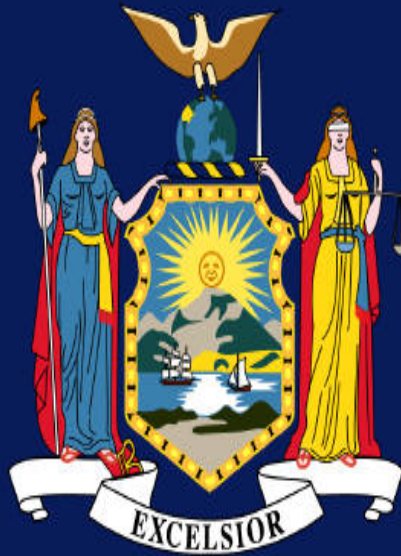


NEW YORK STATE



MID-YEAR FINANCIAL PLAN UPDATE FY 2012 THROUGH FY 2015

Andrew M. Cuomo, Governor

Robert L. Megna, Director of the Budget

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MID-YEAR FINANCIAL PLAN UPDATE

Weak and unsettled economic conditions around the world -- illustrated by the Euro-zone financial crisis, volatility in the financial markets, and persistently disappointing data on employment, consumer confidence, and income -- have darkened the State's fiscal outlook. The significant positive receipts results early in the fiscal year have been largely eroded as the economy weakened in the summer months. With the prospect of a weak bonus season on Wall Street, even more negative pressure is being placed on the State's receipts outlook.

Based on comprehensive review of these factors, as well as actual operating results, updated program data, and other factors, the Division of the Budget (DOB) estimates that the General Fund has a budget shortfall of \$350 million in the current fiscal year. To eliminate the shortfall, DOB will immediately institute a fiscal management plan. If it appears that the savings from the management plan will be insufficient to eliminate the shortfall, the Governor is expected to call the Legislature into session to consider additional actions to achieve a balanced budget in the current fiscal year.

The same adverse economic factors have increased the size of the projected budget gap that must be closed in FY 2013. DOB now estimates that the gap for FY 2013 is in the range of \$3.0 billion to \$3.5 billion. The Governor will propose an Executive Budget for FY 2013 that will eliminate the gap.

DOB will have a better sense in coming weeks of the magnitude of the receipts decline as more data becomes available and we coordinate our analysis with the Legislature.

FINANCIAL PLAN INFORMATION

INTRODUCTION

This Mid-Year Update to the Financial Plan (the “Mid-Year Financial Plan” or “Updated Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FYs 2012 through 2015. The Updated Financial Plan includes revised projections of receipts and disbursements based on operating results through September 2011, an updated economic forecast, and other information.

The State’s FY 2012 began on April 1, 2011 and ends on March 31, 2012. DOB expects to next update the multi-year projections with the Governor’s Executive Budget for FY 2013. The constitutional deadline for the submission of the Executive Budget for FY 2013 is January 18, 2012.

The State’s General Fund — the fund that receives the majority of State taxes and all income not earmarked for a particular program or activity — is required to be balanced on a cash basis of accounting. The State Constitution and State Finance Law do not define budget balance. In practice, the General Fund is considered balanced on a cash basis of accounting if sufficient resources are expected to be available during the fiscal year for the State to (a) make all required payments, including personal income tax (PIT) refunds, without the issuance of deficit notes or bonds, and (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began.

The General Fund is typically the financing source of last resort for the State’s other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the School Tax Relief (STAR) Fund, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State’s budget discussion is often weighted toward the General Fund.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State cash-basis projections and unaudited results by fund and category. The State also reports disbursements and receipts activity by two other broad measures: State Operating Funds, which includes the General Fund and funds specified for dedicated purposes, but excludes Federal Funds and Capital Projects Funds; and All Governmental Funds (All Funds), which includes both State and Federal Funds and provides the most comprehensive view of the financial operations of the State.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; Capital Projects Funds, which account for costs incurred in the construction and rehabilitation of roads, bridges, prisons, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and its public authorities.

INTRODUCTION

The factors affecting the State's financial condition are complex. This Updated Financial Plan contains forecasts, projections and estimates that are based on expectations and assumptions which existed at the time such forecasts were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Updated Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Updated Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions, political, social, and economic events, impediments to the implementation of gap-closing actions, regulatory initiatives and compliance with governmental regulations, litigation and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date they were prepared.

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FINANCIAL PLAN INFORMATION

EXPLANATION OF MID-YEAR CHANGES

The following table summarizes the revisions to the First Quarterly Update that affect General Fund operating projections¹. It is followed by a description of the changes.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
SUMMARY OF MAJOR CHANGES FROM FIRST QUARTERLY UPDATE				
<i>(millions of dollars)</i>				
	<u>FY 2012</u>	<u>FY 2013***</u>	<u>FY 2014</u>	<u>FY 2015</u>
FIRST QUARTERLY UPDATE SURPLUS/(GAP) FORECAST	0	(2,379)	(2,836)	(4,605)
Revisions	(350)	(871)	(438)	(193)
Tax Receipts*	(404)	(987)	(587)	(257)
Miscellaneous Receipts	54	107	87	56
Children and Family Services	140	229	372	421
Mental Hygiene	(133)	(217)	(287)	(342)
Human Services	0	(9)	(16)	(49)
Debt Service	(9)	8	(42)	(75)
Other	2	(2)	35	53
MID-YEAR BUDGET SURPLUS/(GAP) FORECAST **	(350)	(3,250)	(3,274)	(4,798)
<i>(Increase)/Decrease From First Quarterly Update</i>		<i>(871)</i>	<i>(438)</i>	<i>(193)</i>
* Includes transfers from other funds before the impact of debt service revisions.				
** DOB is developing a Fiscal Management Plan that is expected to produce \$350 million in savings in the current year to maintain budget balance in the General Fund.				
*** Mid-Year gap represents the mid-point of the estimated range.				

- **Tax Receipts:**

- PIT tax receipts have been reduced by \$175 million in FY 2012, \$621 million in FY 2013, \$315 million in FY 2014, and approximately \$100 million per year thereafter compared to the prior forecast. (This excludes the impact of debt service changes affecting the transfer of tax receipts to the General Fund.) Favorable adjustments to refund payments (of roughly \$350 million in FY 2012, \$184 million in FY 2013, and the range of \$250 million to \$350 million annually thereafter) is expected to be offset by lower than expected collections from withholding (\$600 million in FY 2012, \$555 million in FY 2013, \$350 million in FY 2014, and \$200 million annually thereafter); and estimated payments (in the

¹ Certain revisions displayed on the financial plan tables for reclassifications and reallocations of receipts and disbursements projections are excluded from the discussion of changes from the First Quarterly Financial Plan since they have no net Financial Plan impact. These adjustments include changes in planned transfers from other funds offset by a commensurate change in planned transfers to other funds, and revisions related to reallocation of reductions in State agency operations included in the Enacted Budget that affect both receipts and disbursements, including transfers.

FINANCIAL PLAN INFORMATION

range of \$200 to \$250 million annually beginning in FY 2013). These revisions are consistent with receipts collections to date and the revised economic forecast.

- Marginally weaker consumer spending to date and a more conservative consumption forecast provided the basis for reducing the estimate for sales tax receipts by \$38 million in FY 2012, \$100 million in FY 2013, and \$30 million in FY 2014. In addition, the estimates for cigarette and tobacco taxes have been reduced by \$20 million annually to reflect a recent Tax Tribunal decision related to the method of calculating tax on certain tobacco products.
 - Projected collections from business taxes have been reduced annually based on weaker than expected collections through September 2011 and DOB's revised forecast for corporate profits.
 - Other taxes have been increased to reflect an additional \$60 million in FY 2012 from estate tax receipts based on experience to date and \$2 million annually from pari-mutuel taxes.
- **Miscellaneous Receipts:** The updated projections for miscellaneous receipts over the plan period reflect stronger than expected license and fee receipts based on experience to date and an anticipated annual payment of \$65 million from the New York Power Authority, beginning in FY 2013.
 - **Children and Family Services:** DOB has revised its spending projections for child welfare services based on an updated forecast that is influenced by changes in claims for State reimbursements to local social service districts for the costs of child protective and preventative services. Projected cost for various other programs, including adoption services, adult protective and domestic violence services, and committee on special education maintenance have also been revised downward. In addition, due to lower than expected receipts, the Updated Financial Plan assumes that partial reimbursement to the State from local governments related to youth facilities will be received in FY 2013 instead of FY 2012.
 - **Mental Hygiene:** State support for mental hygiene spending has been increased to reflect DOB's revised projections of Federal Medicaid reimbursement for services provided by the Office for People with Developmental Disabilities (OPWDD). Prior projections assumed that ongoing Federal aid would increase by roughly \$70 million from FY 2011 levels, to a total of \$2.7 billion in FY 2012. The latest projections, which are based primarily on a lower inpatient census, is that annual Federal aid will decline by roughly \$60 million to \$2.6 billion in FY 2012, or \$133 million less than originally projected. This loss of projected Federal support means institutions will require higher State support.
 - **Human Service Cost of Living Adjustment (COLA):** DOB has increased spending projections across multiple human service agencies to reflect a revised COLA rate for non-profit providers beginning in FY 2013. Prior projections assumed a 3.5 percent

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COLA in FY 2013; however the latest projections, which are statutorily based on the Consumer Price Index, result in a 3.6 percent annual adjustment in FY 2013.

- **Debt Service:** Legislation approved in 2011 authorizes additional capital funds for investment at the four State University of New York (SUNY) University Centers, as well as scheduled increases in SUNY tuition. The capital investments are expected to be financed with State-supported debt, resulting in a cost to the General Fund. In addition, DOB anticipates additional costs of \$3 million in FY 2013 and \$32 million annually thereafter to pay debt service on contingent-contractual debt obligations under the Dormitory Authority of the State of New York (DASNY's) Secured Hospital Program (See "Other Matters Affecting the Financial Plan" herein). Debt service estimates have been increased to reflect anticipated bond-financed grants for recent high technology initiatives, including with Intel and IBM, to support research, development, and project management facilities to be located in New York State.
- **Other:** DOB has revised its spending projections across several areas of the Financial Plan to account for recent trends and experience, as well as other known factors such as the fiscal impact of new legislation. The most significant changes include: a one-time court ordered payment resulting from the Court of Claims finding the State liable for an automobile accident involving an Environmental Conservation Officer, and legislation approved in 2011 that provides retroactive pay raises to SUNY graduate and teaching assistants pursuant to a contractual agreement between the State and the union covering the years from 2007 through 2009.

ANNUAL SPENDING GROWTH

DOB estimates that State Operating Funds spending will total approximately \$88.1 billion in FY 2012, an increase of \$1.6 billion (1.8 percent) from FY 2011 results. The annual growth in State Operating Funds spending is affected by several factors: (a) the deferral of a School Aid payment from FY 2010 to FY 2011; (b) the planned amortization of the State's pension costs above a certain percentage of payroll, as authorized in FY 2011; (c) the set-aside of a reserve to pay for potential retroactive labor settlements (for the 2007 through 2011 period), rather than assuming spending for these settlements in FY 2012; and (d) accounting for the phase-out of the Federal government's payment of an increased share of State Medicaid costs. The State Operating Funds spending total in the table below is adjusted to exclude the impact of these factors to more accurately reflect the change in spending.

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STATE OPERATING FUNDS TOTAL DISBURSEMENTS (ADJUSTED)				
(millions of dollars)				
	FY 2011 Results	FY 2012 Revised	Annual \$ Change	Annual % Change
State Operating Funds	84,417	86,861	2,444	2.9%
Adjustments ¹	2,138	1,274	(864)	-40.4%
2010 School Aid Deferral	(2,060)	0	2,060	-100.0%
Pension Amortization (Authorized FY 2011)	250	575	325	130.0%
Retroactive Labor Settlements	0	346	346	N/A
Enhanced FMAP (DOH Medicaid)	3,948	353	(3,595)	-91.1%
STATE OPERATING FUNDS (ADJUSTED)	86,555	88,135	1,580	1.8%

¹ See text above.

Without adjustments, DOB estimates that State Operating Funds spending will total \$86.9 billion in FY 2012, an increase of \$2.4 billion (2.9 percent) from FY 2011 results. All Governmental Funds spending, which includes capital projects and Federal operating grants, is expected to total \$131.4 billion, a decrease of \$3.4 billion from the prior year. Consistent with recent experience, disbursements in FY 2011 were well below budgeted levels in State Operating Funds and in All Funds. This underspending in FY 2011 has the effect of potentially overstating the year-to-year increase in spending. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results over time. The unadjusted totals are shown in the following table.

TOTAL DISBURSEMENTS				
(millions of dollars)				
	FY 2011 Results	FY 2012 Revised	Annual \$ Change	Annual % Change
State Operating Funds	84,417	86,861	2,444	2.9%
General Fund (excluding transfers)	49,366	50,887	1,521	3.1%
Other State Funds	29,373	30,078	705	2.4%
Debt Service Funds	5,678	5,896	218	3.8%
All Governmental Funds	134,825	131,409	(3,416)	-2.5%
State Operating Funds	84,417	86,861	2,444	2.9%
Capital Projects Funds	7,844	7,858	14	0.2%
Federal Operating Funds	42,564	36,690	(5,874)	-13.8%
General Fund, including Transfers	55,373	56,855	1,482	2.7%
State Funds	90,118	92,756	2,638	2.9%

The following table summarizes the major sources of annual change in State spending by major program, purpose, and Fund perspective.

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STATE SPENDING MEASURES (millions of dollars)				
	FY 2011 Results	FY 2012 Revised	Annual Change	
			\$	%
STATE OPERATING FUNDS				
Local Assistance	55,295	57,565	2,270	4.1%
School Aid (Excludes FY 2010 Payment Deferral)	19,788	19,686	(102)	-0.5%
Medicaid ¹	<u>14,157</u>	<u>17,567</u>	<u>3,410</u>	<u>24.1%</u>
Department of Health ²	15,886	15,679	(207)	-1.3%
Enhanced FMAP (DOH Only)	(3,948)	(353)	3,595	-91.1%
Mental Hygiene	2,150	2,130	(20)	-0.9%
Children and Family Services	69	111	42	60.9%
Transportation	4,254	4,225	(29)	-0.7%
STAR	3,234	3,293	59	1.8%
Social Services (Non-Medicaid Financed)	2,800	2,807	7	0.3%
Higher Education ³	2,470	2,585	115	4.7%
Public Health/Aging	2,015	2,121	106	5.3%
Other Education Aid ³	1,474	1,742	268	18.2%
Mental Hygiene (Non-Medicaid Financed)	1,428	1,469	41	2.9%
Local Government Assistance	775	758	(17)	-2.2%
All Other ⁴	2,900	1,312	(1,588)	-54.8%
State Operations	17,387	16,923	(464)	-2.7%
Personal Service:	<u>12,422</u>	<u>11,817</u>	<u>(605)</u>	<u>-4.9%</u>
Executive Agencies	7,143	6,593	(550)	-7.7%
Higher Education	3,358	3,374	16	0.5%
Judiciary	1,525	1,469	(56)	-3.7%
Legislature	174	165	(9)	-5.2%
Department of Law	112	111	(1)	-0.9%
Audit & Control	110	105	(5)	-4.5%
Non-Personal Service	4,965	5,106	141	2.8%
Fringe Benefits/Fixed Costs	6,102	6,534	432	7.1%
Pensions	1,470	1,586	116	7.9%
Health Insurance	3,055	3,416	361	11.8%
All Other Fringe Benefits	1,227	1,184	(43)	-3.5%
Fixed Costs	350	348	(2)	-0.6%
Debt Service	5,615	5,834	219	3.9%
Capital Projects	18	5	(13)	-72.2%
TOTAL STATE OPERATING FUNDS	84,417	86,861	2,444	2.9%
Capital Projects (State Funded)	5,701	5,895	194	3.4%
TOTAL STATE FUNDS	90,118	92,756	2,638	2.9%
Federal Spending (Including Capital Grants)	44,707	38,653	(6,054)	-13.5%
TOTAL ALL GOVERNMENTAL FUNDS	134,825	131,409	(3,416)	-2.5%

¹ An additional \$3.5 billion in Medicaid spending for mental hygiene agencies is included in state operations and fringe benefits spending totals.

² Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.

³ The annual change is impacted by the phase-out of Federal ARRA stabilization funding that temporarily reduced State costs for various programs within special education and higher education.

⁴ All Other local assistance includes the school aid payment deferral from FY 2010 to FY 2011, local aid spending in a number of other programs, including parks and the environment, economic development, and public safety, and reclassification of money between Financial Plan categories.

FINANCIAL PLAN INFORMATION

PROJECTED CLOSING BALANCES

DOB estimates the State will end FY 2012 with a General Fund balance of \$1.7 billion. This assumes that the estimated current year shortfall of \$350 million is closed by the management plan or legislative action, or both. The closing balance in the Rainy Day Reserve reflects a planned deposit of \$100 million in FY 2012.

The closing balance includes \$346 million identified to cover the costs of potential retroactive labor settlements with unions that have not agreed to contracts through FY 2011. The amount is calculated based on the pattern settlement for FYs 2007 through 2011 agreed to by the State's largest unions for that period. In prior years, this amount has been carried in the annual spending totals. If settlements are reached in FY 2012, the fund balance in the General Fund will decline by an amount equal to the settlements.

The Community Projects Fund, which finances discretionary ("member item") grants allocated by the Legislature and Governor, is expected to disburse \$85 million over the course of FY 2012. These disbursements, coupled with the repeal of \$85 million in scheduled deposits for FY 2012, are expected to leave a balance of \$51 million in the fund at the end of the fiscal year.

OTHER MATTERS AFFECTING THE FINANCIAL PLAN

GENERAL

The Mid-Year Financial Plan is subject to many complex economic, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Mid-Year Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In recent fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan.

The Mid-Year Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impact of: national and international events on consumer confidence, oil supplies, and oil prices; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments on bonus income and capital gains realizations; and household deleveraging on consumer spending and State tax collections.

Among other factors, the Mid-Year Financial Plan is subject to various other uncertainties and contingencies relating to the extent, if any, to which wage increases for State employees exceed the annual wage costs assumed; realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid contemplated in the Mid-Year Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, at the levels assumed in the Mid-Year Financial Plan, and the success with which the State controls disbursements; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these specific issues are described in more detail in this Updated Financial Plan. The projections and assumptions contained in the Mid-Year Financial Plan are subject to revisions which may involve substantial change. No assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.

BUDGET RISKS AND UNCERTAINTIES

There can be no assurance that the budget gaps will not increase materially from current projections. If this were to occur, the State would be required to take additional gap-closing actions. These may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions

FINANCIAL PLAN INFORMATION

requires the approval of the Legislature and cannot be implemented solely by the action of the Governor.

State law changes approved in FY 2012 grant the Executive certain powers to achieve the Medicaid savings assumed in the Financial Plan. However, there can be no assurance that these powers will be sufficient to achieve the level of gap-closing savings anticipated in FY 2012 or limit the rate of annual growth in Department of Health (DOH) State Funds Medicaid spending. In addition, savings are dependent upon timely Federal approvals, appropriate amendments to existing systems and processes, and the participation of health care industry stakeholders.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan, including payments pursuant to the Tribal State Compact; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Mid-Year Financial Plan in the current year or future years.

STATE AGENCY SAVINGS AND STATUS OF CURRENT LABOR NEGOTIATIONS

The Mid-Year Financial Plan includes \$1.5 billion in savings from State agency operations, consistent with the Enacted Budget Financial Plan. This includes approximately \$450 million in gap-closing savings from, among other things, wage and benefit changes negotiated with State employee unions, operational efficiencies, and attrition. On August 15, 2011, members of the State's largest union, the Civil Service Employees Association (CSEA), ratified a five-year labor contract with the State. On November 3, 2011, employees represented by the Public Employees Federation (PEF) ratified a four-year labor contract with the State. The Legislature approved savings actions contingent upon ratification and thus such actions take effect immediately.

Under both agreements, there are no general salary increases for three years (FY 2012 through FY 2014). Employee compensation during FY 2012 and FY 2013 will be temporarily reduced. Employees will receive deficit reduction leave (totaling nine days). CSEA-represented employees will receive a \$1,000 lump sum payment (\$775 paid in FY 2014 and \$225 paid in FY 2015). Employees will receive a 2 percent salary increase in FY 2015 under both agreements, and CSEA-represented employees will receive a 2 percent increase in FY 2016. Employees represented by CSEA will be repaid the value of four days in equal consecutive installments starting at the end of the CSEA contract term and employees represented by PEF will be repaid the value of nine days in equal consecutive installments starting in FY 2016.

The agreements also include substantial changes to employee health care contributions. Employees will receive broad layoff protection for FYs 2012 and 2013. Workforce reductions due to management decisions to close or restructure facilities authorized by legislation, Spending and Government Efficiency (SAGE) Commission recommendations or material or unanticipated changes in the State's fiscal circumstances are not covered by this protection.

Negotiations with the State's other unions are ongoing.

PRIOR-YEAR LABOR SETTLEMENTS

The Mid-Year Financial Plan includes a reserve of \$346 million to cover the costs of a pattern settlement with all unions that have not agreed to contracts through FY 2011. The pattern is based on the general salary increases agreed to by the State's largest unions for this period. There can be no assurance that actual settlements, some of which are subject to binding arbitration, will not exceed the amounts reserved.

In August 2011, a statutorily authorized judicial compensation commission authorized a multi-year plan to provide salary increases for judges beginning in FY 2013, which will automatically take effect barring action by the legislature and the governor to obviate the increases. The Mid-Year Financial Plan assumes salary increases will be accommodated in the Judiciary's current budget projections.

CURRENT CASH-FLOW PROJECTIONS

The General Fund is authorized to borrow resources temporarily from other available funds in the State's Short-Term Investment Pool (STIP) for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amounts of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of money obligated for debt service payments and bond proceeds.

In FY 2012, the General Fund used this authorization to meet certain payment obligations in April 2011, and repaid such amounts by the end of April 2011. The General Fund is likely to rely on this borrowing authority at other times during FY 2012.

The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants.

The FY 2012 All Governmental Funds month-end balances are shown in the table below. DOB will continue to monitor and manage the State's cash position closely during the fiscal year in an effort to maintain adequate operating balances.

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FY 2012 MONTH-END CASH BALANCES			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	4,510	4,239	8,749
May	1,809	4,225	6,034
June	2,492	2,935	5,427
July	1,884	4,103	5,987
August	1,571	3,960	5,531
September	4,948	2,006	6,954
October*	3,447	2,967	6,414
November*	2,502	2,797	5,299
December*	1,962	2,236	4,198
January*	6,208	3,563	9,771
February*	5,737	3,830	9,567
March* ^{1/}	1,387	2,310	3,697

* Estimate
^{1/} Before implementation of administrative or legislative actions to eliminate the potential General Funds shortfall of \$350 million.

FEDERAL ACTIONS

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes. Any changes in Federal funding levels could have a materially adverse impact on the State's Financial Plan.

In addition, the Mid-Year Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government. The Federal Centers for Medicare and Medicaid Services (CMS) recently engaged the State regarding claims for services provided to individuals in developmental centers operated by OPWDD. Although no official audit has commenced and the rates paid for these services are established in full accordance with the methodology set forth in the approved State Plan, adverse action by CMS relative to these claims could jeopardize a significant amount of Federal financial participation in the State Medicaid program. The State has begun the process of seeking CMS approval to proceed with the development of a new section 1115 demonstration waiver to create a contemporary and sustainable system of service funding and delivery for individuals with developmental disabilities.

HEALTH INSURANCE COMPANY CONVERSIONS

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a “health care conversion”), subject to a number of terms, conditions, and approvals. Under State law, the State must use the proceeds from a health care company conversion for health-care-related expenses. For planning purposes, the Financial Plan assumes no proceeds from a health care conversion in FY 2012, but counts on proceeds of approximately \$250 million annually in future years of the plan, which would be deposited into HCRA. If a conversion does not occur on the timetable or at the levels assumed in the Mid-Year Financial Plan, the State may be required to take other actions to increase available resources or to reduce planned spending to fund projected HCRA expenditures.

GENERALLY ACCEPTED ACCOUNTING PRINCIPLES (GAAP)-BASIS PROJECTIONS

The State is required to be balanced on a cash basis, which is DOB’s primary focus in preparing and implementing the State Financial Plan. State Finance Law also requires the Financial Plan be presented for informational purposes on a GAAP basis. The GAAP-basis plans follow, to the extent practicable, the accounting principles applied by OSC in preparation of the annual Financial Statements. Tables comparing the cash-basis and GAAP-basis General Fund Financial Plans are provided at the end of this Financial Plan.

In FY 2012, the General Fund GAAP Financial Plan shows total projected revenues of \$48.1 billion, total projected expenditures of \$58.0 billion, and net other financing sources of \$9.4 billion.

The GAAP-basis results for FY 2011 showed the State in a net positive asset condition of \$27.6 billion.

STATUTORY DEBT LIMITATIONS

The Debt Reform Act of 2000 restricts the issuance of State-supported debt to capital purposes only and limits such debt to a maximum term of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued on and after April 1, 2000. The cap on debt outstanding was fully phased-in during FY 2011, while the cap on debt service costs will be fully phased in during FY 2014.

The statute requires that the limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior FY 2011 are below the caps at this time, State-supported debt may continue to be issued. However, if either the debt outstanding or the debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

FINANCIAL PLAN INFORMATION

For FY 2011, the cumulative debt outstanding and debt service caps are 4.00 and 4.32 percent, respectively. As shown in the table below, the actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2011 the State has issued new debt resulting in \$32.8 billion of debt outstanding applicable to the debt reform cap. This is about \$4.8 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$3.1 billion in FY 2011 – or roughly \$2.6 billion below the statutory debt service limitation.

Debt Outstanding Cap (millions of dollars)		Debt Service Cap (millions of dollars)	
New Debt Outstanding	\$32,827	New Debt Service	\$3,120
Personal Income (CY 2010)	\$939,564	Governmental Funds Receipts	\$133,321
Debt Outstanding (Percent of PI)	3.49%	Debt Service (Percent of Gov't Fund Receipts)	2.34%
Cap Imposed by Debt Reform Act	4.00%	Cap Imposed by Debt Reform Act	4.32%

Current projections estimate that debt outstanding and debt service costs will continue to remain below the limits imposed by the Act throughout the next several years. However, the State is continuing through a period of declining debt capacity. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from 0.30 percent (\$3.0 billion) in FY 2012 to 0.07 percent (\$726 million) in FY 2014. Measures addressing capital spending priorities, debt financing practices, and the inherent volatility of personal income as a basis for long-term planning may be considered in order to stay within the statutory limitations.

New Debt Outstanding (millions of dollars)					
Year	Personal Income	Cap %	Actual/ Recommended %	\$ (Above)/Below	% (Above)/Below
2010-11	939,564	4.00%	3.49%	4,755	0.51%
2011-12	985,638	4.00%	3.70%	2,961	0.30%
2012-13	1,021,692	4.00%	3.86%	1,429	0.14%
2013-14	1,065,288	4.00%	3.93%	726	0.07%
2014-15	1,123,703	4.00%	3.89%	1,273	0.11%
2015-16	1,184,795	4.00%	3.81%	2,226	0.19%
New Debt Service Costs (millions of dollars)					
Year	All Funds Receipts	Cap %	Actual/ Recommended %	\$ (Above)/Below	% (Above)/Below
2010-11	133,321	4.32%	2.34%	2,635	1.98%
2011-12	130,833	4.65%	2.68%	2,573	1.97%
2012-13	128,778	4.98%	3.00%	2,553	1.98%
2013-14	134,494	5.00%	3.11%	2,536	1.89%
2014-15	141,469	5.00%	3.15%	2,617	1.85%
2015-16	150,088	5.00%	3.11%	2,839	1.89%

SECURED HOSPITAL PROGRAM

Pursuant to legislation enacted in 1985, the State entered into service contracts establishing a contingent-contractual obligation with respect to financings related to the Secured Hospital Program, for the purpose of enabling certain financially distressed not-for-profit hospitals to gain access to the capital markets. The contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by the New York State Medical Care Facilities Finance Agency and by DASNY (all now included as debt of DASNY). In the event there are shortfalls in revenues from other sources, which include hospital payments made under loan agreements between DASNY and the hospitals, and certain reserve funds held by the applicable trustees for the bonds, the State is liable for the debt service. As of March 31, 2011, there is \$585 million of outstanding bonds for the program, with total annual debt service requirements of about \$79 million.

The financial condition of most hospitals in the State's Secured Hospital Program continues to deteriorate. Of the nine hospitals in the program, several are experiencing significant operating losses that are likely to impair their ability to remain current on their loan agreements with DASNY. If recent trends continue and other available funds become depleted, State resources beyond what is currently reflected in the Financial Plan will be needed to meet debt service obligations on outstanding bonds pursuant to the service contracts.

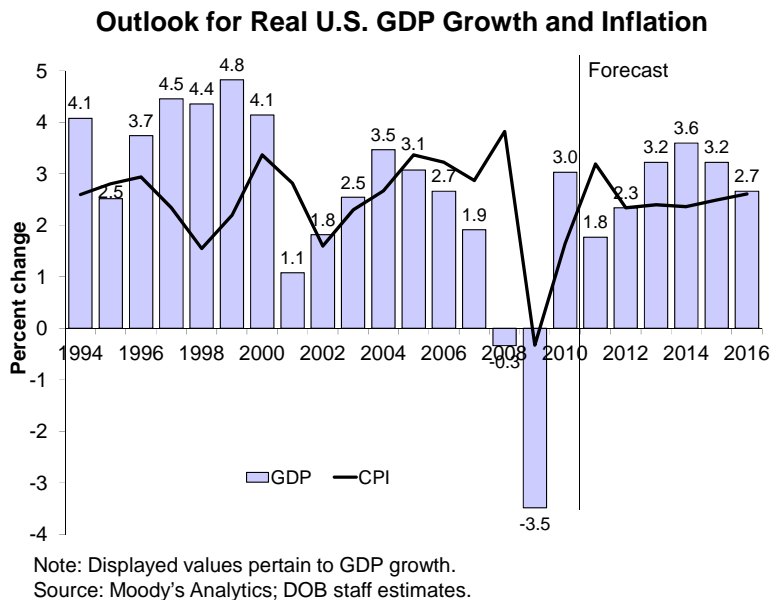
BOND MARKET

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, it can adversely affect the State's overall cash position and capital funding plan. The success of projected public sales will be subject to prevailing market conditions. Future developments in the financial markets generally, as well as future developments concerning the State and public discussion of such developments, may affect the market for outstanding State-supported and State-related debt.

ECONOMIC BACKDROP

THE NATIONAL ECONOMY

The U.S. economy's recovery from the Great Recession has been buffeted by a continual series of setbacks since the start of this year. The list is long: extreme winter weather, turmoil in the Middle East accompanied by spiking energy prices, supply chain disruptions resulting from the Japanese earthquake and tsunami, spring tornados and flooding, European sovereign debt crises, and uncertainty surrounding the U.S. government's own debt situation. All of these factors had a substantial impact on economic activity in the first half of this year. With the release of the U.S. Bureau of Economic Analysis' annual revision at the end of July, we learned that economic growth was virtually stagnant in the first quarter, followed by 1.3 percent growth in the second. Although the impact of at least some of these events has begun to unwind, a weakened labor market, low income growth, volatile equity markets, and a stalled housing sector all dictate that the comeback during the second half of the year will be slow. Consequently, real U.S. GDP is now projected to grow 1.8 percent for 2011, followed by growth of 2.3 percent for 2012. These growth rates are historically weak for this point in an expansion.

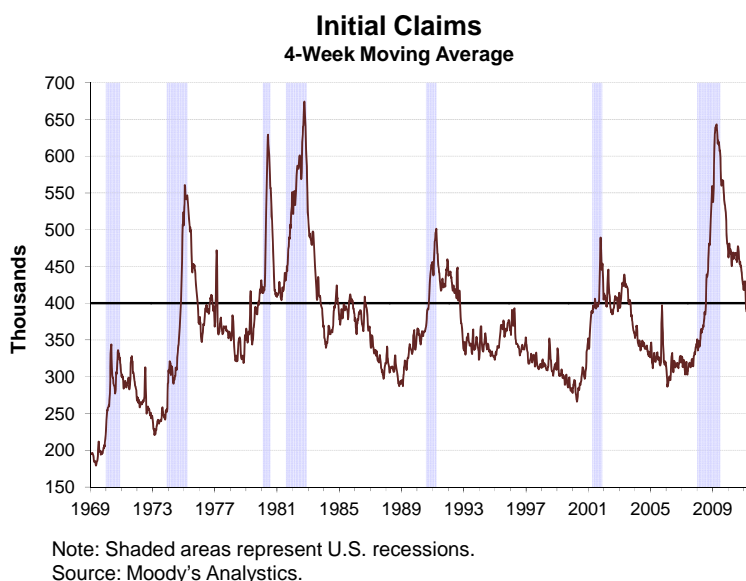


The slowdown has been negative for both employment and income. Average monthly private sector employment gains fell from 204,000 for the first four months of this year to 105,000 for the five months through September. In the meantime, the public sector has been steadily shedding employment at a rate of 30,000 jobs per month since the beginning of the year, with the weakness largely emanating from State and local governments. As of the week ending October 22, initial claims for unemployment insurance benefits have remained above 400,000 almost every week (except for two) since the second week of April. As a result, the national labor market is now projected to add jobs at a pace of 0.9 percent in 2011, followed by 1.0

ECONOMIC BACKDROP

percent growth in 2012. These projections are consistent with a decline in the unemployment rate to 8.8 percent by the fourth quarter of next year.

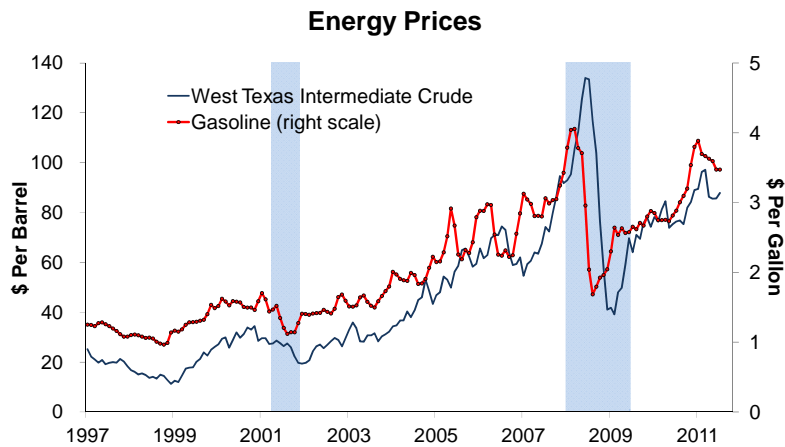
Consistent with a weak economy and labor market, personal income growth has also been slow, with monthly growth averaging only 0.1 percent during the five months through September; this compares with 0.7 percent growth during the first four months. We note that January growth was boosted by the payroll tax cut passed by Congress at the end of 2010. DOB's Mid-Year forecast assumes the tax cut will be extended through the end of 2012. Even with that assumption, personal income growth is projected to slow to 3.1 percent in 2012 from 5.1 percent in 2011. Growth in its largest component, wages, is expected to slow to 3.2 percent in 2012, from 3.9 percent in 2011.



Weaker employment and wage growth, along with strong corporate earnings from operations abroad have all contributed to strong U.S. corporate profits growth during the first half of this year. Slower growth is projected for the second half of 2011 and early 2012, largely due to slower global growth, particularly in Europe. Profits including the inventory valuation and capital consumption adjustments are now expected to climb 7.4 percent for 2011, with growth slowing to 4.6 percent in 2012. Similarly, real export growth is expected to slow from 6.9 percent in 2011 to 5.0 percent in 2012. Business investment has been a bright spot in the economy, with nonresidential fixed investment growth of 8.6 percent now expected for 2011, followed by growth of 9.0 percent in 2012.

Energy price volatility has continued virtually unabated since early February. As of the middle of October, gasoline prices were still about 65 cents above their year-ago levels. Price volatility is expected to diminish going forward, resulting in slower growth in consumer prices in 2012 than witnessed to-date in 2011. Inflation of 2.3 percent is projected for 2012, as represented by growth in the Consumer Price Index (CPI), following price growth of 3.2 percent in 2011. Lower energy prices should help to support household spending going forward. A more benign outlook for inflation as commodity prices recede is expected to allow the Federal

Reserve to maintain its current highly accommodative monetary policy stance through the middle of 2013 as announced.



Note: Shaded areas represent U.S. recessions; the October oil price represents the average daily value through the 25th; the October unleaded gasoline price including taxes represents the average weekly value through the 21st.
Source: Moody's Analytics.

As the impacts from the aforementioned shocks unwind, the national recovery is expected to continue to rebound but only to a moderate pace of growth. Nevertheless, significant risks remain even to this tepid forecast. The path toward resolution of the European debt crisis remains unclear, and a euro-zone recession may be unavoidable. While the U.S. financial system has already witnessed one major casualty, a convulsion comparable to what was experienced in September 2008 is not expected at this time. However, the outlook remains highly uncertain. In addition, lower demand than projected for U.S. exports from Europe and elsewhere could result in lower overall growth going forward. If the labor market fails to pick up as expected, growth in household spending could fall back to the extremely slow pace witnessed earlier in the year. An unanticipated round of energy price hikes could have a similar impact on household spending, again blunting the impact of Federal fiscal stimulus. Lower household spending and weaker job growth could both add to the strain already being faced by state and local governments that continue to shed jobs. In contrast, lower energy prices, faster global growth, or additional Federal stimulus measures could result in stronger growth than is reflected in this forecast.

U.S. ECONOMIC INDICATORS			
(Percent change from prior calendar year)			
	2010	2011	2012
	(Actual)	(Forecast)	(Forecast)
Real U.S. Gross Domestic Product	3.0	1.8	2.3
Consumer Price Index (CPI)	1.6	3.2	2.3
Personal Income	3.7	5.1	3.1
Nonagricultural Employment	-0.7	0.9	1.0

Source: Moody's Analytics; DOB staff estimates.

ECONOMIC BACKDROP

THE NEW YORK STATE ECONOMY

New York State’s recovery continued to outpace that of the nation overall through the first half of 2011. The State’s good fortune largely owes to the disproportional benefit from Federal policies designed to keep interest rates low and strengthen the banking system. Strong finance and insurance sector profits resulted in solid growth in securities industry bonuses over the FY 2010 and FY 2011 two-year period. In addition, strong emerging market growth combined with a weak dollar appears to have spurred foreign demand for the State’s exports, including New York City as a tourist and luxury-shopping destination. As a result, State private sector employment growth of 1.6 percent is estimated for 2011, accompanied by wage growth of 4.3 percent. These rates compare with growth of 1.5 percent and 3.9 percent, respectively, for the nation.

However, events in Europe continue to roil global financial markets. The resulting equity market volatility, combined with the movement toward a more highly regulated environment, appears to be having a substantial downward impact on the finance industry’s market capitalization and revenues. Returns from proprietary trading have been diminishing, while the dramatic decline in equity market prices during the third quarter has reduced the volume of revenue generating activity, such as IPOs and mergers and acquisitions. Many of the large financial institutions have announced layoffs, after a spurt of hiring in the first quarter. As a result, DOB now projects a substantial decline in finance and insurance sector bonuses for the FY 2012.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2010 (Actual)	2011 (Forecast)	2012 (Forecast)
Personal Income	4.1	4.9	3.7
Wages	4.4	4.3	3.1
Nonagricultural Employment	0.1	0.9	0.8
Source: Moody’s Analytics; New York State Department of Labor; DOB staff estimates.			

Consistent with the substantial decline in bonuses, private sector job growth is expected to decelerate to 1.1 percent in 2012. Total State employment is projected to grow 0.8 percent for 2012, following growth of 0.9 percent in 2011. Lower employment growth and the decline in bonuses are expected to be accompanied by lower wage growth of 3.1 percent for 2012. Total personal income is projected to grow 3.7 percent for 2012, following growth of 4.9 percent for 2011. As for the U.S., the personal income forecast assumes the Federal payroll tax cut will be extended into 2012.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation’s financial capital, credit and equity market volatility pose a particularly large degree of uncertainty for New York. The full impact of the Federal financial reform package on the profitability of the State’s finance industry remains uncertain and consequently represents a major risk to DOB’s forecast for bonuses and income going forward. Lower bonuses than projected reduce the level of economic activity generated by the spending of those wages. Similarly, should equity markets fail to grow as anticipated, both financial sector income and

taxable capital gains realizations could be negatively affected. These effects would ripple through the State economy, depressing both employment and wage growth. An even weaker labor market than projected could also result in lower wages, which in turn could result in weaker household consumption. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonus growth than projected.

Finally, capital gains realizations are estimated to have grown over 40 percent for 2010, due in part to taxpayers anticipating the expiration of the Bush tax cuts at the end of last year. Those cuts were extended for another two years on December 7, too late for taxpayers who had already sold assets expecting a higher rate in 2011. If even more of the growth in 2010 was due to taxpayer anticipation of a rate change than currently estimated, growth for 2011 could be lower than expected. The 18 percent decline in equity prices during the third quarter further enhances that risk.

FINANCIAL PLAN PROJECTIONS

FISCAL YEARS 2012 THROUGH 2015

INTRODUCTION

This section presents the State's updated multi-year Financial Plan projections for receipts and disbursements. The projections cover FYs 2012 through 2015, with an emphasis on the FY 2012 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicates the discussion of the State's receipts and disbursement projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The latter perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking.
- **Disbursements:** Over 40 percent of projected State-financed spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis. The projections for School Aid and Medicaid reflect the FY 2012 Enacted Budget spending limitations.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Updated Financial Plan. Accordingly, in terms of the outyear projections, FY 2013 is the most relevant from a planning perspective.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

SUMMARY

DOB estimates that the General Fund has a potential current year shortfall of \$350 million. The shortfall is expected to be closed by administrative or legislative action, or both. The projected General Fund budget gaps total approximately \$3.25 billion in FY 2013, \$3.3 billion in FY 2014, and \$4.8 billion in FY 2015. The projected net operating shortfalls in State Operating Funds are projected at \$2.6 billion in FY 2013, \$2.7 billion in FY 2014, and \$4.2 billion in FY 2015.

The imbalances projected for the General Fund and State Operating Funds in future years are similar because the General Fund is the financing source of last resort for many State programs. Imbalances in other funds are typically financed by the General Fund.

The following tables present the multi-year projections and growth rates for the General Fund and State Operating Funds, as well as a reconciliation between the General Fund budget gaps and the operating shortfalls in State Operating Funds. It is followed by a summary of the multi-year receipts and disbursement forecasts.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

GENERAL FUND PROJECTIONS

MULTI-YEAR GENERAL FUND PROJECTIONS					
(millions of dollars)					
	Results	Projections			
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Receipts					
Taxes (After Debt Service)	49,529	52,731	52,923	56,116	57,917
Miscellaneous Receipts/Federal Grants	3,149	3,212	3,084	2,643	2,182
Other Transfers	1,769	923	628	586	602
Total Receipts	54,447	56,866	56,635	59,345	60,701
Disbursements					
Local Assistance Grants	37,206	38,721	39,955	41,665	43,352
Education	18,104	18,533	19,095	19,964	20,864
Health Care	8,243	11,106	11,346	11,886	12,346
Mental Hygiene	2,239	1,881	1,975	2,157	2,277
Social Services	2,859	2,907	3,218	3,339	3,455
Higher Education	2,448	2,569	2,675	2,736	2,814
All Other ¹	3,313	1,725	1,646	1,583	1,596
State Operations	7,973	7,462	8,059	8,021	8,310
Personal Service	6,151	5,713	5,945	6,052	6,220
Non-Personal Service	1,822	1,749	2,114	1,969	2,090
General State Charges	4,187	4,704	5,093	5,456	5,623
Pensions	1,470	1,586	1,610	1,936	2,197
Health Insurance	3,055	3,416	3,731	4,064	4,092
All Other	(338)	(298)	(248)	(544)	(666)
Transfers to Other Funds	6,007	5,968	6,687	7,335	8,072
State Share Medicaid	2,497	2,910	2,903	2,796	2,750
Debt Service	1,737	1,455	1,722	1,696	1,614
Capital Projects	932	778	1,126	1,323	1,419
SUNY- Hospital Medicaid	207	200	200	200	200
School Aid - Lottery Guarantee	0	100	0	0	0
Judiciary Funds	131	119	119	121	123
Banking Services	74	55	55	55	55
Financial Management System	5	42	55	55	55
Indigent Legal Services	45	40	40	40	40
Mental Hygiene	0	0	198	779	1,466
All Other	379	269	269	270	350
Total Disbursements	55,373	56,855	59,794	62,477	65,357
Change in Reserves					
	(926)	361	91	142	142
Prior-Year Labor Agreements (2007-11)	0	346	142	142	142
Community Projects Fund	40	(85)	(51)		
Rainy Day Fund	0	100			
Reserved for Deferred Payments	(906)				
Reserved for Debt Management	(60)				
Budget Surplus/(Gap) Before Actions	0	(350)	(3,250)	(3,274)	(4,798)

¹ All Other includes extra \$2.1 billions school aid payment in FY 2011 that was delayed from March 2010 to June 2010, as well as local aid spending in a number of other programs, including parks and the environment, economic development, and public safety.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

STATE OPERATING FUNDS PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS					
(millions of dollars)					
	Results		Projections		
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Receipts:					
Taxes	59,532	63,165	63,861	67,486	69,770
Miscellaneous Receipts/Federal Grants	19,260	19,291	20,261	20,220	20,058
Total Receipts	78,792	82,456	84,122	87,706	89,828
Disbursements:					
Local Assistance Grants	55,295	57,565	59,768	62,276	64,602
School Aid	19,788	19,686	20,250	21,105	21,955
STAR	3,234	3,293	3,322	3,510	3,693
Other Education Aid	1,474	1,742	1,906	1,988	2,058
Higher Education	2,470	2,585	2,691	2,752	2,814
Medicaid (DOH incl. administration)	11,915	15,280	15,894	16,531	17,192
Public Health/Aging	2,015	2,121	2,163	2,371	2,419
Mental Hygiene	3,578	3,599	3,854	4,168	4,396
Social Services	2,869	2,918	3,229	3,340	3,456
Transportation	4,254	4,225	4,325	4,414	4,508
Local Government Assistance	775	758	793	790	791
All Other ¹	2,923	1,358	1,341	1,307	1,320
State Operations	17,387	16,923	17,647	17,858	18,396
Personal Service	12,422	11,817	12,219	12,468	12,808
Non-Personal Service	4,965	5,106	5,428	5,390	5,588
General State Charges	6,102	6,534	7,050	7,551	7,890
Pensions	1,470	1,586	1,610	1,936	2,197
Health Insurance (Active Employees)	1,834	2,136	2,332	2,540	2,558
Health Insurance (Retired Employees)	1,221	1,280	1,399	1,524	1,534
All Other	1,577	1,532	1,709	1,551	1,601
Debt Service	5,615	5,834	6,300	6,518	6,620
Capital Projects	18	5	5	5	5
Total Disbursements	84,417	86,861	90,770	94,208	97,513
Net Other Financing Sources/(Uses)	4,784	4,411	3,965	3,843	3,487
Net Operating Surplus/(Deficit)	(841)	6	(2,683)	(2,659)	(4,198)
Reconciliation to General Fund Gap:					
Designated Fund Balances	841	(356)	(567)	(615)	(600)
General Fund	926	(361)	(91)	(142)	(142)
Special Revenue Funds	(42)	113	(393)	(398)	(353)
Debt Service Funds	(43)	(108)	(83)	(75)	(105)
General Fund Budget Gap	0	(350)	(3,250)	(3,274)	(4,798)

¹ All other includes school aid deferral and local aid spending in a number of other programs, including parks and the environment, economic development, and public safety.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

ALL FUNDS RECEIPTS PROJECTIONS

The forecast of receipts includes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds. The spending projections summarize the annual growth in current-services spending for each of the State's major areas of spending (e.g., Medicaid, school aid, mental hygiene).

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

FY 2012 ALL FUNDS RECEIPTS OVERVIEW

TOTAL RECEIPTS (millions of dollars)				
	FY 2011	FY 2012	Annual \$	Annual %
	Results	Mid-Year	Change	Change
General Fund	54,447	56,866	2,419	4.4%
State Funds	83,981	87,816	3,835	4.6%
All Funds	133,321	130,834	(2,487)	-1.9%

All Funds receipts are projected to total \$130.8 billion for FY 2012, comprising tax receipts (\$64.5 billion), Federal grants (\$43.0 billion) and miscellaneous receipts (\$23.3 billion). The following table summarizes the actual receipts for FY 2011 and the updated projections for FY 2012 and FY 2013.

TOTAL RECEIPTS (millions of dollars)							
	FY 2011	FY 2012	Annual \$	Annual %	FY 2013	Annual \$	Annual %
	Results	Projected	Change	Change	Projected	Change	Change
General Fund	54,447	56,866	2,419	4.4%	56,635	(231)	-0.4%
Taxes	39,205	41,886	2,681	6.8%	42,202	316	0.8%
Miscellaneous Receipts	3,095	3,152	57	1.8%	3,024	(128)	-4.1%
Federal Grants	54	60	6	11.1%	60	0	0.0%
Transfers	12,093	11,768	(325)	-2.7%	11,349	(419)	-3.6%
State Funds	83,981	87,816	3,835	4.6%	89,259	1,443	1.6%
Taxes	60,870	64,503	3,633	6.0%	65,258	755	1.2%
Miscellaneous Receipts	22,994	23,168	174	0.8%	23,856	688	3.0%
Federal Grants	117	145	28	23.9%	145	0	0.0%
All Funds	133,321	130,834	(2,487)	-1.9%	128,779	(2,055)	-1.6%
Taxes	60,870	64,503	3,633	6.0%	65,258	755	1.2%
Miscellaneous Receipts	23,148	23,300	152	0.7%	23,987	687	2.9%
Federal Grants	49,303	43,031	(6,272)	-12.7%	39,534	(3,497)	-8.1%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

The total All Funds receipts estimate of \$130.8 billion represents a decrease of \$2.5 billion (1.9 percent) below FY 2011 results. This decline is comprised of a decrease in Federal grants of \$6.3 billion (12.7 percent) partially offset by an increase in taxes of \$3.6 billion (6.0 percent) and an increase in miscellaneous receipts of \$152 million (0.7 percent).

Total State Funds receipts are estimated at \$87.8 billion, an expected increase of \$3.8 billion (4.6 percent) from FY 2011 actual results. State Funds taxes are estimated to increase by \$3.6 billion (6.0 percent).

Total General Fund receipts, including transfers, are estimated at \$56.9 billion, an increase of \$2.4 billion (4.4 percent) from FY 2011 results. The annual increase in General Fund tax receipts is estimated at 6.8 percent. General Fund miscellaneous receipts are estimated to increase by 1.8 percent from FY 2011 results.

After controlling for the impact of Tax Law changes, base tax revenue is estimated to increase by 7.4 percent for FY 2012.

FY 2013 OVERVIEW

Total All Funds receipts are expected to reach nearly \$128.8 billion, a decrease of \$2.1 billion (1.6 percent) from FY 2012 estimated receipts. All Funds tax receipts are projected to increase by \$755 million (1.2 percent). All Funds Federal grants are expected to decrease by nearly \$3.5 billion (8.1 percent). All Funds miscellaneous receipts are projected to increase by \$687 million (2.9 percent) over the prior year.

Total State Funds receipts are projected to be nearly \$89.3 billion, an increase of over \$1.4 billion (1.6 percent) from FY 2012 estimated receipts.

Total General Fund receipts (including transfers from other funds) are projected to be \$56.6 billion, a decrease of \$231 million (0.4 percent) from FY 2012 estimated receipts. General Fund tax receipts are projected to increase by 0.8 percent from FY 2012 estimates, and General Fund miscellaneous receipts are projected to decrease by 4.1 percent.

After controlling for the impact of policy changes, base tax revenue is expected to grow by 6.1 percent for FY 2013.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

CHANGE FROM FIRST QUARTERLY UPDATE

CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2012				FY 2013			
	First Quarterly	Mid-Year Update	\$ Change	% Change	First Quarterly	Mid-Year Update	\$ Change	% Change
General Fund¹	45,395	45,098	(297)	-0.7%	45,986	45,286	(700)	-1.5%
Taxes	42,237	41,886	(351)	-0.8%	43,009	42,202	(807)	-1.9%
Miscellaneous Receipts	3,098	3,152	54	1.7%	2,917	3,024	107	3.7%
Federal Grants	60	60	0	0.0%	60	60	0	0.0%
State Funds	88,396	87,816	(580)	-0.7%	90,109	89,259	(850)	-0.9%
Taxes	64,976	64,503	(473)	-0.7%	66,293	65,258	(1,035)	-1.6%
Miscellaneous Receipts	23,275	23,168	(107)	-0.5%	23,671	23,856	185	0.8%
Federal Grants	145	145	0	0.0%	145	145	0	0.0%
All Funds	131,688	130,834	(854)	-0.6%	129,768	128,779	(989)	-0.8%
Taxes	64,976	64,503	(473)	-0.7%	66,293	65,258	(1,035)	-1.6%
Miscellaneous Receipts	23,407	23,300	(107)	-0.5%	23,802	23,987	185	0.8%
Federal Grants	43,305	43,031	(274)	-0.6%	39,673	39,534	(139)	-0.4%

¹ Excludes Transfers

All Funds receipts estimates for the current fiscal year have been revised downward from the First Quarterly Update. Current year All Funds tax receipt estimates have been lowered by \$473 million since the First Quarterly Update due to reductions in business, user and personal income taxes partially offset by increases in other taxes. Miscellaneous receipts and Federal grants have been revised down by \$107 million and \$274 million respectively.

General Fund receipts for fiscal year FY 2012 have been revised down by \$297 million from the First Quarterly Update, reflecting a \$351 million reduction in taxes partially offset by a \$54 million increase in miscellaneous receipts.

MULTI-YEAR RECEIPTS

TOTAL RECEIPTS (millions of dollars)							
	FY 2012 Projected	FY 2013 Projected	Annual \$ Change	FY 2014 Projected	Annual \$ Change	FY 2015 Projected	Annual \$ Change
General Fund	56,866	56,635	(231)	59,345	2,710	60,701	1,356
Taxes	41,886	42,202	316	44,658	2,456	45,886	1,228
State Funds	87,816	89,259	1,443	92,684	3,425	94,566	1,882
Taxes	64,503	65,258	755	68,884	3,626	71,178	2,294
All Funds	130,834	128,779	(2,055)	134,496	5,717	141,469	6,973
Taxes	64,503	65,258	755	68,884	3,626	71,178	2,294

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

The economic forecast calls for a continuation of the modest recovery in employment and wages. This projected increase in the economic base supports the outyear revenue forecast. Overall, receipts growth in the two fiscal years following. FY 2013 is expected to grow consistently with the projected moderate growth in both the U.S. and New York economies.

All Funds tax receipts in FY 2014 are projected to reach \$68.9 billion, an increase of \$3.6 billion (5.6 percent) from FY 2013. All Funds tax receipts in FY 2015 are expected to increase by \$2.3 billion (3.3 percent) over the prior year. General Fund tax receipts are projected to reach \$44.7 billion in FY 2014 and \$45.9 billion in FY 2015. (See “All Funds Receipts Projections” herein for a detailed explanation of All Funds receipts projections by source.)

REVENUE RISKS

- The current recovery could be more lethargic than estimated, leading to unforeseen revenue reductions.
- A significant percentage of personal income receipts are dependent on financial sector bonus payments made during the January-March period. If bonus payments fall below DOB’s forecast, PIT collections will be lower than expected.
- Recent volatility in the financial markets could result in lower than anticipated Tax Year 2011 capital gains realizations. In turn, this could result in a lower PIT settlement in April 2012.
- The FY 2012 Financial Plan contains nearly \$1 billion in savings generated as a result of 2010 tax credit deferral legislation. Same-year confirmation of these savings is unavailable given tax filing and processing delays and actual savings could fall below estimates.
- Bank and corporate franchise tax revenue streams are contingent on the timing and size of anticipated audit proceeds. Negotiations between the State and taxpayers are subject to unexpected delays, which may force audit proceeds into a subsequent fiscal year.

PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	FY 2011	FY 2012	Annual \$	Annual %	FY 2013	Annual \$	Annual %
	Actual	Estimated	Change	Change	Projected	Change	Change
General Fund¹	23,894	25,870	1,976	8.3%	25,619	(251)	-1.0%
Gross Collections	44,002	46,376	2,374	5.4%	46,612	236	0.5%
Refunds/Offsets	(7,793)	(7,492)	301	-3.9%	(8,023)	(531)	7.1%
STAR	(3,263)	(3,293)	(30)	0.9%	(3,322)	(29)	0.9%
RBTF	(9,052)	(9,721)	(669)	7.4%	(9,648)	73	-0.8%
State/All Funds	36,209	38,884	2,675	7.4%	38,589	(295)	-0.8%
Gross Collections	44,002	46,376	2,374	5.4%	46,612	236	0.5%
Refunds	(7,793)	(7,492)	301	-3.9%	(8,023)	(531)	7.1%

¹ Excludes Transfers.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

All Funds receipts for FY 2012 are projected to be \$38.9 billion, an increase of \$2.7 billion (7.4 percent) above FY 2011. This mainly reflects stronger than expected extension payments for tax year 2010 (\$1.2 billion), stronger growth in estimated payments for tax year 2011 (\$1 billion), and an artificially high FY 2011 refunds base caused by the shift of the \$500 million of FY 2010 refunds into FY 2011. Withholding, the largest component of PIT, is projected to be marginally lower (\$38 million) than FY 2011, reflecting a combination of significant projected weakness in financial sector bonuses and the expiration of the temporary rate increase at the end of December 2011. The spike in extension payments for tax year 2010 of 51 percent likely reflects catch-up payments for increased liability due to the deferral of some business related tax credits, and the one-time realization of capital gains caused by uncertainty surrounding the late extension of the lower Federal tax rates on capital gains and high-income taxpayers in December 2010.

Total refunds for FY 2012 are projected to decrease by \$301 million (3.9 percent). This decrease largely reflects the \$500 million refund shift as noted above. Adjusted for this shift, current refunds for tax year 2010 are projected to increase by \$101 million (2.3 percent). Compared to the previous year, prior year refunds are projected to decrease by \$102 million, due to an expected related decline in business credit claims for tax years prior to 2010.

The following table summarizes, by component, actual receipts for FY 2011 and forecast amounts through FY 2015.

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	(Actual)	(Estimated)	(Projected)	(Projected)	(Projected)
Receipts					
Withholding	31,240	31,202	31,801	34,185	36,183
Estimated Payments	9,735	11,975	11,478	11,695	12,325
Current Year	7,386	8,430	7,905	8,341	9,355
Prior Year*	2,349	3,545	3,574	3,354	2,970
Final Returns	1,964	2,110	2,199	2,154	2,151
Current Year	215	227	227	241	242
Prior Year*	1,749	1,883	1,972	1,913	1,909
Delinquent	1,063	1,089	1,134	1,211	1,313
Gross Receipts	<u>44,002</u>	<u>46,376</u>	<u>46,612</u>	<u>49,245</u>	<u>51,972</u>
Refunds					
Prior Year*	5,172	4,775	5,256	5,511	6,354
Previous Years	771	669	719	661	632
Current Year*	1,750	1,750	1,750	1,750	1,750
State-City Offset*	100	298	298	198	148
Total Refunds	<u>7,793</u>	<u>7,492</u>	<u>8,023</u>	<u>8,120</u>	<u>8,884</u>
Net Receipts	<u>36,209</u>	<u>38,884</u>	<u>38,589</u>	<u>41,125</u>	<u>43,088</u>
* These components, collectively, are known as the "settlement" on the prior year's tax liability.					

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

All Funds receipts for FY 2013 are projected to be \$38.6 billion, a decrease of \$295 million (0.8 percent) compared to the prior year. This reflects a modest increase in withholding (\$599 million, 1.9 percent) due to expiration of the temporary rate increase after 2011, an increase in final returns for tax year 2011 of \$89 million (4.7 percent), and an increase in assessments of \$45 million (4.6 percent). These increases are expected to be offset by an increase in refunds (negative to the plan) of \$531 million (7.1 percent) and lower pre-payments related to tax year 2012. As noted above, estimated taxes on tax year 2012 liabilities are expected to decrease by 6.2 percent (\$525 million), reflecting the expiration of the temporary rate increase.

Compared to the prior year, extension payments on tax year 2011 liabilities are expected to increase modestly by 0.8 percent (\$29 million), given the likely one-time nature of much of the tax year 2010 increase.

As noted above, total refunds are projected to increase by \$531 million or 7.1 percent from the prior year. This increase largely reflects a \$481 million (10.6 percent) increase in current year refund for tax year 2011, and a \$50 million (7.5 percent) increase in prior year refund for tax years prior to 2011.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund income tax receipts for FY 2012 of \$25.9 billion are expected to increase by \$2.0 billion (8.3 percent) from the prior year, mainly reflecting the increase in All Funds receipts noted above. The RBTF deposit is expected to increase by \$669 million (7.4 percent).

General Fund income tax receipts for FY 2013 of \$25.6 billion are projected to decrease by \$251 million (1.0 percent). The RBTF deposit is projected to decrease by \$73 million (0.8 percent).

PERSONAL INCOME TAX CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2012				FY 2013			
	First Quarterly	Mid-Year Update	\$ Change	% Change	First Quarterly	Mid-Year Update	\$ Change	% Change
General Fund¹	26,001	25,870	(131)	-0.5%	26,085	25,619	(466)	-1.8%
Gross Collections	46,901	46,376	(525)	-1.1%	47,417	46,612	(805)	-1.7%
Refunds/Offsets	(7,842)	(7,492)	350	-4.5%	(8,207)	(8,023)	184	-2.2%
STAR	(3,293)	(3,293)	0	0.0%	(3,322)	(3,322)	0	0.0%
RBTF	(9,765)	(9,721)	44	-0.5%	(9,803)	(9,648)	155	-1.6%
State/All Funds	39,059	38,884	(175)	-0.4%	39,210	38,589	(621)	-1.6%
Gross Collections	46,901	46,376	(525)	-1.1%	47,417	46,612	(805)	-1.7%
Refunds	(7,842)	(7,492)	350	-4.5%	(8,207)	(8,023)	184	-2.2%

¹ Excludes Transfers

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

Compared to the First Quarterly Update, FY 2012 All Funds income tax receipts are revised downward by \$175 million. The decrease primarily reflects lower withholdings (\$600 million) partially offset by lower-than-expected refunds (\$350 million) and an increase in estimated payments for tax year 2011 (\$100 million). The reduction in withholding is driven by a significantly weaker financial sector bonus forecast for the second half of FY 2012. Similarly, the higher forecast for estimated taxes appears to reflect recent stronger than expected estimated tax receipts for tax year 2011.

The lower projection for current year refunds for tax year 2010 of \$300 million is based on lower than expected refund requests through the first two quarters of the current fiscal year. This is likely the result of higher than expected tax year 2010 non-wage liability. A one-time realization of capital gains in late 2010 caused by uncertainty surrounding the potential extension of the lower Federal tax rates on capital gains and high-income taxpayers resulted in this liability jump.

Likewise, \$200 million in lower prior refunds almost entirely reflects lower refunds in the first two quarters of FY 2012 for tax years prior to 2010. Lower current and prior refunds are partially offset by a spike in state-city offset of \$150 million related to a change in PIT rates for New York City.

Compared to the First Quarterly Update, FY 2013 All Funds income tax receipts are revised downward by \$621 million. A \$555 million downward withholding revision, a \$150 million downward revision for estimated tax payments for tax year 2012, and a \$100 million downward revision in tax year 2011 extension payments are only partially offset by a \$184 million improvement in projected refunds.

PERSONAL INCOME TAX (millions of dollars)					
	FY 2013 Projected	FY 2014 Projected	Annual \$ Change	FY 2015 Projected	Annual \$ Change
General Fund¹	25,619	27,333	1,714	28,623	1,290
Gross Collections	46,612	49,245	2,633	51,972	2,727
Refunds/Offsets	(8,023)	(8,120)	(97)	(8,884)	(764)
STAR	(3,322)	(3,510)	(188)	(3,693)	(183)
RBTF	(9,648)	(10,282)	(634)	(10,772)	(490)
State/All Funds	38,589	41,125	2,536	43,088	1,963
Gross Collections	46,612	49,245	2,633	51,972	2,727
Refunds	(8,023)	(8,120)	(97)	(8,884)	(764)

¹ Excludes Transfers.

In general, income tax growth for FY 2014 and FY 2015 is governed by projections of growth in expected liability, which is dependent on growth in the major components of taxable income, the distribution of this income among different income brackets, and, to a minor extent, the impact of Tax Law changes. The major components of taxable income include: wages,

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

interest and dividend earnings; realized taxable capital gains; business net income, and income derived from partnerships and S corporations.

All Funds income tax receipts for FY 2014 of \$41.1 billion are projected to increase by \$2.5 billion (6.6 percent) from the prior year. Gross receipts are projected to increase 5.6 percent and reflect withholding that is projected to grow by 7.5 percent (\$2.4 billion) and estimated payments related to tax year 2013 that are projected to grow by 5.5 percent (\$436 million). Payments from extensions for tax year 2012 are projected to decrease by 6.2 percent (\$220 million) and likewise, payments from final returns are expected to decrease 3.0 percent (\$59 million) reflecting the expiration of the temporary rate increase in December 2011. Delinquencies are projected to increase \$77 million (6.8 percent) from the prior year, while total refunds are projected to increase by \$97 million (1.2 percent) from the prior year.

General Fund income tax receipts for FY 2014 of \$27.3 billion are projected to increase by \$1.7 billion, or 6.7 percent.

All Funds income tax receipts are projected to increase by nearly \$2 billion (4.8 percent) in FY 2015 to reach \$43.1 billion, while General Fund receipts are projected to be \$28.6 billion.

USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	FY 2011 Actual	FY 2012 Estimated	Annual \$ Change	Annual % Change	FY 2013 Projected	Annual \$ Change	Annual % Change
General Fund^{1,2}	8,795	9,056	261	3.0%	9,288	232	2.6%
Sales Tax	8,085	8,351	266	3.3%	8,552	201	2.4%
Cigarette and Tobacco Taxes	480	472	(8)	-1.7%	498	26	5.5%
Alcoholic Beverage Taxes	230	233	3	1.3%	238	5	2.1%
State/All Funds	14,206	14,603	397	2.8%	15,018	415	2.8%
Sales Tax	11,538	11,877	339	2.9%	12,172	295	2.5%
Cigarette and Tobacco Taxes	1,616	1,666	50	3.1%	1,752	86	5.2%
Motor Fuel	517	504	(13)	-2.5%	515	11	2.2%
Highway Use Tax	129	134	5	3.9%	147	13	9.7%
Alcoholic Beverage Taxes	230	233	3	1.3%	238	5	2.1%
Taxicab Surcharge	81	85	4	4.9%	85	0	0.0%
Auto Rental Tax	95	104	9	9.5%	109	5	4.8%
¹ Excludes Transfers.							
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.							

All Funds user taxes and fees receipts for FY 2012 are estimated to be \$14.6 billion, an increase of \$397 million (2.8 percent) from FY 2011. The underlying sales tax base (i.e., after adjusting for the impact of law changes) is estimated to increase by 5.4 percent. Overall, sales tax receipts are estimated to increase by \$339 million (2.9 percent) compared with FY 2011. The growth rate is suppressed by the partial return of the clothing and footwear exemption at \$55 per item. Non-sales tax user taxes and fees are estimated to increase by \$58 million from FY 2011 due primarily to an increase in cigarette and tobacco tax collections (\$50 million).

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

General Fund user taxes and fees receipts are expected to total nearly \$9.1 billion in FY 2012, an increase of \$261 million (3 percent) from FY 2011. Sales tax receipts are estimated to increase by \$266 million (3.3 percent), and cigarette and tobacco tax collections are estimated to decline by \$8 million (1.7 percent).

All Funds user taxes and fees receipts for FY 2013 are projected to be \$15 billion, an increase of \$415 million (2.8 percent) from FY 2012. The growth rate is suppressed by the return of the full exemption on clothing and shoes at \$110 per item. General Fund user taxes and fees receipts are projected to total nearly \$9.3 billion in FY 2013, an increase of \$232 million (2.6 percent) from FY 2012.

USER TAXES AND FEES CHANGE FROM FIRST QUARTERLY UPDATE FORECAST								
(millions of dollars)								
	FY 2012				FY 2013			
	First Quarterly	Mid-Year Update	\$ Change	% Change	First Quarterly	Mid-Year Update	\$ Change	% Change
General Fund^{1,2}	9,105	9,056	(49)	-0.5%	9,382	9,288	(95)	-1.0%
Sales Tax	8,380	8,351	(29)	-0.3%	8,627	8,552	(75)	-0.9%
Cigarette and Tobacco Taxes	492	472	(20)	-4.1%	518	498	(20)	-3.9%
Alcoholic Beverage Taxes	233	233	0	0.0%	238	238	0	0.0%
State/All Funds	14,673	14,603	(70)	-0.5%	15,129	15,018	(111)	-0.7%
Sales Tax	11,915	11,877	(38)	-0.3%	12,272	12,172	(100)	-0.8%
Cigarette and Tobacco Taxes	1,686	1,666	(20)	-1.2%	1,772	1,752	(20)	-1.1%
Motor Fuel	512	504	(8)	-1.6%	515	515	0	0.0%
Highway Use Tax	144	134	(10)	-6.9%	144	147	3	2.1%
Alcoholic Beverage Taxes	233	233	0	0.0%	238	238	0	0.0%
Taxicab Surcharge	81	85	4	4.9%	81	85	4	4.9%
Auto Rental Tax	102	104	2	2.0%	107	109	2	1.9%

¹ Excludes Transfers
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees for FY 2012 are estimated to be \$14.6 billion, a decline of \$70 million (0.5 percent) from the First Quarterly Update. The sales tax estimate was revised downward by \$38 million to reflect weaker than estimated FY 2012 second quarter results. In addition, the tobacco tax estimate was lowered by \$20 million to reflect a recent Tax Tribunal decision concerning the method for determining tobacco tax liability. In addition, motor fuel tax receipts were lowered by \$8 million and highway use tax receipts were lowered by \$10 million. General Fund user taxes and fees receipts are projected to total nearly \$9.1 billion in FY 2012, a decrease of \$49 million (0.5 percent) from the First Quarterly Update.

All Funds user taxes and fees for FY 2013 are projected to be nearly \$15 billion, a decrease of \$111 million (0.7 percent) from the First Quarterly Update. General Fund user taxes and fees receipts are projected to total nearly \$9.3 billion in FY 2013, a decrease of \$95 million (1.0 percent) from the First Quarterly Update. These reductions reflect the lower FY 2012 base and a reduction in consumption growth from the prior forecast.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

USER TAXES AND FEES (millions of dollars)					
	FY 2013 Projected	FY 2014 Projected	Annual \$ Change	FY 2015 Projected	Annual \$ Change
General Fund^{1,2}	9,288	9,681	393	10,099	418
Sales Tax	8,552	8,948	396	9,367	419
Cigarette and Tobacco Taxes	498	491	(7)	485	(6)
Alcoholic Beverage Taxes	238	242	4	247	5
State/All Funds	15,018	15,553	535	16,129	576
Sales Tax	12,172	12,730	558	13,319	589
Cigarette and Tobacco Taxes	1,752	1,723	(29)	1,695	(28)
Motor Fuel	515	517	2	520	3
Highway Use Tax	147	142	(5)	144	2
Alcoholic Beverage Taxes	238	242	4	247	5
Taxicab Surcharge	85	85	0	85	0
Auto Rental Tax	109	114	5	119	5
¹ Excludes Transfers.					
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.					

All Funds user taxes and fees are projected to grow to nearly \$15.6 billion in FY 2014, an increase of \$535 million (3.6 percent). General Fund receipts are projected to be nearly \$9.7 billion, an increase of \$393 million (4.2 percent). All Funds and General Funds receipts are projected to grow to \$16.1 billion and \$10.1 billion, respectively, in FY 2015.

BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	FY 2011 Actual	FY 2012 Estimated	Annual \$ Change	Annual % Change	FY 2013 Projected	Annual \$ Change	Annual % Change
General Fund	5,279	5,868	589	11.2%	6,208	340	5.8%
Corporate Franchise Tax	2,472	2,909	437	17.7%	3,006	97	3.3%
Corporation & Utilities Tax	616	639	23	3.7%	702	63	9.9%
Insurance Tax	1,217	1,298	81	6.7%	1,348	50	3.9%
Bank Tax	974	1,022	48	4.9%	1,152	130	12.7%
State/All Funds	7,279	7,895	616	8.5%	8,392	497	6.3%
Corporate Franchise Tax	2,846	3,298	452	15.9%	3,474	176	5.3%
Corporation & Utilities Tax	813	842	29	3.6%	905	63	7.5%
Insurance Tax	1,351	1,437	86	6.4%	1,489	52	3.6%
Bank Tax	1,179	1,229	50	4.2%	1,373	144	11.7%
Petroleum Business Tax	1,090	1,089	(1)	-0.1%	1,151	62	5.7%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

All Funds business tax receipts for FY 2012 are estimated at \$7.9 billion, an increase of \$616 million (8.5 percent) from the prior year. The estimates reflect base growth across all taxes from an improving economy, as well as an incremental increase of \$213 million (from \$100 million in FY 2011 to \$313 million in FY 2012) from the deferral of certain tax credits that was part of the FY 2011 Enacted Budget. Adjusted for this deferral, All Funds growth is 5.5 percent.

The annual increase in the corporate franchise tax of \$452 million (15.9 percent) is attributable to the incremental increase of \$213 million from the tax credit deferral, as well as continued growth in corporate profits. Corporate profits are expected to grow 7.4 percent in tax year 2011. Corporate franchise tax growth adjusted for the credit deferral is 8.4 percent for FY 2012.

The corporation and utilities tax is expected to grow \$29 million (3.6 percent) in FY 2012. Revenue from this tax has been affected by declining revenue from the telecommunications sector. Several changes have occurred in this sector over the last several years that have diminished the taxable base. These changes have been highlighted by consumer shifts to mobile and non-cable voice over Internet protocol telecommunications at the expense of landline telecommunications and the growing use of internet-based communications tools such as Twitter and Facebook. This has resulted in a steady decline in revenue from telecommunications companies over the last several years.

Growth in insurance tax receipts (\$86 million, 6.4 percent) is expected to return in FY 2012 after several years of decline related to the economic downturn. The bank tax is estimated to grow \$50 million (4.2 percent) in FY 2012 as the economy and credit markets continue to show improvement. Additionally, a large bank audit is expected to be received in the second half of FY 2012.

All Funds business tax receipts for FY 2013 of \$8.4 billion are projected to increase \$497 million (6.3 percent) from the prior year reflecting growth across all business taxes. Projected savings from the tax credit deferral is \$384 million in FY 2013.

General Fund business tax receipts for FY 2012 of \$5.9 billion are estimated to increase by \$589 million (11.2 percent) from FY 2011 results. Business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

General Fund business tax receipts for FY 2013 of \$6.2 billion are projected to increase \$340 million (5.8 percent) from the prior year.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS					
(millions of dollars)					
	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012
	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Projected</u>
Corporate Franchise Tax	3,998	3,220	2,511	2,846	3,298
Audit	1,189	905	698	810	781
Non-Audit	2,808	2,315	1,813	2,036	2,517
Corporation and Utilities Taxes	801	863	954	813	842
Audit	35	47	52	13	54
Non-Audit	767	816	902	800	788
Insurance Taxes	1,219	1,181	1,491	1,351	1,437
Audit	44	41	35	38	18
Non-Audit	1,175	1,140	1,456	1,313	1,419
Bank Taxes	1,058	1,233	1,399	1,179	1,229
Audit	104	455	290	239	257
Non-Audit	954	778	1,109	940	972
Petroleum Business Taxes	1,155	1,107	1,104	1,090	1,089
Audit	9	16	10	7	6
Non-Audit	1,146	1,091	1,094	1,083	1,083
Total Business Taxes	8,231	7,604	7,459	7,279	7,895
Audit	1,381	1,464	1,085	1,107	1,116
Non-Audit	6,850	6,140	6,374	6,172	6,779

BUSINESS TAXES CHANGE FROM FIRST QUARTERLY UPDATE FORECAST								
(millions of dollars)								
	FY 2012				FY 2013			
	First	Mid-Year	\$	%	First	Mid-Year	\$	%
	Quarterly	Update	Change	Change	Quarterly	Update	Change	Change
General Fund	6,101	5,868	(233)	-3.8%	6,456	6,208	(248)	-3.8%
Corporate Franchise Tax	3,047	2,909	(138)	-4.5%	3,178	3,006	(172)	-5.4%
Corporation & Utilities Tax	681	639	(42)	-6.2%	750	702	(48)	-6.4%
Insurance Tax	1,266	1,298	32	2.5%	1,318	1,348	30	2.3%
Bank Tax	1,107	1,022	(85)	-7.7%	1,210	1,152	(58)	-4.8%
State/All Funds	8,173	7,895	(278)	-3.4%	8,677	8,392	(286)	-3.3%
Corporate Franchise Tax	3,463	3,298	(165)	-4.8%	3,698	3,474	(224)	-6.1%
Corporation & Utilities Tax	892	842	(50)	-5.6%	964	905	(59)	-6.1%
Insurance Tax	1,395	1,437	42	3.0%	1,451	1,489	38	2.6%
Bank Tax	1,317	1,229	(88)	-6.7%	1,414	1,373	(41)	-2.9%
Petroleum Business Tax	1,106	1,089	(17)	-1.5%	1,151	1,151	0	0.0%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

Compared to the First Quarterly Update, FY 2012 All Funds business tax receipts are revised down by \$278 million, while General Fund receipts are revised down by \$233 million. This decrease is the result of weaker than expected calendar year 2011 payments in all taxes, except the insurance tax, and higher prior period adjustments in the corporate franchise tax (a negative to the Financial Plan).

Compared to the First Quarterly Update, FY 2013 All Funds business tax receipts are revised down by \$286 million and the General Fund is revised down by \$248 million. Calendar year 2012 corporate profit growth was revised down to 4.6 percent from 6.4 percent in the First Quarterly Update.

BUSINESS TAXES (millions of dollars)					
	FY 2013 Projected	FY 2014 Projected	Annual \$ Change	FY 2015 Projected	Annual \$ Change
General Fund	6,208	6,497	289	5,952	(545)
Corporate Franchise Tax	3,006	3,230	224	2,472	(758)
Corporation & Utilities Tax	702	731	29	763	32
Insurance Tax	1,348	1,411	63	1,459	48
Bank Tax	1,152	1,125	(27)	1,258	133
State/All Funds	8,392	8,719	327	8,249	(470)
Corporate Franchise Tax	3,474	3,751	277	3,030	(721)
Corporation & Utilities Tax	905	938	33	973	35
Insurance Tax	1,489	1,561	72	1,617	56
Bank Tax	1,373	1,314	(59)	1,469	155
Petroleum Business Tax	1,151	1,155	4	1,160	5

All Funds business tax receipts for FY 2014 and FY 2015 reflect trend growth that is determined in part by the expected levels of corporate profits, taxable insurance premiums, electric utility consumption prices, the consumption of telecommunications services and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to \$8.7 billion (3.9 percent) in FY 2014, and decline to \$8.2 billion (5.4 percent) in FY 2015. The decline in FY 2015 reflects the first year of the repayment of deferred tax credits to taxpayers. General Fund business tax receipts over this period are expected to increase to nearly \$6.5 billion (4.7 percent) in FY 2014 and decline to nearly \$6 billion (8.4 percent) in FY 2015.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

OTHER TAXES

OTHER TAXES (millions of dollars)							
	FY 2011 Actual	FY 2012 Estimated	Annual \$ Change	Annual % Change	FY 2013 Projected	Annual \$ Change	Annual % Change
General Fund¹	1,237	1,092	(145)	-11.7%	1,087	(5)	-0.5%
Estate Tax	1,218	1,075	(143)	-11.7%	1,070	(5)	-0.5%
Gift Tax	1	0	(1)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Pari-Mutuel Taxes	17	16	(1)	-5.9%	16	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
State/All Funds	1,817	1,712	(105)	-5.8%	1,777	65	3.8%
Estate Tax	1,219	1,075	(144)	-11.8%	1,070	(5)	-0.5%
Gift Tax	1	0	(1)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Real Estate Transfer Tax	579	620	41	7.1%	690	70	11.3%
Pari-Mutuel Taxes	17	16	(1)	-5.9%	16	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

¹ Excludes Transfers.

All Funds other taxes receipts for FY 2012 are estimated to be \$1.7 billion, a decrease of \$104 million (5.7 percent) from FY 2011. The decline in the estate tax (11.7 percent) is partially offset by an increase in the real estate transfer tax (7.1 percent). This reflects a return to more normal levels of estate tax receipts along with improved conditions in the real estate and credit markets.

General Fund other taxes receipts are expected to total nearly \$1.1 billion in FY 2012, a decrease of \$145 million (11.7 percent) from FY 2011. This is almost entirely attributable to the decline in the estate tax noted above.

All Funds other taxes receipts for FY 2013 are projected to be nearly \$1.8 billion, an increase of \$65 million (3.8 percent) from FY 2012, reflecting strong growth in the real estate transfer tax of \$70 million (11.3 percent) partially offset by a decrease of \$5 million (0.5 percent) in estate tax receipts. The estate tax decline is a combination of a projected increase in household net worth offset by the estimated impact of the increased Federal gift tax exemption on taxable estates.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

OTHER TAXES CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2012				FY 2013			
	First Quarterly	Mid-Year Update	\$ Change	% Change	First Quarterly	Mid-Year Update	\$ Change	% Change
General Fund¹	1,030	1,092	62	6.0%	1,085	1,087	2	0.2%
Estate Tax	1,015	1,075	60	5.9%	1,070	1,070	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	14	16	2	14.3%	14	16	2	14.3%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
State/All Funds	1,650	1,712	62	3.8%	1,775	1,777	2	0.1%
Estate Tax	1,015	1,075	60	5.9%	1,070	1,070	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	620	620	0	0.0%	690	690	0	0.0%
Pari-Mutuel Taxes	14	16	2	14.3%	14	16	2	14.3%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

¹ Excludes Transfers.

All Funds other taxes for FY 2012 have been revised upward by \$62 million (3.8 percent) from the First Quarterly Update estimate, primarily due to stronger than expected estate tax receipts for the first two quarters of the fiscal year. All Funds other taxes receipts for FY 2013 have been revised upward by \$2 million from the First Quarterly Update.

General Fund other taxes receipts for FY 2012 have been revised upwards by \$62 million from the First Quarterly Update primarily due to revision in the estate tax. General Fund other taxes for FY 2013 have been revised upward by \$2 million reflecting increases in pari-mutuel taxes compared to the First Quarterly Update.

OTHER TAXES (millions of dollars)					
	FY 2013 Projected	FY 2014 Projected	Annual \$ Change	FY 2015 Projected	Annual \$ Change
General Fund¹	1,087	1,147	60	1,212	65
Estate Tax	1,070	1,130	60	1,195	65
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	16	0	16	0
All Other Taxes	1	1	0	1	0
State/All Funds	1,777	1,917	140	2,052	135
Estate Tax	1,070	1,130	60	1,195	65
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	690	770	80	840	70
Pari-Mutuel Taxes	16	16	0	16	0
All Other Taxes	1	1	0	1	0

¹ Excludes Transfers.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

The FY 2014 All Funds receipts projection for other taxes is \$1.9 billion, up \$140 million (7.9 percent) from FY 2013 receipts. Modest growth in the estate tax is projected to follow expected increases in household net worth. Receipts from the real estate transfer tax are projected to increase significantly, reflecting the continuation of the expected improvement in residential and commercial real estate markets.

The FY 2015 All Funds receipts projection for other taxes of nearly \$2.1 billion is up \$135 million (7 percent) from FY 2014 receipts. The forecast reflects continued projected increases in household net worth and the value of real estate transfers.

DISBURSEMENTS

General Fund disbursements in FY 2012 are estimated to total \$56.8 billion, an increase of \$1.4 billion (2.5 percent) over preliminary FY 2011 results. State Operating Funds disbursements for FY 2012 are estimated to total \$86.9 billion, an increase of \$2.4 billion (2.9 percent) over preliminary FY 2011 results.

The multi-year disbursement projections take into account agency staffing levels, program caseloads, formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results over time.

Medicaid, education, pension costs, employee and retiree health benefits, social services programs and debt service are significant drivers of spending growth over the Plan period. The projections reflect spending at the target growth rates for Medicaid and School Aid, and include a preliminary estimate of the effect of national health care reform on State health care costs. The growth in spending projections reflect the return to a lower Federal matching rate for Medicaid expenditures after June 30, 2011, which increased the share of Medicaid costs that must be financed by State resources, and the loss of temporary Federal aid for education.

LOCAL ASSISTANCE GRANTS

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. State-funded local assistance spending is estimated at \$57.6 billion in FY 2012 and accounts for over 65 percent of total State Operating Funds spending. Education and health care spending account for three-quarters of local assistance spending.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

EDUCATION

SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 676 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses. This funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 — June 30)

The FY 2012 budget provides \$19.6 billion in School Aid for the FY 2012 school year, which results in an annual State aid reduction of nearly \$1.3 billion, or 6.0 percent. Total school spending is primarily financed through a combination of State and local funding and thus, the reduction in State aid represents 2.4 percent of total general fund operating expenditures projected to be made by school districts statewide in the current (FY 2011) school year. Without consideration of Federal Education Jobs Fund allocations made available to school districts in FY 2011, the year-to-year reduction in School Aid is \$675 million or 3.3 percent. This amount represents 1.3 percent of total expenditures by school districts.

The budget also includes a two-year appropriation and makes statutory changes to fix future School Aid increases to the rate of growth in New York state personal income. This allowable growth includes spending for new competitive grant programs to reward school districts that demonstrate student performance improvements or that undertake long-term structural changes to reduce costs and improve efficiency. Allowable growth also includes increases in expense-based aid programs (e.g., Building Aid, Transportation Aid) under existing statutory provisions. Any remaining amount of allowable growth can be allocated pursuant to a chapter of law for purposes including, but not limited to, additional spending for competitive grants, increases in Foundation Aid or restoration of the Gap Elimination Adjustment.

Under this growth cap, School Aid is projected to increase by an additional \$805 million in FY 2013, and \$879 million in FY 2014. School Aid is projected to reach an annual total of nearly \$22.2 billion in FY 2015.

FOUR YEAR SCHOOL AID PROJECTION - SCHOOL YEAR BASIS (millions of dollars)									
	<u>SY 2011</u>	<u>SY 2012</u>	<u>Annual \$ Change</u>	<u>SY 2013</u>	<u>Annual \$ Change</u>	<u>SY 2014</u>	<u>Annual \$ Change</u>	<u>SY 2015</u>	<u>Annual \$ Change</u>
Total School Aid	\$20,924	\$19,641	(\$1,283)	\$20,446	\$805	\$21,325	\$879	\$22,156	\$831
Percent Change			-6.1%		4.1%		4.3%		3.9%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

State Fiscal Year

The State finances School Aid from General Fund revenues and from Lottery Fund receipts, including video lottery terminals (VLTs), which are accounted for and disbursed from a dedicated revenue account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels for School Aid on a State fiscal year basis. The total for FY 2011 is restated to exclude a \$2.06 billion aid payment that was deferred from FY 2010.

SCHOOL AID - FISCAL YEAR BASIS (ADJUSTED)									
STATE OPERATING FUNDS									
(millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Total School Aid (Fiscal Year Basis)	19,788	19,686	-1%	20,250	3%	21,105	4%	21,955	4%
General Fund Local Assistance ¹	16,645	16,802	1%	17,197	2%	17,983	5%	18,813	5%
Core Lottery Aid	2,108	2,200	4%	2,217	1%	2,224	0%	2,234	0%
VLT Lottery Aid	912	684	-25%	836	22%	898	7%	908	1%
General Fund Lottery Aid Guarantee	123	0	-100%	0	0%	0	0%	0	0%

¹ General Fund spending in FY 2011 is adjusted to exclude a \$2.06B school aid payment deferred from FY 2010.

State spending for School Aid is projected to total \$19.7 billion in FY 2012. In future years, receipts available to finance School Aid from lottery sales are expected to increase nominally. Increasing receipts from VLTs in FY 2013 and FY 2014 reflect the opening of a VLT facility at Aqueduct Racetrack in October 2011. In addition to State aid, school districts receive billions of dollars in Federal categorical aid.

SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers. The three components of STAR and their approximate shares in FY 2012 are: the basic school property tax exemption for homeowners with income under \$500,000 (59 percent), the enhanced school property tax exemption for senior citizen homeowners with income under \$79,050 (24 percent), and a flat refundable credit and rate reduction for New York City resident personal income taxpayers (17 percent).

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

SCHOOL TAX RELIEF (STAR)									
STATE OPERATING FUNDS SPENDING PROJECTIONS									
(millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
STAR	3,234	3,293	2%	3,322	1%	3,510	6%	3,693	5%
Basic Exemption	1,875	1,933	3%	1,937	0%	2,046	6%	2,162	6%
Enhanced (Seniors)	760	790	4%	792	0%	836	6%	883	6%
New York City PIT	599	570	-5%	593	4%	628	6%	648	3%

The STAR program exempts the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$60,100 exemption. Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues.

The budget limits the overall annual increase in a qualifying homeowner's STAR exemption benefit to 2 percent. The multi-year Financial Plan also reflects annual savings from the implementation of an income limitation on STAR benefits, which excludes all homeowners who earn more than \$500,000 a year from receiving the STAR property tax exemption, and reduces the benefit for New York City resident personal income taxpayers with annual income over \$500,000.

OTHER EDUCATION AID

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; prekindergarten through grade 12 education programs; cultural education; higher and professional education programs; and adult career and continuing education services.

Major programs address specialized student needs or reimburse school districts or other education providers for education-related services, including the school breakfast and lunch programs, non-public school aid, and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 48 professions.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

OTHER EDUCATION STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Total Other Education Aid	1,474	1,742	18%	1,906	9%	1,988	4%	2,058	4%
Special Education	924	1,197	30%	1,373	15%	1,456	6%	1,529	5%
Preschool Special Education	939	870	-7%	937	8%	995	6%	1,056	6%
ARRA Other Government Services Funds	(327)	0	-100%	0	0%	0	0%	0	0%
Summer Special Education Programs	208	292	40%	322	10%	343	7%	352	3%
Schools for Blind and Deaf Children	104	35	-66%	114	226%	118	4%	121	3%
All Other Education	550	545	-1%	533	-2%	532	0%	529	-1%
Higher Education Programs	100	86	-14%	86	0%	86	0%	86	0%
Non-Public School Aid	112	107	-4%	104	-3%	104	0%	107	3%
Cultural Education Programs	92	93	1%	93	0%	93	0%	93	0%
Vocational Rehabilitation	91	82	-10%	82	0%	82	0%	82	0%
School Nutrition	35	36	3%	37	3%	37	0%	38	3%
Other Education Programs	120	141	18%	131	-7%	130	-1%	123	-5%

Spending for special education is expected to increase as program costs and enrollment rise. Other education spending is affected by the phase-out of Federal American Recovery and Reinvestment Act of 2009 (ARRA) Other Government Services Funds. In FY 2012, school districts will finance the costs associated with schools for blind, deaf or orthopedically impaired children in the first instance and will be partially reimbursed by the State following State fiscal year, which results in a significant annual increase in FY 2013 spending.

HIGHER EDUCATION

Local assistance for higher education spending includes funding for the City University of New York (CUNY), SUNY and the Higher Education Services Corporation (HESC). The State provides assistance for CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses.

The State also provides a sizeable benefit to SUNY and CUNY through the debt service it pays on bond-financed capital projects at the universities. This is not reflected in the annual spending totals for the universities. State debt service payments for higher education are expected to total over \$1 billion in FY 2012.

HESC administers the Tuition Assistance Program (TAP) program that provides awards to income-eligible students, and the New York Higher Education Loan Program (NYHELPS). It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

HIGHER EDUCATION STATE OPERATING FUNDS LOCAL ASSISTANCE SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Total Higher Education	2,470	2,585	5%	2,691	4%	2,752	2%	2,814	2%
City University	1,183	1,201	2%	1,280	7%	1,342	5%	1,405	5%
Operating Aid to NYC (Senior Colleges) ¹	1,028	1,022	-1%	1,100	8%	1,163	6%	1,226	5%
ARRA Fiscal Stabilization	(32)	0	-100%	0	0%	0	0%	0	0%
Community College Aid	187	178	-5%	179	1%	179	0%	179	0%
Community Projects	0	1	0%	1	0%	0	-100%	0	#DIV/0!
Higher Education Services	814	906	11%	967	7%	966	0%	965	0%
Tuition Assistance Program	801	831	4%	911	10%	910	0%	909	0%
ARRA Fiscal Stabilization	(50)	0	-100%	0	0%	0	0%	0	0%
Aid for Part Time Study	11	12	9%	12	0%	12	0%	12	0%
Scholarships/Awards	29	47	62%	44	-6%	44	0%	44	0%
Other	23	16	-30%	0	-100%	0	0%	0	0%
State University	473	478	1%	444	-7%	444	0%	444	0%
Community College Aid	451	441	-2%	439	0%	439	0%	439	0%
ARRA Fiscal Stabilization	(83)	0	-100%	0	0%	0	0%	0	0%
Hospital Subsidy ²	96	32	-67%	0	-100%	0	0%	0	0%
Other	9	5	-44%	5	0%	5	0%	5	0%

¹ State support for SUNY 4-year institutions is funded through State operations rather than local assistance.

² Beginning in academic year 2011-12, the SUNY hospital subsidy will be funded as a transfer from General Fund State operations rather than local assistance.

Growth in spending for higher education over the plan period largely reflects aid to New York City for reimbursement of CUNY senior college operating expenses associated with the rising contribution rates for fringe benefits. Spending growth for tuition assistance reflects the impact of upward trends in student enrollment at institutions of higher education.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. DOH works with the local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. The Medicaid program finances inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, family health plus (FHP), and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services). The State share of Medicaid spending is budgeted and expended principally through DOH, but State share Medicaid spending also appears in the mental hygiene agencies, child welfare programs, and School Aid. Medicaid spending is reported separately in the Financial Plan tables for each of the agencies.

In addition, health care-related spending appears in State Operations and GSCs for purposes such as health insurance premiums for State employees and retirees, services delivered to inmates, and services provided in State-operated facilities.

MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York's Medicaid spending is projected to total approximately \$53.3 billion in FY 2012, including the Federal and local contribution.²

The Financial Plan projections assume that spending growth is fixed at 4 percent annually for DOH State Medicaid spending beginning annually in State FY 2013. This reflects the target growth rate for Medicaid spending planned in FY 2012 Enacted Budget, which is the ten-year average change in the medical component of the CPI. Statutory changes adopted with the budget grant the Executive certain statutory powers to hold Medicaid spending to this rate. This statutory authority expires after two years; however, the cap remains in place and the Financial Plan assumes that statutory authority will be extended in subsequent years.

² The local contribution to the Medicaid program is not included in the State's Financial Plan. Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level for counties. In accordance with these statutory indexing provisions, local fiscal year 2011 Medicaid payments by local governments will be held to approximately 3.0 percent over local fiscal year 2010 levels. County and New York City savings from these two local fiscal relief initiatives are expected to total approximately \$1.5 billion during State FY 2012.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹					
(millions of dollars)					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Department of Health:					
State Share Without FMAP	15,863	15,633	15,640	16,531	17,192
Enhanced FMAP	(3,948)	(353)	254	0	0
DOH State Share With FMAP	11,915	15,280	15,894	16,531	17,192
Annual \$ Change - DOH Only		3,365	614	637	661
Annual % Change - DOH Only		28%	4%	4%	4%
Mental Hygiene	5,677	5,671	5,894	6,213	6,486
Education	29	0	0	0	0
Foster Care	69	111	124	135	141
State Operations - Contractual Expenses ²	23	46	46	46	46
State Share Total (All Agencies)	17,713	21,108	21,958	22,925	23,865
Annual \$ Change - Total State Share		3,395	850	967	940
Annual % Change - Total State Share		19%	4%	4%	4%
¹ To conform the Financial Plan classification of State Operating Funds spending to the classification followed by the State Comptroller, approximately \$3 billion in Medicaid spending supported by a transfer from Federal Funds to the State Mental Hygiene Patient Income Account is now classified as State spending.					
² Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.					

DOH Medicaid growth over the plan period is affected by estimates of increasing Medicaid enrollment, rising costs of provider health care services (particularly in managed care), and higher levels of utilization, as well as the expiration of enhanced levels of Federal aid.³ The number of Medicaid recipients, including FHP, is expected to exceed 6.0 million at the end of FY 2015, an increase of 24.4 percent from the FY 2011 caseload of 4.8 million.

The State share of DOH Medicaid spending is funded from the General Fund, HCRA, provider assessment revenue, and indigent care revenue. The chart below provides information on the financing sources for State Medicaid spending.

³ In August 2010, the U.S. Congress approved a six-month extension of the enhanced FMAP benefit through June 30, 2011. Under enhanced FMAP (which now covers the period from October 2008 through June 30, 2011), the base Federal match rate increases from 50 percent to approximately 57 percent during the period April through June 2011, which results in a concomitant decrease in the State and local share.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

MAJOR SOURCES OF ANNUAL CHANGE IN MEDICAID (DOH ONLY) -- LOCAL ASSISTANCE								
(millions of dollars)								
	FY 2012	FY 2013	Annual \$ Change	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
State Operating Funds (Before FMAP) ¹	15,633	15,640	7	0%	16,531	6%	17,192	4%
Enhanced FMAP -- State Share ²	(353)	254	607	-172%	0	-100%	0	0%
State Operating Funds (After FMAP)	15,280	15,894	614	4%	16,531	4%	17,192	4%
Total General Fund	10,265	10,456	191	2%	10,946	5%	11,458	5%
Other State Funds Support	5,015	5,438	423	8%	5,585	3%	5,734	3%
HCRA Financing	3,354	3,815	461	14%	3,970	4%	4,119	4%
Provider Assessment Revenue	869	831	(38)	-4%	823	-1%	823	0%
Indigent Care Revenue	792	792	0	0%	792	0%	792	0%

¹ Does not include Medicaid spending in other State agencies, DOH State operations spending, or the local government share of total Medicaid program spending.

² Excludes benefits realized in other State agencies. Costs in FY 2013 reflect the reconciliation of the local share benefit for FY 2012 that will occur in FY 2013.

PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the EPIC Program that provides prescription drug insurance to low-income seniors, the child health plus (CHP) program that finances health insurance coverage for children of low-income families up to the age of 19, the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services, the Early Intervention (EI) Program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays, and other HCRA and State-supported programs.

The New York State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services, including, but not limited to, in-home services and nutrition assistance, which are provided through a network of county Area Aging Agencies and local providers.

Many public health programs, such as EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the section entitled "HCRA" below.

PUBLIC HEALTH									
STATE OPERATING FUNDS SPENDING PROJECTIONS									
(millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Public Health	1,898	2,010	6%	2,044	2%	2,248	10%	2,289	2%
Child Health Plus	341	320	-6%	344	8%	369	7%	395	7%
General Public Health Works	201	319	59%	322	1%	308	-4%	308	0%
EPIC	322	177	-45%	128	-28%	174	36%	182	5%
Early Intervention	230	167	-27%	164	-2%	164	0%	167	2%
HCRA Program Account	326	493	51%	511	4%	509	0%	511	0%
All Other	478	534	12%	575	8%	724	26%	726	0%
Aging	117	111	-5%	119	7%	123	3%	130	6%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

Year-to-year growth in the GPHW program reflects lower spending in FY 2011 due to delays in planned payments. A projected increase in enrollment in the CHP program and inflationary costs are expected to drive growth in the outyears of the plan. Growth in the GPHW and CHP programs is partly offset by a decline in spending for the EI program, which primarily reflects the impact of savings actions implemented in prior year enacted budgets.

EPIC spending is projected to decline through FY 2013, resulting from budgetary actions to provide EPIC coverage to Medicare Part D enrollees only when they are in the coverage gap. After FY 2013, spending is projected to increase slightly as a reflection of the rising costs of prescription medication.

HCRA

HCRA was established in 1996 to help finance a portion of State health care activities in various areas of the budget: Medicaid, Public Health, SOFA, and the Insurance Department. Extensions and modifications to HCRA have financed new health care programs, including FHP, and provided additional funding for the expansion of existing programs such as CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the Health Care Equity and Affordability Law for New Yorkers (HEAL NY) capital program. The FY 2012 Enacted Budget extends the HCRA authorization three years to March 31, 2014.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as potential future proceeds from insurance company conversions.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

HCRA spending partially finances Medicaid, EPIC, CHP, FHP, and Indigent Care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance; as well as funds Workforce Recruitment and Retention rate adjustments to health facilities; physician excess medical malpractice; and, HEAL NY funds for capital improvement to health care facilities.

HCRA FINANCIAL PLAN FY 2011 THROUGH FY 2016					
(millions of dollars)					
	<u>FY 2011</u> <u>Results</u>	<u>FY 2012</u> <u>Revised</u>	<u>FY 2013</u> <u>Projected</u>	<u>FY 2014</u> <u>Projected</u>	<u>FY 2015</u> <u>Projected</u>
Opening Balance	26	159	1	7	13
Total Receipts	<u>5,286</u>	<u>5,364</u>	<u>6,061</u>	<u>6,218</u>	<u>6,315</u>
Surcharges	2,743	2,692	3,064	3,171	3,264
Covered Lives Assessment	1,021	1,050	1,045	1,045	1,045
Cigarette Tax Revenue	1,136	1,194	1,254	1,232	1,210
Conversion Proceeds	0	0	250	300	300
Hospital Assessment (1 percent)	317	373	394	417	444
NYC Cigarette Tax Transfer/Other	69	55	54	53	52
Total Disbursements	<u>5,153</u>	<u>5,522</u>	<u>6,055</u>	<u>6,212</u>	<u>6,320</u>
Medicaid Assistance Account	<u>2,843</u>	<u>3,361</u>	<u>3,822</u>	<u>3,914</u>	<u>4,127</u>
<i>Medicaid Costs</i>	1,600	2,140	2,433	2,593	2,805
<i>Family Health Plus</i>	597	602	690	657	657
<i>Workforce Recruitment & Retention</i>	196	184	211	197	197
<i>All Other</i>	450	435	488	467	468
HCRA Program Account	326	493	511	509	511
Hospital Indigent Care	871	792	792	792	792
Elderly Pharmaceutical Insurance Coverage	195	110	128	174	182
Child Health Plus	348	327	352	377	403
Public Health Programs	111	120	120	120	120
All Other	459	319	330	326	185
Annual Operating Surplus/(Deficit)	133	(158)	6	6	(5)
Closing Balance	159	1	7	13	8

HCRA is expected to remain in balance over the multi-year projection period. Given the close relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid expenditures that HCRA finances. This reduces costs that otherwise would have been paid for by the General Fund. Conversely, any shortfall in HCRA is expected to be financed by the General Fund.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

MENTAL HYGIENE

The Department of Mental Hygiene is comprised of four independent agencies, OMH, OPWDD, OASAS, and the Developmental Disabilities Planning Council (DDPC) as well as one oversight agency, the Commission on Quality Care and Advocacy for Persons with Disabilities (CQCAPD). Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities, and their families; and persons with chemical dependence. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The cost of providing services and agency operations are funded by reimbursement from Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, with the remaining revenue deposited to the Patient Income Account, which supports State costs of providing services.

MENTAL HYGIENE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Total Mental Hygiene	3,578	3,599	1%	3,854	7%	4,168	8%	4,396	5%
People with Developmental Disabilities	2,176	2,158	-1%	2,271	5%	2,465	9%	2,586	5%
Residential Services	1,537	1,496	-3%	1,578	5%	1,716	9%	1,803	5%
Day Programs	555	581	5%	607	4%	655	8%	683	4%
Clinic	22	22	0%	23	5%	25	9%	27	8%
Other	62	59	-5%	63	7%	69	10%	73	6%
Mental Health	1,106	1,125	2%	1,248	11%	1,351	8%	1,441	7%
Adult Local Services	885	901	2%	999	11%	1,081	8%	1,153	7%
OMH Children Local Services	221	224	1%	249	11%	270	8%	288	7%
Alcohol and Substance Abuse	295	315	7%	334	6%	351	5%	368	5%
Outpatient/Methadone	142	151	6%	161	7%	169	5%	176	4%
Residential	96	103	7%	109	6%	114	5%	120	5%
Prevention	37	40	8%	42	5%	44	5%	46	5%
Crisis	11	11	0%	12	9%	13	8%	13	0%
Program Support	9	10	11%	10	0%	11	10%	13	18%
CQCAPD	1	1	0%	1	0%	1	0%	1	0%

Local assistance spending in mental hygiene accounts for approximately half of total mental hygiene State spending and is projected to grow by an average rate of 5.2 percent over the plan. This growth is attributable to increases in the projected State share of Medicaid costs and projected expansion of the various mental hygiene service systems, including: increases primarily associated with the OPWDD New York State - Creating Alternatives in Residential Environments and Services (NYS-CARES) program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the OMH pipeline, as well as funds for additional supported housing beds and associated support services for individuals leaving certain New York city adult homes, pursuant to a Federal district court order that is under a temporary stay; and several chemical dependence treatment and prevention initiatives in OASAS.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

SOCIAL SERVICES

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Temporary and Disability Assistance	1,202	1,412	17%	1,549	10%	1,599	3%	1,612	1%
SSI	722	740	2%	753	2%	766	2%	779	2%
Public Assistance Benefits	309	485	57%	622	28%	658	6%	658	0%
Welfare Initiatives	13	23	77%	7	-70%	7	0%	7	0%
All Other	158	164	4%	167	2%	168	1%	168	0%

The State share of OTDA spending is expected to grow primarily due to the loss of Federal TANF Contingency Funds, resulting in costs reverting back to State funding. The average public assistance caseload is projected to total 565,518 recipients in FY 2012, an increase of 2.6 percent from FY 2011 levels. Approximately 261,761 families are expected to receive benefits through the Family Assistance program, an increase of 1.3 percent from the current year. In the Safety Net program, an average of 123,419 families is expected to be helped in FY 2012, an increase of 3.3 percent. The caseload for single adults/childless couples supported through the Safety Net program is projected at 180,338, an increase of 3.9 percent.

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities.

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

CHILDREN AND FAMILY SERVICES STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Children and Family Services	1,667	1,506	-10%	1,680	12%	1,741	4%	1,844	6%
Child Welfare Service	490	330	-33%	463	40%	508	10%	556	9%
Foster Care Block Grant	433	436	1%	463	6%	492	6%	522	6%
Adoption	196	170	-13%	179	5%	188	5%	197	5%
Day Care	134	145	8%	143	-1%	139	-3%	139	0%
Youth Programs	113	137	21%	127	-7%	111	-13%	111	0%
Medicaid	69	111	61%	124	12%	135	9%	140	4%
C.S.E.	65	38	-42%	42	11%	46	10%	51	11%
Adult Protective/Domestic Violence	42	33	-21%	38	15%	43	13%	49	14%
All Other	125	106	-15%	101	-5%	79	-22%	79	0%

OCFS spending is projected to increase, driven primarily by expected growth in claims-based programs. Growth in Child Welfare Services and Adult Protective/Domestic Violence reflects anticipated growth in local claims and flat Federal funding. Growth in Foster Care Block Grant is attributable to the Human Services cost-of-living adjustment. Projected growth in Medicaid from FY 2011 to FY 2012 is primarily attributable to the annualization of costs related to the Bridges to Health Medicaid Waiver program.

TRANSPORTATION

In FY 2012, the State will provide \$4.2 billion in local assistance to support statewide mass transit systems. This funding, financed through the collection of dedicated taxes and fees, is provided to mass transit operators throughout the State to support operating costs. Due to the size and scope of its transit system, the Metropolitan Transportation Authority (MTA) receives the majority of the statewide mass transit operating aid. Additionally, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Spending from this fund is projected to grow modestly in FY 2013 and later years, commensurate with the forecasted growth in receipts.

TRANSPORTATION STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Transportation	4,254	4,225	-1%	4,325	2%	4,414	2%	4,508	2%
Mass Transit Operating Aid:	1,894	1,772	-6%	1,772	0%	1,772	0%	1,772	0%
Metro Mass Transit Aid	1,750	1,633	-7%	1,633	0%	1,633	0%	1,633	0%
Public Transit Aid	92	87	-5%	87	0%	87	0%	87	0%
18-B General Fund Aid	27	27	0%	27	0%	27	0%	27	0%
School Fare	25	25	0%	25	0%	25	0%	25	0%
Mobility Tax and MTA Aid Trust	1,662	1,744	5%	1,819	4%	1,908	5%	2,001	5%
Dedicated Mass Transit	653	662	1%	688	4%	689	0%	690	0%
AMTAP	43	45	5%	45	0%	45	0%	45	0%
All Other	2	2	0%	1	-50%	0	-100%	0	0%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments primarily includes the AIM program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams. Along with AIM, the State provides incentive grants to local governments to promote local efforts to increase efficiency and performance through consolidation or shared services. Other direct aid to local governments includes VLT impact aid, Small Government Assistance and Miscellaneous Financial Assistance.

LOCAL GOVERNMENT ASSISTANCE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
Local Government Assistance	775	758	-2%	793	5%	790	0%	791	0%
AIM:									
Big Four Cities	438	429	-2%	429	0%	429	0%	429	0%
Other Cities	222	218	-2%	218	0%	218	0%	218	0%
Towns and Villages	69	68	-2%	68	0%	68	0%	68	0%
Efficiency Incentives	10	6	-40%	42	600%	46	10%	48	4%
All Other Local Aid	36	37	3%	36	-3%	29	-19%	28	-3%

AGENCY OPERATIONS

Agency operating costs includes personal service, non-personal service costs and GSCs. Personal service includes salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. Non-personal service generally accounts for the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, telephone service and employee travel. GSCs account for the costs of fringe benefits (e.g., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. In addition, certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and not reflected in the State Operating Funds personal service or non-personal service totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (e.g., attorneys, nurses, accountants, engineers, social workers, and institution teachers); United University Professions (UUP), which represents faculty and non-teaching professional staff within the State University system; and the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), which represents security personnel (correction officers, safety and security officers).

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

Growth in State Operations spending over the multi-year Financial Plan is concentrated in agencies that operate large facilities, such as the State University, the Mental Hygiene agencies, Corrections and Community Supervision, and Children and Family Services. The main causes of growth include inflationary increases in operating costs expected for food, medical care and prescription drugs, and energy costs in State facilities.

Agency redesign savings over the Plan period are expected to be achieved through several means including, but not limited to, facility closures reflecting excess capacity conditions, operational efficiencies, and wage and benefit changes negotiated with the State's employee unions.

The SAGE Commission is charged with making recommendations to reduce the number of State agencies, authorities, and commissions by 20 percent over the next four years. The Financial Plan does not currently include specific savings from the SAGE Commission, but the Commission is expected to aid in achieving the aggressive savings targets for State agencies.

GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation, unemployment insurance, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies including the Judiciary and SUNY, directly pay all or a portion of their employees' fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include certain fixed costs such as State taxes paid to local governments for certain State-owned lands and payments related to lawsuits against the State and its public officers.

GENERAL STATE CHARGES									
STATE OPERATING FUNDS SPENDING PROJECTIONS									
(millions of dollars)									
	FY 2011	FY 2012	Annual % Change	FY 2013	Annual % Change	FY 2014	Annual % Change	FY 2015	Annual % Change
General State Charges	6,102	6,534	7%	7,050	8%	7,551	7%	7,890	-13%
Fringe Benefits	5,495	5,970	9%	6,289	5%	6,957	11%	7,246	4%
Health Insurance	3,055	3,416	12%	3,731	9%	4,064	9%	4,092	0%
Employee Health Insurance	1,834	2,136	16%	2,332	9%	2,540	9%	2,558	0%
Retiree Health Insurance	1,221	1,280	5%	1,399	9%	1,524	9%	1,534	0%
Pensions	1,470	1,586	8%	1,610	2%	1,936	20%	2,197	900%
Social Security	970	968	0%	948	-2%	957	1%	957	-150%
All Other Fringe	257	216	-16%	421	95%	257	-39%	302	-141%
Fixed Costs	350	348	-1%	340	-2%	337	-1%	342	-50%

FINANCIAL PLAN PROJECTIONS - FISCAL YEARS 2012 THROUGH 2015

GSCs are projected to grow at an average annual rate of 7 percent over the plan period. The growth is mainly due to anticipated cost increases in pensions and health insurance for active and retired State employees. The projections assume the amortization of pension costs. See “Other Matters Affecting the Enacted Budget Financial Plan — Pension Expenditures (Including Amortization)” herein.

DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., Empire State Development Corporation (ESDC), DASNY, and the New York State Thruway Authority (NYSTA), subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)				
	FY 2012 Enacted	FY 2012 Mid-Year	Change	Percent Change
General Fund	1,449	1,455	6	0.4%
Other State Support	4,406	4,379	(27)	-0.6%
State Operating Funds/All Funds	5,855	5,834	(21)	-0.4%

Total debt service is projected at \$5.8 billion in FY 2012, of which \$1.5 billion is paid from the General Fund through transfers and remaining from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State’s revenue bonds, including, but not limited to, PIT bonds, DHBTF bonds, and mental health facilities bonds.

YEAR-TO-DATE OPERATING RESULTS

Through the first six months of FY 2012, General Fund receipts, including transfers from other funds, totaled \$28.9 billion, or \$355 million below the forecast in the First Quarterly Update. Total taxes, including transfers from other funds after debt service, were \$313 million lower than projected, mainly due to lower collections in business taxes (due to the timing of audit recoveries and weaker than expected business conditions) and user taxes, which were partly offset by higher estate tax collections and PIT receipts. In comparison to the Enacted Budget forecast, General Fund receipts were \$333 million above estimated levels through September 2011.

General Fund disbursements, including transfers to other funds, totaled \$25.3 billion through September 2011, or \$328 million below the First Quarterly Update forecast. The favorable results appear to be due largely to the timing of disbursements, with several large payments originally anticipated in September occurring in October. After adjusting for these timing-related variances, disbursements to date appear to be generally consistent with the First Quarterly Update forecast through September 2011.

GENERAL FUND OPERATING RESULTS THROUGH SEPTEMBER 2011 (millions of dollars)					
	Projections		Actual Results	Favorable/ (Unfavorable) vs.	
	Enacted Budget	First Quarterly Update		Enacted Budget	First Quarterly Update
Opening Balance	1,376	1,376	1,376	0	0
Receipts	28,568	29,256	28,901	333	(355)
Personal Income Tax ¹	18,062	18,426	18,432	370	6
User Taxes and Fees ¹	5,926	5,893	5,842	(84)	(51)
Business Taxes	2,372	2,729	2,391	19	(338)
Other Taxes ¹	733	759	827	94	68
Non-Tax Revenue	1,475	1,449	1,409	(66)	(40)
Disbursements	25,752	25,657	25,329	423	328
Education	7,585	7,192	7,208	377	(16)
Health Care	5,422	5,688	5,472	(50)	216
Social Services	1,678	1,637	1,410	268	227
All Other Local	2,714	2,842	2,776	(62)	66
Personal Service	3,126	3,249	3,302	(176)	(53)
Non-Personal Service	966	840	840	126	0
General State Charges	1,717	1,741	1,753	(36)	(12)
Transfers To Other Funds	2,544	2,468	2,568	(24)	(100)
Change in Operations	2,816	3,599	3,572	(90)	(27)
Closing Balance	4,192	4,975	4,948	n/a	n/a

¹ Includes transfers from other funds after debt service.

YEAR-TO-DATE OPERATING RESULTS

Significant spending variances from the First Quarterly Update forecast include:

- **Health Care:** Reflects \$160 million in lower than expected Medicaid spending that DOB believes to be consistent with expected savings from the Medicaid Redesign Team (MRT) actions. Public health spending was below projections by \$56 million due to processing delays of reimbursements to county health departments under the General Public Health Works (GPHW) program and various other public health programs.
- **Social Services:** Spending fell short of projections largely in the area of Child Welfare payments. Based on updated information, DOB has lowered its annual estimate of Child Welfare spending in each fiscal year of the Financial Plan.
- **All Other Local:** Mainly includes of the timing of annual payments for the New York City death benefit for police officers and firefighters (\$32 million) and civil legal services to the indigent (\$15 million), as well as Aid and Incentive for Municipalities (AIM) payments to municipalities (\$11 million).
- **Personal Service:** Higher spending through September 2011 was primarily due to the timing of processing by DOH of an accounting offset (\$24 million) and seasonal fluctuations in staffing at the Department of Corrections (DOCs) which had not been fully incorporated into monthly projections (\$22 million).

GENERAL FUND OPERATING RESULTS YEAR OVER YEAR APRIL THROUGH SEPTEMBER (millions of dollars)				
	FY 2011 Results	FY 2012 Results	Increase/(Decrease)	
			\$	%
Opening Balance	2,302	1,376	(926)	
Receipts	25,519	28,901	3,382	13.3%
Personal Income Tax ¹	15,555	18,432	2,877	18.5%
User Taxes and Fees ¹	5,543	5,842	299	5.4%
Business Taxes	2,069	2,391	322	15.6%
Other Taxes ¹	829	827	(2)	-0.2%
Non-Tax Revenue	1,523	1,409	(114)	-7.5%
Disbursements	25,440	25,329	(111)	-0.4%
2010 School Aid Deferral	2,060	0	(2,060)	-100.0%
Education	7,233	7,208	(25)	-0.3%
Health Care	4,272	5,472	1,200	28.1%
Social Services	975	1,410	435	44.6%
All Other Local	2,600	2,776	176	6.8%
Personal Service	3,354	3,302	(52)	-1.6%
Non-Personal Service	928	840	(88)	-9.5%
General State Charges	1,395	1,753	358	25.7%
Transfers To Other Funds	2,623	2,568	(55)	-2.1%
Change in Operations	79	3,572	3,493	
Closing Balance	2,381	4,948	2,567	

¹ Includes transfers from other funds after debt service.

YEAR-TO-DATE OPERATING RESULTS

The closing balance in the General Fund at September 30, 2011 was \$2.6 billion higher than the closing balance on September 30, 2010. Growth in revenue collections and a decline in spending have improved the State's operating position through the first half of the current year as compared to the prior year.

Tax receipts through September 2011 were \$3.5 billion (14.6 percent) higher than the prior year, largely due to sustained positive wage growth, lower PIT refunds due to timing differences, continued corporate profits, and increased consumer spending. A large portion of the growth in PIT reflects payment related to 2010 tax liability.

Through September 2011, spending was \$111 million (-0.4 percent) lower than the same period last year. This decrease was due to the deferral of a school aid payment from FY 2010 to FY 2011, which increased FY 2011 spending through September 2010. Excluding the extra school aid payment, spending through September 2011 was \$1.9 billion above last year's level. The significant drivers of increased spending include the impact of the June 2011 expiration of the temporary enhanced Federal share of Medicaid costs that adds approximately \$1 billion annually to the State share of Medicaid costs, and the effect of strict spending controls that had been instituted by DOB to maintain positive cash balances in FY 2011, which occurred mainly in social services and health insurance payments to carriers for State employees and retirees (reflected in General State Charges). Agency operating spending has declined, consistent with expectations.

STATE OPERATING FUNDS

STATE OPERATING FUNDS RESULTS APRIL - SEPTEMBER 2011					
(millions of dollars)					
	Projections		Actual Results	Favorable/ (Unfavorable) vs.	
	Enacted Budget	First Quarterly Update		Enacted Budget	Quarterly Update
Opening Balance	3,970	3,970	3,969	(1)	(1)
Receipts	39,375	40,575	40,161	786	(414)
Taxes	30,636	31,432	31,046	410	(386)
Miscellaneous/Federal Receipts	8,739	9,143	9,115	376	(28)
Disbursements	39,219	39,293	38,912	307	381
Education	9,859	9,464	9,481	378	(17)
Health Care	8,202	8,591	8,404	(202)	187
Social Services	1,686	1,646	1,417	269	229
All Other Local	6,284	6,319	6,207	77	112
Personal Service	5,948	5,938	6,086	(138)	(148)
Non-Personal Service	2,399	2,423	2,419	(20)	4
General State Charges	2,577	2,595	2,613	(36)	(18)
Debt Service	2,264	2,314	2,282	(18)	32
Capital Projects	0	3	3	(3)	-
Other Financing Sources	2,424	2,413	2,495	71	82
Change in Operations	2,580	3,695	3,744	1,164	49
Closing Balance	6,550	7,665	7,713	n/a	n/a

YEAR-TO-DATE OPERATING RESULTS

State Operating Funds results for receipts and disbursements are generally consistent with the General Fund variances described earlier.

APRIL - SEPTEMBER STATE OPERATING FUNDS RESULTS YEAR OVER YEAR (millions of dollars)				
	FY 2011	FY 2012	Increase/(Decrease)	
	Results	Results	\$	%
Opening Balance	4,810	3,969	(841)	
Receipts	36,608	40,161	3,553	9.7%
Taxes	27,484	31,046	3,562	13.0%
Miscellaneous/Federal Receipts	9,124	9,115	(9)	-0.1%
Disbursements	38,367	38,912	545	1.4%
2010 School Aid Deferral	2,060	0	(2,060)	-100.0%
Education	9,579	9,482	(97)	-1.0%
Health Care	6,946	8,403	1,457	21.0%
Social Services	982	1,413	431	43.9%
All Other Local	5,882	6,211	329	5.6%
Personal Service	6,226	6,086	(140)	-2.2%
Non-Personal Service	2,290	2,419	129	5.6%
General State Charges	2,199	2,613	414	18.8%
Debt Service	2,190	2,282	92	4.2%
Capital Projects	13	3	(10)	-76.9%
Other Financing Sources	2,647	2,495	(152)	
Change in Operations	888	3,744	2,856	
Closing Balance	5,698	7,713	2,015	

The results in State Operating Funds tax receipts and spending mirror the results in the General Fund described above. In addition to the General Fund annual changes, annual changes in other State Funds is mainly due to higher local assistance spending for mental hygiene, transit aid payments, and health care programs; additional personal service declines in health and mental hygiene; and \$200 million in higher SUNY spending mainly for contractual services that more than offsets the overall decline in General Fund non-personal service spending.

ALL GOVERNMENTAL FUNDS

ALL GOVERNMENTAL FUNDS RESULTS THROUGH SEPTEMBER 2011 (millions of dollars)					
	Projections			Favorable/ (Unfavorable) vs.	
	Enacted Budget	First Quarterly Update	Actual Results	Enacted Budget	First Quarterly Update
Opening Balance	3,811	3,811	3,812	1	1
Receipts	64,641	65,218	65,207	566	(11)
Taxes	31,319	32,099	31,708	389	(391)
Miscellaneous Receipts	10,647	11,122	10,885	238	(237)
Federal Grants	22,675	21,997	22,614	(61)	617
Disbursements	62,108	61,791	62,047	61	(256)
State Operating Funds	39,219	39,293	38,913	306	380
Capital Projects Funds	3,570	3,796	3,693	(123)	103
Federal Operating Funds	19,319	18,702	19,441	(122)	(739)
Other Financing Sources	187	104	(18)	(205)	(122)
Change in Operations	2,720	3,531	3,142	422	(389)
Closing Balance	6,531	7,342	6,954	n/a	n/a

YEAR-TO-DATE OPERATING RESULTS

Federal Medicaid spending was significantly above projections (roughly \$700 million), mainly due to earlier than expected settlement of prior year claims for the Disproportionate Share (DSH) and Upper Payment Level (UPL) programs. Higher than projected receipts of Federal grants mirrors the increase in Federal Medicaid spending and almost entirely offsets lower tax collections and miscellaneous receipts.

APRIL - SEPTEMBER ALL GOVERNMENTAL FUNDS RESULTS YEAR OVER YEAR				
(millions of dollars)				
	FY 2011	FY 2012	<u>Increase/(Decrease)</u>	
	<u>Results</u>	<u>Results</u>	<u>\$</u>	<u>%</u>
Opening Balance	4,860	3,812	(1,048)	
Receipts	63,639	65,207	1,568	2.5%
Taxes	28,149	31,708	3,559	12.6%
Miscellaneous Receipts	10,968	10,885	(83)	-0.8%
Federal Grants	24,522	22,614	(1,908)	-7.8%
Disbursements	63,423	62,047	(1,376)	-2.2%
State Operating Funds	38,367	38,913	546	1.4%
Capital Projects Funds	3,611	3,693	82	2.3%
Federal Operating Funds	21,445	19,441	(2,004)	-9.3%
Other Financing Sources	(44)	(18)	26	
Change in Operations	172	3,142	2,970	
Closing Balance	5,032	6,954	1,922	

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund during the fiscal year and transfers from other funds from current revenues.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Accounts for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Accounts for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - Includes the payment of salaries and compensation for State employees.

Non-Personal Service - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

General State Charges - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

Capital Projects - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Bond Proceeds - Includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

Tax Stabilization Reserve Fund - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending and the balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The Enacted State Budget typically includes lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, each party may allocate their respective appropriations, by grant amount, to the intended recipient organizations.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Informal Designation of Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

For purposes of comparability, the impact of the reclassification on historical results is summarized in the following table.

STATE OPERATING FUNDS AS RESTATED (millions of dollars)			
	<u>Before</u> <u>Restatement</u>	<u>Reporting</u> <u>Adjustment¹</u>	<u>Restated</u>
2005-06	66,240	3,065	69,305
2006-07	73,476	3,031	76,507
2007-08	76,989	3,029	80,018
2008-09	78,166	3,459	81,625
2009-10	76,873	3,786	80,659
2010-11	80,491	3,926	84,417

¹DOB has reclassified certain special revenue accounts from Federal Operating Funds to State Operating Funds to be consistent with the methodology used by the Office of the State Comptroller.

NOTE 6 — ITEMS AFFECTING FY 2011 TO FY 2012 COMPARABILITY

School Aid Payment - The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of FY 2010 was paid in the first quarter of FY 2011, as authorized in statute. This was done to shift the FY 2010 budget shortfall into FY 2011.

American Recovery and Reinvestment Act of 2009 - On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Pension Amortization - The Financial Plan projections assume that the State will amortize pension costs above a percentage of payroll, as authorized by legislation enacted in 2010. The percentage of payroll above which costs may be amortized increases annually as follows: 9.5 percent in FY 2011; 10.5 percent in FY 2012; 11.5 percent in FY 2013; 12.5 percent in FY 2014; and 13.5 percent in FY 2015 for ERS; and 17.5 percent in FY 2011; 18.5 percent in FY 2012; 19.5 in FY 2013; 20.5 percent in FY 2014; and 21.5 percent in FY 2015 for PFRS. The amortization reduces the State's annual pension contribution in the short term, but results in long-term costs. The amounts amortized totaled \$249 million in FY 2011, and are expected to total \$575 million in FY 2012, \$782 million in FY 2013, \$912 billion in FY 2014, and \$989 billion in FY 2015.

Retroactive Labor Settlements - Several unions have not agreed to labor settlements for contract periods prior to FY 2012. In recent years, the State has included spending in the Financial Plan for potential settlements, which have not materialized. The Current Financial Plan costs sets aside a portion of the General Fund balance for this purpose. The amount of the reserve is calculated on the assumption that the unions that have not agreed to contracts will receive the same general salary increases as the unions with ratified contracts.

Mergers - Consistent with the FY 2012 Enacted Budget, State agency mergers have been reflected in the Financial Plan tables that follow. As such, actual spending for FY 2011 has been reflected in the former agencies, while projections for FY 2012 and forward reflect the impact of the agency merger. These mergers include:

- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision;
- Consumer Protection Board merged into Department of State; and
- Foundation for Science, Innovation & Technology merged into the Department of Economic Development

The Banking and Insurance Departments have been merged to create a new agency known as the Department of Financial Services. For reporting purposes, DOB assigned FY 2011 actual spending from these departments to the Department of Financial Services. Reductions in spending for the Department of Financial Services reflect the projected impact of cost control and efficiency actions contemplated by the merger of the Insurance and Banking departments.

NOTE 7 — OFF-BUDGET TRANSACTIONS

Represent certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a short-term STIP or cash from the General Fund.

NOTE 8 — GENERAL FUND/HCRA COMBINED GAP

The current HCRA authorization expires on March 31, 2014. HCRA is projected to remain balanced through FY 2015. However, any unaddressed shortfall would need to be financed by the General Fund.

NOTE 9 — METROPOLITAN TRANSPORTATION AUTHORITY (MTA) FINANCIAL ASSISTANCE FUND

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing both receipts and disbursements by an actual \$1.7 billion in FY 2011, growing to an estimated \$2.0 billion in FY 2015.

NOTE 10 — CHANGES TO THE MEDICAID PROGRAM

As of January 2006, the State pays for the entire non-Federal share of the Family Health Plus (FHP) program and any annual Medicaid increases for counties above a fixed level. In accordance with statutory indexing provisions, 2011 Medicaid payments by local governments will not be allowed to increase by more than approximately 3 percent over 2010 levels.

NOTE 11 — STATEWIDE CASH FLOW ADMINISTRATION

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

NOTE 12 — OUTSTANDING TEMPORARY LOANS SUMMARY

The total outstanding loan balance as of March 31, 2011 was \$1.7 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$857 million), activities that are financed initially by the State pending Federal receipt (\$344 million), State Special Revenue Funds (\$414 million), and Proprietary Funds (\$42 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

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**CASH FINANCIAL PLAN
GENERAL FUND
FY 2012 through FY 2015
(millions of dollars)**

	<u>FY 2012 Projected</u>	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	25,870	25,619	27,333	28,623
User Taxes and Fees	9,056	9,288	9,681	10,099
Business Taxes	5,868	6,208	6,497	5,952
Other Taxes	1,092	1,087	1,147	1,212
Miscellaneous Receipts	3,152	3,024	2,583	2,122
Federal Receipts	60	60	60	60
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,056	7,800	8,309	8,673
Sales Tax in Excess of LGAC Debt Service	2,394	2,450	2,592	2,724
Real Estate Taxes in Excess of CW/CA Debt Service	395	471	557	634
All Other Transfers	923	628	586	602
Total Receipts	<u><u>56,866</u></u>	<u><u>56,635</u></u>	<u><u>59,345</u></u>	<u><u>60,701</u></u>
Disbursements:				
Local Assistance Grants	38,721	39,955	41,665	43,352
Departmental Operations:				
Personal Service	5,713	5,945	6,052	6,220
Non-personal Service	1,749	2,114	1,969	2,090
General State Charges	4,704	5,093	5,456	5,623
Transfers to Other Funds:				
Debt Service	1,455	1,722	1,696	1,614
Capital Projects	778	1,126	1,323	1,419
State Share Medicaid	2,910	2,903	2,796	2,750
Other Purposes	825	936	1,520	2,289
Total Disbursements	<u><u>56,855</u></u>	<u><u>59,794</u></u>	<u><u>62,477</u></u>	<u><u>65,357</u></u>
Reserves:				
Community Projects Fund	(85)	(51)	0	0
Rainy Day Reserve Fund	100	0	0	0
Prior-Year Labor Agreements (2007-2011)	346	142	142	142
Increase (Decrease) in Reserves	<u><u>361</u></u>	<u><u>91</u></u>	<u><u>142</u></u>	<u><u>142</u></u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves				
	<u><u>(350)</u></u>	<u><u>(3,250)</u></u>	<u><u>(3,274)</u></u>	<u><u>(4,798)</u></u>

DOB is developing a Financial Management Plan that is expected to produce \$350 million in savings in the current year to maintain budget balance in the General Fund.

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>2,302</u>	<u>1,376</u>	<u>(926)</u>	<u>-40.2%</u>
Receipts:				
Taxes:				
Personal Income Tax	23,894	25,870	1,976	8.3%
User Taxes and Fees	8,795	9,056	261	3.0%
Business Taxes	5,279	5,868	589	11.2%
Other Taxes	1,237	1,092	(145)	-11.7%
Miscellaneous Receipts	3,095	3,152	57	1.8%
Federal Receipts	54	60	6	11.1%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	7,625	8,056	431	5.7%
Sales Tax in Excess of LGAC Debt Service	2,351	2,394	43	1.8%
Real Estate Taxes in Excess of CW/CA Debt Service	348	395	47	13.5%
All Other Transfers	1,769	923	(846)	-47.8%
Total Receipts	<u>54,447</u>	<u>56,866</u>	<u>2,419</u>	<u>4.4%</u>
Disbursements:				
Local Assistance Grants	37,206	38,721	1,515	4.1%
Departmental Operations:				
Personal Service	6,151	5,713	(438)	-7.1%
Non-Personal Service	1,822	1,749	(73)	-4.0%
General State Charges	4,187	4,704	517	12.3%
Transfers to Other Funds:				
Debt Service	1,737	1,455	(282)	-16.2%
Capital Projects	932	778	(154)	-16.5%
State Share Medicaid	2,497	2,910	413	16.5%
Other Purposes	841	825	(16)	-1.9%
Total Disbursements	<u>55,373</u>	<u>56,855</u>	<u>1,482</u>	<u>2.7%</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(926)</u>	<u>11</u>	<u>937</u>	<u>-101.2%</u>
Closing Fund Balance	<u>1,376</u>	<u>1,387</u>	<u>11</u>	<u>0.8%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,031	1,031	0	
Rainy Day Reserve Fund	175	275	100	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	136	51	(85)	
Reserved For				
Prior-Year Labor Agreements (2007-2011)	0	346	346	
Debt Management	13	13	0	

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2012
(millions of dollars)**

	<u>First Quarterly</u>	<u>Change</u>	<u>Mid-Year</u>
Opening fund balance	<u>1,376</u>	<u>0</u>	<u>1,376</u>
Receipts:			
Taxes:			
Personal Income Tax	26,001	(131)	25,870
User Taxes and Fees	9,105	(49)	9,056
Business Taxes	6,101	(233)	5,868
Other Taxes	1,030	62	1,092
Miscellaneous Receipts	3,098	54	3,152
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,096	(40)	8,056
Sales Tax in Excess of LGAC Debt Service	2,409	(15)	2,394
Real Estate Taxes in Excess of CW/CA Debt Service	395	0	395
All Other	998	(75)	923
Total Receipts	<u>57,293</u>	<u>(427)</u>	<u>56,866</u>
Disbursements:			
Local Assistance Grants	38,888	(167)	38,721
State Operations:			
Personal Service	5,560	153	5,713
Non-Personal Service	1,796	(47)	1,749
General State Charges	4,668	36	4,704
Transfers to Other Funds:			
Debt Service	1,449	6	1,455
Capital Projects	800	(22)	778
State Share Medicaid	3,032	(122)	2,910
Other Purposes	739	86	825
Total Disbursements	<u>56,932</u>	<u>(77)</u>	<u>56,855</u>
Reserves:			
Community Projects Fund	(85)	0	(85)
Rainy Day Reserve Fund	100	0	100
Prior-Year Labor Agreements (2007-2011)	346	0	346
Increase (Decrease) in Reserves	<u>361</u>	<u>0</u>	<u>361</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>0</u>	<u>(350)</u>	<u>(350)</u>

DOB is developing a Financial Management Plan that is expected to produce \$350 million in savings in the current year to maintain budget balance in the General Fund.

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2013
(millions of dollars)**

	<u>First Quarterly</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	26,085	(466)	25,619
User Taxes and Fees	9,383	(95)	9,288
Business Taxes	6,456	(248)	6,208
Other Taxes	1,085	2	1,087
Miscellaneous Receipts	2,917	107	3,024
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	7,923	(123)	7,800
Sales Tax in Excess of LGAC Debt Service	2,492	(42)	2,450
Real Estate Taxes in Excess of CW/CA Debt Service	469	2	471
All Other	772	(144)	628
Total Receipts	<u>57,642</u>	<u>(1,007)</u>	<u>56,635</u>
Disbursements:			
Local Assistance Grants	40,115	(160)	39,955
Departmental Operations:			
Personal Service	5,773	172	5,945
Non-Personal Service	2,178	(64)	2,114
General State Charges	5,126	(33)	5,093
Transfers to Other Funds:			
Debt Service	1,712	10	1,722
Capital Projects	1,168	(42)	1,126
State Share Medicaid	3,119	(216)	2,903
Other Purposes	739	197	936
Total Disbursements	<u>59,930</u>	<u>(136)</u>	<u>59,794</u>
Reserves:			
Community Projects Fund	(51)	0	(51)
Prior-Year Labor Agreements (2007-2011)	142	0	142
Increase (Decrease) in Reserves	<u>91</u>	<u>0</u>	<u>91</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(2,379)</u>	<u>(871)</u>	<u>(3,250)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2014
(millions of dollars)**

	<u>First Quarterly</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	27,569	(236)	27,333
User Taxes and Fees	9,723	(42)	9,681
Business Taxes	6,721	(224)	6,497
Other Taxes	1,145	2	1,147
Miscellaneous Receipts	2,496	87	2,583
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,374	(65)	8,309
Sales Tax in Excess of LGAC Debt Service	2,617	(25)	2,592
Real Estate Taxes in Excess of CW/CA Debt Service	556	1	557
All Other	615	(29)	586
Total Receipts	<u>59,876</u>	<u>(531)</u>	<u>59,345</u>
Disbursements:			
Local Assistance Grants	41,996	(331)	41,665
Departmental Operations:			
Personal Service	5,879	173	6,052
Non-Personal Service	2,036	(67)	1,969
General State Charges	5,499	(43)	5,456
Transfers to Other Funds:			
Debt Service	1,658	38	1,696
Capital Projects	1,361	(38)	1,323
State Share Medicaid	3,082	(286)	2,796
Other Purposes	1,059	461	1,520
Total Disbursements	<u>62,570</u>	<u>(93)</u>	<u>62,477</u>
Reserves:			
Community Projects Fund	0	0	0
Prior-Year Labor Agreements (2007-2011)	142	0	142
Increase (Decrease) in Reserves	<u>142</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(2,836)</u>	<u>(438)</u>	<u>(3,274)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

	<u>First Quarterly</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	28,698	(75)	28,623
User Taxes and Fees	10,082	17	10,099
Business Taxes	6,141	(189)	5,952
Other Taxes	1,210	2	1,212
Miscellaneous Receipts	2,066	56	2,122
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,707	(34)	8,673
Sales Tax in Excess of LGAC Debt Service	2,729	(5)	2,724
Real Estate Taxes in Excess of CW/CA Debt Service	634	0	634
All Other	610	(8)	602
Total Receipts	<u>60,937</u>	<u>(236)</u>	<u>60,701</u>
Disbursements:			
Local Assistance Grants	43,734	(382)	43,352
Departmental Operations:			
Personal Service	6,047	173	6,220
Non-Personal Service	2,163	(73)	2,090
General State Charges	5,660	(37)	5,623
Transfers to Other Funds:			
Debt Service	1,566	48	1,614
Capital Projects	1,456	(37)	1,419
State Share Medicaid	3,082	(332)	2,750
Other Purposes	1,692	597	2,289
Total Disbursements	<u>65,400</u>	<u>(43)</u>	<u>65,357</u>
Reserves:			
Community Projects Fund	0	0	0
Prior-Year Labor Agreements (2007-2011)	142	0	142
Increase (Decrease) in Reserves	<u>142</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(4,605)</u>	<u>(193)</u>	<u>(4,798)</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2012 THROUGH FY 2015
(millions of dollars)**

	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Taxes:				
Withholdings	31,202	31,801	34,185	36,183
Estimated Payments	11,975	11,478	11,695	12,325
Final Payments	2,110	2,199	2,154	2,151
Other Payments	1,089	1,134	1,211	1,313
Gross Collections	<u>46,376</u>	<u>46,612</u>	<u>49,245</u>	<u>51,972</u>
State/City Offset	(298)	(298)	(198)	(148)
Refunds	(7,194)	(7,725)	(7,922)	(8,736)
Reported Tax Collections	<u>38,884</u>	<u>38,589</u>	<u>41,125</u>	<u>43,088</u>
STAR (Dedicated Deposits)	(3,293)	(3,322)	(3,510)	(3,693)
RBTF (Dedicated Transfers)	(9,721)	(9,648)	(10,282)	(10,772)
Personal Income Tax	<u>25,870</u>	<u>25,619</u>	<u>27,333</u>	<u>28,623</u>
Sales and Use Tax	11,135	11,402	11,930	12,489
Cigarette and Tobacco Taxes	472	498	491	485
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	233	238	242	247
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	<u>11,840</u>	<u>12,138</u>	<u>12,663</u>	<u>13,221</u>
LGAC Sales Tax (Dedicated Transfers)	(2,784)	(2,850)	(2,982)	(3,122)
User Taxes and Fees	<u>9,056</u>	<u>9,288</u>	<u>9,681</u>	<u>10,099</u>
Corporation Franchise Tax	2,909	3,006	3,230	2,472
Corporation and Utilities Tax	639	702	731	763
Insurance Taxes	1,298	1,348	1,411	1,459
Bank Tax	1,022	1,152	1,125	1,258
Petroleum Business Tax	0	0	0	0
Business Taxes	<u>5,868</u>	<u>6,208</u>	<u>6,497</u>	<u>5,952</u>
Estate Tax	1,075	1,070	1,130	1,195
Real Estate Transfer Tax	620	690	770	840
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	16	16	16	16
Other Taxes	1	1	1	1
Gross Other Taxes	<u>1,712</u>	<u>1,777</u>	<u>1,917</u>	<u>2,052</u>
Real Estate Transfer Tax (Dedicated)	(620)	(690)	(770)	(840)
Other Taxes	<u>1,092</u>	<u>1,087</u>	<u>1,147</u>	<u>1,212</u>
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Taxes	<u>41,886</u>	<u>42,202</u>	<u>44,658</u>	<u>45,886</u>
Licenses, Fees, Etc.	565	616	552	538
Abandoned Property	755	735	670	655
Motor Vehicle Fees	132	109	36	36
ABC License Fee	49	51	50	50
Reimbursements	202	202	197	197
Investment Income	10	10	10	10
Other Transactions	1,439	1,301	1,068	636
Miscellaneous Receipts	<u>3,152</u>	<u>3,024</u>	<u>2,583</u>	<u>2,122</u>
Federal Grants	<u>60</u>	<u>60</u>	<u>60</u>	<u>60</u>
Total	<u>45,098</u>	<u>45,286</u>	<u>47,301</u>	<u>48,068</u>

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2011 and FY 2012
(millions of dollars)**

	<u>2010-2011</u> <u>Actuals</u>	<u>2011-2012</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Taxes:				
Withholdings	31,240	31,202	(38)	-0.1%
Estimated Payments	9,735	11,975	2,240	23.0%
Final Payments	1,964	2,110	146	7.4%
Other Payments	1,063	1,089	26	2.4%
Gross Collections	<u>44,002</u>	<u>46,376</u>	<u>2,374</u>	<u>5.4%</u>
State/City Offset	(100)	(298)	(198)	198.0%
Refunds	(7,693)	(7,194)	499	-6.5%
Reported Tax Collections	<u>36,209</u>	<u>38,884</u>	<u>2,675</u>	<u>7.4%</u>
STAR (Dedicated Deposits)	(3,263)	(3,293)	(30)	0.9%
RBTF (Dedicated Transfers)	(9,052)	(9,721)	(669)	7.4%
Personal Income Tax	<u>23,894</u>	<u>25,870</u>	<u>1,976</u>	<u>8.3%</u>
Sales and Use Tax	10,782	11,135	353	3.3%
Cigarette and Tobacco Taxes	480	472	(8)	-1.7%
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	230	233	3	1.3%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	0	0	0	--
Gross Utility Taxes and Fees	<u>11,492</u>	<u>11,840</u>	<u>348</u>	<u>3.0%</u>
LGAC Sales Tax (Dedicated Transfers)	(2,697)	(2,784)	(87)	3.2%
User Taxes and Fees	<u>8,795</u>	<u>9,056</u>	<u>261</u>	<u>3.0%</u>
Corporation Franchise Tax	2,472	2,909	437	17.7%
Corporation and Utilities Tax	616	639	23	3.7%
Insurance Taxes	1,217	1,298	81	6.7%
Bank Tax	974	1,022	48	4.9%
Petroleum Business Tax	0	0	0	--
Business Taxes	<u>5,279</u>	<u>5,868</u>	<u>589</u>	<u>11.2%</u>
Estate Tax	1,218	1,075	(143)	-11.7%
Real Estate Transfer Tax	579	620	41	7.1%
Gift Tax	1	0	(1)	-100.0%
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	16	(1)	-5.9%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	<u>1,816</u>	<u>1,712</u>	<u>(104)</u>	<u>-5.7%</u>
Real Estate Transfer Tax (Dedicated)	(579)	(620)	(41)	7.1%
Other Taxes	<u>1,237</u>	<u>1,092</u>	<u>(145)</u>	<u>-11.7%</u>
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
Total Taxes	<u>39,205</u>	<u>41,886</u>	<u>2,681</u>	<u>6.8%</u>
Licenses, Fees, Etc.	680	565	(115)	-16.9%
Abandoned Property	645	755	110	17.1%
Motor Vehicle Fees	34	132	98	288.2%
ABC License Fee	52	49	(3)	-5.8%
Reimbursements	270	202	(68)	-25.2%
Investment Income	6	10	4	66.7%
Other Transactions	1,408	1,439	31	2.2%
Miscellaneous Receipts	<u>3,095</u>	<u>3,152</u>	<u>57</u>	<u>1.8%</u>
Federal Grants	<u>54</u>	<u>60</u>	<u>6</u>	<u>11.1%</u>
Total	<u>42,354</u>	<u>45,098</u>	<u>2,744</u>	<u>6.5%</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Opening Fund Balance	<u>1,376</u>	<u>2,139</u>	<u>454</u>	<u>3,969</u>
Receipts:				
Taxes	41,886	8,273	13,006	63,165
Miscellaneous Receipts	3,152	15,050	949	19,151
Federal Receipts	60	1	79	140
Total Receipts	<u>45,098</u>	<u>23,324</u>	<u>14,034</u>	<u>82,456</u>
Disbursements:				
Local Assistance Grants	38,721	18,844	0	57,565
Departmental Operations:				
Personal Service	5,713	6,104	0	11,817
Non-Personal Service	1,749	3,295	62	5,106
General State Charges	4,704	1,830	0	6,534
Debt Service	0	0	5,834	5,834
Capital Projects	0	5	0	5
Total Disbursements	<u>50,887</u>	<u>30,078</u>	<u>5,896</u>	<u>86,861</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	11,768	7,177	6,380	25,325
Transfers to Other Funds	(5,968)	(536)	(14,410)	(20,914)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>5,800</u>	<u>6,641</u>	<u>(8,030)</u>	<u>4,411</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>11</u>	<u>(113)</u>	<u>108</u>	<u>6</u>
Closing Fund Balance	<u>1,387</u>	<u>2,026</u>	<u>562</u>	<u>3,975</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	42,202	8,590	13,069	63,861
Miscellaneous Receipts	3,024	16,101	996	20,121
Federal Receipts	60	1	79	140
Total Receipts	<u>45,286</u>	<u>24,692</u>	<u>14,144</u>	<u>84,122</u>
Disbursements:				
Local Assistance Grants	39,955	19,813	0	59,768
Departmental Operations:				
Personal Service	5,945	6,274	0	12,219
Non-Personal Service	2,114	3,252	62	5,428
General State Charges	5,093	1,957	0	7,050
Debt Service	0	0	6,300	6,300
Capital Projects	0	5	0	5
Total Disbursements	<u>53,107</u>	<u>31,301</u>	<u>6,362</u>	<u>90,770</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	11,349	7,084	6,392	24,825
Transfers to Other Funds	(6,687)	(82)	(14,091)	(20,860)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>4,662</u>	<u>7,002</u>	<u>(7,699)</u>	<u>3,965</u>
Designated General Fund Reserves:				
Reserve for Collective Bargaining	142	0	0	142
Reserve for Community Projects Fund	(51)	0	0	(51)
Net Designated General Fund Reserves	<u>91</u>	<u>0</u>	<u>0</u>	<u>91</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses				
	<u>(3,250)</u>	<u>393</u>	<u>83</u>	<u>(2,774)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	44,658	8,912	13,916	67,486
Miscellaneous Receipts	2,583	16,454	1,043	20,080
Federal Receipts	60	1	79	140
Total Receipts	<u>47,301</u>	<u>25,367</u>	<u>15,038</u>	<u>87,706</u>
Disbursements:				
Local Assistance Grants	41,665	20,611	0	62,276
Departmental Operations:				
Personal Service	6,052	6,416	0	12,468
Non-Personal Service	1,969	3,359	62	5,390
General State Charges	5,456	2,095	0	7,551
Debt Service	0	0	6,518	6,518
Capital Projects	0	5	0	5
Total Disbursements	<u>55,142</u>	<u>32,486</u>	<u>6,580</u>	<u>94,208</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,044	7,410	6,295	25,749
Transfers to Other Funds	(7,335)	107	(14,678)	(21,906)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>4,709</u>	<u>7,517</u>	<u>(8,383)</u>	<u>3,843</u>
Designated General Fund Reserves:				
Reserve for Collective Bargaining	142	0	0	142
Net Designated General Fund Reserves	<u>142</u>	<u>0</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses				
	<u>(3,274)</u>	<u>398</u>	<u>75</u>	<u>(2,801)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	45,886	9,268	14,616	69,770
Miscellaneous Receipts	2,122	16,732	1,064	19,918
Federal Receipts	60	1	79	140
Total Receipts	<u>48,068</u>	<u>26,001</u>	<u>15,759</u>	<u>89,828</u>
Disbursements:				
Local Assistance Grants	43,352	21,250	0	64,602
Departmental Operations:				
Personal Service	6,220	6,588	0	12,808
Non-Personal Service	2,090	3,436	62	5,588
General State Charges	5,623	2,267	0	7,890
Debt Service	0	0	6,620	6,620
Capital Projects	0	5	0	5
Total Disbursements	<u>57,285</u>	<u>33,546</u>	<u>6,682</u>	<u>97,513</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,633	7,666	5,904	26,203
Transfers to Other Funds	(8,072)	232	(14,876)	(22,716)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>4,561</u>	<u>7,898</u>	<u>(8,972)</u>	<u>3,487</u>
Designated General Fund Reserves:				
Reserve for Collective Bargaining	142	0	0	142
Net Designated General Fund Reserves	<u>142</u>	<u>0</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(4,798)</u>	<u>353</u>	<u>105</u>	<u>(4,340)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2011 and FY 2012
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	4,810	3,969	(841)	
Receipts:				
Taxes	59,532	63,165	3,633	6.1%
Miscellaneous Receipts	19,149	19,151	2	0.0%
Federal Receipts	111	140	29	26.1%
Total Receipts	<u>78,792</u>	<u>82,456</u>	<u>3,664</u>	<u>4.7%</u>
Disbursements:				
Local Assistance Grants	55,295	57,565	2,270	4.1%
Departmental Operations:				
Personal Service	12,422	11,817	(605)	-4.9%
Non-Personal Service	4,965	5,106	141	2.8%
General State Charges	6,102	6,534	432	7.1%
Debt Service	5,615	5,834	219	3.9%
Capital Projects	18	5	(13)	-72.2%
Total Disbursements	<u>84,417</u>	<u>86,861</u>	<u>2,444</u>	<u>2.9%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	27,218	25,325	(1,893)	-7.0%
Transfers to Other Funds	(22,434)	(20,914)	1,520	-6.8%
Bond and Note Proceeds	0	0	0	--
Net Other Financing Sources (uses)	<u>4,784</u>	<u>4,411</u>	<u>(373)</u>	<u>-7.8%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(841)</u>	<u>6</u>	<u>847</u>	
Closing Fund Balance	<u>3,969</u>	<u>3,976</u>	<u>7</u>	

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	1,376	2,149	(167)	454	3,812
Receipts:					
Taxes	41,886	8,273	1,338	13,006	64,503
Miscellaneous Receipts	3,152	15,182	4,017	949	23,300
Federal Receipts	60	40,598	2,294	79	43,031
Total Receipts	<u>45,098</u>	<u>64,053</u>	<u>7,649</u>	<u>14,034</u>	<u>130,834</u>
Disbursements:					
Local Assistance Grants	38,721	53,590	2,711	0	95,022
Departmental Operations:					
Personal Service	5,713	6,790	0	0	12,503
Non-Personal Service	1,749	4,251	0	62	6,062
General State Charges	4,704	2,132	0	0	6,836
Debt Service	0	0	0	5,834	5,834
Capital Projects	0	5	5,147	0	5,152
Total Disbursements	<u>50,887</u>	<u>66,768</u>	<u>7,858</u>	<u>5,896</u>	<u>131,409</u>
Other financing sources (Uses):					
Transfers from Other Funds	11,768	7,178	1,038	6,380	26,364
Transfers to Other Funds	(5,968)	(4,575)	(1,435)	(14,410)	(26,388)
Bond and Note Proceeds	0	0	484	0	484
Net Other Financing Sources (Uses)	<u>5,800</u>	<u>2,603</u>	<u>87</u>	<u>(8,030)</u>	<u>460</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>11</u>	<u>(112)</u>	<u>(122)</u>	<u>108</u>	<u>(115)</u>
Closing Fund Balance	<u>1,387</u>	<u>2,037</u>	<u>(289)</u>	<u>562</u>	<u>3,697</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	42,202	8,590	1,397	13,069	65,258
Miscellaneous Receipts	3,024	16,232	3,735	996	23,987
Federal Receipts	60	37,548	1,847	79	39,534
Total Receipts	<u>45,286</u>	<u>62,370</u>	<u>6,979</u>	<u>14,144</u>	<u>128,779</u>
Disbursements:					
Local Assistance Grants	39,955	51,680	2,010	0	93,645
Departmental Operations:					
Personal Service	5,945	6,955	0	0	12,900
Non-Personal Service	2,114	4,211	0	62	6,387
General State Charges	5,093	2,289	0	0	7,382
Debt Service	0	0	0	6,300	6,300
Capital Projects	0	5	5,289	0	5,294
Total Disbursements	<u>53,107</u>	<u>65,140</u>	<u>7,299</u>	<u>6,362</u>	<u>131,908</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,349	7,085	1,368	6,392	26,194
Transfers to Other Funds	(6,687)	(3,921)	(1,503)	(14,091)	(26,202)
Bond and Note Proceeds	0	0	400	0	400
Net Other Financing Sources (Uses)	<u>4,662</u>	<u>3,164</u>	<u>265</u>	<u>(7,699)</u>	<u>392</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	142	0	0	0	142
Reserve for Community Projects Fund	(51)	0	0	0	(51)
Net Designated General Fund Reserves	<u>91</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>91</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(3,250)</u>	<u>394</u>	<u>(55)</u>	<u>83</u>	<u>(2,828)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	44,658	8,912	1,398	13,916	68,884
Miscellaneous Receipts	2,583	16,585	3,575	1,043	23,786
Federal Receipts	60	39,876	1,811	79	41,826
Total Receipts	<u>47,301</u>	<u>65,373</u>	<u>6,784</u>	<u>15,038</u>	<u>134,496</u>
Disbursements:					
Local Assistance Grants	41,665	54,845	2,001	0	98,511
Departmental Operations:					
Personal Service	6,052	7,088	0	0	13,140
Non-Personal Service	1,969	4,284	0	62	6,315
General State Charges	5,456	2,433	0	0	7,889
Debt Service	0	0	0	6,518	6,518
Capital Projects	0	5	5,089	0	5,094
Total Disbursements	<u>55,142</u>	<u>68,655</u>	<u>7,090</u>	<u>6,580</u>	<u>137,467</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,044	7,411	1,545	6,295	27,295
Transfers to Other Funds	(7,335)	(3,731)	(1,552)	(14,678)	(27,296)
Bond and Note Proceeds	0	0	338	0	338
Net Other Financing Sources (Uses)	<u>4,709</u>	<u>3,680</u>	<u>331</u>	<u>(8,383)</u>	<u>337</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	142	0	0	0	142
Net Designated General Fund Reserves	<u>142</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(3,274)</u>	<u>398</u>	<u>25</u>	<u>75</u>	<u>(2,776)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	45,886	9,268	1,408	14,616	71,178
Miscellaneous Receipts	2,122	16,863	3,325	1,064	23,374
Federal Receipts	60	44,969	1,809	79	46,917
Total Receipts	<u>48,068</u>	<u>71,100</u>	<u>6,542</u>	<u>15,759</u>	<u>141,469</u>
Disbursements:					
Local Assistance Grants	43,352	60,993	1,730	0	106,075
Departmental Operations:					
Personal Service	6,220	7,262	0	0	13,482
Non-Personal Service	2,090	4,354	0	62	6,506
General State Charges	5,623	2,611	0	0	8,234
Debt Service	0	0	0	6,620	6,620
Capital Projects	0	5	5,039	0	5,044
Total Disbursements	<u>57,285</u>	<u>75,225</u>	<u>6,769</u>	<u>6,682</u>	<u>145,961</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,633	7,667	1,483	5,904	27,687
Transfers to Other Funds	(8,072)	(3,189)	(1,526)	(14,876)	(27,663)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>4,561</u>	<u>4,478</u>	<u>263</u>	<u>(8,972)</u>	<u>330</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	142	0	0	0	142
Net Designated General Fund Reserves	<u>142</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(4,798)</u>	<u>353</u>	<u>36</u>	<u>105</u>	<u>(4,304)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>4,860</u>	<u>3,812</u>	<u>(1,048)</u>	
Receipts:				
Taxes	60,870	64,503	3,633	6.0%
Miscellaneous Receipts	23,148	23,300	152	0.7%
Federal Receipts	<u>49,303</u>	<u>43,031</u>	<u>(6,272)</u>	<u>-12.7%</u>
Total Receipts	<u>133,321</u>	<u>130,834</u>	<u>(2,487)</u>	<u>-1.9%</u>
Disbursements:				
Local Assistance Grants	98,633	95,022	(3,611)	-3.7%
Departmental Operations:				
Personal Service	13,105	12,503	(602)	-4.6%
Non-Personal Service	5,979	6,062	83	1.4%
General State Charges	6,362	6,836	474	7.5%
Debt Service	5,615	5,834	219	3.9%
Capital Projects	<u>5,131</u>	<u>5,152</u>	<u>21</u>	<u>0.4%</u>
Total Disbursements	<u>134,825</u>	<u>131,409</u>	<u>(3,416)</u>	<u>-2.5%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	27,606	26,364	(1,242)	-4.5%
Transfers to Other Funds	(27,675)	(26,388)	1,287	-4.7%
Bond and Note Proceeds	<u>525</u>	<u>484</u>	<u>(41)</u>	<u>-7.8%</u>
Net Other Financing Sources (Uses)	<u>456</u>	<u>460</u>	<u>4</u>	<u>0.9%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,048)</u>	<u>(115)</u>	<u>933</u>	
Closing Fund Balance	<u>3,812</u>	<u>3,697</u>	<u>(115)</u>	

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	31,202	0	0	0	31,202
Estimated Payments	11,975	0	0	0	11,975
Final Payments	2,110	0	0	0	2,110
Other Payments	1,089	0	0	0	1,089
Gross Collections	46,376	0	0	0	46,376
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,194)	0	0	0	(7,194)
Reported Tax Collections	38,884	0	0	0	38,884
STAR (Dedicated Deposits)	(3,293)	3,293	0	0	0
RBTF (Dedicated Transfers)	(9,721)	0	0	9,721	0
Personal Income Tax	25,870	3,293	0	9,721	38,884
Sales and Use Tax	11,135	742	0	0	11,877
Cigarette and Tobacco Taxes	472	1,194	0	0	1,666
Motor Fuel Tax	0	106	398	0	504
Alcoholic Beverage Taxes	233	0	0	0	233
Highway Use Tax	0	0	134	0	134
Auto Rental Tax	0	37	67	0	104
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	11,840	2,164	599	0	14,603
LGAC Sales Tax (Dedicated Transfers)	(2,784)	0	0	2,784	0
User Taxes and Fees	9,056	2,164	599	2,784	14,603
Corporation Franchise Tax	2,909	389	0	0	3,298
Corporation and Utilities Tax	639	188	15	0	842
Insurance Taxes	1,298	139	0	0	1,437
Bank Tax	1,022	207	0	0	1,229
Petroleum Business Tax	0	484	605	0	1,089
Business Taxes	5,868	1,407	620	0	7,895
Estate Tax	1,075	0	0	0	1,075
Real Estate Transfer Tax	620	0	0	0	620
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
Gross Other Taxes	1,712	0	0	0	1,712
Real Estate Transfer Tax (Dedicated)	(620)	0	119	501	0
Other Taxes	1,092	0	119	501	1,712
Payroll Tax	0	1,409	0	0	1,409
Total Taxes	41,886	8,273	1,338	13,006	64,503
Licenses, Fees, Etc.	565	0	0	0	565
Abandoned Property	755	0	0	0	755
Motor Vehicle Fees	132	494	827	0	1,453
ABC License Fee	49	0	0	0	49
Reimbursements	202	0	0	0	202
Investment Income	10	0	0	0	10
Other Transactions	1,439	14,688	3,190	949	20,266
Miscellaneous Receipts	3,152	15,182	4,017	949	23,300
Federal Grants	60	40,598	2,294	79	43,031
Total	45,098	64,053	7,649	14,034	130,834

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2013
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	31,801	0	0	0	31,801
Estimated Payments	11,478	0	0	0	11,478
Final Payments	2,199	0	0	0	2,199
Other Payments	1,134	0	0	0	1,134
Gross Collections	<u>46,612</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>46,612</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,725)	0	0	0	(7,725)
Reported Tax Collections	<u>38,589</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>38,589</u>
STAR (Dedicated Deposits)	(3,322)	3,322	0	0	0
RBTF (Dedicated Transfers)	(9,648)	0	0	9,648	0
Personal Income Tax	<u>25,619</u>	<u>3,322</u>	<u>0</u>	<u>9,648</u>	<u>38,589</u>
Sales and Use Tax	11,402	770	0	0	12,172
Cigarette and Tobacco Taxes	498	1,254	0	0	1,752
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Tax	238	0	0	0	238
Highway Use Tax	0	0	147	0	147
Auto Rental Tax	0	39	70	0	109
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	<u>12,138</u>	<u>2,256</u>	<u>624</u>	<u>0</u>	<u>15,018</u>
LGAC Sales Tax (Dedicated Transfers)	(2,850)	0	0	2,850	0
User Taxes and Fees	<u>9,288</u>	<u>2,256</u>	<u>624</u>	<u>2,850</u>	<u>15,018</u>
Corporation Franchise Tax	3,006	468	0	0	3,474
Corporation and Utilities Tax	702	188	15	0	905
Insurance Taxes	1,348	141	0	0	1,489
Bank Tax	1,152	221	0	0	1,373
Petroleum Business Tax	0	512	639	0	1,151
Business Taxes	<u>6,208</u>	<u>1,530</u>	<u>654</u>	<u>0</u>	<u>8,392</u>
Estate Tax	1,070	0	0	0	1,070
Real Estate Transfer Tax	690	0	0	0	690
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>1,777</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,777</u>
Real Estate Transfer Tax (Dedicated)	(690)	0	119	571	0
Other Taxes	<u>1,087</u>	<u>0</u>	<u>119</u>	<u>571</u>	<u>1,777</u>
Payroll Tax	<u>0</u>	<u>1,482</u>	<u>0</u>	<u>0</u>	<u>1,482</u>
Total Taxes	<u>42,202</u>	<u>8,590</u>	<u>1,397</u>	<u>13,069</u>	<u>65,258</u>
Licenses, Fees, Etc.	616	0	0	0	616
Abandoned Property	735	0	0	0	735
Motor Vehicle Fees	109	492	824	0	1,425
ABC License Fee	51	0	0	0	51
Reimbursements	202	0	0	0	202
Investment Income	10	0	0	0	10
Other Transactions	1,301	15,740	2,911	996	20,948
Miscellaneous Receipts	<u>3,024</u>	<u>16,232</u>	<u>3,735</u>	<u>996</u>	<u>23,987</u>
Federal Grants	<u>60</u>	<u>37,548</u>	<u>1,847</u>	<u>79</u>	<u>39,534</u>
Total	<u>45,286</u>	<u>62,370</u>	<u>6,979</u>	<u>14,144</u>	<u>128,779</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	34,185	0	0	0	34,185
Estimated Payments	11,695	0	0	0	11,695
Final Payments	2,154	0	0	0	2,154
Other Payments	1,211	0	0	0	1,211
Gross Collections	<u>49,245</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>49,245</u>
State/City Offset	(198)	0	0	0	(198)
Refunds	(7,922)	0	0	0	(7,922)
Reported Tax Collections	<u>41,125</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>41,125</u>
STAR (Dedicated Deposits)	(3,510)	3,510	0	0	0
RBTF (Dedicated Transfers)	(10,282)	0	0	10,282	0
Personal Income Tax	<u>27,333</u>	<u>3,510</u>	<u>0</u>	<u>10,282</u>	<u>41,125</u>
Sales and Use Tax	11,930	800	0	0	12,730
Cigarette and Tobacco Taxes	491	1,232	0	0	1,723
Motor Fuel Tax	0	109	408	0	517
Alcoholic Beverage Taxes	242	0	0	0	242
Highway Use Tax	0	0	142	0	142
Auto Rental Tax	0	41	73	0	114
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	<u>12,663</u>	<u>2,267</u>	<u>623</u>	<u>0</u>	<u>15,553</u>
LGAC Sales Tax (Dedicated Transfers)	(2,982)	0	0	2,982	0
User Taxes and Fees	<u>9,681</u>	<u>2,267</u>	<u>623</u>	<u>2,982</u>	<u>15,553</u>
Corporation Franchise Tax	3,230	521	0	0	3,751
Corporation and Utilities Tax	731	192	15	0	938
Insurance Taxes	1,411	150	0	0	1,561
Bank Tax	1,125	189	0	0	1,314
Petroleum Business Tax	0	514	641	0	1,155
Business Taxes	<u>6,497</u>	<u>1,566</u>	<u>656</u>	<u>0</u>	<u>8,719</u>
Estate Tax	1,130	0	0	0	1,130
Real Estate Transfer Tax	770	0	0	0	770
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>1,917</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,917</u>
Real Estate Transfer Tax (Dedicated)	(770)	0	119	652	1
Other Taxes	<u>1,147</u>	<u>0</u>	<u>119</u>	<u>652</u>	<u>1,918</u>
Payroll Tax	<u>0</u>	<u>1,569</u>	<u>0</u>	<u>0</u>	<u>1,569</u>
Total Taxes	<u>44,658</u>	<u>8,912</u>	<u>1,398</u>	<u>13,916</u>	<u>68,884</u>
Licenses, Fees, Etc.	552	0	0	0	552
Abandoned Property	670	0	0	0	670
Motor Vehicle Fees	36	491	836	0	1,363
ABC License Fee	50	0	0	0	50
Reimbursements	197	0	0	0	197
Investment Income	10	0	0	0	10
Other Transactions	1,068	16,094	2,739	1,043	20,944
Miscellaneous Receipts	<u>2,583</u>	<u>16,585</u>	<u>3,575</u>	<u>1,043</u>	<u>23,786</u>
Federal Grants	<u>60</u>	<u>39,876</u>	<u>1,811</u>	<u>79</u>	<u>41,826</u>
Total	<u>47,301</u>	<u>65,373</u>	<u>6,784</u>	<u>15,038</u>	<u>134,496</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	36,183	0	0	0	36,183
Estimated Payments	12,325	0	0	0	12,325
Final Payments	2,151	0	0	0	2,151
Other Payments	1,313	0	0	0	1,313
Gross Collections	<u>51,972</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>51,972</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(8,736)	0	0	0	(8,736)
Reported Tax Collections	<u>43,088</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>43,088</u>
STAR (Dedicated Deposits)	(3,693)	3,693	0	0	0
RBTF (Dedicated Transfers)	(10,772)	0	0	10,772	0
Personal Income Tax	<u>28,623</u>	<u>3,693</u>	<u>0</u>	<u>10,772</u>	<u>43,088</u>
Sales and Use Tax	12,489	830	0	0	13,319
Cigarette and Tobacco Taxes	485	1,210	0	0	1,695
Motor Fuel Tax	0	109	411	0	520
Alcoholic Beverage Taxes	247	0	0	0	247
Highway Use Tax	0	0	144	0	144
Auto Rental Tax	0	43	76	0	119
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	<u>13,221</u>	<u>2,277</u>	<u>631</u>	<u>0</u>	<u>16,129</u>
LGAC Sales Tax (Dedicated Transfers)	(3,122)	0	0	3,122	0
User Taxes and Fees	<u>10,099</u>	<u>2,277</u>	<u>631</u>	<u>3,122</u>	<u>16,129</u>
Corporation Franchise Tax	2,472	558	0	0	3,030
Corporation and Utilities Tax	763	195	15	0	973
Insurance Taxes	1,459	158	0	0	1,617
Bank Tax	1,258	211	0	0	1,469
Petroleum Business Tax	0	517	643	0	1,160
Business Taxes	<u>5,952</u>	<u>1,639</u>	<u>658</u>	<u>0</u>	<u>8,249</u>
Estate Tax	1,195	0	0	0	1,195
Real Estate Transfer Tax	840	0	0	0	840
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>2,052</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,052</u>
Real Estate Transfer Tax (Dedicated)	(840)	0	119	722	1
Other Taxes	<u>1,212</u>	<u>0</u>	<u>119</u>	<u>722</u>	<u>2,053</u>
Payroll Tax	<u>0</u>	<u>1,659</u>	<u>0</u>	<u>0</u>	<u>1,659</u>
Total Taxes	<u>45,886</u>	<u>9,268</u>	<u>1,408</u>	<u>14,616</u>	<u>71,178</u>
Licenses, Fees, Etc.	538	0	0	0	538
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	36	491	836	0	1,363
ABC License Fee	50	0	0	0	50
Reimbursements	197	0	0	0	197
Investment Income	10	0	0	0	10
Other Transactions	636	16,372	2,489	1,064	20,561
Miscellaneous Receipts	<u>2,122</u>	<u>16,863</u>	<u>3,325</u>	<u>1,064</u>	<u>23,374</u>
Federal Grants	<u>60</u>	<u>44,969</u>	<u>1,809</u>	<u>79</u>	<u>46,917</u>
Total	<u>48,068</u>	<u>71,100</u>	<u>6,542</u>	<u>15,759</u>	<u>141,469</u>

**CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	31,240	31,202	(38)	-0.1%
Estimated Payments	9,735	11,975	2,240	23.0%
Final Payments	1,964	2,110	146	7.4%
Other Payments	1,063	1,089	26	2.4%
Gross Collections	<u>44,002</u>	<u>46,376</u>	<u>2,374</u>	<u>5.4%</u>
State/City Offset	(100)	(298)	(198)	198.0%
Refunds	(7,693)	(7,194)	499	-6.5%
Reported Tax Collections	<u>36,209</u>	<u>38,884</u>	<u>2,675</u>	<u>7.4%</u>
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	0	0	0	--
Personal Income Tax	<u>36,209</u>	<u>38,884</u>	<u>2,675</u>	<u>7.4%</u>
Sales and Use Tax	11,538	11,877	339	2.9%
Cigarette and Tobacco Taxes	1,616	1,666	50	3.1%
Motor Fuel Tax	517	504	(13)	-2.5%
Alcoholic Beverage Taxes	230	233	3	1.3%
Highway Use Tax	129	134	5	3.9%
Auto Rental Tax	95	104	9	9.5%
Taxicab Surcharge	81	85	4	4.9%
Gross Utility Taxes and Fees	<u>14,206</u>	<u>14,603</u>	<u>397</u>	<u>2.8%</u>
LGAC Sales Tax (Dedicated Transfers)	0	0	0	--
User Taxes and Fees	<u>14,206</u>	<u>14,603</u>	<u>397</u>	<u>2.8%</u>
Corporation Franchise Tax	2,846	3,298	452	15.9%
Corporation and Utilities Tax	813	842	29	3.6%
Insurance Taxes	1,351	1,437	86	6.4%
Bank Tax	1,179	1,229	50	4.2%
Petroleum Business Tax	1,090	1,089	(1)	-0.1%
Business Taxes	<u>7,279</u>	<u>7,895</u>	<u>616</u>	<u>8.5%</u>
Estate Tax	1,218	1,075	(143)	-11.7%
Real Estate Transfer Tax	579	620	41	7.1%
Gift Tax	1	0	(1)	-100.0%
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	16	(1)	-5.9%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	<u>1,816</u>	<u>1,712</u>	<u>(104)</u>	<u>-5.7%</u>
Real Estate Transfer Tax (Dedicated)	1	0	(1)	-100.0%
Other Taxes	<u>1,817</u>	<u>1,712</u>	<u>(105)</u>	<u>-5.8%</u>
Payroll Tax	<u>1,359</u>	<u>1,409</u>	<u>50</u>	<u>3.7%</u>
Total Taxes	<u>60,870</u>	<u>64,503</u>	<u>3,633</u>	<u>6.0%</u>
Licenses, Fees, Etc.	680	565	(115)	-16.9%
Abandoned Property	645	755	110	17.1%
Motor Vehicle Fees	1,156	1,453	297	25.7%
ABC License Fee	52	49	(3)	-5.8%
Reimbursements	270	202	(68)	-25.2%
Investment Income	6	10	4	66.7%
Other Transactions	20,339	20,266	(73)	-0.4%
Miscellaneous Receipts	<u>23,148</u>	<u>23,300</u>	<u>152</u>	<u>0.7%</u>
Federal Grants	<u>49,303</u>	<u>43,031</u>	<u>(6,272)</u>	<u>-12.7%</u>
Total	<u>133,321</u>	<u>130,834</u>	<u>(2,487)</u>	<u>-1.9%</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2012
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,139</u>	<u>10</u>	<u>2,149</u>
Receipts:			
Taxes	8,273	0	8,273
Miscellaneous Receipts	15,050	132	15,182
Federal Receipts	<u>1</u>	<u>40,597</u>	<u>40,598</u>
Total Receipts	<u>23,324</u>	<u>40,729</u>	<u>64,053</u>
Disbursements:			
Local Assistance Grants	18,844	34,746	53,590
Departmental Operations:			
Personal Service	6,104	686	6,790
Non-Personal Service	3,295	956	4,251
General State Charges	1,830	302	2,132
Debt Service	0	0	0
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
Total Disbursements	<u>30,078</u>	<u>36,690</u>	<u>66,768</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,177	1	7,178
Transfers to Other Funds	(536)	(4,039)	(4,575)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>6,641</u>	<u>(4,038)</u>	<u>2,603</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(113)</u>	<u>1</u>	<u>(112)</u>
Closing Fund Balance	<u>2,026</u>	<u>11</u>	<u>2,037</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,026</u>	<u>11</u>	<u>2,037</u>
Receipts:			
Taxes	8,590	0	8,590
Miscellaneous Receipts	16,101	131	16,232
Federal Receipts	1	37,547	37,548
Total Receipts	<u>24,692</u>	<u>37,678</u>	<u>62,370</u>
Disbursements:			
Local Assistance Grants	19,813	31,867	51,680
Departmental Operations:			
Personal Service	6,274	681	6,955
Non-Personal Service	3,252	959	4,211
General State Charges	1,957	332	2,289
Debt Service	0	0	0
Capital Projects	5	0	5
Total Disbursements	<u>31,301</u>	<u>33,839</u>	<u>65,140</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,084	1	7,085
Transfers to Other Funds	(82)	(3,839)	(3,921)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>7,002</u>	<u>(3,838)</u>	<u>3,164</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>393</u>	<u>1</u>	<u>394</u>
Closing Fund Balance	<u>2,419</u>	<u>12</u>	<u>2,431</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,419	12	2,431
Receipts:			
Taxes	8,912	0	8,912
Miscellaneous Receipts	16,454	131	16,585
Federal Receipts	1	39,875	39,876
Total Receipts	<u>25,367</u>	<u>40,006</u>	<u>65,373</u>
Disbursements:			
Local Assistance Grants	20,611	34,234	54,845
Departmental Operations:			
Personal Service	6,416	672	7,088
Non-Personal Service	3,359	925	4,284
General State Charges	2,095	338	2,433
Debt Service	0	0	0
Capital Projects	5	0	5
Total Disbursements	<u>32,486</u>	<u>36,169</u>	<u>68,655</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,410	1	7,411
Transfers to Other Funds	107	(3,838)	(3,731)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>7,517</u>	<u>(3,837)</u>	<u>3,680</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>398</u>	<u>0</u>	<u>398</u>
Closing Fund Balance	<u>2,817</u>	<u>12</u>	<u>2,829</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,817</u>	<u>12</u>	<u>2,829</u>
Receipts:			
Taxes	9,268	0	9,268
Miscellaneous Receipts	16,732	131	16,863
Federal Receipts	1	44,968	44,969
Total Receipts	<u>26,001</u>	<u>45,099</u>	<u>71,100</u>
Disbursements:			
Local Assistance Grants	21,250	39,743	60,993
Departmental Operations:			
Personal Service	6,588	674	7,262
Non-Personal Service	3,436	918	4,354
General State Charges	2,267	344	2,611
Debt Service	0	0	0
Capital Projects	5	0	5
Total Disbursements	<u>33,546</u>	<u>41,679</u>	<u>75,225</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,666	1	7,667
Transfers to Other Funds	232	(3,421)	(3,189)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>7,898</u>	<u>(3,420)</u>	<u>4,478</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>353</u>	<u>0</u>	<u>353</u>
Closing Fund Balance	<u>3,170</u>	<u>12</u>	<u>3,182</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>2,400</u>	<u>2,149</u>	<u>(251)</u>	<u>-10.5%</u>
Receipts:				
Taxes	8,117	8,273	156	1.9%
Miscellaneous Receipts	15,306	15,182	(124)	-0.8%
Federal Receipts	46,692	40,598	(6,094)	-13.1%
Total receipts	<u>70,115</u>	<u>64,053</u>	<u>(6,062)</u>	<u>-8.6%</u>
Disbursements:				
Local Assistance Grants	58,696	53,590	(5,106)	-8.7%
Departmental Operations:				
Personal Service	6,954	6,790	(164)	-2.4%
Non-Personal Service	4,094	4,251	157	3.8%
General State Charges	2,175	2,132	(43)	-2.0%
Debt Service	0	0	0	--
Capital Projects	18	5	(13)	-72.2%
Total Disbursements	<u>71,937</u>	<u>66,768</u>	<u>(5,169)</u>	<u>-7.2%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	7,335	7,178	(157)	-2.1%
Transfers to Other Funds	(5,764)	(4,575)	1,189	-20.6%
Bond and Proceed Notes	0	0	0	--
Net Other Financing Sources (Uses)	<u>1,571</u>	<u>2,603</u>	<u>1,032</u>	<u>65.7%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(251)</u>	<u>(112)</u>	<u>139</u>	<u>-55.4%</u>
Closing Fund Balance	<u>2,149</u>	<u>2,037</u>	<u>(112)</u>	<u>-5.2%</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2012 THROUGH FY 2015
(millions of dollars)**

	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Personal Income Tax	<u>3,293</u>	<u>3,322</u>	<u>3,510</u>	<u>3,693</u>
User Taxes and Fees	<u>2,164</u>	<u>2,256</u>	<u>2,267</u>	<u>2,277</u>
Sales and Use Tax	742	770	800	830
Cigarette and Tobacco Taxes	1,194	1,254	1,232	1,210
Motor Fuel Tax	106	108	109	109
Auto Rental Tax	37	39	41	43
Taxicab Surcharge	85	85	85	85
Business Taxes	<u>1,407</u>	<u>1,530</u>	<u>1,566</u>	<u>1,639</u>
Corporation Franchise Tax	389	468	521	558
Corporation and Utilities Tax	188	188	192	195
Insurance Taxes	139	141	150	158
Bank Tax	207	221	189	211
Petroleum Business Tax	484	512	514	517
Payroll Tax	<u>1,409</u>	<u>1,482</u>	<u>1,569</u>	<u>1,659</u>
Total Taxes	<u>8,273</u>	<u>8,590</u>	<u>8,912</u>	<u>9,268</u>
Miscellaneous Receipts	<u>15,182</u>	<u>16,232</u>	<u>16,585</u>	<u>16,863</u>
HCRA	4,170	4,807	4,986	5,105
State University Income	3,768	4,059	4,225	4,385
Lottery	2,972	3,241	3,252	3,261
Medicaid	870	831	823	826
Industry Assessments	755	763	766	770
Motor Vehicle Fees	494	492	491	491
All Other	2,153	2,039	2,042	2,025
Federal Grants	<u>40,598</u>	<u>37,548</u>	<u>39,876</u>	<u>44,969</u>
Total	<u><u>64,053</u></u>	<u><u>62,370</u></u>	<u><u>65,373</u></u>	<u><u>71,100</u></u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>2010-2011 Actuals</u>	<u>2011-2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	3,263	3,293	30	0.9%
User Taxes and Fees	2,117	2,164	47	2.2%
Sales and Use Tax	756	742	(14)	-1.9%
Cigarette and Tobacco Taxes	1,136	1,194	58	5.1%
Motor Fuel Tax	109	106	(3)	-2.8%
Auto Rental Tax	35	37	2	5.7%
Taxicab Surcharge	81	85	4	4.9%
Business Taxes	1,378	1,407	29	2.1%
Corporation Franchise Tax	374	389	15	4.0%
Corporation and Utilities Tax	181	188	7	3.9%
Insurance Taxes	134	139	5	3.7%
Bank Tax	205	207	2	1.0%
Petroleum Business Tax	484	484	0	0.0%
Payroll Tax	1,359	1,409	50	3.7%
Total Taxes	8,117	8,273	156	1.9%
Miscellaneous Receipts	15,306	15,182	(124)	-0.8%
HCRA	4,150	4,170	20	0.5%
State University Income	3,275	3,768	493	15.1%
Lottery	3,206	2,972	(234)	-7.3%
Medicaid	739	870	131	17.7%
Industry Assessments	890	755	(135)	-15.2%
Motor Vehicle Fees	415	494	79	19.0%
All Other	2,631	2,153	(478)	-18.2%
Federal Grants	46,692	40,598	(6,094)	-13.1%
Total	<u>70,115</u>	<u>64,053</u>	<u>(6,062)</u>	<u>-8.6%</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2012
(millions of dollars)**

	State	Federal	Total
Opening Fund Balance	(62)	(105)	(167)
Receipts:			
Taxes	1,338	0	1,338
Miscellaneous Receipts	4,017	0	4,017
Federal Receipts	5	2,289	2,294
Total Receipts	5,360	2,289	7,649
Disbursements:			
Local Assistance Grants	1,704	1,007	2,711
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,191	956	5,147
Total Disbursements	5,895	1,963	7,858
Other Financing Sources (Uses):			
Transfers from Other Funds	1,371	(333)	1,038
Transfers to Other Funds	(1,423)	(12)	(1,435)
Bond and Note Proceeds	484	0	484
Net Other Financing Sources (Uses)	432	(345)	87
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(103)	(19)	(122)
Closing Fund Balance	(165)	(124)	(289)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(165)</u>	<u>(124)</u>	<u>(289)</u>
Receipts:			
Taxes	1,397	0	1,397
Miscellaneous Receipts	3,735	0	3,735
Federal Receipts	5	1,842	1,847
Total Receipts	<u>5,137</u>	<u>1,842</u>	<u>6,979</u>
Disbursements:			
Local Assistance Grants	1,264	746	2,010
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,470	819	5,289
Total Disbursements	<u>5,734</u>	<u>1,565</u>	<u>7,299</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,679	(311)	1,368
Transfers to Other Funds	(1,490)	(13)	(1,503)
Bond and Note Proceeds	400	0	400
Net Other Financing Sources (Uses)	<u>589</u>	<u>(324)</u>	<u>265</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(8)</u>	<u>(47)</u>	<u>(55)</u>
Closing Fund Balance	<u>(173)</u>	<u>(171)</u>	<u>(344)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(173)</u>	<u>(171)</u>	<u>(344)</u>
Receipts:			
Taxes	1,398	0	1,398
Miscellaneous Receipts	3,575	0	3,575
Federal Receipts	5	1,806	1,811
Total Receipts	<u>4,978</u>	<u>1,806</u>	<u>6,784</u>
Disbursements:			
Local Assistance Grants	1,297	704	2,001
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,359	730	5,089
Total Disbursements	<u>5,656</u>	<u>1,434</u>	<u>7,090</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,872	(327)	1,545
Transfers to Other Funds	(1,538)	(14)	(1,552)
Bond and Note Proceeds	338	0	338
Net Other Financing Sources (Uses)	<u>672</u>	<u>(341)</u>	<u>331</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(6)</u>	<u>31</u>	<u>25</u>
Closing Fund Balance	<u>(179)</u>	<u>(140)</u>	<u>(319)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(179)</u>	<u>(140)</u>	<u>(319)</u>
Receipts:			
Taxes	1,408	0	1,408
Miscellaneous Receipts	3,325	0	3,325
Federal Receipts	5	1,804	1,809
Total Receipts	<u>4,738</u>	<u>1,804</u>	<u>6,542</u>
Disbursements:			
Local Assistance Grants	1,034	696	1,730
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,324	715	5,039
Total Disbursements	<u>5,358</u>	<u>1,411</u>	<u>6,769</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,818	(335)	1,483
Transfers to Other Funds	(1,512)	(14)	(1,526)
Bond and Note Proceeds	306	0	306
Net Other Financing Sources (Uses)	<u>612</u>	<u>(349)</u>	<u>263</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(8)</u>	<u>44</u>	<u>36</u>
Closing Fund Balance	<u>(187)</u>	<u>(96)</u>	<u>(283)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(253)</u>	<u>(167)</u>	<u>86</u>	
Receipts:				
Taxes	1,338	1,338	0	0.0%
Miscellaneous Receipts	3,847	4,017	170	4.4%
Federal Receipts	2,500	2,294	(206)	-8.2%
Total Receipts	<u>7,685</u>	<u>7,649</u>	<u>(36)</u>	<u>-0.5%</u>
Disbursements:				
Local Assistance Grants	2,731	2,711	(20)	-0.7%
Departmental Operations:				
Personal Service	0	0	0	--
Non-Personal Service	0	0	0	--
General State Charges	0	0	0	--
Debt Service	0	0	0	--
Capital Projects	5,113	5,147	34	0.7%
Total Disbursements	<u>7,844</u>	<u>7,858</u>	<u>14</u>	<u>0.2%</u>
Other financing sources (uses):				
Transfers From Other Funds	1,130	1,038	(92)	-8.1%
Transfers to Other Funds	(1,410)	(1,435)	(25)	1.8%
Bond and Note Proceeds	525	484	(41)	-7.8%
Net Other Financing Sources (Uses)	<u>245</u>	<u>87</u>	<u>(158)</u>	<u>-64.5%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>86</u>	<u>(122)</u>	<u>(208)</u>	
Closing Fund Balance	<u>(167)</u>	<u>(289)</u>	<u>(122)</u>	

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2012 THROUGH FY 2015
(millions of dollars)**

	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
User Taxes and Fees	599	624	623	631
Motor Fuel Tax	398	407	408	411
Highway Use Tax	134	147	142	144
Auto Rental Tax	67	70	73	76
Business Taxes	620	654	656	658
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	605	639	641	643
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	<u>1,338</u>	<u>1,397</u>	<u>1,398</u>	<u>1,408</u>
Miscellaneous Receipts	4,017	3,735	3,575	3,325
Authority Bond Proceeds	3,029	2,752	2,579	2,329
State Park Fees	33	24	24	24
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	827	824	836	836
All Other	51	58	59	59
Federal Grants	<u>2,294</u>	<u>1,847</u>	<u>1,811</u>	<u>1,809</u>
Total	<u><u>7,649</u></u>	<u><u>6,979</u></u>	<u><u>6,784</u></u>	<u><u>6,542</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>2010-2011 Actuals</u>	<u>2011-2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
User Taxes and Fees	597	599	2	0.3%
Motor Fuel Tax	408	398	(10)	-2.5%
Highway Use Tax	129	134	5	3.9%
Auto Rental Tax	60	67	7	11.7%
Business Taxes	622	620	(2)	-0.3%
Corporation and Utilities Tax	16	15	(1)	-6.3%
Petroleum Business Tax	606	605	(1)	-0.2%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,338	1,338	0	0.0%
Miscellaneous Receipts	3,847	4,017	170	4.4%
Authority Bond Proceeds	2,869	3,029	160	5.6%
State Park Fees	24	33	9	37.5%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	707	827	120	17.0%
All Other	170	51	(119)	-70.0%
Federal Grants	2,500	2,294	(206)	-8.2%
Total	<u>7,685</u>	<u>7,649</u>	<u>(36)</u>	<u>-0.5%</u>

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(thousands of dollars)

	FY 2011 Actuals	FY 2012 Projected	FY 2013 Projected	FY 2014 Projected	FY 2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	28,071	65,430	53,520	56,680	31,260
Empire State Development Corporation	18,910	16,770	13,000	13,000	13,000
Functional Total	46,981	82,200	66,520	69,680	44,260
TRANSPORTATION					
Transportation, Department of	324,101	456,555	403,400	403,400	403,400
Functional Total	324,101	456,555	403,400	403,400	403,400
HEALTH					
Public Health	393	0	0	0	0
Functional Total	393	0	0	0	0
MENTAL HEALTH					
Mental Health, Office of	51,974	243,348	296,553	214,117	214,117
People with Developmental Disabilities, Office for	23,280	52,329	59,379	66,129	66,129
Alcoholism and Substance Abuse Services, Office of	910	5,000	5,000	5,000	5,000
Functional Total	76,164	300,677	360,932	285,246	285,246
HIGHER EDUCATION					
City University of New York	605,920	455,930	464,722	478,025	493,658
Education School Aid	103,625	110,000	100,000	100,000	92,172
State University of New York	277,544	280,800	373,427	101,875	74,500
Functional Total	987,089	846,730	938,149	679,900	660,330
ALL OTHER					
Judiciary	1,072	11,500	7,000	11,000	6,700
Functional Total	1,072	11,500	7,000	11,000	6,700
TOTAL CAPITAL OFF-BUDGET SPENDING	1,435,800	1,697,162	1,775,501	1,448,726	1,399,936

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2012 THROUGH FY 2015
(millions of dollars)**

	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Personal Income Tax	9,721	9,648	10,282	10,772
User Taxes and Fees	2,784	2,850	2,982	3,122
Sales and Use Tax	2,784	2,850	2,982	3,122
Other Taxes	501	571	652	722
Real Estate Transfer Tax	501	571	652	722
Total Taxes	<u>13,006</u>	<u>13,069</u>	<u>13,916</u>	<u>14,616</u>
Miscellaneous Receipts	949	996	1,043	1,064
Mental Hygiene Patient Receipts	325	352	375	404
SUNY Dormitory Fees	482	505	529	554
Health Patient Receipts	128	128	128	98
All Other	14	11	11	8
Federal Grants	79	79	79	79
Total	<u><u>14,034</u></u>	<u><u>14,144</u></u>	<u><u>15,038</u></u>	<u><u>15,759</u></u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>2010-2011 Actuals</u>	<u>2011-2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	9,052	9,721	669	7.4%
User Taxes and Fees	2,697	2,784	87	3.2%
Sales and Use Tax	2,697	2,784	87	3.2%
Other Taxes	461	501	40	8.7%
Real Estate Transfer Tax	461	501	40	8.7%
Total Taxes	12,210	13,006	796	6.5%
Miscellaneous Receipts	900	949	49	5.4%
Mental Hygiene Patient Receipts	310	325	15	4.8%
SUNY Dormitory Fees	462	482	20	4.3%
Health Patient Receipts	116	128	12	10.3%
All Other	12	14	2	16.7%
Federal Grants	57	79	22	38.6%
Total	<u>13,167</u>	<u>14,034</u>	<u>867</u>	<u>6.6%</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	1,376	2,139	(62)	454	3,907
Receipts:					
Taxes	41,886	8,273	1,338	13,006	64,503
Miscellaneous Receipts	3,152	15,050	4,017	949	23,168
Federal Receipts	60	1	5	79	145
Total Receipts	<u>45,098</u>	<u>23,324</u>	<u>5,360</u>	<u>14,034</u>	<u>87,816</u>
Disbursements:					
Local Assistance Grants	38,721	18,844	1,704	0	59,269
Departmental Operations:					
Personal Service	5,713	6,104	0	0	11,817
Non-Personal Service	1,749	3,295	0	62	5,106
General State Charges	4,704	1,830	0	0	6,534
Debt Service	0	0	0	5,834	5,834
Capital Projects	0	5	4,191	0	4,196
Total Disbursements	<u>50,887</u>	<u>30,078</u>	<u>5,895</u>	<u>5,896</u>	<u>92,756</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,768	7,177	1,371	6,380	26,696
Transfers to Other Funds	(5,968)	(536)	(1,423)	(14,410)	(22,337)
Bond and Note Proceeds	0	0	484	0	484
Net Other Financing Sources (Uses)	<u>5,800</u>	<u>6,641</u>	<u>432</u>	<u>(8,030)</u>	<u>4,843</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>11</u>	<u>(113)</u>	<u>(103)</u>	<u>108</u>	<u>(97)</u>
Closing Fund Balance	<u>1,387</u>	<u>2,026</u>	<u>(165)</u>	<u>562</u>	<u>3,810</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	42,202	8,590	1,397	13,069	65,258
Miscellaneous Receipts	3,024	16,101	3,735	996	23,856
Federal Receipts	60	1	5	79	145
Total Receipts	<u>45,286</u>	<u>24,692</u>	<u>5,137</u>	<u>14,144</u>	<u>89,259</u>
Disbursements:					
Local Assistance Grants	39,955	19,813	1,264	0	61,032
Departmental Operations:					
Personal Service	5,945	6,274	0	0	12,219
Non-Personal Service	2,114	3,252	0	62	5,428
General State Charges	5,093	1,957	0	0	7,050
Debt Service	0	0	0	6,300	6,300
Capital Projects	0	5	4,470	0	4,475
Total Disbursements	<u>53,107</u>	<u>31,301</u>	<u>5,734</u>	<u>6,362</u>	<u>96,504</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,349	7,084	1,679	6,392	26,504
Transfers to Other Funds	(6,687)	(82)	(1,490)	(14,091)	(22,350)
Reserve for Collective Bargaining	0	0	0	0	0
Reserve for Community Projects Fund	0	0	0	0	0
Bond and Note Proceeds	0	0	400	0	400
Net Other Financing Sources (Uses)	<u>4,662</u>	<u>7,002</u>	<u>589</u>	<u>(7,699)</u>	<u>4,554</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	142	0	0	0	142
Reserve for Community Projects Fund	(51)	0	0	0	(51)
Net Designated General Fund Reserves	<u>91</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>91</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(3,250)</u>	<u>393</u>	<u>(8)</u>	<u>83</u>	<u>(2,782)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	44,658	8,912	1,398	13,916	68,884
Miscellaneous Receipts	2,583	16,454	3,575	1,043	23,655
Federal Receipts	60	1	5	79	145
Total Receipts	<u>47,301</u>	<u>25,367</u>	<u>4,978</u>	<u>15,038</u>	<u>92,684</u>
Disbursements:					
Local Assistance Grants	41,665	20,611	1,297	0	63,573
Departmental Operations:					
Personal Service	6,052	6,416	0	0	12,468
Non-Personal Service	1,969	3,359	0	62	5,390
General State Charges	5,456	2,095	0	0	7,551
Debt Service	0	0	0	6,518	6,518
Capital Projects	0	5	4,359	0	4,364
Total Disbursements	<u>55,142</u>	<u>32,486</u>	<u>5,656</u>	<u>6,580</u>	<u>99,864</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,044	7,410	1,872	6,295	27,621
Transfers to Other Funds	(7,335)	107	(1,538)	(14,678)	(23,444)
Bond and Note Proceeds	0	0	338	0	338
Net Other Financing Sources (Uses)	<u>4,709</u>	<u>7,517</u>	<u>672</u>	<u>(8,383)</u>	<u>4,515</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	142	0	0	0	142
Net Designated General Fund Reserves	<u>142</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other					
	<u>(3,274)</u>	<u>398</u>	<u>(6)</u>	<u>75</u>	<u>(2,523)</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2015
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	45,886	9,268	1,408	14,616	71,178
Miscellaneous Receipts	2,122	16,732	3,325	1,064	23,243
Federal Receipts	60	1	5	79	145
Total Receipts	<u>48,068</u>	<u>26,001</u>	<u>4,738</u>	<u>15,759</u>	<u>94,566</u>
Disbursements:					
Local Assistance Grants	43,352	21,250	1,034	0	65,636
Departmental Operations:					
Personal Service	6,220	6,588	0	0	12,808
Non-Personal Service	2,090	3,436	0	62	5,588
General State Charges	5,623	2,267	0	0	7,890
Debt Service	0	0	0	6,620	6,620
Capital Projects	0	5	4,324	0	4,329
Total Disbursements	<u>57,285</u>	<u>33,546</u>	<u>5,358</u>	<u>6,682</u>	<u>102,871</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,633	7,666	1,818	5,904	28,021
Transfers to Other Funds	(8,072)	232	(1,512)	(14,876)	(24,228)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>4,561</u>	<u>7,898</u>	<u>612</u>	<u>(8,972)</u>	<u>4,099</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	142	0	0	0	142
Net Designated General Fund Reserves	<u>142</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(4,798)</u>	<u>353</u>	<u>(8)</u>	<u>105</u>	<u>(4,348)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2011 and FY 2012
(millions of dollars)**

	<u>FY 2011 Actuals</u>	<u>FY 2012 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>4,779</u>	<u>3,907</u>	<u>(872)</u>	
Receipts:				
Taxes	60,870	64,503	3,633	6.0%
Miscellaneous Receipts	22,994	23,168	174	0.8%
Federal Receipts	117	145	28	23.9%
Total Receipts	<u>83,981</u>	<u>87,816</u>	<u>3,835</u>	<u>4.6%</u>
Disbursements:				
Local Assistance Grants	57,042	59,269	2,227	3.9%
Departmental Operations:				
Personal Service	12,422	11,817	(605)	-4.9%
Non-Personal Service	4,965	5,106	141	2.8%
General State Charges	6,102	6,534	432	7.1%
Debt Service	5,615	5,834	219	3.9%
Capital Projects	3,972	4,196	224	5.6%
Total Disbursements	<u>90,118</u>	<u>92,756</u>	<u>2,638</u>	<u>2.9%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	28,575	26,696	(1,879)	-6.6%
Transfers to Other Funds	(23,835)	(22,337)	1,498	-6.3%
Bond and Note Proceeds	525	484	(41)	-7.8%
Net Other Financing Sources (Uses)	<u>5,265</u>	<u>4,843</u>	<u>(422)</u>	<u>-8.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(872)</u>	<u>(97)</u>	<u>775</u>	
Closing Fund Balance	<u>3,907</u>	<u>3,810</u>	<u>(97)</u>	

**CASHFLOW
STATE OPERATING FUNDS
FY 2012**
(dollars in millions)

	2011		2012		2012		2012		2012		Intra-Fund Transfer Eliminations	Total	
	April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Projected	November Projected	December Projected	January Projected			February Projected
OPENING BALANCE	3,969	8,652	6,239	5,955	6,390	6,455	7,713	7,088	6,471	4,743	10,805	10,648	3,969
RECEIPTS:													
Taxes	7,098	2,854	6,967	3,573	3,920	6,634	3,539	3,678	6,858	8,161	3,753	6,130	63,165
Miscellaneous Receipts	1,246	1,238	1,548	1,239	1,523	2,245	1,619	1,600	1,630	1,814	1,692	1,757	19,151
Federal Grants	5	13	0	2	36	19	0	0	15	0	2	48	140
TOTAL RECEIPTS	8,349	4,105	8,515	4,814	5,479	8,898	5,158	5,278	8,503	9,975	5,447	7,935	82,456
DISBURSEMENTS:													
School Aid	233	2,579	2,213	145	544	3,156	699	1,162	1,602	321	558	6,474	19,686
Higher Education	32	19	525	129	341	51	451	38	230	30	312	428	2,586
All Other Education	24	21	223	267	43	33	232	36	22	265	174	209	1,741
STAR	0	0	392	0	0	178	6	0	2,681	0	0	0	3,293
Medicaid - DOH	1,356	1,365	1,270	1,617	1,179	691	1,721	1,686	959	1,005	1,453	978	15,280
Public Health	37	136	235	104	262	152	154	176	142	125	152	335	2,010
Mental Hygiene	70	67	571	144	75	729	179	98	717	89	258	602	3,599
Children and Families	9	115	230	67	71	44	251	87	83	161	74	314	1,506
Temporary & Disability Assistance	327	63	66	167	192	66	91	76	89	75	20	180	1,412
Transportation	161	445	353	262	475	214	400	569	677	192	294	183	4,225
Unrestricted Aid	1	12	294	0	3	90	9	0	206	1	0	142	758
All Other	(18)	37	199	40	253	62	48	49	81	186	297	235	1,469
Total Local Assistance Grants	2,232	4,859	6,571	2,942	3,438	5,466	4,241	4,205	7,489	2,450	3,592	10,080	57,565
Personal Service	994	922	1,088	917	1,218	947	918	944	1,066	940	1,176	687	11,817
Non-Personal Service	392	392	465	342	432	396	430	413	505	406	433	500	5,106
Total State Operations	1,386	1,314	1,553	1,259	1,650	1,343	1,348	1,357	1,571	1,346	1,609	1,187	16,923
General State Charges	449	400	401	461	461	441	402	539	435	423	273	1,949	6,534
Debt service	157	293	566	102	286	878	105	188	1,131	96	465	1,567	5,834
Capital Projects	0	1	0	0	1	1	0	0	0	0	0	2	5
TOTAL DISBURSEMENTS	4,224	6,867	9,091	4,764	5,836	8,129	6,096	6,289	10,626	4,315	5,939	14,685	86,861
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,549	1,311	2,425	2,006	1,897	2,154	2,023	1,525	2,357	2,613	1,273	2,912	25,325
Transfers to other funds	(2,991)	(962)	(2,193)	(1,621)	(1,475)	(1,665)	(1,710)	(1,131)	(1,962)	(2,211)	(938)	(2,835)	(20,914)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	558	349	292	385	422	489	313	394	395	402	335	77	4,411
Excess/(Deficiency) of Receipts over Disbursements	4,683	(2,413)	(284)	435	65	1,258	(625)	(617)	(1,728)	6,062	(157)	(6,673)	6
CLOSING BALANCE	8,652	6,239	5,955	6,390	6,455	7,713	7,088	6,471	4,743	10,805	10,648	3,975	3,975

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2012**
(dollars in millions)

	2011		2012		2012		2012		2012		2012		2012		2012		2012		
	April	May	June	July	August	September	October	November	December	January	February	March	Projected	Projected	Projected	Projected	Projected	Projected	Total
OPENING BALANCE	(167)	(213)	(328)	(401)	(536)	(620)	(845)	(809)	(908)	(682)	(773)	(814)							(167)
RECEIPTS:																			
Taxes	88	86	123	116	110	134	109	104	132	107	103	126							1,338
Miscellaneous Receipts	343	320	238	382	226	246	357	235	578	234	239	619							4,017
Federal Grants	127	132	229	117	233	158	195	195	180	180	165	383							2,294
TOTAL RECEIPTS	558	538	590	615	569	538	661	534	890	521	507	1,128							7,649
DISBURSEMENTS:																			
Local Assistance Grants	191	293	201	267	121	153	161	159	206	139	125	695							2,711
Total Local Assistance Grants	191	293	201	267	121	153	161	159	206	139	125	695							2,711
Economic Development	2	2	4	5	7	2	6	11	8	6	6	19							78
Parks & the Environment	18	17	18	17	32	20	34	36	33	34	31	131							421
Transportation	181	195	310	237	292	336	270	268	255	255	243	249							3,091
Health & Social Welfare	3	2	3	14	3	3	4	3	3	3	3	(10)							34
Mental Hygiene	6	7	7	10	6	7	5	8	15	11	13	35							130
Public Protection	20	46	21	19	17	24	26	26	27	25	32	44							327
Education	54	53	87	75	100	92	83	99	109	91	109	148							1,100
All Other	33	27	25	26	42	(62)	18	18	16	13	14	(204)							(34)
Total Capital Projects	317	349	475	403	499	422	446	469	466	438	451	412							5,147
TOTAL DISBURSEMENTS	508	642	676	670	620	575	607	628	672	577	576	1,107							7,858
OTHER FINANCING SOURCES (uses):																			
Transfers from other funds	(7)	79	107	19	64	30	38	47	65	22	17	557							1,038
Transfers to other funds	(89)	(90)	(94)	(99)	(97)	(218)	(97)	(93)	(98)	(98)	(36)	(324)							(1,435)
Bond and note proceeds	0	0	0	0	0	0	41	41	41	41	49	271							484
NET OTHER FINANCING SOURCES/(USES)	(96)	(11)	13	(80)	(33)	(188)	(18)	(5)	8	(35)	28	504							87
Excess/(Deficiency) of Receipts over Disbursements	(46)	(115)	(73)	(135)	(84)	(225)	36	(99)	226	(91)	(41)	525							(122)
CLOSING BALANCE	(213)	(328)	(401)	(536)	(620)	(845)	(809)	(908)	(908)	(773)	(814)	(289)							(289)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2012
(dollars in millions)**

	2011 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(62)	(147)	(296)	(402)	(531)	(676)	(915)	(891)	(792)	(583)	(694)	(760)	(62)
RECEIPTS:													
Taxes	88	86	123	116	110	134	109	104	132	107	103	126	1,338
Miscellaneous Receipts	343	320	238	382	226	246	357	235	578	234	239	619	4,017
Federal Grants	0	0	0	0	0	3	0	0	0	0	0	2	5
TOTAL RECEIPTS	431	406	361	498	336	383	466	339	710	341	342	747	5,360
DISBURSEMENTS:													
Local Assistance Grants	165	269	101	242	62	104	58	57	114	48	44	440	1,704
Total Local Assistance Grants	165	269	101	242	62	104	58	57	114	48	44	440	1,704
Economic Development	2	2	4	5	6	2	6	11	7	6	6	18	75
Parks & the Environment	18	17	17	17	32	19	32	33	31	31	29	130	406
Transportation	124	124	218	143	186	246	199	197	195	195	194	199	2,220
Health & Social Welfare	3	2	3	14	3	3	4	3	3	3	3	(10)	34
Mental Hygiene	6	7	7	10	6	7	5	8	15	11	13	35	130
Public Protection	18	45	20	18	16	24	25	24	26	23	31	39	309
Education	54	53	87	75	100	92	83	99	109	91	109	148	1,100
All Other	29	25	23	25	38	(63)	12	14	10	9	7	(212)	(83)
Total Capital Projects	254	275	379	307	387	330	366	389	396	369	392	347	4,191
TOTAL DISBURSEMENTS	419	544	480	549	449	434	424	446	510	417	436	787	5,895
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	(7)	79	107	19	65	30	38	258	66	22	17	677	1,371
Transfers to other funds	(90)	(90)	(94)	(97)	(97)	(218)	(97)	(93)	(98)	(98)	(38)	(313)	(1,423)
Bond and note proceeds	0	0	0	0	0	0	41	41	41	41	49	271	484
NET OTHER FINANCING SOURCES/(USES)	(97)	(11)	13	(78)	(32)	(188)	(18)	206	9	(35)	28	635	432
Excess/(Deficiency) of Receipts over Disbursements	(85)	(149)	(106)	(129)	(145)	(239)	24	99	209	(111)	(66)	595	(103)
CLOSING BALANCE	(147)	(296)	(402)	(531)	(676)	(915)	(891)	(792)	(583)	(694)	(760)	(165)	(165)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2012
(dollars in millions)

	2011		2012											
	April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total	
OPENING BALANCE	(105)	(66)	(32)	1	(5)	56	70	82	(116)	(99)	(79)	(54)	(105)	
RECEIPTS:														
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	
Federal Grants	127	132	229	117	233	155	195	195	180	180	165	381	2,289	
TOTAL RECEIPTS	127	132	229	117	233	155	195	195	180	180	165	381	2,289	
DISBURSEMENTS:														
Local Assistance Grants	26	24	100	25	59	49	103	102	92	91	81	255	1,007	
Total Local Assistance Grants	26	24	100	25	59	49	103	102	92	91	81	255	1,007	
Economic Development	0	0	0	0	1	0	0	0	1	0	0	1	3	
Parks & the Environment	0	0	1	0	0	1	2	3	2	3	2	1	15	
Transportation	57	71	92	94	106	90	71	71	60	60	49	50	871	
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	
Public Protection	2	1	1	1	1	0	1	2	1	2	1	5	18	
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	4	2	2	1	4	1	6	4	6	4	7	8	49	
Total Capital Projects	63	74	96	96	112	92	80	80	70	69	59	65	956	
TOTAL DISBURSEMENTS	89	98	196	121	171	141	183	182	162	160	140	320	1,963	
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	0	0	0	0	(1)	0	0	(21)	(1)	0	0	(120)	(333)	
Transfers to other funds	1	0	0	(2)	0	0	0	0	0	0	0	(11)	(12)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	
NET OTHER FINANCING SOURCES/(USES)	1	0	0	(2)	(1)	0	0	(21)	(1)	0	0	(131)	(345)	
Excess/(Deficiency) of Receipts over Disbursements	39	34	33	(6)	61	14	12	(198)	17	20	25	(70)	(19)	
CLOSING BALANCE	(66)	(32)	1	(5)	56	70	82	(116)	(99)	(79)	(54)	(124)	(124)	

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2012**
(dollars in millions)

	2011 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,149	3,396	3,361	2,863	3,635	3,450	2,923	2,433	2,029	2,220	2,375	2,651		2,149
RECEIPTS:														
Personal Income Tax	0	0	392	0	0	178	6	36	2,681	0	0	0	0	3,293
User Taxes and Fees	206	162	196	193	177	201	184	169	196	186	132	162	0	2,164
Business Taxes	61	56	240	51	48	200	49	68	206	44	75	309	0	1,407
Other Taxes	125	128	85	97	134	71	114	118	104	167	139	127	0	1,409
Total Taxes	392	346	913	341	359	650	353	391	3,187	397	346	598	0	8,273
HCRA	339	349	345	364	354	291	396	398	363	495	311	175	0	4,170
State University Income	183	195	225	213	294	599	444	599	444	599	470	185	0	3,768
Lottery	232	225	275	211	266	209	215	276	244	243	293	283	0	2,972
Medicaid	60	68	67	84	90	65	72	72	72	72	72	76	0	870
Motor vehicle fees	44	51	52	42	46	54	38	26	37	27	31	46	0	494
Other receipts	255	252	210	171	293	407	215	241	349	277	247	(9)	0	2,908
Total Miscellaneous Receipts	1,113	1,140	1,174	1,085	1,343	1,625	1,380	1,267	1,314	1,561	1,424	756	0	15,182
Federal Grants	3,978	2,927	3,758	4,017	3,243	3,621	3,097	2,621	3,548	2,514	3,433	3,841	0	40,598
TOTAL RECEIPTS	5,483	4,413	5,845	5,443	4,945	5,896	4,830	4,279	8,049	4,472	5,203	5,195	0	64,053
DISBURSEMENTS:														
School Aid	336	416	768	241	178	2,178	226	283	373	284	644	611	0	6,538
Higher Education	1	1	1	0	0	4	0	0	0	0	0	16	0	24
All Other Education	215	188	104	44	53	34	34	89	144	52	50	73	0	1,080
STAR	0	0	392	0	0	178	6	36	2,681	0	0	0	0	3,283
Medicaid - DOH	2,793	2,263	3,061	2,765	2,288	2,545	2,182	2,079	2,027	2,078	2,345	2,116	0	28,542
Public Health	73	241	296	161	213	210	159	253	288	196	165	235	0	2,420
Mental Hygiene	71	76	202	151	89	196	176	102	260	99	118	334	0	1,874
Children and Families	11	9	6	167	279	36	120	135	10	135	46	45	0	999
Temporary & Disability Assistance	66	42	63	274	615	72	485	320	299	275	266	690	0	3,447
Transportation	164	424	354	263	464	218	403	547	665	195	287	184	0	4,158
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	100	66	66	302	132	44	68	106	101	74	134	0	1,215
Total Local Assistance Grants	3,752	3,760	5,303	4,133	4,471	5,803	3,815	3,912	6,793	3,415	3,995	4,438	0	53,590
Personal Service	445	454	537	411	621	639	596	584	625	611	712	555	0	6,790
Non-Personal Service	250	316	431	245	335	398	440	363	415	353	400	305	0	4,251
Total State Operations	695	770	968	656	956	1,037	1,036	947	1,040	964	1,112	860	0	11,041
General State Charges	48	103	322	45	242	222	56	204	273	212	70	335	0	2,132
Capital Projects	0	1	0	0	1	1	0	0	0	0	0	2	0	5
TOTAL DISBURSEMENTS	4,495	4,634	6,593	4,834	5,670	7,063	4,907	5,063	8,106	4,591	5,177	5,635	0	66,768
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	820	556	686	488	939	394	551	744	658	615	595	852	(720)	7,178
Transfers to other funds	(561)	(370)	(436)	(325)	(399)	(354)	(364)	(364)	(410)	(341)	(345)	(1,026)	720	(4,575)
NET OTHER FINANCING SOURCES/(USES)	259	186	250	163	540	40	187	380	248	274	250	(174)	0	2,603
Excess/(Deficiency) of Receipts over Disbursements	1,247	(35)	(498)	772	(185)	(1,127)	110	(404)	191	155	276	(614)	0	(112)
CLOSING BALANCE	3,396	3,361	2,863	3,635	3,450	2,923	2,433	2,029	2,220	2,375	2,651	2,037	0	2,037

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2012
(dollars in millions)**

	2011 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,139	3,086	3,238	2,980	3,502	3,754	2,237	2,288	2,293	2,083	2,636	2,918		2,139
RECEIPTS:														
Personal Income Tax	0	0	392	0	0	178	6	36	2,681	0	0	0	0	3,293
User Taxes and Fees	206	162	196	193	177	201	184	169	196	186	132	162	0	2,164
Business Taxes	61	56	240	51	48	200	48	68	206	44	75	309	0	1,407
Other Taxes	125	128	85	97	134	71	114	118	104	167	139	127	0	1,409
Total Taxes	392	346	913	341	359	650	353	391	3,187	397	346	598	0	8,273
HCRA	339	349	345	364	354	291	396	398	353	495	311	175	0	4,170
State University Income	183	195	225	213	294	254	444	444	259	470	470	185	0	3,768
Lottery	232	225	275	211	266	209	215	276	244	243	293	283	0	2,972
Medicaid	60	68	67	84	90	65	72	72	72	72	72	76	0	870
Motor vehicle fees	44	51	52	42	46	54	38	26	37	27	31	46	0	494
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	243	217	201	160	276	398	213	228	335	263	234	10	0	2,776
Total Miscellaneous Receipts	1,101	1,105	1,168	1,074	1,326	1,616	1,378	1,252	1,300	1,547	1,411	775	0	15,050
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	0	1
TOTAL RECEIPTS	1,493	1,451	2,078	1,415	1,685	2,266	1,731	1,643	4,487	1,944	1,757	1,374	0	23,324
DISBURSEMENTS:														
School Aid	0	0	319	0	0	1,948	103	102	102	103	103	103	0	2,884
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	1	0	0	1	1	2	1	1	1	1	1	1	0	11
STAR	0	0	392	0	0	178	6	36	2,681	0	0	0	0	3,293
Medicaid - DOH	394	461	287	290	488	391	386	436	310	454	486	633	0	5,016
Public Health	22	118	194	67	107	113	101	136	106	100	71	145	0	1,280
Mental Hygiene	51	65	184	141	69	184	163	98	239	86	110	329	0	1,719
Children and Families	1	1	0	0	0	0	0	0	0	0	1	(2)	0	1
Temporary & Disability Assistance	1	0	1	1	1	1	0	0	2	0	2	1	0	10
Transportation	161	421	353	262	451	214	400	544	662	192	284	182	0	4,126
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	12	21	9	19	212	31	24	24	28	25	21	62	0	488
Total Local Assistance Grants	643	1,087	1,329	781	1,329	3,053	1,184	1,377	4,131	961	1,079	1,470	0	18,844
Personal Service	392	397	490	363	551	591	548	531	571	559	646	465	0	6,104
Non-Personal Service	192	266	369	194	263	274	341	282	365	282	260	207	0	3,295
Total State Operations	584	663	859	557	814	865	889	813	936	841	906	672	0	9,399
General State Charges	45	78	282	42	220	193	43	189	222	191	56	269	0	1,830
Capital Projects	0	1	0	0	1	1	0	0	0	0	0	2	0	5
TOTAL DISBURSEMENTS	1,272	1,829	2,980	1,380	2,364	4,122	2,116	2,379	5,289	1,993	2,041	2,413	0	30,078
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	820	556	686	488	939	394	551	744	658	615	595	851	(720)	7,177
Transfers to other funds	(94)	(26)	(132)	(11)	(8)	(55)	(105)	(13)	(66)	(13)	(29)	(704)	720	(636)
NET OTHER FINANCING SOURCES/(USES)	726	530	554	477	931	339	446	731	592	602	566	147	0	6,641
Excess/(Deficiency) of Receipts over Disbursements	947	152	(248)	512	252	(1,517)	61	(5)	(210)	553	282	(692)	0	(113)
CLOSING BALANCE	3,086	3,238	2,990	3,502	3,754	2,237	2,288	2,293	2,083	2,636	2,918	2,026	0	2,026

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2012
(dollars in millions)

	2011		2012		September Actuals	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
	April Actuals	May Actuals	June Actuals	July Actuals								
OPENING BALANCE	10	310	123	(127)	133	86	135	(264)	137	(261)	(267)	10
RECEIPTS:												
Miscellaneous Receipts	12	35	9	11	17	2	15	14	14	13	(19)	132
Federal Grants	3,978	2,927	3,758	4,017	3,243	3,097	2,621	3,548	2,514	3,433	3,840	40,597
TOTAL RECEIPTS	3,990	2,962	3,767	4,028	3,260	3,099	2,636	3,562	2,528	3,446	3,821	40,729
DISBURSEMENTS:												
School Aid	336	416	449	241	178	123	181	271	181	541	508	3,654
Higher Education	1	1	1	1	0	0	0	0	0	0	0	8
All Other Education	214	188	104	43	52	33	88	143	51	49	72	1,069
STAR	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,399	1,802	2,774	2,475	1,800	1,796	1,643	1,717	1,624	1,859	1,483	23,526
Public Health	51	123	92	94	106	58	117	122	96	94	90	1,140
Mental Hygiene	20	11	18	10	20	13	4	21	13	8	5	155
Children and Families	10	8	6	167	279	120	135	10	135	45	47	998
Temporary & Disability Assistance	65	42	62	273	614	465	320	297	275	264	689	3,437
Transportation	3	3	1	1	3	3	3	3	3	3	2	32
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0
All Other	10	79	57	47	90	20	44	78	76	53	72	727
Total Local Assistance Grants	3,109	2,673	3,564	3,352	3,142	2,631	2,535	2,662	2,454	2,916	2,968	34,746
Personal Service	53	57	47	48	70	48	53	54	52	66	90	686
Non-Personal Service	58	50	62	51	72	99	81	50	71	140	98	956
Total State Operations	111	107	109	99	142	147	134	104	123	206	188	1,642
General State Charges	3	25	40	3	22	13	15	51	21	14	66	302
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,223	2,805	3,713	3,454	3,306	2,791	2,684	2,817	2,598	3,136	3,222	36,690
OTHER FINANCING SOURCES (uses):												
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	1	1
Transfers to other funds	(467)	(344)	(304)	(314)	(391)	(259)	(351)	(344)	(328)	(316)	(322)	(4,039)
NET OTHER FINANCING SOURCES/(USES)	(467)	(344)	(304)	(314)	(391)	(259)	(351)	(344)	(328)	(316)	(321)	(4,039)
Excess/(Deficiency) of Receipts over Disbursements	300	(187)	(250)	260	(437)	49	(399)	401	(398)	(6)	278	1
CLOSING BALANCE	310	123	(127)	133	(304)	135	(264)	137	(261)	(267)	11	11

**CASHFLOW
STATE FUNDS
FY 2012**
(dollars in millions)

	2011 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,907	8,505	5,943	5,553	5,859	5,779	6,798	6,197	5,679	4,160	10,111	9,888		3,907
RECEIPTS:														
Taxes	7,186	2,940	7,090	3,689	4,030	6,768	3,648	3,782	6,990	8,268	3,856	6,256	0	64,503
Miscellaneous Receipts	1,589	1,568	1,786	1,621	1,749	2,491	1,976	1,835	2,208	2,048	1,931	2,376	0	23,168
Federal Grants	5	13	0	2	36	22	0	0	15	0	2	50	0	145
TOTAL RECEIPTS	8,780	4,511	8,876	5,312	5,815	9,281	5,624	5,617	9,213	10,316	5,789	8,682	0	87,816
DISBURSEMENTS:														
School Aid	233	2,579	2,213	145	544	3,156	699	1,162	1,602	321	558	6,474	0	19,686
Higher Education	32	19	525	129	341	51	451	38	230	30	312	428	0	2,586
All Other Education	24	21	223	267	43	33	232	228	22	265	174	209	0	1,741
STAR	0	0	392	0	0	178	6	36	2,681	0	0	0	0	3,293
Medicaid - DOH	1,356	1,365	1,270	1,617	1,179	691	1,721	1,686	959	1,005	1,453	978	0	15,280
Public Health	37	136	235	104	262	152	154	176	142	125	152	335	0	2,010
Mental Hygiene	70	67	571	144	179	729	98	717	89	89	258	602	0	3,599
Children and Families	9	115	230	67	71	44	251	87	83	161	74	314	0	1,506
Temporary & Disability Assistance	327	63	66	167	192	66	91	76	89	75	20	180	0	1,412
Transportation	161	445	353	262	475	214	400	569	677	192	294	142	0	4,225
Unrestricted Aid	1	12	294	0	3	90	9	0	206	1	0	142	0	758
All Other	147	306	300	282	315	166	106	106	195	234	341	675	0	3,173
Total Local Assistance Grants	2,397	5,128	6,672	3,184	3,500	5,570	4,299	4,262	7,603	2,498	3,636	10,520	0	59,269
Personal Service	994	922	1,088	917	1,218	947	918	944	1,066	940	1,176	687	0	11,817
Non-Personal Service	392	392	465	342	432	396	430	413	505	406	433	500	0	5,106
Total State Operations	1,386	1,314	1,553	1,259	1,650	1,343	1,348	1,357	1,571	1,346	1,609	1,187	0	16,923
General State Charges	449	400	401	461	461	441	402	539	435	423	273	1,849	0	6,534
Debt service	157	293	566	102	286	878	105	188	1,131	96	465	1,567	0	5,834
Capital Projects	254	276	379	307	388	331	366	389	396	369	392	349	0	4,196
TOTAL DISBURSEMENTS	4,643	7,411	9,571	5,313	6,285	8,563	6,520	6,735	11,136	4,732	6,375	15,472	0	92,756
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	3,542	1,390	2,532	2,025	1,962	2,184	2,061	1,783	2,423	2,635	1,290	3,589	(720)	26,696
Transfers to other funds	(3,081)	(1,052)	(2,227)	(1,718)	(1,572)	(1,883)	(1,807)	(1,224)	(2,060)	(2,309)	(976)	(3,148)	720	(22,337)
Bond and note proceeds	0	0	0	0	0	0	41	41	41	41	49	271	0	484
NET OTHER FINANCING SOURCES/(USES)	461	338	305	307	390	301	295	600	404	367	363	712	0	4,843
Excess/(Deficiency) of Receipts over Disbursements	4,598	(2,562)	(390)	306	(80)	1,019	(601)	(518)	(1,519)	5,951	(223)	(6,078)	0	(97)
CLOSING BALANCE	8,505	5,943	5,553	5,859	5,779	6,798	6,197	5,679	4,160	10,111	9,888	3,810	0	3,810

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2012
(millions of dollars)

	<u>First Quarterly</u>	<u>Change</u>	<u>Mid-Year</u>
Opening fund balance	<u>159</u>	<u>0</u>	<u>159</u>
Receipts:			
Taxes	1,194	0	1,194
Miscellaneous receipts	<u>4,288</u>	<u>(118)</u>	<u>4,170</u>
Total receipts	<u>5,482</u>	<u>(118)</u>	<u>5,364</u>
Disbursements:			
Medical Assistance Account	3,390	(29)	3,361
HCRA Program Account	522	(29)	493
Hospital Indigent Care Fund	792	0	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	165	(55)	110
Child Health Plus (CHP)	332	(5)	327
Public Health	120	0	120
All Other	<u>320</u>	<u>(1)</u>	<u>319</u>
Total disbursements	<u>5,641</u>	<u>(119)</u>	<u>5,522</u>
Change in fund balance	<u>(159)</u>	<u>1</u>	<u>(158)</u>
Closing fund balance	<u>0</u>	<u>1</u>	<u>1</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2012 THROUGH FY 2015
(millions of dollars)**

	<u>FY 2012 Projected</u>	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>
Opening fund balance	<u>159</u>	<u>1</u>	<u>7</u>	<u>13</u>
Receipts:				
Taxes	1,194	1,254	1,232	1,210
Miscellaneous receipts	<u>4,170</u>	<u>4,807</u>	<u>4,986</u>	<u>5,105</u>
Total receipts	<u>5,364</u>	<u>6,061</u>	<u>6,218</u>	<u>6,315</u>
Disbursements:				
Medical Assistance Account	3,361	3,822	3,914	4,127
HCRA Program Account	493	511	509	511
Hospital Indigent Care Fund	792	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	110	128	174	182
Child Health Plus (CHP)	327	352	377	402
Public Health	120	120	120	120
All Other	<u>319</u>	<u>330</u>	<u>326</u>	<u>186</u>
Total disbursements	<u>5,522</u>	<u>6,055</u>	<u>6,212</u>	<u>6,320</u>
Change in fund balance	<u>(158)</u>	<u>6</u>	<u>6</u>	<u>(5)</u>
Closing fund balance	<u>1</u>	<u>7</u>	<u>13</u>	<u>8</u>

Note: Statutory authorization for HCRA expires on March 31, 2014.

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2011 and FY 2012
(millions of dollars)

	FY 2011 Actuals	FY 2012 Mid-Year	Annual Change
Opening fund balance	<u>26</u>	<u>159</u>	<u>133</u>
Receipts:			
Taxes	1,136	1,194	58
Miscellaneous receipts	<u>4,150</u>	<u>4,170</u>	<u>20</u>
Total receipts	<u>5,286</u>	<u>5,364</u>	<u>78</u>
Disbursements:			
Medical Assistance Account	2,843	3,361	518
HCRA Program Account	326	493	167
Hospital Indigent Care Fund	861	792	(69)
Elderly Pharmaceutical Insurance Coverage (EPIC)	195	110	(85)
Child Health Plus (CHP)	348	327	(21)
Public Health	111	120	9
All Other	<u>469</u>	<u>319</u>	<u>(150)</u>
Total disbursements	<u>5,153</u>	<u>5,522</u>	<u>369</u>
Change in fund balance	<u>133</u>	<u>(158)</u>	<u>(291)</u>
Closing fund balance	<u>159</u>	<u>1</u>	<u>(158)</u>

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2012
(dollars in millions)**

	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	159	233	195	220	435	407	336	406	394	470	585	475	159
Receipts:													
Taxes	95	98	106	106	111	108	101	99	107	94	75	94	1,194
Miscellaneous receipts	339	349	345	364	354	291	364	386	353	495	311	207	4,170
Total receipts	434	447	451	470	465	399	465	487	460	589	386	301	5,364
Disbursements:													
Medical Assistance Account	266	335	160	150	348	251	245	286	169	313	346	482	3,361
HCRA Program Account	10	5	139	6	10	34	21	72	37	42	22	95	493
Hospital Indigent Care Fund	69	64	65	64	65	64	66	66	66	66	66	71	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	0	0	0	14	10	25	20	20	14	3	1	110
Child Health Plus (CHIP)	3	45	13	25	26	29	30	30	30	30	30	36	327
Public Health	5	8	13	7	24	18	7	7	9	7	9	6	120
All Other	4	28	36	3	6	64	1	18	53	2	20	84	319
Total disbursements	360	485	426	255	493	470	395	509	394	474	496	775	5,522
Change in fund balance	74	(38)	25	215	(28)	(71)	70	(12)	76	115	(110)	(474)	(158)
Closing fund balance	233	195	220	435	407	336	406	394	470	585	475	1	1

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2013
(dollars in millions)**

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	1	107	77	81	315	344	283	439	498	607	876	778	1
Receipts:													
Taxes	104	100	111	115	120	117	102	99	106	94	89	97	1,254
Miscellaneous receipts	375	375	375	400	375	325	400	450	375	632	350	375	4,807
Total receipts	479	475	486	515	495	442	502	549	481	726	439	472	6,061
Disbursements:													
Medical Assistance Account	275	377	176	145	331	240	233	281	161	298	396	909	3,822
HCRA Program Account	9	5	138	6	10	34	20	70	35	42	21	121	511
Hospital Indigent Care Fund	66	66	66	66	66	66	66	66	66	66	66	66	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	8	10	12	12	14	13	15	15	15	5	5	4	128
Child Health Plus (CHIP)	6	29	29	29	29	53	6	29	29	29	29	55	352
Public Health	5	5	8	10	10	15	13	15	12	10	9	8	120
All Other	4	13	53	13	6	72	3	13	55	7	11	80	330
Total disbursements	373	505	482	281	466	493	356	489	373	457	537	1,243	6,055
Change in fund balance	106	(30)	4	234	29	(51)	146	60	108	269	(98)	(771)	6
Closing fund balance	107	77	81	315	344	293	439	499	607	876	778	7	7

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 FY 2012
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>29</u>	<u>21</u>	<u>(61)</u>
Receipts:			
Unemployment Taxes	0	3,775	0
Miscellaneous Receipts	596	216	1
Federal Receipts	<u>0</u>	<u>2,775</u>	<u>0</u>
Total receipts	<u>596</u>	<u>6,766</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	125	7	0
Non-Personal Service	436	152	0
Unemployment Benefits	0	6,550	0
General State Charges	62	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>623</u>	<u>6,712</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(48)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>25</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(2)</u>	<u>54</u>	<u>1</u>
Closing Fund Balance	<u>27</u>	<u>75</u>	<u>(60)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 FY 2013
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>27</u>	<u>75</u>	<u>(60)</u>
Receipts:			
Unemployment Taxes	0	3,331	0
Miscellaneous Receipts	619	216	1
Federal Receipts	<u>0</u>	<u>225</u>	<u>0</u>
Total Receipts	<u>619</u>	<u>3,772</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	127	7	0
Non-Personal Service	441	203	0
Unemployment Benefits	0	3,556	0
General State Charges	67	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>635</u>	<u>3,769</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(65)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>8</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(8)</u>	<u>3</u>	<u>1</u>
Closing Fund Balance	<u>19</u>	<u>78</u>	<u>(59)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 FY 2014
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>19</u>	<u>78</u>	<u>(59)</u>
Receipts:			
Unemployment Taxes	0	3,456	0
Miscellaneous Receipts	622	227	1
Federal Receipts	<u>0</u>	<u>25</u>	<u>0</u>
Total Receipts	<u>622</u>	<u>3,708</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	128	7	0
Non-Personal Service	456	211	0
Unemployment Benefits	0	3,481	0
General State Charges	71	4	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>655</u>	<u>3,703</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(73)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>0</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(33)</u>	<u>5</u>	<u>1</u>
Closing Fund Balance	<u>(14)</u>	<u>83</u>	<u>(58)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 FY 2015
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>(14)</u>	<u>83</u>	<u>(58)</u>
Receipts:			
Unemployment Taxes	0	3,447	0
Miscellaneous Receipts	663	218	1
Federal Receipts	<u>0</u>	<u>25</u>	<u>0</u>
Total Receipts	<u>663</u>	<u>3,690</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	129	7	0
Non-Personal Service	461	202	0
Unemployment Benefits	0	3,472	0
General State Charges	75	4	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>665</u>	<u>3,685</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(96)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(23)</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(25)</u>	<u>5</u>	<u>1</u>
Closing Fund Balance	<u>(39)</u>	<u>88</u>	<u>(57)</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	58,146	42,751	49,154	51,195	49,378	49,991
Local Assistance Grants	26,841	15,014	24,191	25,580	23,095	23,095
State Operations	31,305	27,737	24,963	25,615	26,283	26,896
Personal Service	23,245	21,529	18,896	19,309	19,730	20,160
Non-Personal Service/Indirect Costs	8,060	6,208	6,067	6,306	6,553	6,736
<i>Development Authority of the North Country</i>	36	10	117	70	0	0
Local Assistance Grants	36	10	117	70	0	0
<i>Economic Development, Department of</i>	69,634	45,618	83,040	76,677	64,289	72,030
Local Assistance Grants	37,640	25,790	52,620	60,390	47,409	54,772
State Operations	31,994	19,828	30,420	16,287	16,880	17,258
Personal Service	15,227	13,863	10,864	10,835	10,980	11,092
Non-Personal Service/Indirect Costs	16,767	5,965	19,556	5,452	5,900	6,166
<i>Empire State Development Corporation</i>	23,276	35,741	84,368	62,081	42,100	17,800
Local Assistance Grants	23,276	35,741	84,368	62,081	42,100	17,800
<i>Energy Research and Development Authority</i>	240	0	0	0	0	0
State Operations	240	0	0	0	0	0
Non-Personal Service/Indirect Costs	240	0	0	0	0	0
<i>Financial Services, Department of</i>	80,893	11,283	0	0	0	0
Local Assistance Grants	68,598	11,145	0	0	0	0
State Operations	12,295	138	0	0	0	0
Personal Service	528	138	0	0	0	0
Non-Personal Service/Indirect Costs	11,767	0	0	0	0	0
<i>Olympic Regional Development Authority</i>	5,403	3,471	3,005	3,005	3,132	3,214
State Operations	5,403	3,471	3,005	3,005	3,132	3,214
Personal Service	3,090	2,890	2,485	2,485	2,522	2,548
Non-Personal Service/Indirect Costs	2,313	581	520	520	610	666
<i>Racing and Wagering Board, State</i>	9	0	0	0	0	0
State Operations	9	0	0	0	0	0
Non-Personal Service/Indirect Costs	9	0	0	0	0	0
Functional Total	237,637	138,874	219,684	193,028	158,899	143,035
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	5,153	4,637	4,173	4,175	4,175	4,175
State Operations	5,153	4,637	4,173	4,175	4,175	4,175
Personal Service	4,729	4,234	3,812	3,814	3,814	3,814
Non-Personal Service/Indirect Costs	424	403	361	361	361	361
<i>Environmental Conservation, Department of</i>	124,796	105,995	98,732	97,363	98,615	98,615
Local Assistance Grants	7,965	2,425	6,802	5,425	4,802	4,802
State Operations	116,831	103,570	91,930	91,938	93,813	93,813
Personal Service	100,081	88,184	78,152	78,160	80,035	80,035
Non-Personal Service/Indirect Costs	16,750	15,386	13,778	13,778	13,778	13,778
<i>Environmental Facilities Corporation</i>	125	0	0	0	0	0
State Operations	125	0	0	0	0	0
Personal Service	122	0	0	0	0	0
Non-Personal Service/Indirect Costs	3	0	0	0	0	0
<i>Parks, Recreation and Historic Preservation, Office of</i>	142,061	131,990	120,584	118,694	113,382	113,382
Local Assistance Grants	14,529	11,025	11,716	8,162	2,850	2,850
State Operations	127,532	120,965	108,868	110,532	110,532	110,532
Personal Service	113,362	109,167	99,768	100,641	100,641	100,641
Non-Personal Service/Indirect Costs	14,170	11,798	9,100	9,891	9,891	9,891
Functional Total	272,135	242,622	223,489	220,232	216,172	216,172
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	55	0	0	0	0	0
State Operations	55	0	0	0	0	0
Non-Personal Service/Indirect Costs	55	0	0	0	0	0
<i>Transportation, Department of</i>	65,676	98,892	100,619	99,958	99,206	99,206
Local Assistance Grants	64,139	97,038	98,964	98,303	97,551	97,551
State Operations	1,537	1,854	1,655	1,655	1,655	1,655
Non-Personal Service/Indirect Costs	1,537	1,854	1,655	1,655	1,655	1,655
Functional Total	65,731	98,892	100,619	99,958	99,206	99,206

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
HEALTH						
<i>Aging, Office for the</i>	115,777	118,710	112,641	120,187	124,138	131,133
Local Assistance Grants	113,209	117,034	111,310	118,803	122,711	129,662
State Operations	2,568	1,676	1,331	1,384	1,427	1,471
Personal Service	2,427	1,641	1,300	1,333	1,366	1,400
Non-Personal Service/Indirect Costs	141	35	31	51	61	71
<i>Health, Department of</i>	7,699,522	8,316,084	11,165,335	11,402,317	11,942,884	12,402,972
Medical Assistance	6,295,718	6,963,485	9,737,044	9,905,707	10,434,482	10,859,665
Local Assistance Grants	6,274,434	6,940,238	9,690,689	9,859,352	10,388,127	10,813,310
State Operations	21,284	23,247	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500	500
Non-Personal Service/Indirect Costs	21,284	22,747	45,855	45,855	45,855	45,855
Medicaid Administration	514,488	538,370	573,750	596,750	620,650	645,450
Local Assistance Grants	514,488	538,370	573,750	596,750	620,650	645,450
Public Health	889,316	814,229	854,541	899,860	887,752	897,857
Local Assistance Grants	691,039	647,875	730,255	770,803	753,695	758,400
State Operations	198,277	166,354	124,286	129,057	134,057	139,457
Personal Service	81,583	60,522	53,900	55,700	57,500	59,400
Non-Personal Service/Indirect Costs	116,694	105,832	70,386	73,357	76,557	80,057
<i>Medicaid Inspector General, Office of the</i>	23,551	24,095	21,685	22,425	23,205	24,015
State Operations	23,551	24,095	21,685	22,425	23,205	24,015
Personal Service	16,172	16,930	15,269	15,709	16,159	16,619
Non-Personal Service/Indirect Costs	7,379	7,165	6,416	6,716	7,046	7,396
Functional Total	7,838,850	8,458,889	11,299,661	11,544,929	12,090,227	12,558,120
SOCIAL WELFARE						
<i>Children and Family Services, Office of</i>	2,000,459	1,928,797	1,741,762	1,942,159	2,000,703	2,108,840
OCFS	1,950,981	1,859,442	1,630,661	1,817,786	1,866,139	1,967,988
Local Assistance Grants	1,697,140	1,594,168	1,393,760	1,555,021	1,605,011	1,701,992
State Operations	253,841	265,274	236,901	262,765	261,128	265,996
Personal Service	170,669	163,689	145,456	163,141	158,304	160,411
Non-Personal Service/Indirect Costs	83,172	101,585	91,445	99,624	102,824	105,585
OCFS - Other	49,478	69,355	111,101	124,373	134,564	140,852
Local Assistance Grants	49,478	69,355	111,101	124,373	134,564	140,852
<i>Housing and Community Renewal, Division of</i>	80,713	62,719	51,720	52,134	52,101	52,098
Local Assistance Grants	54,192	43,306	36,115	35,415	35,415	35,415
State Operations	26,521	19,413	15,605	16,719	16,686	16,683
Personal Service	15,110	11,572	8,583	9,308	9,269	9,270
Non-Personal Service/Indirect Costs	11,411	7,841	7,022	7,411	7,417	7,413
<i>Human Rights, Division of</i>	10,731	14,165	9,272	9,453	9,584	9,584
State Operations	10,731	14,165	9,272	9,453	9,584	9,584
Personal Service	8,027	12,932	8,168	8,265	8,363	8,363
Non-Personal Service/Indirect Costs	2,704	1,233	1,104	1,188	1,221	1,221
<i>Labor, Department of</i>	14,062	11,516	8,506	1,445	0	0
Local Assistance Grants	13,019	11,114	8,506	1,445	0	0
State Operations	1,043	402	0	0	0	0
Personal Service	800	309	0	0	0	0
Non-Personal Service/Indirect Costs	243	93	0	0	0	0
<i>National and Community Service</i>	359	381	599	601	683	687
Local Assistance Grants	0	0	350	350	350	350
State Operations	359	381	249	251	333	337
Personal Service	316	304	208	210	292	295
Non-Personal Service/Indirect Costs	43	77	41	41	41	42
<i>Prevention of Domestic Violence, Office for</i>	2,127	1,928	1,960	1,981	1,981	1,981
Local Assistance Grants	656	666	685	685	685	685
State Operations	1,471	1,262	1,275	1,296	1,296	1,296
Personal Service	1,251	1,098	1,139	1,152	1,152	1,152
Non-Personal Service/Indirect Costs	220	164	136	144	144	144
<i>Temporary and Disability Assistance, Office of</i>	1,354,683	1,254,805	1,454,166	1,594,858	1,654,929	1,669,417
Welfare Assistance	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Local Assistance Grants	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Welfare Administration	51,263	0	0	0	0	0
Local Assistance Grants	51,263	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
All Other	166,961	210,940	205,964	212,392	224,237	225,625
Local Assistance Grants	116,975	152,334	154,150	156,966	168,116	168,116
State Operations	49,986	58,606	51,814	55,426	56,121	57,509
Personal Service	14,749	14,094	11,953	12,102	12,278	12,401
Non-Personal Service/Indirect Costs	35,237	44,512	39,861	43,324	43,843	45,108
Welfare Inspector General, Office of	313	326	293	293	307	311
State Operations	313	326	293	293	307	311
Personal Service	313	326	293	293	307	311
Functional Total	3,463,447	3,274,637	3,268,278	3,602,924	3,720,288	3,842,918
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	134,108	142,096	33,232	33,011	32,680	32,680
OASAS	100,328	93,007	552	331	0	0
Local Assistance Grants	100,303	93,007	552	331	0	0
State Operations	25	0	0	0	0	0
Non-Personal Service/Indirect Costs	25	0	0	0	0	0
OASAS - Other	33,780	49,089	32,680	32,680	32,680	32,680
Local Assistance Grants	33,780	49,089	32,680	32,680	32,680	32,680
Mental Health, Office of	539,126	539,404	430,834	434,450	452,681	479,548
OMH	114,462	115,992	26,354	1,132	800	800
Local Assistance Grants	114,462	115,741	25,554	332	0	0
State Operations	0	251	800	800	800	800
Personal Service	0	167	0	0	0	0
Non-Personal Service/Indirect Costs	0	84	800	800	800	800
OMH - Other	424,664	423,412	404,480	433,318	451,881	478,748
Local Assistance Grants	424,664	423,412	404,480	433,318	451,881	478,748
People with Developmental Disabilities, Office for	1,478,173	1,557,858	1,416,974	1,508,933	1,672,033	1,764,701
OPWDD	102,577	119,052	1,586	950	0	0
Local Assistance Grants	102,577	119,052	1,586	950	0	0
OPWDD - Other	1,375,596	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Local Assistance Grants	1,375,596	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,166	4,434	3,954	4,034	4,115	4,190
Local Assistance Grants	593	229	170	170	170	170
State Operations	4,573	4,205	3,784	3,864	3,945	4,020
Personal Service	3,627	3,228	2,909	2,952	2,993	3,030
Non-Personal Service/Indirect Costs	946	977	875	912	952	990
Functional Total	2,156,573	2,243,792	1,884,994	1,980,428	2,161,509	2,281,119
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
State Operations	21	0	0	0	0	0
Non-Personal Service/Indirect Costs	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
State Operations	2,596	2,419	2,740	2,792	2,824	2,857
Personal Service	2,142	2,082	2,326	2,360	2,376	2,400
Non-Personal Service/Indirect Costs	454	337	414	432	448	457
Correctional Services, Department of	2,811,028	2,586,638	2,437,219	2,493,276	2,563,053	2,625,991
Local Assistance Grants	17,714	10,386	6,086	6,051	6,000	6,000
State Operations	2,791,314	2,574,252	2,431,133	2,487,225	2,557,053	2,619,991
Personal Service	2,250,699	2,043,633	1,968,393	1,990,954	2,017,629	2,038,692
Non-Personal Service/Indirect Costs	540,615	530,619	462,740	496,271	539,424	581,299
General State Charges	2,000	2,000	0	0	0	0
Criminal Justice Services, Division of	220,517	183,551	173,860	172,569	170,431	172,527
Local Assistance Grants	162,448	127,375	123,315	120,897	116,877	116,877
State Operations	58,069	56,176	50,545	51,672	53,554	55,650
Personal Service	37,286	34,492	28,971	29,675	28,492	28,836
Non-Personal Service/Indirect Costs	20,783	21,684	21,574	21,997	25,062	26,814
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
State Operations	0	0	0	31,283	31,705	32,133
Personal Service	0	0	0	27,758	28,074	28,393
Non-Personal Service/Indirect Costs	0	0	0	3,525	3,631	3,740
Homeland Security and Emergency Services, Division of	21,665	30,067	24,469	19,538	18,608	14,678
Local Assistance Grants	0	17,552	18,572	13,572	12,572	8,572

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
State Operations	21,665	12,515	5,897	5,966	6,036	6,106
Personal Service	10,896	6,197	5,897	5,966	6,036	6,106
Non-Personal Service/Indirect Costs	10,769	6,318	0	0	0	0
Investigation, Temporary State Commission of	391	0	0	0	0	0
State Operations	391	0	0	0	0	0
Personal Service	386	0	0	0	0	0
Non-Personal Service/Indirect Costs	5	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
State Operations	5,145	4,944	4,763	4,837	4,917	4,995
Personal Service	3,988	3,723	3,355	3,395	3,438	3,479
Non-Personal Service/Indirect Costs	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	67,804	21,953	21,971	13,772	13,975	14,197
Local Assistance Grants	31,571	725	670	667	650	650
State Operations	36,233	21,228	21,301	13,105	13,325	13,547
Personal Service	19,790	17,690	16,133	9,856	9,981	10,106
Non-Personal Service/Indirect Costs	16,443	3,538	5,168	3,249	3,344	3,441
State Police, Division of	535,571	463,968	430,440	422,806	427,208	431,645
State Operations	535,571	463,968	430,440	422,806	427,208	431,645
Personal Service	489,624	421,015	387,334	371,207	374,207	377,207
Non-Personal Service/Indirect Costs	45,947	42,953	43,106	51,599	53,001	54,438
Victim Services, Office of	144	0	0	0	0	0
State Operations	144	0	0	0	0	0
Personal Service	113	0	0	0	0	0
Non-Personal Service/Indirect Costs	31	0	0	0	0	0
Functional Total	3,664,882	3,293,540	3,095,462	3,160,873	3,232,721	3,299,023
HIGHER EDUCATION						
City University of New York	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
Local Assistance Grants	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
Higher Education Services Corporation, New York State	852,136	789,025	889,861	954,999	953,629	969,314
Local Assistance Grants	823,299	791,507	889,861	950,962	949,592	965,277
State Operations	28,837	(2,482)	0	4,037	4,037	4,037
Non-Personal Service/Indirect Costs	28,837	(2,482)	0	4,037	4,037	4,037
State University of New York	1,833,353	1,710,963	1,593,370	1,612,362	1,635,154	1,658,234
Local Assistance Grants	445,974	472,818	478,471	443,938	443,324	443,324
State Operations	1,208,507	1,029,227	916,844	970,369	993,775	1,016,855
Personal Service	849,522	760,404	660,226	692,346	702,651	712,215
Non-Personal Service/Indirect Costs	358,985	268,823	256,618	278,023	291,124	304,640
General State Charges	178,872	208,918	198,055	198,055	198,055	198,055
Functional Total	4,210,777	3,682,801	3,684,689	3,847,151	3,931,610	4,033,136
EDUCATION						
Arts, Council on the	42,286	45,173	35,860	35,897	35,957	36,024
Local Assistance Grants	36,887	40,479	31,635	31,635	31,635	31,635
State Operations	5,399	4,694	4,225	4,262	4,322	4,389
Personal Service	3,741	3,098	2,796	2,820	2,845	2,870
Non-Personal Service/Indirect Costs	1,658	1,596	1,429	1,442	1,477	1,519
Education, Department of	17,057,597	20,206,427	18,569,643	19,133,528	20,001,901	20,903,826
School Aid	15,487,493	18,705,305	16,801,933	17,196,776	17,982,703	18,812,962
Local Assistance Grants	15,487,493	18,705,305	16,801,933	17,196,776	17,982,703	18,812,962
Special Education Categorical Programs	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
Local Assistance Grants	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
All Other	650,422	576,904	571,139	563,616	563,582	561,648
Local Assistance Grants	600,090	534,933	533,510	525,298	524,827	522,452
State Operations	48,631	40,446	35,719	36,408	36,845	37,286
Personal Service	29,760	24,420	21,159	21,421	21,858	22,299
Non-Personal Service/Indirect Costs	18,871	16,026	14,560	14,987	14,987	14,987
General State Charges	1,701	1,525	1,910	1,910	1,910	1,910
Functional Total	17,099,883	20,251,600	18,605,503	19,169,425	20,037,858	20,939,850
GENERAL GOVERNMENT						
Budget, Division of the	24,368	22,928	20,635	21,057	21,437	22,524
State Operations	24,368	22,928	20,635	21,057	21,437	22,524
Personal Service	22,036	20,633	18,580	19,225	19,889	20,808
Non-Personal Service/Indirect Costs	2,332	2,295	2,055	1,832	1,548	1,716

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Civil Service, Department of	20,257	16,392	13,921	14,124	14,352	14,478
State Operations	20,257	16,392	13,921	14,124	14,352	14,478
Personal Service	19,207	15,599	13,304	13,492	13,705	13,815
Non-Personal Service/Indirect Costs	1,050	793	617	632	647	663
Deferred Compensation Board	103	113	52	53	54	55
State Operations	103	113	52	53	54	55
Personal Service	31	30	29	29	29	29
Non-Personal Service/Indirect Costs	72	83	23	24	25	26
Elections, State Board of	6,031	6,096	6,963	5,240	5,185	35,407
Local Assistance Grants	195	582	2,000	0	0	30,000
State Operations	5,836	5,514	4,963	5,240	5,185	5,407
Personal Service	4,389	4,205	3,791	3,973	3,836	4,011
Non-Personal Service/Indirect Costs	1,447	1,309	1,172	1,267	1,349	1,396
Employee Relations, Office of	3,204	3,000	2,700	2,732	2,770	2,804
State Operations	3,204	3,000	2,700	2,732	2,770	2,804
Personal Service	3,071	2,909	2,619	2,648	2,683	2,714
Non-Personal Service/Indirect Costs	133	91	81	84	87	90
General Services, Office of	127,444	119,460	107,521	110,464	113,275	115,855
Local Assistance Grants	24	28	32	19	0	0
State Operations	127,420	119,432	107,489	110,445	113,275	115,855
Personal Service	59,521	52,715	47,743	48,623	49,210	49,750
Non-Personal Service/Indirect Costs	67,899	66,717	59,746	61,822	64,065	66,105
Inspector General, Office of the	6,052	5,633	5,343	5,428	5,515	5,584
State Operations	6,052	5,633	5,343	5,428	5,515	5,584
Personal Service	5,767	5,519	4,887	4,948	5,009	5,063
Non-Personal Service/Indirect Costs	285	114	456	480	506	521
Labor Management Committees	33,609	32,243	49,956	68,604	25,421	25,421
State Operations	33,609	32,243	49,956	68,604	25,421	25,421
Personal Service	8,055	9,872	7,776	7,864	7,864	7,864
Non-Personal Service/Indirect Costs	25,554	22,371	42,180	60,740	17,557	17,557
Public Employment Relations Board	3,637	3,660	3,270	3,506	3,747	3,961
State Operations	3,637	3,660	3,270	3,506	3,747	3,961
Personal Service	3,262	3,211	2,868	2,825	3,052	3,246
Non-Personal Service/Indirect Costs	375	449	402	681	695	715
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
State Operations	4,209	3,794	3,415	3,595	3,672	3,738
Personal Service	3,357	3,017	2,719	2,863	2,905	2,944
Non-Personal Service/Indirect Costs	852	777	696	732	767	794
Real Property Services, Office of	34,790	0	0	0	0	0
Local Assistance Grants	11,409	0	0	0	0	0
State Operations	23,381	0	0	0	0	0
Personal Service	19,732	0	0	0	0	0
Non-Personal Service/Indirect Costs	3,649	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State Operations	2,449	1,653	0	0	0	0
Personal Service	2,248	1,537	0	0	0	0
Non-Personal Service/Indirect Costs	201	116	0	0	0	0
State, Department of	44,052	36,483	30,669	21,763	15,359	15,643
Local Assistance Grants	23,530	19,682	15,613	6,696	0	0
State Operations	20,522	16,801	15,056	15,067	15,359	15,643
Personal Service	15,730	12,295	11,121	11,309	11,455	11,597
Non-Personal Service/Indirect Costs	4,792	4,506	3,935	3,758	3,904	4,046
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
State Operations	3,458	3,134	2,741	2,741	2,779	2,812
Personal Service	2,960	2,776	2,420	2,420	2,447	2,471
Non-Personal Service/Indirect Costs	498	358	321	321	332	341
Taxation and Finance, Department of	334,186	366,317	311,607	311,797	316,262	320,692
Local Assistance Grants	0	5,270	926	1,076	1,076	1,076
State Operations	334,186	361,047	310,681	310,721	315,186	319,616
Personal Service	267,552	296,271	252,251	252,291	254,894	257,677
Non-Personal Service/Indirect Costs	66,634	64,776	58,430	58,430	60,292	61,939
Technology, Office for	22,765	22,902	19,336	14,237	14,700	15,343
Local Assistance Grants	299	884	1,245	0	0	0
State Operations	22,466	22,018	18,091	14,237	14,700	15,343
Personal Service	10,571	11,208	10,311	10,431	10,529	10,648
Non-Personal Service/Indirect Costs	11,895	10,810	7,780	3,806	4,171	4,695

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Veterans' Affairs, Division of	14,756	14,069	13,923	13,827	13,333	13,413
Local Assistance Grants	8,290	8,044	8,501	8,350	7,785	7,855
State Operations	6,466	6,025	5,422	5,477	5,548	5,558
Personal Service	5,996	5,570	4,983	5,028	5,089	5,089
Non-Personal Service/Indirect Costs	470	455	439	449	459	469
Functional Total	685,370	657,877	592,052	599,168	557,861	597,730
ELECTED OFFICIALS						
Audit and Control, Department of	168,256	167,190	155,701	163,614	165,968	168,109
Local Assistance Grants	32,026	31,598	32,024	32,024	32,024	32,024
State Operations	136,230	135,592	123,677	131,590	133,944	136,085
Personal Service	111,830	107,384	97,026	104,085	105,451	106,694
Non-Personal Service/Indirect Costs	24,400	28,208	26,651	27,505	28,493	29,391
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
State Operations	17,056	12,880	13,926	14,203	14,461	15,185
Personal Service	13,451	10,963	11,160	11,337	11,495	12,025
Non-Personal Service/Indirect Costs	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	2,268,899	2,339,911	2,315,445	2,586,676	2,753,805	2,994,310
Local Assistance Grants	4,643	4,884	2,445	52,500	52,500	52,500
State Operations	1,771,673	1,792,790	1,730,500	1,905,442	2,011,623	2,182,160
Personal Service	1,476,862	1,467,042	1,407,855	1,535,325	1,590,995	1,709,235
Non-Personal Service/Indirect Costs	294,811	325,748	322,645	370,117	420,628	472,925
General State Charges	492,583	542,237	582,500	628,734	689,682	759,650
Law, Department of	119,910	110,613	98,374	105,058	106,967	108,149
State Operations	119,910	110,613	98,374	105,058	106,967	108,149
Personal Service	101,527	96,314	85,569	90,950	92,004	92,828
Non-Personal Service/Indirect Costs	18,383	14,299	12,805	14,108	14,963	15,321
Legislature	224,079	221,740	217,845	226,735	231,313	234,465
State Operations	224,079	221,740	217,845	226,735	231,313	234,465
Personal Service	177,874	174,096	165,284	171,715	175,149	176,901
Non-Personal Service/Indirect Costs	46,205	47,644	52,561	55,020	56,164	57,564
Lieutenant Governor, Office of the	0	304	630	645	645	690
State Operations	0	304	630	645	645	690
Personal Service	0	281	495	522	550	588
Non-Personal Service/Indirect Costs	0	23	135	123	95	102
Functional Total	2,798,200	2,852,638	2,801,921	3,096,931	3,273,159	3,520,908
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Local Assistance Grants	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Local Assistance Grants	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Local Assistance Grants	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Local Assistance Grants	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Local Assistance Grants	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634
ALL OTHER CATEGORIES						
General State Charges	2,920,603	3,432,021	3,907,105	4,169,810	4,561,858	4,658,967
State Operations	4,852	5,561	0	0	0	0
Personal Service	2,175	3,835	0	0	0	0
Non-Personal Service/Indirect Costs	2,677	1,726	0	0	0	0
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Miscellaneous	(78,946)	(37,343)	445,301	628,954	310,620	304,010
Local Assistance Grants	(84,359)	(44,723)	399,387	279,965	279,965	279,965
State Operations	2,535	1,549	31,494	254,569	26,235	19,625
Personal Service	86	25	48	48	48	48
Non-Personal Service/Indirect Costs	2,449	1,524	31,446	254,521	26,187	19,577
General State Charges	2,878	5,831	14,420	94,420	4,420	4,420
Functional Total	2,841,657	3,394,678	4,352,406	4,798,764	4,872,478	4,962,977
TOTAL GENERAL FUND SPENDING	46,415,421	49,366,170	50,887,122	53,106,901	55,141,985	57,284,828

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	58,146	42,751	49,154	51,195	49,378	49,991
Development Authority of the North Country	36	10	117	70	0	0
Economic Development, Department of	69,634	45,618	83,040	76,677	64,289	72,030
Empire State Development Corporation	23,276	35,741	84,368	62,081	42,100	17,800
Energy Research and Development Authority	240	0	0	0	0	0
Financial Services, Department of	80,893	11,283	0	0	0	0
Olympic Regional Development Authority	5,403	3,471	3,005	3,005	3,132	3,214
Racing and Wagering Board, State	9	0	0	0	0	0
Functional Total	237,637	138,874	219,684	193,028	158,899	143,035
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,153	4,637	4,173	4,175	4,175	4,175
Environmental Conservation, Department of	124,796	105,995	98,732	97,363	98,615	98,615
Environmental Facilities Corporation	125	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,061	131,990	120,584	118,694	113,382	113,382
Functional Total	272,135	242,622	223,489	220,232	216,172	216,172
TRANSPORTATION						
Motor Vehicles, Department of	55	0	0	0	0	0
Transportation, Department of	65,676	98,892	100,619	99,958	99,206	99,206
Functional Total	65,731	98,892	100,619	99,958	99,206	99,206
HEALTH						
Aging, Office for the	115,777	118,710	112,641	120,187	124,138	131,133
Health, Department of	7,699,522	8,316,084	11,165,335	11,402,317	11,942,884	12,402,972
<i>Medical Assistance</i>	6,295,718	6,963,485	9,737,044	9,905,707	10,434,482	10,859,665
<i>Medicaid Administration</i>	514,488	538,370	573,750	596,750	620,650	645,450
<i>Public Health</i>	889,316	814,229	854,541	899,860	887,752	897,857
Medicaid Inspector General, Office of the	23,551	24,095	21,685	22,425	23,205	24,015
Functional Total	7,838,850	8,458,889	11,299,661	11,544,929	12,090,227	12,558,120
SOCIAL WELFARE						
Children and Family Services, Office of	2,000,459	1,928,797	1,741,762	1,942,159	2,000,703	2,108,840
<i>OCFS</i>	1,950,981	1,859,442	1,630,661	1,817,786	1,866,139	1,967,988
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	80,713	62,719	51,720	52,134	52,101	52,098
Human Rights, Division of	10,731	14,165	9,272	9,453	9,584	9,584
Labor, Department of	14,062	11,516	8,506	1,445	0	0
National and Community Service	359	381	599	601	683	687
Prevention of Domestic Violence, Office for	2,127	1,928	1,960	1,981	1,981	1,981
Temporary and Disability Assistance, Office of	1,354,683	1,254,805	1,454,166	1,594,858	1,654,929	1,669,417
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	166,961	210,940	205,964	212,392	224,237	225,625
Welfare Inspector General, Office of	313	326	293	293	307	311
Functional Total	3,463,447	3,274,637	3,268,278	3,602,924	3,720,288	3,842,918
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	134,108	142,096	33,232	33,011	32,680	32,680
<i>OASAS</i>	100,328	93,007	552	331	0	0
<i>OASAS - Other</i>	33,780	49,089	32,680	32,680	32,680	32,680
Mental Health, Office of	539,126	539,404	430,834	434,450	452,681	479,548
<i>OMH</i>	114,462	115,992	26,354	1,132	800	800
<i>OMH - Other</i>	424,664	423,412	404,480	433,318	451,881	478,748
People with Developmental Disabilities, Office for	1,478,173	1,557,858	1,416,974	1,508,933	1,672,033	1,764,701
<i>OPWDD</i>	102,577	119,052	1,586	950	0	0
<i>OPWDD - Other</i>	1,375,596	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,166	4,434	3,954	4,034	4,115	4,190
Functional Total	2,156,573	2,243,792	1,884,994	1,980,428	2,161,509	2,281,119
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,811,028	2,586,638	2,437,219	2,493,276	2,563,053	2,625,991
Criminal Justice Services, Division of	220,517	183,551	173,860	172,569	170,431	172,527
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	21,665	30,067	24,469	19,538	18,608	14,678
Investigation, Temporary State Commission of	391	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	67,804	21,953	21,971	13,772	13,975	14,197
State Police, Division of	535,571	463,968	430,440	422,806	427,208	431,645
Victim Services, Office of	144	0	0	0	0	0
Functional Total	3,664,882	3,293,540	3,095,462	3,160,873	3,232,721	3,299,023

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
HIGHER EDUCATION						
City University of New York	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
Higher Education Services Corporation, New York State	852,136	789,025	889,861	954,999	953,629	969,314
State University of New York	1,833,353	1,710,963	1,593,370	1,612,362	1,635,154	1,658,234
Functional Total	4,210,777	3,682,801	3,684,689	3,847,151	3,931,610	4,033,136
EDUCATION						
Arts, Council on the	42,286	45,173	35,860	35,897	35,957	36,024
Education, Department of	17,057,597	20,206,427	18,569,643	19,133,528	20,001,901	20,903,826
<i>School Aid</i>	15,487,493	18,705,305	16,801,933	17,196,776	17,982,703	18,812,962
<i>Special Education Categorical Programs</i>	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	650,422	576,904	571,139	563,616	563,582	561,648
Functional Total	17,099,883	20,251,600	18,605,503	19,169,425	20,037,858	20,939,850
GENERAL GOVERNMENT						
Budget, Division of the	24,368	22,928	20,635	21,057	21,437	22,524
Civil Service, Department of	20,257	16,392	13,921	14,124	14,352	14,478
Deferred Compensation Board	103	113	52	53	54	55
Elections, State Board of	6,031	6,096	6,963	5,240	5,185	35,407
Employee Relations, Office of	3,204	3,000	2,700	2,732	2,770	2,804
General Services, Office of	127,444	119,460	107,521	110,464	113,275	115,855
Inspector General, Office of the	6,052	5,633	5,343	5,428	5,515	5,584
Labor Management Committees	33,609	32,243	49,956	68,604	25,421	25,421
Public Employment Relations Board	3,637	3,660	3,270	3,506	3,747	3,961
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	34,790	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	44,052	36,483	30,669	21,763	15,359	15,643
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	334,186	366,317	311,607	311,797	316,262	320,692
Technology, Office for	22,765	22,902	19,336	14,237	14,700	15,343
Veterans' Affairs, Division of	14,756	14,069	13,923	13,827	13,333	13,413
Functional Total	685,370	657,877	592,052	599,168	557,861	597,730
ELECTED OFFICIALS						
Audit and Control, Department of	168,256	167,190	155,701	163,614	165,968	168,109
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	2,268,899	2,339,911	2,315,445	2,586,676	2,753,805	2,994,310
Law, Department of	119,910	110,613	98,374	105,058	106,967	108,149
Legislature	224,079	221,740	217,845	226,735	231,313	234,465
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	2,798,200	2,852,638	2,801,921	3,096,931	3,273,159	3,520,908
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634
ALL OTHER CATEGORIES						
General State Charges	2,920,603	3,432,021	3,907,105	4,169,810	4,561,858	4,658,967
Miscellaneous	(78,946)	(37,343)	445,301	628,954	310,620	304,010
Functional Total	2,841,657	3,394,678	4,352,406	4,798,764	4,872,478	4,962,977
TOTAL GENERAL FUND SPENDING	46,415,421	49,366,170	50,887,122	53,106,901	55,141,985	57,284,828

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,841	15,014	24,191	25,580	23,095	23,095
Development Authority of the North Country	36	10	117	70	0	0
Economic Development, Department of	37,640	25,790	52,620	60,390	47,409	54,772
Empire State Development Corporation	23,276	35,741	84,368	62,081	42,100	17,800
Financial Services, Department of	68,598	11,145	0	0	0	0
Functional Total	156,391	87,700	161,296	148,121	112,604	95,667
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	7,965	2,425	6,802	5,425	4,802	4,802
Parks, Recreation and Historic Preservation, Office of	14,529	11,025	11,716	8,162	2,850	2,850
Functional Total	22,494	13,450	18,518	13,587	7,652	7,652
TRANSPORTATION						
Transportation, Department of	64,139	97,038	98,964	98,303	97,551	97,551
Functional Total	64,139	97,038	98,964	98,303	97,551	97,551
HEALTH						
Aging, Office for the	113,209	117,034	111,310	118,803	122,711	129,662
Health, Department of	7,479,961	8,126,483	10,994,694	11,226,905	11,762,472	12,217,160
<i>Medical Assistance</i>	6,274,434	6,940,238	9,690,689	9,859,352	10,388,127	10,813,310
<i>Medicaid Administration</i>	514,488	538,370	573,750	596,750	620,650	645,450
<i>Public Health</i>	691,039	647,875	730,255	770,803	753,695	758,400
Functional Total	7,593,170	8,243,517	11,106,004	11,345,708	11,885,183	12,346,822
SOCIAL WELFARE						
Children and Family Services, Office of	1,746,618	1,663,523	1,504,861	1,679,394	1,739,575	1,842,844
<i>OCFS</i>	1,697,140	1,594,168	1,393,760	1,555,021	1,605,011	1,701,992
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	54,192	43,306	36,115	35,415	35,415	35,415
Labor, Department of	13,019	11,114	8,506	1,445	0	0
National and Community Service	0	0	350	350	350	350
Prevention of Domestic Violence, Office for	656	666	685	685	685	685
Temporary and Disability Assistance, Office of	1,304,697	1,196,199	1,402,352	1,539,432	1,598,808	1,611,908
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	116,975	152,334	154,150	156,966	168,116	168,116
Functional Total	3,119,182	2,914,808	2,952,869	3,256,721	3,374,833	3,491,202
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	134,083	142,096	33,232	33,011	32,680	32,680
<i>OASAS</i>	100,303	93,007	552	331	0	0
<i>OASAS - Other</i>	33,780	49,089	32,680	32,680	32,680	32,680
Mental Health, Office of	539,126	539,153	430,034	433,650	451,881	478,748
<i>OMH</i>	114,462	115,741	25,554	332	0	0
<i>OMH - Other</i>	424,664	423,412	404,480	433,318	451,881	478,748
People with Developmental Disabilities, Office for	1,478,173	1,557,858	1,416,974	1,508,933	1,672,033	1,764,701
<i>OPWDD</i>	102,577	119,052	1,586	950	0	0
<i>OPWDD - Other</i>	1,375,596	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Quality of Care and Advocacy for Persons With Disabilities, Commission on	593	229	170	170	170	170
Functional Total	2,151,975	2,239,336	1,880,410	1,975,764	2,156,764	2,276,299
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	17,714	10,386	6,086	6,051	6,000	6,000
Criminal Justice Services, Division of	162,448	127,375	123,315	120,897	116,877	116,877
Homeland Security and Emergency Services, Division of	0	17,552	18,572	13,572	12,572	8,572
Military and Naval Affairs, Division of	31,571	725	670	667	650	650
Functional Total	211,733	156,038	148,643	141,187	136,099	132,099
HIGHER EDUCATION						
City University of New York	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
Higher Education Services Corporation, New York State	823,299	791,507	889,861	950,962	949,592	965,277
State University of New York	445,974	472,818	478,471	443,938	443,324	443,324
Functional Total	2,794,561	2,447,138	2,569,790	2,674,690	2,735,743	2,814,189
EDUCATION						
Arts, Council on the	36,887	40,479	31,635	31,635	31,635	31,635
Education, Department of	17,007,265	20,164,456	18,532,014	19,095,210	19,963,146	20,864,630
<i>School Aid</i>	15,487,493	18,705,305	16,801,933	17,196,776	17,982,703	18,812,962
<i>Special Education Categorical Programs</i>	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	600,090	534,933	533,510	525,298	524,827	522,452
Functional Total	17,044,152	20,204,935	18,563,649	19,126,845	19,994,781	20,896,265

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
GENERAL GOVERNMENT						
Elections, State Board of	195	582	2,000	0	0	30,000
General Services, Office of	24	28	32	19	0	0
Real Property Services, Office of	11,409	0	0	0	0	0
State, Department of	23,530	19,682	15,613	6,696	0	0
Taxation and Finance, Department of	0	5,270	926	1,076	1,076	1,076
Technology, Office for	299	884	1,245	0	0	0
Veterans' Affairs, Division of	8,290	8,044	8,501	8,350	7,785	7,855
Functional Total	43,747	34,490	28,317	16,141	8,861	38,931
ELECTED OFFICIALS						
Audit and Control, Department of	32,026	31,598	32,024	32,024	32,024	32,024
Judiciary	4,643	4,884	2,445	52,500	52,500	52,500
Functional Total	36,669	36,482	34,469	84,524	84,524	84,524
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634
ALL OTHER CATEGORIES						
Miscellaneous	(84,359)	(44,723)	399,387	279,965	279,965	279,965
Functional Total	(84,359)	(44,723)	399,387	279,965	279,965	279,965
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	34,234,133	37,205,539	38,720,680	39,954,646	41,664,557	43,351,800

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	31,305	27,737	24,963	25,615	26,283	26,896
Economic Development, Department of	31,994	19,828	30,420	16,287	16,880	17,258
Energy Research and Development Authority	240	0	0	0	0	0
Financial Services, Department of	12,295	138	0	0	0	0
Olympic Regional Development Authority	5,403	3,471	3,005	3,005	3,132	3,214
Racing and Wagering Board, State	9	0	0	0	0	0
Functional Total	81,246	51,174	58,388	44,907	46,295	47,368
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,153	4,637	4,173	4,175	4,175	4,175
Environmental Conservation, Department of	116,831	103,570	91,930	91,938	93,813	93,813
Environmental Facilities Corporation	125	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	127,532	120,965	108,868	110,532	110,532	110,532
Functional Total	249,641	229,172	204,971	206,645	208,520	208,520
TRANSPORTATION						
Motor Vehicles, Department of	55	0	0	0	0	0
Transportation, Department of	1,537	1,854	1,655	1,655	1,655	1,655
Functional Total	1,592	1,854	1,655	1,655	1,655	1,655
HEALTH						
Aging, Office for the	2,568	1,676	1,331	1,384	1,427	1,471
Health, Department of	219,561	189,601	170,641	175,412	180,412	185,812
<i>Medical Assistance</i>	21,284	23,247	46,355	46,355	46,355	46,355
<i>Public Health</i>	198,277	166,354	124,286	129,057	134,057	139,457
Medicaid Inspector General, Office of the	23,551	24,095	21,685	22,425	23,205	24,015
Functional Total	245,680	215,372	193,657	199,221	205,044	211,298
SOCIAL WELFARE						
Children and Family Services, Office of	253,841	265,274	236,901	262,765	261,128	265,996
<i>OCFS</i>	253,841	265,274	236,901	262,765	261,128	265,996
Housing and Community Renewal, Division of	26,521	19,413	15,605	16,719	16,686	16,683
Human Rights, Division of	10,731	14,165	9,272	9,453	9,584	9,584
Labor, Department of	1,043	402	0	0	0	0
National and Community Service	359	381	249	251	333	337
Prevention of Domestic Violence, Office for	1,471	1,262	1,275	1,296	1,296	1,296
Temporary and Disability Assistance, Office of	49,986	58,606	51,814	55,426	56,121	57,509
<i>All Other</i>	49,986	58,606	51,814	55,426	56,121	57,509
Welfare Inspector General, Office of	313	326	293	293	307	311
Functional Total	344,265	359,829	315,409	346,203	345,455	351,716
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25	0	0	0	0	0
<i>OASAS</i>	25	0	0	0	0	0
Mental Health, Office of	0	251	800	800	800	800
<i>OMH</i>	0	251	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,573	4,205	3,784	3,864	3,945	4,020
Functional Total	4,598	4,456	4,584	4,664	4,745	4,820
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,791,314	2,574,252	2,431,133	2,487,225	2,557,053	2,619,991
Criminal Justice Services, Division of	58,069	56,176	50,545	51,672	53,554	55,650
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	21,665	12,515	5,897	5,966	6,036	6,106
Investigation, Temporary State Commission of	391	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	36,233	21,228	21,301	13,105	13,325	13,547
State Police, Division of	535,571	463,968	430,440	422,806	427,208	431,645
Victim Services, Office of	144	0	0	0	0	0
Functional Total	3,451,149	3,135,502	2,946,819	3,019,686	3,096,622	3,166,924
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	28,837	(2,482)	0	4,037	4,037	4,037
State University of New York	1,208,507	1,029,227	916,844	970,369	993,775	1,016,855
Functional Total	1,237,344	1,026,745	916,844	974,406	997,812	1,020,892
EDUCATION						
Arts, Council on the	5,399	4,694	4,225	4,262	4,322	4,389
Education, Department of	48,631	40,446	35,719	36,408	36,845	37,286
<i>All Other</i>	48,631	40,446	35,719	36,408	36,845	37,286
Functional Total	54,030	45,140	39,944	40,670	41,167	41,675

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
GENERAL GOVERNMENT						
Budget, Division of the	24,368	22,928	20,635	21,057	21,437	22,524
Civil Service, Department of	20,257	16,392	13,921	14,124	14,352	14,478
Deferred Compensation Board	103	113	52	53	54	55
Elections, State Board of	5,836	5,514	4,963	5,240	5,185	5,407
Employee Relations, Office of	3,204	3,000	2,700	2,732	2,770	2,804
General Services, Office of	127,420	119,432	107,489	110,445	113,275	115,855
Inspector General, Office of the	6,052	5,633	5,343	5,428	5,515	5,584
Labor Management Committees	33,609	32,243	49,956	68,604	25,421	25,421
Public Employment Relations Board	3,637	3,660	3,270	3,506	3,747	3,961
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	23,381	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	20,522	16,801	15,056	15,067	15,359	15,643
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	334,186	361,047	310,681	310,721	315,186	319,616
Technology, Office for	22,466	22,018	18,091	14,237	14,700	15,343
Veterans' Affairs, Division of	6,466	6,025	5,422	5,477	5,548	5,558
Functional Total	<u>641,623</u>	<u>623,387</u>	<u>563,735</u>	<u>583,027</u>	<u>549,000</u>	<u>558,799</u>
ELECTED OFFICIALS						
Audit and Control, Department of	136,230	135,592	123,677	131,590	133,944	136,085
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	1,771,673	1,792,790	1,730,500	1,905,442	2,011,623	2,182,160
Law, Department of	119,910	110,613	98,374	105,058	106,967	108,149
Legislature	224,079	221,740	217,845	226,735	231,313	234,465
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	<u>2,268,948</u>	<u>2,273,919</u>	<u>2,184,952</u>	<u>2,383,673</u>	<u>2,498,953</u>	<u>2,676,734</u>
ALL OTHER CATEGORIES						
General State Charges	4,852	5,561	0	0	0	0
Miscellaneous	2,535	1,549	31,494	254,569	26,235	19,625
Functional Total	<u>7,387</u>	<u>7,110</u>	<u>31,494</u>	<u>254,569</u>	<u>26,235</u>	<u>19,625</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>8,587,503</u></u>	<u><u>7,973,660</u></u>	<u><u>7,462,452</u></u>	<u><u>8,059,326</u></u>	<u><u>8,021,503</u></u>	<u><u>8,310,026</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	23,245	21,529	18,896	19,309	19,730	20,160
Economic Development, Department of	15,227	13,863	10,864	10,835	10,980	11,092
Financial Services, Department of	528	138	0	0	0	0
Olympic Regional Development Authority	3,090	2,890	2,485	2,485	2,522	2,548
Functional Total	42,090	38,420	32,245	32,629	33,232	33,800
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,729	4,234	3,812	3,814	3,814	3,814
Environmental Conservation, Department of	100,081	88,184	78,152	78,160	80,035	80,035
Environmental Facilities Corporation	122	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	113,362	109,167	99,768	100,641	100,641	100,641
Functional Total	218,294	201,585	181,732	182,615	184,490	184,490
HEALTH						
Aging, Office for the	2,427	1,641	1,300	1,333	1,366	1,400
Health, Department of	81,583	61,022	54,400	56,200	58,000	59,900
<i>Medical Assistance</i>	0	500	500	500	500	500
<i>Public Health</i>	81,583	60,522	53,900	55,700	57,500	59,400
Medicaid Inspector General, Office of the	16,172	16,930	15,269	15,709	16,159	16,619
Functional Total	100,182	79,593	70,969	73,242	75,525	77,919
SOCIAL WELFARE						
Children and Family Services, Office of	170,669	163,689	145,456	163,141	158,304	160,411
<i>OCFS</i>	170,669	163,689	145,456	163,141	158,304	160,411
Housing and Community Renewal, Division of	15,110	11,572	8,583	9,308	9,269	9,270
Human Rights, Division of	8,027	12,932	8,168	8,265	8,363	8,363
Labor, Department of	800	309	0	0	0	0
National and Community Service	316	304	208	210	292	295
Prevention of Domestic Violence, Office for	1,251	1,098	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	14,749	14,094	11,953	12,102	12,278	12,401
<i>All Other</i>	14,749	14,094	11,953	12,102	12,278	12,401
Welfare Inspector General, Office of	313	326	293	293	307	311
Functional Total	211,235	204,324	175,800	194,471	189,965	192,203
MENTAL HYGIENE						
Mental Health, Office of	0	167	0	0	0	0
<i>OMH</i>	0	167	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	3,627	3,228	2,909	2,952	2,993	3,030
Functional Total	3,627	3,395	2,909	2,952	2,993	3,030
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,142	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	2,250,699	2,043,633	1,968,393	1,990,954	2,017,629	2,038,692
Criminal Justice Services, Division of	37,286	34,492	28,971	29,675	28,492	28,836
Homeland Security - Miscellaneous	0	0	0	27,758	28,074	28,393
Homeland Security and Emergency Services, Division of	10,896	6,197	5,897	5,966	6,036	6,106
Investigation, Temporary State Commission of	386	0	0	0	0	0
Judicial Commissions	3,988	3,723	3,355	3,395	3,438	3,479
Military and Naval Affairs, Division of	19,790	17,690	16,133	9,856	9,981	10,106
State Police, Division of	489,624	421,015	387,334	371,207	374,207	377,207
Victim Services, Office of	113	0	0	0	0	0
Functional Total	2,814,924	2,528,832	2,412,409	2,441,171	2,470,233	2,495,219
HIGHER EDUCATION						
State University of New York	849,522	760,404	660,226	692,346	702,651	712,215
Functional Total	849,522	760,404	660,226	692,346	702,651	712,215
EDUCATION						
Arts, Council on the	3,741	3,098	2,796	2,820	2,845	2,870
Education, Department of	29,760	24,420	21,159	21,421	21,858	22,299
<i>All Other</i>	29,760	24,420	21,159	21,421	21,858	22,299
Functional Total	33,501	27,518	23,955	24,241	24,703	25,169
GENERAL GOVERNMENT						
Budget, Division of the	22,036	20,633	18,580	19,225	19,889	20,808
Civil Service, Department of	19,207	15,599	13,304	13,492	13,705	13,815
Deferred Compensation Board	31	30	29	29	29	29
Elections, State Board of	4,389	4,205	3,791	3,973	3,836	4,011
Employee Relations, Office of	3,071	2,909	2,619	2,648	2,683	2,714
General Services, Office of	59,521	52,715	47,743	48,623	49,210	49,750
Inspector General, Office of the	5,767	5,519	4,887	4,948	5,009	5,063
Labor Management Committees	8,055	9,872	7,776	7,864	7,864	7,864

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Public Employment Relations Board	3,262	3,211	2,868	2,825	3,052	3,246
Public Integrity, Commission on	3,357	3,017	2,719	2,863	2,905	2,944
Real Property Services, Office of	19,732	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,537	0	0	0	0
State, Department of	15,730	12,295	11,121	11,309	11,455	11,597
Tax Appeals, Division of	2,960	2,776	2,420	2,420	2,447	2,471
Taxation and Finance, Department of	267,552	296,271	252,251	252,291	254,894	257,677
Technology, Office for	10,571	11,208	10,311	10,431	10,529	10,648
Veterans' Affairs, Division of	5,996	5,570	4,983	5,028	5,089	5,089
Functional Total	453,485	447,367	385,402	387,969	392,596	397,726
ELECTED OFFICIALS						
Audit and Control, Department of	111,830	107,384	97,026	104,085	105,451	106,694
Executive Chamber	13,451	10,963	11,160	11,337	11,495	12,025
Judiciary	1,476,862	1,467,042	1,407,855	1,535,325	1,590,995	1,709,235
Law, Department of	101,527	96,314	85,569	90,950	92,004	92,828
Legislature	177,874	174,096	165,284	171,715	175,149	176,901
Lieutenant Governor, Office of the	0	281	495	522	550	588
Functional Total	1,881,544	1,856,080	1,767,389	1,913,934	1,975,644	2,098,271
ALL OTHER CATEGORIES						
General State Charges	2,175	3,835	0	0	0	0
Miscellaneous	86	25	48	48	48	48
Functional Total	2,261	3,860	48	48	48	48
TOTAL PERSONAL SERVICE SPENDING	6,610,665	6,151,378	5,713,084	5,945,618	6,052,080	6,220,090

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,060	6,208	6,067	6,306	6,553	6,736
Economic Development, Department of	16,767	5,965	19,556	5,452	5,900	6,166
Energy Research and Development Authority	240	0	0	0	0	0
Financial Services, Department of	11,767	0	0	0	0	0
Olympic Regional Development Authority	2,313	581	520	520	610	666
Racing and Wagering Board, State	9	0	0	0	0	0
Functional Total	39,156	12,754	26,143	12,278	13,063	13,568
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	424	403	361	361	361	361
Environmental Conservation, Department of	16,750	15,386	13,778	13,778	13,778	13,778
Environmental Facilities Corporation	3	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	14,170	11,798	9,100	9,891	9,891	9,891
Functional Total	31,347	27,587	23,239	24,030	24,030	24,030
TRANSPORTATION						
Motor Vehicles, Department of	55	0	0	0	0	0
Transportation, Department of	1,537	1,854	1,655	1,655	1,655	1,655
Functional Total	1,592	1,854	1,655	1,655	1,655	1,655
HEALTH						
Aging, Office for the	141	35	31	51	61	71
Health, Department of	137,978	128,579	116,241	119,212	122,412	125,912
<i>Medical Assistance</i>	21,284	22,747	45,855	45,855	45,855	45,855
<i>Public Health</i>	116,694	105,832	70,386	73,357	76,557	80,057
Medicaid Inspector General, Office of the	7,379	7,165	6,416	6,716	7,046	7,396
Functional Total	145,498	135,779	122,688	125,979	129,519	133,379
SOCIAL WELFARE						
Children and Family Services, Office of	83,172	101,585	91,445	99,624	102,824	105,585
<i>OCFS</i>	83,172	101,585	91,445	99,624	102,824	105,585
Housing and Community Renewal, Division of	11,411	7,841	7,022	7,411	7,417	7,413
Human Rights, Division of	2,704	1,233	1,104	1,188	1,221	1,221
Labor, Department of	243	93	0	0	0	0
National and Community Service	43	77	41	41	41	42
Prevention of Domestic Violence, Office for	220	164	136	144	144	144
Temporary and Disability Assistance, Office of	35,237	44,512	39,861	43,324	43,843	45,108
<i>All Other</i>	35,237	44,512	39,861	43,324	43,843	45,108
Functional Total	133,030	155,505	139,609	151,732	155,490	159,513
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25	0	0	0	0	0
<i>OASAS</i>	25	0	0	0	0	0
Mental Health, Office of	0	84	800	800	800	800
<i>OMH</i>	0	84	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	946	977	875	912	952	990
Functional Total	971	1,061	1,675	1,712	1,752	1,790
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	454	337	414	432	448	457
Correctional Services, Department of	540,615	530,619	462,740	496,271	539,424	581,299
Criminal Justice Services, Division of	20,783	21,684	21,574	21,997	25,062	26,814
Homeland Security - Miscellaneous	0	0	0	3,525	3,631	3,740
Homeland Security and Emergency Services, Division of	10,769	6,318	0	0	0	0
Investigation, Temporary State Commission of	5	0	0	0	0	0
Judicial Commissions	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	16,443	3,538	5,168	3,249	3,344	3,441
State Police, Division of	45,947	42,953	43,106	51,599	53,001	54,438
Victim Services, Office of	31	0	0	0	0	0
Functional Total	636,225	606,670	534,410	578,515	626,389	671,705
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	28,837	(2,482)	0	4,037	4,037	4,037
State University of New York	358,985	268,823	256,618	278,023	291,124	304,640
Functional Total	387,822	266,341	256,618	282,060	295,161	308,677
EDUCATION						
Arts, Council on the	1,658	1,596	1,429	1,442	1,477	1,519
Education, Department of	18,871	16,026	14,560	14,987	14,987	14,987
<i>All Other</i>	18,871	16,026	14,560	14,987	14,987	14,987
Functional Total	20,529	17,622	15,989	16,429	16,464	16,506

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
GENERAL GOVERNMENT						
Budget, Division of the	2,332	2,295	2,055	1,832	1,548	1,716
Civil Service, Department of	1,050	793	617	632	647	663
Deferred Compensation Board	72	83	23	24	25	26
Elections, State Board of	1,447	1,309	1,172	1,267	1,349	1,396
Employee Relations, Office of	133	91	81	84	87	90
General Services, Office of	67,899	66,717	59,746	61,822	64,065	66,105
Inspector General, Office of the	285	114	456	480	506	521
Labor Management Committees	25,554	22,371	42,180	60,740	17,557	17,557
Public Employment Relations Board	375	449	402	681	695	715
Public Integrity, Commission on	852	777	696	732	767	794
Real Property Services, Office of	3,649	0	0	0	0	0
Regulatory Reform, Governor's Office of	201	116	0	0	0	0
State, Department of	4,792	4,506	3,935	3,758	3,904	4,046
Tax Appeals, Division of	498	358	321	321	332	341
Taxation and Finance, Department of	66,634	64,776	58,430	58,430	60,292	61,939
Technology, Office for	11,895	10,810	7,780	3,806	4,171	4,695
Veterans' Affairs, Division of	470	455	439	449	459	469
Functional Total	<u>188,138</u>	<u>176,020</u>	<u>178,333</u>	<u>195,058</u>	<u>156,404</u>	<u>161,073</u>
ELECTED OFFICIALS						
Audit and Control, Department of	24,400	28,208	26,651	27,505	28,493	29,391
Executive Chamber	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	294,811	325,748	322,645	370,117	420,628	472,925
Law, Department of	18,383	14,299	12,805	14,108	14,963	15,321
Legislature	46,205	47,644	52,561	55,020	56,164	57,564
Lieutenant Governor, Office of the	0	23	135	123	95	102
Functional Total	<u>387,404</u>	<u>417,839</u>	<u>417,563</u>	<u>469,739</u>	<u>523,309</u>	<u>578,463</u>
ALL OTHER CATEGORIES						
General State Charges	2,677	1,726	0	0	0	0
Miscellaneous	2,449	1,524	31,446	254,521	26,187	19,577
Functional Total	<u>5,126</u>	<u>3,250</u>	<u>31,446</u>	<u>254,521</u>	<u>26,187</u>	<u>19,577</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>1,976,838</u>	<u>1,822,282</u>	<u>1,749,368</u>	<u>2,113,708</u>	<u>1,969,423</u>	<u>2,089,936</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,000	2,000	0	0	0	0
Functional Total	<u>2,000</u>	<u>2,000</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
HIGHER EDUCATION						
State University of New York	178,872	208,918	198,055	198,055	198,055	198,055
Functional Total	<u>178,872</u>	<u>208,918</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>
EDUCATION						
Education, Department of	1,701	1,525	1,910	1,910	1,910	1,910
<i>All Other</i>	1,701	1,525	1,910	1,910	1,910	1,910
Functional Total	<u>1,701</u>	<u>1,525</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>
ELECTED OFFICIALS						
Judiciary	492,583	542,237	582,500	628,734	689,682	759,650
Functional Total	<u>492,583</u>	<u>542,237</u>	<u>582,500</u>	<u>628,734</u>	<u>689,682</u>	<u>759,650</u>
ALL OTHER CATEGORIES						
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Miscellaneous	2,878	5,831	14,420	94,420	4,420	4,420
Functional Total	<u>2,918,629</u>	<u>3,432,291</u>	<u>3,921,525</u>	<u>4,264,230</u>	<u>4,566,278</u>	<u>4,663,387</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>3,593,785</u></u>	<u><u>4,186,971</u></u>	<u><u>4,703,990</u></u>	<u><u>5,092,929</u></u>	<u><u>5,455,925</u></u>	<u><u>5,623,002</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	94,674	77,623	82,911	85,462	84,430	86,250
Local Assistance Grants	26,841	15,014	24,191	25,580	23,095	23,095
State Operations	63,273	57,860	53,578	54,443	55,380	56,622
Personal Service	34,218	31,416	29,222	29,788	30,418	31,062
Non-Personal Service/Indirect Costs	29,055	26,444	24,356	24,655	24,962	25,560
General State Charges	4,560	4,749	5,142	5,439	5,955	6,533
<i>Alcoholic Beverage Control, Division of</i>	17,012	16,706	18,383	18,549	19,366	19,366
State Operations	12,875	12,581	14,004	13,553	14,006	14,006
Personal Service	8,838	8,512	7,822	7,663	7,781	7,781
Non-Personal Service/Indirect Costs	4,037	4,069	6,182	5,890	6,225	6,225
General State Charges	4,137	4,125	4,379	4,996	5,360	5,360
<i>Development Authority of the North Country</i>	36	10	117	70	0	0
Local Assistance Grants	36	10	117	70	0	0
<i>Economic Development, Department of</i>	70,148	46,255	83,822	77,459	65,071	72,812
Local Assistance Grants	37,665	25,790	52,620	60,390	47,409	54,772
State Operations	32,463	20,444	31,174	17,041	17,634	18,012
Personal Service	15,233	13,906	10,927	10,898	11,043	11,155
Non-Personal Service/Indirect Costs	17,230	6,538	20,247	6,143	6,591	6,857
General State Charges	20	21	28	28	28	28
<i>Empire State Development Corporation</i>	23,276	35,741	84,368	62,081	42,100	17,800
Local Assistance Grants	23,276	35,741	84,368	62,081	42,100	17,800
<i>Energy Research and Development Authority</i>	15,880	15,307	15,997	16,158	16,388	16,388
Local Assistance Grants	8,657	9,157	9,234	9,234	9,234	9,234
State Operations	5,481	4,744	5,180	5,286	5,396	5,396
Personal Service	3,968	2,894	3,365	3,432	3,501	3,501
Non-Personal Service/Indirect Costs	1,513	1,850	1,815	1,854	1,895	1,895
General State Charges	1,742	1,406	1,583	1,638	1,758	1,758
<i>Financial Services, Department of</i>	745,103	507,291	490,549	490,549	490,549	490,549
Local Assistance Grants	443,857	228,152	216,952	216,952	216,952	216,952
State Operations	234,149	212,310	206,669	206,667	206,667	206,667
Personal Service	155,916	152,126	136,393	136,391	136,391	136,391
Non-Personal Service/Indirect Costs	78,233	60,184	70,276	70,276	70,276	70,276
General State Charges	67,097	66,829	66,928	66,930	66,930	66,930
<i>Olympic Regional Development Authority</i>	5,441	3,534	3,331	3,331	3,458	3,540
State Operations	5,441	3,534	3,331	3,331	3,458	3,540
Personal Service	3,090	2,890	2,485	2,485	2,522	2,548
Non-Personal Service/Indirect Costs	2,351	644	846	846	936	992
<i>Public Service Department</i>	75,638	73,076	75,663	79,445	82,806	86,352
Local Assistance Grants	0	0	500	500	500	500
State Operations	54,138	52,777	54,303	55,830	56,367	57,572
Personal Service	43,269	41,801	43,506	44,750	44,995	45,895
Non-Personal Service/Indirect Costs	10,869	10,976	10,797	11,080	11,372	11,677
General State Charges	21,500	20,299	20,860	23,115	25,939	28,280
<i>Racing and Wagering Board, State</i>	22,575	21,573	17,639	18,061	18,390	18,675
State Operations	17,369	18,056	14,911	15,007	15,103	15,163
Personal Service	12,538	12,062	9,437	9,500	9,563	9,591
Non-Personal Service/Indirect Costs	4,831	5,994	5,474	5,507	5,540	5,572
General State Charges	5,206	3,517	2,728	3,054	3,287	3,512
Functional Total	1,069,783	797,116	872,780	851,165	822,558	811,732
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	5,153	4,637	4,173	4,175	4,175	4,175
State Operations	5,153	4,637	4,173	4,175	4,175	4,175
Personal Service	4,729	4,234	3,812	3,814	3,814	3,814
Non-Personal Service/Indirect Costs	424	403	361	361	361	361
<i>Environmental Conservation, Department of</i>	323,268	296,195	267,982	263,545	261,922	261,922
Local Assistance Grants	8,364	2,835	6,802	5,425	4,802	4,802
State Operations	278,567	255,522	227,886	225,730	225,730	225,730
Personal Service	196,537	186,181	166,758	167,923	167,923	167,923
Non-Personal Service/Indirect Costs	82,030	69,341	61,128	57,807	57,807	57,807
General State Charges	36,337	37,838	33,294	32,390	31,390	31,390
<i>Environmental Facilities Corporation</i>	9,733	9,390	10,082	10,323	10,475	10,597
State Operations	7,859	7,122	6,960	7,011	7,038	7,065
Personal Service	6,798	6,060	6,185	6,229	6,251	6,273
Non-Personal Service/Indirect Costs	1,061	1,062	775	782	787	792
General State Charges	1,874	2,268	3,122	3,312	3,437	3,532

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Parks, Recreation and Historic Preservation, Office of	220,620	214,344	194,951	183,063	178,493	179,419
Local Assistance Grants	19,546	16,124	16,566	13,012	7,700	7,700
State Operations	187,497	177,022	169,700	161,366	162,001	162,889
Personal Service	142,458	135,297	124,026	124,900	125,535	125,788
Non-Personal Service/Indirect Costs	45,039	41,725	45,674	36,466	36,466	37,101
General State Charges	2,537	2,627	3,685	3,685	3,792	3,830
Capital Projects	11,040	18,571	5,000	5,000	5,000	5,000
Functional Total	558,774	524,566	477,188	461,106	455,065	456,113
TRANSPORTATION						
Motor Vehicles, Department of	94,433	95,962	92,933	95,879	98,903	102,385
State Operations	71,894	68,522	69,103	69,640	70,366	71,163
Personal Service	52,901	51,578	48,194	49,004	49,437	49,877
Non-Personal Service/Indirect Costs	18,993	16,944	20,909	20,636	20,929	21,286
General State Charges	22,539	27,440	23,830	26,239	28,537	31,222
Transportation, Department of	3,861,856	4,287,685	4,267,309	4,368,227	4,458,100	4,553,044
Local Assistance Grants	3,823,477	4,253,828	4,224,899	4,324,815	4,413,763	4,507,763
State Operations	35,552	33,454	37,508	37,953	38,434	38,908
Personal Service	11,415	10,853	10,006	10,107	10,208	10,311
Non-Personal Service/Indirect Costs	24,137	22,601	27,502	27,846	28,226	28,597
General State Charges	2,827	403	4,902	5,459	5,903	6,373
Functional Total	3,956,289	4,383,647	4,360,242	4,464,106	4,557,003	4,655,429
HEALTH						
Aging, Office for the	116,764	118,718	112,641	120,188	124,139	131,134
Local Assistance Grants	114,196	117,041	111,310	118,803	122,711	129,662
State Operations	2,568	1,677	1,331	1,385	1,428	1,472
Personal Service	2,427	1,641	1,300	1,333	1,366	1,400
Non-Personal Service/Indirect Costs	141	36	31	52	62	72
Health, Department of	14,117,260	14,418,217	17,893,882	18,543,336	19,403,366	20,119,465
Medical Assistance	10,967,767	11,400,560	14,752,826	15,342,913	15,955,913	16,593,713
Local Assistance Grants	10,946,483	11,377,313	14,706,471	15,296,558	15,909,558	16,547,358
State Operations	21,284	23,247	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500	500
Non-Personal Service/Indirect Costs	21,284	22,747	45,855	45,855	45,855	45,855
Medicaid Administration	514,488	538,370	573,750	596,750	620,650	645,450
Local Assistance Grants	514,488	538,370	573,750	596,750	620,650	645,450
Public Health	2,635,005	2,479,287	2,567,306	2,603,673	2,826,803	2,880,302
Local Assistance Grants	2,011,087	1,897,616	2,010,267	2,043,515	2,247,933	2,289,087
State Operations	590,688	548,077	516,443	514,890	530,867	543,212
Personal Service	304,888	289,360	286,290	290,590	297,135	302,478
Non-Personal Service/Indirect Costs	285,800	258,717	230,153	224,300	233,732	240,734
General State Charges	33,230	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of the	27,575	28,084	25,385	26,125	26,905	27,715
State Operations	27,481	27,990	25,385	26,125	26,905	27,715
Personal Service	20,094	20,818	18,969	19,409	19,859	20,319
Non-Personal Service/Indirect Costs	7,387	7,172	6,416	6,716	7,046	7,396
General State Charges	94	94	0	0	0	0
Stem Cell and Innovation	17,676	37,289	45,000	61,373	63,673	50,000
State Operations	17,248	36,971	45,000	61,373	63,673	50,000
Personal Service	640	534	0	0	0	0
Non-Personal Service/Indirect Costs	16,608	36,437	45,000	61,373	63,673	50,000
General State Charges	428	318	0	0	0	0
Functional Total	14,279,275	14,602,308	18,076,908	18,751,022	19,618,083	20,328,314
SOCIAL WELFARE						
Children and Family Services, Office of	2,050,622	1,991,084	1,812,318	2,013,145	2,071,944	2,181,252
OCFS	2,001,144	1,921,729	1,701,217	1,888,772	1,937,380	2,040,400
Local Assistance Grants	1,701,168	1,598,134	1,395,360	1,555,871	1,605,861	1,702,842
State Operations	297,739	321,270	303,191	330,235	328,737	334,776
Personal Service	202,655	192,793	177,563	195,528	190,732	193,167
Non-Personal Service/Indirect Costs	95,084	128,477	125,628	134,707	138,005	141,609
General State Charges	2,237	2,325	2,666	2,666	2,782	2,782
OCFS - Other	49,478	69,355	111,101	124,373	134,564	140,852
Local Assistance Grants	49,478	69,355	111,101	124,373	134,564	140,852

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
<i>Housing and Community Renewal, Division of</i>	137,353	121,230	105,826	106,032	107,412	109,032
Local Assistance Grants	54,706	44,018	36,967	36,267	36,267	36,267
State Operations	67,369	61,015	53,376	53,264	53,615	54,095
Personal Service	50,376	46,367	41,282	40,719	40,939	41,302
Non-Personal Service/Indirect Costs	16,993	14,648	12,094	12,545	12,676	12,793
General State Charges	15,278	16,197	15,483	16,501	17,530	18,670
<i>Human Rights, Division of</i>	10,731	14,165	9,272	9,453	9,584	9,584
State Operations	10,731	14,165	9,272	9,453	9,584	9,584
Personal Service	8,027	12,932	8,168	8,265	8,363	8,363
Non-Personal Service/Indirect Costs	2,704	1,233	1,104	1,188	1,221	1,221
<i>Labor, Department of</i>	76,086	71,832	70,694	66,313	66,819	68,096
Local Assistance Grants	13,057	11,237	8,606	1,545	100	100
State Operations	48,117	44,994	46,171	46,889	47,574	48,262
Personal Service	33,433	32,161	31,103	31,443	31,762	32,080
Non-Personal Service/Indirect Costs	14,684	12,833	15,068	15,446	15,812	16,182
General State Charges	14,912	15,601	15,917	17,879	19,145	19,734
<i>National and Community Service</i>	359	381	599	601	683	687
Local Assistance Grants	0	0	350	350	350	350
State Operations	359	381	249	251	333	337
Personal Service	316	304	208	210	292	295
Non-Personal Service/Indirect Costs	43	77	41	41	41	42
<i>Prevention of Domestic Violence, Office for</i>	2,127	1,956	1,962	1,983	1,983	1,983
Local Assistance Grants	656	666	685	685	685	685
State Operations	1,471	1,290	1,277	1,298	1,298	1,298
Personal Service	1,251	1,098	1,139	1,152	1,152	1,152
Non-Personal Service/Indirect Costs	220	192	138	146	146	146
<i>Temporary and Disability Assistance, Office of</i>	1,489,690	1,370,873	1,582,811	1,724,011	1,774,847	1,789,524
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Local Assistance Grants	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
Local Assistance Grants	51,263	0	0	0	0	0
<i>All Other</i>	301,968	327,008	334,609	341,545	344,155	345,732
Local Assistance Grants	117,665	158,474	163,850	166,666	168,116	168,116
State Operations	183,074	167,085	169,450	173,399	174,454	176,036
Personal Service	71,841	62,305	63,981	64,284	64,635	64,796
Non-Personal Service/Indirect Costs	111,233	104,780	105,469	109,115	109,819	111,240
General State Charges	1,229	1,449	1,309	1,480	1,585	1,580
<i>Welfare Inspector General, Office of</i>	727	1,150	1,391	1,407	1,427	1,446
State Operations	635	1,094	1,177	1,186	1,206	1,225
Personal Service	506	434	701	701	721	729
Non-Personal Service/Indirect Costs	129	660	476	485	485	496
General State Charges	92	56	214	221	221	221
<i>Workers' Compensation Board</i>	186,742	194,002	205,223	205,101	211,963	218,799
State Operations	137,920	150,850	159,302	155,214	157,709	160,210
Personal Service	90,768	89,052	94,238	94,881	95,719	96,658
Non-Personal Service/Indirect Costs	47,152	61,798	65,064	60,333	61,990	63,552
General State Charges	48,822	43,152	45,921	49,887	54,254	58,589
Functional Total	3,954,437	3,766,673	3,790,096	4,128,046	4,246,662	4,380,403
MENTAL HYGIENE						
<i>Alcoholism and Substance Abuse Services, Office of</i>	399,117	404,678	415,495	438,654	459,212	479,713
<i>OASAS</i>	305,722	292,990	317,021	337,784	355,730	373,648
Local Assistance Grants	259,993	246,366	282,417	301,493	318,064	334,684
State Operations	35,483	34,454	27,076	27,873	28,358	28,832
Personal Service	25,233	25,103	17,354	17,899	18,129	18,350
Non-Personal Service/Indirect Costs	10,250	9,351	9,722	9,974	10,229	10,482
General State Charges	10,246	12,170	7,528	8,418	9,308	10,132
<i>OASAS - Other</i>	93,395	111,688	98,474	100,870	103,482	106,065
Local Assistance Grants	33,780	49,089	32,680	32,680	32,680	32,680
State Operations	44,668	46,364	47,197	47,858	48,529	49,200
Personal Service	33,560	34,493	38,510	38,774	39,205	39,642
Non-Personal Service/Indirect Costs	11,108	11,871	8,687	9,084	9,324	9,558
General State Charges	14,947	16,235	18,597	20,332	22,273	24,185
<i>Mental Health, Office of</i>	2,963,161	3,121,444	3,035,891	3,232,796	3,412,853	3,609,262
<i>OMH</i>	1,265,646	1,363,390	1,188,866	1,336,433	1,433,701	1,541,569
Local Assistance Grants	643,710	682,773	721,371	814,690	898,805	962,549

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
State Operations	455,191	482,556	315,430	371,448	376,042	394,243
Personal Service	382,310	405,776	249,748	288,086	290,385	308,825
Non-Personal Service/Indirect Costs	72,881	76,780	65,682	83,362	85,657	85,418
General State Charges	166,745	198,061	152,065	150,295	158,854	184,777
OMH - Other	1,697,515	1,758,054	1,847,025	1,896,363	1,979,152	2,067,693
Local Assistance Grants	424,664	423,412	404,480	433,318	451,881	478,748
State Operations	956,266	973,298	1,071,443	1,044,743	1,066,437	1,091,176
Personal Service	717,769	739,482	842,230	814,702	828,590	835,810
Non-Personal Service/Indirect Costs	238,497	233,816	229,213	230,041	237,847	255,366
General State Charges	316,585	361,344	371,102	418,302	460,834	497,769
Mental Hygiene, Department of	175	345	0	0	0	0
State Operations	175	345	0	0	0	0
Non-Personal Service/Indirect Costs	175	345	0	0	0	0
People with Developmental Disabilities, Office for	4,330,699	4,297,676	4,191,253	4,374,122	4,639,251	4,838,169
OPWDD	455,150	491,009	465,422	476,824	507,969	526,433
Local Assistance Grants	460,696	497,629	465,246	476,648	507,788	526,252
State Operations	(5,546)	(6,620)	176	176	181	181
Non-Personal Service/Indirect Costs	(5,546)	(6,620)	176	176	181	181
OPWDD - Other	3,875,549	3,806,667	3,725,831	3,897,298	4,131,282	4,311,736
Local Assistance Grants	1,678,089	1,677,965	1,692,644	1,794,211	1,956,893	2,058,512
State Operations	1,526,722	1,548,712	1,493,631	1,516,571	1,538,567	1,561,193
Personal Service	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
Non-Personal Service/Indirect Costs	390,836	380,516	367,444	379,031	390,460	400,651
General State Charges	670,738	579,990	539,556	586,516	635,822	692,031
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,790	8,213	8,207	8,407	8,630	8,837
Local Assistance Grants	857	623	620	620	620	620
State Operations	7,001	6,573	6,428	6,509	6,628	6,735
Personal Service	5,839	5,430	5,283	5,326	5,391	5,435
Non-Personal Service/Indirect Costs	1,162	1,143	1,145	1,183	1,237	1,300
General State Charges	932	1,017	1,159	1,278	1,382	1,482
Functional Total	7,701,942	7,832,356	7,650,846	8,053,979	8,519,946	8,935,981
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
State Operations	21	0	0	0	0	0
Non-Personal Service/Indirect Costs	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
State Operations	2,596	2,419	2,740	2,792	2,824	2,857
Personal Service	2,142	2,082	2,326	2,360	2,376	2,400
Non-Personal Service/Indirect Costs	454	337	414	432	448	457
Correctional Services, Department of	2,812,275	2,587,693	2,438,938	2,494,445	2,564,172	2,627,110
Local Assistance Grants	17,714	10,386	6,086	6,051	6,000	6,000
State Operations	2,792,561	2,575,307	2,432,852	2,488,394	2,558,172	2,621,110
Personal Service	2,250,699	2,043,633	1,968,893	1,990,954	2,017,629	2,038,692
Non-Personal Service/Indirect Costs	541,862	531,674	463,959	497,440	540,543	582,418
General State Charges	2,000	2,000	0	0	0	0
Criminal Justice Services, Division of	255,797	213,480	233,078	231,555	226,929	229,046
Local Assistance Grants	186,679	150,003	162,996	160,578	157,558	157,558
State Operations	69,035	63,391	69,881	70,763	69,150	71,252
Personal Service	37,701	34,806	29,347	30,053	28,872	29,218
Non-Personal Service/Indirect Costs	31,334	28,585	40,534	40,710	40,278	42,034
General State Charges	83	86	201	214	221	236
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
State Operations	0	0	0	31,283	31,705	32,133
Personal Service	0	0	0	27,758	28,074	28,393
Non-Personal Service/Indirect Costs	0	0	0	3,525	3,631	3,740
Homeland Security and Emergency Services, Division of	25,500	33,298	109,982	126,381	133,759	125,969
Local Assistance Grants	0	17,552	64,170	74,870	94,570	90,570
State Operations	25,402	15,550	45,111	50,806	38,484	34,694
Personal Service	11,319	6,531	7,535	7,604	7,674	7,744
Non-Personal Service/Indirect Costs	14,083	9,019	37,576	43,202	30,810	26,950
General State Charges	98	196	701	705	705	705
Indigent Legal Services, Office of	95,089	90,793	78,500	78,628	78,740	78,857
Local Assistance Grants	70,089	65,769	77,000	77,000	77,000	77,000
State Operations	25,000	25,024	1,136	1,180	1,225	1,271
Personal Service	0	8	765	780	795	810
Non-Personal Service/Indirect Costs	25,000	25,016	371	400	430	461
General State Charges	0	0	364	448	515	586

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Investigation, Temporary State Commission of	395	0	0	0	0	0
State Operations	395	0	0	0	0	0
Personal Service	386	0	0	0	0	0
Non-Personal Service/Indirect Costs	9	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
State Operations	5,145	4,944	4,763	4,837	4,917	4,995
Personal Service	3,988	3,723	3,355	3,395	3,438	3,479
Non-Personal Service/Indirect Costs	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	79,775	32,640	29,189	20,993	21,196	21,418
Local Assistance Grants	34,538	3,659	670	667	650	650
State Operations	44,426	28,398	28,269	20,076	20,296	20,518
Personal Service	22,271	19,260	17,068	10,791	10,916	11,041
Non-Personal Service/Indirect Costs	22,155	9,138	11,201	9,285	9,380	9,477
General State Charges	811	583	250	250	250	250
State Police, Division of	747,810	677,826	671,511	614,099	619,113	623,563
State Operations	727,917	657,091	667,467	609,842	614,870	619,333
Personal Service	646,775	582,393	544,966	528,801	531,871	535,042
Non-Personal Service/Indirect Costs	81,142	74,698	122,501	81,041	82,999	84,291
General State Charges	19,893	20,735	4,044	4,257	4,243	4,230
Statewide Financial System	5,932	9,070	40,498	55,484	55,584	55,584
State Operations	5,932	9,069	40,498	55,484	55,584	55,584
Personal Service	2,451	3,977	9,139	13,819	13,819	13,819
Non-Personal Service/Indirect Costs	3,481	5,092	31,359	41,665	41,765	41,765
General State Charges	0	1	0	0	0	0
Statewide Wireless Network	6,672	28,253	0	0	0	0
State Operations	5,412	27,655	0	0	0	0
Personal Service	2,820	8,076	0	0	0	0
Non-Personal Service/Indirect Costs	2,592	19,579	0	0	0	0
General State Charges	1,260	598	0	0	0	0
Victim Services, Office of	33,468	34,594	34,246	34,646	34,783	34,842
Local Assistance Grants	27,624	29,185	28,182	28,182	28,182	28,182
State Operations	4,722	4,521	4,828	4,958	4,957	5,016
Personal Service	4,014	3,761	3,778	3,879	3,852	3,887
Non-Personal Service/Indirect Costs	708	760	1,050	1,079	1,105	1,129
General State Charges	1,122	888	1,236	1,506	1,644	1,644
Functional Total	4,070,475	3,715,010	3,643,445	3,695,143	3,773,722	3,836,374
HIGHER EDUCATION						
City University of New York	1,632,320	1,306,312	1,344,746	1,433,855	1,499,550	1,562,311
Local Assistance Grants	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
State Operations	102,796	117,099	136,747	147,380	149,890	149,890
Personal Service	73,896	85,732	96,651	102,662	104,250	104,250
Non-Personal Service/Indirect Costs	28,900	31,367	40,096	44,718	45,640	45,640
General State Charges	4,236	6,400	6,541	6,685	6,833	6,833
Higher Education - Miscellaneous	378	370	355	355	355	355
State Operations	270	255	220	220	220	220
Personal Service	238	221	175	175	175	175
Non-Personal Service/Indirect Costs	32	34	45	45	45	45
General State Charges	108	115	135	135	135	135
Higher Education Services Corporation, New York State	955,735	889,588	994,153	1,062,669	1,067,206	1,069,932
Local Assistance Grants	845,501	813,707	905,861	966,962	965,592	965,277
State Operations	95,037	61,052	74,876	79,286	83,829	85,434
Personal Service	36,260	32,756	32,134	31,445	31,760	32,077
Non-Personal Service/Indirect Costs	58,777	28,296	42,742	47,841	52,069	53,357
General State Charges	15,197	14,829	13,416	16,421	17,785	19,221
State University Construction Fund	18,595	18,915	26,172	27,074	27,854	28,609
State Operations	14,504	14,438	18,579	18,684	18,848	18,959
Personal Service	12,074	12,562	15,544	15,583	15,622	15,661
Non-Personal Service/Indirect Costs	2,430	1,876	3,035	3,101	3,226	3,298
General State Charges	4,091	4,477	7,593	8,390	9,006	9,650
State University of New York	5,859,418	5,880,864	6,080,910	6,287,408	6,503,771	6,724,630
Local Assistance Grants	445,974	472,818	478,471	443,938	443,324	443,324
State Operations	4,949,282	4,880,006	5,103,679	5,342,541	5,544,005	5,732,815
Personal Service	3,243,082	3,239,364	3,245,231	3,407,794	3,519,175	3,651,415
Non-Personal Service/Indirect Costs	1,706,200	1,640,642	1,858,448	1,934,747	2,024,830	2,081,400
General State Charges	464,162	528,040	498,760	500,929	516,442	548,491
Functional Total	8,466,446	8,096,049	8,446,336	8,811,361	9,098,736	9,385,837

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
EDUCATION						
Arts, Council on the	42,286	45,173	35,958	35,995	36,055	36,122
Local Assistance Grants	36,887	40,479	31,733	31,733	31,733	31,733
State Operations	5,399	4,694	4,225	4,262	4,322	4,389
Personal Service	3,741	3,098	2,796	2,820	2,845	2,870
Non-Personal Service/Indirect Costs	1,658	1,596	1,429	1,442	1,477	1,519
Education, Department of	23,440,201	26,717,031	24,880,173	25,638,539	26,765,978	27,874,299
School Aid	18,313,488	21,848,300	19,685,933	20,249,776	21,104,503	21,954,962
Local Assistance Grants	18,313,488	21,848,300	19,685,933	20,249,776	21,104,503	21,954,962
STAR Property Tax Relief	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Local Assistance Grants	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
Local Assistance Grants	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
All Other	793,489	710,499	705,149	693,560	695,484	697,395
Local Assistance Grants	614,770	549,521	544,933	532,934	531,885	529,344
State Operations	146,795	131,572	129,770	126,797	127,053	128,545
Personal Service	98,260	90,078	83,818	84,711	85,811	86,937
Non-Personal Service/Indirect Costs	48,535	41,494	45,952	42,086	41,242	41,608
General State Charges	31,924	29,406	30,446	33,829	36,546	39,506
Functional Total	23,482,487	26,762,204	24,916,131	25,674,534	26,802,033	27,910,421
GENERAL GOVERNMENT						
Budget, Division of the	33,613	33,825	36,345	37,394	38,324	40,421
State Operations	32,059	32,081	34,151	34,956	35,618	37,410
Personal Service	25,782	24,430	23,080	23,860	24,663	25,774
Non-Personal Service/Indirect Costs	6,277	7,651	11,071	11,096	10,955	11,636
General State Charges	1,554	1,744	2,194	2,438	2,706	3,011
Civil Service, Department of	21,384	17,896	15,743	15,993	16,280	16,461
State Operations	21,245	17,677	15,541	15,778	16,045	16,206
Personal Service	19,595	16,153	13,725	13,917	14,136	14,251
Non-Personal Service/Indirect Costs	1,650	1,524	1,816	1,861	1,909	1,955
General State Charges	139	219	202	215	235	255
Deferred Compensation Board	673	681	774	796	823	850
State Operations	521	519	598	605	615	625
Personal Service	388	374	390	392	396	400
Non-Personal Service/Indirect Costs	133	145	208	213	219	225
General State Charges	152	162	176	191	208	225
Elections, State Board of	9,898	6,186	6,963	5,240	5,185	35,407
Local Assistance Grants	195	582	2,000	0	0	30,000
State Operations	9,703	5,604	4,963	5,240	5,185	5,407
Personal Service	4,389	4,205	3,791	3,973	3,836	4,011
Non-Personal Service/Indirect Costs	5,314	1,399	1,172	1,267	1,349	1,396
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
State Operations	3,204	3,000	2,754	2,788	2,828	2,862
Personal Service	3,071	2,909	2,619	2,648	2,683	2,714
Non-Personal Service/Indirect Costs	133	91	135	140	145	148
General Services, Office of	136,701	128,633	119,652	120,529	123,701	126,631
Local Assistance Grants	24	28	32	19	0	0
State Operations	135,014	127,315	117,476	118,374	121,462	124,233
Personal Service	62,775	56,079	51,105	52,127	52,840	53,415
Non-Personal Service/Indirect Costs	72,239	71,236	66,371	66,247	68,622	70,818
General State Charges	1,663	1,290	2,144	2,136	2,239	2,398
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
State Operations	6,079	5,703	5,430	5,515	5,602	5,671
Personal Service	5,767	5,519	4,887	4,948	5,009	5,063
Non-Personal Service/Indirect Costs	312	184	543	567	593	608
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
State Operations	33,609	32,335	50,256	68,904	25,721	25,721
Personal Service	8,055	9,872	7,776	7,864	7,864	7,864
Non-Personal Service/Indirect Costs	25,554	22,463	42,480	61,040	17,857	17,857
Lottery, Division of the	185,777	162,910	176,790	177,280	181,544	185,220
State Operations	176,513	152,955	165,325	164,225	167,665	171,242
Personal Service	21,798	21,057	24,623	24,623	24,866	25,026
Non-Personal Service/Indirect Costs	154,715	131,898	140,702	139,602	142,799	146,216
General State Charges	9,264	9,955	11,465	13,055	13,879	13,978

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
State Operations	3,785	3,988	3,845	3,897	3,949	4,004
Personal Service	3,362	3,456	3,143	3,177	3,211	3,246
Non-Personal Service/Indirect Costs	423	532	702	720	738	758
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
State Operations	4,209	3,794	3,415	3,595	3,672	3,738
Personal Service	3,357	3,017	2,719	2,863	2,905	2,944
Non-Personal Service/Indirect Costs	852	777	696	732	767	794
Real Property Services, Office of	42,806	0	0	0	0	0
Local Assistance Grants	11,409	0	0	0	0	0
State Operations	27,977	0	0	0	0	0
Personal Service	23,407	0	0	0	0	0
Non-Personal Service/Indirect Costs	4,570	0	0	0	0	0
General State Charges	3,420	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State Operations	2,449	1,653	0	0	0	0
Personal Service	2,248	1,537	0	0	0	0
Non-Personal Service/Indirect Costs	201	116	0	0	0	0
State, Department of	94,598	75,478	66,171	58,362	53,033	54,327
Local Assistance Grants	37,352	24,495	16,152	7,235	539	539
State Operations	49,211	41,797	42,079	42,258	42,896	43,455
Personal Service	35,450	31,270	27,505	27,862	28,171	28,373
Non-Personal Service/Indirect Costs	13,761	10,527	14,574	14,396	14,725	15,082
General State Charges	8,035	9,186	7,955	8,884	9,613	10,348
Capital Projects	0	0	(15)	(15)	(15)	(15)
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
State Operations	3,458	3,134	2,741	2,741	2,779	2,812
Personal Service	2,960	2,776	2,420	2,420	2,447	2,471
Non-Personal Service/Indirect Costs	498	358	321	321	332	341
Taxation and Finance, Department of	417,242	441,466	400,039	402,935	409,792	415,543
Local Assistance Grants	0	5,270	926	1,076	1,076	1,076
State Operations	398,676	412,940	380,255	380,337	385,896	391,420
Personal Service	306,466	323,767	293,227	293,306	296,302	299,499
Non-Personal Service/Indirect Costs	92,210	89,173	87,028	87,031	89,594	91,921
General State Charges	18,566	23,256	18,858	21,522	22,820	23,047
Technology, Office for	22,765	22,902	21,122	16,023	15,486	16,153
Local Assistance Grants	299	884	1,245	0	0	0
State Operations	22,466	22,018	19,377	15,523	14,986	15,653
Personal Service	10,571	11,208	10,511	10,631	10,729	10,858
Non-Personal Service/Indirect Costs	11,895	10,810	8,866	4,892	4,257	4,795
General State Charges	0	0	500	500	500	500
Veterans' Affairs, Division of	14,756	14,069	13,923	13,827	13,333	13,413
Local Assistance Grants	8,290	8,044	8,501	8,350	7,785	7,855
State Operations	6,466	6,025	5,422	5,477	5,548	5,558
Personal Service	5,996	5,570	4,983	5,028	5,089	5,089
Non-Personal Service/Indirect Costs	470	455	439	449	459	469
Functional Total	1,037,006	957,653	925,963	935,819	902,052	949,234
ELECTED OFFICIALS						
Audit and Control, Department of	172,632	171,821	170,616	178,640	181,162	183,340
Local Assistance Grants	32,026	31,598	32,024	32,024	32,024	32,024
State Operations	139,406	138,841	136,555	142,676	147,538	149,716
Personal Service	114,716	110,320	104,808	111,898	113,291	114,561
Non-Personal Service/Indirect Costs	24,690	28,521	31,747	30,778	34,247	35,155
General State Charges	1,200	1,382	2,037	3,940	1,600	1,600
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
State Operations	17,056	12,880	13,926	14,203	14,461	15,185
Personal Service	13,451	10,963	11,160	11,337	11,495	12,025
Non-Personal Service/Indirect Costs	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	2,481,041	2,540,268	2,555,143	2,818,946	2,988,411	3,231,599
Local Assistance Grants	118,892	119,798	106,645	158,500	159,500	161,500
State Operations	1,847,453	1,868,890	1,838,800	2,009,862	2,119,121	2,292,141
Personal Service	1,536,724	1,525,120	1,469,455	1,596,945	1,655,493	1,774,616
Non-Personal Service/Indirect Costs	310,729	343,770	369,345	412,917	463,628	517,525
General State Charges	514,696	551,580	609,698	650,584	709,790	777,958
Law, Department of	184,022	169,761	168,938	177,458	180,946	182,918
State Operations	173,611	161,877	158,967	166,204	169,692	171,664
Personal Service	123,683	111,750	110,771	116,331	117,627	118,610

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Non-Personal Service/Indirect Costs	49,928	50,127	48,196	49,873	52,065	53,054
General State Charges	10,411	7,884	9,971	11,254	11,254	11,254
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
State Operations	226,089	222,536	218,795	227,685	232,263	235,415
Personal Service	177,874	174,096	165,284	171,715	175,149	176,901
Non-Personal Service/Indirect Costs	48,215	48,440	53,511	55,970	57,114	58,514
Lieutenant Governor, Office of the	0	304	630	645	645	690
State Operations	0	304	630	645	645	690
Personal Service	0	281	495	522	550	588
Non-Personal Service/Indirect Costs	0	23	135	123	95	102
Functional Total	3,080,840	3,117,570	3,128,048	3,417,577	3,597,888	3,849,147
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Local Assistance Grants	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Local Assistance Grants	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Local Assistance Grants	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Local Assistance Grants	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Local Assistance Grants	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634
ALL OTHER CATEGORIES						
General State Charges	2,920,603	3,432,021	3,907,105	4,169,810	4,561,858	4,658,967
State Operations	4,852	5,561	0	0	0	0
Personal Service	2,175	3,835	0	0	0	0
Non-Personal Service/Indirect Costs	2,677	1,726	0	0	0	0
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Long-Term Debt Service	5,012,102	5,677,515	5,896,097	6,362,426	6,580,378	6,681,841
State Operations	50,631	62,846	61,613	62,007	62,007	62,007
Non-Personal Service/Indirect Costs	50,631	62,846	61,613	62,007	62,007	62,007
Debt Service	4,961,471	5,614,669	5,834,484	6,300,419	6,518,371	6,619,834
Miscellaneous	(11,303)	(22,618)	11,234	201,083	(117,725)	(117,427)
Local Assistance Grants	(21,174)	(34,402)	359,661	324,284	325,254	325,254
State Operations	6,048	4,886	(364,179)	(219,074)	(448,954)	(448,780)
Personal Service	2,440	2,217	(192,267)	(192,223)	(192,178)	(192,098)
Non-Personal Service/Indirect Costs	3,608	2,669	(171,912)	(26,851)	(256,776)	(256,682)
General State Charges	3,823	6,898	15,752	95,873	5,975	6,099
Functional Total	7,921,402	9,086,918	9,814,436	10,733,319	11,024,511	11,223,381
TOTAL STATE OPERATING FUNDS SPENDING	80,659,435	84,417,400	86,860,783	90,770,267	94,208,256	97,513,000

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	94,674	77,623	82,911	85,462	84,430	86,250
Alcoholic Beverage Control, Division of	17,012	16,706	18,383	18,549	19,366	19,366
Development Authority of the North Country	36	10	117	70	0	0
Economic Development, Department of	70,148	46,255	83,822	77,459	65,071	72,812
Empire State Development Corporation	23,276	35,741	84,368	62,081	42,100	17,800
Energy Research and Development Authority	15,880	15,307	15,997	16,158	16,388	16,388
Financial Services, Department of	745,103	507,291	490,549	490,549	490,549	490,549
Olympic Regional Development Authority	5,441	3,534	3,331	3,331	3,458	3,540
Public Service Department	75,638	73,076	75,663	79,445	82,806	86,352
Racing and Wagering Board, State	22,575	21,573	17,639	18,061	18,390	18,675
Functional Total	1,069,783	797,116	872,780	851,165	822,558	811,732
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,153	4,637	4,173	4,175	4,175	4,175
Environmental Conservation, Department of	323,268	296,195	267,982	263,545	261,922	261,922
Environmental Facilities Corporation	9,733	9,390	10,082	10,323	10,475	10,597
Parks, Recreation and Historic Preservation, Office of	220,620	214,344	194,951	183,063	178,493	179,419
Functional Total	558,774	524,566	477,188	461,106	455,065	456,113
TRANSPORTATION						
Motor Vehicles, Department of	94,433	95,962	92,933	95,879	98,903	102,385
Transportation, Department of	3,861,856	4,287,685	4,267,309	4,368,227	4,458,100	4,553,044
Functional Total	3,956,289	4,383,647	4,360,242	4,464,106	4,557,003	4,655,429
HEALTH						
Aging, Office for the	116,764	118,718	112,641	120,188	124,139	131,134
Health, Department of	14,117,260	14,418,217	17,893,882	18,543,336	19,403,366	20,119,465
<i>Medical Assistance</i>	10,967,767	11,400,560	14,752,826	15,342,913	15,955,913	16,593,713
<i>Medicaid Administration</i>	514,488	538,370	573,750	596,750	620,650	645,450
<i>Public Health</i>	2,635,005	2,479,287	2,567,306	2,603,673	2,826,803	2,880,302
Medicaid Inspector General, Office of the	27,575	28,084	25,385	26,125	26,905	27,715
Stem Cell and Innovation	17,676	37,289	45,000	61,373	63,673	50,000
Functional Total	14,279,275	14,602,308	18,076,908	18,751,022	19,618,083	20,328,314
SOCIAL WELFARE						
Children and Family Services, Office of	2,050,622	1,991,084	1,812,318	2,013,145	2,071,944	2,181,252
<i>OCFS</i>	2,001,144	1,921,729	1,701,217	1,888,772	1,937,380	2,040,400
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	137,353	121,230	105,826	106,032	107,412	109,032
Human Rights, Division of	10,731	14,165	9,272	9,453	9,584	9,584
Labor, Department of	76,086	71,832	70,694	66,313	66,819	68,096
National and Community Service	359	381	599	601	683	687
Prevention of Domestic Violence, Office for	2,127	1,956	1,962	1,983	1,983	1,983
Temporary and Disability Assistance, Office of	1,489,690	1,370,873	1,582,811	1,724,011	1,774,847	1,789,524
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	301,968	327,008	334,609	341,545	344,155	345,732
Welfare Inspector General, Office of	727	1,150	1,391	1,407	1,427	1,446
Workers' Compensation Board	186,742	194,002	205,223	205,101	211,963	218,799
Functional Total	3,954,437	3,766,673	3,790,096	4,128,046	4,246,662	4,380,403
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	399,117	404,678	415,495	438,654	459,212	479,713
<i>OASAS</i>	305,722	292,990	317,021	337,784	355,730	373,648
<i>OASAS - Other</i>	93,395	111,688	98,474	100,870	103,482	106,065
Mental Health, Office of	2,963,161	3,121,444	3,035,891	3,232,796	3,412,853	3,609,262
<i>OMH</i>	1,265,646	1,363,390	1,188,866	1,336,433	1,433,701	1,541,569
<i>OMH - Other</i>	1,697,515	1,758,054	1,847,025	1,896,363	1,979,152	2,067,693
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	4,330,699	4,297,676	4,191,253	4,374,122	4,639,251	4,838,169
<i>OPWDD</i>	455,150	491,009	465,422	476,824	507,969	526,433
<i>OPWDD - Other</i>	3,875,549	3,806,667	3,725,831	3,897,298	4,131,282	4,311,736
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,790	8,213	8,207	8,407	8,630	8,837
Functional Total	7,701,942	7,832,356	7,650,846	8,053,979	8,519,946	8,935,981
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,812,275	2,587,693	2,438,938	2,494,445	2,564,172	2,627,110
Criminal Justice Services, Division of	255,797	213,480	233,078	231,555	226,929	229,046
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	25,500	33,298	109,982	126,381	133,759	125,969
Indigent Legal Services, Office of	95,089	90,793	78,500	78,628	78,740	78,857

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Investigation, Temporary State Commission of Judicial Commissions	395	0	0	0	0	0
Military and Naval Affairs, Division of State Police, Division of	5,145	4,944	4,763	4,837	4,917	4,995
Statewide Financial System	79,775	32,640	29,189	20,993	21,196	21,418
Statewide Wireless Network	747,810	677,826	671,511	614,099	619,113	623,563
Victim Services, Office of	5,932	9,070	40,498	55,484	55,584	55,584
	6,672	28,253	0	0	0	0
	33,468	34,594	34,246	34,646	34,783	34,842
Functional Total	4,070,475	3,715,010	3,643,445	3,695,143	3,773,722	3,836,374
HIGHER EDUCATION						
City University of New York	1,632,320	1,306,312	1,344,746	1,433,855	1,499,550	1,562,311
Higher Education - Miscellaneous	378	370	355	355	355	355
Higher Education Services Corporation, New York State	955,735	889,588	994,153	1,062,669	1,067,206	1,069,932
State University Construction Fund	18,595	18,915	26,172	27,074	27,854	28,609
State University of New York	5,859,418	5,880,864	6,080,910	6,287,408	6,503,771	6,724,630
Functional Total	8,466,446	8,096,049	8,446,336	8,811,361	9,098,736	9,385,837
EDUCATION						
Arts, Council on the	42,286	45,173	35,958	35,995	36,055	36,122
Education, Department of	23,440,201	26,717,031	24,880,173	25,638,539	26,765,978	27,874,299
<i>School Aid</i>	18,313,488	21,848,300	19,685,933	20,249,776	21,104,503	21,954,962
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	793,489	710,499	705,149	693,560	695,484	697,395
Functional Total	23,482,487	26,762,204	24,916,131	25,674,534	26,802,033	27,910,421
GENERAL GOVERNMENT						
Budget, Division of the	33,613	33,825	36,345	37,394	38,324	40,421
Civil Service, Department of	21,384	17,896	15,743	15,993	16,280	16,461
Deferred Compensation Board	673	681	774	796	823	850
Elections, State Board of	9,898	6,186	6,963	5,240	5,185	35,407
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
General Services, Office of	136,701	128,633	119,652	120,529	123,701	126,631
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
Lottery, Division of the	185,777	162,910	176,790	177,280	181,544	185,220
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	42,806	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	94,598	75,478	66,171	58,362	53,033	54,327
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	417,242	441,466	400,039	402,935	409,792	415,543
Technology, Office for	22,765	22,902	21,122	16,023	15,486	16,153
Veterans' Affairs, Division of	14,756	14,069	13,923	13,827	13,333	13,413
Functional Total	1,037,006	957,653	925,963	935,819	902,052	949,234
ELECTED OFFICIALS						
Audit and Control, Department of	172,632	171,821	170,616	178,640	181,162	183,340
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	2,481,041	2,540,268	2,555,143	2,818,946	2,988,411	3,231,599
Law, Department of	184,022	169,761	168,938	177,458	180,946	182,918
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	3,080,840	3,117,570	3,128,048	3,417,577	3,597,888	3,849,147
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634
ALL OTHER CATEGORIES						
General State Charges	2,920,603	3,432,021	3,907,105	4,169,810	4,561,858	4,658,967
Long-Term Debt Service	5,012,102	5,677,515	5,896,097	6,362,426	6,580,378	6,681,841
Miscellaneous	(11,303)	(22,618)	11,234	201,083	(117,725)	(117,427)
Functional Total	7,921,402	9,086,918	9,814,436	10,733,319	11,024,511	11,223,381
TOTAL STATE OPERATING FUNDS SPENDING	80,659,435	84,417,400	86,860,783	90,770,267	94,208,256	97,513,000

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,841	15,014	24,191	25,580	23,095	23,095
Development Authority of the North Country	36	10	117	70	0	0
Economic Development, Department of	37,665	25,790	52,620	60,390	47,409	54,772
Empire State Development Corporation	23,276	35,741	84,368	62,081	42,100	17,800
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	443,857	228,152	216,952	216,952	216,952	216,952
Public Service Department	0	0	500	500	500	500
Functional Total	540,332	313,864	387,982	374,807	339,290	322,353
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	8,364	2,835	6,802	5,425	4,802	4,802
Parks, Recreation and Historic Preservation, Office of	19,546	16,124	16,566	13,012	7,700	7,700
Functional Total	27,910	18,959	23,368	18,437	12,502	12,502
TRANSPORTATION						
Transportation, Department of	3,823,477	4,253,828	4,224,899	4,324,815	4,413,763	4,507,763
Functional Total	3,823,477	4,253,828	4,224,899	4,324,815	4,413,763	4,507,763
HEALTH						
Aging, Office for the	114,196	117,041	111,310	118,803	122,711	129,662
Health, Department of	13,472,058	13,813,299	17,290,488	17,936,823	18,778,141	19,481,895
<i>Medical Assistance</i>	10,946,483	11,377,313	14,706,471	15,296,558	15,909,558	16,547,358
<i>Medicaid Administration</i>	514,488	538,370	573,750	596,750	620,650	645,450
<i>Public Health</i>	2,011,087	1,897,616	2,010,267	2,043,515	2,247,933	2,289,087
Functional Total	13,586,254	13,930,340	17,401,798	18,055,626	18,900,852	19,611,557
SOCIAL WELFARE						
Children and Family Services, Office of	1,750,646	1,667,489	1,506,461	1,680,244	1,740,425	1,843,694
<i>OCFS</i>	1,701,168	1,598,134	1,395,360	1,555,871	1,605,861	1,702,842
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	54,706	44,018	36,967	36,267	36,267	36,267
Labor, Department of	13,057	11,237	8,606	1,545	100	100
National and Community Service	0	0	350	350	350	350
Prevention of Domestic Violence, Office for	656	666	685	685	685	685
Temporary and Disability Assistance, Office of	1,305,387	1,202,339	1,412,052	1,549,132	1,598,808	1,611,908
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	117,665	158,474	163,850	166,666	168,116	168,116
Functional Total	3,124,452	2,925,749	2,965,121	3,268,223	3,376,635	3,493,004
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	293,773	295,455	315,097	334,173	350,744	367,364
<i>OASAS</i>	259,993	246,366	282,417	301,493	318,064	334,684
<i>OASAS - Other</i>	33,780	49,089	32,680	32,680	32,680	32,680
Mental Health, Office of	1,068,374	1,106,185	1,125,851	1,248,008	1,350,686	1,441,297
<i>OMH</i>	643,710	682,773	721,371	814,690	898,805	962,549
<i>OMH - Other</i>	424,664	423,412	404,480	433,318	451,881	478,748
People with Developmental Disabilities, Office for	2,138,785	2,175,594	2,157,890	2,270,859	2,464,681	2,584,764
<i>OPWDD</i>	460,696	497,629	465,246	476,648	507,788	526,252
<i>OPWDD - Other</i>	1,678,089	1,677,965	1,692,644	1,794,211	1,956,893	2,058,512
Quality of Care and Advocacy for Persons With Disabilities, Commission on	857	623	620	620	620	620
Functional Total	3,501,789	3,577,857	3,599,458	3,853,660	4,166,731	4,394,045
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	17,714	10,386	6,086	6,051	6,000	6,000
Criminal Justice Services, Division of	186,679	150,003	162,996	160,578	157,558	157,558
Homeland Security and Emergency Services, Division of	0	17,552	64,170	74,870	94,570	90,570
Indigent Legal Services, Office of	70,089	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	34,538	3,659	670	667	650	650
Victim Services, Office of	27,624	29,185	28,182	28,182	28,182	28,182
Functional Total	336,644	276,554	339,104	347,348	363,960	359,960
HIGHER EDUCATION						
City University of New York	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
Higher Education Services Corporation, New York State	845,501	813,707	905,861	966,962	965,592	965,277
State University of New York	445,974	472,818	478,471	443,938	443,324	443,324
Functional Total	2,816,763	2,469,338	2,585,790	2,690,690	2,751,743	2,814,189
EDUCATION						
Arts, Council on the	36,887	40,479	31,733	31,733	31,733	31,733
Education, Department of	23,261,482	26,556,053	24,719,957	25,477,913	26,602,379	27,706,248

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
	Actuals	Actuals	Projected	Projected	Projected	Projected
<i>School Aid</i>	18,313,488	21,848,300	19,685,933	20,249,776	21,104,503	21,954,962
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	614,770	549,521	544,933	532,934	531,885	529,344
Functional Total	<u>23,298,369</u>	<u>26,596,532</u>	<u>24,751,690</u>	<u>25,509,646</u>	<u>26,634,112</u>	<u>27,737,981</u>
GENERAL GOVERNMENT						
Elections, State Board of	195	582	2,000	0	0	30,000
General Services, Office of	24	28	32	19	0	0
Real Property Services, Office of	11,409	0	0	0	0	0
State, Department of	37,352	24,495	16,152	7,235	539	539
Taxation and Finance, Department of	0	5,270	926	1,076	1,076	1,076
Technology, Office for	299	884	1,245	0	0	0
Veterans' Affairs, Division of	8,290	8,044	8,501	8,350	7,785	7,855
Functional Total	<u>57,569</u>	<u>39,303</u>	<u>28,856</u>	<u>16,680</u>	<u>9,400</u>	<u>39,470</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,026	31,598	32,024	32,024	32,024	32,024
Judiciary	118,892	119,798	106,645	158,500	159,500	161,500
Functional Total	<u>150,918</u>	<u>151,396</u>	<u>138,669</u>	<u>190,524</u>	<u>191,524</u>	<u>193,524</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	<u>1,080,279</u>	<u>775,330</u>	<u>758,364</u>	<u>793,090</u>	<u>789,997</u>	<u>790,634</u>
ALL OTHER CATEGORIES						
Miscellaneous	(21,174)	(34,402)	359,661	324,284	325,254	325,254
Functional Total	<u>(21,174)</u>	<u>(34,402)</u>	<u>359,661</u>	<u>324,284</u>	<u>325,254</u>	<u>325,254</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>52,323,582</u></u>	<u><u>55,294,648</u></u>	<u><u>57,564,760</u></u>	<u><u>59,767,830</u></u>	<u><u>62,275,763</u></u>	<u><u>64,602,236</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	63,273	57,860	53,578	54,443	55,380	56,622
Alcoholic Beverage Control, Division of	12,875	12,581	14,004	13,553	14,006	14,006
Economic Development, Department of	32,463	20,444	31,174	17,041	17,634	18,012
Energy Research and Development Authority	5,481	4,744	5,180	5,286	5,396	5,396
Financial Services, Department of	234,149	212,310	206,669	206,667	206,667	206,667
Olympic Regional Development Authority	5,441	3,534	3,331	3,331	3,458	3,540
Public Service Department	54,138	52,777	54,303	55,830	56,367	57,572
Racing and Wagering Board, State	17,369	18,056	14,911	15,007	15,103	15,163
Functional Total	425,189	382,306	383,150	371,158	374,011	376,978
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,153	4,637	4,173	4,175	4,175	4,175
Environmental Conservation, Department of	278,567	255,522	227,886	225,730	225,730	225,730
Environmental Facilities Corporation	7,859	7,122	6,960	7,011	7,038	7,065
Parks, Recreation and Historic Preservation, Office of	187,497	177,022	169,700	161,366	162,001	162,889
Functional Total	479,076	444,303	408,719	398,282	398,944	399,859
TRANSPORTATION						
Motor Vehicles, Department of	71,894	68,522	69,103	69,640	70,366	71,163
Transportation, Department of	35,552	33,454	37,508	37,953	38,434	38,908
Functional Total	107,446	101,976	106,611	107,593	108,800	110,071
HEALTH						
Aging, Office for the	2,568	1,677	1,331	1,385	1,428	1,472
Health, Department of	611,972	571,324	562,798	561,245	577,222	589,567
<i>Medical Assistance</i>	21,284	23,247	46,355	46,355	46,355	46,355
<i>Public Health</i>	590,688	548,077	516,443	514,890	530,867	543,212
Medicaid Inspector General, Office of the	27,481	27,990	25,385	26,125	26,905	27,715
Stem Cell and Innovation	17,248	36,971	45,000	61,373	63,673	50,000
Functional Total	659,269	637,962	634,514	650,128	669,228	668,754
SOCIAL WELFARE						
Children and Family Services, Office of	297,739	321,270	303,191	330,235	328,737	334,776
<i>OCFS</i>	297,739	321,270	303,191	330,235	328,737	334,776
Housing and Community Renewal, Division of	67,369	61,015	53,376	53,264	53,615	54,095
Human Rights, Division of	10,731	14,165	9,272	9,453	9,584	9,584
Labor, Department of	48,117	44,994	46,171	46,889	47,574	48,262
National and Community Service	359	381	249	251	333	337
Prevention of Domestic Violence, Office for	1,471	1,290	1,277	1,298	1,298	1,298
Temporary and Disability Assistance, Office of	183,074	167,085	169,450	173,399	174,454	176,036
<i>All Other</i>	183,074	167,085	169,450	173,399	174,454	176,036
Welfare Inspector General, Office of	635	1,094	1,177	1,186	1,206	1,225
Workers' Compensation Board	137,920	150,850	159,302	155,214	157,709	160,210
Functional Total	747,415	762,144	743,465	771,189	774,510	785,823
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,151	80,818	74,273	75,731	76,887	78,032
<i>OASAS</i>	35,483	34,454	27,076	27,873	28,358	28,832
<i>OASAS - Other</i>	44,668	46,364	47,197	47,858	48,529	49,200
Mental Health, Office of	1,411,457	1,455,854	1,386,873	1,416,191	1,442,479	1,485,419
<i>OMH</i>	455,191	482,556	315,430	371,448	376,042	394,243
<i>OMH - Other</i>	956,266	973,298	1,071,443	1,044,743	1,066,437	1,091,176
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	1,521,176	1,542,092	1,493,807	1,516,747	1,538,748	1,561,374
<i>OPWDD</i>	(5,546)	(6,620)	176	176	181	181
<i>OPWDD - Other</i>	1,526,722	1,548,712	1,493,631	1,516,571	1,538,567	1,561,193
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,001	6,573	6,428	6,509	6,628	6,735
Functional Total	3,019,960	3,085,682	2,961,381	3,015,178	3,064,742	3,131,560
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,792,561	2,575,307	2,432,852	2,488,394	2,558,172	2,621,110
Criminal Justice Services, Division of	69,035	63,391	69,881	70,763	69,150	71,252
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	25,402	15,550	45,111	50,806	38,484	34,694
Indigent Legal Services, Office of	25,000	25,024	1,136	1,180	1,225	1,271
Investigation, Temporary State Commission of	395	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	44,426	28,398	28,269	20,076	20,296	20,518
State Police, Division of	727,917	657,091	667,467	609,842	614,870	619,333
Statewide Financial System	5,932	9,069	40,498	55,484	55,584	55,584

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Statewide Wireless Network	5,412	27,655	0	0	0	0
Victim Services, Office of	4,722	4,521	4,828	4,958	4,957	5,016
Functional Total	<u>3,708,564</u>	<u>3,413,369</u>	<u>3,297,545</u>	<u>3,340,415</u>	<u>3,402,184</u>	<u>3,468,763</u>
HIGHER EDUCATION						
City University of New York	102,796	117,099	136,747	147,380	149,890	149,890
Higher Education - Miscellaneous	270	255	220	220	220	220
Higher Education Services Corporation, New York State	95,037	61,052	74,876	79,286	83,829	85,434
State University Construction Fund	14,504	14,438	18,579	18,684	18,848	18,959
State University of New York	4,949,282	4,880,006	5,103,679	5,342,541	5,544,005	5,732,815
Functional Total	<u>5,161,889</u>	<u>5,072,850</u>	<u>5,334,101</u>	<u>5,588,111</u>	<u>5,796,792</u>	<u>5,987,318</u>
EDUCATION						
Arts, Council on the	5,399	4,694	4,225	4,262	4,322	4,389
Education, Department of	146,795	131,572	129,770	126,797	127,053	128,545
<i>All Other</i>	146,795	131,572	129,770	126,797	127,053	128,545
Functional Total	<u>152,194</u>	<u>136,266</u>	<u>133,995</u>	<u>131,059</u>	<u>131,375</u>	<u>132,934</u>
GENERAL GOVERNMENT						
Budget, Division of the	32,059	32,081	34,151	34,956	35,618	37,410
Civil Service, Department of	21,245	17,677	15,541	15,778	16,045	16,206
Deferred Compensation Board	521	519	598	605	615	625
Elections, State Board of	9,703	5,604	4,963	5,240	5,185	5,407
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
General Services, Office of	135,014	127,315	117,476	118,374	121,462	124,233
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
Lottery, Division of the	176,513	152,955	165,325	164,225	167,665	171,242
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	27,977	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	49,211	41,797	42,079	42,258	42,896	43,455
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	398,676	412,940	380,255	380,337	385,896	391,420
Technology, Office for	22,466	22,018	19,377	15,523	14,986	15,653
Veterans' Affairs, Division of	6,466	6,025	5,422	5,477	5,548	5,558
Functional Total	<u>936,644</u>	<u>872,538</u>	<u>853,628</u>	<u>870,213</u>	<u>840,467</u>	<u>856,017</u>
ELECTED OFFICIALS						
Audit and Control, Department of	139,406	138,841	136,555	142,676	147,538	149,716
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	1,847,453	1,868,890	1,838,800	2,009,862	2,119,121	2,292,141
Law, Department of	173,611	161,877	158,967	166,204	169,692	171,664
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	<u>2,403,615</u>	<u>2,405,328</u>	<u>2,367,673</u>	<u>2,561,275</u>	<u>2,683,720</u>	<u>2,864,811</u>
ALL OTHER CATEGORIES						
General State Charges	4,852	5,561	0	0	0	0
Long-Term Debt Service	50,631	62,846	61,613	62,007	62,007	62,007
Miscellaneous	6,048	4,886	(364,179)	(219,074)	(448,954)	(448,780)
Functional Total	<u>61,531</u>	<u>73,293</u>	<u>(302,566)</u>	<u>(157,067)</u>	<u>(386,947)</u>	<u>(386,773)</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>17,862,792</u></u>	<u><u>17,388,017</u></u>	<u><u>16,922,216</u></u>	<u><u>17,647,534</u></u>	<u><u>17,857,826</u></u>	<u><u>18,396,115</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	34,218	31,416	29,222	29,788	30,418	31,062
Alcoholic Beverage Control, Division of	8,838	8,512	7,822	7,663	7,781	7,781
Economic Development, Department of	15,233	13,906	10,927	10,898	11,043	11,155
Energy Research and Development Authority	3,968	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	155,916	152,126	136,393	136,391	136,391	136,391
Olympic Regional Development Authority	3,090	2,890	2,485	2,485	2,522	2,548
Public Service Department	43,269	41,801	43,506	44,750	44,995	45,895
Racing and Wagering Board, State	12,538	12,062	9,437	9,500	9,563	9,591
Functional Total	277,070	265,607	243,157	244,907	246,214	247,924
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,729	4,234	3,812	3,814	3,814	3,814
Environmental Conservation, Department of	196,537	186,181	166,758	167,923	167,923	167,923
Environmental Facilities Corporation	6,798	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	142,458	135,297	124,026	124,900	125,535	125,788
Functional Total	350,522	331,772	300,781	302,866	303,523	303,798
TRANSPORTATION						
Motor Vehicles, Department of	52,901	51,578	48,194	49,004	49,437	49,877
Transportation, Department of	11,415	10,853	10,006	10,107	10,208	10,311
Functional Total	64,316	62,431	58,200	59,111	59,645	60,188
HEALTH						
Aging, Office for the	2,427	1,641	1,300	1,333	1,366	1,400
Health, Department of	304,888	289,860	286,790	291,090	297,635	302,978
<i>Medical Assistance</i>	0	500	500	500	500	500
<i>Public Health</i>	304,888	289,360	286,290	290,590	297,135	302,478
Medicaid Inspector General, Office of the	20,094	20,818	18,969	19,409	19,859	20,319
Stem Cell and Innovation	640	534	0	0	0	0
Functional Total	328,049	312,853	307,059	311,832	318,860	324,697
SOCIAL WELFARE						
Children and Family Services, Office of	202,655	192,793	177,563	195,528	190,732	193,167
<i>OCFS</i>	202,655	192,793	177,563	195,528	190,732	193,167
Housing and Community Renewal, Division of	50,376	46,367	41,282	40,719	40,939	41,302
Human Rights, Division of	8,027	12,932	8,168	8,265	8,363	8,363
Labor, Department of	33,433	32,161	31,103	31,443	31,762	32,080
National and Community Service	316	304	208	210	292	295
Prevention of Domestic Violence, Office for	1,251	1,098	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	71,841	62,305	63,981	64,284	64,635	64,796
<i>All Other</i>	71,841	62,305	63,981	64,284	64,635	64,796
Welfare Inspector General, Office of	506	434	701	701	721	729
Workers' Compensation Board	90,768	89,052	94,238	94,881	95,719	96,658
Functional Total	459,173	437,446	418,383	437,183	434,315	438,542
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	58,793	59,596	55,864	56,673	57,334	57,992
<i>OASAS</i>	25,233	25,103	17,354	17,899	18,129	18,350
<i>OASAS - Other</i>	33,560	34,493	38,510	38,774	39,205	39,642
Mental Health, Office of	1,100,079	1,145,258	1,091,978	1,102,788	1,118,975	1,144,635
<i>OMH</i>	382,310	405,776	249,748	288,086	290,385	308,825
<i>OMH - Other</i>	717,769	739,482	842,230	814,702	828,590	835,810
People with Developmental Disabilities, Office for	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
<i>OPWDD - Other</i>	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,839	5,430	5,283	5,326	5,391	5,435
Functional Total	2,300,597	2,378,480	2,279,312	2,302,327	2,329,807	2,368,604
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,142	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	2,250,699	2,043,633	1,968,893	1,990,954	2,017,629	2,038,692
Criminal Justice Services, Division of	37,701	34,806	29,347	30,053	28,872	29,218
Homeland Security - Miscellaneous	0	0	0	27,758	28,074	28,393
Homeland Security and Emergency Services, Division of	11,319	6,531	7,535	7,604	7,674	7,744
Indigent Legal Services, Office of	0	8	765	780	795	810
Investigation, Temporary State Commission of	386	0	0	0	0	0
Judicial Commissions	3,988	3,723	3,355	3,395	3,438	3,479
Military and Naval Affairs, Division of	22,271	19,260	17,068	10,791	10,916	11,041
State Police, Division of	646,775	582,393	544,966	528,801	531,871	535,042
Statewide Financial System	2,451	3,977	9,139	13,819	13,819	13,819
Statewide Wireless Network	2,820	8,076	0	0	0	0
Victim Services, Office of	4,014	3,761	3,778	3,879	3,852	3,887
Functional Total	2,984,566	2,708,250	2,587,172	2,620,194	2,649,316	2,674,525

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
HIGHER EDUCATION						
City University of New York	73,896	85,732	96,651	102,662	104,250	104,250
Higher Education - Miscellaneous	238	221	175	175	175	175
Higher Education Services Corporation, New York State	36,260	32,756	32,134	31,445	31,760	32,077
State University Construction Fund	12,074	12,562	15,544	15,583	15,622	15,661
State University of New York	3,243,082	3,239,364	3,245,231	3,407,794	3,519,175	3,651,415
Functional Total	3,365,550	3,370,635	3,389,735	3,557,659	3,670,982	3,803,578
EDUCATION						
Arts, Council on the	3,741	3,098	2,796	2,820	2,845	2,870
Education, Department of	98,260	90,078	83,818	84,711	85,811	86,937
<i>All Other</i>	98,260	90,078	83,818	84,711	85,811	86,937
Functional Total	102,001	93,176	86,614	87,531	88,656	89,807
GENERAL GOVERNMENT						
Budget, Division of the	25,782	24,430	23,080	23,860	24,663	25,774
Civil Service, Department of	19,595	16,153	13,725	13,917	14,136	14,251
Deferred Compensation Board	388	374	390	392	396	400
Elections, State Board of	4,389	4,205	3,791	3,973	3,836	4,011
Employee Relations, Office of	3,071	2,909	2,619	2,648	2,683	2,714
General Services, Office of	62,775	56,079	51,105	52,127	52,840	53,415
Inspector General, Office of the	5,767	5,519	4,887	4,948	5,009	5,063
Labor Management Committees	8,055	9,872	7,776	7,864	7,864	7,864
Lottery, Division of the	21,798	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	3,362	3,456	3,143	3,177	3,211	3,246
Public Integrity, Commission on	3,357	3,017	2,719	2,863	2,905	2,944
Real Property Services, Office of	23,407	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,537	0	0	0	0
State, Department of	35,450	31,270	27,505	27,862	28,171	28,373
Tax Appeals, Division of	2,960	2,776	2,420	2,420	2,447	2,471
Taxation and Finance, Department of	306,466	323,767	293,227	293,306	296,302	299,499
Technology, Office for	10,571	11,208	10,511	10,631	10,729	10,858
Veterans' Affairs, Division of	5,996	5,570	4,983	5,028	5,089	5,089
Functional Total	545,437	523,199	476,504	479,639	485,147	490,998
ELECTED OFFICIALS						
Audit and Control, Department of	114,716	110,320	104,808	111,898	113,291	114,561
Executive Chamber	13,451	10,963	11,160	11,337	11,495	12,025
Judiciary	1,536,724	1,525,120	1,469,455	1,596,945	1,655,493	1,774,616
Law, Department of	123,683	111,750	110,771	116,331	117,627	118,610
Legislature	177,874	174,096	165,284	171,715	175,149	176,901
Lieutenant Governor, Office of the	0	281	495	522	550	588
Functional Total	1,966,448	1,932,530	1,861,973	2,008,748	2,073,605	2,197,301
ALL OTHER CATEGORIES						
General State Charges	2,175	3,835	0	0	0	0
Miscellaneous	2,440	2,217	(192,267)	(192,223)	(192,178)	(192,098)
Functional Total	4,615	6,052	(192,267)	(192,223)	(192,178)	(192,098)
TOTAL PERSONAL SERVICE SPENDING	12,748,344	12,422,431	11,816,623	12,219,774	12,467,892	12,807,864

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	29,055	26,444	24,356	24,655	24,962	25,560
Alcoholic Beverage Control, Division of	4,037	4,069	6,182	5,890	6,225	6,225
Economic Development, Department of	17,230	6,538	20,247	6,143	6,591	6,857
Energy Research and Development Authority	1,513	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	78,233	60,184	70,276	70,276	70,276	70,276
Olympic Regional Development Authority	2,351	644	846	846	936	992
Public Service Department	10,869	10,976	10,797	11,080	11,372	11,677
Racing and Wagering Board, State	4,831	5,994	5,474	5,507	5,540	5,572
Functional Total	148,119	116,699	139,993	126,251	127,797	129,054
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	424	403	361	361	361	361
Environmental Conservation, Department of	82,030	69,341	61,128	57,807	57,807	57,807
Environmental Facilities Corporation	1,061	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	45,039	41,725	45,674	36,466	36,466	37,101
Functional Total	128,554	112,531	107,938	95,416	95,421	96,061
TRANSPORTATION						
Motor Vehicles, Department of	18,993	16,944	20,909	20,636	20,929	21,286
Transportation, Department of	24,137	22,601	27,502	27,846	28,226	28,597
Functional Total	43,130	39,545	48,411	48,482	49,155	49,883
HEALTH						
Aging, Office for the	141	36	31	52	62	72
Health, Department of	307,084	281,464	276,008	270,155	279,587	286,589
<i>Medical Assistance</i>	21,284	22,747	45,855	45,855	45,855	45,855
<i>Public Health</i>	285,800	258,717	230,153	224,300	233,732	240,734
Medicaid Inspector General, Office of the	7,387	7,172	6,416	6,716	7,046	7,396
Stem Cell and Innovation	16,608	36,437	45,000	61,373	63,673	50,000
Functional Total	331,220	325,109	327,455	338,296	350,368	344,057
SOCIAL WELFARE						
Children and Family Services, Office of	95,084	128,477	125,628	134,707	138,005	141,609
<i>OCFS</i>	95,084	128,477	125,628	134,707	138,005	141,609
Housing and Community Renewal, Division of	16,993	14,648	12,094	12,545	12,676	12,793
Human Rights, Division of	2,704	1,233	1,104	1,188	1,221	1,221
Labor, Department of	14,684	12,833	15,068	15,446	15,812	16,182
National and Community Service	43	77	41	41	41	42
Prevention of Domestic Violence, Office for	220	192	138	146	146	146
Temporary and Disability Assistance, Office of	111,233	104,780	105,469	109,115	109,819	111,240
<i>All Other</i>	111,233	104,780	105,469	109,115	109,819	111,240
Welfare Inspector General, Office of	129	660	476	485	485	496
Workers' Compensation Board	47,152	61,798	65,064	60,333	61,990	63,552
Functional Total	288,242	324,698	325,082	334,006	340,195	347,281
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,358	21,222	18,409	19,058	19,553	20,040
<i>OASAS</i>	10,250	9,351	9,722	9,974	10,229	10,482
<i>OASAS - Other</i>	11,108	11,871	8,687	9,084	9,324	9,558
Mental Health, Office of	311,378	310,596	294,895	313,403	323,504	340,784
<i>OMH</i>	72,881	76,780	65,682	83,362	85,657	85,418
<i>OMH - Other</i>	238,497	233,816	229,213	230,041	237,847	255,366
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	385,290	373,896	367,620	379,207	390,641	400,832
<i>OPWDD</i>	(5,546)	(6,620)	176	176	181	181
<i>OPWDD - Other</i>	390,836	380,516	367,444	379,031	390,460	400,651
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,162	1,143	1,145	1,183	1,237	1,300
Functional Total	719,363	707,202	682,069	712,851	734,935	762,956
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	454	337	414	432	448	457
Correctional Services, Department of	541,862	531,674	463,959	497,440	540,543	582,418
Criminal Justice Services, Division of	31,334	28,585	40,534	40,710	40,278	42,034
Homeland Security - Miscellaneous	0	0	0	3,525	3,631	3,740
Homeland Security and Emergency Services, Division of	14,083	9,019	37,576	43,202	30,810	26,950
Indigent Legal Services, Office of	25,000	25,016	371	400	430	461
Investigation, Temporary State Commission of	9	0	0	0	0	0
Judicial Commissions	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	22,155	9,138	11,201	9,285	9,380	9,477
State Police, Division of	81,142	74,698	122,501	81,041	82,999	84,291
Statewide Financial System	3,481	5,092	31,359	41,665	41,765	41,765

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Statewide Wireless Network	2,592	19,579	0	0	0	0
Victim Services, Office of	708	760	1,050	1,079	1,105	1,129
Functional Total	723,998	705,119	710,373	720,221	752,868	794,238
HIGHER EDUCATION						
City University of New York	28,900	31,367	40,096	44,718	45,640	45,640
Higher Education - Miscellaneous	32	34	45	45	45	45
Higher Education Services Corporation, New York State	58,777	28,296	42,742	47,841	52,069	53,357
State University Construction Fund	2,430	1,876	3,035	3,101	3,226	3,298
State University of New York	1,706,200	1,640,642	1,858,448	1,934,747	2,024,830	2,081,400
Functional Total	1,796,339	1,702,215	1,944,366	2,030,452	2,125,810	2,183,740
EDUCATION						
Arts, Council on the	1,658	1,596	1,429	1,442	1,477	1,519
Education, Department of	48,535	41,494	45,952	42,086	41,242	41,608
<i>All Other</i>	48,535	41,494	45,952	42,086	41,242	41,608
Functional Total	50,193	43,090	47,381	43,528	42,719	43,127
GENERAL GOVERNMENT						
Budget, Division of the	6,277	7,651	11,071	11,096	10,955	11,636
Civil Service, Department of	1,650	1,524	1,816	1,861	1,909	1,955
Deferred Compensation Board	133	145	208	213	219	225
Elections, State Board of	5,314	1,399	1,172	1,267	1,349	1,396
Employee Relations, Office of	133	91	135	140	145	148
General Services, Office of	72,239	71,236	66,371	66,247	68,622	70,818
Inspector General, Office of the	312	184	543	567	593	608
Labor Management Committees	25,554	22,463	42,480	61,040	17,857	17,857
Lottery, Division of the	154,715	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	423	532	702	720	738	758
Public Integrity, Commission on	852	777	696	732	767	794
Real Property Services, Office of	4,570	0	0	0	0	0
Regulatory Reform, Governor's Office of	201	116	0	0	0	0
State, Department of	13,761	10,527	14,574	14,396	14,725	15,082
Tax Appeals, Division of	498	358	321	321	332	341
Taxation and Finance, Department of	92,210	89,173	87,028	87,031	89,594	91,921
Technology, Office for	11,895	10,810	8,866	4,892	4,257	4,795
Veterans' Affairs, Division of	470	455	439	449	459	469
Functional Total	391,207	349,339	377,124	390,574	355,320	365,019
ELECTED OFFICIALS						
Audit and Control, Department of	24,690	28,521	31,747	30,778	34,247	35,155
Executive Chamber	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	310,729	343,770	369,345	412,917	463,628	517,525
Law, Department of	49,928	50,127	48,196	49,873	52,065	53,054
Legislature	48,215	48,440	53,511	55,970	57,114	58,514
Lieutenant Governor, Office of the	0	23	135	123	95	102
Functional Total	437,167	472,798	505,700	552,527	610,115	667,510
ALL OTHER CATEGORIES						
General State Charges	2,677	1,726	0	0	0	0
Long-Term Debt Service	50,631	62,846	61,613	62,007	62,007	62,007
Miscellaneous	3,608	2,669	(171,912)	(26,851)	(256,776)	(256,682)
Functional Total	56,916	67,241	(110,299)	35,156	(194,769)	(194,675)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,114,448	4,965,586	5,105,593	5,427,760	5,389,934	5,588,251

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,560	4,749	5,142	5,439	5,955	6,533
Alcoholic Beverage Control, Division of	4,137	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	20	21	28	28	28	28
Energy Research and Development Authority	1,742	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	67,097	66,829	66,928	66,930	66,930	66,930
Public Service Department	21,500	20,299	20,860	23,115	25,939	28,280
Racing and Wagering Board, State	5,206	3,517	2,728	3,054	3,287	3,512
Functional Total	104,262	100,946	101,648	105,200	109,257	112,401
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	36,337	37,838	33,294	32,390	31,390	31,390
Environmental Facilities Corporation	1,874	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,537	2,627	3,685	3,685	3,792	3,830
Functional Total	40,748	42,733	40,101	39,387	38,619	38,752
TRANSPORTATION						
Motor Vehicles, Department of	22,539	27,440	23,830	26,239	28,537	31,222
Transportation, Department of	2,827	403	4,902	5,459	5,903	6,373
Functional Total	25,366	27,843	28,732	31,698	34,440	37,595
HEALTH						
Health, Department of	33,230	33,594	40,596	45,268	48,003	48,003
<i>Public Health</i>	33,230	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of the	94	94	0	0	0	0
Stem Cell and Innovation	428	318	0	0	0	0
Functional Total	33,752	34,006	40,596	45,268	48,003	48,003
SOCIAL WELFARE						
Children and Family Services, Office of	2,237	2,325	2,666	2,666	2,782	2,782
<i>OCFS</i>	2,237	2,325	2,666	2,666	2,782	2,782
Housing and Community Renewal, Division of	15,278	16,197	15,483	16,501	17,530	18,670
Labor, Department of	14,912	15,601	15,917	17,879	19,145	19,734
Temporary and Disability Assistance, Office of	1,229	1,449	1,309	1,480	1,585	1,580
<i>All Other</i>	1,229	1,449	1,309	1,480	1,585	1,580
Welfare Inspector General, Office of	92	56	214	221	221	221
Workers' Compensation Board	48,822	43,152	45,921	49,887	54,254	58,589
Functional Total	82,570	78,780	81,510	88,634	95,517	101,576
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,193	28,405	26,125	28,750	31,581	34,317
<i>OASAS</i>	10,246	12,170	7,528	8,418	9,308	10,132
<i>OASAS - Other</i>	14,947	16,235	18,597	20,332	22,273	24,185
Mental Health, Office of	483,330	559,405	523,167	568,597	619,688	682,546
<i>OMH</i>	166,745	198,061	152,065	150,295	158,854	184,777
<i>OMH - Other</i>	316,585	361,344	371,102	418,302	460,834	497,769
People with Developmental Disabilities, Office for	670,738	579,990	539,556	586,516	635,822	692,031
<i>OPWDD - Other</i>	670,738	579,990	539,556	586,516	635,822	692,031
Quality of Care and Advocacy for Persons With Disabilities, Commission on	932	1,017	1,159	1,278	1,382	1,482
Functional Total	1,180,193	1,168,817	1,090,007	1,185,141	1,288,473	1,410,376
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,000	2,000	0	0	0	0
Criminal Justice Services, Division of	83	86	201	214	221	236
Homeland Security and Emergency Services, Division of	98	196	701	705	705	705
Indigent Legal Services, Office of	0	0	364	448	515	586
Military and Naval Affairs, Division of	811	583	250	250	250	250
State Police, Division of	19,893	20,735	4,044	4,257	4,243	4,230
Statewide Financial System	0	1	0	0	0	0
Statewide Wireless Network	1,260	598	0	0	0	0
Victim Services, Office of	1,122	888	1,236	1,506	1,644	1,644
Functional Total	25,267	25,087	6,796	7,380	7,578	7,651
HIGHER EDUCATION						
City University of New York	4,236	6,400	6,541	6,685	6,833	6,833
Higher Education - Miscellaneous	108	115	135	135	135	135
Higher Education Services Corporation, New York State	15,197	14,829	13,416	16,421	17,785	19,221
State University Construction Fund	4,091	4,477	7,593	8,390	9,006	9,650
State University of New York	464,162	528,040	498,760	500,929	516,442	548,491
Functional Total	487,794	553,861	526,445	532,560	550,201	584,330
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Education, Department of	31,924	29,406	30,446	33,829	36,546	39,506
<i>All Other</i>	31,924	29,406	30,446	33,829	36,546	39,506
Functional Total	<u>31,924</u>	<u>29,406</u>	<u>30,446</u>	<u>33,829</u>	<u>36,546</u>	<u>39,506</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,554	1,744	2,194	2,438	2,706	3,011
Civil Service, Department of	139	219	202	215	235	255
Deferred Compensation Board	152	162	176	191	208	225
General Services, Office of	1,663	1,290	2,144	2,136	2,239	2,398
Lottery, Division of the	9,264	9,955	11,465	13,055	13,879	13,978
Real Property Services, Office of	3,420	0	0	0	0	0
State, Department of	8,035	9,186	7,955	8,884	9,613	10,348
Taxation and Finance, Department of	18,566	23,256	18,858	21,522	22,820	23,047
Technology, Office for	0	0	500	500	500	500
Functional Total	<u>42,793</u>	<u>45,812</u>	<u>43,494</u>	<u>48,941</u>	<u>52,200</u>	<u>53,762</u>
ELECTED OFFICIALS						
Audit and Control, Department of	1,200	1,382	2,037	3,940	1,600	1,600
Judiciary	514,696	551,580	609,698	650,584	709,790	777,958
Law, Department of	10,411	7,884	9,971	11,254	11,254	11,254
Functional Total	<u>526,307</u>	<u>560,846</u>	<u>621,706</u>	<u>665,778</u>	<u>722,644</u>	<u>790,812</u>
ALL OTHER CATEGORIES						
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Miscellaneous	3,823	6,898	15,752	95,873	5,975	6,099
Functional Total	<u>2,919,574</u>	<u>3,433,358</u>	<u>3,922,857</u>	<u>4,265,683</u>	<u>4,567,833</u>	<u>4,665,066</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,500,550</u></u>	<u><u>6,101,495</u></u>	<u><u>6,534,338</u></u>	<u><u>7,049,499</u></u>	<u><u>7,551,311</u></u>	<u><u>7,889,830</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	11,040	18,571	5,000	5,000	5,000	5,000
Functional Total	<u>11,040</u>	<u>18,571</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
GENERAL GOVERNMENT						
State, Department of	0	0	(15)	(15)	(15)	(15)
Functional Total	<u>0</u>	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>11,040</u>	<u>18,571</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,586	5,205	13,050	26,947	8,330	3,500
Economic Development Capital	18,306	30,190	2,500	2,500	2,500	0
Economic Development, Department of	33,857	57,695	30,619	29,381	27,645	14,859
Empire State Development Corporation	583,292	895,913	698,935	322,065	427,602	457,103
Energy Research and Development Authority	13,500	16,403	16,610	14,000	14,790	14,790
Olympic Regional Development Authority	2,525	0	0	0	0	0
Regional Economic Development Program	1,967	5,248	2,500	2,500	2,500	1,500
Strategic Investment Program	8,827	3,561	4,000	5,000	5,000	5,000
Functional Total	665,860	1,014,215	768,214	402,393	488,367	496,752
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	487,450	671,782	727,126	531,456	456,706	449,313
Environmental Facilities Corporation	292	356	343	343	343	343
Hudson River Park Trust	11,977	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	79,274	40,592	38,798	21,779	21,779	21,779
Functional Total	578,993	727,946	766,267	553,578	478,828	471,435
TRANSPORTATION						
Metropolitan Transportation Authority	184,681	216,912	194,500	183,600	183,600	183,600
Motor Vehicles, Department of	208,105	204,814	184,921	189,838	194,761	198,486
Thruway Authority, New York State	1,403	1,478	1,800	1,800	1,800	1,800
Transportation, Department of	3,472,811	3,680,311	3,602,073	3,335,825	3,282,288	3,274,597
Functional Total	3,867,000	4,103,515	3,983,294	3,711,063	3,662,449	3,658,483
HEALTH						
Health, Department of	238,053	286,445	503,058	412,223	363,796	88,907
<i>Public Health</i>	238,053	286,445	503,058	412,223	363,796	88,907
Functional Total	238,053	286,445	503,058	412,223	363,796	88,907
SOCIAL WELFARE						
Children and Family Services, Office of	28,011	21,023	20,900	20,900	20,900	20,900
<i>OCFS</i>	28,011	21,023	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	116,317	96,570	83,635	68,181	83,575	70,227
Temporary and Disability Assistance, Office of	30,390	45,000	45,000	40,000	30,000	30,000
<i>All Other</i>	30,390	45,000	45,000	40,000	30,000	30,000
Functional Total	174,718	162,593	149,535	129,081	134,475	121,127
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	30,721	43,218	56,757	133,465	121,922	126,773
<i>OASAS</i>	30,721	43,218	56,757	133,465	121,922	126,773
Mental Health, Office of	111,788	129,125	134,090	177,081	192,081	192,081
<i>OMH</i>	111,788	129,125	134,090	177,081	192,081	192,081
People with Developmental Disabilities, Office for	31,784	24,369	47,069	49,099	43,099	43,099
<i>OPWDD</i>	31,784	24,369	47,069	49,099	43,099	43,099
Functional Total	174,293	196,712	237,916	359,645	357,102	361,953
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	263,964	216,232	244,250	253,265	259,675	265,664
Homeland Security - Miscellaneous	800	535	0	0	0	0
Homeland Security and Emergency Services, Division of	0	2,416	17,000	8,000	6,000	7,000
Military and Naval Affairs, Division of	37,099	23,746	27,152	27,041	26,996	27,007
State Police, Division of	14,074	14,597	38,227	47,543	17,874	11,065
Functional Total	315,937	257,526	326,629	335,849	310,545	310,736
HIGHER EDUCATION						
City University of New York	9,723	9,601	34,705	36,144	36,144	26,810
Higher Education Facilities Capital Matching Grants Program	37,320	33,834	48,000	26,592	0	0
State University of New York	811,326	852,555	1,031,825	1,069,239	1,047,392	1,023,476
Functional Total	858,369	895,990	1,114,530	1,131,975	1,083,536	1,050,286
EDUCATION						
Education, Department of	30,394	13,398	42,743	45,404	43,884	37,400
<i>All Other</i>	30,394	13,398	42,743	45,404	43,884	37,400
Functional Total	30,394	13,398	42,743	45,404	43,884	37,400
GENERAL GOVERNMENT						
General Services, Office of	53,044	61,188	78,847	62,613	59,309	69,883
State, Department of	(291)	1,373	2,750	0	0	0
Technology, Office for	784	4,085	216	0	0	0
Functional Total	53,537	66,646	81,813	62,613	59,309	69,883

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
ELECTED OFFICIALS						
Judiciary	8,089	9,640	4,500	4,000	8,000	1,580
Functional Total	<u>8,089</u>	<u>9,640</u>	<u>4,500</u>	<u>4,000</u>	<u>8,000</u>	<u>1,580</u>
ALL OTHER CATEGORIES						
Miscellaneous	146,592	109,953	(121,000)	151,000	100,000	100,000
Functional Total	<u>146,592</u>	<u>109,953</u>	<u>(121,000)</u>	<u>151,000</u>	<u>100,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>7,111,835</u></u>	<u><u>7,844,579</u></u>	<u><u>7,857,499</u></u>	<u><u>7,298,824</u></u>	<u><u>7,090,291</u></u>	<u><u>6,768,542</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	109,449	96,013	108,582	125,294	105,798	103,176
Local Assistance Grants	26,841	15,014	24,191	25,580	23,095	23,095
State Operations	73,619	70,045	65,270	66,180	67,162	68,678
Personal Service	36,185	33,711	31,377	31,986	32,660	33,349
Non-Personal Service/Indirect Costs	37,434	36,334	33,893	34,194	34,502	35,329
General State Charges	5,403	5,749	6,071	6,587	7,211	7,903
Capital Projects	3,586	5,205	13,050	26,947	8,330	3,500
<i>Alcoholic Beverage Control, Division of</i>	17,012	16,706	18,383	18,549	19,366	19,366
State Operations	12,875	12,581	14,004	13,553	14,006	14,006
Personal Service	8,838	8,512	7,822	7,663	7,781	7,781
Non-Personal Service/Indirect Costs	4,037	4,069	6,182	5,890	6,225	6,225
General State Charges	4,137	4,125	4,379	4,996	5,360	5,360
<i>Development Authority of the North Country</i>	36	10	117	70	0	0
Local Assistance Grants	36	10	117	70	0	0
<i>Economic Development Capital</i>	18,306	30,190	2,500	2,500	2,500	0
Local Assistance Grants	8,906	30,617	0	0	0	0
Capital Projects	9,400	(427)	2,500	2,500	2,500	0
<i>Economic Development, Department of</i>	104,005	103,950	114,786	107,185	93,061	88,016
Local Assistance Grants	53,052	75,494	52,720	60,490	47,509	54,872
State Operations	32,463	20,444	31,419	17,286	17,879	18,257
Personal Service	15,233	13,906	10,927	10,898	11,043	11,155
Non-Personal Service/Indirect Costs	17,230	6,538	20,492	6,388	6,836	7,102
General State Charges	20	21	28	28	28	28
Capital Projects	18,470	7,991	30,619	29,381	27,645	14,859
<i>Empire State Development Corporation</i>	606,568	931,654	783,303	384,146	469,702	474,903
Local Assistance Grants	178,041	926,844	777,868	331,581	407,100	389,900
Capital Projects	428,527	4,810	5,435	52,565	62,602	85,003
<i>Energy Research and Development Authority</i>	29,380	31,710	32,607	30,158	31,178	31,178
Local Assistance Grants	8,657	9,157	9,234	9,234	9,234	9,234
State Operations	5,481	4,744	5,180	5,286	5,396	5,396
Personal Service	3,968	2,894	3,365	3,432	3,501	3,501
Non-Personal Service/Indirect Costs	1,513	1,850	1,815	1,854	1,895	1,895
General State Charges	1,742	1,406	1,583	1,638	1,758	1,758
Capital Projects	13,500	16,403	16,610	14,000	14,790	14,790
<i>Financial Services, Department of</i>	745,103	507,393	490,549	490,549	490,549	490,549
Local Assistance Grants	443,857	228,152	216,952	216,952	216,952	216,952
State Operations	234,149	212,412	206,669	206,667	206,667	206,667
Personal Service	155,916	152,126	136,393	136,391	136,391	136,391
Non-Personal Service/Indirect Costs	78,233	60,286	70,276	70,276	70,276	70,276
General State Charges	67,097	66,829	66,928	66,930	66,930	66,930
<i>Olympic Regional Development Authority</i>	7,966	3,534	3,331	3,331	3,458	3,540
Local Assistance Grants	2,525	0	0	0	0	0
State Operations	5,441	3,534	3,331	3,331	3,458	3,540
Personal Service	3,090	2,890	2,485	2,485	2,522	2,548
Non-Personal Service/Indirect Costs	2,351	644	846	846	936	992
<i>Public Service Department</i>	77,313	75,288	78,822	82,617	85,830	89,507
Local Assistance Grants	0	0	500	500	500	500
State Operations	55,329	54,403	56,612	58,030	58,429	59,677
Personal Service	44,332	43,289	45,409	46,583	46,713	47,647
Non-Personal Service/Indirect Costs	10,997	11,114	11,203	11,447	11,716	12,030
General State Charges	21,984	20,885	21,710	24,087	26,901	29,330
<i>Racing and Wagering Board, State</i>	22,575	21,573	17,639	18,061	18,390	18,675
State Operations	17,369	18,056	14,911	15,007	15,103	15,163
Personal Service	12,538	12,062	9,437	9,500	9,563	9,591
Non-Personal Service/Indirect Costs	4,831	5,994	5,474	5,507	5,540	5,572
General State Charges	5,206	3,517	2,728	3,054	3,287	3,512
<i>Regional Economic Development Program</i>	1,967	5,248	2,500	2,500	2,500	1,500
Local Assistance Grants	1,967	5,248	0	0	0	0
Capital Projects	0	0	2,500	2,500	2,500	1,500
<i>Strategic Investment Program</i>	8,827	3,561	4,000	5,000	5,000	5,000
Capital Projects	8,827	3,561	4,000	5,000	5,000	5,000
Functional Total	1,748,507	1,826,830	1,657,119	1,269,960	1,327,332	1,325,410
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	5,292	4,718	4,523	4,525	4,525	4,525

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
State Operations	5,292	4,718	4,523	4,525	4,525	4,525
Personal Service	4,729	4,234	3,812	3,814	3,814	3,814
Non-Personal Service/Indirect Costs	563	484	711	711	711	711
Environmental Conservation, Department of	864,001	1,024,255	1,044,544	844,437	768,064	760,671
Local Assistance Grants	180,449	369,290	351,802	188,875	149,802	149,802
State Operations	321,847	302,256	267,511	265,355	265,355	265,355
Personal Service	220,767	210,874	190,827	191,992	191,992	191,992
Non-Personal Service/Indirect Costs	101,080	91,382	76,684	73,363	73,363	73,363
General State Charges	46,340	47,382	43,105	42,201	41,201	41,201
Capital Projects	315,365	305,327	382,126	348,006	311,706	304,313
Environmental Facilities Corporation	10,025	9,746	10,425	10,666	10,818	10,940
State Operations	7,859	7,122	6,960	7,011	7,038	7,065
Personal Service	6,798	6,060	6,185	6,229	6,251	6,273
Non-Personal Service/Indirect Costs	1,061	1,062	775	782	787	792
General State Charges	1,874	2,268	3,122	3,312	3,437	3,532
Capital Projects	292	356	343	343	343	343
Hudson River Park Trust	11,977	15,216	0	0	0	0
Capital Projects	11,977	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	305,485	260,277	237,622	208,715	204,145	205,209
Local Assistance Grants	29,405	18,960	17,836	14,282	8,970	8,970
State Operations	190,807	180,237	171,795	163,461	164,096	165,019
Personal Service	143,678	136,484	125,036	125,910	126,545	126,808
Non-Personal Service/Indirect Costs	47,129	43,753	46,759	37,551	37,551	38,211
General State Charges	3,020	2,719	4,193	4,193	4,300	4,441
Capital Projects	82,253	58,361	43,798	26,779	26,779	26,779
Functional Total	1,196,780	1,314,212	1,297,114	1,068,343	987,552	981,345
TRANSPORTATION						
Metropolitan Transportation Authority	184,681	216,912	194,500	183,600	183,600	183,600
Local Assistance Grants	184,681	216,912	194,500	183,600	183,600	183,600
Motor Vehicles, Department of	320,230	320,322	300,249	305,292	313,367	320,721
Local Assistance Grants	13,486	15,734	17,800	14,800	14,800	14,800
State Operations	75,637	71,816	73,075	73,676	74,467	75,341
Personal Service	54,016	52,897	49,634	50,458	50,906	51,361
Non-Personal Service/Indirect Costs	21,621	18,919	23,441	23,218	23,561	23,980
General State Charges	23,002	27,958	24,453	26,978	29,339	32,094
Capital Projects	208,105	204,814	184,921	189,838	194,761	198,486
Thruway Authority, New York State	1,403	1,478	1,800	1,800	1,800	1,800
Local Assistance Grants	1,403	1,478	0	0	0	0
Capital Projects	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	7,376,584	8,017,474	7,909,964	7,745,163	7,781,808	7,869,385
Local Assistance Grants	4,268,202	4,892,214	4,954,869	4,968,046	5,051,484	5,150,141
State Operations	43,257	40,374	44,321	44,882	45,484	46,085
Personal Service	16,265	15,310	13,892	14,037	14,181	14,328
Non-Personal Service/Indirect Costs	26,992	25,064	30,429	30,845	31,303	31,757
General State Charges	5,308	2,519	6,568	7,538	8,170	8,837
Capital Projects	3,059,817	3,082,367	2,904,206	2,724,697	2,676,670	2,664,322
Functional Total	7,882,898	8,556,186	8,406,513	8,235,855	8,280,575	8,375,506
HEALTH						
Aging, Office for the	229,966	232,453	217,409	224,956	228,907	235,902
Local Assistance Grants	219,608	222,625	207,079	214,572	218,480	225,431
State Operations	10,358	9,828	10,077	10,131	10,174	10,218
Personal Service	8,791	8,323	8,926	8,959	8,992	9,026
Non-Personal Service/Indirect Costs	1,567	1,505	1,151	1,172	1,182	1,192
General State Charges	0	0	253	253	253	253
Health, Department of	42,156,549	43,795,710	43,269,730	42,517,454	45,813,941	51,807,284
Medical Assistance	37,025,209	38,624,934	37,705,331	36,933,023	39,975,067	46,106,023
Local Assistance Grants	37,003,925	38,601,687	37,658,976	36,886,668	39,928,712	46,059,668
State Operations	21,284	23,247	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500	500
Non-Personal Service/Indirect Costs	21,284	22,747	45,855	45,855	45,855	45,855
Medicaid Administration	939,296	956,269	1,147,500	1,193,500	1,241,300	1,290,900
Local Assistance Grants	939,296	956,269	1,147,500	1,193,500	1,241,300	1,290,900
Public Health	4,192,044	4,214,507	4,416,899	4,390,931	4,597,574	4,410,361
Local Assistance Grants	3,360,024	3,396,015	3,639,716	3,610,629	3,798,560	3,599,002
State Operations	769,755	750,575	700,081	698,528	714,505	726,850

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Personal Service	364,585	346,059	341,607	345,907	352,452	357,795
Non-Personal Service/Indirect Costs	405,170	404,516	358,474	352,621	362,053	369,055
General State Charges	54,425	60,449	63,602	68,274	71,009	71,009
Capital Projects	7,840	7,468	13,500	13,500	13,500	13,500
Medicaid Inspector General, Office of the	64,868	66,843	68,575	71,772	74,385	76,332
State Operations	56,567	57,844	57,837	59,348	60,939	62,591
Personal Service	40,140	41,629	39,092	39,972	40,872	41,792
Non-Personal Service/Indirect Costs	16,427	16,215	18,745	19,376	20,067	20,799
General State Charges	8,301	8,999	10,738	12,424	13,446	13,741
Stem Cell and Innovation	17,676	37,289	45,000	61,373	63,673	50,000
State Operations	17,248	36,971	45,000	61,373	63,673	50,000
Personal Service	640	534	0	0	0	0
Non-Personal Service/Indirect Costs	16,608	36,437	45,000	61,373	63,673	50,000
General State Charges	428	318	0	0	0	0
Functional Total	42,469,059	44,132,295	43,600,714	42,875,555	46,180,906	52,169,518
SOCIAL WELFARE						
Children and Family Services, Office of	3,189,020	3,144,866	2,934,854	3,072,729	3,128,485	3,239,597
OCFS	3,139,542	3,075,511	2,823,753	2,948,356	2,993,921	3,098,745
Local Assistance Grants	2,716,339	2,640,673	2,393,112	2,490,671	2,540,661	2,637,642
State Operations	384,285	404,378	397,162	424,206	420,669	428,512
Personal Service	229,085	220,446	207,128	225,093	218,258	220,969
Non-Personal Service/Indirect Costs	155,200	183,932	190,034	199,113	202,411	207,543
General State Charges	11,241	12,215	12,579	12,579	11,691	11,691
Capital Projects	27,677	18,245	20,900	20,900	20,900	20,900
OCFS - Other	49,478	69,355	111,101	124,373	134,564	140,852
Local Assistance Grants	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	417,003	403,244	408,778	235,497	252,654	241,322
Local Assistance Grants	319,046	308,912	323,450	152,785	168,179	154,831
State Operations	76,254	71,483	63,562	60,522	61,008	61,622
Personal Service	58,315	55,025	48,438	45,750	46,027	46,448
Non-Personal Service/Indirect Costs	17,939	16,458	15,124	14,772	14,981	15,174
General State Charges	18,313	19,849	18,766	19,190	20,467	21,869
Capital Projects	3,390	3,000	3,000	3,000	3,000	3,000
Human Rights, Division of	20,300	19,139	18,169	18,775	19,060	19,060
State Operations	19,650	18,092	15,639	15,863	15,994	15,994
Personal Service	14,873	14,686	13,206	13,334	13,432	13,432
Non-Personal Service/Indirect Costs	4,777	3,406	2,433	2,529	2,562	2,562
General State Charges	650	1,047	2,530	2,912	3,066	3,066
Labor, Department of	728,721	710,215	737,295	730,325	711,843	713,120
Local Assistance Grants	273,863	195,795	210,798	194,265	183,347	183,347
State Operations	360,817	407,013	388,544	382,822	371,381	372,069
Personal Service	235,698	249,256	259,859	255,524	247,423	247,741
Non-Personal Service/Indirect Costs	125,119	157,757	128,685	127,298	123,958	124,328
General State Charges	94,041	107,407	137,953	153,238	157,115	157,704
National and Community Service	16,862	24,731	14,599	14,601	14,687	14,909
Local Assistance Grants	0	0	350	350	350	350
State Operations	16,862	24,731	14,249	14,251	14,337	14,559
Personal Service	690	661	562	564	650	657
Non-Personal Service/Indirect Costs	16,172	24,070	13,687	13,687	13,687	13,902
Prevention of Domestic Violence, Office for	2,167	1,946	1,962	1,983	1,983	1,983
Local Assistance Grants	656	666	685	685	685	685
State Operations	1,511	1,280	1,277	1,298	1,298	1,298
Personal Service	1,291	1,088	1,139	1,152	1,152	1,152
Non-Personal Service/Indirect Costs	220	192	138	146	146	146
Temporary and Disability Assistance, Office of	5,275,993	5,278,082	5,291,111	5,147,727	5,190,125	5,207,137
Welfare Assistance	3,857,439	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
Local Assistance Grants	3,857,439	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
Welfare Administration	51,263	0	0	0	0	0
Local Assistance Grants	51,263	0	0	0	0	0
All Other	1,367,291	1,560,368	1,418,000	1,414,439	1,409,183	1,412,497
Local Assistance Grants	963,456	1,183,687	1,021,100	1,011,916	1,003,366	1,003,366
State Operations	334,813	329,230	343,471	348,421	350,694	353,483
Personal Service	165,774	166,482	163,404	164,550	165,923	167,106
Non-Personal Service/Indirect Costs	169,039	162,748	180,067	183,871	184,771	186,377
General State Charges	38,632	47,451	53,429	54,102	55,123	55,648
Capital Projects	30,390	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Welfare Inspector General, Office of	727	1,150	1,391	1,407	1,427	1,446
State Operations	635	1,094	1,177	1,186	1,206	1,225
Personal Service	506	434	701	701	721	729
Non-Personal Service/Indirect Costs	129	660	476	485	485	496
General State Charges	92	56	214	221	221	221
Workers' Compensation Board	190,135	198,709	208,755	208,633	215,587	222,423
State Operations	141,313	155,557	162,834	158,746	161,333	163,834
Personal Service	90,768	89,052	94,238	94,881	95,719	96,658
Non-Personal Service/Indirect Costs	50,545	66,505	68,596	63,865	65,614	67,176
General State Charges	48,822	43,152	45,921	49,887	54,254	58,589
Functional Total	9,840,928	9,782,082	9,616,914	9,431,677	9,535,851	9,660,997
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	550,090	600,042	587,751	689,322	698,568	724,242
OASAS	456,695	488,354	489,277	588,452	595,086	618,177
Local Assistance Grants	402,260	434,709	435,176	532,789	534,460	556,044
State Operations	42,866	39,783	35,010	34,766	35,301	35,868
Personal Service	31,031	29,054	23,426	23,011	23,290	23,563
Non-Personal Service/Indirect Costs	11,835	10,729	11,584	11,755	12,011	12,305
General State Charges	10,246	12,184	10,123	11,058	12,129	13,182
Capital Projects	1,323	1,678	8,968	9,839	13,196	13,083
OASAS - Other	93,395	111,688	98,474	100,870	103,482	106,065
Local Assistance Grants	33,780	49,089	32,680	32,680	32,680	32,680
State Operations	44,668	46,364	47,197	47,858	48,529	49,200
Personal Service	33,560	34,493	38,510	38,774	39,205	39,642
Non-Personal Service/Indirect Costs	11,108	11,871	8,687	9,084	9,324	9,558
General State Charges	14,947	16,235	18,597	20,332	22,273	24,185
Developmental Disabilities Planning Council	3,397	2,894	4,200	4,200	4,200	4,200
State Operations	3,148	2,894	3,589	3,498	3,439	3,439
Personal Service	1,224	1,190	1,197	1,197	1,209	1,209
Non-Personal Service/Indirect Costs	1,924	1,704	2,392	2,301	2,230	2,230
General State Charges	249	0	611	702	761	761
Mental Health, Office of	3,121,486	3,332,889	3,221,096	3,461,837	3,656,929	3,853,361
OMH	1,423,971	1,574,835	1,374,071	1,565,474	1,677,777	1,785,668
Local Assistance Grants	731,742	823,456	828,029	965,131	1,064,246	1,127,990
State Operations	456,454	483,127	316,158	372,176	376,780	394,981
Personal Service	383,287	405,883	250,326	288,664	290,969	309,409
Non-Personal Service/Indirect Costs	73,167	77,244	65,832	83,512	85,811	85,572
General State Charges	167,136	198,566	152,314	150,597	159,181	185,127
Capital Projects	68,639	69,686	77,570	77,570	77,570	77,570
OMH - Other	1,697,515	1,758,054	1,847,025	1,896,363	1,979,152	2,067,693
Local Assistance Grants	424,664	423,412	404,480	433,318	451,881	478,748
State Operations	956,266	973,298	1,071,443	1,044,743	1,066,437	1,091,176
Personal Service	717,769	739,482	842,230	814,702	828,590	835,810
Non-Personal Service/Indirect Costs	238,497	233,816	229,213	230,041	237,847	255,366
General State Charges	316,585	361,344	371,102	418,302	460,834	497,769
Mental Hygiene, Department of	175	345	0	0	0	0
State Operations	175	345	0	0	0	0
Non-Personal Service/Indirect Costs	175	345	0	0	0	0
People with Developmental Disabilities, Office for	4,397,581	4,334,649	4,291,840	4,456,897	4,716,901	4,915,819
OPWDD	522,032	527,982	566,009	559,599	585,619	604,083
Local Assistance Grants	462,213	502,659	468,725	480,527	511,667	530,131
State Operations	29,495	1,686	53,632	33,782	34,658	34,658
Personal Service	81	42	116	116	116	116
Non-Personal Service/Indirect Costs	29,414	1,644	53,516	33,666	34,542	34,542
General State Charges	57	19	62	70	74	74
Capital Projects	30,267	23,618	43,590	45,220	39,220	39,220
OPWDD - Other	3,875,549	3,806,667	3,725,831	3,897,298	4,131,282	4,311,736
Local Assistance Grants	1,678,089	1,677,965	1,692,644	1,794,211	1,956,893	2,058,512
State Operations	1,526,722	1,548,712	1,493,631	1,516,571	1,538,567	1,561,193
Personal Service	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
Non-Personal Service/Indirect Costs	390,836	380,516	367,444	379,031	390,460	400,651
General State Charges	670,738	579,990	539,556	586,516	635,822	692,031
Quality of Care and Advocacy for Persons With Disabilities, Commission on	15,508	14,708	15,133	15,535	15,987	16,492
Local Assistance Grants	857	623	620	620	620	620
State Operations	13,099	12,393	12,590	12,710	12,982	13,240
Personal Service	7,395	7,012	6,956	6,999	7,081	7,254

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Non-Personal Service/Indirect Costs	5,704	5,381	5,634	5,711	5,901	5,986
General State Charges	1,552	1,692	1,923	2,205	2,385	2,632
Functional Total	8,088,237	8,285,527	8,120,020	8,627,791	9,092,585	9,514,114
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
State Operations	21	0	0	0	0	0
Non-Personal Service/Indirect Costs	21	0	0	0	0	0
Correction, Commission of	2,628	2,419	2,740	2,792	2,824	2,857
State Operations	2,617	2,419	2,740	2,792	2,824	2,857
Personal Service	2,156	2,082	2,326	2,360	2,376	2,400
Non-Personal Service/Indirect Costs	461	337	414	432	448	457
General State Charges	11	0	0	0	0	0
Correctional Services, Department of	3,097,695	2,834,565	2,711,085	2,776,315	2,853,184	2,922,384
Local Assistance Grants	17,723	10,624	6,086	6,051	6,000	6,000
State Operations	2,813,150	2,604,952	2,459,334	2,515,584	2,586,094	2,649,305
Personal Service	2,270,269	2,072,488	1,994,165	2,016,902	2,044,274	2,065,576
Non-Personal Service/Indirect Costs	542,881	532,464	465,169	498,682	541,820	583,729
General State Charges	2,858	2,757	1,415	1,415	1,415	1,415
Capital Projects	263,964	216,232	244,250	253,265	259,675	265,664
Criminal Justice Services, Division of	316,619	269,469	290,130	288,996	260,231	262,348
Local Assistance Grants	228,695	186,974	200,796	198,517	176,358	176,358
State Operations	87,754	82,243	88,933	90,065	83,452	85,554
Personal Service	46,576	45,227	39,847	40,603	36,672	37,018
Non-Personal Service/Indirect Costs	41,178	37,016	49,086	49,462	46,780	48,536
General State Charges	170	252	401	414	421	436
Homeland Security - Miscellaneous	800	535	0	31,283	31,705	32,133
State Operations	0	0	0	31,283	31,705	32,133
Personal Service	0	0	0	27,758	28,074	28,393
Non-Personal Service/Indirect Costs	0	0	0	3,525	3,631	3,740
Capital Projects	800	535	0	0	0	0
Homeland Security and Emergency Services, Division of	296,589	360,463	451,732	459,131	464,509	457,719
Local Assistance Grants	265,657	326,323	372,941	383,641	403,341	399,341
State Operations	29,481	28,612	58,174	63,869	51,547	47,757
Personal Service	13,796	12,781	13,786	13,855	13,925	13,995
Non-Personal Service/Indirect Costs	15,685	15,831	44,388	50,014	37,622	33,762
General State Charges	1,451	3,112	3,617	3,621	3,621	3,621
Capital Projects	0	2,416	17,000	8,000	6,000	7,000
Indigent Legal Services, Office of	95,089	90,793	78,500	78,628	78,740	78,857
Local Assistance Grants	70,089	65,769	77,000	77,000	77,000	77,000
State Operations	25,000	25,024	1,136	1,180	1,225	1,271
Personal Service	0	8	765	780	795	810
Non-Personal Service/Indirect Costs	25,000	25,016	371	400	430	461
General State Charges	0	0	364	448	515	586
Investigation, Temporary State Commission of	395	0	0	0	0	0
State Operations	395	0	0	0	0	0
Personal Service	386	0	0	0	0	0
Non-Personal Service/Indirect Costs	9	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
State Operations	5,145	4,944	4,763	4,837	4,917	4,995
Personal Service	3,988	3,723	3,355	3,395	3,438	3,479
Non-Personal Service/Indirect Costs	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	276,622	99,704	97,240	88,933	89,091	89,324
Local Assistance Grants	143,148	3,825	670	667	650	650
State Operations	88,139	63,905	62,669	54,476	54,696	54,918
Personal Service	50,490	41,684	39,214	32,937	33,062	33,187
Non-Personal Service/Indirect Costs	37,649	22,221	23,455	21,539	21,634	21,731
General State Charges	8,236	8,228	6,749	6,749	6,749	6,749
Capital Projects	37,099	23,746	27,152	27,041	26,996	27,007
State Police, Division of	776,340	715,440	717,288	669,242	644,637	642,328
State Operations	741,303	679,514	675,017	617,442	622,520	627,033
Personal Service	651,675	590,458	550,016	533,901	537,021	540,242
Non-Personal Service/Indirect Costs	89,628	89,056	125,001	83,541	85,499	86,791
General State Charges	20,963	21,329	4,044	4,257	4,243	4,230
Capital Projects	14,074	14,597	38,227	47,543	17,874	11,065
Statewide Financial System	5,932	9,070	40,498	55,484	55,584	55,584
State Operations	5,932	9,069	40,498	55,484	55,584	55,584
Personal Service	2,451	3,977	9,139	13,819	13,819	13,819

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Non-Personal Service/Indirect Costs	3,481	5,092	31,359	41,665	41,765	41,765
General State Charges	0	1	0	0	0	0
Statewide Wireless Network	6,672	28,253	0	0	0	0
State Operations	5,412	27,655	0	0	0	0
Personal Service	2,820	8,076	0	0	0	0
Non-Personal Service/Indirect Costs	2,592	19,579	0	0	0	0
General State Charges	1,260	598	0	0	0	0
Victim Services, Office of	67,342	69,522	66,402	66,802	66,939	66,998
Local Assistance Grants	59,852	62,560	58,310	58,310	58,310	58,310
State Operations	6,368	6,074	6,530	6,660	6,659	6,718
Personal Service	5,245	4,950	4,978	5,079	5,052	5,087
Non-Personal Service/Indirect Costs	1,123	1,124	1,552	1,581	1,607	1,631
General State Charges	1,122	888	1,562	1,832	1,970	1,970
Functional Total	4,947,889	4,485,177	4,460,378	4,522,443	4,552,361	4,615,527
HIGHER EDUCATION						
City University of New York	1,655,773	1,348,692	1,379,451	1,469,999	1,535,694	1,589,121
Local Assistance Grants	1,539,018	1,215,592	1,201,458	1,279,790	1,342,827	1,405,588
State Operations	102,796	117,099	136,747	147,380	149,890	149,890
Personal Service	73,896	85,732	96,651	102,662	104,250	104,250
Non-Personal Service/Indirect Costs	28,900	31,367	40,096	44,718	45,640	45,640
General State Charges	4,236	6,400	6,541	6,685	6,833	6,833
Capital Projects	9,723	9,601	34,705	36,144	36,144	26,810
Higher Education - Miscellaneous	378	370	355	355	355	355
State Operations	270	255	220	220	220	220
Personal Service	238	221	175	175	175	175
Non-Personal Service/Indirect Costs	32	34	45	45	45	45
General State Charges	108	115	135	135	135	135
Higher Education Facilities Capital Matching Grants Program	37,320	33,834	48,000	26,592	0	0
Local Assistance Grants	37,649	34,266	38,000	17,592	0	0
Capital Projects	(329)	(432)	10,000	9,000	0	0
Higher Education Services Corporation, New York State	1,022,235	956,654	1,006,754	1,075,270	1,079,807	1,082,533
Local Assistance Grants	907,813	872,756	905,861	966,962	965,592	965,277
State Operations	99,127	69,013	87,108	91,518	96,061	97,666
Personal Service	36,495	32,916	32,970	32,281	32,596	32,913
Non-Personal Service/Indirect Costs	62,632	36,097	54,138	59,237	63,465	64,753
General State Charges	15,295	14,885	13,785	16,790	18,154	19,590
State University Construction Fund	18,595	18,915	26,172	27,074	27,854	28,609
State Operations	14,504	14,438	18,579	18,684	18,848	18,959
Personal Service	12,074	12,562	15,544	15,583	15,622	15,661
Non-Personal Service/Indirect Costs	2,430	1,876	3,035	3,101	3,226	3,298
General State Charges	4,091	4,477	7,593	8,390	9,006	9,650
State University of New York	6,989,582	7,142,170	7,355,592	7,599,499	7,794,015	7,990,958
Local Assistance Grants	489,214	562,529	486,412	451,879	451,265	451,265
State Operations	5,224,812	5,199,205	5,338,542	5,577,401	5,778,865	5,967,675
Personal Service	3,252,188	3,248,683	3,252,463	3,415,023	3,526,404	3,658,644
Non-Personal Service/Indirect Costs	1,972,624	1,950,522	2,086,079	2,162,378	2,252,461	2,309,031
General State Charges	464,230	528,116	498,813	500,980	516,493	548,542
Capital Projects	811,326	852,320	1,031,825	1,069,239	1,047,392	1,023,476
Functional Total	9,723,883	9,500,635	9,816,324	10,198,789	10,437,725	10,691,576
EDUCATION						
Arts, Council on the	43,436	46,018	36,478	36,515	36,575	36,642
Local Assistance Grants	38,037	41,324	32,153	32,153	32,153	32,153
State Operations	5,399	4,694	4,325	4,362	4,422	4,489
Personal Service	3,741	3,098	2,796	2,820	2,845	2,870
Non-Personal Service/Indirect Costs	1,658	1,596	1,529	1,542	1,577	1,619
Education, Department of	27,725,560	32,821,070	29,875,335	29,664,534	30,673,135	31,724,823
School Aid	21,548,541	26,487,723	23,340,102	23,092,301	23,790,003	24,604,962
Local Assistance Grants	21,548,541	26,487,723	23,340,102	23,092,301	23,790,003	24,604,962
STAR Property Tax Relief	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Local Assistance Grants	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	1,680,004	2,159,415	2,165,228	2,013,136	2,135,616	2,244,216
Local Assistance Grants	1,680,004	2,159,415	2,165,228	2,013,136	2,135,616	2,244,216
All Other	1,083,473	939,918	1,077,485	1,237,030	1,237,141	1,182,919
Local Assistance Grants	686,522	588,174	663,819	730,999	757,733	712,340

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
State Operations	319,742	282,877	322,223	391,212	362,317	353,041
Personal Service	185,914	175,944	171,250	173,377	175,479	176,174
Non-Personal Service/Indirect Costs	133,828	106,933	150,973	217,835	186,838	176,867
General State Charges	66,951	64,236	67,535	83,415	87,207	94,138
Capital Projects	10,258	4,631	23,908	31,404	29,884	23,400
Functional Total	27,768,996	32,867,088	29,911,813	29,701,049	30,709,710	31,761,465
GENERAL GOVERNMENT						
Budget, Division of the	33,613	33,825	36,345	37,394	38,324	40,421
State Operations	32,059	32,081	34,151	34,956	35,618	37,410
Personal Service	25,782	24,430	23,080	23,860	24,663	25,774
Non-Personal Service/Indirect Costs	6,277	7,651	11,071	11,096	10,955	11,636
General State Charges	1,554	1,744	2,194	2,438	2,706	3,011
Civil Service, Department of	21,384	17,896	15,743	15,993	16,280	16,461
State Operations	21,245	17,677	15,541	15,778	16,045	16,206
Personal Service	19,595	16,153	13,725	13,917	14,136	14,251
Non-Personal Service/Indirect Costs	1,650	1,524	1,816	1,861	1,909	1,955
General State Charges	139	219	202	215	235	255
Deferred Compensation Board	673	681	774	796	823	850
State Operations	521	519	598	605	615	625
Personal Service	388	374	390	392	396	400
Non-Personal Service/Indirect Costs	133	145	208	213	219	225
General State Charges	152	162	176	191	208	225
Elections, State Board of	50,405	93,673	55,663	5,240	5,185	35,407
Local Assistance Grants	2,345	25,813	4,458	0	0	30,000
State Operations	48,054	67,860	51,090	5,240	5,185	5,407
Personal Service	4,472	4,374	3,831	3,973	3,836	4,011
Non-Personal Service/Indirect Costs	43,582	63,486	47,259	1,267	1,349	1,396
General State Charges	6	0	115	0	0	0
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
State Operations	3,204	3,000	2,754	2,788	2,828	2,862
Personal Service	3,071	2,909	2,619	2,648	2,683	2,714
Non-Personal Service/Indirect Costs	133	91	135	140	145	148
General Services, Office of	197,766	197,098	203,736	188,379	188,247	201,751
Local Assistance Grants	24	28	282	269	250	250
State Operations	143,035	134,592	122,463	123,361	126,449	129,220
Personal Service	62,775	56,079	51,105	52,127	52,840	53,415
Non-Personal Service/Indirect Costs	80,260	78,513	71,358	71,234	73,609	75,805
General State Charges	1,663	1,290	2,144	2,136	2,239	2,398
Capital Projects	53,044	61,188	78,847	62,613	59,309	69,883
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
State Operations	6,079	5,703	5,430	5,515	5,602	5,671
Personal Service	5,767	5,519	4,887	4,948	5,009	5,063
Non-Personal Service/Indirect Costs	312	184	543	567	593	608
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
State Operations	33,609	32,335	50,256	68,904	25,721	25,721
Personal Service	8,055	9,872	7,776	7,864	7,864	7,864
Non-Personal Service/Indirect Costs	25,554	22,463	42,480	61,040	17,857	17,857
Lottery, Division of the	185,777	162,910	176,790	177,280	181,544	185,220
State Operations	176,513	152,955	165,325	164,225	167,665	171,242
Personal Service	21,798	21,057	24,623	24,623	24,866	25,026
Non-Personal Service/Indirect Costs	154,715	131,898	140,702	139,602	142,799	146,216
General State Charges	9,264	9,955	11,465	13,055	13,879	13,978
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
State Operations	3,785	3,988	3,845	3,897	3,949	4,004
Personal Service	3,362	3,456	3,143	3,177	3,211	3,246
Non-Personal Service/Indirect Costs	423	532	702	720	738	758
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
State Operations	4,209	3,794	3,415	3,595	3,672	3,738
Personal Service	3,357	3,017	2,719	2,863	2,905	2,944
Non-Personal Service/Indirect Costs	852	777	696	732	767	794
Real Property Services, Office of	42,806	0	0	0	0	0
Local Assistance Grants	11,409	0	0	0	0	0
State Operations	27,977	0	0	0	0	0
Personal Service	23,407	0	0	0	0	0
Non-Personal Service/Indirect Costs	4,570	0	0	0	0	0
General State Charges	3,420	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State Operations	2,449	1,653	0	0	0	0
Personal Service	2,248	1,537	0	0	0	0
Non-Personal Service/Indirect Costs	201	116	0	0	0	0
State, Department of	179,874	187,929	133,563	123,538	118,512	120,116
Local Assistance Grants	115,566	130,029	71,609	62,692	55,996	55,996
State Operations	53,956	46,241	49,354	49,664	50,437	51,132
Personal Service	39,238	34,671	30,969	31,370	31,724	31,970
Non-Personal Service/Indirect Costs	14,718	11,570	18,385	18,294	18,713	19,162
General State Charges	10,643	10,286	9,865	11,197	12,094	13,003
Capital Projects	(291)	1,373	2,735	(15)	(15)	(15)
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
State Operations	3,458	3,134	2,741	2,741	2,779	2,812
Personal Service	2,960	2,776	2,420	2,420	2,447	2,471
Non-Personal Service/Indirect Costs	498	358	321	321	332	341
Taxation and Finance, Department of	417,898	441,608	400,341	403,241	410,107	415,864
Local Assistance Grants	0	5,270	926	1,076	1,076	1,076
State Operations	399,332	413,082	380,527	380,609	386,174	391,704
Personal Service	306,466	323,769	293,287	293,366	296,363	299,561
Non-Personal Service/Indirect Costs	92,866	89,313	87,240	87,243	89,811	92,143
General State Charges	18,566	23,256	18,888	21,556	22,857	23,084
Technology, Office for	23,549	31,341	21,338	16,023	15,486	16,153
Local Assistance Grants	1,083	2,086	1,245	0	0	0
State Operations	22,466	26,372	19,377	15,523	14,986	15,653
Personal Service	10,571	11,208	10,511	10,631	10,729	10,858
Non-Personal Service/Indirect Costs	11,895	15,164	8,866	4,892	4,257	4,795
General State Charges	0	0	500	500	500	500
Capital Projects	0	2,883	216	0	0	0
Veterans' Affairs, Division of	16,072	15,368	15,616	15,607	15,164	15,244
Local Assistance Grants	8,290	8,044	8,501	8,350	7,785	7,855
State Operations	7,475	6,893	6,645	6,715	6,803	6,813
Personal Service	6,790	6,232	5,918	5,972	6,042	6,042
Non-Personal Service/Indirect Costs	685	661	727	743	761	771
General State Charges	307	431	470	542	576	576
Functional Total	1,226,610	1,235,936	1,128,350	1,070,931	1,034,223	1,092,295
ELECTED OFFICIALS						
Audit and Control, Department of	172,613	171,821	170,616	178,640	181,162	183,340
Local Assistance Grants	32,026	31,598	32,024	32,024	32,024	32,024
State Operations	139,387	138,841	136,555	142,676	147,538	149,716
Personal Service	114,716	110,320	104,808	111,898	113,291	114,561
Non-Personal Service/Indirect Costs	24,671	28,521	31,747	30,778	34,247	35,155
General State Charges	1,200	1,382	2,037	3,940	1,600	1,600
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
State Operations	17,056	12,880	13,926	14,203	14,461	15,185
Personal Service	13,451	10,963	11,160	11,337	11,495	12,025
Non-Personal Service/Indirect Costs	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	2,495,040	2,555,700	2,567,143	2,830,446	3,003,911	3,240,679
Local Assistance Grants	118,892	119,798	106,645	158,500	159,500	161,500
State Operations	1,853,272	1,874,663	1,846,300	2,017,362	2,126,621	2,299,641
Personal Service	1,538,771	1,526,975	1,469,455	1,596,945	1,655,493	1,774,616
Non-Personal Service/Indirect Costs	314,501	347,688	376,845	420,417	471,128	525,025
General State Charges	514,787	551,599	609,698	650,584	709,790	777,958
Capital Projects	8,089	9,640	4,500	4,000	8,000	1,580
Law, Department of	220,152	200,712	200,873	210,494	214,416	216,388
State Operations	200,395	185,050	182,812	190,292	194,214	196,186
Personal Service	143,311	129,276	128,490	134,210	135,721	136,704
Non-Personal Service/Indirect Costs	57,084	55,774	54,322	56,082	58,493	59,482
General State Charges	19,757	15,662	18,061	20,202	20,202	20,202
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
State Operations	226,089	222,536	218,795	227,685	232,263	235,415
Personal Service	177,874	174,096	165,284	171,715	175,149	176,901
Non-Personal Service/Indirect Costs	48,215	48,440	53,511	55,970	57,114	58,514
Lieutenant Governor, Office of the	0	304	630	645	645	690
State Operations	0	304	630	645	645	690
Personal Service	0	281	495	522	550	588
Non-Personal Service/Indirect Costs	0	23	135	123	95	102

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Functional Total	<u>3,130,950</u>	<u>3,163,953</u>	<u>3,171,983</u>	<u>3,462,113</u>	<u>3,646,858</u>	<u>3,891,697</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	<u>1,039,488</u>	<u>738,940</u>	<u>721,192</u>	<u>757,222</u>	<u>760,747</u>	<u>762,589</u>
Local Assistance Grants	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	<u>3,293</u>	<u>4,604</u>	<u>9,127</u>	<u>7,823</u>	<u>1,205</u>	<u>0</u>
Local Assistance Grants	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	<u>8,920</u>	<u>3,920</u>	<u>1,960</u>	<u>1,960</u>	<u>1,960</u>	<u>1,960</u>
Local Assistance Grants	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	<u>26,489</u>	<u>25,800</u>	<u>25,867</u>	<u>25,867</u>	<u>25,867</u>	<u>25,867</u>
Local Assistance Grants	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	<u>2,089</u>	<u>2,066</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Local Assistance Grants	2,089	2,066	218	218	218	218
Functional Total	<u>1,080,279</u>	<u>775,330</u>	<u>758,364</u>	<u>793,090</u>	<u>789,997</u>	<u>790,634</u>
ALL OTHER CATEGORIES						
General State Charges	<u>2,920,603</u>	<u>3,432,021</u>	<u>3,907,105</u>	<u>4,169,810</u>	<u>4,561,858</u>	<u>4,658,967</u>
State Operations	4,852	5,561	0	0	0	0
Personal Service	2,175	3,835	0	0	0	0
Non-Personal Service/Indirect Costs	2,677	1,726	0	0	0	0
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Long-Term Debt Service	<u>5,012,102</u>	<u>5,677,515</u>	<u>5,896,097</u>	<u>6,362,426</u>	<u>6,580,378</u>	<u>6,681,841</u>
State Operations	50,631	62,846	61,613	62,007	62,007	62,007
Non-Personal Service/Indirect Costs	50,631	62,846	61,613	62,007	62,007	62,007
Debt Service	4,961,471	5,614,669	5,834,484	6,300,419	6,518,371	6,619,834
Miscellaneous	<u>(160,242)</u>	<u>(209,841)</u>	<u>(340,766)</u>	<u>119,083</u>	<u>(250,725)</u>	<u>(250,427)</u>
Local Assistance Grants	(314,934)	(326,844)	128,661	91,284	92,254	92,254
State Operations	6,048	4,886	(364,179)	(219,074)	(448,954)	(448,780)
Personal Service	2,440	2,217	(192,267)	(192,223)	(192,178)	(192,098)
Non-Personal Service/Indirect Costs	3,608	2,669	(171,912)	(26,851)	(256,776)	(256,682)
General State Charges	3,823	6,898	15,752	95,873	5,975	6,099
Capital Projects	144,821	105,219	(121,000)	151,000	100,000	100,000
Functional Total	<u>7,772,463</u>	<u>8,899,695</u>	<u>9,462,436</u>	<u>10,651,319</u>	<u>10,891,511</u>	<u>11,090,381</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>126,877,479</u>	<u>134,824,946</u>	<u>131,408,042</u>	<u>131,908,915</u>	<u>137,467,186</u>	<u>145,960,465</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	109,449	96,013	108,582	125,294	105,798	103,176
Alcoholic Beverage Control, Division of	17,012	16,706	18,383	18,549	19,366	19,366
Development Authority of the North Country	36	10	117	70	0	0
Economic Development Capital	18,306	30,190	2,500	2,500	2,500	0
Economic Development, Department of	104,005	103,950	114,786	107,185	93,061	88,016
Empire State Development Corporation	606,568	931,654	783,303	384,146	469,702	474,903
Energy Research and Development Authority	29,380	31,710	32,607	30,158	31,178	31,178
Financial Services, Department of	745,103	507,393	490,549	490,549	490,549	490,549
Olympic Regional Development Authority	7,966	3,534	3,331	3,331	3,458	3,540
Public Service Department	77,313	75,288	78,822	82,617	85,830	89,507
Racing and Wagering Board, State	22,575	21,573	17,639	18,061	18,390	18,675
Regional Economic Development Program	1,967	5,248	2,500	2,500	2,500	1,500
Strategic Investment Program	8,827	3,561	4,000	5,000	5,000	5,000
Functional Total	1,748,507	1,826,830	1,657,119	1,269,960	1,327,332	1,325,410
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,292	4,718	4,523	4,525	4,525	4,525
Environmental Conservation, Department of	864,001	1,024,255	1,044,544	844,437	768,064	760,671
Environmental Facilities Corporation	10,025	9,746	10,425	10,666	10,818	10,940
Hudson River Park Trust	11,977	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	305,485	260,277	237,622	208,715	204,145	205,209
Functional Total	1,196,780	1,314,212	1,297,114	1,068,343	987,552	981,345
TRANSPORTATION						
Metropolitan Transportation Authority	184,681	216,912	194,500	183,600	183,600	183,600
Motor Vehicles, Department of	320,230	320,322	300,249	305,292	313,367	320,721
Thruway Authority, New York State	1,403	1,478	1,800	1,800	1,800	1,800
Transportation, Department of	7,376,584	8,017,474	7,909,964	7,745,163	7,781,808	7,869,385
Functional Total	7,882,898	8,556,186	8,406,513	8,235,855	8,280,575	8,375,506
HEALTH						
Aging, Office for the	229,966	232,453	217,409	224,956	228,907	235,902
Health, Department of	42,156,549	43,795,710	43,269,730	42,517,454	45,813,941	51,807,284
<i>Medical Assistance</i>	37,025,209	38,624,934	37,705,331	36,933,023	39,975,067	46,106,023
<i>Medicaid Administration</i>	939,296	956,269	1,147,500	1,193,500	1,241,300	1,290,900
<i>Public Health</i>	4,192,044	4,214,507	4,416,899	4,390,931	4,597,574	4,410,361
Medicaid Inspector General, Office of the	64,868	66,843	68,575	71,772	74,385	76,332
Stem Cell and Innovation	17,676	37,289	45,000	61,373	63,673	50,000
Functional Total	42,469,059	44,132,295	43,600,714	42,875,555	46,180,906	52,169,518
SOCIAL WELFARE						
Children and Family Services, Office of	3,189,020	3,144,866	2,934,854	3,072,729	3,128,485	3,239,597
<i>OCFS</i>	3,139,542	3,075,511	2,823,753	2,948,356	2,993,921	3,098,745
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	417,003	403,244	408,778	235,497	252,654	241,322
Human Rights, Division of	20,300	19,139	18,169	18,775	19,060	19,060
Labor, Department of	728,721	710,215	737,295	730,325	711,843	713,120
National and Community Service	16,862	24,731	14,599	14,601	14,687	14,909
Prevention of Domestic Violence, Office for	2,167	1,946	1,962	1,983	1,983	1,983
Temporary and Disability Assistance, Office of	5,275,993	5,278,082	5,291,111	5,147,727	5,190,125	5,207,137
<i>Welfare Assistance</i>	3,857,439	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	1,367,291	1,560,368	1,418,000	1,414,439	1,409,183	1,412,497
Welfare Inspector General, Office of	727	1,150	1,391	1,407	1,427	1,446
Workers' Compensation Board	190,135	198,709	208,755	208,633	215,587	222,423
Functional Total	9,840,928	9,782,082	9,616,914	9,431,677	9,535,851	9,660,997
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	550,090	600,042	587,751	689,322	698,568	724,242
<i>OASAS</i>	456,695	488,354	489,277	588,452	595,086	618,177
<i>OASAS - Other</i>	93,395	111,688	98,474	100,870	103,482	106,065
Developmental Disabilities Planning Council	3,397	2,894	4,200	4,200	4,200	4,200
Mental Health, Office of	3,121,486	3,332,889	3,221,096	3,461,837	3,656,929	3,853,361
<i>OMH</i>	1,423,971	1,574,835	1,374,071	1,565,474	1,677,777	1,785,668
<i>OMH - Other</i>	1,697,515	1,758,054	1,847,025	1,896,363	1,979,152	2,067,693
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	4,397,581	4,334,649	4,291,840	4,456,897	4,716,901	4,915,819
<i>OPWDD</i>	522,032	527,982	566,009	559,599	585,619	604,083
<i>OPWDD - Other</i>	3,875,549	3,806,667	3,725,831	3,897,298	4,131,282	4,311,736
Quality of Care and Advocacy for Persons With Disabilities, Commission on	15,508	14,708	15,133	15,535	15,987	16,492
Functional Total	8,088,237	8,285,527	8,120,020	8,627,791	9,092,585	9,514,114

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,628	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	3,097,695	2,834,565	2,711,085	2,776,315	2,853,184	2,922,384
Criminal Justice Services, Division of	316,619	269,469	290,130	288,996	260,231	262,348
Homeland Security - Miscellaneous	800	535	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	296,589	360,463	451,732	459,131	464,509	457,719
Indigent Legal Services, Office of	95,089	90,793	78,500	78,628	78,740	78,857
Investigation, Temporary State Commission of	395	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	276,622	99,704	97,240	88,933	89,091	89,324
State Police, Division of	776,340	715,440	717,288	669,242	644,637	642,328
Statewide Financial System	5,932	9,070	40,498	55,484	55,584	55,584
Statewide Wireless Network	6,672	28,253	0	0	0	0
Victim Services, Office of	67,342	69,522	66,402	66,802	66,939	66,998
Functional Total	4,947,889	4,485,177	4,460,378	4,522,443	4,552,361	4,615,527
HIGHER EDUCATION						
City University of New York	1,655,773	1,348,692	1,379,451	1,469,999	1,535,694	1,589,121
Higher Education - Miscellaneous	378	370	355	355	355	355
Higher Education Facilities Capital Matching Grants Program	37,320	33,834	48,000	26,592	0	0
Higher Education Services Corporation, New York State	1,022,235	956,654	1,006,754	1,075,270	1,079,807	1,082,533
State University Construction Fund	18,595	18,915	26,172	27,074	27,854	28,609
State University of New York	6,989,582	7,142,170	7,355,592	7,599,499	7,794,015	7,990,958
Functional Total	9,723,883	9,500,635	9,816,324	10,198,789	10,437,725	10,691,576
EDUCATION						
Arts, Council on the	43,436	46,018	36,478	36,515	36,575	36,642
Education, Department of	27,725,560	32,821,070	29,875,335	29,664,534	30,673,135	31,724,823
<i>School Aid</i>	21,548,541	26,487,723	23,340,102	23,092,301	23,790,003	24,604,962
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	1,680,004	2,159,415	2,165,228	2,013,136	2,135,616	2,244,216
<i>All Other</i>	1,083,473	939,918	1,077,485	1,237,030	1,237,141	1,182,919
Functional Total	27,768,996	32,867,088	29,911,813	29,701,049	30,709,710	31,761,465
GENERAL GOVERNMENT						
Budget, Division of the	33,613	33,825	36,345	37,394	38,324	40,421
Civil Service, Department of	21,384	17,896	15,743	15,993	16,280	16,461
Deferred Compensation Board	673	681	774	796	823	850
Elections, State Board of	50,405	93,673	55,663	5,240	5,185	35,407
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
General Services, Office of	197,766	197,098	203,736	188,379	188,247	201,751
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
Lottery, Division of the	185,777	162,910	176,790	177,280	181,544	185,220
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	42,806	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	179,874	187,929	133,563	123,538	118,512	120,116
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	417,898	441,608	400,341	403,241	410,107	415,864
Technology, Office for	23,549	31,341	21,338	16,023	15,486	16,153
Veterans' Affairs, Division of	16,072	15,368	15,616	15,607	15,164	15,244
Functional Total	1,226,610	1,235,936	1,128,350	1,070,931	1,034,223	1,092,295
ELECTED OFFICIALS						
Audit and Control, Department of	172,613	171,821	170,616	178,640	181,162	183,340
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	2,495,040	2,555,700	2,567,143	2,830,446	3,003,911	3,240,679
Law, Department of	220,152	200,712	200,873	210,494	214,416	216,388
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	3,130,950	3,163,953	3,171,983	3,462,113	3,646,858	3,891,697
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
ALL OTHER CATEGORIES						
General State Charges	2,920,603	3,432,021	3,907,105	4,169,810	4,561,858	4,658,967
Long-Term Debt Service	5,012,102	5,677,515	5,896,097	6,362,426	6,580,378	6,681,841
Miscellaneous	(160,242)	(209,841)	(340,766)	119,083	(250,725)	(250,427)
Functional Total	<u>7,772,463</u>	<u>8,899,695</u>	<u>9,462,436</u>	<u>10,651,319</u>	<u>10,891,511</u>	<u>11,090,381</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>126,877,479</u>	<u>134,824,946</u>	<u>131,408,042</u>	<u>131,908,915</u>	<u>137,467,186</u>	<u>145,960,465</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,841	15,014	24,191	25,580	23,095	23,095
Development Authority of the North Country	36	10	117	70	0	0
Economic Development Capital	8,906	30,617	0	0	0	0
Economic Development, Department of	53,052	75,494	52,720	60,490	47,509	54,872
Empire State Development Corporation	178,041	926,844	777,868	331,581	407,100	389,900
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	443,857	228,152	216,952	216,952	216,952	216,952
Olympic Regional Development Authority	2,525	0	0	0	0	0
Public Service Department	0	0	500	500	500	500
Regional Economic Development Program	1,967	5,248	0	0	0	0
Functional Total	723,882	1,290,536	1,081,582	644,407	704,390	694,553
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	180,449	369,290	351,802	188,875	149,802	149,802
Parks, Recreation and Historic Preservation, Office of	29,405	18,960	17,836	14,282	8,970	8,970
Functional Total	209,854	388,250	369,638	203,157	158,772	158,772
TRANSPORTATION						
Metropolitan Transportation Authority	184,681	216,912	194,500	183,600	183,600	183,600
Motor Vehicles, Department of	13,486	15,734	17,800	14,800	14,800	14,800
Thruway Authority, New York State	1,403	1,478	0	0	0	0
Transportation, Department of	4,268,202	4,892,214	4,954,869	4,968,046	5,051,484	5,150,141
Functional Total	4,467,772	5,126,338	5,167,169	5,166,446	5,249,884	5,348,541
HEALTH						
Aging, Office for the	219,608	222,625	207,079	214,572	218,480	225,431
Health, Department of	41,303,245	42,953,971	42,446,192	41,690,797	44,968,572	50,949,570
<i>Medical Assistance</i>	37,003,925	38,601,687	37,658,976	36,886,668	39,928,712	46,059,668
<i>Medicaid Administration</i>	939,296	956,269	1,147,500	1,193,500	1,241,300	1,290,900
<i>Public Health</i>	3,360,024	3,396,015	3,639,716	3,610,629	3,798,560	3,599,002
Functional Total	41,522,853	43,176,596	42,653,271	41,905,369	45,187,052	51,175,001
SOCIAL WELFARE						
Children and Family Services, Office of	2,765,817	2,710,028	2,504,213	2,615,044	2,675,225	2,778,494
<i>OCFS</i>	2,716,339	2,640,673	2,393,112	2,490,671	2,540,661	2,637,642
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	319,046	308,912	323,450	152,785	168,179	154,831
Labor, Department of	273,863	195,795	210,798	194,265	183,347	183,347
National and Community Service	0	0	350	350	350	350
Prevention of Domestic Violence, Office for	656	666	685	685	685	685
Temporary and Disability Assistance, Office of	4,872,158	4,901,401	4,894,211	4,745,204	4,784,308	4,798,006
<i>Welfare Assistance</i>	3,857,439	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	963,456	1,183,687	1,021,100	1,011,916	1,003,366	1,003,366
Functional Total	8,231,540	8,116,802	7,933,707	7,708,333	7,812,094	7,915,713
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	436,040	483,798	467,856	565,469	567,140	588,724
<i>OASAS</i>	402,260	434,709	435,176	532,789	534,460	556,044
<i>OASAS - Other</i>	33,780	49,089	32,680	32,680	32,680	32,680
Mental Health, Office of	1,156,406	1,246,868	1,232,509	1,398,449	1,516,127	1,606,738
<i>OMH</i>	731,742	823,456	828,029	965,131	1,064,246	1,127,990
<i>OMH - Other</i>	424,664	423,412	404,480	433,318	451,881	478,748
People with Developmental Disabilities, Office for	2,140,302	2,180,624	2,161,369	2,274,738	2,468,560	2,588,643
<i>OPWDD</i>	462,213	502,659	468,725	480,527	511,667	530,131
<i>OPWDD - Other</i>	1,678,089	1,677,965	1,692,644	1,794,211	1,956,893	2,058,512
Quality of Care and Advocacy for Persons With Disabilities, Commission on	857	623	620	620	620	620
Functional Total	3,733,605	3,911,913	3,862,354	4,239,276	4,552,447	4,784,725
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	17,723	10,624	6,086	6,051	6,000	6,000
Criminal Justice Services, Division of	228,695	186,974	200,796	198,517	176,358	176,358
Homeland Security and Emergency Services, Division of	265,657	326,323	372,941	383,641	403,341	399,341
Indigent Legal Services, Office of	70,089	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	143,148	3,825	670	667	650	650
Victim Services, Office of	59,852	62,560	58,310	58,310	58,310	58,310
Functional Total	785,164	656,075	715,803	724,186	721,659	717,659
HIGHER EDUCATION						
City University of New York	1,539,018	1,215,592	1,201,458	1,279,790	1,342,827	1,405,588
Higher Education Facilities Capital Matching Grants Program	37,649	34,266	38,000	17,592	0	0
Higher Education Services Corporation, New York State	907,813	872,756	905,861	966,962	965,592	965,277

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	<u>2009-10</u> <u>Actuals</u>	<u>2010-11</u> <u>Actuals</u>	<u>2011-12</u> <u>Projected</u>	<u>2012-13</u> <u>Projected</u>	<u>2013-14</u> <u>Projected</u>	<u>2014-15</u> <u>Projected</u>
State University of New York	489,214	562,529	486,412	451,879	451,265	451,265
Functional Total	<u>2,973,694</u>	<u>2,685,143</u>	<u>2,631,731</u>	<u>2,716,223</u>	<u>2,759,684</u>	<u>2,822,130</u>
EDUCATION						
Arts, Council on the	38,037	41,324	32,153	32,153	32,153	32,153
Education, Department of	27,328,609	32,469,326	29,461,669	29,158,503	30,193,727	31,254,244
<i>School Aid</i>	21,548,541	26,487,723	23,340,102	23,092,301	23,790,003	24,604,962
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	1,680,004	2,159,415	2,165,228	2,013,136	2,135,616	2,244,216
<i>All Other</i>	686,522	588,174	663,819	730,999	757,733	712,340
Functional Total	<u>27,366,646</u>	<u>32,510,650</u>	<u>29,493,822</u>	<u>29,190,656</u>	<u>30,225,880</u>	<u>31,286,397</u>
GENERAL GOVERNMENT						
Elections, State Board of	2,345	25,813	4,458	0	0	30,000
General Services, Office of	24	28	282	269	250	250
Real Property Services, Office of	11,409	0	0	0	0	0
State, Department of	115,566	130,029	71,609	62,692	55,996	55,996
Taxation and Finance, Department of	0	5,270	926	1,076	1,076	1,076
Technology, Office for	1,083	2,086	1,245	0	0	0
Veterans' Affairs, Division of	8,290	8,044	8,501	8,350	7,785	7,855
Functional Total	<u>138,717</u>	<u>171,270</u>	<u>87,021</u>	<u>72,387</u>	<u>65,107</u>	<u>95,177</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,026	31,598	32,024	32,024	32,024	32,024
Judiciary	118,892	119,798	106,645	158,500	159,500	161,500
Functional Total	<u>150,918</u>	<u>151,396</u>	<u>138,669</u>	<u>190,524</u>	<u>191,524</u>	<u>193,524</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	<u>1,080,279</u>	<u>775,330</u>	<u>758,364</u>	<u>793,090</u>	<u>789,997</u>	<u>790,634</u>
ALL OTHER CATEGORIES						
Miscellaneous	(314,934)	(326,844)	128,661	91,284	92,254	92,254
Functional Total	<u>(314,934)</u>	<u>(326,844)</u>	<u>128,661</u>	<u>91,284</u>	<u>92,254</u>	<u>92,254</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>91,069,990</u>	<u>98,633,455</u>	<u>95,021,792</u>	<u>93,645,338</u>	<u>98,510,744</u>	<u>106,075,080</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	73,619	70,045	65,270	66,180	67,162	68,678
Alcoholic Beverage Control, Division of	12,875	12,581	14,004	13,553	14,006	14,006
Economic Development, Department of	32,463	20,444	31,419	17,286	17,879	18,257
Energy Research and Development Authority	5,481	4,744	5,180	5,286	5,396	5,396
Financial Services, Department of	234,149	212,412	206,669	206,667	206,667	206,667
Olympic Regional Development Authority	5,441	3,534	3,331	3,331	3,458	3,540
Public Service Department	55,329	54,403	56,612	58,030	58,429	59,677
Racing and Wagering Board, State	17,369	18,056	14,911	15,007	15,103	15,163
Functional Total	436,726	396,219	397,396	385,340	388,100	391,384
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,292	4,718	4,523	4,525	4,525	4,525
Environmental Conservation, Department of	321,847	302,256	267,511	265,355	265,355	265,355
Environmental Facilities Corporation	7,859	7,122	6,960	7,011	7,038	7,065
Parks, Recreation and Historic Preservation, Office of	190,807	180,237	171,795	163,461	164,096	165,019
Functional Total	525,805	494,333	450,789	440,352	441,014	441,964
TRANSPORTATION						
Motor Vehicles, Department of	75,637	71,816	73,075	73,676	74,467	75,341
Transportation, Department of	43,257	40,374	44,321	44,882	45,484	46,085
Functional Total	118,894	112,190	117,396	118,558	119,951	121,426
HEALTH						
Aging, Office for the	10,358	9,828	10,077	10,131	10,174	10,218
Health, Department of	791,039	773,822	746,436	744,883	760,860	773,205
<i>Medical Assistance</i>	21,284	23,247	46,355	46,355	46,355	46,355
<i>Public Health</i>	769,755	750,575	700,081	698,528	714,505	726,850
Medicaid Inspector General, Office of the	56,567	57,844	57,837	59,348	60,939	62,591
Stem Cell and Innovation	17,248	36,971	45,000	61,373	63,673	50,000
Functional Total	875,212	878,465	859,350	875,735	895,646	896,014
SOCIAL WELFARE						
Children and Family Services, Office of	384,285	404,378	397,162	424,206	420,669	428,512
<i>OCFS</i>	384,285	404,378	397,162	424,206	420,669	428,512
Housing and Community Renewal, Division of	76,254	71,483	63,562	60,522	61,008	61,622
Human Rights, Division of	19,650	18,092	15,639	15,863	15,994	15,994
Labor, Department of	360,817	407,013	388,544	382,822	371,381	372,069
National and Community Service	16,862	24,731	14,249	14,251	14,337	14,559
Prevention of Domestic Violence, Office for	1,511	1,280	1,277	1,298	1,298	1,298
Temporary and Disability Assistance, Office of	334,813	329,230	343,471	348,421	350,694	353,483
<i>All Other</i>	334,813	329,230	343,471	348,421	350,694	353,483
Welfare Inspector General, Office of	635	1,094	1,177	1,186	1,206	1,225
Workers' Compensation Board	141,313	155,557	162,834	158,746	161,333	163,834
Functional Total	1,336,140	1,412,858	1,387,915	1,407,315	1,397,920	1,412,596
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	87,534	86,147	82,207	82,624	83,830	85,068
<i>OASAS</i>	42,866	39,783	35,010	34,766	35,301	35,868
<i>OASAS - Other</i>	44,668	46,364	47,197	47,858	48,529	49,200
Developmental Disabilities Planning Council	3,148	2,894	3,589	3,498	3,439	3,439
Mental Health, Office of	1,412,720	1,456,425	1,387,601	1,416,919	1,443,217	1,486,157
<i>OMH</i>	456,454	483,127	316,158	372,176	376,780	394,981
<i>OMH - Other</i>	956,266	973,298	1,071,443	1,044,743	1,066,437	1,091,176
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	1,556,217	1,550,398	1,547,263	1,550,353	1,573,225	1,595,851
<i>OPWDD</i>	29,495	1,686	53,632	33,782	34,658	34,658
<i>OPWDD - Other</i>	1,526,722	1,548,712	1,493,631	1,516,571	1,538,567	1,561,193
Quality of Care and Advocacy for Persons With Disabilities, Commission on	13,099	12,393	12,590	12,710	12,982	13,240
Functional Total	3,072,893	3,108,602	3,033,250	3,066,104	3,116,693	3,183,755
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,617	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,813,150	2,604,952	2,459,334	2,515,584	2,586,094	2,649,305
Criminal Justice Services, Division of	87,754	82,243	88,933	90,065	83,452	85,554
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	29,481	28,612	58,174	63,869	51,547	47,757
Indigent Legal Services, Office of	25,000	25,024	1,136	1,180	1,225	1,271
Investigation, Temporary State Commission of	395	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	88,139	63,905	62,669	54,476	54,696	54,918
State Police, Division of	741,303	679,514	675,017	617,442	622,520	627,033

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Statewide Financial System	5,932	9,069	40,498	55,484	55,584	55,584
Statewide Wireless Network	5,412	27,655	0	0	0	0
Victim Services, Office of	6,368	6,074	6,530	6,660	6,659	6,718
Functional Total	3,810,717	3,534,411	3,399,794	3,443,672	3,501,223	3,568,125
HIGHER EDUCATION						
City University of New York	102,796	117,099	136,747	147,380	149,890	149,890
Higher Education - Miscellaneous	270	255	220	220	220	220
Higher Education Services Corporation, New York State	99,127	69,013	87,108	91,518	96,061	97,666
State University Construction Fund	14,504	14,438	18,579	18,684	18,848	18,959
State University of New York	5,224,812	5,199,205	5,338,542	5,577,401	5,778,865	5,967,675
Functional Total	5,441,509	5,400,010	5,581,196	5,835,203	6,043,884	6,234,410
EDUCATION						
Arts, Council on the	5,399	4,694	4,325	4,362	4,422	4,489
Education, Department of	319,742	282,877	322,223	391,212	362,317	353,041
<i>All Other</i>	319,742	282,877	322,223	391,212	362,317	353,041
Functional Total	325,141	287,571	326,548	395,574	366,739	357,530
GENERAL GOVERNMENT						
Budget, Division of the	32,059	32,081	34,151	34,956	35,618	37,410
Civil Service, Department of	21,245	17,677	15,541	15,778	16,045	16,206
Deferred Compensation Board	521	519	598	605	615	625
Elections, State Board of	48,054	67,860	51,090	5,240	5,185	5,407
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
General Services, Office of	143,035	134,592	122,463	123,361	126,449	129,220
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
Lottery, Division of the	176,513	152,955	165,325	164,225	167,665	171,242
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	27,977	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	53,956	46,241	49,354	49,664	50,437	51,132
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	399,332	413,082	380,527	380,609	386,174	391,704
Technology, Office for	22,466	26,372	19,377	15,523	14,986	15,653
Veterans' Affairs, Division of	7,475	6,893	6,645	6,715	6,803	6,813
Functional Total	989,426	951,879	913,512	884,116	854,528	870,220
ELECTED OFFICIALS						
Audit and Control, Department of	139,387	138,841	136,555	142,676	147,538	149,716
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	1,853,272	1,874,663	1,846,300	2,017,362	2,126,621	2,299,641
Law, Department of	200,395	185,050	182,812	190,292	194,214	196,186
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	2,436,199	2,434,274	2,399,018	2,592,863	2,715,742	2,896,833
ALL OTHER CATEGORIES						
General State Charges	4,852	5,561	0	0	0	0
Long-Term Debt Service	50,631	62,846	61,613	62,007	62,007	62,007
Miscellaneous	6,048	4,886	(364,179)	(219,074)	(448,954)	(448,780)
Functional Total	61,531	73,293	(302,566)	(157,067)	(386,947)	(386,773)
TOTAL STATE OPERATIONS SPENDING	19,430,193	19,084,105	18,563,598	19,287,765	19,454,493	19,987,484

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	36,185	33,711	31,377	31,986	32,660	33,349
Alcoholic Beverage Control, Division of	8,838	8,512	7,822	7,663	7,781	7,781
Economic Development, Department of	15,233	13,906	10,927	10,898	11,043	11,155
Energy Research and Development Authority	3,968	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	155,916	152,126	136,393	136,391	136,391	136,391
Olympic Regional Development Authority	3,090	2,890	2,485	2,485	2,522	2,548
Public Service Department	44,332	43,289	45,409	46,583	46,713	47,647
Racing and Wagering Board, State	12,538	12,062	9,437	9,500	9,563	9,591
Functional Total	280,100	269,390	247,215	248,938	250,174	251,963
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,729	4,234	3,812	3,814	3,814	3,814
Environmental Conservation, Department of	220,767	210,874	190,827	191,992	191,992	191,992
Environmental Facilities Corporation	6,798	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	143,678	136,484	125,036	125,910	126,545	126,808
Functional Total	375,972	357,652	325,860	327,945	328,602	328,887
TRANSPORTATION						
Motor Vehicles, Department of	54,016	52,897	49,634	50,458	50,906	51,361
Transportation, Department of	16,265	15,310	13,892	14,037	14,181	14,328
Functional Total	70,281	68,207	63,526	64,495	65,087	65,689
HEALTH						
Aging, Office for the	8,791	8,323	8,926	8,959	8,992	9,026
Health, Department of	364,585	346,559	342,107	346,407	352,952	358,295
<i>Medical Assistance</i>	0	500	500	500	500	500
<i>Public Health</i>	364,585	346,059	341,607	345,907	352,452	357,795
Medicaid Inspector General, Office of the	40,140	41,629	39,092	39,972	40,872	41,792
Stem Cell and Innovation	640	534	0	0	0	0
Functional Total	414,156	397,045	390,125	395,338	402,816	409,113
SOCIAL WELFARE						
Children and Family Services, Office of	229,085	220,446	207,128	225,093	218,258	220,969
<i>OCFS</i>	229,085	220,446	207,128	225,093	218,258	220,969
Housing and Community Renewal, Division of	58,315	55,025	48,438	45,750	46,027	46,448
Human Rights, Division of	14,873	14,686	13,206	13,334	13,432	13,432
Labor, Department of	235,698	249,256	259,859	255,524	247,423	247,741
National and Community Service	690	661	562	564	650	657
Prevention of Domestic Violence, Office for	1,291	1,088	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	165,774	166,482	163,404	164,550	165,923	167,106
<i>All Other</i>	165,774	166,482	163,404	164,550	165,923	167,106
Welfare Inspector General, Office of	506	434	701	701	721	729
Workers' Compensation Board	90,768	89,052	94,238	94,881	95,719	96,658
Functional Total	797,000	797,130	788,675	801,549	789,305	794,892
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	64,591	63,547	61,936	61,785	62,495	63,205
<i>OASAS</i>	31,031	29,054	23,426	23,011	23,290	23,563
<i>OASAS - Other</i>	33,560	34,493	38,510	38,774	39,205	39,642
Developmental Disabilities Planning Council	1,224	1,190	1,197	1,197	1,209	1,209
Mental Health, Office of	1,101,056	1,145,365	1,092,556	1,103,366	1,119,559	1,145,219
<i>OMH</i>	383,287	405,883	250,326	288,664	290,969	309,409
<i>OMH - Other</i>	717,769	739,482	842,230	814,702	828,590	835,810
People with Developmental Disabilities, Office for	1,135,967	1,168,238	1,126,303	1,137,656	1,148,223	1,160,658
<i>OPWDD</i>	81	42	116	116	116	116
<i>OPWDD - Other</i>	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,395	7,012	6,956	6,999	7,081	7,254
Functional Total	2,310,233	2,385,352	2,288,948	2,311,003	2,338,567	2,377,545
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,156	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	2,270,269	2,072,488	1,994,165	2,016,902	2,044,274	2,065,576
Criminal Justice Services, Division of	46,576	45,227	39,847	40,603	36,672	37,018
Homeland Security - Miscellaneous	0	0	0	27,758	28,074	28,393
Homeland Security and Emergency Services, Division of	13,796	12,781	13,786	13,855	13,925	13,995
Indigent Legal Services, Office of	0	8	765	780	795	810
Investigation, Temporary State Commission of	386	0	0	0	0	0
Judicial Commissions	3,988	3,723	3,355	3,395	3,438	3,479
Military and Naval Affairs, Division of	50,490	41,684	39,214	32,937	33,062	33,187
State Police, Division of	651,675	590,458	550,016	533,901	537,021	540,242
Statewide Financial System	2,451	3,977	9,139	13,819	13,819	13,819
Statewide Wireless Network	2,820	8,076	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Victim Services, Office of	5,245	4,950	4,978	5,079	5,052	5,087
Functional Total	<u>3,049,852</u>	<u>2,785,454</u>	<u>2,657,591</u>	<u>2,691,389</u>	<u>2,718,508</u>	<u>2,744,006</u>
HIGHER EDUCATION						
City University of New York	73,896	85,732	96,651	102,662	104,250	104,250
Higher Education - Miscellaneous	238	221	175	175	175	175
Higher Education Services Corporation, New York State	36,495	32,916	32,970	32,281	32,596	32,913
State University Construction Fund	12,074	12,562	15,544	15,583	15,622	15,661
State University of New York	3,252,188	3,248,683	3,252,463	3,415,023	3,526,404	3,658,644
Functional Total	<u>3,374,891</u>	<u>3,380,114</u>	<u>3,397,803</u>	<u>3,565,724</u>	<u>3,679,047</u>	<u>3,811,643</u>
EDUCATION						
Arts, Council on the	3,741	3,098	2,796	2,820	2,845	2,870
Education, Department of	185,914	175,944	171,250	173,377	175,479	176,174
<i>All Other</i>	185,914	175,944	171,250	173,377	175,479	176,174
Functional Total	<u>189,655</u>	<u>179,042</u>	<u>174,046</u>	<u>176,197</u>	<u>178,324</u>	<u>179,044</u>
GENERAL GOVERNMENT						
Budget, Division of the	25,782	24,430	23,080	23,860	24,663	25,774
Civil Service, Department of	19,595	16,153	13,725	13,917	14,136	14,251
Deferred Compensation Board	388	374	390	392	396	400
Elections, State Board of	4,472	4,374	3,831	3,973	3,836	4,011
Employee Relations, Office of	3,071	2,909	2,619	2,648	2,683	2,714
General Services, Office of	62,775	56,079	51,105	52,127	52,840	53,415
Inspector General, Office of the	5,767	5,519	4,887	4,948	5,009	5,063
Labor Management Committees	8,055	9,872	7,776	7,864	7,864	7,864
Lottery, Division of the	21,798	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	3,362	3,456	3,143	3,177	3,211	3,246
Public Integrity, Commission on	3,357	3,017	2,719	2,863	2,905	2,944
Real Property Services, Office of	23,407	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,537	0	0	0	0
State, Department of	39,238	34,671	30,969	31,370	31,724	31,970
Tax Appeals, Division of	2,960	2,776	2,420	2,420	2,447	2,471
Taxation and Finance, Department of	306,466	323,769	293,287	293,366	296,363	299,561
Technology, Office for	10,571	11,208	10,511	10,631	10,729	10,858
Veterans' Affairs, Division of	6,790	6,232	5,918	5,972	6,042	6,042
Functional Total	<u>550,102</u>	<u>527,433</u>	<u>481,003</u>	<u>484,151</u>	<u>489,714</u>	<u>495,610</u>
ELECTED OFFICIALS						
Audit and Control, Department of	114,716	110,320	104,808	111,898	113,291	114,561
Executive Chamber	13,451	10,963	11,160	11,337	11,495	12,025
Judiciary	1,538,771	1,526,975	1,469,455	1,596,945	1,655,493	1,774,616
Law, Department of	143,311	129,276	128,490	134,210	135,721	136,704
Legislature	177,874	174,096	165,284	171,715	175,149	176,901
Lieutenant Governor, Office of the	0	281	495	522	550	588
Functional Total	<u>1,988,123</u>	<u>1,951,911</u>	<u>1,879,692</u>	<u>2,026,627</u>	<u>2,091,699</u>	<u>2,215,395</u>
ALL OTHER CATEGORIES						
General State Charges	2,175	3,835	0	0	0	0
Miscellaneous	2,440	2,217	(192,267)	(192,223)	(192,178)	(192,098)
Functional Total	<u>4,615</u>	<u>6,052</u>	<u>(192,267)</u>	<u>(192,223)</u>	<u>(192,178)</u>	<u>(192,098)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>13,404,980</u>	<u>13,104,782</u>	<u>12,502,217</u>	<u>12,901,133</u>	<u>13,139,665</u>	<u>13,481,689</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,434	36,334	33,893	34,194	34,502	35,329
Alcoholic Beverage Control, Division of	4,037	4,069	6,182	5,890	6,225	6,225
Economic Development, Department of	17,230	6,538	20,492	6,388	6,836	7,102
Energy Research and Development Authority	1,513	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	78,233	60,286	70,276	70,276	70,276	70,276
Olympic Regional Development Authority	2,351	644	846	846	936	992
Public Service Department	10,997	11,114	11,203	11,447	11,716	12,030
Racing and Wagering Board, State	4,831	5,994	5,474	5,507	5,540	5,572
Functional Total	156,626	126,829	150,181	136,402	137,926	139,421
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	563	484	711	711	711	711
Environmental Conservation, Department of	101,080	91,382	76,684	73,363	73,363	73,363
Environmental Facilities Corporation	1,061	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	47,129	43,753	46,759	37,551	37,551	38,211
Functional Total	149,833	136,681	124,929	112,407	112,412	113,077
TRANSPORTATION						
Motor Vehicles, Department of	21,621	18,919	23,441	23,218	23,561	23,980
Transportation, Department of	26,992	25,064	30,429	30,845	31,303	31,757
Functional Total	48,613	43,983	53,870	54,063	54,864	55,737
HEALTH						
Aging, Office for the	1,567	1,505	1,151	1,172	1,182	1,192
Health, Department of	426,454	427,263	404,329	398,476	407,908	414,910
<i>Medical Assistance</i>	21,284	22,747	45,855	45,855	45,855	45,855
<i>Public Health</i>	405,170	404,516	358,474	352,621	362,053	369,055
Medicaid Inspector General, Office of the	16,427	16,215	18,745	19,376	20,067	20,799
Stem Cell and Innovation	16,608	36,437	45,000	61,373	63,673	50,000
Functional Total	461,056	481,420	469,225	480,397	492,830	486,901
SOCIAL WELFARE						
Children and Family Services, Office of	155,200	183,932	190,034	199,113	202,411	207,543
<i>OCFS</i>	155,200	183,932	190,034	199,113	202,411	207,543
Housing and Community Renewal, Division of	17,939	16,458	15,124	14,772	14,981	15,174
Human Rights, Division of	4,777	3,406	2,433	2,529	2,562	2,562
Labor, Department of	125,119	157,757	128,685	127,298	123,958	124,328
National and Community Service	16,172	24,070	13,687	13,687	13,687	13,902
Prevention of Domestic Violence, Office for	220	192	138	146	146	146
Temporary and Disability Assistance, Office of	169,039	162,748	180,067	183,871	184,771	186,377
<i>All Other</i>	169,039	162,748	180,067	183,871	184,771	186,377
Welfare Inspector General, Office of	129	660	476	485	485	496
Workers' Compensation Board	50,545	66,505	68,596	63,865	65,614	67,176
Functional Total	539,140	615,728	599,240	605,766	608,615	617,704
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	22,943	22,600	20,271	20,839	21,335	21,863
<i>OASAS</i>	11,835	10,729	11,584	11,755	12,011	12,305
<i>OASAS - Other</i>	11,108	11,871	8,687	9,084	9,324	9,558
Developmental Disabilities Planning Council	1,924	1,704	2,392	2,301	2,230	2,230
Mental Health, Office of	311,664	311,060	295,045	313,553	323,658	340,938
<i>OMH</i>	73,167	77,244	65,832	83,512	85,811	85,572
<i>OMH - Other</i>	238,497	233,816	229,213	230,041	237,847	255,366
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	420,250	382,160	420,960	412,697	425,002	435,193
<i>OPWDD</i>	29,414	1,644	53,516	33,666	34,542	34,542
<i>OPWDD - Other</i>	390,836	380,516	367,444	379,031	390,460	400,651
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,704	5,381	5,634	5,711	5,901	5,986
Functional Total	762,660	723,250	744,302	755,101	778,126	806,210
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	461	337	414	432	448	457
Correctional Services, Department of	542,881	532,464	465,169	498,682	541,820	583,729
Criminal Justice Services, Division of	41,178	37,016	49,086	49,462	46,780	48,536
Homeland Security - Miscellaneous	0	0	0	3,525	3,631	3,740
Homeland Security and Emergency Services, Division of	15,685	15,831	44,388	50,014	37,622	33,762
Indigent Legal Services, Office of	25,000	25,016	371	400	430	461
Investigation, Temporary State Commission of	9	0	0	0	0	0
Judicial Commissions	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	37,649	22,221	23,455	21,539	21,634	21,731
State Police, Division of	89,628	89,056	125,001	83,541	85,499	86,791

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Statewide Financial System	3,481	5,092	31,359	41,665	41,765	41,765
Statewide Wireless Network	2,592	19,579	0	0	0	0
Victim Services, Office of	1,123	1,124	1,552	1,581	1,607	1,631
Functional Total	760,865	748,957	742,203	752,283	782,715	824,119
HIGHER EDUCATION						
City University of New York	28,900	31,367	40,096	44,718	45,640	45,640
Higher Education - Miscellaneous	32	34	45	45	45	45
Higher Education Services Corporation, New York State	62,632	36,097	54,138	59,237	63,465	64,753
State University Construction Fund	2,430	1,876	3,035	3,101	3,226	3,298
State University of New York	1,972,624	1,950,522	2,086,079	2,162,378	2,252,461	2,309,031
Functional Total	2,066,618	2,019,896	2,183,393	2,269,479	2,364,837	2,422,767
EDUCATION						
Arts, Council on the	1,658	1,596	1,529	1,542	1,577	1,619
Education, Department of	133,828	106,933	150,973	217,835	186,838	176,867
<i>All Other</i>	133,828	106,933	150,973	217,835	186,838	176,867
Functional Total	135,486	108,529	152,502	219,377	188,415	178,486
GENERAL GOVERNMENT						
Budget, Division of the	6,277	7,651	11,071	11,096	10,955	11,636
Civil Service, Department of	1,650	1,524	1,816	1,861	1,909	1,955
Deferred Compensation Board	133	145	208	213	219	225
Elections, State Board of	43,582	63,486	47,259	1,267	1,349	1,396
Employee Relations, Office of	133	91	135	140	145	148
General Services, Office of	80,260	78,513	71,358	71,234	73,609	75,805
Inspector General, Office of the	312	184	543	567	593	608
Labor Management Committees	25,554	22,463	42,480	61,040	17,857	17,857
Lottery, Division of the	154,715	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	423	532	702	720	738	758
Public Integrity, Commission on	852	777	696	732	767	794
Real Property Services, Office of	4,570	0	0	0	0	0
Regulatory Reform, Governor's Office of	201	116	0	0	0	0
State, Department of	14,718	11,570	18,385	18,294	18,713	19,162
Tax Appeals, Division of	498	358	321	321	332	341
Taxation and Finance, Department of	92,866	89,313	87,240	87,243	89,811	92,143
Technology, Office for	11,895	15,164	8,866	4,892	4,257	4,795
Veterans' Affairs, Division of	685	661	727	743	761	771
Functional Total	439,324	424,446	432,509	399,965	364,814	374,610
ELECTED OFFICIALS						
Audit and Control, Department of	24,671	28,521	31,747	30,778	34,247	35,155
Executive Chamber	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	314,501	347,688	376,845	420,417	471,128	525,025
Law, Department of	57,084	55,774	54,322	56,082	58,493	59,482
Legislature	48,215	48,440	53,511	55,970	57,114	58,514
Lieutenant Governor, Office of the	0	23	135	123	95	102
Functional Total	448,076	482,363	519,326	566,236	624,043	681,438
ALL OTHER CATEGORIES						
General State Charges	2,677	1,726	0	0	0	0
Long-Term Debt Service	50,631	62,846	61,613	62,007	62,007	62,007
Miscellaneous	3,608	2,669	(171,912)	(26,851)	(256,776)	(256,682)
Functional Total	56,916	67,241	(110,299)	35,156	(194,769)	(194,675)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	6,025,213	5,979,323	6,061,381	6,386,632	6,314,828	6,505,795

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,403	5,749	6,071	6,587	7,211	7,903
Alcoholic Beverage Control, Division of	4,137	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	20	21	28	28	28	28
Energy Research and Development Authority	1,742	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	67,097	66,829	66,928	66,930	66,930	66,930
Public Service Department	21,984	20,885	21,710	24,087	26,901	29,330
Racing and Wagering Board, State	5,206	3,517	2,728	3,054	3,287	3,512
Functional Total	105,589	102,532	103,427	107,320	111,475	114,821
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	46,340	47,382	43,105	42,201	41,201	41,201
Environmental Facilities Corporation	1,874	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	3,020	2,719	4,193	4,193	4,300	4,441
Functional Total	51,234	52,369	50,420	49,706	48,938	49,174
TRANSPORTATION						
Motor Vehicles, Department of	23,002	27,958	24,453	26,978	29,339	32,094
Transportation, Department of	5,308	2,519	6,568	7,538	8,170	8,837
Functional Total	28,310	30,477	31,021	34,516	37,509	40,931
HEALTH						
Aging, Office for the	0	0	253	253	253	253
Health, Department of	54,425	60,449	63,602	68,274	71,009	71,009
<i>Public Health</i>	54,425	60,449	63,602	68,274	71,009	71,009
Medicaid Inspector General, Office of the	8,301	8,999	10,738	12,424	13,446	13,741
Stem Cell and Innovation	428	318	0	0	0	0
Functional Total	63,154	69,766	74,593	80,951	84,708	85,003
SOCIAL WELFARE						
Children and Family Services, Office of	11,241	12,215	12,579	12,579	11,691	11,691
<i>OCFS</i>	11,241	12,215	12,579	12,579	11,691	11,691
Housing and Community Renewal, Division of	18,313	19,849	18,766	19,190	20,467	21,869
Human Rights, Division of	650	1,047	2,530	2,912	3,066	3,066
Labor, Department of	94,041	107,407	137,953	153,238	157,115	157,704
Temporary and Disability Assistance, Office of	38,632	47,451	53,429	54,102	55,123	55,648
<i>All Other</i>	38,632	47,451	53,429	54,102	55,123	55,648
Welfare Inspector General, Office of	92	56	214	221	221	221
Workers' Compensation Board	48,822	43,152	45,921	49,887	54,254	58,589
Functional Total	211,791	231,177	271,392	292,129	301,937	308,788
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,193	28,419	28,720	31,390	34,402	37,367
<i>OASAS</i>	10,246	12,184	10,123	11,058	12,129	13,182
<i>OASAS - Other</i>	14,947	16,235	18,597	20,332	22,273	24,185
Developmental Disabilities Planning Council	249	0	611	702	761	761
Mental Health, Office of	483,721	559,910	523,416	568,899	620,015	682,896
<i>OMH</i>	167,136	198,566	152,314	150,597	159,181	185,127
<i>OMH - Other</i>	316,585	361,344	371,102	418,302	460,834	497,769
People with Developmental Disabilities, Office for	670,795	580,009	539,618	586,586	635,896	692,105
<i>OPWDD</i>	57	19	62	70	74	74
<i>OPWDD - Other</i>	670,738	579,990	539,556	586,516	635,822	692,031
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,552	1,692	1,923	2,205	2,385	2,632
Functional Total	1,181,510	1,170,030	1,094,288	1,189,782	1,293,459	1,415,761
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	11	0	0	0	0	0
Correctional Services, Department of	2,858	2,757	1,415	1,415	1,415	1,415
Criminal Justice Services, Division of	170	252	401	414	421	436
Homeland Security and Emergency Services, Division of	1,451	3,112	3,617	3,621	3,621	3,621
Indigent Legal Services, Office of	0	0	364	448	515	586
Military and Naval Affairs, Division of	8,236	8,228	6,749	6,749	6,749	6,749
State Police, Division of	20,963	21,329	4,044	4,257	4,243	4,230
Statewide Financial System	0	1	0	0	0	0
Statewide Wireless Network	1,260	598	0	0	0	0
Victim Services, Office of	1,122	888	1,562	1,832	1,970	1,970
Functional Total	36,071	37,165	18,152	18,736	18,934	19,007
HIGHER EDUCATION						
City University of New York	4,236	6,400	6,541	6,685	6,833	6,833
Higher Education - Miscellaneous	108	115	135	135	135	135
Higher Education Services Corporation, New York State	15,295	14,885	13,785	16,790	18,154	19,590
State University Construction Fund	4,091	4,477	7,593	8,390	9,006	9,650

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
State University of New York	464,230	528,116	498,813	500,980	516,493	548,542
Functional Total	<u>487,960</u>	<u>553,993</u>	<u>526,867</u>	<u>532,980</u>	<u>550,621</u>	<u>584,750</u>
EDUCATION						
Education, Department of	66,951	64,236	67,535	83,415	87,207	94,138
<i>All Other</i>	66,951	64,236	67,535	83,415	87,207	94,138
Functional Total	<u>66,951</u>	<u>64,236</u>	<u>67,535</u>	<u>83,415</u>	<u>87,207</u>	<u>94,138</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,554	1,744	2,194	2,438	2,706	3,011
Civil Service, Department of	139	219	202	215	235	255
Deferred Compensation Board	152	162	176	191	208	225
Elections, State Board of	6	0	115	0	0	0
General Services, Office of	1,663	1,290	2,144	2,136	2,239	2,398
Lottery, Division of the	9,264	9,955	11,465	13,055	13,879	13,978
Real Property Services, Office of	3,420	0	0	0	0	0
State, Department of	10,643	10,286	9,865	11,197	12,094	13,003
Taxation and Finance, Department of	18,566	23,256	18,888	21,556	22,857	23,084
Technology, Office for	0	0	500	500	500	500
Veterans' Affairs, Division of	307	431	470	542	576	576
Functional Total	<u>45,714</u>	<u>47,343</u>	<u>46,019</u>	<u>51,830</u>	<u>55,294</u>	<u>57,030</u>
ELECTED OFFICIALS						
Audit and Control, Department of	1,200	1,382	2,037	3,940	1,600	1,600
Judiciary	514,787	551,599	609,698	650,584	709,790	777,958
Law, Department of	19,757	15,662	18,061	20,202	20,202	20,202
Functional Total	<u>535,744</u>	<u>568,643</u>	<u>629,796</u>	<u>674,726</u>	<u>731,592</u>	<u>799,760</u>
ALL OTHER CATEGORIES						
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Miscellaneous	3,823	6,898	15,752	95,873	5,975	6,099
Functional Total	<u>2,919,574</u>	<u>3,433,358</u>	<u>3,922,857</u>	<u>4,265,683</u>	<u>4,567,833</u>	<u>4,665,066</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,733,602</u></u>	<u><u>6,361,089</u></u>	<u><u>6,836,367</u></u>	<u><u>7,381,774</u></u>	<u><u>7,889,507</u></u>	<u><u>8,234,229</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,586	5,205	13,050	26,947	8,330	3,500
Economic Development Capital	9,400	(427)	2,500	2,500	2,500	0
Economic Development, Department of	18,470	7,991	30,619	29,381	27,645	14,859
Empire State Development Corporation	428,527	4,810	5,435	52,565	62,602	85,003
Energy Research and Development Authority	13,500	16,403	16,610	14,000	14,790	14,790
Regional Economic Development Program	0	0	2,500	2,500	2,500	1,500
Strategic Investment Program	8,827	3,561	4,000	5,000	5,000	5,000
Functional Total	482,310	37,543	74,714	132,893	123,367	124,652
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	315,365	305,327	382,126	348,006	311,706	304,313
Environmental Facilities Corporation	292	356	343	343	343	343
Hudson River Park Trust	11,977	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	82,253	58,361	43,798	26,779	26,779	26,779
Functional Total	409,887	379,260	426,267	375,128	338,828	331,435
TRANSPORTATION						
Motor Vehicles, Department of	208,105	204,814	184,921	189,838	194,761	198,486
Thruway Authority, New York State	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,059,817	3,082,367	2,904,206	2,724,697	2,676,670	2,664,322
Functional Total	3,267,922	3,287,181	3,090,927	2,916,335	2,873,231	2,864,608
HEALTH						
Health, Department of	7,840	7,468	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,840	7,468	13,500	13,500	13,500	13,500
Functional Total	7,840	7,468	13,500	13,500	13,500	13,500
SOCIAL WELFARE						
Children and Family Services, Office of	27,677	18,245	20,900	20,900	20,900	20,900
<i>OCFS</i>	27,677	18,245	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	3,390	3,000	3,000	3,000	3,000	3,000
Temporary and Disability Assistance, Office of	30,390	0	0	0	0	0
<i>All Other</i>	30,390	0	0	0	0	0
Functional Total	61,457	21,245	23,900	23,900	23,900	23,900
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,323	1,678	8,968	9,839	13,196	13,083
<i>OASAS</i>	1,323	1,678	8,968	9,839	13,196	13,083
Mental Health, Office of	68,639	69,686	77,570	77,570	77,570	77,570
<i>OMH</i>	68,639	69,686	77,570	77,570	77,570	77,570
People with Developmental Disabilities, Office for	30,267	23,618	43,590	45,220	39,220	39,220
<i>OPWDD</i>	30,267	23,618	43,590	45,220	39,220	39,220
Functional Total	100,229	94,982	130,128	132,629	129,986	129,873
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	263,964	216,232	244,250	253,265	259,675	265,664
Homeland Security - Miscellaneous	800	535	0	0	0	0
Homeland Security and Emergency Services, Division of	0	2,416	17,000	8,000	6,000	7,000
Military and Naval Affairs, Division of	37,099	23,746	27,152	27,041	26,996	27,007
State Police, Division of	14,074	14,597	38,227	47,543	17,874	11,065
Functional Total	315,937	257,526	326,629	335,849	310,545	310,736
HIGHER EDUCATION						
City University of New York	9,723	9,601	34,705	36,144	36,144	26,810
Higher Education Facilities Capital Matching Grants Program	(329)	(432)	10,000	9,000	0	0
State University of New York	811,326	852,320	1,031,825	1,069,239	1,047,392	1,023,476
Functional Total	820,720	861,489	1,076,530	1,114,383	1,083,536	1,050,286
EDUCATION						
Education, Department of	10,258	4,631	23,908	31,404	29,884	23,400
<i>All Other</i>	10,258	4,631	23,908	31,404	29,884	23,400
Functional Total	10,258	4,631	23,908	31,404	29,884	23,400
GENERAL GOVERNMENT						
General Services, Office of	53,044	61,188	78,847	62,613	59,309	69,883
State, Department of	(291)	1,373	2,735	(15)	(15)	(15)
Technology, Office for	0	2,883	216	0	0	0
Functional Total	52,753	65,444	81,798	62,598	59,294	69,868

CASH DISBURSEMENTS BY FUNCTION
 ALL GOVERNMENTAL FUNDS
 CAPITAL PROJECTS
 (thousands of dollars)

	<u>2009-10</u> Actuals	<u>2010-11</u> Actuals	<u>2011-12</u> Projected	<u>2012-13</u> Projected	<u>2013-14</u> Projected	<u>2014-15</u> Projected
ELECTED OFFICIALS						
Judiciary	8,089	9,640	4,500	4,000	8,000	1,580
Functional Total	<u>8,089</u>	<u>9,640</u>	<u>4,500</u>	<u>4,000</u>	<u>8,000</u>	<u>1,580</u>
ALL OTHER CATEGORIES						
Miscellaneous	144,821	105,219	(121,000)	151,000	100,000	100,000
Functional Total	<u>144,821</u>	<u>105,219</u>	<u>(121,000)</u>	<u>151,000</u>	<u>100,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,682,223</u></u>	<u><u>5,131,628</u></u>	<u><u>5,151,801</u></u>	<u><u>5,293,619</u></u>	<u><u>5,094,071</u></u>	<u><u>5,043,838</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	98,260	82,828	95,961	112,409	92,760	89,750
Alcoholic Beverage Control, Division of	17,012	16,706	18,383	18,549	19,366	19,366
Development Authority of the North Country	36	10	117	70	0	0
Economic Development Capital	18,306	30,190	2,500	2,500	2,500	0
Economic Development, Department of	104,005	103,950	114,441	106,840	92,716	87,671
Empire State Development Corporation	606,568	931,654	783,303	384,146	469,702	474,903
Energy Research and Development Authority	29,380	31,710	32,607	30,158	31,178	31,178
Financial Services, Department of	745,103	507,291	490,549	490,549	490,549	490,549
Olympic Regional Development Authority	7,966	3,534	3,331	3,331	3,458	3,540
Public Service Department	75,638	73,076	75,663	79,445	82,806	86,352
Racing and Wagering Board, State	22,575	21,573	17,639	18,061	18,390	18,675
Regional Economic Development Program	1,967	5,248	2,500	2,500	2,500	1,500
Strategic Investment Program	8,827	3,561	4,000	5,000	5,000	5,000
Functional Total	1,735,643	1,811,331	1,640,994	1,253,558	1,310,925	1,308,484
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,153	4,637	4,173	4,175	4,175	4,175
Environmental Conservation, Department of	697,729	662,504	692,608	654,051	616,128	608,735
Environmental Facilities Corporation	10,025	9,746	10,425	10,666	10,818	10,940
Hudson River Park Trust	11,977	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	298,688	253,619	230,949	202,042	197,472	198,398
Functional Total	1,023,572	945,722	938,155	870,934	828,593	822,248
TRANSPORTATION						
Metropolitan Transportation Authority	184,681	216,912	194,500	183,600	183,600	183,600
Motor Vehicles, Department of	302,538	300,776	277,854	285,717	293,664	300,871
Thruway Authority, New York State	1,403	1,478	1,800	1,800	1,800	1,800
Transportation, Department of	5,875,281	6,287,184	6,370,896	6,442,069	6,520,911	6,618,007
Functional Total	6,363,903	6,806,350	6,845,050	6,913,186	6,999,975	7,104,278
HEALTH						
Aging, Office for the	116,764	118,718	112,641	120,188	124,139	131,134
Health, Department of	14,288,677	14,607,760	18,307,822	18,866,441	19,678,044	20,132,965
<i>Medical Assistance</i>	10,967,767	11,400,560	14,752,826	15,342,913	15,955,913	16,593,713
<i>Medicaid Administration</i>	514,488	538,370	573,750	596,750	620,650	645,450
<i>Public Health</i>	2,806,422	2,668,830	2,981,246	2,926,778	3,101,481	2,893,802
Medicaid Inspector General, Office of the	27,575	28,084	25,385	26,125	26,905	27,715
Stem Cell and Innovation	17,676	37,289	45,000	61,373	63,673	50,000
Functional Total	14,450,692	14,791,851	18,490,848	19,074,127	19,892,761	20,341,814
SOCIAL WELFARE						
Children and Family Services, Office of	2,078,633	2,012,107	1,833,218	2,034,045	2,092,844	2,202,152
<i>OCFS</i>	2,029,155	1,942,752	1,722,117	1,909,672	1,958,280	2,061,300
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	250,280	214,800	186,461	171,213	187,987	176,259
Human Rights, Division of	10,731	14,165	9,272	9,453	9,584	9,584
Labor, Department of	76,086	71,832	70,694	66,313	66,819	68,096
National and Community Service	359	381	599	601	683	687
Prevention of Domestic Violence, Office for	2,127	1,956	1,962	1,983	1,983	1,983
Temporary and Disability Assistance, Office of	1,520,080	1,415,873	1,627,811	1,764,011	1,804,847	1,819,524
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	332,358	372,008	379,609	381,545	374,155	375,732
Welfare Inspector General, Office of	727	1,150	1,391	1,407	1,427	1,446
Workers' Compensation Board	186,742	194,002	205,223	205,101	211,963	218,799
Functional Total	4,125,765	3,926,266	3,936,631	4,254,127	4,378,137	4,498,530
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	429,838	447,896	472,252	572,119	581,134	606,486
<i>OASAS</i>	336,443	336,208	373,778	471,249	477,652	500,421
<i>OASAS - Other</i>	93,395	111,688	98,474	100,870	103,482	106,065
Mental Health, Office of	3,074,949	3,250,569	3,169,981	3,409,877	3,604,934	3,801,343
<i>OMH</i>	1,377,434	1,492,515	1,322,956	1,513,514	1,625,782	1,733,650
<i>OMH - Other</i>	1,697,515	1,758,054	1,847,025	1,896,363	1,979,152	2,067,693
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	4,362,483	4,322,045	4,238,322	4,423,221	4,682,350	4,881,268
<i>OPWDD</i>	486,934	515,378	512,491	525,923	551,068	569,532
<i>OPWDD - Other</i>	3,875,549	3,806,667	3,725,831	3,897,298	4,131,282	4,311,736
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,790	8,213	8,207	8,407	8,630	8,837
Functional Total	7,876,235	8,029,068	7,888,762	8,413,624	8,877,048	9,297,934
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	3,076,239	2,803,925	2,683,188	2,747,710	2,823,847	2,892,774

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Criminal Justice Services, Division of	255,797	213,480	233,078	231,555	226,929	229,046
Homeland Security - Miscellaneous	756	496	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	25,500	35,714	126,982	134,381	139,759	132,969
Indigent Legal Services, Office of	95,089	90,793	78,500	78,628	78,740	78,857
Investigation, Temporary State Commission of	395	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	89,915	39,023	38,979	30,672	30,830	31,063
State Police, Division of	761,884	692,423	709,738	661,642	636,987	634,628
Statewide Financial System	5,932	9,070	40,498	55,484	55,584	55,584
Statewide Wireless Network	6,672	28,253	0	0	0	0
Victim Services, Office of	33,468	34,594	34,246	34,646	34,783	34,842
Functional Total	4,359,409	3,955,134	3,952,712	4,013,630	4,066,905	4,129,748
HIGHER EDUCATION						
City University of New York	1,642,043	1,315,913	1,379,451	1,469,999	1,535,694	1,589,121
Higher Education - Miscellaneous	378	370	355	355	355	355
Higher Education Facilities Capital Matching Grants Program	37,320	33,834	48,000	26,592	0	0
Higher Education Services Corporation, New York State	955,735	889,588	994,153	1,062,669	1,067,206	1,069,932
State University Construction Fund	18,595	18,915	26,172	27,074	27,854	28,609
State University of New York	6,670,744	6,733,419	7,112,735	7,356,647	7,551,163	7,748,106
Functional Total	9,324,815	8,992,039	9,560,866	9,943,336	10,182,272	10,436,123
EDUCATION						
Arts, Council on the	42,286	45,173	35,958	35,995	36,055	36,122
Education, Department of	23,470,595	26,730,429	24,922,916	25,683,943	26,809,862	27,911,699
<i>School Aid</i>	18,313,488	21,848,300	19,685,933	20,249,776	21,104,503	21,954,962
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	823,883	723,897	747,892	738,964	739,368	734,795
Functional Total	23,512,881	26,775,602	24,958,874	25,719,938	26,845,917	27,947,821
GENERAL GOVERNMENT						
Budget, Division of the	33,613	33,825	36,345	37,394	38,324	40,421
Civil Service, Department of	21,384	17,896	15,743	15,993	16,280	16,461
Deferred Compensation Board	673	681	774	796	823	850
Elections, State Board of	9,898	6,186	6,963	5,240	5,185	35,407
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
General Services, Office of	189,745	189,821	198,499	183,142	183,010	196,514
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
Lottery, Division of the	185,777	162,910	176,790	177,280	181,544	185,220
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	42,806	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	94,307	76,851	68,921	58,362	53,033	54,327
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	417,242	441,466	400,039	402,935	409,792	415,543
Technology, Office for	23,549	26,987	21,338	16,023	15,486	16,153
Veterans' Affairs, Division of	14,756	14,069	13,923	13,827	13,333	13,413
Functional Total	1,090,543	1,024,299	1,007,776	998,432	961,361	1,019,117
ELECTED OFFICIALS						
Audit and Control, Department of	172,632	171,821	170,616	178,640	181,162	183,340
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	2,489,130	2,549,908	2,559,643	2,822,946	2,996,411	3,233,179
Law, Department of	184,022	169,761	168,938	177,458	180,946	182,918
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	3,088,929	3,127,210	3,132,548	3,421,577	3,605,888	3,850,727
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	1,080,279	775,330	758,364	793,090	789,997	790,634
ALL OTHER CATEGORIES						
General State Charges	2,920,603	3,432,021	3,907,105	4,169,810	4,561,858	4,658,967
Long-Term Debt Service	5,012,102	5,677,515	5,896,097	6,362,426	6,580,378	6,681,841
Miscellaneous	79,048	49,061	(159,766)	302,083	(17,725)	(17,427)
Functional Total	8,011,753	9,158,597	9,643,436	10,834,319	11,124,511	11,323,381
TOTAL STATE FUNDS SPENDING	86,044,419	90,118,799	92,755,016	96,503,878	99,864,290	102,870,839

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,841	15,014	24,191	25,580	23,095	23,095
Development Authority of the North Country	36	10	117	70	0	0
Economic Development Capital	8,906	30,617	0	0	0	0
Economic Development, Department of	53,052	75,494	52,620	60,390	47,409	54,772
Empire State Development Corporation	178,041	926,844	777,868	331,581	407,100	389,900
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	443,857	228,152	216,952	216,952	216,952	216,952
Olympic Regional Development Authority	2,525	0	0	0	0	0
Public Service Department	0	0	500	500	500	500
Regional Economic Development Program	1,967	5,248	0	0	0	0
Functional Total	723,882	1,290,536	1,081,482	644,307	704,290	694,453
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	68,399	70,523	61,802	60,425	59,802	59,802
Parks, Recreation and Historic Preservation, Office of	27,607	16,933	16,566	13,012	7,700	7,700
Functional Total	96,006	87,456	78,368	73,437	67,502	67,502
TRANSPORTATION						
Metropolitan Transportation Authority	184,681	216,912	194,500	183,600	183,600	183,600
Thruway Authority, New York State	1,403	1,478	0	0	0	0
Transportation, Department of	3,856,658	4,266,828	4,294,804	4,407,131	4,494,665	4,587,559
Functional Total	4,042,742	4,485,218	4,489,304	4,590,731	4,678,265	4,771,159
HEALTH						
Aging, Office for the	114,196	117,041	111,310	118,803	122,711	129,662
Health, Department of	13,635,635	13,995,374	17,690,928	18,246,428	19,039,319	19,481,895
<i>Medical Assistance</i>	10,946,483	11,377,313	14,706,471	15,296,558	15,909,558	16,547,358
<i>Medicaid Administration</i>	514,488	538,370	573,750	596,750	620,650	645,450
<i>Public Health</i>	2,174,664	2,079,691	2,410,707	2,353,120	2,509,111	2,289,087
Functional Total	13,749,831	14,112,415	17,802,238	18,365,231	19,162,030	19,611,557
SOCIAL WELFARE						
Children and Family Services, Office of	1,750,980	1,670,267	1,506,461	1,680,244	1,740,425	1,843,694
<i>OCFS</i>	1,701,502	1,600,912	1,395,360	1,555,871	1,605,861	1,702,842
<i>OCFS - Other</i>	49,478	69,355	111,101	124,373	134,564	140,852
Housing and Community Renewal, Division of	167,633	137,588	117,602	101,448	116,842	103,494
Labor, Department of	13,057	11,237	8,606	1,545	100	100
National and Community Service	0	0	350	350	350	350
Prevention of Domestic Violence, Office for	656	666	685	685	685	685
Temporary and Disability Assistance, Office of	1,305,387	1,247,339	1,457,052	1,589,132	1,628,808	1,641,908
<i>Welfare Assistance</i>	1,136,459	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
<i>Welfare Administration</i>	51,263	0	0	0	0	0
<i>All Other</i>	117,665	203,474	208,850	206,666	198,116	198,116
Functional Total	3,237,713	3,067,097	3,090,756	3,373,404	3,487,210	3,590,231
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	323,171	336,995	362,886	457,799	459,470	481,054
<i>OASAS</i>	289,391	287,906	330,206	425,119	426,790	448,374
<i>OASAS - Other</i>	33,780	49,089	32,680	32,680	32,680	32,680
Mental Health, Office of	1,111,523	1,165,624	1,182,371	1,347,519	1,465,197	1,555,808
<i>OMH</i>	686,859	742,212	777,891	914,201	1,013,316	1,077,060
<i>OMH - Other</i>	424,664	423,412	404,480	433,318	451,881	478,748
People with Developmental Disabilities, Office for	2,140,302	2,176,345	2,161,369	2,274,738	2,468,560	2,588,643
<i>OPWDD</i>	462,213	498,380	468,725	480,527	511,667	530,131
<i>OPWDD - Other</i>	1,678,089	1,677,965	1,692,644	1,794,211	1,956,893	2,058,512
Quality of Care and Advocacy for Persons With Disabilities, Commission on	857	623	620	620	620	620
Functional Total	3,575,853	3,679,587	3,707,246	4,080,676	4,393,847	4,626,125
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	17,714	10,386	6,086	6,051	6,000	6,000
Criminal Justice Services, Division of	186,679	150,003	162,996	160,578	157,558	157,558
Homeland Security and Emergency Services, Division of	0	17,552	64,170	74,870	94,570	90,570
Indigent Legal Services, Office of	70,089	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	34,538	3,659	670	667	650	650
Victim Services, Office of	27,624	29,185	28,182	28,182	28,182	28,182
Functional Total	336,644	276,554	339,104	347,348	363,960	359,960
HIGHER EDUCATION						
City University of New York	1,525,288	1,182,813	1,201,458	1,279,790	1,342,827	1,405,588
Higher Education Facilities Capital Matching Grants Program	37,649	34,266	38,000	17,592	0	0
Higher Education Services Corporation, New York State	845,501	813,707	905,861	966,962	965,592	965,277
State University of New York	445,974	473,053	478,471	443,938	443,324	443,324
Functional Total	2,854,412	2,503,839	2,623,790	2,708,282	2,751,743	2,814,189

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
EDUCATION						
Arts, Council on the	36,887	40,479	31,733	31,733	31,733	31,733
Education, Department of	<u>23,281,618</u>	<u>26,564,820</u>	<u>24,738,792</u>	<u>25,491,913</u>	<u>26,616,379</u>	<u>27,720,248</u>
<i>School Aid</i>	18,313,488	21,848,300	19,685,933	20,249,776	21,104,503	21,954,962
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	919,682	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	634,906	558,288	563,768	546,934	545,885	543,344
Functional Total	<u>23,318,505</u>	<u>26,605,299</u>	<u>24,770,525</u>	<u>25,523,646</u>	<u>26,648,112</u>	<u>27,751,981</u>
GENERAL GOVERNMENT						
Elections, State Board of	195	582	2,000	0	0	30,000
General Services, Office of	24	28	32	19	0	0
Real Property Services, Office of	11,409	0	0	0	0	0
State, Department of	37,352	24,495	16,152	7,235	539	539
Taxation and Finance, Department of	0	5,270	926	1,076	1,076	1,076
Technology, Office for	1,083	2,086	1,245	0	0	0
Veterans' Affairs, Division of	8,290	8,044	8,501	8,350	7,785	7,855
Functional Total	<u>58,353</u>	<u>40,505</u>	<u>28,856</u>	<u>16,680</u>	<u>9,400</u>	<u>39,470</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,026	31,598	32,024	32,024	32,024	32,024
Judiciary	<u>118,892</u>	<u>119,798</u>	<u>106,645</u>	<u>158,500</u>	<u>159,500</u>	<u>161,500</u>
Functional Total	<u>150,918</u>	<u>151,396</u>	<u>138,669</u>	<u>190,524</u>	<u>191,524</u>	<u>193,524</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	1,039,488	738,940	721,192	757,222	760,747	762,589
Efficiency Incentive Grants Program	3,293	4,604	9,127	7,823	1,205	0
Miscellaneous Financial Assistance	8,920	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	26,489	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,089	2,066	218	218	218	218
Functional Total	<u>1,080,279</u>	<u>775,330</u>	<u>758,364</u>	<u>793,090</u>	<u>789,997</u>	<u>790,634</u>
ALL OTHER CATEGORIES						
Miscellaneous	(21,204)	(33,259)	359,661	324,284	325,254	325,254
Functional Total	<u>(21,204)</u>	<u>(33,259)</u>	<u>359,661</u>	<u>324,284</u>	<u>325,254</u>	<u>325,254</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>53,203,934</u></u>	<u><u>57,041,973</u></u>	<u><u>59,268,363</u></u>	<u><u>61,031,640</u></u>	<u><u>63,573,134</u></u>	<u><u>65,636,039</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	63,273	57,860	53,578	54,443	55,380	56,622
Alcoholic Beverage Control, Division of	12,875	12,581	14,004	13,553	14,006	14,006
Economic Development, Department of	32,463	20,444	31,174	17,041	17,634	18,012
Energy Research and Development Authority	5,481	4,744	5,180	5,286	5,396	5,396
Financial Services, Department of	234,149	212,310	206,669	206,667	206,667	206,667
Olympic Regional Development Authority	5,441	3,534	3,331	3,331	3,458	3,540
Public Service Department	54,138	52,777	54,303	55,830	56,367	57,572
Racing and Wagering Board, State	17,369	18,056	14,911	15,007	15,103	15,163
Functional Total	425,189	382,306	383,150	371,158	374,011	376,978
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	5,153	4,637	4,173	4,175	4,175	4,175
Environmental Conservation, Department of	278,567	255,522	227,886	225,730	225,730	225,730
Environmental Facilities Corporation	7,859	7,122	6,960	7,011	7,038	7,065
Parks, Recreation and Historic Preservation, Office of	187,497	177,022	169,700	161,366	162,001	162,889
Functional Total	479,076	444,303	408,719	398,282	398,944	399,859
TRANSPORTATION						
Motor Vehicles, Department of	71,894	68,522	69,103	69,640	70,366	71,163
Transportation, Department of	35,552	33,454	37,508	37,953	38,434	38,908
Functional Total	107,446	101,976	106,611	107,593	108,800	110,071
HEALTH						
Aging, Office for the	2,568	1,677	1,331	1,385	1,428	1,472
Health, Department of	611,972	571,324	562,798	561,245	577,222	589,567
<i>Medical Assistance</i>	21,284	23,247	46,355	46,355	46,355	46,355
<i>Public Health</i>	590,688	548,077	516,443	514,890	530,867	543,212
Medicaid Inspector General, Office of the	27,481	27,990	25,385	26,125	26,905	27,715
Stem Cell and Innovation	17,248	36,971	45,000	61,373	63,673	50,000
Functional Total	659,269	637,962	634,514	650,128	669,228	668,754
SOCIAL WELFARE						
Children and Family Services, Office of	297,739	321,270	303,191	330,235	328,737	334,776
<i>OCFS</i>	297,739	321,270	303,191	330,235	328,737	334,776
Housing and Community Renewal, Division of	67,369	61,015	53,376	53,264	53,615	54,095
Human Rights, Division of	10,731	14,165	9,272	9,453	9,584	9,584
Labor, Department of	48,117	44,994	46,171	46,889	47,574	48,262
National and Community Service	359	381	249	251	333	337
Prevention of Domestic Violence, Office for	1,471	1,290	1,277	1,298	1,298	1,298
Temporary and Disability Assistance, Office of	183,074	167,085	169,450	173,399	174,454	176,036
<i>All Other</i>	183,074	167,085	169,450	173,399	174,454	176,036
Welfare Inspector General, Office of	635	1,094	1,177	1,186	1,206	1,225
Workers' Compensation Board	137,920	150,850	159,302	155,214	157,709	160,210
Functional Total	747,415	762,144	743,465	771,189	774,510	785,823
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,151	80,818	74,273	75,731	76,887	78,032
<i>OASAS</i>	35,483	34,454	27,076	27,873	28,358	28,832
<i>OASAS - Other</i>	44,668	46,364	47,197	47,858	48,529	49,200
Mental Health, Office of	1,411,457	1,455,854	1,386,873	1,416,191	1,442,479	1,485,419
<i>OMH</i>	455,191	482,556	315,430	371,448	376,042	394,243
<i>OMH - Other</i>	956,266	973,298	1,071,443	1,044,743	1,066,437	1,091,176
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	1,521,176	1,542,092	1,493,807	1,516,747	1,538,748	1,561,374
<i>OPWDD</i>	(5,546)	(6,620)	176	176	181	181
<i>OPWDD - Other</i>	1,526,722	1,548,712	1,493,631	1,516,571	1,538,567	1,561,193
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,001	6,573	6,428	6,509	6,628	6,735
Functional Total	3,019,960	3,085,682	2,961,381	3,015,178	3,064,742	3,131,560
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	2,596	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,792,561	2,575,307	2,432,852	2,488,394	2,558,172	2,621,110
Criminal Justice Services, Division of	69,035	63,391	69,881	70,763	69,150	71,252
Homeland Security - Miscellaneous	0	0	0	31,283	31,705	32,133
Homeland Security and Emergency Services, Division of	25,402	15,550	45,111	50,806	38,484	34,694
Indigent Legal Services, Office of	25,000	25,024	1,136	1,180	1,225	1,271
Investigation, Temporary State Commission of	395	0	0	0	0	0
Judicial Commissions	5,145	4,944	4,763	4,837	4,917	4,995
Military and Naval Affairs, Division of	44,426	28,398	28,269	20,076	20,296	20,518
State Police, Division of	727,917	657,091	667,467	609,842	614,870	619,333
Statewide Financial System	5,932	9,069	40,498	55,484	55,584	55,584

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Statewide Wireless Network	5,412	27,655	0	0	0	0
Victim Services, Office of	4,722	4,521	4,828	4,958	4,957	5,016
Functional Total	3,708,564	3,413,369	3,297,545	3,340,415	3,402,184	3,468,763
HIGHER EDUCATION						
City University of New York	102,796	117,099	136,747	147,380	149,890	149,890
Higher Education - Miscellaneous	270	255	220	220	220	220
Higher Education Services Corporation, New York State	95,037	61,052	74,876	79,286	83,829	85,434
State University Construction Fund	14,504	14,438	18,579	18,684	18,848	18,959
State University of New York	4,949,282	4,880,006	5,103,679	5,342,541	5,544,005	5,732,815
Functional Total	5,161,889	5,072,850	5,334,101	5,588,111	5,796,792	5,987,318
EDUCATION						
Arts, Council on the	5,399	4,694	4,225	4,262	4,322	4,389
Education, Department of	146,795	131,572	129,770	126,797	127,053	128,545
<i>All Other</i>	146,795	131,572	129,770	126,797	127,053	128,545
Functional Total	152,194	136,266	133,995	131,059	131,375	132,934
GENERAL GOVERNMENT						
Budget, Division of the	32,059	32,081	34,151	34,956	35,618	37,410
Civil Service, Department of	21,245	17,677	15,541	15,778	16,045	16,206
Deferred Compensation Board	521	519	598	605	615	625
Elections, State Board of	9,703	5,604	4,963	5,240	5,185	5,407
Employee Relations, Office of	3,204	3,000	2,754	2,788	2,828	2,862
General Services, Office of	135,014	127,315	117,476	118,374	121,462	124,233
Inspector General, Office of the	6,079	5,703	5,430	5,515	5,602	5,671
Labor Management Committees	33,609	32,335	50,256	68,904	25,721	25,721
Lottery, Division of the	176,513	152,955	165,325	164,225	167,665	171,242
Public Employment Relations Board	3,785	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	4,209	3,794	3,415	3,595	3,672	3,738
Real Property Services, Office of	27,977	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,653	0	0	0	0
State, Department of	49,211	41,797	42,079	42,258	42,896	43,455
Tax Appeals, Division of	3,458	3,134	2,741	2,741	2,779	2,812
Taxation and Finance, Department of	398,676	412,940	380,255	380,337	385,896	391,420
Technology, Office for	22,466	22,018	19,377	15,523	14,986	15,653
Veterans' Affairs, Division of	6,466	6,025	5,422	5,477	5,548	5,558
Functional Total	936,644	872,538	853,628	870,213	840,467	856,017
ELECTED OFFICIALS						
Audit and Control, Department of	139,406	138,841	136,555	142,676	147,538	149,716
Executive Chamber	17,056	12,880	13,926	14,203	14,461	15,185
Judiciary	1,847,453	1,868,890	1,838,800	2,009,862	2,119,121	2,292,141
Law, Department of	173,611	161,877	158,967	166,204	169,692	171,664
Legislature	226,089	222,536	218,795	227,685	232,263	235,415
Lieutenant Governor, Office of the	0	304	630	645	645	690
Functional Total	2,403,615	2,405,328	2,367,673	2,561,275	2,683,720	2,864,811
ALL OTHER CATEGORIES						
General State Charges	4,852	5,561	0	0	0	0
Long-Term Debt Service	50,631	62,846	61,613	62,007	62,007	62,007
Miscellaneous	6,048	4,886	(364,179)	(219,074)	(448,954)	(448,780)
Functional Total	61,531	73,293	(302,566)	(157,067)	(386,947)	(386,773)
TOTAL STATE OPERATIONS SPENDING	17,862,792	17,388,017	16,922,216	17,647,534	17,857,826	18,396,115

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	34,218	31,416	29,222	29,788	30,418	31,062
Alcoholic Beverage Control, Division of	8,838	8,512	7,822	7,663	7,781	7,781
Economic Development, Department of	15,233	13,906	10,927	10,898	11,043	11,155
Energy Research and Development Authority	3,968	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	155,916	152,126	136,393	136,391	136,391	136,391
Olympic Regional Development Authority	3,090	2,890	2,485	2,485	2,522	2,548
Public Service Department	43,269	41,801	43,506	44,750	44,995	45,895
Racing and Wagering Board, State	12,538	12,062	9,437	9,500	9,563	9,591
Functional Total	277,070	265,607	243,157	244,907	246,214	247,924
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,729	4,234	3,812	3,814	3,814	3,814
Environmental Conservation, Department of	196,537	186,181	166,758	167,923	167,923	167,923
Environmental Facilities Corporation	6,798	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	142,458	135,297	124,026	124,900	125,535	125,788
Functional Total	350,522	331,772	300,781	302,866	303,523	303,798
TRANSPORTATION						
Motor Vehicles, Department of	52,901	51,578	48,194	49,004	49,437	49,877
Transportation, Department of	11,415	10,853	10,006	10,107	10,208	10,311
Functional Total	64,316	62,431	58,200	59,111	59,645	60,188
HEALTH						
Aging, Office for the	2,427	1,641	1,300	1,333	1,366	1,400
Health, Department of	304,888	289,860	286,790	291,090	297,635	302,978
<i>Medical Assistance</i>	0	500	500	500	500	500
<i>Public Health</i>	304,888	289,360	286,290	290,590	297,135	302,478
Medicaid Inspector General, Office of the	20,094	20,818	18,969	19,409	19,859	20,319
Stem Cell and Innovation	640	534	0	0	0	0
Functional Total	328,049	312,853	307,059	311,832	318,860	324,697
SOCIAL WELFARE						
Children and Family Services, Office of	202,655	192,793	177,563	195,528	190,732	193,167
<i>OCFS</i>	202,655	192,793	177,563	195,528	190,732	193,167
Housing and Community Renewal, Division of	50,376	46,367	41,282	40,719	40,939	41,302
Human Rights, Division of	8,027	12,932	8,168	8,265	8,363	8,363
Labor, Department of	33,433	32,161	31,103	31,443	31,762	32,080
National and Community Service	316	304	208	210	292	295
Prevention of Domestic Violence, Office for	1,251	1,098	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	71,841	62,305	63,981	64,284	64,635	64,796
<i>All Other</i>	71,841	62,305	63,981	64,284	64,635	64,796
Welfare Inspector General, Office of	506	434	701	701	721	729
Workers' Compensation Board	90,768	89,052	94,238	94,881	95,719	96,658
Functional Total	459,173	437,446	418,383	437,183	434,315	438,542
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	58,793	59,596	55,864	56,673	57,334	57,992
<i>OASAS</i>	25,233	25,103	17,354	17,899	18,129	18,350
<i>OASAS - Other</i>	33,560	34,493	38,510	38,774	39,205	39,642
Mental Health, Office of	1,100,079	1,145,258	1,091,978	1,102,788	1,118,975	1,144,635
<i>OMH</i>	382,310	405,776	249,748	288,086	290,385	308,825
<i>OMH - Other</i>	717,769	739,482	842,230	814,702	828,590	835,810
People with Developmental Disabilities, Office for	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
<i>OPWDD - Other</i>	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,839	5,430	5,283	5,326	5,391	5,435
Functional Total	2,300,597	2,378,480	2,279,312	2,302,327	2,329,807	2,368,604
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,142	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	2,250,699	2,043,633	1,968,893	1,990,954	2,017,629	2,038,692
Criminal Justice Services, Division of	37,701	34,806	29,347	30,053	28,872	29,218
Homeland Security - Miscellaneous	0	0	0	27,758	28,074	28,393
Homeland Security and Emergency Services, Division of	11,319	6,531	7,535	7,604	7,674	7,744
Indigent Legal Services, Office of	0	8	765	780	795	810
Investigation, Temporary State Commission of	386	0	0	0	0	0
Judicial Commissions	3,988	3,723	3,355	3,395	3,438	3,479
Military and Naval Affairs, Division of	22,271	19,260	17,068	10,791	10,916	11,041
State Police, Division of	646,775	582,393	544,966	528,801	531,871	535,042
Statewide Financial System	2,451	3,977	9,139	13,819	13,819	13,819
Statewide Wireless Network	2,820	8,076	0	0	0	0
Victim Services, Office of	4,014	3,761	3,778	3,879	3,852	3,887
Functional Total	2,984,566	2,708,250	2,587,172	2,620,194	2,649,316	2,674,525

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
HIGHER EDUCATION						
City University of New York	73,896	85,732	96,651	102,662	104,250	104,250
Higher Education - Miscellaneous	238	221	175	175	175	175
Higher Education Services Corporation, New York State	36,260	32,756	32,134	31,445	31,760	32,077
State University Construction Fund	12,074	12,562	15,544	15,583	15,622	15,661
State University of New York	3,243,082	3,239,364	3,245,231	3,407,794	3,519,175	3,651,415
Functional Total	3,365,550	3,370,635	3,389,735	3,557,659	3,670,982	3,803,578
EDUCATION						
Arts, Council on the	3,741	3,098	2,796	2,820	2,845	2,870
Education, Department of	98,260	90,078	83,818	84,711	85,811	86,937
<i>All Other</i>	98,260	90,078	83,818	84,711	85,811	86,937
Functional Total	102,001	93,176	86,614	87,531	88,656	89,807
GENERAL GOVERNMENT						
Budget, Division of the	25,782	24,430	23,080	23,860	24,663	25,774
Civil Service, Department of	19,595	16,153	13,725	13,917	14,136	14,251
Deferred Compensation Board	388	374	390	392	396	400
Elections, State Board of	4,389	4,205	3,791	3,973	3,836	4,011
Employee Relations, Office of	3,071	2,909	2,619	2,648	2,683	2,714
General Services, Office of	62,775	56,079	51,105	52,127	52,840	53,415
Inspector General, Office of the	5,767	5,519	4,887	4,948	5,009	5,063
Labor Management Committees	8,055	9,872	7,776	7,864	7,864	7,864
Lottery, Division of the	21,798	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	3,362	3,456	3,143	3,177	3,211	3,246
Public Integrity, Commission on	3,357	3,017	2,719	2,863	2,905	2,944
Real Property Services, Office of	23,407	0	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,537	0	0	0	0
State, Department of	35,450	31,270	27,505	27,862	28,171	28,373
Tax Appeals, Division of	2,960	2,776	2,420	2,420	2,447	2,471
Taxation and Finance, Department of	306,466	323,767	293,227	293,306	296,302	299,499
Technology, Office for	10,571	11,208	10,511	10,631	10,729	10,858
Veterans' Affairs, Division of	5,996	5,570	4,983	5,028	5,089	5,089
Functional Total	545,437	523,199	476,504	479,639	485,147	490,998
ELECTED OFFICIALS						
Audit and Control, Department of	114,716	110,320	104,808	111,898	113,291	114,561
Executive Chamber	13,451	10,963	11,160	11,337	11,495	12,025
Judiciary	1,536,724	1,525,120	1,469,455	1,596,945	1,655,493	1,774,616
Law, Department of	123,683	111,750	110,771	116,331	117,627	118,610
Legislature	177,874	174,096	165,284	171,715	175,149	176,901
Lieutenant Governor, Office of the	0	281	495	522	550	588
Functional Total	1,966,448	1,932,530	1,861,973	2,008,748	2,073,605	2,197,301
ALL OTHER CATEGORIES						
General State Charges	2,175	3,835	0	0	0	0
Miscellaneous	2,440	2,217	(192,267)	(192,223)	(192,178)	(192,098)
Functional Total	4,615	6,052	(192,267)	(192,223)	(192,178)	(192,098)
TOTAL PERSONAL SERVICE SPENDING	12,748,344	12,422,431	11,816,623	12,219,774	12,467,892	12,807,864

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	29,055	26,444	24,356	24,655	24,962	25,560
Alcoholic Beverage Control, Division of	4,037	4,069	6,182	5,890	6,225	6,225
Economic Development, Department of	17,230	6,538	20,247	6,143	6,591	6,857
Energy Research and Development Authority	1,513	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	78,233	60,184	70,276	70,276	70,276	70,276
Olympic Regional Development Authority	2,351	644	846	846	936	992
Public Service Department	10,869	10,976	10,797	11,080	11,372	11,677
Racing and Wagering Board, State	4,831	5,994	5,474	5,507	5,540	5,572
Functional Total	148,119	116,699	139,993	126,251	127,797	129,054
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	424	403	361	361	361	361
Environmental Conservation, Department of	82,030	69,341	61,128	57,807	57,807	57,807
Environmental Facilities Corporation	1,061	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	45,039	41,725	45,674	36,466	36,466	37,101
Functional Total	128,554	112,531	107,938	95,416	95,421	96,061
TRANSPORTATION						
Motor Vehicles, Department of	18,993	16,944	20,909	20,636	20,929	21,286
Transportation, Department of	24,137	22,601	27,502	27,846	28,226	28,597
Functional Total	43,130	39,545	48,411	48,482	49,155	49,883
HEALTH						
Aging, Office for the	141	36	31	52	62	72
Health, Department of	307,084	281,464	276,008	270,155	279,587	286,589
<i>Medical Assistance</i>	21,284	22,747	45,855	45,855	45,855	45,855
<i>Public Health</i>	285,800	258,717	230,153	224,300	233,732	240,734
Medicaid Inspector General, Office of the	7,387	7,172	6,416	6,716	7,046	7,396
Stem Cell and Innovation	16,608	36,437	45,000	61,373	63,673	50,000
Functional Total	331,220	325,109	327,455	338,296	350,368	344,057
SOCIAL WELFARE						
Children and Family Services, Office of	95,084	128,477	125,628	134,707	138,005	141,609
<i>OCFS</i>	95,084	128,477	125,628	134,707	138,005	141,609
Housing and Community Renewal, Division of	16,993	14,648	12,094	12,545	12,676	12,793
Human Rights, Division of	2,704	1,233	1,104	1,188	1,221	1,221
Labor, Department of	14,684	12,833	15,068	15,446	15,812	16,182
National and Community Service	43	77	41	41	41	42
Prevention of Domestic Violence, Office for	220	192	138	146	146	146
Temporary and Disability Assistance, Office of	111,233	104,780	105,469	109,115	109,819	111,240
<i>All Other</i>	111,233	104,780	105,469	109,115	109,819	111,240
Welfare Inspector General, Office of	129	660	476	485	485	496
Workers' Compensation Board	47,152	61,798	65,064	60,333	61,990	63,552
Functional Total	288,242	324,698	325,082	334,006	340,195	347,281
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,358	21,222	18,409	19,058	19,553	20,040
<i>OASAS</i>	10,250	9,351	9,722	9,974	10,229	10,482
<i>OASAS - Other</i>	11,108	11,871	8,687	9,084	9,324	9,558
Mental Health, Office of	311,378	310,596	294,895	313,403	323,504	340,784
<i>OMH</i>	72,881	76,780	65,682	83,362	85,657	85,418
<i>OMH - Other</i>	238,497	233,816	229,213	230,041	237,847	255,366
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	385,290	373,896	367,620	379,207	390,641	400,832
<i>OPWDD</i>	(5,546)	(6,620)	176	176	181	181
<i>OPWDD - Other</i>	390,836	380,516	367,444	379,031	390,460	400,651
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,162	1,143	1,145	1,183	1,237	1,300
Functional Total	719,363	707,202	682,069	712,851	734,935	762,956
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Capital Defender Office	21	0	0	0	0	0
Correction, Commission of	454	337	414	432	448	457
Correctional Services, Department of	541,862	531,674	463,959	497,440	540,543	582,418
Criminal Justice Services, Division of	31,334	28,585	40,534	40,710	40,278	42,034
Homeland Security - Miscellaneous	0	0	0	3,525	3,631	3,740
Homeland Security and Emergency Services, Division of	14,083	9,019	37,576	43,202	30,810	26,950
Indigent Legal Services, Office of	25,000	25,016	371	400	430	461
Investigation, Temporary State Commission of	9	0	0	0	0	0
Judicial Commissions	1,157	1,221	1,408	1,442	1,479	1,516
Military and Naval Affairs, Division of	22,155	9,138	11,201	9,285	9,380	9,477
State Police, Division of	81,142	74,698	122,501	81,041	82,999	84,291
Statewide Financial System	3,481	5,092	31,359	41,665	41,765	41,765

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Statewide Wireless Network	2,592	19,579	0	0	0	0
Victim Services, Office of	708	760	1,050	1,079	1,105	1,129
Functional Total	723,998	705,119	710,373	720,221	752,868	794,238
HIGHER EDUCATION						
City University of New York	28,900	31,367	40,096	44,718	45,640	45,640
Higher Education - Miscellaneous	32	34	45	45	45	45
Higher Education Services Corporation, New York State	58,777	28,296	42,742	47,841	52,069	53,357
State University Construction Fund	2,430	1,876	3,035	3,101	3,226	3,298
State University of New York	1,706,200	1,640,642	1,858,448	1,934,747	2,024,830	2,081,400
Functional Total	1,796,339	1,702,215	1,944,366	2,030,452	2,125,810	2,183,740
EDUCATION						
Arts, Council on the	1,658	1,596	1,429	1,442	1,477	1,519
Education, Department of	48,535	41,494	45,952	42,086	41,242	41,608
<i>All Other</i>	48,535	41,494	45,952	42,086	41,242	41,608
Functional Total	50,193	43,090	47,381	43,528	42,719	43,127
GENERAL GOVERNMENT						
Budget, Division of the	6,277	7,651	11,071	11,096	10,955	11,636
Civil Service, Department of	1,650	1,524	1,816	1,861	1,909	1,955
Deferred Compensation Board	133	145	208	213	219	225
Elections, State Board of	5,314	1,399	1,172	1,267	1,349	1,396
Employee Relations, Office of	133	91	135	140	145	148
General Services, Office of	72,239	71,236	66,371	66,247	68,622	70,818
Inspector General, Office of the	312	184	543	567	593	608
Labor Management Committees	25,554	22,463	42,480	61,040	17,857	17,857
Lottery, Division of the	154,715	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	423	532	702	720	738	758
Public Integrity, Commission on	852	777	696	732	767	794
Real Property Services, Office of	4,570	0	0	0	0	0
Regulatory Reform, Governor's Office of	201	116	0	0	0	0
State, Department of	13,761	10,527	14,574	14,396	14,725	15,082
Tax Appeals, Division of	498	358	321	321	332	341
Taxation and Finance, Department of	92,210	89,173	87,028	87,031	89,594	91,921
Technology, Office for	11,895	10,810	8,866	4,892	4,257	4,795
Veterans' Affairs, Division of	470	455	439	449	459	469
Functional Total	391,207	349,339	377,124	390,574	355,320	365,019
ELECTED OFFICIALS						
Audit and Control, Department of	24,690	28,521	31,747	30,778	34,247	35,155
Executive Chamber	3,605	1,917	2,766	2,866	2,966	3,160
Judiciary	310,729	343,770	369,345	412,917	463,628	517,525
Law, Department of	49,928	50,127	48,196	49,873	52,065	53,054
Legislature	48,215	48,440	53,511	55,970	57,114	58,514
Lieutenant Governor, Office of the	0	23	135	123	95	102
Functional Total	437,167	472,798	505,700	552,527	610,115	667,510
ALL OTHER CATEGORIES						
General State Charges	2,677	1,726	0	0	0	0
Long-Term Debt Service	50,631	62,846	61,613	62,007	62,007	62,007
Miscellaneous	3,608	2,669	(171,912)	(26,851)	(256,776)	(256,682)
Functional Total	56,916	67,241	(110,299)	35,156	(194,769)	(194,675)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,114,448	4,965,586	5,105,593	5,427,760	5,389,934	5,588,251

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,560	4,749	5,142	5,439	5,955	6,533
Alcoholic Beverage Control, Division of	4,137	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	20	21	28	28	28	28
Energy Research and Development Authority	1,742	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	67,097	66,829	66,928	66,930	66,930	66,930
Public Service Department	21,500	20,299	20,860	23,115	25,939	28,280
Racing and Wagering Board, State	5,206	3,517	2,728	3,054	3,287	3,512
Functional Total	104,262	100,946	101,648	105,200	109,257	112,401
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	36,337	37,838	33,294	32,390	31,390	31,390
Environmental Facilities Corporation	1,874	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,537	2,627	3,685	3,685	3,792	3,830
Functional Total	40,748	42,733	40,101	39,387	38,619	38,752
TRANSPORTATION						
Motor Vehicles, Department of	22,539	27,440	23,830	26,239	28,537	31,222
Transportation, Department of	2,827	403	4,902	5,459	5,903	6,373
Functional Total	25,366	27,843	28,732	31,698	34,440	37,595
HEALTH						
Health, Department of	33,230	33,594	40,596	45,268	48,003	48,003
<i>Public Health</i>	33,230	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of the	94	94	0	0	0	0
Stem Cell and Innovation	428	318	0	0	0	0
Functional Total	33,752	34,006	40,596	45,268	48,003	48,003
SOCIAL WELFARE						
Children and Family Services, Office of	2,237	2,325	2,666	2,666	2,782	2,782
<i>OCFS</i>	2,237	2,325	2,666	2,666	2,782	2,782
Housing and Community Renewal, Division of	15,278	16,197	15,483	16,501	17,530	18,670
Labor, Department of	14,912	15,601	15,917	17,879	19,145	19,734
Temporary and Disability Assistance, Office of	1,229	1,449	1,309	1,480	1,585	1,580
<i>All Other</i>	1,229	1,449	1,309	1,480	1,585	1,580
Welfare Inspector General, Office of	92	56	214	221	221	221
Workers' Compensation Board	48,822	43,152	45,921	49,887	54,254	58,589
Functional Total	82,570	78,780	81,510	88,634	95,517	101,576
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,193	28,405	26,125	28,750	31,581	34,317
<i>OASAS</i>	10,246	12,170	7,528	8,418	9,308	10,132
<i>OASAS - Other</i>	14,947	16,235	18,597	20,332	22,273	24,185
Mental Health, Office of	483,330	559,405	523,167	568,597	619,688	682,546
<i>OMH</i>	166,745	198,061	152,065	150,295	158,854	184,777
<i>OMH - Other</i>	316,585	361,344	371,102	418,302	460,834	497,769
People with Developmental Disabilities, Office for	670,738	579,990	539,556	586,516	635,822	692,031
<i>OPWDD - Other</i>	670,738	579,990	539,556	586,516	635,822	692,031
Quality of Care and Advocacy for Persons With Disabilities, Commission on	932	1,017	1,159	1,278	1,382	1,482
Functional Total	1,180,193	1,168,817	1,090,007	1,185,141	1,288,473	1,410,376
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,000	2,000	0	0	0	0
Criminal Justice Services, Division of	83	86	201	214	221	236
Homeland Security and Emergency Services, Division of	98	196	701	705	705	705
Indigent Legal Services, Office of	0	0	364	448	515	586
Military and Naval Affairs, Division of	811	583	250	250	250	250
State Police, Division of	19,893	20,735	4,044	4,257	4,243	4,230
Statewide Financial System	0	1	0	0	0	0
Statewide Wireless Network	1,260	598	0	0	0	0
Victim Services, Office of	1,122	888	1,236	1,506	1,644	1,644
Functional Total	25,267	25,087	6,796	7,380	7,578	7,651
HIGHER EDUCATION						
City University of New York	4,236	6,400	6,541	6,685	6,833	6,833
Higher Education - Miscellaneous	108	115	135	135	135	135
Higher Education Services Corporation, New York State	15,197	14,829	13,416	16,421	17,785	19,221
State University Construction Fund	4,091	4,477	7,593	8,390	9,006	9,650
State University of New York	464,162	528,040	498,760	500,929	516,442	548,491
Functional Total	487,794	553,861	526,445	532,560	550,201	584,330
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Education, Department of	31,924	29,406	30,446	33,829	36,546	39,506
<i>All Other</i>	31,924	29,406	30,446	33,829	36,546	39,506
Functional Total	31,924	29,406	30,446	33,829	36,546	39,506
GENERAL GOVERNMENT						
Budget, Division of the	1,554	1,744	2,194	2,438	2,706	3,011
Civil Service, Department of	139	219	202	215	235	255
Deferred Compensation Board	152	162	176	191	208	225
General Services, Office of	1,663	1,290	2,144	2,136	2,239	2,398
Lottery, Division of the	9,264	9,955	11,465	13,055	13,879	13,978
Real Property Services, Office of	3,420	0	0	0	0	0
State, Department of	8,035	9,186	7,955	8,884	9,613	10,348
Taxation and Finance, Department of	18,566	23,256	18,858	21,522	22,820	23,047
Technology, Office for	0	0	500	500	500	500
Functional Total	42,793	45,812	43,494	48,941	52,200	53,762
ELECTED OFFICIALS						
Audit and Control, Department of	1,200	1,382	2,037	3,940	1,600	1,600
Judiciary	514,696	551,580	609,698	650,584	709,790	777,958
Law, Department of	10,411	7,884	9,971	11,254	11,254	11,254
Functional Total	526,307	560,846	621,706	665,778	722,644	790,812
ALL OTHER CATEGORIES						
General State Charges	2,915,751	3,426,460	3,907,105	4,169,810	4,561,858	4,658,967
Miscellaneous	3,823	6,898	15,752	95,873	5,975	6,099
Functional Total	2,919,574	3,433,358	3,922,857	4,265,683	4,567,833	4,665,066
TOTAL GENERAL STATE CHARGES SPENDING	5,500,550	6,101,495	6,534,338	7,049,499	7,551,311	7,889,830

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,586	5,205	13,050	26,947	8,330	3,500
Economic Development Capital	9,400	(427)	2,500	2,500	2,500	0
Economic Development, Department of	18,470	7,991	30,619	29,381	27,645	14,859
Empire State Development Corporation	428,527	4,810	5,435	52,565	62,602	85,003
Energy Research and Development Authority	13,500	16,403	16,610	14,000	14,790	14,790
Regional Economic Development Program	0	0	2,500	2,500	2,500	1,500
Strategic Investment Program	8,827	3,561	4,000	5,000	5,000	5,000
Functional Total	482,310	37,543	74,714	132,893	123,367	124,652
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	314,426	298,621	369,626	335,506	299,206	291,813
Environmental Facilities Corporation	292	356	343	343	343	343
Hudson River Park Trust	11,977	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	81,047	57,037	40,998	23,979	23,979	23,979
Functional Total	407,742	371,230	410,967	359,828	323,528	316,135
TRANSPORTATION						
Motor Vehicles, Department of	208,105	204,814	184,921	189,838	194,761	198,486
Thruway Authority, New York State	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	1,980,244	1,986,499	2,033,682	1,991,526	1,981,909	1,985,167
Functional Total	2,188,349	2,191,313	2,220,403	2,183,164	2,178,470	2,185,453
HEALTH						
Health, Department of	7,840	7,468	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,840	7,468	13,500	13,500	13,500	13,500
Functional Total	7,840	7,468	13,500	13,500	13,500	13,500
SOCIAL WELFARE						
Children and Family Services, Office of	27,677	18,245	20,900	20,900	20,900	20,900
<i>OCFS</i>	27,677	18,245	20,900	20,900	20,900	20,900
Temporary and Disability Assistance, Office of	30,390	0	0	0	0	0
<i>All Other</i>	30,390	0	0	0	0	0
Functional Total	58,067	18,245	20,900	20,900	20,900	20,900
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,323	1,678	8,968	9,839	13,196	13,083
<i>OASAS</i>	1,323	1,678	8,968	9,839	13,196	13,083
Mental Health, Office of	68,639	69,686	77,570	77,570	77,570	77,570
<i>OMH</i>	68,639	69,686	77,570	77,570	77,570	77,570
People with Developmental Disabilities, Office for	30,267	23,618	43,590	45,220	39,220	39,220
<i>OPWDD</i>	30,267	23,618	43,590	45,220	39,220	39,220
Functional Total	100,229	94,982	130,128	132,629	129,986	129,873
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	263,964	216,232	244,250	253,265	259,675	265,664
Homeland Security - Miscellaneous	756	496	0	0	0	0
Homeland Security and Emergency Services, Division of	0	2,416	17,000	8,000	6,000	7,000
Military and Naval Affairs, Division of	10,140	6,383	9,790	9,679	9,634	9,645
State Police, Division of	14,074	14,597	38,227	47,543	17,874	11,065
Functional Total	288,934	240,124	309,267	318,487	293,183	293,374
HIGHER EDUCATION						
City University of New York	9,723	9,601	34,705	36,144	36,144	26,810
Higher Education Facilities Capital Matching Grants Program	(329)	(432)	10,000	9,000	0	0
State University of New York	811,326	852,320	1,031,825	1,069,239	1,047,392	1,023,476
Functional Total	820,720	861,489	1,076,530	1,114,383	1,083,536	1,050,286
EDUCATION						
Education, Department of	10,258	4,631	23,908	31,404	29,884	23,400
<i>All Other</i>	10,258	4,631	23,908	31,404	29,884	23,400
Functional Total	10,258	4,631	23,908	31,404	29,884	23,400
GENERAL GOVERNMENT						
General Services, Office of	53,044	61,188	78,847	62,613	59,309	69,883
State, Department of	(291)	1,373	2,735	(15)	(15)	(15)
Technology, Office for	0	2,883	216	0	0	0
Functional Total	52,753	65,444	81,798	62,598	59,294	69,868
ELECTED OFFICIALS						
Judiciary	8,089	9,640	4,500	4,000	8,000	1,580
Functional Total	8,089	9,640	4,500	4,000	8,000	1,580

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ALL OTHER CATEGORIES						
Miscellaneous	90,381	70,536	(171,000)	101,000	100,000	100,000
Functional Total	<u>90,381</u>	<u>70,536</u>	<u>(171,000)</u>	<u>101,000</u>	<u>100,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>4,515,672</u>	<u>3,972,645</u>	<u>4,195,615</u>	<u>4,474,786</u>	<u>4,363,648</u>	<u>4,329,021</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	25	0	0	0	0	0
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	375,259	217,007	216,952	216,952	216,952	216,952
Public Service Department	0	0	500	500	500	500
Functional Total	383,941	226,164	226,686	226,686	226,686	226,686
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	399	410	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,017	5,099	4,850	4,850	4,850	4,850
Functional Total	5,416	5,509	4,850	4,850	4,850	4,850
TRANSPORTATION						
Transportation, Department of	3,759,338	4,156,790	4,125,935	4,226,512	4,316,212	4,410,212
Functional Total	3,759,338	4,156,790	4,125,935	4,226,512	4,316,212	4,410,212
HEALTH						
Aging, Office for the	987	7	0	0	0	0
Health, Department of	5,992,097	5,686,816	6,295,794	6,709,918	7,015,669	7,264,735
<i>Medical Assistance</i>	4,672,049	4,437,075	5,015,782	5,437,206	5,521,431	5,734,048
<i>Public Health</i>	1,320,048	1,249,741	1,280,012	1,272,712	1,494,238	1,530,687
Functional Total	5,993,084	5,686,823	6,295,794	6,709,918	7,015,669	7,264,735
SOCIAL WELFARE						
Children and Family Services, Office of	4,028	3,966	1,600	850	850	850
<i>OCFS</i>	4,028	3,966	1,600	850	850	850
Housing and Community Renewal, Division of	514	712	852	852	852	852
Labor, Department of	38	123	100	100	100	100
Temporary and Disability Assistance, Office of	690	6,140	9,700	9,700	0	0
<i>All Other</i>	690	6,140	9,700	9,700	0	0
Functional Total	5,270	10,941	12,252	11,502	1,802	1,802
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	159,690	153,359	281,865	301,162	318,064	334,684
<i>OASAS</i>	159,690	153,359	281,865	301,162	318,064	334,684
Mental Health, Office of	529,248	567,032	695,817	814,358	898,805	962,549
<i>OMH</i>	529,248	567,032	695,817	814,358	898,805	962,549
People with Developmental Disabilities, Office for	660,612	617,736	740,916	761,926	792,648	820,063
<i>OPWDD</i>	358,119	378,577	463,660	475,698	507,788	526,252
<i>OPWDD - Other</i>	302,493	239,159	277,256	286,228	284,860	293,811
Quality of Care and Advocacy for Persons With Disabilities, Commission on	264	394	450	450	450	450
Functional Total	1,349,814	1,338,521	1,719,048	1,877,896	2,009,967	2,117,746
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	24,231	22,628	39,681	39,681	40,681	40,681
Homeland Security and Emergency Services, Division of	0	0	45,598	61,298	81,998	81,998
Indigent Legal Services, Office of	70,089	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	2,967	2,934	0	0	0	0
Victim Services, Office of	27,624	29,185	28,182	28,182	28,182	28,182
Functional Total	124,911	120,516	190,461	206,161	227,861	227,861
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	22,202	22,200	16,000	16,000	16,000	0
Functional Total	22,202	22,200	16,000	16,000	16,000	0
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,254,217	6,391,597	6,187,943	6,382,703	6,639,233	6,841,618
<i>School Aid</i>	2,825,995	3,142,995	2,884,000	3,053,000	3,121,800	3,142,000
<i>STAR Property Tax Relief</i>	3,413,542	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
<i>All Other</i>	14,680	14,588	11,423	7,636	7,058	6,892
Functional Total	6,254,217	6,391,597	6,188,041	6,382,801	6,639,331	6,841,716
GENERAL GOVERNMENT						
State, Department of	13,822	4,813	539	539	539	539
Functional Total	13,822	4,813	539	539	539	539
ELECTED OFFICIALS						
Judiciary	114,249	114,914	104,200	106,000	107,000	109,000
Functional Total	114,249	114,914	104,200	106,000	107,000	109,000

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ALL OTHER CATEGORIES						
Miscellaneous	63,185	10,321	(39,726)	44,319	45,289	45,289
Functional Total	<u>63,185</u>	<u>10,321</u>	<u>(39,726)</u>	<u>44,319</u>	<u>45,289</u>	<u>45,289</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>18,089,449</u></u>	<u><u>18,089,109</u></u>	<u><u>18,844,080</u></u>	<u><u>19,813,184</u></u>	<u><u>20,611,206</u></u>	<u><u>21,250,436</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	10,973	9,887	10,326	10,479	10,688	10,902
Alcoholic Beverage Control, Division of	8,838	8,512	7,822	7,663	7,781	7,781
Economic Development, Department of	6	43	63	63	63	63
Energy Research and Development Authority	3,968	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	155,388	151,988	136,393	136,391	136,391	136,391
Public Service Department	43,269	41,801	43,506	44,750	44,995	45,895
Racing and Wagering Board, State	12,538	12,062	9,437	9,500	9,563	9,591
Functional Total	234,980	227,187	210,912	212,278	212,982	214,124
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	96,456	97,997	88,606	89,763	87,888	87,888
Environmental Facilities Corporation	6,676	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	29,096	26,130	24,258	24,259	24,894	25,147
Functional Total	132,228	130,187	119,049	120,251	119,033	119,308
TRANSPORTATION						
Motor Vehicles, Department of	52,901	51,578	48,194	49,004	49,437	49,877
Transportation, Department of	11,415	10,853	10,006	10,107	10,208	10,311
Functional Total	64,316	62,431	58,200	59,111	59,645	60,188
HEALTH						
Health, Department of	223,305	228,838	232,390	234,890	239,635	243,078
<i>Public Health</i>	223,305	228,838	232,390	234,890	239,635	243,078
Medicaid Inspector General, Office of the	3,922	3,888	3,700	3,700	3,700	3,700
Stem Cell and Innovation	640	534	0	0	0	0
Functional Total	227,867	233,260	236,090	238,590	243,335	246,778
SOCIAL WELFARE						
Children and Family Services, Office of	31,986	29,104	32,107	32,387	32,428	32,756
<i>OCFS</i>	31,986	29,104	32,107	32,387	32,428	32,756
Housing and Community Renewal, Division of	35,266	34,795	32,699	31,411	31,670	32,032
Labor, Department of	32,633	31,852	31,103	31,443	31,762	32,080
Temporary and Disability Assistance, Office of	57,092	48,211	52,028	52,182	52,357	52,395
<i>All Other</i>	57,092	48,211	52,028	52,182	52,357	52,395
Welfare Inspector General, Office of	193	108	408	408	414	418
Workers' Compensation Board	90,768	89,052	94,238	94,881	95,719	96,658
Functional Total	247,938	233,122	242,583	242,712	244,350	246,339
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	58,793	59,596	55,864	56,673	57,334	57,992
<i>OASAS</i>	25,233	25,103	17,354	17,899	18,129	18,350
<i>OASAS - Other</i>	33,560	34,493	38,510	38,774	39,205	39,642
Mental Health, Office of	1,100,079	1,145,091	1,091,978	1,102,788	1,118,975	1,144,635
<i>OMH</i>	382,310	405,609	249,748	288,086	290,385	308,825
<i>OMH - Other</i>	717,769	739,482	842,230	814,702	828,590	835,810
People with Developmental Disabilities, Office for	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
<i>OPWDD - Other</i>	1,135,886	1,168,196	1,126,187	1,137,540	1,148,107	1,160,542
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,212	2,202	2,374	2,374	2,398	2,405
Functional Total	2,296,970	2,375,085	2,276,403	2,299,375	2,326,814	2,365,574
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	0	0	500	0	0	0
Criminal Justice Services, Division of	415	314	376	378	380	382
Homeland Security and Emergency Services, Division of	423	334	1,638	1,638	1,638	1,638
Indigent Legal Services, Office of	0	8	765	780	795	810
Military and Naval Affairs, Division of	2,481	1,570	935	935	935	935
State Police, Division of	157,151	161,378	157,632	157,594	157,664	157,835
Statewide Financial System	2,451	3,977	9,139	13,819	13,819	13,819
Statewide Wireless Network	2,820	8,076	0	0	0	0
Victim Services, Office of	3,901	3,761	3,778	3,879	3,852	3,887
Functional Total	169,642	179,418	174,763	179,023	179,083	179,306
HIGHER EDUCATION						
City University of New York	73,896	85,732	96,651	102,662	104,250	104,250
Higher Education - Miscellaneous	238	221	175	175	175	175
Higher Education Services Corporation, New York State	36,260	32,756	32,134	31,445	31,760	32,077
State University Construction Fund	12,074	12,562	15,544	15,583	15,622	15,661
State University of New York	2,393,560	2,478,960	2,585,005	2,715,448	2,816,524	2,939,200
Functional Total	2,516,028	2,610,231	2,729,509	2,865,313	2,968,331	3,091,363

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
EDUCATION						
Education, Department of	68,500	65,658	62,659	63,290	63,953	64,638
<i>All Other</i>	68,500	65,658	62,659	63,290	63,953	64,638
Functional Total	<u>68,500</u>	<u>65,658</u>	<u>62,659</u>	<u>63,290</u>	<u>63,953</u>	<u>64,638</u>
GENERAL GOVERNMENT						
Budget, Division of the	3,746	3,797	4,500	4,635	4,774	4,966
Civil Service, Department of	388	554	421	425	431	436
Deferred Compensation Board	357	344	361	363	367	371
General Services, Office of	3,254	3,364	3,362	3,504	3,630	3,665
Lottery, Division of the	21,798	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	100	245	275	352	159	0
Real Property Services, Office of	3,675	0	0	0	0	0
State, Department of	19,720	18,975	16,384	16,553	16,716	16,776
Taxation and Finance, Department of	38,914	27,496	40,976	41,015	41,408	41,822
Technology, Office for	0	0	200	200	200	210
Functional Total	<u>91,952</u>	<u>75,832</u>	<u>91,102</u>	<u>91,670</u>	<u>92,551</u>	<u>93,272</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,886	2,936	7,782	7,813	7,840	7,867
Judiciary	59,862	58,078	61,600	61,620	64,498	65,381
Law, Department of	22,156	15,436	25,202	25,381	25,623	25,782
Functional Total	<u>84,904</u>	<u>76,450</u>	<u>94,584</u>	<u>94,814</u>	<u>97,961</u>	<u>99,030</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,354	2,192	(192,315)	(192,271)	(192,226)	(192,146)
Functional Total	<u>2,354</u>	<u>2,192</u>	<u>(192,315)</u>	<u>(192,271)</u>	<u>(192,226)</u>	<u>(192,146)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>6,137,679</u></u>	<u><u>6,271,053</u></u>	<u><u>6,103,539</u></u>	<u><u>6,274,156</u></u>	<u><u>6,415,812</u></u>	<u><u>6,587,774</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	20,995	20,236	18,289	18,349	18,409	18,824
Alcoholic Beverage Control, Division of	4,037	4,069	6,182	5,890	6,225	6,225
Economic Development, Department of	463	573	691	691	691	691
Energy Research and Development Authority	1,273	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	66,466	60,184	70,276	70,276	70,276	70,276
Olympic Regional Development Authority	38	63	326	326	326	326
Public Service Department	10,869	10,976	10,797	11,080	11,372	11,677
Racing and Wagering Board, State	4,822	5,994	5,474	5,507	5,540	5,572
Functional Total	108,963	103,945	113,850	113,973	114,734	115,486
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	65,280	53,955	47,350	44,029	44,029	44,029
Environmental Facilities Corporation	1,058	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	30,869	29,927	36,574	26,575	26,575	27,210
Functional Total	97,207	84,944	84,699	71,386	71,391	72,031
TRANSPORTATION						
Motor Vehicles, Department of	18,938	16,944	20,909	20,636	20,929	21,286
Transportation, Department of	22,600	20,747	25,847	26,191	26,571	26,942
Functional Total	41,538	37,691	46,756	46,827	47,500	48,228
HEALTH						
Aging, Office for the	0	1	0	1	1	1
Health, Department of	169,106	152,885	159,767	150,943	157,175	160,677
<i>Public Health</i>	169,106	152,885	159,767	150,943	157,175	160,677
Medicaid Inspector General, Office of the	8	7	0	0	0	0
Stem Cell and Innovation	16,608	36,437	45,000	61,373	63,673	50,000
Functional Total	185,722	189,330	204,767	212,317	220,849	210,678
SOCIAL WELFARE						
Children and Family Services, Office of	11,912	26,892	34,183	35,083	35,181	36,024
<i>OCFS</i>	11,912	26,892	34,183	35,083	35,181	36,024
Housing and Community Renewal, Division of	5,582	6,807	5,072	5,134	5,259	5,380
Labor, Department of	14,441	12,740	15,068	15,446	15,812	16,182
Prevention of Domestic Violence, Office for	0	28	2	2	2	2
Temporary and Disability Assistance, Office of	75,996	60,268	65,608	65,791	65,976	66,132
<i>All Other</i>	75,996	60,268	65,608	65,791	65,976	66,132
Welfare Inspector General, Office of	129	660	476	485	485	496
Workers' Compensation Board	47,152	61,798	65,064	60,333	61,990	63,552
Functional Total	155,212	169,193	185,473	182,274	184,705	187,768
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,333	21,222	18,409	19,058	19,553	20,040
<i>OASAS</i>	10,225	9,351	9,722	9,974	10,229	10,482
<i>OASAS - Other</i>	11,108	11,871	8,687	9,084	9,324	9,558
Mental Health, Office of	311,378	310,512	294,095	312,603	322,704	339,984
<i>OMH</i>	72,881	76,696	64,882	82,562	84,857	84,618
<i>OMH - Other</i>	238,497	233,816	229,213	230,041	237,847	255,366
Mental Hygiene, Department of	175	345	0	0	0	0
People with Developmental Disabilities, Office for	385,290	373,896	367,620	379,207	390,641	400,832
<i>OPWDD</i>	(5,546)	(6,620)	176	176	181	181
<i>OPWDD - Other</i>	390,836	380,516	367,444	379,031	390,460	400,651
Quality of Care and Advocacy for Persons With Disabilities, Commission on	216	166	270	271	285	310
Functional Total	718,392	706,141	680,394	711,139	733,183	761,166
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,247	1,055	1,219	1,169	1,119	1,119
Criminal Justice Services, Division of	10,551	6,901	18,960	18,713	15,216	15,220
Homeland Security and Emergency Services, Division of	3,314	2,701	37,576	43,202	30,810	26,950
Indigent Legal Services, Office of	25,000	25,016	371	400	430	461
Investigation, Temporary State Commission of	4	0	0	0	0	0
Military and Naval Affairs, Division of	5,712	5,600	6,033	6,036	6,036	6,036
State Police, Division of	35,195	31,745	79,395	29,442	29,998	29,853
Statewide Financial System	3,481	5,092	31,359	41,665	41,765	41,765
Statewide Wireless Network	2,592	19,579	0	0	0	0
Victim Services, Office of	677	760	1,050	1,079	1,105	1,129
Functional Total	87,773	98,449	175,963	141,706	126,479	122,533
HIGHER EDUCATION						
City University of New York	28,900	31,367	40,096	44,718	45,640	45,640
Higher Education - Miscellaneous	32	34	45	45	45	45
Higher Education Services Corporation, New York State	29,940	30,778	42,742	43,804	48,032	49,320

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
State University Construction Fund	2,430	1,876	3,035	3,101	3,226	3,298
State University of New York	1,347,215	1,371,819	1,601,830	1,656,724	1,733,706	1,776,760
Functional Total	1,408,517	1,435,874	1,687,748	1,748,392	1,830,649	1,875,063
EDUCATION						
Education, Department of	29,664	25,468	31,392	27,099	26,255	26,621
<i>All Other</i>	29,664	25,468	31,392	27,099	26,255	26,621
Functional Total	29,664	25,468	31,392	27,099	26,255	26,621
GENERAL GOVERNMENT						
Budget, Division of the	3,945	5,356	9,016	9,264	9,407	9,920
Civil Service, Department of	600	731	1,199	1,229	1,262	1,292
Deferred Compensation Board	61	62	185	189	194	199
Elections, State Board of	3,867	90	0	0	0	0
Employee Relations, Office of	0	0	54	56	58	58
General Services, Office of	4,340	4,519	6,625	4,425	4,557	4,713
Inspector General, Office of the	27	70	87	87	87	87
Labor Management Committees	0	92	300	300	300	300
Lottery, Division of the	154,715	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	48	83	300	39	43	43
Real Property Services, Office of	921	0	0	0	0	0
State, Department of	8,969	6,021	10,639	10,638	10,821	11,036
Taxation and Finance, Department of	25,576	24,397	28,598	28,601	29,302	29,982
Technology, Office for	0	0	1,086	1,086	86	100
Functional Total	203,069	173,319	198,791	195,516	198,916	203,946
ELECTED OFFICIALS						
Audit and Control, Department of	290	313	5,096	3,273	5,754	5,764
Judiciary	15,918	18,022	46,700	42,800	43,000	44,600
Law, Department of	31,545	35,828	35,391	35,765	37,102	37,733
Legislature	2,010	796	950	950	950	950
Functional Total	49,763	54,959	88,137	82,788	86,806	89,047
ALL OTHER CATEGORIES						
Miscellaneous	1,159	1,145	(203,358)	(281,372)	(282,963)	(276,259)
Functional Total	1,159	1,145	(203,358)	(281,372)	(282,963)	(276,259)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	3,086,979	3,080,458	3,294,612	3,252,045	3,358,504	3,436,308

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,560	4,749	5,142	5,439	5,955	6,533
Alcoholic Beverage Control, Division of	4,137	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	20	21	28	28	28	28
Energy Research and Development Authority	1,742	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	67,097	66,829	66,928	66,930	66,930	66,930
Public Service Department	21,500	20,299	20,860	23,115	25,939	28,280
Racing and Wagering Board, State	5,206	3,517	2,728	3,054	3,287	3,512
Functional Total	104,262	100,946	101,648	105,200	109,257	112,401
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	36,337	37,838	33,294	32,390	31,390	31,390
Environmental Facilities Corporation	1,874	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,537	2,627	3,685	3,685	3,792	3,830
Functional Total	40,748	42,733	40,101	39,387	38,619	38,752
TRANSPORTATION						
Motor Vehicles, Department of	22,539	27,440	23,830	26,239	28,537	31,222
Transportation, Department of	2,827	403	4,902	5,459	5,903	6,373
Functional Total	25,366	27,843	28,732	31,698	34,440	37,595
HEALTH						
Health, Department of	33,230	33,594	40,596	45,268	48,003	48,003
<i>Public Health</i>	33,230	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of the	94	94	0	0	0	0
Stem Cell and Innovation	428	318	0	0	0	0
Functional Total	33,752	34,006	40,596	45,268	48,003	48,003
SOCIAL WELFARE						
Children and Family Services, Office of	2,237	2,325	2,666	2,666	2,782	2,782
<i>OCFS</i>	2,237	2,325	2,666	2,666	2,782	2,782
Housing and Community Renewal, Division of	15,278	16,197	15,483	16,501	17,530	18,670
Labor, Department of	14,912	15,601	15,917	17,879	19,145	19,734
Temporary and Disability Assistance, Office of	1,229	1,449	1,309	1,480	1,585	1,580
<i>All Other</i>	1,229	1,449	1,309	1,480	1,585	1,580
Welfare Inspector General, Office of	92	56	214	221	221	221
Workers' Compensation Board	48,822	43,152	45,921	49,887	54,254	58,589
Functional Total	82,570	78,780	81,510	88,634	95,517	101,576
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,193	28,405	26,125	28,750	31,581	34,317
<i>OASAS</i>	10,246	12,170	7,528	8,418	9,308	10,132
<i>OASAS - Other</i>	14,947	16,235	18,597	20,332	22,273	24,185
Mental Health, Office of	483,330	559,405	523,167	568,597	619,688	682,546
<i>OMH</i>	166,745	198,061	152,065	150,295	158,854	184,777
<i>OMH - Other</i>	316,585	361,344	371,102	418,302	460,834	497,769
People with Developmental Disabilities, Office for	670,738	579,990	539,556	586,516	635,822	692,031
<i>OPWDD - Other</i>	670,738	579,990	539,556	586,516	635,822	692,031
Quality of Care and Advocacy for Persons With Disabilities, Commission on	932	1,017	1,159	1,278	1,382	1,482
Functional Total	1,180,193	1,168,817	1,090,007	1,185,141	1,288,473	1,410,376
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	83	86	201	214	221	236
Homeland Security and Emergency Services, Division of	98	196	701	705	705	705
Indigent Legal Services, Office of	0	0	364	448	515	586
Military and Naval Affairs, Division of	811	583	250	250	250	250
State Police, Division of	19,893	20,735	4,044	4,257	4,243	4,230
Statewide Financial System	0	1	0	0	0	0
Statewide Wireless Network	1,260	598	0	0	0	0
Victim Services, Office of	1,122	888	1,236	1,506	1,644	1,644
Functional Total	23,267	23,087	6,796	7,380	7,578	7,651
HIGHER EDUCATION						
City University of New York	4,236	6,400	6,541	6,685	6,833	6,833
Higher Education - Miscellaneous	108	115	135	135	135	135
Higher Education Services Corporation, New York State	15,197	14,829	13,416	16,421	17,785	19,221
State University Construction Fund	4,091	4,477	7,593	8,390	9,006	9,650
State University of New York	285,290	319,122	300,705	302,874	318,387	350,436
Functional Total	308,922	344,943	328,390	334,505	352,146	386,275
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
Education, Department of	30,223	27,881	28,536	31,919	34,636	37,596
<i>All Other</i>	30,223	27,881	28,536	31,919	34,636	37,596
Functional Total	30,223	27,881	28,536	31,919	34,636	37,596
GENERAL GOVERNMENT						
Budget, Division of the	1,554	1,744	2,194	2,438	2,706	3,011
Civil Service, Department of	139	219	202	215	235	255
Deferred Compensation Board	152	162	176	191	208	225
General Services, Office of	1,663	1,290	2,144	2,136	2,239	2,398
Lottery, Division of the	9,264	9,955	11,465	13,055	13,879	13,978
Real Property Services, Office of	3,420	0	0	0	0	0
State, Department of	8,035	9,186	7,955	8,884	9,613	10,348
Taxation and Finance, Department of	18,566	23,256	18,858	21,522	22,820	23,047
Technology, Office for	0	0	500	500	500	500
Functional Total	42,793	45,812	43,494	48,941	52,200	53,762
ELECTED OFFICIALS						
Audit and Control, Department of	1,200	1,382	2,037	3,940	1,600	1,600
Judiciary	22,113	9,343	27,198	21,850	20,108	18,308
Law, Department of	10,411	7,884	9,971	11,254	11,254	11,254
Functional Total	33,724	18,609	39,206	37,044	32,962	31,162
ALL OTHER CATEGORIES						
Miscellaneous	945	1,067	1,332	1,453	1,555	1,679
Functional Total	945	1,067	1,332	1,453	1,555	1,679
TOTAL GENERAL STATE CHARGES SPENDING	1,906,765	1,914,524	1,830,348	1,956,570	2,095,386	2,266,828

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>2009-10 Actuals</u>	<u>2010-11 Actuals</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	0	100	100	100	100
Functional Total	<u>0</u>	<u>0</u>	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	1,798	2,034	1,270	1,270	1,270	1,270
Functional Total	<u>1,798</u>	<u>2,034</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>
TRANSPORTATION						
Motor Vehicles, Department of	13,486	15,734	17,800	14,800	14,800	14,800
Transportation, Department of	31,731	40,442	32,103	32,103	32,103	32,103
Functional Total	<u>45,217</u>	<u>56,176</u>	<u>49,903</u>	<u>46,903</u>	<u>46,903</u>	<u>46,903</u>
HEALTH						
Aging, Office for the	105,412	105,584	95,769	95,769	95,769	95,769
Health, Department of	27,600,974	28,861,695	24,666,146	23,355,251	25,840,135	31,392,268
<i>Medical Assistance</i>	26,057,442	27,224,374	22,952,505	21,590,110	24,019,154	29,512,310
<i>Medicaid Administration</i>	424,808	417,899	573,750	596,750	620,650	645,450
<i>Public Health</i>	1,118,724	1,219,422	1,139,891	1,168,391	1,200,331	1,234,508
Functional Total	<u>27,706,386</u>	<u>28,967,279</u>	<u>24,761,915</u>	<u>23,451,020</u>	<u>25,935,904</u>	<u>31,488,037</u>
SOCIAL WELFARE						
Children and Family Services, Office of	1,014,837	1,039,761	997,752	934,800	934,800	934,800
<i>OCFS</i>	1,014,837	1,039,761	997,752	934,800	934,800	934,800
Housing and Community Renewal, Division of	151,413	171,324	205,848	51,337	51,337	51,337
Labor, Department of	260,806	184,558	202,192	192,720	183,247	183,247
Temporary and Disability Assistance, Office of	3,566,771	3,654,062	3,437,159	3,156,072	3,155,500	3,156,098
<i>Welfare Assistance</i>	2,720,980	2,673,849	2,624,909	2,350,822	2,350,250	2,350,848
<i>All Other</i>	845,791	980,213	812,250	805,250	805,250	805,250
Functional Total	<u>4,993,827</u>	<u>5,049,705</u>	<u>4,842,951</u>	<u>4,334,929</u>	<u>4,324,884</u>	<u>4,325,482</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	112,869	146,803	104,970	107,670	107,670	107,670
<i>OASAS</i>	112,869	146,803	104,970	107,670	107,670	107,670
Mental Health, Office of	44,883	81,244	50,138	50,930	50,930	50,930
<i>OMH</i>	44,883	81,244	50,138	50,930	50,930	50,930
People with Developmental Disabilities, Office for	0	4,279	0	0	0	0
<i>OPWDD</i>	0	4,279	0	0	0	0
Functional Total	<u>157,752</u>	<u>232,326</u>	<u>155,108</u>	<u>158,600</u>	<u>158,600</u>	<u>158,600</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections Services, Department of	9	238	0	0	0	0
Criminal Justice Services, Division of	42,016	36,971	37,800	37,939	18,800	18,800
Homeland Security and Emergency Services, Division of	265,657	308,771	308,771	308,771	308,771	308,771
Military and Naval Affairs, Division of	108,610	166	0	0	0	0
Victim Services, Office of	32,228	33,375	30,128	30,128	30,128	30,128
Functional Total	<u>448,520</u>	<u>379,521</u>	<u>376,699</u>	<u>376,838</u>	<u>357,699</u>	<u>357,699</u>
HIGHER EDUCATION						
City University of New York	13,730	32,779	0	0	0	0
Higher Education Services Corporation, New York State	62,312	59,049	0	0	0	0
State University of New York	43,240	89,476	7,941	7,941	7,941	7,941
Functional Total	<u>119,282</u>	<u>181,304</u>	<u>7,941</u>	<u>7,941</u>	<u>7,941</u>	<u>7,941</u>
EDUCATION						
Arts, Council on the	1,150	845	420	420	420	420
Education, Department of	4,046,991	5,904,506	4,722,877	3,666,590	3,577,348	3,533,996
<i>School Aid</i>	3,235,053	4,639,423	3,654,169	2,842,525	2,685,500	2,650,000
<i>Special Education Categorical Programs</i>	760,322	1,235,197	968,657	640,000	680,000	715,000
<i>All Other</i>	51,616	29,886	100,051	184,065	211,848	168,996
Functional Total	<u>4,048,141</u>	<u>5,905,351</u>	<u>4,723,297</u>	<u>3,667,010</u>	<u>3,577,768</u>	<u>3,534,416</u>
GENERAL GOVERNMENT						
Elections, State Board of	2,150	25,231	2,458	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	78,214	105,534	55,457	55,457	55,457	55,457
Functional Total	<u>80,364</u>	<u>130,765</u>	<u>58,165</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
ALL OTHER CATEGORIES						
Miscellaneous	(295,531)	(297,176)	(231,000)	(233,000)	(233,000)	(233,000)
Functional Total	<u>(295,531)</u>	<u>(297,176)</u>	<u>(231,000)</u>	<u>(233,000)</u>	<u>(233,000)</u>	<u>(233,000)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>37,305,756</u>	<u>40,607,285</u>	<u>34,746,349</u>	<u>31,867,318</u>	<u>34,233,776</u>	<u>39,743,155</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,967	2,295	2,155	2,198	2,242	2,287
Public Service Department	1,063	1,488	1,903	1,833	1,718	1,752
Functional Total	3,030	3,783	4,058	4,031	3,960	4,039
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	24,230	24,693	24,069	24,069	24,069	24,069
Parks, Recreation and Historic Preservation, Office of	1,220	1,187	1,010	1,010	1,010	1,020
Functional Total	25,450	25,880	25,079	25,079	25,079	25,089
TRANSPORTATION						
Motor Vehicles, Department of	1,115	1,319	1,440	1,454	1,469	1,484
Transportation, Department of	4,850	4,457	3,886	3,930	3,973	4,017
Functional Total	5,965	5,776	5,326	5,384	5,442	5,501
HEALTH						
Aging, Office for the	6,364	6,682	7,626	7,626	7,626	7,626
Health, Department of	59,697	56,699	55,317	55,317	55,317	55,317
<i>Public Health</i>	59,697	56,699	55,317	55,317	55,317	55,317
Medicaid Inspector General, Office of the	20,046	20,811	20,123	20,563	21,013	21,473
Functional Total	86,107	84,192	83,066	83,506	83,956	84,416
SOCIAL WELFARE						
Children and Family Services, Office of	26,430	27,653	29,565	29,565	27,526	27,802
<i>OCFS</i>	26,430	27,653	29,565	29,565	27,526	27,802
Housing and Community Renewal, Division of	7,939	8,658	7,156	5,031	5,088	5,146
Human Rights, Division of	6,846	1,754	5,038	5,069	5,069	5,069
Labor, Department of	202,265	217,095	228,756	224,081	215,661	215,661
National and Community Service	374	357	354	354	358	362
Prevention of Domestic Violence, Office for	40	(10)	0	0	0	0
Temporary and Disability Assistance, Office of	93,933	104,177	99,423	100,266	101,288	102,310
<i>All Other</i>	93,933	104,177	99,423	100,266	101,288	102,310
Functional Total	337,827	359,684	370,292	364,366	354,990	356,350
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	5,798	3,951	6,072	5,112	5,161	5,213
<i>OASAS</i>	5,798	3,951	6,072	5,112	5,161	5,213
Developmental Disabilities Planning Council	1,224	1,190	1,197	1,197	1,209	1,209
Mental Health, Office of	977	107	578	578	584	584
<i>OMH</i>	977	107	578	578	584	584
People with Developmental Disabilities, Office for	81	42	116	116	116	116
<i>OPWDD</i>	81	42	116	116	116	116
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,556	1,582	1,673	1,673	1,690	1,819
Functional Total	9,636	6,872	9,636	8,676	8,760	8,941
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	14	0	0	0	0	0
Correctional Services, Department of	19,570	28,855	25,272	25,948	26,645	26,884
Criminal Justice Services, Division of	8,875	10,421	10,500	10,550	7,800	7,800
Homeland Security and Emergency Services, Division of	2,477	6,250	6,251	6,251	6,251	6,251
Military and Naval Affairs, Division of	28,219	22,424	22,146	22,146	22,146	22,146
State Police, Division of	4,900	8,065	5,050	5,100	5,150	5,200
Victim Services, Office of	1,231	1,189	1,200	1,200	1,200	1,200
Functional Total	65,286	77,204	70,419	71,195	69,192	69,481
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	235	160	836	836	836	836
State University of New York	9,106	9,319	7,232	7,229	7,229	7,229
Functional Total	9,341	9,479	8,068	8,065	8,065	8,065
EDUCATION						
Education, Department of	87,654	85,866	87,432	88,666	89,668	89,237
<i>All Other</i>	87,654	85,866	87,432	88,666	89,668	89,237
Functional Total	87,654	85,866	87,432	88,666	89,668	89,237
GENERAL GOVERNMENT						
Elections, State Board of	83	169	40	0	0	0
State, Department of	3,788	3,401	3,464	3,508	3,553	3,597
Taxation and Finance, Department of	0	2	60	60	61	62
Veterans' Affairs, Division of	794	662	935	944	953	953
Functional Total	4,665	4,234	4,499	4,512	4,567	4,612

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ELECTED OFFICIALS						
Judiciary	2,047	1,855	0	0	0	0
Law, Department of	19,628	17,526	17,719	17,879	18,094	18,094
Functional Total	<u>21,675</u>	<u>19,381</u>	<u>17,719</u>	<u>17,879</u>	<u>18,094</u>	<u>18,094</u>
 TOTAL PERSONAL SERVICE SPENDING	 <u>656,636</u>	 <u>682,351</u>	 <u>685,594</u>	 <u>681,359</u>	 <u>671,773</u>	 <u>673,825</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,379	9,890	9,537	9,539	9,540	9,769
Economic Development, Department of	0	0	245	245	245	245
Financial Services, Department of	0	102	0	0	0	0
Public Service Department	128	138	406	367	344	353
Functional Total	8,507	10,130	10,188	10,151	10,129	10,367
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	139	81	350	350	350	350
Environmental Conservation, Department of	19,050	22,041	15,556	15,556	15,556	15,556
Parks, Recreation and Historic Preservation, Office of	2,090	2,028	1,085	1,085	1,085	1,110
Functional Total	21,279	24,150	16,991	16,991	16,991	17,016
TRANSPORTATION						
Motor Vehicles, Department of	2,628	1,975	2,532	2,582	2,632	2,694
Transportation, Department of	2,855	2,463	2,927	2,999	3,077	3,160
Functional Total	5,483	4,438	5,459	5,581	5,709	5,854
HEALTH						
Aging, Office for the	1,426	1,469	1,120	1,120	1,120	1,120
Health, Department of	119,370	145,799	128,321	128,321	128,321	128,321
<i>Public Health</i>	119,370	145,799	128,321	128,321	128,321	128,321
Medicaid Inspector General, Office of the	9,040	9,043	12,329	12,660	13,021	13,403
Functional Total	129,836	156,311	141,770	142,101	142,462	142,844
SOCIAL WELFARE						
Children and Family Services, Office of	60,116	55,455	64,406	64,406	64,406	65,934
<i>OCFS</i>	60,116	55,455	64,406	64,406	64,406	65,934
Housing and Community Renewal, Division of	946	1,810	3,030	2,227	2,305	2,381
Human Rights, Division of	2,073	2,173	1,329	1,341	1,341	1,341
Labor, Department of	110,435	144,924	113,617	111,852	108,146	108,146
National and Community Service	16,129	23,993	13,646	13,646	13,646	13,860
Temporary and Disability Assistance, Office of	57,806	57,968	74,598	74,756	74,952	75,137
<i>All Other</i>	57,806	57,968	74,598	74,756	74,952	75,137
Workers' Compensation Board	3,393	4,707	3,532	3,532	3,624	3,624
Functional Total	250,898	291,030	274,158	271,760	268,420	270,423
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,585	1,378	1,862	1,781	1,782	1,823
<i>OASAS</i>	1,585	1,378	1,862	1,781	1,782	1,823
Developmental Disabilities Planning Council	1,924	1,704	2,392	2,301	2,230	2,230
Mental Health, Office of	286	464	150	150	154	154
<i>OMH</i>	286	464	150	150	154	154
People with Developmental Disabilities, Office for	34,960	8,264	53,340	33,490	34,361	34,361
<i>OPWDD</i>	34,960	8,264	53,340	33,490	34,361	34,361
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,542	4,238	4,489	4,528	4,664	4,686
Functional Total	43,297	16,048	62,233	42,250	43,191	43,254
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	7	0	0	0	0	0
Correctional Services, Department of	1,019	790	1,210	1,242	1,277	1,311
Criminal Justice Services, Division of	9,844	8,431	8,552	8,752	6,502	6,502
Homeland Security and Emergency Services, Division of	1,602	6,812	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	15,494	13,083	12,254	12,254	12,254	12,254
State Police, Division of	8,486	14,358	2,500	2,500	2,500	2,500
Victim Services, Office of	415	364	502	502	502	502
Functional Total	36,867	43,838	31,830	32,062	29,847	29,881
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	3,855	7,801	11,396	11,396	11,396	11,396
State University of New York	266,424	309,880	227,631	227,631	227,631	227,631
Functional Total	270,279	317,681	239,027	239,027	239,027	239,027
EDUCATION						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	85,293	65,439	105,021	175,749	145,596	135,259
<i>All Other</i>	85,293	65,439	105,021	175,749	145,596	135,259
Functional Total	85,293	65,439	105,121	175,849	145,696	135,359
GENERAL GOVERNMENT						
Elections, State Board of	38,268	62,087	46,087	0	0	0
General Services, Office of	8,021	7,277	4,987	4,987	4,987	4,987

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
State, Department of	957	1,043	3,811	3,898	3,988	4,080
Taxation and Finance, Department of	656	140	212	212	217	222
Technology, Office for	0	4,354	0	0	0	0
Veterans' Affairs, Division of	215	206	288	294	302	302
Functional Total	<u>48,117</u>	<u>75,107</u>	<u>55,385</u>	<u>9,391</u>	<u>9,494</u>	<u>9,591</u>
ELECTED OFFICIALS						
Audit and Control, Department of	(19)	0	0	0	0	0
Judiciary	3,772	3,918	7,500	7,500	7,500	7,500
Law, Department of	7,156	5,647	6,126	6,209	6,428	6,428
Functional Total	<u>10,909</u>	<u>9,565</u>	<u>13,626</u>	<u>13,709</u>	<u>13,928</u>	<u>13,928</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>910,765</u></u>	<u><u>1,013,737</u></u>	<u><u>955,788</u></u>	<u><u>958,872</u></u>	<u><u>924,894</u></u>	<u><u>917,544</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	843	1,000	929	1,148	1,256	1,370
Public Service Department	484	586	850	972	962	1,050
Functional Total	1,327	1,586	1,779	2,120	2,218	2,420
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	10,003	9,544	9,811	9,811	9,811	9,811
Parks, Recreation and Historic Preservation, Office of	483	92	508	508	508	611
Functional Total	10,486	9,636	10,319	10,319	10,319	10,422
TRANSPORTATION						
Motor Vehicles, Department of	463	518	623	739	802	872
Transportation, Department of	2,481	2,116	1,666	2,079	2,267	2,464
Functional Total	2,944	2,634	2,289	2,818	3,069	3,336
HEALTH						
Aging, Office for the	0	0	253	253	253	253
Health, Department of	21,195	26,855	23,006	23,006	23,006	23,006
<i>Public Health</i>	21,195	26,855	23,006	23,006	23,006	23,006
Medicaid Inspector General, Office of the	8,207	8,905	10,738	12,424	13,446	13,741
Functional Total	29,402	35,760	33,997	35,683	36,705	37,000
SOCIAL WELFARE						
Children and Family Services, Office of	9,004	9,890	9,913	9,913	8,909	8,909
<i>OCFS</i>	9,004	9,890	9,913	9,913	8,909	8,909
Housing and Community Renewal, Division of	3,035	3,652	3,283	2,689	2,937	3,199
Human Rights, Division of	650	1,047	2,530	2,912	3,066	3,066
Labor, Department of	79,129	91,806	122,036	135,359	137,970	137,970
Temporary and Disability Assistance, Office of	37,403	46,002	52,120	52,622	53,538	54,068
<i>All Other</i>	37,403	46,002	52,120	52,622	53,538	54,068
Functional Total	129,221	152,397	189,882	203,495	206,420	207,212
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	14	2,595	2,640	2,821	3,050
<i>OASAS</i>	0	14	2,595	2,640	2,821	3,050
Developmental Disabilities Planning Council	249	0	611	702	761	761
Mental Health, Office of	391	505	249	302	327	350
<i>OMH</i>	391	505	249	302	327	350
People with Developmental Disabilities, Office for	57	19	62	70	74	74
<i>OPWDD</i>	57	19	62	70	74	74
Quality of Care and Advocacy for Persons With Disabilities, Commission on	620	675	764	927	1,003	1,150
Functional Total	1,317	1,213	4,281	4,641	4,986	5,385
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	11	0	0	0	0	0
Correctional Services, Department of	858	757	1,415	1,415	1,415	1,415
Criminal Justice Services, Division of	87	166	200	200	200	200
Homeland Security and Emergency Services, Division of	1,353	2,916	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	7,425	7,645	6,499	6,499	6,499	6,499
State Police, Division of	1,070	594	0	0	0	0
Victim Services, Office of	0	0	326	326	326	326
Functional Total	10,804	12,078	11,356	11,356	11,356	11,356
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	98	56	369	369	369	369
State University of New York	68	76	53	51	51	51
Functional Total	166	132	422	420	420	420
EDUCATION						
Education, Department of	35,027	34,830	37,089	49,586	50,661	54,632
<i>All Other</i>	35,027	34,830	37,089	49,586	50,661	54,632
Functional Total	35,027	34,830	37,089	49,586	50,661	54,632
GENERAL GOVERNMENT						
Elections, State Board of	6	0	115	0	0	0
State, Department of	2,608	1,100	1,910	2,313	2,481	2,655
Taxation and Finance, Department of	0	0	30	34	37	37
Veterans' Affairs, Division of	307	431	470	542	576	576
Functional Total	2,921	1,531	2,525	2,889	3,094	3,268

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	2009-10 Actuals	2010-11 Actuals	2011-12 Projected	2012-13 Projected	2013-14 Projected	2014-15 Projected
ELECTED OFFICIALS						
Judiciary	91	19	0	0	0	0
Law, Department of	9,346	7,778	8,090	8,948	8,948	8,948
Functional Total	<u>9,437</u>	<u>7,797</u>	<u>8,090</u>	<u>8,948</u>	<u>8,948</u>	<u>8,948</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>233,052</u>	<u>259,594</u>	<u>302,029</u>	<u>332,275</u>	<u>338,196</u>	<u>344,399</u>

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>
RBTF - Dedicated PIT in excess of Debt Service			7,625,216	8,055,820	7,800,190	8,309,297	8,673,033
LGAC - Dedicated Sales Tax in excess of Debt Service			2,350,752	2,393,984	2,449,797	2,592,223	2,723,627
CWCA - Real Estate Transfer Tax in excess of Debt Service			348,120	394,617	471,200	556,909	634,079
Sending Agency	Fund	Account					
Total All Other Transfers			1,769,113	923,269	627,644	585,984	601,956
CQCAPD	020.D1	Disab Tech Asst	-	51	51	51	51
SED OTH	050.01	Tuition Reimb	23	23	23	23	23
SED OTH	050.02	Prop Vocational Sch	297	308	313	317	317
SED OTH	052.01	Local Govt Record	782	890	901	909	909
HLTH OTH	061.99	HCRA Undistributed	267,084	-	-	-	-
ENCON	078.00	Environ Protect	10,000	-	-	-	-
HLTH OTH	169.33	Medicaid Recovery	206	-	-	-	-
DOT/GSC	225.01	Mobility Tax Trust	9,416	-	-	-	-
TADA OTH	265.00	Federal HHS	48,000	41,000	41,000	41,000	41,000
CFS	265.00	Federal HHS	2,500	2,500	2,500	2,500	2,500
OMH	265.00	Federal HHS	-	210	158	-	-
JUDICIAR	290.00	Fed Oper Grant	5	-	-	-	-
ENCON	301.12	EnCon-Seized Assets	50	-	-	-	-
ENCON	301.48	Waste Tire Mgt/Recycle	7,946	5,946	5,946	5,946	5,946
ENCON	301.49	Oil & Gas Acct	100	48	48	48	48
ENCON	301.BJ	Indirect Charge	1,287	523	523	523	523
ENCON	301.S4	Encon Magazine	1,031	131	131	131	131
ENCON	301.XB	Mined Land Reclamation	1,100	1,700	1,700	1,700	1,700
ENCON	303.03	Oil Spill - DEC	-	574	574	574	574
LABOR	305.01	OSH Trng & Educ	1,249	866	866	866	866
LABOR	305.02	OSHA Inspection	2,441	2,188	2,188	2,188	2,188
CFS	307.01	Equip Loan Fund	7	7	7	7	7
ENCON	312.00	Hazardous Waste	19,948	34,750	28,750	28,750	28,750
DOT	313.02	Metro Mass Tran	-	10,008	-	-	-
DMV	314.02	Mobile Source	-	2,865	3,162	3,232	3,263
DOCS	331.FM	Farm Program	1,000	-	-	-	-
OPWDD	339.02	ICF/HCBS Loan	27	-	-	-	-
SPEC REV	339.00	State Special Revenue	-	49,840	-	-	-
HLTH OTH	339.03	S P A R C S	-	885	885	885	885
OPWDD	339.05	OPWDD Provider	-	7,041	6,189	6,189	-
STATE	339.07	Fire Prev/Code	16,503	14,810	14,810	14,810	14,810
DMV	339.09	DMV Seiz Assets	25	-	-	-	-
MENTAL HYGIENE	339.10	Mental Hygiene	647,816	215,514	(42,053)	(19,629)	-
MENTAL HYGIENE	339.13	M H Patient Inc	-	81,268	-	-	-
HLTH OTH	339.26	Cert of Need	1,086	1,086	1,086	1,086	1,086
HLTH OTH	339.28	Retir Community	2	2	2	2	2
LABOR	339.30	DOL Fee Penalty	8,381	8,372	8,372	8,372	8,372
PARKS	339.39	I Love NY Water	19	64	64	64	64
DOT	339.42	Transportation Surplus Property	803	803	803	803	803
HLTH OTH	339.44	Hosp & Nurs Mgt	376	376	376	376	376
DM & NA	339.61	Radiology	1,350	1,350	1,350	1,350	1,350
OVS/DCJS	339.62	Crim Justice Improvement	13,139	22,052	22,052	22,052	22,052
STATE	339.72	NY Fire Academy	247	247	247	247	247
HLTH OTH	339.81	Envir.Lab.Fee	366	183	183	183	183
CFS	339.88	Train Mgmt Evaluation	432	488	488	488	488
HLTH OTH	339.95	Radio Hlth Protect	216	216	216	216	216
SED OTH	339.A4	Teacher Certif	1,029	886	905	915	915
BANKING/DFR	339.A5	Banking Dept	5,464	2,413	2,413	2,413	2,413
PUB SVC	339.A6	Cable TV Acct	101	-	-	-	-
ECON DEV	339.A7	Econ Devel Asst	92	92	92	92	92
DMV	339.AE	Motorcycle Safety	4	5	6	6	6
STATE	339.AG	Business Licens	46,055	40,546	39,960	37,710	37,710
OCFS	339.AY	Mult Agen Train	75	-	-	-	-
HLTH OTH	339.B4	Radon Detct Dev	2	2	2	2	2
INSUR	339.B6	Insurance Dept	14,922	-	-	-	-
WCB	339.B7	Workers Comp Bd	130,623	-	-	-	-

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>
STATE	339.B8	Fire Protection	13	13	13	13	13
LABOR	339.BA	Public Work Enforce	3,019	2,930	2,930	2,930	2,930
RACING	339.BJ	Bell Jar Collection	526	202	202	202	202
TAX	339.BK	Ind & Util Service	288	441	441	441	441
DOB	339.CR	Reven Arrearage	22,900	22,554	22,554	22,554	22,554
DOCS	339.CT	Cell Tower	-	660	-	-	-
OCFS	339.CY	Central Registry	-	4,822	4,822	4,822	4,822
AG&MKTS	339.CZ	Plant Industry	35	35	35	35	35
TAX	339.DC	Investment Services	-	541	541	541	541
ECON DEV	339.DO	DED Marketing	131	131	131	131	131
STATE	339.DQ	Tug Hill Admin	10	10	10	10	10
SED OTH	339.E5	Armory Rental Acct	2,777	2,807	2,879	2,917	2,917
CQCAPD	339.EC	Fedl Salary Sharing	62	62	62	62	62
CIV SVC	339.ER	Exam & Misc Rev	1,503	1,506	1,506	1,506	1,506
HLTH OTH	339.ES	Eating Disorder	738	-	-	-	-
HLTH OTH	339.FP	Funeral	8	8	8	8	8
TAX	339.G3	Local Services	52	26	26	26	26
DOT	339.G7	DOT-Accident Damage Recovery	424	-	-	-	-
DMV	339.GE	Federal-Seized	12	-	-	-	-
DMV	339.H7	DMV-Compulsory	15,339	15,368	15,536	15,562	15,562
DHCR	339.HI	Housing Indirec	100	-	-	-	-
HLTH OTH	339.HQ	Adlt Hme Qlty Enhance	21	21	21	21	21
DMV	339.IC	Accid Prevent Course	710	606	608	608	608
HLTH OTH	339.J1	Local Public Hlth	5	5	5	5	5
DHCR	339.J5	DHCR HCA Appln Fees	226	-	-	-	-
HLTH OTH	339.J6	EPIC Premium Acct	37,525	24,625	52,000	-	-
HLTH OTH	339.JA	Vital Records Mgmt	2,252	2,252	2,252	2,252	2,252
CFS	339.K1	Hwy Rev/Social Security Admin	50	50	50	50	50
HLTH OTH	339.L2	Asst Living Res Quality	9	9	9	9	9
TADA OTH	339.L7	OTDA Income Acct	326	-	-	-	-
HSES	339.LZ	Pub Safe Commun	45,000	20,000	20,000	20,000	20,000
DHCR	339.NG	Low Income Housing	146	-	-	-	-
ECON DEV	339.P4	Procure Opportunity News	100	100	100	100	100
AG&MKTS	339.PD	Pet Dealer	7	7	7	7	7
ABO	339.PO	Auth Budget Office	39	39	39	39	39
HLTH OTH	339.PS	Patient Safety	73	73	73	73	73
HLTH OTH	339.Q2	Helen Hayes Hospital	-	7,710	7,710	7,710	7,710
HLTH OTH	339.Q3	NYC Veterans	-	1,692	1,692	1,692	1,692
HLTH OTH	339.Q4	NYS Home for Veterans	-	1,172	1,172	1,172	1,172
HLTH OTH	339.Q5	Western NY Veterans Home	-	992	992	992	992
HLTH OTH	339.Q6	Montrose State Veterans Home	-	765	765	765	765
AG&MKTS	339.R4	Motor Fuel Quality	(249)	565	565	565	565
AG&MKTS	339.R5	Weights Measure	37	37	37	37	37
DOB	339.ST	Systems & Technology	725	833	833	833	833
PARKS	339.T2	Parks&Recreation Patron Services	10,388	3,402	903	903	903
SED OTH	339.TM	Teacher Education Accreditation	28	21	21	21	21
TSCR	339.TS	TSCR Account	0	92,371	104,011	104,011	104,011
PUB SVC	339.US	Undrgrnd Safety Training	100	175	150	150	150
AG&MKTS	339.XX	A&M-Aggregated	-	89	89	89	89
CFS	339.YF	Yth Fac PerDiem	188,550	82,885	155,437	155,038	155,810
OGS	339.YL	OGS Bldg Admin	1,000	1,000	1,000	1,000	1,000
OGS	339.YN	OGS Standards & Purchase Acct	5,000	3,000	3,000	3,000	3,000
OMH	343.00	Mental Hygiene	-	2	58	48	10
SUNY	345.09	L I Vets Home	-	884	884	884	884
SUNY	345.10	SUNY General IFR	38,769	22,000	22,000	22,000	22,000
SUNY	345.22	SUNY Hosptials	-	39,619	41,130	39,211	40,993
STATE	349.01	Lake George Park	197	197	197	197	197
OMH	353.00	MH & MR Community	-	-	23	19	4
ENCON	355.01	Great Lakes Protection	200	60	60	60	60
DHCR	360.00	Housing Develop	360	-	-	-	-
SED OTH	365.01	Vocatl Rehabil	64	32	32	32	32
ORDA	385.01	Lake Placid Train	-	23	23	23	23

General Fund Transfers From Other Funds
 (thousands of dollars)

			<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>
ILS	390.01	Indigent Legal	28,000	0	0	0	0
LABOR	482.01	UI Special Interest & Penalty	10,666	10,711	10,711	3,211	3,211
Pursuant to Ch 313, SRO Transfers to FMAP Contingency Fund 014			87,225	-	-	-	-
			<u>12,093,201</u>	<u>11,767,690</u>	<u>11,348,831</u>	<u>12,044,413</u>	<u>12,632,695</u>

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>FY 2011</u>	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>
Total Transfers to Debt Service Funds			1,737,068	1,455,049	1,722,081	1,696,451	1,613,938
DEBT SVC	311	Genl Debt Servc	1,737,068	1,455,049	1,722,081	1,696,451	1,613,938
Total Transfers to Capital Projects Funds			931,785	778,363	1,125,926	1,322,894	1,418,853
CAP PROJ	002.00	CPF	311,683	274,738	492,032	602,418	697,749
OMH	002.00	CPF	-	33,570	33,570	33,570	33,570
OPWDD	002.00	CPF	-	35,579	35,579	35,579	35,579
OASAS	002.00	CPF	-	11,597	12,207	11,560	18,039
DOT	002.00	CPF	-	3,000	-	-	-
OGS	002.00	CPF	-	(10,000)	-	-	-
CAP PROJ	002.CC	CPF - Auth Bond	-	1,230	1,000	1,000	1,000
DOT	072.00	DHBTf	586,602	472,065	599,412	687,088	677,512
DMV	072.00	DHBTf	-	(43,416)	(47,874)	(48,321)	(44,596)
DOT	291.DD	Fed Grants-Cap	33,500	-	-	-	-
Total Transfers to State Share Medicaid			2,497,242	2,909,867	2,902,884	2,795,760	2,750,289
DMH	339.10	Mental Hygiene	2,497,242	2,909,867	2,902,884	2,795,760	2,750,289
Total All Other Transfers			840,792	824,551	936,318	1,520,119	2,289,052
FPADJ	020.00	Combined Expendable Trust	-	57,560	57,560	57,560	57,560
CFS	020.78	WB Hoyt Memoria	1,287	622	622	622	622
HLTH OTH	020.AA	Alzheimers Disease Assist	297	250	250	250	250
HLTH OTH	020.BD	Breast Cancer Res & Educ	578	650	650	650	650
HLTH OTH	020.PR	Prostate Cancer	230	150	150	150	150
SED OTH	054.01	Charter Sch Stimulus	4,784	-	-	-	-
SED GSPS	160.03	Education - New	123,153	100,000	-	-	-
DOT	225.01	Mobility Tax Trust	22,594	24,500	24,500	24,500	24,500
OMH	265.00	Federal HHS	-	-	-	13	36
OMH	267.00	Fed Education	-	-	1	-	-
DMH	304.00	M. Health Services	8,971	-	-	-	91,542
DOT	313.01	Pub Tran Systms	23,472	12,000	12,000	12,000	12,000
DOT	313.02	Metro Mass Tran	16,150	19,100	19,100	19,100	19,100
DHCR	316.00	Housing Debt	3,988	1,000	1,000	1,000	1,000
HLTH OTH	319.00	DOH Income Fund	13,442	16,079	16,079	16,079	16,079
OGS	323.ZX	OGS Exec Direct	15,520	-	-	-	-
TAX	334.12	Banking Service	74,160	55,370	55,370	55,370	55,370
OPWDD	339.05	OPWDD Provider	-	-	-	-	1,476
MENTAL HYGIENE	339.10	Mental Hygiene	-	-	133,210	509,620	760,159
MENTAL HYGIENE	339.13	M H Patient Inc	-	-	64,832	269,233	705,359
HLTH OTH	339.AW	Spinal Injury	885	1,575	-	-	-
DCJS	339.CA	Crimes Against	10,000	16,000	16,000	16,000	16,000
SED OTH	339.D9	Batavia School	700	810	755	755	755
ABC	339.DB	Alcohol Beverag	18,178	17,224	17,373	18,951	19,851
SED OTH	339.E6	Rome School	400	1,100	705	705	705
FMS	339.FM	FMS Account	4,650	42,000	55,100	55,200	55,200
DCJS	339.IM	Leg Svcs Assist	4,000	-	-	-	-
HLTH OTH	339.QC	Quality of Care	-	1,500	2,500	-	-
SED OTH	339.S1	Medicaid Income	186	-	-	-	-
SCI	339.SR	ES Stem Cell Trust	43,616	-	11,373	13,673	-
DM & NA	339.U2	Recruitment Inc	2,087	2,087	2,087	2,087	2,087
JUDICIAR	340.AA	CFIA Undistrib	122,878	109,000	110,000	112,000	114,000
SUNY	345.11	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.22	SUNY Hosp Medicaid	206,861	200,000	200,000	200,000	200,000
SUNY	345.22	SUNY Hosp Operations	32,650	60,000	60,000	60,000	60,000
SUNY	345.31	SUNY Stabilizat	3,474	10,455	-	-	-
OMH	353.00	MH & MR Community	-	18	-	-	-
JUDICIAR	368.01	NYCCC Operat Offset	8,363	9,600	9,200	8,700	8,700
ILS	390.01	Indigent Legal	45,435	40,000	40,000	40,000	40,000
CIV SVC	396.00	Health Ins Intrnl Service	5,485	7,843	7,843	7,843	7,843
CIV SVC	396.01	CS EBD Admin Reim	-	240	240	240	240
DOCS	397.00	Corr Industries	14,000	9,500	9,500	9,500	9,500
			6,006,887	5,967,830	6,687,209	7,335,224	8,072,132

**CASH COMBINING STATEMENT
GENERAL FUND
FY 2012
(millions of dollars)**

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Debt Reduction Reserve Fund	Eliminations	Total
Opening fund balance	0	1,031	21	136	175	13	0	1,376
Receipts:								
Taxes	41,886	0	0	0	0	0	0	41,886
Miscellaneous receipts	3,152	0	0	0	0	0	0	3,152
Federal grants	60	0	0	0	0	0	0	60
Total receipts	45,098	0	0	0	0	0	0	45,098
Disbursements:								
Grants to local governments	38,636	0	0	85	0	0	0	38,721
State operations	7,462	0	0	0	0	0	0	7,462
General State charges	4,704	0	0	0	0	0	0	4,704
Debt service	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0
Total disbursements	50,802	0	0	85	0	0	0	50,887
Other financing sources (uses):								
Transfers from other funds	42,008	0	0	0	100	0	(30,340)	11,768
Transfers to other funds	(36,308)	0	0	0	0	0	30,340	(5,968)
Bond and note proceeds	0	0	0	0	0	0	0	0
Net other financing sources (uses)	5,700	0	0	0	100	0	0	5,800
Change in fund balance	(4)	0	0	(85)	100	0	0	11
Closing fund balance	(4)	1,031	21	51	275	13	0	1,387

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2012
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>059</u>	<u>061</u>
Opening Fund Balance	2,222	61,578	8,187	163	72	4,658	2,791	0	4,255	19	159,230
Receipts:											
Taxes	0	0	0	0	0	0	0	3,292,520	0	0	1,194,000
Miscellaneous Receipts	140	(36,360)	10,000	290	300	3,375	9,500	0	4	0	4,170,241
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	140	(36,360)	10,000	290	300	3,375	9,500	3,292,520	4	0	5,364,241
Disbursements:											
Grants to Local Governments	0	6,654	6,700	0	0	0	6,259	3,292,520	2,321	0	5,176,941
State Operations	140	5,198	1,507	414	207	3,390	2,366	0	495	0	59,954
General State Charges	0	623	382	141	71	699	771	0	0	0	6,412
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	5,000	0	0	0	0	0	0	0	0	0
Total Disbursements	140	17,475	8,589	555	278	4,089	9,396	3,292,520	2,816	0	5,243,307
Other Financing Sources (Uses):											
Transfers from Other Funds	0	59,232	0	300	0	0	0	0	0	0	0
Transfers to Other Funds	0	(51)	0	(6)	0	(331)	(2,067)	0	0	0	(278,591)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	59,181	0	294	0	(331)	(2,067)	0	0	0	(278,591)
Change in Fund Balance	0	5,346	1,411	29	22	(1,045)	(1,963)	0	(2,812)	0	(157,657)
Closing Fund Balance	2,222	66,924	9,598	192	94	3,613	828	0	1,443	19	1,573

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2012
(thousands of dollars)**

	<u>073</u>	<u>160</u>	<u>221</u>	<u>225</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>	<u>290</u>	<u>300</u>	<u>301</u>
Opening Fund Balance	93,728	35,025	18,976	68,984	(425)	7,774	(206,186)	0	143,436	1,572	(30,662)
Receipts:											
Taxes	461,400	0	0	1,531,000	0	0	0	0	0	0	0
Miscellaneous Receipts	214,146	2,971,571	22,341	181,000	98,900	50,101	2,846	0	(23,031)	9,618	78,850
Federal Grants	0	0	650	0	1,685,303	32,698,710	4,512,032	63,461	971,917	0	0
Total Receipts	675,546	2,971,571	22,991	1,712,000	1,784,203	32,748,811	4,514,878	63,461	948,886	9,618	78,850
Disbursements:											
Grants to Local Governments	661,946	2,884,000	0	1,744,200	1,683,250	28,178,533	3,983,785	59,607	637,078	0	0
State Operations	0	165,325	21,556	0	62,196	493,824	477,093	3,268	262,810	7,855	68,339
General State Charges	0	11,465	0	0	10,045	95,052	39,041	586	35,302	1,845	16,243
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	661,946	3,060,790	21,556	1,744,200	1,755,491	28,767,409	4,499,919	63,461	935,190	9,700	84,582
Other Financing Sources (Uses):											
Transfers from Other Funds	0	100,000	0	24,500	0	0	0	0	500	0	20,559
Transfers to Other Funds	0	0	0	0	(28,712)	(3,981,402)	(14,959)	0	(14,196)	(71)	(14,144)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	100,000	0	24,500	(28,712)	(3,981,402)	(14,959)	0	(13,696)	(71)	6,415
Change in Fund Balance	13,600	10,781	1,435	(7,700)	0	0	0	0	0	(153)	683
Closing Fund Balance	107,328	45,806	20,411	61,284	(425)	7,774	(206,186)	0	143,436	1,419	(29,979)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2012
(thousands of dollars)**

	<u>302</u>	<u>303</u>	<u>305</u>	<u>306</u>	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>
Opening Fund Balance	70,458	10,082	4,052	4,887	450	16,807	(15,164)	66	9,577	3,478	1,183
Receipts:											
Taxes	0	0	0	0	0	1,794,000	0	0	0	0	0
Miscellaneous Receipts	54,032	55,859	49,853	8,000	80	21,810	42,200	0	1,719	115	200
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	54,032	55,859	49,853	8,000	80	1,815,810	42,200	0	1,719	115	200
Disbursements:											
Grants to Local Governments	0	0	0	0	0	1,719,789	0	0	0	0	0
State Operations	33,943	24,709	35,436	11,500	110	3,696	33,230	0	950	58	163
General State Charges	10,905	4,367	11,263	98	0	1,468	12,098	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	44,848	29,076	46,699	11,598	110	1,724,953	45,328	0	950	58	163
Other Financing Sources (Uses):											
Transfers from Other Funds	1,300	20,306	0	2,000	0	47,821	0	0	0	0	0
Transfers to Other Funds	(3,040)	(37,532)	(3,054)	0	(7)	(191,721)	(2,935)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,740)	(17,226)	(3,054)	2,000	(7)	(143,900)	(2,935)	0	0	0	0
Change in Fund Balance	7,444	9,557	100	(1,598)	(37)	(53,043)	(6,063)	0	769	57	37
Closing Fund Balance	77,902	19,639	4,152	3,289	413	(36,236)	(21,227)	66	10,346	3,535	1,220

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2012
(thousands of dollars)**

	338	339	340	341	345	346	349	354	355	359	360
Opening Fund Balance	731	863,311	6,004	41	651,354	3,319	998	(29,921)	609	23	8,695
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	2,986,286	0	0	3,767,922	6,452	1,208	119,700	380	3,709	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	2,986,375	0	0	3,767,922	6,452	1,208	119,700	380	3,709	900
Disbursements:											
Grants to Local Governments	98	3,148,632	104,200	0	0	6,970	0	4,937	0	0	852
State Operations	0	4,664,461	2,100	15	3,906,767	546	666	125,049	77	0	0
General State Charges	0	1,420,043	800	8	300,705	33	244	86	43	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	(15)	0	0	0	0	0	0	0	0	0
Total Disbursements	98	9,233,121	107,100	23	4,207,472	7,549	910	130,072	120	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	10,293,037	109,000	0	529,403	0	0	0	0	0	0
Transfers to Other Funds	0	(3,991,000)	(813)	0	(79,083)	0	(197)	0	(60)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	6,302,037	108,187	0	450,320	0	(197)	0	(60)	0	0
Change in Fund Balance	(38)	56,291	1,087	(23)	10,770	(1,097)	101	(10,372)	200	3,709	48
Closing Fund Balance	693	919,602	7,091	18	662,124	2,222	1,099	(40,293)	809	3,732	8,743

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2012
(thousands of dollars)**

	<u>362</u>	<u>365</u>	<u>366</u>	<u>368</u>	<u>369</u>	<u>377</u>	<u>385</u>	<u>390</u>	<u>480</u>	<u>482</u>
Opening Fund Balance	(2,328)	121	(5,220)	(11,851)	2,677	101,563	16	6,619	65,496	5,243
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	117	7,960	22,400	26,500	151,990	210	73,000	3,000	9,600
Federal Grants	0	0	0	0	0	0	0	0	417,422	0
Total Receipts	<u>3,068</u>	<u>117</u>	<u>7,960</u>	<u>22,400</u>	<u>26,500</u>	<u>151,990</u>	<u>210</u>	<u>73,000</u>	<u>420,422</u>	<u>9,600</u>
Disbursements:										
Grants to Local Governments	0	61	0	0	0	0	0	77,000	14,200	0
State Operations	3,425	47	6,151	25,900	18,400	136,747	163	26,136	293,047	1,960
General State Charges	0	0	2,940	10,900	7,900	6,541	0	364	113,175	890
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	<u>3,425</u>	<u>108</u>	<u>9,091</u>	<u>36,800</u>	<u>26,300</u>	<u>143,288</u>	<u>163</u>	<u>103,500</u>	<u>420,422</u>	<u>2,850</u>
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	9,600	0	0	0	40,000	0	0
Transfers to Other Funds	0	(32)	0	0	0	0	(23)	0	0	(10,711)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>0</u>	<u>(32)</u>	<u>0</u>	<u>9,600</u>	<u>0</u>	<u>0</u>	<u>(23)</u>	<u>40,000</u>	<u>0</u>	<u>(10,711)</u>
Change in Fund Balance	<u>(357)</u>	<u>(23)</u>	<u>(1,131)</u>	<u>(4,800)</u>	<u>200</u>	<u>8,702</u>	<u>24</u>	<u>9,500</u>	<u>0</u>	<u>(3,961)</u>
Closing Fund Balance	<u>(2,685)</u>	<u>98</u>	<u>(6,351)</u>	<u>(16,651)</u>	<u>2,877</u>	<u>110,265</u>	<u>40</u>	<u>16,119</u>	<u>65,496</u>	<u>1,282</u>

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2012
(thousands of dollars)**

	<u>484</u>	<u>486</u>	<u>SRO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	58	447	0	2,149,278	0	2,149,278
Receipts:						
Taxes	0	0	0	8,272,920	0	8,272,920
Miscellaneous Receipts	0	0	0	15,182,162	0	15,182,162
Federal Grants	8,284	239,584	0	40,598,452	0	40,598,452
Total Receipts	8,284	239,584	0	64,053,534	0	64,053,534
Disbursements:						
Grants to Local Governments	0	189,896	0	53,590,429	0	53,590,429
State Operations	8,284	40,860	0	11,040,533	0	11,040,533
General State Charges	0	8,828	0	2,132,377	0	2,132,377
Debt Service	0	0	0	0	0	0
Capital Projects	0	0	0	4,985	0	4,985
Total Disbursements	8,284	239,584	0	66,768,324	0	66,768,324
Other Financing Sources (Uses):						
Transfers from Other Funds	0	0	0	11,257,558	(4,079,741)	7,177,817
Transfers to Other Funds	0	0	0	(8,654,738)	4,079,741	(4,574,997)
Bond & Note Proceeds	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	2,602,820	0	2,602,820
Change in Fund Balance	0	0	0	(111,970)	0	(111,970)
Closing Fund Balance	58	447	0	2,037,308	0	2,037,308

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
FY 2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.00-Ment Hvg Gfts	2,220	0	140	0	0	0	140	0	0	140	0	0	0	0	0	0	140	2,220
020.00-Combined Exp Tr	(35)	0	(57,525)	0	0	57,560	35	0	0	0	0	0	0	0	0	0	0	0
020.01-Planting Fields	1,146	0	350	0	0	0	350	0	209	47	7	0	94	0	0	0	357	1,139
020.03-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.06-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20-DOCS Gft & Don	71	0	5	0	0	0	5	0	0	0	5	0	0	0	0	0	5	71
020.22-Helen Hayes Hsp	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
020.23-Oxford Donation	108	0	22	0	0	0	22	0	0	73	0	0	0	0	0	0	73	57
020.25-Donat-St. Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.26-C18 Gfts & Beq	50	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	47
020.29-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.30-Donations-Belav	27	0	10	0	0	0	10	0	19	0	0	0	0	0	0	0	19	18
020.33-Montrose Donat	105	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	105
020.36-IBR Genetic Cou	47	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	105	47
020.3A-Tech Transfer	21	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	50	(9)
020.49-Spec Events	490	0	1,249	0	0	0	1,249	0	0	196	0	0	0	0	0	0	196	1,543
020.62-L.M. Josephthal	52	0	3	0	0	0	3	0	0	2	0	0	0	0	0	0	2	53
020.63-RPMI Gnt & Beq	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.64-S U Restrict Cur	12	0	1,109	0	0	0	1,109	0	51	879	0	0	215	0	0	0	1,145	691
020.69-CBVH Vend Stand	727	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.76-RPMI Schoellkgf	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.78-Hoyr Memoria	4,045	0	110	0	0	622	732	1,500	0	15	0	0	0	0	0	0	1,500	3,277
020.79-CBVH Gft & Beq	178	0	6	0	0	0	6	0	0	930	0	0	0	0	0	0	930	169
020.82-St Transm Money	15,755	0	8,000	0	0	0	8,000	0	0	0	0	0	0	0	0	0	0	22,825
020.83-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.A7-Youth Grants &	340	0	50	0	0	0	50	0	60	8	0	0	2	0	0	0	70	320
020.AA-Alzheimers Dis	1,353	0	12	0	0	250	250	0	0	532	0	0	0	0	0	0	532	1,071
020.AB-Local Gov Comm	142	0	40	0	0	0	40	0	0	6	0	0	0	0	0	0	6	147
020.AH-Prostate/Testic	323	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	68
020.AR-Autism Averse &	58	0	2,688	0	0	0	2,688	3,998	126	23	5	0	61	0	0	0	4,103	6,623
020.AU-Emergency Serv	8,128	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	23	356
020.B1-Bellevue Charlot	359	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
020.B3-Rome-Gnts And	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
020.B4-DPT Rec & Weir	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.B8-DAAA Gnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.BD-Br Can Res & Ed	8,260	0	100	0	0	680	880	0	187	613	0	0	0	0	0	0	800	8,150
020.CE-Community Relat	1	0	155	0	0	0	155	0	58	22	2	0	28	0	0	51	161	133
020.D1-Dieab Tech Asst	139	0	340	0	0	0	340	0	237	133	7	0	115	0	0	0	492	694
020.E1-Missing Children	846	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	32
020.E5-DMNA Youth Prog	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.EC-Erie Canal Muse	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.F1-Women Ver Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.GB-Grants and Bequ	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0	12
020.GW-CCF Gnts & Beqs	95	0	87	0	0	0	87	0	25	54	1	0	9	0	0	0	89	93
020.HH-OMH Grant & Beq	469	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	469
020.LP-Life Pass It on	318	0	200	0	0	0	200	0	0	0	0	0	0	0	0	0	0	518
020.MG-Misc. Gfts Acc	11,997	0	4,000	0	0	0	4,000	0	0	0	0	0	0	0	5,000	0	5,000	10,997
020.MS-Multiple Sclero	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18
020.PM-Parole Ofc Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.PR-Prostate Cancer	2,345	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	2,495
020.PT-Percy T Phillip	40	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	40
020.RP-Aging Grants An	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
020.RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
020.XK-Grants Account	1,225	0	2,000	0	0	0	2,000	1,156	117	250	3	0	98	0	0	0	1,625	1,600
020.ZS-Grants	159	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	459
020.ZZ-Donated Funds	1,777	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,777
023.00-N Y In.Lawyers	8,167	0	10,000	0	0	0	10,000	6,700	738	716	50	0	382	0	0	0	8,589	9,586
024.00-NYS Active Pine	164	0	290	0	0	300	590	0	289	116	9	0	141	0	0	6	561	193
025.0P-Child Performer	73	0	300	0	0	0	300	0	139	64	4	0	71	0	0	23	278	95
050.01-Tuition Reimb	2,266	0	375	0	0	0	375	0	0	250	0	0	0	0	0	0	273	2,368
050.02-Prop Voc Sch Su	2,391	0	9,000	0	0	0	9,000	1,488	0	1,625	47	0	699	0	0	308	4,147	1,244
052.01-Loc Gov Record	2,789	0	3,500	0	0	0	3,500	6,259	2,020	278	68	0	771	0	0	2,067	11,463	826
053.00-Sch Tax Relief	(1)	3,292,520	0	0	0	0	3,292,520	3,292,520	0	0	0	0	0	0	0	0	0	1,442
054.01-Chtr Sch Sti Ac	4,254	0	4	0	0	0	4	2,321	0	495	0	0	0	0	0	0	2,816	1,442
056.01-Greenway Commu	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.02-Greenway Heint	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
058.01-Alcohol&Subst A	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19

**CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 338)
FY 2012
(Thousands of Dollars)**

Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
061.01-Tobacco Cnt &	41	0	0	0	0	0	0	0	2,111	406	68	0	1,882	0	0	503	4,170	(4,129)
061.02-Health Care Srv	9,982	0	0	0	0	0	0	120,108	0	0	0	0	64	0	0	0	120,172	(110,180)
061.03-Medicaid Fraud	116	0	0	0	0	0	0	0	56	230	0	0	33	0	0	0	342	(224)
061.04-Medical Assist.	437	0	0	0	0	0	0	3,354,582	1,521	4,142	49	0	780	0	0	0	3,361,537	(3,360,537)
061.05-Enhanced Com	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
061.06-LTC Ina Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.07-HCRA Program	24,229	0	0	0	0	0	0	489,593	0	23,334	0	0	0	0	0	0	492,927	(488,698)
061.08-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.22-EMS Training	3,391	0	0	0	0	0	0	0	2,814	13,339	95	0	1,435	0	0	667	18,350	(14,959)
061.28-Child Health In	(44,912)	0	0	0	0	0	0	319,544	1,285	5,219	31	0	687	0	0	394	327,160	(372,072)
061.98-HCRA Undistrib	153,716	1,194,000	4,170,241	0	0	0	5,384,241	0	0	0	0	0	0	0	0	275,956	5,242,001	5,242,001
061.AF-Hospital Based	302	0	0	0	0	0	0	11,204	0	0	0	0	0	0	0	0	11,204	(10,902)
061.AH-Ad Home Res Co	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.BO-Primary Care In	225	0	0	0	0	0	0	0	490	0	16	0	243	0	0	125	874	(649)
061.DN-Prev Coll Maint	538	0	0	0	0	0	0	0	2,098	204	66	0	1,042	0	0	492	3,364	(3,364)
061.H3-Pilot Health In	374	0	0	0	0	0	0	0	1,058	94	34	0	520	0	0	286	1,992	(1,618)
061.IN-Indigent Care	23,721	0	0	0	0	0	0	791,500	0	0	0	0	0	0	0	0	791,500	(767,779)
061.J6-EPIC Premium	(13,350)	0	0	0	0	0	0	110,350	0	0	0	0	0	0	0	0	110,350	(123,700)
061.LB-Health Occup De	176	0	0	0	0	0	0	0	772	80	27	0	397	0	0	129	1,405	(1,229)
061.LC-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.LE-Health Care Del	203	0	0	0	0	0	0	0	266	17	9	0	129	0	0	39	460	(257)
068.01-Dispro SH Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.01-Transit Authori	54,126	366,000	167,232	0	0	0	533,232	518,800	0	0	0	0	0	0	0	0	518,800	68,558
073.02-Balanced Accoun	9,553	64,800	29,449	0	0	0	94,249	91,500	0	0	0	0	0	0	0	0	91,500	12,302
073.03-DMTF	30,047	30,600	17,465	0	0	0	48,065	51,646	0	0	0	0	0	0	0	0	51,646	26,486
160.02-Education - New	0	2,100,000	0	0	0	100,000	2,200,000	0	0	0	0	0	0	0	0	0	2,200,000	0
160.04-State Lottery	18,526	0	170,371	0	0	0	170,371	0	21,188	133,158	606	0	9,704	0	0	0	164,657	24,240
160.06-VLT - Admin	16,496	0	87,200	0	0	0	87,200	684,000	3,434	6,628	110	0	1,761	0	0	0	82,133	21,565
221.00-Comb Student Ln	4	0	0	650	0	0	650	0	0	21,556	0	0	0	0	0	0	21,556	20,411
225.02-MITA Aid Tru	50,895	1,409,000	0	0	0	24,500	1,433,500	1,444,200	0	0	0	0	0	0	0	0	1,441,200	43,195
300.01-E F C Admin Acc	2,183	122,000	181,000	0	0	0	303,000	303,000	0	0	0	0	1,845	0	0	0	303,000	18,087
300.02-Ericson Admin Acc	(612)	0	3,600	0	0	0	3,600	0	3,696	500	0	0	0	0	0	71	3,776	(788)
301.01-EnCon-Energy Ef	29	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	29
301.12-EnCon-Energy Ef	1	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	21
301.48-Wst Tire MgrRe	4,480	0	22,000	0	0	0	22,000	0	7,450	6,054	188	0	3,600	0	0	5,946	23,218	3,262
301.49-Oil & Gas Accu	146	0	48	0	0	0	48	0	0	38	0	0	0	0	0	48	86	108
301.52-MarineCoastal	83	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	96
301.BJ-Indirect Charge	4,006	0	350	0	0	10,569	10,569	0	1,462	4,869	61	0	731	0	0	523	7,646	6,919
301.F7-Hazardous Sub B	(61)	0	0	0	0	0	0	0	179	0	8	0	0	0	0	0	331	(42)
301.G8-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.H4-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.IC-Fed Indirect R	(452)	0	30	0	0	10,000	10,030	0	8,789	602	0	0	4	0	0	0	9,395	183
301.K5-Low Level Radio	(5,278)	0	2,720	0	0	0	2,720	0	1,780	116	45	0	838	0	0	330	3,109	(5,667)
301.K6-Recreation Acco	(7,275)	0	15,200	0	0	0	15,200	0	9,758	2,816	193	0	1,088	0	0	255	14,110	(6,185)
301.PS-Public Safety R	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23
301.R9-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.S4-Ericson Magazine	136	0	905	0	0	0	905	0	0	514	0	0	0	0	0	131	645	396
301.S5-Environment Enf	(27,204)	0	27,000	0	0	0	27,000	0	13,943	2,524	500	0	6,917	0	0	3,097	26,981	(27,185)
301.S8-Natural Resourc	(19,149)	0	3,750	0	0	0	3,750	0	1,763	397	130	0	857	0	0	400	3,577	(18,976)
301.S7-Town Of Rivene	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.V8-UST-Fuel Recov	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.X8-Mixed Land Recl	104	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	48
301.ZZ-Monitors-Aggr	19,710	0	2,591	0	0	0	2,591	0	1,297	693	61	0	649	0	0	1,700	4,404	(90)
302.00-Conservation	21,074	0	49,042	0	0	1,300	50,342	0	20,767	9,728	900	0	10,344	0	0	1,740	43,479	27,937

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.01-Adopt Info Regl	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	49
339.02-Intervenor Act	1,483	0	500	0	0	0	500	500	3,690	0	0	0	0	0	0	0	500	1,483
339.03-S P.A.C.S	(47)	0	7,127	0	0	1,464	8,591	0	0	782	127	0	2,016	0	0	885	7,500	1,044
339.05-OPWDD Provider	1,152	0	0	0	0	338,196	338,196	331,155	0	0	0	0	0	0	0	7,041	338,196	1,152
339.07-Fire PrevCode	0	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	0	(1,186)
339.08-NYS Tvy Police	(1,186)	0	50,000	0	0	0	50,000	0	36,100	0	13,900	0	0	0	0	0	50,000	(1,186)
339.09-DMV Seiz Assets	289	0	50	0	0	0	50	0	0	175	0	0	0	0	0	0	175	164
339.10-Mental Hygiene	18,581	0	0	0	0	5,593,313	5,593,313	1,071,900	805,315	197,324	24,741	0	393,713	0	0	3,098,771	5,591,764	20,130
339.11-Ins Genl Oprms	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.13-MH Patient Inc	10,881	0	0	0	0	3,186,247	3,186,247	266,865	1,487,940	407,015	44,902	0	694,805	0	0	294,855	3,176,382	20,746
339.15-Fn. Cntrl Board	(668)	0	3,330	0	0	0	3,330	0	1,657	813	51	0	809	0	0	0	3,330	(668)
339.16-Reg of Racing	(6,187)	0	9,400	0	0	0	9,400	0	4,800	3,900	200	0	500	0	0	0	9,400	(6,187)
339.17-Tr St Reg Plan	(3,462)	0	0	0	0	15,445	15,445	0	4,384	8,765	135	2,151	0	0	0	0	15,445	(3,462)
339.18-S U Constr Fund	74	0	27,111	0	0	0	27,111	0	15,544	2,552	483	7,593	0	0	0	0	26,172	1,013
339.20-Quality Care	4,883	0	5,700	0	0	97,863	103,563	7,288	63,312	28,988	0	0	0	0	0	0	99,568	8,878
339.21-Nurses Aide Reg	1,119	0	5,254	0	0	0	5,254	0	520	3,296	16	0	264	0	0	0	4,096	2,277
339.22-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.23-Seized Assets	838	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	863
339.24-Child Care & Pr	249	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	100	219
339.25-Cyber Sec Ugrd	1,682	0	1,800	0	0	0	1,800	0	1,548	0	0	0	0	0	0	0	1,548	1,934
339.26-Cert of Need	15,279	0	6,242	0	0	0	6,242	0	2,569	836	88	1,406	0	0	0	1,086	5,985	15,334
339.27-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.28-Retr Community	529	0	74	0	0	0	74	0	25	1	1	0	12	0	0	2	41	562
339.29-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.30-OHRD St Match	2,558	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	2,558
339.30-DOL Fee Penalty	5,869	0	21,950	0	0	0	21,950	0	5,560	784	178	2,854	0	0	0	6,372	17,748	10,071
339.31-Educ Museum	1	0	900	0	0	0	900	0	561	200	19	179	0	0	0	1,39	1,098	(197)
339.32-Ns Hm Receivship	2,807	0	25	0	0	0	25	0	1,149	101	0	0	0	0	0	0	1,250	2,832
339.35-3rd Party Hlth	447	0	1,250	0	0	0	1,250	0	0	0	0	0	0	0	0	0	1,250	447
339.36-Boating Noise L	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.37-I Love NY Water	1	0	821	0	0	0	821	0	0	0	0	0	0	0	0	0	0	922
339.38-Summer Sch Arts	213	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	213
339.39-I Love NY Water	338	0	245	0	0	0	245	0	(12)	25	2	0	8	0	0	64	87	496
339.41-Snowmobile	5,780	0	11,400	0	0	0	11,400	4,850	102	355	8	61	0	0	0	0	5,376	11,804
339.42-Tr Surplus Prop	3,568	0	2,200	0	0	0	2,200	0	322	0	0	0	0	0	0	803	1,125	4,643
339.44-Hosp & Nurs Mgt	2,016	0	33,345	0	0	0	33,345	0	14,592	434	186	1,289	0	0	0	376	16,877	18,484
339.45-Watershed Printr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.46-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.47-SU Donn Reimb	(4)	0	0	0	0	261,411	261,411	0	115,123	143,388	0	0	0	0	0	2,900	261,411	3
339.48-ODTA Train Cont	2,010	0	0	0	0	9,000	9,000	0	0	9,000	0	0	0	0	0	0	9,000	2,010
339.49-ODTA Siate Matc	238	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	238
339.50-ODTA Trng Mgmt	154	0	750	0	0	0	750	0	519	41	20	259	0	0	0	0	839	65
339.51-Methadone Regis	73	0	228	0	0	0	228	0	0	228	0	0	0	0	0	0	228	73
339.60-Energy Research	(1)	0	15,997	0	0	0	15,997	9,234	3,365	1,221	594	1,583	0	0	0	0	15,997	(1)
339.61-Radiology	2,215	0	6,000	0	0	2,215	8,215	3,000	1,000	557	35	438	0	0	0	3,565	8,595	1,835
339.62-Crim Jus Improv	21,200	0	53,000	0	0	0	53,000	29,400	3,352	723	115	1,236	0	0	0	26,052	60,878	13,322
339.65-Farm Prod Insp	27	0	1,750	0	0	0	1,750	0	1,637	139	50	964	0	0	0	(185)	2,605	(828)
339.68-Frightprt ID Tec	6,902	0	12,850	0	0	0	12,850	0	0	18,750	0	0	0	0	0	0	18,750	1,002
339.72-NY Fire Academy	446	0	468	0	0	0	468	0	182	279	1	0	6	0	0	247	715	199
339.77-Trans Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.79-OPDV Training	54	0	7	0	0	0	7	0	0	1	0	0	0	0	0	0	1	60
339.81-Envir Lab Fee A	543	0	3,700	0	0	0	3,700	16,000	1,942	435	61	975	0	0	0	183	3,596	647
339.85-Ins St L Adm	1,138	0	104,292	0	0	0	104,292	0	32,134	40,152	2,590	13,416	0	0	0	0	104,292	1,138
339.86-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.88-Train Mgmt Eval	1,647	0	3,000	0	0	0	3,000	(10)	1,446	616	68	1,051	0	0	0	488	3,669	978
339.90-Clin Lab Refinc	(20,566)	0	18,059	0	0	0	18,059	(10)	7,798	3,283	265	4,249	0	0	0	0	15,495	(18,002)
339.91-MWBD Certificat	0	0	65	0	0	0	65	0	0	59	0	0	0	0	0	0	6	59
339.93-Pub Emp Rel Bid	845	0	86	0	0	0	86	0	275	300	0	0	0	0	0	0	575	356
339.94-WIC CIVL Monevry	0	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	0	2,000	0
339.95-Radio Hlth Prot	2,977	0	2,793	0	0	0	2,793	0	2,126	173	72	1,180	0	0	0	216	3,747	2,023
339.99-Cons Food Indus	574	0	6,826	0	0	0	6,826	0	5,480	599	167	2,625	0	0	0	100	8,971	(1,571)
339.A.2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSC's	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
339A3-Educator Library	127	0	65	0	0	0	65	0	0	0	67	0	0	0	0	0	67	125	
339A4-Teacher Certif	2,707	0	6,800	0	0	0	6,800	0	3,134	0	772	0	1,368	0	0	0	6,911	2,596	
339A5-Banking Depmnt	16,787	0	83,578	0	0	0	83,578	0	44,361	0	1,353	0	21,682	0	0	1,520	83,578	16,787	
339A6-Cable TV Acct	8,708	0	2,955	0	0	0	2,955	0	1,808	0	209	0	883	0	0	2,413	2,955	8,708	
339A7-Econ Devel Acct	412	0	838	0	0	0	838	0	0	192	50	0	0	0	0	92	284	966	
339A9-Banking Seized	213	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	50	213	
339AC-Non-Ivd Wage Vli	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)	
339AD-ODD Eamed Revn	283	0	2,000	0	0	0	2,000	0	87	0	1,237	0	42	0	0	5	1,374	283	
339AE-Motorcycle Sly	2,584	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,210	
339AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	
339AG-Business Liens	391	0	73,250	0	0	0	73,250	539	14,372	9,261	443	0	7,021	(15)	0	40,546	72,167	1,474	
339AH-Indir Cost Reco	432	0	11	0	0	23,861	23,872	0	11,838	4,071	357	0	3,311	0	0	0	19,577	4,727	
339AI-High School Equ	956	0	225	0	0	0	225	0	0	469	0	0	0	0	0	0	469	712	
339AJ-Regional Haulin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
339AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	
339AL-OTDA Program	29	0	0	0	0	0	0	0	0	200	0	0	0	0	0	0	200	(171)	
339AM-Hlth Care Advts	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
339AN-Disess Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	24	
339AP-Administration	10,019	0	13,588	0	0	2,635	16,223	0	7,168	380	250	0	3,948	0	0	0	11,746	14,496	
339AQ-Rail Safety Ins	7,088	0	669	0	0	0	669	0	428	44	14	0	210	0	0	0	696	2,245	
339AR-Fed Admin Reim	1,272	0	130	0	0	27,862	27,992	0	27,992	0	0	0	0	0	0	0	27,992	1,272	
339AS-Quality Assuran	2,285	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,285	
339AU-Abandoned Prop	0	0	9,500	0	0	0	9,500	0	4,500	4,490	31	0	479	0	0	0	9,500	0	
339AV-Seized Assets	12	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	14	
339AW-Spinal Injury	7,098	0	0	0	0	1,575	1,575	0	247	3,523	8	0	124	0	0	0	3,902	4,771	
339AX-Child Supp Rev	7,412	0	0	0	0	10,500	10,500	0	2,040	7,147	100	0	1,050	0	0	0	10,337	7,575	
339AY-Mult Agen Train	22,422	0	0	0	0	32,000	32,000	0	2,286	29,187	83	0	1,251	0	0	0	32,807	21,615	
339AZ-Dept Law-Seized	2,529	0	600	0	0	0	600	0	0	265	0	0	0	0	0	0	265	2,864	
339B2-DMNA-Seiz Asset	844	0	200	0	0	0	200	0	0	191	0	0	0	0	0	0	191	853	
339B3-Critical Infrass	1,443	0	1,998	0	0	0	1,998	0	330	1,459	13	0	196	0	0	0	1,998	1,443	
339B4-Radon Detct Dev	296	0	(7)	0	0	0	(7)	0	0	10	0	0	0	0	0	2	12	277	
339B5-Insurance Deprt	186,882	0	407,504	0	0	0	407,504	216,102	92,032	50,961	3,163	0	45,246	0	0	0	407,504	186,882	
339B7-Workers Comp Bd	33,689	0	189,605	0	0	0	189,605	0	94,238	62,176	2,888	0	45,921	0	0	0	205,223	18,081	
339B8-Fire Protection	92	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	104	104	
339B9-Conf Fee Acct	6	0	5	0	0	0	5	0	0	30	0	0	0	0	0	0	30	(19)	
339BA-Public Work Enrf	1,301	0	6,148	0	0	0	6,148	0	1,668	326	52	0	839	0	0	2,930	5,815	1,634	
339BB-Asset Forfeitur	562	0	200	0	0	0	200	0	500	200	0	0	0	0	0	0	700	62	
339BF-VESID SS	1,610	0	2,500	0	0	0	2,500	1,626	203	0	6	0	100	0	0	41	1,976	2,134	
339BT-Tm Mlts Regist	58	0	6	0	0	0	6	0	0	24	0	0	0	0	0	0	24	40	
339BU-Bell Jar Collec	1	0	2,100	0	0	0	2,100	0	641	340	19	0	275	0	0	202	1,477	624	
339BK-Ind & Util Serv	1,416	0	3,070	0	0	0	3,070	0	1,515	0	73	0	764	0	0	441	2,793	1,693	
339BO-Primary Care In	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	(1)
339BU-Land Utilizatio	(106)	0	0	0	0	0	0	0	0	14	4	0	65	0	0	0	432	(219)	
339BW-Asbestos Tming	(106)	0	319	0	0	0	319	0	349	0	0	0	0	0	0	0	0	3	(106)
339BZ-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339C2-Jones Boh Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339C3-Public Service	35,543	0	74,601	0	0	10	74,611	0	41,698	9,261	1,272	0	19,977	0	0	10	72,218	37,936	
339C4-Atty Licensing	9,234	0	35,000	0	0	0	35,000	0	18,600	6,800	0	0	7,500	0	0	2,000	34,900	9,334	
339C9-DSS Prov Recovs	186	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	3,700	186	
339CA-Cimmes Against	9,845	0	0	0	0	16,000	16,000	16,000	0	0	0	0	0	0	0	0	16,000	9,845	
339CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	(1)
339CD-Daycare Eamed	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339CE-Camp Smith Bill	33	0	197	0	0	0	197	0	131	9	5	0	47	0	0	0	192	38	
339CF-Cigarette Fire	0	0	500	0	0	0	500	0	0	320	0	0	0	0	0	0	320	180	
339CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	(1)
339CL-Comm Feed Lic	214	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	214	0
339CM-Reg Manu Hsg	23	0	700	0	0	0	700	0	416	64	13	0	203	0	0	0	696	27	
339CO-College Savings	1,698	0	813	0	0	0	813	0	175	30	15	0	135	0	0	0	355	2,156	
339CO-Discover Queens	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	0
339CR-Reven-Arrearage	28,284	0	25,000	0	0	0	25,000	0	1,200	7,313	37	0	585	0	0	24,380	33,515	19,769	
339CS-Provider Assess	8,621	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,621	0
339CT-CellPhone Towe	713	0	0	0	0	0	0	0	0	0	0	0	0	0	0	660	660	53	

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MISCELLANEOUS SPECIAL REVENUE FUND (339)
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(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSC's	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339-CU-Spec Conserv Ac	110	0	95	0	0	0	95	0	0	0	0	0	0	0	0	0	0	205
339-CY-Central Registry	319	0	5,017	0	0	0	5,017	0	90	0	20	0	59	0	0	4,822	4,981	345
339-CZ-Plant Industry	254	0	500	0	0	0	500	0	373	0	11	0	182	0	0	15	581	173
339-D1-Food Stip Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-D4-Food Stip Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339-D9-Batavia School	(6,839)	0	9,600	0	0	810	10,410	0	5,919	5,907	177	0	2,865	0	0	0	9,542	(5,971)
339-DB-Alcohol Beverage	3,910	0	0	0	0	17,224	17,224	0	7,822	5,907	275	0	4,379	0	0	0	18,383	2,751
339-DC-Investment Serv	(617)	0	3,406	0	0	0	3,406	0	2,025	665	62	0	970	0	0	541	4,263	(1,474)
339-DD-Drive out Diabe	48	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	54
339-DF-Keep Kids Drug	41	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	0	50
339-DH-OMRDD Day Svcs	(1,106)	0	40,000	0	0	0	40,000	40,000	0	0	0	0	0	0	0	0	40,000	(1,106)
339-DI-OSDC Finan Over	(1,000)	0	3,967	0	0	0	3,967	0	2,759	243	92	0	1,303	0	0	0	4,387	(1,430)
339-DK-Senate Recyclab	443	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	463
339-DL-Medicaid Fraud	43,776	0	12,725	0	0	0	12,725	0	6,264	2,073	211	0	3,214	0	0	0	11,762	44,739
339-DN-EAD Metallurgl	(1)	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	7
339-DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-DO-DED Marketing A	4,977	0	2,019	0	0	0	2,019	0	63	303	2	0	28	0	0	131	527	6,469
339-DQ-Tug Hill Admin	60	0	38	0	0	0	38	0	29	0	3	0	0	0	0	10	42	56
339-DS-Settlement Ent	765	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	900	765
339-DT-Indian Gaming	(86,990)	0	7,441	0	0	0	7,441	0	12,774	2,510	498	0	5,997	0	0	0	21,779	(111,328)
339-DX-NYS FLEX Spend	6	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	300	6
339-DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-E1-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	54	13
339-E2-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	35	107
339-E3-Ofc of Professi	7,193	0	47,264	0	0	0	47,264	0	19,646	11,129	608	0	9,806	0	0	6,742	47,933	6,524
339-E4-Educ Assessment	2,576	0	0	0	0	0	0	0	0	2,572	0	0	0	0	0	0	2,572	4
339-E5-Armory Rental A	1,016	0	1,825	0	0	0	1,825	804	0	1,001	27	0	203	0	0	0	2,035	806
339-E6-Rome School	(198)	0	9,600	0	0	1,100	10,700	0	5,047	686	149	0	2,431	0	0	0	8,313	2,189
339-E7-Unif Commenc Ct	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-E8-Seized Assets	(4576)	0	8,725	0	0	0	8,725	0	0	8,725	0	0	0	0	0	0	8,725	(4,576)
339-E9-Traf Adjudicatn	(4,972)	0	41,500	0	0	0	41,500	0	20,210	9,359	616	0	9,683	0	0	0	39,868	(3,340)
339-EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-EB-Annuity Entor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-EC-Fed Salary Shar	2,490	0	0	0	0	3,910	3,910	390	2,316	145	71	0	1,131	0	0	62	4,115	2,285
339-ED-Cook/Chill Acco	180	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	2,100	180
339-EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-EF-TAP Sys Redesign	(1)	0	0	0	0	2,000	2,000	0	1,415	1,000	0	0	0	0	0	0	2,415	862
339-EG-Client Notices	1,277	0	936	0	0	0	936	0	609	0	19	0	287	0	0	0	925	54
339-EJ-Credential Svcs	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339-EK-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339-EM-NYC Assessment	27,737	0	80,077	0	0	0	80,077	0	36,881	24,553	1,420	0	16,799	0	0	0	79,653	28,161
339-EN-Cultural Educat	(14,451)	0	28,000	0	0	0	28,000	0	12,704	5,609	561	0	6,897	0	0	2,913	28,684	(15,135)
339-EF-Distance Learn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-ER-Exam & Misc Rev	3,305	0	3,756	0	0	0	3,756	0	421	1,183	16	0	202	0	0	1,506	3,328	3,733
339-ES-Eating Disorder	0	0	1,000	0	0	0	1,000	0	0	0	0	0	0	0	0	0	1,000	0
339-F1-Trans Regul Acc	9,849	0	4,800	0	0	0	4,800	0	1,728	343	53	0	849	0	0	0	2,973	11,676
339-F2-Cons Prot Acct	1,122	0	91	0	0	0	91	0	109	300	5	0	40	0	0	0	464	759
339-F6-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
339-F9-OER NASDER	117	0	24	0	0	0	24	0	0	30	0	0	0	0	0	0	30	111
339-FA-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-FH-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-FL-Fed Liability	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339-FM-FMS Account	5,336	0	0	0	0	42,000	42,000	0	9,139	31,359	0	0	0	0	0	0	40,498	6,838
339-FP-Funeral	790	0	995	0	0	0	995	0	232	14	8	0	117	0	0	8	379	1,406
339-FS-FSHRP	91	0	0	0	0	175,000	175,000	175,000	0	0	0	0	0	0	0	0	175,000	91
339-G1-Educ Achives	182	0	15	0	0	0	15	0	0	73	0	0	0	0	0	0	73	124
339-G3-Local Services	370	0	1,100	0	0	0	1,100	0	555	0	30	0	325	0	0	26	936	534
339-G7-DOT-Accident Da	4,611	0	12,500	0	0	0	12,500	0	328	11,859	10	0	160	0	0	0	12,367	4,754
339-GA-Adult Shelter	4,597	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,597
339-GB-OHA Earned Rev	426	0	60	0	0	0	60	0	0	0	0	0	0	0	0	0	0	426
339-GC-Family Pres Svc	1,641	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,701

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.GD-Electronic Bene	2,738	0	0	0	0	11,000	11,000	9,700	0	0	0	0	0	0	0	0	9,700	4,038
339.GE-Federal Seized	29	0	0	0	0	0	0	0	0	88	0	0	0	0	0	0	0	88
339.H2-DHCR Mortgage S	(858)	0	7,861	0	0	0	7,861	0	3,918	201	119	0	1,913	0	0	0	6,151	852
339.H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.H6-OMH-Research OH	69	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	2,848	69
339.H7-DMV-Compulsory	1,696	0	27,600	0	0	0	27,600	0	9,027	1,416	275	0	4,325	0	0	15,368	30,411	
339.H8-Prof Medic Cond	8,502	0	30,289	0	0	0	30,289	0	12,701	4,987	444	0	6,979	0	0	0	25,121	13,670
339.HC-Hwy Const & Ma	1,005	0	260	0	0	0	260	0	0	125	0	0	0	0	0	0	125	1,140
339.HI-Housing Indirect	956	0	3,050	0	0	0	3,050	0	2,020	399	60	0	984	0	0	0	3,403	603
339.HQ-Adm Hme Qty E	569	0	650	0	0	0	650	0	0	276	0	0	0	0	0	21	297	922
339.HR-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.IA-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.IC-Acid Prevent C	260	0	1,000	0	0	0	1,000	0	153	88	5	0	73	0	0	606	935	325
339.IG-IG Szd Assets	81	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	87	79
339.IM-Leg Svcs Assist	3,856	0	13,000	0	0	4,000	17,000	17,526	0	0	0	0	0	0	0	0	17,526	3,330
339.J1-Loc Pub Hlth	2,855	0	950	0	0	0	950	0	115	20	12	0	65	0	0	5	217	3,588
339.J2-Local Dist Tral	390	0	800	0	0	0	800	0	0	744	0	0	0	0	0	0	744	446
339.J4-Voting Mach Exa	136	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	136
339.J5-DHCR HCA Applic	1,303	0	2,244	0	0	0	2,244	0	1,174	348	36	0	573	0	0	0	2,131	1,416
339.J6-EPIC Premium Ac	94,014	0	143,500	0	0	0	143,500	66,940	1,686	12,014	78	0	1,245	0	0	24,625	106,588	130,928
339.J7-Drug Enforce Ta	83	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	83
339.JA-Vital Rec Mgmt	1,706	0	4,356	0	0	0	4,356	0	1,198	71	37	0	591	0	0	2,262	4,149	1,913
339.JB-CHCCDP Transfer	24,373	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24,373
339.JD-Probim Salv Cou	192	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	192
339.JE-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.K1-Hwy Rev/Sec Sec	1,349	0	406	0	0	0	406	0	0	388	0	0	0	0	0	50	448	1,307
339.K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.K3-Catastrophic Hi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.KB-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L2-Asst Living Res	129	0	95	0	0	0	95	85	0	0	0	0	0	0	0	0	104	120
339.L4-OCFS Program	2,753	0	100	0	0	0	100	0	142	0	0	0	71	0	0	0	213	2,640
339.L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L7-OTDA Income Acc	35,902	0	0	0	0	94,100	94,100	0	48,054	48,100	0	0	0	0	0	0	96,154	33,848
339.L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.LE-Disabil Determs	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.LG-OMRDD-Jt Clinic	46	0	208	0	0	0	208	208	0	0	0	0	0	0	0	0	208	46
339.LH-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LI-Litigation Sett	9,264	0	54,867	0	0	0	54,867	0	18,459	32,283	434	0	6,516	0	0	0	57,692	6,439
339.LJ-Animal Populati	716	0	1,050	0	0	0	1,050	0	0	1,000	0	0	0	0	0	0	1,000	766
339.LL-Love Your Libra	32	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	38
339.LW-Local Wireless	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21
339.LZ-Pub Sale Commun	47,475	0	114,245	0	0	0	114,245	38,600	200	73,632	0	0	500	0	0	22,841	135,773	25,947
339.MC-Cuba Lake Mgmt	188	0	200	0	0	0	200	0	0	184	0	0	0	0	0	0	184	204
339.MH-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.MR-Med Reimb Acct	(1)	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,500	(1)
339.NG-Low Inc Housing	1,259	0	2,723	0	0	0	2,723	0	1,025	0	31	0	500	0	0	0	1,556	2,426
339.NH-Provider 900	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.NY-New York Alert	1,918	0	3,084	0	0	0	3,084	0	0	3,100	0	0	0	0	0	0	3,100	1,918
339.P4-Procure Op News	1,021	0	832	0	0	0	832	0	0	195	0	0	0	0	0	100	235	1,618
339.P5-CVB Restitution	804	0	576	0	0	0	576	0	426	150	0	0	0	0	0	0	576	804
339.P6-EFC Corp Admin	(47)	0	1,887	0	0	0	1,887	0	1,300	100	0	0	644	0	0	0	2,044	(204)
339.PC-Food Prod Cr	814	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	814
339.PD-Pet Dealer	41	0	32	0	0	0	32	0	53	0	2	0	26	0	0	0	81	(8)
339.PO-Auth Bldg Office	373	0	1,877	0	0	1,826	3,703	914	0	383	28	0	447	0	0	39	1,821	2,255
339.PS-Patient Safety	197	0	500	0	0	0	500	0	0	388	0	0	0	0	0	0	461	236
339.O2-Helen Hayes Hos	3,245	0	115	0	0	60,848	60,863	0	33,555	17,480	125	0	0	0	0	7,710	58,870	5,388
339.Q3-NYC Veterans	3,081	0	350	0	0	30,673	31,023	0	15,853	6,652	60	0	6,872	0	0	1,692	31,129	2,985
339.Q4-NYS Home-Vetera	2,529	0	120	0	0	18,781	18,901	0	16,181	4,897	75	0	0	0	0	1,172	22,325	(895)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.05-WNY Vels Home	(287)	0	55	0	0	12,503	12,558	0	9,262	3,331	40	0	0	0	0	982	13,625	(1,354)
339.06-Monroise S V H	3,981	0	30	0	0	18,462	18,492	0	17,915	5,014	70	0	0	0	0	765	23,764	(1,291)
339.09-DOH Hospital Ho	3,334	0	0	0	0	128,964	128,964	0	0	0	0	0	0	0	0	125,967	125,967	6,331
339.0A-Spec Energy Adm	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.QC-Quality of Care	1,166	0	1,334	0	0	1,500	2,834	0	0	1,541	0	0	0	0	0	0	1,541	2,469
339.QE-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.R4-Motor Fuel Qual	1,514	0	2,947	0	0	0	2,947	0	882	1,270	27	0	432	0	0	317	2,928	1,533
339.R5-Weights Measure	284	0	400	0	0	0	400	0	227	70	7	0	111	0	0	50	465	219
339.R7-Defier Comp Adm	(143)	0	820	0	0	0	820	0	361	174	11	0	176	0	0	0	722	(45)
339.RD-Hazard Abatement	30	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	100	30
339.RD-Education Stats	170	0	89	89	0	0	89	0	0	37	0	0	0	0	0	0	37	222
339.RF-Real Estate Fin	4,426	0	1,000	0	0	0	1,000	0	479	84	16	0	241	0	0	0	820	4,606
339.RF-Real Estate Fin	(9,052)	0	36,767	0	0	0	36,767	0	24,059	3,189	734	0	11,267	0	0	0	39,249	(11,534)
339.S1-Medicaid Income	(852)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(852)
339.S6-Rent Revenue	(365)	0	850	0	0	0	850	0	503	0	15	0	246	0	0	0	764	(279)
339.S9-Airport Securit	248	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	248
339.SA-CSPF Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.SR-ES Stem Cell Tr	5,599	0	0	0	0	45,000	45,000	0	0	45,000	0	0	0	0	0	0	45,000	5,599
339.SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.ST-Systems & Tech	3,372	0	7,300	0	0	0	7,300	0	3,300	1,565	101	0	1,609	0	0	833	7,408	3,264
339.T2-OPR Patron Serv	10,055	0	67,494	0	0	0	67,494	0	23,959	36,079	0	0	3,522	0	0	3,402	66,962	10,587
339.T5-Trans Aviatn	2,908	0	3,660	0	0	0	3,660	0	129	3,476	4	0	64	0	0	0	3,673	2,895
339.TM-Teacher Ed Accr	70	0	86	0	0	0	86	0	0	37	0	0	0	0	0	21	58	98
339.TN-Training Academ	53	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	53
339.TR-tax Rev Arrear	(1,766)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	1,795	(861)
339.TS-TSCR Account	53,332	0	125,512	0	0	0	125,512	31,378	0	0	0	0	0	0	0	92,371	123,749	55,095
339.TW-Statewide Gamn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.U2-Recruitment Inc	3,002	0	39	0	0	2,087	2,126	0	0	1,686	0	0	0	0	0	0	1,686	3,442
339.UR-ULTVI RADIA DEV	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45
339.US-Undigred Sfv T	209	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	144	144
339.VF-Vol Fire Rec&Re	74	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	74
339.VM-HAVA Match	2,900	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,900
339.VR-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.W4-Occ Hlth Clinic	4,487	0	9,000	0	0	0	9,000	0	522	9,327	50	0	100	0	0	0	9,999	3,488
339.W6-Crim Back Check	377	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	0	377
339.WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	0	(1,000)
339.WK-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.WR-NYS Water Rescu	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.WW-OWIG Adm Reimb	3,141	0	24	0	0	1,500	1,524	0	408	458	18	0	214	0	0	0	1,088	3,567
339.WZ-Durable Medical	0	0	376	0	0	0	376	0	0	0	0	0	0	0	0	0	0	376
339.XE-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.XX-A&M-Aggregated	5,378	0	15,044	0	0	152	15,196	0	1,674	14,850	51	0	802	0	0	(11)	17,406	3,168
339.Y7-Assembly Recyc	619	0	40	0	0	0	40	0	0	0	0	0	0	0	0	29	659	659
339.YD-Sales Tax, Re Fe	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
339.YF-Yth Fac PerDiem	1	0	115,417	0	0	0	115,417	0	0	0	0	0	0	0	0	0	0	115,417
339.YL-OGS Bldg Admin	3,011	0	7,396	0	0	0	7,396	0	2,600	2,941	82	0	1,301	0	0	0	82,885	32,533
339.YN-OGS Sid & Purch	5,541	0	4,864	0	0	0	4,864	0	762	3,169	53	0	843	0	0	1,000	7,924	2,483
339.YP-Equitable Share	2,313	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000	7,827	2,578
339.YV-Provider Assess	0	0	869,700	0	0	0	869,700	869,700	0	0	0	0	0	0	0	0	869,700	2,313
339.YX-HEP	68	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	300	(232)
339.Z1-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.ZM-License Plate	0	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	40
339.ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.ZT-FHPEP	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.ZV-S T A Research	110	0	14	0	0	0	14	0	0	14	0	0	0	0	0	0	14	110
339.ZW-DOCS Asset Forf																		

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2012
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>
Opening Fund Balance	0	11,854	102,222	3,149	(23,642)	14	54,143	(392)	88	164	200	3,391
Receipts:												
Taxes	0	1,218,600	0	0	0	0	119,100	0	0	0	0	0
Miscellaneous Receipts	1,710,425	1,400,543	0	1,800	33,285	0	14,400	0	0	0	0	0
Federal Grants	0	5,359	0	0	0	0	0	0	0	0	0	0
Total Receipts	1,710,425	2,624,502	0	1,800	33,285	0	133,500	0	0	0	0	0
Disbursements:												
Grants to Local Governments	1,290,952	69,905	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,419,731	1,998,413	45,000	1,800	34,248	0	173,200	343	0	0	0	0
Total Disbursements	2,710,683	2,068,318	45,000	1,800	34,248	0	173,200	343	0	0	0	0
Other Financing Sources (Uses):												
Transfers from Other Funds	1,035,266	787,664	45,000	0	0	0	0	343	0	0	0	0
Transfers to Other Funds	(29,778)	(1,386,702)	0	0	(1,506)	0	0	0	0	(25)	(600)	(100)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	100
Net Other Financing Sources (Uses)	1,005,488	(599,038)	45,000	0	(1,506)	0	0	343	0	0	0	0
Change in Fund Balance	5,230	(42,854)	0	0	(2,469)	0	(39,700)	0	0	0	0	0
Closing Fund Balance	5,230	(31,000)	102,222	3,149	(26,111)	14	14,443	(392)	88	164	200	3,391

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2012
(thousands of dollars)**

	115	121	123	124	126	127	291	310	312	327	357
Opening Fund Balance	3,252	227,886	4,291	885	2,657	20,185	(80,322)	893	(102,107)	504	(3,468)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	113,800	0	19,000
Federal Grants	0	0	0	0	0	0	2,288,647	0	0	0	0
Total Receipts	0	0	0	0	0	0	2,288,647	10	113,800	0	19,000
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	1,006,080	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	956,186	10	114,117	0	19,000
Total Disbursements	0	0	0	0	0	0	1,962,266	10	114,117	0	19,000
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	0	0	0	0	0	13,700	0	0
Transfers to Other Funds	(1,500)	(424,744)	(1,000)	(4,000)	(2,000)	(50,343)	(345,357)	0	(34,750)	0	0
Bond & Note Proceeds	1,500	424,744	1,000	4,000	2,000	50,343	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	0	0	(345,357)	0	(21,050)	0	0
Change in Fund Balance	0	0	0	0	0	0	(18,976)	0	(21,367)	0	0
Closing Fund Balance	3,252	227,886	4,291	885	2,657	20,185	(99,298)	893	(123,474)	504	(3,468)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2012
(thousands of dollars)**

	<u>358</u>	<u>374</u>	<u>376</u>	<u>378</u>	<u>380</u>	<u>384</u>	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>
Opening Fund Balance	0	(21,608)	(172,129)	18,920	(11,014)	186,662	10,084	(23)	(389,888)	(13,930)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	125,060	1,000	0	70,000	127,250	0	156,420	244,250
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	0	125,060	1,000	0	70,000	127,250	0	156,420	244,250
Disbursements:										
Grants to Local Governments	0	0	125,635	0	0	0	125,000	0	93,266	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	1,000	1,059	70,000	3,150	0	65,654	244,250
Total Disbursements	0	0	125,635	1,000	1,059	70,000	128,150	0	158,920	244,250
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	1,059	0	0	0	1,750	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	1,059	0	0	0	1,750	0
Change in Fund Balance	0	0	0	0	0	0	(900)	0	(750)	0
Closing Fund Balance	0	(21,608)	(172,129)	18,920	(11,014)	186,662	9,184	(23)	(390,638)	(13,930)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2012
(thousands of dollars)**

	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	0	(167,079)	0	(167,079)
Receipts:				
Taxes	0	1,337,700	0	1,337,700
Miscellaneous Receipts	1	4,017,244	0	4,017,244
Federal Grants	0	2,294,006	0	2,294,006
Total Receipts	1	7,648,950	0	7,648,950
Disbursements:				
Grants to Local Governments	0	2,710,838	0	2,710,838
State Operations	0	0	0	0
General State Charges	0	0	0	0
Debt Service	0	0	0	0
Capital Projects	0	5,147,161	0	5,147,161
Total Disbursements	0	7,857,999	0	7,857,999
Other Financing Sources (Uses):				
Transfers from Other Funds	0	1,885,357	(847,054)	1,038,303
Transfers to Other Funds	0	(2,282,405)	847,054	(1,435,351)
Bond & Note Proceeds	0	484,312	0	484,312
Net Other Financing Sources (Uses)	0	87,264	0	87,264
Change in Fund Balance	1	(121,785)	0	(121,785)
Closing Fund Balance	1	(288,864)	0	(288,864)

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2012
(thousands of dollars)**

	064	304	311	316	319	350	361	364	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	119,999	0	0	33,105	300,898	0	0	454,002	0	454,002
Receipts:											
Taxes	0	0	9,721,250	0	0	0	500,900	2,783,674	13,005,824	0	13,005,824
Miscellaneous Receipts	0	325,017	0	13,386	127,830	482,097	0	500	948,830	0	948,830
Federal Grants	0	0	78,803	0	0	0	0	0	78,803	0	78,803
Total Receipts	0	325,017	9,800,053	13,386	127,830	482,097	500,900	2,784,174	14,033,457	0	14,033,457
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	6,085	38,418	0	2,154	9,047	0	5,909	61,613	0	61,613
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	319,276	5,000,828	14,386	28,818	86,895	0	384,281	5,834,484	0	5,834,484
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	325,361	5,039,246	14,386	30,972	95,942	0	390,190	5,896,097	0	5,896,097
Other Financing Sources (Uses):											
Transfers from Other Funds	0	3,247,188	3,295,011	1,000	42,069	0	0	0	6,585,268	(205,248)	6,380,020
Transfers to Other Funds	0	(3,213,920)	(8,055,820)	0	(144,264)	(306,561)	(500,900)	(2,393,984)	(14,615,449)	205,248	(14,410,201)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	33,268	(4,760,809)	1,000	(102,195)	(306,561)	(500,900)	(2,393,984)	(8,030,181)	0	(8,030,181)
Change in Fund Balance	0	32,924	(2)	0	(5,337)	79,594	0	0	107,179	0	107,179
Closing Fund Balance	0	152,923	(2)	0	27,768	380,492	0	0	561,181	0	561,181

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICES
FY 2012
(Thousands of Dollars)**

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	Total State Ops	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.14-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.15-Design & Constr	35,615	0	59,419	0	0	0	59,419	0	46,073	14,413	0	0	0	60,486	34,548
323.22-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.XX-Misc Centrl Srv	42	0	0	0	0	0	0	0	0	0	0	0	0	0	42
323.ZX-OGS Exec Direct	40,156	0	146,441	0	0	0	146,441	0	95,208	712	0	0	48,144	144,064	42,533
323.ZY-OGS Bldg Admin	4,909	0	15,665	0	0	0	15,665	0	12,320	1,239	0	0	0	13,559	7,015
323.ZZ-OGS Std & Purch	(10,550)	0	18,372	0	0	0	18,372	0	16,505	1,915	0	0	0	18,420	(10,598)
334.01-Trans Pmt Shop	(47,378)	0	0	0	0	0	0	0	0	0	0	0	0	0	(47,378)
334.02-Educ-Archives R	1,656	0	1,500	0	0	0	1,500	0	946	256	0	0	0	1,202	1,954
334.03-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.04-Public Financing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.05-Fed Single Aud	1,801	0	1,500	0	0	0	1,500	0	1,500	0	0	0	0	1,500	1,801
334.07-Quick Copy Cent	1,158	0	600	0	0	0	600	0	561	77	0	0	0	638	1,120
334.09-CS Administrat	4,580	0	5,963	0	0	0	5,963	0	5,807	1,609	0	0	0	7,416	3,127
334.10-EHS Occup Hlth	(112)	0	870	0	0	0	870	0	1,037	271	0	0	0	1,308	(550)
334.12-Banking Service	(4)	0	3,974	0	0	55,370	59,344	0	64,531	0	0	0	0	64,531	(5,191)
334.13-Personnel Mgmt	(22,390)	0	0	0	0	0	0	0	0	0	0	0	0	0	(22,390)
334.14-Cult Resources	(1,256)	0	7,329	0	0	0	7,329	0	4,392	677	0	0	276	5,345	728
334.17-Neighbor Work P	(6,362)	0	7,300	0	0	0	7,300	(1,800)	9,000	0	0	0	0	7,200	100
334.18-Auto/Print Chgb	4,649	0	16,577	0	0	0	16,577	0	13,890	4,592	0	0	0	18,482	(6,362)
334.20-NYT Account	20,675	0	88,033	0	0	0	88,033	0	86,391	4,202	0	0	0	90,593	2,744
334.23-State Data Ctr	5,869	0	116,206	0	0	0	116,206	0	104,941	10,296	0	0	0	115,237	18,115
334.24-Human Svcs Tele	6,969	0	28,485	0	0	0	28,485	0	25,599	4,553	0	0	0	30,152	6,638
334.26-OMRDD Copy Ctr	958	0	0	0	0	0	0	0	0	0	0	0	0	0	958
334.27-Intrusion Detec	10	0	1,528	0	0	0	1,528	0	1,350	0	0	0	0	1,350	188
334.28-Dom Violence Gr	(308)	0	703	0	0	0	703	0	703	0	0	0	0	703	(308)
334.29-Statewide Train	7	0	0	0	0	0	0	0	0	0	0	0	0	0	7
334.30-Cent Tech Svcs.	(49)	0	2,500	0	0	0	2,500	0	2,100	398	0	0	0	2,498	(47)
334.XX-Misc Intl Serv	(117)	0	0	0	0	0	0	0	0	0	0	0	0	0	(117)
334.ZV-Learning Mgmt S	1,731	0	391	0	0	0	391	0	541	49	0	0	0	590	1,532
343.00-Mental Hygiene	349	0	1,967	0	0	0	1,967	0	2,131	488	0	0	2	2,621	(305)
347.00-DFY Voc Educatn	54	0	25	0	0	0	25	0	0	0	0	0	0	0	79
394.00-Joint Labor-Mgt	2,189	0	2,000	0	0	0	2,000	0	1,246	408	0	0	0	1,654	2,535
395.04-Ex Dir Intl Aud	(1,431)	0	1,550	0	0	0	1,550	0	1,340	528	0	0	0	1,868	(1,749)
396.00-Health Ins Intr	(13,500)	0	14,121	0	0	7,843	21,964	0	13,102	4,875	0	0	0	17,977	(9,513)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2012
(Thousands of Dollars)**

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	Total State Ops	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.00-DFY Commissary	197	0	120	0	0	0	120	0	120	0	0	0	0	120	197
325.00-State Fair Rece	3,307	0	18,500	0	0	0	18,500	0	16,798	2,471	0	0	0	19,269	2,538
326.00-DOCS Commissary	3,134	0	37,327	0	0	0	37,327	0	37,277	0	0	0	0	37,277	3,184
331.01-Publications	16	0	5	0	0	0	5	0	5	0	0	0	0	5	16
331.02-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.03-Aging Enterpris	0	0	2	0	0	0	2	0	2	0	0	0	0	2	0
331.04-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	14
331.06-Empire St Games	1	0	2	0	0	0	2	0	3	0	0	0	0	3	0
331.07-DSS Trng Matris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	195
331.21-Arts Oxford Vet	1	0	1	0	0	0	1	0	1	0	0	0	0	1	1
331.31-TRAID Services	10	0	10	0	0	0	10	0	10	0	0	0	0	10	10
331.35-Convention Ctr	253	0	1,629	0	0	0	1,629	0	716	296	0	0	0	1,012	870
331.00-DOCS Empl Mess	184	0	1,256	0	0	0	1,256	0	1,009	130	0	0	0	1,139	301
331.00-Asset Preservat	41	0	14	0	0	0	14	0	17	0	0	0	0	17	38
331.00-Farm Program	769	0	412	0	0	0	412	0	412	0	0	0	0	412	769
331.00-NY-Alert	0	0	1,000	0	0	0	1,000	0	1,000	0	0	0	0	1,000	0
331.00-DDFC Pub Acct	0	0	10	0	0	0	10	0	10	0	0	0	0	10	0
351.00-OMH Shelr Wkshs	1,787	0	2,200	0	0	0	2,200	0	2,200	0	0	0	0	2,200	1,787
352.00-MR Shelr Wkshp	1,089	0	950	0	0	0	950	0	1,050	0	0	0	0	1,050	989
353.00-MH & MR Communi	2,608	0	2,200	0	0	18	2,218	0	1,566	212	0	0	0	1,778	3,048
353.32-MR Community St	98	0	660	0	0	0	660	0	552	108	0	0	0	660	98
450.01-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.00-U-I Benefit Fnd	(198,497)	3,775,000	0	25,000	0	0	3,800,000	0	3,800,000	0	0	0	0	3,800,000	(198,497)
481.01-Interest Assess	184	0	150,000	0	0	0	150,000	0	96,000	0	0	0	0	96,000	54,184
481.00-Federal Stimulu	204,545	0	0	2,750,000	0	0	2,750,000	0	2,750,000	0	0	0	0	2,750,000	204,545

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2012
(millions of dollars)**

	<u>First Quarterly</u>	<u>Change</u>	<u>Mid-Year</u>
Revenues:			
Taxes:			
Personal income tax	25,360	(317)	25,043
User taxes and fees	9,124	(135)	8,989
Business taxes	6,129	(15)	6,114
Other taxes	1,068	(43)	1,025
Miscellaneous revenues	6,569	57	6,626
Federal grants	60	0	60
Total revenues	<u>48,310</u>	<u>(453)</u>	<u>47,857</u>
Expenditures:			
Grants to local governments	41,520	55	41,575
State operations	10,995	75	11,070
General State charges	5,454	14	5,468
Debt service	0	0	0
Capital projects	0	0	0
Total expenditures	<u>57,969</u>	<u>144</u>	<u>58,113</u>
Other financing sources (uses):			
Transfers from other funds	14,711	64	14,775
Transfers to other funds	(5,798)	7	(5,791)
Proceeds from financing arrangements/ advance refundings	0 374	0	0 374
Net other financing sources (uses)	<u>9,287</u>	<u>71</u>	<u>9,358</u>
Operating Surplus/(Deficit)	<u>(372)</u>	<u>(526)</u>	<u>(898)</u>

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2012 THROUGH FY 2015
(millions of dollars)**

	<u>FY 2012 Projected</u>	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY2015 Projected</u>
Revenues:				
Taxes:				
Personal income tax	25,043	24,699	26,627	28,565
User taxes and fees	8,989	9,302	9,704	10,125
Business taxes	6,114	6,314	6,608	5,913
Other taxes	1,025	1,129	1,192	1,201
Miscellaneous revenues	6,626	6,503	6,019	5,596
Federal grants	60	60	60	60
Total revenues	<u>47,857</u>	<u>48,007</u>	<u>50,210</u>	<u>51,460</u>
Expenditures:				
Grants to local governments	41,575	42,721	44,481	46,376
State operations	11,070	11,772	11,599	12,163
General State charges	5,468	5,967	6,445	6,704
Debt service	0	0	0	0
Capital projects	0	0	0	0
Total expenditures	<u>58,113</u>	<u>60,460</u>	<u>62,525</u>	<u>65,243</u>
Other financing sources (uses):				
Transfers from other funds	14,775	14,488	15,171	15,605
Transfers to other funds	(5,791)	(6,492)	(7,354)	(7,554)
Proceeds from financing arrangements/ advance refundings	374	400	400	400
Net other financing sources (uses)	<u>9,358</u>	<u>8,396</u>	<u>8,217</u>	<u>8,451</u>
Operating Surplus/(Deficit)	<u>(898)</u>	<u>(4,057)</u>	<u>(4,098)</u>	<u>(5,332)</u>

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	41,171	8,283	1,338	13,116	63,908
Public Health/Patient fees	0	4,170	0	453	4,623
Miscellaneous revenues	6,626	1,153	751	10	8,540
Federal grants	60	45,403	2,294	79	47,836
Total revenues	47,857	59,009	4,383	13,658	124,907
Expenditures:					
Grants to local governments	41,575	55,332	2,718	0	99,625
State operations	11,070	1,807	0	53	12,930
General State charges	5,468	385	0	0	5,853
Debt service	0	0	0	4,804	4,804
Capital projects	0	5	5,465	0	5,470
Total expenditures	58,113	57,529	8,183	4,857	128,682
Other financing sources (uses):					
Transfers from other funds	14,775	2,406	993	6,380	24,554
Transfers to other funds	(5,791)	(3,807)	(1,435)	(15,047)	(26,080)
Proceeds of general obligation bonds	0	0	484	0	484
Proceeds from financing arrangements/ advance refundings	374	0	3,688	0	4,062
Net other financing sources (uses)	9,358	(1,401)	3,730	(8,667)	3,020
Operating Surplus/(Deficit)	(898)	79	(70)	134	(755)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2012
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Obligation Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal income tax	25,043	0	9,809	3,292	0	38,144
User taxes and fees	8,989	0	0	5,541	0	14,530
Business taxes	6,114	0	0	2,028	0	8,142
Other taxes	1,025	0	0	2,067	0	3,092
Public Health/Patient fees	0	0	0	4,623	0	4,623
Miscellaneous receipts	6,626	132	0	1,782	0	8,540
Federal grants	60	45,401	78	2,297	0	47,836
Total revenues	<u>47,857</u>	<u>45,533</u>	<u>9,887</u>	<u>21,630</u>	<u>0</u>	<u>124,907</u>
Expenditures:						
Grants to local governments	41,575	39,909	0	18,141	0	99,625
State operations	11,070	1,309	38	513	0	12,930
General State charges	5,468	288	0	97	0	5,853
Debt service	0	0	4,058	746	0	4,804
Capital projects	0	0	0	5,470	0	5,470
Total expenditures	<u>58,113</u>	<u>41,506</u>	<u>4,096</u>	<u>24,967</u>	<u>0</u>	<u>128,682</u>
Other financing sources (uses):						
Transfers from other funds	14,775	0	3,295	6,484	(19,646)	4,908
Transfers to other funds	(5,791)	(4,027)	(9,086)	(7,176)	19,646	(6,434)
Proceeds of General obligation bonds	0	0	0	484	0	484
Proceeds from financing arrangements/advance refundings	374	0	0	3,688	0	4,062
Net other financing sources (uses)	<u>9,358</u>	<u>(4,027)</u>	<u>(5,791)</u>	<u>3,480</u>	<u>0</u>	<u>3,020</u>
Operating Surplus/(Deficit)	<u>(898)</u>	<u>0</u>	<u>0</u>	<u>143</u>	<u>0</u>	<u>(755)</u>

**GAAP COMBINING STATEMENT
GENERAL FUND
FY 2012
(millions of dollars)**

	001	003	007	166	013	008	323	325	326	331
Receipts:										
Personal income tax	0	25,043	0	0	0	0	0	0	0	0
User taxes and fees	0	8,989	0	0	0	0	0	0	0	0
Business taxes	0	6,114	0	0	0	0	0	0	0	0
Other taxes	0	1,025	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	3,067	0	700	0	0	240	18	37	4
Federal grants	0	60	0	0	0	0	0	0	0	0
Total receipts	<u>0</u>	<u>44,298</u>	<u>0</u>	<u>700</u>	<u>0</u>	<u>0</u>	<u>240</u>	<u>18</u>	<u>37</u>	<u>4</u>
Disbursements:										
Grants to local governments	38,343	0	85	0	0	0	0	0	0	0
State operations	0	6,771	0	50	0	0	170	17	37	3
General State charges	0	3,340	0	650	0	0	18	2	0	0
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>38,343</u>	<u>10,111</u>	<u>85</u>	<u>700</u>	<u>0</u>	<u>0</u>	<u>188</u>	<u>19</u>	<u>37</u>	<u>3</u>
Other financing sources (uses):										
Transfers from other funds	0	11,619	0	0	0	100	0	0	0	0
Transfers to other funds	(4,270)	(4,533)	0	0	0	0	(48)	0	0	0
Proceeds from financing arrangements/advance refundings	374	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	<u>(3,896)</u>	<u>7,086</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>100</u>	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Operating Surplus/(Deficit)	<u>(42,239)</u>	<u>41,273</u>	<u>(85)</u>	<u>0</u>	<u>0</u>	<u>100</u>	<u>4</u>	<u>(1)</u>	<u>0</u>	<u>1</u>

**GAAP COMBINING STATEMENT
GENERAL FUND
FY 2012
(millions of dollars)**

	334	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
Receipts:													
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	0	25,043
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	0	8,989
Business taxes	0	0	0	0	0	0	0	0	0	0	0	0	6,114
Other taxes	0	0	0	0	0	0	0	0	0	0	0	0	1,025
Miscellaneous receipts	283	2,959	2	2	1	2	2	2	19	48	0	(760)	6,626
Federal grants	0	0	0	0	0	0	0	0	0	0	0	0	60
Total receipts	<u>283</u>	<u>2,959</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>19</u>	<u>48</u>	<u>0</u>	<u>(760)</u>	<u>47,857</u>
Disbursements:													
Grants to local governments	(2)	3,149	0	0	0	0	0	0	0	0	0	0	41,575
State operations	323	4,386	2	2	1	2	1	1	16	48	0	(760)	11,070
General State charges	27	1,412	1	0	0	0	1	1	6	10	0	0	5,468
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>348</u>	<u>8,947</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>22</u>	<u>58</u>	<u>0</u>	<u>(760)</u>	<u>58,113</u>
Other financing sources (uses):													
Transfers from other funds	55	6,793	0	0	0	0	0	0	8	10	0	(3,810)	14,775
Transfers to other funds	0	(750)	0	0	0	0	0	0	0	0	0	3,810	(5,791)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	0	374
Net other financing sources (uses)	<u>55</u>	<u>6,043</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>10</u>	<u>0</u>	<u>0</u>	<u>9,358</u>
Operating Surplus/(Deficit)	<u>(10)</u>	<u>55</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>5</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(898)</u>

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2012
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
Receipts/Revenues:											
Taxes:											
Personal income tax	25,870	0	0	0	25,870	(827)	0	0	0	0	25,043
User taxes and fees	9,056	0	0	0	9,056	(67)	0	0	0	0	8,989
Business taxes	5,868	0	0	0	5,868	246	0	0	0	0	6,114
Other taxes	1,092	0	0	0	1,092	(67)	0	0	0	0	1,025
Miscellaneous receipts	3,152	2,986	662	662	6,800	0	555	(760)	31	0	6,626
Federal Grants	60	0	0	0	60	0	0	0	0	0	60
Total receipts/revenues	45,098	2,986	662	662	48,746	(715)	555	(760)	31	0	47,857
Disbursements/expenses:											
Grants to local governments	38,721	3,149	0	0	41,870	629	0	0	0	(924)	41,575
State operations	7,462	4,663	624	624	12,749	(32)	(39)	(760)	(848)	(848)	11,070
General State charges	4,704	1,420	65	65	6,189	20	594	0	0	(1,335)	5,468
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0
Total disbursements/expenses	50,887	9,232	689	689	60,808	617	555	(760)	(3,107)	0	58,113
Other financing sources (uses):											
Transfers from other funds	11,768	7,055	73	73	18,896	0	(3,800)	0	0	(321)	14,775
Transfers to other funds	(5,968)	(753)	(48)	(48)	(6,769)	(5)	3,800	0	0	(2,817)	(5,791)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	0	374	0	0	0	0	374
Net other financing sources (uses)	5,800	6,302	25	25	12,127	369	0	0	(3,138)	0	9,358
Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses	11	56	(2)	(2)	65	(963)	0	0	0	0	(898)
(Increase)/decrease in reserves	(361)	0	0	0	(361)	361	0	0	0	0	0
Operating Surplus/(Deficit)	(350)	56	(2)	(2)	(296)	(602)	0	0	0	0	(898)

**CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
FY 2012**
(millions of dollars)

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:											
Taxes	8,273	0	0	0	0	0	0	0	0	10	8,283
Miscellaneous receipts	15,182	(152)	(3,768)	(2,986)	(2,972)	0	(4,170)	0	0	19	1,153
Public Health	0	0	0	0	0	0	4,170	0	0	0	4,170
Federal Grants	40,598	0	0	0	0	5,339	0	(324)	0	(210)	45,403
Total receipts/revenues	64,053	(152)	(3,768)	(2,986)	(2,972)	5,339	0	(324)	0	(181)	59,009
Disbursements/expenditures:											
Grants to local governments	53,590	0	0	(3,149)	(46)	5,339	0	0	0	(402)	55,332
State operations	11,041	(137)	(3,907)	(4,663)	(165)	0	0	(313)	0	(49)	1,807
General State charges	2,132	0	(301)	(1,420)	(11)	0	0	0	0	(15)	385
Capital projects	5	0	0	0	0	0	0	0	0	0	5
Total disbursements/expenditures	66,768	(137)	(4,208)	(9,232)	(222)	5,339	0	(313)	0	(466)	57,529
Other financing sources (uses):											
Transfers from other funds	7,178	0	(513)	(7,055)	2,738	0	0	0	58	0	2,406
Transfers to other funds	(4,575)	0	62	753	0	0	0	11	(58)	0	(3,807)
Net other financing sources (uses)	2,603	0	(451)	(6,302)	2,738	0	0	11	0	0	(1,401)
Operating Surplus/(Deficit)	(112)	(15)	(11)	(56)	(12)	0	0	0	0	285	79

CASH TO GAAP CONVERSION TABLE
 CAPITAL PROJECTS FUND
 FY 2012
 (millions of dollars)

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:									
Taxes	1,338	0	0	0	0	0	0	0	1,338
Miscellaneous receipts	4,017	0	(70)	(912)	(7)	0	(2,351)	74	751
Federal Grants	2,294	0	0	0	0	0	0	0	2,294
Total receipts/revenues	7,649	0	(70)	(912)	(7)	0	(2,351)	74	4,383
Disbursements/expenditures:									
Grants to local governments	2,710	0	0	0	0	0	0	8	2,718
Capital projects	5,147	(45)	(70)	(952)	(7)	1,229	0	163	5,465
Total disbursements/expenditures	7,857	(45)	(70)	(952)	(7)	1,229	0	171	8,183
Other financing sources (uses):									
Transfers from other funds	1,038	(45)	0	0	0	0	0	0	993
Transfers to other funds	(1,435)	0	0	0	0	0	0	0	(1,435)
Proceeds of GO Bonds	484	0	0	0	0	0	0	0	484
Proceeds from Financing Arrangements/ Advance Refundings	0	0	0	0	0	1,337	2,351	0	3,688
Net other financing sources (uses)	87	(45)	0	0	0	1,337	2,351	0	3,730
Operating Surplus/(Deficit)	(121)	0	0	40	0	108	0	(97)	(70)

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
FY 2012
(millions of dollars)

	Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	Reclass SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:							
Taxes	13,006	0	0	0	0	110	13,116
Patient fees	0	0	0	453	0	0	453
Federal Grants	79	0	0	0	0	0	79
Miscellaneous receipts	949	(482)	(4)	(453)	0	0	10
Total receipts/revenues	14,034	(482)	(4)	0	0	110	13,658
Disbursements/expenses:							
State operations	62	(9)	0	0	0	0	53
Debt Service	5,834	(87)	0	0	(943)	0	4,804
Total disbursements/expenses	5,896	(96)	0	0	(943)	0	4,857
Other financing sources (uses):							
Transfers from other funds	6,380	0	0	0	0	0	6,380
Transfers to other funds	(14,410)	306	0	0	(943)	0	(15,047)
Net other financing sources (uses)	(8,030)	306	0	0	(943)	0	(8,667)
Operating Surplus/(Deficit)	108	(80)	(4)	0	0	110	134

STATE DEBT OUTSTANDING
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
FY 2011 THROUGH FY 2016
(thousands of dollars)

	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION BONDS						
Economic Development & Housing	78,014	65,520	55,170	45,685	37,175	30,095
Environment	1,427,033	1,286,577	1,179,768	1,081,545	1,002,600	909,605
Transportation	2,020,242	2,163,924	2,374,005	2,532,105	2,600,721	2,506,379
Subtotal General Obligation	<u>3,525,288</u>	<u>3,516,021</u>	<u>3,608,943</u>	<u>3,659,335</u>	<u>3,640,496</u>	<u>3,446,080</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	4,438,600	4,652,612	4,583,914	4,504,884	4,278,205	3,948,515
Education	8,304,755	9,391,555	10,647,553	11,957,977	13,109,758	14,152,463
Environment	1,015,675	1,256,751	1,361,117	1,435,337	1,490,800	1,538,522
Health & Mental Hygiene	1,369,255	1,933,409	1,950,110	1,963,709	1,836,205	1,718,143
State Facilities & Equipment	3,337,200	3,610,270	3,808,722	3,942,790	4,054,603	4,214,450
Transportation	2,520,350	2,735,365	2,994,352	3,235,991	3,458,319	3,660,386
Subtotal PIT Revenue Bonds	<u>20,985,835</u>	<u>23,579,962</u>	<u>25,345,768</u>	<u>27,040,688</u>	<u>28,227,890</u>	<u>29,232,479</u>
Other Revenue						
Education						
SUNY Dorms	1,139,920	1,364,250	1,602,807	1,581,531	1,526,492	1,572,565
Health & Mental Hygiene						
Health Income	299,760	281,475	267,115	252,100	236,155	219,225
Mental Health Services	2,753,455	2,613,336	3,092,359	3,455,515	3,776,940	4,111,298
Local Government Assistance						
Sales Tax	3,334,038	3,118,923	2,874,738	2,629,000	2,379,665	2,090,890
Transportation						
Dedicated Highway	7,267,105	7,680,719	7,674,648	7,650,109	7,607,728	7,598,010
Subtotal Other Revenue Bonds	<u>14,794,278</u>	<u>15,058,703</u>	<u>15,511,666</u>	<u>15,568,255</u>	<u>15,526,980</u>	<u>15,591,988</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	939,186	833,050	741,014	637,247	551,036	458,262
Education	5,057,428	4,779,278	4,372,125	3,975,834	3,570,410	3,185,043
Environment	137,781	119,075	104,346	89,468	80,334	70,632
Health & Mental Hygiene	44,000	40,485	36,805	32,940	29,075	25,210
State Facilities & Equipment	2,784,084	2,574,020	2,349,390	2,115,944	1,881,219	1,648,603
Transportation	3,353,885	3,116,910	2,899,845	2,664,345	2,434,745	2,199,845
Subtotal Service Contract & Lease-Purchase	<u>12,316,363</u>	<u>11,462,817</u>	<u>10,503,524</u>	<u>9,515,777</u>	<u>8,546,818</u>	<u>7,587,595</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	5,455,799	5,551,182	5,380,098	5,187,816	4,866,416	4,436,873
Education	14,502,103	15,535,083	16,622,484	17,515,341	18,206,660	18,910,071
Environment	2,580,488	2,662,402	2,645,231	2,606,350	2,573,733	2,518,759
Health & Mental Hygiene	4,466,470	4,868,705	5,346,389	5,704,264	5,878,375	6,073,875
LGAC	3,334,038	3,118,923	2,874,738	2,629,000	2,379,665	2,090,890
State Facilities & Equipment	6,121,284	6,184,289	6,158,112	6,058,734	5,935,821	5,863,053
Transportation	15,161,582	15,696,919	15,942,850	16,082,550	16,101,514	15,964,621
SUBTOTAL STATE-SUPPORTED	<u>51,621,763</u>	<u>53,617,502</u>	<u>54,969,902</u>	<u>55,784,055</u>	<u>55,942,184</u>	<u>55,858,142</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco	3,011,900	2,689,805	2,370,095	2,032,865	1,685,145	1,319,510
All Other	1,029,986	944,919	857,182	764,234	672,646	578,625
SUBTOTAL OTHER STATE	<u>4,041,886</u>	<u>3,634,724</u>	<u>3,227,277</u>	<u>2,797,099</u>	<u>2,357,791</u>	<u>1,898,135</u>
GRAND TOTAL STATE-RELATED						
	<u>55,663,650</u>	<u>57,252,226</u>	<u>58,197,179</u>	<u>58,581,154</u>	<u>58,299,974</u>	<u>57,756,277</u>

STATE DEBT OUTSTANDING FY 2011 THROUGH FY 2016 (thousands of dollars)						
	Actual FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION	3,525,288	3,516,021	3,608,943	3,659,335	3,640,496	3,446,080
LOCAL GOVERNMENT ASSISTANCE CORPORATION	3,334,038	3,118,923	2,874,738	2,629,000	2,379,665	2,090,890
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	2,062,790	2,005,455	1,945,135	1,881,555	1,814,515	1,743,765
Dormitory Authority						
Albany County Airport	20,810	18,160	15,425	12,590	9,660	6,580
Thruway Authority:						
Consolidated Local Highway Improvement	3,790,635	3,828,660	3,933,637	4,006,191	4,068,889	4,109,886
Dedicated Highway & Bridge	7,267,105	7,680,719	7,674,648	7,650,109	7,607,728	7,598,010
Education						
Dormitory Authority:						
SUNY Educational Facilities	6,380,216	6,992,079	7,481,849	8,044,500	8,475,588	8,914,799
SUNY Dormitory Facilities	1,139,920	1,364,250	1,602,807	1,581,531	1,526,492	1,572,565
SUNY Upstate Community Colleges	682,875	664,175	714,657	766,118	810,687	860,432
CUNY Educational Facilities	3,947,953	4,099,944	4,350,187	4,634,287	4,963,763	5,245,392
State Education Department	53,680	51,730	48,665	45,235	41,670	37,950
Library for the Blind	3,010	2,060	1,060	0	0	0
SUNY Athletic Facilities	16,330	15,510	14,675	13,815	12,330	10,785
RESCUE	79,675	63,065	53,650	43,765	39,530	34,805
University Facilities (Jobs 2000)	15,205	9,705	7,280	4,740	2,810	1,440
Judicial Training Institute	9,530	8,740	7,905	7,710	6,750	5,750
School District Capital Outlays	12,470	0	0	0	0	0
Statewide Longitudinal Data System	0	4,550	7,876	14,665	10,699	6,572
Higher Ed Capital Matching Grants	76,325	94,665	105,675	92,064	77,805	62,892
Public Broadcasting Facilities	8,540	7,085	5,560	3,955	2,275	1,165
EXCEL School Construction	2,005,840	2,076,810	2,106,277	2,101,676	2,042,095	1,958,207
Library Facilities	46,670	55,605	65,086	80,708	88,896	96,404
Cultural Educ Storage Facilities	9,135	8,955	23,654	37,917	56,679	54,937
Judiciary Training Academies	14,730	16,155	25,623	42,655	48,593	45,976
Health						
DOH & Veterans' Home Facilities	343,760	321,960	303,920	285,040	265,230	244,435
Health Care Grants	180,295	464,034	535,830	609,314	548,930	500,923
Mental Hygiene						
Mental Health Facilities	3,942,415	4,082,711	4,506,639	4,809,910	5,064,215	5,328,518
Public Protection						
ESDC:						
Prison Facilities	4,599,200	4,634,021	4,627,290	4,607,184	4,581,101	4,580,683
Youth Facilities	189,899	193,578	195,168	196,618	203,454	210,768
Homeland Security	16,820	15,770	14,548	12,683	10,545	7,828
Environment						
EFC/ERDA:						
Riverbank Park	43,980	41,360	38,575	35,440	32,145	28,690
Pilgrim Sewage Treatment	4,200	3,400	2,600	1,800	1,000	0
State Park Infrastructure	2,115	715	0	0	0	0
Pipeline for Jobs (Jobs 2000)	7,879	3,559	1,049	0	0	0
Environmental Infrastructure	689,116	762,013	776,006	797,962	812,066	819,434
Hazardous Waste Remediation	399,480	559,078	642,567	686,021	723,479	759,779
ESDC:						
Pine Barrens	6,686	5,700	4,666	3,583	2,444	1,250
State Buildings/Equipment						
ESDC:						
State Capital Projects	154,005	142,145	129,605	116,335	102,325	87,535
ESDC / DA / OGS						
State Facilities	873,498	860,918	851,788	783,401	715,511	690,231
Equipment / Certificates of Participation	279,596	337,857	339,712	342,512	322,885	286,007
E911	8,265	0	0	0	0	0
Housing						
Housing Finance Agency	1,549,280	1,591,183	1,574,445	1,557,080	1,506,660	1,410,956
Economic Development						
TBTA/ESDC						
Javits Center	41,845	0	0	0	0	0
ESDC/DA						
University Technology Centers	69,567	55,164	40,084	28,537	20,505	13,951
Onondaga Convention Center	28,875	26,240	23,475	20,575	17,540	14,355
Sports Facilities	209,855	193,540	176,525	158,725	140,120	120,625
Community Enhancement Facilities	65,842	57,482	53,672	43,181	34,726	26,461
Child Care Facilities	16,080	14,425	12,485	10,760	9,475	8,080
Buffalo Inner Harbor	23,185	22,430	21,640	20,775	19,395	17,705
Strategic Investment Program	24,420	28,460	22,825	20,715	17,805	14,600
Regional Economic Growth	502,990	402,271	294,779	226,463	175,725	127,556
NYS Econ. Dev. Program	222,241	238,781	219,170	198,565	175,241	150,870
High Technology & Development	146,530	138,045	126,705	114,615	100,405	85,555
Regional Economic Development	71,393	69,417	63,305	56,926	50,338	43,438
SUNY 2020	0	0	0	9,019	40,561	46,594
Semiconductor Manufacturing Facility	639,230	639,230	639,230	639,230	638,330	614,190
Other Economic Development	944,288	907,410	847,741	783,473	696,753	600,354
High Technology Projects	209,080	226,435	232,378	236,185	250,672	273,126
2008-2011 Economic Development Initiatives	584,690	853,424	960,543	1,007,652	926,514	831,122
RIOC Tram, etc.	28,395	21,725	15,925	9,655	8,475	7,240
Total Other Financing Arrangements	44,762,437	46,982,558	48,486,220	49,495,720	49,922,023	50,321,173
SUBTOTAL STATE-SUPPORTED DEBT	51,621,763	53,617,502	54,969,902	55,784,055	55,942,184	55,858,142

STATE DEBT OUTSTANDING
FY 2011 THROUGH FY 2016
(thousands of dollars)

	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
SUBTOTAL STATE-SUPPORTED	<u>51,621,763</u>	<u>53,617,502</u>	<u>54,969,902</u>	<u>55,784,055</u>	<u>55,942,184</u>	<u>55,858,142</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	585,410	534,670	481,660	423,390	362,870	299,860
Tobacco Settlement Financing Corp.	3,011,900	2,689,805	2,370,095	2,032,865	1,685,145	1,319,510
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	23,101	18,364	13,767	8,909	6,341	5,105
MCFFA Nursing Homes and Hospitals	2,480	2,035	1,560	1,045	490	15
State Guaranteed Debt						
Job Development Authority (JDA)	23,220	18,940	15,435	12,345	9,255	6,170
Other						
MBBA Prior Year School Aid Claims	395,775	370,910	344,760	318,545	293,690	267,475
SUBTOTAL OTHER STATE	<u>4,041,886</u>	<u>3,634,724</u>	<u>3,227,277</u>	<u>2,797,099</u>	<u>2,357,791</u>	<u>1,898,135</u>
GRAND TOTAL STATE-RELATED	<u><u>55,663,650</u></u>	<u><u>57,252,226</u></u>	<u><u>58,197,179</u></u>	<u><u>58,581,154</u></u>	<u><u>58,299,974</u></u>	<u><u>57,756,277</u></u>

STATE DEBT SERVICE
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
FY 2011 THROUGH FY 2016
(thousands of dollars)

	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION BONDS						
Economic Development & Housing	16,030	14,386	12,003	10,951	9,786	8,168
Environment	241,697	232,581	216,126	207,216	171,779	173,068
Transportation	241,909	253,476	266,777	275,323	311,015	304,566
Subtotal General Obligation	<u>499,636</u>	<u>500,442</u>	<u>494,906</u>	<u>493,490</u>	<u>492,581</u>	<u>485,802</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	557,371	591,271	630,496	620,982	616,857	653,296
Education	618,487	733,630	825,304	950,156	1,057,490	1,141,009
Environment	105,356	122,794	130,063	139,460	156,225	167,729
Health & Mental Hygiene	103,909	128,243	180,792	200,083	223,797	209,044
State Facilities & Equipment	260,211	330,989	388,012	413,280	455,215	417,758
Transportation	226,144	265,580	298,658	335,010	372,158	408,772
Subtotal PIT Revenue Bonds	<u>1,871,477</u>	<u>2,172,507</u>	<u>2,453,326</u>	<u>2,658,971</u>	<u>2,881,742</u>	<u>2,997,609</u>
Other Revenue						
Education						
SUNY Dorms	71,865	86,895	107,605	129,237	133,252	134,795
Health & Mental Hygiene						
Health Income	29,526	28,819	28,184	28,208	28,202	28,307
Mental Health Services	278,748	319,275	340,659	379,296	410,904	447,859
Local Government Assistance						
Sales Tax	339,865	384,280	395,269	384,817	393,164	393,453
Transportation						
Dedicated Highway	900,349	917,190	947,641	971,032	921,533	936,744
Subtotal Other Revenue Bonds	<u>1,620,353</u>	<u>1,736,459</u>	<u>1,819,358</u>	<u>1,892,591</u>	<u>1,887,054</u>	<u>1,941,158</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	159,723	162,637	132,688	129,305	115,632	113,048
Education	606,802	520,579	648,053	599,282	539,873	533,384
Environment	30,192	25,304	20,522	19,752	13,287	13,447
Health & Mental Hygiene	5,615	5,603	5,598	5,598	5,592	5,586
State Facilities & Equipment	412,441	356,295	357,179	350,475	340,040	326,229
Transportation	408,430	371,960	382,700	371,702	358,062	302,021
Subtotal Service Contract & Lease-Purchase	<u>1,623,203</u>	<u>1,442,379</u>	<u>1,546,739</u>	<u>1,476,114</u>	<u>1,372,486</u>	<u>1,293,715</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	733,124	768,294	775,188	761,238	742,275	774,512
Education	1,297,154	1,341,104	1,580,963	1,678,676	1,730,615	1,809,188
Environment	377,244	380,679	366,711	366,427	341,291	354,245
Health & Mental Hygiene	417,798	481,940	555,233	613,184	668,495	690,797
LGAC	339,865	384,280	395,269	384,817	393,164	393,453
State Facilities & Equipment	672,651	687,284	745,191	763,755	795,256	743,986
Transportation	1,776,833	1,808,205	1,895,776	1,953,068	1,962,769	1,952,103
Debt Management Strategies	0	0	0	(16,980)	(29,006)	(41,860)
SUBTOTAL STATE-SUPPORTED	<u>5,614,669</u>	<u>5,851,787</u>	<u>6,314,330</u>	<u>6,504,185</u>	<u>6,604,858</u>	<u>6,676,424</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco	395,387	446,289	437,734	450,064	443,516	443,150
All Other	139,721	136,659	135,442	136,358	130,503	128,330
SUBTOTAL OTHER STATE	<u>535,108</u>	<u>582,948</u>	<u>573,175</u>	<u>586,422</u>	<u>574,018</u>	<u>571,480</u>
GRAND TOTAL STATE-RELATED						
	<u>6,149,776</u>	<u>6,434,735</u>	<u>6,887,505</u>	<u>7,090,608</u>	<u>7,178,876</u>	<u>7,247,904</u>

STATE DEBT SERVICE FY 2011 THROUGH FY 2016 (thousands of dollars)						
	Actual FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION	499,636	500,442	494,906	493,490	492,581	485,802
LOCAL GOVERNMENT ASSISTANCE CORPORATION	339,865	384,280	395,269	384,817	393,164	393,453
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	164,965	164,992	164,992	164,997	164,996	164,991
Dormitory Authority						
Albany County Airport	3,474	3,481	3,486	3,481	3,485	3,481
Thruway Authority:						
Consolidated Local Highway Improvement	466,135	469,066	512,880	538,234	561,739	542,321
Dedicated Highway & Bridge	900,349	917,190	947,641	971,032	921,533	936,744
Education						
Dormitory Authority:						
SUNY Educational Facilities	612,502	537,540	745,206	802,070	817,194	808,942
SUNY Dormitory Facilities	71,865	86,895	107,605	129,237	133,252	134,795
SUNY Upstate Community Colleges	47,341	56,810	61,772	68,723	75,166	81,193
CUNY Educational Facilities	342,978	421,236	425,646	418,957	445,451	523,450
State Education Department	4,085	5,280	5,546	5,747	5,739	5,754
Library for the Blind	572	82	1,062	1,081	0	0
SUNY Athletic Facilities	1,538	1,526	1,519	1,517	2,100	2,097
RESCUE	20,593	12,466	12,671	12,666	6,507	6,789
University Facilities (Jobs 2000)	6,247	6,245	2,903	2,902	2,169	1,513
Judicial Training Institute	1,250	813	374	565	1,307	1,308
School District Capital Outlays	13,160	13,151	0	0	0	0
Transp Grants / Statewide Longitudinal Data System	0	77	2,095	4,388	4,516	4,520
Higher Ed Capital Matching Grants	11,715	15,493	18,369	18,949	18,946	18,948
Public Broadcasting Facilities	1,871	1,882	1,879	1,883	1,878	1,224
EXCEL School Construction	155,243	173,275	181,704	192,753	195,380	195,796
Library Facilities	4,354	5,701	8,016	9,748	11,014	12,281
Cultural Educ Storage Facilities	638	634	1,670	3,020	4,729	5,158
Judiciary Training Academies	1,203	1,998	2,924	4,469	5,267	5,421
Health						
DOH & Veterans' Home Facilities	35,141	34,422	33,781	33,806	33,794	33,893
Health Care Grants	18,695	29,716	55,443	72,058	91,080	76,536
Mental Hygiene						
Mental Health Facilities	363,962	417,802	466,009	507,320	543,622	580,367
Public Protection						
ESDC:						
Prison Facilities	451,276	454,152	481,515	499,792	514,621	497,829
Youth Facilities	31,075	25,488	27,957	28,426	23,352	23,471
Homeland Security	1,986	2,202	2,905	4,487	5,061	5,817
Environment						
EFC/ERDA:						
Riverbank Park	4,750	4,757	4,933	4,937	4,932	4,929
Pilgrim Sewage Treatment	786	857	826	794	761	927
State Park Infrastructure	1,502	1,506	751	0	0	0
Pipeline for Jobs (Jobs 2000)	6,031	4,680	2,673	1,099	0	0
Environmental Infrastructure	95,505	99,415	92,942	88,023	92,373	99,911
Hazardous Waste Remediation	25,657	35,570	47,148	63,048	70,130	74,096
ESDC:						
Pine Barrens	1,317	1,312	1,312	1,312	1,315	1,314
State Buildings/Equipment						
ESDC:						
Empire State Plaza	34,430	0	0	0	0	0
State Buildings	8,667	8,018	10,625	10,624	10,620	10,626
State Capital Projects	20,508	20,607	20,615	20,612	20,608	20,615
ESDC / DA						
State Facilities	47,739	125,832	137,026	127,136	125,748	73,748
Equipment / Certificates of Participation	68,292	48,144	64,548	72,677	95,246	111,882
E911	8,677	2,841	0	0	0	0
Housing						
Housing Finance Agency	157,814	168,896	193,860	199,591	194,482	200,442
Economic Development						
TBTA/ESDC						
Javits Center	41,844	41,845	0	0	0	0
ESDC/DA						
University Technology Centers	30,590	22,544	22,553	18,308	14,257	12,420
Onondaga Convention Center	4,025	4,027	4,034	4,032	4,027	4,025
Sports Facilities	26,258	26,260	26,263	26,275	26,265	26,257
Community Enhancement Facilities	20,584	11,338	13,195	9,482	10,538	9,950
Child Care Facilities	2,131	2,411	1,064	2,273	1,771	1,827
Buffalo Inner Harbor	1,871	1,856	1,856	1,900	2,375	2,630
Strategic Investment Program	5,459	5,898	6,864	3,125	3,834	4,000
Regional Economic Growth	145,626	140,943	123,165	84,389	63,418	58,302
NYS Econ. Dev. Program	27,588	26,845	30,369	30,537	32,430	32,456
High Technology & Development	15,188	17,555	17,772	18,044	19,654	19,657
Regional Economic Development	6,682	7,405	7,793	7,786	7,713	7,718
SUNY 2020	0	0	0	0	896	3,961
Semiconductor Manufacturing Facility	31,869	35,411	35,411	35,411	36,311	59,533
Other Economic Development	86,417	98,146	100,269	102,607	122,575	128,647
High Technology Projects	33,849	52,148	56,635	61,154	52,543	46,483
2008-2011 Economic Development Initiatives	72,541	82,839	115,531	138,503	137,799	146,433
RIOC Tram, etc.	6,757	7,543	6,551	6,870	1,601	1,603
Debt Management Strategies	0	0	0	(16,980)	(29,006)	(41,860)
Total Other Financing Arrangements	4,775,168	4,967,064	5,424,155	5,625,878	5,719,114	5,797,169
SUBTOTAL STATE-SUPPORTED DEBT SERVICE	5,614,669	5,851,787	6,314,330	6,504,185	6,604,858	6,676,424

STATE DEBT SERVICE FY 2011 THROUGH FY 2016 (thousands of dollars)						
	Actual FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
SUBTOTAL STATE-SUPPORTED	<u>5,614,669</u>	<u>5,851,787</u>	<u>6,314,330</u>	<u>6,504,185</u>	<u>6,604,858</u>	<u>6,676,424</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	81,507	79,017	79,212	82,049	81,603	81,230
Tobacco Settlement Financing Corp.	395,387	446,289	437,734	450,064	443,516	443,150
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	6,468	6,346	5,901	5,862	3,254	1,741
MCFFA Nursing Homes and Hospitals	632	645	639	641	640	515
State Guaranteed Debt						
Job Development Authority (JDA)	5,925	5,469	4,497	3,915	3,742	3,578
Other						
MBBA Prior Year School Aid Claims	45,189	45,182	45,192	43,891	41,265	41,266
SUBTOTAL OTHER STATE	<u>535,108</u>	<u>582,948</u>	<u>573,175</u>	<u>586,422</u>	<u>574,018</u>	<u>571,480</u>
GRAND TOTAL STATE-RELATED	<u><u>6,149,776</u></u>	<u><u>6,434,735</u></u>	<u><u>6,887,505</u></u>	<u><u>7,090,608</u></u>	<u><u>7,178,876</u></u>	<u><u>7,247,904</u></u>

STATE DEBT ISSUANCES
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
FY 2011 THROUGH FY 2016
(thousands of dollars)

	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION BONDS						
Environment	43,472	38,664	58,183	60,316	45,856	35,128
Transportation	456,578	313,067	378,051	318,728	252,866	75,853
Subtotal General Obligation	<u>500,050</u>	<u>351,731</u>	<u>436,234</u>	<u>379,044</u>	<u>298,722</u>	<u>110,981</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	670,755	606,102	341,914	310,144	153,188	95,749
Education	1,690,820	1,345,730	1,532,391	1,622,191	1,486,645	1,385,646
Environment	0	314,271	174,930	146,064	137,394	135,966
Health & Mental Hygiene	274,085	617,339	105,438	119,522	0	0
State Facilities & Equipment	724,105	447,910	404,889	350,647	357,721	356,803
Transportation	368,030	351,895	411,468	411,468	411,468	411,468
Subtotal PIT Revenue Bonds	<u>3,727,795</u>	<u>3,683,247</u>	<u>2,971,031</u>	<u>2,960,036</u>	<u>2,546,416</u>	<u>2,385,632</u>
Other Revenue						
Education						
SUNY Dorms	128,340	260,000	280,797	30,473	0	102,000
Health & Mental Hygiene						
Mental Health Services	0	46,053	676,750	570,076	534,755	561,011
Transportation						
Dedicated Highway	0	964,449	568,073	566,173	564,748	542,199
Subtotal Other Revenue Bonds	<u>128,340</u>	<u>1,270,502</u>	<u>1,525,620</u>	<u>1,166,721</u>	<u>1,099,503</u>	<u>1,205,210</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	670,755	606,102	341,914	310,144	153,188	95,749
Education	1,819,160	1,605,730	1,813,188	1,652,663	1,486,645	1,487,646
Environment	43,472	352,935	233,113	206,380	183,250	171,094
Health & Mental Hygiene	274,085	663,392	782,188	689,598	534,755	561,011
State Facilities & Equipment	724,105	447,910	404,889	350,647	357,721	356,803
Transportation	824,608	1,629,411	1,357,592	1,296,369	1,229,082	1,029,520
SUBTOTAL STATE-SUPPORTED	<u>4,356,185</u>	<u>5,305,480</u>	<u>4,932,884</u>	<u>4,505,801</u>	<u>3,944,641</u>	<u>3,701,823</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco						
	0	0	0	0	0	0
All Other						
	0	0	0	0	0	0
SUBTOTAL OTHER STATE	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
GRAND TOTAL STATE-RELATED						
	<u>4,356,185</u>	<u>5,305,480</u>	<u>4,932,884</u>	<u>4,505,801</u>	<u>3,944,641</u>	<u>3,701,823</u>

STATE DEBT ISSUANCES FY 2011 THROUGH FY 2016 (thousands of dollars)						
	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION	500,050	351,731	436,234	379,044	298,722	110,981
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Thruway Authority:						
Consolidated Local Highway Improvement	368,030	351,895	411,468	411,468	411,468	411,468
Dedicated Highway & Bridge	0	964,449	568,073	566,173	564,748	542,199
Education						
Dormitory Authority:						
SUNY Educational Facilities	1,032,600	795,050	847,618	937,031	826,686	772,626
SUNY Dormitory Facilities	128,340	260,000	280,797	30,473	0	102,000
SUNY Upstate Community Colleges	49,390	0	73,876	78,540	75,990	81,600
CUNY Educational Facilities	444,480	368,960	444,946	468,716	520,444	517,140
Statewide Longitudinal Data System	0	4,550	5,100	10,608	0	0
Higher Ed Capital Matching Grants	0	29,565	23,970	0	0	0
EXCEL School Construction	151,640	132,630	96,081	71,400	20,400	0
Library Facilities	12,710	12,240	14,280	21,216	14,280	14,280
Cultural Educ Storage Facilities	0	0	15,300	15,300	20,400	0
Judiciary Training Academies	0	2,735	11,220	19,380	8,446	0
Health						
Health Care Grants	0	300,139	105,438	119,522	0	0
Mental Hygiene						
Mental Health Facilities	274,085	363,253	676,750	570,076	534,755	561,011
Public Protection						
ESDC:						
Prison Facilities	266,465	249,135	234,870	241,409	247,517	253,739
Youth Facilities	20,410	19,380	19,380	19,380	19,380	19,380
Environment						
EFC/ERDA:						
Environmental Infrastructure	0	141,205	70,890	71,400	65,178	63,750
Hazardous Waste Remediation	0	173,066	104,040	74,664	72,216	72,216
State Buildings/Equipment						
ESDC / DA						
State Facilities	296,695	86,575	98,619	28,659	29,624	22,484
Equipment / Certificates of Participation	140,535	92,820	52,020	61,200	61,200	61,200
Housing						
Housing Finance Agency	0	131,208	110,345	115,847	70,407	37,623
Economic Development						
ESDC/DA						
Buffalo Inner Harbor	1,560	0	0	0	0	0
Strategic Investment Program	1,520	8,730	0	0	0	0
Regional Economic Growth	19,760	20,285	0	0	0	0
NYS Econ. Dev. Program	7,390	32,725	0	0	0	0
High Technology & Development	17,540	2,275	0	0	0	0
Regional Economic Development	5,415	3,595	0	0	0	0
SUNY 2020	0	0	0	9,019	31,781	7,127
Semiconductor Manufacturing Facility	236,435	0	0	0	0	0
Other Economic Development	167,080	19,320	0	0	0	0
High Technology Projects	60,995	61,200	51,000	51,000	51,000	51,000
2008-2011 Economic Development Initiatives	153,060	326,764	180,570	134,279	0	0
Total Other Financing Arrangements	<u>3,856,135</u>	<u>4,953,749</u>	<u>4,496,650</u>	<u>4,126,757</u>	<u>3,645,919</u>	<u>3,590,842</u>
TOTAL ISSUANCES	<u>4,356,185</u>	<u>5,305,480</u>	<u>4,932,884</u>	<u>4,505,801</u>	<u>3,944,641</u>	<u>3,701,823</u>

STATE DEBT RETIREMENTS
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
FY 2011 THROUGH FY 2016
(thousands of dollars)

	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION BONDS						
Economic Development & Housing	12,742	12,493	10,350	9,485	8,510	7,080
Environment	181,724	179,120	164,992	158,539	124,801	128,123
Transportation	170,225	169,385	167,970	160,629	184,250	170,194
Subtotal General Obligation	<u>364,692</u>	<u>360,998</u>	<u>343,312</u>	<u>328,652</u>	<u>317,561</u>	<u>305,397</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	362,335	392,090	410,612	389,174	379,867	425,439
Education	219,650	258,930	276,393	311,767	334,863	342,941
Environment	59,585	73,195	70,564	71,844	81,932	88,243
Health & Mental Hygiene	47,180	53,185	88,738	105,923	127,504	118,062
State Facilities & Equipment	125,895	174,840	206,436	216,579	245,909	196,956
Transportation	110,105	136,880	152,481	169,829	189,139	209,401
Subtotal PIT Revenue Bonds	<u>924,750</u>	<u>1,089,120</u>	<u>1,205,224</u>	<u>1,265,117</u>	<u>1,359,214</u>	<u>1,381,043</u>
Other Revenue						
Education						
SUNY Dorms	32,130	35,670	42,240	51,749	55,039	55,927
Health & Mental Hygiene						
Health Income	13,980	14,665	14,360	15,015	15,945	16,930
Mental Health Services	176,275	186,172	197,727	206,920	213,330	226,653
Local Government Assistance						
Sales Tax	218,472	210,450	244,185	245,738	249,335	288,775
Transportation						
Dedicated Highway	269,850	545,605	574,145	590,711	607,129	551,917
Subtotal Other Revenue Bonds	<u>710,707</u>	<u>992,562</u>	<u>1,072,657</u>	<u>1,110,133</u>	<u>1,140,778</u>	<u>1,140,202</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	115,141	96,201	92,036	103,767	86,211	92,774
Education	308,265	430,861	407,153	396,290	405,424	385,367
Environment	22,390	18,706	14,729	14,878	9,134	9,704
Health & Mental Hygiene	3,365	3,515	3,680	3,865	3,865	3,865
State Facilities & Equipment	202,950	208,484	224,629	233,447	234,725	232,616
Transportation	197,380	236,975	217,065	235,500	229,600	234,900
Subtotal Service Contract & Lease-Purchase	<u>849,491</u>	<u>994,741</u>	<u>959,293</u>	<u>987,747</u>	<u>968,959</u>	<u>959,225</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	490,218	500,784	512,998	502,426	474,588	525,293
Education	560,045	725,461	725,786	759,806	795,326	784,235
Environment	263,699	271,021	250,284	245,260	215,867	226,070
Health & Mental Hygiene	240,800	257,537	304,504	331,723	360,644	365,511
LGAC	218,472	210,450	244,185	245,738	249,335	288,775
State Facilities & Equipment	328,845	383,324	431,066	450,026	480,634	429,571
Transportation	747,560	1,088,845	1,111,661	1,156,670	1,210,118	1,166,412
SUBTOTAL STATE-SUPPORTED	<u>2,849,639</u>	<u>3,437,422</u>	<u>3,580,484</u>	<u>3,691,649</u>	<u>3,786,513</u>	<u>3,785,867</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco	244,905	235,530	319,710	337,230	347,720	365,635
All Other	83,824	85,067	87,737	92,949	91,588	94,021
SUBTOTAL OTHER STATE DEBT	<u>328,729</u>	<u>320,597</u>	<u>407,447</u>	<u>430,179</u>	<u>439,308</u>	<u>459,656</u>
GRAND TOTAL STATE-RELATED						
	<u>3,178,368</u>	<u>3,758,019</u>	<u>3,987,931</u>	<u>4,121,827</u>	<u>4,225,821</u>	<u>4,245,523</u>

STATE DEBT RETIREMENTS FY 2011 THROUGH FY 2016 (thousands of dollars)						
	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
GENERAL OBLIGATION	364,692	360,998	343,312	328,652	317,561	305,397
LOCAL GOVERNMENT ASSISTANCE CORPORATION	218,472	210,450	244,185	245,738	249,335	288,775
OTHER LEASE-PURCHASE AND CONTRACTUAL OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	54,550	57,335	60,320	63,580	67,040	70,750
Dormitory Authority						
Albany County Airport	2,530	2,650	2,735	2,835	2,930	3,080
Thruway Authority:						
Consolidated Local Highway Improvement	250,405	313,870	306,491	338,914	348,769	370,471
Dedicated Highway & Bridge	269,850	545,605	574,145	590,711	607,129	551,917
Education						
Dormitory Authority:						
SUNY Educational Facilities	237,944	335,896	357,849	374,380	395,598	333,415
SUNY Dormitory Facilities	32,130	35,670	42,240	51,749	55,039	55,927
SUNY Upstate Community Colleges	21,715	18,700	23,394	27,078	31,422	31,855
CUNY Educational Facilities	160,522	216,969	194,703	184,615	190,968	235,510
State Education Department	2,280	1,950	3,065	3,430	3,565	3,720
Library for the Blind	900	950	1,000	1,060	0	0
SUNY Athletic Facilities	815	820	835	860	1,485	1,545
RESCUE	15,750	16,610	9,415	9,885	4,235	4,725
University Facilities (Jobs 2000)	5,255	5,500	2,425	2,540	1,930	1,370
Judicial Training Institute	750	790	835	195	960	1,000
School District Capital Outlays	11,835	12,470	0	0	0	0
Transp Grants / Statewide Longitudinal Data System	0	0	1,774	3,819	3,966	4,127
Higher Ed Capital Matching Grants	8,205	11,225	12,960	13,610	14,259	14,913
Public Broadcasting Facilities	1,375	1,455	1,525	1,605	1,680	1,110
EXCEL School Construction	57,415	61,660	66,614	76,001	79,981	83,888
Library Facilities	2,450	3,305	4,799	5,594	6,092	6,772
Cultural Educ Storage Facilities	175	180	601	1,036	1,638	1,742
Judiciary Training Academies	530	1,310	1,752	2,348	2,508	2,617
Health						
DOH & Veterans' Home Facilities	17,345	18,180	18,040	18,880	19,810	20,795
Health Care Grants	10,020	16,400	33,643	46,038	60,384	48,007
Mental Hygiene						
Mental Health Facilities	213,435	222,957	252,822	266,805	280,450	296,708
Public Protection						
ESDC:						
Prison Facilities	194,207	214,314	241,601	261,515	273,601	254,157
Youth Facilities	22,612	15,701	17,790	17,930	12,544	12,066
Homeland Security	1,000	1,050	1,222	1,865	2,138	2,717
Environment						
EFC/ERDA:						
Riverbank Park	2,470	2,620	2,785	3,135	3,295	3,455
Pilgrim Sewage Treatment	700	800	800	800	800	1,000
State Park Infrastructure	1,330	1,400	715	0	0	0
Pipeline for Jobs (Jobs 2000)	5,415	4,320	2,510	1,050	0	0
Environmental Infrastructure	65,062	68,307	56,897	49,444	51,074	56,382
Hazardous Waste Remediation	6,053	13,468	20,551	31,210	34,757	35,916
ESDC:						
Pine Barrens	945	986	1,034	1,083	1,139	1,194
State Buildings/Equipment						
ESDC:						
Empire State Plaza	6,110	0	0	0	0	0
State Capital Projects	11,225	11,860	12,540	13,270	14,010	14,790
ESDC / DA / OGS						
State Facilities	27,304	97,574	107,749	97,046	97,515	47,764
Equipment / Certificates of Participation	58,516	34,559	50,165	58,401	80,826	98,078
E911	7,870	8,265	0	0	0	0
Housing						
Housing Finance Agency	92,830	79,370	127,082	133,212	120,827	133,326
Economic Development						
TBTA/ESDC						
Javits Center	39,475	41,845	0	0	0	0
ESDC/DA						
University Technology Centers	13,778	14,403	15,080	11,547	8,031	6,554
Onondaga Convention Center	2,510	2,635	2,765	2,900	3,035	3,185
Sports Facilities	15,685	16,315	17,015	17,800	18,605	19,495
Community Enhancement Facilities	22,405	8,360	3,810	10,491	8,455	8,265
Child Care Facilities	1,335	1,655	1,940	1,725	1,285	1,395
Buffalo Inner Harbor	785	755	790	865	1,380	1,690
Strategic Investment Program	4,195	4,690	5,635	2,110	2,910	3,205
Regional Economic Growth	117,200	121,004	107,492	68,316	50,738	48,169
NYS Econ. Dev. Program	16,961	16,185	19,611	20,605	23,324	24,371
High Technology & Development	8,500	10,760	11,340	12,090	14,210	14,850
Regional Economic Development	4,839	5,571	6,112	6,379	6,588	6,900
SUNY 2020	0	0	0	0	239	1,095
Semiconductor Manufacturing Facility	5,615	0	0	0	900	24,140
Other Economic Development	47,268	56,198	59,669	64,269	86,720	96,399
High Technology Projects	26,010	43,845	45,057	47,193	36,513	28,545
2008-2011 Economic Development Initiatives	52,270	58,030	73,451	87,169	81,138	95,393
RIOC Tram, etc.	5,815	6,670	5,800	6,270	1,180	1,235
Total Other Financing Arrangements	2,266,476	2,865,974	2,992,988	3,117,259	3,219,616	3,191,694
SUBTOTAL STATE-SUPPORTED RETIREMENTS	2,849,639	3,437,422	3,580,484	3,691,649	3,786,513	3,785,867

STATE DEBT RETIREMENTS
FY 2011 THROUGH FY 2016
(thousands of dollars)

	Actual					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
SUBTOTAL STATE-SUPPORTED	2,849,639	3,437,422	3,580,484	3,691,649	3,786,513	3,785,867
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	50,635	50,740	53,010	58,270	60,520	63,010
Tobacco Settlement Financing Corp.	244,905	235,530	319,710	337,230	347,720	365,635
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	4,564	4,737	4,597	4,859	2,568	1,236
MCFFA Nursing Homes and Hospitals	400	445	475	515	555	475
State Guaranteed Debt						
Job Development Authority (JDA)	4,525	4,280	3,505	3,090	3,090	3,085
Other						
MBBA Prior Year School Aid Claims	23,700	24,865	26,150	26,215	24,855	26,215
SUBTOTAL OTHER STATE	<u>328,729</u>	<u>320,597</u>	<u>407,447</u>	<u>430,179</u>	<u>439,308</u>	<u>459,656</u>
GRAND TOTAL STATE-RELATED	<u>3,178,368</u>	<u>3,758,019</u>	<u>3,987,931</u>	<u>4,121,827</u>	<u>4,225,821</u>	<u>4,245,523</u>

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
001	Local Assistance Account	General
002	State Capital Projects	Capital Projects
003	State Operations Account	General
004	Tax Stabilization Reserve	General
005	Contingency Reserve	General
006	Universal Pre-Kindergarten Reserve	General
007	Community Projects	General
008	Rainy Day Reserve Fund	General
013	Attica State Employee Victims'	General
017	Refund Reserve Account	General
019	Mental Health Gift and Donations	Special Revenue
020	Combined Expendable Trust	Special Revenue
021	Agriculture Producers' Security	Private Purpose Trust
022	Milk Producers' Security	Private Purpose Trust
023	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	New York State Archives Partnership Trust	Special Revenue
025	Child Performer's Protection	Special Revenue
050	Tuition Reimbursement	Special Revenue
052	New York State Local Government Records Management Improvement	Special Revenue
053	School Tax Relief	Special Revenue
054	Charter Schools Stimulus	Special Revenue
055	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	Hudson River Valley Greenway	Special Revenue
059	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	Health Care Reform Act Resources	Special Revenue
064	Debt Reduction Reserve	Debt Service
065	State University Construction Fund Educational Facilities Payment	Debt Service
072	Dedicated Highway and Bridge Trust	Capital Projects
073	Dedicated Mass Transportation Trust	Special Revenue
074	State University Residence Halls Rehabilitation and Repair	Capital Projects
075	New York State Canal System Development	Capital Projects
076	State Park Infrastructure	Capital Projects
077	Passenger Facility Charge	Capital Projects
078	Environmental Protection	Capital Projects
079	Clean Water/Clean Air Implementation	Capital Projects
080	Hudson River Park	Capital Projects
101	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	Park and Recreation Land Acquisition Bond	Capital Projects
105	Pure Waters Bond	Capital Projects
106	Outdoor Recreation Development Bond	Capital Projects
109	Transportation Capital Facilities Bond	Capital Projects
115	Environmental Quality Protection Bond Act (1972)	Capital Projects
118	Rail Preservation and Development Bond	Capital Projects

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
119	State Housing Bond	Capital Projects
121	Rebuild and Renew New York Transportation Bond	Capital Projects
123	Transportation Infrastructure Renewal Bond	Capital Projects
124	Environmental Quality Bond Act (1986)	Capital Projects
126	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	Clean Water/Clean Air Bond	Capital Projects
129	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	School Capital Facilities Financing Reserve	Agency
135	Child Performer's Holding	Agency
136	Child Performer's Holding	Agency
137	Child Performer's Holding	Agency
152	Employees Health Insurance	Agency
153	Social Security Contribution	Agency
154	Employee Payroll Withholding	Agency
160	State Lottery	Special Revenue
162	Employees Dental Insurance	Agency
163	Management Confidential Group Insurance	Agency
165	Lottery Prize	Agency
166	Fringe Benefit Escrow	General
167	Health Insurance Reserve Receipts	Agency
169	Miscellaneous New York State Agency	Agency
174	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency
176	CUNY Senior College Operating	Agency
179	Medicaid Management Information System (MMIS) Escrow	Agency
221	Combined Student Loan	Special Revenue
225	MTA Financial Assistance Fund	Special Revenue
261	Federal USDA/Food and Nutrition Services	Special Revenue
265	Federal Health and Human Services	Special Revenue
267	Federal Education	Special Revenue
269	Federal Block Grants	Special Revenue
290	Federal Miscellaneous Operating Grants	Special Revenue
291	Federal Capital Projects	Capital Projects
300	Sewage Treatment Program Management and Administration	Special Revenue
301	ENCon Special Revenue	Special Revenue
302	Conservation	Special Revenue
303	Environmental Protection and Oil Spill Compensation	Special Revenue
304	Mental Health Services	Debt Service
305	Training and Education Program on Occupational Safety and Health	Special Revenue
306	Lawyers' Fund For Client Protection	Special Revenue
307	Equipment Loan Fund for the Disabled	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
309	Special Education	Agency
310	Forest Preserve Expansion	Capital Projects
311	General Debt Service	Debt Service
312	Hazardous Waste Remedial	Capital Projects
313	Mass Transportation Operating Assistance	Special Revenue
314	Clean Air	Special Revenue
315	Grade Crossing Elimination Debt Service	Debt Service
316	Housing Debt	Debt Service
317	Pine Barrens	Capital Projects
318	New York State Infrastructure Trust	Special Revenue
319	Department of Health Income	Debt Service
321	Legislative Computer Services	Special Revenue
322	Lake Champlain Bridges	Capital Projects
323	Centralized Services	Internal Service
324	Youth Commissary	Enterprise
325	State Exposition Special	Enterprise
326	Correctional Services Commissary	Enterprise
327	Suburban Transportation	Capital Projects
328	Biodiversity Stewardship and Research	Special Revenue
330	State University Dormitory Income	Debt Service
331	Agency Enterprise	Enterprise
332	Combined Non-Expendable Trust	Special Revenue
333	Winter Sports Education Trust	Special Revenue
334	Agency Internal Service	Internal Service
335	Musical Instrument Revolving	Special Revenue
337	Rural Housing Assistance	Special Revenue
338	Arts Capital Revolving	Special Revenue
339	Miscellaneous State Special Revenue	Special Revenue
340	Court Facilities Incentive Aid	Special Revenue
341	Employment Training	Special Revenue
342	Homeless Housing and Assistance	Special Revenue
343	Mental Hygiene Revolving	Internal Service
344	State University Revenue Collection	Agency
345	State University Income	Special Revenue
346	Chemical Dependence Service	Special Revenue
347	Youth Vocational Education	Internal Service
348	Tobacco Revenue Guarantee	General
349	Lake George Park Trust	Special Revenue
351	Sheltered Workshop	Enterprise
352	Patient Workshop	Enterprise
353	Mental Hygiene Community Stores	Enterprise
354	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	New York Great Lakes Protection	Special Revenue
357	Division For Youth Facilities Improvement	Capital Projects
358	Youth Centers Facility	Capital Projects
359	Federal Revenue Maximization Contract	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
360	Housing Development	Special Revenue
361	Clean Water/Clean Air	Debt Service
362	NYSDOT Commercial Vehicle Safety Program	Special Revenue
364	Local Government Assistance Tax	Debt Service
365	Vocational Rehabilitation	Special Revenue
366	Drinking Water Program Management and Administration	Special Revenue
368	New York City County Clerks' Operations Offset	Special Revenue
369	Judiciary Data Processing Offset	Special Revenue
374	Housing Assistance	Capital Projects
376	Housing Program	Capital Projects
377	City University Tuition Reimbursement (CUTRA)	Special Revenue
378	Natural Resource Damages	Capital Projects
380	Department of Transportation Engineering Services	Capital Projects
382	State University Federal Direct Lending	Agency
383	Supplemental Jury Facilities	Special Revenue
384	State University Capital Projects	Capital Projects
385	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	Miscellaneous Capital Projects	Capital Projects
388	City University of New York Capital Projects	Capital Projects
389	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	Indigent Legal Services	Special Revenue
394	Joint Labor and Management Administration	Internal Service
395	Audit and Control Revolving	Internal Service
396	Health Insurance Revolving	Internal Service
397	Correctional Industries Revolving	Internal Service
399	Correctional Facilities Capital Improvement	Capital Projects
400	Common Retirement - Administration	Pension Trust
450	Industrial Exhibit Authority	Enterprise
480	Unemployment Insurance Administration	Special Revenue
481	Unemployment Insurance Benefit	Enterprise
482	Unemployment Insurance Interest and Penalty	Special Revenue
484	Unemployment Insurance Occupational Training	Special Revenue
486	Federal Employment and Training Grants	Special Revenue

STATE OF NEW YORK FUND STRUCTURE

