	(2,036)	(1,859)	(3,315)	(2,615)	(1,724)	(3,966)	462	(29,866)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	162	0	162
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(62)</b>	<b>(2)</b>	<b>(15)</b>	<b>(11)</b>	<b>(17)</b>	<b>(4)</b>	<b>(11)</b>	<b>25</b>	<b>29</b>	<b>1</b>	<b>(4)</b>	<b>164</b>	<b>0</b>	<b>103</b>
Excess/(Deficiency) of Receipts over Disbursements	3,541	(462)	625	2,110	(181)	(453)	(89)	(1,582)	1,155	3,782	1,091	(4,217)	0	5,320
<b>CLOSING BALANCE</b>	<b>7,576</b>	<b>7,114</b>	<b>7,739</b>	<b>9,849</b>	<b>9,668</b>	<b>9,215</b>	<b>9,126</b>	<b>7,544</b>	<b>8,699</b>	<b>12,481</b>	<b>13,572</b>	<b>9,355</b>	<b>0</b>	<b>9,355</b>

**CASHFLOW  
GENERAL FUND  
FY 2016  
(dollars in millions)**

	2015 April Results	2015 May Results	2015 June Results	2015 July Results	2015 August Results	2015 September Results	2015 October Results	2015 November Results	2015 December Results	2016 January Results	2016 February Results	2016 March Results	Total
<b>OPENING BALANCE</b>	7,300	10,344	9,591	11,064	9,635	9,489	10,717	11,388	10,312	12,741	13,779	14,341	7,300
<b>RECEIPTS:</b>													
Personal Income Tax	5,036	1,741	3,171	2,041	1,909	3,653	1,838	1,730	3,622	2,862	2,248	2,106	31,957
Consumption/Use Taxes	203	(33)	1,066	133	205	699	488	12	645	534	450	647	6,819
Business Taxes	150	151	119	209	165	1,084	81	104	1,084	108	10	1,874	5,647
Other Taxes	5,896	2,371	5,089	2,943	2,811	5,584	2,551	2,380	5,426	3,400	2,803	4,709	15,440
Total Taxes	0	0	0	0	0	22	24	120	26	41	20	274	527
Abandoned Property	7	6	7	6	6	5	4	4	4	5	5	3	66
ABC License Fee	0	0	1	1	1	1	1	1	1	0	3	3	13
Investment Income	40	45	75	46	75	48	30	28	80	47	33	83	630
Licenses, Fees, etc.	36	0	28	15	(3)	23	21	8	22	(6)	23	20	194
Motor Vehicle Fees	89	7	36	9	6	29	29	29	50	4	27	27	232
Reimbursements	2,387	41	41	23	15	255	412	514	72	15	12	345	4,180
Other Transactions	178	2,445	188	100	120	382	497	653	256	105	123	765	5,842
Total Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	1,679	539	1,201	524	261	1,462	563	430	1,249	565	534	1,152	10,159
PIT in Excess of Revenue Bond Debt Service	234	33	159	145	165	306	179	141	238	211	168	302	2,758
Tax in Excess of LGAC	224	216	303	232	149	300	176	216	258	215	168	302	2,759
Sales Tax Bond Fund	74	97	76	76	81	95	89	63	78	78	73	75	972
Real Estate Taxes in Excess of CW/CA Debt Service	15	298	4	21	2	90	8	8	41	41	206	506	1,253
All Other	2,230	1,183	2,103	1,102	688	2,266	1,055	951	1,924	1,188	984	2,197	17,871
Total Transfers from Other Funds	8,304	5,999	7,380	4,145	3,619	8,232	4,103	4,014	7,606	4,693	3,910	7,671	69,676
<b>TOTAL RECEIPTS</b>	13,604	16,343	16,971	15,209	13,254	17,721	14,820	15,402	17,918	17,434	17,689	16,012	73,676
<b>DISBURSEMENTS:</b>													
School Aid	535	2,703	1,975	67	562	2,604	111	1,663	1,620	429	488	7,376	20,133
Higher Education	18	20	646	248	123	186	345	53	66	151	333	750	2,939
All Other Education	1,231	1,606	495	85	108	381	34	31	187	22	221	382	2,075
Medicaid - DOH	11	187	57	69	26	1,273	825	986	1,316	903	1,123	366	12,136
Public Health	3	2	278	3	2	48	41	43	73	42	46	71	714
Mental Hygiene	39	39	196	303	30	315	81	72	303	15	202	202	1,174
Children and Families	93	38	156	97	90	323	70	72	274	70	187	173	1,733
Temporary & Disability Assistance	1	2	12	1	3	105	93	11	13	94	141	96	1,211
Transportation	1	1	389	1	0	109	0	22	192	0	14	67	771
Unrestricted Aid	2	38	189	31	(96)	45	36	28	(47)	31	89	(31)	315
All Other	1,951	4,827	4,791	1,793	2,158	5,239	1,567	2,988	4,092	1,756	2,651	9,501	43,334
Total Local Assistance Grants	554	443	457	638	471	489	543	441	629	447	419	480	6,011
Personal Service	68	125	141	173	166	211	81	185	138	160	218	278	1,944
Non-Personal Service	622	568	598	811	637	700	624	626	767	607	637	758	7,955
Total Departmental Operations	612	504	364	1,816	(50)	473	473	406	3	492	(188)	442	5,397
General State Charges	302	(9)	(196)	167	(12)	(70)	145	(3)	(2)	347	(25)	378	1,196
Debt Service	73	148	(196)	200	326	353	23	336	8	281	154	1,015	2,721
Capital Projects	992	0	65	171	40	233	103	176	136	128	42	77	2,036
State Share Medicaid	210	210	211	191	0	0	0	0	0	0	0	0	998
SUNY Operations	498	504	96	435	656	76	497	512	173	44	27	907	4,425
Other Purposes	2,075	853	154	1,164	1,070	592	768	1,070	315	800	198	2,377	11,376
Total Transfers to Other Funds	5,260	6,752	5,907	5,584	3,755	7,004	3,432	5,090	5,177	3,655	3,348	13,078	68,042
<b>TOTAL DISBURSEMENTS</b>	31,044	(753)	14,731	(1,439)	(136)	12,228	6,711	(10,766)	2,429	10,338	562	(5,407)	1,634
Excess/(Deficiency) of Receipts over Disbursements	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	8,934	8,934
<b>CLOSING BALANCE</b>	7,300	10,344	9,591	11,064	9,635	9,489	10,717	11,388	10,312	12,741	13,779	14,341	7,300

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2016  
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	9,890	14,307	14,119	15,152	14,615	15,045	13,856	15,562	14,817	16,343	19,237	19,558	0	9,890
<b>RECEIPTS:</b>														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,721	2,897	2,817	0	47,056
Competition/Use Taxes	1,744	1,144	1,348	1,348	1,348	1,296	1,050	1,348	1,348	1,245	1,348	1,348	0	15,348
Business Taxes	263	31	297	204	288	1,296	326	87	1,310	2,400	63	2,259	0	2,244
Other Taxes	369	11	297	387	337	337	326	268	270	356	320	285	0	3,889
Total Taxes	8,542	3,791	7,976	4,553	4,337	8,305	4,019	3,859	8,001	8,766	4,373	6,751	0	73,279
Abandoned Property	0	0	0	0	22	24	24	120	26	41	20	274	0	527
ABC License Fee	317	378	448	439	382	376	384	404	359	354	413	473	0	66
HCRA	0	0	1	1	1	1	30	1	1	0	3	3	0	13
Investment Income	40	0	45	46	243	48	28	80	28	47	33	3	0	630
Licenses, Fees, etc.	31	265	262	370	243	316	273	243	376	322	243	389	0	3,020
Medicaid	80	70	65	70	66	67	73	66	75	72	63	66	0	623
Motor Vehicle Fees	70	45	69	60	66	54	49	38	65	24	53	66	0	633
Reimbursements	6	36	9	9	26	29	3	8	50	20	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Other Transactions	(543)	3,033	412	275	319	620	640	667	599	213	284	1,050	0	7,569
Total Miscellaneous Receipts	609	4,092	1,631	1,563	1,438	2,142	1,905	1,879	1,839	1,586	1,772	2,795	0	23,255
Federal Receipts	0	0	0	2	35	0	0	1	0	0	37	(2)	0	75
<b>TOTAL RECEIPTS</b>	9,151	7,883	9,607	6,118	5,810	10,447	5,924	5,739	9,840	10,352	6,182	9,554	0	96,607
<b>DISBURSEMENTS:</b>														
School Aid	535	2,703	2,283	67	562	4,752	111	1,805	1,762	571	631	7,520	0	23,302
Higher Education	18	20	646	248	123	186	345	53	66	151	333	766	0	2,955
All Other Education	18	99	508	85	109	383	35	32	189	23	221	383	0	2,085
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	1,641	1,917	927	1,454	1,650	1,625	1,338	1,405	1,742	1,367	1,349	1,038	0	17,453
Public Health	35	226	136	228	183	106	60	99	148	158	71	198	0	1,648
Mental Hygiene	65	33	472	134	70	631	25	(4)	582	84	64	489	0	2,645
Children and Families	39	40	96	303	30	323	81	73	274	70	188	218	0	1,735
Temporary & Disability Assistance	93	98	158	97	95	105	93	89	93	92	101	99	0	1,213
Transportation	125	494	452	313	468	401	352	584	867	187	249	253	0	4,745
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	26	70	207	60	(72)	132	40	71	(22)	91	163	0	0	666
Total Local Assistance Grants	2,596	5,713	6,705	2,990	3,218	8,943	2,480	4,232	6,018	5,348	3,370	11,040	0	62,653
Personal Service	1,187	977	978	1,316	988	1,017	1,161	972	1,343	971	967	1,104	0	12,981
Non-Personal Service	291	375	499	412	517	549	227	491	509	470	555	707	0	5,602
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,522	1,811	0	18,583
General State Charges	650	699	552	1,856	363	559	484	455	455	526	323	530	0	7,452
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
<b>TOTAL DISBURSEMENTS</b>	4,890	8,019	8,898	6,658	5,360	11,801	4,370	6,252	8,641	7,349	5,774	16,276	0	94,288
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,552	2,179	2,714	2,425	1,822	3,107	2,108	1,893	2,627	2,018	1,266	4,393	(535)	30,509
Transfers to other funds	(4,396)	(2,111)	(2,390)	(2,422)	(1,842)	(2,942)	(1,956)	(2,125)	(2,300)	(2,127)	(1,353)	(4,588)	0	(30,077)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	156	(52)	324	3	(20)	165	152	(232)	327	(109)	(87)	(195)	0	432
Excess/(Deficiency) of Receipts over Disbursements	4,417	(188)	1,033	(527)	430	(1,189)	1,706	(745)	1,526	2,894	321	(6,917)	0	2,751
<b>CLOSING BALANCE</b>	14,307	14,119	15,152	14,615	15,045	13,856	15,562	14,817	16,343	19,237	19,558	12,641	0	12,641

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	2015 May Results	2015 June Results	2015 July Results	2015 August Results	2015 September Results	2015 October Results	2015 November Results	2015 December Results	2016 January Results	2016 February Results	2016 March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	9,355	12,664	13,192	14,765	13,781	14,365	12,327	14,656	14,091	16,220	18,616	18,275	0	9,355
<b>RECEIPTS:</b>														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,275	1,035	1,454	0	15,725
Business Taxes	314	61	1,402	258	344	1,351	206	138	1,368	16	110	2,315	0	7,883
Other Taxes	369	335	308	359	349	351	338	280	282	368	352	296	0	4,009
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	0	74,673
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	577
ABC License Fee	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
HCRA	0	0	1	1	1	1	1	1	1	0	3	3	0	13
Investment Income	40	45	75	46	75	48	30	28	80	47	33	83	0	630
Licenses, Fees, etc.	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Lottery	80	70	65	70	66	67	72	66	833	72	63	69	0	833
Medicaid	6	7	36	60	32	54	49	38	65	24	53	66	0	625
Motor Vehicle Fees	311	243	256	347	288	600	448	294	266	465	27	412	0	232
Reimbursements	(445)	3,216	1,367	426	437	524	1,034	294	1,379	308	364	179	0	4,561
State University Income	707	4,275	2,586	1,714	1,556	2,446	2,299	2,005	2,619	1,681	1,852	3,528	0	27,268
Other Transactions	1,728	4,649	4,628	3,879	4,470	4,371	3,333	4,763	5,411	4,193	3,887	6,012	0	11,562
Total Miscellaneous Receipts	11,077	12,808	15,219	10,259	10,481	15,259	9,766	10,741	16,164	14,754	10,213	16,424	0	153,265
Federal Receipts														
<b>TOTAL RECEIPTS</b>	20,002	31,572	28,411	25,024	24,262	29,624	22,093	25,397	30,205	31,074	28,829	34,702	0	212,948
<b>DISBURSEMENTS:</b>														
School Aid	700	2,937	2,430	193	636	4,898	205	2,350	2,019	662	806	7,665	0	25,501
Higher Education	18	20	646	248	123	186	345	53	66	164	333	767	0	2,969
All Other Education	177	309	593	186	179	503	143	203	242	62	449	510	0	3,558
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	3,201	4,480	4,128	4,323	4,083	4,556	3,317	4,085	4,553	5,153	4,021	4,725	0	50,625
Public Health	138	332	261	350	489	223	135	275	294	265	157	637	0	3,556
Mental Hygiene	90	57	486	163	91	663	35	13	603	104	78	522	0	2,905
Children and Families	58	157	132	391	192	473	132	32	358	80	326	235	0	2,632
Temporary & Disability Assistance	295	290	573	266	709	488	258	252	561	296	378	554	0	4,960
Transportation	157	527	528	346	484	602	368	639	1,136	204	288	402	0	5,681
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	225	256	341	330	67	402	229	463	338	172	487	511	0	3,821
Total Local Assistance Grants	5,060	9,378	10,938	6,797	7,053	13,295	5,167	8,496	10,487	9,716	7,323	16,601	0	110,314
Personal Service	1,238	1,023	1,025	1,380	1,032	1,060	1,208	1,018	1,408	1,016	1,017	1,172	0	13,597
Non-Personal Service	348	452	610	484	614	773	267	565	621	565	675	924	0	6,975
Total Departmental Operations	1,586	1,475	1,635	1,864	1,646	1,833	1,475	1,583	2,029	1,581	1,692	2,096	0	20,572
General State Charges	663	743	559	1,862	410	574	485	503	503	532	365	568	0	7,739
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	289	426	451	569	536	857	293	644	695	479	532	714	0	6,485
<b>TOTAL DISBURSEMENTS</b>	7,764	12,277	13,747	11,776	9,919	17,292	7,438	11,300	14,030	12,342	10,548	22,875	0	150,708
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,629	2,267	2,552	2,633	2,148	3,466	2,136	2,229	2,651	2,207	1,455	5,505	(635)	33,443
Transfers to other funds	(4,633)	(2,270)	(2,551)	(2,700)	(2,126)	(3,471)	(2,135)	(2,235)	(2,656)	(2,232)	(1,461)	(5,519)	535	(33,545)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET TO OTHER FINANCING SOURCES (USES)	(4)	(15)	(1)	(67)	(22)	(5)	(1)	(6)	(9)	(10)	(6)	(19)	0	(102)
Excess/(Deficiency) of Receipts over Disbursements	3,309	528	1,573	(984)	584	(2,038)	2,329	(565)	2,129	2,396	(341)	(6,465)	0	2,455
<b>CLOSING BALANCE</b>	12,664	13,192	14,765	13,781	14,365	12,327	14,656	14,091	16,220	18,616	18,275	11,810	0	11,810

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	2,661	2,700	4,105	4,011	4,182	4,669	2,282	3,445	3,985	3,735	3,394	2,760		2,661
<b>RECEIPTS:</b>														
Personal Income Tax	3	0	431	0	0	190	0	26	125	2,554	0	6	0	3,335
Consumption/Use Taxes	204	147	195	184	153	206	165	147	181	169	129	147	0	2,027
Business Taxes	60	44	242	71	81	202	75	75	231	82	53	385	0	1,597
Other Taxes	133	87	96	96	85	100	87	95	111	147	146	124	0	1,307
Total Taxes	400	278	964	351	319	698	323	343	648	2,952	328	662	0	8,266
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	34	45	41	45	35	34	28	30	43	30	30	36	0	431
Other Transactions	(610)	602	333	218	261	340	215	141	501	158	258	676	0	3,053
Total Miscellaneous Receipts	453	1,603	1,405	1,429	1,275	1,735	1,395	1,184	1,557	1,441	1,635	2,005	0	17,117
Federal Receipts	1,627	4,579	4,432	3,673	4,238	4,052	3,293	4,395	5,197	4,087	3,695	5,837	0	49,105
<b>TOTAL RECEIPTS</b>	2,480	6,460	6,801	5,453	5,832	6,485	5,011	5,922	7,402	8,480	5,658	8,504	0	74,488
<b>DISBURSEMENTS:</b>														
School Aid	165	234	455	126	74	2,294	94	687	399	233	318	289	0	5,368
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	159	211	85	101	71	111	109	172	55	40	228	127	0	1,469
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	1,970	2,874	3,633	3,434	2,810	3,433	2,492	3,099	3,237	4,250	2,898	4,359	0	38,489
Public Health	82	145	204	281	463	175	94	193	221	223	111	559	0	2,796
Mental Hygiene	127	145	203	152	80	333	32	3	291	84	24	293	0	1,627
Children and Families	19	118	36	88	162	150	51	26	84	10	139	16	0	899
Temporary & Disability Assistance	202	192	405	169	614	373	165	193	468	194	277	449	0	3,701
Transportation	127	472	455	315	435	403	352	564	854	187	236	257	0	4,657
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	191	151	79	145	4	310	154	173	317	125	333	163	0	2,145
Total Local Assistance Grants	3,042	4,448	5,986	4,811	4,713	7,772	3,543	5,135	6,051	7,900	4,564	6,637	0	64,502
Personal Service	684	580	568	742	561	571	665	577	779	569	598	692	0	7,586
Non-Personal Service	280	326	468	301	445	558	186	379	462	404	552	633	0	4,994
Total Departmental Operations	964	906	1,036	1,043	1,006	1,129	851	956	1,261	973	1,130	1,325	0	12,580
General State Charges	51	239	195	46	460	101	12	69	500	40	503	126	0	2,342
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
<b>TOTAL DISBURSEMENTS</b>	4,057	5,593	7,217	5,900	6,179	9,002	4,406	6,160	7,812	8,913	6,197	7,990	0	79,426
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	1,795	859	463	894	1,056	452	740	852	498	323	220	1,053	(535)	8,670
Transfers to Other Funds	(179)	(321)	(441)	(276)	(222)	(322)	(182)	(74)	(338)	(231)	(315)	(720)	535	(2,786)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	1,616	538	322	618	834	130	558	778	160	92	(95)	333	0	5,884
Excess/(Deficiency) of Receipts over Disbursements	39	1,405	(94)	171	487	(2,387)	1,163	540	(250)	(341)	(634)	847	0	946
<b>CLOSING BALANCE</b>	2,700	4,105	4,011	4,182	4,669	2,282	3,445	3,985	3,735	3,394	2,760	3,607	0	3,607



**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2016  
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	2,472	3,548	4,076	3,872	4,302	4,626	2,806	3,559	3,794	3,009	3,169	3,046		2,472
<b>RECEIPTS:</b>														
Personal Income Tax	3	0	431	0	0	190	0	26	125	2,554	0	6	0	3,335
Consumption/Use Taxes	204	147	195	184	153	206	165	147	175	169	129	148	0	2,028
Business Taxes	60	44	242	71	81	202	71	53	231	82	53	385	0	1,597
Other Taxes	133	87	96	96	85	100	87	95	111	147	146	123	0	1,306
Total Taxes	400	278	964	351	319	698	323	343	648	2,952	328	662	0	8,266
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	72	73	66	72	72	63	69	0	833
Motor Vehicle Fees	34	45	41	45	35	34	28	30	43	30	30	36	0	431
Other Transactions	(624)	551	333	206	241	492	205	125	482	135	242	668	0	2,902
Total Miscellaneous Receipts	439	1,552	1,405	1,417	1,255	1,723	1,385	1,168	1,548	1,488	1,619	1,997	0	16,926
Federal Receipts	0	0	0	0	0	0	0	1	0	0	0	(1)	0	0
<b>TOTAL RECEIPTS</b>	839	1,830	2,369	1,768	1,574	2,421	1,708	1,512	2,196	4,370	1,947	2,658	0	25,192
<b>DISBURSEMENTS:</b>														
School Aid	0	0	308	0	0	2,148	0	142	142	142	143	144	0	3,169
Higher Education	0	0	0	0	1	2	1	0	0	0	0	16	0	16
All Other Education	0	0	0	0	0	0	0	1	2	1	0	0	0	10
STAR	410	311	432	565	377	502	513	25	125	2,554	0	0	0	3,335
Medicaid - DOH	64	39	194	131	157	179	179	157	179	179	226	672	0	5,317
Medicaid - Public Health	62	31	194	131	157	179	179	157	179	179	226	672	0	5,317
Medicaid - Private	0	0	0	0	0	36	21	(15)	279	69	15	287	0	1,471
Child Care & Families	0	0	0	0	0	0	0	1	0	0	1	0	0	2
Temporary & Disability Assistance	125	470	452	313	433	401	352	562	852	186	235	253	0	4,634
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	24	32	18	29	24	87	4	43	25	60	74	31	0	451
All Other	645	886	1,914	1,197	1,050	3,704	913	1,244	1,926	3,592	719	1,539	0	19,339
Total Local Assistance Grants	633	534	521	678	517	528	618	531	744	524	548	624	0	6,970
Personal Service	223	249	357	229	348	334	446	305	370	309	335	416	0	3,621
Non-Personal Service	856	783	878	907	865	862	764	836	1,084	833	883	1,040	0	10,591
Total Departmental Operations	38	195	188	40	413	86	11	49	452	34	461	88	0	2,055
General State Charges	0	0	0	0	0	0	0	0	0	0	0	2	0	2
Capital Projects	1,539	1,864	2,980	2,144	2,338	4,652	1,688	2,129	3,462	4,459	2,063	2,669	0	31,987
<b>TOTAL DISBURSEMENTS</b>	1,795	859	463	894	1,056	452	740	852	498	323	220	1,014	(535)	8,631
Transfers from Other Funds	(19)	(297)	(56)	(88)	32	(41)	(7)	0	(17)	(74)	(227)	(502)	535	0
Transfers to Other Funds	1,776	562	407	806	1,088	411	733	852	481	249	(7)	512	0	7,870
<b>NET OTHER FINANCING SOURCES/(USES)</b>	1,076	528	(204)	430	324	(1,820)	753	235	(785)	160	(123)	501	0	1,075
Excess/(Deficiency) of Receipts over Disbursements	3,548	4,076	3,872	4,302	4,626	2,806	3,559	3,794	3,009	3,169	3,046	3,547	0	3,547
<b>CLOSING BALANCE</b>														

**CASHFLOW  
SPECIAL REVENUE FEDERAL FUNDS  
FY 2016  
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	189	(848)	29	139	(120)	43	(524)	(114)	191	726	225	(286)	189
<b>RECEIPTS:</b>													
Miscellaneous Receipts	14	51	0	12	20	12	10	16	9	23	16	8	191
Federal Receipts	1,627	4,579	4,432	3,673	4,238	4,052	3,293	4,394	5,197	4,087	3,695	5,838	49,105
<b>TOTAL RECEIPTS</b>	1,641	4,630	4,432	3,685	4,258	4,064	3,303	4,410	5,206	4,110	3,711	5,846	49,296
<b>DISBURSEMENTS:</b>													
School Aid	165	234	147	126	74	146	94	545	257	91	175	145	2,199
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	159	210	85	101	70	109	108	171	53	39	228	127	1,460
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,560	2,563	3,201	2,869	2,433	2,931	1,979	2,680	2,811	3,786	2,672	3,688	33,173
Public Health	103	106	125	122	306	117	75	137	146	107	86	433	1,863
Mental Hygiene	20	19	9	21	12	17	8	8	12	15	9	6	156
Children and Families	19	17	36	88	162	150	51	25	84	10	138	17	897
Temporary & Disability Assistance	202	192	405	169	614	373	165	193	468	194	277	449	3,701
Transportation	2	2	3	2	2	2	0	2	2	1	0	4	23
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	167	119	61	116	(20)	223	150	130	292	65	259	129	1,691
<b>Total Local Assistance Grants</b>	2,397	3,562	4,072	3,614	3,653	4,068	2,630	3,891	4,125	4,308	3,845	4,998	45,163
Personal Service	51	46	47	64	44	43	47	46	65	45	50	68	616
Non-Personal Service	57	77	111	72	97	224	40	74	112	95	197	217	1,373
<b>Total Departmental Operations</b>	108	123	158	136	141	267	87	120	177	140	247	285	1,989
General State Charges	13	44	7	6	47	15	1	20	48	6	42	38	287
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	2,518	3,729	4,237	3,756	3,841	4,350	2,718	4,031	4,350	4,454	4,134	5,321	47,439
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	39
Transfers to Other Funds	(160)	(24)	(85)	(188)	(254)	(281)	(175)	(74)	(321)	(157)	(88)	(218)	(2,025)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(160)	(24)	(85)	(188)	(254)	(281)	(175)	(74)	(321)	(157)	(88)	(179)	(1,986)
Excess/(Deficiency) of Receipts over Disbursements	(1,037)	877	110	(259)	163	(567)	410	305	535	(501)	(511)	346	(129)
<b>CLOSING BALANCE</b>	(848)	29	139	(120)	43	(524)	(114)	191	726	225	(286)	60	60

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	118	415	452	216	688	930	333	615	711	593	2,289	2,171	118
<b>RECEIPTS:</b>													
Personal Income Tax	1,680	580	1,201	680	636	1,281	613	585	1,249	1,805	749	705	11,764
Consumption/Use Taxes	480	465	640	497	484	641	437	482	594	508	414	601	6,243
Other Taxes	86	97	82	82	87	101	95	69	84	101	79	80	1,043
Total Taxes	2,246	1,142	1,923	1,259	1,207	2,023	1,145	1,136	1,927	2,414	1,242	1,386	19,050
Miscellaneous Receipts	(8)	95	38	46	63	37	23	28	35	63	30	37	487
Federal Receipts	0	0	0	2	35	0	0	0	0	0	37	(1)	73
<b>TOTAL RECEIPTS</b>	<b>2,238</b>	<b>1,237</b>	<b>1,961</b>	<b>1,307</b>	<b>1,305</b>	<b>2,060</b>	<b>1,168</b>	<b>1,164</b>	<b>1,962</b>	<b>2,477</b>	<b>1,309</b>	<b>1,422</b>	<b>19,610</b>
<b>DISBURSEMENTS:</b>													
Departmental Operations	0	1	1	10	3	4	0	1	1	1	2	13	37
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	5,598
<b>TOTAL DISBURSEMENTS</b>	<b>166</b>	<b>256</b>	<b>165</b>	<b>94</b>	<b>277</b>	<b>737</b>	<b>18</b>	<b>103</b>	<b>317</b>	<b>35</b>	<b>561</b>	<b>2,906</b>	<b>5,635</b>
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	527	77	148	429	78	389	313	90	205	507	62	1182	4,007
Transfers to Other Funds	(2,302)	(1,021)	(2,180)	(1,170)	(864)	(2,309)	(1,181)	(1,055)	(1,968)	(1,253)	(928)	(1,709)	(17,940)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(1,775)</b>	<b>(944)</b>	<b>(2,032)</b>	<b>(741)</b>	<b>(786)</b>	<b>(1,920)</b>	<b>(868)</b>	<b>(965)</b>	<b>(1,763)</b>	<b>(746)</b>	<b>(866)</b>	<b>(527)</b>	<b>(13,933)</b>
Excess/(Deficiency) of Receipts over Disbursements	297	37	(236)	472	242	(597)	282	96	(118)	1,696	(118)	(2,011)	42
<b>CLOSING BALANCE</b>	<b>415</b>	<b>452</b>	<b>216</b>	<b>688</b>	<b>930</b>	<b>333</b>	<b>615</b>	<b>711</b>	<b>593</b>	<b>2,289</b>	<b>2,171</b>	<b>160</b>	<b>160</b>

**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(724)	(795)	(956)	(526)	(714)	(723)	(1,005)	(792)	(917)	(849)	(846)	(997)	(724)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	49	43	64	47	48	70	49	51	68	46	42	59	636
Business Taxes	51	50	54	54	58	55	54	51	53	56	47	56	639
Other Taxes	0	0	11	12	12	12	12	12	12	12	12	12	119
Total Taxes	100	93	129	113	118	137	115	114	133	114	101	127	1,394
Miscellaneous Receipts	84	132	955	139	98	292	384	110	771	72	64	721	3,822
Federal Receipts	101	70	196	204	197	319	40	368	214	106	155	176	2,146
TOTAL RECEIPTS	285	295	1,280	456	413	748	539	592	1,118	292	320	1,024	7,362
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	13	0	1	14
All Other Education	0	0	0	0	0	13	0	0	0	0	0	1	14
Public Health	0	0	0	0	0	0	0	39	0	0	0	7	46
Mental Hygiene	5	5	5	8	9	15	2	9	9	5	5	27	104
School Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporary & Disability Assistance	0	0	10	0	0	0	0	0	0	0	0	0	0
Transportation	30	31	73	31	14	199	16	53	267	16	38	145	913
All Other Local	32	67	73	154	159	47	39	262	68	16	65	379	1,361
Total Local Assistance Grants	67	103	161	193	182	284	57	373	344	60	108	566	2,498
Economic Development	2	2	3	7	5	5	2	3	10	4	4	10	57
Parks & the Environment	19	20	24	34	35	39	8	29	37	35	38	54	372
Transportation	21	292	273	354	292	473	152	383	403	214	289	269	3,605
Health & Social Welfare	2	(5)	2	10	6	11	0	5	20	4	7	21	83
Mental Hygiene	10	10	19	10	11	19	3	15	10	10	11	13	139
Public Protection	15	31	38	23	27	39	5	40	26	9	26	45	324
Education	22	61	70	93	94	193	16	48	92	79	67	137	972
All Other	8	15	22	40	66	78	107	121	97	124	90	163	931
Total Capital Projects	289	426	451	569	536	857	293	644	695	479	532	712	6,483
TOTAL DISBURSEMENTS	356	529	612	762	718	1,141	350	1,017	1,039	539	640	1,278	8,981
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	77	148	(162)	208	326	359	28	336	24	289	189	1,073	2,895
Transfers to Other Funds	(77)	(75)	(76)	(90)	(30)	(248)	(4)	(36)	(35)	(39)	(20)	(713)	(1,443)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	73	(238)	118	296	111	(24)	300	(11)	250	169	360	1,452
Excess/(Deficiency) of Receipts over Disbursements	(71)	(161)	430	(188)	(9)	(282)	213	(125)	68	3	(151)	106	(167)
<b>CLOSING BALANCE</b>	(795)	(956)	(526)	(714)	(723)	(1,005)	(792)	(917)	(849)	(846)	(997)	(891)	(891)

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(342)	(409)	(524)	(129)	(320)	(368)	(686)	(444)	(500)	(487)	(488)	(706)	(342)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	49	43	64	47	48	70	49	51	68	46	42	59	636
Business Taxes	51	50	54	54	58	55	54	51	53	56	47	56	639
Other Taxes	0	0	11	12	12	12	12	12	12	12	12	12	119
Total Taxes	100	93	129	113	118	137	115	114	133	114	101	127	1,394
Miscellaneous Receipts	84	132	955	139	98	292	384	110	771	72	64	720	3,821
Federal Receipts	0	0	0	0	0	3	0	0	0	0	0	2	5
<b>TOTAL RECEIPTS</b>	184	225	1,084	252	216	432	499	224	904	186	165	849	5,220
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	13	0	1	14
All Other Education	0	0	0	0	0	13	0	0	0	0	0	1	14
Public Health	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	5	5	5	8	9	15	2	9	9	5	5	27	104
School Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporary & Disability Assistance	0	0	10	0	0	10	0	10	0	10	0	6	46
Transportation	4	3	33	7	2	115	2	7	243	2	4	84	506
All Other Local	32	67	73	154	159	47	39	114	68	16	65	368	1,202
Total Local Assistance Grants	41	75	121	169	170	200	43	140	320	46	74	487	1,886
Economic Development	2	2	3	7	5	5	2	3	10	4	4	10	57
Parks & the Environment	17	20	23	33	34	38	8	29	36	34	38	54	364
Transportation	139	206	158	185	149	282	96	187	274	130	238	187	2,231
Health & Social Welfare	2	(5)	0	10	6	11	0	5	20	4	7	21	83
Mental Hygiene	10	10	19	8	11	19	3	15	10	10	11	13	139
Public Protection	14	30	36	22	26	37	5	38	23	8	23	41	303
Education	22	61	70	93	61	193	16	48	92	79	67	137	972
All Other	6	14	19	35	65	76	105	119	95	123	90	161	908
Total Capital Projects	212	338	330	393	390	661	235	444	560	392	478	624	5,057
<b>TOTAL DISBURSEMENTS</b>	253	413	451	562	560	861	278	584	880	438	552	1,111	6,943
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	77	148	(162)	208	326	359	28	336	24	289	189	1,350	3,172
Transfers to Other Funds	(75)	(75)	(76)	(89)	(30)	(248)	(4)	(35)	(35)	(38)	(20)	(714)	(1,439)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES(USES)</b>	2	73	(238)	119	296	111	24	301	(11)	251	169	636	1,733
Excess/(Deficiency) of Receipts over Disbursements	(67)	(115)	395	(191)	(48)	(318)	245	(59)	13	(1)	(218)	374	10
<b>CLOSING BALANCE</b>	(409)	(524)	(129)	(320)	(368)	(686)	(444)	(500)	(487)	(488)	(706)	(332)	(332)

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(382)	(386)	(432)	(397)	(394)	(355)	(319)	(351)	(417)	(362)	(358)	(291)	(382)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
Federal Receipts	101	70	196	204	197	316	40	368	214	106	155	174	2,141
<b>TOTAL RECEIPTS</b>	101	70	196	204	197	316	40	368	214	106	155	175	2,142
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	0	0	0	0	39	0	0	0	7	46
Transportation	26	28	40	24	12	84	14	46	24	14	34	61	407
All Other Local	0	0	0	0	0	0	0	148	0	0	0	10	158
Total Local Assistance Grants	26	28	40	24	12	84	14	233	24	14	34	78	611
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	2	0	1	1	0	1	0	0	1	1	0	0	8
Transportation	72	86	115	169	143	191	56	196	129	84	51	82	1,374
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	1	2	1	1	2	0	2	3	1	3	4	21
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	1	3	5	1	2	2	2	2	1	0	2	23
Total Capital Projects	77	88	121	176	146	196	58	200	135	87	54	88	1,426
<b>TOTAL DISBURSEMENTS</b>	103	116	161	200	158	280	72	433	159	101	88	166	2,037
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	(277)	(277)
Transfers to Other Funds	(2)	0	0	(1)	0	0	0	(1)	0	(1)	0	1	(4)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(2)	0	0	(1)	0	0	0	(1)	0	(1)	0	(276)	(281)
Excess/(Deficiency) of Receipts over Disbursements	(4)	(46)	35	3	39	36	(32)	(66)	55	4	67	(267)	(176)
<b>CLOSING BALANCE</b>	(386)	(432)	(397)	(394)	(355)	(319)	(351)	(417)	(362)	(358)	(358)	(291)	(558)

**CASHFLOW  
STATE FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	9,548	13,898	13,595	15,023	14,295	14,677	13,170	15,121	14,317	15,856	18,749	18,852	0	9,548
<b>RECEIPTS:</b>														
Personal Income Tax	6,719	3,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,275	1,035	1,455	0	15,726
Business Taxes	314	61	1,402	258	344	1,351	206	138	1,368	16	110	235	0	7,883
Other Taxes	369	335	308	359	349	351	338	280	282	368	332	297	0	4,008
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	0	74,673
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
Acquisition License Fee	317	378	443	439	384	376	384	404	351	354	413	3	0	4,776
Investment Income	70	0	1	1	1	1	0	0	1	0	3	473	0	473
Licenses, Fees, etc.	40	45	0	46	75	48	30	28	30	47	33	83	0	13
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	70	45	69	60	32	54	49	38	65	24	53	66	0	625
Reimbursements	6	7	3	9	26	29	4	8	26	4	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Other Transactions	(459)	3,165	1,367	414	417	912	1,024	777	1,370	285	348	1,770	0	11,990
Total Miscellaneous Receipts	693	4,224	2,586	1,702	1,536	2,434	2,289	1,989	2,610	1,658	1,836	3,519	0	27,076
Federal Receipts	0	0	0	2	35	3	0	1	0	0	37	0	0	78
<b>TOTAL RECEIPTS</b>	<b>9,335</b>	<b>8,108</b>	<b>10,691</b>	<b>6,370</b>	<b>6,026</b>	<b>10,879</b>	<b>6,423</b>	<b>5,963</b>	<b>10,744</b>	<b>10,538</b>	<b>6,347</b>	<b>10,403</b>	<b>0</b>	<b>101,827</b>
<b>DISBURSEMENTS:</b>														
School Aid	535	2,703	2,283	67	562	4,752	111	1,805	1,762	571	631	7,520	0	23,302
Higher Education	18	20	646	248	123	186	345	53	66	164	333	767	0	2,869
All Other Education	18	99	508	85	109	396	35	32	189	23	221	384	0	2,099
STAR	0	1	431	0	0	190	0	25	125	2,954	0	9	0	3,335
Medicaid - DOH	1,641	1,917	927	1,454	1,650	1,625	1,338	1,405	1,742	1,367	1,349	1,038	0	17,453
Public Health	35	226	135	228	183	106	60	99	148	158	71	198	0	1,648
Mental Hygiene	0	0	47	30	39	17	21	20	29	20	18	218	0	473
Children and Families	30	46	47	303	30	323	81	73	273	20	188	218	0	1,738
Transportation & Disability Assistance	93	48	168	97	30	93	93	99	93	102	101	105	0	1,259
Transportation	129	497	485	320	470	516	354	591	1,110	189	253	337	0	5,251
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	58	137	280	214	87	179	79	185	46	107	228	369	0	1,969
Total Local Assistance Grants	2,637	5,788	6,826	3,159	3,388	9,143	2,523	4,372	6,338	5,394	3,444	11,528	0	64,540
Personal Service	187	977	578	1,316	988	1,017	161	972	1,343	971	967	1,104	0	12,981
Non-Personal Service	291	375	499	412	517	549	227	491	509	470	955	707	0	5,602
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,522	1,811	0	18,583
General State Charges	650	699	552	1,856	363	559	484	455	455	526	323	530	0	7,452
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	212	338	330	393	390	661	235	444	560	392	478	626	0	5,059
<b>TOTAL DISBURSEMENTS</b>	<b>5,143</b>	<b>8,432</b>	<b>9,349</b>	<b>7,220</b>	<b>5,920</b>	<b>12,662</b>	<b>4,648</b>	<b>6,836</b>	<b>9,521</b>	<b>7,787</b>	<b>6,326</b>	<b>17,388</b>	<b>0</b>	<b>101,232</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,629	2,267	2,552	2,633	2,148	3,466	2,136	2,229	2,651	2,207	1,485	5,743	(535)	33,681
Transfers to other funds	(4,471)	(2,246)	(2,466)	(2,511)	(1,872)	(3,190)	(1,960)	(2,160)	(2,335)	(2,165)	(1,373)	(5,302)	535	(31,516)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	158	21	86	122	276	276	176	69	316	142	82	441	0	2,165
Excess/(Deficiency) of Receipts over Disbursements	4,350	(303)	1,428	(728)	382	(1,507)	(1,951)	(804)	(1,539)	2,893	103	(6,544)	0	2,760
<b>CLOSING BALANCE</b>	<b>13,898</b>	<b>13,595</b>	<b>15,023</b>	<b>14,295</b>	<b>14,677</b>	<b>13,170</b>	<b>15,121</b>	<b>14,317</b>	<b>15,856</b>	<b>18,749</b>	<b>18,852</b>	<b>12,308</b>	<b>0</b>	<b>12,308</b>

**CASHFLOW  
GENERAL FUND  
FY 2017**  
(dollars in millions)

	2016			2017							Total		
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	
<b>RECEIPTS:</b>													
Personal Income Tax	4,764	1,778	3,455	1,960	2,286	3,782	1,684	1,947	3,726	3,217	2,605	2,666	33,870
Consumption/Use Taxes	541	528	670	571	544	684	554	555	715	584	468	673	7,087
Business Taxes	158	67	864	96	72	972	88	106	1,159	167	99	1,902	5,750
Other Taxes	74	88	89	88	89	89	89	88	88	88	88	87	1,045
Total Taxes	5,537	2,461	5,078	2,715	2,991	5,527	2,415	2,991	5,688	4,056	3,260	5,328	47,752
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	525
ABC License Fee	5	5	6	6	5	6	6	5	5	5	5	4	63
Investment Income	2	1	1	1	1	1	1	1	1	1	1	1	10
Licenses, Fees, etc.	22	45	55	45	55	65	40	50	65	45	60	62	609
Motor Vehicle Fees	17	16	15	16	20	18	15	14	12	13	13	14	183
Reimbursements	8	2	55	10	8	55	3	20	50	14	30	43	298
Other Transactions	32	388	58	31	32	212	33	56	78	56	32	117	1,125
Total Miscellaneous Receipts	86	457	190	109	121	377	123	266	236	174	161	513	2,813
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,587	575	1,291	482	293	1,535	563	373	1,284	506	644	1,766	10,899
Tax in Excess of LGAC	243	71	476	254	210	313	251	251	332	264	3	200	2,868
Sales Tax Bond Fund	193	188	254	201	195	282	192	192	273	205	156	316	2,647
Real Estate Taxes in Excess of CW/CA Debt Service	84	74	69	73	83	89	89	78	81	93	79	59	951
All Other	5	35	1	1	1	54	6	1	1	36	99	806	1,046
Total Transfers from Other Funds	2,112	943	2,091	1,011	782	2,273	1,101	895	1,971	1,104	981	3,147	18,411
<b>TOTAL RECEIPTS</b>	<b>7,735</b>	<b>3,861</b>	<b>7,359</b>	<b>3,835</b>	<b>3,894</b>	<b>8,177</b>	<b>3,639</b>	<b>3,857</b>	<b>7,895</b>	<b>5,334</b>	<b>4,402</b>	<b>8,988</b>	<b>68,976</b>
<b>DISBURSEMENTS:</b>													
School Aid	754	3,140	1,616	127	998	1,208	924	1,702	1,881	814	482	7,455	21,101
Higher Education	19	6	654	249	134	192	350	46	210	40	362	769	3,031
All Other Education	52	182	541	235	39	294	119	30	187	45	105	488	2,317
Medicaid - DOH	1,008	1,088	1,067	774	1,075	577	1,074	1,284	721	959	986	2,070	12,683
Public Health	20	221	59	47	37	38	52	36	70	38	56	63	744
Mental Hygiene	3	1	241	2	2	234	2	1	264	12	53	181	996
Children and Families	27	97	231	97	97	231	97	97	231	97	129	237	1,668
Temporary & Disability Assistance	95	99	157	100	99	99	99	99	99	99	99	108	1,252
Transportation	0	24	10	0	24	0	0	24	11	0	13	0	106
Unrestricted Aid	0	11	389	0	0	98	7	0	186	0	0	63	754
All Other	10	(51)	222	41	46	39	(18)	196	185	201	199	235	1,305
Total Local Assistance Grants	1,988	4,818	5,187	1,672	2,551	3,017	2,706	3,515	4,045	2,305	2,484	11,669	45,957
Personal Service	475	465	597	454	465	541	458	595	470	456	454	624	6,054
Non-Personal Service	103	138	147	157	170	201	176	183	185	190	192	403	2,245
Total Departmental Operations	578	603	744	611	635	742	634	778	655	646	646	1,027	8,299
General State Charges	2,440	173	291	385	269	194	455	298	76	456	151	237	5,425
Debt Service	245	0	(3)	153	(3)	(71)	103	0	(2)	330	(20)	(26)	706
Capital Projects	162	293	(1)	602	487	498	179	431	(198)	405	1,013	590	4,461
State Share Medicaid	95	139	101	108	118	115	117	154	118	155	116	101	1,437
SUNY Operations	213	213	213	179	0	0	0	179	0	0	0	(1)	996
Other Purposes	84	1,026	101	475	486	54	634	521	86	25	50	1,018	4,560
Total Transfers to Other Funds	799	1,671	411	1,517	1,088	596	1,033	1,285	4	915	1,159	1,682	12,160
<b>TOTAL DISBURSEMENTS</b>	<b>5,805</b>	<b>7,265</b>	<b>6,633</b>	<b>4,185</b>	<b>4,543</b>	<b>4,549</b>	<b>4,828</b>	<b>5,876</b>	<b>4,780</b>	<b>4,322</b>	<b>4,440</b>	<b>14,615</b>	<b>71,841</b>
Excess/(Deficiency) of Receipts over Disbursements	1,930	(3,404)	726	(350)	(649)	3,628	(1,189)	(2,019)	3,115	1,012	(38)	(5,627)	(2,865)
<b>CLOSING BALANCE</b>	<b>10,864</b>	<b>7,460</b>	<b>8,186</b>	<b>7,836</b>	<b>7,187</b>	<b>10,815</b>	<b>9,626</b>	<b>7,607</b>	<b>10,722</b>	<b>11,734</b>	<b>11,696</b>	<b>6,069</b>	<b>6,069</b>



**CASHFLOW  
STATE OPERATING FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	12,641	15,319	12,947	13,331	14,056	14,015	14,600	14,119	12,321	14,293	17,576	17,505		12,641
<b>RECEIPTS:</b>														
Personal Income Tax	6,354	2,371	5,167	2,613	3,048	5,187	2,252	2,629	5,136	7,665	3,473	3,569	0	49,464
Consumption/Use Taxes	1,220	1,155	1,478	1,262	1,192	1,518	1,230	1,204	1,570	1,200	1,025	1,445	0	15,579
Business Taxes	229	120	1,076	158	130	1,210	146	166	1,440	243	159	2,295	0	7,372
Other Taxes	267	265	272	259	279	287	273	273	286	344	322	284	0	3,417
Total Taxes	8,070	3,911	7,993	4,292	4,649	8,202	3,901	4,278	8,432	9,532	4,979	7,593	0	75,832
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	0	525
ABC License Fee	5	5	6	6	5	6	6	5	5	5	5	4	0	63
HCRA	367	383	385	436	383	387	387	383	389	391	385	384	0	4,660
Investment Income	2	1	1	1	1	1	1	1	1	1	1	(2)	0	10
Licenses, Fees, etc.	22	45	55	45	55	65	40	50	65	45	60	62	0	609
Lottery	267	259	316	244	303	248	247	305	247	302	250	365	0	3,353
Medicaid	77	69	64	69	65	67	72	65	71	71	62	60	0	812
Motor Vehicle Fees	50	60	50	59	55	47	42	43	48	42	38	39	0	573
Reimbursements	8	2	55	10	8	55	43	20	50	14	30	43	0	298
State University Income	287	256	227	286	349	634	357	242	251	453	596	354	0	4,292
Other Transactions	338	666	345	384	305	520	311	170	259	212	175	(147)	0	3,538
Total Miscellaneous Receipts	1,423	1,745	1,504	1,504	1,529	2,050	1,491	1,404	1,411	1,576	1,622	1,437	0	18,733
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	35	0	74
<b>TOTAL RECEIPTS</b>	9,493	5,657	9,497	5,832	6,180	10,287	5,392	5,682	9,843	11,008	6,603	9,065	0	94,639
<b>DISBURSEMENTS:</b>														
School Aid	754	3,140	1,922	127	998	3,358	1,068	1,846	2,025	958	626	7,600	0	24,422
Higher Education	19	6	654	249	134	192	350	46	210	40	362	769	0	3,031
All Other Education	53	183	426	236	40	295	120	32	188	46	106	489	0	3,230
STAR	0	0	0	0	0	196	9	35	151	2,408	0	0	0	3,228
Medicaid - DOH	1,345	1,666	1,425	1,322	1,517	1,029	1,569	1,758	1,877	1,434	1,457	2,475	0	18,184
Public Health	82	250	235	86	86	135	91	77	143	90	85	145	0	1,573
Mental Hygiene	90	50	421	143	50	431	131	59	524	90	113	468	0	2,570
Children and Families	27	97	231	97	97	231	97	97	231	97	129	241	0	1,672
Temporary & Disability Assistance	95	99	157	100	99	99	99	99	895	192	276	192	0	4,952
Transportation	192	548	407	355	500	417	346	632	895	192	276	192	0	4,952
Unrestricted Aid	0	11	389	0	0	76	8	0	186	0	0	63	0	794
All Other	33	3	248	80	104	76	8	88	67	86	84	104	0	981
Total Local Assistance Grants	2,890	6,053	7,051	2,795	3,625	6,557	3,895	4,769	5,906	5,540	3,357	12,671	0	64,889
Personal Service	1,026	1,003	1,289	986	990	1,142	1,002	1,322	1,014	987	988	1,092	0	12,841
Non-Personal Service	318	432	454	407	489	531	450	475	503	492	485	657	0	5,693
Total Departmental Operations	1,344	1,435	1,743	1,393	1,479	1,673	1,452	1,797	1,517	1,479	1,473	1,749	0	18,534
General State Charges	2,619	395	364	435	461	430	512	517	387	514	384	533	0	7,551
Debt Service	113	163	90	26	284	818	32	78	370	26	637	2,566	0	5,203
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	0	3
<b>TOTAL DISBURSEMENTS</b>	6,766	8,046	9,248	4,649	5,849	9,478	5,891	7,161	8,180	7,559	5,831	17,522	0	96,180
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,025	2,677	2,734	2,165	1,579	2,840	2,263	1,942	2,380	1,835	1,317	5,203	(434)	29,526
Transfers to other funds	(3,074)	(2,660)	(2,599)	(2,623)	(1,951)	(3,054)	(2,245)	(2,261)	(2,071)	(2,101)	(2,160)	(4,808)	434	(31,183)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(49)	17	135	(458)	(372)	(224)	18	(319)	309	(266)	(843)	395	0	(1,657)
Excess/(Deficiency) of Receipts over Disbursements	2,678	(2,372)	384	725	(41)	585	(48)	(1,798)	1,972	3,285	(71)	(6,062)	0	(3,198)
<b>CLOSING BALANCE</b>	15,319	12,947	13,331	14,056	14,015	14,600	14,119	12,321	14,293	17,576	17,505	9,443	0	9,443

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	11,810	14,180	11,814	13,114	13,200	12,605	14,154	13,010	10,519	13,900	16,637	16,351	0	11,810
<b>RECEIPTS:</b>														
Personal Income Tax	6,354	2,371	5,167	2,613	3,048	5,187	2,352	2,639	5,136	7,665	3,473	3,569	0	49,464
Consumption/Use Taxes	1,268	1,197	1,540	1,309	1,236	1,571	1,276	1,246	1,618	1,324	1,066	1,483	0	16,184
Business Taxes	275	169	132	210	184	1,266	196	213	1,496	335	2,09	2,349	0	7,994
Other Taxes	8,164	4,002	8,123	4,403	4,759	8,323	4,009	4,379	8,548	9,640	5,082	7,696	0	53,536
Total Taxes	0	0	0	0	0	20	25	120	25	40	20	275	0	77,128
Abandoned Property	5	5	6	6	5	6	6	5	5	5	5	4	0	525
ABC License Fee	367	383	385	436	383	387	387	383	389	391	385	384	0	4,660
HCR	2	1	1	1	1	1	1	1	1	1	1	(2)	0	10
Investment Income	22	45	55	45	55	65	40	50	65	45	60	62	0	609
Licenses, Fees, etc.	267	259	316	244	303	248	247	305	247	302	250	365	0	3,353
Lottery	77	69	64	69	65	67	72	65	71	71	62	60	0	812
Medicaid	50	60	50	59	55	47	42	43	48	42	38	39	0	573
Motor Vehicle Fees	8	2	55	10	8	55	3	20	50	14	30	43	0	298
Reimbursements	287	256	227	286	349	634	357	242	1,578	453	596	354	0	4,292
State University Income	428	865	1,357	500	442	820	687	278	1,578	329	272	816	0	8,372
Other Transactions	1,513	1,945	2,516	1,656	1,666	2,350	1,867	1,512	2,730	1,693	1,719	2,400	0	23,567
Total Miscellaneous Receipts	3,264	4,514	5,211	3,627	4,040	5,938	2,970	3,629	5,488	3,683	4,154	5,133	0	51,651
Federal Receipts	12,941	10,461	15,850	9,686	10,465	16,611	8,846	9,520	16,766	15,016	10,955	15,229	0	152,346
<b>TOTAL RECEIPTS</b>	927	3,397	2,179	384	1,255	3,614	1,325	2,112	2,282	1,214	883	7,878	0	27,450
School Aid	20	7	655	250	135	193	351	47	211	41	363	766	0	3,039
Higher Education	150	256	622	316	113	368	193	105	261	119	179	556	0	3,228
All Other Education	0	0	420	0	0	196	9	35	151	2,408	0	9	0	3,228
STAR	3,871	4,643	4,571	4,068	4,515	4,127	3,691	4,413	4,120	4,323	4,330	5,621	0	52,293
Medicaid - DOH	220	368	370	231	233	446	166	245	270	202	190	671	0	3,612
Public Health	119	70	434	165	70	454	152	78	543	112	134	517	0	2,848
Mental Hygiene	87	212	283	189	266	400	201	206	257	125	156	321	0	2,703
Children and Families	269	390	444	403	386	387	412	401	422	422	406	417	0	4,759
Temporary & Disability Assistance	221	611	535	415	559	607	426	720	1,157	282	839	334	0	6,706
Transportation	0	11	389	0	0	98	7	0	186	0	0	63	0	754
Unrestricted Aid	184	151	565	437	416	347	160	387	407	287	418	508	0	4,267
All Other	6,068	10,116	11,467	6,858	7,948	11,237	7,093	8,749	10,267	9,535	7,898	17,661	0	114,897
Total Local Assistance Grants	1,073	1,055	1,355	1,037	1,040	1,194	1,052	1,386	1,067	1,036	1,040	1,161	0	13,496
Personal Service	364	522	566	485	574	662	537	579	621	591	590	828	0	6,919
Non-Personal Service	1,437	1,577	1,921	1,522	1,614	1,856	1,589	1,965	1,688	1,627	1,630	1,985	0	20,415
Total Departmental Operations	2,630	435	375	451	495	454	530	549	426	529	424	572	0	7,870
General State Charges	113	163	90	26	284	818	32	78	370	26	637	2,566	0	5,203
Debt Service	315	531	691	740	721	703	744	667	640	558	649	761	0	7,720
Capital Projects	10,563	12,822	14,544	9,597	11,062	15,068	9,988	12,008	13,391	12,275	11,238	23,549	0	156,105
<b>TOTAL DISBURSEMENTS</b>	3,186	2,970	2,748	2,781	2,066	3,442	2,473	2,373	2,182	2,254	2,330	5,913	(434)	34,284
<b>OTHER FINANCING SOURCES (USES):</b>	(3,194)	(2,975)	(2,754)	(2,786)	(2,070)	(3,438)	(2,476)	(2,378)	(2,185)	(2,258)	(2,333)	(5,946)	434	(34,359)
Transfers from other funds	0	0	0	0	6	2	1	2	9	9	0	587	0	609
Transfers to other funds	(8)	(5)	(6)	(3)	(2)	(6)	(2)	(3)	(6)	(4)	(3)	(5)	0	534
Bond and note proceeds	2,370	(2,366)	1,300	86	(595)	1,549	(1,144)	(2,491)	3,381	2,737	(286)	(7,766)	0	(3,225)
NET OTHER FINANCING SOURCES/(USES)	14,180	11,814	13,114	13,200	12,605	14,154	13,010	10,519	13,900	16,637	16,351	8,585	0	8,585
Excess/(Deficiency) of Receipts over Disbursements														
<b>CLOSING BALANCE</b>														

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,607	3,836	4,728	5,120	5,287	5,114	3,905	3,842	3,213	3,492	3,363	3,368		3,607
<b>RECEIPTS:</b>														
Personal Income Tax	1	0	420	0	0	108	5	25	126	2,532	0	11	0	3,228
Consumption/Use Taxes	187	145	194	182	151	206	173	146	190	167	127	143	0	2,011
Business Taxes	71	53	212	62	58	238	90	60	281	76	60	393	0	1,622
Other Taxes	104	97	108	92	101	103	90	108	111	158	150	131	0	1,353
Total Taxes	363	295	934	336	310	655	326	339	708	2,933	337	678	0	8,214
HCRA	367	383	385	436	383	387	387	383	389	391	385	384	0	4,660
State University Income	287	256	227	286	349	634	242	242	251	453	596	354	0	4,292
Lottery	267	259	316	244	303	248	247	305	247	302	250	365	0	3,353
Medical	77	69	64	69	65	67	72	65	71	71	62	60	0	812
Motor Vehicle Fees	33	33	35	43	35	29	27	29	36	29	25	25	0	390
Other Transactions	274	266	277	319	245	301	267	106	176	109	125	(291)	0	2,174
Total Miscellaneous Receipts	1,305	1,277	1,304	1,397	1,380	1,666	1,357	1,130	1,170	1,355	1,443	897	0	15,681
Federal Receipts	3,138	4,369	5,021	3,467	3,878	5,710	2,777	3,432	5,297	3,546	4,073	4,708	0	49,416
<b>TOTAL RECEIPTS</b>	4,806	5,941	7,259	5,200	5,568	8,031	4,460	4,901	7,175	7,834	5,853	6,283	0	73,311
<b>DISBURSEMENTS:</b>														
School Aid	173	228	534	228	228	2,378	372	372	372	372	372	370	0	5,999
Higher Education	1	1	1	1	1	1	1	1	1	1	1	(3)	0	8
All Other Education	98	74	74	74	74	74	74	75	74	74	74	68	0	907
STAR	0	0	420	0	0	196	9	35	151	2,408	0	9	0	3,228
Medicaid - DOH	2,863	3,555	3,504	3,294	3,440	3,550	2,617	3,129	3,399	3,364	3,344	3,551	0	39,610
Public Health	200	147	311	184	196	401	114	174	200	164	134	458	0	2,683
Mental Hygiene	112	61	187	154	60	205	141	68	271	90	72	316	0	1,737
Children and Families	60	115	52	92	169	169	104	109	26	28	27	84	0	1,035
Temporary & Disability Assistance	174	291	287	287	287	288	297	302	307	307	307	310	0	3,444
Transportation	195	527	400	358	479	420	349	611	887	195	286	193	0	4,880
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	111	91	190	146	220	199	101	66	42	14	48	(22)	0	1,206
Total Local Assistance Grants	3,987	5,090	5,960	4,818	5,154	7,881	4,179	4,942	5,730	7,017	4,645	5,334	0	64,737
Personal Service	598	590	758	583	575	653	594	791	597	580	586	537	0	7,442
Non-Personal Service	261	380	418	328	383	458	361	393	435	401	395	422	0	4,635
Total Departmental Operations	859	970	1,176	911	958	1,111	955	1,184	1,032	981	981	959	0	12,077
General State Charges	190	262	84	66	226	260	75	251	350	73	273	335	0	2,445
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	0	3
<b>TOTAL DISBURSEMENTS</b>	5,036	6,322	7,220	5,795	6,338	9,252	5,209	6,377	7,112	8,071	5,899	6,631	0	79,262
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	557	1,564	499	908	689	274	926	940	306	287	240	1,097	(434)	7,853
Transfers to Other Funds	(98)	(291)	(146)	(146)	(92)	(262)	(240)	(69)	(90)	(179)	(189)	(1,025)	434	(2,417)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	459	1,273	353	762	597	12	686	847	216	108	51	72	0	5,436
Excess/(Deficiency) of Receipts over Disbursements	229	892	392	167	(173)	(1,209)	(63)	(629)	279	(129)	5	(276)	0	(515)
<b>CLOSING BALANCE</b>	3,836	4,728	5,120	5,287	5,114	3,905	3,842	3,213	3,492	3,363	3,368	3,092	0	3,092

**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,547	4,116	4,944	4,706	5,360	5,629	3,345	3,834	3,733	2,863	3,242	3,282		3,547
<b>RECEIPTS:</b>														
Personal Income Tax	1	0	420	0	0	108	5	25	126	2,532	0	11	0	3,228
Consumption/Use Taxes	187	145	194	182	151	206	173	146	190	167	127	143	0	2,011
Business Taxes	71	53	212	62	58	238	58	60	281	76	60	393	0	1,622
Other Taxes	104	97	108	92	101	103	90	108	111	158	150	131	0	1,353
Total Taxes	363	295	934	336	310	655	326	339	708	2,933	337	678	0	8,214
HCRA	367	383	385	436	383	387	387	383	389	391	385	384	0	4,660
State University Income	287	256	227	286	349	634	357	242	251	453	596	354	0	4,292
Lottery	267	259	316	244	303	248	247	305	247	302	250	365	0	3,353
Medicaid	77	69	64	69	65	67	72	65	71	71	62	60	0	812
Motor Vehicle Fees	33	44	35	43	35	29	27	29	36	29	25	25	0	390
Other Transactions	269	244	254	301	226	280	248	86	156	89	107	(302)	0	1,958
Total Miscellaneous Receipts	1,300	1,255	1,281	1,379	1,361	1,645	1,338	1,170	1,150	1,335	1,425	886	0	15,465
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
<b>TOTAL RECEIPTS</b>	1,663	1,550	2,215	1,715	1,671	2,300	1,664	1,449	1,858	4,268	1,762	1,565	0	23,680
<b>DISBURSEMENTS:</b>														
School Aid	0	0	306	0	0	2,150	144	144	144	144	144	145	0	3,321
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	1	1	1	1	1	1	2	1	1	1	1	0	13
STAR	337	578	358	548	442	452	495	35	151	2,408	0	9	0	3,228
Medicaid - DOH	62	29	176	39	49	90	39	41	474	466	475	405	0	5,501
Public Health	87	49	180	141	48	197	129	58	260	78	60	287	0	1,574
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Children and Families	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Tenants' & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	192	524	397	355	476	417	346	608	884	192	263	192	0	4,846
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	23	54	26	39	58	37	26	(108)	(118)	(115)	(115)	(131)	0	(324)
Total Local Assistance Grants	702	1,235	1,864	1,123	1,074	3,540	1,189	1,254	1,863	3,235	853	1,002	0	18,932
Personal Service	551	538	692	532	525	601	544	727	544	531	534	468	0	6,787
Non-Personal Service	215	290	306	250	298	327	274	289	317	302	249	251	0	3,409
Total Departmental Operations	766	828	998	782	823	928	818	1,016	861	833	824	719	0	10,196
General State Charges	179	222	73	50	192	236	57	219	311	58	233	296	0	2,126
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	0	3
<b>TOTAL DISBURSEMENTS</b>	1,647	2,285	2,935	1,955	2,089	4,704	2,064	2,489	3,033	4,126	1,910	2,020	0	31,257
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	557	1,564	499	908	689	274	926	940	306	287	240	1,097	0	7,853
Transfers to Other Funds	(4)	(1)	(17)	(14)	(2)	(154)	(37)	(1)	(1)	(50)	(52)	(799)	(434)	(698)
<b>NET OTHER FINANCING SOURCES (USES)</b>	553	1,563	482	894	687	120	889	939	305	237	188	298	0	7,155
Excess/(Deficiency) of Receipts over Disbursements	569	828	(238)	654	269	(2,284)	489	(101)	(870)	379	40	(157)	0	(422)
<b>CLOSING BALANCE</b>	4,116	4,944	4,706	5,360	5,629	3,345	3,834	3,733	2,863	3,242	3,282	3,125	0	3,125

**CASHFLOW  
SPECIAL REVENUE FEDERAL FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	60	(280)	(216)	414	(73)	(515)	560	8	(520)	629	121	86	60
<b>RECEIPTS:</b>													
Miscellaneous Receipts	5	22	23	18	19	21	19	20	20	20	18	11	216
Federal Receipts	3,138	4,369	5,021	3,467	3,878	5,710	2,777	3,452	5,297	3,546	4,073	4,707	49,415
<b>TOTAL RECEIPTS</b>	3,143	4,391	5,044	3,485	3,897	5,731	2,796	3,452	5,317	3,566	4,091	4,718	49,631
<b>DISBURSEMENTS:</b>													
School Aid	173	228	228	228	228	228	228	228	228	228	228	225	2,678
Higher Education	1	1	1	1	1	1	1	1	1	1	1	(3)	8
All Other Education	97	73	73	73	73	73	73	73	73	73	73	67	894
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,526	2,977	3,146	2,746	2,998	3,098	2,122	2,655	2,933	2,889	2,873	3,146	34,109
Public Health	138	118	135	145	147	311	75	133	127	112	105	368	1,914
Mental Hygiene	25	12	7	13	12	8	12	10	11	12	12	29	163
Children and Families	60	115	52	92	169	169	104	109	26	28	27	50	1,031
Temporary & Disability Assistance	174	291	287	287	287	288	297	302	307	307	307	310	3,444
Transportation	3	3	3	3	3	3	3	3	3	3	3	1	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	88	37	164	107	162	162	75	174	160	129	163	109	1,530
<b>Total Local Assistance Grants</b>	3,285	3,855	4,096	3,695	4,080	4,341	2,990	3,688	3,869	3,782	3,792	4,332	45,805
Personal Service	47	52	66	51	50	52	50	64	53	49	52	69	655
Non-Personal Service	46	90	112	78	85	131	87	104	118	99	105	171	1,226
<b>Total Departmental Operations</b>	93	142	178	129	135	183	137	168	171	148	157	240	1,881
General State Charges	11	40	11	16	34	24	18	32	39	15	40	39	319
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	3,389	4,037	4,285	3,840	4,249	4,548	3,145	3,888	4,079	3,945	3,989	4,611	48,005
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(94)	(290)	(129)	(132)	(90)	(108)	(203)	(92)	(89)	(129)	(137)	(226)	(1,719)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(94)	(290)	(129)	(132)	(90)	(108)	(203)	(92)	(89)	(129)	(137)	(226)	(1,719)
Excess/(Deficiency) of Receipts over Disbursements	(340)	64	630	(487)	(442)	1,075	(652)	(528)	1,149	(508)	(35)	(119)	(93)
<b>CLOSING BALANCE</b>	(280)	(216)	414	(73)	(515)	560	8	(520)	629	121	86	(33)	(33)

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	160	339	543	439	860	1,199	440	659	981	708	2,600	2,527	160
<b>RECEIPTS:</b>													
Personal Income Tax	1,589	593	1,292	653	762	1,297	563	657	1,284	1,916	868	892	12,366
Consumption/Use Taxes	492	482	614	509	497	628	503	503	665	529	430	629	6,481
Other Taxes	89	80	75	79	89	95	94	83	87	98	84	66	1,019
Total Taxes	2,170	1,155	1,981	1,241	1,348	2,020	1,160	1,243	2,036	2,543	1,382	1,587	19,866
Miscellaneous Receipts	37	34	33	52	47	28	30	28	25	67	36	38	455
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	34	73
TOTAL RECEIPTS	2,207	1,189	2,014	1,293	1,397	2,083	1,190	1,271	2,061	2,610	1,420	1,659	20,394
<b>DISBURSEMENTS:</b>													
Departmental Operations	0	4	1	0	21	3	0	3	1	0	3	3	39
Debt Service	113	163	90	26	284	818	32	78	370	26	637	2,566	5,203
TOTAL DISBURSEMENTS	113	167	91	26	305	821	32	81	371	26	640	2,569	5,242
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	356	170	144	246	108	293	236	107	103	444	96	959	3,262
Transfers to Other Funds	(2,271)	(988)	(2,171)	(1,092)	(861)	(2,314)	(1,175)	(975)	(2,066)	(1,136)	(949)	(2,327)	(18,325)
NET OTHER FINANCING SOURCES/(USES)	(1,915)	(818)	(2,027)	(846)	(753)	(2,021)	(939)	(868)	(1,963)	(692)	(853)	(1,368)	(15,063)
Excess/(Deficiency) of Receipts over Disbursements	179	204	(104)	421	339	(759)	219	322	(273)	1,892	(73)	(2,278)	89
<b>CLOSING BALANCE</b>	339	543	439	860	1,199	440	659	981	708	2,600	2,527	249	249

**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2017  
(dollars in millions)**

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(891)	(859)	(917)	(631)	(783)	(895)	(1,006)	(1,117)	(1,282)	(1,022)	(1,060)	(1,240)	(891)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	48	42	62	47	44	53	46	42	48	44	41	38	555
Business Taxes	46	49	56	52	54	56	50	47	56	52	50	54	622
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	94	91	130	111	110	121	108	101	116	108	103	103	1,296
Miscellaneous Receipts	85	177	989	98	118	279	357	88	1,299	97	79	952	4,618
Federal Receipts	126	145	190	160	160	193	193	197	191	137	79	391	2,162
<b>TOTAL RECEIPTS</b>	305	413	1,309	369	388	593	658	386	1,606	342	261	1,446	8,076
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	7	7	0	0	0	0	0	0	0	0	14
Public Health	0	0	0	0	0	0	0	35	0	0	0	150	185
Mental Hygiene	4	8	6	9	8	15	9	9	8	10	9	20	115
School Aid	0	29	29	29	29	28	29	38	29	28	29	53	350
Temporary & Disability Assistance	0	0	0	16	0	0	16	0	16	16	0	(1)	63
Transportation	26	60	125	57	56	187	77	85	259	87	560	141	1,720
All Other Local	63	111	153	250	150	109	77	125	180	72	171	295	1,756
Total Local Assistance Grants	93	208	320	368	243	339	208	292	492	213	769	658	4,203
Economic Development	3	23	31	41	28	23	24	23	33	23	24	56	332
Parks & the Environment	18	19	45	40	39	47	42	41	47	48	139	61	586
Transportation	175	246	343	339	337	361	412	358	322	246	212	171	3,522
Health & Social Welfare	3	7	5	11	7	9	9	12	12	11	7	31	124
Mental Hygiene	11	13	18	22	23	19	16	19	27	20	20	30	241
Public Protection	18	30	25	30	32	26	26	36	25	31	28	105	412
Education	22	73	86	114	117	80	80	70	67	67	78	86	940
All Other	65	120	138	143	138	135	135	107	107	112	141	218	1,560
Total Capital Projects	315	531	691	740	721	703	744	667	640	558	649	758	7,717
<b>TOTAL DISBURSEMENTS</b>	408	739	1,011	1,108	964	1,042	952	959	1,132	771	1,418	1,416	11,920
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	161	293	14	616	487	602	210	431	(98)	419	1,013	710	4,758
Transfers to Other Funds	(26)	(25)	(26)	(31)	(29)	(266)	(28)	(25)	(25)	(28)	(36)	(912)	(1,457)
Bond and Note Proceeds	0	0	0	2	6	2	1	2	9	0	0	587	609
<b>NET OTHER FINANCING SOURCES/(USES)</b>	135	268	(12)	587	464	338	183	408	(214)	391	977	385	3,910
Excess/(Deficiency) of Receipts over Disbursements	32	(58)	286	(152)	(112)	(111)	(111)	(165)	260	(38)	(180)	415	66
<b>CLOSING BALANCE</b>	(859)	(917)	(631)	(783)	(895)	(1,006)	(1,117)	(1,282)	(1,022)	(1,060)	(1,240)	(825)	(825)

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2017  
(dollars in millions)**

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(333)	(348)	(423)	(145)	(303)	(419)	(645)	(651)	(792)	(566)	(608)	(509)	(333)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	48	42	62	47	44	53	46	42	48	44	41	38	555
Business Taxes	46	49	56	52	54	56	50	47	56	52	50	54	622
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	94	91	130	111	110	121	108	101	116	108	103	103	1,296
Miscellaneous Receipts	85	177	989	98	118	279	357	88	1,299	97	79	952	4,618
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
<b>TOTAL RECEIPTS</b>	179	268	1,119	209	228	402	465	189	1,415	205	182	1,058	5,919
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	7	7	0	0	0	0	0	0	0	0	14
Public Health	0	0	0	0	0	0	0	0	0	0	0	115	115
Mental Hygiene	4	8	6	9	8	15	9	9	8	10	9	20	115
School Aid	0	29	29	29	29	28	29	38	29	28	29	53	350
Temporary & Disability Assistance	0	0	0	16	0	0	16	0	16	16	0	(1)	63
Transportation	4	31	62	31	32	145	33	33	200	33	543	92	1,239
All Other Local	63	98	140	237	137	83	64	112	167	59	158	283	1,601
Total Local Assistance Grants	71	166	244	329	206	271	151	192	420	146	739	562	3,497
Economic Development	3	23	31	41	28	23	24	23	33	23	24	56	332
Parks & the Environment	17	18	44	39	38	46	41	40	46	47	38	56	570
Transportation	121	163	240	233	221	256	289	240	240	186	169	145	2,503
Health & Social Welfare	3	7	5	11	7	9	9	12	12	11	7	22	115
Mental Hygiene	11	13	18	22	23	22	16	19	27	20	20	30	241
Public Protection	17	28	23	28	30	24	24	34	23	29	26	102	388
Education	22	73	86	114	117	80	80	70	67	67	78	86	940
All Other	65	120	138	143	138	135	123	108	107	112	141	206	1,536
Total Capital Projects	259	445	585	631	602	595	606	546	555	495	603	703	6,625
<b>TOTAL DISBURSEMENTS</b>	330	611	829	960	808	866	757	738	975	641	1,342	1,265	10,122
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	162	293	14	616	487	602	210	431	(198)	419	1,295	753	5,084
Transfers to Other Funds	(26)	(25)	(26)	(25)	(29)	(266)	(25)	(25)	(25)	(25)	(36)	(912)	(1,445)
Bond and Note Proceeds	0	0	0	2	6	2	1	2	9	0	0	0	609
NET OTHER FINANCING SOURCES/(USES)	136	268	(12)	593	464	338	186	408	(214)	394	1,259	428	4,248
Excess/(Deficiency) of Receipts over Disbursements	(15)	(75)	278	(158)	(116)	(126)	(106)	(141)	226	(42)	99	221	45
<b>CLOSING BALANCE</b>	(348)	(423)	(145)	(303)	(419)	(545)	(651)	(792)	(656)	(608)	(509)	(288)	(288)



**CASHFLOW  
CAPITAL PROJECTS FEDERAL FUNDS  
FY 2017  
(dollars in millions)**

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(558)	(511)	(494)	(486)	(480)	(476)	(461)	(466)	(490)	(456)	(452)	(731)	(558)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	126	145	190	160	160	191	193	197	191	137	79	388	2,157
<b>TOTAL RECEIPTS</b>	126	145	190	160	160	191	193	197	191	137	79	388	2,157
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	0	0	0	0	35	0	0	0	35	70
Transportation	22	29	63	26	24	42	44	52	59	54	17	49	481
All Other Local	0	13	13	13	13	26	13	13	13	13	13	12	155
Total Local Assistance Grants	22	42	76	39	37	68	57	100	72	67	30	96	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	1	1	1	1	1	1	1	1	1	4	15
Transportation	54	83	103	106	116	105	123	118	82	60	43	26	1,019
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	9	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	2	2	2	2	2	2	2	2	2	2	3	24
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	12	0	0	0	0	13	25
Total Capital Projects	56	86	106	109	119	108	138	121	85	63	46	55	1,092
<b>TOTAL DISBURSEMENTS</b>	78	128	182	148	156	176	195	221	157	130	76	151	1,798
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	(1)	0	0	0	0	0	0	0	0	0	(282)	(43)	(326)
Transfers to Other Funds	0	0	0	(6)	0	0	(3)	0	0	(3)	0	0	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(1)	0	0	(6)	0	0	(3)	0	0	(3)	(282)	(43)	(338)
Excess/(Deficiency) of Receipts over Disbursements	47	17	8	6	4	15	(5)	(24)	34	4	(279)	194	21
<b>CLOSING BALANCE</b>	(511)	(494)	(486)	(480)	(476)	(461)	(466)	(490)	(456)	(452)	(731)	(537)	(537)

**CASHFLOW  
STATE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total	Intra-Fund Transfer Eliminations
<b>OPENING BALANCE</b>	12,308	14,971	12,524	13,186	13,753	13,596	14,055	13,468	11,529	13,727	16,968	16,996	12,308	
<b>RECEIPTS:</b>														
Personal Income Tax	6,354	2,371	5,167	2,613	3,048	5,187	2,252	2,629	5,136	7,665	3,473	3,569	0	0
Consumption/Use Taxes	1,268	1,197	1,540	1,309	1,236	1,571	1,276	1,246	1,324	1,324	1,066	1,483	0	0
Business Taxes	275	169	1,132	210	184	1,266	196	213	1,496	295	209	2,349	0	0
Other Taxes	267	265	284	271	291	299	285	291	298	356	334	295	0	0
Total Taxes	8,164	4,002	8,123	4,403	4,759	8,323	4,009	4,379	8,548	9,640	5,082	7,696	0	0
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	0	0
ABC License Fee	5	5	6	6	5	6	6	6	5	5	5	4	0	0
HCRA	367	383	385	436	383	387	387	383	389	391	385	384	0	0
Investment Income	2	1	1	1	1	1	1	1	1	1	1	(2)	0	0
Licenses, Fees, etc.	22	45	55	45	55	65	65	50	65	45	60	62	0	0
Lottery	267	259	316	244	303	248	247	305	247	302	250	365	0	0
Medical	77	69	64	69	65	67	72	65	71	71	62	60	0	0
Motor Vehicle Fees	50	60	50	42	55	47	42	43	48	44	38	39	0	0
Reimbursements	8	2	55	10	8	55	3	20	43	14	30	241	0	0
State University Income	287	256	227	286	349	634	357	242	251	453	596	354	0	0
Other Transactions	423	843	1,334	482	423	799	668	258	1,558	309	254	805	0	0
Total Miscellaneous Receipts	1,508	1,923	2,493	1,638	1,647	2,329	1,848	1,492	2,710	1,673	1,701	2,389	0	0
Federal Receipts	0	0	0	0	2	37	0	0	0	0	2	38	0	0
TOTAL RECEIPTS	9,672	5,925	10,616	6,041	6,408	10,689	5,857	5,871	11,258	11,313	6,785	10,123	0	0
<b>DISBURSEMENTS:</b>														
School Aid	754	3,169	1,951	156	1,027	3,386	1,097	1,884	2,054	986	655	7,653	0	0
Higher Education	19	6	654	249	134	192	350	46	210	40	362	769	0	0
All Other Education	53	183	549	243	40	295	120	32	188	46	106	489	0	0
STAR	0	0	420	0	0	196	9	35	151	2,408	0	9	0	0
Medicaid - DOH	1,345	1,666	1,425	1,322	1,517	1,029	1,569	1,758	1,187	1,434	1,457	2,475	0	0
Public Health	82	250	235	86	86	135	91	77	143	90	85	268	0	0
Mental Hygiene	94	58	427	152	58	446	140	68	532	100	122	488	0	0
Children and Families	27	99	231	97	97	231	97	97	231	97	129	241	0	0
Temporary & Disability Assistance	95	99	157	116	99	99	115	99	115	115	99	107	0	0
Transportation	196	579	469	386	532	562	379	665	1,095	225	819	284	0	0
Unrestricted Aid	0	11	389	0	0	98	7	0	186	0	0	63	0	0
All Other	96	101	388	317	241	159	77	200	234	145	242	387	0	0
Total Local Assistance Grants	2,761	6,219	7,295	3,124	3,631	6,828	4,046	4,961	6,326	5,686	4,076	13,233	0	0
Personal Service	1,026	1,003	986	986	990	1,142	1,002	1,322	1,014	987	988	1,092	0	0
Non-Personal Service	318	432	454	407	489	531	450	485	503	492	485	657	0	0
Total Departmental Operations	1,344	1,435	1,473	1,393	1,479	1,673	1,452	1,797	1,517	1,479	1,473	1,749	0	0
General State Charges	2,619	395	364	435	461	430	512	517	387	514	384	533	0	0
Debt Service	219	163	90	26	284	88	32	78	370	26	32	2,566	0	0
Capital Projects	259	445	585	631	602	595	606	546	555	495	603	706	0	0
TOTAL DISBURSEMENTS	7,096	8,657	10,077	5,609	6,657	10,344	6,648	7,899	9,155	8,200	7,173	18,787	0	0
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,187	2,970	2,748	2,781	2,066	3,442	2,473	2,373	2,182	2,254	2,612	5,956	34,610	(434)
Transfers to other funds	(3,100)	(2,685)	(2,625)	(2,648)	(1,980)	(3,320)	(2,270)	(2,266)	(2,096)	(2,126)	(2,196)	(5,720)	(32,628)	434
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	87	285	123	135	92	144	204	89	95	128	416	823	0	0
Excess/(Deficiency) of Receipts over Disbursements	2,663	(2,447)	662	567	(57)	459	(587)	(1,939)	2,198	3,241	28	(7,841)	0	0
<b>CLOSING BALANCE</b>	14,971	12,524	13,186	13,753	13,596	14,055	13,468	11,529	11,258	11,313	16,968	16,996	9,155	0

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2017  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Opening Fund Balance</b>	<u>0</u>	<u>78</u>	<u>78</u>
<b>Receipts:</b>			
Taxes	878	0	878
Miscellaneous receipts	4,701	(41)	4,660
<b>Total Receipts</b>	<u>5,579</u>	<u>(41)</u>	<u>5,538</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,776	(39)	3,737
Hospital Indigent Care Fund	822	130	952
HCRA Program Account	378	15	393
Child Health Plus (CHP)	223	0	223
Elderly Pharmaceutical Insurance Coverage (EPIC)	144	0	144
SHIN-NY/APCD	40	(10)	30
All Other	196	(59)	137
<b>Total Disbursements</b>	<u>5,579</u>	<u>37</u>	<u>5,616</u>
<b>Change in Fund Balance</b>	<u>0</u>	<u>(78)</u>	<u>(78)</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Opening Fund Balance</b>	78	0	0	0
<b>Receipts:</b>				
Taxes	878	847	816	781
Miscellaneous receipts	4,660	4,682	4,738	4,795
<b>Total Receipts</b>	<u>5,538</u>	<u>5,529</u>	<u>5,554</u>	<u>5,576</u>
<b>Disbursements:</b>				
Medical Assistance Account	3,737	3,713	3,708	3,590
Hospital Indigent Care Fund	952	892	892	892
HCRA Program Account	393	408	406	411
Child Health Plus (CHP)	223	234	249	378
Elderly Pharmaceutical Insurance Coverage (EPIC)	144	145	140	140
SHIN-NY/APCD	30	0	0	0
All Other	137	137	159	165
<b>Total Disbursements</b>	<u>5,616</u>	<u>5,529</u>	<u>5,554</u>	<u>5,576</u>
<b>Change in Fund Balance</b>	<u>(78)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2016 and FY 2017  
(millions of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>Annual Change</b>
<b>Opening Fund Balance</b>	<u>14</u>	<u>78</u>	<u>64</u>
<b>Receipts:</b>			
Taxes	928	878	(50)
Miscellaneous receipts	4,727	4,660	(67)
	<u>5,655</u>	<u>5,538</u>	<u>(117)</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,523	3,737	214
Hospital Indigent Care Fund	961	952	(9)
HCRA Program Account	429	393	(36)
Child Health Plus (CHP)	381	223	(158)
Elderly Pharmaceutical Insurance Coverage (EPIC)	137	144	7
SHIN-NY/APCD	42	30	(12)
All Other	118	137	19
<b>Total Disbursements</b>	<u>5,591</u>	<u>5,616</u>	<u>25</u>
<b>Change in Fund Balance</b>	<u>64</u>	<u>(78)</u>	<u>(142)</u>
<b>Closing Fund Balance</b>	<u>78</u>	<u>0</u>	<u>(78)</u>

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2016**  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
<b>Opening Fund Balance</b>	14	41	140	234	166	153	101	106	103	178	82	300	14
<b>Receipts:</b>													
Taxes	83	72	90	86	76	85	81	71	83	72	62	67	928
Miscellaneous receipts	317	378	448	439	382	376	384	404	359	354	413	473	4,727
<b>Total Receipts</b>	400	450	538	525	458	461	465	475	442	426	475	540	5,655
<b>Disbursements:</b>													
Medical Assistance Account	240	245	331	333	238	339	349	361	228	362	195	302	3,523
Hospital Indigent Care Fund	90	66	32	98	73	96	90	58	61	30	32	235	961
HCRA Program Account	1	2	44	129	27	26	1	24	45	91	16	23	429
Child Health Plus (CHP)	22	24	24	23	118	21	6	21	19	13	2	88	381
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	13	12	7	12	12	12	11	12	17	9	17	137
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	42	42
All Other	17	1	1	3	3	19	2	3	2	9	3	55	118
<b>Total Disbursements</b>	373	351	444	593	471	513	460	478	367	522	257	762	5,591
<b>Change in Fund Balance</b>	27	99	94	(68)	(13)	(52)	5	(3)	75	(96)	218	(222)	64
<b>Closing Fund Balance</b>	41	140	234	166	153	101	106	103	178	82	300	78	78

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2017**  
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening Fund Balance</b>	78	176	88	86	84	109	83	82	81	71	72	75	78
<b>Receipts:</b>													
Taxes	70	69	86	82	73	82	78	68	79	69	59	63	878
Miscellaneous receipts	367	383	385	436	383	387	387	383	389	391	385	384	4,660
<b>Total Receipts</b>	437	452	471	518	456	469	465	451	468	460	444	447	5,538
<b>Disbursements:</b>													
Medical Assistance Account	260	355	230	415	313	261	359	345	331	340	345	183	3,737
Hospital Indigent Care Fund	0	154	64	64	64	124	64	64	64	64	64	162	952
HCRA Program Account	42	7	152	15	25	36	15	16	45	27	8	5	393
Child Health Plus (CHP)	17	12	12	12	13	41	12	12	12	12	12	56	223
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	11	12	12	13	13	13	12	16	13	8	18	144
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	30	30
All Other	17	1	3	2	3	20	3	3	10	3	4	68	137
<b>Total Disbursements</b>	339	540	473	520	431	495	466	452	478	459	441	522	5,616
<b>Change in Fund Balance</b>	98	(88)	(2)	(2)	25	(26)	(1)	(1)	(10)	1	3	(75)	(78)
<b>Closing Fund Balance</b>	176	88	86	84	109	83	82	81	71	72	75	0	0

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS**  
(millions of dollars)

	FY 2016			FY 2017			FY 2018			FY 2019			FY 2020		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
<b>Opening Fund Balance</b>	(196)	51	(89)	(127)	66	(47)	(129)	66	(341)	(132)	63	(457)	(136)	60	(637)
<b>Receipts:</b>															
Unemployment Taxes	0	2,281	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	545	66	0	635	77	5	642	77	5	635	77	5	635	77	5
Federal Receipts	0	30	0	0	50	0	0	50	0	0	50	0	0	50	0
<b>Total Receipts</b>	<b>545</b>	<b>2,377</b>	<b>0</b>	<b>635</b>	<b>2,577</b>	<b>5</b>	<b>642</b>	<b>2,577</b>	<b>5</b>	<b>635</b>	<b>2,577</b>	<b>5</b>	<b>635</b>	<b>2,577</b>	<b>5</b>
<b>Disbursements:</b>															
Local Assistance Grants	0	0	0	9	0	316	9	0	154	9	0	122	9	0	113
Departmental Operations:															
Personal Service	86	5	0	104	11	0	104	11	0	104	11	0	104	11	0
Non-Personal Service	403	71	0	523	63	0	530	63	0	531	63	0	531	63	0
Unemployment Benefits	0	2,285	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	47	1	0	59	4	0	62	5	0	64	5	0	64	5	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>536</b>	<b>2,362</b>	<b>0</b>	<b>695</b>	<b>2,578</b>	<b>316</b>	<b>705</b>	<b>2,579</b>	<b>154</b>	<b>708</b>	<b>2,579</b>	<b>122</b>	<b>708</b>	<b>2,579</b>	<b>113</b>
<b>Other Financing Sources (Uses):</b>															
Transfers from Other Funds	98	0	42	95	0	21	97	0	37	97	0	41	97	0	31
Transfers to Other Funds	(38)	0	0	(37)	(1)	(4)	(37)	(1)	(4)	(28)	(1)	(4)	(21)	(1)	(4)
	60	0	42	58	1	17	60	(1)	33	69	(1)	37	76	(1)	27
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>69</b>	<b>15</b>	<b>42</b>	<b>(2)</b>	<b>0</b>	<b>(294)</b>	<b>(3)</b>	<b>(3)</b>	<b>(116)</b>	<b>(4)</b>	<b>(3)</b>	<b>(80)</b>	<b>3</b>	<b>(3)</b>	<b>(81)</b>
<b>Closing Fund Balance</b>	<b>(127)</b>	<b>66</b>	<b>(47)</b>	<b>(129)</b>	<b>66</b>	<b>(341)</b>	<b>(132)</b>	<b>63</b>	<b>(457)</b>	<b>(136)</b>	<b>60</b>	<b>(537)</b>	<b>(133)</b>	<b>57</b>	<b>(618)</b>

# Workforce Impact Summary

## General Fund 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,528	2,402	2,421
Corrections and Community Supervision, Department of	28,332	28,742	28,119
Education Department, State	265	280	279
Environmental Conservation, Department of	1,047	1,065	983
General Services, Office of	1,015	1,073	1,297
Health, Department of	1,499	1,482	1,566
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1,516	1,372	1,312
State Police, Division of	5,596	5,369	5,270
Taxation and Finance, Department of	4,342	4,154	3,566
Temporary and Disability Assistance, Office of	975	949	963
<b>Subtotal - Major Agencies</b>	<b>50,688</b>	<b>50,484</b>	<b>49,362</b>
<b>Minor Agencies</b>	<b>3,252</b>	<b>3,386</b>	<b>3,661</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>53,940</b>	<b>53,870</b>	<b>53,023</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,341	1,297	1,425
Law, Department of	1,048	1,075	1,065
<b>Subtotal - Independently Elected Agencies</b>	<b>2,389</b>	<b>2,372</b>	<b>2,490</b>
<b>Grand Total</b>	<b>56,329</b>	<b>56,242</b>	<b>55,513</b>

# Workforce Impact Summary

## General Fund 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	384	377	360
Alcoholic Beverage Control, Division of	0	0	127
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	24	27	30
Budget, Division of the	224	222	245
Civil Service, Department of	152	141	171
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	378	379	399
Economic Development, Department of	141	146	152
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Gaming Commission, New York State	0	53	60
Housing and Community Renewal, Division of	83	78	54
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Inspector General, Office of the	66	89	109
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	341	400	428
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	142	139	149
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
State, Department of	195	199	180
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>3,252</b>	<b>3,386</b>	<b>3,661</b>



# Workforce Impact Summary

## State Operating Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,565	2,441	2,465
Corrections and Community Supervision, Department of	28,336	28,746	28,123
Education Department, State	1,243	1,258	1,293
Environmental Conservation, Department of	2,230	2,238	2,164
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,066	1,131	1,310
Health, Department of	3,720	3,697	3,743
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	391	371	508
Mental Health, Office of	14,519	14,350	14,257
Motor Vehicles, Department of	680	669	508
Parks, Recreation and Historic Preservation, Office of	1,608	1,574	1,528
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	5,667	5,435	5,608
Taxation and Finance, Department of	4,395	4,249	4,267
Temporary and Disability Assistance, Office of	975	949	963
Transportation, Department of	89	82	99
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>92,038</b>	<b>92,183</b>	<b>91,350</b>
<b>Minor Agencies</b>	<b>6,505</b>	<b>6,580</b>	<b>6,848</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>98,543</b>	<b>98,763</b>	<b>98,198</b>
<b>University Systems</b>			
City University of New York	261	338	315
State University of New York	43,692	44,249	43,667
<b>Subtotal - University Systems</b>	<b>43,953</b>	<b>44,587</b>	<b>43,982</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,513	1,467	1,603
Law, Department of	1,528	1,559	1,583
<b>Subtotal - Independently Elected Agencies</b>	<b>3,041</b>	<b>3,026</b>	<b>3,186</b>
<b>Grand Total</b>	<b>145,537</b>	<b>146,376</b>	<b>145,366</b>

# Workforce Impact Summary

## State Operating Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	426	421	400
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	156	142	176
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	383	383	404
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	571	601	562
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	445
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	146	143	151
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	495
State, Department of	497	494	522
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Victim Services, Office of	49	44	54
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>6,505</b>	<b>6,580</b>	<b>6,848</b>

# Workforce Impact Summary

State Funds  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,570	2,445	2,475
Corrections and Community Supervision, Department of	28,363	28,774	28,153
Education Department, State	1,243	1,258	1,293
Environmental Conservation, Department of	2,583	2,617	2,652
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,066	1,131	1,310
Health, Department of	3,768	3,770	3,839
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	391	371	508
Mental Health, Office of	14,519	14,382	14,289
Motor Vehicles, Department of	2,137	2,146	2,132
Parks, Recreation and Historic Preservation, Office of	1,730	1,734	1,716
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	5,667	5,435	5,608
Taxation and Finance, Department of	4,395	4,249	4,267
Temporary and Disability Assistance, Office of	980	954	968
Transportation, Department of	8,500	8,361	8,182
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>102,466</b>	<b>102,620</b>	<b>101,906</b>
<b>Minor Agencies</b>	<b>6,505</b>	<b>6,580</b>	<b>6,848</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>108,971</b>	<b>109,200</b>	<b>108,754</b>
<b>University Systems</b>			
City University of New York	261	338	315
State University Construction Fund	145	143	152
State University of New York	43,692	44,249	43,667
<b>Subtotal - University Systems</b>	<b>44,098</b>	<b>44,730</b>	<b>44,134</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,513	1,467	1,603
Law, Department of	1,532	1,564	1,590
<b>Subtotal - Independently Elected Agencies</b>	<b>3,045</b>	<b>3,031</b>	<b>3,193</b>
<b>Grand Total</b>	<b>156,114</b>	<b>156,961</b>	<b>156,081</b>

# Workforce Impact Summary

State Funds  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	426	421	400
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	156	142	176
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	383	383	404
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	571	601	562
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	445
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	146	143	151
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	495
State, Department of	497	494	522
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Victim Services, Office of	49	44	54
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>6,505</b>	<b>6,580</b>	<b>6,848</b>

# Workforce Impact Summary

## All Funds

2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,986	2,842	2,954
Corrections and Community Supervision, Department of	28,673	29,094	29,089
Education Department, State	2,643	2,700	2,692
Environmental Conservation, Department of	2,869	2,900	2,946
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,588	1,643	1,873
Health, Department of	4,839	4,898	5,169
Information Technology Services, Office of	3,592	3,596	3,585
Labor, Department of	3,111	2,880	2,992
Mental Health, Office of	14,528	14,391	14,318
Motor Vehicles, Department of	2,153	2,163	2,149
Parks, Recreation and Historic Preservation, Office of	1,747	1,751	1,735
People with Developmental Disabilities, Office for	18,528	18,963	18,400
State Police, Division of	5,667	5,435	5,608
Taxation and Finance, Department of	4,395	4,249	4,267
Temporary and Disability Assistance, Office of	1,946	1,868	1,953
Transportation, Department of	8,559	8,419	8,255
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>110,288</b>	<b>110,236</b>	<b>110,532</b>
<b>Minor Agencies</b>	<b>7,519</b>	<b>7,627</b>	<b>8,058</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>117,807</b>	<b>117,863</b>	<b>118,590</b>
<b>University Systems</b>			
City University of New York	13,703	13,681	13,645
State University Construction Fund	145	143	152
State University of New York	43,692	44,250	43,668
<b>Subtotal - University Systems</b>	<b>57,540</b>	<b>58,074</b>	<b>57,465</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,526	2,508	2,663
Law, Department of	1,747	1,776	1,839
<b>Subtotal - Independently Elected Agencies</b>	<b>4,273</b>	<b>4,284</b>	<b>4,502</b>
<b>Grand Total</b>	<b>179,620</b>	<b>180,221</b>	<b>180,557</b>

# Workforce Impact Summary

All Funds  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	93	93	95
Agriculture and Markets, Department of	475	467	476
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	305	300	347
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	413	415	436
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	80
Employee Relations, Office of	28	30	37
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	406	449	478
Housing and Community Renewal, Division of	666	694	683
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	148	158	164
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	450
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	457	446	453
Military and Naval Affairs, Division of	338	326	354
Prevention of Domestic Violence, Office for	24	26	28
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	520
State, Department of	512	510	539
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	84	82	98
Victim Services, Office of	65	68	81
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>7,519</b>	<b>7,627</b>	<b>8,058</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	37	39	44
Corrections and Community Supervision, Department of	4	4	4
Education Department, State	978	978	1,014
Environmental Conservation, Department of	1,183	1,173	1,181
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	51	58	13
Health, Department of	2,221	2,215	2,177
Labor, Department of	391	371	507
Mental Health, Office of	14,519	14,350	14,257
Motor Vehicles, Department of	680	669	508
Parks, Recreation and Historic Preservation, Office of	92	202	216
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	71	66	338
Taxation and Finance, Department of	53	95	701
Transportation, Department of	89	82	99
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>41,350</b>	<b>41,699</b>	<b>41,988</b>
<b>Minor Agencies</b>	<b>3,253</b>	<b>3,194</b>	<b>3,187</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>44,603</b>	<b>44,893</b>	<b>45,175</b>
<b>University Systems</b>			
City University of New York	261	338	315
State University of New York	43,692	44,249	43,667
<b>Subtotal - University Systems</b>	<b>43,953</b>	<b>44,587</b>	<b>43,982</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	172	170	178
Law, Department of	480	484	518
<b>Subtotal - Independently Elected Agencies</b>	<b>652</b>	<b>654</b>	<b>696</b>
<b>Grand Total</b>	<b>89,208</b>	<b>90,134</b>	<b>89,853</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	42	44	40
Alcoholic Beverage Control, Division of	112	111	0
Alcoholism and Substance Abuse Services, Office of	748	738	741
Budget, Division of the	15	15	16
Civil Service, Department of	4	1	5
Criminal Justice Services, Division of	5	4	5
Deferred Compensation Board	3	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	328	344
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	488	523	508
Indigent Legal Services, Office of	10	18	19
Interest on Lawyer Account	8	8	8
Justice Center for the Protection of People with Special Needs	13	17	17
Military and Naval Affairs, Division of	4	4	2
Public Service Department	517	486	495
State, Department of	302	295	342
Victim Services, Office of	49	44	54
<b>Subtotal - Minor Agencies</b>	<b>3,253</b>	<b>3,194</b>	<b>3,187</b>



# Workforce Impact Summary

## Special Revenue Funds - Federal 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	379	354	391
Corrections and Community Supervision, Department of	33	37	646
Education Department, State	1,264	1,292	1,255
Environmental Conservation, Department of	278	276	287
Health, Department of	1,071	1,128	1,330
Labor, Department of	2,700	2,487	2,468
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	16	17	17
Parks, Recreation and Historic Preservation, Office of	17	17	19
People with Developmental Disabilities, Office for	11	10	18
Temporary and Disability Assistance, Office of	966	914	985
Transportation, Department of	59	58	73
<b>Subtotal - Major Agencies</b>	<b>6,794</b>	<b>6,590</b>	<b>7,500</b>
<b>Minor Agencies</b>			
Aging, Office for the	75	83	83
Agriculture and Markets, Department of	8	6	28
Criminal Justice Services, Division of	30	32	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	129	148	154
Housing and Community Renewal, Division of	95	93	101
Human Rights, Division of	16	19	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	228	222	226
Military and Naval Affairs, Division of	192	183	201
Public Service Department	0	0	25
State, Department of	15	16	17
Veterans' Affairs, Division of	6	6	8
Victim Services, Office of	16	24	27
<b>Subtotal - Minor Agencies</b>	<b>810</b>	<b>832</b>	<b>948</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>7,604</b>	<b>7,422</b>	<b>8,448</b>
<b>University Systems</b>			
State University of New York	0	1	1
<b>Subtotal - University Systems</b>	<b>0</b>	<b>1</b>	<b>1</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	5	5	5
Law, Department of	215	212	249
<b>Subtotal - Independently Elected Agencies</b>	<b>220</b>	<b>217</b>	<b>254</b>
<b>Grand Total</b>	<b>7,824</b>	<b>7,640</b>	<b>8,703</b>

# Workforce Impact Summary

## Capital Projects Funds - Other 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	5	4	10
Corrections and Community Supervision, Department of	27	28	30
Environmental Conservation, Department of	353	379	488
Health, Department of	48	73	96
Mental Health, Office of	0	32	32
Motor Vehicles, Department of	1,457	1,477	1,624
Parks, Recreation and Historic Preservation, Office of	122	160	188
Temporary and Disability Assistance, Office of	5	5	5
Transportation, Department of	8,411	8,279	8,083
<b>Subtotal - Major Agencies</b>	<b>10,428</b>	<b>10,437</b>	<b>10,556</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>10,428</b>	<b>10,437</b>	<b>10,556</b>
<b>University Systems</b>			
State University Construction Fund	145	143	152
<b>Subtotal - University Systems</b>	<b>145</b>	<b>143</b>	<b>152</b>
<b>Independently Elected Agencies</b>			
Law, Department of	4	5	7
<b>Subtotal - Independently Elected Agencies</b>	<b>4</b>	<b>5</b>	<b>7</b>
<b>Grand Total</b>	<b>10,577</b>	<b>10,585</b>	<b>10,715</b>

# Workforce Impact Summary

## Capital Projects Funds - Federal 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Environmental Conservation, Department of	8	7	7
<b>Subtotal - Major Agencies</b>	<b>8</b>	<b>7</b>	<b>7</b>
<b>Minor Agencies</b>			
Housing and Community Renewal, Division of	0	0	20
<b>Subtotal - Minor Agencies</b>	<b>0</b>	<b>0</b>	<b>20</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>8</b>	<b>7</b>	<b>27</b>
<b>Grand Total</b>	<b>8</b>	<b>7</b>	<b>27</b>

# Workforce Impact Summary

## Enterprise Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	6	7	10
General Services, Office of	10	11	62
Mental Health, Office of	0	0	5
<b>Subtotal - Major Agencies</b>	<b>16</b>	<b>18</b>	<b>77</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	38	38	45
Military and Naval Affairs, Division of	0	0	2
<b>Subtotal - Minor Agencies</b>	<b>38</b>	<b>38</b>	<b>47</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>54</b>	<b>56</b>	<b>124</b>
<b>Grand Total</b>	<b>54</b>	<b>56</b>	<b>124</b>

# Workforce Impact Summary

## Internal Service Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	37	43	88
Corrections and Community Supervision, Department of	271	276	280
Education Department, State	136	150	144
General Services, Office of	512	501	501
Information Technology Services, Office of	19	0	0
Labor, Department of	20	22	16
Mental Health, Office of	9	9	13
State Police, Division of	0	0	0
<b>Subtotal - Major Agencies</b>	<b>1,004</b>	<b>1,001</b>	<b>1,042</b>
<b>Minor Agencies</b>			
Civil Service, Department of	149	158	171
Employee Relations, Office of	6	8	11
Prevention of Domestic Violence, Office for	8	9	10
<b>Subtotal - Minor Agencies</b>	<b>163</b>	<b>175</b>	<b>192</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>1,167</b>	<b>1,176</b>	<b>1,234</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	79	79	78
<b>Subtotal - Independently Elected Agencies</b>	<b>79</b>	<b>79</b>	<b>78</b>
<b>Grand Total</b>	<b>1,246</b>	<b>1,255</b>	<b>1,312</b>

# Workforce Impact Summary

## Agency Trust Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>University Systems</b>			
City University of New York	13,442	13,343	13,330
<b>Subtotal - University Systems</b>	<b>13,442</b>	<b>13,343</b>	<b>13,330</b>
<b>Grand Total</b>	<b>13,442</b>	<b>13,343</b>	<b>13,330</b>

# Workforce Impact Summary

## Pension Trust Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	929	957	977
<b>Subtotal - Independently Elected Agencies</b>	<b>929</b>	<b>957</b>	<b>977</b>
<b>Grand Total</b>	<b>929</b>	<b>957</b>	<b>977</b>

# Workforce Impact Summary

## Private Purpose Trust Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	3	2	3
<b>Subtotal - Minor Agencies</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Grand Total</b>	<b>3</b>	<b>2</b>	<b>3</b>



Impact of FY 2017 Enacted Budget on Local Governments Local Fiscal Year Ending in 2016 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>Revenue Actions</b>	<b>(0.6)</b>	<b>0.3</b>	<b>0.0</b>	<b>(0.8)</b>	<b>(0.1)</b>	<b>0.0</b>
- Extend Tax Shelter Reporting Requirements	1.0	1.0	0.0	0.0	0.0	0.0
- Exempt Fuel Cells from Sales Tax	(1.7)	(0.6)	0.0	(1.0)	(0.1)	0.0
- Require Downstate Fuel Wholesalers to Register & File Returns	0.3	0.0	0.0	0.3	0.0	0.0
- Extend Alternative Fuels Sales Tax Exemption	(0.2)	(0.1)	0.0	(0.1)	0.0	0.0
<b>Human Services</b>	<b>(0.8)</b>	<b>(0.3)</b>	<b>0.0</b>	<b>(0.5)</b>	<b>0.0</b>	<b>0.0</b>
- Expand Public Assistance Vehicle Exemption	(0.8)	(0.3)	0.0	(0.5)	0.0	0.0
<b>Mental Hygiene</b>	<b>4.3</b>	<b>0.0</b>	<b>0.0</b>	<b>4.3</b>	<b>0.0</b>	<b>0.0</b>
- Provide Funding for a Veteran Peer-to-Peer Program	2.8	0.0	0.0	2.8	0.0	0.0
- Provide Funding for Crisis Intervention and Diversion Programs	1.5	0.0	0.0	1.5	0.0	0.0
<b>Municipal Aid</b>	<b>5.0</b>	<b>0.0</b>	<b>0.0</b>	<b>4.3</b>	<b>0.7</b>	<b>0.0</b>
- Provide Aid to Onondaga County for a School Discipline Project	2.0	0.0	0.0	2.0	0.0	0.0
- Provide Aid to Dutchess County	1.5	0.0	0.0	1.5	0.0	0.0
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.7	0.0	0.0	0.7	0.0	0.0
- Provide Interim Financial Assistance to Seneca, Cayuga, and Franklin Counties	0.8	0.0	0.0	0.8	0.0	0.0
- Restore Village per Capita Aid to Prior Level	0.0	0.0	0.0	0.0	0.0	0.0
<b>Public Protection</b>	<b>0.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.4</b>	<b>0.0</b>	<b>0.0</b>
- Provide Aid for the Dutchess County Coordinated Jail Based Services	0.4	0.0	0.0	0.4	0.0	0.0
<b>All Other Impacts</b>	<b>16.9</b>	<b>0.0</b>	<b>1.7</b>	<b>1.4</b>	<b>12.7</b>	<b>1.1</b>
- Modify 19-A Payment Schedule to the City of Albany	12.5	0.0	0.0	0.0	12.5	0.0
- Increase Power Plant Retirement Mitigation Funding	4.4	0.0	1.7	1.4	0.2	1.1
<b>Total FY 2017 Exec. Budget Actions</b>	<b>25.2</b>	<b>0.0</b>	<b>1.7</b>	<b>9.1</b>	<b>13.3</b>	<b>1.1</b>

Impact of FY 2017 Enacted Budget on Local Governments Local Fiscal Year Ending in 2017 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>School Aid</b>	<b>1,403.9</b>	<b>523.9</b>	<b>880.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- Increase School Aid	1,403.9	523.9	880.0	0.0	0.0	0.0
<b>Other Education</b>	<b>350.0</b>	<b>140.0</b>	<b>210.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- Provide Smart Schools Disbursements	350.0	140.0	210.0	0.0	0.0	0.0
<b>Revenue Actions</b>	<b>0.9</b>	<b>0.7</b>	<b>0.0</b>	<b>0.2</b>	<b>0.0</b>	<b>0.0</b>
- Extend Tax Shelter Reporting Requirements	3.0	3.0	0.0	0.0	0.0	0.0
- Exempt Fuel Cells from Sales Tax	(3.1)	(2.1)	0.0	(0.8)	(0.1)	(0.1)
- Require Downstate Fuel Wholesalers to Register & File Returns	1.7	0.2	0.0	1.3	0.1	0.1
- Extend Alternative Fuels Sales Tax Exemption	(0.7)	(0.4)	0.0	(0.3)	0.0	0.0
<b>Human Services</b>	<b>(1.8)</b>	<b>(1.1)</b>	<b>0.0</b>	<b>(0.7)</b>	<b>0.0</b>	<b>0.0</b>
- Expand Public Assistance Vehicle Exemption	(1.8)	(1.1)	0.0	(0.7)	0.0	0.0
<b>Mental Hygiene</b>	<b>4.3</b>	<b>0.0</b>	<b>0.0</b>	<b>4.3</b>	<b>0.0</b>	<b>0.0</b>
- Provide Funding for a Veteran Peer-to-Peer Program	2.8	0.0	0.0	2.8	0.0	0.0
- Provide Funding for Crisis Intervention and Diversion Programs	1.5	0.0	0.0	1.5	0.0	0.0
<b>Municipal Aid</b>	<b>0.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.1</b>	<b>0.6</b>
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.2	0.0	0.0	0.0	0.1	0.1
- Restore Village per Capita Aid to Prior Level	0.5	0.0	0.0	0.0	0.0	0.5
<b>Public Protection</b>	<b>0.1</b>	<b>0.0</b>	<b>0.0</b>	<b>0.1</b>	<b>0.0</b>	<b>0.0</b>
- Provide Aid for the Dutchess County Coordinated Jail Based Services	0.1	0.0	0.0	0.1	0.0	0.0
<b>All Other Impacts</b>	<b>6.4</b>	<b>0.0</b>	<b>5.0</b>	<b>0.5</b>	<b>0.2</b>	<b>0.7</b>
- Increase Power Plant Retirement Mitigation Funding	6.4	0.0	5.0	0.5	0.2	0.7
<b>Total FY 2017 Exec. Budget Actions</b>	<b>1,764.5</b>	<b>663.5</b>	<b>1,095.0</b>	<b>4.4</b>	<b>0.3</b>	<b>1.3</b>
- Water Infrastructure Funds	100.0	0.0	0.0	TBD	TBD	TBD
- New Community Schools Funding	75.0	TBD	TBD	0.0	0.0	0.0
- New Competitive School Grants	28.0	TBD	TBD	0.0	0.0	0.0
- Municipal Consolidation Competition	20.0	0.0	0.0	TBD	TBD	TBD
<b>Grand Total FY 2017 Exec. Budget Actions</b>	<b>1,987.5</b>	<b>663.5</b>	<b>1,095.0</b>	<b>4.4</b>	<b>0.3</b>	<b>1.3</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>59,962</b>	<b>63,925</b>	<b>66,701</b>	<b>57,287</b>	<b>57,287</b>
Local Assistance Grants	27,279	32,250	35,026	25,612	25,612
State Operations	32,683	31,675	31,675	31,675	31,675
Personal Service	25,269	26,306	26,306	26,306	26,306
Non-Personal Service	7,414	5,369	5,369	5,369	5,369
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>0</b>	<b>12,258</b>	<b>12,683</b>	<b>12,683</b>	<b>12,744</b>
State Operations	0	12,258	12,683	12,683	12,744
Personal Service	0	8,034	8,147	8,147	8,208
Non-Personal Service	0	4,224	4,536	4,536	4,536
<b><i>Economic Development, Department of</i></b>	<b>63,748</b>	<b>73,486</b>	<b>73,000</b>	<b>73,000</b>	<b>73,000</b>
Local Assistance Grants	44,963	54,065	54,379	54,379	54,379
State Operations	18,785	19,421	18,621	18,621	18,621
Personal Service	11,974	13,226	13,226	13,226	13,226
Non-Personal Service	6,811	6,195	5,395	5,395	5,395
<b><i>Empire State Development Corporation</i></b>	<b>58,756</b>	<b>143,374</b>	<b>147,346</b>	<b>147,346</b>	<b>147,346</b>
Local Assistance Grants	58,756	143,374	147,346	147,346	147,346
<b><i>Olympic Regional Development Authority</i></b>	<b>3,011</b>	<b>2,736</b>	<b>2,736</b>	<b>2,736</b>	<b>2,736</b>
State Operations	3,011	2,736	2,736	2,736	2,736
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	463	188	188	188	188
<b>Functional Total</b>	<b>185,477</b>	<b>295,779</b>	<b>302,466</b>	<b>293,052</b>	<b>293,113</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b><i>Adirondack Park Agency</i></b>	<b>4,297</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>
State Operations	4,297	4,332	4,332	4,332	4,332
Personal Service	4,036	4,027	4,027	4,027	4,027
Non-Personal Service	261	305	305	305	305
<b><i>Environmental Conservation, Department of</i></b>	<b>98,676</b>	<b>94,860</b>	<b>102,206</b>	<b>106,312</b>	<b>114,312</b>
Local Assistance Grants	4,262	5,000	7,305	5,390	5,390
State Operations	94,414	89,860	94,901	100,922	108,922
Personal Service	85,641	81,470	81,574	81,574	88,774
Non-Personal Service	8,773	8,390	13,327	19,348	20,148
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>115,847</b>	<b>111,068</b>	<b>110,573</b>	<b>110,573</b>	<b>110,573</b>
Local Assistance Grants	4,891	3,245	2,750	2,750	2,750
State Operations	110,956	107,823	107,823	107,823	107,823
Personal Service	105,937	100,476	100,476	100,476	100,476
Non-Personal Service	5,019	7,347	7,347	7,347	7,347
<b>Functional Total</b>	<b>218,820</b>	<b>210,260</b>	<b>217,111</b>	<b>221,217</b>	<b>229,217</b>
<b>TRANSPORTATION</b>					
<b><i>Thruway Authority, New York State</i></b>	<b>16,569</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	16,569	0	0	0	0
Non-Personal Service	16,569	0	0	0	0
<b><i>Transportation, Department of</i></b>	<b>112,503</b>	<b>107,020</b>	<b>102,020</b>	<b>102,020</b>	<b>102,020</b>
Local Assistance Grants	111,351	105,851	100,851	100,851	100,851
State Operations	1,152	1,169	1,169	1,169	1,169
Non-Personal Service	1,152	1,169	1,169	1,169	1,169
<b>Functional Total</b>	<b>129,072</b>	<b>107,020</b>	<b>102,020</b>	<b>102,020</b>	<b>102,020</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>HEALTH</b>					
<b>Aging, Office for the</b>	<b>128,410</b>	<b>130,911</b>	<b>133,943</b>	<b>139,124</b>	<b>144,435</b>
Local Assistance Grants	127,134	129,680	132,712	137,893	143,204
State Operations	1,276	1,231	1,231	1,231	1,231
Personal Service	1,110	1,125	1,125	1,125	1,125
Non-Personal Service	166	106	106	106	106
<b>Health, Department of</b>	<b>13,271,579</b>	<b>13,896,629</b>	<b>14,814,087</b>	<b>15,734,185</b>	<b>16,734,264</b>
<b>Medical Assistance</b>	<b>11,551,940</b>	<b>11,880,414</b>	<b>12,783,673</b>	<b>13,709,288</b>	<b>14,708,710</b>
Local Assistance Grants	11,551,940	11,880,414	12,783,673	13,709,288	14,708,710
<b>Essential Plan</b>	<b>32,575</b>	<b>377,137</b>	<b>384,360</b>	<b>394,642</b>	<b>405,812</b>
Local Assistance Grants	19,215	333,917	344,602	354,940	364,878
State Operations	13,360	43,220	39,758	39,702	40,934
Personal Service	391	1,375	1,416	1,458	1,502
Non-Personal Service	12,969	41,845	38,342	38,244	39,432
<b>Medicaid Administration</b>	<b>836,542</b>	<b>765,528</b>	<b>764,836</b>	<b>733,519</b>	<b>708,888</b>
Local Assistance Grants	565,205	468,603	437,206	405,808	374,411
State Operations	271,337	296,925	327,630	327,711	334,477
Personal Service	31,809	53,965	61,923	69,818	65,387
Non-Personal Service	239,528	242,960	265,707	257,893	269,090
<b>Public Health</b>	<b>850,522</b>	<b>873,550</b>	<b>881,218</b>	<b>896,736</b>	<b>910,854</b>
Local Assistance Grants	713,523	744,314	754,823	770,341	784,359
State Operations	136,999	129,236	126,395	126,395	126,495
Personal Service	86,985	83,664	80,723	80,723	80,723
Non-Personal Service	50,014	45,572	45,672	45,672	45,772
<b>Medicaid Inspector General, Office of the</b>	<b>20,619</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>
State Operations	20,619	19,860	19,860	19,860	19,860
Personal Service	16,621	15,781	15,781	15,781	15,781
Non-Personal Service	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>13,420,608</b>	<b>14,047,400</b>	<b>14,967,890</b>	<b>15,893,169</b>	<b>16,898,559</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>1,966,086</b>	<b>1,895,187</b>	<b>1,925,773</b>	<b>1,947,095</b>	<b>1,969,262</b>
<b>OCFS</b>	<b>1,877,164</b>	<b>1,804,914</b>	<b>1,833,773</b>	<b>1,854,914</b>	<b>1,875,193</b>
Local Assistance Grants	1,644,520	1,578,075	1,605,004	1,620,203	1,640,482
State Operations	232,644	226,839	228,769	234,711	234,711
Personal Service	164,764	159,086	159,086	160,669	160,669
Non-Personal Service	67,880	67,753	69,683	74,042	74,042
<b>OCFS - Other</b>	<b>88,922</b>	<b>90,273</b>	<b>92,000</b>	<b>92,181</b>	<b>94,069</b>
Local Assistance Grants	88,922	90,273	92,000	92,181	94,069
<b>Housing and Community Renewal, Division of</b>	<b>11,952</b>	<b>9,924</b>	<b>33,783</b>	<b>38,783</b>	<b>43,783</b>
Local Assistance Grants	4,764	5,374	29,233	34,233	39,233
State Operations	7,188	4,550	4,550	4,550	4,550
Personal Service	4,919	4,199	4,199	4,199	4,199
Non-Personal Service	2,269	351	351	351	351
<b>Human Rights, Division of</b>	<b>10,263</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>
State Operations	10,263	9,921	9,921	9,921	9,921
Personal Service	9,398	9,461	9,461	9,461	9,461
Non-Personal Service	865	460	460	460	460
<b>Labor, Department of</b>	<b>12,355</b>	<b>12,688</b>	<b>288</b>	<b>288</b>	<b>288</b>
Local Assistance Grants	12,149	12,400	0	0	0
State Operations	206	288	288	288	288

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	38	88	88	88	88
Non-Personal Service	168	200	200	200	200
<b>National and Community Service</b>	<b>458</b>	<b>687</b>	<b>687</b>	<b>690</b>	<b>690</b>
Local Assistance Grants	142	350	350	350	350
State Operations	316	337	337	340	340
Personal Service	311	328	328	331	331
Non-Personal Service	5	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,360,435</b>	<b>1,381,943</b>	<b>1,406,283</b>	<b>1,424,683</b>	<b>1,434,483</b>
<b>Welfare Assistance</b>	<b>1,122,359</b>	<b>1,158,193</b>	<b>1,180,123</b>	<b>1,192,123</b>	<b>1,194,123</b>
Local Assistance Grants	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<b>All Other</b>	<b>238,076</b>	<b>223,750</b>	<b>226,160</b>	<b>232,560</b>	<b>240,360</b>
Local Assistance Grants	91,073	93,905	100,996	107,396	115,196
State Operations	147,003	129,845	125,164	125,164	125,164
Personal Service	69,932	58,985	58,985	58,985	58,985
Non-Personal Service	77,071	70,860	66,179	66,179	66,179
<b>Functional Total</b>	<b>3,361,549</b>	<b>3,310,350</b>	<b>3,376,735</b>	<b>3,421,460</b>	<b>3,458,427</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>26,276</b>	<b>31,878</b>	<b>34,853</b>	<b>34,853</b>	<b>34,853</b>
<b>OASAS</b>	<b>4,951</b>	<b>10,553</b>	<b>13,528</b>	<b>13,528</b>	<b>13,528</b>
Local Assistance Grants	4,951	10,553	13,528	13,528	13,528
<b>OASAS - Other</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
<b>Justice Center</b>	<b>37,210</b>	<b>39,449</b>	<b>39,972</b>	<b>40,737</b>	<b>41,387</b>
Local Assistance Grants	114	170	170	170	170
State Operations	37,096	39,279	39,802	40,567	41,217
Personal Service	25,430	26,264	26,421	26,810	27,073
Non-Personal Service	11,666	13,015	13,381	13,757	14,144
<b>Mental Health, Office of</b>	<b>285,014</b>	<b>273,111</b>	<b>301,481</b>	<b>312,411</b>	<b>323,614</b>
<b>OMH</b>	<b>4,778</b>	<b>800</b>	<b>800</b>	<b>800</b>	<b>800</b>
Local Assistance Grants	4,299	0	0	0	0
State Operations	479	800	800	800	800
Non-Personal Service	479	800	800	800	800
<b>OMH - Other</b>	<b>280,236</b>	<b>272,311</b>	<b>300,681</b>	<b>311,611</b>	<b>322,814</b>
Local Assistance Grants	280,236	272,311	300,681	311,611	322,814
<b>People with Developmental Disabilities, Office for</b>	<b>863,457</b>	<b>691,534</b>	<b>1,050,782</b>	<b>1,206,741</b>	<b>1,319,914</b>
<b>OPWDD</b>	<b>84</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	84	0	0	0	0
<b>OPWDD - Other</b>	<b>863,373</b>	<b>691,534</b>	<b>1,050,782</b>	<b>1,206,741</b>	<b>1,319,914</b>
Local Assistance Grants	863,373	691,534	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>1,211,957</b>	<b>1,035,972</b>	<b>1,427,088</b>	<b>1,594,742</b>	<b>1,719,768</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,297</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>
State Operations	2,297	2,651	2,651	2,651	2,651
Personal Service	2,042	2,414	2,414	2,414	2,414
Non-Personal Service	255	237	237	237	237
<b>Correctional Services, Department of</b>	<b>2,695,483</b>	<b>2,625,077</b>	<b>2,632,333</b>	<b>2,635,089</b>	<b>2,642,244</b>
Local Assistance Grants	4,251	5,497	5,497	5,497	5,497

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
State Operations	2,691,232	2,619,580	2,626,836	2,629,592	2,636,747
Personal Service	2,166,584	2,070,211	2,077,417	2,080,173	2,087,328
Non-Personal Service	524,648	549,369	549,419	549,419	549,419
<b>Criminal Justice Services, Division of</b>	<b>162,498</b>	<b>169,370</b>	<b>173,535</b>	<b>173,535</b>	<b>173,535</b>
Local Assistance Grants	127,977	135,649	139,814	139,814	139,814
State Operations	34,521	33,721	33,721	33,721	33,721
Personal Service	24,246	25,190	25,190	25,190	25,190
Non-Personal Service	10,275	8,531	8,531	8,531	8,531
<b>Disaster Assistance</b>	<b>(51,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	(51,789)	0	0	0	0
Personal Service	(1,768)	0	0	0	0
Non-Personal Service	(50,021)	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>(11,215)</b>	<b>5,222</b>	<b>5,222</b>	<b>5,222</b>	<b>5,222</b>
Local Assistance Grants	(15,533)	4,222	4,222	4,222	4,222
State Operations	4,318	1,000	1,000	1,000	1,000
Personal Service	2,072	1,000	1,000	1,000	1,000
Non-Personal Service	2,246	0	0	0	0
<b>Judicial Conduct, Commission on</b>	<b>5,567</b>	<b>5,584</b>	<b>5,584</b>	<b>5,643</b>	<b>5,708</b>
State Operations	5,567	5,584	5,584	5,643	5,708
Personal Service	4,208	4,281	4,281	4,312	4,347
Non-Personal Service	1,359	1,303	1,303	1,331	1,361
<b>Judicial Nomination, Commission on</b>	<b>20</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	20	30	30	30	30
Non-Personal Service	20	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	14	38	38	38	38
Non-Personal Service	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>23,369</b>	<b>21,306</b>	<b>21,306</b>	<b>21,306</b>	<b>21,306</b>
Local Assistance Grants	805	911	911	911	911
State Operations	22,564	20,395	20,395	20,395	20,395
Personal Service	16,694	14,221	14,221	14,221	14,221
Non-Personal Service	5,870	6,174	6,174	6,174	6,174
<b>State Police, Division of</b>	<b>652,278</b>	<b>610,562</b>	<b>621,724</b>	<b>626,724</b>	<b>626,724</b>
State Operations	652,278	610,562	621,724	626,724	626,724
Personal Service	607,551	572,243	583,075	583,075	583,075
Non-Personal Service	44,727	38,319	38,649	43,649	43,649
<b>Statewide Financial System</b>	<b>30,070</b>	<b>30,137</b>	<b>30,143</b>	<b>30,143</b>	<b>30,143</b>
State Operations	30,070	30,137	30,143	30,143	30,143
Personal Service	10,234	11,350	11,350	11,350	11,350
Non-Personal Service	19,836	18,787	18,793	18,793	18,793
<b>Victim Services, Office of</b>	<b>1,870</b>	<b>2,788</b>	<b>2,788</b>	<b>2,788</b>	<b>2,788</b>
Local Assistance Grants	1,870	2,788	2,788	2,788	2,788
<b>Functional Total</b>	<b>3,510,462</b>	<b>3,472,765</b>	<b>3,495,354</b>	<b>3,503,169</b>	<b>3,510,389</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,430,239</b>	<b>1,454,075</b>	<b>1,486,252</b>	<b>1,527,180</b>	<b>1,552,604</b>
Local Assistance Grants	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
State Operations	777	0	0	0	0
Non-Personal Service	777	0	0	0	0
<b>Higher Education Services Corporation, New York State</b>	<b>1,009,146</b>	<b>1,068,031</b>	<b>1,102,574</b>	<b>1,123,170</b>	<b>1,134,672</b>
Local Assistance Grants	1,009,146	1,068,031	1,102,574	1,123,170	1,134,672
<b>State University of New York</b>	<b>551,618</b>	<b>508,679</b>	<b>508,267</b>	<b>507,767</b>	<b>507,434</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Local Assistance Grants	500,675	508,679	508,267	507,767	507,434
State Operations	9,841	0	0	0	0
Personal Service	1,591	0	0	0	0
Non-Personal Service	8,250	0	0	0	0
General State Charges	41,102	0	0	0	0
<b>Functional Total</b>	<b>2,991,003</b>	<b>3,030,785</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>41,819</b>	<b>45,415</b>	<b>45,155</b>	<b>45,155</b>	<b>45,155</b>
Local Assistance Grants	38,332	41,095	40,835	40,835	40,835
State Operations	3,487	4,320	4,320	4,320	4,320
Personal Service	2,253	2,498	2,498	2,498	2,498
Non-Personal Service	1,234	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>22,253,306</b>	<b>23,486,691</b>	<b>25,112,465</b>	<b>26,495,287</b>	<b>27,911,454</b>
<b>School Aid</b>	<b>20,133,021</b>	<b>21,101,245</b>	<b>22,679,091</b>	<b>23,930,797</b>	<b>25,241,358</b>
Local Assistance Grants	20,133,021	21,101,245	22,679,091	23,930,797	25,241,358
<b>Special Education Categorical Programs</b>	<b>1,316,581</b>	<b>1,437,400</b>	<b>1,539,890</b>	<b>1,657,380</b>	<b>1,784,228</b>
Local Assistance Grants	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<b>All Other</b>	<b>803,704</b>	<b>948,046</b>	<b>893,484</b>	<b>907,110</b>	<b>885,868</b>
Local Assistance Grants	758,709	879,593	836,501	850,127	828,885
State Operations	44,995	68,453	56,983	56,983	56,983
Personal Service	27,609	26,365	25,275	25,275	25,275
Non-Personal Service	17,386	42,088	31,708	31,708	31,708
<b>Functional Total</b>	<b>22,295,125</b>	<b>23,532,106</b>	<b>25,157,620</b>	<b>26,540,442</b>	<b>27,956,609</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>21,079</b>	<b>24,422</b>	<b>23,895</b>	<b>23,895</b>	<b>23,895</b>
State Operations	21,079	24,422	23,895	23,895	23,895
Personal Service	18,945	22,216	22,216	22,216	22,216
Non-Personal Service	2,134	2,206	1,679	1,679	1,679
<b>Civil Service, Department of</b>	<b>12,951</b>	<b>12,451</b>	<b>12,451</b>	<b>12,544</b>	<b>12,643</b>
State Operations	12,951	12,451	12,451	12,544	12,643
Personal Service	11,407	12,050	12,050	12,138	12,229
Non-Personal Service	1,544	401	401	406	414
<b>Deferred Compensation Board</b>	<b>61</b>	<b>57</b>	<b>57</b>	<b>57</b>	<b>57</b>
State Operations	61	57	57	57	57
Personal Service	24	32	32	32	32
Non-Personal Service	37	25	25	25	25
<b>Elections, State Board of</b>	<b>7,697</b>	<b>8,482</b>	<b>8,482</b>	<b>8,587</b>	<b>8,697</b>
Local Assistance Grants	93	0	0	0	0
State Operations	7,604	8,482	8,482	8,587	8,697
Personal Service	5,639	5,909	5,875	6,018	6,063
Non-Personal Service	1,965	2,573	2,607	2,569	2,634
<b>Employee Relations, Office of</b>	<b>2,247</b>	<b>2,581</b>	<b>2,581</b>	<b>2,601</b>	<b>2,621</b>
State Operations	2,247	2,581	2,581	2,601	2,621
Personal Service	2,200	2,510	2,510	2,529	2,548
Non-Personal Service	47	71	71	72	73
<b>Gaming Commission, New York State</b>	<b>5,608</b>	<b>6,771</b>	<b>6,771</b>	<b>6,771</b>	<b>6,771</b>
State Operations	5,608	6,771	6,771	6,771	6,771
Personal Service	3,362	4,282	4,282	4,282	4,282
Non-Personal Service	2,246	2,489	2,489	2,489	2,489
<b>General Services, Office of</b>	<b>146,202</b>	<b>161,697</b>	<b>161,697</b>	<b>161,697</b>	<b>161,697</b>
State Operations	146,202	161,697	161,697	161,697	161,697

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	66,839	77,901	77,901	77,901	77,901
Non-Personal Service	79,363	83,796	83,796	83,796	83,796
<b>Inspector General, Office of the</b>	<b>7,061</b>	<b>7,367</b>	<b>7,367</b>	<b>7,427</b>	<b>7,487</b>
State Operations	7,061	7,367	7,367	7,427	7,487
Personal Service	6,230	6,552	6,552	6,600	6,648
Non-Personal Service	831	815	815	827	839
<b>Labor Management Committees</b>	<b>24,882</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>
State Operations	24,882	25,000	25,000	25,000	25,000
Personal Service	6,619	5,446	5,446	5,446	5,487
Non-Personal Service	18,263	19,554	19,554	19,554	19,513
<b>Prevention of Domestic Violence, Office for</b>	<b>2,039</b>	<b>2,376</b>	<b>2,476</b>	<b>2,476</b>	<b>2,576</b>
Local Assistance Grants	575	785	885	885	985
State Operations	1,464	1,591	1,591	1,591	1,591
Personal Service	1,351	1,388	1,388	1,388	1,388
Non-Personal Service	113	203	203	203	203
<b>Public Employment Relations Board</b>	<b>3,400</b>	<b>3,529</b>	<b>3,529</b>	<b>3,560</b>	<b>3,589</b>
State Operations	3,400	3,529	3,529	3,560	3,589
Personal Service	3,207	3,336	3,336	3,363	3,388
Non-Personal Service	193	193	193	197	201
<b>Public Integrity, Commission on</b>	<b>4,332</b>	<b>5,531</b>	<b>5,531</b>	<b>5,576</b>	<b>5,630</b>
State Operations	4,332	5,531	5,531	5,576	5,630
Personal Service	3,480	4,620	4,620	4,646	4,681
Non-Personal Service	852	911	911	930	949
<b>State, Department of</b>	<b>27,242</b>	<b>24,927</b>	<b>18,601</b>	<b>18,601</b>	<b>18,601</b>
Local Assistance Grants	15,063	11,738	6,440	6,440	6,440
State Operations	12,179	13,189	12,161	12,161	12,161
Personal Service	11,756	11,922	11,922	11,922	11,922
Non-Personal Service	423	1,267	239	239	239
<b>Tax Appeals, Division of</b>	<b>3,035</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>
State Operations	3,035	3,040	3,040	3,040	3,040
Personal Service	2,763	2,870	2,870	2,870	2,870
Non-Personal Service	272	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>264,676</b>	<b>255,993</b>	<b>255,993</b>	<b>255,368</b>	<b>255,993</b>
Local Assistance Grants	914	926	926	926	926
State Operations	263,762	255,067	255,067	254,442	255,067
Personal Service	247,132	226,014	226,014	225,713	226,014
Non-Personal Service	16,630	29,053	29,053	28,729	29,053
<b>Technology, Office for</b>	<b>505,949</b>	<b>532,574</b>	<b>565,206</b>	<b>576,936</b>	<b>576,936</b>
State Operations	505,949	532,574	565,206	576,936	576,936
Personal Service	283,573	284,831	284,801	284,801	284,801
Non-Personal Service	222,376	247,743	280,405	292,135	292,135
<b>Veterans' Affairs, Division of</b>	<b>12,922</b>	<b>15,423</b>	<b>13,808</b>	<b>13,873</b>	<b>13,873</b>
Local Assistance Grants	7,855	9,252	7,637	7,637	7,637
State Operations	5,067	6,171	6,171	6,236	6,236
Personal Service	4,731	5,879	5,879	5,938	5,938
Non-Personal Service	336	292	292	298	298
<b>Welfare Inspector General, Office of</b>	<b>569</b>	<b>672</b>	<b>672</b>	<b>686</b>	<b>701</b>
State Operations	569	672	672	686	701
Personal Service	511	617	617	621	626
Non-Personal Service	58	55	55	65	75
<b>Functional Total</b>	<b>1,051,952</b>	<b>1,092,893</b>	<b>1,117,157</b>	<b>1,128,695</b>	<b>1,129,807</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>158,450</b>	<b>162,754</b>	<b>162,404</b>	<b>162,404</b>	<b>162,404</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	126,425	130,730	130,380	130,380	130,380
Personal Service	101,198	102,672	102,672	102,672	102,672
Non-Personal Service	25,227	28,058	27,708	27,708	27,708
<b>Executive Chamber</b>	<b>13,704</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,704	13,578	13,578	13,578	13,578
Personal Service	10,669	11,469	11,813	11,813	11,813
Non-Personal Service	3,035	2,109	1,765	1,765	1,765
<b>Judiciary</b>	<b>2,504,174</b>	<b>2,598,300</b>	<b>2,674,003</b>	<b>2,709,003</b>	<b>2,709,003</b>
Local Assistance Grants	2,451	2,400	17,400	17,400	17,400
State Operations	1,838,149	1,914,100	1,914,100	1,939,500	1,942,000
Personal Service	1,451,032	1,497,900	1,497,900	1,523,300	1,525,800
Non-Personal Service	387,117	416,200	416,200	416,200	416,200
General State Charges	663,574	681,800	742,503	752,103	749,603
<b>Law, Department of</b>	<b>102,098</b>	<b>102,838</b>	<b>102,838</b>	<b>102,838</b>	<b>103,761</b>
State Operations	102,098	102,838	102,838	102,838	103,761
Personal Service	89,952	89,405	89,405	89,405	90,070
Non-Personal Service	12,146	13,433	13,433	13,433	13,691
<b>Legislature</b>	<b>214,111</b>	<b>217,845</b>	<b>217,845</b>	<b>217,845</b>	<b>217,845</b>
State Operations	214,111	217,845	217,845	217,845	217,845
Personal Service	167,444	166,331	166,331	166,331	166,331
Non-Personal Service	46,667	51,514	51,514	51,514	51,514
<b>Lieutenant Governor, Office of the</b>	<b>499</b>	<b>614</b>	<b>614</b>	<b>614</b>	<b>614</b>
State Operations	499	614	614	614	614
Personal Service	336	513	523	523	523
Non-Personal Service	163	101	91	91	91
<b>Functional Total</b>	<b>2,993,036</b>	<b>3,095,929</b>	<b>3,171,282</b>	<b>3,206,282</b>	<b>3,207,205</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>728,288</b>	<b>714,756</b>	<b>762,710</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance Grants	728,288	714,756	762,710	763,347	763,347
<b>Efficiency Incentive Grants Program</b>	<b>1,289</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,289	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>11,846</b>	<b>9,646</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	11,846	9,646	0	0	0
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>4,681,599</b>	<b>4,738,965</b>	<b>5,077,501</b>	<b>5,276,235</b>	<b>5,663,396</b>
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
<b>Miscellaneous</b>	<b>(154,891)</b>	<b>956,844</b>	<b>1,263,958</b>	<b>1,049,958</b>	<b>1,397,958</b>
Local Assistance Grants	(187,744)	671,855	686,571	686,571	921,571
State Operations	21,897	280,569	572,967	358,967	471,967
Personal Service	63	127,255	147,255	147,255	187,255
Non-Personal Service	21,834	153,314	425,712	211,712	284,712



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
General State Charges	10,956	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>4,526,708</u>	<u>5,695,809</u>	<u>6,341,459</u>	<u>6,326,193</u>	<u>7,061,354</u>
<b>TOTAL GENERAL FUND SPENDING</b>	<u>56,666,740</u>	<u>59,681,019</u>	<u>63,565,534</u>	<u>66,181,454</u>	<u>69,554,074</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	59,962	63,925	66,701	57,287	57,287
Alcoholic Beverage Control, Division of	0	12,258	12,683	12,683	12,744
Economic Development, Department of	63,748	73,486	73,000	73,000	73,000
Empire State Development Corporation	58,756	143,374	147,346	147,346	147,346
Olympic Regional Development Authority	3,011	2,736	2,736	2,736	2,736
<b>Functional Total</b>	<b>185,477</b>	<b>295,779</b>	<b>302,466</b>	<b>293,052</b>	<b>293,113</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	98,676	94,860	102,206	106,312	114,312
Parks, Recreation and Historic Preservation, Office of	115,847	111,068	110,573	110,573	110,573
<b>Functional Total</b>	<b>218,820</b>	<b>210,260</b>	<b>217,111</b>	<b>221,217</b>	<b>229,217</b>
<b>TRANSPORTATION</b>					
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	112,503	107,020	102,020	102,020	102,020
<b>Functional Total</b>	<b>129,072</b>	<b>107,020</b>	<b>102,020</b>	<b>102,020</b>	<b>102,020</b>
<b>HEALTH</b>					
Aging, Office for the	128,410	130,911	133,943	139,124	144,435
Health, Department of	13,271,579	13,896,629	14,814,087	15,734,185	16,734,264
<i>Medical Assistance</i>	11,551,940	11,880,414	12,783,673	13,709,288	14,708,710
<i>Essential Plan</i>	32,575	377,137	384,360	394,642	405,812
<i>Medicaid Administration</i>	836,542	765,528	764,836	733,519	708,888
<i>Public Health</i>	850,522	873,550	881,218	896,736	910,854
Medicaid Inspector General, Office of the	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>13,420,608</b>	<b>14,047,400</b>	<b>14,967,890</b>	<b>15,893,169</b>	<b>16,898,559</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,966,086	1,895,187	1,925,773	1,947,095	1,969,262
<i>OCFS</i>	1,877,164	1,804,914	1,833,773	1,854,914	1,875,193
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	11,952	9,924	33,783	38,783	43,783
Human Rights, Division of	10,263	9,921	9,921	9,921	9,921
Labor, Department of	12,355	12,688	288	288	288
National and Community Service	458	687	687	690	690
Temporary and Disability Assistance, Office of	1,360,435	1,381,943	1,406,283	1,424,683	1,434,483
<i>Welfare Assistance</i>	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<i>All Other</i>	238,076	223,750	226,160	232,560	240,360
<b>Functional Total</b>	<b>3,361,549</b>	<b>3,310,350</b>	<b>3,376,735</b>	<b>3,421,460</b>	<b>3,458,427</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	26,276	31,878	34,853	34,853	34,853
<i>OASAS</i>	4,951	10,553	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	37,210	39,449	39,972	40,737	41,387
Mental Health, Office of	285,014	273,111	301,481	312,411	323,614
<i>OMH</i>	4,778	800	800	800	800
<i>OMH - Other</i>	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	863,457	691,534	1,050,782	1,206,741	1,319,914
<i>OPWDD</i>	84	0	0	0	0
<i>OPWDD - Other</i>	863,373	691,534	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>1,211,957</b>	<b>1,035,972</b>	<b>1,427,088</b>	<b>1,594,742</b>	<b>1,719,768</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,695,483	2,625,077	2,632,333	2,635,089	2,642,244
Criminal Justice Services, Division of	162,498	169,370	173,535	173,535	173,535
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	(11,215)	5,222	5,222	5,222	5,222
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	23,369	21,306	21,306	21,306	21,306
State Police, Division of	652,278	610,562	621,724	626,724	626,724
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	1,870	2,788	2,788	2,788	2,788
<b>Functional Total</b>	<b>3,510,462</b>	<b>3,472,765</b>	<b>3,495,354</b>	<b>3,503,169</b>	<b>3,510,389</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,430,239	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Services Corporation, New York State	1,009,146	1,068,031	1,102,574	1,123,170	1,134,672
State University of New York	551,618	508,679	508,267	507,767	507,434
<b>Functional Total</b>	<b>2,991,003</b>	<b>3,030,785</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>
<b>EDUCATION</b>					
Arts, Council on the	41,819	45,415	45,155	45,155	45,155
Education, Department of	22,253,306	23,486,691	25,112,465	26,495,287	27,911,454
<i>School Aid</i>	20,133,021	21,101,245	22,679,091	23,930,797	25,241,358
<i>Special Education Categorical Programs</i>	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	803,704	948,046	893,484	907,110	885,868
<b>Functional Total</b>	<b>22,295,125</b>	<b>23,532,106</b>	<b>25,157,620</b>	<b>26,540,442</b>	<b>27,956,609</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	21,079	24,422	23,895	23,895	23,895
Civil Service, Department of	12,951	12,451	12,451	12,544	12,643
Deferred Compensation Board	61	57	57	57	57
Elections, State Board of	7,697	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	5,608	6,771	6,771	6,771	6,771
General Services, Office of	146,202	161,697	161,697	161,697	161,697
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,000	25,000	25,000	25,000
Prevention of Domestic Violence, Office for	2,039	2,376	2,476	2,476	2,576
Public Employment Relations Board	3,400	3,529	3,529	3,560	3,589
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	27,242	24,927	18,601	18,601	18,601
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	264,676	255,993	255,993	255,368	255,993
Technology, Office for	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	12,922	15,423	13,808	13,873	13,873
Welfare Inspector General, Office of	569	672	672	686	701
<b>Functional Total</b>	<b>1,051,952</b>	<b>1,092,893</b>	<b>1,117,157</b>	<b>1,128,695</b>	<b>1,129,807</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	158,450	162,754	162,404	162,404	162,404
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	2,504,174	2,598,300	2,674,003	2,709,003	2,709,003
Law, Department of	102,098	102,838	102,838	102,838	103,761
Legislature	214,111	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<b>2,993,036</b>	<b>3,095,929</b>	<b>3,171,282</b>	<b>3,206,282</b>	<b>3,207,205</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Miscellaneous	(154,891)	956,844	1,263,958	1,049,958	1,397,958
<b>Functional Total</b>	<u>4,526,708</u>	<u>5,695,809</u>	<u>6,341,459</u>	<u>6,326,193</u>	<u>7,061,354</u>
<b>TOTAL GENERAL FUND SPENDING</b>	<u>56,666,740</u>	<u>59,681,019</u>	<u>63,565,534</u>	<u>66,181,454</u>	<u>69,554,074</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,279	32,250	35,026	25,612	25,612
Economic Development, Department of	44,963	54,065	54,379	54,379	54,379
Empire State Development Corporation	58,756	143,374	147,346	147,346	147,346
<b>Functional Total</b>	<b>130,998</b>	<b>229,689</b>	<b>236,751</b>	<b>227,337</b>	<b>227,337</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	4,262	5,000	7,305	5,390	5,390
Parks, Recreation and Historic Preservation, Office of	4,891	3,245	2,750	2,750	2,750
<b>Functional Total</b>	<b>9,153</b>	<b>8,245</b>	<b>10,055</b>	<b>8,140</b>	<b>8,140</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	111,351	105,851	100,851	100,851	100,851
<b>Functional Total</b>	<b>111,351</b>	<b>105,851</b>	<b>100,851</b>	<b>100,851</b>	<b>100,851</b>
<b>HEALTH</b>					
Aging, Office for the	127,134	129,680	132,712	137,893	143,204
Health, Department of	12,849,883	13,427,248	14,320,304	15,240,377	16,232,358
<i>Medical Assistance</i>	11,551,940	11,880,414	12,783,673	13,709,288	14,708,710
<i>Essential Plan</i>	19,215	333,917	344,602	354,940	364,878
<i>Medicaid Administration</i>	565,205	468,603	437,206	405,808	374,411
<i>Public Health</i>	713,523	744,314	754,823	770,341	784,359
<b>Functional Total</b>	<b>12,977,017</b>	<b>13,556,928</b>	<b>14,453,016</b>	<b>15,378,270</b>	<b>16,375,562</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,733,442	1,668,348	1,697,004	1,712,384	1,734,551
<i>OCFS</i>	1,644,520	1,578,075	1,605,004	1,620,203	1,640,482
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	4,764	5,374	29,233	34,233	39,233
Labor, Department of	12,149	12,400	0	0	0
National and Community Service	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,213,432	1,252,098	1,281,119	1,299,519	1,309,319
<i>Welfare Assistance</i>	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<i>All Other</i>	91,073	93,905	100,996	107,396	115,196
<b>Functional Total</b>	<b>2,963,929</b>	<b>2,938,570</b>	<b>3,007,706</b>	<b>3,046,486</b>	<b>3,083,453</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	26,276	31,878	34,853	34,853	34,853
<i>OASAS</i>	4,951	10,553	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	114	170	170	170	170
Mental Health, Office of	284,535	272,311	300,681	311,611	322,814
<i>OMH</i>	4,299	0	0	0	0
<i>OMH - Other</i>	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	863,457	691,534	1,050,782	1,206,741	1,319,914
<i>OPWDD</i>	84	0	0	0	0
<i>OPWDD - Other</i>	863,373	691,534	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>1,174,382</b>	<b>995,893</b>	<b>1,386,486</b>	<b>1,553,375</b>	<b>1,677,751</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	127,977	135,649	139,814	139,814	139,814
Homeland Security and Emergency Services, Division of	(15,533)	4,222	4,222	4,222	4,222
Military and Naval Affairs, Division of	805	911	911	911	911
Victim Services, Office of	1,870	2,788	2,788	2,788	2,788
<b>Functional Total</b>	<b>119,370</b>	<b>149,067</b>	<b>153,232</b>	<b>153,232</b>	<b>153,232</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Services Corporation, New York State	1,009,146	1,068,031	1,102,574	1,123,170	1,134,672
State University of New York	500,675	508,679	508,267	507,767	507,434
<b>Functional Total</b>	<b>2,939,283</b>	<b>3,030,785</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>
<b>EDUCATION</b>					
Arts, Council on the	38,332	41,095	40,835	40,835	40,835
Education, Department of	22,208,311	23,418,238	25,055,482	26,438,304	27,854,471
<i>School Aid</i>	20,133,021	21,101,245	22,679,091	23,930,797	25,241,358
<i>Special Education Categorical Programs</i>	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	758,709	879,593	836,501	850,127	828,885
<b>Functional Total</b>	<b>22,246,643</b>	<b>23,459,333</b>	<b>25,096,317</b>	<b>26,479,139</b>	<b>27,895,306</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	93	0	0	0	0
Prevention of Domestic Violence, Office for	575	785	885	885	985
State, Department of	15,063	11,738	6,440	6,440	6,440
Taxation and Finance, Department of	914	926	926	926	926
Veterans' Affairs, Division of	7,855	9,252	7,637	7,637	7,637
<b>Functional Total</b>	<b>24,500</b>	<b>22,701</b>	<b>15,888</b>	<b>15,888</b>	<b>15,988</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	2,451	2,400	17,400	17,400	17,400
<b>Functional Total</b>	<b>34,476</b>	<b>34,424</b>	<b>49,424</b>	<b>49,424</b>	<b>49,424</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(187,744)	671,855	686,571	686,571	921,571
<b>Functional Total</b>	<b>(187,744)</b>	<b>671,855</b>	<b>686,571</b>	<b>686,571</b>	<b>921,571</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>43,314,329</b>	<b>45,957,292</b>	<b>49,085,649</b>	<b>51,649,726</b>	<b>54,496,221</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	32,683	31,675	31,675	31,675	31,675
Alcoholic Beverage Control, Division of	0	12,258	12,683	12,683	12,744
Economic Development, Department of	18,785	19,421	18,621	18,621	18,621
Olympic Regional Development Authority	3,011	2,736	2,736	2,736	2,736
<b>Functional Total</b>	<b>54,479</b>	<b>66,090</b>	<b>65,715</b>	<b>65,715</b>	<b>65,776</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	94,414	89,860	94,901	100,922	108,922
Parks, Recreation and Historic Preservation, Office of	110,956	107,823	107,823	107,823	107,823
<b>Functional Total</b>	<b>209,667</b>	<b>202,015</b>	<b>207,056</b>	<b>213,077</b>	<b>221,077</b>
<b>TRANSPORTATION</b>					
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	1,152	1,169	1,169	1,169	1,169
<b>Functional Total</b>	<b>17,721</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>
<b>HEALTH</b>					
Aging, Office for the	1,276	1,231	1,231	1,231	1,231
Health, Department of	421,696	469,381	493,783	493,808	501,906
<i>Essential Plan</i>	13,360	43,220	39,758	39,702	40,934
<i>Medicaid Administration</i>	271,337	296,925	327,630	327,711	334,477
<i>Public Health</i>	136,999	129,236	126,395	126,395	126,495
Medicaid Inspector General, Office of the	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>443,591</b>	<b>490,472</b>	<b>514,874</b>	<b>514,899</b>	<b>522,997</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	232,644	226,839	228,769	234,711	234,711
<i>OCFS</i>	232,644	226,839	228,769	234,711	234,711
Housing and Community Renewal, Division of	7,188	4,550	4,550	4,550	4,550
Human Rights, Division of	10,263	9,921	9,921	9,921	9,921
Labor, Department of	206	288	288	288	288
National and Community Service	316	337	337	340	340
Temporary and Disability Assistance, Office of	147,003	129,845	125,164	125,164	125,164
<i>All Other</i>	147,003	129,845	125,164	125,164	125,164
<b>Functional Total</b>	<b>397,620</b>	<b>371,780</b>	<b>369,029</b>	<b>374,974</b>	<b>374,974</b>
<b>MENTAL HYGIENE</b>					
Justice Center	37,096	39,279	39,802	40,567	41,217
Mental Health, Office of	479	800	800	800	800
<i>OMH</i>	479	800	800	800	800
<b>Functional Total</b>	<b>37,575</b>	<b>40,079</b>	<b>40,602</b>	<b>41,367</b>	<b>42,017</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,691,232	2,619,580	2,626,836	2,629,592	2,636,747
Criminal Justice Services, Division of	34,521	33,721	33,721	33,721	33,721
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	4,318	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	22,564	20,395	20,395	20,395	20,395
State Police, Division of	652,278	610,562	621,724	626,724	626,724
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
<b>Functional Total</b>	<b>3,391,092</b>	<b>3,323,698</b>	<b>3,342,122</b>	<b>3,349,937</b>	<b>3,357,157</b>
<b>HIGHER EDUCATION</b>					
City University of New York	777	0	0	0	0
State University of New York	9,841	0	0	0	0
<b>Functional Total</b>	<b>10,618</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	3,487	4,320	4,320	4,320	4,320
Education, Department of	44,995	68,453	56,983	56,983	56,983
<i>All Other</i>	44,995	68,453	56,983	56,983	56,983
<b>Functional Total</b>	<u>48,482</u>	<u>72,773</u>	<u>61,303</u>	<u>61,303</u>	<u>61,303</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	21,079	24,422	23,895	23,895	23,895
Civil Service, Department of	12,951	12,451	12,451	12,544	12,643
Deferred Compensation Board	61	57	57	57	57
Elections, State Board of	7,604	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	5,608	6,771	6,771	6,771	6,771
General Services, Office of	146,202	161,697	161,697	161,697	161,697
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,000	25,000	25,000	25,000
Prevention of Domestic Violence, Office for	1,464	1,591	1,591	1,591	1,591
Public Employment Relations Board	3,400	3,529	3,529	3,560	3,589
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	12,179	13,189	12,161	12,161	12,161
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	263,762	255,067	255,067	254,442	255,067
Technology, Office for	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,067	6,171	6,171	6,236	6,236
Welfare Inspector General, Office of	569	672	672	686	701
<b>Functional Total</b>	<u>1,027,452</u>	<u>1,070,192</u>	<u>1,101,269</u>	<u>1,112,807</u>	<u>1,113,819</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	126,425	130,730	130,380	130,380	130,380
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	1,838,149	1,914,100	1,914,100	1,939,500	1,942,000
Law, Department of	102,098	102,838	102,838	102,838	103,761
Legislature	214,111	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<u>2,294,986</u>	<u>2,379,705</u>	<u>2,379,355</u>	<u>2,404,755</u>	<u>2,408,178</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	21,897	280,569	572,967	358,967	471,967
<b>Functional Total</b>	<u>21,897</u>	<u>280,569</u>	<u>572,967</u>	<u>358,967</u>	<u>471,967</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>7,955,180</u></u>	<u><u>8,298,542</u></u>	<u><u>8,655,461</u></u>	<u><u>8,498,970</u></u>	<u><u>8,640,434</u></u>



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	25,269	26,306	26,306	26,306	26,306
Alcoholic Beverage Control, Division of	0	8,034	8,147	8,147	8,208
Economic Development, Department of	11,974	13,226	13,226	13,226	13,226
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
<b>Functional Total</b>	<b>39,791</b>	<b>50,114</b>	<b>50,227</b>	<b>50,227</b>	<b>50,288</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	85,641	81,470	81,574	81,574	88,774
Parks, Recreation and Historic Preservation, Office of	105,937	100,476	100,476	100,476	100,476
<b>Functional Total</b>	<b>195,614</b>	<b>185,973</b>	<b>186,077</b>	<b>186,077</b>	<b>193,277</b>
<b>HEALTH</b>					
Aging, Office for the	1,110	1,125	1,125	1,125	1,125
Health, Department of	119,185	139,004	144,062	151,999	147,612
<i>Essential Plan</i>	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	31,809	53,965	61,923	69,818	65,387
<i>Public Health</i>	86,985	83,664	80,723	80,723	80,723
Medicaid Inspector General, Office of the	16,621	15,781	15,781	15,781	15,781
<b>Functional Total</b>	<b>136,916</b>	<b>155,910</b>	<b>160,968</b>	<b>168,905</b>	<b>164,518</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	164,764	159,086	159,086	160,669	160,669
<i>OCFS</i>	164,764	159,086	159,086	160,669	160,669
Housing and Community Renewal, Division of	4,919	4,199	4,199	4,199	4,199
Human Rights, Division of	9,398	9,461	9,461	9,461	9,461
Labor, Department of	38	88	88	88	88
National and Community Service	311	328	328	331	331
Temporary and Disability Assistance, Office of	69,932	58,985	58,985	58,985	58,985
<i>All Other</i>	69,932	58,985	58,985	58,985	58,985
<b>Functional Total</b>	<b>249,362</b>	<b>232,147</b>	<b>232,147</b>	<b>233,733</b>	<b>233,733</b>
<b>MENTAL HYGIENE</b>					
Justice Center	25,430	26,264	26,421	26,810	27,073
<b>Functional Total</b>	<b>25,430</b>	<b>26,264</b>	<b>26,421</b>	<b>26,810</b>	<b>27,073</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,042	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,166,584	2,070,211	2,077,417	2,080,173	2,087,328
Criminal Justice Services, Division of	24,246	25,190	25,190	25,190	25,190
Disaster Assistance	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	2,072	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	16,694	14,221	14,221	14,221	14,221
State Police, Division of	607,551	572,243	583,075	583,075	583,075
Statewide Financial System	10,234	11,350	11,350	11,350	11,350
<b>Functional Total</b>	<b>2,831,863</b>	<b>2,700,910</b>	<b>2,718,948</b>	<b>2,721,735</b>	<b>2,728,925</b>
<b>HIGHER EDUCATION</b>					
State University of New York	1,591	0	0	0	0
<b>Functional Total</b>	<b>1,591</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>					
Arts, Council on the	2,253	2,498	2,498	2,498	2,498
Education, Department of	27,609	26,365	25,275	25,275	25,275
<i>All Other</i>	27,609	26,365	25,275	25,275	25,275
<b>Functional Total</b>	<b>29,862</b>	<b>28,863</b>	<b>27,773</b>	<b>27,773</b>	<b>27,773</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	18,945	22,216	22,216	22,216	22,216
Civil Service, Department of	11,407	12,050	12,050	12,138	12,229

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Deferred Compensation Board	24	32	32	32	32
Elections, State Board of	5,639	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	3,362	4,282	4,282	4,282	4,282
General Services, Office of	66,839	77,901	77,901	77,901	77,901
Inspector General, Office of the	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,351	1,388	1,388	1,388	1,388
Public Employment Relations Board	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	3,480	4,620	4,620	4,646	4,681
State, Department of	11,756	11,922	11,922	11,922	11,922
Tax Appeals, Division of	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	247,132	226,014	226,014	225,713	226,014
Technology, Office for	283,573	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	4,731	5,879	5,879	5,938	5,938
Welfare Inspector General, Office of	511	617	617	621	626
<b>Functional Total</b>	<u>679,769</u>	<u>678,375</u>	<u>678,311</u>	<u>678,424</u>	<u>679,034</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	101,198	102,672	102,672	102,672	102,672
Executive Chamber	10,669	11,469	11,813	11,813	11,813
Judiciary	1,451,032	1,497,900	1,497,900	1,523,300	1,525,800
Law, Department of	89,952	89,405	89,405	89,405	90,070
Legislature	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	336	513	523	523	523
<b>Functional Total</b>	<u>1,820,631</u>	<u>1,868,290</u>	<u>1,868,644</u>	<u>1,894,044</u>	<u>1,897,209</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	63	127,255	147,255	147,255	187,255
<b>Functional Total</b>	<u>63</u>	<u>127,255</u>	<u>147,255</u>	<u>147,255</u>	<u>187,255</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>6,010,892</u>	<u>6,054,101</u>	<u>6,096,771</u>	<u>6,134,983</u>	<u>6,189,085</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	7,414	5,369	5,369	5,369	5,369
Alcoholic Beverage Control, Division of	0	4,224	4,536	4,536	4,536
Economic Development, Department of	6,811	6,195	5,395	5,395	5,395
Olympic Regional Development Authority	463	188	188	188	188
<b>Functional Total</b>	<b>14,688</b>	<b>15,976</b>	<b>15,488</b>	<b>15,488</b>	<b>15,488</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	261	305	305	305	305
Environmental Conservation, Department of	8,773	8,390	13,327	19,348	20,148
Parks, Recreation and Historic Preservation, Office of	5,019	7,347	7,347	7,347	7,347
<b>Functional Total</b>	<b>14,053</b>	<b>16,042</b>	<b>20,979</b>	<b>27,000</b>	<b>27,800</b>
<b>TRANSPORTATION</b>					
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	1,152	1,169	1,169	1,169	1,169
<b>Functional Total</b>	<b>17,721</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>
<b>HEALTH</b>					
Aging, Office for the	166	106	106	106	106
Health, Department of	302,511	330,377	349,721	341,809	354,294
<i>Essential Plan</i>	12,969	41,845	38,342	38,244	39,432
<i>Medicaid Administration</i>	239,528	242,960	265,707	257,893	269,090
<i>Public Health</i>	50,014	45,572	45,672	45,672	45,772
Medicaid Inspector General, Office of the	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>306,675</b>	<b>334,562</b>	<b>353,906</b>	<b>345,994</b>	<b>358,479</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	67,880	67,753	69,683	74,042	74,042
<i>OCFS</i>	67,880	67,753	69,683	74,042	74,042
Housing and Community Renewal, Division of	2,269	351	351	351	351
Human Rights, Division of	865	460	460	460	460
Labor, Department of	168	200	200	200	200
National and Community Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	77,071	70,860	66,179	66,179	66,179
<i>All Other</i>	77,071	70,860	66,179	66,179	66,179
<b>Functional Total</b>	<b>148,258</b>	<b>139,633</b>	<b>136,882</b>	<b>141,241</b>	<b>141,241</b>
<b>MENTAL HYGIENE</b>					
Justice Center	11,666	13,015	13,381	13,757	14,144
Mental Health, Office of	479	800	800	800	800
<i>OMH</i>	479	800	800	800	800
<b>Functional Total</b>	<b>12,145</b>	<b>13,815</b>	<b>14,181</b>	<b>14,557</b>	<b>14,944</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	255	237	237	237	237
Correctional Services, Department of	524,648	549,369	549,419	549,419	549,419
Criminal Justice Services, Division of	10,275	8,531	8,531	8,531	8,531
Disaster Assistance	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	2,246	0	0	0	0
Judicial Conduct, Commission on	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	5,870	6,174	6,174	6,174	6,174
State Police, Division of	44,727	38,319	38,649	43,649	43,649
Statewide Financial System	19,836	18,787	18,793	18,793	18,793
<b>Functional Total</b>	<b>559,229</b>	<b>622,788</b>	<b>623,174</b>	<b>628,202</b>	<b>628,232</b>
<b>HIGHER EDUCATION</b>					
City University of New York	777	0	0	0	0
State University of New York	8,250	0	0	0	0
<b>Functional Total</b>	<b>9,027</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	1,234	1,822	1,822	1,822	1,822
Education, Department of	17,386	42,088	31,708	31,708	31,708
<i>All Other</i>	17,386	42,088	31,708	31,708	31,708
<b>Functional Total</b>	<u>18,620</u>	<u>43,910</u>	<u>33,530</u>	<u>33,530</u>	<u>33,530</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,134	2,206	1,679	1,679	1,679
Civil Service, Department of	1,544	401	401	406	414
Deferred Compensation Board	37	25	25	25	25
Elections, State Board of	1,965	2,573	2,607	2,569	2,634
Employee Relations, Office of	47	71	71	72	73
Gaming Commission, New York State	2,246	2,489	2,489	2,489	2,489
General Services, Office of	79,363	83,796	83,796	83,796	83,796
Inspector General, Office of the	831	815	815	827	839
Labor Management Committees	18,263	19,554	19,554	19,554	19,513
Prevention of Domestic Violence, Office for	113	203	203	203	203
Public Employment Relations Board	193	193	193	197	201
Public Integrity, Commission on	852	911	911	930	949
State, Department of	423	1,267	239	239	239
Tax Appeals, Division of	272	170	170	170	170
Taxation and Finance, Department of	16,630	29,053	29,053	28,729	29,053
Technology, Office for	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	336	292	292	298	298
Welfare Inspector General, Office of	58	55	55	65	75
<b>Functional Total</b>	<u>347,683</u>	<u>391,817</u>	<u>422,958</u>	<u>434,383</u>	<u>434,785</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	25,227	28,058	27,708	27,708	27,708
Executive Chamber	3,035	2,109	1,765	1,765	1,765
Judiciary	387,117	416,200	416,200	416,200	416,200
Law, Department of	12,146	13,433	13,433	13,433	13,691
Legislature	46,667	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	163	101	91	91	91
<b>Functional Total</b>	<u>474,355</u>	<u>511,415</u>	<u>510,711</u>	<u>510,711</u>	<u>510,969</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	21,834	153,314	425,712	211,712	284,712
<b>Functional Total</b>	<u>21,834</u>	<u>153,314</u>	<u>425,712</u>	<u>211,712</u>	<u>284,712</u>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<u><u>1,944,288</u></u>	<u><u>2,244,441</u></u>	<u><u>2,558,690</u></u>	<u><u>2,363,987</u></u>	<u><u>2,451,349</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>HIGHER EDUCATION</b>					
State University of New York	41,102	0	0	0	0
<b>Functional Total</b>	<u>41,102</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	663,574	681,800	742,503	752,103	749,603
<b>Functional Total</b>	<u>663,574</u>	<u>681,800</u>	<u>742,503</u>	<u>752,103</u>	<u>749,603</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Miscellaneous	10,956	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>4,692,555</u>	<u>4,743,385</u>	<u>5,081,921</u>	<u>5,280,655</u>	<u>5,667,816</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>5,397,231</u></u>	<u><u>5,425,185</u></u>	<u><u>5,824,424</u></u>	<u><u>6,032,758</u></u>	<u><u>6,417,419</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>83,541</b>	<b>73,947</b>	<b>73,284</b>	<b>79,734</b>	<b>79,803</b>
Local Assistance Grants	27,279	32,250	35,026	25,612	25,612
State Operations	54,745	40,275	36,780	52,599	52,604
Personal Service	27,923	29,020	29,025	29,030	29,035
Non-Personal Service/Indirect Costs	26,822	11,255	7,755	23,569	23,569
General State Charges	1,517	1,422	1,478	1,523	1,587
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>17,277</b>	<b>12,836</b>	<b>12,683</b>	<b>12,683</b>	<b>12,744</b>
State Operations	12,501	12,683	12,683	12,683	12,744
Personal Service	7,622	8,147	8,147	8,147	8,208
Non-Personal Service/Indirect Costs	4,879	4,536	4,536	4,536	4,536
General State Charges	4,776	153	0	0	0
<b><i>Economic Development, Department of</i></b>	<b>82,617</b>	<b>77,464</b>	<b>79,978</b>	<b>79,978</b>	<b>79,978</b>
Local Assistance Grants	62,153	56,065	59,379	59,379	59,379
State Operations	20,464	21,371	20,571	20,571	20,571
Personal Service	11,974	13,329	13,329	13,329	13,329
Non-Personal Service/Indirect Costs	8,490	8,042	7,242	7,242	7,242
General State Charges	0	28	28	28	28
<b><i>Empire State Development Corporation</i></b>	<b>58,756</b>	<b>143,374</b>	<b>147,346</b>	<b>147,346</b>	<b>147,346</b>
Local Assistance Grants	58,756	143,374	147,346	147,346	147,346
<b><i>Energy Research and Development Authority</i></b>	<b>3,808</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,842	0	0	0	0
State Operations	1,431	0	0	0	0
Personal Service	1,024	0	0	0	0
Non-Personal Service/Indirect Costs	407	0	0	0	0
General State Charges	535	0	0	0	0
<b><i>Financial Services, Department of</i></b>	<b>360,052</b>	<b>350,490</b>	<b>359,586</b>	<b>362,129</b>	<b>365,668</b>
Local Assistance Grants	80,686	57,174	57,049	57,049	57,049
State Operations	201,930	210,626	211,926	211,926	211,926
Personal Service	149,000	154,155	155,905	155,905	155,905
Non-Personal Service/Indirect Costs	52,930	56,471	56,021	56,021	56,021
General State Charges	77,436	82,690	90,611	93,154	96,693
<b><i>Olympic Regional Development Authority</i></b>	<b>3,111</b>	<b>2,886</b>	<b>2,886</b>	<b>2,886</b>	<b>2,886</b>
State Operations	3,091	2,886	2,886	2,886	2,886
Personal Service	2,593	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	498	338	338	338	338
General State Charges	20	0	0	0	0
<b><i>Public Service Department</i></b>	<b>68,475</b>	<b>73,026</b>	<b>74,271</b>	<b>75,670</b>	<b>75,670</b>
Local Assistance Grants	0	172	172	172	172
State Operations	49,483	49,347	49,358	49,358	49,358
Personal Service	40,858	41,886	41,903	41,903	41,903
Non-Personal Service/Indirect Costs	8,625	7,461	7,455	7,455	7,455
General State Charges	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>677,637</b>	<b>734,023</b>	<b>750,034</b>	<b>760,426</b>	<b>764,095</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b><i>Adirondack Park Agency</i></b>	<b>4,297</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>
State Operations	4,297	4,332	4,332	4,332	4,332
Personal Service	4,036	4,027	4,027	4,027	4,027
Non-Personal Service/Indirect Costs	261	305	305	305	305
<b><i>Environmental Conservation, Department of</i></b>	<b>287,360</b>	<b>281,631</b>	<b>285,372</b>	<b>284,434</b>	<b>284,795</b>
Local Assistance Grants	4,262	5,000	7,305	5,390	5,390
State Operations	237,912	229,041	228,892	229,561	230,451
Personal Service	181,598	174,466	174,319	174,600	174,717

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Non-Personal Service/Indirect Costs	56,314	54,575	54,573	54,961	55,734
General State Charges	45,186	47,590	49,175	49,483	48,954
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>195,155</b>	<b>191,249</b>	<b>190,350</b>	<b>186,625</b>	<b>186,625</b>
Local Assistance Grants	9,645	8,695	8,200	8,200	8,200
State Operations	180,898	176,737	176,737	175,487	175,487
Personal Service	135,656	131,683	131,683	131,433	131,433
Non-Personal Service/Indirect Costs	45,242	45,054	45,054	44,054	44,054
General State Charges	2,863	3,317	2,938	2,938	2,938
Capital Projects	1,749	2,500	2,475	0	0
<b>Functional Total</b>	<b>486,812</b>	<b>477,212</b>	<b>480,054</b>	<b>475,391</b>	<b>475,752</b>
<b>TRANSPORTATION</b>					
<b>Motor Vehicles, Department of</b>	<b>83,762</b>	<b>69,121</b>	<b>70,628</b>	<b>70,706</b>	<b>70,706</b>
State Operations	59,397	49,322	49,972	49,972	49,972
Personal Service	43,684	35,195	35,845	35,845	35,845
Non-Personal Service/Indirect Costs	15,713	14,127	14,127	14,127	14,127
General State Charges	24,365	19,799	20,656	20,734	20,734
<b>Thruway Authority, New York State</b>	<b>16,569</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	16,569	0	0	0	0
Non-Personal Service/Indirect Costs	16,569	0	0	0	0
<b>Transportation, Department of</b>	<b>4,767,454</b>	<b>4,977,078</b>	<b>5,065,309</b>	<b>5,122,519</b>	<b>5,218,174</b>
Local Assistance Grants	4,745,228	4,952,339	5,039,869	5,096,832	5,192,487
State Operations	18,588	20,625	20,812	20,812	20,812
Personal Service	6,407	7,167	7,347	7,347	7,347
Non-Personal Service/Indirect Costs	12,181	13,458	13,465	13,465	13,465
General State Charges	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>4,867,785</b>	<b>5,046,199</b>	<b>5,135,937</b>	<b>5,193,225</b>	<b>5,288,880</b>
<b>HEALTH</b>					
<b>Aging, Office for the</b>	<b>128,410</b>	<b>130,912</b>	<b>133,944</b>	<b>139,125</b>	<b>144,436</b>
Local Assistance Grants	127,134	129,680	132,712	137,893	143,204
State Operations	1,276	1,232	1,232	1,232	1,232
Personal Service	1,110	1,125	1,125	1,125	1,125
Non-Personal Service/Indirect Costs	166	107	107	107	107
<b>Health, Department of</b>	<b>19,823,519</b>	<b>20,448,590</b>	<b>21,306,631</b>	<b>22,231,235</b>	<b>23,246,866</b>
<b>Medical Assistance</b>	<b>16,868,571</b>	<b>17,381,169</b>	<b>18,200,077</b>	<b>19,121,172</b>	<b>20,002,383</b>
Local Assistance Grants	16,868,571	17,381,169	18,200,077	19,121,172	20,002,383
<b>Essential Plan</b>	<b>32,575</b>	<b>377,137</b>	<b>384,360</b>	<b>394,642</b>	<b>405,812</b>
Local Assistance Grants	19,215	333,917	344,602	354,940	364,878
State Operations	13,360	43,220	39,758	39,702	40,934
Personal Service	391	1,375	1,416	1,458	1,502
Non-Personal Service/Indirect Costs	12,969	41,845	38,342	38,244	39,432
<b>Medicaid Administration</b>	<b>836,542</b>	<b>765,528</b>	<b>764,836</b>	<b>733,519</b>	<b>708,888</b>
Local Assistance Grants	565,205	468,603	437,206	405,808	374,411
State Operations	271,337	296,925	327,630	327,711	334,477
Personal Service	31,809	53,965	61,923	69,818	65,387
Non-Personal Service/Indirect Costs	239,528	242,960	265,707	257,893	269,090
<b>Public Health</b>	<b>2,085,831</b>	<b>1,924,756</b>	<b>1,957,358</b>	<b>1,981,902</b>	<b>2,129,783</b>
Local Assistance Grants	1,647,316	1,512,901	1,550,906	1,575,408	1,722,764
State Operations	406,943	382,760	377,356	377,396	377,916
Personal Service	229,651	213,157	209,270	209,272	209,277
Non-Personal Service/Indirect Costs	177,292	169,603	168,086	168,124	168,639
General State Charges	31,572	29,095	29,096	29,098	29,103

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>Medicaid Inspector General, Office of the</b>	<b>20,619</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>
State Operations	20,619	19,860	19,860	19,860	19,860
Personal Service	16,621	15,781	15,781	15,781	15,781
Non-Personal Service/Indirect Costs	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>19,972,548</b>	<b>20,599,362</b>	<b>21,460,435</b>	<b>22,390,220</b>	<b>23,411,162</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>2,001,389</b>	<b>1,920,696</b>	<b>1,951,279</b>	<b>1,973,214</b>	<b>1,995,381</b>
<b>OCFS</b>	<b>1,912,467</b>	<b>1,830,423</b>	<b>1,859,279</b>	<b>1,881,033</b>	<b>1,901,312</b>
Local Assistance Grants	1,646,887	1,581,657	1,608,586	1,623,785	1,644,064
State Operations	262,651	245,348	247,275	253,830	253,830
Personal Service	167,328	162,390	162,387	164,002	164,002
Non-Personal Service/Indirect Costs	95,323	82,958	84,888	89,828	89,828
General State Charges	2,929	3,418	3,418	3,418	3,418
<b>OCFS - Other</b>	<b>88,922</b>	<b>90,273</b>	<b>92,000</b>	<b>92,181</b>	<b>94,069</b>
Local Assistance Grants	88,922	90,273	92,000	92,181	94,069
<b>Housing and Community Renewal, Division of</b>	<b>71,365</b>	<b>71,853</b>	<b>95,712</b>	<b>100,712</b>	<b>105,712</b>
Local Assistance Grants	5,059	6,226	30,085	35,085	40,085
State Operations	50,330	49,246	49,246	49,246	49,246
Personal Service	38,788	40,403	40,403	40,403	40,403
Non-Personal Service/Indirect Costs	11,542	8,843	8,843	8,843	8,843
General State Charges	15,976	16,381	16,381	16,381	16,381
<b>Human Rights, Division of</b>	<b>10,263</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>
State Operations	10,263	9,921	9,921	9,921	9,921
Personal Service	9,398	9,461	9,461	9,461	9,461
Non-Personal Service/Indirect Costs	865	460	460	460	460
<b>Labor, Department of</b>	<b>72,790</b>	<b>74,519</b>	<b>62,119</b>	<b>62,119</b>	<b>62,119</b>
Local Assistance Grants	12,207	11,550	(850)	(850)	(850)
State Operations	46,543	46,019	46,019	46,019	46,019
Personal Service	32,557	32,118	32,118	32,118	32,118
Non-Personal Service/Indirect Costs	13,986	13,901	13,901	13,901	13,901
General State Charges	14,040	16,950	16,950	16,950	16,950
<b>National and Community Service</b>	<b>458</b>	<b>687</b>	<b>687</b>	<b>690</b>	<b>690</b>
Local Assistance Grants	142	350	350	350	350
State Operations	316	337	337	340	340
Personal Service	311	328	328	331	331
Non-Personal Service/Indirect Costs	5	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,360,670</b>	<b>1,382,143</b>	<b>1,406,483</b>	<b>1,424,883</b>	<b>1,434,683</b>
<b>Welfare Assistance</b>	<b>1,122,359</b>	<b>1,158,193</b>	<b>1,180,123</b>	<b>1,192,123</b>	<b>1,194,123</b>
Local Assistance Grants	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<b>All Other</b>	<b>238,311</b>	<b>223,950</b>	<b>226,360</b>	<b>232,760</b>	<b>240,560</b>
Local Assistance Grants	91,162	93,905	100,996	107,396	115,196
State Operations	147,079	130,045	125,364	125,364	125,364
Personal Service	69,932	58,985	58,985	58,985	58,985
Non-Personal Service/Indirect Costs	77,147	71,060	66,379	66,379	66,379
General State Charges	70	0	0	0	0
<b>Functional Total</b>	<b>3,516,935</b>	<b>3,459,819</b>	<b>3,526,201</b>	<b>3,571,539</b>	<b>3,608,506</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>419,184</b>	<b>427,552</b>	<b>460,336</b>	<b>483,232</b>	<b>505,133</b>
<b>OASAS</b>	<b>332,361</b>	<b>345,163</b>	<b>377,171</b>	<b>399,136</b>	<b>419,977</b>
Local Assistance Grants	285,592	298,633	329,074	349,748	369,626



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State Operations	33,651	30,724	31,068	31,764	32,237
Personal Service	19,602	23,769	23,922	24,441	24,704
Non-Personal Service/Indirect Costs	14,049	6,955	7,146	7,323	7,533
General State Charges	13,118	15,806	17,029	17,624	18,114
<b>OASAS - Other</b>	<b>86,823</b>	<b>82,389</b>	<b>83,165</b>	<b>84,096</b>	<b>85,156</b>
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	46,573	42,018	42,318	42,761	43,331
Personal Service	34,515	32,385	32,498	32,735	33,067
Non-Personal Service/Indirect Costs	12,058	9,633	9,820	10,026	10,264
General State Charges	18,925	19,046	19,522	20,010	20,500
<b>Justice Center</b>	<b>39,478</b>	<b>41,990</b>	<b>42,623</b>	<b>43,523</b>	<b>44,218</b>
Local Assistance Grants	544	649	649	649	649
State Operations	38,304	40,602	41,172	41,994	42,671
Personal Service	26,608	27,551	27,754	28,199	28,488
Non-Personal Service/Indirect Costs	11,696	13,051	13,418	13,795	14,183
General State Charges	630	739	802	880	898
<b>Mental Health, Office of</b>	<b>3,165,602</b>	<b>3,129,665</b>	<b>3,265,556</b>	<b>3,461,187</b>	<b>3,562,312</b>
<b>OMH</b>	<b>1,366,837</b>	<b>1,433,530</b>	<b>1,533,977</b>	<b>1,675,652</b>	<b>1,729,884</b>
Local Assistance Grants	862,255	918,610	1,007,794	1,134,177	1,178,643
State Operations	354,871	345,089	349,209	354,720	359,125
Personal Service	289,883	297,625	300,137	304,167	307,203
Non-Personal Service/Indirect Costs	64,988	47,464	49,072	50,553	51,922
General State Charges	149,711	169,831	176,974	186,755	192,116
<b>OMH - Other</b>	<b>1,798,765</b>	<b>1,696,135</b>	<b>1,731,579</b>	<b>1,785,535</b>	<b>1,832,428</b>
Local Assistance Grants	280,236	272,311	300,681	311,611	322,814
State Operations	1,062,786	985,485	981,415	997,917	1,017,251
Personal Service	833,717	760,485	752,989	763,342	775,695
Non-Personal Service/Indirect Costs	229,069	225,000	228,426	234,575	241,556
General State Charges	455,743	438,339	449,483	476,007	492,363
<b>Mental Hygiene, Department of</b>	<b>219</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	219	0	0	0	0
Non-Personal Service/Indirect Costs	219	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>3,183,985</b>	<b>2,986,517</b>	<b>3,416,122</b>	<b>3,656,187</b>	<b>3,857,419</b>
<b>OPWDD</b>	<b>331,163</b>	<b>367,307</b>	<b>420,984</b>	<b>469,580</b>	<b>524,176</b>
Local Assistance Grants	331,146	367,126	420,803	469,399	523,995
State Operations	17	181	181	181	181
Non-Personal Service/Indirect Costs	17	181	181	181	181
<b>OPWDD - Other</b>	<b>2,852,822</b>	<b>2,619,210</b>	<b>2,995,138</b>	<b>3,186,607</b>	<b>3,333,243</b>
Local Assistance Grants	864,528	691,534	1,050,782	1,206,741	1,319,914
State Operations	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
Personal Service	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
Non-Personal Service/Indirect Costs	215,819	196,694	197,090	201,860	206,914
General State Charges	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>6,808,468</b>	<b>6,585,724</b>	<b>7,184,637</b>	<b>7,644,129</b>	<b>7,969,082</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,297</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>
State Operations	2,297	2,651	2,651	2,651	2,651
Personal Service	2,042	2,414	2,414	2,414	2,414
Non-Personal Service/Indirect Costs	255	237	237	237	237
<b>Correctional Services, Department of</b>	<b>2,696,856</b>	<b>2,628,055</b>	<b>2,635,315</b>	<b>2,638,071</b>	<b>2,645,226</b>
Local Assistance Grants	4,251	5,497	5,497	5,497	5,497
State Operations	2,692,513	2,622,426	2,629,682	2,632,438	2,639,593
Personal Service	2,166,752	2,070,430	2,077,636	2,080,392	2,087,547

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	525,761	551,996	552,046	552,046	552,046
General State Charges	92	132	136	136	136
<b>Criminal Justice Services, Division of</b>	<b>198,307</b>	<b>206,267</b>	<b>196,132</b>	<b>196,132</b>	<b>196,132</b>
Local Assistance Grants	162,227	167,386	157,251	157,251	157,251
State Operations	36,039	38,793	38,793	38,793	38,793
Personal Service	24,588	25,582	25,582	25,582	25,582
Non-Personal Service/Indirect Costs	11,451	13,211	13,211	13,211	13,211
General State Charges	41	88	88	88	88
<b>Disaster Assistance</b>	<b>(51,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	(51,789)	0	0	0	0
Personal Service	(1,768)	0	0	0	0
Non-Personal Service/Indirect Costs	(50,021)	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>50,307</b>	<b>70,206</b>	<b>92,412</b>	<b>112,401</b>	<b>96,675</b>
Local Assistance Grants	18,169	43,598	65,783	85,749	70,023
State Operations	31,631	25,756	25,756	25,756	25,756
Personal Service	13,037	14,628	14,628	14,628	14,628
Non-Personal Service/Indirect Costs	18,594	11,128	11,128	11,128	11,128
General State Charges	507	852	873	896	896
<b>Indigent Legal Services, Office of</b>	<b>60,116</b>	<b>86,695</b>	<b>105,295</b>	<b>105,295</b>	<b>105,295</b>
Local Assistance Grants	58,068	83,000	101,600	101,600	101,600
State Operations	1,449	2,739	2,739	2,739	2,739
Personal Service	1,211	2,204	2,204	2,204	2,204
Non-Personal Service/Indirect Costs	238	535	535	535	535
General State Charges	599	956	956	956	956
<b>Judicial Conduct, Commission on</b>	<b>5,567</b>	<b>5,584</b>	<b>5,584</b>	<b>5,643</b>	<b>5,708</b>
State Operations	5,567	5,584	5,584	5,643	5,708
Personal Service	4,208	4,281	4,281	4,312	4,347
Non-Personal Service/Indirect Costs	1,359	1,303	1,303	1,331	1,361
<b>Judicial Nomination, Commission on</b>	<b>20</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	20	30	30	30	30
Non-Personal Service/Indirect Costs	20	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	14	38	38	38	38
Non-Personal Service/Indirect Costs	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>26,849</b>	<b>22,661</b>	<b>22,661</b>	<b>22,661</b>	<b>22,661</b>
Local Assistance Grants	805	911	911	911	911
State Operations	26,051	21,736	21,736	21,736	21,736
Personal Service	17,129	14,355	14,355	14,355	14,355
Non-Personal Service/Indirect Costs	8,922	7,381	7,381	7,381	7,381
General State Charges	(7)	14	14	14	14
<b>State Police, Division of</b>	<b>695,750</b>	<b>702,330</b>	<b>719,234</b>	<b>719,813</b>	<b>720,723</b>
State Operations	693,311	684,752	695,914	695,914	695,914
Personal Service	618,908	619,249	630,081	630,081	630,081
Non-Personal Service/Indirect Costs	74,403	65,503	65,833	65,833	65,833
General State Charges	2,439	17,578	23,320	23,899	24,809
<b>Statewide Financial System</b>	<b>30,070</b>	<b>30,137</b>	<b>30,143</b>	<b>30,143</b>	<b>30,143</b>
State Operations	30,070	30,137	30,143	30,143	30,143
Personal Service	10,234	11,350	11,350	11,350	11,350
Non-Personal Service/Indirect Costs	19,836	18,787	18,793	18,793	18,793
<b>Victim Services, Office of</b>	<b>24,469</b>	<b>33,560</b>	<b>43,420</b>	<b>43,420</b>	<b>43,420</b>
Local Assistance Grants	19,401	27,419	37,279	37,279	37,279
State Operations	3,533	3,951	3,951	3,951	3,951
Personal Service	3,154	3,176	3,176	3,176	3,176
Non-Personal Service/Indirect Costs	379	775	775	775	775

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
General State Charges	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>3,738,833</b>	<b>3,788,214</b>	<b>3,852,915</b>	<b>3,876,298</b>	<b>3,868,702</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,524,823</b>	<b>1,547,601</b>	<b>1,581,176</b>	<b>1,623,527</b>	<b>1,650,400</b>
Local Assistance Grants	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
State Operations	87,469	85,634	87,032	88,455	89,904
Personal Service	46,870	45,402	45,996	46,598	47,210
Non-Personal Service/Indirect Costs	40,599	40,232	41,036	41,857	42,694
General State Charges	7,892	7,892	7,892	7,892	7,892
<b>Higher Education - Miscellaneous</b>	<b>259</b>	<b>390</b>	<b>390</b>	<b>390</b>	<b>390</b>
State Operations	198	291	291	291	291
Personal Service	133	198	198	198	198
Non-Personal Service/Indirect Costs	65	93	93	93	93
General State Charges	61	99	99	99	99
<b>Higher Education Services Corporation, New York State</b>	<b>1,077,526</b>	<b>1,119,874</b>	<b>1,154,829</b>	<b>1,175,425</b>	<b>1,186,927</b>
Local Assistance Grants	1,025,146	1,068,031	1,102,574	1,123,170	1,134,672
State Operations	43,763	41,277	41,277	41,277	41,277
Personal Service	15,253	15,300	15,300	15,300	15,300
Non-Personal Service/Indirect Costs	28,510	25,977	25,977	25,977	25,977
General State Charges	8,617	10,566	10,978	10,978	10,978
<b>State University of New York</b>	<b>6,778,626</b>	<b>6,804,124</b>	<b>6,883,401</b>	<b>6,986,506</b>	<b>7,095,422</b>
Local Assistance Grants	500,675	508,679	508,267	507,767	507,434
State Operations	5,866,320	5,919,694	5,993,927	6,091,994	6,195,622
Personal Service	3,628,295	3,677,849	3,712,200	3,765,056	3,821,795
Non-Personal Service/Indirect Costs	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
General State Charges	411,631	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<b>9,381,234</b>	<b>9,471,989</b>	<b>9,619,796</b>	<b>9,785,848</b>	<b>9,933,139</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>41,819</b>	<b>45,513</b>	<b>45,253</b>	<b>45,253</b>	<b>45,253</b>
Local Assistance Grants	38,332	41,193	40,933	40,933	40,933
State Operations	3,487	4,320	4,320	4,320	4,320
Personal Service	2,253	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,234	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>28,884,200</b>	<b>30,166,199</b>	<b>31,440,560</b>	<b>32,815,721</b>	<b>34,228,606</b>
<b>School Aid</b>	<b>23,302,030</b>	<b>24,422,245</b>	<b>25,898,039</b>	<b>27,195,797</b>	<b>28,555,358</b>
Local Assistance Grants	23,302,030	24,422,245	25,898,039	27,195,797	28,555,358
<b>STAR Property Tax Relief</b>	<b>3,334,700</b>	<b>3,227,844</b>	<b>2,976,792</b>	<b>2,921,232</b>	<b>2,869,171</b>
Local Assistance Grants	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<b>Special Education Categorical Programs</b>	<b>1,316,581</b>	<b>1,437,400</b>	<b>1,539,890</b>	<b>1,657,380</b>	<b>1,784,228</b>
Local Assistance Grants	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<b>All Other</b>	<b>930,889</b>	<b>1,078,710</b>	<b>1,025,839</b>	<b>1,041,312</b>	<b>1,019,849</b>
Local Assistance Grants	768,228	892,626	849,534	863,160	841,918
State Operations	130,640	152,125	140,655	140,655	140,475
Personal Service	85,429	84,643	83,553	83,553	83,425
Non-Personal Service/Indirect Costs	45,211	67,482	57,102	57,102	57,050
General State Charges	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<b>28,926,019</b>	<b>30,211,712</b>	<b>31,485,813</b>	<b>32,860,974</b>	<b>34,273,859</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>24,227</b>	<b>31,022</b>	<b>30,596</b>	<b>30,596</b>	<b>30,596</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State Operations	23,396	29,465	28,939	28,939	28,939
Personal Service	20,436	24,514	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	2,960	4,951	4,372	4,372	4,372
General State Charges	831	1,557	1,657	1,657	1,657
<b>Civil Service, Department of</b>	<b>13,424</b>	<b>13,381</b>	<b>13,381</b>	<b>13,507</b>	<b>13,617</b>
State Operations	13,277	13,205	13,205	13,331	13,441
Personal Service	11,662	12,384	12,384	12,497	12,591
Non-Personal Service/Indirect Costs	1,615	821	821	834	850
General State Charges	147	176	176	176	176
<b>Deferred Compensation Board</b>	<b>524</b>	<b>866</b>	<b>866</b>	<b>866</b>	<b>873</b>
State Operations	377	641	641	641	648
Personal Service	304	410	410	410	413
Non-Personal Service/Indirect Costs	73	231	231	231	235
General State Charges	147	225	225	225	225
<b>Elections, State Board of</b>	<b>7,918</b>	<b>8,482</b>	<b>8,482</b>	<b>8,587</b>	<b>8,697</b>
Local Assistance Grants	93	0	0	0	0
State Operations	7,825	8,482	8,482	8,587	8,697
Personal Service	5,639	5,909	5,875	6,018	6,063
Non-Personal Service/Indirect Costs	2,186	2,573	2,607	2,569	2,634
<b>Employee Relations, Office of</b>	<b>2,247</b>	<b>2,581</b>	<b>2,581</b>	<b>2,601</b>	<b>2,621</b>
State Operations	2,247	2,581	2,581	2,601	2,621
Personal Service	2,200	2,510	2,510	2,529	2,548
Non-Personal Service/Indirect Costs	47	71	71	72	73
<b>Gaming Commission, New York State</b>	<b>251,588</b>	<b>267,907</b>	<b>289,803</b>	<b>314,803</b>	<b>314,803</b>
Local Assistance Grants	91,881	99,302	114,500	139,500	139,500
State Operations	146,812	152,897	157,728	157,728	157,728
Personal Service	31,549	35,263	38,757	38,757	38,757
Non-Personal Service/Indirect Costs	115,263	117,634	118,971	118,971	118,971
General State Charges	12,895	15,708	17,575	17,575	17,575
<b>General Services, Office of</b>	<b>159,528</b>	<b>166,032</b>	<b>166,147</b>	<b>166,277</b>	<b>166,277</b>
State Operations	156,939	165,586	165,678	165,783	165,783
Personal Service	71,454	78,759	78,759	78,759	78,759
Non-Personal Service/Indirect Costs	85,485	86,827	86,919	87,024	87,024
General State Charges	2,589	446	469	494	494
<b>Inspector General, Office of the</b>	<b>7,061</b>	<b>7,367</b>	<b>7,367</b>	<b>7,427</b>	<b>7,487</b>
State Operations	7,061	7,367	7,367	7,427	7,487
Personal Service	6,230	6,552	6,552	6,600	6,648
Non-Personal Service/Indirect Costs	831	815	815	827	839
<b>Labor Management Committees</b>	<b>24,882</b>	<b>25,300</b>	<b>25,300</b>	<b>25,300</b>	<b>25,306</b>
State Operations	24,882	25,300	25,300	25,300	25,306
Personal Service	6,619	5,446	5,446	5,446	5,487
Non-Personal Service/Indirect Costs	18,263	19,854	19,854	19,854	19,819
<b>Prevention of Domestic Violence, Office for</b>	<b>2,039</b>	<b>2,381</b>	<b>2,481</b>	<b>2,481</b>	<b>2,581</b>
Local Assistance Grants	575	785	885	885	985
State Operations	1,464	1,596	1,596	1,596	1,596
Personal Service	1,351	1,388	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	113	208	208	208	208
<b>Public Employment Relations Board</b>	<b>3,433</b>	<b>3,572</b>	<b>3,573</b>	<b>3,604</b>	<b>3,634</b>
State Operations	3,433	3,572	3,573	3,604	3,634
Personal Service	3,207	3,336	3,336	3,363	3,388
Non-Personal Service/Indirect Costs	226	236	237	241	246
<b>Public Integrity, Commission on</b>	<b>4,332</b>	<b>5,531</b>	<b>5,531</b>	<b>5,576</b>	<b>5,630</b>
State Operations	4,332	5,531	5,531	5,576	5,630
Personal Service	3,480	4,620	4,620	4,646	4,681
Non-Personal Service/Indirect Costs	852	911	911	930	949

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>State, Department of</b>	<b>67,405</b>	<b>70,136</b>	<b>60,378</b>	<b>60,634</b>	<b>60,989</b>
Local Assistance Grants	15,701	12,677	7,379	7,379	7,379
State Operations	43,332	47,506	42,739	42,739	42,739
Personal Service	28,886	28,957	28,919	28,919	28,919
Non-Personal Service/Indirect Costs	14,446	18,549	13,820	13,820	13,820
General State Charges	8,372	9,953	10,260	10,516	10,871
<b>Tax Appeals, Division of</b>	<b>3,035</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>
State Operations	3,035	3,040	3,040	3,040	3,040
Personal Service	2,763	2,870	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	272	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>354,747</b>	<b>351,044</b>	<b>351,846</b>	<b>352,021</b>	<b>351,846</b>
Local Assistance Grants	914	2,726	2,726	2,726	2,726
State Operations	335,774	327,927	328,584	328,592	328,584
Personal Service	292,613	269,214	269,367	269,367	269,367
Non-Personal Service/Indirect Costs	43,161	58,713	59,217	59,225	59,217
General State Charges	18,059	20,391	20,536	20,703	20,536
<b>Technology, Office for</b>	<b>505,949</b>	<b>532,574</b>	<b>565,206</b>	<b>576,936</b>	<b>576,936</b>
State Operations	505,949	532,574	565,206	576,936	576,936
Personal Service	283,573	284,831	284,801	284,801	284,801
Non-Personal Service/Indirect Costs	222,376	247,743	280,405	292,135	292,135
<b>Veterans' Affairs, Division of</b>	<b>12,922</b>	<b>15,423</b>	<b>13,808</b>	<b>13,873</b>	<b>13,873</b>
Local Assistance Grants	7,855	9,252	7,637	7,637	7,637
State Operations	5,067	6,171	6,171	6,236	6,236
Personal Service	4,731	5,879	5,879	5,938	5,938
Non-Personal Service/Indirect Costs	336	292	292	298	298
<b>Welfare Inspector General, Office of</b>	<b>569</b>	<b>672</b>	<b>672</b>	<b>686</b>	<b>701</b>
State Operations	569	672	672	686	701
Personal Service	511	617	617	621	626
Non-Personal Service/Indirect Costs	58	55	55	65	75
<b>Workers' Compensation Board</b>	<b>183,210</b>	<b>188,055</b>	<b>195,009</b>	<b>196,792</b>	<b>198,595</b>
State Operations	139,016	136,607	141,607	143,390	145,193
Personal Service	78,723	75,878	80,878	81,493	82,104
Non-Personal Service/Indirect Costs	60,293	60,729	60,729	61,897	63,089
General State Charges	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<b>1,629,040</b>	<b>1,695,366</b>	<b>1,746,067</b>	<b>1,785,607</b>	<b>1,788,102</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>174,200</b>	<b>181,236</b>	<b>181,009</b>	<b>181,070</b>	<b>181,253</b>
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	140,681	147,011	146,661	146,661	146,844
Personal Service	111,792	113,609	113,609	113,609	113,687
Non-Personal Service/Indirect Costs	28,889	33,402	33,052	33,052	33,157
General State Charges	1,494	2,201	2,324	2,385	2,385
<b>Executive Chamber</b>	<b>13,704</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,704	13,578	13,578	13,578	13,578
Personal Service	10,669	11,469	11,813	11,813	11,813
Non-Personal Service/Indirect Costs	3,035	2,109	1,765	1,765	1,765
<b>Judiciary</b>	<b>2,758,586</b>	<b>2,843,100</b>	<b>2,919,053</b>	<b>2,954,053</b>	<b>2,954,053</b>
Local Assistance Grants	114,655	107,300	122,300	122,300	122,300
State Operations	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Personal Service	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Non-Personal Service/Indirect Costs	449,248	468,600	468,600	468,600	468,600
General State Charges	685,300	710,300	771,253	780,853	778,353
<b>Law, Department of</b>	<b>185,419</b>	<b>189,496</b>	<b>191,830</b>	<b>194,641</b>	<b>197,364</b>
State Operations	168,775	171,600	173,057	174,387	176,833

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Personal Service	119,099	117,861	118,516	119,097	120,450
Non-Personal Service/Indirect Costs	49,676	53,739	54,541	55,290	56,383
General State Charges	16,644	17,896	18,773	20,254	20,531
<b>Legislature</b>	<b>215,580</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>
State Operations	215,580	218,795	218,795	218,795	218,795
Personal Service	167,444	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	48,136	52,464	52,464	52,464	52,464
<b>Lieutenant Governor, Office of the</b>	<b>499</b>	<b>614</b>	<b>614</b>	<b>614</b>	<b>614</b>
State Operations	499	614	614	614	614
Personal Service	336	513	523	523	523
Non-Personal Service/Indirect Costs	163	101	91	91	91
<b>Functional Total</b>	<b>3,347,988</b>	<b>3,446,819</b>	<b>3,524,879</b>	<b>3,562,751</b>	<b>3,565,657</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>728,288</b>	<b>714,756</b>	<b>762,710</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance Grants	728,288	714,756	762,710	763,347	763,347
<b>Efficiency Incentive Grants Program</b>	<b>1,289</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,289	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>11,846</b>	<b>9,646</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	11,846	9,646	0	0	0
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>4,681,599</b>	<b>4,738,965</b>	<b>5,077,501</b>	<b>5,276,235</b>	<b>5,663,396</b>
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
<b>Long-Term Debt Service</b>	<b>5,635,102</b>	<b>5,242,440</b>	<b>6,305,861</b>	<b>6,820,767</b>	<b>7,281,488</b>
State Operations	36,616	39,157	49,313	49,313	49,313
Non-Personal Service/Indirect Costs	36,616	39,157	49,313	49,313	49,313
Debt Service	5,598,486	5,203,283	6,256,548	6,771,454	7,232,175
<b>Miscellaneous</b>	<b>(152,479)</b>	<b>(72,106)</b>	<b>117,614</b>	<b>(96,370)</b>	<b>(118,354)</b>
Local Assistance Grants	(189,144)	(102,645)	(127,929)	(127,929)	(127,929)
State Operations	24,626	24,823	239,824	25,840	3,856
Personal Service	1,982	2,177	2,177	2,182	2,187
Non-Personal Service/Indirect Costs	22,644	22,646	237,647	23,658	1,669
General State Charges	12,039	5,716	5,719	5,719	5,719
<b>Functional Total</b>	<b>10,164,222</b>	<b>9,909,299</b>	<b>11,500,976</b>	<b>12,000,632</b>	<b>12,826,530</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>94,288,492</b>	<b>96,179,689</b>	<b>101,060,003</b>	<b>104,699,936</b>	<b>108,566,362</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	83,541	73,947	73,284	79,734	79,803
Alcoholic Beverage Control, Division of	17,277	12,836	12,683	12,683	12,744
Economic Development, Department of	82,617	77,464	79,978	79,978	79,978
Empire State Development Corporation	58,756	143,374	147,346	147,346	147,346
Energy Research and Development Authority	3,808	0	0	0	0
Financial Services, Department of	360,052	350,490	359,586	362,129	365,668
Olympic Regional Development Authority	3,111	2,886	2,886	2,886	2,886
Public Service Department	68,475	73,026	74,271	75,670	75,670
<b>Functional Total</b>	<b>677,637</b>	<b>734,023</b>	<b>750,034</b>	<b>760,426</b>	<b>764,095</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	287,360	281,631	285,372	284,434	284,795
Parks, Recreation and Historic Preservation, Office of	195,155	191,249	190,350	186,625	186,625
<b>Functional Total</b>	<b>486,812</b>	<b>477,212</b>	<b>480,054</b>	<b>475,391</b>	<b>475,752</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	83,762	69,121	70,628	70,706	70,706
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	4,767,454	4,977,078	5,065,309	5,122,519	5,218,174
<b>Functional Total</b>	<b>4,867,785</b>	<b>5,046,199</b>	<b>5,135,937</b>	<b>5,193,225</b>	<b>5,288,880</b>
<b>HEALTH</b>					
Aging, Office for the	128,410	130,912	133,944	139,125	144,436
Health, Department of	19,823,519	20,448,590	21,306,631	22,231,235	23,246,866
<i>Medical Assistance</i>	16,868,571	17,381,169	18,200,077	19,121,172	20,002,383
<i>Essential Plan</i>	32,575	377,137	384,360	394,642	405,812
<i>Medicaid Administration</i>	836,542	765,528	764,836	733,519	708,888
<i>Public Health</i>	2,085,831	1,924,756	1,957,358	1,981,902	2,129,783
Medicaid Inspector General, Office of the	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>19,972,548</b>	<b>20,599,362</b>	<b>21,460,435</b>	<b>22,390,220</b>	<b>23,411,162</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,001,389	1,920,696	1,951,279	1,973,214	1,995,381
<i>OCFS</i>	1,912,467	1,830,423	1,859,279	1,881,033	1,901,312
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	71,365	71,853	95,712	100,712	105,712
Human Rights, Division of	10,263	9,921	9,921	9,921	9,921
Labor, Department of	72,790	74,519	62,119	62,119	62,119
National and Community Service	458	687	687	690	690
Temporary and Disability Assistance, Office of	1,360,670	1,382,143	1,406,483	1,424,883	1,434,683
<i>Welfare Assistance</i>	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<i>All Other</i>	238,311	223,950	226,360	232,760	240,560
<b>Functional Total</b>	<b>3,516,935</b>	<b>3,459,819</b>	<b>3,526,201</b>	<b>3,571,539</b>	<b>3,608,506</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	419,184	427,552	460,336	483,232	505,133
<i>OASAS</i>	332,361	345,163	377,171	399,136	419,977
<i>OASAS - Other</i>	86,823	82,389	83,165	84,096	85,156
Justice Center	39,478	41,990	42,623	43,523	44,218
Mental Health, Office of	3,165,602	3,129,665	3,265,556	3,461,187	3,562,312
<i>OMH</i>	1,366,837	1,433,530	1,533,977	1,675,652	1,729,884
<i>OMH - Other</i>	1,798,765	1,696,135	1,731,579	1,785,535	1,832,428
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	3,183,985	2,986,517	3,416,122	3,656,187	3,857,419
<i>OPWDD</i>	331,163	367,307	420,984	469,580	524,176
<i>OPWDD - Other</i>	2,852,822	2,619,210	2,995,138	3,186,607	3,333,243
<b>Functional Total</b>	<b>6,808,468</b>	<b>6,585,724</b>	<b>7,184,637</b>	<b>7,644,129</b>	<b>7,969,082</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,696,856	2,628,055	2,635,315	2,638,071	2,645,226
Criminal Justice Services, Division of	198,307	206,267	196,132	196,132	196,132

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	50,307	70,206	92,412	112,401	96,675
Indigent Legal Services, Office of	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	26,849	22,661	22,661	22,661	22,661
State Police, Division of	695,750	702,330	719,234	719,813	720,723
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	24,469	33,560	43,420	43,420	43,420
<b>Functional Total</b>	<b>3,738,833</b>	<b>3,788,214</b>	<b>3,852,915</b>	<b>3,876,298</b>	<b>3,868,702</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,524,823	1,547,601	1,581,176	1,623,527	1,650,400
Higher Education - Miscellaneous	259	390	390	390	390
Higher Education Services Corporation, New York State	1,077,526	1,119,874	1,154,829	1,175,425	1,186,927
State University of New York	6,778,626	6,804,124	6,883,401	6,986,506	7,095,422
<b>Functional Total</b>	<b>9,381,234</b>	<b>9,471,989</b>	<b>9,619,796</b>	<b>9,785,848</b>	<b>9,933,139</b>
<b>EDUCATION</b>					
Arts, Council on the	41,819	45,513	45,253	45,253	45,253
Education, Department of	28,884,200	30,166,199	31,440,560	32,815,721	34,228,606
<i>School Aid</i>	23,302,030	24,422,245	25,898,039	27,195,797	28,555,358
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	930,889	1,078,710	1,025,839	1,041,312	1,019,849
<b>Functional Total</b>	<b>28,926,019</b>	<b>30,211,712</b>	<b>31,485,813</b>	<b>32,860,974</b>	<b>34,273,859</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,424	13,381	13,381	13,507	13,617
Deferred Compensation Board	524	866	866	866	873
Elections, State Board of	7,918	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	251,588	267,907	289,803	314,803	314,803
General Services, Office of	159,528	166,032	166,147	166,277	166,277
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	2,039	2,381	2,481	2,481	2,581
Public Employment Relations Board	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	67,405	70,136	60,378	60,634	60,989
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	354,747	351,044	351,846	352,021	351,846
Technology, Office for	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	12,922	15,423	13,808	13,873	13,873
Welfare Inspector General, Office of	569	672	672	686	701
Workers' Compensation Board	183,210	188,055	195,009	196,792	198,595
<b>Functional Total</b>	<b>1,629,040</b>	<b>1,695,366</b>	<b>1,746,067</b>	<b>1,785,607</b>	<b>1,788,102</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	174,200	181,236	181,009	181,070	181,253
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	2,758,586	2,843,100	2,919,053	2,954,053	2,954,053
Law, Department of	185,419	189,496	191,830	194,641	197,364
Legislature	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<b>3,347,988</b>	<b>3,446,819</b>	<b>3,524,879</b>	<b>3,562,751</b>	<b>3,565,657</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>770,971</u>	<u>753,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Long-Term Debt Service	5,635,102	5,242,440	6,305,861	6,820,767	7,281,488
Miscellaneous	(152,479)	(72,106)	117,614	(96,370)	(118,354)
<b>Functional Total</b>	<u>10,164,222</u>	<u>9,909,299</u>	<u>11,500,976</u>	<u>12,000,632</u>	<u>12,826,530</u>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<u>94,288,492</u>	<u>96,179,689</u>	<u>101,060,003</u>	<u>104,699,936</u>	<u>108,566,362</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,279	32,250	35,026	25,612	25,612
Economic Development, Department of	62,153	56,065	59,379	59,379	59,379
Empire State Development Corporation	58,756	143,374	147,346	147,346	147,346
Energy Research and Development Authority	1,842	0	0	0	0
Financial Services, Department of	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	172	172	172	172
<b>Functional Total</b>	<b>230,716</b>	<b>289,035</b>	<b>298,972</b>	<b>289,558</b>	<b>289,558</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	4,262	5,000	7,305	5,390	5,390
Parks, Recreation and Historic Preservation, Office of	9,645	8,695	8,200	8,200	8,200
<b>Functional Total</b>	<b>13,907</b>	<b>13,695</b>	<b>15,505</b>	<b>13,590</b>	<b>13,590</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	4,745,228	4,952,339	5,039,869	5,096,832	5,192,487
<b>Functional Total</b>	<b>4,745,228</b>	<b>4,952,339</b>	<b>5,039,869</b>	<b>5,096,832</b>	<b>5,192,487</b>
<b>HEALTH</b>					
Aging, Office for the	127,134	129,680	132,712	137,893	143,204
Health, Department of	19,100,307	19,696,590	20,532,791	21,457,328	22,464,436
<i>Medical Assistance</i>	16,868,571	17,381,169	18,200,077	19,121,172	20,002,383
<i>Essential Plan</i>	19,215	333,917	344,602	354,940	364,878
<i>Medicaid Administration</i>	565,205	468,603	437,206	405,808	374,411
<i>Public Health</i>	1,647,316	1,512,901	1,550,906	1,575,408	1,722,764
<b>Functional Total</b>	<b>19,227,441</b>	<b>19,826,270</b>	<b>20,665,503</b>	<b>21,595,221</b>	<b>22,607,640</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,735,809	1,671,930	1,700,586	1,715,966	1,738,133
<i>OCFS</i>	1,646,887	1,581,657	1,608,586	1,623,785	1,644,064
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	5,059	6,226	30,085	35,085	40,085
Labor, Department of	12,207	11,550	(850)	(850)	(850)
National and Community Service	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,213,521	1,252,098	1,281,119	1,299,519	1,309,319
<i>Welfare Assistance</i>	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<i>All Other</i>	91,162	93,905	100,996	107,396	115,196
<b>Functional Total</b>	<b>2,966,738</b>	<b>2,942,154</b>	<b>3,011,290</b>	<b>3,050,070</b>	<b>3,087,037</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	306,917	319,958	350,399	371,073	390,951
<i>OASAS</i>	285,592	298,633	329,074	349,748	369,626
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	544	649	649	649	649
Mental Health, Office of	1,142,491	1,190,921	1,308,475	1,445,788	1,501,457
<i>OMH</i>	862,255	918,610	1,007,794	1,134,177	1,178,643
<i>OMH - Other</i>	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,195,674	1,058,660	1,471,585	1,676,140	1,843,909
<i>OPWDD</i>	331,146	367,126	420,803	469,399	523,995
<i>OPWDD - Other</i>	864,528	691,534	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>2,645,626</b>	<b>2,570,188</b>	<b>3,131,108</b>	<b>3,493,650</b>	<b>3,736,966</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	162,227	167,386	157,251	157,251	157,251
Homeland Security and Emergency Services, Division of	18,169	43,598	65,783	85,749	70,023
Indigent Legal Services, Office of	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	805	911	911	911	911
Victim Services, Office of	19,401	27,419	37,279	37,279	37,279
<b>Functional Total</b>	<b>262,921</b>	<b>327,811</b>	<b>368,321</b>	<b>388,287</b>	<b>372,561</b>
<b>HIGHER EDUCATION</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
City University of New York	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Services Corporation, New York State	1,025,146	1,068,031	1,102,574	1,123,170	1,134,672
State University of New York	500,675	508,679	508,267	507,767	507,434
<b>Functional Total</b>	<u>2,955,283</u>	<u>3,030,785</u>	<u>3,097,093</u>	<u>3,158,117</u>	<u>3,194,710</u>
<b>EDUCATION</b>					
Arts, Council on the	38,332	41,193	40,933	40,933	40,933
Education, Department of	<u>28,721,539</u>	<u>29,980,115</u>	<u>31,264,255</u>	<u>32,637,569</u>	<u>34,050,675</u>
<i>School Aid</i>	23,302,030	24,422,245	25,898,039	27,195,797	28,555,358
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	768,228	892,626	849,534	863,160	841,918
<b>Functional Total</b>	<u>28,759,871</u>	<u>30,021,308</u>	<u>31,305,188</u>	<u>32,678,502</u>	<u>34,091,608</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	93	0	0	0	0
Gaming Commission, New York State	91,881	99,302	114,500	139,500	139,500
Prevention of Domestic Violence, Office for	575	785	885	885	985
State, Department of	15,701	12,677	7,379	7,379	7,379
Taxation and Finance, Department of	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	9,252	7,637	7,637	7,637
<b>Functional Total</b>	<u>117,019</u>	<u>124,742</u>	<u>133,127</u>	<u>158,127</u>	<u>158,227</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>114,655</u>	<u>107,300</u>	<u>122,300</u>	<u>122,300</u>	<u>122,300</u>
<b>Functional Total</b>	<u>146,680</u>	<u>139,324</u>	<u>154,324</u>	<u>154,324</u>	<u>154,324</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>770,971</u>	<u>753,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(189,144)	(102,645)	(127,929)	(127,929)	(127,929)
<b>Functional Total</b>	<u>(189,144)</u>	<u>(102,645)</u>	<u>(127,929)</u>	<u>(127,929)</u>	<u>(127,929)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>62,653,257</u>	<u>64,888,957</u>	<u>67,884,630</u>	<u>70,741,245</u>	<u>73,563,675</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	54,745	40,275	36,780	52,599	52,604
Alcoholic Beverage Control, Division of	12,501	12,683	12,683	12,683	12,744
Economic Development, Department of	20,464	21,371	20,571	20,571	20,571
Energy Research and Development Authority	1,431	0	0	0	0
Financial Services, Department of	201,930	210,626	211,926	211,926	211,926
Olympic Regional Development Authority	3,091	2,886	2,886	2,886	2,886
Public Service Department	49,483	49,347	49,358	49,358	49,358
<b>Functional Total</b>	<b>343,645</b>	<b>337,188</b>	<b>334,204</b>	<b>350,023</b>	<b>350,089</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	237,912	229,041	228,892	229,561	230,451
Parks, Recreation and Historic Preservation, Office of	180,898	176,737	176,737	175,487	175,487
<b>Functional Total</b>	<b>423,107</b>	<b>410,110</b>	<b>409,961</b>	<b>409,380</b>	<b>410,270</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	59,397	49,322	49,972	49,972	49,972
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	18,588	20,625	20,812	20,812	20,812
<b>Functional Total</b>	<b>94,554</b>	<b>69,947</b>	<b>70,784</b>	<b>70,784</b>	<b>70,784</b>
<b>HEALTH</b>					
Aging, Office for the	1,276	1,232	1,232	1,232	1,232
Health, Department of	691,640	722,905	744,744	744,809	753,327
<i>Essential Plan</i>	13,360	43,220	39,758	39,702	40,934
<i>Medicaid Administration</i>	271,337	296,925	327,630	327,711	334,477
<i>Public Health</i>	406,943	382,760	377,356	377,396	377,916
Medicaid Inspector General, Office of the	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>713,535</b>	<b>743,997</b>	<b>765,836</b>	<b>765,901</b>	<b>774,419</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	262,651	245,348	247,275	253,830	253,830
<i>OCFS</i>	262,651	245,348	247,275	253,830	253,830
Housing and Community Renewal, Division of	50,330	49,246	49,246	49,246	49,246
Human Rights, Division of	10,263	9,921	9,921	9,921	9,921
Labor, Department of	46,543	46,019	46,019	46,019	46,019
National and Community Service	316	337	337	340	340
Temporary and Disability Assistance, Office of	147,079	130,045	125,364	125,364	125,364
<i>All Other</i>	147,079	130,045	125,364	125,364	125,364
<b>Functional Total</b>	<b>517,182</b>	<b>480,916</b>	<b>478,162</b>	<b>484,720</b>	<b>484,720</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	80,224	72,742	73,386	74,525	75,568
<i>OASAS</i>	33,651	30,724	31,068	31,764	32,237
<i>OASAS - Other</i>	46,573	42,018	42,318	42,761	43,331
Justice Center	38,304	40,602	41,172	41,994	42,671
Mental Health, Office of	1,417,657	1,330,574	1,330,624	1,352,637	1,376,376
<i>OMH</i>	354,871	345,089	349,209	354,720	359,125
<i>OMH - Other</i>	1,062,786	985,485	981,415	997,917	1,017,251
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	1,367,626	1,294,059	1,288,901	1,305,645	1,322,172
<i>OPWDD</i>	17	181	181	181	181
<i>OPWDD - Other</i>	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
<b>Functional Total</b>	<b>2,904,030</b>	<b>2,737,977</b>	<b>2,734,083</b>	<b>2,774,801</b>	<b>2,816,787</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,692,513	2,622,426	2,629,682	2,632,438	2,639,593
Criminal Justice Services, Division of	36,039	38,793	38,793	38,793	38,793
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	31,631	25,756	25,756	25,756	25,756
Indigent Legal Services, Office of	1,449	2,739	2,739	2,739	2,739

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	26,051	21,736	21,736	21,736	21,736
State Police, Division of	693,311	684,752	695,914	695,914	695,914
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	3,533	3,951	3,951	3,951	3,951
<b>Functional Total</b>	<b>3,470,706</b>	<b>3,438,593</b>	<b>3,457,017</b>	<b>3,459,832</b>	<b>3,467,052</b>
<b>HIGHER EDUCATION</b>					
City University of New York	87,469	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	198	291	291	291	291
Higher Education Services Corporation, New York State	43,763	41,277	41,277	41,277	41,277
State University of New York	5,866,320	5,919,694	5,993,927	6,091,994	6,195,622
<b>Functional Total</b>	<b>5,997,750</b>	<b>6,046,896</b>	<b>6,122,527</b>	<b>6,222,017</b>	<b>6,327,094</b>
<b>EDUCATION</b>					
Arts, Council on the	3,487	4,320	4,320	4,320	4,320
Education, Department of	130,640	152,125	140,655	140,655	140,475
<i>All Other</i>	130,640	152,125	140,655	140,655	140,475
<b>Functional Total</b>	<b>134,127</b>	<b>156,445</b>	<b>144,975</b>	<b>144,975</b>	<b>144,795</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,277	13,205	13,205	13,331	13,441
Deferred Compensation Board	377	641	641	641	648
Elections, State Board of	7,825	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	146,812	152,897	157,728	157,728	157,728
General Services, Office of	156,939	165,586	165,678	165,783	165,783
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,464	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	43,332	47,506	42,739	42,739	42,739
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	335,774	327,927	328,584	328,592	328,584
Technology, Office for	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,067	6,171	6,171	6,236	6,236
Welfare Inspector General, Office of	569	672	672	686	701
Workers' Compensation Board	139,016	136,607	141,607	143,390	145,193
<b>Functional Total</b>	<b>1,424,787</b>	<b>1,470,720</b>	<b>1,508,640</b>	<b>1,522,732</b>	<b>1,524,939</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	140,681	147,011	146,661	146,661	146,844
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Law, Department of	168,775	171,600	173,057	174,387	176,833
Legislature	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<b>2,497,870</b>	<b>2,577,098</b>	<b>2,578,205</b>	<b>2,604,935</b>	<b>2,610,064</b>
<b>ALL OTHER CATEGORIES</b>					
Long-Term Debt Service	36,616	39,157	49,313	49,313	49,313
Miscellaneous	24,626	24,823	239,824	25,840	3,856
<b>Functional Total</b>	<b>61,242</b>	<b>63,980</b>	<b>289,137</b>	<b>75,153</b>	<b>53,169</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>18,582,535</b>	<b>18,533,867</b>	<b>18,893,531</b>	<b>18,885,253</b>	<b>19,034,182</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,923	29,020	29,025	29,030	29,035
Alcoholic Beverage Control, Division of	7,622	8,147	8,147	8,147	8,208
Economic Development, Department of	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	1,024	0	0	0	0
Financial Services, Department of	149,000	154,155	155,905	155,905	155,905
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548
Public Service Department	40,858	41,886	41,903	41,903	41,903
<b>Functional Total</b>	<b>240,994</b>	<b>249,085</b>	<b>250,857</b>	<b>250,862</b>	<b>250,928</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	181,598	174,466	174,319	174,600	174,717
Parks, Recreation and Historic Preservation, Office of	135,656	131,683	131,683	131,433	131,433
<b>Functional Total</b>	<b>321,290</b>	<b>310,176</b>	<b>310,029</b>	<b>310,060</b>	<b>310,177</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	43,684	35,195	35,845	35,845	35,845
Transportation, Department of	6,407	7,167	7,347	7,347	7,347
<b>Functional Total</b>	<b>50,091</b>	<b>42,362</b>	<b>43,192</b>	<b>43,192</b>	<b>43,192</b>
<b>HEALTH</b>					
Aging, Office for the	1,110	1,125	1,125	1,125	1,125
Health, Department of	261,851	268,497	272,609	280,548	276,166
<i>Essential Plan</i>	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	31,809	53,965	61,923	69,818	65,387
<i>Public Health</i>	229,651	213,157	209,270	209,272	209,277
Medicaid Inspector General, Office of the	16,621	15,781	15,781	15,781	15,781
<b>Functional Total</b>	<b>279,582</b>	<b>285,403</b>	<b>289,515</b>	<b>297,454</b>	<b>293,072</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	167,328	162,390	162,387	164,002	164,002
<i>OCFS</i>	167,328	162,390	162,387	164,002	164,002
Housing and Community Renewal, Division of	38,788	40,403	40,403	40,403	40,403
Human Rights, Division of	9,398	9,461	9,461	9,461	9,461
Labor, Department of	32,557	32,118	32,118	32,118	32,118
National and Community Service	311	328	328	331	331
Temporary and Disability Assistance, Office of	69,932	58,985	58,985	58,985	58,985
<i>All Other</i>	69,932	58,985	58,985	58,985	58,985
<b>Functional Total</b>	<b>318,314</b>	<b>303,685</b>	<b>303,682</b>	<b>305,300</b>	<b>305,300</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	54,117	56,154	56,420	57,176	57,771
<i>OASAS</i>	19,602	23,769	23,922	24,441	24,704
<i>OASAS - Other</i>	34,515	32,385	32,498	32,735	33,067
Justice Center	26,608	27,551	27,754	28,199	28,488
Mental Health, Office of	1,123,600	1,058,110	1,053,126	1,067,509	1,082,898
<i>OMH</i>	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,356,115</b>	<b>2,238,999</b>	<b>2,228,930</b>	<b>2,256,488</b>	<b>2,284,234</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,042	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,166,752	2,070,430	2,077,636	2,080,392	2,087,547
Criminal Justice Services, Division of	24,588	25,582	25,582	25,582	25,582
Disaster Assistance	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	13,037	14,628	14,628	14,628	14,628
Indigent Legal Services, Office of	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	17,129	14,355	14,355	14,355	14,355

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
State Police, Division of	618,908	619,249	630,081	630,081	630,081
Statewide Financial System	10,234	11,350	11,350	11,350	11,350
Victim Services, Office of	3,154	3,176	3,176	3,176	3,176
<b>Functional Total</b>	<u>2,859,495</u>	<u>2,767,669</u>	<u>2,785,707</u>	<u>2,788,494</u>	<u>2,795,684</u>
<b>HIGHER EDUCATION</b>					
City University of New York	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	133	198	198	198	198
Higher Education Services Corporation, New York State	15,253	15,300	15,300	15,300	15,300
State University of New York	3,628,295	3,677,849	3,712,200	3,765,056	3,821,795
<b>Functional Total</b>	<u>3,690,551</u>	<u>3,738,749</u>	<u>3,773,694</u>	<u>3,827,152</u>	<u>3,884,503</u>
<b>EDUCATION</b>					
Arts, Council on the	2,253	2,498	2,498	2,498	2,498
Education, Department of	85,429	84,643	83,553	83,553	83,425
<i>All Other</i>	85,429	84,643	83,553	83,553	83,425
<b>Functional Total</b>	<u>87,682</u>	<u>87,141</u>	<u>86,051</u>	<u>86,051</u>	<u>85,923</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	11,662	12,384	12,384	12,497	12,591
Deferred Compensation Board	304	410	410	410	413
Elections, State Board of	5,639	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	31,549	35,263	38,757	38,757	38,757
General Services, Office of	71,454	78,759	78,759	78,759	78,759
Inspector General, Office of the	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,351	1,388	1,388	1,388	1,388
Public Employment Relations Board	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	3,480	4,620	4,620	4,646	4,681
State, Department of	28,886	28,957	28,919	28,919	28,919
Tax Appeals, Division of	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	292,613	269,214	269,367	269,367	269,367
Technology, Office for	283,573	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	4,731	5,879	5,879	5,938	5,938
Welfare Inspector General, Office of	511	617	617	621	626
Workers' Compensation Board	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<u>855,931</u>	<u>849,337</u>	<u>857,935</u>	<u>858,989</u>	<u>859,915</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	111,792	113,609	113,609	113,609	113,687
Executive Chamber	10,669	11,469	11,813	11,813	11,813
Judiciary	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	119,099	117,861	118,516	119,097	120,450
Legislature	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	336	513	523	523	523
<b>Functional Total</b>	<u>1,918,723</u>	<u>1,966,683</u>	<u>1,967,692</u>	<u>1,993,673</u>	<u>1,997,604</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,982	2,177	2,177	2,182	2,187
<b>Functional Total</b>	<u>1,982</u>	<u>2,177</u>	<u>2,177</u>	<u>2,182</u>	<u>2,187</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>12,980,750</u></u>	<u><u>12,841,466</u></u>	<u><u>12,899,461</u></u>	<u><u>13,019,897</u></u>	<u><u>13,112,719</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	26,822	11,255	7,755	23,569	23,569
Alcoholic Beverage Control, Division of	4,879	4,536	4,536	4,536	4,536
Economic Development, Department of	8,490	8,042	7,242	7,242	7,242
Energy Research and Development Authority	407	0	0	0	0
Financial Services, Department of	52,930	56,471	56,021	56,021	56,021
Olympic Regional Development Authority	498	338	338	338	338
Public Service Department	8,625	7,461	7,455	7,455	7,455
<b>Functional Total</b>	<b>102,651</b>	<b>88,103</b>	<b>83,347</b>	<b>99,161</b>	<b>99,161</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	261	305	305	305	305
Environmental Conservation, Department of	56,314	54,575	54,573	54,961	55,734
Parks, Recreation and Historic Preservation, Office of	45,242	45,054	45,054	44,054	44,054
<b>Functional Total</b>	<b>101,817</b>	<b>99,934</b>	<b>99,932</b>	<b>99,320</b>	<b>100,093</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	15,713	14,127	14,127	14,127	14,127
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	12,181	13,458	13,465	13,465	13,465
<b>Functional Total</b>	<b>44,463</b>	<b>27,585</b>	<b>27,592</b>	<b>27,592</b>	<b>27,592</b>
<b>HEALTH</b>					
Aging, Office for the	166	107	107	107	107
Health, Department of	429,789	454,408	472,135	464,261	477,161
<i>Essential Plan</i>	12,969	41,845	38,342	38,244	39,432
<i>Medicaid Administration</i>	239,528	242,960	265,707	257,893	269,090
<i>Public Health</i>	177,292	169,603	168,086	168,124	168,639
Medicaid Inspector General, Office of the	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>433,953</b>	<b>458,594</b>	<b>476,321</b>	<b>468,447</b>	<b>481,347</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	95,323	82,958	84,888	89,828	89,828
<i>OCFS</i>	95,323	82,958	84,888	89,828	89,828
Housing and Community Renewal, Division of	11,542	8,843	8,843	8,843	8,843
Human Rights, Division of	865	460	460	460	460
Labor, Department of	13,986	13,901	13,901	13,901	13,901
National and Community Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	77,147	71,060	66,379	66,379	66,379
<i>All Other</i>	77,147	71,060	66,379	66,379	66,379
<b>Functional Total</b>	<b>198,868</b>	<b>177,231</b>	<b>174,480</b>	<b>179,420</b>	<b>179,420</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	26,107	16,588	16,966	17,349	17,797
<i>OASAS</i>	14,049	6,955	7,146	7,323	7,533
<i>OASAS - Other</i>	12,058	9,633	9,820	10,026	10,264
Justice Center	11,696	13,051	13,418	13,795	14,183
Mental Health, Office of	294,057	272,464	277,498	285,128	293,478
<i>OMH</i>	64,988	47,464	49,072	50,553	51,922
<i>OMH - Other</i>	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	215,836	196,875	197,271	202,041	207,095
<i>OPWDD</i>	17	181	181	181	181
<i>OPWDD - Other</i>	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>547,915</b>	<b>498,978</b>	<b>505,153</b>	<b>518,313</b>	<b>532,553</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	255	237	237	237	237
Correctional Services, Department of	525,761	551,996	552,046	552,046	552,046
Criminal Justice Services, Division of	11,451	13,211	13,211	13,211	13,211
Disaster Assistance	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	18,594	11,128	11,128	11,128	11,128



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Indigent Legal Services, Office of	238	535	535	535	535
Judicial Conduct, Commission on	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	8,922	7,381	7,381	7,381	7,381
State Police, Division of	74,403	65,503	65,833	65,833	65,833
Statewide Financial System	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	379	775	775	775	775
<b>Functional Total</b>	<u>611,211</u>	<u>670,924</u>	<u>671,310</u>	<u>671,338</u>	<u>671,368</u>
<b>HIGHER EDUCATION</b>					
City University of New York	40,599	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	65	93	93	93	93
Higher Education Services Corporation, New York State	28,510	25,977	25,977	25,977	25,977
State University of New York	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
<b>Functional Total</b>	<u>2,307,199</u>	<u>2,308,147</u>	<u>2,348,833</u>	<u>2,394,865</u>	<u>2,442,591</u>
<b>EDUCATION</b>					
Arts, Council on the	1,234	1,822	1,822	1,822	1,822
Education, Department of	45,211	67,482	57,102	57,102	57,050
<i>All Other</i>	45,211	67,482	57,102	57,102	57,050
<b>Functional Total</b>	<u>46,445</u>	<u>69,304</u>	<u>58,924</u>	<u>58,924</u>	<u>58,872</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	1,615	821	821	834	850
Deferred Compensation Board	73	231	231	231	235
Elections, State Board of	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	47	71	71	72	73
Gaming Commission, New York State	115,263	117,634	118,971	118,971	118,971
General Services, Office of	85,485	86,827	86,919	87,024	87,024
Inspector General, Office of the	831	815	815	827	839
Labor Management Committees	18,263	19,854	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	113	208	208	208	208
Public Employment Relations Board	226	236	237	241	246
Public Integrity, Commission on	852	911	911	930	949
State, Department of	14,446	18,549	13,820	13,820	13,820
Tax Appeals, Division of	272	170	170	170	170
Taxation and Finance, Department of	43,161	58,713	59,217	59,225	59,217
Technology, Office for	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	336	292	292	298	298
Welfare Inspector General, Office of	58	55	55	65	75
Workers' Compensation Board	60,293	60,729	60,729	61,897	63,089
<b>Functional Total</b>	<u>568,856</u>	<u>621,383</u>	<u>650,705</u>	<u>663,743</u>	<u>665,024</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,035	2,109	1,765	1,765	1,765
Judiciary	449,248	468,600	468,600	468,600	468,600
Law, Department of	49,676	53,739	54,541	55,290	56,383
Legislature	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	101	91	91	91
<b>Functional Total</b>	<u>579,147</u>	<u>610,415</u>	<u>610,513</u>	<u>611,262</u>	<u>612,460</u>
<b>ALL OTHER CATEGORIES</b>					
Long-Term Debt Service	36,616	39,157	49,313	49,313	49,313
Miscellaneous	22,644	22,646	237,647	23,658	1,669
<b>Functional Total</b>	<u>59,260</u>	<u>61,803</u>	<u>286,960</u>	<u>72,971</u>	<u>50,982</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>5,601,785</u>	<u>5,692,401</u>	<u>5,994,070</u>	<u>5,865,356</u>	<u>5,921,463</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,517	1,422	1,478	1,523	1,587
Alcoholic Beverage Control, Division of	4,776	153	0	0	0
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0
Financial Services, Department of	77,436	82,690	90,611	93,154	96,693
Olympic Regional Development Authority	20	0	0	0	0
Public Service Department	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>103,276</b>	<b>107,800</b>	<b>116,858</b>	<b>120,845</b>	<b>124,448</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	45,186	47,590	49,175	49,483	48,954
Parks, Recreation and Historic Preservation, Office of	2,863	3,317	2,938	2,938	2,938
<b>Functional Total</b>	<b>48,049</b>	<b>50,907</b>	<b>52,113</b>	<b>52,421</b>	<b>51,892</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,365	19,799	20,656	20,734	20,734
Transportation, Department of	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>28,003</b>	<b>23,913</b>	<b>25,284</b>	<b>25,609</b>	<b>25,609</b>
<b>HEALTH</b>					
Health, Department of	31,572	29,095	29,096	29,098	29,103
<i>Public Health</i>	31,572	29,095	29,096	29,098	29,103
<b>Functional Total</b>	<b>31,572</b>	<b>29,095</b>	<b>29,096</b>	<b>29,098</b>	<b>29,103</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,929	3,418	3,418	3,418	3,418
<i>OCFS</i>	2,929	3,418	3,418	3,418	3,418
Housing and Community Renewal, Division of	15,976	16,381	16,381	16,381	16,381
Labor, Department of	14,040	16,950	16,950	16,950	16,950
Temporary and Disability Assistance, Office of	70	0	0	0	0
<i>All Other</i>	70	0	0	0	0
<b>Functional Total</b>	<b>33,015</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	32,043	34,852	36,551	37,634	38,614
<i>OASAS</i>	13,118	15,806	17,029	17,624	18,114
<i>OASAS - Other</i>	18,925	19,046	19,522	20,010	20,500
Justice Center	630	739	802	880	898
Mental Health, Office of	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,258,812</b>	<b>1,277,559</b>	<b>1,319,446</b>	<b>1,375,678</b>	<b>1,415,329</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	92	132	136	136	136
Criminal Justice Services, Division of	41	88	88	88	88
Homeland Security and Emergency Services, Division of	507	852	873	896	896
Indigent Legal Services, Office of	599	956	956	956	956
Military and Naval Affairs, Division of	(7)	14	14	14	14
State Police, Division of	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>5,206</b>	<b>21,810</b>	<b>27,577</b>	<b>28,179</b>	<b>29,089</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	99	99	99	99
Higher Education Services Corporation, New York State	8,617	10,566	10,978	10,978	10,978
State University of New York	411,631	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<u>428,201</u>	<u>394,308</u>	<u>400,176</u>	<u>405,714</u>	<u>411,335</u>
<b>EDUCATION</b>					
Education, Department of	32,021	33,959	35,650	37,497	37,456
<i>All Other</i>	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<u>32,021</u>	<u>33,959</u>	<u>35,650</u>	<u>37,497</u>	<u>37,456</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	831	1,557	1,657	1,657	1,657
Civil Service, Department of	147	176	176	176	176
Deferred Compensation Board	147	225	225	225	225
Gaming Commission, New York State	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,589	446	469	494	494
State, Department of	8,372	9,953	10,260	10,516	10,871
Taxation and Finance, Department of	18,059	20,391	20,536	20,703	20,536
Workers' Compensation Board	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<u>87,234</u>	<u>99,904</u>	<u>104,300</u>	<u>104,748</u>	<u>104,936</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,494	2,201	2,324	2,385	2,385
Judiciary	685,300	710,300	771,253	780,853	778,353
Law, Department of	16,644	17,896	18,773	20,254	20,531
<b>Functional Total</b>	<u>703,438</u>	<u>730,397</u>	<u>792,350</u>	<u>803,492</u>	<u>801,269</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Miscellaneous	12,039	5,716	5,719	5,719	5,719
<b>Functional Total</b>	<u>4,693,638</u>	<u>4,744,681</u>	<u>5,083,220</u>	<u>5,281,954</u>	<u>5,669,115</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>7,452,465</u>	<u>7,551,082</u>	<u>8,022,819</u>	<u>8,301,984</u>	<u>8,736,330</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	1,749	2,500	2,475	0	0
<b>Functional Total</b>	<u>1,749</u>	<u>2,500</u>	<u>2,475</u>	<u>0</u>	<u>0</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>1,749</u>	<u>2,500</u>	<u>2,475</u>	<u>0</u>	<u>0</u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	1,569	13,433	3,274	14,150	0
Empire State Development Corporation	680,080	1,470,915	1,534,653	1,275,682	1,136,582
Energy Research and Development Authority	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	7,500	7,500	0	0	0
Power Authority, New York	0	2,500	2,500	1,244	0
Regional Economic Development Program	2,787	1,500	512	356	355
Strategic Investment Program	1,427	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>716,136</b>	<b>1,557,299</b>	<b>1,603,215</b>	<b>1,341,777</b>	<b>1,183,937</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	536,048	653,481	790,183	804,032	753,032
Hudson River Park Trust	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	141,328	133,150	127,650	127,650	127,650
<b>Functional Total</b>	<b>680,828</b>	<b>786,631</b>	<b>917,833</b>	<b>931,682</b>	<b>880,682</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	189,879	204,488	204,080	206,609	206,950
Thruway Authority, New York State	1,379	0	0	0	0
Transportation, Department of	4,327,332	4,525,879	4,528,602	4,585,994	4,651,855
<b>Functional Total</b>	<b>4,518,590</b>	<b>5,242,538</b>	<b>5,376,367</b>	<b>5,042,603</b>	<b>5,208,805</b>
<b>HEALTH</b>					
Health, Department of	107,158	272,289	605,289	684,289	552,289
<i>Public Health</i>	107,158	272,289	605,289	684,289	552,289
<b>Functional Total</b>	<b>107,158</b>	<b>272,289</b>	<b>605,289</b>	<b>684,289</b>	<b>552,289</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	21,186	20,931	20,931	20,931	20,931
<i>OCFS</i>	21,186	20,931	20,931	20,931	20,931
Housing and Community Renewal, Division of	83,880	176,227	327,611	542,942	567,792
Nonprofit Infrastructure Capital Investment Program	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	45,876	63,400	57,400	57,400	57,400
<i>All Other</i>	45,876	63,400	57,400	57,400	57,400
<b>Functional Total</b>	<b>150,942</b>	<b>273,558</b>	<b>435,942</b>	<b>648,273</b>	<b>666,123</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	44,857	66,295	62,317	56,335	56,360
<i>OASAS</i>	44,857	66,295	62,317	56,335	56,360
Mental Health, Office of	159,048	216,863	220,445	220,944	221,639
<i>OMH</i>	159,048	216,863	220,445	220,944	221,639
People with Developmental Disabilities, Office for	39,094	74,497	74,872	75,194	75,643
<i>OPWDD</i>	39,094	74,497	74,872	75,194	75,643
<b>Functional Total</b>	<b>242,999</b>	<b>357,655</b>	<b>357,634</b>	<b>352,473</b>	<b>353,642</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	260,188	298,088	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	104,394	80,681	23,775	15,753	5,000
Military and Naval Affairs, Division of	38,115	38,707	38,100	38,100	24,100
State Police, Division of	19,121	34,411	47,280	36,900	25,910
<b>Functional Total</b>	<b>421,818</b>	<b>451,887</b>	<b>417,219</b>	<b>380,817</b>	<b>348,074</b>
<b>HIGHER EDUCATION</b>					
City University of New York	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	136	20,000	25,000	22,000	13,000
State University of New York	945,565	892,249	877,249	842,249	822,249
<b>Functional Total</b>	<b>979,161</b>	<b>947,249</b>	<b>937,649</b>	<b>900,149</b>	<b>871,869</b>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>					
Education, Department of	21,325	376,692	559,100	541,400	389,157
<i>School Aid</i>	0	350,000	500,000	500,000	350,000
<i>All Other</i>	21,325	26,692	59,100	41,400	39,157
<b>Functional Total</b>	<u>21,325</u>	<u>376,692</u>	<u>559,100</u>	<u>541,400</u>	<u>389,157</u>
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	101,785	131,618	115,383	129,883	98,883
State, Department of	2,065	0	0	0	0
Technology, Office for	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<u>202,278</u>	<u>213,868</u>	<u>187,298</u>	<u>175,583</u>	<u>143,883</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	0	4,600	1,400	0	0
Law, Department of	1,449	5,000	5,000	3,621	2,500
<b>Functional Total</b>	<u>1,449</u>	<u>9,600</u>	<u>6,400</u>	<u>3,621</u>	<u>2,500</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	212,525	284,000	322,000	300,000	300,000
Special Infrastructure Account	725,592	1,148,615	1,197,241	1,077,610	810,750
<b>Functional Total</b>	<u>938,117</u>	<u>1,432,615</u>	<u>1,519,241</u>	<u>1,377,610</u>	<u>1,110,750</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u><u>8,980,801</u></u>	<u><u>11,921,881</u></u>	<u><u>12,923,187</u></u>	<u><u>12,380,277</u></u>	<u><u>11,711,711</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>103,855</b>	<b>96,983</b>	<b>91,561</b>	<b>98,061</b>	<b>98,197</b>
Local Assistance Grants	27,279	32,250	35,026	25,612	25,612
State Operations	68,227	52,688	49,378	65,197	65,202
Personal Service	32,116	31,993	31,998	32,003	32,008
Non-Personal Service/Indirect Costs	36,111	20,695	17,380	33,194	33,194
General State Charges	3,573	3,044	3,157	3,252	3,383
Capital Projects	4,776	9,001	4,000	4,000	4,000
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>17,277</b>	<b>12,836</b>	<b>12,683</b>	<b>12,683</b>	<b>12,744</b>
State Operations	12,501	12,683	12,683	12,683	12,744
Personal Service	7,622	8,147	8,147	8,147	8,208
Non-Personal Service/Indirect Costs	4,879	4,536	4,536	4,536	4,536
General State Charges	4,776	153	0	0	0
<b><i>Economic Development Capital</i></b>	<b>6,614</b>	<b>23,000</b>	<b>29,276</b>	<b>24,250</b>	<b>23,000</b>
Local Assistance Grants	6,614	0	0	0	0
Capital Projects	0	23,000	29,276	24,250	23,000
<b><i>Economic Development, Department of</i></b>	<b>92,494</b>	<b>99,197</b>	<b>91,552</b>	<b>102,428</b>	<b>88,278</b>
Local Assistance Grants	71,410	64,120	67,434	67,434	67,434
State Operations	21,056	21,616	20,816	20,816	20,816
Personal Service	11,974	13,329	13,329	13,329	13,329
Non-Personal Service/Indirect Costs	9,082	8,287	7,487	7,487	7,487
General State Charges	0	28	28	28	28
Capital Projects	28	13,433	3,274	14,150	0
<b><i>Empire State Development Corporation</i></b>	<b>738,836</b>	<b>1,614,289</b>	<b>1,681,999</b>	<b>1,423,028</b>	<b>1,283,928</b>
Local Assistance Grants	705,116	1,368,988	1,309,321	979,250	916,250
Capital Projects	33,720	245,301	372,678	443,778	367,678
<b><i>Energy Research and Development Authority</i></b>	<b>15,191</b>	<b>23,450</b>	<b>23,000</b>	<b>14,724</b>	<b>13,000</b>
Local Assistance Grants	1,842	0	0	0	0
State Operations	1,431	0	0	0	0
Personal Service	1,024	0	0	0	0
Non-Personal Service/Indirect Costs	407	0	0	0	0
General State Charges	535	0	0	0	0
Capital Projects	11,383	23,450	23,000	14,724	13,000
<b><i>Financial Services, Department of</i></b>	<b>361,476</b>	<b>350,490</b>	<b>359,586</b>	<b>362,129</b>	<b>365,668</b>
Local Assistance Grants	80,686	57,174	57,049	57,049	57,049
State Operations	203,339	210,626	211,926	211,926	211,926
Personal Service	149,000	154,155	155,905	155,905	155,905
Non-Personal Service/Indirect Costs	54,339	56,471	56,021	56,021	56,021
General State Charges	77,451	82,690	90,611	93,154	96,693
<b><i>Olympic Regional Development Authority</i></b>	<b>10,611</b>	<b>10,386</b>	<b>2,886</b>	<b>2,886</b>	<b>2,886</b>
State Operations	3,091	2,886	2,886	2,886	2,886
Personal Service	2,593	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	498	338	338	338	338
General State Charges	20	0	0	0	0
Capital Projects	7,500	7,500	0	0	0
<b><i>Power Authority, New York</i></b>	<b>0</b>	<b>2,500</b>	<b>2,500</b>	<b>1,244</b>	<b>0</b>
Capital Projects	0	2,500	2,500	1,244	0
<b><i>Public Service Department</i></b>	<b>71,087</b>	<b>74,968</b>	<b>76,233</b>	<b>77,632</b>	<b>77,632</b>
Local Assistance Grants	0	172	172	172	172
State Operations	51,548	50,589	50,600	50,600	50,600
Personal Service	42,792	43,088	43,105	43,105	43,105
Non-Personal Service/Indirect Costs	8,756	7,501	7,495	7,495	7,495
General State Charges	19,539	24,207	25,461	26,860	26,860
<b><i>Regional Economic Development Program</i></b>	<b>2,787</b>	<b>1,500</b>	<b>512</b>	<b>356</b>	<b>355</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Local Assistance Grants	2,787	0	0	0	0
Capital Projects	0	1,500	512	356	355
<b>Strategic Investment Program</b>	<b>1,427</b>	<b>6,000</b>	<b>6,000</b>	<b>7,371</b>	<b>7,000</b>
Local Assistance Grants	1,427	0	0	0	0
Capital Projects	0	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>1,421,655</b>	<b>2,315,599</b>	<b>2,377,788</b>	<b>2,126,792</b>	<b>1,972,688</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b>Adirondack Park Agency</b>	<b>4,350</b>	<b>4,682</b>	<b>4,682</b>	<b>4,682</b>	<b>4,682</b>
State Operations	4,350	4,682	4,682	4,682	4,682
Personal Service	4,036	4,027	4,027	4,027	4,027
Non-Personal Service/Indirect Costs	314	655	655	655	655
<b>Environmental Conservation, Department of</b>	<b>878,293</b>	<b>991,032</b>	<b>1,131,493</b>	<b>1,144,424</b>	<b>1,093,785</b>
Local Assistance Grants	312,669	205,782	207,305	205,390	205,390
State Operations	280,475	273,926	273,777	274,446	275,336
Personal Service	207,472	202,225	202,078	202,359	202,476
Non-Personal Service/Indirect Costs	73,003	71,701	71,699	72,087	72,860
General State Charges	57,508	58,625	60,228	60,556	60,027
Capital Projects	227,641	452,699	590,183	604,032	553,032
<b>Hudson River Park Trust</b>	<b>3,452</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Projects	3,452	0	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>344,507</b>	<b>328,567</b>	<b>322,168</b>	<b>318,443</b>	<b>318,443</b>
Local Assistance Grants	13,682	9,965	9,470	9,470	9,470
State Operations	184,894	179,005	179,005	177,755	177,755
Personal Service	137,415	132,806	132,806	132,556	132,556
Non-Personal Service/Indirect Costs	47,479	46,199	46,199	45,199	45,199
General State Charges	2,863	3,947	3,568	3,568	3,568
Capital Projects	143,068	135,650	130,125	127,650	127,650
<b>Functional Total</b>	<b>1,230,602</b>	<b>1,324,281</b>	<b>1,458,343</b>	<b>1,467,549</b>	<b>1,416,910</b>
<b>TRANSPORTATION</b>					
<b>Metropolitan Transportation Authority</b>	<b>0</b>	<b>512,171</b>	<b>643,685</b>	<b>250,000</b>	<b>350,000</b>
Local Assistance Grants	0	512,171	643,685	250,000	350,000
<b>Motor Vehicles, Department of</b>	<b>292,446</b>	<b>301,333</b>	<b>302,493</b>	<b>305,100</b>	<b>305,441</b>
Local Assistance Grants	14,681	18,000	18,000	18,000	18,000
State Operations	62,922	56,870	57,520	57,520	57,520
Personal Service	44,887	38,930	39,580	39,580	39,580
Non-Personal Service/Indirect Costs	18,035	17,940	17,940	17,940	17,940
General State Charges	24,964	21,975	22,893	22,971	22,971
Capital Projects	189,879	204,488	204,080	206,609	206,950
<b>Thruway Authority, New York State</b>	<b>17,948</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,379	0	0	0	0
State Operations	16,569	0	0	0	0
Non-Personal Service/Indirect Costs	16,569	0	0	0	0
<b>Transportation, Department of</b>	<b>9,124,697</b>	<b>9,547,990</b>	<b>9,639,208</b>	<b>9,753,978</b>	<b>9,915,494</b>
Local Assistance Grants	5,680,162	6,194,318	6,160,591	6,204,518	6,297,515
State Operations	23,687	28,814	29,010	29,010	29,010
Personal Service	10,209	12,554	12,734	12,734	12,734
Non-Personal Service/Indirect Costs	13,478	16,260	16,276	16,276	16,276
General State Charges	5,745	7,031	7,800	8,215	8,215
Capital Projects	3,415,103	3,317,827	3,441,807	3,512,235	3,580,754
<b>Functional Total</b>	<b>9,435,091</b>	<b>10,361,494</b>	<b>10,585,386</b>	<b>10,309,078</b>	<b>10,570,935</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>HEALTH</b>					
<b>Aging, Office for the</b>	<b>229,479</b>	<b>252,479</b>	<b>248,653</b>	<b>235,580</b>	<b>240,891</b>
Local Assistance Grants	217,793	240,839	237,013	227,096	232,407
State Operations	11,686	11,640	11,640	8,484	8,484
Personal Service	7,025	7,285	7,285	7,285	7,285
Non-Personal Service/Indirect Costs	4,661	4,355	4,355	1,199	1,199
<b>Health, Department of</b>	<b>55,632,709</b>	<b>57,450,431</b>	<b>60,211,431</b>	<b>62,246,515</b>	<b>64,102,136</b>
<b>Medical Assistance</b>	<b>48,094,607</b>	<b>48,960,356</b>	<b>51,254,852</b>	<b>53,117,328</b>	<b>54,961,917</b>
Local Assistance Grants	48,094,607	48,960,356	51,254,852	53,117,328	54,961,917
<b>Essential Plan</b>	<b>1,539,298</b>	<b>2,460,805</b>	<b>2,534,705</b>	<b>2,609,498</b>	<b>2,682,684</b>
Local Assistance Grants	1,525,938	2,417,585	2,494,947	2,569,796	2,641,750
State Operations	13,360	43,220	39,758	39,702	40,934
Personal Service	391	1,375	1,416	1,458	1,502
Non-Personal Service/Indirect Costs	12,969	41,845	38,342	38,244	39,432
<b>Medicaid Administration</b>	<b>1,666,498</b>	<b>1,626,185</b>	<b>1,616,059</b>	<b>1,574,988</b>	<b>1,571,479</b>
Local Assistance Grants	1,005,222	914,553	883,156	851,758	820,361
State Operations	658,002	711,632	732,903	723,230	751,118
Personal Service	61,581	119,690	127,127	140,425	137,734
Non-Personal Service/Indirect Costs	596,421	591,942	605,776	582,805	613,384
General State Charges	3,274	0	0	0	0
<b>Public Health</b>	<b>4,332,306</b>	<b>4,403,085</b>	<b>4,805,815</b>	<b>4,944,701</b>	<b>4,886,056</b>
Local Assistance Grants	3,555,898	3,611,867	4,068,761	4,204,984	4,145,805
State Operations	653,045	625,980	619,454	619,448	619,964
Personal Service	284,476	269,613	265,718	265,665	265,658
Non-Personal Service/Indirect Costs	368,569	356,367	353,736	353,783	354,306
General State Charges	62,215	77,949	80,311	82,980	82,998
Capital Projects	61,148	87,289	37,289	37,289	37,289
<b>Medicaid Inspector General, Office of the</b>	<b>51,999</b>	<b>51,204</b>	<b>51,204</b>	<b>51,204</b>	<b>51,204</b>
State Operations	43,045	41,864	41,864	41,864	41,864
Personal Service	33,122	31,756	31,756	31,756	31,756
Non-Personal Service/Indirect Costs	9,923	10,108	10,108	10,108	10,108
General State Charges	8,954	9,340	9,340	9,340	9,340
<b>Functional Total</b>	<b>55,914,187</b>	<b>57,754,114</b>	<b>60,511,288</b>	<b>62,533,299</b>	<b>64,394,231</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>3,015,520</b>	<b>3,076,943</b>	<b>3,046,592</b>	<b>3,070,120</b>	<b>3,092,287</b>
<b>OCFS</b>	<b>2,926,598</b>	<b>2,986,670</b>	<b>2,954,592</b>	<b>2,977,939</b>	<b>2,998,218</b>
Local Assistance Grants	2,543,854	2,612,957	2,574,886	2,590,085	2,610,364
State Operations	344,820	336,658	342,462	350,610	350,610
Personal Service	190,637	190,776	191,344	193,249	193,249
Non-Personal Service/Indirect Costs	154,183	145,882	151,118	157,361	157,361
General State Charges	16,738	16,124	16,313	16,313	16,313
Capital Projects	21,186	20,931	20,931	20,931	20,931
<b>OCFS - Other</b>	<b>88,922</b>	<b>90,273</b>	<b>92,000</b>	<b>92,181</b>	<b>94,069</b>
Local Assistance Grants	88,922	90,273	92,000	92,181	94,069
<b>Housing and Community Renewal, Division of</b>	<b>217,494</b>	<b>310,489</b>	<b>485,925</b>	<b>706,422</b>	<b>736,272</b>
Local Assistance Grants	139,769	227,887	403,130	623,461	653,311
State Operations	58,235	59,281	59,426	59,550	59,550
Personal Service	45,570	47,840	47,923	47,998	47,998
Non-Personal Service/Indirect Costs	12,665	11,441	11,503	11,552	11,552
General State Charges	19,490	20,321	20,369	20,411	20,411
Capital Projects	0	3,000	3,000	3,000	3,000

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>Human Rights, Division of</b>	<b>14,639</b>	<b>14,226</b>	<b>14,289</b>	<b>14,343</b>	<b>14,343</b>
State Operations	14,639	14,226	14,289	14,343	14,343
Personal Service	11,899	12,536	12,567	12,596	12,596
Non-Personal Service/Indirect Costs	2,740	1,690	1,722	1,747	1,747
<b>Labor, Department of</b>	<b>546,390</b>	<b>577,958</b>	<b>569,612</b>	<b>569,612</b>	<b>569,612</b>
Local Assistance Grants	168,509	169,667	157,475	157,475	157,475
State Operations	271,348	295,026	297,544	297,544	297,544
Personal Service	202,854	202,881	202,985	202,985	202,985
Non-Personal Service/Indirect Costs	68,494	92,145	94,559	94,559	94,559
General State Charges	106,533	113,265	114,593	114,593	114,593
<b>National and Community Service</b>	<b>15,100</b>	<b>14,909</b>	<b>16,029</b>	<b>16,335</b>	<b>16,335</b>
Local Assistance Grants	142	350	350	350	350
State Operations	14,958	14,559	15,679	15,985	15,985
Personal Service	556	690	701	708	708
Non-Personal Service/Indirect Costs	14,402	13,869	14,978	15,277	15,277
<b>Nonprofit Infrastructure Capital Investment Program</b>	<b>0</b>	<b>13,000</b>	<b>30,000</b>	<b>27,000</b>	<b>20,000</b>
Capital Projects	0	13,000	30,000	27,000	20,000
<b>Temporary and Disability Assistance, Office of</b>	<b>5,289,204</b>	<b>5,093,085</b>	<b>5,114,014</b>	<b>5,134,985</b>	<b>5,144,785</b>
<b>Welfare Assistance</b>	<b>3,985,752</b>	<b>3,784,769</b>	<b>3,806,699</b>	<b>3,818,699</b>	<b>3,820,699</b>
Local Assistance Grants	3,985,752	3,784,769	3,806,699	3,818,699	3,820,699
<b>All Other</b>	<b>1,303,452</b>	<b>1,308,316</b>	<b>1,307,315</b>	<b>1,316,286</b>	<b>1,324,086</b>
Local Assistance Grants	974,149	973,905	974,996	981,396	989,196
State Operations	289,826	289,038	286,946	289,517	289,517
Personal Service	142,685	135,115	135,924	136,740	136,740
Non-Personal Service/Indirect Costs	147,141	153,923	151,022	152,777	152,777
General State Charges	39,101	44,973	44,973	44,973	44,973
Capital Projects	376	400	400	400	400
<b>Functional Total</b>	<b>9,098,347</b>	<b>9,100,610</b>	<b>9,276,461</b>	<b>9,538,817</b>	<b>9,593,634</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>594,596</b>	<b>623,833</b>	<b>652,641</b>	<b>669,631</b>	<b>691,636</b>
<b>OASAS</b>	<b>507,773</b>	<b>541,444</b>	<b>569,476</b>	<b>585,535</b>	<b>606,480</b>
Local Assistance Grants	454,010	474,448	499,889	515,563	535,441
State Operations	40,645	39,074	39,420	40,192	40,744
Personal Service	24,709	29,991	30,146	30,709	31,015
Non-Personal Service/Indirect Costs	15,936	9,083	9,274	9,483	9,729
General State Charges	13,118	16,433	17,678	18,291	18,806
Capital Projects	0	11,489	12,489	11,489	11,489
<b>OASAS - Other</b>	<b>86,823</b>	<b>82,389</b>	<b>83,165</b>	<b>84,096</b>	<b>85,156</b>
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	46,573	42,018	42,318	42,761	43,331
Personal Service	34,515	32,385	32,498	32,735	33,067
Non-Personal Service/Indirect Costs	12,058	9,633	9,820	10,026	10,264
General State Charges	18,925	19,046	19,522	20,010	20,500
<b>Developmental Disabilities Planning Council</b>	<b>3,386</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>
State Operations	2,932	3,499	3,456	3,415	3,415
Personal Service	838	1,253	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,094	2,246	2,190	2,149	2,149
General State Charges	454	701	744	785	785
<b>Justice Center</b>	<b>40,205</b>	<b>42,671</b>	<b>43,325</b>	<b>44,226</b>	<b>44,921</b>
Local Assistance Grants	544	649	649	649	649
State Operations	38,996	41,226	41,811	42,633	43,310
Personal Service	26,675	27,653	27,857	28,302	28,591

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	12,321	13,573	13,954	14,331	14,719
General State Charges	665	796	865	944	962
<b>Mental Health, Office of</b>	<b>3,359,196</b>	<b>3,379,610</b>	<b>3,519,094</b>	<b>3,715,234</b>	<b>3,817,067</b>
<b>OMH</b>	<b>1,560,431</b>	<b>1,683,475</b>	<b>1,787,515</b>	<b>1,929,699</b>	<b>1,984,639</b>
Local Assistance Grants	951,573	1,008,718	1,100,902	1,227,285	1,271,751
State Operations	356,185	345,827	349,947	355,458	359,863
Personal Service	290,796	298,209	300,721	304,751	307,787
Non-Personal Service/Indirect Costs	65,389	47,618	49,226	50,707	52,076
General State Charges	150,200	170,150	177,304	187,095	192,469
Capital Projects	102,473	158,780	159,362	159,861	160,556
<b>OMH - Other</b>	<b>1,798,765</b>	<b>1,696,135</b>	<b>1,731,579</b>	<b>1,785,535</b>	<b>1,832,428</b>
Local Assistance Grants	280,236	272,311	300,681	311,611	322,814
State Operations	1,062,786	985,485	981,415	997,917	1,017,251
Personal Service	833,717	760,485	752,989	763,342	775,695
Non-Personal Service/Indirect Costs	229,069	225,000	228,426	234,575	241,556
General State Charges	455,743	438,339	449,483	476,007	492,363
<b>Mental Hygiene, Department of</b>	<b>219</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	219	0	0	0	0
Non-Personal Service/Indirect Costs	219	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>3,223,358</b>	<b>3,070,514</b>	<b>3,500,494</b>	<b>3,740,881</b>	<b>3,942,562</b>
<b>OPWDD</b>	<b>370,536</b>	<b>451,304</b>	<b>505,356</b>	<b>554,274</b>	<b>609,319</b>
Local Assistance Grants	334,196	379,505	433,182	481,778	536,374
State Operations	296	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	296	1,181	1,181	1,181	1,181
Capital Projects	36,044	70,618	70,993	71,315	71,764
<b>OPWDD - Other</b>	<b>2,852,822</b>	<b>2,619,210</b>	<b>2,995,138</b>	<b>3,186,607</b>	<b>3,333,243</b>
Local Assistance Grants	864,528	691,534	1,050,782	1,206,741	1,319,914
State Operations	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
Personal Service	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
Non-Personal Service/Indirect Costs	215,819	196,694	197,090	201,860	206,914
General State Charges	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>7,220,960</b>	<b>7,120,828</b>	<b>7,719,754</b>	<b>8,174,172</b>	<b>8,500,386</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,297</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>
State Operations	2,297	2,651	2,651	2,651	2,651
Personal Service	2,042	2,414	2,414	2,414	2,414
Non-Personal Service/Indirect Costs	255	237	237	237	237
<b>Correctional Services, Department of</b>	<b>2,982,445</b>	<b>2,944,414</b>	<b>2,961,650</b>	<b>2,946,406</b>	<b>2,956,561</b>
Local Assistance Grants	4,251	5,497	5,497	5,497	5,497
State Operations	2,717,010	2,639,370	2,646,626	2,649,382	2,656,537
Personal Service	2,190,226	2,086,183	2,093,389	2,096,145	2,103,300
Non-Personal Service/Indirect Costs	526,784	553,187	553,237	553,237	553,237
General State Charges	996	1,459	1,463	1,463	1,463
Capital Projects	260,188	298,088	308,064	290,064	293,064
<b>Criminal Justice Services, Division of</b>	<b>224,078</b>	<b>240,778</b>	<b>230,643</b>	<b>230,643</b>	<b>230,643</b>
Local Assistance Grants	180,062	186,186	176,051	176,051	176,051
State Operations	43,716	51,144	51,144	51,144	51,144
Personal Service	29,647	31,184	31,184	31,184	31,184
Non-Personal Service/Indirect Costs	14,069	19,960	19,960	19,960	19,960
General State Charges	300	3,448	3,448	3,448	3,448
<b>Disaster Assistance</b>	<b>(51,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	(51,789)	0	0	0	0
Personal Service	(1,768)	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	(50,021)	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>1,974,600</b>	<b>1,717,876</b>	<b>1,081,529</b>	<b>808,143</b>	<b>781,664</b>
Local Assistance Grants	1,837,898	1,628,369	1,022,182	758,620	733,794
State Operations	120,000	45,058	39,058	39,058	39,058
Personal Service	27,041	21,118	21,118	21,118	21,118
Non-Personal Service/Indirect Costs	92,959	23,940	17,940	17,940	17,940
General State Charges	10,022	3,768	3,789	3,812	3,812
Capital Projects	6,680	40,681	16,500	6,653	5,000
<b>Indigent Legal Services, Office of</b>	<b>60,116</b>	<b>86,695</b>	<b>105,295</b>	<b>105,295</b>	<b>105,295</b>
Local Assistance Grants	58,068	83,000	101,600	101,600	101,600
State Operations	1,449	2,739	2,739	2,739	2,739
Personal Service	1,211	2,204	2,204	2,204	2,204
Non-Personal Service/Indirect Costs	238	535	535	535	535
General State Charges	599	956	956	956	956
<b>Judicial Conduct, Commission on</b>	<b>5,567</b>	<b>5,584</b>	<b>5,584</b>	<b>5,643</b>	<b>5,708</b>
State Operations	5,567	5,584	5,584	5,643	5,708
Personal Service	4,208	4,281	4,281	4,312	4,347
Non-Personal Service/Indirect Costs	1,359	1,303	1,303	1,331	1,361
<b>Judicial Nomination, Commission on</b>	<b>20</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	20	30	30	30	30
Non-Personal Service/Indirect Costs	20	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	14	38	38	38	38
Non-Personal Service/Indirect Costs	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>102,534</b>	<b>104,972</b>	<b>104,781</b>	<b>105,252</b>	<b>91,252</b>
Local Assistance Grants	805	911	911	911	911
State Operations	57,893	57,019	57,019	57,019	57,019
Personal Service	35,517	36,348	36,348	36,348	36,348
Non-Personal Service/Indirect Costs	22,376	20,671	20,671	20,671	20,671
General State Charges	5,721	8,335	8,751	9,222	9,222
Capital Projects	38,115	38,707	38,100	38,100	24,100
<b>State Police, Division of</b>	<b>733,485</b>	<b>782,241</b>	<b>795,014</b>	<b>785,213</b>	<b>775,133</b>
Local Assistance Grants	0	6,000	0	0	0
State Operations	710,507	722,752	722,914	722,914	722,914
Personal Service	629,333	626,249	637,081	637,081	637,081
Non-Personal Service/Indirect Costs	81,174	96,503	85,833	85,833	85,833
General State Charges	3,857	19,078	24,820	25,399	26,309
Capital Projects	19,121	34,411	47,280	36,900	25,910
<b>Statewide Financial System</b>	<b>30,070</b>	<b>30,137</b>	<b>30,143</b>	<b>30,143</b>	<b>30,143</b>
State Operations	30,070	30,137	30,143	30,143	30,143
Personal Service	10,234	11,350	11,350	11,350	11,350
Non-Personal Service/Indirect Costs	19,836	18,787	18,793	18,793	18,793
<b>Victim Services, Office of</b>	<b>64,276</b>	<b>66,230</b>	<b>76,090</b>	<b>76,090</b>	<b>76,090</b>
Local Assistance Grants	58,114	57,547	67,407	67,407	67,407
State Operations	4,627	6,121	6,121	6,121	6,121
Personal Service	4,074	4,834	4,834	4,834	4,834
Non-Personal Service/Indirect Costs	553	1,287	1,287	1,287	1,287
General State Charges	1,535	2,562	2,562	2,562	2,562
<b>Functional Total</b>	<b>6,127,713</b>	<b>5,981,646</b>	<b>5,393,448</b>	<b>5,095,547</b>	<b>5,055,208</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,565,917</b>	<b>1,582,601</b>	<b>1,616,576</b>	<b>1,659,427</b>	<b>1,687,020</b>
Local Assistance Grants	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
State Operations	95,103	85,634	87,032	88,455	89,904

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	46,870	45,402	45,996	46,598	47,210
Non-Personal Service/Indirect Costs	48,233	40,232	41,036	41,857	42,694
General State Charges	7,892	7,892	7,892	7,892	7,892
Capital Projects	33,460	35,000	35,400	35,900	36,620
<b>Higher Education - Miscellaneous</b>	<b>259</b>	<b>390</b>	<b>390</b>	<b>390</b>	<b>390</b>
State Operations	198	291	291	291	291
Personal Service	133	198	198	198	198
Non-Personal Service/Indirect Costs	65	93	93	93	93
General State Charges	61	99	99	99	99
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>136</b>	<b>20,000</b>	<b>25,000</b>	<b>22,000</b>	<b>13,000</b>
Local Assistance Grants	136	974	0	0	0
Capital Projects	0	19,026	25,000	22,000	13,000
<b>Higher Education Services Corporation, New York State</b>	<b>1,084,054</b>	<b>1,126,926</b>	<b>1,161,881</b>	<b>1,182,477</b>	<b>1,193,979</b>
Local Assistance Grants	1,025,184	1,068,031	1,102,574	1,123,170	1,134,672
State Operations	50,252	47,910	47,910	47,910	47,910
Personal Service	15,256	16,136	16,136	16,136	16,136
Non-Personal Service/Indirect Costs	34,996	31,774	31,774	31,774	31,774
General State Charges	8,618	10,985	11,397	11,397	11,397
<b>State University of New York</b>	<b>8,042,846</b>	<b>7,939,225</b>	<b>8,003,502</b>	<b>8,071,607</b>	<b>8,160,523</b>
Local Assistance Grants	514,892	516,620	516,208	515,888	515,375
State Operations	6,184,867	6,154,554	6,228,787	6,326,854	6,430,482
Personal Service	3,637,321	3,685,078	3,719,429	3,772,285	3,829,024
Non-Personal Service/Indirect Costs	2,547,546	2,469,476	2,509,358	2,554,569	2,601,458
General State Charges	411,739	375,802	381,258	386,796	392,417
Capital Projects	931,348	892,249	877,249	842,069	822,249
<b>Functional Total</b>	<b>10,693,212</b>	<b>10,669,142</b>	<b>10,807,349</b>	<b>10,935,901</b>	<b>11,054,912</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>42,178</b>	<b>46,213</b>	<b>45,953</b>	<b>45,953</b>	<b>45,953</b>
Local Assistance Grants	38,691	41,793	41,533	41,533	41,533
State Operations	3,487	4,420	4,420	4,420	4,420
Personal Service	2,253	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,234	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>32,811,466</b>	<b>34,311,622</b>	<b>35,822,041</b>	<b>37,243,633</b>	<b>38,559,783</b>
<b>School Aid</b>	<b>25,501,461</b>	<b>27,450,245</b>	<b>29,121,439</b>	<b>30,465,647</b>	<b>31,722,716</b>
Local Assistance Grants	25,501,153	27,450,245	29,121,439	30,465,647	31,722,716
State Operations	296	0	0	0	0
Personal Service	82	0	0	0	0
Non-Personal Service/Indirect Costs	214	0	0	0	0
General State Charges	12	0	0	0	0
<b>STAR Property Tax Relief</b>	<b>3,334,700</b>	<b>3,227,844</b>	<b>2,976,792</b>	<b>2,921,232</b>	<b>2,869,171</b>
Local Assistance Grants	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<b>Special Education Categorical Programs</b>	<b>2,201,098</b>	<b>2,258,850</b>	<b>2,369,590</b>	<b>2,495,380</b>	<b>2,630,228</b>
Local Assistance Grants	2,178,960	2,258,850	2,369,590	2,495,380	2,630,228
State Operations	17,627	0	0	0	0
Personal Service	9,167	0	0	0	0
Non-Personal Service/Indirect Costs	8,460	0	0	0	0
General State Charges	4,511	0	0	0	0
<b>All Other</b>	<b>1,774,207</b>	<b>1,374,683</b>	<b>1,354,220</b>	<b>1,361,374</b>	<b>1,337,668</b>
Local Assistance Grants	1,379,274	978,952	940,860	958,867	937,625
State Operations	315,511	299,173	287,703	287,703	287,523
Personal Service	161,635	169,129	168,039	168,039	167,911
Non-Personal Service/Indirect Costs	153,876	130,044	119,664	119,664	119,612

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
General State Charges	72,002	83,866	85,557	87,404	87,363
Capital Projects	7,420	12,692	40,100	27,400	25,157
<b>Functional Total</b>	<b>32,853,644</b>	<b>34,357,835</b>	<b>35,867,994</b>	<b>37,289,586</b>	<b>38,605,736</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>24,227</b>	<b>31,022</b>	<b>30,596</b>	<b>30,596</b>	<b>30,596</b>
State Operations	23,396	29,465	28,939	28,939	28,939
Personal Service	20,436	24,514	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	2,960	4,951	4,372	4,372	4,372
General State Charges	831	1,557	1,657	1,657	1,657
<b>Civil Service, Department of</b>	<b>13,424</b>	<b>13,381</b>	<b>13,381</b>	<b>13,507</b>	<b>13,617</b>
State Operations	13,277	13,205	13,205	13,331	13,441
Personal Service	11,662	12,384	12,384	12,497	12,591
Non-Personal Service/Indirect Costs	1,615	821	821	834	850
General State Charges	147	176	176	176	176
<b>Deferred Compensation Board</b>	<b>524</b>	<b>866</b>	<b>866</b>	<b>866</b>	<b>873</b>
State Operations	377	641	641	641	648
Personal Service	304	410	410	410	413
Non-Personal Service/Indirect Costs	73	231	231	231	235
General State Charges	147	225	225	225	225
<b>Elections, State Board of</b>	<b>12,447</b>	<b>14,982</b>	<b>14,982</b>	<b>8,587</b>	<b>8,697</b>
Local Assistance Grants	586	0	0	0	0
State Operations	11,861	14,982	14,982	8,587	8,697
Personal Service	5,730	5,909	5,875	6,018	6,063
Non-Personal Service/Indirect Costs	6,131	9,073	9,107	2,569	2,634
<b>Employee Relations, Office of</b>	<b>2,247</b>	<b>2,581</b>	<b>2,581</b>	<b>2,601</b>	<b>2,621</b>
State Operations	2,247	2,581	2,581	2,601	2,621
Personal Service	2,200	2,510	2,510	2,529	2,548
Non-Personal Service/Indirect Costs	47	71	71	72	73
<b>Gaming Commission, New York State</b>	<b>251,588</b>	<b>267,907</b>	<b>289,803</b>	<b>314,803</b>	<b>314,803</b>
Local Assistance Grants	91,881	99,302	114,500	139,500	139,500
State Operations	146,812	152,897	157,728	157,728	157,728
Personal Service	31,549	35,263	38,757	38,757	38,757
Non-Personal Service/Indirect Costs	115,263	117,634	118,971	118,971	118,971
General State Charges	12,895	15,708	17,575	17,575	17,575
<b>General Services, Office of</b>	<b>268,221</b>	<b>302,887</b>	<b>286,767</b>	<b>301,397</b>	<b>270,397</b>
Local Assistance Grants	0	250	250	250	250
State Operations	163,847	170,573	170,665	170,770	170,770
Personal Service	71,454	78,759	78,759	78,759	78,759
Non-Personal Service/Indirect Costs	92,393	91,814	91,906	92,011	92,011
General State Charges	2,589	446	469	494	494
Capital Projects	101,785	131,618	115,383	129,883	98,883
<b>Inspector General, Office of the</b>	<b>7,061</b>	<b>7,367</b>	<b>7,367</b>	<b>7,427</b>	<b>7,487</b>
State Operations	7,061	7,367	7,367	7,427	7,487
Personal Service	6,230	6,552	6,552	6,600	6,648
Non-Personal Service/Indirect Costs	831	815	815	827	839
<b>Labor Management Committees</b>	<b>24,882</b>	<b>25,300</b>	<b>25,300</b>	<b>25,300</b>	<b>25,306</b>
State Operations	24,882	25,300	25,300	25,300	25,306
Personal Service	6,619	5,446	5,446	5,446	5,487
Non-Personal Service/Indirect Costs	18,263	19,854	19,854	19,854	19,819
<b>Prevention of Domestic Violence, Office for</b>	<b>2,056</b>	<b>2,381</b>	<b>2,481</b>	<b>2,481</b>	<b>2,581</b>
Local Assistance Grants	575	785	885	885	985
State Operations	1,481	1,596	1,596	1,596	1,596
Personal Service	1,368	1,388	1,388	1,388	1,388

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	113	208	208	208	208
<b>Public Employment Relations Board</b>	<b>3,433</b>	<b>3,572</b>	<b>3,573</b>	<b>3,604</b>	<b>3,634</b>
State Operations	3,433	3,572	3,573	3,604	3,634
Personal Service	3,207	3,336	3,336	3,363	3,388
Non-Personal Service/Indirect Costs	226	236	237	241	246
<b>Public Integrity, Commission on</b>	<b>4,332</b>	<b>5,531</b>	<b>5,531</b>	<b>5,576</b>	<b>5,630</b>
State Operations	4,332	5,531	5,531	5,576	5,630
Personal Service	3,480	4,620	4,620	4,646	4,681
Non-Personal Service/Indirect Costs	852	911	911	930	949
<b>State, Department of</b>	<b>128,351</b>	<b>136,155</b>	<b>126,397</b>	<b>126,653</b>	<b>127,008</b>
Local Assistance Grants	72,431	68,134	62,836	62,836	62,836
State Operations	46,485	55,276	50,509	50,509	50,509
Personal Service	31,060	32,688	32,650	32,650	32,650
Non-Personal Service/Indirect Costs	15,425	22,588	17,859	17,859	17,859
General State Charges	9,435	12,745	13,052	13,308	13,663
<b>Tax Appeals, Division of</b>	<b>3,035</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>
State Operations	3,035	3,040	3,040	3,040	3,040
Personal Service	2,763	2,870	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	272	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>354,936</b>	<b>352,264</b>	<b>353,066</b>	<b>353,241</b>	<b>353,066</b>
Local Assistance Grants	914	2,726	2,726	2,726	2,726
State Operations	335,963	329,147	329,804	329,812	329,804
Personal Service	292,613	269,214	269,367	269,367	269,367
Non-Personal Service/Indirect Costs	43,350	59,933	60,437	60,445	60,437
General State Charges	18,059	20,391	20,536	20,703	20,536
<b>Technology, Office for</b>	<b>602,366</b>	<b>604,824</b>	<b>622,121</b>	<b>607,636</b>	<b>606,936</b>
State Operations	506,821	532,574	565,206	576,936	576,936
Personal Service	284,010	284,831	284,801	284,801	284,801
Non-Personal Service/Indirect Costs	222,811	247,743	280,405	292,135	292,135
General State Charges	234	0	0	0	0
Capital Projects	95,311	72,250	56,915	30,700	30,000
<b>Veterans' Affairs, Division of</b>	<b>13,612</b>	<b>17,161</b>	<b>15,546</b>	<b>15,631</b>	<b>15,631</b>
Local Assistance Grants	7,855	9,252	7,637	7,637	7,637
State Operations	5,553	7,559	7,559	7,644	7,644
Personal Service	5,117	6,675	6,675	6,742	6,742
Non-Personal Service/Indirect Costs	436	884	884	902	902
General State Charges	204	350	350	350	350
<b>Welfare Inspector General, Office of</b>	<b>569</b>	<b>672</b>	<b>672</b>	<b>686</b>	<b>701</b>
State Operations	569	672	672	686	701
Personal Service	511	617	617	621	626
Non-Personal Service/Indirect Costs	58	55	55	65	75
<b>Workers' Compensation Board</b>	<b>194,970</b>	<b>201,679</b>	<b>213,633</b>	<b>215,416</b>	<b>217,219</b>
State Operations	147,659	140,231	145,231	147,014	148,817
Personal Service	78,723	75,878	80,878	81,493	82,104
Non-Personal Service/Indirect Costs	68,936	64,353	64,353	65,521	66,713
General State Charges	44,194	51,448	53,402	53,402	53,402
Capital Projects	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<b>1,912,281</b>	<b>1,993,572</b>	<b>2,017,703</b>	<b>2,039,048</b>	<b>2,009,843</b>

**ELECTED OFFICIALS**

<b>Audit and Control, Department of</b>	<b>174,200</b>	<b>185,836</b>	<b>182,409</b>	<b>181,070</b>	<b>181,253</b>
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	140,681	147,011	146,661	146,661	146,844
Personal Service	111,792	113,609	113,609	113,609	113,687

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	28,889	33,402	33,052	33,052	33,157
General State Charges	1,494	2,201	2,324	2,385	2,385
Capital Projects	0	4,600	1,400	0	0
<b>Executive Chamber</b>	<b>13,704</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,704	13,578	13,578	13,578	13,578
Personal Service	10,669	11,469	11,813	11,813	11,813
Non-Personal Service/Indirect Costs	3,035	2,109	1,765	1,765	1,765
<b>Judiciary</b>	<b>2,764,517</b>	<b>2,850,600</b>	<b>2,926,553</b>	<b>2,961,553</b>	<b>2,961,553</b>
Local Assistance Grants	114,655	107,300	122,300	122,300	122,300
State Operations	1,964,296	2,033,000	2,033,000	2,058,400	2,060,900
Personal Service	1,510,827	1,556,900	1,556,900	1,582,300	1,584,800
Non-Personal Service/Indirect Costs	453,469	476,100	476,100	476,100	476,100
General State Charges	685,566	710,300	771,253	780,853	778,353
<b>Law, Department of</b>	<b>225,402</b>	<b>233,535</b>	<b>236,334</b>	<b>238,104</b>	<b>240,705</b>
State Operations	195,131	199,110	200,715	202,049	204,994
Personal Service	136,608	137,836	138,493	139,078	140,779
Non-Personal Service/Indirect Costs	58,523	61,274	62,222	62,971	64,215
General State Charges	28,822	29,425	30,619	32,434	33,211
Capital Projects	1,449	5,000	5,000	3,621	2,500
<b>Legislature</b>	<b>215,580</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>
State Operations	215,580	218,795	218,795	218,795	218,795
Personal Service	167,444	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	48,136	52,464	52,464	52,464	52,464
<b>Lieutenant Governor, Office of the</b>	<b>499</b>	<b>614</b>	<b>614</b>	<b>614</b>	<b>614</b>
State Operations	499	614	614	614	614
Personal Service	336	513	523	523	523
Non-Personal Service/Indirect Costs	163	101	91	91	91
<b>Functional Total</b>	<b>3,393,902</b>	<b>3,502,958</b>	<b>3,578,283</b>	<b>3,613,714</b>	<b>3,616,498</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>728,288</b>	<b>714,756</b>	<b>762,710</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance Grants	728,288	714,756	762,710	763,347	763,347
<b>Efficiency Incentive Grants Program</b>	<b>1,289</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,289	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>11,846</b>	<b>9,646</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	11,846	9,646	0	0	0
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>4,681,599</b>	<b>4,738,965</b>	<b>5,077,501</b>	<b>5,276,235</b>	<b>5,663,396</b>
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
<b>Long-Term Debt Service</b>	<b>5,635,102</b>	<b>5,242,440</b>	<b>6,305,861</b>	<b>6,820,767</b>	<b>7,281,488</b>
State Operations	36,616	39,157	49,313	49,313	49,313
Non-Personal Service/Indirect Costs	36,616	39,157	49,313	49,313	49,313
Debt Service	5,598,486	5,203,283	6,256,548	6,771,454	7,232,175
<b>Miscellaneous</b>	<b>(406,371)</b>	<b>(259,066)</b>	<b>(25,796)</b>	<b>(273,035)</b>	<b>(266,292)</b>
Local Assistance Grants	(479,776)	(468,605)	(448,339)	(469,594)	(442,157)



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State Operations	24,644	24,823	239,824	25,840	3,856
Personal Service	1,982	2,177	2,177	2,182	2,187
Non-Personal Service/Indirect Costs	22,662	22,646	237,647	23,658	1,669
General State Charges	12,039	5,716	5,719	5,719	5,719
Capital Projects	36,722	179,000	177,000	165,000	166,290
<b>Special Infrastructure Account</b>	<b>725,592</b>	<b>1,148,615</b>	<b>1,197,241</b>	<b>1,077,610</b>	<b>810,750</b>
Local Assistance Grants	33,159	10,000	20,000	20,000	10,000
Capital Projects	692,433	1,138,615	1,177,241	1,057,610	800,750
<b>Functional Total</b>	<b>10,635,922</b>	<b>10,870,954</b>	<b>12,554,807</b>	<b>12,901,577</b>	<b>13,489,342</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>150,708,487</b>	<b>156,106,984</b>	<b>162,940,863</b>	<b>166,817,976</b>	<b>171,073,219</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	103,855	96,983	91,561	98,061	98,197
Alcoholic Beverage Control, Division of	17,277	12,836	12,683	12,683	12,744
Economic Development Capital	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	92,494	99,197	91,552	102,428	88,278
Empire State Development Corporation	738,836	1,614,289	1,681,999	1,423,028	1,283,928
Energy Research and Development Authority	15,191	23,450	23,000	14,724	13,000
Financial Services, Department of	361,476	350,490	359,586	362,129	365,668
Olympic Regional Development Authority	10,611	10,386	2,886	2,886	2,886
Power Authority, New York	0	2,500	2,500	1,244	0
Public Service Department	71,087	74,968	76,233	77,632	77,632
Regional Economic Development Program	2,787	1,500	512	356	355
Strategic Investment Program	1,427	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>1,421,655</b>	<b>2,315,599</b>	<b>2,377,788</b>	<b>2,126,792</b>	<b>1,972,688</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,350	4,682	4,682	4,682	4,682
Environmental Conservation, Department of	878,293	991,032	1,131,493	1,144,424	1,093,785
Hudson River Park Trust	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	344,507	328,567	322,168	318,443	318,443
<b>Functional Total</b>	<b>1,230,602</b>	<b>1,324,281</b>	<b>1,458,343</b>	<b>1,467,549</b>	<b>1,416,910</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	292,446	301,333	302,493	305,100	305,441
Thruway Authority, New York State	17,948	0	0	0	0
Transportation, Department of	9,124,697	9,547,990	9,639,208	9,753,978	9,915,494
<b>Functional Total</b>	<b>9,435,091</b>	<b>10,361,494</b>	<b>10,585,386</b>	<b>10,309,078</b>	<b>10,570,935</b>
<b>HEALTH</b>					
Aging, Office for the	229,479	252,479	248,653	235,580	240,891
Health, Department of	55,632,709	57,450,431	60,211,431	62,246,515	64,102,136
<i>Medical Assistance</i>	48,094,607	48,960,356	51,254,852	53,117,328	54,961,917
<i>Essential Plan</i>	1,539,298	2,460,805	2,534,705	2,609,498	2,682,684
<i>Medicaid Administration</i>	1,666,498	1,626,185	1,616,059	1,574,988	1,571,479
<i>Public Health</i>	4,332,306	4,403,085	4,805,815	4,944,701	4,886,056
Medicaid Inspector General, Office of the	51,999	51,204	51,204	51,204	51,204
<b>Functional Total</b>	<b>55,914,187</b>	<b>57,754,114</b>	<b>60,511,288</b>	<b>62,533,299</b>	<b>64,394,231</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	3,015,520	3,076,943	3,046,592	3,070,120	3,092,287
<i>OCFS</i>	2,926,598	2,986,670	2,954,592	2,977,939	2,998,218
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	217,494	310,489	485,925	706,422	736,272
Human Rights, Division of	14,639	14,226	14,289	14,343	14,343
Labor, Department of	546,390	577,958	569,612	569,612	569,612
National and Community Service	15,100	14,909	16,029	16,335	16,335
Nonprofit Infrastructure Capital Investment Program	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	5,289,204	5,093,085	5,114,014	5,134,985	5,144,785
<i>Welfare Assistance</i>	3,985,752	3,784,769	3,806,699	3,818,699	3,820,699
<i>All Other</i>	1,303,452	1,308,316	1,307,315	1,316,286	1,324,086
<b>Functional Total</b>	<b>9,098,347</b>	<b>9,100,610</b>	<b>9,276,461</b>	<b>9,538,817</b>	<b>9,593,634</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	594,596	623,833	652,641	669,631	691,636
<i>OASAS</i>	507,773	541,444	569,476	585,535	606,480
<i>OASAS - Other</i>	86,823	82,389	83,165	84,096	85,156
Developmental Disabilities Planning Council	3,386	4,200	4,200	4,200	4,200
Justice Center	40,205	42,671	43,325	44,226	44,921
Mental Health, Office of	3,359,196	3,379,610	3,519,094	3,715,234	3,817,067
<i>OMH</i>	1,560,431	1,683,475	1,787,515	1,929,699	1,984,639
<i>OMH - Other</i>	1,798,765	1,696,135	1,731,579	1,785,535	1,832,428

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	3,223,358	3,070,514	3,500,494	3,740,881	3,942,562
<i>OPWDD</i>	370,536	451,304	505,356	554,274	609,319
<i>OPWDD - Other</i>	2,852,822	2,619,210	2,995,138	3,186,607	3,333,243
<b>Functional Total</b>	<b>7,220,960</b>	<b>7,120,828</b>	<b>7,719,754</b>	<b>8,174,172</b>	<b>8,500,386</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,982,445	2,944,414	2,961,650	2,946,406	2,956,561
Criminal Justice Services, Division of	224,078	240,778	230,643	230,643	230,643
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	1,974,600	1,717,876	1,081,529	808,143	781,664
Indigent Legal Services, Office of	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	102,534	104,972	104,781	105,252	91,252
State Police, Division of	733,485	782,241	795,014	785,213	775,133
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	64,276	66,230	76,090	76,090	76,090
<b>Functional Total</b>	<b>6,127,713</b>	<b>5,981,646</b>	<b>5,393,448</b>	<b>5,095,547</b>	<b>5,055,208</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,565,917	1,582,601	1,616,576	1,659,427	1,687,020
Higher Education - Miscellaneous	259	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	136	20,000	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,084,054	1,126,926	1,161,881	1,182,477	1,193,979
State University of New York	8,042,846	7,939,225	8,003,502	8,071,607	8,160,523
<b>Functional Total</b>	<b>10,693,212</b>	<b>10,669,142</b>	<b>10,807,349</b>	<b>10,935,901</b>	<b>11,054,912</b>
<b>EDUCATION</b>					
Arts, Council on the	42,178	46,213	45,953	45,953	45,953
Education, Department of	32,811,466	34,311,622	35,822,041	37,243,633	38,559,783
<i>School Aid</i>	25,501,461	27,450,245	29,121,439	30,465,647	31,722,716
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	2,201,098	2,258,850	2,369,590	2,495,380	2,630,228
<i>All Other</i>	1,774,207	1,374,683	1,354,220	1,361,374	1,337,668
<b>Functional Total</b>	<b>32,853,644</b>	<b>34,357,835</b>	<b>35,867,994</b>	<b>37,289,586</b>	<b>38,605,736</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,424	13,381	13,381	13,507	13,617
Deferred Compensation Board	524	866	866	866	873
Elections, State Board of	12,447	14,982	14,982	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	251,588	267,907	289,803	314,803	314,803
General Services, Office of	268,221	302,887	286,767	301,397	270,397
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	2,056	2,381	2,481	2,481	2,581
Public Employment Relations Board	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	128,351	136,155	126,397	126,653	127,008
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	354,936	352,264	353,066	353,241	353,066
Technology, Office for	602,366	604,824	622,121	607,636	606,936
Veterans' Affairs, Division of	13,612	17,161	15,546	15,631	15,631
Welfare Inspector General, Office of	569	672	672	686	701
Workers' Compensation Board	194,970	201,679	213,633	215,416	217,219
<b>Functional Total</b>	<b>1,912,281</b>	<b>1,993,572</b>	<b>2,017,703</b>	<b>2,039,048</b>	<b>2,009,843</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	174,200	185,836	182,409	181,070	181,253
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	2,764,517	2,850,600	2,926,553	2,961,553	2,961,553
Law, Department of	225,402	233,535	236,334	238,104	240,705
Legislature	215,580	218,795	218,795	218,795	218,795

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<u>3,393,902</u>	<u>3,502,958</u>	<u>3,578,283</u>	<u>3,613,714</u>	<u>3,616,498</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>770,971</u>	<u>753,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Long-Term Debt Service	5,635,102	5,242,440	6,305,861	6,820,767	7,281,488
Miscellaneous	(406,371)	(259,066)	(25,796)	(273,035)	(266,292)
Special Infrastructure Account	725,592	1,148,615	1,197,241	1,077,610	810,750
<b>Functional Total</b>	<u>10,635,922</u>	<u>10,870,954</u>	<u>12,554,807</u>	<u>12,901,577</u>	<u>13,489,342</u>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<u>150,708,487</u>	<u>156,106,984</u>	<u>162,940,863</u>	<u>166,817,976</u>	<u>171,073,219</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,279	32,250	35,026	25,612	25,612
Economic Development Capital	6,614	0	0	0	0
Economic Development, Department of	71,410	64,120	67,434	67,434	67,434
Empire State Development Corporation	705,116	1,368,988	1,309,321	979,250	916,250
Energy Research and Development Authority	1,842	0	0	0	0
Financial Services, Department of	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	172	172	172	172
Regional Economic Development Program	2,787	0	0	0	0
Strategic Investment Program	1,427	0	0	0	0
<b>Functional Total</b>	<b>897,161</b>	<b>1,522,704</b>	<b>1,469,002</b>	<b>1,129,517</b>	<b>1,066,517</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	312,669	205,782	207,305	205,390	205,390
Parks, Recreation and Historic Preservation, Office of	13,682	9,965	9,470	9,470	9,470
<b>Functional Total</b>	<b>326,351</b>	<b>215,747</b>	<b>216,775</b>	<b>214,860</b>	<b>214,860</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	14,681	18,000	18,000	18,000	18,000
Thruway Authority, New York State	1,379	0	0	0	0
Transportation, Department of	5,680,162	6,194,318	6,160,591	6,204,518	6,297,515
<b>Functional Total</b>	<b>5,696,222</b>	<b>6,724,489</b>	<b>6,822,276</b>	<b>6,472,518</b>	<b>6,665,515</b>
<b>HEALTH</b>					
Aging, Office for the	217,793	240,839	237,013	227,096	232,407
Health, Department of	54,181,665	55,904,361	58,701,716	60,743,866	62,569,833
<i>Medical Assistance</i>	48,094,607	48,960,356	51,254,852	53,117,328	54,961,917
<i>Essential Plan</i>	1,525,938	2,417,585	2,494,947	2,569,796	2,641,750
<i>Medicaid Administration</i>	1,005,222	914,553	883,156	851,758	820,361
<i>Public Health</i>	3,555,898	3,611,867	4,068,761	4,204,984	4,145,805
<b>Functional Total</b>	<b>54,399,458</b>	<b>56,145,200</b>	<b>58,938,729</b>	<b>60,970,962</b>	<b>62,802,240</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,632,776	2,703,230	2,666,886	2,682,266	2,704,433
<i>OCFS</i>	2,543,854	2,612,957	2,574,886	2,590,085	2,610,364
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	139,769	227,887	403,130	623,461	653,311
Labor, Department of	168,509	169,667	157,475	157,475	157,475
National and Community Service	142	350	350	350	350
Temporary and Disability Assistance, Office of	4,959,901	4,758,674	4,781,695	4,800,095	4,809,895
<i>Welfare Assistance</i>	3,985,752	3,784,769	3,806,699	3,818,699	3,820,699
<i>All Other</i>	974,149	973,905	974,996	981,396	989,196
<b>Functional Total</b>	<b>7,901,097</b>	<b>7,859,808</b>	<b>8,009,536</b>	<b>8,263,647</b>	<b>8,325,464</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	475,335	495,773	521,214	536,888	556,766
<i>OASAS</i>	454,010	474,448	499,889	515,563	535,441
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	544	649	649	649	649
Mental Health, Office of	1,231,809	1,281,029	1,401,583	1,538,896	1,594,565
<i>OMH</i>	951,573	1,008,718	1,100,902	1,227,285	1,271,751
<i>OMH - Other</i>	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,198,724	1,071,039	1,483,964	1,688,519	1,856,288
<i>OPWDD</i>	334,196	379,505	433,182	481,778	536,374
<i>OPWDD - Other</i>	864,528	691,534	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>2,906,412</b>	<b>2,848,490</b>	<b>3,407,410</b>	<b>3,764,952</b>	<b>4,008,268</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	180,062	186,186	176,051	176,051	176,051
Homeland Security and Emergency Services, Division of	1,837,898	1,628,369	1,022,182	758,620	733,794
Indigent Legal Services, Office of	58,068	83,000	101,600	101,600	101,600

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Military and Naval Affairs, Division of	805	911	911	911	911
State Police, Division of	0	6,000	0	0	0
Victim Services, Office of	58,114	57,547	67,407	67,407	67,407
<b>Functional Total</b>	<b>2,139,198</b>	<b>1,967,510</b>	<b>1,373,648</b>	<b>1,110,086</b>	<b>1,085,260</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Facilities Capital Matching Grants Program	136	974	0	0	0
Higher Education Services Corporation, New York State	1,025,184	1,068,031	1,102,574	1,123,170	1,134,672
State University of New York	514,892	516,620	516,208	515,888	515,375
<b>Functional Total</b>	<b>2,969,674</b>	<b>3,039,700</b>	<b>3,105,034</b>	<b>3,166,238</b>	<b>3,202,651</b>
<b>EDUCATION</b>					
Arts, Council on the	38,691	41,793	41,533	41,533	41,533
Education, Department of	32,394,087	33,915,891	35,408,681	36,841,126	38,159,740
<i>School Aid</i>	25,501,153	27,450,245	29,121,439	30,465,647	31,722,716
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	2,178,960	2,258,850	2,369,590	2,495,380	2,630,228
<i>All Other</i>	1,379,274	978,952	940,860	958,867	937,625
<b>Functional Total</b>	<b>32,432,778</b>	<b>33,957,684</b>	<b>35,450,214</b>	<b>36,882,659</b>	<b>38,201,273</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	586	0	0	0	0
Gaming Commission, New York State	91,881	99,302	114,500	139,500	139,500
General Services, Office of	0	250	250	250	250
Prevention of Domestic Violence, Office for	575	785	885	885	985
State, Department of	72,431	68,134	62,836	62,836	62,836
Taxation and Finance, Department of	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	9,252	7,637	7,637	7,637
<b>Functional Total</b>	<b>174,242</b>	<b>180,449</b>	<b>188,834</b>	<b>213,834</b>	<b>213,934</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	114,655	107,300	122,300	122,300	122,300
<b>Functional Total</b>	<b>146,680</b>	<b>139,324</b>	<b>154,324</b>	<b>154,324</b>	<b>154,324</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(479,776)	(468,605)	(448,339)	(469,594)	(442,157)
Special Infrastructure Account	33,159	10,000	20,000	20,000	10,000
<b>Functional Total</b>	<b>(446,617)</b>	<b>(458,605)</b>	<b>(428,339)</b>	<b>(449,594)</b>	<b>(432,157)</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>110,313,627</b>	<b>114,896,451</b>	<b>119,499,702</b>	<b>122,686,899</b>	<b>126,301,045</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	68,227	52,688	49,378	65,197	65,202
Alcoholic Beverage Control, Division of	12,501	12,683	12,683	12,683	12,744
Economic Development, Department of	21,056	21,616	20,816	20,816	20,816
Energy Research and Development Authority	1,431	0	0	0	0
Financial Services, Department of	203,339	210,626	211,926	211,926	211,926
Olympic Regional Development Authority	3,091	2,886	2,886	2,886	2,886
Public Service Department	51,548	50,589	50,600	50,600	50,600
<b>Functional Total</b>	<b>361,193</b>	<b>351,088</b>	<b>348,289</b>	<b>364,108</b>	<b>364,174</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,350	4,682	4,682	4,682	4,682
Environmental Conservation, Department of	280,475	273,926	273,777	274,446	275,336
Parks, Recreation and Historic Preservation, Office of	184,894	179,005	179,005	177,755	177,755
<b>Functional Total</b>	<b>469,719</b>	<b>457,613</b>	<b>457,464</b>	<b>456,883</b>	<b>457,773</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	62,922	56,870	57,520	57,520	57,520
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	23,687	28,814	29,010	29,010	29,010
<b>Functional Total</b>	<b>103,178</b>	<b>85,684</b>	<b>86,530</b>	<b>86,530</b>	<b>86,530</b>
<b>HEALTH</b>					
Aging, Office for the	11,686	11,640	11,640	8,484	8,484
Health, Department of	1,324,407	1,380,832	1,392,115	1,382,380	1,412,016
<i>Essential Plan</i>	13,360	43,220	39,758	39,702	40,934
<i>Medicaid Administration</i>	658,002	711,632	732,903	723,230	751,118
<i>Public Health</i>	653,045	625,980	619,454	619,448	619,964
Medicaid Inspector General, Office of the	43,045	41,864	41,864	41,864	41,864
<b>Functional Total</b>	<b>1,379,138</b>	<b>1,434,336</b>	<b>1,445,619</b>	<b>1,432,728</b>	<b>1,462,364</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	344,820	336,658	342,462	350,610	350,610
<i>OCFS</i>	344,820	336,658	342,462	350,610	350,610
Housing and Community Renewal, Division of	58,235	59,281	59,426	59,550	59,550
Human Rights, Division of	14,639	14,226	14,289	14,343	14,343
Labor, Department of	271,348	295,026	297,544	297,544	297,544
National and Community Service	14,958	14,559	15,679	15,985	15,985
Temporary and Disability Assistance, Office of	289,826	289,038	286,946	289,517	289,517
<i>All Other</i>	289,826	289,038	286,946	289,517	289,517
<b>Functional Total</b>	<b>993,826</b>	<b>1,008,788</b>	<b>1,016,346</b>	<b>1,027,549</b>	<b>1,027,549</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	87,218	81,092	81,738	82,953	84,075
<i>OASAS</i>	40,645	39,074	39,420	40,192	40,744
<i>OASAS - Other</i>	46,573	42,018	42,318	42,761	43,331
Developmental Disabilities Planning Council	2,932	3,499	3,456	3,415	3,415
Justice Center	38,996	41,226	41,811	42,633	43,310
Mental Health, Office of	1,418,971	1,331,312	1,331,362	1,353,375	1,377,114
<i>OMH</i>	356,185	345,827	349,947	355,458	359,863
<i>OMH - Other</i>	1,062,786	985,485	981,415	997,917	1,017,251
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	1,367,905	1,295,059	1,289,901	1,306,645	1,323,172
<i>OPWDD</i>	296	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
<b>Functional Total</b>	<b>2,916,241</b>	<b>2,752,188</b>	<b>2,748,268</b>	<b>2,789,021</b>	<b>2,831,086</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,717,010	2,639,370	2,646,626	2,649,382	2,656,537
Criminal Justice Services, Division of	43,716	51,144	51,144	51,144	51,144
Disaster Assistance	(51,789)	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Homeland Security and Emergency Services, Division of	120,000	45,058	39,058	39,058	39,058
Indigent Legal Services, Office of	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	57,893	57,019	57,019	57,019	57,019
State Police, Division of	710,507	722,752	722,914	722,914	722,914
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	4,627	6,121	6,121	6,121	6,121
<b>Functional Total</b>	<u>3,641,381</u>	<u>3,562,643</u>	<u>3,564,067</u>	<u>3,566,882</u>	<u>3,574,102</u>
<b>HIGHER EDUCATION</b>					
City University of New York	95,103	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	198	291	291	291	291
Higher Education Services Corporation, New York State	50,252	47,910	47,910	47,910	47,910
State University of New York	6,184,867	6,154,554	6,228,787	6,326,854	6,430,482
<b>Functional Total</b>	<u>6,330,420</u>	<u>6,288,389</u>	<u>6,364,020</u>	<u>6,463,510</u>	<u>6,568,587</u>
<b>EDUCATION</b>					
Arts, Council on the	3,487	4,420	4,420	4,420	4,420
Education, Department of	333,434	299,173	287,703	287,703	287,523
<i>School Aid</i>	296	0	0	0	0
<i>Special Education Categorical Programs</i>	17,627	0	0	0	0
<i>All Other</i>	315,511	299,173	287,703	287,703	287,523
<b>Functional Total</b>	<u>336,921</u>	<u>303,593</u>	<u>292,123</u>	<u>292,123</u>	<u>291,943</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,277	13,205	13,205	13,331	13,441
Deferred Compensation Board	377	641	641	641	648
Elections, State Board of	11,861	14,982	14,982	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	146,812	152,897	157,728	157,728	157,728
General Services, Office of	163,847	170,573	170,665	170,770	170,770
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,481	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	46,485	55,276	50,509	50,509	50,509
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	335,963	329,147	329,804	329,812	329,804
Technology, Office for	506,821	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,553	7,559	7,559	7,644	7,644
Welfare Inspector General, Office of	569	672	672	686	701
Workers' Compensation Board	147,659	140,231	145,231	147,014	148,817
<b>Functional Total</b>	<u>1,449,091</u>	<u>1,496,209</u>	<u>1,534,129</u>	<u>1,541,741</u>	<u>1,543,948</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	140,681	147,011	146,661	146,661	146,844
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	1,964,296	2,033,000	2,033,000	2,058,400	2,060,900
Law, Department of	195,131	199,110	200,715	202,049	204,994
Legislature	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<u>2,529,891</u>	<u>2,612,108</u>	<u>2,613,363</u>	<u>2,640,097</u>	<u>2,645,725</u>
<b>ALL OTHER CATEGORIES</b>					
Long-Term Debt Service	36,616	39,157	49,313	49,313	49,313
Miscellaneous	24,644	24,823	239,824	25,840	3,856
<b>Functional Total</b>	<u>61,260</u>	<u>63,980</u>	<u>289,137</u>	<u>75,153</u>	<u>53,169</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u>20,572,259</u>	<u>20,416,619</u>	<u>20,759,355</u>	<u>20,736,325</u>	<u>20,906,950</u>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	32,116	31,993	31,998	32,003	32,008
Alcoholic Beverage Control, Division of	7,622	8,147	8,147	8,147	8,208
Economic Development, Department of	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	1,024	0	0	0	0
Financial Services, Department of	149,000	154,155	155,905	155,905	155,905
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548
Public Service Department	42,792	43,088	43,105	43,105	43,105
<b>Functional Total</b>	<b>247,121</b>	<b>253,260</b>	<b>255,032</b>	<b>255,037</b>	<b>255,103</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	207,472	202,225	202,078	202,359	202,476
Parks, Recreation and Historic Preservation, Office of	137,415	132,806	132,806	132,556	132,556
<b>Functional Total</b>	<b>348,923</b>	<b>339,058</b>	<b>338,911</b>	<b>338,942</b>	<b>339,059</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	44,887	38,930	39,580	39,580	39,580
Transportation, Department of	10,209	12,554	12,734	12,734	12,734
<b>Functional Total</b>	<b>55,096</b>	<b>51,484</b>	<b>52,314</b>	<b>52,314</b>	<b>52,314</b>
<b>HEALTH</b>					
Aging, Office for the	7,025	7,285	7,285	7,285	7,285
Health, Department of	346,448	390,678	394,261	407,548	404,894
<i>Essential Plan</i>	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	61,581	119,690	127,127	140,425	137,734
<i>Public Health</i>	284,476	269,613	265,718	265,665	265,658
Medicaid Inspector General, Office of the	33,122	31,756	31,756	31,756	31,756
<b>Functional Total</b>	<b>386,595</b>	<b>429,719</b>	<b>433,302</b>	<b>446,589</b>	<b>443,935</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	190,637	190,776	191,344	193,249	193,249
<i>OCFS</i>	190,637	190,776	191,344	193,249	193,249
Housing and Community Renewal, Division of	45,570	47,840	47,923	47,998	47,998
Human Rights, Division of	11,899	12,536	12,567	12,596	12,596
Labor, Department of	202,854	202,881	202,985	202,985	202,985
National and Community Service	556	690	701	708	708
Temporary and Disability Assistance, Office of	142,685	135,115	135,924	136,740	136,740
<i>All Other</i>	142,685	135,115	135,924	136,740	136,740
<b>Functional Total</b>	<b>594,201</b>	<b>589,838</b>	<b>591,444</b>	<b>594,276</b>	<b>594,276</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	59,224	62,376	62,644	63,444	64,082
<i>OASAS</i>	24,709	29,991	30,146	30,709	31,015
<i>OASAS - Other</i>	34,515	32,385	32,498	32,735	33,067
Developmental Disabilities Planning Council	838	1,253	1,266	1,266	1,266
Justice Center	26,675	27,653	27,857	28,302	28,591
Mental Health, Office of	1,124,513	1,058,694	1,053,710	1,068,093	1,083,482
<i>OMH</i>	290,796	298,209	300,721	304,751	307,787
<i>OMH - Other</i>	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,363,040</b>	<b>2,247,160</b>	<b>2,237,107</b>	<b>2,264,709</b>	<b>2,292,498</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,042	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,190,226	2,086,183	2,093,389	2,096,145	2,103,300
Criminal Justice Services, Division of	29,647	31,184	31,184	31,184	31,184
Disaster Assistance	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	27,041	21,118	21,118	21,118	21,118
Indigent Legal Services, Office of	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	35,517	36,348	36,348	36,348	36,348

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State Police, Division of	629,333	626,249	637,081	637,081	637,081
Statewide Financial System	10,234	11,350	11,350	11,350	11,350
Victim Services, Office of	4,074	4,834	4,834	4,834	4,834
<b>Functional Total</b>	<b>2,931,765</b>	<b>2,826,165</b>	<b>2,844,203</b>	<b>2,846,990</b>	<b>2,854,180</b>
<b>HIGHER EDUCATION</b>					
City University of New York	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	133	198	198	198	198
Higher Education Services Corporation, New York State	15,256	16,136	16,136	16,136	16,136
State University of New York	3,637,321	3,685,078	3,719,429	3,772,285	3,829,024
<b>Functional Total</b>	<b>3,699,580</b>	<b>3,746,814</b>	<b>3,781,759</b>	<b>3,835,217</b>	<b>3,892,568</b>
<b>EDUCATION</b>					
Arts, Council on the	2,253	2,498	2,498	2,498	2,498
Education, Department of	170,884	169,129	168,039	168,039	167,911
<i>School Aid</i>	82	0	0	0	0
<i>Special Education Categorical Programs</i>	9,167	0	0	0	0
<i>All Other</i>	161,635	169,129	168,039	168,039	167,911
<b>Functional Total</b>	<b>173,137</b>	<b>171,627</b>	<b>170,537</b>	<b>170,537</b>	<b>170,409</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	11,662	12,384	12,384	12,497	12,591
Deferred Compensation Board	304	410	410	410	413
Elections, State Board of	5,730	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	31,549	35,263	38,757	38,757	38,757
General Services, Office of	71,454	78,759	78,759	78,759	78,759
Inspector General, Office of the	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,368	1,388	1,388	1,388	1,388
Public Employment Relations Board	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	3,480	4,620	4,620	4,646	4,681
State, Department of	31,060	32,688	32,650	32,650	32,650
Tax Appeals, Division of	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	292,613	269,214	269,367	269,367	269,367
Technology, Office for	284,010	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	5,117	6,675	6,675	6,742	6,742
Welfare Inspector General, Office of	511	617	617	621	626
Workers' Compensation Board	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<b>859,036</b>	<b>853,864</b>	<b>862,462</b>	<b>863,524</b>	<b>864,450</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	111,792	113,609	113,609	113,609	113,687
Executive Chamber	10,669	11,469	11,813	11,813	11,813
Judiciary	1,510,827	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	136,608	137,836	138,493	139,078	140,779
Legislature	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	336	513	523	523	523
<b>Functional Total</b>	<b>1,937,676</b>	<b>1,986,658</b>	<b>1,987,669</b>	<b>2,013,654</b>	<b>2,017,933</b>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,982	2,177	2,177	2,182	2,187
<b>Functional Total</b>	<b>1,982</b>	<b>2,177</b>	<b>2,177</b>	<b>2,182</b>	<b>2,187</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,598,152</b>	<b>13,497,824</b>	<b>13,556,917</b>	<b>13,683,971</b>	<b>13,778,912</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	36,111	20,695	17,380	33,194	33,194
Alcoholic Beverage Control, Division of	4,879	4,536	4,536	4,536	4,536
Economic Development, Department of	9,082	8,287	7,487	7,487	7,487
Energy Research and Development Authority	407	0	0	0	0
Financial Services, Department of	54,339	56,471	56,021	56,021	56,021
Olympic Regional Development Authority	498	338	338	338	338
Public Service Department	8,756	7,501	7,495	7,495	7,495
<b>Functional Total</b>	<b>114,072</b>	<b>97,828</b>	<b>93,257</b>	<b>109,071</b>	<b>109,071</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	314	655	655	655	655
Environmental Conservation, Department of	73,003	71,701	71,699	72,087	72,860
Parks, Recreation and Historic Preservation, Office of	47,479	46,199	46,199	45,199	45,199
<b>Functional Total</b>	<b>120,796</b>	<b>118,555</b>	<b>118,553</b>	<b>117,941</b>	<b>118,714</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	18,035	17,940	17,940	17,940	17,940
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	13,478	16,260	16,276	16,276	16,276
<b>Functional Total</b>	<b>48,082</b>	<b>34,200</b>	<b>34,216</b>	<b>34,216</b>	<b>34,216</b>
<b>HEALTH</b>					
Aging, Office for the	4,661	4,355	4,355	1,199	1,199
Health, Department of	977,959	990,154	997,854	974,832	1,007,122
<i>Essential Plan</i>	12,969	41,845	38,342	38,244	39,432
<i>Medicaid Administration</i>	596,421	591,942	605,776	582,805	613,384
<i>Public Health</i>	368,569	356,367	353,736	353,783	354,306
Medicaid Inspector General, Office of the	9,923	10,108	10,108	10,108	10,108
<b>Functional Total</b>	<b>992,543</b>	<b>1,004,617</b>	<b>1,012,317</b>	<b>986,139</b>	<b>1,018,429</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	154,183	145,882	151,118	157,361	157,361
<i>OCFS</i>	154,183	145,882	151,118	157,361	157,361
Housing and Community Renewal, Division of	12,665	11,441	11,503	11,552	11,552
Human Rights, Division of	2,740	1,690	1,722	1,747	1,747
Labor, Department of	68,494	92,145	94,559	94,559	94,559
National and Community Service	14,402	13,869	14,978	15,277	15,277
Temporary and Disability Assistance, Office of	147,141	153,923	151,022	152,777	152,777
<i>All Other</i>	147,141	153,923	151,022	152,777	152,777
<b>Functional Total</b>	<b>399,625</b>	<b>418,950</b>	<b>424,902</b>	<b>433,273</b>	<b>433,273</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	27,994	18,716	19,094	19,509	19,993
<i>OASAS</i>	15,936	9,083	9,274	9,483	9,729
<i>OASAS - Other</i>	12,058	9,633	9,820	10,026	10,264
Developmental Disabilities Planning Council	2,094	2,246	2,190	2,149	2,149
Justice Center	12,321	13,573	13,954	14,331	14,719
Mental Health, Office of	294,458	272,618	277,652	285,282	293,632
<i>OMH</i>	65,389	47,618	49,226	50,707	52,076
<i>OMH - Other</i>	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	216,115	197,875	198,271	203,041	208,095
<i>OPWDD</i>	296	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>553,201</b>	<b>505,028</b>	<b>511,161</b>	<b>524,312</b>	<b>538,588</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	255	237	237	237	237
Correctional Services, Department of	526,784	553,187	553,237	553,237	553,237
Criminal Justice Services, Division of	14,069	19,960	19,960	19,960	19,960
Disaster Assistance	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	92,959	23,940	17,940	17,940	17,940

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Indigent Legal Services, Office of	238	535	535	535	535
Judicial Conduct, Commission on	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	22,376	20,671	20,671	20,671	20,671
State Police, Division of	81,174	96,503	85,833	85,833	85,833
Statewide Financial System	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	553	1,287	1,287	1,287	1,287
<b>Functional Total</b>	<b>709,616</b>	<b>736,478</b>	<b>719,864</b>	<b>719,892</b>	<b>719,922</b>
<b>HIGHER EDUCATION</b>					
City University of New York	48,233	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	65	93	93	93	93
Higher Education Services Corporation, New York State	34,996	31,774	31,774	31,774	31,774
State University of New York	2,547,546	2,469,476	2,509,358	2,554,569	2,601,458
<b>Functional Total</b>	<b>2,630,840</b>	<b>2,541,575</b>	<b>2,582,261</b>	<b>2,628,293</b>	<b>2,676,019</b>
<b>EDUCATION</b>					
Arts, Council on the	1,234	1,922	1,922	1,922	1,922
Education, Department of	162,550	130,044	119,664	119,664	119,612
<i>School Aid</i>	214	0	0	0	0
<i>Special Education Categorical Programs</i>	8,460	0	0	0	0
<i>All Other</i>	153,876	130,044	119,664	119,664	119,612
<b>Functional Total</b>	<b>163,784</b>	<b>131,966</b>	<b>121,586</b>	<b>121,586</b>	<b>121,534</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	1,615	821	821	834	850
Deferred Compensation Board	73	231	231	231	235
Elections, State Board of	6,131	9,073	9,107	2,569	2,634
Employee Relations, Office of	47	71	71	72	73
Gaming Commission, New York State	115,263	117,634	118,971	118,971	118,971
General Services, Office of	92,393	91,814	91,906	92,011	92,011
Inspector General, Office of the	831	815	815	827	839
Labor Management Committees	18,263	19,854	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	113	208	208	208	208
Public Employment Relations Board	226	236	237	241	246
Public Integrity, Commission on	852	911	911	930	949
State, Department of	15,425	22,588	17,859	17,859	17,859
Tax Appeals, Division of	272	170	170	170	170
Taxation and Finance, Department of	43,350	59,933	60,437	60,445	60,437
Technology, Office for	222,811	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	436	884	884	902	902
Welfare Inspector General, Office of	58	55	55	65	75
Workers' Compensation Board	68,936	64,353	64,353	65,521	66,713
<b>Functional Total</b>	<b>590,055</b>	<b>642,345</b>	<b>671,667</b>	<b>678,217</b>	<b>679,498</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,035	2,109	1,765	1,765	1,765
Judiciary	453,469	476,100	476,100	476,100	476,100
Law, Department of	58,523	61,274	62,222	62,971	64,215
Legislature	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	101	91	91	91
<b>Functional Total</b>	<b>592,215</b>	<b>625,450</b>	<b>625,694</b>	<b>626,443</b>	<b>627,792</b>
<b>ALL OTHER CATEGORIES</b>					
Long-Term Debt Service	36,616	39,157	49,313	49,313	49,313
Miscellaneous	22,662	22,646	237,647	23,658	1,669
<b>Functional Total</b>	<b>59,278</b>	<b>61,803</b>	<b>286,960</b>	<b>72,971</b>	<b>50,982</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>6,974,107</b>	<b>6,918,795</b>	<b>7,202,438</b>	<b>7,052,354</b>	<b>7,128,038</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	3,573	3,044	3,157	3,252	3,383
Alcoholic Beverage Control, Division of	4,776	153	0	0	0
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0
Financial Services, Department of	77,451	82,690	90,611	93,154	96,693
Olympic Regional Development Authority	20	0	0	0	0
Public Service Department	19,539	24,207	25,461	26,860	26,860
<b>Functional Total</b>	<b>105,894</b>	<b>110,122</b>	<b>119,257</b>	<b>123,294</b>	<b>126,964</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	57,508	58,625	60,228	60,556	60,027
Parks, Recreation and Historic Preservation, Office of	2,863	3,947	3,568	3,568	3,568
<b>Functional Total</b>	<b>60,371</b>	<b>62,572</b>	<b>63,796</b>	<b>64,124</b>	<b>63,595</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,964	21,975	22,893	22,971	22,971
Transportation, Department of	5,745	7,031	7,800	8,215	8,215
<b>Functional Total</b>	<b>30,709</b>	<b>29,006</b>	<b>30,693</b>	<b>31,186</b>	<b>31,186</b>
<b>HEALTH</b>					
Health, Department of	65,489	77,949	80,311	82,980	82,998
<i>Medicaid Administration</i>	3,274	0	0	0	0
<i>Public Health</i>	62,215	77,949	80,311	82,980	82,998
Medicaid Inspector General, Office of the	8,954	9,340	9,340	9,340	9,340
<b>Functional Total</b>	<b>74,443</b>	<b>87,289</b>	<b>89,651</b>	<b>92,320</b>	<b>92,338</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	16,738	16,124	16,313	16,313	16,313
<i>OCFS</i>	16,738	16,124	16,313	16,313	16,313
Housing and Community Renewal, Division of	19,490	20,321	20,369	20,411	20,411
Labor, Department of	106,533	113,265	114,593	114,593	114,593
Temporary and Disability Assistance, Office of	39,101	44,973	44,973	44,973	44,973
<i>All Other</i>	39,101	44,973	44,973	44,973	44,973
<b>Functional Total</b>	<b>181,862</b>	<b>194,683</b>	<b>196,248</b>	<b>196,290</b>	<b>196,290</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	32,043	35,479	37,200	38,301	39,306
<i>OASAS</i>	13,118	16,433	17,678	18,291	18,806
<i>OASAS - Other</i>	18,925	19,046	19,522	20,010	20,500
Developmental Disabilities Planning Council	454	701	744	785	785
Justice Center	665	796	865	944	962
Mental Health, Office of	605,943	608,489	626,787	663,102	684,832
<i>OMH</i>	150,200	170,150	177,304	187,095	192,469
<i>OMH - Other</i>	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,259,790</b>	<b>1,279,263</b>	<b>1,321,232</b>	<b>1,377,534</b>	<b>1,417,223</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	996	1,459	1,463	1,463	1,463
Criminal Justice Services, Division of	300	3,448	3,448	3,448	3,448
Homeland Security and Emergency Services, Division of	10,022	3,768	3,789	3,812	3,812
Indigent Legal Services, Office of	599	956	956	956	956
Military and Naval Affairs, Division of	5,721	8,335	8,751	9,222	9,222
State Police, Division of	3,857	19,078	24,820	25,399	26,309
Victim Services, Office of	1,535	2,562	2,562	2,562	2,562
<b>Functional Total</b>	<b>23,030</b>	<b>39,606</b>	<b>45,789</b>	<b>46,862</b>	<b>47,772</b>
<b>HIGHER EDUCATION</b>					
City University of New York	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	99	99	99	99
Higher Education Services Corporation, New York State	8,618	10,985	11,397	11,397	11,397

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
State University of New York	411,739	375,802	381,258	386,796	392,417
<b>Functional Total</b>	<u>428,310</u>	<u>394,778</u>	<u>400,646</u>	<u>406,184</u>	<u>411,805</u>
<b>EDUCATION</b>					
Education, Department of	76,525	83,866	85,557	87,404	87,363
<i>School Aid</i>	12	0	0	0	0
<i>Special Education Categorical Programs</i>	4,511	0	0	0	0
<i>All Other</i>	72,002	83,866	85,557	87,404	87,363
<b>Functional Total</b>	<u>76,525</u>	<u>83,866</u>	<u>85,557</u>	<u>87,404</u>	<u>87,363</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	831	1,557	1,657	1,657	1,657
Civil Service, Department of	147	176	176	176	176
Deferred Compensation Board	147	225	225	225	225
Gaming Commission, New York State	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,589	446	469	494	494
State, Department of	9,435	12,745	13,052	13,308	13,663
Taxation and Finance, Department of	18,059	20,391	20,536	20,703	20,536
Technology, Office for	234	0	0	0	0
Veterans' Affairs, Division of	204	350	350	350	350
Workers' Compensation Board	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<u>88,735</u>	<u>103,046</u>	<u>107,442</u>	<u>107,890</u>	<u>108,078</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,494	2,201	2,324	2,385	2,385
Judiciary	685,566	710,300	771,253	780,853	778,353
Law, Department of	28,822	29,425	30,619	32,434	33,211
<b>Functional Total</b>	<u>715,882</u>	<u>741,926</u>	<u>804,196</u>	<u>815,672</u>	<u>813,949</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Miscellaneous	12,039	5,716	5,719	5,719	5,719
<b>Functional Total</b>	<u>4,693,638</u>	<u>4,744,681</u>	<u>5,083,220</u>	<u>5,281,954</u>	<u>5,669,115</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>7,739,189</u></u>	<u><u>7,870,838</u></u>	<u><u>8,347,727</u></u>	<u><u>8,630,714</u></u>	<u><u>9,065,678</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	0	23,000	29,276	24,250	23,000
Economic Development, Department of	28	13,433	3,274	14,150	0
Empire State Development Corporation	33,720	245,301	372,678	443,778	367,678
Energy Research and Development Authority	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	7,500	7,500	0	0	0
Power Authority, New York	0	2,500	2,500	1,244	0
Regional Economic Development Program	0	1,500	512	356	355
Strategic Investment Program	0	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>57,407</b>	<b>331,685</b>	<b>441,240</b>	<b>509,873</b>	<b>415,033</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	227,641	452,699	590,183	604,032	553,032
Hudson River Park Trust	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	143,068	135,650	130,125	127,650	127,650
<b>Functional Total</b>	<b>374,161</b>	<b>588,349</b>	<b>720,308</b>	<b>731,682</b>	<b>680,682</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	189,879	204,488	204,080	206,609	206,950
Transportation, Department of	3,415,103	3,317,827	3,441,807	3,512,235	3,580,754
<b>Functional Total</b>	<b>3,604,982</b>	<b>3,522,315</b>	<b>3,645,887</b>	<b>3,718,844</b>	<b>3,787,704</b>
<b>HEALTH</b>					
Health, Department of	61,148	87,289	37,289	37,289	37,289
<i>Public Health</i>	61,148	87,289	37,289	37,289	37,289
<b>Functional Total</b>	<b>61,148</b>	<b>87,289</b>	<b>37,289</b>	<b>37,289</b>	<b>37,289</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	21,186	20,931	20,931	20,931	20,931
<i>OCFS</i>	21,186	20,931	20,931	20,931	20,931
Housing and Community Renewal, Division of	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	376	400	400	400	400
<i>All Other</i>	376	400	400	400	400
<b>Functional Total</b>	<b>21,562</b>	<b>37,331</b>	<b>54,331</b>	<b>51,331</b>	<b>44,331</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	0	11,489	12,489	11,489	11,489
<i>OASAS</i>	0	11,489	12,489	11,489	11,489
Mental Health, Office of	102,473	158,780	159,362	159,861	160,556
<i>OMH</i>	102,473	158,780	159,362	159,861	160,556
People with Developmental Disabilities, Office for	36,044	70,618	70,993	71,315	71,764
<i>OPWDD</i>	36,044	70,618	70,993	71,315	71,764
<b>Functional Total</b>	<b>138,517</b>	<b>240,887</b>	<b>242,844</b>	<b>242,665</b>	<b>243,809</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	260,188	298,088	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	6,680	40,681	16,500	6,653	5,000
Military and Naval Affairs, Division of	38,115	38,707	38,100	38,100	24,100
State Police, Division of	19,121	34,411	47,280	36,900	25,910
<b>Functional Total</b>	<b>324,104</b>	<b>411,887</b>	<b>409,944</b>	<b>371,717</b>	<b>348,074</b>
<b>HIGHER EDUCATION</b>					
City University of New York	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	0	19,026	25,000	22,000	13,000
State University of New York	931,348	892,249	877,249	842,069	822,249
<b>Functional Total</b>	<b>964,808</b>	<b>946,275</b>	<b>937,649</b>	<b>899,969</b>	<b>871,869</b>
<b>EDUCATION</b>					
Education, Department of	7,420	12,692	40,100	27,400	25,157

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<i>All Other</i>	7,420	12,692	40,100	27,400	25,157
<b>Functional Total</b>	<u>7,420</u>	<u>12,692</u>	<u>40,100</u>	<u>27,400</u>	<u>25,157</u>
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	101,785	131,618	115,383	129,883	98,883
Technology, Office for	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<u>200,213</u>	<u>213,868</u>	<u>187,298</u>	<u>175,583</u>	<u>143,883</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	0	4,600	1,400	0	0
Law, Department of	1,449	5,000	5,000	3,621	2,500
<b>Functional Total</b>	<u>1,449</u>	<u>9,600</u>	<u>6,400</u>	<u>3,621</u>	<u>2,500</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	36,722	179,000	177,000	165,000	166,290
Special Infrastructure Account	692,433	1,138,615	1,177,241	1,057,610	800,750
<b>Functional Total</b>	<u>729,155</u>	<u>1,317,615</u>	<u>1,354,241</u>	<u>1,222,610</u>	<u>967,040</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>6,484,926</u></u>	<u><u>7,719,793</u></u>	<u><u>8,077,531</u></u>	<u><u>7,992,584</u></u>	<u><u>7,567,371</u></u>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	88,317	82,948	77,284	83,734	83,803
Alcoholic Beverage Control, Division of	17,277	12,836	12,683	12,683	12,744
Economic Development Capital	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	84,186	90,897	83,252	94,128	79,978
Empire State Development Corporation	738,836	1,614,289	1,681,999	1,423,028	1,283,928
Energy Research and Development Authority	15,191	23,450	23,000	14,724	13,000
Financial Services, Department of	360,052	350,490	359,586	362,129	365,668
Olympic Regional Development Authority	10,611	10,386	2,886	2,886	2,886
Power Authority, New York	0	2,500	2,500	1,244	0
Public Service Department	68,475	73,026	74,271	75,670	75,670
Regional Economic Development Program	2,787	1,500	512	356	355
Strategic Investment Program	1,427	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<u>1,393,773</u>	<u>2,291,322</u>	<u>2,353,249</u>	<u>2,102,203</u>	<u>1,948,032</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	663,309	767,525	907,968	920,879	870,240
Hudson River Park Trust	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	330,250	321,599	315,200	311,475	311,475
<b>Functional Total</b>	<u>1,001,308</u>	<u>1,093,456</u>	<u>1,227,500</u>	<u>1,236,686</u>	<u>1,186,047</u>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	273,641	273,609	274,708	277,315	277,656
Thruway Authority, New York State	17,948	0	0	0	0
Transportation, Department of	7,313,847	8,002,559	8,184,871	8,294,937	8,406,050
<b>Functional Total</b>	<u>7,605,436</u>	<u>8,788,339</u>	<u>9,103,264</u>	<u>8,822,252</u>	<u>9,033,706</u>
<b>HEALTH</b>					
Aging, Office for the	128,410	130,912	133,944	139,125	144,436
Health, Department of	<u>19,884,667</u>	<u>20,644,590</u>	<u>21,835,631</u>	<u>22,839,235</u>	<u>23,722,866</u>
<i>Medical Assistance</i>	<u>16,868,571</u>	<u>17,381,169</u>	<u>18,200,077</u>	<u>19,121,172</u>	<u>20,002,383</u>
<i>Essential Plan</i>	32,575	377,137	384,360	394,642	405,812
<i>Medicaid Administration</i>	836,542	765,528	764,836	733,519	708,888
<i>Public Health</i>	2,146,979	2,120,756	2,486,358	2,589,902	2,605,783
Medicaid Inspector General, Office of the	<u>20,619</u>	<u>19,860</u>	<u>19,860</u>	<u>19,860</u>	<u>19,860</u>
<b>Functional Total</b>	<u>20,033,696</u>	<u>20,795,362</u>	<u>21,989,435</u>	<u>22,998,220</u>	<u>23,887,162</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	<u>2,022,575</u>	<u>1,941,627</u>	<u>1,972,210</u>	<u>1,994,145</u>	<u>2,016,312</u>
<i>OCFS</i>	<u>1,933,653</u>	<u>1,851,354</u>	<u>1,880,210</u>	<u>1,901,964</u>	<u>1,922,243</u>
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	155,245	245,080	420,323	640,654	670,504
Human Rights, Division of	10,263	9,921	9,921	9,921	9,921
Labor, Department of	72,790	74,519	62,119	62,119	62,119
National and Community Service	458	687	687	690	690
Nonprofit Infrastructure Capital Investment Program	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	<u>1,406,546</u>	<u>1,445,543</u>	<u>1,463,883</u>	<u>1,482,283</u>	<u>1,492,083</u>
<i>Welfare Assistance</i>	<u>1,122,359</u>	<u>1,158,193</u>	<u>1,180,123</u>	<u>1,192,123</u>	<u>1,194,123</u>
<i>All Other</i>	284,187	287,350	283,760	290,160	297,960
<b>Functional Total</b>	<u>3,667,877</u>	<u>3,730,377</u>	<u>3,959,143</u>	<u>4,216,812</u>	<u>4,271,629</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	<u>464,041</u>	<u>493,847</u>	<u>522,653</u>	<u>539,567</u>	<u>561,493</u>
<i>OASAS</i>	<u>377,218</u>	<u>411,458</u>	<u>439,488</u>	<u>455,471</u>	<u>476,337</u>
<i>OASAS - Other</i>	86,823	82,389	83,165	84,096	85,156
Justice Center	39,478	41,990	42,623	43,523	44,218
Mental Health, Office of	<u>3,324,650</u>	<u>3,346,528</u>	<u>3,486,001</u>	<u>3,682,131</u>	<u>3,783,951</u>
<i>OMH</i>	<u>1,525,885</u>	<u>1,650,393</u>	<u>1,754,422</u>	<u>1,896,596</u>	<u>1,951,523</u>
<i>OMH - Other</i>	1,798,765	1,696,135	1,731,579	1,785,535	1,832,428

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	3,223,079	3,061,014	3,490,994	3,731,381	3,933,062
<i>OPWDD</i>	370,257	441,804	495,856	544,774	599,819
<i>OPWDD - Other</i>	2,852,822	2,619,210	2,995,138	3,186,607	3,333,243
<b>Functional Total</b>	<b>7,051,467</b>	<b>6,943,379</b>	<b>7,542,271</b>	<b>7,996,602</b>	<b>8,322,724</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,957,044	2,926,143	2,943,379	2,928,135	2,938,290
Criminal Justice Services, Division of	198,307	206,267	196,132	196,132	196,132
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	154,701	150,887	116,187	128,154	101,675
Indigent Legal Services, Office of	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	43,855	37,006	34,761	34,761	34,761
State Police, Division of	714,871	736,741	766,514	756,713	746,633
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	24,469	33,560	43,420	43,420	43,420
<b>Functional Total</b>	<b>4,139,542</b>	<b>4,215,739</b>	<b>4,244,134</b>	<b>4,231,115</b>	<b>4,204,776</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,558,283	1,582,601	1,616,576	1,659,427	1,687,020
Higher Education - Miscellaneous	259	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	136	20,000	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,077,526	1,119,874	1,154,829	1,175,425	1,186,927
State University of New York	7,724,191	7,696,373	7,760,650	7,828,755	7,917,671
<b>Functional Total</b>	<b>10,360,395</b>	<b>10,419,238</b>	<b>10,557,445</b>	<b>10,685,997</b>	<b>10,805,008</b>
<b>EDUCATION</b>					
Arts, Council on the	41,819	45,513	45,253	45,253	45,253
Education, Department of	28,905,525	30,542,891	31,999,660	33,357,121	34,617,763
<i>School Aid</i>	23,302,030	24,772,245	26,398,039	27,695,797	28,905,358
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	952,214	1,105,402	1,084,939	1,082,712	1,059,006
<b>Functional Total</b>	<b>28,947,344</b>	<b>30,588,404</b>	<b>32,044,913</b>	<b>33,402,374</b>	<b>34,663,016</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,424	13,381	13,381	13,507	13,617
Deferred Compensation Board	524	866	866	866	873
Elections, State Board of	7,918	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	251,588	267,907	289,803	314,803	314,803
General Services, Office of	261,313	297,650	281,530	296,160	265,160
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	2,039	2,381	2,481	2,481	2,581
Public Employment Relations Board	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	69,470	70,136	60,378	60,634	60,989
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	354,747	351,044	351,846	352,021	351,846
Technology, Office for	601,260	604,824	622,121	607,636	606,936
Veterans' Affairs, Division of	12,922	15,423	13,808	13,873	13,873
Welfare Inspector General, Office of	569	672	672	686	701
Workers' Compensation Board	186,327	198,055	210,009	211,792	213,595
<b>Functional Total</b>	<b>1,831,318</b>	<b>1,909,234</b>	<b>1,933,365</b>	<b>1,961,190</b>	<b>1,931,985</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	174,200	185,836	182,409	181,070	181,253
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	2,758,586	2,843,100	2,919,053	2,954,053	2,954,053
Law, Department of	186,868	194,496	196,830	198,262	199,864

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Legislature	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<u>3,349,437</u>	<u>3,456,419</u>	<u>3,531,279</u>	<u>3,566,372</u>	<u>3,568,157</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>770,971</u>	<u>753,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Long-Term Debt Service	5,635,102	5,242,440	6,305,861	6,820,767	7,281,488
Miscellaneous	37,280	187,894	417,614	203,630	181,646
Special Infrastructure Account	725,592	1,148,615	1,197,241	1,077,610	810,750
<b>Functional Total</b>	<u>11,079,573</u>	<u>11,317,914</u>	<u>12,998,217</u>	<u>13,378,242</u>	<u>13,937,280</u>
<b>TOTAL STATE FUNDS SPENDING</b>	<u>101,232,137</u>	<u>106,303,134</u>	<u>112,276,474</u>	<u>115,390,961</u>	<u>118,552,418</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,279	32,250	35,026	25,612	25,612
Economic Development Capital	6,614	0	0	0	0
Economic Development, Department of	63,694	56,065	59,379	59,379	59,379
Empire State Development Corporation	705,116	1,368,988	1,309,321	979,250	916,250
Energy Research and Development Authority	1,842	0	0	0	0
Financial Services, Department of	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	172	172	172	172
Regional Economic Development Program	2,787	0	0	0	0
Strategic Investment Program	1,427	0	0	0	0
<b>Functional Total</b>	<b>889,445</b>	<b>1,514,649</b>	<b>1,460,947</b>	<b>1,121,462</b>	<b>1,058,462</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	154,566	50,782	52,305	50,390	50,390
Parks, Recreation and Historic Preservation, Office of	9,654	8,695	8,200	8,200	8,200
<b>Functional Total</b>	<b>164,220</b>	<b>59,477</b>	<b>60,505</b>	<b>58,590</b>	<b>58,590</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	512,171	643,685	250,000	350,000
Thruway Authority, New York State	1,379	0	0	0	0
Transportation, Department of	5,250,252	5,679,410	5,645,683	5,689,610	5,782,607
<b>Functional Total</b>	<b>5,251,631</b>	<b>6,191,581</b>	<b>6,289,368</b>	<b>5,939,610</b>	<b>6,132,607</b>
<b>HEALTH</b>					
Aging, Office for the	127,134	129,680	132,712	137,893	143,204
Health, Department of	19,100,307	19,811,590	21,030,791	22,034,328	22,909,436
<i>Medical Assistance</i>	16,868,571	17,381,169	18,200,077	19,121,172	20,002,383
<i>Essential Plan</i>	19,215	333,917	344,602	354,940	364,878
<i>Medicaid Administration</i>	565,205	468,603	437,206	405,808	374,411
<i>Public Health</i>	1,647,316	1,627,901	2,048,906	2,152,408	2,167,764
<b>Functional Total</b>	<b>19,227,441</b>	<b>19,941,270</b>	<b>21,163,503</b>	<b>22,172,221</b>	<b>23,052,640</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,735,809	1,671,930	1,700,586	1,715,966	1,738,133
<i>OCFS</i>	1,646,887	1,581,657	1,608,586	1,623,785	1,644,064
<i>OCFS - Other</i>	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	88,939	179,453	354,696	575,027	604,877
Labor, Department of	12,207	11,550	(850)	(850)	(850)
National and Community Service	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,259,021	1,315,098	1,338,119	1,356,519	1,366,319
<i>Welfare Assistance</i>	1,122,359	1,158,193	1,180,123	1,192,123	1,194,123
<i>All Other</i>	136,662	156,905	157,996	164,396	172,196
<b>Functional Total</b>	<b>3,096,118</b>	<b>3,178,381</b>	<b>3,392,901</b>	<b>3,647,012</b>	<b>3,708,829</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	351,774	372,992	398,433	414,107	433,985
<i>OASAS</i>	330,449	351,667	377,108	392,782	412,660
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	544	649	649	649	649
Mental Health, Office of	1,199,066	1,249,004	1,369,558	1,506,871	1,562,540
<i>OMH</i>	918,830	976,693	1,068,877	1,195,260	1,239,726
<i>OMH - Other</i>	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,198,724	1,062,539	1,475,464	1,680,019	1,847,788
<i>OPWDD</i>	334,196	371,005	424,682	473,278	527,874
<i>OPWDD - Other</i>	864,528	691,534	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>2,750,108</b>	<b>2,685,184</b>	<b>3,244,104</b>	<b>3,601,646</b>	<b>3,844,962</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	162,227	167,386	157,251	157,251	157,251
Homeland Security and Emergency Services, Division of	115,883	83,598	73,058	94,849	70,023
Indigent Legal Services, Office of	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	805	911	911	911	911

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Victim Services, Office of	19,401	27,419	37,279	37,279	37,279
<b>Functional Total</b>	<b>360,635</b>	<b>367,811</b>	<b>375,596</b>	<b>397,387</b>	<b>372,561</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Facilities Capital Matching Grants Program	136	974	0	0	0
Higher Education Services Corporation, New York State	1,025,146	1,068,031	1,102,574	1,123,170	1,134,672
State University of New York	514,892	508,679	508,267	507,947	507,434
<b>Functional Total</b>	<b>2,969,636</b>	<b>3,031,759</b>	<b>3,097,093</b>	<b>3,158,297</b>	<b>3,194,710</b>
<b>EDUCATION</b>					
Arts, Council on the	38,332	41,193	40,933	40,933	40,933
Education, Department of	28,735,444	30,344,115	31,783,255	33,151,569	34,414,675
<i>School Aid</i>	23,302,030	24,772,245	26,398,039	27,695,797	28,905,358
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	782,133	906,626	868,534	877,160	855,918
<b>Functional Total</b>	<b>28,773,776</b>	<b>30,385,308</b>	<b>31,824,188</b>	<b>33,192,502</b>	<b>34,455,608</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	93	0	0	0	0
Gaming Commission, New York State	91,881	99,302	114,500	139,500	139,500
Prevention of Domestic Violence, Office for	575	785	885	885	985
State, Department of	17,766	12,677	7,379	7,379	7,379
Taxation and Finance, Department of	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	9,252	7,637	7,637	7,637
<b>Functional Total</b>	<b>119,084</b>	<b>124,742</b>	<b>133,127</b>	<b>158,127</b>	<b>158,227</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	114,655	107,300	122,300	122,300	122,300
<b>Functional Total</b>	<b>146,680</b>	<b>139,324</b>	<b>154,324</b>	<b>154,324</b>	<b>154,324</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0
Miscellaneous Financial Assistance	11,846	9,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>770,971</b>	<b>753,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(13,341)	2,355	17,071	7,071	5,781
Special Infrastructure Account	33,159	10,000	20,000	20,000	10,000
<b>Functional Total</b>	<b>19,818</b>	<b>12,355</b>	<b>37,071</b>	<b>27,071</b>	<b>15,781</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>64,539,563</b>	<b>68,385,792</b>	<b>72,024,986</b>	<b>74,421,145</b>	<b>77,000,197</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	54,745	40,275	36,780	52,599	52,604
Alcoholic Beverage Control, Division of	12,501	12,683	12,683	12,683	12,744
Economic Development, Department of	20,464	21,371	20,571	20,571	20,571
Energy Research and Development Authority	1,431	0	0	0	0
Financial Services, Department of	201,930	210,626	211,926	211,926	211,926
Olympic Regional Development Authority	3,091	2,886	2,886	2,886	2,886
Public Service Department	49,483	49,347	49,358	49,358	49,358
<b>Functional Total</b>	<b>343,645</b>	<b>337,188</b>	<b>334,204</b>	<b>350,023</b>	<b>350,089</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	237,912	229,041	228,892	229,561	230,451
Parks, Recreation and Historic Preservation, Office of	180,898	176,737	176,737	175,487	175,487
<b>Functional Total</b>	<b>423,107</b>	<b>410,110</b>	<b>409,961</b>	<b>409,380</b>	<b>410,270</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	59,397	49,322	49,972	49,972	49,972
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	18,588	20,625	20,812	20,812	20,812
<b>Functional Total</b>	<b>94,554</b>	<b>69,947</b>	<b>70,784</b>	<b>70,784</b>	<b>70,784</b>
<b>HEALTH</b>					
Aging, Office for the	1,276	1,232	1,232	1,232	1,232
Health, Department of	691,640	722,905	744,744	744,809	753,327
<i>Essential Plan</i>	13,360	43,220	39,758	39,702	40,934
<i>Medicaid Administration</i>	271,337	296,925	327,630	327,711	334,477
<i>Public Health</i>	406,943	382,760	377,356	377,396	377,916
Medicaid Inspector General, Office of the	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>713,535</b>	<b>743,997</b>	<b>765,836</b>	<b>765,901</b>	<b>774,419</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	262,651	245,348	247,275	253,830	253,830
<i>OCFS</i>	262,651	245,348	247,275	253,830	253,830
Housing and Community Renewal, Division of	50,330	49,246	49,246	49,246	49,246
Human Rights, Division of	10,263	9,921	9,921	9,921	9,921
Labor, Department of	46,543	46,019	46,019	46,019	46,019
National and Community Service	316	337	337	340	340
Temporary and Disability Assistance, Office of	147,079	130,045	125,364	125,364	125,364
<i>All Other</i>	147,079	130,045	125,364	125,364	125,364
<b>Functional Total</b>	<b>517,182</b>	<b>480,916</b>	<b>478,162</b>	<b>484,720</b>	<b>484,720</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	80,224	73,887	74,531	75,670	76,713
<i>OASAS</i>	33,651	31,869	32,213	32,909	33,382
<i>OASAS - Other</i>	46,573	42,018	42,318	42,761	43,331
Justice Center	38,304	40,602	41,172	41,994	42,671
Mental Health, Office of	1,417,657	1,330,574	1,330,624	1,352,637	1,376,376
<i>OMH</i>	354,871	345,089	349,209	354,720	359,125
<i>OMH - Other</i>	1,062,786	985,485	981,415	997,917	1,017,251
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	1,367,626	1,294,059	1,288,901	1,305,645	1,322,172
<i>OPWDD</i>	17	181	181	181	181
<i>OPWDD - Other</i>	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
<b>Functional Total</b>	<b>2,904,030</b>	<b>2,739,122</b>	<b>2,735,228</b>	<b>2,775,946</b>	<b>2,817,932</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,692,513	2,622,426	2,629,682	2,632,438	2,639,593
Criminal Justice Services, Division of	36,039	38,793	38,793	38,793	38,793
Disaster Assistance	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	31,631	25,756	25,756	25,756	25,756
Indigent Legal Services, Office of	1,449	2,739	2,739	2,739	2,739

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Judicial Conduct, Commission on	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	26,051	21,736	21,736	21,736	21,736
State Police, Division of	693,311	684,752	695,914	695,914	695,914
Statewide Financial System	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	3,533	3,951	3,951	3,951	3,951
<b>Functional Total</b>	<b>3,470,706</b>	<b>3,438,593</b>	<b>3,457,017</b>	<b>3,459,832</b>	<b>3,467,052</b>
<b>HIGHER EDUCATION</b>					
City University of New York	87,469	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	198	291	291	291	291
Higher Education Services Corporation, New York State	43,763	41,277	41,277	41,277	41,277
State University of New York	5,866,320	5,919,694	5,993,927	6,091,994	6,195,622
<b>Functional Total</b>	<b>5,997,750</b>	<b>6,046,896</b>	<b>6,122,527</b>	<b>6,222,017</b>	<b>6,327,094</b>
<b>EDUCATION</b>					
Arts, Council on the	3,487	4,320	4,320	4,320	4,320
Education, Department of	130,640	152,125	140,655	140,655	140,475
<i>All Other</i>	130,640	152,125	140,655	140,655	140,475
<b>Functional Total</b>	<b>134,127</b>	<b>156,445</b>	<b>144,975</b>	<b>144,975</b>	<b>144,795</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,277	13,205	13,205	13,331	13,441
Deferred Compensation Board	377	641	641	641	648
Elections, State Board of	7,825	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	146,812	152,897	157,728	157,728	157,728
General Services, Office of	156,939	165,586	165,678	165,783	165,783
Inspector General, Office of the	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,464	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	4,332	5,531	5,531	5,576	5,630
State, Department of	43,332	47,506	42,739	42,739	42,739
Tax Appeals, Division of	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	335,774	327,927	328,584	328,592	328,584
Technology, Office for	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,067	6,171	6,171	6,236	6,236
Welfare Inspector General, Office of	569	672	672	686	701
Workers' Compensation Board	139,016	136,607	141,607	143,390	145,193
<b>Functional Total</b>	<b>1,424,787</b>	<b>1,470,720</b>	<b>1,508,640</b>	<b>1,522,732</b>	<b>1,524,939</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	140,681	147,011	146,661	146,661	146,844
Executive Chamber	13,704	13,578	13,578	13,578	13,578
Judiciary	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Law, Department of	168,775	171,600	173,057	174,387	176,833
Legislature	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	499	614	614	614	614
<b>Functional Total</b>	<b>2,497,870</b>	<b>2,577,098</b>	<b>2,578,205</b>	<b>2,604,935</b>	<b>2,610,064</b>
<b>ALL OTHER CATEGORIES</b>					
Long-Term Debt Service	36,616	39,157	49,313	49,313	49,313
Miscellaneous	24,626	24,823	239,824	25,840	3,856
<b>Functional Total</b>	<b>61,242</b>	<b>63,980</b>	<b>289,137</b>	<b>75,153</b>	<b>53,169</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>18,582,535</b>	<b>18,535,012</b>	<b>18,894,676</b>	<b>18,886,398</b>	<b>19,035,327</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,923	29,020	29,025	29,030	29,035
Alcoholic Beverage Control, Division of	7,622	8,147	8,147	8,147	8,208
Economic Development, Department of	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	1,024	0	0	0	0
Financial Services, Department of	149,000	154,155	155,905	155,905	155,905
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548
Public Service Department	40,858	41,886	41,903	41,903	41,903
<b>Functional Total</b>	<b>240,994</b>	<b>249,085</b>	<b>250,857</b>	<b>250,862</b>	<b>250,928</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	181,598	174,466	174,319	174,600	174,717
Parks, Recreation and Historic Preservation, Office of	135,656	131,683	131,683	131,433	131,433
<b>Functional Total</b>	<b>321,290</b>	<b>310,176</b>	<b>310,029</b>	<b>310,060</b>	<b>310,177</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	43,684	35,195	35,845	35,845	35,845
Transportation, Department of	6,407	7,167	7,347	7,347	7,347
<b>Functional Total</b>	<b>50,091</b>	<b>42,362</b>	<b>43,192</b>	<b>43,192</b>	<b>43,192</b>
<b>HEALTH</b>					
Aging, Office for the	1,110	1,125	1,125	1,125	1,125
Health, Department of	261,851	268,497	272,609	280,548	276,166
<i>Essential Plan</i>	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	31,809	53,965	61,923	69,818	65,387
<i>Public Health</i>	229,651	213,157	209,270	209,272	209,277
Medicaid Inspector General, Office of the	16,621	15,781	15,781	15,781	15,781
<b>Functional Total</b>	<b>279,582</b>	<b>285,403</b>	<b>289,515</b>	<b>297,454</b>	<b>293,072</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	167,328	162,390	162,387	164,002	164,002
<i>OCFS</i>	167,328	162,390	162,387	164,002	164,002
Housing and Community Renewal, Division of	38,788	40,403	40,403	40,403	40,403
Human Rights, Division of	9,398	9,461	9,461	9,461	9,461
Labor, Department of	32,557	32,118	32,118	32,118	32,118
National and Community Service	311	328	328	331	331
Temporary and Disability Assistance, Office of	69,932	58,985	58,985	58,985	58,985
<i>All Other</i>	69,932	58,985	58,985	58,985	58,985
<b>Functional Total</b>	<b>318,314</b>	<b>303,685</b>	<b>303,682</b>	<b>305,300</b>	<b>305,300</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	54,117	57,269	57,535	58,291	58,886
<i>OASAS</i>	19,602	24,884	25,037	25,556	25,819
<i>OASAS - Other</i>	34,515	32,385	32,498	32,735	33,067
Justice Center	26,608	27,551	27,754	28,199	28,488
Mental Health, Office of	1,123,600	1,058,110	1,053,126	1,067,509	1,082,898
<i>OMH</i>	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,356,115</b>	<b>2,240,114</b>	<b>2,230,045</b>	<b>2,257,603</b>	<b>2,285,349</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,042	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,166,752	2,070,430	2,077,636	2,080,392	2,087,547
Criminal Justice Services, Division of	24,588	25,582	25,582	25,582	25,582
Disaster Assistance	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	13,037	14,628	14,628	14,628	14,628
Indigent Legal Services, Office of	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	17,129	14,355	14,355	14,355	14,355



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
State Police, Division of	618,908	619,249	630,081	630,081	630,081
Statewide Financial System	10,234	11,350	11,350	11,350	11,350
Victim Services, Office of	3,154	3,176	3,176	3,176	3,176
<b>Functional Total</b>	<u>2,859,495</u>	<u>2,767,669</u>	<u>2,785,707</u>	<u>2,788,494</u>	<u>2,795,684</u>
<b>HIGHER EDUCATION</b>					
City University of New York	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	133	198	198	198	198
Higher Education Services Corporation, New York State	15,253	15,300	15,300	15,300	15,300
State University of New York	3,628,295	3,677,849	3,712,200	3,765,056	3,821,795
<b>Functional Total</b>	<u>3,690,551</u>	<u>3,738,749</u>	<u>3,773,694</u>	<u>3,827,152</u>	<u>3,884,503</u>
<b>EDUCATION</b>					
Arts, Council on the	2,253	2,498	2,498	2,498	2,498
Education, Department of	85,429	84,643	83,553	83,553	83,425
<i>All Other</i>	85,429	84,643	83,553	83,553	83,425
<b>Functional Total</b>	<u>87,682</u>	<u>87,141</u>	<u>86,051</u>	<u>86,051</u>	<u>85,923</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	11,662	12,384	12,384	12,497	12,591
Deferred Compensation Board	304	410	410	410	413
Elections, State Board of	5,639	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	31,549	35,263	38,757	38,757	38,757
General Services, Office of	71,454	78,759	78,759	78,759	78,759
Inspector General, Office of the	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,351	1,388	1,388	1,388	1,388
Public Employment Relations Board	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	3,480	4,620	4,620	4,646	4,681
State, Department of	28,886	28,957	28,919	28,919	28,919
Tax Appeals, Division of	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	292,613	269,214	269,367	269,367	269,367
Technology, Office for	283,573	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	4,731	5,879	5,879	5,938	5,938
Welfare Inspector General, Office of	511	617	617	621	626
Workers' Compensation Board	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<u>855,931</u>	<u>849,337</u>	<u>857,935</u>	<u>858,989</u>	<u>859,915</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	111,792	113,609	113,609	113,609	113,687
Executive Chamber	10,669	11,469	11,813	11,813	11,813
Judiciary	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	119,099	117,861	118,516	119,097	120,450
Legislature	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	336	513	523	523	523
<b>Functional Total</b>	<u>1,918,723</u>	<u>1,966,683</u>	<u>1,967,692</u>	<u>1,993,673</u>	<u>1,997,604</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,982	2,177	2,177	2,182	2,187
<b>Functional Total</b>	<u>1,982</u>	<u>2,177</u>	<u>2,177</u>	<u>2,182</u>	<u>2,187</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>12,980,750</u></u>	<u><u>12,842,581</u></u>	<u><u>12,900,576</u></u>	<u><u>13,021,012</u></u>	<u><u>13,113,834</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	26,822	11,255	7,755	23,569	23,569
Alcoholic Beverage Control, Division of	4,879	4,536	4,536	4,536	4,536
Economic Development, Department of	8,490	8,042	7,242	7,242	7,242
Energy Research and Development Authority	407	0	0	0	0
Financial Services, Department of	52,930	56,471	56,021	56,021	56,021
Olympic Regional Development Authority	498	338	338	338	338
Public Service Department	8,625	7,461	7,455	7,455	7,455
<b>Functional Total</b>	<b>102,651</b>	<b>88,103</b>	<b>83,347</b>	<b>99,161</b>	<b>99,161</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	261	305	305	305	305
Environmental Conservation, Department of	56,314	54,575	54,573	54,961	55,734
Parks, Recreation and Historic Preservation, Office of	45,242	45,054	45,054	44,054	44,054
<b>Functional Total</b>	<b>101,817</b>	<b>99,934</b>	<b>99,932</b>	<b>99,320</b>	<b>100,093</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	15,713	14,127	14,127	14,127	14,127
Thruway Authority, New York State	16,569	0	0	0	0
Transportation, Department of	12,181	13,458	13,465	13,465	13,465
<b>Functional Total</b>	<b>44,463</b>	<b>27,585</b>	<b>27,592</b>	<b>27,592</b>	<b>27,592</b>
<b>HEALTH</b>					
Aging, Office for the	166	107	107	107	107
Health, Department of	429,789	454,408	472,135	464,261	477,161
<i>Essential Plan</i>	12,969	41,845	38,342	38,244	39,432
<i>Medicaid Administration</i>	239,528	242,960	265,707	257,893	269,090
<i>Public Health</i>	177,292	169,603	168,086	168,124	168,639
Medicaid Inspector General, Office of the	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>433,953</b>	<b>458,594</b>	<b>476,321</b>	<b>468,447</b>	<b>481,347</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	95,323	82,958	84,888	89,828	89,828
<i>OCFS</i>	95,323	82,958	84,888	89,828	89,828
Housing and Community Renewal, Division of	11,542	8,843	8,843	8,843	8,843
Human Rights, Division of	865	460	460	460	460
Labor, Department of	13,986	13,901	13,901	13,901	13,901
National and Community Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	77,147	71,060	66,379	66,379	66,379
<i>All Other</i>	77,147	71,060	66,379	66,379	66,379
<b>Functional Total</b>	<b>198,868</b>	<b>177,231</b>	<b>174,480</b>	<b>179,420</b>	<b>179,420</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	26,107	16,618	16,996	17,379	17,827
<i>OASAS</i>	14,049	6,985	7,176	7,353	7,563
<i>OASAS - Other</i>	12,058	9,633	9,820	10,026	10,264
Justice Center	11,696	13,051	13,418	13,795	14,183
Mental Health, Office of	294,057	272,464	277,498	285,128	293,478
<i>OMH</i>	64,988	47,464	49,072	50,553	51,922
<i>OMH - Other</i>	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	215,836	196,875	197,271	202,041	207,095
<i>OPWDD</i>	17	181	181	181	181
<i>OPWDD - Other</i>	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>547,915</b>	<b>499,008</b>	<b>505,183</b>	<b>518,343</b>	<b>532,583</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	255	237	237	237	237
Correctional Services, Department of	525,761	551,996	552,046	552,046	552,046
Criminal Justice Services, Division of	11,451	13,211	13,211	13,211	13,211
Disaster Assistance	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	18,594	11,128	11,128	11,128	11,128

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Indigent Legal Services, Office of	238	535	535	535	535
Judicial Conduct, Commission on	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	20	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	8,922	7,381	7,381	7,381	7,381
State Police, Division of	74,403	65,503	65,833	65,833	65,833
Statewide Financial System	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	379	775	775	775	775
<b>Functional Total</b>	<u>611,211</u>	<u>670,924</u>	<u>671,310</u>	<u>671,338</u>	<u>671,368</u>
<b>HIGHER EDUCATION</b>					
City University of New York	40,599	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	65	93	93	93	93
Higher Education Services Corporation, New York State	28,510	25,977	25,977	25,977	25,977
State University of New York	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
<b>Functional Total</b>	<u>2,307,199</u>	<u>2,308,147</u>	<u>2,348,833</u>	<u>2,394,865</u>	<u>2,442,591</u>
<b>EDUCATION</b>					
Arts, Council on the	1,234	1,822	1,822	1,822	1,822
Education, Department of	45,211	67,482	57,102	57,102	57,050
<i>All Other</i>	45,211	67,482	57,102	57,102	57,050
<b>Functional Total</b>	<u>46,445</u>	<u>69,304</u>	<u>58,924</u>	<u>58,924</u>	<u>58,872</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	1,615	821	821	834	850
Deferred Compensation Board	73	231	231	231	235
Elections, State Board of	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	47	71	71	72	73
Gaming Commission, New York State	115,263	117,634	118,971	118,971	118,971
General Services, Office of	85,485	86,827	86,919	87,024	87,024
Inspector General, Office of the	831	815	815	827	839
Labor Management Committees	18,263	19,854	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	113	208	208	208	208
Public Employment Relations Board	226	236	237	241	246
Public Integrity, Commission on	852	911	911	930	949
State, Department of	14,446	18,549	13,820	13,820	13,820
Tax Appeals, Division of	272	170	170	170	170
Taxation and Finance, Department of	43,161	58,713	59,217	59,225	59,217
Technology, Office for	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	336	292	292	298	298
Welfare Inspector General, Office of	58	55	55	65	75
Workers' Compensation Board	60,293	60,729	60,729	61,897	63,089
<b>Functional Total</b>	<u>568,856</u>	<u>621,383</u>	<u>650,705</u>	<u>663,743</u>	<u>665,024</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,035	2,109	1,765	1,765	1,765
Judiciary	449,248	468,600	468,600	468,600	468,600
Law, Department of	49,676	53,739	54,541	55,290	56,383
Legislature	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	101	91	91	91
<b>Functional Total</b>	<u>579,147</u>	<u>610,415</u>	<u>610,513</u>	<u>611,262</u>	<u>612,460</u>
<b>ALL OTHER CATEGORIES</b>					
Long-Term Debt Service	36,616	39,157	49,313	49,313	49,313
Miscellaneous	22,644	22,646	237,647	23,658	1,669
<b>Functional Total</b>	<u>59,260</u>	<u>61,803</u>	<u>286,960</u>	<u>72,971</u>	<u>50,982</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>5,601,785</u>	<u>5,692,431</u>	<u>5,994,100</u>	<u>5,865,386</u>	<u>5,921,493</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,517	1,422	1,478	1,523	1,587
Alcoholic Beverage Control, Division of	4,776	153	0	0	0
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0
Financial Services, Department of	77,436	82,690	90,611	93,154	96,693
Olympic Regional Development Authority	20	0	0	0	0
Public Service Department	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>103,276</b>	<b>107,800</b>	<b>116,858</b>	<b>120,845</b>	<b>124,448</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	45,186	47,590	49,175	49,483	48,954
Parks, Recreation and Historic Preservation, Office of	2,863	3,317	2,938	2,938	2,938
<b>Functional Total</b>	<b>48,049</b>	<b>50,907</b>	<b>52,113</b>	<b>52,421</b>	<b>51,892</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,365	19,799	20,656	20,734	20,734
Transportation, Department of	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>28,003</b>	<b>23,913</b>	<b>25,284</b>	<b>25,609</b>	<b>25,609</b>
<b>HEALTH</b>					
Health, Department of	31,572	29,095	29,096	29,098	29,103
<i>Public Health</i>	31,572	29,095	29,096	29,098	29,103
<b>Functional Total</b>	<b>31,572</b>	<b>29,095</b>	<b>29,096</b>	<b>29,098</b>	<b>29,103</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,929	3,418	3,418	3,418	3,418
<i>OCFS</i>	2,929	3,418	3,418	3,418	3,418
Housing and Community Renewal, Division of	15,976	16,381	16,381	16,381	16,381
Labor, Department of	14,040	16,950	16,950	16,950	16,950
Temporary and Disability Assistance, Office of	70	0	0	0	0
<i>All Other</i>	70	0	0	0	0
<b>Functional Total</b>	<b>33,015</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	32,043	35,479	37,200	38,301	39,306
<i>OASAS</i>	13,118	16,433	17,678	18,291	18,806
<i>OASAS - Other</i>	18,925	19,046	19,522	20,010	20,500
Justice Center	630	739	802	880	898
Mental Health, Office of	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,258,812</b>	<b>1,278,186</b>	<b>1,320,095</b>	<b>1,376,345</b>	<b>1,416,021</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	92	132	136	136	136
Criminal Justice Services, Division of	41	88	88	88	88
Homeland Security and Emergency Services, Division of	507	852	873	896	896
Indigent Legal Services, Division of	599	956	956	956	956
Military and Naval Affairs, Division of	(7)	14	14	14	14
State Police, Division of	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>5,206</b>	<b>21,810</b>	<b>27,577</b>	<b>28,179</b>	<b>29,089</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	99	99	99	99
Higher Education Services Corporation, New York State	8,617	10,566	10,978	10,978	10,978
State University of New York	411,631	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<u>428,201</u>	<u>394,308</u>	<u>400,176</u>	<u>405,714</u>	<u>411,335</u>
<b>EDUCATION</b>					
Education, Department of	32,021	33,959	35,650	37,497	37,456
<i>All Other</i>	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<u>32,021</u>	<u>33,959</u>	<u>35,650</u>	<u>37,497</u>	<u>37,456</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	831	1,557	1,657	1,657	1,657
Civil Service, Department of	147	176	176	176	176
Deferred Compensation Board	147	225	225	225	225
Gaming Commission, New York State	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,589	446	469	494	494
State, Department of	8,372	9,953	10,260	10,516	10,871
Taxation and Finance, Department of	18,059	20,391	20,536	20,703	20,536
Workers' Compensation Board	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<u>87,234</u>	<u>99,904</u>	<u>104,300</u>	<u>104,748</u>	<u>104,936</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,494	2,201	2,324	2,385	2,385
Judiciary	685,300	710,300	771,253	780,853	778,353
Law, Department of	16,644	17,896	18,773	20,254	20,531
<b>Functional Total</b>	<u>703,438</u>	<u>730,397</u>	<u>792,350</u>	<u>803,492</u>	<u>801,269</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	4,681,599	4,738,965	5,077,501	5,276,235	5,663,396
Miscellaneous	12,039	5,716	5,719	5,719	5,719
<b>Functional Total</b>	<u>4,693,638</u>	<u>4,744,681</u>	<u>5,083,220</u>	<u>5,281,954</u>	<u>5,669,115</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>7,452,465</u>	<u>7,551,709</u>	<u>8,023,468</u>	<u>8,302,651</u>	<u>8,737,022</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	0	23,000	29,276	24,250	23,000
Economic Development, Department of	28	13,433	3,274	14,150	0
Empire State Development Corporation	33,720	245,301	372,678	443,778	367,678
Energy Research and Development Authority	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	7,500	7,500	0	0	0
Power Authority, New York	0	2,500	2,500	1,244	0
Regional Economic Development Program	0	1,500	512	356	355
Strategic Investment Program	0	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>57,407</b>	<b>331,685</b>	<b>441,240</b>	<b>509,873</b>	<b>415,033</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	225,645	440,112	577,596	591,445	540,445
Hudson River Park Trust	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	136,835	132,850	127,325	124,850	124,850
<b>Functional Total</b>	<b>365,932</b>	<b>572,962</b>	<b>704,921</b>	<b>716,295</b>	<b>665,295</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	189,879	204,488	204,080	206,609	206,950
Transportation, Department of	2,041,369	2,298,410	2,513,748	2,579,640	2,597,756
<b>Functional Total</b>	<b>2,231,248</b>	<b>2,502,898</b>	<b>2,717,828</b>	<b>2,786,249</b>	<b>2,804,706</b>
<b>HEALTH</b>					
Health, Department of	61,148	81,000	31,000	31,000	31,000
<i>Public Health</i>	61,148	81,000	31,000	31,000	31,000
<b>Functional Total</b>	<b>61,148</b>	<b>81,000</b>	<b>31,000</b>	<b>31,000</b>	<b>31,000</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	21,186	20,931	20,931	20,931	20,931
<i>OCFS</i>	21,186	20,931	20,931	20,931	20,931
Nonprofit Infrastructure Capital Investment Program	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	376	400	400	400	400
<i>All Other</i>	376	400	400	400	400
<b>Functional Total</b>	<b>21,562</b>	<b>34,331</b>	<b>51,331</b>	<b>48,331</b>	<b>41,331</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	0	11,489	12,489	11,489	11,489
<i>OASAS</i>	0	11,489	12,489	11,489	11,489
Mental Health, Office of	102,473	158,780	159,362	159,861	160,556
<i>OMH</i>	102,473	158,780	159,362	159,861	160,556
People with Developmental Disabilities, Office for	36,044	70,618	70,993	71,315	71,764
<i>OPWDD</i>	36,044	70,618	70,993	71,315	71,764
<b>Functional Total</b>	<b>138,517</b>	<b>240,887</b>	<b>242,844</b>	<b>242,665</b>	<b>243,809</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	260,188	298,088	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	6,680	40,681	16,500	6,653	5,000
Military and Naval Affairs, Division of	17,006	14,345	12,100	12,100	12,100
State Police, Division of	19,121	34,411	47,280	36,900	25,910
<b>Functional Total</b>	<b>302,995</b>	<b>387,525</b>	<b>383,944</b>	<b>345,717</b>	<b>336,074</b>
<b>HIGHER EDUCATION</b>					
City University of New York	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	0	19,026	25,000	22,000	13,000
State University of New York	931,348	892,249	877,249	842,069	822,249
<b>Functional Total</b>	<b>964,808</b>	<b>946,275</b>	<b>937,649</b>	<b>899,969</b>	<b>871,869</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>					
Education, Department of	7,420	12,692	40,100	27,400	25,157
<i>All Other</i>	7,420	12,692	40,100	27,400	25,157
<b>Functional Total</b>	<u>7,420</u>	<u>12,692</u>	<u>40,100</u>	<u>27,400</u>	<u>25,157</u>
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	101,785	131,618	115,383	129,883	98,883
Technology, Office for	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<u>200,213</u>	<u>213,868</u>	<u>187,298</u>	<u>175,583</u>	<u>143,883</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	0	4,600	1,400	0	0
Law, Department of	1,449	5,000	5,000	3,621	2,500
<b>Functional Total</b>	<u>1,449</u>	<u>9,600</u>	<u>6,400</u>	<u>3,621</u>	<u>2,500</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	13,956	155,000	155,000	165,000	166,290
Special Infrastructure Account	692,433	1,138,615	1,177,241	1,057,610	800,750
<b>Functional Total</b>	<u>706,389</u>	<u>1,293,615</u>	<u>1,332,241</u>	<u>1,222,610</u>	<u>967,040</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>5,059,088</u></u>	<u><u>6,627,338</u></u>	<u><u>7,076,796</u></u>	<u><u>7,009,313</u></u>	<u><u>6,547,697</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development, Department of	17,190	2,000	5,000	5,000	5,000
Energy Research and Development Authority	1,842	0	0	0	0
Financial Services, Department of	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	172	172	172	172
<b>Functional Total</b>	<b>99,718</b>	<b>59,346</b>	<b>62,221</b>	<b>62,221</b>	<b>62,221</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	4,754	5,450	5,450	5,450	5,450
<b>Functional Total</b>	<b>4,754</b>	<b>5,450</b>	<b>5,450</b>	<b>5,450</b>	<b>5,450</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	4,633,877	4,846,488	4,939,018	4,995,981	5,091,636
<b>Functional Total</b>	<b>4,633,877</b>	<b>4,846,488</b>	<b>4,939,018</b>	<b>4,995,981</b>	<b>5,091,636</b>
<b>HEALTH</b>					
Health, Department of	6,250,424	6,269,342	6,212,487	6,216,951	6,232,078
<i>Medical Assistance</i>	5,316,631	5,500,755	5,416,404	5,411,884	5,293,673
<i>Public Health</i>	933,793	768,587	796,083	805,067	938,405
<b>Functional Total</b>	<b>6,250,424</b>	<b>6,269,342</b>	<b>6,212,487</b>	<b>6,216,951</b>	<b>6,232,078</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,367	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,367	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	295	852	852	852	852
Labor, Department of	58	(850)	(850)	(850)	(850)
Temporary and Disability Assistance, Office of	89	0	0	0	0
<i>All Other</i>	89	0	0	0	0
<b>Functional Total</b>	<b>2,809</b>	<b>3,584</b>	<b>3,584</b>	<b>3,584</b>	<b>3,584</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	280,641	288,080	315,546	336,220	356,098
<i>OASAS</i>	280,641	288,080	315,546	336,220	356,098
Justice Center	430	479	479	479	479
Mental Health, Office of	857,956	918,610	1,007,794	1,134,177	1,178,643
<i>OMH</i>	857,956	918,610	1,007,794	1,134,177	1,178,643
People with Developmental Disabilities, Office for	332,217	367,126	420,803	469,399	523,995
<i>OPWDD</i>	331,062	367,126	420,803	469,399	523,995
<i>OPWDD - Other</i>	1,155	0	0	0	0
<b>Functional Total</b>	<b>1,471,244</b>	<b>1,574,295</b>	<b>1,744,622</b>	<b>1,940,275</b>	<b>2,059,215</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Criminal Justice Services, Division of	34,250	31,737	17,437	17,437	17,437
Homeland Security and Emergency Services, Division of	33,702	39,376	61,561	81,527	65,801
Indigent Legal Services, Office of	58,068	83,000	101,600	101,600	101,600
Victim Services, Office of	17,531	24,631	34,491	34,491	34,491
<b>Functional Total</b>	<b>143,551</b>	<b>178,744</b>	<b>215,089</b>	<b>235,055</b>	<b>219,329</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	16,000	0	0	0	0
<b>Functional Total</b>	<b>16,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,513,228	6,561,877	6,208,773	6,199,265	6,196,204
<i>School Aid</i>	3,169,009	3,321,000	3,218,948	3,265,000	3,314,000
<i>STAR Property Tax Relief</i>	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>All Other</i>	9,519	13,033	13,033	13,033	13,033
<b>Functional Total</b>	<b>6,513,228</b>	<b>6,561,975</b>	<b>6,208,871</b>	<b>6,199,363</b>	<b>6,196,302</b>
<b>GENERAL GOVERNMENT</b>					



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Gaming Commission, New York State	91,881	99,302	114,500	139,500	139,500
State, Department of	638	939	939	939	939
Taxation and Finance, Department of	0	1,800	1,800	1,800	1,800
<b>Functional Total</b>	<u>92,519</u>	<u>102,041</u>	<u>117,239</u>	<u>142,239</u>	<u>142,239</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	112,204	104,900	104,900	104,900	104,900
<b>Functional Total</b>	<u>112,204</u>	<u>104,900</u>	<u>104,900</u>	<u>104,900</u>	<u>104,900</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(1,400)	(774,500)	(814,500)	(814,500)	(1,049,500)
<b>Functional Total</b>	<u>(1,400)</u>	<u>(774,500)</u>	<u>(814,500)</u>	<u>(814,500)</u>	<u>(1,049,500)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>19,338,928</u>	<u>18,931,665</u>	<u>18,798,981</u>	<u>19,091,519</u>	<u>19,067,454</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,654	2,714	2,719	2,724	2,729
Alcoholic Beverage Control, Division of	7,622	113	0	0	0
Economic Development, Department of	0	103	103	103	103
Energy Research and Development Authority	1,024	0	0	0	0
Financial Services, Department of	149,000	154,155	155,905	155,905	155,905
Olympic Regional Development Authority	45	0	0	0	0
Public Service Department	40,858	41,886	41,903	41,903	41,903
<b>Functional Total</b>	<b>201,203</b>	<b>198,971</b>	<b>200,630</b>	<b>200,635</b>	<b>200,640</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	95,957	92,996	92,745	93,026	85,943
Parks, Recreation and Historic Preservation, Office of	29,719	31,207	31,207	30,957	30,957
<b>Functional Total</b>	<b>125,676</b>	<b>124,203</b>	<b>123,952</b>	<b>123,983</b>	<b>116,900</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	43,684	35,195	35,845	35,845	35,845
Transportation, Department of	6,407	7,167	7,347	7,347	7,347
<b>Functional Total</b>	<b>50,091</b>	<b>42,362</b>	<b>43,192</b>	<b>43,192</b>	<b>43,192</b>
<b>HEALTH</b>					
Health, Department of	142,666	129,493	128,547	128,549	128,554
<i>Public Health</i>	142,666	129,493	128,547	128,549	128,554
<b>Functional Total</b>	<b>142,666</b>	<b>129,493</b>	<b>128,547</b>	<b>128,549</b>	<b>128,554</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,564	3,304	3,301	3,333	3,333
<i>OCFS</i>	2,564	3,304	3,301	3,333	3,333
Housing and Community Renewal, Division of	33,869	36,204	36,204	36,204	36,204
Labor, Department of	32,519	32,030	32,030	32,030	32,030
<b>Functional Total</b>	<b>68,952</b>	<b>71,538</b>	<b>71,535</b>	<b>71,567</b>	<b>71,567</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	54,117	56,154	56,420	57,176	57,771
<i>OASAS</i>	19,602	23,769	23,922	24,441	24,704
<i>OASAS - Other</i>	34,515	32,385	32,498	32,735	33,067
Justice Center	1,178	1,287	1,333	1,389	1,415
Mental Health, Office of	1,123,600	1,058,110	1,053,126	1,067,509	1,082,898
<i>OMH</i>	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,330,685</b>	<b>2,212,735</b>	<b>2,202,509</b>	<b>2,229,678</b>	<b>2,257,161</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	168	219	219	219	219
Criminal Justice Services, Division of	342	392	392	392	392
Homeland Security and Emergency Services, Division of	10,965	13,628	13,628	13,628	13,628
Indigent Legal Services, Office of	1,211	2,204	2,204	2,204	2,204
Military and Naval Affairs, Division of	435	134	134	134	134
State Police, Division of	11,357	47,006	47,006	47,006	47,006
Victim Services, Office of	3,154	3,176	3,176	3,176	3,176
<b>Functional Total</b>	<b>27,632</b>	<b>66,759</b>	<b>66,759</b>	<b>66,759</b>	<b>66,759</b>
<b>HIGHER EDUCATION</b>					
City University of New York	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	133	198	198	198	198
Higher Education Services Corporation, New York State	15,253	15,300	15,300	15,300	15,300
State University of New York	3,626,704	3,677,849	3,712,200	3,765,056	3,821,795
<b>Functional Total</b>	<b>3,688,960</b>	<b>3,738,749</b>	<b>3,773,694</b>	<b>3,827,152</b>	<b>3,884,503</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>					
Education, Department of	57,820	58,278	58,278	58,278	58,150
<i>All Other</i>	57,820	58,278	58,278	58,278	58,150
<b>Functional Total</b>	<u>57,820</u>	<u>58,278</u>	<u>58,278</u>	<u>58,278</u>	<u>58,150</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	1,491	2,298	2,351	2,351	2,351
Civil Service, Department of	255	334	334	359	362
Deferred Compensation Board	280	378	378	378	381
Gaming Commission, New York State	28,187	30,981	34,475	34,475	34,475
General Services, Office of	4,615	858	858	858	858
State, Department of	17,130	17,035	16,997	16,997	16,997
Taxation and Finance, Department of	45,481	43,200	43,353	43,654	43,353
Workers' Compensation Board	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<u>176,162</u>	<u>170,962</u>	<u>179,624</u>	<u>180,565</u>	<u>180,881</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	10,594	10,937	10,937	10,937	11,015
Judiciary	58,351	59,000	59,000	59,000	59,000
Law, Department of	29,147	28,456	29,111	29,692	30,380
<b>Functional Total</b>	<u>98,092</u>	<u>98,393</u>	<u>99,048</u>	<u>99,629</u>	<u>100,395</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,919	(125,078)	(145,078)	(145,073)	(185,068)
<b>Functional Total</b>	<u>1,919</u>	<u>(125,078)</u>	<u>(145,078)</u>	<u>(145,073)</u>	<u>(185,068)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>6,969,858</u></u>	<u><u>6,787,365</u></u>	<u><u>6,802,690</u></u>	<u><u>6,884,914</u></u>	<u><u>6,923,634</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	19,408	5,886	2,386	18,200	18,200
Alcoholic Beverage Control, Division of	4,879	312	0	0	0
Economic Development, Department of	1,679	1,847	1,847	1,847	1,847
Energy Research and Development Authority	407	0	0	0	0
Financial Services, Department of	52,930	56,471	56,021	56,021	56,021
Olympic Regional Development Authority	35	150	150	150	150
Public Service Department	8,625	7,461	7,455	7,455	7,455
<b>Functional Total</b>	<b>87,963</b>	<b>72,127</b>	<b>67,859</b>	<b>83,673</b>	<b>83,673</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	47,541	46,185	41,246	35,613	35,586
Parks, Recreation and Historic Preservation, Office of	40,223	37,707	37,707	36,707	36,707
<b>Functional Total</b>	<b>87,764</b>	<b>83,892</b>	<b>78,953</b>	<b>72,320</b>	<b>72,293</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	15,713	14,127	14,127	14,127	14,127
Transportation, Department of	11,029	12,289	12,296	12,296	12,296
<b>Functional Total</b>	<b>26,742</b>	<b>26,416</b>	<b>26,423</b>	<b>26,423</b>	<b>26,423</b>
<b>HEALTH</b>					
Aging, Office for the	0	1	1	1	1
Health, Department of	127,278	124,031	122,414	122,452	122,867
<i>Public Health</i>	127,278	124,031	122,414	122,452	122,867
<b>Functional Total</b>	<b>127,278</b>	<b>124,032</b>	<b>122,415</b>	<b>122,453</b>	<b>122,868</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	27,443	15,205	15,205	15,786	15,786
<i>OCFS</i>	27,443	15,205	15,205	15,786	15,786
Housing and Community Renewal, Division of	9,273	8,492	8,492	8,492	8,492
Labor, Department of	13,818	13,701	13,701	13,701	13,701
Temporary and Disability Assistance, Office of	76	200	200	200	200
<i>All Other</i>	76	200	200	200	200
<b>Functional Total</b>	<b>50,610</b>	<b>37,598</b>	<b>37,598</b>	<b>38,179</b>	<b>38,179</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	26,107	16,588	16,966	17,349	17,797
<i>OASAS</i>	14,049	6,955	7,146	7,323	7,533
<i>OASAS - Other</i>	12,058	9,633	9,820	10,026	10,264
Justice Center	30	36	37	38	39
Mental Health, Office of	293,578	271,664	276,698	284,328	292,678
<i>OMH</i>	64,509	46,664	48,272	49,753	51,122
<i>OMH - Other</i>	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	219	0	0	0	0
People with Developmental Disabilities, Office for	215,836	196,875	197,271	202,041	207,095
<i>OPWDD</i>	17	181	181	181	181
<i>OPWDD - Other</i>	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>535,770</b>	<b>485,163</b>	<b>490,972</b>	<b>503,756</b>	<b>517,609</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	1,113	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	1,176	4,680	4,680	4,680	4,680
Homeland Security and Emergency Services, Division of	16,348	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	238	535	535	535	535
Military and Naval Affairs, Division of	3,052	1,207	1,207	1,207	1,207
State Police, Division of	29,676	27,184	27,184	22,184	22,184
Victim Services, Office of	379	775	775	775	775
<b>Functional Total</b>	<b>51,982</b>	<b>48,136</b>	<b>48,136</b>	<b>43,136</b>	<b>43,136</b>
<b>HIGHER EDUCATION</b>					
City University of New York	39,822	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	65	93	93	93	93

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Higher Education Services Corporation, New York State	28,510	25,977	25,977	25,977	25,977
State University of New York	2,229,775	2,241,845	2,281,727	2,326,938	2,373,827
<b>Functional Total</b>	<u>2,298,172</u>	<u>2,308,147</u>	<u>2,348,833</u>	<u>2,394,865</u>	<u>2,442,591</u>
<b>EDUCATION</b>					
Education, Department of	27,825	25,394	25,394	25,394	25,342
<i>All Other</i>	27,825	25,394	25,394	25,394	25,342
<b>Functional Total</b>	<u>27,825</u>	<u>25,394</u>	<u>25,394</u>	<u>25,394</u>	<u>25,342</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	826	2,745	2,693	2,693	2,693
Civil Service, Department of	71	420	420	428	436
Deferred Compensation Board	36	206	206	206	210
Elections, State Board of	221	0	0	0	0
Gaming Commission, New York State	113,017	115,145	116,482	116,482	116,482
General Services, Office of	6,122	3,031	3,123	3,228	3,228
Labor Management Committees	0	300	300	300	306
Prevention of Domestic Violence, Office for	0	5	5	5	5
Public Employment Relations Board	33	43	44	44	45
State, Department of	14,023	17,282	13,581	13,581	13,581
Taxation and Finance, Department of	26,531	29,660	30,164	30,496	30,164
Workers' Compensation Board	60,293	60,729	60,729	61,897	63,089
<b>Functional Total</b>	<u>221,173</u>	<u>229,566</u>	<u>227,747</u>	<u>229,360</u>	<u>230,239</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	3,662	5,344	5,344	5,344	5,449
Judiciary	62,131	52,400	52,400	52,400	52,400
Law, Department of	37,530	40,306	41,108	41,857	42,692
Legislature	1,469	950	950	950	950
<b>Functional Total</b>	<u>104,792</u>	<u>99,000</u>	<u>99,802</u>	<u>100,551</u>	<u>101,491</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	810	(130,668)	(188,065)	(188,054)	(283,043)
<b>Functional Total</b>	<u>810</u>	<u>(130,668)</u>	<u>(188,065)</u>	<u>(188,054)</u>	<u>(283,043)</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>3,620,881</u></u>	<u><u>3,408,803</u></u>	<u><u>3,386,067</u></u>	<u><u>3,452,056</u></u>	<u><u>3,420,801</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,517	1,422	1,478	1,523	1,587
Alcoholic Beverage Control, Division of	4,776	153	0	0	0
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0
Financial Services, Department of	77,436	82,690	90,611	93,154	96,693
Olympic Regional Development Authority	20	0	0	0	0
Public Service Department	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>103,276</b>	<b>107,800</b>	<b>116,858</b>	<b>120,845</b>	<b>124,448</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	45,186	47,590	49,175	49,483	48,954
Parks, Recreation and Historic Preservation, Office of	2,863	3,317	2,938	2,938	2,938
<b>Functional Total</b>	<b>48,049</b>	<b>50,907</b>	<b>52,113</b>	<b>52,421</b>	<b>51,892</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,365	19,799	20,656	20,734	20,734
Transportation, Department of	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>28,003</b>	<b>23,913</b>	<b>25,284</b>	<b>25,609</b>	<b>25,609</b>
<b>HEALTH</b>					
Health, Department of	31,572	29,095	29,096	29,098	29,103
<i>Public Health</i>	31,572	29,095	29,096	29,098	29,103
<b>Functional Total</b>	<b>31,572</b>	<b>29,095</b>	<b>29,096</b>	<b>29,098</b>	<b>29,103</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,929	3,418	3,418	3,418	3,418
<i>OCFS</i>	2,929	3,418	3,418	3,418	3,418
Housing and Community Renewal, Division of	15,976	16,381	16,381	16,381	16,381
Labor, Department of	14,040	16,950	16,950	16,950	16,950
Temporary and Disability Assistance, Office of	70	0	0	0	0
<i>All Other</i>	70	0	0	0	0
<b>Functional Total</b>	<b>33,015</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	32,043	34,852	36,551	37,634	38,614
<i>OASAS</i>	13,118	15,806	17,029	17,624	18,114
<i>OASAS - Other</i>	18,925	19,046	19,522	20,010	20,500
Justice Center	630	739	802	880	898
Mental Health, Office of	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,258,812</b>	<b>1,277,559</b>	<b>1,319,446</b>	<b>1,375,678</b>	<b>1,415,329</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	92	132	136	136	136
Criminal Justice Services, Division of	41	88	88	88	88
Homeland Security and Emergency Services, Division of	507	852	873	896	896
Indigent Legal Services, Office of	599	956	956	956	956
Military and Naval Affairs, Division of	(7)	14	14	14	14
State Police, Division of	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>5,206</b>	<b>21,810</b>	<b>27,577</b>	<b>28,179</b>	<b>29,089</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	99	99	99	99
Higher Education Services Corporation, New York State	8,617	10,566	10,978	10,978	10,978
State University of New York	370,529	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<u>387,099</u>	<u>394,308</u>	<u>400,176</u>	<u>405,714</u>	<u>411,335</u>
<b>EDUCATION</b>					
Education, Department of	32,021	33,959	35,650	37,497	37,456
<i>All Other</i>	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<u>32,021</u>	<u>33,959</u>	<u>35,650</u>	<u>37,497</u>	<u>37,456</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	831	1,557	1,657	1,657	1,657
Civil Service, Department of	147	176	176	176	176
Deferred Compensation Board	147	225	225	225	225
Gaming Commission, New York State	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,589	446	469	494	494
State, Department of	8,372	9,953	10,260	10,516	10,871
Taxation and Finance, Department of	18,059	20,391	20,536	20,703	20,536
Workers' Compensation Board	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<u>87,234</u>	<u>99,904</u>	<u>104,300</u>	<u>104,748</u>	<u>104,936</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,494	2,201	2,324	2,385	2,385
Judiciary	21,726	28,500	28,750	28,750	28,750
Law, Department of	16,644	17,896	18,773	20,254	20,531
<b>Functional Total</b>	<u>39,864</u>	<u>48,597</u>	<u>49,847</u>	<u>51,389</u>	<u>51,666</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,083	1,296	1,299	1,299	1,299
<b>Functional Total</b>	<u>1,083</u>	<u>1,296</u>	<u>1,299</u>	<u>1,299</u>	<u>1,299</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>2,055,234</u></u>	<u><u>2,125,897</u></u>	<u><u>2,198,395</u></u>	<u><u>2,269,226</u></u>	<u><u>2,318,911</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development, Department of	7,716	8,055	8,055	8,055	8,055
<b>Functional Total</b>	<b>7,716</b>	<b>8,055</b>	<b>8,055</b>	<b>8,055</b>	<b>8,055</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	4,028	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>4,028</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	14,681	18,000	18,000	18,000	18,000
Transportation, Department of	22,705	33,927	33,927	33,927	33,927
<b>Functional Total</b>	<b>37,386</b>	<b>51,927</b>	<b>51,927</b>	<b>51,927</b>	<b>51,927</b>
<b>HEALTH</b>					
Aging, Office for the	90,659	111,159	104,301	89,203	89,203
Health, Department of	35,035,348	36,022,771	37,600,925	38,639,538	39,590,397
<i>Medical Assistance</i>	31,226,036	31,579,187	33,054,775	33,996,156	34,959,534
<i>Essential Plan</i>	1,506,723	2,083,668	2,150,345	2,214,856	2,276,872
<i>Medicaid Administration</i>	440,017	445,950	445,950	445,950	445,950
<i>Public Health</i>	1,862,572	1,913,966	1,949,855	1,982,576	1,908,041
<b>Functional Total</b>	<b>35,126,007</b>	<b>36,133,930</b>	<b>37,705,226</b>	<b>38,728,741</b>	<b>39,679,600</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	896,967	1,031,300	966,300	966,300	966,300
<i>OCFS</i>	896,967	1,031,300	966,300	966,300	966,300
Housing and Community Renewal, Division of	50,830	48,434	48,434	48,434	48,434
Labor, Department of	156,302	158,117	158,325	158,325	158,325
Temporary and Disability Assistance, Office of	3,700,880	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,863,393	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	837,487	817,000	817,000	817,000	817,000
<b>Functional Total</b>	<b>4,804,979</b>	<b>4,681,427</b>	<b>4,616,635</b>	<b>4,616,635</b>	<b>4,616,635</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	123,561	122,781	122,781	122,781	122,781
<i>OASAS</i>	123,561	122,781	122,781	122,781	122,781
Mental Health, Office of	32,743	32,025	32,025	32,025	32,025
<i>OMH</i>	32,743	32,025	32,025	32,025	32,025
People with Developmental Disabilities, Office for	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	0	8,500	8,500	8,500	8,500
<b>Functional Total</b>	<b>156,304</b>	<b>163,306</b>	<b>163,306</b>	<b>163,306</b>	<b>163,306</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Criminal Justice Services, Division of	17,835	18,800	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	1,722,015	1,544,771	949,124	663,771	663,771
State Police, Division of	0	6,000	0	0	0
Victim Services, Office of	38,713	30,128	30,128	30,128	30,128
<b>Functional Total</b>	<b>1,778,563</b>	<b>1,599,699</b>	<b>998,052</b>	<b>712,699</b>	<b>712,699</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	38	0	0	0	0
State University of New York	0	7,941	7,941	7,941	7,941
<b>Functional Total</b>	<b>38</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>
<b>EDUCATION</b>					
Arts, Council on the	359	600	600	600	600
Education, Department of	3,658,643	3,571,776	3,625,426	3,689,557	3,745,065
<i>School Aid</i>	2,199,123	2,678,000	2,723,400	2,769,850	2,817,358
<i>Special Education Categorical Programs</i>	862,379	821,450	829,700	838,000	846,000
<i>All Other</i>	597,141	72,326	72,326	81,707	81,707
<b>Functional Total</b>	<b>3,659,002</b>	<b>3,572,376</b>	<b>3,626,026</b>	<b>3,690,157</b>	<b>3,745,665</b>
<b>GENERAL GOVERNMENT</b>					



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Elections, State Board of	493	0	0	0	0
General Services, Office of	0	250	250	250	250
State, Department of	54,665	55,457	55,457	55,457	55,457
<b>Functional Total</b>	<u>55,158</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(466,435)	(470,960)	(465,410)	(476,665)	(447,938)
<b>Functional Total</b>	<u>(466,435)</u>	<u>(470,960)</u>	<u>(465,410)</u>	<u>(476,665)</u>	<u>(447,938)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>45,162,746</u></u>	<u><u>45,804,678</u></u>	<u><u>46,768,735</u></u>	<u><u>47,559,773</u></u>	<u><u>48,594,867</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	4,193	2,973	2,973	2,973	2,973
Public Service Department	1,934	1,202	1,202	1,202	1,202
<b>Functional Total</b>	<b>6,127</b>	<b>4,175</b>	<b>4,175</b>	<b>4,175</b>	<b>4,175</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	25,874	27,759	27,759	27,759	27,759
Parks, Recreation and Historic Preservation, Office of	1,759	1,123	1,123	1,123	1,123
<b>Functional Total</b>	<b>27,633</b>	<b>28,882</b>	<b>28,882</b>	<b>28,882</b>	<b>28,882</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	1,203	3,735	3,735	3,735	3,735
Transportation, Department of	3,802	5,387	5,387	5,387	5,387
<b>Functional Total</b>	<b>5,005</b>	<b>9,122</b>	<b>9,122</b>	<b>9,122</b>	<b>9,122</b>
<b>HEALTH</b>					
Aging, Office for the	5,915	6,160	6,160	6,160	6,160
Health, Department of	84,597	122,181	121,652	127,000	128,728
<i>Medicaid Administration</i>	29,772	65,725	65,204	70,607	72,347
<i>Public Health</i>	54,825	56,456	56,448	56,393	56,381
Medicaid Inspector General, Office of the	16,501	15,975	15,975	15,975	15,975
<b>Functional Total</b>	<b>107,013</b>	<b>144,316</b>	<b>143,787</b>	<b>149,135</b>	<b>150,863</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	23,309	28,386	28,957	29,247	29,247
<i>OCFS</i>	23,309	28,386	28,957	29,247	29,247
Housing and Community Renewal, Division of	6,782	7,437	7,520	7,595	7,595
Human Rights, Division of	2,501	3,075	3,106	3,135	3,135
Labor, Department of	170,297	170,763	170,867	170,867	170,867
National and Community Service	245	362	373	377	377
Temporary and Disability Assistance, Office of	72,753	76,130	76,939	77,755	77,755
<i>All Other</i>	72,753	76,130	76,939	77,755	77,755
<b>Functional Total</b>	<b>275,887</b>	<b>286,153</b>	<b>287,762</b>	<b>288,976</b>	<b>288,976</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	5,107	5,107	5,109	5,153	5,196
<i>OASAS</i>	5,107	5,107	5,109	5,153	5,196
Developmental Disabilities Planning Council	838	1,253	1,266	1,266	1,266
Justice Center	67	102	103	103	103
Mental Health, Office of	913	584	584	584	584
<i>OMH</i>	913	584	584	584	584
<b>Functional Total</b>	<b>6,925</b>	<b>7,046</b>	<b>7,062</b>	<b>7,106</b>	<b>7,149</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	23,474	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,059	5,602	5,602	5,602	5,602
Homeland Security and Emergency Services, Division of	14,004	6,490	6,490	6,490	6,490
Military and Naval Affairs, Division of	18,388	21,993	21,993	21,993	21,993
State Police, Division of	10,425	7,000	7,000	7,000	7,000
Victim Services, Office of	920	1,658	1,658	1,658	1,658
<b>Functional Total</b>	<b>72,270</b>	<b>58,496</b>	<b>58,496</b>	<b>58,496</b>	<b>58,496</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	3	836	836	836	836
State University of New York	9,026	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<b>9,029</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>					
Education, Department of	85,455	84,486	84,486	84,486	84,486
<i>School Aid</i>	82	0	0	0	0
<i>Special Education Categorical Programs</i>	9,167	0	0	0	0
<i>All Other</i>	76,206	84,486	84,486	84,486	84,486
<b>Functional Total</b>	<u>85,455</u>	<u>84,486</u>	<u>84,486</u>	<u>84,486</u>	<u>84,486</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	91	0	0	0	0
Prevention of Domestic Violence, Office for	17	0	0	0	0
State, Department of	2,174	3,731	3,731	3,731	3,731
Technology, Office for	437	0	0	0	0
Veterans' Affairs, Division of	386	796	796	804	804
<b>Functional Total</b>	<u>3,105</u>	<u>4,527</u>	<u>4,527</u>	<u>4,535</u>	<u>4,535</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	1,444	0	0	0	0
Law, Department of	17,509	19,975	19,977	19,981	20,329
<b>Functional Total</b>	<u>18,953</u>	<u>19,975</u>	<u>19,977</u>	<u>19,981</u>	<u>20,329</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>617,402</u></u>	<u><u>655,243</u></u>	<u><u>656,341</u></u>	<u><u>662,959</u></u>	<u><u>665,078</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	9,289	9,440	9,625	9,625	9,625
Economic Development, Department of	592	245	245	245	245
Financial Services, Department of	1,409	0	0	0	0
Public Service Department	131	40	40	40	40
<b>Functional Total</b>	<b>11,421</b>	<b>9,725</b>	<b>9,910</b>	<b>9,910</b>	<b>9,910</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	53	350	350	350	350
Environmental Conservation, Department of	16,689	17,126	17,126	17,126	17,126
Parks, Recreation and Historic Preservation, Office of	2,237	1,145	1,145	1,145	1,145
<b>Functional Total</b>	<b>18,979</b>	<b>18,621</b>	<b>18,621</b>	<b>18,621</b>	<b>18,621</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	2,322	3,813	3,813	3,813	3,813
Transportation, Department of	1,297	2,802	2,811	2,811	2,811
<b>Functional Total</b>	<b>3,619</b>	<b>6,615</b>	<b>6,624</b>	<b>6,624</b>	<b>6,624</b>
<b>HEALTH</b>					
Aging, Office for the	4,495	4,248	4,248	1,092	1,092
Health, Department of	548,170	535,746	525,719	510,571	529,961
<i>Medicaid Administration</i>	356,893	348,982	340,069	324,912	344,294
<i>Public Health</i>	191,277	186,764	185,650	185,659	185,667
Medicaid Inspector General, Office of the	5,925	6,029	6,029	6,029	6,029
<b>Functional Total</b>	<b>558,590</b>	<b>546,023</b>	<b>535,996</b>	<b>517,692</b>	<b>537,082</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	58,860	62,924	66,230	67,533	67,533
<i>OCFS</i>	58,860	62,924	66,230	67,533	67,533
Housing and Community Renewal, Division of	1,123	2,598	2,660	2,709	2,709
Human Rights, Division of	1,875	1,230	1,262	1,287	1,287
Labor, Department of	54,508	78,244	80,658	80,658	80,658
National and Community Service	14,397	13,860	14,969	15,268	15,268
Temporary and Disability Assistance, Office of	69,994	82,863	84,643	86,398	86,398
<i>All Other</i>	69,994	82,863	84,643	86,398	86,398
<b>Functional Total</b>	<b>200,757</b>	<b>241,719</b>	<b>250,422</b>	<b>253,853</b>	<b>253,853</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	1,887	2,098	2,098	2,130	2,166
<i>OASAS</i>	1,887	2,098	2,098	2,130	2,166
Developmental Disabilities Planning Council	2,094	2,246	2,190	2,149	2,149
Justice Center	625	522	536	536	536
Mental Health, Office of	401	154	154	154	154
<i>OMH</i>	401	154	154	154	154
People with Developmental Disabilities, Office for	279	1,000	1,000	1,000	1,000
<i>OPWDD</i>	279	1,000	1,000	1,000	1,000
<b>Functional Total</b>	<b>5,286</b>	<b>6,020</b>	<b>5,978</b>	<b>5,969</b>	<b>6,005</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	1,023	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	2,618	6,749	6,749	6,749	6,749
Homeland Security and Emergency Services, Division of	74,365	12,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	13,454	13,290	13,290	13,290	13,290
State Police, Division of	6,771	31,000	20,000	20,000	20,000
Victim Services, Office of	174	512	512	512	512
<b>Functional Total</b>	<b>98,405</b>	<b>65,554</b>	<b>48,554</b>	<b>48,554</b>	<b>48,554</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	7,634	0	0	0	0
Higher Education Services Corporation, New York State	6,486	5,797	5,797	5,797	5,797
State University of New York	309,521	227,631	227,631	227,631	227,631
<b>Functional Total</b>	<u>323,641</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>
<b>EDUCATION</b>					
Arts, Council on the	0	100	100	100	100
Education, Department of	117,339	62,562	62,562	62,562	62,562
<i>School Aid</i>	214	0	0	0	0
<i>Special Education Categorical Programs</i>	8,460	0	0	0	0
<i>All Other</i>	108,665	62,562	62,562	62,562	62,562
<b>Functional Total</b>	<u>117,339</u>	<u>62,662</u>	<u>62,662</u>	<u>62,662</u>	<u>62,662</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	3,945	6,500	6,500	0	0
General Services, Office of	6,908	4,987	4,987	4,987	4,987
State, Department of	979	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	189	1,220	1,220	1,220	1,220
Technology, Office for	435	0	0	0	0
Veterans' Affairs, Division of	100	592	592	604	604
Workers' Compensation Board	8,643	3,624	3,624	3,624	3,624
<b>Functional Total</b>	<u>21,199</u>	<u>20,962</u>	<u>20,962</u>	<u>14,474</u>	<u>14,474</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	4,221	7,500	7,500	7,500	7,500
Law, Department of	8,847	7,535	7,681	7,681	7,832
<b>Functional Total</b>	<u>13,068</u>	<u>15,035</u>	<u>15,181</u>	<u>15,181</u>	<u>15,332</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	18	0	0	0	0
<b>Functional Total</b>	<u>18</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>1,372,322</u></u>	<u><u>1,226,364</u></u>	<u><u>1,208,338</u></u>	<u><u>1,186,968</u></u>	<u><u>1,206,545</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,056	1,622	1,679	1,729	1,796
Financial Services, Department of	15	0	0	0	0
Public Service Department	547	700	720	720	720
<b>Functional Total</b>	<b>2,618</b>	<b>2,322</b>	<b>2,399</b>	<b>2,449</b>	<b>2,516</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	12,322	11,035	11,053	11,073	11,073
Parks, Recreation and Historic Preservation, Office of	0	630	630	630	630
<b>Functional Total</b>	<b>12,322</b>	<b>11,665</b>	<b>11,683</b>	<b>11,703</b>	<b>11,703</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	599	2,176	2,237	2,237	2,237
Transportation, Department of	2,107	2,917	3,172	3,340	3,340
<b>Functional Total</b>	<b>2,706</b>	<b>5,093</b>	<b>5,409</b>	<b>5,577</b>	<b>5,577</b>
<b>HEALTH</b>					
Health, Department of	33,917	48,854	51,215	53,882	53,895
<i>Medicaid Administration</i>	3,274	0	0	0	0
<i>Public Health</i>	30,643	48,854	51,215	53,882	53,895
Medicaid Inspector General, Office of the	8,954	9,340	9,340	9,340	9,340
<b>Functional Total</b>	<b>42,871</b>	<b>58,194</b>	<b>60,555</b>	<b>63,222</b>	<b>63,235</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	13,809	12,706	12,895	12,895	12,895
<i>OCFS</i>	13,809	12,706	12,895	12,895	12,895
Housing and Community Renewal, Division of	3,514	3,940	3,988	4,030	4,030
Labor, Department of	92,493	96,315	97,643	97,643	97,643
Temporary and Disability Assistance, Office of	39,031	44,973	44,973	44,973	44,973
<i>All Other</i>	39,031	44,973	44,973	44,973	44,973
<b>Functional Total</b>	<b>148,847</b>	<b>157,934</b>	<b>159,499</b>	<b>159,541</b>	<b>159,541</b>
<b>MENTAL HYGIENE</b>					
Developmental Disabilities Planning Council	454	701	744	785	785
Justice Center	35	57	63	64	64
Mental Health, Office of	489	319	330	340	353
<i>OMH</i>	489	319	330	340	353
<b>Functional Total</b>	<b>978</b>	<b>1,077</b>	<b>1,137</b>	<b>1,189</b>	<b>1,202</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	904	1,327	1,327	1,327	1,327
Criminal Justice Services, Division of	259	3,360	3,360	3,360	3,360
Homeland Security and Emergency Services, Division of	9,515	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	5,728	8,321	8,737	9,208	9,208
State Police, Division of	1,418	1,500	1,500	1,500	1,500
Victim Services, Office of	0	372	372	372	372
<b>Functional Total</b>	<b>17,824</b>	<b>17,796</b>	<b>18,212</b>	<b>18,683</b>	<b>18,683</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	1	419	419	419	419
State University of New York	108	51	51	51	51
<b>Functional Total</b>	<b>109</b>	<b>470</b>	<b>470</b>	<b>470</b>	<b>470</b>
<b>EDUCATION</b>					
Education, Department of	44,504	49,907	49,907	49,907	49,907
<i>School Aid</i>	12	0	0	0	0
<i>Special Education Categorical Programs</i>	4,511	0	0	0	0
<i>All Other</i>	39,981	49,907	49,907	49,907	49,907
<b>Functional Total</b>	<b>44,504</b>	<b>49,907</b>	<b>49,907</b>	<b>49,907</b>	<b>49,907</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>GENERAL GOVERNMENT</b>					
State, Department of	1,063	2,792	2,792	2,792	2,792
Technology, Office for	234	0	0	0	0
Veterans' Affairs, Division of	204	350	350	350	350
<b>Functional Total</b>	<u>1,501</u>	<u>3,142</u>	<u>3,142</u>	<u>3,142</u>	<u>3,142</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	266	0	0	0	0
Law, Department of	12,178	11,529	11,846	12,180	12,680
<b>Functional Total</b>	<u>12,444</u>	<u>11,529</u>	<u>11,846</u>	<u>12,180</u>	<u>12,680</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>286,724</u></u>	<u><u>319,129</u></u>	<u><u>324,259</u></u>	<u><u>328,063</u></u>	<u><u>328,656</u></u>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

SFS Fund	Account Name	FY 2016 Results	FY 2017 Enacted	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>RBTF - Dedicated PIT in excess of Debt Service</b>		<b>10,159,011</b>	<b>10,899,034</b>	<b>11,032,540</b>	<b>10,591,748</b>	<b>10,650,907</b>
<b>STBF - Sales Tax Bond Fund</b>		<b>2,758,744</b>	<b>2,646,819</b>	<b>2,719,392</b>	<b>2,801,603</b>	<b>3,010,506</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>		<b>2,728,418</b>	<b>2,868,009</b>	<b>3,116,991</b>	<b>3,157,756</b>	<b>3,296,162</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>		<b>972,168</b>	<b>951,026</b>	<b>1,020,524</b>	<b>1,076,234</b>	<b>1,128,097</b>
<b>Total All Other Transfers</b>		<b>1,252,487</b>	<b>1,045,807</b>	<b>750,702</b>	<b>749,628</b>	<b>734,301</b>
339.22094	Accident Prevention Course	606	-	-	-	-
339.21982	Administration Program Account	-	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	834	1,096	-	-	-
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office	45	45	45	45	45
339.22003	Bell Jar Collection Account	1,008	1	1	1	1
339.21977	Business and Licensing Services Account	92,647	49,773	48,972	48,737	48,410
339.22028	Central Registry	1,822	1,822	1,822	1,822	1,822
339.21920	Certificate of Need Account	18,539	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	292,888	10,000	-	-	-
061.20810	Child Health In	2	-	-	-	-
334.55055	Civil Service Administration	314	1,651	1,651	1,651	1,651
339.21962	Clinical Laboratories Fee Account	430	289	289	289	289
S01.23702	Commercial Gaming Regulation	2	2	2	2	2
339.21997	Conf Fee Acct	6	-	-	-	-
339.21966	Consumer Food Industry Account	495	-	-	-	-
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries	357	357	357	357	357
339.21945	Criminal Justice Improvement	22,612	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	331	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund - DMV	-	25,247	25,247	25,247	25,247
072.30050	Dedicated Highway and Bridge Trust Fund - DOT	46,577	25,721	25,721	25,721	25,721
323.55010	Design and Construction Account	2,866	1,866	1,866	1,866	1,866
339.22087	DMV-Compulsory Insurance Fund	11,831	-	-	-	-
486.26	DOL Fed Grants	691	-	-	-	-
339.21923	DOL Fee Penalty	9,300	8,372	8,372	8,372	8,372
366.23102	Drinking Water Program Account	-	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	89	131	131	131	131
396.55301	Employee Benefit Division Administration	582	639	639	639	639
334.55056	Employee Health Services Occupational Health Pro	8	8	8	8	8
339.21959	Environmental Laboratory Fee Account	102	131	131	131	131
078.304CC	Environmental Protection Fund	25,000	-	-	-	-
301.21081	Environmental Regulatory Account	1,692	1,692	1,692	1,692	1,692
307.21351	Equipment Loan Fund	-	7	7	7	7
339.22065	Examination and Miscellaneous Revenue	2,379	1,961	1,961	1,961	1,961
323.550ZX	Executive Direction Program Fund	971	105	105	105	105
267.25200	Federal Education - DOH	228	669	669	669	669
267.25200	Federal Education - OCFS	-	900	900	900	900
265.25100	Federal HHS - AG&MKTS	-	50	50	50	50
265.25100	Federal HHS - AGING	772	883	883	883	883
265.25100	Federal HHS - DOH	3,248	9,131	9,131	9,131	9,131
265.25100	Federal HHS - OCFS	13,303	8,390	8,390	8,390	8,390
265.25100	Federal HHS - OMIG	-	2,036	2,036	2,036	2,036
265.25100	Federal HHS - OTDA	112,730	96,933	96,933	96,933	82,933
301.21065	Federal Indirect Recovery Account	284	134	134	134	134
290.25300	Federal Operating Grant - DHCR	-	401	401	401	401
290.25300	Federal Operating Grant - DOH	-	102	102	102	102
290.25300	Federal Operating Grant - DPS	-	14	14	14	14
290.25300	Federal Operating Grant - HSES	1,723	-	-	-	-
290.25300	Federal Operating Grant - STATE	-	59	59	59	59
261.25000	Federal USDA/FNS - AG&MKTS	223	450	450	450	450
261.25000	Federal USDA/FNS - DOH	1,937	3,251	3,251	3,251	3,251
261.25000	Federal USDA/FNS - OTDA	303	30,100	30,100	30,100	30,100
339.21950	Fingerprint Identification and Technology Account	7,015	12,563	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	-	29,620	14,810	14,810	14,810
339.21996	Fire Protection	228	-	-	-	-
339.22075	Funeral Directing Account	8	8	8	8	8
312.31500	Hazardous Waste	23,785	28,849	28,849	28,849	28,849
396.55300	Health Insurance Internal Service	2,801	3,428	3,428	3,428	3,428
339.22140	Helen Hayes Hospital Account	-	299	299	299	299
339.21960	HESC Insurance Premium Payments Account	12,955	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	-	201	201	201	201
301.21060	Indirect Charges Account	713	863	863	863	863
339.21914	Insurance Dept	693	-	-	-	-



**General Fund Transfers From Other Funds**  
(thousands of dollars)

<b>SFS Fund</b>	<b>Account Name</b>	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
334.55071	Labor Cont Ctr	40	-	-	-	-
339.22096	Legal Services Assistance	2,830	2,830	2,830	2,830	2,830
052.20501	Local Government Records Management Improvem	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration	4,066	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
339.21909	M H Patient Inc	-	31,398	31,773	32,095	32,544
169.60615	Medicaid Recoveries Account	-	3,700	3,700	3,700	3,700
339.21907	Mental Hygiene	79,612	1,772	1,794	1,812	1,837
S02.23755	MMF - Health Op	768	-	-	-	-
314.21452	Mobile Source	5,960	4,746	4,746	4,746	4,746
225.23651	Mobility Tax Trust Fund	2,273	5,400	5,400	5,400	5,400
339.22144	Montrose Veteran's Home	-	67	67	67	67
354.22802	Motor Vehicle Enforcement	100,800	100,800	100,800	100,800	100,800
354.22801	Motor Vehicle Theft and Insurance Fraud Account	300	300	300	300	300
339.21976	Motorcycle Safety	6	-	-	-	-
225.23652	MTA Aid Trust	329	225	225	225	225
339.22141	New York City Veterans' Home Account	-	107	107	107	107
S01.23701	New York State Commercial Gaming	-	137,000	-	-	-
339.22142	New York State Home for Veterans Account	-	119	119	119	119
339.22156	NYC Rent Revenue	-	115	115	115	115
339.2207	OER NASDER	121	-	-	-	-
305.21251	OSH Trng & Educ	927	-	-	-	-
305.21252	OSHA Inspection	744	-	-	-	-
331.OGSPS	Parking Servs	-	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance Account	-	102	102	102	102
061.20814	Primary Care Initiatives Account	-	158	158	158	158
339.22051	Professional Education Services	2,777	2,777	2,777	2,777	2,777
339.22088	Professional Medical Conduct Account	4,912	291	291	291	291
050.20452	Proprietary Vocational School Supervision Fund	297	297	297	-	-
061.20815	Provider Collection Monitoring Account	-	674	674	674	674
339.22123	Public Safety Communications Fund	49,799	5,161	5,161	5,161	5,161
339.22011	Public Service Account	3,564	5,767	5,671	5,671	5,671
339.21998	Public Work Enf	226	-	-	-	-
339.21915	Quality of Care Improvement Account	30,000	94,624	-	-	-
339.21965	Radiological Health Protection Program Account	216	216	216	216	216
339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
339.22046	Regulation of Indian Gaming Account	329	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	458	458	458	458	458
339.21900	Reserve for Transaction Risks	-	(143,436)	(143,583)	(144,465)	(145,939)
339.22024	Revenue Arrearage	-	41,765	18,677	18,677	18,677
339.219YN	Standards and Purchase Account - Special Revenue	3,000	3,000	3,000	3,000	3,000
339.21902	Statewide Planning and Research	4,586	4,214	4,214	4,214	4,214
345.22656	SUNY Hospital Operations	30,216	38,564	38,564	38,564	38,564
345.22653	SUNY Income Fund Revenues	26,000	31,000	32,000	32,000	32,000
339.22162	Systems and Technology	5,442	5,328	5,320	5,320	5,320
339.22055	Traffic Adjudication Account	1,917	2,288	2,288	2,288	2,288
331.50313	TRAID Services	12	-	-	-	-
339.21961	Training Management and Evaluation Account	-	8	8	8	8
339.21933	Transportation Surplus Property	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal - State Compact	115,699	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administration Account	10	10	10	10	10
050.20451	Tuition Reimbursement Fund	23	23	23	23	23
482.23601	UI Special Interest and Penalty Account	3,482	3,211	3,211	3,211	3,211
339.22172	Underground Facilities Safety Training	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	20,808	52,297	50,569	50,569	50,569
339.22103	Vital Records Management Account	3,617	2,405	2,405	2,405	2,405
160.20903	VLTA Administration Account	666	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
339.21995	Workers Compensation Board	13,593	16,352	16,352	16,352	16,352
339.22186	Youth Facility Per Diem Fund	8,215	68,104	55,000	55,000	55,000
		<b>17,870,828</b>	<b>18,410,695</b>	<b>18,640,149</b>	<b>18,376,969</b>	<b>18,819,973</b>

**General Fund Transfers To Other Funds**  
(thousands of dollars)

<b>SFS Fund</b>	<b>Account Name</b>	<b>FY 2016 Results</b>	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Transfers to State Share of Mental Hygiene Medicaid</b>		<b>2,035,545</b>	<b>1,433,133</b>	<b>1,300,834</b>	<b>1,237,718</b>	<b>1,126,670</b>
<b>Transfers to Debt Service Funds</b>		<b>1,195,675</b>	<b>705,672</b>	<b>1,259,791</b>	<b>1,182,045</b>	<b>1,076,478</b>
<b>Transfers to Capital Projects Funds</b>		<b>2,720,918</b>	<b>4,460,814</b>	<b>3,018,898</b>	<b>3,398,731</b>	<b>3,311,348</b>
<b>Transfers to SUNY University Operations</b>		<b>998,069</b>	<b>996,256</b>	<b>1,000,580</b>	<b>996,778</b>	<b>996,778</b>
<b>Total All Other Transfers</b>		<b>4,424,581</b>	<b>4,564,511</b>	<b>4,794,516</b>	<b>5,189,106</b>	<b>5,644,888</b>
339.22033	Alcohol Beverage Control	14,889	-	-	-	-
020.20143	Alzheimer's Disease Research and Assistance	266	270	270	270	270
334.55057	Banking Service	52,179	51,565	53,435	53,435	53,435
339.22032	Batavia School for the Blind Fund	900	900	900	900	900
020.20155	Breast Cancer Research and Education	395	500	500	500	500
334.CEONW	Center for Employment Opportunities Neighborhood Works Project	-	1,000	1,000	1,000	1,000
334.55069	Centralized Technology Services	8,360	2,360	2,360	2,360	2,360
054.20601	Charter Schools Stimulus Fund	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust	-	109,300	109,300	109,300	109,300
397.55350	Correctional Industries	10,500	10,500	10,500	10,500	10,500
340.22501	Court Facilities Incentive Aid Fund	106,848	107,000	107,000	107,000	107,000
073.20853	Dedicated Mass Trust Fund	5,013	5,013	5,274	5,274	5,274
319.40300	DOH Income Fund	15,591	16,079	16,079	16,079	16,079
339.21943	Energy Research	4,292	-	-	-	-
323.550ZX	Executive Direction Program Fund	21,794	21,789	21,783	21,783	21,783
290.25300	Federal Operating Grant - HSES	39,459	-	-	-	-
339.22015	Financial Crimes Revenue Fund	14,300	6,300	-	-	-
396.55300	Health Insurance Internal Service	5,383	8,083	8,083	8,083	8,083
316.40250	Housing Debt	2,678	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	30,135	35,000	35,000	35,000	35,000
339.22157	Medicaid Income	212	-	-	-	-
S02.23755	Medical Marijuana Fund	6,740	4,886	4,886	4,886	4,886
339.21909	Mental Hygiene Patient Income Fund	1,712,203	1,873,199	1,794,793	1,912,760	2,106,994
339.21907	Mental Hygiene Program Fund	1,482,797	1,447,884	1,774,232	2,063,954	2,325,099
313.21402	Metropolitan Mass Transportation	21,030	36,500	37,675	37,675	37,675
225.23651	Mobility Tax Trust Fund	332,044	333,503	333,953	334,394	334,797
368.23151	NYC County Clerk Operations Offset Fund	4,603	6,000	6,000	6,000	6,000
020.20183	Prostate Cancer Research, Detection and Education	177	200	200	200	200
313.21401	Public Transportation Systems	14,878	15,047	16,009	16,009	16,009
073.20852	Railroad Account	8,773	8,772	9,216	9,216	9,216
339.22171	Recruitment Incentive and Retention	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Fund	1,025	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22656	SUNY Hospital Operations	87,864	87,864	69,264	69,264	69,264
345.22653	SUNY Income Fund Revenues	-	14,251	13,540	-	-
345.22654	SUNY Income Offset Loan Repayment	-	8,318	8,318	8,318	8,318
345.22656	SUNY Medicaid Reimbursement	354,953	282,486	282,486	282,486	282,486
339.22168	Tax Revenue Arrearage	-	3,000	3,000	3,000	3,000
073.20851	Transit Authority	48,876	48,876	51,394	51,394	51,394
020.20128	WB Hoyt Memorial	-	622	622	622	622
		<b>11,374,788</b>	<b>12,160,386</b>	<b>11,374,619</b>	<b>12,004,378</b>	<b>12,156,162</b>

**FY 2016 SPECIAL REVENUE FUND BALANCE SWEEPS**  
**PURSUANT TO \$500 MILLION AUTHORIZATION**  
(in dollars)

<u>Sending Fund</u>	<u>Receiving Fund</u>	<u>Transfer Amount</u>
345.22653 SUNY Income Fund Revenues	003 General Fund	4,000,000.00
339.22051 Professional Education Services	003 General Fund	2,777,000.00
339.22103 Vital Records Management Account	003 General Fund	2,252,000.00
339.21933 Transportation Surplus Property	003 General Fund	1,803,000.00
339.21920 Certificate of Need Account	003 General Fund	1,086,000.00
339.22162 Systems & Technology	003 General Fund	955,000.00
339.21902 Statewide Planning and Research	003 General Fund	885,000.00
052.20501 Local Government Records Management Improvement	003 General Fund	782,000.00
301.21060 Indirect Charges Account	003 General Fund	373,000.00
050.20452 Proprietary Vocational School Supervision Fund	003 General Fund	297,000.00
339.21965 Radiological Health Protection Program Account	003 General Fund	216,000.00
339.22042 DED Marketing Account	003 General Fund	131,000.00
339.22138 Authority Budget Office	003 General Fund	39,000.00
365.23051 Vocational Rehabilitation Fund	003 General Fund	32,000.00
050.20451 Tuition Reimbursement Fund	003 General Fund	23,000.00
339.22091 Adult Home Quality Enhancement Account	003 General Fund	21,000.00
339.22021 Regulation of Manufactured Housing Account	003 General Fund	20,000.00
339.22044 Tug Hill Administration Account	003 General Fund	10,000.00
339.22110 Assisted Living Residence Quality Oversight Account	003 General Fund	9,000.00
339.22075 Funeral Directing Account	003 General Fund	8,000.00
339.22097 Local Public Health Services Account	003 General Fund	5,000.00
339.21922 Continuing Care Retirement Community Account	003 General Fund	2,000.00
339.21993 Radon Detection Device Account	003 General Fund	2,000.00
	<b>Total Transfers</b>	<b>15,728,000</b>

**CASH COMBINING STATEMENT  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	Tax		Community		Rainy Day Reserve Fund	Refund Reserve	Debt Management	General Reserve Fund	Eliminations	Total
	General Fund	Stabilization Reserve Fund	Contingency Reserve Fund	Projects Fund						
<b>Opening Fund Balance</b>	0	1,258	21	63	540	7,052	0	0	0	8,934
<b>Receipts:</b>										
Taxes	47,752	0	0	0	0	0	0	0	0	47,752
Miscellaneous Receipts	2,813	0	0	0	0	0	0	0	0	2,813
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<b>50,565</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,565</b>
<b>Disbursements:</b>										
Grants to Local Governments	45,947	0	0	10	0	0	0	0	0	45,957
State Operations	8,299	0	0	0	0	0	0	0	0	8,299
General State charges	5,425	0	0	0	0	0	0	0	0	5,425
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>59,671</b>	<b>0</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>59,681</b>
<b>Other financing sources (uses):</b>										
Transfers from Other Funds	48,785	0	0	0	0	30	500	6,318	(37,222)	18,411
Transfers to Other Funds	(39,679)	0	0	0	0	(6,932)	0	(2,771)	37,222	(12,160)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>9,106</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(6,902)</b>	<b>500</b>	<b>3,547</b>	<b>0</b>	<b>6,251</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(10)</b>	<b>0</b>	<b>(6,902)</b>	<b>500</b>	<b>3,547</b>	<b>0</b>	<b>(2,865)</b>
<b>Closing Fund Balance</b>	<b>0</b>	<b>1,258</b>	<b>21</b>	<b>53</b>	<b>540</b>	<b>150</b>	<b>500</b>	<b>3,547</b>	<b>0</b>	<b>6,069</b>

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2017  
(thousands of dollars)**

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20098)	COMBINED EXPENDABLE DONATIONS (20100-20298)	NEW YORK INTEREST ON LAWYER FEES AND COSTS (20300-20348)	NEW YORK STATE ARCHIVES PARTNERSHIP (20350-20398)	CHILD PERFORMERS (20400-20448)	TUITION REIMBURSEMENT (20450-20498)	LOCAL GOVERNMENT RECORDS MANAGEMENT (20500-20548)	SCHOOL TAX (20550-20598)	CHARTER SCHOOL SERVICES (20600-20648)	HEALTH CARE REFORM ACT (20650-20698)
<b>Opening Fund Balance</b>	2,210	65,281	40,562	176	83	5,373	2,952	1,000	5,963	77,568
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	3,228,844	0	878,000
Miscellaneous Receipts	142	(89,101)	12,000	318	65	4,205	9,233	0	0	4,660,299
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	142	(89,101)	12,000	318	65	4,205	9,233	3,228,844	0	5,538,299
<b>Disbursements:</b>										
Grants to Local Governments	0	9,906	9,500	0	0	0	5,056	3,227,844	4,837	5,424,296
State Operations	144	4,820	1,254	420	247	2,456	2,139	0	0	35,428
General State Charges	0	952	466	190	131	1,218	1,011	0	0	5,735
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,500	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	144	18,178	11,220	610	378	3,674	8,206	3,227,844	4,837	5,465,459
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	110,892	0	300	300	0	0	0	4,837	0
Transfers to Other Funds	0	0	0	(8)	0	(562)	(1,383)	0	0	(150,403)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	110,892	0	292	300	(562)	(1,383)	0	4,837	(150,403)
<b>Change in Fund Balance</b>	(2)	3,613	780	(13)	(13)	(31)	(356)	1,000	0	(77,563)
<b>Closing Fund Balance</b>	2,208	68,894	41,342	176	70	5,342	2,596	2,000	5,963	5

	DEDICATED TRANSPORTATION TRUST (20850-20899)	STATE LOTTERY (20900-20949)	COMBINED STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (21650-21699)	FEDERAL USD/NUTRITION AND SERVICES (25000-25099)	FEDERAL HEAD START/HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25899)	FEDERAL TREATMENT MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)
<b>Opening Fund Balance</b>	70,436	190,704	10,594	114,556	15,126	313,457	(6,105)	(3,29,590)	(2,283)	(16,446)
<b>Receipts:</b>										
Taxes	459,748	0	0	1,471,000	0	0	0	0	0	0
Miscellaneous Receipts	141,206	3,353,381	27,010	178,190	100,000	53,232	0	4,373	900	81,926
Federal Grants	0	0	650	0	2,024,212	41,810,267	3,143,986	1,936,921	0	0
<b>Total Receipts</b>	600,954	3,353,381	27,660	1,649,190	2,124,212	41,863,499	3,143,986	1,941,294	900	81,926
<b>Disbursements:</b>										
Grants to Local Governments	661,185	3,321,000	0	1,949,617	2,020,103	39,326,657	2,644,281	1,655,520	0	0
State Operations	0	127,092	27,247	0	57,861	894,018	433,363	247,520	145	68,906
General State Charges	0	10,894	0	0	12,234	113,691	53,510	43,379	79	23,505
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	661,185	3,458,986	27,247	1,949,617	2,090,198	40,334,366	3,131,154	1,946,419	224	92,411
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	62,661	0	0	333,503	0	0	0	0	0	20,410
Transfers to Other Funds	0	(4,870)	0	(5,625)	(34,092)	(1,604,466)	(12,827)	(15,058)	0	(9,125)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	62,661	(4,870)	0	327,878	(34,092)	(1,604,466)	(12,827)	(15,058)	0	11,285
<b>Change in Fund Balance</b>	2,430	(10,475)	413	27,451	(78)	(75,333)	5	(20,183)	676	800
<b>Closing Fund Balance</b>	72,866	80,229	11,007	142,007	15,048	238,124	(6,100)	(349,773)	(1,607)	(15,646)

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2017  
(thousands of dollars)**

	CONSERVATION (2150-2199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (2120-2149)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (2125-2199)	LAWYERS' FUND FOR CLIENT PROTECTION (2130-2149)	EQUIPMENT LOAN FUND FOR THE DISABLED (2135-2199)	TRANSPORTATION OPERATING ASSISTANCE (2140-2149)	MASS OPERATING (2140-2149)	CLEAN AIR (2145-2199)	NEW YORK STATE INFRASTRUCTURE TRUST (2150-2149)	LEGISLATIVE COMPUTER SERVICES (2150-2199)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)
<b>Opening Fund Balance</b>	71,266	18,106	2,662	5,128	519	175,839		(18,709)	67	10,899	174,766
<b>Receipts:</b>											
Taxes	0	0	0	0	0	2,173,529		0	0	0	0
Miscellaneous Receipts	46,792	57,821	48,496	9,000	50	21,400		43,200	0	1,719	344,024
Federal Grants	0	0	0	0	0	0		0	0	0	0
<b>Total Receipts</b>	46,792	57,821	48,496	9,000	50	2,194,929		43,200	0	1,719	344,024
<b>Disbursements:</b>											
Grants to Local Governments	0	0	(1,000)	0	0	2,235,686		0	0	0	0
State Operations	39,360	26,073	33,184	10,700	82	4,007		25,790	0	950	0
General State Charges	15,058	7,049	11,362	200	0	1,900		11,148	0	0	0
Debt Service	0	0	0	0	0	0		0	0	0	0
Capital Projects	0	0	0	0	0	0		0	0	0	0
<b>Total Disbursements</b>	54,418	33,122	43,546	10,900	82	2,241,593		36,938	0	950	0
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	75	19,006	0	0	0	51,547		0	0	0	0
Transfers to Other Funds	(1,859)	(35,959)	0	0	(7)	(121,548)		(4,746)	0	0	(359,030)
Bond & Note Proceeds	0	0	0	0	0	0		0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(1,784)	(16,993)	0	0	(7)	(70,001)		(4,746)	0	0	(359,030)
<b>Change in Fund Balance</b>	(9,410)	7,706	4,950	(1,900)	(39)	(16,665)		1,516	0	769	(15,006)
<b>Closing Fund Balance</b>	67,856	25,812	7,612	3,228	480	59,174		(7,193)	67	11,668	159,760

	COMBINED NON- EXPENDABLE RESOURCES (21650-21699)	WINTER SPORTS EDUCATION PROGRAMS (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)	ARTS CAPITAL RESOURCES (21850-21899)	MISCELLANEOUS STATE SPECIAL RESOURCES (21900-21999)	COURT FACILITIES INVESTMENT (22500-22449)	EMPLOYMENT (22550-22599)	STATE UNIVERSITY INFRASTRUCTURE (22650-22699)	CHEMICAL DEPENDENCE PROGRAMS (22700-22749)	LAKE GEORGE DORMITORY INCOME (22750-22799)
<b>Opening Fund Balance</b>	460	0	1	829	965,867	3,457	49	1,063,671	35,239	305
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	115	75	0	60	1,827,708	0	0	4,291,649	12,539	1,208
Federal Grants	0	0	0	0	89	0	0	0	0	0
<b>Total Receipts</b>	115	75	0	60	1,827,797	0	0	4,291,649	12,539	1,208
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	98	1,852,559	104,900	0	0	11,970	0
State Operations	59	75	0	0	3,980,291	1,600	0	5,616,622	546	946
General State Charges	0	0	0	0	1,627,609	700	0	375,751	0	393
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	59	75	0	98	7,460,459	107,200	0	5,992,373	12,516	1,339
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	7,739,737	107,000	0	1,789,145	0	0
Transfers to Other Funds	0	0	0	0	(2,064,589)	(1,302)	0	(115,725)	(11,000)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	(38)	5,675,148	105,698	0	1,673,420	(11,000)	(15)
<b>Change in Fund Balance</b>	56	0	0	791	42,486	(1,502)	0	(27,304)	(10,977)	174
<b>Closing Fund Balance</b>	516	0	1	791	1,008,353	1,955	49	1,036,367	24,262	174

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2017**  
(thousands of dollars)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT THEFT & INSURANCE FRAUD PREVENTION	NEW YORK GREAT LAKES PROTECTION	FEDERAL REVENUE MAXIMIZATION CONTRACT	HOUSING DEVELOPMENT PROGRAM	NYSDOT HIGHWAY SAFETY PROGRAM	VOCATIONAL REHABILITATION PROGRAM	DRINKING WATER PRODUCTION MANAGEMENT AND ADMINISTRATION	NEW YORK CITY COUNTY CLERK'S OPERATIONS OFFSET	JUDICIARY PROCESSING OFFSET	CITY UNIVERSITY TUITION REIMBURSEMENT
	(22800-22849)	(22850-22899)	(22900-22949)	(22950-22999)	(23000-23049)	(23050-23099)	(23100-23149)	(23150-23199)	(23200-23249)	(23250-23449)
<b>Opening Fund Balance</b>	28,039	222	23	10,352	(7,716)	195	(5,444)	(52,736)	2,755	170,942
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	114,602	160	0	900	3,068	100	0	25,600	34,400	92,265
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	114,602	160	0	900	3,068	100	0	25,600	34,400	92,265
<b>Disbursements:</b>										
Grants to Local Governments	4,237	0	0	852	0	20	0	0	0	0
State Operations	9,244	155	0	0	3,449	25	0	23,700	25,200	85,634
General State Charges	88	48	0	0	0	0	0	11,200	8,600	7,892
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	13,569	203	0	852	3,449	45	0	34,900	33,800	93,526
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	6,000	0	0
Transfers to Other Funds	(101,100)	0	0	0	0	(32)	(1,108)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(101,100)	0	0	0	0	(32)	(1,108)	6,000	0	0
<b>Change in Fund Balance</b>	(67)	(43)	0	48	(381)	23	(1,108)	(2,300)	600	(1,261)
<b>Closing Fund Balance</b>	27,972	179	23	10,400	(8,097)	158	(6,522)	(55,036)	3,355	169,681
	57	157,184	69,118	19,462	1,188	(3,253)	136,279	2,708	3,607,383	0
<b>Opening Fund Balance</b>										
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	4,000	8,214,321	0
Miscellaneous Receipts	85	78,000	58,000	9,600	0	0	20,200	0	15,681,215	0
Federal Grants	0	0	323,059	0	7,987	168,559	0	0	49,415,730	0
<b>Total Receipts</b>	85	78,000	381,059	9,600	7,987	168,559	20,200	4,000	73,311,266	0
<b>Disbursements:</b>										
Grants to Local Governments	0	83,000	10,000	0	7,987	140,130	24,802	1,800	64,736,843	0
State Operations	75	27,739	225,913	2,685	0	22,932	2,293	4,886	12,077,275	0
General State Charges	0	956	90,818	932	0	5,497	830	0	2,445,026	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	75	111,695	326,731	3,617	7,987	168,559	27,925	6,686	79,261,644	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	35,000	0	0	0	0	4,000	4,886	10,289,299	(2,436,271)
Transfers to Other Funds	0	0	(52,297)	(3,211)	0	0	(141,002)	0	(4,852,974)	2,436,271
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	35,000	(52,297)	(3,211)	0	0	(137,002)	4,886	5,436,325	(514,853)
<b>Change in Fund Balance</b>	10	1,305	2,031	2,772	2,200	(144,727)	(44,727)	2,200	(514,853)	0
<b>Closing Fund Balance</b>	67	158,489	71,149	22,234	1,188	(3,253)	(8,448)	4,908	3,092,530	0

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2017  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.201000-Went Hvg Gifts	2,207	0	142	0	0	0	142	0	0	144	0	0	0	0	0	0	144	2,205
020.20100-Combined Exp Tr	(35)	0	(109,300)	0	0	109,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,488	0	350	0	0	0	350	0	216	48	7	0	116	0	0	0	387	1,451
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	59	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	59
020.20109-Helen Hayes Hsp	33	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	(2)
020.20110-Oxford Donation	280	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	376
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	59	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	56
020.20113-Donations-Bataw	22	0	19	0	0	0	19	0	0	10	0	0	0	0	0	0	10	31
020.20114-Montrose Donati	165	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	177
020.20116-IBR Genetic Cou	50	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	50
020.20118-Tech Transfer	55	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	80
020.20120-Spec Events	461	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	599
020.20123-L.M. Josephthal	49	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	49
020.20124-OSC Misc: Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,157	0	610	0	0	0	610	0	43	470	0	0	729	0	0	0	1,242	525
020.20127-DMINA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	2,349	0	0	0	0	622	622	750	0	7	0	0	0	0	0	0	750	2,221
020.20129-NYSCB Gift & Beq	197	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	187
020.20130-St. Transm Money	19,398	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	26,468
020.20142-Youth Grants &	272	0	0	0	0	0	0	0	41	370	0	0	17	0	0	0	428	(156)
020.20143-Alzheimers Dis	2,142	0	270	0	0	270	540	1,000	0	0	0	0	0	0	0	0	1,000	1,682
020.20144-Local Gov Comm	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	73	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	63
020.20150-Emergency Serv	12,571	0	2,688	0	0	0	2,688	3,101	127	93	4	0	75	0	0	0	3,400	11,859
020.20151-Batavia-Charlot	331	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	328
020.20152-Rome-Gifts And	75	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	76
020.20155-Br. Can Res & Ed	7,567	0	540	0	0	500	1,040	2,294	0	106	0	0	0	0	0	0	2,400	6,207
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMINA Youth Prog	97	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	97
020.20166-Erie Canal Muse	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,118	0	400	0	0	0	400	0	0	200	0	0	0	0	0	0	200	1,318
020.20176-Misc. Gifts Acc	6,641	0	4,000	0	0	0	4,000	0	250	1,000	0	0	0	0	2,500	0	3,750	6,891
020.20178-Multiple Sclero	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	4,531	0	240	0	0	200	440	1,641	0	0	0	0	0	0	0	0	1,641	3,330
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	346	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	355
020.20199-HESC Gifts Dona	525	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	525
020.20184-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20189-Human Rghts Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20191-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.20192-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.20193-CCF Grts & Beqs	41	0	100	0	0	0	100	0	13	80	1	0	15	0	0	0	109	32
020.20194-OMH Grant & Beq	904	0	20	0	0	0	20	0	0	21	0	0	0	0	0	0	21	903
020.20195-RPMI Schoellkop	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20196-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20197-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.20198-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)



CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2017

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XK-Grants Account	1,261	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,694
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	232	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	532
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen HIn Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	0
020.20201-Veterans Rem-Ce	300	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	375
020.20205-Mental Illness	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
020.20206-Women's Cancer	39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	39
023.20300-N Y Int Lawyers	40,561	0	12,000	0	0	0	12,000	9,500	647	554	53	0	466	0	0	0	11,220	41,341
024.20350-NYS Archvs PHe	175	0	318	0	0	300	618	0	292	119	9	0	190	0	0	8	618	175
025.20401-Child Performer	87	0	65	0	0	300	365	0	232	9	6	0	131	0	0	0	378	74
050.20451-Tuition Reimb	3,980	0	705	0	0	0	705	0	0	0	0	0	225	0	0	23	248	4,437
050.20452-Voc School Supe	1,395	0	3,500	0	0	0	3,500	0	1,713	700	43	0	993	0	0	539	3,988	907
052.20501-Loc Govt Record	2,951	0	9,233	0	0	0	9,233	5,056	1,745	350	44	0	1,011	0	0	1,383	9,589	2,595
053.20550-Sch Tax Relief	0	3,227,844	0	0	0	0	3,227,844	3,227,844	0	0	0	0	0	0	0	0	3,227,844	0
054.20601-Chtr Sch Sti Ac	5,963	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,963
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	424	0	0	0	0	0	0	0	1,580	161	42	0	1,282	0	0	0	3,065	(2,641)
061.20802-Health Care Siv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	3,737,255	0	0	0	0	0	0	0	0	3,737,255	(3,736,361)
061.20804-Medical Assist.	894	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	2,737	0	0	0	0	0	0	383,287	0	9,300	0	0	0	0	0	0	392,587	(389,850)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EWS Training	3,497	0	0	0	0	0	0	9,689	2,357	2,429	63	0	1,462	0	0	131	16,131	(12,634)
061.20810-Child Health In	3,287	0	0	0	0	0	0	219,999	501	2,241	22	0	475	0	0	0	223,238	(219,951)
061.20811-HCRA Undistrib	63,785	878,000	4,612,158	0	0	0	5,490,158	0	0	0	0	0	0	0	0	140,338	140,338	5,413,605
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	30	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(30)
061.20814-Primary Care In	64	0	0	0	0	0	0	0	206	0	5	0	61	0	0	158	430	(366)
061.20815-Prev Coll Monit	95	0	0	0	0	0	0	410	410	181	24	0	356	0	0	674	1,645	(1,550)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	6	0	0	0	0	0	0	942,500	0	0	0	0	0	0	0	9,000	951,500	(951,494)
061.20818-EPIC Premium	1,312	0	48,141	0	0	0	48,141	131,506	1,107	10,342	33	0	596	0	0	0	143,584	(94,131)
061.20819-Health Occup De	294	0	0	0	0	0	0	0	391	700	10	0	250	0	0	0	1,351	(1,057)
061.20820-Watern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	111	0	0	0	0	0	0	0	374	2	8	0	216	0	0	0	600	(489)
061.20822-Cig Task Force	1,031	0	0	0	0	0	0	0	1,789	1,105	45	0	1,037	0	0	0	3,976	(2,945)
073.20851-Transit Authori	50,188	358,643	109,504	0	0	48,876	517,023	517,590	0	0	0	0	0	0	0	0	517,590	49,621
073.20852-Railroad Account	8,902	64,384	19,204	0	0	8,772	92,360	91,486	0	0	0	0	0	0	0	0	91,486	9,776
073.20853-DWTF	11,341	36,721	12,498	0	0	5,013	54,232	52,109	0	0	0	0	0	0	0	0	52,109	13,464
160.20901-Education - New	131,714	0	2,239,000	0	0	0	2,239,000	2,360,000	0	0	0	0	0	0	0	0	2,360,000	10,714
160.20902-Lottery Adm New	44,815	0	152,538	0	0	0	152,538	0	15,850	105,936	488	0	8,994	0	0	4,204	135,472	61,881
160.20903-VLT - Admin	2,941	0	11,843	0	0	0	11,843	0	3,335	1,388	95	0	1,900	0	0	666	7,384	7,400
160.20904-VLT - Education	11,234	0	950,000	0	0	0	950,000	961,000	0	0	0	0	0	0	0	0	961,000	234
221.20950-Comb Student Ln	10,595	0	27,010	650	0	0	27,660	0	0	27,247	0	0	0	0	0	0	27,247	11,008
225.23651-Mobility Tax Tr	89,813	1,353,000	100	0	0	333,503	1,686,603	1,657,117	0	0	0	0	0	0	0	5,400	1,662,517	113,899
225.23652-MTA Aid Trust	22,303	118,000	178,090	0	0	0	296,090	292,500	0	0	0	0	0	0	0	225	292,725	25,668
300.21002-Ericon Admin Acc	(2,285)	0	900	0	0	0	900	0	136	9	0	0	79	0	0	0	224	(1,609)
301.21051-EnCon Energy Ef	360	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	360
301.21052-EnCon-Seized AS	15,208	0	24,000	0	0	0	24,000	0	13,222	4,800	376	0	7,403	0	0	0	25,801	13,407

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2017

(thousands of dollars)

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301.21054-Oil & Gas Accou	101	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	111
301.21055-Marine/Coastal	131	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	144
301.21060-Indirect Charge	4,813	0	0	0	0	11,410	11,410	0	1,880	4,529	68	0	1,110	0	0	863	8,450	7,773
301.21061-Hazardous Sub B	693	0	350	0	0	0	350	0	152	33	8	0	89	0	0	0	282	761
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Fed Indirect R	1,079	0	40	0	0	9,000	9,040	0	8,652	168	0	0	0	0	0	134	8,954	1,165
301.21066-Low Level Radio	(3,895)	0	2,811	0	0	0	2,811	0	1,194	226	45	0	711	0	0	433	2,609	(3,693)
301.21067-Recreation Acco	(10,672)	0	10,200	0	0	0	10,200	0	4,085	1,012	230	0	608	0	0	255	6,190	(6,662)
301.21077-Public Safety R	5	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	5
301.21080-Encon Magazine	701	0	705	0	0	0	705	0	0	314	0	0	0	0	0	0	314	1,092
301.21081-Environment Enf	(29,645)	0	28,600	0	0	0	28,600	0	14,698	2,974	484	0	8,695	0	0	5,044	31,895	(32,940)
301.21082-Natural Resourc	(18,003)	0	4,813	0	0	0	4,813	0	2,127	397	136	0	1,256	0	0	400	4,316	(17,506)
301.21083-JUST-Trust Recov	327	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	339
301.21084-Mined Land Recl	1,900	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,271	0	0	0	3,622	2,488
301.21087-Great Lakes Res	0	0	13	0	0	0	13	0	0	13	0	0	0	0	0	0	13	0
301.21089-SEQOR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2107Z-Monitors-Aggre	20,288	0	6,000	0	0	0	6,000	0	4,009	493	101	0	2,362	0	0	1,996	8,961	17,327
302.21150-Conservation	16,515	0	43,222	0	0	75	43,297	0	24,430	11,685	806	0	14,428	0	0	1,784	53,133	6,679
302.21151-Marine Resource	2,083	0	1,480	0	0	0	1,480	0	991	1,216	74	0	585	0	0	0	2,866	697
302.21152-Migratory Bird	182	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	147
302.21153-Guides License	58	0	55	0	0	0	55	0	51	6	1	0	30	0	0	0	88	25
302.21154-Fish And Game T	58,345	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	60,270
302.21155-Surf Clam/Quaho	63	0	0	0	0	0	0	0	26	29	0	0	15	0	0	0	70	(7)
302.21157-Venison Donatio	1	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	1
302.21158-OUTDOOR REC & T	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
303.21201-Oil Spill - DAC	2	0	121	0	0	705	826	0	534	228	17	0	334	0	0	0	1,113	(285)
303.21202-Oil Sp Relocath	4	0	0	0	0	301	301	0	186	25	5	0	101	0	0	0	317	(12)
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,226	935	313	0	6,614	0	0	3,293	22,381	(4,383)
303.21204-Oil Spill - DAC	18,100	0	44,000	0	0	0	44,000	0	0	12,604	0	0	0	0	0	19,006	31,610	30,490
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	1,229	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	6,127	0	0	0	24,407	3,179
305.21252-OSHA Inspection	1,430	0	22,139	0	0	0	22,139	(1,000)	11,375	3,224	305	0	5,235	0	0	0	19,139	4,430
306.21301-CSF Regis Fee	5,131	0	9,000	0	0	0	9,000	0	500	10,200	0	0	200	0	0	0	10,900	3,231
307.21351-Equip Loan Fund	520	0	50	0	0	0	50	0	0	82	0	0	0	0	0	7	89	481
313.21401-Pub Tran Systms	(3,559)	76,143	0	0	0	15,047	91,190	83,946	630	504	16	0	380	0	0	0	85,476	2,155
313.21402-Metro Mass Tran	175,768	2,097,386	21,400	0	0	36,500	2,155,286	2,151,740	2,382	410	65	0	1,520	0	0	121,548	2,277,665	53,389
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(19,197)	0	9,200	0	0	0	9,200	0	4,152	1,647	209	0	2,452	0	0	0	8,460	(18,457)
314.21452-Mobile Source	486	0	34,000	0	0	0	34,000	0	15,691	3,664	427	0	8,696	0	0	4,746	33,224	1,262
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisl Comp R&D	10,838	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,605
321.21552-Demographics/Re	60	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	62
330.40350-S U Dorm Income	174,764	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	0	359,030	159,758
332.21651-Brummer Award	37	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	37
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocantico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2017

(thousands of dollars)

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338.21851-Arts Capital Re	829	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	791
340.22501-CFIA Undistrib	3,458	0	0	0	0	107,000	107,000	104,900	1,500	100	0	0	700	0	0	1,302	108,502	1,956
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22652-L I Vets Home	26,140	0	46,162	0	0	0	46,162	0	29,048	16,365	0	0	0	0	0	0	45,413	26,889
345.22653-S U Genl IFR	531,212	0	707,008	0	0	35,412	742,420	0	175,096	543,631	0	0	0	0	0	52,161	770,888	502,744
345.22654-S U Inc Offset	(19,440)	0	0	0	0	8,318	8,318	0	0	0	0	0	0	0	0	0	0	(11,122)
345.22655-Gen Rev Offset	112,057	0	1,727,915	0	0	996,256	2,724,171	0	2,201,864	503,421	0	0	8,500	0	0	0	2,713,785	122,443
345.22656-S U Hosp Ops	255,596	0	1,679,684	0	0	749,159	2,428,843	0	1,048,335	974,977	0	0	363,751	0	0	63,564	2,450,627	233,812
345.22657-SUNY Stabilizat	40,608	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40,608
345.22658-State Univ Hosp	11,527	0	38,050	0	0	0	38,050	0	34,745	2,806	0	0	0	0	0	0	37,551	12,026
345.22659-SUNY Tuition Re	105,419	0	92,830	0	0	0	92,830	0	51,857	34,477	0	0	3,500	0	0	0	89,834	108,415
345.2268P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	35,237	0	12,539	0	0	0	12,539	11,970	0	546	0	0	0	0	0	11,000	23,516	24,260
349.22751-Lk George Park	305	0	1,208	0	0	0	1,208	0	677	250	19	0	393	0	0	0	1,339	174
354.22801-MVTIFA	6,054	0	4,702	0	0	0	4,702	4,237	136	4	4	0	88	0	0	300	4,769	5,987
354.22802-St Police MV En	21,987	0	109,900	0	0	0	109,900	0	4,000	5,100	0	0	0	0	0	100,800	109,900	21,987
355.22851-Great Lakes Pro	218	0	160	0	0	0	160	0	82	70	3	0	48	0	0	0	203	175
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,351	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,399
362.23001-DOT Comm Veh Sa	(7,715)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(8,096)
365.23051-Vocall Rehabil	137	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	160
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,414)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,522)
368.23151-NYCCC Operat Of	(52,736)	0	26,600	0	0	6,000	32,600	0	20,400	3,300	0	0	11,200	0	0	0	34,900	(55,036)
369.23201-Jud Data Proc O	2,755	0	34,400	0	0	0	34,400	0	19,200	6,000	0	0	8,600	0	0	0	33,800	3,355
377.23267-CUNY Stabilizn	4,873	0	3,000	0	0	0	3,000	0	0	0	0	0	0	0	0	0	0	7,873
377.2322X-CUNY Tuitn Reim	59,541	0	4,620	0	0	0	4,620	0	5,818	0	0	0	0	0	0	0	5,818	58,343
377.2322Y-CUNY Inc Reimb	106,528	0	84,645	0	0	0	84,645	0	39,584	40,232	0	0	7,892	0	0	0	87,708	103,465
385.23501-Lk Placid Train	56	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	66
390.23551-Indigent Legal	157,183	0	78,000	0	0	35,000	113,000	83,000	2,204	25,510	25	0	956	0	0	0	111,695	158,488
482.23601-UI Sp Int & Pen	19,461	0	9,600	0	0	0	9,600	0	1,643	1,000	42	0	932	0	0	3,211	6,828	22,233
501.23701-Commer Game Rev	141,602	0	20,200	0	0	0	20,200	24,802	0	0	0	0	0	0	0	137,000	161,802	0
501.23702-Comm Game Regul	(5,322)	0	0	0	0	0	0	0	1,038	1,193	62	0	830	0	0	2	3,125	(8,447)
502.23750-Med Marith Colle	0	4,000	0	0	0	0	4,000	0	0	0	0	0	0	0	0	0	0	4,000
502.23752-MMF - County Di	9	0	0	0	0	0	0	1,800	0	0	0	0	0	0	0	0	1,800	(1,791)
502.23753-MMF - Law Enfor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
502.23754-MMF - Addiction	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
502.23755-MMF - Health Op	2,697	0	0	0	0	4,886	4,886	0	3,213	1,673	0	0	0	0	0	0	4,886	2,697

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017  
 (thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.211901-Article VII Int	4,473	0	500	0	0	0	500	86	0	0	0	0	0	0	0	0	4,887
339.211902-S P A R C S	3,929	0	6,600	0	0	0	6,600	0	532	917	17	0	378	0	0	4,214	4,471
339.211904-Fire Prev/Code	16,810	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	29,620	1,706
339.211905-NYS Twp Police	(1)	0	50,050	0	0	0	50,050	0	35,200	0	0	0	14,850	0	0	0	(1)
339.211906-DMV Seiz Assets	259	0	(4,400)	0	0	0	(4,400)	0	0	0	0	0	0	0	0	34	(4,175)
339.211907-Mental Hygiene	41,916	0	0	0	0	2,881,017	2,881,017	1,560,346	718,428	110,531	18,655	0	412,882	0	0	55,176	46,915
339.211909-M H Patient Inc	23,955	0	0	0	0	2,740,708	2,740,708	0	1,492,917	310,885	39,119	0	863,938	0	0	33,850	23,954
339.211911-Fin Cntrl Board	(693)	0	3,132	0	0	0	3,132	0	1,475	788	39	0	830	0	0	0	(693)
339.211912-Reg of Racing	(6,414)	0	12,647	0	0	0	12,647	0	6,761	5,577	171	0	1,727	0	0	458	(8,461)
339.211913-NY Metro Trans	(18,637)	0	0	0	0	15,242	15,242	0	4,026	6,175	100	0	2,138	0	0	0	(15,834)
339.211914-S U Consir Fund	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
339.211915-Quality Care	64,751	0	0	0	0	0	0	0	0	0	0	0	0	0	0	94,624	(29,873)
339.211916-Nurses Aide Reg	1,003	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,003
339.211917-Seized Assets	301	0	50	0	0	0	50	0	0	1	0	0	0	0	0	0	350
339.211918-Child Care & Pr	807	0	160	0	0	0	160	100	0	0	0	0	0	0	0	0	867
339.211919-Cyber Sec Upgr	880	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	880
339.211920-Cert of Need	12,738	0	2,959	0	0	0	2,959	0	1,632	1,897	54	0	994	0	0	8,628	2,492
339.211921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.211922-Retir Community	977	0	131	0	0	0	131	0	62	50	0	0	0	0	0	3	993
339.211923-DOL Fee Penalty	9,439	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	3,398	0	0	8,672	10,392
339.211924-Educ Museum	32	0	842	0	0	0	842	0	282	334	7	0	163	0	0	62	26
339.211925-Ns Him Receiptship	2,829	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,854
339.211926-3rd Party Hlth	451	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	451
339.211927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211928-I Love NY Water	141	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,062
339.211929-Summer Sch Arts	181	0	655	0	0	0	655	0	111	528	3	0	15	0	0	0	179
339.211930-I Love NY Water	127	0	245	0	0	0	245	0	130	25	3	0	62	0	0	0	152
339.211932-Snowmobile	3,876	0	6,150	0	0	0	6,150	5,450	111	363	9	0	60	0	0	0	4,033
339.211933-Tr Surplus Prop	2,444	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	1,867
339.211934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.211935-Watershed Prtnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.211936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211937-S U Dorm Reimb	(6)	0	0	0	0	304,030	304,030	0	136,904	138,921	0	0	0	0	0	0	28,199
339.211938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211943-Energy Research	3,893	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,893
339.211945-Crim Jus Improv	2,606	0	6,000	0	0	0	6,000	3,000	703	687	30	0	610	0	0	1,350	2,226
339.211948-Farm Prod Insp-	825	0	42,724	0	0	0	42,724	24,631	2,733	396	116	0	2,190	0	0	8,596	22,997
339.211950-FyprprintID&Tech	22,926	0	14,000	0	0	0	14,000	0	648	123	23	0	303	0	0	0	1,118
339.211953-NY Fire Academy	311	0	468	0	0	0	468	0	278	314	9	0	167	0	0	12,563	19,868
339.211958-Domestic Awaren	78	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	11
339.211959-Envir.Lab.Fee A	791	0	3,700	0	0	0	3,700	0	1,552	584	46	0	953	0	0	0	82
339.211960-HESC Ins Prem P	2,504	0	69,469	0	0	0	69,469	0	15,300	25,490	487	0	10,566	0	0	131	1,225
339.211961-Train Mgmt Eval	869	0	2,300	0	0	0	2,300	0	1,550	219	136	0	895	0	0	8	4,303
339.211962-Clin Lab Refrnc	(11,807)	0	18,059	0	0	0	18,059	0	5,731	3,174	168	0	3,405	0	0	289	(6,515)
339.211964-Pub Emp Rel Brd	631	0	86	0	0	0	86	0	0	43	0	0	0	0	0	0	674
339.211965-Radio Hlth Prot	3,068	0	4,048	0	0	0	4,048	0	2,147	155	57	0	1,259	0	0	696	2,802
339.211966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.211967-OHRD St Match	6,286	0	0	0	0	6,000	6,000	0	0	3,510	0	0	0	0	0	0	8,776

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatn Library	117	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	122
339.21969-Teacher Certif	635	0	6,600	0	0	0	6,600	0	3,400	643	86	0	1,970	0	0	450	686
339.21970-Banking Deptmnt	31,638	0	94,472	0	0	0	94,472	0	49,033	13,049	1,314	0	27,583	0	0	0	35,131
339.21971-Cable TV Acctt	13,619	0	3,130	0	0	0	3,130	0	1,361	109	36	0	789	0	0	0	14,454
339.21972-Econ Devel Asst	302	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	302
339.21973-Fin Svcs Seized	704	0	500	0	0	0	500	0	0	500	0	0	0	0	0	0	704
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	4,486	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,234	3,252
339.21977-Business Licns	38,155	0	85,983	0	0	0	85,983	939	15,141	14,922	421	0	8,821	0	0	49,773	34,121
339.21978-Indir Cost Reco	502	0	18,907	0	0	18,907	18,907	0	9,125	4,362	0	0	5,287	0	0	0	635
339.21979-High School Equ	857	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	857
339.21980-OTDA Program	2,624	0	0	0	0	500	500	0	0	200	0	0	0	0	0	0	2,924
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	7,069	0	13	0	0	5,000	5,013	0	4,128	2,549	116	0	2,532	0	0	2,301	456
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	12,619	0	0	0	12,619	0	8,086	4,914	0	0	0	0	0	0	(380)
339.21986-Seized Assets	17	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	19
339.21987-Spinal Injury	4,667	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	170	4,497
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	27,484	0	0	0	0	7,400	7,400	0	1,551	9,184	66	0	1,691	0	0	0	22,392
339.21990-OCTF Crime Forf	564	0	3,050	0	0	0	3,050	0	0	2,236	0	0	0	0	0	0	1,378
339.21991-DMNA-Seiz Asset	1,231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,231
339.21992-Critical Infrns	259	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	259
339.21993-Radon Detct Dev	391	0	20	0	0	0	20	0	0	10	0	0	0	0	0	2	399
339.21994-Insurance Dept	122,635	0	425,317	0	0	0	425,317	56,324	105,122	37,764	2,864	0	55,107	0	0	0	290,771
339.21995-Workers Comp Bd	89,892	0	221,202	0	0	0	221,202	0	75,878	58,423	2,306	0	51,448	0	0	31,352	91,667
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Entf	3,667	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,127	0	0	0	4,267
339.21999-Asset Forfeitur	9	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	9
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AS-Quality Assuran	1	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commrc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Entor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219BM-Emerg Med Srvs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Gent Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problm Solv Cou	145	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	145
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Flbr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	4,047	0	3,010	0	0	0	3,010	0	425	3,500	11	0	240	0	0	0	2,881
339.219YL-OGS Bldg Admin	2,263	0	1,166	0	0	0	1,166	0	0	922	0	0	0	0	0	0	2,507
339.219YN-OGS Std & Purch	7,917	0	5,659	0	0	0	5,659	0	858	1,881	22	0	446	0	0	3,000	7,369
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(1,150)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,150)
339.219ZR-Milk Producers	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.219ZV-S T A Research	862	0	2,500	0	0	0	2,500	2,000	182	100	5	0	105	0	0	52	918
339.22001-VESID SS	3	0	1,821	0	0	0	1,821	0	669	119	20	0	398	0	0	1	617
339.22003-Bell Jar Collec	2,871	0	2,521	0	0	0	2,521	0	1,550	0	74	0	846	0	0	0	2,922
339.22004-Ind & Util Serv	(156)	0	330	0	0	0	330	0	322	15	6	0	167	0	0	0	(336)
339.22009-Asbestos Trning	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22010-IMP R P Tax Adim	21,744	0	84,042	0	0	0	84,042	0	40,525	6,328	988	0	22,718	0	0	5,767	29,460
339.22011-Public Service	6,832	0	33,000	0	0	0	33,000	0	17,400	7,800	0	0	7,800	0	0	0	6,832
339.22012-Aty Licensing	188	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	188
339.22014-DSS Prov Recovs	7,171	0	0	0	0	6,300	6,300	14,300	0	0	0	0	0	0	0	0	(829)
339.22015-Crimes Against	69	0	197	0	0	0	197	0	134	9	4	0	14	0	0	0	105
339.22017-Camp Smith Bill	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22018-Fire Safe Cigar	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22020-Comm Feed Lic	1,203	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,203
339.22021-Reg Manu Hsg	9,501	0	813	0	0	0	813	0	198	75	18	0	99	0	0	0	9,924
339.22022-College Savings	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22023-Discover Queens	33,872	0	25,000	0	0	0	25,000	0	1,591	2,531	45	0	940	0	0	43,591	10,174
339.22024-Reven Arretrate	8,500	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,500
339.22025-Comm Svce Assis	2,325	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,325
339.22026-Cell Phone Towe	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22027-Spec Conserv Ac	3,220	0	2,017	0	0	0	2,017	0	106	0	4	0	71	0	0	1,822	3,234
339.22028-Central Registry	232	0	529	0	0	0	529	0	274	0	10	0	155	0	0	0	322
339.22029-Plant Industry	(10,445)	0	9,600	0	0	900	10,500	0	5,676	628	144	0	3,289	0	0	0	(9,682)
339.22032-Batavia School	2,626	0	0	0	0	0	0	0	113	312	0	0	153	0	0	1,096	952
339.22033-Alcohol Beverag	633	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	633
339.22034-Investment Serv	7	0	6	0	0	0	6	0	0	50	0	0	0	0	0	0	(37)
339.22035-Diabetes Resear	39	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	48
339.22037-Keep Kids Drug																	

**CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)**

FY 2017  
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,843)	0	4,304	0	0	0	4,304	0	2,317	113	72	0	1,867	0	0	0	(1,908)
339.22040-Seniate Recyclab	540	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	560
339.22041-Medicaid Fraud	20,566	0	14,000	0	0	0	14,000	0	6,096	2,642	151	0	3,627	0	0	0	22,050
339.22042-DED Marketing A	5,203	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	5,213
339.22044-Tug Hill Admin	69	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	65
339.22045-Settlement Enf	1,597	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	1,597
339.22046-Indian Gaming	(67,491)	0	8,188	0	0	0	8,188	0	8,180	462	207	0	4,587	0	0	329	(73,068)
339.22047-NYS FLEX Spend	38	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	38
339.22050-Crime Victims B	3	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	3
339.22051-Olc of Professi	26,648	0	47,265	0	0	0	47,265	0	19,327	9,795	488	0	11,198	0	0	6,032	27,073
339.22052-Armory Rental A	2,016	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,016
339.22053-Rome School	(3,015)	0	9,600	0	0	1,020	10,620	0	4,280	652	108	0	2,480	0	0	0	85
339.22054-Seized Assets	(11,185)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(11,185)
339.22055-Traf Adjudicatin	(4,420)	0	35,500	0	0	0	35,500	0	19,504	9,501	493	0	11,103	0	2,288	0	(11,809)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,452	2,452	419	1,287	0	36	0	739	0	0	0	(30)
339.22057-Cook/Chill Acco	1,773	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,773
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22062-NYC Assessment	20,883	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	0	20,883
339.22063-Cultural Educat	(3,658)	0	26,427	0	0	0	26,427	0	11,872	5,400	300	0	6,879	0	1,976	0	(3,658)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	1,570	0	3,150	0	0	0	3,150	0	334	409	11	0	176	0	0	1,961	1,829
339.22067-Trans Regul Acc	14,631	0	0	0	0	0	0	0	236	77	0	0	0	0	1,822	0	12,809
339.22068-Cons Prof Acct	1,586	0	91	0	0	0	91	0	0	0	0	0	0	0	0	0	1,218
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,803	0	470	0	0	0	470	0	138	10	6	0	81	0	73	0	1,965
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	36	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	36
339.22078-Local Services	(188)	0	1,143	0	0	0	1,143	0	722	0	19	0	373	0	0	0	(159)
339.22080-Adult Shelter	13,301	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13,301
339.22081-QAA Earned Rev	394	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	394
339.22082-Family Pres Svc	2,353	0	0	0	0	2,700	2,700	2,732	0	0	0	0	0	0	0	0	2,321
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	338	0	0	0	0	0	0	0	0	42	0	0	0	0	0	0	296
339.22085-DHCR Mortgage S	(3,287)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(3,872)
339.22086-OMH-Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	779	0	0	0	0	0	0	0	0	0	0	0	0	0	1,240	0	(461)
339.22088-Prof Medic Cond	9,032	0	24,900	0	0	0	24,900	907	10,443	7,661	323	0	6,429	0	3,836	0	4,333
339.22089-Hwy Const & Ma	1,584	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,709
339.22090-Housing Indirec	(7,439)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	(4,372)
339.22091-Adlt Hme Qlty E	1,403	0	193	0	0	0	193	0	0	0	0	0	0	0	21	0	1,575
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	4,762	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,826
339.22095-IG Szd Assets	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22096-Leg Svcs Assist	21,913	0	13,600	0	0	0	13,600	13,200	0	0	0	0	0	0	0	2,830	19,483
339.22097-Loc Pub Hlth	4,431	0	84	0	0	0	84	0	243	4	5	0	150	0	47	0	4,066

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22098-Local Dist Trail	0	0	0	0	0	0	0	0	0	273	0	0	0	0	0	0	(273)
339.22099-Voting Mach Exa	123	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123
339.220DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(344)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,567	0	0	489	(275)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
339.22103-Vital Rec Mgmt	6,360	0	4,840	0	0	0	4,840	0	703	612	18	0	457	0	0	3,945	5,465
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,129	0	277	0	0	0	277	0	0	210	0	0	0	0	0	0	1,196
339.22109-Conference & Sp	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
339.22110-Asst Living Res	1,034	0	102	0	0	0	102	0	0	0	0	0	0	0	0	9	1,127
339.22111-OCFS Program	1,114	0	0	0	0	0	0	0	0	585	0	0	0	0	0	0	529
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	11,494	0	68,164	0	0	0	68,164	0	21,804	33,299	670	0	13,941	0	0	0	9,944
339.22118-Animal Populati	345	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	333
339.22119-Love Your Libra	62	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	68
339.22122-Local Wireless	116	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	116
339.22123-Pub Safe Commun	37,218	0	108,000	0	0	0	108,000	33,075	12,520	30,990	0	0	0	0	0	10,161	58,472
339.22124-Cuba Lake Mgmt	160	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	154
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Acct	547	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	547
339.22130-Low Inc Housing	3,120	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	1,272	0	0	150	2,995
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22133-Procure Op News	472	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	297
339.22134-OVS RESTITUTION	757	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	757
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	219	1,052	6	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	1,128	0	1,323	0	0	0	1,323	0	0	0	0	0	132	0	0	0	1,042
339.22137-Pet Dealer	111	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	143
339.22138-Auth Bdggt Office	1,081	0	2,088	0	0	1,826	3,914	0	917	254	27	0	580	0	0	45	3,172
339.22139-Patient Safety	2,726	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,726
339.22140-Helen Hayes Hos	7,145	0	115	0	0	54,263	54,378	0	34,597	20,902	0	0	0	0	0	299	5,725
339.22141-NYC Veterans	3,511	0	350	0	0	28,314	28,664	0	15,885	8,551	0	0	7,136	0	0	107	496
339.22142-NYS Home-Vetera	2,470	0	120	0	0	23,125	23,245	0	16,153	6,176	0	0	0	0	0	119	3,267
339.22143-WNY Vets Home	738	0	55	0	0	12,538	12,593	0	7,324	4,275	0	0	0	0	0	67	1,732
339.22144-Monitrose S V H	5,062	0	30	0	0	27,358	27,388	0	16,512	7,601	0	0	0	0	0	0	8,270
339.22145-DOH Hospital Ho	3,913	0	0	0	0	117,284	117,284	0	0	0	0	0	0	0	0	117,284	3,913
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	6,785	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	7,172
339.22149-Motor Fuel Qual	1,465	0	2,800	0	0	2,800	2,800	0	1,127	1,214	29	0	601	0	0	0	1,294
339.22150-Weights Measure	47	0	325	0	0	0	325	0	240	101	8	0	123	0	0	0	(100)
339.22151-Defier Comp Adm	(76)	0	820	0	0	0	820	0	378	183	23	0	225	0	0	0	(65)
339.22152-Hazard Abatement	2	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	52
339.22153-Education Stats	103	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	158
339.22154-Real Estate Fin	5,131	0	1,693	0	0	1,693	1,693	0	556	1,292	15	0	328	0	0	0	4,633
339.22156-NYC Rent Rev	(13,591)	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	13,330	0	0	4,115	(14,382)
339.22157-Medicaid Income	(119)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(119)



**CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)  
FY 2017**  
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22158-Rent Revenue	(802)	0	550	0	0	0	550	0	380	0	10	0	212	0	0	0	(854)
339.22159-CSFP Salvage Ac	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22161-ES Slem Cell Tr	454	0	0	0	0	30,295	30,295	0	463	29,322	0	0	0	0	0	510	454
339.22162-Systems & Tech	7,746	0	7,300	0	0	0	7,300	0	707	142	27	0	617	0	0	5,328	8,225
339.22163-OPR Patrom Serv	7,612	0	69,400	0	0	0	69,400	0	30,500	36,200	0	0	3,079	0	0	1,568	5,665
339.22165-Trans Aviatn	2,050	0	3,660	0	0	0	3,660	0	129	3,906	4	0	76	0	0	0	1,595
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	298	0	10	0	0	3,000	10	0	0	10	0	0	0	0	0	0	298
339.22168-Tax Rev Arrear	531	0	0	0	0	0	3,000	0	0	1,500	0	0	0	0	0	0	2,031
339.22169-TSCR Account	51,709	0	195,700	0	0	0	195,700	74,500	0	0	0	0	0	0	0	121,200	51,709
339.22170-Statewide Gamn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,566	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,506
339.22172-Undgrnd Sfty T	649	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	584
339.22173-Vol Fire Rec&Re	791	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	791
339.22174-HAVA Match	1,560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,560
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	556	0	9,000	0	0	0	9,000	8,764	322	789	7	0	189	0	0	105	(620)
339.22178-Crim Back Check	379	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	379
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22182-OWIG Adm Reimb	3,173	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,173
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	655	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	695
339.22186-Yth Fac PerDiem	39,664	0	55,000	0	0	0	55,000	0	0	0	0	0	0	0	0	68,104	26,560
339.22187-Provider Assess	5	0	812,000	0	0	0	812,000	812,000	0	0	0	0	0	0	0	0	5
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	595	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	595
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	3,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,428
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,388	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,388
339.22196-C & F Qual Einha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-UL-TVI RADIA DEV	449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	449
339.22198-HEP	84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	84
339.22199-Airport Securit	175	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(216)
339.22202-SBCI Account	13	0	6,000	0	0	0	6,000	2,000	0	0	0	0	0	0	0	0	4,013
339.22203-Article X Inter	1	0	0	0	0	0	0	86	0	0	0	0	0	0	0	0	(85)
339.22206-Wholesale Mkt	9,306	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	8,306
339.22207-Tech Financing	5,325	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,325
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	59	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	59
339.22213-BOE Enforcement	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22214-Fireworks Reven	196	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	416
339.HWUTA-Hwy Use Tax Adm	0	0	500	0	0	0	500	0	188	202	5	0	105	0	0	0	0
339.IRPSD-Rec for Dist Ed	0	0	550	0	0	0	550	0	273	70	8	0	154	0	0	45	0
339.MHSDT-MH Svc Del Tran	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2017**

(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
<b>Opening Fund Balance</b>	(33)	42,856	125,299	4,785	(83,050)	14	42,065	164	668	3,328
<b>Receipts:</b>										
Taxes	0	1,176,298	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	2,214,014	1,375,482	0	2,500	123,600	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	2,214,014	2,556,672	0	2,500	123,600	0	153,750	0	0	0
<b>Disbursements:</b>										
Grants to Local Governments	3,080,163	74,362	0	0	0	0	0	0	0	0
State Operations	1,145	0	0	0	0	0	0	0	0	0
General State Charges	627	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,208,668	2,072,310	55,000	2,500	123,600	0	202,000	0	0	0
<b>Total Disbursements</b>	5,290,603	2,146,672	55,000	2,500	123,600	0	202,000	0	0	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	3,078,880	933,176	55,000	0	0	0	146,000	0	0	0
Transfers to Other Funds	(2,325)	(1,416,143)	0	0	0	0	0	(25)	(200)	(100)
Bond & Note Proceeds	0	0	0	0	0	0	0	2.5	200	100
<b>Net Other Financing Sources (Uses)</b>	3,076,555	(482,967)	55,000	0	0	0	146,000	0	200	100
<b>Change in Fund Balance</b>	(34)	(72,967)	0	0	0	0	97,750	0	0	0
<b>Closing Fund Balance</b>	(67)	(30,111)	125,299	4,785	(83,050)	14	139,815	164	668	3,328

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30440-30449)	REBUILD & RENEW NY TRANSPORTATION BOND (30550-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31500-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION BOND (31550-31699)
<b>Opening Fund Balance</b>	1,450	27,330	4,255	5,576	2,778	7,914	(559,268)	900	(130,805)	507
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,157,495	0	0	0
<b>Total Receipts</b>	0	0	0	0	0	0	2,157,495	10	103,250	0
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,092,455	10	110,345	0
<b>Total Disbursements</b>	0	0	0	0	0	0	1,798,436	10	110,345	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	0	23,400	0
Transfers to Other Funds	(1,000)	(235,104)	(1,000)	(4,250)	(2,000)	(15,000)	(337,621)	0	(28,849)	0
Bond & Note Proceeds	1,000	235,104	1,000	4,250	2,000	15,000	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	0	(337,621)	0	(5,449)	0
<b>Change in Fund Balance</b>	0	0	0	0	0	0	21,438	0	(12,544)	0
<b>Closing Fund Balance</b>	1,450	27,330	4,255	5,576	2,778	7,914	(537,830)	900	(143,349)	507

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2017**  
(thousands of dollars)

	DIVISION FOR YOUTH EACH CHILD IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL DISASTERS (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK PROJECTS (32250-32299)	MENTAL-HYGIENE FACILITIES IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES IMPROVEMENT (32350-32399)
<b>Opening Fund Balance</b>	(22,591)	(10,816)	(144,251)	16,209	(12,564)	168,347	37,048	(23)	(447,533)	(33,035)
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	19,031	0	162,052	1,000	0	120,000	9,015	0	185,890	244,588
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	19,031	0	162,052	1,000	0	120,000	9,015	0	185,890	244,588
<b>Disbursements:</b>										
Grants to Local Governments	0	0	162,227	0	0	0	0	0	96,117	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	19,031	0	0	1,017	0	120,000	30,900	0	91,523	298,088
<b>Total Disbursements</b>	19,031	0	162,227	1,017	0	120,000	30,900	0	187,640	298,088
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	1,575	0	0	25,000	26,000	0	1,750	53,500
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	1,575	0	0	25,000	26,000	0	1,750	53,500
<b>Change in Fund Balance</b>	0	0	1,400	(7)	0	25,000	4,115	0	0	0
<b>Closing Fund Balance</b>	(22,591)	(10,816)	(142,851)	16,192	(12,564)	193,347	41,163	(23)	(447,533)	(33,035)

	SMART SCHOOLS FUND (30700-30749)	NEW YORK STATE STORAGE (32000-32049)	DEDICATED INFRASTRUCTURE INVESTMENT (33900-33999)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	(48,673)	110,333	(1,000)	(891,816)	0	(891,816)
<b>Receipts:</b>							
Taxes	0	0	0	0	1,295,898	0	1,295,898
Miscellaneous Receipts	0	23,181	0	1	4,618,264	0	4,618,264
Federal Grants	0	0	0	1,000	2,162,487	0	2,162,487
<b>Total Receipts</b>	0	23,181	0	1,001	8,076,649	0	8,076,649
<b>Disbursements:</b>							
Grants to Local Governments	0	0	84,000	0	4,203,350	0	4,203,350
State Operations	0	0	0	(1,000)	145	0	145
General State Charges	0	0	0	(1,000)	(373)	0	(373)
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	23,181	1,266,665	0	7,716,793	0	7,716,793
<b>Total Disbursements</b>	0	23,181	1,350,665	0	11,919,915	0	11,919,915
<b>Other Financing Sources (Uses):</b>							
Transfers from Other Funds	0	0	1,350,665	0	5,694,946	(937,118)	4,757,828
Transfers to Other Funds	(350,000)	0	0	0	(2,393,627)	937,118	(1,456,509)
Bond & Note Proceeds	350,000	0	0	0	608,689	0	608,689
<b>Net Other Financing Sources (Uses)</b>	0	0	1,350,665	0	3,910,008	0	3,910,008
<b>Change in Fund Balance</b>	0	0	1,001	1	66,742	0	66,742
<b>Closing Fund Balance</b>	0	(48,673)	110,333	1	(825,074)	0	(825,074)

**CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2017**

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	110,547	98	0	49,167	0	(101)	159,711	0	159,711
<b>Receipts:</b>									
Taxes	0	15,606,763	0	0	1,018,900	3,240,531	19,866,194	0	19,866,194
Miscellaneous Receipts	302,920	0	6,234	145,598	0	500	455,252	0	455,252
Federal Grants	0	73,443	0	0	0	0	73,443	0	73,443
<b>Total Receipts</b>	302,920	15,680,206	6,234	145,598	1,018,900	3,241,031	20,394,889	0	20,394,889
<b>Disbursements:</b>									
Grants to Local Governments	0	0	0	0	0	0	0	0	0
State Operations	2,970	30,006	0	3,161	0	3,020	39,157	0	39,157
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	204,917	4,592,721	7,234	28,409	0	370,002	5,203,283	0	5,203,283
Capital Projects	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	207,887	4,622,727	7,234	31,570	0	373,022	5,242,440	0	5,242,440
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	1,053,457	2,488,373	1,000	42,069	0	0	3,584,899	(323,019)	3,261,880
Transfers to Other Funds	(1,069,250)	(13,545,853)	0	(145,598)	(1,018,900)	(2,868,009)	(18,647,610)	323,019	(18,324,591)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(15,793)	(11,057,480)	1,000	(103,529)	(1,018,900)	(2,868,009)	(15,062,711)	0	(15,062,711)
<b>Change in Fund Balance</b>	79,240	(1)	0	10,499	0	0	89,738	0	89,738
<b>Closing Fund Balance</b>	189,787	97	0	59,666	0	(101)	249,449	0	249,449

CASH COMBINING STATEMENT BY ACCOUNT  
INTERNAL SERVICE

FY 2017

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	6,629	0	61,998	0	0	0	61,998	0	29,033	14,975	735	0	16,822	0	0	1,866	63,431	5,196
323.55020-OGS Ent Contr	(41,234)	0	200,000	0	0	0	200,000	0	600	199,074	16	0	310	0	0	0	200,000	(41,234)
323.55022-Business Srv Ct	(87)	0	12,653	0	0	0	12,653	0	5,596	5,000	319	0	1,738	0	0	0	12,653	(87)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(16,316)	0	138,175	0	0	21,789	159,964	0	4,415	124,228	107	0	2,442	0	0	28,767	159,959	(16,316)
323.5502Y-OGS Bldg Admin	7,656	0	25,229	0	0	0	25,229	0	1,917	18,513	49	0	1,111	0	0	0	21,590	11,295
323.550ZZ-OGS Std & Purch	(3,228)	0	11,453	0	0	0	11,453	0	3,188	5,055	78	0	1,778	0	0	0	10,099	(1,874)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	26	0	1,500	0	0	0	1,500	0	920	114	28	0	411	0	0	0	1,473	53
334.55053-Fedl Single Aud	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	3,646	0	5,963	0	0	0	5,963	0	2,745	500	84	0	1,712	0	0	1,651	6,692	2,917
334.55056-EHS Occup Hlth	177	0	870	0	0	0	870	0	626	494	18	0	381	0	0	8	1,527	(480)
334.55057-Banking Service	(8)	0	500	0	0	51,565	52,065	0	0	51,790	0	0	0	0	0	0	51,790	267
334.55058-Cult Resources	(2,789)	0	7,329	0	0	0	7,329	0	1,429	4,082	46	0	894	0	0	284	6,735	(2,195)
334.55059-Neighbor Work P	(12,807)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(12,807)
334.55060-Auto/Print Chgb	1,258	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,878	0	0	0	17,642	1,229
334.55061-NYT Account	(3,955)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(3,955)
334.55062-State Data Ctr	(47,594)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(47,594)
334.55063-Human Svcs Tele	15,540	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15,540
334.55065-OPWDD Copy Cent	681	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	681
334.55066-Intrusion Detec	(1,244)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,244)
334.55067-Dom Violence Gr	(270)	0	800	0	0	0	800	0	698	99	3	0	0	0	0	0	800	(270)
334.55068-Statewide Train	97	0	0	0	0	0	0	0	0	0	0	0	389	0	0	0	389	(292)
334.55069-Cent Tech Svcs.	(7,828)	0	30,000	0	0	2,360	32,360	0	0	30,000	0	0	0	0	0	0	30,000	(5,468)
334.55070-Learning Mgmt S	1,716	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,716
334.55071-Labor Cont Ctr	44	0	1,753	0	0	0	1,753	0	1,367	321	38	0	777	0	0	0	2,503	(706)
334.55072-HS Cont Ctr	(107)	0	8,707	0	0	0	8,707	0	4,832	1,527	150	0	2,934	0	0	0	9,443	(843)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financg	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
334.CEONW-Ctr Emp Op NWP	0	0	8,200	0	0	1,000	9,200	9,200	0	0	0	0	0	0	0	0	9,200	0
343.55100-Mental Hygiene	238	0	1,967	0	0	0	1,967	0	987	1,144	26	0	555	0	0	0	2,712	(607)
347.55150-DFY Voc Educatn	53	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	78
394.55200-Joint Labor-Mgt	1,394	0	2,000	0	0	0	2,000	0	906	396	30	0	522	0	0	0	1,854	1,540
395.55251-Ex Dir Intl Aud	(3,225)	0	1,950	0	0	0	1,950	0	1,210	50	31	0	710	0	0	0	2,001	(3,276)
395.55252-CIO INFO TECH C	2,430	0	17,000	0	0	0	17,000	0	5,100	12,700	71	0	2,830	0	0	0	20,701	(1,271)
396.55300-Health Ins Intr	(6,487)	0	14,121	0	0	8,083	22,204	0	9,067	1,700	270	0	5,768	0	0	3,428	20,233	(4,516)
396.55301-CS EBD Adm Reim	(6,644)	0	4,500	0	0	0	4,500	0	1,835	318	56	0	1,127	0	0	639	3,975	(6,119)
397.55350-Corr Industries	(17,427)	0	49,000	0	0	10,500	59,500	0	18,686	31,930	531	0	11,035	0	0	357	62,539	(20,466)

**CASH COMBINING STATEMENT BY ACCOUNT  
ENTERPRISE  
FY 2017**

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	154	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	154
325.50050-State Fair Rece	871	0	18,000	0	0	0	18,000	0	5,712	11,289	160	0	2,018	0	0	0	19,159	(288)
326.50100-DOCS Commissary	2,824	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	2,906
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	466	0	1,222	0	0	0	1,222	0	604	162	15	0	350	0	0	0	1,131	557
331.50319-Attica Emp Mess	341	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	335
331.50322-Asset Preservat	76	0	14	0	0	0	14	0	0	22	0	0	0	0	0	0	22	68
331.50323-Farm Program	1,030	0	629	0	0	0	629	0	123	455	2	0	60	0	0	0	640	1,019
331.50327-Emp Plz Gift Sh	15	0	300	0	0	0	300	0	105	131	3	0	61	0	0	0	300	15
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	80	0	1,500	0	0	1,000	9,092	(1,000)
331.OGSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	12	0	0	0	874	0
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	0	0	0	0	0	0	105	0
351.50400-OMH Shelt Wkshs	1,910	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,910
352.50450-MR Shel Wrkshp	1,895	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,795
353.50500-MH & MR Communi	3,968	0	2,200	0	0	0	2,200	0	383	1,172	10	0	215	0	0	0	1,780	4,388
353.50516-MR Community St	157	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	154
450.259SF-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	907,571	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	907,571
481.50651-Interest Assess	4,599	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,599
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**GENERAL FUND CASH TO APPROPRIATION TABLE**  
**NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS**  
**FY 2016 Results**  
**(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT</b>				
Agriculture and Markets,	27,279	53,464	32,683	44,617
Economic Development,	44,963	246,825	18,785	28,564
Empire State Development	58,756	332,285	0	0
Olympic Regional Development	0	0	3,011	3,011
<b>FUNCTIONAL TOTAL</b>	<b>130,998</b>	<b>632,574</b>	<b>54,479</b>	<b>76,192</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	0	0	4,297	4,563
Environmental Conservation,	4,262	23,906	94,414	151,439
Parks, Recreation and Historic	4,891	17,525	110,956	129,545
<b>FUNCTIONAL TOTAL</b>	<b>9,153</b>	<b>41,432</b>	<b>209,667</b>	<b>285,547</b>
<b>TRANSPORTATION</b>				
Thruway Authority, New York	0	0	16,569	21,499
Transportation, Department of	111,351	114,818	1,152	1,050
<b>FUNCTIONAL TOTAL</b>	<b>111,351</b>	<b>114,818</b>	<b>17,721</b>	<b>22,549</b>
<b>HEALTH</b>				
Aging, Office for the	127,134	183,853	1,276	1,311
Health, Department of	12,849,883	70,737,588	421,696	802,417
Medicaid Inspector General, Office	0	0	20,619	21,893
<b>FUNCTIONAL TOTAL</b>	<b>12,977,017</b>	<b>70,921,441</b>	<b>443,591</b>	<b>825,621</b>
<b>SOCIAL WELFARE</b>				
Children and Family Services,	1,733,442	2,637,423	232,644	302,854
Housing and Community Renewal,	4,764	27,538	7,188	12,618
Human Rights, Division of	0	0	10,263	12,010
Labor, Department of	12,149	33,669	206	285
National and Community Service	142	1,397	316	334
Temporary and Disability	1,213,432	1,335,232	147,003	196,445
<b>FUNCTIONAL TOTAL</b>	<b>2,963,929</b>	<b>4,035,259</b>	<b>397,620</b>	<b>524,546</b>
<b>MENTAL HYGIENE</b>				
Alcoholism and Substance Abuse	26,276	31,293	0	0
Mental Health, Office of	284,535	393,982	479	796
People with Developmental	863,457	1,811,612	0	0
Justice Center	114	255	37,096	41,685
<b>FUNCTIONAL TOTAL</b>	<b>1,174,382</b>	<b>2,237,142</b>	<b>37,575</b>	<b>42,481</b>
<b>PUBLIC PROTECTION</b>				
Correction, Commission of	0	0	2,297	2,894
Correctional Services, Department	4,251	47,080	2,691,232	2,722,586
Criminal Justice Services, Division	127,977	299,197	34,521	38,017
Disaster Assistance	0	0	(51,789)	0
Homeland Security and	(15,533)	741,618	4,318	4,800
Judicial Conduct, Commission on	0	0	5,567	5,584
Judicial Nomination, Commission	0	0	20	30
Judicial Screening, Committees	0	0	14	38
Military and Naval Affairs, Division	805	1,595	22,564	25,354
State Police, Division of	0	0	652,278	614,402
Statewide Financial System	0	0	30,070	30,137
Victim Services	1,870	2,788	0	0
<b>FUNCTIONAL TOTAL</b>	<b>119,370</b>	<b>1,092,278</b>	<b>3,391,092</b>	<b>3,443,842</b>
<b>EDUCATION</b>				
Arts, Council on the	38,332	80,459	3,487	4,319
City University of New York	1,429,462	1,452,148	777	0
Education, Department of	22,208,311	45,803,747	44,995	49,970
Higher Education Services	1,009,146	1,112,339	0	0
State University of New York	500,675	503,199	9,841	0
<b>FUNCTIONAL TOTAL</b>	<b>25,185,926</b>	<b>48,951,892</b>	<b>59,100</b>	<b>54,289</b>
<b>GENERAL GOVERNMENT</b>				
Budget, Division of the	0	0	21,079	29,778
Civil Service, Department of	0	0	12,951	14,533
Deferred Compensation	0	0	61	111
Elections, State Board of	93	2,200	7,604	8,482
Employee Relations, Office of	0	0	2,247	7,863
Gaming	0	0	5,608	6,971
General Services, Office of	0	0	146,202	156,730
Inspector General, Office of the	0	0	7,061	6,794
Labor Management Committee	0	0	24,882	111,482
Prevention of Domestic Violence,	575	1,876	1,464	1,728
Public Employment Relations	0	0	3,400	3,600
Public Integrity, Commission on	0	0	4,332	5,582
State, Department of	15,063	25,279	12,179	14,614
Tax Appeals, Division of	0	0	3,035	3,040
Taxation and Finance, Department	914	926	263,762	270,327
Information Technology, Office of	0	1,530	505,949	513,167
Veterans' Affairs, Division of	7,855	16,409	5,067	6,759
Welfare Inspector General, Office	0	0	569	1,162
<b>FUNCTIONAL TOTAL</b>	<b>24,500</b>	<b>48,220</b>	<b>1,027,452</b>	<b>1,162,723</b>
<b>ELECTED OFFICIALS</b>				
Audit and Control, Department of	32,025	32,025	126,425	127,345
Executive Chamber	0	0	13,704	17,854
Law, Department of	0	0	102,098	102,823
Judiciary	2,451	17,446	1,838,149	1,870,073
Legislature	0	0	214,111	403,180
Lieutenant Governor, Office of the	0	0	499	630
<b>FUNCTIONAL TOTAL</b>	<b>34,476</b>	<b>49,471</b>	<b>2,294,986</b>	<b>2,521,905</b>
<b>LOCAL GOVERNMENTS/SALES</b>				
Sales Tax Asset Receivable	170,000	170,000	0	0
Local Government Assistance	770,971	910,332	0	2,500
<b>FUNCTIONAL TOTAL</b>	<b>940,971</b>	<b>1,080,332</b>	<b>0</b>	<b>2,500</b>

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for School Aid and Medicaid programs. The Medicaid appropriation also includes authorization for Med occur outside of DOH.

**GENERAL FUND CASH TO APPROPRIATION TABLE**  
**NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS**  
**FY 2017 Enacted**  
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT</b>				
Agriculture and Markets, Department of	32,250	68,766	31,675	45,723
Alcoholic Beverage Control, Division of	0	0	12,258	13,313
Economic Development, Department of	54,065	276,358	19,421	27,682
Empire State Development Corporation	143,374	385,769	0	0
Olympic Regional Development Authority	0	0	2,736	2,736
<b>FUNCTIONAL TOTAL</b>	<b>229,689</b>	<b>730,893</b>	<b>66,090</b>	<b>89,454</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	0	0	4,332	4,344
Environmental Conservation, Department of	5,000	22,883	89,860	161,775
Parks, Recreation and Historic Preservation, Office of	3,245	14,427	107,823	129,216
<b>FUNCTIONAL TOTAL</b>	<b>8,245</b>	<b>37,311</b>	<b>202,015</b>	<b>295,335</b>
<b>TRANSPORTATION</b>				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	105,851	109,818	1,169	1,050
<b>FUNCTIONAL TOTAL</b>	<b>105,851</b>	<b>109,818</b>	<b>1,169</b>	<b>1,050</b>
<b>HEALTH</b>				
Aging, Office for the	129,680	212,398	1,231	1,236
Health, Department of	13,427,248	95,523,880	469,381	811,761
Medicaid Inspector General, Office of the	0	0	19,860	20,752
<b>FUNCTIONAL TOTAL</b>	<b>13,556,928</b>	<b>95,736,278</b>	<b>490,472</b>	<b>833,749</b>
<b>SOCIAL WELFARE</b>				
Children and Family Services, Office of	1,668,348	2,994,642	226,839	317,916
Housing and Community Renewal, Division of	5,374	24,607	4,550	12,608
Human Rights, Division of	0	0	9,921	12,010
Labor, Department of	12,400	38,373	288	285
National and Community Service	350	1,447	337	334
Temporary and Disability Assistance, Office of	1,252,098	1,390,484	129,845	182,481
<b>FUNCTIONAL TOTAL</b>	<b>2,938,570</b>	<b>4,449,553</b>	<b>371,780</b>	<b>525,634</b>
<b>MENTAL HYGIENE</b>				
Alcoholism and Substance Abuse Services, Office of	31,878	57,236	0	0
Mental Health, Office of	272,311	395,482	800	796
People with Developmental Disabilities, Office for	691,534	2,898,090	0	0
Justice Center	170	272	39,279	41,685
<b>FUNCTIONAL TOTAL</b>	<b>995,893</b>	<b>3,351,080</b>	<b>40,079</b>	<b>42,481</b>
<b>PUBLIC PROTECTION</b>				
Correction, Commission of	0	0	2,651	2,894
Correctional Services, Department of	5,497	49,936	2,619,580	2,661,078
Criminal Justice Services, Division of	135,649	332,292	33,721	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services,	4,222	891,618	1,000	1,000
Judicial Conduct, Commission on	0	0	5,584	5,584
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	911	1,975	20,395	25,354
State Police, Division of	0	0	610,562	625,828
Statewide Financial System	0	0	30,137	30,137
Victim Services	2,788	4,478	0	0
<b>FUNCTIONAL TOTAL</b>	<b>149,067</b>	<b>1,280,299</b>	<b>3,323,698</b>	<b>3,389,960</b>
<b>EDUCATION</b>				
Arts, Council on the	41,095	73,031	4,320	4,319
City University of New York	1,454,075	1,480,078	0	0
Education, Department of	23,418,238	26,321,143	68,453	83,545
Higher Education Services Corporation, New York	1,068,031	1,139,840	0	0
State University of New York	508,679	510,446	0	1,000
<b>FUNCTIONAL TOTAL</b>	<b>26,490,118</b>	<b>29,524,538</b>	<b>72,773</b>	<b>88,864</b>
<b>GENERAL GOVERNMENT</b>				
Budget, Division of the	0	0	24,422	29,778
Civil Service, Department of	0	0	12,451	14,533
Deferred Compensation	0	0	57	111
Elections, State Board of	0	2,000	8,482	8,482
Employee Relations, Office of	0	0	2,581	7,863
Gaming	0	0	6,771	7,946
General Services, Office of	0	0	161,697	169,502
Inspector General, Office of the	0	0	7,367	6,944
Labor Management Committee	0	0	25,000	103,956
Prevention of Domestic Violence, Office for	785	2,260	1,591	1,728
Public Employment Relations Board	0	0	3,529	3,600
Public Integrity, Commission on	0	0	5,531	5,582
State, Department of	11,738	28,250	13,189	14,577
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	926	255,067	262,174
Information Technology, Office of	0	0	532,574	567,791
Veterans' Affairs, Division of	9,252	17,599	6,171	6,759
Welfare Inspector General, Office of	0	0	672	1,162
<b>FUNCTIONAL TOTAL</b>	<b>22,701</b>	<b>51,035</b>	<b>1,070,192</b>	<b>1,215,528</b>
<b>ELECTED OFFICIALS</b>				
Audit and Control, Department of	32,024	32,025	130,730	130,697
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	102,838	102,823
Judiciary	2,400	17,446	1,914,100	1,904,423
Legislature	0	0	217,845	414,509
Lieutenant Governor, Office of the	0	0	614	630
<b>FUNCTIONAL TOTAL</b>	<b>34,424</b>	<b>49,471</b>	<b>2,379,705</b>	<b>2,570,935</b>
<b>LOCAL GOVERNMENTS/SALES TAX ASSET</b>				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	753,951	909,148	0	2,500
<b>FUNCTIONAL TOTAL</b>	<b>923,951</b>	<b>1,079,148</b>	<b>0</b>	<b>2,500</b>

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for School Aid and Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur outside of DOH.



**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Revenues:</b>			
Taxes:			
Personal Income Tax	33,264	(182)	33,082
Consumption/Use Taxes	6,805	(4)	6,801
Business Taxes	5,991	(215)	5,776
Other Taxes	986	58	1,044
Miscellaneous Receipts	5,339	187	5,526
Federal Receipts	0	0	0
<b>Total Receipts</b>	<u>52,385</u>	<u>(156)</u>	<u>52,229</u>
<b>Expenditures:</b>			
Local Assistance Grants	46,341	96	46,437
Departmental Operations	12,380	72	12,452
General State Charges	6,224	(95)	6,129
Debt Service	0	0	0
Capital Projects	2	(2)	0
<b>Total Disbursements</b>	<u>64,947</u>	<u>71</u>	<u>65,018</u>
<b>Other Financing Sources (Uses):</b>			
Transfers From Other Funds	18,757	222	18,979
Transfers To Other Funds	(8,643)	(1,073)	(9,716)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>10,114</u>	<u>(851)</u>	<u>9,263</u>
<b>Operating Surplus/(Deficit)</b>	<u>(2,448)</u>	<u>(1,078)</u>	<u>(3,526)</u>
<b>Accumulated Surplus/(Deficit)*</b>	<u>258</u>	<u>2,615</u>	<u>2,873</u>

\*Changes to the projected Accumulated Surplus/(Deficit) in FY 2017 reflect adjustments to the timing of transfers related to extraordinary monetary settlement receipts. These receipts are now scheduled to be transferred over a multi-year period through FY 2021.

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<u>FY 2017 Enacted</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>Revenues:</b>				
Taxes:				
Personal Income Tax	33,082	35,753	34,996	37,546
Consumption/Use Taxes	6,801	7,141	7,427	7,696
Business Taxes	5,776	5,988	6,155	6,413
Other Taxes	1,044	970	933	983
Miscellaneous Receipts	5,526	5,015	4,992	4,488
Federal Receipts	0	0	0	0
<b>Total Receipts</b>	<u>52,229</u>	<u>54,867</u>	<u>54,503</u>	<u>57,126</u>
<b>Expenditures:</b>				
Local Assistance Grants	46,437	49,908	52,655	55,345
Departmental Operations	12,452	12,706	12,648	12,718
General State Charges	6,129	7,876	8,188	8,611
Debt Service	0	0	0	0
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<u>65,018</u>	<u>70,490</u>	<u>73,491</u>	<u>76,674</u>
<b>Other Financing Sources (Uses):</b>				
Transfers From Other Funds	18,979	19,429	19,148	19,455
Transfers To Other Funds	(9,716)	(5,676)	(6,089)	(6,128)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>9,263</u>	<u>13,753</u>	<u>13,059</u>	<u>13,327</u>
<b>Operating Surplus/(Deficit)*</b>	<u>(3,526)</u>	<u>(1,870)</u>	<u>(5,929)</u>	<u>(6,221)</u>

\*FY 2018 through FY 2020 operating deficits do not reflect the impact of the State's adherence to the two percent spending benchmark, which would reduce expenditures from current forecasted levels.

**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2017  
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
<b>Revenues:</b>					
Taxes	46,703	8,214	1,295	19,866	76,078
Public Health/Patient Fees	0	4,660	0	449	5,109
Miscellaneous Receipts	5,526	1,497	(3,149)	6	3,880
Federal Receipts	0	54,887	4,878	73	59,838
<b>Total Receipts</b>	<b>52,229</b>	<b>69,258</b>	<b>3,024</b>	<b>20,394</b>	<b>144,905</b>
<b>Expenditures:</b>					
Local Assistance Grants	46,437	68,194	4,292	0	118,923
Departmental Operations	12,452	2,008	0	39	14,499
General State Charges	6,129	443	0	0	6,572
Debt Service	0	0	0	4,171	4,171
Capital Projects	0	3	7,810	0	7,813
<b>Total Disbursements</b>	<b>65,018</b>	<b>70,648</b>	<b>12,102</b>	<b>4,210</b>	<b>151,978</b>
<b>Other Financing Sources (Uses):</b>					
Transfers From Other Funds	18,979	3,659	4,418	3,262	30,318
Transfers To Other Funds	(9,716)	(2,663)	(1,456)	(19,357)	(33,192)
Proceeds Of General Obligation Bonds	0	0	609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,373	0	5,373
<b>Net Other Financing Sources (Uses)</b>	<b>9,263</b>	<b>996</b>	<b>8,944</b>	<b>(16,095)</b>	<b>3,108</b>
<b>Operating Surplus/(Deficit)</b>	<b>(3,526)</b>	<b>(394)</b>	<b>(134)</b>	<b>89</b>	<b>(3,965)</b>

**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2017**  
(millions of dollars)

	Major Funds					Eliminations	Total
	General Fund	Special Revenue	General Debt Service	Governmental Funds	Other Funds		
<b>Revenues:</b>							
Taxes:							
Personal Income Tax	33,082	0	12,366		3,228	0	48,676
Consumption/Use Taxes	6,801	0	3,241		5,813	0	15,855
Business Taxes	5,776	0	0		2,246	0	8,022
Other Taxes	1,044	0	0		2,481	0	3,525
Public Health/Patient Fees	0	0	0		5,109	0	5,109
Miscellaneous Receipts	5,526	216	0		(1,862)	0	3,880
Federal Receipts	0	54,886	73		4,879	0	59,838
<b>Total Receipts</b>	<b>52,229</b>	<b>55,102</b>	<b>15,680</b>		<b>21,894</b>	<b>0</b>	<b>144,905</b>
<b>Expenditures:</b>							
Local Assistance Grants	46,437	51,189	0		21,297	0	118,923
Departmental Operations	12,452	1,624	30		393	0	14,499
General State Charges	6,129	325	0		118	0	6,572
Debt Service	0	0	3,561		610	0	4,171
Capital Projects	0	0	0		7,813	0	7,813
<b>Total Disbursements</b>	<b>65,018</b>	<b>53,138</b>	<b>3,591</b>		<b>30,231</b>	<b>0</b>	<b>151,978</b>
<b>Other Financing Sources (Uses):</b>							
Transfers From Other Funds	18,979	0	2,488		8,851	(21,652)	8,666
Transfers To Other Funds	(9,716)	(2,057)	(14,577)		(6,842)	21,652	(11,540)
Proceeds Of General Obligation Bonds	0	0	0		609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0		5,373	0	5,373
<b>Net Other Financing Sources (Uses)</b>	<b>9,263</b>	<b>(2,057)</b>	<b>(12,089)</b>		<b>7,991</b>	<b>0</b>	<b>3,108</b>
<b>Operating Surplus/(Deficit)</b>	<b>(3,526)</b>	<b>(93)</b>	<b>0</b>		<b>(346)</b>	<b>0</b>	<b>(3,965)</b>

GAAP COMBINING STATEMENT  
GENERAL FUND  
FY 2017  
(millions of dollars)

	001	003	007	166	013	008	323	325	326	331	334
<b>Revenues:</b>											
Personal Income Tax	0	33,082	0	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	6,801	0	0	0	0	0	0	0	0	0
Business Taxes	0	5,776	0	0	0	0	0	0	0	0	0
Other Taxes	0	1,044	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	2,732	0	863	0	0	450	18	39	14	94
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>0</b>	<b>49,435</b>	<b>0</b>	<b>863</b>	<b>0</b>	<b>0</b>	<b>450</b>	<b>18</b>	<b>39</b>	<b>14</b>	<b>94</b>
<b>Expenditures:</b>											
Local Assistance Grants	44,566	0	10	0	0	0	0	0	0	0	9
Departmental Operations	0	8,606	0	48	0	0	413	17	39	13	126
General State Charges	0	3,622	0	815	0	0	24	2	0	2	12
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>44,566</b>	<b>12,228</b>	<b>10</b>	<b>863</b>	<b>0</b>	<b>0</b>	<b>437</b>	<b>19</b>	<b>39</b>	<b>15</b>	<b>147</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	0	18,244	0	0	0	0	22	0	0	0	55
Transfers To Other Funds	(3,088)	(11,052)	0	0	0	0	(31)	0	0	(1)	(2)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>(3,088)</b>	<b>7,192</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(9)</b>	<b>0</b>	<b>0</b>	<b>(1)</b>	<b>53</b>
<b>Operating Surplus/(Deficit)</b>	<b>(47,654)</b>	<b>44,599</b>	<b>(10)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>(1)</b>	<b>0</b>	<b>(2)</b>	<b>0</b>
<b>Revenues:</b>											
Personal Income Tax	0	0	0	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,827	2	2	1	2	2	19	18	49	0	(606)
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>1,827</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>19</b>	<b>18</b>	<b>49</b>	<b>0</b>	<b>52,229</b>
<b>Expenditures:</b>											
Local Assistance Grants	1,852	0	0	0	0	0	0	0	0	0	46,437
Departmental Operations	3,705	2	2	1	2	1	19	13	51	0	12,452
General State Charges	1,628	1	0	0	0	1	4	7	11	0	6,129
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>7,185</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>23</b>	<b>20</b>	<b>62</b>	<b>0</b>	<b>65,018</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	5,812	0	0	0	0	0	0	8	10	0	18,979
Transfers To Other Funds	(710)	0	0	0	0	0	0	(4)	0	0	(9,176)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>5,102</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>10</b>	<b>0</b>	<b>9,263</b>
<b>Operating Surplus/(Deficit)</b>	<b>(256)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(4)</b>	<b>2</b>	<b>(3)</b>	<b>0</b>	<b>(3,526)</b>

**CASH TO GAAP CONVERSION TABLE**  
**GENERAL FUND**  
**FY 2017**  
(millions of dollars)

	Perspective		Entity		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Difference		Difference							
	Cash Financial Plan	Special Revenue Funds	Other Funds							
<b>Revenues:</b>										
Taxes:										
Personal Income Tax	33,870	0	0	0	33,870	(788)	0	0	0	33,082
Consumption/Use Taxes	7,087	0	0	0	7,087	(286)	0	0	0	6,801
Business Taxes	5,750	0	0	0	5,750	26	0	0	0	5,776
Other Taxes	1,045	0	0	0	1,045	(1)	0	0	0	1,044
Miscellaneous Receipts	2,813	1,827	712	0	5,352	0	718	(606)	62	5,526
Federal Receipts	0	0	0	0	0	0	0	0	0	0
<b>Total Revenues</b>	<b>50,565</b>	<b>1,827</b>	<b>712</b>	<b>0</b>	<b>53,104</b>	<b>(1,049)</b>	<b>718</b>	<b>(606)</b>	<b>62</b>	<b>52,229</b>
<b>Expenditures:</b>										
Local Assistance Grants	45,957	1,852	9	0	47,818	(151)	0	0	(1,230)	46,437
Departmental Operations	8,299	3,981	701	0	12,981	(16)	(40)	(606)	133	12,452
General State Charges	5,425	1,628	66	0	7,119	(187)	758	0	(1,561)	6,129
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Expenditures</b>	<b>59,681</b>	<b>7,461</b>	<b>776</b>	<b>0</b>	<b>67,918</b>	<b>(354)</b>	<b>718</b>	<b>(606)</b>	<b>(2,658)</b>	<b>65,018</b>
<b>Other Financing Sources (Uses):</b>										
Transfers From Other Funds	18,411	6,116	95	0	24,622	0	(5,277)	0	(366)	18,979
Transfers To Other Funds	(12,160)	(441)	(38)	0	(12,639)	0	5,277	0	(2,354)	(9,716)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>6,251</b>	<b>5,675</b>	<b>57</b>	<b>0</b>	<b>11,983</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,720)</b>	<b>9,263</b>
<b>Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses</b>	<b>(2,865)</b>	<b>41</b>	<b>(7)</b>	<b>0</b>	<b>(2,831)</b>	<b>(695)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,526)</b>
<b>(Increase)/Decrease In Reserves</b>	<b>2,865</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,865</b>	<b>(2,865)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Operating Surplus/(Deficit)</b>	<b>0</b>	<b>41</b>	<b>(7)</b>	<b>0</b>	<b>34</b>	<b>(3,560)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,526)</b>

CASH TO GAAP CONVERSION TABLE  
SPECIAL REVENUE FUNDS

FY 2017

	(millions of dollars)										
	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
<b>Revenues:</b>											
Taxes	8,214	0	0	0	0	0	0	0	0	0	8,214
Public Health	0	0	0	0	0	0	4,660	0	0	0	4,660
Miscellaneous Receipts	15,660	(92)	(4,292)	(1,827)	(3,353)	0	(4,660)	0	0	41	1,497
Federal Receipts	49,416	0	0	0	0	5,331	0	0	0	140	54,887
<b>Total Receipts</b>	<b>73,310</b>	<b>(92)</b>	<b>(4,292)</b>	<b>(1,827)</b>	<b>(3,353)</b>	<b>5,331</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>181</b>	<b>69,258</b>
<b>Expenditures:</b>											
Local Assistance Grants	64,736	0	0	(1,852)	(75)	5,331	0	0	0	54	68,194
Departmental Operations	12,078	(86)	(5,616)	(3,981)	(127)	0	(339)	0	0	79	2,008
General State Charges	2,445	0	(376)	(1,627)	(11)	0	0	0	0	12	443
Capital Projects	3	0	0	0	0	0	0	0	0	0	3
<b>Total Disbursements</b>	<b>79,262</b>	<b>(86)</b>	<b>(5,992)</b>	<b>(7,460)</b>	<b>(213)</b>	<b>5,331</b>	<b>0</b>	<b>(339)</b>	<b>0</b>	<b>145</b>	<b>70,648</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	8,287	0	(1,789)	(6,116)	3,246	0	0	0	31	0	3,659
Transfers To Other Funds	(2,850)	0	16	441	0	0	0	(339)	(31)	0	(2,663)
<b>Net Other Financing Sources (Uses)</b>	<b>5,437</b>	<b>0</b>	<b>(1,673)</b>	<b>(5,675)</b>	<b>3,246</b>	<b>0</b>	<b>0</b>	<b>(339)</b>	<b>0</b>	<b>0</b>	<b>996</b>
<b>Operating Surplus/(Deficit)</b>	<b>(515)</b>	<b>(6)</b>	<b>27</b>	<b>(42)</b>	<b>106</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>36</b>	<b>(394)</b>

CASH TO GAAP CONVERSION TABLE  
CAPITAL PROJECTS FUND

FY 2017

	(millions of dollars)										
	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures	
<b>Revenues:</b>											
Taxes	1,295	0	0	0	0	0	0	0	0	1,295	
Miscellaneous Receipts	2,163	0	(120)	(685)	(8)	0	0	(4,570)	71	(3,149)	
Federal Receipts	4,878	0	0	0	0	0	0	0	0	4,878	
<b>Total Receipts</b>	<b>8,336</b>	<b>0</b>	<b>(120)</b>	<b>(685)</b>	<b>(8)</b>	<b>0</b>	<b>0</b>	<b>(4,570)</b>	<b>71</b>	<b>3,024</b>	
<b>Expenditures:</b>											
Local Assistance Grants	4,203	0	0	(4)	0	0	0	0	93	4,292	
Capital Projects	7,717	(55)	(120)	(679)	(8)	0	803	0	152	7,810	
<b>Total Disbursements</b>	<b>11,920</b>	<b>(55)</b>	<b>(120)</b>	<b>(683)</b>	<b>(8)</b>	<b>0</b>	<b>803</b>	<b>0</b>	<b>245</b>	<b>12,102</b>	
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	4,498	(55)	(25)	0	0	0	0	0	0	4,418	
Transfers To Other Funds	(1,457)	0	0	1	0	0	0	0	0	(1,456)	
Proceeds Of GO Bonds	609	0	0	0	0	0	0	0	0	609	
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	803	4,570	0	5,373	
<b>Net Other Financing Sources (Uses)</b>	<b>3,650</b>	<b>(55)</b>	<b>(25)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>803</b>	<b>4,570</b>	<b>0</b>	<b>8,944</b>	
<b>Operating Surplus/(Deficit)</b>	<b>66</b>	<b>0</b>	<b>(25)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(174)</b>	<b>(134)</b>	

CASH TO GAAP CONVERSION TABLE  
DEBT SERVICE FUND

FY 2017

	(millions of dollars)					
	Estimated Cash Disbursements	LGAC	Reclass Patient Fees	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
<b>Revenues:</b>						
Taxes	19,866	0	0	0	0	19,866
Patient Fees	0	0	449	0	0	449
Miscellaneous Receipts	455	0	(449)	0	0	6
Federal Receipts	73	0	0	0	0	73
<b>Total Receipts</b>	<b>20,394</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,394</b>
<b>Expenditures:</b>						
Departmental Operations	39	0	0	0	0	39
Debt Service	5,203	0	0	(1,032)	0	4,171
<b>Total Disbursements</b>	<b>5,242</b>	<b>0</b>	<b>0</b>	<b>(1,032)</b>	<b>0</b>	<b>4,210</b>
<b>Other Financing Sources (Uses):</b>						
Transfers From Other Funds	3,262	0	0	0	0	3,262
Transfers To Other Funds	(8,325)	0	0	(1,032)	0	(9,357)
<b>Net Other Financing Sources (Uses)</b>	<b>(5,063)</b>	<b>0</b>	<b>0</b>	<b>(1,032)</b>	<b>0</b>	<b>(6,095)</b>
<b>Operating Surplus/(Deficit)</b>	<b>89</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>89</b>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
10000-10049	001	Local Assistance Account	General	
10050-10099	003	State Operations Account	General	
10100-10149	004	Tax Stabilization Reserve Account	General	
10150-10199	005	Contingency Reserve Fund	General	
10200-10249	006	Universal Pre-Kindergarten Reserve	General	
10250-10299	007	Community Projects Fund	General	
10300-10349	008	Rainy Day Reserve Fund	General	
10400-10449	017	Refund Reserve Account	General	
10450-10499	100	General Fund	General	
10500-10549	166	Fringe Benefit Escrow Account	General	
10550-10599	348	Tobacco Revenue Guarantee Fund	General	
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue	
20100-20299	020	Combined Expendable Trust Fund	Special Revenue	
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue	
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue	
20400-20449	025	Child Performer's Protection Fund	Special Revenue	
20450-20499	050	Tuition Reimbursement Fund	Special Revenue	
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue	
20550-20599	053	School Tax Relief Fund	Special Revenue	
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue	
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue	
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue	
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue	
20900-20949	160	State Lottery Fund	Special Revenue	
20950-20999	221	Combined Student Loan Fund	Special Revenue	
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue	
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue	
21150-21199	302	Conservation Fund	Special Revenue	
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue	
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue	
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue	
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue	
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue	
21450-21499	314	Clean Air Fund	Special Revenue	
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue	
21550-21599	321	Legislative Computer Services Fund	Special Revenue	
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue	
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue	
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue	
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue	
21850-21899	338	Arts Capital Revolving Fund	Special Revenue	
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue	
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue	
22550-22599	341	Employment Training Fund	Special Revenue	
22600-22649	342	Homeless Housing and Assistance Fund	Special Revenue	
22650-22699	345	State University Income Fund	Special Revenue	
22700-22749	346	Chemical Dependence Service Fund	Special Revenue	
22750-22799	349	Lake George Park Trust Fund	Special Revenue	
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue	
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue	
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue	
22950-22999	360	Housing Development Fund	Special Revenue	
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue	
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue	
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue	
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue	
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue	
23250-23449	377	IFR/City University Tuition Fund	Special Revenue	
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue	
23550-23599	390	Indigent Legal Services Fund	Special Revenue	



STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue	
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue	
23700-23750		New York State Commercial Gaming Fund	Special Revenue	
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue	
25100-25199	265	Federal Health and Human Services Fund	Special Revenue	
25200-25249	267	Federal Education Fund	Special Revenue	
25250-25299	269	Federal Block Grants Fund	Special Revenue	
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue	
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue	
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue	
26000-26049	486	Federal Emergency Employment Act Fund	Special Revenue	
30000-30049	002	State Capital Projects Fund	Capital Projects	
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects	
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects	
30300-30349	075	NYS Canal System Development Fund	Capital Projects	
30350-30399	076	State Park Infrastructure Fund	Capital Projects	
30400-30449	077	Passenger Facility Charge Fund	Capital Projects	
30450-30499	078	Environmental Protection Fund	Capital Projects	
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects	
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects	
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects	
30620-30629	105	Pure Waters Bond Fund	Capital Projects	
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects	
30640-30649	115	Environmental Quality Protection Fund	Capital Projects	
	118	Rail Preservation and Development Bond Fund	Capital Projects	
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects	
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects	
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects	
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects	
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects	
30700-30749	119	State Housing Bond Fund	Capital Projects	
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects	
31350-31449	291	Federal Capital Projects Fund	Capital Projects	
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects	
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects	
31650-31699	327	Suburban Transportation Fund	Capital Projects	
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects	
31800-31849	374	Housing Assistance Fund	Capital Projects	
31850-31899	376	Housing Program Fund	Capital Projects	
31900-31949	378	Natural Resource Damages Fund	Capital Projects	
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects	
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects	
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects	
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects	
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects	
32400-32999	384	State University Capital Projects Fund	Capital Projects	
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects	
40000-40049	064	Debt Reduction Reserve Fund	Debt Service	
40100-40149	304	Mental Health Services Fund	Debt Service	
40150-40199	311	General Debt Service Fund	Debt Service	
40250-40299	316	Housing Debt Fund	Debt Service	
40300-40349	319	Department of Health Income Fund	Debt Service	
40350-40399	330	State University Dormitory Income Fund	Debt Service	
40400-40449	361	Clean Water/Clean Air Fund	Debt Service	
40450-40499	364	Local Government Assistance Tax Fund	Debt Service	
50000-50049	324	Youth Commissary Account	Enterprise	
50050-50099	325	State Exposition Special Account	Enterprise	
50100-50299	326	Correctional Services Commissary Account	Enterprise	
50300-50399	331	Agencies Enterprise Fund	Enterprise	
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise	
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise	

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

# STATE OF NEW YORK FUND STRUCTURE

