



FY 2016

Enacted Budget Financial Plan

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Introduction

Introduction

The Enacted Budget Financial Plan for Fiscal Year (FY) 2016 (the “Enacted Budget Financial Plan” or “Current Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FY 2016 through FY 2019. The State’s FY 2016 began on April 1, 2015 and ends on March 31, 2016.

The State Budget Process

The requirements of the State budget process are set forth in Article VII of the State Constitution, the State Finance Law, and the Legislative Law. The annual budget process begins with the Governor’s submission of the Executive Budget to the Legislature each January, in preparation for the start of the upcoming fiscal year on April 1. (The submission can be as late as February 1 in years following a gubernatorial election.) The Executive Budget consists of bills that: (a) set forth all proposed appropriations and reappropriations, (b) provide for any new or modified revenue measures, and (c) make any other changes to existing law necessary to implement the Executive Budget recommended by the Governor. The General Fund must be balanced on a cash basis, as described below. The Division of the Budget (DOB) prepares a multi-year Financial Plan (“State Financial Plan”) as part of the Executive Budget. The State Financial Plan sets forth projected receipts and disbursements for the current fiscal year, the “budget” year (i.e., the upcoming fiscal year), and the three subsequent fiscal years (the “outyears”).

In acting on the bills submitted by the Governor, the Legislature has certain powers to alter the recommended appropriations and proposed changes to existing law. The Legislature may strike or reduce an item of appropriation submitted by the Governor. The Legislature may add distinct new items of appropriation, provided such additions are stated separately. These additional items are then subject to line-item veto by the Governor. If the Governor vetoes an appropriation separately added by the Legislature or a bill (or a portion thereof) related to the Budget, these separately added items of appropriation or the bill can be reconsidered in accordance with the rules of each house of the Legislature. If, upon reconsideration, the items are approved by two-thirds of the members of each house, such items will become law notwithstanding the Governor’s veto.

Once the appropriation bills and other budget bills become law, DOB revises the State Financial Plan to reflect the Legislature’s actions, and begins the process of implementing the Enacted Budget. Throughout the fiscal year, DOB monitors actual receipts and disbursements, and may adjust the estimates and projections in the State Financial Plan. Adjustments may also be made to the State Financial Plan to reflect changes in the economic outlook, updated data on program activities, new actions taken by the Governor or the Legislature, and other factors. State Finance Law requires DOB to update the State Financial Plan quarterly.

Once the Budget is adopted, the Legislature may enact one multi-purpose appropriation bill and additional single-purpose appropriation bills or revenue measures (including tax law changes) during any regular session or, if called into session for that purpose, any special session. In the event additional appropriation bills or revenue measures are disapproved by the Governor, the

Legislature may override the Governor's veto upon the vote of two-thirds of the members of each house of the Legislature. The Governor may present deficiency appropriations to the Legislature in any fiscal year to supplement existing appropriations or provide new appropriations for purposes not considered by the regular and supplemental appropriations.

Budget Projections

The State Financial Plan projections for future years have often shown budget gaps in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the expected level of resources to pay for these disbursements. Any General Fund gap estimates are based on a number of assumptions and projections developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which recipients will enroll in a new program). In addition, the Financial Plan assumes that money appropriated in one fiscal year is expected to continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are made (or, in the case of two-year appropriations, reviewed) annually, taking into account the current and projected fiscal position of the State. The Financial Plan projections for FY 2017 and thereafter, as set forth in this Enacted Budget Financial Plan, reflect the savings that DOB estimates would occur if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. However, total disbursements in Financial Plan tables and discussion do not reflect these savings. The estimated savings are labeled in the Financial Plan tables as "Adherence to 2 Percent State Operating Funds Spending Benchmark" (or simply "Adherence to 2 Percent Spending Benchmark"). If the 2 percent State Operating Funds spending benchmark is not adhered to, budget gaps may result.

Budgetary and Accounting Practices

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the Mental Hygiene Program and Patient Income Accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State's budgetary and gap-closing discussion is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," are not held in distinct accounts within the General Fund, and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Projects Funds and Federal funds is excluded). As more financial activity occurred in funds outside of the General Fund, State Operating Funds became, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (i.e., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State special revenue funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursements projections often emphasizes the State Operating Funds perspective.

The State also reports disbursements and receipts activity for **All Governmental Funds** ("All Funds"), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a *pro forma* Generally Accepted Accounting Principles (GAAP) financial plan for informational purposes. The GAAP-basis financial plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements. However, GAAP is a financial reporting regime, not a budgeting system.

Risks and Uncertainties

The factors affecting the State's financial condition are complex. This Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time such forecasts were prepared, and contains statements relating to future results and economic performance that are "forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations and are necessarily dependent upon assumptions, estimates, and data that the State believes are reasonable as of the date which the expectations are made but that may be incorrect, incomplete or imprecise, or not reflective of actual results. Forecasts, projections and estimates are not intended as representations of fact or guarantees of results. The words "expects," "forecasts," "projects," "intends," "anticipates," "estimates," and analogous expressions are intended to identify forward-looking statements in the Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid including Federal aid authorized or appropriated by Congress, but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Enacted Budget Financial Plan.

Financial Plan Overview

The following table provides certain Financial Plan information for FY 2015 and FY 2016.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2015		FY 2016	
	Revised¹	Results	Executive Amended²	Enacted
State Operating Funds Disbursements				
Size of Budget	\$92,376	\$92,426	\$93,988	\$94,250
Annual Growth	1.9%	2.0%	1.7%	2.0%
Other Disbursement Measures				
General Fund (Excluding Transfers)	\$54,835 5.2%	\$54,255 4.0%	\$57,361 5.7%	\$57,814 6.6%
General Fund (Including Transfers) ³	\$63,181 3.2%	\$62,856 2.6%	\$70,629 12.4%	\$72,090 14.7%
State Funds (Including Capital)	\$98,667 2.4%	\$98,148 1.9%	\$102,554 4.5%	\$103,127 5.1%
Capital Budget (Federal and State)*	\$7,995 3.1%	\$7,548 -2.6%	\$9,374 24.2%	\$9,212 22.0%
Federal Operating Aid (Excluding Extraordinary Aid) *	\$37,342 -0.4%	\$38,669 3.1%	\$38,271 -1.0%	\$39,385 1.9%
All Funds (Excluding Extraordinary Aid) *	\$137,713 1.4%	\$138,643 2.0%	\$141,633 2.2%	\$142,847 3.0%
Capital Budget (Including "Off-Budget") *	\$8,906 -2.2%	\$8,287 -9.0%	\$10,387 25.3%	\$10,101 21.9%
All Funds (Including "Off-Budget" Capital) *	\$138,624 1.0%	\$139,382 1.6%	\$142,646 2.3%	\$143,736 3.1%
Inflation (CPI)	1.4%	1.2%	0.9%	0.5%
All Funds Receipts				
Taxes	\$70,512 1.2%	\$71,034 1.9%	\$74,450 4.8%	\$74,562 5.0%
Miscellaneous Receipts	\$30,426 25.6%	\$29,438 21.5%	\$25,075 -14.8%	\$25,410 -13.7%
Federal Grants *	\$41,746 -0.9%	\$43,388 3.0%	\$41,940 -3.3%	\$43,194 -0.4%
Total Receipts *	\$142,684 4.9%	\$143,860 5.7%	\$141,465 -1.7%	\$143,166 -0.5%
General Fund Reserves	\$7,768	\$7,300	\$3,229	\$3,495
Stabilization/Rainy Day Reserve Funds	\$1,796	\$1,798	\$1,796	\$1,798
All Other Reserves/Fund Balances ⁴	\$5,972	\$5,502	\$1,433	\$1,697
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	118,379	117,807	119,235	119,349
Debt				
Debt Service as % All Funds Receipts	4.3%	4.5%	4.1%	3.7%
State-Related Debt Outstanding	\$54,896	\$54,192	\$56,915	\$55,319
Debt Outstanding as % Personal Income	4.9%	4.9%	4.9%	4.8%

¹ Updated as part of the FY 2016 Executive Budget, as amended.

² The annual percentage change calculations in the FY 2016 "Executive Amended" column have been updated for FY 2015 year-end results.

³ Reflects the extraordinary transfer of monetary settlement money from the General Fund to (a) the new Dedicated Infrastructure Investment Fund (\$4.55 billion) and (b) the mental hygiene account to fund a portion of a Federal disallowance for OPWDD (\$850 million).

⁴ Change in reserves in FY 2016 reflects the one-time extraordinary transfers described in the prior note.

* All Funds, Federal Operating Funds and Capital Projects Funds receipts and disbursements exclude (a) Federal disaster aid for Superstorm Sandy, (b) additional Federal aid associated with Federal health care reform, and (c) capital spending from the windfall from monetary settlements with financial institutions. Including disbursements for these purposes, All Funds disbursements are expected to total \$152.2 billion in FY 2016, an increase of 5.7 percent.

Executive Summary

- The State ended FY 2015 in balance on a cash basis in the General Fund. Aside from variances due to the timing of monetary settlements, General Fund receipts and disbursements in FY 2015 were close to planned levels. The State made the maximum allowable deposit to its rainy day reserves at the close of the year.
- The FY 2016 Enacted Budget Financial Plan adopted by the Legislature provides for balanced operations on a cash basis in the General Fund, as required by law. The Enacted Budget reflects savings from the continuation of spending controls and cost containment measures put in place in prior years. Funding for agency operations is generally expected to remain at current levels across the multi-year Financial Plan. Rainy day and debt management reserves are expected to remain intact.
- DOB expects relatively modest economic growth in FY 2016, consistent with recent data. Total All Funds tax receipts in FY 2016 are expected to grow by 5 percent from FY 2015. DOB believes the estimates, which anticipate only modest growth in the financial services sector, remain conservative for FY 2016 and beyond, reflecting volatile economic conditions.
- During negotiations, the Executive and Legislature agreed to \$625 million in spending restorations and additions to the Executive Budget proposal for FY 2016. They also agreed to certain tax law changes and restorations that increased costs by \$33 million for FY 2016. In addition, the Legislature did not approve proposals that would have converted the STAR tax exemption benefit to a PIT credit for new homeowner applications (\$152 million). It also did not accept several proposed tax reductions, which has the effect of increasing projected receipts for FY 2017 and beyond compared to the Executive Budget Financial Plan.
- DOB has identified new resources to fully fund the restorations, additions, tax and law changes that resulted from budget negotiations. These resources include savings from the payment in FY 2015 of costs due in FY 2016 (above the level planned in the FY 2016 Executive Budget); revisions to estimated receipts and disbursements based on a review of FY 2015 results; and management of debt and capital resources.
- In March, the State and Federal government resolved disputed rates for services that had been provided to individuals with developmental disabilities prior to March 31, 2013 (“Resolution of Federal OPWDD Disallowance”). Under the terms of the agreement, the State will pay the Federal government a total of \$1.95 billion over eleven years, including \$850 million in FY 2016. The payment in FY 2016 will be funded with resources from monetary settlements set aside for this purpose.

- DOB estimates that State Operating Funds spending will increase by 2 percent in FY 2016, consistent with the State's spending benchmark adopted in FY 2012. The spending benchmark is calculated using the cash basis of accounting, as described elsewhere in this Financial Plan. It is therefore affected by the prepayment of expenses across fiscal years.
- The Financial Plan projections for FY 2017 and thereafter set forth in this Enacted Budget Financial Plan are based on an assumption that the Governor will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. Specifically, the General Fund surpluses identified in FY 2017, FY 2018, and FY 2019 are calculated based on this assumption. DOB expects that specific proposals to limit spending growth to 2 percent will be included in the Governor's annual Executive Budgets. Many proposals are expected to require the approval of the Legislature.
- The legislative session is scheduled to run through late June. The Governor is seeking approval of several initiatives, including raising the age of juvenile jurisdiction, addressing the problem of sexual assault on college campuses, raising the cap on the number of charter schools in the State, and authorizing an education tax credit and the DREAM Act. Costs from legislation enacted through the end of the legislative session are expected to be reflected in the First Quarterly Update to the Financial Plan.
- The Department of Financial Services (DFS), Department of Law, and the Manhattan District Attorney's Office have reached financial settlements with a number of banks for violations of New York banking laws, and with a number of insurance companies for violations of New York insurance laws. The State has received a total of \$7 billion from monetary settlements in FY 2015 and FY 2016 including two settlements, valued at approximately \$1.3 billion, that were not part of budget negotiations. The Enacted Budget for FY 2016 earmarks \$5.4 billion for one-time purposes, including \$4.6 billion for capital projects to support economic development and infrastructure investments in New York's economy and \$850 million for a Federal disallowance settlement. In addition, the General Fund included \$275 million in FY 2015 and \$250 million in FY 2016 for operating purposes.

The table below illustrates the major sources of annual change in State spending by major program, purpose, and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2015	FY 2016	Annual Change	
	Results	Enacted	\$	%
LOCAL ASSISTANCE	61,052	63,305	2,253	3.7%
School Aid (State Fiscal Year Basis) ¹	21,630	23,378	1,748	8.1%
DOH Medicaid ²	16,790	17,414	624	3.7%
Transportation	4,834	4,862	28	0.6%
Mental Hygiene	2,923	2,718	(205)	-7.0%
STAR	3,297	3,382	85	2.6%
Social Services	2,837	2,976	139	4.9%
Higher Education	3,092	2,991	(101)	-3.3%
Public Health/Aging	1,841	1,792	(49)	-2.7%
Special/Other Education	2,081	2,200	119	5.7%
Local Government Assistance	765	784	19	2.5%
All Other ³	962	808	(154)	-16.0%
STATE OPERATIONS/FRINGE BENEFITS	25,190	25,822	632	2.5%
State Operations	18,157	18,488	331	1.8%
Personal Service:	<u>12,550</u>	<u>12,868</u>	<u>318</u>	<u>2.5%</u>
Executive Agencies	7,137	7,183	46	0.6%
Extra Bi-Weekly Institutional Pay Period	0	167	167	n/a
University Systems	3,551	3,621	70	2.0%
Elected Officials	1,862	1,897	35	1.9%
Non-Personal Service:	<u>5,607</u>	<u>5,620</u>	<u>13</u>	<u>0.2%</u>
Executive Agencies	2,744	2,847	103	3.8%
University Systems	2,303	2,183	(120)	-5.2%
Elected Officials	560	590	30	5.4%
Fringe Benefits/Fixed Costs	7,033	7,334	301	4.3%
Pension Contribution	2,130	2,219	89	4.2%
Health Insurance	3,319	3,451	132	4.0%
Other Fringe Benefits/Fixed Costs	1,584	1,664	80	5.1%
DEBT SERVICE	6,183	5,122	(1,061)	-17.2%
CAPITAL PROJECTS	1	1	0	0.0%
TOTAL STATE OPERATING FUNDS	92,426	94,250	1,824	2.0%
Capital Projects (State and Federal Funds)⁴	7,548	9,212	1,664	22.0%
Federal Operating Aid⁴	38,669	39,385	716	1.9%
TOTAL ALL GOVERNMENTAL FUNDS⁴	138,643	142,847	4,204	3.0%

¹ School Aid growth on a school year basis is \$1.4 billion or 6.1 percent.
² Includes Basic Health Plan.
³ "All Other" comprises a number of other program areas, including parks, environment, economic development, and public safety.
⁴ Capital Projects, Federal Operating Funds, and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy, and capital spending from the monetary settlements. Including disbursements for these purposes, All Funds disbursements are expected to total \$152.2 billion in FY 2016, an increase of 5.7 percent.



General Fund Financial Plan

FY 2015 Year-End Summary

In FY 2015, the State received a windfall from monetary settlements with financial institutions. The windfall had a dramatic effect on the State's cash position. When the budget for FY 2015 was enacted, DOB estimated the State would end the fiscal year with a General Fund cash balance of approximately \$2.1 billion. Largely as a result of the monetary settlements, the General Fund ended the year with a balance of \$7.3 billion, approximately \$5.2 billion above the initial estimate. The sources and uses of actual and expected monetary settlements are described more fully later in this Financial Plan. Unless otherwise noted, the discussion of operating results for FY 2015 excludes the impact of the monetary settlements.

The following table summarizes the variance between the Executive Budget Financial Plan (dated February 2015) and actual results, with and without the impact of monetary settlements.

FY 2015 GENERAL FUND OPERATING RESULTS	
SUMMARY OF CHANGES FROM EXECUTIVE BUDGET	
(millions of dollars)	
Receipts Changes	(60)
Tax Receipts (After Debt Service)	210
Miscellaneous Receipts/Other Non-Tax Revenue	(270)
Spending Changes	(325)
Local Assistance	(394)
Agency Operations (incl. GSCs)	(186)
Transfers to Other Funds	255
OPERATING RESULTS (Excluding Monetary Settlements)	265
Timing of Monetary Settlements	(733)
BNP Paribas (Received in FY 2016)	(1,343)
Commerzbank (New)	610
NET CHANGE IN FUND BALANCE	(468)

General Fund tax receipts, including the transfer of tax receipts to the General Fund after payment of debt service, totaled \$58.6 billion, \$210 million higher than projected in the Executive Budget. This was due to stronger gross bank tax and corporate franchise tax receipts, and lower PIT-related debt service transfers. Miscellaneous receipts and non-tax transfers, excluding the amounts related to monetary settlements, totaled \$4.6 billion, or \$270 million lower than expected. Licenses, fee revenues, and transfers from other funds fell below planned levels.

General Fund disbursements, including transfers to other funds, totaled \$62.9 billion, a decrease of \$325 million from the Executive Budget estimate. Spending was lower than planned across a range of programs and activities for local assistance (\$394 million), agency operations (\$186 million), and transfers to support mental hygiene costs and various other programs (\$127 million). This lower spending was partly offset by higher transfers for capital projects (\$376 million), due to the timing of bond reimbursements for first-instance capital spending from the General Fund.

As shown in the table above, General Fund operating results, excluding the impact of monetary settlements, were \$265 million better than expected, compared to the Executive Budget estimate. The entire amount is expected to be used in FY 2016, with approximately \$175 million to cover costs that were originally budgeted in FY 2015 but are now expected to occur in FY 2016, and the remaining \$90 million to fund new costs.

The Executive Budget Financial Plan anticipated a deposit of approximately \$315 million to the State's rainy day reserves at the close of FY 2015, the maximum amount allowable under the deposit calculations set forth in law. The deposit was made as planned, bringing the balance in the rainy day reserves to \$1.8 billion, or 2.9 percent of FY 2015 General Fund spending.

Monetary settlement receipts were \$733 million lower than expected due to the delayed receipt of \$1.3 billion in settlement payments from BNP Paribas, partly offset by the receipt of \$610 million from Commerzbank related to violations of international sanctions.

The State ended FY 2015 with a General Fund balance of \$7.3 billion, which was \$468 million below the estimate in the Executive Budget Financial Plan. This is comprised of the net change in monetary settlement payments (\$733 million), partly offset by available resources due to operating variances (\$265 million).

The \$7.3 billion year-end balance includes \$4.7 billion from monetary settlement payments that will be used to fund one-time initiatives; \$1.8 billion in the State's rainy day funds; \$500 million set aside for future debt reduction; \$74 million in the Community Projects Fund to be used to finance grants allocated by the Legislature; \$21 million in the Contingency Reserve Fund; \$50 million set aside to cover the costs of potential retroactive labor settlements; and \$190 million in excess resources that will be used in FY 2016 for operations, including certain transactions that did not occur in FY 2015 as expected.

FY 2016 Financial Plan

DOB estimates that the FY 2016 Enacted Budget provides for balanced operations in the General Fund, as required by law. The following table summarizes the projected annual changes from FY 2015 to FY 2016 in General Fund receipts, disbursements, and fund balances.

GENERAL FUND FINANCIAL PLAN				
(millions of dollars)				
	FY 2015 Results	FY 2016 Enacted	Annual Change	
			Dollar	Percent
Opening Fund Balance	2,235	7,300	5,065	226.6%
Taxes (After Debt Service)	58,644	62,622	3,978	6.8%
Miscellaneous Receipts/Federal Grants	8,412	4,365	(4,047)	-48.1%
Other Transfers	865	1,298	433	50.1%
Total Receipts	67,921	68,285	364	0.5%
Local Assistance Grants	41,592	44,356	2,764	6.6%
Departmental Operations:	7,664	8,263	599	7.8%
Personal Service	5,806	6,079	273	4.7%
Non-Personal Service	1,858	2,184	326	17.5%
General State Charges	4,999	5,195	196	3.9%
Transfers to Other Funds ¹	8,601	14,276	5,675	66.0%
Total Disbursements	62,856	72,090	9,234	14.7%
Excess (Deficiency) of Receipts Over Disbursements	5,065	(3,805)	(8,870)	-175.1%
Closing Fund Balance	7,300	3,495	(3,805)	-52.1%
Statutory Reserves:				
"Rainy Day" Reserve Funds	1,798	1,798	0	
Community Projects Fund	74	74	0	
Contingency Reserve Fund	21	21	0	
Fund Balance Reserved for:				
Debt Management	500	500	0	
Prior-Term Labor Agreements	50	60	10	
Undesignated Reserve	190	0	(190)	
Monetary Settlements¹	4,667	1,042	(3,625)	

¹ Includes one-time extraordinary transfer of \$4.55 billion in monetary settlements from the General Fund to the proposed Dedicated Infrastructure Investment Fund, and the transfer of \$850 million to fund the initial payment of a multi-year repayment agreement for prior-year OPWDD-related Federal Medicaid disallowances.

Receipts

General Fund receipts, including transfers from other funds, are expected to total \$68.3 billion in FY 2016, an annual increase of \$364 million (0.5 percent). Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, are expected to total \$62.6 billion in FY 2016, an increase of nearly \$4 billion (6.8 percent).

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to increase by \$4 billion from FY 2015. This primarily reflects increases in withholding payments attributable to the 2015 tax year and extension payments attributable to the 2014 tax year.

General Fund user taxes and fee receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$12.6 billion in FY 2016, an increase of \$360 million (2.9 percent) from FY 2015, reflecting projected consumer spending increases across a broad range of consumption categories, offset by declines in cigarette consumption.

General Fund business tax receipts are estimated at \$5.9 billion in FY 2016, a decrease of \$368 million (-5.9 percent) from FY 2015 results. The estimate reflects a decline in corporate franchise tax receipts resulting from the first year of corporate tax reform, partly offset by growth in the corporation and utilities, and insurance taxes.

Other tax receipts in the General Fund are expected to total nearly \$2 billion in FY 2016, a decrease of \$9 million (-0.5 percent) from FY 2015. The estimate reflects a decline in expected estate tax receipts, the result of 2014 legislation that reduces the estate tax, partially offset by an increase in real estate transfer tax receipts.

General Fund miscellaneous receipts are estimated at nearly \$4.4 billion in FY 2016, an annual decrease of over \$4 billion. The decrease largely reflects the large amount of monetary settlements received in FY 2015, and the multi-year release of State Insurance Fund (SIF) reserves as a result of Workers' Compensation reform. The amount of SIF released is expected to decline from \$1 billion in FY 2015 to \$250 million in FY 2016, consistent with the terms of the enacted legislation.

Non-tax transfers to the General Fund are expected to total \$1.3 billion, an increase of \$433 million. As with miscellaneous receipts, the annual change in non-tax transfers is affected, in part, by the monetary settlements. Under State forfeiture laws, approximately \$298 million of the BNP Paribas monetary settlement is expected to be deposited temporarily in a State Special Revenue Fund in FY 2016. DOB expects to transfer approximately \$293 million of these funds to the General Fund by the close of FY 2016, leaving \$5 million to expand the Office of Alcoholism and Substance Abuse services (OASAS) services.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "Multi-Year Financial Plan Projections" herein.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$72.1 billion in FY 2016, an increase of \$9.2 billion (14.7 percent) from FY 2015 spending levels. The increase includes one-time extraordinary transfers of \$4.55 billion in monetary settlements from the General Fund to the proposed Dedicated Infrastructure Investment Fund, and \$850 million to fund the initial payment of a multi-year repayment agreement for the resolution of the Federal OPWDD Disallowance.

Local assistance grants are expected to total \$44.4 billion, an annual increase of \$2.8 billion (6.6 percent), including \$1.7 billion for School Aid and roughly \$550 million for Medicaid. Other local assistance increases include, among other things, payments for a range of social services, public health, and general purpose aid programs, as well as accounting reclassifications that have the effect of moving spending between financial plan categories, mainly for Medicaid payments to State-operated facilities.

State operations disbursements in the General Fund are expected to total \$8.3 billion in FY 2016, an annual increase of \$599 million (7.8 percent). An additional (27th) institutional payroll that occurs in FY 2016 adds \$167 million in one-time costs. Other spending increases include \$145 million for the Department of Health (DOH) to operate the New York State of Health (NYSOH) Exchange, continue transition of administrative functions from local service districts to the State, and operate the new Medicaid BHP; \$48 million for the Department of Corrections and Community Supervision (DOCCS), mainly for inmate medical expenses; and \$36 million for the operations of the Judiciary. In addition, IT services that have been consolidated in the General Fund from non-General Fund accounts results in increased General Fund spending (\$86 million).

General State Charges (GSCs) are expected to total \$5.2 billion in FY 2016, an annual increase of \$196 million (3.9 percent) from FY 2015. Health insurance costs are projected to increase \$132 million or 4 percent. The State's annual pension payment is expected to increase by \$89 million, mainly due to repayment of amounts amortized in prior years. The State expects to continue to amortize pension costs in excess of the amortization thresholds established in law. In FY 2016, costs in excess of 14.5 percent of payroll for the Employees' Retirement System (ERS) and 22.5 percent for the Police and Fire Retirement System (PFRS) are expected to be amortized.

General Fund transfers to other funds are expected to total \$14.3 billion in FY 2016, an increase of \$5.7 billion from FY 2015. The annual change is mainly attributable to the Capital Projects transfer in support of the DIIF (\$4.6 billion). In addition, \$850 million from monetary settlements will be used to pay the FY 2016 cost for resolution of the Federal OPWDD Disallowance. These increases are partly offset by the prepayment in FY 2015 of debt service due in FY 2016.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "Multi-Year Financial Plan Projections" herein.

Closing Balance for FY 2016

DOB projects that the State will end FY 2016 with a General Fund cash balance of \$3.5 billion, a decrease of \$3.8 billion from the FY 2015 closing balance. The decline mainly reflects the planned transfers of monetary settlement funds to the DIIF (\$4.6 billion) and the funding of the OPWDD Federal disallowance (\$850 million), partly offset by unbudgeted monetary settlements. In addition, the reduction in balance includes the use of resources from FY 2015 (\$190 million).

TOTAL DISBURSEMENTS (millions of dollars)			
	FY 2015 Results	FY 2016 Estimate	Annual Change
TOTAL GENERAL FUND BALANCE	7,300	3,495	(3,805)
Statutory Reserves:			
"Rainy Day" Reserve Funds	1,798	1,798	0
Community Projects Fund	74	74	0
Contingency Reserve Fund	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Prior-Term Labor Agreements	50	60	10
Undesignated Reserve	190	0	(190)
Monetary Settlements			
Budgeted Settlements:			
BNP Paribas	0	1,348	
All Other	4,332	4,332	
Planned Use of Settlement Funds	(275)	(5,680)	
Unbudgeted Settlements:			
Commerzbank	610	692	
Deutsche Bank	0	600	
Planned Use of Settlement Funds	0	(250)	

Balances in the State's principal "rainy day" reserves, the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund, are expected to remain unchanged in FY 2016.

The Enacted Budget maintains a reserve of \$500 million for debt management purposes in FY 2016, unchanged from the level held at the end of FY 2015. DOB will make a decision on the use of these funds based on market conditions, Financial Plan needs, and other factors.

The Financial Plan continues to set aside money in the General Fund balance to cover the costs of potential retroactive labor settlements with unions that have not agreed to terms for prior contract periods. The amount identified is calculated based on the "pattern" settlement for FY 2008 through FY 2011 that was agreed to by the State's largest unions. The amount set aside is expected to be reduced as labor agreements for prior periods are reached with unsettled unions.

FY 2016 Detailed Gap-Closing Plan

The following table and narrative provide a summary of the proposed gap-closing plan. To the extent the State enacts budgets that adhere to the 2 percent spending benchmark, the level of savings required in each subsequent year to hold spending to 2 percent would be lower.

FY 2016 ENACTED BUDGET GENERAL FUND GAP-CLOSING PLAN				
(millions of dollars)				
	FY 2016	FY 2017	FY 2018	FY 2019
MID-YEAR BUDGET SURPLUS/(GAP) ESTIMATE¹	(1,814)	(2,578)	(4,034)	(5,777)
SPENDING CHANGES	757	515	735	830
Agency Operations	141	(25)	53	244
Executive Agency Operations	106	(9)	(15)	10
Fringe Benefits/Fixed Costs	102	57	140	292
University Systems	(11)	(8)	(7)	7
Judiciary	(56)	(65)	(65)	(65)
Local Assistance	1,244	887	1,139	1,271
Education	276	164	408	330
STAR	96	106	106	106
Mental Hygiene	280	105	123	293
DOH Global Cap -Statewide Medicaid Savings	200	200	200	200
Medicaid/HCRA	100	53	69	109
Social Services/Housing	129	95	103	102
Higher Education	71	127	97	99
All Other	92	37	33	32
Capital Projects/Debt Management	121	385	329	335
Initiatives/Investments²	(749)	(732)	(786)	(1,020)
School Aid	(250)	(371)	(387)	(407)
Other Education	(103)	(87)	(59)	(59)
Social Welfare	(79)	(24)	(24)	(24)
Health Care	(68)	(53)	(53)	(53)
Higher Education	(68)	(70)	(71)	(77)
Mental Hygiene	(36)	(35)	(35)	(35)
Transportation	(34)	(1)	(1)	(1)
Public Safety	(28)	(18)	(18)	(18)
Debt Service for Bonded Capital	(11)	(51)	(115)	(199)
All Other	(72)	(22)	(23)	(147)
RESOURCE CHANGES (NET OF NEW COSTS)	1,057	9	652	732
Tax Reestimates	215	320	748	924
Tax Extenders / Tax Credits	15	82	160	90
Resolution of Federal OPWDD Disallowance	(850)	(100)	(100)	(100)
Use of Reserve for Federal Disallowance	850	0	0	0
All Other	827	(293)	(156)	(182)
Adherence to 2% Spending Benchmark³	n/a	2,333	4,349	5,821
SURPLUS/(GAP) ESTIMATE	0	279	1,702	1,606

¹ Before actions to adhere to the 2 percent benchmark.

² Reflects Executive initiatives, including reserves to fund costs anticipated from future investments and initiatives, and distinct new spending additions to the Executive Budget agreed to during negotiations. Restorations to Executive Budget proposals are reflected in the appropriate "Spending Changes" and "Tax Extenders/Credits" categories.

³ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund.

Spending Changes

Agency Operations

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (e.g., supplies, utilities). These costs have significantly declined over the past several years through ongoing State agency redesign and cost-control efforts. Reductions from the mid-year “current services” projections for agency operations contribute \$141 million to the General Fund gap-closing plan. Specifically:

- **Executive Agencies:** The Budget proposes to hold personal service and non-personal service spending flat, with limited exceptions such as costs attributable to the NY State of Health marketplace and the new BHP program. Agencies are expected to continue to use less costly forms of service deliveries, improve administrative practices, and pursue statewide solutions, particularly at mental hygiene State-operated facilities.
- **Fringe Benefits/Fixed Costs:** Estimates for the State’s pension costs have been revised downward to reflect the interest savings from paying the FY 2016 pension bill by the end of July 2015, rather than on the due date of March 1, 2016. The State’s health insurance costs have been revised to reflect lower than projected rate renewals, and a planned audit to identify and remove ineligible dependents from the New York State Health Insurance Program (NYSHIP). In addition, State Workers’ Compensation costs have been adjusted to reflect lower medical inflation rates and slower growth in average weekly wages.
- **University Systems:** Spending for the State University of New York (SUNY) is projected to decline, mainly due to the closure of the Long Island College Hospital (LICH). Over the Plan period, spending in the university systems is expected to grow, on average, by roughly 2 percent annually.
- **Independent Officials:** Spending for the Legislature, the Department of Audit and Control (OSC), and the Department of Law is projected to remain essentially flat through FY 2019. Judiciary spending is projected to increase by 1.7 percent in FY 2016, driven primarily by the authorization in the FY 2015 Enacted Budget for additional Family Court Justices, statutory salary increases for non-judicial employees represented by the Civil Service Employees Association (CSEA), and increased funding for civil legal services.

Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals.¹ Reductions from the current-services projections for local assistance spending are expected to generate \$1.2 billion in General Fund savings. Expected savings from targeted actions and continuation of prior-year cost containment actions include:

- **Education:** The Executive Budget proposed a 4.8 percent (\$1.1 billion) increase in School Aid for the 2015-16 school year, which was offset by downward revisions to spending based on revised school district data as of November 2014, and revised estimates of costs associated with the preschool special education program and the summer school special education program. In the Enacted Budget, the Executive and Legislature agreed to additional School Aid for the 2015-16 school year for a total increase of \$1.4 billion (6.1 percent). In addition, the Enacted Budget includes funding for Persistently Failing Schools Transformation Grants to help turn around schools identified as having persistently low student achievement. The new spending authorized in budget negotiations is shown distinctly in the table under “Initiatives/Investments - School Aid.”
- **STAR:** The Enacted Budget aligns the New York City benefit with the STAR exemption program provided to the rest of the State by restricting the New York City PIT rate reduction to residents with incomes lower than \$500,000. In addition to these proposed savings, current STAR spending estimates have been reduced to reflect a decline in the estimated number of STAR exemption recipients.
- **Mental Hygiene:** The reduction in projected Mental Hygiene spending primarily stems from an acceleration of payments -- into FY 2015 -- associated with the State’s transition to Medicaid Managed Care, and prepayments for Voluntary Operated Intermediate Care Facilities and Day Habilitation services. It also reflects the use of Balancing Incentive Program (BIP) resources, authorized through the ACA, to support a number of new spending investments over a multi-year period. Additionally, the reduction shows slower than expected FY 2016 growth in OPWDD and the Office of Mental Health (OMH). Growth in the level of Medicaid-eligible costs also generates a projected reduction in Mental Hygiene spending. State Budget actions also reduce Mental Hygiene spending, reflecting efforts to “rightsize” State institutions, primarily in OPWDD, and to transition individuals with developmental disabilities to less costly, community-based settings.
- **Medicaid:** The Budget includes \$200 million in annual State-share Medicaid savings beginning in FY 2016, a portion of which is achieved by funding certain OPWDD-related Medicaid costs under the Medicaid Global Cap. To accommodate these additional costs, DOH will use \$815 million in additional State-funded Medicaid savings which are expected to accrue to the Global Cap in FY 2016 from accelerating the enrollment in BHP of legally residing immigrants currently receiving State-only Medicaid. Under BHP, the

¹ Local assistance includes payments for School Aid, STAR, Medicaid, public assistance, child welfare, local government assistance and a range of other purposes.

cost of insurance premiums for such individuals, and other individuals meeting certain income eligibility standards, will be supplemented by both State and Federal funds. BHP resources will also be used by DOH to offset fiscal constraints on the Global Cap, and to implement initiatives consistent with the goals of the Medicaid Redesign Team (MRT).

In addition, downward revisions to certain public health programs financed by HCRA result in surplus resources available to support additional General Fund Medicaid spending.

- **Social Services/Housing:** Lower spending is expected in several programs, including Supplemental Security Income (SSI), Foster Care, Child Care, Adoption, Close to Home, and Special Education. In addition, General Fund savings are achieved by funding certain housing programs from the Mortgage Insurance Fund. These savings are partially offset by increased spending in Child Welfare and Adult Protective/Domestic Violence.
- **Higher Education:** Estimated spending has been lowered across several areas, including fringe benefit costs, community college base aid, Tuition Assistance Program (TAP) and scholarship programs.
- **All Other:** Lower General Fund spending is expected for local government grant awards, public safety, economic development, and health care programs.

Capital Projects/Debt Management

- Savings are expected to be achieved through continuing the use of competitive sales, refunding of higher-cost debt as market conditions permit, and efficiencies from the consolidation of bond sales. In addition, projections reflect the impact of revised capital spending estimates and future bonding assumptions.

Initiatives/Investments

- The Enacted Budget includes significant spending additions for education, human services, and health care. During negotiations, the Executive and Legislature agreed to approximately \$625 million in distinct new spending additions to the FY 2016 Executive Budget. The summary of legislative changes follows, under the heading, “Changes to the Executive Budget.”

Resource Changes

- **Tax Receipts:** The estimate for annual tax receipts has been revised upward across all major tax categories to reflect results to date.
- **Tax Extenders/Tax Credits:** The Enacted Budget includes various tax extenders and an expansion of existing tax credits, the largest include:
 - **Create the Urban Youth Jobs Program.** Doubles the annual allocation to \$20 million for program years 2015 through 2017. This program builds and expands upon the success of the Youth Works Tax Credit Program, which provided a credit to employers who hire unemployed, at risk youth.
 - **Extend the Limitation on Charitable Contribution Deductions for High Income New York State and New York City Personal Income Taxpayers for Two Years.** The limitation on charitable contribution deductions for New York State and New York City taxpayers with adjusted gross income over \$10 million is extended. The charitable contribution deduction limitation of 25 percent allowed under State Tax Law would have otherwise expired at the end of tax year 2015. The limitation has had no noticeable impact on charitable giving.
 - **Extend Warrantless Wage Garnishment for Two Years.** The authorization for DTF to garnish wages of delinquent taxpayers without filing a warrant with the DOS or County Clerks is extended. The current program, now set to expire on April 1, 2017, has been successful in eliminating the unfunded mandate on counties to receive warrants from DTF. The program has also proven to be taxpayer friendly, since warrants appear on an individual's credit report for seven years, even if the delinquency has been resolved.
- **Resolution of Federal OPWDD Disallowance:** On March 20, 2015, the State and the Centers for Medicare and Medicaid Services (CMS) signed an agreement that resolves a pending disallowance for FY 2011, and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State will adjust the Federal/State share of future Medicaid costs to reimburse the Federal government \$850 million in April 2015, and \$100 million annually for each of the next 11 years beginning in FY 2017. The State will use \$850 million in monetary settlement payments previously set aside for financial risks, to finance the FY 2016 cost of the Federal disallowance settlement.
- **Other Resources Changes:** The estimate for miscellaneous receipts has been revised based on a review of FY 2015 collections and other transactions, including the repeal of 57 fees charged by six different State agencies. In addition, the timing of certain transfers and other transactions at the end of FY 2015 had the effect of making additional resources available for FY 2016. Other resources include the use of \$90 million in General Fund surplus resources from FY 2015.

Negotiated Changes to Executive Budget

During negotiations, the Executive and Legislature agreed to \$625 million in spending additions to the Executive Budget proposal. These include restorations, which are costs from the rejection of certain savings proposals contained in the Executive Budget. The additions were based on target amounts for individual budget conference committees, and as negotiated among the committees. The most significant addition was for School Aid. The Executive and Legislature also reached agreement on the reprogramming of certain spending initiatives proposed in the Executive Budget.

Negotiations on tax law changes resulted in a number of changes from the Executive proposal, including the elimination of the Executive-proposed property tax credit which, if fully phased in, would have provided \$1.7 billion in direct property tax relief. In addition, the Enacted Budget excludes the proposed establishment of an education investment tax credit and a reduction to income taxes for small businesses.

The Enacted Budget includes additional costs in the Financial Plan associated with budget negotiations, as well as other costs that have materialized since the Executive Budget was proposed. Additional costs negotiated as part of the Enacted Budget include legislative rejection of proposed changes to the financing of the State's STAR program and the proposed health exchange user fee. The Enacted Budget includes new costs to address persistently failing schools, which will be required to have a State-approved improvement plan in place with student achievement metrics and goals; additional snow and ice costs; and the settlement agreement between the State and CMS concerning existing and potential CMS audit disallowances for State-operated payment rates for services prior to FY 2014.

Other resources have been identified to finance the restorations and additions, and to provide for a balanced budget in FY 2016. These include reestimates to disbursements and receipts based on operating results for FY 2015, resources made available by the prepayment of expenses, and other management actions.

The table below summarizes all the changes to the Executive Budget, as amended.

CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN				
GENERAL FUND				
(millions of dollars)				
	FY 2016	FY 2017	FY 2018	FY 2019
RESTORATIONS/ADDITIONS	(625)	(546)	(524)	(544)
School Aid	(250)	(371)	(387)	(407)
Other Education Aid	(72)	(47)	(18)	(18)
Higher Education	(78)	(64)	(55)	(55)
Human Services/Labor	(60)	(18)	(18)	(18)
Health (incl. DOH Medicaid)	(51)	(31)	(31)	(31)
Housing/Agriculture/Environment	(20)	(2)	(2)	(2)
Transportation	(23)	(4)	(4)	(4)
General Government/Local Government Assistance	(20)	(4)	(4)	(4)
Economic Development	(19)	0	0	0
Public Protection/Criminal Justice/Judiciary	(17)	0	0	0
Mental Hygiene	(15)	(5)	(5)	(5)
NET TAX REVISIONS TO EXECUTIVE PLAN	(33)	272	845	1,293
<u>Not Accepted:</u>				
Real Property Tax Credit	0	350	850	1,350
Education Tax Credit	0	0	100	100
Reduce Income Tax on Small Businesses	0	26	29	32
Driver's License Suspension Requirements	(9)	(3)	(3)	(3)
Limit Sales Tax Avoidance	(5)	(10)	(10)	(10)
Reform Sales Tax on Industrial Development Authorities	(4)	(9)	(9)	(9)
Professional License Tax Clearance	0	(3)	(3)	(3)
Reciprocal Tax Agreement With Other States	(1)	(3)	(3)	(3)
Reform Investment Tax Credit on Master Tapes	0	0	(15)	(15)
Expand Sales Tax to Marketplace Providers	0	(56)	(56)	(56)
All Other	(4)	(10)	(10)	(10)
<u>Modified/New:</u>				
Wage Garnishment Requirements	0	0	(15)	(15)
Limit Personal Income Tax Charitable Itemized Deductions	0	0	0	(55)
Other Sales Tax	(10)	(10)	(10)	(10)
ADDITIONAL COSTS	(305)	(399)	(387)	(386)
No School Tax Relief/Income Tax Credit	(152)	(155)	(156)	(157)
No Health Exchange User Fee	(69)	(69)	(56)	(54)
Persistently Failing Schools Transformation Grants	(53)	(75)	(75)	(75)
Transportation - Snow and Ice Cost	(31)	0	0	0
Audit Disallowance - Federal Settlement	n/a	(100)	(100)	(100)
AVAILABLE RESOURCES	963	354	767	241
NET SAVINGS/(COSTS)	0	(319)	701	604

Monetary Settlements

The Department of Financial Services (DFS), Department of Law, and the Manhattan District Attorney’s Office have reached financial settlements with a number of banks for violations of New York banking laws, and with a number of insurance companies for violations of New York insurance laws. The State has received a total of \$7 billion from monetary settlements in FY 2015 and FY 2016 including two settlements, valued at approximately \$1.3 billion, that were not part of budget negotiations. The Enacted Budget for FY 2016 earmarks \$5.4 billion for one-time purposes, including \$4.6 billion for capital projects to support economic development and infrastructure investments in New York’s economy and \$850 million for a Federal disallowance settlement. In addition, the General Fund included \$275 million in FY 2015 and \$250 million in FY 2016 for operating purposes.

SUMMARY OF SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)		
	FY 2015	FY 2016
Monetary Settlements Received	4,942	2,030
BNP Paribas	2,243	1,348
Department of Financial Services (DFS)	2,243	0
Asset Forfeiture (DANY)	0	1,348
Credit Suisse AG	715	0
Commerzbank	610	82
Deutsche Bank	0	600
Bank of Tokyo Mitsubishi	315	0
Bank of America	300	0
Standard Chartered Bank	300	0
Bank Leumi	130	0
Ocwen Financial	100	0
Citigroup (State Share)	92	0
MetLife Parties	50	0
American International Group, Inc.	35	0
PricewaterhouseCoopers	25	0
AXA Equitable Life Insurance Company	20	0
Other Settlements	7	0
Enacted Budget Use of Available Settlements	(275)	(6,697)
Settlements Budgeted in Financial Plan	(275)	(250)
Transfer to Support OASAS Chemical Dependence Program		(5)
Transfer to Dedicated Infrastructure Investment Fund		(4,550)
Audit Disallowance - Federal Settlement		(850)
Undesignated		(1,042)

List of Settlements

The following settlements were received, or are expected to be received.

- BNP Paribas, S.A. New York Branch (BNPP) paid the State nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators, including the obstruction of governmental administration, failure to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- Credit Suisse AG paid \$715 million as a civil monetary penalty to the State pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This stemmed from Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully aided thousands of U.S. clients in opening and maintaining undeclared accounts and concealing their offshore assets and income from the Internal Revenue Service and New York authorities.
- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty in accordance with a March 12, 2015 consent order between Commerzbank and the New York State DFS for violations of New York State Banking Law and regulations in connection with transactions on behalf of Iran, Sudan, and a Japanese corporation that engaged in accounting fraud. The State also received an additional \$81.7 million in penalties and forfeiture from Commerzbank AG in accordance with a Deferred Prosecution Agreement entered into by Commerzbank AG with the Manhattan District Attorney's Office and the United States Department of Justice, for moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG, New York Branch (collectively "Deutsche Bank") paid a civil monetary penalty to the State in the amount of \$600 million in accordance with an April 23, 2015 consent order between Deutsche Bank and the New York State DFS for violations of New York State Banking Law and regulations in connection with the manipulation of benchmark interest rates, including the London Interbank Offered Rate, the Euro Interbank Offered Rate and Euroyen Tokyo Interbank Offered Rate.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. The consent order pertains to actions taken by BTMU which wrongfully misled DFS in connection with its understanding of BTMU's U.S. dollar clearing services on behalf of sanctioned Sudanese, Iranian, and Burmese parties, the transactions of which were settled through

the New York Branch and other New York financial institutions. BTMU had previously paid to the State a \$250 million civil monetary penalty pursuant to a previous June 19, 2013 consent order between DFS and BTMU related to BTMU unlawfully clearing through the New York Branch and other New York-based financial institutions an estimated 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.

- Bank of America (BofA) paid \$300 million to the State pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and Banc of America Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million to the State pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties, by operating with certain ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations, including BSA/AML risks related to U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. The consent order pertains to (i) Bank Leumi's knowing and willful operation of a wrongful cross-border banking business to assist U.S. clients in concealing assets offshore and evading U.S. tax obligations, and (ii) Bank Leumi misleading DFS about Bank Leumi's improper activities.
- Ocwen paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. The consent order pertains to numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to pay \$10,000 each to current and former Ocwen-services borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Citigroup Inc. (“Citigroup”) paid \$92 million to the State pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife. The consent order related to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in doing an insurance business in New York without a New York license.
- AIG paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertains to misrepresentations and omissions made by a former AIG subsidiary concerning its insurance business activities in New York.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million to the State pursuant to an August 14, 2014 settlement agreement between the DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid \$20 million as a civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order related to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.

Uses of Monetary Settlements

The Enacted Budget uses the one-time resources from the monetary settlements to fund one-time purposes, rather than using them for recurring expenditures, which would make it harder to balance future budgets. A large share of the settlement resources is expected to be used to fund new capital investments.

The Enacted Budget established a new capital fund called the Dedicated Infrastructure Investment Fund, to allow settlement money to be set aside for the purposes it is intended to fund. The Enacted Budget will transfer \$4.6 billion of the settlement funds from the General Fund to the DIIF during FY 2016. These resources will reside in the DIIF to fund the following initiatives:

- **Upstate Revitalization Program (\$1.5 billion):** The Updated Financial Plan includes \$1.5 billion in funding for the Upstate Revitalization Initiative, whereby \$500 million grants will be awarded to three upstate regions.
- **Thruway Stabilization Program (\$1.3 billion):** The Updated Financial Plan includes \$1.285 billion to fund expenses related to both the New NY Bridge, which will replace the Tappan Zee Bridge, and the statewide New York State Thruway system.
- **Broadband Initiative (\$500 million):** The Updated Financial Plan establishes a \$500 million New NY Broadband Fund Program to expand the availability and capacity of broadband across the State or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Health Care/Hospitals (\$400 million):** The Plan provides up to \$355 million of grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities to create a financially sustainable system of care intended to promote a patient-centered model of health care delivery. An essential health care provider is a hospital or hospital system that offers health services in a region deemed to be underserved by the Health Commissioner. Funding may be used to restructure debt obligations or fund capital improvements to facilitate mergers and consolidations of hospitals in rural communities. The Plan provides \$15.5 million to support capital expenses of the Roswell Park Cancer Institute; \$19.5 million to establish a community health care revolving loan; and \$10 million for IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package.
- **Penn Station Access (\$250 million):** The Metropolitan Transportation Authority (MTA) Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.

- **Infrastructure Improvements (\$115 million):** The Updated Financial Plan provides \$115 million for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other infrastructure improvements or economic development projects.
- **Transformative Economic Development Projects (\$150 million):** The Budget includes \$150 million to support transformative economic development and infrastructure projects. The State's investment will catalyze private investment, spurring significant economic development and job creation to help strengthen local communities and their economies.
- **Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** The Updated Financial Plan provides \$150 million in settlement funds to support preparedness and response efforts related to severe weather events. These funds will also support efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.
- **Municipal Restructuring (\$150 million):** The Updated Financial Plan provides \$150 million to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million):** The Updated Financial Plan includes \$50 million to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

The DIIF legislation permits the use of available funds in case of economic downturn, and/or to offset declines from anticipated levels of in excess of \$100 million in Federal Medicare or Medicaid revenues. While the legislation provides the State with potential additional reserves for these purposes, the Updated Financial Plan does not assume these resources would be used for these purposes.

Other Uses

- **Resolution of Federal OPWDD Disallowance:** The Enacted Budget sets aside \$850 million in monetary settlement funds to be used to finance a recently agreed to settlement between the State and Federal government to resolve Federal disallowances.
- **OASAS:** The Budget assumes that \$5 million of settlement funds will be used to expand services provided by OASAS to individuals with dependencies on alcohol or drugs, or who have gambling problems.²

² Under State forfeiture laws, a portion of the settlement with BNP Paribas is expected to be deposited initially in the State special revenue account for chemical dependence. The Enacted Budget retains \$5 million in the account for OASAS purposes, and transfers the remaining portion of this deposit to the General Fund.

Other Matters Affecting the Financial Plan

General

The State's Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted in the Financial Plan. In addition, the surplus projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings resulting from the 2 percent limit will be made available to the General Fund.

New York State routinely executes cash management actions to manage its large and complex budget. These actions are intended to improve the State's cash flow, minimize opportunity costs, manage resources across fiscal years, and better position the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above Plan are reflected in the year they occur and within the limit of the State's 2 percent spending benchmark.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impacts of: national and international events; ongoing financial instability in the Eurozone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt reduction on consumer spending and State tax collections; and the outcome of litigation and other claims affecting the State.

Among other factors, the Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Financial Plan projections for the outyears assume that School Aid and Medicaid disbursements will be limited to the annual growth in NYS personal income and the ten-year average growth in the Medicaid component of the consumer price index (CPI), respectively. However, the FY 2015 Enacted Budget and FY 2016 Enacted Budget authorized spending for School Aid to increase above the growth in personal income that would otherwise be used to calculate the school year increases.

State law grants the Governor certain powers to achieve the Medicaid savings assumed in the Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated in the Financial Plan. In addition, savings are dependent upon timely Federal approvals, revenue performance in the State's HCRA fund (which finances approximately one-third of the DOH State-share costs of Medicaid), and the participation of health care industry stakeholders.

The forecast contains specific transaction risks and other uncertainties including, but not limited to: the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan, including revenues associated with gaming activity in the State; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year or future years.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to address response to, and recovery from, severe weather events and other disasters. Pressure on the Federal government to make reductions is elevated so long as the budgetary caps resulting from the Budget Control Act of 2011 and subsequent legislation remain in place. Any reduction in Federal funding levels could have a materially adverse impact on the Financial Plan. In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. Issues of particular concern are described below.

MRT Medicaid Waiver

The Federal CMS and the State have reached an agreement in principle authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Federal Reimbursement for State Developmental Disability Services

As part of an approved plan between New York State and the Federal CMS, the State received a \$250 million Designated State Health Program (DSHP) payment as part of a multi-year effort to transform OPWDD services and provide more individuals with developmental disabilities the opportunity to be served in community-integrated settings. The \$250 million payment was received in FY 2015.

The Financial Plan assumes the State will reach agreement with CMS on a second phase of OPWDD transformation, which would accomplish a transition to holistic care management and value-based payments across all developmental disability systems. The transition to an integrated model of developmental disabilities-related care, behavioral health care, and medical care is expected to require significant changes to operations, structure, and organizational culture. The Financial Plan reflects the continuation of the \$250 million DSHP payment for each of the next two fiscal years to help effectuate this transformation. Such Federal funding has not yet been approved by CMS.

Debt Ceiling

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. In March 2015, a temporary suspension of the Federal debt limit expired, causing the debt limit to be reached. The Treasury Department has avoided default since then by operating under “extraordinary measures,” which again raises concern that the Federal debt ceiling may not be raised in a timely manner.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a Federal government payment default in the future are unknown and impossible to predict. However, data from past economic downturns suggest that the State’s revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Current Labor Negotiations (Current Contract Period)

The State has settled collective bargaining agreements with 97 percent of the State workforce subject to direct Executive control for the contract period that commenced in FY 2012. A seven-year agreement through FY 2018 was recently achieved with the Commissioned and Noncommissioned Officers unit in the Division of State Police. Five-year agreements through FY 2016 were reached with CSEA, the United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), Council 82, DC-37 (Housing), and the Graduate Student Employees Union. Four-year agreements through FY 2015 were reached with the Public Employees Federation (PEF) and the Police Benevolent Association of New York State (PBANYS).

All of the agreements included wage and benefit concessions in exchange for contingent employee job protection. As such, the agreements have provided: two-year Deficit Reduction Plan (DRP) savings of \$300 million; no general salary increases for the three-year period FY 2012 through FY 2014; a 2 percent general salary increase in FY 2015; and a 2 percent general salary increase in FY 2016 for the employees with five-year agreements. Commissioned and Noncommissioned Officers in the Division of State Police will receive general salary increases of 1.5 percent in FY 2017 and FY 2018, respectively. Additionally, the agreements provided full-annual health benefit savings of \$230 million resulting from increases to employee/retiree premium shares, copays, out-of-network deductibles and coinsurance.



Other Matters Affecting the Financial Plan

Other compensation has also been provided. Two lump sum payments — \$775 per employee in FY 2014 and \$225 per employee in FY 2015 — were paid to employees represented by CSEA, PBANYS, NYSCOPBA and Council 82. Additionally, UUP employees may receive lump sum payments of similar value in the form of Chancellor's Power of SUNY Awards and Presidential Discretionary Awards. However, employees represented by PEF and DC-37 (Housing) will not receive lump sum payments. Instead, they will be repaid for all DRP reductions over an extended period at the end of the contract term, whereas the others will be repaid for a portion of their reductions. Commissioned and Noncommissioned Officers in the Division of State Police will receive \$1,250 increases to Hazardous Duty and Expanded Duty payments, respectively.

The unions representing State Police Troopers and Investigators have no contracts in place for the period April 2011 forward.

Current Cash Flow Projections

The State authorizes the General Fund to borrow resources temporarily from available funds in the Short-Term Investment Pool (STIP) for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity to make all planned payments as they become due through FY 2016, as reflected in the following table that includes month-end cash balance projections. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

ALL FUNDS MONTH-END CASH BALANCES			
FY 2016			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	10,377	2,234	12,611
May	8,547	3,778	12,325
June	9,180	3,563	12,743
July	7,462	4,582	12,044
August	7,215	4,512	11,727
September	9,407	2,615	12,022
October	7,811	3,192	11,003
November	5,115	3,252	8,367
December	7,254	2,232	9,486
January	8,501	4,196	12,697
February	8,486	4,191	12,677
March	3,495	5,684	9,179

Pension Amortization

Background

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs beginning in FY 2011. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

In FY 2015, the State made a total pension payment to the New York State and Local Retirement System (NYSLRS) of \$1.7 billion and amortized \$620 million (the maximum amount legally allowable). The total payment included an additional \$18.8 million to pay off the 2006 amortization cost. In addition, the State's Office of Court Administration (OCA) made a total pension payment of \$280 million and amortized \$94 million (the maximum amount legally allowable). This included an additional \$21 million to pay off the 2011 pension amortization liability. The total deferred amount — \$713 million — will be repaid with interest over the next ten years, beginning in FY 2016.

The State is required to begin repayment on each new amortization in the fiscal year immediately following the year in which the deferral was made. The full amount of each amortization, with interest, must be repaid within ten years, but the amount can be paid off sooner. The annual interest rate on each new amortization is determined by OSC, and is fixed for the entire term of the deferral.

For amounts amortized in FY 2011 through FY 2015, the State Comptroller set interest rates of 5 percent, 3.75 percent, 3 percent, 3.67 percent, and 3.15 percent, respectively. The Financial Plan assumes that both the State and OCA will also elect to amortize pension costs in FY 2016 and beyond, consistent with the provisions of the authorizing legislation, and repay such amounts at an interest cost assumed by DOB in the Financial Plan to be 3.15 percent per annum over ten years from the date of each deferred payment.

Rate Comparison -- Average Normal Rate & Amortization Rate

The 2010 legislation enacted a formula to set an amortization threshold for each year. The amortization rate (the "graded rate") may increase or decrease in the direction of the actuarial contribution rate (the "normal rate") by up to one percentage point annually. Pension contribution costs in excess of the graded rate may be amortized. Amortization is permitted in all years if the normal rate is greater than the graded rate. However, when the graded rate equals or exceeds the normal rate, amortization is not allowed.

Other Matters Affecting the Financial Plan



The normal contribution rates and the amortization rates are displayed in the following table:

ERS AND PFRS PENSION CONTRIBUTION RATES - ANNUAL PERCENTAGE CHANGE				
Fiscal Year (FY)	ERS Average Normal Rate ¹	ERS Amortization Rate	PFRS Average Normal Rate ¹	PFRS Amortization Rate
FY 2011	11.5	9.5	18.1	17.5
FY 2012	15.9	10.5	21.6	18.5
FY 2013	18.5	11.5	25.7	19.5
FY 2014	20.5	12.5	28.9	20.5
FY 2015	19.7	13.5	27.5	21.5
FY 2016	17.7	14.5	24.7	22.5

¹Group Life Insurance Plan excluded from the Average Normal Rates.

Outyear Projections

All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially. Additionally, the next five-year experience study performed by the Retirement Systems' Actuary is expected in 2015 and could change these projections materially.

The 2016 pension contribution rates, including Group Life Insurance Plan (GLIP) released by OSC on September 2, 2014 reflect an annual decline from 20.1 percent to 18.2 percent for ERS, and from 27.6 percent to 24.7 percent for PFRS. However, the rates were higher than anticipated by DOB (14.2 percent for ERS and 20.8 percent for PFRS), which had based its projections on the prior year actuarial assumptions of the Retirement Systems' Actuary. The higher-than-anticipated FY 2016 contribution rates are primarily attributable to the use of the Mortality Improvement Scale (MP-2014) actuarial assumptions, which, compared to prior year actuarial assumptions, reflect longer life expectancies for pensioners and beneficiaries and result in increased pension plan liabilities.

The Financial Plan incorporates MP-2014 actuarial assumptions and assumes amortization in FY 2016 and the outyears, as depicted in the following table.

STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars)									
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
AMORTIZATION THRESHOLDS (Graded Rate)									
ERS (%)	9.5	10.5	11.5	12.5	13.5	14.5	15.5	16.5	17.5
PFRS (%)	17.5	18.5	19.5	20.5	21.5	22.5	23.5	24.5	25.5
STATEWIDE PENSION (NET COST)	1,470	1,697	1,601	2,086	2,130	2,219	2,467	2,660	2,890
Gross Pension Costs	1,633	2,141	2,192	2,744	2,429	2,226	2,334	2,404	2,519
(Amortization Savings) / Excess Contributions	(250)	(563)	(779)	(937)	(713)	(395)	(299)	(212)	(122)
Repayment of Amortization (incl. FY 2005 and FY 2006)	87	119	188	279	414	388	432	468	493

The next table reflects projected pension contributions and amortizations for the Executive Branch and Judiciary employees participating in the New York State ERS and PFRS through 2029. The “Normal Costs” column shows the amount of the State’s pension contribution prior to amortization. The “(Amortized) / Excess Contributions” column shows new amounts deferred offset, in some cases by payments made ahead of schedule. The “Amortization Payments” column provides the aggregate cost of amortization in a given fiscal year (principal and interest on all prior deferrals). The “Total” column provides the State’s pension contribution, net of amortization.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM¹				
PENSION CONTRIBUTIONS AND OUTYEAR PROJECTIONS				
(millions of dollars)				
Fiscal Year	Normal Costs²	(Amortized)/Excess Contributions	Amortization Payments	Total
Results:				
2011	1,552.8	(249.6)	0.0	1,303.2
2012	2,041.7	(562.9)	32.3	1,511.1
2013	2,085.3	(778.5)	100.9	1,407.7
2014	2,633.7	(937.0)	192.1	1,888.8
2015	2,317.0	(713.1)	326.6	1,930.5
Projections:				
2016	2,011.4	(395.1)	385.9	2,002.2
2017	2,112.5	(299.0)	432.3	2,245.8
2018	2,184.0	(212.4)	467.6	2,439.2
2019	2,298.3	(122.1)	492.7	2,668.9
2020	2,390.9	(33.0)	511.5	2,869.4
2021	2,462.3	0.0	515.4	2,977.7
2022	2,349.1	61.1	483.1	2,893.3
2023	2,349.2	0.0	351.6	2,700.8
2024	2,350.7	0.0	323.3	2,674.0
2025	2,350.4	0.0	209.6	2,560.0
2026	2,348.0	0.0	125.4	2,473.4
2027	2,343.3	0.0	78.7	2,422.0
2028	2,335.9	0.0	43.2	2,379.1
2029	2,329.7	0.0	18.2	2,347.9

¹ Pension contribution values in this table do not include pension costs related to the Optional Retirement Program and Teachers’ Retirement System for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which will end in FY 2016 as a result of early repayments.

Consistent with the aforementioned amortization assumptions, Part TT of Chapter 57 of the Laws of 2010 requires that: (a) the State make additional contributions in upcoming fiscal years, above the actuarially required contribution (starting in FY 2022) and (b) once all outstanding amortizations are paid off, additional contributions be set aside as reserves for rate increases, to be invested by the State Comptroller and used to offset future rate increases.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in NYSHIP, or are enrolled in the NYSHIP opt-out program at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2014, the State's Annual Required Contribution (ARC) represents the projected annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2014, the projected unfunded actuarial accrued liability for FY 2014 is \$68.2 billion (\$54.3 billion for the State and \$13.9 billion for SUNY), an increase of \$1.7 billion from FY 2013 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2014 used an actuarial valuation of OPEB liabilities as of April 1, 2012. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The actuarially determined annual OPEB cost for FY 2014 totaled \$3 billion (\$2.3 billion for the State and \$0.7 billion for SUNY), a decline of \$390 million from FY 2013 (\$322 million for the State and \$68 million for SUNY). The actuarially determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$1.5 billion (\$1 billion for the State and \$0.5 billion for SUNY) greater than the cash payments for retiree costs made by the State in FY 2014. This difference between the State's PAYGO costs, and the actuarially determined required annual contribution under GASB Statement 45, reduced the State's net asset condition at the end of FY 2014 by \$1.5 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Financial Plan to fund the actuarially determined required annual contribution for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of the Governor's Office of Employee Relations (GOER), Civil Service and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices.

Beginning with FY 2016, the State expects to incorporate MP-2014 actuarial mortality assumptions, which reflect longer life expectancies for beneficiaries, resulting in increases to actuarial accrued liabilities and the present value of projected benefits.

The State is currently examining GASB-proposed changes to GASB Statement 45 requirements. The proposed changes will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the funded status of the OPEB liabilities to be reported by the State. As proposed, the GASB changes would be implemented in the State's FY 2018 financial statements.

Monetary Settlements

The State periodically receives proceeds from monetary settlements that are deposited primarily to the General Fund. The Financial Plan assumes monetary settlements in the upcoming fiscal years (\$100 million each for FY 2017 and FY 2018). There can be no assurance that settlement proceeds in upcoming fiscal years will be received by the State at the levels assumed in the Financial Plan.

Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

Update on Storm Recovery

In recent years, New York State has sustained damage from three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response are being processed, and both recovery and future mitigation efforts have begun, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount over the coming years for response, recovery, and mitigation costs. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities, or that such Federal disaster aid will be provided on the expected schedule.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Board, please visit www.frb.ny.gov.

Bond Market

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will, among other things, be subject to prevailing market conditions. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments, generally may affect the market for outstanding State-supported and State-related debt.

Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt to capital purposes only, and for maximum terms of 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014. It was determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2014).

Current projections anticipate that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$4.1 billion in FY 2015 to \$498 million in FY 2019. This includes the estimated impact of the bond-financed portion of the Enacted Budget’s increased capital commitment levels. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit are not included in the State’s calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding	\$ Remaining	Debt as a	% Remaining	Debt Outstanding	Total State-Supported
				Since April 1, 2000	Capacity	% of PI	Capacity	Prior to April 1, 2000	Debt Outstanding
FY 2015	1,110,345	4.00%	44,414	40,364	4,050	3.64%	0.36%	11,502	51,867
FY 2016	1,156,105	4.00%	46,244	43,369	2,875	3.75%	0.25%	10,075	53,444
FY 2017	1,214,876	4.00%	48,595	47,052	1,543	3.87%	0.13%	8,705	55,757
FY 2018	1,278,706	4.00%	51,148	50,292	856	3.93%	0.07%	7,288	57,581
FY 2019	1,345,074	4.00%	53,803	53,305	498	3.96%	0.04%	6,139	59,444
FY 2020	1,413,141	4.00%	56,526	56,001	525	3.96%	0.04%	5,004	61,005

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service	\$ Remaining	DS as a	% Remaining	Debt Service	Total State-Supported
				Since April 1, 2000	Capacity	% of Revenue	Capacity	Prior to April 1, 2000	Debt Service
FY 2015	149,109	5.00%	7,455	3,994	3,461	2.68%	2.32%	2,164	6,159
FY 2016	151,367	5.00%	7,568	4,141	3,427	2.74%	2.26%	956	5,097
FY 2017	153,728	5.00%	7,686	4,515	3,171	2.94%	2.06%	1,667	6,182
FY 2018	157,545	5.00%	7,877	4,967	2,910	3.15%	1.85%	1,718	6,685
FY 2019	160,109	5.00%	8,005	5,416	2,589	3.38%	1.62%	1,573	6,989
FY 2020	164,793	5.00%	8,240	5,852	2,387	3.55%	1.45%	1,449	7,301

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for the cost of upgrading their primary health care facilities. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, which include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2015, there were approximately \$304 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the four remaining hospitals in the program, one is experiencing significant operating losses that have impaired its ability to remain current on its loan agreement with DASNY. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014 when \$12 million was paid and again in FY 2015 when \$24 million was paid. DASNY also estimates the State will pay debt service costs of approximately \$25 million in both FY 2016 and FY 2017, and approximately \$14 million annually in FY 2018 through FY 2020. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital that currently is not meeting the terms of its loan agreement with DASNY, a second hospital whose debt service obligation was recently discharged in bankruptcy, and a third hospital that is now closed. The State has estimated additional exposure of up to \$24 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and LICH

In May 2011, the New York State Supreme Court issued an order (the "May 2011 Order") that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn ("Downstate Hospital"). In 2012, DASNY issued tax exempt State PIT Revenue Bonds ("PIT Bonds"), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

To address the deteriorating financial condition of Downstate Hospital, which has been caused in part by the deteriorating financial position of LICH, legislation adopted with the FY 2014 Enacted Budget required the Chancellor of SUNY to submit to the Governor and the Legislature a multi-year sustainability plan for the Downstate Hospital. Specifically, the legislation required the sustainability plan to: a) set forth recommendations necessary to achieve financial stability for Downstate Hospital, and b) preserve the academic mission of Downstate Hospital's medical school. In accordance with this legislation, the Chancellor of SUNY submitted the sustainability plan for Downstate Hospital on May 31, 2013, and supplemented the plan with changes in a letter dated June 13, 2013. The supplemented plan was approved by both the Commissioner of Health

and the Director of the Budget on June 13, 2013. Generally, the approved sustainability plan anticipates: (a) a significant restructuring of health care service lines at Downstate Hospital in order to achieve financial milestones assumed in the sustainability plan, and supported by State financial assistance from DOH; and (b) monetizing the LICH asset value to support the costs associated with Downstate Hospital exiting LICH operations. Consistent with the sustainability plan, as supplemented, SUNY, together with Holdings, issued a request for proposals (RFP) to provide healthcare services in or around the LICH facilities and to purchase the LICH real estate.

In 2013, State Supreme Court Judge David Demarest, who issued the May 2011 Order, issued, sua sponte, certain additional orders that could have affected the validity of the May 2011 Order. Also, in 2013, State Supreme Court Judge Johnny Baynes issued a series of orders that, effectively, precluded SUNY from exiting LICH operations. On February 25, 2014, Judges Demarest and Baynes approved a settlement whereby all parties agreed to discharge their claims, and the judges vacated their orders. Pursuant to the settlement, SUNY, together with Holdings, issued a new RFP seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property. The structure of the settlement also increased the likelihood that sufficient proceeds from the transaction would be available to support defeasance of the PIT Bonds by setting a minimum purchase price.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with the FPG Cobble Hill Acquisitions, LLC, an affiliate of Fortis Property Group, LLC (also party to the agreement) which proposes to purchase the LICH property, and with NYU Hospitals Center who will provide both interim and long-term health care services. The agreement has been approved by the Office of Attorney General and the State Comptroller. The sale of all or substantially all, of the assets of Holdings is subject to additional approvals. There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



Multi-Year Financial Plan Projections

Introduction

This section presents the State's updated multi-year Financial Plan for FY 2015 through FY 2019, with an emphasis on the FY 2016 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicates the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 40 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Enacted Budget Financial Plan. Accordingly, in terms of outyear projections, the first outyear of the FY 2016 Budget, FY 2017, is the most relevant from a planning perspective.

Summary

The Enacted Budget Financial Plan reflects a 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence with a 2 percent spending benchmark. In addition, the State ended FY 2015 with a sizeable General Fund cash-basis surplus due to a series of unbudgeted one-time monetary settlements reached between regulators and financial institutions.

The surplus projections for FY 2017 and thereafter set forth in the Enacted Budget Financial Plan reflect the savings that DOB estimates would occur if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The estimated savings are labeled in the Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” Total disbursements in Financial Plan tables and discussion do not assume these savings. If the State does not adhere to the 2 percent State Operating Funds spending benchmark in FY 2017, FY 2018, and FY 2019, budget gaps may result in these years.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS					
(millions of dollars)					
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Projected	Projected	Projected
RECEIPTS					
Taxes (After Debt Service)	58,644	62,622	65,394	68,671	70,229
Miscellaneous Receipts/Federal Grants	8,412	4,365	2,591	2,353	2,212
Other Transfers	865	1,298	740	739	724
Total Receipts	67,921	68,285	68,725	71,763	73,165
DISBURSEMENTS					
Local Assistance Grants	41,592	44,356	46,783	49,160	51,653
School Aid	18,415	20,072	21,414	22,379	23,664
Medicaid/BHP	11,676	12,229	12,893	13,621	14,362
All Other	11,501	12,055	12,476	13,160	13,627
State Operations	7,664	8,263	8,311	8,564	8,406
Personal Service	5,806	6,079	6,049	6,076	6,104
Non-Personal Service	1,858	2,184	2,262	2,488	2,302
General State Charges	4,999	5,195	5,710	6,032	6,349
Transfers to Other Funds	8,601	14,276	9,945	10,644	10,962
Debt Service	1,297	886	1,242	1,422	1,210
Capital Projects	1,264	5,947	1,844	2,072	2,295
State Share of Mental Hygiene Medicaid	1,419	2,162	1,439	1,313	1,255
SUNY Operations	980	998	978	969	969
All Other	3,641	4,283	4,442	4,868	5,233
Total Disbursements	62,856	72,090	70,749	74,400	77,370
Adherence to 2% Spending Benchmark ¹	n/a	n/a	2,333	4,349	5,821
Use (Reservation) of Fund Balance:	(5,065)	3,805	(30)	(10)	(10)
Tax Stabilization Reserve Fund	(127)	0	0	0	0
Rainy Day Reserve Fund	(190)	0	0	0	0
Community Projects Fund	13	0	0	0	0
Prior-Term Labor Agreements	38	(10)	(30)	(10)	(10)
J.P. Morgan Settlement Proceeds	58	0	0	0	0
Undesignated Fund Balance	(190)	190	0	0	0
Monetary Settlements ²	(4,667)	3,625	0	0	0
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	279	1,702	1,606

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent annually. Assumes all savings from holding spending growth are made available to the General Fund.

² FY 2016 reflects the transfer of \$4.55 billion from the General Fund to the Dedicated Infrastructure Investment Fund.

State Operating Funds Projections

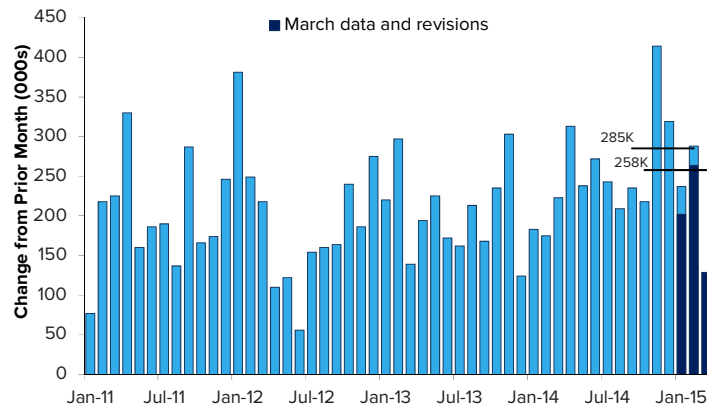
STATE OPERATING FUNDS PROJECTIONS					
(millions of dollars)					
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Projected	Projected	Projected
RECEIPTS					
Taxes	69,661	73,213	76,757	80,457	82,690
Miscellaneous Receipts/Federal Grants	25,376	20,088	18,716	18,694	18,667
Total Receipts	95,037	93,301	95,473	99,151	101,357
DISBURSEMENTS					
Local Assistance Grants	61,052	63,305	65,759	68,503	71,212
School Aid	21,630	23,378	24,591	25,686	26,966
STAR	3,297	3,382	3,468	3,510	3,552
Special/Other Education	2,081	2,200	2,292	2,422	2,564
Higher Education	3,092	2,991	3,037	3,097	3,157
Medicaid (DOH)	16,790	17,414	18,161	18,936	19,675
Public Health/Aging	1,841	1,792	1,753	1,787	1,816
Mental Hygiene	2,923	2,718	2,860	3,305	3,497
Social Services	2,837	2,976	3,006	3,065	3,095
Transportation	4,834	4,862	4,916	4,987	5,051
Local Government Assistance	765	784	790	794	794
All Other	962	808	885	914	1,045
State Operations	18,157	18,488	18,604	18,916	18,910
Personal Service	12,550	12,868	12,834	12,897	12,999
Non-Personal Service	5,607	5,620	5,770	6,019	5,911
General State Charges	7,033	7,334	7,894	8,286	8,711
Pension Contribution	2,130	2,219	2,467	2,660	2,890
Health Insurance (Active Employees)	2,091	2,174	2,318	2,444	2,582
Health Insurance (Retired Employees)	1,228	1,277	1,362	1,436	1,516
All Other	1,584	1,664	1,747	1,746	1,723
Debt Service	6,183	5,122	6,208	6,699	7,004
Capital Projects	1	1	3	3	3
Total Disbursements	92,426	94,250	98,468	102,407	105,840
Net Other Financing Sources/(Uses)	2,028	(3,159)	892	630	344
Adherence to 2% Spending Benchmark ¹	n/a	n/a	2,333	4,349	5,821
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(4,639)	4,108	49	(21)	(76)
General Fund	(5,065)	3,805	(30)	(10)	(10)
Special Revenue Funds	479	386	154	81	7
Debt Service Funds	(53)	(83)	(75)	(92)	(73)
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	279	1,702	1,606

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. Assumes all savings from spending growth limit are made available to the General Fund.

The U.S. Economy

National economic growth slowed to 0.2 percent in the first calendar year quarter of 2015, only 0.1 percent above the U.S. Bureau of Economic Analysis’s (BEA) initial estimate for 2014Q1. The latter quarter was ultimately revised down to a contraction of 2.1 percent. Once again, adverse weather restrained economic activity. In addition, labor disputes at West Coast ports, a strengthening dollar, and the impact of low oil prices on the nation’s domestic energy industry combined with a harsh winter to reduce the nation’s rate of expansion. Although the port strike is over, its effects are expected to unwind slowly, while the stronger dollar and low energy prices are expected to linger. Thus, only a modest rebound is projected for the quarter now in progress, resulting in average annualized quarterly growth of 1.9 percent over the first half of this year. But this is still higher than the 1.2 percent growth seen in the first half of 2014. Growth is projected to accelerate to almost 3 percent in the second half of the year. As a result, DOB has revised down its FY 2016 Executive Budget, estimate of real U.S. GDP growth for 2015 to 2.6 percent, albeit still pointing toward an improving national economy after growth of 2.4 percent in 2014.

U.S. Private Sector Job Gains Falter in Q1



Source: Moody's Analytics.

All of the factors listed above appear to be negatively affecting the national labor market, which until March appeared to have had sustained momentum. The private sector added only 129,000 jobs in March, while January and February were revised down by a combined 59,000. The most recent data caused the six-month moving average of private sector job gains to drop to 258,000 from the 285,000 observed in February. Consequently, DOB now projects weaker employment growth of 2.2 percent for 2015, as well as an unemployment rate that is marginally higher at 5.5 percent. Consistent with a much weaker first quarter and a moderately weaker labor market going forward, real household spending growth has been revised down to 3.1 percent. However, DOB still expects low energy prices to support improved household spending after adjusting for inflation, over the remainder of the year.

Improved household spending going forward should give private businesses added confidence to invest and hire but that boost will continue to be, partly, offset by the negative impact of falling oil prices on oil producers. That negative impact can be seen in the 50 percent decline in the U.S. oil rig count since December 2014. Although the overall impact on business investment is still expected to be positive over the longer term, the short-term impact is proving to be negative, with private business investment in structures falling 23.1 percent in the first quarter. West Coast port disruptions are likely to have hit business investment as well, with some supply-chain managers waiting three to six months for cargo to be unloaded, and others rerouting cargo to other ports in spite of higher costs. Both of these options likely resulted in delayed production and sales. Although the port strike was resolved in February, it could take until the second half of the year for the congestion to dissipate.

Another factor augmenting the negative pressures on business sales and investment is weaker-than-anticipated global demand. Since the recent sharp real appreciation of the dollar, export growth has weakened further. Adjusting for price changes, exports have fallen in five of the six months ending in February, and preliminary data indicate that the decline likely continued through March. Separate from the port strike and its effects on international trade, the global outlook remains uncertain as we await the impact of actions recently taken to stimulate the European and large East Asian economies. As a result, real U.S. export growth has been revised down to 2.3 percent for 2015, following 3.2 percent growth for 2014, while real growth in nonresidential fixed investment has been revised down to 4.3 percent for 2015, following 6.3 percent growth for 2014.

U.S. ECONOMIC INDICATORS			
<i>(Percent change from prior calendar year)</i>			
	2014	2015	2016
	(Actual)	(Forecast)	(Forecast)
Real U.S. Gross Domestic Product	2.4	2.6	2.9
Consumer Price Index (CPI)	1.6	(0.0)	2.1
Personal Income	4.0	4.4	5.3
Nonagricultural Employment	1.9	2.2	2.0

Source: Moody's Analytics; DOB staff estimates.

The housing market rebounded somewhat after being hard hit by extreme winter weather last year. Housing starts exhibited monthly average growth of 3.5 percent over the second half of 2014, an improvement from a 1.8 percent decline over the first half of the year. But residential construction saw another slow start to the year at least in part because of harsh weather. Indeed, the most recent data appear to show that housing starts are even weaker than in the first quarter of last year. As the weather improves, housing market growth should be supported by historically low (although rising) interest rates, along with improving non-interest lending terms and a strengthening labor market. However, signs of a strong rebound are not apparent. Consequently, DOB has revised down its projection for real residential investment growth to 4.5 percent for 2015.

On balance, the boost from lower energy prices is expected to compensate only partially for the weakness of the global economy, a listless housing market, and continued slow wage growth. Without support from these critical sources, DOB continues to anticipate that annualized quarterly growth in real GDP will remain below 3 percent over the foreseeable future. Given weaker growth in the labor market and the overall economy, DOB expects the Federal Reserve to delay raising its short-term interest rate target until the second half of 2015. Concern over disinflation in the U.S. and abroad provides additional support for a later move. The headline Consumer Price Index (CPI) fell 0.1 percent in the first quarter of 2015 on a year-ago basis, while core CPI inflation remained well below the Federal Reserve's 2 percent target. Domestic oil prices have risen above their March lows to almost \$60 per barrel, but are expected to remain soft for the foreseeable future. DOB now estimates consumer price inflation of zero percent for 2015, representing a downward revision from the Executive Budget forecast.

Although DOB's economic outlook continues to call for a continued strengthening of the nation's recovery, significant risks remain. In today's highly interdependent global economy, it is difficult to foresee domestic growth substantially accelerating without vigorous stimulus from exports. While the euro-area economy is no longer contracting and the European Central Bank has embarked upon a round of quantitative easing, unemployment rates are still rising in some areas, and uncertainty over the integrity of the monetary union lingers. A euro-area recovery that is even more sluggish than expected will likely result in slower export and corporate profits growth than reflected in this forecast. Although energy prices are expected to remain low, a complex geopolitical situation could ignite renewed volatility, which, along with equity price volatility, represents a risk to household spending. In contrast, stronger global growth or lower than expected gasoline prices would result in stronger outcomes than projected. Finally, the response of global financial markets to the unwinding of central bank accommodation in the U.S. remains a risk, whether that process starts in September or later in the year, particularly given the lack of experience upon which to draw.

The New York State Economy

The State's private sector labor market has continued to outperform expectations, with estimated growth for the 2014 calendar year incorporating upward revisions to employment in real estate services and construction; transportation and warehousing; financial services; professional and business services; and health care. Growth also remains strong in the tourism-related leisure and hospitality industries. Strong private sector growth of 2.2 percent is now estimated for 2014, with growth expected to decelerate to 1.6 percent in 2015 as the State's labor market expansion matures. The long-term decline in government employment also came to an end in the second half of 2014. As a result, total employment growth for 2014 was revised up to 1.8 percent, while the projected growth for 2015 remains unchanged from the Executive Budget forecast, of 1.4 percent.

Preliminary information suggests that fourth-quarter earnings and revenues for the finance sector are likely to have weighed heavily on bonus payouts for the 2014-15 bonus season. DOB has reduced estimated finance and insurance sector bonus growth to 0.7 percent for SFY 2015. Lower bonus payouts have resulted in downward revisions to both total wages and personal income as well. In contrast, large volumes of IPO and merger and acquisition activity, along with strong real estate market growth, are estimated to have helped propel growth in capital gains realizations well above 20 percent for the 2014 tax year.

NEW YORK STATE ECONOMIC INDICATORS			
<i>(Percent change from prior State fiscal year)</i>			
	FY 2014	FY 2015	FY 2016
	(Actual)	(Forecast)	(Forecast)
Personal Income	2.1	3.7	4.4
Wages	4.2	4.3	4.4
Nonagricultural Employment	1.6	1.8	1.3

Source: Moody's Analytics; New York State DOL; DOB staff estimates.

The performance of the State's private-sector labor market continues to surprise on the upside, but there are significant risks to the forecast. All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. Under regulatory and other pressures, the pattern of Wall Street bonus payouts has changed dramatically since the 2008-09 financial crisis, with payments now more widely dispersed across the year. In addition, taxable payouts can represent both current-year awards and deferred payments from prior year awards. Finally, the deferral ratio has also proven to be unstable. As a result, the uncertainty surrounding bonus projections continues to mount. Recent events also have demonstrated how sensitive financial markets can be to shifting expectations surrounding Federal Reserve policy. As the central bank moves closer to its first rate hike since June 2006, the resulting financial market gyrations are likely to have a larger impact on the State economy than on the nation as a whole. Should financial and real estate markets be weaker than we expect, both bonuses and taxable capital gains realizations could be negatively affected.

All Funds Receipts Projections

Financial Plan receipts comprise a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for certain debt service on revenue bonds).

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
Personal Income Tax	43,709	47,075	7.7%	50,115	6.5%	53,047	5.9%	54,426	2.6%
Consumption/Use Taxes	15,384	15,850	3.0%	16,394	3.4%	16,916	3.2%	17,487	3.4%
Business Taxes	8,504	8,137	-4.3%	8,047	-1.1%	8,261	2.7%	8,464	2.5%
Other Taxes	2,166	2,154	-0.6%	2,122	-1.5%	2,086	-1.7%	2,095	0.4%
Payroll Tax	1,271	1,346	5.9%	1,404	4.3%	1,473	4.9%	1,549	5.2%
Total State Taxes	71,034	74,562	5.0%	78,082	4.7%	81,783	4.7%	84,021	2.7%
Miscellaneous Receipts	29,438	25,410	-13.7%	24,094	-5.2%	23,751	-1.4%	23,887	0.6%
Federal Receipts	48,636	51,396	5.7%	51,553	0.3%	52,012	0.9%	52,202	0.4%
Total All Fund Receipts	149,108	151,368	1.5%	153,729	1.6%	157,546	2.5%	160,110	1.6%

Total All Funds receipts in FY 2016 are estimated at \$152.2 billion, 2.1 percent above FY 2015 results. State tax receipts are expected to increase 5 percent in FY 2016. The increase in tax receipts is due to strong growth from an artificially low prior year base influenced by 2013 Federal tax law changes. The miscellaneous receipts decline in FY 2016 is primarily due to the loss of one-time monetary settlements with financial institutions (see page 26 for detailed list). In addition, the FY 2016 General Fund total includes a \$250 million deposit from the SIF reserve release in connection with Workers' Compensation law changes included in the FY 2014 Enacted Budget, which is a decrease of \$750 million from the amount of the reserve released in FY 2015. In other State funds, FY 2016 miscellaneous receipts are driven by year-to-year variations to health care surcharges and other HCRA resources, licensing fees associated with commercial gaming, bond proceeds, atypical fines and the phase-out of the temporary utility assessment.

Consistent with the projected growth in the New York economy over the multi-year Financial Plan period, the personal income and consumption/use tax categories are expected to grow. Business taxes and other taxes are expected to decline in some or all years due to tax cuts and reforms enacted in 2014.

After controlling for the impact of tax law changes, base tax revenue increased 4 percent in FY 2015, and is projected to increase by 4.5 percent for FY 2016 and 4.9 percent for FY 2017.

GENERAL FUND RECEIPTS					
(millions of dollars)					
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Proposed	Projected	Projected
Total All Funds State Taxes ¹	69,763	73,216	76,678	80,310	82,472
Less Dedicated Taxes:					
STAR	(3,297)	(3,382)	(3,468)	(3,510)	(3,552)
Revenue Bond Tax Fund	(10,927)	(11,769)	(12,529)	(13,262)	(13,607)
LGAC/Sales Tax Bond Fund	(6,053)	(6,325)	(6,582)	(6,841)	(7,120)
Cigarette/Tobacco Tax	(958)	(911)	(873)	(843)	(814)
Sales Tax	(854)	(882)	(903)	(936)	(972)
Consumption/Use Taxes	(828)	(842)	(840)	(845)	(856)
Business Taxes	(2,239)	(2,240)	(2,255)	(2,302)	(2,355)
Real Estate Transfer Tax	(1,038)	(1,085)	(1,138)	(1,176)	(1,221)
Total General Fund Taxes	43,569	45,780	48,090	50,595	51,975
Miscellaneous Receipts	8,410	4,365	2,591	2,353	2,212
Federal Receipts	2	0	0	0	0
Total General Fund Receipts	51,981	50,145	50,681	52,948	54,187
Annual \$ Change		(1,836)	536	2,267	1,239
Annual % Change		-3.5	1.1	4.5	2.3

¹ Excludes the MTA payroll tax, which is collected by the State and pass through to the MTA.

Approximately 60 percent of All Funds tax receipts are deposited into the General Fund. The remaining tax collections are dedicated for various purposes including STAR payments to school districts, debt service reserves, health care, and transportation. General Fund tax receipts are projected to total \$45.8 billion in FY 2016, an increase consistent with the All Funds trends discussed above. General Fund miscellaneous receipts are expected to decrease by over \$4 billion as a result of the loss of the monetary settlements and transfers noted above.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2015	FY 2016	FY 2017		FY 2018		FY 2019		
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	43,709	47,075	7.7%	50,115	6.5%	53,047	5.9%	54,426	2.6%
Gross Collections	52,248	56,512	8.2%	60,063	6.3%	63,041	5.0%	64,460	2.3%
Refunds (Incl. State/City Offset)	(8,539)	(9,437)	-10.5%	(9,948)	-5.4%	(9,994)	-0.5%	(10,034)	-0.4%
GENERAL FUND¹	29,485	31,924	8.3%	34,118	6.9%	36,275	6.3%	37,267	2.7%
Gross Collections	52,248	56,512	8.2%	60,063	6.3%	63,041	5.0%	64,460	2.3%
Refunds (Incl. State/City Offset)	(8,539)	(9,437)	-10.5%	(9,948)	-5.4%	(9,994)	-0.5%	(10,034)	-0.4%
STAR	(3,297)	(3,382)	-2.6%	(3,468)	-2.5%	(3,510)	-1.2%	(3,552)	-1.2%
RBTF	(10,927)	(11,769)	-7.7%	(12,529)	-6.5%	(13,262)	-5.9%	(13,607)	-2.6%

¹Excludes Transfers.

All Funds income tax receipts for FY 2016 are projected to be \$47.1 billion, an increase of \$3.4 billion (7.7 percent) from FY 2015 results. This increase primarily includes withholding, estimated payments attributable to the 2014 tax year, and extension payments attributable to the 2015 tax year, partially offset by a substantial increase in total refunds due to a combination of refund payment timing and the increased cost of the Real Property Tax Freeze credit compared to FY 2015.

The following table summarizes, by component, actual receipts for FY 2015 and forecast amounts through FY 2019.

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS ALL FUNDS (millions of dollars)					
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Projected	Projected	Projected
Receipts					
Withholding	34,907	36,940	38,971	40,400	42,198
Estimated Payments	13,743	15,746	17,039	18,378	17,796
Current Year	10,367	11,148	12,019	12,983	12,005
Prior Year ¹	3,376	4,598	5,020	5,395	5,791
Final Returns	2,206	2,493	2,670	2,841	2,984
Current Year	1,952	2,224	2,390	2,549	2,692
Prior Year ¹	254	269	280	292	292
Delinquent	1,392	1,333	1,383	1,422	1,482
Gross Receipts	52,248	56,512	60,063	63,041	64,460
Refunds					
Prior Year ¹	4,961	5,828	6,755	7,117	7,132
Previous Years	458	488	513	539	564
Current Year ¹	1,950	1,750	1,750	1,750	1,750
Advanced Credit Payment	579	783	342	0	0
State/City Offset ¹	591	588	588	588	588
Total Refunds	8,539	9,437	9,948	9,994	10,034
Net Receipts	43,709	47,075	50,115	53,047	54,426

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2016 is projected to be \$2 billion (5.8 percent) higher than FY 2015, due mainly to moderate wage growth. Extension payments are estimated to increase by \$1.2 billion (36.2 percent), primarily due to growth in tax year 2014 nonwage income over a weak tax year 2013 base, which suffered from an acceleration of capital gains into tax year 2012. Estimated payments for tax year 2015 are projected to be \$781 million (7.5 percent) higher. Final return payments and delinquencies are projected to be \$287 million (13 percent) higher and \$59 million (4.2 percent) lower, respectively.

The increase in total refunds of \$898 million (10.5 percent) reflects an \$867 million (17.5 percent) increase in prior (tax year 2014) refunds, a \$30 million (6.6 percent) increase in previous (tax year 2013 and earlier) refunds, and a \$204 million (35.2 percent) increase in accelerated credit payments related to tax year 2015. This is partially offset by a \$200 million (10.3 percent) decrease in current (tax year 2015) refunds and a \$3 million (0.5 percent) decline in state-city offsets. The increase in prior (tax year 2014) refunds includes \$85 million in payments attributable to the first year of the Enhanced Real Property Tax Circuit Breaker credit. Refund payment timing accounts for another \$128 million of the growth in prior year refunds, resulting from the reduced amount of refunds paid during the first three months of 2015 relative to the same period 2014.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund income tax receipts for FY 2016 of \$31.9 billion are expected to increase by \$2.4 billion (8.3 percent) from FY 2015 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$11.8 billion and the STAR transfer is projected to be \$3.4 billion.

All Funds income tax receipts for FY 2017 of \$50.1 billion are projected to increase by just over \$3 billion (6.5 percent) from the FY 2016 estimate. This primarily reflects increases of \$2 billion (5.5 percent) in withholding, \$871 million (7.8 percent) in estimated payments related to tax year 2016, and \$422 million (9.2 percent) in extension payments related to tax year 2015, partially offset by a \$511 million (5.4 percent) increase in total refunds. The growth in withholding is the result of projected wage growth of 4.7 percent. The moderate growth in extension payments reflects tax year 2015 nonwage income growth that is projected to be substantially weaker than tax year 2014. The growth in total refunds is primarily attributable to \$400 million in Family Tax Relief credits which, unlike tax year 2013 payments, will not be paid as accelerated credits. Payments from final returns are expected to increase \$177 million (7.1 percent), while delinquencies are projected to increase \$50 million (3.8 percent) from the prior year.

General Fund income tax receipts for FY 2017 of \$34.1 billion are projected to increase by \$2.2 billion (6.9 percent). RBTF deposits are projected to be \$12.5 billion, and the STAR transfer is projected to be \$3.5 billion.

All Funds income tax receipts of \$53 billion in FY 2018 are projected to increase \$2.9 billion (5.9 percent) from the prior year. Gross receipts are projected to increase 5 percent, reflecting withholding that is projected to grow by \$1.4 billion (3.7 percent) and estimated payments related to tax year 2017 that are projected to grow by \$964 million (8 percent). Payments from extensions for tax year 2016 are projected to increase by \$375 million (7.5 percent) and final returns are expected to increase \$171 million (6.4 percent). Delinquencies are projected to increase \$39 million (2.8 percent) from the prior year. Total refunds are projected to increase by \$46 million (0.5 percent) from the prior year.

General Fund income tax receipts for FY 2018 are projected to increase by \$2.2 billion (6.3 percent) to \$36.3 billion

All Funds income tax receipts are projected to increase by \$1.4 billion (2.6 percent) in FY 2019 to reach \$54.4 billion, while General Fund receipts are projected to total \$37.3 billion.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
STATE/ALL FUNDS	15,384	15,850	3.0%	16,394	3.4%	16,916	3.2%	17,487	3.4%
Sales Tax	12,991	13,532	4.2%	14,067	4.0%	14,618	3.9%	15,212	4.1%
Cigarette and Tobacco Taxes	1,314	1,220	-7.2%	1,226	0.5%	1,187	-3.2%	1,148	-3.3%
Motor Fuel Tax	487	485	-0.4%	485	0.0%	482	-0.6%	478	-0.8%
Highway Use Tax	140	148	5.7%	142	-4.1%	143	0.7%	152	6.3%
Alcoholic Beverage Taxes	251	256	2.0%	261	2.0%	266	1.9%	271	1.9%
Taxicab Surcharge	82	85	3.7%	85	0.0%	85	0.0%	85	0.0%
Auto Rental Tax	119	124	4.2%	128	3.2%	135	5.5%	141	4.4%
GENERAL FUND¹	6,691	6,890	3.0%	7,196	4.4%	7,451	3.5%	7,725	3.7%
Sales Tax	6,084	6,325	4.0%	6,582	4.1%	6,841	3.9%	7,120	4.1%
Cigarette and Tobacco Taxes	356	309	-13.2%	353	14.2%	344	-2.5%	334	-2.9%
Alcoholic Beverage Taxes	251	256	2.0%	261	2.0%	266	1.9%	271	1.9%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2016 are estimated to be \$15.9 billion, an increase of \$466 million (3 percent) from FY 2015 results. Sales tax receipts are estimated to increase \$541 million (4.2 percent) from FY 2015, resulting from 4.4 percent base (i.e., absent law changes) growth. Cigarette and tobacco tax collections are estimated to decline \$94 million (7.2 percent), primarily reflecting large declines in taxable cigarette consumption (particularly in New York City) and cigar tax refunds resulting in part from a nonbinding Administrative Law Judge Determination (Matter of Davidoff of Geneva, Inc.). Motor fuel tax collections are expected to decrease \$2 million (0.4 percent), reflecting a decrease in audit collections as they return to historical levels, partially offset by slight growth in gasoline and diesel consumption.

General Fund consumption/use tax receipts for FY 2016 are estimated to total \$6.9 billion, an increase of \$199 million (3 percent) from FY 2015 results. This increase largely reflects increased sales tax collections, offset by cigar tax refunds and a decline in cigarette tax collections.

All Funds consumption/use tax receipts for FY 2017 are projected to be \$16.4 billion, an increase of \$544 million (3.4 percent) from the prior year. The projected \$535 million (4 percent) increase in sales tax receipts reflects sales tax base growth of 4.2 percent, due to strong projected disposable income growth.

General Fund consumption/use tax receipts are projected to total \$7.2 billion in FY 2017, a \$306 million (4.4 percent) increase from the prior year. The projected increase in sales tax receipts reflects the All Funds trends noted above. The projected increase in cigarette and tobacco tax receipts is the result of an artificially low FY 2016 base created by the cigar tax refunds mentioned earlier.

All Funds consumption/use tax receipts are projected to increase to \$16.9 billion (3.2 percent) in FY 2018 and to \$17.5 billion (3.4 percent) in FY 2019, largely representing base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

General Fund consumption/use tax receipts are projected to increase to \$7.5 billion (3.5 percent) in FY 2018 and \$7.7 billion (3.7 percent) in FY 2019, reflecting the All Funds trends noted above.

General Fund sales and use tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on State sales and use tax revenue bonds. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
STATE/ALL FUNDS	8,504	8,137	-4.3%	8,047	-1.1%	8,261	2.7%	8,464	2.5%
Corporate Franchise Tax	3,548	4,673	31.7%	4,419	-5.4%	4,591	3.9%	4,756	3.6%
Corporation and Utilities Tax	728	794	9.1%	811	2.1%	815	0.5%	835	2.5%
Insurance Tax	1,533	1,585	3.4%	1,559	-1.6%	1,614	3.5%	1,687	4.5%
Bank Tax	1,536	(10)	-100.7%	203	2130.0%	190	-6.4%	143	-24.7%
Petroleum Business Tax	1,159	1,095	-5.5%	1,055	-3.7%	1,051	-0.4%	1,043	-0.8%
GENERAL FUND	6,265	5,897	-5.9%	5,792	-1.8%	5,959	2.9%	6,109	2.5%
Corporate Franchise Tax	2,990	3,909	30.7%	3,617	-7.5%	3,747	3.6%	3,862	3.1%
Corporation and Utilities Tax	577	612	6.1%	619	1.1%	619	0.0%	630	1.8%
Insurance Tax	1,375	1,414	2.8%	1,383	-2.2%	1,431	3.5%	1,495	4.5%
Bank Tax	1,323	(38)	-102.9%	173	555.3%	162	-6.4%	122	-24.7%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2016 are estimated at \$8.1 billion, a decrease of \$367 million (4.3 percent) from FY 2015 results. The estimate primarily reflects a decline resulting from the first year of corporate tax reform (\$205 million) and a decrease in petroleum business tax (PBT) receipts, due to declines in the PBT index rates for 2015 and 2016.

Corporate franchise tax receipts are estimated to increase \$1.1 billion (31.7 percent) in FY 2016, reflecting corporate tax reform, which repealed the bank tax and imposed the corporate franchise tax on former bank taxpayers beginning in tax year 2015. Audit receipts are expected to increase \$491 million (79.8 percent), representing a rebound in large case audits.

Corporation and utilities tax receipts are expected to increase \$66 million (9.1 percent) in FY 2016. Both gross receipts and audits are expected to increase from the prior year, while refunds are expected to return to historical trends.

Insurance tax receipts are expected to increase \$52 million (3.4 percent) in FY 2016. Premiums from authorized insurers are expected to grow at trend rates. Audits and refunds are also expected to reflect historical trends.

Bank tax receipts are estimated to decrease by over \$1.5 billion (100.7 percent) in FY 2016, reflecting the movement of 2015 liability payments to the corporate franchise tax. Negative FY 2016 bank tax receipts reflect the net of payments from fiscal year filers with a liability period start date of 2014, prior period adjustments from calendar year and fiscal year filers, and audit receipts. Audit receipts are estimated to decline \$525 million as several large FY 2015 bank tax cases are not expected to be repeated in FY 2016.

PBT receipts are expected to decrease \$64 million (5.5 percent) in FY 2016, primarily due to the 3.2 percent decrease in the PBT rate index effective January 2015 and the estimated 5 percent decrease effective January 2016. These declines are partially offset by slight growth in both estimated gasoline and diesel consumption.

General Fund business tax receipts for FY 2016 of \$5.9 billion are estimated to decrease \$368 million (5.9 percent) from FY 2015 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2017 of \$8 billion are projected to decrease \$90 million (1.1 percent) from the prior year. The decline in corporate franchise tax receipts of \$254 million (5.4 percent) is the result of the decrease in the entire net income tax rate from 7.1 percent to 6.5 percent and the first year of the capital tax base phase-out (both effective for tax year 2016). These items were part of corporate tax reform enacted in the FY 2015 Budget. This is partially offset by an increase in bank tax receipts of \$213 million. The FY 2017 bank tax forecast includes smaller prior period (i.e. negative) adjustments than FY 2016. This factor accounts for the year-over-year increase. Corporation and utilities tax receipts growth of \$17 million (2.1 percent) reflects trend growth in FY 2017. Insurance tax receipts are projected to decline \$26 million (1.6 percent). Growth in insurance tax premiums is more than offset by the first year of refunds for the tax credit for assessments paid to the Life Insurance Guaranty Corporation (Insurance Law section 7712(a)(b) and tax law section 1511(f)). PBT receipts are expected to decrease \$40 million (3.7 percent) in FY 2017, primarily due to the estimated 5 percent decrease in the PBT rate index effective January 2016 noted above, and projected small declines in taxable motor fuel consumption, partially offset by growth in diesel fuel consumption.

General Fund business tax receipts for FY 2017 of \$5.8 billion are projected to decrease \$105 million (1.8 percent), reflecting the All Funds trends discussed above.

ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS					
(millions of dollars)					
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	Actual	Actual	Actual	Actual	Enacted
Corporate Franchise Tax	3,176	3,009	3,812	3,548	4,673
Audit	1,080	752	1,157	615	1,106
Non-Audit	2,096	2,257	2,655	2,933	3,567
Corporation and Utilities Taxes	797	894	797	728	794
Audit	30	100	56	16	44
Non-Audit	767	794	741	712	750
Insurance Taxes	1,413	1,509	1,444	1,533	1,585
Audit	21	34	22	22	31
Non-Audit	1,392	1,475	1,422	1,511	1,554
Bank Taxes	1,392	1,912	1,050	1,536	(10)
Audit	125	405	102	809	284
Non-Audit	1,267	1,507	948	727	(294)
Petroleum Business Taxes	1,100	1,140	1,155	1,159	1,095
Audit	6	5	7	15	6
Non-Audit	1,094	1,135	1,148	1,144	1,089
Total Business Taxes	7,878	8,464	8,258	8,504	8,137
Audit	1,262	1,296	1,344	1,477	1,471
Non-Audit	6,616	7,168	6,914	7,027	6,666

All Funds business tax receipts for FY 2018 and FY 2019 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to \$8.3 billion (2.7 percent) in FY 2018, and increase to \$8.5 billion (2.5 percent) in FY 2019. General Fund business tax receipts are expected to increase to \$6 billion (2.9 percent) in FY 2018 and \$6.1 billion (2.5 percent) in FY 2019.

Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
STATE/ALL FUNDS	2,166	2,154	-0.6%	2,122	-1.5%	2,086	-1.7%	2,095	0.4%
Estate Tax	1,109	1,050	-5.3%	965	-8.1%	891	-7.7%	855	-4.0%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Estate Transfer Tax	1,038	1,085	4.5%	1,138	4.9%	1,176	3.3%	1,221	3.8%
Pari-Mutuel Taxes	18	18	0.0%	18	0.0%	18	0.0%	18	0.0%
All Other Taxes	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
GENERAL FUND¹	1,128	1,069	-5.2%	984	-8.0%	910	-7.5%	874	-4.0%
Estate Tax	1,109	1,050	-5.3%	965	-8.1%	891	-7.7%	855	-4.0%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	18	18	0.0%	18	0.0%	18	0.0%	18	0.0%
All Other Taxes	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2016 are estimated to be nearly \$2.2 billion, a \$12 million (0.6 percent) decrease from FY 2015 results. This reflects a \$47 million (4.5 percent) increase in real estate transfer tax receipts, more than offset by a \$59 million (5.3 percent) decrease in estate tax receipts. The estate tax decrease is primarily the result of FY 2015 Enacted Budget legislation that raises the filing threshold from \$1 million to \$5.25 million over a four-year period. The real estate transfer tax estimate reflects both an increase in the volume of transactions in New York City and modest price growth compared to the prior year.

General Fund other tax receipts are expected to be nearly \$1.1 billion in FY 2016, a \$59 million (5.2 percent) decrease from FY 2015 results, reflecting the estate tax change noted above.

All Funds other tax receipts for FY 2017 are projected to be just over \$2.1 billion, a \$32 million (1.5 percent) decrease from FY 2016. This reflects projected growth in real estate transfer tax receipts due to projected growth in both the residential and commercial real estate markets, particularly in New York City, more than entirely offset by a decline in projected estate tax receipts due to the continued phase-in of the increased filing threshold.

General Fund other tax receipts are projected to be just under \$1 billion in FY 2017, reflecting the decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2018 and FY 2019 reflect projected trends in household net worth, housing starts and housing prices. All Funds other tax receipts are projected to decrease to just under \$2.1 billion (1.7 percent) in FY 2018, and remain at \$2.1 billion in FY 2019. General Fund other tax receipt estimates for FY 2018 and FY 2019 are projected to decrease by 7.5 percent and 4 percent, respectively, due to the projected decline in estate tax receipts noted above.

The divergence in growth rates between the All Funds and General Fund projections for other tax receipts reflects the dedication of the segment exhibiting growth (real estate transfer tax receipts) to other funds, and reflection of the declining portion (estate tax receipts) remaining in the General Fund.

Miscellaneous Receipts and Federal Grants

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2015	FY 2016		FY 2017		FY 2018		FY 2019	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	29,438	25,410	-13.7%	24,094	-5.2%	23,751	-1.4%	23,887	0.6%
General Fund	8,410	4,365	-48.1%	2,591	-40.6%	2,353	-9.2%	2,212	-6.0%
Special Revenue Funds	16,557	15,276	-7.7%	15,709	2.8%	15,925	1.4%	16,040	0.7%
Capital Projects Funds	3,961	5,299	33.8%	5,341	0.8%	5,020	-6.0%	5,183	3.2%
Debt Service Funds	510	470	-7.8%	453	-3.6%	453	0.0%	452	-0.2%

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, monetary settlements and a variety of fees and licenses.

All Funds miscellaneous receipts are projected to total \$25.4 billion in FY 2016, a decrease of 13.7 percent from FY 2015 results. This decrease is primarily due to the loss of one-time monetary settlements described earlier in this Financial Plan. In addition to the loss of monetary settlements, the SIF reserve release in connection with Workers' Compensation law changes included in the FY 2014 Enacted Budget decreased by \$750 million from the amount received during the prior year. In other State funds, FY 2015 miscellaneous receipts are driven by year-to-year variations to health care surcharges and other HCRA resources, bond proceeds, atypical fines and the phase-out of the temporary utility assessment.

All Funds miscellaneous receipts are projected to decrease annually beginning in FY 2016, mainly due to the loss of payments from SIF, the phase-out of the temporary utility assessment, and bond proceeds available to fund capital improvement projects.

Multi-Year Financial Plan Projections



FEDERAL GRANTS (millions of dollars)									
	FY 2015	FY 2016	FY 2017		FY 2018		FY 2019		
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	
ALL FUNDS	48,636	51,396	5.7%	51,553	0.3%	52,012	0.9%	52,202	0.4%
General Fund	2	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	46,531	49,627	6.7%	49,850	0.4%	50,322	0.9%	50,531	0.4%
Capital Projects Funds	2,030	1,696	-16.5%	1,630	-3.9%	1,617	-0.8%	1,598	-1.2%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are expected to increase in FY 2016, which is mainly driven by enhanced Federal Medicaid funding associated with the ACA. Federal grants are expected to grow to \$52.2 billion by FY 2019, reflecting the continuation of growth in Federal Medicaid spending associated with the ACA, partly offset by the expected phase-down of Federal disaster assistance aid.

Enacted Budget Tax Law Changes

The Enacted Budget contains tax law and fee changes that promote economic activity, simplify taxation, reduce STAR spending, and eliminate certain fees.

Tax Credits

	TAX CREDITS (millions of dollars)			
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Create the Urban Youth Jobs Program Tax Credit	-	(10)	-	(10)
Create the Employee Training Incentive Program (ETIP) Tax Credit	-	-	-	-
Extend the Excelsior Tax Credit Program to Additional Industries	-	-	-	-
Expand Availability of the Electric Vehicle Charging Credit	-	-	-	-
TOTAL TAX CREDITS	-	(10)	-	(10)

- **Create the Urban Youth Jobs Program.** Doubles the annual allocation to \$20 million for program years 2015 through 2017. This program builds and expands upon the success of the Youth Works Tax Credit Program, which provided a credit to employers who hire unemployed, at-risk youth.
- **Create the Employee Training Incentive Program (ETIP) Tax Credit.** The ETIP will help strategic businesses in New York State meet critical training needs by equipping them with the resources necessary to train employees to fill new jobs or to retrain existing employees to work with new, advanced technology. ETIP incentives will be available to partially offset employer training costs by providing up to \$5 million in tax credits out of existing Excelsior Jobs Program funding to support 50 percent of training or internship costs.
- **Extend the Excelsior Tax Credit Program to Additional Industries.** Expands eligibility for the Excelsior Jobs Program to include entertainment companies, music production, and the production or post-production of video games.
- **Expand Availability of the Electric Vehicle Charging Credit.** The credit is expanded to include cases where some credit-eligible costs are covered by grants. Previously, any usage of grants prohibited usage of the credit.

Tax Cut and Reform Actions

TAX CUT AND REFORM ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Extend the Wine Tasting Sales and Use Tax Exemption to Other Alcoholic Beverages	-	-	-	-
Exempt Solar Power Purchase Agreements from State and Local Sales Tax	-	-	-	-
Simplify Excise Taxes on Wireless Telecom	-	-	(4)	-
Cap the Sales and Use Tax on Boats	-	-	-	-
Other Sales and Use Tax	(10)	(10)	(10)	(10)
Protect Taxpayers from a Sales Tax Increase Resulting from Dodd-Frank Regulations	-	-	-	-
Exempt Public Libraries from the Metropolitan Commuter Transportation Mobility Tax	-	-	-	(1)
TOTAL TAX CUT AND REFORM ACTIONS	(10)	(10)	(14)	(11)

- Extend the Wine Tasting Sales and Use Tax Exemption to Other Alcoholic Beverages.** Extends sales tax exempt status to include beer, cider and liquor.
- Exempt Solar Power Purchase Agreements from State and Local Sales Tax.** Electricity generated at the premises of, and sold to, a customer via solar equipment owned by the equipment vendor is exempt from State sales and use tax (with local option).
- Simplify Excise Taxes on Wireless Telecommunication Companies.** Section 184 of tax law ceases for wireless telecom and is replaced by an amended Section 186-e that increases the tax rate (2.9 percent from 2.5 percent). This increment is dedicated for transportation funding in the same manner as Section 184 tax receipts were.
- Cap the Sales and Use Tax on Boats.** No tax is imposed on receipts in excess of \$230,000 from every sale or lease of a boat. Use tax will now be imposed only on a New York resident's boat (at the same cap) if a vessel is used in the State for more than 90 consecutive days. Previously, the use tax was due on a boat registered in another state, by a New York resident, upon entry of the boat into New York waters.
- Protect Taxpayers from a Sales Tax Increase Resulting from Dodd-Frank Regulations.** Provides that taxpayers undertaking corporate dissolutions or spin-offs in compliance with Dodd-Frank regulations can treat as tax-exempt otherwise taxable sales and services made to the new parent entity.
- Exempt Public Libraries from the Metropolitan Commuter Transportation Mobility Tax.** Effective January 1, 2016, all public and free association libraries are exempt from the Metropolitan Commuter Transportation Mobility Tax.

Tax Simplification Actions

TAX SIMPLIFICATION ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Allow Petroleum Business Tax Refunds for Farm Use of Highway Diesel Motor Fuel	-	-	-	-
Impose Local Sales Tax on Prepaid Wireless Based on Retail Location	-	-	-	-
Combine the DOS Biennial Information Statement and Tax Return Filings and Repeals \$9 DOS Fee	-	-	-	-
TOTAL TAX SIMPLIFICATION ACTIONS	-	-	-	-

- Allow PBT Refunds for Farm Use of Highway Diesel Motor Fuel.** Farmers who purchase taxable highway diesel fuel are able to request a refund for the portion used for farm use. This relieves farmers of the need to maintain separate tanks for taxable and non-taxable diesel fuel.
- Impose Local Sales Tax on Prepaid Wireless Based on Retail Location.** The local sales tax collection for prepaid wireless is now based on vendor location, not the customer’s residential location. This aligns the tax treatment of prepaid mobile service and prepaid calling service.
- Combine the DOS Biennial Information Statement and Tax Return Filings, and Repeals \$9 DOS Fee.** LLCs and corporations that formerly filed biennial information statements with the Department of State (DOS) now provide the information as part of their State tax return. Additionally, the \$9 fee that accompanied the former biennial statement is repealed.

Tax Law Extenders

TAX LAW EXTENDERS (millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Extend the Limitation on Charitable Contribution Deductions for High-Income New York State and New York City Personal Income Taxpayers for Two Years	-	70	-	70
Extend Warrantless Wage Garnishment for Two Years	15	15	15	15
Extend and Reform the Brownfield Cleanup Program	-	-	-	-
Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year	-	-	-	-
TOTAL TAX LAW EXTENDERS	15	85	15	85

- **Extend the Limitation on Charitable Contribution Deductions for High-Income New York State and New York City Personal Income Taxpayers for Two Years.** The limitation on charitable contribution deductions for New York State and New York City taxpayers with adjusted annual gross income over \$10 million is extended. The charitable contribution deduction limitation of 25 percent allowed under State Tax Law would have otherwise expired at the end of tax year 2015. The limitation has had no noticeable impact on charitable giving.
- **Extend Warrantless Wage Garnishment for Two Years.** The authorization for DTF to garnish wages of delinquent taxpayers without filing a warrant with the DOS or County Clerks is extended. The current program, now set to expire on April 1, 2017, has been successful in eliminating the unfunded mandate on counties to receive warrants from DTF. The program has also proven to be taxpayer-friendly, since warrants appear on an individual's credit report for seven years, even if the delinquency has been resolved.
- **Extend and Reform the Brownfield Cleanup Program (BCP).** The BCP is extended through March 31, 2026 (expiration was December 31, 2015). New provisions include: (1) reforms to protect taxpayers and promote brownfield redevelopment of sites that are located in economically distressed areas, worth less than the cost to cleanup, or which will result in the development of affordable housing, (2) establishes the BCP-EZ program, which provides for expedited remediation, (3) provides a more detailed description of the eligible costs for the redevelopment tax credits, and (4) sunsets the credit for real property taxes and the environmental remediated insurance credit for new participants.
- **Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year.** The pari-mutuel tax rate and other racing-related provisions are extended for one year.

STAR Program Actions (Net Financial Plan Impact)

SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS				
Net Financial Plan Impact (millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Eliminate Entirely the New York City PIT Rate Reduction Benefit for High-Income Taxpayers	41	51	41	51
Recoup Savings Retrospectively from Unlawfully Claimed Exemptions Removed During Reregistration Process	1	-	1	-
Allow Unenrolled Registrants to Receive the STAR Exemption Benefit for Tax Year 2014	(1)	-	(1)	-
TOTAL SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS	41	51	41	51

- Eliminate the New York City PIT Rate Reduction Benefit for High-Income Taxpayers.** New York City PIT STAR provides PIT relief to all New York City resident taxpayers – a benefit not directly related to property taxes. Even residents with incomes above \$500,000 get a rate reduction benefit on the first \$500,000 of income. The latter is the only instance of a household with income above \$500,000 receiving a STAR benefit. Eliminating the NYC PIT rate reduction benefit for incomes exceeding \$500,000 makes NYC PIT STAR more consistent with the STAR exemption program outside NYC.
- Recoup Savings Retrospectively from Unlawfully Claimed Exemptions Removed During Reregistration Process.** Allows DTF to recapture undeserved STAR benefits for years prior to 2014-15.
- Allow Unenrolled Registrants to Receive the STAR Exemption Benefit for Tax Year 2014.** Allows homeowners who registered for the STAR exemption program with the DTF, but failed to file timely exemption applications with their local assessors, to receive the STAR exemption benefit for tax year 2014.

Technical Corrections

TECHNICAL CORRECTIONS				
(millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Require Commercial Production Tax Credit Economic Impact Report	-	-	-	-
Amend the Corporate Tax Reform Statute for Technical Changes	-	7	-	7
Amend the Estate Tax Statute for Technical Changes	-	-	-	-
Technical Changes	-	-	-	-
TOTAL TECHNICAL CORRECTIONS	-	7	-	7

- Require Commercial Production Tax Credit Economic Impact Report.** Empire State Development (ESD) is required to produce an annual report which includes information for assessing the economic impact of the program. Information such as the amount of the tax credit, credit-eligible man-hours and associated wages and the name of the taxpayer will be included in the annual report.
- Amend the Corporate Tax Reform Statute for Technical Changes.** (Part A of Chapter 59 of the Laws of 2014).

- **Amend the Estate Tax Statute for Technical Changes.** Clarifies that the 2015 Enacted Budget estate tax changes are permanent rather than only for tax year 2014.
- **Amend the PIT, MTA Mobility Tax, and Credit for Disabled Workers Statutes for Technical Changes.** Makes various technical corrections to PIT and MTA Mobility Tax legislation, and the credit for employing disabled persons that was passed as part of the 2015 Enacted Budget.

Gaming Initiatives

GAMING INITIATIVES (millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Extend the Video Lottery Gaming (VLG) Vendor's Capital Awards Program for One Year	-	-	-	-
Expand Electronic Gaming Offerings at Video Lottery Gaming (VLG) Facilities	-	-	20	40
Extend Monticello VLT Commission Rate for One Year	-	-	(2)	-
Extend NYRA Reorganization Board	-	-	-	-
Modify Multi-Jurisdictional Advanced Deposit Wagering (ADW) Distributions	-	-	-	-
Provide Funding for Jockey Insurance Compensation Fund	-	-	-	-
TOTAL GAMING INITIATIVES	-	-	18	40

- **Extend the Video Lottery Gaming (VLG) Vendor's Capital Awards Program for One Year.** Provides VLG operators one additional year to earn qualifying capital awards, which encourage facility upgrades and improvements.
- **Expand Electronic Gaming Offerings at Video Lottery Gaming (VLG) Facilities.** The definition of "video lottery gaming" is expanded to include games where an element of skill and player interaction may be incorporated into games that determine winners to a material degree upon the element of chance.
- **Extend Monticello Video Lottery Terminal (VLT) Commission Rate for One Year.** The higher commission rate paid to the operators of the Monticello VLT facility is extended for one additional year.
- **Extend New York Racing Association (NYRA) Reorganization Board.** The maximum term of the NYRA Reorganization Board of Directors is extended from three years to four years.
- **Modify Multi-Jurisdictional Advanced Deposit Wagering (ADW) Distributions.** Multi-jurisdictional account wagering providers with in-state affiliates or contractual partners are required to pay the market origin fee instead of making distributions based on the requirements on the in-state affiliate. However, multi-jurisdictional account wagering providers with contracts entered into on or before January 1, 2014 may continue the current distribution policy through December 31, 2016.

- **Provide Funding for Jockey Insurance Compensation Fund.** An additional one percent of purses, for a, two-year period, is paid to the Jockey Injury Compensation Fund to cover the cost of workers' compensation insurance.

Fee Actions

FEE ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Repeal 57 Nuisance Fees Charged by Various State Agencies ¹	(0)	(0)	(3)	(3)
Align DEC Fees with Service Levels	-	-	6	6
Enhance Oil Spill Preparedness	-	-	4	9
TOTAL FEE ACTIONS	(0)	(0)	7	12

¹With the exception of one fee, these repealed nuisance fees generated less than \$50,000 annually each. They are outlined in the table below.

- **Repeal 57 Fees Charged by Various State Agencies.** The repeal of 57 fees reduces bureaucracy while maintaining functions that these fees supported, saving businesses and individuals across New York State approximately \$3 million annually. (Full list of repealed fees at the end of this section.)
- **Align Department of Environmental Conservation (DEC) Fees with Service Levels.** Several DEC pollution control programs that were designed to be self-supporting were running in the red, even as DEC held spending for these programs flat. This adjustment better reflects the operational cost, and shifts the burden from State taxpayers to the regulated community while obviating reductions to these important programs. Specifically, this increases certain fees related to air regulation and enforcement under Federal and State statutes, and water pollution discharge.
- **Enhance Oil Spill Preparedness.** In response to the increased volume of crude oil being transported through New York State, the fees for oil transported and transferred in the State are increased to 13.75 cents per barrel, if the oil continues on to other states. The lower rate is retained for any facility that transfers barrels for their own use and does not sell or transfer the product. These additional revenues ensure solvency of the oil spill fund, provide the necessary funding for staff and associated costs, and support compliance with Executive Order 125, which outlines steps the State is taking to improve oil spill response and prevention.

Multi-Year Financial Plan Projections



REPEAL 57 NUISANCE FEES (thousands of dollars)				
	General Fund		All Funds	
	FY 2016	FY 2017	FY 2016	FY 2017
Department of Agriculture and Markets	(21)	(23)	(40)	(42)
Commercial Feed License Fee	(5)	(5)	(5)	(5)
Commercial Feed Tonnage Fee	-	-	-	-
Farm Product Dealer's License Fee	-	-	(9)	(9)
Food Salvage Fee	(3)	-	(3)	-
Liming Brands License Fee	(1)	(7)	(1)	(7)
Refrigerated Warehouse Fee	(8)	(1)	(8)	(1)
Rendering Transportation Fee	(2)	(2)	(2)	(2)
Rendering Plants Fee	(2)	(2)	(2)	(2)
Seed Potato Inspection Fee	-	-	(10)	(10)
Soil and Plant Inoculants Fee	-	(6)	-	(6)
Department of Environmental Conservation	(1)	(1)	(1)	(1)
Flood Control Permit Fee	(1)	(1)	(1)	(1)
Department of Labor	(101)	(101)	(243)	(243)
Antique Boiler Inspection Fees (2)	-	-	(2)	(2)
Boiler Certificate of Competency Fee	-	-	(10)	(10)
Boiler Shop Survey Fee	-	-	(7)	(7)
Building Plans Exam Fee	-	-	(1)	(1)
Commissary Operator Permit Fee	-	-	-	-
Day of Rest Easement Application Fee	-	-	(4)	(4)
Defense Dispensation Fee	-	-	-	-
Farm Grower Permit Fee	-	-	(9)	(9)
Farm Labor Contractor Permit Fee	-	-	(14)	(14)
Industrial Homework Certificates Employer Application Fee	-	-	-	-
Industrial Homework Certificates Homeworker Application Fee	-	-	(1)	(1)
Laser Regulation Permit Fee	(25)	(25)	(25)	(25)
Miniature Boiler Inspection Fee	-	-	-	-
Professional Employer Organization Exemption Fee	(11)	(11)	(11)	(11)
Professional Employer Organization Initial Registration and Renewal Fees (2)	(65)	(65)	(65)	(65)
Scaffold Plan Examination Fee	-	-	(28)	(28)
Ski Tows and Passenger Tramways Fees (2)	-	-	(19)	(19)
Slot Machine Repair License Fees (2)	-	-	-	-
Workplace Safety and Loss Certification Application Fee	-	-	(18)	(18)
Workplace Safety and Loss DOL Consultation Fee	-	-	-	-
Workplace Safety and Loss Incentive Application and Renewal Fees (2)	-	-	-	-
Workplace Safety and Loss Recertification Application Fee	-	-	(21)	(21)
Workplace Safety and Loss Specialist Certification and Recertification Fees (2)	-	-	(8)	(8)
Written Assurances Fee	-	-	-	-
Department of State	-	-	(2,579)	(2,579)
Apartment Information Vendor License Fee	-	-	(9)	(9)
Biennial Statement Filing Fee	-	-	(2,570)	(2,570)
Cease and Desist List License Fee	-	-	-	-
Department of Transportation	-	-	(40)	(40)
Intrastate Authority Application Fee	-	-	(40)	(40)
Workers' Compensation Board	(20)	(20)	(95)	(95)
Chiropractic Arbitration Request Fee	-	-	(4)	(4)
Claimant's Representative License Fee	(8)	(8)	(8)	(8)
Hospital Arbitration Request Fee	-	-	(1)	(1)
Medical Arbitration Request Fee	-	-	(43)	(43)
Medical Center Authorization License Fee	-	-	-	-
Medical / X-Ray Bureau Authorization License Fee	-	-	(10)	(10)
Podiatry Arbitration Request Fee	-	-	-	-
Psychology Arbitration Request Fee	-	-	(1)	(1)
Publication Fee	-	-	(1)	(1)
Self-insurer's Representative License Fee	(12)	(12)	(12)	(12)
Subpoena Fee	-	-	(13)	(13)
X-Ray Laboratory Authorization License Fee	-	-	(2)	(2)
TOTAL REPEAL 57 NUISANCE FEES	(143)	(145)	(2,998)	(3,000)

Department of Agriculture and Markets: Ten fees are repealed, saving New Yorkers \$40,000 annually beginning in FY 2016.

- **Commercial Feed License Fee.** This \$100 license fee was collected annually from manufacturers of commercial feed.
- **Commercial Feed Tonnage Fee.** This \$0.10 per ton license fee, collected annually from commercial feed distributors, was amended to establish a minimum reporting requirement. Commercial distributors will be relieved from the nuisance of paying a small annual fee when handling commercial feed of 100 tons or less per year.
- **Farm Product Dealer's License Fee.** This \$20 license fee was collected annually from farm product dealers.
- **Food Salvage Fee.** This \$100 license fee was collected from food salvage dealers every two years.
- **Liming Brands License Fee.** This \$40 license fee was collected from suppliers of liming materials every two years.
- **Refrigerated Warehouse Fee.** This \$200 license fee was collected from refrigerated warehouses every two years.
- **Rendering Transportation Fee.** This license fee was collected from rendering (i.e. animal remains) transportation facilities on an annual basis. The fee amount was \$25 per service and \$10 per vehicle.
- **Rendering Plants Fee.** This license fee was collected from operators of disposal plants on an annual basis. The annual license fee for disposal plants was \$100, plus an inspection fee of \$10 for each vehicle. The annual license fee for transportation services was \$25, plus an inspection fee of \$10 for each vehicle.
- **Seed Potato Inspection Fee.** This fee of \$34 was collected hourly for the inspection of both seed potato plants and seed potato tubers for the NY Seed Improvement Project.
- **Soil and Plant Inoculants Fee.** This \$20 license fee was collected every two years for the purpose of selling product brands which contain microorganisms for inoculation purposes.

Department of Environmental Conservation (DEC): One fee is repealed, saving New Yorkers \$1,000 annually beginning in FY 2016.

- **Flood Control Permit Fee.** This regulatory fee of \$25 was levied upon application for a permit to determine if the proposed activity will impair the operation of flood control works or impede the maintenance thereof, including access for operation and maintenance.

Department of Labor (DOL): A total of 30 fees are repealed, saving New Yorkers \$243,000 annually beginning in FY 2016.

- **Antique Boiler Inspection Fees (2).** These \$25 fees were charged to the owners of antique steam engines and other boilers which must be inspected both internally and externally on an annual basis.
- **Boiler Certificate of Competency Fee.** This \$35 regulatory fee was charged annually to inspectors working for authorized insurance companies, to obtain a certificate indicating their ability to inspect boilers on behalf of DOL.
- **Boiler Shop Survey Fee.** This regulatory fee of \$600 was charged every three years to boiler manufacturers and repairers, upon submission to DOL of the required plan detailing their quality control system.
- **Building Plans Exam Fee.** This \$200 fee was charged to property owners or contractors who submit plans to DOL for review and approval to build or alter a place of public assembly. The fee is based on the cost of the project, with a maximum charge of \$200.
- **Commissary Operator Permit Fee.** This \$40 fee was charged to commissary operators who apply for a one-year permit to operate a commissary that serves migrant farm workers at the camp or processing plant where they are employed.
- **Day of Rest Easement Application Fee.** This \$40 fee was charged to employers that apply for up to a one-year exemption from the day of rest statute, which requires employees be given one 24-hour period off from work in each calendar week.
- **Defense Dispensation Fee.** This \$40 fee was charged to defense contractors and canneries that apply for a dispensation from certain provisions of the Labor Law.
- **Farm Grower Permit Fee.** This \$40 fee was charged to agriculture growers who apply for a one-year permit which allows the grower to employ more than five non-H2A migrant farm workers.
- **Farm Labor Contractor Permit Fee.** This \$200 fee was charged to farm labor contractors that apply for a one-year permit to transport and provide non-H2A migrant farm workers to a grower.

- **Industrial Homework Certificates Employer Application Fee.** This \$100 fee was charged to manufacturers who apply for a one-year permit that allows the manufacturer to distribute unfinished material, such as clothing or jewelry, to employees for completion at their homes.
- **Industrial Homework Certificates Homeworker Application Fee.** This \$25 fee was charged to individuals who apply for a one-year permit which enables the individual to accept and perform industrial work in their homes.
- **Laser Regulation Permit Fee.** This regulatory fee of \$600 was charged every three years to organizations that operate lasers, upon registration of mobile lasers and laser installations with DOL.
- **Miniature Boiler Inspection Fee.** This \$50 fee was charged to the owners of miniature boilers which must be inspected annually.
- **Professional Employer Organization Exemption Fee.** This \$250 fee was charged annually to professional employer organizations that are already licensed in another state. The organization must have no office and less than 25 employees in New York to qualify for this exemption.
- **Professional Employer Organization Initial Registration and Renewal Fees (2).** This \$1,000 initial registration fee was charged to professional employer organizations to allow the leasing of employees to businesses in New York. An additional \$500 renewal fee was charged annually.
- **Scaffold Plan Examination Fee.** This regulatory fee of \$1,000 was charged to businesses for each required submission of detailed plans for scaffold equipment that will be used to perform work on buildings taller than 75 feet. DOL reviews the plans to ensure the safety of the equipment.
- **Ski Tows and Passenger Tramways Fees (2).** These \$50 (ski tow) and \$100 (ski lift) regulatory fees were charged to ski areas and other passenger tramway operators at the time of the annual DOL inspection.
- **Slot Machine Repair License Fees (2).** These fees (\$500 per machine and an additional \$100 fee per trainee) were charged every six months to approved apprenticeship training programs that possess slot machines for training purposes.
- **Workplace Safety and Loss Certification Application Fee.** This \$1,000 fee was charged to individuals who apply and are certified to conduct workplace safety and loss prevention consultations. Fees are lower when multiple individuals apply.
- **Workplace Safety and Loss DOL Consultation Fee.** This \$350 fee was charged to clients who receive a workplace safety and loss prevention consultation from DOL staff.

- **Workplace Safety and Loss Incentive Application and Renewal Fees (2).** A regulatory fee of \$100 was charged to individuals or businesses who apply for certification as a workplace safety and loss prevention management specialist, and work with employers to reduce workers' compensation costs by implementing safety and health, return to work, and/or drug and alcohol prevention programs. The fee is assessed per program for a maximum of \$300 per individual applicant. A \$100 renewal application fee is charged per program every three years for a maximum of \$300.
- **Workplace Safety and Loss Recertification Application Fee.** This regulatory recertification fee of \$300 was charged every three years to individuals certified to conduct workplace safety and loss prevention consultations. Fees are lower when multiple individuals apply.
- **Workplace Safety and Loss Specialist Certification and Recertification Fees (2).** An \$800 fee was charged to individuals who receive certification as a workplace safety and loss prevention management specialist. A \$600 recertification fee was charged every three years, with \$100 charged for each additional recertification.
- **Written Assurances Fee.** This \$100 fee was charged to apparel industry manufacturers or contractors when they apply for a one-year written assurance from DOL that a subcontractor has complied with certain requirements of the Labor Law.

Department of State (DOS): Three fees are repealed, saving New Yorkers \$2.6 million annually beginning in FY 2016.

- **Apartment Information Vendor License Fee.** This \$400 annual fee was charged for the licensure of apartment information vendors.
- **Biennial Statement Filing Fee.** This \$9 filing fee was collected at the time of filing biennial statement amendments.
- **Cease and Desist List License Fee.** This \$10 regulatory fee was collected from persons who wish to be added to the Cease and Desist List, which prohibits real estate licensees from contacting or soliciting them.

Department of Transportation (DOT): One fee is repealed, saving New Yorkers \$40,000 annually beginning in FY 2016.

- **Intrastate Authority Application Fee.** This one-time \$50 fee was charged to new trucking companies, movers, ambulette providers and charter bus operators when applying for certificates or permits.

Workers' Compensation Board (WCB): A total of 12 fees are repealed, saving New Yorkers \$95,000 annually beginning in FY 2016.

- **Chiropractic Arbitration Request Fee.** This \$5 fee was charged to service providers related to any chiropractic dispute or arbitration of a bill handled by the Board.
- **Claimant's Representative License Fee.** This \$100 fee was charged to individuals who are licensed with the WCB and represent claimants before the Board.
- **Hospital Arbitration Request Fee.** This \$5 fee was charged to service providers related to any hospital dispute or arbitration of a bill handled by the Board.
- **Medical Arbitration Request Fee.** This \$5 fee was charged to service providers for any medical dispute or arbitration of a bill handled by the Board.
- **Medical Center Authorization License Fee.** This \$200 license fee was charged to compensation medical bureaus operated by qualified physicians, for the diagnosis and treatment of industrial injuries or illnesses.
- **Medical/X-Ray Bureau Authorization License Fee.** This \$200 license fee was charged to compensation medical bureaus operated by qualified physicians, for the diagnosis and treatment of industrial injuries or illnesses.
- **Podiatry Arbitration Request Fee.** This \$5 fee was charged to service providers related to any podiatry dispute or arbitration of a bill handled by the Board.
- **Psychology Arbitration Request Fee.** This \$5 fee was charged to service providers related to any psychology dispute or arbitration of a bill handled by the Board.
- **Publication Fee.** This \$5 regulatory fee was charged for purchasing Board publications.
- **Self-Insurer's Representative License Fee.** This \$100 license fee was charged to a third party administrator and self-insured employer. These entities represent employers at Board hearings.
- **Subpoena Fee.** This \$15 regulatory fee was charged for subpoenas to obtain Board documents.
- **X-Ray Laboratory Authorization License Fee.** This \$5 license fee was charged to a separate laboratory to engage in X-ray diagnosis or treatment of an injured worker.

Disbursements

Total disbursements in FY 2016 are estimated at \$72 billion in the State's General Fund (including transfers) and \$94.3 billion in total State Operating Funds. Medicaid, education, pension costs, employee and retiree health benefits are significant drivers of annual spending growth. Over the multi-year Financial Plan, State Operating Funds spending projections assume Medicaid and School Aid will grow at their statutorily-indexed rates, with the exception of FY 2016 where the Enacted Budget increased School Aid by 6.1 percent on a school year basis, in excess of the indexed rate of 1.7 percent. The Enacted Budgets in FY 2014 and FY 2015 also approved increases for School Aid above the indexed rate.

The multi-year disbursements projections take into account various factors, including agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$63.3 billion in FY 2016 and accounts for two-thirds of total State Operating Funds spending. Education and health care spending account for nearly two-thirds of local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized in the following table.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
(millions of dollars)					
	FY 2015 Results	FY 2016 Enacted	Forecast		
			FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
MEDICAID					
Individuals Covered	6,170,304	6,356,115	6,401,031	6,423,489	6,434,718
- Child Health Plus (Caseload)	278,168	295,400	304,200	313,300	322,700
State Takeover of County/NYC Costs	\$1,701	\$2,031	\$2,360	\$2,680	\$2,989
- Family Health Plus	\$147	\$0	\$0	\$0	\$0
- Medicaid	\$1,554	\$2,031	\$2,360	\$2,680	\$2,989
EDUCATION					
SY School Aid (Funding)	\$22,150	\$23,502	\$24,439	\$25,559	26,909
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	569,200	569,300	569,400	569,400	569,400
Tuition Assistance Program (Recipients)	302,398	302,669	302,669	302,669	302,669
PUBLIC ASSISTANCE					
Family Assistance Program (Caseload)	247,629	237,675	230,690	225,303	220,501
Safety Net Program (Families)	114,643	109,098	105,340	102,501	99,995
Safety Net Program (Singles)	195,108	193,661	192,374	191,526	191,116
Total Mental Hygiene Community Beds	96,414	99,283	102,834	104,991	106,616
- OMH Community Beds	40,766	42,989	46,141	47,883	49,157
- OPWDD Community Beds	41,966	42,532	42,890	43,199	43,530
- OASAS Community Beds	13,682	13,762	13,803	13,909	13,929
PRISON POPULATION (CORRECTIONS)	52,854	52,800	52,800	52,800	52,800

Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year Basis (July 1 — June 30)

School Aid is expected to total \$23.5 billion in school year (SY) 2016, an increase of \$1.4 billion (6.1 percent) from SY 2015. This increase is provided largely through \$1 billion of additional general operating support, consisting of a \$603 million restoration in the Gap Elimination Adjustment (GEA) and a \$428 million increase in Foundation Aid. Another \$274 million supports increased reimbursement in expense-based aid programs [(e.g., transportation, Boards of Cooperative Educational Services (BOCES), school construction)] and other miscellaneous aid categories. The increase also includes \$47 million of funding for new competitive grants, led by \$30 million for prekindergarten for three- and four-year-old children.

In addition, the Enacted Budget Financial Plan provides \$75 million to help transform persistently failing schools. The Budget also continues to provide \$340 million of recurring annual funding to support Statewide Universal Full-Day Prekindergarten programs in order to incentivize and fund state-of-the-art programs and encourage creativity through competition.

Finally, the Enacted Budget maintains the two-year appropriation that continues Education Law provisions. School Aid is projected to increase by an additional \$937 million (4 percent) in SY 2017.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	SY 2015	SY 2016	Change	SY 2017	Change	SY 2018	Change	SY 2019	Change
Total	22,150	23,502	1,352 6.1%	24,439	937 4.0%	25,559	1,120 4.6%	26,909	1,350 5.3%

School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs or the Persistently Failing Schools Transformation Grants.

State Fiscal Year Basis

The State finances School Aid from General Fund and Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	21,630	23,378	8.1%	24,591	5.2%	25,686	4.5%	26,966	5.0%
General Fund Local Assistance	18,290	20,072	9.7%	21,414	6.7%	22,378	4.5%	23,664	5.7%
General Fund Local Aid Guarantee	72	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Core Lottery Aid	2,265	2,219	-2.0%	2,181	-1.7%	2,173	-0.4%	2,167	-0.3%
VLT Lottery Aid	951	950	-0.1%	976	2.7%	902	-7.6%	902	0.0%
Commercial Gaming - VLT Offset	0	0	0.0%	0	0.0%	53	0.0%	53	0.0%
Commercial Gaming	0	137	0.0%	20	-85.4%	180	800.0%	180	0.0%
Prior Year Resources	52	0	-100.0%	0	0.0%	0	0.0%	0	0.0%

State fiscal year spending for School Aid is projected to total \$23.4 billion in FY 2016. In future years, receipts available to finance this category of aid from core lottery sales are projected to decline. In addition to State aid, school districts receive over \$3 billion annually in Federal aid.

It is expected that School Aid spending will be supplemented by commercial gaming revenues, beginning in FY 2016. Three casino resorts were recommended by the State’s Gaming Facility Location Board (the “Board”) in December 2014, and a fourth casino is presently under consideration by the Board. It was previously anticipated that the State’s receipt of \$160 million in one-time casino licensing fees would be used to supplement School Aid in FY 2016, and casinos would be fully operational by FY 2017. Due to differences in timing and applicants chosen by the Board, the State now expects \$137 million from one-time licensing fees to supplement School Aid in FY 2016, and it is expected that the casinos will be fully operational by FY 2018.

Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

The State provides a full range of special education services to approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school lunch and breakfast program, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses for the major cultural institutions of the State Archives, the State Library, and the State Museum as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of postsecondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a “one-stop” source for all their employment needs, and are made aware of the full range of services available in other agencies.

OTHER EDUCATION (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	2,081	2,200	5.7%	2,292	4.2%	2,422	5.7%	2,564	5.9%
Special Education	1,451	1,470	1.3%	1,559	6.1%	1,673	7.3%	1,795	7.3%
All Other Education	630	730	15.9%	733	0.4%	749	2.2%	769	2.7%

The increase in other education spending for FY 2016 relative to FY 2015 is driven primarily by increases in expense-based reimbursements, one-time costs associated with targeted aid and grants, and increases to supplemental State charter school payments. Outyear growth is primarily driven by supplemental State charter school payments.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner’s property value from the local school tax levy. Lower-income senior citizens will receive a \$65,300 exemption in FY 2016. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares in FY 2016 are: the basic school property tax exemption for homeowners with income under \$500,000 (54 percent); the enhanced school property tax exemption for senior citizen homeowners with incomes under \$83,300 (28 percent); and a flat refundable credit and rate reduction for income-eligible resident New York City personal income taxpayers (18 percent).

Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues. The annual increase in a qualifying homeowner’s STAR exemption benefit is currently limited to 2 percent. New York City personal income taxpayers with annual incomes over \$500,000 are not eligible starting in FY 2016.

SCHOOL TAX RELIEF (STAR)									
(millions of dollars)									
	FY 2015	FY 2016		FY 2017		FY 2018		FY 2019	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	3,297	3,382	2.6%	3,468	2.5%	3,510	1.2%	3,552	1.2%
Basic Exemption	1,739	1,816	4.4%	1,889	4.0%	1,900	0.6%	1,908	0.4%
Enhanced (Seniors)	931	948	1.8%	967	2.0%	991	2.5%	1,013	2.2%
New York City PIT	627	618	-1.4%	612	-1.0%	619	1.1%	631	1.9%

The spending growth is primarily a reflection of the number of STAR exemption recipients who are expected to participate in the program, including reregistration of qualified individuals. This growth is partially offset by an enacted restriction in the New York City PIT rate reduction to residents with incomes lower than \$500,000.

Higher Education

Local assistance for higher education spending includes funding for the City University of New York (CUNY), SUNY, and the Higher Education Services Corporation (HESC).

The State funds CUNY’s senior college operations, and works in conjunction with New York City to support CUNY’s community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses. The State also provides a sizeable benefit to CUNY and SUNY through the debt service it pays on bond-financed capital projects at the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.2 billion in FY 2016 (this is not reflected in the annual spending totals for the universities). HESC administers TAP, which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

HIGHER EDUCATION (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	3,092	2,991	-3.3%	3,037	1.5%	3,097	2.0%	3,157	1.9%
City University	1,395	1,426	2.2%	1,424	-0.1%	1,453	2.0%	1,497	3.0%
Senior Colleges	1,172	1,194	1.9%	1,194	0.0%	1,223	2.4%	1,267	3.6%
Community College	223	232	4.0%	230	-0.9%	230	0.0%	230	0.0%
Higher Education Services	1,210	1,062	-12.2%	1,111	4.6%	1,142	2.8%	1,159	1.5%
Tuition Assistance Program	1,159	990	-14.6%	1,003	1.3%	1,006	0.3%	1,006	0.0%
Scholarships/Awards	39	60	53.8%	96	60.0%	124	29.2%	141	13.7%
Aid for Part-Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
State University	487	503	3.3%	502	-0.2%	502	0.0%	501	-0.2%
Community College	483	498	3.1%	497	-0.2%	497	0.0%	496	-0.2%
Other/Cornell	4	5	25.0%	5	0.0%	5	0.0%	5	0.0%

Annual growth by CUNY across the multi-year Financial Plan reflects the net impact of one-time performance incentive funding in FY 2016, additional base operating support at community colleges, and fringe benefit cost increases at senior colleges. HESC spending is projected to decline slightly from FY 2015 to FY 2016, reflecting an accelerated payment of TAP costs. Growth in the outyears is primarily driven by a combination of newly enacted initiatives such as the Get On Your Feet loan forgiveness program, ongoing implementation of a scholarship for Science, Technology, Engineering and Math (STEM) included in the FY 2015 Enacted Budget, and additional TAP costs associated with projected community college tuition increases. Growth in SUNY local assistance primarily reflects additional base operating support at community colleges.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve 25 percent reduction in avoidable hospital use over five years. The FY 2016 Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion through FY 2019, with the remaining funds expected to be disbursed beyond the current planning period. A portion of this funding, which has yet to be allocated through the statewide awarding process, is expected to flow through the SUNY hospital system.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments. Eligible services³ include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. The FY 2016 Enacted Budget Financial Plan reflects the continuation of the Medicaid spending cap through FY 2017, and the project assumes that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.6 percent for FY 2016. Reflecting projected CPI reductions, DOB currently forecasts allowable cap growth at 3.4 percent in FY 2017; 3.2 percent in FY 2018; and 3 percent in FY 2019. Certain administrative costs and changes in the Federal or local shares are not subject to this index.

³ The FY 2014 Enacted Budget eliminated the Family Health Plus (FHP) program effective January 1, 2015. The majority of the population previously receiving health care benefits through FHP have begun receiving more robust health care benefits through the Medicaid program, resulting from new Medicaid eligibility thresholds and increased Federal payments resulting from the ACA. The remainder of the previous FHP population, those above Medicaid levels, are eligible for Federal tax credits in the NYSOH insurance benefit exchange and a majority will become eligible for the BHP.

Multi-Year Financial Plan Projections



MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2015 ²	FY 2016	FY 2017	FY 2018	FY 2019
Global Medicaid Cap¹	16,507	17,104	17,692	18,259	18,812
Annual % Change		3.6%	3.4%	3.2%	3.0%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.
² FY 2015 is a projection, and is not based on actuals.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid Administration, as well as increased Federal financial participation that became effective in January 2014 under the provisions of the ACA. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Department of Health Medicaid	16,953	17,570	17,868	18,612	19,330
Local Assistance	16,790	17,280	17,560	18,316	19,036
State Operations ²	163	290	308	296	294
Other State Agency Medicaid Spending	5,048	4,974	4,856	5,289	5,535
Mental Hygiene	4,919	4,837	4,718	5,149	5,396
Foster Care	86	87	88	90	89
Education	43	50	50	50	50
Total State Share Medicaid (All Agencies)	22,001	22,544	22,724	23,901	24,865
Annual \$ Change		543	180	1,177	964
Annual % Change		2.5%	0.8%	5.2%	4.0%
Basic Health Plan³	0	170	643	649	666

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; and increased Federal Financial Participation that became effective in January 2014.
² Beginning in FY 2014 the Office of Health Insurance Programs was transferred to Medicaid from Public Health as part of the five-year phase-in initiative of the State to assume local administrative functions.
³ The BHP is not a Medicaid program; however, State-funded resources for the BHP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources and provider assessment revenue. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID ^{1,2}									
(millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
STATE OPERATING FUNDS	16,953	17,740	4.6%	18,511	4.3%	19,261	4.1%	19,996	3.8%
General Fund - DOH Medicaid Local	11,676	12,095	3.6%	12,292	1.6%	13,001	5.8%	13,723	5.6%
DOH Medicaid	10,961	11,246	2.6%	11,249	0.0%	12,163	8.1%	12,883	5.9%
Mental Hygiene Stabilization Fund ³	715	849	18.7%	1,043	22.9%	838	-19.7%	840	0.2%
General Fund - DOH Medicaid State Ops ⁴	163	290	77.9%	308	6.2%	296	-3.9%	294	-0.7%
General Fund - Basic Health Plan	0	170	0.0%	643	278.2%	649	0.9%	666	2.6%
Local Assistance	0	134	0.0%	601	348.5%	620	3.2%	639	3.1%
State Operations	0	36	0.0%	42	16.7%	29	-31.0%	27	-6.9%
Other State Funds - DOH Medicaid Local	5,114	5,185	1.4%	5,268	1.6%	5,315	0.9%	5,313	0.0%
HCRA Financing	3,518	3,601	2.4%	3,684	2.3%	3,731	1.3%	3,729	-0.1%
Indigent Care Support	804	792	-1.5%	792	0.0%	792	0.0%	792	0.0%
Provider Assessment/Other Revenue	792	792	0.0%	792	0.0%	792	0.0%	792	0.0%

¹ The BHP is not a Medicaid program; however, State funded resources for BHP are managed under the Medicaid Global Cap.
² Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.
³ The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
⁴ Includes operating costs of the New York State of Health Exchange which are funded by DOH within the Medicaid Global Cap.

Since FY 2014, certain OPWDD-related Medicaid costs have been financed within available resources under the Global Cap to alleviate the financial impact of reduced Federal revenue associated with the reimbursement of Medicaid costs at State-operated facilities providing developmental disability services. The Enacted Budget includes \$200 million in Financial Plan savings, a portion of which are associated with additional OPWDD-related Medicaid costs which will be funded under the cap. These additional costs will be funded primarily from additional State-funded Medicaid savings which are expected to accrue to the Global Cap in FY 2016 as a result of accelerating the enrollment of certain legally residing immigrants who currently receive State-only Medicaid funding to the BHP. The cost of insurance premiums for such individuals, and other individuals meeting certain income eligibility standards, will be supplemented by both State and Federal funds. These BHP resources will also be used by DOH over the Financial Plan period to support the Federal MRT waiver and to implement investments and initiatives consistent with MRT principles for improving the State's effectiveness and efficiency of health care service delivery.

Fluctuation in enrollment, the costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients in the State is expected to exceed 6.1 million by the end of FY 2015; this represents an 8.9 percent increase from March 2014 caseload of 5.7 million. This expected growth is mainly attributable to expanded eligibility and enrollment pursuant to the ACA, which became effective in January 2014 and therefore is largely federally funded.

Basic Health Plan

The BHP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the BHP. The FY 2016 Enacted Budget assumes the State will accelerate the phase-in of certain legally residing immigrants currently receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the BHP will be enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 75 percent of program expenditures are expected to be paid by the Federal government. The State funding for BHP in the FY 2016 Enacted Budget is offset by State funds Medicaid program savings associated with BHP, and additional Federal Funds are recognized through the duration of the planning period.

BASIC HEALTH PLAN (millions of dollars)									
	FY 2015	FY 2016		FY 2017		FY 2018		FY 2019	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL ALL FUNDS SPENDING	0	1,679	0.0%	2,660	58.4%	2,730	2.6%	2,810	2.9%
State Operating Funds	0	170	0.0%	643	278.2%	649	0.9%	666	2.6%
Local Assistance	0	134	0.0%	601	348.5%	620	3.2%	639	3.1%
State Operations	0	36	0.0%	42	16.7%	29	-31.0%	27	-6.9%
Federal Operating Funds	0	1,509	0.0%	2,017	33.7%	2,081	3.2%	2,144	3.0%

Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families up to the age of 19, the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services, the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors, and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	1,841	1,792	-2.7%	1,753	-2.2%	1,787	1.9%	1,816	1.6%
Public Health	1,719	1,661	-3.4%	1,622	-2.3%	1,651	1.8%	1,674	1.4%
Child Health Plus	408	356	-12.7%	295	-17.1%	310	5.1%	326	5.2%
General Public Health Works	192	184	-4.2%	192	4.3%	194	1.0%	196	1.0%
EPIC	123	126	2.4%	132	4.8%	133	0.8%	128	-3.8%
Early Intervention	165	159	-3.6%	159	0.0%	159	0.0%	159	0.0%
HCRA Program	441	435	-1.4%	433	-0.5%	433	0.0%	433	0.0%
All Other	390	401	2.8%	411	2.5%	422	2.7%	432	2.4%
Aging	122	131	7.4%	131	0.0%	136	3.8%	142	4.4%

Forecasted CHP spending has been revised downward in all years to reflect the actual spending and enrollment trends to date. State funds spending for CHP is projected to decline on an annual basis from FY 2015 levels, as a result of increased Federal funding associated with the ACA.

FY 2016 GPHW spending reflects a downward trend in reimbursement claims submitted by local governments. Annual program spending is projected to remain at moderate levels throughout the Financial Plan period.

EPIC program spending has been revised upward to reflect increased pharmaceutical costs which impact Medicare Part D premium payment estimates.

Reductions in EI from FY 2015 are based on claiming projections.

HCRA program spending from FY 2016 to FY 2019 is expected to remain relatively constant.

HCRA Financial Plan

HCRA was established in 1996 to help finance a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including FHP and CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the Doctor’s Across New York program. HCRA authorization has been extended through FY 2017, pursuant to legislation included in the FY 2015 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of DOH Medicaid, as well as CHP, the NYSOH, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments, which provide funding to hospitals serving a disproportionate share of individuals without health insurance.

HCRA FINANCIAL PLAN FY 2015 THROUGH FY 2019					
(millions of dollars)					
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Projected	Projected	Projected
OPENING BALANCE	9	14	0	0	0
TOTAL RECEIPTS	5,457	5,505	5,528	5,551	5,582
Surcharges	2,949	3,006	3,054	3,159	3,222
Covered Lives Assessment	1,075	1,110	1,110	1,045	1,045
Cigarette Tax Revenue	958	911	873	843	814
Hospital Assessments	384	391	408	424	424
NYC Cigarette Tax Transfer/Other	91	87	83	80	77
TOTAL DISBURSEMENTS	5,452	5,519	5,528	5,551	5,582
Medicaid Assistance Account	3,518	3,601	3,684	3,731	3,730
Medicaid Costs	3,010	3,404	3,487	3,534	3,533
Family Health Plus	311	0	0	0	0
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	804	792	792	792	792
HCRA Program Account	452	448	446	446	446
Child Health Plus	411	361	300	315	332
Elderly Pharmaceutical Insurance Coverage	134	138	144	145	140
SHIN-NY/APCD	31	55	40	0	0
All Other	102	124	122	122	142
ANNUAL OPERATING SURPLUS/(DEFICIT)	5	(14)	0	0	0
CLOSING BALANCE	14	0	0	0	0

HCRA surcharge and hospital assessment revenue in the Enacted Budget Financial Plan is forecast to align anticipated revenue collections with recent patterns which reflect the impact of MRT initiatives to improve the cost efficiency of health care service delivery settings. The level of growth forecasted in surcharge and hospital assessments is primarily attributable to expanded health insurance coverage through the ACA, and an expectation for a higher volume of health care services being provided throughout the State. The health care industry assessment revenue growth is partly offset by projected declines in cigarette tax collections due to declining tobacco consumption, resulting in total HCRA receipts growth of nearly 1 percent on an average annual basis through FY 2019.

HCRA spending is expected to increase by \$67 million in FY 2016 to total \$5.5 billion. The most significant areas of growth include additional financing of the State share of Medicaid costs, and increased capital costs associated with the Statewide Health Information Network for New York (SHIN-NY), which is expected to improve information capabilities and increase efficiency associated with health insurance claiming. HCRA spending growth in FY 2016 is partially offset by a lower spending forecast for CHP, driven by moderating enrollment and increased Federal funding under the ACA.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to finance Medicaid costs that would otherwise be paid from the General Fund.

Mental Hygiene

The Department of Mental Hygiene is comprised of the OPWDD, OMH, OASAS, the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs. Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their patients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	2,923	2,718	-7.0%	2,860	5.2%	3,305	15.6%	3,497	5.8%
People with Developmental Disabilities	1,462	1,212	-17.1%	1,274	5.1%	1,590	24.8%	1,712	7.7%
OPWDD Funding¹	2,177	2,350	7.9%	2,317	-1.4%	2,428	4.8%	2,552	5.1%
Residential Services	1,454	1,571	8.0%	1,549	-1.4%	1,623	4.8%	1,707	5.2%
Day Programs	633	684	8.1%	675	-1.3%	707	4.7%	743	5.1%
Clinic	21	23	9.5%	22	-4.3%	23	4.5%	24	4.3%
All Other Local/Resources	69	72	4.3%	71	-1.4%	75	5.6%	78	4.0%
Other Funding Resources	(715)	(1,138)	-59.2%	(1,043)	8.3%	(838)	19.7%	(840)	-0.2%
Mental Hygiene Stabilization Fund	(715)	(849)	-18.7%	(1,043)	-22.9%	(838)	19.7%	(840)	-0.2%
Federal BIP Resources (Federal Funds)	0	(289)	0.0%	0	100.0%	0	0.0%	0	0.0%
Mental Health	1,157	1,184	2.3%	1,250	5.6%	1,366	9.3%	1,424	4.2%
OMH Funding¹	1,157	1,218	5.3%	1,250	2.6%	1,366	9.3%	1,424	4.2%
Adult Local Services	938	987	5.2%	1,013	2.6%	1,107	9.3%	1,154	4.2%
Children Local Services	219	231	5.5%	237	2.6%	259	9.3%	270	4.2%
Other Funding Resources	0	(34)	0.0%	0	100.0%	0	0.0%	0	0.0%
Federal BIP Resources (Federal Funds)	0	(34)	0.0%	0	100.0%	0	0.0%	0	0.0%
Alcohol and Substance Abuse	303	321	5.9%	335	4.4%	348	3.9%	360	3.4%
Outpatient/Methadone	121	129	6.6%	134	3.9%	139	3.7%	144	3.6%
Residential	118	124	5.1%	131	5.6%	136	3.8%	141	3.7%
Prevention and Program Support	52	55	5.8%	57	3.6%	59	3.5%	61	3.4%
Crisis	12	13	8.3%	13	0.0%	14	7.7%	14	0.0%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%

¹ Program funding detail for OPWDD and OMH includes new multi-year spending investments which will be financed with additional Federal resources through BIP.

Local assistance spending accounts for over 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 4.6 percent annually. The main factor driving this level of growth is enhancement of community mental health services, right-sizing and improving State-operated inpatient services, utilizing less costly and more programmatically appropriate in-state community residential programs enhancing employment opportunities for individuals with disabilities, and maximizing payments from third-party payers.

The Enacted Budget Financial Plan includes additional annual statewide Medicaid savings of \$200 million, a portion of which will be achieved through the continued shift of certain OPWDD-related Medicaid costs to the DOH, whereby the costs will be funded within the existing Medicaid Global Cap at no increased cost to the Financial Plan, and without impact to overall service delivery. In FY 2015, the Medicaid budget supported \$715 million of OPWDD's Medicaid-eligible expenses, which will increase to \$850 million in FY 2016. To accommodate the funding of these additional costs within the Global Cap, DOH will leverage available BHP resources.

In addition, OPWDD and OMH will utilize \$323 million in Federal BIP resources in FY 2016 to support new multi-year spending investments. Authorized under the ACA, BIP is an optional program that provides additional Federal funding to qualifying states. It is expected that BIP will enable the State to engage a broad network of providers, advocates, and community leaders to develop systematic improvements to delivery systems for individuals with developmental disabilities and mental illness, and enhance community integration. The \$323 million in FY 2016 BIP investments is intended to transform services and supports to more integrated, community-based opportunities; increase employment opportunities for individuals with developmental disabilities; implement electronic health record systems; and support the transition to managed care.

The Enacted Budget includes a partnership between OMH and DOCCS that will revise the process for identifying, assessing, treating, discharging, and supervising mentally ill patients who pose a potential risk of violence in State facilities and the community. The proposal will expand community services, provide additional treatment services in prisons, and create additional capacity for civil confinements in OMH facilities. This proposal will result in new intensive treatment beds and transitional beds, expand in-prison and community treatment services, supportive housing, and ACT. The Enacted Budget will add \$8 million in local assistance support in FY 2016, and \$18 million each year from FY 2017 to FY 2019, as well as approximately \$12 million annually in additional OMH State operations costs for this initiative.

In July 2014, CMS issued the State a disallowance notification in the amount of \$1.26 billion. On March 20, 2015, the State and CMS entered into a settlement agreement that resolves the \$1.26 billion pending disallowance for FY 2011 and all related payment disputes for State-operated services, including home and community-based waiver services, prior to April 1, 2013, and various other related CMS audit findings for OPWDD-delivered services for this time period. As part of this agreement, the State will provide an \$850 million payment to the Federal government in April 2015, and annual payments of \$100 million for each of the next 11 years beginning in FY 2017. Such payments are reflected in the State's FY 2016 Enacted Budget Financial Plan. (See "Other Matters Affecting the State Financial Plan - Federal Issues" herein.)

Social Services

The Office of Temporary and Disability Assistance (OTDA) local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	1,236	1,218	-1.5%	1,236	1.5%	1,247	0.9%	1,262	1.2%
SSI	683	660	-3.4%	670	1.5%	679	1.3%	679	0.0%
Public Assistance Benefits	435	437	0.5%	437	0.0%	437	0.0%	437	0.0%
Public Assistance Initiatives	21	30	42.9%	27	-10.0%	27	0.0%	36	33.3%
All Other	97	91	-6.2%	102	12.1%	104	2.0%	110	5.8%

Spending in SSI is projected to increase gradually over the course of the Financial Plan due to updated caseload projections. In public assistance, DOB projects a total of 540,434 recipients in FY 2016. Approximately 237,675 families are expected to receive benefits through the Family Assistance program in FY 2016, a decrease of 4 percent from FY 2015. In the Safety Net program an average of 109,098 families are expected to be helped in FY 2016, a decrease of 4.8 percent from FY 2015. The caseload for single adults/childless couples supported through the Safety Net program is projected at 193,661 in FY 2016, a decrease of 0.7 percent from FY 2015.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	1,601	1,758	9.8%	1,770	0.7%	1,818	2.7%	1,833	0.8%
Child Welfare Service	351	454	29.3%	463	2.0%	472	1.9%	482	2.1%
Foster Care Block Grant	439	445	1.4%	453	1.8%	462	2.0%	471	1.9%
Adoption	155	152	-1.9%	152	0.0%	152	0.0%	152	0.0%
Day Care	308	287	-6.8%	287	0.0%	287	0.0%	279	-2.8%
Youth Programs	104	167	60.6%	156	-6.6%	155	-0.6%	155	0.0%
Medicaid	86	87	1.2%	88	1.1%	90	2.3%	89	-1.1%
Committees on Special Education	42	39	-7.1%	42	7.7%	44	4.8%	46	4.5%
Adult Protective/Domestic Violence	30	38	26.7%	40	5.3%	41	2.5%	42	2.4%
All Other	86	89	3.5%	89	0.0%	115	29.2%	117	1.7%

OCFS spending in FY 2016 is projected to increase over FY 2015 levels, mainly due to Child Welfare Services spending changes resulting from both a projected increase in claims, and cash management actions which had previously reduced FY 2015 spending.

Transportation

In FY 2016, the State will provide \$4.9 billion to support the operating costs of the statewide mass transit systems financed from dedicated taxes and fees. The MTA, due to the size and scope of its transit and commuter rail systems, receives the majority of the statewide mass transit operating aid. In addition, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit and commuter rail systems. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund now provides additional annual support, subject to appropriation, to the MTA to make up for the resulting loss of revenue.

TRANSPORTATION (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	4,834	4,862	0.6%	4,916	1.1%	4,987	1.4%	5,051	1.3%
Mass Transit Operating Aid:	<u>2,161</u>	<u>2,160</u>	<u>0.0%</u>	<u>2,160</u>	<u>0.0%</u>	<u>2,160</u>	<u>0.0%</u>	<u>2,160</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,015	2,030	0.7%	2,030	0.0%	2,030	0.0%	2,030	0.0%
Public Transit Aid	94	86	-8.5%	86	0.0%	86	0.0%	86	0.0%
18-b General Fund Aid	27	19	-29.6%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,945	1,976	1.6%	2,054	3.9%	2,126	3.5%	2,193	3.2%
Dedicated Mass Transit	682	656	-3.8%	648	-1.2%	647	-0.2%	644	-0.5%
AMTAP	45	68	51.1%	53	-22.1%	53	0.0%	53	0.0%
All Other	1	2	100.0%	1	-50.0%	1	0.0%	1	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast, and timing associated with the availability of resources. The increase in Additional Mass Transportation Operating Assistance Program (AMTOAP) funding in FY 2016 reflects the legislative adds to the program.

Not reflected in the above table is authorization included in the FY 2016 Enacted Budget to transfer annually \$121 million in additional dedicated transit revenues from the Metropolitan Mass Transportation Operating Assistance Account (MMTOA) to the newly established Metropolitan Transit Assistance for Capital Investment Fund (MTACIF), which will be used to support infrastructure needs of the MTA and other downstate transit systems. In addition, the Financial Plan assumes that \$20 million in MMTOA resources will be available annually to offset MTA-related debt service costs from FY 2016 to FY 2019.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL AIM STATE OPERATING FUNDS	726	741	2.1%	759	2.4%	763	0.5%	763	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	11	26	136.4%	44	69.2%	48	9.1%	48	0.0%

Spending for AIM efficiency incentive grants increases over the multi-year period, reflecting potential awards from the Financial Restructuring Board for Local Governments. All Other aid under AIM is expected to be maintained in each year of the Financial Plan.

Other Local Assistance

All other local assistance spending includes aid for economic development, financial services, environmental quality, and criminal justice programs. The projected decline in the level of all other local assistance grant spending is primarily attributable to a reclassification of the Healthy NY program, from the insurance program of DFS to DOH's State of Health insurance benefit exchange.

Agency Operations

Agency operating costs include personal service, non-personal service, and GSCs. Personal service costs include the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. Non-personal service costs reflect the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and are not reflected in the State Operating Funds totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for the State’s major programs and activities are summarized in the following table.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2015 Results	FY 2016 Enacted	Forecast		
			FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Negotiated Base Salary Increases ¹					
CSEA/NYSCOPBA/Council 82/UUP/DC-37/GSEU	2%	2%	TBD	TBD	TBD
PEF / PBANYS	2%	TBD	TBD	TBD	TBD
PBA ²	2%	2%	1.5%	1.5%	TBD
State Workforce ³	117,807	119,349	TBD	TBD	TBD
ERS Pension Contribution Rate ⁴					
Before Amortization (Normal/Admin/GLIP)	20.4%	18.5%	18.6%	18.8%	19.0%
After Amortization	13.5%	14.5%	15.5%	16.5%	17.5%
PFRS Pension Contribution Rate					
Before Amortization (Normal/Admin/GLIP)	28.1%	25.0%	25.2%	25.4%	25.6%
After Amortization	21.5%	22.5%	23.5%	24.5%	25.5%
Employee/Retiree Health Insurance Growth Rates	1.8%	4.2%	6.6%	5.4%	5.6%
PS/Fringe as % of Receipts (All Funds Basis)	13.5%	13.7%	13.9%	13.8%	13.9%

The majority of State agencies are expected to hold personal service and non-personal service spending constant over the Financial Plan period, with a few exceptions. Costs from collective bargaining agreements, which include 2 percent salary increases in FY 2016 (for certain unions), applicable lump sum payments, and repayment of a portion of the deficit reduction adjustment made to employee salaries, are expected to be funded from operational savings.

DOH, gaming, and SUNY are three areas expected to experience limited programmatic growth. DOH operational increases are mainly due to transitioning of certain functions from the local services districts to the State as part of the ongoing statewide administrative takeover initiative and the implementation of the NYSOH insurance benefit exchange, the State's insurance marketplace program under the ACA. The growth in gaming is attributable to activities related to casino development and oversight. Higher SUNY spending reflects anticipated operating needs primarily supported by tuition.

Payments to the New York Power Authority (NYPA) represent an accounting reclassification across certain Financial Plan categories, but do not carry a Financial Plan impact. These payments were previously assumed in the Financial Plan under different categorization, pursuant to funding schedules agreed upon by the State and NYPA.

Other year-over-year increases are technical in nature and reflect funding reclassifications or administrative reconciliations. For example, growth in Temporary and Disability Assistance reflects the reclassification of local assistance contracts to agency operation spending. In addition, the State's workforce is paid on a bi-weekly basis; weekly pay cycles alternate between administrative and institutional payrolls. There are typically 26 pay periods in a fiscal year. In FY 2016, employees on the institutional pay schedule will have one additional payroll.

Multi-Year Financial Plan Projections



STATE OPERATING FUNDS - AGENCY OPERATIONS

(millions of dollars)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	9,881	10,196	10,152	10,333	10,189
Mental Hygiene	2,861	2,792	2,794	2,814	2,856
Corrections and Community Supervision	2,641	2,615	2,638	2,638	2,638
State Police	667	639	651	651	651
Public Health	412	404	399	401	401
Tax and Finance	348	330	330	331	331
Children and Family Services	264	259	251	253	260
Environmental Conservation	230	238	238	216	217
Information Technology Services ¹	426	513	523	523	535
Financial Services	191	212	210	210	210
Medicaid Admin/BHP	163	326	350	325	321
Parks, Recreation and Historic Preservation	180	177	178	178	178
Gaming	134	156	156	157	157
Temporary and Disability Assistance	140	143	143	143	143
General Services	152	163	165	165	165
Workers' Compensation Board	142	142	142	142	143
Extra Bi-Weekly Institutional Pay Period	0	167	0	0	0
New York Power Authority Repayment	18	21	21	236	22
All Other	912	899	963	950	961
UNIVERSITY SYSTEMS	5,854	5,804	5,953	6,083	6,220
State University	5,774	5,720	5,867	5,995	6,131
City University	80	84	86	88	89
INDEPENDENT AGENCIES	306	311	312	313	314
Law	165	168	170	171	172
Audit & Control (OSC)	141	143	142	142	142
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,041	16,311	16,417	16,729	16,723
Judiciary	1,909	1,958	1,968	1,968	1,968
Legislature	207	219	219	219	219
Statewide Total	18,157	18,488	18,604	18,916	18,910
Personal Service	12,550	12,868	12,834	12,897	12,999
Non-Personal Service	5,607	5,620	5,770	6,019	5,911

¹ Reflects consolidation of IT costs from other agencies within ITS; which does not change total governmental spending.

In FY 2016, \$12.9 billion or 13.7 percent of the State Operating Funds budget is projected to be spent on personal service costs. This funding supports roughly 99,260 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (43,900) and Independent Agencies (18,100); employees paid on a non-annual salaried basis; and overtime pay. Roughly 70 percent of all Executive agency personal service spending occurs in three areas: SUNY, the Mental Hygiene agencies, and DOCCS.

STATE OPERATING FUNDS		
FY 2016 FTEs¹ AND PERSONAL SERVICE SPENDING BY AGENCY		
(millions of dollars)		
	<u>Dollars</u>	<u>FTEs</u>
Subject to Direct Executive Control	7,350	99,260
Mental Hygiene Agencies	2,336	34,309
Corrections and Community Supervision	2,177	28,056
State Police	571	5,612
Tax and Finance	277	4,359
Health	263	3,919
Environmental Conservation	182	2,238
Children and Family Services	166	2,561
Financial Services	154	1,390
Parks, Recreation and Historic Preservation	137	1,559
All Other	1,087	15,257
University Systems	3,621	43,911
State University	3,579	43,575
City University ²	42	336
Independent Agencies	1,897	18,072
Law	116	1,577
Audit & Control (OSC)	111	1,572
Judiciary	1,504	14,922
Legislature ³	166	1
Total	12,868	161,243

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund and total additional 13,275 FTEs excluded from the table above.

³ Legislative employees are non-annual salaried, with the exception of the Lieutenant Governor, who serves as President of the Senate.

General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State’s share of Social Security, health insurance, workers’ compensation, unemployment insurance and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget⁴. The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include fixed costs for several categories including State payments in lieu of taxes, payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2015 Results	FY 2016 Enacted	Change	FY 2017 Projected	Change	FY 2018 Projected	Change	FY 2019 Projected	Change
TOTAL STATE OPERATING FUNDS	7,033	7,334	4.3%	7,894	7.6%	8,286	5.0%	8,711	5.1%
Fringe Benefits	6,665	6,943	4.2%	7,498	8.0%	7,885	5.2%	8,305	5.3%
Health Insurance	3,319	3,451	4.0%	3,680	6.6%	3,880	5.4%	4,098	5.6%
Employee Health Insurance	2,091	2,174	4.0%	2,318	6.6%	2,444	5.4%	2,582	5.6%
Retiree Health Insurance	1,228	1,277	4.0%	1,362	6.7%	1,436	5.4%	1,516	5.6%
Pensions	2,130	2,219	4.2%	2,467	11.2%	2,660	7.8%	2,890	8.6%
Social Security	958	972	1.5%	987	1.5%	991	0.4%	995	0.4%
All Other Fringe	258	301	16.7%	364	20.9%	354	-2.7%	322	-9.0%
Fixed Costs	368	391	6.3%	396	1.3%	401	1.3%	406	1.2%

GSCs are projected to increase at an average annual rate of 5.5 percent over the Financial Plan period, driven primarily by cost increases for pension contributions and the employer share of costs for employee and retiree health insurance benefits. Pension growth, is driven by the recent implementation (September 2014) of new actuarial assumptions by the New York State and Local Retirement Systems’ Actuary, and repayment of prior year amortization obligations -- partly offset by the expectation that a portion of future contributions will be amortized as permissible by law. The FY 2016 Enacted Budget Financial Plan includes additional interest savings of approximately \$41 million over the prior year, which is expected by paying the full amount of the 2016 pension bill by July 31, 2015, rather than on a monthly basis or by the statutorily required date of March 1, 2016.

Growth in health insurance spending is attributable to rising costs associated with health care benefits; however, the FY 2016 Enacted Budget Financial Plan includes downward adjustments to forecasted spending for health insurance, as a result of revised rate renewal growth assumptions.

⁴ Beginning in July 2015, SUNY TIAA-CREF and other SUNY fringe benefit costs will no longer be paid directly, but rather shift to the central statewide appropriation.

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State’s share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Results	Enacted	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	8,601	14,276	9,945	10,644	10,962
State Share of Mental Hygiene Medicaid	1,419	2,162	1,439	1,313	1,255
Debt Service	1,297	886	1,242	1,422	1,210
SUNY University Operations	980	998	978	969	969
Capital Projects	1,264	5,947	1,844	2,072	2,295
Dedicated Highway and Bridge Trust Fund	728	730	809	873	895
Dedicated Infrastructure Investment Fund	0	4,550	0	0	0
All Other Capital	536	667	1,035	1,199	1,400
ALL OTHER TRANSFERS	3,641	4,283	4,442	4,868	5,233
Mental Hygiene	2,504	2,975	3,152	3,577	3,873
Department of Transportation (MTA Payroll Tax)	331	335	335	336	336
SUNY - Medicaid Reimbursement	218	294	294	294	251
Judiciary Funds	117	107	107	107	107
SUNY - Hospital Operations	88	88	88	88	88
Dedicated Mass Transportation Trust Fund	63	63	63	63	63
Banking Services	32	52	54	55	55
Indigent Legal Services	33	35	35	35	35
Mass Transportation Operating Assistance	31	37	37	37	37
Alcoholic Beverage Control	20	20	20	20	20
Information Technology Services	14	8	2	2	2
Public Transportation Systems	16	15	15	15	15
Correctional Industries	12	11	11	11	11
All Other	162	243	229	228	340

A significant portion of the capital and operating expenses of the DOT and the Department of Motor Vehicles (DMV) are funded from DHBTF. The Fund receives various dedicated tax and fee revenues, including PBT, motor fuel tax, and highway use taxes. The Enacted Budget Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF because the cumulative expenses of the fund – DOT and DMV capital and operating expenses, and certain debt service on transportation bonds – exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$14.3 billion in FY 2016, a \$5.7 billion increase from FY 2015, largely due to the transfer of \$4.6 billion in monetary settlement moneys to the DIIF. The funding will be used to make targeted investments in various areas, including the Thruway Stabilization and Upstate Revitalization programs. In addition, \$850 million in monetary settlement funds previously reserved for Financial Plan risk will be used to pay a portion of a \$1.95 billion in Federal Medicaid disallowances associated with OPWDD-operated treatment facilities. The remaining balance of the Medicaid disallowance repayments will be transferred in annual amounts of \$100 million for 11 years beginning in FY 2017.

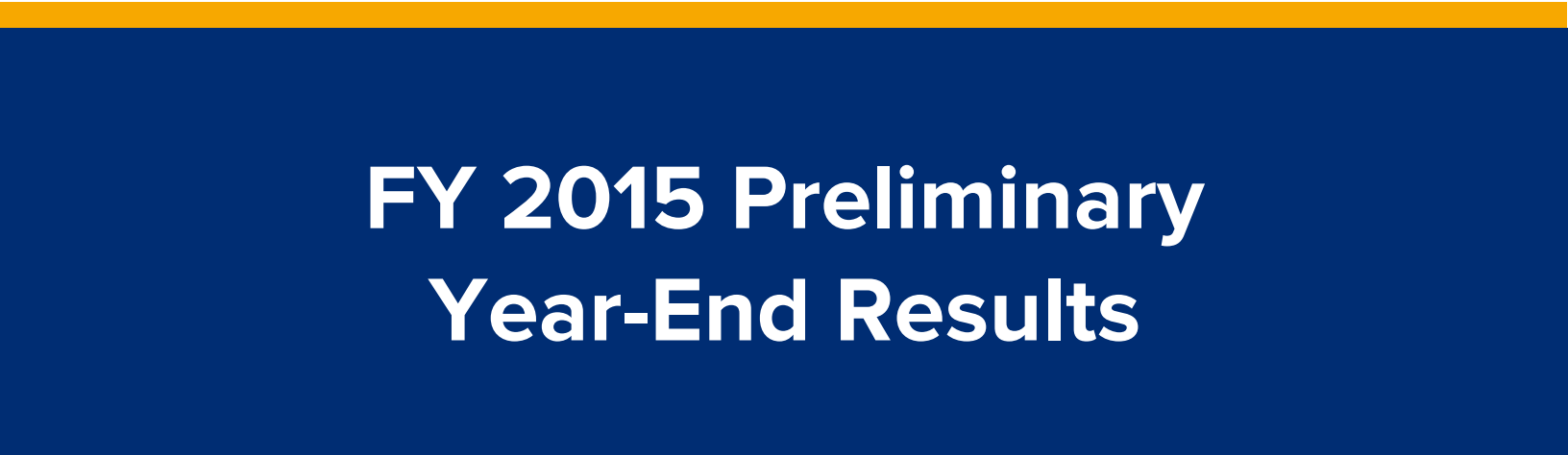
Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities [(e.g., ESD, DASNY, and the New York State Thruway Authority (TA), subject to an appropriation)]. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS				
(millions of dollars)				
	FY 2015 Results	FY 2016 Enacted	Annual Change	Percent Change
General Fund	1,297	886	(411)	-31.7%
Other State Support	4,886	4,236	(650)	-13.3%
State Operating/All Funds Total	6,183	5,122	(1,061)	-17.2%

Total debt service is projected at \$5.1 billion in FY 2016, of which approximately \$886 million is paid from the General Fund through transfers, and \$4.2 billion from other State funds. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service is paid directly from other State funds, subject to appropriation, for the State’s revenue bonds, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

Enacted Budget Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including actual bond sale results to date, assumed debt management savings and increased debt service costs associated with enacted additional capital commitment levels. Also, FY 2016 debt service spending estimates assume the prepayment of \$100 million of debt service due during FY 2017.



**FY 2015 Preliminary
Year-End Results**



FY 2015 Preliminary Year-End Results

This section provides a summary of operating results for April 2014 through March 2015 compared to (1) the initial projections set forth in the FY 2015 Enacted Budget; (2) the revised projections of the FY 2016 Executive Budget, as amended; and (3) the results for the prior fiscal year (April 2013 through March 2014).

General Fund Results

The State ended March 2015 with a General Fund closing balance of \$7.3 billion, \$5.2 billion higher than initially estimated in the FY 2015 Enacted Budget Financial Plan. The increase is due primarily to the unanticipated monetary settlements between regulators and financial institutions, and higher tax collections. Compared to the revised Financial Plan, the General Fund balance was \$468 million below forecast, largely because of a delay in a financial settlement payment that is now expected to be received in FY 2016.

GENERAL FUND OPERATING RESULTS					
April through March 2015					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
Opening Balance	2,235	2,235	2,235	0	0
Total Receipts	62,962	68,714	67,921	4,959	(793)
Taxes:	57,994	58,434	58,644	650	210
Personal Income Tax ¹	38,410	38,516	38,144	(266)	(372)
Consumption / Use Taxes ¹	12,188	12,328	12,263	75	(65)
Business Taxes	5,438	5,576	6,265	827	689
Other Taxes ¹	1,958	2,014	1,972	14	(42)
Receipts and Grants	3,815	8,876	8,411	4,596	(465)
Transfers From Other Funds	1,153	1,404	866	(287)	(538)
Total Spending	63,142	63,181	62,856	(286)	(325)
Local Assistance	42,118	41,986	41,592	(526)	(394)
Agency Operations (including GSCs)	12,922	12,849	12,663	(259)	(186)
Debt Service Transfer	1,081	1,291	1,297	216	6
Capital Projects Transfer	930	888	1,264	334	376
State Share Medicaid Transfer	1,638	1,448	1,418	(220)	(30)
SUNY Operations Transfer	977	980	980	3	-
All Other Transfers	3,476	3,739	3,642	166	(97)
Change in Operations	(180)	5,533	5,065	5,245	(468)
Closing Balance	2,055	7,768	7,300	5,245	(468)

¹ Includes transfers from other funds after debt service.

Receipts

Through March 2015, General Fund receipts, including transfers from other funds, were \$5 billion higher than the initial plan. The increase is comprised of unanticipated monetary settlements (\$4.7 billion) and higher net tax receipts (\$649 million), partly offset by lower than expected transfers from other funds (\$286 million) and other miscellaneous receipts.

Higher tax collections are mainly attributable to business tax collections (\$827 million) in the areas of bank tax and corporate franchise tax gross receipts. This improvement is due to a positive variance in bank tax audits, the corporate franchise 2014 tax year settlement, and higher tax year 2015 prepayment. User tax collections and other taxes exceeded plan projections by marginal amounts. These additional receipts were partly offset by lower PIT tax receipts (\$266 million), mainly due to the timing of certain transactions.

Miscellaneous receipts were \$4.6 billion above initial projections, due to the unanticipated monetary settlement with financial institutions. These settlement receipts are partly offset by lower licensing and fee revenue.

Lower non-tax transfers include the following timing-related revisions: the receipt of payments owed to the State for reimbursement of youth facility costs; Federal health care revenue; business licensing revenue; and reimbursement for the consolidation of technological services.

Spending

Through March 2015, General Fund disbursements, including transfers to other funds, were \$286 million lower than initial projections. This variance is due to a combination of lower spending for local assistance (\$526 million) and agency operations (\$259 million); and higher net transfers of \$499 million, mainly to support debt service payments and capital costs.

Actual local assistance spending was roughly one percent lower than estimated in the initial plan, and marginally below the revised plan. Lower spending occurred across a range of programs and activities, most significantly in mental hygiene, education and economic development.

Lower spending in both personal service and non-personal service occurred across a number of agencies. The most notable variances include lower costs for DOH associated with the State's takeover of local Medicaid administrative duties; the Legislature; and the Labor Management Committee, from lower than anticipated costs for negotiated employee benefits. Lower spending for GSCs mainly occurred in the areas of health insurance and fixed costs, part of which were paid off certain outstanding prior-year amortization.



FY 2015 Preliminary Year-End Results

Higher General Fund transfers include additional transfers to fund capital projects (\$334 million), and debt service obligations due in FY 2016 (\$216 million). The lower than planned State Share Medicaid transfer (\$220 million) reflects a portion of DSHP revenue that was not received as expected during FY 2015, and is offset by an increase in transfers to the Mental Hygiene Program and Patient Income special revenue accounts (\$288 million).

State Operating Funds Results

The State ended March 2015 with a closing balance of \$9.9 billion in State Operating Funds, or \$5.3 billion above the FY 2015 Enacted Budget Financial Plan projection. This variance is comprised of higher receipts (\$5.9 billion), higher spending (\$192 million), and lower financing from other sources (\$336 million).

STATE OPERATING FUNDS RESULTS					
April through March 2015					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
Opening Balance	4,789	4,789	4,789	0	0
Total Receipts	89,178	94,766	95,037	5,859	271
Taxes:	68,826	69,150	69,661	835	511
Personal Income Tax	43,735	43,813	43,710	(25)	(103)
Consumption / Use Taxes	14,769	14,859	14,784	15	(75)
Business Taxes	7,023	7,129	7,849	826	720
Other Taxes	3,299	3,349	3,318	19	(31)
Miscellaneous/Federal Receipts	20,352	25,616	25,376	5,024	(240)
Total Spending	92,234	92,376	92,426	192	50
Local Assistance	61,181	61,100	61,052	(129)	(48)
Agency Operations (including GSCs)	25,405	25,443	25,190	(215)	(253)
Debt Service	5,648	5,833	6,183	535	350
Capital Projects	-	-	1	1	1
Other Financing Sources	2,827	2,767	2,491	(336)	(276)
Change in Operations	(229)	5,157	5,101	5,330	(56)
Closing Balance	4,560	9,946	9,890	5,330	(56)

Receipts

Through March 2015, total receipts in State Operating Funds were \$5.9 billion higher than the FY 2015 Enacted Budget Financial Plan projections. The increase is comprised of unanticipated monetary settlements (\$4.7 billion) and higher net tax receipts (\$835 million), partly offset by lower than expected miscellaneous receipts.

Consistent with the General Fund results, the State Operating Funds tax receipts variance reflects higher business tax receipts and modest variances in other tax categories.

Spending

State Operating Funds spending was \$192 million (0.2 percent) higher than initial projections and nearly unchanged from the most recent projections.

In total, local assistance was \$129 million (0.2 percent) lower than the initial plan. This variance is mainly due to lower than planned spending for the STAR program attributable to a decline in basic exemption recipients (\$132 million).

Spending on agency operations was \$215 million below initial estimates. This lower spending is mainly comprised of GSCs (\$84 million) in the areas of employee health insurance and fixed costs; DOH (\$75 million) due to slower than anticipated transitioning of certain functions from the local social services districts to DOH as part of the ongoing statewide Medicaid administrative takeover initiative; and university system spending (\$40 million).

Higher debt service spending reflects the payment of debt service obligations due in FY 2016.

Other Financing Sources

Other financing sources, which represent the difference between transfers to and from State Operating Funds, were \$336 million lower than initial estimates in the FY 2015 Enacted Budget Financial Plan, primarily due to the higher General Fund support for debt service and capital projects related to the timing of reimbursements with bond proceeds.

All Governmental Funds Results

The State ended March 2015 with an All Governmental Funds closing balance of \$9.4 billion, \$5.4 billion above the FY 2015 Enacted Budget Financial Plan projections and in line with the revised plan estimate. The significantly higher balance reflects higher receipts (\$7.5 billion), partially offset by higher spending (\$1.9 billion) and lower financing from other sources (\$122 million).

All GOVERNMENTAL FUNDS RESULTS					
April through March 2015					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
Opening Balance	4,035	4,035	4,035	0	0
Total Receipts	141,649	147,973	149,109	7,460	1,136
Taxes:	70,188	70,512	71,035	847	523
Personal Income Tax	43,735	43,813	43,710	(25)	(103)
Consumption / Use Taxes	15,364	15,454	15,385	21	(69)
Business Taxes	7,671	7,777	8,503	832	726
Other Taxes	3,418	3,468	3,437	19	(31)
Miscellaneous Receipts	25,672	30,426	29,438	3,766	(988)
Federal Grants	45,789	47,035	48,636	2,847	1,601
Total Spending	141,964	143,002	143,891	1,927	889
State Operating Funds:	92,234	92,376	92,426	192	50
Local Assistance	61,181	61,100	61,052	(129)	(48)
Agency Operations (including GSCs)	25,405	25,443	25,190	(215)	(253)
Debt Service	5,648	5,833	6,183	535	350
Capital Projects	0	0	1	1	1
Federal Operating Funds	41,265	42,631	43,917	2,652	1,286
Capital Projects Funds	8,465	7,995	7,548	(917)	(447)
Other Financing Sources	225	261	103	(122)	(158)
Change in Operations	(90)	5,232	5,320	5,410	88
Closing Balance	3,945	9,267	9,355	5,410	88

Receipts

Through March 2015, total All Funds receipts were \$7.5 billion higher than initial projections. The increase is comprised of unanticipated monetary settlements (\$4.7 billion), higher Federal grants (\$2.8 billion) and tax receipts (\$847 million), partly offset by lower than expected other miscellaneous receipts.

Consistent with the General Fund and State Operating Funds results, the All Governmental Funds receipts variance is primarily attributable to higher business tax collections and the unanticipated monetary settlements. Other variances include slower than anticipated reimbursements from bond proceeds for Capital Projects by New York State public authorities (\$1.2 billion), and higher Federal grants to support spending in areas such as health care and homeland security.

Spending

Through March 2015, All Funds spending was \$1.9 billion above FY 2015 Enacted Budget initial projections. In addition to the General Fund and State Operating Funds spending variances described earlier, the All Governmental Funds spending variance is largely a function of Federal Operating Funds spending that was \$2.7 billion higher than initially planned. The higher spending mainly reflects additional Federal Medicaid funding from the IAAF, which is part of the DSRIP, an MRT-based initiative which gives funding to hospitals based on the achievement of predefined results in system transformation, clinical management, and population health. This funding was approved after release of the FY 2015 Enacted Budget Financial Plan. In addition, there was higher than initially anticipated homeland security spending for Federal Emergency Management Agency (FEMA) public assistance payments to the Long Island Power Authority (LIPA) and NYU Langone Medical Center.

Capital Projects Results

The State ended March 2015 with a Capital Projects closing balance of negative \$724 million, \$107 million lower than the initial projection. This balance reflects the combined impact of lower receipts and spending, and higher financing from other sources.

CAPITAL PROJECTS FUNDS RESULTS					
April through March 2015					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
Opening Balance	(629)	(629)	(629)	0	0
Total Receipts	8,632	8,183	7,364	(1,268)	(819)
Taxes:	1,362	1,362	1,373	11	11
Consumption / Use Taxes	595	595	601	6	6
Business Taxes	648	648	653	5	5
Other Taxes	119	119	119	-	-
Miscellaneous Receipts	5,208	4,774	3,961	(1,247)	(813)
Federal Grants	2,062	2,047	2,030	(32)	(17)
Total Spending	8,465	7,993	7,548	(917)	(445)
Economic Development	709	546	492	(217)	(54)
Parks & the Environment	660	643	637	(23)	(6)
Transportation	4,510	4,506	4,424	(86)	(82)
Health & Social Welfare	476	287	252	(224)	(35)
Mental Hygiene	232	229	224	(8)	(5)
Public Protection	411	362	328	(83)	(34)
Education	1,165	1,104	968	(197)	(136)
All Other	302	316	223	(79)	(93)
Other Financing Sources	(155)	(105)	89	244	194
Change in Operations	12	85	(95)	(107)	(180)
Closing Balance	(617)	(544)	(724)	(107)	(180)

Receipts

Through March 2015, total receipts in Capital Projects Funds were \$1.3 billion lower than initial projections, largely attributable to the timing of bond reimbursements and capital underspending. A significant amount of capital project spending is reimbursed with proceeds from the sale of bonds by public authorities. These reimbursements are reflected as miscellaneous receipts in the Capital Projects Funds. In FY 2015, the State reimbursed \$1.2 billion less than the initial estimate. This is due primarily to lower than expected capital spending (\$917 million), which results in a reduction in the level of reimbursements required. The closing balance reflects the timing of State bond sales and availability of bond proceeds to reimburse capital costs, as appropriate.

Spending

Capital spending was \$917 million lower than initial estimates, mainly due to lower than anticipated grant payments to local governments for economic development, public health, the MTA, and SUNY. This underspending is partially offset by higher spending in Transportation.

Other Financing Sources

Other financing sources were \$244 million higher than initial estimates, primarily due to increased General Fund financing.

All Governmental Funds Annual Change

The All Governmental Funds balance through March 2015 was \$9.4 billion, \$5.3 billion higher than the March 2014 balance. This higher balance is attributable to a combination of a higher opening balance (\$159 million), growth in receipts (\$11.4 billion), increased financing from other sources (\$131 million), and higher spending (\$6.4 billion).

All GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR				
April through March 2015				
(millions of dollars)				
	FY 2014	FY 2015	Increase/(Decrease)	
	Results	Results	\$	%
Opening Balance	3,876	4,035	159	
Total Receipts	137,713	149,109	11,396	8.3%
Taxes:	69,690	71,035	1,345	1.9%
Personal Income Tax	42,961	43,710	749	1.7%
Consumption / Use Taxes	15,099	15,385	286	1.9%
Business Taxes	8,259	8,503	244	3.0%
Other Taxes	3,371	3,437	66	2.0%
Miscellaneous Receipts	24,234	29,438	5,204	21.5%
Federal Grants	43,789	48,636	4,847	11.1%
Total Spending	137,526	143,891	6,365	4.6%
State Operating Funds:	90,631	92,426	1,795	2.0%
Local Assistance	59,406	61,052	1,646	2.8%
Agency Operations (including GSCs)	24,822	25,190	368	1.5%
Debt Service	6,400	6,183	(217)	-3.4%
Capital Projects	3	1	(2)	-60.1%
Federal Operating Funds	39,144	43,917	4,773	12.2%
Capital Projects Funds	7,751	7,548	(203)	-2.6%
Other Financing Sources	(28)	103	131	
Change in Operations	159	5,320	5,161	
Closing Balance	4,035	9,355	5,320	

Receipts

All Funds tax receipts through March 2015 reflect annual growth in all major tax categories, including PIT (\$749 million), primarily due to growth in withholding and current estimated payments; consumption/use taxes (\$286 million) due to an increase in taxable purchases; business taxes (\$244 million) related to higher bank audits; and the payroll tax assessed on businesses located within the MTA region (\$66 million).

The year-over-year increase of \$5.2 billion in miscellaneous receipts is largely attributable to \$4.1 billion in additional fines, penalties, and forfeitures (including windfall monetary settlements paid to the State); a \$741 million increase in business assessments (including \$750 million of SIF assessment reserves transferred to the State pursuant to legislation included in the FY 2014 Enacted Budget); higher bond proceeds receipts to finance Capital Projects (\$479 million); and higher abandoned property collections (\$120 million). These increases are offset by lower Tribal State Compact Revenue (\$321 million) due to the lump sum payments received from the tribal nations immediately following the FY 2014 agreements; a one-time FY 2014 receipt from the State of New York Mortgage Agency (SONYMA) (\$104 million) from excess Mortgage Insurance Fund reserves; and the continued phase-out of the temporary utility assessment (\$178 million).

The \$4.8 billion increase in Federal grants is a result of increased Federal program spending, as described in greater detail below.

Spending

Through March 2015, All Funds spending increased by \$6.4 billion over the prior year, comprised of a \$1.8 billion increase in State Operating Funds, a \$4.8 billion increase in Federal Operating Funds, and a \$204 million decrease in Capital Projects Funds.

The increase in State Operating Funds spending is mainly due to \$1.6 billion in higher local assistance spending. The local assistance growth includes higher spending for education (\$1.3 billion) and health care (\$211 million). The \$368 million increase in operational spending is comprised of growth in executive-controlled agencies (\$164 million), mainly attributable to the FY 2015 2 percent salary increase and higher correctional facility expenses, primarily overtime and inmate medical and prescription drug cost; higher fringe benefit costs (\$86 million); increased Judiciary spending (\$80 million); and higher SUNY spending (\$32 million). Lower debt service spending in FY 2015 is attributable to the continued practice of paying debt service obligations due in future years.

The Federal Operating Funds spending increase is primarily attributable to \$5.2 billion in higher health care spending as a result of both expanded Medicaid coverage under the ACA, and DSRIP/IAAF payments associated with the recently approved Medicaid waiver. In addition, homeland security spending was \$437 million higher, due mainly to a lump-sum pass-through payment to LIPA. Spending declined in the areas of education (\$494 million), due to administrative delays in claims submitted by school districts (primarily New York City), and social welfare (\$213 million), mainly due to higher payments occurring in FY 2014.

Lower Capital Projects spending occurred in the areas of health care (\$322 million); parks and environment (\$179 million) due mainly to the timing of payments to EFC for the State Revolving Fund Loan program; and higher education (\$166 million). This lower spending is partially offset by additional transportation spending (\$393 million).



Fiscal Impact on Local Governments

This section presents the estimated fiscal impact of the FY 2016 Enacted Budget on New York's municipalities, as required by State Finance Law. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

Major Changes from the Executive Budget

- **Education:** The Enacted Budget includes a school aid increase of \$1.4 billion for the 2015-16 school year, which is approximately \$290 million higher than proposed in the Executive Budget. In addition to this increase, the Enacted Budget contains \$75 million in Persistently Failing Schools Transformation grants.
- **Municipal Aid:** The Enacted Budget includes new or restored Miscellaneous Financial Assistance for certain local governments (\$9.8 million); a restoration of VLT aid to eligible municipalities (\$2.1 million); and a restoration of Village Per Capita Aid (\$2 million).
- **Human Services:** The Enacted Budget includes a new \$15 million shelter supplement program to prevent eviction and address homelessness in New York City.
- **Transportation:** The Enacted Budget includes an additional \$50 million allocation of local highway assistance through the Consolidated Local Street and Highway Improvement Program (CHIPS), for extreme winter recovery.
- **Water Infrastructure:** The Enacted Budget creates a multi-year Drinking Water and Wastewater Infrastructure Fund which includes \$200 million for grants to be issued by the Environmental Facilities Corporation (EFC). \$50 million in grants will be made available in 2015-16, with \$75 million available in the following two years. EFC is authorized to provide grants of up to \$5 million per project for water and wastewater improvement projects undertaken by municipalities to replace and repair infrastructure, or to comply with environmental or public health laws and regulations related to water quality.

Local Year 2016 Fiscal Summary

Enacted Budget actions, in total, will result in a positive local impact of \$1.46 billion for local fiscal years ending in 2016 — the first full-annual local fiscal year affected by the Enacted Budget. Overall, this total net impact is \$315 million greater than the impact of the Executive Budget (\$1.14 billion), with the most significant increases occurring in school aid. The fiscal summary of the impact on local governments for local fiscal year 2016 is as follows:

- **School Districts:** School districts outside of New York City will realize a \$799.8 million positive impact for their 2015-16 school year, primarily due to an \$800 million school aid increase.
- **New York City:** A \$516.2 million positive impact is estimated for New York City, primarily due to \$505 million in additional aid for New York City schools. The City will also benefit by \$15 million for a new homelessness prevention pilot program, by \$12.1 million from

increased transit and highway assistance, and by a net \$5.5 million from several sales and income tax reforms. These positive impacts will be partially offset by a \$15 million impact from adjusting New York City's reimbursement for Federal Emergency Assistance to Families, and a \$5.8 million impact from modifying the funding for the Foster Care Human Services Cost of Living Adjustment (COLA)

- **Counties:** County governments will realize an estimated \$39.9 million positive impact in 2016, primarily due to a \$37.8 million benefit from capping youth facility chargeback costs, and \$12.5 million from increased transit and highway assistance. These benefits will be partially offset by a \$7.1 million impact from modifying the funding for the Foster Care Human Services COLA.
- **Other Municipalities:** The impact to other cities, towns, and villages is a positive \$16.3 million in local fiscal years ending in 2016, attributed primarily to \$10.7 million in increased highway assistance, \$3.1 million in new or restored municipal aid, and a potential \$3 million from the creation of the Buffalo Traffic Violations Bureau.

Other Budget Actions Affecting Local Governments

- **Restructuring Local Governments:** Up to \$150 million in funding from recent financial settlements with the State will be invested in municipal restructuring. This funding will be used to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and related property tax burdens. Funding could also cover existing grant and aid programs that encourage local government and school district restructuring and efficiency.
- **Capping Local Youth Facility Costs:** The Enacted Budget caps the mandated costs imposed on counties and New York City for the operation of New York State OCFS juvenile facilities. Billings will be capped at \$55 million annually through FY 2019, providing total local savings of \$425 million over this time period. New York City's savings will be reinvested in homeless assistance programs and services.
- **Auditing NYSHIP Dependent Eligibility:** The Department of Civil Service will oversee an external audit of dependent eligibility in the employee and retiree health plan (NYSHIP). The removal of ineligible dependents (e.g., ex-spouses) from NYSHIP could save local governments more than \$10 million. Importantly, the Enacted Budget provides legislation which would protect employees who voluntarily identify ineligible dependents during a special amnesty period.
- **Supporting Local Water Fluoridation Systems:** The Enacted Budget includes \$5 million in grants to counties, cities, towns, or villages for the implementation costs of installing new fluoridation systems and/or the repair or upgrading of existing fluoridation equipment for public water systems.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
ACT	Assertive Community Treatment
ADW	Advanced Deposit Wagering
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTOAP	Additional Mass Transportation Operating Assistance Program
APCD	All-Payer Claims Database
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
AXA	AXA Equitable Life Insurance Company
BAN	Bond Anticipation Note
BEA	Bureau of Economic Analysis
BHP	Basic Health Plan
BIP	Balancing Incentive Program
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHIPs	Consolidated Local Street & Highway Improvement Program
CHP	Child Health Plus
CMS	Centers for Medicare and Medicaid Services
COLA	Cost of Living Adjustment
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CUNY	City University of New York
DA	District Attorney
DAB	Departmental Appeals Board
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council-37
DCJS	Department of Criminal Justice Services
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOL	Department of Labor
DOS	Department of State
DOT	Department of Transportation
DRP	Deficit Reduction Plan

Glossary of Acronyms



DRRF	Debt Reduction Reserve Fund
DS	Debt Service
DSHP	Designated State Health Program
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EFC	Environmental Facilities Corporation
EI	Early Intervention
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ETIP	Employee Training Incentive Program
FEMA	Federal Emergency Management Agency
FHP	Family Health Plus
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GOER	Governor's Office of Employee Relations
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HHS	Health & Human Services
IAAF	Interim Access Assurance Fund
ICF/IID	Intermediate Care Facilities for Individuals with Intellectual Disabilities
IPO	Initial Public Offering
IT	Information Technology
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIPA	Long Island Power Authority
LLC	Limited Liability Company
MA	Medicaid
MCTD	Metropolitan Commuter Transportation District
MMTOA	Metropolitan Mass Transportation Operating Assistance Account
MP-2014	Mortality Improvement Scale - MP-2014
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
MTACIF	Metropolitan Transit Assistance for Capital Investment Fund
NPS	Non-Personal Service
NYC	New York City
NYPA	New York Power Authority
NYRA	New York Racing Association
NYS	New York State
NYSAGI	New York State Adjusted Gross Income
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association

NYSHIP	New York State Health Insurance Program
NYSLRS	New York State & Local Retirement System
NYSOH	New York State of Health
NYSPBA	The Police Benevolent Association of the New York State Troopers, Inc.
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBA	Police Benevolent Association
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PwC	PricewaterhouseCoopers LLP
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIT	Personal Income Tax
PS	Personal Service
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
SCB NY	Standard Chartered Bank, New York Branch
SEIT	Special Education Itinerant Teacher
SFY	School Fiscal Year
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SONYMA	State of New York Mortgage Agency
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STEM	Science, Technology, Engineering and Math
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TA	Thruway Authority
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TIAA	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TSCR	Tribal State Compact Revenue
U.S.	United States
UUP	United University Professions
VLG	Video Lottery Gaming
VLT	Video Lottery Terminal
WCB	Workers' Compensation Board



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic

development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds such as the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - Includes the payment of salaries and compensation for State employees.

Non-Personal Service - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

GSCs - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional facilities and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of balances in the General Fund:

Tax Stabilization Reserve Fund - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2016 Enacted Budget includes no new appropriations.

Debt Management - Reserved for i) the payment of principal, interest, or related expenses, ii) retiring or defeasing existing State-supported debt obligations, including accrued interest, and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

Prior-Term Labor Agreements - Due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Monetary Settlements - Includes balance of receipts from monetary settlements. See page 26 for more information.

Note 5 — Spending Adjustments

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

Note 6 — Items Affecting Annual Comparability

American Recovery and Reinvestment Act of 2009 (ARRA) - On February 17, 2009, President Barack Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The FY 2016 Enacted Budget reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the next three years to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

- **Affordable Care Act** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage, ensure appropriate access to care, and to contain escalating health care costs. The FY 2016 Enacted Budget Financial Plan reflects the impact of the ACA in a number of ways, including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance benefit exchange, which is the State's single point of access insurance marketplace as mandated by the ACA, and began implementing new information systems designed to improve health insurance claiming.
- **Federal Delivery Service Reform Incentive Payments** - On April 14, 2014 Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The FY 2016 Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **Basic Health Plan** - The BHP is a health insurance program, authorized through the provisions of the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the BHP. The FY 2016 Enacted Budget assumes the State will participate and begin phasing in certain legally residing immigrants currently receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the BHP will be enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal government. When fully implemented, approximately 75 percent of program expenditures are expected to be financed with Federal funding. The State funding for BHP in the FY 2016 Enacted Budget is offset by State Funds Medicaid program savings, and additional Federal Funds are recognized through the duration of the planning period.

Mergers - State agency mergers in recent years include the following:

- The New York State Gaming Commission was created on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, actual and estimated spending beginning in FY 2014 are reflected in the new agency.
- The activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities (CQCAPD) were subsumed by the New York State Justice Center for the Protection of People with Special Needs when it became operational on June 30, 2013.
- Division of Parole merged with the Department of Correctional Services to become the new DOCCS.
- Consumer Protection Board merged into DOS.
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development.
- Banking and Insurance Departments merged into a new agency known as the Department of Financial Services.

Note 7 – State Funding of SUNY Operating Support

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending to General Fund transfers. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the academic year that began in July 2012. All General Fund support for SUNY operations is now transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations are funded.

Note 8 – Off-Budget Transactions

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a loan from STIP or the General Fund.

Note 9 – General Fund/HCRA Combined Gap

The FY 2015 Enacted Budget extended the HCRA authorization through March 31, 2017. HCRA is projected to remain balanced through FY 2019. However, any unaddressed shortfall would need to be financed by the General Fund or reduction in HCRA programs.

Note 10 – MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the total aid provided to the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

Note 11 – Changes to the Medicaid Program

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that would have otherwise been paid by Local Social Services Districts. These statutory indexing provisions were amended through legislation included in the FY 2013 Enacted Budget to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

Note 12 – Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

Note 13 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit G and I of the Comptroller's Annual Report to the Legislature.

Note 14 — Outstanding Temporary Loans Summary

The total outstanding loan balance as of March 31, 2015 was \$2.3 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$1 billion), activities that are financed initially by the State pending Federal receipt (\$719 million), State Special Revenue Funds (\$323 million), and Proprietary Funds (\$234 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

Note 15 — Pension Amortization

The Financial Plan projections assume that the State and OCA will amortize pension costs above a certain percentage of payroll, as authorized by legislation enacted in FY 2011. Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2015, the interest rate charged on the deferred amounts was 3.15 percent. Beginning in FY 2016, repayments of amortizations in the following table assume that 3.15 percent interest will continue to be charged. The amortization threshold (the "graded rate") is projected to exceed the normal rate in each of the next four fiscal years. Therefore, amortization of State and OCA pension costs is also projected through FY 2019.

STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars)									
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
AMORTIZATION THRESHOLDS (Graded Rate)									
ERS (%)	9.5	10.5	11.5	12.5	13.5	14.5	15.5	16.5	17.5
PFRS (%)	17.5	18.5	19.5	20.5	21.5	22.5	23.5	24.5	25.5
STATEWIDE PENSION (NET COST)	1,470	1,697	1,601	2,086	2,130	2,219	2,467	2,660	2,890
Gross Pension Costs	1,633	2,141	2,192	2,744	2,429	2,226	2,334	2,404	2,519
(Amortization Savings) / Excess Contributions	(250)	(563)	(779)	(937)	(713)	(395)	(299)	(212)	(122)
Repayment of Amortization (incl. FY 2005 and FY 2006)	87	119	188	279	414	388	432	468	493

Note 16 —2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 17 —Reclassification of SUNY Dormitory Income Fund

Legislation included with the FY 2014 Enacted Budget created a new bonding program for SUNY Dormitory Facilities. The program is supported solely by third-party revenues generated by student rents. All rental revenues initially flow to DASNY for the payment of debt service on SUNY Dormitory Facilities, after which the balance of rental revenues is credited to the State's SUNY Dormitory Income fund to support other costs associated with SUNY Dormitory Facilities. There will be no prospective debt service spending from the SUNY Dormitory Income fund, and therefore this fund was reclassified from a Debt Service Fund to a Special Revenue Fund of the State, effective in FY 2015.

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**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Results</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	1,610	2,235	625	38.8%
Receipts:				
Taxes:				
Personal Income Tax	28,864	29,485	621	2.2%
User Taxes and Fees	6,561	6,691	130	2.0%
Business Taxes	6,046	6,265	219	3.6%
Other Taxes	1,256	1,128	(128)	-10.2%
Miscellaneous Receipts	3,219	8,410	5,191	161.3%
Federal Receipts	0	2	2	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,822	8,659	(163)	-1.8%
Sales Tax in Excess of LGAC	2,568	2,632	64	2.5%
Sales Tax in Excess of Revenue Bond Debt Service	2,936	2,940	4	0.1%
Real Estate Taxes in Excess of CW/CA Debt Service	705	844	139	19.7%
All Other	891	865	(26)	-2.9%
Total Receipts	<u>61,868</u>	<u>67,921</u>	<u>6,053</u>	<u>9.8%</u>
Disbursements:				
Local Assistance Grants	39,940	41,592	1,652	4.1%
Departmental Operations:				
Personal Service	5,563	5,806	243	4.4%
Non-Personal Service	1,746	1,858	112	6.4%
General State Charges	4,899	4,999	100	2.0%
Transfers to Other Funds:				
Debt Service	1,972	1,297	(675)	-34.2%
Capital Projects	1,436	1,264	(172)	-12.0%
State Share of Mental Hygiene Medicaid	1,576	1,419	(157)	-10.0%
SUNY Operations	971	980	9	0.9%
Other Purposes	3,140	3,641	501	16.0%
Total Disbursements	<u>61,243</u>	<u>62,856</u>	<u>1,613</u>	<u>2.6%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>625</u>	<u>5,065</u>	<u>4,440</u>	<u>710.4%</u>
Closing Fund Balance	<u>2,235</u>	<u>7,300</u>	<u>5,065</u>	<u>226.6%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,131	1,258	127	
Rainy Day Reserve Fund	350	540	190	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	87	74	(13)	
Reserved For				
Prior-Year Labor Agreements (2007-2011)	88	50	(38)	
Debt Management	500	500	0	
Undesignated Fund Balance	0	190	190	
J.P. Morgan Settlement Proceeds	58	0	(58)	
Monetary Settlements	0	4,667	4,667	

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>2,235</u>	<u>7,300</u>	<u>5,065</u>	<u>226.6%</u>
Receipts:				
Taxes:				
Personal Income Tax	29,485	31,924	2,439	8.3%
Consumption/Use Taxes	6,691	6,890	199	3.0%
Business Taxes	6,265	5,897	(368)	-5.9%
Other Taxes	1,128	1,069	(59)	-5.2%
Miscellaneous Receipts	8,410	4,365	(4,045)	-48.1%
Federal Receipts	2	0	(2)	-100.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,659	10,215	1,556	18.0%
Sales Tax in Excess of LGAC	2,632	2,767	135	5.1%
Sales Tax in Excess of Revenue Bond Debt Service	2,940	2,966	26	0.9%
Real Estate Taxes in Excess of CW/CA Debt Service	844	894	50	5.9%
All Other	865	1,298	433	50.1%
Total Receipts	<u>67,921</u>	<u>68,285</u>	<u>364</u>	<u>0.5%</u>
Disbursements:				
Local Assistance Grants	41,592	44,356	2,764	6.6%
Departmental Operations:				
Personal Service	5,806	6,079	273	4.7%
Non-Personal Service	1,858	2,184	326	17.5%
General State Charges	4,999	5,195	196	3.9%
Transfers to Other Funds:				
Debt Service	1,297	886	(411)	-31.7%
Capital Projects	1,264	5,947	4,683	370.5%
State Share of Mental Hygiene Medicaid	1,419	2,162	743	52.4%
SUNY Operations	980	998	18	1.8%
Other Purposes	3,641	4,283	642	17.6%
Total Disbursements	<u>62,856</u>	<u>72,090</u>	<u>9,234</u>	<u>14.7%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>5,065</u>	<u>(3,805)</u>	<u>(8,870)</u>	<u>-175.1%</u>
Closing Fund Balance	<u>7,300</u>	<u>3,495</u>	<u>(3,805)</u>	<u>-52.1%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,258	1,258	0	
Rainy Day Reserve Fund	540	540	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	74	74	0	
Reserved For				
Prior-Term Labor Agreements	50	60	10	
Debt Management	500	500	0	
Undesignated Fund Balance	190	0	(190)	
Monetary Settlements	4,667	1,042	(3,625)	

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	2,235	0	2,235
Receipts:			
Taxes:			
Personal Income Tax	29,486	(1)	29,485
Consumption/Use Taxes	6,700	(9)	6,691
Business Taxes	5,576	689	6,265
Other Taxes	1,188	(60)	1,128
Miscellaneous Receipts	8,874	(464)	8,410
Federal Receipts	2	0	2
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,030	(371)	8,659
Sales Tax in Excess of LGAC	2,660	(28)	2,632
Sales Tax in Excess of Revenue Bond Debt Service	2,968	(28)	2,940
Real Estate Taxes in Excess of CW/CA Debt Service	826	18	844
All Other	1,404	(539)	865
Total Receipts	<u>68,714</u>	<u>(793)</u>	<u>67,921</u>
Disbursements:			
Local Assistance Grants	41,986	(394)	41,592
Departmental Operations:			
Personal Service	5,849	(43)	5,806
Non-Personal Service	2,023	(165)	1,858
General State Charges	4,977	22	4,999
Transfers to Other Funds:			
Debt Service	1,291	6	1,297
Capital Projects	888	376	1,264
State Share of Mental Hygiene Medicaid	1,448	(29)	1,419
SUNY Operations	980	0	980
Other Purposes	3,739	(98)	3,641
Total Disbursements	<u>63,181</u>	<u>(325)</u>	<u>62,856</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>5,533</u>	<u>(468)</u>	<u>5,065</u>
Closing Fund Balance	<u>7,768</u>	<u>(468)</u>	<u>7,300</u>
Statutory Reserves			
Tax Stabilization Reserve Fund	1,256	2	1,258
Rainy Day Reserve Fund	540	0	540
Contingency Reserve Fund	21	0	21
Community Projects Fund	0	74	74
Reserved For			
Prior-Year Labor Agreements (2007-2011)	51	(1)	50
Debt Management	500	0	500
Undesignated Reserve	0	190	190
J.P. Morgan Settlement Proceeds	0	0	0
Monetary Settlements	5,400	(733)	4,667

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	2,235	0	2,235
Receipts:			
Taxes:			
Personal Income Tax	29,372	113	29,485
Consumption/Use Taxes	6,652	39	6,691
Business Taxes	5,438	827	6,265
Other Taxes	1,197	(69)	1,128
Miscellaneous Receipts	3,815	4,595	8,410
Federal Receipts	0	2	2
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,038	(379)	8,659
Sales Tax in Excess of LGAC	2,628	4	2,632
Sales Tax in Excess of Revenue Bond Debt Service	2,908	32	2,940
Real Estate Taxes in Excess of CW/CA Debt Service	761	83	844
All Other	1,153	(288)	865
Total Receipts	<u>62,962</u>	<u>4,959</u>	<u>67,921</u>
Disbursements:			
Local Assistance Grants	42,118	(526)	41,592
Departmental Operations:			
Personal Service	5,890	(84)	5,806
Non-Personal Service	1,960	(102)	1,858
General State Charges	5,072	(73)	4,999
Transfers to Other Funds:			
Debt Service	1,081	216	1,297
Capital Projects	930	334	1,264
State Share of Mental Hygiene Medicaid	1,638	(219)	1,419
SUNY Operations	977	3	980
Other Purposes	3,476	165	3,641
Total Disbursements	<u>63,142</u>	<u>(286)</u>	<u>62,856</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(180)</u>	<u>5,245</u>	<u>5,065</u>
Closing Fund Balance	<u>2,055</u>	<u>5,245</u>	<u>7,300</u>
Statutory Reserves			
Tax Stabilization Reserve Fund	1,131	127	1,258
Rainy Day Reserve Fund	350	190	540
Contingency Reserve Fund	21	0	21
Community Projects Fund	0	74	74
Reserved For			
Prior-Year Labor Agreements (2007-2011)	53	(3)	50
Debt Management	500	0	500
Undesignated Reserve	0	190	190
J.P. Morgan Settlement Proceeds	0	0	0
Monetary Settlements	0	4,667	4,667

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2016
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	31,845	79	31,924
Consumption/Use Taxes	7,009	(119)	6,890
Business Taxes	5,894	3	5,897
Other Taxes	1,124	(55)	1,069
Miscellaneous Receipts	2,926	1,439	4,365
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,777	438	10,215
Sales Tax in Excess of LGAC	2,797	(30)	2,767
Sales Tax in Excess of Revenue Bond Debt Service	2,996	(30)	2,966
Real Estate Taxes in Excess of CW/CA Debt Service	844	50	894
All Other	878	420	1,298
Total Receipts	<u>66,090</u>	<u>2,195</u>	<u>68,285</u>
Disbursements:			
Local Assistance Grants	43,916	440	44,356
Departmental Operations:			
Personal Service	6,064	15	6,079
Non-Personal Service	2,168	16	2,184
General State Charges	5,213	(18)	5,195
Transfers to Other Funds:			
Debt Service	915	(29)	886
Capital Projects	5,991	(44)	5,947
State Share of Mental Hygiene Medicaid	1,312	850	2,162
SUNY Operations	985	13	998
Other Purposes	4,065	218	4,283
Total Disbursements	<u>70,629</u>	<u>1,461</u>	<u>72,090</u>
Use (Reservation) of Fund Balance:			
Tax Stabilization Reserve Fund	0	0	0
Rainy Day Reserve Fund	0	0	0
Contingency Reserve Fund	0	0	0
Community Projects Fund	0	0	0
Prior-Term Labor Agreements	(11)	1	(10)
Debt Management	0	0	0
Undesignated Fund Balance	0	190	190
Monetary Settlements	4,550	(925)	3,625
Total Use (Reservation) of Fund Balance	<u>4,539</u>	<u>(734)</u>	<u>3,805</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements			
	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	33,915	203	34,118
Consumption/Use Taxes	7,291	(95)	7,196
Business Taxes	5,765	27	5,792
Other Taxes	1,031	(47)	984
Miscellaneous Receipts	2,656	(65)	2,591
Federal Receipts			
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,219	221	10,440
Sales Tax in Excess of LGAC	2,964	(47)	2,917
Sales Tax in Excess of Revenue Bond Debt Service	3,045	(46)	2,999
Real Estate Taxes in Excess of CW/CA Debt Service	903	45	948
All Other	740	0	740
Total Receipts	<u>68,529</u>	<u>196</u>	<u>68,725</u>
Disbursements:			
Local Assistance Grants	46,456	327	46,783
Departmental Operations:			
Personal Service	6,093	(44)	6,049
Non-Personal Service	2,222	40	2,262
General State Charges	5,710	0	5,710
Transfers to Other Funds:			
Debt Service	1,245	(3)	1,242
Capital Projects	1,823	21	1,844
State Share of Mental Hygiene Medicaid	1,339	100	1,439
SUNY Operations	974	4	978
Other Purposes	4,390	52	4,442
Total Disbursements	<u>70,252</u>	<u>497</u>	<u>70,749</u>
Use (Reservation) of Fund Balance:			
Community Projects Fund	0	0	0
Prior-Term Labor Agreements	(12)	(18)	(30)
Monetary Settlements	0	0	0
Total Use (Reservation) of Fund Balance	<u>(12)</u>	<u>(18)</u>	<u>(30)</u>
Adherence to 2% Spending Benchmark	1,937	396	2,333
Net General Fund Surplus (Deficit)	<u>202</u>	<u>77</u>	<u>279</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	35,658	617	36,275
Consumption/Use Taxes	7,547	(96)	7,451
Business Taxes	5,867	92	5,959
Other Taxes	981	(71)	910
Miscellaneous Receipts	2,366	(13)	2,353
Federal Receipts			
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,576	359	10,935
Sales Tax in Excess of LGAC	3,176	(47)	3,129
Sales Tax in Excess of Revenue Bond Debt Service	3,071	(49)	3,022
Real Estate Taxes in Excess of CW/CA Debt Service	962	28	990
All Other	739	0	739
Total Receipts	<u>70,943</u>	<u>820</u>	<u>71,763</u>
Disbursements:			
Local Assistance Grants	49,149	11	49,160
Departmental Operations:			
Personal Service	6,203	(127)	6,076
Non-Personal Service	2,480	8	2,488
General State Charges	6,032	0	6,032
Transfers to Other Funds:			
Debt Service	1,411	11	1,422
Capital Projects	2,042	30	2,072
State Share of Mental Hygiene Medicaid	1,214	99	1,313
SUNY Operations	969	0	969
Other Purposes	4,781	87	4,868
Total Disbursements	<u>74,281</u>	<u>119</u>	<u>74,400</u>
Use (Reservation) of Fund Balance:			
Prior-Term Labor Agreements	(10)	0	(10)
Monetary Settlements	0	0	0
Total Use (Reservation) of Fund Balance	<u>(10)</u>	<u>0</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	4,195	154	4,349
Net General Fund Surplus (Deficit)	<u>847</u>	<u>855</u>	<u>1,702</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	36,715	552	37,267
Consumption/Use Taxes	7,822	(97)	7,725
Business Taxes	5,970	139	6,109
Other Taxes	926	(52)	874
Miscellaneous Receipts	2,277	(65)	2,212
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,691	315	11,006
Sales Tax in Excess of LGAC	3,210	(47)	3,163
Sales Tax in Excess of Revenue Bond Debt Service	3,098	(50)	3,048
Real Estate Taxes in Excess of CW/CA Debt Service	1,026	11	1,037
All Other	747	(23)	724
Total Receipts	<u>72,482</u>	<u>683</u>	<u>73,165</u>
Disbursements:			
Local Assistance Grants	51,595	58	51,653
Departmental Operations:			
Personal Service	6,234	(130)	6,104
Non-Personal Service	2,298	4	2,302
General State Charges	6,349	0	6,349
Transfers to Other Funds:			
Debt Service	1,198	12	1,210
Capital Projects	2,290	5	2,295
State Share of Mental Hygiene Medicaid	1,155	100	1,255
SUNY Operations	969	0	969
Other Purposes	5,202	31	5,233
Total Disbursements	<u>77,290</u>	<u>80</u>	<u>77,370</u>
Use (Reservation) of Fund Balance:			
Prior-Term Labor Agreements	(11)	1	(10)
Monetary Settlements	0	0	0
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>1</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	5,486	335	5,821
Net General Fund Surplus (Deficit)	<u>667</u>	<u>939</u>	<u>1,606</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2016 through FY 2019
(millions of dollars)**

	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	31,924	34,118	36,275	37,267
Consumption/Use Taxes	6,890	7,196	7,451	7,725
Business Taxes	5,897	5,792	5,959	6,109
Other Taxes	1,069	984	910	874
Miscellaneous Receipts	4,365	2,591	2,353	2,212
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,215	10,440	10,935	11,006
Sales Tax in Excess of LGAC	2,767	2,917	3,129	3,163
Sales Tax in Excess of Revenue Bond Debt Service	2,966	2,999	3,022	3,048
Real Estate Taxes in Excess of CW/CA Debt Service	894	948	990	1,037
All Other	1,298	740	739	724
Total Receipts	<u>68,285</u>	<u>68,725</u>	<u>71,763</u>	<u>73,165</u>
Disbursements:				
Local Assistance Grants	44,356	46,783	49,160	51,653
Departmental Operations:				
Personal Service	6,079	6,049	6,076	6,104
Non-Personal Service	2,184	2,262	2,488	2,302
General State Charges	5,195	5,710	6,032	6,349
Transfers to Other Funds:				
Debt Service	886	1,242	1,422	1,210
Capital Projects	5,947	1,844	2,072	2,295
State Share of Mental Hygiene Medicaid	2,162	1,439	1,313	1,255
SUNY Operations	998	978	969	969
Other Purposes	4,283	4,442	4,868	5,233
Total Disbursements	<u>72,090</u>	<u>70,749</u>	<u>74,400</u>	<u>77,370</u>
Use (Reservation) of Fund Balance:				
Tax Stabilization Reserve Fund	0	0	0	0
Rainy Day Reserve Fund	0	0	0	0
Contingency Reserve Fund	0	0	0	0
Community Projects Fund	0	0	0	0
Prior-Term Labor Agreements	(10)	(30)	(10)	(10)
Debt Management	0	0	0	0
Undesignated Fund Balance	190	0	0	0
Monetary Settlements	3,625	0	0	0
Total Use (Reservation) of Fund Balance	<u>3,805</u>	<u>(30)</u>	<u>(10)</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	0	2,333	4,349	5,821
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>279</u>	<u>1,702</u>	<u>1,606</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2016 THROUGH FY 2019
(millions of dollars)**

	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Taxes:				
Withholdings	36,940	38,971	40,400	42,198
Estimated Payments	15,746	17,039	18,378	17,796
Final Payments	2,493	2,670	2,841	2,984
Other Payments	1,333	1,383	1,422	1,482
Gross Collections	56,512	60,063	63,041	64,460
State/City Offset	(588)	(588)	(588)	(588)
Refunds	(8,849)	(9,360)	(9,406)	(9,446)
Reported Tax Collections	47,075	50,115	53,047	54,426
STAR (Dedicated Deposits)	(3,382)	(3,468)	(3,510)	(3,552)
RBTF (Dedicated Transfers)	(11,769)	(12,529)	(13,262)	(13,607)
Personal Income Tax	31,924	34,118	36,275	37,267
Sales and Use Tax	12,650	13,164	13,682	14,240
Cigarette and Tobacco Taxes	309	353	344	334
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	256	261	266	271
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	13,215	13,778	14,292	14,845
LGAC/STBF (Dedicated Transfers)	(6,325)	(6,582)	(6,841)	(7,120)
Consumption/Use Taxes	6,890	7,196	7,451	7,725
Corporation Franchise Tax	3,909	3,617	3,747	3,862
Corporation and Utilities Tax	612	619	619	630
Insurance Taxes	1,414	1,383	1,431	1,495
Bank Tax	(38)	173	162	122
Petroleum Business Tax	0	0	0	0
Business Taxes	5,897	5,792	5,959	6,109
Estate Tax	1,050	965	891	855
Real Estate Transfer Tax	1,085	1,138	1,176	1,221
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	18	18	18	18
Other Taxes	1	1	1	1
Gross Other Taxes	2,154	2,122	2,086	2,095
Real Estate Transfer Tax (Dedicated)	(1,085)	(1,138)	(1,176)	(1,221)
Other Taxes	1,069	984	910	874
Payroll Tax	0	0	0	0
Total Taxes	45,780	48,090	50,595	51,975
Licenses, Fees, Etc.	625	595	652	600
Abandoned Property	655	550	550	550
Motor Vehicle Fees	170	218	223	224
ABC License Fee	65	61	62	63
Reimbursements	269	263	253	262
Investment Income	4	4	5	5
Other Transactions	2,577	900	608	508
Miscellaneous Receipts	4,365	2,591	2,353	2,212
Federal Receipts	0	0	0	0
Total	50,145	50,681	52,948	54,187

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2015 and FY 2016
(millions of dollars)**

	FY 2015 Results	FY 2016 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	34,907	36,940	2,033	5.8%
Estimated Payments	13,743	15,746	2,003	14.6%
Final Payments	2,206	2,493	287	13.0%
Other Payments	1,392	1,333	(59)	-4.2%
Gross Collections	52,248	56,512	4,264	8.2%
State/City Offset	(591)	(588)	3	0.5%
Refunds	(7,948)	(8,849)	(901)	-11.3%
Reported Tax Collections	43,709	47,075	3,366	7.7%
STAR (Dedicated Deposits)	(3,297)	(3,382)	(85)	-2.6%
RBTF (Dedicated Transfers)	(10,927)	(11,769)	(842)	-7.7%
Personal Income Tax	29,485	31,924	2,439	8.3%
Sales and Use Tax	12,137	12,650	513	4.2%
Cigarette and Tobacco Taxes	356	309	(47)	-13.2%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	251	256	5	2.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Utility Taxes and Fees	12,744	13,215	471	3.7%
LGAC/STBF (Dedicated Transfers)	(6,053)	(6,325)	(272)	-4.5%
Consumption/Use Taxes	6,691	6,890	199	3.0%
Corporation Franchise Tax	2,990	3,909	919	30.7%
Corporation and Utilities Tax	577	612	35	6.1%
Insurance Taxes	1,375	1,414	39	2.8%
Bank Tax	1,323	(38)	(1,361)	-102.9%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	6,265	5,897	(368)	-5.9%
Estate Tax	1,109	1,050	(59)	-5.3%
Real Estate Transfer Tax	1,038	1,085	47	4.5%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	2,166	2,154	(12)	-0.6%
Real Estate Transfer Tax (Dedicated)	(1,038)	(1,085)	(47)	-4.5%
Other Taxes	1,128	1,069	(59)	-5.2%
Payroll Tax	0	0	0	0.0%
Total Taxes	43,569	45,780	2,211	5.1%
Licenses, Fees, Etc.	588	625	37	6.3%
Abandoned Property	652	655	3	0.5%
Motor Vehicle Fees	191	170	(21)	-11.0%
ABC License Fee	61	65	4	6.6%
Reimbursements	266	269	3	1.1%
Investment Income	4	4	0	0.0%
Other Transactions	6,648	2,577	(4,071)	-61.2%
Miscellaneous Receipts	8,410	4,365	(4,045)	-48.1%
Federal Receipts	2	0	(2)	-100.0%
Total	51,981	50,145	(1,836)	-3.5%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	1,610	2,370	379	4,359
Receipts:				
Taxes	42,727	8,175	17,433	68,335
Miscellaneous Receipts	3,219	16,603	699	20,521
Federal Receipts	0	0	71	71
Total Receipts	<u>45,946</u>	<u>24,778</u>	<u>18,203</u>	<u>88,927</u>
Disbursements:				
Local Assistance Grants	39,940	19,462	0	59,402
Departmental Operations:				
Personal Service	5,563	6,737	0	12,300
Non-Personal Service	1,746	3,781	37	5,564
General State Charges	4,899	2,059	0	6,958
Debt Service	0	0	6,400	6,400
Capital Projects	0	7	0	7
Total Disbursements	<u>52,148</u>	<u>32,046</u>	<u>6,437</u>	<u>90,631</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	15,922	7,644	5,211	28,777
Transfers to Other Funds	(9,095)	(1,132)	(17,122)	(27,349)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,827</u>	<u>6,512</u>	<u>(11,911)</u>	<u>1,428</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>625</u>	<u>(756)</u>	<u>(145)</u>	<u>(276)</u>
Closing Fund Balance	<u>2,235</u>	<u>1,614</u>	<u>234</u>	<u>4,083</u>
Intra-Fund Transfers Adjustment	0	706	0	706
Closing Balance with Intra-Fund Transfers	<u>2,235</u>	<u>2,320</u>	<u>234</u>	<u>4,789</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	2,235	2,489	65	4,789
Receipts:				
Taxes	43,569	8,193	17,899	69,661
Miscellaneous Receipts	8,410	16,381	510	25,301
Federal Receipts	2	0	73	75
Total Receipts	<u>51,981</u>	<u>24,574</u>	<u>18,482</u>	<u>95,037</u>
Disbursements:				
Local Assistance Grants	41,592	19,460	0	61,052
Departmental Operations:				
Personal Service	5,806	6,744	0	12,550
Non-Personal Service	1,858	3,710	39	5,607
General State Charges	4,999	2,034	0	7,033
Debt Service	0	0	6,183	6,183
Capital Projects	0	1	0	1
Total Disbursements	<u>54,255</u>	<u>31,949</u>	<u>6,222</u>	<u>92,426</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	15,940	7,767	4,681	28,388
Transfers to Other Funds	(8,601)	(871)	(16,888)	(26,360)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,339</u>	<u>6,896</u>	<u>(12,207)</u>	<u>2,028</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>5,065</u>	<u>(479)</u>	<u>53</u>	<u>4,639</u>
Closing Fund Balance	<u>7,300</u>	<u>2,010</u>	<u>118</u>	<u>9,428</u>
Intra-Fund Transfers Adjustment	0	462	0	462
Closing Balance with Intra-Fund Transfers	<u>7,300</u>	<u>2,472</u>	<u>118</u>	<u>9,890</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,300	2,472	118	9,890
Receipts:				
Taxes	45,780	8,373	19,060	73,213
Miscellaneous Receipts	4,365	15,179	470	20,014
Federal Receipts	0	1	73	74
Total Receipts	<u>50,145</u>	<u>23,553</u>	<u>19,603</u>	<u>93,301</u>
Disbursements:				
Local Assistance Grants	44,356	18,949	0	63,305
Departmental Operations:				
Personal Service	6,079	6,789	0	12,868
Non-Personal Service	2,184	3,392	44	5,620
General State Charges	5,195	2,139	0	7,334
Debt Service	0	0	5,122	5,122
Capital Projects	0	1	0	1
Total Disbursements	<u>57,814</u>	<u>31,270</u>	<u>5,166</u>	<u>94,250</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,140	8,711	3,897	30,748
Transfers to Other Funds	(14,276)	(1,380)	(18,251)	(33,907)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>3,864</u>	<u>7,331</u>	<u>(14,354)</u>	<u>(3,159)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(3,805)</u>	<u>(386)</u>	<u>83</u>	<u>(4,108)</u>
Closing Fund Balance	<u>3,495</u>	<u>2,086</u>	<u>201</u>	<u>5,782</u>
Intra-Fund Transfers Adjustment	0	486	0	486
Closing Balance with Intra-Fund Transfers	<u>3,495</u>	<u>2,572</u>	<u>201</u>	<u>6,268</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	48,090	8,537	20,130	76,757
Miscellaneous Receipts	2,591	15,598	453	18,642
Federal Receipts	0	1	73	74
Total Receipts	<u>50,681</u>	<u>24,136</u>	<u>20,656</u>	<u>95,473</u>
Disbursements:				
Local Assistance Grants	46,783	18,976	0	65,759
Departmental Operations:				
Personal Service	6,049	6,785	0	12,834
Non-Personal Service	2,262	3,461	47	5,770
General State Charges	5,710	2,184	0	7,894
Debt Service	0	0	6,208	6,208
Capital Projects	0	3	0	3
Total Disbursements	<u>60,804</u>	<u>31,409</u>	<u>6,255</u>	<u>98,468</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,044	7,967	4,188	30,199
Transfers to Other Funds	(9,945)	(848)	(18,514)	(29,307)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>8,099</u>	<u>7,119</u>	<u>(14,326)</u>	<u>892</u>
Use (Reservation) of Fund Balance:				
Community Projects Fund	0	0	0	0
Prior-Term Labor Agreements	(30)	0	0	(30)
Monetary Settlements	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>(30)</u>	<u>0</u>	<u>0</u>	<u>(30)</u>
Adherence to 2% Spending Benchmark	2,333	0	0	2,333
Net Surplus (Deficit)	<u>279</u>	<u>(154)</u>	<u>75</u>	<u>200</u>
Intra-Fund Transfers Adjustment	0	456	0	456
Net Surplus (Deficit) with Intra-Fund Transfers	<u>279</u>	<u>302</u>	<u>75</u>	<u>656</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	50,595	8,702	21,160	80,457
Miscellaneous Receipts	2,353	15,814	453	18,620
Federal Receipts	0	1	73	74
Total Receipts	<u>52,948</u>	<u>24,517</u>	<u>21,686</u>	<u>99,151</u>
Disbursements:				
Local Assistance Grants	49,160	19,343	0	68,503
Departmental Operations:				
Personal Service	6,076	6,821	0	12,897
Non-Personal Service	2,488	3,484	47	6,019
General State Charges	6,032	2,254	0	8,286
Debt Service	0	0	6,699	6,699
Capital Projects	0	3	0	3
Total Disbursements	<u>63,756</u>	<u>31,905</u>	<u>6,746</u>	<u>102,407</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,815	8,104	4,283	31,202
Transfers to Other Funds	(10,644)	(797)	(19,131)	(30,572)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>8,171</u>	<u>7,307</u>	<u>(14,848)</u>	<u>630</u>
Use (Reservation) of Fund Balance:				
Prior-Term Labor Agreements	(10)	0	0	(10)
Monetary Settlements	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>(10)</u>	<u>0</u>	<u>0</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	4,349	0	0	4,349
Net Surplus (Deficit)	<u>1,702</u>	<u>(81)</u>	<u>92</u>	<u>1,713</u>
Intra-Fund Transfers Adjustment	0	456	0	456
Net Surplus (Deficit) with Intra-Fund Transfers	<u>1,702</u>	<u>375</u>	<u>92</u>	<u>2,169</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	51,975	8,886	21,829	82,690
Miscellaneous Receipts	2,212	15,929	452	18,593
Federal Receipts	0	1	73	74
Total Receipts	<u>54,187</u>	<u>24,816</u>	<u>22,354</u>	<u>101,357</u>
Disbursements:				
Local Assistance Grants	51,653	19,559	0	71,212
Departmental Operations:				
Personal Service	6,104	6,895	0	12,999
Non-Personal Service	2,302	3,562	47	5,911
General State Charges	6,349	2,362	0	8,711
Debt Service	0	0	7,004	7,004
Capital Projects	0	3	0	3
Total Disbursements	<u>66,408</u>	<u>32,381</u>	<u>7,051</u>	<u>105,840</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,978	8,361	4,031	31,370
Transfers to Other Funds	(10,962)	(803)	(19,261)	(31,026)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>8,016</u>	<u>7,558</u>	<u>(15,230)</u>	<u>344</u>
Use (Reservation) of Fund Balance:				
Prior-Term Labor Agreements	(10)	0	0	(10)
Monetary Settlements	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>(10)</u>	<u>0</u>	<u>0</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	5,821	0	0	5,821
Net Surplus (Deficit)	<u>1,606</u>	<u>(7)</u>	<u>73</u>	<u>1,672</u>
Intra-Fund Transfers Adjustment	0	414	0	414
Net Surplus (Deficit) with Intra-Fund Transfers	<u>1,606</u>	<u>407</u>	<u>73</u>	<u>2,086</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015 and FY 2016
(millions of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	4,789	9,890	5,101	106.5%
Receipts:				
Taxes	69,661	73,213	3,552	5.1%
Miscellaneous Receipts	25,301	20,014	(5,287)	-20.9%
Federal Receipts	75	74	(1)	-1.3%
Total Receipts	<u>95,037</u>	<u>93,301</u>	<u>(1,736)</u>	<u>-1.8%</u>
Disbursements:				
Local Assistance Grants	61,052	63,305	2,253	3.7%
Departmental Operations:				
Personal Service	12,550	12,868	318	2.5%
Non-Personal Service	5,607	5,620	13	0.2%
General State Charges	7,033	7,334	301	4.3%
Debt Service	6,183	5,122	(1,061)	-17.2%
Capital Projects	1	1	0	0.0%
Total Disbursements	<u>92,426</u>	<u>94,250</u>	<u>1,824</u>	<u>2.0%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	28,388	30,748	2,360	8.3%
Transfers to Other Funds	(26,360)	(33,907)	(7,547)	-28.6%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	<u>2,028</u>	<u>(3,159)</u>	<u>(5,187)</u>	<u>-255.8%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>4,639</u>	<u>(4,108)</u>	<u>(8,747)</u>	<u>-188.6%</u>
Closing Fund Balance	<u>9,428</u>	<u>5,782</u>	<u>(3,646)</u>	<u>-38.7%</u>
Intra-Fund Transfers Adjustment	462	486	24	5.2%
Closing Balance with Intra-Fund Transfers	<u>9,890</u>	<u>6,268</u>	<u>(3,622)</u>	<u>-36.6%</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	4,789	0	4,789
Receipts:			
Taxes	69,150	511	69,661
Miscellaneous Receipts	25,540	(239)	25,301
Federal Receipts	76	(1)	75
Total Receipts	<u>94,766</u>	<u>271</u>	<u>95,037</u>
Disbursements:			
Local Assistance Grants	61,100	(48)	61,052
Departmental Operations:			
Personal Service	12,596	(46)	12,550
Non-Personal Service	5,775	(168)	5,607
General State Charges	7,072	(39)	7,033
Debt Service	5,833	350	6,183
Capital Projects	0	1	1
Total Disbursements	<u>92,376</u>	<u>50</u>	<u>92,426</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	29,277	(889)	28,388
Transfers to Other Funds	(26,956)	596	(26,360)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>2,321</u>	<u>(293)</u>	<u>2,028</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>4,711</u>	<u>(72)</u>	<u>4,639</u>
Closing Fund Balance	<u>9,500</u>	<u>(72)</u>	<u>9,428</u>
Intra-Fund Transfers Adjustment	446	16	462
Closing Balance with Intra-Fund Transfers	<u>9,946</u>	<u>(56)</u>	<u>9,890</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

	FY 2015		
	Enacted	Change	Results
Opening Fund Balance	4,789	0	4,789
Receipts:			
Taxes	68,826	835	69,661
Miscellaneous Receipts	20,278	5,023	25,301
Federal Receipts	74	1	75
Total Receipts	<u>89,178</u>	<u>5,859</u>	<u>95,037</u>
Disbursements:			
Local Assistance Grants	61,181	(129)	61,052
Departmental Operations:			
Personal Service	12,593	(43)	12,550
Non-Personal Service	5,606	1	5,607
General State Charges	7,206	(173)	7,033
Debt Service	5,648	535	6,183
Capital Projects	0	1	1
Total Disbursements	<u>92,234</u>	<u>192</u>	<u>92,426</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	28,674	(286)	28,388
Transfers to Other Funds	(26,232)	(128)	(26,360)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>2,442</u>	<u>(414)</u>	<u>2,028</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(614)</u>	<u>5,253</u>	<u>4,639</u>
Closing Fund Balance	<u>4,175</u>	<u>5,253</u>	<u>9,428</u>
Intra-Fund Transfers Adjustment	385	77	462
Closing Balance with Intra-Fund Transfers	<u>4,560</u>	<u>5,330</u>	<u>9,890</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	<u>1,610</u>	<u>2,373</u>	<u>(485)</u>	<u>379</u>	<u>3,877</u>
Receipts:					
Taxes	42,727	8,175	1,355	17,433	69,690
Miscellaneous Receipts	3,219	16,776	3,539	699	24,233
Federal Receipts	<u>0</u>	<u>41,405</u>	<u>2,313</u>	<u>71</u>	<u>43,789</u>
Total Receipts	<u>45,946</u>	<u>66,356</u>	<u>7,207</u>	<u>18,203</u>	<u>137,712</u>
Disbursements:					
Local Assistance Grants	39,940	56,387	2,242	0	98,569
Departmental Operations:					
Personal Service	5,563	7,394	0	0	12,957
Non-Personal Service	1,746	5,021	0	37	6,804
General State Charges	4,899	2,381	0	0	7,280
Debt Service	0	0	0	6,400	6,400
Capital Projects	<u>0</u>	<u>7</u>	<u>5,509</u>	<u>0</u>	<u>5,516</u>
Total Disbursements	<u>52,148</u>	<u>71,190</u>	<u>7,751</u>	<u>6,437</u>	<u>137,526</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	15,922	7,644	1,817	5,211	30,594
Transfers to Other Funds	(9,095)	(2,988)	(1,417)	(17,122)	(30,622)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>6,827</u>	<u>4,656</u>	<u>400</u>	<u>(11,911)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>625</u>	<u>(178)</u>	<u>(144)</u>	<u>(145)</u>	<u>158</u>
Closing Fund Balance	<u>2,235</u>	<u>2,195</u>	<u>(629)</u>	<u>234</u>	<u>4,035</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	2,235	2,364	(629)	65	4,035
Receipts:					
Taxes	43,569	8,193	1,373	17,899	71,034
Miscellaneous Receipts	8,410	16,557	3,961	510	29,438
Federal Receipts	2	46,531	2,030	73	48,636
Total Receipts	<u>51,981</u>	<u>71,281</u>	<u>7,364</u>	<u>18,482</u>	<u>149,108</u>
Disbursements:					
Local Assistance Grants	41,592	61,090	2,043	0	104,725
Departmental Operations:					
Personal Service	5,806	7,357	0	0	13,163
Non-Personal Service	1,858	5,080	0	39	6,977
General State Charges	4,999	2,338	0	0	7,337
Debt Service	0	0	0	6,183	6,183
Capital Projects	0	1	5,505	0	5,506
Total Disbursements	<u>54,255</u>	<u>75,866</u>	<u>7,548</u>	<u>6,222</u>	<u>143,891</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	15,940	7,767	1,419	4,681	29,807
Transfers to Other Funds	(8,601)	(2,885)	(1,492)	(16,888)	(29,866)
Bond and Note Proceeds	0	0	162	0	162
Net Other Financing Sources (Uses)	<u>7,339</u>	<u>4,882</u>	<u>89</u>	<u>(12,207)</u>	<u>103</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>5,065</u>	<u>297</u>	<u>(95)</u>	<u>53</u>	<u>5,320</u>
Closing Fund Balance	<u><u>7,300</u></u>	<u><u>2,661</u></u>	<u><u>(724)</u></u>	<u><u>118</u></u>	<u><u>9,355</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,300	2,661	(724)	118	9,355
Receipts:					
Taxes	45,780	8,373	1,349	19,060	74,562
Miscellaneous Receipts	4,365	15,276	5,299	470	25,410
Federal Receipts	0	49,627	1,696	73	51,396
Total Receipts	<u>50,145</u>	<u>73,276</u>	<u>8,344</u>	<u>19,603</u>	<u>151,368</u>
Disbursements:					
Local Assistance Grants	44,356	64,400	3,154	0	111,910
Departmental Operations:					
Personal Service	6,079	7,425	0	0	13,504
Non-Personal Service	2,184	4,586	0	44	6,814
General State Charges	5,195	2,445	0	0	7,640
Debt Service	0	0	0	5,122	5,122
Capital Projects	0	1	7,159	0	7,160
Total Disbursements	<u>57,814</u>	<u>78,857</u>	<u>10,313</u>	<u>5,166</u>	<u>152,150</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,140	8,747	6,237	3,897	37,021
Transfers to Other Funds	(14,276)	(3,058)	(1,515)	(18,251)	(37,100)
Bond and Note Proceeds	0	0	685	0	685
Net Other Financing Sources (Uses)	<u>3,864</u>	<u>5,689</u>	<u>5,407</u>	<u>(14,354)</u>	<u>606</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(3,805)</u>	<u>108</u>	<u>3,438</u>	<u>83</u>	<u>(176)</u>
Closing Fund Balance	<u><u>3,495</u></u>	<u><u>2,769</u></u>	<u><u>2,714</u></u>	<u><u>201</u></u>	<u><u>9,179</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	48,090	8,537	1,325	20,130	78,082
Miscellaneous Receipts	2,591	15,709	5,341	453	24,094
Federal Receipts	0	49,850	1,630	73	51,553
Total Receipts	<u>50,681</u>	<u>74,096</u>	<u>8,296</u>	<u>20,656</u>	<u>153,729</u>
Disbursements:					
Local Assistance Grants	46,783	64,983	3,343	0	115,109
Departmental Operations:					
Personal Service	6,049	7,470	0	0	13,519
Non-Personal Service	2,262	4,422	0	47	6,731
General State Charges	5,710	2,503	0	0	8,213
Debt Service	0	0	0	6,208	6,208
Capital Projects	0	3	7,050	0	7,053
Total Disbursements	<u>60,804</u>	<u>79,381</u>	<u>10,393</u>	<u>6,255</u>	<u>156,833</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,044	7,967	2,126	4,188	32,325
Transfers to Other Funds	(9,945)	(2,370)	(1,574)	(18,514)	(32,403)
Bond and Note Proceeds	0	0	657	0	657
Net Other Financing Sources (Uses)	<u>8,099</u>	<u>5,597</u>	<u>1,209</u>	<u>(14,326)</u>	<u>579</u>
Use (Reservation) of Fund Balance:					
Community Projects Fund	0	0	0	0	0
Prior-Term Labor Agreements	(30)	0	0	0	(30)
Monetary Settlements	0	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>(30)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(30)</u>
Adherence to 2% Spending Benchmark	2,333	0	0	0	2,333
Net Surplus (Deficit)	<u>279</u>	<u>312</u>	<u>(888)</u>	<u>75</u>	<u>(222)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	50,595	8,702	1,326	21,160	81,783
Miscellaneous Receipts	2,353	15,925	5,020	453	23,751
Federal Receipts	0	50,322	1,617	73	52,012
Total Receipts	<u>52,948</u>	<u>74,949</u>	<u>7,963</u>	<u>21,686</u>	<u>157,546</u>
Disbursements:					
Local Assistance Grants	49,160	65,889	3,115	0	118,164
Departmental Operations:					
Personal Service	6,076	7,516	0	0	13,592
Non-Personal Service	2,488	4,488	0	47	7,023
General State Charges	6,032	2,578	0	0	8,610
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	3	7,029	0	7,032
Total Disbursements	<u>63,756</u>	<u>80,474</u>	<u>10,144</u>	<u>6,746</u>	<u>161,120</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,815	8,104	2,303	4,283	33,505
Transfers to Other Funds	(10,644)	(2,194)	(1,614)	(19,131)	(33,583)
Bond and Note Proceeds	0	0	462	0	462
Net Other Financing Sources (Uses)	<u>8,171</u>	<u>5,910</u>	<u>1,151</u>	<u>(14,848)</u>	<u>384</u>
Use (Reservation) of Fund Balance:					
Prior-Term Labor Agreements	(10)	0	0	0	(10)
Total Use (Reservation) of Fund Balance	<u>(10)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	4,349	0	0	0	4,349
Net Surplus (Deficit)	<u>1,702</u>	<u>385</u>	<u>(1,030)</u>	<u>92</u>	<u>1,149</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	51,975	8,886	1,331	21,829	84,021
Miscellaneous Receipts	2,212	16,040	5,183	452	23,887
Federal Receipts	0	50,531	1,598	73	52,202
Total Receipts	<u>54,187</u>	<u>75,457</u>	<u>8,112</u>	<u>22,354</u>	<u>160,110</u>
Disbursements:					
Local Assistance Grants	51,653	66,403	3,254	0	121,310
Departmental Operations:					
Personal Service	6,104	7,594	0	0	13,698
Non-Personal Service	2,302	4,582	0	47	6,931
General State Charges	6,349	2,690	0	0	9,039
Debt Service	0	0	0	7,004	7,004
Capital Projects	0	3	7,100	0	7,103
Total Disbursements	<u>66,408</u>	<u>81,272</u>	<u>10,354</u>	<u>7,051</u>	<u>165,085</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,978	8,361	2,528	4,031	33,898
Transfers to Other Funds	(10,962)	(2,140)	(1,623)	(19,261)	(33,986)
Bond and Note Proceeds	0	0	451	0	451
Net Other Financing Sources (Uses)	<u>8,016</u>	<u>6,221</u>	<u>1,356</u>	<u>(15,230)</u>	<u>363</u>
Use (Reservation) of Fund Balance:					
Prior-Term Labor Agreements	(10)	0	0	0	(10)
Total Use (Reservation) of Fund Balance	<u>(10)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(10)</u>
Adherence to 2% Spending Benchmark	5,821	0	0	0	5,821
Net Surplus (Deficit)	<u>1,606</u>	<u>406</u>	<u>(886)</u>	<u>73</u>	<u>1,199</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015 and FY 2016
(millions of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	4,035	9,355	5,320	131.8%
Receipts:				
Taxes	71,034	74,562	3,528	5.0%
Miscellaneous Receipts	29,438	25,410	(4,028)	-13.7%
Federal Receipts	48,636	51,396	2,760	5.7%
Total Receipts	<u>149,108</u>	<u>151,368</u>	<u>2,260</u>	<u>1.5%</u>
Disbursements:				
Local Assistance Grants	104,725	111,910	7,185	6.9%
Departmental Operations:				
Personal Service	13,163	13,504	341	2.6%
Non-Personal Service	6,977	6,814	(163)	-2.3%
General State Charges	7,337	7,640	303	4.1%
Debt Service	6,183	5,122	(1,061)	-17.2%
Capital Projects	5,506	7,160	1,654	30.0%
Total Disbursements	<u>143,891</u>	<u>152,150</u>	<u>8,259</u>	<u>5.7%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	29,807	37,021	7,214	24.2%
Transfers to Other Funds	(29,866)	(37,100)	(7,234)	-24.2%
Bond and Note Proceeds	162	685	523	322.8%
Net Other Financing Sources (Uses)	<u>103</u>	<u>606</u>	<u>503</u>	<u>488.3%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>5,320</u>	<u>(176)</u>	<u>(5,496)</u>	<u>-103.3%</u>
Closing Fund Balance	<u>9,355</u>	<u>9,179</u>	<u>(176)</u>	<u>-1.9%</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	4,035	0	4,035
Receipts:			
Taxes	70,512	522	71,034
Miscellaneous Receipts	30,426	(988)	29,438
Federal Receipts	47,035	1,601	48,636
Total Receipts	<u>147,973</u>	<u>1,135</u>	<u>149,108</u>
Disbursements:			
Local Assistance Grants	103,880	845	104,725
Departmental Operations:			
Personal Service	13,228	(65)	13,163
Non-Personal Service	6,932	45	6,977
General State Charges	7,372	(35)	7,337
Debt Service	5,833	350	6,183
Capital Projects	5,757	(251)	5,506
Total Disbursements	<u>143,002</u>	<u>889</u>	<u>143,891</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	30,363	(556)	29,807
Transfers to Other Funds	(30,408)	542	(29,866)
Bond and Note Proceeds	306	(144)	162
Net Other Financing Sources (Uses)	<u>261</u>	<u>(158)</u>	<u>103</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>5,232</u>	<u>88</u>	<u>5,320</u>
Closing Fund Balance	<u>9,267</u>	<u>88</u>	<u>9,355</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

	FY 2015 Enacted	Change	Results
Opening Fund Balance	4,035	0	4,035
Receipts:			
Taxes	70,188	846	71,034
Miscellaneous Receipts	25,672	3,766	29,438
Federal Receipts	45,789	2,847	48,636
Total Receipts	<u>141,649</u>	<u>7,459</u>	<u>149,108</u>
Disbursements:			
Local Assistance Grants	102,730	1,995	104,725
Departmental Operations:			
Personal Service	13,255	(92)	13,163
Non-Personal Service	6,825	152	6,977
General State Charges	7,515	(178)	7,337
Debt Service	5,648	535	6,183
Capital Projects	5,991	(485)	5,506
Total Disbursements	<u>141,964</u>	<u>1,927</u>	<u>143,891</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	29,722	85	29,807
Transfers to Other Funds	(29,803)	(63)	(29,866)
Bond and Note Proceeds	306	(144)	162
Net Other Financing Sources (Uses)	<u>225</u>	<u>(122)</u>	<u>103</u>
Financing Sources (Uses) Over Disbursements	<u>(90)</u>	<u>5,410</u>	<u>5,320</u>
Closing Fund Balance	<u><u>3,945</u></u>	<u><u>5,410</u></u>	<u><u>9,355</u></u>

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	34,907	0	0	0	34,907
Estimated Payments	13,743	0	0	0	13,743
Final Payments	2,206	0	0	0	2,206
Other Payments	1,392	0	0	0	1,392
Gross Collections	52,248	0	0	0	52,248
State/City Offset	(591)	0	0	0	(591)
Refunds	(7,948)	0	0	0	(7,948)
Reported Tax Collections	43,709	0	0	0	43,709
STAR (Dedicated Deposits)	(3,297)	3,297	0	0	0
RBTF (Dedicated Transfers)	(10,927)	0	0	10,927	0
Personal Income Tax	29,485	3,297	0	10,927	43,709
Sales and Use Tax	12,137	854	0	0	12,991
Cigarette and Tobacco Taxes	356	958	0	0	1,314
Motor Fuel Tax	0	101	386	0	487
Alcoholic Beverage Taxes	251	0	0	0	251
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	45	74	0	119
Taxicab Surcharge	0	82	0	0	82
Gross Utility Taxes and Fees	12,744	2,040	600	0	15,384
LGAC/STBF (Dedicated Transfers)	(6,053)	0	0	6,053	0
Consumption/Use Taxes	6,691	2,040	600	6,053	15,384
Corporation Franchise Tax	2,990	558	0	0	3,548
Corporation and Utilities Tax	577	141	10	0	728
Insurance Taxes	1,375	158	0	0	1,533
Bank Tax	1,323	213	0	0	1,536
Petroleum Business Tax	0	515	644	0	1,159
Business Taxes	6,265	1,585	654	0	8,504
Estate Tax	1,109	0	0	0	1,109
Real Estate Transfer Tax	1,038	0	0	0	1,038
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,166	0	0	0	2,166
Real Estate Transfer Tax (Dedicated)	(1,038)	0	119	919	0
Other Taxes	1,128	0	119	919	2,166
Payroll Tax	0	1,271	0	0	1,271
Total Taxes	43,569	8,193	1,373	17,899	71,034
Licenses, Fees, Etc.	588	0	0	0	588
Abandoned Property	652	0	0	0	652
Motor Vehicle Fees	191	401	727	0	1,319
ABC License Fee	61	0	0	0	61
Reimbursements	266	0	0	0	266
Investment Income	4	0	0	0	4
Other Transactions	6,648	16,156	3,234	510	26,548
Miscellaneous Receipts	8,410	16,557	3,961	510	29,438
Federal Receipts	2	46,531	2,030	73	48,636
Total	51,981	71,281	7,364	18,482	149,108

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	36,940	0	0	0	36,940
Estimated Payments	15,746	0	0	0	15,746
Final Payments	2,493	0	0	0	2,493
Other Payments	1,333	0	0	0	1,333
Gross Collections	56,512	0	0	0	56,512
State/City Offset	(588)	0	0	0	(588)
Refunds	(8,849)	0	0	0	(8,849)
Reported Tax Collections	47,075	0	0	0	47,075
STAR (Dedicated Deposits)	(3,382)	3,382	0	0	0
RBTF (Dedicated Transfers)	(11,769)	0	0	11,769	0
Personal Income Tax	31,924	3,382	0	11,769	47,075
Sales and Use Tax	12,650	882	0	0	13,532
Cigarette and Tobacco Taxes	309	911	0	0	1,220
Motor Fuel Tax	0	102	383	0	485
Alcoholic Beverage Taxes	256	0	0	0	256
Highway Use Tax	0	0	148	0	148
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	13,215	2,027	608	0	15,850
LGAC/STBF (Dedicated Transfers)	(6,325)	0	0	6,325	0
Consumption/Use Taxes	6,890	2,027	608	6,325	15,850
Corporation Franchise Tax	3,909	764	0	0	4,673
Corporation and Utilities Tax	612	168	14	0	794
Insurance Taxes	1,414	171	0	0	1,585
Bank Tax	(38)	28	0	0	(10)
Petroleum Business Tax	0	487	608	0	1,095
Business Taxes	5,897	1,618	622	0	8,137
Estate Tax	1,050	0	0	0	1,050
Real Estate Transfer Tax	1,085	0	0	0	1,085
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,154	0	0	0	2,154
Real Estate Transfer Tax (Dedicated)	(1,085)	0	119	966	0
Other Taxes	1,069	0	119	966	2,154
Payroll Tax	0	1,346	0	0	1,346
Total Taxes	45,780	8,373	1,349	19,060	74,562
Licenses, Fees, Etc.	625	0	0	0	625
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	170	408	725	0	1,303
ABC License Fee	65	0	0	0	65
Reimbursements	269	0	0	0	269
Investment Income	4	0	0	0	4
Other Transactions	2,577	14,868	4,574	470	22,489
Miscellaneous Receipts	4,365	15,276	5,299	470	25,410
Federal Receipts	0	49,627	1,696	73	51,396
Total	50,145	73,276	8,344	19,603	151,368

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	38,971	0	0	0	38,971
Estimated Payments	17,039	0	0	0	17,039
Final Payments	2,670	0	0	0	2,670
Other Payments	1,383	0	0	0	1,383
Gross Collections	<u>60,063</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>60,063</u>
State/City Offset	(588)	0	0	0	(588)
Refunds	(9,360)	0	0	0	(9,360)
Reported Tax Collections	<u>50,115</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>50,115</u>
STAR (Dedicated Deposits)	(3,468)	3,468	0	0	0
RBTF (Dedicated Transfers)	(12,529)	0	0	12,529	0
Personal Income Tax	<u>34,118</u>	<u>3,468</u>	<u>0</u>	<u>12,529</u>	<u>50,115</u>
Sales and Use Tax	13,164	903	0	0	14,067
Cigarette and Tobacco Taxes	353	873	0	0	1,226
Motor Fuel Tax	0	102	383	0	485
Alcoholic Beverage Taxes	261	0	0	0	261
Highway Use Tax	0	0	142	0	142
Auto Rental Tax	0	48	80	0	128
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	<u>13,778</u>	<u>2,011</u>	<u>605</u>	<u>0</u>	<u>16,394</u>
LGAC/STBF (Dedicated Transfers)	(6,582)	0	0	6,582	0
Consumption/Use Taxes	<u>7,196</u>	<u>2,011</u>	<u>605</u>	<u>6,582</u>	<u>16,394</u>
Corporation Franchise Tax	3,617	802	0	0	4,419
Corporation and Utilities Tax	619	177	15	0	811
Insurance Taxes	1,383	176	0	0	1,559
Bank Tax	173	30	0	0	203
Petroleum Business Tax	0	469	586	0	1,055
Business Taxes	<u>5,792</u>	<u>1,654</u>	<u>601</u>	<u>0</u>	<u>8,047</u>
Estate Tax	965	0	0	0	965
Real Estate Transfer Tax	1,138	0	0	0	1,138
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>2,122</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,122</u>
Real Estate Transfer Tax (Dedicated)	(1,138)	0	119	1,019	0
Other Taxes	<u>984</u>	<u>0</u>	<u>119</u>	<u>1,019</u>	<u>2,122</u>
Payroll Tax	<u>0</u>	<u>1,404</u>	<u>0</u>	<u>0</u>	<u>1,404</u>
Total Taxes	<u>48,090</u>	<u>8,537</u>	<u>1,325</u>	<u>20,130</u>	<u>78,082</u>
Licenses, Fees, Etc.	595	0	0	0	595
Abandoned Property	550	0	0	0	550
Motor Vehicle Fees	218	419	725	0	1,362
ABC License Fee	61	0	0	0	61
Reimbursements	263	0	0	0	263
Investment Income	4	0	0	0	4
Other Transactions	900	15,290	4,616	453	21,259
Miscellaneous Receipts	<u>2,591</u>	<u>15,709</u>	<u>5,341</u>	<u>453</u>	<u>24,094</u>
Federal Receipts	<u>0</u>	<u>49,850</u>	<u>1,630</u>	<u>73</u>	<u>51,553</u>
Total	<u>50,681</u>	<u>74,096</u>	<u>8,296</u>	<u>20,656</u>	<u>153,729</u>

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	40,400	0	0	0	40,400
Estimated Payments	18,378	0	0	0	18,378
Final Payments	2,841	0	0	0	2,841
Other Payments	1,422	0	0	0	1,422
Gross Collections	63,041	0	0	0	63,041
State/City Offset	(588)	0	0	0	(588)
Refunds	(9,406)	0	0	0	(9,406)
Reported Tax Collections	53,047	0	0	0	53,047
STAR (Dedicated Deposits)	(3,510)	3,510	0	0	0
RBTF (Dedicated Transfers)	(13,262)	0	0	13,262	0
Personal Income Tax	36,275	3,510	0	13,262	53,047
Sales and Use Tax	13,682	936	0	0	14,618
Cigarette and Tobacco Taxes	344	843	0	0	1,187
Motor Fuel Tax	0	101	381	0	482
Alcoholic Beverage Taxes	266	0	0	0	266
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	51	84	0	135
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	14,292	2,016	608	0	16,916
LGAC/STBF (Dedicated Transfers)	(6,841)	0	0	6,841	0
Consumption/Use Taxes	7,451	2,016	608	6,841	16,916
Corporation Franchise Tax	3,747	844	0	0	4,591
Corporation and Utilities Tax	619	181	15	0	815
Insurance Taxes	1,431	183	0	0	1,614
Bank Tax	162	28	0	0	190
Petroleum Business Tax	0	467	584	0	1,051
Business Taxes	5,959	1,703	599	0	8,261
Estate Tax	891	0	0	0	891
Real Estate Transfer Tax	1,176	0	0	0	1,176
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,086	0	0	0	2,086
Real Estate Transfer Tax (Dedicated)	(1,176)	0	119	1,057	0
Other Taxes	910	0	119	1,057	2,086
Payroll Tax	0	1,473	0	0	1,473
Total Taxes	50,595	8,702	1,326	21,160	81,783
Licenses, Fees, Etc.	652	0	0	0	652
Abandoned Property	550	0	0	0	550
Motor Vehicle Fees	223	419	725	0	1,367
ABC License Fee	62	0	0	0	62
Reimbursements	253	0	0	0	253
Investment Income	5	0	0	0	5
Other Transactions	608	15,506	4,295	453	20,862
Miscellaneous Receipts	2,353	15,925	5,020	453	23,751
Federal Receipts	0	50,322	1,617	73	52,012
Total	52,948	74,949	7,963	21,686	157,546

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,198	0	0	0	42,198
Estimated Payments	17,796	0	0	0	17,796
Final Payments	2,984	0	0	0	2,984
Other Payments	1,482	0	0	0	1,482
Gross Collections	64,460	0	0	0	64,460
State/City Offset	(588)	0	0	0	(588)
Refunds	(9,446)	0	0	0	(9,446)
Reported Tax Collections	54,426	0	0	0	54,426
STAR (Dedicated Deposits)	(3,552)	3,552	0	0	0
RBTF (Dedicated Transfers)	(13,607)	0	0	13,607	0
Personal Income Tax	37,267	3,552	0	13,607	54,426
Sales and Use Tax	14,240	972	0	0	15,212
Cigarette and Tobacco Taxes	334	814	0	0	1,148
Motor Fuel Tax	0	100	378	0	478
Alcoholic Beverage Taxes	271	0	0	0	271
Highway Use Tax	0	0	152	0	152
Auto Rental Tax	0	53	88	0	141
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	14,845	2,024	618	0	17,487
LGAC/STBF (Dedicated Transfers)	(7,120)	0	0	7,120	0
Consumption/Use Taxes	7,725	2,024	618	7,120	17,487
Corporation Franchise Tax	3,862	894	0	0	4,756
Corporation and Utilities Tax	630	190	15	0	835
Insurance Taxes	1,495	192	0	0	1,687
Bank Tax	122	21	0	0	143
Petroleum Business Tax	0	464	579	0	1,043
Business Taxes	6,109	1,761	594	0	8,464
Estate Tax	855	0	0	0	855
Real Estate Transfer Tax	1,221	0	0	0	1,221
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,095	0	0	0	2,095
Real Estate Transfer Tax (Dedicated)	(1,221)	0	119	1,102	0
Other Taxes	874	0	119	1,102	2,095
Payroll Tax	0	1,549	0	0	1,549
Total Taxes	51,975	8,886	1,331	21,829	84,021
Licenses, Fees, Etc.	600	0	0	0	600
Abandoned Property	550	0	0	0	550
Motor Vehicle Fees	224	419	725	0	1,368
ABC License Fee	63	0	0	0	63
Reimbursements	262	0	0	0	262
Investment Income	5	0	0	0	5
Other Transactions	508	15,621	4,458	452	21,039
Miscellaneous Receipts	2,212	16,040	5,183	452	23,887
Federal Receipts	0	50,531	1,598	73	52,202
Total	54,187	75,457	8,112	22,354	160,110

CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015 and FY 2016
(millions of dollars)

	FY 2015	FY 2016	Annual	Annual
	Results	Enacted	\$ Change	% Change
Taxes:				
Withholdings	34,907	36,940	2,033	5.8%
Estimated Payments	13,743	15,746	2,003	14.6%
Final Payments	2,206	2,493	287	13.0%
Other Payments	1,392	1,333	(59)	-4.2%
Gross Collections	52,248	56,512	4,264	8.2%
State/City Offset	(591)	(588)	3	0.5%
Refunds	(7,948)	(8,849)	(901)	-11.3%
Reported Tax Collections	43,709	47,075	3,366	7.7%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	43,709	47,075	3,366	7.7%
Sales and Use Tax	12,991	13,532	541	4.2%
Cigarette and Tobacco Taxes	1,314	1,220	(94)	-7.2%
Motor Fuel Tax	487	485	(2)	-0.4%
Alcoholic Beverage Taxes	251	256	5	2.0%
Highway Use Tax	140	148	8	5.7%
Auto Rental Tax	119	124	5	4.2%
Taxicab Surcharge	82	85	3	3.7%
Gross Utility Taxes and Fees	15,384	15,850	466	3.0%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	15,384	15,850	466	3.0%
Corporation Franchise Tax	3,548	4,673	1,125	31.7%
Corporation and Utilities Tax	728	794	66	9.1%
Insurance Taxes	1,533	1,585	52	3.4%
Bank Tax	1,536	(10)	(1,546)	-100.7%
Petroleum Business Tax	1,159	1,095	(64)	-5.5%
Business Taxes	8,504	8,137	(367)	-4.3%
Estate Tax	1,109	1,050	(59)	-5.3%
Real Estate Transfer Tax	1,038	1,085	47	4.5%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	2,166	2,154	(12)	-0.6%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
Other Taxes	2,166	2,154	(12)	-0.6%
Payroll Tax	1,271	1,346	75	5.9%
Total Taxes	71,034	74,562	3,528	5.0%
Licenses, Fees, Etc.	588	625	37	6.3%
Abandoned Property	652	655	3	0.5%
Motor Vehicle Fees	1,319	1,303	(16)	-1.2%
ABC License Fee	61	65	4	6.6%
Reimbursements	266	269	3	1.1%
Investment Income	4	4	0	0.0%
Other Transactions	26,548	22,489	(4,059)	-15.3%
Miscellaneous Receipts	29,438	25,410	(4,028)	-13.7%
Federal Receipts	48,636	51,396	2,760	5.7%
Total	149,108	151,368	2,260	1.5%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,370	3	2,373
Receipts:			
Taxes	8,175	0	8,175
Miscellaneous Receipts	16,603	173	16,776
Federal Receipts	0	41,405	41,405
Total Receipts	<u>24,778</u>	<u>41,578</u>	<u>66,356</u>
Disbursements:			
Local Assistance Grants	19,462	36,925	56,387
Departmental Operations:			
Personal Service	6,737	657	7,394
Non-Personal Service	3,781	1,240	5,021
General State Charges	2,059	322	2,381
Capital Projects	7	0	7
Total Disbursements	<u>32,046</u>	<u>39,144</u>	<u>71,190</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,644	0	7,644
Transfers to Other Funds	(1,132)	(1,856)	(2,988)
Net Other Financing Sources (Uses)	<u>6,512</u>	<u>(1,856)</u>	<u>4,656</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(756)</u>	<u>578</u>	<u>(178)</u>
Closing Fund Balance	<u>1,614</u>	<u>581</u>	<u>2,195</u>
Intra-Fund Transfers Adjustment	706	(706)	0
Closing Fund Balance with Intra-Fund Transfers	<u>2,320</u>	<u>(125)</u>	<u>2,195</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,489	(125)	2,364
Receipts:			
Taxes	8,193	0	8,193
Miscellaneous Receipts	16,381	176	16,557
Federal Receipts	0	46,531	46,531
Total Receipts	<u>24,574</u>	<u>46,707</u>	<u>71,281</u>
Disbursements:			
Local Assistance Grants	19,460	41,630	61,090
Departmental Operations:			
Personal Service	6,744	613	7,357
Non-Personal Service	3,710	1,370	5,080
General State Charges	2,034	304	2,338
Capital Projects	1	0	1
Total Disbursements	<u>31,949</u>	<u>43,917</u>	<u>75,866</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,767	0	7,767
Transfers to Other Funds	(871)	(2,014)	(2,885)
Net Other Financing Sources (Uses)	<u>6,896</u>	<u>(2,014)</u>	<u>4,882</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(479)</u>	<u>776</u>	<u>297</u>
Closing Fund Balance	<u>2,010</u>	<u>651</u>	<u>2,661</u>
Intra-Fund Transfers Adjustment	462	(462)	0
Closing Fund Balance with Intra-Fund Transfers	<u>2,472</u>	<u>189</u>	<u>2,661</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,472	189	2,661
Receipts:			
Taxes	8,373	0	8,373
Miscellaneous Receipts	15,179	97	15,276
Federal Receipts	1	49,626	49,627
Total Receipts	<u>23,553</u>	<u>49,723</u>	<u>73,276</u>
Disbursements:			
Local Assistance Grants	18,949	45,451	64,400
Departmental Operations:			
Personal Service	6,789	636	7,425
Non-Personal Service	3,392	1,194	4,586
General State Charges	2,139	306	2,445
Capital Projects	1	0	1
Total Disbursements	<u>31,270</u>	<u>47,587</u>	<u>78,857</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,711	36	8,747
Transfers to Other Funds	<u>(1,380)</u>	<u>(1,678)</u>	<u>(3,058)</u>
Net Other Financing Sources (Uses)	<u>7,331</u>	<u>(1,642)</u>	<u>5,689</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(386)</u>	<u>494</u>	<u>108</u>
Closing Fund Balance	<u>2,086</u>	<u>683</u>	<u>2,769</u>
Intra-Fund Transfers Adjustment	486	(486)	0
Closing Balance with Intra-Fund Transfers	<u>2,572</u>	<u>197</u>	<u>2,769</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,572	197	2,769
Receipts:			
Taxes	8,537	0	8,537
Miscellaneous Receipts	15,598	111	15,709
Federal Receipts	1	49,849	49,850
Total Receipts	<u>24,136</u>	<u>49,960</u>	<u>74,096</u>
Disbursements:			
Local Assistance Grants	18,976	46,007	64,983
Departmental Operations:			
Personal Service	6,785	685	7,470
Non-Personal Service	3,461	961	4,422
General State Charges	2,184	319	2,503
Capital Projects	3	0	3
Total Disbursements	<u>31,409</u>	<u>47,972</u>	<u>79,381</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,967	0	7,967
Transfers to Other Funds	(848)	(1,522)	(2,370)
Net Other Financing Sources (Uses)	<u>7,119</u>	<u>(1,522)</u>	<u>5,597</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(154)</u>	<u>466</u>	<u>312</u>
Closing Fund Balance	<u>2,418</u>	<u>663</u>	<u>3,081</u>
Intra-Fund Transfers Adjustment	456	(456)	0
Closing Balance with Intra-Fund Transfers	<u>2,874</u>	<u>207</u>	<u>3,081</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,874	207	3,081
Receipts:			
Taxes	8,702	0	8,702
Miscellaneous Receipts	15,814	111	15,925
Federal Receipts	1	50,321	50,322
Total Receipts	<u>24,517</u>	<u>50,432</u>	<u>74,949</u>
Disbursements:			
Local Assistance Grants	19,343	46,546	65,889
Departmental Operations:			
Personal Service	6,821	695	7,516
Non-Personal Service	3,484	1,004	4,488
General State Charges	2,254	324	2,578
Capital Projects	3	0	3
Total Disbursements	<u>31,905</u>	<u>48,569</u>	<u>80,474</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,104	0	8,104
Transfers to Other Funds	(797)	(1,397)	(2,194)
Net Other Financing Sources (Uses)	<u>7,307</u>	<u>(1,397)</u>	<u>5,910</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(81)</u>	<u>466</u>	<u>385</u>
Closing Fund Balance	<u>2,793</u>	<u>673</u>	<u>3,466</u>
Intra-Fund Transfers Adjustment	456	(456)	0
Closing Balance with Intra-Fund Transfers	<u>3,249</u>	<u>217</u>	<u>3,466</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,249	217	3,466
Receipts:			
Taxes	8,886	0	8,886
Miscellaneous Receipts	15,929	111	16,040
Federal Receipts	1	50,530	50,531
Total Receipts	<u>24,816</u>	<u>50,641</u>	<u>75,457</u>
Disbursements:			
Local Assistance Grants	19,559	46,844	66,403
Departmental Operations:			
Personal Service	6,895	699	7,594
Non-Personal Service	3,562	1,020	4,582
General State Charges	2,362	328	2,690
Capital Projects	3	0	3
Total Disbursements	<u>32,381</u>	<u>48,891</u>	<u>81,272</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,361	0	8,361
Transfers to Other Funds	(803)	(1,337)	(2,140)
Net Other Financing Sources (Uses)	<u>7,558</u>	<u>(1,337)</u>	<u>6,221</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(7)</u>	<u>413</u>	<u>406</u>
Closing Fund Balance	<u>3,242</u>	<u>630</u>	<u>3,872</u>
Intra-Fund Transfers Adjustment	414	(414)	0
Closing Balance with Intra-Fund Transfers	<u>3,656</u>	<u>216</u>	<u>3,872</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015 and FY 2016
(millions of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	2,364	2,661	297	12.6%
Receipts:				
Taxes	8,193	8,373	180	2.2%
Miscellaneous Receipts	16,557	15,276	(1,281)	-7.7%
Federal Receipts	46,531	49,627	3,096	6.7%
Total receipts	<u>71,281</u>	<u>73,276</u>	<u>1,995</u>	<u>2.8%</u>
Disbursements:				
Local Assistance Grants	61,090	64,400	3,310	5.4%
Departmental Operations:				
Personal Service	7,357	7,425	68	0.9%
Non-Personal Service	5,080	4,586	(494)	-9.7%
General State Charges	2,338	2,445	107	4.6%
Debt Service	0	0	0	0.0%
Capital Projects	1	1	0	0.0%
Total Disbursements	<u>75,866</u>	<u>78,857</u>	<u>2,991</u>	<u>3.9%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	7,767	8,747	980	12.6%
Transfers to Other Funds	(2,885)	(3,058)	(173)	-6.0%
Net Other Financing Sources (Uses)	<u>4,882</u>	<u>5,689</u>	<u>807</u>	<u>16.5%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>297</u>	<u>108</u>	<u>(189)</u>	<u>-63.6%</u>
Closing Fund Balance	<u><u>2,661</u></u>	<u><u>2,769</u></u>	<u><u>108</u></u>	<u><u>4.1%</u></u>

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2016 THROUGH FY 2019
(millions of dollars)

	<u>FY 2016</u> <u>Enacted</u>	<u>FY 2017</u> <u>Projected</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>
Personal Income Tax	3,382	3,468	3,510	3,552
Consumption/Use Taxes	2,027	2,011	2,016	2,024
Sales and Use Tax	882	903	936	972
Cigarette and Tobacco Taxes	911	873	843	814
Motor Fuel Tax	102	102	101	100
Auto Rental Tax	47	48	51	53
Taxicab Surcharge	85	85	85	85
Business Taxes	1,618	1,654	1,703	1,761
Corporation Franchise Tax	764	802	844	894
Corporation and Utilities Tax	168	177	181	190
Insurance Taxes	171	176	183	192
Bank Tax	28	30	28	21
Petroleum Business Tax	487	469	467	464
Payroll Tax	1,346	1,404	1,473	1,549
Total Taxes	8,373	8,537	8,702	8,886
Miscellaneous Receipts	15,276	15,709	15,925	16,040
HCRA	4,594	4,655	4,708	4,768
State University Income	4,300	4,457	4,550	4,692
Lottery	3,333	3,321	3,241	3,235
Medicaid	792	792	792	792
Industry Assessments	807	824	829	829
Motor Vehicle Fees	408	419	419	419
All Other	1,042	1,241	1,386	1,305
Federal Receipts	49,627	49,850	50,322	50,531
Total	<u>73,276</u>	<u>74,096</u>	<u>74,949</u>	<u>75,457</u>

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2015 and FY 2016
(millions of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Personal Income Tax	3,297	3,382	85	2.6%
Consumption/Use Taxes	2,040	2,027	(13)	-0.6%
Sales and Use Tax	854	882	28	3.3%
Cigarette and Tobacco Taxes	958	911	(47)	-4.9%
Motor Fuel Tax	101	102	1	1.0%
Auto Rental Tax	45	47	2	4.4%
Taxicab Surcharge	82	85	3	3.7%
Business Taxes	1,585	1,618	33	2.1%
Corporation Franchise Tax	558	764	206	36.9%
Corporation and Utilities Tax	141	168	27	19.1%
Insurance Taxes	158	171	13	8.2%
Bank Tax	213	28	(185)	-86.9%
Petroleum Business Tax	515	487	(28)	-5.4%
Payroll Tax	1,271	1,346	75	5.9%
Total Taxes	8,193	8,373	180	2.2%
Miscellaneous Receipts	16,557	15,276	(1,281)	-7.7%
HCRA	4,499	4,594	95	2.1%
State University Income	4,403	4,300	(103)	-2.3%
Lottery	3,215	3,333	118	3.7%
Medicaid	792	792	0	0.0%
Industry Assessments	689	807	118	17.1%
Motor Vehicle Fees	401	408	7	1.7%
All Other	2,558	1,042	(1,516)	-59.3%
Federal Receipts	46,531	49,627	3,096	6.7%
Total	<u>71,281</u>	<u>73,276</u>	<u>1,995</u>	<u>2.8%</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(318)</u>	<u>(167)</u>	<u>(485)</u>
Receipts:			
Taxes	1,355	0	1,355
Miscellaneous Receipts	3,537	2	3,539
Federal Receipts	5	2,308	2,313
Total Receipts	<u>4,897</u>	<u>2,310</u>	<u>7,207</u>
Disbursements:			
Local Assistance Grants	1,270	972	2,242
Capital Projects	4,454	1,055	5,509
Total Disbursements	<u>5,724</u>	<u>2,027</u>	<u>7,751</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,817	0	1,817
Transfers to Other Funds	(1,417)	0	(1,417)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>400</u>	<u>0</u>	<u>400</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(427)</u>	<u>283</u>	<u>(144)</u>
Closing Fund Balance	<u>(745)</u>	<u>116</u>	<u>(629)</u>
Intra-Fund Transfers Adjustment	300	(300)	0
Closing Balance with Intra-Fund Transfers	<u>(445)</u>	<u>(184)</u>	<u>(629)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(445)	(184)	(629)
Receipts:			
Taxes	1,373	0	1,373
Miscellaneous Receipts	3,960	1	3,961
Federal Receipts	5	2,025	2,030
Total Receipts	<u>5,338</u>	<u>2,026</u>	<u>7,364</u>
Disbursements:			
Local Assistance Grants	1,312	731	2,043
Capital Projects	4,410	1,095	5,505
Total Disbursements	<u>5,722</u>	<u>1,826</u>	<u>7,548</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,419	0	1,419
Transfers to Other Funds	(1,477)	(15)	(1,492)
Bond and Note Proceeds	162	0	162
Net Other Financing Sources (Uses)	<u>104</u>	<u>(15)</u>	<u>89</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(280)</u>	<u>185</u>	<u>(95)</u>
Closing Fund Balance	<u>(725)</u>	<u>1</u>	<u>(724)</u>
Intra-Fund Transfers Adjustment	383	(383)	0
Closing Balance with Intra-Fund Transfers	<u>(342)</u>	<u>(382)</u>	<u>(724)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(342)	(382)	(724)
Receipts:			
Taxes	1,349	0	1,349
Miscellaneous Receipts	5,299	0	5,299
Federal Receipts	5	1,691	1,696
Total Receipts	<u>6,653</u>	<u>1,691</u>	<u>8,344</u>
Disbursements:			
Local Assistance Grants	2,438	716	3,154
Capital Projects	6,439	720	7,159
Total Disbursements	<u>8,877</u>	<u>1,436</u>	<u>10,313</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	6,237	0	6,237
Transfers to Other Funds	(1,503)	(12)	(1,515)
Bond and Note Proceeds	685	0	685
Net Other Financing Sources (Uses)	<u>5,419</u>	<u>(12)</u>	<u>5,407</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>3,195</u>	<u>243</u>	<u>3,438</u>
Closing Fund Balance	<u>2,853</u>	<u>(139)</u>	<u>2,714</u>
Intra-Fund Transfers Adjustment	291	(291)	0
Closing Balance with Intra-Fund Transfers	<u>3,144</u>	<u>(430)</u>	<u>2,714</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,144	(430)	2,714
Receipts:			
Taxes	1,325	0	1,325
Miscellaneous Receipts	5,341	0	5,341
Federal Receipts	5	1,625	1,630
Total Receipts	<u>6,671</u>	<u>1,625</u>	<u>8,296</u>
Disbursements:			
Local Assistance Grants	2,637	706	3,343
Capital Projects	6,393	657	7,050
Total Disbursements	<u>9,030</u>	<u>1,363</u>	<u>10,393</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,126	0	2,126
Transfers to Other Funds	(1,562)	(12)	(1,574)
Bond and Note Proceeds	657	0	657
Net Other Financing Sources (Uses)	<u>1,221</u>	<u>(12)</u>	<u>1,209</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,138)</u>	<u>250</u>	<u>(888)</u>
Closing Fund Balance	<u>2,006</u>	<u>(180)</u>	<u>1,826</u>
Intra-Fund Transfers Adjustment	299	(299)	0
Closing Balance with Intra-Fund Transfers	<u>2,305</u>	<u>(479)</u>	<u>1,826</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,305	(479)	1,826
Receipts:			
Taxes	1,326	0	1,326
Miscellaneous Receipts	5,020	0	5,020
Federal Receipts	5	1,612	1,617
Total Receipts	<u>6,351</u>	<u>1,612</u>	<u>7,963</u>
Disbursements:			
Local Assistance Grants	2,409	706	3,115
Capital Projects	6,389	640	7,029
Total Disbursements	<u>8,798</u>	<u>1,346</u>	<u>10,144</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,303	0	2,303
Transfers to Other Funds	(1,602)	(12)	(1,614)
Bond and Note Proceeds	462	0	462
Net Other Financing Sources (Uses)	<u>1,163</u>	<u>(12)</u>	<u>1,151</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,284)</u>	<u>254</u>	<u>(1,030)</u>
Closing Fund Balance	<u>1,021</u>	<u>(225)</u>	<u>796</u>
Intra-Fund Transfers Adjustment	303	(303)	0
Closing Balance with Intra-Fund Transfers	<u>1,324</u>	<u>(528)</u>	<u>796</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	1,324	(528)	796
Receipts:			
Taxes	1,331	0	1,331
Miscellaneous Receipts	5,183	0	5,183
Federal Receipts	5	1,593	1,598
Total Receipts	<u>6,519</u>	<u>1,593</u>	<u>8,112</u>
Disbursements:			
Local Assistance Grants	2,548	706	3,254
Capital Projects	6,478	622	7,100
Total Disbursements	<u>9,026</u>	<u>1,328</u>	<u>10,354</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,528	0	2,528
Transfers to Other Funds	(1,610)	(13)	(1,623)
Bond and Note Proceeds	451	0	451
Net Other Financing Sources (Uses)	<u>1,369</u>	<u>(13)</u>	<u>1,356</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,138)</u>	<u>252</u>	<u>(886)</u>
Closing Fund Balance	<u>186</u>	<u>(276)</u>	<u>(90)</u>
Intra-Fund Transfers Adjustment	300	(300)	0
Closing Balance with Intra-Fund Transfers	<u>486</u>	<u>(576)</u>	<u>(90)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015 and FY 2016
(millions of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(629)	(724)	(95)	-15.1%
Receipts:				
Taxes	1,373	1,349	(24)	-1.7%
Miscellaneous Receipts	3,961	5,299	1,338	33.8%
Federal Receipts	2,030	1,696	(334)	-16.5%
Total Receipts	<u>7,364</u>	<u>8,344</u>	<u>980</u>	<u>13.3%</u>
Disbursements:				
Local Assistance Grants	2,043	3,154	1,111	54.4%
Capital Projects	5,505	7,159	1,654	30.0%
Total Disbursements	<u>7,548</u>	<u>10,313</u>	<u>2,765</u>	<u>36.6%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	1,419	6,237	4,818	339.5%
Transfers to Other Funds	(1,492)	(1,515)	(23)	-1.5%
Bond and Note Proceeds	162	685	523	322.8%
Net Other Financing Sources (Uses)	<u>89</u>	<u>5,407</u>	<u>5,318</u>	<u>5975.3%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(95)</u>	<u>3,438</u>	<u>3,533</u>	<u>3718.9%</u>
Closing Fund Balance	<u>(724)</u>	<u>2,714</u>	<u>3,438</u>	<u>474.9%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2016 THROUGH FY 2019
(millions of dollars)**

	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Consumption/Use Taxes	608	605	608	618
Motor Fuel Tax	383	383	381	378
Highway Use Tax	148	142	143	152
Auto Rental Tax	77	80	84	88
Business Taxes	622	601	599	594
Corporation and Utilities Tax	14	15	15	15
Petroleum Business Tax	608	586	584	579
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,349	1,325	1,326	1,331
Miscellaneous Receipts	5,299	5,341	5,020	5,183
Authority Bond Proceeds	4,504	4,869	4,818	5,180
State Park Fees	117	124	118	118
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	725	725	725	725
All Other	(124)	(454)	(718)	(917)
Federal Receipts	1,696	1,630	1,617	1,598
Total	8,344	8,296	7,963	8,112

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2015 and FY 2016
(millions of dollars)**

	FY 2015 Results	FY 2016 Enacted	Annual \$ Change	Annual % Change
Consumption/Use Taxes	600	608	8	1.3%
Motor Fuel Tax	386	383	(3)	-0.8%
Highway Use Tax	140	148	8	5.7%
Auto Rental Tax	74	77	3	4.1%
Business Taxes	654	622	(32)	-4.9%
Corporation and Utilities Tax	10	14	4	40.0%
Petroleum Business Tax	644	608	(36)	-5.6%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,373	1,349	(24)	-1.7%
Miscellaneous Receipts	3,961	5,299	1,338	33.8%
Authority Bond Proceeds	3,026	4,504	1,478	48.8%
State Park Fees	68	117	49	72.1%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	727	725	(2)	-0.3%
All Other	63	(124)	(187)	-296.8%
Federal Receipts	2,030	1,696	(334)	-16.5%
Total	7,364	8,344	980	13.3%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Enacted</u>	<u>FY 2017</u> <u>Projected</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	17	11	10	10	10
Empire State Development Corporation	1	13	13	13	13
Functional Total	<u>18</u>	<u>24</u>	<u>23</u>	<u>23</u>	<u>23</u>
TRANSPORTATION					
Transportation, Department of	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	145	155	176	177	123
People with Developmental Disabilities, Office for	19	40	40	40	40
Alcoholism and Substance Abuse Services, Office of	2	5	5	5	5
Functional Total	<u>166</u>	<u>200</u>	<u>221</u>	<u>222</u>	<u>168</u>
EDUCATION					
Education School Aid	40	40	30	30	21
Functional Total	<u>40</u>	<u>40</u>	<u>30</u>	<u>30</u>	<u>21</u>
HIGHER EDUCATION					
City University of New York	387	450	565	565	600
State University of New York	126	170	160	150	153
Functional Total	<u>513</u>	<u>620</u>	<u>725</u>	<u>715</u>	<u>753</u>
ALL OTHER					
Judiciary	2	5	5	7	0
Functional Total	<u>2</u>	<u>5</u>	<u>5</u>	<u>7</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u><u>739</u></u>	<u><u>889</u></u>	<u><u>1,004</u></u>	<u><u>997</u></u>	<u><u>965</u></u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2016 THROUGH FY 2019
(millions of dollars)**

	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Personal Income Tax	11,769	12,529	13,262	13,607
Consumption/Use Taxes	6,325	6,582	6,841	7,120
Sales and Use Tax	6,325	6,582	6,841	7,120
Other Taxes	966	1,019	1,057	1,102
Real Estate Transfer Tax	966	1,019	1,057	1,102
Total Taxes	19,060	20,130	21,160	21,829
Miscellaneous Receipts	470	453	453	452
Mental Hygiene Patient Receipts	321	301	304	304
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	142	146	144	144
All Other	7	6	5	4
Federal Receipts	73	73	73	73
Total	19,603	20,656	21,686	22,354

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2015 and FY 2016
(millions of dollars)**

	FY 2015 Results	FY 2016 Enacted	Annual \$ Change	Annual % Change
Personal Income Tax	10,927	11,769	842	7.7%
Consumption/Use Taxes	6,053	6,325	272	4.5%
Sales and Use Tax	6,053	6,325	272	4.5%
Other Taxes	919	966	47	5.1%
Real Estate Transfer Tax	919	966	47	5.1%
Total Taxes	17,899	19,060	1,161	6.5%
Miscellaneous Receipts	510	470	(40)	-7.8%
Mental Hygiene Patient Receipts	364	321	(43)	-11.8%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	137	142	5	3.6%
All Other	9	7	(2)	-22.2%
Federal Receipts	73	73	0	0.0%
Total	18,482	19,603	1,121	6.1%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2014
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	1,610	2,370	(318)	379	4,041
Receipts:					
Taxes	42,727	8,175	1,355	17,433	69,690
Miscellaneous Receipts	3,219	16,603	3,537	699	24,058
Federal Receipts	0	0	5	71	76
Total Receipts	<u>45,946</u>	<u>24,778</u>	<u>4,897</u>	<u>18,203</u>	<u>93,824</u>
Disbursements:					
Local Assistance Grants	39,940	19,462	1,270	0	60,672
Departmental Operations:					
Personal Service	5,563	6,737	0	0	12,300
Non-Personal Service	1,746	3,781	0	37	5,564
General State Charges	4,899	2,059	0	0	6,958
Debt Service	0	0	0	6,400	6,400
Capital Projects	0	7	4,454	0	4,461
Total Disbursements	<u>52,148</u>	<u>32,046</u>	<u>5,724</u>	<u>6,437</u>	<u>96,355</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	15,922	7,644	1,817	5,211	30,594
Transfers to Other Funds	(9,095)	(1,132)	(1,417)	(17,122)	(28,766)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,827</u>	<u>6,512</u>	<u>400</u>	<u>(11,911)</u>	<u>1,828</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>625</u>	<u>(756)</u>	<u>(427)</u>	<u>(145)</u>	<u>(703)</u>
Closing Fund Balance	<u>2,235</u>	<u>1,614</u>	<u>(745)</u>	<u>234</u>	<u>3,338</u>
Intra-Fund Transfers Adjustment	0	706	300	0	1,006
Closing Balance with Intra-Fund Transfers	<u>2,235</u>	<u>2,320</u>	<u>(445)</u>	<u>234</u>	<u>4,344</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2015
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	2,235	2,489	(445)	65	4,344
Receipts:					
Taxes	43,569	8,193	1,373	17,899	71,034
Miscellaneous Receipts	8,410	16,381	3,960	510	29,261
Federal Receipts	2	0	5	73	80
Total Receipts	<u>51,981</u>	<u>24,574</u>	<u>5,338</u>	<u>18,482</u>	<u>100,375</u>
Disbursements:					
Local Assistance Grants	41,592	19,460	1,312	0	62,364
Departmental Operations:					
Personal Service	5,806	6,744	0	0	12,550
Non-Personal Service	1,858	3,710	0	39	5,607
General State Charges	4,999	2,034	0	0	7,033
Debt Service	0	0	0	6,183	6,183
Capital Projects	0	1	4,410	0	4,411
Total Disbursements	<u>54,255</u>	<u>31,949</u>	<u>5,722</u>	<u>6,222</u>	<u>98,148</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	15,940	7,767	1,419	4,681	29,807
Transfers to Other Funds	(8,601)	(871)	(1,477)	(16,888)	(27,837)
Bond and Note Proceeds	0	0	162	0	162
Net Other Financing Sources (Uses)	<u>7,339</u>	<u>6,896</u>	<u>104</u>	<u>(12,207)</u>	<u>2,132</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>5,065</u>	<u>(479)</u>	<u>(280)</u>	<u>53</u>	<u>4,359</u>
Closing Fund Balance	<u>7,300</u>	<u>2,010</u>	<u>(725)</u>	<u>118</u>	<u>8,703</u>
Intra-Fund Transfers Adjustment	0	462	383	0	845
Closing Balance with Intra-Fund Transfers	<u>7,300</u>	<u>2,472</u>	<u>(342)</u>	<u>118</u>	<u>9,548</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2016
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,300	2,472	(342)	118	9,548
Receipts:					
Taxes	45,780	8,373	1,349	19,060	74,562
Miscellaneous Receipts	4,365	15,179	5,299	470	25,313
Federal Receipts	0	1	5	73	79
Total Receipts	<u>50,145</u>	<u>23,553</u>	<u>6,653</u>	<u>19,603</u>	<u>99,954</u>
Disbursements:					
Local Assistance Grants	44,356	18,949	2,438	0	65,743
Departmental Operations:					
Personal Service	6,079	6,789	0	0	12,868
Non-Personal Service	2,184	3,392	0	44	5,620
General State Charges	5,195	2,139	0	0	7,334
Debt Service	0	0	0	5,122	5,122
Capital Projects	0	1	6,439	0	6,440
Total Disbursements	<u>57,814</u>	<u>31,270</u>	<u>8,877</u>	<u>5,166</u>	<u>103,127</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,140	8,711	6,237	3,897	36,985
Transfers to Other Funds	(14,276)	(1,380)	(1,503)	(18,251)	(35,410)
Bond and Note Proceeds	0	0	685	0	685
Net Other Financing Sources (Uses)	<u>3,864</u>	<u>7,331</u>	<u>5,419</u>	<u>(14,354)</u>	<u>2,260</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(3,805)</u>	<u>(386)</u>	<u>3,195</u>	<u>83</u>	<u>(913)</u>
Closing Fund Balance	<u>3,495</u>	<u>2,086</u>	<u>2,853</u>	<u>201</u>	<u>8,635</u>
Intra-Fund Transfers Adjustment	0	486	291	0	777
Closing Balance with Intra-Fund Transfers	<u>3,495</u>	<u>2,572</u>	<u>3,144</u>	<u>201</u>	<u>9,412</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	48,090	8,537	1,325	20,130	78,082
Miscellaneous Receipts	2,591	15,598	5,341	453	23,983
Federal Receipts	0	1	5	73	79
Total Receipts	<u>50,681</u>	<u>24,136</u>	<u>6,671</u>	<u>20,656</u>	<u>102,144</u>
Disbursements:					
Local Assistance Grants	46,783	18,976	2,637	0	68,396
Departmental Operations:					
Personal Service	6,049	6,785	0	0	12,834
Non-Personal Service	2,262	3,461	0	47	5,770
General State Charges	5,710	2,184	0	0	7,894
Debt Service	0	0	0	6,208	6,208
Capital Projects	0	3	6,393	0	6,396
Total Disbursements	<u>60,804</u>	<u>31,409</u>	<u>9,030</u>	<u>6,255</u>	<u>107,498</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,044	7,967	2,126	4,188	32,325
Transfers to Other Funds	(9,945)	(848)	(1,562)	(18,514)	(30,869)
Bond and Note Proceeds	0	0	657	0	657
Net Other Financing Sources (Uses)	<u>8,099</u>	<u>7,119</u>	<u>1,221</u>	<u>(14,326)</u>	<u>2,113</u>
Use (Reservation) of Fund Balance:					
Community Projects Fund	0				
Prior-Term Labor Agreements	(30)				
Monetary Settlements	0				
Total Use (Reservation) of Fund Balance	<u>(30)</u>				
Adherence to 2% Spending Benchmark	2,333				
Net General Fund Surplus (Deficit)	<u>279</u>				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	50,595	8,702	1,326	21,160	81,783
Miscellaneous Receipts	2,353	15,814	5,020	453	23,640
Federal Receipts	0	1	5	73	79
Total Receipts	<u>52,948</u>	<u>24,517</u>	<u>6,351</u>	<u>21,686</u>	<u>105,502</u>
Disbursements:					
Local Assistance Grants	49,160	19,343	2,409	0	70,912
Departmental Operations:					
Personal Service	6,076	6,821	0	0	12,897
Non-Personal Service	2,488	3,484	0	47	6,019
General State Charges	6,032	2,254	0	0	8,286
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	3	6,389	0	6,392
Total Disbursements	<u>63,756</u>	<u>31,905</u>	<u>8,798</u>	<u>6,746</u>	<u>111,205</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,815	8,104	2,303	4,283	33,505
Transfers to Other Funds	(10,644)	(797)	(1,602)	(19,131)	(32,174)
Bond and Note Proceeds	0	0	462	0	462
Net Other Financing Sources (Uses)	<u>8,171</u>	<u>7,307</u>	<u>1,163</u>	<u>(14,848)</u>	<u>1,793</u>
Use (Reservation) of Fund Balance:					
Prior-Term Labor Agreements	(10)				
Monetary Settlements	0				
Total Use (Reservation) of Fund Balance	<u>(10)</u>				
Adherence to 2% Spending Benchmark	4,349				
Net General Fund Surplus (Deficit)	<u>1,702</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	51,975	8,886	1,331	21,829	84,021
Miscellaneous Receipts	2,212	15,929	5,183	452	23,776
Federal Receipts	0	1	5	73	79
Total Receipts	<u>54,187</u>	<u>24,816</u>	<u>6,519</u>	<u>22,354</u>	<u>107,876</u>
Disbursements:					
Local Assistance Grants	51,653	19,559	2,548	0	73,760
Departmental Operations:					
Personal Service	6,104	6,895	0	0	12,999
Non-Personal Service	2,302	3,562	0	47	5,911
General State Charges	6,349	2,362	0	0	8,711
Debt Service	0	0	0	7,004	7,004
Capital Projects	0	3	6,478	0	6,481
Total Disbursements	<u>66,408</u>	<u>32,381</u>	<u>9,026</u>	<u>7,051</u>	<u>114,866</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,978	8,361	2,528	4,031	33,898
Transfers to Other Funds	(10,962)	(803)	(1,610)	(19,261)	(32,636)
Bond and Note Proceeds	0	0	451	0	451
Net Other Financing Sources (Uses)	<u>8,016</u>	<u>7,558</u>	<u>1,369</u>	<u>(15,230)</u>	<u>1,713</u>
Use (Reservation) of Fund Balance:					
Prior-Term Labor Agreements	(10)				
Monetary Settlements	0				
Total Use (Reservation) of Fund Balance	<u>(10)</u>				
Adherence to 2% Spending Benchmark	5,821				
Net General Fund Surplus (Deficit)	<u>1,606</u>				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2015 and FY 2016
(millions of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	4,344	9,548	5,204	119.8%
Receipts:				
Taxes	71,034	74,562	3,528	5.0%
Miscellaneous Receipts	29,261	25,313	(3,948)	-13.5%
Federal Receipts	80	79	(1)	-1.3%
Total Receipts	<u>100,375</u>	<u>99,954</u>	<u>(421)</u>	<u>-0.4%</u>
Disbursements:				
Local Assistance Grants	62,364	65,743	3,379	5.4%
Departmental Operations:				
Personal Service	12,550	12,868	318	2.5%
Non-Personal Service	5,607	5,620	13	0.2%
General State Charges	7,033	7,334	301	4.3%
Debt Service	6,183	5,122	(1,061)	-17.2%
Capital Projects	4,411	6,440	2,029	46.0%
Total Disbursements	<u>98,148</u>	<u>103,127</u>	<u>4,979</u>	<u>5.1%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	29,807	36,985	7,178	24.1%
Transfers to Other Funds	(27,837)	(35,410)	(7,573)	-27.2%
Bond and Note Proceeds	162	685	523	322.8%
Net Other Financing Sources (Uses)	<u>2,132</u>	<u>2,260</u>	<u>128</u>	<u>6.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>4,359</u>	<u>(913)</u>	<u>(5,272)</u>	<u>-120.9%</u>
Intra-Fund Transfers Adjustment	845	777	(68)	-8.0%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements with Intra-Fund Transfers Adjustment	<u>5,204</u>	<u>(136)</u>	<u>(5,340)</u>	<u>-102.6%</u>

**CASHFLOW
GENERAL FUND
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	2,235	5,533	4,548	5,131	6,998	6,889	8,053	7,715	6,559	8,254	10,363	11,218	2,235
RECEIPTS:													
Personal Income Tax	4,015	1,576	2,759	1,924	1,793	2,969	1,861	1,352	3,599	2,992	2,555	2,090	29,485
Consumption/Use Taxes	506	655	655	1,527	507	674	1,506	535	661	540	436	923	6,591
Business Taxes	188	353	1,243	177	(87)	940	87	2	916	157	58	2,321	6,265
Other Taxes	85	121	70	98	92	107	106	91	2	126	50	84	1,128
Total Taxes	4,754	2,557	4,728	2,676	2,318	4,690	2,570	1,970	5,274	3,815	3,099	5,118	43,559
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	652
ABC License Fee	7	5	5	6	4	5	6	5	5	5	5	3	61
Investment Income	0	0	0	0	0	0	0	0	0	0	0	0	0
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	75	26	65	19	588
Motor Vehicle Fees	37	21	6	20	6	6	24	(1)	21	9	13	29	191
Reimbursements	7	12	45	0	22	48	3	16	27	12	22	52	266
Other Transactions	88	1,722	30	2,271	104	536	340	364	249	46	21	877	6,648
Total Miscellaneous Receipts	175	1,817	156	2,320	190	673	511	562	397	147	171	1,291	8,410
Federal Receipts	1	0	0	0	0	0	0	0	0	1	0	0	2
PIT in Excess of Revenue Bond Debt Service	1,338	355	1,063	395	266	1,223	422	191	1,242	875	476	813	8,659
Tax in Excess of LGAC	212	87	441	234	179	310	233	235	307	243	(2)	153	2,632
Sales Tax Bond Fund	208	220	293	226	225	306	219	222	292	253	190	286	2,940
Real Estate Taxes in Excess of CW/CA Debt Service	57	78	78	60	73	69	75	74	74	78	73	57	844
All Other	90	66	57	9	17	93	11	24	(5)	29	84	390	865
Total Transfers from Other Funds	1,905	801	1,932	924	765	2,001	960	744	1,910	1,478	821	1,699	15,940
TOTAL RECEIPTS	6,835	5,175	6,816	5,920	3,273	7,364	4,041	3,276	7,581	5,441	4,091	8,108	67,921
DISBURSEMENTS:													
School Aid	282	2,679	1,834	82	597	1,711	771	1,389	1,607	378	465	6,620	18,415
Higher Education	13	14	464	599	139	53	458	28	222	30	327	713	3,060
All Other Education	20	326	15	212	135	478	64	30	164	35	101	490	2,070
Medicaid - DOH	1,100	1,057	897	1,142	770	832	1,013	1,213	699	919	1,010	1,025	11,677
Public Health	1	75	117	64	78	42	41	79	79	47	93	73	742
Mental Hygiene	5	2	295	2	2	257	2	(2)	491	12	209	132	1,406
Children and Families	27	96	75	157	60	167	167	70	248	108	125	314	1,598
Temporary & Disability Assistance	98	105	158	104	96	96	98	98	93	95	90	108	1,236
Transportation	210	0	0	0	25	0	0	23	13	2	10	1	98
Unrestricted Aid	0	0	390	2	22	102	8	5	182	1	0	64	765
All Other	22	(13)	197	34	22	(7)	22	29	50	130	69	(30)	525
Total Local Assistance Grants	1,568	4,376	4,442	2,397	1,921	3,715	2,644	2,915	3,848	1,757	2,499	9,510	41,592
Personal Service	447	529	447	602	451	448	526	453	591	445	450	417	5,806
Non-Personal Service	83	147	146	133	169	148	169	121	133	133	151	343	1,858
Total Departmental Operations	530	676	593	735	602	596	695	574	724	578	601	760	7,664
General State Charges	504	649	357	703	146	1,126	408	140	306	251	(96)	505	4,999
Debt Service	401	(152)	(2)	231	(11)	(99)	202	(60)	(32)	393	(22)	448	12,97
Capital Projects	9	31	104	(288)	169	181	172	174	547	178	178	(136)	1,264
State Share Medicaid	169	42	67	68	261	147	160	40	112	187	43	123	1,419
SUNY Operations	210	210	210	188	0	0	163	0	0	0	0	(1)	980
Other Purposes	146	328	462	19	294	534	98	486	381	43	33	817	3,641
Total Transfers to Other Funds	935	459	841	218	713	763	632	803	1,008	746	232	1,251	8,601
TOTAL DISBURSEMENTS	3,537	6,160	6,233	4,053	3,382	6,200	4,379	4,432	5,886	3,332	3,236	12,026	62,856
Excess/(Deficiency) of Receipts over Disbursements	3,298	(985)	583	1,867	(109)	1,164	(338)	(1156)	1,695	2,109	855	(3,918)	5,065
CLOSING BALANCE	5,533	4,548	5,131	6,998	6,889	8,053	7,715	6,559	8,254	10,363	11,218	7,300	7,300

**CASHFLOW
STATE OPERATING FUNDS
FY 2015
(dollars in millions)**

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	4,789	9,037	8,094	8,740	10,816	11,122	10,528	10,509	9,564	10,263	14,100	15,240	4,789
RECEIPTS:													
Personal Income Tax	5,353	2,101	4,244	2,565	2,391	4,230	2,488	1,836	4,964	7,274	3,410	2,853	43,709
Consumption/Use Taxes	1,156	1,107	1,439	1,183	1,145	1,488	1,161	1,144	1,466	1,393	964	1,329	14,785
Business Taxes	218	464	1,485	1,793	(6)	1,482	124	58	1,127	239	97	2,723	7,850
Other Taxes	288	289	229	266	261	283	289	255	288	352	278	259	3,317
Total Taxes	7,015	3,971	7,397	4,793	3,791	7,123	4,062	3,293	7,845	9,058	4,749	7,164	69,661
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	652
ABC License Fee	7	5	5	6	4	5	6	5	5	5	385	3	61
HCRA	333	376	359	416	352	371	386	357	399	398	385	367	4,499
Investment Income	0	0	0	1	0	0	0	0	0	0	0	0	4
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	75	26	65	19	588
Lottery	312	254	243	305	245	244	305	262	244	277	263	252	3,215
Medicaid	72	21	20	107	107	110	65	69	71	71	64	58	792
Motor Vehicle Fees	68	53	52	54	36	37	56	27	57	37	37	61	592
Reimbursements	7	12	45	0	22	48	3	16	27	12	22	52	266
State University Income	265	255	259	283	394	637	414	233	637	493	528	393	4,403
Other Transactions	268	2,048	388	2,507	350	856	644	597	644	312	597	1,325	10,229
Total Miscellaneous Receipts	1,368	3,081	1,441	3,668	1,564	2,402	2,017	1,744	1,807	1,657	1,711	2,841	25,301
Federal Receipts	1	0	0	2	35	0	0	0	0	1	35	1	75
TOTAL RECEIPTS	8,384	7,052	8,838	7,863	5,390	9,525	6,079	5,037	9,652	10,716	6,495	10,006	95,037
DISBURSEMENTS:													
School Aid	282	2,679	2,145	82	597	3,760	914	1,532	1,750	521	607	6,762	21,631
Higher Education	13	14	464	599	139	53	458	28	222	491	327	745	3,092
All Other Education	20	326	17	212	135	64	167	30	167	35	101	491	2,081
STAR	0	0	424	0	203	0	5	25	124	2,460	3	53	3,297
Medicaid - DOH	1,373	1,583	1,178	1,655	1,184	2,220	1,594	1,548	1,247	1,394	1,316	1,499	16,791
Public Health	29	153	153	232	201	82	140	107	132	84	134	272	1,719
Mental Hygiene	53	55	486	103	44	425	156	31	248	64	295	429	2,922
Children and Families	27	97	75	157	60	151	168	70	248	108	126	314	1,601
Temporary & Disability Assistance	98	105	158	104	93	96	98	90	93	108	108	108	1,236
Transportation	155	566	338	352	479	403	334	591	853	213	286	264	4,834
Unrestricted Aid	0	11	390	2	0	102	8	5	182	1	0	64	765
All Other	39	8	220	270	83	25	43	54	77	154	71	39	1,083
Total Local Assistance Grants	2,089	5,597	6,048	3,768	3,015	7,003	3,982	4,119	5,876	5,159	3,356	11,040	61,052
Personal Service	1,004	1,136	953	1,272	958	968	1,128	968	1,303	952	967	941	12,550
Non-Personal Service	355	395	478	394	439	466	519	441	437	441	459	833	5,607
Total Departmental Operations	1,359	1,531	1,431	1,666	1,397	1,434	1,647	1,359	1,740	1,393	1,426	1,774	18,157
General State Charges	680	837	469	735	547	1,164	478	485	413	396	259	570	7,033
Debt Service	173	217	291	78	397	752	145	93	622	77	552	2,786	6,183
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL DISBURSEMENTS	4,301	8,182	8,239	6,247	5,356	10,353	6,252	6,056	8,651	7,025	5,593	16,171	92,426
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,152	1,479	2,865	1,698	1,888	3,086	1,839	1,625	2,755	2,465	1,525	4,011	28,388
Transfers to other funds	(2,997)	(1,347)	(2,843)	(1,268)	(1,628)	(2,969)	(1,694)	(1,643)	(3,085)	(2,334)	(1,302)	(3,250)	(26,360)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	155	132	22	430	260	117	145	(18)	(330)	131	223	761	2,028
Excess/(Deficiency) of Receipts over Disbursements	4,238	(998)	621	2,046	294	(711)	(28)	(1,037)	671	3,822	1,125	(5,404)	4,639
CLOSING BALANCE	9,027	8,039	8,715	10,786	11,110	10,411	10,500	9,472	10,235	14,085	15,225	9,836	9,428
Intra-Fund Transfers Adjustment	10	55	25	30	12	117	9	92	28	15	15	54	462
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	9,037	8,094	8,740	10,816	11,122	10,528	10,509	9,564	10,263	14,100	15,240	9,890	9,890

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	4,035	7,576	7,114	7,739	9,849	9,668	9,215	9,126	7,544	8,699	12,481	13,572	4,035
RECEIPTS:													
Personal Income Tax	5,353	2,101	4,244	2,565	2,391	4,230	2,488	1,836	4,964	7,274	3,410	2,853	43,709
Consumption/Use Taxes	1,204	1,164	1,496	1,229	1,195	1,557	1,207	1,183	1,529	1,238	1,007	1,376	15,385
Business Taxes	273	515	1,548	232	57	1,202	177	105	1,179	295	148	2,773	8,504
Other Taxes	288	289	241	278	273	275	301	267	300	364	290	270	3,436
Total Taxes	7,118	4,069	7,529	4,304	3,916	7,264	4,173	3,391	7,972	9,171	4,855	7,272	71,034
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	652
ABC License Fee	7	5	5	6	4	5	5	5	5	5	5	3	61
HCRA	333	376	359	416	352	371	386	357	399	398	385	367	4,499
Investment Income	0	0	0	0	0	0	0	0	0	0	0	0	4
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	75	26	65	19	588
Lottery	312	254	243	305	245	244	305	262	263	253	263	252	3,215
Medicaid	72	21	20	74	107	110	65	69	61	71	64	58	792
Motor Vehicle Fees	68	53	52	54	36	58	56	27	57	38	37	61	592
Reimbursements	7	12	45	0	22	48	3	16	27	12	22	52	266
State University Income	265	255	259	283	394	637	414	233	249	493	528	393	4,403
Other Transactions	483	2,225	625	3,158	447	1,089	755	1,029	806	399	399	2,951	14,366
Total Miscellaneous Receipts	1,583	3,258	1,678	4,319	1,661	2,635	2,128	2,176	1,976	1,744	1,813	4,467	29,438
Federal Receipts	2,979	3,967	4,032	3,448	4,221	4,089	3,785	3,485	4,869	3,789	4,232	5,740	48,636
TOTAL RECEIPTS	11,680	11,294	13,239	12,071	9,798	13,988	10,086	9,052	14,817	14,704	10,900	17,479	149,108
DISBURSEMENTS:													
School Aid	573	2,963	2,383	215	709	3,816	1,025	1,647	1,886	704	857	6,906	23,684
Higher Education	13	14	464	599	139	53	459	28	222	30	327	745	3,093
All Other Education	175	493	124	318	187	535	141	111	256	106	182	613	3,241
STAR	0	0	424	0	0	203	5	25	124	2,460	3	53	3,297
Medicaid - DOH	3,624	3,708	3,760	3,851	3,755	3,385	4,127	4,029	4,066	3,941	3,769	4,622	46,637
Public Health	154	272	271	342	424	218	252	241	241	178	223	551	3,349
Mental Hygiene	81	65	500	129	59	438	178	54	799	81	315	441	3,140
Children and Families	186	103	109	244	91	448	197	102	316	121	230	531	2,678
Temporary & Disability Assistance	284	352	576	361	365	563	288	299	441	311	256	637	4,733
Transportation	211	601	447	387	511	553	392	623	1,158	285	315	432	5,915
Unrestricted Aid	0	11	390	2	0	102	8	5	182	1	0	64	765
All Other	119	133	293	386	691	(16)	136	978	454	288	373	358	4,193
Total Local Assistance Grants	5,420	8,715	9,741	6,834	6,931	10,298	7,208	8,124	10,145	8,506	6,850	15,953	104,725
Personal Service	1,053	1,180	1,003	1,338	1,009	1,017	1,176	1,010	1,372	997	1,009	999	13,163
Non-Personal Service	444	459	532	490	544	656	647	468	557	539	1,017	1,012	6,977
Total Departmental Operations	1,497	1,639	1,535	1,828	1,553	1,673	1,823	1,478	1,929	1,536	1,633	2,016	20,140
General State Charges	691	844	519	737	589	1,176	511	491	459	423	317	580	7,337
Debt Service	173	217	291	78	397	752	145	93	622	77	552	2,786	6,183
Capital Projects	296	339	523	473	492	538	477	473	536	381	453	525	5,506
TOTAL DISBURSEMENTS	8,077	11,754	12,609	9,950	9,962	14,437	10,164	10,659	13,691	10,923	9,805	21,860	143,891
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,187	1,552	2,991	1,411	2,037	3,285	2,016	1,792	3,316	2,601	1,705	3,914	29,807
Transfers to other funds	(3,249)	(1,554)	(2,996)	(1,422)	(2,054)	(3,289)	(2,027)	(1,767)	(3,287)	(2,600)	(1,709)	(3,912)	(29,866)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	162	162
NET OTHER FINANCING SOURCES/(USES)	(62)	(2)	(5)	(11)	(7)	(4)	(11)	25	29	1	(4)	164	103
Excess/(Deficiency) of Receipts over Disbursements	3,541	(462)	625	2,110	(181)	(453)	(89)	(1,582)	1,155	3,782	1,091	(4,217)	5,320
CLOSING BALANCE	7,576	7,114	7,739	9,849	9,668	9,215	9,126	7,544	8,699	12,481	13,572	9,355	9,355

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	2,364	2,173	2,708	3,186	2,929	2,689	2,149	1,962	1,166	1,159	1,496	1,537	2,364
RECEIPTS:													
Personal Income Tax	0	0	424	0	0	203	5	25	124	2,463	3	50	3,297
Consumption/Use Taxes	218	154	181	187	159	194	179	147	193	168	127	134	2,041
Business Taxes	70	111	242	211	202	81	37	82	56	82	39	402	1,585
Other Taxes	129	96	80	98	85	82	102	86	110	144	148	110	1,270
Total Taxes	417	361	927	337	325	681	323	314	638	2,857	317	696	8,193
HGRA	333	376	359	416	352	371	386	357	399	398	385	367	4,499
State University Income	265	255	259	283	394	637	414	233	249	493	528	393	4,403
Lottery	312	254	243	305	245	244	305	262	277	253	263	252	3,215
Medicaid	72	21	20	74	107	71	65	69	61	64	64	58	792
Motor Vehicle Fees	31	32	46	34	30	47	32	28	36	29	24	32	401
Other Transactions	146	328	333	205	177	300	282	232	355	240	225	424	3,247
Total Miscellaneous Receipts	1,159	1,266	1,260	1,317	1,305	1,709	1,484	1,181	1,377	1,484	1,489	1,526	16,557
Federal Receipts	2,866	3,839	3,922	3,223	4,034	3,882	3,613	3,315	4,671	3,666	3,911	5,589	46,531
TOTAL RECEIPTS	4,442	5,466	6,109	4,877	5,664	6,272	5,420	4,810	6,686	8,007	5,717	7,811	71,281
DISBURSEMENTS:													
School Aid	291	284	549	133	112	2,105	254	258	279	326	392	286	5,268
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	32
All Other Education	155	167	109	93	52	57	77	81	92	71	81	122	1,157
STAR	0	0	424	0	0	203	5	25	124	2,460	3	53	3,297
Medicaid - DOH	2,524	2,651	2,863	2,709	2,985	2,553	3,114	2,816	3,367	3,022	2,759	3,597	34,960
Public Health	153	197	154	278	346	150	211	191	162	131	130	436	2,539
Mental Hygiene	71	61	198	121	51	178	169	47	304	63	101	297	1,661
Children and Families	159	7	34	87	31	297	30	32	68	13	105	217	1,080
Temporary & Disability Assistance	186	241	418	249	272	467	182	201	340	216	167	525	3,464
Transportation	156	545	343	355	457	406	339	568	845	214	281	273	4,782
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	90	140	64	299	657	(27)	70	918	207	135	71	226	2,850
Total Local Assistance Grants	3,785	4,293	5,156	4,324	4,963	6,389	4,451	5,137	5,788	6,651	4,090	6,064	61,090
Personal Service	606	651	556	736	558	569	650	557	781	552	559	582	7,357
Non-Personal Service	360	311	384	348	390	504	477	346	423	405	471	661	5,080
Total Departmental Operations	966	962	940	1,084	948	1,073	1,127	903	1,204	957	1,030	1,243	12,437
General State Charges	187	195	162	34	443	50	103	351	153	172	413	74	2,338
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL DISBURSEMENTS	4,938	5,450	6,258	5,442	6,354	7,512	5,681	6,391	7,145	7,780	5,533	7,382	75,866
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	594	688	782	393	713	899	346	818	644	332	319	1,239	7,767
Transfers to Other Funds	(289)	(169)	(155)	(85)	(263)	(199)	(272)	(33)	(192)	(222)	(462)	(544)	(2,885)
NET OTHER FINANCING SOURCES/(USES)	305	519	627	308	450	700	74	785	452	110	(143)	695	4,882
Excess/(Deficiency) of Receipts over Disbursements	(191)	535	478	(257)	(240)	(540)	(187)	(796)	(7)	337	41	1,124	297
CLOSING BALANCE	2,173	2,708	3,186	2,929	2,689	2,149	1,962	1,166	1,159	1,496	1,537	2,661	2,661

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	2,489	3,017	3,038	3,386	3,110	3,164	2,338	2,110	2,178	1,636	1,915	1,921	2,489
RECEIPTS:													
Personal Income Tax	0	0	424	0	0	203	5	25	124	2,463	3	50	3,297
Consumption/Use Taxes	218	154	181	187	159	194	179	147	193	168	127	134	2,041
Business Taxes	70	111	242	52	81	202	37	56	211	82	39	402	1,585
Other Taxes	129	96	80	98	85	82	102	86	110	144	148	110	1,270
Total Taxes	417	361	927	337	325	681	323	314	638	2,857	317	696	8,193
HCPA	333	376	359	416	352	371	386	357	399	398	385	367	4,499
State University Income	265	255	259	283	394	637	414	233	249	493	528	393	4,403
Lottery	312	254	243	305	245	244	305	262	277	253	263	252	3,215
Medicaid	72	21	20	74	107	110	65	69	61	71	64	58	792
Motor Vehicle Fees	31	32	46	34	30	47	24	32	36	29	32	32	401
Other Transactions	134	288	323	190	159	287	273	216	344	231	147	413	3,071
Total Miscellaneous Receipts	1,147	1,226	1,250	1,302	1,287	1,696	1,475	1,165	1,366	1,475	1,477	1,515	16,381
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL RECEIPTS	1,564	1,587	2,177	1,639	1,612	2,377	1,798	1,479	2,004	4,332	1,794	2,211	24,574
DISBURSEMENTS:													
School Aid	0	0	311	0	0	2,049	143	143	143	143	142	142	3,216
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	32
All Other Education	0	0	2	0	0	5	0	1	3	0	0	0	11
STAR	0	0	424	0	0	203	5	25	124	2,460	3	53	3,297
Medicaid - DOH	273	526	281	513	414	388	581	335	548	475	306	474	5,114
Public Health	28	78	36	168	123	40	99	75	53	37	41	199	977
Mental Hygiene	48	53	191	102	42	168	154	33	290	52	86	297	1,516
Children and Families	0	1	0	0	0	0	1	0	0	0	1	0	3
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	155	542	338	352	454	403	334	568	840	211	276	263	4,736
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	17	21	23	236	61	32	21	25	27	24	2	69	558
Total Local Assistance Grants	521	1,221	1,606	1,371	1,094	3,288	1,338	1,204	2,028	3,402	857	1,530	19,460
Personal Service	557	607	506	670	507	520	602	515	712	507	517	524	6,744
Non-Personal Service	271	247	330	252	285	314	349	269	303	307	306	477	3,710
Total Departmental Operations	828	854	836	922	792	834	951	784	1,015	814	823	1,001	10,454
General State Charges	176	188	112	32	401	38	70	345	107	145	355	65	2,034
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL DISBURSEMENTS	1,525	2,263	2,554	2,325	2,287	4,160	2,359	2,333	3,150	4,361	2,035	2,597	31,949
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	594	688	782	393	713	899	346	818	644	332	319	1,239	7,767
Transfers to Other Funds	(115)	(46)	(82)	(13)	4	(59)	(22)	12	(68)	(39)	(87)	(356)	(871)
NET OTHER FINANCING SOURCES/(USES)	479	642	700	380	717	840	324	830	576	293	232	883	6,896
Excess/(Deficiency) of Receipts over Disbursements	518	(34)	323	(306)	42	(943)	(237)	(24)	(570)	264	(9)	497	(479)
CLOSING BALANCE	3,007	2,983	3,361	3,080	3,152	2,221	2,101	2,086	1,608	1,900	1,906	2,418	2,010
Intra-Fund Transfers Adjustment	10	55	25	30	12	117	9	92	28	15	15	54	462
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	3,017	3,038	3,386	3,110	3,164	2,338	2,110	2,178	1,636	1,915	1,921	2,472	2,472

**CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	(125)	(844)	(330)	(200)	(182)	(476)	(190)	(149)	(1,013)	(478)	(420)	(385)	(125)
RECEIPTS:													
Miscellaneous Receipts	12	40	10	15	18	13	9	16	11	9	12	11	176
Federal Receipts	2,866	3,839	3,922	3,223	4,034	3,882	3,613	3,315	4,671	3,666	3,911	5,589	46,531
TOTAL RECEIPTS	2,878	3,879	3,932	3,238	4,052	3,895	3,622	3,331	4,682	3,675	3,923	5,600	46,707
DISBURSEMENTS:													
School Aid	291	284	238	133	112	56	111	115	136	183	250	144	2,053
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	155	167	107	93	52	52	77	81	89	71	81	121	1,146
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,251	2,125	2,582	2,196	2,571	2,165	2,533	2,481	2,819	2,547	2,453	3,123	29,846
Public Health	125	119	118	110	223	110	112	116	109	94	89	237	1,562
Mental Hygiene	23	8	7	19	9	10	15	14	14	11	15	0	145
Children and Families	159	6	34	87	31	297	29	32	68	13	104	217	1,077
Temporary & Disability Assistance	186	241	418	249	272	467	182	201	340	216	167	525	3,464
Transportation	1	3	5	3	3	3	5	0	5	3	5	9	45
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	73	119	41	63	596	(59)	49	893	180	0	69	0	2,292
Total Local Assistance Grants	3,264	3,072	3,550	2,953	3,869	3,101	3,113	3,933	3,760	3,249	3,233	4,533	41,630
Personal Service	49	44	50	66	51	49	48	42	69	45	42	58	613
Non-Personal Service	89	64	54	96	105	190	128	77	120	98	165	184	1,370
Total Departmental Operations	138	108	104	162	156	239	176	119	189	143	207	242	1,983
General State Charges	11	7	50	3	42	12	33	6	46	27	58	9	304
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,413	3,187	3,704	3,118	4,067	3,352	3,322	4,058	3,995	3,419	3,498	4,784	43,917
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(174)	(123)	(73)	(72)	(267)	(140)	(250)	(45)	(124)	(183)	(375)	(188)	(2,014)
NET OTHER FINANCING SOURCES/(USES)	(174)	(123)	(73)	(72)	(267)	(140)	(250)	(45)	(124)	(183)	(375)	(188)	(2,014)
Excess/(Deficiency) of Receipts over Disbursements	(709)	569	155	48	(282)	403	50	(772)	563	73	50	628	776
CLOSING BALANCE	(834)	(275)	(175)	(152)	(464)	(73)	(140)	(921)	(450)	(405)	(370)	243	651
Intra-Fund Transfers Adjustment	(10)	(55)	(25)	(30)	(12)	(117)	(9)	(92)	(28)	(15)	(15)	(54)	(462)
Closing Balance with Intra-Fund Transfers	(844)	(330)	(200)	(182)	(476)	(190)	(149)	(1,013)	(478)	(420)	(385)	189	189

**CASHFLOW
DEBT SERVICE FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	65	487	508	223	708	1,069	137	684	827	373	1,822	2,101	65
RECEIPTS:													
Personal Income Tax	1,338	525	1,061	641	598	1,058	622	459	1,241	1,819	852	713	10,927
Consumption/Use Taxes	432	456	602	469	466	620	466	472	612	485	401	572	6,053
Other Taxes	74	72	79	70	84	74	81	78	80	82	80	65	919
Total Taxes	1,844	1,053	1,742	1,180	1,148	1,752	1,169	1,009	1,933	2,386	1,333	1,350	17,899
Miscellaneous Receipts	46	38	35	46	87	33	31	17	44	35	63	35	510
Federal Receipts	0	0	0	2	35	0	0	0	0	0	35	1	73
TOTAL RECEIPTS	1,890	1,091	1,777	1,228	1,270	1,785	1,200	1,026	1,977	2,421	1,431	1,386	18,482
DISBURSEMENTS:													
Departmental Operations	1	1	2	9	3	4	1	1	1	1	2	13	39
Debt Service	173	217	291	78	397	752	145	93	622	77	552	2,786	6,183
TOTAL DISBURSEMENTS	174	218	293	87	400	756	146	94	623	78	554	2,799	6,222
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	653	(10)	151	381	410	186	533	63	201	655	385	1,073	4,681
Transfers to Other Funds	(1,947)	(842)	(1,920)	(1,037)	(919)	(2,147)	(1,040)	(852)	(2,009)	(1,549)	(983)	(1,643)	(16,888)
NET OTHER FINANCING SOURCES/(USES)	(1,294)	(852)	(1,769)	(656)	(509)	(1,961)	(507)	(789)	(1,808)	(894)	(598)	(570)	(12,207)
Excess/(Deficiency) of Receipts over Disbursements	422	21	(285)	485	361	(932)	547	143	(454)	1,449	279	(1,983)	53
CLOSING BALANCE	487	508	223	708	1,069	137	684	827	373	1,822	2,101	118	118

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	(629)	(617)	(650)	(801)	(786)	(979)	(1,124)	(1,235)	(1,008)	(1,087)	(1,200)	(1,284)	(629)
RECEIPTS:													
Consumption/Use Taxes	48	47	57	46	50	69	46	39	63	45	43	47	600
Business Taxes	55	51	63	53	63	60	53	47	52	56	51	50	654
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	103	98	132	111	125	141	111	98	127	113	106	108	1,373
Miscellaneous Receipts	203	137	227	636	79	220	102	416	158	78	90	1,615	3,961
Federal Receipts	112	128	110	223	152	207	172	170	198	122	286	150	2,030
TOTAL RECEIPTS	418	363	469	970	356	568	385	684	483	313	482	1,873	7,364
DISBURSEMENTS:													
All Other Education	0	0	0	13	0	0	0	0	0	0	0	1	14
Public Health	0	0	0	0	0	26	0	0	0	0	0	42	68
Mental Hygiene	5	2	7	7	6	3	7	9	4	6	5	12	73
Temporary & Disability Assistance	0	6	0	8	0	0	0	0	8	0	0	4	33
Transportation	55	32	104	32	29	147	53	32	300	69	24	158	1,035
All Other Local	7	6	32	53	12	18	44	31	197	23	233	163	819
Total Local Assistance Grants	67	46	143	113	47	194	113	72	509	98	261	380	2,043
Economic Development	27	12	15	25	(5)	6	2	6	3	3	1	1	96
Parks & the Environment	15	25	27	26	33	28	32	28	28	30	26	35	333
Transportation	195	218	371	310	281	356	292	282	356	241	280	207	3,389
Health & Social Welfare	1	1	2	3	1	4	4	13	5	2	9	25	70
Mental Hygiene	6	16	12	20	9	14	18	9	8	14	10	15	151
Public Protection	16	18	22	20	22	23	23	21	24	9	24	76	299
Education	21	40	54	77	117	83	89	92	91	66	85	136	951
All Other	15	9	20	(8)	34	23	17	22	21	16	18	29	216
Total Capital Projects	296	339	523	473	492	538	477	473	536	381	453	524	5,505
TOTAL DISBURSEMENTS	363	385	666	586	539	732	590	545	1,045	479	714	904	7,548
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	35	73	126	(287)	149	199	177	167	561	136	180	(97)	1,419
Transfers to Other Funds	(78)	(84)	(80)	(82)	(159)	(180)	(83)	(79)	(78)	(83)	(32)	(474)	(1,492)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	162
NET OTHER FINANCING SOURCES (USES)	(43)	(11)	46	(369)	(10)	19	94	88	483	53	148	(409)	89
Excess/(Deficiency) of Receipts over Disbursements	12	(33)	(151)	15	(193)	(145)	(111)	227	(79)	(113)	(84)	560	(95)
CLOSING BALANCE	(617)	(650)	(801)	(786)	(979)	(1,124)	(1,235)	(1,008)	(1,087)	(1,200)	(1,284)	(1,284)	(724)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2015
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	(445)	(428)	(375)	(449)	(514)	(709)	(884)	(996)	(791)	(921)	(1,015)	(913)	(445)
RECEIPTS:													
Consumption/Use Taxes	48	47	57	46	50	69	46	39	63	45	43	48	601
Business Taxes	55	51	63	53	63	60	53	47	52	56	51	49	653
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	103	98	132	111	125	141	111	98	127	113	106	108	1,373
Miscellaneous Receipts	203	137	227	636	79	220	102	416	158	78	90	1,614	3,960
Federal Receipts	0	0	0	0	0	2	0	0	0	0	1	2	5
TOTAL RECEIPTS	306	235	359	747	204	363	213	514	285	191	197	1,724	5,338
DISBURSEMENTS:													
All Other Education	0	0	0	13	0	0	0	0	0	0	0	1	14
Public Health	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	5	2	7	6	6	3	7	9	4	6	5	12	73
Temporary & Disability Assistance	0	6	0	8	0	0	8	0	8	0	0	4	33
Transportation	4	1	38	3	4	103	7	6	237	12	6	105	526
All Other Local	7	6	32	53	12	18	44	31	197	23	83	159	665
Total Local Assistance Grants	16	15	77	84	22	124	67	46	446	41	93	281	1,312
Economic Development	27	12	15	25	(5)	6	2	6	3	3	1	1	96
Parks & the Environment	15	25	27	26	33	27	26	27	28	29	26	16	305
Transportation	134	140	259	200	163	257	176	164	276	162	242	183	2,356
Health & Social Welfare	1	1	2	3	1	4	4	13	5	2	9	25	70
Mental Hygiene	6	16	12	20	9	14	18	9	8	14	10	15	151
Public Protection	15	17	20	20	21	22	22	20	22	8	23	74	284
Education	21	40	54	77	117	83	89	92	91	66	85	136	951
All Other	11	9	18	(12)	33	20	17	20	19	15	18	29	197
Total Capital Projects	230	260	407	359	372	433	354	351	452	299	414	479	4,410
TOTAL DISBURSEMENTS	246	275	484	443	394	557	421	397	898	340	507	760	5,722
OTHER FINANCING SOURCES (Uses):													
Transfers from Other Funds	35	73	126	(287)	149	199	177	167	561	136	180	(97)	1,419
Transfers to Other Funds	(78)	(79)	(80)	(82)	(154)	(180)	(81)	(79)	(78)	(81)	(32)	(473)	(1,477)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	162	162
NET OTHER FINANCING SOURCES/(USES)	(43)	(6)	46	(369)	(5)	19	96	88	483	55	148	(408)	104
Excess/(Deficiency) of Receipts over Disbursements	17	(46)	(79)	(65)	(195)	(175)	(112)	205	(130)	(94)	(162)	556	(280)
CLOSING BALANCE	(428)	(474)	(454)	(514)	(709)	(884)	(996)	(791)	(921)	(1,015)	(1,177)	(357)	(725)
Intra-Fund Transfers Adjustment	0	99	5	0	0	0	0	0	0	0	264	15	383
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	(428)	(375)	(449)	(514)	(709)	(884)	(996)	(791)	(921)	(1,015)	(913)	(342)	(342)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2015
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	(184)	(189)	(275)	(352)	(272)	(270)	(240)	(239)	(217)	(166)	(185)	(371)	(184)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
Federal Receipts	112	128	110	223	152	205	172	170	198	122	285	148	2,025
TOTAL RECEIPTS	112	128	110	223	152	205	172	170	198	122	285	149	2,026
DISBURSEMENTS:													
Public Health	0	0	0	0	0	26	0	0	0	0	0	42	68
Transportation	51	31	66	29	25	44	46	26	63	57	18	53	509
All Other Local	0	0	0	0	0	0	0	0	0	0	150	4	154
Total Local Assistance Grants	51	31	66	29	25	70	46	26	63	57	168	99	731
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	0	0	0	0	1	6	1	0	0	0	19	28
Transportation	61	78	112	110	118	99	116	118	80	79	38	23	1,032
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	1	2	0	1	2	1	1	2	1	1	2	15
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	4	0	2	4	1	3	0	2	2	1	0	1	20
Total Capital Projects	66	79	116	114	120	105	123	122	84	82	39	45	1,095
TOTAL DISBURSEMENTS	117	110	182	143	145	175	169	148	147	139	207	144	1,826
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	0	(5)	0	0	(5)	0	(2)	0	0	(2)	0	(1)	(15)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	(5)	0	0	(5)	0	(2)	0	0	(2)	0	(1)	(15)
Excess/(Deficiency) of Receipts over Disbursements	(5)	13	(72)	80	2	30	1	22	51	(19)	78	4	185
CLOSING BALANCE	(189)	(176)	(347)	(272)	(270)	(240)	(239)	(217)	(166)	(185)	(107)	(367)	1
Intra-Fund Transfers Adjustment	0	(99)	(5)	0	0	0	0	0	0	0	(264)	(15)	(383)
Closing Balance with Intra-Fund Transfers	(189)	(275)	(352)	(272)	(270)	(240)	(239)	(217)	(166)	(185)	(371)	(382)	(382)

**CASHFLOW
STATE FUNDS
FY 2015**
(dollars in millions)

	2014 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2015 January Results	February Results	March Results	Total
OPENING BALANCE	4,344	8,609	7,719	8,291	10,302	10,413	9,644	9,513	8,773	9,342	13,085	14,327	4,344
RECEIPTS:													
Personal Income Tax	5,353	2,101	4,244	2,565	2,391	4,230	2,488	1,836	4,964	7,274	3,410	2,853	43,709
Consumption/Use Taxes	1,204	1,194	1,496	1,229	1,195	1,557	1,207	1,183	1,529	1,238	1,007	1,377	15,386
Business Taxes	273	515	1,548	273	57	1,202	177	105	1,179	295	748	2,772	8,503
Other Taxes	288	289	241	278	273	275	301	267	300	364	290	270	3,436
Total Taxes	7,118	4,069	7,529	4,304	3,916	7,264	4,173	3,391	7,972	9,171	4,855	7,272	71,034
Abandoned Property	1	0	0	0	0	0	94	135	20	49	45	308	652
ABC License Fee	7	5	5	6	4	5	6	5	5	5	5	3	61
HCRA	333	376	359	416	352	371	386	357	399	398	385	367	4,499
Investment Income	0	0	0	1	0	0	0	0	0	0	0	0	4
Licenses, Fees, etc.	35	57	70	22	54	78	44	43	75	26	65	3	588
Lottery	312	254	243	305	245	244	305	262	277	253	263	252	3,215
Medicaid	72	21	20	74	107	110	61	69	61	71	64	58	792
Motor Vehicle Fees	68	53	52	54	36	53	56	27	57	38	37	61	592
Reimbursements	7	12	45	0	22	48	3	16	27	12	22	52	266
State University Income	265	255	259	283	394	414	414	233	249	493	528	393	4,403
Other Transactions	471	2,185	615	3,143	429	1,076	746	1,013	795	390	387	2,939	14,189
Total Miscellaneous Receipts	1,571	3,218	1,668	4,304	1,643	2,622	2,119	2,160	1,965	1,735	1,801	4,455	29,261
Federal Receipts	1	0	0	2	35	2	0	0	0	1	36	3	80
TOTAL RECEIPTS	8,690	7,287	9,197	8,610	5,594	9,888	6,292	5,551	9,937	10,907	6,692	11,730	100,375
DISBURSEMENTS:													
School Aid	282	2,679	2,145	82	597	3,760	914	1,532	1,750	521	607	6,762	21,631
Higher Education	13	14	464	599	139	53	459	28	222	30	327	745	3,093
All Other Education	20	326	17	225	135	483	64	30	167	35	101	492	2,095
STAR	0	0	424	0	203	203	5	25	124	2,460	3	53	3,297
Medicaid - DOH	1,373	1,583	1,178	1,655	1,184	1,220	1,594	1,548	1,247	1,394	1,316	1,499	16,791
Public Health	29	153	153	232	201	82	140	107	132	84	134	272	1,719
Mental Hygiene	58	57	493	110	50	428	163	40	785	70	300	441	2,995
Children and Families	27	97	75	157	60	151	168	70	248	108	126	314	1,601
Temporary & Disability Assistance	98	111	158	112	93	96	106	98	101	95	89	112	1,269
Transportation	159	567	376	355	483	506	341	597	1,090	225	292	369	5,360
Unrestricted Aid	0	11	390	2	0	102	8	5	182	1	0	64	765
All Other	46	14	252	323	95	43	87	85	274	177	154	198	1,748
Total Local Assistance Grants	2,105	5,612	6,125	3,852	3,037	7,127	4,049	4,165	6,322	5,200	3,449	11,321	62,364
Personal Service	1,004	1,136	953	1,272	958	968	1,128	968	1,303	952	967	941	12,550
Non-Personal Service	355	395	478	394	439	466	519	391	437	441	459	833	5,607
Total Departmental Operations	1,359	1,531	1,431	1,666	1,397	1,434	1,647	1,359	1,740	1,393	1,426	1,774	18,157
General State Charges	680	837	469	735	547	1,164	478	485	413	396	259	570	7,033
Debt Service	173	217	291	78	397	752	145	93	622	77	552	2,786	6,183
Capital Projects	230	260	407	359	372	433	354	351	452	299	414	480	4,411
TOTAL DISBURSEMENTS	4,547	8,457	8,723	6,690	5,750	10,910	6,673	6,453	9,549	7,365	6,100	16,931	98,148
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,187	1,552	2,991	1,411	2,037	3,285	2,016	1,792	3,316	2,601	1,705	3,914	29,807
Transfers to other funds	(3,075)	(1,426)	(2,923)	(1,350)	(1,782)	(3,149)	(1,775)	(1,722)	(3,163)	(2,415)	(1,334)	(3,723)	(27,837)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	162	162
NET OTHER FINANCING SOURCES/(USES)	112	126	68	61	255	136	241	70	153	186	371	353	2,132
Excess/(Deficiency) of Receipts over Disbursements	4,255	(1,044)	542	1,981	(886)	(886)	(140)	(832)	541	3,728	963	(4,848)	4,359
CLOSING BALANCE	8,599	7,565	8,261	10,272	10,401	9,527	9,504	8,681	9,314	13,070	14,048	9,479	8,703
Intra-Fund Transfers Adjustment	10	154	30	30	12	117	9	92	28	15	279	69	845
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	8,609	7,719	8,291	10,302	10,413	9,644	9,513	8,773	9,342	13,085	14,327	9,548	9,548

**CASHFLOW
GENERAL FUND
FY 2016**
(dollars in millions)

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	7,300	10,377	8,547	9,180	7,462	7,915	9,407	7,811	5,115	7,254	8,501	8,486	7,300
RECEIPTS:													
Personal Income Tax	5,044	1,604	2,904	1,976	1,879	3,565	1,603	845	3,720	3,266	2,787	2,731	31,924
Consumption/Use Taxes	526	520	661	545	533	700	542	544	676	564	448	631	6,890
Business Taxes	208	6	987	117	84	1,027	116	86	954	136	94	2,082	5,897
Other Taxes	152	106	82	82	82	82	86	86	86	76	75	75	1,069
Total Taxes	5,930	2,236	4,634	2,719	2,578	5,374	2,347	1,561	5,436	4,042	3,404	5,519	45,780
Abandoned Property	0	0	0	0	5	55	15	135	20	35	85	305	655
ABC License Fee	6	6	5	6	6	6	6	5	5	4	5	5	65
Investment Income	0	0	0	0	0	0	0	0	0	0	0	2	4
Licenses, Fees, etc.	42	55	65	35	55	75	40	45	75	35	60	43	625
Motor Vehicle Fees	36	21	6	6	6	24	24	0	21	9	9	14	170
Reimbursements	4	14	45	5	20	45	36	15	45	35	15	46	170
Other Transactions	89	1,910	18	38	16	152	5	39	39	35	16	188	2,577
Total Miscellaneous Receipts	177	2,006	140	104	108	340	126	239	205	128	197	595	4,365
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,682	493	1,112	503	245	1,423	502	109	1,288	874	557	1,427	10,215
Tax in Excess of LGAC	234	67	475	244	198	322	247	249	313	255	3	160	2,767
Sales Tax Bond Fund	220	222	290	227	227	317	226	227	292	233	187	298	2,966
Real Estate Taxes in Excess of CW/CA Debt Service	80	70	70	71	78	76	79	75	79	90	74	52	894
All Other	15	295	8	1	1	147	6	6	48	36	207	53	1,258
Total Transfers from Other Funds	2,231	1,147	1,955	1,046	749	2,285	1,060	661	2,020	1,488	1,028	2,470	18,140
TOTAL RECEIPTS	8,338	5,389	6,729	3,869	3,435	7,999	3,533	2,461	7,661	5,658	4,629	8,584	68,285
DISBURSEMENTS:													
School Aid	535	2,755	2,030	198	666	1,753	1,123	1,670	1,733	468	584	6,557	20,072
Higher Education	18	43	776	161	141	208	362	60	235	54	362	555	2,1975
All Other Education	18	526	23	120	81	450	119	43	201	36	48	521	2,186
Medicaid - DOH	1,231	1,122	155	1,150	1,108	1,017	1,177	1,156	689	1,095	991	669	12,259
Public Health	11	179	55	54	54	54	54	41	54	54	54	54	54
Men's Hygiene	3	3	289	1	1	277	31	1	319	12	69	210	1,184
Children and Families	39	123	258	75	75	278	75	75	278	102	100	276	1,754
Temporary & Disability Assistance	93	96	154	96	96	96	96	96	96	96	97	106	1,218
Transportation	0	25	0	0	25	0	0	25	22	0	15	1	113
Unrestricted Aid	1	13	391	2	2	104	9	2	188	2	3	68	785
All Other	3	21	144	41	(2)	(2)	48	110	113	209	190	188	1,106
Total Local Assistance Grants	1,952	4,894	5,038	1,898	2,287	4,228	2,981	3,278	3,947	2,112	2,510	9,231	44,356
Personal Service	554	451	456	580	454	454	522	454	608	496	492	558	6,079
Non-Personal Service	68	154	172	148	177	167	188	194	170	224	230	292	2,184
Total Departmental Operations	622	605	628	728	631	621	710	648	778	720	722	850	8,263
General State Charges	612	632	316	1,685	(56)	319	464	272	104	390	130	327	5,195
Debt Service	302	0	(22)	158	(3)	(70)	145	0	(2)	389	(19)	8	886
Capital Projects	92	115	(36)	383	206	419	216	171	412	316	941	2,804	5,896
State Share Medicaid	992	216	210	103	108	109	113	100	113	110	100	94	2,162
Other Operations	210	210	210	191	10	109	110	179	110	110	100	(1)	998
Other Purposes	498	547	167	440	509	181	501	509	110	294	261	(16)	4,283
Total Transfers to Other Funds	2,075	1,088	114	1,276	820	639	974	959	693	1,189	1,282	3,167	14,276
TOTAL DISBURSEMENTS	5,261	7,219	6,096	5,587	3,682	5,807	5,129	5,157	5,522	4,411	4,644	13,575	72,090
Excess/(Deficiency) of Receipts over Disbursements	3,077	(1,830)	633	(1,718)	(247)	2,192	(1,596)	(2,696)	2,139	1,247	(15)	(4,991)	(3,805)
CLOSING BALANCE	10,377	8,547	9,180	7,462	7,215	9,407	7,811	5,115	7,254	8,501	8,486	3,495	3,495

**CASHFLOW
STATE OPERATING FUNDS
FY 2016**
(dollars in millions)

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	9,890	14,281	13,245	13,468	12,576	12,518	12,980	11,832	9,423	10,338	13,517	13,677	9,890
RECEIPTS:													
Personal Income Tax	6,730	2,139	4,447	2,635	2,506	5,003	2,148	1,171	5,152	7,776	3,715	3,653	47,070
Consumption/Use Taxes	1,700	1,456	1,456	1,179	1,179	1,542	1,716	1,391	1,490	1,745	997	2,982	15,242
Business Taxes	260	63	1,234	182	141	1,268	180	259	1,185	203	150	2,516	7,516
Other Taxes	362	277	248	263	257	257	271	259	288	324	315	2,600	3,381
Total Taxes	8,552	3,625	7,385	4,298	4,088	8,070	3,815	2,766	8,119	9,548	5,167	7,790	73,213
Abandoned Property	0	0	0	0	5	55	15	135	20	35	85	305	655
ABC License Fee	6	6	5	6	6	6	6	5	5	4	4	5	65
HCRA	37	381	383	387	375	380	390	370	387	402	441	381	4,594
Investment Income	0	0	0	0	0	0	0	0	0	0	2	2	4
Licenses, Fees, etc.	42	55	65	35	55	75	40	45	75	35	60	43	625
Lottery	321	247	247	308	247	308	251	251	313	250	250	340	3,333
Medicaid	80	65	65	65	65	65	65	65	65	64	64	64	692
Motor Vehicle Fees	70	53	45	54	36	50	55	49	59	41	49	39	578
Reimbursements	4	14	45	5	20	45	5	15	46	10	15	46	269
State University Income	311	285	311	260	366	638	347	234	299	365	567	314	4,300
Other Transactions	(519)	2,703	299	322	278	278	296	274	272	93	64	4,799	4,799
Total Miscellaneous Receipts	632	3,809	1,468	1,442	1,453	2,086	1,470	1,421	1,540	1,299	1,602	1,792	20,014
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	35	74
TOTAL RECEIPTS	9,194	7,434	8,833	5,740	5,543	10,191	5,285	4,187	9,659	10,847	6,771	9,617	93,301
DISBURSEMENTS:													
School Aid	535	2,755	2,421	198	666	3,757	1,266	1,813	1,876	611	727	6,753	23,378
Higher Education	18	43	776	161	141	208	362	60	235	54	362	571	2,991
All Other Education	18	527	26	120	82	453	119	44	202	37	49	522	2,199
STAR	0	3	431	0	0	187	8	33	144	0	0	10	3,382
Medicaid - DOH	1,641	1,489	1,374	1,522	1,474	1,486	1,577	1,626	1,439	1,513	1,503	1,071	17,415
Public Health	35	238	120	222	205	85	104	74	151	155	99	173	1,661
Mental Hygiene	65	82	559	95	83	249	81	60	594	103	159	587	2,717
Children and Families	39	123	258	75	75	278	75	75	279	103	101	277	1,758
Temporary & Disability Assistance	93	96	154	96	96	96	96	96	96	96	97	106	1,218
Transportation	125	579	361	352	498	367	363	605	858	160	274	320	4,862
Unrestricted Aid	1	13	391	2	2	104	9	2	188	2	3	68	785
All Other	28	60	195	108	84	16	80	72	74	91	70	61	939
Total Local Assistance Grants	2,598	6,008	7,066	2,951	3,406	7,286	4,140	4,560	5,836	5,491	3,444	10,519	63,305
Personal Service	1,187	981	952	1,260	972	984	1,138	984	1,315	978	974	1,103	12,868
Non-Personal Service	291	445	494	402	484	474	493	485	482	459	479	632	5,620
Total Departmental Operations	1,478	1,426	1,446	1,662	1,456	1,458	1,631	1,469	1,797	1,437	1,453	1,735	18,488
General State Charges	650	835	500	1,757	427	369	526	466	490	467	396	451	7,334
Debt Service	166	263	164	74	314	733	93	144	369	74	550	2,178	5,122
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL DISBURSEMENTS	4,892	8,532	9,216	6,444	5,603	9,846	6,390	6,639	8,492	7,469	5,843	14,884	94,250
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	4,473	2,348	2,743	2,246	1,674	2,991	2,126	1,759	2,573	2,591	1,642	3,582	30,748
Transfers to other funds	(4,397)	(2,347)	(2,228)	(2,452)	(1,691)	(3,001)	(2,187)	(1,735)	(2,846)	(2,808)	(2,430)	(5,785)	(33,907)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	76	1	515	(206)	(17)	(10)	(61)	24	(273)	(217)	(788)	(2,203)	(3,159)
Excess/(Deficiency) of Receipts over Disbursements	4,378	(1,097)	132	(910)	(77)	335	(1,166)	(2,428)	894	3,161	140	(7,470)	(4,108)
CLOSING BALANCE	14,268	13,184	13,377	12,558	12,499	12,853	11,814	9,404	10,317	13,499	13,657	6,207	5,782
Intra-Fund Transfers Adjustment	13	61	91	18	19	127	18	19	21	18	20	61	486
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	14,281	13,245	13,468	12,576	12,518	12,980	11,832	9,423	10,338	13,517	13,677	6,268	6,268

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2016**
(dollars in millions)

	2015 April Projected	2015 May Projected	2015 June Projected	2015 July Projected	2015 August Projected	2015 September Projected	2015 October Projected	2015 November Projected	2015 December Projected	2016 January Projected	2016 February Projected	2016 March Projected	Total
OPENING BALANCE	9,355	12,611	12,325	12,743	12,044	11,727	12,022	11,003	8,367	9,486	12,697	12,677	9,355
RECEIPTS:													
Personal Income Tax	6,730	2,139	4,447	2,635	2,506	5,003	2,148	1,171	5,152	7,776	3,715	3,653	47,075
Consumption/Use Taxes	1,252	1,189	1,521	1,264	1,224	1,611	1,262	1,235	1,565	1,287	1,026	1,414	15,850
Business Taxes	362	277	260	275	269	269	283	271	300	336	327	2,567	8,137
Other Taxes	8,665	3,719	7,500	4,408	4,203	8,207	3,925	2,872	8,247	9,649	5,262	7,905	74,562
Total Taxes	0	0	0	0	5	55	15	135	20	35	85	305	655
Abandoned Property	37	6	5	6	375	380	390	370	387	402	441	5	65
HCRA	0	0	0	0	0	0	0	0	0	0	2	2	4
Investment Income	42	55	65	75	55	40	45	45	60	35	60	43	625
Licenses, Fees, etc.	321	247	247	308	247	308	251	313	313	250	250	340	3,333
Lottery	80	65	65	65	65	65	65	65	65	64	64	64	792
Medicaid	70	53	45	54	36	50	27	59	59	41	49	39	578
Motor Vehicle Fees	4	14	45	5	20	45	5	15	15	10	15	46	269
Reimbursements	311	285	314	260	366	638	347	234	299	365	567	314	4,300
State University Income	(433)	2,803	1,394	427	381	1,121	397	368	1,052	189	157	2,339	10,195
Other Transactions	718	3,909	2,563	1,547	1,556	2,743	1,571	1,515	2,320	1,395	1,695	3,878	25,410
Total Miscellaneous Receipts	1,729	4,853	4,123	4,119	4,420	4,570	3,976	4,067	4,134	4,585	5,032	5,788	51,396
Federal Receipts	11,112	12,481	14,186	10,074	10,179	15,520	9,472	8,454	14,701	15,629	11,989	17,571	151,368
TOTAL RECEIPTS	700	2,968	2,650	427	895	3,986	1,495	2,042	2,105	840	956	6,953	26,017
School Aid	18	43	776	162	145	210	362	61	236	54	54	571	3,000
Higher Education	177	609	116	201	163	534	281	125	281	107	119	10	3,193
All Other Education	0	3	431	0	0	187	8	33	144	2,566	0	10	3,382
STAR	3,296	4,142	4,171	3,885	4,416	4,488	3,792	4,308	3,814	4,282	5,100	5,044	50,738
Medicaid - DOH	131	360	241	334	444	211	215	181	267	262	326	593	3,565
Public Health	90	99	580	120	103	265	106	81	612	125	186	608	2,975
Mental Hygiene	58	204	339	156	156	359	156	156	359	244	240	415	2,842
Children and Families	295	384	429	381	372	402	392	402	412	427	413	394	4,703
Temporary & Disability Assistance	158	624	472	403	555	584	420	662	1,125	211	812	498	6,524
Transportation	224	249	373	402	354	104	9	2	188	2	3	68	785
Unrestricted Aid	5,148	9,698	10,969	6,473	7,605	11,585	7,511	8,368	9,890	9,617	8,889	16,157	111,910
Total Local Assistance Grants	1,238	1,029	1,040	1,327	1,020	1,034	1,187	1,034	1,383	1,026	1,022	1,164	13,504
Personal Service	348	511	569	489	580	613	620	578	560	543	580	823	6,814
Non-Personal Service	1,586	1,540	1,609	1,816	1,600	1,647	1,807	1,612	1,943	1,569	1,602	1,987	20,318
Total Departmental Operations	663	867	519	1,802	437	390	574	475	510	518	404	481	7,640
Debt Service	166	263	164	74	314	733	93	144	369	74	550	2,178	5,122
Capital Projects	289	395	501	606	527	874	481	487	862	637	561	940	7,160
TOTAL DISBURSEMENTS	7,852	12,763	13,762	10,771	10,483	15,229	10,466	11,086	13,574	12,415	12,006	21,743	152,150
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	4,551	2,564	2,425	2,646	1,880	3,441	2,361	1,930	3,074	3,004	2,582	6,563	37,021
Transfers to other funds	(4,555)	(2,568)	(2,431)	(2,648)	(1,893)	(3,437)	(2,366)	(1,934)	(3,082)	(3,007)	(2,585)	(6,574)	(37,100)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	685	685
NET OTHER FINANCING SOURCES/(USES)	(4)	(4)	(16)	(2)	(13)	(4)	(25)	(4)	(8)	(3)	(3)	(74)	606
Excess/(Deficiency) of Receipts over Disbursements	3,256	(286)	418	(699)	(317)	295	(1,019)	(2,636)	1,119	3,211	(20)	(3,498)	(176)
CLOSING BALANCE	12,611	12,325	12,743	12,044	11,727	12,022	11,003	8,367	9,486	12,697	12,677	9,179	9,179

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2016
(dollars in millions)**

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	2,661	2,646	4,140	3,884	4,565	4,495	3,246	3,716	3,759	2,710	3,396	3,305	2,661
RECEIPTS:													
Personal Income Tax	3	0	431	0	0	187	8	33	144	2,566	0	10	3,382
Consumption/Use Taxes	201	151	183	185	158	171	179	149	195	125	125	135	2,027
Business Taxes	61	57	227	65	241	62	64	59	226	67	56	433	1,618
Other Taxes	124	95	89	105	90	93	100	92	117	152	160	129	1,346
Total Taxes	389	303	930	355	310	716	351	333	682	2,956	341	707	8,373
HCRA	317	381	383	387	375	380	390	370	387	402	441	381	4,594
State University Income	311	285	314	260	366	638	347	234	299	365	567	314	4,300
Lottery	321	247	247	308	247	308	251	251	313	250	250	340	3,333
Medicaid	80	65	65	65	65	65	65	65	65	64	64	64	792
Motor Vehicle Fees	34	32	39	34	30	32	31	27	38	32	35	33	408
Other Transactions	(633)	767	256	241	223	286	240	219	195	(2)	17	40	1,849
Total Miscellaneous Receipts	430	1,777	1,304	1,295	1,306	1,720	1,324	1,166	1,297	1,111	1,374	1,172	15,276
Federal Receipts	1,628	4,751	4,023	3,941	4,297	4,357	3,839	3,933	3,963	4,489	4,800	5,606	49,627
TOTAL RECEIPTS	2,447	6,831	6,257	5,591	5,913	6,793	5,514	5,432	5,942	8,556	6,515	7,485	73,276
DISBURSEMENTS:													
School Aid	165	213	620	229	229	2,233	372	372	372	372	372	396	5,945
Higher Education	0	0	0	4	0	2	0	1	1	0	0	16	24
All Other Education	159	82	84	81	82	84	81	82	79	70	70	39	993
STAR	0	3	431	0	0	187	8	33	144	2,566	0	10	3,382
Medicaid - DOH	2,065	3,030	3,256	2,735	3,310	3,471	2,675	3,152	3,134	3,196	4,109	4,375	38,508
Public Health	120	181	183	280	391	134	184	141	185	215	150	348	2,512
Mental Hygiene	82	92	279	109	94	(20)	96	74	287	104	106	383	1,686
Children and Families	19	81	81	81	81	(81)	81	81	81	81	140	139	1,088
Temporary & Disability Assistance	202	278	275	275	276	306	286	306	316	321	316	287	3,444
Transportation	127	557	364	355	476	370	366	583	839	163	261	322	4,783
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	190	193	177	272	251	133	244	171	164	87	74	79	2,035
Total Local Assistance Grants	3,129	4,710	5,750	4,417	5,194	6,981	4,393	4,996	5,602	7,236	5,598	6,394	64,400
Personal Service	684	578	584	747	566	580	665	580	775	530	530	606	7,425
Non-Personal Service	280	355	396	341	388	443	432	389	389	319	346	515	4,586
Total Departmental Operations	964	933	980	1,088	954	1,023	1,097	962	1,164	849	876	1,121	12,011
General State Charges	51	235	203	117	493	71	110	203	406	128	274	155	2,445
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL DISBURSEMENTS	4,144	5,878	6,933	5,622	6,641	8,075	5,600	6,161	7,172	8,213	6,748	7,669	78,857
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	1,782	981	593	848	724	396	722	898	354	517	476	456	8,747
Transfers to Other Funds	(100)	(440)	(173)	(136)	(126)	(303)	(166)	(126)	(174)	(174)	(334)	(807)	(3,058)
NET OTHER FINANCING SOURCES/(USES)	1,682	541	420	712	598	93	556	772	181	343	142	(351)	5,689
Excess/(Deficiency) of Receipts over Disbursements	(15)	1,494	(256)	681	(130)	(1,189)	470	43	(1,049)	686	(91)	(535)	108
CLOSING BALANCE	2,646	4,140	3,884	4,565	4,435	3,246	3,716	3,759	2,710	3,396	3,305	2,769	2,769

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2016
(dollars in millions)

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	2,472	3,523	4,209	3,997	4,428	4,367	3,270	3,486	3,596	2,603	2,972	3,041	2,472
RECEIPTS:													
Personal Income Tax	3	0	431	0	0	187	8	33	144	2,566	0	10	3,382
Consumption/Use Taxes	201	151	183	185	158	195	179	149	195	171	125	135	2,027
Business Taxes	61	57	227	62	62	241	64	59	226	67	56	433	1,618
Other Taxes	124	95	89	105	90	93	100	92	117	152	160	129	1,346
Total Taxes	389	303	930	355	310	716	351	333	682	2,956	341	707	8,373
HCRA	317	381	383	387	375	380	390	370	387	402	441	381	4,594
State University Income	311	285	314	260	366	638	347	234	299	365	567	314	4,300
Lottery	321	247	247	308	247	308	251	251	313	250	250	340	3,333
Medicaid	80	65	65	65	65	65	65	65	65	64	64	64	792
Motor Vehicle Fees	34	34	39	34	38	31	31	32	32	32	35	33	408
Other Transactions	(637)	760	247	232	217	281	209	209	185	(10)	10	31	1,752
Total Miscellaneous Receipts	426	1,770	1,295	1,286	1,300	1,715	1,311	1,156	1,287	1,103	1,367	1,163	15,179
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL RECEIPTS	815	2,073	2,225	1,641	1,610	2,431	1,662	1,489	1,969	4,059	1,708	1,871	23,553
DISBURSEMENTS:													
School Aid	0	0	391	0	0	2,004	143	143	143	143	143	196	3,306
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	16
All Other Education	0	1	3	0	1	3	0	1	0	1	1	1	13
STAR	0	3	431	0	0	187	8	33	144	2,566	0	10	3,382
Medicaid - DOH	410	377	459	372	368	469	460	470	459	427	512	402	5,185
Public Health	24	59	62	168	152	38	80	34	69	108	48	93	928
Mental Hygiene	62	81	270	94	82	(28)	80	59	275	91	90	377	1,533
Children and Families	0	0	0	0	0	0	0	0	1	1	1	1	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	125	554	361	352	473	367	363	580	836	160	259	319	4,749
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	25	39	51	67	43	18	32	(38)	(39)	(118)	(120)	(127)	(167)
Total Local Assistance Grants	646	1,114	2,028	1,053	1,119	3,058	1,159	1,282	1,889	3,379	934	1,288	18,949
Personal Service	633	530	536	680	518	530	616	530	707	482	482	545	6,789
Non-Personal Service	223	289	321	254	292	304	305	289	311	235	245	324	3,392
Total Departmental Operations	856	819	857	934	810	834	921	819	1,018	717	727	869	10,181
General State Charges	38	203	184	72	483	50	62	194	386	77	266	124	2,139
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL DISBURSEMENTS	1,540	2,136	3,069	2,059	2,412	3,942	2,142	2,295	3,293	4,173	1,927	2,282	31,270
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	1,782	981	593	848	724	396	722	898	354	517	476	420	8,711
Transfers to Other Funds	(19)	(293)	(52)	(17)	(2)	(109)	(44)	(1)	(44)	(52)	(208)	(639)	(1,380)
NET OTHER FINANCING SOURCES/(USES)	1,763	688	541	831	722	287	678	897	310	465	268	(119)	7,331
Excess/(Deficiency) of Receipts over Disbursements	1,038	625	(303)	413	(80)	(1,224)	198	91	(1,014)	351	49	(530)	(386)
CLOSING BALANCE	3,510	4,148	3,906	4,410	4,348	3,143	3,468	3,577	2,582	2,954	3,021	2,511	2,086
Intra-Fund Transfers Adjustment	13	61	91	18	19	127	18	19	21	18	20	61	486
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	3,523	4,209	3,997	4,428	4,367	3,270	3,486	3,596	2,603	2,972	3,041	2,572	2,572

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2016
(dollars in millions)

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	189	(877)	(69)	(113)	136	67	(25)	229	162	106	423	263	189
RECEIPTS:													
Miscellaneous Receipts	4	7	9	9	6	5	13	10	10	8	7	9	97
Federal Receipts	1628	4,751	4,023	3,941	4,297	4,357	3,839	3,933	3,963	4,489	4,800	5,605	49,626
TOTAL RECEIPTS	1632	4,758	4,032	3,950	4,303	4,362	3,852	3,943	3,973	4,497	4,807	5,614	49,723
DISBURSEMENTS:													
School Aid	165	213	229	229	229	229	229	229	229	229	229	200	2,639
Higher Education	0	0	0	0	4	2	0	1	1	0	0	0	8
All Other Education	159	81	81	81	81	81	81	81	78	69	69	38	980
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,655	2,653	2,797	2,363	2,942	3,002	2,215	2,682	2,675	2,769	3,597	3,973	33,323
Public Health	96	122	121	112	239	96	111	107	116	107	102	255	1,584
Mental Hygiene	20	11	9	15	12	8	16	15	12	13	16	5	152
Children and Families	19	81	81	81	81	81	81	81	80	141	139	139	1,085
Temporary & Disability Assistance	202	278	275	275	276	306	286	306	316	321	316	287	3,444
Transportation	2	3	3	3	3	3	3	3	3	3	2	3	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	165	154	126	205	208	115	212	209	203	205	194	206	2,202
Total Local Assistance Grants	2,483	3,596	3,722	3,364	4,075	3,923	3,234	3,714	3,713	3,857	4,664	5,106	45,451
Personal Service	51	48	48	67	48	50	49	50	68	48	48	61	636
Non-Personal Service	57	66	75	87	96	139	127	93	78	84	101	191	1,194
Total Departmental Operations	108	114	123	154	144	189	176	143	146	132	149	252	1,830
General State Charges	13	32	19	46	10	21	48	9	20	51	8	29	306
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	2,604	3,742	3,864	3,564	4,229	4,133	3,458	3,866	3,879	4,040	4,821	5,387	47,587
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	36	36
Transfers to Other Funds	(81)	(147)	(121)	(119)	(124)	(194)	(122)	(125)	(129)	(122)	(126)	(268)	(1,678)
NET OTHER FINANCING SOURCES(USES)	(81)	(147)	(121)	(119)	(124)	(194)	(122)	(125)	(129)	(122)	(126)	(232)	(1,642)
Excess/(Deficiency) of Receipts over Disbursements	(1,033)	869	47	267	(50)	35	272	(48)	(35)	335	(140)	(5)	494
CLOSING BALANCE	(864)	(8)	(22)	154	86	102	247	181	127	441	283	258	683
Intra-Fund Transfers Adjustment	(13)	(61)	(91)	(18)	(19)	(127)	(18)	(19)	(21)	(18)	(20)	(61)	(486)
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	(877)	(69)	(113)	136	67	(25)	229	162	106	423	263	197	197

**CASHFLOW
DEBT SERVICE FUNDS
FY 2016
(dollars in millions)**

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	118	381	489	291	686	936	303	535	712	481	2,044	2,150	118
RECEIPTS:													
Personal Income Tax	1,683	535	1,112	659	627	1,251	537	293	1,288	1,944	928	912	11,769
Consumption/Use Taxes	474	475	612	488	488	647	495	498	628	510	414	596	6,325
Other Taxes	86	76	77	77	85	82	85	81	85	96	80	56	966
Total Taxes	2,243	1,086	1,801	1,224	1,200	1,980	1,117	872	2,001	2,550	1,422	1,564	19,060
Miscellaneous Receipts	29	33	33	52	45	31	33	26	48	68	38	34	470
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	34	73
TOTAL RECEIPTS	2,272	1,119	1,834	1,276	1,247	2,046	1,150	898	2,049	2,618	1,462	1,632	19,603
DISBURSEMENTS:													
Departmental Operations	0	2	1	0	15	3	0	2	1	0	4	16	44
Debt Service	166	263	164	74	314	733	93	144	369	74	550	2,178	5,122
TOTAL DISBURSEMENTS	166	265	165	74	329	736	93	146	370	74	554	2,194	5,166
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	460	220	195	352	201	310	344	200	199	586	138	692	3,887
Transfers to Other Funds	(2,303)	(966)	(2,062)	(1,159)	(869)	(2,253)	(1,169)	(775)	(2,109)	(1,567)	(940)	(2,079)	(8,251)
NET OTHER FINANCING SOURCES/(USES)	(1,843)	(746)	(1,867)	(807)	(668)	(1,943)	(825)	(575)	(1,910)	(981)	(802)	(1,387)	(4,364)
Excess/(Deficiency) of Receipts over Disbursements	263	108	(198)	395	250	(633)	232	177	(231)	1,563	106	(1,949)	83
CLOSING BALANCE	381	489	291	686	936	303	535	712	481	2,044	2,150	201	201

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2016**
(dollars in millions)

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(724)	(793)	(851)	(612)	(669)	(859)	(934)	(1,059)	(1,219)	(959)	(1,244)	(1,264)	(724)
RECEIPTS:													
Consumption/Use Taxes	51	43	65	46	45	69	46	44	66	42	39	52	608
Business Taxes	52	51	58	52	58	56	52	50	52	47	44	52	622
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	103	94	135	110	115	137	110	106	128	101	95	115	1,349
Miscellaneous Receipts	82	93	1,086	96	97	652	88	84	770	88	86	2,077	5,299
Federal Receipts	101	102	100	178	121	178	137	134	171	96	230	148	1,696
TOTAL RECEIPTS	286	289	1,321	384	333	967	335	324	1,069	285	411	2,340	8,344
DISBURSEMENTS:													
All Other Education	0	1	9	0	0	0	0	0	1	1	1	1	14
Public Health	0	0	0	0	0	30	0	0	0	0	125	165	320
Mental Hygiene	5	6	12	10	8	8	9	6	6	0	11	15	105
Temporary & Disability Assistance	0	10	0	0	0	0	10	0	0	10	0	1	41
Transportation	31	42	108	48	54	214	54	54	264	48	536	175	1,628
All Other Local	31	35	52	89	62	124	64	34	70	201	108	175	1,045
Total Local Assistance Grants	67	94	181	158	124	376	137	94	341	269	781	532	3,154
Economic Development	2	3	4	14	4	6	9	5	5	9	5	143	209
Parks & the Environment	19	32	49	48	50	52	52	44	47	43	47	56	539
Transportation	211	220	281	244	272	302	250	282	334	228	233	191	3,048
Health & Social Welfare	2	7	11	8	7	9	8	9	9	7	12	27	116
Mental Hygiene	10	8	12	15	18	11	16	14	11	13	18	(8)	138
Public Protection	15	30	25	25	25	25	25	26	28	29	34	97	384
Education	22	77	94	122	126	126	93	78	78	277	184	207	1,455
All Other	8	18	25	130	25	372	28	29	350	31	28	226	1,270
Total Capital Projects	289	395	501	606	527	874	481	487	862	637	561	938	7,159
TOTAL DISBURSEMENTS	356	489	682	764	651	1,250	618	581	1,203	906	1,342	1,471	10,313
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	78	216	(318)	400	206	450	235	171	501	413	940	2,945	6,237
Transfers to Other Funds	(77)	(74)	(82)	(77)	(78)	(242)	(77)	(74)	(107)	(77)	(29)	(521)	(1,515)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	685	685
NET OTHER FINANCING SOURCES/(USES)	1	142	(400)	323	128	208	158	97	394	336	911	3,109	5,407
Excess/(Deficiency) of Receipts over Disbursements	(69)	(58)	239	(57)	(190)	(75)	(125)	(160)	260	(285)	(20)	3,978	3,438
CLOSING BALANCE	(793)	(851)	(612)	(669)	(859)	(934)	(1,059)	(1,219)	(959)	(1,244)	(1,264)	2,714	2,714

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2016
(dollars in millions)**

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(342)	(406)	(481)	(242)	(374)	(569)	(596)	(739)	(917)	(728)	(927)	(820)	(342)
RECEIPTS:													
Consumption/Use Taxes	51	43	65	46	45	69	46	44	66	42	39	51	607
Business Taxes	52	51	58	52	58	56	52	50	50	47	44	53	623
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	103	94	135	110	115	137	110	106	128	101	95	115	1,349
Miscellaneous Receipts	82	93	1,086	96	97	652	88	84	770	88	86	2,077	5,299
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	185	187	1,221	206	212	791	198	190	898	189	181	2,195	6,653
DISBURSEMENTS:													
All Other Education	0	1	9	0	0	0	0	0	1	1	1	1	14
Public Health	0	0	0	0	0	0	0	0	0	0	125	125	250
Mental Hygiene	5	6	12	10	8	8	9	6	6	9	11	15	105
Temporary & Disability Assistance	0	10	0	10	0	0	10	0	0	10	0	1	41
Transportation	4	6	67	7	8	168	8	8	223	7	500	131	1,137
All Other Local	31	35	52	89	62	46	64	34	70	123	108	176	890
Total Local Assistance Grants	40	58	140	117	78	222	91	48	300	150	745	449	2,438
Economic Development	2	3	4	14	4	6	9	5	5	9	5	143	209
Parks & the Environment	17	31	48	47	49	51	43	43	46	42	45	53	523
Transportation	139	178	229	192	210	240	188	220	282	176	191	179	2,424
Health & Social Welfare	2	7	11	8	7	9	8	9	9	7	12	24	113
Mental Hygiene	10	8	12	15	18	11	16	14	11	13	18	(8)	138
Public Protection	14	26	21	21	21	21	21	22	24	24	25	91	331
Education	22	77	94	122	126	97	93	78	78	277	184	207	1,455
All Other	6	16	23	128	22	369	25	26	348	29	27	227	1,246
Total Capital Projects	212	346	442	547	457	804	411	417	803	577	507	916	6,459
TOTAL DISBURSEMENTS	252	404	582	664	535	1,026	502	465	1,103	727	1,252	1,365	8,877
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	78	216	(318)	400	206	450	235	171	501	413	940	2,945	6,237
Transfers to Other Funds	(75)	(74)	(82)	(74)	(78)	(242)	(74)	(74)	(107)	(74)	(29)	(520)	(1,503)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	685	685
NET OTHER FINANCING SOURCES/(USES)	3	142	(400)	326	128	208	161	97	394	339	911	3,110	5,419
Excess/(Deficiency) of Receipts over Disbursements	(64)	(75)	239	(132)	(195)	(27)	(143)	(178)	189	(199)	(60)	3,940	3,195
CLOSING BALANCE	(406)	(481)	(242)	(374)	(569)	(596)	(739)	(917)	(728)	(927)	(1,087)	3,120	2,853
Intra-Fund Transfers Adjustment	0	0	0	0	0	0	0	0	0	0	267	24	291
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	(406)	(481)	(242)	(374)	(569)	(596)	(739)	(917)	(728)	(927)	(820)	3,144	3,144

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2016
(dollars in millions)**

	2015 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2016 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(382)	(387)	(370)	(370)	(295)	(290)	(338)	(320)	(302)	(231)	(317)	(444)	(382)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	101	102	100	178	121	176	137	134	171	96	230	145	1,691
TOTAL RECEIPTS	101	102	100	178	121	176	137	134	171	96	230	145	1,691
DISBURSEMENTS:													
Public Health	0	0	0	0	0	30	0	0	0	0	0	0	70
Transportation	27	36	41	41	46	46	46	46	41	41	36	44	491
All Other Local	0	0	0	0	0	78	0	0	0	78	0	(1)	155
Total Local Assistance Grants	27	36	41	41	46	154	46	46	41	119	36	83	716
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	2	1	1	1	1	1	1	1	1	1	2	2	15
Transportation	72	42	52	52	62	62	62	62	52	52	42	12	624
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	3	3
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	4	4	4	4	4	4	4	4	5	9	6	53
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	2	2	2	3	3	3	3	2	2	1	0	25
Total Capital Projects	77	49	59	59	70	70	70	70	59	60	54	23	720
TOTAL DISBURSEMENTS	104	85	100	100	116	224	116	116	100	179	90	106	1,436
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(2)	0	(3)	(3)	0	0	(3)	0	0	(3)	0	(1)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES(USES)	(2)	0	(3)	(3)	0	0	(3)	0	0	(3)	0	(1)	(12)
Excess/(Deficiency) of Receipts over Disbursements	(5)	17	0	75	5	(48)	18	18	71	(86)	140	38	243
CLOSING BALANCE	(387)	(370)	(370)	(295)	(290)	(338)	(320)	(302)	(231)	(317)	(177)	(406)	(139)
Intra-Fund Transfers Adjustment	0	0	0	0	0	0	0	0	0	0	(267)	(24)	(291)
Closing Balance with Intra-Fund Transfers	(387)	(370)	(370)	(295)	(290)	(338)	(320)	(302)	(231)	(317)	(444)	(430)	(430)

**CASHFLOW
STATE FUNDS
FY 2016**
(dollars in millions)

	2015 April Projected	2015 May Projected	2015 June Projected	2015 July Projected	2015 August Projected	2015 September Projected	2015 October Projected	2015 November Projected	2015 December Projected	2016 January Projected	2016 February Projected	2016 March Projected	Total
OPENING BALANCE	9,548	13,875	12,764	13,226	12,202	11,949	12,384	11,093	8,506	9,610	12,590	12,857	9,548
RECEIPTS:													
Personal Income Tax	6,730	2,139	4,447	2,635	2,506	5,003	2,148	1,171	5,152	7,776	3,715	3,653	47,075
Consumption/Use Taxes	1,252	1,189	1,521	1,264	1,224	1,611	1,262	1,235	1,565	1,287	1,026	1,413	15,849
Business Taxes	321	114	1,272	234	204	1,324	232	1,935	1,230	250	194	2,568	8,138
Other Taxes	362	277	260	275	269	269	283	271	300	336	327	271	3,500
Total Taxes	8,665	3,719	7,500	4,408	4,203	8,207	3,925	2,872	8,247	9,649	5,262	7,905	74,562
Abandoned Property	0	0	0	0	5	55	15	135	20	35	85	305	655
ABC License Fee	6	6	5	6	6	380	390	370	387	402	441	5	65
HCRA	37	381	383	387	375	6	0	0	0	0	0	0	4,594
Investment Income	0	0	0	0	0	0	0	0	0	0	0	0	4
Licenses, Fees, etc.	42	55	65	35	55	75	40	45	75	35	60	43	625
Lottery	321	247	247	308	247	308	251	313	313	250	250	340	3,333
Medicaid	80	65	65	65	65	65	65	65	65	64	64	64	752
Motor Vehicle Fees	70	53	45	36	36	27	59	41	59	41	15	16	269
Reimbursements	4	14	45	5	20	45	5	15	20	10	15	16	269
State University Income	311	265	314	266	366	638	347	234	239	365	567	374	4,300
Other Transactions	(437)	2,796	1,385	418	375	1,116	384	358	1,042	1,811	150	2,330	10,308
Total Miscellaneous Receipts	714	3,902	2,554	1,538	1,550	2,738	1,558	1,505	2,310	1,387	1,688	3,869	25,313
Federal Receipts	0	0	0	0	2	37	0	0	0	0	2	38	79
TOTAL RECEIPTS	9,379	7,621	10,054	5,946	5,755	10,982	5,483	4,377	10,557	11,036	6,952	11,812	99,954
DISBURSEMENTS:													
School Aid	535	2,755	2,421	198	666	3,757	1,266	1,813	1,876	611	727	6,753	23,378
Higher Education	18	43	776	162	141	208	362	60	235	54	362	571	2,992
All Other Education	18	528	35	120	82	453	119	44	203	38	50	523	2,213
STAR	0	3	431	0	0	187	8	33	144	2,566	0	10	3,382
Medicaid - DOH	1,641	1,489	1,374	1,522	1,474	1,486	1,577	1,626	1,139	1,513	1,503	1,071	17,415
Public Health	35	238	120	222	205	104	104	74	151	155	224	298	1,911
Mental Hygiene	70	88	571	105	91	257	90	66	600	170	170	602	2,822
Children and Families	39	123	258	75	75	278	75	75	279	103	101	107	1,758
Temporary & Disability Assistance	93	106	154	106	96	96	106	96	96	106	97	107	1,259
Transportation	129	585	428	359	506	535	371	613	1,081	167	774	451	5,999
Unrestricted Aid	1	13	391	2	2	104	9	2	188	167	3	68	785
All Other	59	95	247	197	146	62	144	106	144	214	178	237	1,829
Total Local Assistance Grants	2,638	6,066	7,205	3,068	3,484	7,508	4,231	4,608	6,136	5,641	4,189	10,968	65,743
Personal Service	1,187	981	992	1,260	972	1,138	984	984	1,315	978	974	1,103	12,868
Non-Personal Service	291	445	494	402	484	474	485	485	482	459	479	632	5,620
Total Departmental Operations	1,478	1,426	1,486	1,662	1,456	1,458	1,631	1,469	1,797	1,437	1,453	1,735	18,488
General State Charges	650	835	500	1,757	427	369	526	466	490	467	396	451	7,334
Debt Service	166	263	164	74	314	733	93	144	369	74	550	2,178	5,122
Capital Projects	212	346	442	547	457	804	411	417	803	577	507	917	6,440
TOTAL DISBURSEMENTS	5,144	8,936	9,798	7,108	6,138	10,872	6,892	7,104	9,595	8,196	7,095	16,249	103,127
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	4,551	2,564	2,425	2,646	1,880	3,441	2,361	1,930	3,074	3,004	2,582	6,527	36,985
Transfers to other funds	(4,472)	(2,421)	(2,310)	(2,526)	(1,769)	(3,243)	(2,261)	(1,809)	(2,953)	(2,882)	(2,459)	(6,305)	(35,410)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	685	685
NET OTHER FINANCING SOURCES (USES)	79	143	115	120	111	198	100	121	121	122	123	907	2,260
Excess/(Deficiency) of Receipts over Disbursements	4,314	(1,172)	371	(1,042)	(272)	308	(1,309)	(2,606)	1,083	2,962	(20)	(3,530)	(913)
CLOSING BALANCE	13,862	12,703	13,135	12,184	11,930	12,257	11,075	8,487	9,589	12,572	12,570	9,327	8,635
Intra-Fund Transfers Adjustment	13	61	91	18	19	127	18	19	21	18	287	85	771
CLOSING BALANCE WITH INTRA-FUND TRANSFERS	13,875	12,764	13,226	12,202	11,949	12,384	11,093	8,506	9,610	12,590	12,857	9,412	9,412

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening Fund Balance	<u>0</u>	<u>14</u>	<u>14</u>
Receipts:			
Taxes	915	(4)	911
Miscellaneous receipts	4,592	2	4,594
Total Receipts	<u>5,507</u>	<u>(2)</u>	<u>5,505</u>
Disbursements:			
Medical Assistance Account	3,578	23	3,601
Hospital Indigent Care Fund	792	0	792
HCRA Program Account	393	55	448
Child Health Plus (CHP)	358	3	361
Elderly Pharmaceutical Insurance Coverage (EPIC)	138	0	138
SHIN-NY/APCD	55	0	55
New York State of Health (NYSOH)	69	(69)	0
All Other	124	0	124
Total Disbursements	<u>5,507</u>	<u>12</u>	<u>5,519</u>
Change in Fund Balance	<u>0</u>	<u>(14)</u>	<u>(14)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016 THROUGH FY 2019
(millions of dollars)**

	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Opening Fund Balance	14	0	0	0
Receipts:				
Taxes	911	873	843	814
Miscellaneous receipts	4,594	4,655	4,708	4,768
Total Receipts	<u>5,505</u>	<u>5,528</u>	<u>5,551</u>	<u>5,582</u>
Disbursements:				
Medical Assistance Account	3,601	3,684	3,731	3,730
Hospital Indigent Care Fund	792	792	792	792
HCRA Program Account	448	446	446	446
Child Health Plus (CHP)	361	300	315	332
Elderly Pharmaceutical Insurance Coverage (EPIC)	138	144	145	140
SHIN-NY/APCD	55	40	0	0
All Other	124	122	122	142
Total Disbursements	<u>5,519</u>	<u>5,528</u>	<u>5,551</u>	<u>5,582</u>
Change in Fund Balance	<u>(14)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015 and FY 2016
(millions of dollars)**

	FY 2015 Results	FY 2016 Enacted	Annual Change
Opening Fund Balance	<u>9</u>	<u>14</u>	<u>5</u>
Receipts:			
Taxes	958	911	(47)
Miscellaneous receipts	4,499	4,594	95
	<u>5,457</u>	<u>5,505</u>	<u>48</u>
Disbursements:			
Medical Assistance Account	3,518	3,601	83
Hospital Indigent Care Fund	804	792	(12)
HCRA Program Account	452	448	(4)
Child Health Plus (CHP)	411	361	(50)
Elderly Pharmaceutical Insurance Coverage (EPIC)	134	138	4
SHIN-NY/APCD	31	55	24
All Other	102	124	22
Total Disbursements	<u>5,452</u>	<u>5,519</u>	<u>67</u>
Change in Fund Balance	<u>5</u>	<u>(14)</u>	<u>(19)</u>
Closing Fund Balance	<u>14</u>	<u>0</u>	<u>(14)</u>

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015**
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	9	196	66	178	98	100	115	78	86	100	124	212	9
Receipts:													
Taxes	86	79	81	94	85	87	86	74	89	73	61	63	958
Miscellaneous receipts	333	376	359	416	352	371	386	357	399	398	385	367	4,499
Total Receipts	419	455	440	510	437	458	472	431	488	471	446	430	5,457
Disbursements:													
Medical Assistance Account	200	379	216	358	215	307	345	271	356	339	243	289	3,518
Hospital Indigent Care Fund	1	126	65	61	92	81	61	64	62	66	63	62	804
HCRA Program Account	2	49	2	131	28	3	63	46	17	7	7	97	452
Child Health Plus (CHP)	23	22	23	23	89	23	23	24	23	24	25	89	411
Elderly Pharmaceutical Insurance Coverage (EPIC)	4	7	11	16	8	18	16	6	14	10	10	14	134
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	31	31
All Other	2	2	11	1	3	11	1	12	2	1	10	46	102
Total Disbursements	232	585	328	590	435	443	509	423	474	447	358	628	5,452
Change in Fund Balance	187	(130)	112	(80)	2	15	(37)	8	14	24	88	(198)	5
Closing Fund Balance	196	66	178	98	100	115	78	86	100	124	212	14	14

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016**
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	14	41	122	119	117	115	113	112	109	107	105	104	14
Receipts:													
Taxes	83	75	77	89	81	83	82	70	85	69	58	59	911
Miscellaneous receipts	317	381	383	387	375	380	390	370	387	402	441	381	4,594
Total Receipts	400	456	460	476	456	463	472	440	472	471	499	440	5,505
Disbursements:													
Medical Assistance Account	240	245	331	244	239	340	332	342	330	298	384	276	3,601
Hospital Indigent Care Fund	90	67	63	63	63	63	63	63	63	63	63	68	792
HCRA Program Account	1	25	27	132	47	4	44	5	41	80	27	15	448
Child Health Plus (CHP)	22	24	25	23	94	24	17	17	17	17	17	64	361
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	12	11	13	12	13	15	13	13	13	5	15	138
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	55	55
All Other	17	2	6	3	3	21	2	3	10	2	4	51	124
Total Disbursements	373	375	463	478	458	465	473	443	474	473	500	544	5,519
Change in Fund Balance	27	81	(3)	(2)	(2)	(2)	(1)	(3)	(2)	(2)	(1)	(104)	(14)
Closing Fund Balance	41	122	119	117	115	113	112	109	107	105	104	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

	FY 2015			FY 2016			FY 2017			FY 2018			FY 2019		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(73)	64	(117)	(196)	52	(89)	(200)	52	(66)	(206)	52	(43)	(205)	52	(20)
Receipts:															
Unemployment Taxes	0	2,457	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	533	103	1	593	98	5	604	79	5	613	68	5	607	68	5
Federal Receipts	0	45	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	533	2,605	1	593	2,598	5	604	2,579	5	613	2,568	5	607	2,568	5
Disbursements:															
Local Assistance Grants	0	0	0	8	0	0	8	0	0	8	0	0	8	0	0
Departmental Operations:															
Personal Service	86	6	0	101	7	0	102	7	0	102	7	0	102	7	0
Non-Personal Service	551	113	0	493	88	0	501	69	0	503	58	0	504	58	0
Unemployment Benefits	0	2,497	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	52	1	0	56	3	0	58	3	0	61	3	0	63	3	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	689	2,617	0	658	2,598	0	669	2,579	0	674	2,568	0	677	2,568	0
Other Financing Sources (Uses):															
Transfers from Other Funds	86	0	27	101	0	22	96	0	22	98	0	22	98	0	22
Transfers to Other Funds	(53)	0	0	(40)	0	(4)	(37)	0	(4)	(36)	0	(4)	(28)	0	(4)
	33	0	27	61	0	18	59	0	18	62	0	18	70	0	18
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(123)	(12)	28	(4)	0	23	(6)	0	23	1	0	23	0	0	23
Closing Fund Balance	(196)	52	(89)	(200)	52	(66)	(206)	52	(43)	(205)	52	(20)	(205)	52	3

Workforce Impact Summary

General Fund 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	2,508	2,528	2,517
Corrections and Community Supervision, Department of	28,637	28,332	28,052
Education Department, State	274	265	279
Environmental Conservation, Department of	1,055	1,047	1,035
General Services, Office of	879	1,015	1,253
Health, Department of	1,480	1,499	1,637
Information Technology Services, Office of	3,669	3,573	3,556
Labor, Department of	1	0	1
Parks, Recreation and Historic Preservation, Office of	1,606	1,516	1,378
State Police, Division of	5,314	5,596	5,534
Taxation and Finance, Department of	4,230	4,342	3,658
Temporary and Disability Assistance, Office of	916	975	963
Subtotal - Major Agencies	50,569	50,688	49,863
Minor Agencies	3,085	3,252	3,483
Subtotal - Subject to Direct Executive Control	53,654	53,940	53,346
Independently Elected Agencies			
Audit and Control, Department of	1,316	1,341	1,413
Law, Department of	1,020	1,048	1,059
Subtotal - Independently Elected Agencies	2,336	2,389	2,472
Grand Total	55,990	56,329	55,818

Workforce Impact Summary

General Fund
2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Minor Agencies			
Adirondack Park Agency	52	54	54
Aging, Office for the	18	18	12
Agriculture and Markets, Department of	382	384	359
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	25	24	30
Budget, Division of the	237	224	245
Civil Service, Department of	157	152	171
Correction, Commission of	28	28	32
Criminal Justice Services, Division of	384	378	399
Economic Development, Department of	140	141	157
Elections, State Board of	54	70	79
Employee Relations, Office of	23	22	26
Executive Chamber	134	129	136
Gaming Commission, New York State	0	0	60
Homeland Security and Emergency Services, Division of	78	0	0
Housing and Community Renewal, Division of	83	83	54
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	125	132	124
Inspector General, Office of the	63	66	71
Judicial Conduct, Commission on	46	45	50
Justice Center for the Protection of People with Special Needs	268	341	406
Labor Management Committees	72	65	77
Lieutenant Governor, Office of the	4	3	7
Medicaid Inspector General, Office of the	224	229	240
Military and Naval Affairs, Division of	151	142	139
Prevention of Domestic Violence, Office for	17	16	19
Public Employment Relations Board	27	29	33
Public Ethics, Joint Commission on	37	40	45
State, Department of	147	195	194
Statewide Financial System	0	130	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	79	78	90
Welfare Inspector General, Office of	2	6	7
Subtotal - Minor Agencies	3,085	3,252	3,483

Workforce Impact Summary

State Operating Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	2,549	2,565	2,561
Corrections and Community Supervision, Department of	28,640	28,336	28,056
Education Department, State	1,266	1,243	1,293
Environmental Conservation, Department of	2,257	2,230	2,238
Financial Services, Department of	1,268	1,334	1,390
General Services, Office of	933	1,066	1,317
Health, Department of	3,673	3,720	3,919
Information Technology Services, Office of	3,669	3,573	3,556
Labor, Department of	398	391	508
Mental Health, Office of	14,545	14,519	14,508
Motor Vehicles, Department of	715	680	675
Parks, Recreation and Historic Preservation, Office of	1,620	1,608	1,559
People with Developmental Disabilities, Office for	19,259	18,517	18,637
State Police, Division of	5,386	5,667	5,612
Taxation and Finance, Department of	4,280	4,395	4,359
Temporary and Disability Assistance, Office of	916	975	963
Transportation, Department of	137	89	99
Workers' Compensation Board	1,145	1,130	1,204
Subtotal - Major Agencies	92,656	92,038	92,454
Minor Agencies	6,456	6,505	6,806
Subtotal - Subject to Direct Executive Control	99,112	98,543	99,260
University Systems			
City University of New York	264	261	336
State University of New York	43,325	43,692	43,575
Subtotal - University Systems	43,589	43,953	43,911
Independently Elected Agencies			
Audit and Control, Department of	1,495	1,513	1,572
Law, Department of	1,491	1,528	1,577
Subtotal - Independently Elected Agencies	2,986	3,041	3,149
Grand Total	145,687	145,537	146,320

Workforce Impact Summary

State Operating Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Minor Agencies			
Adirondack Park Agency	52	54	54
Aging, Office for the	18	18	12
Agriculture and Markets, Department of	435	426	399
Alcoholic Beverage Control, Division of	121	112	127
Alcoholism and Substance Abuse Services, Office of	765	750	741
Arts, Council on the	25	24	30
Budget, Division of the	258	239	261
Civil Service, Department of	161	156	176
Correction, Commission of	28	28	32
Criminal Justice Services, Division of	388	383	404
Deferred Compensation Board	4	3	4
Economic Development, Department of	142	142	159
Elections, State Board of	54	70	79
Employee Relations, Office of	23	22	26
Executive Chamber	134	129	136
Financial Control Board, New York State	13	12	13
Gaming Commission, New York State	369	376	405
Higher Education Services Corporation, New York State	298	267	276
Homeland Security and Emergency Services, Division of	256	277	305
Housing and Community Renewal, Division of	584	571	562
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	125	132	124
Indigent Legal Services, Office of	10	10	19
Inspector General, Office of the	63	66	71
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	46	45	50
Justice Center for the Protection of People with Special Needs	284	354	423
Labor Management Committees	72	65	77
Lieutenant Governor, Office of the	4	3	7
Medicaid Inspector General, Office of the	224	229	240
Military and Naval Affairs, Division of	156	146	143
Prevention of Domestic Violence, Office for	17	16	19
Public Employment Relations Board	27	29	33
Public Ethics, Joint Commission on	37	40	45
Public Service Department	494	517	500
State, Department of	494	497	528
Statewide Financial System	111	130	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	79	78	90
Victim Services, Office of	49	49	54
Welfare Inspector General, Office of	2	6	7
Subtotal - Minor Agencies	6,456	6,505	6,806

Workforce Impact Summary

State Funds
2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	2,566	2,607	2,653
Corrections and Community Supervision, Department of	28,946	28,640	28,376
Education Department, State	1,405	1,379	1,437
Environmental Conservation, Department of	2,618	2,583	2,631
Financial Services, Department of	1,268	1,334	1,390
General Services, Office of	1,449	1,588	1,848
Health, Department of	3,723	3,768	3,975
Information Technology Services, Office of	3,689	3,592	3,586
Labor, Department of	416	411	538
Mental Health, Office of	14,593	14,528	14,558
Motor Vehicles, Department of	2,220	2,137	2,142
Parks, Recreation and Historic Preservation, Office of	1,732	1,730	1,727
People with Developmental Disabilities, Office for	19,259	18,517	18,637
State Police, Division of	5,386	5,667	5,612
Taxation and Finance, Department of	4,280	4,395	4,359
Temporary and Disability Assistance, Office of	916	980	968
Transportation, Department of	8,474	8,500	8,231
Workers' Compensation Board	1,145	1,130	1,204
Subtotal - Major Agencies	104,085	103,486	103,872
Minor Agencies	6,674	6,709	7,045
Subtotal - Subject to Direct Executive Control	110,759	110,195	110,917
University Systems			
City University of New York	13,864	13,703	13,611
State University Construction Fund	146	145	152
State University of New York	43,325	43,692	43,575
Subtotal - University Systems	57,335	57,540	57,338
Independently Elected Agencies			
Audit and Control, Department of	2,493	2,521	2,628
Law, Department of	1,495	1,532	1,584
Subtotal - Independently Elected Agencies	3,988	4,053	4,212
Grand Total	172,082	171,788	172,467

Workforce Impact Summary

State Funds
2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Minor Agencies			
Adirondack Park Agency	52	54	54
Aging, Office for the	18	18	12
Agriculture and Markets, Department of	479	467	447
Alcoholic Beverage Control, Division of	121	112	127
Alcoholism and Substance Abuse Services, Office of	765	750	741
Arts, Council on the	25	24	30
Budget, Division of the	258	239	261
Civil Service, Department of	318	305	347
Correction, Commission of	28	28	32
Criminal Justice Services, Division of	388	383	404
Deferred Compensation Board	4	3	4
Economic Development, Department of	142	142	159
Elections, State Board of	54	70	79
Employee Relations, Office of	30	28	37
Executive Chamber	134	129	136
Financial Control Board, New York State	13	12	13
Gaming Commission, New York State	369	376	405
Higher Education Services Corporation, New York State	298	267	276
Homeland Security and Emergency Services, Division of	256	277	305
Housing and Community Renewal, Division of	584	571	562
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	125	132	124
Indigent Legal Services, Office of	10	10	19
Inspector General, Office of the	63	66	71
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	46	45	50
Justice Center for the Protection of People with Special Needs	284	354	423
Labor Management Committees	72	65	77
Lieutenant Governor, Office of the	4	3	7
Medicaid Inspector General, Office of the	224	229	240
Military and Naval Affairs, Division of	156	146	143
Prevention of Domestic Violence, Office for	27	24	28
Public Employment Relations Board	27	29	33
Public Ethics, Joint Commission on	37	40	45
Public Service Department	494	517	500
State, Department of	494	497	528
Statewide Financial System	111	130	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	79	78	90
Victim Services, Office of	49	49	54
Welfare Inspector General, Office of	2	6	7
Subtotal - Minor Agencies	6,674	6,709	7,045

Workforce Impact Summary

All Funds

2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	2,951	2,986	3,059
Corrections and Community Supervision, Department of	28,975	28,673	29,022
Education Department, State	2,611	2,643	2,692
Environmental Conservation, Department of	2,917	2,869	2,946
Financial Services, Department of	1,271	1,334	1,393
General Services, Office of	1,449	1,588	1,848
Health, Department of	4,701	4,839	5,113
Information Technology Services, Office of	3,689	3,592	3,586
Labor, Department of	3,417	3,111	3,120
Mental Health, Office of	14,593	14,528	14,569
Motor Vehicles, Department of	2,237	2,153	2,159
Parks, Recreation and Historic Preservation, Office of	1,748	1,747	1,746
People with Developmental Disabilities, Office for	19,271	18,528	18,655
State Police, Division of	5,386	5,667	5,612
Taxation and Finance, Department of	4,280	4,395	4,359
Temporary and Disability Assistance, Office of	1,790	1,946	1,968
Transportation, Department of	8,540	8,559	8,304
Workers' Compensation Board	1,145	1,130	1,204
Subtotal - Major Agencies	110,971	110,288	111,355
Minor Agencies	7,521	7,519	7,994
Subtotal - Subject to Direct Executive Control	118,492	117,807	119,349
University Systems			
City University of New York	13,864	13,703	13,611
State University Construction Fund	146	145	152
State University of New York	43,326	43,692	43,576
Subtotal - University Systems	57,336	57,540	57,339
Independently Elected Agencies			
Audit and Control, Department of	2,498	2,526	2,633
Law, Department of	1,715	1,747	1,833
Subtotal - Independently Elected Agencies	4,213	4,273	4,466
Grand Total	180,041	179,620	181,154

Workforce Impact Summary

All Funds
2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Minor Agencies			
Adirondack Park Agency	52	54	54
Aging, Office for the	88	93	95
Agriculture and Markets, Department of	483	475	475
Alcoholic Beverage Control, Division of	121	112	127
Alcoholism and Substance Abuse Services, Office of	765	750	741
Arts, Council on the	25	24	30
Budget, Division of the	258	239	261
Civil Service, Department of	318	305	347
Correction, Commission of	28	28	32
Criminal Justice Services, Division of	417	413	436
Deferred Compensation Board	4	3	4
Economic Development, Department of	142	142	159
Elections, State Board of	54	70	80
Employee Relations, Office of	30	28	37
Executive Chamber	134	129	136
Financial Control Board, New York State	13	12	13
Gaming Commission, New York State	369	376	405
Higher Education Services Corporation, New York State	298	267	276
Homeland Security and Emergency Services, Division of	377	406	444
Housing and Community Renewal, Division of	685	666	683
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	154	148	164
Indigent Legal Services, Office of	10	10	19
Inspector General, Office of the	63	66	71
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	46	45	50
Justice Center for the Protection of People with Special Needs	284	354	429
Labor Management Committees	72	65	77
Lieutenant Governor, Office of the	4	3	7
Medicaid Inspector General, Office of the	448	457	479
Military and Naval Affairs, Division of	357	338	344
Prevention of Domestic Violence, Office for	27	24	28
Public Employment Relations Board	27	29	33
Public Ethics, Joint Commission on	37	40	45
Public Service Department	494	517	515
State, Department of	536	512	544
Statewide Financial System	111	130	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	85	84	98
Victim Services, Office of	69	65	74
Welfare Inspector General, Office of	2	6	7
Subtotal - Minor Agencies	7,521	7,519	7,994

Workforce Impact Summary

Special Revenue Funds - Other 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	41	37	44
Corrections and Community Supervision, Department of	3	4	4
Education Department, State	992	978	1,014
Environmental Conservation, Department of	1,202	1,183	1,203
Financial Services, Department of	1,268	1,334	1,390
General Services, Office of	54	51	64
Health, Department of	2,193	2,221	2,282
Labor, Department of	397	391	507
Mental Health, Office of	14,545	14,519	14,508
Motor Vehicles, Department of	715	680	675
Parks, Recreation and Historic Preservation, Office of	14	92	181
People with Developmental Disabilities, Office for	19,259	18,517	18,637
State Police, Division of	72	71	78
Taxation and Finance, Department of	50	53	701
Transportation, Department of	137	89	99
Workers' Compensation Board	1,145	1,130	1,204
Subtotal - Major Agencies	42,087	41,350	42,591
Minor Agencies	3,371	3,253	3,323
Subtotal - Subject to Direct Executive Control	45,458	44,603	45,914
University Systems			
City University of New York	264	261	336
State University of New York	43,325	43,692	43,575
Subtotal - University Systems	43,589	43,953	43,911
Independently Elected Agencies			
Audit and Control, Department of	179	172	159
Law, Department of	471	480	518
Subtotal - Independently Elected Agencies	650	652	677
Grand Total	89,697	89,208	90,502

Workforce Impact Summary

Special Revenue Funds - Other 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Minor Agencies			
Agriculture and Markets, Department of	53	42	40
Alcoholic Beverage Control, Division of	121	112	127
Alcoholism and Substance Abuse Services, Office of	763	748	741
Budget, Division of the	21	15	16
Civil Service, Department of	4	4	5
Criminal Justice Services, Division of	4	5	5
Deferred Compensation Board	4	3	4
Economic Development, Department of	2	1	2
Financial Control Board, New York State	13	12	13
Gaming Commission, New York State	369	376	345
Higher Education Services Corporation, New York State	298	267	276
Homeland Security and Emergency Services, Division of	178	277	305
Housing and Community Renewal, Division of	501	488	508
Indigent Legal Services, Office of	10	10	19
Interest on Lawyer Account	8	8	8
Justice Center for the Protection of People with Special Needs	16	13	17
Military and Naval Affairs, Division of	5	4	4
Public Service Department	494	517	500
State, Department of	347	302	334
Statewide Financial System	111	0	0
Victim Services, Office of	49	49	54
Subtotal - Minor Agencies	3,371	3,253	3,323

Workforce Impact Summary

Special Revenue Funds - Federal 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	385	379	406
Corrections and Community Supervision, Department of	29	33	646
Education Department, State	1,206	1,264	1,255
Environmental Conservation, Department of	292	278	308
Financial Services, Department of	3	0	3
Health, Department of	978	1,071	1,138
Labor, Department of	3,001	2,700	2,582
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	16	17
Parks, Recreation and Historic Preservation, Office of	16	17	19
People with Developmental Disabilities, Office for	12	11	18
Temporary and Disability Assistance, Office of	874	966	1,000
Transportation, Department of	66	59	73
Subtotal - Major Agencies	6,879	6,794	7,476
Minor Agencies			
Aging, Office for the	70	75	83
Agriculture and Markets, Department of	4	8	28
Criminal Justice Services, Division of	29	30	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	121	129	139
Housing and Community Renewal, Division of	101	95	101
Human Rights, Division of	29	16	40
Justice Center for the Protection of People with Special Needs	0	0	6
Medicaid Inspector General, Office of the	224	228	239
Military and Naval Affairs, Division of	201	192	201
Public Service Department	0	0	15
State, Department of	42	15	16
Veterans' Affairs, Division of	6	6	8
Victim Services, Office of	20	16	20
Subtotal - Minor Agencies	847	810	929
Subtotal - Subject to Direct Executive Control	7,726	7,604	8,405
University Systems			
State University of New York	1	0	1
Subtotal - University Systems	1	0	1
Independently Elected Agencies			
Audit and Control, Department of	5	5	5
Law, Department of	220	215	249
Subtotal - Independently Elected Agencies	225	220	254
Grand Total	7,952	7,824	8,660

Workforce Impact Summary

Capital Projects Funds - Other 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	4	5	4
Corrections and Community Supervision, Department of	25	27	30
Environmental Conservation, Department of	361	353	393
Health, Department of	50	48	56
Mental Health, Office of	35	0	32
Motor Vehicles, Department of	1,505	1,457	1,467
Parks, Recreation and Historic Preservation, Office of	112	122	168
Temporary and Disability Assistance, Office of	0	5	5
Transportation, Department of	8,337	8,411	8,132
Subtotal - Major Agencies	10,429	10,428	10,287
Subtotal - Subject to Direct Executive Control	10,429	10,428	10,287
University Systems			
State University Construction Fund	146	145	152
Subtotal - University Systems	146	145	152
Independently Elected Agencies			
Law, Department of	4	4	7
Subtotal - Independently Elected Agencies	4	4	7
Grand Total	10,579	10,577	10,446

Workforce Impact Summary

Capital Projects Funds - Federal 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Environmental Conservation, Department of	7	8	7
Subtotal - Major Agencies	7	8	7
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Subtotal - Minor Agencies	0	0	20
Subtotal - Subject to Direct Executive Control	7	8	27
Grand Total	7	8	27

Workforce Impact Summary

Enterprise Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Corrections and Community Supervision, Department of	7	6	10
General Services, Office of	7	10	11
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	14	16	26
Minor Agencies			
Agriculture and Markets, Department of	42	38	45
Subtotal - Minor Agencies	42	38	45
Subtotal - Subject to Direct Executive Control	56	54	71
Grand Total	56	54	71

Workforce Impact Summary

Internal Service Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Major Agencies			
Children and Family Services, Office of	13	37	88
Corrections and Community Supervision, Department of	274	271	280
Education Department, State	139	136	144
General Services, Office of	509	512	520
Information Technology Services, Office of	20	19	30
Labor, Department of	18	20	30
Mental Health, Office of	13	9	13
Subtotal - Major Agencies	986	1,004	1,105
Minor Agencies			
Civil Service, Department of	157	149	171
Employee Relations, Office of	7	6	11
Prevention of Domestic Violence, Office for	10	8	9
Subtotal - Minor Agencies	174	163	191
Subtotal - Subject to Direct Executive Control	1,160	1,167	1,296
Independently Elected Agencies			
Audit and Control, Department of	78	79	42
Subtotal - Independently Elected Agencies	78	79	42
Grand Total	1,238	1,246	1,338

Workforce Impact Summary

Agency Trust Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
University Systems			
City University of New York	13,600	13,442	13,275
Subtotal - University Systems	13,600	13,442	13,275
Grand Total	13,600	13,442	13,275

Workforce Impact Summary

Pension Trust Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Independently Elected Agencies			
Audit and Control, Department of	920	929	1,014
Subtotal - Independently Elected Agencies	920	929	1,014
Grand Total	920	929	1,014

Workforce Impact Summary

Private Purpose Trust Funds 2013-14 Through 2015-16

	2013-14 Actuals (03/31/14)	2014-15 Actuals (03/31/15)	2015-16 Estimate (03/31/16)
Minor Agencies			
Agriculture and Markets, Department of	2	3	3
Subtotal - Minor Agencies	2	3	3
Subtotal - Subject to Direct Executive Control	2	3	3
Grand Total	2	3	3

Impact of 2015-16 Enacted Budget on Local Governments Local Fiscal Year Ending in 2015 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
Revenue Actions	(4.4)	(0.2)	0.0	(3.6)	(0.3)	(0.3)
- Sales Tax Exemptions	(6.2)	(1.0)	0.0	(3.6)	(0.3)	(0.3)
- Extend Warrantless Wage Garnishment	0.8	0.8	0.0	0.0	0.0	0.0
Human Services	75.7	(1.2)	0.0	76.9	0.0	0.0
- Cap Youth Facility Chargeback Costs*	80.0	0.0	0.0	80.0	0.0	0.0
- Modify Foster Care Human Services COLA Funding	(4.3)	(1.2)	0.0	(3.1)	0.0	0.0
- Adjust NYC Emergency Assistance to Families Reimbursement	(3.8)	(3.8)	0.0	0.0	0.0	0.0
- Establish a NYC Homelessness Prevention Pilot Program	3.8	3.8	0.0	0.0	0.0	0.0
Transportation	38.4	3.4	0.0	17.8	2.3	14.9
- Increase NYC/Downstate Transit Aid	8.2	1.7	0.0	6.5	0.0	0.0
- Increase Highway Assistance for Extreme Winter Recovery	30.2	1.7	0.0	11.3	2.3	14.9
Municipal Aid	9.8	0.0	0.0	9.4	0.3	0.1
- Provide Interim Financial Assistance to Seneca, Cayuga, and Franklin Counties	0.8	0.0	0.0	0.8	0.0	0.0
- Restore Village Per Capita Aid	0.0	0.0	0.0	0.0	0.0	0.0
- Provide Aid to Onondaga County for a School Discipline Project	2.0	0.0	0.0	2.0	0.0	0.0
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.4	0.0	0.0	0.0	0.3	0.1
- Provide Aid to Nassau County	2.0	0.0	0.0	2.0	0.0	0.0
- Provide Aid to Suffolk County	2.0	0.0	0.0	2.0	0.0	0.0
- Provide Assistance to Dutchess County through DOS	2.6	0.0	0.0	2.6	0.0	0.0
Public Protection	1.1	(0.2)	0.0	1.3	0.0	0.0
- Reduce NYC Drug Diversion Program Spending	(0.2)	(0.2)	0.0	0.0	0.0	0.0
- Provide Aid for the Westchester County Policing Program	0.2	0.0	0.0	0.2	0.0	0.0
- Provide Aid for the Dutchess County Coordinated Jail Based Services	1.1	0.0	0.0	1.1	0.0	0.0
Environment	1.2	0.0	0.0	0.2	0.0	1.0
- Provide Assistance to Certain Municipalities through DEC	1.2	0.0	0.0	0.2	0.0	1.0
Total 2015-16 Enacted Budget Actions	121.8	1.8	0.0	102.0	2.3	15.7

*This does not reflect the \$220 million in savings for New York City through SFY 2018-19 from this proposal, which would be reinvested in homeless assistance programs and services.

Note: Due to the inability to determine the precise impacts by class of government as well as the anticipated timing by fiscal year, these totals do not include the following actions affecting local governments: up to \$200 million for local water infrastructure assistance, up to \$150 million in settlement funds for municipal restructuring, a potential \$10 million benefit from auditing NYSHP dependent eligibility, and \$5 million in grants for local water fluoridation systems.

Impact of 2015-16 Enacted Budget on Local Governments Local Fiscal Year Ending in 2016 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid/Education	1,306.0	905.0	800.0	0.0	0.0	0.0
- Increase School Aid	1,306.0	905.0	800.0	0.0	0.0	0.0
Revenue Actions	(0.5)	5.5	(0.2)	(4.8)	(0.5)	(0.5)
- Sales Tax Exemptions	(10.0)	(4.0)	(0.2)	(4.8)	(0.5)	(0.5)
- Extend Limitation on Itemized Deductions	6.5	6.5	0.0	0.0	0.0	0.0
- Extend Warrantless Wage Garnishment	3.0	3.0	0.0	0.0	0.0	0.0
Human Services	24.9	(5.8)	0.0	30.7	0.0	0.0
- Cap Youth Facility Chargeback Costs*	0.0	0.0	0.0	0.0	0.0	0.0
- Modify Foster Care Human Services COLA Funding	(12.9)	(5.8)	0.0	(7.1)	0.0	0.0
- Adjust NYC Emergency Assistance to Families Reimbursement	(15.0)	(15.0)	0.0	0.0	0.0	0.0
- Establish a NYC Homelessness Prevention Pilot Program	15.0	15.0	0.0	0.0	0.0	0.0
Transportation	38.3	12.1	0.0	12.5	5.3	8.4
- Increase NYC/Downstate Transit Aid	15.6	6.9	0.0	8.7	0.0	0.0
- Create Buffalo Traffic Violations Bureau	3.0	0.0	0.0	3.0	0.0	0.0
- Increase Highway Assistance for Extreme Winter Recovery	19.7	5.2	0.0	3.8	2.3	8.4
Municipal Aid	4.0	0.0	0.0	0.9	2.3	0.8
- Restore Village Per Capita Aid	0.5	0.0	0.0	0.0	0.0	0.5
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.6	0.0	0.0	0.0	0.3	0.3
- Provide Aid to the City of Rochester	2.0	0.0	0.0	0.0	2.0	0.0
- Provide Assistance to Dutchess County through DOS	0.9	0.0	0.0	0.9	0.0	0.0
Public Protection	(0.1)	(0.6)	0.0	0.5	0.0	0.0
- Reduce NYC Drug Diversion Program Spending	(0.6)	(0.6)	0.0	0.0	0.0	0.0
- Provide Aid for the Westchester County Policing Program	0.1	0.0	0.0	0.1	0.0	0.0
- Provide Aid for the Dutchess County Coordinated Jail Based Services	0.4	0.0	0.0	0.4	0.0	0.0
Environment	0.6	0.0	0.0	0.1	0.0	0.5
- Provide Assistance to Certain Municipalities through DEC	0.6	0.0	0.0	0.1	0.0	0.5
Subtotal	1,372.2	916.2	799.8	39.9	7.1	9.2
- School Aid - New Competitive Grants	47.0	TBD	TBD	0.0	0.0	0.0
- School Aid - Persistently Failing Schools	37.5	TBD	TBD	0.0	0.0	0.0
Total 2015-16 Enacted Budget Actions	1,456.7	916.2	799.8	39.9	7.1	9.2

*This does not reflect the \$220 million in savings for New York City through SFY 2018-19 from this proposal, which would be reinvested in homeless assistance programs and services.

Note: Due to the inability to determine the precise impacts by class of government as well as the anticipated timing by fiscal year, these totals do not include the following actions affecting local governments: up to \$200 million for local water infrastructure assistance, up to \$150 million in settlement funds for municipal restructuring, a potential \$10 million benefit from auditing NYSHP dependent eligibility, and \$5 million in grants for local water fluoridation systems.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	58,495	68,058	58,708	58,708	58,708
Local Assistance Grants	25,275	35,197	27,321	27,321	27,321
State Operations	33,220	32,861	31,387	31,387	31,387
Personal Service	25,828	26,236	25,662	25,662	25,662
Non-Personal Service	7,392	6,625	5,725	5,725	5,725
<i>Economic Development, Department of</i>	54,489	69,894	71,857	76,057	76,057
Local Assistance Grants	36,404	49,716	51,879	56,879	56,879
State Operations	18,085	20,178	19,978	19,178	19,178
Personal Service	11,493	13,526	13,526	13,526	13,526
Non-Personal Service	6,592	6,652	6,452	5,652	5,652
<i>Empire State Development Corporation</i>	85,284	90,924	135,946	135,946	135,946
Local Assistance Grants	85,234	90,074	135,096	135,096	135,096
State Operations	50	850	850	850	850
Personal Service	0	425	425	425	425
Non-Personal Service	50	425	425	425	425
<i>Olympic Regional Development Authority</i>	3,011	3,011	3,011	3,011	3,011
State Operations	3,011	3,011	3,011	3,011	3,011
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	463	463	463	463	463
Functional Total	201,279	231,887	269,522	273,722	273,722
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,276	4,332	4,332	4,332	4,332
State Operations	4,276	4,332	4,332	4,332	4,332
Personal Service	3,893	4,027	4,027	4,027	4,027
Non-Personal Service	383	305	305	305	305
<i>Environmental Conservation, Department of</i>	94,818	109,208	98,898	99,002	104,878
Local Assistance Grants	2,889	15,225	5,200	5,200	5,200
State Operations	91,929	93,983	93,698	93,802	99,678
Personal Service	82,168	84,595	84,445	84,549	84,549
Non-Personal Service	9,761	9,388	9,253	9,253	15,129
<i>Parks, Recreation and Historic Preservation, Office of</i>	114,000	114,994	113,914	114,089	114,089
Local Assistance Grants	3,957	3,655	2,575	2,750	2,750
State Operations	110,043	111,339	111,339	111,339	111,339
Personal Service	105,054	103,734	103,734	103,734	103,734
Non-Personal Service	4,989	7,605	7,605	7,605	7,605
Functional Total	213,094	228,534	217,144	217,423	223,299
TRANSPORTATION					
<i>Thruway Authority, New York State</i>	18,341	21,500	21,500	21,500	21,500
State Operations	18,341	21,500	21,500	21,500	21,500
Non-Personal Service	18,341	21,500	21,500	21,500	21,500
<i>Transportation, Department of</i>	98,752	114,304	98,720	98,720	98,720
Local Assistance Grants	97,670	113,151	97,551	97,551	97,551
State Operations	1,082	1,153	1,169	1,169	1,169
Non-Personal Service	1,082	1,153	1,169	1,169	1,169
Functional Total	117,093	135,804	120,220	120,220	120,220
HEALTH					
<i>Aging, Office for the</i>	123,306	131,882	132,204	137,277	142,477

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Local Assistance Grants	121,870	130,576	131,346	136,419	141,619
State Operations	1,436	1,306	858	858	858
Personal Service	1,256	1,125	677	677	677
Non-Personal Service	180	181	181	181	181
Health, Department of	12,744,699	13,434,601	14,139,136	14,856,720	15,605,340
Medical Assistance	11,161,361	11,709,173	11,917,839	12,627,381	13,349,117
Local Assistance Grants	11,161,361	11,709,173	11,917,839	12,627,381	13,349,117
Basic Health Plan	0	169,961	642,739	649,142	665,934
Local Assistance Grants	0	134,250	601,095	620,330	638,940
State Operations	0	35,711	41,644	28,812	26,994
Personal Service	0	683	804	534	488
Non-Personal Service	0	35,028	40,840	28,278	26,506
Medicaid Administration	677,990	676,497	682,597	670,297	667,997
Local Assistance Grants	515,184	386,431	374,411	374,411	374,411
State Operations	162,806	290,066	308,186	295,886	293,586
Personal Service	29,326	44,350	66,267	72,208	77,403
Non-Personal Service	133,480	245,716	241,919	223,678	216,183
Public Health	905,348	878,970	895,961	909,900	922,292
Local Assistance Grants	741,787	732,907	751,891	765,730	778,122
State Operations	163,561	146,063	144,070	144,170	144,170
Personal Service	91,153	83,797	85,711	85,711	85,711
Non-Personal Service	72,408	62,266	58,359	58,459	58,459
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
State Operations	20,821	21,236	21,001	21,001	21,001
Personal Service	16,617	16,705	16,470	16,470	16,470
Non-Personal Service	4,204	4,531	4,531	4,531	4,531
Functional Total	12,888,826	13,587,719	14,292,341	15,014,998	15,768,818
SOCIAL WELFARE					
Children and Family Services, Office of	1,832,633	1,980,718	1,984,819	2,034,650	2,056,569
OCFS	1,746,634	1,893,944	1,896,645	1,945,081	1,967,171
Local Assistance Grants	1,512,053	1,667,640	1,678,020	1,724,526	1,740,674
State Operations	234,581	226,304	218,625	220,555	226,497
Personal Service	167,253	162,451	157,032	157,032	158,615
Non-Personal Service	67,328	63,853	61,593	63,523	67,882
OCFS - Other	85,999	86,774	88,174	89,569	89,398
Local Assistance Grants	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	16,763	9,954	38,233	38,783	43,783
Local Assistance Grants	10,280	5,404	33,683	34,233	39,233
State Operations	6,483	4,550	4,550	4,550	4,550
Personal Service	3,958	4,199	4,199	4,199	4,199
Non-Personal Service	2,525	351	351	351	351
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
State Operations	10,582	9,961	9,961	9,961	9,961
Personal Service	8,919	9,461	9,461	9,461	9,461
Non-Personal Service	1,663	500	500	500	500
Labor, Department of	7,928	8,288	288	288	288
Local Assistance Grants	7,655	8,000	0	0	0
State Operations	273	288	288	288	288
Personal Service	87	88	88	88	88
Non-Personal Service	186	200	200	200	200
National and Community Service	687	687	687	687	690

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Local Assistance Grants	450	350	350	350	350
State Operations	237	337	337	337	340
Personal Service	229	328	328	328	331
Non-Personal Service	8	9	9	9	9
Temporary and Disability Assistance, Office of	1,375,282	1,361,252	1,379,105	1,390,005	1,405,405
Welfare Assistance	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
Local Assistance Grants	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
All Other	237,279	235,344	245,482	246,882	253,282
Local Assistance Grants	97,755	92,256	102,496	103,896	110,296
State Operations	139,524	143,088	142,986	142,986	142,986
Personal Service	67,810	59,087	58,985	58,985	58,985
Non-Personal Service	71,714	84,001	84,001	84,001	84,001
Functional Total	3,243,875	3,370,860	3,413,093	3,474,374	3,516,696
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	25,078	31,853	36,853	36,853	36,853
OASAS	3,753	10,528	15,528	15,528	15,528
Local Assistance Grants	3,753	10,528	15,528	15,528	15,528
OASAS - Other	21,325	21,325	21,325	21,325	21,325
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
Justice Center	29,472	39,492	40,643	41,909	43,382
Local Assistance Grants	170	170	170	170	170
State Operations	29,302	39,322	40,473	41,739	43,212
Personal Service	18,713	25,645	26,423	27,316	28,416
Non-Personal Service	10,589	13,677	14,050	14,423	14,796
Mental Health, Office of	354,423	276,263	317,424	360,282	370,639
OMH	7,567	800	800	800	800
Local Assistance Grants	7,310	0	0	0	0
State Operations	257	800	800	800	800
Non-Personal Service	257	800	800	800	800
OMH - Other	346,856	275,463	316,624	359,482	369,839
Local Assistance Grants	346,856	275,463	316,624	359,482	369,839
People with Developmental Disabilities, Office for	1,026,736	876,819	896,265	1,224,578	1,347,478
OPWDD	519	0	0	0	0
Local Assistance Grants	519	0	0	0	0
OPWDD - Other	1,026,217	876,819	896,265	1,224,578	1,347,478
Local Assistance Grants	1,026,217	876,819	896,265	1,224,578	1,347,478
Functional Total	1,435,709	1,224,427	1,291,185	1,663,622	1,798,352
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
State Operations	2,222	2,651	2,651	2,651	2,651
Personal Service	2,037	2,414	2,414	2,414	2,414
Non-Personal Service	185	237	237	237	237
Correctional Services, Department of	2,645,044	2,693,172	2,641,010	2,641,216	2,641,422
Local Assistance Grants	5,939	6,022	6,022	6,022	6,022
State Operations	2,639,105	2,687,150	2,634,988	2,635,194	2,635,400
Personal Service	2,102,252	2,176,760	2,099,598	2,099,804	2,100,010
Non-Personal Service	536,853	510,390	535,390	535,390	535,390

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
<i>Criminal Justice Services, Division of</i>	161,783	172,814	159,963	154,963	154,963
Local Assistance Grants	127,011	138,350	125,499	120,499	120,499
State Operations	34,772	34,464	34,464	34,464	34,464
Personal Service	26,643	25,833	25,833	25,833	25,833
Non-Personal Service	8,129	8,631	8,631	8,631	8,631
<i>Disaster Assistance</i>	(8,011)	(45,309)	0	0	0
Local Assistance Grants	2,726	0	0	0	0
State Operations	(10,737)	(45,309)	0	0	0
Personal Service	(9,310)	0	0	0	0
Non-Personal Service	(1,427)	(45,309)	0	0	0
<i>Homeland Security and Emergency Services, Division of</i>	38,108	(7,308)	5,518	5,518	5,518
Local Assistance Grants	31,256	(11,804)	4,222	4,222	4,222
State Operations	6,852	4,496	1,296	1,296	1,296
Personal Service	4,789	1,296	1,296	1,296	1,296
Non-Personal Service	2,063	3,200	0	0	0
<i>Judicial Conduct, Commission on</i>	5,384	5,584	5,584	5,584	5,643
State Operations	5,384	5,584	5,584	5,584	5,643
Personal Service	4,028	4,181	4,181	4,181	4,212
Non-Personal Service	1,356	1,403	1,403	1,403	1,431
<i>Judicial Nomination, Commission on</i>	24	30	30	30	30
State Operations	24	30	30	30	30
Non-Personal Service	24	30	30	30	30
<i>Judicial Screening Committees, New York State</i>	12	38	38	38	38
State Operations	12	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service	12	25	25	25	25
<i>Military and Naval Affairs, Division of</i>	21,638	23,943	23,268	23,268	23,268
Local Assistance Grants	724	911	911	911	911
State Operations	20,914	23,032	22,357	22,357	22,357
Personal Service	15,329	16,996	16,321	16,321	16,321
Non-Personal Service	5,585	6,036	6,036	6,036	6,036
<i>State Police, Division of</i>	608,608	601,612	616,783	616,783	616,783
State Operations	608,608	601,612	616,783	616,783	616,783
Personal Service	560,114	558,793	573,964	573,964	573,964
Non-Personal Service	48,494	42,819	42,819	42,819	42,819
<i>Statewide Financial System</i>	29,264	30,137	29,711	29,717	29,717
State Operations	29,264	30,137	29,711	29,717	29,717
Personal Service	9,282	11,112	10,638	10,638	10,638
Non-Personal Service	19,982	19,025	19,073	19,079	19,079
<i>Victim Services, Office of</i>	947	2,788	1,888	1,888	1,888
Local Assistance Grants	947	2,788	1,888	1,888	1,888
Functional Total	3,505,023	3,480,152	3,486,444	3,481,656	3,481,921
HIGHER EDUCATION					
<i>City University of New York</i>	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
Local Assistance Grants	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
<i>Higher Education Services Corporation, New York State</i>	1,177,916	1,045,791	1,111,365	1,142,261	1,159,261
Local Assistance Grants	1,177,916	1,045,791	1,111,365	1,142,261	1,159,261
<i>State University of New York</i>	713,787	558,959	501,815	501,815	501,315
Local Assistance Grants	486,563	503,258	501,815	501,815	501,315
State Operations	9,459	0	0	0	0
Personal Service	276	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Non-Personal Service	9,183	0	0	0	0
General State Charges	217,765	55,701	0	0	0
Functional Total	3,286,750	3,030,857	3,037,517	3,097,354	3,157,348
EDUCATION					
Arts, Council on the	66,103	45,155	45,155	45,155	45,155
Local Assistance Grants	62,791	40,835	40,835	40,835	40,835
State Operations	3,312	4,320	4,320	4,320	4,320
Personal Service	2,132	2,498	2,498	2,498	2,498
Non-Personal Service	1,180	1,822	1,822	1,822	1,822
Education, Department of	20,533,668	22,307,895	23,742,135	24,837,681	26,264,713
School Aid	18,415,026	20,071,878	21,413,535	22,378,549	23,663,978
Local Assistance Grants	18,415,026	20,071,878	21,413,535	22,378,549	23,663,978
Special Education Categorical Programs	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
Local Assistance Grants	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
All Other	667,640	766,514	769,250	786,132	805,635
Local Assistance Grants	618,671	716,931	719,667	736,549	756,052
State Operations	48,969	49,583	49,583	49,583	49,583
Personal Service	27,142	25,775	25,775	25,775	25,775
Non-Personal Service	21,827	23,808	23,808	23,808	23,808
Functional Total	20,599,771	22,353,050	23,787,290	24,882,836	26,309,868
GENERAL GOVERNMENT					
Budget, Division of the	20,322	24,436	23,895	23,895	23,895
State Operations	20,322	24,436	23,895	23,895	23,895
Personal Service	18,684	22,230	22,216	22,216	22,216
Non-Personal Service	1,638	2,206	1,679	1,679	1,679
Civil Service, Department of	12,276	12,465	12,451	12,451	12,544
State Operations	12,276	12,465	12,451	12,451	12,544
Personal Service	11,785	12,064	12,050	12,050	12,138
Non-Personal Service	491	401	401	401	406
Deferred Compensation Board	37	57	57	57	57
State Operations	37	57	57	57	57
Personal Service	24	32	32	32	32
Non-Personal Service	13	25	25	25	25
Elections, State Board of	5,961	11,146	8,746	8,746	8,851
Local Assistance Grants	253	1,800	0	0	0
State Operations	5,708	9,346	8,746	8,746	8,851
Personal Service	4,680	5,607	5,573	5,539	5,682
Non-Personal Service	1,028	3,739	3,173	3,207	3,169
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
State Operations	2,210	2,581	2,581	2,581	2,601
Personal Service	2,178	2,510	2,510	2,510	2,529
Non-Personal Service	32	71	71	71	72
Gaming Commission, New York State	0	6,971	6,971	6,971	6,971
State Operations	0	6,971	6,971	6,971	6,971
Personal Service	0	4,482	4,482	4,482	4,482
Non-Personal Service	0	2,489	2,489	2,489	2,489
General Services, Office of	142,293	151,237	153,684	153,684	153,684
State Operations	142,293	151,237	153,684	153,684	153,684
Personal Service	58,419	71,150	75,393	75,393	75,393
Non-Personal Service	83,874	80,087	78,291	78,291	78,291

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
<i>Inspector General, Office of the</i>	7,069	6,917	6,917	6,917	6,977
State Operations	7,069	6,917	6,917	6,917	6,977
Personal Service	6,217	6,360	6,360	6,360	6,408
Non-Personal Service	852	557	557	557	569
<i>Labor Management Committees</i>	24,098	35,056	45,056	35,056	35,056
State Operations	24,098	35,056	45,056	35,056	35,056
Personal Service	6,402	5,446	5,446	5,446	5,446
Non-Personal Service	17,696	29,610	39,610	29,610	29,610
<i>Prevention of Domestic Violence, Office for</i>	1,897	2,276	2,276	2,276	2,276
Local Assistance Grants	543	685	685	685	685
State Operations	1,354	1,591	1,591	1,591	1,591
Personal Service	1,267	1,388	1,388	1,388	1,388
Non-Personal Service	87	203	203	203	203
<i>Public Employment Relations Board</i>	3,096	3,529	3,529	3,529	3,560
State Operations	3,096	3,529	3,529	3,529	3,560
Personal Service	2,894	3,336	3,336	3,336	3,363
Non-Personal Service	202	193	193	193	197
<i>Public Integrity, Commission on</i>	3,628	5,531	5,531	5,531	5,576
State Operations	3,628	5,531	5,531	5,531	5,576
Personal Service	2,899	4,620	4,620	4,620	4,646
Non-Personal Service	729	911	911	911	930
<i>State, Department of</i>	19,361	34,151	19,125	19,125	19,125
Local Assistance Grants	5,671	20,752	6,440	6,440	6,440
State Operations	13,690	13,399	12,685	12,685	12,685
Personal Service	12,113	12,460	12,446	12,446	12,446
Non-Personal Service	1,577	939	239	239	239
<i>Tax Appeals, Division of</i>	2,849	3,040	3,040	3,040	3,040
State Operations	2,849	3,040	3,040	3,040	3,040
Personal Service	2,688	2,870	2,870	2,870	2,870
Non-Personal Service	161	170	170	170	170
<i>Taxation and Finance, Department of</i>	273,777	264,374	264,146	264,146	264,146
Local Assistance Grants	906	926	926	926	926
State Operations	272,871	263,448	263,220	263,220	263,220
Personal Service	231,383	233,693	233,465	233,465	233,465
Non-Personal Service	41,488	29,755	29,755	29,755	29,755
<i>Technology, Office for</i>	426,415	512,706	523,180	523,131	534,861
State Operations	426,415	512,706	523,180	523,131	534,861
Personal Service	277,996	275,888	275,891	275,861	275,861
Non-Personal Service	148,419	236,818	247,289	247,270	259,000
<i>Veterans' Affairs, Division of</i>	12,830	15,725	13,808	13,808	13,873
Local Assistance Grants	7,486	9,387	7,637	7,637	7,637
State Operations	5,344	6,338	6,171	6,171	6,236
Personal Service	4,937	6,046	5,879	5,879	5,938
Non-Personal Service	407	292	292	292	298
<i>Welfare Inspector General, Office of</i>	573	972	972	972	986
State Operations	573	972	972	972	986
Personal Service	472	514	514	514	518
Non-Personal Service	101	458	458	458	468
Functional Total	958,692	1,093,170	1,095,965	1,085,916	1,098,079
ELECTED OFFICIALS					
<i>Audit and Control, Department of</i>	157,820	159,342	159,342	159,342	159,342
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	125,795	127,318	127,318	127,318	127,318

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Personal Service	98,621	100,998	100,998	100,998	100,998
Non-Personal Service	27,174	26,320	26,320	26,320	26,320
Executive Chamber	13,966	13,578	13,578	13,578	13,578
State Operations	13,966	13,578	13,578	13,578	13,578
Personal Service	10,621	11,135	11,469	11,813	11,813
Non-Personal Service	3,345	2,443	2,109	1,765	1,765
Judiciary	2,444,569	2,536,179	2,591,203	2,638,603	2,673,603
Local Assistance Grants	2,437	17,400	17,400	17,400	17,400
State Operations	1,805,478	1,850,076	1,859,100	1,859,100	1,859,100
Personal Service	1,422,377	1,446,576	1,455,600	1,455,600	1,455,600
Non-Personal Service	383,101	403,500	403,500	403,500	403,500
General State Charges	636,654	668,703	714,703	762,103	797,103
Law, Department of	101,795	101,538	101,538	101,538	101,538
State Operations	101,795	101,538	101,538	101,538	101,538
Personal Service	86,997	88,655	88,655	88,655	88,655
Non-Personal Service	14,798	12,883	12,883	12,883	12,883
Legislature	205,758	217,845	217,845	217,845	217,845
State Operations	205,758	217,845	217,845	217,845	217,845
Personal Service	160,777	166,331	166,331	166,331	166,331
Non-Personal Service	44,981	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	366	614	614	614	614
State Operations	366	614	614	614	614
Personal Service	299	498	513	523	523
Non-Personal Service	67	116	101	91	91
Functional Total	2,924,274	3,029,096	3,084,120	3,131,520	3,166,520
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347
Local Assistance Grants	726,338	741,121	759,356	762,710	763,347
State Operations	100	0	0	0	0
Non-Personal Service	100	0	0	0	0
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Local Assistance Grants	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Local Assistance Grants	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	765,376	784,554	791,328	793,882	794,519
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Miscellaneous	(16,662)	797,243	927,443	1,252,443	1,151,443
Local Assistance Grants	(48,439)	506,557	635,057	675,057	753,057
State Operations	19,190	286,266	287,966	572,966	393,966
Personal Service	53	125,554	127,254	147,254	167,254
Non-Personal Service	19,137	160,712	160,712	425,712	226,712
General State Charges	12,587	4,420	4,420	4,420	4,420
Functional Total	4,115,024	5,263,519	5,918,290	6,517,613	6,698,584

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
TOTAL GENERAL FUND SPENDING	<u>54,254,786</u>	<u>57,813,629</u>	<u>60,804,459</u>	<u>63,755,136</u>	<u>66,407,946</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	58,495	68,058	58,708	58,708	58,708
Economic Development, Department of	54,489	69,894	71,857	76,057	76,057
Empire State Development Corporation	85,284	90,924	135,946	135,946	135,946
Olympic Regional Development Authority	3,011	3,011	3,011	3,011	3,011
Functional Total	<u>201,279</u>	<u>231,887</u>	<u>269,522</u>	<u>273,722</u>	<u>273,722</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,276	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	94,818	109,208	98,898	99,002	104,878
Parks, Recreation and Historic Preservation, Office of	114,000	114,994	113,914	114,089	114,089
Functional Total	<u>213,094</u>	<u>228,534</u>	<u>217,144</u>	<u>217,423</u>	<u>223,299</u>
TRANSPORTATION					
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	98,752	114,304	98,720	98,720	98,720
Functional Total	<u>117,093</u>	<u>135,804</u>	<u>120,220</u>	<u>120,220</u>	<u>120,220</u>
HEALTH					
Aging, Office for the	123,306	131,882	132,204	137,277	142,477
Health, Department of	<u>12,744,699</u>	<u>13,434,601</u>	<u>14,139,136</u>	<u>14,856,720</u>	<u>15,605,340</u>
<i>Medical Assistance</i>	11,161,361	11,709,173	11,917,839	12,627,381	13,349,117
<i>Basic Health Plan</i>	0	169,961	642,739	649,142	665,934
<i>Medicaid Administration</i>	677,990	676,497	682,597	670,297	667,997
<i>Public Health</i>	905,348	878,970	895,961	909,900	922,292
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
Functional Total	<u>12,888,826</u>	<u>13,587,719</u>	<u>14,292,341</u>	<u>15,014,998</u>	<u>15,768,818</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,832,633	1,980,718	1,984,819	2,034,650	2,056,569
<i>OCFS</i>	1,746,634	1,893,944	1,896,645	1,945,081	1,967,171
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	16,763	9,954	38,233	38,783	43,783
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
Labor, Department of	7,928	8,288	288	288	288
National and Community Service	687	687	687	687	690
Temporary and Disability Assistance, Office of	<u>1,375,282</u>	<u>1,361,252</u>	<u>1,379,105</u>	<u>1,390,005</u>	<u>1,405,405</u>
<i>Welfare Assistance</i>	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
<i>All Other</i>	237,279	235,344	245,482	246,882	253,282
Functional Total	<u>3,243,875</u>	<u>3,370,860</u>	<u>3,413,093</u>	<u>3,474,374</u>	<u>3,516,696</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	25,078	31,853	36,853	36,853	36,853
<i>OASAS</i>	3,753	10,528	15,528	15,528	15,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	29,472	39,492	40,643	41,909	43,382
Mental Health, Office of	<u>354,423</u>	<u>276,263</u>	<u>317,424</u>	<u>360,282</u>	<u>370,639</u>
<i>OMH</i>	7,567	800	800	800	800
<i>OMH - Other</i>	346,856	275,463	316,624	359,482	369,839
People with Developmental Disabilities, Office for	<u>1,026,736</u>	<u>876,819</u>	<u>896,265</u>	<u>1,224,578</u>	<u>1,347,478</u>
<i>OPWDD</i>	519	0	0	0	0
<i>OPWDD - Other</i>	1,026,217	876,819	896,265	1,224,578	1,347,478
Functional Total	<u>1,435,709</u>	<u>1,224,427</u>	<u>1,291,185</u>	<u>1,663,622</u>	<u>1,798,352</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,645,044	2,693,172	2,641,010	2,641,216	2,641,422
Criminal Justice Services, Division of	161,783	172,814	159,963	154,963	154,963
Disaster Assistance	(8,011)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	38,108	(7,308)	5,518	5,518	5,518
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Military and Naval Affairs, Division of	21,638	23,943	23,268	23,268	23,268
State Police, Division of	608,608	601,612	616,783	616,783	616,783
Statewide Financial System	29,264	30,137	29,711	29,717	29,717
Victim Services, Office of	947	2,788	1,888	1,888	1,888
Functional Total	3,505,023	3,480,152	3,486,444	3,481,656	3,481,921
HIGHER EDUCATION					
City University of New York	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
Higher Education Services Corporation, New York State	1,177,916	1,045,791	1,111,365	1,142,261	1,159,261
State University of New York	713,787	558,959	501,815	501,815	501,315
Functional Total	3,286,750	3,030,857	3,037,517	3,097,354	3,157,348
EDUCATION					
Arts, Council on the	66,103	45,155	45,155	45,155	45,155
Education, Department of	20,533,668	22,307,895	23,742,135	24,837,681	26,264,713
<i>School Aid</i>	18,415,026	20,071,878	21,413,535	22,378,549	23,663,978
<i>Special Education Categorical Programs</i>	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
<i>All Other</i>	667,640	766,514	769,250	786,132	805,635
Functional Total	20,599,771	22,353,050	23,787,290	24,882,836	26,309,868
GENERAL GOVERNMENT					
Budget, Division of the	20,322	24,436	23,895	23,895	23,895
Civil Service, Department of	12,276	12,465	12,451	12,451	12,544
Deferred Compensation Board	37	57	57	57	57
Elections, State Board of	5,961	11,146	8,746	8,746	8,851
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	0	6,971	6,971	6,971	6,971
General Services, Office of	142,293	151,237	153,684	153,684	153,684
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,056	45,056	35,056	35,056
Prevention of Domestic Violence, Office for	1,897	2,276	2,276	2,276	2,276
Public Employment Relations Board	3,096	3,529	3,529	3,529	3,560
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	19,361	34,151	19,125	19,125	19,125
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	273,777	264,374	264,146	264,146	264,146
Technology, Office for	426,415	512,706	523,180	523,131	534,861
Veterans' Affairs, Division of	12,830	15,725	13,808	13,808	13,873
Welfare Inspector General, Office of	573	972	972	972	986
Functional Total	958,692	1,093,170	1,095,965	1,085,916	1,098,079
ELECTED OFFICIALS					
Audit and Control, Department of	157,820	159,342	159,342	159,342	159,342
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	2,444,569	2,536,179	2,591,203	2,638,603	2,673,603
Law, Department of	101,795	101,538	101,538	101,538	101,538
Legislature	205,758	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	2,924,274	3,029,096	3,084,120	3,131,520	3,166,520
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	765,376	784,554	791,328	793,882	794,519
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Miscellaneous	(16,662)	797,243	927,443	1,252,443	1,151,443
Functional Total	4,115,024	5,263,519	5,918,290	6,517,613	6,698,584

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
TOTAL GENERAL FUND SPENDING	54,254,786	57,813,629	60,804,459	63,755,136	66,407,946

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,275	35,197	27,321	27,321	27,321
Economic Development, Department of	36,404	49,716	51,879	56,879	56,879
Empire State Development Corporation	85,234	90,074	135,096	135,096	135,096
Functional Total	146,913	174,987	214,296	219,296	219,296
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,889	15,225	5,200	5,200	5,200
Parks, Recreation and Historic Preservation, Office of	3,957	3,655	2,575	2,750	2,750
Functional Total	6,846	18,880	7,775	7,950	7,950
TRANSPORTATION					
Transportation, Department of	97,670	113,151	97,551	97,551	97,551
Functional Total	97,670	113,151	97,551	97,551	97,551
HEALTH					
Aging, Office for the	121,870	130,576	131,346	136,419	141,619
Health, Department of	12,418,332	12,962,761	13,645,236	14,387,852	15,140,590
<i>Medical Assistance</i>	11,161,361	11,709,173	11,917,839	12,627,381	13,349,117
<i>Basic Health Plan</i>	0	134,250	601,095	620,330	638,940
<i>Medicaid Administration</i>	515,184	386,431	374,411	374,411	374,411
<i>Public Health</i>	741,787	732,907	751,891	765,730	778,122
Functional Total	12,540,202	13,093,337	13,776,582	14,524,271	15,282,209
SOCIAL WELFARE					
Children and Family Services, Office of	1,598,052	1,754,414	1,766,194	1,814,095	1,830,072
<i>OCFS</i>	1,512,053	1,667,640	1,678,020	1,724,526	1,740,674
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	10,280	5,404	33,683	34,233	39,233
Labor, Department of	7,655	8,000	0	0	0
National and Community Service	450	350	350	350	350
Temporary and Disability Assistance, Office of	1,235,758	1,218,164	1,236,119	1,247,019	1,262,419
<i>Welfare Assistance</i>	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
<i>All Other</i>	97,755	92,256	102,496	103,896	110,296
Functional Total	2,852,195	2,986,332	3,036,346	3,095,697	3,132,074
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	25,078	31,853	36,853	36,853	36,853
<i>OASAS</i>	3,753	10,528	15,528	15,528	15,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170
Mental Health, Office of	354,166	275,463	316,624	359,482	369,839
<i>OMH</i>	7,310	0	0	0	0
<i>OMH - Other</i>	346,856	275,463	316,624	359,482	369,839
People with Developmental Disabilities, Office for	1,026,736	876,819	896,265	1,224,578	1,347,478
<i>OPWDD</i>	519	0	0	0	0
<i>OPWDD - Other</i>	1,026,217	876,819	896,265	1,224,578	1,347,478
Functional Total	1,406,150	1,184,305	1,249,912	1,621,083	1,754,340
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,939	6,022	6,022	6,022	6,022
Criminal Justice Services, Division of	127,011	138,350	125,499	120,499	120,499
Disaster Assistance	2,726	0	0	0	0
Homeland Security and Emergency Services, Division of	31,256	(11,804)	4,222	4,222	4,222
Military and Naval Affairs, Division of	724	911	911	911	911
Victim Services, Office of	947	2,788	1,888	1,888	1,888
Functional Total	168,603	136,267	138,542	133,542	133,542
HIGHER EDUCATION					
City University of New York	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
Higher Education Services Corporation, New York State	1,177,916	1,045,791	1,111,365	1,142,261	1,159,261
State University of New York	486,563	503,258	501,815	501,815	501,315

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Functional Total	3,059,526	2,975,156	3,037,517	3,097,354	3,157,348
EDUCATION					
Arts, Council on the	62,791	40,835	40,835	40,835	40,835
Education, Department of	20,484,699	22,258,312	23,692,552	24,788,098	26,215,130
<i>School Aid</i>	18,415,026	20,071,878	21,413,535	22,378,549	23,663,978
<i>Special Education Categorical Programs</i>	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
<i>All Other</i>	618,671	716,931	719,667	736,549	756,052
Functional Total	20,547,490	22,299,147	23,733,387	24,828,933	26,255,965
GENERAL GOVERNMENT					
Elections, State Board of	253	1,800	0	0	0
Prevention of Domestic Violence, Office for	543	685	685	685	685
State, Department of	5,671	20,752	6,440	6,440	6,440
Taxation and Finance, Department of	906	926	926	926	926
Veterans' Affairs, Division of	7,486	9,387	7,637	7,637	7,637
Functional Total	14,859	33,550	15,688	15,688	15,688
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	2,437	17,400	17,400	17,400	17,400
Functional Total	34,462	49,424	49,424	49,424	49,424
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,338	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	765,276	784,554	791,328	793,882	794,519
ALL OTHER CATEGORIES					
Miscellaneous	(48,439)	506,557	635,057	675,057	753,057
Functional Total	(48,439)	506,557	635,057	675,057	753,057
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>41,591,753</u>	<u>44,355,647</u>	<u>46,783,405</u>	<u>49,159,728</u>	<u>51,652,963</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	33,220	32,861	31,387	31,387	31,387
Economic Development, Department of	18,085	20,178	19,978	19,178	19,178
Empire State Development Corporation	50	850	850	850	850
Olympic Regional Development Authority	3,011	3,011	3,011	3,011	3,011
Functional Total	54,366	56,900	55,226	54,426	54,426
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,276	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	91,929	93,983	93,698	93,802	99,678
Parks, Recreation and Historic Preservation, Office of	110,043	111,339	111,339	111,339	111,339
Functional Total	206,248	209,654	209,369	209,473	215,349
TRANSPORTATION					
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	1,082	1,153	1,169	1,169	1,169
Functional Total	19,423	22,653	22,669	22,669	22,669
HEALTH					
Aging, Office for the	1,436	1,306	858	858	858
Health, Department of	326,367	471,840	493,900	468,868	464,750
<i>Basic Health Plan</i>	0	35,711	41,644	28,812	26,994
<i>Medicaid Administration</i>	162,806	290,066	308,186	295,886	293,586
<i>Public Health</i>	163,561	146,063	144,070	144,170	144,170
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
Functional Total	348,624	494,382	515,759	490,727	486,609
SOCIAL WELFARE					
Children and Family Services, Office of	234,581	226,304	218,625	220,555	226,497
<i>OCFS</i>	234,581	226,304	218,625	220,555	226,497
Housing and Community Renewal, Division of	6,483	4,550	4,550	4,550	4,550
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
Labor, Department of	273	288	288	288	288
National and Community Service	237	337	337	337	340
Temporary and Disability Assistance, Office of	139,524	143,088	142,986	142,986	142,986
<i>All Other</i>	139,524	143,088	142,986	142,986	142,986
Functional Total	391,680	384,528	376,747	378,677	384,622
MENTAL HYGIENE					
Justice Center	29,302	39,322	40,473	41,739	43,212
Mental Health, Office of	257	800	800	800	800
<i>OMH</i>	257	800	800	800	800
Functional Total	29,559	40,122	41,273	42,539	44,012
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,639,105	2,687,150	2,634,988	2,635,194	2,635,400
Criminal Justice Services, Division of	34,772	34,464	34,464	34,464	34,464
Disaster Assistance	(10,737)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	6,852	4,496	1,296	1,296	1,296
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
Military and Naval Affairs, Division of	20,914	23,032	22,357	22,357	22,357
State Police, Division of	608,608	601,612	616,783	616,783	616,783
Statewide Financial System	29,264	30,137	29,711	29,717	29,717
Functional Total	3,336,420	3,343,885	3,347,902	3,348,114	3,348,379
HIGHER EDUCATION					
State University of New York	9,459	0	0	0	0
Functional Total	9,459	0	0	0	0
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Arts, Council on the	3,312	4,320	4,320	4,320	4,320
Education, Department of	48,969	49,583	49,583	49,583	49,583
<i>All Other</i>	48,969	49,583	49,583	49,583	49,583
Functional Total	<u>52,281</u>	<u>53,903</u>	<u>53,903</u>	<u>53,903</u>	<u>53,903</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,322	24,436	23,895	23,895	23,895
Civil Service, Department of	12,276	12,465	12,451	12,451	12,544
Deferred Compensation Board	37	57	57	57	57
Elections, State Board of	5,708	9,346	8,746	8,746	8,851
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	0	6,971	6,971	6,971	6,971
General Services, Office of	142,293	151,237	153,684	153,684	153,684
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,056	45,056	35,056	35,056
Prevention of Domestic Violence, Office for	1,354	1,591	1,591	1,591	1,591
Public Employment Relations Board	3,096	3,529	3,529	3,529	3,560
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	13,690	13,399	12,685	12,685	12,685
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	272,871	263,448	263,220	263,220	263,220
Technology, Office for	426,415	512,706	523,180	523,131	534,861
Veterans' Affairs, Division of	5,344	6,338	6,171	6,171	6,236
Welfare Inspector General, Office of	573	972	972	972	986
Functional Total	<u>943,833</u>	<u>1,059,620</u>	<u>1,080,277</u>	<u>1,070,228</u>	<u>1,082,391</u>
ELECTED OFFICIALS					
Audit and Control, Department of	125,795	127,318	127,318	127,318	127,318
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	1,805,478	1,850,076	1,859,100	1,859,100	1,859,100
Law, Department of	101,795	101,538	101,538	101,538	101,538
Legislature	205,758	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	<u>2,253,158</u>	<u>2,310,969</u>	<u>2,319,993</u>	<u>2,319,993</u>	<u>2,319,993</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	19,190	286,266	287,966	572,966	393,966
Functional Total	<u>19,190</u>	<u>286,266</u>	<u>287,966</u>	<u>572,966</u>	<u>393,966</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>7,664,341</u></u>	<u><u>8,262,882</u></u>	<u><u>8,311,084</u></u>	<u><u>8,563,715</u></u>	<u><u>8,406,319</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,828	26,236	25,662	25,662	25,662
Economic Development, Department of	11,493	13,526	13,526	13,526	13,526
Empire State Development Corporation	0	425	425	425	425
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Functional Total	39,869	42,735	42,161	42,161	42,161
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,893	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	82,168	84,595	84,445	84,549	84,549
Parks, Recreation and Historic Preservation, Office of	105,054	103,734	103,734	103,734	103,734
Functional Total	191,115	192,356	192,206	192,310	192,310
HEALTH					
Aging, Office for the	1,256	1,125	677	677	677
Health, Department of	120,479	128,830	152,782	158,453	163,602
<i>Basic Health Plan</i>	0	683	804	534	488
<i>Medicaid Administration</i>	29,326	44,350	66,267	72,208	77,403
<i>Public Health</i>	91,153	83,797	85,711	85,711	85,711
Medicaid Inspector General, Office of the	16,617	16,705	16,470	16,470	16,470
Functional Total	138,352	146,660	169,929	175,600	180,749
SOCIAL WELFARE					
Children and Family Services, Office of	167,253	162,451	157,032	157,032	158,615
<i>OCFS</i>	167,253	162,451	157,032	157,032	158,615
Housing and Community Renewal, Division of	3,958	4,199	4,199	4,199	4,199
Human Rights, Division of	8,919	9,461	9,461	9,461	9,461
Labor, Department of	87	88	88	88	88
National and Community Service	229	328	328	328	331
Temporary and Disability Assistance, Office of	67,810	59,087	58,985	58,985	58,985
<i>All Other</i>	67,810	59,087	58,985	58,985	58,985
Functional Total	248,256	235,614	230,093	230,093	231,679
MENTAL HYGIENE					
Justice Center	18,713	25,645	26,423	27,316	28,416
Functional Total	18,713	25,645	26,423	27,316	28,416
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,037	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,102,252	2,176,760	2,099,598	2,099,804	2,100,010
Criminal Justice Services, Division of	26,643	25,833	25,833	25,833	25,833
Disaster Assistance	(9,310)	0	0	0	0
Homeland Security and Emergency Services, Division of	4,789	1,296	1,296	1,296	1,296
Judicial Conduct, Commission on	4,028	4,181	4,181	4,181	4,212
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	15,329	16,996	16,321	16,321	16,321
State Police, Division of	560,114	558,793	573,964	573,964	573,964
Statewide Financial System	9,282	11,112	10,638	10,638	10,638
Functional Total	2,715,164	2,797,398	2,734,258	2,734,464	2,734,701
HIGHER EDUCATION					
State University of New York	276	0	0	0	0
Functional Total	276	0	0	0	0
EDUCATION					
Arts, Council on the	2,132	2,498	2,498	2,498	2,498
Education, Department of	27,142	25,775	25,775	25,775	25,775
<i>All Other</i>	27,142	25,775	25,775	25,775	25,775
Functional Total	29,274	28,273	28,273	28,273	28,273
GENERAL GOVERNMENT					
Budget, Division of the	18,684	22,230	22,216	22,216	22,216

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Civil Service, Department of	11,785	12,064	12,050	12,050	12,138
Deferred Compensation Board	24	32	32	32	32
Elections, State Board of	4,680	5,607	5,573	5,539	5,682
Employee Relations, Office of	2,178	2,510	2,510	2,510	2,529
Gaming Commission, New York State	0	4,482	4,482	4,482	4,482
General Services, Office of	58,419	71,150	75,393	75,393	75,393
Inspector General, Office of the	6,217	6,360	6,360	6,360	6,408
Labor Management Committees	6,402	5,446	5,446	5,446	5,446
Prevention of Domestic Violence, Office for	1,267	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,336	3,336	3,336	3,363
Public Integrity, Commission on	2,899	4,620	4,620	4,620	4,646
State, Department of	12,113	12,460	12,446	12,446	12,446
Tax Appeals, Division of	2,688	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	231,383	233,693	233,465	233,465	233,465
Technology, Office for	277,996	275,888	275,891	275,861	275,861
Veterans' Affairs, Division of	4,937	6,046	5,879	5,879	5,938
Welfare Inspector General, Office of	472	514	514	514	518
Functional Total	<u>645,038</u>	<u>670,696</u>	<u>674,471</u>	<u>674,407</u>	<u>674,821</u>
ELECTED OFFICIALS					
Audit and Control, Department of	98,621	100,998	100,998	100,998	100,998
Executive Chamber	10,621	11,135	11,469	11,813	11,813
Judiciary	1,422,377	1,446,576	1,455,600	1,455,600	1,455,600
Law, Department of	86,997	88,655	88,655	88,655	88,655
Legislature	160,777	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	498	513	523	523
Functional Total	<u>1,779,692</u>	<u>1,814,193</u>	<u>1,823,566</u>	<u>1,823,920</u>	<u>1,823,920</u>
ALL OTHER CATEGORIES					
Miscellaneous	53	125,554	127,254	147,254	167,254
Functional Total	<u>53</u>	<u>125,554</u>	<u>127,254</u>	<u>147,254</u>	<u>167,254</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>5,805,802</u></u>	<u><u>6,079,124</u></u>	<u><u>6,048,634</u></u>	<u><u>6,075,798</u></u>	<u><u>6,104,284</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	7,392	6,625	5,725	5,725	5,725
Economic Development, Department of	6,592	6,652	6,452	5,652	5,652
Empire State Development Corporation	50	425	425	425	425
Olympic Regional Development Authority	463	463	463	463	463
Functional Total	14,497	14,165	13,065	12,265	12,265
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	383	305	305	305	305
Environmental Conservation, Department of	9,761	9,388	9,253	9,253	15,129
Parks, Recreation and Historic Preservation, Office of	4,989	7,605	7,605	7,605	7,605
Functional Total	15,133	17,298	17,163	17,163	23,039
TRANSPORTATION					
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	1,082	1,153	1,169	1,169	1,169
Functional Total	19,423	22,653	22,669	22,669	22,669
HEALTH					
Aging, Office for the	180	181	181	181	181
Health, Department of	205,888	343,010	341,118	310,415	301,148
<i>Basic Health Plan</i>	0	35,028	40,840	28,278	26,506
<i>Medicaid Administration</i>	133,480	245,716	241,919	223,678	216,183
<i>Public Health</i>	72,408	62,266	58,359	58,459	58,459
Medicaid Inspector General, Office of the	4,204	4,531	4,531	4,531	4,531
Functional Total	210,272	347,722	345,830	315,127	305,860
SOCIAL WELFARE					
Children and Family Services, Office of	67,328	63,853	61,593	63,523	67,882
<i>OCFS</i>	67,328	63,853	61,593	63,523	67,882
Housing and Community Renewal, Division of	2,525	351	351	351	351
Human Rights, Division of	1,663	500	500	500	500
Labor, Department of	186	200	200	200	200
National and Community Service	8	9	9	9	9
Temporary and Disability Assistance, Office of	71,714	84,001	84,001	84,001	84,001
<i>All Other</i>	71,714	84,001	84,001	84,001	84,001
Functional Total	143,424	148,914	146,654	148,584	152,943
MENTAL HYGIENE					
Justice Center	10,589	13,677	14,050	14,423	14,796
Mental Health, Office of	257	800	800	800	800
<i>OMH</i>	257	800	800	800	800
Functional Total	10,846	14,477	14,850	15,223	15,596
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	185	237	237	237	237
Correctional Services, Department of	536,853	510,390	535,390	535,390	535,390
Criminal Justice Services, Division of	8,129	8,631	8,631	8,631	8,631
Disaster Assistance	(1,427)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	2,063	3,200	0	0	0
Judicial Conduct, Commission on	1,356	1,403	1,403	1,403	1,431
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	25	25	25	25
Military and Naval Affairs, Division of	5,585	6,036	6,036	6,036	6,036
State Police, Division of	48,494	42,819	42,819	42,819	42,819
Statewide Financial System	19,982	19,025	19,073	19,079	19,079
Functional Total	621,256	546,487	613,644	613,650	613,678
HIGHER EDUCATION					
State University of New York	9,183	0	0	0	0
Functional Total	9,183	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
EDUCATION					
Arts, Council on the	1,180	1,822	1,822	1,822	1,822
Education, Department of	21,827	23,808	23,808	23,808	23,808
<i>All Other</i>	21,827	23,808	23,808	23,808	23,808
Functional Total	<u>23,007</u>	<u>25,630</u>	<u>25,630</u>	<u>25,630</u>	<u>25,630</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,638	2,206	1,679	1,679	1,679
Civil Service, Department of	491	401	401	401	406
Deferred Compensation Board	13	25	25	25	25
Elections, State Board of	1,028	3,739	3,173	3,207	3,169
Employee Relations, Office of	32	71	71	71	72
Gaming Commission, New York State	0	2,489	2,489	2,489	2,489
General Services, Office of	83,874	80,087	78,291	78,291	78,291
Inspector General, Office of the	852	557	557	557	569
Labor Management Committees	17,696	29,610	39,610	29,610	29,610
Prevention of Domestic Violence, Office for	87	203	203	203	203
Public Employment Relations Board	202	193	193	193	197
Public Integrity, Commission on	729	911	911	911	930
State, Department of	1,577	939	239	239	239
Tax Appeals, Division of	161	170	170	170	170
Taxation and Finance, Department of	41,488	29,755	29,755	29,755	29,755
Technology, Office for	148,419	236,818	247,289	247,270	259,000
Veterans' Affairs, Division of	407	292	292	292	298
Welfare Inspector General, Office of	101	458	458	458	468
Functional Total	<u>298,795</u>	<u>388,924</u>	<u>405,806</u>	<u>395,821</u>	<u>407,570</u>
ELECTED OFFICIALS					
Audit and Control, Department of	27,174	26,320	26,320	26,320	26,320
Executive Chamber	3,345	2,443	2,109	1,765	1,765
Judiciary	383,101	403,500	403,500	403,500	403,500
Law, Department of	14,798	12,883	12,883	12,883	12,883
Legislature	44,981	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	67	116	101	91	91
Functional Total	<u>473,466</u>	<u>496,776</u>	<u>496,427</u>	<u>496,073</u>	<u>496,073</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	19,137	160,712	160,712	425,712	226,712
Functional Total	<u>19,137</u>	<u>160,712</u>	<u>160,712</u>	<u>425,712</u>	<u>226,712</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u>1,858,539</u>	<u>2,183,758</u>	<u>2,262,450</u>	<u>2,487,917</u>	<u>2,302,035</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
HIGHER EDUCATION					
State University of New York	217,765	55,701	0	0	0
Functional Total	<u>217,765</u>	<u>55,701</u>	<u>0</u>	<u>0</u>	<u>0</u>
ELECTED OFFICIALS					
Judiciary	636,654	668,703	714,703	762,103	797,103
Functional Total	<u>636,654</u>	<u>668,703</u>	<u>714,703</u>	<u>762,103</u>	<u>797,103</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Miscellaneous	12,587	4,420	4,420	4,420	4,420
Functional Total	<u>4,144,273</u>	<u>4,470,696</u>	<u>4,995,267</u>	<u>5,269,590</u>	<u>5,551,561</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>4,998,692</u></u>	<u><u>5,195,100</u></u>	<u><u>5,709,970</u></u>	<u><u>6,031,693</u></u>	<u><u>6,348,664</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	79,519	90,695	81,483	81,615	81,682
Local Assistance Grants	25,275	35,197	27,321	27,321	27,321
State Operations	52,866	53,905	52,434	52,434	52,434
Personal Service	28,342	29,090	28,516	28,516	28,516
Non-Personal Service/Indirect Costs	24,524	24,815	23,918	23,918	23,918
General State Charges	1,378	1,593	1,728	1,860	1,927
<i>Alcoholic Beverage Control, Division of</i>	17,636	17,394	17,551	17,728	17,728
State Operations	13,095	12,751	12,753	12,755	12,755
Personal Service	7,657	8,147	8,147	8,147	8,147
Non-Personal Service/Indirect Costs	5,438	4,604	4,606	4,608	4,608
General State Charges	4,541	4,643	4,798	4,973	4,973
<i>Economic Development, Department of</i>	56,467	83,872	79,835	83,035	83,035
Local Assistance Grants	36,404	61,716	57,879	61,879	61,879
State Operations	20,063	22,128	21,928	21,128	21,128
Personal Service	11,493	13,629	13,629	13,629	13,629
Non-Personal Service/Indirect Costs	8,570	8,499	8,299	7,499	7,499
General State Charges	0	28	28	28	28
<i>Empire State Development Corporation</i>	85,284	90,924	135,946	135,946	135,946
Local Assistance Grants	85,234	90,074	135,096	135,096	135,096
State Operations	50	850	850	850	850
Personal Service	0	425	425	425	425
Non-Personal Service/Indirect Costs	50	425	425	425	425
<i>Energy Research and Development Authority</i>	11,972	0	0	0	0
Local Assistance Grants	5,527	0	0	0	0
State Operations	4,841	0	0	0	0
Personal Service	3,622	0	0	0	0
Non-Personal Service/Indirect Costs	1,219	0	0	0	0
General State Charges	1,604	0	0	0	0
<i>Financial Services, Department of</i>	491,138	376,710	377,358	379,038	379,170
Local Assistance Grants	223,476	76,664	76,414	76,289	76,289
State Operations	191,287	211,651	210,417	209,717	209,717
Personal Service	137,783	153,580	153,580	153,580	153,580
Non-Personal Service/Indirect Costs	53,504	58,071	56,837	56,137	56,137
General State Charges	76,375	88,395	90,527	93,032	93,164
<i>Olympic Regional Development Authority</i>	3,011	3,161	3,161	3,161	3,161
State Operations	3,011	3,161	3,161	3,161	3,161
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	463	613	613	613	613
<i>Public Service Department</i>	69,084	73,322	74,192	75,552	76,951
Local Assistance Grants	0	188	188	188	188
State Operations	47,496	49,708	49,630	49,713	49,713
Personal Service	39,760	42,089	42,075	42,156	42,156
Non-Personal Service/Indirect Costs	7,736	7,619	7,555	7,557	7,557
General State Charges	21,588	23,426	24,374	25,651	27,050
Functional Total	814,111	736,078	769,526	776,075	777,673
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,276	4,332	4,332	4,332	4,332
State Operations	4,276	4,332	4,332	4,332	4,332
Personal Service	3,893	4,027	4,027	4,027	4,027
Non-Personal Service/Indirect Costs	383	305	305	305	305
<i>Environmental Conservation, Department of</i>	277,931	299,294	290,256	262,511	263,566
Local Assistance Grants	2,889	15,225	5,200	5,200	5,200

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
State Operations	229,795	238,313	238,005	216,269	217,174
Personal Service	174,406	181,687	181,779	170,105	170,271
Non-Personal Service/Indirect Costs	55,389	56,626	56,226	46,164	46,903
General State Charges	45,247	45,756	47,051	41,042	41,192
<i>Parks, Recreation and Historic Preservation, Office of</i>	191,888	190,109	190,911	191,086	191,086
Local Assistance Grants	8,444	8,505	7,425	7,600	7,600
State Operations	179,509	177,420	177,769	177,768	177,768
Personal Service	133,928	137,303	137,678	137,678	137,678
Non-Personal Service/Indirect Costs	45,581	40,117	40,091	40,090	40,090
General State Charges	2,829	3,184	3,217	3,218	3,218
Capital Projects	1,106	1,000	2,500	2,500	2,500
Functional Total	474,095	493,735	485,499	457,929	458,984
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	81,206	87,952	88,637	89,382	89,494
State Operations	58,500	62,848	62,848	62,848	62,848
Personal Service	43,692	45,054	45,054	45,054	45,054
Non-Personal Service/Indirect Costs	14,808	17,794	17,794	17,794	17,794
General State Charges	22,591	25,104	25,789	26,534	26,646
Capital Projects	115	0	0	0	0
<i>Thruway Authority, New York State</i>	18,341	21,500	21,500	21,500	21,500
State Operations	18,341	21,500	21,500	21,500	21,500
Non-Personal Service/Indirect Costs	18,341	21,500	21,500	21,500	21,500
<i>Transportation, Department of</i>	4,860,960	4,886,451	4,941,988	5,013,417	5,077,276
Local Assistance Grants	4,834,115	4,861,827	4,916,165	4,987,387	5,050,999
State Operations	22,502	20,397	21,430	21,430	21,430
Personal Service	6,980	7,645	7,646	7,646	7,646
Non-Personal Service/Indirect Costs	15,522	12,752	13,784	13,784	13,784
General State Charges	4,242	4,227	4,393	4,600	4,847
Capital Projects	101	0	0	0	0
Functional Total	4,960,507	4,995,903	5,052,125	5,124,299	5,188,270
HEALTH					
<i>Aging, Office for the</i>	123,306	131,883	132,205	137,278	142,478
Local Assistance Grants	121,870	130,576	131,346	136,419	141,619
State Operations	1,436	1,307	859	859	859
Personal Service	1,256	1,125	677	677	677
Non-Personal Service/Indirect Costs	180	182	182	182	182
<i>Health, Department of</i>	19,114,503	19,835,721	20,563,973	21,344,072	22,102,598
Medical Assistance	16,275,220	16,894,090	17,185,792	17,941,871	18,662,461
Local Assistance Grants	16,275,220	16,894,090	17,185,792	17,941,871	18,662,461
Basic Health Plan	0	169,961	642,739	649,142	665,934
Local Assistance Grants	0	134,250	601,095	620,330	638,940
State Operations	0	35,711	41,644	28,812	26,994
Personal Service	0	683	804	534	488
Non-Personal Service/Indirect Costs	0	35,028	40,840	28,278	26,506
Medicaid Administration	677,990	676,497	682,597	670,297	667,997
Local Assistance Grants	515,184	386,431	374,411	374,411	374,411
State Operations	162,806	290,066	308,186	295,886	293,586
Personal Service	29,326	44,350	66,267	72,208	77,403
Non-Personal Service/Indirect Costs	133,480	245,716	241,919	223,678	216,183
Public Health	2,161,293	2,095,173	2,052,845	2,082,762	2,106,206
Local Assistance Grants	1,718,844	1,661,096	1,622,035	1,650,834	1,673,863
State Operations	442,449	434,077	430,810	431,928	432,343

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Personal Service	229,575	219,367	218,220	218,231	218,235
Non-Personal Service/Indirect Costs	181,988	184,171	181,257	182,357	182,765
General State Charges	30,886	30,539	31,333	31,340	31,343
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
State Operations	20,821	21,236	21,001	21,001	21,001
Personal Service	16,617	16,705	16,470	16,470	16,470
Non-Personal Service/Indirect Costs	4,204	4,531	4,531	4,531	4,531
Stem Cell and Innovation	33,483	29,785	29,785	29,785	29,785
State Operations	33,294	29,785	29,785	29,785	29,785
Personal Service	368	463	463	463	463
Non-Personal Service/Indirect Costs	32,926	29,322	29,322	29,322	29,322
General State Charges	189	0	0	0	0
Functional Total	19,292,113	20,018,625	20,746,964	21,532,136	22,295,862
SOCIAL WELFARE					
Children and Family Services, Office of	1,864,842	2,019,475	2,023,576	2,073,404	2,095,936
OCFS	1,778,843	1,932,701	1,935,402	1,983,835	2,006,538
Local Assistance Grants	1,514,779	1,671,222	1,681,602	1,728,108	1,744,256
State Operations	263,693	258,821	251,142	253,069	259,624
Personal Service	170,077	165,755	160,336	160,333	161,948
Non-Personal Service/Indirect Costs	93,616	93,066	90,806	92,736	97,676
General State Charges	371	2,658	2,658	2,658	2,658
OCFS - Other	85,999	86,774	88,174	89,569	89,398
Local Assistance Grants	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	77,527	71,883	100,162	100,712	105,712
Local Assistance Grants	11,531	6,256	34,535	35,085	40,085
State Operations	51,036	49,246	49,246	49,246	49,246
Personal Service	38,995	40,403	40,403	40,403	40,403
Non-Personal Service/Indirect Costs	12,041	8,843	8,843	8,843	8,843
General State Charges	14,960	16,381	16,381	16,381	16,381
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
State Operations	10,582	9,961	9,961	9,961	9,961
Personal Service	8,919	9,461	9,461	9,461	9,461
Non-Personal Service/Indirect Costs	1,663	500	500	500	500
Labor, Department of	71,294	73,119	65,119	65,119	65,119
Local Assistance Grants	7,664	8,150	150	150	150
State Operations	45,973	46,519	46,519	46,519	46,519
Personal Service	30,078	32,618	32,618	32,618	32,618
Non-Personal Service/Indirect Costs	15,895	13,901	13,901	13,901	13,901
General State Charges	17,657	18,450	18,450	18,450	18,450
National and Community Service	687	687	687	687	690
Local Assistance Grants	450	350	350	350	350
State Operations	237	337	337	337	340
Personal Service	229	328	328	328	331
Non-Personal Service/Indirect Costs	8	9	9	9	9
Temporary and Disability Assistance, Office of	1,375,356	1,361,452	1,379,305	1,390,205	1,405,605
Welfare Assistance	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
Local Assistance Grants	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
All Other	237,353	235,544	245,682	247,082	253,482
Local Assistance Grants	97,755	92,256	102,496	103,896	110,296
State Operations	139,598	143,288	143,186	143,186	143,186
Personal Service	67,810	59,087	58,985	58,985	58,985
Non-Personal Service/Indirect Costs	71,788	84,201	84,201	84,201	84,201

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Functional Total	3,400,288	3,536,577	3,578,810	3,640,088	3,683,023
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	409,706	427,523	439,853	455,575	469,528
OASAS	325,791	342,667	357,078	371,689	384,017
Local Assistance Grants	282,132	299,925	313,677	327,347	338,525
State Operations	30,187	29,060	29,297	29,529	29,814
Personal Service	20,250	20,965	21,028	21,091	21,212
Non-Personal Service/Indirect Costs	9,937	8,095	8,269	8,438	8,602
General State Charges	13,472	13,682	14,104	14,813	15,678
OASAS - Other	83,915	84,856	82,775	83,886	85,511
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	44,341	44,008	42,003	42,301	42,696
Personal Service	32,857	34,295	32,340	32,444	32,637
Non-Personal Service/Indirect Costs	11,484	9,713	9,663	9,857	10,059
General State Charges	18,249	19,523	19,447	20,260	21,490
Justice Center	31,652	41,925	43,155	44,531	46,139
Local Assistance Grants	600	620	620	620	620
State Operations	30,470	40,605	41,796	43,109	44,639
Personal Service	19,845	26,893	27,710	28,649	29,805
Non-Personal Service/Indirect Costs	10,625	13,712	14,086	14,460	14,834
General State Charges	582	700	739	802	880
Mental Health, Office of	3,146,926	3,225,391	3,292,840	3,440,568	3,567,595
OMH	1,342,969	1,316,044	1,463,074	1,548,377	1,613,318
Local Assistance Grants	810,344	908,527	932,543	1,007,016	1,054,282
State Operations	336,351	300,028	358,334	362,066	367,469
Personal Service	270,100	243,244	297,273	299,633	303,663
Non-Personal Service/Indirect Costs	66,251	56,784	61,061	62,433	63,806
General State Charges	196,274	107,489	172,197	179,295	191,567
OMH - Other	1,803,957	1,909,347	1,829,766	1,892,191	1,954,277
Local Assistance Grants	346,856	275,463	316,624	359,482	369,839
State Operations	1,047,217	1,124,545	1,041,363	1,043,127	1,060,119
Personal Service	807,039	890,423	805,241	803,003	814,123
Non-Personal Service/Indirect Costs	240,178	234,122	236,122	240,124	245,996
General State Charges	409,884	509,339	471,779	489,582	524,319
Mental Hygiene, Department of	228	0	0	0	0
State Operations	228	0	0	0	0
Non-Personal Service/Indirect Costs	228	0	0	0	0
People with Developmental Disabilities, Office for	3,465,088	3,178,504	3,183,314	3,538,376	3,720,608
OPWDD	433,907	335,536	378,068	365,068	365,068
Local Assistance Grants	433,850	335,355	377,887	364,887	364,887
State Operations	57	181	181	181	181
Non-Personal Service/Indirect Costs	57	181	181	181	181
OPWDD - Other	3,031,181	2,842,968	2,805,246	3,173,308	3,355,540
Local Assistance Grants	1,027,577	876,819	896,265	1,224,578	1,347,478
State Operations	1,374,122	1,335,029	1,282,249	1,294,145	1,311,078
Personal Service	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
Non-Personal Service/Indirect Costs	261,618	213,941	211,338	216,364	222,155
General State Charges	629,482	631,120	626,732	654,585	696,984
Functional Total	7,053,600	6,873,343	6,959,162	7,479,050	7,803,870
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
State Operations	2,222	2,651	2,651	2,651	2,651
Personal Service	2,037	2,414	2,414	2,414	2,414
Non-Personal Service/Indirect Costs	185	237	237	237	237
Correctional Services, Department of	2,647,170	2,696,145	2,643,988	2,644,198	2,644,404
Local Assistance Grants	5,939	6,022	6,022	6,022	6,022
State Operations	2,641,151	2,689,996	2,637,834	2,638,040	2,638,246
Personal Service	2,102,399	2,176,979	2,099,817	2,100,023	2,100,229
Non-Personal Service/Indirect Costs	538,752	513,017	538,017	538,017	538,017
General State Charges	80	127	132	136	136
Criminal Justice Services, Division of	194,721	209,733	196,885	191,885	191,885
Local Assistance Grants	156,998	170,087	157,236	152,236	152,236
State Operations	37,663	39,561	39,561	39,561	39,561
Personal Service	26,862	26,225	26,225	26,225	26,225
Non-Personal Service/Indirect Costs	10,801	13,336	13,336	13,336	13,336
General State Charges	60	85	88	88	88
Disaster Assistance	(8,011)	(45,309)	0	0	0
Local Assistance Grants	2,726	0	0	0	0
State Operations	(10,737)	(45,309)	0	0	0
Personal Service	(9,310)	0	0	0	0
Non-Personal Service/Indirect Costs	(1,427)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	103,159	59,691	116,922	92,697	64,970
Local Assistance Grants	65,440	31,112	92,519	68,273	40,523
State Operations	37,332	27,750	23,551	23,551	23,551
Personal Service	14,217	13,581	13,581	13,581	13,581
Non-Personal Service/Indirect Costs	23,115	14,169	9,970	9,970	9,970
General State Charges	387	829	852	873	896
Indigent Legal Services, Office of	52,689	68,017	105,967	104,567	104,567
Local Assistance Grants	51,123	65,000	103,000	101,600	101,600
State Operations	1,051	2,489	2,239	2,239	2,239
Personal Service	928	1,454	1,704	1,704	1,704
Non-Personal Service/Indirect Costs	123	1,035	535	535	535
General State Charges	515	528	728	728	728
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
State Operations	5,384	5,584	5,584	5,584	5,643
Personal Service	4,028	4,181	4,181	4,181	4,212
Non-Personal Service/Indirect Costs	1,356	1,403	1,403	1,403	1,431
Judicial Nomination, Commission on	24	30	30	30	30
State Operations	24	30	30	30	30
Non-Personal Service/Indirect Costs	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
State Operations	12	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	12	25	25	25	25
Military and Naval Affairs, Division of	25,302	27,250	26,575	26,575	26,575
Local Assistance Grants	724	911	911	911	911
State Operations	24,261	26,259	25,584	25,584	25,584
Personal Service	15,759	17,789	17,114	17,114	17,114
Non-Personal Service/Indirect Costs	8,502	8,470	8,470	8,470	8,470
General State Charges	317	80	80	80	80
State Police, Division of	669,396	642,113	653,584	653,726	653,888
State Operations	666,777	639,402	650,773	650,773	650,773
Personal Service	571,632	570,599	585,770	585,770	585,770
Non-Personal Service/Indirect Costs	95,145	68,803	65,003	65,003	65,003
General State Charges	2,619	2,711	2,811	2,953	3,115
Statewide Financial System	31,959	30,137	29,711	29,717	29,717

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
State Operations	31,959	30,137	29,711	29,717	29,717
Personal Service	9,622	11,112	10,638	10,638	10,638
Non-Personal Service/Indirect Costs	22,337	19,025	19,073	19,079	19,079
Victim Services, Office of	30,870	37,061	36,160	36,160	36,160
Local Assistance Grants	25,567	30,970	30,070	30,070	30,070
State Operations	3,712	3,900	3,900	3,900	3,900
Personal Service	3,162	3,176	3,176	3,176	3,176
Non-Personal Service/Indirect Costs	550	724	724	724	724
General State Charges	1,591	2,191	2,190	2,190	2,190
Functional Total	3,754,897	3,733,141	3,818,095	3,787,828	3,760,528

HIGHER EDUCATION

City University of New York	1,482,817	1,516,470	1,516,602	1,547,484	1,591,852
Local Assistance Grants	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
State Operations	80,053	84,363	86,265	88,206	89,080
Personal Service	48,140	42,363	43,425	44,509	44,509
Non-Personal Service/Indirect Costs	31,913	42,000	42,840	43,697	44,571
General State Charges	7,717	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	300	390	390	390	390
State Operations	217	291	291	291	291
Personal Service	146	198	198	198	198
Non-Personal Service/Indirect Costs	71	93	93	93	93
General State Charges	83	99	99	99	99
Higher Education Services Corporation, New York State	1,267,122	1,115,631	1,165,517	1,196,825	1,213,825
Local Assistance Grants	1,209,916	1,061,791	1,111,365	1,142,261	1,159,261
State Operations	43,245	43,612	43,586	43,586	43,586
Personal Service	16,369	17,124	17,099	17,099	17,099
Non-Personal Service/Indirect Costs	26,876	26,488	26,487	26,487	26,487
General State Charges	13,961	10,228	10,566	10,978	10,978
State University of New York	6,794,732	6,662,582	6,761,570	6,897,779	7,042,428
Local Assistance Grants	486,563	503,258	501,815	501,815	501,315
State Operations	5,773,804	5,719,780	5,867,304	5,994,640	6,130,640
Personal Service	3,502,895	3,578,972	3,659,588	3,715,668	3,776,196
Non-Personal Service/Indirect Costs	2,270,909	2,140,808	2,207,716	2,278,972	2,354,444
General State Charges	534,365	439,544	392,451	401,324	410,473
Functional Total	9,544,971	9,295,073	9,444,079	9,642,478	9,848,495

EDUCATION

Arts, Council on the	66,103	45,253	45,253	45,253	45,253
Local Assistance Grants	62,791	40,933	40,933	40,933	40,933
State Operations	3,312	4,320	4,320	4,320	4,320
Personal Service	2,132	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,180	1,822	1,822	1,822	1,822
Education, Department of	27,174,390	29,124,232	30,516,408	31,786,293	33,251,688
School Aid	21,630,610	23,377,678	24,590,535	25,686,249	26,965,678
Local Assistance Grants	21,630,610	23,377,678	24,590,535	25,686,249	26,965,678
STAR Property Tax Relief	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
Local Assistance Grants	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
Special Education Categorical Programs	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
Local Assistance Grants	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
All Other	795,828	894,772	898,679	917,252	938,678
Local Assistance Grants	629,621	729,844	732,580	749,462	768,965
State Operations	133,491	132,501	132,484	132,484	132,484
Personal Service	84,014	83,488	83,488	83,488	83,488
Non-Personal Service/Indirect Costs	49,477	49,013	48,996	48,996	48,996
General State Charges	32,716	32,427	33,615	35,306	37,229
Functional Total	27,240,493	29,169,485	30,561,661	31,831,546	33,296,941
GENERAL GOVERNMENT					
Budget, Division of the	23,809	30,920	30,495	30,596	30,596
State Operations	22,834	29,477	28,938	28,939	28,939
Personal Service	20,223	24,434	24,514	24,567	24,567
Non-Personal Service/Indirect Costs	2,611	5,043	4,424	4,372	4,372
General State Charges	975	1,443	1,557	1,657	1,657
Civil Service, Department of	13,196	13,395	13,381	13,381	13,507
State Operations	13,045	13,219	13,205	13,205	13,331
Personal Service	12,046	12,398	12,384	12,384	12,497
Non-Personal Service/Indirect Costs	999	821	821	821	834
General State Charges	151	176	176	176	176
Deferred Compensation Board	527	855	866	866	866
State Operations	361	630	641	641	641
Personal Service	305	410	410	410	410
Non-Personal Service/Indirect Costs	56	220	231	231	231
General State Charges	166	225	225	225	225
Elections, State Board of	6,100	11,146	12,746	13,746	137,851
Local Assistance Grants	253	1,800	0	0	111,000
State Operations	5,847	9,346	12,746	13,172	24,902
Personal Service	4,680	5,607	5,573	6,539	11,682
Non-Personal Service/Indirect Costs	1,167	3,739	7,173	6,633	13,220
General State Charges	0	0	0	574	1,949
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
State Operations	2,210	2,581	2,581	2,581	2,601
Personal Service	2,178	2,510	2,510	2,510	2,529
Non-Personal Service/Indirect Costs	32	71	71	71	72
Gaming Commission, New York State	149,273	206,934	177,943	232,841	232,841
Local Assistance Grants	0	34,200	5,000	58,200	58,200
State Operations	134,317	155,853	155,985	157,066	157,066
Personal Service	30,748	37,892	37,944	38,988	38,988
Non-Personal Service/Indirect Costs	103,569	117,961	118,041	118,078	118,078
General State Charges	14,956	16,881	16,958	17,575	17,575
General Services, Office of	153,982	165,462	167,090	167,205	167,335
State Operations	151,774	163,275	164,822	164,822	164,822
Personal Service	62,120	75,248	79,491	79,491	79,491
Non-Personal Service/Indirect Costs	89,654	88,027	85,331	85,331	85,331
General State Charges	2,208	2,187	2,268	2,383	2,513
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
State Operations	7,069	6,917	6,917	6,917	6,977
Personal Service	6,217	6,360	6,360	6,360	6,408
Non-Personal Service/Indirect Costs	852	557	557	557	569
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
State Operations	24,098	35,356	45,356	35,356	35,356
Personal Service	6,402	5,446	5,446	5,446	5,446
Non-Personal Service/Indirect Costs	17,696	29,910	39,910	29,910	29,910
Prevention of Domestic Violence, Office for	1,897	2,281	2,281	2,281	2,281
Local Assistance Grants	543	685	685	685	685

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
State Operations	1,354	1,596	1,596	1,596	1,596
Personal Service	1,267	1,388	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	87	208	208	208	208
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
State Operations	3,115	3,731	3,572	3,573	3,604
Personal Service	2,894	3,495	3,336	3,336	3,363
Non-Personal Service/Indirect Costs	221	236	236	237	241
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State Operations	3,628	5,531	5,531	5,531	5,576
Personal Service	2,899	4,620	4,620	4,620	4,646
Non-Personal Service/Indirect Costs	729	911	911	911	930
State, Department of	57,843	75,827	59,965	60,163	60,163
Local Assistance Grants	6,545	21,691	7,379	7,379	7,379
State Operations	41,865	45,079	43,327	43,288	43,288
Personal Service	27,970	28,824	28,773	28,735	28,735
Non-Personal Service/Indirect Costs	13,895	16,255	14,554	14,553	14,553
General State Charges	9,433	9,057	9,259	9,496	9,496
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
State Operations	2,849	3,040	3,040	3,040	3,040
Personal Service	2,688	2,870	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	161	170	170	170	170
Taxation and Finance, Department of	380,858	356,103	357,256	357,558	357,558
Local Assistance Grants	906	926	926	926	926
State Operations	348,167	330,131	330,461	330,618	330,618
Personal Service	285,802	276,619	276,608	276,761	276,761
Non-Personal Service/Indirect Costs	62,365	53,512	53,853	53,857	53,857
General State Charges	31,785	25,046	25,869	26,014	26,014
Technology, Office for	426,415	512,706	523,180	523,131	534,861
State Operations	426,415	512,706	523,180	523,131	534,861
Personal Service	277,996	275,888	275,891	275,861	275,861
Non-Personal Service/Indirect Costs	148,419	236,818	247,289	247,270	259,000
Veterans' Affairs, Division of	12,830	15,725	13,808	13,808	13,873
Local Assistance Grants	7,486	9,387	7,637	7,637	7,637
State Operations	5,344	6,338	6,171	6,171	6,236
Personal Service	4,937	6,046	5,879	5,879	5,938
Non-Personal Service/Indirect Costs	407	292	292	292	298
Welfare Inspector General, Office of	573	972	972	972	986
State Operations	573	972	972	972	986
Personal Service	472	514	514	514	518
Non-Personal Service/Indirect Costs	101	458	458	458	468
Workers' Compensation Board	188,009	189,934	191,654	193,608	195,391
State Operations	141,996	141,706	141,706	141,706	143,489
Personal Service	77,315	80,977	80,977	80,977	81,592
Non-Personal Service/Indirect Costs	64,681	60,729	60,729	60,729	61,897
General State Charges	46,013	48,228	49,948	51,902	51,902
Functional Total	1,458,281	1,639,416	1,618,634	1,667,154	1,805,263

ELECTED OFFICIALS

Audit and Control, Department of	174,514	176,668	176,211	176,322	176,383
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	140,855	142,710	142,320	142,320	142,320
Personal Service	109,351	111,168	110,901	110,901	110,901
Non-Personal Service/Indirect Costs	31,504	31,542	31,419	31,419	31,419
General State Charges	1,634	1,934	1,867	1,978	2,039

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Executive Chamber	13,966	13,578	13,578	13,578	13,578
State Operations	13,966	13,578	13,578	13,578	13,578
Personal Service	10,621	11,135	11,469	11,813	11,813
Non-Personal Service/Indirect Costs	3,345	2,443	2,109	1,765	1,765
Judiciary	2,676,077	2,776,379	2,832,553	2,880,653	2,915,653
Local Assistance Grants	107,429	121,600	121,600	121,600	121,600
State Operations	1,908,736	1,958,476	1,967,500	1,967,500	1,967,500
Personal Service	1,479,075	1,504,376	1,513,400	1,513,400	1,513,400
Non-Personal Service/Indirect Costs	429,661	454,100	454,100	454,100	454,100
General State Charges	659,912	696,303	743,453	791,553	826,553
Law, Department of	179,054	187,214	190,481	192,070	194,474
State Operations	165,441	168,191	169,696	170,701	171,701
Personal Service	113,123	116,448	117,948	118,948	119,948
Non-Personal Service/Indirect Costs	52,318	51,743	51,748	51,753	51,753
General State Charges	13,613	19,023	20,785	21,369	22,773
Legislature	206,804	218,795	218,795	218,795	218,795
State Operations	206,804	218,795	218,795	218,795	218,795
Personal Service	160,777	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	46,027	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	366	614	614	614	614
State Operations	366	614	614	614	614
Personal Service	299	498	513	523	523
Non-Personal Service/Indirect Costs	67	116	101	91	91
Functional Total	3,250,781	3,373,248	3,432,232	3,482,032	3,519,497
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347
Local Assistance Grants	726,338	741,121	759,356	762,710	763,347
State Operations	100	0	0	0	0
Non-Personal Service/Indirect Costs	100	0	0	0	0
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Local Assistance Grants	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Local Assistance Grants	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	765,376	784,554	791,328	793,882	794,519
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Long-Term Debt Service	6,221,470	5,166,373	6,254,184	6,745,920	7,050,295
State Operations	38,654	43,960	46,678	46,678	46,678
Non-Personal Service/Indirect Costs	38,654	43,960	46,678	46,678	46,678
Debt Service	6,182,816	5,122,413	6,207,506	6,699,242	7,003,617
Miscellaneous	63,825	(31,795)	(34,795)	180,209	9,225
Local Assistance Grants	29,171	(62,943)	(65,943)	(65,943)	(22,943)
State Operations	20,752	25,234	25,234	240,235	26,251

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Personal Service	2,204	2,493	2,493	2,493	2,498
Non-Personal Service/Indirect Costs	18,548	22,741	22,741	237,742	23,753
General State Charges	13,902	5,914	5,914	5,917	5,917
Functional Total	<u>10,416,981</u>	<u>9,600,854</u>	<u>11,210,236</u>	<u>12,191,299</u>	<u>12,606,661</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>92,426,494</u>	<u>94,250,032</u>	<u>98,468,351</u>	<u>102,405,796</u>	<u>105,839,586</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	79,519	90,695	81,483	81,615	81,682
Alcoholic Beverage Control, Division of	17,636	17,394	17,551	17,728	17,728
Economic Development, Department of	56,467	83,872	79,835	83,035	83,035
Empire State Development Corporation	85,284	90,924	135,946	135,946	135,946
Energy Research and Development Authority	11,972	0	0	0	0
Financial Services, Department of	491,138	376,710	377,358	379,038	379,170
Olympic Regional Development Authority	3,011	3,161	3,161	3,161	3,161
Public Service Department	69,084	73,322	74,192	75,552	76,951
Functional Total	814,111	736,078	769,526	776,075	777,673
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,276	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	277,931	299,294	290,256	262,511	263,566
Parks, Recreation and Historic Preservation, Office of	191,888	190,109	190,911	191,086	191,086
Functional Total	474,095	493,735	485,499	457,929	458,984
TRANSPORTATION					
Motor Vehicles, Department of	81,206	87,952	88,637	89,382	89,494
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	4,860,960	4,886,451	4,941,988	5,013,417	5,077,276
Functional Total	4,960,507	4,995,903	5,052,125	5,124,299	5,188,270
HEALTH					
Aging, Office for the	123,306	131,883	132,205	137,278	142,478
Health, Department of	19,114,503	19,835,721	20,563,973	21,344,072	22,102,598
<i>Medical Assistance</i>	16,275,220	16,894,090	17,185,792	17,941,871	18,662,461
<i>Basic Health Plan</i>	0	169,961	642,739	649,142	665,934
<i>Medicaid Administration</i>	677,990	676,497	682,597	670,297	667,997
<i>Public Health</i>	2,161,293	2,095,173	2,052,845	2,082,762	2,106,206
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
Stem Cell and Innovation	33,483	29,785	29,785	29,785	29,785
Functional Total	19,292,113	20,018,625	20,746,964	21,532,136	22,295,862
SOCIAL WELFARE					
Children and Family Services, Office of	1,864,842	2,019,475	2,023,576	2,073,404	2,095,936
<i>OCFS</i>	1,778,843	1,932,701	1,935,402	1,983,835	2,006,538
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	77,527	71,883	100,162	100,712	105,712
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
Labor, Department of	71,294	73,119	65,119	65,119	65,119
National and Community Service	687	687	687	687	690
Temporary and Disability Assistance, Office of	1,375,356	1,361,452	1,379,305	1,390,205	1,405,605
<i>Welfare Assistance</i>	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
<i>All Other</i>	237,353	235,544	245,682	247,082	253,482
Functional Total	3,400,288	3,536,577	3,578,810	3,640,088	3,683,023
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	409,706	427,523	439,853	455,575	469,528
<i>OASAS</i>	325,791	342,667	357,078	371,689	384,017
<i>OASAS - Other</i>	83,915	84,856	82,775	83,886	85,511
Justice Center	31,652	41,925	43,155	44,531	46,139
Mental Health, Office of	3,146,926	3,225,391	3,292,840	3,440,568	3,567,595
<i>OMH</i>	1,342,969	1,316,044	1,463,074	1,548,377	1,613,318
<i>OMH - Other</i>	1,803,957	1,909,347	1,829,766	1,892,191	1,954,277
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	3,465,088	3,178,504	3,183,314	3,538,376	3,720,608
<i>OPWDD</i>	433,907	335,536	378,068	365,068	365,068
<i>OPWDD - Other</i>	3,031,181	2,842,968	2,805,246	3,173,308	3,355,540
Functional Total	7,053,600	6,873,343	6,959,162	7,479,050	7,803,870
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,647,170	2,696,145	2,643,988	2,644,198	2,644,404

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Criminal Justice Services, Division of	194,721	209,733	196,885	191,885	191,885
Disaster Assistance	(8,011)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	103,159	59,691	116,922	92,697	64,970
Indigent Legal Services, Office of	52,689	68,017	105,967	104,567	104,567
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
Military and Naval Affairs, Division of	25,302	27,250	26,575	26,575	26,575
State Police, Division of	669,396	642,113	653,584	653,726	653,888
Statewide Financial System	31,959	30,137	29,711	29,717	29,717
Victim Services, Office of	30,870	37,061	36,160	36,160	36,160
Functional Total	3,754,897	3,733,141	3,818,095	3,787,828	3,760,528
HIGHER EDUCATION					
City University of New York	1,482,817	1,516,470	1,516,602	1,547,484	1,591,852
Higher Education - Miscellaneous	300	390	390	390	390
Higher Education Services Corporation, New York State	1,267,122	1,115,631	1,165,517	1,196,825	1,213,825
State University of New York	6,794,732	6,662,582	6,761,570	6,897,779	7,042,428
Functional Total	9,544,971	9,295,073	9,444,079	9,642,478	9,848,495
EDUCATION					
Arts, Council on the	66,103	45,253	45,253	45,253	45,253
Education, Department of	27,174,390	29,124,232	30,516,408	31,786,293	33,251,688
<i>School Aid</i>	21,630,610	23,377,678	24,590,535	25,686,249	26,965,678
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>Special Education Categorical Programs</i>	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
<i>All Other</i>	795,828	894,772	898,679	917,252	938,678
Functional Total	27,240,493	29,169,485	30,561,661	31,831,546	33,296,941
GENERAL GOVERNMENT					
Budget, Division of the	23,809	30,920	30,495	30,596	30,596
Civil Service, Department of	13,196	13,395	13,381	13,381	13,507
Deferred Compensation Board	527	855	866	866	866
Elections, State Board of	6,100	11,146	12,746	13,746	137,851
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	149,273	206,934	177,943	232,841	232,841
General Services, Office of	153,982	165,462	167,090	167,205	167,335
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
Prevention of Domestic Violence, Office for	1,897	2,281	2,281	2,281	2,281
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	57,843	75,827	59,965	60,163	60,163
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	380,858	356,103	357,256	357,558	357,558
Technology, Office for	426,415	512,706	523,180	523,131	534,861
Veterans' Affairs, Division of	12,830	15,725	13,808	13,808	13,873
Welfare Inspector General, Office of	573	972	972	972	986
Workers' Compensation Board	188,009	189,934	191,654	193,608	195,391
Functional Total	1,458,281	1,639,416	1,618,634	1,667,154	1,805,263
ELECTED OFFICIALS					
Audit and Control, Department of	174,514	176,668	176,211	176,322	176,383
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	2,676,077	2,776,379	2,832,553	2,880,653	2,915,653
Law, Department of	179,054	187,214	190,481	192,070	194,474
Legislature	206,804	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	3,250,781	3,373,248	3,432,232	3,482,032	3,519,497
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Small Government Assistance	217	218	218	218	218
Functional Total	<u>765,376</u>	<u>784,554</u>	<u>791,328</u>	<u>793,882</u>	<u>794,519</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Long-Term Debt Service	6,221,470	5,166,373	6,254,184	6,745,920	7,050,295
Miscellaneous	63,825	(31,795)	(34,795)	180,209	9,225
Functional Total	<u>10,416,981</u>	<u>9,600,854</u>	<u>11,210,236</u>	<u>12,191,299</u>	<u>12,606,661</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u><u>92,426,494</u></u>	<u><u>94,250,032</u></u>	<u><u>98,468,351</u></u>	<u><u>102,405,796</u></u>	<u><u>105,839,586</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,275	35,197	27,321	27,321	27,321
Economic Development, Department of	36,404	61,716	57,879	61,879	61,879
Empire State Development Corporation	85,234	90,074	135,096	135,096	135,096
Energy Research and Development Authority	5,527	0	0	0	0
Financial Services, Department of	223,476	76,664	76,414	76,289	76,289
Public Service Department	0	188	188	188	188
Functional Total	375,916	263,839	296,898	300,773	300,773
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,889	15,225	5,200	5,200	5,200
Parks, Recreation and Historic Preservation, Office of	8,444	8,505	7,425	7,600	7,600
Functional Total	11,333	23,730	12,625	12,800	12,800
TRANSPORTATION					
Transportation, Department of	4,834,115	4,861,827	4,916,165	4,987,387	5,050,999
Functional Total	4,834,115	4,861,827	4,916,165	4,987,387	5,050,999
HEALTH					
Aging, Office for the	121,870	130,576	131,346	136,419	141,619
Health, Department of	18,509,248	19,075,867	19,783,333	20,587,446	21,349,675
<i>Medical Assistance</i>	16,275,220	16,894,090	17,185,792	17,941,871	18,662,461
<i>Basic Health Plan</i>	0	134,250	601,095	620,330	638,940
<i>Medicaid Administration</i>	515,184	386,431	374,411	374,411	374,411
<i>Public Health</i>	1,718,844	1,661,096	1,622,035	1,650,834	1,673,863
Functional Total	18,631,118	19,206,443	19,914,679	20,723,865	21,491,294
SOCIAL WELFARE					
Children and Family Services, Office of	1,600,778	1,757,996	1,769,776	1,817,677	1,833,654
<i>OCFS</i>	1,514,779	1,671,222	1,681,602	1,728,108	1,744,256
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	11,531	6,256	34,535	35,085	40,085
Labor, Department of	7,664	8,150	150	150	150
National and Community Service	450	350	350	350	350
Temporary and Disability Assistance, Office of	1,235,758	1,218,164	1,236,119	1,247,019	1,262,419
<i>Welfare Assistance</i>	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
<i>All Other</i>	97,755	92,256	102,496	103,896	110,296
Functional Total	2,856,181	2,990,916	3,040,930	3,100,281	3,136,658
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	303,457	321,250	335,002	348,672	359,850
<i>OASAS</i>	282,132	299,925	313,677	327,347	338,525
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	600	620	620	620	620
Mental Health, Office of	1,157,200	1,183,990	1,249,167	1,366,498	1,424,121
<i>OMH</i>	810,344	908,527	932,543	1,007,016	1,054,282
<i>OMH - Other</i>	346,856	275,463	316,624	359,482	369,839
People with Developmental Disabilities, Office for	1,461,427	1,212,174	1,274,152	1,589,465	1,712,365
<i>OPWDD</i>	433,850	335,355	377,887	364,887	364,887
<i>OPWDD - Other</i>	1,027,577	876,819	896,265	1,224,578	1,347,478
Functional Total	2,922,684	2,718,034	2,858,941	3,305,255	3,496,956
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,939	6,022	6,022	6,022	6,022
Criminal Justice Services, Division of	156,998	170,087	157,236	152,236	152,236
Disaster Assistance	2,726	0	0	0	0
Homeland Security and Emergency Services, Division of	65,440	31,112	92,519	68,273	40,523
Indigent Legal Services, Office of	51,123	65,000	103,000	101,600	101,600
Military and Naval Affairs, Division of	724	911	911	911	911
Victim Services, Office of	25,567	30,970	30,070	30,070	30,070
Functional Total	308,517	304,102	389,758	359,112	331,362

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
HIGHER EDUCATION					
City University of New York	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
Higher Education Services Corporation, New York State	1,209,916	1,061,791	1,111,365	1,142,261	1,159,261
State University of New York	486,563	503,258	501,815	501,815	501,315
Functional Total	<u>3,091,526</u>	<u>2,991,156</u>	<u>3,037,517</u>	<u>3,097,354</u>	<u>3,157,348</u>
EDUCATION					
Arts, Council on the	62,791	40,933	40,933	40,933	40,933
Education, Department of	<u>27,008,183</u>	<u>28,959,304</u>	<u>30,350,309</u>	<u>31,618,503</u>	<u>33,081,975</u>
<i>School Aid</i>	21,630,610	23,377,678	24,590,535	25,686,249	26,965,678
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>Special Education Categorical Programs</i>	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
<i>All Other</i>	629,621	729,844	732,580	749,462	768,965
Functional Total	<u>27,070,974</u>	<u>29,000,237</u>	<u>30,391,242</u>	<u>31,659,436</u>	<u>33,122,908</u>
GENERAL GOVERNMENT					
Elections, State Board of	253	1,800	0	0	111,000
Gaming Commission, New York State	0	34,200	5,000	58,200	58,200
Prevention of Domestic Violence, Office for	543	685	685	685	685
State, Department of	6,545	21,691	7,379	7,379	7,379
Taxation and Finance, Department of	906	926	926	926	926
Veterans' Affairs, Division of	7,486	9,387	7,637	7,637	7,637
Functional Total	<u>15,733</u>	<u>68,689</u>	<u>21,627</u>	<u>74,827</u>	<u>185,827</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	121,600	121,600	121,600	121,600
Functional Total	<u>139,454</u>	<u>153,624</u>	<u>153,624</u>	<u>153,624</u>	<u>153,624</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,338	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	<u>765,276</u>	<u>784,554</u>	<u>791,328</u>	<u>793,882</u>	<u>794,519</u>
ALL OTHER CATEGORIES					
Miscellaneous	29,171	(62,943)	(65,943)	(65,943)	(22,943)
Functional Total	<u>29,171</u>	<u>(62,943)</u>	<u>(65,943)</u>	<u>(65,943)</u>	<u>(22,943)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>61,051,998</u></u>	<u><u>63,304,208</u></u>	<u><u>65,759,391</u></u>	<u><u>68,502,653</u></u>	<u><u>71,212,125</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	52,866	53,905	52,434	52,434	52,434
Alcoholic Beverage Control, Division of	13,095	12,751	12,753	12,755	12,755
Economic Development, Department of	20,063	22,128	21,928	21,128	21,128
Empire State Development Corporation	50	850	850	850	850
Energy Research and Development Authority	4,841	0	0	0	0
Financial Services, Department of	191,287	211,651	210,417	209,717	209,717
Olympic Regional Development Authority	3,011	3,161	3,161	3,161	3,161
Public Service Department	47,496	49,708	49,630	49,713	49,713
Functional Total	332,709	354,154	351,173	349,758	349,758
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,276	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	229,795	238,313	238,005	216,269	217,174
Parks, Recreation and Historic Preservation, Office of	179,509	177,420	177,769	177,768	177,768
Functional Total	413,580	420,065	420,106	398,369	399,274
TRANSPORTATION					
Motor Vehicles, Department of	58,500	62,848	62,848	62,848	62,848
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	22,502	20,397	21,430	21,430	21,430
Functional Total	99,343	104,745	105,778	105,778	105,778
HEALTH					
Aging, Office for the	1,436	1,307	859	859	859
Health, Department of	574,369	729,315	749,307	725,286	721,580
<i>Basic Health Plan</i>	0	35,711	41,644	28,812	26,994
<i>Medicaid Administration</i>	162,806	290,066	308,186	295,886	293,586
<i>Public Health</i>	411,563	403,538	399,477	400,588	401,000
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
Stem Cell and Innovation	33,294	29,785	29,785	29,785	29,785
Functional Total	629,920	781,643	800,952	776,931	773,225
SOCIAL WELFARE					
Children and Family Services, Office of	263,693	258,821	251,142	253,069	259,624
<i>OCFS</i>	263,693	258,821	251,142	253,069	259,624
Housing and Community Renewal, Division of	51,036	49,246	49,246	49,246	49,246
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
Labor, Department of	45,973	46,519	46,519	46,519	46,519
National and Community Service	237	337	337	337	340
Temporary and Disability Assistance, Office of	139,598	143,288	143,186	143,186	143,186
<i>All Other</i>	139,598	143,288	143,186	143,186	143,186
Functional Total	511,119	508,172	500,391	502,318	508,876
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	74,528	73,068	71,300	71,830	72,510
<i>OASAS</i>	30,187	29,060	29,297	29,529	29,814
<i>OASAS - Other</i>	44,341	44,008	42,003	42,301	42,696
Justice Center	30,470	40,605	41,796	43,109	44,639
Mental Health, Office of	1,383,568	1,424,573	1,399,697	1,405,193	1,427,588
<i>OMH</i>	336,351	300,028	358,334	362,066	367,469
<i>OMH - Other</i>	1,047,217	1,124,545	1,041,363	1,043,127	1,060,119
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	1,374,179	1,335,210	1,282,430	1,294,326	1,311,259
<i>OPWDD</i>	57	181	181	181	181
<i>OPWDD - Other</i>	1,374,122	1,335,029	1,282,249	1,294,145	1,311,078
Functional Total	2,862,973	2,873,456	2,795,223	2,814,458	2,855,996
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,641,151	2,689,996	2,637,834	2,638,040	2,638,246
Criminal Justice Services, Division of	37,663	39,561	39,561	39,561	39,561
Disaster Assistance	(10,737)	(45,309)	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Homeland Security and Emergency Services, Division of	37,332	27,750	23,551	23,551	23,551
Indigent Legal Services, Office of	1,051	2,489	2,239	2,239	2,239
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
Military and Naval Affairs, Division of	24,261	26,259	25,584	25,584	25,584
State Police, Division of	666,777	639,402	650,773	650,773	650,773
Statewide Financial System	31,959	30,137	29,711	29,717	29,717
Victim Services, Office of	3,712	3,900	3,900	3,900	3,900
Functional Total	<u>3,440,811</u>	<u>3,422,488</u>	<u>3,421,456</u>	<u>3,421,668</u>	<u>3,421,933</u>
HIGHER EDUCATION					
City University of New York	80,053	84,363	86,265	88,206	89,080
Higher Education - Miscellaneous	217	291	291	291	291
Higher Education Services Corporation, New York State	43,245	43,612	43,586	43,586	43,586
State University of New York	5,773,804	5,719,780	5,867,304	5,994,640	6,130,640
Functional Total	<u>5,897,319</u>	<u>5,848,046</u>	<u>5,997,446</u>	<u>6,126,723</u>	<u>6,263,597</u>
EDUCATION					
Arts, Council on the	3,312	4,320	4,320	4,320	4,320
Education, Department of	133,491	132,501	132,484	132,484	132,484
<i>All Other</i>	133,491	132,501	132,484	132,484	132,484
Functional Total	<u>136,803</u>	<u>136,821</u>	<u>136,804</u>	<u>136,804</u>	<u>136,804</u>
GENERAL GOVERNMENT					
Budget, Division of the	22,834	29,477	28,938	28,939	28,939
Civil Service, Department of	13,045	13,219	13,205	13,205	13,331
Deferred Compensation Board	361	630	641	641	641
Elections, State Board of	5,847	9,346	12,746	13,172	24,902
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	134,317	155,853	155,985	157,066	157,066
General Services, Office of	151,774	163,275	164,822	164,822	164,822
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
Prevention of Domestic Violence, Office for	1,354	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	41,865	45,079	43,327	43,288	43,288
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	348,167	330,131	330,461	330,618	330,618
Technology, Office for	426,415	512,706	523,180	523,131	534,861
Veterans' Affairs, Division of	5,344	6,338	6,171	6,171	6,236
Welfare Inspector General, Office of	573	972	972	972	986
Workers' Compensation Board	141,996	141,706	141,706	141,706	143,489
Functional Total	<u>1,336,861</u>	<u>1,467,484</u>	<u>1,490,747</u>	<u>1,482,325</u>	<u>1,507,929</u>
ELECTED OFFICIALS					
Audit and Control, Department of	140,855	142,710	142,320	142,320	142,320
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	1,908,736	1,958,476	1,967,500	1,967,500	1,967,500
Law, Department of	165,441	168,191	169,696	170,701	171,701
Legislature	206,804	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	<u>2,436,168</u>	<u>2,502,364</u>	<u>2,512,503</u>	<u>2,513,508</u>	<u>2,514,508</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,654	43,960	46,678	46,678	46,678
Miscellaneous	20,752	25,234	25,234	240,235	26,251
Functional Total	<u>59,406</u>	<u>69,194</u>	<u>71,912</u>	<u>286,913</u>	<u>72,929</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,157,112</u>	<u>18,488,632</u>	<u>18,604,491</u>	<u>18,915,553</u>	<u>18,910,607</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	28,342	29,090	28,516	28,516	28,516
Alcoholic Beverage Control, Division of	7,657	8,147	8,147	8,147	8,147
Economic Development, Department of	11,493	13,629	13,629	13,629	13,629
Empire State Development Corporation	0	425	425	425	425
Energy Research and Development Authority	3,622	0	0	0	0
Financial Services, Department of	137,783	153,580	153,580	153,580	153,580
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Public Service Department	39,760	42,089	42,075	42,156	42,156
Functional Total	231,205	249,508	248,920	249,001	249,001
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,893	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	174,406	181,687	181,779	170,105	170,271
Parks, Recreation and Historic Preservation, Office of	133,928	137,303	137,678	137,678	137,678
Functional Total	312,227	323,017	323,484	311,810	311,976
TRANSPORTATION					
Motor Vehicles, Department of	43,692	45,054	45,054	45,054	45,054
Transportation, Department of	6,980	7,645	7,646	7,646	7,646
Functional Total	50,672	52,699	52,700	52,700	52,700
HEALTH					
Aging, Office for the	1,256	1,125	677	677	677
Health, Department of	258,901	264,400	285,291	290,973	296,126
<i>Basic Health Plan</i>	0	683	804	534	488
<i>Medicaid Administration</i>	29,326	44,350	66,267	72,208	77,403
<i>Public Health</i>	229,575	219,367	218,220	218,231	218,235
Medicaid Inspector General, Office of the	16,617	16,705	16,470	16,470	16,470
Stem Cell and Innovation	368	463	463	463	463
Functional Total	277,142	282,693	302,901	308,583	313,736
SOCIAL WELFARE					
Children and Family Services, Office of	170,077	165,755	160,336	160,333	161,948
<i>OCFS</i>	170,077	165,755	160,336	160,333	161,948
Housing and Community Renewal, Division of	38,995	40,403	40,403	40,403	40,403
Human Rights, Division of	8,919	9,461	9,461	9,461	9,461
Labor, Department of	30,078	32,618	32,618	32,618	32,618
National and Community Service	229	328	328	328	331
Temporary and Disability Assistance, Office of	67,810	59,087	58,985	58,985	58,985
<i>All Other</i>	67,810	59,087	58,985	58,985	58,985
Functional Total	316,108	307,652	302,131	302,128	303,746
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	53,107	55,260	53,368	53,535	53,849
<i>OASAS</i>	20,250	20,965	21,028	21,091	21,212
<i>OASAS - Other</i>	32,857	34,295	32,340	32,444	32,637
Justice Center	19,845	26,893	27,710	28,649	29,805
Mental Health, Office of	1,077,139	1,133,667	1,102,514	1,102,636	1,117,786
<i>OMH</i>	270,100	243,244	297,273	299,633	303,663
<i>OMH - Other</i>	807,039	890,423	805,241	803,003	814,123
People with Developmental Disabilities, Office for	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
<i>OPWDD - Other</i>	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
Functional Total	2,262,595	2,336,908	2,254,503	2,262,601	2,290,363
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,037	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,102,399	2,176,979	2,099,817	2,100,023	2,100,229
Criminal Justice Services, Division of	26,862	26,225	26,225	26,225	26,225
Disaster Assistance	(9,310)	0	0	0	0
Homeland Security and Emergency Services, Division of	14,217	13,581	13,581	13,581	13,581
Indigent Legal Services, Office of	928	1,454	1,704	1,704	1,704

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Judicial Conduct, Commission on	4,028	4,181	4,181	4,181	4,212
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	15,759	17,789	17,114	17,114	17,114
State Police, Division of	571,632	570,599	585,770	585,770	585,770
Statewide Financial System	9,622	11,112	10,638	10,638	10,638
Victim Services, Office of	3,162	3,176	3,176	3,176	3,176
Functional Total	<u>2,741,336</u>	<u>2,827,523</u>	<u>2,764,633</u>	<u>2,764,839</u>	<u>2,765,076</u>
HIGHER EDUCATION					
City University of New York	48,140	42,363	43,425	44,509	44,509
Higher Education - Miscellaneous	146	198	198	198	198
Higher Education Services Corporation, New York State	16,369	17,124	17,099	17,099	17,099
State University of New York	3,502,895	3,578,972	3,659,588	3,715,668	3,776,196
Functional Total	<u>3,567,550</u>	<u>3,638,657</u>	<u>3,720,310</u>	<u>3,777,474</u>	<u>3,838,002</u>
EDUCATION					
Arts, Council on the	2,132	2,498	2,498	2,498	2,498
Education, Department of	84,014	83,488	83,488	83,488	83,488
<i>All Other</i>	84,014	83,488	83,488	83,488	83,488
Functional Total	<u>86,146</u>	<u>85,986</u>	<u>85,986</u>	<u>85,986</u>	<u>85,986</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,223	24,434	24,514	24,567	24,567
Civil Service, Department of	12,046	12,398	12,384	12,384	12,497
Deferred Compensation Board	305	410	410	410	410
Elections, State Board of	4,680	5,607	5,573	6,539	11,682
Employee Relations, Office of	2,178	2,510	2,510	2,510	2,529
Gaming Commission, New York State	30,748	37,892	37,944	38,988	38,988
General Services, Office of	62,120	75,248	79,491	79,491	79,491
Inspector General, Office of the	6,217	6,360	6,360	6,360	6,408
Labor Management Committees	6,402	5,446	5,446	5,446	5,446
Prevention of Domestic Violence, Office for	1,267	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,495	3,336	3,336	3,363
Public Integrity, Commission on	2,899	4,620	4,620	4,620	4,646
State, Department of	27,970	28,824	28,773	28,735	28,735
Tax Appeals, Division of	2,688	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	276,619	276,608	276,761	276,761
Technology, Office for	277,996	275,888	275,891	275,861	275,861
Veterans' Affairs, Division of	4,937	6,046	5,879	5,879	5,938
Welfare Inspector General, Office of	472	514	514	514	518
Workers' Compensation Board	77,315	80,977	80,977	80,977	81,592
Functional Total	<u>829,159</u>	<u>851,546</u>	<u>855,488</u>	<u>857,636</u>	<u>863,690</u>
ELECTED OFFICIALS					
Audit and Control, Department of	109,351	111,168	110,901	110,901	110,901
Executive Chamber	10,621	11,135	11,469	11,813	11,813
Judiciary	1,479,075	1,504,376	1,513,400	1,513,400	1,513,400
Law, Department of	113,123	116,448	117,948	118,948	119,948
Legislature	160,777	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	498	513	523	523
Functional Total	<u>1,873,246</u>	<u>1,909,956</u>	<u>1,920,562</u>	<u>1,921,916</u>	<u>1,922,916</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,204	2,493	2,493	2,493	2,498
Functional Total	<u>2,204</u>	<u>2,493</u>	<u>2,493</u>	<u>2,493</u>	<u>2,498</u>
TOTAL PERSONAL SERVICE SPENDING	<u>12,549,590</u>	<u>12,868,638</u>	<u>12,834,111</u>	<u>12,897,167</u>	<u>12,999,690</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	24,524	24,815	23,918	23,918	23,918
Alcoholic Beverage Control, Division of	5,438	4,604	4,606	4,608	4,608
Economic Development, Department of	8,570	8,499	8,299	7,499	7,499
Empire State Development Corporation	50	425	425	425	425
Energy Research and Development Authority	1,219	0	0	0	0
Financial Services, Department of	53,504	58,071	56,837	56,137	56,137
Olympic Regional Development Authority	463	613	613	613	613
Public Service Department	7,736	7,619	7,555	7,557	7,557
Functional Total	101,504	104,646	102,253	100,757	100,757
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	383	305	305	305	305
Environmental Conservation, Department of	55,389	56,626	56,226	46,164	46,903
Parks, Recreation and Historic Preservation, Office of	45,581	40,117	40,091	40,090	40,090
Functional Total	101,353	97,048	96,622	86,559	87,298
TRANSPORTATION					
Motor Vehicles, Department of	14,808	17,794	17,794	17,794	17,794
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	15,522	12,752	13,784	13,784	13,784
Functional Total	48,671	52,046	53,078	53,078	53,078
HEALTH					
Aging, Office for the	180	182	182	182	182
Health, Department of	315,468	464,915	464,016	434,313	425,454
<i>Basic Health Plan</i>	0	35,028	40,840	28,278	26,506
<i>Medicaid Administration</i>	133,480	245,716	241,919	223,678	216,183
<i>Public Health</i>	181,988	184,171	181,257	182,357	182,765
Medicaid Inspector General, Office of the	4,204	4,531	4,531	4,531	4,531
Stem Cell and Innovation	32,926	29,322	29,322	29,322	29,322
Functional Total	352,778	498,950	498,051	468,348	459,489
SOCIAL WELFARE					
Children and Family Services, Office of	93,616	93,066	90,806	92,736	97,676
<i>OCFS</i>	93,616	93,066	90,806	92,736	97,676
Housing and Community Renewal, Division of	12,041	8,843	8,843	8,843	8,843
Human Rights, Division of	1,663	500	500	500	500
Labor, Department of	15,895	13,901	13,901	13,901	13,901
National and Community Service	8	9	9	9	9
Temporary and Disability Assistance, Office of	71,788	84,201	84,201	84,201	84,201
<i>All Other</i>	71,788	84,201	84,201	84,201	84,201
Functional Total	195,011	200,520	198,260	200,190	205,130
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	21,421	17,808	17,932	18,295	18,661
<i>OASAS</i>	9,937	8,095	8,269	8,438	8,602
<i>OASAS - Other</i>	11,484	9,713	9,663	9,857	10,059
Justice Center	10,625	13,712	14,086	14,460	14,834
Mental Health, Office of	306,429	290,906	297,183	302,557	309,802
<i>OMH</i>	66,251	56,784	61,061	62,433	63,806
<i>OMH - Other</i>	240,178	234,122	236,122	240,124	245,996
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	261,675	214,122	211,519	216,545	222,336
<i>OPWDD</i>	57	181	181	181	181
<i>OPWDD - Other</i>	261,618	213,941	211,338	216,364	222,155
Functional Total	600,378	536,548	540,720	551,857	565,633
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	185	237	237	237	237
Correctional Services, Department of	538,752	513,017	538,017	538,017	538,017
Criminal Justice Services, Division of	10,801	13,336	13,336	13,336	13,336

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Disaster Assistance	(1,427)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	23,115	14,169	9,970	9,970	9,970
Indigent Legal Services, Office of	123	1,035	535	535	535
Judicial Conduct, Commission on	1,356	1,403	1,403	1,403	1,431
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	25	25	25	25
Military and Naval Affairs, Division of	8,502	8,470	8,470	8,470	8,470
State Police, Division of	95,145	68,803	65,003	65,003	65,003
Statewide Financial System	22,337	19,025	19,073	19,079	19,079
Victim Services, Office of	550	724	724	724	724
Functional Total	699,475	594,965	656,823	656,829	656,857
HIGHER EDUCATION					
City University of New York	31,913	42,000	42,840	43,697	44,571
Higher Education - Miscellaneous	71	93	93	93	93
Higher Education Services Corporation, New York State	26,876	26,488	26,487	26,487	26,487
State University of New York	2,270,909	2,140,808	2,207,716	2,278,972	2,354,444
Functional Total	2,329,769	2,209,389	2,277,136	2,349,249	2,425,595
EDUCATION					
Arts, Council on the	1,180	1,822	1,822	1,822	1,822
Education, Department of	49,477	49,013	48,996	48,996	48,996
<i>All Other</i>	49,477	49,013	48,996	48,996	48,996
Functional Total	50,657	50,835	50,818	50,818	50,818
GENERAL GOVERNMENT					
Budget, Division of the	2,611	5,043	4,424	4,372	4,372
Civil Service, Department of	999	821	821	821	834
Deferred Compensation Board	56	220	231	231	231
Elections, State Board of	1,167	3,739	7,173	6,633	13,220
Employee Relations, Office of	32	71	71	71	72
Gaming Commission, New York State	103,569	117,961	118,041	118,078	118,078
General Services, Office of	89,654	88,027	85,331	85,331	85,331
Inspector General, Office of the	852	557	557	557	569
Labor Management Committees	17,696	29,910	39,910	29,910	29,910
Prevention of Domestic Violence, Office for	87	208	208	208	208
Public Employment Relations Board	221	236	236	237	241
Public Integrity, Commission on	729	911	911	911	930
State, Department of	13,895	16,255	14,554	14,553	14,553
Tax Appeals, Division of	161	170	170	170	170
Taxation and Finance, Department of	62,365	53,512	53,853	53,857	53,857
Technology, Office for	148,419	236,818	247,289	247,270	259,000
Veterans' Affairs, Division of	407	292	292	292	298
Welfare Inspector General, Office of	101	458	458	458	468
Workers' Compensation Board	64,681	60,729	60,729	60,729	61,897
Functional Total	507,702	615,938	635,259	624,689	644,239
ELECTED OFFICIALS					
Audit and Control, Department of	31,504	31,542	31,419	31,419	31,419
Executive Chamber	3,345	2,443	2,109	1,765	1,765
Judiciary	429,661	454,100	454,100	454,100	454,100
Law, Department of	52,318	51,743	51,748	51,753	51,753
Legislature	46,027	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	116	101	91	91
Functional Total	562,922	592,408	591,941	591,592	591,592
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	100	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,654	43,960	46,678	46,678	46,678
Miscellaneous	18,548	22,741	22,741	237,742	23,753

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
 (thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Functional Total	57,202	66,701	69,419	284,420	70,431
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,607,522</u>	<u>5,619,994</u>	<u>5,770,380</u>	<u>6,018,386</u>	<u>5,910,917</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,378	1,593	1,728	1,860	1,927
Alcoholic Beverage Control, Division of	4,541	4,643	4,798	4,973	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	1,604	0	0	0	0
Financial Services, Department of	76,375	88,395	90,527	93,032	93,164
Public Service Department	21,588	23,426	24,374	25,651	27,050
Functional Total	105,486	118,085	121,455	125,544	127,142
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,247	45,756	47,051	41,042	41,192
Parks, Recreation and Historic Preservation, Office of	2,829	3,184	3,217	3,218	3,218
Functional Total	48,076	48,940	50,268	44,260	44,410
TRANSPORTATION					
Motor Vehicles, Department of	22,591	25,104	25,789	26,534	26,646
Transportation, Department of	4,242	4,227	4,393	4,600	4,847
Functional Total	26,833	29,331	30,182	31,134	31,493
HEALTH					
Health, Department of	30,886	30,539	31,333	31,340	31,343
<i>Public Health</i>	30,886	30,539	31,333	31,340	31,343
Stem Cell and Innovation	189	0	0	0	0
Functional Total	31,075	30,539	31,333	31,340	31,343
SOCIAL WELFARE					
Children and Family Services, Office of	371	2,658	2,658	2,658	2,658
<i>OCFS</i>	371	2,658	2,658	2,658	2,658
Housing and Community Renewal, Division of	14,960	16,381	16,381	16,381	16,381
Labor, Department of	17,657	18,450	18,450	18,450	18,450
Functional Total	32,988	37,489	37,489	37,489	37,489
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	31,721	33,205	33,551	35,073	37,168
<i>OASAS</i>	13,472	13,682	14,104	14,813	15,678
<i>OASAS - Other</i>	18,249	19,523	19,447	20,260	21,490
Justice Center	582	700	739	802	880
Mental Health, Office of	606,158	616,828	643,976	668,877	715,886
<i>OMH</i>	196,274	107,489	172,197	179,295	191,567
<i>OMH - Other</i>	409,884	509,339	471,779	489,582	524,319
People with Developmental Disabilities, Office for	629,482	631,120	626,732	654,585	696,984
<i>OPWDD - Other</i>	629,482	631,120	626,732	654,585	696,984
Functional Total	1,267,943	1,281,853	1,304,998	1,359,337	1,450,918
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	80	127	132	136	136
Criminal Justice Services, Division of	60	85	88	88	88
Homeland Security and Emergency Services, Division of	387	829	852	873	896
Indigent Legal Services, Office of	515	528	728	728	728
Military and Naval Affairs, Division of	317	80	80	80	80
State Police, Division of	2,619	2,711	2,811	2,953	3,115
Victim Services, Office of	1,591	2,191	2,190	2,190	2,190
Functional Total	5,569	6,551	6,881	7,048	7,233
HIGHER EDUCATION					
City University of New York	7,717	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	83	99	99	99	99
Higher Education Services Corporation, New York State	13,961	10,228	10,566	10,978	10,978
State University of New York	534,365	439,544	392,451	401,324	410,473
Functional Total	556,126	455,871	409,116	418,401	427,550

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
EDUCATION					
Education, Department of	32,716	32,427	33,615	35,306	37,229
<i>All Other</i>	<u>32,716</u>	<u>32,427</u>	<u>33,615</u>	<u>35,306</u>	<u>37,229</u>
Functional Total	<u>32,716</u>	<u>32,427</u>	<u>33,615</u>	<u>35,306</u>	<u>37,229</u>
GENERAL GOVERNMENT					
Budget, Division of the	975	1,443	1,557	1,657	1,657
Civil Service, Department of	151	176	176	176	176
Deferred Compensation Board	166	225	225	225	225
Elections, State Board of	0	0	0	574	1,949
Gaming Commission, New York State	14,956	16,881	16,958	17,575	17,575
General Services, Office of	2,208	2,187	2,268	2,383	2,513
State, Department of	9,433	9,057	9,259	9,496	9,496
Taxation and Finance, Department of	31,785	25,046	25,869	26,014	26,014
Workers' Compensation Board	<u>46,013</u>	<u>48,228</u>	<u>49,948</u>	<u>51,902</u>	<u>51,902</u>
Functional Total	<u>105,687</u>	<u>103,243</u>	<u>106,260</u>	<u>110,002</u>	<u>111,507</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,634	1,934	1,867	1,978	2,039
Judiciary	659,912	696,303	743,453	791,553	826,553
Law, Department of	<u>13,613</u>	<u>19,023</u>	<u>20,785</u>	<u>21,369</u>	<u>22,773</u>
Functional Total	<u>675,159</u>	<u>717,260</u>	<u>766,105</u>	<u>814,900</u>	<u>851,365</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Miscellaneous	<u>13,902</u>	<u>5,914</u>	<u>5,914</u>	<u>5,917</u>	<u>5,917</u>
Functional Total	<u>4,145,588</u>	<u>4,472,190</u>	<u>4,996,761</u>	<u>5,271,087</u>	<u>5,553,058</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,033,246</u></u>	<u><u>7,333,779</u></u>	<u><u>7,894,463</u></u>	<u><u>8,285,848</u></u>	<u><u>8,710,737</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	1,106	1,000	2,500	2,500	2,500
Functional Total	<u>1,106</u>	<u>1,000</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>
TRANSPORTATION					
Motor Vehicles, Department of	115	0	0	0	0
Transportation, Department of	101	0	0	0	0
Functional Total	<u>216</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>1,322</u></u>	<u><u>1,000</u></u>	<u><u>2,500</u></u>	<u><u>2,500</u></u>	<u><u>2,500</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	11,002	4,388	4,001	4,000	4,000
Economic Development Capital	10,509	11,215	23,000	29,276	23,000
Economic Development, Department of	8,012	16,667	13,433	3,274	0
Empire State Development Corporation	442,329	756,199	1,150,265	1,212,753	1,173,582
Energy Research and Development Authority	9,075	22,600	25,000	13,500	13,000
Olympic Regional Development Authority	6,900	7,500	0	0	0
Regional Economic Development Program	3,071	1,500	1,500	1,334	500
Strategic Investment Program	1,030	5,000	6,000	6,000	5,871
Functional Total	491,928	825,069	1,223,199	1,270,137	1,219,953
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	539,496	622,353	634,801	639,353	637,002
Hudson River Park Trust	49	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	97,713	126,400	133,150	127,650	127,650
Functional Total	637,258	748,753	767,951	767,003	764,652
TRANSPORTATION					
Metropolitan Transportation Authority	0	512,171	493,229	150,000	250,000
Motor Vehicles, Department of	182,073	189,691	190,325	189,861	192,356
Thruway Authority, New York State	3,570	1,800	1,800	1,800	1,800
Transportation, Department of	4,238,172	3,972,792	3,974,203	4,043,272	4,012,430
Functional Total	4,423,815	4,676,454	4,659,557	4,384,933	4,456,586
HEALTH					
Health, Department of	117,235	406,500	493,500	433,500	433,500
<i>Public Health</i>	117,235	406,500	493,500	433,500	433,500
Functional Total	117,235	406,500	493,500	433,500	433,500
SOCIAL WELFARE					
Children and Family Services, Office of	19,537	25,931	33,931	35,931	32,931
<i>OCFS</i>	19,537	25,931	33,931	35,931	32,931
Housing and Community Renewal, Division of	82,202	98,731	102,227	108,227	108,227
Temporary and Disability Assistance, Office of	32,711	40,900	63,400	57,400	57,400
<i>All Other</i>	32,711	40,900	63,400	57,400	57,400
Functional Total	134,450	165,562	199,558	201,558	198,558
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	35,646	58,523	64,523	70,523	70,523
<i>OASAS</i>	35,646	58,523	64,523	70,523	70,523
Mental Health, Office of	140,754	141,366	143,206	146,206	146,206
<i>OMH</i>	140,754	141,366	143,206	146,206	146,206
People with Developmental Disabilities, Office for	47,952	43,099	43,099	43,099	43,099
<i>OPWDD</i>	47,952	43,099	43,099	43,099	43,099
Functional Total	224,352	242,988	250,828	259,828	259,828
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	220,308	225,160	229,064	241,064	241,064
Homeland Security and Emergency Services, Division of	70,333	134,779	8,000	5,000	5,000
Military and Naval Affairs, Division of	29,277	64,807	35,607	20,000	20,000
State Police, Division of	7,593	30,539	47,641	33,510	29,015
Functional Total	327,511	455,285	320,312	299,574	295,079
HIGHER EDUCATION					
City University of New York	30,141	35,000	35,000	35,400	35,900
Higher Education Facilities Capital Matching Grants Program	2,086	15,000	25,000	35,000	37,900
State University of New York	916,830	1,004,836	937,894	846,410	808,910
Functional Total	949,057	1,054,836	997,894	916,810	882,710
EDUCATION					
Education, Department of	19,081	430,232	451,923	446,447	437,400

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
<i>School Aid</i>	0	400,000	400,000	400,000	400,000
<i>All Other</i>	19,081	30,232	51,923	46,447	37,400
Functional Total	<u>19,081</u>	<u>430,232</u>	<u>451,923</u>	<u>446,447</u>	<u>437,400</u>
GENERAL GOVERNMENT					
General Services, Office of	118,000	114,283	102,883	125,083	75,883
Technology, Office for	30,763	39,395	92,250	82,753	5,700
Workers' Compensation Board	0	5,000	10,000	15,000	15,000
Functional Total	<u>148,763</u>	<u>158,678</u>	<u>205,133</u>	<u>222,836</u>	<u>96,583</u>
ELECTED OFFICIALS					
Law, Department of	2,379	3,000	3,000	1,621	0
Functional Total	<u>2,379</u>	<u>3,000</u>	<u>3,000</u>	<u>1,621</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	71,902	1,146,125	819,800	939,850	1,309,450
Functional Total	<u>71,902</u>	<u>1,146,125</u>	<u>819,800</u>	<u>939,850</u>	<u>1,309,450</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>7,547,731</u></u>	<u><u>10,313,482</u></u>	<u><u>10,392,655</u></u>	<u><u>10,144,097</u></u>	<u><u>10,354,299</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	104,699	108,571	99,244	99,622	99,689
Local Assistance Grants	25,275	35,197	27,321	27,321	27,321
State Operations	64,890	66,086	64,833	65,019	65,019
Personal Service	31,660	32,033	31,489	31,489	31,489
Non-Personal Service/Indirect Costs	33,230	34,053	33,344	33,530	33,530
General State Charges	3,532	2,900	3,089	3,282	3,349
Capital Projects	11,002	4,388	4,001	4,000	4,000
<i>Alcoholic Beverage Control, Division of</i>	17,636	17,394	17,551	17,728	17,728
State Operations	13,095	12,751	12,753	12,755	12,755
Personal Service	7,657	8,147	8,147	8,147	8,147
Non-Personal Service/Indirect Costs	5,438	4,604	4,606	4,608	4,608
General State Charges	4,541	4,643	4,798	4,973	4,973
<i>Economic Development Capital</i>	10,509	11,215	23,000	29,276	23,000
Local Assistance Grants	8,524	0	0	0	0
Capital Projects	1,985	11,215	23,000	29,276	23,000
<i>Economic Development, Department of</i>	70,646	106,839	99,568	92,609	89,335
Local Assistance Grants	50,363	67,771	63,934	67,934	67,934
State Operations	20,151	22,373	22,173	21,373	21,373
Personal Service	11,493	13,629	13,629	13,629	13,629
Non-Personal Service/Indirect Costs	8,658	8,744	8,544	7,744	7,744
General State Charges	0	28	28	28	28
Capital Projects	132	16,667	13,433	3,274	0
<i>Empire State Development Corporation</i>	527,613	847,123	1,286,211	1,348,699	1,309,528
Local Assistance Grants	461,088	706,000	882,710	939,071	971,000
State Operations	50	850	850	850	850
Personal Service	0	425	425	425	425
Non-Personal Service/Indirect Costs	50	425	425	425	425
Capital Projects	66,475	140,273	402,651	408,778	337,678
<i>Energy Research and Development Authority</i>	21,047	22,600	25,000	13,500	13,000
Local Assistance Grants	5,527	0	0	0	0
State Operations	4,841	0	0	0	0
Personal Service	3,622	0	0	0	0
Non-Personal Service/Indirect Costs	1,219	0	0	0	0
General State Charges	1,604	0	0	0	0
Capital Projects	9,075	22,600	25,000	13,500	13,000
<i>Financial Services, Department of</i>	493,855	376,710	377,358	379,038	379,170
Local Assistance Grants	223,476	76,664	76,414	76,289	76,289
State Operations	193,325	211,651	210,417	209,717	209,717
Personal Service	138,372	153,580	153,580	153,580	153,580
Non-Personal Service/Indirect Costs	54,953	58,071	56,837	56,137	56,137
General State Charges	77,054	88,395	90,527	93,032	93,164
<i>Olympic Regional Development Authority</i>	9,911	10,661	3,161	3,161	3,161
State Operations	3,011	3,161	3,161	3,161	3,161
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	463	613	613	613	613
Capital Projects	6,900	7,500	0	0	0
<i>Public Service Department</i>	71,807	75,248	76,134	77,514	78,913
Local Assistance Grants	0	188	188	188	188
State Operations	49,158	50,950	50,872	50,955	50,955
Personal Service	41,245	43,291	43,277	43,358	43,358
Non-Personal Service/Indirect Costs	7,913	7,659	7,595	7,597	7,597
General State Charges	22,649	24,110	25,074	26,371	27,770
<i>Regional Economic Development Program</i>	3,071	1,500	1,500	1,334	500
Local Assistance Grants	3,071	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Capital Projects	0	1,500	1,500	1,334	500
Strategic Investment Program	1,030	5,000	6,000	6,000	5,871
Local Assistance Grants	1,030	0	0	0	0
Capital Projects	0	5,000	6,000	6,000	5,871
Functional Total	1,331,824	1,582,861	2,014,727	2,068,481	2,019,895
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,416	4,682	4,682	4,682	4,682
State Operations	4,416	4,682	4,682	4,682	4,682
Personal Service	3,893	4,027	4,027	4,027	4,027
Non-Personal Service/Indirect Costs	523	655	655	655	655
Environmental Conservation, Department of	873,136	977,554	980,977	957,802	956,526
Local Assistance Grants	307,428	225,225	212,782	205,200	205,200
State Operations	271,334	283,203	282,890	261,154	262,059
Personal Service	201,672	209,451	209,538	197,864	198,030
Non-Personal Service/Indirect Costs	69,662	73,752	73,352	63,290	64,029
General State Charges	59,417	56,773	58,086	52,095	52,265
Capital Projects	234,957	412,353	427,219	439,353	437,002
Hudson River Park Trust	49	0	0	0	0
Capital Projects	49	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	295,236	320,677	328,229	322,904	322,904
Local Assistance Grants	9,722	9,775	8,695	8,870	8,870
State Operations	183,841	179,688	180,037	180,036	180,036
Personal Service	136,026	138,426	138,801	138,801	138,801
Non-Personal Service/Indirect Costs	47,815	41,262	41,236	41,235	41,235
General State Charges	2,829	3,814	3,847	3,848	3,848
Capital Projects	98,844	127,400	135,650	130,150	130,150
Functional Total	1,172,837	1,302,913	1,313,888	1,285,388	1,284,112
TRANSPORTATION					
Metropolitan Transportation Authority	0	512,171	493,229	150,000	250,000
Local Assistance Grants	0	512,171	493,229	150,000	250,000
Motor Vehicles, Department of	280,755	305,144	306,686	307,028	309,635
Local Assistance Grants	14,536	18,000	18,000	18,000	18,000
State Operations	60,675	70,260	70,396	70,396	70,396
Personal Service	44,853	48,726	48,789	48,789	48,789
Non-Personal Service/Indirect Costs	15,822	21,534	21,607	21,607	21,607
General State Charges	23,356	27,193	27,965	28,771	28,883
Capital Projects	182,188	189,691	190,325	189,861	192,356
Thruway Authority, New York State	21,911	23,300	23,300	23,300	23,300
Local Assistance Grants	3,570	0	0	0	0
State Operations	18,341	21,500	21,500	21,500	21,500
Non-Personal Service/Indirect Costs	18,341	21,500	21,500	21,500	21,500
Capital Projects	0	1,800	1,800	1,800	1,800
Transportation, Department of	9,152,775	8,903,005	8,961,224	9,101,986	9,135,171
Local Assistance Grants	5,910,854	6,011,702	6,000,732	6,068,849	6,115,344
State Operations	28,374	27,813	29,619	29,628	29,628
Personal Service	10,883	12,301	13,033	13,033	13,033
Non-Personal Service/Indirect Costs	17,491	15,512	16,586	16,595	16,595
General State Charges	6,659	6,646	7,310	7,772	8,187
Capital Projects	3,206,888	2,856,844	2,923,563	2,995,737	2,982,012
Functional Total	9,455,441	9,743,620	9,784,439	9,582,314	9,718,106

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
HEALTH					
Aging, Office for the	232,122	233,185	233,036	238,109	243,309
Local Assistance Grants	223,719	224,945	224,115	229,188	234,388
State Operations	8,403	8,240	8,899	8,899	8,899
Personal Service	6,648	7,122	7,777	7,777	7,777
Non-Personal Service/Indirect Costs	1,755	1,118	1,122	1,122	1,122
General State Charges	0	0	22	22	22
Health, Department of	51,263,366	55,665,912	57,910,075	59,687,239	60,939,580
Medical Assistance	45,668,670	48,262,735	49,404,380	51,048,774	52,117,164
Local Assistance Grants	45,676,218	48,262,735	49,404,380	51,048,774	52,117,164
State Operations	(7,548)	0	0	0	0
Non-Personal Service/Indirect Costs	(7,548)	0	0	0	0
Basic Health Plan	0	1,678,851	2,659,379	2,730,314	2,809,542
Local Assistance Grants	0	1,643,140	2,617,735	2,701,502	2,782,548
State Operations	0	35,711	41,644	28,812	26,994
Personal Service	0	683	804	534	488
Non-Personal Service/Indirect Costs	0	35,028	40,840	28,278	26,506
Medicaid Administration	1,336,706	1,445,067	1,463,940	1,489,960	1,502,060
Local Assistance Grants	959,834	832,381	820,361	820,361	820,361
State Operations	376,872	612,686	643,579	669,599	681,699
Personal Service	54,236	85,129	126,100	138,088	146,076
Non-Personal Service/Indirect Costs	322,636	527,557	517,479	531,511	535,623
Public Health	4,257,990	4,279,259	4,382,376	4,418,191	4,510,814
Local Assistance Grants	3,348,072	3,565,033	3,709,316	3,799,980	3,888,658
State Operations	795,813	557,038	519,200	521,881	523,050
Personal Service	277,587	277,095	304,599	304,630	304,640
Non-Personal Service/Indirect Costs	518,226	279,943	214,601	217,251	218,410
General State Charges	64,555	70,688	80,360	82,830	85,606
Debt Service	1	0	0	0	0
Capital Projects	49,549	86,500	73,500	13,500	13,500
Medicaid Inspector General, Office of the	51,887	53,702	53,486	53,486	53,486
State Operations	42,723	44,353	44,146	44,146	44,146
Personal Service	33,243	33,364	33,134	33,134	33,134
Non-Personal Service/Indirect Costs	9,480	10,989	11,012	11,012	11,012
General State Charges	9,164	9,349	9,340	9,340	9,340
Stem Cell and Innovation	33,483	29,785	29,785	29,785	29,785
State Operations	33,294	29,785	29,785	29,785	29,785
Personal Service	368	463	463	463	463
Non-Personal Service/Indirect Costs	32,926	29,322	29,322	29,322	29,322
General State Charges	189	0	0	0	0
Functional Total	51,580,858	55,982,584	58,226,382	60,008,619	61,266,160
SOCIAL WELFARE					
Children and Family Services, Office of	3,047,919	3,233,999	3,127,823	3,183,717	3,204,842
OCFS	2,961,920	3,147,225	3,039,649	3,094,148	3,115,444
Local Assistance Grants	2,591,873	2,755,799	2,647,902	2,694,408	2,710,556
State Operations	342,071	350,131	342,452	348,256	356,404
Personal Service	195,309	194,141	188,722	189,290	191,195
Non-Personal Service/Indirect Costs	146,762	155,990	153,730	158,966	165,209
General State Charges	8,439	15,364	15,364	15,553	15,553
Capital Projects	19,537	25,931	33,931	35,931	32,931
OCFS - Other	85,999	86,774	88,174	89,569	89,398
Local Assistance Grants	85,999	86,774	88,174	89,569	89,398

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
<i>Housing and Community Renewal, Division of</i>	221,841	232,885	264,798	271,541	276,707
Local Assistance Grants	142,716	150,417	182,196	188,746	193,746
State Operations	60,289	59,143	59,281	59,426	59,550
Personal Service	45,865	47,758	47,840	47,923	47,998
Non-Personal Service/Indirect Costs	14,424	11,385	11,441	11,503	11,552
General State Charges	18,836	20,321	20,321	20,369	20,411
Capital Projects	0	3,004	3,000	3,000	3,000
<i>Human Rights, Division of</i>	14,282	14,266	14,266	14,329	14,383
State Operations	14,221	14,266	14,266	14,329	14,383
Personal Service	11,574	12,536	12,536	12,567	12,596
Non-Personal Service/Indirect Costs	2,647	1,730	1,730	1,762	1,787
General State Charges	61	0	0	0	0
<i>Labor, Department of</i>	618,986	575,445	570,589	576,755	576,755
Local Assistance Grants	154,836	166,757	158,267	158,475	158,475
State Operations	341,976	297,544	297,557	302,187	302,187
Personal Service	214,782	205,834	205,412	207,628	207,628
Non-Personal Service/Indirect Costs	127,194	91,710	92,145	94,559	94,559
General State Charges	122,174	111,144	114,765	116,093	116,093
<i>National and Community Service</i>	17,696	14,909	14,909	16,029	16,335
Local Assistance Grants	450	350	350	350	350
State Operations	17,246	14,559	14,559	15,679	15,985
Personal Service	538	690	690	701	708
Non-Personal Service/Indirect Costs	16,708	13,869	13,869	14,978	15,277
<i>Temporary and Disability Assistance, Office of</i>	5,052,460	5,046,676	5,092,999	5,100,488	5,118,459
Welfare Assistance	3,770,610	3,752,484	3,760,199	3,769,699	3,778,699
Local Assistance Grants	3,770,610	3,752,484	3,760,199	3,769,699	3,778,699
All Other	1,281,850	1,294,192	1,332,800	1,330,789	1,339,760
Local Assistance Grants	961,148	949,756	982,496	977,896	984,296
State Operations	280,916	299,063	304,931	307,520	310,091
Personal Service	141,847	137,168	137,867	138,676	139,492
Non-Personal Service/Indirect Costs	139,069	161,895	167,064	168,844	170,599
General State Charges	39,575	44,973	44,973	44,973	44,973
Capital Projects	211	400	400	400	400
Functional Total	8,973,184	9,118,180	9,085,384	9,162,859	9,207,481
MENTAL HYGIENE					
<i>Alcoholism and Substance Abuse Services, Office of</i>	562,022	603,592	621,922	643,646	657,675
OASAS	478,107	518,736	539,147	559,760	572,164
Local Assistance Grants	428,955	457,081	476,833	496,503	507,681
State Operations	35,757	34,890	35,127	35,361	35,722
Personal Service	24,674	25,389	25,452	25,517	25,682
Non-Personal Service/Indirect Costs	11,083	9,501	9,675	9,844	10,040
General State Charges	13,472	13,682	14,104	14,813	15,678
Capital Projects	(77)	13,083	13,083	13,083	13,083
OASAS - Other	83,915	84,856	82,775	83,886	85,511
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	44,341	44,008	42,003	42,301	42,696
Personal Service	32,857	34,295	32,340	32,444	32,637
Non-Personal Service/Indirect Costs	11,484	9,713	9,663	9,857	10,059
General State Charges	18,249	19,523	19,447	20,260	21,490
<i>Developmental Disabilities Planning Council</i>	3,566	4,200	4,200	4,200	4,200
State Operations	3,006	3,532	3,499	3,456	3,415
Personal Service	991	1,253	1,253	1,266	1,266
Non-Personal Service/Indirect Costs	2,015	2,279	2,246	2,190	2,149

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
General State Charges	560	668	701	744	785
Justice Center	32,151	42,590	43,836	45,233	46,842
Local Assistance Grants	600	620	620	620	620
State Operations	30,937	41,216	42,420	43,748	45,278
Personal Service	19,875	26,994	27,812	28,752	29,908
Non-Personal Service/Indirect Costs	11,062	14,222	14,608	14,996	15,370
General State Charges	614	754	796	865	944
Mental Health, Office of	3,323,614	3,399,832	3,469,140	3,619,884	3,746,930
OMH	1,519,657	1,490,485	1,639,374	1,727,693	1,792,653
Local Assistance Grants	879,567	996,635	1,022,651	1,100,124	1,147,390
State Operations	337,957	300,765	359,071	362,803	368,206
Personal Service	271,043	243,828	297,857	300,217	304,247
Non-Personal Service/Indirect Costs	66,914	56,937	61,214	62,586	63,959
General State Charges	196,822	107,802	172,529	179,643	191,934
Capital Projects	105,311	85,283	85,123	85,123	85,123
OMH - Other	1,803,957	1,909,347	1,829,766	1,892,191	1,954,277
Local Assistance Grants	346,856	275,463	316,624	359,482	369,839
State Operations	1,047,217	1,124,545	1,041,363	1,043,127	1,060,119
Personal Service	807,039	890,423	805,241	803,003	814,123
Non-Personal Service/Indirect Costs	240,178	234,122	236,122	240,124	245,996
General State Charges	409,884	509,339	471,779	489,582	524,319
Mental Hygiene, Department of	228	0	0	0	0
State Operations	228	0	0	0	0
Non-Personal Service/Indirect Costs	228	0	0	0	0
People with Developmental Disabilities, Office for	3,513,914	3,231,103	3,235,913	3,590,975	3,773,207
OPWDD	482,733	388,135	430,667	417,667	417,667
Local Assistance Grants	436,065	347,734	390,266	377,266	377,266
State Operations	931	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	931	1,181	1,181	1,181	1,181
Capital Projects	45,737	39,220	39,220	39,220	39,220
OPWDD - Other	3,031,181	2,842,968	2,805,246	3,173,308	3,355,540
Local Assistance Grants	1,027,577	876,819	896,265	1,224,578	1,347,478
State Operations	1,374,122	1,335,029	1,282,249	1,294,145	1,311,078
Personal Service	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
Non-Personal Service/Indirect Costs	261,618	213,941	211,338	216,364	222,155
General State Charges	629,482	631,120	626,732	654,585	696,984
Functional Total	7,435,495	7,281,317	7,375,011	7,903,938	8,228,854
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
State Operations	2,222	2,651	2,651	2,651	2,651
Personal Service	2,037	2,414	2,414	2,414	2,414
Non-Personal Service/Indirect Costs	185	237	237	237	237
Correctional Services, Department of	2,871,404	2,939,594	2,891,323	2,903,533	2,903,739
Local Assistance Grants	5,939	6,022	6,022	6,022	6,022
State Operations	2,644,227	2,706,940	2,654,778	2,654,984	2,655,190
Personal Service	2,104,270	2,192,732	2,115,570	2,115,776	2,115,982
Non-Personal Service/Indirect Costs	539,957	514,208	539,208	539,208	539,208
General State Charges	930	1,472	1,459	1,463	1,463
Capital Projects	220,308	225,160	229,064	241,064	241,064
Criminal Justice Services, Division of	217,312	243,946	231,396	226,396	226,396
Local Assistance Grants	173,080	188,887	176,036	171,036	171,036
State Operations	43,947	51,739	51,912	51,912	51,912
Personal Service	29,604	31,785	31,827	31,827	31,827

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Non-Personal Service/Indirect Costs	14,343	19,954	20,085	20,085	20,085
General State Charges	285	3,320	3,448	3,448	3,448
Disaster Assistance	(8,011)	(45,309)	0	0	0
Local Assistance Grants	2,726	0	0	0	0
State Operations	(10,737)	(45,309)	0	0	0
Personal Service	(9,310)	0	0	0	0
Non-Personal Service/Indirect Costs	(1,427)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	2,512,054	2,481,520	1,619,911	1,063,039	749,959
Local Assistance Grants	2,322,250	2,298,447	1,571,290	1,017,397	704,294
State Operations	138,904	116,324	36,853	36,853	36,853
Personal Service	35,509	20,071	20,071	20,071	20,071
Non-Personal Service/Indirect Costs	103,395	96,253	16,782	16,782	16,782
General State Charges	8,958	3,745	3,768	3,789	3,812
Capital Projects	41,942	63,004	8,000	5,000	5,000
Indigent Legal Services, Office of	52,689	68,017	105,967	104,567	104,567
Local Assistance Grants	51,123	65,000	103,000	101,600	101,600
State Operations	1,051	2,489	2,239	2,239	2,239
Personal Service	928	1,454	1,704	1,704	1,704
Non-Personal Service/Indirect Costs	123	1,035	535	535	535
General State Charges	515	528	728	728	728
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
State Operations	5,384	5,584	5,584	5,584	5,643
Personal Service	4,028	4,181	4,181	4,181	4,212
Non-Personal Service/Indirect Costs	1,356	1,403	1,403	1,403	1,431
Judicial Nomination, Commission on	24	30	30	30	30
State Operations	24	30	30	30	30
Non-Personal Service/Indirect Costs	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
State Operations	12	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	12	25	25	25	25
Military and Naval Affairs, Division of	112,072	134,449	104,750	89,559	90,030
Local Assistance Grants	724	911	911	911	911
State Operations	65,488	60,719	59,831	59,831	59,831
Personal Service	42,930	39,995	39,107	39,107	39,107
Non-Personal Service/Indirect Costs	22,558	20,724	20,724	20,724	20,724
General State Charges	16,583	8,012	8,401	8,817	9,288
Capital Projects	29,277	64,807	35,607	20,000	20,000
State Police, Division of	697,545	692,152	720,725	706,736	702,403
State Operations	686,358	657,402	668,773	668,773	668,773
Personal Service	582,199	577,599	592,770	592,770	592,770
Non-Personal Service/Indirect Costs	104,159	79,803	76,003	76,003	76,003
General State Charges	3,594	4,211	4,311	4,453	4,615
Capital Projects	7,593	30,539	47,641	33,510	29,015
Statewide Financial System	31,959	30,137	29,711	29,717	29,717
State Operations	31,959	30,137	29,711	29,717	29,717
Personal Service	9,622	11,112	10,638	10,638	10,638
Non-Personal Service/Indirect Costs	22,337	19,025	19,073	19,079	19,079
Victim Services, Office of	61,976	69,720	68,830	68,830	68,830
Local Assistance Grants	55,492	61,098	60,198	60,198	60,198
State Operations	4,877	6,059	6,070	6,070	6,070
Personal Service	4,171	4,833	4,834	4,834	4,834
Non-Personal Service/Indirect Costs	706	1,226	1,236	1,236	1,236
General State Charges	1,607	2,563	2,562	2,562	2,562
Functional Total	6,556,642	6,622,529	5,780,916	5,200,680	4,884,003

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
HIGHER EDUCATION					
City University of New York	1,519,689	1,551,470	1,551,602	1,582,884	1,627,752
Local Assistance Grants	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
State Operations	86,784	84,363	86,265	88,206	89,080
Personal Service	48,140	42,363	43,425	44,509	44,509
Non-Personal Service/Indirect Costs	38,644	42,000	42,840	43,697	44,571
General State Charges	7,717	6,000	6,000	6,000	6,000
Capital Projects	30,141	35,000	35,000	35,400	35,900
Higher Education - Miscellaneous	300	390	390	390	390
State Operations	217	291	291	291	291
Personal Service	146	198	198	198	198
Non-Personal Service/Indirect Costs	71	93	93	93	93
General State Charges	83	99	99	99	99
Higher Education Facilities Capital Matching Grants Program	2,086	15,000	25,000	35,000	37,900
Local Assistance Grants	2,086	7,000	974	0	0
Capital Projects	0	8,000	24,026	35,000	37,900
Higher Education Services Corporation, New York State	1,273,131	1,122,683	1,172,569	1,203,877	1,220,877
Local Assistance Grants	1,210,268	1,061,791	1,111,365	1,142,261	1,159,261
State Operations	48,899	50,245	50,219	50,219	50,219
Personal Service	16,615	17,960	17,935	17,935	17,935
Non-Personal Service/Indirect Costs	32,284	32,285	32,284	32,284	32,284
General State Charges	13,964	10,647	10,985	11,397	11,397
State University of New York	8,025,152	7,910,270	7,942,316	7,987,041	8,094,190
Local Assistance Grants	487,080	512,449	513,506	514,756	513,006
State Operations	6,087,262	5,954,640	6,102,164	6,229,500	6,365,500
Personal Service	3,511,497	3,586,201	3,666,817	3,722,897	3,783,425
Non-Personal Service/Indirect Costs	2,575,765	2,368,439	2,435,347	2,506,603	2,582,075
General State Charges	534,497	439,595	392,502	401,375	410,524
Capital Projects	916,313	1,003,586	934,144	841,410	805,160
Functional Total	10,820,358	10,599,813	10,691,877	10,809,192	10,981,109
EDUCATION					
Arts, Council on the	67,068	45,953	45,953	45,953	45,953
Local Assistance Grants	63,756	41,533	41,533	41,533	41,533
State Operations	3,312	4,420	4,420	4,420	4,420
Personal Service	2,132	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,180	1,922	1,922	1,922	1,922
Education, Department of	30,626,426	33,449,872	34,737,062	36,055,121	37,575,600
School Aid	23,684,046	26,417,468	27,668,535	28,809,649	30,135,528
Local Assistance Grants	23,683,662	26,017,028	27,268,535	28,409,649	29,735,528
State Operations	288	299	0	0	0
Personal Service	68	196	0	0	0
Non-Personal Service/Indirect Costs	220	103	0	0	0
General State Charges	96	141	0	0	0
Capital Projects	0	400,000	400,000	400,000	400,000
STAR Property Tax Relief	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
Local Assistance Grants	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
Special Education Categorical Programs	2,134,556	2,282,853	2,380,800	2,502,700	2,633,100
Local Assistance Grants	2,110,122	2,282,853	2,380,800	2,502,700	2,633,100
State Operations	18,805	0	0	0	0
Personal Service	10,440	0	0	0	0
Non-Personal Service/Indirect Costs	8,365	0	0	0	0
General State Charges	5,629	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
All Other	1,510,874	1,367,272	1,219,883	1,232,980	1,254,740
Local Assistance Grants	1,130,718	910,430	818,906	835,788	864,672
State Operations	301,480	357,566	279,532	279,532	279,532
Personal Service	156,607	169,091	167,974	167,974	167,974
Non-Personal Service/Indirect Costs	144,873	188,475	111,558	111,558	111,558
General State Charges	73,988	83,044	83,522	85,213	87,136
Capital Projects	4,688	16,232	37,923	32,447	23,400
Functional Total	30,693,494	33,495,825	34,783,015	36,101,074	37,621,553
GENERAL GOVERNMENT					
Budget, Division of the	23,809	30,920	30,495	30,596	30,596
State Operations	22,834	29,477	28,938	28,939	28,939
Personal Service	20,223	24,434	24,514	24,567	24,567
Non-Personal Service/Indirect Costs	2,611	5,043	4,424	4,372	4,372
General State Charges	975	1,443	1,557	1,657	1,657
Civil Service, Department of	13,196	13,395	13,381	13,381	13,507
State Operations	13,045	13,219	13,205	13,205	13,331
Personal Service	12,046	12,398	12,384	12,384	12,497
Non-Personal Service/Indirect Costs	999	821	821	821	834
General State Charges	151	176	176	176	176
Deferred Compensation Board	527	855	866	866	866
State Operations	361	630	641	641	641
Personal Service	305	410	410	410	410
Non-Personal Service/Indirect Costs	56	220	231	231	231
General State Charges	166	225	225	225	225
Elections, State Board of	9,818	28,426	12,746	13,746	137,851
Local Assistance Grants	559	1,800	0	0	111,000
State Operations	9,259	26,626	12,746	13,172	24,902
Personal Service	4,680	5,687	5,573	6,539	11,682
Non-Personal Service/Indirect Costs	4,579	20,939	7,173	6,633	13,220
General State Charges	0	0	0	574	1,949
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
State Operations	2,210	2,581	2,581	2,581	2,601
Personal Service	2,178	2,510	2,510	2,510	2,529
Non-Personal Service/Indirect Costs	32	71	71	71	72
Gaming Commission, New York State	149,273	206,934	177,943	232,841	232,841
Local Assistance Grants	0	34,200	5,000	58,200	58,200
State Operations	134,317	155,853	155,985	157,066	157,066
Personal Service	30,748	37,892	37,944	38,988	38,988
Non-Personal Service/Indirect Costs	103,569	117,961	118,041	118,078	118,078
General State Charges	14,956	16,881	16,958	17,575	17,575
General Services, Office of	277,944	284,982	275,210	297,525	248,455
Local Assistance Grants	0	250	250	250	250
State Operations	157,736	168,262	169,809	169,809	169,809
Personal Service	62,120	75,248	79,491	79,491	79,491
Non-Personal Service/Indirect Costs	95,616	93,014	90,318	90,318	90,318
General State Charges	2,208	2,187	2,268	2,383	2,513
Capital Projects	118,000	114,283	102,883	125,083	75,883
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
State Operations	7,069	6,917	6,917	6,917	6,977
Personal Service	6,217	6,360	6,360	6,360	6,408
Non-Personal Service/Indirect Costs	852	557	557	557	569
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
State Operations	24,098	35,356	45,356	35,356	35,356

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Personal Service	6,402	5,446	5,446	5,446	5,446
Non-Personal Service/Indirect Costs	17,696	29,910	39,910	29,910	29,910
Prevention of Domestic Violence, Office for	1,956	2,281	2,281	2,281	2,281
Local Assistance Grants	543	685	685	685	685
State Operations	1,413	1,596	1,596	1,596	1,596
Personal Service	1,314	1,388	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	99	208	208	208	208
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
State Operations	3,115	3,731	3,572	3,573	3,604
Personal Service	2,894	3,495	3,336	3,336	3,363
Non-Personal Service/Indirect Costs	221	236	236	237	241
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State Operations	3,628	5,531	5,531	5,531	5,576
Personal Service	2,899	4,620	4,620	4,620	4,646
Non-Personal Service/Indirect Costs	729	911	911	911	930
State, Department of	119,089	141,763	125,984	126,182	126,182
Local Assistance Grants	63,843	77,148	62,836	62,836	62,836
State Operations	44,663	52,849	51,097	51,058	51,058
Personal Service	30,012	32,555	32,504	32,466	32,466
Non-Personal Service/Indirect Costs	14,651	20,294	18,593	18,592	18,592
General State Charges	10,583	11,766	12,051	12,288	12,288
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
State Operations	2,849	3,040	3,040	3,040	3,040
Personal Service	2,688	2,870	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	161	170	170	170	170
Taxation and Finance, Department of	381,732	357,323	358,476	358,778	358,778
Local Assistance Grants	906	926	926	926	926
State Operations	349,041	331,351	331,681	331,838	331,838
Personal Service	285,802	276,619	276,608	276,761	276,761
Non-Personal Service/Indirect Costs	63,239	54,732	55,073	55,077	55,077
General State Charges	31,785	25,046	25,869	26,014	26,014
Technology, Office for	458,465	552,101	615,430	605,884	540,561
State Operations	427,702	512,706	523,180	523,131	534,861
Personal Service	277,996	275,888	275,891	275,861	275,861
Non-Personal Service/Indirect Costs	149,706	236,818	247,289	247,270	259,000
Capital Projects	30,763	39,395	92,250	82,753	5,700
Veterans' Affairs, Division of	13,518	17,463	15,546	15,546	15,631
Local Assistance Grants	7,486	9,387	7,637	7,637	7,637
State Operations	5,827	7,726	7,559	7,559	7,644
Personal Service	5,312	6,842	6,675	6,675	6,742
Non-Personal Service/Indirect Costs	515	884	884	884	902
General State Charges	205	350	350	350	350
Welfare Inspector General, Office of	573	972	972	972	986
State Operations	573	972	972	972	986
Personal Service	472	514	514	514	518
Non-Personal Service/Indirect Costs	101	458	458	458	468
Workers' Compensation Board	194,225	198,558	205,278	212,232	214,015
State Operations	148,212	145,330	145,330	145,330	147,113
Personal Service	77,315	80,977	80,977	80,977	81,592
Non-Personal Service/Indirect Costs	70,897	64,353	64,353	64,353	65,521
General State Charges	46,013	48,228	49,948	51,902	51,902
Capital Projects	0	5,000	10,000	15,000	15,000
Functional Total	1,687,094	1,893,129	1,901,605	1,967,828	1,979,704

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ELECTED OFFICIALS					
Audit and Control, Department of	174,514	176,668	176,211	176,322	176,383
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	140,855	142,710	142,320	142,320	142,320
Personal Service	109,351	111,168	110,901	110,901	110,901
Non-Personal Service/Indirect Costs	31,504	31,542	31,419	31,419	31,419
General State Charges	1,634	1,934	1,867	1,978	2,039
Executive Chamber	13,966	13,578	13,578	13,578	13,578
State Operations	13,966	13,578	13,578	13,578	13,578
Personal Service	10,621	11,135	11,469	11,813	11,813
Non-Personal Service/Indirect Costs	3,345	2,443	2,109	1,765	1,765
Judiciary	2,681,428	2,783,379	2,839,053	2,887,153	2,922,153
Local Assistance Grants	107,429	121,600	121,600	121,600	121,600
State Operations	1,913,850	1,965,476	1,974,000	1,974,000	1,974,000
Personal Service	1,480,926	1,504,376	1,513,400	1,513,400	1,513,400
Non-Personal Service/Indirect Costs	432,924	461,100	460,600	460,600	460,600
General State Charges	660,149	696,303	743,453	791,553	826,553
Law, Department of	213,118	228,778	232,320	232,995	234,116
State Operations	190,193	195,358	197,006	198,159	199,163
Personal Service	130,508	136,223	137,723	138,725	139,729
Non-Personal Service/Indirect Costs	59,685	59,135	59,283	59,434	59,434
General State Charges	20,546	30,420	32,314	33,215	34,953
Capital Projects	2,379	3,000	3,000	1,621	0
Legislature	206,804	218,795	218,795	218,795	218,795
State Operations	206,804	218,795	218,795	218,795	218,795
Personal Service	160,777	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	46,027	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	366	614	614	614	614
State Operations	366	614	614	614	614
Personal Service	299	498	513	523	523
Non-Personal Service/Indirect Costs	67	116	101	91	91
Functional Total	3,290,196	3,421,812	3,480,571	3,529,457	3,565,639
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347
Local Assistance Grants	726,338	741,121	759,356	762,710	763,347
State Operations	100	0	0	0	0
Non-Personal Service/Indirect Costs	100	0	0	0	0
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Local Assistance Grants	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Local Assistance Grants	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	765,376	784,554	791,328	793,882	794,519

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Long-Term Debt Service	6,221,470	5,166,373	6,254,184	6,745,920	7,050,295
State Operations	38,654	43,960	46,678	46,678	46,678
Non-Personal Service/Indirect Costs	38,654	43,960	46,678	46,678	46,678
Debt Service	6,182,816	5,122,413	6,207,506	6,699,242	7,003,617
Miscellaneous	(225,257)	688,496	359,171	694,225	935,841
Local Assistance Grants	(326,287)	(443,777)	(361,777)	(301,777)	(190,777)
State Operations	20,715	25,234	25,234	240,235	26,251
Personal Service	2,204	2,493	2,493	2,493	2,498
Non-Personal Service/Indirect Costs	18,511	22,741	22,741	237,742	23,753
General State Charges	13,902	5,914	5,914	5,917	5,917
Capital Projects	66,413	1,101,125	689,800	749,850	1,094,450
Functional Total	10,127,899	10,321,145	11,604,202	12,705,315	13,533,277
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	143,890,698	152,150,282	156,833,345	161,119,027	165,084,412

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	104,699	108,571	99,244	99,622	99,689
Alcoholic Beverage Control, Division of	17,636	17,394	17,551	17,728	17,728
Economic Development Capital	10,509	11,215	23,000	29,276	23,000
Economic Development, Department of	70,646	106,839	99,568	92,609	89,335
Empire State Development Corporation	527,613	847,123	1,286,211	1,348,699	1,309,528
Energy Research and Development Authority	21,047	22,600	25,000	13,500	13,000
Financial Services, Department of	493,855	376,710	377,358	379,038	379,170
Olympic Regional Development Authority	9,911	10,661	3,161	3,161	3,161
Public Service Department	71,807	75,248	76,134	77,514	78,913
Regional Economic Development Program	3,071	1,500	1,500	1,334	500
Strategic Investment Program	1,030	5,000	6,000	6,000	5,871
Functional Total	1,331,824	1,582,861	2,014,727	2,068,481	2,019,895
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,416	4,682	4,682	4,682	4,682
Environmental Conservation, Department of	873,136	977,554	980,977	957,802	956,526
Hudson River Park Trust	49	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	295,236	320,677	328,229	322,904	322,904
Functional Total	1,172,837	1,302,913	1,313,888	1,285,388	1,284,112
TRANSPORTATION					
Metropolitan Transportation Authority	0	512,171	493,229	150,000	250,000
Motor Vehicles, Department of	280,755	305,144	306,686	307,028	309,635
Thruway Authority, New York State	21,911	23,300	23,300	23,300	23,300
Transportation, Department of	9,152,775	8,903,005	8,961,224	9,101,986	9,135,171
Functional Total	9,455,441	9,743,620	9,784,439	9,582,314	9,718,106
HEALTH					
Aging, Office for the	232,122	233,185	233,036	238,109	243,309
Health, Department of	51,263,366	55,665,912	57,910,075	59,687,239	60,939,580
<i>Medical Assistance</i>	45,668,670	48,262,735	49,404,380	51,048,774	52,117,164
<i>Basic Health Plan</i>	0	1,678,851	2,659,379	2,730,314	2,809,542
<i>Medicaid Administration</i>	1,336,706	1,445,067	1,463,940	1,489,960	1,502,060
<i>Public Health</i>	4,257,990	4,279,259	4,382,376	4,418,191	4,510,814
Medicaid Inspector General, Office of the	51,887	53,702	53,486	53,486	53,486
Stem Cell and Innovation	33,483	29,785	29,785	29,785	29,785
Functional Total	51,580,858	55,982,584	58,226,382	60,008,619	61,266,160
SOCIAL WELFARE					
Children and Family Services, Office of	3,047,919	3,233,999	3,127,823	3,183,717	3,204,842
<i>OCFS</i>	2,961,920	3,147,225	3,039,649	3,094,148	3,115,444
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	221,841	232,885	264,798	271,541	276,707
Human Rights, Division of	14,282	14,266	14,266	14,329	14,383
Labor, Department of	618,986	575,445	570,589	576,755	576,755
National and Community Service	17,696	14,909	14,909	16,029	16,335
Temporary and Disability Assistance, Office of	5,052,460	5,046,676	5,092,999	5,100,488	5,118,459
<i>Welfare Assistance</i>	3,770,610	3,752,484	3,760,199	3,769,699	3,778,699
<i>All Other</i>	1,281,850	1,294,192	1,332,800	1,330,789	1,339,760
Functional Total	8,973,184	9,118,180	9,085,384	9,162,859	9,207,481
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	562,022	603,592	621,922	643,646	657,675
<i>OASAS</i>	478,107	518,736	539,147	559,760	572,164
<i>OASAS - Other</i>	83,915	84,856	82,775	83,886	85,511
Developmental Disabilities Planning Council	3,566	4,200	4,200	4,200	4,200
Justice Center	32,151	42,590	43,836	45,233	46,842
Mental Health, Office of	3,323,614	3,399,832	3,469,140	3,619,884	3,746,930
<i>OMH</i>	1,519,657	1,490,485	1,639,374	1,727,693	1,792,653
<i>OMH - Other</i>	1,803,957	1,909,347	1,829,766	1,892,191	1,954,277
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	3,513,914	3,231,103	3,235,913	3,590,975	3,773,207
<i>OPWDD</i>	482,733	388,135	430,667	417,667	417,667
<i>OPWDD - Other</i>	3,031,181	2,842,968	2,805,246	3,173,308	3,355,540
Functional Total	7,435,495	7,281,317	7,375,011	7,903,938	8,228,854

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,871,404	2,939,594	2,891,323	2,903,533	2,903,739
Criminal Justice Services, Division of	217,312	243,946	231,396	226,396	226,396
Disaster Assistance	(8,011)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	2,512,054	2,481,520	1,619,911	1,063,039	749,959
Indigent Legal Services, Office of	52,689	68,017	105,967	104,567	104,567
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
Military and Naval Affairs, Division of	112,072	134,449	104,750	89,559	90,030
State Police, Division of	697,545	692,152	720,725	706,736	702,403
Statewide Financial System	31,959	30,137	29,711	29,717	29,717
Victim Services, Office of	61,976	69,720	68,830	68,830	68,830
Functional Total	6,556,642	6,622,529	5,780,916	5,200,680	4,884,003
HIGHER EDUCATION					
City University of New York	1,519,689	1,551,470	1,551,602	1,582,884	1,627,752
Higher Education - Miscellaneous	300	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	2,086	15,000	25,000	35,000	37,900
Higher Education Services Corporation, New York State	1,273,131	1,122,683	1,172,569	1,203,877	1,220,877
State University of New York	8,025,152	7,910,270	7,942,316	7,987,041	8,094,190
Functional Total	10,820,358	10,599,813	10,691,877	10,809,192	10,981,109
EDUCATION					
Arts, Council on the	67,068	45,953	45,953	45,953	45,953
Education, Department of	30,626,426	33,449,872	34,737,062	36,055,121	37,575,600
<i>School Aid</i>	23,684,046	26,417,468	27,668,535	28,809,649	30,135,528
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>Special Education Categorical Programs</i>	2,134,556	2,282,853	2,380,800	2,502,700	2,633,100
<i>All Other</i>	1,510,874	1,367,272	1,219,883	1,232,980	1,254,740
Functional Total	30,693,494	33,495,825	34,783,015	36,101,074	37,621,553
GENERAL GOVERNMENT					
Budget, Division of the	23,809	30,920	30,495	30,596	30,596
Civil Service, Department of	13,196	13,395	13,381	13,381	13,507
Deferred Compensation Board	527	855	866	866	866
Elections, State Board of	9,818	28,426	12,746	13,746	137,851
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	149,273	206,934	177,943	232,841	232,841
General Services, Office of	277,944	284,982	275,210	297,525	248,455
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
Prevention of Domestic Violence, Office for	1,956	2,281	2,281	2,281	2,281
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	119,089	141,763	125,984	126,182	126,182
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	381,732	357,323	358,476	358,778	358,778
Technology, Office for	458,465	552,101	615,430	605,884	540,561
Veterans' Affairs, Division of	13,518	17,463	15,546	15,546	15,631
Welfare Inspector General, Office of	573	972	972	972	986
Workers' Compensation Board	194,225	198,558	205,278	212,232	214,015
Functional Total	1,687,094	1,893,129	1,901,605	1,967,828	1,979,704
ELECTED OFFICIALS					
Audit and Control, Department of	174,514	176,668	176,211	176,322	176,383
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	2,681,428	2,783,379	2,839,053	2,887,153	2,922,153
Law, Department of	213,118	228,778	232,320	232,995	234,116
Legislature	206,804	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	3,290,196	3,421,812	3,480,571	3,529,457	3,565,639
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	<u>765,376</u>	<u>784,554</u>	<u>791,328</u>	<u>793,882</u>	<u>794,519</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Long-Term Debt Service	6,221,470	5,166,373	6,254,184	6,745,920	7,050,295
Miscellaneous	(225,257)	688,496	359,171	694,225	935,841
Functional Total	<u>10,127,899</u>	<u>10,321,145</u>	<u>11,604,202</u>	<u>12,705,315</u>	<u>13,533,277</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>143,890,698</u>	<u>152,150,282</u>	<u>156,833,345</u>	<u>161,119,027</u>	<u>165,084,412</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,275	35,197	27,321	27,321	27,321
Economic Development Capital	8,524	0	0	0	0
Economic Development, Department of	50,363	67,771	63,934	67,934	67,934
Empire State Development Corporation	461,088	706,000	882,710	939,071	971,000
Energy Research and Development Authority	5,527	0	0	0	0
Financial Services, Department of	223,476	76,664	76,414	76,289	76,289
Public Service Department	0	188	188	188	188
Regional Economic Development Program	3,071	0	0	0	0
Strategic Investment Program	1,030	0	0	0	0
Functional Total	778,354	885,820	1,050,567	1,110,803	1,142,732
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	307,428	225,225	212,782	205,200	205,200
Parks, Recreation and Historic Preservation, Office of	9,722	9,775	8,695	8,870	8,870
Functional Total	317,150	235,000	221,477	214,070	214,070
TRANSPORTATION					
Metropolitan Transportation Authority	0	512,171	493,229	150,000	250,000
Motor Vehicles, Department of	14,536	18,000	18,000	18,000	18,000
Thruway Authority, New York State	3,570	0	0	0	0
Transportation, Department of	5,910,854	6,011,702	6,000,732	6,068,849	6,115,344
Functional Total	5,928,960	6,541,873	6,511,961	6,236,849	6,383,344
HEALTH					
Aging, Office for the	223,719	224,945	224,115	229,188	234,388
Health, Department of	49,984,124	54,303,289	56,551,792	58,370,617	59,608,731
<i>Medical Assistance</i>	45,676,218	48,262,735	49,404,380	51,048,774	52,117,164
<i>Basic Health Plan</i>	0	1,643,140	2,617,735	2,701,502	2,782,548
<i>Medicaid Administration</i>	959,834	832,381	820,361	820,361	820,361
<i>Public Health</i>	3,348,072	3,565,033	3,709,316	3,799,980	3,888,658
Functional Total	50,207,843	54,528,234	56,775,907	58,599,805	59,843,119
SOCIAL WELFARE					
Children and Family Services, Office of	2,677,872	2,842,573	2,736,076	2,783,977	2,799,954
<i>OCFS</i>	2,591,873	2,755,799	2,647,902	2,694,408	2,710,556
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	142,716	150,417	182,196	188,746	193,746
Labor, Department of	154,836	166,757	158,267	158,475	158,475
National and Community Service	450	350	350	350	350
Temporary and Disability Assistance, Office of	4,731,758	4,702,240	4,742,695	4,747,595	4,762,995
<i>Welfare Assistance</i>	3,770,610	3,752,484	3,760,199	3,769,699	3,778,699
<i>All Other</i>	961,148	949,756	982,496	977,896	984,296
Functional Total	7,707,632	7,862,337	7,819,584	7,879,143	7,915,520
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	450,280	478,406	498,158	517,828	529,006
<i>OASAS</i>	428,955	457,081	476,833	496,503	507,681
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	600	620	620	620	620
Mental Health, Office of	1,226,423	1,272,098	1,339,275	1,459,606	1,517,229
<i>OMH</i>	879,567	996,635	1,022,651	1,100,124	1,147,390
<i>OMH - Other</i>	346,856	275,463	316,624	359,482	369,839
People with Developmental Disabilities, Office for	1,463,642	1,224,553	1,286,531	1,601,844	1,724,744
<i>OPWDD</i>	436,065	347,734	390,266	377,266	377,266
<i>OPWDD - Other</i>	1,027,577	876,819	896,265	1,224,578	1,347,478
Functional Total	3,140,945	2,975,677	3,124,584	3,579,898	3,771,599
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,939	6,022	6,022	6,022	6,022
Criminal Justice Services, Division of	173,080	188,887	176,036	171,036	171,036
Disaster Assistance	2,726	0	0	0	0
Homeland Security and Emergency Services, Division of	2,322,250	2,298,447	1,571,290	1,017,397	704,294

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Indigent Legal Services, Office of	51,123	65,000	103,000	101,600	101,600
Military and Naval Affairs, Division of	724	911	911	911	911
Victim Services, Office of	55,492	61,098	60,198	60,198	60,198
Functional Total	2,611,334	2,620,365	1,917,457	1,357,164	1,044,061
HIGHER EDUCATION					
City University of New York	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
Higher Education Facilities Capital Matching Grants Program	2,086	7,000	974	0	0
Higher Education Services Corporation, New York State	1,210,268	1,061,791	1,111,365	1,142,261	1,159,261
State University of New York	487,080	512,449	513,506	514,756	513,006
Functional Total	3,094,481	3,007,347	3,050,182	3,110,295	3,169,039
EDUCATION					
Arts, Council on the	63,756	41,533	41,533	41,533	41,533
Education, Department of	30,221,452	32,592,590	33,936,085	35,257,929	36,785,532
<i>School Aid</i>	23,683,662	26,017,028	27,268,535	28,409,649	29,735,528
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>Special Education Categorical Programs</i>	2,110,122	2,282,853	2,380,800	2,502,700	2,633,100
<i>All Other</i>	1,130,718	910,430	818,906	835,788	864,672
Functional Total	30,285,208	32,634,123	33,977,618	35,299,462	36,827,065
GENERAL GOVERNMENT					
Elections, State Board of	559	1,800	0	0	111,000
Gaming Commission, New York State	0	34,200	5,000	58,200	58,200
General Services, Office of	0	250	250	250	250
Prevention of Domestic Violence, Office for	543	685	685	685	685
State, Department of	63,843	77,148	62,836	62,836	62,836
Taxation and Finance, Department of	906	926	926	926	926
Veterans' Affairs, Division of	7,486	9,387	7,637	7,637	7,637
Functional Total	73,337	124,396	77,334	130,534	241,534
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	121,600	121,600	121,600	121,600
Functional Total	139,454	153,624	153,624	153,624	153,624
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,338	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	765,276	784,554	791,328	793,882	794,519
ALL OTHER CATEGORIES					
Miscellaneous	(326,287)	(443,777)	(361,777)	(301,777)	(190,777)
Functional Total	(326,287)	(443,777)	(361,777)	(301,777)	(190,777)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	104,723,687	111,909,573	115,109,846	118,163,752	121,309,449

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	64,890	66,086	64,833	65,019	65,019
Alcoholic Beverage Control, Division of	13,095	12,751	12,753	12,755	12,755
Economic Development, Department of	20,151	22,373	22,173	21,373	21,373
Empire State Development Corporation	50	850	850	850	850
Energy Research and Development Authority	4,841	0	0	0	0
Financial Services, Department of	193,325	211,651	210,417	209,717	209,717
Olympic Regional Development Authority	3,011	3,161	3,161	3,161	3,161
Public Service Department	49,158	50,950	50,872	50,955	50,955
Functional Total	348,521	367,822	365,059	363,830	363,830
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,416	4,682	4,682	4,682	4,682
Environmental Conservation, Department of	271,334	283,203	282,890	261,154	262,059
Parks, Recreation and Historic Preservation, Office of	183,841	179,688	180,037	180,036	180,036
Functional Total	459,591	467,573	467,609	445,872	446,777
TRANSPORTATION					
Motor Vehicles, Department of	60,675	70,260	70,396	70,396	70,396
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	28,374	27,813	29,619	29,628	29,628
Functional Total	107,390	119,573	121,515	121,524	121,524
HEALTH					
Aging, Office for the	8,403	8,240	8,899	8,899	8,899
Health, Department of	1,165,137	1,205,435	1,204,423	1,220,292	1,231,743
<i>Medical Assistance</i>	(7,548)	0	0	0	0
<i>Basic Health Plan</i>	0	35,711	41,644	28,812	26,994
<i>Medicaid Administration</i>	376,872	612,686	643,579	669,599	681,699
<i>Public Health</i>	795,813	557,038	519,200	521,881	523,050
Medicaid Inspector General, Office of the	42,723	44,353	44,146	44,146	44,146
Stem Cell and Innovation	33,294	29,785	29,785	29,785	29,785
Functional Total	1,249,557	1,287,813	1,287,253	1,303,122	1,314,573
SOCIAL WELFARE					
Children and Family Services, Office of	342,071	350,131	342,452	348,256	356,404
<i>OCFS</i>	342,071	350,131	342,452	348,256	356,404
Housing and Community Renewal, Division of	60,289	59,143	59,281	59,426	59,550
Human Rights, Division of	14,221	14,266	14,266	14,329	14,383
Labor, Department of	341,976	297,544	297,557	302,187	302,187
National and Community Service	17,246	14,559	14,559	15,679	15,985
Temporary and Disability Assistance, Office of	280,916	299,063	304,931	307,520	310,091
<i>All Other</i>	280,916	299,063	304,931	307,520	310,091
Functional Total	1,056,719	1,034,706	1,033,046	1,047,397	1,058,600
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	80,098	78,898	77,130	77,662	78,418
<i>OASAS</i>	35,757	34,890	35,127	35,361	35,722
<i>OASAS - Other</i>	44,341	44,008	42,003	42,301	42,696
Developmental Disabilities Planning Council	3,006	3,532	3,499	3,456	3,415
Justice Center	30,937	41,216	42,420	43,748	45,278
Mental Health, Office of	1,385,174	1,425,310	1,400,434	1,405,930	1,428,325
<i>OMH</i>	337,957	300,765	359,071	362,803	368,206
<i>OMH - Other</i>	1,047,217	1,124,545	1,041,363	1,043,127	1,060,119
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	1,375,053	1,336,210	1,283,430	1,295,326	1,312,259
<i>OPWDD</i>	931	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,374,122	1,335,029	1,282,249	1,294,145	1,311,078
Functional Total	2,874,496	2,885,166	2,806,913	2,826,122	2,867,695
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Correctional Services, Department of	2,644,227	2,706,940	2,654,778	2,654,984	2,655,190
Criminal Justice Services, Division of	43,947	51,739	51,912	51,912	51,912
Disaster Assistance	(10,737)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	138,904	116,324	36,853	36,853	36,853
Indigent Legal Services, Office of	1,051	2,489	2,239	2,239	2,239
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
Military and Naval Affairs, Division of	65,488	60,719	59,831	59,831	59,831
State Police, Division of	686,358	657,402	668,773	668,773	668,773
Statewide Financial System	31,959	30,137	29,711	29,717	29,717
Victim Services, Office of	4,877	6,059	6,070	6,070	6,070
Functional Total	3,613,716	3,594,803	3,518,470	3,518,682	3,518,947
HIGHER EDUCATION					
City University of New York	86,784	84,363	86,265	88,206	89,080
Higher Education - Miscellaneous	217	291	291	291	291
Higher Education Services Corporation, New York State	48,899	50,245	50,219	50,219	50,219
State University of New York	6,087,262	5,954,640	6,102,164	6,229,500	6,365,500
Functional Total	6,223,162	6,089,539	6,238,939	6,368,216	6,505,090
EDUCATION					
Arts, Council on the	3,312	4,420	4,420	4,420	4,420
Education, Department of	320,573	357,865	279,532	279,532	279,532
<i>School Aid</i>	288	299	0	0	0
<i>Special Education Categorical Programs</i>	18,805	0	0	0	0
<i>All Other</i>	301,480	357,566	279,532	279,532	279,532
Functional Total	323,885	362,285	283,952	283,952	283,952
GENERAL GOVERNMENT					
Budget, Division of the	22,834	29,477	28,938	28,939	28,939
Civil Service, Department of	13,045	13,219	13,205	13,205	13,331
Deferred Compensation Board	361	630	641	641	641
Elections, State Board of	9,259	26,626	12,746	13,172	24,902
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	134,317	155,853	155,985	157,066	157,066
General Services, Office of	157,736	168,262	169,809	169,809	169,809
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
Prevention of Domestic Violence, Office for	1,413	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	44,663	52,849	51,097	51,058	51,058
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	349,041	331,351	331,681	331,838	331,838
Technology, Office for	427,702	512,706	523,180	523,131	534,861
Veterans' Affairs, Division of	5,827	7,726	7,559	7,559	7,644
Welfare Inspector General, Office of	573	972	972	972	986
Workers' Compensation Board	148,212	145,330	145,330	145,330	147,113
Functional Total	1,357,952	1,503,753	1,509,736	1,501,314	1,526,938
ELECTED OFFICIALS					
Audit and Control, Department of	140,855	142,710	142,320	142,320	142,320
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	1,913,850	1,965,476	1,974,000	1,974,000	1,974,000
Law, Department of	190,193	195,358	197,006	198,159	199,163
Legislature	206,804	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	2,466,034	2,536,531	2,546,313	2,547,466	2,548,470
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	100	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,654	43,960	46,678	46,678	46,678
Miscellaneous	<u>20,715</u>	<u>25,234</u>	<u>25,234</u>	<u>240,235</u>	<u>26,251</u>
Functional Total	<u>59,369</u>	<u>69,194</u>	<u>71,912</u>	<u>286,913</u>	<u>72,929</u>
 TOTAL STATE OPERATIONS SPENDING	 <u>20,140,492</u>	 <u>20,318,758</u>	 <u>20,250,717</u>	 <u>20,614,410</u>	 <u>20,629,325</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,660	32,033	31,489	31,489	31,489
Alcoholic Beverage Control, Division of	7,657	8,147	8,147	8,147	8,147
Economic Development, Department of	11,493	13,629	13,629	13,629	13,629
Empire State Development Corporation	0	425	425	425	425
Energy Research and Development Authority	3,622	0	0	0	0
Financial Services, Department of	138,372	153,580	153,580	153,580	153,580
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Public Service Department	41,245	43,291	43,277	43,358	43,358
Functional Total	236,597	253,653	253,095	253,176	253,176
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,893	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	201,672	209,451	209,538	197,864	198,030
Parks, Recreation and Historic Preservation, Office of	136,026	138,426	138,801	138,801	138,801
Functional Total	341,591	351,904	352,366	340,692	340,858
TRANSPORTATION					
Motor Vehicles, Department of	44,853	48,726	48,789	48,789	48,789
Transportation, Department of	10,883	12,301	13,033	13,033	13,033
Functional Total	55,736	61,027	61,822	61,822	61,822
HEALTH					
Aging, Office for the	6,648	7,122	7,777	7,777	7,777
Health, Department of	331,823	362,907	431,503	443,252	451,204
<i>Basic Health Plan</i>	0	683	804	534	488
<i>Medicaid Administration</i>	54,236	85,129	126,100	138,088	146,076
<i>Public Health</i>	277,587	277,095	304,599	304,630	304,640
Medicaid Inspector General, Office of the	33,243	33,364	33,134	33,134	33,134
Stem Cell and Innovation	368	463	463	463	463
Functional Total	372,082	403,856	472,877	484,626	492,578
SOCIAL WELFARE					
Children and Family Services, Office of	195,309	194,141	188,722	189,290	191,195
<i>OCFS</i>	195,309	194,141	188,722	189,290	191,195
Housing and Community Renewal, Division of	45,865	47,758	47,840	47,923	47,998
Human Rights, Division of	11,574	12,536	12,536	12,567	12,596
Labor, Department of	214,782	205,834	205,412	207,628	207,628
National and Community Service	538	690	690	701	708
Temporary and Disability Assistance, Office of	141,847	137,168	137,867	138,676	139,492
<i>All Other</i>	141,847	137,168	137,867	138,676	139,492
Functional Total	609,915	598,127	593,067	596,785	599,617
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	57,531	59,684	57,792	57,961	58,319
<i>OASAS</i>	24,674	25,389	25,452	25,517	25,682
<i>OASAS - Other</i>	32,857	34,295	32,340	32,444	32,637
Developmental Disabilities Planning Council	991	1,253	1,253	1,266	1,266
Justice Center	19,875	26,994	27,812	28,752	29,908
Mental Health, Office of	1,078,082	1,134,251	1,103,098	1,103,220	1,118,370
<i>OMH</i>	271,043	243,828	297,857	300,217	304,247
<i>OMH - Other</i>	807,039	890,423	805,241	803,003	814,123
People with Developmental Disabilities, Office for	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
<i>OPWDD - Other</i>	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
Functional Total	2,268,983	2,343,270	2,260,866	2,268,980	2,296,786
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,037	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,104,270	2,192,732	2,115,570	2,115,776	2,115,982
Criminal Justice Services, Division of	29,604	31,785	31,827	31,827	31,827
Disaster Assistance	(9,310)	0	0	0	0
Homeland Security and Emergency Services, Division of	35,509	20,071	20,071	20,071	20,071
Indigent Legal Services, Office of	928	1,454	1,704	1,704	1,704

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Judicial Conduct, Commission on	4,028	4,181	4,181	4,181	4,212
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	42,930	39,995	39,107	39,107	39,107
State Police, Division of	582,199	577,599	592,770	592,770	592,770
Statewide Financial System	9,622	11,112	10,638	10,638	10,638
Victim Services, Office of	4,171	4,833	4,834	4,834	4,834
Functional Total	2,805,988	2,886,189	2,823,129	2,823,335	2,823,572
HIGHER EDUCATION					
City University of New York	48,140	42,363	43,425	44,509	44,509
Higher Education - Miscellaneous	146	198	198	198	198
Higher Education Services Corporation, New York State	16,615	17,960	17,935	17,935	17,935
State University of New York	3,511,497	3,586,201	3,666,817	3,722,897	3,783,425
Functional Total	3,576,398	3,646,722	3,728,375	3,785,539	3,846,067
EDUCATION					
Arts, Council on the	2,132	2,498	2,498	2,498	2,498
Education, Department of	167,115	169,287	167,974	167,974	167,974
<i>School Aid</i>	68	196	0	0	0
<i>Special Education Categorical Programs</i>	10,440	0	0	0	0
<i>All Other</i>	156,607	169,091	167,974	167,974	167,974
Functional Total	169,247	171,785	170,472	170,472	170,472
GENERAL GOVERNMENT					
Budget, Division of the	20,223	24,434	24,514	24,567	24,567
Civil Service, Department of	12,046	12,398	12,384	12,384	12,497
Deferred Compensation Board	305	410	410	410	410
Elections, State Board of	4,680	5,687	5,573	6,539	11,682
Employee Relations, Office of	2,178	2,510	2,510	2,510	2,529
Gaming Commission, New York State	30,748	37,892	37,944	38,988	38,988
General Services, Office of	62,120	75,248	79,491	79,491	79,491
Inspector General, Office of the	6,217	6,360	6,360	6,360	6,408
Labor Management Committees	6,402	5,446	5,446	5,446	5,446
Prevention of Domestic Violence, Office for	1,314	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,495	3,336	3,336	3,363
Public Integrity, Commission on	2,899	4,620	4,620	4,620	4,646
State, Department of	30,012	32,555	32,504	32,466	32,466
Tax Appeals, Division of	2,688	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	276,619	276,608	276,761	276,761
Technology, Office for	277,996	275,888	275,891	275,861	275,861
Veterans' Affairs, Division of	5,312	6,842	6,675	6,675	6,742
Welfare Inspector General, Office of	472	514	514	514	518
Workers' Compensation Board	77,315	80,977	80,977	80,977	81,592
Functional Total	831,623	856,153	860,015	862,163	868,225
ELECTED OFFICIALS					
Audit and Control, Department of	109,351	111,168	110,901	110,901	110,901
Executive Chamber	10,621	11,135	11,469	11,813	11,813
Judiciary	1,480,926	1,504,376	1,513,400	1,513,400	1,513,400
Law, Department of	130,508	136,223	137,723	138,725	139,729
Legislature	160,777	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	498	513	523	523
Functional Total	1,892,482	1,929,731	1,940,337	1,941,693	1,942,697
ALL OTHER CATEGORIES					
Miscellaneous	2,204	2,493	2,493	2,493	2,498
Functional Total	2,204	2,493	2,493	2,493	2,498
TOTAL PERSONAL SERVICE SPENDING	13,162,846	13,504,910	13,518,914	13,591,776	13,698,368

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	33,230	34,053	33,344	33,530	33,530
Alcoholic Beverage Control, Division of	5,438	4,604	4,606	4,608	4,608
Economic Development, Department of	8,658	8,744	8,544	7,744	7,744
Empire State Development Corporation	50	425	425	425	425
Energy Research and Development Authority	1,219	0	0	0	0
Financial Services, Department of	54,953	58,071	56,837	56,137	56,137
Olympic Regional Development Authority	463	613	613	613	613
Public Service Department	7,913	7,659	7,595	7,597	7,597
Functional Total	111,924	114,169	111,964	110,654	110,654
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	523	655	655	655	655
Environmental Conservation, Department of	69,662	73,752	73,352	63,290	64,029
Parks, Recreation and Historic Preservation, Office of	47,815	41,262	41,236	41,235	41,235
Functional Total	118,000	115,669	115,243	105,180	105,919
TRANSPORTATION					
Motor Vehicles, Department of	15,822	21,534	21,607	21,607	21,607
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	17,491	15,512	16,586	16,595	16,595
Functional Total	51,654	58,546	59,693	59,702	59,702
HEALTH					
Aging, Office for the	1,755	1,118	1,122	1,122	1,122
Health, Department of	833,314	842,528	772,920	777,040	780,539
<i>Medical Assistance</i>	(7,548)	0	0	0	0
<i>Basic Health Plan</i>	0	35,028	40,840	28,278	26,506
<i>Medicaid Administration</i>	322,636	527,557	517,479	531,511	535,623
<i>Public Health</i>	518,226	279,943	214,601	217,251	218,410
Medicaid Inspector General, Office of the	9,480	10,989	11,012	11,012	11,012
Stem Cell and Innovation	32,926	29,322	29,322	29,322	29,322
Functional Total	877,475	883,957	814,376	818,496	821,995
SOCIAL WELFARE					
Children and Family Services, Office of	146,762	155,990	153,730	158,966	165,209
<i>OCFS</i>	146,762	155,990	153,730	158,966	165,209
Housing and Community Renewal, Division of	14,424	11,385	11,441	11,503	11,552
Human Rights, Division of	2,647	1,730	1,730	1,762	1,787
Labor, Department of	127,194	91,710	92,145	94,559	94,559
National and Community Service	16,708	13,869	13,869	14,978	15,277
Temporary and Disability Assistance, Office of	139,069	161,895	167,064	168,844	170,599
<i>All Other</i>	139,069	161,895	167,064	168,844	170,599
Functional Total	446,804	436,579	439,979	450,612	458,983
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	22,567	19,214	19,338	19,701	20,099
<i>OASAS</i>	11,083	9,501	9,675	9,844	10,040
<i>OASAS - Other</i>	11,484	9,713	9,663	9,857	10,059
Developmental Disabilities Planning Council	2,015	2,279	2,246	2,190	2,149
Justice Center	11,062	14,222	14,608	14,996	15,370
Mental Health, Office of	307,092	291,059	297,336	302,710	309,955
<i>OMH</i>	66,914	56,937	61,214	62,586	63,959
<i>OMH - Other</i>	240,178	234,122	236,122	240,124	245,996
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	262,549	215,122	212,519	217,545	223,336
<i>OPWDD</i>	931	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	261,618	213,941	211,338	216,364	222,155
Functional Total	605,513	541,896	546,047	557,142	570,909
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	185	237	237	237	237
Correctional Services, Department of	539,957	514,208	539,208	539,208	539,208

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Criminal Justice Services, Division of	14,343	19,954	20,085	20,085	20,085
Disaster Assistance	(1,427)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	103,395	96,253	16,782	16,782	16,782
Indigent Legal Services, Office of	123	1,035	535	535	535
Judicial Conduct, Commission on	1,356	1,403	1,403	1,403	1,431
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	25	25	25	25
Military and Naval Affairs, Division of	22,558	20,724	20,724	20,724	20,724
State Police, Division of	104,159	79,803	76,003	76,003	76,003
Statewide Financial System	22,337	19,025	19,073	19,079	19,079
Victim Services, Office of	706	1,226	1,236	1,236	1,236
Functional Total	807,728	708,614	695,341	695,347	695,375
HIGHER EDUCATION					
City University of New York	38,644	42,000	42,840	43,697	44,571
Higher Education - Miscellaneous	71	93	93	93	93
Higher Education Services Corporation, New York State	32,284	32,285	32,284	32,284	32,284
State University of New York	2,575,765	2,368,439	2,435,347	2,506,603	2,582,075
Functional Total	2,646,764	2,442,817	2,510,564	2,582,677	2,659,023
EDUCATION					
Arts, Council on the	1,180	1,922	1,922	1,922	1,922
Education, Department of	153,458	188,578	111,558	111,558	111,558
<i>School Aid</i>	220	103	0	0	0
<i>Special Education Categorical Programs</i>	8,365	0	0	0	0
<i>All Other</i>	144,873	188,475	111,558	111,558	111,558
Functional Total	154,638	190,500	113,480	113,480	113,480
GENERAL GOVERNMENT					
Budget, Division of the	2,611	5,043	4,424	4,372	4,372
Civil Service, Department of	999	821	821	821	834
Deferred Compensation Board	56	220	231	231	231
Elections, State Board of	4,579	20,939	7,173	6,633	13,220
Employee Relations, Office of	32	71	71	71	72
Gaming Commission, New York State	103,569	117,961	118,041	118,078	118,078
General Services, Office of	95,616	93,014	90,318	90,318	90,318
Inspector General, Office of the	852	557	557	557	569
Labor Management Committees	17,696	29,910	39,910	29,910	29,910
Prevention of Domestic Violence, Office for	99	208	208	208	208
Public Employment Relations Board	221	236	236	237	241
Public Integrity, Commission on	729	911	911	911	930
State, Department of	14,651	20,294	18,593	18,592	18,592
Tax Appeals, Division of	161	170	170	170	170
Taxation and Finance, Department of	63,239	54,732	55,073	55,077	55,077
Technology, Office for	149,706	236,818	247,289	247,270	259,000
Veterans' Affairs, Division of	515	884	884	884	902
Welfare Inspector General, Office of	101	458	458	458	468
Workers' Compensation Board	70,897	64,353	64,353	64,353	65,521
Functional Total	526,329	647,600	649,721	639,151	658,713
ELECTED OFFICIALS					
Audit and Control, Department of	31,504	31,542	31,419	31,419	31,419
Executive Chamber	3,345	2,443	2,109	1,765	1,765
Judiciary	432,924	461,100	460,600	460,600	460,600
Law, Department of	59,685	59,135	59,283	59,434	59,434
Legislature	46,027	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	116	101	91	91
Functional Total	573,552	606,800	605,976	605,773	605,773
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	100	0	0	0	0
ALL OTHER CATEGORIES					

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Long-Term Debt Service	38,654	43,960	46,678	46,678	46,678
Miscellaneous	18,511	22,741	22,741	237,742	23,753
Functional Total	<u>57,165</u>	<u>66,701</u>	<u>69,419</u>	<u>284,420</u>	<u>70,431</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>6,977,646</u>	<u>6,813,848</u>	<u>6,731,803</u>	<u>7,022,634</u>	<u>6,930,957</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,532	2,900	3,089	3,282	3,349
Alcoholic Beverage Control, Division of	4,541	4,643	4,798	4,973	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	1,604	0	0	0	0
Financial Services, Department of	77,054	88,395	90,527	93,032	93,164
Public Service Department	22,649	24,110	25,074	26,371	27,770
Functional Total	109,380	120,076	123,516	127,686	129,284
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	59,417	56,773	58,086	52,095	52,265
Parks, Recreation and Historic Preservation, Office of	2,829	3,814	3,847	3,848	3,848
Functional Total	62,246	60,587	61,933	55,943	56,113
TRANSPORTATION					
Motor Vehicles, Department of	23,356	27,193	27,965	28,771	28,883
Transportation, Department of	6,659	6,646	7,310	7,772	8,187
Functional Total	30,015	33,839	35,275	36,543	37,070
HEALTH					
Aging, Office for the	0	0	22	22	22
Health, Department of	64,555	70,688	80,360	82,830	85,606
<i>Public Health</i>	64,555	70,688	80,360	82,830	85,606
Medicaid Inspector General, Office of the	9,164	9,349	9,340	9,340	9,340
Stem Cell and Innovation	189	0	0	0	0
Functional Total	73,908	80,037	89,722	92,192	94,968
SOCIAL WELFARE					
Children and Family Services, Office of	8,439	15,364	15,364	15,553	15,553
<i>OCFS</i>	8,439	15,364	15,364	15,553	15,553
Housing and Community Renewal, Division of	18,836	20,321	20,321	20,369	20,411
Human Rights, Division of	61	0	0	0	0
Labor, Department of	122,174	111,144	114,765	116,093	116,093
Temporary and Disability Assistance, Office of	39,575	44,973	44,973	44,973	44,973
<i>All Other</i>	39,575	44,973	44,973	44,973	44,973
Functional Total	189,085	191,802	195,423	196,988	197,030
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	31,721	33,205	33,551	35,073	37,168
<i>OASAS</i>	13,472	13,682	14,104	14,813	15,678
<i>OASAS - Other</i>	18,249	19,523	19,447	20,260	21,490
Developmental Disabilities Planning Council	560	668	701	744	785
Justice Center	614	754	796	865	944
Mental Health, Office of	606,706	617,141	644,308	669,225	716,253
<i>OMH</i>	196,822	107,802	172,529	179,643	191,934
<i>OMH - Other</i>	409,884	509,339	471,779	489,582	524,319
People with Developmental Disabilities, Office for	629,482	631,120	626,732	654,585	696,984
<i>OPWDD - Other</i>	629,482	631,120	626,732	654,585	696,984
Functional Total	1,269,083	1,282,888	1,306,088	1,360,492	1,452,134
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	930	1,472	1,459	1,463	1,463
Criminal Justice Services, Division of	285	3,320	3,448	3,448	3,448
Homeland Security and Emergency Services, Division of	8,958	3,745	3,768	3,789	3,812
Indigent Legal Services, Office of	515	528	728	728	728
Military and Naval Affairs, Division of	16,583	8,012	8,401	8,817	9,288
State Police, Division of	3,594	4,211	4,311	4,453	4,615
Victim Services, Office of	1,607	2,563	2,562	2,562	2,562
Functional Total	32,472	23,851	24,677	25,260	25,916
HIGHER EDUCATION					
City University of New York	7,717	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	83	99	99	99	99

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Higher Education Services Corporation, New York State	13,964	10,647	10,985	11,397	11,397
State University of New York	534,497	439,595	392,502	401,375	410,524
Functional Total	<u>556,261</u>	<u>456,341</u>	<u>409,586</u>	<u>418,871</u>	<u>428,020</u>
EDUCATION					
Education, Department of	79,713	83,185	83,522	85,213	87,136
<i>School Aid</i>	96	141	0	0	0
<i>Special Education Categorical Programs</i>	5,629	0	0	0	0
<i>All Other</i>	73,988	83,044	83,522	85,213	87,136
Functional Total	<u>79,713</u>	<u>83,185</u>	<u>83,522</u>	<u>85,213</u>	<u>87,136</u>
GENERAL GOVERNMENT					
Budget, Division of the	975	1,443	1,557	1,657	1,657
Civil Service, Department of	151	176	176	176	176
Deferred Compensation Board	166	225	225	225	225
Elections, State Board of	0	0	0	574	1,949
Gaming Commission, New York State	14,956	16,881	16,958	17,575	17,575
General Services, Office of	2,208	2,187	2,268	2,383	2,513
State, Department of	10,583	11,766	12,051	12,288	12,288
Taxation and Finance, Department of	31,785	25,046	25,869	26,014	26,014
Veterans' Affairs, Division of	205	350	350	350	350
Workers' Compensation Board	46,013	48,228	49,948	51,902	51,902
Functional Total	<u>107,042</u>	<u>106,302</u>	<u>109,402</u>	<u>113,144</u>	<u>114,649</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,634	1,934	1,867	1,978	2,039
Judiciary	660,149	696,303	743,453	791,553	826,553
Law, Department of	20,546	30,420	32,314	33,215	34,953
Functional Total	<u>682,329</u>	<u>728,657</u>	<u>777,634</u>	<u>826,746</u>	<u>863,545</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Miscellaneous	13,902	5,914	5,914	5,917	5,917
Functional Total	<u>4,145,588</u>	<u>4,472,190</u>	<u>4,996,761</u>	<u>5,271,087</u>	<u>5,553,058</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,337,122</u></u>	<u><u>7,639,755</u></u>	<u><u>8,213,539</u></u>	<u><u>8,610,165</u></u>	<u><u>9,038,923</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	11,002	4,388	4,001	4,000	4,000
Economic Development Capital	1,985	11,215	23,000	29,276	23,000
Economic Development, Department of	132	16,667	13,433	3,274	0
Empire State Development Corporation	66,475	140,273	402,651	408,778	337,678
Energy Research and Development Authority	9,075	22,600	25,000	13,500	13,000
Olympic Regional Development Authority	6,900	7,500	0	0	0
Regional Economic Development Program	0	1,500	1,500	1,334	500
Strategic Investment Program	0	5,000	6,000	6,000	5,871
Functional Total	95,569	209,143	475,585	466,162	384,049
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	234,957	412,353	427,219	439,353	437,002
Hudson River Park Trust	49	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	98,844	127,400	135,650	130,150	130,150
Functional Total	333,850	539,753	562,869	569,503	567,152
TRANSPORTATION					
Motor Vehicles, Department of	182,188	189,691	190,325	189,861	192,356
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,206,888	2,856,844	2,923,563	2,995,737	2,982,012
Functional Total	3,389,076	3,048,335	3,115,688	3,187,398	3,176,168
HEALTH					
Health, Department of	49,549	86,500	73,500	13,500	13,500
<i>Public Health</i>	49,549	86,500	73,500	13,500	13,500
Functional Total	49,549	86,500	73,500	13,500	13,500
SOCIAL WELFARE					
Children and Family Services, Office of	19,537	25,931	33,931	35,931	32,931
<i>OCFS</i>	19,537	25,931	33,931	35,931	32,931
Housing and Community Renewal, Division of	0	3,004	3,000	3,000	3,000
Temporary and Disability Assistance, Office of	211	400	400	400	400
<i>All Other</i>	211	400	400	400	400
Functional Total	19,748	29,335	37,331	39,331	36,331
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	(77)	13,083	13,083	13,083	13,083
<i>OASAS</i>	(77)	13,083	13,083	13,083	13,083
Mental Health, Office of	105,311	85,283	85,123	85,123	85,123
<i>OMH</i>	105,311	85,283	85,123	85,123	85,123
People with Developmental Disabilities, Office for	45,737	39,220	39,220	39,220	39,220
<i>OPWDD</i>	45,737	39,220	39,220	39,220	39,220
Functional Total	150,971	137,586	137,426	137,426	137,426
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	220,308	225,160	229,064	241,064	241,064
Homeland Security and Emergency Services, Division of	41,942	63,004	8,000	5,000	5,000
Military and Naval Affairs, Division of	29,277	64,807	35,607	20,000	20,000
State Police, Division of	7,593	30,539	47,641	33,510	29,015
Functional Total	299,120	383,510	320,312	299,574	295,079
HIGHER EDUCATION					
City University of New York	30,141	35,000	35,000	35,400	35,900
Higher Education Facilities Capital Matching Grants Program	0	8,000	24,026	35,000	37,900
State University of New York	916,313	1,003,586	934,144	841,410	805,160
Functional Total	946,454	1,046,586	993,170	911,810	878,960
EDUCATION					
Education, Department of	4,688	416,232	437,923	432,447	423,400
<i>School Aid</i>	0	400,000	400,000	400,000	400,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
<i>All Other</i>	4,688	16,232	37,923	32,447	23,400
Functional Total	<u>4,688</u>	<u>416,232</u>	<u>437,923</u>	<u>432,447</u>	<u>423,400</u>
GENERAL GOVERNMENT					
General Services, Office of	118,000	114,283	102,883	125,083	75,883
Technology, Office for	30,763	39,395	92,250	82,753	5,700
Workers' Compensation Board	0	5,000	10,000	15,000	15,000
Functional Total	<u>148,763</u>	<u>158,678</u>	<u>205,133</u>	<u>222,836</u>	<u>96,583</u>
ELECTED OFFICIALS					
Law, Department of	2,379	3,000	3,000	1,621	0
Functional Total	<u>2,379</u>	<u>3,000</u>	<u>3,000</u>	<u>1,621</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	66,413	1,101,125	689,800	749,850	1,094,450
Functional Total	<u>66,413</u>	<u>1,101,125</u>	<u>689,800</u>	<u>749,850</u>	<u>1,094,450</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,506,580</u></u>	<u><u>7,159,783</u></u>	<u><u>7,051,737</u></u>	<u><u>7,031,458</u></u>	<u><u>7,103,098</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	90,521	95,083	85,484	85,615	85,682
Alcoholic Beverage Control, Division of	17,636	17,394	17,551	17,728	17,728
Economic Development Capital	10,509	11,215	23,000	29,276	23,000
Economic Development, Department of	64,479	100,539	93,268	86,309	83,035
Empire State Development Corporation	527,613	847,123	1,286,211	1,348,699	1,309,528
Energy Research and Development Authority	21,047	22,600	25,000	13,500	13,000
Financial Services, Department of	491,138	376,710	377,358	379,038	379,170
Olympic Regional Development Authority	9,911	10,661	3,161	3,161	3,161
Public Service Department	69,084	73,322	74,192	75,552	76,951
Regional Economic Development Program	3,071	1,500	1,500	1,334	500
Strategic Investment Program	1,030	5,000	6,000	6,000	5,871
Functional Total	<u>1,306,039</u>	<u>1,561,147</u>	<u>1,992,725</u>	<u>2,046,212</u>	<u>1,997,626</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,276	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	639,635	754,060	757,470	734,277	732,981
Hudson River Park Trust	49	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	285,034	313,709	321,261	315,936	315,936
Functional Total	<u>928,994</u>	<u>1,072,101</u>	<u>1,083,063</u>	<u>1,054,545</u>	<u>1,053,249</u>
TRANSPORTATION					
Metropolitan Transportation Authority	0	512,171	493,229	150,000	250,000
Motor Vehicles, Department of	263,279	277,643	278,962	279,243	281,850
Thruway Authority, New York State	21,911	23,300	23,300	23,300	23,300
Transportation, Department of	7,558,074	7,743,650	7,844,377	7,986,269	8,014,766
Functional Total	<u>7,843,264</u>	<u>8,556,764</u>	<u>8,639,868</u>	<u>8,438,812</u>	<u>8,569,916</u>
HEALTH					
Aging, Office for the	123,306	131,883	132,205	137,278	142,478
Health, Department of	<u>19,164,099</u>	<u>20,172,221</u>	<u>20,987,473</u>	<u>21,707,572</u>	<u>22,466,098</u>
<i>Medical Assistance</i>	16,275,220	16,894,090	17,185,792	17,941,871	18,662,461
<i>Basic Health Plan</i>	0	169,961	642,739	649,142	665,934
<i>Medicaid Administration</i>	677,990	676,497	682,597	670,297	667,997
<i>Public Health</i>	2,210,889	2,431,673	2,476,345	2,446,262	2,469,706
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
Stem Cell and Innovation	33,483	29,785	29,785	29,785	29,785
Functional Total	<u>19,341,709</u>	<u>20,355,125</u>	<u>21,170,464</u>	<u>21,895,636</u>	<u>22,659,362</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,884,379	2,045,406	2,057,507	2,109,335	2,128,867
<i>OCFS</i>	1,798,380	1,958,632	1,969,333	2,019,766	2,039,469
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	159,729	167,610	199,389	205,939	210,939
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
Labor, Department of	71,294	73,119	65,119	65,119	65,119
National and Community Service	687	687	687	687	690
Temporary and Disability Assistance, Office of	1,408,067	1,402,352	1,442,705	1,447,605	1,463,005
<i>Welfare Assistance</i>	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
<i>All Other</i>	270,064	276,444	309,082	304,482	310,882
Functional Total	<u>3,534,738</u>	<u>3,699,135</u>	<u>3,775,368</u>	<u>3,838,646</u>	<u>3,878,581</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	445,352	486,046	504,376	526,098	540,051
<i>OASAS</i>	361,437	401,190	421,601	442,212	454,540
<i>OASAS - Other</i>	83,915	84,856	82,775	83,886	85,511
Justice Center	31,652	41,925	43,155	44,531	46,139
Mental Health, Office of	3,287,680	3,366,757	3,436,046	3,586,774	3,713,801
<i>OMH</i>	1,483,723	1,457,410	1,606,280	1,694,583	1,759,524
<i>OMH - Other</i>	1,803,957	1,909,347	1,829,766	1,892,191	1,954,277
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	3,513,040	3,221,603	3,226,413	3,581,475	3,763,707
<i>OPWDD</i>	481,859	378,635	421,167	408,167	408,167
<i>OPWDD - Other</i>	3,031,181	2,842,968	2,805,246	3,173,308	3,355,540

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Functional Total	<u>7,277,952</u>	<u>7,116,331</u>	<u>7,209,990</u>	<u>7,738,878</u>	<u>8,063,698</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,867,478	2,921,305	2,873,052	2,885,262	2,885,468
Criminal Justice Services, Division of	194,721	209,733	196,885	191,885	191,885
Disaster Assistance	(8,011)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	173,492	194,470	124,922	97,697	69,970
Indigent Legal Services, Office of	52,689	68,017	105,967	104,567	104,567
Judicial Conduct, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	38	38	38	38
Military and Naval Affairs, Division of	39,737	39,495	38,820	36,575	36,575
State Police, Division of	676,989	672,652	701,225	687,236	682,903
Statewide Financial System	31,959	30,137	29,711	29,717	29,717
Victim Services, Office of	30,870	37,061	36,160	36,160	36,160
Functional Total	<u>4,067,566</u>	<u>4,135,864</u>	<u>4,115,045</u>	<u>4,077,402</u>	<u>4,045,607</u>
HIGHER EDUCATION					
City University of New York	1,512,958	1,551,470	1,551,602	1,582,884	1,627,752
Higher Education - Miscellaneous	300	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	2,086	15,000	25,000	35,000	37,900
Higher Education Services Corporation, New York State	1,267,122	1,115,631	1,165,517	1,196,825	1,213,825
State University of New York	7,711,562	7,667,418	7,699,464	7,744,189	7,851,338
Functional Total	<u>10,494,028</u>	<u>10,349,909</u>	<u>10,441,973</u>	<u>10,559,288</u>	<u>10,731,205</u>
EDUCATION					
Arts, Council on the	66,103	45,253	45,253	45,253	45,253
Education, Department of	<u>27,193,471</u>	<u>29,554,464</u>	<u>30,968,331</u>	<u>32,232,740</u>	<u>33,689,088</u>
<i>School Aid</i>	21,630,610	23,777,678	24,990,535	26,086,249	27,365,678
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>Special Education Categorical Programs</i>	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
<i>All Other</i>	814,909	925,004	950,602	963,699	976,078
Functional Total	<u>27,259,574</u>	<u>29,599,717</u>	<u>31,013,584</u>	<u>32,277,993</u>	<u>33,734,341</u>
GENERAL GOVERNMENT					
Budget, Division of the	23,809	30,920	30,495	30,596	30,596
Civil Service, Department of	13,196	13,395	13,381	13,381	13,507
Deferred Compensation Board	527	855	866	866	866
Elections, State Board of	6,100	11,146	12,746	13,746	137,851
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	149,273	206,934	177,943	232,841	232,841
General Services, Office of	271,982	279,745	269,973	292,288	243,218
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
Prevention of Domestic Violence, Office for	1,897	2,281	2,281	2,281	2,281
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	57,843	75,827	59,965	60,163	60,163
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	380,858	356,103	357,256	357,558	357,558
Technology, Office for	457,178	552,101	615,430	605,884	540,561
Veterans' Affairs, Division of	12,830	15,725	13,808	13,808	13,873
Welfare Inspector General, Office of	573	972	972	972	986
Workers' Compensation Board	188,009	194,934	201,654	208,608	210,391
Functional Total	<u>1,607,044</u>	<u>1,798,094</u>	<u>1,823,767</u>	<u>1,889,990</u>	<u>1,901,846</u>
ELECTED OFFICIALS					
Audit and Control, Department of	174,514	176,668	176,211	176,322	176,383
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	2,676,077	2,776,379	2,832,553	2,880,653	2,915,653
Law, Department of	181,433	190,214	193,481	193,691	194,474
Legislature	206,804	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	<u>3,253,160</u>	<u>3,376,248</u>	<u>3,435,232</u>	<u>3,483,653</u>	<u>3,519,497</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,438	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	<u>765,376</u>	<u>784,554</u>	<u>791,328</u>	<u>793,882</u>	<u>794,519</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Long-Term Debt Service	6,221,470	5,166,373	6,254,184	6,745,920	7,050,295
Miscellaneous	115,349	1,089,330	761,005	1,098,059	1,318,675
Functional Total	<u>10,468,505</u>	<u>10,721,979</u>	<u>12,006,036</u>	<u>13,109,149</u>	<u>13,916,111</u>
TOTAL STATE FUNDS SPENDING	<u>98,147,949</u>	<u>103,126,968</u>	<u>107,498,443</u>	<u>111,204,086</u>	<u>114,865,558</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,275	35,197	27,321	27,321	27,321
Economic Development Capital	8,524	0	0	0	0
Economic Development, Department of	44,284	61,716	57,879	61,879	61,879
Empire State Development Corporation	461,088	706,000	882,710	939,071	971,000
Energy Research and Development Authority	5,527	0	0	0	0
Financial Services, Department of	223,476	76,664	76,414	76,289	76,289
Public Service Department	0	188	188	188	188
Regional Economic Development Program	3,071	0	0	0	0
Strategic Investment Program	1,030	0	0	0	0
Functional Total	772,275	879,765	1,044,512	1,104,748	1,136,677
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	152,680	70,225	57,782	50,200	50,200
Parks, Recreation and Historic Preservation, Office of	8,483	8,505	7,425	7,600	7,600
Functional Total	161,163	78,730	65,207	57,800	57,800
TRANSPORTATION					
Metropolitan Transportation Authority	0	512,171	493,229	150,000	250,000
Thruway Authority, New York State	3,570	0	0	0	0
Transportation, Department of	5,356,863	5,486,414	5,485,824	5,553,941	5,600,436
Functional Total	5,360,433	5,998,585	5,979,053	5,703,941	5,850,436
HEALTH					
Aging, Office for the	121,870	130,576	131,346	136,419	141,619
Health, Department of	18,509,294	19,325,867	20,133,333	20,937,446	21,699,675
<i>Medical Assistance</i>	16,275,220	16,894,090	17,185,792	17,941,871	18,662,461
<i>Basic Health Plan</i>	0	134,250	601,095	620,330	638,940
<i>Medicaid Administration</i>	515,184	386,431	374,411	374,411	374,411
<i>Public Health</i>	1,718,890	1,911,096	1,972,035	2,000,834	2,023,863
Functional Total	18,631,164	19,456,443	20,264,679	21,073,865	21,841,294
SOCIAL WELFARE					
Children and Family Services, Office of	1,600,778	1,757,996	1,769,776	1,817,677	1,833,654
<i>OCFS</i>	1,514,779	1,671,222	1,681,602	1,728,108	1,744,256
<i>OCFS - Other</i>	85,999	86,774	88,174	89,569	89,398
Housing and Community Renewal, Division of	93,733	101,983	133,762	140,312	145,312
Labor, Department of	7,664	8,150	150	150	150
National and Community Service	450	350	350	350	350
Temporary and Disability Assistance, Office of	1,268,258	1,258,664	1,299,119	1,304,019	1,319,419
<i>Welfare Assistance</i>	1,138,003	1,125,908	1,133,623	1,143,123	1,152,123
<i>All Other</i>	130,255	132,756	165,496	160,896	167,296
Functional Total	2,970,883	3,127,143	3,203,157	3,262,508	3,298,885
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	339,180	366,690	386,442	406,112	417,290
<i>OASAS</i>	317,855	345,365	365,117	384,787	395,965
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	600	620	620	620	620
Mental Health, Office of	1,192,643	1,240,073	1,307,250	1,427,581	1,485,204
<i>OMH</i>	845,787	964,610	990,626	1,068,099	1,115,365
<i>OMH - Other</i>	346,856	275,463	316,624	359,482	369,839
People with Developmental Disabilities, Office for	1,463,642	1,216,053	1,278,031	1,593,344	1,716,244
<i>OPWDD</i>	436,065	339,234	381,766	368,766	368,766
<i>OPWDD - Other</i>	1,027,577	876,819	896,265	1,224,578	1,347,478
Functional Total	2,996,065	2,823,436	2,972,343	3,427,657	3,619,358
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,939	6,022	6,022	6,022	6,022
Criminal Justice Services, Division of	156,998	170,087	157,236	152,236	152,236
Disaster Assistance	2,726	0	0	0	0
Homeland Security and Emergency Services, Division of	93,746	102,887	92,519	68,273	40,523
Indigent Legal Services, Office of	51,123	65,000	103,000	101,600	101,600

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Military and Naval Affairs, Division of	724	911	911	911	911
Victim Services, Office of	25,567	30,970	30,070	30,070	30,070
Functional Total	336,823	375,877	389,758	359,112	331,362
HIGHER EDUCATION					
City University of New York	1,395,047	1,426,107	1,424,337	1,453,278	1,496,772
Higher Education Facilities Capital Matching Grants Program	2,086	7,000	974	0	0
Higher Education Services Corporation, New York State	1,209,916	1,061,791	1,111,365	1,142,261	1,159,261
State University of New York	487,080	504,508	505,565	506,815	505,065
Functional Total	3,094,129	2,999,406	3,042,241	3,102,354	3,161,098
EDUCATION					
Arts, Council on the	62,791	40,933	40,933	40,933	40,933
Education, Department of	27,022,576	28,973,304	30,364,309	31,632,503	33,095,975
<i>School Aid</i>	21,630,610	23,377,678	24,590,535	25,686,249	26,965,678
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>Special Education Categorical Programs</i>	1,451,002	1,469,503	1,559,350	1,673,000	1,795,100
<i>All Other</i>	644,014	743,844	746,580	763,462	782,965
Functional Total	27,085,367	29,014,237	30,405,242	31,673,436	33,136,908
GENERAL GOVERNMENT					
Elections, State Board of	253	1,800	0	0	111,000
Gaming Commission, New York State	0	34,200	5,000	58,200	58,200
Prevention of Domestic Violence, Office for	543	685	685	685	685
State, Department of	6,545	21,691	7,379	7,379	7,379
Taxation and Finance, Department of	906	926	926	926	926
Veterans' Affairs, Division of	7,486	9,387	7,637	7,637	7,637
Functional Total	15,733	68,689	21,627	74,827	185,827
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	121,600	121,600	121,600	121,600
Functional Total	139,454	153,624	153,624	153,624	153,624
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	726,338	741,121	759,356	762,710	763,347
Efficiency Incentive Grants Program	1,592	1,638	0	0	0
Miscellaneous Financial Assistance	7,798	12,246	2,423	1,623	1,623
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	218	218	218	218
Functional Total	765,276	784,554	791,328	793,882	794,519
ALL OTHER CATEGORIES					
Miscellaneous	34,745	(17,943)	64,057	124,057	192,057
Functional Total	34,745	(17,943)	64,057	124,057	192,057
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	62,363,510	65,742,546	68,396,828	70,911,811	73,759,845

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	52,866	53,905	52,434	52,434	52,434
Alcoholic Beverage Control, Division of	13,095	12,751	12,753	12,755	12,755
Economic Development, Department of	20,063	22,128	21,928	21,128	21,128
Empire State Development Corporation	50	850	850	850	850
Energy Research and Development Authority	4,841	0	0	0	0
Financial Services, Department of	191,287	211,651	210,417	209,717	209,717
Olympic Regional Development Authority	3,011	3,161	3,161	3,161	3,161
Public Service Department	47,496	49,708	49,630	49,713	49,713
Functional Total	332,709	354,154	351,173	349,758	349,758
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,276	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	229,795	238,313	238,005	216,269	217,174
Parks, Recreation and Historic Preservation, Office of	179,509	177,420	177,769	177,768	177,768
Functional Total	413,580	420,065	420,106	398,369	399,274
TRANSPORTATION					
Motor Vehicles, Department of	58,500	62,848	62,848	62,848	62,848
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	22,502	20,397	21,430	21,430	21,430
Functional Total	99,343	104,745	105,778	105,778	105,778
HEALTH					
Aging, Office for the	1,436	1,307	859	859	859
Health, Department of	574,369	729,315	749,307	725,286	721,580
<i>Basic Health Plan</i>	0	35,711	41,644	28,812	26,994
<i>Medicaid Administration</i>	162,806	290,066	308,186	295,886	293,586
<i>Public Health</i>	411,563	403,538	399,477	400,588	401,000
Medicaid Inspector General, Office of the	20,821	21,236	21,001	21,001	21,001
Stem Cell and Innovation	33,294	29,785	29,785	29,785	29,785
Functional Total	629,920	781,643	800,952	776,931	773,225
SOCIAL WELFARE					
Children and Family Services, Office of	263,693	258,821	251,142	253,069	259,624
<i>OCFS</i>	263,693	258,821	251,142	253,069	259,624
Housing and Community Renewal, Division of	51,036	49,246	49,246	49,246	49,246
Human Rights, Division of	10,582	9,961	9,961	9,961	9,961
Labor, Department of	45,973	46,519	46,519	46,519	46,519
National and Community Service	237	337	337	337	340
Temporary and Disability Assistance, Office of	139,598	143,288	143,186	143,186	143,186
<i>All Other</i>	139,598	143,288	143,186	143,186	143,186
Functional Total	511,119	508,172	500,391	502,318	508,876
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	74,528	73,068	71,300	71,830	72,510
<i>OASAS</i>	30,187	29,060	29,297	29,529	29,814
<i>OASAS - Other</i>	44,341	44,008	42,003	42,301	42,696
Justice Center	30,470	40,605	41,796	43,109	44,639
Mental Health, Office of	1,383,568	1,424,573	1,399,697	1,405,193	1,427,588
<i>OMH</i>	336,351	300,028	358,334	362,066	367,469
<i>OMH - Other</i>	1,047,217	1,124,545	1,041,363	1,043,127	1,060,119
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	1,374,179	1,335,210	1,282,430	1,294,326	1,311,259
<i>OPWDD</i>	57	181	181	181	181
<i>OPWDD - Other</i>	1,374,122	1,335,029	1,282,249	1,294,145	1,311,078
Functional Total	2,862,973	2,873,456	2,795,223	2,814,458	2,855,996
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,222	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,641,151	2,689,996	2,637,834	2,638,040	2,638,246
Criminal Justice Services, Division of	37,663	39,561	39,561	39,561	39,561
Disaster Assistance	(10,737)	(45,309)	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Homeland Security and Emergency Services, Division of					
Indigent Legal Services, Office of	37,417	27,750	23,551	23,551	23,551
Judicial Conduct, Commission on	1,051	2,489	2,239	2,239	2,239
Judicial Nomination, Commission on	5,384	5,584	5,584	5,584	5,643
Judicial Screening Committees, New York State	24	30	30	30	30
Military and Naval Affairs, Division of	12	38	38	38	38
State Police, Division of	24,261	26,259	25,584	25,584	25,584
Statewide Financial System	666,777	639,402	650,773	650,773	650,773
Victim Services, Office of	31,959	30,137	29,711	29,717	29,717
	3,712	3,900	3,900	3,900	3,900
Functional Total	3,440,896	3,422,488	3,421,456	3,421,668	3,421,933
HIGHER EDUCATION					
City University of New York	80,053	84,363	86,265	88,206	89,080
Higher Education - Miscellaneous	217	291	291	291	291
Higher Education Services Corporation, New York State	43,245	43,612	43,586	43,586	43,586
State University of New York	5,773,804	5,719,780	5,867,304	5,994,640	6,130,640
Functional Total	5,897,319	5,848,046	5,997,446	6,126,723	6,263,597
EDUCATION					
Arts, Council on the	3,312	4,320	4,320	4,320	4,320
Education, Department of	133,491	132,501	132,484	132,484	132,484
<i>All Other</i>	133,491	132,501	132,484	132,484	132,484
Functional Total	136,803	136,821	136,804	136,804	136,804
GENERAL GOVERNMENT					
Budget, Division of the	22,834	29,477	28,938	28,939	28,939
Civil Service, Department of	13,045	13,219	13,205	13,205	13,331
Deferred Compensation Board	361	630	641	641	641
Elections, State Board of	5,847	9,346	12,746	13,172	24,902
Employee Relations, Office of	2,210	2,581	2,581	2,581	2,601
Gaming Commission, New York State	134,317	155,853	155,985	157,066	157,066
General Services, Office of	151,774	163,275	164,822	164,822	164,822
Inspector General, Office of the	7,069	6,917	6,917	6,917	6,977
Labor Management Committees	24,098	35,356	45,356	35,356	35,356
Prevention of Domestic Violence, Office for	1,354	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,731	3,572	3,573	3,604
Public Integrity, Commission on	3,628	5,531	5,531	5,531	5,576
State, Department of	41,865	45,079	43,327	43,288	43,288
Tax Appeals, Division of	2,849	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	348,167	330,131	330,461	330,618	330,618
Technology, Office for	426,415	512,706	523,180	523,131	534,861
Veterans' Affairs, Division of	5,344	6,338	6,171	6,171	6,236
Welfare Inspector General, Office of	573	972	972	972	986
Workers' Compensation Board	141,996	141,706	141,706	141,706	143,489
Functional Total	1,336,861	1,467,484	1,490,747	1,482,325	1,507,929
ELECTED OFFICIALS					
Audit and Control, Department of	140,855	142,710	142,320	142,320	142,320
Executive Chamber	13,966	13,578	13,578	13,578	13,578
Judiciary	1,908,736	1,958,476	1,967,500	1,967,500	1,967,500
Law, Department of	165,441	168,191	169,696	170,701	171,701
Legislature	206,804	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	614	614	614	614
Functional Total	2,436,168	2,502,364	2,512,503	2,513,508	2,514,508
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	100	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,654	43,960	46,678	46,678	46,678
Miscellaneous	20,667	25,234	25,234	240,235	26,251
Functional Total	59,321	69,194	71,912	286,913	72,929

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
 (thousands of dollars)

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
TOTAL STATE OPERATIONS SPENDING	18,157,112	18,488,632	18,604,491	18,915,553	18,910,607

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	28,342	29,090	28,516	28,516	28,516
Alcoholic Beverage Control, Division of	7,657	8,147	8,147	8,147	8,147
Economic Development, Department of	11,493	13,629	13,629	13,629	13,629
Empire State Development Corporation	0	425	425	425	425
Energy Research and Development Authority	3,622	0	0	0	0
Financial Services, Department of	137,783	153,580	153,580	153,580	153,580
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Public Service Department	39,760	42,089	42,075	42,156	42,156
Functional Total	231,205	249,508	248,920	249,001	249,001
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,893	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	174,406	181,687	181,779	170,105	170,271
Parks, Recreation and Historic Preservation, Office of	133,928	137,303	137,678	137,678	137,678
Functional Total	312,227	323,017	323,484	311,810	311,976
TRANSPORTATION					
Motor Vehicles, Department of	43,692	45,054	45,054	45,054	45,054
Transportation, Department of	6,980	7,645	7,646	7,646	7,646
Functional Total	50,672	52,699	52,700	52,700	52,700
HEALTH					
Aging, Office for the	1,256	1,125	677	677	677
Health, Department of	258,901	264,400	285,291	290,973	296,126
<i>Basic Health Plan</i>	0	683	804	534	488
<i>Medicaid Administration</i>	29,326	44,350	66,267	72,208	77,403
<i>Public Health</i>	229,575	219,367	218,220	218,231	218,235
Medicaid Inspector General, Office of the	16,617	16,705	16,470	16,470	16,470
Stem Cell and Innovation	368	463	463	463	463
Functional Total	277,142	282,693	302,901	308,583	313,736
SOCIAL WELFARE					
Children and Family Services, Office of	170,077	165,755	160,336	160,333	161,948
<i>OCFS</i>	170,077	165,755	160,336	160,333	161,948
Housing and Community Renewal, Division of	38,995	40,403	40,403	40,403	40,403
Human Rights, Division of	8,919	9,461	9,461	9,461	9,461
Labor, Department of	30,078	32,618	32,618	32,618	32,618
National and Community Service	229	328	328	328	331
Temporary and Disability Assistance, Office of	67,810	59,087	58,985	58,985	58,985
<i>All Other</i>	67,810	59,087	58,985	58,985	58,985
Functional Total	316,108	307,652	302,131	302,128	303,746
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	53,107	55,260	53,368	53,535	53,849
<i>OASAS</i>	20,250	20,965	21,028	21,091	21,212
<i>OASAS - Other</i>	32,857	34,295	32,340	32,444	32,637
Justice Center	19,845	26,893	27,710	28,649	29,805
Mental Health, Office of	1,077,139	1,133,667	1,102,514	1,102,636	1,117,786
<i>OMH</i>	270,100	243,244	297,273	299,633	303,663
<i>OMH - Other</i>	807,039	890,423	805,241	803,003	814,123
People with Developmental Disabilities, Office for	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
<i>OPWDD - Other</i>	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
Functional Total	2,262,595	2,336,908	2,254,503	2,262,601	2,290,363
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,037	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,102,399	2,176,979	2,099,817	2,100,023	2,100,229
Criminal Justice Services, Division of	26,862	26,225	26,225	26,225	26,225
Disaster Assistance	(9,310)	0	0	0	0
Homeland Security and Emergency Services, Division of	14,217	13,581	13,581	13,581	13,581
Indigent Legal Services, Office of	928	1,454	1,704	1,704	1,704

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Judicial Conduct, Commission on	4,028	4,181	4,181	4,181	4,212
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	15,759	17,789	17,114	17,114	17,114
State Police, Division of	571,632	570,599	585,770	585,770	585,770
Statewide Financial System	9,622	11,112	10,638	10,638	10,638
Victim Services, Office of	3,162	3,176	3,176	3,176	3,176
Functional Total	<u>2,741,336</u>	<u>2,827,523</u>	<u>2,764,633</u>	<u>2,764,839</u>	<u>2,765,076</u>
HIGHER EDUCATION					
City University of New York	48,140	42,363	43,425	44,509	44,509
Higher Education - Miscellaneous	146	198	198	198	198
Higher Education Services Corporation, New York State	16,369	17,124	17,099	17,099	17,099
State University of New York	3,502,895	3,578,972	3,659,588	3,715,668	3,776,196
Functional Total	<u>3,567,550</u>	<u>3,638,657</u>	<u>3,720,310</u>	<u>3,777,474</u>	<u>3,838,002</u>
EDUCATION					
Arts, Council on the	2,132	2,498	2,498	2,498	2,498
Education, Department of	84,014	83,488	83,488	83,488	83,488
<i>All Other</i>	84,014	83,488	83,488	83,488	83,488
Functional Total	<u>86,146</u>	<u>85,986</u>	<u>85,986</u>	<u>85,986</u>	<u>85,986</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,223	24,434	24,514	24,567	24,567
Civil Service, Department of	12,046	12,398	12,384	12,384	12,497
Deferred Compensation Board	305	410	410	410	410
Elections, State Board of	4,680	5,607	5,573	6,539	11,682
Employee Relations, Office of	2,178	2,510	2,510	2,510	2,529
Gaming Commission, New York State	30,748	37,892	37,944	38,988	38,988
General Services, Office of	62,120	75,248	79,491	79,491	79,491
Inspector General, Office of the	6,217	6,360	6,360	6,360	6,408
Labor Management Committees	6,402	5,446	5,446	5,446	5,446
Prevention of Domestic Violence, Office for	1,267	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,495	3,336	3,336	3,363
Public Integrity, Commission on	2,899	4,620	4,620	4,620	4,646
State, Department of	27,970	28,824	28,773	28,735	28,735
Tax Appeals, Division of	2,688	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	276,619	276,608	276,761	276,761
Technology, Office for	277,996	275,888	275,891	275,861	275,861
Veterans' Affairs, Division of	4,937	6,046	5,879	5,879	5,938
Welfare Inspector General, Office of	472	514	514	514	518
Workers' Compensation Board	77,315	80,977	80,977	80,977	81,592
Functional Total	<u>829,159</u>	<u>851,546</u>	<u>855,488</u>	<u>857,636</u>	<u>863,690</u>
ELECTED OFFICIALS					
Audit and Control, Department of	109,351	111,168	110,901	110,901	110,901
Executive Chamber	10,621	11,135	11,469	11,813	11,813
Judiciary	1,479,075	1,504,376	1,513,400	1,513,400	1,513,400
Law, Department of	113,123	116,448	117,948	118,948	119,948
Legislature	160,777	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	498	513	523	523
Functional Total	<u>1,873,246</u>	<u>1,909,956</u>	<u>1,920,562</u>	<u>1,921,916</u>	<u>1,922,916</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,204	2,493	2,493	2,493	2,498
Functional Total	<u>2,204</u>	<u>2,493</u>	<u>2,493</u>	<u>2,493</u>	<u>2,498</u>
TOTAL PERSONAL SERVICE SPENDING	<u>12,549,590</u>	<u>12,868,638</u>	<u>12,834,111</u>	<u>12,897,167</u>	<u>12,999,690</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	24,524	24,815	23,918	23,918	23,918
Alcoholic Beverage Control, Division of	5,438	4,604	4,606	4,608	4,608
Economic Development, Department of	8,570	8,499	8,299	7,499	7,499
Empire State Development Corporation	50	425	425	425	425
Energy Research and Development Authority	1,219	0	0	0	0
Financial Services, Department of	53,504	58,071	56,837	56,137	56,137
Olympic Regional Development Authority	463	613	613	613	613
Public Service Department	7,736	7,619	7,555	7,557	7,557
Functional Total	101,504	104,646	102,253	100,757	100,757
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	383	305	305	305	305
Environmental Conservation, Department of	55,389	56,626	56,226	46,164	46,903
Parks, Recreation and Historic Preservation, Office of	45,581	40,117	40,091	40,090	40,090
Functional Total	101,353	97,048	96,622	86,559	87,298
TRANSPORTATION					
Motor Vehicles, Department of	14,808	17,794	17,794	17,794	17,794
Thruway Authority, New York State	18,341	21,500	21,500	21,500	21,500
Transportation, Department of	15,522	12,752	13,784	13,784	13,784
Functional Total	48,671	52,046	53,078	53,078	53,078
HEALTH					
Aging, Office for the	180	182	182	182	182
Health, Department of	315,468	464,915	464,016	434,313	425,454
<i>Basic Health Plan</i>	0	35,028	40,840	28,278	26,506
<i>Medicaid Administration</i>	133,480	245,716	241,919	223,678	216,183
<i>Public Health</i>	181,988	184,171	181,257	182,357	182,765
Medicaid Inspector General, Office of the	4,204	4,531	4,531	4,531	4,531
Stem Cell and Innovation	32,926	29,322	29,322	29,322	29,322
Functional Total	352,778	498,950	498,051	468,348	459,489
SOCIAL WELFARE					
Children and Family Services, Office of	93,616	93,066	90,806	92,736	97,676
<i>OCFS</i>	93,616	93,066	90,806	92,736	97,676
Housing and Community Renewal, Division of	12,041	8,843	8,843	8,843	8,843
Human Rights, Division of	1,663	500	500	500	500
Labor, Department of	15,895	13,901	13,901	13,901	13,901
National and Community Service	8	9	9	9	9
Temporary and Disability Assistance, Office of	71,788	84,201	84,201	84,201	84,201
<i>All Other</i>	71,788	84,201	84,201	84,201	84,201
Functional Total	195,011	200,520	198,260	200,190	205,130
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	21,421	17,808	17,932	18,295	18,661
<i>OASAS</i>	9,937	8,095	8,269	8,438	8,602
<i>OASAS - Other</i>	11,484	9,713	9,663	9,857	10,059
Justice Center	10,625	13,712	14,086	14,460	14,834
Mental Health, Office of	306,429	290,906	297,183	302,557	309,802
<i>OMH</i>	66,251	56,784	61,061	62,433	63,806
<i>OMH - Other</i>	240,178	234,122	236,122	240,124	245,996
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	261,675	214,122	211,519	216,545	222,336
<i>OPWDD</i>	57	181	181	181	181
<i>OPWDD - Other</i>	261,618	213,941	211,338	216,364	222,155
Functional Total	600,378	536,548	540,720	551,857	565,633
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	185	237	237	237	237
Correctional Services, Department of	538,752	513,017	538,017	538,017	538,017
Criminal Justice Services, Division of	10,801	13,336	13,336	13,336	13,336

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Disaster Assistance	(1,427)	(45,309)	0	0	0
Homeland Security and Emergency Services, Division of	23,200	14,169	9,970	9,970	9,970
Indigent Legal Services, Office of	123	1,035	535	535	535
Judicial Conduct, Commission on	1,356	1,403	1,403	1,403	1,431
Judicial Nomination, Commission on	24	30	30	30	30
Judicial Screening Committees, New York State	12	25	25	25	25
Military and Naval Affairs, Division of	8,502	8,470	8,470	8,470	8,470
State Police, Division of	95,145	68,803	65,003	65,003	65,003
Statewide Financial System	22,337	19,025	19,073	19,079	19,079
Victim Services, Office of	550	724	724	724	724
Functional Total	699,560	594,965	656,823	656,829	656,857
HIGHER EDUCATION					
City University of New York	31,913	42,000	42,840	43,697	44,571
Higher Education - Miscellaneous	71	93	93	93	93
Higher Education Services Corporation, New York State	26,876	26,488	26,487	26,487	26,487
State University of New York	2,270,909	2,140,808	2,207,716	2,278,972	2,354,444
Functional Total	2,329,769	2,209,389	2,277,136	2,349,249	2,425,595
EDUCATION					
Arts, Council on the	1,180	1,822	1,822	1,822	1,822
Education, Department of	49,477	49,013	48,996	48,996	48,996
<i>All Other</i>	49,477	49,013	48,996	48,996	48,996
Functional Total	50,657	50,835	50,818	50,818	50,818
GENERAL GOVERNMENT					
Budget, Division of the	2,611	5,043	4,424	4,372	4,372
Civil Service, Department of	999	821	821	821	834
Deferred Compensation Board	56	220	231	231	231
Elections, State Board of	1,167	3,739	7,173	6,633	13,220
Employee Relations, Office of	32	71	71	71	72
Gaming Commission, New York State	103,569	117,961	118,041	118,078	118,078
General Services, Office of	89,654	88,027	85,331	85,331	85,331
Inspector General, Office of the	852	557	557	557	569
Labor Management Committees	17,696	29,910	39,910	29,910	29,910
Prevention of Domestic Violence, Office for	87	208	208	208	208
Public Employment Relations Board	221	236	236	237	241
Public Integrity, Commission on	729	911	911	911	930
State, Department of	13,895	16,255	14,554	14,553	14,553
Tax Appeals, Division of	161	170	170	170	170
Taxation and Finance, Department of	62,365	53,512	53,853	53,857	53,857
Technology, Office for	148,419	236,818	247,289	247,270	259,000
Veterans' Affairs, Division of	407	292	292	292	298
Welfare Inspector General, Office of	101	458	458	458	468
Workers' Compensation Board	64,681	60,729	60,729	60,729	61,897
Functional Total	507,702	615,938	635,259	624,689	644,239
ELECTED OFFICIALS					
Audit and Control, Department of	31,504	31,542	31,419	31,419	31,419
Executive Chamber	3,345	2,443	2,109	1,765	1,765
Judiciary	429,661	454,100	454,100	454,100	454,100
Law, Department of	52,318	51,743	51,748	51,753	51,753
Legislature	46,027	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	116	101	91	91
Functional Total	562,922	592,408	591,941	591,592	591,592
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	100	0	0	0	0
Functional Total	100	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,654	43,960	46,678	46,678	46,678
Miscellaneous	18,463	22,741	22,741	237,742	23,753

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Functional Total	57,117	66,701	69,419	284,420	70,431
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,607,522</u>	<u>5,619,994</u>	<u>5,770,380</u>	<u>6,018,386</u>	<u>5,910,917</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,378	1,593	1,728	1,860	1,927
Alcoholic Beverage Control, Division of	4,541	4,643	4,798	4,973	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	1,604	0	0	0	0
Financial Services, Department of	76,375	88,395	90,527	93,032	93,164
Public Service Department	21,588	23,426	24,374	25,651	27,050
Functional Total	105,486	118,085	121,455	125,544	127,142
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,247	45,756	47,051	41,042	41,192
Parks, Recreation and Historic Preservation, Office of	2,829	3,184	3,217	3,218	3,218
Functional Total	48,076	48,940	50,268	44,260	44,410
TRANSPORTATION					
Motor Vehicles, Department of	22,591	25,104	25,789	26,534	26,646
Transportation, Department of	4,242	4,227	4,393	4,600	4,847
Functional Total	26,833	29,331	30,182	31,134	31,493
HEALTH					
Health, Department of	30,886	30,539	31,333	31,340	31,343
<i>Public Health</i>	30,886	30,539	31,333	31,340	31,343
Stem Cell and Innovation	189	0	0	0	0
Functional Total	31,075	30,539	31,333	31,340	31,343
SOCIAL WELFARE					
Children and Family Services, Office of	371	2,658	2,658	2,658	2,658
<i>OCFS</i>	371	2,658	2,658	2,658	2,658
Housing and Community Renewal, Division of	14,960	16,381	16,381	16,381	16,381
Labor, Department of	17,657	18,450	18,450	18,450	18,450
Functional Total	32,988	37,489	37,489	37,489	37,489
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	31,721	33,205	33,551	35,073	37,168
<i>OASAS</i>	13,472	13,682	14,104	14,813	15,678
<i>OASAS - Other</i>	18,249	19,523	19,447	20,260	21,490
Justice Center	582	700	739	802	880
Mental Health, Office of	606,158	616,828	643,976	668,877	715,886
<i>OMH</i>	196,274	107,489	172,197	179,295	191,567
<i>OMH - Other</i>	409,884	509,339	471,779	489,582	524,319
People with Developmental Disabilities, Office for	629,482	631,120	626,732	654,585	696,984
<i>OPWDD - Other</i>	629,482	631,120	626,732	654,585	696,984
Functional Total	1,267,943	1,281,853	1,304,998	1,359,337	1,450,918
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	80	127	132	136	136
Criminal Justice Services, Division of	60	85	88	88	88
Homeland Security and Emergency Services, Division of	387	829	852	873	896
Indigent Legal Services, Office of	515	528	728	728	728
Military and Naval Affairs, Division of	317	80	80	80	80
State Police, Division of	2,619	2,711	2,811	2,953	3,115
Victim Services, Office of	1,591	2,191	2,190	2,190	2,190
Functional Total	5,569	6,551	6,881	7,048	7,233
HIGHER EDUCATION					
City University of New York	7,717	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	83	99	99	99	99
Higher Education Services Corporation, New York State	13,961	10,228	10,566	10,978	10,978
State University of New York	534,365	439,544	392,451	401,324	410,473
Functional Total	556,126	455,871	409,116	418,401	427,550

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
EDUCATION					
Education, Department of	32,716	32,427	33,615	35,306	37,229
<i>All Other</i>	32,716	32,427	33,615	35,306	37,229
Functional Total	<u>32,716</u>	<u>32,427</u>	<u>33,615</u>	<u>35,306</u>	<u>37,229</u>
GENERAL GOVERNMENT					
Budget, Division of the	975	1,443	1,557	1,657	1,657
Civil Service, Department of	151	176	176	176	176
Deferred Compensation Board	166	225	225	225	225
Elections, State Board of	0	0	0	574	1,949
Gaming Commission, New York State	14,956	16,881	16,958	17,575	17,575
General Services, Office of	2,208	2,187	2,268	2,383	2,513
State, Department of	9,433	9,057	9,259	9,496	9,496
Taxation and Finance, Department of	31,785	25,046	25,869	26,014	26,014
Workers' Compensation Board	46,013	48,228	49,948	51,902	51,902
Functional Total	<u>105,687</u>	<u>103,243</u>	<u>106,260</u>	<u>110,002</u>	<u>111,507</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,634	1,934	1,867	1,978	2,039
Judiciary	659,912	696,303	743,453	791,553	826,553
Law, Department of	13,613	19,023	20,785	21,369	22,773
Functional Total	<u>675,159</u>	<u>717,260</u>	<u>766,105</u>	<u>814,900</u>	<u>851,365</u>
ALL OTHER CATEGORIES					
General State Charges	4,131,686	4,466,276	4,990,847	5,265,170	5,547,141
Miscellaneous	13,902	5,914	5,914	5,917	5,917
Functional Total	<u>4,145,588</u>	<u>4,472,190</u>	<u>4,996,761</u>	<u>5,271,087</u>	<u>5,553,058</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,033,246</u></u>	<u><u>7,333,779</u></u>	<u><u>7,894,463</u></u>	<u><u>8,285,848</u></u>	<u><u>8,710,737</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	11,002	4,388	4,001	4,000	4,000
Economic Development Capital	1,985	11,215	23,000	29,276	23,000
Economic Development, Department of	132	16,667	13,433	3,274	0
Empire State Development Corporation	66,475	140,273	402,651	408,778	337,678
Energy Research and Development Authority	9,075	22,600	25,000	13,500	13,000
Olympic Regional Development Authority	6,900	7,500	0	0	0
Regional Economic Development Program	0	1,500	1,500	1,334	500
Strategic Investment Program	0	5,000	6,000	6,000	5,871
Functional Total	95,569	209,143	475,585	466,162	384,049
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	211,913	399,766	414,632	426,766	424,415
Hudson River Park Trust	49	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	94,213	124,600	132,850	127,350	127,350
Functional Total	306,175	524,366	547,482	554,116	551,765
TRANSPORTATION					
Motor Vehicles, Department of	182,188	189,691	190,325	189,861	192,356
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	2,174,467	2,232,612	2,332,730	2,406,298	2,388,053
Functional Total	2,356,655	2,424,103	2,524,855	2,597,959	2,582,209
HEALTH					
Health, Department of	49,549	86,500	73,500	13,500	13,500
<i>Public Health</i>	49,549	86,500	73,500	13,500	13,500
Functional Total	49,549	86,500	73,500	13,500	13,500
SOCIAL WELFARE					
Children and Family Services, Office of	19,537	25,931	33,931	35,931	32,931
<i>OCFS</i>	19,537	25,931	33,931	35,931	32,931
Temporary and Disability Assistance, Office of	211	400	400	400	400
<i>All Other</i>	211	400	400	400	400
Functional Total	19,748	26,331	34,331	36,331	33,331
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	(77)	13,083	13,083	13,083	13,083
<i>OASAS</i>	(77)	13,083	13,083	13,083	13,083
Mental Health, Office of	105,311	85,283	85,123	85,123	85,123
<i>OMH</i>	105,311	85,283	85,123	85,123	85,123
People with Developmental Disabilities, Office for	45,737	39,220	39,220	39,220	39,220
<i>OPWDD</i>	45,737	39,220	39,220	39,220	39,220
Functional Total	150,971	137,586	137,426	137,426	137,426
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	220,308	225,160	229,064	241,064	241,064
Homeland Security and Emergency Services, Division of	41,942	63,004	8,000	5,000	5,000
Military and Naval Affairs, Division of	14,435	12,245	12,245	10,000	10,000
State Police, Division of	7,593	30,539	47,641	33,510	29,015
Functional Total	284,278	330,948	296,950	289,574	285,079
HIGHER EDUCATION					
City University of New York	30,141	35,000	35,000	35,400	35,900
Higher Education Facilities Capital Matching Grants Program	0	8,000	24,026	35,000	37,900
State University of New York	916,313	1,003,586	934,144	841,410	805,160
Functional Total	946,454	1,046,586	993,170	911,810	878,960
EDUCATION					
Education, Department of	4,688	416,232	437,923	432,447	423,400
<i>School Aid</i>	0	400,000	400,000	400,000	400,000
<i>All Other</i>	4,688	16,232	37,923	32,447	23,400

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Functional Total	4,688	416,232	437,923	432,447	423,400
GENERAL GOVERNMENT					
General Services, Office of	118,000	114,283	102,883	125,083	75,883
Technology, Office for	30,763	39,395	92,250	82,753	5,700
Workers' Compensation Board	0	5,000	10,000	15,000	15,000
Functional Total	<u>148,763</u>	<u>158,678</u>	<u>205,133</u>	<u>222,836</u>	<u>96,583</u>
ELECTED OFFICIALS					
Law, Department of	2,379	3,000	3,000	1,621	0
Functional Total	<u>2,379</u>	<u>3,000</u>	<u>3,000</u>	<u>1,621</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	46,035	1,076,125	665,800	727,850	1,094,450
Functional Total	<u>46,035</u>	<u>1,076,125</u>	<u>665,800</u>	<u>727,850</u>	<u>1,094,450</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>4,411,264</u></u>	<u><u>6,439,598</u></u>	<u><u>6,395,155</u></u>	<u><u>6,391,632</u></u>	<u><u>6,480,752</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	0	12,000	6,000	5,000	5,000
Energy Research and Development Authority	5,527	0	0	0	0
Financial Services, Department of	223,476	76,664	76,414	76,289	76,289
Public Service Department	0	188	188	188	188
Functional Total	229,003	88,852	82,602	81,477	81,477
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	4,487	4,850	4,850	4,850	4,850
Functional Total	4,487	4,850	4,850	4,850	4,850
TRANSPORTATION					
Transportation, Department of	4,736,445	4,748,676	4,818,614	4,889,836	4,953,448
Functional Total	4,736,445	4,748,676	4,818,614	4,889,836	4,953,448
HEALTH					
Health, Department of	6,090,916	6,113,106	6,138,097	6,199,594	6,209,085
<i>Medical Assistance</i>	5,113,859	5,184,917	5,267,953	5,314,490	5,313,344
<i>Public Health</i>	977,057	928,189	870,144	885,104	895,741
Functional Total	6,090,916	6,113,106	6,138,097	6,199,594	6,209,085
SOCIAL WELFARE					
Children and Family Services, Office of	2,726	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,726	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	1,251	852	852	852	852
Labor, Department of	9	150	150	150	150
Functional Total	3,986	4,584	4,584	4,584	4,584
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	278,379	289,397	298,149	311,819	322,997
<i>OASAS</i>	278,379	289,397	298,149	311,819	322,997
Justice Center	430	450	450	450	450
Mental Health, Office of	803,034	908,527	932,543	1,007,016	1,054,282
<i>OMH</i>	803,034	908,527	932,543	1,007,016	1,054,282
People with Developmental Disabilities, Office for	434,691	335,355	377,887	364,887	364,887
<i>OPWDD</i>	433,331	335,355	377,887	364,887	364,887
<i>OPWDD - Other</i>	1,360	0	0	0	0
Functional Total	1,516,534	1,533,729	1,609,029	1,684,172	1,742,616
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	29,987	31,737	31,737	31,737	31,737
Homeland Security and Emergency Services, Division of	34,184	42,916	88,297	64,051	36,301
Indigent Legal Services, Office of	51,123	65,000	103,000	101,600	101,600
Victim Services, Office of	24,620	28,182	28,182	28,182	28,182
Functional Total	139,914	167,835	251,216	225,570	197,820
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	32,000	16,000	0	0	0
Functional Total	32,000	16,000	0	0	0
EDUCATION					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,523,484	6,700,992	6,657,757	6,830,405	6,866,845
<i>School Aid</i>	3,215,584	3,305,800	3,177,000	3,307,700	3,301,700
<i>STAR Property Tax Relief</i>	3,296,950	3,382,279	3,467,844	3,509,792	3,552,232
<i>All Other</i>	10,950	12,913	12,913	12,913	12,913
Functional Total	6,523,484	6,701,090	6,657,855	6,830,503	6,866,943
GENERAL GOVERNMENT					
Elections, State Board of	0	0	0	0	111,000
Gaming Commission, New York State	0	34,200	5,000	58,200	58,200

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
State, Department of	874	939	939	939	939
Functional Total	<u>874</u>	<u>35,139</u>	<u>5,939</u>	<u>59,139</u>	<u>170,139</u>
ELECTED OFFICIALS					
Judiciary	104,992	104,200	104,200	104,200	104,200
Functional Total	<u>104,992</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>
ALL OTHER CATEGORIES					
Miscellaneous	77,610	(569,500)	(701,000)	(741,000)	(776,000)
Functional Total	<u>77,610</u>	<u>(569,500)</u>	<u>(701,000)</u>	<u>(741,000)</u>	<u>(776,000)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>19,460,245</u></u>	<u><u>18,948,561</u></u>	<u><u>18,975,986</u></u>	<u><u>19,342,925</u></u>	<u><u>19,559,162</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,514	2,854	2,854	2,854	2,854
Alcoholic Beverage Control, Division of	7,657	8,147	8,147	8,147	8,147
Economic Development, Department of	0	103	103	103	103
Energy Research and Development Authority	3,622	0	0	0	0
Financial Services, Department of	137,783	153,580	153,580	153,580	153,580
Public Service Department	39,760	42,089	42,075	42,156	42,156
Functional Total	191,336	206,773	206,759	206,840	206,840
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	92,238	97,092	97,334	85,556	85,722
Parks, Recreation and Historic Preservation, Office of	28,874	33,569	33,944	33,944	33,944
Functional Total	121,112	130,661	131,278	119,500	119,666
TRANSPORTATION					
Motor Vehicles, Department of	43,692	45,054	45,054	45,054	45,054
Transportation, Department of	6,980	7,645	7,646	7,646	7,646
Functional Total	50,672	52,699	52,700	52,700	52,700
HEALTH					
Health, Department of	138,422	135,570	132,509	132,520	132,524
<i>Public Health</i>	138,422	135,570	132,509	132,520	132,524
Stem Cell and Innovation	368	463	463	463	463
Functional Total	138,790	136,033	132,972	132,983	132,987
SOCIAL WELFARE					
Children and Family Services, Office of	2,824	3,304	3,304	3,301	3,333
<i>OCFS</i>	2,824	3,304	3,304	3,301	3,333
Housing and Community Renewal, Division of	35,037	36,204	36,204	36,204	36,204
Labor, Department of	29,991	32,530	32,530	32,530	32,530
Functional Total	67,852	72,038	72,038	72,035	72,067
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	53,107	55,260	53,368	53,535	53,849
<i>OASAS</i>	20,250	20,965	21,028	21,091	21,212
<i>OASAS - Other</i>	32,857	34,295	32,340	32,444	32,637
Justice Center	1,132	1,248	1,287	1,333	1,389
Mental Health, Office of	1,077,139	1,133,667	1,102,514	1,102,636	1,117,786
<i>OMH</i>	270,100	243,244	297,273	299,633	303,663
<i>OMH - Other</i>	807,039	890,423	805,241	803,003	814,123
People with Developmental Disabilities, Office for	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
<i>OPWDD - Other</i>	1,112,504	1,121,088	1,070,911	1,077,781	1,088,923
Functional Total	2,243,882	2,311,263	2,228,080	2,235,285	2,261,947
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	147	219	219	219	219
Criminal Justice Services, Division of	219	392	392	392	392
Homeland Security and Emergency Services, Division of	9,428	12,285	12,285	12,285	12,285
Indigent Legal Services, Office of	928	1,454	1,704	1,704	1,704
Military and Naval Affairs, Division of	430	793	793	793	793
State Police, Division of	11,518	11,806	11,806	11,806	11,806
Statewide Financial System	340	0	0	0	0
Victim Services, Office of	3,162	3,176	3,176	3,176	3,176
Functional Total	26,172	30,125	30,375	30,375	30,375
HIGHER EDUCATION					
City University of New York	48,140	42,363	43,425	44,509	44,509
Higher Education - Miscellaneous	146	198	198	198	198
Higher Education Services Corporation, New York State	16,369	17,124	17,099	17,099	17,099
State University of New York	3,502,619	3,578,972	3,659,588	3,715,668	3,776,196
Functional Total	3,567,274	3,638,657	3,720,310	3,777,474	3,838,002

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
EDUCATION					
Education, Department of	56,872	57,713	57,713	57,713	57,713
<i>All Other</i>	<u>56,872</u>	<u>57,713</u>	<u>57,713</u>	<u>57,713</u>	<u>57,713</u>
Functional Total	<u>56,872</u>	<u>57,713</u>	<u>57,713</u>	<u>57,713</u>	<u>57,713</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,539	2,204	2,298	2,351	2,351
Civil Service, Department of	261	334	334	334	359
Deferred Compensation Board	281	378	378	378	378
Elections, State Board of	0	0	0	1,000	6,000
Gaming Commission, New York State	30,748	33,410	33,462	34,506	34,506
General Services, Office of	3,701	4,098	4,098	4,098	4,098
Public Employment Relations Board	0	159	0	0	0
State, Department of	15,857	16,364	16,327	16,289	16,289
Taxation and Finance, Department of	54,419	42,926	43,143	43,296	43,296
Workers' Compensation Board	<u>77,315</u>	<u>80,977</u>	<u>80,977</u>	<u>80,977</u>	<u>81,592</u>
Functional Total	<u>184,121</u>	<u>180,850</u>	<u>181,017</u>	<u>183,229</u>	<u>188,869</u>
ELECTED OFFICIALS					
Audit and Control, Department of	10,730	10,170	9,903	9,903	9,903
Judiciary	56,698	57,800	57,800	57,800	57,800
Law, Department of	<u>26,126</u>	<u>27,793</u>	<u>29,293</u>	<u>30,293</u>	<u>31,293</u>
Functional Total	<u>93,554</u>	<u>95,763</u>	<u>96,996</u>	<u>97,996</u>	<u>98,996</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>2,151</u>	<u>(123,061)</u>	<u>(124,761)</u>	<u>(144,761)</u>	<u>(164,756)</u>
Functional Total	<u>2,151</u>	<u>(123,061)</u>	<u>(124,761)</u>	<u>(144,761)</u>	<u>(164,756)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>6,743,788</u></u>	<u><u>6,789,514</u></u>	<u><u>6,785,477</u></u>	<u><u>6,821,369</u></u>	<u><u>6,895,406</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	17,132	18,190	18,193	18,193	18,193
Alcoholic Beverage Control, Division of	5,438	4,604	4,606	4,608	4,608
Economic Development, Department of	1,978	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,219	0	0	0	0
Financial Services, Department of	53,504	58,071	56,837	56,137	56,137
Olympic Regional Development Authority	0	150	150	150	150
Public Service Department	7,736	7,619	7,555	7,557	7,557
Functional Total	87,007	90,481	89,188	88,492	88,492
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,628	47,238	46,973	36,911	31,774
Parks, Recreation and Historic Preservation, Office of	40,592	32,512	32,486	32,485	32,485
Functional Total	86,220	79,750	79,459	69,396	64,259
TRANSPORTATION					
Motor Vehicles, Department of	14,808	17,794	17,794	17,794	17,794
Transportation, Department of	14,440	11,599	12,615	12,615	12,615
Functional Total	29,248	29,393	30,409	30,409	30,409
HEALTH					
Aging, Office for the	0	1	1	1	1
Health, Department of	109,580	121,905	122,898	123,898	124,306
<i>Public Health</i>	109,580	121,905	122,898	123,898	124,306
Stem Cell and Innovation	32,926	29,322	29,322	29,322	29,322
Functional Total	142,506	151,228	152,221	153,221	153,629
SOCIAL WELFARE					
Children and Family Services, Office of	26,288	29,213	29,213	29,213	29,794
<i>OCFS</i>	26,288	29,213	29,213	29,213	29,794
Housing and Community Renewal, Division of	9,516	8,492	8,492	8,492	8,492
Labor, Department of	15,709	13,701	13,701	13,701	13,701
Temporary and Disability Assistance, Office of	74	200	200	200	200
<i>All Other</i>	74	200	200	200	200
Functional Total	51,587	51,606	51,606	51,606	52,187
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	21,421	17,808	17,932	18,295	18,661
<i>OASAS</i>	9,937	8,095	8,269	8,438	8,602
<i>OASAS - Other</i>	11,484	9,713	9,663	9,857	10,059
Justice Center	36	35	36	37	38
Mental Health, Office of	306,172	290,106	296,383	301,757	309,002
<i>OMH</i>	65,994	55,984	60,261	61,633	63,006
<i>OMH - Other</i>	240,178	234,122	236,122	240,124	245,996
Mental Hygiene, Department of	228	0	0	0	0
People with Developmental Disabilities, Office for	261,675	214,122	211,519	216,545	222,336
<i>OPWDD</i>	57	181	181	181	181
<i>OPWDD - Other</i>	261,618	213,941	211,338	216,364	222,155
Functional Total	589,532	522,071	525,870	536,634	550,037
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,899	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	2,672	4,705	4,705	4,705	4,705
Homeland Security and Emergency Services, Division of	21,052	10,969	9,970	9,970	9,970
Indigent Legal Services, Office of	123	1,035	535	535	535
Military and Naval Affairs, Division of	2,917	2,434	2,434	2,434	2,434
State Police, Division of	46,651	25,984	22,184	22,184	22,184
Statewide Financial System	2,355	0	0	0	0
Victim Services, Office of	550	724	724	724	724
Functional Total	78,219	48,478	43,179	43,179	43,179
HIGHER EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
City University of New York	31,913	42,000	42,840	43,697	44,571
Higher Education - Miscellaneous	71	93	93	93	93
Higher Education Services Corporation, New York State	26,876	26,488	26,487	26,487	26,487
State University of New York	<u>2,261,726</u>	<u>2,140,808</u>	<u>2,207,716</u>	<u>2,278,972</u>	<u>2,354,444</u>
Functional Total	<u>2,320,586</u>	<u>2,209,389</u>	<u>2,277,136</u>	<u>2,349,249</u>	<u>2,425,595</u>
EDUCATION					
Education, Department of	<u>27,650</u>	<u>25,205</u>	<u>25,188</u>	<u>25,188</u>	<u>25,188</u>
<i>All Other</i>	<u>27,650</u>	<u>25,205</u>	<u>25,188</u>	<u>25,188</u>	<u>25,188</u>
Functional Total	<u>27,650</u>	<u>25,205</u>	<u>25,188</u>	<u>25,188</u>	<u>25,188</u>
GENERAL GOVERNMENT					
Budget, Division of the	973	2,837	2,745	2,693	2,693
Civil Service, Department of	508	420	420	420	428
Deferred Compensation Board	43	195	206	206	206
Elections, State Board of	139	0	4,000	3,426	10,051
Gaming Commission, New York State	103,569	115,472	115,552	115,589	115,589
General Services, Office of	5,780	7,940	7,040	7,040	7,040
Labor Management Committees	0	300	300	300	300
Prevention of Domestic Violence, Office for	0	5	5	5	5
Public Employment Relations Board	19	43	43	44	44
State, Department of	12,318	15,316	14,315	14,314	14,314
Taxation and Finance, Department of	20,877	23,757	24,098	24,102	24,102
Workers' Compensation Board	<u>64,681</u>	<u>60,729</u>	<u>60,729</u>	<u>60,729</u>	<u>61,897</u>
Functional Total	<u>208,907</u>	<u>227,014</u>	<u>229,453</u>	<u>228,868</u>	<u>236,669</u>
ELECTED OFFICIALS					
Audit and Control, Department of	4,330	5,222	5,099	5,099	5,099
Judiciary	46,560	50,600	50,600	50,600	50,600
Law, Department of	37,520	38,860	38,865	38,870	38,870
Legislature	<u>1,046</u>	<u>950</u>	<u>950</u>	<u>950</u>	<u>950</u>
Functional Total	<u>89,456</u>	<u>95,632</u>	<u>95,514</u>	<u>95,519</u>	<u>95,519</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>(589)</u>	<u>(137,971)</u>	<u>(137,971)</u>	<u>(187,970)</u>	<u>(202,959)</u>
Functional Total	<u>(589)</u>	<u>(137,971)</u>	<u>(137,971)</u>	<u>(187,970)</u>	<u>(202,959)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>3,710,329</u>	<u>3,392,276</u>	<u>3,461,252</u>	<u>3,483,791</u>	<u>3,562,204</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,378	1,593	1,728	1,860	1,927
Alcoholic Beverage Control, Division of	4,541	4,643	4,798	4,973	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	1,604	0	0	0	0
Financial Services, Department of	76,375	88,395	90,527	93,032	93,164
Public Service Department	21,588	23,426	24,374	25,651	27,050
Functional Total	105,486	118,085	121,455	125,544	127,142
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,247	45,756	47,051	41,042	41,192
Parks, Recreation and Historic Preservation, Office of	2,829	3,184	3,217	3,218	3,218
Functional Total	48,076	48,940	50,268	44,260	44,410
TRANSPORTATION					
Motor Vehicles, Department of	22,591	25,104	25,789	26,534	26,646
Transportation, Department of	4,242	4,227	4,393	4,600	4,847
Functional Total	26,833	29,331	30,182	31,134	31,493
HEALTH					
Health, Department of	30,886	30,539	31,333	31,340	31,343
<i>Public Health</i>	30,886	30,539	31,333	31,340	31,343
Stem Cell and Innovation	189	0	0	0	0
Functional Total	31,075	30,539	31,333	31,340	31,343
SOCIAL WELFARE					
Children and Family Services, Office of	371	2,658	2,658	2,658	2,658
<i>OCFS</i>	371	2,658	2,658	2,658	2,658
Housing and Community Renewal, Division of	14,960	16,381	16,381	16,381	16,381
Labor, Department of	17,657	18,450	18,450	18,450	18,450
Functional Total	32,988	37,489	37,489	37,489	37,489
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	31,721	33,205	33,551	35,073	37,168
<i>OASAS</i>	13,472	13,682	14,104	14,813	15,678
<i>OASAS - Other</i>	18,249	19,523	19,447	20,260	21,490
Justice Center	582	700	739	802	880
Mental Health, Office of	606,158	616,828	643,976	668,877	715,886
<i>OMH</i>	196,274	107,489	172,197	179,295	191,567
<i>OMH - Other</i>	409,884	509,339	471,779	489,582	524,319
People with Developmental Disabilities, Office for	629,482	631,120	626,732	654,585	696,984
<i>OPWDD - Other</i>	629,482	631,120	626,732	654,585	696,984
Functional Total	1,267,943	1,281,853	1,304,998	1,359,337	1,450,918
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	80	127	132	136	136
Criminal Justice Services, Division of	60	85	88	88	88
Homeland Security and Emergency Services, Division of	387	829	852	873	896
Indigent Legal Services, Office of	515	528	728	728	728
Military and Naval Affairs, Division of	317	80	80	80	80
State Police, Division of	2,619	2,711	2,811	2,953	3,115
Victim Services, Office of	1,591	2,191	2,190	2,190	2,190
Functional Total	5,569	6,551	6,881	7,048	7,233
HIGHER EDUCATION					
City University of New York	7,717	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	83	99	99	99	99
Higher Education Services Corporation, New York State	13,961	10,228	10,566	10,978	10,978
State University of New York	316,600	383,843	392,451	401,324	410,473
Functional Total	338,361	400,170	409,116	418,401	427,550

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
EDUCATION					
Education, Department of	32,716	32,427	33,615	35,306	37,229
<i>All Other</i>	32,716	32,427	33,615	35,306	37,229
Functional Total	<u>32,716</u>	<u>32,427</u>	<u>33,615</u>	<u>35,306</u>	<u>37,229</u>
GENERAL GOVERNMENT					
Budget, Division of the	975	1,443	1,557	1,657	1,657
Civil Service, Department of	151	176	176	176	176
Deferred Compensation Board	166	225	225	225	225
Elections, State Board of	0	0	0	574	1,949
Gaming Commission, New York State	14,956	16,881	16,958	17,575	17,575
General Services, Office of	2,208	2,187	2,268	2,383	2,513
State, Department of	9,433	9,057	9,259	9,496	9,496
Taxation and Finance, Department of	31,785	25,046	25,869	26,014	26,014
Workers' Compensation Board	46,013	48,228	49,948	51,902	51,902
Functional Total	<u>105,687</u>	<u>103,243</u>	<u>106,260</u>	<u>110,002</u>	<u>111,507</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,634	1,934	1,867	1,978	2,039
Judiciary	23,258	27,600	28,750	29,450	29,450
Law, Department of	13,613	19,023	20,785	21,369	22,773
Functional Total	<u>38,505</u>	<u>48,557</u>	<u>51,402</u>	<u>52,797</u>	<u>54,262</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,315	1,494	1,494	1,497	1,497
Functional Total	<u>1,315</u>	<u>1,494</u>	<u>1,494</u>	<u>1,497</u>	<u>1,497</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>2,034,554</u></u>	<u><u>2,138,679</u></u>	<u><u>2,184,493</u></u>	<u><u>2,254,155</u></u>	<u><u>2,362,073</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	6,079	6,055	6,055	6,055	6,055
Functional Total	6,079	6,055	6,055	6,055	6,055
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	1,303	1,270	1,270	1,270	1,270
Functional Total	1,303	1,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	14,536	18,000	18,000	18,000	18,000
Transportation, Department of	45,354	33,927	33,927	33,927	33,927
Functional Total	59,890	51,927	51,927	51,927	51,927
HEALTH					
Aging, Office for the	101,849	94,369	92,769	92,769	92,769
Health, Department of	31,407,191	34,907,422	36,348,459	37,363,171	37,839,056
<i>Medical Assistance</i>	29,400,998	31,368,645	32,218,588	33,106,903	33,454,703
<i>Basic Health Plan</i>	0	1,508,890	2,016,640	2,081,172	2,143,608
<i>Medicaid Administration</i>	444,650	445,950	445,950	445,950	445,950
<i>Public Health</i>	1,561,543	1,583,937	1,667,281	1,729,146	1,794,795
Functional Total	31,509,040	35,001,791	36,441,228	37,455,940	37,931,825
SOCIAL WELFARE					
Children and Family Services, Office of	1,077,094	1,084,577	966,300	966,300	966,300
<i>OCFS</i>	1,077,094	1,084,577	966,300	966,300	966,300
Housing and Community Renewal, Division of	48,983	48,434	48,434	48,434	48,434
Labor, Department of	147,172	158,607	158,117	158,325	158,325
Temporary and Disability Assistance, Office of	3,463,500	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,632,607	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	830,893	817,000	817,000	817,000	817,000
Functional Total	4,736,749	4,735,194	4,616,427	4,616,635	4,616,635
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	111,100	111,716	111,716	111,716	111,716
<i>OASAS</i>	111,100	111,716	111,716	111,716	111,716
Mental Health, Office of	33,780	32,025	32,025	32,025	32,025
<i>OMH</i>	33,780	32,025	32,025	32,025	32,025
People with Developmental Disabilities, Office for	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	0	8,500	8,500	8,500	8,500
Functional Total	144,880	152,241	152,241	152,241	152,241
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	16,082	18,800	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	2,228,504	2,195,560	1,478,771	949,124	663,771
Victim Services, Office of	29,925	30,128	30,128	30,128	30,128
Functional Total	2,274,511	2,244,488	1,527,699	998,052	712,699
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	352	0	0	0	0
State University of New York	0	7,941	7,941	7,941	7,941
Functional Total	352	7,941	7,941	7,941	7,941
EDUCATION					
Arts, Council on the	965	600	600	600	600
Education, Department of	3,198,876	3,619,286	3,571,776	3,625,426	3,689,557
<i>School Aid</i>	2,053,052	2,639,350	2,678,000	2,723,400	2,769,850
<i>Special Education Categorical Programs</i>	659,120	813,350	821,450	829,700	838,000
<i>All Other</i>	486,704	166,586	72,326	72,326	81,707
Functional Total	3,199,841	3,619,886	3,572,376	3,626,026	3,690,157
GENERAL GOVERNMENT					
Elections, State Board of	306	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
General Services, Office of	0	250	250	250	250
State, Department of	57,298	55,457	55,457	55,457	55,457
Functional Total	<u>57,604</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
ALL OTHER CATEGORIES					
Miscellaneous	(361,032)	(425,834)	(425,834)	(425,834)	(382,834)
Functional Total	<u>(361,032)</u>	<u>(425,834)</u>	<u>(425,834)</u>	<u>(425,834)</u>	<u>(382,834)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>41,629,217</u></u>	<u><u>45,450,666</u></u>	<u><u>46,007,037</u></u>	<u><u>46,545,960</u></u>	<u><u>46,843,623</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,318	2,943	2,973	2,973	2,973
Financial Services, Department of	589	0	0	0	0
Public Service Department	1,485	1,202	1,202	1,202	1,202
Functional Total	5,392	4,145	4,175	4,175	4,175
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	27,266	27,764	27,759	27,759	27,759
Parks, Recreation and Historic Preservation, Office of	2,098	1,123	1,123	1,123	1,123
Functional Total	29,364	28,887	28,882	28,882	28,882
TRANSPORTATION					
Motor Vehicles, Department of	1,161	3,672	3,735	3,735	3,735
Transportation, Department of	3,903	4,656	5,387	5,387	5,387
Functional Total	5,064	8,328	9,122	9,122	9,122
HEALTH					
Aging, Office for the	5,392	5,997	7,100	7,100	7,100
Health, Department of	72,922	98,507	146,212	152,279	155,078
<i>Medicaid Administration</i>	24,910	40,779	59,833	65,880	68,673
<i>Public Health</i>	48,012	57,728	86,379	86,399	86,405
Medicaid Inspector General, Office of the	16,626	16,659	16,664	16,664	16,664
Functional Total	94,940	121,163	169,976	176,043	178,842
SOCIAL WELFARE					
Children and Family Services, Office of	25,232	28,386	28,386	28,957	29,247
<i>OCFS</i>	25,232	28,386	28,386	28,957	29,247
Housing and Community Renewal, Division of	6,870	7,355	7,437	7,520	7,595
Human Rights, Division of	2,655	3,075	3,075	3,106	3,135
Labor, Department of	184,704	173,216	172,794	175,010	175,010
National and Community Service	309	362	362	373	377
Temporary and Disability Assistance, Office of	74,037	78,081	78,882	79,691	80,507
<i>All Other</i>	74,037	78,081	78,882	79,691	80,507
Functional Total	293,807	290,475	290,936	294,657	295,871
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	4,424	4,424	4,424	4,426	4,470
<i>OASAS</i>	4,424	4,424	4,424	4,426	4,470
Developmental Disabilities Planning Council	991	1,253	1,253	1,266	1,266
Justice Center	30	101	102	103	103
Mental Health, Office of	943	584	584	584	584
<i>OMH</i>	943	584	584	584	584
Functional Total	6,388	6,362	6,363	6,379	6,423
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,871	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	2,742	5,560	5,602	5,602	5,602
Homeland Security and Emergency Services, Division of	21,292	6,490	6,490	6,490	6,490
Military and Naval Affairs, Division of	27,171	22,206	21,993	21,993	21,993
State Police, Division of	10,567	7,000	7,000	7,000	7,000
Victim Services, Office of	1,009	1,657	1,658	1,658	1,658
Functional Total	64,652	58,666	58,496	58,496	58,496
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	246	836	836	836	836
State University of New York	8,602	7,229	7,229	7,229	7,229
Functional Total	8,848	8,065	8,065	8,065	8,065
EDUCATION					
Education, Department of	83,101	85,799	84,486	84,486	84,486
<i>School Aid</i>	68	196	0	0	0
<i>Special Education Categorical Programs</i>	10,440	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
<i>All Other</i>	72,593	85,603	84,486	84,486	84,486
Functional Total	<u>83,101</u>	<u>85,799</u>	<u>84,486</u>	<u>84,486</u>	<u>84,486</u>
GENERAL GOVERNMENT					
Elections, State Board of	0	80	0	0	0
Prevention of Domestic Violence, Office for	47	0	0	0	0
State, Department of	2,042	3,731	3,731	3,731	3,731
Veterans' Affairs, Division of	375	796	796	796	804
Functional Total	<u>2,464</u>	<u>4,607</u>	<u>4,527</u>	<u>4,527</u>	<u>4,535</u>
ELECTED OFFICIALS					
Judiciary	1,851	0	0	0	0
Law, Department of	17,385	19,775	19,775	19,777	19,781
Functional Total	<u>19,236</u>	<u>19,775</u>	<u>19,775</u>	<u>19,777</u>	<u>19,781</u>
TOTAL PERSONAL SERVICE SPENDING	<u>613,256</u>	<u>636,272</u>	<u>684,803</u>	<u>694,609</u>	<u>698,678</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,706	9,238	9,426	9,612	9,612
Economic Development, Department of	88	245	245	245	245
Financial Services, Department of	1,449	0	0	0	0
Public Service Department	177	40	40	40	40
Functional Total	10,420	9,523	9,711	9,897	9,897
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	140	350	350	350	350
Environmental Conservation, Department of	14,273	17,126	17,126	17,126	17,126
Parks, Recreation and Historic Preservation, Office of	2,234	1,145	1,145	1,145	1,145
Functional Total	16,647	18,621	18,621	18,621	18,621
TRANSPORTATION					
Motor Vehicles, Department of	1,014	3,740	3,813	3,813	3,813
Transportation, Department of	1,969	2,760	2,802	2,811	2,811
Functional Total	2,983	6,500	6,615	6,624	6,624
HEALTH					
Aging, Office for the	1,575	936	940	940	940
Health, Department of	517,846	377,613	308,904	342,727	355,085
<i>Medical Assistance</i>	(7,548)	0	0	0	0
<i>Medicaid Administration</i>	189,156	281,841	275,560	307,833	319,440
<i>Public Health</i>	336,238	95,772	33,344	34,894	35,645
Medicaid Inspector General, Office of the	5,276	6,458	6,481	6,481	6,481
Functional Total	524,697	385,007	316,325	350,148	362,506
SOCIAL WELFARE					
Children and Family Services, Office of	53,146	62,924	62,924	66,230	67,533
<i>OCFS</i>	53,146	62,924	62,924	66,230	67,533
Housing and Community Renewal, Division of	2,383	2,542	2,598	2,660	2,709
Human Rights, Division of	984	1,230	1,230	1,262	1,287
Labor, Department of	111,299	77,809	78,244	80,658	80,658
National and Community Service	16,700	13,860	13,860	14,969	15,268
Temporary and Disability Assistance, Office of	67,281	77,694	82,863	84,643	86,398
<i>All Other</i>	67,281	77,694	82,863	84,643	86,398
Functional Total	251,793	236,059	241,719	250,422	253,853
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	1,146	1,406	1,406	1,406	1,438
<i>OASAS</i>	1,146	1,406	1,406	1,406	1,438
Developmental Disabilities Planning Council	2,015	2,279	2,246	2,190	2,149
Justice Center	437	510	522	536	536
Mental Health, Office of	663	153	153	153	153
<i>OMH</i>	663	153	153	153	153
People with Developmental Disabilities, Office for	874	1,000	1,000	1,000	1,000
<i>OPWDD</i>	874	1,000	1,000	1,000	1,000
Functional Total	5,135	5,348	5,327	5,285	5,276
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,205	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	3,542	6,618	6,749	6,749	6,749
Homeland Security and Emergency Services, Division of	80,195	82,084	6,812	6,812	6,812
Military and Naval Affairs, Division of	14,056	12,254	12,254	12,254	12,254
State Police, Division of	9,014	11,000	11,000	11,000	11,000
Victim Services, Office of	156	502	512	512	512
Functional Total	108,168	113,649	38,518	38,518	38,518
HIGHER EDUCATION					
City University of New York	6,731	0	0	0	0
Higher Education Services Corporation, New York State	5,408	5,797	5,797	5,797	5,797
State University of New York	304,856	227,631	227,631	227,631	227,631

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Functional Total	<u>316,995</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>
EDUCATION					
Arts, Council on the	0	100	100	100	100
Education, Department of	<u>103,981</u>	<u>139,565</u>	<u>62,562</u>	<u>62,562</u>	<u>62,562</u>
<i>School Aid</i>	220	103	0	0	0
<i>Special Education Categorical Programs</i>	8,365	0	0	0	0
<i>All Other</i>	<u>95,396</u>	<u>139,462</u>	<u>62,562</u>	<u>62,562</u>	<u>62,562</u>
Functional Total	<u>103,981</u>	<u>139,665</u>	<u>62,662</u>	<u>62,662</u>	<u>62,662</u>
GENERAL GOVERNMENT					
Elections, State Board of	3,412	17,200	0	0	0
General Services, Office of	<u>5,962</u>	<u>4,987</u>	<u>4,987</u>	<u>4,987</u>	<u>4,987</u>
Prevention of Domestic Violence, Office for	12	0	0	0	0
State, Department of	756	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	874	1,220	1,220	1,220	1,220
Technology, Office for	1,287	0	0	0	0
Veterans' Affairs, Division of	108	592	592	592	604
Workers' Compensation Board	<u>6,216</u>	<u>3,624</u>	<u>3,624</u>	<u>3,624</u>	<u>3,624</u>
Functional Total	<u>18,627</u>	<u>31,662</u>	<u>14,462</u>	<u>14,462</u>	<u>14,474</u>
ELECTED OFFICIALS					
Judiciary	3,263	7,000	6,500	6,500	6,500
Law, Department of	<u>7,367</u>	<u>7,392</u>	<u>7,535</u>	<u>7,681</u>	<u>7,681</u>
Functional Total	<u>10,630</u>	<u>14,392</u>	<u>14,035</u>	<u>14,181</u>	<u>14,181</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>48</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>48</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>1,370,124</u></u>	<u><u>1,193,854</u></u>	<u><u>961,423</u></u>	<u><u>1,004,248</u></u>	<u><u>1,020,040</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,154	1,307	1,361	1,422	1,422
Financial Services, Department of	679	0	0	0	0
Public Service Department	1,061	684	700	720	720
Functional Total	3,894	1,991	2,061	2,142	2,142
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	14,170	11,017	11,035	11,053	11,073
Parks, Recreation and Historic Preservation, Office of	0	630	630	630	630
Functional Total	14,170	11,647	11,665	11,683	11,703
TRANSPORTATION					
Motor Vehicles, Department of	765	2,089	2,176	2,237	2,237
Transportation, Department of	2,417	2,419	2,917	3,172	3,340
Functional Total	3,182	4,508	5,093	5,409	5,577
HEALTH					
Aging, Office for the	0	0	22	22	22
Health, Department of	33,669	40,149	49,027	51,490	54,263
<i>Public Health</i>	33,669	40,149	49,027	51,490	54,263
Medicaid Inspector General, Office of the	9,164	9,349	9,340	9,340	9,340
Functional Total	42,833	49,498	58,389	60,852	63,625
SOCIAL WELFARE					
Children and Family Services, Office of	8,068	12,706	12,706	12,895	12,895
<i>OCFS</i>	8,068	12,706	12,706	12,895	12,895
Housing and Community Renewal, Division of	3,876	3,940	3,940	3,988	4,030
Human Rights, Division of	61	0	0	0	0
Labor, Department of	104,517	92,694	96,315	97,643	97,643
Temporary and Disability Assistance, Office of	39,575	44,973	44,973	44,973	44,973
<i>All Other</i>	39,575	44,973	44,973	44,973	44,973
Functional Total	156,097	154,313	157,934	159,499	159,541
MENTAL HYGIENE					
Developmental Disabilities Planning Council	560	668	701	744	785
Justice Center	32	54	57	63	64
Mental Health, Office of	548	313	332	348	367
<i>OMH</i>	548	313	332	348	367
Functional Total	1,140	1,035	1,090	1,155	1,216
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	850	1,345	1,327	1,327	1,327
Criminal Justice Services, Division of	225	3,235	3,360	3,360	3,360
Homeland Security and Emergency Services, Division of	8,571	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	16,266	7,932	8,321	8,737	9,208
State Police, Division of	975	1,500	1,500	1,500	1,500
Victim Services, Office of	16	372	372	372	372
Functional Total	26,903	17,300	17,796	18,212	18,683
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	3	419	419	419	419
State University of New York	132	51	51	51	51
Functional Total	135	470	470	470	470
EDUCATION					
Education, Department of	46,997	50,758	49,907	49,907	49,907
<i>School Aid</i>	96	141	0	0	0
<i>Special Education Categorical Programs</i>	5,629	0	0	0	0
<i>All Other</i>	41,272	50,617	49,907	49,907	49,907
Functional Total	46,997	50,758	49,907	49,907	49,907
GENERAL GOVERNMENT					
State, Department of	1,150	2,709	2,792	2,792	2,792
Veterans' Affairs, Division of	205	350	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Enacted</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>
Functional Total	<u>1,355</u>	<u>3,059</u>	<u>3,142</u>	<u>3,142</u>	<u>3,142</u>
ELECTED OFFICIALS					
Judiciary	237	0	0	0	0
Law, Department of	<u>6,933</u>	<u>11,397</u>	<u>11,529</u>	<u>11,846</u>	<u>12,180</u>
Functional Total	<u>7,170</u>	<u>11,397</u>	<u>11,529</u>	<u>11,846</u>	<u>12,180</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>303,876</u></u>	<u><u>305,976</u></u>	<u><u>319,076</u></u>	<u><u>324,317</u></u>	<u><u>328,186</u></u>

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
RBTF - Dedicated PIT in excess of Debt Service		8,658,516	10,215,301	10,439,680	10,934,688	11,005,992
STBF - Sales Tax Bond Fund		2,939,875	2,965,952	2,998,770	3,022,488	3,047,616
LGAC - Dedicated Sales Tax in excess of Debt Service		2,631,793	2,767,067	2,917,207	3,128,933	3,162,698
CWCA - Real Estate Transfer Tax in excess of Debt Service		844,174	893,541	948,491	989,881	1,036,560
Total All Other Transfers		866,018	1,298,632	739,735	739,556	724,457
339.22094	Accident Prevention Course	606	606	606	606	606
339.21982	Administration Program Account	-	2,602	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	837	1,096	1,096	1,096	1,096
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office	6	45	45	45	45
339.22003	Bell Jar Collection Account	567	1	1	1	1
323.5502Y	Building Administration Account - Internal Service Fund	-	50	25	25	25
339.219YL	Building Administration Account - Special Revenue Fund	-	1,012	1,006	1,006	1,006
339.21977	Business and Licensing Services Account	36,331	92,397	41,951	41,196	41,196
339.22028	Central Registry	1,822	1,822	1,822	1,822	1,822
339.21920	Certificate of Need Account	1,086	3,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	-	292,888	-	-	-
377.23267	CUNY Stabilization Account	-	15,819	-	-	-
334.55055	Civil Service Administration	314	1,651	1,651	1,651	1,651
339.21962	Clinical Laboratories Fee Account	-	578	289	289	289
S01.23702	Commercial Gaming Regulation	-	2	2	2	2
339.21966	Consumer Food Industry Account	-	552	-	-	-
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
331.50318	Convention Center Account	-	18	9	9	9
397.55350	Correctional Industries	357	357	357	357	357
339.21945	Criminal Justice Improvement	8,916	22,856	8,596	8,596	8,596
339.22042	DED Marketing Account	131	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund - DMV	-	25,192	25,247	25,247	25,247
072.30050	Dedicated Highway and Bridge Trust Fund - DOT	39,747	25,721	25,721	25,721	25,721
303.21203	Department of Environmental Conservation Account	-	1,261	-	-	-
323.55010	Design and Construction Account	-	866	433	433	433
339.22087	DMV-Compulsory Insurance Fund	8,368	12,718	9,923	9,766	9,766
339.21923	DOL Fee Penalty	8,372	8,372	8,372	8,372	8,372
366.23102	Drinking Water Program Account	-	2,216	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	-	312	131	131	131
396.55301	Employee Benefit Division Administration	582	639	639	639	639
334.55056	Employee Health Services Occupational Health Program	-	8	8	8	8
301.21080	Encon Magazine	131	-	-	-	-
339.21959	Environmental Laboratory Fee Account	-	262	131	131	131
078.304CC	Environmental Protection Fund	-	25,000	-	-	-
301.21081	Environmental Regulatory Account	2,028	1,692	1,692	1,692	1,692
339.22101	EPIC Premium Account	4	-	-	-	-
307.21351	Equipment Loan Fund	7	7	7	7	7
339.22065	Examination and Miscellaneous Revenue	2,379	1,961	1,961	1,961	1,961
323.550ZX	Executive Direction Program Fund	-	115	110	110	110
267.25200	Federal Education - DOH	-	1,338	669	669	669
267.25200	Federal Education - OCFS	1,463	900	900	900	900
265.25100	Federal HHS - AG&MKTS	-	50	50	50	50
265.25100	Federal HHS - AGING	-	883	883	883	883
265.25100	Federal HHS - DOH	-	11,457	9,131	9,131	9,131
265.25100	Federal HHS - OCFS	15,207	8,390	8,390	8,390	8,390
265.25100	Federal HHS - OMIG	-	2,036	2,036	2,036	2,036
265.25100	Federal HHS - OTDA	121,750	82,933	82,933	82,933	82,933
301.21065	Federal Indirect Recovery Account	-	134	134	134	134
290.25300	Federal Operating Grant - DHCR	-	401	401	401	401
290.25300	Federal Operating Grant - DOH	-	204	102	102	102
290.25300	Federal Operating Grant - DPS	-	14	14	14	14
290.25300	Federal Operating Grant - HSES	-	1,600	-	-	-
290.25300	Federal Operating Grant - STATE	-	59	59	59	59
261.25000	Federal USDA/FNS - AG&MKTS	601	450	450	450	450
261.25000	Federal USDA/FNS - DOH	-	6,502	3,251	3,251	3,251
261.25000	Federal USDA/FNS - OTDA	53,259	30,100	30,100	30,100	30,100
339.21950	Fingerprint Identification and Technology Account	7,000	12,563	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	12,610	14,810	14,810	14,810	14,810
339.21996	Fire Protection	13	13	13	13	13
339.22075	Funeral Directing Account	8	8	8	8	8
312.31500	Hazardous Waste	24,627	28,849	28,849	28,849	28,750
396.55300	Health Insurance Revolving Fund	2,801	3,428	3,428	3,428	3,428
339.22140	Helen Hayes Hospital Account	-	776	299	299	299
339.21960	HESC Insurance Premium Payments Account	10,931	15,317	15,317	15,317	15,317
345.22656	SUNY Hospitals Debt Service	59,282	42,170	38,564	38,564	38,564
339.22090	Housing Indirect Cost Recovery Account	-	201	201	201	201
345.22653	SUNY Income Fund Revenues	26,000	26,000	31,000	32,000	32,000
301.21060	Indirect Charges Account	863	863	863	863	863
339.22096	Legal Services Assistance	2,000	2,830	2,830	2,830	2,830
052.20501	Local Government Records Management Improvement	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration	4,040	4,066	4,066	4,066	4,066
339.2213	Low Income Housing	201	-	-	-	-
301.21066	Low Level Radioactive Waste Account	-	103	103	103	103
339.21909	Mental Hygiene Patient Income Account	32,033	-	-	-	-

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
169.60615	Medicaid Recoveries Account	-	3,700	3,700	3,700	3,700
339.21907	Mental Hygiene Program Fund	25,531	-	-	-	-
301.21084	Mined Land Reclamation Account	1,300	-	-	-	-
314.21452	Mobile Source	-	9,188	4,594	4,594	4,594
225.23651	Mobility Tax Trust Fund	793	5,400	5,400	5,400	5,400
339.22144	Montrose Veteran's Home	-	174	67	67	67
354.22802	Motor Vehicle Enforcement	100,800	100,800	100,800	100,800	100,800
339.21976	Motorcycle Safety	6	6	6	6	6
225.23652	MTA Aid Trust	51	225	225	225	225
354.22801	Motor Vehicle Theft and Insurance Fraud Account	-	300	300	300	300
339.22141	New York City Veterans' Home Account	-	214	107	107	107
339.22142	New York State Home for Veterans Account	-	437	119	119	119
339.22156	NYC Rent Revenue	115	115	115	115	115
339.22139	Patient Safety	28	-	-	-	-
339.22163	Patron Services Account	70	1,668	1,668	1,668	1,668
061.20816	Pilot Health Insurance Account	-	254	102	102	102
061.20814	Primary Care Initiatives Account	-	366	158	158	158
339.22051	Professional Education Services	2,777	2,777	2,777	2,777	2,777
339.22088	Professional Medical Conduct Account	-	582	291	291	291
050.20452	Proprietary Vocational School Supervision Fund	297	297	297	297	297
061.20815	Provider Collection Monitoring Account	-	1,409	674	674	674
339.22123	Public Safety Communications Fund	50,000	55,161	5,161	5,161	5,161
339.22011	Public Service Account	2,412	5,736	5,767	5,671	5,671
339.21915	Quality of Care Improvement Account	52,983	95,236	-	-	-
339.21965	Radiological Health Protection Program Account	216	216	216	216	216
339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
339.22046	Regulation of Indian Gaming Account	327	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	100	100	100	100	100
339.21912	Regulation of Racing Account	448	458	458	458	458
339.21900	Reserve for Transaction Risks	-	(130,669)	(110,007)	(110,007)	(125,007)
339.22024	Revenue Arrearage	22,908	22,925	18,840	18,677	18,677
323.550ZZ	Standards and Purchase Account - Internal Service Fund	-	2,708	1,354	1,354	1,354
339.219YN	Standards and Purchase Account - Special Revenue Fund	3,000	3,068	3,034	3,034	3,034
325.50050	State Fair Receipts Account	318	-	-	-	-
339.21902	Statewide Planning and Research	885	7,543	4,214	4,214	4,214
339.22162	Systems & Technology	5,066	5,442	5,328	5,320	5,320
339.21969	Teacher Certification	861	861	861	861	861
339.22055	Traffic Adjudication Account	-	4,576	2,288	2,288	2,288
339.21933	Transportation Surplus Property	803	1,803	803	803	803
339.22169	Tribal - State Compact	78,438	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administration Account	10	10	10	10	10
050.20451	Tuition Reimbursement Fund	23	23	23	23	23
482.23601	UI Special Interest & Penalty Account	3,211	3,211	3,211	3,211	3,211
339.22172	Underground Facilities Safety Training	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	-	50,569	50,569	50,569	50,569
339.22103	Vital Records Management Account	2,252	2,558	2,405	2,405	2,405
160.20903	VLT Administration Account	662	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
339.22150	Weights and Measures Account	30	-	-	-	-
339.21995	Workers Compensation Board	10,177	16,253	16,253	16,253	16,253
339.22186	Youth Facility Per Diem Fund	9,462	55,000	55,000	55,000	55,000
		15,940,376	18,140,493	18,043,883	18,815,546	18,977,323

General Fund Transfers To Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2015 Results	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Transfers to State Share Medicaid		1,418,571	2,162,124	1,438,591	1,313,591	1,254,591
Transfers to Debt Service Funds		1,296,992	885,773	1,242,482	1,422,116	1,210,278
Transfers to Capital Projects Funds		1,264,383	5,947,359	1,844,267	2,071,725	2,295,468
Transfers to SUNY University Operations		980,159	998,069	977,850	969,049	969,049
Total All Other Transfers		3,641,028	4,283,342	4,441,505	4,868,739	5,232,874
339.22033	Alcohol Beverage Control	19,851	19,851	19,851	19,851	19,851
020.20143	Alzheimer's Disease Research & Assistance	278	250	250	250	250
334.55057	Banking Service	31,720	51,805	53,565	55,435	55,435
339.22032	Batavia School for the Blind Fund	900	900	900	900	900
020.20155	Breast Cancer Research & Education	472	650	650	650	650
334.55069	Centralized Technology Services	14,000	8,360	2,360	2,360	2,360
054.20601	Charter Schools Stimulus Fund	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust	-	107,931	109,300	109,300	109,300
397.55350	Correctional Industries	11,500	10,500	10,500	10,500	10,500
340.22501	Court Facilities Incentive Aid Fund	116,793	107,000	107,000	107,000	107,000
073.20853	Dedicated Mass Trust Fund	5,013	5,013	5,013	5,013	5,013
319.40300	DOH Income Fund	15,495	16,079	16,079	16,079	16,079
160.20901	Education - Lottery Funding	29,357	-	-	-	-
339.22161	Empire State Stem Cell Trust	5,768	-	-	-	-
396.55301	Employee Benefit Division Administration	-	240	240	240	240
323.550ZX	Executive Direction Program Fund	21,800	21,794	21,789	21,783	21,783
290.25300	Federal Operating Grant - HSES	-	36,000	-	-	-
339.22015	Financial Crimes Revenue Fund	14,300	14,300	14,300	14,300	14,300
396.55300	Health Insurance Internal Service	6,716	7,843	7,843	7,843	7,843
316.40250	Housing Debt	1,236	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	33,442	35,000	35,000	35,000	35,000
339.22157	Medicaid Income	118	-	-	-	-
179.60901	Medicaid Management Information System (MMIS) Escrow Fund	-	-	-	-	-
502.23755	Medical Marihuana Fund	-	6,740	4,886	4,886	4,886
339.21909	Mental Hygiene Patient Income Fund	1,172,163	1,498,861	1,251,906	1,439,824	1,568,039
339.21907	Mental Hygiene Program Fund	1,331,920	1,476,209	1,899,804	2,136,620	2,304,726
313.21402	Metropolitan Mass Transportation	30,508	36,500	36,500	36,500	36,500
225.23651	Mobility Tax Trust Fund	331,726	335,213	335,593	335,940	336,294
368.23151	NYC County Clerk Operations Offset Fund	5,409	6,000	6,000	6,000	6,000
339.22211	NYS Campaign Finance	-	-	4,000	5,000	129,000
020.20183	Prostate Cancer Research, Detection & Education	180	150	150	150	150
313.21401	Public Transportation Systems	15,508	14,879	14,879	14,879	14,879
073.20852	Railroad Account	8,773	8,772	8,772	8,772	8,772
339.22171	Recruitment Incentive and Retention	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Fund	1,021	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	5,000	8,500	8,500	8,500	8,500
345.22656	SUNY Hospital Operations	87,764	87,864	87,864	87,864	87,864
345.22653	SUNY Income Fund Revenues	-	-	14,251	13,540	-
345.22654	SUNY Income Offset Loan Repayment	8,318	8,318	8,318	8,318	8,318
345.22656	SUNY Medicaid Reimbursement	217,771	294,000	294,000	294,000	251,000
339.22168	Tax Revenue Arrearage	3,000	-	3,000	3,000	3,000
073.20851	Transit Authority	48,876	48,876	48,876	48,876	48,876
160.20904	Video Lottery Terminal - Education	37,408	-	-	-	-
020.20128	WB Hoyt Memorial	-	-	622	622	622
		8,601,133	14,276,667	9,944,695	10,645,220	10,962,260

FY 2015 SPECIAL REVENUE FUND BALANCE SWEEPS
PURSUANT TO \$500 MILLION AUTHORIZATION
(in dollars)

<u>Sending Fund</u>	<u>Receiving Fund</u>	<u>Transfer Amount</u>
345.22653 SUNY General Income Fund Reimbursable Account	003 General Fund	4,000,000.00
339.22051 Professional Education Services	003 General Fund	2,777,000.00
339.22103 Vital Records Management Account	003 General Fund	2,252,000.00
301.21084 Mined Land Reclamation Account	003 General Fund	1,300,000.00
339.21920 Certificate of Need Account	003 General Fund	1,086,000.00
339.22162 Systems & Technology	003 General Fund	904,000.00
339.21902 Statewide Planning and Research	003 General Fund	885,000.00
339.21969 Teacher Certification	003 General Fund	861,000.00
339.21933 Transportation Surplus Property	003 General Fund	803,000.00
052.20501 Local Government Records Management Improvement	003 General Fund	782,000.00
301.21060 Indirect Charges Account	003 General Fund	523,000.00
050.20452 Proprietary Vocational School Supervision Fund	003 General Fund	297,000.00
339.21965 Radiological Health Protection Program Account	003 General Fund	216,000.00
301.21080 ENCON Magazine Account	003 General Fund	131,000.00
339.22042 DED Marketing Account	003 General Fund	131,000.00
339.22021 Regulation of Manufactured Housing Account	003 General Fund	100,000.00
365.23051 Vocational Rehabilitation Fund	003 General Fund	32,000.00
339.22150 Weights and Measures Account	003 General Fund	30,000.00
339.22139 Patient Safety	003 General Fund	28,418.39
050.20451 Tuition Reimbursement Fund	003 General Fund	23,000.00
339.22091 Adult Home Quality Enhancement Account	003 General Fund	21,000.00
339.21996 Fire Protection	003 General Fund	13,000.00
339.22044 Tug Hill Administration Account	003 General Fund	10,000.00
339.22110 Assisted Living Residence Quality Oversight Account	003 General Fund	9,000.00
339.22075 Funeral Directing Account	003 General Fund	8,000.00
339.22097 Local Public Health Services Account	003 General Fund	5,000.00
339.22101 EPIC Premium Account	003 General Fund	3,633.46
339.21922 Continuing Care Retirement Community Account	003 General Fund	2,000.00
339.21993 Radon Detection Device Account	003 General Fund	2,000.00
	Total Transfers	17,235,052

**CASH COMBINING STATEMENT
GENERAL FUND
FY 2016
(millions of dollars)**

	General Fund	Stabilization Reserve Fund	Tax	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	General Reserve Fund	Eliminations	Total
Opening Fund Balance	0	1,258	21	74	540	5,407	0	0	0	7,300
Receipts:										
Taxes	45,780	0	0	0	0	0	0	0	0	45,780
Miscellaneous Receipts	4,365	0	0	0	0	0	0	0	0	4,365
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total receipts	50,145	0	0	0	0	0	0	0	0	50,145
Disbursements:										
Grants to Local Governments	44,356	0	0	0	0	0	0	0	0	44,356
State Operations	8,263	0	0	0	0	0	0	0	0	8,263
General State charges	5,195	0	0	0	0	0	0	0	0	5,195
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	57,814	0	0	0	0	0	0	0	0	57,814
Other financing sources (uses):										
Transfers from Other Funds	49,430	0	0	0	0	512	6,442	(38,244)	18,140	18,140
Transfers to Other Funds	(41,761)	0	0	0	0	(5,359)	(5,400)	38,244	(14,276)	(14,276)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	7,669	0	0	0	0	(4,847)	1,042	0	3,864	3,864
Change in Fund Balance	0	0	0	0	0	(4,847)	1,042	0	(3,805)	(3,805)
Closing Fund Balance	0	1,258	21	74	540	560	1,042	0	0	3,495

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2016**

(thousands of dollars)

	019	020	023	024	025	050	052	053	054	059	061	073	160
Opening Fund Balance	2,209	66,717	10,692	16	62	5,371	2,615	1	5,668	0	14,127	62,728	25,888
Receipts:													
Taxes	0	0	0	0	0	0	0	3,383,279	0	0	911,000	458,700	0
Miscellaneous Receipts	142	(86,525)	11,000	290	65	4,205	9,233	0	0	0	4,593,573	134,206	3,333,381
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	142	(86,525)	11,000	290	65	4,205	9,233	3,383,279	0	0	5,504,573	592,906	3,333,381
Disbursements:													
Grants to Local Governments	0	6,504	8,500	0	0	0	5,056	3,382,279	4,837	0	5,301,013	656,028	3,169,000
State Operations	144	7,890	1,254	420	247	2,456	2,139	0	0	0	46,756	0	129,821
General State Charges	0	961	466	190	131	1,182	975	0	0	0	4,651	0	11,703
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	1,000	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	144	16,355	10,220	610	378	3,638	8,170	3,382,279	4,837	0	5,352,420	656,028	3,310,524
Other Financing Sources (Uses):													
Transfers from Other Funds	0	108,981	0	300	300	0	0	0	4,837	0	0	62,661	0
Transfers to Other Funds	0	0	0	(8)	0	(562)	(1,383)	0	0	0	(166,273)	0	(4,732)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	108,981	0	292	300	(562)	(1,383)	0	4,837	0	(166,273)	62,661	(4,732)
Change in Fund Balance	(2)	6,101	780	(28)	(13)	5	(320)	1,000	0	0	(14,120)	(461)	18,125
Closing Fund Balance	2,207	72,818	11,472	12	49	5,376	2,295	1,001	5,668	0	7	62,267	44,013
Opening Fund Balance	11,386	29,444	9,869	283,398	704	0	(114,528)	(765)	(20,823)	86,229	15,629	4,223	9,735
Receipts:													
Taxes	0	1,478,000	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	22,314	180,690	0	38,732	0	0	173	3,700	83,251	48,512	53,200	47,716	9,000
Federal Grants	650	0	2,099,362	41,065,384	3,288,267	0	2,678,897	0	0	0	0	0	0
Total Receipts	22,964	1,658,690	2,099,362	41,104,116	3,288,267	0	2,679,070	3,700	83,251	48,512	53,200	47,716	9,000
Disbursements:													
Grants to Local Governments	0	1,976,150	1,993,066	38,228,544	2,718,950	0	2,351,989	0	0	0	0	0	0
State Operations	23,213	0	57,566	715,145	501,376	0	305,176	3,645	71,115	38,210	25,813	33,684	10,700
General State Charges	0	0	11,414	105,190	54,582	0	42,096	0	22,439	14,562	6,730	12,862	200
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	23,213	1,976,150	2,062,046	39,048,879	3,274,908	0	2,699,261	3,645	93,554	52,772	32,543	46,546	10,900
Other Financing Sources (Uses):													
Transfers from Other Funds	0	335,213	0	0	0	0	36,000	0	19,624	75	19,858	0	0
Transfers to Other Funds	0	(5,625)	(37,343)	(2,045,917)	(13,338)	0	(16,760)	(78)	(8,606)	(2,128)	(37,771)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(249)	329,588	(37,343)	(2,045,917)	(13,338)	0	19,240	(78)	11,018	(2,053)	(17,913)	0	0
Change in Fund Balance	(249)	12,128	(27)	9,320	21	0	(951)	(23)	715	(6,315)	2,744	1,170	(1,900)
Closing Fund Balance	11,137	41,572	9,842	292,718	725	0	(115,479)	(788)	(20,108)	79,916	18,373	5,393	7,835

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2016**

(thousands of dollars)

	307	313	314	318	321	330	332	333	338	339	340	341	345
Opening Fund Balance	499	160,838	(20,253)	67	10,893	174,930	3,488	0	818	849,521	12,463	49	689,099
Receipts:													
Taxes	0	2,142,850	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	63	21,400	43,200	0	1,719	338,158	115	75	60	1,195,430	0	0	4,299,629
Federal Grants	0	0	0	0	0	0	0	0	0	89	0	0	0
Total Receipts	63	2,164,250	43,200	0	1,719	338,158	115	75	60	1,195,519	0	0	4,299,629
Disbursements:													
Grants to Local Governments	0	2,116,498	0	0	0	0	0	0	98	1,965,319	104,200	0	0
State Operations	82	3,759	26,430	0	950	0	59	75	0	4,148,016	1,900	0	5,413,793
General State Charges	0	1,678	11,090	0	0	0	0	0	0	1,651,966	800	0	365,843
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	82	2,121,935	37,520	0	950	0	59	75	98	7,765,301	106,900	0	5,779,636
Other Financing Sources (Uses):													
Transfers from Other Funds	0	51,379	0	0	0	0	0	0	0	8,528,271	107,000	0	1,798,095
Transfers to Other Funds	(7)	(141,548)	(9,188)	0	0	(348,162)	0	0	0	(2,009,305)	(1,307)	0	(114,804)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(7)	(90,169)	(9,188)	0	0	(348,162)	0	0	0	6,518,966	105,693	0	1,683,291
Change in Fund Balance	(26)	(47,854)	(3,508)	0	769	(10,004)	56	0	(38)	(50,816)	(1,207)	0	203,284
Closing Fund Balance	473	112,984	(23,761)	67	11,662	164,926	3,544	0	780	798,705	11,256	49	892,383
<hr/>													
Opening Fund Balance	17,178	372	18,818	219	23	10,631	(6,417)	140	(5,516)	(50,130)	3,568	133,754	102
Receipts:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	305,416	1,208	114,602	160	0	900	3,068	100	6,788	26,600	29,000	84,345	85
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	305,416	1,208	114,602	160	0	900	3,068	100	6,788	26,600	29,000	84,345	85
Disbursements:													
Grants to Local Governments	11,970	0	4,237	0	0	852	0	20	0	0	0	0	0
State Operations	518	946	9,244	155	0	0	3,449	25	4,279	23,200	22,800	84,363	75
General State Charges	0	384	85	47	0	0	0	0	2,059	10,500	8,400	6,000	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	12,488	1,330	13,566	202	0	852	3,449	45	6,338	33,700	31,200	90,363	75
Other Financing Sources (Uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	6,000	0	0	0
Transfers to Other Funds	(292,888)	0	(101,100)	0	0	0	0	(32)	(2,216)	0	0	(15,819)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(292,888)	0	(101,100)	0	0	0	0	(32)	(2,216)	6,000	0	(15,819)	0
Change in Fund Balance	40	(122)	(64)	(42)	0	48	(381)	23	(1,756)	(1,100)	(2,200)	(21,837)	10
Closing Fund Balance	17,218	250	18,754	177	23	10,679	(6,798)	163	(7,282)	(51,230)	1,368	111,917	112

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2016**
(thousands of dollars)

	390	480	482	484	486	S01	S02	SRO	SRE	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	122,930	11,642	14,862	1,285	(4,203)	(1,992)	0	0	0	2,661,273	0	2,661,273
Receipts:												
Taxes	0	0	0	0	0	0	0	0	0	8,372,829	0	8,372,829
Miscellaneous Receipts	78,000	58,000	9,600	0	0	171,000	0	0	0	15,275,579	0	15,275,579
Federal Grants	0	317,697	0	7,987	168,559	0	0	0	0	49,626,892	0	49,626,892
Total Receipts	78,000	375,697	9,600	7,987	168,559	171,000	0	0	0	73,275,300	0	73,275,300
Disbursements:												
Grants to Local Governments	65,000	10,000	0	7,987	140,130	171,000	0	0	0	64,400,227	0	64,400,227
State Operations	27,489	227,931	2,685	0	22,932	3,346	6,645	0	0	12,010,916	0	12,010,916
General State Charges	528	87,197	932	0	5,497	1,220	95	0	0	2,444,655	0	2,444,655
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	1,000	0	1,000
Total Disbursements	93,017	325,128	3,617	7,987	168,559	175,566	6,740	0	0	78,856,798	0	78,856,798
Other Financing Sources (Uses):												
Transfers from Other Funds	35,000	0	0	0	0	0	6,740	0	0	11,120,334	(2,373,285)	8,747,049
Transfers to Other Funds	0	(50,569)	(3,211)	0	0	(2)	0	0	0	(5,431,682)	2,373,285	(3,058,397)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	35,000	(50,569)	(3,211)	0	0	(2)	6,740	0	0	5,688,652	0	5,688,652
Change in Fund Balance	19,983	0	2,772	0	0	(4,568)	0	0	0	108,154	0	108,154
Closing Fund Balance	142,913	11,642	17,634	1,285	(4,203)	(6,560)	0	0	0	2,769,427	0	2,769,427

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2016
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.201000-Went Hvg Gifts	2,207	0	142	0	0	0	142	0	0	144	0	0	0	0	0	0	144	2,205
020.20100-Combined Exp Tr	(35)	0	(107,931)	0	0	107,931	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,462	0	350	0	0	0	350	0	216	48	7	0	127	0	0	0	398	1,414
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	63	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	63
020.20109-Helen Hayes Hsp	33	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	(2)
020.20110-Oxford Donation	228	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	344
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	57	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	54
020.20113-Donations-Bataw	11	0	19	0	0	0	19	0	0	10	0	0	0	0	0	0	10	20
020.20114-Montrose Donat	156	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	168
020.20116-IBR Genetic Cou	0	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	0
020.20118-Tech Transfer	23	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	48
020.20120-Spec Events	556	0	1,012	0	0	0	1,012	0	0	874	0	0	0	0	0	0	874	694
020.20123-L.M. Josephthal	50	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	50
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,041	0	814	0	0	0	814	0	43	470	0	0	729	0	0	0	1,242	613
020.20127-DMINA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	2,345	0	0	0	0	0	0	750	0	0	0	0	0	0	0	0	750	1,595
020.20129-NYSCB Gift & Beq	201	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	191
020.20130-St. Transm Money	19,359	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	26,429
020.20142-Youth Grants &	272	0	387	0	0	0	387	0	41	370	0	0	17	0	0	0	428	231
020.20143-Alzheimers Dis	2,318	0	175	0	0	250	425	0	0	1,000	0	0	0	0	0	0	1,000	1,743
020.20144-Local Gov Comm	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.20147-Prostate/Testic	1	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	41
020.20149-Autism Aware &	63	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	53
020.20150-Emergency Serv	12,350	0	2,688	0	0	0	2,688	3,101	127	93	4	0	73	0	0	0	3,398	11,640
020.20151-Batavia-Charlot	335	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	332
020.20152-Rome-Gifts And	73	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	74
020.20155-Br. Can Res & Ed	7,892	0	540	0	0	650	1,190	0	0	1,277	0	0	0	0	0	0	1,277	7,805
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMINA Youth Prog	97	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	97
020.20166-Erie Canal Muse	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	960	0	400	0	0	0	400	0	0	200	0	0	0	0	0	0	200	1,160
020.20176-Misc. Gifts Acc	8,498	0	4,000	0	0	0	4,000	0	250	1,000	0	0	0	0	1,000	0	2,250	10,248
020.20178-Multiple Sclero	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.20182-Parole Ofc Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	4,285	0	200	0	0	150	350	1,653	0	0	0	0	0	0	0	0	1,653	2,982
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	656	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	665
020.20199-HESC Gifts Dona	524	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	524
020.20184-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20189-Human Rghts Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20191-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.20192-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.20193-CCF Grts & Beqs	142	0	107	0	0	0	107	0	13	80	1	0	15	0	0	0	109	140
020.20194-OMH Grant & Beq	922	0	20	0	0	0	20	0	0	21	0	0	0	0	0	0	21	921
020.20195-RPMI Schoellkop	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20196-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.20197-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.20198-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

CASH REVENUE STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2016

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XX-Grants Account	909	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,342
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	230	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	530
020.201ZZ-Donated Funds	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20201-Veterans Rem Ce	137	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	137
023.20300-N Y Int Lawyers	10,691	0	11,000	0	0	0	11,000	8,500	647	554	53	0	466	0	0	0	10,220	11,471
024.20350-NYS Archvs Pine	16	0	290	0	0	300	590	0	292	119	9	0	190	0	0	8	618	(12)
025.20401-Child Performer	65	0	65	0	0	300	365	0	232	9	6	0	131	0	0	0	378	52
050.20451-Tuition Reimb	3,612	0	705	0	0	0	705	0	0	0	0	0	225	0	0	23	248	4,069
050.20452-Voc School Supe	1,760	0	3,500	0	0	0	3,500	0	1,713	700	43	0	957	0	0	539	3,952	1,308
052.20501-Loc Govt Record	2,614	0	9,233	0	0	0	9,233	5,056	1,745	350	44	0	975	0	0	1,383	9,553	2,294
053.20550-Sch Tax Relief	0	3,382,279	0	0	0	0	3,382,279	3,382,279	0	0	0	0	0	0	0	0	3,382,279	0
054.20601-Chtr Sch Sti Ac	5,667	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,667
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	656	0	0	0	0	0	0	0	1,637	161	42	0	1,246	0	0	0	3,086	(2,430)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	1	0	0	0	0	0	0	3,601,417	0	0	0	0	0	0	0	0	3,601,417	(3,601,416)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	2,452	0	0	0	0	0	0	435,298	0	12,500	0	0	0	0	0	0	447,798	(445,346)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EWS Training	866	0	0	0	0	0	0	0	2,527	12,118	62	0	1,418	0	0	312	16,437	(15,571)
061.20810-Child Health In	5,354	0	0	0	0	0	0	356,280	987	2,994	36	0	553	0	0	153,932	360,850	(355,496)
061.20811-HCRA Undistrib	3,105	911,000	4,545,795	0	0	0	5,456,795	0	0	0	0	0	0	0	0	0	153,932	5,305,968
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	30	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(30)
061.20814-Primary Care In	231	0	0	0	0	0	0	0	98	0	5	0	55	0	0	366	524	(293)
061.20815-Prev Coll Monit	373	0	0	0	0	0	0	0	589	10	24	0	330	0	0	1,409	2,362	(1,989)
061.20816-Pilot Health In	212	0	0	0	0	0	0	0	0	0	0	0	0	0	0	254	254	(42)
061.20817-Indigent Care	(1)	0	0	0	0	0	0	781,500	0	0	0	0	0	0	0	10,000	791,500	(791,501)
061.20818-EPIC Premium	307	0	47,778	0	0	0	47,778	126,458	1,062	10,342	33	0	596	0	0	0	138,491	(90,406)
061.20819-Health Occup De	446	0	0	0	0	0	0	0	430	700	10	0	241	0	0	0	1,381	(935)
061.20820-Waterrn & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	95	0	0	0	0	0	0	0	379	2	8	0	212	0	0	0	601	(506)
073.20851-Transit Authori	38,213	357,816	104,044	0	0	48,876	510,736	511,585	0	0	0	0	0	0	0	0	511,585	37,364
073.20852-Railroad Account	6,785	64,208	18,224	0	0	8,772	91,204	90,427	0	0	0	0	0	0	0	0	90,427	7,562
073.20853-DWTF	17,723	36,676	11,938	0	0	5,013	53,627	54,016	0	0	0	0	0	0	0	0	54,016	17,334
160.20901-Education - New	0	0	2,219,000	0	0	0	2,219,000	2,219,000	0	0	0	0	0	0	0	0	2,219,000	0
160.20902-Lottery Adm New	24,883	0	152,538	0	0	0	152,538	0	17,195	107,319	489	0	9,803	0	0	4,066	138,872	38,549
160.20903-VLT - Admin	1,001	0	11,843	0	0	0	11,843	0	3,335	1,388	95	0	1,900	0	0	666	7,384	5,460
160.20904-VLT - Education	4	0	950,000	0	0	0	950,000	950,000	0	0	0	0	0	0	0	0	950,000	4
221.20950-Comb Student Ln	11,387	0	22,314	650	0	0	22,964	0	0	23,213	0	0	0	0	0	0	23,213	11,138
225.23651-Mobility Tax Tr	19,434	1,346,000	9,600	0	0	335,213	1,690,813	1,673,150	0	0	0	0	0	0	0	5,400	1,678,550	31,697
225.23652-MTA Aid Trust	7,570	132,000	171,090	0	0	0	303,090	303,000	0	0	0	0	0	0	0	225	303,225	7,435
300.21002-Encon Admin Acc	(788)	0	3,700	0	0	0	3,700	0	3,636	9	0	0	0	0	0	78	3,723	(791)
301.21051-EnCon Energy Ef	160	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	160
301.21052-EnCon-Seized AS	382	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	402
301.21053-Wst Tire Mgt/Re	12,207	0	24,000	0	0	0	24,000	0	12,022	4,800	430	0	6,997	0	0	0	24,249	11,958
301.21054-Oil & Gas Accou	106	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	116
301.21055-Marine/Coastal	120	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	133
301.21060-Indirect Charge	4,820	0	0	0	0	10,624	10,624	0	1,880	4,529	68	0	1,084	0	0	863	8,424	7,020
301.21061-Hazardous Sub B	419	0	350	0	0	0	350	0	152	33	8	0	87	0	0	0	280	489

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2016

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Fed Indirect R	2,468	0	40	0	0	9,000	9,040	0	8,652	168	0	0	0	0	0	134	8,954	2,554
301.21066-Low Level Radio	(3,990)	0	2,811	0	0	0	2,811	0	1,194	226	45	0	685	0	0	433	2,583	(3,762)
301.21067-Recreation Acco	(9,997)	0	11,500	0	0	0	11,500	0	6,623	2,328	228	0	609	0	0	255	10,043	(8,540)
301.21077-Public Safety R	(13)	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	(13)
301.21080-Encon Magazine	508	0	705	0	0	0	705	0	0	314	0	0	0	0	0	0	314	899
301.21081-Environment Enf	(28,851)	0	28,600	0	0	0	28,600	0	14,198	2,974	472	0	8,194	0	0	4,807	30,645	(30,896)
301.21082-Natural Resourc	(19,669)	0	4,813	0	0	0	4,813	0	2,127	397	136	0	1,226	0	0	400	4,286	(19,142)
301.21083-UST-Trust Recov	230	0	12	0	0	0	12	0	0	0	72	0	0	0	0	0	0	242
301.21084-Mined Land Recl	694	0	4,210	0	0	0	4,210	0	2,162	117	0	0	1,246	0	0	0	3,597	1,307
301.21087-Great Lakes Res	0	0	38	0	0	0	38	0	0	38	0	0	0	0	0	0	38	0
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21057-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21027-Monitors-Aggre	19,584	0	6,000	0	0	0	6,000	0	4,009	493	91	0	2,311	0	0	1,714	8,618	16,966
302.21150-Conservation	27,421	0	43,222	0	0	75	43,297	0	24,188	11,285	798	0	13,947	0	0	1,780	51,998	18,720
302.21151-Marine Resource	1,345	0	4,200	0	0	0	4,200	0	981	716	74	0	571	0	0	0	2,352	3,193
302.21152-Migratory Bird	208	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	173
302.21153-Guides License	5	0	55	0	0	0	55	0	51	6	1	0	29	0	0	0	87	(27)
302.21154-Fish And Game T	56,825	0	1,000	0	0	0	1,000	0	0	0	0	0	0	0	0	75	75	57,750
302.21155-Surf Clam/Quaho	102	0	0	0	0	0	0	0	26	29	0	0	15	0	0	0	70	32
302.21156-Habitat Account	267	0	0	0	0	0	0	0	0	0	0	0	0	0	0	273	273	(6)
302.21157-Venison Donatio	16	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	41
302.21158-OUTDOOR REC & T	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
302.21159-Invson Bequest	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
303.21201-Oil Spill - DAC	2	0	0	0	0	705	705	0	267	114	9	0	162	0	0	0	552	155
303.21202-Oil Sp Relocath	4	0	0	0	0	301	301	0	175	25	5	0	98	0	0	0	303	2
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,500	18,500	0	11,226	1,075	313	0	6,470	0	0	4,213	23,297	(4,799)
303.21204-Oil Spill - DAC	15,626	0	39,500	0	0	0	39,500	0	0	12,604	0	0	0	0	0	19,506	32,110	23,016
303.21205-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.21251-OSH Trng & Educ	3,674	0	25,577	0	0	0	25,577	0	10,802	7,201	277	0	6,127	0	0	0	24,407	4,844
305.21252-OSHA Inspection	545	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,735	0	0	0	22,139	545
306.21301-CSF Regis Fee	9,738	0	9,000	0	0	0	9,000	0	500	10,200	0	0	200	0	0	0	10,900	7,838
307.21351-Equip Loan Fund	500	0	63	0	0	0	63	0	0	82	0	0	0	0	0	7	89	474
313.21401-Pub Tran Systems	(9,212)	77,200	0	0	0	14,879	92,079	86,306	602	410	16	0	335	0	0	0	87,669	(4,802)
313.21402-Metro Mass Tran	166,421	2,065,650	21,400	0	0	36,500	2,123,550	2,030,192	2,409	259	63	0	1,343	0	0	141,548	2,175,814	114,157
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(19,995)	0	9,200	0	0	0	9,200	0	4,152	2,097	209	0	2,394	0	0	0	8,852	(19,647)
314.21452-Mobile Source	(261)	0	34,000	0	0	0	34,000	0	15,691	3,816	465	0	8,696	0	0	9,188	37,856	(4,117)
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisl Comp R&D	10,833	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,600
321.21552-Demographics/Re	60	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	62
330.40350-S U Dorm Income	174,928	0	338,158	0	0	0	338,158	0	0	0	0	0	0	0	0	348,162	348,162	164,924
332.21651-Brummer Award	33	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	33
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocantico	37	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	95
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21655-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	818	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	780
340.22501-CFIA Undistrib	12,463	0	0	0	0	107,000	107,000	104,200	1,700	200	0	0	800	0	0	1,307	108,207	11,256

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2016

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22652-L I Veis Home	20,980	0	44,900	0	0	0	44,900	0	28,281	16,551	0	0	0	0	0	0	44,832	21,048
345.22653-S U Genl IFR	433,879	0	693,867	0	0	0	693,867	0	165,272	483,171	0	0	6,488	0	0	25,686	680,617	447,129
345.22654-S U Inc Offset	(19,401)	0	0	0	0	8,318	8,318	0	0	0	0	0	0	0	0	0	0	(11,083)
345.22655-Gen Rev Offset	36,586	0	1,685,816	0	0	998,069	2,683,885	0	2,146,709	497,735	0	0	0	0	0	0	2,644,444	76,027
345.22656-S U Hosp Ops	80,498	0	1,718,916	0	0	791,708	2,510,624	0	1,025,000	907,645	0	0	359,355	0	0	89,118	2,381,118	210,004
345.22657-SUNY Stablizat	29,902	0	0	0	0	0	0	0	0	5,000	0	0	0	0	0	0	5,000	24,902
345.22658-State Univ Hosp	9,580	0	45,300	0	0	0	45,300	0	33,351	7,243	0	0	0	0	0	0	40,594	14,286
345.22659-SUNY Tuition Re	96,215	0	110,830	0	0	0	110,830	0	46,585	51,250	0	0	0	0	0	0	97,835	109,210
345.2266P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	17,176	0	305,416	0	0	0	305,416	11,970	0	518	0	0	0	0	0	292,888	305,376	17,216
349.22751-Lk George Park	372	0	1,208	0	0	0	1,208	0	677	250	19	0	384	0	0	0	1,330	250
354.22801-MVTIFA	5,864	0	4,702	0	0	0	4,702	4,237	136	4	4	0	85	0	0	300	4,766	5,800
354.22802-St Police MV En	12,955	0	109,900	0	0	0	109,900	0	4,000	5,100	0	0	47	0	0	100,800	109,900	12,955
355.22851-Great Lakes Pro	216	0	160	0	0	0	160	0	82	70	3	0	0	0	0	0	202	174
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,630	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,678
362.23001-DOT Comm Veh Sa	(6,416)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(6,797)
365.23051-Vocatl Rehabil	142	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	165
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,516)	0	6,788	0	0	0	6,788	0	3,670	518	91	0	2,059	0	0	2,216	8,554	(7,282)
368.23151-NYCCC Operat Of	(50,130)	0	26,600	0	0	6,000	32,600	0	19,400	3,800	0	0	10,500	0	0	0	33,700	(51,230)
369.23201-Jud Data Proc O	3,568	0	29,000	0	0	0	29,000	0	18,800	4,000	0	0	8,400	0	0	0	31,200	1,368
377.23267-CUNY Stablizn	12,657	0	3,000	0	0	0	3,000	0	3,000	0	0	0	0	0	0	15,819	18,819	(3,162)
377.2322X-CUNY Tutin Reim	28,230	0	4,965	0	0	0	4,965	0	4,145	0	0	0	0	0	0	0	4,145	29,050
377.2322Y-CUNY Inc Reimb	92,868	0	76,380	0	0	0	76,380	0	35,218	42,000	0	0	6,000	0	0	0	83,218	86,030
385.23501-Lk Placid Train	102	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	112
390.23551-Indigent Legal	122,930	0	78,000	0	0	35,000	113,000	65,000	1,454	26,010	25	0	528	0	0	0	93,017	142,913
482.23601-UI Sp Int & Pen	14,863	0	9,600	0	0	0	9,600	0	1,643	1,000	42	0	932	0	0	3,211	6,828	17,635
501.23701-Commer Game Rev	0	0	171,000	0	0	0	171,000	171,000	0	0	0	0	0	0	0	0	171,000	0
501.23702-Comm Game Regul	(1,982)	0	0	0	0	0	0	0	2,142	1,143	61	0	1,220	0	0	2	4,568	(6,560)
502.23755-MMF - Health Op	0	0	0	0	0	6,740	6,740	0	2,872	3,768	5	0	95	0	0	0	6,740	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.211901-Article VII Int	4,350	0	500	0	0	0	500	94	0	0	0	0	0	0	0	0	4,756
339.211902-S P A R C S	3,764	0	6,600	0	0	0	6,600	0	566	838	17	0	317	0	0	7,543	1,083
339.211903-OPWDD Provider	0	0	20,000	0	0	20,000	20,000	20,000	0	0	0	0	0	0	0	0	0
339.211904-Fire Prev/Code	3,399	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	3,399
339.211905-NYS Twp Police	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.211906-DMV Seiz Assets	267	0	50	0	0	0	50	0	0	182	0	0	0	0	0	0	135
339.211907-Mental Hygiene	25,972	0	(775,000)	0	0	3,638,333	2,863,333	1,499,809	689,211	129,873	23,107	0	463,189	0	0	58,894	25,222
339.211909-M H Patient Inc	27,819	0	0	0	0	2,815,185	2,815,185	0	1,620,701	335,328	28,819	0	817,964	0	0	12,373	27,819
339.211911-Fin Cntrl Board	(634)	0	3,132	0	0	0	3,132	0	1,500	756	38	0	838	0	0	0	(634)
339.211912-Reg of Racing	(6,196)	0	11,247	0	0	0	11,247	0	6,761	4,577	171	0	1,727	0	0	458	(8,643)
339.211913-NY Metro Trans	(14,601)	0	0	0	0	15,314	15,314	0	4,509	5,995	115	0	2,479	0	0	0	(12,385)
339.211914-S U Constr Fund	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
339.211915-Quality Care	64,624	0	30,000	0	0	30,000	60,000	0	0	0	0	0	0	0	0	95,236	29,388
339.211916-Nurses Aide Reg	1,001	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,001
339.211917-Seized Assets	324	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	136
339.211918-Child Care & Pr	587	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	557
339.211919-Cyber Sec Upgr	878	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	878
339.211920-Cert of Need	30,252	0	2,959	0	0	0	2,959	0	1,700	1,900	54	0	954	0	0	9,628	18,975
339.211921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.211922-Reltr Community	644	0	131	0	0	0	131	0	0	50	0	0	0	0	0	3	722
339.211923-DOL Fee Penalty	6,770	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	3,398	0	0	8,672	7,723
339.211924-Educ Museum	16	0	842	0	0	0	842	0	282	341	7	0	158	0	0	62	8
339.211925-Ns Hm Receivshp	2,823	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,848
339.211926-3rd Party Hlth	450	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	450
339.211927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211928-I Love NY Water	300	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,221
339.211929-Summer Sch Arts	94	0	655	0	0	0	655	0	111	528	3	0	13	0	0	0	94
339.211930-I Love NY Water	179	0	245	0	0	0	245	0	130	25	3	0	32	0	0	0	234
339.211932-Snowmobile	5,126	0	5,550	0	0	0	5,550	4,850	111	363	9	0	67	0	0	0	5,276
339.211933-Tr Surplus Prop	2,556	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	1,979
339.211934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.211935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.211936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211937-S U Dorm Reimb	(6)	0	0	0	0	298,162	298,162	0	133,774	149,000	0	0	18,000	0	0	0	(2,618)
339.211938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211943-Energy Research	(4,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,293)
339.211944-Radiology	2,808	0	6,000	0	0	0	6,000	3,000	1,033	557	29	0	596	0	0	1,350	2,243
339.211945-Crim Jus Improv	19,034	0	42,724	0	0	0	42,724	28,182	2,733	396	116	0	2,191	0	0	22,856	5,284
339.211948-Farm Prod Insp-	386	0	1,440	0	0	0	1,440	0	848	123	21	0	473	0	0	0	361
339.211950-FgprintID&Tech	15,844	0	12,850	0	0	0	12,850	0	0	4,495	0	0	0	0	0	12,563	11,636
339.211953-NY Fire Academy	205	0	468	0	0	0	468	0	278	469	9	0	160	0	0	0	(243)
339.211958-Domestic Awaren	74	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	78
339.211959-Envir.Lab.Fee A	92	0	3,700	0	0	0	3,700	0	1,637	534	45	0	918	0	0	262	396
339.211960-HESC Ins Prem P	5,293	0	85,157	0	0	0	85,157	16,000	17,124	26,000	488	0	10,228	0	0	15,317	5,293
339.211961-Train Mgmt Eval	820	0	2,634	0	0	0	2,634	0	3,101	227	136	0	895	0	0	0	(905)
339.211962-Clin Lab Refrmc	(14,435)	0	18,059	0	0	0	18,059	0	5,840	3,141	167	0	3,276	0	0	578	(9,378)
339.211964-Pub Emp Rel Brd	577	0	86	0	0	0	86	0	159	43	0	0	0	0	0	0	461
339.211965-Radio Hlth Prot	3,050	0	4,048	0	0	0	4,048	0	2,177	155	57	0	1,221	0	0	696	2,792
339.211966-Cons Food Indus	552	0	0	0	0	0	0	0	0	0	0	0	0	0	0	552	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2016

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21967-OHRD St Match	5,776	0	0	0	0	6,000	6,000	0	0	3,510	0	0	0	0	0	0	8,266
339.21968-Educatin Library	119	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	124
339.21969-Teacher Certif	780	0	6,600	0	0	0	6,600	0	3,400	643	86	0	1,900	0	0	1,311	40
339.21970-Banking Deptmt	26,362	0	93,551	0	0	0	93,551	0	48,103	13,049	1,348	0	27,715	0	0	0	29,698
339.21971-Cable TV Acct	13,726	0	3,037	0	0	0	3,037	0	1,361	109	45	0	925	0	0	0	14,323
339.21972-Econ Devel Asst	301	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	301
339.21973-Fin Svcs Seized	701	0	500	0	0	0	500	0	0	500	0	0	0	0	0	0	701
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	4,544	0	2,000	0	0	2,000	2,000	0	91	1,457	2	0	51	0	0	6	4,937
339.21977-Business Licens	78,878	0	77,491	0	0	0	77,491	939	14,470	11,978	399	0	7,951	0	0	92,397	28,235
339.21978-Indir Cost Reco	1,988	0	0	0	0	18,704	18,704	0	9,125	4,362	0	0	5,099	0	0	0	2,106
339.21979-High School Equ	672	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	672
339.21980-OTDA Program	2,750	0	0	0	0	500	500	0	0	200	0	0	0	0	0	0	3,050
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	12,252	0	13	0	0	5,000	5,013	0	4,333	2,549	115	0	2,430	0	0	3,602	4,236
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedi Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	0	0	12,619	0	0	0	12,619	0	7,566	4,914	0	0	0	0	0	0	119
339.21986-Seized Assets	33	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	35
339.21987-Spinal Injury	14	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	170	(156)
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	21,074	0	0	0	0	21,400	21,400	0	7,566	23,184	66	0	957	0	0	0	18,267
339.21990-OCTF Crime Forf	6	0	2,800	0	0	0	2,800	0	0	2,474	0	0	0	0	0	0	332
339.21991-DMNA-Seiz Asset	1,292	0	200	0	0	0	200	0	0	518	0	0	0	0	0	0	974
339.21992-Critical Infras	258	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	258
339.21993-Radon Dctct Dev	376	0	20	0	0	0	20	0	0	10	0	0	0	0	0	2	384
339.21994-Insurance Dept	129,377	0	423,357	0	0	0	423,357	75,814	105,477	39,248	2,946	0	60,680	0	0	0	268,569
339.21995-Workers Comp Bd	18,385	0	206,868	0	0	0	206,868	0	80,977	58,423	2,306	0	48,228	0	0	21,253	14,066
339.21996-Fire Protection	227	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	239
339.21997-Conf Fee Acct	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.21998-Public Work Entf	5,523	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,127	0	0	0	6,123
339.21999-Asset Forfeitur	110	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	110
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Gent Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problem Solv Cou	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)
339.219XX-A&M-Aggregated	5,081	0	16,510	0	0	0	16,510	0	425	15,814	10	0	237	0	0	0	5,105
339.219YL-OGS Bldg Admin	2,157	0	9,363	0	0	0	9,363	0	3,240	3,969	88	1,757	0	0	0	1,012	1,454
339.219YN-OGS Strd & Purch	8,415	0	6,558	0	0	0	6,558	0	858	2,781	22	430	0	0	0	3,068	7,814
339.219Z3-MHPA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(285)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(285)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,864	0	2,500	0	0	0	2,500	2,000	182	100	5	102	0	0	0	52	2,923
339.22003-Bell Jar Collec	13	0	1,769	0	0	0	1,769	0	649	114	19	372	0	0	0	1	627
339.22004-Ind & Util Serv	1,923	0	2,495	0	0	0	2,495	0	1,550	74	74	846	0	0	0	0	1,948
339.22009-Asbestos Trning	(105)	0	330	0	0	0	330	0	291	15	6	163	0	0	0	0	(250)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	37,510	0	82,796	0	0	0	82,796	0	40,728	6,357	1,108	22,501	0	0	0	5,736	43,876
339.22012-Atty Licensing	3,319	0	32,500	0	0	0	32,500	0	17,400	7,400	0	7,700	0	0	0	0	3,319
339.22014-DSS Prov Recovs	188	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	188
339.22017-Camp Smith Bill	8,122	0	197	0	0	0	197	14,300	134	9	4	14	0	0	0	0	8,122
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Reg Manu Hsg	74	0	200	0	0	0	200	0	198	75	18	99	0	0	0	100	174
339.22022-College Savings	6,703	0	813	0	0	0	813	0	0	0	0	0	0	0	0	0	7,126
339.22023-Discover Queens	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
339.22024-Reven Arrearage	11,559	0	25,000	0	0	0	25,000	0	1,525	2,597	43	879	0	0	0	24,751	6,764
339.22025-Comm Svce Assis	8,501	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,501
339.22026-Cell Phone Towe	1,836	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,836
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-Central Registry	1,962	0	2,017	0	0	0	2,017	0	106	0	4	45	0	0	0	1,822	2,002
339.22029-Plant Industry	40	0	529	0	0	0	529	0	364	0	9	203	0	0	0	0	(7)
339.22032-Batavia School	(7,255)	0	9,600	0	0	900	10,500	0	5,676	628	144	3,172	0	0	0	0	(6,375)
339.22033-Alcohol Beverag	5,834	0	0	0	0	19,851	19,851	0	8,147	4,378	226	4,643	0	0	0	1,096	7,195

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2016

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22034-Investment Serv	161	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	161
339.22035-Diabetes Resear	23	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	29
339.22037-Keep Kids Drug	33	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	42
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(861)	0	4,304	0	0	0	4,304	0	2,317	113	72	0	1,772	0	0	0	(831)
339.22040-Senate Recyclab	517	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	537
339.22041-Medicaid Fraud	20,031	0	14,000	0	0	0	14,000	0	5,948	2,790	151	0	3,430	0	0	0	21,712
339.22042-DED Marketing A	5,862	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	5,872
339.22044-Tug Hill Admin	38	0	38	0	0	0	38	0	29	3	0	0	0	0	10	0	34
339.22045-Settlement Enf	1,652	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	1,652
339.22046-Indian Gaming	(62,974)	0	8,188	0	0	0	8,188	0	8,180	462	207	0	4,570	0	329	0	(68,534)
339.22047-NYS FLEX Spend	13	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	13
339.22050-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	13
339.22051-Ofc of Professi	24,678	0	47,265	0	0	0	47,265	0	19,327	9,795	489	0	10,800	0	6,032	0	25,500
339.22052-Armory Rental A	1,532	0	806	0	0	0	806	0	659	691	18	0	66	0	0	0	904
339.22053-Rome School	(2,665)	0	9,600	0	0	1,020	10,620	0	4,280	652	117	0	2,392	0	0	0	514
339.22054-Seized Assets	254	0	0	0	0	0	0	0	0	3,800	0	0	0	0	0	0	(3,546)
339.22055-Traf Adjudicatin	(14,188)	0	35,500	0	0	0	35,500	0	20,635	9,501	522	0	11,531	0	4,576	0	(25,453)
339.22056-Fed Salary Shar	211	0	0	0	0	2,373	2,373	390	1,248	0	35	0	700	0	0	0	211
339.22057-Cook/Chill Acco	1,528	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,528
339.22060-Credentia Svs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22062-NYC Assessment	20,733	0	79,653	0	0	0	79,653	0	37,154	19,622	1,147	0	21,730	0	0	0	20,733
339.22063-Cultural Educat	(4,435)	0	26,331	0	0	0	26,331	0	11,872	5,400	300	0	6,634	0	1,976	0	(4,286)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	1,939	0	3,150	0	0	0	3,150	0	334	409	11	0	176	0	1,961	0	2,198
339.22067-Trans Regul Acc	14,602	0	0	0	0	0	0	0	0	0	0	0	0	0	1,795	0	12,807
339.22068-Cons Prot Acct	1,576	0	91	0	0	0	91	0	236	77	7	0	136	0	0	0	1,211
339.22070-OER NASDER	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,477	0	470	0	0	0	470	0	137	10	6	0	76	0	73	0	1,645
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	61	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	61
339.22078-Local Services	745	0	983	0	0	0	983	0	580	0	32	0	361	0	0	0	755
339.22080-Adult Shelter	10,636	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10,636
339.22081-QAA Earned Rev	393	0	0	0	0	2,700	2,700	2,732	0	0	0	0	0	0	0	0	393
339.22082-Family Pres Svc	1,707	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,675
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	42	0	0	0	0	0	0	(42)
339.22085-DHCR Mortgage S	(3,683)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(4,268)
339.22086-OMH-Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	7,836	0	24,600	0	0	0	24,600	0	8,477	1,330	214	0	4,737	0	12,718	0	4,960
339.22088-Prof Medic Cond	10,952	0	24,900	0	0	0	24,900	0	11,080	7,010	322	0	6,217	0	3,127	0	8,096
339.22089-Hway Const & Ma	1,508	0	260	0	0	0	260	0	0	117	0	0	0	0	0	0	1,651
339.22090-Housing Indirec	(5,475)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	0	(2,408)
339.22091-Adlt Hme Qlty E	1,265	0	193	0	0	0	193	0	0	0	0	0	0	0	21	0	1,437
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	3,499	0	1,000	0	0	0	1,000	0	160	259	4	0	89	0	606	0	3,381

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22095-IG Szd Assets	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22096-Leg Svcs Assist	18,171	0	13,600	0	0	0	13,600	13,200	0	0	0	0	0	0	0	2,890	15,741
339.22097-Loc Pub Hlth	3,504	0	84	0	0	0	84	0	265	4	5	0	148	0	0	47	3,119
339.22098-Local Dist Trai	0	0	0	0	0	0	0	0	273	0	0	0	0	0	0	0	(273)
339.22099-Voting Mach Exa	215	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	215
339.22099-Voting Mach Exa	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22099-Voting Mach Exa	981	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,567	0	0	489	1,050
339.22100-DHCR HCA Applic	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22101-EPIC Premium Ac	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	88
339.22102-Drug Enforce Ta	5,935	0	4,840	0	0	0	4,840	0	785	562	18	0	440	0	0	4,098	4,872
339.22103-Vital Rec Mgmt	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22104-CHCCDP Transfer	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22105-Tobacco Enforce	860	0	267	0	0	0	267	0	0	210	0	0	0	0	0	0	917
339.22108-Hwy Rev/Soc Sec	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22109-Conference & Sp	785	0	102	0	0	0	102	0	0	0	0	0	0	0	0	9	878
339.22110-Asst Living Res	1,130	0	0	0	0	0	0	0	0	585	0	0	0	0	0	0	545
339.22111-OCFS Program	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22112-OTDA Income Acc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22114-Disabil Determs	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22115-OPWDD Jt Clin O	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22116-Special Medical	11,075	0	68,942	0	0	0	68,942	31,710	21,289	867	670	0	15,273	0	0	0	11,075
339.22117-Litigation Sett	327	0	855	0	0	0	855	0	0	0	0	0	0	0	0	0	315
339.22118-Animal Populati	56	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	62
339.22119-Love Your Libra	116	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	116
339.22122-Local Wireless	48,795	0	109,000	0	0	0	109,000	36,615	10,847	25,807	0	0	0	0	0	64,731	19,795
339.22123-Pub Sate Commun	(2)	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	(8)
339.22124-Cuba Lake Mgmt	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22126-St Justice Inst	283	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	283
339.22128-Med Reimb Acct	3,088	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	1,272	0	0	150	2,963
339.22130-Low Inc Housing	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22131-Medicaid Inquir	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22132-New York Alert	547	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	372
339.22133-Procure Op News	873	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	873
339.22134-OVS RESTITUTION	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22135-EFC Corp Admin	999	0	1,300	0	0	0	1,300	0	219	1,052	6	0	127	0	0	0	895
339.22136-Food Prod Ctr	87	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	119
339.22137-Pet Dealer	728	0	2,088	0	0	0	2,088	0	917	254	27	0	566	0	0	45	2,833
339.22138-Auth Bldg Office	1,597	0	115	0	0	0	1,500	0	34,855	20,894	0	0	0	0	0	776	(1,627)
339.22140-Helen Hayes Hos	2,997	0	350	0	0	0	30,151	0	15,593	8,548	0	0	7,136	0	0	214	1,657
339.22141-NYC Veterans	134	0	120	0	0	0	20,026	0	16,511	6,173	0	0	0	0	0	437	(2,961)
339.22142-NYS Home-Vetera	94	0	55	0	0	0	10,562	0	8,255	4,274	0	0	0	0	0	0	(1,818)
339.22143-WNY Vets Home	571	0	30	0	0	0	28,377	0	16,511	7,598	0	0	0	0	0	174	4,695
339.22144-Montrose S V H	6,906	0	0	0	0	0	112,031	0	0	0	0	0	0	0	0	112,031	6,906
339.22145-DOH Hospital Ho	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22146-HEAP Earned Rev	8,589	0	1,387	0	0	0	1,387	0	0	1,000	0	0	0	0	0	0	8,976
339.22147-Quality of Care	1,383	0	2,800	0	0	0	2,800	0	927	1,214	24	0	518	0	0	0	1,500
339.22149-Motor Fuel Qual	131	0	350	0	0	0	350	0	290	101	7	0	162	0	0	0	(79)
339.22150-Weights Measure	(53)	0	820	0	0	0	820	0	378	183	12	0	225	0	0	0	(31)
339.22151-Defer Comp Adm	10	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	60
339.22152-Hazard Abatemen	103	0	0	0	0	0	89	0	0	34	0	0	0	0	0	0	158
339.22153-Education Stats	4,759	0	1,693	0	0	0	1,693	0	556	812	15	0	320	0	0	0	4,749
339.22154-Real Estate Fin																	

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2016

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22156-NYC Rent Rev	(4,615)	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	13,330	0	0	4,115	(5,406)
339.22157-Medicaid Income	(331)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(331)
339.22158-Rent Revenue	(614)	0	550	0	0	0	550	0	380	0	10	0	212	0	0	0	(666)
339.22159-CSFP Salvage Ac	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22161-ES Stem Cell Tr	51	0	0	0	0	30,295	30,295	0	463	29,322	0	0	0	0	0	510	51
339.22162-Systems & Tech	6,425	0	7,300	0	0	0	7,300	0	679	170	27	0	564	0	0	5,442	6,843
339.22163-OPR Patron Serv	11,642	0	68,493	0	0	0	68,493	0	32,862	30,988	17	0	2,958	0	0	1,668	11,642
339.22165-Trans Aviatn	2,209	0	3,660	0	0	0	3,660	0	125	3,646	4	0	70	0	0	0	2,024
339.22166-Teacher Edu Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	297	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	297
339.22168-Tax Rev Arrear	1,916	0	0	0	0	0	0	0	0	500	0	0	0	0	0	0	1,416
339.22169-TSCR Account	17,000	0	195,700	0	0	0	195,700	78,500	0	0	0	0	0	0	0	121,200	13,000
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,692	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,632
339.22172-Undgrnd Sfty T	55	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	(10)
339.22173-Vol Fire Rec&Re	658	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	658
339.22174-HAVA Match	1,573	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,573
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	2,195	0	9,000	0	0	0	9,000	0	329	9,553	7	0	184	0	0	105	1,017
339.22178-Crim Back Check	378	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	378
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
339.22182-OWIG Adm Reimb	3,173	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,173
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	648	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	688
339.22186-Yth Fac PerDiem	1	0	55,000	0	0	0	55,000	0	0	0	0	0	0	0	0	55,000	1
339.22187-Provider Assess	0	0	792,000	0	0	0	792,000	792,000	0	0	0	0	0	0	0	0	0
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	559	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	559
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	2,670	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,670
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,276	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,276
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTV RADIA DEV	323	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	323
339.22198-HEP	98	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(202)
339.22199-Airport Securit	175	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	175
339.22202-SECI Account	9	0	12,000	0	0	0	12,000	12,000	0	0	0	0	0	0	0	0	9
339.22203-Article X Inter	1	0	0	0	0	0	0	94	0	0	0	0	0	0	0	0	(93)
339.22206-Wholesale Mkt	10,016	0	0	0	0	0	0	0	0	2,000	0	0	0	0	0	0	8,016
339.22207-Tech Financing	5,315	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	5,315
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	18	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	18
339.22213-BOE Enforcement	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
339. CSTFX-Cig Task Force	0	0	0	0	0	4,095	4,095	0	1,572	1,605	40	0	878	0	0	0	0

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2016**
(thousands of dollars)

	002	072	074	075	076	077	078	079	101	105	109	115	121
Opening Fund Balance	(1)	(60,127)	111,073	3,622	(87,063)	14	36,813	1	164	668	3,328	1,803	54,269
Receipts:													
Taxes	0	1,229,950	0	0	0	0	119,100	0	0	0	0	0	0
Miscellaneous Receipts	2,922,915	1,378,332	10,645	1,800	116,850	0	59,650	0	0	0	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	2,922,915	2,613,174	10,645	1,800	116,850	0	178,750	0	0	0	0	0	0
Disbursements:													
Grants to Local Governments	2,128,557	80,687	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,156,257	2,076,044	60,645	1,800	116,850	0	176,750	0	0	0	0	0	0
Total Disbursements	4,284,814	2,156,731	60,645	1,800	116,850	0	176,750	0	0	0	0	0	0
Other Financing Sources (Uses):													
Transfers from Other Funds	1,364,224	1,022,998	50,000	0	0	0	23,000	0	0	0	0	0	0
Transfers to Other Funds	(2,325)	(1,449,309)	0	0	0	0	(25,000)	0	(25)	(600)	(100)	(1,500)	(244,590)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	25	600	100	1,500	244,590
Net Other Financing Sources (Uses)	1,361,899	(426,311)	50,000	0	0	0	(2,000)	0	0	0	0	0	0
Change in Fund Balance	0	30,132	0	0	0	0	0	0	0	0	0	0	0
Closing Fund Balance	(1)	(29,995)	111,073	3,622	(87,063)	14	36,813	1	164	668	3,328	1,803	54,269

	123	124	126	127	291	310	312	327	357	374	376	378	380
Opening Fund Balance	4,255	11,869	2,814	29,092	(381,976)	897	(125,279)	506	(7,597)	(13,972)	(129,815)	14,991	(12,559)
Receipts:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	10	103,250	0	19,031	0	136,052	1,000	0
Federal Grants	0	0	0	0	1,690,852	0	0	0	0	0	0	0	0
Total Receipts	0	0	0	0	1,690,852	10	103,250	0	19,031	0	136,052	1,000	0
Disbursements:													
Grants to Local Governments	0	0	0	0	716,361	0	0	0	0	0	136,227	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	720,185	10	112,897	0	19,031	0	400	1,017	0
Total Disbursements	0	0	0	0	1,436,546	10	112,897	0	19,031	0	136,627	1,017	0
Other Financing Sources (Uses):													
Transfers from Other Funds	0	0	0	0	0	0	22,200	0	0	0	575	0	0
Transfers to Other Funds	(1,000)	(4,000)	(2,000)	(31,400)	(302,872)	0	(28,849)	0	0	0	0	0	0
Bond & Note Proceeds	1,000	4,000	2,000	31,400	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	(302,872)	0	(6,649)	0	0	0	575	0	0
Change in Fund Balance	0	0	0	0	(48,566)	0	(16,296)	0	0	0	0	(17)	0
Closing Fund Balance	4,255	11,869	2,814	29,092	(430,542)	897	(141,575)	506	(7,597)	(13,972)	(129,815)	14,974	(12,559)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2016**
(thousands of dollars)

	384	387	388	389	399	B01	C01	C02	C03	CPO	F07	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	273,011	33,993	(23)	(407,390)	(38,416)	0	(43,372)	0	0	0	(85)	(724,492)	0	(724,492)
Receipts:														
Taxes	0	0	0	0	0	0	0	0	0	0	0	1,349,050	0	1,349,050
Miscellaneous Receipts	150,000	9,045	0	159,050	203,660	0	28,181	0	0	1	0	5,299,472	0	5,299,472
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1,695,744	0	1,695,744
Total Receipts	150,000	9,045	0	159,050	203,660	0	28,181	0	0	1	0	8,344,266	0	8,344,266
Disbursements:														
Grants to Local Governments	0	0	0	94,117	0	0	0	0	0	0	0	3,153,949	0	3,153,949
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	150,000	22,900	0	66,683	225,160	0	28,181	121,548	1,101,175	0	0	7,158,533	0	7,158,533
Total Disbursements	150,000	22,900	0	160,800	225,160	0	28,181	121,548	1,101,175	0	0	10,312,482	0	10,312,482
Other Financing Sources (Uses):														
Transfers from Other Funds	24,686	13,273	0	1,750	21,500	0	0	121,548	4,550,000	0	0	7,215,754	(978,823)	6,236,931
Transfers to Other Funds	0	0	0	0	0	(400,000)	0	0	0	0	0	(2,493,570)	978,823	(1,514,747)
Bond & Note Proceeds	0	0	0	0	0	400,000	0	0	0	0	0	685,215	0	685,215
Net Other Financing Sources (Uses)	24,686	13,273	0	1,750	21,500	0	0	121,548	4,550,000	0	0	5,407,399	0	5,407,399
Change in Fund Balance	24,686	(582)	0	0	0	0	0	0	3,448,825	1	(85)	3,439,163	0	3,439,163
Closing Fund Balance	297,697	33,411	(23)	(407,390)	(38,416)	0	(43,372)	0	3,448,825	1	(85)	2,715,491	0	2,715,491

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2016**

	<u>304</u>	<u>311</u>	<u>316</u>	<u>319</u>	<u>361</u>	<u>364</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	90,382	0	0	28,279	(1)	(1)	118,659	0	118,659
Receipts:									
Taxes	0	14,930,964	0	0	965,900	3,162,250	19,060,114	0	19,060,114
Miscellaneous Receipts	320,988	0	7,168	141,832	0	500	470,488	0	470,488
Federal Grants	0	73,050	0	0	0	0	73,050	0	73,050
Total Receipts	320,988	15,004,014	7,168	141,832	965,900	3,162,750	19,603,652	0	19,603,652
Disbursements:									
Grants to Local Governments	0	0	0	0	0	0	0	0	0
State Operations	3,713	34,896	0	1,898	0	3,453	43,960	0	43,960
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	200,008	4,493,700	8,168	28,307	0	392,230	5,122,413	0	5,122,413
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	203,721	4,528,596	8,168	30,205	0	395,683	5,166,373	0	5,166,373
Other Financing Sources (Uses):									
Transfers from Other Funds	1,453,708	2,705,835	1,000	42,069	0	0	4,202,612	(305,743)	3,896,869
Transfers to Other Funds	(1,500,814)	(13,181,253)	0	(141,832)	(965,900)	(2,767,067)	(18,556,866)	305,743	(18,251,123)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(47,106)	(10,475,418)	1,000	(99,763)	(965,900)	(2,767,067)	(14,354,254)	0	(14,354,254)
Change in Fund Balance	70,161	0	0	11,864	0	0	83,025	0	83,025
Closing Fund Balance	160,543	0	0	40,143	(1)	(1)	201,684	0	201,684

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2016**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(4,052)	0	60,620	0	0	0	60,620	0	28,817	14,538	729	0	16,103	0	0	866	61,053	(4,485)
323.55020-OGS Ent Contr	(70,579)	0	200,000	0	0	0	200,000	0	600	199,074	16	0	310	0	0	0	200,000	(70,579)
323.55022-Business Srv Ct	43	0	12,369	0	0	0	12,369	0	5,581	5,000	318	0	1,470	0	0	0	12,369	43
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(20,524)	0	134,605	0	0	21,794	156,399	0	4,384	119,387	106	0	2,538	0	0	30,189	156,404	(20,529)
323.5502Y-OGS Bldg Admin	3,690	0	25,229	0	0	0	25,229	0	1,903	18,061	48	0	1,063	0	0	50	21,125	7,794
323.550ZZ-OGS Std & Purch	(3,764)	0	11,017	0	0	0	11,017	0	3,169	4,713	77	0	1,704	0	0	2,708	12,371	(5,118)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	156	0	1,500	0	0	0	1,500	0	920	114	28	0	411	0	0	0	1,473	183
334.55053-Fedl Single Aud	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	3,172	0	5,963	0	0	0	5,963	0	2,673	500	82	0	1,597	0	0	1,651	6,503	2,632
334.55056-EHS Occup Hlth	118	0	870	0	0	0	870	0	614	484	18	0	363	0	0	8	1,487	(499)
334.55057-Banking Service	(7)	0	500	0	0	51,805	52,305	0	0	50,820	46	0	0	0	0	0	50,820	1,478
334.55058-Cult Resources	(2,999)	0	7,329	0	0	0	7,329	0	1,429	4,082	46	0	894	0	0	284	6,735	(2,405)
334.55059-Neighbor Work P	(9,757)	0	8,200	0	0	0	8,200	8,100	0	0	0	0	0	0	0	0	8,100	(9,657)
334.55060-Auto/Print Chgb	2,666	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,705	0	0	0	17,469	2,810
334.55061-NYT Account	(4,516)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,516)
334.55062-State Data Ctr	(44,030)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(44,030)
334.55063-Human Svs Tele	7,083	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,083
334.55065-OPWDD Copy Cent	648	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	648
334.55066-Intrusion Detec	(1,482)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,482)
334.55067-Dom Violence Gr	(197)	0	797	0	0	0	797	0	697	97	3	0	0	0	0	0	797	(197)
334.55068-Statewide Train	94	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	94
334.55069-Cent Tech Svcs.	(31,749)	0	22,000	0	0	8,360	30,360	0	2,250	30,000	92	0	1,240	0	0	0	33,582	(34,971)
334.55070-Learning Mgmt S	1,430	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,430
334.55071-Labor Cont Ctr	(232)	0	1,753	0	0	0	1,753	0	1,367	321	38	0	777	0	0	0	2,503	(982)
334.55072-HS Cont Ctr	236	0	7,950	0	0	0	7,950	0	4,784	1,590	148	0	2,819	0	0	0	9,341	(1,155)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Srv	(112)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(112)
343.55100-Mental Hygiene	118	0	1,967	0	0	0	1,967	0	987	1,144	22	0	478	0	0	0	2,631	(546)
347.55150-DFY Voc Educatn	51	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	76
394.55200-Joint Labor-Mgt	1,172	0	2,000	0	0	0	2,000	0	906	396	30	0	522	0	0	0	1,854	1,318
395.55251-Ex Dir Intl Aud	658	0	1,550	0	0	0	1,550	0	2,124	396	66	0	1,267	0	0	0	3,853	(1,645)
395.55252-CIO INFO TECH C	453	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	453
396.55300-Health Ins Inlr	(7,958)	0	14,121	0	0	7,843	21,964	0	8,851	1,700	269	0	5,419	0	0	3,428	19,667	(5,661)
396.55301-CS EBD Adm Reim	(3,668)	0	4,500	0	0	240	4,740	0	1,796	390	54	0	1,064	0	0	639	3,943	(2,871)
397.55350-Corr Industries	(15,455)	0	49,000	0	0	10,500	59,500	0	18,545	31,170	527	0	10,689	0	0	357	61,288	(17,243)

CASH COMBINING STATEMENT BY ACCOUNT

ENTERPRISE
FY 2016

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	198	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	198
325.50050-State Fair Rece	408	0	18,000	0	0	0	18,000	0	5,543	11,049	156	0	1,900	0	0	0	18,648	(240)
326.50100-DOCS Commissary	2,841	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	2,923
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	11	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	11
331.50318-Convention Ctr	393	0	1,222	0	0	0	1,222	0	599	159	15	0	335	0	0	18	1,126	489
331.50319-Attica Emp Mess	206	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	200
331.50322-Asset Preservat	69	0	14	0	0	0	14	0	0	21	0	0	0	0	0	0	21	62
331.50323-Farm Program	1,254	0	629	0	0	0	629	0	123	455	2	0	60	0	0	0	640	1,243
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ESPVC-ESP Vis Ctr Gif	0	0	300	0	0	0	300	0	105	131	3	0	61	0	0	0	300	0
351.50400-OMH Shelt Wkshs	1,660	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,660
352.50450-MR Shel Wkshp	1,439	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,339
353.50500-MH & MR Communi	3,675	0	2,200	0	0	0	2,200	0	383	1,172	8	0	178	0	0	0	1,741	4,134
353.50516-MR Community St	159	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	156
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U Benefit Frnd	880,981	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	880,981
481.50651-Interest Assess	17,096	0	30,000	0	0	0	30,000	0	0	30,000	0	0	0	0	0	0	30,000	17,096
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2015 RESULTS
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	25,233	50,294	33,220	42,383
Economic Development, Department of	35,749	250,140	18,085	26,880
Empire State Development Corporation	83,744	334,170	50	0
Olympic Regional Development Authority	0	0	3,011	4,168
FUNCTIONAL TOTAL	144,726	634,604	54,366	73,431
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,276	4,385
Environmental Conservation, Department of	2,772	18,055	91,929	143,786
Parks, Recreation and Historic Preservation, Office of	2,144	13,276	110,043	129,656
FUNCTIONAL TOTAL	4,916	31,331	206,248	277,827
TRANSPORTATION				
Thruway Authority, New York State	0	0	18,341	24,000
Transportation, Department of	97,583	99,248	1,082	1,050
FUNCTIONAL TOTAL	97,583	99,248	19,423	25,050
HEALTH				
Aging, Office for the	121,111	227,268	1,436	1,439
Health, Department of	12,417,385	69,206,559	326,367	639,565
Medicaid Inspector General, Office of the	0	0	20,821	22,886
FUNCTIONAL TOTAL	12,538,496	69,433,827	348,624	663,890
SOCIAL WELFARE				
Children and Family Services, Office of	1,595,335	2,640,909	234,581	308,569
Housing and Community Renewal, Division of	10,023	32,567	6,483	12,618
Human Rights, Division of	0	0	10,582	12,010
Labor, Department of	7,348	51,990	273	485
National and Community Service	450	1,563	237	334
Temporary and Disability Assistance, Office of	1,235,758	1,406,694	139,524	202,777
FUNCTIONAL TOTAL	2,848,914	4,133,723	391,680	536,793
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	25,046	31,752	0	0
Mental Health, Office of	354,103	395,482	257	796
People with Developmental Disabilities, Office for	1,026,217	1,827,357	0	0
Justice Center	170	208	29,302	36,685
Quality of Care and Advocacy for Persons With Disabilities, Commission on	0	0	0	0
FUNCTIONAL TOTAL	1,405,536	2,254,799	29,559	37,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,222	2,894
Correctional Services, Department of	5,939	32,245	2,639,105	2,608,804
Criminal Justice Services, Division of	126,916	270,971	34,772	38,194
Disaster Assistance	2,726	0	(10,737)	0
Homeland Security and Emergency Services, Division of	31,256	591,118	6,852	6,288
Judicial Conduct, Commission on	0	0	5,384	5,484
Judicial Nomination, Commission on	0	0	24	30
Judicial Screening, Committees	0	0	12	38
Military and Naval Affairs, Division of	724	1,700	20,914	23,666
State Police, Division of	0	0	608,608	601,313
Statewide Financial System	0	0	29,264	29,700
Victim Services	943	0	0	0
FUNCTIONAL TOTAL	168,504	896,034	3,336,420	3,316,411
EDUCATION				
Arts, Council on the	62,791	73,332	3,312	4,119
City University of New York	1,394,867	1,419,169	0	0
Education, Department of	20,483,682	43,120,383	48,969	49,670
Higher Education Services Corporation, New York State	1,177,916	1,042,334	0	0
State University of New York	486,438	485,787	9,459	0
FUNCTIONAL TOTAL	23,605,694	46,141,005	61,740	53,789
GENERAL GOVERNMENT				
Budget, Division of the	0	0	20,322	29,857
Civil Service, Department of	0	0	12,276	14,079
Deferred Compensation	0	0	37	111
Elections, State Board of	253	2,600	5,708	8,140
Employee Relations, Office of	0	0	2,210	7,863
General Services, Office of	0	0	142,293	144,985
Inspector General, Office of the	0	0	7,069	6,794
Labor Management Committee	0	0	24,098	95,239
Prevention of Domestic Violence, Office for	543	1,808	1,354	1,728
Public Employment Relations Board	0	0	3,096	3,600
Public Integrity, Commission on	0	0	3,628	4,382
State, Department of	4,210	18,554	13,690	17,652
Tax Appeals, Division of	0	0	2,849	3,121
Taxation and Finance, Department of	906	926	272,871	279,250
Technology, Office for	0	1,530	426,415	418,262
Veterans' Affairs, Division of	7,395	13,924	5,344	7,009
Welfare Inspector General, Office of	0	0	573	1,162
FUNCTIONAL TOTAL	13,307	39,342	943,833	1,043,234
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	125,795	127,345
Executive Chamber	0	0	13,966	17,854
Law, Department of	0	0	101,795	102,823
Judiciary	2,437	17,446	1,805,478	1,821,881
Legislature	0	0	205,758	394,733
Lieutenant Governor, Office of the	0	0	366	630
FUNCTIONAL TOTAL	34,462	49,471	2,253,158	2,465,266
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	765,276	918,332	100	2,500
FUNCTIONAL TOTAL	935,276	1,088,332	100	2,500

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reapropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for School Aid and Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur in agencies outside of DOH.

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2016 ENACTED
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	35,197	53,663	32,861	44,617
Economic Development, Department of	49,716	250,585	20,178	28,564
Empire State Development Corporation	90,074	334,676	850	0
Olympic Regional Development Authority	0	0	3,011	4,168
FUNCTIONAL TOTAL	174,987	638,924	56,900	77,349
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,332	4,563
Environmental Conservation, Department of	15,225	24,303	93,983	151,439
Parks, Recreation and Historic Preservation, Office of	3,655	17,525	111,339	129,545
FUNCTIONAL TOTAL	18,880	41,828	209,654	285,547
TRANSPORTATION				
Thruway Authority, New York State	0	0	21,500	21,500
Transportation, Department of	113,151	114,818	1,153	1,050
FUNCTIONAL TOTAL	113,151	114,818	22,653	22,550
HEALTH				
Aging, Office for the	130,576	209,339	1,306	1,311
Health, Department of	12,962,761	70,738,383	471,840	802,417
Medical Inspector General, Office of the	0	0	21,236	21,893
FUNCTIONAL TOTAL	13,093,337	70,947,722	494,382	825,621
SOCIAL WELFARE				
Children and Family Services, Office of	1,754,414	2,811,887	226,304	302,854
Housing and Community Renewal, Division of	5,404	27,538	4,550	12,618
Human Rights, Division of	0	0	9,961	12,010
Labor, Department of	8,000	34,673	288	285
National and Community Service	350	1,397	337	334
Temporary and Disability Assistance, Office of	1,218,164	1,335,232	143,088	196,445
FUNCTIONAL TOTAL	2,986,332	4,210,727	384,528	524,546
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	31,853	31,293	0	0
Mental Health, Office of	275,463	393,982	800	796
People with Developmental Disabilities, Office for	876,819	1,811,612	0	0
Justice Center	170	255	39,322	41,685
Quality of Care and Advocacy for Persons With Disabilities, Commission on	0	0	0	0
FUNCTIONAL TOTAL	1,184,305	2,237,142	40,122	42,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,894
Correctional Services, Department of	6,022	47,280	2,687,150	2,722,586
Criminal Justice Services, Division of	138,350	299,786	34,464	38,017
Disaster Assistance	0	0	(45,309)	0
Homeland Security and Emergency Services, Division of	(11,804)	741,618	4,496	4,800
Judicial Conduct, Commission on	0	0	5,584	5,584
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	911	1,595	23,032	25,354
State Police, Division of	0	0	601,612	614,402
Statewide Financial System	0	0	30,137	30,137
Victim Services	2,788	2,788	0	0
FUNCTIONAL TOTAL	136,267	1,093,067	3,343,885	3,443,842
EDUCATION				
Arts, Council on the	40,835	80,459	4,320	4,319
City University of New York	1,426,107	1,452,148	0	0
Education, Department of	22,258,312	45,816,470	49,583	49,970
Higher Education Services Corporation, New York State	1,045,791	1,114,839	0	0
State University of New York	503,258	503,199	0	0
FUNCTIONAL TOTAL	25,274,303	48,967,115	53,903	54,289
GENERAL GOVERNMENT				
Budget, Division of the	0	0	24,436	29,778
Civil Service, Department of	0	0	12,465	14,533
Deferred Compensation	0	0	57	111
Elections, State Board of	1,800	2,200	9,346	8,482
Employee Relations, Office of	0	0	2,581	7,863
Gaming	0	0	6,971	6,971
General Services, Office of	0	0	151,237	156,730
Inspector General, Office of the	0	0	6,917	6,794
Labor Management Committee	0	0	35,056	111,482
Prevention of Domestic Violence, Office for	685	1,876	1,591	1,728
Public Employment Relations Board	0	0	3,529	3,600
Public Integrity, Commission on	0	0	5,531	5,582
State, Department of	20,752	25,279	13,399	14,614
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	926	263,448	270,327
Technology, Office for	0	1,530	512,706	513,167
Veterans' Affairs, Division of	9,387	16,409	6,338	6,759
Welfare Inspector General, Office of	0	0	972	1,162
FUNCTIONAL TOTAL	33,550	48,220	1,059,620	1,162,723
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	127,318	127,345
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	101,538	102,823
Judiciary	17,400	17,446	1,850,076	1,870,073
Legislature	0	0	217,845	403,180
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	49,424	49,471	2,310,969	2,521,905
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	784,554	950,332	0	2,500
FUNCTIONAL TOTAL	954,554	1,120,332	0	2,500

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for School Aid and Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur in agencies outside of DOH.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2016
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal Income Tax	31,477	245	31,722
Consumption/Use Taxes	6,746	(146)	6,600
Business Taxes	5,739	294	6,033
Other Taxes	1,054	(49)	1,005
Miscellaneous Receipts	6,195	12	6,207
Federal Receipts	0	0	0
Total Receipts	<u>51,211</u>	<u>356</u>	<u>51,567</u>
Expenditures:			
Local Assistance Grants	44,197	537	44,734
Departmental Operations	12,557	(4)	12,553
General State Charges	6,972	(19)	6,953
Debt Service	0	0	0
Capital Projects	0	0	0
Total Disbursements	<u>63,726</u>	<u>514</u>	<u>64,240</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	18,395	768	19,163
Transfers To Other Funds	(10,305)	62	(10,243)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0
Net Other Financing Sources (Uses)	<u>8,090</u>	<u>830</u>	<u>8,920</u>
Operating Surplus/(Deficit)	<u>(4,425)</u>	<u>672</u>	<u>(3,753)</u>
Accumulated Surplus/(Deficit)	<u>476</u>	<u>672</u>	<u>1,148</u>
Adjusted for Monetary Settlements*			
Adjusted Operating Surplus/(Deficit)	<u>125</u>	<u>672</u>	<u>797</u>

*Fiscal year 2016 reflects the impact of monies received from monetary settlements (\$5.40 billion received in FY 2015). In FY 2016, the Financial Plan anticipates transferring \$4.55 billion to a dedicated capital infrastructure fund. Adjusted numbers are provided here for display purposes to reflect a GAAP perspective excluding these extraordinary revenues.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2016 THROUGH FY 2019
(millions of dollars)**

	FY 2016 Enacted	FY 2017 Projected	FY 2018 Projected	FY 2019 Projected
Revenues:				
Taxes:				
Personal Income Tax	31,722	34,223	36,278	36,450
Consumption/Use Taxes	6,600	6,914	7,165	7,440
Business Taxes	6,033	5,893	5,914	6,069
Other Taxes	1,005	928	883	912
Miscellaneous Receipts	6,207	5,214	4,876	4,643
Federal Receipts	0	0	0	0
Total Receipts	51,567	53,172	55,116	55,514
Expenditures:				
Local Assistance Grants	44,734	47,579	49,938	52,495
Departmental Operations	12,553	12,498	12,754	12,627
General State Charges	6,953	7,751	8,250	8,676
Debt Service	0	0	0	0
Capital Projects	0	0	0	0
Total Disbursements	64,240	67,828	70,942	73,798
Other Financing Sources (Uses):				
Transfers From Other Funds	19,163	19,203	19,726	19,855
Transfers To Other Funds	(10,243)	(6,132)	(6,551)	(6,619)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0
Net Other Financing Sources (Uses)	8,920	13,071	13,175	13,236
Operating Surplus/(Deficit)	(3,753)	(1,585)	(2,651)	(5,048)
Adjusted for Monetary Settlements*				
Adjusted Operating Surplus/(Deficit)	797			

*Fiscal year 2016 reflects the impact of monies received from monetary settlements (\$5.40 billion received in FY 2015). In FY 2016, the Financial Plan anticipates transferring \$4.55 billion to a dedicated capital infrastructure fund. Adjusted numbers are provided here for display purposes to reflect a GAAP perspective excluding these extraordinary revenues.

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2016
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	45,360	8,376	1,347	19,059	74,142
Public Health/Patient Fees	0	4,594	0	463	5,057
Miscellaneous Receipts	6,207	1,809	685	7	8,708
Federal Receipts	0	56,036	1,696	73	57,805
Total Receipts	<u>51,567</u>	<u>70,815</u>	<u>3,728</u>	<u>19,602</u>	<u>145,712</u>
Expenditures:					
Local Assistance Grants	44,734	68,843	3,247	0	116,824
Departmental Operations	12,553	1,963	0	44	14,560
General State Charges	6,953	428	0	0	7,381
Debt Service	0	0	0	4,059	4,059
Capital Projects	0	1	7,016	0	7,017
Total Disbursements	<u>64,240</u>	<u>71,235</u>	<u>10,263</u>	<u>4,103</u>	<u>149,841</u>
Other Financing Sources (Uses):					
Transfers From Other Funds	19,163	3,614	6,162	3,897	32,836
Transfers To Other Funds	(10,243)	(3,264)	(1,515)	(19,314)	(34,336)
Proceeds Of General Obligation Bonds	0	0	685	0	685
Proceeds From Financing Arrangements/ Advance Refundings	0	0	4,623	0	4,623
Net Other Financing Sources (Uses)	<u>8,920</u>	<u>350</u>	<u>9,955</u>	<u>(15,417)</u>	<u>3,808</u>
Operating Surplus/(Deficit)	<u>(3,753)</u>	<u>(70)</u>	<u>3,420</u>	<u>82</u>	<u>(321)</u>

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2016**
(millions of dollars)

	Major Funds					Eliminations	Total
	General Fund	Special Revenue	General Debt Service	Governmental Funds	Other Funds		
Revenues:							
Taxes:							
Personal Income Tax	31,722	0	11,721	3,430	0	46,873	
Consumption/Use Taxes	6,600	0	3,209	5,764	0	15,573	
Business Taxes	6,033	0	0	2,244	0	8,277	
Other Taxes	1,005	0	0	2,414	0	3,419	
Public Health/Patient Fees	0	0	0	5,057	0	5,057	
Miscellaneous Receipts	6,207	97	0	2,404	0	8,708	
Federal Receipts	0	56,035	73	1,697	0	57,805	
Total Receipts	51,567	56,132	15,003	23,010	0	145,712	
Expenditures:							
Local Assistance Grants	44,734	51,832	0	20,258	0	116,824	
Departmental Operations	12,553	1,544	35	428	0	14,560	
General State Charges	6,953	317	0	111	0	7,381	
Debt Service	0	0	3,430	629	0	4,059	
Capital Projects	0	0	0	7,017	0	7,017	
Total Disbursements	64,240	53,693	3,465	28,443	0	149,841	
Other Financing Sources (Uses):							
Transfers From Other Funds	19,163	36	2,706	10,931	(21,516)	11,320	
Transfers To Other Funds	(10,243)	(2,466)	(14,244)	(7,383)	21,516	(12,820)	
Proceeds Of General Obligation Bonds	0	0	0	685	0	685	
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	4,623	0	4,623	
Net Other Financing Sources (Uses)	8,920	(2,430)	(11,538)	8,856	0	3,808	
Operating Surplus/(Deficit)	(3,753)	9	0	3,423	0	(321)	

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2016
(millions of dollars)

	Perspective		Entity		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Difference		Difference							
	Cash Financial Plan	Special Revenue Funds	Other Funds	Cash Basis Subtotal						
Revenues:										
Taxes:										
Personal Income Tax	31,924	0	0	0	31,924	(202)	0	0	0	31,722
Consumption/Use Taxes	6,890	0	0	0	6,890	(290)	0	0	0	6,600
Business Taxes	5,897	0	0	0	5,897	136	0	0	0	6,033
Other Taxes	1,069	0	0	0	1,069	(64)	0	0	0	1,005
Miscellaneous Receipts	4,365	1,195	663	663	6,223	(206)	718	(590)	62	6,207
Federal Receipts	0	0	0	0	0	0	0	0	0	0
Total Revenues	50,145	1,195	663	663	52,003	(626)	718	(590)	62	51,567
Expenditures:										
Local Assistance Grants	44,356	1,965	8	8	46,329	(498)	0	0	(1,097)	44,734
Departmental Operations	8,263	4,146	660	660	13,069	6	(41)	(590)	109	12,553
General State Charges	5,195	1,652	61	61	6,908	(238)	759	0	(476)	6,953
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Expenditures	57,814	7,763	729	729	66,306	(730)	718	(590)	(1,464)	64,240
Other Financing Sources (Uses):										
Transfers From Other Funds	18,140	7,016	101	101	25,257	0	(5,734)	0	(360)	19,163
Transfers To Other Funds	(14,276)	(497)	(38)	(38)	(14,811)	0	5,734	0	(1,166)	(10,243)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	3,864	6,519	63	63	10,446	0	0	0	(1,526)	8,920
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(3,805)	(49)	(3)	(3)	(3,857)	104	0	0	0	(3,753)
(Increase)/Decrease In Reserves	3,805	0	0	0	3,805	(3,805)	0	0	0	0
Operating Surplus/(Deficit)	0	(49)	(3)	(3)	(52)	(3,701)	0	0	0	(3,753)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS

FY 2016

Revenues:	(millions of dollars)										
	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Taxes	8,373	0	0	0	0	0	0	0	0	3	8,376
Public Health	0	0	0	0	0	0	4,594	0	0	0	4,594
Miscellaneous Receipts	15,275	(84)	(4,300)	(1,195)	(3,333)	0	(4,594)	0	0	40	1,809
Federal Receipts	49,627	0	0	0	0	6,092	0	0	0	317	56,036
Total Receipts	73,275	(84)	(4,300)	(1,195)	(3,333)	6,092	0	0	0	360	70,815
Expenditures:											
Local Assistance Grants	64,399	0	0	(1,965)	(5)	6,092	0	0	0	322	68,843
Departmental Operations	12,010	(84)	(5,414)	(4,146)	(130)	0	(302)	0	0	29	1,963
General State Charges	2,445	0	(366)	(1,652)	(12)	0	0	0	0	13	428
Capital Projects	1	0	0	0	0	0	0	0	0	0	1
Total Disbursements	78,855	(84)	(5,780)	(7,763)	(147)	6,092	0	(302)	0	364	71,235
Other Financing Sources (Uses):											
Transfers From Other Funds	9,233	0	(1,798)	(7,016)	3,164	0	0	0	31	0	3,614
Transfers To Other Funds	(3,543)	0	115	497	(31)	0	(302)	(31)	0	0	(3,264)
Net Other Financing Sources (Uses)	5,690	0	(1,683)	(6,519)	3,164	0	(302)	0	0	0	350
Operating Surplus/(Deficit)	110	0	(203)	49	(22)	0	0	0	0	(4)	(70)

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND

FY 2016

Revenues:	(millions of dollars)									
	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Taxes	1,349	0	0	0	0	0	0	0	0	1,347
Miscellaneous Receipts	5,299	(11)	(150)	(763)	(7)	0	0	(3,754)	71	685
Federal Receipts	1,696	0	0	0	0	0	0	0	0	1,696
Total Receipts	8,344	(11)	(150)	(763)	(7)	0	0	(3,754)	69	3,728
Expenditures:										
Local Assistance Grants	3,154	0	0	0	0	0	0	0	93	3,247
Capital Projects	7,159	(61)	(150)	(966)	(7)	0	889	0	152	7,016
Total Disbursements	10,313	(61)	(150)	(966)	(7)	0	889	0	245	10,263
Other Financing Sources (Uses):										
Transfers From Other Funds	6,237	(50)	(25)	0	0	0	0	0	0	6,162
Transfers To Other Funds	(1,515)	0	0	0	0	0	0	0	0	(1,515)
Proceeds Of GO Bonds	685	0	0	0	0	0	0	0	0	685
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	869	3,754	0	4,623
Net Other Financing Sources (Uses)	5,407	(50)	(25)	0	0	0	869	3,754	0	9,955
Operating Surplus/(Deficit)	3,438	0	(25)	203	0	0	(20)	0	(176)	3,420

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND

FY 2016

Revenues:	(millions of dollars)									
	Estimated Cash Disbursements	LGAC	Reclass Patient Fees	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures				
Taxes	19,059	0	0	0	0	19,059				
Patient Fees	0	0	463	0	0	463				
Miscellaneous Receipts	470	0	(463)	0	0	7				
Federal Receipts	73	0	0	0	73					
Total Receipts	19,602	0	0	0	0	19,602				
Expenditures:										
Departmental Operations	44	0	0	0	0	44				
Debt Service	5,122	0	0	(1,063)	0	4,059				
Total Disbursements	5,166	0	0	(1,063)	0	4,103				
Other Financing Sources (Uses):										
Transfers From Other Funds	3,897	0	0	0	0	3,897				
Transfers To Other Funds	(18,251)	0	0	(1,063)	0	(19,314)				
Net Other Financing Sources (Uses)	(14,354)	0	0	(1,063)	0	(15,417)				
Operating Surplus/(Deficit)	82	0	0	0	0	82				

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22600-22649	342	Homeless Housing and Assistance Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23750		New York State Commercial Gaming Fund	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25250-25299	269	Federal Block Grants Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Emergency Employment Act Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30749	119	State Housing Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40350-40399	330	State University Dormitory Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

