



**New York State
Mid-Year Financial Plan Update
2010-11 through 2013-14**

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INTRODUCTION

This Mid-Year Financial Plan (the “Mid-Year Financial Plan” or “Mid-Year Update”) revises the State’s fiscal projections for the 2010-11 through 2013-14 fiscal years that were set forth in the Enacted Budget Financial Plan issued on August 20, 2010. The Mid-Year Financial Plan reflects updated receipts and disbursements estimates based on DOB’s¹ revised economic forecasts for the nation and State, operating results through the first six months of the 2010-11 fiscal year, a review of factors affecting the long-term current services forecast, and other information.

The Mid-Year Financial Plan is intended to assist the Legislature and public in understanding the current operating forecast and the impact on State finances over a multi-year period. It is available at www.budget.state.ny.us or by contacting the Division of the Budget, State Capitol, Albany, NY 12224, (518) 474-8282.

“QUICK START” PROCESS

The statutory “quick start” budget process requires each house of the Legislature, the State Comptroller, and the Executive to separately prepare detailed reports containing multi-year cash projections of receipts and disbursements by November 5. The reports must include, at a minimum, detailed projections of receipts (for major tax categories, lottery receipts, and miscellaneous receipts) and disbursements for major program areas (for Medicaid, public assistance, and school aid) for the current year and next two fiscal years (2011-12 and 2012-13), as well as underlying factors and data assumptions contributing to the estimates.

This Mid-Year Financial Plan fulfills the Executive’s requirement to issue a comprehensive public report by November 5. The forecasts contained herein are intended to provide a basis for the initial “quick start” discussions with the Legislature, and will serve as a foundation for the formulation of the Executive Budget for 2011-12.

Meetings are expected to be held among the parties to review the Mid-Year forecasts and identify and evaluate differences, followed by a public meeting.

In addition, to help the Legislature and public better understand the composition of the Financial Plan forecasts and to foster productive dialogue on budget issues, DOB plans to again publish explanations of the methodologies used to prepare the forecasts for receipts and major spending programs, including welfare, Medicaid, school aid, debt service, health insurance, and pensions. The explanations will supplement the detailed forecasts set forth in the Mid-Year Financial Plan.

¹ Please see Glossary of Acronyms for the definitions of acronyms and abbreviations that appear in the text.

FINANCIAL PLAN OVERVIEW

FINANCIAL PLAN AT-A-GLANCE

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)					
	2009-10 Adjusted ^{1,2}	2010-11			2011-12 Current Services ¹
		Enacted Budget ¹	Change	Mid-Year Update ¹	
State Operating Funds Budget					
Size of Budget	\$78,934	\$78,998	\$203	\$79,201	\$92,315
Annual Growth	1.0%	0.1%	0.2%	0.3%	16.6%
Other Budget Measures (Annual Change)					
General Fund (with transfers)	\$54,262 -0.6%	\$53,533 -1.3%	\$153 0.2%	\$53,686 -1.1%	\$66,131 23.2%
State Funds (Including Capital)	\$84,094 1.1%	\$85,073 1.2%	\$258 0.3%	\$85,331 1.5%	\$98,615 15.6%
Capital Budget (Federal and State)	\$7,112 4.1%	\$8,454 18.9%	\$54 0.7%	\$8,508 19.6%	\$8,616 1.3%
Federal Operating	\$44,891 22.7%	\$46,375 3.3%	\$1,253 2.8%	\$47,628 6.1%	\$43,385 -8.9%
All Funds	\$130,937 7.7%	\$133,827 2.2%	\$1,510 1.2%	\$135,337 3.4%	\$144,316 6.6%
All Funds (Including "Off-Budget" Capital)	\$132,614 7.1%	\$135,478 2.2%	\$1,640 1.2%	\$137,118 3.4%	\$145,918 6.4%
Inflation (CPI) (Annual Change)	0.3%	1.1%	0.2%	1.3%	1.7%
Personal Income (Annual Change)	0.2%	4.3%	-0.3%	4.0%	4.4%
All Funds Receipts (Annual Change)					
Taxes	\$57,668 -4.4%	\$61,796 7.2%	(\$343) -0.6%	\$61,453 6.6%	\$65,516 6.6%
Miscellaneous Receipts	\$23,557 17.4%	\$23,014 -2.3%	\$204 0.9%	\$23,218 -1.4%	\$22,871 -1.5%
Federal Grants	\$47,523 22.4%	\$49,486 4.1%	\$1,079 2.3%	\$50,565 6.4%	\$46,280 -8.5%
Total Receipts	\$128,748 8.0%	\$134,296 4.3%	\$940 0.7%	\$135,236 5.0%	\$134,667 -0.4%
Base Tax Growth/(Decline)³	-12.3%	2.2%	0.1%	2.3%	8.1%
General Fund/HCRA Budget Gap Forecast					
2010-11 ⁴	N/A	\$0	(\$315)	(\$315)	(\$315)
2011-12	N/A	(\$8,177)	(\$849)	(\$9,026)	(\$9,026)
2012-13	N/A	(\$13,461)	(\$1,183)	(\$14,644)	(\$14,644)
2013-14	N/A	(\$15,563)	(\$1,669)	(\$17,232)	(\$17,232)
Total General Fund Reserves					
Rainy Day Reserves	\$2,302	\$1,385	\$0	\$1,385	\$1,337
Reserved for Deferred Payments ⁵	\$1,206	\$1,206	\$0	\$1,206	\$1,206
Reserved for Other Payments	\$906	\$0	\$0	\$0	\$0
All Other Reserves	\$190	\$179	\$0	\$179	\$131
State Workforce (Subject to Direct Executive Control)⁶	131,741	128,165	(1,672)	126,493	127,032
Debt					
State Related Debt Service as % of All Funds Receipts	4.4%	4.5%	-0.1%	4.4%	4.9%
State Related Debt Outstanding	\$54,694	\$56,877	(\$247)	\$56,630	\$57,855

¹ Spending is adjusted to exclude the impact of paying the end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of 2009-10 in the first quarter of 2010-11, as authorized by statute. This was done to carry forward the 2009-10 budget shortfall into 2010-11. The adjustment affects spending totals in 2009-10 and 2010-11, and growth rates in 2009-10, 2010-11, and 2011-12. See Financial Plan tables for 2009-10 actual results and 2010-11 unadjusted estimates.

² 2009-10 Federal and All Funds disbursements and receipts have been adjusted to include \$2.0 billion in Federal aid that passes through the State's All Funds Financial Plan under ARRA. This "pass-through" money, which provides no gap-closing benefit and is subject to a range of factors that make the timing of disbursements highly uncertain, was expected to total approximately \$4.4 billion in 2009-10. Actual disbursements, however, totaled only \$2.4 billion. Thus, 2009-10 results have been adjusted for the difference. This adjustment affects growth rates in 2009-10 and 2010-11. See Financial Plan tables for 2009-10 actual results.

³ Reflects the estimated change in tax receipts excluding the impact of Tax Law changes since fiscal year 1986-87.

⁴ **Before** proposed spending reductions to eliminate the projected budget gap.

⁵ The State deferred more payments than were needed to carry forward the 2009-10 budget shortfall. This created a temporary increase in the year-end balance in 2009-10. The balance was used when the deferred payments were made in the first quarter of 2010-11.

⁶ See workforce discussion. ("Update on Other Matters Affecting the Financial Plan - State Workforce," herein.)

FINANCIAL PLAN OVERVIEW

SUMMARY

Before enactment of the budget for 2010-11, the State faced an estimated budget gap of \$9.2 billion.² In the Enacted Budget Financial Plan dated August 20, 2010, DOB estimated that the gap-closing actions authorized for 2010-11 would be sufficient to eliminate the budget gap in 2010-11. The budget gaps for future years were projected at \$8.2 billion in 2011-12, \$13.5 billion in 2012-13 and \$15.6 billion in 2013-14.

At the time of enactment, DOB identified a number of risks that could adversely affect current-year operating results and the multi-year forecast of receipts and disbursements, including the strength and duration of the economic recovery, and the potential concomitant impact on tax receipts and programs sensitive to changes in economic activity.

The slowdown in economic growth in the second and third quarters of calendar year 2010 has been more pronounced than expected. The slowdown has contributed to lower than expected tax collections and higher than expected Medicaid costs to date. Through September 30, 2010, General Fund tax receipts were approximately \$510 million below planned levels.³ Medicaid spending over the same period exceeded estimates by over \$110 million. In addition, litigation has to date constrained the State's ability to collect certain taxes on Native American reservations at the levels budgeted in the Financial Plan. (See "Year-to-Date Operating Results" herein.)

In light of results to date and updated program information, DOB has lowered the annual estimate for General Fund tax receipts by approximately \$278 million in 2010-11.⁴ As described later, the reduction in the annual estimate is less than the year-to-date variance due to changes in the timing of when certain receipts are expected to be received. At the same time, DOB has increased estimated Medicaid spending by \$368 million for 2010-11, and by an average of approximately \$800 million annually thereafter, consistent with continuing increased caseload. Lower than anticipated spending in other areas of the budget, including child welfare services and labor settlements, and the availability of resources that have materialized since the time of budget enactment, are expected to offset, in part, the tax receipts shortfall and Medicaid overruns in 2010-11.

² This "current-services" gap – the gap before reflecting the impact of the gap-closing plan – represents (a) the difference between the projected level of General Fund disbursements, including transfers to other funds, needed to fund existing and scheduled commitments, adjusted for demand, and the level of resources available to pay for them, plus (b) the projected operating surplus or deficit in HCRA, which helps finance a number of State health care programs, including a share of the Medicaid program.

^{3,4} Excludes the impact of debt service revisions that affect the transfer of tax receipts to the General Fund.

FINANCIAL PLAN OVERVIEW

The Enacted Budget included \$500 million in savings from across-the-board reductions to State agency operations. In the current year, savings are expected to fall approximately \$150 million below planned levels, due to implementation constraints, but to exceed budgeted savings in 2011-12 by an equivalent amount, as the full annual benefit of measures is realized.

Due to these and other revisions, which are summarized in more detail below, DOB now estimates that the General Fund has a budget gap of \$315 million in the current fiscal year. The projected budget gaps for 2011-12 and beyond have also grown compared to the Enacted Budget forecast. The budget gap for 2011-12, which a new gubernatorial administration must address in the Executive Budget that is due on February 1, 2011, is now estimated at \$9.0 billion, an increase of \$850 million from the Enacted Budget forecast.

The gaps in future years, which will be materially affected by the level of recurring actions ultimately approved to balance the 2011-12 fiscal year, are currently projected at \$14.6 billion in 2012-13 (an increase of \$1.2 billion from the Enacted Budget forecast) and \$17.2 billion in 2013-14 (an increase of \$1.7 billion). The following table summarizes the Mid-Year revisions to the receipts and disbursements forecasts and the impact on General Fund operating projections.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
SUMMARY OF MAJOR CHANGES FROM ENACTED BUDGET				
(millions of dollars)				
	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE	0	(8,177)	(13,461)	(15,563)
Total Revisions	(315)	(849)	(1,183)	(1,669)
Tax Receipts	(278)	(105)	(339)	(405)
Medicaid/HCRA	(368)	(725)	(859)	(827)
Allocation of Agency Operational Savings	(154)	151	288	290
Timing of Outstanding Labor Agreements	204	(204)	0	0
Other	281	34	(273)	(727)
MID-YEAR BUDGET SURPLUS/(GAP)	(315)	(9,026)	(14,644)	(17,232)
Proposed Across-the-Board Reductions (Requires Leg. Approval)	375			
Funding for Initiatives	(60)			
PROPOSED BUDGET SURPLUS/(GAP)	0	(9,026)	(14,644)	(17,232)

FINANCIAL PLAN OVERVIEW

To address the estimated budget gap in the current year, the Governor will ask the Legislature to address the shortfall in a fashion similar in scope to those approved as part of the FMAP contingency plan authorized in the Enacted Budget.⁵ The Governor is expected to ask the Legislature to approve reductions beyond the level needed to eliminate the current-year budget gap, and to agree that any excess be used to fund priority initiatives, including legislation passed by the Legislature and vetoed by the Governor for fiscal reasons in 2010. Based on preliminary calculations, DOB estimates that spending for State programs would need to be reduced in the range of 1.5 to 2 percent over the remainder of the fiscal year to achieve a General Fund savings target of approximately \$375 million. The actual percentage reduction would depend on the scope of programs affected and the estimated cash disbursements for the remainder of the year.

There can be no assurance that the Legislature will approve any proposed reductions in planned State spending. Accordingly, the potential reductions are not included in the four-year Financial Plan projections by agency and Financial Plan category set forth in this Mid-Year Update. DOB expects to allocate the multi-year impact of any reductions approved by the Legislature in the updated Financial Plan projections that will accompany the Executive Budget for 2011-12.

REVISIONS TO THE 2010-11 FINANCIAL PLAN

DOB has made a number of substantial revisions to the General Fund receipts and disbursements forecasts contained in the Enacted Budget Financial Plan. The revisions are based on a comprehensive review of operating results to date, updated economic data, and other information. The following table summarizes the Mid-Year revisions and displays the impact on General Fund operating projections over the forecast period. It is followed by a discussion of the major revisions. Certain revisions displayed on the table are reclassifications of receipts and disbursements projections that have no net Financial Plan impact (e.g., Mental Hygiene). In other cases, revisions may affect both receipts and disbursements and need to be considered together to understand the Financial Plan impact. For instance, revisions related to reductions in State agency operations included in the Enacted Budget Financial Plan and allocated to agency budgets for the first time in this Mid-Year Update affect both receipts and disbursements. (For example, a reduction to State agency operations funded by a special revenue fund is displayed as a transfer of resources from the special revenue fund, thereby increasing General Fund receipts.)

⁵ See Enacted Budget Financial Plan, page 20, for a summary of the FMAP Contingency Plan.

FINANCIAL PLAN OVERVIEW

GENERAL FUND FORECAST FOR 2010-11 THROUGH 2013-14				
SAVINGS/(COSTS)				
(millions of dollars)				
	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE	0	(8,177)	(13,461)	(15,563)
Total Receipts Revisions	<u>(162)</u>	<u>(750)</u>	<u>(983)</u>	<u>(1,069)</u>
Forecast Revisions:	(88)	60	(86)	(157)
Tax Forecast Revisions	<u>(278)</u>	<u>(105)</u>	<u>(339)</u>	<u>(405)</u>
Personal Income Tax*	(300)	(302)	(322)	(323)
Sales/Use Taxes*	(94)	80	(1)	0
Business Taxes	69	117	(16)	(82)
Other Taxes*	47	0	0	0
18-A Assessment	(40)	(28)	(28)	(28)
Mental Hygiene	73	139	226	240
Workers Compensation Assessment Surplus	65	0	0	0
Debt Management	43	0	0	0
Allocation of Agency Operational Savings	33	62	52	44
All Other	16	(8)	3	(8)
Other Adjustments (No Net Financial Plan Impact)	(74)	(810)	(897)	(912)
Mental Hygiene Accounting Reclassifications	(168)	(810)	(897)	(912)
Allocation of FMAP Contingency Savings	94	0	0	0
Total Disbursement Revisions	<u>(153)</u>	<u>(99)</u>	<u>(200)</u>	<u>(600)</u>
Forecast Revisions	(227)	(909)	(1,097)	(1,512)
Medicaid/HCRA	<u>(368)</u>	<u>(725)</u>	<u>(859)</u>	<u>(827)</u>
Program Costs/Caseload Increases	(732)	(800)	(841)	(887)
HCRA/Other Medicaid	(125)	(135)	21	(89)
Medicare Part D Clawback	223	38	0	0
FMAP Reestimate/State/Local Share Reconciliation	300	208	0	0
Federal Health Care Reform	(34)	(36)	(39)	149
Human Services	156	151	149	146
School Aid	12	(109)	(156)	(354)
Lottery Aid	(83)	(11)	(63)	(68)
Higher Education	2	(100)	(166)	(169)
Transportation/Motor Vehicles	74	64	94	115
Public Safety/Criminal Justice	(10)	(25)	(33)	(71)
Judiciary	0	132	13	(129)
Fringe Benefits	<u>8</u>	<u>(12)</u>	<u>(65)</u>	<u>(53)</u>
Health Insurance	(40)	(75)	(125)	(125)
Pension	(1)	22	45	57
All Other Fringe Benefits	49	41	15	15
Mental Hygiene	(84)	(118)	(229)	(309)
Allocation of Agency Operational Savings	(187)	89	236	246
Timing of Outstanding Labor Agreements	204	(204)	0	0
All Other	49	(41)	(18)	(39)
Other Adjustments (No Net Financial Plan Impact)	74	810	897	912
Mental Hygiene Accounting Reclassifications	168	810	897	912
Allocation of FMAP Contingency Savings	(94)	0	0	0
REVISED BUDGET SURPLUS/(GAP) ESTIMATE	<u>(315)</u>	<u>(9,026)</u>	<u>(14,644)</u>	<u>(17,232)</u>
<i>(Increase)/Decrease From Enacted Budget</i>	<i>(315)</i>	<i>(849)</i>	<i>(1,183)</i>	<i>(1,669)</i>
Proposed Across-the-Board Reductions (Requires Leg. Approval)	375	0	0	0
Funding for Initiatives	(60)	0	0	0
PROPOSED BUDGET SURPLUS/(GAP) ESTIMATE	<u>0</u>	<u>(9,026)</u>	<u>(14,644)</u>	<u>(17,232)</u>

* Tax changes include transfers from other funds before the impact of revisions to debt service costs.

FINANCIAL PLAN OVERVIEW

RECEIPTS REVISIONS

General Fund receipts, including transfers from other funds, are estimated to total \$54.5 billion in 2010-11, a decrease of \$162 million compared to the Enacted Budget Financial Plan. Lower estimated tax receipts are expected to be offset in part by higher receipts from other sources, including miscellaneous receipts and nontax transfers from other funds.

- **Tax Receipts:** Projected tax receipts have been reduced in each year of the Financial Plan, based on updated economic information and actual tax collection results through September 2010. Excluding the impact of debt service changes affecting the transfer of tax receipts to the General Fund, tax receipts in 2010-11 have been reduced by \$278 million compared to the Enacted Budget forecast. The annual estimate for tax receipts has been reduced by less than the year-to-date variance due to a change in the timing of receipts from business tax audits, which DOB had originally expected to be settled before September 2010 but now anticipates will occur later in the fiscal year.

Personal income tax receipts have been revised downward by approximately \$300 million annually, reflecting weakness in quarterly estimated payments. Receipts from user taxes have been reduced in the current year based on consumer spending data and actual collections, but are expected to rebound in 2011-12 as the economy continues to slowly improve. Cigarette tax receipts have been reduced due to the litigation over on the State's ability to collect certain taxes on Native American reservations, affecting receipts in both the General Fund and HCRA. Projected receipts from business taxes and other taxes have been increased modestly in both 2010-11 and 2011-12, reflecting unanticipated strength in estimated payments from banks and the performance of the real estate sector.

- **Other Receipts:** The reduction in estimated annual tax receipts in 2010-11 is offset in part by higher than expected receipts from other sources. These unplanned receipts include legal recoveries, surplus workers compensation funds, and transfers related to reductions in statewide agency operations financed by special revenue funds (see discussion below). In addition, the State realized a one-time benefit of \$43 million from the termination of its existing synthetic variable rate swaps in September 2010.
- **Accounting Reclassifications:** The Mid-Year Update includes accounting reclassifications related to the transfer of money to and from the General Fund. The reclassifications have no impact on the net operating forecast (e.g., a reduction in planned transfers from other funds is offset by a commensurate reduction in planned transfers to other funds). The most sizeable reclassification is related to the adjustment of spending for mental hygiene services between the General Fund and State special revenue funds, which has the effect of reducing transfers to and from the General Fund by an equal amount.

DISBURSEMENT REVISIONS

General Fund disbursements, including transfers to other funds, are estimated at \$55.7 billion in 2010-11, an increase of \$153 million from the Enacted Budget Financial Plan. Likewise, spending has been increased in subsequent years. The most significant revisions are summarized below.

- **Medicaid:** Gross State-share spending for Medicaid has been increased by approximately \$800 million annually, due in part to actual and anticipated growth in the number of people enrolled in the program. Based on the updated estimates, more than 200,000 new enrollees are expected to enter Medicaid in the current fiscal year, bringing total enrollment to 4.9 million. In addition, higher State costs are driven by the Federal government increasing its share of rebates from drug manufacturers pursuant to Federal Health Care Reform; reconciliation of 2008 payments for the reimbursable portion of nursing home revenue assessments; and the impact of revisions to the HCRA operating forecast (see “Updated HCRA Financial Plan” herein).

In 2010-11, the gross increase in State-share Medicaid spending is offset in part by certain non-recurring resources. Reconciliation of SFY 2009-10 local Medicaid obligations under the Medicaid Cap forms the basis for final enhanced FMAP shares under ARRA. The State Financial Plan is adjusted for \$300 million in State funding previously reserved for this reconciliation (\$208 million in SFY 2010-11). Furthermore, the local reconciliation also informs the lower distribution of the ARRA enhancement on the State’s “clawback” payments for certain dually eligible (Medicare and Medicaid) recipients’ drug benefit under Medicare Part D. This reduction decreases the State’s expenditures by \$223 million in SFY 2010-11 (\$38 million in SFY 2011-12). The combination of all 2010-11 Medicaid revisions results in a net increase in costs of \$368 million.

- **Social Services:** Spending projections for child welfare services have been reduced by approximately \$120 million annually based on updated claiming data from social service districts. In addition, projected spending for other programs, and the reconciliation of human services COLA based on statutorily defined inflationary increases, has been lowered.
- **School Aid:** The September 2010 update to the school aid database resulted in higher than projected costs beginning in the 2011-12 school year. The costs reflect additional claims filed since the Enacted Budget, and updated wealth and demographic information reported by school districts. The revised Financial Plan projections also reflect the impact of a revised estimate of demographic and enrollment factors within the Foundation Aid formula. Based on statute, additional school year obligations from 2010-11 are to be paid in State fiscal year 2011-12. As in prior years, updated school district data and additional claims have resulted in a cost increase to the State's multi-year Financial Plan, subsequent to the Enacted Budget agreements.

FINANCIAL PLAN OVERVIEW

- **Lottery/VLT Aid for Education:** Receipts from statewide lottery games continue to fall below expectations, reflecting in part the impact of the economic downturn. DOB has lowered the estimate of lottery receipts expected to be available for School Aid across the Financial Plan forecast period.
- **Higher Education:** Current economic conditions, in particular high unemployment rates, have contributed in part to the recent upward trend in student enrollment in SUNY and CUNY community colleges. Similarly, increased spending under the TAP grant award program is expected due to the increased enrollment in institutions of higher education.
- **Transportation/Motor Vehicles:** The General Fund subsidy provided to the DHBTf has been revised across the plan period as a result of changes in estimated spending levels for capital projects, debt service costs, and other receipts.
- **Public Safety/Criminal Justice:** General Fund spending projections have been increased to reflect additional disaster relief aid, and a downward revision to expected revenues from the Motor Vehicle Law Enforcement fee that is used to support State Police costs.
- **Fringe Benefits:** Reflects multi-year upward revisions for health insurance costs for State employees and retirees mainly due to three factors: delays in the State's progress in self-insuring parts of NYSHIP; reduced savings from the implementation of the Medicare Part B premium sharing legislation enacted as part of the 2010-11 budget; and projected increases due to Federal Health Care Reform and premium rate changes. Reductions in the State's salary base are expected to reduce pension and social security costs, compared to the Enacted forecast.
- **Mental Hygiene:** The revisions reflect two-year net savings of \$10 million for 2010-11 and 2011-12, excluding the impact of the workforce reduction plan. The major changes include reduced projected spending of approximately \$40 million for the human service COLA based on the current statutory formula (a decrease from an annual increase of 3.5 percent to 1.2 percent in 2011-12). This is partially offset by higher estimated costs for a recent Federal District Court decision mandating additional supported housing and support services, such that costs are now projected to be \$4 million in 2010-11 and \$45 million in 2011-12, or \$8 million more than projected previously, as well as \$12 million in additional costs based on the latest projected civil confinement caseload for the SOMTA program.
- **Timing of Outstanding Labor Settlements:** The Enacted Budget Financial Plan included estimated spending in 2010-11 to finance potential agreements with labor unions that have not yet reached settlements for the period from 2007-08

through 2010-11. Based on the status of negotiations and the timetable for ratification, it no longer appears likely that any spending for potential agreements will occur in the current year.

- **Allocation of Statewide Agency Operational Savings:** The Enacted Budget Financial Plan included \$500 million in planned savings from statewide reductions in agency spending. The estimated spending for each agency has been revised to reflect its share of the reductions. Savings were anticipated from, among other things, workforce reductions that include the early retirement incentive plan, hiring freezes, layoffs, eliminating positions through attrition, delaying planned hiring of staff, encouraging participation in the voluntary reduction in work schedule program, eliminating funded vacancies and temporary positions, and enhancing controls for reducing overtime costs. Operational efficiencies that reduce costs in contractual services, supplies and materials, equipment purchases and travel expenses are also expected. Total savings at a level comparable to the Enacted Budget projections are expected by the end of fiscal year 2011-12, with lower than expected savings in 2010-11 and higher savings in 2011-12.
- **Allocation of FMAP Contingency Reductions:** The Enacted Budget mandated uniform reductions to most local assistance payments to cover the difference between the \$1.1 billion in savings counted on in the proposed 2010-11 Financial Plan from enhanced FMAP and the actual amount ultimately approved by the Federal government. DOB calculated this difference at \$281 million. The payment reductions to local assistance spending began taking effect in mid-September 2010, as provided by law, and have been allocated to agency budgets in the Mid-Year Financial Plan.

FINANCIAL PLAN OVERVIEW

ANNUAL SPENDING GROWTH

All Funds spending in 2010-11 is now projected to total \$135.3 billion, an increase of \$1.5 billion from the Enacted Budget. Higher General Fund spending, primarily for Medicaid, contributes nearly \$350 million to the increase. The remaining increase since the Enacted Budget is mainly due to an updated estimate of Federal aid, particularly in the areas of health care, welfare, and school aid, including the new Federal Education Jobs Fund and Race to the Top.

The projections for annual spending growth are affected by both the management of payments at the end of 2009-10 and, in the case of Federal Funds and All Funds spending, by the uncertainties concerning the timing of Federal pass-through aid. The latter consists of ARRA stimulus money for a wide range of purposes that provides no gap-closing benefit, but by law must pass through the State's Financial Plan before it reaches its beneficiary. To avoid the distorting effect of these factors, DOB has adjusted spending to (a) exclude the impact of the deferral of the \$2.06 billion end-of-year school aid payment from 2009-10 into 2010-11 and (b) include \$2.0 billion in Federal ARRA pass-through spending that was expected in 2009-10. See the Financial Plan tables herein for 2009-10 actual results and 2010-11 unadjusted estimates. The following table provides a summary of revisions and adjusted annual spending totals.

TOTAL DISBURSEMENTS - ADJUSTED FOR PAYMENT DEFERRALS AND ARRA PASS-THROUGH						
(millions of dollars)						
	2009-10 Adjusted	2010-11 Adjusted		Revised Estimate	Annual \$ Change	Annual % Change
		Enacted Budget	Change			
State Operating Funds	78,934	78,998	203	79,201	267	0.3%
General Fund (excluding transfers)	48,475	47,601	344	47,945	(530)	-1.1%
Other State Funds	25,447	25,789	(96)	25,693	246	1.0%
Debt Service Funds	5,012	5,608	(45)	5,563	551	11.0%
All Governmental Funds	130,937	133,827	1,510	135,337	4,400	3.4%
State Operating Funds	78,934	78,998	203	79,201	267	0.3%
Capital Projects Funds	7,112	8,454	54	8,508	1,396	19.6%
Federal Operating Funds	44,891	46,375	1,253	47,628	2,737	6.1%
General Fund, including Transfers	54,262	53,533	153	53,686	(576)	-1.1%
State Funds	84,094	85,073	258	85,331	1,237	1.5%

FINANCIAL PLAN OVERVIEW

The annual spending growth in State Operating Funds is affected by the rapid annual increase in debt service and fringe benefits, which are difficult to control in the short-term due to existing constitutional, statutory, and contractual obligations. Together, these costs are projected to increase by over \$1.0 billion in 2010-11.

CAUSES OF ADJUSTED STATE OPERATING FUNDS SPENDING CHANGE ¹				
(millions of dollars)				
	2009-10	2010-11	Annual \$ Change	Annual % Change
Total	78,934	79,201	267	0.3%
Debt Service	4,961	5,471	510	10.3%
Fringe Benefits	4,276	4,811	535	12.5%
Non-Personal Service/Fixed Costs	4,885	4,950	65	1.3%
Personal Service	10,874	10,270	(604)	-5.6%
Local Assistance	53,938	53,699	(239)	-0.4%

¹ Adjusted to exclude the impact of paying the \$2.06 billion end-of-year school aid payment, scheduled for the last quarter of 2009-10, in the first quarter of 2010-11, as authorized in statute.

Debt service on State-supported debt is projected to increase by \$510 million (10.3 percent) in 2010-11, with approximately 35 percent of the growth due to the restructuring of certain transportation-related debt in 2005 that deferred substantial debt service costs until 2010-11. Overall spending from debt service funds, which includes certain non-personal service spending appropriated in the debt service budget, increases by roughly \$550 million.

Spending on fringe benefits is projected to increase by \$535 million, an increase of 12.5 percent. Growth in fringe benefits is due principally to increases in the State's annual contribution to the New York State and Local Retirement System and the cost of providing health insurance for active and retired State employees. Pension costs are expected to increase by \$313 million (27.1 percent) in 2010-11. The growth assumes that \$242 million in State pension costs will be amortized in 2010-11, as authorized in the 2010-11 Enacted Budget.

FINANCIAL PLAN OVERVIEW

2010-11 PROJECTED CLOSING BALANCES

GENERAL FUND

The General Fund is estimated to end the 2010-11 fiscal year with a balance of \$1.4 billion, unchanged from the Enacted Budget Financial Plan. The closing balance depends on successful implementation of actions to eliminate the estimated budget gap of \$315 million without the use of existing reserves. As noted in the Enacted Budget, the estimate for spending from the Community Projects Fund is based on historical patterns and may be lower in 2010-11 as a result of the Governor’s vetoes of member-item reappropriations.

2010-11 GENERAL FUND ESTIMATED CLOSING BALANCE			
(millions of dollars)			
	<u>Enacted Budget</u>	<u>Change</u>	<u>Revised Estimate</u>
Projected Fund Balance	<u>1,385</u>	<u>0</u>	<u>1,385</u>
Tax Stabilization Reserve Fund	1,031	0	1,031
Rainy Day Reserve Fund	175	0	175
Community Projects Fund	85	0	85
Reserved for Debt Reduction	73	0	73
Contingency Reserve Fund	21	0	21

The estimated closing balance includes \$1.0 billion in the State’s Tax Stabilization Reserve, which can be used to finance an unanticipated deficit at the end of the fiscal year, \$175 million in the Rainy Day Reserve, which can be used if certain economic criteria are met, \$85 million in the Community Projects Fund, which is reserved to finance existing “member item” initiatives, \$73 million for debt management purposes, and \$21 million in the Contingency Reserve for litigation risks.

ALL GOVERNMENTAL FUNDS

DOB projects the State will end the 2010-11 fiscal year with a balance of \$3.5 billion in All Governmental Funds. The balance consists of \$1.4 billion in the General Fund, \$1.7 billion in State Special Revenue Funds, \$422 million in Federal Special Revenue Funds, \$364 million in Debt Service Funds and a negative balance of \$275 million in Capital Projects Funds. The year-end balance depends on successful implementation of actions to eliminate the current-year gap.

FINANCIAL PLAN OVERVIEW

2010-11 ALL FUNDS ESTIMATED CLOSING BALANCE (millions of dollars)			
	<u>Enacted Budget</u>	<u>Change</u>	<u>Mid-Year Estimate</u>
Projected Fund Balance	<u>3,827</u>	<u>(251)</u>	<u>3,576</u>
General Fund	1,385	0	1,385
State Special Revenue Funds	<u>1,816</u>	<u>(136)</u>	<u>1,680</u>
Miscellaneous Special Revenue	<u>676</u>	<u>(44)</u>	<u>632</u>
<i>Industry Assessments</i>	<u>321</u>	<u>(17)</u>	<u>304</u>
<i>Health and Social Welfare</i>	<u>166</u>	<u>(15)</u>	<u>151</u>
<i>General Government</i>	<u>168</u>	<u>2</u>	<u>170</u>
<i>All Other</i>	<u>21</u>	<u>(14)</u>	<u>7</u>
State University Income	949	(155)	794
Mass Transportation Operating Assistance	3	39	42
Dedicated Mass Transportation Trust Fund	71	9	80
MTA Financial Assistance Fund	61	0	61
Health Care Resources Fund	0	0	0
Lottery Fund	18	3	21
All Other	38	12	50
Federal Operating Funds	522	(100)	422
Capital Projects Funds	(284)	9	(275)
Debt Service Funds	388	(24)	364

The balances held in State Special Revenue Funds include moneys designated to finance existing or potential commitments, or funds that are restricted or dedicated for specified statutory purposes. The largest balances in the State Special Revenue Funds include moneys on hand to finance future costs for State University programs, operating assistance for transportation programs, and various programs financed from the industry assessments. The remaining fund balances are held in numerous funds, primarily the Miscellaneous Special Revenue Fund, and accounts that support a variety of programs, including public health, general government, and public safety. The reduction in Special Revenue Fund balances from the Enacted Budget is mainly attributable to additional SUNY hospital spending and for adjustments to several funds and accounts based on updated results.

Balances in Federal Operating Funds reflect timing differences between disbursements and Federal reimbursement. The timing of spending on ongoing, multi-year capital projects in advance of reimbursement from bond proceeds accounts for routine loans (or negative balances) in Capital Funds. Balances in Debt Service Funds represent funds set aside to finance debt service costs pursuant to legal obligations set forth in bond resolutions.

FINANCIAL PLAN OVERVIEW

UPDATE ON OTHER MATTERS AFFECTING THE FINANCIAL PLAN

A. RECENT OPERATING RESULTS AND CASH POSITION

The State's cash position continues to be a concern. General Fund operating results through September 2010 were marginally unfavorable compared to the Enacted Budget projections, and DOB now estimates a potential budget gap of \$315 million in the current fiscal year. (See "Year-to-Date Operating Results" herein.)

The 2009-10 Enacted Budget provided permanent authorization for the General Fund to borrow resources temporarily from other funds in the State's STIP for a period not to exceed four months or to the end of the fiscal year, whichever is shorter. Through the first six months of 2010-11, the General Fund used this authorization to meet payment obligations at times in May, June, July, and September 2010, and ended the month of June 2010 with a negative \$87 million balance. DOB expects that the General Fund will continue to rely on this borrowing authority at times during the remainder of the fiscal year, with low balances expected during November and December 2010. However, the current cashflow forecast projects that the General Fund will end each month with a positive balance.

ACTUAL/PROJECTED MONTHLY CASH FLOW BALANCES			
FISCAL YEAR 2010-11			
(millions of dollars)			
	<u>General Fund</u>	<u>Other Funds</u>	<u>All Funds</u>
April	4,274	3,048	7,322
May	1,648	3,767	5,415
June	(87)	3,719	3,632
July	590	4,354	4,944
August	528	4,462	4,990
September	2,381	2,649	5,030
October (prelim.)	2,071	3,700	5,771
November (est.)	803	3,318	4,121
December (est.)	864	1,575	2,439
January (est.)	5,545	2,424	7,969
February (est.)	5,794	2,350	8,144
March (est.)	1,385	2,191	3,576

The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller (available balances include money in the State's governmental funds, as well as certain other money). The available balances on hand in STIP have declined compared to recent years.

DOB will continue to closely monitor and manage the State's liquidity position during the fiscal year, and continue to reserve money in advance of the upcoming quarter of

debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants.

B. DEBT REFORM CAP

Based on the updated forecasts in this Mid-Year Financial Plan, debt outstanding and debt service costs over the plan period are expected to remain below the limits imposed by the Debt Reform Act. However, the available room under the debt-outstanding cap is expected to decline from \$4.2 billion in 2010-11 to \$1.8 billion in 2012-13. The current projections represent a decline in projected debt capacity as compared to the Enacted Budget, which estimated that about \$2.3 billion in capacity would be available in 2012-13. In part, declines in debt capacity are a product of reduced forecasts for personal income levels. The changes to the debt reform projections over the past two years demonstrate the sensitivity of the cap calculations to volatility in State personal income levels and other economic factors. Measures to further adjust capital spending and debt financing practices are expected to be taken as needed to stay within the statutory limitations. (See "Debt/Capital Update" herein.)

C. FINANCIAL PLAN ASSUMPTIONS AND RISKS

The Financial Plan forecasts are subject to many complex economic, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from the current Financial Plan projections. In recent fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan. In response, the State has, among other things, made substantial reductions in planned spending. In 2009-10, the Enacted Budget Financial Plan authorized over \$6 billion in reductions from planned spending. In 2010-11, the Enacted Budget Financial Plan includes over \$6.3 billion in reductions from planned spending. In addition, the State has imposed substantial reductions in planned spending by State agencies during each of the last three fiscal years. However, large budget gaps are still projected for future years.

The Financial Plan is based on numerous assumptions, including the performance of the national and State economies and the concomitant receipt of economically sensitive tax revenues in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecast include the impact of Federal statutory and regulatory changes concerning financial sector activities; the impact of behavioral changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; the impact of an anticipated shift in monetary policy actions on interest rates and the financial markets; the impact of financial and real estate market developments on bonus income and capital gains realizations; and the impact of household deleveraging on consumer spending and the impact of that activity on State tax collections. (See "Economic Backdrop" and "All Funds Receipts Projections" herein.)

FINANCIAL PLAN OVERVIEW

Other risks include increased demand in entitlement- and claims-based programs such as Medicaid, public assistance and general public health, above the levels anticipated in the Financial Plan; potential disruptions in the municipal bond market that could affect the timing of State bond sales; and litigation against the State, including, but not limited to, potential challenges to the constitutionality of actions authorized in the budget and the outcome of a class action suit alleging discrimination in the administration of a civil service test between 1996 and 2006.

The Financial Plan may be adversely affected by actions taken by the Federal government, including audits, disallowances, changes in aid levels, and changes to Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government. Most recently, the Federal CMS requested additional information pertaining to claims for services provided to individuals in developmental centers operated by OPWDD. Among other information, CMS requested that the State provide a detailed description of how these daily rates are developed as well as the current upper payment limit demonstration. Although no official audit has commenced and the rates paid for these services are established in accordance with the methodology set forth in the approved State Plan, adverse action by CMS relative to these claims could jeopardize a significant amount of Federal financial participation in the Medicaid program.

There can be no assurance that the budget gaps in the current year or future years will not increase materially from current projections. If this were to occur, the State would be required to take additional gap-closing actions beyond those described in this update. These may include, but are not limited to, additional reductions in State agency operations; suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In nearly all cases, the ability of the State to implement these actions requires the approval of the Legislature or other entities outside of the control of the Executive.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan; and the achievement of cost-saving measures including, but not limited to, administrative savings in State agencies, including workforce management initiatives, and the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year.

An additional risk is the cost of potential collective bargaining agreements and salary increases for judges (and possibly other elected officials) that may occur in 2010-11 and beyond, for the period covering 2007-08 through 2010-11. The current round of collective bargaining agreements expire at the end of 2010-11. The Financial Plan does not include any costs for potential wage increases beyond that point.

For the first time, the Financial Plan includes an initial estimate of potential effects resulting from Federal Health Care Reform Legislation enacted in 2010. Starting in 2010-11 and annually thereafter, these include the loss of State pharmacy rebate revenues resulting from a Federal increase in its share of rebates on drug manufactures. In 2013-14, the State estimates a net benefit associated with expanded Medicaid benefits to newly eligible populations and an enhanced Federal matching rate for certain existing populations beginning January 2014.

D. THE STRUCTURAL BUDGET GAP

The incremental increases in the General Fund budget gaps identified in recent quarterly updates are largely due to deviations in projected receipts from forecast. However, sustained growth in spending commitments in major programs and activities over the four-year Financial Plan period is a principal contributor to the State's long-term budget gaps. The State-financed portion of the budget has grown faster than both personal income and inflation over the past ten years, and is projected to do so over the next four years, absent measures to control spending. The following table summarizes the growth in State spending for 1999-00 through 2009-10.

FINANCIAL PLAN OVERVIEW

TEN-YEAR DRIVERS OF SPENDING GROWTH (ADJUSTED)			
(millions of dollars)			
	10-Year Growth		Compound Annual Growth
	1999-00	2009-10 ^{1, 2}	
Local Assistance	30,775	53,938	5.8%
School Aid	11,962	20,373	5.5%
School Aid Without ARRA Funding	11,962	20,919	5.7%
ARRA Funding	n/ap	(546)	n/ap
Medicaid (incl. administration):	6,740	11,458	5.4%
Medicaid Without ARRA Funding	6,740	14,498	8.0%
ARRA Funding	n/ap	(3,040)	n/ap
Transportation	1,628	3,823	8.9%
School Tax Relief Program	1,195	3,414	11.1%
Mental Hygiene	1,448	3,199	8.2%
Higher Education	1,649	2,817	5.5%
Public Health/Aging/Insurance	893	2,431	10.5%
Special/Other Education	1,201	1,534	2.5%
Local Government Assistance	906	1,080	1.8%
All Other	3,153	3,809	1.9%
State Operations	12,678	20,035	4.7%
Wages/Fringe Benefits	8,987	15,150	5.4%
Personal Service	6,716	10,874	4.9%
Executive Agencies	3,740	4,875	2.7%
SUNY	1,806	3,243	6.0%
Judiciary	894	1,537	5.6%
Legislature	140	178	2.4%
Department of Law	72	124	5.6%
Audit & Control	64	115	6.0%
ARRA Funding (Mental Hygiene Agencies)	0	532	n/ap
Retro Settlements (All Agencies) ³	0	270	n/ap
Fringe Benefits	2,271	4,276	6.5%
Pensions	215	1,155	18.3%
Health Insurance	1,243	2,681	8.0%
Health Insurance (Active Employees)	777	1,609	7.6%
Health Insurance (Retired Employees)	466	1,072	8.7%
Fringe Benefit Escrow	(430)	(2,236)	17.9%
All Other Fringe Benefits	1,243	2,676	8.0%
Non-Personal Service/Fixed Costs	3,691	4,885	2.8%
Debt Service	3,577	4,961	3.3%
Total State Operating Funds Spending	47,030	78,934	5.3%
Capital Projects (State Funded)	2,811	5,160	6.3%
Total State Funds Spending	49,841	84,094	5.4%
Federal Aid (Including Capital Grants)	23,472	46,843	7.2%
Total All Governmental Funds Spending	73,313	130,937	6.0%
Personal Income Growth (10-Year)			3.7%
Inflation (CPI) Growth (10-Year)			2.5%

1 Spending is adjusted to exclude the impact of paying the end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of 2009-10 in the first quarter of 2010-11, as authorized in statute. This was done to carry forward the 2009-10 budget shortfall into 2010-11. See Financial Plan tables for 2009-10 actual results.
2 2009-10 Federal and All Funds disbursements and receipts have been adjusted to include \$2.0 billion in Federal aid that passes through the State's All Funds Financial Plan under the ARRA. This "pass-through" money, which provides no gap-closing benefit and is subject to a range of factors that make the timing of disbursements highly uncertain, was expected to total approximately \$4.4 billion in 2009-10. Actual disbursements, however, totaled only \$2.4 billion. Thus, 2009-10 results have been adjusted for the difference. See Financial Plan tables for 2009-10 actual results.
3 Reflects payment of retroactive salary increases pursuant to collective bargaining settlements with unions (for NYSCOPBA, PBA and BCI) that have been excluded from agency totals above.

FINANCIAL PLAN OVERVIEW

From 2010-11 through 2013-14, General Fund disbursements are projected to increase at an average annual rate of approximately 8.7 percent; State Operating Funds disbursements, which capture activity in State special revenue funds and debt service funds, as well as the General Fund, are projected to increase at 6.9 percent annually.⁶ In comparison, State tax receipts over the plan period are projected to grow at 4.3 percent annually, consistent with DOB's economic forecast for the recession and recovery. Accordingly, it is expected that the 2011-12 Executive Budget will propose substantial reductions in State spending commitments. (See "Outyear Financial Plan Projections" herein.)

E. STATE WORKFORCE

The State workforce directly subject to Executive control is expected to total 126,493 at the end of 2010-11, a decline of 5,248 from 2009-10 levels. This projected decline mainly reflects the continuation of statewide hiring controls, a Retirement Incentive Program, and layoffs.

In 2011-12, the workforce is expected to increase to 127,032 by year-end and is primarily due to actions impacting institutional programs. Specifically, increasing census for the SOMTA program, which results in more individuals being civilly confined in OMH-operated facilities, is expected add 224 positions; the multi-year closure plan to convert all of the State's OPWDD Developmental Centers to community-based settings by 2014 will add 215 positions; and the planned opening of a second Residential Mental Health Unit in July 2011, expanding mental health programs associated with a private settlement agreement and staffing the new central pharmacy located in Oneida county, will add 100 positions for DOCS.

⁶ Adjusted to exclude the impact of Federal stimulus funding that provides direct State aid. The unadjusted growth rates are approximately 12.8 percent in the General Fund and 9.8 percent in State Operating Funds.

OUTYEAR FINANCIAL PLAN PROJECTIONS

(2011-12 THROUGH 2013-14)

INTRODUCTION

This section presents the State's multi-year projections for receipts and disbursements based on the 2010-11 Mid-Year Financial Plan. State law requires the Governor to submit, and the Legislature to enact, a balanced plan of receipts and disbursements on a cash-basis for the General Fund. However, approximately 40 percent of total State spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, school aid, higher education, transportation and mental hygiene. Thus, the multi-year projections and growth rates are presented on both a General Fund and State Operating Funds basis.

The multi-year forecast reflects revisions to the Enacted Budget based on updated assumptions concerning economic performance, revenue collections, spending patterns, and projections for the current-services costs of program activities.

In evaluating the State's outyear operating forecast, it should be noted that the reliability of the estimates as a predictor of the State's future financial position is likely to diminish as one moves further from the current year and budget year estimates. Accordingly, in terms of the outyear projections, 2011-12 is the most relevant from a planning perspective, since any gap in that year must be closed with the next budget and the variability of the estimates is likely to be less than in later years. DOB will provide quarterly revisions to its multi-year estimates, as required pursuant to State Finance Law.

OUTYEAR PROJECTIONS

BUDGET GAPS

In the General Fund, the projected budget gaps total approximately \$9.0 billion in 2011-12, \$14.6 billion in 2012-13, and \$17.2 billion in 2013-14. The net operating deficits in State Operating Funds are projected at \$9.1 billion in 2011-12, \$14.2 billion in 2012-13, and \$16.9 billion in 2013-14.

The imbalances projected for the General Fund and State Operating Funds in future years tend to be very similar. This is because the General Fund is typically the financing source of last resort for many State programs, and any imbalance in other funds that cannot be rectified by the use of existing balances is typically paid by the General Fund.

OUTYEAR FINANCIAL PLAN PROJECTIONS

The growth in the gaps between 2010-11 and 2011-12 is caused in large part by the expiration of Federal stimulus funding for Medicaid and education, which is expected to result in approximately \$5.4 billion in costs reverting to the General Fund, starting in 2011-12. The annual growth in the gap is also affected by the sunset, at the end of calendar year 2011, of the temporary PIT increase enacted in 2009-10, which is expected to reduce 2011-12 receipts by more than \$1 billion from 2010-11 levels.

SPENDING

General Fund spending is projected to grow at an average annual rate of 12.8 percent from 2010-11 through 2013-14.⁷ Spending growth in the General Fund is projected to increase sharply in 2011-12, reflecting an expected return to a lower Federal matching rate for Medicaid expenditures after June 30, 2011, which will increase the share of Medicaid costs that must be financed by State resources, and the expected loss of temporary Federal aid for education. Excluding these stimulus-related effects, which temporarily suppress General Fund costs in 2010-11 and the first quarter of 2011-12, General Fund spending grows at approximately 8.7 percent on a compound annual basis.

State Operating Funds spending is projected to grow at an average annual rate of 9.8 percent through 2013-14⁸. For both the General Fund and State Operating Funds, spending growth is driven by Medicaid, education, pension costs, employee and retiree health benefits, and social services programs.

The spending projections do not incorporate any estimate of potential new actions to control spending in the current year or future years; any potential continuation of Federal stimulus aid beyond the first quarter of 2011-12; and any costs for future collective bargaining agreements beyond the April 1, 2011 expiration of the current four-year contracts for most unions. For the first time, the forecast includes an initial estimate of the effect of national health care reform on State health care costs.

RECEIPTS

State tax receipts are projected to grow at an average annual rate of 4.3 percent from 2010-11 through 2013-14. This is consistent with a projected return to modest economic growth in the New York economy in the second half of 2010. Receipts growth is affected by the tax changes approved in the Enacted Budget, as well as in prior fiscal years, and by tax compliance and anti-fraud efforts. These factors are expected to continue to enhance expected receipt growth through 2013-14. (See "2010-11 All Funds Receipts Projections" herein for a complete summary.)

⁷ The 2010-11 estimate is adjusted to exclude \$2.1 billion in school aid payments that were budgeted in 2009-10 but paid in 2010-11.

OUTYEAR FINANCIAL PLAN PROJECTIONS

Total disbursements for 2010-11 have been restated to exclude the \$2.1 billion in school aid that was planned for March 2010 but paid in June 2010. The "payment of School Aid Deferral" line is displayed to account for the net operating impact.

GENERAL FUND PROJECTIONS

OUTYEAR GENERAL FUND PROJECTIONS (ADJUSTED) (millions of dollars)								
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change
Receipts								
Taxes (After Debt Service)	50,102	53,384	3,282	6.6%	53,700	0.6%	56,557	5.3%
Personal Income Tax	31,919	34,191	2,272	7.1%	33,855	-1.0%	35,762	5.6%
User Taxes and Fees	11,034	11,398	364	3.3%	11,694	2.6%	12,277	5.0%
Business Taxes	5,783	6,452	669	11.6%	6,658	3.2%	6,895	3.6%
Other Taxes	1,366	1,343	(23)	-1.7%	1,493	11.2%	1,623	8.7%
Miscellaneous Receipts/Federal Grants	2,921	2,881	(40)	-1.4%	2,846	-1.2%	2,794	-1.8%
Other Transfers	1,491	792	(699)	-46.9%	520	-34.3%	520	0.0%
Total Receipts	54,514	57,057	2,543	4.7%	57,066	0.0%	59,871	4.9%
Disbursements								
Grants to Local Governments (Adjusted)	35,688	46,012	10,324	28.9%	50,697	10.2%	54,896	8.3%
School Aid (Adjusted)	16,706	19,958	3,252	19.5%	22,405	12.3%	24,859	11.0%
Medicaid (incl. administration)	7,425	13,164	5,739	77.3%	14,664	11.4%	15,875	8.3%
Higher Education	2,449	2,820	371	15.1%	2,992	6.1%	3,082	3.0%
Mental Hygiene	2,218	2,015	(203)	-9.2%	2,156	7.0%	2,339	8.5%
Children and Family Services	1,697	1,893	196	11.5%	2,098	10.8%	2,324	10.8%
Other Education Aid	1,488	1,826	338	22.7%	1,909	4.5%	1,998	4.7%
Temporary and Disability Assistance	1,152	1,528	376	32.6%	1,658	8.5%	1,711	3.2%
All Other	2,553	2,808	255	10.0%	2,815	0.2%	2,708	-3.8%
State Operations	8,138	8,749	611	7.5%	8,798	0.6%	9,026	2.6%
Personal Service	6,189	6,659	470	7.6%	6,556	-1.5%	6,663	1.6%
Non-Personal Service	1,949	2,090	141	7.2%	2,242	7.3%	2,363	5.4%
General State Charges	4,119	4,583	464	11.3%	4,989	8.9%	5,437	9.0%
Pensions	1,470	1,664	194	13.2%	1,904	14.4%	2,126	11.7%
Health Insurance (Active Employees)	1,838	2,034	196	10.7%	2,244	10.3%	2,470	10.1%
Health Insurance (Retired Employees)	1,223	1,355	132	10.8%	1,493	10.2%	1,643	10.0%
Fringe Benefit Escrow	(2,309)	(2,385)	(76)	3.3%	(2,565)	7.5%	(2,739)	6.8%
All Other	1,897	1,915	18	0.9%	1,913	-0.1%	1,937	1.3%
Transfers to Other Funds:	5,741	6,787	1,046	18.2%	7,297	7.5%	7,769	6.5%
State Share Medicaid	2,435	3,013	578	23.7%	3,110	3.2%	3,073	-1.2%
Debt Service	1,650	1,766	116	7.0%	1,757	-0.5%	1,686	-4.0%
Capital Projects	878	1,197	319	36.3%	1,310	9.4%	1,462	11.6%
All Other	778	811	33	4.2%	1,120	38.1%	1,548	38.2%
Total Disbursements (Adjusted)	53,686	66,131	12,445	23.2%	71,781	8.5%	77,128	7.4%
Payment of School Aid Deferral	2,060		(2,060)					
Total Disbursements (Unadjusted)	55,746	66,131	10,385	18.6%	71,781	8.5%	77,128	7.4%
Change in Reserves¹	(917)	(48)			(71)		(25)	
Budget Surplus/(Gap) Estimate Before Actions	(315)	(9,026)			(14,644)		(17,232)	

¹ The State deferred more payments than were needed to carry forward the 2009-10 budget shortfall. This created a temporary increase in the year-end balance in 2009-10. The balance was used when the deferred payments were made in the first quarter of 2010-11.

OUTYEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS (ADJUSTED) (millions of dollars)								
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change
Receipts:								
Taxes	60,124	64,163	4,039	6.7%	64,989	1.3%	68,288	5.1%
Personal Income Tax	36,568	39,277	2,709	7.4%	39,287	0.0%	41,504	5.6%
User Taxes and Fees	13,505	14,047	542	4.0%	14,370	2.3%	14,950	4.0%
Business Taxes	7,205	7,945	740	10.3%	8,208	3.3%	8,501	3.6%
Other Taxes	2,846	2,894	48	1.7%	3,124	7.9%	3,333	6.7%
Miscellaneous Receipts/Federal Grants	18,757	18,618	(139)	-0.7%	19,058	2.4%	19,299	1.3%
Total Receipts	78,881	82,781	3,900	4.9%	84,047	1.5%	87,587	4.2%
Disbursements:								
Grants to Local Governments (Adjusted)	53,699	64,475	10,776	20.1%	70,185	8.9%	74,984	6.8%
School Aid (Adjusted)	19,798	22,695	2,897	14.6%	25,300	11.5%	27,823	10.0%
Medicaid (incl. administration)	11,906	17,604	5,698	47.9%	19,553	11.1%	20,882	6.8%
STAR	3,270	3,418	148	4.5%	3,584	4.9%	3,772	5.2%
Higher Education	2,471	2,836	365	14.8%	2,992	5.5%	3,082	3.0%
Other Education Aid	1,506	1,842	336	22.3%	1,923	4.4%	2,011	4.6%
Mental Hygiene	3,454	3,709	255	7.4%	3,968	7.0%	4,274	7.7%
Public Health/Aging/Insurance	2,270	2,418	148	6.5%	2,523	4.3%	2,558	1.4%
Social Services	2,851	3,423	572	20.1%	3,757	9.8%	4,037	7.5%
Local Government Assistance	781	1,070	289	37.0%	1,070	0.0%	1,062	-0.7%
All Other	5,392	5,460	68	1.3%	5,515	1.0%	5,483	-0.6%
State Operations	14,883	16,050	1,167	7.8%	16,012	-0.2%	16,348	2.1%
Personal Service	10,270	11,260	990	9.6%	11,123	-1.2%	11,273	1.3%
Non-Personal Service	4,613	4,790	177	3.8%	4,889	2.1%	5,075	3.8%
General State Charges	5,146	5,749	603	11.7%	6,321	9.9%	6,860	8.5%
Pensions	1,470	1,664	194	13.2%	1,904	14.4%	2,126	11.7%
Health Insurance (Active Employees)	1,838	2,034	196	10.7%	2,244	10.3%	2,470	10.1%
Health Insurance (Retired Employees)	1,223	1,355	132	10.8%	1,493	10.2%	1,643	10.0%
All Other	615	696	81	13.2%	680	-2.3%	621	-8.7%
Debt Service	5,471	6,039	568	10.4%	6,354	5.2%	6,515	2.5%
Capital Projects	2	2	0	0.0%	2	0.0%	2	0.0%
Total Disbursements (Adjusted)	79,201	92,315	13,114	16.6%	98,874	7.1%	104,709	5.9%
Payment of School Aid Deferral	2,060		(2,060)					
Total Disbursements (Unadjusted)	81,261	92,315	11,054	13.6%	98,874	7.1%	104,709	5.9%
Net Other Financing Sources/(Uses)	825	442			710		501	
Net Operating Surplus/(Deficit)¹	(1,555)	(9,092)			(14,117)		(16,621)	

¹ Financed in part with the use of existing fund balances in 2010-11. See Financial Plan Tables.

The annual spending changes are affected by the expiration of Federal stimulus funding for Medicaid, school aid, and other purposes, which is expected to result in approximately \$5.4 billion in costs reverting to the State starting in 2011-12. The following table displays the impact of the direct Federal aid as it applies to major programs (by adjusting the State spending to show the annual spending change excluding the benefit of ARRA), which temporarily lowered State costs. Reported State Operating Funds are increasing by nearly 17 percent in 2011-12. When adjusted to exclude Federal ARRA direct State aid, the annual growth is approximately 9 percent. All amounts are shown on a State fiscal year basis.

OUTYEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS SPENDING PROJECTIONS				
MAJOR PROGRAM AREAS ADJUSTED FOR IMPACT OF ARRA DIRECT FEDERAL AID				
(millions of dollars)				
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
State Operating Funds (Adjusted):	85,607	93,276	7,669	9.0%
Reported State Operating Funds	79,201	92,315	13,114	16.6%
Federal ARRA	6,406	961	(5,445)	-85.0%
 School Aid (Adjusted)	<u>21,130</u>	<u>23,204</u>	<u>2,074</u>	<u>9.8%</u>
Reported School Aid	19,799	22,695	2,896	14.6%
Federal ARRA (State Fiscal Stabilization)	1,331	509	(822)	-61.8%
 DOH Medicaid (Adjusted)	<u>15,854</u>	<u>17,957</u>	<u>2,103</u>	<u>13.3%</u>
Reported Medicaid	11,906	17,604	5,698	47.9%
Federal ARRA (Enhanced FMAP)	3,948	353	(3,595)	-91.1%
 Higher Education (Adjusted)	<u>2,637</u>	<u>2,836</u>	<u>199</u>	<u>7.5%</u>
Reported Higher Education	2,471	2,836	365	14.8%
Federal ARRA (State Fiscal Stabilization)	166	0	(166)	-100.0%
 Other Education Aid (Adjusted)	<u>1,838</u>	<u>1,845</u>	<u>7</u>	<u>0.4%</u>
Reported Other Education Aid	1,506	1,842	336	22.3%
Federal ARRA (State Fiscal Stabilization)	332	3	(329)	-99.1%
 Personal Service (Adjusted)	<u>10,899</u>	<u>11,356</u>	<u>457</u>	<u>4.2%</u>
Reported Personal Service	10,270	11,260	990	9.6%
Federal ARRA (Enhanced FMAP - Mental Hygiene)	629	96	(533)	-84.7%

GRANTS TO LOCAL GOVERNMENTS

MEDICAID (DEPARTMENT OF HEALTH)

The State's share of Medicaid is financed with a combination of General Fund and HCRA resources. Local governments are also required to share in the costs of the program. The Federal government is financing an additional share of Medicaid costs for October 2008 through June 30, 2011, which temporarily lowers the State's costs for the program.

OUTYEAR FINANCIAL PLAN PROJECTIONS

MAJOR SOURCES OF ANNUAL CHANGE IN MEDICAID (DOH ONLY)								
(millions of dollars)								
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change
State Operating Funds (Before FMAP)	15,854	17,957	2,103	13.3%	19,299	7.5%	20,882	8.2%
Enhanced FMAP -- State Share¹	(3,948)	(353)	3,595	-91.1%	254	-172.0%	0	0.0%
State Operating Funds (After FMAP)	11,906	17,604	5,698	47.9%	19,553	11.1%	20,882	6.8%
Other State Funds Support	(4,481)	(4,439)	42	-0.9%	(4,890)	10.2%	(5,006)	2.4%
HCRA Financing	(2,866)	(2,897)	(31)	1.1%	(3,348)	15.6%	(3,464)	3.5%
Provider Assessment Revenue	(745)	(750)	(5)	0.7%	(750)	0.0%	(750)	0.0%
Indigent Care Revenue	(870)	(792)	78	-9.0%	(792)	0.0%	(792)	0.0%
Total General Fund	7,425	13,165	5,740	77.3%	14,663	11.4%	15,876	8.3%

¹ Excludes benefits in other State agencies. Costs in 2012-13 reflect the reconciliation of the local share benefit for 2011-12 that will occur in Fall 2012.

Medicaid growth over the plan period is affected by estimates of increasing Medicaid enrollment, rising costs of provider health care services (particularly in managed care), and higher levels of utilization, as well as the expiration of the temporarily enhanced levels of Federal aid. The number of Medicaid recipients is expected to total 4.9 million at the end of 2010-11, an increase of 5.9 percent from the 2009-10 caseload of 4.5 million.

The expiration of the enhanced Federal FMAP share substantially increases State-funded spending for Medicaid in 2011-12. However, even after adjusting for the impact of enhanced FMAP, State spending for Medicaid is expected to grow significantly over the multi-year Financial Plan, increasing at an average annual rate of 9.6 percent, from \$15.9 billion in 2010-11 to \$20.9 billion in 2013-14. Overall Medicaid growth results, in part, from the takeover of local Medicaid costs under the cap, the combination of projected increases in service utilization, and medical care cost inflation that affects nearly all categories of service (e.g., hospitals, nursing homes), as well as rising enrollment levels.

In addition, the payment of an extra weekly cycle to providers adds an estimated \$400 million in 2011-12.

OUTYEAR FINANCIAL PLAN PROJECTIONS

SCHOOL AID

School aid spending includes foundation aid; UPK; and expense-based aids such as building aid, transportation aid, and special education aids. School aid spending is supported by the General Fund, as well as lottery revenues (including VLTs). On a school year basis, school aid is projected to grow at an average annual rate of 10.5 percent, from \$21.2 billion in 2010-11 to \$28.6 billion in 2013-14.

FOUR YEAR SCHOOL AID PROJECTION - SCHOOL YEAR BASIS (millions of dollars)								
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual \$ Change	2013-14	Annual \$ Change
Foundation Aid/Academic Achievement Grant	\$14,894	\$16,063	\$1,169	7.8%	\$17,649	\$1,586	\$19,679	\$2,030
Universal Prekindergarten	\$378	\$462	\$84	22.2%	\$564	\$102	\$630	\$66
Expense-Based Aids (Building, Transportation, High Cost and Private Special Education, BOCES)	\$5,914	\$6,340	\$426	7.2%	\$6,800	\$460	\$7,300	\$500
Other Aid Categories/Initiatives	\$807	\$845	\$38	4.7%	\$907	\$62	\$971	\$64
Gap Elimination Adjustment	(\$1,412)	\$0	\$1,412	-100.0%	\$0	\$0	\$0	\$0
Federal Education Jobs Fund	\$607	\$0	(\$607)	-100.0%	\$0	\$0	\$0	\$0
Total School Aid	\$21,188	\$23,710	\$2,522	11.9%	\$25,920	\$2,210	\$28,580	\$2,660

Note: 2010-11 Includes \$607 Million Federal Education Jobs Fund.

Growth in 2011-12 is primarily due to the elimination of the one-time gap elimination adjustment, increases in expense-based aids and the phase-in of foundation aid over a seven-year period. Growth in 2012-13 and beyond is primarily due to increases in foundation aid; UPK increases in expense-based aids such as building aid and transportation aid.

On a State fiscal-year basis, school aid spending is projected to grow on average \$2.7 billion annually from 2011-12 to 2013-14.

MULTI-YEAR SCHOOL AID FINANCING PROJECTIONS - FISCAL YEAR BASIS (ADJUSTED) (millions of dollars)								
	2010-11 ¹	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change
General Fund Local Assistance	16,705	19,958	3,253	19.5%	22,405	12.3%	24,859	11.0%
General Fund Lottery Aid Guarantee	83	0	(83)	-100.0%	n/a	n/a	n/a	n/a
Core Lottery Aid	2,085	2,095	10	0.5%	2,102	0.3%	2,109	0.3%
VLT Lottery Aid	925	642	(283)	-30.6%	793	23.5%	855	7.8%
Total State Funds	19,798	22,695	2,897	14.6%	25,300	11.5%	27,823	10.0%

¹Adjusted to exclude the end of 2009-10 fiscal year payment (\$2.06 billion) which was deferred to 2010-11.

OVER THE MULTI-YEAR FINANCIAL PLAN PERIOD, REVENUES AVAILABLE TO FINANCE SCHOOL AID FROM CORE LOTTERY SALES ARE EXPECTED TO INCREASE NOMINALLY. REVENUES FROM VLTs IN 2010-11 REFLECT THE \$380 MILLION ONE-TIME FRANCHISE PAYMENT RECEIVED BY THE STATE IN SEPTEMBER 2010 FROM THE SALE OF VLT DEVELOPMENT RIGHTS AT AQUEDUCT. REVENUES FROM VLTs ARE EXPECTED TO GROW IN FUTURE YEARS, AUGMENTED BY THE

OUTYEAR FINANCIAL PLAN PROJECTIONS

ANTICIPATED OPENING OF A VLT FACILITY AT AQUEDUCT RACETRACK BY OCTOBER 2011.
MENTAL HYGIENE

Mental hygiene spending (excluding capital and debt service) is projected to grow by approximately \$270 million annually (7 percent), reaching a total of \$4.3 billion in 2013-14. Sources of operating growth include: increases in the projected State share of Medicaid costs; projected expansion of the various mental hygiene service systems, including increases primarily associated with the OPWDD NYS-CARES program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the OMH pipeline, as well as additional funds for supported housing beds and associated support services pursuant to a Federal district court decision; and several chemical dependence treatment and prevention initiatives in OASAS, including treatment costs associated with recent reforms to the Rockefeller drug laws.

SOCIAL SERVICES

The State share of OCFS spending is expected to grow by approximately \$200 million annually through 2013-14 primarily driven by growth in local claims-based programs. OTADA spending is projected to increase by \$559 million from \$1.2 billion in 2010-11 to \$1.7 billion by 2013-14, due to the anticipated loss of TANF Emergency Contingency Fund grants that were used to support public assistance costs that would otherwise have been paid for with State resources.

OUTYEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATIONS

STATE OPERATING FUNDS - STATE OPERATIONS (millions of dollars)							
	2010-11	2011-12	Annual \$ Change	2012-13	Annual \$ Change	2013-14	Annual \$ Change
Personal Service	10,270	11,260	990	11,123	(137)	11,273	150
State University	3,148	3,235	87	3,141	(94)	3,167	26
Correctional Services	1,898	1,940	42	1,961	21	1,984	23
Judiciary	1,537	1,651	114	1,694	43	1,750	56
Mental Hygiene	542	989	447	1,062	73	1,078	16
State Police	586	590	4	593	3	596	3
Tax and Finance	331	314	(17)	314	0	317	3
Public Health	251	258	7	264	6	270	6
Children and Family Services	175	170	(5)	174	4	169	(5)
Environmental Conservation	189	168	(21)	169	1	169	0
Legislature	165	168	3	172	4	175	3
Timing of Outstanding Labor Agreements	12	346	334	142	(204)	142	0
All Other	1,436	1,431	(5)	1,437	6	1,456	19
Non-Personal Service	4,613	4,790	177	4,889	99	5,075	186
State University	1,764	1,821	57	1,742	(79)	1,798	56
Correctional Services	515	528	13	560	32	602	42
Judiciary	364	390	26	413	23	439	26
Public Health	237	240	3	246	6	256	10
Mental Hygiene	211	224	13	271	47	279	8
Lottery	144	148	4	147	(1)	150	3
Children and Family Services	102	109	7	113	4	117	4
Tax and Finance	95	94	(1)	94	0	96	2
Debt Service	92	92	0	92	0	92	0
Insurance	72	77	5	78	1	79	1
All Other	1,017	1,067	50	1,133	66	1,167	34

Growth in State Operations spending over the multi-year Financial Plan is concentrated in agencies that operate large facilities, such as SUNY, Corrections, and the mental hygiene agencies, as well as the Judiciary. The main causes of growth include expiration of the enhanced FMAP that lowers State costs for portions of mental hygiene spending, the timing of outstanding labor agreements, inflationary increases in operating costs, and ongoing initiatives, including the civil commitment program for sexual offenders, and medical and pharmacy costs in the areas of mental hygiene and corrections.

PERSONAL SERVICE

Personal service spending includes wages and compensation for overtime, holiday and temporary services. It does not include fringe benefits, which are accounted for under General State Charges. Personal service spending increases reflect the impact of settled labor contracts, salary adjustments for performance advances, longevity

OUTYEAR FINANCIAL PLAN PROJECTIONS

payments and promotions. Growth in personal service is affected by the expiration of enhanced FMAP, which temporarily reduced the State-share costs of operating the mental hygiene system; increased spending in SUNY hospitals due to SUNY Downstate Medical Center's acquisition of Long Island College Hospital; the costs of improved care and treatment for inmates with mental illness; and anticipated needs for Office of Court Administration.

NON-PERSONAL SERVICE

Non-personal service spending represents the costs of operations other than employee wages and benefits. It includes utilities, rent, equipment, supplies and materials, telecommunications, information technology, travel, training, medical supplies, prescription drugs, and certain contractual obligations. Spending is expected to grow by an average of 3 percent annually through 2013-14, and is concentrated in agencies that operate large facilities.

Significant cost increases are expected for food, prescription drugs, and energy costs in State facilities (including prisons, youth facilities, and mental hygiene facilities); increased spending in SUNY hospitals due to SUNY Downstate Medical Center's acquisition of Long Island College Hospital; costs for developing the new Statewide Financial System; and targeted initiatives including increasing staff-to-youth ratios and improving mental health services for youth residing in State-operated juvenile justice facilities.

GENERAL STATE CHARGES

GSCs account for the costs of fringe benefits provided to State employees and retirees of the Executive, Legislative and Judicial branches, as well as for certain fixed costs. GSCs are projected to grow at an average annual rate of 10.1 percent from 2010-11 through 2013-14. The growth is mainly due to anticipated cost increases in pensions and health insurance for active and retired State employees.

The State's 2010-11 ERS pension contribution rate as a percentage of salary is expected to grow from 12.1 percent in 2010-11 to 23.5 percent in 2013-14. The PFRS pension contribution rate is expected to be 18.3 percent in 2010-11, and is projected to grow to 31.4 percent by 2013-14.

The Enacted Budget permits local governments and the State to amortize a portion of their pension costs beginning in 2010-11. Specifically, pension contribution costs in excess of the amortization thresholds, which are 9.5 percent for ERS and 17.5 percent for PFRS in 2010-11, may be amortized. The authorizing legislation also permits amortization in all future years if the actuarial contribution rate is greater than the amortization threshold, which may increase or decrease by no more than one percentage point for each year. Repayment of the amortized amounts will be made over a ten-year period at an interest rate to be determined by the State Comptroller. The assumed interest rate is 5 percent. For planning purposes, the Financial Plan

OUTYEAR FINANCIAL PLAN PROJECTIONS

assumes that the State will authorize pension costs, consistent with the provisions of the authorizing legislation. The amounts assumed to be amortized over the Financial Plan period total \$242 million in 2010-11, \$552 million in 2011-12, \$755 million in 2012-13, and \$1.0 billion in 2013-14.

Beginning in 2011-12, pension costs also include the State's payment for the retirement incentives approved in the fall 2010. The Financial Plan currently assumes an annual State payment of \$70 million, beginning in 2011-12.

Spending for employee and retiree health insurance costs is expected to grow at a consistently high rate through 2013-14, with annual growth reflecting an annual premium increase of approximately 7 percent. Spending for employee and retiree health care costs is detailed below.

FORECAST OF NEW YORK STATE EMPLOYEE HEALTH INSURANCE COSTS (millions of dollars)			
Health Insurance			
<u>Year</u>	<u>Active Employees</u>	<u>Retirees</u>	<u>Total State</u>
2007-08 (Actual)	1,390	1,182	2,572
2008-09 (Actual)	1,639	1,068	2,707
2009-10 (Actual)	1,609	1,072	2,681
2010-11 (Projected)	1,838	1,223	3,061
2011-12 (Projected)	2,034	1,355	3,389
2012-13 (Projected)	2,244	1,493	3,737
2013-14 (Projected)	2,470	1,643	4,113

All numbers reflect the cost of health insurance for GSCs (Executive and Legislative branches) and the Office of Court Administration.

See "GAAP-Basis Financial Plan" herein for the valuation of future State health insurance and other post-employment benefits costs for State employees.

TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

General Fund transfers help finance certain capital activities, the State's share of Medicaid costs for State-operated mental hygiene facilities, debt service for bonds that do not have dedicated revenues, and a range of other activities.

A significant portion of the capital and operating expenses of DMV are funded from DHBTF. The Fund receives dedicated tax and fee revenue from the Petroleum Business Tax, the Motor Fuel Tax, the Auto Rental Tax, highway use taxes, transmission taxes and motor vehicle fees administered by DMV. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on DHBTF bonds and transfers

OUTYEAR FINANCIAL PLAN PROJECTIONS

for debt service on bonds that fund CHIPs and local transportation programs – exceed current and projected revenue deposits and bond proceeds.

OUTYEAR DISBURSEMENT PROJECTIONS - GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)							
	2010-11	2011-12	Annual \$ Change	2012-13	Annual \$ Change	2013-14	Annual \$ Change
Transfers to Other Funds:	5,741	6,787	1,046	7,297	510	7,768	471
Medicaid State Share	2,435	3,013	578	3,110	97	3,073	(37)
Debt Service	1,650	1,766	116	1,757	(9)	1,686	(71)
Capital Projects	878	1,197	319	1,310	113	1,462	152
Dedicated Highway and Bridge Trust Fund	578	637	59	710	73	779	69
All Other Capital	300	560	260	600	40	683	83
All Other Transfers	778	811	33	1,120	309	1,547	427
Mental Hygiene	0	102	102	394	292	810	416
Medicaid Payments for State Facility Patients	216	216	0	216	0	216	0
Education - Lottery	83	0	(83)	0	0	0	0
Judiciary Funds	153	156	3	157	1	163	6
Banking Services	66	66	0	66	0	66	0
Indigent Legal Services	40	40	0	40	0	40	0
SUNY- Hospital Operations	33	0	(33)	0	0	0	0
Department of Transportation (MTA Tax)	24	25	1	25	0	25	0
Alcoholic Beverage Control	18	18	0	19	1	21	2
Mass Transportation Operating Assistance	19	19	0	19	0	19	0
Public Trans Systems	19	19	0	19	0	19	0
Correctional Industries	14	14	0	14	0	14	0
DCJS - Crimes Against Revenues Account	10	16	6	16	0	16	0
Statewide Financial System	9	45	36	55	10	60	5
All Other	74	75	1	80	5	78	(2)

Transfers to other funds are expected to total \$6.8 billion in 2011-12, an annual increase of over \$1 billion, or 18.2 percent. This increase is mainly due to higher costs related to the State share of Medicaid costs for mental hygiene services, capital projects and debt service.

Support for capital projects is expected to increase by over 35 percent in 2011-12 mainly for economic development projects, statewide technology initiatives, and capital project activity for SUNY, CUNY and Corrections.

Increases in all other transfers reflect the need to supplement resources available for the mental hygiene system and the fund the development of the State's new financial management system.

YEAR-TO-DATE OPERATING RESULTS

Through September 30, 2010, General Fund receipts, including transfers from other funds, totaled \$25.5 billion, \$485 million below the estimate in the Enacted Budget Financial Plan. General Fund disbursements, including transfers to other funds, totaled \$25.4 billion, \$390 million below planned levels. The General Fund ended September 2010 with a closing balance of \$2.4 billion, \$95 million lower than planned. Based in part on the operating results to date, DOB has revised the annual estimates for receipts and disbursements in this Mid-Year Update. (See “Financial Plan Overview” herein.) The following table compares planned and actual results through September 30, 2010.

GENERAL FUND PRELIMINARY RESULTS: APRIL to SEPTEMBER 2010 (millions of dollars)				
	<u>Enacted Budget</u>	<u>Preliminary Results</u>	<u>Favorable/ (Unfavorable) vs. Plan</u>	<u>Increase/ (Decrease) from Prior Year</u>
Opening Balance (April 1, 2010)	2,302	2,302	0	354
Receipts	26,004	25,519	(485)	319
Personal Income Tax*	15,685	15,555	(130)	670
User Taxes and Fees*	5,747	5,543	(204)	277
Business Taxes	2,312	2,069	(243)	(324)
Other Taxes*	762	829	67	252
Non-Tax Revenue	1,498	1,523	25	(556)
Disbursements	25,830	25,440	390	724
School Aid	8,725	8,609	116	1,451
Medicaid (including admin)	3,768	3,881	(113)	461
Children and Family Services	756	452	304	(267)
All Other Education	586	684	(98)	73
All Other Local	3,642	3,513	129	(718)
Personal Service	3,394	3,355	39	(154)
Non-Personal Service	941	928	13	(130)
General State Charges	1,372	1,395	(23)	(6)
Transfers To Other Funds	2,646	2,623	23	14
Change in Operations	174	79	(95)	(405)
Closing Balance (September 30, 2010)	2,476	2,381	(95)	(51)
* Includes transfers from other funds after debt service.				

YEAR-TO-DATE OPERATING RESULTS

Through September 2010, General Fund tax receipts, including transfers after debt service, were \$510 million below the Enacted Budget estimate. Collections for all three major tax categories, personal income taxes, user taxes, and business taxes, fell below expectations. The personal income tax and user tax results are expected to result in lower annual collections, as described earlier in this Mid-Year Update. Collections for business taxes to date have been adversely affected the timing of audits. Business tax collections are expected to recover over the remaining months of the year. Receipts from non-tax sources, including legal recoveries, exceeded planned levels.

Actual disbursements were \$390 million below planned levels through September 2010. The variance to date reflects, in part, slower than anticipated processing of payments following enactment of the budget for 2010-11 and implementation of the FMAP contingency plan. The annual estimate for disbursements has been revised upward based on trends in Medicaid and State Operations.

- Medicaid spending continues to exceed planned levels in nearly all categories of service, and enrollment in the program is growing even faster than expected. DOB has increased the annual estimate for Medicaid spending based in part on operating results to date.
- All other education spending to date has been affected by the processing of special education claims by SED. Claims for the year are still expected to remain within budgeted levels.
- Children and Families spending has been lower to date due to a combination of processing delays and claiming patterns. The annual spending estimate has been lowered.
- Lower school aid spending reflects, in part, the impact of FMAP contingency reductions on disbursements.
- State Operations spending is exceeding planned levels through the second quarter. Constraints affecting implementation of statewide agency reductions are expected to result in higher personal service costs in 2010-11 than previously anticipated.
- Other spending has been affected by the FMAP contingency reductions, payment processing, and other factors that are not expected to materially affect annual estimates.

YEAR-TO-DATE OPERATING RESULTS

GENERAL FUND ANNUAL CHANGE (THROUGH SEPTEMBER)

Receipts through September 2010 were \$319 million, or 1.3 percent, higher than the same period in 2009 largely due to the increased collections in the personal income tax (\$670 million), user taxes and fees (\$306 million), and other taxes (\$252 million). Business tax collections fell \$324 million, largely the result of 2009 legislation which increased the March 2010 prepayment from 30 percent to 40 percent of liability; non-tax receipts are down \$585 million primarily due to the timing of 18-A assessment payments.

Disbursements through September 2010 were \$724 million, or 3.0 percent higher than for the same period in 2009. Growth is primarily due to the payment of the \$2.06 billion end-of-school year payment in June 2010 instead of March 2010. Other sources of annual growth were driven by an additional Medicaid cycle through September 2010 compared to the same period in the prior year, and the timing of Medicaid offsets from HCRA during this period (\$252 million). These increases are partially offset by lower spending in most areas of the budget.

YEAR-TO-DATE OPERATING RESULTS

ALL GOVERNMENTAL FUNDS OPERATING RESULTS

PRELIMINARY SPENDING RESULTS: APRIL to SEPTEMBER 2010				
(millions of dollars)				
	Enacted Budget	Preliminary Results	Favorable/ (Unfavorable) vs. Plan	Increase/ (Decrease) from Prior Year
State Operating Funds	36,929	36,721	208	820
General Fund (excl. transfers)	23,184	22,817	367	710
Other State Funds	11,491	11,676	(185)	(136)
Debt Service Funds	2,254	2,228	26	246
All Governmental Funds	63,544	63,424	120	3,790
State Operating Funds	36,929	36,721	208	820
Capital Projects Funds	3,651	3,611	40	225
Federal Operating Funds	22,964	23,091	(127)	2,742

State Operating Funds disbursements were \$208 million below the Enacted Budget forecast and include the General Fund variances described above. The higher spending in other State funds is largely driven by earlier than expected payments related to industry assessments (\$166 million).

Capital Projects spending was below the Enacted Budget estimates primarily due to slower than anticipated spending on economic development projects (\$69 million), Higher Education (\$60 million), and Transportation (\$25 million).

Federal Operating Funds spending exceeded projections due to timing-related variances in social service, mental hygiene and education.

ECONOMIC BACKDROP

NATIONAL ECONOMY

The recovery from the nation's worst recession since the 1930s has been a rocky road. The slowdown anticipated in DOB's Enacted Budget forecast appears to be extending well into the fourth quarter. With a labor market recovery just getting underway, a shock emanating from euro-zone sovereign debt markets caused the nation's already fragile credit markets to tighten further, all against a backdrop of ongoing household deleveraging. Equity market prices, as represented by the S&P 500, fell 16 percent between late April and early July and virtually stagnated for the remainder of the summer. Whether acting as a bellwether for the condition of the real economy or operating via a negative wealth effect, the equity market decline coincided with a deceleration in both household spending and labor market growth. Real U.S. GDP growth fell from 3.7 percent in the first quarter of 2010 to 1.7 percent in the second quarter, and is estimated to remain there for the entire second half of the year. The national economy, as measured by real U.S. GDP, is now projected to grow 2.6 percent in 2010, followed by downwardly revised growth of 2.6 percent for 2011.

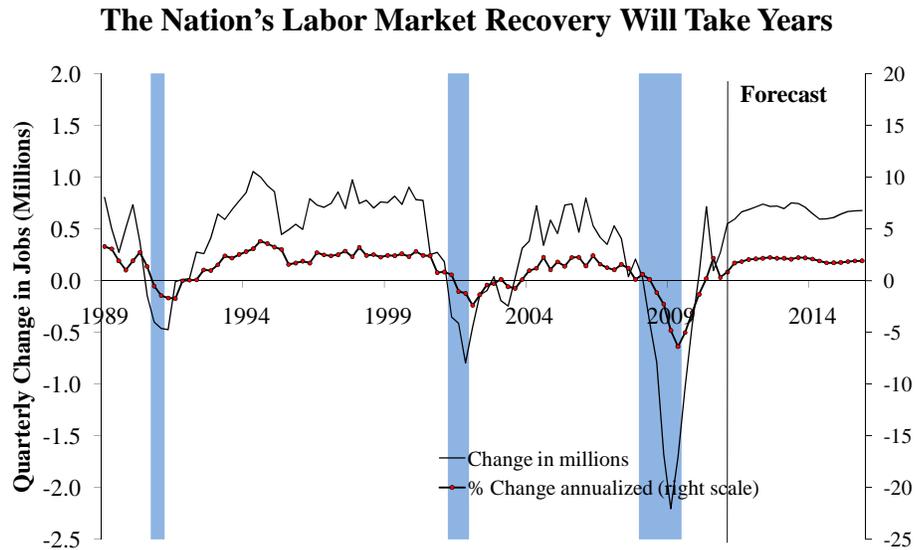
As distance from the expiration of the Federal homebuyers' credit increases, the residential housing market's intrinsic weakness has become increasingly apparent. DOB now expects a double-digit decline in residential fixed investment for the third quarter and a further decline in the fourth quarter, rather than the modest growth anticipated in August. Moreover, after a brief rally in the spring before the expiration of the credit, home prices have weakened again, though they remain slightly above their year-ago levels. Consequently, DOB has lowered its projection for private residential fixed investment to a decline of 2.4 percent for 2010, followed by growth of 4.1 percent for 2011.

The housing market's persistent weakness represents yet another temporary setback for the nation's labor market, depressing not only the demand for construction workers and building supplies, but also the demand for home appliances and other consumer durables. The softening of aggregate demand during the late spring and summer as the housing market cooled and equity markets languished coincided with a downshift in net private sector job growth, which averaged only 77,000 over the five months from May through September; this was down from an average of 200,000 for the prior two months.

An examination of the recent data provides little indication that a substantial ramp up in hiring is imminent. Weekly initial claims for unemployment insurance benefits have remained stubbornly near or above 450,000 since the beginning of the year, although Census worker layoffs and the Federal extended benefits program may be distorting this series' value as a leading signal. Additional indicators such as growth in average workweek length and the hiring of temporary workers produce very little evidence of accelerating job growth. Therefore, DOB has revised down its near-term labor market

ECONOMIC BACKDROP

outlook, with monthly job gains not expected to return to 200,000 until the middle of 2011, coinciding with a strengthening of domestic demand. An employment decline of 0.6 percent on an annual average basis is now projected for 2010, followed by growth of 1.1 percent for 2011. The unemployment rate projection for 2011 has been revised up to 9.4 percent, but remains unchanged at 9.7 percent for 2010.



Note: Shaded areas represent U.S. recessions.
Source: Moody's Economy.com; DOB staff estimates.

U.S. corporate profits experienced strong quarterly growth in 2009 and the first quarter of 2010, led largely by financial corporations. Though financial sector profits fell substantially in the second quarter, and most likely again in the third, corporate profits overall were stronger than anticipated in the second quarter, led by nonfinancial corporations. Although domestic demand has been generally weak, U.S. nonfinancial firms exporting to emerging markets, particularly in Asia and Latin America, have experienced strong earnings growth, which combined with weak hiring domestically, has buttressed profits. U.S. corporate profits, including the inventory valuation and capital consumption adjustments are now projected to rise a strong 27.9 percent in 2010, followed by 5.8 percent growth for 2011. After a strong September and October, equity markets are expected to resume a slow but steady recovery from the spring's tumult. The resulting boost to both household financial wealth and the confidence that the recovery is back on track should help buttress household spending going forward.

The weaker outlook for employment, combined with downward revisions to wages and some of the other components of personal income, have resulted in a weaker outlook for income growth. U.S. personal income growth of 3.1 percent is now projected for 2010, followed by growth of 3.3 percent for 2011. With the weak pace of the recovery continuing to keep price growth outside of the volatile food and energy sectors at bay, the Federal Reserve is almost certain to embark upon a further round of

ECONOMIC BACKDROP

quantitative easing following the early November meeting of Federal Open Market Committee. This action is expected to keep long-term interest rates low for the near-term. Although record low mortgage rates have failed to spur home sales, they do appear to have generated a strong wave of refinancings as homeowners strive to reduce their debt loads. That relief is also expected to support stronger consumption growth going forward, with real quarterly consumption growth expected to exceed 3 percent for most of 2011. The central bank's interest rate policy target is like to remain between zero and 0.25 percent until the third quarter of 2011.

While the current outlook calls for continued weak growth for the remainder of 2010, support from continued low interest rates, a gradually rising stock market, and continued global growth should bolster consumer spending going into 2011. Healthy corporate earnings, particularly for export oriented firms are expected to return equity markets to a modestly upward path, accompanied by slow but steady employment and income growth. However, there are significant risks to this forecast. Although credit markets have improved substantially since a year ago, households and small businesses continue to have difficulty borrowing and credit continues to contract. The banking system remains fragile and vulnerable to negative shocks. If the labor market recovery should stumble further, household spending growth could be even lower than projected. Although the recent weakening of the U.S. dollar should support continued growth in U.S. exports, threats of currency wars add to global instability. A portion of Federal stimulus spending remains in the pipeline and has supported some job creation in the nonresidential construction sector. But if Federal support for the economy should cease before the private sector has gathered sufficient strength, already struggling state and local governments would have to tighten their belts yet more.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2009 (Actual)	2010 (Forecast)	2011 (Forecast)
Real U.S. Gross Domestic Product	(2.6)	2.6	2.6
Consumer Price Index (CPI)	(0.3)	1.6	1.5
Personal Income	(1.7)	3.1	3.3
Nonagricultural Employment	(4.3)	(0.6)	1.1

Source: Moody's Economy.com; DOB staff estimates.

ECONOMIC BACKDROP

NEW YORK STATE ECONOMY

The New York State economy appears to have emerged from recession during the first quarter of 2010, and like the nation, experienced solid first quarter. Strong finance and insurance sector profits for 2009 resulted in a pick-up in securities industry bonuses in the first quarter, with some spillover into the second quarter as well. However, as anticipated in the Enacted Budget forecast, the State was not immune from the loss of momentum that plagued the nation during the spring and summer. The State Labor Department estimates that private sector employment fell during three of the five months from May through September 2010. Since these losses were in line with expectations, DOB's forecast for a decline in State employment of 0.3 percent for 2010 remains virtually unchanged. Growth for 2011 has been revised down slightly to 0.8 percent. Private sector jobs are projected to fall 0.4 percent for 2010 and grow 1.2 percent in 2011, again virtually unchanged from the Enacted Budget forecast. With financial markets taking longer to recover from the spring and summer's volatility than anticipated, DOB has revised down its forecast for State wage growth to 4.5 percent for 2010 and 2.9 percent for 2011.

Under current law, the Federal income tax cuts implemented in 2001 and 2003 are scheduled to expire on December 31, 2010, at which point ordinary income tax rates and the rate on capital gains realizations are slated to rise, and dividend income is to return to being taxed as ordinary income. DOB's forecast is predicated on the assumption that high-income taxpayers will behave strategically and shift bonus, dividend, and capital gains realizations income into 2010 to take advantage of the lower rates. That behavior is estimated to result in a significant increase in taxable income for the current tax year. Consequently, the possibility that the Congress may decide to extend the lower rates represents a risk to the forecast. For example, if marginal tax rates on ordinary income remain constant, and no shifting of bonus income occurs, then New York State wages are projected to rise only 3.6 percent in 2010 and 4.8 percent in 2011.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, credit and equity market volatility pose a particularly large degree of uncertainty for New York. The impact of the Federal financial reform package on the profitability of the State's finance industry is as yet unknown and consequently represents a major risk to DOB's forecast for bonuses and income going forward. Lower bonuses than projected reduce a major segment of income subject to tax as well as cut the level of economic activity generated by the spending of those wages. Similarly, should equity markets fail to grow as anticipated, both financial sector income and taxable capital gains realizations could be negatively affected. These effects would ripple through the State economy, depressing both employment and wage growth. An even weaker labor market than projected could also result in lower wages, which in turn could result in weaker household consumption. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonuses growth than projected.

ECONOMIC BACKDROP

NEW YORK STATE ECONOMIC INDICATORS

(Percent change from prior calendar year)

	2009 <u>(Actual)</u>	2010 <u>(Forecast)</u>	2011 <u>(Forecast)</u>
Personal Income	(3.1)	4.4	3.3
Wages	(7.2)	4.5	2.9
Nonagricultural Employment	(2.9)	(0.3)	0.8

Source: Moody's Economy.com; New York State Department of Labor; DOB staff estimates.

ALL FUNDS RECEIPTS PROJECTIONS

The receipts forecast describes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds. The spending projections summarize the annual growth in current-services spending for each of the State's major areas of spending (e.g., Medicaid, school aid, mental hygiene).

UPDATED ALL FUNDS RECEIPTS PROJECTIONS

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

2010-11 ALL FUNDS RECEIPTS OVERVIEW

TOTAL RECEIPTS (millions of dollars)				
	2009-10	2010-11		Annual % Change
	Actual	Mid-Year Update	Annual \$ Change	
General Fund	52,556	54,513	1,957	3.7%
State Funds	81,141	84,609	3,468	4.3%
All Funds	126,748	135,236	8,488	6.7%

All Funds receipts are projected to total \$135.2 billion for 2010-11, comprising tax receipts (\$61.4 billion), Federal grants (\$50.6 billion) and miscellaneous receipts (\$23.2 billion). The following table summarizes the actual receipts for 2009-10 and the updated projections for 2010-11 and 2011-12.

ALL FUNDS RECEIPTS PROJECTIONS

TOTAL RECEIPTS (millions of dollars)							
	2009-10 Actual	2010-11 Estimated	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund	52,556	54,513	1,957	3.7%	57,056	2,543	4.7%
Taxes	36,997	39,699	2,702	7.3%	42,515	2,816	7.1%
Miscellaneous Receipts	3,888	2,861	(1,027)	-26.4%	2,821	(40)	-1.4%
Federal Grants	71	60	(11)	-15.5%	60	0	0.0%
Transfers	11,600	11,893	293	2.5%	11,660	(233)	-2.0%
State Funds	81,141	84,609	3,468	4.3%	88,387	3,778	4.5%
Taxes	57,668	61,453	3,785	6.6%	65,516	4,063	6.6%
Miscellaneous Receipts	23,389	23,031	(358)	-1.5%	22,734	(297)	-1.3%
Federal Grants	84	125	41	48.8%	137	12	9.6%
All Funds	126,748	135,236	8,488	6.7%	134,667	(569)	-0.4%
Taxes	57,668	61,453	3,785	6.6%	65,516	4,063	6.6%
Miscellaneous Receipts	23,557	23,218	(339)	-1.4%	22,871	(347)	-1.5%
Federal Grants	45,523	50,565	5,042	11.1%	46,280	(4,285)	-8.5%

The total All Funds receipts estimate of \$135.2 billion represents an increase of nearly \$8.5 billion (6.7 percent) above 2009-10 results. This growth is comprised of increases in Federal grants of \$5.0 billion (11.1 percent) and taxes of nearly \$3.8 billion (6.6 percent), which is slightly offset by decreases in miscellaneous receipts of \$339 million (1.4 percent).

Total State Funds receipts are estimated at \$84.6 billion, an expected increase of \$3.5 billion (4.3 percent) from 2009-10 actual results. State Funds taxes are estimated to increase by nearly \$3.8 billion (6.6 percent).

Total General Fund receipts, including transfers, are estimated at nearly \$54.5 billion, an increase of nearly \$2.0 billion (3.7 percent) from 2009-10 results. The annual increase in General Fund tax receipts is estimated at 7.3 percent. General Fund miscellaneous receipts are estimated to decrease by 26.4 percent from 2009-10 results, primarily due to the loss of one-time revenues such as payments from the Power Authority of the State of New York ("PASNY"), and the timing of payments from public utility companies made pursuant to § 18a of the Public Service Law.

After controlling for the impact of Tax Law changes, base tax revenue is estimated to increase by 2.3 percent for fiscal year 2010-11.

ALL FUNDS RECEIPTS PROJECTIONS

FISCAL YEAR 2011-12 OVERVIEW

Total All Funds receipts are expected to reach nearly \$134.7 billion, a decrease of \$569 million (0.4 percent) from 2010-11 estimated receipts. All Funds tax receipts are projected to increase by nearly \$4.1 billion (6.6 percent). All Funds Federal grants are expected to decrease by nearly \$4.3 billion (8.5 percent). All Funds miscellaneous receipts are projected to decrease by \$347 million (1.5 percent) over the prior year.

Total State Funds receipts are projected to be nearly \$88.4 billion, an increase of close to \$3.8 billion (4.5 percent) from 2010-11 estimated receipts.

Total General Fund receipts (including transfers from other funds) are projected to be nearly \$57.1 billion, an increase of over \$2.5 billion (4.7 percent) from 2010-11 estimated receipts. General Fund tax receipts are projected to increase by 7.1 percent from 2010-11 estimates, and General Fund miscellaneous receipts are projected to decrease by 1.4 percent.

After controlling for the impact of policy changes, base tax revenue is expected to grow by 8.1 percent for fiscal year 2011-12.

CHANGE FROM ENACTED BUDGET

CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	2010-11				2011-12			
	Enacted Budget	Mid-Year Update	\$ Change	% Change	Enacted Budget	Mid-Year Update	\$ Change	% Change
General Fund¹	42,887	42,620	(267)	-0.6%	45,483	45,396	(87)	-0.2%
Taxes	39,931	39,699	(232)	-0.6%	42,564	42,515	(49)	-0.1%
Miscellaneous Receipts	2,896	2,861	(35)	-1.2%	2,859	2,821	(38)	-1.3%
Federal Grants	60	60	0	0.0%	60	60	0	0.0%
State Funds	84,783	84,609	(174)	-0.2%	88,784	88,387	(397)	-0.4%
Taxes	61,796	61,453	(343)	-0.6%	65,573	65,516	(57)	-0.1%
Miscellaneous Receipts	22,870	23,031	161	0.7%	23,091	22,734	(357)	-1.5%
Federal Grants	117	125	8	6.8%	120	137	17	14.2%
All Funds	134,296	135,236	940	0.7%	133,706	134,667	961	0.7%
Taxes	61,796	61,453	(343)	-0.6%	65,573	65,516	(57)	-0.1%
Miscellaneous Receipts	23,014	23,218	204	0.9%	23,229	22,871	(358)	-1.5%
Federal Grants	49,486	50,565	1,079	2.2%	44,904	46,280	1,376	3.1%

¹ Excludes Transfers

ALL FUNDS RECEIPTS PROJECTIONS

All Funds receipts estimates for the current fiscal year have been revised upward slightly from the Enacted Budget. Current year All Funds tax receipt estimates have been lowered by \$343 million since the Enacted Budget due to reductions in personal income and user taxes partially offset by increases in business and other taxes. The decrease in personal income and user taxes represent lower expected estimated payments and consumer spending, respectively, than previously anticipated. The increase in business and other taxes is the result of better than expected estimated payments from banks, and real estate sector performance, respectively. Miscellaneous receipts have been revised upward by \$204 million, while Federal grants have been revised up by nearly \$1.1 billion.

General Fund receipts for fiscal year 2010-11 have been revised down by \$267 million from the Enacted Budget, reflecting a \$232 million reduction in taxes and a \$35 million reduction in miscellaneous receipts.

MULTI-YEAR RECEIPTS

TOTAL RECEIPTS							
(millions of dollars)							
	2010-11	2011-12	Annual \$	2012-13	Annual \$	2013-14	Annual \$
	Estimated	Projected	Change	Projected	Change	Projected	Change
General Fund	54,513	57,056	2,543	57,066	10	59,871	2,805
Taxes	39,699	42,515	2,816	42,823	308	45,022	2,199
State Funds	84,609	88,387	3,778	89,023	636	92,451	3,428
Taxes	61,453	65,516	4,063	66,354	838	69,654	3,300
All Funds	135,236	134,667	(569)	133,391	(1,276)	139,790	6,399
Taxes	61,453	65,516	4,063	66,354	838	69,654	3,300

The economic forecast calls for a gradual acceleration of the recovery in employment and wages that commenced earlier in the current fiscal year. This increases the economic base on which the outyear revenue forecast is built. Overall, receipts growth in the three fiscal years following 2011-12 is expected to grow consistently with the projected moderate growth in both the U.S. and New York economies.

All Funds tax receipts in 2012-13 are projected to reach nearly \$66.4 billion, an increase of \$838 million (1.3 percent) from 2011-12. All Funds tax receipts in 2013-14 are expected to increase by \$3.3 billion (5.0 percent) over the prior year. General Fund tax receipts are projected to reach \$42.8 billion in 2012-13 and \$45.0 billion in 2013-14. (See "All Funds Receipts Projections" herein for a detailed explanation of All Funds receipts projections by source).

ALL FUNDS RECEIPTS PROJECTIONS

REVENUE RISKS

- DOB's forecast calls for the continuation of the New York's economic recovery. Should the speed or magnitude of this recovery be inconsistent with the current forecast, revenue collections could be affected.
- Although real estate transfer tax receipts outperformed the year-to-date Enacted estimate, recent disclosures concerning foreclosure practices by certain banks and stricter lending policies provide risk to the estimate.
- Personal income tax estimated payments grew 9.2 percent during the first half of 2010-11 from the same period one year ago, but fell \$100 million short of the Enacted plan for this period. This represents a risk for the remainder of the fiscal year as a significant portion of estimated payments is due during December and January.
- Personal income tax receipts will be affected by how employers and high-income taxpayers respond to the scheduled expiration of lower Federal tax rates on high incomes, capital gains, and dividends at the end of tax year 2010
- New York's statute to enforce the cigarette tax on Native American sales to non-tribal members is now in litigation in Federal court, preventing the State from collecting the tax. Absent a favorable decision, \$130 million in annual outyear collections are at risk.
- A disproportionately large share of audit and compliance receipts is expected during the second half of the current fiscal year. This represents a risk to the Financial Plan during this period.

PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	2009-10 <u>Actual</u>	2010-11 <u>Estimated</u>	Annual \$ <u>Change</u>	Annual % <u>Change</u>	2011-12 <u>Projected</u>	Annual \$ <u>Change</u>	Annual % <u>Change</u>
General Fund¹	22,654	24,147	1,493	6.6%	26,039	1,892	7.8%
Gross Collections	41,393	44,786	3,393	8.2%	47,029	2,243	5.0%
Refunds/Offsets	(6,642)	(8,189)	(1,547)	23.3%	(7,752)	437	-5.3%
STAR	(3,409)	(3,300)	109	-3.2%	(3,418)	(118)	3.6%
RBTF	(8,688)	(9,150)	(462)	5.3%	(9,820)	(670)	7.3%
State/All Funds	34,751	36,597	1,846	5.3%	39,277	2,680	7.3%
Gross Collections	41,393	44,786	3,393	8.2%	47,029	2,243	5.0%
Refunds	(6,642)	(8,189)	(1,547)	23.3%	(7,752)	437	-5.3%

¹ Excludes Transfers.

ALL FUNDS RECEIPTS PROJECTIONS

All Funds personal income tax receipts for 2010-11 are projected to increase by over \$1.8 billion from the prior year to total \$36.6 billion. Gross receipts are projected to increase by 8.2 percent, with the increase primarily attributable to increases in withholding of \$1.9 billion (6.3 percent) and estimated payments of \$1.3 billion (14.7 percent). These increases are due to gradual improvement in the economy and full-year compliance with the temporary rate increase enacted in 2009. The growth in estimated payments is also partly driven by an expected "spinup" in capital gains realizations in 2010 in anticipation of currently scheduled higher Federal capital gains tax rates beginning in tax year 2011. Final returns are projected to increase by \$151 million (8.3 percent), reflecting settlements related to the temporary rate increase from taxpayers with insufficient pre-payments. Receipts from delinquencies are projected to increase by \$61 million (5.5 percent).

Total refunds are projected to increase by \$1.5 billion (23.3 percent). This increase reflects: (1) the shift of \$500 million of tax year 2009 refunds from March 2010 to April 2010; (2) a one-time decline in 2009-10 refunds associated with state-city offset accounting adjustments; and (3) refunds for tax years prior to 2009 that are projected to increase by \$221 million as result of the recent economic downturn and higher credit claims for certain economic development tax credits (e.g. Brownfield's).

The following table summarizes, by component, actual receipts for 2009-10 and forecast amounts through 2013-14.

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	2009-10	2010-11	2011-12	2012-13	2013-14
	Actual	Estimated	Projected	Projected	Projected
Receipts					
Withholding	29,443	31,301	32,302	32,756	34,835
Estimated Payments	9,028	10,351	11,225	11,178	11,510
Current Year	6,938	7,944	8,180	7,955	8,556
Prior Year*	2,090	2,407	3,045	3,224	2,954
Final Returns	1,822	1,973	2,303	2,106	2,154
Current Year	206	207	207	207	221
Prior Year*	1,616	1,766	2,096	1,899	1,933
Delinquent	1,100	1,161	1,199	1,239	1,316
Gross Receipts	<u>41,393</u>	<u>44,786</u>	<u>47,029</u>	<u>47,279</u>	<u>49,815</u>
Refunds					
Prior Year*	4,986	5,502	5,064	5,304	5,601
Previous Years	468	689	689	689	711
Current Year*	1,250	1,750	1,750	1,750	1,750
State-City Offset*	-62	248	248	248	248
Total Refunds	<u>6,642</u>	<u>8,189</u>	<u>7,752</u>	<u>7,992</u>	<u>8,310</u>
Net Receipts	<u>34,751</u>	<u>36,597</u>	<u>39,277</u>	<u>39,287</u>	<u>41,505</u>

* These components, collectively, are known as the "settlement" on the prior year's tax liability.

ALL FUNDS RECEIPTS PROJECTIONS

All Funds net personal income tax receipts for 2011-12 of \$39.3 billion are projected to increase by \$2.7 billion (7.3 percent) over the prior year. Gross receipts are projected to rise by \$2.2 billion (5.0 percent) reflecting projected improvements in withholding of \$1.0 billion (3.2 percent) and current estimated payments for tax year 2011 of \$236 million (3.0 percent). Payments from extensions and final returns for tax year 2010 are projected to increase by \$968 million (23.0 percent) partly due to legislation passed with the Enacted Budget deferring certain business tax credits (\$274 million) and as mentioned above, a "spinup" in capital gains realizations in 2010. Receipts from delinquencies are projected to increase by 3.3 percent (\$38 million). Total refunds paid by the State are estimated to decrease by \$437 million (5.3 percent) largely due to lower prior year refunds for tax year 2010, \$274 million of which is attributable to the aforementioned tax credit deferral.

General Fund income tax receipts are the net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State personal income tax revenue bonds. General Fund income tax receipts of \$24.1 billion for 2010-11 are expected to increase by \$1.5 billion (6.6 percent) from the prior year. Apart from the changes mentioned above, this increase also reflects a \$109 million decrease in STAR deposits, mainly as a result of legislation passed with the Enacted Budget to restructure New York City STAR income tax rates. The savings in STAR deposits partly offsets an increase in deposits to RBTF of \$462 million.

General Fund income tax receipts of \$26 billion for 2011-12 are projected to grow by \$1.9 billion (7.8 percent) over the current year. Along with the increase in All Funds receipts noted above, there is a small increase of \$118 million in STAR deposits. Deposits to the RBTF are expected to increase by \$670 million (7.3 percent) the same percentage increase as projected for net collections since the transfer equals 25 percent of net collections.

PERSONAL INCOME TAX CHANGE FROM ENACTED BUDGET FORECAST								
(millions of dollars)								
	2010-11				2011-12			
	Enacted Budget	Mid-Year Update	\$	%	Enacted Budget	Mid-Year Update	\$	%
			Change	Change			Change	Change
General Fund¹	24,372	24,147	(225)	-0.9%	26,264	26,039	(225)	-0.9%
Gross Collections	45,086	44,786	(300)	-0.7%	47,329	47,029	(300)	-0.6%
Refunds/Offsets	(8,189)	(8,189)	0	0.0%	(7,752)	(7,752)	0	0.0%
STAR	(3,300)	(3,300)	0	0.0%	(3,418)	(3,418)	0	0.0%
RBTF	(9,225)	(9,150)	75	-0.8%	(9,895)	(9,820)	75	-0.8%
State/All Funds	36,897	36,597	(300)	-0.8%	39,577	39,277	(300)	-0.8%
Gross Collections	45,086	44,786	(300)	-0.7%	47,329	47,029	(300)	-0.6%
Refunds	(8,189)	(8,189)	0	0.0%	(7,752)	(7,752)	0	0.0%

¹ Excludes Transfers

ALL FUNDS RECEIPTS PROJECTIONS

All Funds total collections for 2010-11 are expected to be \$300 million below the Enacted Budget forecast, reflecting annualized impacts of weaker than expected to date results for tax year 2010 estimated payments. These are related to weaker-than-expected collections from the temporary tax rate increase, the underlying non-wage income tax base, and continued uncertainty about taxpayer response to the scheduled Federal capital gains tax rate increase. In addition, refunds related to tax year 2008 are expected to be \$50 million less favorable, offsetting state-city offsets, which are expected to be \$50 million more favorable than previously estimated.

All Funds total collections for 2011-12 are expected to be \$300 million below the Enacted Budget forecast. Estimated payments are projected to total \$300 million below previous projections, reflecting weaker payments for tax year 2011 (\$100 million) due to lower non-wage income, and weaker extension payments for tax year 2010 (\$200 million) in line with lower than expected pre-payments during 2010-11. As with 2010-11, compensating changes are expected in prior year refunds and state-city offsets.

PERSONAL INCOME TAX (millions of dollars)					
	2011-12	2012-13	Annual \$	2013-14	Annual \$
	<u>Projected</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
General Fund¹	26,039	25,881	(158)	27,356	1,475
Gross Collections	47,029	47,279	250	49,815	2,536
Refunds/Offsets	(7,752)	(7,992)	(240)	(8,310)	(318)
STAR	(3,418)	(3,584)	(166)	(3,772)	(188)
RBTF	(9,820)	(9,822)	(2)	(10,377)	(555)
State/All Funds	39,277	39,287	10	41,505	2,218
Gross Collections	47,029	47,279	250	49,815	2,536
Refunds	(7,752)	(7,992)	(240)	(8,310)	(318)

¹ Excludes Transfers.

In general, income tax growth for 2012-13 and 2013-14 is governed by projections of growth in expected liability, which is dependent on growth in the major components of taxable income, the distribution of this income among different income brackets, and, to a minor extent, the impact of Tax Law changes. The major components of taxable income include: wages, interest and dividend earnings; realized taxable capital gains; business net income, and income derived from partnerships and S corporations.

All Funds personal income tax receipts of \$39.3 billion for 2012-13 are projected to remain virtually unchanged from 2011-12. Gross receipts are projected to increase modestly by 0.5 percent and reflect withholding that is projected to grow by 1.4 percent (\$454 million) partially reduced by current estimated payments for tax year 2012 (\$225 million or 2.8 percent) and final returns payments for tax year 2011 (\$197 million or 9.4 percent). Payments from extension payments are expected to increase 5.9 percent (\$179 million) largely due to legislation related to deferral of certain tax credits. Delinquencies are projected to increase \$40 million (3.3 percent) over the prior year.

ALL FUNDS RECEIPTS PROJECTIONS

Growth in total refunds is projected to be \$240 million (3.1 percent) over the prior year, partially due to the tax credit delay noted earlier.

General Fund personal income tax receipts of \$25.9 billion for 2012-13 are projected to decrease by \$158 million (0.6 percent) from 2011-12, largely due to the expiration of the temporary PIT increase after tax year 2011. General Fund receipts for 2012-13 also reflect a \$166 million increase in STAR deposits.

All Funds income tax receipts for 2013-14 are projected to be \$41.5 billion while General Fund receipts are projected to be \$27.4 billion.

USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	2009-10	2010-11	Annual \$	Annual %	2011-12	Annual \$	Annual %
	<u>Actual</u>	<u>Estimated</u>	<u>Change</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Change</u>
General Fund^{1,2}	8,087	8,735	648	8.0%	9,035	300	3.4%
Sales Tax	7,405	8,022	617	8.3%	8,280	258	3.2%
Cigarette and Tobacco Taxes	456	485	29	6.4%	522	37	7.6%
Alcoholic Beverage Taxes	226	228	2	0.9%	233	5	2.2%
State/All Funds	12,852	14,100	1,248	9.7%	14,655	555	3.9%
Sales Tax	10,527	11,395	868	8.2%	11,765	370	3.2%
Cigarette and Tobacco Taxes	1,366	1,652	286	20.9%	1,821	169	10.2%
Motor Fuel Tax	507	511	4	0.8%	513	2	0.4%
Highway Use Tax	137	134	(3)	-2.2%	140	6	4.5%
Alcoholic Beverage Taxes	226	228	2	0.9%	233	5	2.2%
Taxicab Surcharge	13	85	72	553.8%	85	0	0.0%
Auto Rental Tax	76	95	19	25.0%	98	3	3.2%

¹ Excludes Transfers.

² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees receipts for 2010-11 are estimated to be \$14.1 billion, an increase of \$1.2 billion (9.7 percent) from 2009-10. The underlying sales tax base is estimated to increase by 6.0 percent after adjusting for the impact of law changes. The base growth rate for the first two quarters of 2010-11 (9.7 percent and 6.6 percent, respectively) mark a turnaround from the base decline of the first two quarters of 2009-10 (-12.5 percent and -11.9 percent, respectively), resulting in sales tax cash receipts growth of \$323 million (6.1 percent) in the first half of the current fiscal year. Overall, sales tax receipts are estimated to increase by \$868 million (8.2 percent) compared with 2009-10. This includes an estimated \$330 million generated by the elimination of the clothing and footwear exemption effective October 1, 2010. Non-sales tax user taxes and fees are estimated to increase by \$380 million (16.3 percent) from 2009-10 due to the supplemental auto rental tax and the taxicab surcharge becoming fully effective, as well as the cigarette tax increase of \$1.60 per pack.

ALL FUNDS RECEIPTS PROJECTIONS

General Fund user taxes and fees receipts are expected to total more than \$8.7 billion in 2010-11, an increase of \$648 million (8.0 percent) from 2009-10. Sales and cigarette and tobacco tax receipts are estimated to increase by \$617 million (8.3 percent) and by \$29 million (6.4 percent), respectively.

All Funds user taxes and fees receipts for 2011-12 are projected to be roughly \$14.7 billion, an increase of \$555 million (3.9 percent) from 2010-11. General Fund user taxes and fees receipts are projected to total approximately \$9.0 billion in 2011-12, an increase of \$300 million (3.4 percent) from 2010-11.

USER TAXES AND FEES CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	2010-11				2011-12			
	Enacted Budget	Mid-Year Update	\$ Change	% Change	Enacted Budget	Mid-Year Update	\$ Change	% Change
General Fund^{1,2}	8,809	8,735	(74)	-0.8%	8,975	9,035	60	0.7%
Sales Tax	8,082	8,022	(60)	-0.7%	8,220	8,280	60	0.7%
Cigarette and Tobacco Taxes	499	485	(14)	-2.8%	522	522	0	0.0%
Alcoholic Beverage Taxes	228	228	0	0.0%	233	233	0	0.0%
State/All Funds	14,285	14,100	(185)	-1.3%	14,567	14,655	88	0.6%
Sales Tax	11,475	11,395	(80)	-0.7%	11,685	11,765	80	0.7%
Cigarette and Tobacco Taxes	1,765	1,652	(113)	-6.4%	1,821	1,821	0	0.0%
Motor Fuel Tax	503	511	8	1.6%	505	513	8	1.6%
Highway Use Tax	134	134	0	0.0%	140	140	0	0.0%
Alcoholic Beverage Taxes	228	228	0	0.0%	233	233	0	0.0%
Taxicab Surcharge	85	85	0	0.0%	85	85	0	0.0%
Auto Rental Tax	95	95	0	0.0%	98	98	0	0.0%

¹ Excludes Transfers
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees for 2010-11 are estimated to be \$14.1 billion, a decline of \$185 million (1.3 percent) from the Enacted Budget. The sales tax estimate was revised to reflect a somewhat weaker rebound in the second quarter of 2010-11 than previously estimated. In addition, the cigarette tax estimate was lowered to reflect the inability to implement enforcement on Native American reservations due to the current Federal Court injunction. General Fund user taxes and fees receipts are projected to total \$8.7 billion in 2010-11, a decrease of \$74 million (0.8 percent) from the Enacted Budget.

All Funds user taxes and fees for 2011-12 are projected to be nearly \$14.7 billion, an increase of \$88 million (0.6 percent) from the Enacted Budget. General Fund user taxes and fees receipts are projected to total \$9.0 billion in 2011-12, an increase of \$60 million (0.7 percent) from the Enacted Budget.

ALL FUNDS RECEIPTS PROJECTIONS

USER TAXES AND FEES (millions of dollars)					
	2011-12 Projected	2012-13 Projected	Annual \$ Change	2013-14 Projected	Annual \$ Change
General Fund^{1,2}	9,035	9,255	220	9,687	432
Sales Tax	8,280	8,502	222	8,937	435
Cigarette and Tobacco Taxes	522	515	(7)	508	(7)
Alcoholic Beverage Taxes	233	238	5	242	4
State/All Funds	14,655	14,986	331	15,566	580
Sales Tax	11,765	12,111	346	12,716	605
Cigarette and Tobacco Taxes	1,821	1,792	(29)	1,763	(29)
Motor Fuel Tax	513	516	3	518	2
Highway Use Tax	140	145	5	142	(3)
Alcoholic Beverage Taxes	233	238	5	242	4
Taxicab Surcharge	85	85	0	85	0
Auto Rental Tax	98	99	1	100	1
¹ Excludes Transfers.					
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.					

All Funds user taxes and fees are projected to grow to nearly \$15.0 billion in 2012-13, an increase of \$331 million (2.3 percent). General Fund receipts are projected to be nearly \$9.3 billion, an increase of \$220 million (2.4 percent). All Funds and General Funds receipts are projected to grow to nearly \$15.6 billion and \$9.7 billion, respectively, in 2013-14. The increases in both fiscal years largely reflect the expectation that the underlying sales tax base will continue to grow consistent with an improving economy.

BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	2009-10 Actual	2010-11 Estimated	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund	5,371	5,783	412	7.7%	6,452	669	11.6%
Corporate Franchise Tax	2,145	2,886	741	34.5%	3,234	348	12.1%
Corporation & Utilities Tax	722	665	(57)	-7.9%	743	78	11.7%
Insurance Tax	1,331	1,278	(53)	-4.0%	1,335	57	4.5%
Bank Tax	1,173	954	(219)	-18.7%	1,140	186	19.5%
State/All Funds	7,459	7,817	358	4.8%	8,571	754	9.6%
Corporate Franchise Tax	2,511	3,317	806	32.1%	3,705	388	11.7%
Corporation & Utilities Tax	954	879	(75)	-7.9%	966	87	9.9%
Insurance Tax	1,491	1,410	(81)	-5.4%	1,470	60	4.3%
Bank Tax	1,399	1,141	(258)	-18.4%	1,334	193	16.9%
Petroleum Business Tax	1,104	1,070	(34)	-3.1%	1,096	26	2.4%

ALL FUNDS RECEIPTS PROJECTIONS

All Funds business tax receipts for 2010-11 are estimated at \$7.8 billion, an increase of \$358 million (4.8 percent) from 2009-10. The estimated increase in corporate franchise tax collections of \$806 million is partially offset by decreases in the other business taxes. Corporate profits are expected to increase 27.9 percent in calendar year 2010, contributing to 2010-11 growth of 28.3 percent in year-over-year corporate franchise tax receipts, adjusted for law changes. The delay of approximately \$156 million in refunds from 2009-10 into 2010-11 contributes to the estimated year-over-year decline in the bank tax of \$258 million (18.4 percent). Corporation and utilities and insurance tax receipts are expected to decline modestly in 2010-11. Trend liability growth rates in these relatively stable taxes are insufficient to overcome the acceleration of cash payments on 2010 liability into the 2009-10 fiscal year, resulting from the increase in the mandatory March pre-payment from 30 percent to 40 percent. Additionally, the corporation and utilities tax in 2010-11 is negatively impacted by a Tax Tribunal case loss of nearly \$37 million on an all funds basis.

All Funds business tax receipts for 2011-12 of \$8.6 billion are projected to increase \$754 million (9.6 percent) over the prior year reflecting economic recovery induced growth rates of 11.7 and 16.9 percent in corporate franchise tax and bank tax receipts, respectively. Adjusting for the Tax Tribunal impact in 2010-11 corporation and utilities tax receipts, growth is projected to be 5.7 percent.

General Fund business tax receipts for 2010-11 of \$5.8 billion are estimated to increase by \$412 million (7.7 percent) above 2009-10 results. The General Fund increase in business tax receipts is larger than the All Funds increase as a result of the refunds delay, noted above, disproportionately impacting MTA Surcharge receipts relative to the General Fund. Otherwise, business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

General Fund business tax receipts for 2011-12 of \$6.5 billion are projected to increase by \$669 million (11.6 percent) over the prior year. Corporate franchise tax and bank tax receipts are projected to increase 12.1 percent and 19.5 percent, respectively, as the income-based taxes continue to recover.

ALL FUNDS RECEIPTS PROJECTIONS

BUSINESS TAXES CHANGE FROM ENACTED BUDGET FORECAST								
(millions of dollars)								
	<u>2010-11</u>				<u>2011-12</u>			
	<u>Enacted Budget</u>	<u>Mid-Year Update</u>	<u>\$ Change</u>	<u>% Change</u>	<u>Enacted Budget</u>	<u>Mid-Year Update</u>	<u>\$ Change</u>	<u>% Change</u>
General Fund	5,714	5,783	69	1.2%	6,335	6,452	117	1.8%
Corporate Franchise Tax	2,886	2,886	0	0.0%	3,172	3,234	62	2.0%
Corporation & Utilities Tax	685	665	(20)	-2.9%	743	743	0	0.0%
Insurance Tax	1,278	1,278	0	0.0%	1,335	1,335	0	0.0%
Bank Tax	865	954	89	10.3%	1,085	1,140	55	5.1%
State/All Funds	7,692	7,817	125	1.6%	8,414	8,571	157	1.9%
Corporate Franchise Tax	3,307	3,317	10	0.3%	3,624	3,705	81	2.2%
Corporation & Utilities Tax	902	879	(23)	-2.5%	966	966	0	0.0%
Insurance Tax	1,410	1,410	0	0.0%	1,470	1,470	0	0.0%
Bank Tax	1,023	1,141	118	11.5%	1,269	1,334	65	5.1%
Petroleum Business Tax	1,050	1,070	20	1.9%	1,085	1,096	11	1.0%

Compared to the Enacted Budget, 2010-11 All Funds business tax receipts are revised up by \$125 million, while General Fund receipts are revised up by \$69 million. This increase is the result of stronger than expected September bank tax estimated payments.

Compared to the Enacted Budget, 2011-12 All Funds business tax receipts are revised up by \$157 million and the General Fund is revised up by \$117 million. The corporate franchise tax and the bank tax account for the estimate increase, due to improvements in components of the economic forecast that affect these taxes.

BUSINESS TAXES					
(millions of dollars)					
	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>Annual \$ Change</u>	<u>2013-14 Projected</u>	<u>Annual \$ Change</u>
General Fund	6,452	6,658	206	6,895	237
Corporate Franchise Tax	3,234	3,293	59	3,381	88
Corporation & Utilities Tax	743	766	23	790	24
Insurance Tax	1,335	1,393	58	1,454	61
Bank Tax	1,140	1,206	66	1,270	64
State/All Funds	8,571	8,837	266	9,132	295
Corporate Franchise Tax	3,705	3,802	97	3,922	120
Corporation & Utilities Tax	966	992	26	1,019	27
Insurance Tax	1,470	1,533	63	1,604	71
Bank Tax	1,334	1,409	75	1,482	73
Petroleum Business Tax	1,096	1,101	5	1,105	4

ALL FUNDS RECEIPTS PROJECTIONS

All Funds business tax receipts for 2012-13 and 2013-14 reflect trend growth that is determined in part by the expected levels of corporate profits, taxable insurance premiums, electric utility consumption prices, the consumption of telecommunications services and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to \$8.8 billion (3.1 percent) in 2012-13, and \$9.1 billion (3.3 percent) in 2013-14. General Fund business tax receipts over this period are expected to increase to nearly \$6.7 billion (3.2 percent) in 2012-13 and \$6.9 billion (3.6 percent) in 2013-14.

OTHER TAXES

OTHER TAXES (millions of dollars)							
	2009-10 Actual	2010-11 Estimated	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund¹	885	1,034	149	16.8%	989	(45)	-4.4%
Estate Tax	864	1,015	151	17.5%	970	(45)	-4.4%
Gift Tax	2	0	(2)	-100.0%	0	0	0.0%
Real Property Gains Tax	(1)	0	1	-100.0%	0	0	0.0%
Pari-Mutuel Taxes	19	18	(1)	-5.3%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
State/All Funds	1,378	1,600	222	16.1%	1,570	(30)	-1.9%
Estate Tax	864	1,015	151	17.5%	970	(45)	-4.4%
Gift Tax	2	0	(2)	-100.0%	0	0	0.0%
Real Property Gains Tax	(1)	0	1	-100.0%	0	0	0.0%
Real Estate Transfer Tax	493	566	73	14.8%	581	15	2.7%
Pari-Mutuel Taxes	19	18	(1)	-5.3%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

¹ Excludes Transfers.

All Funds other taxes receipts for 2010-11 are estimated to be \$1.6 billion, an increase of \$222 million (16.1 percent) from 2009-10. Growth of 17.5 percent in the estate tax and 14.8 percent in the real estate transfer tax reflect strong tax receipts during the first half of 2010-11 along with improved conditions in the equities, real estate and credit markets.

General Fund other taxes receipts are expected to total slightly more than \$1.0 billion in 2010-11, an increase of \$149 million (16.8 percent), which is almost entirely attributable to growth in the estate tax resulting from increases in equity and housing prices, combined with strong year-to-date payments from the settlement of large estates.

All Funds other taxes receipts for 2011-12 are projected to be nearly \$1.6 billion, down \$30 million (1.9 percent) from 2010-11, reflecting a decrease of \$45 million (4.4 percent) in estate tax receipts as increases in household net worth are more than offset by a return to a more normal (and lower) level of settlements of large estates. This is

ALL FUNDS RECEIPTS PROJECTIONS

partially offset by modest growth in the real estate transfer tax of \$15 million (2.7 percent). General Fund other tax receipts are expected to total \$989 million in fiscal year 2011-12, a decrease of \$45 million (4.4 percent) due to the estate tax changes noted above.

OTHER TAXES CHANGE FROM ENACTED BUDGET FORECAST								
(millions of dollars)								
	2010-11				2011-12			
	Enacted Budget	Mid-Year Update	\$ Change	% Change	Enacted Budget	Mid-Year Update	\$ Change	% Change
General Fund¹	1,034	1,034	0	0.0%	989	989	0	0.0%
Estate Tax	1,015	1,015	0	0.0%	970	970	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%	18	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
State/All Funds	1,554	1,600	46	3.0%	1,570	1,570	0	0.0%
Estate Tax	1,015	1,015	0	0.0%	970	970	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	520	566	46	8.8%	581	581	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%	18	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

¹ Excludes Transfers.

All Fund other taxes for 2010-11 have been revised upward by \$46 million (3.0 percent) from the Enacted Budget estimate, reflecting an increase in real estate transfer tax receipts due to unanticipated improvement in real estate markets and stronger than expected year-to-date collections.

All Funds other taxes receipts for 2011-12 are unchanged from the Enacted Budget. General Fund other taxes receipts for 2010-11 and 2011-12 are also unchanged from the Enacted Budget.

ALL FUNDS RECEIPTS PROJECTIONS

OTHER TAXES (millions of dollars)					
	2011-12 <u>Projected</u>	2012-13 <u>Projected</u>	Annual \$ <u>Change</u>	2013-14 <u>Projected</u>	Annual \$ <u>Change</u>
General Fund¹	989	1,029	40	1,084	55
Estate Tax	970	1,010	40	1,065	55
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
State/All Funds	1,570	1,715	145	1,838	123
Estate Tax	970	1,010	40	1,065	55
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	581	686	105	754	68
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
¹ Excludes Transfers.					

The 2012-13 All Funds receipts projection for other taxes is \$1.7 billion, up \$145 million (9.2 percent) from 2011-12 receipts. Modest growth in the estate tax is projected to follow expected increases in household net worth. Receipts from the real estate transfer tax are projected to increase significantly, reflecting the continuation of the expected improvement in the residential and commercial markets.

The 2013-14 All Funds receipts projection for other taxes of over \$1.8 billion is up \$123 million (7.2 percent) from 2012-13 receipts. The forecast reflects continued projected increases in household net worth and the value of real estate transfers.

ALL FUNDS RECEIPTS PROJECTIONS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)							
	2009-10 Actual	2010-11 Estimated	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund	3,959	2,921	(1,038)	-26.2%	2,881	(40)	-1.4%
Miscellaneous Receipts ¹	3,888	2,861	(1,027)	-26.4%	2,821	(40)	-1.4%
Federal Grants	71	60	(11)	-15.5%	60	0	0.0%
State Funds	23,473	23,156	(317)	-1.4%	22,871	(285)	-1.2%
Miscellaneous Receipts ¹	23,389	23,031	(358)	-1.5%	22,734	(297)	-1.3%
Federal Grants	84	125	41	48.8%	137	12	9.6%
All Funds	69,080	73,783	4,703	6.8%	69,151	(4,632)	-6.3%
Miscellaneous Receipts ¹	23,557	23,218	(339)	-1.4%	22,871	(347)	-1.5%
Federal Grants	45,523	50,565	5,042	11.1%	46,280	(4,285)	-8.5%

¹Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts include monies received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$23.2 billion in 2010-11, a decrease of \$339 million from 2009-10 results, largely reflecting the impact of non-recurring and accelerated receipts to the State during 2009-10. Sources of receipts during 2009-10, which will not recur or will recur in lesser amounts, include 18-A public utility assessments (\$653 million), New York Power Authority contributions (\$158 million), Regional Greenhouse Gas Initiative proceeds (\$90 million), and Battery Park City Authority resources (\$68 million). The total annual decline in miscellaneous receipts also reflects lower bond proceeds available for mental hygiene facility capital improvement (\$101 million), lower HCRA receipts (\$105 million) and lower receipts from refunds, credits and reimbursements (\$101 million). These annual declines were partly offset by growth in other areas, primarily to SUNY revenue growth from expansions at the three SUNY teaching hospitals, enrollment growth, and greater bond proceeds available for SUNY capital projects (\$530 million), and increased lottery fund receipts which reflect the one-time receipt of the franchise fee for rights to develop a VLT facility at Aqueduct (\$380 million).

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically plans that Federal reimbursement will be received in the State fiscal year in which spending occurs, but timing is often unpredictable. All Funds Federal grants are projected to total \$50.6 billion in 2010-11, an increase of \$5.0 billion from 2009-10 results driven by receipt of Federal ARRA monies.

ALL FUNDS RECEIPTS PROJECTIONS

In 2010-11 General Fund miscellaneous receipts and Federal grants are estimated to decrease by \$1.0 billion (26.4 percent), reflecting the loss of one-time revenues such as Power Authority of the State of New York ("PASNY") payments, and the timing of payments from public utility companies made pursuant to § 18a of the Public Service Law.

All Funds miscellaneous receipts are projected to total \$22.9 billion in 2011-12, a decrease of \$347 million from the current year, largely driven by changes in bond proceeds funding for several capital improvement projects including economic development, transportation and public health (\$145 million) and the non-recurrence of revenues received during 2010-11 for the VLT franchise fee payment (\$380 million) partially offset by growth in HCRA receipts (\$229 million).

All Funds Federal grants are projected to total \$46.3 billion in 2011-12, a decrease of \$4.3 billion from the current year, reflecting a decrease in Federal ARRA funding.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM ENACTED BUDGET FORECAST								
(millions of dollars)								
	2010-11				2011-12			
	Enacted Budget	Mid-Year Update	\$ Change	% Change	Enacted Budget	Mid-Year Update	\$ Change	% Change
General Fund¹	2,956	2,921	(35)	-1.2%	2,919	2,881	(38)	-1.3%
Miscellaneous Receipts ²	2,896	2,861	(35)	-1.2%	2,859	2,821	(38)	-1.3%
Federal Grants	60	60	0	0.0%	60	60	0	0.0%
State Funds	22,987	23,156	169	0.7%	23,211	22,871	(340)	-1.5%
Miscellaneous Receipts ²	22,870	23,031	161	0.7%	23,091	22,734	(357)	-1.5%
Federal Grants	117	125	8	6.8%	120	137	17	14.2%
All Funds	72,500	73,783	1,283	1.8%	68,133	69,151	1,018	1.5%
Miscellaneous Receipts ²	23,014	23,218	204	0.9%	23,229	22,871	(358)	-1.5%
Federal Grants	49,486	50,565	1,079	2.2%	44,904	46,280	1,376	3.1%

¹ Excludes Transfers.

² Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees"

All Funds miscellaneous receipts have been revised upward by \$204 million in 2010-11 driven by revised estimates in certain economic development programs, primarily AMD, partially offset by downward revisions in the transportation area. Receipts decline by \$358 million in 2011-12 from the Enacted Budget, driven primarily by decreases in bond funding for economic development and mental hygiene facility capital projects (\$136 million) and downward revisions to HCRA receipts (\$49 million) and other special revenue funds based upon receipts trends and activity to date.

Federal grants in 2010-11 and 2011-12 have been revised upward by \$1.1 billion and \$1.4 billion respectively from the Enacted Budget, driven by changes in Federal spending, primarily Medicaid and School Aid.

ALL FUNDS RECEIPTS PROJECTIONS

General Fund miscellaneous receipts and Federal Grants in 2010-11 and 2011-12 have been revised downward by \$35 million and \$38 million, respectively from the Enacted Budget as a result of base re-estimates.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)					
	2011-12	2012-13	Annual \$	2013-14	Annual \$
	Projected	Projected	Change	Projected	Change
General Fund	2,881	2,846	(35)	2,793	(53)
Miscellaneous Receipts ¹	2,821	2,786	(35)	2,733	(53)
Federal Grants	0	60	60	60	0
State Funds	22,871	22,669	(202)	22,797	128
Miscellaneous Receipts ¹	22,734	22,532	(202)	22,660	128
Federal Grants	137	137	0	137	0
All Funds	69,151	67,037	(2,114)	70,136	3,099
Miscellaneous Receipts ¹	22,871	22,668	(203)	22,797	129
Federal Grants	46,280	44,369	(1,911)	47,339	2,970

¹ Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts are projected to decrease by \$203 million in 2012-13 driven by a projected decline in programs financed with authority bond proceeds, including economic development and health projects (\$642 million), partially offset by increases in HCRA (\$199 million) and lottery receipts (\$158 million). Miscellaneous receipts increase by \$129 million in 2013-14 driven by increases in HCRA (\$104 million) and lottery receipts (\$68 million)

The loss of Federal ARRA aid drives the All Funds Federal grant declines of \$1.9 billion in 2012-13. Annual growth of nearly \$3.0 billion returns in 2013-14 driven by Medicaid spending.

General Fund miscellaneous receipts and Federal grants collections for 2012-13 and 2013-14 are projected to be \$2.8 billion each, reflecting minor downward revisions as a result of base re-estimates.

ALL FUNDS RECEIPTS PROJECTIONS

NON-TAX GENERAL FUND TRANSFERS FROM OTHER FUNDS

GENERAL FUND TRANSFERS FROM OTHER FUNDS ANNUAL CHANGE (millions of dollars)							
	2010-11	2011-12	Annual Change	2012-13	Annual Change	2013-14	Annual Change
Total Transfers From Other Funds	1,491	792	(699)	520	(272)	520	0
Mental Hygiene	415	0	(415)	0	0	0	0
Miscellaneous Special Revenue Funds	181	230	49	(50)	(280)	(50)	0
Youth Facility Per Diem Account	221	147	(74)	143	(4)	143	0
Tribal State Compact Revenue	96	92	(4)	104	12	104	0
Public Safety Communications	45	20	(25)	20	0	20	0
Federal Health and Human Services	44	44	0	44	0	44	0
Business Licensing Services	37	37	0	36	(1)	36	0
Hazardous Waste	27	29	2	29	0	29	0
Workers Compensation Board	92	0	(92)	0	0	0	0
Revenue Arrearage Account	23	23	0	23	0	23	0
DMV - Compulsory Insurance	15	15	0	15	0	15	0
DOS - Fire/Code Prevention	15	15	0	0	(15)	0	0
EPIC	13	13	0	13	0	13	0
FMAP Contingency	93	0	(93)	0	0	0	0
All Other	174	127	(47)	143	16	143	0

All other transfers to the General Fund from other State Funds are expected to decline in 2011-12 from 2010-11 levels, primarily as a result of nonrecurring fund sweeps from several special revenue accounts and the Environmental Protection Fund that were included in the 2010-11 Enacted Budget.

ALL FUNDS DISBURSEMENTS PROJECTIONS

The 2010-11 spending forecasts for each of the State's major programs and activities have been updated since the Enacted Budget as more information has become available. The changes include the General Fund revisions, explained in detail earlier in this Mid-Year Financial Plan.

Additional detailed information on annual spending changes for each of the State's major programs and activities may be found in the 2010-11 Enacted Budget Financial Plan available on-line at www.budget.state.ny.us.

TOTAL DISBURSEMENTS - ADJUSTED FOR PAYMENT DEFERRALS AND ARRA PASS-THROUGH						
(millions of dollars)						
	<u>2009-10</u>	<u>2010-11 Adjusted</u>			<u>Annual \$</u>	<u>Annual %</u>
	<u>Adjusted</u>	<u>Enacted</u>	<u>Change</u>	<u>Revised</u>	<u>Change</u>	<u>Change</u>
		<u>Budget</u>		<u>Estimate</u>		
State Operating Funds	<u>78,934</u>	<u>78,998</u>	<u>203</u>	<u>79,201</u>	<u>267</u>	<u>0.3%</u>
General Fund (excluding transfers)	48,475	47,601	344	47,945	(530)	-1.1%
Other State Funds	25,447	25,789	(96)	25,693	246	1.0%
Debt Service Funds	5,012	5,608	(45)	5,563	551	11.0%
All Governmental Funds	<u>130,937</u>	<u>133,827</u>	<u>1,510</u>	<u>135,337</u>	<u>4,400</u>	<u>3.4%</u>
State Operating Funds	78,934	78,998	203	79,201	267	0.3%
Capital Projects Funds	7,112	8,454	54	8,508	1,396	19.6%
Federal Operating Funds	44,891	46,375	1,253	47,628	2,737	6.1%
General Fund, including Transfers	<u>54,262</u>	<u>53,533</u>	<u>153</u>	<u>53,686</u>	<u>(576)</u>	<u>-1.1%</u>
State Funds	<u>84,094</u>	<u>85,073</u>	<u>258</u>	<u>85,331</u>	<u>1,237</u>	<u>1.5%</u>

ALL FUNDS DISBURSEMENTS PROJECTIONS

UPDATED ALL FUNDS DISBURSEMENTS PROJECTIONS

All Funds spending in 2010-11 is now projected to total \$135.3 billion, an increase of \$1.5 billion from the Enacted Budget. Higher General Fund spending, primarily for Medicaid, contributes nearly \$350 million to the increase. The remaining increase since the Enacted Budget is mainly due to an updated estimate of Federal aid, particularly in the areas of health care, welfare, and school aid, including the new Federal Education Jobs Fund and Race to the Top.

2010-11 SPENDING PROJECTIONS UPDATED FOR MID-YEAR UPDATE						
INCREASE/(DECREASE) IN SPENDING						
(millions of dollars)						
	General Fund*	Other State Funds**	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
Enacted Budget, Adjusted	47,601	31,397	78,998	8,454	46,375	133,827
<i>Annual Percent Change at Enacted Budget</i>	-1.8%	3.1%	0.1%	18.9%	3.3%	2.2%
Changes to the Enacted Budget	344	(141)	203	54	1,253	1,510
Medicaid/HCRA	368	(52)	316	0	776	1,092
Human Services	(156)	15	(141)	17	203	79
School Aid/Lottery Aid	(15)	0	(15)	0	202	187
Higher Education	(2)	155	153	0	0	153
Transportation/DMV	0	(2)	(2)	(115)	(1)	(118)
Public Safety/Criminal Justice	8	(4)	4	(15)	66	55
Debt Service	0	(45)	(45)	0	0	(45)
Economic Development	0	0	0	266	0	266
Allocation of Agency Operational/FMAP Contingency Savings	300	(155)	145	(45)	(47)	53
Timing of Outstanding Labor Agreements	(204)	0	(204)	0	0	(204)
All Other Spending Revisions	45	(53)	(8)	(54)	54	(8)
Mid-Year Update, Adjusted	47,945	31,256	79,201	8,508	47,628	135,337
<i>Annual Change at Mid-Year Update</i>	-1.1%	2.6%	0.3%	19.6%	6.1%	3.4%

*Excludes Transfers

**Includes State Special Revenue and Debt Service Funds

STATE OPERATING FUNDS BUDGET

The changes to the State Operating Funds forecast primarily reflect the General Fund revisions described earlier. The increase in other State funds reflects additional spending by SUNY for the acquisition of the Long Island College Hospital Downstate Medical Center, and the creation of a program at SUNY's Stony Brook University teaching hospital designed to allow for greater flexibility in hiring physicians. This increase is partially offset by savings achieved in other areas based upon activity and spending trends to date.

CAPITAL BUDGET

Capital spending is projected to total \$8.5 billion in 2010-11, an increase of \$54 million from the Enacted Budget. Growth is driven by revised estimates in certain

ALL FUNDS DISBURSEMENTS PROJECTIONS

Economic Development programs, primarily reflecting accelerated spending by AMD, partially offset by downward revisions in the Transportation area, including highway construction, maintenance and multimodal programs, as well as by workforce savings.

The capital spending projections conform to the reporting of actual results in the State's cash basis of accounting. A comprehensive review of all capital projects spending, including "off-budget" spending, is provided in the Financial Plan tables.

FEDERAL OPERATING BUDGET

DOB has increased the annual estimate for Federal operating spending by \$1.3 billion compared to the Enacted Budget. The changes are largely driven by an increase in Medicaid costs resulting from higher than projected utilization, increased School Aid spending driven by moneys awarded under the new Federal Education Jobs Fund, and revised federal TANF spending.

UPDATED HCRA FINANCIAL PLAN

Since the Enacted Budget, DOB has made revisions to the HCRA plan to reflect operating changes and revisions to the forecast based on operating results through the first six months of the current fiscal year and other pertinent information. Significant changes include revenue revisions to reflect lower cigarette tax collections, higher surcharge and assessment revenue, and a revaluation of insurance conversion proceeds. Additionally, spending revisions have been made to account for various programmatic changes and enrollment forecasts. In all years, Medicaid spending between the General Fund and HCRA has been adjusted to keep HCRA balanced through 2013-14.

HCRA FINANCIAL PLAN 2010-11 THROUGH 2013-14 (millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
Opening Balance	26	0	0	0
Total Receipts	5,044	5,405	5,583	5,664
Surcharges	2,466	2,505	2,565	2,621
Covered Lives Assessment	1,024	1,050	1,045	1,045
Cigarette Tax Revenue	1,167	1,299	1,277	1,255
Conversion Proceeds	0	150	275	300
Hospital Assessment (1 percent)	323	343	364	385
All Other	64	58	57	58
Total Disbursements	5,070	5,405	5,583	5,664
Medicaid Assistance Account	2,872	2,903	3,354	3,471
Medicaid Costs	1,630	1,605	2,033	2,150
Family Health Plus	596	634	657	657
Workforce Recruitment & Retention	196	197	197	197
All Other	450	467	467	467
HCRA Program Account	359	506	471	471
Hospital Indigent Care	870	792	792	792
Elderly Pharmaceutical Insurance Coverage	177	223	243	256
Child Health Plus	342	332	354	379
Public Health Programs	116	121	121	121
All Other	334	528	248	174
Annual Operating Surplus/(Deficit)	(26)	0	0	0
Closing Balance	0	0	0	0

Current HCRA authorization expires on March 31, 2011 and is expected to be reauthorized with the 2011-12 Executive Budget. The reauthorization of HCRA in prior years has maintained HCRA's solvency without the need for automatic spending reductions or General Fund support.

UPDATED HCRA FINANCIAL PLAN

The table below summarizes the revisions to the multi-year HCRA Financial Plan since the Enacted Budget, followed by a description of the changes.

SUMMARY OF CHANGES TO HCRA FORECAST FOR 2010-11 THROUGH 2013-14				
SAVINGS/(COSTS)				
(millions of dollars)				
	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>
Receipts Revisions	<u>(81)</u>	<u>(49)</u>	<u>43</u>	<u>43</u>
Cigarette Tax Reestimate	(99)	0	0	0
Reestimates to Surcharges and Assessments	25	50	50	50
Reduction in DASNY Transfer	(7)	(7)	(7)	(7)
Insurance Conversion Proceeds Reestimate	0	(92)	0	0
Spending Revisions	<u>26</u>	<u>(34)</u>	<u>36</u>	<u>(49)</u>
EPIC Reestimate	23	(18)	(23)	(22)
Stem Cell Transfer Reestimate	8	23	62	0
All Other	(5)	(39)	(3)	(27)
Adjustment to General Fund Offload	55	83	(79)	6
NET CHANGE FROM ENACTED BUDGET	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

- **Cigarette Tax Receipts:** The 2010-11 downward revision is due to delays in enforcement of tax collections on Native American Lands.
- **Surcharge/Assessment Receipts:** Recent trends in revenues received from surcharges and assessments on medical procedures, as well as from the regionally calculated covered lives assessment on insurance carriers are expected to result in higher collections.
- **DASNY Transfer:** Annual transfers from DASNY are no longer anticipated due to lack of uncommitted funding in the Health Care Restructuring Pool.
- **Insurance Conversion Proceeds:** Projected revenue from the conversion of certain not-for-profit health insurance companies to for-profit companies has been revalued based on current market conditions and the companies' performance.
- **EPIC:** Savings are expected in the current year as EPIC continues to maximize Federal Medicare Part D program; however, spending will start to increase associated with increases in prescription drug costs.
- **Stem Cell:** HCRA financing (via transfers to the Stem Cell Account) have been reduced to reflect program needs and the status of grant awards.

UPDATED HCRA FINANCIAL PLAN

- **All Other:** Additional costs relate to a one-time increase in 2011-12 Bad Debt & Charity Care payments to providers to compensate for uninsured and unreimbursed costs (\$35 million); expected growth in enrollment in the Child Health Plus program adds costs beginning in 2013-14 (\$24 million); and indigent care spending has increased modestly (\$3 million).

The combination of revenue and spending changes impact the HCRA balance available for offloads of General Fund Medicaid spending.

GAAP-BASIS FINANCIAL PLAN/OTHER POST-EMPLOYMENT BENEFITS

GAAP-BASIS FINANCIAL PLAN

The State Budget is required to be balanced on a cash basis, which is DOB's primary focus in preparing and implementing the State Financial Plan. State Finance Law also requires the Financial Plan be presented for informational purposes on a GAAP basis. The GAAP-basis plans model, to the extent practicable, the accounting principles applied by OSC in preparation of the annual Financial Statements. Tables comparing the cash basis and GAAP basis General Fund Financial Plans are provided at the end of this Financial Plan.

In 2010-11, the General Fund GAAP Financial Plan shows total revenues of \$46.2 billion, total expenditures of \$55.8 billion, and net other financing sources of \$9.5 billion, resulting in an operating deficit of \$80 million, which increases the projected accumulated deficit to \$3.6 billion. These results reflect the net impact of the Enacted Budget gap-closing actions, and the carry-forward of the cash shortfall into 2010-11.

OTHER POST EMPLOYMENT BENEFITS ("OPEB")

Substantially all of the State's employees may become eligible for post retirement benefits if they reach retirement while working for the State. In accordance with GASB Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in note 13 of the Basic Financial Statements for fiscal year 2009-10, the annual required contribution ("ARC") represents the annual level of funding that, if set-aside on an ongoing basis, is projected to cover normal costs each year and amortize any unfunded liabilities of the plan over a period not to exceed thirty years. Amounts required but not actually set aside to pay for these benefits are accumulated with interest as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for 2009-10, an actuarial valuation of OPEB liabilities was performed as of April 1, 2008 with results projected to April 1, 2009 for the fiscal year ended March 31, 2010. The valuation calculated the present value of the actuarial accrued total liability for benefits as of March 31, 2010 at \$55.9 billion (\$46.3 billion for the State and \$9.6 billion for SUNY), determined using the Frozen Entry Age actuarial cost method, and is amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The net OPEB liability for 2009-10 totaled \$3.3 billion (\$2.7 billion for the State and \$0.6 billion for SUNY) under the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. This was \$2.1 billion (\$1.7 billion for the State and

GAAP-BASIS FINANCIAL PLAN/OTHER POST-EMPLOYMENT BENEFITS

\$0.4 billion for SUNY) above the payments for retiree costs made by the State in 2009-10. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASBS 45 reduced the State's currently positive net asset condition at the end of 2009-10 by \$2.1 billion.

The State's actuarial consultant has provided an updated calculation of the ARC and annual OPEB costs. The updated calculation will ultimately be reflected in the financial statements for the State and SUNY for fiscal year 2010-11. The updated calculation shows the present value of the actuarial accrued total liability for benefits at \$60.2 billion (\$50.1 billion for the State and \$10.1 billion for SUNY).

GASB does not require the additional costs to be funded on the State's budgetary basis, and no funding is assumed for this purpose in the Financial Plan. On a budgetary (cash) basis, the State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis. (See "Outyear Financial Plan Projections" for a summary of projected spending for this purpose over the Plan period.)

DOB's detailed GAAP Financial Plans for 2009-10 through 2013-14 are provided in the Financial Plan Tables.

As noted, there is no provision in the current Financial Plan to pre-fund the GASB 45 liability. If such liability were pre-funded at this time, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

DEBT/CAPITAL UPDATE

SUMMARY

CAPITAL SPENDING

The Mid-Year Financial Plan reflects reestimates to spending from capital authorizations provided in the Enacted Budget. These re-estimates are based on actual results to date and more recent program information concerning anticipated activity levels over the next four fiscal years.

Overall, capital spending in fiscal year 2010-11 is projected to total \$10.2 billion, an increase of 19.6 percent from the prior fiscal year. The State's capital spending consists of \$8.5 billion in "on-budget" spending and \$1.7 billion in "off-budget" spending. On-budget spending is reflected in the All Governmental Funds Financial Plan and reported on by the State Comptroller. Off-budget capital spending occurs directly from bond proceeds issued on behalf of the State, rather than through a State capital appropriation, and is not captured in the All Funds projections or the Comptroller's cash financial statements.

CAPITAL SPENDING (ON-BUDGET)

ALL FUNDS PROJECTED CAPITAL PROJECTS SPENDING (millions of dollars)						
	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>Five-Year Spending Changes</u>
Projected Capital Projects Funds Spending, Enacted Budget	8,454	8,915	7,530	7,206	7,019	39,124
Net Spending Reestimates	54	(298)	(289)	(222)	(220)	(974)
Economic Development/Government Oversight	266	(105)	(128)	1	0	33
Public Protection	(15)	(11)	(11)	(11)	(6)	(54)
Environment	(2)	(5)	(5)	(5)	(5)	(24)
General Government	(20)	20	0	0	0	0
Transportation	(157)	(105)	(155)	(206)	(227)	(850)
Health and Social Welfare	10	10	5	0	0	25
Education	(28)	(10)	7	1	20	(9)
Mental Hygiene	(0)	(91)	(1)	(1)	(1)	(95)
All Other	0	0	0	0	0	0
Projected Capital Projects Funds Spending, Mid-Year Update	8,508	8,617	7,241	6,985	6,799	38,150

In comparison to the Enacted Budget, projected capital spending over the five-year plan period has been reduced from \$39.1 billion to \$38.2 billion, primarily attributable to reductions totaling \$850 million in transportation. In 2010-11, spending increases by \$54 million, reflecting accelerated spending by AMD in the economic development area, offset by slower spending in transportation.

DEBT/CAPITAL UPDATE

CAPITAL SPENDING (OFF-BUDGET)

Off-Budget capital spending is projected to increase by \$131 million compared to the Enacted Budget primarily reflecting faster than anticipated spending for the current SUNY and CUNY capital programs.

OFF-BUDGET CAPITAL SPENDING			
(thousands of dollars)			
	2010-11 Enacted Budget	2010-11 Mid-Year Update	Quarterly Change
ECONOMIC DEVELOPMENT AND GOVERNMENTAL OVERSIGHT			
Economic Development	60,960	60,960	0
Empire State Development Corporation	10,000	10,000	0
Functional Total	<u>70,960</u>	<u>70,960</u>	<u>0</u>
TRANSPORTATION			
Transportation, Department of	403,400	403,400	0
Functional Total	<u>403,400</u>	<u>403,400</u>	<u>0</u>
MENTAL HYGIENE			
Alcoholism and Substance Abuse Services, Office of	5,000	5,000	0
Mental Health, Office of	157,809	157,809	0
People with Developmental Disabilities, Office of	41,727	41,727	0
Functional Total	<u>204,536</u>	<u>204,536</u>	<u>0</u>
EDUCATION			
City University of New York	611,763	645,841	34,078
Education, Department of	211,054	211,054	0
State University of New York	149,000	245,584	96,584
Functional Total	<u>971,817</u>	<u>1,102,479</u>	<u>130,662</u>
TOTAL OFF-BUDGET CAPITAL SPENDING	<u>1,650,713</u>	<u>1,781,375</u>	<u>130,662</u>

DEBT SERVICE BUDGET – CAPITAL SPENDING IMPACT

The following tables summarize the net impact of the capital projects spending changes on State debt levels and debt service spending. The changes in debt outstanding, debt issuances, and debt service costs detailed below are consistent with the capital spending changes noted in the previous charts, and include accelerated bonding in 2010-11 for AMD, the Aqueduct VLT facility, mental health facilities, and SUNY Dormitories, as offset by reductions in bonding for transportation purposes, as well as other education and economic development activities.

Also reflected in the estimates below is \$143 million in 2010-11 debt service savings, of which \$112 million has been achieved. This primarily results from bond refinancings, the use of Build America Bonds (BABs, as authorized by the Federal Government under ARRA), the termination of excluded agreement swaps, and other reestimates.

DEBT/CAPITAL UPDATE

Projected Debt Outstanding (millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Enacted Budget State-Related Debt Outstanding	56,877	58,413	58,751	58,487	58,102
Global Foundries	128	(4)	(5)	(5)	(6)
Aqueduct VLT Facility	128	(10)	(11)	(12)	(13)
Mental Health Facilities	40	(53)	(52)	(51)	(51)
SUNY Dorms	51	52	52	28	(50)
Education	(242)	(209)	(168)	(101)	(74)
Economic Development & Housing	(144)	(171)	(98)	(103)	(110)
Transportation	(103)	(83)	(57)	(33)	(10)
All Other	(105)	(81)	(76)	(74)	(71)
Subtotal	(248)	(558)	(415)	(351)	(384)
Mid-Year Update State-Related Debt Outstanding	56,629	57,855	58,336	58,136	57,719

Projected Debt Issuances (millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Enacted Budget State-Related Debt Issuances	5,365	5,368	4,372	3,899	3,794
Global Foundries	128	(128)	0	0	0
Aqueduct VLT Facility	128	(128)	0	0	0
Mental Health Facilities	42	(92)	0	0	0
SUNY Dorms	51	0	0	(22)	(77)
Education	(209)	20	26	41	31
Economic Development & Housing	(131)	(26)	73	0	0
Transportation	(103)	20	23	21	21
All Other	(57)	12	(6)	(12)	(15)
Subtotal	(152)	(321)	115	28	(40)
Mid-Year Update State-Related Debt Issuances	5,213	5,048	4,487	3,927	3,754

Projected Debt Service (millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Enacted Budget State-Related Debt Service	6,051	6,627	6,949	7,095	7,093
Global Foundries	0	11	(2)	(1)	(1)
Aqueduct VLT Facility	2	14	(1)	(1)	(1)
Mental Health Facilities	2	(1)	(5)	(5)	(5)
SUNY Dorms	(2)	(4)	(0)	3	0
Education	(50)	(20)	(18)	(13)	(11)
Economic Development & Housing	17	(14)	(13)	(2)	(0)
Transportation	(9)	(8)	(6)	(4)	(2)
All Other	(4)	26	41	34	30
Subtotal	(45)	4	(3)	11	10
Mid-Year Update State-Related Debt Service	6,006	6,631	6,946	7,106	7,103

*Totals may not add, due to rounding.

STATUTORY DEBT LIMITATIONS

DEBT REFORM ACT

The Debt Reform Act of 2000 imposed statutory limitations which restricted the issuance of State-supported debt to capital purposes and established a maximum term of 30 years for such debt. The statute also imposed phased-in caps that will ultimately limit the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued on and after April 1, 2000. The cap on debt outstanding is fully phased-in during 2010-11, while the cap on debt service costs will be fully phased-in during 2013-14.

The statute requires that the limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in this Mid-Year Update. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year (2009-10) are below the caps at this time, State-supported debt may continue to be issued. However, if either the debt outstanding or the debt service cap is met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For the 2009-10 fiscal year, the cumulative debt outstanding and debt service caps are 3.98 percent each. As shown in the table below, the actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2010 the State issued new debt resulting in \$29.9 billion of debt outstanding applicable to the debt reform cap. This is approximately \$6.3 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$2.4 billion in 2009-10 – or roughly \$2.7 billion below the statutory debt service limitation.

Debt Outstanding Cap (millions of dollars)	
New Debt Outstanding	\$29,883
Personal Income (Current Year 2009)	\$907,886
Debt Outstanding (Percent of Per. Income)	3.29%
Cap Imposed by Debt Reform Act	3.98%

Debt Service Cap (millions of dollars)	
New Debt Service	\$2,359
Governmental Funds Receipts	\$126,748
Debt Service (Percent of Gov'tl Fund Receipts)	1.86%
Cap Imposed by Debt Reform Act	3.98%

Current projections estimate that debt outstanding and debt service costs will continue to remain below the limits imposed by the Act throughout the next several years. However, the State is continuing through a period of declining debt capacity. In part, declines in debt capacity are a product of reduced forecasts for personal income levels resulting from ongoing recessionary factors. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$4.2 billion in 2010-11 to only \$1.8 billion in 2012-13.

DEBT/CAPITAL UPDATE

Measures to further adjust capital spending and debt financing practices are expected to be taken as needed to stay within the statutory limitations.

New Debt Outstanding (millions of dollars)					
<u>Year</u>	<u>Personal Income</u>	<u>Cap %</u>	<u>Actual/Recommended %</u>	<u>\$ (Above)/Below</u>	<u>% (Above)/Below</u>
2009-10	907,886	3.98%	3.29%	6,281	0.69%
2010-11	947,668	4.00%	3.56%	4,211	0.44%
2011-12	978,786	4.00%	3.77%	2,216	0.23%
2012-13	1,032,088	4.00%	3.82%	1,828	0.18%
2013-14	1,086,571	4.00%	3.80%	2,158	0.20%
2014-15	1,144,585	4.00%	3.75%	2,826	0.25%

New Debt Service Costs (millions of dollars)					
<u>Year</u>	<u>All Funds Receipts</u>	<u>Cap %</u>	<u>Actual/Recommended %</u>	<u>\$ (Above)/Below</u>	<u>% (Above)/Below</u>
2009-10	126,748	3.98%	1.86%	2,689	2.12%
2010-11	135,235	4.32%	2.31%	2,707	2.00%
2011-12	134,666	4.65%	2.64%	2,710	2.01%
2012-13	133,390	4.98%	2.94%	2,723	2.04%
2013-14	139,792	5.00%	3.02%	2,767	1.98%
2014-15	142,873	5.00%	3.06%	2,768	1.94%

GLOSSARY OF ACRONYMS

GLOSSARY OF ACRONYMS

(ADAP)	Aids Drug Assistance Program
(AFSCME)	American Federation of State, County, and Municipal Employees
(AHC)	Affordable Housing Corporation
(AIG)	American International Group
(AIM)	Aid and Incentive for Municipalities
(AP/DV)	Adult Protective/Domestic Violence
(ARC)	Annual Required Contribution
(ARRA)	American Recovery and Reinvestment Act of 2009
(ARS)	Auction Rate Securities
(ATC)	Addiction Treatment Center
(AWP)	Average Wholesale Price
(BABs)	Build America Bonds
(BANS)	Bond Anticipation Notes
(BCI)	Bureau of Criminal Investigation
(BIC)	Bond Issuance Change
(BMA)	Bond Market Association
(BOCES)	Board of Cooperative Education Services
(BPCA)	Battery Park City Authority
(CAFR)	Comprehensive Annual Financial Report
(CAP)	Comprehensive Attendance Program
(CDT)	Continuing Day Treatment Clinic
(CEFAP)	Community Enhancement Facilities Assistance Program
(CFE)	Campaign for Fiscal Equity
(CFIA)	Court Facilities Incentive Aid
(CHCCDP)	Community Health Care Conversion Demonstration Project
(CHIPs)	Consolidated Highway Improvement Programs
(CHIPRA)	Children’s Health Insurance Program Reauthorization Act
(CHP)	Child Health Plus
(CMS)	Centers for Medicare and Medicaid Services
(CLCs)	21st Century Community Learning Centers
(CLRN)	Community Legal Resources Network
(COLA)	Cost-of-Living Adjustment
(COPS)	Comprehensive Outpatient Program Services
(CPFs)	Community Projects Funds
(CPI)	Consumer Price Index
(CPSE)	Committee on Preschool Special Education
(CQCAPD)	Commission on Quality Care and Advocacy for Persons with Disabilities
(CRF)	Contingency Reserve Fund
(CSEA)	Civil Service Employees Association
(CSTEP)	Collegiate Science and Technology Entry Program
(CUNY)	City University of New York
(CVB)	Crime Victims Board

GLOSSARY OF ACRONYMS

(CW/CA)	Clean Water/Clean Air
(CWSRF)	Clean Water State Revolving Fund
(DANY)	Doctors Across New York
(DASNY)	Dormitory Authority of the State of New York
(DBE)	Disadvantaged Business Enterprise
(DCJS)	Division of Criminal Justice Services
(DDPC)	Developmental Disabilities Planning Council
(DEC)	Department of Environmental Conservation
(DHBTF)	Dedicated Highway and Bridge Trust Fund
(DHCR)	Division of Housing and Community Renewal
(DMNA)	Department of Military and Naval Affairs
(DMV)	Department of Motor Vehicles
(DOB)	Division of the Budget
(DOCS)	Department of Correctional Services
(DOH)	Department of Health
(DOS)	Department of State
(DOT)	Department of Transportation
(DPCA)	Division of Probation and Correctional Alternatives
(DRRF)	Debt Reduction Reserve Fund
(DRP)	Deficit Reduction Plan
(DSFs)	Debt Service Funds
(DSH)	Disproportionate Share Hospital
(DSP)	Division of State Police
(DTF)	Department of Tax and Finance
(DWSRF)	Drinking Water Revolving Fund
(EFC)	Environmental Facilities Corporation
(EI)	Early Intervention
(EITC)	Earned Income Tax Credit
(EMSC)	Elementary, Middle, Secondary and Continuing Education
(EOCs)	Educational Opportunity Centers
(EOP)	Educational Opportunity Program
(EPF)	Environmental Protection Fund
(EPIC)	Elderly Pharmaceutical Insurance Coverage
(ERDA)	Energy Research and Development Authority
(ERS)	Employees' Retirement System
(ESDC)	Empire State Development Corporation
(ESCO)	Energy Service Companies
(EXCEL)	Expanding our Children's Education and Learning
(FCB)	Financial Control Board
(FHP)	Family Health Plus
(FMAP)	Federal Medical Assistance Percentage
(FMP)	Fiscal Management Plan
(FSA)	Financial Security Assurance
(GAAP)	Generally Accepted Accounting Principles
(GASB)	Governmental Accounting Standards Board
(GASB 45)	Governmental Accounting Standards Board Statement 45

GLOSSARY OF ACRONYMS

(GDP)	Gross Domestic Product
(GHI)	Group Health Insurance
(GME)	Graduate Medical Education
(GOER)	Governor's Office of Employee Relations
(GPHW)	General Public Health Works
(GRT)	Gross Receipts Tax
(GSCs)	General State Charges
(GSEW)	Graduate Student Employees Union
(HAF)	Housing Assistance Fund
(HCA-EIA)	Home Care Association Efficiency and Improvement Act
(HCBS)	Home and Community Based Services
(HCRA)	Health Care Reform Act
(HEAL NY)	Health Care Equity and Affordability Law for New Yorkers
(HEAP)	Home Energy Assistance Program
(HELP)	Higher Education Loan Program
(HESC)	Higher Education Services Corporation
(HFA)	Housing Finance Agency
(HHC)	Health and Hospital Corporation
(HHAC)	Homeless Housing Assistance Corporation
(HHAP)	Homeless Housing Assistance Program
(HIP)	Health Insurance Plan
(HMO)	Health Maintenance Organization
(HRPT)	Hudson River Park Trust
(HTFC)	Housing Trust Fund Corporation
(IDEA)	Individuals with Disabilities Education Act
(IFP)	Industrial Finance Program
(IGT/DSH)	Intergovernmental Disproportionate Share
(IME)	Indirect Medical Expense
(IPO)	Initial Public Offering
(IT)	Information Technology
(ITC)	Investment Tax Credit
(JDA)	Job Development Authority
(LGAC)	Local Government Assistance Corporation
(LIBOR)	London Inter Bank Offered Rates
(LIPA)	Long Island Power Authority
(LLC)	Limited Liability Company
(MAC)	Municipal Assistance Corporation
(MCFFA)	Medical Care Facilities Finance Agency
(MCTD)	Metropolitan Commuter Transportation District
(MMTOA)	Metropolitan Mass Transportation Operating Assistance Fund
(MOU)	Memorandum of Understanding
(MSC)	Medicaid Service Coordination
(MTA)	Metropolitan Transportation Authority
(MTASP)	Metropolitan Transport Authority Support Program
(MTOA)	Mass Transportation Operating Assistance Fund
(M/WBE)	Minority/Women-Owned Business Enterprises

GLOSSARY OF ACRONYMS

(NAICS)	North American Industry Classification System
(NBER)	National Bureau of Economic Research
(NPS)	Non-Personal Service
(NTI)	New York State Net Taxable Income
(NYCOMB)	New York City Office of Management and Budget
(NYHELPS)	New York Higher Education Loan Program
(NYRA)	New York Racing Authority
(NYSCOPBA)	New York State Correctional Officers and Police Benevolent Association
(NYSHIP)	New York State Health Insurance Plan
(NYSTAR)	Office of Science, Technology and Academic Research
(NYS-OPTS)	New York State Options for People Through Services
(OASAS)	Office of Alcoholism and Substance Abuse Services
(OCA)	Office of Court Administration
(OCFS)	Office of Children and Family Services
(OCR)	Department of Transportation's Office of Civil Rights
(OFT)	Office for Technology
(OGS)	Office of General Services
(OHS)	Office of Homeland Security
(OMH)	Office of Mental Health
(OMIG)	Office of the Medicaid Inspector General
(OPDV)	Office for the Prevention of Domestic Violence
(OPEB)	Other Post-Employment Benefits
(OPWDD)	Office for People with Developmental Disabilities
(ORPS)	Office of Real Property Services
(OSC)	Office of the State Comptroller
(OTDA)	Office of Temporary and Disability Assistance
(PACB)	Public Authorities Control Board
(PAID)	Penalty and Interest Discount Program
(PASNY)	Power Authority of the State of New York
(PAYGO)	Pay-as-you-go
(PBA)	Police Benevolent Association
(PBT)	Petroleum Business Tax
(PEF)	Public Employees Federation
(PEP)	Professional Education Pool
(PFJ)	Power for Jobs
(PFM)	Public Financial Management
(PFRS)	Police and Fire Retirement System
(PIA)	Patient Income Account
(PILOT)	Payment in Lieu of Taxes
(PIT)	Personal Income Tax
(PPA)	Permanent Place of Abode
(PPI)	Petroleum Price Index
(PRAG)	Public Resources Advisory Group
(PSYCKES)	Psychiatric Services and Clinical Knowledge Enhancement System
(PYCs)	Prior Year Claims

GLOSSARY OF ACRONYMS

(QCEW)	Quarterly Census of Employment and Wages
(QPAI)	Qualified Production Activity Income
(RBTF)	Revenue Bond Tax Fund
(REIT)	Real Estate Investment Fund
(RESCUE)	Rebuilding Schools to Uphold Education
(RGGI)	Regional Greenhouse Gas Initiative
(RIC)	Regulated Investment Company
(SAFETEA-LU)	Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users
(SBE)	Sound Basic Education
(SED)	State Education Department
(SEIP)	Supplemental Education Improvement Program
(SEMO)	State Emergency Management Office
(SFSF)	State Fiscal Stabilization Fund
(SFY)	State Fiscal Year
(SHU)	Special Housing Unit
(SIP)	Strategic Investment Program
(SOMTA)	Sex Offenders Management Treatment Act
(SPIF)	State Parks Infrastructure Fund
(SRFs)	Special Revenue Funds
(SSHS)	School Supportive Health Services
(SSI)	Supplemental Security Income
(STAR)	School Tax Relief
(STARC)	State Tax Asset Receivable Corporation
(STEP)	Science and Technology Entry Programs
(ST&I)	Science, Technology, and Innovation
(STIP)	Short-Term Investment Pool
(SWN)	Statewide Wireless Network
(SONYMA)	State of New York Mortgage Agency
(SUNY)	State University of New York
(TA)	Thruway Authority
(TAG)	Technical Assistance Grant
(TANF)	Temporary Assistance for Needy Families
(TAP)	Tuition Assistance Program
(TARP)	Troubled Asset Relief Plan
(TAS)	Technical Advisory Service
(TFA)	Transitional Finance Authority
(TMT)	Truck Mileage Tax
(TRANS)	Tax and Revenue Anticipation Notes
(TSA)	Teacher Support Aid
(TSFC)	Tobacco Settlement Financing Corporation
(TSRF)	Tax Stabilization Reserve Fund
(UDC)	Urban Development Corporation
(UPK)	Universal Pre-Kindergarten
(UUP)	United University Professions
(VCI)	Voluntary Compliance Initiative

GLOSSARY OF ACRONYMS

(VESID) Vocational and Educational Services for Individuals with Disabilities
(VLT)Video Lottery Terminal
(VOIRA) Voluntary-Operated Individualized Residential Alternative
(VRDBs) Variable-Rate Demand Bonds
(VRWS) Voluntary Reduction in Work Schedule
(WHTI) Western Hemisphere Travel Initiative
(WMS) Welfare Management System
(WRP) Workforce Reduction Plan

**FINANCIAL PLAN
ACCOMPANYING NOTES
AND TABLES**

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

NOTE 1 BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting revenues are recognized when received and spending is recorded when cash is disbursed.

NOTE 2 FUND TYPES

The state records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys deposited to the credit of the General Fund during the fiscal year from current revenues (taxes, fees, and miscellaneous receipts including certain repayments of State advances) and transfers.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: 1) State Special Revenue Funds-Other and Special Revenue Funds-Federal. An example of a Special Revenue Fund-Other is the Conservation Fund which finances a number of State environmental programs. An example of a Special Revenue Fund-Federal is the Health and Human Services Fund where, for instance, Federal Medicaid reimbursements are received and disbursed. Although any earmarked revenue fund is treated as a Special Revenue Fund-Other for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt and certificates of participation. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as debt service funds. Debt service on highway bonds supported by dedicated highway revenues is

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes and other revenues.

Capital Projects - These funds finance such capital construction costs as: Planning, land acquisition, design, construction, construction management and supervision, and equipment costs attributable to: highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; and buildings and other capital facilities required by various State departments and agencies; Aid payments to local governmental units and public authorities to help finance the following types of capital programs: highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and Advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

In its Financial Plan updates for 2007-08, the Division of the Budget added reporting to show “operating budget” spending financed with State resources. The State Funds operating budget comprises the General Fund and other State supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

Proprietary Funds

Internal Service Funds - These funds are used to account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost reimbursement basis.

Enterprise Funds -These funds are used to account for operations that operate similarly to private business enterprises. The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds- These funds are used to report all other trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Pension Trust Fund - This fund is used to account for the cash basis results of operations for the administration portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - These funds are used to account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

NOTE 3 DISBURSEMENT DESCRIPTIONS

The State's report includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All spending is classified in one of the following categories:

Local Assistance Grants - includes payments to counties, cities, towns, villages, school districts and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - includes the payment of salaries and compensation for State employees.

Non-Personal Service - includes the payment of miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs.

General State Charges - includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: contributions to pension systems, the employer's share of social security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed costs: for taxes on State-owned lands, State payments in lieu of taxes, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - disbursements include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Capital Projects - disbursements include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities, to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and state mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or BANs and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

NOTE 4 RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

Tax Stabilization Reserve Fund

Created pursuant to law in order to provide a reserve to finance a cash basis operating deficit in the General Fund at the end of the fiscal year and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending. The balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year-end. These loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund

Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund

Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund

Created pursuant to law to finance discretionary, usually local projects ("member items") sponsored by individual legislators and the Governor. The enacted State budget typically includes lump sum appropriations for the Governor, the New York State Senate and the New York State Assembly to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, the Governor, the New York State Senate and the New York State Assembly allocate their respective appropriations to the intended recipient organizations and grant amounts.

Informal Designation of Fund Balance

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase "reserved for".

NOTE 5 STATE/FEDERAL REPORTING

For reporting purposes DOB defines Federal spending as any spending that is financed by monies received from the Federal government. Since the original source of the financing is the Federal Government, spending is treated as Federal spending for DOB reporting purposes.

NOTE 6 ITEMS AFFECTING 2009-10 TO 2010-11 COMPARABILITY

School Aid Payment

The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of 2009-10 was paid in the first quarter of 2010-11, as authorized in statute. This was done to carry forward the 2009-10 budget shortfall into 2010-11.

Collective Bargaining Costs

During the 2009-10 and 2010-11 fiscal years, salary payments were made / will be made pursuant to negotiated labor contracts with unions representing uniformed officers (NYSCOPBA), New York State Troopers (PBA), New York State Police Investigators (BCI) and Law Enforcement Officers (Council 82, Security Supervisors). The agreements settled in 2009 for NYSCOPBA (arbitration eligible unit), the PBA and BCI were retroactive to April 1, 2007 and cover the period until March 31, 2009 (for NYSCOPBA) and March 31, 2011 (for PBA and BCI). The award for Council 82 (Security Supervisors, arbitration eligible unit) was retroactive to April 1, 2005 and continued until March 31, 2007. The agreements settled in 2010 for NYSCOPBA (arbitration ineligible unit) and Council 82 (Security Supervisors) were retroactive to April 1, 2007 through March 31, 2009. The retroactive settlement (through 2007) amounted to \$17 million for Council 82 (Security Supervisors, arbitration eligible unit).

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The retroactive settlements (through March 31, 2009) amounted to \$320 million in 2009-10, and were comprised of \$208 million for NYSCOPBA, \$42 million for PBA employees, and \$20 million for BCI; in 2010-11, \$22 million is estimated to be paid for the 2010 agreements with NYSCOPBA and Council 82 (\$11 million each).

CUNY Payment Deferral

The State deferred \$300 million in senior college payments from 2008-09 to 2009-10, to reduce its 2008-09 deficit. Payment in the first quarter of 2009-10 was financed with savings for health care cost containment attributable to the final quarter of 2008-09, but where the actual cash benefit was realized in 2009-10.

American Recovery and Reinvestment Act of 2009

On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

In addition, ARRA included a significant amount of Federal aid that passes through the State's All Funds Financial Plan but does not provide a gap-closing benefit and is subject to a range of factors that make timing of disbursements uncertain. This pass-through spending was expected to total approximately \$4.4 billion in 2009-10, however actual spending was roughly \$2.0 billion lower than planned.

NOTE 7 OFF-BUDGET TRANSACTIONS

Capital Spending

Represents certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

The Financial Plan reflects the restatement of \$650 million in capital spending for the AMD project. These amounts were previously reflected as off-budget spending, occurring directly from bond proceeds held by the Empire State Development Corporation. They are now reflected as on-budget spending from the Capital Projects fund, and reimbursed by bond proceeds held by the Empire State Development Corporation.

NOTE 8 GENERAL FUND/H CRA COMBINED GAP

The current HCRA authorization expires on March 31, 2011. The Enacted Budget projects that HCRA will remain balanced through 2013-14. However any unaddressed

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

shortfall would need to be financed by the General Fund, therefore the General Fund gap estimates include any HCRA imbalance.

NOTE 9 MTA FINANCIAL ASSISTANCE FUND

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is a tax imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. In addition, this fund includes the following Metropolitan commuter transportation district taxes and fees: taxi cab medallion tax, a supplemental driver's license and permit fee, a supplemental registration fee and an additional auto rental tax. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to the requirements of the enabling legislation, the taxes and fees are reflected in the State's Special Revenue Funds, increasing both receipts and disbursements by an actual \$1.3 billion beginning in 2009-10 growing to an estimated \$2.0 billion in 2013-14.

NOTE 10 CHANGES TO THE MEDICAID PROGRAM

As of January 2006, the State pays for the entire non-Federal share of the FHP program and any annual Medicaid increases for counties above a fixed level. In accordance with statutory indexing provisions, 2010 Medicaid payments by local governments will not be allowed to increase by more than 3.0 percent over 2009 levels.

NOTE 11 STATEWIDE CASH FLOW ADMINISTRATION

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

NOTE 12 OUTSTANDING TEMPORARY LOANS

The total outstanding loan balance at March 31, 2010 was \$1.5 billion comprised of advances for bond reimbursable capital spending pending the receipt of bond proceeds (\$735 million), Federal activities that are financed initially by the State pending Federal receipt governed by Federal cash management regulations (\$318 million), State Special Revenue Funds (\$377 million), and Proprietary Funds (\$56 million).

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

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**CASH FINANCIAL PLAN
GENERAL FUND
2010-2011 through 2013-2014
(millions of dollars)**

	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Receipts:				
Taxes:				
Personal income tax	24,148	26,040	25,881	27,356
User taxes and fees	8,736	9,035	9,255	9,687
Business taxes	5,783	6,452	6,658	6,895
Other taxes	1,034	989	1,029	1,084
Miscellaneous receipts	2,861	2,821	2,786	2,734
Federal grants	60	60	60	60
Transfers from other funds:				
PIT in excess of Revenue Bond debt service	7,771	8,151	7,974	8,406
Sales tax in excess of LGAC debt service	2,298	2,363	2,439	2,590
Real estate taxes in excess of CW/CA debt service	332	354	464	539
All other transfers	1,491	792	520	520
Total receipts	<u>54,514</u>	<u>57,057</u>	<u>57,066</u>	<u>59,871</u>
Disbursements:				
Grants to local governments	37,748	46,012	50,697	54,896
State operations:				
Personal service	6,189	6,659	6,556	6,663
Non-personal service	1,949	2,090	2,242	2,363
General State charges	4,119	4,583	4,989	5,437
Transfers to other funds:				
Debt service	1,650	1,766	1,757	1,686
Capital projects	878	1,197	1,310	1,462
State Share Medicaid	2,435	3,013	3,110	3,073
Other purposes	778	811	1,120	1,548
Total disbursements	<u>55,746</u>	<u>66,131</u>	<u>71,781</u>	<u>77,128</u>
Deposit to/(use of) Community Projects Fund	<u>(11)</u>	<u>(48)</u>	<u>(71)</u>	<u>(25)</u>
Reserves	<u>(906)</u>	<u>0</u>	<u>0</u>	<u>0</u>
HCRA Operating Surplus/(Gap)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Cash Surplus/(Gap)	<u>(315)</u>	<u>(9,026)</u>	<u>(14,644)</u>	<u>(17,232)</u>
Net Proposed Reductions	<u>315</u>			
Proposed Cash Surplus/(Gap)	<u>0</u>			

**CASH FINANCIAL PLAN
GENERAL FUND
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>1,948</u>	<u>2,302</u>	<u>354</u>	<u>18.2%</u>
Receipts:				
Taxes:				
Personal income tax	22,655	24,148	1,493	6.6%
User taxes and fees	8,086	8,736	650	8.0%
Business taxes	5,371	5,783	412	7.7%
Other taxes	885	1,034	149	16.8%
Miscellaneous receipts	3,888	2,861	(1,027)	-26.4%
Federal grants	71	60	(11)	-15.5%
Transfers from other funds:				
PIT in excess of Revenue Bond debt service	7,641	7,771	130	1.7%
Sales tax in excess of LGAC debt service	2,123	2,298	175	8.2%
Real estate taxes in excess of CW/CA debt service	182	332	150	82.4%
All other transfers	1,654	1,491	(163)	-9.9%
Total receipts	<u>52,556</u>	<u>54,514</u>	<u>1,958</u>	<u>3.7%</u>
Disbursements:				
Grants to local governments	34,234	37,748	3,514	10.3%
State operations:				
Personal service	6,610	6,189	(421)	-6.4%
Non-personal service	1,977	1,949	(28)	-1.4%
General State charges	3,594	4,119	525	14.6%
Transfers to other funds:				
Debt service	1,844	1,650	(194)	-10.5%
Capital projects	565	878	313	55.4%
State Share Medicaid	2,401	2,435	34	1.4%
Other purposes	977	778	(199)	-20.4%
Total disbursements	<u>52,202</u>	<u>55,746</u>	<u>3,544</u>	<u>6.8%</u>
Change in fund balance	<u>354</u>	<u>(1,232)</u>	<u>(1,586)</u>	<u>-448.0%</u>
Closing fund balance	<u>2,302</u>	<u>1,070</u>	<u>(1,232)</u>	<u>-53.5%</u>
Net Proposed Reductions		<u>315</u>		
Proposed Closing fund balance	<u>2,302</u>	<u>1,385</u>	<u>(917)</u>	<u>-39.8%</u>
Reserves				
Tax Stabilization Reserve Fund	1,031	1,031	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	96	85	(11)	
Reserved for Debt Reduction	73	73	0	
Reserve for Fiscal Uncertainties	906	0	(906)	

**CASH FINANCIAL PLAN
GENERAL FUND
2010-2011
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Mid-Year</u>
Opening fund balance	<u>2,302</u>	<u>0</u>	<u>2,302</u>
Receipts:			
Taxes:			
Personal income tax	24,373	(225)	24,148
User taxes and fees	8,810	(74)	8,736
Business taxes	5,714	69	5,783
Other taxes	1,034	0	1,034
Miscellaneous receipts	2,897	(36)	2,861
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	7,795	(24)	7,771
Sales tax in excess of LGAC debt service	2,318	(20)	2,298
Real estate taxes in excess of CW/CA debt service	285	47	332
All other	1,390	101	1,491
Total receipts	<u>54,676</u>	<u>(162)</u>	<u>54,514</u>
Disbursements:			
Grants to local governments	37,508	240	37,748
State operations:			
Personal service	6,285	(96)	6,189
Non-personal service	1,740	209	1,949
General State charges	4,128	(9)	4,119
Transfers to other funds:			
Debt service	1,642	8	1,650
Capital projects	1,096	(218)	878
State Share Medicaid	2,450	(15)	2,435
Other purposes	744	34	778
Total disbursements	<u>55,593</u>	<u>153</u>	<u>55,746</u>
Change in fund balance	<u>(917)</u>	<u>(315)</u>	<u>(1,232)</u>
Closing fund balance	<u>1,385</u>	<u>(315)</u>	<u>1,070</u>
Net Proposed Reductions		<u>315</u>	<u>315</u>
Closing Fund Balance After Proposed Reductions	<u>1,385</u>	<u>0</u>	<u>1,385</u>
Reserves			
Tax Stabilization Reserve Fund	1,031	0	1,031
Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	85	0	85
Reserved for Debt Reduction	73	0	73

**CASH FINANCIAL PLAN
GENERAL FUND
2011-2012
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal income tax	26,265	(225)	26,040
User taxes and fees	8,975	60	9,035
Business taxes	6,335	117	6,452
Other taxes	989	0	989
Miscellaneous receipts	2,859	(38)	2,821
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,227	(76)	8,151
Sales tax in excess of LGAC debt service	2,343	20	2,363
Real estate taxes in excess of CW/CA debt service	354	0	354
All other	1,400	(608)	792
Total receipts	<u>57,807</u>	<u>(750)</u>	<u>57,057</u>
Disbursements:			
Grants to local governments	45,557	455	46,012
State operations:			
Personal service	6,692	(33)	6,659
Non-personal service	1,909	181	2,090
General State charges	4,482	101	4,583
Transfers to other funds:			
Debt service	1,766	0	1,766
Capital projects	1,368	(171)	1,197
State Share Medicaid	3,022	(9)	3,013
Other purposes	1,236	(425)	811
Total disbursements	<u>66,032</u>	<u>99</u>	<u>66,131</u>
Deposit to/(use of) Community Projects Fund	<u>(48)</u>	<u>0</u>	<u>(48)</u>
Cash Surplus/(Gap)	<u>(8,177)</u>	<u>(849)</u>	<u>(9,026)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2012-2013
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal income tax	26,106	(225)	25,881
User taxes and fees	9,255	0	9,255
Business taxes	6,674	(16)	6,658
Other taxes	1,029	0	1,029
Miscellaneous receipts	2,824	(38)	2,786
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,061	(87)	7,974
Sales tax in excess of LGAC debt service	2,439	0	2,439
Real estate taxes in excess of CW/CA debt service	464	0	464
All other	1,137	(617)	520
Total receipts	<u>58,049</u>	<u>(983)</u>	<u>57,066</u>
Disbursements:			
Grants to local governments	50,003	694	50,697
State operations:			
Personal service	6,891	(335)	6,556
Non-personal service	1,995	247	2,242
General State charges	4,687	302	4,989
Transfers to other funds:			
Debt service	1,755	2	1,757
Capital projects	1,524	(214)	1,310
State Share Medicaid	3,120	(10)	3,110
Other purposes	1,606	(486)	1,120
Total disbursements	<u>71,581</u>	<u>200</u>	<u>71,781</u>
Deposit to/(use of) Community Projects Fund	<u>(71)</u>	<u>0</u>	<u>(71)</u>
Cash Surplus/(Gap)	<u>(13,461)</u>	<u>(1,183)</u>	<u>(14,644)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2013-2014
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal income tax	27,581	(225)	27,356
User taxes and fees	9,687	0	9,687
Business taxes	6,977	(82)	6,895
Other taxes	1,084	0	1,084
Miscellaneous receipts	2,772	(38)	2,734
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,504	(98)	8,406
Sales tax in excess of LGAC debt service	2,590	0	2,590
Real estate taxes in excess of CW/CA debt service	539	0	539
All other	1,146	(626)	520
Total receipts	<u>60,940</u>	<u>(1,069)</u>	<u>59,871</u>
Disbursements:			
Grants to local governments	53,950	946	54,896
State operations:			
Personal service	6,904	(241)	6,663
Non-personal service	2,115	248	2,363
General State charges	5,080	357	5,437
Transfers to other funds:			
Debt service	1,686	0	1,686
Capital projects	1,687	(225)	1,462
State Share Medicaid	3,083	(10)	3,073
Other purposes	2,023	(475)	1,548
Total disbursements	<u>76,528</u>	<u>600</u>	<u>77,128</u>
Deposit to/(use of) Community Projects Fund	<u>0</u>	<u>(25)</u>	<u>(25)</u>
Cash Surplus/(Gap)	<u>(15,588)</u>	<u>(1,644)</u>	<u>(17,232)</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Taxes:				
Withholdings	31,301	32,302	32,756	34,835
Estimated Payments	10,351	11,225	11,178	11,510
Final Payments	1,973	2,303	2,106	2,154
Other Payments	1,161	1,199	1,239	1,316
Gross Collections	<u>44,786</u>	<u>47,029</u>	<u>47,279</u>	<u>49,815</u>
State/City Offset	(297)	(298)	(298)	(298)
Refunds	(7,891)	(7,454)	(7,694)	(8,012)
Reported Tax Collections	<u>36,598</u>	<u>39,277</u>	<u>39,287</u>	<u>41,505</u>
STAR (dedicated deposits)	(3,300)	(3,418)	(3,584)	(3,772)
RBTF (dedicated transfers)	(9,150)	(9,819)	(9,822)	(10,377)
Personal income tax	<u>24,148</u>	<u>26,040</u>	<u>25,881</u>	<u>27,356</u>
Sales and use tax	10,696	11,040	11,336	11,916
Cigarette and tobacco taxes	485	522	515	508
Motor fuel tax	0	0	0	0
Alcoholic beverage control license fees	228	233	238	242
Highway Use tax	0	0	0	0
Auto rental tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and fees	<u>11,409</u>	<u>11,795</u>	<u>12,089</u>	<u>12,666</u>
LGAC Sales Tax (dedicated transfers)	(2,673)	(2,760)	(2,834)	(2,979)
User Taxes and fees	<u>8,736</u>	<u>9,035</u>	<u>9,255</u>	<u>9,687</u>
Corporation franchise tax	2,886	3,234	3,293	3,381
Corporation and utilities tax	665	743	766	790
Insurance taxes	1,278	1,335	1,393	1,454
Bank tax	954	1,140	1,206	1,270
Petroleum business tax	0	0	0	0
Business taxes	<u>5,783</u>	<u>6,452</u>	<u>6,658</u>	<u>6,895</u>
Estate tax	1,015	970	1,010	1,065
Real estate transfer tax	566	581	686	754
Gift tax	0	0	0	0
Real property gains tax	0	0	0	0
Pari-mutuel taxes	18	18	18	18
Other taxes	1	1	1	1
Gross Other taxes	<u>1,600</u>	<u>1,570</u>	<u>1,715</u>	<u>1,838</u>
Real estate transfer tax (dedicated)	(566)	(581)	(686)	(754)
Other taxes	<u>1,034</u>	<u>989</u>	<u>1,029</u>	<u>1,084</u>
Payroll tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Taxes	<u>39,701</u>	<u>42,516</u>	<u>42,823</u>	<u>45,022</u>
Licenses, fees, etc.	667	587	583	569
Abandoned property	650	645	610	600
Motor vehicle fees	42	54	31	(42)
ABC License Fee	46	49	51	50
Reimbursements	222	222	222	222
Investment income	10	10	30	50
Other transactions	1,224	1,254	1,259	1,285
Miscellaneous receipts	<u>2,861</u>	<u>2,821</u>	<u>2,786</u>	<u>2,734</u>
Federal grants	<u>60</u>	<u>60</u>	<u>60</u>	<u>60</u>
Total	<u>42,622</u>	<u>45,397</u>	<u>45,669</u>	<u>47,816</u>

**CURRENT STATE RECEIPTS
GENERAL FUND
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	29,443	31,301	1,858	6.3%
Estimated Payments	9,028	10,351	1,323	14.7%
Final Payments	1,822	1,973	151	8.3%
Other Payments	1,100	1,161	61	5.5%
Gross Collections	<u>41,393</u>	<u>44,786</u>	<u>3,393</u>	<u>8.2%</u>
State/City Offset	62	(297)	(359)	-579.0%
Refunds	(6,703)	(7,891)	(1,188)	17.7%
Reported Tax Collections	<u>34,752</u>	<u>36,598</u>	<u>1,846</u>	<u>5.3%</u>
STAR (dedicated deposits)	(3,409)	(3,300)	109	-3.2%
RBTF (dedicated transfers)	(8,688)	(9,150)	(462)	5.3%
Personal income tax	<u>22,655</u>	<u>24,148</u>	<u>1,493</u>	<u>6.6%</u>
Sales and use tax	9,871	10,696	825	8.4%
Cigarette and tobacco taxes	456	485	29	6.4%
Motor fuel tax	0	0	0	0
Alcoholic beverage control license fees	225	228	3	1.3%
Highway Use tax	0	0	0	--
Auto rental tax	0	0	0	--
Taxicab Surcharge	0	0	0	--
Gross Utility Taxes and fees	<u>10,552</u>	<u>11,409</u>	<u>857</u>	<u>8.1%</u>
LGAC Sales Tax (dedicated transfers)	(2,466)	(2,673)	(207)	8.4%
User Taxes and fees	<u>8,086</u>	<u>8,736</u>	<u>650</u>	<u>8.0%</u>
Corporation franchise tax	2,145	2,886	741	34.5%
Corporation and utilities tax	722	665	(57)	-7.9%
Insurance taxes	1,331	1,278	(53)	-4.0%
Bank tax	1,173	954	(219)	-18.7%
Petroleum business tax	0	0	0	--
Business taxes	<u>5,371</u>	<u>5,783</u>	<u>412</u>	<u>7.7%</u>
Estate tax	864	1,015	151	17.5%
Real estate transfer tax	493	566	73	14.8%
Gift tax	2	0	(2)	-100.0%
Real property gains tax	(1)	0	1	-100.0%
Pari-mutuel taxes	19	18	(1)	-5.3%
Other taxes	1	1	0	0.0%
Gross Other taxes	<u>1,378</u>	<u>1,600</u>	<u>222</u>	<u>16.1%</u>
Real estate transfer tax (dedicated)	(493)	(566)	(73)	14.8%
Other taxes	<u>885</u>	<u>1,034</u>	<u>149</u>	<u>16.8%</u>
Payroll tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
Total Taxes	<u>36,997</u>	<u>39,701</u>	<u>2,704</u>	<u>7.3%</u>
Licenses, fees, etc.	702	667	(35)	-5.0%
Abandoned property	608	650	42	6.9%
ABC License Fee	49	46	(3)	-6.1%
Motor vehicle fees	15	42	27	180.0%
Reimbursements	323	222	(101)	-31.3%
Investment income	14	10	(4)	-28.6%
Other transactions	2,177	1,224	(953)	-43.8%
Miscellaneous receipts	<u>3,888</u>	<u>2,861</u>	<u>(1,027)</u>	<u>-26.4%</u>
Federal grants	<u>71</u>	<u>60</u>	<u>(11)</u>	<u>-15.5%</u>
Total	<u>40,956</u>	<u>42,622</u>	<u>1,666</u>	<u>4.1%</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2010-2011
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	<u>2,302</u>	<u>1,957</u>	<u>410</u>	<u>4,669</u>
Receipts:				
Taxes	39,701	8,151	12,272	60,124
Miscellaneous receipts	2,861	14,987	790	18,638
Federal grants	60	1	58	119
Total receipts	<u>42,622</u>	<u>23,139</u>	<u>13,120</u>	<u>78,881</u>
Disbursements:				
Grants to local governments	37,748	18,011	0	55,759
State operations:				
Personal service	6,189	4,081	0	10,270
Non-personal service	1,949	2,572	92	4,613
General State charges	4,119	1,027	0	5,146
Debt service	0	0	5,471	5,471
Capital projects	0	2	0	2
Total disbursements	<u>50,005</u>	<u>25,693</u>	<u>5,563</u>	<u>81,261</u>
Other financing sources (uses):				
Transfers from other funds	11,892	3,968	7,024	22,884
Transfers to other funds	(5,741)	(1,691)	(14,627)	(22,059)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>6,151</u>	<u>2,277</u>	<u>(7,603)</u>	<u>825</u>
Change in fund balance	<u>(1,232)</u>	<u>(277)</u>	<u>(46)</u>	<u>(1,555)</u>
Closing fund balance	<u>1,070</u>	<u>1,680</u>	<u>364</u>	<u>3,114</u>
Net Proposed Reductions	<u>315</u>			<u>315</u>
Proposed Closing fund balance	<u>1,385</u>	<u>1,680</u>	<u>364</u>	<u>3,429</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2011-2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	1,680	364	2,044
Receipts:				
Taxes	42,516	8,606	13,041	64,163
Miscellaneous receipts	2,821	14,856	809	18,486
Federal grants	60	1	71	132
Total receipts	<u>45,397</u>	<u>23,463</u>	<u>13,921</u>	<u>82,781</u>
Disbursements:				
Grants to local governments	46,012	18,463	0	64,475
State operations:				
Personal service	6,659	4,601	0	11,260
Non-personal service	2,090	2,608	92	4,790
General State charges	4,583	1,166	0	5,749
Debt service	0	0	6,039	6,039
Capital projects	0	2	0	2
Total disbursements	<u>59,344</u>	<u>26,840</u>	<u>6,131</u>	<u>92,315</u>
Other financing sources (uses):				
Transfers from other funds	11,660	4,505	6,717	22,882
Transfers to other funds	(6,787)	(1,183)	(14,518)	(22,488)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>4,873</u>	<u>3,322</u>	<u>(7,801)</u>	<u>394</u>
Deposit to/(use of) Reserves	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>(48)</u>
Change in fund balance	<u>(9,026)</u>	<u>(55)</u>	<u>(11)</u>	<u>(9,092)</u>
Closing fund balance	<u>(9,026)</u>	<u>1,625</u>	<u>353</u>	<u>(7,048)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2012-2013
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	1,625	353	1,978
Receipts:				
Taxes	42,823	8,943	13,223	64,989
Miscellaneous receipts	2,786	15,307	833	18,926
Federal grants	60	1	71	132
Total receipts	<u>45,669</u>	<u>24,251</u>	<u>14,127</u>	<u>84,047</u>
Disbursements:				
Grants to local governments	50,697	19,488	0	70,185
State operations:				
Personal service	6,556	4,567	0	11,123
Non-personal service	2,242	2,555	92	4,889
General State charges	4,989	1,332	0	6,321
Debt service	0	0	6,354	6,354
Capital projects	0	2	0	2
Total disbursements	<u>64,484</u>	<u>27,944</u>	<u>6,446</u>	<u>98,874</u>
Other financing sources (uses):				
Transfers from other funds	11,397	4,881	6,692	22,970
Transfers to other funds	(7,297)	(620)	(14,414)	(22,331)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>4,100</u>	<u>4,261</u>	<u>(7,722)</u>	<u>639</u>
Deposit to/(use of) Reserves	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>(71)</u>
Change in fund balance	<u>(14,644)</u>	<u>568</u>	<u>(41)</u>	<u>(14,117)</u>
Closing fund balance	<u>(14,644)</u>	<u>2,193</u>	<u>312</u>	<u>(12,139)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2013-2014
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	2,193	312	2,505
Receipts:				
Taxes	45,022	9,276	13,990	68,288
Miscellaneous receipts	2,734	15,578	855	19,167
Federal grants	60	1	71	132
Total receipts	<u>47,816</u>	<u>24,855</u>	<u>14,916</u>	<u>87,587</u>
Disbursements:				
Grants to local governments	54,896	20,088	0	74,984
State operations:				
Personal service	6,663	4,610	0	11,273
Non-personal service	2,363	2,620	92	5,075
General State charges	5,437	1,423	0	6,860
Debt service	0	0	6,515	6,515
Capital projects	0	2	0	2
Total disbursements	<u>69,359</u>	<u>28,743</u>	<u>6,607</u>	<u>104,709</u>
Other financing sources (uses):				
Transfers from other funds	12,055	5,098	6,620	23,773
Transfers to other funds	(7,769)	(548)	(14,980)	(23,297)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>4,286</u>	<u>4,550</u>	<u>(8,360)</u>	<u>476</u>
Deposit to/(use of) Community Projects Fund	<u>(25)</u>	<u>0</u>	<u>0</u>	<u>(25)</u>
Change in fund balance	<u>(17,232)</u>	<u>662</u>	<u>(51)</u>	<u>(16,621)</u>
Closing fund balance	<u>(17,232)</u>	<u>2,855</u>	<u>261</u>	<u>(14,116)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>4,717</u>	<u>4,669</u>	<u>(48)</u>	
Receipts:				
Taxes	56,246	60,124	3,878	6.9%
Miscellaneous receipts	19,508	18,638	(870)	-4.5%
Federal grants	84	119	35	41.7%
Total receipts	<u>75,838</u>	<u>78,881</u>	<u>3,043</u>	<u>4.0%</u>
Disbursements:				
Grants to local governments	51,878	55,759	3,881	7.5%
State operations:				
Personal service	10,874	10,270	(604)	-5.6%
Non-personal service	4,516	4,613	97	2.1%
General State charges	4,634	5,146	512	11.0%
Debt service	4,961	5,471	510	10.3%
Capital projects	11	2	(9)	-81.8%
Total disbursements	<u>76,874</u>	<u>81,261</u>	<u>4,387</u>	<u>5.7%</u>
Other financing sources (uses):				
Transfers from other funds	22,417	22,884	467	2.1%
Transfers to other funds	(21,429)	(22,059)	(630)	2.9%
Bond and note proceeds	0	0	0	--
Net other financing sources (uses)	<u>988</u>	<u>825</u>	<u>(163)</u>	<u>-16.5%</u>
Change in fund balance	<u>(48)</u>	<u>(1,555)</u>	<u>(1,507)</u>	
Closing fund balance	<u>4,669</u>	<u>3,114</u>	<u>(1,555)</u>	
Net Proposed Reductions		<u>315</u>	<u>315</u>	
Proposed Closing fund balance	<u>4,669</u>	<u>3,429</u>	<u>(1,240)</u>	

**CASH FINANCIAL PLAN
ALL FUNDS
2010-2011
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	<u>2,302</u>	<u>2,400</u>	<u>(253)</u>	<u>410</u>	<u>4,859</u>
Receipts:					
Taxes	39,701	8,151	1,329	12,272	61,453
Miscellaneous receipts	2,861	15,174	4,393	790	23,218
Federal grants	60	47,986	2,461	58	50,565
Total receipts	<u>42,622</u>	<u>71,311</u>	<u>8,183</u>	<u>13,120</u>	<u>135,236</u>
Disbursements:					
Grants to local governments	37,748	59,855	1,308	0	98,911
State operations:					
Personal service	6,189	6,846	0	0	13,035
Non-personal service	1,949	4,417	0	92	6,458
General State charges	4,119	2,201	0	0	6,320
Debt service	0	0	0	5,471	5,471
Capital projects	0	2	7,200	0	7,202
Total disbursements	<u>50,005</u>	<u>73,321</u>	<u>8,508</u>	<u>5,563</u>	<u>137,397</u>
Other financing sources (uses):					
Transfers from other funds	11,892	7,292	1,144	7,024	27,352
Transfers to other funds	(5,741)	(5,580)	(1,419)	(14,627)	(27,367)
Bond and note proceeds	0	0	578	0	578
Net other financing sources (uses)	<u>6,151</u>	<u>1,712</u>	<u>303</u>	<u>(7,603)</u>	<u>563</u>
Change in fund balance	<u>(1,232)</u>	<u>(298)</u>	<u>(22)</u>	<u>(46)</u>	<u>(1,598)</u>
Closing fund balance	<u>1,070</u>	<u>2,102</u>	<u>(275)</u>	<u>364</u>	<u>3,261</u>
Net Proposed Reductions	<u>315</u>				<u>315</u>
Proposed Closing fund balance	<u>1,385</u>	<u>2,102</u>	<u>(275)</u>	<u>364</u>	<u>3,576</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2011-2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	2,102	(275)	364	2,191
Receipts:					
Taxes	42,516	8,606	1,353	13,041	65,516
Miscellaneous receipts	2,821	14,993	4,248	809	22,871
Federal grants	60	43,840	2,309	71	46,280
Total receipts	<u>45,397</u>	<u>67,439</u>	<u>7,910</u>	<u>13,921</u>	<u>134,667</u>
Disbursements:					
Grants to local governments	46,012	56,742	1,421	0	104,175
State operations:					
Personal service	6,659	6,899	0	0	13,558
Non-personal service	2,090	4,328	0	92	6,510
General State charges	4,583	2,254	0	0	6,837
Debt service	0	0	0	6,039	6,039
Capital projects	0	2	7,195	0	7,197
Total disbursements	<u>59,344</u>	<u>70,225</u>	<u>8,616</u>	<u>6,131</u>	<u>144,316</u>
Other financing sources (uses):					
Transfers from other funds	11,660	7,342	1,652	6,717	27,371
Transfers to other funds	(6,787)	(4,607)	(1,463)	(14,518)	(27,375)
Bond and note proceeds	0	0	488	0	488
Net other financing sources (use)	<u>4,873</u>	<u>2,735</u>	<u>677</u>	<u>(7,801)</u>	<u>484</u>
Deposit to/(use of) Reserves	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(48)</u>
Change in fund balance	<u>(9,026)</u>	<u>(51)</u>	<u>(29)</u>	<u>(11)</u>	<u>(9,117)</u>
Closing fund balance	<u>(9,026)</u>	<u>2,051</u>	<u>(304)</u>	<u>353</u>	<u>(6,926)</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	2,051	(304)	353	2,100
Receipts:					
Taxes	42,823	8,943	1,365	13,223	66,354
Miscellaneous receipts	2,786	15,443	3,606	833	22,668
Federal grants	60	42,375	1,863	71	44,369
Total receipts	<u>45,669</u>	<u>66,761</u>	<u>6,834</u>	<u>14,127</u>	<u>133,391</u>
Disbursements:					
Grants to local governments	50,697	56,447	1,306	0	108,450
State operations:					
Personal service	6,556	6,813	0	0	13,369
Non-personal service	2,242	4,189	0	92	6,523
General State charges	4,989	2,442	0	0	7,431
Debt service	0	0	0	6,354	6,354
Capital projects	0	2	5,936	0	5,938
Total disbursements	<u>64,484</u>	<u>69,893</u>	<u>7,242</u>	<u>6,446</u>	<u>148,065</u>
Other financing sources (uses):					
Transfers from other funds	11,397	7,634	1,451	6,692	27,174
Transfers to other funds	(7,297)	(3,935)	(1,502)	(14,414)	(27,148)
Bond and note proceeds	0	0	425	0	425
Net other financing sources (uses)	<u>4,100</u>	<u>3,699</u>	<u>374</u>	<u>(7,722)</u>	<u>451</u>
Deposit to/(use of) Reserves	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(71)</u>
Change in fund balance	<u>(14,644)</u>	<u>567</u>	<u>(34)</u>	<u>(41)</u>	<u>(14,152)</u>
Closing fund balance	<u>(14,644)</u>	<u>2,618</u>	<u>(338)</u>	<u>312</u>	<u>(12,052)</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2013-2014
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	2,618	(338)	312	2,592
Receipts:					
Taxes	45,022	9,276	1,366	13,990	69,654
Miscellaneous receipts	2,734	15,715	3,493	855	22,797
Federal grants	60	45,384	1,824	71	47,339
Total receipts	<u>47,816</u>	<u>70,375</u>	<u>6,683</u>	<u>14,916</u>	<u>139,790</u>
Disbursements:					
Grants to local governments	54,896	60,144	1,275	0	116,315
State operations:					
Personal service	6,663	6,860	0	0	13,523
Non-personal service	2,363	4,253	0	92	6,708
General State charges	5,437	2,600	0	0	8,037
Debt service	0	0	0	6,515	6,515
Capital projects	0	2	5,710	0	5,712
Total disbursements	<u>69,359</u>	<u>73,859</u>	<u>6,985</u>	<u>6,607</u>	<u>156,810</u>
Other financing sources (uses):					
Transfers from other funds	12,055	7,934	1,516	6,620	28,125
Transfers to other funds	(7,769)	(3,788)	(1,549)	(14,980)	(28,086)
Bond and note proceeds	0	0	341	0	341
Net other financing sources (uses)	<u>4,286</u>	<u>4,146</u>	<u>308</u>	<u>(8,360)</u>	<u>380</u>
Deposit to/(use of) Community Projects Fund	<u>(25)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(25)</u>
Change in fund balance	<u>(17,232)</u>	<u>662</u>	<u>6</u>	<u>(51)</u>	<u>(16,615)</u>
Closing fund balance	<u>(17,232)</u>	<u>3,280</u>	<u>(332)</u>	<u>261</u>	<u>(14,023)</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010</u> <u>Actuals</u>	<u>2010-2011</u> <u>Projected</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening fund balance	<u>4,586</u>	<u>4,859</u>	<u>273</u>	
Receipts:				
Taxes	57,668	61,453	3,785	6.6%
Miscellaneous receipts	23,557	23,218	(339)	-1.4%
Federal grants	<u>45,523</u>	<u>50,565</u>	<u>5,042</u>	<u>11.1%</u>
Total receipts	<u>126,748</u>	<u>135,236</u>	<u>8,488</u>	<u>6.7%</u>
Disbursements:				
Grants to local governments	91,068	98,911	7,843	8.6%
State operations:				
Personal service	13,406	13,035	(371)	-2.8%
Non-personal service	6,026	6,458	432	7.2%
General State charges	5,733	6,320	587	10.2%
Debt service	4,961	5,471	510	10.3%
Capital projects	<u>5,683</u>	<u>7,202</u>	<u>1,519</u>	<u>26.7%</u>
Total disbursements	<u>126,877</u>	<u>137,397</u>	<u>10,520</u>	<u>8.3%</u>
Other financing sources (uses):				
Transfers from other funds	26,200	27,352	1,152	4.4%
Transfers to other funds	(26,246)	(27,367)	(1,121)	4.3%
Bond and note proceeds	<u>448</u>	<u>578</u>	<u>130</u>	<u>29.0%</u>
Net other financing sources (uses)	<u>402</u>	<u>563</u>	<u>161</u>	<u>40.0%</u>
Change in fund balance	<u>273</u>	<u>(1,598)</u>	<u>(1,871)</u>	
Closing fund balance	<u>4,859</u>	<u>3,261</u>	<u>(1,598)</u>	
Net Proposed Reductions		<u>315</u>	<u>315</u>	
Proposed Closing fund balance	<u>4,859</u>	<u>3,576</u>	<u>(1,283)</u>	

**CASH RECEIPTS
ALL FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	31,301	0	0	0	31,301
Estimated Payments	10,351	0	0	0	10,351
Final Payments	1,973	0	0	0	1,973
Other Payments	1,161	0	0	0	1,161
Gross Collections	<u>44,786</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,786</u>
State/City Offset	(297)	0	0	0	(297)
Refunds	(7,891)	0	0	0	(7,891)
Reported Tax Collections	<u>36,598</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>36,598</u>
STAR (dedicated deposits)	(3,300)	3,270	0	0	(30)
RBTF (dedicated transfers)	(9,150)	0	0	9,150	0
Personal income tax	<u>24,148</u>	<u>3,270</u>	<u>0</u>	<u>9,150</u>	<u>36,568</u>
Sales and use tax	10,696	700	0	0	11,396
Cigarette and tobacco taxes	485	1,167	0	0	1,652
Motor fuel tax	0	107	404	0	511
Alcoholic beverage control license fees	228	0	0	0	228
Highway Use tax	0	0	134	0	134
Auto rental tax	0	35	60	0	95
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>11,409</u>	<u>2,094</u>	<u>598</u>	<u>0</u>	<u>14,101</u>
LGAC Sales Tax (dedicated transfers)	(2,673)	0	0	2,675	2
User Taxes and fees	<u>8,736</u>	<u>2,094</u>	<u>598</u>	<u>2,675</u>	<u>14,103</u>
Corporation franchise tax	2,886	431	0	0	3,317
Corporation and utilities tax	665	196	18	0	879
Insurance taxes	1,278	132	0	0	1,410
Bank tax	954	187	0	0	1,141
Petroleum business tax	0	476	594	0	1,070
Business taxes	<u>5,783</u>	<u>1,422</u>	<u>612</u>	<u>0</u>	<u>7,817</u>
Estate tax	1,015	0	0	0	1,015
Real estate transfer tax	566	0	0	0	566
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,600</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,600</u>
Real estate transfer tax (dedicated)	(566)	0	119	447	0
Other taxes	<u>1,034</u>	<u>0</u>	<u>119</u>	<u>447</u>	<u>1,600</u>
Payroll tax	<u>0</u>	<u>1,365</u>	<u>0</u>	<u>0</u>	<u>1,365</u>
Total Taxes	<u>39,701</u>	<u>8,151</u>	<u>1,329</u>	<u>12,272</u>	<u>61,453</u>
Licenses, fees, etc.	667	0	0	0	667
Abandoned property	650	0	0	0	650
Motor vehicle fees	42	440	813	0	1,295
ABC License Fee	46	0	0	0	46
Reimbursements	222	0	0	0	222
Investment income	10	0	0	0	10
Other transactions	1,224	14,734	3,580	790	20,328
Miscellaneous receipts	<u>2,861</u>	<u>15,174</u>	<u>4,393</u>	<u>790</u>	<u>23,218</u>
Federal grants	<u>60</u>	<u>47,986</u>	<u>2,461</u>	<u>58</u>	<u>50,565</u>
Total	<u>42,622</u>	<u>71,311</u>	<u>8,183</u>	<u>13,120</u>	<u>135,236</u>

**CASH RECEIPTS
ALL FUNDS
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	32,302	0	0	0	32,302
Estimated Payments	11,225	0	0	0	11,225
Final Payments	2,303	0	0	0	2,303
Other Payments	1,199	0	0	0	1,199
Gross Collections	<u>47,029</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,029</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,454)	0	0	0	(7,454)
Reported Tax Collections	<u>39,277</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>39,277</u>
STAR (dedicated deposits)	(3,418)	3,418	0	0	0
RBTF (dedicated transfers)	(9,819)	0	0	9,819	0
Personal income tax	<u>26,040</u>	<u>3,418</u>	<u>0</u>	<u>9,819</u>	<u>39,277</u>
Sales and use tax	11,040	725	0	0	11,765
Cigarette and tobacco taxes	522	1,299	0	0	1,821
Motor fuel tax	0	108	405	0	513
Alcoholic beverage control license fees	233	0	0	0	233
Highway Use tax	0	0	140	0	140
Auto rental tax	0	35	63	0	98
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>11,795</u>	<u>2,252</u>	<u>608</u>	<u>0</u>	<u>14,655</u>
LGAC Sales Tax (dedicated transfers)	(2,760)	0	0	2,760	0
User Taxes and fees	<u>9,035</u>	<u>2,252</u>	<u>608</u>	<u>2,760</u>	<u>14,655</u>
Corporation franchise tax	3,234	471	0	0	3,705
Corporation and utilities tax	743	205	18	0	966
Insurance taxes	1,335	135	0	0	1,470
Bank tax	1,140	194	0	0	1,334
Petroleum business tax	0	488	608	0	1,096
Business taxes	<u>6,452</u>	<u>1,493</u>	<u>626</u>	<u>0</u>	<u>8,571</u>
Estate tax	970	0	0	0	970
Real estate transfer tax	581	0	0	0	581
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,570</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,570</u>
Real estate transfer tax (dedicated)	(581)	0	119	462	0
Other taxes	<u>989</u>	<u>0</u>	<u>119</u>	<u>462</u>	<u>1,570</u>
Payroll tax	<u>0</u>	<u>1,443</u>	<u>0</u>	<u>0</u>	<u>1,443</u>
Total Taxes	<u>42,516</u>	<u>8,606</u>	<u>1,353</u>	<u>13,041</u>	<u>65,516</u>
Licenses, fees, etc.	587	0	0	0	587
Abandoned property	645	0	0	0	645
Motor vehicle fees	54	442	815	0	1,311
ABC License Fee	49	0	0	0	49
Reimbursements	222	0	0	0	222
Investment income	10	0	0	0	10
Other transactions	1,254	14,551	3,433	809	20,047
Miscellaneous receipts	<u>2,821</u>	<u>14,993</u>	<u>4,248</u>	<u>809</u>	<u>22,871</u>
Federal grants	<u>60</u>	<u>43,840</u>	<u>2,309</u>	<u>71</u>	<u>46,280</u>
Total	<u>45,397</u>	<u>67,439</u>	<u>7,910</u>	<u>13,921</u>	<u>134,667</u>

**CASH RECEIPTS
ALL FUNDS
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	32,756	0	0	0	32,756
Estimated Payments	11,178	0	0	0	11,178
Final Payments	2,106	0	0	0	2,106
Other Payments	1,239	0	0	0	1,239
Gross Collections	<u>47,279</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,279</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,694)	0	0	0	(7,694)
Reported Tax Collections	<u>39,287</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>39,287</u>
STAR (dedicated deposits)	(3,584)	3,584	0	0	0
RBTF (dedicated transfers)	(9,822)	0	0	9,822	0
Personal income tax	<u>25,881</u>	<u>3,584</u>	<u>0</u>	<u>9,822</u>	<u>39,287</u>
Sales and use tax	11,336	775	0	0	12,111
Cigarette and tobacco taxes	515	1,277	0	0	1,792
Motor fuel tax	0	109	408	0	517
Alcoholic beverage control license fees	238	0	0	0	238
Highway Use tax	0	0	145	0	145
Auto rental tax	0	35	64	0	99
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>12,089</u>	<u>2,281</u>	<u>617</u>	<u>0</u>	<u>14,987</u>
LGAC Sales Tax (dedicated transfers)	(2,834)	0	0	2,834	0
User Taxes and fees	<u>9,255</u>	<u>2,281</u>	<u>617</u>	<u>2,834</u>	<u>14,987</u>
Corporation franchise tax	3,293	509	0	0	3,802
Corporation and utilities tax	766	208	18	0	992
Insurance taxes	1,393	140	0	0	1,533
Bank tax	1,206	203	0	0	1,409
Petroleum business tax	0	490	611	0	1,101
Business taxes	<u>6,658</u>	<u>1,550</u>	<u>629</u>	<u>0</u>	<u>8,837</u>
Estate tax	1,010	0	0	0	1,010
Real estate transfer tax	686	0	0	0	686
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,715</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,715</u>
Real estate transfer tax (dedicated)	(686)	0	119	567	0
Other taxes	<u>1,029</u>	<u>0</u>	<u>119</u>	<u>567</u>	<u>1,715</u>
Payroll tax	<u>0</u>	<u>1,528</u>	<u>0</u>	<u>0</u>	<u>1,528</u>
Total Taxes	<u>42,823</u>	<u>8,943</u>	<u>1,365</u>	<u>13,223</u>	<u>66,354</u>
Licenses, fees, etc.	583	0	0	0	583
Abandoned property	610	0	0	0	610
Motor vehicle fees	31	440	812	0	1,283
ABC License Fee	51	0	0	0	51
Reimbursements	222	0	0	0	222
Investment income	30	0	0	0	30
Other transactions	1,259	15,003	2,794	833	19,889
Miscellaneous receipts	<u>2,786</u>	<u>15,443</u>	<u>3,606</u>	<u>833</u>	<u>22,668</u>
Federal grants	<u>60</u>	<u>42,375</u>	<u>1,863</u>	<u>71</u>	<u>44,369</u>
Total	<u>45,669</u>	<u>66,761</u>	<u>6,834</u>	<u>14,127</u>	<u>133,391</u>

**CASH RECEIPTS
ALL FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	34,835	0	0	0	34,835
Estimated Payments	11,510	0	0	0	11,510
Final Payments	2,154	0	0	0	2,154
Other Payments	1,316	0	0	0	1,316
Gross Collections	<u>49,815</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>49,815</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(8,012)	0	0	0	(8,012)
Reported Tax Collections	<u>41,505</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>41,505</u>
STAR (dedicated deposits)	(3,772)	3,772	0	0	0
RBTF (dedicated transfers)	(10,377)	0	0	10,376	(1)
Personal income tax	<u>27,356</u>	<u>3,772</u>	<u>0</u>	<u>10,376</u>	<u>41,504</u>
Sales and use tax	11,916	800	0	0	12,716
Cigarette and tobacco taxes	508	1,255	0	0	1,763
Motor fuel tax	0	109	409	0	518
Alcoholic beverage control license fees	242	0	0	0	242
Highway Use tax	0	0	142	0	142
Auto rental tax	0	35	65	0	100
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>12,666</u>	<u>2,284</u>	<u>616</u>	<u>0</u>	<u>15,566</u>
LGAC Sales Tax (dedicated transfers)	(2,979)	0	0	2,979	0
User Taxes and fees	<u>9,687</u>	<u>2,284</u>	<u>616</u>	<u>2,979</u>	<u>15,566</u>
Corporation franchise tax	3,381	541	0	0	3,922
Corporation and utilities tax	790	211	18	0	1,019
Insurance taxes	1,454	150	0	0	1,604
Bank tax	1,270	212	0	0	1,482
Petroleum business tax	0	492	613	0	1,105
Business taxes	<u>6,895</u>	<u>1,606</u>	<u>631</u>	<u>0</u>	<u>9,132</u>
Estate tax	1,065	0	0	0	1,065
Real estate transfer tax	754	0	0	0	754
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,838</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,838</u>
Real estate transfer tax (dedicated)	(754)	0	119	635	0
Other taxes	<u>1,084</u>	<u>0</u>	<u>119</u>	<u>635</u>	<u>1,838</u>
Payroll tax	<u>0</u>	<u>1,614</u>	<u>0</u>	<u>0</u>	<u>1,614</u>
Total Taxes	<u>45,022</u>	<u>9,276</u>	<u>1,366</u>	<u>13,990</u>	<u>69,654</u>
Licenses, fees, etc.	569	0	0	0	569
Abandoned property	600	0	0	0	600
Motor vehicle fees	(42)	432	824	0	1,214
ABC License Fee	50	0	0	0	50
Reimbursements	222	0	0	0	222
Investment income	50	0	0	0	50
Other transactions	1,285	15,283	2,669	855	20,092
Miscellaneous receipts	<u>2,734</u>	<u>15,715</u>	<u>3,493</u>	<u>855</u>	<u>22,797</u>
Federal grants	<u>60</u>	<u>45,384</u>	<u>1,824</u>	<u>71</u>	<u>47,339</u>
Total	<u>47,816</u>	<u>70,375</u>	<u>6,683</u>	<u>14,916</u>	<u>139,790</u>

**CURRENT STATE RECEIPTS
ALL FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	2009-2010 Actuals	2010-2011 Projected	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	29,443	31,301	1,858	6.3%
Estimated Payments	9,028	10,351	1,323	14.7%
Final Payments	1,822	1,973	151	8.3%
Other Payments	1,100	1,161	61	5.5%
Gross Collections	41,393	44,786	3,393	8.2%
State/City Offset	62	(297)	(359)	-579.0%
Refunds	(6,703)	(7,891)	(1,188)	17.7%
Reported Tax Collections	34,752	36,598	1,846	5.3%
STAR (dedicated deposits)	0	(30)	(30)	--
RBTf (dedicated transfers)	0	0	0	--
Personal income tax	34,752	36,568	1,816	5.2%
Sales and use tax	10,528	11,396	868	8.2%
Cigarette and tobacco taxes	1,365	1,652	287	21.0%
Motor fuel tax	507	511	4	0.8%
Alcoholic beverage control license fees	225	228	3	1.3%
Highway Use tax	137	134	(3)	-2.2%
Auto rental tax	76	95	19	25.0%
Taxicab Surcharge	13	85	72	553.8%
Gross Utility Taxes and fees	12,851	14,101	1,250	9.7%
LGAC Sales Tax (dedicated transfers)	0	2	2	--
User Taxes and fees	12,851	14,103	1,252	9.7%
Corporation franchise tax	2,511	3,317	806	32.1%
Corporation and utilities tax	954	879	(75)	-7.9%
Insurance taxes	1,491	1,410	(81)	-5.4%
Bank tax	1,399	1,141	(258)	-18.4%
Petroleum business tax	1,104	1,070	(34)	-3.1%
Business taxes	7,459	7,817	358	4.8%
Estate tax	864	1,015	151	17.5%
Real estate transfer tax	493	566	73	14.8%
Gift tax	2	0	(2)	-100.0%
Real property gains tax	(1)	0	1	-100.0%
Pari-mutuel taxes	19	18	(1)	-5.3%
Other taxes	1	1	0	0.0%
Gross Other taxes	1,378	1,600	222	16.1%
Real estate transfer tax (dedicated)	0	0	0	--
Other taxes	1,378	1,600	222	16.1%
Payroll tax	1,228	1,365	137	11.2%
Total Taxes	57,668	61,453	3,785	6.6%
Licenses, fees, etc.	702	667	(35)	-5.0%
Abandoned property	608	650	42	6.9%
Motor vehicle fees	152	1,295	1,143	752.0%
ABC License Fee	49	46	(3)	-6.1%
Reimbursements	323	222	(101)	-31.3%
Investment income	14	10	(4)	-28.6%
Other transactions	21,709	20,328	(1,381)	-6.4%
Miscellaneous receipts	23,557	23,218	(339)	-1.4%
Federal grants	45,523	50,565	5,042	11.1%
Total	126,748	135,236	8,488	6.7%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2010-2011
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>1,957</u>	<u>443</u>	<u>2,400</u>
Receipts:			
Taxes	8,151	0	8,151
Miscellaneous receipts	14,987	187	15,174
Federal grants	<u>1</u>	<u>47,985</u>	<u>47,986</u>
Total receipts	<u>23,139</u>	<u>48,172</u>	<u>71,311</u>
Disbursements:			
Grants to local governments	18,011	41,844	59,855
State operations:			
Personal service	4,081	2,765	6,846
Non-personal service	2,572	1,845	4,417
General State charges	1,027	1,174	2,201
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
Total disbursements	<u>25,693</u>	<u>47,628</u>	<u>73,321</u>
Other financing sources (uses):			
Transfers from other funds	3,968	3,324	7,292
Transfers to other funds	(1,691)	(3,889)	(5,580)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net other financing sources (uses)	<u>2,277</u>	<u>(565)</u>	<u>1,712</u>
Change in fund balance	<u>(277)</u>	<u>(21)</u>	<u>(298)</u>
Closing fund balance	<u>1,680</u>	<u>422</u>	<u>2,102</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2011-2012
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>1,680</u>	<u>422</u>	<u>2,102</u>
Receipts:			
Taxes	8,606	0	8,606
Miscellaneous receipts	14,856	137	14,993
Federal grants	<u>1</u>	<u>43,839</u>	<u>43,840</u>
Total receipts	<u>23,463</u>	<u>43,976</u>	<u>67,439</u>
Disbursements:			
Grants to local governments	18,463	38,279	56,742
State operations:			
Personal service	4,601	2,298	6,899
Non-personal service	2,608	1,720	4,328
General State charges	1,166	1,088	2,254
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
Total disbursements	<u>26,840</u>	<u>43,385</u>	<u>70,225</u>
Other financing sources (uses):			
Transfers from other funds	4,505	2,837	7,342
Transfers to other funds	(1,183)	(3,424)	(4,607)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net other financing sources (uses)	<u>3,322</u>	<u>(587)</u>	<u>2,735</u>
Change in fund balance	<u>(55)</u>	<u>4</u>	<u>(51)</u>
Closing fund balance	<u>1,625</u>	<u>426</u>	<u>2,051</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2012-2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>1,625</u>	<u>426</u>	<u>2,051</u>
Receipts:			
Taxes	8,943	0	8,943
Miscellaneous receipts	15,307	136	15,443
Federal grants	1	42,374	42,375
Total receipts	<u>24,251</u>	<u>42,510</u>	<u>66,761</u>
Disbursements:			
Grants to local governments	19,488	36,959	56,447
State operations:			
Personal service	4,567	2,246	6,813
Non-personal service	2,555	1,634	4,189
General State charges	1,332	1,110	2,442
Debt service	0	0	0
Capital projects	2	0	2
Total disbursements	<u>27,944</u>	<u>41,949</u>	<u>69,893</u>
Other financing sources (uses):			
Transfers from other funds	4,881	2,753	7,634
Transfers to other funds	(620)	(3,315)	(3,935)
Bond and note proceeds	0	0	0
Net other financing sources (uses)	<u>4,261</u>	<u>(562)</u>	<u>3,699</u>
Change in fund balance	<u>568</u>	<u>(1)</u>	<u>567</u>
Closing fund balance	<u>2,193</u>	<u>425</u>	<u>2,618</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2013-2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>2,193</u>	<u>425</u>	<u>2,618</u>
Receipts:			
Taxes	9,276	0	9,276
Miscellaneous receipts	15,578	137	15,715
Federal grants	<u>1</u>	<u>45,383</u>	<u>45,384</u>
Total receipts	<u>24,855</u>	<u>45,520</u>	<u>70,375</u>
Disbursements:			
Grants to local governments	20,088	40,056	60,144
State operations:			
Personal service	4,610	2,250	6,860
Non-personal service	2,620	1,633	4,253
General State charges	1,423	1,177	2,600
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
Total disbursements	<u>28,743</u>	<u>45,116</u>	<u>73,859</u>
Other financing sources (uses):			
Transfers from other funds	5,098	2,836	7,934
Transfers to other funds	(548)	(3,240)	(3,788)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net other financing sources (uses)	<u>4,550</u>	<u>(404)</u>	<u>4,146</u>
Change in fund balance	<u>662</u>	<u>0</u>	<u>662</u>
Closing fund balance	<u>2,855</u>	<u>425</u>	<u>3,280</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>2,846</u>	<u>2,400</u>	<u>(446)</u>	<u>-15.7%</u>
Receipts:				
Taxes	7,801	8,151	350	4.5%
Miscellaneous receipts	14,813	15,174	361	2.4%
Federal grants	43,378	47,986	4,608	10.6%
Total receipts	<u>65,992</u>	<u>71,311</u>	<u>5,319</u>	<u>8.1%</u>
Disbursements:				
Grants to local governments	55,394	59,855	4,461	8.1%
State operations:				
Personal service	6,796	6,846	50	0.7%
Non-personal service	3,998	4,417	419	10.5%
General State charges	2,139	2,201	62	2.9%
Debt service	0	0	0	--
Capital projects	11	2	(9)	-81.8%
Total disbursements	<u>68,338</u>	<u>73,321</u>	<u>4,983</u>	<u>7.3%</u>
Other financing sources (uses):				
Transfers from other funds	7,218	7,292	74	1.0%
Transfers to other funds	(5,318)	(5,580)	(262)	4.9%
Bond and note proceeds	0	0	0	--
Net other financing sources (uses)	<u>1,900</u>	<u>1,712</u>	<u>(188)</u>	<u>-9.9%</u>
Change in fund balance	<u>(446)</u>	<u>(298)</u>	<u>148</u>	<u>-33.2%</u>
Closing fund balance	<u>2,400</u>	<u>2,102</u>	<u>(298)</u>	<u>-12.4%</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Personal income tax	<u>3,270</u>	<u>3,418</u>	<u>3,584</u>	<u>3,772</u>
User taxes and fees	<u>2,094</u>	<u>2,252</u>	<u>2,281</u>	<u>2,284</u>
Sales and use tax	700	725	775	800
Cigarette and tobacco taxes	1,167	1,299	1,277	1,255
Motor fuel tax	107	108	109	109
Auto Rental tax	35	35	35	35
Taxicab Surcharge	85	85	85	85
Business taxes	<u>1,422</u>	<u>1,493</u>	<u>1,550</u>	<u>1,606</u>
Corporation franchise tax	431	471	509	541
Corporation and utilities tax	196	205	208	211
Insurance taxes	132	135	140	150
Bank tax	187	194	203	212
Petroleum business tax	476	488	490	492
Payroll Tax	<u>1,365</u>	<u>1,443</u>	<u>1,528</u>	<u>1,614</u>
Total Taxes	<u>8,151</u>	<u>8,606</u>	<u>8,943</u>	<u>9,276</u>
Miscellaneous receipts	<u>15,174</u>	<u>14,993</u>	<u>15,443</u>	<u>15,715</u>
HCRA	3,877	4,106	4,306	4,409
State university income	3,508	3,596	3,643	3,699
Lottery	3,193	2,925	3,083	3,151
Medicaid	745	750	750	750
Industry assessments	934	829	842	853
Motor vehicle fees	440	442	440	432
All other	2,477	2,345	2,379	2,421
Federal grants	<u>47,986</u>	<u>43,840</u>	<u>42,375</u>	<u>45,384</u>
Total	<u><u>71,311</u></u>	<u><u>67,439</u></u>	<u><u>66,761</u></u>	<u><u>70,375</u></u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	2009-2010 Actuals	2010-2011 Projected	Annual \$ Change	Annual % Change
Personal income tax	3,409	3,270	(139)	-4.1%
User taxes and fees	1,709	2,094	385	22.5%
Sales and use tax	657	700	43	6.5%
Cigarette and tobacco taxes	909	1,167	258	28.4%
Motor fuel tax	106	107	1	0.9%
Auto Rental tax	24	35	11	45.8%
Taxicab Surcharge	13	85	72	553.8%
Business taxes	1,455	1,422	(33)	-2.3%
Corporation franchise tax	366	431	65	17.8%
Corporation and utilities tax	212	196	(16)	-7.5%
Insurance taxes	160	132	(28)	-17.5%
Bank tax	226	187	(39)	-17.3%
Petroleum business tax	491	476	(15)	-3.1%
Payroll Tax	1,228	1,365	137	11.2%
Total Taxes	7,801	8,151	350	4.5%
Miscellaneous receipts	14,813	15,174	361	2.4%
HCRA	3,982	3,877	(105)	-2.6%
State university income	3,229	3,508	279	8.6%
Lottery	2,886	3,193	307	10.6%
Medicaid	736	745	9	1.2%
Industry assessments	946	934	(12)	-1.3%
Motor vehicle fees	0	440	440	--
ABC License Fee	0	0	0	--
All other	3,034	2,477	(557)	-18.4%
Federal grants	43,378	47,986	4,608	10.6%
Total	65,992	71,311	5,319	8.1%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2010-2011
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(30)</u>	<u>(223)</u>	<u>(253)</u>
Receipts:			
Taxes	1,329	0	1,329
Miscellaneous receipts	4,393	0	4,393
Federal grants	6	2,455	2,461
Total receipts	<u>5,728</u>	<u>2,455</u>	<u>8,183</u>
Disbursements:			
Grants to local governments	505	803	1,308
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,625	1,575	7,200
Total disbursements	<u>6,130</u>	<u>2,378</u>	<u>8,508</u>
Other financing sources (uses):			
Transfers from other funds	1,144	0	1,144
Transfers to other funds	(1,407)	(12)	(1,419)
Bond and note proceeds	578	0	578
Net other financing sources (uses)	<u>315</u>	<u>(12)</u>	<u>303</u>
Change in fund balance	<u>(87)</u>	<u>65</u>	<u>(22)</u>
Closing fund balance	<u>(117)</u>	<u>(158)</u>	<u>(275)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2011-2012
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(117)</u>	<u>(158)</u>	<u>(275)</u>
Receipts:			
Taxes	1,353	0	1,353
Miscellaneous receipts	4,248	0	4,248
Federal grants	5	2,304	2,309
Total receipts	<u>5,606</u>	<u>2,304</u>	<u>7,910</u>
Disbursements:			
Grants to local governments	555	866	1,421
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,745	1,450	7,195
Total disbursements	<u>6,300</u>	<u>2,316</u>	<u>8,616</u>
Other financing sources (uses):			
Transfers from other funds	1,652	0	1,652
Transfers to other funds	(1,451)	(12)	(1,463)
Bond and note proceeds	488	0	488
Net other financing sources (uses)	<u>689</u>	<u>(12)</u>	<u>677</u>
Change in fund balance	<u>(5)</u>	<u>(24)</u>	<u>(29)</u>
Closing fund balance	<u>(122)</u>	<u>(182)</u>	<u>(304)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2012-2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(122)</u>	<u>(182)</u>	<u>(304)</u>
Receipts:			
Taxes	1,365	0	1,365
Miscellaneous receipts	3,606	0	3,606
Federal grants	5	1,858	1,863
Total receipts	<u>4,976</u>	<u>1,858</u>	<u>6,834</u>
Disbursements:			
Grants to local governments	638	668	1,306
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	4,719	1,217	5,936
Total disbursements	<u>5,357</u>	<u>1,885</u>	<u>7,242</u>
Other financing sources (uses):			
Transfers from other funds	1,451	0	1,451
Transfers to other funds	(1,489)	(13)	(1,502)
Bond and note proceeds	425	0	425
Net other financing sources (uses)	<u>387</u>	<u>(13)</u>	<u>374</u>
Change in fund balance	<u>6</u>	<u>(40)</u>	<u>(34)</u>
Closing fund balance	<u>(116)</u>	<u>(222)</u>	<u>(338)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2013-2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(116)</u>	<u>(222)</u>	<u>(338)</u>
Receipts:			
Taxes	1,366	0	1,366
Miscellaneous receipts	3,493	0	3,493
Federal grants	5	1,819	1,824
Total receipts	<u>4,864</u>	<u>1,819</u>	<u>6,683</u>
Disbursements:			
Grants to local governments	622	653	1,275
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	4,558	1,152	5,710
Total disbursements	<u>5,180</u>	<u>1,805</u>	<u>6,985</u>
Other financing sources (uses):			
Transfers from other funds	1,516	0	1,516
Transfers to other funds	(1,536)	(13)	(1,549)
Bond and note proceeds	341	0	341
Net other financing sources (uses)	<u>321</u>	<u>(13)</u>	<u>308</u>
Change in fund balance	<u>5</u>	<u>1</u>	<u>6</u>
Closing fund balance	<u>(111)</u>	<u>(221)</u>	<u>(332)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	(506)	(253)	253	
Receipts:				
Taxes	1,422	1,329	(93)	-6.5%
Miscellaneous receipts	3,882	4,393	511	13.2%
Federal grants	2,061	2,461	400	19.4%
Total receipts	<u>7,365</u>	<u>8,183</u>	<u>818</u>	<u>11.1%</u>
Disbursements:				
Grants to local governments	1,440	1,308	(132)	-9.2%
State operations:				
Personal service	0	0	0	--
Non-personal service	0	0	0	--
General State charges	0	0	0	--
Debt service	0	0	0	--
Capital projects	5,672	7,200	1,528	26.9%
Total disbursements	<u>7,112</u>	<u>8,508</u>	<u>1,396</u>	<u>19.6%</u>
Other financing sources (uses):				
Transfers from other funds	737	1,144	407	55.2%
Transfers to other funds	(1,185)	(1,419)	(234)	19.7%
Bond and note proceeds	448	578	130	29.0%
Net other financing sources (uses)	<u>0</u>	<u>303</u>	<u>303</u>	<u>--</u>
Change in fund balance	<u>253</u>	<u>(22)</u>	<u>(275)</u>	
Closing fund balance	<u>(253)</u>	<u>(275)</u>	<u>(22)</u>	

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
User taxes and fees	598	608	617	616
Motor fuel tax	404	405	408	409
Highway use tax	134	140	145	142
Auto rental tax	60	63	64	65
Business taxes	612	626	629	631
Corporation and utilities tax	18	18	18	18
Petroleum business tax	594	608	611	613
Other taxes	119	119	119	119
Real estate transfer tax	119	119	119	119
Total Taxes	<u>1,329</u>	<u>1,353</u>	<u>1,365</u>	<u>1,366</u>
Miscellaneous receipts	4,393	4,248	3,606	3,493
Authority bond proceeds	3,389	3,241	2,610	2,482
State park fees	35	24	24	24
Environmental revenues	77	77	77	77
Motor vehicle fees	813	815	812	824
All other	79	91	83	86
Federal grants	<u>2,461</u>	<u>2,309</u>	<u>1,863</u>	<u>1,824</u>
Total	<u><u>8,183</u></u>	<u><u>7,910</u></u>	<u><u>6,834</u></u>	<u><u>6,683</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
User taxes and fees	590	598	8	1.4%
Motor fuel tax	401	404	3	0.7%
Highway use tax	137	134	(3)	-2.2%
Auto rental tax	52	60	8	15.4%
Business taxes	633	612	(21)	-3.3%
Corporation and utilities tax	20	18	(2)	-10.0%
Petroleum business tax	613	594	(19)	-3.1%
Other taxes	199	119	(80)	-40.2%
Real estate transfer tax	199	119	(80)	-40.2%
Total Taxes	1,422	1,329	(93)	-6.5%
Miscellaneous receipts	3,882	4,393	511	13.2%
Authority bond proceeds	3,006	3,389	383	12.7%
State park fees	72	35	(37)	-51.4%
Environmental revenues	77	77	0	0.0%
Motor vehicle fees	137	813	676	493.4%
All other	590	79	(511)	-86.6%
Federal grants	2,061	2,461	400	19.4%
Total	<u>7,365</u>	<u>8,183</u>	<u>818</u>	<u>11.1%</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(thousands of dollars)**

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	45,113	60,960	65,430	53,520	56,680
Empire State Development Corporation	4,737	10,000	16,770	13,000	13,000
Functional Total	<u>49,850</u>	<u>70,960</u>	<u>82,200</u>	<u>66,520</u>	<u>69,680</u>
TRANSPORTATION					
Transportation, Department of	394,122	403,400	403,400	403,400	403,400
Functional Total	<u>394,122</u>	<u>403,400</u>	<u>403,400</u>	<u>403,400</u>	<u>403,400</u>
HEALTH					
Public Health	361	0	0	0	0
Functional Total	<u>361</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	157,809	157,809	243,348	296,553	214,117
People with Developmental Disabilities, Office for	41,727	41,727	71,500	78,550	85,300
Alcoholism and Substance Abuse Services, Office of	4,664	5,000	5,000	5,000	5,000
Functional Total	<u>204,200</u>	<u>204,536</u>	<u>319,848</u>	<u>380,103</u>	<u>304,417</u>
HIGHER EDUCATION					
City University of New York	612,476	645,841	447,430	394,222	452,109
State University of New York	219,112	245,584	149,000	137,427	122,416
Functional Total	<u>831,588</u>	<u>891,425</u>	<u>596,430</u>	<u>531,649</u>	<u>574,525</u>
LOWER EDUCATION (Pre-K through 12)					
Education, Department of	196,694	211,054	200,000	111,117	0
<i>School Aid</i>	195,438	211,054	200,000	111,117	0
<i>All Other</i>	1,256	0	0	0	0
Functional Total	<u>196,694</u>	<u>211,054</u>	<u>200,000</u>	<u>111,117</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u><u>1,676,815</u></u>	<u><u>1,781,375</u></u>	<u><u>1,601,878</u></u>	<u><u>1,492,789</u></u>	<u><u>1,352,022</u></u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Personal income tax	9,150	9,819	9,822	10,376
User taxes and fees	2,675	2,760	2,834	2,979
Sales and use tax	2,675	2,760	2,834	2,979
Other taxes	447	462	567	635
Real estate transfer tax	447	462	567	635
Total Taxes	<u>12,272</u>	<u>13,041</u>	<u>13,223</u>	<u>13,990</u>
Miscellaneous receipts	790	809	833	855
Mental hygiene patient receipts	298	325	352	375
SUNY dormitory fees	341	341	341	341
Health patient receipts	136	128	128	128
All other	15	15	12	11
Federal grants	58	71	71	71
Total	<u><u>13,120</u></u>	<u><u>13,921</u></u>	<u><u>14,127</u></u>	<u><u>14,916</u></u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal income tax	8,688	9,150	462	5.3%
User taxes and fees	2,466	2,675	209	8.5%
Sales and use tax	2,466	2,675	209	8.5%
Other taxes	294	447	153	52.0%
Real estate transfer tax	294	447	153	52.0%
Total Taxes	<u>11,448</u>	<u>12,272</u>	<u>824</u>	<u>7.2%</u>
Miscellaneous receipts	974	790	(184)	-18.9%
Mental hygiene patient receipts	388	298	(90)	-23.2%
SUNY dormitory fees	448	341	(107)	-23.9%
Health patient receipts	119	136	17	14.3%
All other	19	15	(4)	-21.1%
Federal grants	13	58	45	346.2%
Total	<u><u>12,435</u></u>	<u><u>13,120</u></u>	<u><u>685</u></u>	<u><u>5.5%</u></u>

**CASH FINANCIAL PLAN
STATE FUNDS
2010-2011
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	<u>2,302</u>	<u>1,957</u>	<u>(30)</u>	<u>410</u>	<u>4,639</u>
Receipts:					
Taxes	39,701	8,151	1,329	12,272	61,453
Miscellaneous receipts	2,861	14,987	4,393	790	23,031
Federal grants	60	1	6	58	125
Total receipts	<u>42,622</u>	<u>23,139</u>	<u>5,728</u>	<u>13,120</u>	<u>84,609</u>
Disbursements:					
Grants to local governments	37,748	18,011	505	0	56,264
State operations:					
Personal service	6,189	4,081	0	0	10,270
Non-personal service	1,949	2,572	0	92	4,613
General State charges	4,119	1,027	0	0	5,146
Debt service	0	0	0	5,471	5,471
Capital projects	0	2	5,625	0	5,627
Total disbursements	<u>50,005</u>	<u>25,693</u>	<u>6,130</u>	<u>5,563</u>	<u>87,391</u>
Other financing sources (uses):					
Transfers from other funds	11,892	3,968	1,144	7,024	24,028
Transfers to other funds	(5,741)	(1,691)	(1,407)	(14,627)	(23,466)
Bond and note proceeds	0	0	578	0	578
Net other financing sources (uses)	<u>6,151</u>	<u>2,277</u>	<u>315</u>	<u>(7,603)</u>	<u>1,140</u>
Change in fund balance	<u>(1,232)</u>	<u>(277)</u>	<u>(87)</u>	<u>(46)</u>	<u>(1,642)</u>
Closing fund balance	<u>1,070</u>	<u>1,680</u>	<u>(117)</u>	<u>364</u>	<u>2,997</u>
Net Proposed Reductions	<u>315</u>				<u>315</u>
Proposed Closing fund balance	<u>1,385</u>	<u>1,680</u>	<u>(117)</u>	<u>364</u>	<u>3,312</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	1,680	(117)	364	1,927
Receipts:					
Taxes	42,516	8,606	1,353	13,041	65,516
Miscellaneous receipts	2,821	14,856	4,248	809	22,734
Federal grants	60	1	5	71	137
Total receipts	<u>45,397</u>	<u>23,463</u>	<u>5,606</u>	<u>13,921</u>	<u>88,387</u>
Disbursements:					
Grants to local governments	46,012	18,463	555	0	65,030
State operations:					
Personal service	6,659	4,601	0	0	11,260
Non-personal service	2,090	2,608	0	92	4,790
General State charges	4,583	1,166	0	0	5,749
Debt service	0	0	0	6,039	6,039
Capital projects	0	2	5,745	0	5,747
Total disbursements	<u>59,344</u>	<u>26,840</u>	<u>6,300</u>	<u>6,131</u>	<u>98,615</u>
Other financing sources (uses):					
Transfers from other funds	11,660	4,505	1,652	6,717	24,534
Transfers to other funds	(6,787)	(1,183)	(1,451)	(14,518)	(23,939)
Bond and note proceeds	0	0	488	0	488
Net other financing sources (uses)	<u>4,873</u>	<u>3,322</u>	<u>689</u>	<u>(7,801)</u>	<u>1,083</u>
Deposit to/(use of) Reserves	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(48)</u>
Change in fund balance	<u>(9,026)</u>	<u>(55)</u>	<u>(5)</u>	<u>(11)</u>	<u>(9,097)</u>
Closing fund balance	<u>(9,026)</u>	<u>1,625</u>	<u>(122)</u>	<u>353</u>	<u>(7,170)</u>

CASH FINANCIAL PLAN
STATE FUNDS
2012-2013
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	1,625	(122)	353	1,856
Receipts:					
Taxes	42,823	8,943	1,365	13,223	66,354
Miscellaneous receipts	2,786	15,307	3,606	833	22,532
Federal grants	60	1	5	71	137
Total receipts	<u>45,669</u>	<u>24,251</u>	<u>4,976</u>	<u>14,127</u>	<u>89,023</u>
Disbursements:					
Grants to local governments	50,697	19,488	638	0	70,823
State operations:					
Personal service	6,556	4,567	0	0	11,123
Non-personal service	2,242	2,555	0	92	4,889
General State charges	4,989	1,332	0	0	6,321
Debt service	0	0	0	6,354	6,354
Capital projects	0	2	4,719	0	4,721
Total disbursements	<u>64,484</u>	<u>27,944</u>	<u>5,357</u>	<u>6,446</u>	<u>104,231</u>
Other financing sources (uses):					
Transfers from other funds	11,397	4,881	1,451	6,692	24,421
Transfers to other funds	(7,297)	(620)	(1,489)	(14,414)	(23,820)
Bond and note proceeds	0	0	425	0	425
Net other financing sources (uses)	<u>4,100</u>	<u>4,261</u>	<u>387</u>	<u>(7,722)</u>	<u>1,026</u>
Deposit to/(use of) Reserves	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(71)</u>
Change in fund balance	<u>(14,644)</u>	<u>568</u>	<u>6</u>	<u>(41)</u>	<u>(14,111)</u>
Closing fund balance	<u>(14,644)</u>	<u>2,193</u>	<u>(116)</u>	<u>312</u>	<u>(12,255)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	2,193	(116)	312	2,389
Receipts:					
Taxes	45,022	9,276	1,366	13,990	69,654
Miscellaneous receipts	2,734	15,578	3,493	855	22,660
Federal grants	60	1	5	71	137
Total receipts	<u>47,816</u>	<u>24,855</u>	<u>4,864</u>	<u>14,916</u>	<u>92,451</u>
Disbursements:					
Grants to local governments	54,896	20,088	622	0	75,606
State operations:					
Personal service	6,663	4,610	0	0	11,273
Non-personal service	2,363	2,620	0	92	5,075
General State charges	5,437	1,423	0	0	6,860
Debt service	0	0	0	6,515	6,515
Capital projects	0	2	4,558	0	4,560
Total disbursements	<u>69,359</u>	<u>28,743</u>	<u>5,180</u>	<u>6,607</u>	<u>109,889</u>
Other financing sources (uses):					
Transfers from other funds	12,055	5,098	1,516	6,620	25,289
Transfers to other funds	(7,769)	(548)	(1,536)	(14,980)	(24,833)
Bond and note proceeds	0	0	341	0	341
Net other financing sources (uses)	<u>4,286</u>	<u>4,550</u>	<u>321</u>	<u>(8,360)</u>	<u>797</u>
Deposit to/(use of) Community Projects Fund	<u>(25)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(25)</u>
Change in fund balance	<u>(17,232)</u>	<u>662</u>	<u>5</u>	<u>(51)</u>	<u>(16,616)</u>
Closing fund balance	<u>(17,232)</u>	<u>2,855</u>	<u>(111)</u>	<u>261</u>	<u>(14,227)</u>

STATE FUNDS
2009-2010 and 2010-2011
(millions of dollars)

	<u>2009-2010</u> <u>Actuals</u>	<u>2010-2011</u> <u>Projected</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening fund balance	<u>4,508</u>	<u>4,639</u>	<u>131</u>	
Receipts:				
Taxes	57,668	61,453	3,785	6.6%
Miscellaneous receipts	23,389	23,031	(358)	-1.5%
Federal grants	84	125	41	48.8%
Total receipts	<u>81,141</u>	<u>84,609</u>	<u>3,468</u>	<u>4.3%</u>
Disbursements:				
Grants to local governments	52,758	56,264	3,506	6.6%
State operations:				
Personal service	10,874	10,270	(604)	-5.6%
Non-personal service	4,516	4,613	97	2.1%
General State charges	4,634	5,146	512	11.0%
Debt service	4,961	5,471	510	10.3%
Capital projects	4,291	5,627	1,336	31.1%
Total disbursements	<u>82,034</u>	<u>87,391</u>	<u>5,357</u>	<u>6.5%</u>
Other financing sources (uses):				
Transfers from other funds	23,154	24,028	874	3.8%
Transfers to other funds	(22,578)	(23,466)	(888)	3.9%
Bond and note proceeds	448	578	130	29.0%
Net other financing sources (uses)	<u>1,024</u>	<u>1,140</u>	<u>116</u>	<u>11.3%</u>
Change in fund balance	<u>131</u>	<u>(1,642)</u>	<u>(1,773)</u>	
Closing fund balance	<u>4,639</u>	<u>2,997</u>	<u>(1,642)</u>	
Net Proposed Reductions		<u>315</u>	<u>315</u>	
Proposed Closing fund balance	<u>4,639</u>	<u>3,312</u>	<u>(1,327)</u>	

CASHFLOW
GENERAL FUND
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>2,302</u>	<u>4,274</u>	<u>1,648</u>	<u>(87)</u>	<u>590</u>	<u>528</u>	<u>2,381</u>	<u>2,071</u>	<u>803</u>	<u>864</u>	<u>5,545</u>	<u>5,794</u>	<u>2,302</u>
RECEIPTS:													
Personal Income Tax	3,069	783	2,164	1,575	1,669	2,571	1,526	1,344	523	5,260	2,016	1,648	24,148
User Taxes and Fees	669	589	858	666	666	863	696	684	880	753	628	784	8,736
Business Taxes	60	2	915	80	21	990	(7)	131	1,431	43	70	2,047	5,783
Other Taxes	93	83	103	155	81	116	67	67	67	67	67	68	1,034
Total Taxes	<u>3,891</u>	<u>1,457</u>	<u>4,040</u>	<u>2,476</u>	<u>2,437</u>	<u>4,540</u>	<u>2,282</u>	<u>2,226</u>	<u>2,901</u>	<u>6,123</u>	<u>2,781</u>	<u>4,547</u>	<u>39,701</u>
Licenses, Fees, etc.	47	56	55	77	53	69	50	50	50	45	65	50	667
Abandoned Property	0	(4)	77	3	28	43	16	120	40	70	60	197	650
ABC License Fee	9	1	6	4	4	4	3	3	3	3	3	3	46
Motor vehicle fees	0	0	0	0	0	0	0	0	0	0	0	0	42
Reimbursements	7	13	35	13	6	47	6	11	36	6	11	31	222
Investment Income	1	0	0	1	0	0	(3)	1	1	2	4	3	10
Other Transactions	26	33	80	41	69	423	54	49	99	73	77	200	1,224
Total Miscellaneous Receipts	<u>90</u>	<u>99</u>	<u>253</u>	<u>139</u>	<u>160</u>	<u>586</u>	<u>126</u>	<u>234</u>	<u>229</u>	<u>199</u>	<u>220</u>	<u>526</u>	<u>2,861</u>
Federal Grants	1	13	0	0	0	0	14	0	14	0	0	18	60
PIT in Excess of Revenue Bond Debt Service	1,022	108	887	509	232	964	348	165	1,080	1,331	303	822	7,771
Sales Tax in Excess of LGAC Debt Service	180	100	350	202	132	267	210	206	264	227	2	158	2,298
Real Estate Taxes in Excess of CW/CA Debt Service	32	34	33	39	33	28	22	22	22	22	22	23	332
All Other	4	1	62	87	20	11	15	39	190	144	185	733	1,491
Total Transfers from Other Funds	<u>1,238</u>	<u>243</u>	<u>1,332</u>	<u>837</u>	<u>417</u>	<u>1,270</u>	<u>595</u>	<u>432</u>	<u>1,556</u>	<u>1,724</u>	<u>512</u>	<u>1,736</u>	<u>11,892</u>
TOTAL RECEIPTS	<u>5,220</u>	<u>1,812</u>	<u>5,625</u>	<u>3,452</u>	<u>3,014</u>	<u>6,396</u>	<u>3,017</u>	<u>2,892</u>	<u>4,700</u>	<u>8,046</u>	<u>3,513</u>	<u>6,827</u>	<u>54,514</u>
DISBURSEMENTS:													
School Aid	491	2,616	3,767	100	574	1,063	397	1,004	1,560	300	514	6,379	18,765
Higher Education	16	16	379	198	14	314	58	361	149	50	420	473	2,448
All Other Education	17	14	17	24	88	523	25	420	38	94	151	77	1,488
Medicaid - DOH	1,085	633	668	516	590	389	259	785	538	714	927	321	7,425
Public Health	40	30	122	16	65	117	17	46	129	30	30	144	786
Mental Hygiene	10	5	362	50	130	392	164	21	401	128	127	428	2,218
Children and Families	9	15	14	66	274	74	338	139	214	165	88	301	1,697
Temporary & Disability Assistance	61	140	61	62	114	83	152	110	153	62	6	148	1,152
Transportation	0	0	0	11	9	18	0	24	9	0	25	2	98
Unrestricted Aid	3	12	274	1	1	96	9	2	212	2	2	166	780
All Other	19	16	189	(27)	45	48	(46)	73	52	39	40	443	891
Total Local Assistance Grants	<u>1,751</u>	<u>3,497</u>	<u>5,853</u>	<u>1,017</u>	<u>1,904</u>	<u>3,117</u>	<u>1,373</u>	<u>2,985</u>	<u>3,455</u>	<u>1,584</u>	<u>2,330</u>	<u>8,882</u>	<u>37,748</u>
Personal Service	514	547	586	619	465	622	403	459	593	409	379	593	6,189
Non-Personal Service	143	108	151	171	180	175	122	166	180	212	169	172	1,949
Total State Operations	<u>657</u>	<u>655</u>	<u>737</u>	<u>790</u>	<u>645</u>	<u>797</u>	<u>525</u>	<u>625</u>	<u>773</u>	<u>621</u>	<u>548</u>	<u>765</u>	<u>8,138</u>
General State Charges	122	30	485	112	348	298	441	293	104	385	165	1,336	4,119
Debt Service	414	38	3	470	(5)	(133)	599	0	(1)	457	(15)	(177)	1,650
Capital Projects	14	21	4	121	(42)	63	88	59	63	127	120	240	878
State Share Medicaid	180	162	244	185	184	363	179	144	229	172	181	212	2,435
Other Purposes	110	35	34	80	42	38	122	54	46	49	(15)	183	778
Total Transfers to Other Funds	<u>718</u>	<u>256</u>	<u>285</u>	<u>856</u>	<u>179</u>	<u>331</u>	<u>988</u>	<u>257</u>	<u>337</u>	<u>805</u>	<u>271</u>	<u>458</u>	<u>5,741</u>
TOTAL DISBURSEMENTS	<u>3,248</u>	<u>4,438</u>	<u>7,360</u>	<u>2,775</u>	<u>3,076</u>	<u>4,543</u>	<u>3,327</u>	<u>4,160</u>	<u>4,669</u>	<u>3,395</u>	<u>3,314</u>	<u>11,441</u>	<u>55,746</u>
Excess/(Deficiency) of Receipts over Disbursements	<u>1,972</u>	<u>(2,626)</u>	<u>(1,735)</u>	<u>677</u>	<u>(62)</u>	<u>1,853</u>	<u>(310)</u>	<u>(1,268)</u>	<u>31</u>	<u>4,651</u>	<u>199</u>	<u>(4,614)</u>	<u>(1,232)</u>
CLOSING BALANCE	<u>4,274</u>	<u>1,648</u>	<u>(87)</u>	<u>590</u>	<u>528</u>	<u>2,381</u>	<u>2,071</u>	<u>803</u>	<u>834</u>	<u>5,515</u>	<u>5,744</u>	<u>1,180</u>	<u>1,070</u>
Net Proposed Reductions	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>30</u>	<u>30</u>	<u>50</u>	<u>205</u>	<u>315</u>
Closing Fund Balance After Proposed Reductions	<u>4,274</u>	<u>1,648</u>	<u>(87)</u>	<u>590</u>	<u>528</u>	<u>2,381</u>	<u>2,071</u>	<u>803</u>	<u>864</u>	<u>5,545</u>	<u>5,794</u>	<u>1,385</u>	<u>1,385</u>

*Preliminary Results

CASHFLOW
STATE OPERATING FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,669	7,296	5,159	3,913	4,842	4,946	5,257	5,974	5,062	3,799	9,530	10,110	4,669
RECEIPTS:													
Taxes	5,543	2,259	6,183	3,592	3,573	6,334	3,373	3,347	7,405	8,517	4,067	5,931	60,124
Miscellaneous Receipts	1,189	1,157	1,660	1,100	1,348	2,638	1,593	1,496	1,798	1,458	1,381	1,820	18,638
Federal Grants	1	13	0	1	16	0	27	0	14	0	2	45	119
TOTAL RECEIPTS	6,733	3,429	7,843	4,693	4,937	8,972	4,993	4,843	9,217	9,975	5,450	7,796	78,881
DISBURSEMENTS:													
School Aid	491	2,616	4,089	100	574	3,078	482	1,089	1,645	385	599	6,710	21,858
Higher Education	16	16	379	198	14	314	58	361	149	50	420	496	2,471
All Other Education	19	17	17	25	89	524	25	422	40	96	153	79	1,506
STAR	0	0	497	0	0	103	9	38	2,623	0	0	0	3,270
Medicaid - DOH	1,248	1,076	974	904	1,036	820	792	1,117	896	1,067	1,249	728	11,907
Public Health	100	91	163	112	156	203	119	168	233	121	147	305	1,918
Mental Hygiene	80	36	428	172	214	482	290	68	590	253	172	668	3,453
Children and Families	10	15	14	66	274	74	338	139	214	165	88	301	1,698
Temporary & Disability Assistance	61	140	61	62	114	83	152	110	153	62	6	148	1,152
Transportation	204	222	85	602	271	454	258	421	1,041	196	216	315	4,285
Unrestricted Aid	3	12	274	1	1	96	9	2	212	2	2	166	780
All Other	34	28	214	1	258	84	(28)	85	93	60	67	565	1,461
Total Local Assistance Grants	2,266	4,269	7,195	2,243	3,001	6,315	2,504	4,020	7,889	2,457	3,119	10,481	55,759
Personal Service	826	807	832	927	856	1,125	841	618	939	833	776	890	10,270
Non-Personal Service	400	250	335	343	387	323	346	398	476	390	425	540	4,613
Total State Operations	1,226	1,057	1,167	1,270	1,243	1,448	1,187	1,016	1,415	1,223	1,201	1,430	14,883
General State Charges	149	107	523	192	385	461	515	355	254	469	222	1,514	5,146
Debt service	515	160	291	108	274	843	104	247	1,026	104	404	1,395	5,471
Capital Projects	3	1	4	2	1	1	2	0	0	0	0	(12)	2
TOTAL DISBURSEMENTS	4,159	5,594	9,180	3,815	4,904	9,068	4,312	5,638	10,584	4,253	4,946	14,808	81,261
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,352	824	2,037	2,081	1,032	2,273	1,864	1,062	2,353	2,802	1,223	2,981	22,884
Transfers to other funds	(2,299)	(796)	(1,946)	(2,030)	(961)	(1,866)	(1,828)	(1,179)	(2,279)	(2,823)	(1,197)	(2,855)	(22,059)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	53	28	91	51	71	407	36	(117)	74	(21)	26	126	825
Excess/(Deficiency) of Receipts over Disbursements	2,627	(2,137)	(1,246)	929	104	311	717	(912)	(1,293)	5,701	530	(6,886)	(1,555)
CLOSING BALANCE	7,296	5,159	3,913	4,842	4,946	5,257	5,974	5,062	3,769	9,500	10,060	3,224	3,114
Net Proposed Reductions	0	0	0	0	0	0	0	0	30	30	50	205	315
Closing Fund Balance After Proposed Reductions	7,296	5,159	3,913	4,842	4,946	5,257	5,974	5,062	3,799	9,530	10,110	3,429	3,429

*Preliminary Results

CASHFLOW
CAPITAL PROJECTS FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2010 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>(253)</u>	<u>(294)</u>	<u>(304)</u>	<u>(341)</u>	<u>(403)</u>	<u>(468)</u>	<u>(656)</u>	<u>(745)</u>	<u>(921)</u>	<u>(983)</u>	<u>(1,046)</u>	<u>(1,066)</u>	<u>(253)</u>
RECEIPTS:													
Taxes	89	91	121	114	120	130	108	105	123	106	102	120	1,329
Miscellaneous Receipts	336	191	347	181	364	370	210	392	393	367	377	865	4,393
Federal Grants	103	133	213	234	233	267	221	200	175	158	135	389	2,461
TOTAL RECEIPTS	<u>528</u>	<u>415</u>	<u>681</u>	<u>529</u>	<u>717</u>	<u>767</u>	<u>539</u>	<u>697</u>	<u>691</u>	<u>631</u>	<u>614</u>	<u>1,374</u>	<u>8,183</u>
DISBURSEMENTS:													
Local Assistance Grants	88	79	70	100	167	133	139	73	83	65	65	246	1,308
Total Local Assistance Grants	<u>88</u>	<u>79</u>	<u>70</u>	<u>100</u>	<u>167</u>	<u>133</u>	<u>139</u>	<u>73</u>	<u>83</u>	<u>65</u>	<u>65</u>	<u>246</u>	<u>1,308</u>
Economic Development	93	8	59	116	28	136	46	122	86	93	95	194	1,076
Parks & the Environment	30	24	41	24	25	19	25	37	37	37	39	69	407
Transportation	172	167	321	264	307	383	327	412	274	261	248	325	3,461
Health & Social Welfare	9	1	3	10	4	11	3	44	63	88	82	108	426
Mental Hygiene	6	4	7	9	11	10	4	13	9	11	9	29	122
Public Protection	29	16	31	16	29	20	19	26	27	24	36	61	334
Education	78	51	97	62	83	57	56	117	117	118	118	167	1,121
All Other	13	20	26	9	13	21	9	25	26	31	23	37	253
Total Capital Projects	<u>430</u>	<u>291</u>	<u>585</u>	<u>510</u>	<u>500</u>	<u>657</u>	<u>489</u>	<u>796</u>	<u>639</u>	<u>663</u>	<u>650</u>	<u>990</u>	<u>7,200</u>
TOTAL DISBURSEMENTS	<u>518</u>	<u>370</u>	<u>655</u>	<u>610</u>	<u>667</u>	<u>790</u>	<u>628</u>	<u>869</u>	<u>722</u>	<u>728</u>	<u>715</u>	<u>1,236</u>	<u>8,508</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	40	36	27	122	(24)	66	93	90	63	128	121	382	1,144
Transfers to other funds	(91)	(91)	(90)	(103)	(91)	(231)	(93)	(94)	(94)	(94)	(40)	(307)	(1,419)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	578	578
NET OTHER FINANCING SOURCES/(USES)	<u>(51)</u>	<u>(55)</u>	<u>(63)</u>	<u>19</u>	<u>(115)</u>	<u>(165)</u>	<u>0</u>	<u>(4)</u>	<u>(31)</u>	<u>34</u>	<u>81</u>	<u>653</u>	<u>303</u>
Excess/(Deficiency) of Receipts over Disbursements	<u>(41)</u>	<u>(10)</u>	<u>(37)</u>	<u>(62)</u>	<u>(65)</u>	<u>(188)</u>	<u>(89)</u>	<u>(176)</u>	<u>(62)</u>	<u>(63)</u>	<u>(20)</u>	<u>791</u>	<u>(22)</u>
CLOSING BALANCE	<u>(294)</u>	<u>(304)</u>	<u>(341)</u>	<u>(403)</u>	<u>(468)</u>	<u>(656)</u>	<u>(745)</u>	<u>(921)</u>	<u>(983)</u>	<u>(1,046)</u>	<u>(1,066)</u>	<u>(275)</u>	<u>(275)</u>

*Preliminary Results

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(30)	(78)	(106)	(186)	(317)	(361)	(614)	(700)	(723)	(765)	(789)	(768)	(30)
RECEIPTS:													
Taxes	89	91	121	114	120	130	108	105	123	106	102	120	1,329
Miscellaneous Receipts	336	191	347	181	363	370	210	392	393	367	377	866	4,393
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	6	6
TOTAL RECEIPTS	<u>425</u>	<u>282</u>	<u>468</u>	<u>295</u>	<u>483</u>	<u>500</u>	<u>318</u>	<u>497</u>	<u>516</u>	<u>473</u>	<u>479</u>	<u>992</u>	<u>5,728</u>
DISBURSEMENTS:													
Local Assistance Grants	37	43	24	56	53	64	68	23	43	25	35	34	505
Total Local Assistance Grants	<u>37</u>	<u>43</u>	<u>24</u>	<u>56</u>	<u>53</u>	<u>64</u>	<u>68</u>	<u>23</u>	<u>43</u>	<u>25</u>	<u>35</u>	<u>34</u>	<u>505</u>
Economic Development	93	8	59	116	27	136	46	122	86	93	95	194	1,075
Parks & the Environment	30	23	40	24	23	18	25	17	17	17	19	150	403
Transportation	128	101	208	146	174	254	179	146	155	142	139	232	2,004
Health & Social Welfare	9	1	3	10	4	11	3	38	57	83	77	98	394
Mental Hygiene	6	4	7	9	11	10	4	13	9	11	9	29	122
Public Protection	28	14	29	15	28	19	18	24	25	21	31	52	304
Education	78	51	97	62	83	57	56	117	117	118	118	167	1,121
All Other	14	11	17	7	9	19	5	18	20	23	18	41	202
Total Capital Projects	<u>386</u>	<u>213</u>	<u>460</u>	<u>389</u>	<u>359</u>	<u>524</u>	<u>336</u>	<u>495</u>	<u>486</u>	<u>508</u>	<u>506</u>	<u>963</u>	<u>5,625</u>
TOTAL DISBURSEMENTS	<u>423</u>	<u>256</u>	<u>484</u>	<u>445</u>	<u>412</u>	<u>588</u>	<u>404</u>	<u>518</u>	<u>529</u>	<u>533</u>	<u>541</u>	<u>997</u>	<u>6,130</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	41	37	27	122	(24)	66	93	90	63	128	121	380	1,144
Transfers to other funds	(91)	(91)	(91)	(103)	(91)	(231)	(93)	(92)	(92)	(92)	(38)	(302)	(1,407)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	578	578
NET OTHER FINANCING SOURCES/(USES)	<u>(50)</u>	<u>(54)</u>	<u>(64)</u>	<u>19</u>	<u>(115)</u>	<u>(165)</u>	<u>0</u>	<u>(2)</u>	<u>(29)</u>	<u>36</u>	<u>83</u>	<u>656</u>	<u>315</u>
Excess/(Deficiency) of Receipts over Disbursements	<u>(48)</u>	<u>(28)</u>	<u>(80)</u>	<u>(131)</u>	<u>(44)</u>	<u>(253)</u>	<u>(86)</u>	<u>(23)</u>	<u>(42)</u>	<u>(24)</u>	<u>21</u>	<u>651</u>	<u>(87)</u>
CLOSING BALANCE	<u>(78)</u>	<u>(106)</u>	<u>(186)</u>	<u>(317)</u>	<u>(361)</u>	<u>(614)</u>	<u>(700)</u>	<u>(723)</u>	<u>(765)</u>	<u>(789)</u>	<u>(768)</u>	<u>(117)</u>	<u>(117)</u>

*Preliminary Results

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>(223)</u>	<u>(216)</u>	<u>(198)</u>	<u>(155)</u>	<u>(86)</u>	<u>(107)</u>	<u>(42)</u>	<u>(45)</u>	<u>(198)</u>	<u>(218)</u>	<u>(257)</u>	<u>(298)</u>	<u>(223)</u>
RECEIPTS:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	1	0	0	0	0	0	0	(1)	0
Federal Grants	103	133	213	234	233	267	221	200	175	158	135	383	2,455
TOTAL RECEIPTS	<u>103</u>	<u>133</u>	<u>213</u>	<u>234</u>	<u>234</u>	<u>267</u>	<u>221</u>	<u>200</u>	<u>175</u>	<u>158</u>	<u>135</u>	<u>382</u>	<u>2,455</u>
DISBURSEMENTS:													
Local Assistance Grants	51	36	46	44	114	69	71	50	40	40	30	212	803
Total Local Assistance Grants	<u>51</u>	<u>36</u>	<u>46</u>	<u>44</u>	<u>114</u>	<u>69</u>	<u>71</u>	<u>50</u>	<u>40</u>	<u>40</u>	<u>30</u>	<u>212</u>	<u>803</u>
Economic Development	0	0	0	0	1	0	0	0	0	0	0	0	1
Parks & the Environment	0	1	1	0	2	1	0	20	20	20	20	(81)	4
Transportation	44	66	113	118	133	129	148	266	119	119	109	93	1,457
Health & Social Welfare	0	0	0	0	0	0	0	6	6	5	5	10	32
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	2	2	1	1	1	1	2	2	3	5	9	30
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	9	9	2	4	2	4	7	6	8	5	(4)	51
Total Capital Projects	<u>44</u>	<u>78</u>	<u>125</u>	<u>121</u>	<u>141</u>	<u>133</u>	<u>153</u>	<u>301</u>	<u>153</u>	<u>155</u>	<u>144</u>	<u>27</u>	<u>1,575</u>
TOTAL DISBURSEMENTS	<u>95</u>	<u>114</u>	<u>171</u>	<u>165</u>	<u>255</u>	<u>202</u>	<u>224</u>	<u>351</u>	<u>193</u>	<u>195</u>	<u>174</u>	<u>239</u>	<u>2,378</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	(1)	(1)	0	0	0	0	0	0	0	0	0	2	0
Transfers to other funds	0	0	1	0	0	0	0	(2)	(2)	(2)	(2)	(5)	(12)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	<u>(1)</u>	<u>(1)</u>	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	<u>(3)</u>	<u>(12)</u>
Excess/(Deficiency) of Receipts over Disbursements	7	18	43	69	(21)	65	(3)	(153)	(20)	(39)	(41)	140	65
CLOSING BALANCE	<u>(216)</u>	<u>(198)</u>	<u>(155)</u>	<u>(86)</u>	<u>(107)</u>	<u>(42)</u>	<u>(45)</u>	<u>(198)</u>	<u>(218)</u>	<u>(257)</u>	<u>(298)</u>	<u>(158)</u>	<u>(158)</u>

*Preliminary Results

CASHFLOW
SPECIAL REVENUE FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>2,400</u>	<u>2,914</u>	<u>3,430</u>	<u>3,620</u>	<u>3,950</u>	<u>3,907</u>	<u>2,773</u>	<u>3,097</u>	<u>2,901</u>	<u>2,143</u>	<u>2,125</u>	<u>1,943</u>	<u>2,400</u>
RECEIPTS:													
Personal Income Tax	0	0	497	0	0	102	9	38	2,623	0	0	1	3,270
User Taxes and Fees	225	134	174	178	164	225	188	167	196	185	144	114	2,094
Business Taxes	32	55	202	63	54	207	45	100	223	48	50	343	1,422
Other Taxes	137	119	80	100	118	68	92	115	99	146	195	96	1,365
Total Taxes	<u>394</u>	<u>308</u>	<u>953</u>	<u>341</u>	<u>336</u>	<u>602</u>	<u>334</u>	<u>420</u>	<u>3,141</u>	<u>379</u>	<u>389</u>	<u>554</u>	<u>8,151</u>
HCRA	380	325	339	318	323	288	354	318	318	318	318	278	3,877
State University Income	175	172	289	87	284	516	446	295	345	363	271	265	3,508
Lottery	227	228	283	204	208	637	176	221	264	221	221	303	3,193
Medicaid	62	65	60	58	61	63	68	60	75	60	60	53	745
Motor vehicle fees	43	27	45	37	34	36	33	36	36	31	35	47	440
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	153	240	325	225	233	399	328	286	483	221	217	301	3,411
Total Miscellaneous Receipts	<u>1,040</u>	<u>1,057</u>	<u>1,341</u>	<u>929</u>	<u>1,143</u>	<u>1,939</u>	<u>1,405</u>	<u>1,216</u>	<u>1,521</u>	<u>1,214</u>	<u>1,122</u>	<u>1,247</u>	<u>15,174</u>
Federal Grants	3,723	3,147	3,693	4,048	4,061	4,635	3,542	3,364	4,304	3,652	3,495	6,322	47,986
TOTAL RECEIPTS	<u>5,157</u>	<u>4,512</u>	<u>5,987</u>	<u>5,318</u>	<u>5,540</u>	<u>7,176</u>	<u>5,281</u>	<u>5,000</u>	<u>8,966</u>	<u>5,245</u>	<u>5,006</u>	<u>8,123</u>	<u>71,311</u>
DISBURSEMENTS:													
School Aid	449	382	925	449	195	2,192	316	334	434	464	554	876	7,570
Higher Education	2	0	1	0	0	51	2	116	0	0	0	33	205
All Other Education	135	83	78	233	34	176	35	116	116	186	161	129	1,482
STAR	0	0	497	0	0	103	9	38	2,623	0	0	0	3,270
Medicaid - DOH	2,867	2,365	3,264	2,416	2,548	3,165	2,698	2,629	3,036	2,497	2,466	2,823	32,774
Public Health	160	139	118	235	194	271	174	222	194	179	205	351	2,442
Mental Hygiene	98	51	88	177	120	118	150	84	230	167	85	292	1,660
Children and Families	7	17	16	45	318	76	62	78	78	90	90	197	1,074
Temporary & Disability Assistance	77	82	44	84	946	244	381	370	405	415	345	272	3,665
Transportation	206	224	87	593	264	439	262	400	1,035	199	194	316	4,219
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	58	75	104	33	266	119	108	111	135	133	132	220	1,494
Total Local Assistance Grants	<u>4,059</u>	<u>3,418</u>	<u>5,222</u>	<u>4,265</u>	<u>4,885</u>	<u>6,954</u>	<u>4,197</u>	<u>4,498</u>	<u>8,286</u>	<u>4,330</u>	<u>4,232</u>	<u>5,509</u>	<u>59,855</u>
Personal Service	521	446	413	546	575	733	610	563	618	600	574	647	6,846
Non-Personal Service	347	235	258	238	335	381	373	414	502	320	382	632	4,417
Total State Operations	<u>868</u>	<u>681</u>	<u>671</u>	<u>784</u>	<u>910</u>	<u>1,114</u>	<u>983</u>	<u>977</u>	<u>1,120</u>	<u>920</u>	<u>956</u>	<u>1,279</u>	<u>11,263</u>
General State Charges	31	117	65	262	47	402	88	71	454	100	97	467	2,201
Capital Projects	3	1	4	2	1	1	2	0	0	0	0	(12)	2
TOTAL DISBURSEMENTS	<u>4,961</u>	<u>4,217</u>	<u>5,962</u>	<u>5,313</u>	<u>5,843</u>	<u>8,471</u>	<u>5,270</u>	<u>5,546</u>	<u>9,860</u>	<u>5,350</u>	<u>5,285</u>	<u>7,243</u>	<u>73,321</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	619	486	575	717	579	660	553	655	709	513	578	648	7,292
Transfers to other funds	(301)	(265)	(410)	(392)	(319)	(499)	(240)	(305)	(573)	(426)	(481)	(1,369)	(5,580)
NET OTHER FINANCING SOURCES/(USES)	<u>318</u>	<u>221</u>	<u>165</u>	<u>325</u>	<u>260</u>	<u>161</u>	<u>313</u>	<u>350</u>	<u>136</u>	<u>87</u>	<u>97</u>	<u>(721)</u>	<u>1,712</u>
Excess/(Deficiency) of Receipts over Disbursements	<u>514</u>	<u>516</u>	<u>190</u>	<u>330</u>	<u>(43)</u>	<u>(1,134)</u>	<u>324</u>	<u>(196)</u>	<u>(758)</u>	<u>(18)</u>	<u>(182)</u>	<u>159</u>	<u>(298)</u>
CLOSING BALANCE	<u>2,914</u>	<u>3,430</u>	<u>3,620</u>	<u>3,950</u>	<u>3,907</u>	<u>2,773</u>	<u>3,097</u>	<u>2,901</u>	<u>2,143</u>	<u>2,125</u>	<u>1,943</u>	<u>2,102</u>	<u>2,102</u>

*Preliminary Results

CASHFLOW
SPECIAL REVENUE STATE FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	1,957	2,594	2,870	3,560	3,445	3,395	2,344	2,555	2,921	2,520	2,640	2,843	1,957
RECEIPTS:													
Personal Income Tax	0	0	497	0	0	102	9	38	2,623	0	0	1	3,270
User Taxes and Fees	225	134	174	178	164	225	188	167	196	185	144	114	2,094
Business Taxes	32	55	202	63	54	207	45	100	223	48	50	343	1,422
Other Taxes	137	119	80	100	118	68	92	115	99	146	195	96	1,365
Total Taxes	394	308	953	341	336	602	334	420	3,141	379	389	554	8,151
HCRA	380	325	339	318	323	288	354	318	318	318	318	278	3,877
State University Income	175	172	289	87	284	516	446	295	345	363	271	265	3,508
Lottery	227	228	283	204	208	637	176	221	264	221	221	303	3,193
Medicaid	62	65	60	58	61	63	68	60	75	60	60	53	745
Motor vehicle fees	43	27	45	37	34	36	33	36	36	31	35	47	440
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	145	206	316	215	216	390	326	268	466	202	192	282	3,224
Total Miscellaneous Receipts	1,032	1,023	1,332	919	1,126	1,930	1,403	1,198	1,504	1,195	1,097	1,228	14,987
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL RECEIPTS	1,426	1,331	2,285	1,260	1,462	2,532	1,737	1,618	4,645	1,574	1,486	1,783	23,139
DISBURSEMENTS:													
School Aid	0	0	322	0	0	2,015	85	85	85	85	85	331	3,093
Higher Education	0	0	0	0	0	0	0	0	0	0	0	23	23
All Other Education	2	3	0	1	1	1	0	2	2	2	2	2	18
STAR	0	0	497	0	0	103	9	38	2,623	0	0	0	3,270
Medicaid - DOH	163	443	306	388	446	431	533	332	358	353	322	407	4,482
Public Health	60	61	41	96	91	86	102	122	104	91	117	161	1,132
Mental Hygiene	70	31	66	122	84	90	126	47	189	125	45	240	1,235
Children and Families	1	0	0	0	0	0	0	0	0	0	0	0	1
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	204	222	85	591	262	436	258	397	1,032	196	191	313	4,187
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	15	12	25	28	213	36	18	12	41	21	27	122	570
Total Local Assistance Grants	515	772	1,342	1,226	1,097	3,198	1,131	1,035	4,434	873	789	1,599	18,011
Personal Service	312	260	246	308	391	503	438	159	346	424	397	297	4,081
Non-Personal Service	251	141	179	158	205	138	223	230	279	178	249	341	2,572
Total State Operations	563	401	425	466	596	641	661	389	625	602	646	638	6,653
General State Charges	27	77	38	80	37	163	74	62	150	84	57	178	1,027
Capital Projects	3	1	4	2	1	1	2	0	0	0	0	(12)	2
TOTAL DISBURSEMENTS	1,108	1,251	1,809	1,774	1,731	4,003	1,868	1,486	5,209	1,559	1,492	2,403	25,693
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	305	196	267	367	246	414	341	301	301	247	392	591	3,968
Transfers to other funds	14	0	(53)	32	(27)	6	1	(67)	(138)	(142)	(183)	(1,134)	(1,691)
NET OTHER FINANCING SOURCES/(USES)	319	196	214	399	219	420	342	234	163	105	209	(543)	2,277
Excess/(Deficiency) of Receipts over Disbursements	637	276	690	(115)	(50)	(1,051)	211	366	(401)	120	203	(1,163)	(277)
CLOSING BALANCE	2,594	2,870	3,560	3,445	3,395	2,344	2,555	2,921	2,520	2,640	2,843	1,680	1,680

*Preliminary Results

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	443	320	560	60	505	512	429	542	(20)	(377)	(515)	(900)	443
RECEIPTS:													
Miscellaneous Receipts	8	34	9	10	17	9	2	18	17	19	25	19	187
Federal Grants	3,723	3,147	3,693	4,048	4,061	4,635	3,542	3,364	4,304	3,652	3,495	6,321	47,985
TOTAL RECEIPTS	3,731	3,181	3,702	4,058	4,078	4,644	3,544	3,382	4,321	3,671	3,520	6,340	48,172
DISBURSEMENTS:													
School Aid	449	382	603	449	195	177	231	249	349	379	469	545	4,477
Higher Education	2	0	1	0	0	51	2	116	0	0	0	10	182
All Other Education	133	80	78	232	33	175	35	114	114	184	159	127	1,464
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,704	1,922	2,958	2,028	2,102	2,734	2,165	2,297	2,678	2,144	2,144	2,416	28,292
Public Health	100	78	77	139	103	185	72	100	90	88	88	190	1,310
Mental Hygiene	28	20	22	55	36	28	24	37	41	42	40	52	425
Children and Families	6	17	16	45	318	76	62	78	78	90	90	197	1,073
Temporary & Disability Assistance	77	82	44	84	946	244	381	370	405	415	345	272	3,665
Transportation	2	2	2	2	2	3	4	3	3	3	3	3	32
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	43	63	79	5	53	83	90	99	94	112	105	98	924
Total Local Assistance Grants	3,544	2,646	3,880	3,039	3,788	3,756	3,066	3,463	3,852	3,457	3,443	3,910	41,844
Personal Service	209	186	167	238	184	230	172	404	272	176	177	350	2,765
Non-Personal Service	96	94	79	80	130	243	150	184	223	142	133	291	1,845
Total State Operations	305	280	246	318	314	473	322	588	495	318	310	641	4,610
General State Charges	4	40	27	182	10	239	14	9	304	16	40	289	1,174
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,853	2,966	4,153	3,539	4,112	4,468	3,402	4,060	4,651	3,791	3,793	4,840	47,628
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	314	290	308	350	333	246	212	354	408	266	186	57	3,324
Transfers to other funds	(315)	(265)	(357)	(424)	(292)	(505)	(241)	(238)	(435)	(284)	(298)	(235)	(3,889)
NET OTHER FINANCING SOURCES/(USES)	(1)	25	(49)	(74)	41	(259)	(29)	116	(27)	(18)	(112)	(178)	(565)
Excess/(Deficiency) of Receipts over Disbursements	(123)	240	(500)	445	7	(83)	113	(562)	(357)	(138)	(385)	1,322	(21)
CLOSING BALANCE	320	560	60	505	512	429	542	(20)	(377)	(515)	(900)	422	422

*Preliminary Results

**CASHFLOW
DEBT SERVICE FUNDS
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	410	428	641	440	807	1,023	532	1,348	1,338	415	1,345	1,473	410
RECEIPTS:													
Taxes	1,258	494	1,190	775	800	1,192	757	701	1,363	2,015	897	830	12,272
Miscellaneous Receipts	67	35	75	42	62	122	64	64	65	64	64	66	790
Federal Grants	0	0	0	1	16	0	13	0	0	0	2	26	58
TOTAL RECEIPTS	1,325	529	1,265	818	878	1,314	834	765	1,428	2,079	963	922	13,120
DISBURSEMENTS:													
State Operations	6	1	5	14	2	10	1	2	17	0	7	27	92
Debt Service	515	160	291	108	274	843	104	247	1,026	104	404	1,395	5,471
TOTAL DISBURSEMENTS	521	161	296	122	276	853	105	249	1,043	104	411	1,422	5,563
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	809	385	438	877	369	589	928	329	496	831	319	654	7,024
Transfers to other funds	(1,595)	(540)	(1,608)	(1,206)	(755)	(1,541)	(841)	(855)	(1,804)	(1,876)	(743)	(1,263)	(14,627)
NET OTHER FINANCING SOURCES/(USES)	(786)	(155)	(1,170)	(329)	(386)	(952)	87	(526)	(1,308)	(1,045)	(424)	(609)	(7,603)
Excess/(Deficiency) of Receipts over Disbursements	18	213	(201)	367	216	(491)	816	(10)	(923)	930	128	(1,109)	(46)
CLOSING BALANCE	428	641	440	807	1,023	532	1,348	1,338	415	1,345	1,473	364	364

*Preliminary Results

**CASHFLOW
ALL FUNDS
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals*	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,860	7,322	5,415	3,632	4,944	4,990	5,030	5,771	4,121	2,439	7,969	8,144	4,860
RECEIPTS:													
Taxes	5,632	2,350	6,304	3,706	3,693	6,464	3,481	3,452	7,528	8,623	4,169	6,051	61,453
Miscellaneous Receipts	1,533	1,382	2,016	1,291	1,729	3,017	1,805	1,906	2,208	1,844	1,783	2,704	23,218
Federal Grants	3,827	3,293	3,906	4,283	4,310	4,902	3,790	3,564	4,493	3,810	3,632	6,755	50,565
TOTAL RECEIPTS	10,992	7,025	12,226	9,280	9,732	14,383	9,076	8,922	14,229	14,277	9,584	15,510	135,236
DISBURSEMENTS:													
School Aid	940	2,998	4,692	549	769	3,255	713	1,338	1,994	764	1,068	7,255	26,335
Higher Education	18	16	380	198	14	365	60	477	149	50	420	506	2,653
All Other Education	152	97	95	257	122	699	60	536	154	280	312	206	2,970
STAR	0	0	497	0	0	103	9	38	2,623	0	0	0	3,270
Medicaid - DOH	3,952	2,998	3,932	2,932	3,138	3,554	2,957	3,414	3,574	3,211	3,393	3,144	40,199
Public Health	200	169	240	251	259	388	191	268	323	209	235	495	3,228
Mental Hygiene	108	56	450	227	250	510	314	105	631	295	212	720	3,878
Children and Families	16	32	30	111	592	150	400	217	292	255	178	498	2,771
Temporary & Disability Assistance	138	222	105	146	1,060	327	533	480	558	477	351	420	4,817
Transportation	206	224	87	604	273	457	262	424	1,044	199	219	318	4,317
Unrestricted Aid	3	12	274	1	1	96	9	2	212	2	2	166	780
All Other	165	170	363	106	478	300	201	257	270	237	237	909	3,693
Total Local Assistance Grants	5,898	6,994	11,145	5,382	6,956	10,204	5,709	7,556	11,824	5,979	6,627	14,637	98,911
Personal Service	1,035	993	999	1,165	1,040	1,355	1,013	1,022	1,211	1,009	953	1,240	13,035
Non-Personal Service	496	344	414	423	517	566	496	582	699	532	558	831	6,458
Total State Operations	1,531	1,337	1,413	1,588	1,557	1,921	1,509	1,604	1,910	1,541	1,511	2,071	19,493
General State Charges	153	147	550	374	395	700	529	364	558	485	262	1,803	6,320
Debt service	515	160	291	108	274	843	104	247	1,026	104	404	1,395	5,471
Capital Projects	433	292	589	512	501	658	491	796	639	663	650	978	7,202
TOTAL DISBURSEMENTS	8,530	8,930	13,988	7,964	9,683	14,326	8,342	10,567	15,957	8,772	9,454	20,884	137,397
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,706	1,150	2,372	2,553	1,341	2,585	2,169	1,506	2,824	3,196	1,530	3,420	27,352
Transfers to other funds	(2,705)	(1,152)	(2,393)	(2,557)	(1,344)	(2,602)	(2,162)	(1,511)	(2,808)	(3,201)	(1,535)	(3,397)	(27,367)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	578	578
NET OTHER FINANCING SOURCES/(USES)	1	(2)	(21)	(4)	(3)	(17)	7	(5)	16	(5)	(5)	601	563
Excess/(Deficiency) of Receipts over Disbursements	2,462	(1,907)	(1,783)	1,312	46	40	741	(1,650)	(1,712)	5,500	125	(4,772)	(1,598)
CLOSING BALANCE	7,322	5,415	3,632	4,944	4,990	5,030	5,771	4,121	2,409	7,939	8,094	3,371	3,261
Net Proposed Reductions	0	0	0	0	0	0	0	0	30	30	50	205	315
Closing Fund Balance After Proposed Reductions	7,322	5,415	3,632	4,944	4,990	5,030	5,771	4,121	2,439	7,969	8,144	3,576	3,576

*Preliminary Results

**CASHFLOW
STATE FUNDS
2010-2011
(dollars in millions)**

	2010									2011			
	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals*	Projected	Projected	Projected	Projected	Projected	Total
OPENING BALANCE	<u>4,639</u>	<u>7,218</u>	<u>5,053</u>	<u>3,727</u>	<u>4,525</u>	<u>4,585</u>	<u>4,643</u>	<u>5,274</u>	<u>4,339</u>	<u>3,034</u>	<u>8,741</u>	<u>9,342</u>	<u>4,639</u>
RECEIPTS:													
Taxes	5,632	2,350	6,304	3,706	3,693	6,464	3,481	3,452	7,528	8,623	4,169	6,051	61,453
Miscellaneous Receipts	1,525	1,348	2,007	1,281	1,711	3,008	1,803	1,888	2,191	1,825	1,758	2,686	23,031
Federal Grants	1	13	0	1	16	0	27	0	14	0	2	51	125
TOTAL RECEIPTS	<u>7,158</u>	<u>3,711</u>	<u>8,311</u>	<u>4,988</u>	<u>5,420</u>	<u>9,472</u>	<u>5,311</u>	<u>5,340</u>	<u>9,733</u>	<u>10,448</u>	<u>5,929</u>	<u>8,788</u>	<u>84,609</u>
DISBURSEMENTS:													
School Aid	491	2,616	4,089	100	574	3,078	482	1,089	1,645	385	599	6,710	21,858
Higher Education	16	16	379	198	14	314	58	361	149	50	420	496	2,471
All Other Education	19	17	17	25	89	524	25	422	40	96	153	79	1,506
STAR	0	0	497	0	0	103	9	38	2,623	0	0	0	3,270
Medicaid - DOH	1,248	1,076	974	904	1,036	792	1,117	896	1,067	1,249	728	728	11,907
Public Health	100	91	163	112	156	203	119	168	233	121	147	305	1,918
Mental Hygiene	80	36	428	172	214	482	290	68	590	253	172	668	3,453
Children and Families	10	15	14	66	274	74	338	139	214	165	88	301	1,698
Temporary & Disability Assistance	61	140	61	62	114	83	152	110	153	62	6	148	1,152
Transportation	204	222	85	602	271	454	258	421	1,041	196	216	315	4,285
Unrestricted Aid	3	12	274	1	1	96	9	2	212	2	2	166	780
All Other	71	71	238	57	311	148	40	108	136	85	102	599	1,966
Total Local Assistance Grants	<u>2,303</u>	<u>4,312</u>	<u>7,219</u>	<u>2,299</u>	<u>3,054</u>	<u>6,379</u>	<u>2,572</u>	<u>4,043</u>	<u>7,932</u>	<u>2,482</u>	<u>3,154</u>	<u>10,515</u>	<u>56,264</u>
Personal Service	826	807	832	927	856	1,125	841	618	939	833	776	890	10,270
Non-Personal Service	400	250	335	343	387	323	346	398	476	390	425	540	4,613
Total State Operations	<u>1,226</u>	<u>1,057</u>	<u>1,167</u>	<u>1,270</u>	<u>1,243</u>	<u>1,448</u>	<u>1,187</u>	<u>1,016</u>	<u>1,415</u>	<u>1,223</u>	<u>1,201</u>	<u>1,430</u>	<u>14,883</u>
General State Charges	149	107	523	192	385	461	515	355	254	469	222	1,514	5,146
Debt service	515	160	291	108	274	843	104	247	1,026	104	404	1,395	5,471
Capital Projects	389	214	464	391	360	525	338	495	486	508	506	951	5,627
TOTAL DISBURSEMENTS	<u>4,582</u>	<u>5,850</u>	<u>9,664</u>	<u>4,260</u>	<u>5,316</u>	<u>9,656</u>	<u>4,716</u>	<u>6,156</u>	<u>11,113</u>	<u>4,786</u>	<u>5,487</u>	<u>15,805</u>	<u>87,391</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,393	861	2,064	2,203	1,008	2,339	1,957	1,152	2,416	2,930	1,344	3,361	24,028
Transfers to other funds	(2,390)	(887)	(2,037)	(2,133)	(1,052)	(2,097)	(1,921)	(1,271)	(2,371)	(2,915)	(1,235)	(3,157)	(23,466)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	578	578
NET OTHER FINANCING SOURCES/(USES)	<u>3</u>	<u>(26)</u>	<u>27</u>	<u>70</u>	<u>(44)</u>	<u>242</u>	<u>36</u>	<u>(119)</u>	<u>45</u>	<u>15</u>	<u>109</u>	<u>782</u>	<u>1,140</u>
Excess/(Deficiency) of Receipts over Disbursements	2,579	(2,165)	(1,326)	798	60	58	631	(935)	(1,335)	5,677	551	(6,235)	(1,642)
CLOSING BALANCE	<u>7,218</u>	<u>5,053</u>	<u>3,727</u>	<u>4,525</u>	<u>4,585</u>	<u>4,643</u>	<u>5,274</u>	<u>4,339</u>	<u>3,004</u>	<u>8,711</u>	<u>9,292</u>	<u>3,107</u>	<u>2,997</u>
Net Proposed Reductions	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>30</u>	<u>30</u>	<u>50</u>	<u>205</u>	<u>315</u>
Closing Fund Balance After Proposed Reductions	<u>7,218</u>	<u>5,053</u>	<u>3,727</u>	<u>4,525</u>	<u>4,585</u>	<u>4,643</u>	<u>5,274</u>	<u>4,339</u>	<u>3,034</u>	<u>8,741</u>	<u>9,342</u>	<u>3,312</u>	<u>3,312</u>

*Preliminary Results

**CASHFLOW
GENERAL FUND
2011-2012
(dollars in millions)**

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
RECEIPTS:													
Personal Income Tax	3,965	901	2,337	1,686	1,760	2,725	1,728	1,399	534	5,445	1,914	1,646	26,040
User Taxes and Fees	685	665	940	713	708	904	681	675	882	743	620	819	9,035
Business Taxes	91	106	1,239	107	126	1,287	132	102	1,311	129	121	1,701	6,452
Other Taxes	82	82	83	83	83	83	82	82	82	82	82	83	989
Total Taxes	4,823	1,754	4,599	2,589	2,677	4,999	2,623	2,258	2,809	6,399	2,737	4,249	42,516
Licenses, Fees, etc.	50	46	51	47	49	51	47	49	46	47	52	52	587
Abandoned Property	9	0	29	16	27	75	16	130	38	69	56	180	645
ABC License Fee	5	4	4	5	4	5	3	3	3	4	5	9	54
Motor vehicle fees	0	0	0	0	0	0	0	0	0	0	0	0	49
Reimbursements	9	12	27	9	17	24	14	15	28	10	15	42	222
Investment Income	1	(2)	4	0	2	0	(3)	1	1	2	1	3	10
Other Transactions	34	43	91	50	313	95	45	41	89	39	68	346	1,254
Total Miscellaneous Receipts	108	103	206	127	412	250	122	239	205	171	197	681	2,821
Federal Grants	0	0	15	0	0	15	0	0	15	0	0	15	60
PIT in Excess of Revenue Bond Debt Service	1,322	127	910	527	217	1,039	399	173	1,115	1,287	174	861	8,151
Sales Tax in Excess of LGAC Debt Service	185	103	360	208	135	275	216	211	271	234	2	163	2,363
Real Estate Taxes in Excess of CW/CA Debt Service	34	36	35	41	36	29	24	24	24	24	24	23	354
All Other	19	12	40	87	12	14	21	29	12	128	145	273	792
Total Transfers from Other Funds	1,560	278	1,345	863	400	1,357	660	437	1,422	1,673	345	1,320	11,660
TOTAL RECEIPTS	6,491	2,135	6,165	3,579	3,489	6,621	3,405	2,934	4,451	8,243	3,279	6,265	57,057
DISBURSEMENTS:													
School Aid	430	2,640	1,785	80	560	1,200	590	910	1,610	540	840	8,773	19,958
Higher Education	34	25	598	40	290	69	471	44	279	54	379	537	2,820
All Other Education	98	102	192	133	117	150	252	130	121	127	98	306	1,826
Medicaid - DOH	1,154	1,117	968	997	1,121	881	883	1,176	1,011	1,278	1,347	1,232	13,165
Public Health	43	42	170	43	42	121	34	26	103	24	24	109	781
Mental Hygiene	0	0	379	0	110	401	90	1	380	98	129	427	2,015
Children and Families	90	96	116	110	224	110	339	101	127	185	91	304	1,893
Temporary & Disability Assistance	118	118	155	116	116	158	118	118	142	118	57	194	1,528
Transportation	0	11	28	1	16	3	0	20	4	0	10	7	100
Unrestricted Aid	2	13	294	2	2	159	11	2	433	2	2	148	1,070
All Other	23	21	204	(2)	34	132	(44)	24	48	33	25	358	856
Total Local Assistance Grants	1,992	4,185	4,889	1,520	2,632	3,384	2,744	2,552	4,258	2,459	3,002	12,395	46,012
Personal Service	542	541	533	593	648	656	445	488	459	481	435	838	6,659
Non-Personal Service	192	167	166	190	191	149	157	152	143	178	168	237	2,090
Total State Operations	734	708	699	783	839	805	602	640	602	659	603	1,075	8,749
General State Charges	437	327	103	413	389	78	429	327	83	423	232	1,342	4,583
Debt Service	493	(9)	0	494	(3)	(117)	610	0	(1)	473	(14)	(160)	1,766
Capital Projects	28	39	(42)	47	53	(46)	81	74	(56)	81	76	862	1,197
State Share Medicaid	261	231	183	298	217	338	272	171	344	214	221	263	3,013
Other Purposes	120	21	8	63	42	7	139	50	45	34	11	271	811
Total Transfers to Other Funds	902	282	149	902	309	182	1,102	295	332	802	294	1,236	6,787
TOTAL DISBURSEMENTS	4,065	5,502	5,840	3,618	4,169	4,449	4,877	3,814	5,275	4,343	4,131	16,048	66,131
Excess/(Deficiency) of Receipts over Disbursements	2,426	(3,367)	325	(39)	(680)	2,172	(1,472)	(880)	(824)	3,900	(852)	(9,783)	(9,074)

CASHFLOW
STATE OPERATING FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
RECEIPTS:													
Taxes	6,845	2,647	6,758	3,777	3,889	6,989	3,809	3,429	7,492	8,884	4,025	5,619	64,163
Miscellaneous Receipts	1,267	1,186	1,464	1,371	1,706	1,838	1,406	1,438	1,389	1,516	1,480	2,425	18,486
Federal Grants	0	0	16	2	20	15	16	0	15	0	2	46	132
TOTAL RECEIPTS	8,112	3,833	8,238	5,150	5,615	8,842	5,231	4,867	8,896	10,400	5,507	8,090	82,781
DISBURSEMENTS:													
School Aid	430	2,850	1,785	80	560	3,150	687	1,007	1,707	637	937	8,865	22,695
Higher Education	34	25	598	40	290	69	471	44	279	54	379	553	2,836
All Other Education	99	103	193	134	118	151	253	131	122	128	99	311	1,842
STAR	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418
Medicaid - DOH	1,447	1,579	1,096	1,125	1,597	1,260	1,255	1,599	1,347	1,545	1,971	1,782	17,603
Public Health	120	139	474	140	146	207	111	125	193	97	122	205	2,079
Mental Hygiene	84	53	466	171	224	536	294	50	642	263	189	737	3,709
Children and Families	90	96	116	110	224	110	339	101	128	185	91	305	1,895
Temporary & Disability Assistance	118	118	155	116	116	158	118	118	142	118	57	194	1,528
Transportation	282	536	310	282	540	284	305	583	646	156	265	150	4,339
Unrestricted Aid	2	13	294	2	2	159	11	2	433	2	2	148	1,070
All Other	62	53	239	89	80	187	(19)	52	99	74	49	496	1,461
Total Local Assistance Grants	2,768	5,565	6,118	2,289	3,897	6,449	3,854	3,905	8,464	3,259	4,161	13,746	64,475
Personal Service	965	932	914	888	979	1,056	876	883	828	808	864	1,267	11,260
Non-Personal Service	428	355	406	404	363	389	378	374	426	373	390	504	4,790
Total State Operations	1,393	1,287	1,320	1,292	1,342	1,445	1,254	1,257	1,254	1,181	1,254	1,771	16,050
General State Charges	515	383	227	459	451	328	508	375	232	493	290	1,488	5,749
Debt service	545	166	322	112	297	955	114	260	1,097	108	450	1,613	6,039
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2
TOTAL DISBURSEMENTS	5,221	7,401	7,987	4,152	5,987	9,177	5,730	5,797	11,047	5,041	6,155	18,620	92,315
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,802	856	1,819	2,283	1,029	2,200	2,113	1,006	2,350	2,769	895	2,760	22,882
Transfers to other funds	(2,661)	(833)	(1,774)	(1,976)	(1,194)	(1,878)	(1,876)	(1,080)	(2,257)	(2,774)	(970)	(3,215)	(22,488)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	141	23	45	307	(165)	322	237	(74)	93	(5)	(75)	(455)	394
Excess/(Deficiency) of Receipts over Disbursements	3,032	(3,545)	296	1,305	(537)	(13)	(262)	(1,004)	(2,058)	5,354	(723)	(10,985)	(9,140)

CASHFLOW
CAPITAL PROJECTS FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2010 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>(275)</u>	<u>(296)</u>	<u>(328)</u>	<u>(360)</u>	<u>(381)</u>	<u>(379)</u>	<u>(539)</u>	<u>(550)</u>	<u>(557)</u>	<u>(594)</u>	<u>(613)</u>	<u>(589)</u>	<u>(275)</u>
RECEIPTS:													
Taxes	92	93	125	116	123	135	110	107	126	107	102	117	1,353
Miscellaneous Receipts	320	303	338	336	329	351	344	323	334	334	292	644	4,248
Federal Grants	152	152	192	192	232	232	232	232	192	192	152	157	2,309
TOTAL RECEIPTS	<u>564</u>	<u>548</u>	<u>655</u>	<u>644</u>	<u>684</u>	<u>718</u>	<u>686</u>	<u>662</u>	<u>652</u>	<u>633</u>	<u>546</u>	<u>918</u>	<u>7,910</u>
DISBURSEMENTS:													
Local Assistance Grants	72	67	104	85	94	117	94	94	103	84	74	433	1,421
Total Local Assistance Grants	<u>72</u>	<u>67</u>	<u>104</u>	<u>85</u>	<u>94</u>	<u>117</u>	<u>94</u>	<u>94</u>	<u>103</u>	<u>84</u>	<u>74</u>	<u>433</u>	<u>1,421</u>
Economic Development	64	52	50	64	57	50	65	52	45	64	42	185	790
Parks & the Environment	19	20	22	20	21	20	22	20	19	22	20	141	366
Transportation	228	228	309	262	296	343	296	296	309	262	228	274	3,331
Health & Social Welfare	40	39	40	51	39	39	76	64	24	86	75	160	733
Mental Hygiene	5	6	10	12	16	9	11	11	12	11	11	16	130
Public Protection	32	31	31	31	31	31	31	31	31	31	31	28	370
Education	92	92	94	92	96	92	92	92	96	92	92	90	1,112
All Other	26	31	32	32	31	30	30	29	29	27	27	39	363
Total Capital Projects	<u>506</u>	<u>499</u>	<u>588</u>	<u>564</u>	<u>587</u>	<u>614</u>	<u>623</u>	<u>595</u>	<u>565</u>	<u>595</u>	<u>526</u>	<u>933</u>	<u>7,195</u>
TOTAL DISBURSEMENTS	<u>578</u>	<u>566</u>	<u>692</u>	<u>649</u>	<u>681</u>	<u>731</u>	<u>717</u>	<u>689</u>	<u>668</u>	<u>679</u>	<u>600</u>	<u>1,366</u>	<u>8,616</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	47	40	59	47	53	44	82	74	33	81	76	1,016	1,652
Transfers to other funds	(95)	(95)	(95)	(104)	(95)	(232)	(103)	(95)	(95)	(95)	(39)	(320)	(1,463)
Bond and note proceeds	41	41	41	41	41	41	41	41	41	41	41	37	488
NET OTHER FINANCING SOURCES/(USES)	<u>(7)</u>	<u>(14)</u>	<u>5</u>	<u>(16)</u>	<u>(1)</u>	<u>(147)</u>	<u>20</u>	<u>20</u>	<u>(21)</u>	<u>27</u>	<u>78</u>	<u>733</u>	<u>677</u>
Excess/(Deficiency) of Receipts over Disbursements	(21)	(32)	(32)	(21)	2	(160)	(11)	(7)	(37)	(19)	24	285	(29)
CLOSING BALANCE	<u>(296)</u>	<u>(328)</u>	<u>(360)</u>	<u>(381)</u>	<u>(379)</u>	<u>(539)</u>	<u>(550)</u>	<u>(557)</u>	<u>(594)</u>	<u>(613)</u>	<u>(589)</u>	<u>(304)</u>	<u>(304)</u>

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>(117)</u>	<u>(146)</u>	<u>(186)</u>	<u>(220)</u>	<u>(259)</u>	<u>(287)</u>	<u>(459)</u>	<u>(498)</u>	<u>(531)</u>	<u>(571)</u>	<u>(608)</u>	<u>(592)</u>	<u>(117)</u>
RECEIPTS:													
Taxes	92	93	125	116	123	135	110	107	126	107	102	117	1,353
Miscellaneous Receipts	320	303	338	336	329	351	344	323	334	334	292	644	4,248
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	5	5
TOTAL RECEIPTS	<u>412</u>	<u>396</u>	<u>463</u>	<u>452</u>	<u>452</u>	<u>486</u>	<u>454</u>	<u>430</u>	<u>460</u>	<u>441</u>	<u>394</u>	<u>766</u>	<u>5,606</u>
DISBURSEMENTS:													
Local Assistance Grants	20	15	43	23	23	45	22	20	42	22	22	258	555
Total Local Assistance Grants	<u>20</u>	<u>15</u>	<u>43</u>	<u>23</u>	<u>23</u>	<u>45</u>	<u>22</u>	<u>20</u>	<u>42</u>	<u>22</u>	<u>22</u>	<u>258</u>	<u>555</u>
Economic Development	64	52	50	64	57	50	65	52	45	64	42	185	790
Parks & the Environment	18	19	21	19	19	19	21	19	18	21	19	138	351
Transportation	145	145	189	159	174	204	174	174	189	159	145	173	2,030
Health & Social Welfare	40	39	40	51	39	39	76	64	24	86	75	107	680
Mental Hygiene	5	6	10	12	16	9	11	11	12	11	11	16	130
Public Protection	28	28	28	28	28	28	28	28	28	28	28	32	340
Education	92	92	94	92	96	92	92	92	96	92	92	90	1,112
All Other	23	27	28	28	28	26	25	24	26	23	23	31	312
Total Capital Projects	<u>415</u>	<u>408</u>	<u>460</u>	<u>453</u>	<u>457</u>	<u>467</u>	<u>492</u>	<u>464</u>	<u>438</u>	<u>484</u>	<u>435</u>	<u>772</u>	<u>5,745</u>
TOTAL DISBURSEMENTS	<u>435</u>	<u>423</u>	<u>503</u>	<u>476</u>	<u>480</u>	<u>512</u>	<u>514</u>	<u>484</u>	<u>480</u>	<u>506</u>	<u>457</u>	<u>1,030</u>	<u>6,300</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	47	40	59	47	53	44	82	74	33	81	76	1,016	1,652
Transfers to other funds	(94)	(94)	(94)	(103)	(94)	(231)	(102)	(94)	(94)	(94)	(38)	(319)	(1,451)
Bond and note proceeds	41	41	41	41	41	41	41	41	41	41	41	37	488
NET OTHER FINANCING SOURCES/(USES)	<u>(6)</u>	<u>(13)</u>	<u>6</u>	<u>(15)</u>	<u>0</u>	<u>(146)</u>	<u>21</u>	<u>21</u>	<u>(20)</u>	<u>28</u>	<u>79</u>	<u>734</u>	<u>689</u>
Excess/(Deficiency) of Receipts over Disbursements	<u>(29)</u>	<u>(40)</u>	<u>(34)</u>	<u>(39)</u>	<u>(28)</u>	<u>(172)</u>	<u>(39)</u>	<u>(33)</u>	<u>(40)</u>	<u>(37)</u>	<u>16</u>	<u>470</u>	<u>(5)</u>
CLOSING BALANCE	<u>(146)</u>	<u>(186)</u>	<u>(220)</u>	<u>(259)</u>	<u>(287)</u>	<u>(459)</u>	<u>(498)</u>	<u>(531)</u>	<u>(571)</u>	<u>(608)</u>	<u>(592)</u>	<u>(122)</u>	<u>(122)</u>

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(158)	(150)	(142)	(140)	(122)	(92)	(80)	(52)	(26)	(23)	(5)	3	(158)
RECEIPTS:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	152	152	192	192	232	232	232	232	192	192	152	152	2,304
TOTAL RECEIPTS	<u>152</u>	<u>152</u>	<u>192</u>	<u>192</u>	<u>232</u>	<u>232</u>	<u>232</u>	<u>232</u>	<u>192</u>	<u>192</u>	<u>152</u>	<u>152</u>	<u>2,304</u>
DISBURSEMENTS:													
Local Assistance Grants	52	52	61	62	71	72	72	74	61	62	52	175	866
Total Local Assistance Grants	<u>52</u>	<u>52</u>	<u>61</u>	<u>62</u>	<u>71</u>	<u>72</u>	<u>72</u>	<u>74</u>	<u>61</u>	<u>62</u>	<u>52</u>	<u>175</u>	<u>866</u>
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	1	1	2	1	1	1	1	1	1	3	15
Transportation	83	83	120	103	122	139	122	122	120	103	83	101	1,301
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	53	53
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	4	3	3	3	3	3	3	3	3	3	3	(4)	30
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	4	4	4	3	4	5	5	3	4	4	8	51
Total Capital Projects	<u>91</u>	<u>91</u>	<u>128</u>	<u>111</u>	<u>130</u>	<u>147</u>	<u>131</u>	<u>131</u>	<u>127</u>	<u>111</u>	<u>91</u>	<u>161</u>	<u>1,450</u>
TOTAL DISBURSEMENTS	<u>143</u>	<u>143</u>	<u>189</u>	<u>173</u>	<u>201</u>	<u>219</u>	<u>203</u>	<u>205</u>	<u>188</u>	<u>173</u>	<u>143</u>	<u>336</u>	<u>2,316</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to other funds	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(12)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>	<u>(12)</u>
Excess/(Deficiency) of Receipts over Disbursements	<u>8</u>	<u>8</u>	<u>2</u>	<u>18</u>	<u>30</u>	<u>12</u>	<u>28</u>	<u>26</u>	<u>3</u>	<u>18</u>	<u>8</u>	<u>(185)</u>	<u>(24)</u>
CLOSING BALANCE	<u>(150)</u>	<u>(142)</u>	<u>(140)</u>	<u>(122)</u>	<u>(92)</u>	<u>(80)</u>	<u>(52)</u>	<u>(26)</u>	<u>(23)</u>	<u>(5)</u>	<u>3</u>	<u>(182)</u>	<u>(182)</u>

CASHFLOW
SPECIAL REVENUE FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	<u>2,102</u>	<u>2,635</u>	<u>2,434</u>	<u>2,377</u>	<u>3,006</u>	<u>3,446</u>	<u>1,758</u>	<u>1,877</u>	<u>1,866</u>	<u>1,461</u>	<u>2,055</u>	<u>2,160</u>	<u>2,102</u>
RECEIPTS:													
Personal Income Tax	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418
User Taxes and Fees	255	170	211	208	186	206	179	170	209	189	157	112	2,252
Business Taxes	50	52	237	58	64	248	58	52	253	56	55	310	1,493
Other Taxes	141	121	82	110	124	74	89	118	101	163	212	108	1,443
Total Taxes	<u>446</u>	<u>343</u>	<u>922</u>	<u>376</u>	<u>374</u>	<u>706</u>	<u>355</u>	<u>433</u>	<u>3,289</u>	<u>408</u>	<u>424</u>	<u>530</u>	<u>8,606</u>
HCRA	330	326	326	326	326	326	326	326	326	326	326	516	4,106
State University Income	229	209	227	226	333	557	346	243	243	435	322	226	3,596
Lottery	192	192	237	192	237	193	201	248	201	201	248	583	2,925
Medicaid	62	62	62	62	62	62	62	62	62	62	62	68	750
Motor vehicle fess	43	27	47	37	36	36	35	35	35	31	35	45	442
Other receipts	244	243	292	369	249	304	261	232	263	237	237	243	3,174
Total Miscellaneous Receipts	<u>1,100</u>	<u>1,059</u>	<u>1,191</u>	<u>1,212</u>	<u>1,243</u>	<u>1,478</u>	<u>1,231</u>	<u>1,146</u>	<u>1,130</u>	<u>1,292</u>	<u>1,230</u>	<u>1,681</u>	<u>14,993</u>
Federal Grants	4,239	3,667	4,138	3,436	3,902	3,433	3,500	3,784	3,474	3,159	3,641	3,467	43,840
TOTAL RECEIPTS	<u>5,785</u>	<u>5,069</u>	<u>6,251</u>	<u>5,024</u>	<u>5,519</u>	<u>5,617</u>	<u>5,086</u>	<u>5,363</u>	<u>7,893</u>	<u>4,859</u>	<u>5,295</u>	<u>5,678</u>	<u>67,439</u>
DISBURSEMENTS:													
School Aid	348	558	348	348	348	2,298	445	445	431	335	335	358	6,597
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	32
All Other Education	105	105	105	105	105	105	105	105	105	74	74	83	1,176
STAR	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418
Medicaid - DOH	3,077	2,698	2,923	2,047	2,875	2,298	2,291	2,822	2,255	2,186	3,023	2,470	30,965
Public Health	172	200	404	199	211	189	185	205	195	173	197	196	2,526
Mental Hygiene	112	78	130	231	149	171	237	70	291	200	87	372	2,128
Children and Families	59	85	60	64	125	64	63	65	92	77	77	136	967
Temporary & Disability Assistance	268	308	256	249	249	298	395	290	260	260	248	239	3,320
Transportation	285	528	285	284	527	284	308	566	645	159	258	142	4,271
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	99	95	125	150	113	101	79	98	120	92	61	209	1,342
Total Local Assistance Grants	<u>4,525</u>	<u>4,655</u>	<u>5,028</u>	<u>3,677</u>	<u>4,702</u>	<u>5,986</u>	<u>4,137</u>	<u>4,759</u>	<u>7,120</u>	<u>3,556</u>	<u>4,360</u>	<u>4,237</u>	<u>56,742</u>
Personal Service	607	553	567	532	506	590	613	557	646	490	598	640	6,899
Non-Personal Service	334	286	416	321	298	411	356	334	478	315	333	446	4,328
Total State Operations	<u>941</u>	<u>839</u>	<u>983</u>	<u>853</u>	<u>804</u>	<u>1,001</u>	<u>969</u>	<u>891</u>	<u>1,124</u>	<u>805</u>	<u>931</u>	<u>1,086</u>	<u>11,227</u>
General State Charges	93	72	381	59	75	474	92	60	387	92	70	399	2,254
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2
TOTAL DISBURSEMENTS	<u>5,559</u>	<u>5,566</u>	<u>6,392</u>	<u>4,589</u>	<u>5,581</u>	<u>7,461</u>	<u>5,198</u>	<u>5,710</u>	<u>8,631</u>	<u>4,453</u>	<u>5,361</u>	<u>5,724</u>	<u>70,225</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	578	542	391	643	764	608	552	588	821	567	580	708	7,342
Transfers to other funds	(271)	(246)	(307)	(449)	(262)	(452)	(321)	(252)	(488)	(379)	(409)	(771)	(4,607)
NET OTHER FINANCING SOURCES/(USES)	<u>307</u>	<u>296</u>	<u>84</u>	<u>194</u>	<u>502</u>	<u>156</u>	<u>231</u>	<u>336</u>	<u>333</u>	<u>188</u>	<u>171</u>	<u>(63)</u>	<u>2,735</u>
Excess/(Deficiency) of Receipts over Disbursements	533	(201)	(57)	629	440	(1,688)	119	(11)	(405)	594	105	(109)	(51)
CLOSING BALANCE	<u>2,635</u>	<u>2,434</u>	<u>2,377</u>	<u>3,006</u>	<u>3,446</u>	<u>1,758</u>	<u>1,877</u>	<u>1,866</u>	<u>1,461</u>	<u>2,055</u>	<u>2,160</u>	<u>2,051</u>	<u>2,051</u>

CASHFLOW
SPECIAL REVENUE STATE FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	1,680	2,106	1,744	1,970	2,682	2,753	1,267	1,447	1,238	990	1,454	1,368	1,680
RECEIPTS:													
Personal Income Tax	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418
User Taxes and Fees	255	170	211	208	186	206	179	170	209	189	157	112	2,252
Business Taxes	50	52	237	58	64	248	58	52	253	56	55	310	1,493
Other Taxes	141	121	82	110	124	74	89	118	101	163	212	108	1,443
Total Taxes	446	343	922	376	374	706	355	433	3,289	408	424	530	8,606
HCRA	330	326	326	326	326	326	326	326	326	326	326	516	4,106
State University Income	229	209	227	226	333	557	346	243	243	435	322	226	3,596
Lottery	192	192	237	192	237	193	201	248	201	201	248	583	2,925
Medicaid	62	62	62	62	62	62	62	62	62	62	62	68	750
Motor vehicle fees	43	27	47	37	36	36	35	35	35	31	35	45	442
Other receipts	232	231	280	357	237	291	249	220	251	225	225	239	3,037
Total Miscellaneous Receipts	1,088	1,047	1,179	1,200	1,231	1,465	1,219	1,134	1,118	1,280	1,218	1,677	14,856
Federal Grants	0	0	1	0	0	0	0	0	0	0	0	0	1
TOTAL RECEIPTS	1,534	1,390	2,102	1,576	1,605	2,171	1,574	1,567	4,407	1,688	1,642	2,207	23,463
DISBURSEMENTS:													
School Aid	0	210	0	0	0	1,950	97	97	97	97	97	92	2,737
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	16
All Other Education	1	1	1	1	1	1	1	1	1	1	1	5	16
STAR	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418
Medicaid - DOH	293	462	128	128	476	379	372	423	336	267	624	550	4,438
Public Health	77	97	304	97	104	86	77	99	90	73	98	96	1,298
Mental Hygiene	84	53	87	171	114	135	204	49	262	165	60	310	1,694
Children and Families	0	0	0	0	0	0	0	0	1	0	0	1	2
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	282	525	282	281	524	281	305	563	642	156	255	143	4,239
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	39	32	35	91	46	55	25	28	51	41	24	138	605
Total Local Assistance Grants	776	1,380	1,229	769	1,265	3,065	1,110	1,353	4,206	800	1,159	1,351	18,463
Personal Service	423	391	381	295	331	400	431	395	369	327	429	429	4,601
Non-Personal Service	230	187	235	200	170	230	220	220	266	195	215	240	2,608
Total State Operations	653	578	616	495	501	630	651	615	635	522	644	669	7,209
General State Charges	78	56	124	46	62	250	79	48	149	70	58	146	1,166
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2
TOTAL DISBURSEMENTS	1,507	2,014	1,969	1,310	1,828	3,945	1,840	2,016	4,990	1,392	1,861	2,168	26,840
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	398	254	200	465	286	376	438	248	416	276	261	887	4,505
Transfers to other funds	1	8	(107)	(19)	8	(88)	8	(8)	(81)	(108)	(128)	(669)	(1,183)
NET OTHER FINANCING SOURCES/(USES)	399	262	93	446	294	288	446	240	335	168	133	218	3,322
Excess/(Deficiency) of Receipts over Disbursements	426	(362)	226	712	71	(1,486)	180	(209)	(248)	464	(86)	257	(55)
CLOSING BALANCE	2,106	1,744	1,970	2,682	2,753	1,267	1,447	1,238	990	1,454	1,368	1,625	1,625

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	422	529	690	407	324	693	491	430	628	471	601	792	422
RECEIPTS:													
Miscellaneous Receipts	12	12	12	12	12	13	12	12	12	12	12	4	137
Federal Grants	4,239	3,667	4,137	3,436	3,902	3,433	3,500	3,784	3,474	3,159	3,641	3,467	43,839
TOTAL RECEIPTS	4,251	3,679	4,149	3,448	3,914	3,446	3,512	3,796	3,486	3,171	3,653	3,471	43,976
DISBURSEMENTS:													
School Aid	348	348	348	348	348	348	348	348	334	238	238	266	3,860
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	16
All Other Education	104	104	104	104	104	104	104	104	104	73	73	78	1,160
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,784	2,236	2,795	1,919	2,399	1,919	1,919	2,399	1,919	1,919	2,399	1,920	26,527
Public Health	95	103	100	102	107	103	108	106	105	100	99	100	1,228
Mental Hygiene	28	25	43	60	35	36	33	21	29	35	27	62	434
Children and Families	59	85	60	64	125	64	63	65	91	77	77	135	965
Temporary & Disability Assistance	268	308	256	249	249	298	395	290	260	260	248	239	3,320
Transportation	3	3	3	3	3	3	3	3	3	3	3	(1)	32
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	60	63	90	59	67	46	54	70	69	51	37	71	737
Total Local Assistance Grants	3,749	3,275	3,799	2,908	3,437	2,921	3,027	3,406	2,914	2,756	3,201	2,886	38,279
Personal Service	184	162	186	237	175	190	182	162	277	163	169	211	2,298
Non-Personal Service	104	99	181	121	128	181	136	114	212	120	118	206	1,720
Total State Operations	288	261	367	358	303	371	318	276	489	283	287	417	4,018
General State Charges	15	16	257	13	13	224	13	12	238	22	12	253	1,088
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,052	3,552	4,423	3,279	3,753	3,516	3,358	3,694	3,641	3,061	3,500	3,556	43,385
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	180	288	191	178	478	232	114	340	405	291	319	(179)	2,837
Transfers to other funds	(272)	(254)	(200)	(430)	(270)	(364)	(329)	(244)	(407)	(271)	(281)	(102)	(3,424)
NET OTHER FINANCING SOURCES/(USES)	(92)	34	(9)	(252)	208	(132)	(215)	96	(2)	20	38	(281)	(587)
Excess/(Deficiency) of Receipts over Disbursements	107	161	(283)	(83)	369	(202)	(61)	198	(157)	130	191	(366)	4
CLOSING BALANCE	529	690	407	324	693	491	430	628	471	601	792	426	426

CASHFLOW
DEBT SERVICE FUNDS
2011-2012
(dollars in millions)

	2010 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	364	544	728	473	1,105	1,177	478	1,508	1,593	607	1,597	1,812	364
RECEIPTS:													
Taxes	1,576	550	1,237	812	838	1,284	831	738	1,394	2,077	864	840	13,041
Miscellaneous Receipts	71	36	79	44	63	123	65	65	66	65	65	67	809
Federal Grants	0	0	0	2	20	0	16	0	0	0	2	31	71
TOTAL RECEIPTS	1,647	586	1,316	858	921	1,407	912	803	1,460	2,142	931	938	13,921
DISBURSEMENTS:													
State Operations	6	1	5	14	2	10	1	2	17	0	7	27	92
Debt Service	545	166	322	112	297	955	114	260	1,097	108	450	1,613	6,039
TOTAL DISBURSEMENTS	551	167	327	126	299	965	115	262	1,114	108	457	1,640	6,131
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	844	324	274	955	343	467	1,015	321	512	820	289	553	6,717
Transfers to other funds	(1,760)	(559)	(1,518)	(1,055)	(893)	(1,608)	(782)	(777)	(1,844)	(1,864)	(548)	(1,310)	(14,518)
NET OTHER FINANCING SOURCES/(USES)	(916)	(235)	(1,244)	(100)	(550)	(1,141)	233	(456)	(1,332)	(1,044)	(259)	(757)	(7,801)
Excess/(Deficiency) of Receipts over Disbursements	180	184	(255)	632	72	(699)	1,030	85	(986)	990	215	(1,459)	(11)
CLOSING BALANCE	544	728	473	1,105	1,177	478	1,508	1,593	607	1,597	1,812	353	353

**CASHFLOW
ALL FUNDS
2011-2012
(dollars in millions)**

	2011												
	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Total
RECEIPTS:													
Taxes	6,937	2,740	6,883	3,893	4,012	7,124	3,919	3,536	7,618	8,991	4,127	5,736	65,516
Miscellaneous Receipts	1,599	1,501	1,814	1,719	2,047	2,202	1,762	1,773	1,735	1,862	1,784	3,073	22,871
Federal Grants	4,391	3,819	4,345	3,630	4,154	3,680	3,748	4,016	3,681	3,351	3,795	3,670	46,280
TOTAL RECEIPTS	12,927	8,060	13,042	9,242	10,213	13,006	9,429	9,325	13,034	14,204	9,706	12,479	134,667
DISBURSEMENTS:													
School Aid	778	3,198	2,133	428	908	3,498	1,035	1,355	2,041	875	1,175	9,131	26,555
Higher Education	34	25	598	40	290	69	471	44	279	54	379	569	2,852
All Other Education	203	207	297	238	222	255	357	235	226	201	172	389	3,002
STAR	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418
Medicaid - DOH	4,231	3,815	3,891	3,044	3,996	3,179	3,174	3,998	3,266	3,464	4,370	3,702	44,130
Public Health	215	242	574	242	253	310	219	231	298	197	221	305	3,307
Mental Hygiene	112	78	509	231	259	572	327	71	671	298	216	799	4,143
Children and Families	149	181	176	174	349	174	402	166	219	262	168	440	2,860
Temporary & Disability Assistance	386	426	411	365	365	456	513	408	402	378	305	433	4,848
Transportation	285	539	313	285	543	287	308	586	649	159	268	149	4,371
Unrestricted Aid	2	13	294	2	2	159	11	2	433	2	2	148	1,070
All Other	194	183	433	233	241	350	129	216	271	209	160	1,000	3,619
Total Local Assistance Grants	6,589	8,907	10,021	5,282	7,428	9,487	6,975	7,405	11,481	6,099	7,436	17,065	104,175
Personal Service	1,149	1,094	1,100	1,125	1,154	1,246	1,058	1,045	1,105	971	1,033	1,478	13,558
Non-Personal Service	532	454	587	525	491	570	514	488	638	493	508	710	6,510
Total State Operations	1,681	1,548	1,687	1,650	1,645	1,816	1,572	1,533	1,743	1,464	1,541	2,188	20,068
General State Charges	530	399	484	472	464	552	521	387	470	515	302	1,741	6,837
Debt service	545	166	322	112	297	955	114	260	1,097	108	450	1,613	6,039
Capital Projects	506	499	588	564	587	614	623	595	565	595	526	935	7,197
TOTAL DISBURSEMENTS	9,851	11,519	13,102	8,080	10,421	13,424	9,805	10,180	15,356	8,781	10,255	23,542	144,316
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,029	1,184	2,069	2,508	1,560	2,476	2,309	1,420	2,788	3,141	1,290	3,597	27,371
Transfers to other funds	(3,028)	(1,182)	(2,069)	(2,510)	(1,559)	(2,474)	(2,308)	(1,419)	(2,759)	(3,140)	(1,290)	(3,637)	(27,375)
Bond and note proceeds	41	41	41	41	41	41	41	41	41	41	41	37	488
NET OTHER FINANCING SOURCES/(USES)	42	43	41	39	42	43	42	42	70	42	41	(3)	484
Excess/(Deficiency) of Receipts over Disbursements	3,118	(3,416)	(19)	1,201	(166)	(375)	(334)	(813)	(2,252)	5,465	(508)	(11,065)	(9,165)

**CASHFLOW
STATE FUNDS
2011-2012
(dollars in millions)**

	2010									2011				
	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
RECEIPTS:														
Taxes	6,937	2,740	6,883	3,893	4,012	7,124	3,919	3,536	7,618	8,991	4,127	5,736	65,516	
Miscellaneous Receipts	1,587	1,489	1,802	1,707	2,035	2,189	1,750	1,761	1,723	1,850	1,772	3,069	22,734	
Federal Grants	0	0	16	2	20	15	16	0	15	0	2	51	137	
TOTAL RECEIPTS	8,524	4,229	8,701	5,602	6,067	9,328	5,685	5,297	9,356	10,841	5,901	8,856	88,387	
DISBURSEMENTS:														
School Aid	430	2,850	1,785	80	560	3,150	687	1,007	1,707	637	937	8,865	22,695	
Higher Education	34	25	598	40	290	69	471	44	279	54	379	553	2,836	
All Other Education	99	103	193	134	118	151	253	131	122	128	99	311	1,842	
STAR	0	0	392	0	0	178	29	93	2,726	0	0	0	3,418	
Medicaid - DOH	1,447	1,579	1,096	1,125	1,597	1,260	1,255	1,599	1,347	1,545	1,971	1,782	17,603	
Public Health	120	139	474	140	146	207	111	125	193	97	122	205	2,079	
Mental Hygiene	84	53	466	171	224	536	294	50	642	263	189	737	3,709	
Children and Families	90	96	116	110	224	110	339	101	128	185	91	305	1,895	
Temporary & Disability Assistance	118	118	155	116	116	158	118	118	142	118	57	194	1,528	
Transportation	282	536	310	282	540	284	305	583	646	156	265	150	4,339	
Unrestricted Aid	2	13	294	2	2	159	11	2	433	2	2	148	1,070	
All Other	82	68	282	112	103	232	3	72	141	96	71	754	2,016	
Total Local Assistance Grants	2,788	5,580	6,161	2,312	3,920	6,494	3,876	3,925	8,506	3,281	4,183	14,004	65,030	
Personal Service	965	932	914	888	979	1,056	876	883	828	808	864	1,267	11,260	
Non-Personal Service	428	355	406	404	363	389	378	374	426	373	390	504	4,790	
Total State Operations	1,393	1,287	1,320	1,292	1,342	1,445	1,254	1,257	1,254	1,181	1,254	1,771	16,050	
General State Charges	515	383	227	459	451	328	508	375	232	493	290	1,488	5,749	
Debt service	545	166	322	112	297	955	114	260	1,097	108	450	1,613	6,039	
Capital Projects	415	408	460	453	457	467	492	464	438	484	435	774	5,747	
TOTAL DISBURSEMENTS	5,656	7,824	8,490	4,628	6,467	9,689	6,244	6,281	11,527	5,547	6,612	19,650	98,615	
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	2,849	896	1,878	2,330	1,082	2,244	2,195	1,080	2,383	2,850	971	3,776	24,534	
Transfers to other funds	(2,755)	(927)	(1,868)	(2,079)	(1,288)	(2,109)	(1,978)	(1,174)	(2,351)	(2,868)	(1,008)	(3,534)	(23,939)	
Bond and note proceeds	41	41	41	41	41	41	41	41	41	41	41	37	488	
NET OTHER FINANCING SOURCES/(USES)	135	10	51	292	(165)	176	258	(53)	73	23	4	279	1,083	
Excess/(Deficiency) of Receipts over Disbursements	3,003	(3,585)	262	1,266	(565)	(185)	(301)	(1,037)	(2,098)	5,317	(707)	(10,515)	(9,145)	

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2010-11
(millions of dollars)

	<u>Enacted</u>	<u>Change</u>	<u>Mid-Year</u>
Opening fund balance	<u>26</u>	<u>0</u>	<u>26</u>
Receipts:			
Taxes	1,266	(99)	1,167
Miscellaneous receipts	<u>3,859</u>	<u>18</u>	<u>3,877</u>
Total receipts	<u>5,125</u>	<u>(81)</u>	<u>5,044</u>
Disbursements:			
Medical Assistance Account	2,945	(73)	2,872
HCRA Program Account	357	2	359
Hospital Indigent Care Fund	871	(1)	870
Elderly Pharmaceutical Insurance Coverage (EPIC)	203	(26)	177
Child Health Plus (CHP)	344	(2)	342
Public Health	122	(6)	116
All Other	<u>309</u>	<u>25</u>	<u>334</u>
Total disbursements	<u>5,151</u>	<u>(81)</u>	<u>5,070</u>
Change in fund balance	<u>(26)</u>	<u>0</u>	<u>(26)</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2010-2011 THROUGH 2013-2014
(millions of dollars)

	<u>2010-2011</u> <u>Mid-Year</u>	<u>2011-2012</u> <u>Projected</u>	<u>2012-2013</u> <u>Projected</u>	<u>2013-2014</u> <u>Projected</u>
Opening fund balance	<u>26</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:				
Taxes	1,167	1,299	1,277	1,255
Miscellaneous receipts	<u>3,877</u>	<u>4,106</u>	<u>4,306</u>	<u>4,409</u>
Total receipts	<u>5,044</u>	<u>5,405</u>	<u>5,583</u>	<u>5,664</u>
Disbursements:				
Medical Assistance Account	2,872	2,903	3,354	3,471
HCRA Program Account	359	506	471	471
Hospital Indigent Care Fund	870	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	177	223	243	256
Child Health Plus (CHP)	342	332	354	379
Public Health	116	121	121	121
All Other	<u>334</u>	<u>528</u>	<u>248</u>	<u>174</u>
Total disbursements	<u>5,070</u>	<u>5,405</u>	<u>5,583</u>	<u>5,664</u>
Change in fund balance	<u>(26)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Note: Statutory authorization for HCRA expires on March 31, 2011.

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2009-2010 and 2010-2011
(millions of dollars)**

	2009-10 Actuals	2010-2011 Mid-Year	Annual Change
Opening fund balance	<u>240</u>	<u>26</u>	<u>(214)</u>
Receipts:			
Taxes	910	1,167	257
Miscellaneous receipts	<u>3,981</u>	<u>3,877</u>	<u>(104)</u>
Total receipts	<u>4,891</u>	<u>5,044</u>	<u>153</u>
Disbursements:			
Medical Assistance Account	2,655	2,872	217
HCRA Program Account	370	359	(11)
Hospital Indigent Care Fund	1,303	870	(433)
Elderly Pharmaceutical Insurance Coverage (EPIC)	192	177	(15)
Child Health Plus (CHP)	325	342	17
Public Health	114	116	2
All Other	<u>146</u>	<u>334</u>	<u>188</u>
Total disbursements	<u>5,105</u>	<u>5,070</u>	<u>(35)</u>
Change in fund balance	<u>(214)</u>	<u>(26)</u>	<u>188</u>
Closing fund balance	<u>26</u>	<u>0</u>	<u>(26)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
2010-2011
(dollars in millions)

	<u>April</u> <u>Results</u>	<u>May</u> <u>Results</u>	<u>June</u> <u>Results</u>	<u>July</u> <u>Results</u>	<u>August</u> <u>Results</u>	<u>September</u> <u>Results</u>	<u>October</u> <u>Results</u>	<u>November</u> <u>Projected</u>	<u>December</u> <u>Projected</u>	<u>January</u> <u>Projected</u>	<u>February</u> <u>Projected</u>	<u>March</u> <u>Projected</u>	<u>Total</u>
Opening fund balance	<u>26</u>	<u>262</u>	<u>265</u>	<u>452</u>	<u>466</u>	<u>420</u>	<u>385</u>	<u>271</u>	<u>249</u>	<u>281</u>	<u>290</u>	<u>265</u>	<u>26</u>
Receipts:													
Taxes	80	73	94	94	99	133	103	105	108	96	87	95	1,167
Miscellaneous receipts	380	325	339	318	323	288	354	317	318	317	318	280	3,877
Total receipts	<u>460</u>	<u>398</u>	<u>433</u>	<u>412</u>	<u>422</u>	<u>421</u>	<u>457</u>	<u>422</u>	<u>426</u>	<u>413</u>	<u>405</u>	<u>375</u>	<u>5,044</u>
Disbursements:													
Medical Assistance Account	61	249	125	236	293	268	393	230	241	252	221	303	2,872
HCRA Program Account	10	8	11	3	35	6	49	53	22	21	57	84	359
Hospital Indigent Care Fund	105	97	96	96	95	95	74	42	42	42	42	44	870
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	0	30	29	30	30	30	20	8	177
Child Health Plus (CHP)	35	20	6	57	19	33	1	27	41	31	31	41	342
Public Health	6	5	4	5	5	16	21	14	14	11	11	4	116
All Other	7	16	4	1	21	8	4	48	4	17	48	156	334
Total disbursements	<u>224</u>	<u>395</u>	<u>246</u>	<u>398</u>	<u>468</u>	<u>456</u>	<u>571</u>	<u>444</u>	<u>394</u>	<u>404</u>	<u>430</u>	<u>640</u>	<u>5,070</u>
Change in fund balance	<u>236</u>	<u>3</u>	<u>187</u>	<u>14</u>	<u>(46)</u>	<u>(35)</u>	<u>(114)</u>	<u>(22)</u>	<u>32</u>	<u>9</u>	<u>(25)</u>	<u>(265)</u>	<u>(26)</u>
Legislative/Administrative Actions to Close Gap	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing fund balance	<u>262</u>	<u>265</u>	<u>452</u>	<u>466</u>	<u>420</u>	<u>385</u>	<u>271</u>	<u>249</u>	<u>281</u>	<u>290</u>	<u>265</u>	<u>0</u>	<u>0</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
2011-12
(dollars in millions)

	<u>April</u> <u>Projected</u>	<u>May</u> <u>Projected</u>	<u>June</u> <u>Projected</u>	<u>July</u> <u>Projected</u>	<u>August</u> <u>Projected</u>	<u>September</u> <u>Projected</u>	<u>October</u> <u>Projected</u>	<u>November</u> <u>Projected</u>	<u>December</u> <u>Projected</u>	<u>January</u> <u>Projected</u>	<u>February</u> <u>Projected</u>	<u>March</u> <u>Projected</u>	<u>Total</u>
Opening fund balance	<u>0</u>	<u>170</u>	<u>131</u>	<u>129</u>	<u>437</u>	<u>370</u>	<u>292</u>	<u>316</u>	<u>283</u>	<u>268</u>	<u>408</u>	<u>141</u>	<u>0</u>
Receipts:													
Taxes	123	108	121	120	120	112	91	107	117	96	96	88	1,299
Miscellaneous receipts	330	326	325	326	325	326	325	326	325	326	325	521	4,106
Total receipts	<u>453</u>	<u>434</u>	<u>446</u>	<u>446</u>	<u>445</u>	<u>438</u>	<u>416</u>	<u>433</u>	<u>442</u>	<u>422</u>	<u>421</u>	<u>609</u>	<u>5,405</u>
Disbursements:													
Medical Assistance Account	166	335	1	1	348	251	244	295	208	139	496	419	2,903
HCRA Program Account	14	33	235	33	33	16	14	36	14	14	34	30	506
Hospital Indigent Care Fund	66	66	65	66	66	65	66	66	65	66	66	69	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	16	30	30	30	30	30	30	27	223
Child Health Plus (CHP)	30	30	33	23	30	30	23	20	36	20	27	30	332
Public Health	5	5	7	13	12	12	12	15	12	10	9	9	121
All Other	2	4	107	2	7	112	3	4	92	3	26	166	528
Total disbursements	<u>283</u>	<u>473</u>	<u>448</u>	<u>138</u>	<u>512</u>	<u>516</u>	<u>392</u>	<u>466</u>	<u>457</u>	<u>282</u>	<u>688</u>	<u>750</u>	<u>5,405</u>
Change in fund balance	<u>170</u>	<u>(39)</u>	<u>(2)</u>	<u>308</u>	<u>(67)</u>	<u>(78)</u>	<u>24</u>	<u>(33)</u>	<u>(15)</u>	<u>140</u>	<u>(267)</u>	<u>(141)</u>	<u>0</u>
Closing fund balance	<u>170</u>	<u>131</u>	<u>129</u>	<u>437</u>	<u>370</u>	<u>292</u>	<u>316</u>	<u>283</u>	<u>268</u>	<u>408</u>	<u>141</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2010-2011
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>18</u>	<u>(64)</u>	<u>(66)</u>
Receipts:			
Unemployment taxes	0	5,625	0
Miscellaneous receipts	724	67	1
Federal grants	<u>0</u>	<u>3,525</u>	<u>0</u>
Total receipts	<u>724</u>	<u>9,217</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	126	7	0
Non-personal service	562	56	0
Unemployment benefits	0	9,150	0
General State charges	63	3	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>751</u>	<u>9,216</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(72)	(1)	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>16</u>	<u>(1)</u>	<u>0</u>
Change in fund balance	<u>(11)</u>	<u>0</u>	<u>1</u>
Closing fund balance	<u>7</u>	<u>(64)</u>	<u>(65)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2011-2012
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>7</u>	<u>(64)</u>	<u>(65)</u>
Receipts:			
Unemployment taxes	0	3,775	0
Miscellaneous receipts	856	217	1
Federal grants	<u>0</u>	<u>75</u>	<u>0</u>
Total receipts	<u>856</u>	<u>4,067</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	125	7	0
Non-personal service	675	207	0
Unemployment benefits	0	3,850	0
General State charges	63	3	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>863</u>	<u>4,067</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(83)	(1)	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>5</u>	<u>(1)</u>	<u>0</u>
Change in fund balance	<u>(2)</u>	<u>(1)</u>	<u>1</u>
Closing fund balance	<u>5</u>	<u>(65)</u>	<u>(64)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2012-2013
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>5</u>	<u>(65)</u>	<u>(64)</u>
Receipts:			
Unemployment taxes	0	3,331	0
Miscellaneous receipts	942	298	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
Total receipts	<u>942</u>	<u>3,654</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	126	7	0
Non-personal service	728	288	0
Unemployment benefits	0	3,356	0
General State charges	69	4	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>923</u>	<u>3,655</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(115)	(1)	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(27)</u>	<u>(1)</u>	<u>0</u>
Change in fund balance	<u>(8)</u>	<u>(2)</u>	<u>1</u>
Closing fund balance	<u>(3)</u>	<u>(67)</u>	<u>(63)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2013-2014
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>(3)</u>	<u>(67)</u>	<u>(63)</u>
Receipts:			
Unemployment taxes	0	3,456	0
Miscellaneous receipts	949	299	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
Total receipts	<u>949</u>	<u>3,780</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	127	7	0
Non-personal service	735	289	0
Unemployment benefits	0	3,481	0
General State charges	72	4	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>934</u>	<u>3,781</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(126)	(1)	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(38)</u>	<u>(1)</u>	<u>0</u>
Change in fund balance	<u>(23)</u>	<u>(2)</u>	<u>1</u>
Closing fund balance	<u>(26)</u>	<u>(69)</u>	<u>(62)</u>

Workforce Impact Summary

General Fund
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,061	2,855	2,855
Correctional Services, Department of	29,714	28,874	28,974
Education Department, State	366	252	252
Environmental Conservation, Department of	1,340	1,002	1,002
General Services, Office of	934	821	821
Health, Department of	1,907	1,777	1,777
Labor, Department of	7	0	0
Parks, Recreation and Historic Preservation, Office of	1,652	1,418	1,418
Parole, Division of	1,973	1,893	1,893
State Police, Division of	5,274	4,952	4,952
Taxation and Finance, Department of	5,225	4,198	4,198
Temporary and Disability Assistance, Office of	928	154	154
Subtotal - Major Agencies	52,381	48,196	48,296
Minor Agencies	4,203	3,504	3,504
Subtotal - Subject to Executive Control	56,584	51,700	51,800
Not Subject to Executive Control			
Audit and Control, Department of	1,568	1,582	1,582
Law, Department of	1,219	1,151	1,151
State University of New York	24,705	24,696	24,696
Subtotal - Not Subject to Executive Control	27,492	27,429	27,429
Off-Budget Agencies			
Science, Technology and Innovation, NYS Foundation for	25	23	23
Subtotal - Off-Budget Agencies	25	23	23
Grand Total	84,101	79,152	79,252

Workforce Impact Summary

General Fund
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	56	56
Aging, Office for the	27	23	23
Agriculture and Markets, Department of	358	264	264
Alcoholism and Substance Abuse Services, Office of	2	0	0
Arts, Council on the	42	29	29
Budget, Division of the	278	254	254
Civil Service, Department of	252	217	217
Consumer Protection Board, State	25	22	22
Correction, Commission of	32	29	29
Criminal Justice Services, Division of	544	534	534
Economic Development, Department of	165	130	130
Elections, State Board of	56	60	60
Employee Relations, Office of	35	31	31
Environmental Facilities Corporation	0	3	3
Executive Chamber	144	136	136
Homeland Security and Emergency Services, Division of	126	125	125
Housing and Community Renewal, Division of	277	224	224
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	166	141	141
Inspector General, Office of the	60	58	58
Insurance Department	6	0	0
Judicial Commissions	47	48	48
Labor Management Committees	82	91	91
Lieutenant Governor, Office of the	0	7	7
Lottery, Division of the	0	(12)	(12)
Medicaid Inspector General, Office of the	299	330	330
Military and Naval Affairs, Division of	209	184	184
National and Community Service	0	4	4
Prevention of Domestic Violence, Office for	14	14	14
Probation and Correctional Alternatives, Division of	32	0	0
Public Employment Relations Board	36	35	35
Public Integrity, Commission on	48	46	46
Quality of Care and Advocacy for Persons With Disabilities, Commission on	47	33	33
Real Property Services, Office of	268	0	0
Regulatory Reform, Governor's Office of	19	15	15
State, Department of	177	125	125
Tax Appeals, Division of	31	22	22
Technology, Office for	133	135	135
Veterans' Affairs, Division of	96	86	86
Welfare Inspector General, Office of	4	4	4
Subtotal - Minor Agencies	4,203	3,504	3,504

Workforce Impact Summary

State Operating Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,118	2,914	2,914
Correctional Services, Department of	29,714	28,874	28,974
Education Department, State	1,501	1,292	1,292
Environmental Conservation, Department of	2,688	2,301	2,301
General Services, Office of	997	885	885
Health, Department of	4,505	4,227	4,227
Labor, Department of	364	560	560
Mental Health, Office of	5,562	5,302	5,526
Motor Vehicles, Department of	872	796	796
Parks, Recreation and Historic Preservation, Office of	1,910	1,655	1,655
Parole, Division of	1,973	1,893	1,893
People with Developmental Disabilities, Office for	6,988	6,896	6,942
State Police, Division of	5,704	5,398	5,398
Taxation and Finance, Department of	5,263	4,992	4,992
Temporary and Disability Assistance, Office of	941	840	840
Transportation, Department of	163	142	142
Workers' Compensation Board	1,395	1,450	1,450
Subtotal - Major Agencies	73,658	70,417	70,787
Minor Agencies	9,446	8,705	8,713
Subtotal - Subject to Executive Control	83,104	79,122	79,500
Not Subject to Executive Control			
Audit and Control, Department of	1,603	1,616	1,616
City University of New York	295	292	292
Law, Department of	1,565	1,518	1,518
State University Construction Fund	129	172	172
State University of New York	41,893	41,809	41,809
Subtotal - Not Subject to Executive Control	45,485	45,407	45,407
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	25	23	23
Subtotal - Off-Budget Agencies	2,050	2,048	2,048
Grand Total	130,639	126,577	126,955

Workforce Impact Summary

State Operating Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	56	56
Aging, Office for the	28	24	24
Agriculture and Markets, Department of	522	429	429
Alcoholic Beverage Control, Division of	141	140	140
Alcoholism and Substance Abuse Services, Office of	589	578	586
Arts, Council on the	42	29	29
Banking Department	538	555	555
Budget, Division of the	353	300	300
Civil Service, Department of	257	222	222
Consumer Protection Board, State	25	23	23
Correction, Commission of	32	29	29
Criminal Justice Services, Division of	546	541	541
Deferred Compensation Board	4	4	4
Economic Development, Department of	167	134	134
Elections, State Board of	56	60	60
Employee Relations, Office of	35	31	31
Environmental Facilities Corporation	88	88	88
Executive Chamber	144	136	136
Financial Control Board, New York State	14	15	15
Higher Education Services Corporation, New York State	600	516	516
Homeland Security and Emergency Services, Division of	129	280	280
Housing and Community Renewal, Division of	722	551	551
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	166	141	141
Indigent Legal Services, Office of	0	20	20
Inspector General, Office of the	60	58	58
Insurance Department	904	976	976
Interest on Lawyer Account	8	8	8
Judicial Commissions	47	48	48
Labor Management Committees	82	91	91
Lieutenant Governor, Office of the	0	7	7
Lottery, Division of the	332	317	317
Medicaid Inspector General, Office of the	302	333	333
Military and Naval Affairs, Division of	226	198	198
National and Community Service	0	4	4
Prevention of Domestic Violence, Office for	15	14	14
Probation and Correctional Alternatives, Division of	32	0	0
Public Employment Relations Board	36	35	35
Public Integrity, Commission on	48	46	46
Public Service Department	514	519	519
Quality of Care and Advocacy for Persons With Disabilities, Commission on	48	68	68
Racing and Wagering Board, State	121	105	105
Real Property Services, Office of	304	0	0
Regulatory Reform, Governor's Office of	19	15	15
State, Department of	726	541	541
Statewide Financial System	0	113	113
Statewide Wireless Network	31	5	5
Tax Appeals, Division of	31	22	22
Technology, Office for	133	135	135
Veterans' Affairs, Division of	96	86	86
Victim Services, Office of	63	51	51
Welfare Inspector General, Office of	4	7	7
Subtotal - Minor Agencies	9,446	8,705	8,713

Workforce Impact Summary

State Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,124	2,921	2,921
Correctional Services, Department of	30,053	29,225	29,325
Education Department, State	1,660	1,450	1,450
Environmental Conservation, Department of	3,139	2,718	2,718
General Services, Office of	1,519	1,371	1,371
Health, Department of	4,571	4,307	4,307
Labor, Department of	364	560	560
Mental Health, Office of	5,613	5,373	5,597
Motor Vehicles, Department of	2,733	2,456	2,456
Parks, Recreation and Historic Preservation, Office of	2,037	1,771	1,771
Parole, Division of	1,973	1,893	1,893
People with Developmental Disabilities, Office for	6,989	6,896	6,942
State Police, Division of	5,704	5,398	5,398
Taxation and Finance, Department of	5,263	4,992	4,992
Temporary and Disability Assistance, Office of	945	844	844
Transportation, Department of	9,886	8,643	8,643
Workers' Compensation Board	1,395	1,450	1,450
Subtotal - Major Agencies	86,968	82,268	82,638
Minor Agencies	10,193	9,505	9,505
Subtotal - Subject to Executive Control	97,161	91,773	92,143
Not Subject to Executive Control			
Audit and Control, Department of	2,540	2,544	2,544
City University of New York	13,073	12,933	12,933
Law, Department of	1,572	1,525	1,525
State University Construction Fund	129	172	172
State University of New York	41,900	41,815	41,815
Subtotal - Not Subject to Executive Control	59,214	58,989	58,989
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	25	23	23
State Insurance Fund	2,547	2,564	2,564
Subtotal - Off-Budget Agencies	4,597	4,612	4,612
Grand Total	160,972	155,374	155,744

Workforce Impact Summary

State Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	56	56
Aging, Office for the	28	24	24
Agriculture and Markets, Department of	553	486	486
Alcoholic Beverage Control, Division of	141	140	140
Alcoholism and Substance Abuse Services, Office of	597	586	586
Arts, Council on the	42	29	29
Banking Department	538	555	555
Budget, Division of the	353	300	300
Civil Service, Department of	482	444	444
Consumer Protection Board, State	25	23	23
Correction, Commission of	32	29	29
Criminal Justice Services, Division of	546	541	541
Deferred Compensation Board	4	4	4
Economic Development, Department of	167	134	134
Elections, State Board of	56	60	60
Employee Relations, Office of	47	43	43
Environmental Facilities Corporation	88	88	88
Executive Chamber	144	136	136
Financial Control Board, New York State	14	15	15
Higher Education Services Corporation, New York State	600	516	516
Homeland Security and Emergency Services, Division of	129	280	280
Housing and Community Renewal, Division of	722	551	551
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	166	141	141
Indigent Legal Services, Office of	0	20	20
Inspector General, Office of the	60	58	58
Insurance Department	904	976	976
Interest on Lawyer Account	8	8	8
Judicial Commissions	47	48	48
Labor Management Committees	82	91	91
Lieutenant Governor, Office of the	0	7	7
Lottery, Division of the	332	317	317
Medicaid Inspector General, Office of the	302	333	333
Military and Naval Affairs, Division of	226	198	198
National and Community Service	0	4	4
Prevention of Domestic Violence, Office for	25	24	24
Probation and Correctional Alternatives, Division of	32	0	0
Public Employment Relations Board	36	35	35
Public Integrity, Commission on	48	46	46
Public Service Department	514	519	519
Quality of Care and Advocacy for Persons With Disabilities, Commission on	48	68	68
Racing and Wagering Board, State	121	105	105
Real Property Services, Office of	304	0	0
Regulatory Reform, Governor's Office of	19	15	15
State, Department of	726	541	541
Statewide Financial System	0	113	113
Statewide Wireless Network	31	5	5
Tax Appeals, Division of	31	22	22
Technology, Office for	594	626	626
Veterans' Affairs, Division of	96	86	86
Victim Services, Office of	63	51	51
Welfare Inspector General, Office of	4	7	7
Subtotal - Minor Agencies	10,193	9,505	9,505

Workforce Impact Summary

All Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,555	3,351	3,351
Correctional Services, Department of	30,104	29,878	29,978
Education Department, State	2,976	2,762	2,762
Environmental Conservation, Department of	3,454	2,995	2,995
General Services, Office of	1,519	1,371	1,371
Health, Department of	5,388	5,055	5,055
Labor, Department of	3,982	3,949	3,949
Mental Health, Office of	16,173	15,760	15,984
Motor Vehicles, Department of	2,750	2,472	2,472
Parks, Recreation and Historic Preservation, Office of	2,053	1,785	1,785
Parole, Division of	1,973	1,893	1,893
People with Developmental Disabilities, Office for	21,530	21,367	21,582
State Police, Division of	5,704	5,439	5,439
Taxation and Finance, Department of	5,263	4,992	4,992
Temporary and Disability Assistance, Office of	2,259	2,248	2,248
Transportation, Department of	9,963	8,708	8,708
Workers' Compensation Board	1,395	1,450	1,450
Subtotal - Major Agencies	120,041	115,475	116,014
Minor Agencies	11,700	11,018	11,018
Subtotal - Subject to Executive Control	131,741	126,493	127,032
Not Subject to Executive Control			
Audit and Control, Department of	2,545	2,552	2,552
City University of New York	13,073	12,933	12,933
Law, Department of	1,807	1,747	1,747
State University Construction Fund	129	172	172
State University of New York	41,900	41,815	41,815
Subtotal - Not Subject to Executive Control	59,454	59,219	59,219
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	25	23	23
State Insurance Fund	2,547	2,564	2,564
Subtotal - Off-Budget Agencies	4,597	4,612	4,612
Grand Total	195,792	190,324	190,863

Workforce Impact Summary

All Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	56	56
Aging, Office for the	122	121	121
Agriculture and Markets, Department of	557	511	511
Alcoholic Beverage Control, Division of	141	140	140
Alcoholism and Substance Abuse Services, Office of	886	842	842
Arts, Council on the	42	29	29
Banking Department	538	555	555
Budget, Division of the	353	300	300
Civil Service, Department of	482	444	444
Consumer Protection Board, State	25	23	23
Correction, Commission of	32	29	29
Criminal Justice Services, Division of	646	641	641
Deferred Compensation Board	4	4	4
Economic Development, Department of	167	134	134
Elections, State Board of	62	60	60
Employee Relations, Office of	47	43	43
Environmental Facilities Corporation	88	88	88
Executive Chamber	144	136	136
Financial Control Board, New York State	14	15	15
Higher Education Services Corporation, New York State	600	516	516
Homeland Security and Emergency Services, Division of	169	386	386
Housing and Community Renewal, Division of	890	745	745
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	213	195	195
Indigent Legal Services, Office of	0	20	20
Inspector General, Office of the	60	58	58
Insurance Department	904	976	976
Interest on Lawyer Account	8	8	8
Judicial Commissions	47	48	48
Labor Management Committees	82	91	91
Lieutenant Governor, Office of the	0	7	7
Lottery, Division of the	332	317	317
Medicaid Inspector General, Office of the	603	662	662
Military and Naval Affairs, Division of	529	417	417
National and Community Service	0	10	10
Prevention of Domestic Violence, Office for	27	26	26
Probation and Correctional Alternatives, Division of	33	0	0
Public Employment Relations Board	36	35	35
Public Integrity, Commission on	48	46	46
Public Service Department	526	531	531
Quality of Care and Advocacy for Persons With Disabilities, Commission on	100	91	91
Racing and Wagering Board, State	121	105	105
Real Property Services, Office of	304	0	0
Regulatory Reform, Governor's Office of	19	15	15
State, Department of	783	596	596
Statewide Financial System	0	113	113
Statewide Wireless Network	31	5	5
Tax Appeals, Division of	31	22	22
Technology, Office for	594	626	626
Veterans' Affairs, Division of	104	97	97
Victim Services, Office of	84	75	75
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	11,700	11,018	11,018

Workforce Impact Summary

Special Revenue Funds - Other 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	57	59	59
Education Department, State	1,135	1,040	1,040
Environmental Conservation, Department of	1,348	1,299	1,299
General Services, Office of	63	64	64
Health, Department of	2,598	2,450	2,450
Labor, Department of	357	560	560
Mental Health, Office of	5,562	5,302	5,526
Motor Vehicles, Department of	872	796	796
Parks, Recreation and Historic Preservation, Office of	258	237	237
People with Developmental Disabilities, Office for	6,988	6,896	6,942
State Police, Division of	430	446	446
Taxation and Finance, Department of	38	794	794
Temporary and Disability Assistance, Office of	13	686	686
Transportation, Department of	163	142	142
Workers' Compensation Board	1,395	1,450	1,450
Subtotal - Major Agencies	21,277	22,221	22,491
Minor Agencies	5,243	5,201	5,209
Subtotal - Subject to Executive Control	26,520	27,422	27,700
Not Subject to Executive Control			
Audit and Control, Department of	35	34	34
City University of New York	295	292	292
Law, Department of	346	367	367
State University Construction Fund	129	172	172
State University of New York	17,188	17,113	17,113
Subtotal - Not Subject to Executive Control	17,993	17,978	17,978
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Subtotal - Off-Budget Agencies	2,025	2,025	2,025
Grand Total	46,538	47,425	47,703

Workforce Impact Summary

Special Revenue Funds - Other 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Minor Agencies			
Aging, Office for the	1	1	1
Agriculture and Markets, Department of	164	165	165
Alcoholic Beverage Control, Division of	141	140	140
Alcoholism and Substance Abuse Services, Office of	587	578	586
Banking Department	538	555	555
Budget, Division of the	75	46	46
Civil Service, Department of	5	5	5
Consumer Protection Board, State	0	1	1
Criminal Justice Services, Division of	2	7	7
Deferred Compensation Board	4	4	4
Economic Development, Department of	2	4	4
Environmental Facilities Corporation	88	85	85
Financial Control Board, New York State	14	15	15
Higher Education Services Corporation, New York State	600	516	516
Homeland Security and Emergency Services, Division of	3	155	155
Housing and Community Renewal, Division of	445	327	327
Indigent Legal Services, Office of	0	20	20
Insurance Department	898	976	976
Interest on Lawyer Account	8	8	8
Lottery, Division of the	332	329	329
Medicaid Inspector General, Office of the	3	3	3
Military and Naval Affairs, Division of	17	14	14
Prevention of Domestic Violence, Office for	1	0	0
Public Service Department	514	519	519
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1	35	35
Racing and Wagering Board, State	121	105	105
Real Property Services, Office of	36	0	0
State, Department of	549	416	416
Statewide Financial System	0	113	113
Statewide Wireless Network	31	5	5
Victim Services, Office of	63	51	51
Welfare Inspector General, Office of	0	3	3
Subtotal - Minor Agencies	5,243	5,201	5,209

Workforce Impact Summary

Special Revenue Funds - Federal 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	431	430	430
Correctional Services, Department of	51	653	653
Education Department, State	1,316	1,312	1,312
Environmental Conservation, Department of	308	270	270
Health, Department of	817	748	748
Labor, Department of	3,618	3,389	3,389
Mental Health, Office of	10,560	10,387	10,387
Motor Vehicles, Department of	17	16	16
Parks, Recreation and Historic Preservation, Office of	16	14	14
People with Developmental Disabilities, Office for	14,541	14,471	14,640
State Police, Division of	0	41	41
Temporary and Disability Assistance, Office of	1,314	1,404	1,404
Transportation, Department of	77	65	65
Subtotal - Major Agencies	33,066	33,200	33,369
Minor Agencies			
Aging, Office for the	94	97	97
Agriculture and Markets, Department of	4	25	25
Alcoholism and Substance Abuse Services, Office of	289	256	256
Criminal Justice Services, Division of	100	100	100
Elections, State Board of	6	0	0
Homeland Security and Emergency Services, Division of	40	106	106
Housing and Community Renewal, Division of	138	161	161
Human Rights, Division of	47	54	54
Medicaid Inspector General, Office of the	301	329	329
Military and Naval Affairs, Division of	303	219	219
National and Community Service	0	6	6
Prevention of Domestic Violence, Office for	2	2	2
Probation and Correctional Alternatives, Division of	1	0	0
Public Service Department	12	12	12
Quality of Care and Advocacy for Persons With Disabilities, Commission on	52	23	23
State, Department of	57	55	55
Veterans' Affairs, Division of	8	11	11
Victim Services, Office of	21	24	24
Welfare Inspector General, Office of	2	0	0
Subtotal - Minor Agencies	1,477	1,480	1,480
Subtotal - Subject to Executive Control	34,543	34,680	34,849
Not Subject to Executive Control			
Audit and Control, Department of	5	8	8
Law, Department of	235	222	222
Subtotal - Not Subject to Executive Control	240	230	230
Grand Total	34,783	34,910	35,079

Workforce Impact Summary

Capital Projects Funds - Other 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	6	7	7
Correctional Services, Department of	28	30	30
Environmental Conservation, Department of	451	417	417
Health, Department of	66	80	80
Mental Health, Office of	32	41	41
Motor Vehicles, Department of	1,861	1,660	1,660
Parks, Recreation and Historic Preservation, Office of	127	116	116
Transportation, Department of	9,723	8,501	8,501
Subtotal - Major Agencies	12,294	10,852	10,852
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	8	8	0
Subtotal - Minor Agencies	8	8	0
Subtotal - Subject to Executive Control	12,302	10,860	10,852
Not Subject to Executive Control			
Law, Department of	7	7	7
State University of New York	7	6	6
Subtotal - Not Subject to Executive Control	14	13	13
Grand Total	12,316	10,873	10,865

Workforce Impact Summary

Capital Projects Funds - Federal 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Environmental Conservation, Department of	7	7	7
Subtotal - Major Agencies	7	7	7
Minor Agencies			
Housing and Community Renewal, Division of	30	33	33
Subtotal - Minor Agencies	30	33	33
Subtotal - Subject to Executive Control	37	40	40
Grand Total	37	40	40

Workforce Impact Summary

Enterprise Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Correctional Services, Department of	5	11	11
General Services, Office of	11	10	10
Mental Health, Office of	0	10	10
People with Developmental Disabilities, Office for	1	0	0
Subtotal - Major Agencies	17	31	31
Minor Agencies			
Agriculture and Markets, Department of	27	54	54
Subtotal - Minor Agencies	27	54	54
Subtotal - Subject to Executive Control	44	85	85
Grand Total	44	85	85

Workforce Impact Summary

Internal Service Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Major Agencies			
Correctional Services, Department of	306	310	310
Education Department, State	159	158	158
General Services, Office of	511	476	476
Mental Health, Office of	19	20	20
Temporary and Disability Assistance, Office of	4	4	4
Subtotal - Major Agencies	999	968	968
Minor Agencies			
Civil Service, Department of	225	222	222
Employee Relations, Office of	12	12	12
Prevention of Domestic Violence, Office for	10	10	10
Technology, Office for	461	491	491
Subtotal - Minor Agencies	708	735	735
Subtotal - Subject to Executive Control	1,707	1,703	1,703
Not Subject to Executive Control			
Audit and Control, Department of	25	24	24
Subtotal - Not Subject to Executive Control	25	24	24
Grand Total	1,732	1,727	1,727

Workforce Impact Summary

Agency Trust Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Not Subject to Executive Control			
City University of New York	12,778	12,641	12,641
Subtotal - Not Subject to Executive Control	12,778	12,641	12,641
Off-Budget Agencies			
State Insurance Fund	2,547	2,564	2,564
Subtotal - Off-Budget Agencies	2,547	2,564	2,564
Grand Total	15,325	15,205	15,205

Workforce Impact Summary

Pension Trust Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Not Subject to Executive Control			
Audit and Control, Department of	912	904	904
Subtotal - Not Subject to Executive Control	912	904	904
Grand Total	912	904	904

Workforce Impact Summary

Private Purpose Trust Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Estimate (12/31/10)	2011-12 Estimate (03/31/12)
Minor Agencies			
Agriculture and Markets, Department of	4	3	3
Subtotal - Minor Agencies	4	3	3
Subtotal - Subject to Executive Control	4	3	3
Grand Total	4	3	3

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	58,146	48,805	47,243	49,789	50,594
Grants to Local Governments	26,841	19,916	20,154	22,548	22,685
State Operations	31,305	28,889	27,089	27,241	27,909
Personal Service	23,245	21,556	19,438	19,851	20,272
Non-Personal Service/Indirect Cost	8,060	7,333	7,651	7,390	7,637
General State Charges	0	0	0	0	0
<i>Developmental Authority North</i>	36	200	162	162	162
Grants to Local Governments	36	200	162	162	162
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Consumer Protection Board</i>	2,184	2,230	2,294	2,384	2,426
Grants to Local Governments	0	0	0	0	0
State Operations	2,184	2,230	2,294	2,384	2,426
Personal Service	1,993	1,922	1,949	2,019	2,044
Non-Personal Service/Indirect Cost	191	308	345	365	382
General State Charges	0	0	0	0	0
<i>Economic Development, Department of</i>	40,551	33,358	30,148	30,782	31,338
Grants to Local Governments	11,436	13,299	10,249	10,249	10,249
State Operations	29,115	20,059	19,899	20,533	21,089
Personal Service	12,973	11,704	10,388	11,022	11,167
Non-Personal Service/Indirect Cost	16,142	8,355	9,511	9,511	9,922
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Energy Research and Development Authority</i>	240	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	240	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	240	0	0	0	0
General State Charges	0	0	0	0	0
<i>Insurance Department</i>	80,893	11,580	0	0	0
Grants to Local Governments	68,598	11,145	0	0	0
State Operations	12,295	435	0	0	0
Personal Service	528	150	0	0	0
Non-Personal Service/Indirect Cost	11,767	285	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Empire State Development Corporation</i>	23,276	38,650	44,236	44,236	34,236
Grants to Local Governments	23,276	38,650	44,236	44,236	34,236
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Olympic Regional Development Authority</i>	5,403	4,489	4,699	4,699	4,826
Grants to Local Governments	0	0	0	0	0
State Operations	5,403	4,489	4,699	4,699	4,826
Personal Service	3,090	2,485	2,485	2,485	2,522
Non-Personal Service/Indirect Cost	2,313	2,004	2,214	2,214	2,304
General State Charges	0	0	0	0	0
<i>Science, Technology and Innovation, Foundation for</i>	29,083	26,794	26,526	26,527	23,387
Grants to Local Governments	26,204	24,154	23,640	23,540	20,340
State Operations	2,879	2,640	2,886	2,987	3,047
Personal Service	2,254	1,624	1,826	1,927	1,950
Non-Personal Service/Indirect Cost	625	1,016	1,060	1,060	1,097
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Functional Total	239,821	166,106	155,308	158,579	146,969
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	5,153	4,768	4,317	4,319	4,319
Grants to Local Governments	0	0	0	0	0
State Operations	5,153	4,768	4,317	4,319	4,319
Personal Service	4,729	4,385	3,934	3,936	3,936
Non-Personal Service/Indirect Cost	424	383	383	383	383
General State Charges	0	0	0	0	0
<i>Environmental Conservation, Department of</i>	124,796	110,934	95,343	95,351	97,226
Grants to Local Governments	7,965	6,880	6,526	6,526	6,526
State Operations	116,831	104,054	88,817	88,825	90,700
Personal Service	100,081	89,981	74,723	74,731	76,606
Non-Personal Service/Indirect Cost	16,750	14,073	14,094	14,094	14,094
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Environmental Facilities Corporation</i>	125	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	125	0	0	0	0
Personal Service	122	0	0	0	0
Non-Personal Service/Indirect Cost	3	0	0	0	0
General State Charges	0	0	0	0	0
<i>Parks, Recreation and Historic Preservation, Office of</i>	142,061	138,743	130,564	132,228	132,228
Grants to Local Governments	14,529	17,609	14,900	14,900	14,900
State Operations	127,532	121,134	115,664	117,328	117,328
Personal Service	113,362	109,634	89,982	90,855	90,855
Non-Personal Service/Indirect Cost	14,170	11,500	25,682	26,473	26,473
General State Charges	0	0	0	0	0
Functional Total	272,135	254,445	230,224	231,898	233,773
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	55	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	55	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	55	0	0	0	0
General State Charges	0	0	0	0	0
<i>Transportation, Department of</i>	65,676	98,809	100,538	100,538	100,538
Grants to Local Governments	64,139	97,845	99,574	99,574	99,574
State Operations	1,537	964	964	964	964
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	1,537	964	964	964	964
General State Charges	0	0	0	0	0
FUNCTIONAL TOTAL	65,731	98,809	100,538	100,538	100,538
HEALTH					
<i>Aging, Office for the</i>	115,777	117,746	114,520	114,573	114,616
Grants to Local Governments	113,209	115,937	112,923	112,923	112,923
State Operations	2,568	1,809	1,597	1,650	1,693
Personal Service	2,427	1,535	1,300	1,333	1,366
Non-Personal Service/Indirect Cost	141	274	297	317	327
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>
	<u>Actuals</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Health, Department of	7,699,522	8,445,379	14,187,161	15,777,562	16,985,605
Medical Assistance	6,295,718	6,924,117	12,637,139	14,112,958	15,301,481
Grants to Local Governments	6,274,434	6,877,762	12,590,784	14,066,603	15,255,126
State Operations	21,284	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500
Non-Personal Service/Indirect Cost	21,284	45,855	45,855	45,855	45,855
General State Charges	0	0	0	0	0
Medicaid Administration	514,488	547,163	573,750	596,750	620,650
Grants to Local Governments	514,488	547,163	573,750	596,750	620,650
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Public Health	889,316	974,099	976,272	1,067,854	1,063,474
Grants to Local Governments	691,039	786,299	781,408	868,219	858,839
State Operations	198,277	187,800	194,864	199,635	204,635
Personal Service	81,583	79,708	82,237	84,037	85,837
Non-Personal Service/Indirect Cost	116,694	108,092	112,627	115,598	118,798
General State Charges	0	0	0	0	0
Medicaid Inspector General, Office of	23,551	28,362	30,876	31,616	32,396
Grants to Local Governments	0	0	0	0	0
State Operations	23,551	28,362	30,876	31,616	32,396
Personal Service	16,172	20,769	19,916	20,356	20,806
Non-Personal Service/Indirect Cost	7,379	7,593	10,960	11,260	11,590
General State Charges	0	0	0	0	0
Functional Total	7,838,850	8,591,487	14,332,557	15,923,751	17,132,617
SOCIAL WELFARE					
Children and Family Services, Office of	2,000,459	1,968,796	2,167,640	2,380,524	2,605,317
Children and Family Services	1,950,981	1,862,095	2,036,278	2,245,337	2,466,292
Grants to Local Governments	1,697,140	1,590,392	1,762,088	1,962,809	2,185,401
State Operations	253,841	271,703	274,190	282,528	280,891
Personal Service	170,669	172,830	168,472	172,143	167,306
Non-Personal Service/Indirect Cost	83,172	98,873	105,718	110,385	113,585
General State Charges	0	0	0	0	0
Children and Family Services - Medicaid	49,478	106,701	131,362	135,187	139,025
Grants to Local Governments	49,478	106,701	131,362	135,187	139,025
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Human Rights, Division of</i>	10,731	10,744	10,093	10,274	10,405
Grants to Local Governments	0	0	0	0	0
State Operations	10,731	10,744	10,093	10,274	10,405
Personal Service	8,027	8,901	8,168	8,265	8,363
Non-Personal Service/Indirect Cost	2,704	1,843	1,925	2,009	2,042
General State Charges	0	0	0	0	0
<i>Labor, Department of</i>	14,062	9,040	5,142	74	0
Grants to Local Governments	13,019	8,663	5,142	74	0
State Operations	1,043	377	0	0	0
Personal Service	800	307	0	0	0
Non-Personal Service/Indirect Cost	243	70	0	0	0
General State Charges	0	0	0	0	0
<i>Housing and Community Renewal, Division of</i>	80,713	56,608	52,893	54,007	53,974
Grants to Local Governments	54,192	38,448	35,416	35,416	35,416
State Operations	26,521	18,160	17,477	18,591	18,558
Personal Service	15,110	10,554	9,268	9,993	9,954
Non-Personal Service/Indirect Cost	11,411	7,606	8,209	8,598	8,604
General State Charges	0	0	0	0	0
<i>National Commission Services</i>	359	622	627	629	711
Grants to Local Governments	0	346	350	350	350
State Operations	359	276	277	279	361
Personal Service	316	235	236	238	320
Non-Personal Service/Indirect Cost	43	41	41	41	41
General State Charges	0	0	0	0	0
<i>Prevention of Domestic Violence, Office for</i>	2,127	1,945	1,960	1,981	1,981
Grants to Local Governments	656	682	685	685	685
State Operations	1,471	1,263	1,275	1,296	1,296
Personal Service	1,251	1,109	1,139	1,152	1,152
Non-Personal Service/Indirect Cost	220	154	136	144	144
General State Charges	0	0	0	0	0
<i>Temporary and Disability Assistance, Office of</i>	1,354,683	1,210,609	1,589,031	1,722,923	1,775,994
Welfare Assistance	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
Grants to Local Governments	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Welfare Administration	51,263	0	0	0	0
Grants to Local Governments	51,263	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	166,961	206,580	212,029	218,457	230,302
Grants to Local Governments	116,975	148,127	151,330	154,146	165,296
State Operations	49,986	58,453	60,699	64,311	65,006
Personal Service	14,749	14,093	11,953	12,102	12,278
Non-Personal Service/Indirect Cost	35,237	44,360	48,746	52,209	52,728
General State Charges	0	0	0	0	0
Welfare Inspector General, Office of	313	355	358	358	372
Grants to Local Governments	0	0	0	0	0
State Operations	313	355	358	358	372
Personal Service	313	355	358	358	372
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	3,463,447	3,258,719	3,827,744	4,170,770	4,448,754
MENTAL HYGIENE					
Mental Health, Office of	539,126	524,859	422,271	450,641	469,204
Office of Mental Health	114,462	112,500	1,529	1,529	1,529
Grants to Local Governments	114,462	111,700	729	729	729
State Operations	0	800	800	800	800
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	800	800	800	800
General State Charges	0	0	0	0	0
Office of Mental Health - Medicaid	424,664	412,359	420,742	449,112	467,675
Grants to Local Governments	424,664	412,359	420,742	449,112	467,675
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
People with Developmental Disabilities, Office for	1,478,173	1,563,653	1,554,822	1,666,591	1,830,641
Office for People with Developmental Disabilities	102,577	117,590	1,620	1,620	1,620
Grants to Local Governments	102,577	117,590	1,620	1,620	1,620
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Office for People with Developmental Disabilities - Medicaid	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
Grants to Local Governments	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	134,108	130,322	38,346	38,774	38,780
Alcoholism and Substance Abuse Services	100,328	95,140	1,049	584	584
Grants to Local Governments	100,303	95,140	1,049	584	584
State Operations	25	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	25	0	0	0	0
General State Charges	0	0	0	0	0
Alcoholism and Substance Abuse Services - Medicaid	33,780	35,182	37,297	38,190	38,196
Grants to Local Governments	33,780	35,182	37,297	38,190	38,196
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,166	5,265	4,777	4,857	4,938
Grants to Local Governments	593	470	170	170	170
State Operations	4,573	4,795	4,607	4,687	4,768
Personal Service	3,627	3,371	3,148	3,191	3,232
Non-Personal Service/Indirect Cost	946	1,424	1,459	1,496	1,536
General State Charges	0	0	0	0	0
Functional Total	2,156,573	2,224,099	2,020,216	2,160,863	2,343,563
PUBLIC PROTECTION					
Capital Defenders Office	21	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	21	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	21	0	0	0	0
General State Charges	0	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Grants to Local Governments	0	0	0	0	0
State Operations	2,596	2,701	2,740	2,792	2,824
Personal Service	2,142	2,299	2,326	2,360	2,376
Non-Personal Service/Indirect Cost	454	402	414	432	448
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>
	Actuals	Projected	Projected	Projected	Projected
<i>Correctional Services, Department of</i>	2,622,853	2,414,005	2,466,047	2,519,393	2,583,976
Grants to Local Governments	1,390	300	243	243	243
State Operations	2,619,463	2,411,705	2,465,804	2,519,150	2,583,733
Personal Service	2,111,324	1,898,016	1,939,696	1,960,993	1,983,561
Non-Personal Service/Indirect Cost	508,139	513,689	526,108	558,157	600,172
General State Charges	2,000	2,000	0	0	0
<i>Criminal Justice Services, Division of</i>	145,752	183,335	189,348	191,875	192,299
Grants to Local Governments	90,194	129,397	132,966	134,366	132,908
State Operations	55,558	53,938	56,382	57,509	59,391
Personal Service	35,031	34,755	34,808	35,512	34,329
Non-Personal Service/Indirect Cost	20,527	19,183	21,574	21,997	25,062
General State Charges	0	0	0	0	0
<i>Office of Victim Services</i>	144	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	144	0	0	0	0
Personal Service	113	0	0	0	0
Non-Personal Service/Indirect Cost	31	0	0	0	0
General State Charges	0	0	0	0	0
<i>Homeland Security and Emergency Services</i>	21,665	9,202	10,236	10,305	10,375
Grants to Local Governments	0	2,650	3,300	3,300	3,300
State Operations	21,665	6,552	6,936	7,005	7,075
Personal Service	10,896	4,724	6,936	7,005	7,075
Non-Personal Service/Indirect Cost	10,769	1,828	0	0	0
General State Charges	0	0	0	0	0
<i>Homeland Security</i>	0	34,298	34,710	35,125	35,547
Grants to Local Governments	0	0	0	0	0
State Operations	0	34,298	34,710	35,125	35,547
Personal Service	0	30,909	31,287	31,600	31,916
Non-Personal Service/Indirect Cost	0	3,389	3,423	3,525	3,631
General State Charges	0	0	0	0	0
<i>Investigation, Temporary State Commission of</i>	391	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	391	0	0	0	0
Personal Service	386	0	0	0	0
Non-Personal Service/Indirect Cost	5	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Judicial Commissions</i>	5,145	5,292	5,542	5,616	5,696
Grants to Local Governments	0	0	0	0	0
State Operations	5,145	5,292	5,542	5,616	5,696
Personal Service	3,988	3,984	4,134	4,174	4,217
Non-Personal Service/Indirect Cost	1,157	1,308	1,408	1,442	1,479
General State Charges	0	0	0	0	0
<i>Military and Naval Affairs, Division of</i>	67,804	39,952	31,906	27,123	26,343
Grants to Local Governments	31,571	25,616	16,466	11,466	10,466
State Operations	36,233	14,334	15,438	15,655	15,875
Personal Service	19,790	10,202	10,775	10,899	11,024
Non-Personal Service/Indirect Cost	16,443	4,132	4,663	4,756	4,851
General State Charges	0	2	2	2	2
<i>Parole, Division of</i>	188,175	178,898	174,544	177,290	182,535
Grants to Local Governments	16,324	11,277	6,000	6,000	6,000
State Operations	171,851	167,621	168,544	171,290	176,535
Personal Service	139,375	134,125	133,500	135,196	139,303
Non-Personal Service/Indirect Cost	32,476	33,496	35,044	36,094	37,232
General State Charges	0	0	0	0	0
<i>Probation and Correctional Alternatives, Division of</i>	74,765	0	0	0	1,458
Grants to Local Governments	72,254	0	0	0	1,458
State Operations	2,511	0	0	0	0
Personal Service	2,255	0	0	0	0
Non-Personal Service/Indirect Cost	256	0	0	0	0
General State Charges	0	0	0	0	0
<i>State Police, Division of</i>	535,571	446,006	484,688	489,522	493,924
Grants to Local Governments	0	0	0	0	0
State Operations	535,571	446,006	484,688	489,522	493,924
Personal Service	489,624	413,827	426,099	429,099	432,099
Non-Personal Service/Indirect Cost	45,947	32,179	58,589	60,423	61,825
General State Charges	0	0	0	0	0
Functional Total	3,664,882	3,313,689	3,399,761	3,459,041	3,534,977
HIGHER EDUCATION					
<i>City University of New York</i>	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
Grants to Local Governments	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Higher Education Services Corporation	852,136	793,151	908,142	987,021	987,021
Grants to Local Governments	823,299	788,666	898,142	977,021	977,021
State Operations	28,837	4,485	10,000	10,000	10,000
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	28,837	4,485	10,000	10,000	10,000
General State Charges	0	0	0	0	0
State University of New York	1,833,353	1,686,646	1,863,237	1,905,843	1,929,175
Grants to Local Governments	445,974	475,309	637,147	635,199	635,199
State Operations	1,208,507	1,013,282	1,028,035	1,072,589	1,095,921
Personal Service	849,522	744,177	747,132	771,487	781,718
Non-Personal Service/Indirect Cost	358,985	269,105	280,903	301,102	314,203
General State Charges	178,872	198,055	198,055	198,055	198,055
Functional Total	4,210,777	3,664,309	4,056,434	4,273,043	4,386,318
EDUCATION					
Arts, Council on the	42,286	44,248	39,848	39,885	39,945
Grants to Local Governments	36,887	39,470	35,150	35,150	35,150
State Operations	5,399	4,778	4,698	4,735	4,795
Personal Service	3,741	3,138	2,840	2,864	2,889
Non-Personal Service/Indirect Cost	1,658	1,640	1,858	1,871	1,906
General State Charges	0	0	0	0	0
Education, Department of	17,057,597	20,295,652	21,824,058	24,354,647	26,897,573
School Aid	15,423,736	18,639,511	19,958,114	22,404,615	24,859,173
Grants to Local Governments	15,423,736	18,639,511	19,958,114	22,404,615	24,859,173
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
Grants to Local Governments	63,757	125,820	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Special Education Categorical Programs	919,682	935,766	1,294,271	1,373,136	1,455,616
Grants to Local Governments	919,682	935,766	1,294,271	1,373,136	1,455,616
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
All Other	650,422	594,555	571,673	576,896	582,784
Grants to Local Governments	600,090	551,954	531,929	536,463	541,914
State Operations	48,631	40,691	37,834	38,523	38,960
Personal Service	29,760	24,332	21,579	21,841	22,278
Non-Personal Service/Indirect Cost	18,871	16,359	16,255	16,682	16,682
General State Charges	1,701	1,910	1,910	1,910	1,910
Functional Total	17,099,883	20,339,900	21,863,906	24,394,532	26,937,518
GENERAL GOVERNMENT					
Budget, Division of the	24,368	24,365	25,041	25,488	25,868
Grants to Local Governments	0	0	0	0	0
State Operations	24,368	24,365	25,041	25,488	25,868
Personal Service	22,036	21,500	21,500	22,145	22,809
Non-Personal Service/Indirect Cost	2,332	2,865	3,541	3,343	3,059
General State Charges	0	0	0	0	0
Civil Service, Department of	20,257	15,468	15,682	15,885	16,113
Grants to Local Governments	0	0	0	0	0
State Operations	20,257	15,468	15,682	15,885	16,113
Personal Service	19,207	14,865	15,065	15,253	15,466
Non-Personal Service/Indirect Cost	1,050	603	617	632	647
General State Charges	0	0	0	0	0
Deferred Compensation	103	103	52	53	54
Grants to Local Governments	0	0	0	0	0
State Operations	103	103	52	53	54
Personal Service	31	28	29	29	29
Non-Personal Service/Indirect Cost	72	75	23	24	25
General State Charges	0	0	0	0	0
Elections, State Board of	6,031	6,549	7,877	36,154	6,099
Grants to Local Governments	195	967	2,000	30,000	0
State Operations	5,836	5,582	5,877	6,154	6,099
Personal Service	4,389	4,114	4,308	4,490	4,353
Non-Personal Service/Indirect Cost	1,447	1,468	1,569	1,664	1,746
General State Charges	0	0	0	0	0
Employee Relations, Office of	3,204	3,150	3,169	3,201	3,239
Grants to Local Governments	0	0	0	0	0
State Operations	3,204	3,150	3,169	3,201	3,239
Personal Service	3,071	3,019	3,035	3,064	3,099
Non-Personal Service/Indirect Cost	133	131	134	137	140
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
General Services, Office of	127,444	119,830	125,177	128,133	130,963
Grants to Local Governments	24	398	324	324	324
State Operations	127,420	119,432	124,853	127,809	130,639
Personal Service	59,521	52,029	52,517	53,397	53,984
Non-Personal Service/Indirect Cost	67,899	67,403	72,336	74,412	76,655
General State Charges	0	0	0	0	0
Inspector General, Office of	6,052	5,937	6,100	6,185	6,272
Grants to Local Governments	0	0	0	0	0
State Operations	6,052	5,937	6,100	6,185	6,272
Personal Service	5,767	5,500	5,644	5,705	5,766
Non-Personal Service/Indirect Cost	285	437	456	480	506
General State Charges	0	0	0	0	0
Labor Management Committee	33,609	45,000	50,000	56,618	25,465
Grants to Local Governments	0	0	0	0	0
State Operations	33,609	45,000	50,000	56,618	25,465
Personal Service	8,055	7,929	7,820	7,908	7,908
Non-Personal Service/Indirect Cost	25,554	37,071	42,180	48,710	17,557
General State Charges	0	0	0	0	0
Public Employment Relations Board	3,637	3,633	3,589	3,825	4,066
Grants to Local Governments	0	0	0	0	0
State Operations	3,637	3,633	3,589	3,825	4,066
Personal Service	3,262	3,184	3,122	3,079	3,306
Non-Personal Service/Indirect Cost	375	449	467	746	760
General State Charges	0	0	0	0	0
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Grants to Local Governments	0	0	0	0	0
State Operations	4,209	4,054	4,463	4,643	4,720
Personal Service	3,357	3,094	3,417	3,561	3,603
Non-Personal Service/Indirect Cost	852	960	1,046	1,082	1,117
General State Charges	0	0	0	0	0
Real Property Services, Office of	34,790	0	0	0	0
Grants to Local Governments	11,409	0	0	0	0
State Operations	23,381	0	0	0	0
Personal Service	19,732	0	0	0	0
Non-Personal Service/Indirect Cost	3,649	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Regulatory Reform, Governor's Office of</i>	2,449	1,625	1,543	1,561	1,579
Grants to Local Governments	0	0	0	0	0
State Operations	2,449	1,625	1,543	1,561	1,579
Personal Service	2,248	1,450	1,361	1,375	1,388
Non-Personal Service/Indirect Cost	201	175	182	186	191
General State Charges	0	0	0	0	0
<i>State, Department of</i>	41,868	42,503	36,452	32,236	32,486
Grants to Local Governments	23,530	26,751	20,637	16,200	16,200
State Operations	18,338	15,752	15,815	16,036	16,286
Personal Service	13,737	11,111	10,345	10,460	10,577
Non-Personal Service/Indirect Cost	4,601	4,641	5,470	5,576	5,709
General State Charges	0	0	0	0	0
<i>Tax Appeals, Division of</i>	3,458	2,952	2,787	2,787	2,825
Grants to Local Governments	0	0	0	0	0
State Operations	3,458	2,952	2,787	2,787	2,825
Personal Service	2,960	2,585	2,420	2,420	2,447
Non-Personal Service/Indirect Cost	498	367	367	367	378
General State Charges	0	0	0	0	0
<i>Taxation and Finance, Department of</i>	334,186	373,180	354,772	354,912	359,377
Grants to Local Governments	0	12,062	12,361	12,461	12,461
State Operations	334,186	361,118	342,411	342,451	346,916
Personal Service	267,552	292,906	275,657	275,697	278,300
Non-Personal Service/Indirect Cost	66,634	68,212	66,754	66,754	68,616
General State Charges	0	0	0	0	0
<i>Technology, Office for</i>	22,765	26,098	35,248	33,294	33,757
Grants to Local Governments	299	2,180	0	0	0
State Operations	22,466	23,918	35,248	33,294	33,757
Personal Service	10,571	12,377	12,451	12,571	12,669
Non-Personal Service/Indirect Cost	11,895	11,541	22,797	20,723	21,088
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Veterans' Affairs, Division of	14,756	15,381	15,166	15,080	15,151
Grants to Local Governments	8,290	9,480	9,076	9,076	9,076
State Operations	6,466	5,901	6,090	6,004	6,075
Personal Service	5,996	5,447	5,590	5,635	5,696
Non-Personal Service/Indirect Cost	470	454	500	369	379
General State Charges	0	0	0	0	0
Functional Total	683,186	689,828	687,118	720,055	668,034
ELECTED OFFICIALS					
Legislature	224,079	217,845	222,246	226,735	231,313
Grants to Local Governments	0	0	0	0	0
State Operations	224,079	217,845	222,246	226,735	231,313
Personal Service	177,874	165,047	168,348	171,715	175,149
Non-Personal Service/Indirect Cost	46,205	52,798	53,898	55,020	56,164
General State Charges	0	0	0	0	0
Judiciary	2,268,899	2,335,945	2,575,225	2,691,776	2,833,905
Grants to Local Governments	4,643	5,045	55,100	55,100	55,100
State Operations	1,771,673	1,790,200	1,932,935	1,997,942	2,079,123
Personal Service	1,476,862	1,477,186	1,592,335	1,633,470	1,689,140
Non-Personal Service/Indirect Cost	294,811	313,014	340,600	364,472	389,983
General State Charges	492,583	540,700	587,190	638,734	699,682
Audit and Control, Department of	168,256	169,091	175,591	183,504	185,858
Grants to Local Governments	32,026	31,672	32,024	32,024	32,024
State Operations	136,230	137,419	143,567	151,480	153,834
Personal Service	111,830	113,342	115,494	122,553	123,919
Non-Personal Service/Indirect Cost	24,400	24,077	28,073	28,927	29,915
General State Charges	0	0	0	0	0
Law, Department of	119,910	109,304	112,443	114,030	115,939
Grants to Local Governments	0	0	0	0	0
State Operations	119,910	109,304	112,443	114,030	115,939
Personal Service	101,527	95,259	96,188	96,973	98,027
Non-Personal Service/Indirect Cost	18,383	14,045	16,255	17,057	17,912
General State Charges	0	0	0	0	0
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Grants to Local Governments	0	0	0	0	0
State Operations	17,056	15,473	16,097	16,374	16,632
Personal Service	13,451	12,400	12,897	13,074	13,232
Non-Personal Service/Indirect Cost	3,605	3,073	3,200	3,300	3,400
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
<i>Lieutenant Governor, Office of the</i>	0	516	1,051	1,066	1,066
Grants to Local Governments	0	0	0	0	0
State Operations	0	516	1,051	1,066	1,066
Personal Service	0	424	890	917	945
Non-Personal Service/Indirect Cost	0	92	161	149	121
General State Charges	0	0	0	0	0
Functional Total	<u>2,798,200</u>	<u>2,848,174</u>	<u>3,102,653</u>	<u>3,233,485</u>	<u>3,384,713</u>
LOCAL GOVERNMENT ASSISTANCE					
<i>Aid and Incentives for Municipalities</i>	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Grants to Local Governments	1,039,488	740,716	1,030,259	1,030,300	1,030,468
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Efficiency Incentive Grants Program</i>	3,293	7,595	7,632	7,533	0
Grants to Local Governments	3,293	7,595	7,632	7,533	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	8,920	3,920	3,920	3,920	3,920
Grants to Local Governments	8,920	3,920	3,920	3,920	3,920
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Municipalities with VLT Facilities</i>	26,489	25,801	25,801	25,801	25,801
Grants to Local Governments	26,489	25,801	25,801	25,801	25,801
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Small Government Assistance</i>	2,089	2,065	2,088	2,088	2,088
Grants to Local Governments	2,089	2,065	2,088	2,088	2,088
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
<i>General State Charges</i>	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Grants to Local Governments	0	0	0	0	0
State Operations	4,852	0	0	0	0
Personal Service	2,175	0	0	0	0
Non-Personal Service/Indirect Cost	2,677	0	0	0	0
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588
<i>Miscellaneous</i>	(78,946)	403,416	706,481	442,067	445,919
Grants to Local Governments	(84,359)	296,365	255,637	160,246	114,098
State Operations	2,535	102,631	446,424	277,403	327,403
Personal Service	86	22,199	376,205	157,204	157,204
Non-Personal Service/Indirect Cost	2,449	80,432	70,219	120,199	170,199
General State Charges	2,878	4,420	4,420	4,418	4,418
Functional Total	<u>2,841,657</u>	<u>3,775,094</u>	<u>4,497,846</u>	<u>4,588,179</u>	<u>4,978,507</u>
TOTAL GENERAL FUND SPENDING	<u>46,415,421</u>	<u>50,004,756</u>	<u>59,344,005</u>	<u>64,484,376</u>	<u>69,358,558</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	58,146	48,805	47,243	49,789	50,594
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,184	2,230	2,294	2,384	2,426
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	40,551	33,358	30,148	30,782	31,338
Energy Research and Development Authority	240	0	0	0	0
Insurance Department	80,893	11,580	0	0	0
Empire State Development Corporation	23,276	38,650	44,236	44,236	34,236
Olympic Regional Development Authority	5,403	4,489	4,699	4,699	4,826
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	9	0	0	0	0
Science, Technology and Innovation, Foundation for	29,083	26,794	26,526	26,527	23,387
Strategic Investment	0	0	0	0	0
Functional Total	<u>239,821</u>	<u>166,106</u>	<u>155,308</u>	<u>158,579</u>	<u>146,969</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	4,768	4,317	4,319	4,319
Environmental Conservation, Department of	124,796	110,934	95,343	95,351	97,226
Environmental Facilities Corporation	125	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,061	138,743	130,564	132,228	132,228
Functional Total	<u>272,135</u>	<u>254,445</u>	<u>230,224</u>	<u>231,898</u>	<u>233,773</u>
TRANSPORTATION					
Motor Vehicles, Department of	55	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	65,676	98,809	100,538	100,538	100,538
Functional Total	<u>65,731</u>	<u>98,809</u>	<u>100,538</u>	<u>100,538</u>	<u>100,538</u>
HEALTH					
Aging, Office for the	115,777	117,746	114,520	114,573	114,616
Health, Department of	7,699,522	8,445,379	14,187,161	15,777,562	16,985,605
<i>Medical Assistance</i>	6,295,718	6,924,117	12,637,139	14,112,958	15,301,481
<i>Medicaid Administration</i>	514,488	547,163	573,750	596,750	620,650
<i>Public Health</i>	889,316	974,099	976,272	1,067,854	1,063,474
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	23,551	28,362	30,876	31,616	32,396
Stem Cell and Innovation	0	0	0	0	0
Functional Total	<u>7,838,850</u>	<u>8,591,487</u>	<u>14,332,557</u>	<u>15,923,751</u>	<u>17,132,617</u>
SOCIAL WELFARE					
Children and Family Services, Office of	2,000,459	1,968,796	2,167,640	2,380,524	2,605,317
OCFS	1,950,981	1,862,095	2,036,278	2,245,337	2,466,292
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Labor, Department of	14,062	9,040	5,142	74	0
Housing and Community Renewal, Division of	80,713	56,608	52,893	54,007	53,974
National Commission Services	359	622	627	629	711
Prevention of Domestic Violence, Office for	2,127	1,945	1,960	1,981	1,981

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of	1,354,683	1,210,609	1,589,031	1,722,923	1,775,994
<i>Welfare Assistance</i>	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	166,961	206,580	212,029	218,457	230,302
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,463,447	3,258,719	3,827,744	4,170,770	4,448,754
MENTAL HYGIENE					
Mental Health, Office of	539,126	524,859	422,271	450,641	469,204
<i>OMH</i>	114,462	112,500	1,529	1,529	1,529
<i>OMH - Medicaid</i>	424,664	412,359	420,742	449,112	467,675
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,478,173	1,563,653	1,554,822	1,666,591	1,830,641
<i>OPWDD</i>	102,577	117,590	1,620	1,620	1,620
<i>OPWDD - Medicaid</i>	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
Alcoholism and Substance Abuse Services, Office of	134,108	130,322	38,346	38,774	38,780
<i>OASAS</i>	100,328	95,140	1,049	584	584
<i>OASAS - Medicaid</i>	33,780	35,182	37,297	38,190	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,166	5,265	4,777	4,857	4,938
Functional Total	2,156,573	2,224,099	2,020,216	2,160,863	2,343,563
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,622,853	2,414,005	2,466,047	2,519,393	2,583,976
Criminal Justice Services, Division of	145,752	183,335	189,348	191,875	192,299
Office of Victim Services	144	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	21,665	9,202	10,236	10,305	10,375
Homeland Security	0	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	391	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	67,804	39,952	31,906	27,123	26,343
Parole, Division of	188,175	178,898	174,544	177,290	182,535
Probation and Correctional Alternatives, Division of	74,765	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	535,571	446,006	484,688	489,522	493,924
Wireless Network	0	0	0	0	0
Functional Total	3,664,882	3,313,689	3,399,761	3,459,041	3,534,977

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
Higher Education Services Corporation	852,136	793,151	908,142	987,021	987,021
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	1,833,353	1,686,646	1,863,237	1,905,843	1,929,175
Functional Total	4,210,777	3,664,309	4,056,434	4,273,043	4,386,318
EDUCATION					
Arts, Council on the	42,286	44,248	39,848	39,885	39,945
Education, Department of	17,057,597	20,295,652	21,824,058	24,354,647	26,897,573
<i>School Aid</i>	15,423,736	18,639,511	19,958,114	22,404,615	24,859,173
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	919,682	935,766	1,294,271	1,373,136	1,455,616
<i>All Other</i>	650,422	594,555	571,673	576,896	582,784
Functional Total	17,099,883	20,339,900	21,863,906	24,394,532	26,937,518
GENERAL GOVERNMENT					
Budget, Division of the	24,368	24,365	25,041	25,488	25,868
Civil Service, Department of	20,257	15,468	15,682	15,885	16,113
Deferred Compensation	103	103	52	53	54
Elections, State Board of	6,031	6,549	7,877	36,154	6,099
Employee Relations, Office of	3,204	3,150	3,169	3,201	3,239
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	127,444	119,830	125,177	128,133	130,963
Inspector General, Office of	6,052	5,937	6,100	6,185	6,272
Labor Management Committee	33,609	45,000	50,000	56,618	25,465
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,637	3,633	3,589	3,825	4,066
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	34,790	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	41,868	42,503	36,452	32,236	32,486
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	334,186	373,180	354,772	354,912	359,377
Technology, Office for	22,765	26,098	35,248	33,294	33,757
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	14,756	15,381	15,166	15,080	15,151
Functional Total	683,186	689,828	687,118	720,055	668,034

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	224,079	217,845	222,246	226,735	231,313
Judiciary	2,268,899	2,335,945	2,575,225	2,691,776	2,833,905
Audit and Control, Department of	168,256	169,091	175,591	183,504	185,858
Law, Department of	119,910	109,304	112,443	114,030	115,939
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>2,798,200</u>	<u>2,848,174</u>	<u>3,102,653</u>	<u>3,233,485</u>	<u>3,384,713</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	(78,946)	403,416	706,481	442,067	445,919
Functional Total	<u>2,841,657</u>	<u>3,775,094</u>	<u>4,497,846</u>	<u>4,588,179</u>	<u>4,978,507</u>
TOTAL GENERAL FUND SPENDING	<u><u>46,415,421</u></u>	<u><u>50,004,756</u></u>	<u><u>59,344,005</u></u>	<u><u>64,484,376</u></u>	<u><u>69,358,558</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	19,916	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	11,436	13,299	10,249	10,249	10,249
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	68,598	11,145	0	0	0
Empire State Development Corporation	23,276	38,650	44,236	44,236	34,236
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,154	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	156,391	107,364	98,441	100,735	87,672
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	7,965	6,880	6,526	6,526	6,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	14,529	17,609	14,900	14,900	14,900
Functional Total	22,494	24,489	21,426	21,426	21,426
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	64,139	97,845	99,574	99,574	99,574
Functional Total	64,139	97,845	99,574	99,574	99,574
HEALTH					
Aging, Office for the	113,209	115,937	112,923	112,923	112,923
Health, Department of	7,479,961	8,211,224	13,945,942	15,531,572	16,734,615
<i>Medical Assistance</i>	6,274,434	6,877,762	12,590,784	14,066,603	15,255,126
<i>Medicaid Administration</i>	514,488	547,163	573,750	596,750	620,650
<i>Public Health</i>	691,039	786,299	781,408	868,219	858,839
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	7,593,170	8,327,161	14,058,865	15,644,495	16,847,538
SOCIAL WELFARE					
Children and Family Services, Office of	1,746,618	1,697,093	1,893,450	2,097,996	2,324,426
OCFS	1,697,140	1,590,392	1,762,088	1,962,809	2,185,401
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	0	0	0	0	0
Labor, Department of	13,019	8,663	5,142	74	0
Housing and Community Renewal, Division of	54,192	38,448	35,416	35,416	35,416
National Commission Services	0	346	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	682	685	685	685
Temporary and Disability Assistance, Office of	1,304,697	1,152,156	1,528,332	1,658,612	1,710,988
<i>Welfare Assistance</i>	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	116,975	148,127	151,330	154,146	165,296
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,119,182	2,897,388	3,463,375	3,793,133	4,071,865
MENTAL HYGIENE					
Mental Health, Office of	539,126	524,059	421,471	449,841	468,404
<i>OMH</i>	114,462	111,700	729	729	729
<i>OMH - Medicaid</i>	424,664	412,359	420,742	449,112	467,675
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,478,173	1,563,653	1,554,822	1,666,591	1,830,641
<i>OPWDD</i>	102,577	117,590	1,620	1,620	1,620
<i>OPWDD - Medicaid</i>	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
Alcoholism and Substance Abuse Services, Office of	134,083	130,322	38,346	38,774	38,780
<i>OASAS</i>	100,303	95,140	1,049	584	584
<i>OASAS - Medicaid</i>	33,780	35,182	37,297	38,190	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	593	470	170	170	170
Functional Total	2,151,975	2,218,504	2,014,809	2,155,376	2,337,995
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,390	300	243	243	243
Criminal Justice Services, Division of	90,194	129,397	132,966	134,366	132,908
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	2,650	3,300	3,300	3,300
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	31,571	25,616	16,466	11,466	10,466
Parole, Division of	16,324	11,277	6,000	6,000	6,000
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	211,733	169,240	158,975	155,375	154,375

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
Higher Education Services Corporation	823,299	788,666	898,142	977,021	977,021
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	445,974	475,309	637,147	635,199	635,199
Functional Total	2,794,561	2,448,487	2,820,344	2,992,399	3,082,342
EDUCATION					
Arts, Council on the	36,887	39,470	35,150	35,150	35,150
Education, Department of	17,007,265	20,253,051	21,784,314	24,314,214	26,856,703
<i>School Aid</i>	15,423,736	18,639,511	19,958,114	22,404,615	24,859,173
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	919,682	935,766	1,294,271	1,373,136	1,455,616
<i>All Other</i>	600,090	551,954	531,929	536,463	541,914
Functional Total	17,044,152	20,292,521	21,819,464	24,349,364	26,891,853
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	195	967	2,000	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	398	324	324	324
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	23,530	26,751	20,637	16,200	16,200
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,062	12,361	12,461	12,461
Technology, Office for	299	2,180	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,480	9,076	9,076	9,076
Functional Total	43,747	51,838	44,398	68,061	38,061

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	4,643	5,045	55,100	55,100	55,100
Audit and Control, Department of	32,026	31,672	32,024	32,024	32,024
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>36,669</u>	<u>36,717</u>	<u>87,124</u>	<u>87,124</u>	<u>87,124</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(84,359)	296,365	255,637	160,246	114,098
Functional Total	<u>(84,359)</u>	<u>296,365</u>	<u>255,637</u>	<u>160,246</u>	<u>114,098</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>34,234,133</u>	<u>37,748,016</u>	<u>46,012,132</u>	<u>50,696,950</u>	<u>54,896,200</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,305	28,889	27,089	27,241	27,909
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,184	2,230	2,294	2,384	2,426
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,115	20,059	19,899	20,533	21,089
Energy Research and Development Authority	240	0	0	0	0
Insurance Department	12,295	435	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,403	4,489	4,699	4,699	4,826
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	9	0	0	0	0
Science, Technology and Innovation, Foundation for	2,879	2,640	2,886	2,987	3,047
Strategic Investment	0	0	0	0	0
Functional Total	83,430	58,742	56,867	57,844	59,297
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	4,768	4,317	4,319	4,319
Environmental Conservation, Department of	116,831	104,054	88,817	88,825	90,700
Environmental Facilities Corporation	125	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	127,532	121,134	115,664	117,328	117,328
Functional Total	249,641	229,956	208,798	210,472	212,347
TRANSPORTATION					
Motor Vehicles, Department of	55	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,537	964	964	964	964
Functional Total	1,592	964	964	964	964
HEALTH					
Aging, Office for the	2,568	1,809	1,597	1,650	1,693
Health, Department of	219,561	234,155	241,219	245,990	250,990
<i>Medical Assistance</i>	21,284	46,355	46,355	46,355	46,355
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	198,277	187,800	194,864	199,635	204,635
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	23,551	28,362	30,876	31,616	32,396
Stem Cell and Innovation	0	0	0	0	0
Functional Total	245,680	264,326	273,692	279,256	285,079
SOCIAL WELFARE					
Children and Family Services, Office of	253,841	271,703	274,190	282,528	280,891
OCFS	253,841	271,703	274,190	282,528	280,891
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Labor, Department of	1,043	377	0	0	0
Housing and Community Renewal, Division of	26,521	18,160	17,477	18,591	18,558
National Commission Services	359	276	277	279	361

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,471	1,263	1,275	1,296	1,296
Temporary and Disability Assistance, Office of	49,986	58,453	60,699	64,311	65,006
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	49,986	58,453	60,699	64,311	65,006
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	0	0	0	0	0
Functional Total	344,265	361,331	364,369	377,637	376,889
MENTAL HYGIENE					
Mental Health, Office of	0	800	800	800	800
<i>OMH</i>	0	800	800	800	800
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	0	0	0	0	0
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	25	0	0	0	0
<i>OASAS</i>	25	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,573	4,795	4,607	4,687	4,768
Functional Total	4,598	5,595	5,407	5,487	5,568
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,619,463	2,411,705	2,465,804	2,519,150	2,583,733
Criminal Justice Services, Division of	55,558	53,938	56,382	57,509	59,391
Office of Victim Services	144	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	21,665	6,552	6,936	7,005	7,075
Homeland Security	0	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	391	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	36,233	14,334	15,438	15,655	15,875
Parole, Division of	171,851	167,621	168,544	171,290	176,535
Probation and Correctional Alternatives, Division of	2,511	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	535,571	446,006	484,688	489,522	493,924
Wireless Network	0	0	0	0	0
Functional Total	3,451,149	3,142,447	3,240,784	3,303,664	3,380,600

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	28,837	4,485	10,000	10,000	10,000
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	1,208,507	1,013,282	1,028,035	1,072,589	1,095,921
Functional Total	1,237,344	1,017,767	1,038,035	1,082,589	1,105,921
EDUCATION					
Arts, Council on the	5,399	4,778	4,698	4,735	4,795
Education, Department of	48,631	40,691	37,834	38,523	38,960
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	48,631	40,691	37,834	38,523	38,960
Functional Total	54,030	45,469	42,532	43,258	43,755
GENERAL GOVERNMENT					
Budget, Division of the	24,368	24,365	25,041	25,488	25,868
Civil Service, Department of	20,257	15,468	15,682	15,885	16,113
Deferred Compensation	103	103	52	53	54
Elections, State Board of	5,836	5,582	5,877	6,154	6,099
Employee Relations, Office of	3,204	3,150	3,169	3,201	3,239
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	127,420	119,432	124,853	127,809	130,639
Inspector General, Office of	6,052	5,937	6,100	6,185	6,272
Labor Management Committee	33,609	45,000	50,000	56,618	25,465
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,637	3,633	3,589	3,825	4,066
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	23,381	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	18,338	15,752	15,815	16,036	16,286
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	334,186	361,118	342,411	342,451	346,916
Technology, Office for	22,466	23,918	35,248	33,294	33,757
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,466	5,901	6,090	6,004	6,075
Functional Total	639,439	637,990	642,720	651,994	629,973

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	224,079	217,845	222,246	226,735	231,313
Judiciary	1,771,673	1,790,200	1,932,935	1,997,942	2,079,123
Audit and Control, Department of	136,230	137,419	143,567	151,480	153,834
Law, Department of	119,910	109,304	112,443	114,030	115,939
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>2,268,948</u>	<u>2,270,757</u>	<u>2,428,339</u>	<u>2,507,627</u>	<u>2,597,907</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	2,535	102,631	446,424	277,403	327,403
Functional Total	<u>7,387</u>	<u>102,631</u>	<u>446,424</u>	<u>277,403</u>	<u>327,403</u>
TOTAL STATE OPERATIONS SPENDING	<u>8,587,503</u>	<u>8,137,975</u>	<u>8,748,931</u>	<u>8,798,195</u>	<u>9,025,703</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	23,245	21,556	19,438	19,851	20,272
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	1,993	1,922	1,949	2,019	2,044
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,973	11,704	10,388	11,022	11,167
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	528	150	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,485	2,485	2,485	2,522
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	2,254	1,624	1,826	1,927	1,950
Strategic Investment	0	0	0	0	0
Functional Total	44,083	39,441	36,086	37,304	37,955
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,385	3,934	3,936	3,936
Environmental Conservation, Department of	100,081	89,981	74,723	74,731	76,606
Environmental Facilities Corporation	122	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	113,362	109,634	89,982	90,855	90,855
Functional Total	218,294	204,000	168,639	169,522	171,397
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	0	0	0	0	0
Functional Total	0	0	0	0	0
HEALTH					
Aging, Office for the	2,427	1,535	1,300	1,333	1,366
Health, Department of	81,583	80,208	82,737	84,537	86,337
<i>Medical Assistance</i>	0	500	500	500	500
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	81,583	79,708	82,237	84,037	85,837
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	16,172	20,769	19,916	20,356	20,806
Stem Cell and Innovation	0	0	0	0	0
Functional Total	100,182	102,512	103,953	106,226	108,509
SOCIAL WELFARE					
Children and Family Services, Office of	170,669	172,830	168,472	172,143	167,306
<i>OCFS</i>	170,669	172,830	168,472	172,143	167,306
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	8,027	8,901	8,168	8,265	8,363
Labor, Department of	800	307	0	0	0
Housing and Community Renewal, Division of	15,110	10,554	9,268	9,993	9,954
National Commission Services	316	235	236	238	320

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,251	1,109	1,139	1,152	1,152
Temporary and Disability Assistance, Office of	14,749	14,093	11,953	12,102	12,278
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	14,749	14,093	11,953	12,102	12,278
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	0	0	0	0	0
Functional Total	211,235	208,384	199,594	204,251	199,745
MENTAL HYGIENE					
Mental Health, Office of	0	0	0	0	0
<i>OMH</i>	0	0	0	0	0
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	0	0	0	0	0
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	0	0	0	0	0
<i>OASAS</i>	0	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	3,627	3,371	3,148	3,191	3,232
Functional Total	3,627	3,371	3,148	3,191	3,232
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,142	2,299	2,326	2,360	2,376
Correctional Services, Department of	2,111,324	1,898,016	1,939,696	1,960,993	1,983,561
Criminal Justice Services, Division of	35,031	34,755	34,808	35,512	34,329
Office of Victim Services	113	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	10,896	4,724	6,936	7,005	7,075
Homeland Security	0	30,909	31,287	31,600	31,916
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	3,984	4,134	4,174	4,217
Military and Naval Affairs, Division of	19,790	10,202	10,775	10,899	11,024
Parole, Division of	139,375	134,125	133,500	135,196	139,303
Probation and Correctional Alternatives, Division of	2,255	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	489,624	413,827	426,099	429,099	432,099
Wireless Network	0	0	0	0	0
Functional Total	2,814,924	2,532,841	2,589,561	2,616,838	2,645,900

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	849,522	744,177	747,132	771,487	781,718
Functional Total	849,522	744,177	747,132	771,487	781,718
EDUCATION					
Arts, Council on the	3,741	3,138	2,840	2,864	2,889
Education, Department of	29,760	24,332	21,579	21,841	22,278
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	29,760	24,332	21,579	21,841	22,278
Functional Total	33,501	27,470	24,419	24,705	25,167
GENERAL GOVERNMENT					
Budget, Division of the	22,036	21,500	21,500	22,145	22,809
Civil Service, Department of	19,207	14,865	15,065	15,253	15,466
Deferred Compensation	31	28	29	29	29
Elections, State Board of	4,389	4,114	4,308	4,490	4,353
Employee Relations, Office of	3,071	3,019	3,035	3,064	3,099
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	59,521	52,029	52,517	53,397	53,984
Inspector General, Office of	5,767	5,500	5,644	5,705	5,766
Labor Management Committee	8,055	7,929	7,820	7,908	7,908
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,262	3,184	3,122	3,079	3,306
Public Integrity, Commission on	3,357	3,094	3,417	3,561	3,603
Real Property Services, Office of	19,732	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,450	1,361	1,375	1,388
State, Department of	13,737	11,111	10,345	10,460	10,577
Tax Appeals, Division of	2,960	2,585	2,420	2,420	2,447
Taxation and Finance, Department of	267,552	292,906	275,657	275,697	278,300
Technology, Office for	10,571	12,377	12,451	12,571	12,669
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	5,996	5,447	5,590	5,635	5,696
Functional Total	451,492	441,138	424,281	426,789	431,400

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,476,862	1,477,186	1,592,335	1,633,470	1,689,140
Audit and Control, Department of	111,830	113,342	115,494	122,553	123,919
Law, Department of	101,527	95,259	96,188	96,973	98,027
Executive Chamber	13,451	12,400	12,897	13,074	13,232
Lieutenant Governor, Office of the	0	424	890	917	945
Functional Total	<u>1,881,544</u>	<u>1,863,658</u>	<u>1,986,152</u>	<u>2,038,702</u>	<u>2,100,412</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	86	22,199	376,205	157,204	157,204
Functional Total	<u>2,261</u>	<u>22,199</u>	<u>376,205</u>	<u>157,204</u>	<u>157,204</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>6,610,665</u></u>	<u><u>6,189,191</u></u>	<u><u>6,659,170</u></u>	<u><u>6,556,219</u></u>	<u><u>6,662,639</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,060	7,333	7,651	7,390	7,637
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	191	308	345	365	382
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,142	8,355	9,511	9,511	9,922
Energy Research and Development Authority	240	0	0	0	0
Insurance Department	11,767	285	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,313	2,004	2,214	2,214	2,304
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	9	0	0	0	0
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	39,347	19,301	20,781	20,540	21,342
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	424	383	383	383	383
Environmental Conservation, Department of	16,750	14,073	14,094	14,094	14,094
Environmental Facilities Corporation	3	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	14,170	11,500	25,682	26,473	26,473
Functional Total	31,347	25,956	40,159	40,950	40,950
TRANSPORTATION					
Motor Vehicles, Department of	55	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,537	964	964	964	964
Functional Total	1,592	964	964	964	964
HEALTH					
Aging, Office for the	141	274	297	317	327
Health, Department of	137,978	153,947	158,482	161,453	164,653
<i>Medical Assistance</i>	21,284	45,855	45,855	45,855	45,855
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	116,694	108,092	112,627	115,598	118,798
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	7,379	7,593	10,960	11,260	11,590
Stem Cell and Innovation	0	0	0	0	0
Functional Total	145,498	161,814	169,739	173,030	176,570
SOCIAL WELFARE					
Children and Family Services, Office of	83,172	98,873	105,718	110,385	113,585
<i>OCFS</i>	83,172	98,873	105,718	110,385	113,585
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	2,704	1,843	1,925	2,009	2,042
Labor, Department of	243	70	0	0	0
Housing and Community Renewal, Division of	11,411	7,606	8,209	8,598	8,604
National Commission Services	43	41	41	41	41

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	154	136	144	144
Temporary and Disability Assistance, Office of	35,237	44,360	48,746	52,209	52,728
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	35,237	44,360	48,746	52,209	52,728
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	133,030	152,947	164,775	173,386	177,144
MENTAL HYGIENE					
Mental Health, Office of	0	800	800	800	800
<i>OMH</i>	0	800	800	800	800
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	0	0	0	0	0
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	25	0	0	0	0
<i>OASAS</i>	25	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	946	1,424	1,459	1,496	1,536
Functional Total	971	2,224	2,259	2,296	2,336
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	454	402	414	432	448
Correctional Services, Department of	508,139	513,689	526,108	558,157	600,172
Criminal Justice Services, Division of	20,527	19,183	21,574	21,997	25,062
Office of Victim Services	31	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	10,769	1,828	0	0	0
Homeland Security	0	3,389	3,423	3,525	3,631
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	5	0	0	0	0
Judicial Commissions	1,157	1,308	1,408	1,442	1,479
Military and Naval Affairs, Division of	16,443	4,132	4,663	4,756	4,851
Parole, Division of	32,476	33,496	35,044	36,094	37,232
Probation and Correctional Alternatives, Division of	256	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	45,947	32,179	58,589	60,423	61,825
Wireless Network	0	0	0	0	0
Functional Total	636,225	609,606	651,223	686,826	734,700

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	28,837	4,485	10,000	10,000	10,000
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	358,985	269,105	280,903	301,102	314,203
Functional Total	387,822	273,590	290,903	311,102	324,203
EDUCATION					
Arts, Council on the	1,658	1,640	1,858	1,871	1,906
Education, Department of	18,871	16,359	16,255	16,682	16,682
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	18,871	16,359	16,255	16,682	16,682
Functional Total	20,529	17,999	18,113	18,553	18,588
GENERAL GOVERNMENT					
Budget, Division of the	2,332	2,865	3,541	3,343	3,059
Civil Service, Department of	1,050	603	617	632	647
Deferred Compensation	72	75	23	24	25
Elections, State Board of	1,447	1,468	1,569	1,664	1,746
Employee Relations, Office of	133	131	134	137	140
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	67,899	67,403	72,336	74,412	76,655
Inspector General, Office of	285	437	456	480	506
Labor Management Committee	25,554	37,071	42,180	48,710	17,557
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	375	449	467	746	760
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	3,649	0	0	0	0
Regulatory Reform, Governor's Office of	201	175	182	186	191
State, Department of	4,601	4,641	5,470	5,576	5,709
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	66,634	68,212	66,754	66,754	68,616
Technology, Office for	11,895	11,541	22,797	20,723	21,088
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	470	454	500	369	379
Functional Total	187,947	196,852	218,439	225,205	198,573

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	46,205	52,798	53,898	55,020	56,164
Judiciary	294,811	313,014	340,600	364,472	389,983
Audit and Control, Department of	24,400	24,077	28,073	28,927	29,915
Law, Department of	18,383	14,045	16,255	17,057	17,912
Executive Chamber	3,605	3,073	3,200	3,300	3,400
Lieutenant Governor, Office of the	0	92	161	149	121
Functional Total	<u>387,404</u>	<u>407,099</u>	<u>442,187</u>	<u>468,925</u>	<u>497,495</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,449	80,432	70,219	120,199	170,199
Functional Total	<u>5,126</u>	<u>80,432</u>	<u>70,219</u>	<u>120,199</u>	<u>170,199</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>1,976,838</u>	<u>1,948,784</u>	<u>2,089,761</u>	<u>2,241,976</u>	<u>2,363,064</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	2,000	2,000	0	0	0
Functional Total	<u>2,000</u>	<u>2,002</u>	<u>2</u>	<u>2</u>	<u>2</u>
HIGHER EDUCATION					
State University of New York	178,872	198,055	198,055	198,055	198,055
Functional Total	<u>178,872</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>
EDUCATION					
Education, Department of	1,701	1,910	1,910	1,910	1,910
Functional Total	<u>1,701</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>
ELECTED OFFICIALS					
Judiciary	492,583	540,700	587,190	638,734	699,682
Functional Total	<u>492,583</u>	<u>540,700</u>	<u>587,190</u>	<u>638,734</u>	<u>699,682</u>
ALL OTHER CATEGORIES					
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	2,878	4,420	4,420	4,418	4,418
Functional Total	<u>2,918,629</u>	<u>3,376,098</u>	<u>3,795,785</u>	<u>4,150,530</u>	<u>4,537,006</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>3,593,785</u></u>	<u><u>4,118,765</u></u>	<u><u>4,582,942</u></u>	<u><u>4,989,231</u></u>	<u><u>5,436,655</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	94,674	82,554	80,903	84,264	85,858
Grants to Local Governments	26,841	19,916	20,154	22,548	22,685
State Operations	63,273	57,616	55,704	56,074	57,011
Personal Service	34,218	31,924	29,764	30,330	30,960
Non-Personal Service/Indirect Cost	29,055	25,692	25,940	25,744	26,051
General State Charges	4,560	5,022	5,045	5,642	6,162
Capital Projects	0	0	0	0	0
<i>Alcoholic Beverage Control</i>	17,012	17,774	19,658	20,176	20,993
Grants to Local Governments	0	0	0	0	0
State Operations	12,875	13,262	14,856	14,646	15,099
Personal Service	8,838	8,588	8,649	8,723	8,841
Non-Personal Service/Indirect Cost	4,037	4,674	6,207	5,923	6,258
General State Charges	4,137	4,512	4,802	5,530	5,894
<i>Banking Department</i>	87,166	90,845	93,975	97,677	100,817
Grants to Local Governments	1,035	850	850	850	850
State Operations	65,082	66,289	68,533	69,452	70,361
Personal Service	49,855	48,950	50,342	50,845	51,354
Non-Personal Service/Indirect Cost	15,227	17,339	18,191	18,607	19,007
General State Charges	21,049	23,706	24,592	27,375	29,606
<i>Developmental Authority North</i>	36	200	162	162	162
Grants to Local Governments	36	200	162	162	162
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Consumer Protection Board</i>	2,295	2,684	2,748	2,633	2,657
Grants to Local Governments	0	0	0	0	0
State Operations	2,295	2,644	2,708	2,593	2,617
Personal Service	2,062	2,031	2,058	2,128	2,153
Non-Personal Service/Indirect Cost	233	613	650	465	464
General State Charges	0	40	40	40	40

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Economic Development, Department of</i>	41,065	36,035	32,825	33,459	34,015
Grants to Local Governments	11,461	13,299	10,249	10,249	10,249
State Operations	29,584	22,708	22,548	23,182	23,738
Personal Service	12,979	11,752	10,436	11,070	11,215
Non-Personal Service/Indirect Cost	16,605	10,956	12,112	12,112	12,523
General State Charges	20	28	28	28	28
Capital Projects	0	0	0	0	0
<i>Energy Research and Development Authority</i>	15,880	15,611	15,997	16,158	16,388
Grants to Local Governments	8,657	9,157	9,234	9,234	9,234
State Operations	5,481	4,871	5,180	5,286	5,396
Personal Service	3,968	3,299	3,365	3,432	3,501
Non-Personal Service/Indirect Cost	1,513	1,572	1,815	1,854	1,895
General State Charges	1,742	1,583	1,583	1,638	1,758
Capital Projects	0	0	0	0	0
<i>Insurance Department</i>	657,937	464,700	463,468	471,900	479,183
Grants to Local Governments	442,822	235,861	224,716	224,716	224,716
State Operations	169,067	177,594	185,569	187,983	190,442
Personal Service	106,061	105,963	108,870	109,958	111,058
Non-Personal Service/Indirect Cost	63,006	71,631	76,699	78,025	79,384
General State Charges	46,048	51,245	53,183	59,201	64,025
<i>Empire State Development Corporation</i>	23,276	38,650	44,236	44,236	34,236
Grants to Local Governments	23,276	38,650	44,236	44,236	34,236
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Olympic Regional Development Authority</i>	5,441	4,815	5,025	5,025	5,152
Grants to Local Governments	0	0	0	0	0
State Operations	5,441	4,815	5,025	5,025	5,152
Personal Service	3,090	2,485	2,485	2,485	2,522
Non-Personal Service/Indirect Cost	2,351	2,330	2,540	2,540	2,630
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Public Service, Department of</i>	75,638	72,544	75,663	79,445	82,806
Grants to Local Governments	0	225	500	500	500
State Operations	54,138	52,130	54,037	55,194	56,367
Personal Service	43,269	41,686	43,248	44,113	44,995
Non-Personal Service/Indirect Cost	10,869	10,444	10,789	11,081	11,372
General State Charges	21,500	20,189	21,126	23,751	25,939

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Racing and Wagering Board, State</i>	22,575	23,733	24,638	22,625	23,405
Grants to Local Governments	0	0	0	0	0
State Operations	17,374	18,288	19,353	16,657	16,918
Personal Service	12,538	11,315	10,895	11,022	11,151
Non-Personal Service/Indirect Cost	4,836	6,973	8,458	5,635	5,767
General State Charges	5,201	5,445	5,285	5,968	6,487
Capital Projects	0	0	0	0	0
<i>Science, Technology and Innovation, Foundation for</i>	29,083	26,794	26,526	26,527	23,387
Grants to Local Governments	26,204	24,154	23,640	23,540	20,340
State Operations	2,879	2,640	2,886	2,987	3,047
Personal Service	2,254	1,624	1,826	1,927	1,950
Non-Personal Service/Indirect Cost	625	1,016	1,060	1,060	1,097
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Functional Total	1,072,078	876,939	885,824	904,287	909,059
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	5,153	4,768	4,317	4,319	4,319
Grants to Local Governments	0	0	0	0	0
State Operations	5,153	4,768	4,317	4,319	4,319
Personal Service	4,729	4,385	3,934	3,936	3,936
Non-Personal Service/Indirect Cost	424	383	383	383	383
General State Charges	0	0	0	0	0
<i>Environmental Conservation, Department of</i>	323,268	297,821	269,728	266,632	265,632
Grants to Local Governments	8,364	6,880	6,526	6,526	6,526
State Operations	278,567	252,676	227,870	224,554	224,554
Personal Service	196,537	189,069	167,838	168,503	168,503
Non-Personal Service/Indirect Cost	82,030	63,607	60,032	56,051	56,051
General State Charges	36,337	38,265	35,332	35,552	34,552
Capital Projects	0	0	0	0	0
<i>Environmental Facilities Corporation</i>	9,733	8,724	8,906	9,090	9,090
Grants to Local Governments	0	0	0	0	0
State Operations	7,859	6,918	7,057	7,198	7,198
Personal Service	6,798	5,705	5,841	5,979	5,979
Non-Personal Service/Indirect Cost	1,061	1,213	1,216	1,219	1,219
General State Charges	1,874	1,806	1,849	1,892	1,892
Capital Projects	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Parks, Recreation and Historic Preservation, Office of</i>	220,545	201,282	192,897	194,564	195,306
Grants to Local Governments	19,546	22,579	19,750	19,750	19,750
State Operations	187,422	172,853	167,325	168,991	169,626
Personal Service	142,458	134,780	115,069	115,943	116,578
Non-Personal Service/Indirect Cost	44,964	38,073	52,256	53,048	53,048
General State Charges	2,537	3,850	3,822	3,823	3,930
Capital Projects	11,040	2,000	2,000	2,000	2,000
Functional Total	558,699	512,595	475,848	474,605	474,347
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	94,433	97,182	92,024	94,111	95,431
Grants to Local Governments	0	0	0	0	0
State Operations	71,894	71,462	68,642	68,660	68,660
Personal Service	52,901	53,032	48,221	48,221	48,221
Non-Personal Service/Indirect Cost	18,993	18,430	20,421	20,439	20,439
General State Charges	22,539	25,720	23,382	25,451	26,771
Capital Projects	0	0	0	0	0
<i>Transportation, Department of</i>	3,847,020	4,314,373	4,364,671	4,463,633	4,544,173
Grants to Local Governments	3,823,477	4,284,243	4,338,397	4,436,874	4,516,974
State Operations	22,303	26,904	23,523	23,695	23,886
Personal Service	6,642	6,381	5,612	5,669	5,725
Non-Personal Service/Indirect Cost	15,661	20,523	17,911	18,026	18,161
General State Charges	1,240	3,226	2,751	3,064	3,313
Capital Projects	0	0	0	0	0
FUNCTIONAL TOTAL	3,941,453	4,411,555	4,456,695	4,557,744	4,639,604
HEALTH					
<i>Aging, Office for the</i>	116,764	117,741	114,517	114,570	114,613
Grants to Local Governments	114,196	115,937	112,923	112,923	112,923
State Operations	2,568	1,805	1,595	1,648	1,691
Personal Service	2,427	1,530	1,297	1,330	1,363
Non-Personal Service/Indirect Cost	141	275	298	318	328
General State Charges	0	(1)	(1)	(1)	(1)
<i>Health, Department of</i>	13,883,400	14,403,110	20,274,757	22,347,421	23,730,151
Medical Assistance	10,964,893	11,405,256	17,075,857	19,002,113	20,306,913
Grants to Local Governments	10,943,609	11,358,901	17,029,502	18,955,758	20,260,558
State Operations	21,284	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500
Non-Personal Service/Indirect Cost	21,284	45,855	45,855	45,855	45,855
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Medicaid Administration	514,488	547,163	573,750	596,750	620,650
Grants to Local Governments	514,488	547,163	573,750	596,750	620,650
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Public Health	2,404,019	2,450,691	2,625,150	2,748,558	2,802,588
Grants to Local Governments	1,873,866	1,917,923	2,079,596	2,185,107	2,219,878
State Operations	496,923	487,856	498,258	510,050	526,487
Personal Service	240,936	250,794	257,875	263,827	270,009
Non-Personal Service/Indirect Cost	255,987	237,062	240,383	246,223	256,478
General State Charges	33,230	44,912	47,296	53,401	56,223
Capital Projects	0	0	0	0	0
Medicaid Inspector General, Office of	27,575	32,495	34,576	35,316	36,096
Grants to Local Governments	0	0	0	0	0
State Operations	27,481	32,369	34,576	35,316	36,096
Personal Service	20,094	24,754	23,616	24,056	24,506
Non-Personal Service/Indirect Cost	7,387	7,615	10,960	11,260	11,590
General State Charges	94	126	0	0	0
Stem Cell and Innovation	17,676	44,700	50,000	61,373	63,673
Grants to Local Governments	0	0	0	0	0
State Operations	17,248	44,700	50,000	61,373	63,673
Personal Service	640	0	0	0	0
Non-Personal Service/Indirect Cost	16,608	44,700	50,000	61,373	63,673
General State Charges	428	0	0	0	0
Functional Total	14,045,415	14,598,046	20,473,850	22,558,680	23,944,533
SOCIAL WELFARE					
Children and Family Services, Office of	2,006,471	1,976,958	2,175,184	2,388,194	2,613,100
Children and Family Services	1,956,993	1,870,257	2,043,822	2,253,007	2,474,075
Grants to Local Governments	1,699,387	1,592,081	1,763,688	1,964,409	2,187,001
State Operations	256,595	276,865	278,790	287,254	285,535
Personal Service	171,709	174,744	170,159	173,888	168,932
Non-Personal Service/Indirect Cost	84,886	102,121	108,631	113,366	116,603
General State Charges	1,011	1,311	1,344	1,344	1,539
Capital Projects	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Children and Family Services - Medicaid	49,478	106,701	131,362	135,187	139,025
Grants to Local Governments	49,478	106,701	131,362	135,187	139,025
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Grants to Local Governments	0	0	0	0	0
State Operations	10,731	10,744	10,093	10,274	10,405
Personal Service	8,027	8,901	8,168	8,265	8,363
Non-Personal Service/Indirect Cost	2,704	1,843	1,925	2,009	2,042
General State Charges	0	0	0	0	0
Labor, Department of	76,086	69,010	67,330	64,942	66,819
Grants to Local Governments	13,057	8,836	5,242	174	100
State Operations	48,117	45,343	46,171	46,889	47,574
Personal Service	33,433	30,702	31,103	31,443	31,762
Non-Personal Service/Indirect Cost	14,684	14,641	15,068	15,446	15,812
General State Charges	14,912	14,831	15,917	17,879	19,145
Housing and Community Renewal, Division of	137,353	110,461	101,338	100,076	101,232
Grants to Local Governments	54,706	39,300	36,268	36,268	36,268
State Operations	67,369	55,199	50,757	49,217	49,612
Personal Service	50,376	42,596	37,413	35,480	35,746
Non-Personal Service/Indirect Cost	16,993	12,603	13,344	13,737	13,866
General State Charges	15,278	15,962	14,313	14,591	15,352
Capital Projects	0	0	0	0	0
National Commission Services	359	622	627	629	711
Grants to Local Governments	0	346	350	350	350
State Operations	359	276	277	279	361
Personal Service	316	235	236	238	320
Non-Personal Service/Indirect Cost	43	41	41	41	41
General State Charges	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,127	1,947	1,962	1,983	1,983
Grants to Local Governments	656	682	685	685	685
State Operations	1,471	1,265	1,277	1,298	1,298
Personal Service	1,251	1,109	1,139	1,152	1,152
Non-Personal Service/Indirect Cost	220	156	138	146	146
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
<i>Temporary and Disability Assistance, Office of</i>	1,361,215	1,213,873	1,592,325	1,726,259	1,779,377
Welfare Assistance	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
Grants to Local Governments	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Welfare Administration	51,263	0	0	0	0
Grants to Local Governments	51,263	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	173,493	209,844	215,323	221,793	233,685
Grants to Local Governments	117,106	148,127	151,330	154,146	165,296
State Operations	55,742	61,467	63,734	67,367	68,084
Personal Service	17,230	16,008	13,887	14,055	14,251
Non-Personal Service/Indirect Cost	38,512	45,459	49,847	53,312	53,833
General State Charges	645	250	259	280	305
Capital Projects	0	0	0	0	0
<i>Welfare Inspector General, Office of</i>	313	355	358	358	372
Grants to Local Governments	0	0	0	0	0
State Operations	313	355	358	358	372
Personal Service	313	355	358	358	372
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Workers' Compensation Board</i>	186,742	204,480	202,705	208,038	214,852
Grants to Local Governments	0	0	0	0	0
State Operations	137,920	163,284	157,377	158,789	161,284
Personal Service	90,768	91,891	93,000	93,643	94,481
Non-Personal Service/Indirect Cost	47,152	71,393	64,377	65,146	66,803
General State Charges	48,822	41,196	45,328	49,249	53,568
Functional Total	3,781,397	3,588,450	4,151,922	4,500,753	4,788,851

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
MENTAL HYGIENE					
<i>Mental Health, Office of</i>	1,831,542	1,995,653	2,428,464	2,603,945	2,747,150
Office of Mental Health	1,265,646	1,434,928	1,475,560	1,563,045	1,660,389
Grants to Local Governments	643,710	759,673	861,812	930,901	1,005,221
State Operations	455,191	489,188	442,372	448,774	455,061
Personal Service	382,310	384,871	341,443	346,214	349,980
Non-Personal Service/Indirect Cost	72,881	104,317	100,929	102,560	105,081
General State Charges	166,745	186,067	171,376	183,370	200,107
Capital Projects	0	0	0	0	0
Office of Mental Health - Medicaid	565,896	560,725	952,904	1,040,900	1,086,761
Grants to Local Governments	424,664	412,359	420,742	449,112	467,675
State Operations	133,441	132,333	408,213	423,153	433,136
Personal Service	20,848	47,512	313,233	322,886	329,551
Non-Personal Service/Indirect Cost	112,593	84,821	94,980	100,267	103,585
General State Charges	7,791	16,033	123,949	168,635	185,950
<i>People with Developmental Disabilities, Office for</i>	2,140,392	2,049,525	2,471,213	2,763,248	2,987,268
Office for People with Developmental Disabilities	460,863	505,005	515,631	536,784	568,879
Grants to Local Governments	460,696	504,829	515,455	536,608	568,698
State Operations	167	176	176	176	181
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	167	176	176	176	181
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Office for People with Developmental Disabilities - Medicaid	1,679,529	1,544,520	1,955,582	2,226,464	2,418,389
Grants to Local Governments	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
State Operations	176,899	61,692	291,115	388,625	393,901
Personal Service	139,407	59,114	284,155	342,164	345,561
Non-Personal Service/Indirect Cost	37,492	2,578	6,960	46,461	48,340
General State Charges	127,034	36,765	111,265	172,868	195,467

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Alcoholism and Substance Abuse Services, Office of</i>	381,331	417,967	446,960	478,710	498,816
Alcoholism and Substance Abuse Services	305,722	336,176	359,462	388,676	406,980
Grants to Local Governments	259,993	295,140	320,756	348,252	365,148
State Operations	35,483	29,808	30,139	30,936	31,421
Personal Service	25,233	19,409	19,784	20,329	20,559
Non-Personal Service/Indirect Cost	10,250	10,399	10,355	10,607	10,862
General State Charges	10,246	11,228	8,567	9,488	10,411
Capital Projects	0	0	0	0	0
Alcoholism and Substance Abuse Services - Medicaid	75,609	81,791	87,498	90,034	91,836
Grants to Local Governments	33,780	35,182	37,297	38,190	38,196
State Operations	31,057	36,025	36,651	37,148	37,659
Personal Service	20,294	27,620	27,856	27,965	28,246
Non-Personal Service/Indirect Cost	10,763	8,405	8,795	9,183	9,413
General State Charges	10,772	10,584	13,550	14,696	15,981
<i>Quality of Care and Advocacy for Persons with Disabilities, Commission on</i>	5,331	5,466	4,977	5,060	5,150
Grants to Local Governments	653	530	230	230	230
State Operations	4,654	4,909	4,719	4,799	4,886
Personal Service	3,684	3,429	3,206	3,249	3,291
Non-Personal Service/Indirect Cost	970	1,480	1,513	1,550	1,595
General State Charges	24	27	28	31	34
Functional Total	4,358,596	4,468,611	5,351,614	5,850,963	6,238,384
PUBLIC PROTECTION					
<i>Capital Defenders Office</i>	21	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	21	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	21	0	0	0	0
General State Charges	0	0	0	0	0
<i>Correction, Commission of</i>	2,596	2,701	2,740	2,792	2,824
Grants to Local Governments	0	0	0	0	0
State Operations	2,596	2,701	2,740	2,792	2,824
Personal Service	2,142	2,299	2,326	2,360	2,376
Non-Personal Service/Indirect Cost	454	402	414	432	448
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Correctional Services, Department of</i>	2,623,892	2,415,182	2,467,609	2,521,359	2,585,992
Grants to Local Governments	1,390	300	243	243	243
State Operations	2,620,502	2,412,882	2,467,366	2,521,116	2,585,749
Personal Service	2,111,324	1,898,016	1,939,696	1,960,993	1,983,561
Non-Personal Service/Indirect Cost	509,178	514,866	527,670	560,123	602,188
General State Charges	2,000	2,000	0	0	0
Capital Projects	0	0	0	0	0
<i>Criminal Justice Services, Division of</i>	181,032	236,866	237,471	240,116	241,552
Grants to Local Governments	114,425	167,593	167,472	168,872	168,414
State Operations	66,524	69,108	69,832	71,064	72,951
Personal Service	35,446	35,216	35,271	35,977	34,796
Non-Personal Service/Indirect Cost	31,078	33,892	34,561	35,087	38,155
General State Charges	83	165	167	180	187
<i>Office of Victim Services</i>	33,468	32,866	32,754	33,154	33,291
Grants to Local Governments	27,624	26,615	26,690	26,690	26,690
State Operations	4,722	5,032	4,828	4,958	4,957
Personal Service	4,014	4,009	3,778	3,879	3,852
Non-Personal Service/Indirect Cost	708	1,023	1,050	1,079	1,105
General State Charges	1,122	1,219	1,236	1,506	1,644
<i>Statewide Financial System</i>	0	30,643	40,072	49,656	49,756
Grants to Local Governments	0	0	0	0	0
State Operations	0	30,643	40,072	49,656	49,756
Personal Service	0	9,484	9,435	9,435	9,435
Non-Personal Service/Indirect Cost	0	21,159	30,637	40,221	40,321
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Homeland Security and Emergency Services</i>	25,500	97,346	114,325	125,166	90,236
Grants to Local Governments	0	35,612	61,598	91,598	66,598
State Operations	25,402	61,520	52,506	33,333	23,403
Personal Service	11,319	5,528	7,708	7,777	7,847
Non-Personal Service/Indirect Cost	14,083	55,992	44,798	25,556	15,556
General State Charges	98	214	221	235	235
Capital Projects	0	0	0	0	0
<i>Homeland Security</i>	0	34,298	34,710	35,125	35,547
Grants to Local Governments	0	0	0	0	0
State Operations	0	34,298	34,710	35,125	35,547
Personal Service	0	30,909	31,287	31,600	31,916
Non-Personal Service/Indirect Cost	0	3,389	3,423	3,525	3,631
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Office of Indigent Legal Services</i>	0	73,691	79,997	80,125	80,237
Grants to Local Governments	0	72,691	77,000	77,000	77,000
State Operations	0	875	2,257	2,301	2,346
Personal Service	0	250	1,515	1,530	1,545
Non-Personal Service/Indirect Cost	0	625	742	771	801
General State Charges	0	125	740	824	891
Capital Projects	0	0	0	0	0
<i>Investigation, Temporary State Commission of</i>	395	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	395	0	0	0	0
Personal Service	386	0	0	0	0
Non-Personal Service/Indirect Cost	9	0	0	0	0
General State Charges	0	0	0	0	0
<i>Judicial Commissions</i>	5,145	5,292	5,542	5,616	5,696
Grants to Local Governments	0	0	0	0	0
State Operations	5,145	5,292	5,542	5,616	5,696
Personal Service	3,988	3,984	4,134	4,174	4,217
Non-Personal Service/Indirect Cost	1,157	1,308	1,408	1,442	1,479
General State Charges	0	0	0	0	0
<i>Military and Naval Affairs, Division of</i>	79,775	53,741	44,938	40,305	39,562
Grants to Local Governments	34,538	28,616	19,466	14,466	13,466
State Operations	44,426	24,245	24,570	24,817	25,074
Personal Service	22,271	12,091	12,667	12,809	12,934
Non-Personal Service/Indirect Cost	22,155	12,154	11,903	12,008	12,140
General State Charges	811	880	902	1,022	1,022
Capital Projects	0	0	0	0	0
<i>Parole, Division of</i>	188,383	179,298	174,744	177,440	182,635
Grants to Local Governments	16,324	11,277	6,000	6,000	6,000
State Operations	172,059	168,021	168,744	171,440	176,635
Personal Service	139,375	134,125	133,500	135,196	139,303
Non-Personal Service/Indirect Cost	32,684	33,896	35,244	36,244	37,332
General State Charges	0	0	0	0	0
<i>Probation and Correctional Alternatives, Division of</i>	74,765	0	0	0	1,458
Grants to Local Governments	72,254	0	0	0	1,458
State Operations	2,511	0	0	0	0
Personal Service	2,255	0	0	0	0
Non-Personal Service/Indirect Cost	256	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
State Police, Division of	747,810	679,946	685,311	690,923	695,782
Grants to Local Governments	0	0	0	0	0
State Operations	727,917	675,348	680,565	685,854	690,713
Personal Service	646,775	586,339	589,553	592,953	596,353
Non-Personal Service/Indirect Cost	81,142	89,009	91,012	92,901	94,360
General State Charges	19,893	4,598	4,746	5,069	5,069
Capital Projects	0	0	0	0	0
Wireless Network	6,672	3,586	1,786	1,786	786
Grants to Local Governments	0	0	0	0	0
State Operations	5,412	3,086	1,286	1,286	286
Personal Service	2,820	1,000	200	200	200
Non-Personal Service/Indirect Cost	2,592	2,086	1,086	1,086	86
General State Charges	1,260	500	500	500	500
Capital Projects	0	0	0	0	0
Functional Total	3,969,454	3,845,456	3,921,999	4,003,563	4,045,354
HIGHER EDUCATION					
City University of New York	1,632,320	1,316,712	1,419,812	1,517,545	1,610,146
Grants to Local Governments	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
State Operations	102,796	126,066	128,482	130,947	133,457
Personal Service	73,896	87,688	89,222	90,784	92,372
Non-Personal Service/Indirect Cost	28,900	38,378	39,260	40,163	41,085
General State Charges	4,236	6,134	6,275	6,419	6,567
Capital Projects	0	0	0	0	0
Higher Education Services Corporation	955,735	906,502	1,012,434	1,078,691	1,084,598
Grants to Local Governments	845,501	810,866	914,142	977,021	977,021
State Operations	95,037	80,058	84,876	85,249	89,792
Personal Service	36,260	35,189	32,134	31,445	31,760
Non-Personal Service/Indirect Cost	58,777	44,869	52,742	53,804	58,032
General State Charges	15,197	15,578	13,416	16,421	17,785
Higher Education Miscellaneous	378	355	355	355	355
Grants to Local Governments	0	0	0	0	0
State Operations	270	220	220	220	220
Personal Service	238	175	175	175	175
Non-Personal Service/Indirect Cost	32	45	45	45	45
General State Charges	108	135	135	135	135
Capital Projects	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>State University Construction Fund</i>	18,595	25,678	26,172	27,074	27,854
Grants to Local Governments	0	0	0	0	0
State Operations	14,504	18,239	18,579	18,684	18,848
Personal Service	12,074	15,278	15,544	15,583	15,622
Non-Personal Service/Indirect Cost	2,430	2,961	3,035	3,101	3,226
General State Charges	4,091	7,439	7,593	8,390	9,006
<i>State University of New York</i>	5,859,418	5,917,295	6,190,809	6,019,031	6,104,370
Grants to Local Governments	445,974	475,309	637,147	635,199	635,199
State Operations	4,949,282	4,912,006	5,056,333	4,883,946	4,965,476
Personal Service	3,243,082	3,148,164	3,234,930	3,141,472	3,167,481
Non-Personal Service/Indirect Cost	1,706,200	1,763,842	1,821,403	1,742,474	1,797,995
General State Charges	464,162	529,980	497,329	499,886	503,695
Capital Projects	0	0	0	0	0
Functional Total	8,466,446	8,166,542	8,649,582	8,642,696	8,827,323
EDUCATION					
<i>Arts, Council on the</i>	42,286	44,248	39,946	39,983	40,043
Grants to Local Governments	36,887	39,470	35,248	35,248	35,248
State Operations	5,399	4,778	4,698	4,735	4,795
Personal Service	3,741	3,138	2,840	2,864	2,889
Non-Personal Service/Indirect Cost	1,658	1,640	1,858	1,871	1,906
General State Charges	0	0	0	0	0
<i>Education, Department of</i>	23,419,734	26,785,174	28,096,857	30,950,722	33,753,536
School Aid	18,249,731	21,732,511	22,695,114	25,299,615	27,822,973
Grants to Local Governments	18,249,731	21,732,511	22,695,114	25,299,615	27,822,973
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
Grants to Local Governments	63,757	125,820	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
STAR Property Tax Relief	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
Grants to Local Governments	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Special Education Categorical Programs	919,682	935,766	1,294,271	1,373,136	1,455,616
Grants to Local Governments	919,682	935,766	1,294,271	1,373,136	1,455,616
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	773,022	721,211	689,852	693,804	702,472
Grants to Local Governments	614,770	569,615	547,684	550,098	555,195
State Operations	131,615	124,810	115,354	114,083	115,397
Personal Service	86,682	77,162	72,107	72,857	73,815
Non-Personal Service/Indirect Cost	44,933	47,648	43,247	41,226	41,582
General State Charges	26,637	26,786	26,814	29,623	31,880
Capital Projects	0	0	0	0	0
Functional Total	23,462,020	26,829,422	28,136,803	30,990,705	33,793,579
GENERAL GOVERNMENT					
Budget, Division of the	40,775	39,212	40,751	41,825	42,755
Grants to Local Governments	0	0	0	0	0
State Operations	38,930	37,227	38,557	39,387	40,049
Personal Service	28,860	25,700	26,000	26,780	27,583
Non-Personal Service/Indirect Cost	10,070	11,527	12,557	12,607	12,466
General State Charges	1,845	1,985	2,194	2,438	2,706
Civil Service, Department of	21,384	17,406	17,561	17,820	18,113
Grants to Local Governments	0	0	0	0	0
State Operations	21,245	17,157	17,302	17,539	17,806
Personal Service	19,595	15,382	15,486	15,678	15,897
Non-Personal Service/Indirect Cost	1,650	1,775	1,816	1,861	1,909
General State Charges	139	249	259	281	307
Deferred Compensation	673	826	774	796	823
Grants to Local Governments	0	0	0	0	0
State Operations	521	641	598	605	615
Personal Service	388	385	390	392	396
Non-Personal Service/Indirect Cost	133	256	208	213	219
General State Charges	152	185	176	191	208

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Elections, State Board of</i>	9,898	6,555	7,877	36,154	6,099
Grants to Local Governments	195	967	2,000	30,000	0
State Operations	9,703	5,588	5,877	6,154	6,099
Personal Service	4,389	4,114	4,308	4,490	4,353
Non-Personal Service/Indirect Cost	5,314	1,474	1,569	1,664	1,746
General State Charges	0	0	0	0	0
<i>Employee Relations, Office of</i>	3,204	3,202	3,223	3,257	3,297
Grants to Local Governments	0	0	0	0	0
State Operations	3,204	3,202	3,223	3,257	3,297
Personal Service	3,071	3,019	3,035	3,064	3,099
Non-Personal Service/Indirect Cost	133	183	188	193	198
General State Charges	0	0	0	0	0
<i>Financial Plan Control Board</i>	2,630	3,190	3,330	3,494	3,638
Grants to Local Governments	0	0	0	0	0
State Operations	2,039	2,385	2,521	2,583	2,643
Personal Service	1,357	1,566	1,657	1,690	1,724
Non-Personal Service/Indirect Cost	682	819	864	893	919
General State Charges	591	805	809	911	995
<i>General Services, Office of</i>	136,701	129,105	135,658	137,698	140,889
Grants to Local Governments	24	398	324	324	324
State Operations	135,014	126,552	133,190	135,238	138,326
Personal Service	62,775	55,348	55,879	56,901	57,614
Non-Personal Service/Indirect Cost	72,239	71,204	77,311	78,337	80,712
General State Charges	1,663	2,155	2,144	2,136	2,239
Capital Projects	0	0	0	0	0
<i>Inspector General, Office of</i>	6,079	6,024	6,187	6,272	6,359
Grants to Local Governments	0	0	0	0	0
State Operations	6,079	6,024	6,187	6,272	6,359
Personal Service	5,767	5,500	5,644	5,705	5,766
Non-Personal Service/Indirect Cost	312	524	543	567	593
General State Charges	0	0	0	0	0
<i>Labor Management Committee</i>	33,609	45,300	50,300	56,918	25,765
Grants to Local Governments	0	0	0	0	0
State Operations	33,609	45,300	50,300	56,918	25,765
Personal Service	8,055	7,929	7,820	7,908	7,908
Non-Personal Service/Indirect Cost	25,554	37,371	42,480	49,010	17,857
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Lottery, Division of</i>	185,777	173,408	176,790	177,280	181,544
Grants to Local Governments	0	0	0	0	0
State Operations	176,513	163,839	166,877	165,777	169,217
Personal Service	21,798	19,642	19,211	19,211	19,454
Non-Personal Service/Indirect Cost	154,715	144,197	147,666	146,566	149,763
General State Charges	9,264	9,569	9,913	11,503	12,327
<i>Public Employment Relations Board</i>	3,785	4,208	4,164	4,216	4,268
Grants to Local Governments	0	0	0	0	0
State Operations	3,785	4,208	4,164	4,216	4,268
Personal Service	3,362	3,459	3,397	3,431	3,465
Non-Personal Service/Indirect Cost	423	749	767	785	803
General State Charges	0	0	0	0	0
<i>Public Integrity, Commission on</i>	4,209	4,054	4,463	4,643	4,720
Grants to Local Governments	0	0	0	0	0
State Operations	4,209	4,054	4,463	4,643	4,720
Personal Service	3,357	3,094	3,417	3,561	3,603
Non-Personal Service/Indirect Cost	852	960	1,046	1,082	1,117
General State Charges	0	0	0	0	0
<i>Real Property Services, Office of</i>	42,806	0	0	0	0
Grants to Local Governments	11,409	0	0	0	0
State Operations	27,977	0	0	0	0
Personal Service	23,407	0	0	0	0
Non-Personal Service/Indirect Cost	4,570	0	0	0	0
General State Charges	3,420	0	0	0	0
<i>Regulatory Reform, Governor's Office of</i>	2,449	1,625	1,543	1,561	1,579
Grants to Local Governments	0	0	0	0	0
State Operations	2,449	1,625	1,543	1,561	1,579
Personal Service	2,248	1,450	1,361	1,375	1,388
Non-Personal Service/Indirect Cost	201	175	182	186	191
General State Charges	0	0	0	0	0
<i>State, Department of</i>	91,073	76,422	70,195	67,291	68,642
Grants to Local Governments	37,352	27,290	21,176	16,739	16,739
State Operations	45,977	40,941	41,052	41,648	42,264
Personal Service	32,761	28,116	26,758	27,043	27,325
Non-Personal Service/Indirect Cost	13,216	12,825	14,294	14,605	14,939
General State Charges	7,744	8,206	7,982	8,919	9,654
Capital Projects	0	(15)	(15)	(15)	(15)

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Tax Appeals, Division of</i>	3,458	2,952	2,787	2,787	2,825
Grants to Local Governments	0	0	0	0	0
State Operations	3,458	2,952	2,787	2,787	2,825
Personal Service	2,960	2,585	2,420	2,420	2,447
Non-Personal Service/Indirect Cost	498	367	367	367	378
General State Charges	0	0	0	0	0
<i>Taxation and Finance, Department of</i>	417,242	457,314	439,510	442,462	449,219
Grants to Local Governments	0	12,062	12,361	12,461	12,461
State Operations	398,676	426,464	407,522	407,604	413,009
Personal Service	306,466	331,381	313,868	313,947	316,915
Non-Personal Service/Indirect Cost	92,210	95,083	93,654	93,657	96,094
General State Charges	18,566	18,788	19,627	22,397	23,749
<i>Technology, Office for</i>	22,765	26,098	35,248	33,294	33,757
Grants to Local Governments	299	2,180	0	0	0
State Operations	22,466	23,918	35,248	33,294	33,757
Personal Service	10,571	12,377	12,451	12,571	12,669
Non-Personal Service/Indirect Cost	11,895	11,541	22,797	20,723	21,088
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Veterans' Affairs, Division of</i>	14,756	15,381	15,166	15,080	15,151
Grants to Local Governments	8,290	9,480	9,076	9,076	9,076
State Operations	6,466	5,901	6,090	6,004	6,075
Personal Service	5,996	5,447	5,590	5,635	5,696
Non-Personal Service/Indirect Cost	470	454	500	369	379
General State Charges	0	0	0	0	0
Functional Total	1,043,273	1,012,282	1,015,527	1,052,848	1,009,443
ELECTED OFFICIALS					
<i>Legislature</i>	226,089	218,795	223,196	227,685	232,263
Grants to Local Governments	0	0	0	0	0
State Operations	226,089	218,795	223,196	227,685	232,263
Personal Service	177,874	165,047	168,348	171,715	175,149
Non-Personal Service/Indirect Cost	48,215	53,748	54,848	55,970	57,114
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Judiciary	2,506,041	2,583,163	2,819,404	2,937,576	3,081,447
Grants to Local Governments	118,892	124,865	177,100	177,100	179,100
State Operations	1,872,453	1,900,900	2,040,656	2,106,834	2,188,557
Personal Service	1,536,724	1,536,806	1,650,756	1,693,762	1,749,974
Non-Personal Service/Indirect Cost	335,729	364,094	389,900	413,072	438,583
General State Charges	514,696	557,398	601,648	653,642	713,790
Capital Projects	0	0	0	0	0
Audit and Control, Department of	242,721	178,908	185,420	193,444	195,966
Grants to Local Governments	102,115	31,672	32,024	32,024	32,024
State Operations	139,406	145,651	151,805	159,787	162,309
Personal Service	114,716	116,084	118,239	125,329	126,722
Non-Personal Service/Indirect Cost	24,690	29,567	33,566	34,458	35,587
General State Charges	1,200	1,585	1,591	1,633	1,633
Law, Department of	184,022	170,245	180,007	183,430	186,918
Grants to Local Governments	0	0	0	0	0
State Operations	173,611	161,261	170,036	172,176	175,664
Personal Service	123,683	111,671	118,390	119,354	120,650
Non-Personal Service/Indirect Cost	49,928	49,590	51,646	52,822	55,014
General State Charges	10,411	8,984	9,971	11,254	11,254
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Grants to Local Governments	0	0	0	0	0
State Operations	17,056	15,473	16,097	16,374	16,632
Personal Service	13,451	12,400	12,897	13,074	13,232
Non-Personal Service/Indirect Cost	3,605	3,073	3,200	3,300	3,400
General State Charges	0	0	0	0	0
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Grants to Local Governments	0	0	0	0	0
State Operations	0	516	1,051	1,066	1,066
Personal Service	0	424	890	917	945
Non-Personal Service/Indirect Cost	0	92	161	149	121
General State Charges	0	0	0	0	0
Functional Total	3,175,929	3,167,100	3,425,175	3,559,575	3,714,292
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Grants to Local Governments	1,039,488	740,716	1,030,259	1,030,300	1,030,468
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Efficiency Incentive Grants Program</i>	3,293	7,595	7,632	7,533	0
Grants to Local Governments	3,293	7,595	7,632	7,533	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	8,920	3,920	3,920	3,920	3,920
Grants to Local Governments	8,920	3,920	3,920	3,920	3,920
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Municipalities with VLT Facilities</i>	26,489	25,801	25,801	25,801	25,801
Grants to Local Governments	26,489	25,801	25,801	25,801	25,801
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Small Government Assistance</i>	2,089	2,065	2,088	2,088	2,088
Grants to Local Governments	2,089	2,065	2,088	2,088	2,088
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	1,080,279	780,097	1,069,700	1,069,642	1,062,277
ALL OTHER CATEGORIES					
<i>Long-Term Debt Service</i>	5,012,102	5,562,704	6,131,145	6,445,769	6,606,424
Grants to Local Governments	0	0	0	0	0
State Operations	50,631	91,697	91,869	91,869	91,869
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	50,631	91,697	91,869	91,869	91,869
General State Charges	0	0	0	0	0
Debt Service	4,961,471	5,471,007	6,039,276	6,353,900	6,514,555
<i>General State Charges</i>	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Grants to Local Governments	0	0	0	0	0
State Operations	4,852	0	0	0	0
Personal Service	2,175	0	0	0	0
Non-Personal Service/Indirect Cost	2,677	0	0	0	0
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Miscellaneous	(13,933)	69,465	377,872	116,485	121,363
Grants to Local Governments	(21,174)	333,839	297,025	204,575	159,397
State Operations	4,009	(269,327)	75,862	(93,124)	(43,081)
Personal Service	1,083	(138,160)	217,217	(1,773)	(1,762)
Non-Personal Service/Indirect Cost	2,926	(131,167)	(141,355)	(91,351)	(41,319)
General State Charges	3,232	4,953	4,985	5,034	5,047
Capital Projects	0	0	0	0	0
Functional Total	7,918,772	9,003,847	10,300,382	10,708,366	11,260,375
TOTAL STATE FUNDS OPERATING SPENDING	76,873,811	81,260,942	92,314,921	98,874,427	104,707,421

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	94,674	82,554	80,903	84,264	85,858
Alcoholic Beverage Control	17,012	17,774	19,658	20,176	20,993
Banking Department	87,166	90,845	93,975	97,677	100,817
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,295	2,684	2,748	2,633	2,657
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	41,065	36,035	32,825	33,459	34,015
Energy Research and Development Authority	15,880	15,611	15,997	16,158	16,388
Insurance Department	657,937	464,700	463,468	471,900	479,183
Empire State Development Corporation	23,276	38,650	44,236	44,236	34,236
Olympic Regional Development Authority	5,441	4,815	5,025	5,025	5,152
Public Service, Department of	75,638	72,544	75,663	79,445	82,806
Racing and Wagering Board, State	22,575	23,733	24,638	22,625	23,405
Science, Technology and Innovation, Foundation for	29,083	26,794	26,526	26,527	23,387
Strategic Investment	0	0	0	0	0
Functional Total	<u>1,072,078</u>	<u>876,939</u>	<u>885,824</u>	<u>904,287</u>	<u>909,059</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	4,768	4,317	4,319	4,319
Environmental Conservation, Department of	323,268	297,821	269,728	266,632	265,632
Environmental Facilities Corporation	9,733	8,724	8,906	9,090	9,090
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	220,545	201,282	192,897	194,564	195,306
Functional Total	<u>558,699</u>	<u>512,595</u>	<u>475,848</u>	<u>474,605</u>	<u>474,347</u>
TRANSPORTATION					
Motor Vehicles, Department of	94,433	97,182	92,024	94,111	95,431
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,847,020	4,314,373	4,364,671	4,463,633	4,544,173
Functional Total	<u>3,941,453</u>	<u>4,411,555</u>	<u>4,456,695</u>	<u>4,557,744</u>	<u>4,639,604</u>
HEALTH					
Aging, Office for the	116,764	117,741	114,517	114,570	114,613
Health, Department of	13,883,400	14,403,110	20,274,757	22,347,421	23,730,151
<i>Medical Assistance</i>	10,964,893	11,405,256	17,075,857	19,002,113	20,306,913
<i>Medicaid Administration</i>	514,488	547,163	573,750	596,750	620,650
<i>Public Health</i>	2,404,019	2,450,691	2,625,150	2,748,558	2,802,588
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	27,575	32,495	34,576	35,316	36,096
Stem Cell and Innovation	17,676	44,700	50,000	61,373	63,673
Functional Total	<u>14,045,415</u>	<u>14,598,046</u>	<u>20,473,850</u>	<u>22,558,680</u>	<u>23,944,533</u>
SOCIAL WELFARE					
Children and Family Services, Office of	2,006,471	1,976,958	2,175,184	2,388,194	2,613,100
OCFS	1,956,993	1,870,257	2,043,822	2,253,007	2,474,075
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Labor, Department of	76,086	69,010	67,330	64,942	66,819
Housing and Community Renewal, Division of	137,353	110,461	101,338	100,076	101,232
National Commission Services	359	622	627	629	711
Prevention of Domestic Violence, Office for	2,127	1,947	1,962	1,983	1,983

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of	1,361,215	1,213,873	1,592,325	1,726,259	1,779,377
<i>Welfare Assistance</i>	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	173,493	209,844	215,323	221,793	233,685
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	186,742	204,480	202,705	208,038	214,852
Functional Total	3,781,397	3,588,450	4,151,922	4,500,753	4,788,851
MENTAL HYGIENE					
Mental Health, Office of	1,831,542	1,995,653	2,428,464	2,603,945	2,747,150
<i>OMH</i>	1,265,646	1,434,928	1,475,560	1,563,045	1,660,389
<i>OMH - Medicaid</i>	565,896	560,725	952,904	1,040,900	1,086,761
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	2,140,392	2,049,525	2,471,213	2,763,248	2,987,268
<i>OPWDD</i>	460,863	505,005	515,631	536,784	568,879
<i>OPWDD - Medicaid</i>	1,679,529	1,544,520	1,955,582	2,226,464	2,418,389
Alcoholism and Substance Abuse Services, Office of	381,331	417,967	446,960	478,710	498,816
<i>OASAS</i>	305,722	336,176	359,462	388,676	406,980
<i>OASAS - Medicaid</i>	75,609	81,791	87,498	90,034	91,836
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,331	5,466	4,977	5,060	5,150
Functional Total	4,358,596	4,468,611	5,351,614	5,850,963	6,238,384
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,623,892	2,415,182	2,467,609	2,521,359	2,585,992
Criminal Justice Services, Division of	181,032	236,866	237,471	240,116	241,552
Office of Victim Services	33,468	32,866	32,754	33,154	33,291
Statewide Financial System	0	30,643	40,072	49,656	49,756
Homeland Security and Emergency Services	25,500	97,346	114,325	125,166	90,236
Homeland Security	0	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	73,691	79,997	80,125	80,237
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	79,775	53,741	44,938	40,305	39,562
Parole, Division of	188,383	179,298	174,744	177,440	182,635
Probation and Correctional Alternatives, Division of	74,765	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	747,810	679,946	685,311	690,923	695,782
Wireless Network	6,672	3,586	1,786	1,786	786
Functional Total	3,969,454	3,845,456	3,921,999	4,003,563	4,045,354

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 <u>Actuals</u>	2010-2011 <u>Projected</u>	2011-2012 <u>Projected</u>	2012-2013 <u>Projected</u>	2013-2014 <u>Projected</u>
HIGHER EDUCATION					
City University of New York	1,632,320	1,316,712	1,419,812	1,517,545	1,610,146
Higher Education Services Corporation	955,735	906,502	1,012,434	1,078,691	1,084,598
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	378	355	355	355	355
State University Construction Fund	18,595	25,678	26,172	27,074	27,854
State University of New York	<u>5,859,418</u>	<u>5,917,295</u>	<u>6,190,809</u>	<u>6,019,031</u>	<u>6,104,370</u>
Functional Total	<u>8,466,446</u>	<u>8,166,542</u>	<u>8,649,582</u>	<u>8,642,696</u>	<u>8,827,323</u>
EDUCATION					
Arts, Council on the	42,286	44,248	39,946	39,983	40,043
Education, Department of	<u>23,419,734</u>	<u>26,785,174</u>	<u>28,096,857</u>	<u>30,950,722</u>	<u>33,753,536</u>
<i>School Aid</i>	18,249,731	21,732,511	22,695,114	25,299,615	27,822,973
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	919,682	935,766	1,294,271	1,373,136	1,455,616
<i>All Other</i>	773,022	721,211	689,852	693,804	702,472
Functional Total	<u>23,462,020</u>	<u>26,829,422</u>	<u>28,136,803</u>	<u>30,990,705</u>	<u>33,793,579</u>
GENERAL GOVERNMENT					
Budget, Division of the	40,775	39,212	40,751	41,825	42,755
Civil Service, Department of	21,384	17,406	17,561	17,820	18,113
Deferred Compensation	673	826	774	796	823
Elections, State Board of	9,898	6,555	7,877	36,154	6,099
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Financial Plan Control Board	2,630	3,190	3,330	3,494	3,638
General Services, Office of	136,701	129,105	135,658	137,698	140,889
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Lottery, Division of	185,777	173,408	176,790	177,280	181,544
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	42,806	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	91,073	76,422	70,195	67,291	68,642
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	417,242	457,314	439,510	442,462	449,219
Technology, Office for	22,765	26,098	35,248	33,294	33,757
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	<u>14,756</u>	<u>15,381</u>	<u>15,166</u>	<u>15,080</u>	<u>15,151</u>
Functional Total	<u>1,043,273</u>	<u>1,012,282</u>	<u>1,015,527</u>	<u>1,052,848</u>	<u>1,009,443</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Judiciary	2,506,041	2,583,163	2,819,404	2,937,576	3,081,447
Audit and Control, Department of	242,721	178,908	185,420	193,444	195,966
Law, Department of	184,022	170,245	180,007	183,430	186,918
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>3,175,929</u>	<u>3,167,100</u>	<u>3,425,175</u>	<u>3,559,575</u>	<u>3,714,292</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,562,704	6,131,145	6,445,769	6,606,424
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	(13,933)	69,465	377,872	116,485	121,363
Functional Total	<u>7,918,772</u>	<u>9,003,847</u>	<u>10,300,382</u>	<u>10,708,366</u>	<u>11,260,375</u>
TOTAL STATE FUNDS OPERATING SPENDING	<u><u>76,873,811</u></u>	<u><u>81,260,942</u></u>	<u><u>92,314,921</u></u>	<u><u>98,874,427</u></u>	<u><u>104,707,421</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	19,916	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	850	850	850	850
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	11,461	13,299	10,249	10,249	10,249
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234
Insurance Department	442,822	235,861	224,716	224,716	224,716
Empire State Development Corporation	23,276	38,650	44,236	44,236	34,236
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	225	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,154	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	540,332	342,312	333,741	336,035	322,972
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	8,364	6,880	6,526	6,526	6,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	19,546	22,579	19,750	19,750	19,750
Functional Total	27,910	29,459	26,276	26,276	26,276
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,823,477	4,284,243	4,338,397	4,436,874	4,516,974
Functional Total	3,823,477	4,284,243	4,338,397	4,436,874	4,516,974
HEALTH					
Aging, Office for the	114,196	115,937	112,923	112,923	112,923
Health, Department of	13,331,963	13,823,987	19,682,848	21,737,615	23,101,086
<i>Medical Assistance</i>	10,943,609	11,358,901	17,029,502	18,955,758	20,260,558
<i>Medicaid Administration</i>	514,488	547,163	573,750	596,750	620,650
<i>Public Health</i>	1,873,866	1,917,923	2,079,596	2,185,107	2,219,878
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	13,446,159	13,939,924	19,795,771	21,850,538	23,214,009
SOCIAL WELFARE					
Children and Family Services, Office of	1,748,865	1,698,782	1,895,050	2,099,596	2,326,026
OCFS	1,699,387	1,592,081	1,763,688	1,964,409	2,187,001
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	0	0	0	0	0
Labor, Department of	13,057	8,836	5,242	174	100
Housing and Community Renewal, Division of	54,706	39,300	36,268	36,268	36,268
National Commission Services	0	346	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	682	685	685	685
Temporary and Disability Assistance, Office of	1,304,828	1,152,156	1,528,332	1,658,612	1,710,988
<i>Welfare Assistance</i>	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	117,106	148,127	151,330	154,146	165,296
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,122,112	2,900,102	3,465,927	3,795,685	4,074,417
MENTAL HYGIENE					
Mental Health, Office of	1,068,374	1,172,032	1,282,554	1,380,013	1,472,896
<i>OMH</i>	643,710	759,673	861,812	930,901	1,005,221
<i>OMH - Medicaid</i>	424,664	412,359	420,742	449,112	467,675
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,836,292	1,950,892	2,068,657	2,201,579	2,397,719
<i>OPWDD</i>	460,696	504,829	515,455	536,608	568,698
<i>OPWDD - Medicaid</i>	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
Alcoholism and Substance Abuse Services, Office of	293,773	330,322	358,053	386,442	403,344
<i>OASAS</i>	259,993	295,140	320,756	348,252	365,148
<i>OASAS - Medicaid</i>	33,780	35,182	37,297	38,190	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	653	530	230	230	230
Functional Total	3,199,092	3,453,776	3,709,494	3,968,264	4,274,189
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,390	300	243	243	243
Criminal Justice Services, Division of	114,425	167,593	167,472	168,872	168,414
Office of Victim Services	27,624	26,615	26,690	26,690	26,690
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	35,612	61,598	91,598	66,598
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	72,691	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	34,538	28,616	19,466	14,466	13,466
Parole, Division of	16,324	11,277	6,000	6,000	6,000
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	266,555	342,704	358,469	384,869	359,869

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
Higher Education Services Corporation	845,501	810,866	914,142	977,021	977,021
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	445,974	475,309	637,147	635,199	635,199
Functional Total	2,816,763	2,470,687	2,836,344	2,992,399	3,082,342
EDUCATION					
Arts, Council on the	36,887	39,470	35,248	35,248	35,248
Education, Department of	23,261,482	26,633,578	27,954,689	30,807,016	33,606,259
<i>School Aid</i>	18,249,731	21,732,511	22,695,114	25,299,615	27,822,973
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	919,682	935,766	1,294,271	1,373,136	1,455,616
<i>All Other</i>	614,770	569,615	547,684	550,098	555,195
Functional Total	23,298,369	26,673,048	27,989,937	30,842,264	33,641,507
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	195	967	2,000	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	398	324	324	324
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	37,352	27,290	21,176	16,739	16,739
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,062	12,361	12,461	12,461
Technology, Office for	299	2,180	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,480	9,076	9,076	9,076
Functional Total	57,569	52,377	44,937	68,600	38,600

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	118,892	124,865	177,100	177,100	179,100
Audit and Control, Department of	102,115	31,672	32,024	32,024	32,024
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>221,007</u>	<u>156,537</u>	<u>209,124</u>	<u>209,124</u>	<u>211,124</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(21,174)	333,839	297,025	204,575	159,397
Functional Total	<u>(21,174)</u>	<u>333,839</u>	<u>297,025</u>	<u>204,575</u>	<u>159,397</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>51,878,450</u>	<u>55,759,105</u>	<u>64,475,142</u>	<u>70,185,145</u>	<u>74,983,953</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	63,273	57,616	55,704	56,074	57,011
Alcoholic Beverage Control	12,875	13,262	14,856	14,646	15,099
Banking Department	65,082	66,289	68,533	69,452	70,361
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,295	2,644	2,708	2,593	2,617
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,584	22,708	22,548	23,182	23,738
Energy Research and Development Authority	5,481	4,871	5,180	5,286	5,396
Insurance Department	169,067	177,594	185,569	187,983	190,442
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,441	4,815	5,025	5,025	5,152
Public Service, Department of	54,138	52,130	54,037	55,194	56,367
Racing and Wagering Board, State	17,369	18,288	19,353	16,657	16,918
Science, Technology and Innovation, Foundation for	2,879	2,640	2,886	2,987	3,047
Strategic Investment	0	0	0	0	0
Functional Total	427,484	422,857	436,399	439,079	446,148
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	4,768	4,317	4,319	4,319
Environmental Conservation, Department of	278,567	252,676	227,870	224,554	224,554
Environmental Facilities Corporation	7,859	6,918	7,057	7,198	7,198
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	187,422	172,853	167,325	168,991	169,626
Functional Total	479,001	437,215	406,569	405,062	405,697
TRANSPORTATION					
Motor Vehicles, Department of	71,894	71,462	68,642	68,660	68,660
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	22,303	26,904	23,523	23,695	23,886
Functional Total	94,197	98,366	92,165	92,355	92,546
HEALTH					
Aging, Office for the	2,568	1,805	1,595	1,648	1,691
Health, Department of	518,207	534,211	544,613	556,405	572,842
<i>Medical Assistance</i>	21,284	46,355	46,355	46,355	46,355
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	496,923	487,856	498,258	510,050	526,487
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	27,481	32,369	34,576	35,316	36,096
Stem Cell and Innovation	17,248	44,700	50,000	61,373	63,673
Functional Total	565,504	613,085	630,784	654,742	674,302
SOCIAL WELFARE					
Children and Family Services, Office of	256,595	276,865	278,790	287,254	285,535
<i>OCFS</i>	256,595	276,865	278,790	287,254	285,535
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Labor, Department of	48,117	45,343	46,171	46,889	47,574
Housing and Community Renewal, Division of	67,369	55,199	50,757	49,217	49,612
National Commission Services	359	276	277	279	361

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,471	1,265	1,277	1,298	1,298
Temporary and Disability Assistance, Office of	55,742	61,467	63,734	67,367	68,084
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	55,742	61,467	63,734	67,367	68,084
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	137,920	163,284	157,377	158,789	161,284
Functional Total	578,617	614,798	608,834	621,725	624,525
MENTAL HYGIENE					
Mental Health, Office of	588,632	621,521	850,585	871,927	888,197
<i>OMH</i>	455,191	489,188	442,372	448,774	455,061
<i>OMH - Medicaid</i>	133,441	132,333	408,213	423,153	433,136
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	177,066	61,868	291,291	388,801	394,082
<i>OPWDD</i>	167	176	176	176	181
<i>OPWDD - Medicaid</i>	176,899	61,692	291,115	388,625	393,901
Alcoholism and Substance Abuse Services, Office of	66,540	65,833	66,790	68,084	69,080
<i>OASAS</i>	35,483	29,808	30,139	30,936	31,421
<i>OASAS - Medicaid</i>	31,057	36,025	36,651	37,148	37,659
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,654	4,909	4,719	4,799	4,886
Functional Total	836,892	754,131	1,213,385	1,333,611	1,356,245
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,620,502	2,412,882	2,467,366	2,521,116	2,585,749
Criminal Justice Services, Division of	66,524	69,108	69,832	71,064	72,951
Office of Victim Services	4,722	5,032	4,828	4,958	4,957
Statewide Financial System	0	30,643	40,072	49,656	49,756
Homeland Security and Emergency Services	25,402	61,520	52,506	33,333	23,403
Homeland Security	0	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	875	2,257	2,301	2,346
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	44,426	24,245	24,570	24,817	25,074
Parole, Division of	172,059	168,021	168,744	171,440	176,635
Probation and Correctional Alternatives, Division of	2,511	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	727,917	675,348	680,565	685,854	690,713
Wireless Network	5,412	3,086	1,286	1,286	286
Functional Total	3,677,632	3,493,051	3,555,018	3,609,358	3,675,937

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	102,796	126,066	128,482	130,947	133,457
Higher Education Services Corporation	95,037	80,058	84,876	85,249	89,792
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	270	220	220	220	220
State University Construction Fund	14,504	18,239	18,579	18,684	18,848
State University of New York	4,949,282	4,912,006	5,056,333	4,883,946	4,965,476
Functional Total	5,161,889	5,136,589	5,288,490	5,119,046	5,207,793
EDUCATION					
Arts, Council on the	5,399	4,778	4,698	4,735	4,795
Education, Department of	131,615	124,810	115,354	114,083	115,397
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	131,615	124,810	115,354	114,083	115,397
Functional Total	137,014	129,588	120,052	118,818	120,192
GENERAL GOVERNMENT					
Budget, Division of the	38,930	37,227	38,557	39,387	40,049
Civil Service, Department of	21,245	17,157	17,302	17,539	17,806
Deferred Compensation	521	641	598	605	615
Elections, State Board of	9,703	5,588	5,877	6,154	6,099
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Financial Plan Control Board	2,039	2,385	2,521	2,583	2,643
General Services, Office of	135,014	126,552	133,190	135,238	138,326
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Lottery, Division of	176,513	163,839	166,877	165,777	169,217
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	27,977	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	45,977	40,941	41,052	41,648	42,264
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	398,676	426,464	407,522	407,604	413,009
Technology, Office for	22,466	23,918	35,248	33,294	33,757
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,466	5,901	6,090	6,004	6,075
Functional Total	942,320	917,978	927,501	935,487	918,673

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Judiciary	1,872,453	1,900,900	2,040,656	2,106,834	2,188,557
Audit and Control, Department of	139,406	145,651	151,805	159,787	162,309
Law, Department of	173,611	161,261	170,036	172,176	175,664
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>2,428,615</u>	<u>2,442,596</u>	<u>2,602,841</u>	<u>2,683,922</u>	<u>2,776,491</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	4,009	(269,327)	75,862	(93,124)	(43,081)
Functional Total	<u>59,492</u>	<u>(177,630)</u>	<u>167,731</u>	<u>(1,255)</u>	<u>48,788</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>15,388,657</u></u>	<u><u>14,882,624</u></u>	<u><u>16,049,769</u></u>	<u><u>16,011,950</u></u>	<u><u>16,347,337</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	34,218	31,924	29,764	30,330	30,960
Alcoholic Beverage Control	8,838	8,588	8,649	8,723	8,841
Banking Department	49,855	48,950	50,342	50,845	51,354
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,062	2,031	2,058	2,128	2,153
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,979	11,752	10,436	11,070	11,215
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	106,061	105,963	108,870	109,958	111,058
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,485	2,485	2,485	2,522
Public Service, Department of	43,269	41,686	43,248	44,113	44,995
Racing and Wagering Board, State	12,538	11,315	10,895	11,022	11,151
Science, Technology and Innovation, Foundation for	2,254	1,624	1,826	1,927	1,950
Strategic Investment	0	0	0	0	0
Functional Total	279,132	269,617	271,938	276,033	279,700
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,385	3,934	3,936	3,936
Environmental Conservation, Department of	196,537	189,069	167,838	168,503	168,503
Environmental Facilities Corporation	6,798	5,705	5,841	5,979	5,979
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,458	134,780	115,069	115,943	116,578
Functional Total	350,522	333,939	292,682	294,361	294,996
TRANSPORTATION					
Motor Vehicles, Department of	52,901	53,032	48,221	48,221	48,221
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,642	6,381	5,612	5,669	5,725
Functional Total	59,543	59,413	53,833	53,890	53,946
HEALTH					
Aging, Office for the	2,427	1,530	1,297	1,330	1,363
Health, Department of	240,936	251,294	258,375	264,327	270,509
<i>Medical Assistance</i>	0	500	500	500	500
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	240,936	250,794	257,875	263,827	270,009
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	20,094	24,754	23,616	24,056	24,506
Stem Cell and Innovation	640	0	0	0	0
Functional Total	264,097	277,578	283,288	289,713	296,378
SOCIAL WELFARE					
Children and Family Services, Office of	171,709	174,744	170,159	173,888	168,932
OCFS	171,709	174,744	170,159	173,888	168,932
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	8,027	8,901	8,168	8,265	8,363
Labor, Department of	33,433	30,702	31,103	31,443	31,762
Housing and Community Renewal, Division of	50,376	42,596	37,413	35,480	35,746
National Commission Services	316	235	236	238	320

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,251	1,109	1,139	1,152	1,152
Temporary and Disability Assistance, Office of	17,230	16,008	13,887	14,055	14,251
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	17,230	16,008	13,887	14,055	14,251
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	90,768	91,891	93,000	93,643	94,481
Functional Total	373,423	366,541	355,463	358,522	355,379
MENTAL HYGIENE					
Mental Health, Office of	403,158	432,383	654,676	669,100	679,531
<i>OMH</i>	382,310	384,871	341,443	346,214	349,980
<i>OMH - Medicaid</i>	20,848	47,512	313,233	322,886	329,551
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	139,407	59,114	284,155	342,164	345,561
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	139,407	59,114	284,155	342,164	345,561
Alcoholism and Substance Abuse Services, Office of	45,527	47,029	47,640	48,294	48,805
<i>OASAS</i>	25,233	19,409	19,784	20,329	20,559
<i>OASAS - Medicaid</i>	20,294	27,620	27,856	27,965	28,246
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	3,684	3,429	3,206	3,249	3,291
Functional Total	591,776	541,955	989,677	1,062,807	1,077,188
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,142	2,299	2,326	2,360	2,376
Correctional Services, Department of	2,111,324	1,898,016	1,939,696	1,960,993	1,983,561
Criminal Justice Services, Division of	35,446	35,216	35,271	35,977	34,796
Office of Victim Services	4,014	4,009	3,778	3,879	3,852
Statewide Financial System	0	9,484	9,435	9,435	9,435
Homeland Security and Emergency Services	11,319	5,528	7,708	7,777	7,847
Homeland Security	0	30,909	31,287	31,600	31,916
Office of Indigent Legal Services	0	250	1,515	1,530	1,545
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	3,984	4,134	4,174	4,217
Military and Naval Affairs, Division of	22,271	12,091	12,667	12,809	12,934
Parole, Division of	139,375	134,125	133,500	135,196	139,303
Probation and Correctional Alternatives, Division of	2,255	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	646,775	586,339	589,553	592,953	596,353
Wireless Network	2,820	1,000	200	200	200
Functional Total	2,982,115	2,723,250	2,771,070	2,798,883	2,828,335

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,260	35,189	32,134	31,445	31,760
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,544	15,583	15,622
State University of New York	<u>3,243,082</u>	<u>3,148,164</u>	<u>3,234,930</u>	<u>3,141,472</u>	<u>3,167,481</u>
Functional Total	<u>3,365,550</u>	<u>3,286,494</u>	<u>3,372,005</u>	<u>3,279,459</u>	<u>3,307,410</u>
EDUCATION					
Arts, Council on the	3,741	3,138	2,840	2,864	2,889
Education, Department of	<u>86,682</u>	<u>77,162</u>	<u>72,107</u>	<u>72,857</u>	<u>73,815</u>
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	<u>86,682</u>	<u>77,162</u>	<u>72,107</u>	<u>72,857</u>	<u>73,815</u>
Functional Total	<u>90,423</u>	<u>80,300</u>	<u>74,947</u>	<u>75,721</u>	<u>76,704</u>
GENERAL GOVERNMENT					
Budget, Division of the	28,860	25,700	26,000	26,780	27,583
Civil Service, Department of	19,595	15,382	15,486	15,678	15,897
Deferred Compensation	388	385	390	392	396
Elections, State Board of	4,389	4,114	4,308	4,490	4,353
Employee Relations, Office of	3,071	3,019	3,035	3,064	3,099
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	62,775	55,348	55,879	56,901	57,614
Inspector General, Office of	5,767	5,500	5,644	5,705	5,766
Labor Management Committee	8,055	7,929	7,820	7,908	7,908
Lottery, Division of	21,798	19,642	19,211	19,211	19,454
Public Employment Relations Board	3,362	3,459	3,397	3,431	3,465
Public Integrity, Commission on	3,357	3,094	3,417	3,561	3,603
Real Property Services, Office of	23,407	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,450	1,361	1,375	1,388
State, Department of	32,761	28,116	26,758	27,043	27,325
Tax Appeals, Division of	2,960	2,585	2,420	2,420	2,447
Taxation and Finance, Department of	306,466	331,381	313,868	313,947	316,915
Technology, Office for	10,571	12,377	12,451	12,571	12,669
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	<u>5,996</u>	<u>5,447</u>	<u>5,590</u>	<u>5,635</u>	<u>5,696</u>
Functional Total	<u>547,183</u>	<u>526,494</u>	<u>508,692</u>	<u>511,802</u>	<u>517,302</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,536,724	1,536,806	1,650,756	1,693,762	1,749,974
Audit and Control, Department of	114,716	116,084	118,239	125,329	126,722
Law, Department of	123,683	111,671	118,390	119,354	120,650
Executive Chamber	13,451	12,400	12,897	13,074	13,232
Lieutenant Governor, Office of the	0	424	890	917	945
Functional Total	<u>1,966,448</u>	<u>1,942,432</u>	<u>2,069,520</u>	<u>2,124,151</u>	<u>2,186,672</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	1,083	(138,160)	217,217	(1,773)	(1,762)
Functional Total	<u>3,258</u>	<u>(138,160)</u>	<u>217,217</u>	<u>(1,773)</u>	<u>(1,762)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>10,873,470</u>	<u>10,269,853</u>	<u>11,260,332</u>	<u>11,123,569</u>	<u>11,272,248</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	29,055	25,692	25,940	25,744	26,051
Alcoholic Beverage Control	4,037	4,674	6,207	5,923	6,258
Banking Department	15,227	17,339	18,191	18,607	19,007
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	233	613	650	465	464
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,605	10,956	12,112	12,112	12,523
Energy Research and Development Authority	1,513	1,572	1,815	1,854	1,895
Insurance Department	63,006	71,631	76,699	78,025	79,384
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,351	2,330	2,540	2,540	2,630
Public Service, Department of	10,869	10,444	10,789	11,081	11,372
Racing and Wagering Board, State	4,831	6,973	8,458	5,635	5,767
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	148,352	153,240	164,461	163,046	166,448
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	424	383	383	383	383
Environmental Conservation, Department of	82,030	63,607	60,032	56,051	56,051
Environmental Facilities Corporation	1,061	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	44,964	38,073	52,256	53,048	53,048
Functional Total	128,479	103,276	113,887	110,701	110,701
TRANSPORTATION					
Motor Vehicles, Department of	18,993	18,430	20,421	20,439	20,439
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	15,661	20,523	17,911	18,026	18,161
Functional Total	34,654	38,953	38,332	38,465	38,600
HEALTH					
Aging, Office for the	141	275	298	318	328
Health, Department of	277,271	282,917	286,238	292,078	302,333
<i>Medical Assistance</i>	21,284	45,855	45,855	45,855	45,855
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	255,987	237,062	240,383	246,223	256,478
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	7,387	7,615	10,960	11,260	11,590
Stem Cell and Innovation	16,608	44,700	50,000	61,373	63,673
Functional Total	301,407	335,507	347,496	365,029	377,924
SOCIAL WELFARE					
Children and Family Services, Office of	84,886	102,121	108,631	113,366	116,603
<i>OCFS</i>	84,886	102,121	108,631	113,366	116,603
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	2,704	1,843	1,925	2,009	2,042
Labor, Department of	14,684	14,641	15,068	15,446	15,812
Housing and Community Renewal, Division of	16,993	12,603	13,344	13,737	13,866
National Commission Services	43	41	41	41	41

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	156	138	146	146
Temporary and Disability Assistance, Office of	38,512	45,459	49,847	53,312	53,833
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	38,512	45,459	49,847	53,312	53,833
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	47,152	71,393	64,377	65,146	66,803
Functional Total	205,194	248,257	253,371	263,203	269,146
MENTAL HYGIENE					
Mental Health, Office of	185,474	189,138	195,909	202,827	208,666
<i>OMH</i>	72,881	104,317	100,929	102,560	105,081
<i>OMH - Medicaid</i>	112,593	84,821	94,980	100,267	103,585
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	37,659	2,754	7,136	46,637	48,521
<i>OPWDD</i>	167	176	176	176	181
<i>OPWDD - Medicaid</i>	37,492	2,578	6,960	46,461	48,340
Alcoholism and Substance Abuse Services, Office of	21,013	18,804	19,150	19,790	20,275
<i>OASAS</i>	10,250	10,399	10,355	10,607	10,862
<i>OASAS - Medicaid</i>	10,763	8,405	8,795	9,183	9,413
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	970	1,480	1,513	1,550	1,595
Functional Total	245,116	212,176	223,708	270,804	279,057
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	454	402	414	432	448
Correctional Services, Department of	509,178	514,866	527,670	560,123	602,188
Criminal Justice Services, Division of	31,078	33,892	34,561	35,087	38,155
Office of Victim Services	708	1,023	1,050	1,079	1,105
Statewide Financial System	0	21,159	30,637	40,221	40,321
Homeland Security and Emergency Services	14,083	55,992	44,798	25,556	15,556
Homeland Security	0	3,389	3,423	3,525	3,631
Office of Indigent Legal Services	0	625	742	771	801
Investigation, Temporary State Commission of	9	0	0	0	0
Judicial Commissions	1,157	1,308	1,408	1,442	1,479
Military and Naval Affairs, Division of	22,155	12,154	11,903	12,008	12,140
Parole, Division of	32,684	33,896	35,244	36,244	37,332
Probation and Correctional Alternatives, Division of	256	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	81,142	89,009	91,012	92,901	94,360
Wireless Network	2,592	2,086	1,086	1,086	86
Functional Total	695,517	769,801	783,948	810,475	847,602

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	58,777	44,869	52,742	53,804	58,032
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,035	3,101	3,226
State University of New York	1,706,200	1,763,842	1,821,403	1,742,474	1,797,995
Functional Total	1,796,339	1,850,095	1,916,485	1,839,587	1,900,383
EDUCATION					
Arts, Council on the	1,658	1,640	1,858	1,871	1,906
Education, Department of	44,933	47,648	43,247	41,226	41,582
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	44,933	47,648	43,247	41,226	41,582
Functional Total	46,591	49,288	45,105	43,097	43,488
GENERAL GOVERNMENT					
Budget, Division of the	10,070	11,527	12,557	12,607	12,466
Civil Service, Department of	1,650	1,775	1,816	1,861	1,909
Deferred Compensation	133	256	208	213	219
Elections, State Board of	5,314	1,474	1,569	1,664	1,746
Employee Relations, Office of	133	183	188	193	198
Financial Plan Control Board	682	819	864	893	919
General Services, Office of	72,239	71,204	77,311	78,337	80,712
Inspector General, Office of	312	524	543	567	593
Labor Management Committee	25,554	37,371	42,480	49,010	17,857
Lottery, Division of	154,715	144,197	147,666	146,566	149,763
Public Employment Relations Board	423	749	767	785	803
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	4,570	0	0	0	0
Regulatory Reform, Governor's Office of	201	175	182	186	191
State, Department of	13,216	12,825	14,294	14,605	14,939
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	92,210	95,083	93,654	93,657	96,094
Technology, Office for	11,895	11,541	22,797	20,723	21,088
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	470	454	500	369	379
Functional Total	395,137	391,484	418,809	423,685	401,371

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	48,215	53,748	54,848	55,970	57,114
Judiciary	335,729	364,094	389,900	413,072	438,583
Audit and Control, Department of	24,690	29,567	33,566	34,458	35,587
Law, Department of	49,928	49,590	51,646	52,822	55,014
Executive Chamber	3,605	3,073	3,200	3,300	3,400
Lieutenant Governor, Office of the	0	92	161	149	121
Functional Total	<u>462,167</u>	<u>500,164</u>	<u>533,321</u>	<u>559,771</u>	<u>589,819</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,926	(131,167)	(141,355)	(91,351)	(41,319)
Functional Total	<u>56,234</u>	<u>(39,470)</u>	<u>(49,486)</u>	<u>518</u>	<u>50,550</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>4,515,187</u>	<u>4,612,771</u>	<u>4,789,437</u>	<u>4,888,381</u>	<u>5,075,089</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,560	5,022	5,045	5,642	6,162
Alcoholic Beverage Control	4,137	4,512	4,802	5,530	5,894
Banking Department	21,049	23,706	24,592	27,375	29,606
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	40	40	40
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	51,245	53,183	59,201	64,025
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,500	20,189	21,126	23,751	25,939
Racing and Wagering Board, State	5,206	5,445	5,285	5,968	6,487
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	104,262	111,770	115,684	129,173	139,939
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	36,337	38,265	35,332	35,552	34,552
Environmental Facilities Corporation	1,874	1,806	1,849	1,892	1,892
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,537	3,850	3,822	3,823	3,930
Functional Total	40,748	43,921	41,003	41,267	40,374
TRANSPORTATION					
Motor Vehicles, Department of	22,539	25,720	23,382	25,451	26,771
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,240	3,226	2,751	3,064	3,313
Functional Total	23,779	28,946	26,133	28,515	30,084
HEALTH					
Aging, Office for the	0	(1)	(1)	(1)	(1)
Health, Department of	33,230	44,912	47,296	53,401	56,223
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	33,230	44,912	47,296	53,401	56,223
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	94	126	0	0	0
Stem Cell and Innovation	428	0	0	0	0
Functional Total	33,752	45,037	47,295	53,400	56,222
SOCIAL WELFARE					
Children and Family Services, Office of	1,011	1,311	1,344	1,344	1,539
<i>OCFS</i>	1,011	1,311	1,344	1,344	1,539
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,912	14,831	15,917	17,879	19,145
Housing and Community Renewal, Division of	15,278	15,962	14,313	14,591	15,352
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	645	250	259	280	305
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	645	250	259	280	305
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	48,822	41,196	45,328	49,249	53,568
Functional Total	80,668	73,550	77,161	83,343	89,909
MENTAL HYGIENE					
Mental Health, Office of	174,536	202,100	295,325	352,005	386,057
<i>OMH</i>	166,745	186,067	171,376	183,370	200,107
<i>OMH - Medicaid</i>	7,791	16,033	123,949	168,635	185,950
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	127,034	36,765	111,265	172,868	195,467
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	127,034	36,765	111,265	172,868	195,467
Alcoholism and Substance Abuse Services, Office of	21,018	21,812	22,117	24,184	26,392
<i>OASAS</i>	10,246	11,228	8,567	9,488	10,411
<i>OASAS - Medicaid</i>	10,772	10,584	13,550	14,696	15,981
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	24	27	28	31	34
Functional Total	322,612	260,704	428,735	549,088	607,950
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	2,000	2,000	0	0	0
Criminal Justice Services, Division of	83	165	167	180	187
Office of Victim Services	1,122	1,219	1,236	1,506	1,644
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	98	214	221	235	235
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	125	740	824	891
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	811	880	902	1,022	1,022
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	19,893	4,598	4,746	5,069	5,069
Wireless Network	1,260	500	500	500	500
Functional Total	25,267	9,701	8,512	9,336	9,548

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,197	15,578	13,416	16,421	17,785
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,593	8,390	9,006
State University of New York	464,162	529,980	497,329	499,886	503,695
Functional Total	487,794	559,266	524,748	531,251	537,188
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	26,637	26,786	26,814	29,623	31,880
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	26,637	26,786	26,814	29,623	31,880
Functional Total	26,637	26,786	26,814	29,623	31,880
GENERAL GOVERNMENT					
Budget, Division of the	1,845	1,985	2,194	2,438	2,706
Civil Service, Department of	139	249	259	281	307
Deferred Compensation	152	185	176	191	208
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	809	911	995
General Services, Office of	1,663	2,155	2,144	2,136	2,239
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	9,569	9,913	11,503	12,327
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,744	8,206	7,982	8,919	9,654
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,788	19,627	22,397	23,749
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	43,384	41,942	43,104	48,776	52,185

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	514,696	557,398	601,648	653,642	713,790
Audit and Control, Department of	1,200	1,585	1,591	1,633	1,633
Law, Department of	10,411	8,984	9,971	11,254	11,254
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>526,307</u>	<u>567,967</u>	<u>613,210</u>	<u>666,529</u>	<u>726,677</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	3,232	4,953	4,985	5,034	5,047
Functional Total	<u>2,918,983</u>	<u>3,376,631</u>	<u>3,796,350</u>	<u>4,151,146</u>	<u>4,537,635</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>4,634,193</u>	<u>5,146,221</u>	<u>5,748,749</u>	<u>6,321,447</u>	<u>6,859,591</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	11,040	2,000	2,000	2,000	2,000
Functional Total	<u>11,040</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>
GENERAL GOVERNMENT					
State, Department of	0	(15)	(15)	(15)	(15)
Functional Total	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>11,040</u></u>	<u><u>1,985</u></u>	<u><u>1,985</u></u>	<u><u>1,985</u></u>	<u><u>1,985</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,586	5,320	17,800	29,086	6,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	18,306	6,500	2,500	2,500	2,500
Economic Development, Department of	35,824	55,809	31,745	32,616	46,745
Energy Research and Development Authority	13,500	19,247	15,310	14,000	14,790
Insurance Department	0	0	0	0	0
Empire State Development Corporation	583,292	973,945	716,435	247,065	348,760
Olympic Regional Development Authority	2,525	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	4,230	2,585	300	0
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	665,860	1,075,051	790,375	330,567	424,045
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	487,450	657,989	664,961	463,661	435,361
Environmental Facilities Corporation	292	343	343	343	343
Hudson River Park Trust	11,977	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	79,274	33,019	22,019	22,019	22,019
Functional Total	578,993	701,351	687,323	486,023	457,723
TRANSPORTATION					
Motor Vehicles, Department of	208,105	210,799	201,847	208,171	213,107
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	3,472,811	3,799,658	3,735,733	3,481,023	3,397,675
Functional Total	3,867,000	4,229,357	4,133,880	3,874,594	3,796,182
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	238,053	393,810	703,058	282,140	203,796
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	238,053	393,810	703,058	282,140	203,796
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	238,053	393,810	703,058	282,140	203,796
SOCIAL WELFARE					
Children and Family Services, Office of	28,011	23,000	20,900	20,900	20,900
OCFS	28,011	23,000	20,900	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Housing and Community Renewal, Division of	116,317	103,960	83,635	68,181	83,575
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	30,390	45,000	45,000	40,000	30,000
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	30,390	45,000	45,000	40,000	30,000
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	174,718	171,960	149,535	129,081	134,475
MENTAL HYGIENE					
Mental Health, Office of	111,788	125,448	139,090	192,081	192,081
<i>OMH</i>	111,788	125,448	139,090	192,081	192,081
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	31,784	38,555	47,069	49,099	43,099
<i>OPWDD</i>	31,784	38,555	47,069	49,099	43,099
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	30,721	31,157	71,073	132,851	126,062
<i>OASAS</i>	30,721	31,157	71,073	132,851	126,062
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	0	0	0	0	0
Functional Total	174,293	195,160	257,232	374,031	361,242
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	263,964	255,768	283,786	292,801	299,211
Criminal Justice Services, Division of	0	0	0	0	0
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	8,000	11,000	8,000	6,000
Homeland Security	800	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	37,099	40,487	39,790	39,679	39,634
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	14,074	29,739	35,695	16,625	16,457
Wireless Network	0	0	0	0	0
Functional Total	315,937	333,994	370,271	357,105	361,302

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	858,369	1,129,768	1,121,997	1,055,050	1,020,802
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	30,394	24,539	42,489	51,438	43,718
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	30,394	24,539	42,489	51,438	43,718
Functional Total	30,394	24,539	42,489	51,438	43,718
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	(291)	2,750	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	784	1,500	43,843	50,000	9,060
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	53,537	65,935	107,440	122,863	81,619

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>8,089</u>	<u>18,000</u>	<u>16,700</u>	<u>17,900</u>	<u>0</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	146,592	169,285	237,000	160,000	100,000
Functional Total	<u>146,592</u>	<u>169,285</u>	<u>237,000</u>	<u>160,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>7,111,835</u></u>	<u><u>8,508,210</u></u>	<u><u>8,617,300</u></u>	<u><u>7,240,792</u></u>	<u><u>6,984,904</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	109,449	100,822	111,324	126,235	105,146
Grants to Local Governments	26,841	19,916	20,154	22,685	22,685
State Operations	73,619	69,601	67,396	67,811	68,793
Personal Service	36,185	34,108	31,919	32,528	33,202
Non-Personal Service/Indirect Cost	37,434	35,493	35,477	35,283	35,591
General State Charges	5,403	5,985	5,974	6,790	7,418
Capital Projects	3,586	5,320	17,800	29,086	6,250
<i>Alcoholic Beverage Control</i>	17,012	17,774	19,658	20,176	20,993
Grants to Local Governments	0	0	0	0	0
State Operations	12,875	13,262	14,856	14,646	15,099
Personal Service	8,838	8,588	8,649	8,723	8,841
Non-Personal Service/Indirect Cost	4,037	4,674	6,207	5,923	6,258
General State Charges	4,137	4,512	4,802	5,530	5,894
<i>Banking Department</i>	87,166	90,845	93,975	97,677	100,817
Grants to Local Governments	1,035	850	850	850	850
State Operations	65,082	66,289	68,533	69,452	70,361
Personal Service	49,855	48,950	50,342	50,845	51,354
Non-Personal Service/Indirect Cost	15,227	17,339	18,191	18,607	19,007
General State Charges	21,049	23,706	24,592	27,375	29,606
<i>Developmental Authority North</i>	36	200	162	162	162
Grants to Local Governments	36	200	162	162	162
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Consumer Protection Board</i>	2,295	2,684	2,748	2,633	2,657
Grants to Local Governments	0	0	0	0	0
State Operations	2,295	2,644	2,708	2,593	2,617
Personal Service	2,062	2,031	2,058	2,128	2,153
Non-Personal Service/Indirect Cost	233	613	650	465	464
General State Charges	0	40	40	40	40
<i>Economic Development Capital Programs</i>	18,306	6,500	2,500	2,500	2,500
Grants to Local Governments	8,906	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	9,400	6,500	2,500	2,500	2,500

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Economic Development, Department of</i>	76,889	92,189	64,915	66,420	81,105
Grants to Local Governments	28,815	13,399	10,349	10,349	10,349
State Operations	29,584	22,953	22,793	23,427	23,983
Personal Service	12,979	11,752	10,436	11,070	11,215
Non-Personal Service/Indirect Cost	16,605	11,201	12,357	12,357	12,768
General State Charges	20	28	28	28	28
Capital Projects	18,470	55,809	31,745	32,616	46,745
<i>Energy Research and Development Authority</i>	29,380	34,858	31,307	30,158	31,178
Grants to Local Governments	8,657	9,157	9,234	9,234	9,234
State Operations	5,481	4,871	5,180	5,286	5,396
Personal Service	3,968	3,299	3,365	3,432	3,501
Non-Personal Service/Indirect Cost	1,513	1,572	1,815	1,854	1,895
General State Charges	1,742	1,583	1,583	1,638	1,758
Capital Projects	13,500	19,247	15,310	14,000	14,790
<i>Insurance Department</i>	657,937	464,700	463,468	471,900	479,183
Grants to Local Governments	442,822	235,861	224,716	224,716	224,716
State Operations	169,067	177,594	185,569	187,983	190,442
Personal Service	106,061	105,963	108,870	109,958	111,058
Non-Personal Service/Indirect Cost	63,006	71,631	76,699	78,025	79,384
General State Charges	46,048	51,245	53,183	59,201	64,025
<i>Empire State Development Corporation</i>	606,568	1,012,595	760,671	291,301	382,996
Grants to Local Governments	178,041	38,650	44,236	44,236	34,236
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	428,527	973,945	716,435	247,065	348,760
<i>Olympic Regional Development Authority</i>	7,966	4,815	5,025	5,025	5,152
Grants to Local Governments	2,525	0	0	0	0
State Operations	5,441	4,815	5,025	5,025	5,152
Personal Service	3,090	2,485	2,485	2,485	2,522
Non-Personal Service/Indirect Cost	2,351	2,330	2,540	2,540	2,630
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Public Service, Department of</i>	77,313	75,266	78,822	82,617	85,830
Grants to Local Governments	0	225	500	500	500
State Operations	55,329	54,133	56,346	57,394	58,429
Personal Service	44,332	43,308	45,151	45,946	46,713
Non-Personal Service/Indirect Cost	10,997	10,825	11,195	11,448	11,716
General State Charges	21,984	20,908	21,976	24,723	26,901

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
<i>Racing and Wagering Board, State</i>	22,575	23,733	24,638	22,625	23,405
Grants to Local Governments	0	0	0	0	0
State Operations	17,369	18,288	19,353	16,657	16,918
Personal Service	12,538	11,315	10,895	11,022	11,151
Non-Personal Service/Indirect Cost	4,831	6,973	8,458	5,635	5,767
General State Charges	5,206	5,445	5,285	5,968	6,487
Capital Projects	0	0	0	0	0
<i>Science, Technology and Innovation, Foundation for</i>	29,083	31,024	29,111	26,827	23,387
Grants to Local Governments	26,204	24,154	23,640	23,540	20,340
State Operations	2,879	2,640	2,886	2,987	3,047
Personal Service	2,254	1,624	1,826	1,927	1,950
Non-Personal Service/Indirect Cost	625	1,016	1,060	1,060	1,097
General State Charges	0	0	0	0	0
Capital Projects	0	4,230	2,585	300	0
<i>Strategic Investment</i>	8,827	10,000	4,000	5,000	5,000
Grants to Local Governments	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	8,827	10,000	4,000	5,000	5,000
Functional Total	1,750,802	1,968,005	1,692,324	1,251,256	1,349,511
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	5,292	5,118	4,667	4,669	4,669
Grants to Local Governments	0	0	0	0	0
State Operations	5,292	5,118	4,667	4,669	4,669
Personal Service	4,729	4,385	3,934	3,936	3,936
Non-Personal Service/Indirect Cost	563	733	733	733	733
General State Charges	0	0	0	0	0
<i>Environmental Conservation, Department of</i>	864,001	1,038,671	991,615	787,219	757,919
Grants to Local Governments	180,449	314,880	327,526	127,526	127,526
State Operations	321,847	297,327	270,904	267,588	267,588
Personal Service	220,767	217,164	194,316	194,981	194,981
Non-Personal Service/Indirect Cost	101,080	80,163	76,588	72,607	72,607
General State Charges	46,340	52,475	49,224	49,444	48,444
Capital Projects	315,365	373,989	343,961	342,661	314,361

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Environmental Facilities Corporation</i>	10,025	9,067	9,249	9,433	9,433
Grants to Local Governments	0	0	0	0	0
State Operations	7,859	6,918	7,057	7,198	7,198
Personal Service	6,798	5,705	5,841	5,979	5,979
Non-Personal Service/Indirect Cost	1,061	1,213	1,216	1,219	1,219
General State Charges	1,874	1,806	1,849	1,892	1,892
Capital Projects	292	343	343	343	343
<i>Hudson River Park Trust</i>	11,977	10,000	0	0	0
Grants to Local Governments	0	10,000	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	11,977	0	0	0	0
<i>Parks, Recreation and Historic Preservation, Office of</i>	305,485	238,211	218,789	220,456	221,198
Grants to Local Governments	29,405	23,849	21,020	21,020	21,020
State Operations	190,807	174,979	169,420	171,086	171,721
Personal Service	143,678	135,821	116,079	116,953	117,588
Non-Personal Service/Indirect Cost	47,129	39,158	53,341	54,133	54,133
General State Charges	3,020	4,364	4,330	4,331	4,438
Capital Projects	82,253	35,019	24,019	24,019	24,019
Functional Total	1,196,780	1,301,067	1,224,320	1,021,777	993,219
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	320,230	326,441	310,740	319,237	325,565
Grants to Local Governments	13,486	14,000	13,200	13,200	13,200
State Operations	75,637	75,335	71,691	71,724	71,738
Personal Service	54,016	54,361	49,483	49,497	49,511
Non-Personal Service/Indirect Cost	21,621	20,974	22,208	22,227	22,227
General State Charges	23,002	26,307	24,002	26,142	27,520
Capital Projects	208,105	210,799	201,847	208,171	213,107
<i>Thruway Authority</i>	1,403	1,800	1,800	1,800	1,800
Grants to Local Governments	1,403	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	1,800	1,800	1,800	1,800

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
<i>Metropolitan Transportation Authority</i>	184,681	217,100	194,500	183,600	183,600
Grants to Local Governments	184,681	217,100	194,500	183,600	183,600
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Transportation, Department of</i>	7,376,584	8,171,039	8,156,431	8,001,729	7,999,715
Grants to Local Governments	4,268,202	4,868,098	4,978,567	5,090,805	5,154,895
State Operations	43,257	47,517	43,630	44,191	44,793
Personal Service	16,265	15,412	13,892	14,037	14,181
Non-Personal Service/Indirect Cost	26,992	32,105	29,738	30,154	30,612
General State Charges	5,308	7,518	6,568	7,538	8,170
Capital Projects	3,059,817	3,247,906	3,127,666	2,859,195	2,791,857
FUNCTIONAL TOTAL	7,882,898	8,716,380	8,663,471	8,506,366	8,510,680
HEALTH					
<i>Aging, Office for the</i>	229,966	226,863	219,285	219,338	219,381
Grants to Local Governments	219,608	216,091	208,692	208,692	208,692
State Operations	10,358	10,592	10,341	10,394	10,437
Personal Service	8,791	8,932	8,923	8,956	8,989
Non-Personal Service/Indirect Cost	1,567	1,660	1,418	1,438	1,448
General State Charges	0	180	252	252	252
<i>Health, Department of</i>	42,156,549	44,707,035	49,012,901	50,810,999	55,398,469
Medical Assistance	37,025,209	39,146,472	43,028,745	45,049,303	49,581,347
Grants to Local Governments	37,003,925	39,100,117	42,982,390	45,002,948	49,534,992
State Operations	21,284	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500
Non-Personal Service/Indirect Cost	21,284	45,855	45,855	45,855	45,855
General State Charges	0	0	0	0	0
Medicaid Administration	939,296	1,098,413	1,147,500	1,193,500	1,241,300
Grants to Local Governments	939,296	1,098,413	1,147,500	1,193,500	1,241,300
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Public Health	4,192,044	4,462,150	4,836,656	4,568,196	4,575,822
Grants to Local Governments	3,360,024	3,263,703	3,343,076	3,477,087	3,543,798
State Operations	769,755	774,509	758,281	770,623	787,060
Personal Service	364,585	355,213	361,210	367,712	373,894
Non-Personal Service/Indirect Cost	405,170	419,296	397,071	402,911	413,166
General State Charges	54,425	65,653	67,766	73,871	76,693
Capital Projects	7,840	358,285	667,533	246,615	168,271
Medicaid Inspector General, Office of	64,868	73,770	87,434	90,014	91,724
Grants to Local Governments	0	(4,000)	0	0	0
State Operations	56,567	68,271	73,550	74,290	75,070
Personal Service	40,140	47,718	49,580	50,020	50,470
Non-Personal Service/Indirect Cost	16,427	20,553	23,970	24,270	24,600
General State Charges	8,301	9,499	13,884	15,724	16,654
Stem Cell and Innovation	17,676	44,700	50,000	61,373	63,673
Grants to Local Governments	0	0	0	0	0
State Operations	17,248	44,700	50,000	61,373	63,673
Personal Service	640	0	0	0	0
Non-Personal Service/Indirect Cost	16,608	44,700	50,000	61,373	63,673
General State Charges	428	0	0	0	0
Functional Total	42,469,059	45,052,368	49,369,620	51,181,724	55,773,247
 SOCIAL WELFARE					
Children and Family Services, Office of	3,189,020	3,241,227	3,327,980	3,511,844	3,733,849
Children and Family Services	3,139,542	3,134,526	3,196,618	3,376,657	3,594,824
Grants to Local Governments	2,716,339	2,664,660	2,728,688	2,899,209	3,121,801
State Operations	384,285	434,442	434,451	443,969	440,432
Personal Service	229,085	234,781	230,144	234,095	227,260
Non-Personal Service/Indirect Cost	155,200	199,661	204,307	209,874	213,172
General State Charges	11,241	12,424	12,579	12,579	11,691
Capital Projects	27,677	23,000	20,900	20,900	20,900

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Children and Family Services - Medicaid	49,478	106,701	131,362	135,187	139,025
Grants to Local Governments	49,478	106,701	131,362	135,187	139,025
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Human Rights, Division of	20,300	19,339	18,990	19,596	19,881
Grants to Local Governments	0	0	0	0	0
State Operations	19,650	17,154	16,460	16,684	16,815
Personal Service	14,873	13,836	13,206	13,334	13,432
Non-Personal Service/Indirect Cost	4,777	3,318	3,254	3,350	3,383
General State Charges	650	2,185	2,530	2,912	3,066
Labor, Department of	728,721	797,210	738,445	733,468	716,357
Grants to Local Governments	273,863	249,704	207,434	192,894	183,347
State Operations	360,817	425,733	394,239	388,517	377,076
Personal Service	235,698	273,259	257,691	253,356	245,255
Non-Personal Service/Indirect Cost	125,119	152,474	136,548	135,161	131,821
General State Charges	94,041	121,773	136,772	152,057	155,934
Housing and Community Renewal, Division of	417,003	467,490	403,257	263,395	280,349
Grants to Local Governments	319,046	378,437	322,978	188,013	203,407
State Operations	76,254	68,799	62,609	57,914	58,450
Personal Service	58,315	51,012	45,150	40,870	41,197
Non-Personal Service/Indirect Cost	17,939	17,787	17,459	17,044	17,253
General State Charges	18,313	19,254	17,670	17,468	18,492
Capital Projects	3,390	1,000	0	0	0
National Commission Services	16,862	20,732	14,627	14,629	14,715
Grants to Local Governments	0	346	350	350	350
State Operations	16,862	20,386	14,277	14,279	14,365
Personal Service	690	589	590	592	678
Non-Personal Service/Indirect Cost	16,172	19,797	13,687	13,687	13,687
General State Charges	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,167	1,947	1,962	1,983	1,983
Grants to Local Governments	656	682	685	685	685
State Operations	1,511	1,265	1,277	1,298	1,298
Personal Service	1,291	1,109	1,139	1,152	1,152
Non-Personal Service/Indirect Cost	220	156	138	146	146
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Temporary and Disability Assistance, Office of</i>	<u>5,275,993</u>	<u>5,247,194</u>	<u>5,287,681</u>	<u>5,273,384</u>	<u>5,312,525</u>
Welfare Assistance	<u>3,857,439</u>	<u>3,829,675</u>	<u>3,882,371</u>	<u>3,855,288</u>	<u>3,895,942</u>
Grants to Local Governments	3,857,439	3,829,675	3,882,371	3,855,288	3,895,942
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Welfare Administration	<u>51,263</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Grants to Local Governments	51,263	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	<u>1,367,291</u>	<u>1,417,519</u>	<u>1,405,310</u>	<u>1,418,096</u>	<u>1,416,583</u>
Grants to Local Governments	963,456	987,377	966,280	969,096	970,546
State Operations	334,813	339,423	341,046	347,502	351,208
Personal Service	165,774	169,599	166,776	168,146	169,556
Non-Personal Service/Indirect Cost	169,039	169,824	174,270	179,356	181,652
General State Charges	38,632	45,719	52,984	61,498	64,829
Capital Projects	30,390	45,000	45,000	40,000	30,000
Welfare Inspector General, Office of	<u>727</u>	<u>1,432</u>	<u>1,456</u>	<u>1,472</u>	<u>1,492</u>
Grants to Local Governments	0	0	0	0	0
State Operations	635	1,225	1,242	1,251	1,271
Personal Service	506	760	766	766	786
Non-Personal Service/Indirect Cost	129	465	476	485	485
General State Charges	92	207	214	221	221
Workers' Compensation Board	<u>190,135</u>	<u>208,012</u>	<u>206,237</u>	<u>211,570</u>	<u>218,476</u>
Grants to Local Governments	0	0	0	0	0
State Operations	141,313	166,816	160,909	162,321	164,908
Personal Service	90,768	91,891	93,000	93,643	94,481
Non-Personal Service/Indirect Cost	50,545	74,925	67,909	68,678	70,427
General State Charges	48,822	41,196	45,328	49,249	53,568
Functional Total	<u><u>9,840,928</u></u>	<u><u>10,004,583</u></u>	<u><u>10,000,635</u></u>	<u><u>10,031,341</u></u>	<u><u>10,299,627</u></u>

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
MENTAL HYGIENE					
<i>Mental Health, Office of</i>	3,121,486	3,415,756	3,581,211	3,806,640	3,982,475
Office of Mental Health	1,423,971	1,611,503	1,665,765	1,807,086	1,904,465
Grants to Local Governments	731,742	855,414	973,470	1,096,342	1,170,662
State Operations	456,454	489,924	443,100	449,502	455,799
Personal Service	383,287	385,449	342,021	346,792	350,564
Non-Personal Service/Indirect Cost	73,167	104,475	101,079	102,710	105,235
General State Charges	167,136	186,322	171,625	183,672	200,434
Capital Projects	68,639	79,843	77,570	77,570	77,570
Office of Mental Health - Medicaid	1,697,515	1,804,253	1,915,446	1,999,554	2,078,010
Grants to Local Governments	424,664	412,359	420,742	449,112	467,675
State Operations	956,266	1,027,053	1,086,138	1,103,841	1,122,408
Personal Service	717,769	784,039	835,160	845,887	857,304
Non-Personal Service/Indirect Cost	238,497	243,014	250,978	257,954	265,104
General State Charges	316,585	364,841	408,566	446,601	487,927
<i>Mental Hygiene, Department of</i>	175	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	175	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	175	0	0	0	0
General State Charges	0	0	0	0	0
<i>People with Developmental Disabilities, Office for</i>	4,397,581	4,468,924	4,635,169	4,851,926	5,119,400
Office for People with Developmental Disabilities	522,032	577,017	596,218	619,559	646,529
Grants to Local Governments	462,213	507,708	518,934	540,487	572,577
State Operations	29,495	33,582	33,632	33,782	34,658
Personal Service	81	116	116	116	116
Non-Personal Service/Indirect Cost	29,414	33,466	33,516	33,666	34,542
General State Charges	57	51	62	70	74
Capital Projects	30,267	35,676	43,590	45,220	39,220
Office for People with Developmental Disabilities - Medicaid	3,875,549	3,891,907	4,038,951	4,232,367	4,472,871
Grants to Local Governments	1,678,089	1,716,252	1,831,793	1,951,991	2,114,673
State Operations	1,526,722	1,613,493	1,621,799	1,644,888	1,667,044
Personal Service	1,135,886	1,204,638	1,199,609	1,210,962	1,221,529
Non-Personal Service/Indirect Cost	390,836	408,855	422,190	433,926	445,515
General State Charges	670,738	562,162	585,359	635,488	691,154

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Alcoholism and Substance Abuse Services, Office of</i>	550,090	584,052	656,628	752,668	767,089
Alcoholism and Substance Abuse Services	456,695	479,620	546,034	638,730	650,476
Grants to Local Governments	402,260	424,555	487,831	578,934	585,684
State Operations	42,866	37,415	38,073	37,829	38,364
Personal Service	31,031	25,288	25,856	25,441	25,720
Non-Personal Service/Indirect Cost	11,835	12,127	12,217	12,388	12,644
General State Charges	10,246	11,228	11,162	12,128	13,232
Capital Projects	1,323	6,422	8,968	9,839	13,196
Alcoholism and Substance Abuse Services - Medicaid	93,395	104,432	110,594	113,938	116,613
Grants to Local Governments	33,780	35,182	37,297	38,190	38,196
State Operations	44,668	51,768	52,370	53,031	53,702
Personal Service	33,560	42,765	42,971	43,235	43,666
Non-Personal Service/Indirect Cost	11,108	9,003	9,399	9,796	10,036
General State Charges	14,947	17,482	20,927	22,717	24,715
<i>Developmental Disabilities Planning Council</i>	3,397	4,200	4,200	4,200	4,200
Grants to Local Governments	0	0	0	0	0
State Operations	3,148	3,682	3,589	3,498	3,439
Personal Service	1,224	1,197	1,197	1,197	1,209
Non-Personal Service/Indirect Cost	1,924	2,485	2,392	2,301	2,230
General State Charges	249	518	611	702	761
<i>Quality of Care and Advocacy for Persons with Disabilities, Commission on</i>	15,508	16,155	16,305	16,707	17,159
Grants to Local Governments	857	862	669	669	669
State Operations	13,099	13,447	13,713	13,833	14,105
Personal Service	7,395	7,250	7,295	7,338	7,420
Non-Personal Service/Indirect Cost	5,704	6,197	6,418	6,495	6,685
General State Charges	1,552	1,846	1,923	2,205	2,385
Functional Total	8,088,237	8,489,087	8,893,513	9,432,141	9,890,323
PUBLIC PROTECTION					
<i>Capital Defenders Office</i>	21	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	21	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	21	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Correction, Commission of</i>	2,628	2,701	2,740	2,792	2,824
Grants to Local Governments	0	0	0	0	0
State Operations	2,617	2,701	2,740	2,792	2,824
Personal Service	2,156	2,299	2,326	2,360	2,376
Non-Personal Service/Indirect Cost	461	402	414	432	448
General State Charges	11	0	0	0	0
<i>Correctional Services, Department of</i>	2,909,312	2,702,173	2,779,192	2,842,665	2,914,440
Grants to Local Governments	1,399	300	243	243	243
State Operations	2,641,091	2,443,086	2,493,748	2,548,206	2,613,571
Personal Service	2,130,894	1,927,068	1,964,868	1,986,841	2,010,106
Non-Personal Service/Indirect Cost	510,197	516,018	528,880	561,365	603,465
General State Charges	2,858	3,019	1,415	1,415	1,415
Capital Projects	263,964	255,768	283,786	292,801	299,211
<i>Criminal Justice Services, Division of</i>	241,767	343,785	326,406	311,301	306,727
Grants to Local Governments	156,441	233,193	223,072	215,472	209,014
State Operations	85,178	108,877	101,614	94,096	95,976
Personal Service	44,265	51,655	50,223	48,929	47,741
Non-Personal Service/Indirect Cost	40,913	57,222	51,391	45,167	48,235
General State Charges	148	1,715	1,720	1,733	1,737
<i>Office of Victim Services</i>	67,342	67,372	64,910	65,310	65,447
Grants to Local Governments	59,852	57,943	55,668	55,668	55,668
State Operations	6,368	7,884	7,680	7,810	7,809
Personal Service	5,245	5,209	4,978	5,079	5,052
Non-Personal Service/Indirect Cost	1,123	2,675	2,702	2,731	2,757
General State Charges	1,122	1,545	1,562	1,832	1,970
<i>Statewide Financial System</i>	0	30,643	40,072	49,656	49,756
Grants to Local Governments	0	0	0	0	0
State Operations	0	30,643	40,072	49,656	49,756
Personal Service	0	9,484	9,435	9,435	9,435
Non-Personal Service/Indirect Cost	0	21,159	30,637	40,221	40,321
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<i>Homeland Security and Emergency Services</i>	296,589	383,650	403,890	411,478	374,077
Grants to Local Governments	265,657	295,522	321,508	351,508	326,508
State Operations	29,481	78,383	69,490	50,149	39,902
Personal Service	13,796	8,540	10,832	10,728	10,481
Non-Personal Service/Indirect Cost	15,685	69,843	58,658	39,421	29,421
General State Charges	1,451	1,745	1,892	1,821	1,667
Capital Projects	0	8,000	11,000	8,000	6,000

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Homeland Security	800	34,298	34,710	35,125	35,547
Grants to Local Governments	0	0	0	0	0
State Operations	0	34,298	34,710	35,125	35,547
Personal Service	0	30,909	31,287	31,600	31,916
Non-Personal Service/Indirect Cost	0	3,389	3,423	3,525	3,631
General State Charges	0	0	0	0	0
Capital Projects	800	0	0	0	0
Investigation, Temporary State Commission of	395	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	395	0	0	0	0
Personal Service	386	0	0	0	0
Non-Personal Service/Indirect Cost	9	0	0	0	0
General State Charges	0	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Grants to Local Governments	0	0	0	0	0
State Operations	5,145	5,292	5,542	5,616	5,696
Personal Service	3,988	3,984	4,134	4,174	4,217
Non-Personal Service/Indirect Cost	1,157	1,308	1,408	1,442	1,479
General State Charges	0	0	0	0	0
Military and Naval Affairs, Division of	276,622	218,975	194,775	189,822	189,034
Grants to Local Governments	143,148	93,616	69,466	64,466	63,466
State Operations	88,139	74,496	74,878	74,205	74,523
Personal Service	50,490	32,406	33,135	33,328	32,318
Non-Personal Service/Indirect Cost	37,649	42,090	41,743	40,877	42,205
General State Charges	8,236	10,376	10,641	11,472	11,411
Capital Projects	37,099	40,487	39,790	39,679	39,634
Office of Indigent Legal Services	0	73,691	79,997	80,125	80,237
Grants to Local Governments	0	72,691	77,000	77,000	77,000
State Operations	0	875	2,257	2,301	2,346
Personal Service	0	250	1,515	1,530	1,545
Non-Personal Service/Indirect Cost	0	625	742	771	801
General State Charges	0	125	740	824	891
Capital Projects	0	0	0	0	0
Parole, Division of	188,383	179,398	174,844	177,540	182,735
Grants to Local Governments	16,324	11,277	6,000	6,000	6,000
State Operations	172,059	168,121	168,844	171,540	176,735
Personal Service	139,375	134,225	133,600	135,296	139,403
Non-Personal Service/Indirect Cost	32,684	33,896	35,244	36,244	37,332
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Probation and Correctional Alternatives, Division of</i>	74,852	0	0	0	1,468
Grants to Local Governments	72,254	0	0	0	1,458
State Operations	2,576	0	0	0	7
Personal Service	2,311	0	0	0	7
Non-Personal Service/Indirect Cost	265	0	0	0	0
General State Charges	22	0	0	0	3
<i>State Police, Division of</i>	776,340	717,985	728,556	715,148	719,889
Grants to Local Governments	0	0	0	0	0
State Operations	741,303	683,648	688,115	693,454	698,363
Personal Service	651,675	591,339	594,603	598,053	601,503
Non-Personal Service/Indirect Cost	89,628	92,309	93,512	95,401	96,860
General State Charges	20,963	4,598	4,746	5,069	5,069
Capital Projects	14,074	29,739	35,695	16,625	16,457
<i>Wireless Network</i>	6,672	3,586	1,786	1,786	786
Grants to Local Governments	0	0	0	0	0
State Operations	5,412	3,086	1,286	1,286	286
Personal Service	2,820	1,000	200	200	200
Non-Personal Service/Indirect Cost	2,592	2,086	1,086	1,086	86
General State Charges	1,260	500	500	500	500
Capital Projects	0	0	0	0	0
Functional Total	4,846,868	4,763,549	4,837,420	4,888,364	4,928,663
HIGHER EDUCATION					
<i>City University of New York</i>	1,655,773	1,360,973	1,433,517	1,532,689	1,625,290
Grants to Local Governments	1,539,018	1,217,291	1,285,055	1,380,179	1,470,122
State Operations	102,796	126,066	128,482	130,947	133,457
Personal Service	73,896	87,688	89,222	90,784	92,372
Non-Personal Service/Indirect Cost	28,900	38,378	39,260	40,163	41,085
General State Charges	4,236	6,134	6,275	6,419	6,567
Capital Projects	9,723	11,482	13,705	15,144	15,144
<i>Higher Education Services Corporation</i>	1,022,235	970,402	1,026,434	1,092,691	1,098,598
Grants to Local Governments	907,813	869,287	922,663	985,542	985,542
State Operations	99,127	85,437	90,255	90,628	95,171
Personal Service	36,495	35,574	32,519	31,830	32,145
Non-Personal Service/Indirect Cost	62,632	49,863	57,736	58,798	63,026
General State Charges	15,295	15,678	13,516	16,521	17,885

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Grants to Local Governments	37,649	20,000	38,000	20,000	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	(329)	8,000	10,000	9,000	0
Higher Education Miscellaneous	378	355	355	355	355
Grants to Local Governments	0	0	0	0	0
State Operations	270	220	220	220	220
Personal Service	238	175	175	175	175
Non-Personal Service/Indirect Cost	32	45	45	45	45
General State Charges	108	135	135	135	135
Capital Projects	0	0	0	0	0
State University Construction Fund	18,595	25,678	26,172	27,074	27,930
Grants to Local Governments	0	0	0	0	0
State Operations	14,504	18,239	18,579	18,684	18,924
Personal Service	12,074	15,278	15,544	15,583	15,622
Non-Personal Service/Indirect Cost	2,430	2,961	3,035	3,101	3,302
General State Charges	4,091	7,439	7,593	8,390	9,006
State University of New York	6,989,582	7,391,075	7,493,950	7,272,786	7,352,877
Grants to Local Governments	489,214	566,514	645,088	643,140	643,140
State Operations	5,224,812	5,204,245	5,291,191	5,118,804	5,200,334
Personal Service	3,252,188	3,157,398	3,242,157	3,148,699	3,174,708
Non-Personal Service/Indirect Cost	1,972,624	2,046,847	2,049,034	1,970,105	2,025,626
General State Charges	464,230	530,030	497,379	499,936	503,745
Capital Projects	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	9,723,883	9,776,483	10,028,428	9,954,595	10,105,050
EDUCATION					
Arts, Council on the	43,436	44,768	40,466	40,503	40,563
Grants to Local Governments	38,037	39,890	35,668	35,668	35,668
State Operations	5,399	4,878	4,798	4,835	4,895
Personal Service	3,741	3,138	2,840	2,864	2,889
Non-Personal Service/Indirect Cost	1,658	1,740	1,958	1,971	2,006
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
Education, Department of	27,725,560	33,023,723	33,460,206	35,179,591	37,803,074
School Aid	21,484,784	26,209,910	26,554,814	28,217,163	30,529,973
Grants to Local Governments	21,484,784	26,209,910	26,554,814	28,217,163	30,529,973
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
Grants to Local Governments	63,757	125,820	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
STAR Property Tax Relief	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
Grants to Local Governments	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Special Education Categorical Programs	1,680,004	2,303,888	2,282,245	2,133,136	2,265,616
Grants to Local Governments	1,680,004	2,303,888	2,282,245	2,133,136	2,265,616
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	1,083,473	1,114,239	1,205,527	1,245,125	1,235,010
Grants to Local Governments	686,522	679,473	733,604	754,420	760,623
State Operations	319,742	352,344	372,236	367,381	354,720
Personal Service	185,914	177,047	173,036	174,863	176,908
Non-Personal Service/Indirect Cost	133,828	175,297	199,200	192,518	177,812
General State Charges	66,951	71,483	71,198	85,886	89,949
Capital Projects	10,258	10,939	28,489	37,438	29,718
Functional Total	27,768,996	33,068,491	33,500,672	35,220,094	37,843,637

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
GENERAL GOVERNMENT					
Budget, Division of the	40,775	39,212	40,751	41,825	42,755
Grants to Local Governments	0	0	0	0	0
State Operations	38,930	37,227	38,557	39,387	40,049
Personal Service	28,860	25,700	26,000	26,780	27,583
Non-Personal Service/Indirect Cost	10,070	11,527	12,557	12,607	12,466
General State Charges	1,845	1,985	2,194	2,438	2,706
Civil Service, Department of	21,384	17,406	17,561	17,820	18,113
Grants to Local Governments	0	0	0	0	0
State Operations	21,245	17,157	17,302	17,539	17,806
Personal Service	19,595	15,382	15,486	15,678	15,897
Non-Personal Service/Indirect Cost	1,650	1,775	1,816	1,861	1,909
General State Charges	139	249	259	281	307
Deferred Compensation	673	826	774	796	823
Grants to Local Governments	0	0	0	0	0
State Operations	521	641	598	605	615
Personal Service	388	385	390	392	396
Non-Personal Service/Indirect Cost	133	256	208	213	219
General State Charges	152	185	176	191	208
Elections, State Board of	50,405	101,615	56,577	36,154	6,099
Grants to Local Governments	2,345	2,017	2,700	30,000	0
State Operations	48,054	99,598	53,877	6,154	6,099
Personal Service	4,472	4,474	4,308	4,490	4,353
Non-Personal Service/Indirect Cost	43,582	95,124	49,569	1,664	1,746
General State Charges	6	0	0	0	0
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Grants to Local Governments	0	0	0	0	0
State Operations	3,204	3,202	3,223	3,257	3,297
Personal Service	3,071	3,019	3,035	3,064	3,099
Non-Personal Service/Indirect Cost	133	183	188	193	198
General State Charges	0	0	0	0	0
Financial Plan Control Board	2,630	3,190	3,330	3,494	3,638
Grants to Local Governments	0	0	0	0	0
State Operations	2,039	2,385	2,521	2,583	2,643
Personal Service	1,357	1,566	1,657	1,690	1,724
Non-Personal Service/Indirect Cost	682	819	864	893	919
General State Charges	591	805	809	911	995

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
General Services, Office of	197,766	196,027	201,742	213,048	215,935
Grants to Local Governments	24	648	574	574	574
State Operations	143,035	131,539	138,177	140,225	143,313
Personal Service	62,775	55,348	55,879	56,901	57,614
Non-Personal Service/Indirect Cost	80,260	76,191	82,298	83,324	85,699
General State Charges	1,663	2,155	2,144	2,136	2,239
Capital Projects	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Grants to Local Governments	0	0	0	0	0
State Operations	6,079	6,024	6,187	6,272	6,359
Personal Service	5,767	5,500	5,644	5,705	5,766
Non-Personal Service/Indirect Cost	312	524	543	567	593
General State Charges	0	0	0	0	0
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Grants to Local Governments	0	0	0	0	0
State Operations	33,609	45,300	50,300	56,918	25,765
Personal Service	8,055	7,929	7,820	7,908	7,908
Non-Personal Service/Indirect Cost	25,554	37,371	42,480	49,010	17,857
General State Charges	0	0	0	0	0
Lottery, Division of	185,777	173,408	176,790	177,280	181,544
Grants to Local Governments	0	0	0	0	0
State Operations	176,513	163,839	166,877	165,777	169,217
Personal Service	21,798	19,642	19,211	19,211	19,454
Non-Personal Service/Indirect Cost	154,715	144,197	147,666	146,566	149,763
General State Charges	9,264	9,569	9,913	11,503	12,327
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Grants to Local Governments	0	0	0	0	0
State Operations	3,785	4,208	4,164	4,216	4,268
Personal Service	3,362	3,459	3,397	3,431	3,465
Non-Personal Service/Indirect Cost	423	749	767	785	803
General State Charges	0	0	0	0	0
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Grants to Local Governments	0	0	0	0	0
State Operations	4,209	4,054	4,463	4,643	4,720
Personal Service	3,357	3,094	3,417	3,561	3,603
Non-Personal Service/Indirect Cost	852	960	1,046	1,082	1,117
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
<i>Real Property Services, Office of</i>	42,806	0	0	0	0
Grants to Local Governments	11,409	0	0	0	0
State Operations	27,977	0	0	0	0
Personal Service	23,407	0	0	0	0
Non-Personal Service/Indirect Cost	4,570	0	0	0	0
General State Charges	3,420	0	0	0	0
<i>Regulatory Reform, Governor's Office of</i>	2,449	1,625	1,543	1,561	1,579
Grants to Local Governments	0	0	0	0	0
State Operations	2,449	1,625	1,543	1,561	1,579
Personal Service	2,248	1,450	1,361	1,375	1,388
Non-Personal Service/Indirect Cost	201	175	182	186	191
General State Charges	0	0	0	0	0
<i>State, Department of</i>	176,349	203,585	137,587	135,217	136,871
Grants to Local Governments	115,566	142,322	76,633	72,196	72,196
State Operations	50,722	48,519	48,327	49,054	49,805
Personal Service	36,549	31,883	30,222	30,551	30,878
Non-Personal Service/Indirect Cost	14,173	16,636	18,105	18,503	18,927
General State Charges	10,352	10,009	9,892	11,232	12,135
Capital Projects	(291)	2,735	2,735	2,735	2,735
<i>Tax Appeals, Division of</i>	3,458	2,952	2,787	2,787	2,825
Grants to Local Governments	0	0	0	0	0
State Operations	3,458	2,952	2,787	2,787	2,825
Personal Service	2,960	2,585	2,420	2,420	2,447
Non-Personal Service/Indirect Cost	498	367	367	367	378
General State Charges	0	0	0	0	0
<i>Taxation and Finance, Department of</i>	417,898	457,613	439,812	442,768	449,534
Grants to Local Governments	0	12,062	12,361	12,461	12,461
State Operations	399,332	426,736	407,794	407,876	413,287
Personal Service	306,466	331,441	313,928	314,007	316,976
Non-Personal Service/Indirect Cost	92,866	95,295	93,866	93,869	96,311
General State Charges	18,566	18,815	19,657	22,431	23,786
<i>Technology, Office for</i>	23,549	27,598	79,091	83,294	42,817
Grants to Local Governments	1,083	2,180	0	0	0
State Operations	22,466	23,918	35,248	33,294	33,757
Personal Service	10,571	12,377	12,451	12,571	12,669
Non-Personal Service/Indirect Cost	11,895	11,541	22,797	20,723	21,088
General State Charges	0	0	0	0	0
Capital Projects	0	1,500	43,843	50,000	9,060

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
Veterans' Affairs, Division of	16,072	17,000	16,859	16,860	16,982
Grants to Local Governments	8,290	9,480	9,076	9,076	9,076
State Operations	7,475	7,110	7,313	7,242	7,330
Personal Service	6,790	6,373	6,525	6,579	6,649
Non-Personal Service/Indirect Cost	685	737	788	663	681
General State Charges	307	410	470	542	576
Functional Total	1,232,877	1,304,845	1,243,541	1,248,210	1,163,924
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Grants to Local Governments	0	0	0	0	0
State Operations	226,089	218,795	223,196	227,685	232,263
Personal Service	177,874	165,047	168,348	171,715	175,149
Non-Personal Service/Indirect Cost	48,215	53,748	54,848	55,970	57,114
General State Charges	0	0	0	0	0
Judiciary	2,520,040	2,608,663	2,843,604	2,962,976	3,088,947
Grants to Local Governments	118,892	124,865	177,100	177,100	179,100
State Operations	1,878,272	1,908,400	2,048,156	2,114,334	2,196,057
Personal Service	1,538,771	1,536,806	1,650,756	1,693,762	1,749,974
Non-Personal Service/Indirect Cost	339,501	371,594	397,400	420,572	446,083
General State Charges	514,787	557,398	601,648	653,642	713,790
Capital Projects	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	242,702	178,908	185,420	193,444	195,966
Grants to Local Governments	102,115	31,672	32,024	32,024	32,024
State Operations	139,387	145,651	151,805	159,787	162,309
Personal Service	114,716	116,084	118,239	125,329	126,722
Non-Personal Service/Indirect Cost	24,671	29,567	33,566	34,458	35,587
General State Charges	1,200	1,585	1,591	1,633	1,633
Law, Department of	220,152	206,266	216,686	221,210	225,132
Grants to Local Governments	0	0	0	0	0
State Operations	200,395	188,564	197,697	200,080	204,002
Personal Service	143,311	130,431	137,309	138,433	139,944
Non-Personal Service/Indirect Cost	57,084	58,133	60,388	61,647	64,058
General State Charges	19,757	17,702	18,989	21,130	21,130
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Grants to Local Governments	0	0	0	0	0
State Operations	17,056	15,473	16,097	16,374	16,632
Personal Service	13,451	12,400	12,897	13,074	13,232
Non-Personal Service/Indirect Cost	3,605	3,073	3,200	3,300	3,400
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Lieutenant Governor, Office of the</i>	0	516	1,051	1,066	1,066
Grants to Local Governments	0	0	0	0	0
State Operations	0	516	1,051	1,066	1,066
Personal Service	0	424	890	917	945
Non-Personal Service/Indirect Cost	0	92	161	149	121
General State Charges	0	0	0	0	0
Functional Total	<u>3,226,039</u>	<u>3,228,621</u>	<u>3,486,054</u>	<u>3,622,755</u>	<u>3,760,006</u>
LOCAL GOVERNMENT ASSISTANCE					
<i>Aid and Incentives for Municipalities</i>	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Grants to Local Governments	1,039,488	740,716	1,030,259	1,030,300	1,030,468
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Efficiency Incentive Grants Program</i>	3,293	7,595	7,632	7,533	0
Grants to Local Governments	3,293	7,595	7,632	7,533	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	8,920	3,920	3,920	3,920	3,920
Grants to Local Governments	8,920	3,920	3,920	3,920	3,920
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Municipalities with VLT Facilities</i>	26,489	25,801	25,801	25,801	25,801
Grants to Local Governments	26,489	25,801	25,801	25,801	25,801
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Grants to Local Governments	2,089	2,065	2,088	2,088	2,088
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	1,080,279	780,097	1,069,700	1,069,642	1,062,277
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,562,704	6,131,145	6,445,769	6,606,424
Grants to Local Governments	0	0	0	0	0
State Operations	50,631	91,697	91,869	91,869	91,869
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	50,631	91,697	91,869	91,869	91,869
General State Charges	0	0	0	0	0
Debt Service	4,961,471	5,471,007	6,039,276	6,353,900	6,514,555
General State Charges	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Grants to Local Governments	0	0	0	0	0
State Operations	4,852	0	0	0	0
Personal Service	2,175	0	0	0	0
Non-Personal Service/Indirect Cost	2,677	0	0	0	0
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	(162,872)	8,871	384,472	44,085	(11,037)
Grants to Local Governments	(314,934)	104,439	66,625	(27,825)	(73,003)
State Operations	4,009	(269,806)	75,862	(93,124)	(43,081)
Personal Service	1,083	(138,639)	217,217	(1,773)	(1,762)
Non-Personal Service/Indirect Cost	2,926	(131,167)	(141,355)	(91,351)	(41,319)
General State Charges	3,232	4,953	4,985	5,034	5,047
Capital Projects	144,821	169,285	237,000	160,000	100,000
Functional Total	7,769,833	8,943,253	10,306,982	10,635,966	11,127,975
TOTAL ALL FUNDS SPENDING	126,877,479	137,396,829	144,316,680	148,064,231	156,808,139

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	109,449	100,822	111,324	126,235	105,146
Alcoholic Beverage Control	17,012	17,774	19,658	20,176	20,993
Banking Department	87,166	90,845	93,975	97,677	100,817
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,295	2,684	2,748	2,633	2,657
Economic Development Capital Programs	18,306	6,500	2,500	2,500	2,500
Economic Development, Department of	76,889	92,189	64,915	66,420	81,105
Energy Research and Development Authority	29,380	34,858	31,307	30,158	31,178
Insurance Department	657,937	464,700	463,468	471,900	479,183
Empire State Development Corporation	606,568	1,012,595	760,671	291,301	382,996
Olympic Regional Development Authority	7,966	4,815	5,025	5,025	5,152
Public Service, Department of	77,313	75,266	78,822	82,617	85,830
Racing and Wagering Board, State	22,575	23,733	24,638	22,625	23,405
Science, Technology and Innovation, Foundation for	29,083	31,024	29,111	26,827	23,387
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	<u>1,750,802</u>	<u>1,968,005</u>	<u>1,692,324</u>	<u>1,251,256</u>	<u>1,349,511</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,292	5,118	4,667	4,669	4,669
Environmental Conservation, Department of	864,001	1,038,671	991,615	787,219	757,919
Environmental Facilities Corporation	10,025	9,067	9,249	9,433	9,433
Hudson River Park Trust	11,977	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	305,485	238,211	218,789	220,456	221,198
Functional Total	<u>1,196,780</u>	<u>1,301,067</u>	<u>1,224,320</u>	<u>1,021,777</u>	<u>993,219</u>
TRANSPORTATION					
Motor Vehicles, Department of	320,230	326,441	310,740	319,237	325,565
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	7,376,584	8,171,039	8,156,431	8,001,729	7,999,715
Functional Total	<u>7,882,898</u>	<u>8,716,380</u>	<u>8,663,471</u>	<u>8,506,366</u>	<u>8,510,680</u>
HEALTH					
Aging, Office for the	229,966	226,863	219,285	219,338	219,381
Health, Department of	42,156,549	44,707,035	49,012,901	50,810,999	55,398,469
<i>Medical Assistance</i>	37,025,209	39,146,472	43,028,745	45,049,303	49,581,347
<i>Medicaid Administration</i>	939,296	1,098,413	1,147,500	1,193,500	1,241,300
<i>Public Health</i>	4,192,044	4,462,150	4,836,656	4,568,196	4,575,822
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	64,868	73,770	87,434	90,014	91,724
Stem Cell and Innovation	17,676	44,700	50,000	61,373	63,673
Functional Total	<u>42,469,059</u>	<u>45,052,368</u>	<u>49,369,620</u>	<u>51,181,724</u>	<u>55,773,247</u>
SOCIAL WELFARE					
Children and Family Services, Office of	3,189,020	3,241,227	3,327,980	3,511,844	3,733,849
OCFS	3,139,542	3,134,526	3,196,618	3,376,657	3,594,824
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	20,300	19,339	18,990	19,596	19,881
Labor, Department of	728,721	797,210	738,445	733,468	716,357
Housing and Community Renewal, Division of	417,003	467,490	403,257	263,395	280,349
National Commission Services	16,862	20,732	14,627	14,629	14,715
Prevention of Domestic Violence, Office for	2,167	1,947	1,962	1,983	1,983

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of	5,275,993	5,247,194	5,287,681	5,273,384	5,312,525
<i>Welfare Assistance</i>	3,857,439	3,829,675	3,882,371	3,855,288	3,895,942
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	1,367,291	1,417,519	1,405,310	1,418,096	1,416,583
Welfare Inspector General, Office of	727	1,432	1,456	1,472	1,492
Workers' Compensation Board	190,135	208,012	206,237	211,570	218,476
Functional Total	9,840,928	10,004,583	10,000,635	10,031,341	10,299,627
MENTAL HYGIENE					
Mental Health, Office of	3,121,486	3,415,756	3,581,211	3,806,640	3,982,475
<i>OMH</i>	1,423,971	1,611,503	1,665,765	1,807,086	1,904,465
<i>OMH - Medicaid</i>	1,697,515	1,804,253	1,915,446	1,999,554	2,078,010
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	4,397,581	4,468,924	4,635,169	4,851,926	5,119,400
<i>OPWDD</i>	522,032	577,017	596,218	619,559	646,529
<i>OPWDD - Medicaid</i>	3,875,549	3,891,907	4,038,951	4,232,367	4,472,871
Alcoholism and Substance Abuse Services, Office of	550,090	584,052	656,628	752,668	767,089
<i>OASAS</i>	456,695	479,620	546,034	638,730	650,476
<i>OASAS - Medicaid</i>	93,395	104,432	110,594	113,938	116,613
Developmental Disabilities Planning Council	3,397	4,200	4,200	4,200	4,200
Quality of Care and Advocacy for Persons with Disabilities, Commission on	15,508	16,155	16,305	16,707	17,159
Functional Total	8,088,237	8,489,087	8,893,513	9,432,141	9,890,323
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,628	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,909,312	2,702,173	2,779,192	2,842,665	2,914,440
Criminal Justice Services, Division of	241,767	343,785	326,406	311,301	306,727
Office of Victim Services	67,342	67,372	64,910	65,310	65,447
Statewide Financial System	0	30,643	40,072	49,656	49,756
Homeland Security and Emergency Services	296,589	383,650	403,890	411,478	374,077
Homeland Security	800	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	73,691	79,997	80,125	80,237
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	276,622	218,975	194,775	189,822	189,034
Parole, Division of	188,383	179,398	174,844	177,540	182,735
Probation and Correctional Alternatives, Division of	74,852	0	0	0	1,468
State Emergency Management Office	0	0	0	0	0
State Police, Division of	776,340	717,985	728,556	715,148	719,889
Wireless Network	6,672	3,586	1,786	1,786	786
Functional Total	4,846,868	4,763,549	4,837,420	4,888,364	4,928,663

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,655,773	1,360,973	1,433,517	1,532,689	1,625,290
Higher Education Services Corporation	1,022,235	970,402	1,026,434	1,092,691	1,098,598
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Higher Education Miscellaneous	378	355	355	355	355
State University Construction Fund	18,595	25,678	26,172	27,074	27,854
State University of New York	6,989,582	7,391,075	7,493,950	7,272,786	7,352,953
Functional Total	9,723,883	9,776,483	10,028,428	9,954,595	10,105,050
EDUCATION					
Arts, Council on the	43,436	44,768	40,466	40,503	40,563
Education, Department of	27,725,560	33,023,723	33,460,206	35,179,591	37,803,074
<i>School Aid</i>	21,484,784	26,209,910	26,554,814	28,217,163	30,529,973
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	1,680,004	2,303,888	2,282,245	2,133,136	2,265,616
<i>All Other</i>	1,083,473	1,114,239	1,205,527	1,245,125	1,235,010
Functional Total	27,768,996	33,068,491	33,500,672	35,220,094	37,843,637
GENERAL GOVERNMENT					
Budget, Division of the	40,775	39,212	40,751	41,825	42,755
Civil Service, Department of	21,384	17,406	17,561	17,820	18,113
Deferred Compensation	673	826	774	796	823
Elections, State Board of	50,405	101,615	56,577	36,154	6,099
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Financial Plan Control Board	2,630	3,190	3,330	3,494	3,638
General Services, Office of	197,766	196,027	201,742	213,048	215,935
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Lottery, Division of	185,777	173,408	176,790	177,280	181,544
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	42,806	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	176,349	203,585	137,587	135,217	136,871
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	417,898	457,613	439,812	442,768	449,534
Technology, Office for	23,549	27,598	79,091	83,294	42,817
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	16,072	17,000	16,859	16,860	16,982
Functional Total	1,232,877	1,304,845	1,243,541	1,248,210	1,163,924

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Judiciary	2,520,040	2,608,663	2,843,604	2,962,976	3,088,947
Audit and Control, Department of	242,702	178,908	185,420	193,444	195,966
Law, Department of	220,152	206,266	216,686	221,210	225,132
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>3,226,039</u>	<u>3,228,621</u>	<u>3,486,054</u>	<u>3,622,755</u>	<u>3,760,006</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,562,704	6,131,145	6,445,769	6,606,424
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	(162,872)	8,871	384,472	44,085	(11,037)
Functional Total	<u>7,769,833</u>	<u>8,943,253</u>	<u>10,306,982</u>	<u>10,635,966</u>	<u>11,127,975</u>
TOTAL ALL FUNDS SPENDING	<u><u>126,877,479</u></u>	<u><u>137,396,829</u></u>	<u><u>144,316,680</u></u>	<u><u>148,064,231</u></u>	<u><u>156,808,139</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Actuals	Projected	Projected	Projected	Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	19,916	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	850	850	850	850
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	8,906	0	0	0	0
Economic Development, Department of	28,815	13,399	10,349	10,349	10,349
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234
Insurance Department	442,822	235,861	224,716	224,716	224,716
Empire State Development Corporation	178,041	38,650	44,236	44,236	34,236
Olympic Regional Development Authority	2,525	0	0	0	0
Public Service, Department of	0	225	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,154	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	723,882	342,412	333,841	336,135	323,072
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	180,449	314,880	327,526	127,526	127,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	29,405	23,849	21,020	21,020	21,020
Functional Total	209,854	348,729	348,546	148,546	148,546
TRANSPORTATION					
Motor Vehicles, Department of	13,486	14,000	13,200	13,200	13,200
Thruway Authority	1,403	0	0	0	0
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	4,268,202	4,868,098	4,978,567	5,090,805	5,154,895
Functional Total	4,467,772	5,099,198	5,186,267	5,287,605	5,351,695
HEALTH					
Aging, Office for the	219,608	216,091	208,692	208,692	208,692
Health, Department of	41,303,245	43,462,233	47,472,966	49,673,535	54,320,090
<i>Medical Assistance</i>	37,003,925	39,100,117	42,982,390	45,002,948	49,534,992
<i>Medicaid Administration</i>	939,296	1,098,413	1,147,500	1,193,500	1,241,300
<i>Public Health</i>	3,360,024	3,263,703	3,343,076	3,477,087	3,543,798
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	(4,000)	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	41,522,853	43,674,324	47,681,658	49,882,227	54,528,782
SOCIAL WELFARE					
Children and Family Services, Office of	2,765,817	2,771,361	2,860,050	3,034,396	3,260,826
OCFS	2,716,339	2,664,660	2,728,688	2,899,209	3,121,801
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	0	0	0	0	0
Labor, Department of	273,863	249,704	207,434	192,894	183,347
Housing and Community Renewal, Division of	319,046	378,437	322,978	188,013	203,407
National Commission Services	0	346	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	682	685	685	685
Temporary and Disability Assistance, Office of	4,872,158	4,817,052	4,848,651	4,824,384	4,866,488
<i>Welfare Assistance</i>	3,857,439	3,829,675	3,882,371	3,855,288	3,895,942
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	963,456	987,377	966,280	969,096	970,546
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	8,231,540	8,217,582	8,240,148	8,240,722	8,515,103
MENTAL HYGIENE					
Mental Health, Office of	1,156,406	1,267,773	1,394,212	1,545,454	1,638,337
<i>OMH</i>	731,742	855,414	973,470	1,096,342	1,170,662
<i>OMH - Medicaid</i>	424,664	412,359	420,742	449,112	467,675
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	2,140,302	2,223,960	2,350,727	2,492,478	2,687,250
<i>OPWDD</i>	462,213	507,708	518,934	540,487	572,577
<i>OPWDD - Medicaid</i>	1,678,089	1,716,252	1,831,793	1,951,991	2,114,673
Alcoholism and Substance Abuse Services, Office of	436,040	459,737	525,128	617,124	623,880
<i>OASAS</i>	402,260	424,555	487,831	578,934	585,684
<i>OASAS - Medicaid</i>	33,780	35,182	37,297	38,190	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	857	862	669	669	669
Functional Total	3,733,605	3,952,332	4,270,736	4,655,725	4,950,136
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,399	300	243	243	243
Criminal Justice Services, Division of	156,441	233,193	223,072	215,472	209,014
Office of Victim Services	59,852	57,943	55,668	55,668	55,668
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	265,657	295,522	321,508	351,508	326,508
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	72,691	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	143,148	93,616	69,466	64,466	63,466
Parole, Division of	16,324	11,277	6,000	6,000	6,000
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	715,075	764,542	752,957	770,357	739,357

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,539,018	1,217,291	1,285,055	1,380,179	1,470,122
Higher Education Services Corporation	907,813	869,287	922,663	985,542	985,542
Higher Education Capital Grants	37,649	20,000	38,000	20,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	489,214	566,514	645,088	643,140	643,140
Functional Total	2,973,694	2,673,092	2,890,806	3,028,861	3,098,804
EDUCATION					
Arts, Council on the	38,037	39,890	35,668	35,668	35,668
Education, Department of	27,328,609	32,588,957	32,988,283	34,688,886	37,328,687
<i>School Aid</i>	21,484,784	26,209,910	26,554,814	28,217,163	30,529,973
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	1,680,004	2,303,888	2,282,245	2,133,136	2,265,616
<i>All Other</i>	686,522	679,473	733,604	754,420	760,623
Functional Total	27,366,646	32,628,847	33,023,951	34,724,554	37,364,355
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	2,345	2,017	2,700	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	648	574	574	574
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	115,566	142,322	76,633	72,196	72,196
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,062	12,361	12,461	12,461
Technology, Office for	1,083	2,180	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,480	9,076	9,076	9,076
Functional Total	138,717	168,709	101,344	124,307	94,307

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	118,892	124,865	177,100	177,100	179,100
Audit and Control, Department of	102,115	31,672	32,024	32,024	32,024
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>221,007</u>	<u>156,537</u>	<u>209,124</u>	<u>209,124</u>	<u>211,124</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(314,934)	104,439	66,625	(27,825)	(73,003)
Functional Total	<u>(314,934)</u>	<u>104,439</u>	<u>66,625</u>	<u>(27,825)</u>	<u>(73,003)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>91,069,990</u>	<u>98,910,840</u>	<u>104,175,703</u>	<u>108,449,980</u>	<u>116,314,555</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	73,619	69,601	67,396	67,811	68,793
Alcoholic Beverage Control	12,875	13,262	14,856	14,646	15,099
Banking Department	65,082	66,289	68,533	69,452	70,361
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,295	2,644	2,708	2,593	2,617
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,584	22,953	22,793	23,427	23,983
Energy Research and Development Authority	5,481	4,871	5,180	5,286	5,396
Insurance Department	169,067	177,594	185,569	187,983	190,442
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,441	4,815	5,025	5,025	5,152
Public Service, Department of	55,329	54,133	56,346	57,394	58,429
Racing and Wagering Board, State	17,369	18,288	19,353	16,657	16,918
Science, Technology and Innovation, Foundation for	2,879	2,640	2,886	2,987	3,047
Strategic Investment	0	0	0	0	0
Functional Total	439,021	437,090	450,645	453,261	460,237
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,292	5,118	4,667	4,669	4,669
Environmental Conservation, Department of	321,847	297,327	270,904	267,588	267,588
Environmental Facilities Corporation	7,859	6,918	7,057	7,198	7,198
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	190,807	174,979	169,420	171,086	171,721
Functional Total	525,805	484,342	452,048	450,541	451,176
TRANSPORTATION					
Motor Vehicles, Department of	75,637	75,335	71,691	71,724	71,738
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	43,257	47,517	43,630	44,191	44,793
Functional Total	118,894	122,852	115,321	115,915	116,531
HEALTH					
Aging, Office for the	10,358	10,592	10,341	10,394	10,437
Health, Department of	791,039	820,864	804,636	816,978	833,415
<i>Medical Assistance</i>	21,284	46,355	46,355	46,355	46,355
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	769,755	774,509	758,281	770,623	787,060
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	56,567	68,271	73,550	74,290	75,070
Stem Cell and Innovation	17,248	44,700	50,000	61,373	63,673
Functional Total	875,212	944,427	938,527	963,035	982,595
SOCIAL WELFARE					
Children and Family Services, Office of	384,285	434,442	434,451	443,969	440,432
OCFS	384,285	434,442	434,451	443,969	440,432
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	19,650	17,154	16,460	16,684	16,815
Labor, Department of	360,817	425,733	394,239	388,517	377,076
Housing and Community Renewal, Division of	76,254	68,799	62,609	57,914	58,450
National Commission Services	16,862	20,386	14,277	14,279	14,365

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,511	1,265	1,277	1,298	1,298
Temporary and Disability Assistance, Office of	334,813	339,423	341,046	347,502	351,208
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	334,813	339,423	341,046	347,502	351,208
Welfare Inspector General, Office of	635	1,225	1,242	1,251	1,271
Workers' Compensation Board	141,313	166,816	160,909	162,321	164,908
Functional Total	1,336,140	1,475,243	1,426,510	1,433,735	1,425,823
MENTAL HYGIENE					
Mental Health, Office of	1,412,720	1,516,977	1,529,238	1,553,343	1,578,207
<i>OMH</i>	456,454	489,924	443,100	449,502	455,799
<i>OMH - Medicaid</i>	956,266	1,027,053	1,086,138	1,103,841	1,122,408
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	1,556,217	1,647,075	1,655,431	1,678,670	1,701,702
<i>OPWDD</i>	29,495	33,582	33,632	33,782	34,658
<i>OPWDD - Medicaid</i>	1,526,722	1,613,493	1,621,799	1,644,888	1,667,044
Alcoholism and Substance Abuse Services, Office of	87,534	89,183	90,443	90,860	92,066
<i>OASAS</i>	42,866	37,415	38,073	37,829	38,364
<i>OASAS - Medicaid</i>	44,668	51,768	52,370	53,031	53,702
Developmental Disabilities Planning Council	3,148	3,682	3,589	3,498	3,439
Quality of Care and Advocacy for Persons with Disabilities, Commission on	13,099	13,447	13,713	13,833	14,105
Functional Total	3,072,893	3,270,364	3,292,414	3,340,204	3,389,519
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,617	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,641,091	2,443,086	2,493,748	2,548,206	2,613,571
Criminal Justice Services, Division of	85,178	108,877	101,614	94,096	95,976
Office of Victim Services	6,368	7,884	7,680	7,810	7,809
Statewide Financial System	0	30,643	40,072	49,656	49,756
Homeland Security and Emergency Services	29,481	78,383	69,490	50,149	39,902
Homeland Security	0	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	875	2,257	2,301	2,346
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	88,139	74,496	74,878	74,205	74,523
Parole, Division of	172,059	168,121	168,844	171,540	176,735
Probation and Correctional Alternatives, Division of	2,576	0	0	0	7
State Emergency Management Office	0	0	0	0	0
State Police, Division of	741,303	683,648	688,115	693,454	698,363
Wireless Network	5,412	3,086	1,286	1,286	286
Functional Total	3,779,785	3,641,390	3,690,976	3,736,236	3,803,341

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	102,796	126,066	128,482	130,947	133,457
Higher Education Services Corporation	99,127	85,437	90,255	90,628	95,171
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	270	220	220	220	220
State University Construction Fund	14,504	18,239	18,579	18,684	18,848
State University of New York	<u>5,224,812</u>	<u>5,204,245</u>	<u>5,291,191</u>	<u>5,118,804</u>	<u>5,200,410</u>
Functional Total	<u>5,441,509</u>	<u>5,434,207</u>	<u>5,528,727</u>	<u>5,359,283</u>	<u>5,448,106</u>
EDUCATION					
Arts, Council on the	5,399	4,878	4,798	4,835	4,895
Education, Department of	<u>319,742</u>	<u>352,344</u>	<u>372,236</u>	<u>367,381</u>	<u>354,720</u>
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	<u>319,742</u>	<u>352,344</u>	<u>372,236</u>	<u>367,381</u>	<u>354,720</u>
Functional Total	<u>325,141</u>	<u>357,222</u>	<u>377,034</u>	<u>372,216</u>	<u>359,615</u>
GENERAL GOVERNMENT					
Budget, Division of the	38,930	37,227	38,557	39,387	40,049
Civil Service, Department of	21,245	17,157	17,302	17,539	17,806
Deferred Compensation	521	641	598	605	615
Elections, State Board of	48,054	99,598	53,877	6,154	6,099
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Financial Plan Control Board	2,039	2,385	2,521	2,583	2,643
General Services, Office of	143,035	131,539	138,177	140,225	143,313
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Lottery, Division of	176,513	163,839	166,877	165,777	169,217
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	27,977	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	50,722	48,519	48,327	49,054	49,805
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	399,332	426,736	407,794	407,876	413,287
Technology, Office for	22,466	23,918	35,248	33,294	33,757
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	<u>7,475</u>	<u>7,110</u>	<u>7,313</u>	<u>7,242</u>	<u>7,330</u>
Functional Total	<u>995,102</u>	<u>1,026,034</u>	<u>989,258</u>	<u>949,390</u>	<u>932,734</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Judiciary	1,878,272	1,908,400	2,048,156	2,114,334	2,196,057
Audit and Control, Department of	139,387	145,651	151,805	159,787	162,309
Law, Department of	200,395	188,564	197,697	200,080	204,002
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>2,461,199</u>	<u>2,477,399</u>	<u>2,638,002</u>	<u>2,719,326</u>	<u>2,812,329</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	4,009	(269,806)	75,862	(93,124)	(43,081)
Functional Total	<u>59,492</u>	<u>(178,109)</u>	<u>167,731</u>	<u>(1,255)</u>	<u>48,788</u>
TOTAL STATE OPERATIONS SPENDING	<u>19,430,193</u>	<u>19,492,461</u>	<u>20,067,193</u>	<u>19,891,887</u>	<u>20,230,794</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	36,185	34,108	31,919	32,528	33,202
Alcoholic Beverage Control	8,838	8,588	8,649	8,723	8,841
Banking Department	49,855	48,950	50,342	50,845	51,354
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,062	2,031	2,058	2,128	2,153
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,979	11,752	10,436	11,070	11,215
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	106,061	105,963	108,870	109,958	111,058
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,485	2,485	2,485	2,522
Public Service, Department of	44,332	43,308	45,151	45,946	46,713
Racing and Wagering Board, State	12,538	11,315	10,895	11,022	11,151
Science, Technology and Innovation, Foundation for	2,254	1,624	1,826	1,927	1,950
Strategic Investment	0	0	0	0	0
Functional Total	282,162	273,423	275,996	280,064	283,660
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,385	3,934	3,936	3,936
Environmental Conservation, Department of	220,767	217,164	194,316	194,981	194,981
Environmental Facilities Corporation	6,798	5,705	5,841	5,979	5,979
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	143,678	135,821	116,079	116,953	117,588
Functional Total	375,972	363,075	320,170	321,849	322,484
TRANSPORTATION					
Motor Vehicles, Department of	54,016	54,361	49,483	49,497	49,511
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	16,265	15,412	13,892	14,037	14,181
Functional Total	70,281	69,773	63,375	63,534	63,692
HEALTH					
Aging, Office for the	8,791	8,932	8,923	8,956	8,989
Health, Department of	364,585	355,713	361,710	368,212	374,394
<i>Medical Assistance</i>	0	500	500	500	500
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	364,585	355,213	361,210	367,712	373,894
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	40,140	47,718	49,580	50,020	50,470
Stem Cell and Innovation	640	0	0	0	0
Functional Total	414,156	412,363	420,213	427,188	433,853
SOCIAL WELFARE					
Children and Family Services, Office of	229,085	234,781	230,144	234,095	227,260
OCFS	229,085	234,781	230,144	234,095	227,260
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	14,873	13,836	13,206	13,334	13,432
Labor, Department of	235,698	273,259	257,691	253,356	245,255
Housing and Community Renewal, Division of	58,315	51,012	45,150	40,870	41,197
National Commission Services	690	589	590	592	678

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,291	1,109	1,139	1,152	1,152
Temporary and Disability Assistance, Office of	165,774	169,599	166,776	168,146	169,556
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	165,774	169,599	166,776	168,146	169,556
Welfare Inspector General, Office of	506	760	766	766	786
Workers' Compensation Board	90,768	91,891	93,000	93,643	94,481
Functional Total	797,000	836,836	808,462	805,954	793,797
MENTAL HYGIENE					
Mental Health, Office of	1,101,056	1,169,488	1,177,181	1,192,679	1,207,868
<i>OMH</i>	383,287	385,449	342,021	346,792	350,564
<i>OMH - Medicaid</i>	717,769	784,039	835,160	845,887	857,304
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,135,967	1,204,754	1,199,725	1,211,078	1,221,645
<i>OPWDD</i>	81	116	116	116	116
<i>OPWDD - Medicaid</i>	1,135,886	1,204,638	1,199,609	1,210,962	1,221,529
Alcoholism and Substance Abuse Services, Office of	64,591	68,053	68,827	68,676	69,386
<i>OASAS</i>	31,031	25,288	25,856	25,441	25,720
<i>OASAS - Medicaid</i>	33,560	42,765	42,971	43,235	43,666
Developmental Disabilities Planning Council	1,224	1,197	1,197	1,197	1,209
Quality of Care and Advocacy for Persons with Disabilities, Commission on	7,395	7,250	7,295	7,338	7,420
Functional Total	2,310,233	2,450,742	2,454,225	2,480,968	2,507,528
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,156	2,299	2,326	2,360	2,376
Correctional Services, Department of	2,130,894	1,927,068	1,964,868	1,986,841	2,010,106
Criminal Justice Services, Division of	44,265	51,655	50,223	48,929	47,741
Office of Victim Services	5,245	5,209	4,978	5,079	5,052
Statewide Financial System	0	9,484	9,435	9,435	9,435
Homeland Security and Emergency Services	13,796	8,540	10,832	10,728	10,481
Homeland Security	0	30,909	31,287	31,600	31,916
Office of Indigent Legal Services	0	250	1,515	1,530	1,545
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	3,984	4,134	4,174	4,217
Military and Naval Affairs, Division of	50,490	32,406	33,135	33,328	32,318
Parole, Division of	139,375	134,225	133,600	135,296	139,403
Probation and Correctional Alternatives, Division of	2,311	0	0	0	7
State Emergency Management Office	0	0	0	0	0
State Police, Division of	651,675	591,339	594,603	598,053	601,503
Wireless Network	2,820	1,000	200	200	200
Functional Total	3,047,401	2,798,368	2,841,136	2,867,553	2,896,300

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,495	35,574	32,519	31,830	32,145
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,544	15,583	15,622
State University of New York	<u>3,252,188</u>	<u>3,157,398</u>	<u>3,242,157</u>	<u>3,148,699</u>	<u>3,174,708</u>
Functional Total	<u>3,374,891</u>	<u>3,296,113</u>	<u>3,379,617</u>	<u>3,287,071</u>	<u>3,315,022</u>
EDUCATION					
Arts, Council on the	3,741	3,138	2,840	2,864	2,889
Education, Department of	<u>185,914</u>	<u>177,047</u>	<u>173,036</u>	<u>174,863</u>	<u>176,908</u>
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	<u>185,914</u>	<u>177,047</u>	<u>173,036</u>	<u>174,863</u>	<u>176,908</u>
Functional Total	<u>189,655</u>	<u>180,185</u>	<u>175,876</u>	<u>177,727</u>	<u>179,797</u>
GENERAL GOVERNMENT					
Budget, Division of the	28,860	25,700	26,000	26,780	27,583
Civil Service, Department of	19,595	15,382	15,486	15,678	15,897
Deferred Compensation	388	385	390	392	396
Elections, State Board of	4,472	4,474	4,308	4,490	4,353
Employee Relations, Office of	3,071	3,019	3,035	3,064	3,099
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	62,775	55,348	55,879	56,901	57,614
Inspector General, Office of	5,767	5,500	5,644	5,705	5,766
Labor Management Committee	8,055	7,929	7,820	7,908	7,908
Lottery, Division of	21,798	19,642	19,211	19,211	19,454
Public Employment Relations Board	3,362	3,459	3,397	3,431	3,465
Public Integrity, Commission on	3,357	3,094	3,417	3,561	3,603
Real Property Services, Office of	23,407	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,450	1,361	1,375	1,388
State, Department of	36,549	31,883	30,222	30,551	30,878
Tax Appeals, Division of	2,960	2,585	2,420	2,420	2,447
Taxation and Finance, Department of	306,466	331,441	313,928	314,007	316,976
Technology, Office for	10,571	12,377	12,451	12,571	12,669
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	<u>6,790</u>	<u>6,373</u>	<u>6,525</u>	<u>6,579</u>	<u>6,649</u>
Functional Total	<u>551,848</u>	<u>531,607</u>	<u>513,151</u>	<u>516,314</u>	<u>521,869</u>

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,538,771	1,536,806	1,650,756	1,693,762	1,749,974
Audit and Control, Department of	114,716	116,084	118,239	125,329	126,722
Law, Department of	143,311	130,431	137,309	138,433	139,944
Executive Chamber	13,451	12,400	12,897	13,074	13,232
Lieutenant Governor, Office of the	0	424	890	917	945
Functional Total	<u>1,988,123</u>	<u>1,961,192</u>	<u>2,088,439</u>	<u>2,143,230</u>	<u>2,205,966</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	1,083	(138,639)	217,217	(1,773)	(1,762)
Functional Total	<u>3,258</u>	<u>(138,639)</u>	<u>217,217</u>	<u>(1,773)</u>	<u>(1,762)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>13,404,980</u></u>	<u><u>13,035,038</u></u>	<u><u>13,557,877</u></u>	<u><u>13,369,679</u></u>	<u><u>13,522,206</u></u>

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(Thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	37,434	35,493	35,477	35,283	35,591
Alcoholic Beverage Control	4,037	4,674	6,207	5,923	6,258
Banking Department	15,227	17,339	18,191	18,607	19,007
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	233	613	650	465	464
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,605	11,201	12,357	12,357	12,768
Energy Research and Development Authority	1,513	1,572	1,815	1,854	1,895
Insurance Department	63,006	71,631	76,699	78,025	79,384
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,351	2,330	2,540	2,540	2,630
Public Service, Department of	10,997	10,825	11,195	11,448	11,716
Racing and Wagering Board, State	4,831	6,973	8,458	5,635	5,767
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	156,859	163,667	174,649	173,197	176,577
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	563	733	733	733	733
Environmental Conservation, Department of	101,080	80,163	76,588	72,607	72,607
Environmental Facilities Corporation	1,061	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	47,129	39,158	53,341	54,133	54,133
Functional Total	149,833	121,267	131,878	128,692	128,692
TRANSPORTATION					
Motor Vehicles, Department of	21,621	20,974	22,208	22,227	22,227
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	26,992	32,105	29,738	30,154	30,612
Functional Total	48,613	53,079	51,946	52,381	52,839
HEALTH					
Aging, Office for the	1,567	1,660	1,418	1,438	1,448
Health, Department of	426,454	465,151	442,926	448,766	459,021
<i>Medical Assistance</i>	21,284	45,855	45,855	45,855	45,855
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	405,170	419,296	397,071	402,911	413,166
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	16,427	20,553	23,970	24,270	24,600
Stem Cell and Innovation	16,608	44,700	50,000	61,373	63,673
Functional Total	461,056	532,064	518,314	535,847	548,742
SOCIAL WELFARE					
Children and Family Services, Office of	155,200	199,661	204,307	209,874	213,172
OCFS	155,200	199,661	204,307	209,874	213,172
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	4,777	3,318	3,254	3,350	3,383
Labor, Department of	125,119	152,474	136,548	135,161	131,821
Housing and Community Renewal, Division of	17,939	17,787	17,459	17,044	17,253
National Commission Services	16,172	19,797	13,687	13,687	13,687

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	156	138	146	146
Temporary and Disability Assistance, Office of	169,039	169,824	174,270	179,356	181,652
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	169,039	169,824	174,270	179,356	181,652
Welfare Inspector General, Office of	129	465	476	485	485
Workers' Compensation Board	50,545	74,925	67,909	68,678	70,427
Functional Total	539,140	638,407	618,048	627,781	632,026
MENTAL HYGIENE					
Mental Health, Office of	311,664	347,489	352,057	360,664	370,339
<i>OMH</i>	73,167	104,475	101,079	102,710	105,235
<i>OMH - Medicaid</i>	238,497	243,014	250,978	257,954	265,104
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	420,250	442,321	455,706	467,592	480,057
<i>OPWDD</i>	29,414	33,466	33,516	33,666	34,542
<i>OPWDD - Medicaid</i>	390,836	408,855	422,190	433,926	445,515
Alcoholism and Substance Abuse Services, Office of	22,943	21,130	21,616	22,184	22,680
<i>OASAS</i>	11,835	12,127	12,217	12,388	12,644
<i>OASAS - Medicaid</i>	11,108	9,003	9,399	9,796	10,036
Developmental Disabilities Planning Council	1,924	2,485	2,392	2,301	2,230
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,704	6,197	6,418	6,495	6,685
Functional Total	762,660	819,622	838,189	859,236	881,991
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	461	402	414	432	448
Correctional Services, Department of	510,197	516,018	528,880	561,365	603,465
Criminal Justice Services, Division of	40,913	57,222	51,391	45,167	48,235
Office of Victim Services	1,123	2,675	2,702	2,731	2,757
Statewide Financial System	0	21,159	30,637	40,221	40,321
Homeland Security and Emergency Services	15,685	69,843	58,658	39,421	29,421
Homeland Security	0	3,389	3,423	3,525	3,631
Office of Indigent Legal Services	0	625	742	771	801
Investigation, Temporary State Commission of	9	0	0	0	0
Judicial Commissions	1,157	1,308	1,408	1,442	1,479
Military and Naval Affairs, Division of	37,649	42,090	41,743	40,877	42,205
Parole, Division of	32,684	33,896	35,244	36,244	37,332
Probation and Correctional Alternatives, Division of	265	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	89,628	92,309	93,512	95,401	96,860
Wireless Network	2,592	2,086	1,086	1,086	86
Functional Total	732,384	843,022	849,840	868,683	907,041

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	62,632	49,863	57,736	58,798	63,026
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,035	3,101	3,226
State University of New York	1,972,624	2,046,847	2,049,034	1,970,105	2,025,626
Functional Total	2,066,618	2,138,094	2,149,110	2,072,212	2,133,008
EDUCATION					
Arts, Council on the	1,658	1,740	1,958	1,971	2,006
Education, Department of	133,828	175,297	199,200	192,518	177,812
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	133,828	175,297	199,200	192,518	177,812
Functional Total	135,486	177,037	201,158	194,489	179,818
GENERAL GOVERNMENT					
Budget, Division of the	10,070	11,527	12,557	12,607	12,466
Civil Service, Department of	1,650	1,775	1,816	1,861	1,909
Deferred Compensation	133	256	208	213	219
Elections, State Board of	43,582	95,124	49,569	1,664	1,746
Employee Relations, Office of	133	183	188	193	198
Financial Plan Control Board	682	819	864	893	919
General Services, Office of	80,260	76,191	82,298	83,324	85,699
Inspector General, Office of	312	524	543	567	593
Labor Management Committee	25,554	37,371	42,480	49,010	17,857
Lottery, Division of	154,715	144,197	147,666	146,566	149,763
Public Employment Relations Board	423	749	767	785	803
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	4,570	0	0	0	0
Regulatory Reform, Governor's Office of	201	175	182	186	191
State, Department of	14,173	16,636	18,105	18,503	18,927
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	92,866	95,295	93,866	93,869	96,311
Technology, Office for	11,895	11,541	22,797	20,723	21,088
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	685	737	788	663	681
Functional Total	443,254	494,427	476,107	433,076	410,865

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	48,215	53,748	54,848	55,970	57,114
Judiciary	339,501	371,594	397,400	420,572	446,083
Audit and Control, Department of	24,671	29,567	33,566	34,458	35,587
Law, Department of	57,084	58,133	60,388	61,647	64,058
Executive Chamber	3,605	3,073	3,200	3,300	3,400
Lieutenant Governor, Office of the	0	92	161	149	121
Functional Total	<u>473,076</u>	<u>516,207</u>	<u>549,563</u>	<u>576,096</u>	<u>606,363</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,926	(131,167)	(141,355)	(91,351)	(41,319)
Functional Total	<u>56,234</u>	<u>(39,470)</u>	<u>(49,486)</u>	<u>518</u>	<u>50,550</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u><u>6,025,213</u></u>	<u><u>6,457,423</u></u>	<u><u>6,509,316</u></u>	<u><u>6,522,208</u></u>	<u><u>6,708,512</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,403	5,985	5,974	6,790	7,418
Alcoholic Beverage Control	4,137	4,512	4,802	5,530	5,894
Banking Department	21,049	23,706	24,592	27,375	29,606
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	40	40	40
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	51,245	53,183	59,201	64,025
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,984	20,908	21,976	24,723	26,901
Racing and Wagering Board, State	5,206	5,445	5,285	5,968	6,487
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	105,589	113,452	117,463	131,293	142,157
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	46,340	52,475	49,224	49,444	48,444
Environmental Facilities Corporation	1,874	1,806	1,849	1,892	1,892
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,020	4,364	4,330	4,331	4,438
Functional Total	51,234	58,645	55,403	55,667	54,774
TRANSPORTATION					
Motor Vehicles, Department of	23,002	26,307	24,002	26,142	27,520
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	5,308	7,518	6,568	7,538	8,170
Functional Total	28,310	33,825	30,570	33,680	35,690
HEALTH					
Aging, Office for the	0	180	252	252	252
Health, Department of	54,425	65,653	67,766	73,871	76,693
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	54,425	65,653	67,766	73,871	76,693
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	8,301	9,499	13,884	15,724	16,654
Stem Cell and Innovation	428	0	0	0	0
Functional Total	63,154	75,332	81,902	89,847	93,599
SOCIAL WELFARE					
Children and Family Services, Office of	11,241	12,424	12,579	12,579	11,691
OCFS	11,241	12,424	12,579	12,579	11,691
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	650	2,185	2,530	2,912	3,066
Labor, Department of	94,041	121,773	136,772	152,057	155,934
Housing and Community Renewal, Division of	18,313	19,254	17,670	17,468	18,492
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	38,632	45,719	52,984	61,498	64,829
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	38,632	45,719	52,984	61,498	64,829
Welfare Inspector General, Office of	92	207	214	221	221
Workers' Compensation Board	48,822	41,196	45,328	49,249	53,568
Functional Total	211,791	242,758	268,077	295,984	307,801
MENTAL HYGIENE					
Mental Health, Office of	483,721	551,163	580,191	630,273	688,361
<i>OMH</i>	167,136	186,322	171,625	183,672	200,434
<i>OMH - Medicaid</i>	316,585	364,841	408,566	446,601	487,927
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	670,795	562,213	585,421	635,558	691,228
<i>OPWDD</i>	57	51	62	70	74
<i>OPWDD - Medicaid</i>	670,738	562,162	585,359	635,488	691,154
Alcoholism and Substance Abuse Services, Office of	25,193	28,710	32,089	34,845	37,947
<i>OASAS</i>	10,246	11,228	11,162	12,128	13,232
<i>OASAS - Medicaid</i>	14,947	17,482	20,927	22,717	24,715
Developmental Disabilities Planning Council	249	518	611	702	761
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,552	1,846	1,923	2,205	2,385
Functional Total	1,181,510	1,144,450	1,200,235	1,303,583	1,420,682
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	11	0	0	0	0
Correctional Services, Department of	2,858	3,019	1,415	1,415	1,415
Criminal Justice Services, Division of	148	1,715	1,720	1,733	1,737
Office of Victim Services	1,122	1,545	1,562	1,832	1,970
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	1,451	1,745	1,892	1,821	1,667
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	125	740	824	891
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	8,236	10,376	10,641	11,472	11,411
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	22	0	0	0	3
State Emergency Management Office	0	0	0	0	0
State Police, Division of	20,963	4,598	4,746	5,069	5,069
Wireless Network	1,260	500	500	500	500
Functional Total	36,071	23,623	23,216	24,666	24,663

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,295	15,678	13,516	16,521	17,885
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,593	8,390	9,006
State University of New York	464,230	530,030	497,379	499,936	503,745
Functional Total	487,960	559,416	524,898	531,401	537,338
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	66,951	71,483	71,198	85,886	89,949
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	66,951	71,483	71,198	85,886	89,949
Functional Total	66,951	71,483	71,198	85,886	89,949
GENERAL GOVERNMENT					
Budget, Division of the	1,845	1,985	2,194	2,438	2,706
Civil Service, Department of	139	249	259	281	307
Deferred Compensation	152	185	176	191	208
Elections, State Board of	6	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	809	911	995
General Services, Office of	1,663	2,155	2,144	2,136	2,239
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	9,569	9,913	11,503	12,327
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	10,352	10,009	9,892	11,232	12,135
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,815	19,657	22,431	23,786
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	307	410	470	542	576
Functional Total	46,305	44,182	45,514	51,665	55,279

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	514,787	557,398	601,648	653,642	713,790
Audit and Control, Department of	1,200	1,585	1,591	1,633	1,633
Law, Department of	19,757	17,702	18,989	21,130	21,130
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>535,744</u>	<u>576,685</u>	<u>622,228</u>	<u>676,405</u>	<u>736,553</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	3,232	4,953	4,985	5,034	5,047
Functional Total	<u>2,918,983</u>	<u>3,376,631</u>	<u>3,796,350</u>	<u>4,151,146</u>	<u>4,537,635</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,733,602</u></u>	<u><u>6,320,482</u></u>	<u><u>6,837,054</u></u>	<u><u>7,431,223</u></u>	<u><u>8,036,120</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,586	5,320	17,800	29,086	6,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	9,400	6,500	2,500	2,500	2,500
Economic Development, Department of	18,470	55,809	31,745	32,616	46,745
Energy Research and Development Authority	13,500	19,247	15,310	14,000	14,790
Insurance Department	0	0	0	0	0
Empire State Development Corporation	428,527	973,945	716,435	247,065	348,760
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	4,230	2,585	300	0
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	482,310	1,075,051	790,375	330,567	424,045
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	315,365	373,989	343,961	342,661	314,361
Environmental Facilities Corporation	292	343	343	343	343
Hudson River Park Trust	11,977	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	82,253	35,019	24,019	24,019	24,019
Functional Total	409,887	409,351	368,323	367,023	338,723
TRANSPORTATION					
Motor Vehicles, Department of	208,105	210,799	201,847	208,171	213,107
Thruway Authority	0	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,059,817	3,247,906	3,127,666	2,859,195	2,791,857
Functional Total	3,267,922	3,460,505	3,331,313	3,069,166	3,006,764
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	7,840	358,285	667,533	246,615	168,271
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	7,840	358,285	667,533	246,615	168,271
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	7,840	358,285	667,533	246,615	168,271
SOCIAL WELFARE					
Children and Family Services, Office of	27,677	23,000	20,900	20,900	20,900
OCFS	27,677	23,000	20,900	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Housing and Community Renewal, Division of	3,390	1,000	0	0	0
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	30,390	45,000	45,000	40,000	30,000
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	30,390	45,000	45,000	40,000	30,000
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	61,457	69,000	65,900	60,900	50,900
MENTAL HYGIENE					
Mental Health, Office of	68,639	79,843	77,570	77,570	77,570
<i>OMH</i>	68,639	79,843	77,570	77,570	77,570
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	30,267	35,676	43,590	45,220	39,220
<i>OPWDD</i>	30,267	35,676	43,590	45,220	39,220
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	1,323	6,422	8,968	9,839	13,196
<i>OASAS</i>	1,323	6,422	8,968	9,839	13,196
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	0	0	0	0	0
Functional Total	100,229	121,941	130,128	132,629	129,986
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	263,964	255,768	283,786	292,801	299,211
Criminal Justice Services, Division of	0	0	0	0	0
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	8,000	11,000	8,000	6,000
Homeland Security	800	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	37,099	40,487	39,790	39,679	39,634
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	14,074	29,739	35,695	16,625	16,457
Wireless Network	0	0	0	0	0
Functional Total	315,937	333,994	370,271	357,105	361,302

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	(329)	8,000	10,000	9,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	820,720	1,109,768	1,083,997	1,035,050	1,020,802
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	10,258	10,939	28,489	37,438	29,718
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	10,258	10,939	28,489	37,438	29,718
Functional Total	10,258	10,939	28,489	37,438	29,718
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	(291)	2,735	2,735	2,735	2,735
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	1,500	43,843	50,000	9,060
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	52,753	65,920	107,425	122,848	81,604

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>8,089</u>	<u>18,000</u>	<u>16,700</u>	<u>17,900</u>	<u>0</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	144,821	169,285	237,000	160,000	100,000
Functional Total	<u>144,821</u>	<u>169,285</u>	<u>237,000</u>	<u>160,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,682,223</u></u>	<u><u>7,202,039</u></u>	<u><u>7,197,454</u></u>	<u><u>5,937,241</u></u>	<u><u>5,712,115</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	98,260	87,874	98,703	113,350	92,108
Alcoholic Beverage Control	17,012	17,774	19,658	20,176	20,993
Banking Department	87,166	90,845	93,975	97,677	100,817
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,295	2,684	2,748	2,633	2,657
Economic Development Capital Programs	18,306	6,500	2,500	2,500	2,500
Economic Development, Department of	76,889	91,844	64,570	66,075	80,760
Energy Research and Development Authority	29,380	34,858	31,307	30,158	31,178
Insurance Department	657,937	464,700	463,468	471,900	479,183
Empire State Development Corporation	606,568	1,012,595	760,671	291,301	382,996
Olympic Regional Development Authority	7,966	4,815	5,025	5,025	5,152
Public Service, Department of	75,638	72,544	75,663	79,445	82,806
Racing and Wagering Board, State	22,575	23,733	24,638	22,625	23,405
Science, Technology and Innovation, Foundation for	29,083	31,024	29,111	26,827	23,387
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	<u>1,737,938</u>	<u>1,951,990</u>	<u>1,676,199</u>	<u>1,234,854</u>	<u>1,333,104</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	4,768	4,317	4,319	4,319
Environmental Conservation, Department of	697,729	695,810	632,189	627,793	598,493
Environmental Facilities Corporation	10,025	9,067	9,249	9,433	9,433
Hudson River Park Trust	11,977	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	298,613	231,501	212,116	213,783	214,525
Functional Total	<u>1,023,497</u>	<u>951,146</u>	<u>857,871</u>	<u>855,328</u>	<u>826,770</u>
TRANSPORTATION					
Motor Vehicles, Department of	302,538	307,981	293,871	302,282	308,538
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	5,635,579	6,151,534	6,261,287	6,337,614	6,363,927
Functional Total	<u>6,124,201</u>	<u>6,678,415</u>	<u>6,751,458</u>	<u>6,825,296</u>	<u>6,857,865</u>
HEALTH					
Aging, Office for the	116,764	117,741	114,517	114,570	114,613
Health, Department of	14,054,817	14,728,768	20,888,697	22,540,443	23,844,829
<i>Medical Assistance</i>	10,964,893	11,405,256	17,075,857	19,002,113	20,306,913
<i>Medicaid Administration</i>	514,488	547,163	573,750	596,750	620,650
<i>Public Health</i>	2,575,436	2,776,349	3,239,090	2,941,580	2,917,266
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	27,575	32,495	34,576	35,316	36,096
Stem Cell and Innovation	17,676	44,700	50,000	61,373	63,673
Functional Total	<u>14,216,832</u>	<u>14,923,704</u>	<u>21,087,790</u>	<u>22,751,702</u>	<u>24,059,211</u>
SOCIAL WELFARE					
Children and Family Services, Office of	2,034,482	1,999,958	2,196,084	2,409,094	2,634,000
OCFS	1,985,004	1,893,257	2,064,722	2,273,907	2,494,975
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Labor, Department of	76,086	69,010	67,330	64,942	66,819
Housing and Community Renewal, Division of	250,280	210,421	181,973	165,257	181,807
National Commission Services	359	622	627	629	711
Prevention of Domestic Violence, Office for	2,127	1,947	1,962	1,983	1,983

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of	1,391,605	1,258,873	1,637,325	1,766,259	1,809,377
<i>Welfare Assistance</i>	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	203,883	254,844	260,323	261,793	263,685
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	186,742	204,480	202,705	208,038	214,852
Functional Total	3,952,725	3,756,410	4,298,457	4,626,834	4,920,326
MENTAL HYGIENE					
Mental Health, Office of	1,943,330	2,121,101	2,567,554	2,796,026	2,939,231
<i>OMH</i>	1,377,434	1,560,376	1,614,650	1,755,126	1,852,470
<i>OMH - Medicaid</i>	565,896	560,725	952,904	1,040,900	1,086,761
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	2,172,176	2,088,080	2,518,282	2,812,347	3,030,367
<i>OPWDD</i>	492,647	543,560	562,700	585,883	611,978
<i>OPWDD - Medicaid</i>	1,679,529	1,544,520	1,955,582	2,226,464	2,418,389
Alcoholism and Substance Abuse Services, Office of	412,052	449,124	518,033	611,561	624,878
<i>OASAS</i>	336,443	367,333	430,535	521,527	533,042
<i>OASAS - Medicaid</i>	75,609	81,791	87,498	90,034	91,836
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,331	5,466	4,977	5,060	5,150
Functional Total	4,532,889	4,663,771	5,608,846	6,224,994	6,599,626
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,887,856	2,670,950	2,751,395	2,814,160	2,885,203
Criminal Justice Services, Division of	181,032	236,866	237,471	240,116	241,552
Office of Victim Services	33,468	32,866	32,754	33,154	33,291
Statewide Financial System	0	30,643	40,072	49,656	49,756
Homeland Security and Emergency Services	25,500	105,346	125,325	133,166	96,236
Homeland Security	756	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	73,691	79,997	80,125	80,237
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	89,915	64,228	54,728	49,984	49,196
Parole, Division of	188,383	179,298	174,744	177,440	182,635
Probation and Correctional Alternatives, Division of	74,765	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	761,884	709,685	721,006	707,548	712,239
Wireless Network	6,672	3,586	1,786	1,786	786
Functional Total	4,258,388	4,149,450	4,262,270	4,330,668	4,376,656

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,642,043	1,328,194	1,433,517	1,532,689	1,625,290
Higher Education Services Corporation	955,735	906,502	1,012,434	1,078,691	1,084,598
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Higher Education Miscellaneous	378	355	355	355	355
State University Construction Fund	18,595	25,678	26,172	27,074	27,854
State University of New York	6,670,744	7,007,581	7,251,101	7,029,937	7,110,104
Functional Total	9,324,815	9,296,310	9,771,579	9,697,746	9,848,201
EDUCATION					
Arts, Council on the	42,286	44,248	39,946	39,983	40,043
Education, Department of	23,450,128	26,809,713	28,139,346	31,002,160	33,797,254
<i>School Aid</i>	18,249,731	21,732,511	22,695,114	25,299,615	27,822,973
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	919,682	935,766	1,294,271	1,373,136	1,455,616
<i>All Other</i>	803,416	745,750	732,341	745,242	746,190
Functional Total	23,492,414	26,853,961	28,179,292	31,042,143	33,837,297
GENERAL GOVERNMENT					
Budget, Division of the	40,775	39,212	40,751	41,825	42,755
Civil Service, Department of	21,384	17,406	17,561	17,820	18,113
Deferred Compensation	673	826	774	796	823
Elections, State Board of	9,898	6,555	7,877	36,154	6,099
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Financial Plan Control Board	2,630	3,190	3,330	3,494	3,638
General Services, Office of	189,745	190,790	196,505	207,811	210,698
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Lottery, Division of	185,777	173,408	176,790	177,280	181,544
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	42,806	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	90,782	79,172	72,945	70,041	71,392
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	417,242	457,314	439,510	442,462	449,219
Technology, Office for	23,549	27,598	79,091	83,294	42,817
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	14,756	15,381	15,166	15,080	15,151
Functional Total	1,096,810	1,078,217	1,122,967	1,175,711	1,091,062

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Judiciary	2,514,130	2,601,163	2,836,104	2,955,476	3,081,447
Audit and Control, Department of	242,721	178,908	185,420	193,444	195,966
Law, Department of	184,022	170,245	180,007	183,430	186,918
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>3,184,018</u>	<u>3,185,100</u>	<u>3,441,875</u>	<u>3,577,475</u>	<u>3,714,292</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,562,704	6,131,145	6,445,769	6,606,424
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	76,418	188,750	564,872	226,485	221,363
Functional Total	<u>8,009,123</u>	<u>9,123,132</u>	<u>10,487,382</u>	<u>10,818,366</u>	<u>11,360,375</u>
TOTAL STATE FUNDS SPENDING	<u>82,033,929</u>	<u>87,391,703</u>	<u>98,615,686</u>	<u>104,230,759</u>	<u>109,887,062</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	19,916	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	850	850	850	850
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	8,906	0	0	0	0
Economic Development, Department of	28,815	13,299	10,249	10,249	10,249
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234
Insurance Department	442,822	235,861	224,716	224,716	224,716
Empire State Development Corporation	178,041	38,650	44,236	44,236	34,236
Olympic Regional Development Authority	2,525	0	0	0	0
Public Service, Department of	0	225	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,154	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	723,882	342,312	333,741	336,035	322,972
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	68,399	32,880	37,526	37,526	37,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	27,607	22,579	19,750	19,750	19,750
Functional Total	96,006	65,459	57,276	57,276	57,276
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	1,403	0	0	0	0
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	3,856,658	4,329,729	4,408,502	4,519,390	4,598,076
Functional Total	4,042,742	4,546,829	4,603,002	4,702,990	4,781,676
HEALTH					
Aging, Office for the	114,196	115,937	112,923	112,923	112,923
Health, Department of	13,495,540	13,823,987	19,682,848	21,737,615	23,101,086
<i>Medical Assistance</i>	10,943,609	11,358,901	17,029,502	18,955,758	20,260,558
<i>Medicaid Administration</i>	514,488	547,163	573,750	596,750	620,650
<i>Public Health</i>	2,037,443	1,917,923	2,079,596	2,185,107	2,219,878
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	13,609,736	13,939,924	19,795,771	21,850,538	23,214,009
SOCIAL WELFARE					
Children and Family Services, Office of	1,749,199	1,698,782	1,895,050	2,099,596	2,326,026
OCFS	1,699,721	1,592,081	1,763,688	1,964,409	2,187,001
OCFS - Medicaid	49,478	106,701	131,362	135,187	139,025
Human Rights, Division of	0	0	0	0	0
Labor, Department of	13,057	8,836	5,242	174	100
Housing and Community Renewal, Division of	167,633	139,260	116,903	101,449	116,843
National Commission Services	0	346	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	682	685	685	685
Temporary and Disability Assistance, Office of	1,304,828	1,152,156	1,528,332	1,658,612	1,710,988
<i>Welfare Assistance</i>	1,136,459	1,004,029	1,377,002	1,504,466	1,545,692
<i>Welfare Administration</i>	51,263	0	0	0	0
<i>All Other</i>	117,106	148,127	151,330	154,146	165,296
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,235,373	3,000,062	3,546,562	3,860,866	4,154,992
MENTAL HYGIENE					
Mental Health, Office of	1,111,523	1,217,637	1,344,074	1,494,524	1,587,407
<i>OMH</i>	686,859	805,278	923,332	1,045,412	1,119,732
<i>OMH - Medicaid</i>	424,664	412,359	420,742	449,112	467,675
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,837,809	1,953,771	2,072,136	2,205,458	2,401,598
<i>OPWDD</i>	462,213	507,708	518,934	540,487	572,577
<i>OPWDD - Medicaid</i>	1,375,596	1,446,063	1,553,202	1,664,971	1,829,021
Alcoholism and Substance Abuse Services, Office of	323,171	355,057	420,158	509,454	516,210
<i>OASAS</i>	289,391	319,875	382,861	471,264	478,014
<i>OASAS - Medicaid</i>	33,780	35,182	37,297	38,190	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	653	530	230	230	230
Functional Total	3,273,156	3,526,995	3,836,598	4,209,666	4,505,445
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,390	300	243	243	243
Criminal Justice Services, Division of	114,425	167,593	167,472	168,872	168,414
Office of Victim Services	27,624	26,615	26,690	26,690	26,690
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	35,612	61,598	91,598	66,598
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	72,691	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	34,538	28,616	19,466	14,466	13,466
Parole, Division of	16,324	11,277	6,000	6,000	6,000
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	266,555	342,704	358,469	384,869	359,869

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,184,512	1,285,055	1,380,179	1,470,122
Higher Education Services Corporation	845,501	810,866	914,142	977,021	977,021
Higher Education Capital Grants	37,649	20,000	38,000	20,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	445,974	475,309	637,147	635,199	635,199
Functional Total	2,854,412	2,490,687	2,874,344	3,012,399	3,082,342
EDUCATION					
Arts, Council on the	36,887	39,470	35,248	35,248	35,248
Education, Department of	23,281,618	26,647,178	27,968,689	30,821,016	33,620,259
<i>School Aid</i>	18,249,731	21,732,511	22,695,114	25,299,615	27,822,973
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	919,682	935,766	1,294,271	1,373,136	1,455,616
<i>All Other</i>	634,906	583,215	561,684	564,098	569,195
Functional Total	23,318,505	26,686,648	28,003,937	30,856,264	33,655,507
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	195	967	2,000	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	398	324	324	324
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	37,352	27,290	21,176	16,739	16,739
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,062	12,361	12,461	12,461
Technology, Office for	1,083	2,180	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,480	9,076	9,076	9,076
Functional Total	58,353	52,377	44,937	68,600	38,600

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	118,892	124,865	177,100	177,100	179,100
Audit and Control, Department of	102,115	31,672	32,024	32,024	32,024
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>221,007</u>	<u>156,537</u>	<u>209,124</u>	<u>209,124</u>	<u>211,124</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	740,716	1,030,259	1,030,300	1,030,468
Efficiency Incentive Grants Program	3,293	7,595	7,632	7,533	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,065	2,088	2,088	2,088
Functional Total	<u>1,080,279</u>	<u>780,097</u>	<u>1,069,700</u>	<u>1,069,642</u>	<u>1,062,277</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(21,204)	333,839	297,025	204,575	159,397
Functional Total	<u>(21,204)</u>	<u>333,839</u>	<u>297,025</u>	<u>204,575</u>	<u>159,397</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>52,758,802</u></u>	<u><u>56,264,470</u></u>	<u><u>65,030,486</u></u>	<u><u>70,822,844</u></u>	<u><u>75,605,486</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	63,273	57,616	55,704	56,074	57,011
Alcoholic Beverage Control	12,875	13,262	14,856	14,646	15,099
Banking Department	65,082	66,289	68,533	69,452	70,361
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,295	2,644	2,708	2,593	2,617
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,584	22,708	22,548	23,182	23,738
Energy Research and Development Authority	5,481	4,871	5,180	5,286	5,396
Insurance Department	169,067	177,594	185,569	187,983	190,442
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,441	4,815	5,025	5,025	5,152
Public Service, Department of	54,138	52,130	54,037	55,194	56,367
Racing and Wagering Board, State	17,369	18,288	19,353	16,657	16,918
Science, Technology and Innovation, Foundation for	2,879	2,640	2,886	2,987	3,047
Strategic Investment	0	0	0	0	0
Functional Total	<u>427,484</u>	<u>422,857</u>	<u>436,399</u>	<u>439,079</u>	<u>446,148</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	4,768	4,317	4,319	4,319
Environmental Conservation, Department of	278,567	252,676	227,870	224,554	224,554
Environmental Facilities Corporation	7,859	6,918	7,057	7,198	7,198
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	187,422	172,853	167,325	168,991	169,626
Functional Total	<u>479,001</u>	<u>437,215</u>	<u>406,569</u>	<u>405,062</u>	<u>405,697</u>
TRANSPORTATION					
Motor Vehicles, Department of	71,894	71,462	68,642	68,660	68,660
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	22,303	26,904	23,523	23,695	23,886
Functional Total	<u>94,197</u>	<u>98,366</u>	<u>92,165</u>	<u>92,355</u>	<u>92,546</u>
HEALTH					
Aging, Office for the	2,568	1,805	1,595	1,648	1,691
Health, Department of	518,207	534,211	544,613	556,405	572,842
<i>Medical Assistance</i>	21,284	46,355	46,355	46,355	46,355
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	496,923	487,856	498,258	510,050	526,487
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	27,481	32,369	34,576	35,316	36,096
Stem Cell and Innovation	17,248	44,700	50,000	61,373	63,673
Functional Total	<u>565,504</u>	<u>613,085</u>	<u>630,784</u>	<u>654,742</u>	<u>674,302</u>
SOCIAL WELFARE					
Children and Family Services, Office of	256,595	276,865	278,790	287,254	285,535
<i>OCFS</i>	256,595	276,865	278,790	287,254	285,535
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	10,731	10,744	10,093	10,274	10,405
Labor, Department of	48,117	45,343	46,171	46,889	47,574
Housing and Community Renewal, Division of	67,369	55,199	50,757	49,217	49,612
National Commission Services	359	276	277	279	361

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,471	1,265	1,277	1,298	1,298
Temporary and Disability Assistance, Office of	55,742	61,467	63,734	67,367	68,084
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	55,742	61,467	63,734	67,367	68,084
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	137,920	163,284	157,377	158,789	161,284
Functional Total	578,617	614,798	608,834	621,725	624,525
MENTAL HYGIENE					
Mental Health, Office of	588,632	621,521	850,585	871,927	888,197
<i>OMH</i>	455,191	489,188	442,372	448,774	455,061
<i>OMH - Medicaid</i>	133,441	132,333	408,213	423,153	433,136
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	177,066	61,868	291,291	388,801	394,082
<i>OPWDD</i>	167	176	176	176	181
<i>OPWDD - Medicaid</i>	176,899	61,692	291,115	388,625	393,901
Alcoholism and Substance Abuse Services, Office of	66,540	65,833	66,790	68,084	69,080
<i>OASAS</i>	35,483	29,808	30,139	30,936	31,421
<i>OASAS - Medicaid</i>	31,057	36,025	36,651	37,148	37,659
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,654	4,909	4,719	4,799	4,886
Functional Total	836,892	754,131	1,213,385	1,333,611	1,356,245
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,701	2,740	2,792	2,824
Correctional Services, Department of	2,620,502	2,412,882	2,467,366	2,521,116	2,585,749
Criminal Justice Services, Division of	66,524	69,108	69,832	71,064	72,951
Office of Victim Services	4,722	5,032	4,828	4,958	4,957
Statewide Financial System	0	30,643	40,072	49,656	49,756
Homeland Security and Emergency Services	25,402	61,520	52,506	33,333	23,403
Homeland Security	0	34,298	34,710	35,125	35,547
Office of Indigent Legal Services	0	875	2,257	2,301	2,346
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,292	5,542	5,616	5,696
Military and Naval Affairs, Division of	44,426	24,245	24,570	24,817	25,074
Parole, Division of	172,059	168,021	168,744	171,440	176,635
Probation and Correctional Alternatives, Division of	2,511	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	727,917	675,348	680,565	685,854	690,713
Wireless Network	5,412	3,086	1,286	1,286	286
Functional Total	3,677,632	3,493,051	3,555,018	3,609,358	3,675,937

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	102,796	126,066	128,482	130,947	133,457
Higher Education Services Corporation	95,037	80,058	84,876	85,249	89,792
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	270	220	220	220	220
State University Construction Fund	14,504	18,239	18,579	18,684	18,848
State University of New York	4,949,282	4,912,006	5,056,333	4,883,946	4,965,552
Functional Total	5,161,889	5,136,589	5,288,490	5,119,046	5,207,869
EDUCATION					
Arts, Council on the	5,399	4,778	4,698	4,735	4,795
Education, Department of	131,615	124,810	115,354	114,083	115,397
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	131,615	124,810	115,354	114,083	115,397
Functional Total	137,014	129,588	120,052	118,818	120,192
GENERAL GOVERNMENT					
Budget, Division of the	38,930	37,227	38,557	39,387	40,049
Civil Service, Department of	21,245	17,157	17,302	17,539	17,806
Deferred Compensation	521	641	598	605	615
Elections, State Board of	9,703	5,588	5,877	6,154	6,099
Employee Relations, Office of	3,204	3,202	3,223	3,257	3,297
Financial Plan Control Board	2,039	2,385	2,521	2,583	2,643
General Services, Office of	135,014	126,552	133,190	135,238	138,326
Inspector General, Office of	6,079	6,024	6,187	6,272	6,359
Labor Management Committee	33,609	45,300	50,300	56,918	25,765
Lottery, Division of	176,513	163,839	166,877	165,777	169,217
Public Employment Relations Board	3,785	4,208	4,164	4,216	4,268
Public Integrity, Commission on	4,209	4,054	4,463	4,643	4,720
Real Property Services, Office of	27,977	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	1,625	1,543	1,561	1,579
State, Department of	45,977	40,941	41,052	41,648	42,264
Tax Appeals, Division of	3,458	2,952	2,787	2,787	2,825
Taxation and Finance, Department of	398,676	426,464	407,522	407,604	413,009
Technology, Office for	22,466	23,918	35,248	33,294	33,757
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,466	5,901	6,090	6,004	6,075
Functional Total	942,320	917,978	927,501	935,487	918,673

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	226,089	218,795	223,196	227,685	232,263
Judiciary	1,872,453	1,900,900	2,040,656	2,106,834	2,188,557
Audit and Control, Department of	139,406	145,651	151,805	159,787	162,309
Law, Department of	173,611	161,261	170,036	172,176	175,664
Executive Chamber	17,056	15,473	16,097	16,374	16,632
Lieutenant Governor, Office of the	0	516	1,051	1,066	1,066
Functional Total	<u>2,428,615</u>	<u>2,442,596</u>	<u>2,602,841</u>	<u>2,683,922</u>	<u>2,776,491</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	4,009	(269,327)	75,862	(93,124)	(43,081)
Functional Total	<u>59,492</u>	<u>(177,630)</u>	<u>167,731</u>	<u>(1,255)</u>	<u>48,788</u>
TOTAL STATE OPERATIONS SPENDING	<u>15,388,657</u>	<u>14,882,624</u>	<u>16,049,769</u>	<u>16,011,950</u>	<u>16,347,413</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	34,218	31,924	29,764	30,330	30,960
Alcoholic Beverage Control	8,838	8,588	8,649	8,723	8,841
Banking Department	49,855	48,950	50,342	50,845	51,354
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,062	2,031	2,058	2,128	2,153
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,979	11,752	10,436	11,070	11,215
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	106,061	105,963	108,870	109,958	111,058
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,485	2,485	2,485	2,522
Public Service, Department of	43,269	41,686	43,248	44,113	44,995
Racing and Wagering Board, State	12,538	11,315	10,895	11,022	11,151
Science, Technology and Innovation, Foundation for	2,254	1,624	1,826	1,927	1,950
Strategic Investment	0	0	0	0	0
Functional Total	279,132	269,617	271,938	276,033	279,700
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,385	3,934	3,936	3,936
Environmental Conservation, Department of	196,537	189,069	167,838	168,503	168,503
Environmental Facilities Corporation	6,798	5,705	5,841	5,979	5,979
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,458	134,780	115,069	115,943	116,578
Functional Total	350,522	333,939	292,682	294,361	294,996
TRANSPORTATION					
Motor Vehicles, Department of	52,901	53,032	48,221	48,221	48,221
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,642	6,381	5,612	5,669	5,725
Functional Total	59,543	59,413	53,833	53,890	53,946
HEALTH					
Aging, Office for the	2,427	1,530	1,297	1,330	1,363
Health, Department of	240,936	251,294	258,375	264,327	270,509
<i>Medical Assistance</i>	0	500	500	500	500
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	240,936	250,794	257,875	263,827	270,009
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	20,094	24,754	23,616	24,056	24,506
Stem Cell and Innovation	640	0	0	0	0
Functional Total	264,097	277,578	283,288	289,713	296,378
SOCIAL WELFARE					
Children and Family Services, Office of	171,709	174,744	170,159	173,888	168,932
OCFS	171,709	174,744	170,159	173,888	168,932
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	8,027	8,901	8,168	8,265	8,363
Labor, Department of	33,433	30,702	31,103	31,443	31,762
Housing and Community Renewal, Division of	50,376	42,596	37,413	35,480	35,746
National Commission Services	316	235	236	238	320

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,251	1,109	1,139	1,152	1,152
Temporary and Disability Assistance, Office of	17,230	16,008	13,887	14,055	14,251
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	17,230	16,008	13,887	14,055	14,251
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	90,768	91,891	93,000	93,643	94,481
Functional Total	373,423	366,541	355,463	358,522	355,379
MENTAL HYGIENE					
Mental Health, Office of	403,158	432,383	654,676	669,100	679,531
<i>OMH</i>	382,310	384,871	341,443	346,214	349,980
<i>OMH - Medicaid</i>	20,848	47,512	313,233	322,886	329,551
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	139,407	59,114	284,155	342,164	345,561
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	139,407	59,114	284,155	342,164	345,561
Alcoholism and Substance Abuse Services, Office of	45,527	47,029	47,640	48,294	48,805
<i>OASAS</i>	25,233	19,409	19,784	20,329	20,559
<i>OASAS - Medicaid</i>	20,294	27,620	27,856	27,965	28,246
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	3,684	3,429	3,206	3,249	3,291
Functional Total	591,776	541,955	989,677	1,062,807	1,077,188
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,142	2,299	2,326	2,360	2,376
Correctional Services, Department of	2,111,324	1,898,016	1,939,696	1,960,993	1,983,561
Criminal Justice Services, Division of	35,446	35,216	35,271	35,977	34,796
Office of Victim Services	4,014	4,009	3,778	3,879	3,852
Statewide Financial System	0	9,484	9,435	9,435	9,435
Homeland Security and Emergency Services	11,319	5,528	7,708	7,777	7,847
Homeland Security	0	30,909	31,287	31,600	31,916
Office of Indigent Legal Services	0	250	1,515	1,530	1,545
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	3,984	4,134	4,174	4,217
Military and Naval Affairs, Division of	22,271	12,091	12,667	12,809	12,934
Parole, Division of	139,375	134,125	133,500	135,196	139,303
Probation and Correctional Alternatives, Division of	2,255	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	646,775	586,339	589,553	592,953	596,353
Wireless Network	2,820	1,000	200	200	200
Functional Total	2,982,115	2,723,250	2,771,070	2,798,883	2,828,335

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,260	35,189	32,134	31,445	31,760
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,544	15,583	15,622
State University of New York	<u>3,243,082</u>	<u>3,148,164</u>	<u>3,234,930</u>	<u>3,141,472</u>	<u>3,167,481</u>
Functional Total	<u>3,365,550</u>	<u>3,286,494</u>	<u>3,372,005</u>	<u>3,279,459</u>	<u>3,307,410</u>
EDUCATION					
Arts, Council on the	3,741	3,138	2,840	2,864	2,889
Education, Department of	<u>86,682</u>	<u>77,162</u>	<u>72,107</u>	<u>72,857</u>	<u>73,815</u>
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	<u>86,682</u>	<u>77,162</u>	<u>72,107</u>	<u>72,857</u>	<u>73,815</u>
Functional Total	<u>90,423</u>	<u>80,300</u>	<u>74,947</u>	<u>75,721</u>	<u>76,704</u>
GENERAL GOVERNMENT					
Budget, Division of the	28,860	25,700	26,000	26,780	27,583
Civil Service, Department of	19,595	15,382	15,486	15,678	15,897
Deferred Compensation	388	385	390	392	396
Elections, State Board of	4,389	4,114	4,308	4,490	4,353
Employee Relations, Office of	3,071	3,019	3,035	3,064	3,099
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	62,775	55,348	55,879	56,901	57,614
Inspector General, Office of	5,767	5,500	5,644	5,705	5,766
Labor Management Committee	8,055	7,929	7,820	7,908	7,908
Lottery, Division of	21,798	19,642	19,211	19,211	19,454
Public Employment Relations Board	3,362	3,459	3,397	3,431	3,465
Public Integrity, Commission on	3,357	3,094	3,417	3,561	3,603
Real Property Services, Office of	23,407	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	1,450	1,361	1,375	1,388
State, Department of	32,761	28,116	26,758	27,043	27,325
Tax Appeals, Division of	2,960	2,585	2,420	2,420	2,447
Taxation and Finance, Department of	306,466	331,381	313,868	313,947	316,915
Technology, Office for	10,571	12,377	12,451	12,571	12,669
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	<u>5,996</u>	<u>5,447</u>	<u>5,590</u>	<u>5,635</u>	<u>5,696</u>
Functional Total	<u>547,183</u>	<u>526,494</u>	<u>508,692</u>	<u>511,802</u>	<u>517,302</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,536,724	1,536,806	1,650,756	1,693,762	1,749,974
Audit and Control, Department of	114,716	116,084	118,239	125,329	126,722
Law, Department of	123,683	111,671	118,390	119,354	120,650
Executive Chamber	13,451	12,400	12,897	13,074	13,232
Lieutenant Governor, Office of the	0	424	890	917	945
Functional Total	<u>1,966,448</u>	<u>1,942,432</u>	<u>2,069,520</u>	<u>2,124,151</u>	<u>2,186,672</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	1,083	(138,160)	217,217	(1,773)	(1,762)
Functional Total	<u>3,258</u>	<u>(138,160)</u>	<u>217,217</u>	<u>(1,773)</u>	<u>(1,762)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>10,873,470</u></u>	<u><u>10,269,853</u></u>	<u><u>11,260,332</u></u>	<u><u>11,123,569</u></u>	<u><u>11,272,248</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	29,055	25,692	25,940	25,744	26,051
Alcoholic Beverage Control	4,037	4,674	6,207	5,923	6,258
Banking Department	15,227	17,339	18,191	18,607	19,007
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	233	613	650	465	464
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,605	10,956	12,112	12,112	12,523
Energy Research and Development Authority	1,513	1,572	1,815	1,854	1,895
Insurance Department	63,006	71,631	76,699	78,025	79,384
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,351	2,330	2,540	2,540	2,630
Public Service, Department of	10,869	10,444	10,789	11,081	11,372
Racing and Wagering Board, State	4,831	6,973	8,458	5,635	5,767
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	148,352	153,240	164,461	163,046	166,448
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	424	383	383	383	383
Environmental Conservation, Department of	82,030	63,607	60,032	56,051	56,051
Environmental Facilities Corporation	1,061	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	44,964	38,073	52,256	53,048	53,048
Functional Total	128,479	103,276	113,887	110,701	110,701
TRANSPORTATION					
Motor Vehicles, Department of	18,993	18,430	20,421	20,439	20,439
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	15,661	20,523	17,911	18,026	18,161
Functional Total	34,654	38,953	38,332	38,465	38,600
HEALTH					
Aging, Office for the	141	275	298	318	328
Health, Department of	277,271	282,917	286,238	292,078	302,333
<i>Medical Assistance</i>	21,284	45,855	45,855	45,855	45,855
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	255,987	237,062	240,383	246,223	256,478
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	7,387	7,615	10,960	11,260	11,590
Stem Cell and Innovation	16,608	44,700	50,000	61,373	63,673
Functional Total	301,407	335,507	347,496	365,029	377,924
SOCIAL WELFARE					
Children and Family Services, Office of	84,886	102,121	108,631	113,366	116,603
<i>OCFS</i>	84,886	102,121	108,631	113,366	116,603
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	2,704	1,843	1,925	2,009	2,042
Labor, Department of	14,684	14,641	15,068	15,446	15,812
Housing and Community Renewal, Division of	16,993	12,603	13,344	13,737	13,866
National Commission Services	43	41	41	41	41

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	156	138	146	146
Temporary and Disability Assistance, Office of	38,512	45,459	49,847	53,312	53,833
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	38,512	45,459	49,847	53,312	53,833
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	47,152	71,393	64,377	65,146	66,803
Functional Total	205,194	248,257	253,371	263,203	269,146
MENTAL HYGIENE					
Mental Health, Office of	185,474	189,138	195,909	202,827	208,666
<i>OMH</i>	72,881	104,317	100,929	102,560	105,081
<i>OMH - Medicaid</i>	112,593	84,821	94,980	100,267	103,585
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	37,659	2,754	7,136	46,637	48,521
<i>OPWDD</i>	167	176	176	176	181
<i>OPWDD - Medicaid</i>	37,492	2,578	6,960	46,461	48,340
Alcoholism and Substance Abuse Services, Office of	21,013	18,804	19,150	19,790	20,275
<i>OASAS</i>	10,250	10,399	10,355	10,607	10,862
<i>OASAS - Medicaid</i>	10,763	8,405	8,795	9,183	9,413
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	970	1,480	1,513	1,550	1,595
Functional Total	245,116	212,176	223,708	270,804	279,057
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	454	402	414	432	448
Correctional Services, Department of	509,178	514,866	527,670	560,123	602,188
Criminal Justice Services, Division of	31,078	33,892	34,561	35,087	38,155
Office of Victim Services	708	1,023	1,050	1,079	1,105
Statewide Financial System	0	21,159	30,637	40,221	40,321
Homeland Security and Emergency Services	14,083	55,992	44,798	25,556	15,556
Homeland Security	0	3,389	3,423	3,525	3,631
Office of Indigent Legal Services	0	625	742	771	801
Investigation, Temporary State Commission of	9	0	0	0	0
Judicial Commissions	1,157	1,308	1,408	1,442	1,479
Military and Naval Affairs, Division of	22,155	12,154	11,903	12,008	12,140
Parole, Division of	32,684	33,896	35,244	36,244	37,332
Probation and Correctional Alternatives, Division of	256	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	81,142	89,009	91,012	92,901	94,360
Wireless Network	2,592	2,086	1,086	1,086	86
Functional Total	695,517	769,801	783,948	810,475	847,602

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	58,777	44,869	52,742	53,804	58,032
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,035	3,101	3,226
State University of New York	1,706,200	1,763,842	1,821,403	1,742,474	1,797,995
Functional Total	1,796,339	1,850,095	1,916,485	1,839,587	1,900,383
EDUCATION					
Arts, Council on the	1,658	1,640	1,858	1,871	1,906
Education, Department of	44,933	47,648	43,247	41,226	41,582
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	44,933	47,648	43,247	41,226	41,582
Functional Total	46,591	49,288	45,105	43,097	43,488
GENERAL GOVERNMENT					
Budget, Division of the	10,070	11,527	12,557	12,607	12,466
Civil Service, Department of	1,650	1,775	1,816	1,861	1,909
Deferred Compensation	133	256	208	213	219
Elections, State Board of	5,314	1,474	1,569	1,664	1,746
Employee Relations, Office of	133	183	188	193	198
Financial Plan Control Board	682	819	864	893	919
General Services, Office of	72,239	71,204	77,311	78,337	80,712
Inspector General, Office of	312	524	543	567	593
Labor Management Committee	25,554	37,371	42,480	49,010	17,857
Lottery, Division of	154,715	144,197	147,666	146,566	149,763
Public Employment Relations Board	423	749	767	785	803
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	4,570	0	0	0	0
Regulatory Reform, Governor's Office of	201	175	182	186	191
State, Department of	13,216	12,825	14,294	14,605	14,939
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	92,210	95,083	93,654	93,657	96,094
Technology, Office for	11,895	11,541	22,797	20,723	21,088
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	470	454	500	369	379
Functional Total	395,137	391,484	418,809	423,685	401,371

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	48,215	53,748	54,848	55,970	57,114
Judiciary	335,729	364,094	389,900	413,072	438,583
Audit and Control, Department of	24,690	29,567	33,566	34,458	35,587
Law, Department of	49,928	49,590	51,646	52,822	55,014
Executive Chamber	3,605	3,073	3,200	3,300	3,400
Lieutenant Governor, Office of the	0	92	161	149	121
Functional Total	<u>462,167</u>	<u>500,164</u>	<u>533,321</u>	<u>559,771</u>	<u>589,819</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,926	(131,167)	(141,355)	(91,351)	(41,319)
Functional Total	<u>56,234</u>	<u>(39,470)</u>	<u>(49,486)</u>	<u>518</u>	<u>50,550</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>4,515,187</u>	<u>4,612,771</u>	<u>4,789,437</u>	<u>4,888,381</u>	<u>5,075,089</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,560	5,022	5,045	5,642	6,162
Alcoholic Beverage Control	4,137	4,512	4,802	5,530	5,894
Banking Department	21,049	23,706	24,592	27,375	29,606
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	40	40	40
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	51,245	53,183	59,201	64,025
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,500	20,189	21,126	23,751	25,939
Racing and Wagering Board, State	5,206	5,445	5,285	5,968	6,487
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	104,262	111,770	115,684	129,173	139,939
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	36,337	38,265	35,332	35,552	34,552
Environmental Facilities Corporation	1,874	1,806	1,849	1,892	1,892
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,537	3,850	3,822	3,823	3,930
Functional Total	40,748	43,921	41,003	41,267	40,374
TRANSPORTATION					
Motor Vehicles, Department of	22,539	25,720	23,382	25,451	26,771
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,240	3,226	2,751	3,064	3,313
Functional Total	23,779	28,946	26,133	28,515	30,084
HEALTH					
Aging, Office for the	0	(1)	(1)	(1)	(1)
Health, Department of	33,230	44,912	47,296	53,401	56,223
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	33,230	44,912	47,296	53,401	56,223
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	94	126	0	0	0
Stem Cell and Innovation	428	0	0	0	0
Functional Total	33,752	45,037	47,295	53,400	56,222
SOCIAL WELFARE					
Children and Family Services, Office of	1,011	1,311	1,344	1,344	1,539
<i>OCFS</i>	1,011	1,311	1,344	1,344	1,539
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,912	14,831	15,917	17,879	19,145
Housing and Community Renewal, Division of	15,278	15,962	14,313	14,591	15,352
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	645	250	259	280	305
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	645	250	259	280	305
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	48,822	41,196	45,328	49,249	53,568
Functional Total	80,668	73,550	77,161	83,343	89,909
MENTAL HYGIENE					
Mental Health, Office of	174,536	202,100	295,325	352,005	386,057
<i>OMH</i>	166,745	186,067	171,376	183,370	200,107
<i>OMH - Medicaid</i>	7,791	16,033	123,949	168,635	185,950
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	127,034	36,765	111,265	172,868	195,467
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	127,034	36,765	111,265	172,868	195,467
Alcoholism and Substance Abuse Services, Office of	21,018	21,812	22,117	24,184	26,392
<i>OASAS</i>	10,246	11,228	8,567	9,488	10,411
<i>OASAS - Medicaid</i>	10,772	10,584	13,550	14,696	15,981
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	24	27	28	31	34
Functional Total	322,612	260,704	428,735	549,088	607,950
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	2,000	2,000	0	0	0
Criminal Justice Services, Division of	83	165	167	180	187
Office of Victim Services	1,122	1,219	1,236	1,506	1,644
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	98	214	221	235	235
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	125	740	824	891
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	811	880	902	1,022	1,022
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	19,893	4,598	4,746	5,069	5,069
Wireless Network	1,260	500	500	500	500
Functional Total	25,267	9,701	8,512	9,336	9,548

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,197	15,578	13,416	16,421	17,785
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,593	8,390	9,006
State University of New York	464,162	529,980	497,329	499,886	503,695
Functional Total	487,794	559,266	524,748	531,251	537,188
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	26,637	26,786	26,814	29,623	31,880
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	26,637	26,786	26,814	29,623	31,880
Functional Total	26,637	26,786	26,814	29,623	31,880
GENERAL GOVERNMENT					
Budget, Division of the	1,845	1,985	2,194	2,438	2,706
Civil Service, Department of	139	249	259	281	307
Deferred Compensation	152	185	176	191	208
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	809	911	995
General Services, Office of	1,663	2,155	2,144	2,136	2,239
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	9,569	9,913	11,503	12,327
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,744	8,206	7,982	8,919	9,654
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,788	19,627	22,397	23,749
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	43,384	41,942	43,104	48,776	52,185

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	514,696	557,398	601,648	653,642	713,790
Audit and Control, Department of	1,200	1,585	1,591	1,633	1,633
Law, Department of	10,411	8,984	9,971	11,254	11,254
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>526,307</u>	<u>567,967</u>	<u>613,210</u>	<u>666,529</u>	<u>726,677</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,915,751	3,371,678	3,791,365	4,146,112	4,532,588
Miscellaneous	3,232	4,953	4,985	5,034	5,047
Functional Total	<u>2,918,983</u>	<u>3,376,631</u>	<u>3,796,350</u>	<u>4,151,146</u>	<u>4,537,635</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>4,634,193</u>	<u>5,146,221</u>	<u>5,748,749</u>	<u>6,321,447</u>	<u>6,859,591</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,586	5,320	17,800	29,086	6,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	9,400	6,500	2,500	2,500	2,500
Economic Development, Department of	18,470	55,809	31,745	32,616	46,745
Energy Research and Development Authority	13,500	19,247	15,310	14,000	14,790
Insurance Department	0	0	0	0	0
Empire State Development Corporation	428,527	973,945	716,435	247,065	348,760
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	4,230	2,585	300	0
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	482,310	1,075,051	790,375	330,567	424,045
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	314,426	371,989	331,461	330,161	301,861
Environmental Facilities Corporation	292	343	343	343	343
Hudson River Park Trust	11,977	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	81,047	32,219	21,219	21,219	21,219
Functional Total	407,742	404,551	353,023	351,723	323,423
TRANSPORTATION					
Motor Vehicles, Department of	208,105	210,799	201,847	208,171	213,107
Thruway Authority	0	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,755,378	1,791,675	1,826,511	1,791,465	1,738,652
Functional Total	1,963,483	2,004,274	2,030,158	2,001,436	1,953,559
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	7,840	325,658	613,940	193,022	114,678
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	7,840	325,658	613,940	193,022	114,678
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	7,840	325,658	613,940	193,022	114,678
SOCIAL WELFARE					
Children and Family Services, Office of	27,677	23,000	20,900	20,900	20,900
OCFS	27,677	23,000	20,900	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Housing and Community Renewal, Division of	0	0	0	0	0
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	30,390	45,000	45,000	40,000	30,000
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	30,390	45,000	45,000	40,000	30,000
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	58,067	68,000	65,900	60,900	50,900
MENTAL HYGIENE					
Mental Health, Office of	68,639	79,843	77,570	77,570	77,570
<i>OMH</i>	68,639	79,843	77,570	77,570	77,570
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	30,267	35,676	43,590	45,220	39,220
<i>OPWDD</i>	30,267	35,676	43,590	45,220	39,220
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	1,323	6,422	8,968	9,839	13,196
<i>OASAS</i>	1,323	6,422	8,968	9,839	13,196
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	0	0	0	0	0
Functional Total	100,229	121,941	130,128	132,629	129,986
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	263,964	255,768	283,786	292,801	299,211
Criminal Justice Services, Division of	0	0	0	0	0
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	8,000	11,000	8,000	6,000
Homeland Security	756	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	10,140	10,487	9,790	9,679	9,634
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	14,074	29,739	35,695	16,625	16,457
Wireless Network	0	0	0	0	0
Functional Total	288,934	303,994	340,271	327,105	331,302

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	(329)	8,000	10,000	9,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	820,720	1,109,768	1,083,997	1,035,050	1,020,802
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	10,258	10,939	28,489	37,438	29,718
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	10,258	10,939	28,489	37,438	29,718
Functional Total	10,258	10,939	28,489	37,438	29,718
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	(291)	2,735	2,735	2,735	2,735
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	1,500	43,843	50,000	9,060
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	52,753	65,920	107,425	122,848	81,604

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>8,089</u>	<u>18,000</u>	<u>16,700</u>	<u>17,900</u>	<u>0</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	90,381	119,285	187,000	110,000	100,000
Functional Total	<u>90,381</u>	<u>119,285</u>	<u>187,000</u>	<u>110,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>4,290,806</u></u>	<u><u>5,627,381</u></u>	<u><u>5,747,406</u></u>	<u><u>4,720,618</u></u>	<u><u>4,560,017</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	0	0	0	0	0
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	850	850	850	850
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	25	0	0	0	0
Energy Research and Development Authority	8,657	9,157	9,234	9,234	9,234
Insurance Department	374,224	224,716	224,716	224,716	224,716
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	225	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	383,941	234,948	235,300	235,300	235,300
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	399	0	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,017	4,970	4,850	4,850	4,850
Functional Total	5,416	4,970	4,850	4,850	4,850
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,759,338	4,186,398	4,238,823	4,337,300	4,417,400
Functional Total	3,759,338	4,186,398	4,238,823	4,337,300	4,417,400
HEALTH					
Aging, Office for the	987	0	0	0	0
Health, Department of	5,852,002	5,612,763	5,736,906	6,206,043	6,366,471
<i>Medical Assistance</i>	4,669,175	4,481,139	4,438,718	4,889,155	5,005,432
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	1,182,827	1,131,624	1,298,188	1,316,888	1,361,039
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	5,852,989	5,612,763	5,736,906	6,206,043	6,366,471
SOCIAL WELFARE					
Children and Family Services, Office of	2,247	1,689	1,600	1,600	1,600
<i>OCFS</i>	2,247	1,689	1,600	1,600	1,600
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	38	173	100	100	100
Housing and Community Renewal, Division of	514	852	852	852	852
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	131	0	0	0	0
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	131	0	0	0	0
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	2,930	2,714	2,552	2,552	2,552
MENTAL HYGIENE					
Mental Health, Office of	529,248	647,973	861,083	930,172	1,004,492
<i>OMH</i>	529,248	647,973	861,083	930,172	1,004,492
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	358,119	387,239	513,835	534,988	567,078
<i>OPWDD</i>	358,119	387,239	513,835	534,988	567,078
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	159,690	200,000	319,707	347,668	364,564
<i>OASAS</i>	159,690	200,000	319,707	347,668	364,564
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	60	60	60	60	60
Functional Total	1,047,117	1,235,272	1,694,685	1,812,888	1,936,194
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	24,231	38,196	34,506	34,506	35,506
Office of Victim Services	27,624	26,615	26,690	26,690	26,690
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	32,962	58,298	88,298	63,298
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	72,691	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	2,967	3,000	3,000	3,000	3,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	54,822	173,464	199,494	229,494	205,494

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	22,202	22,200	16,000	0	0
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	0	0	0	0	0
Functional Total	22,202	22,200	16,000	0	0
EDUCATION					
Arts, Council on the	0	0	98	98	98
Education, Department of	6,254,217	6,380,527	6,170,375	6,492,802	6,749,556
<i>School Aid</i>	2,825,995	3,093,000	2,737,000	2,895,000	2,963,800
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,269,866	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	14,680	17,661	15,755	13,635	13,281
Functional Total	6,254,217	6,380,527	6,170,473	6,492,900	6,749,654
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	13,822	539	539	539	539
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	13,822	539	539	539	539

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	114,249	119,820	122,000	122,000	124,000
Audit and Control, Department of	70,089	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>184,338</u>	<u>119,820</u>	<u>122,000</u>	<u>122,000</u>	<u>124,000</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	63,185	37,474	41,388	44,329	45,299
Functional Total	<u>63,185</u>	<u>37,474</u>	<u>41,388</u>	<u>44,329</u>	<u>45,299</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>17,644,317</u></u>	<u><u>18,011,089</u></u>	<u><u>18,463,010</u></u>	<u><u>19,488,195</u></u>	<u><u>20,087,753</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	10,973	10,368	10,326	10,479	10,688
Alcoholic Beverage Control	8,838	8,588	8,649	8,723	8,841
Banking Department	49,855	48,950	50,342	50,845	51,354
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	69	109	109	109	109
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	6	48	48	48	48
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	105,533	105,813	108,870	109,958	111,058
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	43,269	41,686	43,248	44,113	44,995
Racing and Wagering Board, State	12,538	11,315	10,895	11,022	11,151
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	235,049	230,176	235,852	238,729	241,745
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	96,456	99,088	93,115	93,772	91,897
Environmental Facilities Corporation	6,676	5,705	5,841	5,979	5,979
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	29,096	25,146	25,087	25,088	25,723
Functional Total	132,228	129,939	124,043	124,839	123,599
TRANSPORTATION					
Motor Vehicles, Department of	52,901	53,032	48,221	48,221	48,221
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,642	6,381	5,612	5,669	5,725
Functional Total	59,543	59,413	53,833	53,890	53,946
HEALTH					
Aging, Office for the	0	(5)	(3)	(3)	(3)
Health, Department of	159,353	171,086	175,638	179,790	184,172
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	159,353	171,086	175,638	179,790	184,172
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	3,922	3,985	3,700	3,700	3,700
Stem Cell and Innovation	640	0	0	0	0
Functional Total	163,915	175,066	179,335	183,487	187,869
SOCIAL WELFARE					
Children and Family Services, Office of	1,040	1,914	1,687	1,745	1,626
<i>OCFS</i>	1,040	1,914	1,687	1,745	1,626
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	32,633	30,395	31,103	31,443	31,762
Housing and Community Renewal, Division of	35,266	32,042	28,145	25,487	25,792
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	2,481	1,915	1,934	1,953	1,973
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	2,481	1,915	1,934	1,953	1,973
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	90,768	91,891	93,000	93,643	94,481
Functional Total	162,188	158,157	155,869	154,271	155,634
MENTAL HYGIENE					
Mental Health, Office of	403,158	432,383	654,676	669,100	679,531
<i>OMH</i>	382,310	384,871	341,443	346,214	349,980
<i>OMH - Medicaid</i>	20,848	47,512	313,233	322,886	329,551
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	139,407	59,114	284,155	342,164	345,561
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	139,407	59,114	284,155	342,164	345,561
Alcoholism and Substance Abuse Services, Office of	45,527	47,029	47,640	48,294	48,805
<i>OASAS</i>	25,233	19,409	19,784	20,329	20,559
<i>OASAS - Medicaid</i>	20,294	27,620	27,856	27,965	28,246
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	57	58	58	58	59
Functional Total	588,149	538,584	986,529	1,059,616	1,073,956
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	415	461	463	465	467
Office of Victim Services	3,901	4,009	3,778	3,879	3,852
Statewide Financial System	0	9,484	9,435	9,435	9,435
Homeland Security and Emergency Services	423	804	772	772	772
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	250	1,515	1,530	1,545
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	2,481	1,889	1,892	1,910	1,910
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	157,151	172,512	163,454	163,854	164,254
Wireless Network	2,820	1,000	200	200	200
Functional Total	167,191	190,409	181,509	182,045	182,435

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,260	35,189	32,134	31,445	31,760
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,544	15,583	15,622
State University of New York	2,393,560	2,403,987	2,487,798	2,369,985	2,385,763
Functional Total	2,516,028	2,542,317	2,624,873	2,507,972	2,525,692
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	56,922	52,830	50,528	51,016	51,537
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	56,922	52,830	50,528	51,016	51,537
Functional Total	56,922	52,830	50,528	51,016	51,537
GENERAL GOVERNMENT					
Budget, Division of the	6,824	4,200	4,500	4,635	4,774
Civil Service, Department of	388	517	421	425	431
Deferred Compensation	357	357	361	363	367
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	3,254	3,319	3,362	3,504	3,630
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	21,798	19,642	19,211	19,211	19,454
Public Employment Relations Board	100	275	275	352	159
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,675	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	19,024	17,005	16,413	16,583	16,748
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	38,914	38,475	38,211	38,250	38,615
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	95,691	85,356	84,411	85,013	85,902

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	59,862	59,620	58,421	60,292	60,834
Audit and Control, Department of	2,886	2,742	2,745	2,776	2,803
Law, Department of	22,156	16,412	22,202	22,381	22,623
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>84,904</u>	<u>78,774</u>	<u>83,368</u>	<u>85,449</u>	<u>86,260</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	997	(160,359)	(158,988)	(158,977)	(158,966)
Functional Total	<u>997</u>	<u>(160,359)</u>	<u>(158,988)</u>	<u>(158,977)</u>	<u>(158,966)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>4,262,805</u></u>	<u><u>4,080,662</u></u>	<u><u>4,601,162</u></u>	<u><u>4,567,350</u></u>	<u><u>4,609,609</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	20,995	18,359	18,289	18,354	18,414
Alcoholic Beverage Control	4,037	4,674	6,207	5,923	6,258
Banking Department	15,227	17,339	18,191	18,607	19,007
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	42	305	305	100	82
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	463	2,601	2,601	2,601	2,601
Energy Research and Development Authority	1,273	1,572	1,815	1,854	1,895
Insurance Department	51,239	71,346	76,699	78,025	79,384
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	38	326	326	326	326
Public Service, Department of	10,869	10,444	10,789	11,081	11,372
Racing and Wagering Board, State	4,822	6,973	8,458	5,635	5,767
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	109,005	133,939	143,680	142,506	145,106
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	65,280	49,534	45,938	41,957	41,957
Environmental Facilities Corporation	1,058	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	30,794	26,573	26,574	26,575	26,575
Functional Total	97,132	77,320	73,728	69,751	69,751
TRANSPORTATION					
Motor Vehicles, Department of	18,938	18,430	20,421	20,439	20,439
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	14,124	19,559	16,947	17,062	17,197
Functional Total	33,062	37,989	37,368	37,501	37,636
HEALTH					
Aging, Office for the	0	1	1	1	1
Health, Department of	139,293	128,970	127,756	130,625	137,680
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	139,293	128,970	127,756	130,625	137,680
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	8	22	0	0	0
Stem Cell and Innovation	16,608	44,700	50,000	61,373	63,673
Functional Total	155,909	173,693	177,757	191,999	201,354
SOCIAL WELFARE					
Children and Family Services, Office of	1,714	3,248	2,913	2,981	3,018
<i>OCFS</i>	1,714	3,248	2,913	2,981	3,018
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,441	14,571	15,068	15,446	15,812
Housing and Community Renewal, Division of	5,582	4,997	5,135	5,139	5,262
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	2	2	2	2
Temporary and Disability Assistance, Office of	3,275	1,099	1,101	1,103	1,105
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	3,275	1,099	1,101	1,103	1,105
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	47,152	71,393	64,377	65,146	66,803
Functional Total	72,164	95,310	88,596	89,817	92,002
MENTAL HYGIENE					
Mental Health, Office of	185,474	188,338	195,109	202,027	207,866
<i>OMH</i>	72,881	103,517	100,129	101,760	104,281
<i>OMH - Medicaid</i>	112,593	84,821	94,980	100,267	103,585
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	37,659	2,754	7,136	46,637	48,521
<i>OPWDD</i>	167	176	176	176	181
<i>OPWDD - Medicaid</i>	37,492	2,578	6,960	46,461	48,340
Alcoholism and Substance Abuse Services, Office of	20,988	18,804	19,150	19,790	20,275
<i>OASAS</i>	10,225	10,399	10,355	10,607	10,862
<i>OASAS - Medicaid</i>	10,763	8,405	8,795	9,183	9,413
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	24	56	54	54	59
Functional Total	244,145	209,952	221,449	268,508	276,721
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,039	1,177	1,562	1,966	2,016
Criminal Justice Services, Division of	10,551	14,709	12,987	13,090	13,093
Office of Victim Services	677	1,023	1,050	1,079	1,105
Statewide Financial System	0	21,159	30,637	40,221	40,321
Homeland Security and Emergency Services	3,314	54,164	44,798	25,556	15,556
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	625	742	771	801
Investigation, Temporary State Commission of	4	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	5,712	8,022	7,240	7,252	7,289
Parole, Division of	208	400	200	150	100
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	35,195	56,830	32,423	32,478	32,535
Wireless Network	2,592	2,086	1,086	1,086	86
Functional Total	59,292	160,195	132,725	123,649	112,902

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	29,940	40,384	42,742	43,804	48,032
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,035	3,101	3,226
State University of New York	1,347,215	1,494,737	1,540,500	1,441,372	1,483,792
Functional Total	1,408,517	1,576,505	1,625,582	1,528,485	1,576,180
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	26,062	31,289	26,992	24,544	24,900
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	26,062	31,289	26,992	24,544	24,900
Functional Total	26,062	31,289	26,992	24,544	24,900
GENERAL GOVERNMENT					
Budget, Division of the	7,738	8,662	9,016	9,264	9,407
Civil Service, Department of	600	1,172	1,199	1,229	1,262
Deferred Compensation	61	181	185	189	194
Elections, State Board of	3,867	6	0	0	0
Employee Relations, Office of	0	52	54	56	58
Financial Plan Control Board	682	819	864	893	919
General Services, Office of	4,340	3,801	4,975	3,925	4,057
Inspector General, Office of	27	87	87	87	87
Labor Management Committee	0	300	300	300	300
Lottery, Division of	154,715	144,197	147,666	146,566	149,763
Public Employment Relations Board	48	300	300	39	43
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	921	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	8,615	8,184	8,824	9,029	9,230
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	25,576	26,871	26,900	26,903	27,478
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	207,190	194,632	200,370	198,480	202,798

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	2,010	950	950	950	950
Judiciary	40,918	51,080	49,300	48,600	48,600
Audit and Control, Department of	290	5,490	5,493	5,531	5,672
Law, Department of	31,545	35,545	35,391	35,765	37,102
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>74,763</u>	<u>93,065</u>	<u>91,134</u>	<u>90,846</u>	<u>92,324</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	477	(211,599)	(211,574)	(211,550)	(211,518)
Functional Total	<u>477</u>	<u>(211,599)</u>	<u>(211,574)</u>	<u>(211,550)</u>	<u>(211,518)</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>2,487,718</u>	<u>2,572,290</u>	<u>2,607,807</u>	<u>2,554,536</u>	<u>2,620,156</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,560	5,022	5,045	5,642	6,162
Alcoholic Beverage Control	4,137	4,512	4,802	5,530	5,894
Banking Department	21,049	23,706	24,592	27,375	29,606
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	40	40	40
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	51,245	53,183	59,201	64,025
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,500	20,189	21,126	23,751	25,939
Racing and Wagering Board, State	5,206	5,445	5,285	5,968	6,487
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	104,262	111,770	115,684	129,173	139,939
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	36,337	38,265	35,332	35,552	34,552
Environmental Facilities Corporation	1,874	1,806	1,849	1,892	1,892
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,537	3,850	3,822	3,823	3,930
Functional Total	40,748	43,921	41,003	41,267	40,374
TRANSPORTATION					
Motor Vehicles, Department of	22,539	25,720	23,382	25,451	26,771
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,240	3,226	2,751	3,064	3,313
Functional Total	23,779	28,946	26,133	28,515	30,084
HEALTH					
Aging, Office for the	0	(1)	(1)	(1)	(1)
Health, Department of	33,230	44,912	47,296	53,401	56,223
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	33,230	44,912	47,296	53,401	56,223
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	94	126	0	0	0
Stem Cell and Innovation	428	0	0	0	0
Functional Total	33,752	45,037	47,295	53,400	56,222
SOCIAL WELFARE					
Children and Family Services, Office of	1,011	1,311	1,344	1,344	1,539
<i>OCFS</i>	1,011	1,311	1,344	1,344	1,539
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,912	14,831	15,917	17,879	19,145
Housing and Community Renewal, Division of	15,278	15,962	14,313	14,591	15,352
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	645	250	259	280	305
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	645	250	259	280	305
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	48,822	41,196	45,328	49,249	53,568
Functional Total	80,668	73,550	77,161	83,343	89,909
MENTAL HYGIENE					
Mental Health, Office of	174,536	202,100	295,325	352,005	386,057
<i>OMH</i>	166,745	186,067	171,376	183,370	200,107
<i>OMH - Medicaid</i>	7,791	16,033	123,949	168,635	185,950
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	127,034	36,765	111,265	172,868	195,467
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	127,034	36,765	111,265	172,868	195,467
Alcoholism and Substance Abuse Services, Office of	21,018	21,812	22,117	24,184	26,392
<i>OASAS</i>	10,246	11,228	8,567	9,488	10,411
<i>OASAS - Medicaid</i>	10,772	10,584	13,550	14,696	15,981
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	24	27	28	31	34
Functional Total	322,612	260,704	428,735	549,088	607,950
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	83	165	167	180	187
Office of Victim Services	1,122	1,219	1,236	1,506	1,644
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	98	214	221	235	235
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	125	740	824	891
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	811	878	900	1,020	1,020
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	19,893	4,598	4,746	5,069	5,069
Wireless Network	1,260	500	500	500	500
Functional Total	23,267	7,699	8,510	9,334	9,546

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,197	15,578	13,416	16,421	17,785
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,593	8,390	9,006
State University of New York	285,290	331,925	299,274	301,831	305,640
Functional Total	308,922	361,211	326,693	333,196	339,133
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	24,936	24,876	24,904	27,713	29,970
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	24,936	24,876	24,904	27,713	29,970
Functional Total	24,936	24,876	24,904	27,713	29,970
GENERAL GOVERNMENT					
Budget, Division of the	1,845	1,985	2,194	2,438	2,706
Civil Service, Department of	139	249	259	281	307
Deferred Compensation	152	185	176	191	208
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	809	911	995
General Services, Office of	1,663	2,155	2,144	2,136	2,239
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	9,569	9,913	11,503	12,327
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,744	8,206	7,982	8,919	9,654
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,788	19,627	22,397	23,749
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	43,384	41,942	43,104	48,776	52,185

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	22,113	16,698	14,458	14,908	14,108
Audit and Control, Department of	1,200	1,585	1,591	1,633	1,633
Law, Department of	10,411	8,984	9,971	11,254	11,254
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>33,724</u>	<u>27,267</u>	<u>26,020</u>	<u>27,795</u>	<u>26,995</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	354	533	565	616	629
Functional Total	<u>354</u>	<u>533</u>	<u>565</u>	<u>616</u>	<u>629</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>1,040,408</u>	<u>1,027,456</u>	<u>1,165,807</u>	<u>1,332,216</u>	<u>1,422,936</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	0	0	0	0	0
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	100	100	100	100
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	0	100	100	100	100
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	0	24,000	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	1,798	1,270	1,270	1,270	1,270
Functional Total	1,798	25,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	13,486	14,000	13,200	13,200	13,200
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	31,731	32,103	32,103	32,103	32,103
Functional Total	45,217	46,103	45,303	45,303	45,303
HEALTH					
Aging, Office for the	105,412	100,154	95,769	95,769	95,769
Health, Department of	27,741,069	29,602,721	27,754,593	27,900,395	31,183,479
<i>Medical Assistance</i>	26,060,316	27,741,216	25,952,888	26,047,190	29,274,434
<i>Medicaid Administration</i>	424,808	551,250	573,750	596,750	620,650
<i>Public Health</i>	1,255,945	1,310,255	1,227,955	1,256,455	1,288,395
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	0	(4,000)	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	27,846,481	29,698,875	27,850,362	27,996,164	31,279,248
SOCIAL WELFARE					
Children and Family Services, Office of	1,016,618	1,072,579	965,000	934,800	934,800
OCFS	1,016,618	1,072,579	965,000	934,800	934,800
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	260,806	240,868	202,192	192,720	183,247
Housing and Community Renewal, Division of	151,413	236,177	203,075	83,564	83,564
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	3,567,330	3,664,896	3,320,319	3,165,772	3,155,500
<i>Welfare Assistance</i>	2,720,980	2,825,646	2,505,369	2,350,822	2,350,250
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	846,350	839,250	814,950	814,950	805,250
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	4,996,167	5,214,520	4,690,586	4,376,856	4,357,111
MENTAL HYGIENE					
Mental Health, Office of	44,883	50,136	50,138	50,930	50,930
<i>OMH</i>	44,883	50,136	50,138	50,930	50,930
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	302,493	270,189	278,591	287,020	285,652
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	302,493	270,189	278,591	287,020	285,652
Alcoholism and Substance Abuse Services, Office of	112,869	104,680	104,970	107,670	107,670
<i>OASAS</i>	112,869	104,680	104,970	107,670	107,670
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	204	332	439	439	439
Functional Total	460,449	425,337	434,138	446,059	444,691
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	9	0	0	0	0
Criminal Justice Services, Division of	42,016	65,600	55,600	46,600	40,600
Office of Victim Services	32,228	31,328	28,978	28,978	28,978
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	265,657	259,910	259,910	259,910	259,910
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	108,610	65,000	50,000	50,000	50,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	448,520	421,838	394,488	385,488	379,488

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	13,730	32,779	0	0	0
Higher Education Services Corporation	62,312	58,421	8,521	8,521	8,521
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	43,240	91,205	7,941	7,941	7,941
Functional Total	119,282	182,405	16,462	16,462	16,462
EDUCATION					
Arts, Council on the	1,150	420	420	420	420
Education, Department of	4,046,991	5,941,779	5,019,594	3,867,870	3,708,428
<i>School Aid</i>	3,235,053	4,477,399	3,859,700	2,917,548	2,707,000
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	760,322	1,368,122	987,974	760,000	810,000
<i>All Other</i>	51,616	96,258	171,920	190,322	191,428
Functional Total	4,048,141	5,942,199	5,020,014	3,868,290	3,708,848
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	2,150	1,050	700	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	250	250	250	250
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	78,214	115,032	55,457	55,457	55,457
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	80,364	116,332	56,407	55,707	55,707

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	0	0	0	0	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(295,531)	(229,400)	(230,400)	(232,400)	(232,400)
Functional Total	<u>(295,531)</u>	<u>(229,400)</u>	<u>(230,400)</u>	<u>(232,400)</u>	<u>(232,400)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>37,750,888</u>	<u>41,843,579</u>	<u>38,278,730</u>	<u>36,959,299</u>	<u>40,055,828</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,967	2,184	2,155	2,198	2,242
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	1,063	1,622	1,903	1,833	1,718
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	3,030	3,806	4,058	4,031	3,960
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	24,230	28,095	26,478	26,478	26,478
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	1,220	1,041	1,010	1,010	1,010
Functional Total	25,450	29,136	27,488	27,488	27,488
TRANSPORTATION					
Motor Vehicles, Department of	1,115	1,329	1,262	1,276	1,290
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	9,623	9,031	8,280	8,368	8,456
Functional Total	10,738	10,360	9,542	9,644	9,746
HEALTH					
Aging, Office for the	6,364	7,402	7,626	7,626	7,626
Health, Department of	123,649	104,419	103,335	103,885	103,885
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	123,649	104,419	103,335	103,885	103,885
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	20,046	22,964	25,964	25,964	25,964
Stem Cell and Innovation	0	0	0	0	0
Functional Total	150,059	134,785	136,925	137,475	137,475
SOCIAL WELFARE					
Children and Family Services, Office of	57,376	60,037	59,985	60,207	58,328
<i>OCFS</i>	57,376	60,037	59,985	60,207	58,328
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	6,846	4,935	5,038	5,069	5,069
Labor, Department of	202,265	242,557	226,588	221,913	213,493
Housing and Community Renewal, Division of	7,939	8,416	7,737	5,390	5,451
National Commission Services	374	354	354	354	358

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	40	0	0	0	0
Temporary and Disability Assistance, Office of	148,544	153,591	152,889	154,091	155,305
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	148,544	153,591	152,889	154,091	155,305
Welfare Inspector General, Office of	193	405	408	408	414
Workers' Compensation Board	0	0	0	0	0
Functional Total	423,577	470,295	452,999	447,432	438,418
MENTAL HYGIENE					
Mental Health, Office of	697,898	737,105	522,505	523,579	528,337
<i>OMH</i>	977	578	578	578	584
<i>OMH - Medicaid</i>	696,921	736,527	521,927	523,001	527,753
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	996,560	1,145,640	915,570	868,914	876,084
<i>OPWDD</i>	81	116	116	116	116
<i>OPWDD - Medicaid</i>	996,479	1,145,524	915,454	868,798	875,968
Alcoholism and Substance Abuse Services, Office of	19,064	21,024	21,187	20,382	20,581
<i>OASAS</i>	5,798	5,879	6,072	5,112	5,161
<i>OASAS - Medicaid</i>	13,266	15,145	15,115	15,270	15,420
Developmental Disabilities Planning Council	1,224	1,197	1,197	1,197	1,209
Quality of Care and Advocacy for Persons with Disabilities, Commission on	3,711	3,821	4,089	4,089	4,129
Functional Total	1,718,457	1,908,787	1,464,548	1,418,161	1,430,340
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	14	0	0	0	0
Correctional Services, Department of	19,570	29,052	25,172	25,848	26,545
Criminal Justice Services, Division of	8,819	16,439	14,952	12,952	12,945
Office of Victim Services	1,231	1,200	1,200	1,200	1,200
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	2,477	3,012	3,124	2,951	2,634
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	28,219	20,315	20,468	20,519	19,384
Parole, Division of	0	100	100	100	100
Probation and Correctional Alternatives, Division of	56	0	0	0	7
State Emergency Management Office	0	0	0	0	0
State Police, Division of	4,900	5,000	5,050	5,100	5,150
Wireless Network	0	0	0	0	0
Functional Total	65,286	75,118	70,066	68,670	67,965

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	235	385	385	385	385
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	9,106	9,234	7,227	7,227	7,227
Functional Total	9,341	9,619	7,612	7,612	7,612
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	99,232	99,885	100,929	102,006	103,093
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	99,232	99,885	100,929	102,006	103,093
Functional Total	99,232	99,885	100,929	102,006	103,093
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	83	360	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	3,788	3,767	3,464	3,508	3,553
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	60	60	60	61
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	794	926	935	944	953
Functional Total	4,665	5,113	4,459	4,512	4,567

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	2,047	0	0	0	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	19,628	18,760	18,919	19,079	19,294
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>21,675</u>	<u>18,760</u>	<u>18,919</u>	<u>19,079</u>	<u>19,294</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	(479)	0	0	0
Functional Total	<u>0</u>	<u>(479)</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>2,531,510</u></u>	<u><u>2,765,185</u></u>	<u><u>2,297,545</u></u>	<u><u>2,246,110</u></u>	<u><u>2,249,958</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,379	9,801	9,537	9,539	9,540
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	245	245	245	245
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	128	381	406	367	344
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	8,507	10,427	10,188	10,151	10,129
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	139	350	350	350	350
Environmental Conservation, Department of	19,050	16,556	16,556	16,556	16,556
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,165	1,085	1,085	1,085	1,085
Functional Total	21,354	17,991	17,991	17,991	17,991
TRANSPORTATION					
Motor Vehicles, Department of	2,628	2,544	1,787	1,788	1,788
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	11,331	11,582	11,827	12,128	12,451
Functional Total	13,959	14,126	13,614	13,916	14,239
HEALTH					
Aging, Office for the	1,426	1,385	1,120	1,120	1,120
Health, Department of	149,183	182,234	156,688	156,688	156,688
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	149,183	182,234	156,688	156,688	156,688
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	9,040	12,938	13,010	13,010	13,010
Stem Cell and Innovation	0	0	0	0	0
Functional Total	159,649	196,557	170,818	170,818	170,818
SOCIAL WELFARE					
Children and Family Services, Office of	70,314	97,540	95,676	96,508	96,569
<i>OCFS</i>	70,314	97,540	95,676	96,508	96,569
<i>OCFS - Medicaid</i>	0	0	0	0	0
Human Rights, Division of	2,073	1,475	1,329	1,341	1,341
Labor, Department of	110,435	137,833	121,480	119,715	116,009
Housing and Community Renewal, Division of	946	5,184	4,115	3,307	3,387
National Commission Services	16,129	19,756	13,646	13,646	13,646

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	130,527	124,365	124,423	126,044	127,819
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	130,527	124,365	124,423	126,044	127,819
Welfare Inspector General, Office of	129	465	476	485	485
Workers' Compensation Board	3,393	3,532	3,532	3,532	3,624
Functional Total	333,946	390,150	364,677	364,578	362,880
MENTAL HYGIENE					
Mental Health, Office of	126,190	158,351	156,148	157,837	161,673
<i>OMH</i>	286	158	150	150	154
<i>OMH - Medicaid</i>	125,904	158,193	155,998	157,687	161,519
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	382,591	439,567	448,570	420,955	431,536
<i>OPWDD</i>	29,247	33,290	33,340	33,490	34,361
<i>OPWDD - Medicaid</i>	353,344	406,277	415,230	387,465	397,175
Alcoholism and Substance Abuse Services, Office of	1,930	2,326	2,466	2,394	2,405
<i>OASAS</i>	1,585	1,728	1,862	1,781	1,782
<i>OASAS - Medicaid</i>	345	598	604	613	623
Developmental Disabilities Planning Council	1,924	2,485	2,392	2,301	2,230
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,734	4,717	4,905	4,945	5,090
Functional Total	517,544	607,446	614,481	588,432	602,934
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	7	0	0	0	0
Correctional Services, Department of	1,019	1,152	1,210	1,242	1,277
Criminal Justice Services, Division of	9,835	23,330	16,830	10,080	10,080
Office of Victim Services	415	1,652	1,652	1,652	1,652
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	1,602	13,851	13,860	13,865	13,865
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	15,494	29,936	29,840	28,869	30,065
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	9	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	8,486	3,300	2,500	2,500	2,500
Wireless Network	0	0	0	0	0
Functional Total	36,867	73,221	65,892	58,208	59,439

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	3,855	4,994	4,994	4,994	4,994
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	266,424	283,005	227,631	227,631	227,631
Functional Total	270,279	287,999	232,625	232,625	232,625
EDUCATION					
Arts, Council on the	0	100	100	100	100
Education, Department of	88,895	127,649	155,953	151,292	136,230
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	88,895	127,649	155,953	151,292	136,230
Functional Total	88,895	127,749	156,053	151,392	136,330
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	38,268	93,650	48,000	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	8,021	4,987	4,987	4,987	4,987
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	957	3,811	3,811	3,898	3,988
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	656	212	212	212	217
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	215	283	288	294	302
Functional Total	48,117	102,943	57,298	9,391	9,494

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	<u>2009-2010</u> Actuals	<u>2010-2011</u> Projected	<u>2011-2012</u> Projected	<u>2012-2013</u> Projected	<u>2013-2014</u> Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	3,772	7,500	7,500	7,500	7,500
Audit and Control, Department of	(19)	0	0	0	0
Law, Department of	7,156	8,543	8,742	8,825	9,044
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>10,909</u>	<u>16,043</u>	<u>16,242</u>	<u>16,325</u>	<u>16,544</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>1,510,026</u>	<u>1,844,652</u>	<u>1,719,879</u>	<u>1,633,827</u>	<u>1,633,423</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	843	963	929	1,148	1,256
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	484	719	850	972	962
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	1,327	1,682	1,779	2,120	2,218
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	10,003	14,210	13,892	13,892	13,892
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	483	514	508	508	508
Functional Total	10,486	14,724	14,400	14,400	14,400
TRANSPORTATION					
Motor Vehicles, Department of	463	587	620	691	749
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	4,068	4,292	3,817	4,474	4,857
Functional Total	4,531	4,879	4,437	5,165	5,606
HEALTH					
Aging, Office for the	0	181	253	253	253
Health, Department of	21,195	20,741	20,470	20,470	20,470
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	0	0	0	0
<i>Public Health</i>	21,195	20,741	20,470	20,470	20,470
<i>Health - Medicaid Assistance</i>	0	0	0	0	0
Medicaid Inspector General, Office of	8,207	9,373	13,884	15,724	16,654
Stem Cell and Innovation	0	0	0	0	0
Functional Total	29,402	30,295	34,607	36,447	37,377
SOCIAL WELFARE					
Children and Family Services, Office of	10,230	11,113	11,235	11,235	10,152
OCFS	10,230	11,113	11,235	11,235	10,152
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	650	2,185	2,530	2,912	3,066
Labor, Department of	79,129	106,942	120,855	134,178	136,789
Housing and Community Renewal, Division of	3,035	3,292	3,357	2,877	3,140
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	37,987	45,469	52,725	61,218	64,524
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	37,987	45,469	52,725	61,218	64,524
Welfare Inspector General, Office of	92	207	214	221	221
Workers' Compensation Board	0	0	0	0	0
Functional Total	131,123	169,208	190,916	212,641	217,892
MENTAL HYGIENE					
Mental Health, Office of	309,185	349,063	284,866	278,268	302,304
<i>OMH</i>	391	255	249	302	327
<i>OMH - Medicaid</i>	308,794	348,808	284,617	277,966	301,977
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	543,761	525,448	474,156	462,690	495,761
<i>OPWDD</i>	57	51	62	70	74
<i>OPWDD - Medicaid</i>	543,704	525,397	474,094	462,620	495,687
Alcoholism and Substance Abuse Services, Office of	4,175	6,898	9,972	10,661	11,555
<i>OASAS</i>	0	0	2,595	2,640	2,821
<i>OASAS - Medicaid</i>	4,175	6,898	7,377	8,021	8,734
Developmental Disabilities Planning Council	249	518	611	702	761
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,528	1,819	1,895	2,174	2,351
Functional Total	858,898	883,746	771,500	754,495	812,732
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	11	0	0	0	0
Correctional Services, Department of	858	1,019	1,415	1,415	1,415
Criminal Justice Services, Division of	65	1,550	1,553	1,553	1,550
Office of Victim Services	0	326	326	326	326
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	1,353	1,531	1,671	1,586	1,432
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	7,425	9,496	9,739	10,450	10,389
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	22	0	0	0	3
State Emergency Management Office	0	0	0	0	0
State Police, Division of	1,070	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	10,804	13,922	14,704	15,330	15,115

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Actuals	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	98	100	100	100	100
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	68	50	50	50	50
Functional Total	166	150	150	150	150
EDUCATION					
Arts, Council on the	0	0	0	0	0
Education, Department of	40,314	44,697	44,384	56,263	58,069
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	40,314	44,697	44,384	56,263	58,069
Functional Total	40,314	44,697	44,384	56,263	58,069
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	6	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	2,608	1,803	1,910	2,313	2,481
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	27	30	34	37
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	307	410	470	542	576
Functional Total	2,921	2,240	2,410	2,889	3,094

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	<u>2009-2010 Actuals</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	91	0	0	0	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	9,346	8,718	9,018	9,876	9,876
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>9,437</u>	<u>8,718</u>	<u>9,018</u>	<u>9,876</u>	<u>9,876</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>1,099,409</u>	<u>1,174,261</u>	<u>1,088,305</u>	<u>1,109,776</u>	<u>1,176,529</u>

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
RBTF - Dedicated PIT in excess of Debt Service			7,641,039	7,770,640	8,150,751	7,973,920	8,406,437
LGAC - Dedicated Sales Tax in excess of Debt Service			2,122,759	2,297,792	2,362,797	2,438,651	2,589,660
CWCA - Real Estate Transfer Tax in excess of Debt Service			181,502	331,902	353,915	464,337	539,009
<u>Sending Agency</u>	<u>Fund</u>	<u>Account</u>					
Total All Other Transfers			1,654,722	1,491,578	792,182	520,098	520,078
OMH	339.10	Mental Hygiene	260,120	-	-	-	-
DMH	339.10	Mental Hygiene	197,371	-	-	-	-
CFS	339.YF	Yth Fac PerDiem	169,715	221,082	147,046	143,496	143,497
DOT	313.02	Metro Mass Tran	120,000	-	-	-	-
WCB	339.B7	Workers Comp Bd	100,495	91,824	-	-	-
ENCON	078.00	Environ Protect	93,484	10,000	-	-	-
SWN	339.LZ	Pub Safe Commun	90,000	-	-	-	-
TSCR	339.TS	TSCR Account	82,246	96,102	92,371	104,011	104,011
SUNY	345.1	S U Genl IFR	76,716	22,000	22,000	22,000	22,000
INSUR	339.B6	Insurance Dept	69,940	6,922	-	-	-
ENCON	312	Hazardous Waste	48,345	27,458	28,750	28,750	28,750
TADA OTH	265	Federal HHS	48,000	41,000	41,000	41,000	41,000
STATE	339.AG	Business Licens	37,185	36,961	36,757	36,171	36,171
CUNY	377.A1	CUNY Stabilizn	29,000	-	-	-	-
HLTH OTH	339.J6	EPIC Premium Ac	25,301	12,525	12,525	12,525	12,525
DOB	339.CR	Reven Arrearage	15,930	22,900	22,554	22,554	22,554
STATE	339.07	Fire Prev/Code	15,152	14,810	14,810	14,810	14,810
DMV	339.H7	DMV-Compulsory	12,950	14,675	15,278	15,310	15,334
ILS	390.01	Indigent Legal	12,200	8,100	100	100	100
LABOR	482.01	UI Sp Int & Pen	11,000	10,666	10,711	10,711	10,711
LABOR	305.01	OSH Trng & Educ	10,617	1,249	866	866	866
BANKING	339.A5	Banking Deptmnt	10,400	5,464	2,413	2,413	2,413
TADA OTH	339.GA	Adult Shelter	10,000	-	-	-	-
TADA OTH	339.L7	Fedl Admin Reim	10,000	-	-	-	-
OVS	339.62	Crim Jus Improv	9,946	13,139	11,229	11,229	11,229
LABOR	339.3	DOL Fee Penalty	8,450	8,381	8,372	8,372	8,372
DOT	225.01	Mobility Tax Tr	7,236	-	-	-	-
ENCON	301.48	Wst Tire Mgt/Re	6,919	5,946	5,946	5,946	5,946
CFS	265	Federal HHS	6,000	2,500	2,500	2,500	2,500
OMRDD	332.09	ICF/HCBS Loan	3,600	-	-	-	-
HLTH OTH	339.26	Cert of Need	3,176	1,086	1,086	1,086	1,086
OGS	339.YN	OGS Std & Purch	3,000	5,000	3,000	3,000	3,000
HLTH OTH	339.JA	Vital Rec Mgmt	2,997	2,252	2,252	2,252	2,252
PUB SVC	339.C3	Public Service	2,775	2,180	-	-	-
DOCS	339.CU	Spec Conserv Ac	2,722	-	-	-	-
STATE	339.LW	Local Wireless	2,500	-	-	-	-
DOB	339.ST	Systems & Tech	2,301	725	833	833	833
ENCON	301.XB	Mined Land Recl	2,200	1,753	1,850	1,850	1,850
TADA OTH	339.AX	Child Supp Rev	2,200	-	-	-	-
DOT	339.G7	DOT-Accident Da	2,000	-	-	-	-
OASAS	346	Subst Abuse Srv	2,000	-	-	-	-
SED OTH	339.05	Ofc of Professi	1,836	3,096	3,126	3,198	3,236
HLTH OTH	339.J1	Loc Pub Hlth	1,504	5	5	5	5
DOT	339.42	Tr Surplus Prop	1,500	803	803	803	803
DHCR	339.NG	Low Inc Housing	1,500	146	400	400	400
OGS	339.YL	OGS Bldg Admin	1,500	1,000	1,000	1,000	1,000
CIV SVC	339.ER	Exam & Misc Rev	1,485	1,503	1,506	1,506	1,506
HLTH OTH	339.H9	Prof Medic Cond	1,122	5,982	5,982	5,982	5,982
LABOR	305.02	OSHA Inspection	1,000	2,486	2,188	2,188	2,188
DMV	339.AE	Motorcycle Sfty	1,000	4	4	4	4
LABOR	339.BA	Public Work Enf	1,000	3,019	2,930	2,930	2,930
HLTH OTH	339.QC	Quality of Care	1,000	22	22	22	22
HLTH OTH	339.W4	Occ Hlth Clinic	928	2,738	2,738	2,738	2,738

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
HLTH OTH	339.Q6	Montrose S V H	814	1,316	1,316	1,316	1,316
ENCON	301.ZZ	Monitors-Aggre	785	-	-	-	-
HLTH OTH	339.FP	Funeral	753	8	8	8	8
HLTH OTH	339.21	Nurses Aide Reg	739	548	548	548	548
RACING	339.BJ	Bell Jar Collec	619	202	202	202	202
SED OTH	052.01	Loc Govt Record	549	992	1,099	1,110	1,118
DCJS	354.01	MVTIFA	536	-	-	-	-
HLTH OTH	339.28	Retir Community	502	2	2	2	2
STATE	339.CM	Reg Manu Hsg	500	-	-	-	-
HESC	339.VR	Volunteer Recru Serv	437	-	-	-	-
DHCR	360	Housing Develop	423	123	123	123	123
HLTH OTH	339.81	Envir.Lab.Fee A	410	183	183	183	183
DM & NA	290	Fed Oper Grant	389	-	-	-	-
AG&MKTS	290	Fed Oper Grant	360	-	-	-	-
DM & NA	339.61	Radiology	350	1,350	1,350	1,350	1,350
HLTH OTH	339.ES	Eating Disorder	350	-	-	-	-
ENCON	301.G8	S-Area Landfill	300	2,313	2,313	2,313	2,313
AG&MKTS	339.XE	Wine Industry	300	11	11	11	11
AG&MKTS	339.XX	A&M-Aggregated	300	340	436	436	436
RACING	339.TW	Statewide Gamin	282	282	-	-	-
ENCON	355.01	Great Lakes Pro	257	60	60	60	60
TADA OTH	339.GD	EBT/CBIC	250	-	-	-	-
ENCON	301.S4	Encon Magazine	244	131	131	131	131
OVS	290	Fed Oper Grant	234	-	-	-	-
HLTH OTH	339.AP	Administration	219	2,201	2,201	2,201	2,201
SED OTH	339.A4	Teacher Certif	204	861	886	905	915
AG&MKTS	339.99	Cons Food Indus	200	86	201	201	201
LABOR	339.DZ	Interest Assess	200	-	-	-	-
PUB SVC	339.US	Undrgrnd Sfty T	200	100	100	100	100
SED OTH	050.02	Prop Voc Sch Su	147	514	525	530	534
CFS	341.04	DFY-NYC Summer	143	-	-	-	-
OMRDD	290	Fed Oper Grant	112	-	-	-	-
TADA OTH	339.5	ODTA Trng Mgmt	100	-	-	-	-
DOT	313.06	Add Mass Trans	76	-	-	-	-
CFS	339.CY	Central Registry	75	-	-	-	-
STATE	339.72	NY Fire Academy	68	247	247	247	247
CQCAPD	339.EC	OASAS Fedl Sal	62	62	62	62	62
HLTH OTH	339.44	Hosp & Nurs Mgt	52	376	376	376	376
HLTH OTH	339.PS	Patient Safety	51	73	73	73	73
AG&MKTS	339.65	Farm Prod Insp-	50	337	383	383	383
AG&MKTS	339.CZ	Plant Industry	50	35	35	35	35
CFS	339.K1	Hwy Rev/Soc Sec	50	50	50	50	50
AG&MKTS	339.R4	Motor Fuel Qual	50	445	565	565	565
ABO	339.PO	Auth Bdgt Ofce	39	39	39	39	39
TADA OTH	359.02	Local Maximizat	38	-	-	-	-
PUB SVC	339.A6	Cable TV AcCnt	36	101	-	-	-
STATE	349.01	Lk George Park	36	197	197	197	197
LEGIS	339.F6	Lc On Solid Was	33	-	-	-	-
CFS	339.24	Child Care & Pr	30	-	-	-	-
CFS	339.88	Train Mgmt Eval	26	216	488	488	488
SED OTH	050.01	Tuition Reimb	23	48	48	48	48
ENCON	301.49	Oil & Gas Accou	17	48	48	48	48
HLTH OTH	339.95	Radio Hlth Prot	17	216	216	216	216
HLTH OTH	169.33	Medicaid Recov-	16	-	-	-	-
OFF TECH	334.21	Entrepreneurial	12	-	-	-	-
STATE	339.B8	Fire Protection	10	13	13	13	13
HLTH OTH	339.L2	Asst Living Res	9	9	9	9	9
CFS	307.01	Equip Loan Fund	7	7	7	7	7
SED OTH	339.TM	Teacher Ed Accr	7	14	14	14	14
ENCON	301.F7	Hazardous Sub B	6	17	17	17	17

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
HLTH OTH	339.B4	Radon Detct Dev	2	2	2	2	2
DOCS	290	Fed Oper Grant	1	-	-	-	-
STATE	339.DQ	Tug Hill Admin	1	10	10	10	10
OPWDDM	339.1	Mental Hygiene	-	234,868	-	-	-
SPEC REV	SRO.00	SRO Account	-	181,448	229,842	(50,000)	(50,000)
OMHM	339.1	Mental Hygiene	-	89,779	-	-	-
OMRDDM	339.13	Mental Hygiene	-	86,391	-	-	-
HSES	339.LZ	Pub Safe Commu	-	45,000	20,000	20,000	20,000
OGS	323.ZY	OGS Bldg Admin	-	10,000	-	-	-
TADA OTH	265.FS	Federal Stimulu	-	7,000	-	-	-
OASASM	339.1	Mental Hygiene	-	4,089	-	-	-
HLTH OTH	339.Q2	Helen Hayes Hos	-	2,996	2,996	2,996	2,996
HLTH OTH	339.9	Clin Lab Refrnc	-	2,260	2,260	2,260	2,260
DHCR	339.RR	NYC Rent Rev	-	1,423	3,887	3,887	3,887
HLTH OTH	339.03	S P A R C S	-	1,031	1,031	1,031	1,031
DMV	314.02	Mobile Source	-	1,024	2,726	2,814	2,881
DOCS	331.FM	Farm Program	-	1,000	-	-	-
HLTH OTH	339.AW	Spinal Injury	-	885	885	885	885
DHCR	339.H2	DHCR Mortgage S	-	840	1,868	1,868	1,868
OMH	290	Fed Oper Grant	-	750	-	-	-
DMV	339.IC	Accid Prevent C	-	604	604	604	604
LAW	339.LI	Litigation Sett	-	554	554	554	554
HLTH OTH	366.02	Drink Water DOH	-	368	368	368	368
LAW	339.DL	Medicaid Fraud	-	363	363	363	363
DHCR	290	Fed Oper Grant	-	356	992	992	992
TAX	339.DC	Investment Serv	-	310	541	541	541
DHCR	339.J5	DHCR HCA Applic	-	291	488	488	488
TAX	339.BK	Ind & Util Serv	-	288	441	441	441
OASAS	339.1	Mental Hygiene	-	233	-	-	-
ENCON	303.03	Oil Spill - DEC	-	211	574	574	574
AG&MKTS	325	State Fair Rece	-	201	554	554	554
OMH	265	Federal HHS	-	196	210	158	-
OMH	343	Mental Hygiene	-	169	2	58	48
ENCON	301.BJ	Indirect Charge	-	156	398	398	398
ECON DEV	339.DO	DED Marketing A	-	131	131	131	131
ECON DEV	339.P4	Procure Op News	-	100	100	100	100
DHCR	339.HI	Housing Indirec	-	100	100	100	100
ECON DEV	339.A7	Econ Devel Asst	-	92	92	92	92
AG&MKTS	022.01	Milk Prod Secur	-	74	174	174	174
CQCAPD	020.D1	Disab Tech Asst	-	51	51	51	51
APT	024.00	NYS Archvs Ptne	-	51	51	51	51
SED OTH	339.AI	High School Equ	-	51	51	51	51
HLTH OTH	339.Q3	NYC Veterans	-	44	44	44	44
AG&MKTS	339.R5	Weights Measure	-	37	37	37	37
HLTH OTH	339.Q5	WNY Vets Home	-	36	36	36	36
OMH	353	MH & MR Communi	-	35	-	23	19
HLTH OTH	339.Q4	NYS Home-Vetera	-	34	34	34	34
LAW	339.RF	Real Estate Fin	-	28	28	28	28
TAX	339.G3	Local Services	-	26	26	26	26
ORDA	333	Wintr Sports Ed	-	23	23	23	23
ORDA	385.01	Lk Placid Train	-	23	23	23	23
HLTH OTH	339.HQ	Adlt Hme Qlty E	-	21	21	21	21
SED OTH	365.01	Vocatl Rehabil	-	18	18	18	18
AG&MKTS	339.PD	Pet Dealer	-	7	7	7	7
AGING	020.RP	Aging Grants An	-	4	4	4	4
Pursuant to Ch 313, SRO Transfers to FMAP Contingency Fund 014			-	93,819	-	-	-
			11,600,022	11,891,912	11,659,645	11,397,006	12,055,184

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
Total Transfers to Debt Service Funds			1,844,153	1,649,856	1,765,615	1,756,849	1,686,253
DEBT SVC	311	Genl Debt Servc	1,844,153	1,649,856	1,765,615	1,756,849	1,686,253
Total Transfers to Capital Projects Funds			565,428	878,284	1,197,147	1,309,583	1,462,108
DOT	072.00	DHBTF	359,808	577,791	636,491	710,414	779,097
CAP PROJ	002.00	CPF	205,620	285,246	546,265	569,669	652,221
ERDA	002.CC	CPF - Auth Bond	-	19,247	15,161	13,500	14,790
OGS	002.00	CPF	-	(4,000)	(10,000)	-	-
ENCON	312	Hazardous Waste	-	-	5,000	15,000	15,000
DOT	002.00	CPF	-	-	3,000	-	-
CAP PROJ	002.CC	CPF - Auth Bond	-	-	1,230	1,000	1,000
Total Transfers to State Share Medicaid			2,400,459	2,434,929	3,012,806	3,110,306	3,073,361
DMH	339.1	Mental Hygiene	2,400,459	2,434,929	3,012,806	3,110,306	3,073,361
Total All Other Transfers			976,996	777,820	811,550	1,120,443	1,547,200
SUNY	345.22	SUNY Hosp Operations	197,269	200,000	200,000	200,000	200,000
SUNY	345.22	SUNY Hosp Medicaid	135,025	32,650	-	-	-
JUDICIAR	340.AA	CFIA Undistrib	118,387	123,000	125,000	126,000	130,000
TAX	334.12	Banking Service	68,523	66,045	66,045	66,045	66,045
SED GSPS	160.03	Education - New	63,745	83,000	-	-	-
SED GSPS	160.06	VLT - Education	59,540	-	-	-	-
ILS	390.01	Indigent Legal	51,072	40,000	40,000	40,000	40,000
DMH	304	M. Health Servi	38,985	-	-	-	-
DOT	225.01	Mobility Tax Tr	30,908	24,000	24,500	24,500	24,500
JUDICIAR	368.01	NYCCC Operat Of	30,278	30,000	30,200	30,700	32,700
OGS	323.15	Design & Constr	23,011	-	-	-	-
ORPS	339.BZ	IMP R P Tax Adm	18,396	-	-	-	-
ABC	339.DB	Alcohol Beverag	17,970	18,178	18,499	19,000	20,578
HLTH OTH	319	DOH Income Fund	17,586	16,079	16,079	16,079	16,079
DOT	313.02	Metro Mass Tran	15,757	19,100	19,100	19,100	19,100
DOT	313.01	Pub Tran Systems	14,183	19,000	19,000	19,000	19,000
DOCS	397	Corr Industries	14,000	14,000	14,000	14,000	14,000
SCI	339.SR	ES Stem Cell Tr	10,439	2,616	-	11,373	13,673
HLTH OTH	339.AW	Spinal Injury	8,500	1,770	1,575	-	-
SUNY	345.11	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.31	SUNY Stabilizat	8,107	-	-	-	-
CIV SVC	396	Health Ins Intr	7,852	7,843	7,843	7,843	7,843
DCJS	339.CA	Crimes Against	5,250	10,000	16,000	16,000	16,000
SED OTH	054.01	Chtr Sch Sti Ac	4,837	-	-	-	-
DM & NA	339.U2	Recruitment Inc	2,087	2,087	2,087	2,087	2,087
PAROLE	334.17	Neighbor Work P	1,515	-	-	-	-
CFS	020.78	WB Hoyt Memoria	1,339	1,244	1,244	1,244	1,244
DEBT SVC	316	Housing Debt	930	-	-	-	-
SED OTH	339.S1	Medicaid Income	733	-	-	-	-
SED OTH	339.D9	Batavia School	700	700	700	700	700
HLTH OTH	020.BD	Br Can Res & Ed	650	650	650	650	650
SED OTH	339.08	Rome School	512	400	512	512	512
HLTH OTH	020.AA	Alzheimers Dis	342	250	250	250	250
HLTH OTH	020.PR	Prostate Cancer	250	150	150	150	150
FPADJ	020.00	Combined Exp Tr	-	42,500	49,500	43,500	42,500
FMS	339.FM	FMS Account	-	9,000	45,000	55,100	60,000
DCJS	339.IM	Leg Svcs Assist	-	4,000	-	-	-
DHCR	316	Housing Debt	-	1,000	1,000	1,000	1,000
CIV SVC	396.01	CS EBD Adm Reim	-	240	240	240	240
DMH	339.1	Mental Hygiene	-	-	24,077	177,305	201,398
OASAS	339.1	Mental Hygiene	-	-	-	-	82,977
OMH	339.1	Mental Hygiene	-	-	26,313	144,386	273,751

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
OMHM	339.1	Mental Hygiene	-	-	-	-	-
DMH	339.13	M H Patient Inc	-	-	52,150	72,860	251,892
HLTH OTH	339.QC	Quality of Care	-	-	1,500	2,500	-
OMH	353	MH & MR Communi	-	-	18	-	-
OMH	265	Federal HHS	-	-	-	-	13
OMH	267	Fed Education	-	-	-	1	-
			5,787,036	5,740,889	6,787,118	7,297,181	7,768,922

**CASH COMBINING STATEMENT
GENERAL FUND
2010-2011
(millions of dollars)**

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	State Employees Victim's Fund	Refund Reserve	Personal Income Tax Reserve Fund	FMAP Contingency	Debt Reduction Reserve Fund	Eliminations	Total
Opening fund balance	0	1,031	21	96	175	0	906	0	0	73	0	2,302
Receipts:												
Taxes	39,701	0	0	0	0	0	0	0	0	0	0	39,701
Miscellaneous receipts	2,861	0	0	0	0	0	0	0	0	0	0	2,861
Federal grants	60	0	0	0	0	0	0	0	0	0	0	60
Total receipts	42,622	0	0	0	0	0	0	0	0	0	0	42,622
Disbursements:												
Grants to local governments	37,582	0	0	166	0	0	0	0	0	0	0	37,748
State operations	8,138	0	0	0	0	0	0	0	0	0	0	8,138
General State charges	4,117	0	0	0	0	2	0	0	0	0	0	4,119
Debt service	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	49,837	0	0	166	0	2	0	0	0	0	0	50,005
Other financing sources (uses):												
Transfers from other funds	42,944	0	0	154	0	2	0	0	281	0	(31,489)	11,892
Transfers to other funds	(36,325)	0	0	0	0	0	(905)	0	0	0	31,489	(5,741)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	6,619	0	0	154	0	2	(905)	0	281	0	0	6,151
Change in fund balance	(596)	0	0	(12)	0	0	(905)	0	281	0	0	(1,232)
Closing fund balance	(596)	1,031	21	84	175	0	1	0	281	73	0	1,070
Net Proposed Reductions	315	0	0	0	0	0	0	0	0	0	0	315
Closing fund balance	(281)	1,031	21	84	175	0	1	0	281	73	0	1,385

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>059</u>	<u>061</u>
Opening Fund Balance	2,289	69,282	9,390	144	49	4,217	3,397	0	4,629	19	26,184
Receipts:											
Taxes	0	0	0	0	0	0	0	3,269,866	0	0	1,167,000
Miscellaneous Receipts	140	20,067	7,000	390	265	3,375	10,000	0	250	0	3,877,000
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	140	20,067	7,000	390	265	3,375	10,000	3,269,866	250	0	5,044,000
Disbursements:											
Grants to Local Governments	0	6,610	7,000	0	0	0	6,280	3,269,866	4,750	0	4,715,381
State Operations	140	48,554	1,492	408	183	3,398	2,581	0	1,714	0	58,398
General State Charges	0	777	394	139	60	741	1,043	0	0	0	6,058
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,000	0	0	0	0	0	0	0	0	0
Total Disbursements	140	57,941	8,886	547	243	4,139	9,904	3,269,866	6,464	0	4,779,837
Other Financing Sources (Uses):											
Transfers from Other Funds	0	46,294	0	300	0	0	0	0	0	0	0
Transfers to Other Funds	0	(109)	0	(56)	0	(562)	(2,201)	(29,704)	0	0	(290,345)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	46,185	0	244	0	(562)	(2,201)	(29,704)	0	0	(290,345)
Change in Fund Balance	0	8,311	(1,886)	87	22	(1,326)	(2,105)	(29,704)	(6,214)	0	(26,182)
Closing Fund Balance	2,289	77,593	7,504	231	71	2,891	1,292	(29,704)	(1,585)	19	2

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>073</u>	<u>160</u>	<u>221</u>	<u>225</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>	<u>290</u>	<u>300</u>	<u>301</u>
Opening Fund Balance	80,730	12,021	17,225	53,958	(2,489)	25,995	(22,219)	0	222,804	924	(9,075)
Receipts:											
Taxes	456,300	0	0	1,485,000	0	0	0	0	0	0	0
Miscellaneous Receipts	202,246	3,192,571	22,000	208,300	100,450	53,763	2,779	2,704	(28,031)	9,768	86,304
Federal Grants	0	0	650	0	1,682,742	38,353,856	6,003,295	82,199	1,181,513	0	0
Total Receipts	658,546	3,192,571	22,650	1,693,300	1,783,192	38,407,619	6,006,074	84,903	1,153,482	9,768	86,304
Disbursements:											
Grants to Local Governments	659,106	3,093,000	0	1,715,536	1,682,550	33,142,580	5,391,320	74,834	721,476	0	0
State Operations	0	163,839	21,036	0	61,988	468,323	558,634	5,971	372,263	7,868	78,103
General State Charges	0	9,569	0	0	10,044	79,314	41,265	1,098	41,347	1,945	19,884
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	659,106	3,266,408	21,036	1,715,536	1,754,582	33,690,217	5,991,219	81,903	1,135,086	9,813	97,987
Other Financing Sources (Uses):											
Transfers from Other Funds	0	146,000	0	24,000	0	0	0	0	500	0	23,412
Transfers to Other Funds	0	(63,000)	0	(1,764)	(28,610)	(4,717,402)	(14,855)	(3,000)	(18,896)	(71)	(15,530)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	83,000	0	22,236	(28,610)	(4,717,402)	(14,855)	(3,000)	(18,396)	(71)	7,882
Change in Fund Balance	(560)	9,163	1,614	0	0	0	0	0	0	(116)	(3,801)
Closing Fund Balance	80,170	21,184	18,839	53,958	(2,489)	25,995	(22,219)	0	222,804	808	(12,876)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>302</u>	<u>303</u>	<u>305</u>	<u>306</u>	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>
Opening Fund Balance	56,065	4,839	11,057	4,812	515	48,667	(5,411)	66	9,176	3,503	1,183
Receipts:											
Taxes	0	0	0	0	0	1,773,100	0	0	0	0	0
Miscellaneous Receipts	58,931	55,839	45,278	7,700	80	21,810	49,400	0	1,719	115	200
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	58,931	55,839	45,278	7,700	80	1,794,910	49,400	0	1,719	115	200
Disbursements:											
Grants to Local Governments	0	0	59	0	0	1,811,756	0	0	0	0	0
State Operations	33,932	24,973	34,580	10,500	130	3,986	35,719	0	950	58	163
General State Charges	9,650	4,616	10,409	98	0	1,645	14,121	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	43,582	29,589	45,048	10,598	130	1,817,387	49,840	0	950	58	163
Other Financing Sources (Uses):											
Transfers from Other Funds	1,300	20,306	0	0	0	54,821	0	0	0	0	0
Transfers to Other Funds	(3,023)	(37,169)	(3,735)	0	(7)	(31,369)	(1,094)	0	0	0	(23)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,723)	(16,863)	(3,735)	0	(7)	23,452	(1,094)	0	0	0	(23)
Change in Fund Balance	13,626	9,387	(3,505)	(2,898)	(57)	975	(1,534)	0	769	57	14
Closing Fund Balance	69,691	14,226	7,552	1,914	458	49,642	(6,945)	66	9,945	3,560	1,197

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>338</u>	<u>339</u>	<u>340</u>	<u>341</u>	<u>345</u>	<u>346</u>	<u>349</u>	<u>354</u>	<u>355</u>	<u>359</u>	<u>360</u>
Opening Fund Balance	721	802,198	1,457	0	773,992	2,114	1,248	(22,853)	1,188	23	10,423
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	50	3,219,475	750	50	3,508,123	6,452	1,208	127,500	380	3,709	1,000
Federal Grants	0	653	0	0	0	0	0	0	0	0	0
Total Receipts	50	3,220,128	750	50	3,508,123	6,452	1,208	127,500	380	3,709	1,000
Disbursements:											
Grants to Local Governments	0	3,104,989	119,820	0	0	6,893	0	4,980	0	0	852
State Operations	0	4,924,980	2,500	15	3,615,965	546	655	125,163	77	0	641
General State Charges	0	1,492,819	400	8	324,201	31	246	52	43	0	492
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	(15)	0	0	0	0	0	0	0	0	0
Total Disbursements	0	9,522,773	122,720	23	3,940,166	7,470	901	130,195	120	0	1,985
Other Financing Sources (Uses):											
Transfers from Other Funds	0	10,221,912	123,000	0	525,184	0	0	0	0	0	0
Transfers to Other Funds	0	(4,005,339)	(1,431)	0	(73,166)	(77)	(197)	(26)	(60)	0	(123)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	6,216,573	121,569	0	452,018	(77)	(197)	(26)	(60)	0	(123)
Change in Fund Balance	50	(86,072)	(401)	27	19,975	(1,095)	110	(2,721)	200	3,709	(1,108)
Closing Fund Balance	771	716,126	1,056	27	793,967	1,019	1,358	(25,574)	1,388	3,732	9,315

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>362</u>	<u>365</u>	<u>366</u>	<u>368</u>	<u>369</u>	<u>377</u>	<u>385</u>	<u>390</u>	<u>480</u>	<u>482</u>
Opening Fund Balance	(1,620)	144	(2,712)	(13,370)	2,694	107,301	29	14,135	79,060	8,630
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	130	7,572	0	22,000	132,200	200	71,000	47,802	9,400
Federal Grants	0	0	0	0	0	0	0	0	371,310	0
Total Receipts	3,068	130	7,572	0	22,000	132,200	200	71,000	419,112	9,400
Disbursements:										
Grants to Local Governments	0	82	0	0	0	0	0	72,691	14,200	0
State Operations	3,219	70	11,893	25,700	19,200	126,066	163	25,875	308,869	1,940
General State Charges	0	0	2,532	4,500	7,200	6,134	0	125	96,043	879
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,219	152	14,425	30,200	26,400	132,200	163	98,691	419,112	2,819
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	30,000	0	0	0	40,000	0	0
Transfers to Other Funds	0	(18)	(368)	0	0	0	(23)	(8,909)	0	(10,666)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(18)	(368)	30,000	0	0	(23)	31,091	0	(10,666)
Change in Fund Balance	(151)	(40)	(7,221)	(200)	(4,400)	0	14	3,400	0	(4,085)
Closing Fund Balance	(1,771)	104	(9,933)	(13,570)	(1,706)	107,301	43	17,535	79,060	4,545

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>484</u>	<u>486</u>	<u>SRO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	91	(31)	817	2,399,625	0	2,399,625
Receipts:						
Taxes	0	0	0	8,151,266	0	8,151,266
Miscellaneous Receipts	0	0	0	15,174,482	0	15,174,482
Federal Grants	11,284	298,975	0	47,986,477	0	47,986,477
Total Receipts	<u>11,284</u>	<u>298,975</u>	<u>0</u>	<u>71,312,225</u>	<u>0</u>	<u>71,312,225</u>
Disbursements:						
Grants to Local Governments	0	228,057	0	59,854,668	0	59,854,668
State Operations	11,284	60,062	(1,499)	11,263,268	0	11,263,268
General State Charges	0	10,856	(61)	2,200,717	0	2,200,717
Debt Service	0	0	0	0	0	0
Capital Projects	0	0	0	1,985	0	1,985
Total Disbursements	<u>11,284</u>	<u>298,975</u>	<u>(1,560)</u>	<u>73,320,638</u>	<u>0</u>	<u>73,320,638</u>
Other Financing Sources (Uses):						
Transfers from Other Funds	0	0	0	11,257,029	(3,964,642)	7,292,387
Transfers to Other Funds	0	0	(181,448)	(9,544,376)	3,964,642	(5,579,734)
Bond & Note Proceeds	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>0</u>	<u>0</u>	<u>(181,448)</u>	<u>1,711,653</u>	<u>0</u>	<u>1,711,653</u>
Change in Fund Balance	<u>0</u>	<u>0</u>	<u>(179,888)</u>	<u>(297,760)</u>	<u>0</u>	<u>(297,760)</u>
Closing Fund Balance	<u>91</u>	<u>(31)</u>	<u>(179,071)</u>	<u>2,101,865</u>	<u>0</u>	<u>2,101,865</u>

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.00-Ment Hyg Gifts	2,288	0	140	0	0	0	140	0	0	140	0	0	0	0	0	0	140	2,288
020.00-Combined Exp Tr	(35)	0	0	0	0	42,500	42,500	0	0	42,500	0	0	0	0	0	0	42,500	(35)
020.01-Planting Fields	1,203	0	350	0	0	0	350	0	209	47	7	0	94	0	0	0	357	1,196
020.03-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.06-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20-DOCS Gift & Don	77	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	77
020.22-Helen Hayes Hsp	68	0	3	0	0	0	3	0	0	3	0	0	0	0	0	0	3	68
020.23-Oxford Donation	133	0	22	0	0	0	22	0	(1)	23	0	0	0	0	0	0	22	133
020.25-Donat-St.Albans	5	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	5
020.28-CVB Gifts & Beq	46	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	43
020.29-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.30-Donations-Batav	35	0	10	0	0	0	10	0	0	8	0	0	0	0	0	0	8	37
020.33-Montrose Donati	99	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	99
020.36-IBR Genetic Cou	224	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	105	224
020.3A-Tech Transfer	21	0	20	0	0	0	20	0	0	29	0	0	0	0	0	0	29	12
020.49-Spec Events	315	0	1,246	0	0	0	1,246	0	0	181	0	0	0	0	0	0	181	1,380
020.62-L.M. Josephthal	52	0	3	0	0	0	3	0	0	2	0	0	0	0	0	0	2	53
020.63-RPMI Grnt & Beq	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.64-S U Restrict Cur	18	0	1,100	0	0	0	1,100	0	200	800	12	0	144	0	0	0	1,156	(38)
020.69-CBVH Vend Stand	1,148	0	1,109	0	0	0	1,109	0	51	879	0	0	215	0	0	0	1,145	1,112
020.76-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.77-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.78-WB Hoyt Memoria	3,909	0	110	0	0	1,244	1,354	1,490	0	0	0	0	0	0	0	10	1,500	3,763
020.79-CBVH Gift & Beq	178	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	168
020.82-St Transm Money	14,371	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	21,441
020.83-Human Rghts Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.A7-Gifts, Grants &	395	0	150	0	0	0	150	0	60	277	0	0	18	0	0	0	355	190
020.AA-Alzheimers Dis	1,151	0	0	0	0	250	250	0	0	355	0	0	0	0	0	0	355	1,046
020.AB-Local Gov Comm	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.AH-Prostate/Testic	293	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	6	287
020.AR-Autism Aware &	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
020.AU-Emergency Serv	4,805	0	2,688	0	0	1,500	4,188	3,954	126	3	5	0	59	0	0	44	4,191	4,802
020.B1-Batavia-Charlot	359	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	356
020.B3-Rome-Gifts And	1	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	2
020.B4-DFY Rec & Welfr	21	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	1	20
020.B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.BD-Br Can Res & Ed	7,186	0	0	0	0	650	650	0	187	463	0	0	0	0	0	0	650	7,186
020.CE-Community Relat	1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.D1-Disab Tech Asst	139	0	155	0	0	0	155	0	58	24	2	0	27	0	0	51	162	132
020.E1-Missing Children	768	0	320	0	0	0	320	0	235	130	7	0	113	0	0	0	485	603
020.E5-DMNA Youth Prog	25	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	25
020.EC-Erie Canal Muse	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
020.F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.GB-Grants and Bequ	20	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	20
020.GW-CCF Grts & Beqs	159	0	87	0	0	0	87	0	25	54	1	0	9	0	0	0	89	157
020.HH-OMH Grant & Beq	473	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	473
020.LP-Life Pass It on	143	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	143
020.MG-Misc. Gifts Acc	27,081	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	2,000	0	2,000	27,081
020.MS-Multiple Sclero	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
020.PM-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.PR-Prostate Cancer	1,904	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	2,054
020.PT-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.RP-Aging Grants An	(1)	0	2	0	0	0	2	0	(3)	1	0	0	(1)	0	0	4	1	0
020.RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.XK-Grants Account	1,852	0	2,000	0	0	0	2,000	1,156	117	250	3	0	99	0	0	0	1,625	2,227
020.ZS-Grants	125	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	425
020.ZV-Misc. Gifts Acc	0	0	10	0	0	0	10	10	0	0	0	0	0	0	0	0	10	0
020.ZZ-Nutrition Outre	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
023.00-N Y Int Lawyers	9,390	0	7,000	0	0	0	7,000	7,000	713	729	50	0	394	0	0	0	8,886	7,504
024.00-NYS Archvs Pine	145	0	390	0	0	300	690	0	286	113	9	0	139	0	0	56	603	232
025.CP-Child Performer	51	0	265	0	0	0	265	0	123	56	4	0	60	0	0	0	243	73
050.01-Tuition Reimb	2,009	0	375	0	0	0	375	0	0	250	0	0	0	0	0	48	298	2,086
050.02-Prop Voc Sch Su	2,208	0	3,000	0	0	0	3,000	0	1,514	1,587	47	0	741	0	0	514	4,403	805
052.01-Loc Govt Record	3,396	0	10,000	0	0	0	10,000	6,280	2,127	386	68	0	1,043	0	0	2,201	12,105	1,291
053.00-Sch Tax Relief	0	3,269,866	0	0	0	0	3,269,866	3,269,866	0	0	0	0	0	0	0	29,704	3,299,570	(29,704)
054.01-Chtr Sch Sti Ac	4,627	0	250	0	0	0	250	4,750	0	1,714	0	0	0	0	0	0	6,464	(1,587)
056.01-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.02-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
059.01-Alcohol&Subst A	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
061.01-Tobacco Cntr &	127	0	0	0	0	0	0	0	2,095	81	65	0	1,020	0	0	503	3,764	(3,637)
061.02-Health Care Srv	11,184	0	0	0	0	0	0	115,885	0	0	0	64	0	0	0	115,949	(104,765)	
061.03-Medicaid Fraud	152	0	0	0	0	0	0	0	55	237	3	0	30	0	0	0	325	(173)
061.04-Medical Assist.	1,174	0	0	0	0	0	0	2,866,044	1,510	3,592	47	0	735	0	0	2,871,928	(2,870,754)	
061.05-Enhanced Com	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	0
061.06-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.07-HCRA Program	17,643	0	0	0	0	0	0	335,680	0	23,334	0	0	0	0	0	0	359,014	(341,371)
061.09-HCRA Transition	49	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	49
061.22-EMS Training	1,518	0	0	0	0	0	0	0	2,794	12,978	92	0	1,357	0	0	667	17,888	(16,370)
061.29-Child Health In	20,847	0	0	0	0	0	0	334,598	1,273	5,028	29	0	637	0	0	394	341,959	(321,112)
061.99-HCRA Undistrib	(5,616)	1,167,000	3,877,000	0	0	0	5,044,000	0	0	0	0	0	0	0	0	287,710	287,710	4,750,674
061.AF-Hospital Based	8,447	0	0	0	0	0	0	15,029	0	0	0	0	0	0	0	0	15,029	(6,582)
061.AH-Adult Home Res	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.BO-Primary Care In	38	0	0	0	0	0	0	0	487	0	15	0	232	0	0	125	859	(821)
061.DN-Prev Coll Monit	51	0	0	0	0	0	0	0	2,085	199	64	0	991	0	0	492	3,831	(3,780)
061.H3-Pilot Health In	(11)	0	0	0	0	0	0	0	1,051	92	32	0	494	0	0	286	1,955	(1,966)
061.IN-Indigent Care	18,366	0	0	0	0	0	0	870,231	0	0	0	0	0	0	0	0	870,231	(851,865)
061.J6-EPIC Premium	(48,492)	0	0	0	0	0	0	176,922	0	0	0	0	0	0	0	0	176,922	(225,414)
061.LB-Health Occup De	156	0	0	0	0	0	0	0	766	78	26	0	374	0	0	129	1,373	(1,217)
061.LC-Matern & Ch HIV	340	0	0	0	0	0	0	932	0	0	0	0	0	0	0	0	932	(592)
061.LE-Health Care Del	182	0	0	0	0	0	0	0	265	17	8	0	124	0	0	39	453	(271)
068.01-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.01-Transit Authori	46,190	356,000	157,878	0	0	0	513,878	505,500	0	0	0	0	0	0	0	0	505,500	54,568
073.02-Railroad Accoun	8,154	63,700	27,846	0	0	0	91,546	89,200	0	0	0	0	0	0	0	0	89,200	10,500
073.03-DMTF	26,383	36,600	16,522	0	0	0	53,122	64,406	0	0	0	0	0	0	0	0	64,406	15,099
160.03-Education - New	0	0	2,065,000	0	0	146,000	2,231,000	2,231,000	0	0	0	0	0	0	0	0	2,231,000	0
160.04-State Lottery	6,423	0	170,371	0	0	0	170,371	0	16,208	136,759	504	0	7,897	0	0	0	161,368	15,426
160.05-VLT - Admin	597	0	17,200	0	0	0	17,200	0	3,434	6,828	106	0	1,672	0	0	0	12,940	5,757
160.06-VLT - Education	5,004	0	920,000	0	0	0	920,000	862,000	0	0	0	0	0	0	0	63,000	925,000	4
221.00-Comb Student Ln	17,226	0	22,000	650	0	0	22,650	0	0	21,036	0	0	0	0	0	0	21,036	18,840
225.01-Mobility Tax Tr	53,935	1,365,000	0	0	0	24,000	1,389,000	1,389,000	0	0	0	0	0	0	0	0	1,389,000	53,935
225.02-MTA Aid Trust	7,401	120,000	208,300	0	0	0	328,300	326,536	0	0	0	0	0	0	0	1,764	328,300	7,401
300.01-E F C Admin Acc	591	0	5,368	0	0	0	5,368	0	3,277	744	0	0	1,021	0	0	0	5,042	917
300.02-Encon Admin Acc	333	0	4,400	0	0	0	4,400	0	3,841	9	(3)	0	924	0	0	71	4,842	(109)
301.01-EnCon Energy Ef	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
301.12-EnCon-Seized As	210	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	230
301.48-Wst Tire Mgt/Re	10,099	0	20,000	0	0	0	20,000	0	7,500	8,554	0	0	2,700	0	0	5,946	24,700	5,399
301.49-Oil & Gas Accou	207	0	108	0	0	0	108	0	0	98	0	0	0	0	0	48	146	169
301.52-Marine/Coastal	72	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	73
301.BJ-Indirect Charge	4,491	0	234	0	0	9,912	10,146	0	2,003	4,669	63	0	549	0	0	156	7,440	7,197
301.F7-Hazardous Sub B	43	0	350	0	0	0	350	0	179	33	8	0	111	0	0	17	348	45
301.G8-S-Area Landfill	20	0	22	0	0	0	22	0	0	0	0	0	0	0	0	2,313	2,313	(2,271)
301.H4-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.IC-Fed Indirect R	2,197	0	30	0	0	13,500	13,530	0	7,961	622	(4)	0	4,237	0	0	0	12,816	2,911
301.K5-Low Level Radio	(4,502)	0	2,695	0	0	0	2,695	0	1,853	102	46	0	864	0	0	330	3,195	(5,002)
301.K6-Recreation Acco	(6,477)	0	14,815	0	0	0	14,815	0	10,137	3,016	243	0	1,146	0	0	255	14,797	(6,459)
301.PS-Public Safety R	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
301.R9-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.S4-Encon Magazine	857	0	765	0	0	0	765	0	0	514	0	0	0	0	0	131	645	977
301.S5-Environment Enf	(20,780)	0	31,300	0	0	0	31,300	0	15,898	3,024	466	0	6,382	0	0	2,997	28,767	(18,247)
301.S6-Natural Resourc	(14,420)	0	5,750	0	0	0	5,750	0	5,006	397	144	0	1,782	0	0	400	7,729	(16,399)
301.S7-Town Of Riverh	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.TV-ATV DESF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.W8-UST-Trust Recov	34	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	46
301.XB-Mined Land Recl	31	0	4,110	0	0	0	4,110	0	2,041	117	78	0	680	0	0	1,753	4,669	(528)
301.ZZ-Monitors-Aggre	18,797	0	6,091	0	0	0	6,091	0	3,103	143	88	0	1,433	0	0	1,184	5,951	18,937

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302.00-Conservation	9,596	0	52,031	0	0	1,300	53,331	0	19,325	9,709	942	0	8,489	0	0	1,723	40,188	22,739
302.02-Marine Resource	3,026	0	4,200	0	0	0	4,200	0	2,848	866	72	0	1,141	0	0	0	4,927	2,299
302.03-Migratory Bird	219	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	184
302.04-License Guide	148	0	55	0	0	0	55	0	43	6	1	0	19	0	0	0	69	134
302.06-Fish And Game T	42,478	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	1,300	1,300	43,678
302.07-Surf Clam/Quahog	332	0	65	0	0	0	65	0	22	29	0	0	1	0	0	0	52	345
302.08-Habitat Account	258	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	279
302.09-Venison Donatio	11	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	36
303.01-Oil Spill - DAC	3	0	109	0	0	705	814	0	439	83	18	0	253	0	0	0	793	24
303.02-Oil Sp Relocatn	3	0	30	0	0	301	331	0	179	16	6	0	87	0	0	0	288	46
303.03-Oil Spill - DEC	(1)	0	0	0	0	19,300	19,300	0	10,641	747	240	0	4,276	0	0	3,163	19,067	232
303.04-Oil Spill - DAC	4,836	0	42,000	0	0	0	42,000	0	0	12,604	0	0	0	0	0	20,306	32,910	13,926
303.05-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.01-OSH Trng & Educ	5,232	0	21,785	0	0	0	21,785	59	9,749	7,459	365	0	4,780	0	0	1,249	23,661	3,356
305.02-OSHA Inspection	5,825	0	23,493	0	0	0	23,493	0	11,563	5,076	368	0	5,629	0	0	2,486	25,122	4,196
306.01-Client Protectn	4,813	0	7,700	0	0	0	7,700	0	620	9,880	0	0	98	0	0	0	10,598	1,915
307.01-Equip Loan Fund	516	0	80	0	0	0	80	0	0	130	0	0	0	0	0	7	137	459
313.01-Pub Tran Systems	1,342	57,200	410	0	0	35,721	93,331	92,099	743	355	25	0	389	0	0	0	93,611	1,062
313.02-Metro Mass Tran	39,836	1,715,900	21,400	0	0	19,100	1,756,400	1,719,657	2,547	235	81	0	1,256	0	0	31,369	1,755,145	41,091
313.03-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
314.01-Operating Permit	(5,923)	0	12,100	0	0	0	12,100	0	7,205	1,607	284	0	3,777	0	0	0	12,873	(6,696)
314.02-Mobile Source	511	0	37,300	0	0	0	37,300	0	21,333	4,625	665	0	10,344	0	0	1,094	38,061	(250)
318.01-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.01-Legisl Comp R&D	9,119	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	9,886
321.02-Demographics/Re	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60
332.01-Brummer Award	19	0	6	0	0	0	6	0	6	0	0	0	0	0	0	0	6	19
332.02-William Vorce F	229	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	229
332.03-Rocky Pocantico	13	0	110	0	0	0	110	0	0	51	0	0	10	0	0	0	51	72
332.04-OMR Nonexpnd Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.05-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.08-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.09-ICF/HCBS Loan	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27
332.10-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.00-Wintr Sports Ed	1,182	0	200	0	0	0	200	0	0	163	0	0	0	0	0	23	186	1,196
335.00-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.01-Arts Capital Re	721	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	771
340.AA-CFIA Undistrib	1,457	0	750	0	0	123,000	123,750	119,820	2,000	500	0	0	400	0	0	1,431	124,151	1,056
341.04-DY-NYC Summer	1	0	50	0	0	0	50	0	15	0	0	0	8	0	0	0	23	28
345.09-L I Vets Home	6,539	0	39,599	0	0	0	39,599	0	24,776	16,532	0	0	0	0	0	0	41,308	4,830
345.10-S U Genl IFR	469,099	0	645,000	0	0	150	645,150	0	128,798	415,078	0	0	6,437	0	0	22,000	572,313	541,936
345.11-S U Inc Offset	(60,614)	0	(2,900)	0	0	24,898	21,998	0	0	0	0	0	0	0	0	0	0	(38,616)
345.12-Gen Rev Offset	55,955	0	1,201,464	0	0	2,900	1,204,364	0	1,123,292	195,114	0	0	0	0	0	34,586	1,352,992	(92,673)
345.22-S U Hosp Ops	152,997	0	1,560,455	0	0	462,650	2,023,105	0	939,401	627,230	0	0	317,764	0	0	16,580	1,900,975	275,127
345.31-SUNY Stabilizat	19,924	0	53,761	0	0	0	53,761	0	140	20,470	0	0	0	0	0	0	20,610	53,075
345.46-S U Hosp Sponsd	55,635	0	34,533	0	0	0	34,533	0	30,009	21,380	0	0	0	0	0	0	51,389	38,779
345.47-SUNY Tuition Re	74,457	0	(23,789)	0	0	34,586	10,797	0	39,792	33,953	0	0	0	0	0	0	73,745	11,509
345.97-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.00-Subst Abuse Srv	2,112	0	6,452	0	0	0	6,452	6,893	63	481	2	0	31	0	0	77	7,547	1,017
349.01-Lk George Park	1,249	0	1,208	0	0	0	1,208	0	533	102	20	0	246	0	0	197	1,098	1,359
354.01-MVTIFA	5,173	0	4,700	0	0	0	4,700	4,980	226	37	0	0	52	0	0	26	5,321	4,552
354.02-St Police MV En	(28,026)	0	122,800	0	0	0	122,800	0	119,800	5,100	0	0	0	0	0	0	124,900	(30,126)
355.01-Great Lakes Pro	1,187	0	380	0	0	0	380	0	75	0	2	0	43	0	0	60	180	1,387
359.01-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.02-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.03-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154
360.00-Housing Develop	10,424	0	1,000	0	0	0	1,000	852	591	34	16	0	492	0	0	123	2,108	9,316
362.01-DOT Comm Veh Sa	(1,619)	0	3,068	0	0	0	3,068	0	2,759	460	0	0	0	0	0	0	3,219	(1,770)
365.01-Vocatl Rehabil	145	0	130	0	0	0	130	82	0	70	0	0	0	0	0	18	170	105
366.01-Drinking Water	926	0	1,499	0	0	0	1,499	0	1,206	283	0	0	362	0	0	0	1,851	574
366.02-Drink Water DOH	(3,636)	0	6,073	0	0	0	6,073	0	4,075	284	143	0	2,170	0	0	368	7,400	(4,603)
366.FS-Federal ARRA	0	0	0	0	0	0	0	0	647	5,255	0	0	0	0	0	0	5,902	(5,902)
368.01-NYCCC Operat Of	(13,371)	0	0	0	0	30,000	30,000	0	18,700	7,000	0	0	4,500	0	0	0	30,200	(13,571)
369.01-Jud Data Proc O	2,694	0	22,000	0	0	0	22,000	0	19,200	0	0	0	7,200	0	0	0	26,400	(1,706)
377.A1-CUNY Stabilizn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
377.ZX-CUNY Tuitn Reim	42,358	0	42,200	0	0	0	42,200	0	41,900	300	0	0	0	0	0	0	42,200	42,358
377.ZY-CUNY Inc Reimb	64,943	0	90,000	0	0	0	90,000	0	45,788	38,078	0	0	6,134	0	0	0	90,000	64,943
385.01-Lk Placid Train	28	0	200	0	0	0	200	0	0	163	0	0	0	0	0	23	186	42
390.01-Indigent Legal	14,134	0	71,000	0	0	40,000	111,000	72,691	250	25,617	8	0	125	0	0	8,909	107,600	17,534
482.01-UI Sp Int & Pen	8,631	0	9,400	0	0	0	9,400	0	1,804	79	57	0	879	0	0	10,666	13,485	4,546

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.01-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	49
339.02-Intervenor Acct	1,030	0	500	0	0	0	500	225	0	0	0	0	0	0	0	6	231	1,299
339.03-S P A R C S	(1,239)	0	6,935	0	0	1,464	8,399	0	3,575	625	122	0	1,899	0	0	1,031	7,252	(92)
339.05-OMRDD Provider	1	0	0	0	0	340,576	340,576	338,472	0	0	0	0	0	0	0	2,104	340,576	1
339.07-Fire Prev/Code	2,648	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	14,810	2,648
339.08-NYS Twy Police	(1,278)	0	56,300	0	0	0	56,300	0	40,000	0	15,000	0	0	0	0	0	55,000	22
339.09-DMV Seiz Assets	284	0	450	0	0	0	450	0	0	175	0	0	0	0	0	0	175	559
339.10-Mental Hygiene	2,816	0	0	0	0	4,688,833	4,688,833	838,580	537,753	186,140	17,614	0	260,358	0	0	2,848,388	4,688,833	2,816
339.11-Ins Genl Opers	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.13-M H Patient Inc	6,495	0	0	0	0	3,930,306	3,930,306	270,189	1,897,196	505,920	59,148	0	881,103	0	0	323,245	3,936,801	0
339.15-Fin Cntrl Board	(645)	0	3,190	0	0	0	3,190	0	1,566	747	72	0	805	0	0	0	3,190	(645)
339.16-Reg of Racing	(5,187)	0	11,900	0	0	0	11,900	0	5,924	4,645	183	0	2,860	0	0	0	13,612	(6,899)
339.17-Tri St Reg Plan	(7,295)	0	0	0	0	15,441	15,441	0	4,520	8,560	142	0	2,219	0	0	0	15,441	(7,295)
339.18-S U Constr Fund	56	0	26,617	0	0	0	26,617	0	15,278	2,487	474	0	7,439	0	0	0	25,678	995
339.20-Quality Care	7,399	0	5,700	0	0	97,863	103,563	7,288	63,915	29,146	0	0	1,879	0	0	0	102,228	8,734
339.21-Nurses Aide Reg	1,113	0	5,164	0	0	0	5,164	0	511	1,825	16	0	249	0	0	548	3,149	3,128
339.22-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.23-Seized Assets	876	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	238	688
339.24-Child Care & Pr	196	0	70	0	0	0	70	199	0	0	0	0	0	0	0	1	200	66
339.25-Cyber Sec Upgr	1,143	0	1,800	0	0	0	1,800	0	0	1,800	0	0	0	0	0	0	1,800	1,143
339.26-Cert of Need	6,123	0	6,099	0	0	0	6,099	0	2,489	704	85	0	1,325	0	0	1,086	5,689	6,533
339.27-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.28-Retir Community	440	0	74	0	0	0	74	0	24	1	1	0	12	0	0	2	40	474
339.29-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.2C-OHRD St Match	1,525	0	5,000	0	0	0	5,000	0	0	5,000	0	0	0	0	0	0	5,000	1,525
339.30-DOL Fee Penalty	3,510	0	21,950	0	0	0	21,950	0	5,505	752	175	0	2,680	0	0	8,381	17,493	7,967
339.31-Educ Museum	97	0	2,757	564	0	0	3,321	0	682	2,130	22	0	334	0	0	137	3,305	113
339.32-Ns Hm Receivshp	2,801	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	2,826
339.35-3rd Party Hlth	446	0	1,250	0	0	0	1,250	0	1,136	(13)	0	0	0	0	0	0	1,123	573
339.36-Boating Noise L	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.37-I Love NY Water	60	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	60
339.38-Summer Sch Arts	358	0	616	0	0	500	1,116	0	(927)	1,471	0	0	0	0	0	0	544	930
339.39-I Love NY Water	283	0	245	0	0	0	245	0	41	25	2	0	19	0	0	0	87	441
339.41-Snowmobile	5,652	0	5,900	0	0	0	5,900	4,970	102	355	7	0	60	0	0	30	5,524	6,028
339.42-Tr Surplus Prop	1,180	0	2,828	0	0	0	2,828	0	0	3,000	0	0	0	0	0	803	3,803	205
339.44-Hosp & Nurs Mgt	2,303	0	32,739	0	0	0	32,739	0	15,230	142	487	0	7,572	0	0	376	23,807	11,235
339.45-Watershed Prtnr	4	0	233	0	0	0	233	0	138	25	6	0	67	0	0	0	236	1
339.46-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.47-S U Dorm Reimb	98	0	24,123	0	0	246,971	271,094	0	117,579	143,132	0	0	7,580	0	0	2,900	271,191	1
339.48-ODTA Multi-Agen	1,424	0	0	0	0	10,073	10,073	0	0	10,000	0	0	0	0	0	0	10,000	1,497
339.49-ODTA State Matc	271	0	0	0	0	0	0	0	0	34	0	0	0	0	0	0	34	237
339.50-ODTA Trng Mgmt	509	0	700	0	0	0	700	0	515	80	19	0	250	0	0	0	864	345
339.51-Methadone Regis	297	0	228	0	0	0	228	0	0	228	0	0	0	0	0	0	228	297
339.60-Energy Research	(15,521)	0	15,688	0	0	0	15,688	9,157	3,299	978	594	0	1,583	0	0	77	15,688	(15,521)
339.61-Radiology	1,964	0	6,000	0	0	0	6,000	3,000	929	693	38	0	434	0	0	1,350	6,444	1,520
339.62-Crim Jus Improv	15,251	0	41,901	0	0	0	41,901	27,831	3,584	701	110	0	1,219	0	0	13,216	46,661	10,491
339.65-Farm Prod Insp-	480	0	1,750	0	0	0	1,750	0	1,648	135	51	0	798	0	0	152	2,784	(554)
339.68-Fngprnt ID Tec	1,627	0	12,850	0	0	0	12,850	0	0	14,475	0	0	0	0	0	0	14,475	2
339.72-NY Fire Academy	474	0	920	0	0	0	920	0	316	342	13	0	146	0	0	247	1,064	330
339.77-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.79-OPDV Training	67	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	67
339.81-Envir.Lab.Fee A	737	0	3,700	0	0	0	3,700	0	1,886	374	59	0	919	0	0	183	3,421	1,016
339.85-Ins St L Adm	2,292	0	113,351	0	0	0	113,351	22,200	35,189	39,215	1,169	0	15,578	0	0	0	113,351	2,292
339.86-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.88-Train Mgmt Eval	1,730	0	3,000	0	0	0	3,000	0	1,673	661	68	0	1,002	0	0	216	3,620	1,110
339.90-Clin Lab Refrnc	(19,502)	0	18,059	0	0	0	18,059	(110)	7,554	1,676	255	0	4,005	0	0	2,260	15,640	(17,083)
339.91-MWBD Certificat	0	0	65	0	0	0	65	0	0	59	0	0	0	0	0	0	59	6
339.93-Pub Emp Rel Brd	1,075	0	86	0	0	0	86	0	275	300	0	0	0	0	0	0	575	586
339.94-WIC CVL Monetry	0	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	0	0	2,000
339.95-Radio Hlth Prot	3,192	0	2,703	0	0	0	2,703	0	2,059	124	70	0	1,093	0	0	216	3,562	2,333
339.99-Cons Food Indus	1,925	0	7,997	0	0	0	7,997	0	5,442	585	169	0	2,636	0	0	186	9,018	904
339.A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.A3-Educatin Library	139	0	65	0	0	0	65	0	0	65	0	0	0	0	0	0	65	139
339.A4-Teacher Certif	2,843	0	6,500	0	0	0	6,500	0	3,142	754	99	0	1,541	0	0	1,494	7,030	2,313
339.A5-Banking Deptmnt	13,578	0	94,429	0	0	0	94,429	0	48,950	14,787	1,522	0	23,706	0	0	5,464	94,429	13,578
339.A6-Cable TV Acct	8,436	0	2,855	0	0	0	2,855	0	1,773	168	55	0	859	0	0	101	2,956	8,335
339.A7-Econ Devel Asst	480	0	838	0	0	0	838	0	0	687	0	0	0	0	0	92	779	539
339.A9-Banking Seized	214	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	50	214
339.AC-Non-Ivd Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.AD-ODD Earned Revn	187	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	187
339.AE-Motorcycle Sfty	1,845	0	1,960	0	0	0	1,960	0	84	1,238	3	0	41	0	0	4	1,370	2,435
339.AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.AG-Business Licens	1,904	0	70,000	0	0	0	70,000	539	14,938	6,765	763	0	7,234	0	(15)	36,961	67,185	4,719
339.AH-Indir Cost Reco	973	0	(605)	0	0	23,515	22,910	0	10,784	4,328	343	0	5,319	0	0	0	20,774	3,109
339.AI-High School Equ	837	0	225	0	0	0	225	0	0	767	0	0	0	0	0	51	818	244
339.AJ-Regional Haulin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.AL-OTDA Program	521	0	0	0	0	1,000	1,000	0	0	500	0	0	0	0	0	0	500	1,021
339.AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.AN-Disas Prep Conf	21	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	21
339.AP-Administration	9,597	0	13,258	0	0	2,635	15,893	0	6,939	157	241	0	3,718	0	0	2,201	13,256	12,234
339.AQ-Rail Safety Ins	1,042	0	669	0	0	0	669	0	492	43	16	0	250	0	0	0	801	910
339.AR-Fedl Admin Reim	1	0	130	0	0	27,860	27,990	0	27,990	0	0	0	0	0	0	0	27,990	1
339.AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.AU-Abandoned Prop	0	0	5,000	0	0	0	5,000	0	0	5,000	0	0	0	0	0	0	5,000	0
339.AV-Seized Assets	3	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	5
339.AW-Spinal Injury	13,523	0	0	0	0	1,770	1,770	0	240	6,625	7	0	117	0	0	885	7,874	7,419
339.AX-Child Supp Rev	870	0	0	0	0	10,000	10,000	0	2,019	6,973	100	0	980	0	0	0	10,072	798
339.AY-Mult Agen Train	20,789	0	(3,000)	0	0	32,000	29,000	0	2,286	26,187	83	0	1,192	0	0	0	29,748	20,041
339.AZ-Dept Law-Seized	1,151	0	600	0	0	0	600	0	0	1,678	0	0	0	0	0	0	1,678	73
339.B2-DMNA-Seiz Asset	585	0	200	0	0	0	200	0	0	191	0	0	0	0	0	0	191	594
339.B3-Critical Infrns	464	0	2,500	0	0	0	2,500	0	373	1,726	0	0	11	0	0	0	2,110	854
339.B4-Radon Detct Dev	290	0	(8)	0	0	0	(8)	0	0	9	0	0	0	0	0	2	11	271
339.B6-Insurance Dept	163,443	0	451,934	0	0	0	451,934	224,716	105,813	55,268	16,078	0	51,245	0	0	7,181	460,301	155,076
339.B7-Workers Comp Bd	57,486	0	316,091	0	0	0	316,091	0	91,891	68,627	2,766	0	41,196	0	0	91,824	296,304	77,273
339.B8-Fire Protection	80	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	13	92
339.B9-CQC Conf Fee	6	0	5	0	0	0	5	0	0	30	0	0	0	0	0	0	30	(19)
339.BA-Public Work Enf	1,623	0	6,027	0	0	0	6,027	0	1,651	128	52	0	803	0	0	3,019	5,653	1,997
339.BB-Asset Forfeitur	30	0	400	0	0	0	400	0	0	400	0	0	0	0	0	0	400	30
339.BF-VESID SS	2,562	0	4,100	0	0	0	4,100	5,393	201	0	33	0	79	0	0	23	5,729	933
339.BI-Trn Mlts Regist	58	0	6	0	0	0	6	0	0	23	0	0	0	0	0	0	23	41
339.BJ-Bell Jar Collec	1	0	2,100	0	0	0	2,100	0	710	362	22	0	334	0	0	202	1,630	471
339.BK-Ind & Util Serv	1,255	0	3,065	0	0	0	3,065	0	1,614	0	75	0	792	0	0	288	2,769	1,551
339.BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.BW-Asbestos Trning	(115)	0	314	0	0	0	314	0	126	11	4	0	61	0	0	0	202	(3)
339.BZ-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.C3-Public Service	58,388	0	71,715	0	0	10	71,725	0	39,913	8,980	1,241	0	19,330	0	0	2,190	71,654	58,459
339.C4-Atty Licensing	6,196	0	26,000	0	0	0	26,000	0	19,100	8,700	0	0	4,500	0	0	0	32,300	(104)
339.C9-DSS Prov Recovs	186	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	3,700	186
339.CA-Crimes Against	4,477	0	0	0	0	10,000	10,000	14,000	0	0	0	0	0	0	0	184	14,184	293
339.CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.CD-Daycare Earned	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.CE-Camp Smith Bill	27	0	230	0	0	0	230	0	119	54	5	0	54	0	0	0	232	25
339.CF-Cigarette Fire	0	0	500	0	0	0	500	0	0	320	0	0	0	0	0	0	320	180
339.CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.CL-Comm Feed Lic	200	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	200
339.CM-Reg Manu Hsg	137	0	612	0	0	0	612	0	425	64	10	0	206	0	0	0	705	44
339.CO-College Savings	1,639	0	813	0	0	0	813	0	175	30	15	0	135	0	0	0	355	2,097
339.CQ-Discover Queens	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.CR-Reven Arrearage	31,495	0	25,000	0	0	0	25,000	0	1,100	6,693	35	0	519	0	0	23,840	32,187	24,308
339.CS-Provider Assess	9,034	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,034
339.CT-Cell Phone Towe	477	0	307	0	0	0	307	0	0	5	0	0	0	0	0	0	5	779

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339.CU-Spec Conserv Ac	110	0	95	0	0	0	95	0	0	0	0	0	0	0	0	0	0	205
339.CY-Central Registry	305	0	195	0	0	0	195	0	90	0	20	0	59	0	0	0	169	331
339.CZ-Plant Industry	507	0	253	0	0	0	253	0	366	0	11	0	177	0	0	15	569	191
339.D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.D4-Food Stp Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.D9-Batavia School	(8,964)	0	9,600	0	0	700	10,300	0	5,463	593	170	0	2,646	0	0	0	8,872	(7,536)
339.DB-Alcohol Beverag	2,430	0	0	0	0	18,178	18,178	0	8,588	4,386	288	0	4,512	0	0	0	17,774	2,834
339.DC-Investment Serv	(654)	0	3,390	0	0	0	3,390	0	1,836	4,153	57	0	895	0	0	310	7,251	(4,515)
339.DD-Drive out Diabe	41	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	41
339.DF-Keep Kids Drug	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34
339.DH-OMRDD Day Svcs	(1,106)	0	48,988	0	0	0	48,988	48,561	0	0	0	0	0	0	0	427	48,988	(1,106)
339.DI-OSDC Finan Over	(974)	0	3,967	0	0	0	3,967	0	2,303	315	74	0	1,332	0	0	0	4,024	(1,031)
339.DK-Senate Recyclab	354	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	374
339.DL-Medicaid Fraud	61,203	0	12,513	0	0	0	12,513	0	6,211	2,012	209	0	3,118	0	0	363	11,913	61,803
339.DM-EAD Metallurgl	1	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	7
339.DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.DO-DED Marketing A	3,555	0	2,009	0	0	0	2,009	0	63	1,190	2	0	28	0	0	131	1,414	4,150
339.DQ-Tug Hill Admin	40	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	42	36
339.DS-Settlement Enf	1,671	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	900	1,671
339.DT-Indian Gaming	(83,513)	0	18,836	0	0	0	18,836	0	14,634	3,224	566	0	6,849	0	0	0	25,273	(89,950)
339.DX-NYS FLEX Spend	71	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	300	71
339.DZ-Interest Assess	84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	84
339.E1-Crime Victims B	11	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	54	11
339.E2-Conference&Sign	102	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	35	102
339.E3-Ofc of Professi	7,845	0	45,452	0	0	0	45,452	0	19,284	9,831	614	0	9,474	0	0	10,492	49,695	3,602
339.E4-Educ Assessment	0	0	0	0	0	3,500	3,500	0	0	3,500	0	0	0	0	0	0	3,500	0
339.E5-Armory Rental A	1,524	0	1,968	0	0	0	1,968	0	841	1,102	34	0	390	0	0	0	2,367	1,125
339.E6-Rome School	(4,649)	0	9,600	0	0	400	10,000	0	4,697	687	146	0	2,275	0	0	0	7,805	(2,454)
339.E7-Unif Commer Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.E8-Seized Assets	(3,656)	0	8,725	0	0	25,500	34,225	0	0	34,225	0	0	0	0	0	0	34,225	(3,656)
339.E9-Traf Adjudicatn	796	0	47,809	0	0	0	47,809	0	22,184	9,602	690	0	10,762	0	0	0	43,238	5,367
339.EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.EC-OASAS Fedl Sal	3,674	0	0	0	0	3,910	3,910	332	2,192	200	72	0	1,108	0	0	62	3,966	3,618
339.ED-Cook/Chill Acco	96	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	2,100	96
339.EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.EF-TAP Sys Redesgn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.EG-Client Notices	529	0	0	0	0	2,000	2,000	0	1,400	1,000	0	0	0	0	0	0	2,400	129
339.EJ-Credential Svcs	(4)	0	915	0	0	0	915	0	608	0	19	0	288	0	0	0	915	(4)
339.EK-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.EM-NYC Assessment	13,773	0	79,055	0	0	0	79,055	0	34,483	19,693	1,069	0	16,790	0	0	0	72,035	20,793
339.EN-Cultural Educat	(8,114)	0	30,000	0	0	0	30,000	0	16,530	5,346	486	0	6,644	0	0	3,029	32,035	(10,149)
339.EP-Distance Learn	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.ER-Exam & Misc Rev	3,170	0	3,753	0	0	0	3,753	0	517	1,155	17	0	249	0	0	1,503	3,441	3,482
339.ES-Eating Disorder	735	0	1,000	0	0	0	1,000	276	0	0	0	0	0	0	0	0	276	1,459
339.F1-Trans Regul Acc	3,187	0	4,800	0	0	0	4,800	0	2,090	335	69	0	1,075	0	0	0	3,569	4,418
339.F2-Cons Prot Acct	1,015	0	100	0	0	0	100	0	109	300	5	0	40	0	0	0	454	661
339.F6-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
339.F9-OER NASDER	117	0	24	0	0	0	24	0	0	29	0	0	0	0	0	0	29	112
339.FA-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.FH-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.FL-Fed Liability	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.FM-FMS Account	28,649	0	0	0	0	9,000	9,000	0	9,484	21,159	0	0	0	0	0	0	30,643	7,006
339.FP-Funeral	632	0	986	0	0	0	986	0	225	9	8	0	111	0	0	8	361	1,257
339.FS-FSHRP	98	0	0	0	0	300,000	300,000	300,000	0	0	0	0	0	0	0	0	300,000	98
339.G1-Educ Archives	217	0	15	0	0	0	15	0	0	71	0	0	0	0	0	0	71	161
339.G3-Local Services	387	0	1,100	0	0	0	1,100	0	542	0	29	0	311	0	0	26	908	579
339.G7-DOT-Accident Da	2,702	0	12,500	0	0	0	12,500	0	382	11,867	12	0	193	0	0	0	12,454	2,748
339.GA-Adult Shelter	1,668	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	0	0	4,168
339.GB-QAA Earned Rev	714	0	2,111	0	0	0	2,111	0	285	0	22	0	126	0	0	0	433	2,392
339.GC-Family Pres Svc	1,493	0	60	0	0	0	60	1,053	0	0	0	0	0	0	0	0	1,053	500

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.GD-EBT/CBIC	1,878	0	0	0	0	7,000	7,000	9,700	0	0	0	0	0	0	0	0	9,700	(822)
339.GE-Federal-Seized	41	0	0	0	0	0	0	0	0	88	0	0	0	0	0	0	88	(47)
339.H2-DHCR Mortgage S	307	0	7,685	0	0	0	7,685	0	3,273	165	93	0	1,607	0	0	840	5,978	2,014
339.H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.H6-OMH-Research OH	68	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	2,848	68
339.H7-DMV-Compulsory	11,312	0	30,000	0	0	0	30,000	0	9,262	953	288	0	4,491	0	0	14,675	29,669	11,643
339.H9-Prof Medic Cond	4,833	0	29,536	0	0	0	29,536	0	12,297	4,280	427	0	6,570	0	0	5,982	29,556	4,813
339.HC-Hway Const & Ma	774	0	260	0	0	0	260	0	122	0	0	0	0	0	0	0	122	912
339.HI-Housing Indirec	416	0	2,650	0	0	0	2,650	0	1,946	335	45	0	960	0	0	100	3,386	(320)
339.HQ-Adit Hme Qlty E	540	0	643	0	0	0	643	0	0	260	0	0	0	0	0	21	281	902
339.HR-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.IA-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.IC-Accid Prevent C	226	0	5,000	0	0	0	5,000	0	169	98	5	0	82	0	0	604	958	4,268
339.IG-IG Szd Assets	134	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	87	132
339.IM-Leg Svcs Assist	1,054	0	13,000	0	0	4,000	17,000	18,000	0	0	0	0	0	0	0	43	18,043	11
339.J1-Loc Pub Hlth	2,143	0	950	0	0	0	950	0	113	17	12	0	65	0	0	5	212	2,881
339.J2-Local Dist Trai	1,024	0	800	0	0	0	800	0	0	744	0	0	0	0	0	0	744	1,080
339.J4-Voting Mach Exa	124	0	30	0	0	0	30	0	0	71	0	0	0	0	0	0	71	83
339.J5-DHCR HCA Applic	2,623	0	2,200	0	0	0	2,200	0	970	336	51	0	722	0	0	291	2,370	2,453
339.J6-EPIC Premium Ac	63,506	0	170,200	0	0	0	170,200	152,258	4,940	8,942	75	0	1,174	0	0	12,567	179,956	53,750
339.J7-Drug Enforce Ta	80	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	80
339.JA-Vital Rec Mgmt	1,154	0	4,309	0	0	0	4,309	0	1,143	47	35	0	557	0	0	2,252	4,034	1,429
339.JB-CHCCDP Transfer	24,318	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24,318
339.JD-Probim Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.JE-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.K1-Hwy Rev/Soc Sec	1,176	0	406	0	0	0	406	0	0	398	0	0	0	0	0	50	448	1,134
339.K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.KB-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L2-Asst Living Res	26	0	95	0	0	0	95	95	0	0	0	0	0	0	0	9	104	17
339.L4-OCFS Program	2,747	0	100	0	0	0	100	0	142	0	0	0	71	0	0	0	213	2,634
339.L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L7-Fedl Admin Reim	36,132	0	0	0	0	80,000	80,000	0	45,594	48,100	0	0	0	0	0	0	93,694	22,438
339.L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	(272)	0	0	0	0	0	0	(272)	274
339.LF-Disabil Determs	859	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	859
339.LG-OMRDD-Jt Clinic	34	0	208	0	0	0	208	206	0	0	0	0	0	0	0	2	208	34
339.LH-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LI-Litigation Sett	16,072	0	47,832	0	0	0	47,832	0	9,626	30,906	399	0	5,583	0	0	554	47,068	16,836
339.LJ-Animal Populati	255	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	255
339.LL-Love Your Libra	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27
339.LW-Local Wireless	2,947	0	0	0	0	9,300	9,300	9,300	0	0	0	0	0	0	0	0	9,300	2,947
339.LZ-Pub Safe Commun	43,291	0	118,633	0	0	0	118,633	19,708	1,000	52,041	0	0	500	0	0	90,269	163,518	(1,594)
339.MC-Cuba Lake Mgmt	203	0	200	0	0	0	200	0	0	170	0	0	0	0	0	0	170	233
339.MH-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.MR-Med Reimb Acct	0	0	2,500	0	0	0	2,500	2,500	0	0	0	0	0	0	0	0	2,500	0
339.NG-Low Inc Housing	794	0	2,200	0	0	0	2,200	0	998	0	34	0	486	0	0	146	1,664	1,330
339.NH-Provider 900	2	0	0	0	0	0	0	0	(17)	0	0	0	0	0	0	0	(17)	19
339.NY-New York Alert	65	0	3,950	0	0	0	3,950	0	0	3,950	0	0	0	0	0	0	3,950	65
339.P4-Procure Op News	1,040	0	832	0	0	0	832	0	(15)	663	0	0	0	0	0	100	748	1,124
339.P5-CVB Restitution	820	0	575	0	0	0	575	0	425	150	0	0	0	0	0	0	575	820
339.P6-EFC Corp Admin	(339)	0	1,587	0	0	0	1,587	0	1,222	186	0	0	423	0	0	0	1,831	(583)
339.PC-Food Prod Ctr	526	0	1,153	0	0	0	1,153	0	0	1,153	0	0	0	0	0	0	1,153	526
339.PD-Pet Dealer	90	0	32	0	0	0	32	0	53	0	2	0	26	0	0	0	81	41
339.PO-Auth Bdgt Office	852	0	1,826	0	0	940	2,766	0	931	393	26	0	451	0	0	39	1,840	1,778
339.PS-Patient Safety	199	0	500	0	0	0	500	0	0	376	0	0	0	0	0	73	449	250
339.Q2-Helen Hayes Hos	9,355	0	115	0	0	59,926	60,041	0	32,730	20,595	1,111	0	0	0	0	2,996	57,432	11,964
339.Q3-NYC Veterans	7,081	0	350	0	0	30,337	30,687	0	15,618	7,023	470	0	6,927	0	0	44	30,082	7,686
339.Q4-NYS Home-Vetera	4,844	0	120	0	0	18,529	18,649	0	17,000	3,455	519	0	0	0	0	34	21,008	2,485

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339.Q5-WNY Vets Home	1,119	0	55	0	0	12,342	12,397	0	9,328	2,696	287	0	0	0	0	36	12,347	1,169
339.Q6-Montrose S V H	2,751	0	30	0	0	18,130	18,160	0	18,107	5,824	248	0	(105)	0	0	1,316	25,390	(4,479)
339.Q9-DOH Hospital Ho	2,371	0	0	0	0	128,964	128,964	0	0	0	0	0	0	0	0	123,964	123,964	7,371
339.QA-Spec Energy Adm	204	0	0	0	0	0	0	0	154	53	0	0	0	0	0	0	207	(3)
339.QC-Quality of Care	489	0	1,327	0	0	0	1,327	0	0	1,485	0	0	0	0	0	22	1,507	309
339.R4-Motor Fuel Qual	981	0	2,933	0	0	0	2,933	0	937	1,242	29	0	455	0	0	197	2,860	1,054
339.R5-Weights Measure	264	0	390	0	0	0	390	0	223	229	7	0	108	0	0	50	617	37
339.R7-Defer Comp Adm	(140)	0	800	0	0	0	800	0	357	168	13	0	185	0	0	0	723	(63)
339.R9-Hazard Abatemen	53	0	175	0	0	0	175	173	0	0	0	0	0	0	0	2	175	53
339.RD-Education Stats	92	0	0	89	0	0	89	0	0	36	0	0	0	0	0	0	36	145
339.RF-Real Estate Fin	3,868	0	900	0	0	0	900	0	575	84	19	0	283	0	0	28	989	3,779
339.RR-NYC Rent Rev	1,864	0	39,124	0	0	0	39,124	0	23,766	3,114	759	0	11,453	0	0	1,423	40,515	473
339.S1-Medicaid Income	(1,068)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,068)
339.S8-Rent Revenue	(340)	0	850	0	0	0	850	0	498	0	15	0	242	0	0	0	755	(245)
339.SA-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.SR-ES Stem Cell Tr	(71)	0	0	0	0	44,700	44,700	0	0	44,700	0	0	0	0	0	0	44,700	(71)
339.SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.ST-Systems & Tech	2,220	0	7,300	0	0	0	7,300	0	3,100	1,835	99	0	1,466	0	0	725	7,225	2,295
339.T2-OPR Patron Serv	7,284	0	60,650	0	0	0	60,650	0	24,794	26,079	0	0	3,677	0	0	0	54,550	13,384
339.T5-Trans Aviatt	2,535	0	3,810	0	0	0	3,810	0	127	3,395	4	0	63	0	0	0	3,589	2,756
339.TM-Teacher Ed Accr	71	0	86	0	0	0	86	0	0	51	0	0	0	0	0	14	65	92
339.TN-Training Academ	53	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	53
339.TR-Tax Rev Arrear	(1,394)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	1,795	(489)
339.TS-TSCR Account	52,460	0	121,856	0	0	0	121,856	30,464	0	0	0	0	0	0	0	96,102	126,566	47,750
339.TW-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	282	282	(281)
339.U2-Recruitment Inc	2,556	0	1,941	0	0	2,087	4,028	0	0	1,941	0	0	0	0	0	0	1,941	4,643
339.US-Undrgrnd Sfty T	152	0	110	0	0	0	110	0	0	0	0	0	0	0	0	100	100	162
339.VM-HAVA Match	1,927	0	18	0	0	0	18	0	0	(65)	0	0	0	0	0	0	(65)	2,010
339.VR-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.W4-Occ Hlth Clinic	6,032	0	9,000	0	0	0	9,000	0	511	5,457	50	0	100	0	0	2,738	8,856	6,176
339.W6-Crim Back Check	375	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	375
339.WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	(600)	(400)	0	0	0	0	0	0	(1,000)	0
339.WK-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.WR-NYS Water Rescu	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.WW-OWIG Adm Reimb	3,205	0	24	0	0	1,500	1,524	0	405	447	34	0	423	0	0	0	1,309	3,420
339.WZ-Durable Medical	0	0	376	0	0	0	376	0	0	0	0	0	0	0	0	0	0	376
339.XE-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4	1
339.XX-A&M-Aggregated	(1,050)	0	15,034	0	0	152	15,186	0	1,699	14,800	53	0	822	0	0	276	17,650	(3,514)
339.Y7-Assembly Recyc	598	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	638
339.YF-Yth Fac PerDiem	1	0	221,082	0	0	0	221,082	0	0	0	0	0	0	0	0	221,082	221,082	1
339.YL-OGS Bldg Admin	1,856	0	7,075	0	0	0	7,075	0	2,567	2,254	84	0	1,308	0	0	1,000	7,213	1,718
339.YN-OGS Std & Purch	7,093	0	4,691	0	0	0	4,691	0	752	1,057	55	0	847	0	0	5,000	7,711	4,073
339.YV-Provider Assess	1	0	744,864	0	0	0	744,864	744,864	0	0	0	0	0	0	0	4,936	749,800	(4,935)
339.YX-HEP	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	0	300	(300)
339.Z1-Fed Indirect Re	210	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	210
339.Z3-MHPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.ZM-License Plate	0	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	40
339.ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.ZW-DOCS Asset Forf	118	0	14	0	0	0	14	0	0	14	0	0	0	0	0	0	14	118

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>
Opening Fund Balance	0	(8,825)	82,648	2,649	(9,812)	14	71,658	(433)	88	164	0	3,392
Receipts:												
Taxes	0	1,209,700	0	0	0	0	119,100	0	0	0	0	0
Miscellaneous Receipts	2,195,205	1,275,803	0	1,800	35,006	0	24,900	0	0	0	0	0
Federal Grants	0	5,567	0	0	0	0	0	0	0	0	0	0
Total Receipts	2,195,205	2,491,070	0	1,800	35,006	0	144,000	0	0	0	0	0
Disbursements:												
Grants to Local Governments	303,476	45,286	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,927,962	2,055,360	55,000	1,800	28,469	0	188,000	343	0	0	0	0
Total Disbursements	3,231,438	2,100,646	55,000	1,800	28,469	0	188,000	343	0	0	0	0
Other Financing Sources (Uses):												
Transfers from Other Funds	1,075,571	957,149	55,000	0	0	0	0	343	0	0	0	0
Transfers to Other Funds	(39,438)	(1,368,749)	0	0	(1,502)	0	(10,000)	0	0	(25)	(600)	(300)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	300
Net Other Financing Sources (Uses)	1,036,133	(411,600)	55,000	0	(1,502)	0	(10,000)	343	0	0	0	0
Change in Fund Balance	(100)	(21,176)	0	0	5,035	0	(54,000)	0	0	0	0	0
Closing Fund Balance	(100)	(30,001)	82,648	2,649	(4,777)	14	17,658	(433)	88	164	0	3,392

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>115</u>	<u>121</u>	<u>123</u>	<u>124</u>	<u>126</u>	<u>127</u>	<u>291</u>	<u>310</u>	<u>312</u>	<u>327</u>	<u>357</u>
Opening Fund Balance	2,830	203,906	5,244	513	2,251	31,622	(197,043)	891	(54,137)	502	(4,242)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	112,600	0	20,000
Federal Grants	0	0	0	0	0	0	2,455,364	0	0	0	0
Total Receipts	0	0	0	0	0	0	2,455,364	10	112,600	0	20,000
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	802,791	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,230,049	10	114,348	0	20,000
Total Disbursements	0	0	0	0	0	0	2,032,840	10	114,348	0	20,000
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	0	0	0	0	0	13,700	0	0
Transfers to Other Funds	(1,500)	(525,411)	(4,000)	(4,000)	(2,000)	(40,343)	(356,999)	0	(27,458)	0	0
Bond & Note Proceeds	1,500	525,411	4,000	4,000	2,000	40,343	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	0	0	(356,999)	0	(13,758)	0	0
Change in Fund Balance	0	0	0	0	0	0	65,525	0	(15,506)	0	0
Closing Fund Balance	2,830	203,906	5,244	513	2,251	31,622	(131,518)	891	(69,643)	502	(4,242)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>358</u>	<u>374</u>	<u>376</u>	<u>378</u>	<u>380</u>	<u>384</u>	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>
Opening Fund Balance	0	(31,172)	(136,315)	22,333	(11,398)	105,633	21,280	(22)	(327,982)	(29,503)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	6,078	138,307	1,000	0	84,000	127,000	0	115,172	255,768
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	6,078	138,307	1,000	0	84,000	127,000	0	115,172	255,768
Disbursements:										
Grants to Local Governments	0	6,078	93,882	0	0	0	0	0	56,643	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	45,000	1,000	2,857	84,000	129,000	0	61,088	255,768
Total Disbursements	0	6,078	138,882	1,000	2,857	84,000	129,000	0	117,731	255,768
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	2,857	0	0	0	1,750	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	2,857	0	0	0	1,750	0
Change in Fund Balance	0	0	0	0	0	0	(2,000)	0	(809)	0
Closing Fund Balance	0	(31,172)	(136,315)	22,333	(11,398)	105,633	19,280	(22)	(328,791)	(29,503)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	0	(253,266)	0	(253,266)
Receipts:				
Taxes	0	1,328,800	0	1,328,800
Miscellaneous Receipts	1	4,392,650	0	4,392,650
Federal Grants	0	2,460,931	0	2,460,931
Total Receipts	1	8,182,381	0	8,182,381
Disbursements:				
Grants to Local Governments	0	1,308,156	0	1,308,156
State Operations	0	0	0	0
General State Charges	0	0	0	0
Debt Service	0	0	0	0
Capital Projects	0	7,200,054	0	7,200,054
Total Disbursements	0	8,508,210	0	8,508,210
Other Financing Sources (Uses):				
Transfers from Other Funds	0	2,106,945	(963,062)	1,143,883
Transfers to Other Funds	0	(2,382,325)	963,062	(1,419,263)
Bond & Note Proceeds	0	578,179	0	578,179
Net Other Financing Sources (Uses)	0	302,799	0	302,799
Change in Fund Balance	1	(23,030)	0	(23,030)
Closing Fund Balance	1	(276,296)	0	(276,296)

**CASH COMBINING STATEMENT
DEBT SERVICE
2010-2011
(thousands of dollars)**

	<u>064</u>	<u>304</u>	<u>311</u>	<u>316</u>	<u>319</u>	<u>330</u>	<u>361</u>	<u>364</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	0	98,240	0	0	32,625	280,063	0	0	410,928	0	410,928
Receipts:											
Taxes	0	0	9,149,800	0	0	0	447,300	2,674,785	12,271,885	0	12,271,885
Miscellaneous Receipts	0	297,679	0	15,030	135,831	341,400	0	500	790,440	0	790,440
Federal Grants	0	0	57,829	0	0	0	0	0	57,829	0	57,829
Total Receipts	0	297,679	9,207,629	15,030	135,831	341,400	447,300	2,675,285	13,120,154	0	13,120,154
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	7,971	58,495	0	1,162	6,572	0	17,497	91,697	0	91,697
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	299,131	4,689,047	16,030	29,671	77,132	0	359,996	5,471,007	0	5,471,007
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	307,102	4,747,542	16,030	30,833	83,704	0	377,493	5,562,704	0	5,562,704
Other Financing Sources (Uses):											
Transfers from Other Funds	0	3,871,732	3,310,554	1,000	42,069	0	0	0	7,225,355	(201,086)	7,024,269
Transfers to Other Funds	0	(3,866,263)	(7,770,640)	0	(144,264)	(302,121)	(447,300)	(2,297,792)	(14,828,380)	201,086	(14,627,294)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	5,469	(4,460,086)	1,000	(102,195)	(302,121)	(447,300)	(2,297,792)	(7,603,025)	0	(7,603,025)
Change in Fund Balance	0	(3,954)	1	0	2,803	(44,425)	0	0	(45,575)	0	(45,575)
Closing Fund Balance	0	94,286	1	0	35,428	235,638	0	0	365,353	0	365,353

**GAAP FINANCIAL PLAN
GENERAL FUND
2010-11
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Mid-Year</u>
Revenues:			
Taxes:			
Personal income tax	24,794	(675)	24,119
User taxes and fees	8,893	(78)	8,815
Business taxes	5,631	69	5,700
Other taxes	914	0	914
Miscellaneous revenues	6,632	(53)	6,579
Federal grants	60	1	61
Total revenues	<u>46,924</u>	<u>(736)</u>	<u>46,188</u>
Expenditures:			
Grants to local governments	38,406	381	38,787
State operations	11,875	38	11,913
General State charges	5,148	(37)	5,111
Debt service	0	0	0
Capital projects	0	0	0
Total expenditures	<u>55,429</u>	<u>382</u>	<u>55,811</u>
Other financing sources (uses):			
Transfers from other funds	15,380	1	15,381
Transfers to other funds	(6,504)	263	(6,241)
Proceeds from financing arrangements/ advance refundings	0	0	0
Net other financing sources (uses)	<u>9,279</u>	<u>264</u>	<u>9,543</u>
Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses	<u>774</u>	<u>(854)</u>	<u>(80)</u>
Accumulated Surplus/(Deficit)	<u>(2,764)</u>	<u>(854)</u>	<u>(3,618)</u>

**GAAP FINANCIAL PLAN
GENERAL FUND
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-11 Mid-Year</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>
Revenues:				
Taxes:				
Personal income tax	24,119	25,170	25,494	27,147
User taxes and fees	8,815	9,053	9,268	9,714
Business taxes	5,700	6,469	6,661	6,899
Other taxes	914	1,017	1,067	1,122
Miscellaneous revenues	6,579	6,387	6,383	6,388
Federal grants	61	60	60	60
Total revenues	<u><u>46,188</u></u>	<u><u>48,156</u></u>	<u><u>48,933</u></u>	<u><u>51,330</u></u>
Expenditures:				
Grants to local governments	38,787	49,146	53,916	58,092
State operations	11,913	12,499	12,491	12,846
General State charges	5,111	5,389	5,992	6,499
Debt service	0	0	0	0
Capital projects	0	0	0	0
Total expenditures	<u><u>55,811</u></u>	<u><u>67,034</u></u>	<u><u>72,399</u></u>	<u><u>77,437</u></u>
Other financing sources (uses):				
Transfers from other funds	15,381	15,235	14,857	14,857
Transfers to other funds	(6,241)	(6,902)	(7,110)	(7,121)
Proceeds from financing arrangements/ advance refundings	403	400	400	400
Net other financing sources (uses)	<u><u>9,543</u></u>	<u><u>8,733</u></u>	<u><u>8,147</u></u>	<u><u>8,136</u></u>
Operating Surplus/(Deficit)	<u><u>(80)</u></u>	<u><u>(10,145)</u></u>	<u><u>(15,319)</u></u>	<u><u>(17,971)</u></u>

**GAAP FINANCIAL PLAN
ALL FUNDS
2010-2011
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	39,548	8,172	1,329	12,420	61,469
Public Health/Patient fees	0	3,218	0	434	3,652
Miscellaneous revenues	6,579	2,236	1,067	12	9,894
Federal grants	61	52,599	2,461	0	55,121
Total revenues	46,188	66,225	4,857	12,866	130,136
Expenditures:					
Grants to local governments	38,787	61,760	1,316	0	101,863
State operations	11,913	2,211	0	86	14,210
General State charges	5,111	353	0	0	5,464
Debt service	0	0	0	4,547	4,547
Capital projects	0	2	7,641	0	7,643
Total expenditures	55,811	64,326	8,957	4,633	133,727
Other financing sources (uses):					
Transfers from other funds	15,381	2,326	1,089	7,024	25,820
Transfers to other funds	(6,241)	(4,521)	(1,419)	(15,170)	(27,351)
Proceeds of general obligation bonds	0	0	578	0	578
Proceeds from financing arrangements/ advance refundings	403	0	3,788	0	4,191
Net other financing sources (uses)	9,543	(2,195)	4,036	(8,146)	3,238
Operating Surplus/(Deficit)	(80)	(296)	(64)	87	(353)

**GAAP FINANCIAL PLAN
ALL FUNDS
2010-2011
(millions of dollars)**

	<u>Major Funds</u>			<u>Other Governmental Funds</u>	<u>Eliminations</u>	<u>Total</u>
	<u>General Fund</u>	<u>Federal Special Revenue</u>	<u>General Obligation Debt Service</u>			
Revenues:						
Taxes:						
Personal income tax	24,119	0	9,149	3,271	0	36,539
User taxes and fees	8,815	0	0	5,536	0	14,351
Business taxes	5,700	0	0	2,034	0	7,734
Other taxes	914	0	0	1,931	0	2,845
Public Health/Patient fees	0	0	0	3,652	0	3,652
Miscellaneous receipts	6,579	179	0	3,136	0	9,894
Federal grants	61	52,921	58	2,081	0	55,121
Total revenues	<u>46,188</u>	<u>53,100</u>	<u>9,207</u>	<u>21,641</u>	<u>0</u>	<u>130,136</u>
Expenditures:						
Grants to local governments	38,787	46,449	0	16,627	0	101,863
State operations	11,913	1,604	58	635	0	14,210
General State charges	5,111	271	0	82	0	5,464
Debt service	0	0	3,844	703	0	4,547
Capital projects	0	0	0	7,643	0	7,643
Total expenditures	<u>55,811</u>	<u>48,324</u>	<u>3,902</u>	<u>25,690</u>	<u>0</u>	<u>133,727</u>
Other financing sources (uses):						
Transfers from other funds	15,381	0	3,311	7,128	(20,890)	4,930
Transfers to other funds	(6,241)	(4,776)	(8,616)	(7,718)	20,890	(6,461)
Proceeds of General obligation bonds	0	0	0	578	0	578
Proceeds from financing arrangements/ advance refundings	403	0	0	3,788	0	4,191
Net other financing sources (uses)	<u>9,543</u>	<u>(4,776)</u>	<u>(5,305)</u>	<u>3,776</u>	<u>0</u>	<u>3,238</u>
Operating Surplus/(Deficit)	<u>(80)</u>	<u>0</u>	<u>0</u>	<u>(273)</u>	<u>0</u>	<u>(353)</u>

**GAAP COMBINING STATEMENT
GENERAL FUND
2010-2011
(millions of dollars)**

	<u>001</u>	<u>003</u>	<u>007</u>	<u>166</u>	<u>013</u>	<u>014</u>	<u>323</u>	<u>325</u>	<u>326</u>	<u>331</u>
Receipts:										
Personal income tax	0	24,119	0	0	0	0	0	0	0	0
User taxes and fees	0	8,815	0	0	0	0	0	0	0	0
Business taxes	0	5,700	0	0	0	0	0	0	0	0
Other taxes	0	914	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	2,687	0	620	0	0	251	19	36	6
Federal grants	0	60	0	0	0	0	0	0	0	0
Total receipts	<u>0</u>	<u>42,295</u>	<u>0</u>	<u>620</u>	<u>0</u>	<u>0</u>	<u>251</u>	<u>19</u>	<u>36</u>	<u>6</u>
Disbursements:										
Grants to local governments	35,516	0	166	0	0	0	0	0	0	0
State operations	0	7,141	0	60	2	0	169	17	36	5
General State charges	0	3,006	0	560	0	0	19	3	0	0
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>35,516</u>	<u>10,147</u>	<u>166</u>	<u>620</u>	<u>2</u>	<u>0</u>	<u>188</u>	<u>20</u>	<u>36</u>	<u>5</u>
Other financing sources (uses):										
Transfers from other funds	7	11,436	154	0	2	281	0	0	0	0
Transfers to other funds	(3,778)	(4,953)	0	0	0	0	(71)	0	0	(1)
Proceeds from financing arrangements/advance refundings	403	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	<u>(3,368)</u>	<u>6,483</u>	<u>154</u>	<u>0</u>	<u>2</u>	<u>281</u>	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>(1)</u>
Operating Surplus/(Deficit)	<u>(38,884)</u>	<u>38,631</u>	<u>(12)</u>	<u>0</u>	<u>0</u>	<u>281</u>	<u>(8)</u>	<u>(1)</u>	<u>0</u>	<u>0</u>

**GAAP COMBINING STATEMENT
GENERAL FUND
2010-2011
(millions of dollars)**

	<u>334</u>	<u>339</u>	<u>343</u>	<u>351</u>	<u>352</u>	<u>353</u>	<u>394</u>	<u>395</u>	<u>396</u>	<u>397</u>	<u>450</u>	<u>Eliminations</u>	<u>Total</u>
Receipts:													
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	0	24,119
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	0	8,815
Business taxes	0	0	0	0	0	0	0	0	0	0	0	0	5,700
Other taxes	0	0	0	0	0	0	0	0	0	0	0	0	914
Miscellaneous receipts	399	3,168	2	2	1	2	2	2	19	50	0	(687)	6,579
Federal grants	0	1	0	0	0	0	0	0	0	0	0	0	61
Total receipts	<u>399</u>	<u>3,169</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>19</u>	<u>50</u>	<u>0</u>	<u>(687)</u>	<u>46,188</u>
Disbursements:													
Grants to local governments	0	3,105	0	0	0	0	0	0	0	0	0	0	38,787
State operations	445	4,646	2	2	1	2	1	1	16	54	0	(687)	11,913
General State charges	25	1,479	0	0	0	0	1	1	6	11	0	0	5,111
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>470</u>	<u>9,230</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>22</u>	<u>65</u>	<u>0</u>	<u>(687)</u>	<u>55,811</u>
Other financing sources (uses):													
Transfers from other funds	66	7,059	0	0	0	0	0	0	8	14	0	(3,646)	15,381
Transfers to other funds	0	(1,084)	0	0	0	0	0	0	0	0	0	3,646	(6,241)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	0	403
Net other financing sources (uses)	<u>66</u>	<u>5,975</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>14</u>	<u>0</u>	<u>0</u>	<u>9,543</u>
Operating Surplus/(Deficit)	<u>(5)</u>	<u>(86)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>5</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>(80)</u>

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
2010-2011
(millions of dollars)

	Cash	Perspective	Entity						
	Financial	Difference	Difference	Cash	Changes	Elimin-	Intrafund	Reclass-	GAAP
	Plan	Special	Other	Basis	in	ations	Eliminations	ification	Financial
		Revenue	Funds	Subtotal	Accruals				Plan
Receipts/Revenues:									
Taxes:									
Personal income tax	24,148	0	0	24,148	(29)	0	0	0	24,119
User taxes and fees	8,736	0	0	8,736	79	0	0	0	8,815
Business taxes	5,783	0	0	5,783	(83)	0	0	0	5,700
Other taxes	1,034	0	0	1,034	(120)	0	0	0	914
Miscellaneous receipts	2,861	3,218	793	6,872	0	620	(687)	(226)	6,579
Federal Grants	60	1	0	61	0	0	0	0	61
Total receipts/revenues	42,622	3,219	793	46,634	(153)	620	(687)	(226)	46,188
Disbursements/expenditures:									
Grants to local governments	37,748	3,105	0	40,853	(1,120)	0	0	(946)	38,787
State operations	8,138	4,925	749	13,812	(17)	60	(687)	(1,255)	11,913
General State charges	4,119	1,494	65	5,678	137	560	0	(1,264)	5,111
Debt service	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0
Total disbursements/expenditures	50,005	9,524	814	60,343	(1,000)	620	(687)	(3,465)	55,811
Other financing sources (uses):									
Transfers from other funds	11,892	7,303	88	19,283	0	(3,830)	0	(72)	15,381
Transfers to other funds	(5,741)	(1,087)	(71)	(6,899)	(5)	3,830	0	(3,167)	(6,241)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	403	0	0	0	403
Net other financing sources (uses)	6,151	6,216	17	12,384	398	0	0	(3,239)	9,543
Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses	(1,232)	(89)	(4)	(1,325)	1,245	0	0	0	(80)
(Increase)/decrease in reserves	11	0	0	11	(11)	0	0	0	0
Operating Surplus/(Deficit)	(1,221)	(89)	(4)	(1,314)	1,234	0	0	0	(80)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
2010-2011
(millions of dollars)

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:											
Taxes	8,151	0	0	0	0	0	0	0	0	21	8,172
Miscellaneous receipts	15,174	(132)	(3,508)	(3,218)	(3,193)	0	(3,218)	0	0	331	2,236
Public Health	0	0	0	0	0	0	3,218	0	0	0	3,218
Federal Grants	47,986	0	0	(1)	0	4,886	0	(282)	0	10	52,599
Total receipts/revenues	71,311	(132)	(3,508)	(3,219)	(3,193)	4,886	0	(282)	0	362	66,225
Disbursements/expenditures:											
Grants to local governments	59,855	0	0	(3,105)	(255)	4,886	0	0	0	379	61,760
State operations	11,263	(126)	(3,616)	(4,925)	(164)	0	0	(276)	0	55	2,211
General State charges	2,201	0	(324)	(1,494)	(10)	0	0	0	0	(20)	353
Capital projects	2	0	0	0	0	0	0	0	0	0	2
Total disbursements/expenditures	73,321	(126)	(3,940)	(9,524)	(429)	4,886	0	(276)	0	414	64,326
Other financing sources (uses):											
Transfers from other funds	7,292	0	(474)	(7,303)	2,755	0	0	0	56	0	2,326
Transfers to other funds	(5,580)	0	22	1,087	0	0	0	6	(56)	0	(4,521)
Net other financing sources (uses)	1,712	0	(452)	(6,216)	2,755	0	0	6	0	0	(2,195)
Operating Surplus/(Deficit)	(298)	(6)	(20)	89	(9)	0	0	0	0	(52)	(296)

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND
2010-2011
(millions of dollars)

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:									
Taxes	1,329	0	0	0	0	0	0	0	1,329
Miscellaneous receipts	4,393	0	(84)	(943)	(18)	0	(2,355)	74	1,067
Federal Grants	2,461	0	0	0	0	0	0	0	2,461
Total receipts/revenues	8,183	0	(84)	(943)	(18)	0	(2,355)	74	4,857
Disbursements/expenditures:									
Grants to local governments	1,308	0	0	0	0		0	8	1,316
Capital projects	7,200	(55)	(84)	(943)	(18)	1,378	0	163	7,641
Total disbursements/expenditures	8,508	(55)	(84)	(943)	(18)	1,378	0	171	8,957
Other financing sources (uses):									
Transfers from other funds	1,144	(55)	0	0	0	0	0	0	1,089
Transfers to other funds	(1,419)	0	0	0	0	0	0	0	(1,419)
Proceeds of GO Bonds	578	0	0	0	0	0	0	0	578
Proceeds from Financing Arrangements/ Advance Refundings	0	0	0	0	0	1,433	2,355	0	3,788
Net other financing sources (uses)	303	(55)	0	0	0	1,433	2,355	0	4,036
Operating Surplus/(Deficit)	(22)	0	0	0	0	55	0	(97)	(64)

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
2010-2011
(millions of dollars)

	Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	Reclass SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:							
Taxes	12,272	0	0	0	0	148	12,420
Patient fees	0	0	0	434	0	0	434
Federal Grants	58	0	0	0	0	0	58
Miscellaneous receipts	790	(341)	(3)	(434)	0	0	12
Total receipts/revenues	13,120	(341)	(3)	0	0	148	12,924
Disbursements/expenditures:							
State operations	92	(6)	0	0	0	0	86
Debt Service	5,471	(79)	0	0	(845)	0	4,547
Total disbursements/expenditures	5,563	(85)	0	0	(845)	0	4,633
Other financing sources (uses):							
Transfers from other funds	7,024	0	0	0	0	0	7,024
Transfers to other funds	(14,627)	302	0	0	(845)	0	(15,170)
Net other financing sources (uses)	(7,603)	302	0	0	(845)	0	(8,146)
Operating Surplus/(Deficit)	(46)	46	(3)	0	0	148	145

STATE DEBT OUTSTANDING
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
2009-2010 THROUGH 2014-2015
(thousands of dollars)

	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION BONDS						
Economic Development & Housing	90,240	77,497	65,364	55,259	46,144	37,839
Environment	1,561,543	1,437,079	1,321,289	1,211,589	1,122,115	1,048,423
Transportation	<u>1,748,187</u>	<u>2,126,917</u>	<u>2,357,513</u>	<u>2,505,263</u>	<u>2,588,759</u>	<u>2,652,307</u>
Subtotal General Obligation	<u>3,399,970</u>	<u>3,641,493</u>	<u>3,744,166</u>	<u>3,772,111</u>	<u>3,757,018</u>	<u>3,738,569</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	4,130,180	4,494,247	4,611,331	4,441,430	4,059,521	3,702,408
Education	6,833,585	8,304,755	9,723,778	10,876,025	11,979,748	13,114,665
Environment	1,075,260	1,165,207	1,247,522	1,328,063	1,315,776	1,296,666
Health & Mental Hygiene	1,142,350	1,221,811	1,370,120	1,374,864	1,373,244	1,259,812
State Facilities & Equipment	2,738,990	3,332,135	3,706,455	3,957,702	4,120,117	4,273,269
Transportation	<u>2,268,590</u>	<u>2,520,350</u>	<u>2,800,912</u>	<u>3,065,239</u>	<u>3,311,646</u>	<u>3,538,313</u>
Subtotal PIT Revenue Bonds	<u>18,188,955</u>	<u>21,038,505</u>	<u>23,460,118</u>	<u>25,043,323</u>	<u>26,160,053</u>	<u>27,185,133</u>
Other Revenue						
Education						
SUNY Dorms	1,043,710	1,139,920	1,188,910	1,224,555	1,233,098	1,185,542
Health & Mental Hygiene						
Health Income	313,740	299,760	285,095	270,605	255,405	239,260
Mental Health Services	2,929,730	3,027,540	3,279,620	3,754,946	4,129,521	4,460,685
Local Government Assistance						
Sales Tax	3,638,940	3,409,118	3,182,668	2,943,848	2,710,665	2,474,310
Transportation						
Dedicated Highway	<u>7,536,955</u>	<u>7,706,218</u>	<u>7,722,944</u>	<u>7,710,220</u>	<u>7,686,232</u>	<u>7,644,163</u>
Subtotal Other Revenue Bonds	<u>15,463,075</u>	<u>15,582,556</u>	<u>15,659,237</u>	<u>15,904,174</u>	<u>16,014,922</u>	<u>16,003,961</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	1,063,097	939,666	841,320	770,897	685,335	617,699
Education	5,418,226	5,064,513	4,656,193	4,251,445	3,855,584	3,458,335
Environment	160,171	137,781	119,075	104,346	89,468	80,334
Health & Mental Hygiene	47,365	44,000	40,485	36,805	32,940	29,075
State Facilities & Equipment	3,030,013	2,783,569	2,575,085	2,350,499	2,117,070	1,882,340
Transportation	<u>3,551,895</u>	<u>3,354,515</u>	<u>3,120,915</u>	<u>2,890,915</u>	<u>2,661,015</u>	<u>2,430,635</u>
Subtotal Service Contract & Lease-Purchase	<u>13,270,767</u>	<u>12,324,043</u>	<u>11,353,072</u>	<u>10,404,906</u>	<u>9,441,411</u>	<u>8,498,417</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	5,283,517	5,511,410	5,518,015	5,267,585	4,791,000	4,357,946
Education	13,295,521	14,509,188	15,568,881	16,352,025	17,068,431	17,758,543
Environment	2,796,973	2,740,066	2,687,885	2,643,998	2,527,359	2,425,423
Health & Mental Hygiene	4,433,185	4,593,111	4,975,320	5,437,220	5,791,110	5,988,832
LGAC	3,638,940	3,409,118	3,182,668	2,943,848	2,710,665	2,474,310
State Facilities & Equipment	5,769,003	6,115,704	6,281,540	6,308,201	6,237,186	6,155,608
Transportation	<u>15,105,627</u>	<u>15,708,000</u>	<u>16,002,284</u>	<u>16,171,637</u>	<u>16,247,653</u>	<u>16,265,419</u>
SUBTOTAL STATE-SUPPORTED	<u>50,322,766</u>	<u>52,586,597</u>	<u>54,216,592</u>	<u>55,124,513</u>	<u>55,373,404</u>	<u>55,426,081</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco	3,256,805	3,011,900	2,695,480	2,359,950	2,003,735	1,625,740
All Other	<u>1,114,790</u>	<u>1,030,966</u>	<u>942,879</u>	<u>851,967</u>	<u>758,594</u>	<u>666,601</u>
SUBTOTAL OTHER STATE	<u>4,371,595</u>	<u>4,042,866</u>	<u>3,638,359</u>	<u>3,211,917</u>	<u>2,762,329</u>	<u>2,292,341</u>
GRAND TOTAL STATE-RELATED						
	<u>54,694,361</u>	<u>56,629,463</u>	<u>57,854,951</u>	<u>58,336,431</u>	<u>58,135,733</u>	<u>57,718,421</u>

STATE DEBT OUTSTANDING						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
SUBTOTAL STATE-SUPPORTED	<u>50,322,766</u>	<u>52,586,597</u>	<u>54,216,592</u>	<u>55,124,513</u>	<u>55,373,404</u>	<u>55,426,081</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	637,025	586,390	532,630	476,445	417,750	356,825
Tobacco Settlement Financing Corp.	3,256,805	3,011,900	2,695,480	2,359,950	2,003,735	1,625,740
Moral Obligation						
Housing Finance Agency Moral Obligation Bon	27,665	23,101	18,364	13,767	8,909	6,341
MCFFA Nursing Homes and Hospitals	2,880	2,480	2,035	1,560	1,045	490
State Guaranteed Debt						
Job Development Authority (JDA)	27,745	23,220	18,940	15,435	12,345	9,255
State Funded						
MBBA Prior Year School Aid Claims	419,475	395,775	370,910	344,760	318,545	293,690
SUBTOTAL OTHER STATE	<u>4,371,595</u>	<u>4,042,866</u>	<u>3,638,359</u>	<u>3,211,917</u>	<u>2,762,329</u>	<u>2,292,341</u>
GRAND TOTAL STATE-RELATED	<u><u>54,694,361</u></u>	<u><u>56,629,463</u></u>	<u><u>57,854,951</u></u>	<u><u>58,336,431</u></u>	<u><u>58,135,733</u></u>	<u><u>57,718,421</u></u>

STATE DEBT OUTSTANDING 2009-2010 THROUGH 2014-2015 (thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION	3,399,970	3,641,493	3,744,166	3,772,111	3,757,018	3,738,569
LOCAL GOVERNMENT ASSISTANCE						
CORPORATION	3,638,940	3,409,118	3,182,668	2,943,848	2,710,665	2,474,310
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	2,117,340	2,062,790	2,005,455	1,945,135	1,881,555	1,814,515
Dormitory Authority						
Albany County Airport	23,340	20,810	18,160	15,425	12,590	9,660
Thruway Authority:						
Consolidated Local Highway Improvement	3,679,805	3,791,265	3,898,212	3,995,594	4,078,516	4,144,773
Dedicated Highway & Bridge	7,536,955	7,706,218	7,722,944	7,710,220	7,686,232	7,644,163
Education						
Dormitory Authority:						
SUNY Educational Facilities	5,591,030	6,385,686	6,985,012	7,503,525	7,989,414	8,465,849
SUNY Dormitory Facilities	1,043,710	1,139,920	1,188,910	1,224,555	1,233,098	1,185,542
SUNY Upstate Community Colleges	656,815	684,490	732,215	770,521	813,610	850,898
CUNY Educational Facilities	3,709,442	3,947,953	4,155,962	4,336,920	4,589,428	4,916,919
State Education Department	55,960	53,680	51,730	48,665	45,235	41,670
Library for the Blind	3,910	3,010	2,060	1,060	0	0
SUNY Athletic Facilities	17,145	16,330	15,510	14,675	13,815	12,330
RESCUE	95,425	79,675	63,065	53,650	43,765	39,530
University Facilities (Jobs 2000)	20,460	15,205	9,705	7,280	4,740	2,810
Judicial Training Institute	10,280	9,530	8,740	7,905	7,710	6,750
School District Capital Outlays	24,305	12,470	0	0	0	0
Statewide Longitudinal Data System	0	0	4,149	7,321	13,968	9,856
Higher Ed Capital Matching Grants	84,530	76,325	93,346	82,402	70,887	58,826
Public Broadcasting Facilities	9,915	8,540	7,085	5,560	3,955	2,275
EXCEL School Construction	1,911,615	2,005,840	2,143,218	2,143,750	2,068,716	1,990,239
Library Facilities	36,410	46,670	56,539	66,580	76,017	84,984
Cultural Educ Storage Facilities	9,310	9,135	31,572	45,571	64,040	61,999
Judiciary Training Academies	15,260	14,730	20,062	32,084	30,033	28,066
Health						
DOH & Veterans' Home Facilities	361,105	343,760	325,580	307,410	288,345	268,335
Health Care Grants	190,315	306,936	492,030	545,559	597,189	543,897
Mental Hygiene						
Mental Health Facilities	3,881,765	3,942,415	4,157,710	4,584,251	4,905,576	5,176,600
Public Protection						
ESDC:						
Prison Facilities	4,566,197	4,593,864	4,641,822	4,671,565	4,687,203	4,691,258
Youth Facilities	195,826	189,889	192,931	193,866	194,618	200,704
Homeland Security	17,820	16,820	15,706	14,162	12,254	10,009
Environment						
EFC/ERDA:						
Riverbank Park	46,450	43,980	41,360	38,575	35,440	32,145
Pilgrim Sewage Treatment	4,900	4,200	3,400	2,600	1,800	1,000
State Park Infrastructure	3,445	2,115	715	0	0	0
Pipeline for Jobs (Jobs 2000)	13,294	7,879	3,559	1,049	0	0
Environmental Infrastructure	754,178	737,056	721,669	712,859	689,841	664,195
Hazardous Waste Remediation	405,533	501,072	590,194	672,660	674,580	677,217
ESDC:						
Pine Barrens	7,631	6,686	5,700	4,666	3,583	2,444
State Buildings/Equipment						
ESDC:						
Empire State Plaza	6,110	0	0	0	0	0
State Capital Projects	165,230	154,005	142,145	129,605	116,335	102,325
ESDC / DA / OGS						
State Facilities	604,107	890,334	985,150	1,037,989	1,020,960	982,982
Equipment / Certificates of Participation	197,577	262,527	303,785	261,013	205,816	168,331
E911	16,135	8,265	0	0	0	0
Housing						
Housing Finance Agency	1,650,915	1,680,153	1,722,524	1,724,860	1,604,241	1,499,685
Economic Development						
TBTA/ESDC						
Javits Center	81,320	41,845	25,500	25,191	24,863	24,515
ESDC/DA						
University Technology Centers	83,344	69,567	55,164	40,084	28,537	20,505
Onondaga Convention Center	31,385	28,875	26,240	23,475	20,575	17,540
Sports Facilities	225,540	209,855	193,540	176,525	158,725	140,120
Community Enhancement Facilities	88,247	65,842	79,687	88,387	67,050	47,383
Child Care Facilities	17,380	16,045	14,645	13,175	10,390	9,105
Buffalo Inner Harbor	22,410	23,373	25,906	27,279	25,818	23,813
Strategic Investment Program	27,095	24,420	28,145	31,086	26,351	20,722
Regional Economic Growth	600,430	504,520	473,147	383,061	310,487	255,189
NYS Econ. Dev. Program	231,812	222,241	220,888	225,449	205,837	183,459
High Technology & Development	137,490	146,530	155,402	150,797	137,463	121,925
Regional Economic Development	70,817	71,393	65,822	61,654	55,503	49,148
Economic Development Initiatives	0	0	4,138	6,522	6,169	5,794
Semiconductor Manufacturing Facility	408,410	663,410	655,450	647,117	638,391	629,256
Other Economic Development	824,476	941,438	885,240	827,096	764,433	679,428
High Technology Projects	174,095	181,031	213,564	183,891	152,121	134,229
2008 & 2009 Economic Development Initiati	483,900	504,780	549,062	524,981	463,626	416,407
RIOC Tram, etc.	34,210	38,595	58,586	51,695	44,275	41,884
Total Other Financing Arrangements	43,283,856	45,535,986	47,289,758	48,408,555	48,905,721	49,213,201
SUBTOTAL STATE-SUPPORTED DEBT	50,322,766	52,586,597	54,216,592	55,124,513	55,373,404	55,426,081

STATE DEBT SERVICE						
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION BONDS						
Economic Development & Housing	19,099	16,030	14,959	12,537	11,221	10,099
Environment	247,144	244,922	232,948	223,909	200,690	180,078
Transportation	211,928	241,909	274,075	289,683	306,832	320,989
Subtotal General Obligation	478,171	502,861	521,982	526,129	518,743	511,165
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	357,381	542,172	598,944	624,657	607,223	563,530
Education	496,278	617,004	755,149	852,938	960,810	1,059,231
Environment	86,069	109,488	125,837	128,077	133,694	140,781
Health & Mental Hygiene	52,042	104,093	125,416	168,298	185,973	196,189
State Facilities & Equipment	218,549	264,037	340,357	410,248	459,557	467,086
Transportation	201,354	226,313	265,533	300,010	335,596	371,726
Subtotal PIT Revenue Bonds	1,411,672	1,863,106	2,211,236	2,484,227	2,682,852	2,798,543
Other Revenue						
Education						
SUNY Dorms	69,623	77,133	87,544	98,816	107,243	107,766
Health & Mental Hygiene						
Health Income	29,569	29,671	29,227	28,798	28,802	28,795
Mental Health Services	311,001	299,131	332,487	371,602	411,197	443,299
Local Government Assistance						
Sales Tax	332,596	359,996	380,030	378,351	372,094	380,442
Transportation						
Dedicated Highway	598,367	900,812	935,387	951,495	973,766	922,835
Subtotal Other Revenue Bonds	1,341,157	1,666,743	1,764,674	1,829,062	1,893,101	1,883,137
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	139,617	170,429	141,506	117,093	117,272	100,554
Education	777,217	501,604	635,912	637,751	572,960	525,984
Environment	30,030	30,198	25,304	20,522	19,752	13,287
Health & Mental Hygiene	5,607	5,615	5,603	5,598	5,598	5,592
State Facilities & Equipment	379,191	394,925	356,744	356,792	350,936	340,439
Transportation	398,809	409,038	387,884	376,726	365,730	358,627
Subtotal Service Contract & Lease-Purchase	1,730,472	1,511,808	1,552,952	1,514,482	1,432,248	1,344,482
TOTAL STATE-SUPPORTED						
Economic Development & Housing	516,097	728,630	755,408	754,287	735,717	674,183
Education	1,343,118	1,195,740	1,478,605	1,589,505	1,641,012	1,692,981
Environment	363,243	384,608	384,089	372,507	354,135	334,146
Health & Mental Hygiene	398,220	438,510	492,733	574,296	631,569	673,874
LGAC	332,596	359,996	380,030	378,351	372,094	380,442
State Facilities & Equipment	597,739	658,961	697,101	767,039	810,493	807,525
Transportation	1,410,458	1,778,073	1,862,878	1,917,914	1,981,923	1,974,177
Debt Management Strategies	0	(73,512)	(11,568)	0	(12,386)	(21,977)
SUBTOTAL STATE-SUPPORTED	4,961,471	5,471,007	6,039,275	6,353,899	6,514,558	6,515,351
OTHER STATE DEBT OBLIGATIONS						
Tobacco	517,895	395,387	451,384	453,064	454,830	456,597
All Other	146,676	139,721	140,012	138,593	136,594	130,690
SUBTOTAL OTHER STATE	664,572	535,108	591,397	591,656	591,424	587,287
GRAND TOTAL STATE-RELATED	5,626,043	6,006,115	6,630,672	6,945,555	7,105,982	7,102,638

STATE DEBT SERVICE						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
SUBTOTAL STATE-SUPPORTED	<u>4,961,471</u>	<u>5,471,007</u>	<u>6,039,275</u>	<u>6,353,899</u>	<u>6,514,558</u>	<u>6,515,351</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	77,931	81,507	82,370	82,363	82,284	81,791
Tobacco Settlement Financing Corp.	517,895	395,387	451,384	453,064	454,830	456,597
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	16,629	6,468	6,346	5,901	5,862	3,254
MCFFA Nursing Homes and Hospitals	637	632	645	639	641	640
State Guaranteed Debt						
Job Development Authority (JDA)	6,298	5,925	5,469	4,497	3,915	3,742
State Funded						
MBBA Prior Year School Aid Claims	45,182	45,189	45,182	45,192	43,891	41,265
SUBTOTAL OTHER STATE	<u>664,572</u>	<u>535,108</u>	<u>591,397</u>	<u>591,656</u>	<u>591,424</u>	<u>587,287</u>
GRAND TOTAL STATE-RELATED	<u><u>5,626,043</u></u>	<u><u>6,006,115</u></u>	<u><u>6,630,672</u></u>	<u><u>6,945,555</u></u>	<u><u>7,105,982</u></u>	<u><u>7,102,638</u></u>

STATE DEBT SERVICE						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION	478,171	502,861	521,982	526,129	518,743	511,165
LOCAL GOVERNMENT ASSISTANCE CORPORATION	332,596	359,996	380,030	378,351	372,094	380,442
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	164,982	164,998	164,992	164,992	164,997	164,996
Dormitory Authority						
Albany County Airport	3,396	3,483	3,481	3,486	3,481	3,485
Thruway Authority:						
Consolidated Local Highway Improvement	431,784	466,871	484,943	508,258	532,848	561,873
Dedicated Highway & Bridge	598,367	900,812	935,387	951,495	973,766	922,835
Education						
Dormitory Authority:						
SUNY Educational Facilities	660,225	471,229	670,167	747,246	785,471	810,393
SUNY Dormitory Facilities	69,623	77,133	87,544	98,816	107,243	107,766
SUNY Upstate Community Colleges	49,486	51,576	63,470	65,730	71,950	79,250
CUNY Educational Facilities	339,017	372,271	406,261	428,775	420,113	444,806
State Education Department	4,331	4,493	5,280	5,546	5,747	5,739
Library for the Blind	975	1,083	583	1,062	1,081	0
SUNY Athletic Facilities	1,061	1,538	1,526	1,519	1,517	2,100
RESCUE	20,723	20,737	12,466	12,671	12,666	6,507
University Facilities (Jobs 2000)	6,240	6,247	6,245	2,903	2,902	2,169
Judicial Training Institute	779	1,250	1,251	374	565	1,307
School District Capital Outlays	13,171	13,160	13,151	0	0	0
Transp Grants / Statewide Longitudinal Data System	17,672	0	1,070	2,206	4,510	4,648
Higher Ed Capital Matching Grants	7,561	11,715	14,982	15,604	15,599	15,599
Public Broadcasting Facilities	1,879	1,871	1,882	1,879	1,883	1,878
EXCEL School Construction	146,451	155,243	181,762	190,466	191,886	191,635
Library Facilities	3,093	4,354	6,389	7,601	8,836	9,920
Cultural Educ Storage Facilities	634	638	2,171	3,638	5,299	5,700
Judiciary Training Academies	198	1,203	2,405	3,469	3,744	3,563
Health						
DOH & Veterans' Home Facilities	35,177	35,286	34,830	34,396	34,400	34,386
Health Care Grants	15,892	18,844	31,984	64,135	79,136	84,663
Mental Hygiene						
Mental Health Facilities	347,151	384,380	425,919	475,765	518,034	554,824
Public Protection						
ESDC:						
Prison Facilities	384,039	439,364	459,248	486,312	509,164	526,358
Youth Facilities	28,990	31,309	26,142	28,613	29,044	23,922
Homeland Security	1,957	1,988	2,369	3,447	4,297	4,990
Environment						
EFC/ERDA:						
Riverbank Park	4,753	4,756	4,757	4,933	4,937	4,932
Pilgrim Sewage Treatment	696	786	857	826	794	761
State Park Infrastructure	1,506	1,502	1,506	751	0	0
Pipeline for Jobs (Jobs 2000)	5,840	6,031	4,680	2,673	1,099	0
Environmental Infrastructure	84,248	99,638	101,187	88,402	79,743	77,487
Hazardous Waste Remediation	17,823	25,657	36,841	49,702	65,562	69,572
ESDC:						
Pine Barrens	1,234	1,317	1,312	1,312	1,312	1,315
State Buildings/Equipment						
ESDC:						
Empire State Plaza	34,425	34,430	0	0	0	0
State Buildings	8,692	8,919	8,981	10,718	10,750	10,748
State Capital Projects	20,613	20,608	20,607	20,615	20,612	20,608
ESDC / DA						
State Facilities	32,808	52,242	88,359	102,789	110,516	113,723
Equipment / Certificates of Participation	63,146	61,424	82,717	114,545	126,109	107,176
E911	23,069	8,677	8,678	0	0	0
Housing						
Housing Finance Agency	134,682	175,025	163,469	192,222	203,593	180,499
Economic Development						
TBTA/ESDC						
Javits Center	41,842	41,844	41,845	2,168	1,902	1,902
ESDC/DA						
University Technology Centers	21,319	22,539	22,544	22,553	18,308	14,257
Onondaga Convention Center	2,136	4,025	4,027	4,034	4,032	4,027
Sports Facilities	23,017	26,258	26,260	26,263	26,275	26,265
Community Enhancement Facilities	19,748	20,584	11,338	19,902	21,682	22,622
Child Care Facilities	1,625	2,173	2,171	2,171	1,758	1,753
Buffalo Inner Harbor	1,618	1,786	2,025	2,530	2,929	3,364
Strategic Investment Program	4,255	5,459	5,765	7,691	6,073	6,722
Regional Economic Growth	119,728	144,124	145,630	131,838	94,687	73,492
NYS Econ. Dev. Program	23,145	27,588	26,456	28,277	31,055	32,715
High Technology & Development	11,427	15,188	17,517	19,907	20,919	22,447
Regional Economic Development	5,737	6,682	7,355	7,350	7,550	7,461
Economic Development Initiatives	0	0	0	511	792	765
Semiconductor Manufacturing Facility	6,808	23,565	45,507	43,510	43,510	43,510
Other Economic Development	47,838	86,398	97,657	97,655	99,991	119,931
High Technology Projects	15,443	33,849	37,947	42,227	42,485	27,260
2008 & 2009 Economic Development Initiatives	16,449	68,756	74,514	81,042	86,991	70,398
RIOC Tram, etc.	180	6,757	8,421	9,901	9,963	4,694
Debt Management Strategies	0	(73,512)	(11,568)	0	(12,386)	(21,977)
Total Other Financing Arrangements	4,150,704	4,608,150	5,137,264	5,449,419	5,623,721	5,623,743
SUBTOTAL STATE-SUPPORTED DEBT SERVICE	4,961,471	5,471,007	6,039,275	6,353,899	6,514,558	6,515,351

STATE DEBT ISSUANCES						
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION BONDS						
Environment	50,441	57,260	56,442	56,442	56,442	56,442
Transportation	398,654	548,955	412,465	328,352	269,029	252,866
Subtotal General Obligation	449,095	606,215	468,907	384,794	325,471	309,308
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	1,594,420	717,672	504,781	229,827	0	0
Education	1,478,730	1,690,820	1,673,617	1,422,624	1,407,099	1,461,126
Environment	211,750	149,532	154,280	148,920	55,707	54,495
Health & Mental Hygiene	487,480	126,641	203,184	93,840	103,202	0
State Facilities & Equipment	452,630	712,425	542,722	468,570	411,183	396,246
Transportation	431,030	368,030	411,468	411,468	411,468	411,468
Subtotal PIT Revenue Bonds	4,656,040	3,765,120	3,490,051	2,775,249	2,388,659	2,323,334
Other Revenue						
Education						
SUNY Dorms	100,120	128,340	84,660	76,500	54,484	0
Health & Mental Hygiene						
Mental Health Services	0	274,085	441,942	681,004	590,395	554,578
Transportation						
Dedicated Highway	877,075	439,113	562,331	569,148	567,494	566,387
Subtotal Other Revenue Bonds	977,195	841,538	1,088,933	1,326,652	1,212,374	1,120,965
TOTAL STATE-SUPPORTED						
Economic Development & Housing	1,594,420	717,672	504,781	229,827	0	0
Education	1,578,850	1,819,160	1,758,277	1,499,124	1,461,584	1,461,126
Environment	262,191	206,792	210,722	205,362	112,149	110,937
Health & Mental Hygiene	487,480	400,726	645,126	774,844	693,597	554,578
State Facilities & Equipment	452,630	712,425	542,722	468,570	411,183	396,246
Transportation	1,706,759	1,356,098	1,386,264	1,308,968	1,247,991	1,230,721
SUBTOTAL STATE-SUPPORTED	6,082,330	5,212,873	5,047,891	4,486,694	3,926,505	3,753,606
OTHER STATE DEBT OBLIGATIONS						
Tobacco	0	0	0	0	0	0
All Other	0	0	0	0	0	0
SUBTOTAL OTHER STATE	0	0	0	0	0	0
GRAND TOTAL STATE-RELATED	6,082,330	5,212,873	5,047,891	4,486,694	3,926,505	3,753,606

STATE DEBT ISSUANCES						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION	449,095	606,215	468,907	384,794	325,471	309,308
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation Thruway Authority:						
Consolidated Local Highway Improvement	431,030	368,030	411,468	411,468	411,468	411,468
Dedicated Highway & Bridge	877,075	439,113	562,331	569,148	567,494	566,387
Education						
Dormitory Authority:						
SUNY Educational Facilities	576,335	1,032,600	905,760	863,940	855,780	859,326
SUNY Dormitory Facilities	100,120	128,340	84,660	76,500	54,484	0
SUNY Upstate Community Colleges	81,340	49,390	67,320	63,676	70,380	70,380
CUNY Educational Facilities	679,640	444,480	420,240	374,340	435,651	517,140
Statewide Longitudinal Data System	0	0	5,100	5,100	10,608	0
Higher Ed Capital Matching Grants	37,085	0	27,538	0	0	0
EXCEL School Construction	77,050	151,640	204,000	72,014	0	0
Library Facilities	12,020	12,710	13,555	14,280	14,280	14,280
Cultural Educ Storage Facilities	0	0	23,270	15,300	20,400	0
Judiciary Training Academies	15,260	0	6,834	13,974	0	0
Health						
Health Care Grants	53,080	126,641	203,184	93,840	103,202	0
Mental Hygiene						
Mental Health Facilities	434,400	274,085	441,942	681,004	590,395	554,578
Public Protection						
ESDC:						
Prison Facilities	258,985	260,904	266,002	275,197	281,735	283,050
Youth Facilities	25,530	20,400	19,380	19,380	19,380	19,380
Environment						
EFC/ERDA:						
Environmental Infrastructure	147,640	47,940	51,464	44,880	21,420	16,933
Hazardous Waste Remediation	64,110	101,592	102,816	104,040	34,287	37,562
State Buildings/Equipment						
ESDC / DA						
State Facilities	60,710	313,531	143,100	112,793	48,868	32,616
Equipment / Certificates of Participation	107,405	117,591	114,240	61,200	61,200	61,200
Housing						
Housing Finance Agency	261,145	130,873	130,111	114,348	0	0
Economic Development						
TBTA/ESDC						
Javits Center	0	0	25,500	0	0	0
ESDC/DA						
Community Enhancement Facilities	13,025	0	22,205	18,360	0	0
Buffalo Inner Harbor	3,485	1,683	3,417	2,550	0	0
Strategic Investment Program	11,055	1,520	8,415	9,435	0	0
Regional Economic Growth	25,150	21,290	89,714	20,424	0	0
NYS Econ. Dev. Program	27,855	7,390	14,832	22,107	0	0
High Technology & Development	40,015	17,540	19,632	7,548	0	0
Regional Economic Development	40,190	5,415	0	1,644	0	0
Economic Development Initiatives	0	0	4,138	2,593	0	0
Semiconductor Manufacturing Facility	408,410	255,000	0	0	0	0
Other Economic Development	360,925	163,580	0	0	0	0
High Technology Projects	61,000	32,946	61,200	0	0	0
2008 & 2009 Economic Development Initiatives	307,955	70,235	98,637	30,817	0	0
RIOC Tram, etc.	34,210	10,200	26,979	0	0	0
Total Other Financing Arrangements	<u>5,633,235</u>	<u>4,606,658</u>	<u>4,578,984</u>	<u>4,101,900</u>	<u>3,601,034</u>	<u>3,444,298</u>
TOTAL ISSUANCES	<u><u>6,082,330</u></u>	<u><u>5,212,873</u></u>	<u><u>5,047,891</u></u>	<u><u>4,486,694</u></u>	<u><u>3,926,505</u></u>	<u><u>3,753,606</u></u>

STATE DEBT RETIREMENTS						
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION BONDS						
Economic Development & Housing	15,286	12,742	12,134	10,105	9,115	8,305
Environment	188,385	181,724	172,232	166,142	145,916	130,134
Transportation	<u>151,632</u>	<u>170,225</u>	<u>181,869</u>	<u>180,602</u>	<u>185,532</u>	<u>189,318</u>
Subtotal General Obligation	<u>355,304</u>	<u>364,692</u>	<u>366,234</u>	<u>356,849</u>	<u>340,563</u>	<u>327,757</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	242,995	353,605	387,697	399,728	381,908	357,113
Education	197,890	219,650	254,594	270,377	303,376	326,209
Environment	46,925	59,585	71,965	68,378	67,995	73,605
Health & Mental Hygiene	25,845	47,180	54,875	89,096	104,822	113,432
State Facilities & Equipment	130,320	119,280	168,402	217,323	248,769	243,094
Transportation	<u>95,675</u>	<u>110,105</u>	<u>130,906</u>	<u>147,141</u>	<u>165,060</u>	<u>184,801</u>
Subtotal PIT Revenue Bonds	<u>739,650</u>	<u>909,405</u>	<u>1,068,439</u>	<u>1,192,043</u>	<u>1,271,930</u>	<u>1,298,253</u>
Other Revenue						
Education						
SUNY Dorms	31,170	32,130	35,670	40,855	45,941	47,556
Health & Mental Hygiene						
Health Income	13,315	13,980	14,665	14,490	15,200	16,145
Mental Health Services	193,210	176,275	189,862	205,678	215,820	223,414
Local Government Assistance						
Sales Tax	197,398	202,472	226,450	238,820	233,183	236,355
Transportation						
Dedicated Highway	<u>236,340</u>	<u>269,850</u>	<u>545,605</u>	<u>581,872</u>	<u>591,482</u>	<u>608,456</u>
Subtotal Other Revenue Bonds	<u>671,433</u>	<u>694,707</u>	<u>1,012,252</u>	<u>1,081,714</u>	<u>1,101,627</u>	<u>1,131,925</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	88,137	114,626	98,346	70,424	85,562	67,636
Education	359,694	308,265	408,321	404,748	395,860	397,249
Environment	22,846	22,390	18,706	14,729	14,878	9,134
Health & Mental Hygiene	3,205	3,365	3,515	3,680	3,865	3,865
State Facilities & Equipment	182,938	203,465	208,484	224,585	233,430	234,730
Transportation	<u>210,410</u>	<u>197,380</u>	<u>233,600</u>	<u>230,000</u>	<u>229,900</u>	<u>230,380</u>
Subtotal Service Contract & Lease-Purchase	<u>867,228</u>	<u>849,491</u>	<u>970,971</u>	<u>948,166</u>	<u>963,495</u>	<u>942,994</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	346,418	480,973	498,176	480,257	476,585	433,054
Education	588,754	560,045	698,584	715,979	745,178	771,014
Environment	258,156	263,699	262,903	249,249	228,789	212,872
Health & Mental Hygiene	235,575	240,800	262,917	312,944	339,707	356,856
LGAC	197,398	202,472	226,450	238,820	233,183	236,355
State Facilities & Equipment	313,258	322,745	376,886	441,908	482,198	477,824
Transportation	694,057	747,560	1,091,980	1,139,615	1,171,975	1,212,955
SUBTOTAL STATE-SUPPORTED	<u>2,633,616</u>	<u>2,818,294</u>	<u>3,417,896</u>	<u>3,578,773</u>	<u>3,677,615</u>	<u>3,700,930</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco	331,250	244,905	316,420	335,530	356,215	377,995
All Other	86,588	83,824	88,087	90,912	93,374	91,993
SUBTOTAL OTHER STATE DEBT	<u>417,838</u>	<u>328,729</u>	<u>404,507</u>	<u>426,442</u>	<u>449,589</u>	<u>469,988</u>
GRAND TOTAL STATE-RELATED						
	<u>3,051,454</u>	<u>3,147,023</u>	<u>3,822,403</u>	<u>4,005,215</u>	<u>4,127,203</u>	<u>4,170,918</u>

STATE DEBT RETIREMENTS						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
SUBTOTAL STATE-SUPPORTED	<u>2,633,616</u>	<u>2,818,294</u>	<u>3,417,896</u>	<u>3,578,773</u>	<u>3,677,615</u>	<u>3,700,930</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	45,100	50,635	53,760	56,185	58,695	60,925
Tobacco Settlement Financing Corp.	331,250	244,905	316,420	335,530	356,215	377,995
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	13,798	4,564	4,737	4,597	4,859	2,568
MCFFA Nursing Homes and Hospitals	375	400	445	475	515	555
State Guaranteed Debt						
Job Development Authority (JDA)	4,725	4,525	4,280	3,505	3,090	3,090
State Funded						
MBBA Prior Year School Aid Claims	22,590	23,700	24,865	26,150	26,215	24,855
SUBTOTAL OTHER STATE	<u>417,838</u>	<u>328,729</u>	<u>404,507</u>	<u>426,442</u>	<u>449,589</u>	<u>469,988</u>
GRAND TOTAL STATE-RELATED	<u>3,051,454</u>	<u>3,147,023</u>	<u>3,822,403</u>	<u>4,005,215</u>	<u>4,127,203</u>	<u>4,170,918</u>

STATE DEBT RETIREMENTS						
2009-2010 THROUGH 2014-2015						
(thousands of dollars)						
	Actuals					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
GENERAL OBLIGATION	355,304	364,692	366,234	356,849	340,563	327,757
LOCAL GOVERNMENT ASSISTANCE						
CORPORATION	197,398	202,472	226,450	238,820	233,183	236,355
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION						
FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	51,985	54,550	57,335	60,320	63,580	67,040
Dormitory Authority						
Albany County Airport	2,405	2,530	2,650	2,735	2,835	2,930
Thruway Authority:						
Consolidated Local Highway Improvement	251,695	250,405	304,521	314,086	328,545	345,211
Dedicated Highway & Bridge	236,340	269,850	545,605	581,872	591,482	608,456
Education						
Dormitory Authority:						
SUNY Educational Facilities	209,947	237,944	306,433	345,427	369,892	382,890
SUNY Dormitory Facilities	31,170	32,130	35,670	40,855	45,941	47,556
SUNY Upstate Community Colleges	20,770	21,715	19,595	25,370	27,291	33,092
CUNY Educational Facilities	211,628	160,522	212,230	193,382	183,144	189,649
State Education Department	2,220	2,280	1,950	3,065	3,430	3,565
Library for the Blind	855	900	950	1,000	1,060	0
SUNY Athletic Facilities	1,145	815	820	835	860	1,485
RESCUE	15,050	15,750	16,610	9,415	9,885	4,235
University Facilities (Jobs 2000)	5,020	5,255	5,500	2,425	2,540	1,930
Judicial Training Institute	710	750	790	835	195	960
School District Capital Outlays	11,265	11,835	12,470	0	0	0
Transp Grants / Statewide Longitudinal Data System	17,000	0	951	1,928	3,962	4,112
Higher Ed Capital Matching Grants	5,000	8,205	10,517	10,944	11,515	12,061
Public Broadcasting Facilities	1,325	1,375	1,455	1,525	1,605	1,680
EXCEL School Construction	53,785	57,415	66,622	71,482	75,034	78,477
Library Facilities	1,700	2,450	3,686	4,240	4,843	5,312
Cultural Educ Storage Facilities	165	175	833	1,301	1,931	2,042
Judiciary Training Academies	0	530	1,502	1,952	2,051	1,967
Health						
DOH & Veterans' Home Facilities	16,520	17,345	18,180	18,170	19,065	20,010
Health Care Grants	9,410	10,020	18,090	40,311	51,572	53,292
Mental Hygiene						
Mental Health Facilities	209,645	213,435	226,647	254,463	269,070	283,554
Public Protection						
ESDC:						
Prison Facilities	151,648	193,982	218,043	245,454	266,097	278,996
Youth Facilities	21,650	22,612	16,338	18,445	18,628	13,295
Homeland Security	960	1,000	1,114	1,544	1,909	2,245
Environment						
EFC/ERDA:						
Riverbank Park	2,320	2,470	2,620	2,785	3,135	3,295
Pilgrim Sewage Treatment	600	700	800	800	800	800
State Park Infrastructure	1,270	1,330	1,400	715	0	0
Pipeline for Jobs (Jobs 2000)	5,004	5,415	4,320	2,510	1,050	0
Environmental Infrastructure	56,127	65,062	66,851	53,689	44,438	42,580
Hazardous Waste Remediation	1,885	6,053	13,694	21,574	32,367	34,925
West Valley	1,740	0	0	0	0	0
ESDC:						
Pine Barrens	825	945	986	1,034	1,083	1,139
State Buildings/Equipment						
ESDC:						
Empire State Plaza	6,614	6,110	0	0	0	0
State Capital Projects	10,620	11,225	11,860	12,540	13,270	14,010
ESDC / DA / OGS						
State Facilities	24,300	27,304	48,283	59,954	65,898	70,593
Equipment / Certificates of Participation	76,112	52,641	72,982	103,972	116,397	98,685
E911	21,355	7,870	8,265	0	0	0
Housing						
Housing Finance Agency	67,795	92,830	87,740	112,012	120,619	104,555
Economic Development						
TBTA/ESDC						
Javits Center	36,805	39,475	41,845	309	328	348
ESDC/DA						
University Technology Centers	12,203	13,778	14,403	15,080	11,547	8,031
Onondaga Convention Center	595	2,510	2,635	2,765	2,900	3,035
Sports Facilities	13,130	15,685	16,315	17,015	17,800	18,605
Community Enhancement Facilities	17,580	22,405	8,360	9,660	21,337	19,667
Child Care Facilities	1,270	1,335	1,400	1,470	2,785	1,285
Buffalo Inner Harbor	690	720	884	1,177	1,461	2,005
Strategic Investment Program	3,750	4,195	4,690	6,494	4,735	5,630
Regional Economic Growth	112,534	117,200	121,087	110,511	72,574	55,298
NYS Econ. Dev. Program	13,289	16,961	16,185	17,546	19,613	22,377
High Technology & Development	6,360	8,500	10,760	12,153	13,334	15,538
Regional Economic Development	4,042	4,839	5,571	5,812	6,151	6,356
Economic Development Initiatives	0	0	0	209	352	375
Semiconductor Manufacturing Facility	0	0	7,960	8,334	8,725	9,135
Other Economic Development	24,019	46,618	56,198	58,144	62,664	85,005
High Technology Projects	8,880	26,010	28,667	29,673	31,771	17,892
2008 & 2009 Economic Development Initiatives	8,190	49,355	54,355	54,898	61,356	47,219
RIOC Tram, etc.	0	5,815	6,988	6,891	7,420	2,392
Total Other Financing Arrangements	2,080,913	2,251,131	2,825,212	2,983,104	3,103,868	3,136,818
SUBTOTAL STATE-SUPPORTED RETIREMENTS	2,633,616	2,818,294	3,417,896	3,578,773	3,677,615	3,700,930

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
001	Local Assistance Account	General
002	State Capital Projects	Capital Projects
003	State Operations Account	General
004	Tax Stabilization Reserve	General
005	Contingency Reserve	General
006	Universal Pre-Kindergarten Reserve	General
007	Community Projects	General
008	Rainy Day Reserve Fund	General
013	Attica State Employee Victims'	General
017	Refund Reserve Account	General
019	Mental Health Gift and Donations	Special Revenue
020	Combined Expendable Trust	Special Revenue
021	Agriculture Producers' Security	Private Purpose Trust
022	Milk Producers' Security	Private Purpose Trust
023	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	New York State Archives Partnership Trust	Special Revenue
025	Child Performer's Protection	Special Revenue
050	Tuition Reimbursement	Special Revenue
052	New York State Local Government Records Management Improvement	Special Revenue
053	School Tax Relief	Special Revenue
054	Charter Schools Stimulus	Special Revenue
055	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	Hudson River Valley Greenway	Special Revenue
059	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	Health Care Reform Act Resources	Special Revenue
064	Debt Reduction Reserve	Debt Service
065	State University Construction Fund Educational Facilities Payment	Debt Service
072	Dedicated Highway and Bridge Trust	Capital Projects
073	Dedicated Mass Transportation Trust	Special Revenue
074	State University Residence Halls Rehabilitation and Repair	Capital Projects
075	New York State Canal System Development	Capital Projects
076	State Park Infrastructure	Capital Projects
077	Passenger Facility Charge	Capital Projects
078	Environmental Protection	Capital Projects
079	Clean Water/Clean Air Implementation	Capital Projects
080	Hudson River Park	Capital Projects
101	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	Park and Recreation Land Acquisition Bond	Capital Projects
105	Pure Waters Bond	Capital Projects
106	Outdoor Recreation Development Bond	Capital Projects
109	Transportation Capital Facilities Bond	Capital Projects
115	Environmental Quality Protection Bond Act (1972)	Capital Projects
118	Rail Preservation and Development Bond	Capital Projects

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
119	State Housing Bond	Capital Projects
121	Rebuild and Renew New York Transportation Bond	Capital Projects
123	Transportation Infrastructure Renewal Bond	Capital Projects
124	Environmental Quality Bond Act (1986)	Capital Projects
126	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	Clean Water/Clean Air Bond	Capital Projects
129	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	School Capital Facilities Financing Reserve	Agency
135	Child Performer's Holding	Agency
136	Child Performer's Holding	Agency
137	Child Performer's Holding	Agency
152	Employees Health Insurance	Agency
153	Social Security Contribution	Agency
154	Employee Payroll Withholding	Agency
160	State Lottery	Special Revenue
162	Employees Dental Insurance	Agency
163	Management Confidential Group Insurance	Agency
165	Lottery Prize	Agency
166	Fringe Benefit Escrow	General
167	Health Insurance Reserve Receipts	Agency
169	Miscellaneous New York State Agency	Agency
174	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency
176	CUNY Senior College Operating	Agency
179	Medicaid Management Information System (MMIS) Escrow	Agency
221	Combined Student Loan	Special Revenue
225	MTA Financial Assistance Fund	Special Revenue
261	Federal USDA/Food and Nutrition Services	Special Revenue
265	Federal Health and Human Services	Special Revenue
267	Federal Education	Special Revenue
269	Federal Block Grants	Special Revenue
290	Federal Miscellaneous Operating Grants	Special Revenue
291	Federal Capital Projects	Capital Projects
300	Sewage Treatment Program Management and Administration	Special Revenue
301	ENCon Special Revenue	Special Revenue
302	Conservation	Special Revenue
303	Environmental Protection and Oil Spill Compensation	Special Revenue
304	Mental Health Services	Debt Service
305	Training and Education Program on Occupational Safety and Health	Special Revenue
306	Lawyers' Fund For Client Protection	Special Revenue
307	Equipment Loan Fund for the Disabled	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
309	Special Education	Agency
310	Forest Preserve Expansion	Capital Projects
311	General Debt Service	Debt Service
312	Hazardous Waste Remedial	Capital Projects
313	Mass Transportation Operating Assistance	Special Revenue
314	Clean Air	Special Revenue
315	Grade Crossing Elimination Debt Service	Debt Service
316	Housing Debt	Debt Service
317	Pine Barrens	Capital Projects
318	New York State Infrastructure Trust	Special Revenue
319	Department of Health Income	Debt Service
321	Legislative Computer Services	Special Revenue
322	Lake Champlain Bridges	Capital Projects
323	Centralized Services	Internal Service
324	Youth Commissary	Enterprise
325	State Exposition Special	Enterprise
326	Correctional Services Commissary	Enterprise
327	Suburban Transportation	Capital Projects
328	Biodiversity Stewardship and Research	Special Revenue
330	State University Dormitory Income	Debt Service
331	Agency Enterprise	Enterprise
332	Combined Non-Expendable Trust	Special Revenue
333	Winter Sports Education Trust	Special Revenue
334	Agency Internal Service	Internal Service
335	Musical Instrument Revolving	Special Revenue
337	Rural Housing Assistance	Special Revenue
338	Arts Capital Revolving	Special Revenue
339	Miscellaneous State Special Revenue	Special Revenue
340	Court Facilities Incentive Aid	Special Revenue
341	Employment Training	Special Revenue
342	Homeless Housing and Assistance	Special Revenue
343	Mental Hygiene Revolving	Internal Service
344	State University Revenue Collection	Agency
345	State University Income	Special Revenue
346	Chemical Dependence Service	Special Revenue
347	Youth Vocational Education	Internal Service
348	Tobacco Revenue Guarantee	General
349	Lake George Park Trust	Special Revenue
351	Sheltered Workshop	Enterprise
352	Patient Workshop	Enterprise
353	Mental Hygiene Community Stores	Enterprise
354	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	New York Great Lakes Protection	Special Revenue
357	Division For Youth Facilities Improvement	Capital Projects
358	Youth Centers Facility	Capital Projects
359	Federal Revenue Maximization Contract	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
360	Housing Development	Special Revenue
361	Clean Water/Clean Air	Debt Service
362	NYSDOT Commercial Vehicle Safety Program	Special Revenue
364	Local Government Assistance Tax	Debt Service
365	Vocational Rehabilitation	Special Revenue
366	Drinking Water Program Management and Administration	Special Revenue
368	New York City County Clerks' Operations Offset	Special Revenue
369	Judiciary Data Processing Offset	Special Revenue
374	Housing Assistance	Capital Projects
376	Housing Program	Capital Projects
377	City University Tuition Reimbursement (CUTRA)	Special Revenue
378	Natural Resource Damages	Capital Projects
380	Department of Transportation Engineering Services	Capital Projects
382	State University Federal Direct Lending	Agency
383	Supplemental Jury Facilities	Special Revenue
384	State University Capital Projects	Capital Projects
385	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	Miscellaneous Capital Projects	Capital Projects
388	City University of New York Capital Projects	Capital Projects
389	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	Indigent Legal Services	Special Revenue
394	Joint Labor and Management Administration	Internal Service
395	Audit and Control Revolving	Internal Service
396	Health Insurance Revolving	Internal Service
397	Correctional Industries Revolving	Internal Service
399	Correctional Facilities Capital Improvement	Capital Projects
400	Common Retirement - Administration	Pension Trust
450	Industrial Exhibit Authority	Enterprise
480	Unemployment Insurance Administration	Special Revenue
481	Unemployment Insurance Benefit	Enterprise
482	Unemployment Insurance Interest and Penalty	Special Revenue
484	Unemployment Insurance Occupational Training	Special Revenue
486	Federal Employment and Training Grants	Special Revenue

STATE OF NEW YORK FUND STRUCTURE

