



FY 2021 Enacted Budget Financial Plan

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Introduction

Introduction

The Financial Plan for Fiscal Year (FY) 2021 (“Financial Plan”) summarizes the State of New York (“State”) official projections for FY 2021 through FY 2024 based on the FY 2021 Enacted Budget (“Budget”). The State’s FY 2021 began on April 1, 2020 and ends on March 31, 2021.

Factors affecting the State’s financial condition are numerous and complex. The Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates, and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete, or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expect,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” “assumes,” and analogous expressions are intended to identify forward-looking statements. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; severe epidemic or pandemic events; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State's expectations as of the date of this Financial Plan.

Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information is presented on a cash basis of accounting.¹

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund Budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions; (b) restore the balances in the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund (collectively, the "Rainy Day Reserves") to levels at or above those on deposit when the fiscal year began; and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in the Financial Plan is generally weighted toward the General Fund.

At times, the Division of the Budget (DOB) will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., reserve for timing of payments). These amounts are typically, but not uniformly, identified with the phrase "reserved for." They are not held in distinct accounts within the General Fund and may be used for other purposes.

¹ State Finance Law also requires DOB to prepare a pro forma Financial Plan using, to the extent practicable, Generally Accepted Accounting Principles (GAAP). The GAAP-basis Financial Plan is informational only. DOB does not use it as a benchmark for managing State finances during the fiscal year and does not update it on a quarterly basis. The GAAP-basis Financial Plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

Projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current service levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the multi-year projections assume that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

State Operating Funds is a broader measure of spending on operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Projects Funds and Federal Funds is excluded). As significant financial activity occurs in funds outside the General Fund, the State Operating Funds perspective is, in DOB's view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective.

The Financial Plan projections reflect certain actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) payment of certain operating costs using available resources outside the State Operating Funds basis of reporting; and (b) reclassification as Enterprise Funds of certain activities in which goods or services are provided to the public for a fee. If these or other transactions are not executed or reported in a manner consistent with DOB's interpretation of the legislation and legislative intent, annual spending growth in State Operating Funds would be higher than projections.

State Operating Funds Spending Adjustments in this Financial Plan

State Operating Funds disbursements displayed in certain Financial Plan tables and descriptions in the narrative section of this Financial Plan have been adjusted for the following:

1. The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 restates FY 2019 disbursements by adding \$1.7 billion in Medicaid payments that were deferred at the close of that fiscal year. See Note 12 in the Financial Plan Accompanying Notes at the end of this Financial Plan.
2. The repayment of intra-year borrowings expected to be executed and repaid within the current fiscal year is excluded from the State Operating Funds spending in FY 2021.

The Financial Plan tables starting at T-1 of this Financial Plan are not adjusted. The FY 2019 results reflect the cash-basis results as reported in the Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting, Fiscal Year Ended March 31, 2019.

Overview of the Financial Plan

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)		
	FY 2020 Results	FY 2021 Enacted
State Operating Funds Disbursements^{1,4}		
Size of Budget	\$102,159	\$94,901
Annual Growth	0.3%	-7.1%
Other Disbursement Measures		
General Fund (Including Transfers) ²	\$77,469	\$73,169
Annual Growth	6.4%	-5.6%
Capital Budget (Federal and State)	\$11,999	\$14,734
Annual Growth	-2.2%	22.8%
Federal Operating Aid	\$58,823	\$63,383
Annual Growth	0.6%	7.8%
All Funds	\$172,981	\$177,518
Annual Growth	1.2%	2.6%
Capital Budget (Including "Off-Budget" Capital) ³	\$12,484	\$15,093
Annual Growth	-2.3%	20.9%
All Funds (Including "Off-Budget" Capital) ³	\$173,466	\$177,877
Annual Growth	1.2%	2.5%
Inflation (CPI)	1.9%	0.5%
All Funds Receipts		
Taxes	\$82,889	\$75,543
Annual Growth	9.7%	-8.9%
Miscellaneous Receipts	\$29,466	\$30,669
Annual Growth	-5.5%	4.1%
Federal Receipts (Operating and Capital)	\$65,080	\$72,833
Annual Growth	6.1%	11.9%
Total All Funds Receipts	\$177,435	\$179,045
Annual Growth	5.5%	0.9%
General Fund Cash Balance	<u>\$8,944</u>	<u>\$6,717</u>
Rainy Day Reserves	\$2,476	\$2,476
Extraordinary Monetary Settlements	\$2,610	\$2,185
Economic Uncertainties	\$890	\$970
All Other Reserves/Fund Balances	\$2,968	\$1,086
Debt		
Debt Service as % All Funds Receipts ⁴	2.8%	3.3%
State-Related Debt Outstanding	\$54,447	\$59,450
Debt Outstanding as % Personal Income	3.9%	4.2%
State Workforce FTEs (Subject to Direct Executive Control)	118,193	118,850
<p>¹ The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 is presented herein using restated FY 2019 results that include the \$1.7 billion FY 2019 Medicaid payment deferral.</p> <p>² Includes planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds for designated purposes.</p> <p>³ Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.</p> <p>⁴ Excludes the repayment of \$4.5 billion liquidity financing during FY 2021.</p>		

Executive Summary

- New York is currently the epicenter of the novel coronavirus (COVID-19) pandemic in the United States, with more cases and deaths to date than any other state. The spread of the virus has been slowing due to effective social distancing restrictions and closures. These restrictions and closures are expected to remain in place through at least May 15, 2020.
- The pandemic has caused economic activity in the nation and the State to drop abruptly and dramatically. The consensus is that the Global and United States economies are now in recession, the severity and duration of which is highly uncertain.
- The State's updated economic outlook for FY 2021 is similarly bleak. Most key measures of economic output are expected to drop sharply in comparison to FY 2020, and unemployment in the State is expected to average over 11 percent in FY 2021.
- The pandemic's impact on economic activity has rendered the FY 2021 Executive Budget receipts estimates obsolete. In comparison to the February Plan, DOB has reduced the FY 2021 estimate for General Fund receipts by \$13.3 billion. All Funds tax receipts alone are down by \$12.4 billion -- a 14 percent reduction in a span of two months. Unlike the Federal government, the State does not routinely borrow for operating expenses and must balance its budget with reductions that correspond to the reduction in revenues.
- The dramatic decline in General Fund receipts is not a one-year problem. DOB expects the reduced receipts to carry through each subsequent year of the Financial Plan, creating a total loss of \$60.5 billion through FY 2024 compared to the Executive Budget.
- To date, the Federal government has taken legislative, administrative, and Federal Reserve actions intended to stabilize financial markets; extend aid to large and small businesses, health care providers, and individuals; and reimburse governments for the direct costs of pandemic response.
- However, the Federal legislation provides almost no unrestricted aid to replace the severe loss in expected State tax receipts. The temporary FMAP increase is estimated to provide \$1.45 billion in savings in FY 2021, however Medicaid enrollment growth as a result of the recession may erode the value the FMAP benefit. The current FMAP benefit leaves 97.6 percent of the four-year receipts loss to be solved by the State. This must be done mainly with cuts to spending.
- With no assurance of direct Federal aid – and in awareness that FY 2021 collections from taxes and other receipts are likely to fall materially below the level needed to fund authorized disbursements -- the Enacted Budget grants the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. In addition, the Director is authorized to withhold and reduce specific local aid payments during the fiscal year.

- The Budget is deemed out of balance for the fiscal year, and the Director’s powers are activated, if actual tax receipts are less than 99 percent of estimated tax receipts, or actual disbursements are more than 101 percent of estimated disbursements, as measured at three points during the year (April 1-30, May 1-June 30, and July 1-December 31).
- The Budget is premised on the assumption that (a) the budget will be deemed out of balance when the April measurement period is complete, (b) the Director’s powers will be activated, and (c) across-the-board (ATB) and targeted reductions to local aid programs will be taken to close a substantial portion of the FY 2021 budget gap caused by the receipts shortfall.
- The Financial Plan includes \$8.2 billion in recurring reductions in aid-to-localities disbursements that will be executed pursuant to the budget-balance and withholding authority granted in the Budget. DOB is preparing a detailed plan itemizing the specific appropriations and disbursements that will be reduced or withheld, and expects to transmit the plan to both houses of the Legislature in May.
- The magnitude of reductions in the aid-to-localities savings plan will depend on the programs that are included or excluded from reductions, the level of targeted reductions in certain areas that are achievable, and the availability of unrestricted Federal aid.
- The scope and depth of the reductions to local aid programs needed to balance the FY 2021 Budget have no precedent in modern times. In the absence of Federal aid, nearly every activity funded by state government in the aid to localities budget -- from special education to children’s health insurance to residential services for vulnerable populations to substance abuse programs to school property tax relief to direct aid to localities to operating aid to mass transit to higher education – will face steep cuts. Any of these reductions can be mitigated during the fiscal year if additional Federal aid is made available or revenues perform better than forecast.
- Spending for State agency operations will also be reduced steeply, with hiring, salary increases, and purchasing all put on hold.
- State spending, excluding the impact of liquidity financing, is expected to decline by \$7.3 billion in FY 2021, a decrease of 7.1 percent from FY 2020 results. This is the largest annual percent decline in spending since the Great Depression.²
- The wide-ranging economic, health, and social disruptions caused by the COVID-19 outbreak are having an adverse impact on State authorities and localities, including the Metropolitan Transportation Authority (MTA) and the City of New York. The aid-to-localities reductions that will need to be taken in this Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

² Excluding the annual change in disbursements from FY 1942 to FY 1943 that resulted from a nine-month fiscal year in 1943.

COVID-19 Pandemic

The Public Health Crisis

The outbreak of COVID-19, a respiratory disease in humans caused by a new strain of coronavirus, was first detected in China in December 2019. Since that time, it has spread globally, including to the United States. The World Health Organization classified the outbreak as a pandemic on March 11, 2020, and the President of the United States declared a national state of emergency on March 13, 2020.

New York is currently the epicenter of the outbreak in the United States. From March 1, 2020, when the first case of the new virus was confirmed in the State, through April 18, 2020, the State recorded 236,732 confirmed cases of COVID-19. Confirmed cases in New York on April 18, 2020 accounted for 32 percent of all cases in the United States. The President approved a major disaster declaration for the State on March 20, 2020, making Federal aid available through the Federal Emergency Management Agency (FEMA) to supplement State, tribal, and local recovery efforts for the period beginning January 20, 2020.

Since the outbreak began, the State has adopted a series of increasingly restrictive measures intended to slow the spread of the virus and expand health care system capacity. On March 3, 2020, the State approved a \$40 million special emergency appropriation for pandemic response services and expenses. On March 7, 2020, the Governor issued an executive order declaring a disaster emergency in the State. On March 20, 2020, the Governor signed the "New York State on PAUSE" executive order that included a new directive requiring all non-essential businesses statewide to close in-office personnel functions, effective at 8 pm on Sunday, March 22, 2020, and banning all non-essential gatherings of individuals of any size for any reason. On April 16, 2020, the restrictions, closures, and other directives in the executive order were extended through May 15, 2020. It is expected that the Governor will continue to modify the executive order as events warrant.

As of April 18, 2020, the rate of new infections, hospitalizations, and deaths had been trending downward for several days. To reduce the risk of a resurgence in infections, the State has identified the implementation of a large-scale regime of testing (both diagnostic and antibody) and contact tracing as a condition for safely relaxing restrictions. In the absence of consistent guidance from Federal officials and agencies, the State is working with states in the region (CT, DE, MA, NJ, PA, and RI) to develop a unified plan for lifting restrictions and closures.

Severe Economic Disruption

The pandemic has caused economic activity in the nation and the State to drop abruptly and dramatically. There is consensus that the global and United States economies are now in recession, the severity and duration of which is highly uncertain. Initial unemployment claims surged in the second half of March through the first three weeks of April, bringing the five-week total to approximately 26.5 million. This number of initial claims is record breaking for a five-week period and surpasses the nonfarm job gains from October 2010 through February 2020. DOB estimates that U.S. real Gross Domestic Product (GDP) declined by 3.1 percent for the first quarter of 2020 and will fall by 24.5 percent in the second quarter. For comparison, the prior DOB forecast (February 2020) estimated quarterly growth of 1.5 percent and 2.5 percent, respectively. On an annual basis, U.S. real GDP growth for 2020 is expected to contract by 5.7 percent.

The State's updated economic outlook for FY 2021 is bleak. Most key measures of economic output are expected to drop sharply in comparison to FY 2020. DOB forecasts that nonfarm employment will fall by 7 percent; total wages will fall by 7.2 percent, and personal income and wages (excluding bonuses) will fall by 2.2 percent. Financial and insurance sector bonuses, an important source of personal income tax collections, are expected to drop by 50 percent. The State's unemployment rate is expected to average 11.4 percent, a level higher than any recorded since the current methodology for calculating the rate was introduced.

At the request of DOB, the Boston Consulting Group (BCG) compiled an in-depth economic impact analysis of COVID-19 on the State economy. The findings in the BCG analysis are consistent with DOB's overall U.S. and State economic outlook and the implied revenue losses in comparison to the Executive Budget. The BCG analysis indicates a downturn that is deeper -- and a recovery that takes longer -- than the "Great Recession" and the recession that followed the terrorist attacks of September 11, 2001.³

Impact on State Budget Estimates

The Governor proposed the FY 2021 Executive Budget on January 21, 2020, and amendments to it on February 14, 2020. In the Executive Budget Financial Plan⁴ dated February 24, 2020, DOB estimated that, if the budget was adopted without modification, the General Fund would be balanced in FY 2021. The outyear budget gaps were projected at \$1.9 billion in FY 2022, \$3.3 billion in FY 2023, and \$3.3 billion in FY 2024. After years of sound fiscal planning New York's financial position was strong. The four-year gap of \$8.5 billion was lower than the \$10 billion, *one-year* gap the Governor closed in his first budget (FY 2012). The Budget proposed \$105.8 billion in State Operating Funds spending for FY 2021, an annual increase of \$1.9 billion, or 1.9 percent.

³ BCG's analysis included the latest data related to weekly unemployment insurance claims that were not available at the time that DOB's economic and revenue forecasts were completed.

⁴ Executive Budget Financial Plan as Updated for Governor's Amendments and Forecast Revisions.

The pandemic’s impact on economic activity has rendered the Executive Budget estimates obsolete. In this Financial Plan, DOB has reduced the FY 2021 estimate for General Fund receipts⁵ by \$13.3 billion in comparison to the Executive plan. Steep reductions have been made to the estimates for General Fund tax receipts (\$12.2 billion), lottery and gaming revenues that support School Aid (\$858 million), and dedicated tax receipts to the DHBTF, for which the General Fund is the payor of last resort (\$168 million). For context, All Funds tax receipts are expected to decline by 8.9 percent from FY 2020 – and 14.1 percent from the Executive forecast -- and remain at that reduced level in FY 2022. Tax receipts are not expected to return to FY 2020 levels until FY 2024.

The dramatic decline in General Fund receipts is forecast to carry through each subsequent year of the Financial Plan, for a total loss of \$60.5 billion through FY 2024 compared to the Executive Budget. The total budget gap for the four-year Financial Plan period (FY 2021 through FY 2024), prior to the savings measures described below, is now \$69 billion, or more than 8 times higher than the total gap of \$8.5 billion projected in the Executive Budget Financial Plan. The following table summarizes the revisions to General Fund receipts as a result of the pandemic.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS (millions of dollars)					
	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	Total
EXECUTIVE BUDGET SURPLUS/(GAP)	0	(1,939)	(3,313)	(3,266)	(8,518)
Receipts (includes Lottery Aid)	(13,089)	(15,858)	(15,756)	(15,547)	(60,250)
Dedicated Tax Receipts (DHBTF)	(168)	(41)	(39)	(42)	(290)
UPDATED BUDGET SURPLUS/(GAP) BEFORE ACTIONS	(13,257)	(17,838)	(19,108)	(18,855)	(69,058)

Actual receipts losses may be materially higher than this estimate, depending on the severity and duration of the pandemic and the impact on economic activity within the State and nationally.

Federal Assistance to Date

To date, the Federal government has taken legislative, administrative, and Federal Reserve actions intended to stabilize financial markets; extend aid to large and small businesses, health care providers, and individuals; and reimburse governments for the direct costs of pandemic response. From the perspective of the State Financial Plan, the most significant actions to date include the President’s approval designating New York as a major disaster area, making it eligible for FEMA grants and aid; establishment of the Coronavirus Relief Fund under the Coronavirus Aid, Relief, and Economic Security (CARES) Act, which will provide the State with funding for COVID-related expenses; a temporary increase in FMAP, which is the matching rate that the Federal government pays on eligible Medicaid expenditures; and the creation of a Municipal Liquidity Facility that authorizes the Federal Reserve to purchase revenue and bond anticipation notes of states and certain local governments. Each of these actions are described in more detail later in this Financial Plan.

⁵ Includes receipts in other funds where the General Fund is the payor of last resort.

The Federal legislation to date, however, provides almost no unrestricted aid to replace the severe loss in expected State tax receipts. The temporary FMAP increase is estimated to provide \$1.45 billion in savings in FY 2021, leaving 97.6 percent of the four-year receipts loss to be solved by the State. Medicaid enrollment growth as a result of the recession is likely to further erode the value of the FMAP benefit. As of the date of this Financial Plan, certain congressional leaders have expressed support for legislation to provide such aid to the states and local governments, but no consensus has been reached. Therefore, the State cannot count on additional Federal aid and must move ahead with plans to impose deep, widespread reductions to local aid programs and agency operations to provide for a balanced budget in FY 2021. If unrestricted aid becomes available, or tax receipts rebound unexpectedly, the planned reductions may become less severe.

FY 2021 Enacted Budget Financial Plan

Budget Adoption

The FY 2021 Enacted Budget was adopted on April 2, 2020, in rapidly deteriorating economic and public health conditions, and with no clarity on whether additional Federal aid would be enacted to cushion expected revenue losses. In negotiations, the Governor and Legislative leaders agreed that timely budget adoption was essential for pandemic response efforts and continuity of operations, but that rewriting the Executive Budget assumptions to address the evolving situation was neither feasible nor advisable given the uncertainties and the possibility of significant unrestricted Federal aid. The Enacted Budget therefore generally authorizes spending limits near the level proposed in the Executive Budget.

Reductions Authorized if Certain Conditions are Met

In awareness that FY 2021 collections from taxes and other receipts are likely to fall materially below the level needed to fund authorized disbursements, the Enacted Budget grants the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. In addition, the Director is authorized to withhold and reduce specific local aid payments during the fiscal year. The budget is deemed out of balance for the fiscal year, and the Director's powers are activated, if actual tax receipts are less than 99 percent of estimated tax receipts, or actual disbursements are more than 101 percent of estimated disbursements, as measured at three points during the year (April 1-30, May 1-June 30, and July 1-December 31).

The Enacted Budget Financial Plan is premised on the assumption that (a) the budget will be deemed out of balance when the April measurement period is complete, (b) the Director's powers will be activated, and (c) ATB and targeted reductions to local aid programs will be taken to close a substantial portion of the FY 2021 budget gap caused by the receipts shortfall.

DOB is exploring other options to alleviate the depth of reductions that will be needed. To the maximum extent possible, however, the actions taken in FY 2021 must provide recurring savings or the State will need to close even larger budget gaps in FY 2022 and in the years that follow.

The Enacted Budget Gap-Closing Plan

The following table summarizes the Enacted Budget gap-closing plan. It is followed by a brief summary of the major items shown in the table. More information on these items can be found later in the Financial Plan.

FY 2021 ENACTED BUDGET				
GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
(millions of dollars)				
	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
UPDATED BUDGET SURPLUS/(GAP) BEFORE ACTIONS	(13,257)	(17,838)	(19,108)	(18,855)
Changes to Executive Proposals Adopted in Enacted Budget:	<u>782</u>	<u>911</u>	<u>737</u>	<u>618</u>
School Aid - Offset by Federal Funds	1,169	1,791	1,986	2,278
Medicaid	(100)	(778)	(1,160)	(1,543)
Legislative Adds	(180)	(10)	(10)	(10)
Legislative Rejection of Executive Proposals	(107)	(92)	(79)	(107)
Budget Control Actions:	<u>10,120</u>	<u>8,904</u>	<u>9,100</u>	<u>9,407</u>
Budget Balance Reductions (Aid to Localities)	<u>8,180</u>	<u>8,010</u>	<u>8,010</u>	<u>8,010</u>
<i>School Aid</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Medicaid/Health</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Higher Education</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Social Services</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Mental Hygiene</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Transportation</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Other</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
Agency Operations Budget Balance Reductions	1,647	716	967	1,300
Debt Service/Other Revisions	293	178	123	97
Resource Changes:	<u>2,355</u>	<u>550</u>	<u>0</u>	<u>0</u>
Federal Medicaid Share Increase (eFMAP)	1,452	0	0	0
Prior Year Balances	553	550	0	0
Other Resources	350	0	0	0
ENACTED BUDGET SURPLUS/(GAP)	<u>0</u>	<u>(7,473)</u>	<u>(9,271)</u>	<u>(8,830)</u>

The gap-closing plan for FY 2021 must cover a General Fund receipts shortfall of \$13.3 billion. The plan consists of specific savings in the Enacted Budget, budget control actions taken by the Executive to reduce authorized spending, and surplus resources. The components of the plan are described below.

1. Changes to the Executive Budget Adopted in the Enacted Budget

The specific alterations to the Executive Budget proposal provide estimated net savings of \$782 million in FY 2021. The most important changes include the following:

- **School Aid:** The Executive Budget proposed a School Aid increase of \$826 million for School Year (SY) 2021. In negotiations, the Executive and Legislature agreed to eliminate the proposed increase, which results in General Fund savings of \$486 million in FY 2021 and \$743 in FY 2022. In addition, Federal funding for schools in the CARES Act will allow the State to realize savings of \$795 million in FY 2021 and \$341 in FY 2022 in comparison to the Executive Budget. The net fiscal-year impact also reflects updated information on expense-based aids and other minor adjustments. Lastly, the General Fund cost for School Aid has increased due to the estimated shortfall in lottery and gaming receipts. The impact of this cost is reflected in the receipts shortfall described above.
- **Medicaid:** The Executive Budget included a \$2.5 billion savings target for the Medicaid Redesign Team (MRT). The Enacted Budget approved \$2.2 billion of the proposals identified by the MRT. In addition, FY 2020 spending for Medicaid was roughly \$650 million lower than anticipated in the Executive Budget Financial Plan. This savings was carried into FY 2021 by reducing the planned payment deferral in FY 2020 from \$1.7 billion to \$1 billion, then increasing it again to \$1.7 billion in FY 2021. This change, along with re-estimates to planned disbursements, results in a net General Fund cost of \$100 million in FY 2021 in comparison to the Executive Budget Financial Plan (which included a total of \$3.3 billion in Medicaid savings: \$2.5 billion from the MRT and \$850 million from the recurring value of FY 2020 savings actions).
- **Legislative Additions:** The FY 2021 Executive Budget did not continue funding for many aid-to-localities programs at the levels authorized in the FY 2020 Enacted Budget. In negotiations on the FY 2021 Enacted Budget, the Executive and Legislature agreed to add funding for certain programs.
- **Legislative Rejection of Executive Proposals:** The Legislature did not accept certain initiatives and savings measures proposed in the Executive Budget, the most significant of which were rejection of an increase to the fee for Certificate of Need applications that are assessed on health-care facilities construction projects, elimination of video lottery terminal (VLT) aid for cities other than Yonkers, and elimination of certain quick draw restrictions.

2. Budget Control Actions

Budget control actions constitute \$10.1 billion – or 75 percent -- of the FY 2021 gap-closing plan. The actions consist of reductions to aid-to-localities programs (\$8.2 billion), State agency operations (\$1.6 billion), and a range of other savings, primarily from expected reductions to debt service costs (\$293 million).

- **Aid to Localities:** The Financial Plan includes \$8.2 billion in recurring reductions in aid-to-localities disbursements that will be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget. It is expected that the legislative additions (\$180 million), like other local assistance programs, will not be fully funded in FY 2021. DOB is preparing a detailed plan itemizing the specific appropriations and disbursements that will be reduced or withheld. It expects to transmit the plan to both houses of the Legislature in May, when the Comptroller's cash-basis report on April results is expected to be available. The Assembly and Senate will then have ten days to adopt, by concurrent resolution, their own plan to close the gap. If no legislative plan is adopted, or if the plan is not adequate to provide for a balanced budget, as determined by DOB, the plan prepared by DOB will take effect immediately.

The magnitude of reductions in the aid-to-localities savings plan will depend on the programs that are included or excluded from reductions, the level of targeted reductions in certain areas that are achievable, and the availability of unrestricted Federal aid. For example, if large items of expenditure, such as School Aid and Medicaid, are excluded from reduction in the savings plan, the average reduction that would need to be made to the remaining local aid programs is estimated in the range of 40 percent to 50 percent. If, on the other hand, School Aid and Medicaid are subject to reductions, the average reduction that would need to be made is estimated in the range of 20 percent to 30 percent. It is a zero-sum calculation – smaller reductions in one area will result in correspondingly higher increases elsewhere.

The scope and depth of the reductions to local aid programs needed to balance the FY 2021 Budget have no precedent in modern times. In the absence of Federal aid, nearly every activity funded by state government in the aid to localities budget -- from special education to children's health insurance to residential services for vulnerable populations to substance abuse programs to school property tax relief to direct aid to localities to operating aid to mass transit to higher education -- will face steep cuts. Any of these reductions can be mitigated during the fiscal year if additional Federal aid is made available or revenues perform better than forecast.

- **Agency Operations:** Executive agency budgets, with limited exceptions for facility operations and public health and safety, will be reduced by 10 percent from budgeted levels. The Financial Plan assumes that the Judiciary and elected officials will initiate comparable reductions in their budgets for FY 2021. In addition, the CARES Act allows employers to defer the deposit and payment of the employer's share of Social Security taxes through December 2020. The Financial Plan takes advantage of this interest-free deferral. Accordingly, the State will make no social security payments from April through December 2020, for a savings of \$667 million in FY 2021, then repay the deferred amounts in equal installments in December 2021 and December 2022, as permitted in the CARES Act. Lastly, the State is withholding, for a minimum of 90 days, the general salary increases that were scheduled to go into effect on April 1, 2020. The current Financial Plan reflects only the cash-flow impact of the withholding. If a decision is made to withhold the full amount for the fiscal year, it would provide savings of \$260 million in FY 2021 and offset the need for reductions elsewhere in the budget.

DOB is imposing a comprehensive set of controls on agency operations that are intended to reduce costs and provide for essential operations. The controls include a strict freeze on hiring and transfers, regardless of funding source, unless expressly approved by DOB. All existing waivers and exemptions to hiring controls, except for those related pandemic response have been revoked. In addition, new contracts or purchase orders for non-personal service expenditures may be initiated only where such expenditures are needed to protect the health, safety and security of employees and citizens, and to ensure the continuation of high priority operations and services. Lastly, all current and planned capital projects will be reviewed and prioritized by DOB and Executive Chamber. In the interim, agencies and authorities are barred from entering into new contracts for capital projects except where not initiating a project would pose an imminent threat to public health and safety.

- **Other Savings:** DOB has identified debt portfolio management opportunities and other savings realized in FY 2020 that are expected to recur.

3. Resource Changes

The Enacted Budget includes additional resources from the temporary increase in FMAP, as well as the use of FY 2020 surplus and other balances expected to be available in FY 2021.

DOB estimates that, if all the actions and savings are executed as proposed, it would result in balanced General Fund operations in FY 2021 and projected budget gaps for FY 2022 through FY 2024 would be reduced substantially.

General Fund Balances

The State's liquidity position is dependent on the performance of tax receipts, the management of cash disbursements, the receipt of proceeds from note and lines of credit, and the execution of reductions in aid-to-localities programs and State agency operations. All of these actions are subject to risks and uncertainties. Accordingly, no reserves are used to help close the FY 2021 budget gap, but instead are held to preserve liquidity and respond to further deterioration in tax receipts.

The Financial Plan estimates that the General Fund will end FY 2021 with a cash balance of \$6.7 billion, a decrease of \$2.2 billion compared to FY 2020. The change in the balance reflects the use of available cash at the end of FY 2020 to reduce the budget gap in FY 2021 and the timing of payments not made at the close of FY 2020 that are expected to be made in FY 2021. The estimated closing balance is dependent on many factors, including the implementation of the reductions in local aid and State agency operations, the performance of tax receipts, and other assumptions described in this Financial Plan.

State Spending

State Operating Funds spending, excluding the impact of liquidity financing, is expected to total \$94.9 billion, a decrease of \$7.3 billion (7.1 percent). The Federal government extended the deadline for filing PIT returns from April to July, as described below. The Financial Plan assumes that \$4.5 billion in PIT notes and credit facilities will be issued in the first quarter of FY 2021 to mitigate the cash-flow impact of the filing extension, with the notes and facilities paid off by March 31, 2021. The repayment adds \$4.5 billion to estimated State Operating Funds disbursements, bringing total spending to \$99.4 billion in FY 2021, a decrease of \$2.8 billion (2.7 percent).

At the close of FY 2020, several budgeted payments were not made due to disruptions and uncertainties relating the COVID-19 outbreak. These payments, which mainly affect higher education and social services, had no impact on services or benefits and are expected to be made in the first quarter of FY 2021, which distorts the annual growth in these areas.

The following table summarizes the annual change in spending.

STATE OPERATING FUNDS DISBURSEMENTS				
FY 2020 TO FY 2021				
(millions of dollars)				
	FY 2020	FY 2021	Annual Change	
	Results	Enacted	\$	%
LOCAL ASSISTANCE	68,652	61,660	(6,992)	-10.2%
School Aid (School Year Basis) ¹	27,812	26,780	(1,032)	-3.7%
DOH Medicaid ²	22,077	23,274	1,197	5.4%
Temporary eFMAP Increase	0	(1,452)	(1,452)	0.0%
Transportation	3,488	3,935	447	12.8%
STAR ³	2,184	2,073	(111)	-5.1%
Social Services	2,355	3,250	895	38.0%
Higher Education	2,362	3,518	1,156	48.9%
Mental Hygiene ⁴	3,427	2,223	(1,204)	-35.1%
All Other ⁵	4,947	6,059	1,112	22.5%
Budget Balance Reduction	0	(8,000)	(8,000)	0.0%
STATE OPERATIONS/GENERAL STATE CHARGES	28,591	27,403	(1,188)	-4.2%
State Operations	20,168	19,107	(1,061)	-5.3%
Personal Service:	<u>14,090</u>	<u>14,641</u>	<u>551</u>	<u>3.9%</u>
Executive Agencies	7,814	7,860	46	0.6%
27th Administrative Payroll	0	107	107	0.0%
University Systems	4,128	4,406	278	6.7%
Elected Officials	2,148	2,268	120	5.6%
Non-Personal Service:	<u>6,078</u>	<u>5,446</u>	<u>(632)</u>	<u>-10.4%</u>
Executive Agencies	3,226	2,788	(438)	-13.6%
University Systems	2,298	2,087	(211)	-9.2%
Elected Officials	554	571	17	3.1%
Budget Balance Reduction - Executive Agencies	0	(700)	(700)	0.0%
Budget Balance Reduction - Elected Officials	0	(280)	(280)	0.0%
General State Charges	8,423	8,296	(127)	-1.5%
Pension Contribution	2,456	2,592	136	5.5%
Health Insurance	4,303	4,513	210	4.9%
Other Fringe Benefits/Fixed Costs	1,664	1,191	(473)	-28.4%
DEBT SERVICE	4,916	5,838	922	18.8%
TOTAL STATE OPERATING FUNDS (Excluding Liquidity Financing)	102,159	94,901	(7,258)	-7.1%
Liquidity Financing	0	4,500	4,500	0.0%
TOTAL STATE OPERATING FUNDS (Including Liquidity Financing)	102,159	99,401	(2,758)	-2.7%
Capital Projects (State and Federal Funds)	11,999	14,734	2,735	22.8%
Federal Operating Aid	58,823	63,383	4,560	7.8%
TOTAL ALL GOVERNMENTAL FUNDS	172,981	177,518	4,537	2.6%

¹ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.

² Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of this offset is reported in "All Other" local assistance disbursements.

³ The conversion of benefit payments to a State PIT credit decreases reported disbursements for STAR and decreases reported PIT receipts by an identical amount; there is no impact on STAR benefits received by these homeowners.

⁴ Total Mental Hygiene spending is \$4.3 billion in FY 2021, an increase of 7 percent from FY 2020, a portion of which is funded by the DOH Medicaid budget.

⁵ "All Other" includes spending for various other functions, as well as reclassifications between financial plan categories, a reconciliation between school year and State fiscal year spending for School Aid, and MSA payments deposited directly to a Medicaid Escrow Fund (\$321 million in FY 2020 and \$362 million in FY 2021), which reduces reported disbursements.

Other Financial Plan Developments

Liquidity

The State must address a temporary cash flow shortfall caused by the Federal government's decision to extend the PIT filing deadline from April 15 to July 15, 2020, in response to the COVID-19 pandemic. The State's income tax filing system is set up so that both Federal and State returns are electronically filed simultaneously. With nearly 95 percent of all income tax returns received electronically, it was necessary for the State to change the due date for State tax returns to correspond with the new Federal filing date. The extension is expected to delay the receipt of approximately \$9 billion of State personal income tax collections from April to July, creating liquidity pressure for the State. In addition, through the first quarter of FY 2021, the recession's impact on tax receipts is expected to reduce collections by an additional \$3.1 billion compared to the Executive forecast.

The FY 2021 Enacted Budget contains several measures to enable the State to address liquidity needs during FY 2021. First, it authorizes up to \$8 billion of short-term borrowing in the form of personal income tax revenue or bond anticipation notes. The statutory authorization requires any such notes to be issued on a subordinated basis by December 31, 2020, with an initial maturity no later than March 31, 2021. The notes can be renewed once for up to a year, and, as a contingency option, may be refinanced on a long-term basis. The Enacted Budget Financial Plan currently includes planned PIT note sales of \$3.0 billion in FY 2021. The cash flow projections include monthly set-asides for the repayment of notes, starting in July 2020. DOB will evaluate cash results regularly and adjust the size and timing of note sales based on liquidity needs, market considerations, and other factors.

Second, the Budget authorizes up to \$3 billion of credit facilities in the form of a line of credit at one or more banks. The statute allows draws through March 31, 2021, subject to available appropriation. Any balance may be refinanced twice for up to a year at each refinancing, and, as a contingency option, may be refinanced on a long-term basis. The Enacted Budget Financial Plan includes an estimated \$1.5 billion in proceeds from a line of credit in June 2020. The cash flow projections include the full repayment of the line in March 2021. As with the note sales, DOB will adjust size and use of the line of credit based on updated information.

The Financial Plan assumes that the interest expense on both the notes and the credit facility is an eligible expense for Federal aid from the Coronavirus Relief Fund, as the financings are due solely to the Federal decision to extend tax filing deadlines in response to the pandemic.

Lastly, the Enacted Budget provides for a one-year suspension of the Debt Reform Act provisions covering all issuances in FY 2021, including the notes and line of credit, any renewals or long-term refinancing of the notes and line of credit, and all other debt issuances. Accordingly, FY 2021 issuances are not limited to capital purposes and are not counted toward limits on debt outstanding and debt service. In doing so, the Budget provides the State with certainty that it will continue to have access to capital markets during the pandemic and period of economic recovery, regardless of the degree of any decline in personal income taxes and All Funds receipts.

Pandemic Response Costs

The Financial Plan assumes that the Federal government will fully fund the State's direct cost for pandemic response. Aid is expected through FEMA disaster assistance grants and aid, and the Coronavirus Relief Fund. Accordingly, the Enacted Budget Financial Plan reflects no net costs from COVID-related expenses. There can be no assurance that Federal funding will be received at the level and on the timetable assumed in this Financial Plan.

Through April 15, 2020, the State had disbursed approximately \$650 million to build out hospital facilities, secure critical equipment, and fund other pandemic response activities. A substantial portion of these disbursements, roughly \$500 million, occurred in FY 2020 and affected results for that fiscal year.

As of April 24, the United States Treasury had deposited the State's share of the Coronavirus Relief Fund, \$5.1 billion, into the State Treasury. The State intends to charge eligible costs to the Fund during the fiscal year. The funds deposited in the State Treasury will provide liquidity to the State until they are expended to fund or reimburse COVID-eligible purposes.

Credit Ratings and Bond Market

The major rating agencies, Fitch, Kroll, Moody's, and Standard & Poor's, assign the State general credit ratings of AA+, AA+, Aa1, and AA+, respectively. On April 1, 2020, Moody's changed the State's credit outlook from "stable" to "negative," noting that New York is the epicenter of the COVID-19 outbreak and stating that, in its view, the crisis was "eating into the state's reserves and straining its ability to structurally balance its budget." On April 10, 2020, Fitch changed the State's credit outlook from "stable" to "negative," citing "the considerable economic and fiscal uncertainty faced by the state as it confronts the coronavirus pandemic."

On April 16, 2020, Standard & Poor's confirmed the State's stable outlook, noting the State's "strong track record of fiscal resilience during periods of crisis" but observing that "pressures on the state's finances will mount as a result of the COVID-19-induced recession and prudent actions taken to mitigate related health and safety risks."

The State, through its public authorities and general obligation issuances, is one of the largest issuers of municipal bonds in the United States. The State relies on regular bond sales to fund its capital program. In addition, in FY 2021, it is planning on note sales to meet temporary liquidity needs.

Since the outbreak of COVID-19 in the United States, the municipal bond market has experienced significant disruption. From March 4 through April 8, net outflows from municipal bond mutual funds and exchange-traded funds totaled over \$48 billion. Issuances in March 2020 dropped to \$17.2 billion, compared to the five-year March average of \$31.1 billion. An inability of the State to sell bonds or notes at the level or on the timetable it expects could have a material and adverse impact on the State's financial position.

In April 2020, the Federal Reserve initiated programs to purchase short-term debt from municipal issuers, as a measure to bolster liquidity and repair the municipal market. Most notably, the Federal government announced that it will purchase short-term municipal notes using funding from the CARES Act, which included \$500 billion for loans to eligible businesses and states and local governments. DOB will continue to evaluate Federal purchase facilities as more information becomes available to determine whether all or a portion of expected PIT note sales could be executed through the new lending facility.

State Authorities and Localities

The wide-ranging economic, health, and social disruptions caused by the COVID-19 outbreak are having an adverse impact on State authorities and localities. The Metropolitan Transportation Authority and the Thruway Authority have disclosed that observed declines in mass transit, commuter rail, and vehicular traffic are having a significant adverse and material impact on their financial condition and operating results. The City of New York has made material reductions to estimated tax receipts for City Fiscal Year (CFY) 2020 and CFY 2021, and other localities have identified similar concerns. The aid-to-localities reductions expected to be taken in this Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.



General Fund Financial Plan

General Fund Cash-Basis Financial Plan

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. Two significant factors affect reported General Fund tax receipts that are unrelated to actual collections. First, changes in debt service on State revenue bonds affect General Fund tax receipts. The State has three bonding programs where tax receipts are deposited into dedicated debt service funds (outside the General Fund) and used to make debt service payments on bonds issued by the State. After satisfying debt service requirements for these bonding programs, the balance is transferred to the General Fund. Second, the STAR program is funded from PIT receipts, with changes in the cost of the program affecting reported PIT receipts.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year.

For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, disbursements, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Multi-Year Projections" herein.

The disbursement estimates by Financial Plan category reflect the impact of the budget balance reductions that DOB expects to execute during FY 2021 as a distinct line below each Financial Plan category. The precise reductions in the aid-to-localities programs will be contained in the reduction plan that DOB expects to submit to the Legislature in May 2020. The cash disbursement estimates to local aid programs and State agency operations are expected to be allocated by agency in the First Quarterly Update to the Financial Plan.

FY 2021 Financial Plan

The General Fund is estimated to be balanced on a cash-basis in FY 2021. The estimate is dependent on many factors, including the accuracy of the tax receipts forecast, which is subject to many uncertainties as a result of the COVID-19 pandemic and recession; the successful implementation of steep and wide-ranging reductions to aid-to-localities disbursements and controls on State agency operating expenses; the reimbursement of first-instance capital expenditures with bond proceeds; and the receipt of Federal funding, through FEMA, the Coronavirus Relief Fund, and other aid, of the full cost of the State’s pandemic response efforts in FY 2021.

The following table summarizes the projected annual change in General Fund receipts, disbursements, and fund balances from FY 2020 to FY 2021.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2020 Results	FY 2021 Enacted	Annual Change	
			Dollar	Percent
Opening Fund Balance	7,206	8,944	1,738	24.1%
Total Receipts	<u>79,207</u>	<u>70,942</u>	<u>(8,265)</u>	<u>-10.4%</u>
Taxes ¹	73,133	61,990	(11,143)	-15.2%
Miscellaneous Receipts	3,159	6,373	3,214	101.7%
Non-Tax Transfers from Other Funds	2,915	2,579	(336)	-11.5%
Total Disbursements	<u>77,469</u>	<u>73,169</u>	<u>(4,300)</u>	<u>-5.6%</u>
Local Assistance	51,863	46,400	(5,463)	-10.5%
State Operations	19,508	18,904	(604)	-3.1%
Transfers to Other Funds	6,098	7,865	1,767	29.0%
Net Change in Operations	1,738	(2,227)	(3,965)	-228.1%
Closing Fund Balance	<u>8,944</u>	<u>6,717</u>	<u>(2,227)</u>	<u>-24.9%</u>
Rainy Day Reserves	2,476	2,476	0	
Economic Uncertainties	890	970	80	
Reserve for Timing of Payments	1,313	0	(1,313)	
All Other Reserves/Balances	1,655	1,086	(569)	
Extraordinary Monetary Settlements	2,610	2,185	(425)	

¹ Includes the transfer of tax receipts from other funds after debt service.

General Fund tax receipts, miscellaneous receipts, and transfers to other funds in the table above are each affected by the planned use of liquidity financing (PIT notes and lines of credit) to manage the liquidity impact of the extension of income tax filing deadlines. The Financial Plan includes the planned issuance of \$3 billion in PIT notes and use of \$1.5 billion in expected line of credit capacity. The amounts are currently budgeted to be repaid within the fiscal year. In FY 2021, General Fund miscellaneous receipts reflect the deposit of \$4.5 billion in notes and credit proceeds. PIT receipts are expected to be reduced by \$3 billion for note repayments and transfers to other funds are expected to be increased by \$1.5 billion for line of credit repayment. The Financial Plan assumes that interest expense on the notes and line of credit will be reimbursed from Federal aid as an eligible COVID-19 expense, as the need for liquidity financings was a direct result of the extension of tax filing deadlines as a result of the pandemic.

General Fund receipts and disbursements, as well as fund balances, are affected by the receipt and use of Extraordinary Monetary Settlements. The table below summarizes the General Fund sources and uses of Extraordinary Monetary Settlements and how they impact General Fund miscellaneous receipts and capital projects transfers.⁶

GENERAL FUND FINANCIAL PLAN				
EXTRAORDINARY MONETARY SETTLEMENTS				
(millions of dollars)				
	FY 2020 Results	FY 2021 Enacted	Annual Change	
			Dollar	Percent
Opening Balance	4,194	2,610	(1,584)	-37.8%
Total Receipts	889	80	(809)	-91.0%
Settlements Received	895	80	(815)	-91.1%
Funds Retained by Dept. of Law	(6)	0	6	-100.0%
Total Uses	2,473	505	(1,968)	-79.6%
Capital Purposes	1,345	425	(920)	-68.4%
Rainy Day Reserves	238	0	(238)	-100.0%
Economic Uncertainties	890	80	(810)	--
Net Change in Operations	(1,584)	(425)	1,159	73.2%
Closing Balance	2,610	2,185	(425)	-16.3%

⁶ More information on the receipt and use of Extraordinary Monetary Settlements can be found in “Other Matters Affecting the Financial Plan” herein.

Receipts

As noted above, the reporting of General Fund tax receipts and miscellaneous receipts is affected by the planned use of liquidity financings (PIT notes and lines of credit) to manage the impact the tax filing extensions on monthly cash-flows.

General Fund receipts, including transfers from other funds, are estimated to total \$70.9 billion in FY 2021, a decrease of \$8.3 billion (10.4 percent) from FY 2020 results due to the shock to the economy brought on by the global pandemic.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are estimated to drop from \$50.5 billion in FY 2020 to \$41.6 billion in FY 2021, a decrease of \$8.9 billion (17.6 percent). The decrease reflects extraordinary declines in both bonus and non-bonus wages impacting withholding and estimated payments. In addition, refunds are expected to decline due to a steep decline in advance credit payments related to Tax Year 2020, due to the expired Property Tax Relief Credit program, as well as a decrease in the administrative cap on the amount of refunds paid from January to March 2021. General Fund PIT receipts in FY 2021 also include the impact of a portion of the repayment (\$3 billion) of the \$4.5 billion in proceeds from the issuance of short-term debt expected to be issued in the first quarter of FY 2021 to address the timing of personal income tax receipts due to filing extensions granted by the IRS.

Consumption/use tax receipts, including transfers after payment of debt service on LGAC and Sales Tax Revenue Bonds, are estimated to total nearly \$12 billion in FY 2021, a drop of \$2.2 billion (15.7 percent) from FY 2020. The drop reflects a significant decline in the sales tax base of roughly 17 percent. This is partly offset by the full-year impact of the new requirement that online marketplace providers collect SUT on sales that they facilitate and ESCOs be subject to sales tax.

Business tax receipts are estimated at \$6.5 billion in FY 2021, an increase of \$136 million (2.1 percent) from FY 2020. The increase is primarily attributable to growth in corporation franchise tax receipts, driven by higher gross receipts and lower refunds.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) Bonds, are expected to total \$1.9 billion in FY 2021, a decrease of \$142 million (-7.0 percent) from FY 2020, primarily due to an estimated decrease in real estate transfer tax receipts resulting from a large estimated decline in housing starts, housing prices, and bonuses. This decline is partly offset by a slight increase in estate tax receipts, primarily due to a partial-year impact of the estimated growth in household net worth.

Non-tax receipts and transfers are estimated at \$9 billion in FY 2021, an increase of \$2.9 billion from FY 2020. This increase reflects an expected increase of \$4.5 billion in miscellaneous receipts from liquidity financings made for cash flow purposes. This increase is partly offset by a reduction in the level of Extraordinary Monetary Settlements from \$889 million in FY 2020 to \$80 million in FY 2021, and the use of certain resources available in FY 2020 that either do not recur or recur at a lower amount in FY 2021.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$73.2 billion in FY 2021, a decrease of \$4.3 billion (-5.6 percent) from FY 2020.

Local assistance spending is estimated at \$46.4 billion in FY 2021, a decrease of \$5.5 billion (10.5 percent) from FY 2020. The decrease includes a \$8 billion recurring reduction in aid-to-localities disbursements that will be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget. The allocation of the savings plan will depend on what programs are included or excluded from reductions, the level of targeted reductions in certain areas, and the availability of Federal aid. Accordingly, the specific agency and program spending levels described below do not reflect any reductions that may occur as a result of the savings plan.

School aid spending, on a State fiscal year basis, grows by \$532 million, reflecting in part the expected reduction in lottery and gaming receipts that must be now funded by the General Fund. Medicaid spending is expected to increase \$997 million, excluding the impact of a temporary increase in the Federal Medicaid Assistance Percentage (FMAP) that is expected to provide \$1.45 billion in savings in FY 2021. Medicaid spending subject to Global Cap Index grows by \$573 million (3.0 percent) and the cost of minimum wage increases and local takeover, currently outside the Global Cap, is estimated to grow above FY 2020 levels by \$314 million and \$183 million, respectively.

General Fund personal and non-personal service costs are expected to total \$11.7 billion in FY 2021, a decrease of \$399 million (3.3 percent) from FY 2020. The decrease reflects \$700 million in recurring savings from the planned 10 percent reduction in Executive agency spending compared to the amounts authorized in the Enacted Budget. Limited exceptions are expected to be made for facility operations and public health and safety. In addition, the Financial Plan assumes that the Judiciary and elected officials will initiate comparable reductions in their budgets for FY 2021 (\$280 million).

General State Charges (GSCs), which include fringe benefits and certain fixed costs, are projected to decline by \$205 million (2.8 percent) from FY 2020. The decrease is mainly due to the interest-free deferral of the employer's share of Social Security taxes through December 2020 (\$667 million) that will be repaid in equal installments in December 2021 and December 2022, as permitted in the CARES Act. Health insurance costs for State employees and retirees are projected to increase by \$210 million (4.9 percent), due to medical inflation and current enrollment levels. The State's annual pension payment is projected to grow by \$136 million (5.5 percent). The State's costs for Workers' Compensation are expected to increase by \$41 million, due to underlying growth in average weekly wage, benefit and medical costs, as well as a reduction in other resources available to offset costs.

General Fund transfers to other funds are projected to total \$7.9 billion in FY 2021, an increase of \$1.8 billion from FY 2020. The growth is mainly due to the repayment of a portion (\$1.5 billion) of the \$4.5 billion in proceeds from the liquidity financings.

FY 2021 Closing Balance

The State’s liquidity position is dependent on the performance of tax receipts, the management of cash disbursements, the receipt of proceeds from note and lines of credit, and the execution of reductions in aid-to-localities programs and State agency operations. All of these actions are subject to risks and uncertainties. Accordingly, no reserves are used to help close the FY 2021 budget gap, but instead are held to preserve liquidity and respond to further deterioration in tax receipts.

DOB projects the State will end FY 2021 with a General Fund cash balance of \$6.7 billion, a decrease of \$2.2 billion from FY 2020. The change in the balance reflects the use of available cash at the end of FY 2020 to reduce the budget gap in FY 2021 and the timing of payments not made at the close of FY 2020 that are expected to be made in FY 2021. The estimated closing balance is dependent on many factors, including the implementation of the reductions in local aid and State agency operations, the performance of tax receipts, and other assumptions described in this Financial Plan.

In addition, the expected use of Extraordinary Monetary Settlements for initiatives approved in prior budgets will reduce the balance in the General Fund. See "Other Matters Affecting the Financial Plan - Uses of Extraordinary Monetary Settlements" herein.

TOTAL BALANCES (millions of dollars)			
	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Enacted</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	8,944	6,717	(2,227)
Statutory Reserves:			
Rainy Day Reserves	2,476	2,476	0
Community Projects	31	15	(16)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	890	970	80
Debt Management	500	500	0
Timing of Payments	1,313	0	(1,313)
Undesignated Fund Balance	1,103	550	(553)
Subtotal Excluding Settlements	6,334	4,532	(1,802)
Extraordinary Monetary Settlements	2,610	2,185	(425)

Cash Position

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). The FY 2021 Enacted Budget amended the statute to permit the borrowings until the end of the fiscal year, which was previously limited to up to four months. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES						
FY 2021						
(millions of dollars)						
				Adjustments		Adjusted
	General Fund	Other Funds	All Funds	Liquidity Financing	Budget Balance	All Funds
April 2020	8,740	9,871	18,611	0	0	18,611
May 2020	6,219	8,810	15,029	(3,000)	0	12,029
June 2020	1,718	9,097	10,815	(1,500)	(398)	5,917
July 2020	9,946	9,621	19,567	425	(398)	14,696
August 2020	8,787	9,339	18,126	350	(498)	13,107
September 2020	9,938	8,958	18,896	625	(1,098)	13,404
October 2020	9,513	9,458	18,971	375	(598)	13,256
November 2020	8,395	9,474	17,869	375	(398)	12,131
December 2020	8,454	11,250	19,704	425	(1,398)	12,993
January 2021	8,564	14,882	23,446	1,925	(398)	18,262
February 2021	9,617	13,479	23,096	0	(898)	17,014
March 2021	6,717	9,989	16,706	0	(2,898)	7,726

Other Matters Affecting the Financial Plan

General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to predict or control. DOB asserts that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that results will not differ materially and adversely from these projections. For example, in past years, tax receipts collections have varied substantially from the levels forecasted, and entitlement-based programmatic spending has also varied significantly from initial projections. More recently, DOB recognized the need to correct a structural imbalance under the Medicaid Global Cap as spending levels exceeded the indexed levels. Similarly, there are inherent risks with the financial condition of health care providers and enrollment in public health insurance programs driven directly or indirectly by the COVID-19 pandemic. The Financial Plan projections include the recurring savings associated with reductions implemented in FY 2020 and the Medicaid Redesign Team II (MRT II) actions authorized in FY 2021 to limit Medicaid spending, which also included increased General Fund support.

DOB routinely executes cash management actions to manage the State's large and complex Budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State has regularly made certain payments above those initially planned, subject to available resources, to maintain budget flexibility.

The Financial Plan is based on numerous assumptions including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the Eurozone; changes in consumer confidence, price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; severe epidemic or pandemic events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; technology industry developments and employment; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; unanticipated growth in Medicaid program costs; and ability of the State and its public authorities to issue securities successfully in public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial changes. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of nonrecurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the Governor.

The Budget grants the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. The budget is deemed out of balance for the fiscal year, and the Director's powers are activated, if actual tax receipts are less than 99 percent of estimated tax receipts, or actual disbursements are more than 101 percent of estimated disbursements, as measured at three points during the year (April 1-30, May 1-June 30, and July 1-December 31). Upon identification of an imbalance, the Budget Director would transmit a plan to the Legislature identifying the specific appropriations and cash disbursements that would be reduced. The Legislature would then have ten days to adopt, by concurrent resolution, its own plan for eliminating the imbalance. If no plan is adopted, the plan submitted by the Budget Director would take effect automatically. The process exempts certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

Any reductions made pursuant to this authorization may be paid in full or in part if one or both of the following events occur: (i) Actual State Operating Funds Tax Receipts through February 28, 2021 are not less than 98 percent of Estimated State Operating Funds Tax Receipts through February 28, 2021; or (ii) the Federal government provides aid that the director of the budget deems sufficient to reduce or eliminate the imbalance in the General Fund for FY 2021 and does not adversely impact the budget gap in FY 2022.

In addition, if a General Fund imbalance is identified, the Budget Director is authorized to withhold any payments, including amounts that are to be paid on specific dates prescribed in law or regulation if such action is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic.

The Financial Plan forecast assumes various transactions will occur as planned including, but not limited to: receipt of certain payments from public authorities; receipt of revenue sharing payments under the Tribal-State Compacts; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected and Federal approvals necessary to implement the Enacted MRT II savings actions. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including accounting and reporting changes. If these and other transactions are not implemented or reported as planned, the annual spending change in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs. Such resources include, but are not limited to, fund balances not needed each year, reimbursement for capital advances, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses. There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.

In FY 2012, the State enacted legislation intended to limit the year-to-year growth in the State's two largest local assistance programs, School Aid and Medicaid.

School Aid

The School Aid growth cap was previously calculated based on the annual growth in the NYS Personal Income Growth Index (PIGI). With the exception of the 2013 school year increase (based on a five-year average), the PIGI was based on a one-year growth index. However, in FYs 2014 through 2019, the authorized School Aid increases were above the indexed levels. Beginning in FY 2021, the statutory PIGI for School Aid has been amended to limit school aid increases to no more than the average annual income growth over a ten-year period. This change will reduce volatility in allowable growth and align the School Aid cap with the Medicaid cap. Due to the anticipated impact of the COVID-19 pandemic on State revenues, State support for School Aid for SY 2021 in the Enacted Budget is 3.7 percent lower than in SY 2020 but is offset in part with increased Federal support. This reduction in State Operating Funds support will be offset by approximately \$1.1 billion in funding provided to the State through the Federal CARES Act, including the Elementary and Secondary School Emergency Education Relief Fund and the Governor's Emergency Education Relief Fund. With these Federal funds, SY 2021 School Aid is expected to total \$27.9 billion, an annual increase of approximately \$100 million or 0.4 percent.

Medicaid Global Cap

A portion of DOH State Funds Medicaid spending growth is subject to the Global Cap -- the ten-year rolling average of the medical component of the Consumer Price Index (CPI). Thus, the Global Cap allows for growth related to increasing costs but does not account for utilization growth. The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. Major changes to the State share of Medicaid spending, outside of the Global Cap, include State costs for the takeover of Medicaid growth from local governments and reimbursement to providers for increased minimum wage costs. It should be further noted that General Fund spending remains sensitive to revenue performance in the State's HCRA fund that finances approximately one-quarter of DOH State-share costs of Medicaid. Limitations on elective procedures, changes in consumer behavior, and other factors related to the COVID-19 outbreak may have a material and adverse impact on HCRA revenues.

Since enactment of the Global Cap, subject to the management action described below, the portion of DOH State Funds Medicaid spending subject to the Global Cap has remained at or below indexed levels. However, DOH has, at times, taken management actions, including adjustments to the timing of Medicaid payments, consistent with contractual terms, to ensure compliance with the Global Cap.

At the close of FY 2019, DOH deferred, for three business days into FY 2020, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid from available funds in the General Fund in April 2019, consistent with contractual obligations. Absent the deferral and any other actions, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019 and the State would have used available General Fund resources to fund the payments in FY 2019. According to DOH, the deferral had no impact on provider services and was attributable to growth in managed care and long-term managed care enrollment and utilization costs above initial projections, as well as timing of certain savings actions and offsets not processed by the end of FY 2019.

MRT II Solutions to Global Cap Imbalance

Following the need to defer FY 2019 Medicaid payments, DOB recognized that a structural imbalance existed within the Global Cap based on a review of price and utilization trends, and other factors.⁷ A structural imbalance in this case meant that estimated expense growth in State-share Medicaid subject to the Global Cap, absent measures to control costs, was growing faster than allowed under the Global Cap spending growth index.⁸

DOB estimates that, absent actions to control costs, State-share Medicaid spending subject to the Global Cap would have exceeded the indexed growth amount by upwards of \$3 to \$4 billion annually, inclusive of the FY 2019 deferral of \$1.7 billion.

In response to the estimated deficit, the Governor formed the MRT II as part of the FY 2021 Executive budget with the objective of restoring financial sustainability to the Medicaid program while connecting other programmatic initiatives that would advance the core healthcare strategies he has pursued since taking office in 2011. The Enacted Budget includes \$2.2 billion in recommendations put forward by the MRT to create efficiencies within the Medicaid program and address the Medicaid imbalance, including discovering efficiencies in Managed Care and Managed Long-Term Care, as well as eligibility and administrative reforms.

Additionally, policy initiatives, including the carve out of services from Managed Care within Pharmacy and the centralization of a Transportation broker will lead to better transparency and greater efficiencies within these areas. The MRT also focused on greater Program Integrity within Medicaid and included reforms to modernize regulations to eliminate fraud, waste and abuse.

Through a combination of MRT II actions, continued payment restructuring, and use of General Fund resources, the Medicaid program is expected to stay within statutorily allowable levels in FY 2021 and beyond. The following table summarizes the savings actions.

⁷ Factors that place upward pressure on State-share Medicaid spending include but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and payments to financially distressed hospitals.

⁸ Under State law, annual growth in Medicaid spending subject to the Global Cap is limited to the ten-year rolling average of the medical component of the CPI.

Other Matters Affecting the Financial Plan



The following table summarizes the Medicaid savings actions reflected in the Enacted Budget Financial Plan.

SUMMARY OF MEDICAID SAVINGS ACTIONS				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2021	FY 2022	FY 2023	FY 2024
Total Medicaid Savings Actions¹	3,251	2,737	2,754	2,772
Non-MRT II Savings	1,050	0	0	0
MRT II Savings	2,201	2,737	2,754	2,772
Continue FY 2020 Reductions	739	682	682	682
Across the Board (ATB) Rate Reduction (1.0% Annually; Effective 1/1/20)	248	248	248	248
Discontinue Delivery System Reform Incentive Program (DSRIP) Equity Pools	190	190	190	190
Medicaid Managed Care (MMC) Rate Range Reduction	96	96	96	96
Discontinue the Hospital Enhanced Safety Net Program	66	66	66	66
Reduce Mainstream Managed Care (MMC) Quality Pool Payments by 50%	60	60	60	60
Other	79	22	22	22
FY 2021 Budget Year Reductions	1,462	2,056	2,073	2,091
Managed Care	145	134	134	134
Encounter Data Accountability Penalty/Withhold (2.0% on MMC Plans)	143	115	115	115
Authorize Electronic Notifications	2	5	5	5
Other	0	14	14	14
Hospitals	297	304	304	304
H+H Financial Assistance	186	193	193	193
Reduce Indigent Care Pool for Voluntary Hospitals	88	88	88	88
Discontinue Hospital Quality and Sole Community Pools	35	35	35	35
Other	(12)	(12)	(12)	(12)
Long-Term Care	669	1,055	1,055	1,055
Cap Statewide Managed Long-Term Care (MLTC) Enrollment Growth at a Target Percentage and Implement a 3% Withhold	215	215	215	215
Modify Benefit Eligibility Criteria for Personal Care Services (PCS) & Consumer Directed Personal Assistance Program (CDPAS) Benefit	119	277	277	277
Encounter Data Accountability Penalty/Withhold (1.5% on MLTC Plans)	102	89	89	89
Administrative Reforms to the PCS and CDPAS Benefit	82	263	263	263
Delay Community First Choice Option (CFCO) Services	47	47	47	47
Other	104	164	164	164
Care Management	43	70	70	70
Comprehensive Prevention and Management of Chronic Disease	17	37	37	37
Discontinue Health Home Outreach	16	16	16	16
Achieve Health Home (HH) Rate Efficiencies (HH Admission/Step Down Criteria Revisions)	12	16	16	16
Reform Patient Center Medical Homes (PCMH)	6	18	18	18
Establish Plan of Care Incentive/Penalty Payments	5	5	5	5
Other	(13)	(22)	(22)	(22)
Pharmacy	35	130	147	165
Reduce Drug Cap Growth by Enhancing Purchasing Power	46	43	43	43
Transition Pharmacy Benefit to Fee-for-Service (FFS)	(11)	87	104	122
Transportation	75	217	217	217
Public Emergency Certified Public Expenditure (CPE)	38	90	90	90
Reduce Taxi/Livery Rates	35	51	51	51
Maximize Public Transit in NYC	2	26	26	26
Other	0	50	50	50
All Other	198	146	146	146
Additional ATB Rate Reduction (0.5% Annually; Effective 4/1/20)	125	50	50	50
Other	73	96	96	96

¹ Excludes temporary 6.2 percent enhanced FMAP authorized in the CARES Act.

Public Health Insurance Programs/Public Assistance

DOB is monitoring and evaluating the enrollment trends in the State's public health insurance programs, including Medicaid, the Essential Plan, and Child Health Plus, resulting from the COVID-19 pandemic and the corresponding increase in unemployment. Historically, the State has experienced growth in Medicaid enrollment and public assistance caseloads during economic downturns resulting from increased unemployment. The Financial Plan will be updated as needed during the year as more data becomes available on actual enrollment, caseloads, unemployment, and affected industries.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to and recover from acute crises or emergencies such as severe weather events, disasters and disease outbreaks. Many policies that drive this Federal aid are subject to possible changes by the Trump Administration and Congress. Current Federal aid projections and the assumptions on which they rely are subject to revision because of changes in Federal policy.

Similarly, the Financial Plan may also be adversely affected by other Federal government actions including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

Current Federal Aid

President Trump proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018, 2019, and 2020 which were largely rejected in the final appropriations bills approved for each of those years.

The Bipartisan Budget Act of 2019 (BBA 19) increased the discretionary spending caps set by the Budget Control Act of 2011 for the final two years that the caps are in place under current law – FFYs 2020 and 2021. The FFY 2021 budget process began with the release of the President's budget proposal in February 2020. The proposal continued the President's prior calls for cuts to many programs, including discretionary spending levels below those authorized in BBA 19.

Federal Coronavirus Response Legislation

The Federal government has approved legislation and taken administrative actions intended to stabilize financial markets, extend aid to businesses and individuals, and reimburse governments for the direct costs of pandemic response. For a majority of the enacted legislation, the economic benefits do not flow to or through the State's Financial Plan, but instead flow directly to individuals in the form of tax rebates, large and small businesses in the form of loans or grants. Specifically, the Federal government enacted four pieces of legislation in response to the ongoing COVID-19 pandemic:

(i) The Coronavirus Preparedness and Response Supplemental Appropriations Act which provides an initial \$8 billion in emergency funding to respond to the COVID-19 pandemic, including support for vaccine development, the Public Health Emergency Preparedness program, and small businesses;

(ii) The Families First Coronavirus Response Act provides \$192 billion in aid, and includes paid sick leave, free testing, expanded food assistance and unemployment benefits, protections for health care workers, and increased Medicaid funding in response to the COVID-19 pandemic and;

(iii) Coronavirus Aid, Relief, and Economic Security Act or the CARES Act provides approximately \$1.8 trillion in aid for Federal agencies, individuals, businesses, states and localities to respond to the COVID-19 pandemic, and created the Municipal Liquidity Facility which authorizes the Federal Reserve to purchase revenue and bond anticipation notes of states and certain local governments.

(iv) The Paycheck Protection Program and Health Care Enhancement Act provides \$484 billion in funding for small business programs, hospitals, and testing activities.

State Fiscal Relief

Together, the new laws are expected to drive approximately \$2 trillion in aid to a wide range of recipients in the United States with approximately 90 percent of the total aid included in the CARES Act. Assistance to states through the CARES Act is generally restricted to specific purposes and includes the \$150 billion in the Coronavirus Relief Fund (\$5.1 billion State share) and the \$30.75 billion Education Stabilization Fund (\$1.1 billion State share). In addition, the Families First Coronavirus Response Act includes an emergency 6.2 percent increase to the Medicaid FMAP during the public health emergency. This is estimated to provide the State with \$1.45 billion in savings in FY 2021.⁹

In response to the President's major disaster declaration for the State, FEMA is also expected to provide funding for costs related to emergency protective measures conducted as a result of the COVID-19 pandemic. The State will also receive additional Federal aid in the form of enhanced Unemployment Insurance funding.

⁹ Financial Plan reflects six months of aid retroactive to January 2020.

The Financial Plan assumes that the Federal aid will fully fund the direct costs of the State's pandemic response efforts. Aid is expected through FEMA disaster assistance grants and aid, and the Coronavirus Relief Fund. Accordingly, the Enacted Budget Financial Plan reflects no net costs from COVID-related expenses. There can be no assurance that federal funding will be received at the level and on the timetable assumed in this Financial Plan.

Liquidity Support

The Municipal Liquidity Facility (MLF) was established in the CARES Act to support the financial stability of state and local governments impacted by the COVID-19 pandemic. The MLF is authorized to purchase up to \$500 billion in bonds through September 30, 2020 from U.S. states and the District of Columbia, U.S. cities with populations of at least 1 million residents, and U.S. counties with at least 2 million residents, to help state and local governments manage cash flow. The MLF will facilitate the direct purchase of short-term notes from states, cities and municipalities, and proceeds can be used to manage reductions in cash flow associated with the tax filing deadline extension, reductions in other revenues or increases in expenses related to COVID-19, and required payments of principal and interest on obligations. DOB will continue to evaluate Federal purchase facilities as more information becomes available to determine whether all or a portion of expected PIT note sales could be executed through the new lending facility.

Additional Federal Support Needed

The Federal legislation provides almost no unrestricted aid to replace the severe loss in expected State tax receipts. As of the date of this Financial Plan, certain congressional leaders have expressed support for legislation to provide such aid to the states and local governments, but no consensus has been reached. Therefore, the State cannot count on additional Federal aid and must move ahead with imposing deep, widespread reductions to local aid programs and agency operations to provide for a balanced budget in FY 2021. If unrestricted aid becomes available, or tax receipts rebound unexpectedly, the planned reductions may become less severe. The State is actively engaging with Federal representatives to secure the needed aid.

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Federal Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in FFY 2021, beginning December 1, 2020, reflecting recent provisions included in the CARES Act. The State estimates that if the changes take effect as scheduled, New York hospitals will lose \$5.9 billion when the ACA is fully phased in. This would be the largest reduction in Federal DSH payments among all states.

Essential Plan (EP)

New York State's Basic Health Program EP continues to be at risk of reduced Federal funding. In response, litigation brought by the State allowed for a partial recoupment of withheld funding through changes to the FY 2018 reimbursement methodology.

In response to the lawsuit, the Trump Administration finalized additional changes to the reimbursement formula which further decreased the amount of Federal support for the EP, which continues to put the Financial Plan at risk. Despite the uncertainty, the Financial Plan continues to reflect funding for the EP program.

MRT Medicaid Waiver

The CMS and the State has an existing agreement authorizing up to \$8 billion in Federal funding through March 31, 2021 to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding was provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver. Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced FMAP funding associated with childless adults.

Due to the demonstrated success of the DSRIP waiver, the State submitted a waiver request to CMS on November 25, 2019, seeking an extension on the original waiver to authorize the remaining \$625 million of spending in FY 2021 for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care beginning in FY 2022.

However, CMS denied the State's request on February 21, 2020. CMS' denial was on the basis that the original DSRIP award was time-limited and meant to be a one-time investment, and that it was not in a position to authorize a conceptual agreement beyond the current demonstration program. While the State's requested amendment was denied, the State intends to address its needs as part of a subsequent 1115 waiver request that aligns with the expiration of the MRT Waiver on March 31, 2021.

Federal Debt Limit

The Bipartisan Budget Act of 2019 (BBA 19) suspended the Federal debt limit through July 31, 2021 and brought to a close the extraordinary measures that the U.S. Treasury had been operating under since the prior suspension expired on March 1, 2019. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the updated Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggests that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the Federal Government may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act of 2017 (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in Tax Year 2018. The Federal tax law made extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. Changes to the Federal tax code have significant flow-through effects on State tax burdens and State tax receipts. From the standpoint of certain individual New York State taxpayers, the \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for Tax Year 2018, is substantial. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York's economic competitiveness.

The SALT deduction originated with the first Federal income tax implemented to fund the Civil War effort and has been in place continuously since 1913. DOB and the Department of Taxation and Finance (DTF) estimate that the SALT deduction limit raised Federal tax liability for New York taxpayers by roughly \$14 billion for Tax Year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and the drag on the value of home prices, thereby posing risks to the State's tax base and current Financial Plan projections.

State Response to Federal Tax Law Changes

In response to the TCJA, the State enacted tax reforms in Tax Year 2018 intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, creation of an optional payroll tax program, and establishment of a new State charitable giving vehicle, as described below.

The State developed the Employer Compensation Expense Program (ECEP) and Charitable Gifts Trust Fund, based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted below, the IRS has issued regulations that impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from federally taxable income, while receiving State tax credits for such donations.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit claimed the new SALT limit was enacted to target New York and similarly situated states, interfered with states' rights to make their own fiscal decisions, and disproportionately harmed taxpayers in these states. On September 30, 2019, the Southern District of New York found that the states failed to make a valid legal claim that the SALT limit unconstitutionally encroaches on states' sovereign authority to determine their own taxation and fiscal policies. The State, along with Connecticut, Maryland, and New Jersey, filed a notice of appeal on November 26, 2019, and the states' brief was filed on March 9, 2020.

On June 13, 2019, the IRS issued final regulations (Treasury Decision 9864) that provided final rules and additional guidance on the availability of Federal income tax deductions for charitable contributions, when a taxpayer receives or expects to receive a State or local tax credit for such charitable contributions. In the case of State tax credits received by a taxpayer making a charitable contribution, the regulations require the taxpayer to reduce the Federal income tax deduction by the amount of the State tax credit received for such charitable contribution. This rule does not apply, however, if the value of the State tax credit does not exceed 15 percent of the charitable contribution. The regulations were made retroactive to August 27, 2018 (the date on which the U.S. Treasury and IRS first published proposed regulatory changes).

On July 17, 2019, New York State, joined by Connecticut and New Jersey, filed a Federal lawsuit challenging Treasury Decision 9864. Among other things, the lawsuit seeks to restore the full Federal income tax deduction for charitable contributions, regardless of the amount of any State tax credit provided to taxpayers as a result of contributions made to the Charitable Gifts Trust Fund, in accordance with the precedent since 1917. The states filed a motion for summary judgement on February 28, 2020. If the Federal lawsuit is successful it is expected that donations to the Charitable Gifts Trust Fund in future years could be higher than the \$93 million level of donations made in 2018. See "Impact of State Tax Law Changes on PIT Revenue Bonds" below.

As part of the State tax reforms enacted in 2018, taxpayers may claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 Tax Years, if the underpayments arise from reliance on the 2018 amendments to State Tax Law. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

The State would incur costs if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 Tax Years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in April 2020 or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors including the rates of participation in the ECEP; magnitude of donations to the Charitable Gifts Trust Fund; aggregate amount of underpayment attributable to reliance on the 2018 amendments to State Tax Law; amount of time between the due date of the return and the date any Federal determination is issued; interest rate applied; and frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

Employer Compensation Expense Program

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year. For Tax Year 2019, 262 employers elected to participate in the ECEP and remitted \$1.5 million.

The ECEP is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business. The ECEP is expected to be State revenue-neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. A State PIT credit is

available to employees whose wages are subject to the tax. Any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP. Remittance of ECEP revenue to the State began in the fourth quarter of FY 2019.

Charitable Gifts Trust Fund

Starting in Tax Year 2018, the Charitable Gifts Trust Fund was established to accept gifts for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer who makes a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.¹⁰

In FY 2019, the State received \$93 million in charitable gifts deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). Charitable gifts are appropriated for the authorized purposes.

Impact of State Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and donations to the Charitable Gifts Trust Fund, State Finance Law provisions creating the Revenue Bond Tax Fund (RBTF) were amended to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF, from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF if (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts, or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts, or \$12 billion.

¹⁰ State University of New York (SUNY) Research Foundation, CUNY Research Foundation, and Health Research, Inc. are allowed to accept up to \$10 million each in charitable gifts on an annual basis. The State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in Tax Year 2019.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. If Treasury Decision 9864 is upheld in Federal court, taxpayer participation in the future will likely be reduced. However, if the legal challenge is successful in restoring the full Federal tax deduction for charitable contributions, donations to the Charitable Gifts Trust Fund in future years could be higher than in 2018, when donations totaled \$93 million. In such event, the amount of donations to the Charitable Gifts Trust Fund would pose a risk to the amount of New York State PIT receipts deposited to the RBTF in future years. To address this risk, the State increased the amount of PIT receipts deposited to the RBTF from 25 percent to 50 percent as part of the State tax reforms enacted in 2018.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the Charitable Gifts Trust Fund that could occur annually under varying assumptions. This calculation of the maximum amount of potential contributions to the Charitable Gifts Trust Fund was intended to serve as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. Accordingly, the calculation should not, under any circumstances, be viewed as a projection of likely donations in any future year. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or others relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The calculation of the maximum amount of potential donations from Tax Year 2020 through 2023 is on average in the range of \$30 billion annually. The calculation assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file, as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Financial Plan are materially accurate.

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After factoring in all the foregoing adjustments and with inclusion of ECEP revenues, RBTF receipts are projected to remain above the level of receipts that would have been expected under statutes in effect prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected maximum amount of potential contributions to the Charitable Gifts Trust Fund is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018 before State tax reforms were enacted. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various counties. In September 2011, Tropical Storm Lee caused flooding in additional counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal Funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. To date, a total of \$28.9 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks to the State and its localities.

Financial market participants are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.¹¹ In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and their effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population and critical infrastructure concentration in coastal counties.

An October 2018 special report released by the Intergovernmental Panel on Climate Change of the United Nations (IPCC) found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes ("reasons for concern"). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate ("detectable") today, to high ("severe and widespread") at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

The State is participating in efforts to reduce greenhouse gas emissions in order to mitigate the risk of severe impacts from climate change. The Climate Leadership and Community Protection Act of 2019 set the State on a path toward developing regulations to reduce statewide greenhouse gas emissions to 85 percent below the 1990 level by 2050. As part of this target, the State plans to fully transition its electricity sector away from carbon emissions by 2040. The State is a member of the Regional Greenhouse Gas Initiative (RGGI) and has used a cap and trade mechanism to regulate carbon dioxide emissions from electric power plants since 2008.

¹¹ For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.

Current Labor Negotiations and Agreements

On December 18, 2019, the State and the Police Benevolent Association of New York State (PBANYS) conferred authority to a public arbitration panel to issue a final and binding arbitration award covering the four-year period April 1, 2015 to March 31, 2019 (FY 2016 to FY 2019). The award provides a 2 percent general salary increase in each fiscal year and additional compensation, which is partially offset by benefit design changes within New York State Health Insurance Program (NYSHIP) and reductions in overtime costs. The cost of this award has been reflected in the multi-year spending projections in the Financial Plan for the affected agencies.

The State has multi-year labor agreements in place with most of the unionized workforce and continues to negotiate new agreements with the Public Employees Federation (PEF), the Council 82 Security Supervisors Unit and the Police Benevolent Association of New York State (PBANYS).

The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Salary increases provided to CSEA and DC-37 (Local 1359) employees were also extended to Management/Confidential (M/C) employees.

The United University Professions (UUP) has a six-year labor contract (2017 through 2022). The contract provides for 2 percent general salary increases annually and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The Graduate Student Employees Union (GSEU) has a four-year labor contract that provides for 2 percent general annual salary increases for 2020 through 2023.

The Professional Staff Congress at CUNY has a six-year labor contract (2018 through 2023). The contract provides for annual 2 percent general salary increases commencing October 1, 2018.

The Police Benevolent Association of the New York State Troopers (NYSTPBA) and the New York State Police Investigators Association (NYSPIA) have five-year collective bargaining agreements for FY 2019 through FY 2023. The agreements provide for 2 percent general salary increases in each year of the contracts and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) has a seven-year labor contract (FY 2017 through FY 2023). The contract provides for 2 percent general salary increases in each year of the agreement and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

Contract periods and related general salary increases for State employee union contracts are summarized below.

UNION LABOR CONTRACTS											
	Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
NYSTPBA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSPIA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
GSEU	AY 2020 - AY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
CUNY	AY 2018 - AY 2023	2.5%	2%	2%	1.5%	2%	2%	2%	2%	2%	TBD
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
PBANYS	FY 2016 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
COUNCIL 82	FY 2010 - FY 2016	2%	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD

The Judiciary also has contracts in place with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2020 to FY 2021 for the remaining eight unions.

In general, agencies are expected to continue to fund salary increases within their operating budgets. However, certain agencies that provide institutional care, such as the Department of Corrections and Community Supervision (DOCCs) and mental hygiene agencies, as well as the State Police, have been allowed to increase their annual operating budgets.

The State is withholding, for a minimum of 90 days, the general salary increases that were scheduled to go into effect on April 1, 2020. The current Financial Plan reflects only the liquidity benefit of the withholding. If a decision is made to withhold the full amount for the fiscal year, it would provide savings of \$260 million in FY 2021 and offset the need for reductions elsewhere in the budget.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.¹² All projections are based on estimated market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent, and for PFRS the scale was reduced from 6 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which was reflected in FY 2020 contribution rates.

In August 2019, the actuary for NYSLRS issued the Annual Report to the Comptroller on Actuarial Assumptions, which provides a reduction in the State pension fund's assumed long-term rate of return on investments from 7 percent to 6.8 percent, anticipating lower investment earnings. The estimated average employer contribution rate for the ERS will remain stable at 14.6 percent of payroll due to offsetting gains from a change in the mortality improvement scale and new Tier 6 entrants. However, the estimated average employer contribution rate for the PFRS will increase by 0.9 percent, from 23.5 percent to 24.4 percent of payroll (the assumed rate reduction had more leverage in PFRS due to the maturity of the system).¹³

The FY 2021 ERS/PFRS pension estimate of \$2.3 billion relied upon the State Comptroller's February 7, 2020 report, which reflects a reduction in the assumed rate of return and other increases, partially offset by the use of a new mortality improvement scale and lower cost Tier 6 entrants. The State will continue to pay \$432 million towards the balance outstanding on prior-year deferrals. OSC does not forecast pension liability estimates beyond the budget year, thus estimates for FY 2022 and beyond are developed by DOB. DOB's forecast assumes growth in the salary base consistent with collective bargaining agreements and a stable rate of return. The current Financial Plan forecast does not reflect the potential losses in asset value as a result of the COVID-19 outbreak and recession.

¹² The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both the SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹³ Average contribution rates include the Group Life Insurance Plan (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year. Costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g., FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits that are newly incurred in a given fiscal year. The ERS cost to the State (including costs covered for local ERS) was \$16 million in FY 2020 based on actual credit purchased through December 31, 2019. DOB currently estimates annual ERS costs of \$7 million in FY 2021 and beyond, as additional veterans become eligible to purchase the credit.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate¹⁴) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a statutory formula, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve

¹⁴ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Other Matters Affecting the Financial Plan



account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer-specific graded rate based on the employer's own tier and plan demographics.

Neither the State nor the Office of Court Administration (OCA) have amortized pension costs since FY 2016.

The amortization threshold is equal to the normal rate and is projected to remain so in the upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS									
(millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ³	Rates for Determining (Amortization Amount) / Excess Contributions			
	Normal Costs ²	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.5	(562.8)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,077.9	(778.5)	100.9	1,400.3	3.00	18.5	25.7	11.5	19.5
2014	2,633.6	(937.0)	192.1	1,888.7	3.67	20.5	28.9	12.5	20.5
2015	2,328.8	(713.1)	305.7	1,921.4	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.2)	390.0	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,789.0	0.0	432.2	2,221.2	2.33	15.1	24.3	15.1	23.5
2018	1,788.7	0.0	432.2	2,220.9	2.84	14.9	24.3	14.9	24.3
2019	1,770.2	0.0	432.2	2,202.4	3.64	14.4	23.5	14.4	23.5
2020	1,782.2	0.0	432.2	2,214.4	2.55	14.2	23.5	14.2	23.5
2021 Est.	1,908.4	0.0	432.2	2,340.6	TBD	14.1	24.4	14.1	24.4
----- Projected by DOB ⁵ -----									
2022	2,206.3	0.0	399.9	2,606.2	TBD	15.0	25.0	15.0	25.0
2023	2,403.5	0.0	331.3	2,734.8	TBD	15.5	25.5	15.5	25.5
2024	2,494.7	0.0	240.1	2,734.8	TBD	16.5	26.5	16.5	26.5

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, including amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Social Security

The CARES Act allows employers to defer the deposit and payment of the employers share of Social Security taxes through December 2020, to be repaid, interest free, in two equal installments in December 2021 and December 2022. The Executive and the Judiciary have elected to defer the allowable Social Security payments for an estimated savings of \$599 million and \$68 million, respectively.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have the required years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a PAYGO basis as required by law.

The State Comptroller adopted Governmental Accounting Standards Board (GASB) Statement (GASBS) 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, for the State’s Basic Financial Statements for FY 2019. GASBS 75, which replaces GASBS 45 and GASBS 57, addresses accounting and financial reporting for OPEB that is provided to the employees of state and local governmental employers. GASBS 75 establishes standards for recognizing and measuring liabilities and expenses/expenditures, as well as identifying the methods and assumptions required to be used to project benefit payments, discount projected benefit payments to their actuarial determined present value, and attribute that present value to periods of employee service. Specifically, GASBS 75 now requires that the full liability be recognized. As a result, the March 31, 2018 ending net positive position of \$28.6 billion (previously reported using GASBS 45) in the State’s governmental activities was restated to an April 1, 2018 beginning net deficit position of \$3.3 billion upon the implementation of GASBS 75.

The State's total OPEB liability equals the employer's share of the actuarial determined present value of projected benefit payments attributed to past periods of employee service. The total OPEB obligation less any OPEB assets set aside in an OPEB trust or similar arrangement represent the net OPEB obligation.

As reported in the State's Basic Financial Statements for FY 2019, the total ending OPEB liability for FY 2019 is \$63.4 billion (\$50.9 billion for the State and \$12.5 billion for SUNY). The total OPEB liability as of March 31, 2019 was measured as of March 31, 2018 and was determined using an actuarial valuation as of April 1, 2017, with update procedures used to roll forward the total OPEB liability to March 31, 2018. The total beginning OPEB liability for FY 2019 was \$66.5 billion (\$53.5 billion for the State and \$13 billion for SUNY). The total OPEB liability was calculated using the Entry Age Normal cost method. The discount rate is based on the Bond Buyer 20-year general obligation municipal bond index rate on March 31 (3.86 percent in FY 2018 and 3.89 percent in FY 2019). The total OPEB liability declined by \$3.1 billion during FY 2019, primarily attributable to the difference between expected and actual experience.

The contribution requirements of NYSHIP members and the State are established by, and may be amended by, the Legislature. The State is not required to provide funding above the PAYGO amount necessary to provide current benefits to retirees and has not funded a qualified trust or its equivalent as defined in GASBS 75. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund"), a qualified trust under GASBS 75 that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not currently include any deposits to the Trust Fund.

GASBS 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant a description herein but, in the aggregate, could still adversely affect the Financial Plan.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems,¹⁵ and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, State and local infrastructure, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by the Department of Financial Services (DFS) are, unless eligible for limited exemptions, required to: (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or for which notice is required to any government body, self-regulatory agency, or supervisory body.

¹⁵ Statewide cybersecurity policies can be found at: <https://its.ny.gov/eiso/policies/security>.

Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan projections. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

The wide-ranging economic, health, and social disruptions caused by the COVID-19 outbreak are having an adverse impact on State authorities and localities, including the MTA and the City of New York. The aid-to-localities reductions that will need to be taken in this Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

Bond Market

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State or its public authorities cannot sell bonds at the levels (or on the timetable) expected, the State's overall cash position and capital funding plan may be adversely affected. Since the outbreak of COVID-19 in the United States, the municipal bond market has experienced significant disruption. The success of projected public sales will be subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacted the State and its public authorities by removing certain refunding opportunities for Federal tax-exempt financing, including advance refunding's for debt service savings when interest rates are favorable.

Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period.

The Enacted Budget suspends the Debt Reform Act for FY 2021 issuances as part of the State response to the COVID-19 pandemic. Accordingly, any borrowing initiated in FY 2021 is not limited to capital purposes and is not counted towards the statutory caps on debt outstanding and debt service. The suspension of the Debt Reform Act also includes up to \$8 billion of PIT notes and \$3 billion of line of credit facilities that were authorized as part of the Budget, as well as any short or long-term refinancing of such borrowings in future years.

Current projections anticipate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act, due to the suspension of the debt cap during FY 2021. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to decline from \$6.2 billion in FY 2020 to a low point of \$2.3 billion in FY 2024. This calculation excludes all issuances in FY 2021 but includes the estimated impact of funding increased capital commitment levels with State bonds after FY 2021. The cost of debt issued after April 1, 2000 and subject to the statutory cap is projected at \$5.1 billion in FY 2021, or roughly \$3.8 billion below the statutory debt service limit.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Included in Cap ¹	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Excluded from Cap	Total State-Supported Debt Outstanding
FY 2020	\$1,389,760	4.00%	55,590	49,433	6,157	3.56%	0.44%	4,774	54,207
FY 2021	\$1,399,112	4.00%	55,964	46,651	9,313	3.33%	0.67%	12,627	59,278
FY 2022	\$1,369,712	4.00%	54,788	51,068	3,720	3.73%	0.27%	11,705	62,773
FY 2023	\$1,440,600	4.00%	57,624	54,540	3,084	3.79%	0.21%	10,772	65,312
FY 2024	\$1,500,334	4.00%	60,013	57,666	2,347	3.84%	0.16%	9,953	67,619
FY 2025	\$1,561,865	4.00%	62,475	59,876	2,599	3.83%	0.17%	9,101	68,977

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Included in Cap ¹	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Excluded from Cap ²	Total State-Supported Debt Service ³
FY 2020	\$177,435	5.00%	8,872	4,820	4,052	2.72%	2.28%	1,130	5,950
FY 2021	\$179,043	5.00%	8,952	5,116	3,836	2.86%	2.14%	5,686	10,802
FY 2022	\$168,826	5.00%	8,441	5,150	3,291	3.05%	1.95%	1,789	6,939
FY 2023	\$172,601	5.00%	8,630	5,659	2,971	3.28%	1.72%	1,698	7,357
FY 2024	\$178,023	5.00%	8,901	6,034	2,867	3.39%	1.61%	1,516	7,550
FY 2025	\$179,395	5.00%	8,970	6,456	2,514	3.60%	1.40%	1,229	7,685

¹ Does not include debt issued prior to April 1, 2000. In addition, debt issued during FY 2021 is not subject to caps pursuant to Chapter 56 of the Laws of 2020.

² Includes liquidity financings expected to be repaid within FY 2021, consisting of \$3B of short-term notes and a \$1.5B draw on a line of credit facility.

³ Total State-supported debt service is adjusted for prepayments.

The State uses personal income estimates published by the Federal government, specifically the Bureau of Economic Analysis (BEA), to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The volatility in New York State personal income estimates has prompted DOB to reexamine the way BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of reducing reported New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State-supported debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections, which correspondingly reduces the State’s debt capacity under the Debt Reform Act.

Changes in the State's available debt capacity, as illustrated below, reflect the Budget’s suspension of the Debt Reform Act for FY 2021 issuances as discussed previously. In addition, debt capacity is impacted by personal income forecast adjustments, debt amortizations, and bond sale results. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP ¹						
REMAINING CAPACITY SUMMARY						
(millions of dollars)						
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
	Results	Enacted	Projected	Projected	Projected	Projected
FY 2021 Executive Budget Financial Plan as Amended	3,731	963	204	82	28	855
Personal Income Forecast Adjustment	41	(1,566)	(5,108)	(4,781)	(5,000)	(5,251)
Capital / Bond Sale Adjustments	2,385	732	(211)	(685)	(763)	(682)
Exempt FY 2021 Issuances ²	0	9,184	8,835	8,468	8,082	7,677
FY 2021 Enacted Budget Financial Plan	6,157	9,313	3,720	3,084	2,347	2,599

¹ Does not include liquidity financings expected to be repaid within FY 2021, consisting of \$3 billion of short-term note issuances and a \$1.5 billion draw on a line of credit facility.

² Debt issued during FY 2021 is not subject to cap pursuant to Chapter 56 of the Laws of 2020.

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2020, approximately \$135 million of bonds were outstanding under this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$157 million for debt service costs. DASNY estimates that the State will pay debt service costs of approximately \$32 million in FY 2021, \$27 million in FY 2022, \$21 million in both FY 2023 and FY 2024, and \$13 million in FY 2025. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$6 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY, and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) New York University (NYU) Hospitals Center (now “NYU Langone”), which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The second closing occurred on March 13, 2020 and title to the New Medical Site (NMS) portion of the LICH property was conveyed to NYU Langone.

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The third and final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center, and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.

Extraordinary Monetary Settlements

Beginning in FY 2015, the State began receiving Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The table below lists the receipts by firm and amount. Effective April 1, 2019, DOB no longer classifies or distinctly identifies any settlement of less than \$25 million as an Extraordinary Monetary Settlement.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	895	80	12,830
Aetna Insurance Company	0	0	0	0	2	0	0	2
Agricultural Bank of China	0	0	215	0	0	0	0	215
American International Group, Inc.	35	0	0	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	0	45	60
AXA Equitable Life Insurance Company	20	0	0	0	0	0	0	20
Bank Leumi	130	0	0	0	0	0	0	130
Bank of America	300	0	0	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	0	0	42
Bank of Korea	0	0	0	0	0	0	35	35
Bank of Tokyo Mitsubishi	315	0	0	0	0	0	0	315
Barclays	0	670	0	0	15	0	0	685
BNP Paribas	2,243	1,348	0	350	0	0	0	3,941
Chubb	0	0	0	0	1	0	0	1
Cigna	0	0	0	2	0	0	0	2
Citigroup (State Share)	92	0	0	0	0	0	0	92
Commerzbank	610	82	0	0	0	0	0	692
Conduent Education Services	0	0	0	0	1	0	0	1
Credit Agricole	0	459	0	0	0	0	0	459
Credit Suisse AG	715	30	0	135	0	0	0	880
Deutsche Bank	0	800	444	0	205	0	0	1,449
FedEx	0	0	0	0	26	0	0	26
Goldman Sachs	0	50	190	0	55	0	0	295
Google/YouTube	0	0	0	0	0	34	0	34
Habib Bank	0	0	0	225	0	0	0	225
Intesa SanPaolo	0	0	235	0	0	0	0	235
Lockton Affinity	0	0	0	0	7	0	0	7
Mashreqbank	0	0	0	0	40	0	0	40
Mega Bank	0	0	180	0	0	0	0	180
MetLife Parties	50	0	0	0	20	0	0	70
Morgan Stanley	0	150	0	0	0	0	0	150
MUFG Bank	0	0	0	0	0	33	0	33
Nationstar Mortgage	0	0	0	0	5	0	0	5
New Day	0	1	0	0	0	0	0	1
Ocwen Financial	100	0	0	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	0	0	1
PHH Mortgage	0	0	28	0	0	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	0	0	25
Promontory	0	15	0	0	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	0	0	100
Société Générale SA	0	0	0	0	498	0	0	498
Standard Chartered Bank	300	0	0	0	40	322	0	662
Unicredit	0	0	0	0	0	506	0	506
UBS	0	0	0	0	41	0	0	41
Volkswagen	0	0	32	33	0	0	0	65
Wells Fargo	0	0	0	0	65	0	0	65
Western Union	0	0	0	60	0	0	0	60
William Penn	0	0	0	0	6	0	0	6
Other Settlements	7	0	(7)	0	1	0	0	1

Other Matters Affecting the Financial Plan



The table below summarizes the past and planned uses of Extraordinary Monetary Settlements received. The planned use of settlements will be evaluated in light of economic conditions and fiscal needs arising from the COVID-19 outbreak.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FYs							Total
	2015 - 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	
Opening Settlement Balance in General Fund	0	4,194	2,610	2,185	1,226	479	134	0
Receipt of Extraordinary Monetary Settlements	11,855	895	80	0	0	0	0	12,830
Use/Transfer of Funds	7,661	2,479	505	959	747	345	134	12,830
Capital Purposes:	4,134	1,345	425	959	747	345	134	8,089
Dedicated Infrastructure Investment Fund	3,374	939	1,130	877	525	330	134	7,309
Environmental Protection Fund	120	0	0	0	0	0	0	120
Mass Transit	70	3	7	2	2	1	0	85
Healthcare	24	132	80	30	45	14	0	325
Clean Water Grants	0	0	25	50	175	0	0	250
Javits Center Expansion	546	271	183	0	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	0	(1,000)	0	0	0	0	(1,000)
Other Purposes:	3,122	6	0	0	0	0	0	3,128
Audit Disallowance - Federal Settlement	850	0	0	0	0	0	0	850
CSX Litigation Payment	76	0	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	1,807	0	0	0	0	0	0	1,807
Mass Transit Operating	10	0	0	0	0	0	0	10
MTA Operating Aid	194	0	0	0	0	0	0	194
Department of Law - Litigation Services Operations	180	6	0	0	0	0	0	186
OASAS Chemical Dependence Program	5	0	0	0	0	0	0	5
Reservation of Funds:	405	1,128	80	0	0	0	0	1,613
Rainy Day Reserves	250	238	0	0	0	0	0	488
Reserve for Economic Uncertainties	0	890	80	0	0	0	0	970
Reserve for Retroactive Labor Agreements	155	0	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,194	2,610	2,185	1,226	479	134	0	0



**State Financial Plan
Multi-Year Projections**

Introduction

This section presents FY 2020 results and the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FY 2021 through FY 2024, with an emphasis on FY 2021 projections, which reflect the impact of the Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

Receipts. The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to Capital Projects Funds (which fall outside the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.

Disbursements. Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the Budget, FY 2022, is the most relevant from a planning perspective.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between State Operating Funds projections and General Fund budget gaps. The tables are followed by a summary of multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes (After Debt Service)	73,133	61,990	63,849	67,402	71,302
Miscellaneous Receipts	3,159	6,373	1,750	1,773	1,811
Other Transfers	2,915	2,579	1,855	1,532	1,352
Total Receipts	79,207	70,942	67,454	70,707	74,465
DISBURSEMENTS					
Local Assistance	51,863	46,400	48,967	52,444	55,585
School Aid	23,522	24,054	24,175	25,210	26,168
Medicaid	16,071	15,616	18,124	19,088	19,993
All Other	12,270	14,730	14,668	16,146	17,424
Budget Balance Reduction	0	(8,000)	(8,000)	(8,000)	(8,000)
State Operations	12,054	11,655	11,539	11,553	11,818
Personal Service	8,940	9,583	9,536	9,699	9,839
Non-Personal Service	3,114	3,052	3,053	3,154	3,279
Budget Balance Reduction	0	(980)	(1,050)	(1,300)	(1,300)
General State Charges	7,454	7,249	9,013	9,559	9,689
Transfers to Other Funds	6,098	7,865	6,915	7,169	6,548
Debt Service	736	1,810	488	501	553
Capital Projects	3,128	3,512	3,747	3,917	3,138
SUNY Operations	1,179	1,273	1,273	1,267	1,267
All Other	1,055	1,270	1,407	1,484	1,590
Total Disbursements	77,469	73,169	76,434	80,725	83,640
Use (Reservation) of Fund Balance:	(1,738)	2,227	1,507	747	345
Community Projects	4	16	0	0	0
Labor Agreements	206	0	0	0	0
Business Tax Refund	202	0	0	0	0
Timing of Payments	(1,313)	1,313	0	0	0
Undesignated Fund Balance	(1,103)	553	548	0	0
Rainy Day Reserves	(428)	0	0	0	0
Economic Uncertainties	(890)	(80)	0	0	0
Extraordinary Monetary Settlements ¹	1,584	425	959	747	345
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(7,473)	(9,271)	(8,830)

¹ Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes	81,472	74,282	74,187	78,040	81,953
Miscellaneous Receipts/Federal Grants	22,761	22,441	18,359	17,800	17,361
Total Receipts	104,233	96,723	92,546	95,840	99,314
DISBURSEMENTS					
Local Assistance	68,652	61,660	64,686	67,689	70,521
School Aid (School Year Basis) ¹	27,812	26,780	27,918	28,911	29,854
DOH Medicaid ²	22,077	21,822	24,246	25,300	26,283
Transportation	3,488	3,935	3,906	4,094	4,288
STAR	2,184	2,073	1,979	1,858	1,750
Higher Education	2,362	3,518	2,924	2,961	2,991
Social Services	2,355	3,250	2,896	3,010	3,047
Mental Hygiene	3,427	2,223	3,162	3,799	4,437
All Other ³	4,947	6,059	5,655	5,756	5,871
Budget Balance Reduction	0	(8,000)	(8,000)	(8,000)	(8,000)
State Operations	20,168	19,107	19,115	19,170	19,392
Personal Service	14,090	14,641	14,616	14,848	14,999
Non-Personal Service	6,078	5,446	5,549	5,622	5,693
Budget Balance Reduction	0	(980)	(1,050)	(1,300)	(1,300)
General State Charges	8,423	8,296	10,153	10,728	10,843
Pension Contribution	2,456	2,592	2,855	2,990	2,996
Health Insurance	4,303	4,513	4,860	5,219	5,608
All Other	1,664	1,191	2,438	2,519	2,239
Debt Service	4,916	5,838	6,939	7,357	7,550
Capital Projects	0	0	0	0	0
Total Disbursements (Excluding Liquidity Financing)	102,159	94,901	100,893	104,944	108,306
Liquidity Financing	0	4,500	0	0	0
Total Disbursements (Including Liquidity Financing)	102,159	99,401	100,893	104,944	108,306
Net Other Financing Sources/(Uses)	(28)	(291)	(768)	(1,085)	(302)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(2,046)	2,969	1,642	918	464
General Fund	(1,738)	2,227	1,507	747	345
Special Revenue Funds	(310)	735	140	175	139
Debt Service Funds	2	7	(5)	(4)	(20)
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(7,473)	(9,271)	(8,830)

¹ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.

² Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of the offset is reported in "All Other" local assistance disbursements. Spending is offset by the benefit of enhanced FMAP for 6.2 percent for 6 months.

³ All Other includes education, parks, environment, economic development, and public safety, as well as the MSA payment offset, and a reconciliation between school year and State fiscal year spending on School Aid.

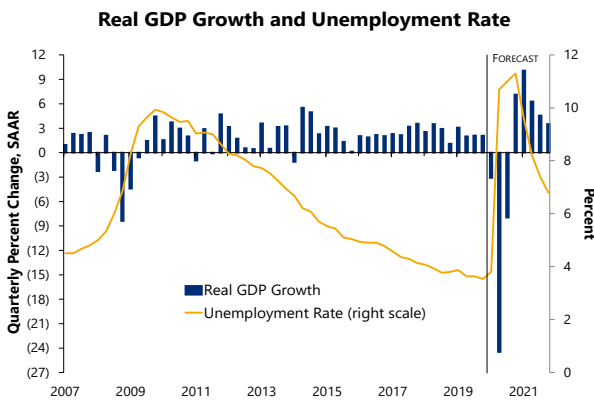
Economic Backdrop

The U.S. and Global Economy

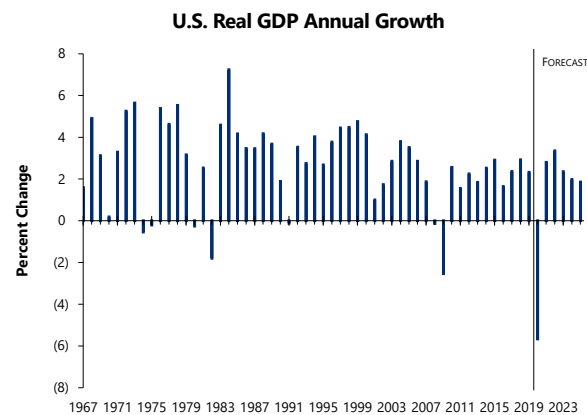
The rapid spread of COVID-19 has evolved into a pandemic disrupting global trade flows, supply chains, business and household demand, travel, and drastically lowering equity and commodity prices. Worldwide lockdowns have sent most major economies into, or near, a recession. The global monetary, fiscal, and health care policy responses have been swift and in many cases unprecedented. According to the International Monetary Fund (IMF) the global economy will be in a deep recession in 2020.¹⁶

U.S. Economic Forecast¹⁷

The policy developments surrounding the rapid spread of COVID-19 have driven DOB’s U.S. economic outlook into recessionary territory. With social distancing programs put in place by various states, business closures and layoffs on the rise, DOB expects that U.S. real GDP growth will contract in the first three quarters of 2020. There were noticeable signs of financial and economic stress during the latter part of February, and by the end of March it was clear that the U.S. economy was entering a recession.



Source: Haver Analytics/BEA, BLS, DOB staff estimates.



Source: Haver Analytics/BEA, DOB staff estimates.

¹⁶ <https://www.imf.org/en/News/Articles/2020/03/27/sp032720-opening-remarks-at-press-briefing-following-imfc-conference-call>.

¹⁷ DOB’s US Macro forecast incorporates the third estimate of 2019 fourth quarter GDP report released on March 26, 2020; February 2020 BEA personal income and outlays report released on March 27, 2020; February 2020 employment report released on March 6, 2020; and February 2020 CPI report released on March 11, 2020.

U.S. real GDP is expected to have declined 3.1 percent in the first quarter of 2020, followed by a deep contraction of 24.5 percent in the second quarter, and another contraction of 8.0 percent in the third quarter. DOB expects real GDP to rebound and grow 7.1 percent in the fourth quarter of 2020. The peak-to-trough decline (fourth quarter 2019 to third quarter 2020) in real GDP is 9.4 percent. Real GDP for 2020 is estimated to decline 5.7 percent. As the economy recovers, real GDP growth is expected to surge in the first half of 2021 before slowing to 3.5 percent by the fourth quarter of 2021. The projection of 2021 real GDP growth is 2.8 percent. In terms of levels, real GDP does not surpass its recent peak in the fourth quarter of 2019 until the second half of 2022.

The Federal Reserve has taken unprecedented actions designed to mitigate the stress on credit markets and ensure that financial institutions, firms, households, and state and local governments have enough liquidity and funds. On March 3, the Federal Reserve cut the Federal funds rate by 50 basis points, and then cut rates again by 100 basis points on March 15 sending the target range of the Federal funds rate to 0 - 0.25 percent. On March 23, the Federal Reserve committed to using its full range of tools to support the U.S. economy, including unlimited amount of quantitative easing (QE), corporate bond purchases through special purpose vehicles (SPVs), the issuance of asset-backed securities (ABS) backed by consumer and business loans, and the expansion of money market funds to cover municipal bonds in order to assist in State and Local government liquidity.

To limit the economic and financial damage to the U.S. economy due to the COVID-19 pandemic, on March 6 the Coronavirus Preparedness and Response Supplemental Appropriations Act was enacted by Congress and provided \$8 billion in emergency funding for Federal agencies. The Families First Coronavirus Response Act, passed on March 18, provided \$192 billion in relief measures such as payroll tax credits, and funding to state governments by increasing Federal matching requirements for Medicaid. The CARES Act, passed on March 26, provided business and household income stabilization equal to \$2.3 trillion, which is the single largest spending measure passed by Congress in U.S. history. The major provisions of the CARES Act are one-time checks to qualifying individuals, expanded unemployment insurance, small business loans and grants, grants to specific industries, business tax cuts, loans to large businesses, states and municipalities, and support to health care institutions and employees.

Unemployment insurance benefits, a leading indicator of labor market conditions, skyrocketed in the second half of March through the first three weeks of April, bringing the five-week total to approximately 26.5 million. This number of initial claims is record breaking for a five-week period and surpasses the nonfarm job gains from October 2010 through February 2020. The employment report released on April 3, 2020 indicated 701,000 nonfarm job losses in March after 244,500 average monthly job gains for the first two months of the year. The March unemployment rate spiked 0.9 percentage point to 4.4 percent in March, from a historical low of 3.5 percent in February. The March job report was worse than expected, however, it accounted for only partial impact on the labor market since the survey was conducted around March 12. There has been a significant level of hiring freezes, furloughs, and layoffs since mid-March. Sixty-five percent of the March job losses were in the leisure and hospitality sector which includes restaurants, bars and hotels, consistent with the abrupt stop of economic activity to contain the spread of COVID-19.

Retail trade employment fell by 46,000 in March and more losses are likely as several large department stores have announced layoffs and furloughs in the weeks following the March employment survey. Manufacturing and construction employment are also likely to see more significant declines in April when many motor vehicle assembly plants are expected to close, and stay-at-home orders restrain workers from construction projects.

Looking ahead, DOB expects the employment situation to substantially deteriorate over the next three quarters of 2020. Nonfarm payroll employment is expected to decline 5.3 percent in 2020 before a 2.4 percent gain in 2021. Meanwhile, the unemployment rate is expected to climb to 9.2 percent for 2020, the highest since 2011. As the economy recovers, the unemployment rate is expected to drop to 8.0 percent in 2021. Due to falling oil prices and weak demand, DOB’s CPI inflation outlook is only 0.8 percent for 2020.

U.S. ECONOMIC INDICATORS (Calendar Year Growth)			
	CY 2019	CY 2020	CY 2021
	Actual	Forecast	Forecast
Real U.S. Gross Domestic Product	2.3	-5.7	2.8
Consumer Price Index (CPI)	1.8	0.8	1.9
Personal Income	4.4	0.2	-0.8
Nonagricultural Employment	1.4	-5.3	2.4
Civilian Unemployment Rate	3.7	9.2	8.0

Source: Haver Analytics; DOB staff estimates.

Consumer spending is expected to drop sharply in the second quarter of 2020 while recovering at a stronger growth rate than real GDP in the latter part of the year. Real residential investment growth, which has been a bright spot in the economy since the third quarter of 2019, is expected to be in negative territory for the rest of 2020. Real nonresidential fixed investment growth has been declining since the second quarter of 2019 due to trade policy uncertainty, slowing global growth, production delays of the Boeing 737 Max aircraft, and a global manufacturing downturn. With factory closures, and production slowdowns, real nonresidential fixed investment growth is expected to fall dramatically in the second and third quarters of 2020 before reaching positive territory in the first quarter of 2021. Real exports and imports are also expected to shrink during the first three quarters of 2020 but will likely recover sooner than nonresidential fixed investment.

Risks

The current forecast represents a dramatic downward revision to the U.S. economic outlook compared to DOB’s forecast released in February. As expected, downward revisions are attributed to the COVID-19 pandemic. The uncertainty surrounding this pandemic weigh the risks to the downside. Upside risks include further business and income stabilization legislation from Congress and the timely containment of the COVID-19 virus.

The New York State Economy¹⁸

New York State and especially New York City have been impacted particularly hard by the COVID-19 pandemic. Coronavirus fears, travel bans, and regulations that limit social gatherings caused a wide range of business sectors to cease operations, especially retail trade and leisure and hospitality. The abrupt halt of economic activity in most industries continues to lead to layoffs and furloughs. As a result, private sector employment in New York State is expected to shed jobs starting from the first quarter of 2020 through the first quarter of 2021 on a year-over-year basis. Private sector employment is expected to decline 7.5 percent in 2020 and recover in 2021 to a growth rate of 3.1 percent.

NEW YORK STATE ECONOMIC INDICATORS (State Fiscal Year Growth)			
	FY 2019	FY 2020	FY 2021
	Actual	Estimated	Forecast
Personal Income	3.2	3.5	-2.2
Wages	3.6	4.0	-7.2
Nonagricultural Employment	1.4	0.8	-7.0

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

Due to global economic and financial uncertainties, the S&P 500 equity price index is expected to decline 14.7 percent in 2020. Finance and insurance sector bonuses are expected to decline 50.4 percent in FY 2021. The outlook for total bonuses is slightly less negative, with a projected decline of 44.9 percent in FY 2021. Total wages are expected to decline 7.2 percent in FY 2021.

State property income and proprietor's income are projected to decline 9.1 percent and 5.3 percent, respectively, in FY 2021. The CARES Act relief payments to individuals across the nation are estimated by the Joint Committee of Taxation to be \$292.4 billion.¹⁹ These payments are reflected within State transfer income as early as the second quarter of 2020, driving up State transfer income by approximately 23 percent in FY 2021. On balance, State personal income is projected to decline 2.2 percent in FY 2021, followed by a projected growth of 1.8 percent in FY 2022.

All the risks to the U.S. forecast apply to the State forecast as well. The coronavirus pandemic and weak global growth are contributing to increased market volatility and restraining equity prices growth over the near term. As the nation's financial capital, both the volume of financial market activity and volatility in equity markets pose a particularly significant degree of risk for the New York State economy. Since the New York City area became the epicenter of the COVID-19 outbreak in the U.S., a prolonged impact of the virus would threaten economic growth within the City and overall State. More-than-expected layoffs could pose a significant downside risk to our employment and wage outlook. Upside risks such as the faster than expected containment of the virus, stronger equity markets, and more robust national and global growth could result in higher employment and wage growth.

¹⁸ DOB's New York State economic forecast incorporates 2019 fourth quarter BEA State personal income report released on March 24, 2020.

¹⁹ <https://www.jct.gov/publications.html?func=startdown&id=5252>

Receipts

Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. Multiyear receipts estimates are prepared by DOB with the assistance of DTF and other agencies which collect State receipts and are premised on economic analysis and forecasts.

Overall base growth (i.e., growth not due to law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

Projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2021 are projected to total \$179 billion, a 0.9 percent (\$1.6 billion) increase from FY 2020 results. FY 2021 State tax receipts are projected to decrease \$7.3 billion (8.9 percent) from prior year results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
Personal Income Tax	53,660	49,046	-8.6%	47,976	-2.2%	50,732	5.7%	53,862	6.2%
Consumption/Use Taxes	18,021	15,474	-14.1%	16,643	7.6%	17,101	2.8%	17,585	2.8%
Business Taxes	8,996	8,957	-0.4%	8,874	-0.9%	9,403	6.0%	9,592	2.0%
Other Taxes	2,212	2,066	-6.6%	2,054	-0.6%	2,161	5.2%	2,266	4.9%
Total State Taxes	82,889	75,543	-8.9%	75,547	0.0%	79,397	5.1%	83,305	4.9%
Miscellaneous Receipts	29,466	30,669	4.1%	25,859	-15.7%	24,443	-5.5%	23,972	-1.9%
Federal Receipts	65,080	72,833	11.9%	67,419	-7.4%	68,761	2.0%	70,749	2.9%
Total All Funds Receipts	177,435	179,045	0.9%	168,825	-5.7%	172,601	2.2%	178,026	3.1%

COVID-19 is estimated to have a significant negative impact on tax receipts. Executive Budget Amendment forecasts have been revised sharply downward, consistent with the economic analysis outlined in the previous section. The Budget forecast reduces estimated FY 2021 tax receipts by over \$12 billion and projected FY 2022 tax receipts by over \$15 billion.

- Personal income taxes are reduced significantly in FY 2021 with an estimated loss of nearly \$8 billion and a projected \$11 billion annual decline across the financial plan period over the Executive Budget Amendments forecasts.
- Consumption/Use taxes and fees are reduced by over \$3 billion with a significant decline in sales and use taxes.
- Business taxes are reduced by nearly \$1 billion in FY 2021 with the largest portion of the decline in corporate franchise taxes.
- Other taxes are reduced by \$274 million in FY 2021 and over \$370 million in the outyears.

Further analysis of each tax component by fiscal year is below.

Personal Income Tax

PERSONAL INCOME TAX									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	53,660	49,046	-8.6%	47,976	-2.2%	50,732	5.7%	53,862	6.2%
Gross Collections	64,985	59,640	-8.2%	60,085	0.7%	63,330	5.4%	66,921	5.7%
Refunds (Incl. State/City Offset)	(11,325)	(10,594)	6.5%	(12,109)	-14.3%	(12,598)	-4.0%	(13,059)	-3.7%
GENERAL FUND¹	24,646	22,450	-9.8%	22,008	-2.0%	23,508	6.8%	25,181	7.1%
Gross Collections	64,985	59,640	-8.2%	60,085	0.7%	63,330	5.4%	66,921	5.7%
Refunds (Incl. State/City Offset)	(11,325)	(10,594)	6.5%	(12,109)	-14.3%	(12,598)	-4.0%	(13,059)	-3.7%
STAR	(2,184)	(2,073)	5.1%	(1,980)	4.5%	(1,858)	6.2%	(1,750)	5.8%
RBTF	(26,830)	(24,523)	8.6%	(23,988)	2.2%	(25,366)	-5.7%	(26,931)	-6.2%

¹Excludes Transfers.

All Funds PIT receipts for FY 2021 are estimated to decrease significantly, primarily reflecting steep declines in withholding and total estimated payments, partially offset by a decrease in total refunds.

The following table summarizes, by component, actual receipts for FY 2020 and forecast amounts through FY 2024.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Enacted	Projected	Projected	Projected
Receipts					
Withholding	43,118	38,752	42,570	44,344	46,269
Estimated Payments	17,025	15,669	12,951	14,091	15,438
Current Year	10,996	9,129	9,683	10,576	11,254
Prior Year ¹	6,029	6,540	3,268	3,515	4,184
Final Returns	3,454	3,608	2,882	3,164	3,431
Current Year	340	316	331	346	367
Prior Year ¹	3,114	3,292	2,551	2,818	3,064
Delinquent	<u>1,388</u>	<u>1,611</u>	<u>1,682</u>	<u>1,731</u>	<u>1,783</u>
Gross Receipts	64,985	59,640	60,085	63,330	66,921
Refunds					
Prior Year ¹	5,928	6,267	7,475	7,645	7,793
Previous Years	531	638	669	700	732
Current Year ¹	2,244	1,751	1,750	1,750	1,750
Advanced Credit Payment	1,505	664	816	979	1,135
State/City Offset ¹	<u>1,117</u>	<u>1,274</u>	<u>1,399</u>	<u>1,524</u>	<u>1,649</u>
Total Refunds	11,325	10,594	12,109	12,598	13,059
Net Receipts	53,660	49,046	47,976	50,732	53,862

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

FY 2021 withholding is estimated to be markedly lower than FY 2020 results, driven by extraordinary declines in both bonus and non-bonus wages. Extension payments related to Tax Year 2019 are projected to increase in response to modest growth in nonwage income. Estimated payments attributable to Tax Year 2020 are expected to substantially decrease, driven by a steep decline in nonwage income. FY 2021 final return payments and delinquencies are both expected to increase.



State Financial Plan Multi-Year Projections

The decrease in total refunds reflects a steep decrease in advanced credit payments attributable to Tax Year 2020, coupled with a decline in the administrative January-March refund cap. These decreases are partially offset by increases in prior-year refunds related to Tax Year 2019, refunds related to tax years prior to 2019, and the State-City offset. The large decline in advanced credit payments attributable to Tax Year 2020 reflects the expiration of the Property Tax Relief Credit. General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. The FY 2021 STAR transfer is expected to decline. PIT RBTF receipts are statutorily set to 50 percent of net PIT receipts, and FY 2021 RBTF receipts therefore reflect the decrease in All Funds receipts noted above. FY 2021 General Fund PIT is expected to decrease due to these changes.

All Funds FY 2022 receipts are projected to decrease, reflecting sharp declines in Tax Year 2020 extension payments and final returns, coupled with growth in total refunds. These changes are primarily driven by exceptionally weak Tax Year 2020 nonwage income. Revenue declines are partially offset by increases in withholding, Tax Year 2020 current estimated payments, and delinquencies. The FY 2022 STAR transfer is expected to decline. The FY 2022 RBTF is projected to decrease based on the decrease in FY 2022 All Funds receipts. General Fund PIT receipts for FY 2022 are also expected to decrease, driven by the aforementioned changes to All Funds receipts, the STAR transfer, and RBTF receipts.

All Funds PIT receipts for FY 2023 are projected to increase from FY 2022 projections as the State economy recovers. Gross PIT receipts are projected to increase as well, reflecting projected increases in withholding and total estimated payments, partially offset by a projected increase in total refunds.

General Fund PIT receipts for FY 2023 are expected to increase, reflecting an increase in All Funds PIT receipts coupled with a decrease in the STAR transfer, partially offset by an increase in RBTF receipts.

All Funds PIT receipts and General Fund PIT receipts are both expected to increase in FY 2024 reflecting normal baseline growth in income and associated tax liability.

Consumption/Use Taxes

CONSUMPTION/USE TAXES									
(millions of dollars)									
	FY 2020	FY 2021	FY 2022		FY 2023		FY 2024		
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	18,021	15,474	-14.1%	16,643	7.6%	17,101	2.8%	17,585	2.8%
Sales Tax	15,932	13,463	-15.5%	14,608	8.5%	15,105	3.4%	15,626	3.4%
Cigarette and Tobacco Taxes	1,035	971	-6.2%	946	-2.6%	904	-4.4%	864	-4.4%
Vapor Excise Tax	10	14	40.0%	6	-57.1%	6	0.0%	6	0.0%
Motor Fuel Tax	512	454	-11.3%	509	12.1%	507	-0.4%	502	-1.0%
Highway Use Tax	142	131	-7.7%	139	6.1%	139	0.0%	140	0.7%
Alcoholic Beverage Taxes	259	266	2.7%	264	-0.8%	266	0.8%	269	1.1%
Opioid Excise Tax	19	80	321.1%	66	-17.5%	66	0.0%	66	0.0%
Medical Cannabis Excise Tax	6	6	0.0%	6	0.0%	6	0.0%	6	0.0%
Adult Use Cannabis Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Auto Rental Tax ¹	106	89	-16.0%	99	11.2%	102	3.0%	106	3.9%
GENERAL FUND²	8,038	6,934	-13.7%	7,462	7.6%	7,686	3.0%	7,922	3.1%
Sales Tax	7,447	6,292	-15.5%	6,827	8.5%	7,059	3.4%	7,302	3.4%
Cigarette and Tobacco Taxes	313	296	-5.4%	305	3.0%	295	-3.3%	285	-3.4%
Alcoholic Beverage Taxes	259	266	2.7%	264	-0.8%	266	0.8%	269	1.1%
Opioid Excise Tax	19	80	321.1%	66	-17.5%	66	0.0%	66	0.0%

¹No longer includes receipts remitted directly to the MTA without an appropriation beginning in FY 2020.

²Excludes Transfers.

All Funds consumption/use tax receipts for FY 2021 are estimated to decrease significantly from FY 2020 results. Sales tax receipts are estimated to decrease due to a significant decline in taxable consumption (i.e., estimated sales tax base decline of 16.9 percent). The excise taxes on opioids and vapor products are both fully implemented in FY 2021. Vapor products tax receipts are projected to moderately increase from FY 2020 results despite legislation enacted in the Budget to ban all flavored vapor products other than tobacco flavored products. Cigarette and tobacco tax collections are projected to decrease, reflecting a continued decline in taxable cigarette consumption. Highway use tax collections are estimated to decrease, reflecting a decline in demand from the trucking sector related to the economic slowdown and limited travel activities. Motor fuel tax receipts are estimated to decrease due to declines in both gasoline and diesel consumption. Auto rental tax receipts are estimated to decrease, mainly due to extremely limited travel expected early in the fiscal year.

A portion of sales tax receipts is initially deposited to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under the Local Government Assistance Corporation (LGAC) and State Sales Tax Revenue Bond programs, respectively. Receipts in excess of the debt service requirements of these funds and the local assistance payments to New York City, or its assignee, are subsequently transferred to the General Fund.



State Financial Plan Multi-Year Projections

General Fund consumption/use tax receipts for FY 2021 are estimated to decrease, largely due to the sales and use tax trends noted above.

All Funds consumption/use tax receipts for FY 2022 are projected to increase by more than \$1 billion from FY 2021 estimates. The increase in sales tax receipts reflects a bounce back in taxable consumption with projected base growth of 8.4 percent. The excise tax on opioids is projected to slightly decline, reflecting the absence of a rollover in liability generated during the previous fiscal year. Motor fuel tax, auto rental tax, and highway use tax receipts are all estimated to increase from FY 2021 estimates as the economy and travel activity are expected to improve compared to the prior year. These increases are partially offset by a continued decline in taxable cigarette consumption.

FY 2022 General Fund consumption/use tax receipts are projected to increase, mainly due to the sales and use tax trend noted above.

FY 2023 and FY 2024 All Funds consumption/use tax receipts are projected to increase compared to the prior year, largely reflecting base growth in sales tax receipts, which is slightly offset by a continued decline in taxable cigarette consumption. Similarly, General Fund consumption/use tax receipts are projected to increase in both FY 2023 and FY 2024 primarily due to the All Funds sales and use tax and cigarette tax trends noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	8,996	8,957	-0.4%	8,874	-0.9%	9,403	6.0%	9,592	2.0%
Corporate Franchise Tax	4,824	4,868	0.9%	4,883	0.3%	5,345	9.5%	5,476	2.5%
Corporation and Utilities Tax	705	640	-9.2%	637	-0.5%	654	2.7%	659	0.8%
Insurance Tax	2,306	2,165	-6.1%	2,269	4.8%	2,327	2.6%	2,389	2.7%
Bank Tax	0	270	0.0%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,161	1,014	-12.7%	1,085	7.0%	1,077	-0.7%	1,068	-0.8%
GENERAL FUND	6,370	6,506	2.1%	6,337	-2.6%	6,778	7.0%	6,918	2.1%
Corporate Franchise Tax	3,791	3,882	2.4%	3,852	-0.8%	4,228	9.8%	4,309	1.9%
Corporation and Utilities Tax	518	470	-9.3%	463	-1.5%	477	3.0%	481	0.8%
Insurance Tax	2,053	1,929	-6.0%	2,022	4.8%	2,073	2.5%	2,128	2.7%
Bank Tax	8	225	2712.5%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

FY 2021 All Funds business tax receipts are estimated to decline slightly, albeit \$1 billion below Executive Amended estimates, driven primarily by a decrease in gross receipts from corporation and utilities taxes, insurance taxes, and petroleum business taxes. These declines are partially offset by increases in bank tax audit receipts and a decline in corporation franchise tax refunds paid.

Corporation franchise tax receipts are estimated to increase slightly in FY 2021, reflecting a reduction in refunds paid and an increase in audit receipts. Refunds are estimated to return to recent historical levels after the previous year included a large refund that was originally anticipated to be paid in FY 2019. Audit receipts are estimated to increase based on anticipated large cases expected to close this fiscal year. Gross receipts are estimated to decline due to projected large declines in corporate profits and investment in equipment and software, in addition to the continued phase-out of the capital base that will be complete in 2021.

Corporation and utilities tax receipts for FY 2021 are estimated to decrease over the prior fiscal year, largely driven by decreases in gross receipts from both the telecommunication and utilities sectors and a decrease in audits. FY 2020 audit receipts more than doubled over the prior year and are expected to return to trend level in FY 2021 while refunds are estimated to increase slightly.

Insurance tax receipts for FY 2021 are estimated to decrease significantly due to a decline in gross receipts. FY 2020 gross receipts increased sharply due to payments covering two liability periods from the conversion of a not-for-profit insurer to a for-profit insurer. Projected declines in corporate profits also contribute to the drop in gross receipts. Audits are estimated to increase to trend levels while refunds paid are expected to decline compared to historically high refunds paid last fiscal year.



State Financial Plan Multi-Year Projections

Receipts from the repealed bank tax (all from prior liability periods) in FY 2021 are estimated to increase, primarily due to an estimated increase in audits based on large cases expected to close this fiscal year. Petroleum business tax (PBT) receipts are estimated to decrease from FY 2020 results, primarily due to a decline in both gasoline and diesel consumption coupled with the impact of a 2 percent decline in the PBT rate index on January 1, 2020, paired with a projected 5 percent decline in the PBT rate index on January 1, 2021.

General Fund business tax receipts for FY 2021 are estimated to increase due to the trends in bank and corporation franchise tax receipts described above.

General Fund and All Funds business tax receipts for FY 2022 are projected to decline, primarily reflecting a decline in audit receipts from bank taxes. A projected decline in bank taxes and corporation and utilities taxes is offset by projected increases in the corporation franchise tax, insurance tax, and PBT receipts.

General Fund and All Funds business tax receipts for FY 2023 are projected to increase, primarily reflecting increases in corporation franchise tax, insurance taxes, and corporation and utilities taxes. This increase is partially offset by a modest decline in PBT receipts.

General Fund and All Funds business tax receipts for FY 2024 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices.

Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE/ALL FUNDS	2,212	2,066	-6.6%	2,054	-0.6%	2,161	5.2%	2,266	4.9%
Estate Tax	1,070	1,100	2.8%	1,028	-6.5%	1,077	4.8%	1,128	4.7%
Real Estate Transfer Tax	1,124	949	-15.6%	1,004	5.8%	1,061	5.7%	1,114	5.0%
Employer Compensation Expense Program	2	4	100.0%	6	50.0%	7	16.7%	7	0.0%
Pari-Mutuel Taxes	14	11	-21.4%	14	27.3%	14	0.0%	14	0.0%
All Other Taxes	2	2	0.0%	2	0.0%	2	0.0%	3	50.0%
GENERAL FUND¹	1,087	1,115	2.6%	1,047	-6.1%	1,097	4.8%	1,148	4.6%
Estate Tax	1,070	1,100	2.8%	1,028	-6.5%	1,077	4.8%	1,128	4.7%
Employer Compensation Expense Program	1	2	100.0%	3	50.0%	4	33.3%	3	-25.0%
Pari-Mutuel Taxes	14	11	-21.4%	14	27.3%	14	0.0%	14	0.0%
All Other Taxes	2	2	0.0%	2	0.0%	2	0.0%	3	50.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2021 are estimated to decrease from FY 2020 results, primarily due to an estimated decrease in real estate transfer tax receipts resulting from large estimated declines in housing starts, housing prices, and bonuses. The real estate transfer tax receipts decrease is partially offset by a slight increase in estate tax receipts, primarily due to the partial-year impact of minor estimated growth in household net worth.

General Fund other tax receipts are estimated to increase, mainly due to the estimated increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 are projected to decrease slightly, primarily due to a decrease in estate tax receipts, reflecting a projected decline in the Wilshire 5000 Index. This is largely offset by an increase in real estate transfer tax receipts, which is primarily due to projected growth in housing starts and housing prices as activity rebounds compared to the prior year.

General Fund other tax receipts for FY 2022 are projected to decrease, due to the decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2023 and FY 2024 are projected to increase, largely due to increases in both estate tax and real estate transfer tax receipts, reflecting projected growth in household net worth, housing starts, and housing prices.

General Fund other tax receipts for FY 2023 and FY 2024 are projected to increase, resulting from the projected increases in estate tax receipts noted above.

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State Compact receipts, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2020	FY 2021	FY 2022		FY 2023		FY 2024		
	<u>Results</u>	<u>Enacted</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
ALL FUNDS	29,466	30,669	4.1%	25,859	-15.7%	24,443	-5.5%	23,972	-1.9%
General Fund	3,159	6,373	101.7%	1,750	-72.5%	1,773	1.3%	1,811	2.1%
Special Revenue Funds	19,279	15,866	-17.7%	16,399	3.4%	15,819	-3.5%	15,344	-3.0%
Capital Projects Funds	6,551	8,049	22.9%	7,325	-9.0%	6,467	-11.7%	6,433	-0.5%
Debt Service Funds	477	381	-20.1%	385	1.0%	384	-0.3%	384	0.0%

All Funds miscellaneous receipts are projected to total \$30.7 billion in FY 2021, an increase of 4.1 percent from FY 2020 results is driven by the issuance of PIT notes and line of credit (\$3 billion and \$1.5 billion, respectively) and increasing bond proceeds

All Funds miscellaneous receipts are projected to decline annually after FY 2021, reflecting the nonrecurring short financing, continued impact of COVID-19 and a decrease in bond proceed reimbursements in later years, which corresponds to prior-year capital expenses.

Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2020	FY 2021	FY 2022		FY 2023		FY 2024		
	<u>Results</u>	<u>Enacted</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	
ALL FUNDS	65,080	72,833	11.9%	67,419	-7.4%	68,761	2.0%	70,749	2.9%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	62,897	70,577	12.2%	65,134	-7.7%	66,478	2.1%	68,497	3.0%
Capital Projects Funds	2,109	2,182	3.5%	2,213	1.4%	2,214	0.0%	2,186	-1.3%
Debt Service Funds	74	74	0.0%	72	-2.7%	69	-4.2%	66	-4.3%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, a temporary increase in the FMAP, and funding from the Coronavirus Relief Fund, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

Disbursements

In FY 2021, disbursements from the State's General Fund, including transfers, are expected to total \$73.2 billion, and disbursements from State Operating Funds are expected to total \$99.4 billion. School Aid, Medicaid, transportation, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

The Enacted Budget Financial Plan estimates include \$8.2 billion in recurring reductions in aid-to-localities disbursements that are expected to be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget. The allocation of the savings plan will depend on what programs are included or excluded from reductions, the level of targeted reductions in certain areas, and the availability of Federal aid. Accordingly, the specific agency and program spending levels described below do reflect any reductions that may occur as a result of the savings plan. However, such reductions may be significant.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$61.7 billion in FY 2021, including budget balance reductions, which is approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
(millions of dollars)					
	FY 2020 Results ¹	FY 2021 Enacted	Forecast		
			FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HEALTH CARE					
Medicaid - Individuals Covered ²	6,195,058	6,192,680	6,200,222	6,207,765	6,215,308
Essential Plan - Individuals Covered	775,117	773,639	771,546	769,458	767,375
Child Health Plus - Individuals Covered	424,463	432,215	436,091	438,035	439,979
State Takeover of County/NYC Costs ³	<u>\$4,115</u>	<u>\$4,467</u>	<u>\$4,818</u>	<u>\$5,179</u>	<u>\$5,551</u>
CY 2005 Local Medicaid Cap	\$3,015	\$3,184	\$3,353	\$3,531	\$3,720
FY 2013 Local Takeover Costs	\$1,100	\$1,283	\$1,465	\$1,648	\$1,831
EDUCATION					
School Aid (School Year-Basis Funding) ⁴	\$27,812	\$26,780	\$27,918	\$28,911	\$29,854
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	549,800	549,800	549,800	549,800	549,800
Tuition Assistance Program (Recipients)	265,936	265,936	265,936	265,936	265,936
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	178,038	171,392	166,404	165,110	165,243
Safety Net Program (Families)	105,016	101,741	99,351	98,373	97,930
Safety Net Program (Singles)	191,424	196,052	201,179	206,590	212,376
MENTAL HYGIENE					
OMH Community Beds	47,040	48,321	49,038	50,069	50,569
OPWDD Community Beds	43,193	43,783	44,081	44,381	44,684
OASAS Community Beds	13,665	13,725	13,955	14,186	14,266
Total	103,898	105,829	107,074	108,636	109,519
PRISON POPULATION					
	44,500	44,000	44,000	44,000	44,000

¹ Reflects preliminary unaudited results.

² Enrollment in public health insurance programs is subject to direct/indirect risks related to the COVID-19 pandemic.

³ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then fully transferred to the State as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.

⁴ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.



State Financial Plan Multi-Year Projections

Education

School Aid

School Aid supports elementary and secondary education for New York pupils enrolled in the 673 major school districts. State aid is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally-defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

State Operating Funds support for School Aid is expected to total \$26.8 billion in SY 2021, an annual decrease of \$1 billion (3.7 percent). This reduction in State Operating Funds support will be offset by approximately \$1.1 billion in funding provided to the State through the Federal CARES Act, including the Elementary and Secondary School Emergency Education Relief Fund and the Governor’s Emergency Education Relief Fund. With these Federal funds, SY 2021 School Aid is expected to total \$27.9 billion, an annual increase of approximately \$100 million or 0.4 percent.

The Enacted Budget continues prior year funding levels for existing programs, including Foundation Aid, Community Schools and Universal Prekindergarten. The Enacted Budget also provides over \$200 million in support for competitive grant programs, including \$1 million for development of a new Civics Education curriculum and \$10 million for a Student Mental Health program. Funding for expense-based aids, such as Building Aid, Transportation Aid, and Boards of Cooperative Educational Services (BOCES) Aid is continued under existing aid formulas.

Outyear growth in School Aid reflects current projections of the ten-year average growth in State personal income.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2020</u>	<u>SY 2021¹</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>	<u>SY 2023</u>	<u>Change</u>	<u>SY 2024</u>	<u>Change</u>
Total	27,812	26,780	-1,032 -3.7%	27,918	1,138 4.2%	28,911	993 3.6%	29,854	943 3.3%

¹Does not reflect \$1.1 billion in Federal CARES Act funding.

State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including revenues from VLTs. Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1 and the school year begins on July 1, the State typically pays approximately 70 percent of the annual school year commitment during the initial State fiscal year and the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2020	FY 2021 ¹		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	27,368	26,906	-1.7%	27,453	2.0%	28,478	3.7%	29,435	3.4%
General Fund Local Assistance	23,384	23,913	2.3%	24,035	0.5%	25,071	4.3%	26,028	3.8%
Medicaid	138	140	1.4%	140	0.0%	140	0.0%	140	0.0%
Lottery Aid	2,709	2,206	-18.6%	2,246	1.8%	2,246	0.0%	2,246	0.0%
VLT Lottery Aid	975	558	-42.8%	880	57.7%	875	-0.6%	875	0.0%
Commercial Gaming	162	89	-45.1%	152	70.8%	146	-3.9%	146	0.0%

¹Does not reflect \$1.1 billion in Federal CARES Act funding.

State fiscal year spending for School Aid on a State Operating Funds basis is projected to total \$26.9 billion in FY 2021, a 1.7 percent decrease from FY 2020. In FY 2021, the share of School Aid spending financed by lottery, video lottery and commercial gaming revenues is projected to decrease due largely to the impact of the COVID-19 pandemic on economic activity. Pursuant to statute, the projected share of School Aid spending supported by the State's General Fund has increased to offset the projected decrease in lottery and gaming revenues that support School Aid. If casino revenues drop further below currently projected levels, then the General Fund is expected to absorb the shortfall. In addition to State aid, school districts currently receive more than \$3 billion annually in existing Federal aid. School districts will also receive approximately \$1.1 billion in Federal CARES Act funds.

Other Education Funding

The State also provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	2,319	2,303	-0.7%	2,387	3.6%	2,473	3.6%	2,542	2.8%
Special Education	1,331	1,357	2.0%	1,428	5.2%	1,495	4.7%	1,564	4.6%
All Other Education	988	946	-4.3%	959	1.4%	978	2.0%	978	0.0%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in projected Special Education spending in FY 2021 and thereafter is primarily attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected spending increases for All Other Education programs in FYs 2022 – 2023 are largely due to continued growth in charter school supplemental tuition, facilities aid payments for charter schools in New York City, and payments to nonpublic schools.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner’s property value from the local school tax levy. Lower-income senior citizens will receive a \$69,800 exemption in FY 2021.

Spending on STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from homeowners. Since FY 2017, the STAR exemption program has been gradually transitioned from a spending program to an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change initially had no impact on the value of the STAR benefit received by homeowners. Since the FY 2020 Enacted Budget and moving forward, homeowners who receive a property tax exemption will not see an increase in their STAR benefit (details below).

The STAR program also includes a credit for income-eligible resident New York City taxpayers. The New York City PIT rate reduction was converted into a PIT tax credit starting with Tax Year 2017. As of FY 2019, New York City STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	2,184	2,073	-5.1%	1,979	-4.5%	1,858	-6.1%	1,750	-5.8%
Gross Program Costs	3,353	3,434	2.4%	3,511	2.2%	3,571	1.7%	3,636	1.8%
Personal Income Tax Credit	(1,169)	(1,361)	-16.4%	(1,532)	-12.6%	(1,713)	-11.8%	(1,886)	-10.1%
Basic Exemption	1,321	1,230	-6.9%	1,171	-4.8%	1,095	-6.5%	1,027	-6.2%
Gross Program Costs	1,737	1,802	3.7%	1,860	3.2%	1,916	3.0%	1,967	2.7%
Personal Income Tax Credit	(416)	(572)	-37.5%	(689)	-20.5%	(821)	-19.2%	(940)	-14.5%
Enhanced (Senior) Exemption	863	843	-2.3%	808	-4.2%	763	-5.6%	723	-5.2%
Gross Program Costs	936	935	-0.1%	936	0.1%	922	-1.5%	918	-0.4%
Personal Income Tax Credit	(73)	(92)	-26.0%	(128)	-39.1%	(159)	-24.2%	(195)	-22.6%
New York City PIT	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Gross Program Costs	680	697	2.5%	715	2.6%	733	2.5%	751	2.5%
Personal Income Tax Credit	(680)	(697)	-2.5%	(715)	-2.6%	(733)	-2.5%	(751)	-2.5%

Starting in FY 2020, all homeowners with incomes above \$250,000 were transitioned from the basic exemption benefit program to the advance credit program. Furthermore, the zero percent STAR exemption benefit growth cap that was included in the FY 2020 Budget remains in effect. Most of the spending decline projected in FYs 2021 through 2024 can be attributed to these actions. By shifting taxpayers to the credit program, the State can more efficiently administer the program while strengthening its ability to prevent abuse. The shift from the basic exemption to the credit program does not reduce the value of the benefit received by homeowners.

Higher Education

Local assistance for higher education spending includes funding for the City University of New York (CUNY), SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,362	3,518	48.9%	2,924	-16.9%	2,961	1.3%	2,991	1.0%
City University	933	2,245	140.6%	1,625	-27.6%	1,658	2.0%	1,688	1.8%
Senior Colleges	873	1,812	107.6%	1,381	-23.8%	1,415	2.5%	1,445	2.1%
Community College	60	433	621.7%	244	-43.6%	243	-0.4%	243	0.0%
Higher Education Services	950	814	-14.3%	838	2.9%	843	0.6%	843	0.0%
Tuition Assistance Program	833	664	-20.3%	669	0.8%	665	-0.6%	665	0.0%
Scholarships/Awards	108	138	27.8%	157	13.8%	166	5.7%	166	0.0%
Aid for Part-Time Study	9	12	33.3%	12	0.0%	12	0.0%	12	0.0%
State University	479	459	-4.2%	461	0.4%	460	-0.2%	460	0.0%
Community College	475	455	-4.2%	457	0.4%	456	-0.2%	456	0.0%
Other/Cornell	4	4	0.0%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY operate 47 four-year colleges and graduate schools with a total enrollment of nearly 400,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 309,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State provides more than \$1 billion annually for SUNY campus operations through a General Fund transfer and more than \$2 billion to fully support fringe benefit costs of SUNY employees at State-operated campuses. The State is also projected to pay \$1.3 billion in FY 2021 for debt service on bond financed capital projects at SUNY and CUNY. In FY 2021, an estimated \$250 million in student financial aid support will be transferred from HESC to SUNY. This is the result of an accounting change implemented in FY 2020 to reflect certain financial aid payments from HESC to SUNY as transfers instead of disbursements.

HESC is New York State’s student financial aid agency and a national leader in helping make college affordable. HESC oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, Tuition Assistance Program (TAP), the Aid for Part-Time Study program, and 25 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 380,000 students. HESC also partners with OSC in administering the College Choice Tuition Savings program.

Spending on higher education is projected to increase by \$1.2 billion, or 48.9 percent, from FY 2020 to FY 2021, and decrease by \$594 million, or 16.9 percent, from FY 2021 to FY 2022. The spending increase in FY 2021, and subsequent decrease in FY 2022, is primarily due to the timing of payments for CUNY Senior and Community Colleges falling within the academic year. Additionally, the implementation of accounting changes, which reflect the payment of certain student financial aid from HESC to SUNY as transfers instead of disbursements, will result in lower disbursements in FY 2021. The increase in out-year spending is primarily attributable to increased support for CUNY fringe benefits.

Health Care

DOH works with local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

In addition to State funding, DOH also engages in Federal supported initiatives, such as the DSRIP program, with the goal of transforming New York's health care system. For more information on the MRT Medicaid Waiver and DSRIP program please see "Other Matters Affecting the Financial Plan" herein.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed by a combination of State, Federal, and local government resources. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

The number of State Medicaid recipients is expected to be approximately 6.2 million by the end of FY 2021. Year to year enrollment is relatively stable, however, enrollment in populations associated with higher service utilization and costs is increasing, contributing to growth in State Medicaid spending. Similarly, enrollment in public health insurance plans can fluctuate during economic downswings and have adverse impacts on spending. For example, there is inherent risk with the financial condition of providers and enrollment in public health programs driven directly or indirectly by the current COVID-19 pandemic.

Other factors that continue to place upward pressure on State-share Medicaid spending (which includes spending within and outside the Global Cap) include but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased costs and enrollment growth in managed long-term care; and payments to financially distressed hospitals.

Financing of Medicaid Spending

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE OPERATING FUNDS	26,300	24,777	-5.8%	28,130	13.5%	29,764	5.8%	31,309	5.2%
Department of Health Medicaid	22,037	21,793	-1.1%	24,237	11.2%	25,288	4.3%	26,279	3.9%
General Fund - DOH Medicaid Local	16,071	15,616	-2.8%	18,124	16.1%	19,088	5.3%	19,993	4.7%
DOH Medicaid	13,228	12,284	-7.1%	13,587	10.6%	14,488	6.6%	15,408	6.4%
Non-DOH Medicaid ¹	611	2,096	243.0%	1,423	-32.1%	1,041	-26.8%	658	-36.8%
Minimum Wage	1,453	1,767	21.6%	2,011	13.8%	2,273	13.0%	2,458	8.1%
Local Takeover Cost ²	1,100	1,283	16.6%	1,465	14.2%	1,648	12.5%	1,831	11.1%
MSA Payments (Share of Local Growth) ³	(321)	(362)	-12.8%	(362)	0.0%	(362)	0.0%	(362)	0.0%
Enhanced FMAP ⁴	0	(1,452)	0.0%	0	100.0%	0	0.0%	0	0.0%
General Fund - DOH Medicaid State Ops	207	255	23.2%	277	8.6%	276	-0.4%	284	2.9%
General Fund - Essential Plan	74	78	5.4%	76	-2.6%	74	-2.6%	74	0.0%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	74	78	5.4%	76	-2.6%	74	-2.6%	74	0.0%
Other State Funds - DOH Medicaid Local	5,685	5,844	2.8%	5,760	-1.4%	5,850	1.6%	5,928	1.3%
HCRA Financing	3,836	4,190	9.2%	4,080	-2.6%	4,142	1.5%	4,194	1.3%
Indigent Care Support	917	717	-21.8%	717	0.0%	717	0.0%	717	0.0%
Provider Assessment Revenue	931	935	0.4%	961	2.8%	989	2.9%	1,015	2.6%
Medical Indemnity Fund	1	2	100.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	4,263	2,984	-30.0%	3,893	30.5%	4,476	15.0%	5,030	12.4%
Use of MSA Payments (Share of Local Growth) ³	321	362	12.8%	362	0.0%	362	0.0%	362	0.0%
Use of Enhanced FMAP ⁴	0	1,452	0.0%	0	-100.0%	0	0.0%	0	0.0%
LOCAL SHARE OF MEDICAID⁵	8,353	7,501	-10.2%	7,442	-0.8%	7,412	-0.4%	7,412	0.0%
FEDERAL SHARE OF MEDICAID	44,756	48,914	9.3%	49,134	0.4%	50,714	3.2%	52,480	3.5%
DOH Medicaid	40,922	44,408	8.5%	44,619	0.5%	46,190	3.5%	47,947	3.8%
Essential Plan	3,834	4,506	17.5%	4,515	0.2%	4,524	0.2%	4,533	0.2%
ALL FUNDING SOURCES	79,730	83,006	4.1%	85,068	2.5%	88,252	3.7%	91,563	3.8%

¹ The DOH Medicaid budget funds a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.

² Beginning in FY 2013, the State began phasing (3-2-1-0) in takeover of the local government share of growth. As of County Year (CY) 2015 the State pays the full share of Medicaid program growth on behalf of local governments.

³ MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth.

⁴ Enhanced FMAP of 6.2 percent for 6 months retro to January 2020.

⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

State share Medicaid spending also appears in the Financial Plan estimates for other State agencies and programs, including the mental hygiene agencies, child welfare programs, education aid and corrections.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS¹ (millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	<u>Results</u>	<u>Enacted</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Department of Health Medicaid	<u>21,963</u>	<u>21,715</u>	<u>24,161</u>	<u>25,214</u>	<u>26,205</u>
Local Assistance	22,077	23,274	24,246	25,300	26,283
State Operations	207	255	277	276	284
MSA Payments (Share of Local Growth) ²	(321)	(362)	(362)	(362)	(362)
Enhanced FMAP ³	0	(1,452)	0	0	0
Other State Agency Medicaid Spending	<u>4,263</u>	<u>2,984</u>	<u>3,893</u>	<u>4,476</u>	<u>5,030</u>
Mental Hygiene	4,088	2,771	3,678	4,261	4,815
Foster Care	37	71	75	75	75
Education	138	140	140	140	140
Corrections	0	2	0	0	0
Total State Share Medicaid (All Agencies)	26,226	24,699	28,054	29,690	31,235
Annual \$ Change		(1,527)	3,355	1,636	1,545
Annual % Change		-5.8%	13.6%	5.8%	5.2%
Essential Plan⁴	74	78	76	74	74
Local Assistance	0	0	0	0	0
State Operations	74	78	76	74	74

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

² MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State share for Medicaid.

³ Enhanced FMAP of 6.2 percent for 6 months retro to January 2020.

⁴ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

Global Cap

The majority of DOH State Funds Medicaid spending is budgeted and expended principally through DOH. A portion of this spending is subject to the Global Cap -- the ten-year rolling average of the medical component of the CPI. The Global Cap excludes non-indexed items including the takeover of local Medicaid growth, the multi-year takeover assumption of local Medicaid administration costs, increased Federal Financial Participation (FFP) pursuant to the ACA (effective in January 2014), and the cost of minimum wage increases for health care providers. The Global Cap allows for growth related to increasing costs but does not account for utilization growth. The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then-current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation.

Medicaid Redesign Team (MRT) II

In FY 2020, DOB recognized that a structural imbalance existed in the Medicaid program. Absent actions to rein in spending growth, State Medicaid spending levels would have exceeded the allowable indexed growth as set by Global Cap statute. In response to the imbalance, the Governor formed the MRT II with the objective of restoring financial sustainability to the Medicaid program while connecting other programmatic initiatives that would advance the core healthcare strategies he has pursued since taking office in 2011.

The Enacted Budget includes \$2.2 billion in recommendations, including the recurring value of savings that began in FY 2020, put forward by the MRT to create efficiencies within the Medicaid program and address the Medicaid imbalance, including discovering efficiencies in Managed Care and Managed Long-Term Care, as well as eligibility and administrative reforms. Additionally, policy initiatives, including the carve out of services from Managed Care within Pharmacy and the centralization of a Transportation broker will lead to better transparency and greater efficiencies within these areas. The MRT also focused on greater Program Integrity within Medicaid and included reforms to modernize regulations to eliminate fraud, waste and abuse.

Through a combination of MRT II actions, the continued FY 2020 savings plan and payment delays and restructuring, spending under the Global Cap has been significantly reduced to ensure Medicaid spending stays within statutory allowable levels in FY 2021 and beyond. In FY 2020, spending was roughly \$650 million lower than anticipated resulting in a temporary reduction to the continued payment deferral previously planned. These savings along with \$400 million in recurring lower level of spending partially offsets the required General Fund contribution in FY 2021 by \$100 million.

Programmatic and payment reforms to the Medicaid program addressed by the MRT II include, but are not limited to; reductions in Hospital supplemental pool payments ; promoting quality Managed Care Encounter Data by withholding a portion of premiums; modifying criteria for Personal Care Services and the Consumer Directed Personal Assistance Program (CDPAP); delaying new discretionary Community First Choice Option (CFCO) services that are already furnished via Medicaid waivers; reducing drug cap growth by enhancing the purchasing power to lower cost drugs; and an across the board rate reduction. For more information on the MRT II activities please see “Other Matters Affecting the Financial Plan” herein.

As a result of the MRT II and other combined savings actions, Global Cap spending growth will adhere to the indexed rate of 3 percent in FY 2021. Similarly, the Financial Plan reflects the continuation of the “Global Cap” through FY 2024, and the projections assume that statutory authority will be extended in subsequent years.

MEDICAID GLOBAL CAP FORECAST					
<i>(millions of dollars)</i>					
	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>	<u>FY 2023</u>	<u>FY 2024</u>
Global Medicaid Cap¹	19,433	20,006	20,594	21,200	21,824
Annual \$ Change		573	588	606	624
Annual % Change		3.0%	2.9%	2.9%	2.9%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

Temporary Enhanced FMAP

In response to the COVID-19 pandemic, the President signed into law the Families First Coronavirus Response Act (FFCRA) in March 2020. The measure included supplemental funding for various programs, including an enhanced FMAP for unexpected costs attributable to the pandemic retroactive to January 2020.

The FFCRA includes a 6.2 percent base increase to the FMAP rate for each calendar quarter occurring during the public health emergency, with exemptions placed on certain expenditures, including expansion spending that already receives enhanced federal support. As of April 2020, the public health emergency has not been lifted and as such, the enhanced funding remains in place. The Financial Plan assumes a six-month State benefit of approximately \$1.45 billion that will be used to offset unanticipated General Fund expenses directly or indirectly related to the pandemic.

Master Settlement Agreement (MSA)

In FY 2018, bonds secured by annual payments from tobacco manufacturers under the MSA were retired, with no remaining debt service requirements to be paid on these bonds. DOB expects to receive payments under the MSA of approximately \$362 million in FY 2021 and in each subsequent year. Existing statutes direct these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and NYC. The State Takeover, which capped local districts’ Medicaid costs at calendar year 2015 levels, is expected to cost the State \$1.3 billion in FY 2021, growing to \$1.5 billion in FY 2022. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but reduces reported State-supported Medicaid spending accounted for in State Operating Funds.

The table below shows total State spending adjusted for MSA payments.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Enacted	Projected	Projected	Projected
State Share Support	26,621	25,139	28,492	30,126	31,671
State Funds Medicaid Disbursements	26,300	24,777	28,130	29,764	31,309
MSA Payments (Local Growth)	321	362	362	362	362

Minimum Wage

Medicaid spending includes the cost of increases in the minimum wage for employees in the health care sector. These costs are not subject to the Global Cap indexed spending limit. The State costs of minimum wage increases in the health care sector are projected to grow roughly \$300 million to \$1.8 billion in FY 2021. Per State statute, home health care workers in New York City and certain counties receive supplemental benefits in addition to their base wage. These benefits include paid leave, differential wages, premiums for certain shifts, education and fringe benefits. The supplemental benefits typically can be satisfied by increasing the base cash wage by a corresponding amount. As a result, wages for home health care workers in these regions exceed minimum wage levels by \$4.09 for New York City and \$3.22 for Westchester, Nassau, and Suffolk counties. However, State statute exempts the supplemental wages portion of total compensation from the minimum wage calculation to ensure home health care workers in these counties receive incremental growth in wage compensation commensurate with the new minimum wage schedule.

Local Medicaid Cap

The local Medicaid Cap was designed to relieve pressure on county property taxes and the New York City budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. Beginning in January 2006, counties' Medicaid cost contributions were capped based on 2005 expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out over a three-year period all growth in the local share of Medicaid costs. The takeover of local Medicaid costs by the State is projected to save local districts a total of \$4.5 billion in FY 2021 including approximately \$2.3 billion for counties outside New York City and \$2.2 billion for New York City.



State Financial Plan Multi-Year Projections

LOCAL GOVERNMENT SAVINGS STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER) FY 2020 to FY 2024 (in dollars)					
County	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Albany	42,689,168	45,924,447	49,145,707	52,460,384	55,871,186
Allegany	6,772,552	7,282,837	7,790,910	8,313,717	8,851,686
Broome	45,031,526	47,571,195	50,099,859	52,701,854	55,379,307
Cattaraugus	15,132,371	16,107,474	17,078,352	18,077,385	19,105,391
Cayuga	15,561,190	16,470,059	17,374,989	18,306,163	19,264,340
Chautauqua	30,536,154	32,422,534	34,300,740	36,233,414	38,222,136
Chemung	16,488,992	17,606,113	18,718,393	19,862,930	21,040,658
Chenango	8,645,524	9,211,451	9,774,926	10,354,742	10,951,372
Clinton	13,123,058	14,054,886	14,982,677	15,937,373	16,919,755
Columbia	12,839,564	13,567,329	14,291,940	15,037,564	15,804,811
Cortland	8,805,834	9,380,674	9,953,023	10,541,971	11,147,998
Delaware	8,898,054	9,433,363	9,966,352	10,514,798	11,079,148
Dutchess	56,414,674	59,419,628	62,411,561	65,490,261	68,658,242
Erie	177,505,131	189,303,042	201,049,829	213,137,272	225,575,252
Essex	5,624,785	6,001,647	6,376,876	6,762,988	7,160,296
Franklin	8,587,732	9,155,072	9,719,964	10,301,233	10,899,359
Fulton	10,673,940	11,419,990	12,162,806	12,927,165	13,713,689
Genesee	9,025,263	9,592,429	10,157,138	10,738,223	11,336,160
Greene	9,557,304	10,145,907	10,731,959	11,335,007	11,955,543
Hamilton	687,021	727,545	767,892	809,410	852,132
Herkimer	12,250,594	13,037,477	13,820,950	14,627,145	15,456,719
Jefferson	18,285,842	19,451,308	20,611,724	21,805,792	23,034,488
Lewis	4,243,589	4,527,009	4,809,201	5,099,576	5,398,373
Livingston	9,545,038	10,117,564	10,687,610	11,274,187	11,877,774
Madison	10,611,590	11,274,217	11,933,972	12,612,860	13,311,436
Monroe	162,292,163	172,706,043	183,074,797	193,744,244	204,723,105
Montgomery	13,283,037	14,050,740	14,815,117	15,601,660	16,411,013
Nassau	236,493,602	250,812,829	265,070,006	279,740,641	294,836,725
Niagara	39,497,776	42,088,881	44,668,758	47,323,452	50,055,132
Oneida	50,086,271	53,309,028	56,517,821	59,819,668	63,217,269
Onondaga	100,968,739	107,166,225	113,336,855	119,686,433	126,220,149
Ontario	16,280,759	17,271,271	18,257,491	19,272,311	20,316,561
Orange	90,379,187	95,303,291	100,206,057	105,251,004	110,442,254
Orleans	8,078,898	8,577,544	9,074,029	9,584,912	10,110,610
Oswego	25,520,345	27,054,376	28,581,761	30,153,439	31,770,697
Otsego	8,536,571	9,117,002	9,694,918	10,289,593	10,901,514
Putnam	11,406,609	12,045,986	12,682,592	13,337,660	14,011,725
Rensselaer	24,542,662	26,323,971	28,097,561	29,922,585	31,800,535
Rockland	83,821,671	88,391,821	92,942,167	97,624,473	102,442,566
St. Lawrence	18,202,037	19,484,562	20,761,529	22,075,528	23,427,634
Saratoga	26,933,877	28,503,780	30,066,880	31,675,310	33,330,384
Schenectady	37,450,843	39,623,716	41,787,173	44,013,370	46,304,127
Schoharie	5,166,051	5,498,147	5,828,803	6,169,049	6,519,161
Schuyler	3,033,781	3,240,753	3,446,828	3,658,879	3,877,080
Seneca	5,619,596	5,972,765	6,324,404	6,686,240	7,058,570
Steuben	17,261,543	18,381,710	19,497,022	20,644,679	21,825,618
Suffolk	284,306,151	300,519,369	316,662,330	333,273,436	350,366,264
Sullivan	22,057,621	23,346,278	24,629,350	25,949,631	27,308,200
Tioga	6,304,446	6,744,480	7,182,606	7,633,439	8,097,345
Tompkins	11,104,669	11,806,747	12,505,782	13,225,089	13,965,256
Ulster	41,646,568	44,016,950	46,377,060	48,805,613	51,304,594
Warren	9,939,189	10,615,110	11,288,103	11,980,612	12,693,204
Washington	11,939,872	12,646,329	13,349,724	14,073,518	14,818,302
Wayne	18,840,889	19,842,160	20,839,092	21,864,935	22,920,527
Westchester	175,865,126	187,832,130	199,747,277	212,007,964	224,624,210
Wyoming	5,528,109	5,861,491	6,193,427	6,534,990	6,886,458
Yates	3,731,585	3,975,272	4,217,903	4,467,571	4,724,478
Rest of State	2,133,656,735	2,265,335,960	2,396,444,576	2,531,355,341	2,670,178,519
New York City	1,981,151,384	2,201,926,595	2,421,745,114	2,647,938,370	2,880,691,230
Statewide	4,114,808,119	4,467,262,556	4,818,189,690	5,179,293,711	5,550,869,749

Health Care Transformation Fund

Pursuant to Part FFF of Chapter 59 of the Laws of 2018, the Health Care Transformation Fund (HCTF) was created to account for receipts associated with health care asset sales and conversions. Moneys in the HCTF are to be made available for transfer to any other fund of the State, as directed by the Director of the Budget, to support health care delivery, including for capital investment, debt retirement or restructuring, housing and other social determinants of health, or transitional operating support to health care providers. Future proceeds related to asset sales and conversions may be directed to flow through the HCTF, subject to regulatory approvals.

HEALTH CARE TRANSFORMATION FUND					
PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018					
(millions of dollars)					
	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Opening Balance	525	315	0	0	0
Receipts	<u>501</u>	<u>138</u>	<u>138</u>	<u>68</u>	<u>0</u>
Fidelis Payment	400	50	50	0	0
Centene Payment	68	68	68	68	0
CVS Payment	13	13	13	0	0
Cigna Payment	7	7	7	0	0
STIP Interest	13	0	0	0	0
Planned Uses	<u>(711)</u>	<u>(453)</u>	<u>(138)</u>	<u>(68)</u>	<u>0</u>
Housing Rental Subsidies	(272)	(272)	(118)	(68)	0
State-Only Medicaid Payments	(228)	(160)	0	0	0
Capital Projects	(211)	(21)	(20)	0	0
Closing Balance	315	0	0	0	0

Fidelis - Centene Asset Sale

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell a substantial share of its assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, DFS and the Office of the Attorney General (OAG). The transaction included an agreement that the companies would contribute an estimated \$2 billion over five years beginning in FY 2019.

Cigna Health and Life Insurance Company

Direct payments are expected to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care-related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care-related needs including, but not limited to, those associated with the social determinants of health.

Following completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF in July 2018. The State recently received the second round of conversion proceeds totaling \$468 million. Future deposits into the HCTF from these entities include a total of \$118 million in FY's 2021 and 2022, as well as \$68 million in FY 2023, at which time the conversion will be complete. The HCTF does not include increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

CVS – Aetna Acquisition

In November 2018, DFS approved an application by CVS Health Corp. and CVS Pharmacy Inc.'s to acquire Aetna Health Insurance Company, a New York domestic stock accident and health insurance company. The acquisition was subject to several conditions, including enhanced consumer and health insurance rate protections, privacy controls, cybersecurity compliance, and a \$40 million obligation to New York State over three years. The State is expected to receive three installments of roughly \$13 million annually through FY 2022.

Cigna Health and Life Insurance Company (Cigna) – Express Scripts

In December 2018, DFS approved the request by Cigna Corporation, a health services organization, to acquire Express Scripts, a subsidiary pharmacy benefit management organization of Medco Containment Insurance Company of New York. Pursuant to the DFS approved terms, the combined entity is expected to contribute a total of \$20 million to New York through FY 2022 and will implement an enhanced care model that will reduce the cost of care and coverage gaps related to diabetes care, cardiology care and opioid abuse. Additional conditions include adherence to New York's cyber-security regulations and consumer protections related to insurance premiums and drug prices.

DOB expects to transfer HCTF funds from the above transactions to the General Fund to offset State costs for health care transformation activities.

Essential Plan

The FY 2015 Enacted Budget authorized the State to participate in the EP, a health insurance program which receives Federal subsidies authorized through the ACA. The EP includes health insurance coverage for legally residing immigrants in New York not eligible for Medicaid, CHP or other employer-sponsored coverage. Individuals who meet the EP eligibility standards are enrolled through the New York State of Health (NYSOH) insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 770,000 New Yorkers have enrolled since the EP launched in January 2016.

ESSENTIAL PLAN (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL ALL FUNDS SPENDING	3,908	4,584	17.3%	4,591	0.2%	4,598	0.2%	4,607	0.2%
State Operating Funds	74	78	5.4%	76	-2.6%	74	-2.6%	74	0.0%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	74	78	5.4%	76	-2.6%	74	-2.6%	74	0.0%
Federal Operating Funds	3,834	4,506	17.5%	4,515	0.2%	4,524	0.2%	4,533	0.2%

The increase from FY 2020 to FY 2021 is partially attributable to the delayed transition of the Value Based Payment Quality Incentive Program to Federal Funds. FY 2021 growth is also impacted by increased EP reimbursement rates to providers, with growth attributable to these rates tapering in the outyears. All Funds average growth of 4.4 percent over the multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and continued Federal support. The change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance share of the program to be fully Federally funded. The EP is not a Medicaid program, however, State savings associated with the EP local assistance program are realized within the Global Cap, where EP resources are managed.

On an All Funds basis, EP continues to be at risk of reduced Federal funding. Beginning in 2017, the Trump Administration has taken actions in opposition to the State’s EP reimbursement methodology. In response, litigation brought by the State allowed for a partial recoupment of withheld funding through changes to the FY 2018 reimbursement methodology.

In response to the lawsuit, the Trump Administration finalized additional changes to the reimbursement formula, which further decreased the amount of Federal support for the EP and continues to put the Financial Plan at risk. Despite the uncertainty, the Financial Plan continues to reflect funding for the EP program.

Public Health/Aging Programs

Public Health includes many programs. The largest is Child Health Plus (CHP), which provides health insurance coverage for children of low-income families, up to the age of 19; General Public Health Work (GPHW) reimburses local health departments for the cost of providing certain public health services; Elderly Pharmaceutical Insurance Coverage (EPIC) which provides prescription drug insurance to seniors; and the Early Intervention (EI) program pays for services provided to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as the EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,805	1,900	5.3%	1,881	-1.0%	1,903	1.2%	1,920	0.9%
Public Health	1,669	1,759	5.4%	1,735	-1.4%	1,751	0.9%	1,763	0.7%
Child Health Plus ¹	735	634	-13.7%	745	17.5%	762	2.3%	774	1.6%
General Public Health Work	75	266	254.7%	163	-38.7%	163	0.0%	163	0.0%
EPIC	101	104	3.0%	103	-1.0%	103	0.0%	103	0.0%
Early Intervention	84	254	202.4%	163	-35.8%	163	0.0%	163	0.0%
HCRA Program	355	276	-22.3%	328	18.8%	328	0.0%	328	0.0%
All Other	319	225	-29.5%	233	3.6%	232	-0.4%	232	0.0%
Aging	136	141	3.7%	146	3.5%	152	4.1%	157	3.3%

¹ Effective for FY 2020, CHP includes the transfer of the Aliessa population previously funded under the Medicaid Global Cap. This change has no impact on service delivery.

In addition to ongoing program support, the Budget leverages \$73 million in new Federal funding to support public health programs that improve the health of children. The Health Services Initiatives option, available under CHP, will be used to offset State costs in programs such as GPHW, Healthy Neighborhoods, Genetic Disease, Public Health Campaign STD, and the Supplemental Nutrition Assistance Program (SNAP). The Budget also rebases the Excess Medical Malpractice program based on program utilization, generating savings of \$22 million annually.

The spending increase in FY 2021, and subsequent decrease in FY 2022, is primarily due to the timing of FY 2020 payments. The standard review process for State payments was disrupted by the incipient public health emergency, causing a lag in the release of several payments, including GPHW and EI.

The Financial Plan includes SOFA support to address locally-identified capacity needs for services to maintain the elderly in their communities, support family and friends in their caregiving roles, and reduce future Medicaid costs by intervening earlier with less intensive services.

The Public Health budget continues to support the CHP program and enrollment growth within, as well as the full impact of phased down Federal support currently provided under the ACA, which will drive higher State costs across the multi-year Financial Plan.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities and is currently authorized through FY 2023. HCRA resources include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. These resources are used to fund roughly 25 percent of State share Medicaid costs, and other programs and health care industry investments including CHP, EPIC, Physician Excess Medical Malpractice Insurance, Indigent Care payments to hospitals serving a disproportionate share of individuals without health insurance; Worker Recruitment and Retention; Doctors Across New York; and the Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative, which improves the informational and data capabilities associated with claiming records.

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Enacted	Projected	Projected	Projected
OPENING BALANCE	0	16	0	0	0
TOTAL RECEIPTS	6,261	6,191	6,239	6,300	6,364
Surcharges	3,875	3,823	3,896	3,972	4,049
Covered Lives Assessment	1,050	1,110	1,110	1,110	1,110
Cigarette Tax Revenue	722	675	641	609	579
Hospital Assessments	503	471	487	502	518
Excise Tax on Vapor Products	10	14	6	6	6
NYC Cigarette Tax Transfer	23	32	32	32	32
EPIC Receipts/ICR Audit Fees	78	66	67	69	70
TOTAL DISBURSEMENTS AND TRANSFERS	6,245	6,207	6,239	6,300	6,364
Medicaid Assistance Account	<u>3,836</u>	<u>4,190</u>	<u>4,080</u>	<u>4,142</u>	<u>4,194</u>
Medicaid Costs	3,639	4,015	3,905	3,967	4,019
Workforce Recruitment & Retention	197	175	175	175	175
Hospital Indigent Care	917	717	717	717	717
HCRA Program Account	363	284	336	336	336
Child Health Plus ¹	747	649	760	777	789
Elderly Pharmaceutical Insurance Coverage	112	116	114	114	114
Qualified Health Plan Administration	41	49	48	47	47
SHIN-NY/APCD	40	40	40	40	40
All Other	189	162	144	127	127
ANNUAL OPERATING SURPLUS/(DEFICIT)	16	(16)	0	0	0
CLOSING BALANCE	16	0	0	0	0

¹ The fluctuation in Child Health Plus expenditures from FY 2020 to FY 2021 reflects the impact of transitioning certain funding from the Medicaid Assistance account to Child Health Plus. This transition has no impact on service delivery.

HCRA receipts are anticipated to fluctuate over the multi-year projection period, reflecting the anticipated impacts of the COVID-19 outbreak on hospital volume and activities associated with MRT II. The FY 2021 increase in Covered Lives Assessments (CLA) reflects receipts reverting to the maximum allowable statutory levels. Strong surcharge collections contribute to the year to year increases. Offsetting the aforementioned increases is declining cigarette tax revenue, attributable to reduced consumption, augmented by the full year impact of FY 2020 Enacted legislation that increased the purchasing age for tobacco products to 21.

Effective December 1, 2019, a 20 percent excise tax on the sale of vapor products went into effect in New York. The Budget includes legislation that bans the sale of most flavored vapor products. Flavored products represent a significant portion of the market, and as such the ban is expected to result in a significant reduction in consumption and a concomitant reduction in tax receipts. Projected outyear declines in Vapor Tax receipts reflect the full annual impact of the vapor flavor ban.

HCRA spending is expected to remain in the \$6.2 billion range through FY 2022. The most substantial area of spending growth in the outyears is for the CHP program, largely due to the expiration of enhanced Federal resources provided through the ACA and expected utilization growth.

HCRA is expected to remain in balance over the multi-year Financial Plan period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to maintain a balanced fund. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would have otherwise been paid from the General Fund.

Mental Hygiene

Mental Hygiene services are delivered by the Office for People with Developmental Disabilities (OPWDD), the Office of Mental Health (OMH), the Office of Addiction Services and Supports (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are provided for adults with mental illness, children with emotional disturbance, individuals with developmental disabilities and their families, persons with chemical dependencies, and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities and indirectly through community-based providers. Costs of providing these services are reimbursed by Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, issued to finance infrastructure improvements at State mental hygiene facilities. Revenues in excess of debt service commitments are used to support State Operating costs associated with Mental Hygiene service delivery.

MENTAL HYGIENE (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	3,427	2,223	-35.1%	3,162	42.2%	3,799	20.1%	4,437	16.8%
People with Developmental Disabilities	2,352	2,474	5.2%	2,668	7.8%	2,836	6.3%	2,997	5.7%
Residential Services	1,363	1,455	6.7%	1,542	6.0%	1,640	6.4%	1,733	5.7%
Day Programs	693	740	6.8%	784	5.9%	834	6.4%	881	5.6%
Clinic	17	18	5.9%	19	5.6%	21	10.5%	22	4.8%
All Other Services (Net of Offsets)	279	261	-6.5%	323	23.8%	341	5.6%	361	5.9%
Mental Health	1,322	1,477	11.7%	1,530	3.6%	1,597	4.4%	1,673	4.8%
Adult Local Services	1,091	1,223	12.1%	1,268	3.7%	1,325	4.5%	1,391	5.0%
Children Local Services	231	254	10.0%	262	3.1%	272	3.8%	282	3.7%
Addiction Services and Supports	363	367	1.1%	386	5.2%	406	5.2%	424	4.4%
Residential	91	93	2.2%	96	3.2%	101	5.2%	105	4.0%
Other Treatment	190	188	-1.1%	197	4.8%	207	5.1%	216	4.3%
Prevention	50	51	2.0%	55	7.8%	57	3.6%	60	5.3%
Recovery	32	35	9.4%	38	8.6%	41	7.9%	43	4.9%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Total Spending Funded by DOH Medicaid Global Cap ¹	(611)	(2,096)	-243.0%	(1,423)	32.1%	(1,041)	26.8%	(658)	36.8%
People with Developmental Disabilities	(611)	(1,975)	-223.2%	(1,423)	27.9%	(1,041)	26.8%	(658)	36.8%
Mental Health	0	(121)	0.0%	0	100.0%	0	0.0%	0	0.0%
TOTAL MENTAL HYGIENE SPENDING ¹	4,038	4,319	7.0%	4,585	6.2%	4,840	5.6%	5,095	5.3%

¹ Reflects a portion of mental hygiene spending reported under the Medicaid Global Cap that has no impact on mental hygiene service delivery or operations.

Local assistance funding for the mental hygiene agencies is expected to grow by an average 6.0 percent over the Financial Plan period. Increased funding reflects reimbursement to not-for-profit providers for increasing employee wages related to salary increases for direct care and clinical workers; compliance with incremental pay standards and related fringe benefit increases associated with the transition to a \$15 per hour minimum wage; and community-based employment and residential opportunities for individuals with disabilities.

Investments to leverage up to \$120 million (gross) in additional OPWDD funding will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. Additional OMH funding will support existing residential programs and expansion of suicide prevention efforts for veterans, law enforcement, correction officers and first responders.

Spending also reflects a 4 percent total increase through FY 2021 for direct care workers and a 2 percent pay raise for clinical workers serving the mental hygiene community. Both increases are aimed at assisting not-for-profits in recruitment and retention of employees. When fully annualized, these investments will increase State share support for workers by \$107 million (\$188 million on an All Funds basis).

The Budget reflects continued funding for OASAS prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness activities.

A portion of mental hygiene spending is reported under the DOH Medicaid Global Cap and has no impact on mental hygiene service delivery or operations. The Global Cap is expected to fund a total of \$2.1 billion in FY 2021, an increase of \$1.5 billion from FY 2020.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs are Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,161	1,416	22.0%	1,346	-4.9%	1,459	8.4%	1,496	2.5%
SSI	635	666	4.9%	667	0.2%	667	0.0%	667	0.0%
Public Assistance Benefits	420	647	54.0%	541	-16.4%	541	0.0%	541	0.0%
Public Assistance Initiatives	10	9	-10.0%	9	0.0%	9	0.0%	9	0.0%
Homeless Housing and Services	92	90	-2.2%	126	40.0%	239	89.7%	277	15.9%
All Other	4	4	0.0%	3	-25.0%	3	0.0%	2	-33.3%

DOB’s caseload models project a total of 469,185 public assistance recipients in FY 2021. Approximately 171,392 families are expected to receive benefits through the Family Assistance program in FY 2021, a decrease of 3.7 percent from FY 2020. The Safety Net caseload for families is projected at 101,741 in FY 2021, a decrease of 3.1 percent from FY 2020. The caseload for single adults and childless couples supported through the Safety Net program is projected at 196,052 in FY 2021, an increase of 2.4 percent from FY 2020.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan as caseload is expected to level off. The large increase in Public Assistance payments in FY 2021 is due to interruptions in the payment review process stemming from the COVID-19 pandemic. These payments are now projected to go out in FY 2021. Budget actions include shifting the cost of Consolidated Homeless Programs to off-budget resources and restructuring financing for the Family Assistance and Emergency Assistance for Needy Families programs, moving 5 percent of costs previously financed by Federal Temporary Assistance for Needy Families (TANF) resources to the City of New York. Spending increases in the outyears reflect a transition from State settlement funds to the General Fund for the Empire State Supportive Housing Initiative (ESSHI) supportive housing constructed for vulnerable homeless populations under the Governor’s Affordable Housing and Homelessness Plan. This transition from settlement funds reflects all costs of the ESSHI program that are shared by multiple agencies and will be allocated to those agencies in a future update to the Financial Plan.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. It oversees the State’s system of family support and child welfare services administered by local social services districts and community-based organizations. Specifically, child welfare services, financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,194	1,834	53.6%	1,550	-15.5%	1,551	0.1%	1,551	0.0%
Child Welfare Service	305	685	124.6%	476	-30.5%	476	0.0%	476	0.0%
Foster Care Block Grant	287	480	67.2%	393	-18.1%	393	0.0%	393	0.0%
Child Care	191	192	0.5%	209	8.9%	209	0.0%	209	0.0%
Adoption	127	161	26.8%	148	-8.1%	148	0.0%	148	0.0%
Youth Programs	94	101	7.4%	92	-8.9%	92	0.0%	92	0.0%
Medicaid	37	71	91.9%	75	5.6%	75	0.0%	75	0.0%
Adult Protective/Domestic Violence	19	81	326.3%	54	-33.3%	54	0.0%	54	0.0%
Committees on Special Education	30	0	-100.0%	28	0.0%	29	3.6%	29	0.0%
All Other	104	63	-39.4%	75	19.0%	75	0.0%	75	0.0%

As the State responded to the COVID-19 outbreak, the normal review process for payments was interrupted causing a delay in the release of several payments from FY 2020 to FY 2021, including Child Welfare Services, Foster Care Block Grant, Adoption, Youth and Adult Protective/Domestic Violence programs.

The Enacted Budget includes the use of TANF resources to offset State child care costs, restructuring the financing approach for residential school placements of children with special needs outside New York City, and requiring the increased use of Federal funds for Child Welfare Services. In addition, the Budget reduces funding for child care union contracts, and eliminates funding for the Public/Private Partnership program.

Transportation

The Department of Transportation directly maintains and improves approximately 44,500 State highway lane miles and nearly 7,900 bridges. The Department also partially funds regional and local transit systems, including the MTA; local government highway and bridge construction; and rail, airport, and port programs.

In FY 2021, the State expects to provide \$6.7 billion in operating aid to mass transit systems, including up to \$2.8 billion from the direct remittance of various dedicated taxes and fees to the MTA (not included in the table below) and \$244 million annually from a State supplement to the Payroll Mobility Tax (PMT) tax collections. The MTA, the nation’s largest transit and commuter rail system, receives 90 percent of the State’s mass transit aid -- \$6.0 billion in FY 2021.

TRANSPORTATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	3,488	3,935	12.8%	3,906	-0.7%	4,094	4.8%	4,288	4.7%
Mass Transit Operating Aid:	<u>2,448</u>	<u>2,817</u>	<u>15.1%</u>	<u>2,651</u>	<u>-5.9%</u>	<u>2,761</u>	<u>4.1%</u>	<u>2,854</u>	<u>3.4%</u>
Metro Mass Transit Aid	2,292	2,669	16.4%	2,492	-6.6%	2,602	4.4%	2,695	3.6%
Public Transit Aid	112	104	-7.1%	115	10.6%	115	0.0%	115	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	245	275	12.2%	369	34.2%	448	21.4%	552	23.2%
MTA Aid Trust	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
NY Central Business District Trust	0	150	0.0%	151	0.7%	153	1.3%	154	0.7%
Dedicated Mass Transit	697	627	-10.0%	669	6.7%	666	-0.4%	662	-0.6%
AMTAP	66	66	0.0%	66	0.0%	66	0.0%	66	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast, certain commitments to MTA capital plans, and a lag in the release of several payments in FY 2020 caused by interruption of the normal payment review process as the State responded to the evolving COVID-19 pandemic. A substantial amount of new funding to the MTA was authorized in the FY 2020 Enacted Budget as part of a comprehensive reform plan expected to generate \$25 billion in financing for the MTA’s 2020-2024 Capital Plan. This includes sales tax receipts from online marketplace provider sales tax collections on all sales facilitated through their platforms, and implementation and enforcement of regulations associated with the *Wayfair* decision, which is projected to provide the MTA with \$150 million in dedicated revenues in FY 2021.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	662	671	1.4%	704	4.9%	704	0.0%	704	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	9	9	0.0%	9	0.0%	9	0.0%	9	0.0%
Restructuring/Efficiency	6	15	150.0%	48	220.0%	48	0.0%	48	0.0%

The Budget continues to support towns and villages at the same funding level as FY 2020. State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase due to potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and General State Charges (GSCs). PS includes salaries of State employees of the Executive, Legislative, and Judicial branches consistent with current negotiated collective bargaining agreements, as well as temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, Information Technology (IT), and professional business services), supplies and materials, equipment, and telephone service. GSCs, discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, as well as certain fixed costs such as litigation expenses and taxes on public lands. Certain agency operating costs of the Department of Transportation (DOT) and the Department of Motor Vehicles (DMV) are included in Capital Projects Funds and are not reflected in State Operating Funds.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the SUNY system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain factors used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2020 Results ¹	FY 2021 Enacted	Forecast		
			FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Negotiated Base Salary Increases ²					
NYSTPBA /NYSPIA/NYSCOPBA/GSEU	2%	2%	2%	2%	TBD
UUP	2%	2%	2%	TBD	TBD
CSEA/DC-37 (Rent Regulation Unit)/MC	2%	2%	TBD	TBD	TBD
Council 82/PEF/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ³	118,193	118,850	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁴	15.1%	15.1%	16.0%	16.8%	19.2%
After Amortization ⁵	18.7%	18.7%	19.2%	19.4%	21.0%
PFRS Contribution Rate					
Before Amortization ⁴	24.0%	24.8%	25.7%	26.2%	28.4%
After Amortization ⁵	26.8%	27.7%	28.4%	28.5%	30.0%
Employee/Retiree Health Insurance Growth Rates	2.4%	4.7%	8.4%	8.0%	8.0%
PS/Fringe as % of Receipts (All Funds Basis)	13.0%	12.8%	14.7%	14.8%	14.8%

¹ Reflects preliminary unaudited results.

² Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

³ Reflects workforce that is subject to direct Executive control.

⁴ Before amortization contribution rate reflects the State's normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.

⁵ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

State Financial Plan Multi-Year Projections



Most Executive agencies are expected to hold spending in FY 2021 to FY 2020 levels, with some exceptions described herein. PS/NPS spending increases in the outyears reflect costs related to juvenile justice reform, salary increases consistent with current labor agreements, growth in SUNY operating costs including labor costs pursuant to the settled UUP contract, and an additional administrative payroll in FY 2021.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL¹	11,040	10,055	10,054	9,911	9,972
Mental Hygiene	2,882	2,867	2,916	2,961	3,008
Corrections and Community Supervision ²	2,871	2,704	2,709	2,748	2,745
State Police	774	811	808	828	828
Department of Health ²	710	785	800	797	805
Information Technology Services	540	546	553	564	564
Children and Family Services	146	380	379	379	384
Tax and Finance	316	357	345	344	345
Transportation	345	342	341	341	341
Environmental Conservation	216	240	234	229	228
COVID-19	503	0	0	0	0
Budget Balance Reduction	0	(700)	(750)	(1,000)	(1,000)
All Other	1,737	1,723	1,719	1,720	1,724
UNIVERSITY SYSTEMS	6,426	6,493	6,580	6,778	6,939
State University	6,324	6,490	6,577	6,775	6,936
City University	102	3	3	3	3
INDEPENDENT AGENCIES	337	326	313	313	313
Law	185	192	187	187	187
Audit & Control (OSC)	152	164	161	161	161
Budget Balance Reduction	0	(30)	(35)	(35)	(35)
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	17,803	16,874	16,947	17,002	17,224
Judiciary	2,137	2,234	2,177	2,177	2,177
Legislature	228	249	256	256	256
Budget Balance Reduction	0	(250)	(265)	(265)	(265)
Statewide Total	20,168	19,107	19,115	19,170	19,392
Personal Service	14,090	14,116	14,076	14,208	14,359
Non-Personal Service	6,078	4,991	5,039	4,962	5,033

¹ FY 2020 results include \$185 million in retroactive salary payments for NYSCOPBA, PBA and NYSPIA labor agreements, FY 2021 estimates include \$8 million in retroactive salary payments for PBANYS.

² FY 2020 preliminary actuals exclude costs incurred in response to the COVID-19 pandemic.

FY 2021 spending for agency operations includes 2 percent general salary increases associated with collective bargaining agreements with certain unions. The cost of annual salary increases is expected to be absorbed by most Executive agencies through management plan savings and efficiencies. In addition to the cost of an additional payroll in FY 2021, notable spending changes include:

- **Corrections and Community Supervision.** Lower spending reflects the planned reduction in excess prison capacity due to declines in prison population and retroactive salary payments made in FY 2020, partially offset by increasing costs associated with solitary confinement reforms.
- **Children and Family Services.** Increased spending is mainly driven by the annualized cost of raising the age of criminal responsibility from 16 to 18, and a modification to the youth facility billing process implemented in FY 2020.
- **Tax and Finance.** Higher spending in FY 2021 reflects the timing of certain cost increases and attrition savings.
- **Mental Hygiene.** Increased spending includes the cost of continued delivery of services in State-operated program settings.
- **State University.** Higher operating costs at SUNY hospitals and campuses are expected drive spending up in FY 2021.
- **City University.** The reduction in CUNY spending reflects reclassification of certain fees and associated spending, from a special revenue fund to an agency trust fund, to align with current classification of CUNY tuition revenues.
- **Judiciary.** The Judiciary's request for increased operating support to fund salary and staff increases in court operations and security drive higher spending in FY 2021.
- **COVID-19.** FY 2020 actuals reflect non-personal service costs incurred in response to the COVID-19 pandemic. Costs include, but are not limited to, medical equipment and supplies, personal protective equipment, laboratory equipment and supplies and drugs. The Federal government is expected to fund the full cost of State pandemic response costs, including those incurred in FY 2020. Aid is expected through the Coronavirus Relief Fund and FEMA disaster assistance grants and aid. Accordingly, the Budget reflects no net costs from COVID-related expenses.
- **Budget Balance Reduction.** Executive agency budgets, with limited exceptions for facility operations and public health and safety, will be reduced by 10 percent from budgeted levels. The Financial Plan assumes that the Judiciary and elected officials will initiate comparable reductions in their budgets for FY 2021.

Workforce

In FY 2021, \$14.1 billion, or 13.4 percent, of the State Operating Funds budget is dedicated to supporting Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY (46,834) and Independent Agencies; employees paid on a nonannual salaried basis; and overtime pay. Roughly 60 percent of Executive agency workforce spending occurs in the mental hygiene agencies and DOCCS.

STATE OPERATING FUNDS		
FY 2021 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY		
	(millions of dollars)	
	Dollars	FTEs
SUBJECT TO DIRECT EXECUTIVE CONTROL	7,667	96,347
Mental Hygiene	2,379	32,326
Corrections and Community Supervision	2,208	25,611
State Police	750	5,666
Department of Health	296	4,090
Information Technology Services	302	3,421
Tax and Finance	283	4,085
Children and Family Services	266	2,297
Environmental Conservation	201	2,322
Transportation	169	2,591
Financial Services	160	1,391
Budget Balance Reduction	(300)	0
All Other	953	12,547
UNIVERSITY SYSTEMS	4,406	46,834
State University	4,403	46,834
City University ²	3	0
INDEPENDENT AGENCIES	2,043	18,434
Law	138	1,533
Audit & Control (OSC)	131	1,524
Judiciary	1,806	15,374
Legislature ³	193	3
Budget Balance Reduction	(225)	0
Statewide Total	14,116	161,615

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include nonannual salaried positions, such as those filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded through an agency trust fund that supports 13,730 FTEs, which are excluded from this table. The \$3 million in costs represents personal service expenses reflected in the CUNY Tuition Reimbursement account.

³ Legislative employees who are nonannual salaried are excluded from this table.

General State Charges

The State provides a variety of fringe benefits to current and former employees, including health insurance, pensions, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). The GSC budget also pays the Social Security payroll tax and certain statewide fixed costs, including taxes on State-owned lands, Payments in Lieu of Taxes (PILOT) and judgments and settlements awarded in the Court of Claims. Many of these payments are mandated by law or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, then partially reimbursed by revenue collected from agency fringe benefit assessments.

GSC spending is projected to increase by an average of 6.5 percent over the multi-year Financial Plan period. Growth in health insurance reflects medical inflation and current enrollment levels. Workers' Compensation costs are increasing due to reserve funds that are no longer available to offset growth in the average weekly wage used for benefit calculations and medical costs. Increases in other programs such as employee benefits and dental insurance are attributable to collectively negotiated salary increases and benefit enhancements.

In response to the COVID-19 pandemic, the Federal CARES Act authorized employers to defer payment of non-Medicare payroll taxes from April – December 2020 to be repaid without interest in two equal payments on December 31, 2021 and December 31, 2022. Payroll taxes are 7.65 percent of personal service costs (6.2 percent for Social Security and 1.45 for Medicare). The Executive and the Judiciary have elected to defer the allowable non-Medicare payment -- an estimated \$599 million for the Executive and \$68 million for the Judiciary.

Overall pension costs are projected to remain relatively stable based on anticipated investment returns and ongoing savings from Tier 5 and Tier 6 pension reforms. The preliminary FY 2021 pension bill includes a reduction by OSC to the expected rate of return on pension assets from 7 to 6.8 percent, which was estimated to increase the State's contribution by roughly \$300 million. However, the higher cost is partially offset by the implementation of a new "mortality improvement" scale and other actuarial adjustments. The State Comptroller does not forecast pension liability estimates beyond the budget year, thus estimates for FY 2022 and beyond are developed by DOB. DOB's forecast assumes growth in the salary base consistent with collective bargaining agreements and a stable rate of return.

State Financial Plan Multi-Year Projections



GENERAL STATE CHARGES (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	8,423	8,296	-1.5%	10,153	22.4%	10,728	5.7%	10,843	1.1%
Fringe Benefits	8,063	7,864	-2.5%	9,679	23.1%	10,254	5.9%	10,369	1.1%
Health Insurance	4,303	4,513	4.9%	4,860	7.7%	5,219	7.4%	5,608	7.5%
Pensions	2,456	2,592	5.5%	2,855	10.1%	2,990	4.7%	2,996	0.2%
Social Security	1,070	468	-56.3%	1,472	214.5%	1,489	1.2%	1,175	-21.1%
Workers' Compensation	452	493	9.1%	638	29.4%	697	9.2%	762	9.3%
Employee Benefits	103	108	4.9%	117	8.3%	121	3.4%	121	0.0%
Dental Insurance	55	63	14.5%	65	3.2%	66	1.5%	66	0.0%
Unemployment Insurance	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(387)	(385)	0.5%	(340)	11.7%	(340)	0.0%	(371)	-9.1%
Fixed Costs	360	432	20.0%	474	9.7%	474	0.0%	474	0.0%
Public Land Taxes/PILOTS	262	296	13.0%	302	2.0%	302	0.0%	302	0.0%
Litigation	98	136	38.8%	172	26.5%	172	0.0%	172	0.0%

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Enacted	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	6,098	7,865	6,915	7,169	6,548
Debt Service	736	1,810	488	501	553
SUNY University Operations	1,179	1,273	1,273	1,267	1,267
Capital Projects	3,128	3,512	3,747	3,917	3,138
Extraordinary Monetary Settlements:	1,345	425	959	747	345
Dedicated Infrastructure Investment Fund	939	1,130	877	525	330
Javits Center Expansion	271	183	0	0	0
Bond Proceeds Receipts for Javits Center Expansion	0	(1,000)	0	0	0
Clean Water Grants	0	25	50	175	0
Mass Transit Capital	3	7	2	2	1
Health Care	132	80	30	45	14
Dedicated Highway and Bridge Trust Fund	397	591	330	448	463
Environmental Protection Fund	28	28	28	96	96
All Other Capital	1,358	2,468	2,430	2,626	2,234
ALL OTHER TRANSFERS	1,055	1,270	1,407	1,484	1,590
Department of Transportation (MTA Payroll Tax)	244	275	369	448	552
SUNY - Medicaid Reimbursement	306	243	243	243	243
NY Central Business District Trust	113	150	152	153	155
Judiciary Funds	114	115	115	115	115
Dedicated Mass Transportation Trust Fund	66	65	65	65	65
Banking Services	40	49	49	49	49
Business Services Center	8	30	30	30	30
Indigent Legal Services	22	28	75	75	75
General Services	20	20	13	10	10
Mass Transportation Operating Assistance	23	21	21	21	21
Correctional Industries	21	21	21	21	21
Health Income Fund	10	16	16	16	16
Public Transportation Systems	16	16	16	16	16
Health Insurance Internal Services Account	8	12	12	12	12
Centralized Technology Services	11	11	11	11	11
Spinal Cord Injury Fund	9	9	9	9	9
All Other	24	189	190	190	190

General Fund transfers to other funds are expected to total \$7.9 billion in FY 2021, a \$1.8 billion increase from FY 2020. The change is largely due to the repayment of the anticipated \$1.5 billion line of credit. Debt service transfers were also higher in FY 2020 as the State prepaid FY 2021 debt at the end of FY 2020.

In addition, capital projects transfers are expected to increase by \$384 million in FY 2021. The capital increase reflects use of new hard dollar resources, rather than debt to fund capital projects. All other transfers in FY 2021 and beyond reflect a conservative estimate of General fund resources needed to support various programs outside the General Fund.

The DHBTF receives revenue from motor vehicle fees, PBT, the motor fuel tax, Highway Use Tax (HUT), the auto rental tax, utilities taxes, and miscellaneous transportation-related fees. Receipts deposited into the DHBTF are used to pay debt service on transportation bonds, finance capital projects on a PAYGO basis, and pay certain operating expenses of DOT and DMV. The General Fund subsidizes DHBTF expenses, as expenses routinely exceed revenue deposits and bond proceeds.

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development Corporation (ESD), DASNY, and the New York State Thruway Authority (NYSTA). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2020 Results	FY 2021 Enacted	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
General Fund	736	310	-57.9%	488	57.4%	501	2.7%	553	10.4%
Other State Support	4,180	5,528	32.2%	6,451	16.7%	6,856	6.3%	6,997	2.1%
Liquidity Financing	0	4,500	0.0%	0	-100.0%	0	0.0%	0	0.0%
State Operating/All Funds Total	4,916	10,338	110.3%	6,939	-32.9%	7,357	6.0%	7,550	2.6%

Total State Operating/All Funds debt service is projected to be \$10.3 billion in FY 2021, of which \$310 million is paid from the General Fund via transfers, \$5.5 billion is paid from other State funds supported by dedicated tax receipts, and \$4.5 billion is for repayment of short-term liquidity financings. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for the State’s revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds. Debt service growth from FY 2020 to FY 2021 includes the repayment of \$4.5 billion of liquidity financings included as part of the Enacted Budget. The budget authorizes up to \$8 billion of PIT notes and \$3 billion of line of credit facilities. The liquidity financings are expected to help the State manage the adverse impact on cash flow that is expected due to the extension of the tax filing deadline. The debt service estimates assume the issuance of \$3 billion of PIT notes and \$1.5 billion of line of credit facilities and full repayment during FY 2021. The interest costs for these financings are expected to be reimbursed by Federal Funds related to COVID-19 expenses and are not reflected in the debt service estimates.

The Financial Plan estimates for debt service spending have been revised to reflect bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect prepayment of \$1.5 billion in FY 2019 for debt service costs due in FY 2020, as well as a planned prepayment of \$465 million in FY 2020 for debt service costs due in FY 2021. See the section on “Other Matters Affecting the Financial Plan – Debt Reform Act Limit” herein for additional information on the status of State compliance with debt limits established in the State Debt Reform Act.



FY 2020
Year-End Operating Results

This section provides a summary of preliminary operating results for FY 2020 compared to: (1) FY 2019 results, (2) the projections set forth in the FY 2020 Enacted Budget Financial Plan ("initial estimates") and (3) the most recent projections set forth in the FY 2021 Executive Budget, as amended²⁰ ("revised estimates"). The summary of the variances to estimates is based exclusively on the initial estimates unless otherwise noted.

Summary of General Fund Operating Results

FY 2020 GENERAL FUND OPERATING RESULTS (millions of dollars)							
	Initial Estimate	Revised Estimate	Results	Variance Above/ (Below)			
				Initial Estimate		Revised Estimate	
				\$	%	\$	%
OPENING BALANCE	7,206	7,206	7,206	0	0.0%	0	0.0%
Total Receipts	77,117	78,332	79,207	2,090	2.7%	875	1.1%
Taxes:	71,360	72,273	73,132	1,772	2.5%	859	1.2%
Personal Income Tax ¹	48,534	49,250	50,508	1,974	4.1%	1,258	2.6%
Consumption / Use Taxes ¹	14,635	14,558	14,216	(419)	-2.9%	(342)	-2.3%
Business Taxes	6,104	6,400	6,370	266	4.4%	(30)	-0.5%
Other Taxes ¹	2,087	2,065	2,038	(49)	-2.3%	(27)	-1.3%
Receipts and Grants	2,857	2,979	3,160	303	10.6%	181	6.1%
Transfers From Other Funds	2,900	3,080	2,915	15	0.5%	(165)	-5.4%
Total Spending	77,857	79,011	77,469	(388)	-0.5%	(1,542)	-2.0%
Local Assistance	52,100	53,573	51,863	(237)	-0.5%	(1,710)	-3.2%
Agency Operations (including GSCs)	19,627	19,364	19,508	(119)	-0.6%	144	0.7%
Transfers to Other Funds	6,130	6,074	6,098	(32)	-0.5%	24	0.4%
Debt Service Transfer	550	517	736	186	33.8%	219	42.4%
Capital Projects Transfer	3,191	3,182	3,128	(63)	-2.0%	(54)	-1.7%
SUNY Operations Transfer	1,185	1,185	1,179	(6)	-0.5%	(6)	-0.5%
All Other Transfers	1,204	1,190	1,055	(149)	-12.4%	(135)	-11.3%
Change in Operations	(740)	(679)	1,738	2,478	334.9%	2,417	356.0%
CLOSING BALANCE	6,466	6,527	8,944	2,478	38.3%	2,417	37.0%

¹ Includes transfers from other funds after debt service.

The General Fund ended March 2020 with a balance of \$8.9 billion, \$2.5 billion above the initial estimate. General Fund receipts were nearly \$2.1 billion than the initial estimate, with growth concentrated in the PIT. General Fund spending was \$388 million below the initial estimate. Budgeted General Fund payments of \$1.3 billion were not released due to interruptions and uncertainties as a result of the pandemic. These payments affected spending levels for higher education, social welfare, mental hygiene, and public health. The Enacted Budget for FY 2021 reflects these payments occurring in the first quarter of FY 2021. In addition, lower spending reflected the impact of cautious disbursement estimates.

The lower spending was offset in part by higher Medicaid spending (\$1.7 billion) and minimum wage costs in the health care sector (\$322 million). The COVID-19 outbreak also caused a spike in health care spending above planned levels.

²⁰ Executive Budget Financial Plan as Updated for Governor's Amendments and Forecast Revisions.

Through March 2020, General Fund receipts, including transfers from other funds, totaled \$79.2 billion, \$2.1 billion (2.7 percent) above the initial estimate of which PIT receipts were \$2 billion higher. The FY 2020 estimate for tax receipts was increased by \$1 billion in the FY 2021 Executive Budget, based on collections to date and a review of economic data. PIT continued to exceed projections through the end of the fiscal year primarily due to withholding (\$625 million) and the realignment of debt service prepayments which resulted in higher PIT receipts in excess of revenue bond debt service flowing back to the General Fund.

Higher than projected miscellaneous receipts are mainly due to medical reimbursements (\$100 million), investment income (\$74 million), and monetary settlements (\$66 million).

General Fund disbursements, including transfers to other funds, totaled \$77.5 billion, \$388 million (0.5 percent) below the initial estimate. Excluding Medicaid, General Fund local aid program spending was below initial estimates across nearly all areas, repeating a pattern observed in prior years. Absent actions to rein in escalating costs, State Medicaid spending levels would have exceeded the allowable indexed growth as set by Global Cap statute by upwards of \$4 billion. The combination of the FY 2020 savings plan and continued deferrals initiated in FY 2019 significantly reduced spending under the Global Cap. The remaining spending over the Global Cap index was offset by savings elsewhere in the General Fund. In addition, the cost of reimbursement to providers for increased minimum wage exceeded initial projections by \$322 million.

Agency operations, including fringe benefits, were \$119 million below the initial estimate. GSC spending was \$262 million lower than initially projected mainly in the areas of workers compensation, employee benefits, and judgments against the State. Modest underspending in personal service (1 percent) was more than offset by unanticipated non-personal service spending related to the COVID-19 pandemic response in March.

The table below summarizes variances from the initial and revised estimates, excluding Extraordinary Monetary Settlements.

FY 2020 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2020 ENACTED AND REVISED BUDGETS (millions of dollars)					
	Initial Estimate	Revised Estimate	Results	Variance Above/ (Below)	
				Initial Estimate	Revised Estimate
Opening Fund Balance (Excl. Extr. Monetary Settlements)	3,012	3,012	3,012	0	0
Total Receipts	76,288	77,437	78,312	2,024	875
Taxes ¹	71,360	72,273	73,132	1,772	859
Non-Tax Receipts/Transfers ²	4,928	5,164	5,180	252	16
Total Disbursements	76,541	77,690	76,118	(423)	(1,572)
Local Assistance	52,100	53,573	51,863	(237)	(1,710)
Agency Operations	19,627	19,364	19,508	(119)	144
Transfers to Other Funds ³	4,814	4,753	4,747	(67)	(6)
Net Change in Operations	(253)	(253)	2,194	2,447	2,447
General Fund Use of Extr. Monetary Settlements	1,067	1,128	1,128	61	-
Closing Fund Balance (Excl. Extr. Monetary Settlements)	3,826	3,887	6,334	2,508	2,447
Extraordinary Monetary Settlements					
Opening Balance	4,194	4,194	4,194	0	0
Settlements Received/Expected ⁴	829	895	895	66	0
Transfers/Uses	(2,383)	(2,449)	(2,479)	(96)	(30)
Closing Balance	2,640	2,640	2,610	(30)	(30)
Closing Fund Balance (Incl. Extr. Monetary Settlements)	6,466	6,527	8,944	2,477	2,417

¹ Includes transfers from other funds after debt service.
² Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.
³ Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).
⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

FY 2020 Year-End Operating Results



All Governmental Funds Results Compared to Prior Year

All Funds ended FY 2020 with a balance of \$14.3 billion, \$4.3 billion above FY 2019 as both receipts and disbursements were higher than the prior year levels.

Higher receipts include growth in tax collections and Federal Grants that were partly offset by a drop in miscellaneous receipts. Growth in local assistance spending is primarily comprised of Medicaid, attributable to increased claiming and offline payments, and school aid, reflecting the authorized school aid increase. State operations growth reflects the payment of retroactive salary increases, higher SUNY spending, and non-personal spending for COVID-19 related expenses. Debt service spending was lower than the prior year due mainly to the prepayment of FY 2020 obligations at the end of FY 2019.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
FY 2020				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2019	FY 2020	\$	%
OPENING BALANCE	12,749	9,975	(2,774)	-21.8%
ALL FUNDS RECEIPTS:	168,106	177,435	9,329	5.5%
Total Taxes	75,578	82,889	7,311	9.7%
Personal Income Tax	48,087	53,659	5,572	11.6%
All Other Taxes	27,491	29,230	1,739	6.3%
Miscellaneous Receipts	31,184	29,466	(1,718)	-5.5%
Federal Grants	61,344	65,080	3,736	6.1%
Bond & Note Proceeds	0	0	0	0.0%
ALL FUNDS DISBURSEMENTS:	170,875	172,981	2,106	1.2%
STATE OPERATING FUNDS	100,137	102,159	2,022	2.0%
Local Assistance	66,177	68,652	2,475	3.7%
School Aid	26,403	27,368	965	3.7%
DOH Medicaid (incl. admin and EP)	20,032	21,755	1,723	8.6%
All Other	19,742	19,529	(213)	-1.1%
State Operations	27,261	28,591	1,330	4.9%
Agency Operations	19,057	20,168	1,111	5.8%
Executive Agencies	10,232	11,039	807	7.9%
University Systems	6,097	6,426	329	5.4%
Elected Officials	2,728	2,703	(25)	-0.9%
Fringe Benefits/Fixed Costs	8,204	8,423	219	2.7%
Pension Contribution	2,432	2,456	24	1.0%
Health Insurance	4,193	4,304	111	2.6%
Other Fringe Benefits/Fixed Costs	1,579	1,663	84	5.3%
Debt Service	6,699	4,916	(1,783)	-26.6%
Capital Projects	0	0	0	0.0%
CAPITAL PROJECTS (State and Federal Funds)	12,266	11,999	(267)	-2.2%
FEDERAL OPERATING AID	58,472	58,823	351	0.6%
NET OTHER FINANCING SOURCES	(5)	(145)	(140)	-2800.0%
CHANGE IN OPERATIONS	(2,774)	4,309	7,083	255.3%
CLOSING BALANCE	9,975	14,284	4,309	43.2%

Receipts

PIT collections were \$5.6 billion (11.6 percent) higher than last year due to an increase in April 2019 extensions and final returns related to taxpayer behavior in response to the cap on SALT deductions and moderate growth in withholding, partially offset by a scheduled increase in Tax Year 2019 Property Tax Relief Credits and continued phase-in of the middle class tax cut program.

Business tax collections growth (\$1.1 billion) is due to higher corporate franchise tax (CFT) and insurance gross receipts partially offset by higher refunds. Growth in consumption/use tax collections (\$666 million) reflects growth of the sales tax base. It also reflects additional revenues from the requirement that marketplace providers collect Sales and Use Tax (SUT) on sales that they facilitate, the elimination of the Energy Service Companies (ESCOs) exemption, and DTF guidance associated with the U.S. Supreme Court Wayfair ruling. These increases were partially offset by the direct remittance of various supplemental fees and taxes to the MTA beginning in FY 2020.

Miscellaneous receipts declined by \$1.7 billion (5.5 percent) due to a reduction in bond proceed reimbursements in response to capital spending (\$946 million), reduced proceeds from Fidelis Care pursuant to the sale of substantially all its assets to Centene Corporation in July 2018 (\$600 million) and a drop in Extraordinary Monetary Settlement receipts (\$319 million).

Federal grants were \$3.7 billion higher in FY 2020 than in FY 2019 largely due to the deferral of the final FY 2019 Medicaid cycle as well as the timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

Spending

State Operating Funds spending totaled \$102.2 billion in FY 2020, an increase of \$2 billion (2.0 percent) over FY 2019.

Local assistance spending was \$2.5 billion higher than the prior year, mainly due to growth in Medicaid (\$1.7 billion), Mental Hygiene (\$1.3 billion) and School Aid (\$965 million). Medicaid spending growth reflects escalating program utilization and costs for certain populations, including Managed Long-Term Care and an increase in “offline” payments such as Medicaid clawback and Supplemental Medical Insurance (SMI). Lower rebates augmented the increase. In addition, an adjustment to the amount of mental hygiene spending funded under the Global Cap resulted in a decrease in Medicaid spending with a commensurate increase in mental hygiene spending (\$1 billion). Higher School Aid spending includes the authorized 3.8 percent State aid increase.

The higher spending was partly offset by the roughly \$1.9 billion of payments that were not released, as described above. Other significant variances include:

- Transportation (\$449 million lower) included one-time payments made to the MTA in FY 2019 for the MTA Subway Action Plan (\$194 million), and a final payment of payroll mobility tax collections attributable to FY 2018 (\$135 million).
- STAR (\$239 million lower) reflects the transition of beneficiaries from the STAR Exemption program to a STAR PIT credit.
- Public Health (\$282 million higher) due to higher CHP disbursements related to the Medicaid eligible immigrant population.
- All Other Education (\$176 million higher) largely related to the timing of payments for nonpublic school aid (\$77 million), charter schools (\$55 million) and preschool special education programs (\$44 million).

Agency operational spending growth (\$1.1 billion) includes costs associated with the payment of retroactive salary increases in FY 2020 and costs related to the state response efforts to the COVID-19 pandemic. Higher University System costs reflect spending for SUNY hospitals and personal service costs at SUNY colleges. Fringe benefits spending increased due to growing employee health insurance, social security, and pension payments.

Debt service spending declined due to the impact of prepayments affecting both FY 2020 and FY 2019. Lower Capital Projects spending (State and Federal) occurred in ESD (\$317 million), Special Infrastructure (\$230 million), and MTA (\$195 million); which was partly offset by growth in public health (\$223 million), housing (\$79 million), and various other areas.

Federal operating spending growth reflects Medicaid utilization and cost increases (\$1.0 billion), higher Division of Homeland Security & Emergency Services (DHSES) spending (\$237 million); partially offset by a timing variance related to school district claiming of Individuals with Disabilities in Education (IDEA) grants (\$268 million), and reduced spending for Medicaid administration (\$252 million), EP (\$173 million) and child care (\$115 million).

All Governmental Funds Results Compared to Estimates

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN						
FY 2020						
(millions of dollars)						
	Initial Estimate	Revised Estimate	Results	Variance Above/ (Below)		
				Initial Estimate	Revised Estimate	
				\$	%	
OPENING BALANCE	9,975	9,975	9,975	0	0.0%	0
ALL FUNDS RECEIPTS:	175,112	178,253	177,435	1,879	1.1%	(1,207)
Total Taxes	81,305	82,390	82,889	1,584	1.9%	499
Personal Income Tax	52,150	53,016	53,659	1,509	2.9%	643
Consumption / Use Tax	18,308	18,148	18,022	(286)	-1.6%	(126)
Business Taxes	8,585	8,986	8,996	411	4.8%	10
Other Taxes	2,262	2,240	2,212	(50)	-2.2%	(28)
Miscellaneous Receipts	29,013	29,701	29,466	453	1.6%	(235)
Federal Grants	64,794	66,162	65,080	286	0.4%	(1,082)
ALL FUNDS DISBURSEMENTS:	177,027	175,909	172,981	(4,046)	-2.3%	(2,928)
STATE OPERATING FUNDS	102,117	103,882	102,159	42	0.0%	(1,723)
Local Assistance	68,471	70,324	68,652	181	0.3%	(1,672)
School Aid	27,415	27,401	27,368	(47)	-0.2%	(33)
DOH Medicaid ¹	21,369	21,724	21,755	386	1.8%	31
Transportation	3,549	3,552	3,488	(61)	-1.7%	(64)
STAR	2,176	2,176	2,184	8	0.4%	8
Social Services	2,871	2,824	2,356	(515)	-17.9%	(468)
Higher Education	2,975	2,927	2,363	(612)	-20.6%	(564)
Mental Hygiene	1,996	3,411	3,427	1,431	71.7%	16
All Other	6,120	6,309	5,711	(409)	-6.7%	(598)
State Operations	28,480	28,392	28,591	111	0.4%	199
Agency Operations	19,684	19,711	20,168	484	2.5%	457
Personal Service:	14,192	14,289	14,090	(102)	-0.7%	(199)
Executive Agencies	7,798	7,893	7,813	15	0.2%	(80)
University Systems	4,241	4,239	4,128	(113)	-2.7%	(111)
Elected Officials	2,153	2,157	2,149	(4)	-0.2%	(8)
Non-Personal Service:	5,492	5,422	6,078	586	10.7%	656
Executive Agencies	2,827	2,770	3,226	399	14.1%	456
University Systems	2,070	2,093	2,298	228	11.0%	205
Elected Officials	595	559	554	(41)	-6.9%	(5)
Fringe Benefits/Fixed Costs	8,796	8,681	8,423	(373)	-4.2%	(258)
Pension Contribution	2,471	2,448	2,456	(15)	-0.6%	8
Health Insurance	4,312	4,308	4,304	(8)	-0.2%	-4
Other Fringe Benefits/Fixed Costs	2,013	1,925	1,663	(350)	-17.4%	(262)
Debt Service	5,166	5,166	4,916	(250)	-4.8%	(250)
CAPITAL PROJECTS (State and Federal Funds)	13,790	13,428	11,999	(1,791)	-13.0%	(1,429)
FEDERAL OPERATING AID	61,120	58,599	58,823	(2,297)	-3.8%	224
NET OTHER FINANCING SOURCES	282	244	(145)	(427)	-151.4%	(389)
CHANGE IN OPERATIONS	(1,633)	2,588	4,309	5,498	-148.1%	1,721
CLOSING BALANCE	8,342	12,563	14,284	5,942	71.2%	1,721

1. Includes the Essential Plan

Receipts

Annual PIT collections were higher than expected due to a combination of lower refund claims for Tax Years 2018 and 2019, state/city offset, and Tax Year 2019 property tax relief credit payments. Higher business taxes reflect stronger than anticipated corporate franchise tax collections and lower refunds, partially offset by lower audits across all business taxes. Lower consumption/use tax collections were driven by lower sales tax collections due to weaker than anticipated growth in the sales tax base and lower than anticipated opioid excise tax collections.

Higher miscellaneous receipts were due to growth in HCRA receipts (\$273 million), medical reimbursements, investment income and extraordinary settlements, noted previously.

Federal grants reflect Federal operating aid disbursements, as well as the timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

Spending

In addition the General Fund changes described above, variances from Enacted estimates were due to the following:

- Medicaid (\$386 million higher) is driven by cost pressures from minimum wage and escalating program utilization in areas such as Managed Long-Term Care, among other factors. The projected \$4 billion imbalance in the Medicaid Global Cap, which excludes minimum wage costs, was offset through a combination of the continued deferral of the March payment to Medicaid Managed Care Organizations (\$1.0 billion), a FY 2020 savings plan (\$599 million), which included a one percent across-the-board reduction in rates paid to providers and health plans, and reductions in discretionary payments. Remaining costs of \$1.7 billion were shifted to the General Fund via an adjustment to the amount of mental hygiene spending funded under the Global Cap and a shift of non-Medicaid health care costs under the Child Health Plus program to the Public Health budget.
- Mental Hygiene (\$1.4 billion higher) is mainly due to the adjustment related to the Medicaid global cap described above.
- Gaming (\$102 million lower) is attributable to revenue sharing payments with Seneca Nation under the Tribal State Compact.
- All Other Education (\$82 million lower) is largely attributable to timing of payments for summer school special education programs (\$29 million), aid to nonpublic schools (\$20 million), and various other education programs (\$33 million).

Agency operations spending exceeded projections entirely due to the statewide efforts to combat the COVID-19 pandemic. Absent these costs, Executive agency spending would have been below initial projections. SUNY operational spending exceeded projections mainly due to higher spending in SUNY hospitals and general operations offset by lower personal service in general operations.

Lower spending on fringe benefits and fixed costs occurred for Worker's Compensation (\$125 million), Employee Benefits (\$79 million), Judgments Against the State (\$54 million), and pension costs (\$15 million).

Capital Projects spending was lower than initial projections (\$1.8 billion) in the following areas:

- Economic Development (\$672 million lower) spending was due to delayed approval of spending for the High-Tech Innovation Program and slower spending across multiple capital projects throughout the State.
- Health and Social Welfare (\$581 million lower) driven by slower than anticipated housing projects (\$382 million), coupled with lower spending in DOH programs (\$167 million).
- Education (\$401 million lower) variances primarily include slower than anticipated spending for the Smart Schools Bond Act program (\$309 million) and lower education projects (\$69 million).
- Transportation (\$231 million lower) spending reflects less than anticipated disbursements in DOT (\$150 million) and MTA (\$100 million). DOT variances are attributable to a combination of factors, including construction and associated engineering spending being slower than projected. MTA underspending is due to the timing of certain MTA obligations.

Federal operating aid spending was \$2.3 billion (3.8 percent) lower than initial projections mainly in the areas of health care and school aid, offset by higher spending in social services and DHSES.

Compared to revised estimates, spending variances were generally consistent with the initial estimates described above, except Federal disbursements that are higher than projections (\$224 million) mostly due to Medicaid.

Fiscal Impact on Local Governments

The wide-ranging economic, health, and social disruptions caused by the COVID-19 outbreak are having an adverse impact on State authorities and localities, including the MTA and the City of New York. The aid-to-localities reductions that will need to be taken in this Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

This section presents the estimated fiscal impact of Budget changes on New York's municipalities prior to the allocation of anticipated FY 2021 budget balance reductions. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

Notable Actions Affecting Local Governments

- **Budget Balance Reductions:** The Enacted Budget grants the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. In addition, the Director is authorized to withhold and reduce specific local aid payments during the fiscal year. The aid-to-localities reductions that will need to be taken in this Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities. Any of these reductions can be mitigated during the fiscal year if additional Federal aid is made available or revenues perform better than forecast.
- **Authorize Creation of the Restore Mother Nature Bond Act.** The Budget authorizes the creation of a Bond Act to fund critical environmental restoration and climate mitigation projects in every corner of the State to ensure New York is able to adapt to the intensifying impacts of climate change, and reduce emissions, while creating jobs and local economic development. As part of the larger Restore Mother Nature Initiative, the Bond Act will be a key source of funding for projects focused on reducing flood risk, investing in resilient infrastructure, restoring freshwater and tidal wetlands, preserving open space, conserving forest areas, and reducing pollution from agricultural and storm water runoff. It will also fund up to \$700 million in projects to fight climate change, including green buildings. It also aims to spend 35 percent of the funds on projects to benefit underserved areas of the state. The Budget Director will assess the State's finances and the economic outlook later this year and make a determination as to whether to move forward with the Bond Act.
- **Adjust the 2019 Bail Reform Law.** The Budget clarifies the 2019 law to make sure judges know all the options available to them with respect to non-monetary conditions for release; enhances the options upon which a judge can condition release, including mental health referrals and requirements to attend counseling; and it adds several offenses that can be bail eligible, including sex trafficking offenses, money laundering in support of terrorism in the 3rd and 4th degree, child pornography offenses, repeat offenders, and crimes resulting in death.

- **Adjust the 2019 Discovery Law.** The Budget also includes enhancements to the discovery process. These refinements include providing prosecutors up to 20 days to provide discovery to defense counsel for persons held in custody and up to 35 days to provide discovery for persons not held in custody. In addition, the legislation provides additional safeguards for victim and witness information to ensure their protection during the pendency of a case.
- **Redirect \$40 Million to Assist Local Governments with Implementing Discovery and Pre-Trial Services Reforms.** The Budget authorizes the redirection of up to \$40 million in asset forfeitures and seizures from the Office of the Manhattan District Attorney to counties, cities, towns, and villages across New York State to assist with costs associated with implementing the discovery and pre-trial services reforms.
- **Increase NYC Support to the MTA.** The Enacted Budget secures additional funding for the MTA, including \$3 billion in capital funding from the City for the 2020-24 Capital Program and additional operating funding from the City for the paratransit program.

State Fiscal Year 2021 Major Local Government Program Funding Totals

The Enacted Budget proposes to provide local governments with over \$43.6 billion in State support through major local aid programs and savings initiatives, which is higher than the amount provided in the prior year due to increases in caseload or existing statutory requirements. This includes nearly \$19 billion for school districts, over \$18 billion for New York City, over \$5 billion for counties, and over \$1 billion for other cities, towns, and villages.

Local Fiscal Year (LFY) 2021 Fiscal Summary

The Budget will result in a net negative impact of \$350 million for local governments in LFYs ending in 2021 - the first full-annual local fiscal year impacted by the Budget. The fiscal summary of the impact on local governments for LFY 2021 includes:

- **NYC.** The City will realize a negative net impact of \$367 million in City Fiscal Year 2021 due to Enacted Budget actions. This is primarily due to increasing the City's support for distressed health care facilities, Paratransit MTA, and certain social services programs. These impacts are partially offset by savings from increased essential plan rates.
- **Counties.** The Enacted Budget is estimated to result in a negative impact of \$103 million in LFY 2021 for counties outside NYC. This is due primarily to increasing county support for distressed health care facilities as well as various changes to human services and mental hygiene programs.
- **Other Cities, Towns and Villages.** The Enacted Budget is expected to positively impact other cities, towns, and villages by \$17 million in LFY 2021.
- **School Districts.** The Enacted Budget is estimated to result in a positive impact of \$93 million for school districts. This reflects an increase in school aid and is offset by eliminating the state share for certain human services costs.

Financial Plan Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Operating Funds budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from Capital Projects Funds, including payments to local government units and public authorities, are recorded as local assistance.

PS (Personal Service) - Includes the payment of salaries and compensation for State employees.

NPS (Non-Personal Service) - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs (General State Charges) - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserves - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2021 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase “reserved for.” For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

MTA Fees and Taxes

Beginning in FY 2020, various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, are remitted directly to the MTA without legislative appropriation. This eliminates the pass through of these fees and taxes, ensuring more timely receipt by the MTA, and is consistent with treatment of mobility tax collections (described below). The Financial Plan no longer includes these supplemental fees and taxes or associated local assistance payments.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. Beginning in FY 2019 Mobility Tax collections are remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts’ share of all growth above the previous year’s enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

Since FY 2017, the conversion of STAR benefits to State PIT credits has lowered STAR spending. The conversion of STAR benefits to PIT credits initially had no impact on the value of the STAR benefits received by taxpayers. However, since FY 2020, Exemption homeowners' STAR benefit growth is capped at zero. The conversion to a PIT credit decreases the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount.

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in NYC, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment addressed critical health care issues statewide and allowed for comprehensive reform through the Delivery System Reform Incentive Payment (DSRIP) program. The program promoted community-level collaborations and focused on system reform, specifically the goal of achieving a 25 percent reduction in avoidable hospital use over five years, in addition to clinical and population health improvements. Due to the demonstrated success of the DSRIP waiver, the State submitted a waiver request to CMS on November 25, 2019, seeking an extension on the original waiver to authorize the remaining \$625 million of spending in FY 2021 for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care beginning in FY 2022. The extensions were denied by CMS on February 21, 2020, on the basis that the original DSRIP award was time-limited and meant to be a one-time investment, and that it was not in a position to authorize a conceptual agreement beyond the current demonstration program. While the State's

requested amendment was denied, the State intends to address its needs as part of a subsequent 1115 waiver request that aligns with the expiration of the MRT Waiver on March 31, 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of the EP subsidized by the State and Federal governments. Beginning in 2017, the Trump Administration took action to reduce Federal support for the EP program. Continued efforts to change the reimbursement formula negatively impacts New York and puts the Financial Plan at risk. The Budget continues to reflect full support for the EP.

Temporary Enhanced FMAP

In response to the COVID-19 pandemic, the President signed into law the Families First Coronavirus Response Act (FFCRA) in March 2020. The measure included supplemental funding for various programs, including an enhanced FMAP for unexpected costs attributable to the pandemic retroactive to January 2020.

The FFCRA includes a 6.2 percent base increase to the FMAP rate for each calendar quarter occurring during the public health emergency, with exemptions placed on certain expenditures, including expansion spending that already receives enhanced federal support. As of April 2020, the public health emergency has not been lifted and as such, the enhanced funding remains in place. The Financial Plan assumes a six-month State benefit of approximately \$1.45 billion that will be used to offset unanticipated General Fund expenses directly or indirectly related to the pandemic.

Note 6 — Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 7 — General Fund/HCRA Combined Gap

Current HCRA authorization ends March 31, 2023. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 8 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The FY 2021 Enacted Budget amended the statute to permit the borrowings until the end of the fiscal year, which was previously limited to up to four months. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 9 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 10 — Temporary Loans Summary

Outstanding loans include activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2019	2020	
Total Loans Outstanding	5,405	5,843	438
State Special Revenue Funds	350	379	29
Federal Funds	3,417	3,898	481
Capital Funds	1,300	1,226	(74)
Proprietary Funds	338	340	2

Note 11 - List of Extraordinary Monetary Settlements Received and Uses

From the beginning of FY 2015, the State received the following Extraordinary Monetary Settlements:

- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries American Life Insurance Company (ALICO) and Delaware American Life Insurance Company (DelAm) (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- ALICO, DelAm, and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- Athene Annuity and Life Company and Athene Holding Ltd., together “Athene,” paid a \$45 million civil monetary penalty pursuant to an April 11, 2020 Consent Order between Athene and the New York State Department of Financial Services. This consent order pertains to Athene’s operation of an unlicensed insurance business in the State.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.

- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi's improper activities.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA's violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") paid a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.
- Industrial Bank of Korea and Industrial Bank of Korea, New York Branch, together "Industrial Bank of Korea," paid a \$35 million civil monetary penalty pursuant to an April 20, 2020 Consent Order between Industrial Bank of Korea and the New York State Department of Financial Services. This consent order pertains to Industrial Bank of Korea's failure to maintain appropriate books, accounts, records, and an effective and compliant anti-money laundering program.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.

- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna's violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.

- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter “ACS”) paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS’s repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of federally-guaranteed student loans.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the IRS and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid a \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, "FedEx") paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx's alleged shipment of illegal cigarettes.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.

- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Google, LLC (“Google”) and YouTube, LLC (“YouTube”) paid a \$34 million penalty to the State pursuant to a September 10, 2019 stipulated order between Google and YouTube and the Federal Trade Commission and the People of the State of New York. This order pertains to Google and YouTube’s failure to post a privacy policy on their online service in a clear, understandable, and complete way regarding the collection of personal information from children.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, “Lockton”) paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.

- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank”) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank’s (a) failure to maintain an effective and compliant anti-money laundering (AML) program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter “Aetna”) paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna’s business practices and fulfillment of their obligations to policyholders and claimants.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company’s pension risk transfer group annuity operations.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley’s creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- MUFG Bank, Ltd., f/k/a The Bank of Tokyo-Mitsubishi UFJ, Ltd. (“MUFG Bank”) paid a \$33 million penalty to the State of New York pursuant to a June 24, 2019 settlement agreement between MUFG Bank and DFS, the DFS Acting Superintendent, and the Office of the New York State Attorney General. This settlement agreement pertains to a dispute between MUFG Bank and DFS over DFS’s authority to issue an order requiring MUFG Bank to continue to submit to DFS’s supervisory authority during the time which MUFG Bank attempted to convert its State-licensed branch in New York to a federally-licensed branch.

- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- PHH Mortgage and PHH Homes Loans, LLC (collectively "PHH Mortgage") paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage's failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.

- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) paid \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State received \$77.649 million of the payment.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant Office of Foreign Assets Control (OFAC) compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and AML laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$180 million civil monetary penalty pursuant to an April 9, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered (i) conducting business in an unsafe and unsound manner, (ii) failing to maintain an effective and compliant OFAC compliance program, (iii) failing to maintain and make available appropriate books, accounts, and records, reflecting all transactions and actions, (iv) failing to submit a report immediately upon discovering fraud, dishonesty, making of false entries or omission of true entries, or other misconduct, and (v) failing to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or through the state.
- Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid the State \$141.7 million. An April 9, 2019 press release issued by the Manhattan District Attorney's Office indicated that such payment was required by an amended Deferred Prosecution Agreement pertaining to Standard Chartered's falsification of records of New York financial institutions.

- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- The Manhattan District Attorney's Office indicates in an April 15, 2019 press release that UniCredit Bank Austria AG has agreed to pay in criminal forfeiture approximately \$316 million to the Manhattan District Attorney's Office in relation to UniCredit Bank Austria AG's falsifying of business records and conspiracy to illicitly move money through New York Banks. The State received \$101.3 million as its share of the forfeiture received by the Manhattan District Attorney's Office in accordance with Section 1349 of the NYS Civil Practice Laws and Rules.
- UniCredit Bank AG and its New York Branch, UniCredit S.p.A and its New York Branch, and UniCredit Bank Austria AG (together, the "UniCredit Group") paid a \$405 million civil monetary penalty pursuant to an April 2019 Consent Order between UniCredit Group and the New York State DFS. This consent order pertains to UniCredit Group's (i) unsafe and unsound business conduct, (ii) failure to maintain an effective and complaint compliance program, (iii) failure to submit reports immediately upon discovering fraud, dishonesty, false entries or omissions of true entries, or other misconduct, and (iv) failure to submit reports of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") paid \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.

- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) paid \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State was allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This settlement agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2021:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the Governor Mario M. Cuomo Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** In FY 2015, \$1.5 billion was awarded through the Upstate Revitalization Initiative (URI) to the three Upstate regions. An additional \$200 million (\$170 million from monetary settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** The Capital Plan provides \$680 million in grants to health care providers to facilitate mergers, consolidations, acquisitions, or other corporate restructuring activities. The Capital Plan also funds capital expenses of the Roswell Park Cancer Institute (RPCI) (\$15.5 million); a community health care revolving loan (\$19.5 million); IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million); and \$125 million to the health care facility transformation program.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing services (\$590 million) and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million).
- **Broadband Initiative (\$500 million).** The \$500 million investment in the *New NY* Broadband Fund Program is intended to expand the availability and capacity of broadband across the State, and support development of other telecommunication infrastructure.
- **Buffalo Billion Phase II (\$400 million).** The Capital Plan reflects a continued investment of \$400 million from monetary settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Capital Plan reflects the commitment of \$320 million from monetary settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **MTA Capital Plan (\$315 million).** The Capital Plan includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx. Also, an additional \$65 million was provided to the MTA's 2015-2019 Capital Program, which was paid to the MTA in FY 2018.

- **Municipal Restructuring and Downtown Revitalization (\$270 million).** The Capital Plan includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens. The second Downtown Revitalization Initiative (\$100 million) funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Clean Water Infrastructure (\$250 million).** The Capital Plan continues the commitment of \$500 million for water quality capital projects, including \$250 million funded from monetary settlements. The investment continues supporting drinking water infrastructure, wastewater infrastructure, and source water protection.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** The Capital Plan provides funding for the preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters. Additionally, funding has been used for counter-terrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges.
- **Transportation Capital Plan (\$200 million).** The Capital Plan provides funding for transportation infrastructure projects across the State.
- **Transformative Economic Development Projects (\$150 million).** The Capital Plan includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** The Capital Plan provides \$115 million in funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Economic Development (\$85 million).** The Capital Plan includes \$85 million in funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

- Southern Tier/Hudson Valley Farm Initiative (\$50 million).** The Capital Plan contains \$50 million in funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI is intended to bring together State and local governments, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- Non-MTA Transit (\$20 million).** These settlement funds will be directed by DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Since 2015, the receipt of \$12.8 billion in monetary settlements has increased the State's cash on hand and improved its liquidity position. A large portion of the monetary settlements (\$8.1 billion) has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to temporarily use these cash resources to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the budget assumes the use of a portion of monetary settlements for two different purposes:

- Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds has been repaid over a two-year period, as the State reimbursed this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
- Meet initial capital funding requirements for the Javits Center expansion project. As shown in the following table, the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds in FY 2021.

ALLOCATION OF MONETARY SETTLEMENTS TO CAPITAL PROJECTS FUNDS (millions of dollars)										
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
Settlements Allocated to Capital Projects Funds	4,550	1,960	1,205	125	250	0	0	0	0	0
Transfers to Capital Projects Funds	(857)	(817)	(1,027)	(887)	(1,075)	(1,242)	(957)	(748)	(345)	(135)
Remaining Settlement Funds	3,693	4,836	3,714	3,588	2,881	1,368	1,228	480	135	0
Transfer to DIIF for Javits Center	0	0	(164)	(382)	(271)	(183)	0	0	0	0
Bond Proceed Receipts for Javits Center	0	0	0	0	0	1,000	0	0	0	0
Management of Debt Issuances	0	(1,300)	800	500	0	0	0	0	0	0
Adjusted Remaining Settlement Funds	3,693	3,536	4,350	3,706	2,610	2,185	1,228	480	135	0

Note 12 – FY 2019 State Operating Funds Restatement

To avoid piercing the Medicaid Global Cap (“Global Cap”) in FY 2019, the Department of Health (DOH) deferred \$1.7 billion in planned Medicaid payments from FY 2019 to FY 2020. If the deferral had not occurred, State Operating Funds disbursements for FY 2019 would have increased by a like amount. In response to the unexpected deferral, DOB and DOH conducted an in-depth examination of Medicaid expenditures. In the FY 2020 Mid-Year Update to the Financial Plan released in November 2019 (“Mid-Year Update”), DOB reported that a structural imbalance existed within the Medicaid Global Cap estimated at \$4 billion in FY 2020, including the FY 2019 payment deferral, and projected at \$3.1 billion in FY 2021. The Mid-Year Update included an assumption that the State would permanently restructure \$2.2 billion in Medicaid payments as part of the response to the FY 2020 Global Cap imbalance. The assumed restructuring included the continued deferral of the FY 2019 payment (\$1.7 billion), and a new deferral (\$552 million) in FY 2020. DOB subsequently concluded that the latter deferral was not needed and the payment was made by the end of FY 2020. The Financial Plan does not include new deferrals beyond the continuation of the FY 2019 \$1.7 billion deferral. The FY 2019 disbursement level is restated for the deferrals as shown in the table below.

FY 2019 STATE OPERATING FUNDS SPENDING	
REPORTED AND RESTATED	
(millions of dollars)	
	FY 2019 Results
Cash-basis results reported by State Comptroller	100,137
Adjustment for Medicaid Deferrals	1,692
DOB Restatement of FY 2019 Results	101,829

The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 is presented herein using the restated FY 2019 results. The Financial Plan tables are not adjusted and use the cash-basis results as reported in the Comptroller’s Annual Report to the Legislature on State Funds Cash Basis of Accounting, Fiscal Year Ended March 31, 2019.

Note 13 – Budget Balance Reduction

The disbursement estimates by Financial Plan category reflect the impact of the budget balance reductions that DOB expects to execute during FY 2021. The precise reductions in the aid-to-localities programs will be contained in the reduction plan that DOB expects to submit to the Legislature in May 2020. The cash disbursement estimates to local aid programs and State agency operations are expected to be allocated by agency in the First Quarterly Update to the Financial Plan.

FY 2021 ENACTED BUDGET				
BUDGET BALANCE REDUCTIONS				
<i>(millions of dollars)</i>				
	<u>FY 2021</u>	<u>FY 2022</u>	<u>FY 2023</u>	<u>FY 2024</u>
	<u>Enacted</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Budget Balance Reduction	8,980	9,050	9,300	9,300
Local Assistance	8,000	8,000	8,000	8,000
State Operations	<u>980</u>	<u>1,050</u>	<u>1,300</u>	<u>1,300</u>
Personal Service	525	540	640	640
Non-Personal Service	455	510	660	660

Glossary of Acronyms

AAA	Area Agencies on Aging
ABS	Asset-Backed Securities
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ATB	Across the Board
AXA	AXA Equitable Life Insurance Company
AY	Academic Year (July 1 through June 30) – SUNY/CUNY
BANs	Bond Anticipation Notes
BBA 19	Bipartisan Budget Act of 2019
BCG	Boston Consulting Group
BEA	Bureau of Economic Analysis
BLS	Bureau of Labor Statistics
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CARES Act	Coronavirus Aid, Relief, and Economic Security Act
CDPAP	Consumer Directed Personal Assistance Program
CFCO	Community First Choice Option
CFT	Corporate Franchise Tax
CFY	City Fiscal Year
CHP	Child Health Plus
CIGNA	Cigna Health and Life Insurance Company
CISO	Chief Information Security Office
CLA	Covered Lives Assessments
CMS	Centers for Medicare & Medicaid Services
COVID-19	Coronavirus Disease 2019
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	Calendar Year (January Through December 31)
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security & Emergency Services
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health

Glossary of Acronyms



DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EAF	Emergency Assistance to Needy Families
ECEP	Employer Compensation Expense Program
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
ESSHI	Empire State Supportive Housing Initiative
FA	Family Assistance
FEMA	Federal Emergency Management Agency
FFCRA	Families First Coronavirus Response Act
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 Through September 30)
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GASBS	Governmental Accounting Standards Board Statement
GDP	Gross Domestic Product
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
ICR	Institutional Cost Reports
IDEA	Individuals with Disabilities in Education Act
IMF	International Monetary Fund
IPCC	Intergovernmental Panel on Climate Change of the United Nations
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LFY	Local Fiscal Year
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MLF	Municipal Liquidity Facility
MRT	Medicaid Redesign Team
MRT II	Medicaid Redesign Team II



Glossary of Acronyms

MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
N-PCL	Not-for Profit Corporation Law
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Addiction Services and Supports
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFAC	Office of Foreign Assets Control
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QE	Quantitative Easing
RBS	RBS Financial Products Inc. (formally Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RGGI	Regional Greenhouse Gas Initiative
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SHIN-NY	Statewide Health Information Network for New York
SMI	Supplemental Medical Insurance
SNAP	Supplemental Nutrition Assistance Program
SOFA	State Office for the Aging

Glossary of Acronyms



SPVs	Special Purpose Vehicles
SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUNY	State University of New York
SUT	Sales and Use Tax
SY	School Year (July 1 through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal

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**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	22,450	22,008	23,508	25,181
Consumption/Use Taxes	6,934	7,462	7,686	7,922
Business Taxes	6,506	6,337	6,778	6,918
Other Taxes	1,115	1,047	1,097	1,148
Miscellaneous Receipts	6,373	1,750	1,773	1,811
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	19,152	20,560	21,644	23,145
ECEP in Excess of Revenue Bond Debt Service	2	3	3	4
Sales Tax in Excess of LGAC Bond Debt Service	3,063	3,414	3,530	3,651
Sales Tax in Excess of Revenue Bond Debt Service	1,987	2,177	2,251	2,372
Real Estate Taxes in Excess of CW/CA Debt Service	781	841	905	961
All Other	2,579	1,855	1,532	1,352
Total Receipts	<u>70,942</u>	<u>67,454</u>	<u>70,707</u>	<u>74,465</u>
Disbursements:				
Local Assistance	46,400	48,967	52,444	55,585
State Operations:				
Personal Service	9,058	8,996	9,059	9,199
Non-Personal Service	2,597	2,543	2,494	2,619
General State Charges	7,249	9,013	9,559	9,689
Transfers to Other Funds:				
Debt Service	1,810	488	501	553
Capital Projects	3,512	3,747	3,917	3,138
SUNY Operations	1,273	1,273	1,267	1,267
Other Purposes	1,270	1,407	1,484	1,590
Total Disbursements	<u>73,169</u>	<u>76,434</u>	<u>80,725</u>	<u>83,640</u>
Use (Reservation) of Fund Balance:				
Community Projects	16	0	0	0
Timing of Payments	1,313	0	0	0
Undesignated Fund Balance	553	548	0	0
Economic Uncertainties	(80)	0	0	0
Extraordinary Monetary Settlements	425	959	747	345
Total Use (Reservation) of Fund Balance	<u>2,227</u>	<u>1,507</u>	<u>747</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>(7,473)</u>	<u>(9,271)</u>	<u>(8,830)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2019 Results	FY 2020 Results	Annual \$ Change	Annual % Change
Opening Fund Balance	9,445	7,206	(2,239)	-23.7%
Receipts:				
Taxes:				
Personal Income Tax	21,621	24,646	3,025	14.0%
Consumption/Use Taxes	7,681	8,038	357	4.6%
Business Taxes	5,501	6,370	869	15.8%
Other Taxes	1,086	1,087	1	0.1%
Miscellaneous Receipts	3,586	3,159	(427)	-11.9%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	21,346	25,862	4,516	21.2%
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,113	3,417	304	9.8%
Sales Tax in Excess of Revenue Bond Debt Service	2,653	2,762	109	4.1%
Real Estate Taxes in Excess of CW/CA Debt Service	956	951	(5)	-0.5%
All Other	3,001	2,915	(86)	-2.9%
Total Receipts	70,544	79,207	8,663	12.3%
Disbursements:				
Local Assistance	49,745	51,863	2,118	4.3%
State Operations:				
Personal Service	8,719	8,940	221	2.5%
Non-Personal Service	2,622	3,114	492	18.8%
General State Charges	7,139	7,454	315	4.4%
Transfers to Other Funds:				
Debt Service	786	736	(50)	-6.4%
Capital Projects	1,888	3,128	1,240	65.7%
State Share of Mental Hygiene Medicaid	(29)	0	29	100.0%
SUNY Operations	1,020	1,179	159	15.6%
Other Purposes	893	1,055	162	18.1%
Total Disbursements	72,783	77,469	4,686	6.4%
Excess (Deficiency) of Receipts Over Disbursements	(2,239)	1,738	3,977	177.6%
Closing Fund Balance	7,206	8,944	1,738	24.1%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	790	1,218	428	
Contingency Reserve	21	21	0	
Community Projects	35	31	(4)	
Reserved For				
Labor Agreements	206	0	(206)	
Business Tax Refund	202	0	(202)	
Timing of Payments	0	1,313	1,313	
Undesignated Fund Balance	0	1,103	1,103	
Debt Management	500	500	0	
Economic Uncertainties	0	890	890	
Extraordinary Monetary Settlements	4,194	2,610	(1,584)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	7,206	8,944	1,738	24.1%
Receipts:				
Taxes:				
Personal Income Tax	24,646	22,450	(2,196)	-8.9%
Consumption/Use Taxes	8,038	6,934	(1,104)	-13.7%
Business Taxes	6,370	6,506	136	2.1%
Other Taxes	1,087	1,115	28	2.6%
Miscellaneous Receipts	3,159	6,373	3,214	101.7%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	25,862	19,152	(6,710)	-25.9%
ECEP in Excess of Revenue Bond Debt Service	0	2	2	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,417	3,063	(354)	-10.4%
Sales Tax in Excess of Revenue Bond Debt Service	2,762	1,987	(775)	-28.1%
Real Estate Taxes in Excess of CW/CA Debt Service	951	781	(170)	-17.9%
All Other	2,915	2,579	(336)	-11.5%
Total Receipts	79,207	70,942	(8,265)	-10.4%
Disbursements:				
Local Assistance	51,863	46,400	(5,463)	-10.5%
State Operations:				
Personal Service	8,940	9,058	118	1.3%
Non-Personal Service	3,114	2,597	(517)	-16.6%
General State Charges	7,454	7,249	(205)	-2.8%
Transfers to Other Funds:				
Debt Service	736	1,810	1,074	145.9%
Capital Projects	3,128	3,512	384	12.3%
SUNY Operations	1,179	1,273	94	8.0%
Other Purposes	1,055	1,270	215	20.4%
Total Disbursements	77,469	73,169	(4,300)	-5.6%
Excess (Deficiency) of Receipts Over Disbursements	1,738	(2,227)	(3,965)	-228.1%
Closing Fund Balance	8,944	6,717	(2,227)	-24.9%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	1,218	1,218	0	
Contingency Reserve	21	21	0	
Community Projects	31	15	(16)	
Reserved For				
Timing of Payments	1,313	0	(1,313)	
Undesignated Fund Balance	1,103	550	(553)	
Debt Management	500	500	0	
Economic Uncertainties	890	970	80	
Extraordinary Monetary Settlements	2,610	2,185	(425)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2020 Executive</u>	<u>Change</u>	<u>FY 2020 Results</u>
Receipts:			
Taxes:			
Personal Income Tax	24,333	313	24,646
Consumption/Use Taxes	8,123	(85)	8,038
Business Taxes	6,400	(30)	6,370
Other Taxes	1,112	(25)	1,087
Miscellaneous Receipts	2,979	180	3,159
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,917	945	25,862
ECEP in Excess of Revenue Bond Debt Service	1	(1)	0
Sales Tax in Excess of LGAC Bond Debt Service	3,441	(24)	3,417
Sales Tax in Excess of Revenue Bond Debt Service	2,994	(232)	2,762
Real Estate Taxes in Excess of CW/CA Debt Service	952	(1)	951
All Other	3,080	(165)	2,915
Total Receipts	<u>78,332</u>	<u>875</u>	<u>79,207</u>
Disbursements:			
Local Assistance	53,573	(1,710)	51,863
State Operations:			
Personal Service	9,065	(125)	8,940
Non-Personal Service	2,673	441	3,114
General State Charges	7,626	(172)	7,454
Transfers to Other Funds:			
Debt Service	517	219	736
Capital Projects	3,182	(54)	3,128
SUNY Operations	1,185	(6)	1,179
Other Purposes	1,190	(135)	1,055
Total Disbursements	<u>79,011</u>	<u>(1,542)</u>	<u>77,469</u>
Use (Reservation) of Fund Balance:			
Community Projects	35	(31)	4
Labor Agreements	206	0	206
Business Tax Refund	202	0	202
Timing of Payments	0	(1,313)	(1,313)
Undesignated Fund Balance	0	(1,103)	(1,103)
Rainy Day Reserves	(428)	0	(428)
Economic Uncertainties	(890)	0	(890)
Extraordinary Monetary Settlements	1,554	30	1,584
Total Use (Reservation) of Fund Balance	<u>679</u>	<u>(2,417)</u>	<u>(1,738)</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2020 Enacted</u>	<u>Change</u>	<u>FY 2020 Results</u>
Receipts:			
Taxes:			
Personal Income Tax	23,899	747	24,646
Consumption/Use Taxes	8,209	(171)	8,038
Business Taxes	6,104	266	6,370
Other Taxes	1,113	(26)	1,087
Miscellaneous Receipts	2,857	302	3,159
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,635	1,227	25,862
ECEP in Excess of Revenue Bond Debt Service	1	(1)	0
Sales Tax in Excess of LGAC Bond Debt Service	3,481	(64)	3,417
Sales Tax in Excess of Revenue Bond Debt Service	2,945	(183)	2,762
Real Estate Taxes in Excess of CW/CA Debt Service	973	(22)	951
All Other	2,900	15	2,915
Total Receipts	<u>77,117</u>	<u>2,090</u>	<u>79,207</u>
Disbursements:			
Local Assistance	52,100	(237)	51,863
State Operations:			
Personal Service	9,031	(91)	8,940
Non-Personal Service	2,880	234	3,114
General State Charges	7,716	(262)	7,454
Transfers to Other Funds:			
Debt Service	550	186	736
Capital Projects	3,191	(63)	3,128
SUNY Operations	1,185	(6)	1,179
Other Purposes	1,204	(149)	1,055
Total Disbursements	<u>77,857</u>	<u>(388)</u>	<u>77,469</u>
Use (Reservation) of Fund Balance:			
Community Projects	35	(31)	4
Labor Agreements	206	0	206
Business Tax Refund	202	0	202
Timing of Payments	0	(1,313)	(1,313)
Undesignated Fund Balance	0	(1,103)	(1,103)
Rainy Day Reserves	(428)	0	(428)
Economic Uncertainties	(829)	(61)	(890)
Extraordinary Monetary Settlements	1,554	30	1,584
Total Use (Reservation) of Fund Balance	<u>740</u>	<u>(2,478)</u>	<u>(1,738)</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Executive</u>	<u>Change</u>	<u>FY 2021 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	26,405	(3,955)	22,450
Consumption/Use Taxes	8,496	(1,562)	6,934
Business Taxes	7,228	(722)	6,506
Other Taxes	1,193	(78)	1,115
Miscellaneous Receipts	2,106	4,267	6,373
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	26,395	(7,243)	19,152
ECEP in Excess of Revenue Bond Debt Service	2	0	2
Sales Tax in Excess of LGAC Bond Debt Service	3,662	(599)	3,063
Sales Tax in Excess of Revenue Bond Debt Service	2,651	(664)	1,987
Real Estate Taxes in Excess of CW/CA Debt Service	974	(193)	781
All Other	2,186	393	2,579
Total Receipts	<u>81,298</u>	<u>(10,356)</u>	<u>70,942</u>
Disbursements:			
Local Assistance	54,775	(8,375)	46,400
State Operations:			
Personal Service	9,559	(501)	9,058
Non-Personal Service	3,027	(430)	2,597
General State Charges	7,910	(661)	7,249
Transfers to Other Funds:			
Debt Service	570	1,240	1,810
Capital Projects	3,535	(23)	3,512
SUNY Operations	1,273	0	1,273
Other Purposes	1,272	(2)	1,270
Total Disbursements	<u>81,921</u>	<u>(8,752)</u>	<u>73,169</u>
Use (Reservation) of Fund Balance:			
Community Projects	0	16	16
Timing of Payments	0	1,313	1,313
Undesignated Fund Balance	0	553	553
Reserve for Economic Uncertainties	0	(80)	(80)
Extraordinary Monetary Settlements	623	(198)	425
Total Use (Reservation) of Fund Balance	<u>623</u>	<u>1,604</u>	<u>2,227</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2022 Executive</u>	<u>Change</u>	<u>FY 2022 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	27,834	(5,826)	22,008
Consumption/Use Taxes	8,766	(1,304)	7,462
Business Taxes	7,110	(773)	6,337
Other Taxes	1,250	(203)	1,047
Miscellaneous Receipts	1,957	(207)	1,750
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	26,425	(5,865)	20,560
ECEP in Excess of Revenue Bond Debt Service	4	(1)	3
Sales Tax in Excess of LGAC Bond Debt Service	3,909	(495)	3,414
Sales Tax in Excess of Revenue Bond Debt Service	2,801	(624)	2,177
Real Estate Taxes in Excess of CW/CA Debt Service	1,014	(173)	841
All Other	1,780	75	1,855
Total Receipts	<u>82,850</u>	<u>(15,396)</u>	<u>67,454</u>
Disbursements:			
Local Assistance	57,667	(8,700)	48,967
State Operations:			
Personal Service	9,513	(517)	8,996
Non-Personal Service	3,011	(468)	2,543
General State Charges	8,654	359	9,013
Transfers to Other Funds:			
Debt Service	524	(36)	488
Capital Projects	3,782	(35)	3,747
SUNY Operations	1,273	0	1,273
Other Purposes	1,406	1	1,407
Total Disbursements	<u>85,830</u>	<u>(9,396)</u>	<u>76,434</u>
Use (Reservation) of Fund Balance:			
Undesignated Fund Balance	0	548	548
Extraordinary Monetary Settlements	1,041	(82)	959
Total Use (Reservation) of Fund Balance	<u>1,041</u>	<u>466</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(1,939)</u>	<u>(5,534)</u>	<u>(7,473)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2023 Executive</u>	<u>Change</u>	<u>FY 2023 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	29,234	(5,726)	23,508
Consumption/Use Taxes	9,042	(1,356)	7,686
Business Taxes	7,420	(642)	6,778
Other Taxes	1,307	(210)	1,097
Miscellaneous Receipts	1,929	(156)	1,773
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	27,460	(5,816)	21,644
ECEP in Excess of Revenue Bond Debt Service	4	(1)	3
Sales Tax in Excess of LGAC Bond Debt Service	4,088	(558)	3,530
Sales Tax in Excess of Revenue Bond Debt Service	2,866	(615)	2,251
Real Estate Taxes in Excess of CW/CA Debt Service	1,063	(158)	905
All Other	1,614	(82)	1,532
Total Receipts	<u>86,027</u>	<u>(15,320)</u>	<u>70,707</u>
Disbursements:			
Local Assistance	60,965	(8,521)	52,444
State Operations:			
Personal Service	9,675	(616)	9,059
Non-Personal Service	3,111	(617)	2,494
General State Charges	9,183	376	9,559
Transfers to Other Funds:			
Debt Service	537	(36)	501
Capital Projects	3,823	94	3,917
SUNY Operations	1,267	0	1,267
Other Purposes	1,484	0	1,484
Total Disbursements	<u>90,045</u>	<u>(9,320)</u>	<u>80,725</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	705	42	747
Total Use (Reservation) of Fund Balance	<u>705</u>	<u>42</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(3,313)</u>	<u>(5,958)</u>	<u>(9,271)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2024 Executive</u>	<u>Change</u>	<u>FY 2024 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	30,718	(5,537)	25,181
Consumption/Use Taxes	9,361	(1,439)	7,922
Business Taxes	7,612	(694)	6,918
Other Taxes	1,369	(221)	1,148
Miscellaneous Receipts	1,918	(107)	1,811
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	28,802	(5,657)	23,145
ECEP in Excess of Revenue Bond Debt Service	4	0	4
Sales Tax in Excess of LGAC Bond Debt Service	4,291	(640)	3,651
Sales Tax in Excess of Revenue Bond Debt Service	2,985	(613)	2,372
Real Estate Taxes in Excess of CW/CA Debt Service	1,111	(150)	961
All Other	1,441	(89)	1,352
Total Receipts	<u>89,612</u>	<u>(15,147)</u>	<u>74,465</u>
Disbursements:			
Local Assistance	64,022	(8,437)	55,585
State Operations:			
Personal Service	9,814	(615)	9,199
Non-Personal Service	3,237	(618)	2,619
General State Charges	9,627	62	9,689
Transfers to Other Funds:			
Debt Service	584	(31)	553
Capital Projects	2,975	163	3,138
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,267	0	1,267
Other Purposes	1,589	1	1,590
Total Disbursements	<u>93,115</u>	<u>(9,475)</u>	<u>83,640</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	237	108	345
Total Use (Reservation) of Fund Balance	<u>237</u>	<u>108</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(3,266)</u>	<u>(5,564)</u>	<u>(8,830)</u>

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2021	FY 2022	FY 2023	FY 2024
	Enacted	Projected	Projected	Projected
Taxes:				
Withholdings	38,752	42,570	44,344	46,269
Estimated Payments	15,669	12,951	14,091	15,438
Final Payments	3,608	2,882	3,164	3,431
Other Payments	1,611	1,682	1,731	1,783
Gross Collections	59,640	60,085	63,330	66,921
State/City Offset	(1,274)	(1,399)	(1,524)	(1,649)
Refunds	(9,320)	(10,710)	(11,074)	(11,410)
Reported Tax Collections	49,046	47,976	50,732	53,862
STAR (Dedicated Deposits)	(2,073)	(1,980)	(1,858)	(1,750)
RBTF (Dedicated Transfers)	(24,523)	(23,988)	(25,366)	(26,931)
Personal Income Tax	22,450	22,008	23,508	25,181
Sales and Use Tax	12,584	13,654	14,118	14,604
Cigarette and Tobacco Taxes	296	305	295	285
Vapor Excise Tax	0	0	0	0
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	266	264	266	269
Opioid Excise Tax	80	66	66	66
Medical Cannabis Excise Tax	0	0	0	0
Adult Use Cannabis Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	13,226	14,289	14,745	15,224
LGAC/STBF (Dedicated Transfers)	(6,292)	(6,827)	(7,059)	(7,302)
Consumption/Use Taxes	6,934	7,462	7,686	7,922
Corporation Franchise Tax	3,882	3,852	4,228	4,309
Corporation and Utilities Tax	470	463	477	481
Insurance Taxes	1,929	2,022	2,073	2,128
Bank Tax	225	0	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	6,506	6,337	6,778	6,918
Estate Tax	1,100	1,028	1,077	1,128
Real Estate Transfer Tax	949	1,004	1,061	1,114
Employer Compensation Expense Program	4	6	7	7
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	11	14	14	14
Other Taxes	2	2	2	3
Gross Other Taxes	2,066	2,054	2,161	2,266
Real Estate Transfer Tax (Dedicated)	(949)	(1,004)	(1,061)	(1,114)
RBTF (Dedicated Transfers)	(2)	(3)	(3)	(4)
Other Taxes	1,115	1,047	1,097	1,148
Payroll Tax	0	0	0	0
Total Taxes	37,005	36,854	39,069	41,169
Licenses, Fees, Etc.	378	478	528	578
Abandoned Property	450	450	450	450
Motor Vehicle Fees	331	246	238	238
ABC License Fee	60	68	65	64
Reimbursements	124	70	70	66
Investment Income	78	43	27	20
Extraordinary Settlements	80	0	0	0
Other Transactions	4,872	395	395	395
Miscellaneous Receipts	6,373	1,750	1,773	1,811
Federal Receipts	0	0	0	0
Total	43,378	38,604	40,842	42,980

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2020 Results	FY 2021 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	43,118	38,752	(4,366)	-10.1%
Estimated Payments	17,025	15,669	(1,356)	-8.0%
Final Payments	3,454	3,608	154	4.5%
Other Payments	1,388	1,611	223	16.1%
Gross Collections	64,985	59,640	(5,345)	-8.2%
State/City Offset	(1,117)	(1,274)	(157)	-14.1%
Refunds	(10,208)	(9,320)	888	8.7%
Reported Tax Collections	53,660	49,046	(4,614)	-8.6%
STAR (Dedicated Deposits)	(2,184)	(2,073)	111	5.1%
RBTF (Dedicated Transfers)	(26,830)	(24,523)	2,307	8.6%
Personal Income Tax	24,646	22,450	(2,196)	-8.9%
Sales and Use Tax	14,884	12,584	(2,300)	-15.5%
Cigarette and Tobacco Taxes	313	296	(17)	-5.4%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	259	266	7	2.7%
Opioid Excise Tax	19	80	61	321.1%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	15,475	13,226	(2,249)	-14.5%
LGAC/STBF (Dedicated Transfers)	(7,437)	(6,292)	1,145	15.4%
Consumption/Use Taxes	8,038	6,934	(1,104)	-13.7%
Corporation Franchise Tax	3,791	3,882	91	2.4%
Corporation and Utilities Tax	518	470	(48)	-9.3%
Insurance Taxes	2,053	1,929	(124)	-6.0%
Bank Tax	8	225	217	2712.5%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	6,370	6,506	136	2.1%
Estate Tax	1,070	1,100	30	2.8%
Real Estate Transfer Tax	1,124	949	(175)	-15.6%
Employer Compensation Expense Program	2	4	2	100.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	14	11	(3)	-21.4%
Other Taxes	2	2	0	0.0%
Gross Other Taxes	2,212	2,066	(146)	-6.6%
Real Estate Transfer Tax (Dedicated)	(1,124)	(949)	175	15.6%
RBTF (Dedicated Transfers)	(1)	(2)	(1)	-100.0%
Other Taxes	1,087	1,115	28	2.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	40,141	37,005	(3,136)	-7.8%
Licenses, Fees, Etc.	697	378	(319)	-45.8%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	342	331	(11)	-3.2%
ABC License Fee	73	60	(13)	-17.8%
Reimbursements	214	124	(90)	-42.1%
Investment Income	174	78	(96)	-55.2%
Extraordinary Settlements	787	80	(707)	-89.8%
Other Transactions	422	4,872	4,450	1054.5%
Miscellaneous Receipts	3,159	6,373	3,214	101.7%
Federal Receipts	0	0	0	0.0%
Total	43,300	43,378	78	0.2%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	9,445	4,009	153	13,607
Receipts:				
Taxes	35,889	6,121	32,134	74,144
Miscellaneous Receipts	3,586	19,466	433	23,485
Federal Receipts	0	(1)	74	73
Total Receipts	39,475	25,586	32,641	97,702
Disbursements:				
Local Assistance	49,745	16,432	0	66,177
State Operations:				
Personal Service	8,719	4,968	0	13,687
Non-Personal Service	2,622	2,710	38	5,370
General State Charges	7,139	1,065	0	8,204
Debt Service	0	0	6,699	6,699
Capital Projects	0	0	0	0
Total Disbursements	68,225	25,175	6,737	100,137
Other Financing Sources (Uses):				
Transfers from Other Funds	31,069	1,906	3,537	36,512
Transfers to Other Funds	(4,558)	(1,235)	(29,529)	(35,322)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	26,511	671	(25,992)	1,190
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,239)	1,082	(88)	(1,245)
Closing Fund Balance	7,206	5,091	65	12,362

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>65</u>	<u>12,362</u>
Receipts:				
Taxes	40,141	6,059	35,272	81,472
Miscellaneous Receipts	3,159	19,064	477	22,700
Federal Receipts	<u>0</u>	<u>(13)</u>	<u>74</u>	<u>61</u>
Total Receipts	<u>43,300</u>	<u>25,110</u>	<u>35,823</u>	<u>104,233</u>
Disbursements:				
Local Assistance	51,863	16,789	0	68,652
State Operations:				
Personal Service	8,940	5,150	0	14,090
Non-Personal Service	3,114	2,928	36	6,078
General State Charges	7,454	969	0	8,423
Debt Service	0	0	4,916	4,916
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>71,371</u>	<u>25,836</u>	<u>4,952</u>	<u>102,159</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	35,907	2,269	3,742	41,918
Transfers to Other Funds	(6,098)	(1,233)	(34,615)	(41,946)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>1,036</u>	<u>(30,873)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>310</u>	<u>(2)</u>	<u>2,046</u>
Closing Fund Balance	<u>8,944</u>	<u>5,401</u>	<u>63</u>	<u>14,408</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>8,944</u>	<u>5,401</u>	<u>63</u>	<u>14,408</u>
Receipts:				
Taxes	37,005	5,630	31,647	74,282
Miscellaneous Receipts	6,373	15,636	381	22,390
Federal Receipts	<u>0</u>	<u>(23)</u>	<u>74</u>	<u>51</u>
Total Receipts	<u>43,378</u>	<u>21,243</u>	<u>32,102</u>	<u>96,723</u>
Disbursements:				
Local Assistance	46,400	15,260	0	61,660
State Operations:				
Personal Service	9,058	5,058	0	14,116
Non-Personal Service	2,597	2,350	44	4,991
General State Charges	7,249	1,047	0	8,296
Debt Service	0	0	10,338	10,338
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>65,304</u>	<u>23,715</u>	<u>10,382</u>	<u>99,401</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	27,564	2,535	4,686	34,785
Transfers to Other Funds	(7,865)	(798)	(26,413)	(35,076)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>19,699</u>	<u>1,737</u>	<u>(21,727)</u>	<u>(291)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,227)</u>	<u>(735)</u>	<u>(7)</u>	<u>(2,969)</u>
Closing Fund Balance	<u>6,717</u>	<u>4,666</u>	<u>56</u>	<u>11,439</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	36,854	5,630	31,703	74,187
Miscellaneous Receipts	1,750	16,172	385	18,307
Federal Receipts	0	(20)	72	52
Total Receipts	38,604	21,782	32,160	92,546
Disbursements:				
Local Assistance	48,967	15,719	0	64,686
State Operations:				
Personal Service	8,996	5,080	0	14,076
Non-Personal Service	2,543	2,453	43	5,039
General State Charges	9,013	1,140	0	10,153
Debt Service	0	0	6,939	6,939
Capital Projects	0	0	0	0
Total Disbursements	69,519	24,392	6,982	100,893
Other Financing Sources (Uses):				
Transfers from Other Funds	28,850	2,666	3,149	34,665
Transfers to Other Funds	(6,915)	(196)	(28,322)	(35,433)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	21,935	2,470	(25,173)	(768)
Use (Reservation) of Fund Balance:				
Undesignated Fund Balance	548	0	0	548
Extraordinary Monetary Settlements	959	0	0	959
Total Use (Reservation) of Fund Balance	1,507	0	0	1,507
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	(7,473)	(140)	5	(7,608)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	39,069	5,601	33,370	78,040
Miscellaneous Receipts	1,773	15,592	384	17,749
Federal Receipts	0	(18)	69	51
Total Receipts	<u>40,842</u>	<u>21,175</u>	<u>33,823</u>	<u>95,840</u>
Disbursements:				
Local Assistance	52,444	15,245	0	67,689
State Operations:				
Personal Service	9,059	5,149	0	14,208
Non-Personal Service	2,494	2,425	43	4,962
General State Charges	9,559	1,169	0	10,728
Debt Service	0	0	7,357	7,357
Capital Projects	0	0	0	0
Total Disbursements	<u>73,556</u>	<u>23,988</u>	<u>7,400</u>	<u>104,944</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	29,865	2,741	3,143	35,749
Transfers to Other Funds	(7,169)	(103)	(29,562)	(36,834)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>22,696</u>	<u>2,638</u>	<u>(26,419)</u>	<u>(1,085)</u>
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	747	0	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,271)</u>	<u>(175)</u>	<u>4</u>	<u>(9,442)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2024
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	41,169	5,552	35,232	81,953
Miscellaneous Receipts	1,811	15,117	384	17,312
Federal Receipts	0	(17)	66	49
Total Receipts	42,980	20,652	35,682	99,314
Disbursements:				
Local Assistance	55,585	14,936	0	70,521
State Operations:				
Personal Service	9,199	5,160	0	14,359
Non-Personal Service	2,619	2,371	43	5,033
General State Charges	9,689	1,154	0	10,843
Debt Service	0	0	7,550	7,550
Capital Projects	0	0	0	0
Total Disbursements	77,092	23,621	7,593	108,306
Other Financing Sources (Uses):				
Transfers from Other Funds	31,485	2,854	3,188	37,527
Transfers to Other Funds	(6,548)	(24)	(31,257)	(37,829)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	24,937	2,830	(28,069)	(302)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	345	0	0	345
Total Use (Reservation) of Fund Balance	345	0	0	345
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	(8,830)	(139)	20	(8,949)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)

	FY 2020 Results	FY 2021 Enacted	Annual \$ Change	Annual % Change
Opening Fund Balance	12,362	14,408	2,046	16.6%
Receipts:				
Taxes	81,472	74,282	(7,190)	-8.8%
Miscellaneous Receipts	22,700	22,390	(310)	-1.4%
Federal Receipts	61	51	(10)	-16.4%
Total Receipts	104,233	96,723	(7,510)	-7.2%
Disbursements:				
Local Assistance	68,652	61,660	(6,992)	-10.2%
State Operations:				
Personal Service	14,090	14,116	26	0.2%
Non-Personal Service	6,078	4,991	(1,087)	-17.9%
General State Charges	8,423	8,296	(127)	-1.5%
Debt Service	4,916	10,338	5,422	110.3%
Capital Projects	0	0	0	0.0%
Total Disbursements	102,159	99,401	(2,758)	-2.7%
Other Financing Sources (Uses):				
Transfers from Other Funds	41,918	34,785	(7,133)	-17.0%
Transfers to Other Funds	(41,946)	(35,076)	6,870	16.4%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	(28)	(291)	(263)	-939.3%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,046	(2,969)	(5,015)	-245.1%
Closing Fund Balance	14,408	11,439	(2,969)	-20.6%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)

	<u>FY 2020</u> <u>Executive</u>	<u>Change</u>	<u>FY 2020</u> <u>Results</u>
Opening Fund Balance	12,362	0	12,362
Receipts:			
Taxes	80,950	522	81,472
Miscellaneous Receipts	21,760	940	22,700
Federal Receipts	75	(14)	61
Total Receipts	102,785	1,448	104,233
Disbursements:			
Local Assistance	70,324	(1,672)	68,652
State Operations:			
Personal Service	14,289	(199)	14,090
Non-Personal Service	5,422	656	6,078
General State Charges	8,681	(258)	8,423
Debt Service	5,166	(250)	4,916
Capital Projects	0	0	0
Total Disbursements	103,882	(1,723)	102,159
Other Financing Sources (Uses):			
Transfers from Other Funds	41,399	519	41,918
Transfers to Other Funds	(41,304)	(642)	(41,946)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	95	(123)	(28)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,002)	3,048	2,046
Closing Fund Balance	11,360	3,048	14,408

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)

	<u>FY 2020</u> <u>Enacted</u>	<u>Change</u>	<u>FY 2020</u> <u>Results</u>
Opening Fund Balance	12,362	0	12,362
Receipts:			
Taxes	79,885	1,587	81,472
Miscellaneous Receipts	20,958	1,742	22,700
Federal Receipts	75	(14)	61
Total Receipts	100,918	3,315	104,233
Disbursements:			
Local Assistance	68,471	181	68,652
State Operations:			
Personal Service	14,192	(102)	14,090
Non-Personal Service	5,492	586	6,078
General State Charges	8,796	(373)	8,423
Debt Service	5,166	(250)	4,916
Capital Projects	0	0	0
Total Disbursements	102,117	42	102,159
Other Financing Sources (Uses):			
Transfers from Other Funds	40,791	1,127	41,918
Transfers to Other Funds	(40,920)	(1,026)	(41,946)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	(129)	101	(28)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,328)	3,374	2,046
Closing Fund Balance	11,034	3,374	14,408

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	<u>9,445</u>	<u>4,302</u>	<u>(1,151)</u>	<u>153</u>	<u>12,749</u>
Receipts:					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,668	7,497	433	31,184
Federal Receipts	0	58,920	2,350	74	61,344
Total Receipts	<u>39,475</u>	<u>84,709</u>	<u>11,281</u>	<u>32,641</u>	<u>168,106</u>
Disbursements:					
Local Assistance	49,745	72,453	5,234	0	127,432
State Operations:					
Personal Service	8,719	5,605	0	0	14,324
Non-Personal Service	2,622	4,104	0	38	6,764
General State Charges	7,139	1,485	0	0	8,624
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	7,032	0	7,032
Total Disbursements	<u>68,225</u>	<u>83,647</u>	<u>12,266</u>	<u>6,737</u>	<u>170,875</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	31,069	1,906	2,219	3,537	38,731
Transfers to Other Funds	(4,558)	(3,428)	(1,354)	(29,529)	(38,869)
Bond and Note Proceeds	0	0	133	0	133
Net Other Financing Sources (Uses)	<u>26,511</u>	<u>(1,522)</u>	<u>998</u>	<u>(25,992)</u>	<u>(5)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,239)</u>	<u>(460)</u>	<u>13</u>	<u>(88)</u>	<u>(2,774)</u>
Closing Fund Balance	<u>7,206</u>	<u>3,842</u>	<u>(1,138)</u>	<u>65</u>	<u>9,975</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	7,206	3,842	(1,138)	65	9,975
Receipts:					
Taxes	40,141	6,059	1,417	35,272	82,889
Miscellaneous Receipts	3,159	19,279	6,551	477	29,466
Federal Receipts	0	62,897	2,109	74	65,080
Total Receipts	<u>43,300</u>	<u>88,235</u>	<u>10,077</u>	<u>35,823</u>	<u>177,435</u>
Disbursements:					
Local Assistance	51,863	73,242	5,013	0	130,118
State Operations:					
Personal Service	8,940	5,787	0	0	14,727
Non-Personal Service	3,114	4,327	0	36	7,477
General State Charges	7,454	1,303	0	0	8,757
Debt Service	0	0	0	4,916	4,916
Capital Projects	0	0	6,986	0	6,986
Total Disbursements	<u>71,371</u>	<u>84,659</u>	<u>11,999</u>	<u>4,952</u>	<u>172,981</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,907	2,269	3,547	3,742	45,465
Transfers to Other Funds	(6,098)	(3,375)	(1,522)	(34,615)	(45,610)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>(1,106)</u>	<u>2,025</u>	<u>(30,873)</u>	<u>(145)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>2,470</u>	<u>103</u>	<u>(2)</u>	<u>4,309</u>
Closing Fund Balance	<u>8,944</u>	<u>6,312</u>	<u>(1,035)</u>	<u>63</u>	<u>14,284</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,944	6,312	(1,035)	63	14,284
Receipts:					
Taxes	37,005	5,630	1,261	31,647	75,543
Miscellaneous Receipts	6,373	15,866	8,049	381	30,669
Federal Receipts	0	70,577	2,182	74	72,833
Total Receipts	43,378	92,073	11,492	32,102	179,045
Disbursements:					
Local Assistance	46,400	76,045	4,982	0	127,427
State Operations:					
Personal Service	9,058	5,731	0	0	14,789
Non-Personal Service	2,597	3,792	0	44	6,433
General State Charges	7,249	1,397	0	0	8,646
Debt Service	0	133	0	10,338	10,471
Capital Projects	0	0	9,752	0	9,752
Total Disbursements	65,304	87,098	14,734	10,382	177,518
Other Financing Sources (Uses):					
Transfers from Other Funds	27,564	2,535	3,904	4,686	38,689
Transfers to Other Funds	(7,865)	(2,852)	(1,514)	(26,413)	(38,644)
Bond and Note Proceeds	0	0	850	0	850
Net Other Financing Sources (Uses)	19,699	(317)	3,240	(21,727)	895
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,227)	4,658	(2)	(7)	2,422
Closing Fund Balance	6,717	10,970	(1,037)	56	16,706

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	36,854	5,630	1,360	31,703	75,547
Miscellaneous Receipts	1,750	16,399	7,325	385	25,859
Federal Receipts	0	65,134	2,213	72	67,419
Total Receipts	<u>38,604</u>	<u>87,163</u>	<u>10,898</u>	<u>32,160</u>	<u>168,825</u>
Disbursements:					
Local Assistance	48,967	76,017	4,667	0	129,651
State Operations:					
Personal Service	8,996	5,754	0	0	14,750
Non-Personal Service	2,543	3,919	0	43	6,505
General State Charges	9,013	1,514	0	0	10,527
Debt Service	0	0	0	6,939	6,939
Capital Projects	0	0	9,556	0	9,556
Total Disbursements	<u>69,519</u>	<u>87,204</u>	<u>14,223</u>	<u>6,982</u>	<u>177,928</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	28,850	2,666	4,121	3,149	38,786
Transfers to Other Funds	(6,915)	(2,178)	(1,415)	(28,322)	(38,830)
Bond and Note Proceeds	0	0	488	0	488
Net Other Financing Sources (Uses)	<u>21,935</u>	<u>488</u>	<u>3,194</u>	<u>(25,173)</u>	<u>444</u>
Use (Reservation) of Fund Balance:					
Undesignated Fund Balance	548	0	0	0	548
Extraordinary Monetary Settlements	959	0	0	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(7,473)</u>	<u>447</u>	<u>(131)</u>	<u>5</u>	<u>(7,152)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	39,069	5,601	1,357	33,370	79,397
Miscellaneous Receipts	1,773	15,819	6,467	384	24,443
Federal Receipts	0	66,478	2,214	69	68,761
Total Receipts	<u>40,842</u>	<u>87,898</u>	<u>10,038</u>	<u>33,823</u>	<u>172,601</u>
Disbursements:					
Local Assistance	52,444	76,777	4,356	0	133,577
State Operations:					
Personal Service	9,059	5,826	0	0	14,885
Non-Personal Service	2,494	3,892	0	43	6,429
General State Charges	9,559	1,544	0	0	11,103
Debt Service	0	0	0	7,357	7,357
Capital Projects	0	0	9,046	0	9,046
Total Disbursements	<u>73,556</u>	<u>88,039</u>	<u>13,402</u>	<u>7,400</u>	<u>182,397</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	29,865	2,741	4,308	3,143	40,057
Transfers to Other Funds	(7,169)	(1,975)	(1,542)	(29,562)	(40,248)
Bond and Note Proceeds	0	0	513	0	513
Net Other Financing Sources (Uses)	<u>22,696</u>	<u>766</u>	<u>3,279</u>	<u>(26,419)</u>	<u>322</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	747	0	0	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,271)</u>	<u>625</u>	<u>(85)</u>	<u>4</u>	<u>(8,727)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	41,169	5,552	1,352	35,232	83,305
Miscellaneous Receipts	1,811	15,344	6,433	384	23,972
Federal Receipts	0	68,497	2,186	66	70,749
Total Receipts	<u>42,980</u>	<u>89,393</u>	<u>9,971</u>	<u>35,682</u>	<u>178,026</u>
Disbursements:					
Local Assistance	55,585	78,237	3,817	0	137,639
State Operations:					
Personal Service	9,199	5,839	0	0	15,038
Non-Personal Service	2,619	3,846	0	43	6,508
General State Charges	9,689	1,530	0	0	11,219
Debt Service	0	0	0	7,550	7,550
Capital Projects	0	0	8,498	0	8,498
Total Disbursements	<u>77,092</u>	<u>89,452</u>	<u>12,315</u>	<u>7,593</u>	<u>186,452</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	31,485	2,854	3,514	3,188	41,041
Transfers to Other Funds	(6,548)	(1,877)	(1,551)	(31,257)	(41,233)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	<u>24,937</u>	<u>977</u>	<u>2,376</u>	<u>(28,069)</u>	<u>221</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	345	0	0	0	345
Total Use (Reservation) of Fund Balance	<u>345</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,830)</u>	<u>918</u>	<u>32</u>	<u>20</u>	<u>(7,860)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 Enacted	Annual \$ Change	Annual % Change
Opening Fund Balance	9,975	14,284	4,309	43.2%
Receipts:				
Taxes	82,889	75,543	(7,346)	-8.9%
Miscellaneous Receipts	29,466	30,669	1,203	4.1%
Federal Receipts	65,080	72,833	7,753	11.9%
Total Receipts	177,435	179,045	1,610	0.9%
Disbursements:				
Local Assistance	130,118	127,427	(2,691)	-2.1%
State Operations:				
Personal Service	14,727	14,789	62	0.4%
Non-Personal Service	7,477	6,433	(1,044)	-14.0%
General State Charges	8,757	8,646	(111)	-1.3%
Debt Service	4,916	10,471	5,555	113.0%
Capital Projects	6,986	9,752	2,766	39.6%
Total Disbursements	172,981	177,518	4,537	2.6%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,465	38,689	(6,776)	-14.9%
Transfers to Other Funds	(45,610)	(38,644)	6,966	15.3%
Bond and Note Proceeds	0	850	850	0.0%
Net Other Financing Sources (Uses)	(145)	895	1,040	717.2%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	4,309	2,422	(1,887)	-43.8%
Closing Fund Balance	14,284	16,706	2,422	17.0%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	<u>FY 2020 Executive</u>	<u>Change</u>	<u>FY 2020 Results</u>
Opening Fund Balance	9,975	0	9,975
Receipts:			
Taxes	82,390	499	82,889
Miscellaneous Receipts	29,701	(235)	29,466
Federal Receipts	66,162	(1,082)	65,080
Total Receipts	178,253	(818)	177,435
Disbursements:			
Local Assistance	131,441	(1,323)	130,118
State Operations:			
Personal Service	14,925	(198)	14,727
Non-Personal Service	6,863	614	7,477
General State Charges	9,007	(250)	8,757
Debt Service	5,166	(250)	4,916
Capital Projects	8,507	(1,521)	6,986
Total Disbursements	175,909	(2,928)	172,981
Other Financing Sources (Uses):			
Transfers from Other Funds	44,935	530	45,465
Transfers to Other Funds	(45,080)	(530)	(45,610)
Bond and Note Proceeds	389	(389)	0
Net Other Financing Sources (Uses)	244	(389)	(145)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,588	1,721	4,309
Closing Fund Balance	12,563	1,721	14,284

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	<u>FY 2020 Enacted</u>	<u>Change</u>	<u>FY 2020 Results</u>
Opening Fund Balance	9,975	0	9,975
Receipts:			
Taxes	81,305	1,584	82,889
Miscellaneous Receipts	29,013	453	29,466
Federal Receipts	64,794	286	65,080
Total Receipts	175,112	2,323	177,435
Disbursements:			
Local Assistance	132,530	(2,412)	130,118
State Operations:			
Personal Service	14,846	(119)	14,727
Non-Personal Service	6,939	538	7,477
General State Charges	9,133	(376)	8,757
Debt Service	5,166	(250)	4,916
Capital Projects	8,413	(1,427)	6,986
Total Disbursements	177,027	(4,046)	172,981
Other Financing Sources (Uses):			
Transfers from Other Funds	44,335	1,130	45,465
Transfers to Other Funds	(44,497)	(1,113)	(45,610)
Bond and Note Proceeds	444	(444)	0
Net Other Financing Sources (Uses)	282	(427)	(145)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,633)	5,942	4,309
Closing Fund Balance	8,342	5,942	14,284

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,118	0	0	0	43,118
Estimated Payments	17,025	0	0	0	17,025
Final Payments	3,454	0	0	0	3,454
Other Payments	1,388	0	0	0	1,388
Gross Collections	64,985	0	0	0	64,985
State/City Offset	(1,117)	0	0	0	(1,117)
Refunds	(10,208)	0	0	0	(10,208)
Reported Tax Collections	53,660	0	0	0	53,660
STAR (Dedicated Deposits)	(2,184)	2,184	0	0	0
RBTF (Dedicated Transfers)	(26,830)	0	0	26,830	0
Personal Income Tax	24,646	2,184	0	26,830	53,660
Sales and Use Tax	14,884	1,049	0	0	15,933
Cigarette and Tobacco Taxes	313	722	0	0	1,035
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	108	404	0	512
Alcoholic Beverage Taxes	259	0	0	0	259
Opioid Excise Tax	19	0	0	0	19
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	141	0	142
Auto Rental Tax	0	20	86	0	106
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,475	1,916	631	0	18,022
LGAC/STBF (Dedicated Transfers)	(7,437)	0	0	7,436	(1)
Consumption/Use Taxes	8,038	1,916	631	7,436	18,021
Corporation Franchise Tax	3,791	1,033	0	0	4,824
Corporation and Utilities Tax	518	172	15	0	705
Insurance Taxes	2,053	253	0	0	2,306
Bank Tax	8	(8)	0	0	0
Petroleum Business Tax	0	509	652	0	1,161
Business Taxes	6,370	1,959	667	0	8,996
Estate Tax	1,070	0	0	0	1,070
Real Estate Transfer Tax	1,124	0	0	0	1,124
Employer Compensation Expense Program	2	0	0	0	2
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,212	0	0	0	2,212
Real Estate Transfer Tax (Dedicated)	(1,124)	0	119	1,005	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
Other Taxes	1,087	0	119	1,006	2,212
Payroll Tax	0	0	0	0	0
Total Taxes	40,141	6,059	1,417	35,272	82,889
Licenses, Fees, Etc.	697	0	0	0	697
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	342	208	806	0	1,356
ABC License Fee	73	0	0	0	73
Reimbursements	214	0	0	0	214
Investment Income	174	0	0	0	174
Extraordinary Settlements	787	0	0	0	787
Other Transactions	422	19,071	5,745	477	25,715
Miscellaneous Receipts	3,159	19,279	6,551	477	29,466
Federal Receipts	0	62,897	2,109	74	65,080
Total	43,300	88,235	10,077	35,823	177,435

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	38,752	0	0	0	38,752
Estimated Payments	15,669	0	0	0	15,669
Final Payments	3,608	0	0	0	3,608
Other Payments	1,611	0	0	0	1,611
Gross Collections	59,640	0	0	0	59,640
State/City Offset	(1,274)	0	0	0	(1,274)
Refunds	(9,320)	0	0	0	(9,320)
Reported Tax Collections	49,046	0	0	0	49,046
STAR (Dedicated Deposits)	(2,073)	2,073	0	0	0
RBTF (Dedicated Transfers)	(24,523)	0	0	24,523	0
Personal Income Tax	22,450	2,073	0	24,523	49,046
Sales and Use Tax	12,584	879	0	0	13,463
Cigarette and Tobacco Taxes	296	675	0	0	971
Vapor Excise Tax	0	14	0	0	14
Motor Fuel Tax	0	96	358	0	454
Alcoholic Beverage Taxes	266	0	0	0	266
Opioid Excise Tax	80	0	0	0	80
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	131	0	131
Auto Rental Tax	0	21	68	0	89
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	13,226	1,691	557	0	15,474
LGAC/STBF (Dedicated Transfers)	(6,292)	0	0	6,292	0
Consumption/Use Taxes	6,934	1,691	557	6,292	15,474
Corporation Franchise Tax	3,882	986	0	0	4,868
Corporation and Utilities Tax	470	156	14	0	640
Insurance Taxes	1,929	236	0	0	2,165
Bank Tax	225	45	0	0	270
Petroleum Business Tax	0	443	571	0	1,014
Business Taxes	6,506	1,866	585	0	8,957
Estate Tax	1,100	0	0	0	1,100
Real Estate Transfer Tax	949	0	0	0	949
Employer Compensation Expense Program	4	0	0	0	4
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	11	0	0	0	11
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,066	0	0	0	2,066
Real Estate Transfer Tax (Dedicated)	(949)	0	119	830	0
RBTF (Dedicated Transfers)	(2)	0	0	2	0
Other Taxes	1,115	0	119	832	2,066
Payroll Tax	0	0	0	0	0
Total Taxes	37,005	5,630	1,261	31,647	75,543
Licenses, Fees, Etc.	378	0	0	0	378
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	331	220	806	0	1,357
ABC License Fee	60	0	0	0	60
Reimbursements	124	0	0	0	124
Investment Income	78	0	0	0	78
Extraordinary Settlements	80	0	0	0	80
Other Transactions	4,872	15,646	7,243	381	28,142
Miscellaneous Receipts	6,373	15,866	8,049	381	30,669
Federal Receipts	0	70,577	2,182	74	72,833
Total	43,378	92,073	11,492	32,102	179,045

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,570	0	0	0	42,570
Estimated Payments	12,951	0	0	0	12,951
Final Payments	2,882	0	0	0	2,882
Other Payments	1,682	0	0	0	1,682
Gross Collections	60,085	0	0	0	60,085
State/City Offset	(1,399)	0	0	0	(1,399)
Refunds	(10,710)	0	0	0	(10,710)
Reported Tax Collections	47,976	0	0	0	47,976
STAR (Dedicated Deposits)	(1,980)	1,980	0	0	0
RBTF (Dedicated Transfers)	(23,988)	0	0	23,988	0
Personal Income Tax	22,008	1,980	0	23,988	47,976
Sales and Use Tax	13,654	954	0	0	14,608
Cigarette and Tobacco Taxes	305	641	0	0	946
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	107	402	0	509
Alcoholic Beverage Taxes	264	0	0	0	264
Opioid Excise Tax	66	0	0	0	66
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	138	0	139
Auto Rental Tax	0	23	76	0	99
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	14,289	1,738	616	0	16,643
LGAC/STBF (Dedicated Transfers)	(6,827)	0	0	6,827	0
Consumption/Use Taxes	7,462	1,738	616	6,827	16,643
Corporation Franchise Tax	3,852	1,031	0	0	4,883
Corporation and Utilities Tax	463	159	15	0	637
Insurance Taxes	2,022	247	0	0	2,269
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	475	610	0	1,085
Business Taxes	6,337	1,912	625	0	8,874
Estate Tax	1,028	0	0	0	1,028
Real Estate Transfer Tax	1,004	0	0	0	1,004
Employer Compensation Expense Program	6	0	0	0	6
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,054	0	0	0	2,054
Real Estate Transfer Tax (Dedicated)	(1,004)	0	119	885	0
RBTF (Dedicated Transfers)	(3)	0	0	3	0
Other Taxes	1,047	0	119	888	2,054
Payroll Tax	0	0	0	0	0
Total Taxes	36,854	5,630	1,360	31,703	75,547
Licenses, Fees, Etc.	478	0	0	0	478
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	246	219	795	0	1,260
ABC License Fee	68	0	0	0	68
Reimbursements	70	0	0	0	70
Investment Income	43	0	0	0	43
Extraordinary Settlements	0	0	0	0	0
Other Transactions	395	16,180	6,530	385	23,490
Miscellaneous Receipts	1,750	16,399	7,325	385	25,859
Federal Receipts	0	65,134	2,213	72	67,419
Total	38,604	87,163	10,898	32,160	168,825

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,344	0	0	0	44,344
Estimated Payments	14,091	0	0	0	14,091
Final Payments	3,164	0	0	0	3,164
Other Payments	1,731	0	0	0	1,731
Gross Collections	63,330	0	0	0	63,330
State/City Offset	(1,524)	0	0	0	(1,524)
Refunds	(11,074)	0	0	0	(11,074)
Reported Tax Collections	50,732	0	0	0	50,732
STAR (Dedicated Deposits)	(1,858)	1,858	0	0	0
RBTF (Dedicated Transfers)	(25,366)	0	0	25,366	0
Personal Income Tax	23,508	1,858	0	25,366	50,732
Sales and Use Tax	14,118	987	0	0	15,105
Cigarette and Tobacco Taxes	295	609	0	0	904
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	107	400	0	507
Alcoholic Beverage Taxes	266	0	0	0	266
Opioid Excise Tax	66	0	0	0	66
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	139	0	139
Auto Rental Tax	0	24	78	0	102
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	14,745	1,739	617	0	17,101
LGAC/STBF (Dedicated Transfers)	(7,059)	0	0	7,059	0
Consumption/Use Taxes	7,686	1,739	617	7,059	17,101
Corporation Franchise Tax	4,228	1,117	0	0	5,345
Corporation and Utilities Tax	477	162	15	0	654
Insurance Taxes	2,073	254	0	0	2,327
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	471	606	0	1,077
Business Taxes	6,778	2,004	621	0	9,403
Estate Tax	1,077	0	0	0	1,077
Real Estate Transfer Tax	1,061	0	0	0	1,061
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,161	0	0	0	2,161
Real Estate Transfer Tax (Dedicated)	(1,061)	0	119	942	0
RBTF (Dedicated Transfers)	(3)	0	0	3	0
Other Taxes	1,097	0	119	945	2,161
Payroll Tax	0	0	0	0	0
Total Taxes	39,069	5,601	1,357	33,370	79,397
Licenses, Fees, Etc.	528	0	0	0	528
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	220	798	0	1,256
ABC License Fee	65	0	0	0	65
Reimbursements	70	0	0	0	70
Investment Income	27	0	0	0	27
Extraordinary Settlements	0	0	0	0	0
Other Transactions	395	15,599	5,669	384	22,047
Miscellaneous Receipts	1,773	15,819	6,467	384	24,443
Federal Receipts	0	66,478	2,214	69	68,761
Total	40,842	87,898	10,038	33,823	172,601

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,269	0	0	0	46,269
Estimated Payments	15,438	0	0	0	15,438
Final Payments	3,431	0	0	0	3,431
Other Payments	1,783	0	0	0	1,783
Gross Collections	66,921	0	0	0	66,921
State/City Offset	(1,649)	0	0	0	(1,649)
Refunds	(11,410)	0	0	0	(11,410)
Reported Tax Collections	53,862	0	0	0	53,862
STAR (Dedicated Deposits)	(1,750)	1,750	0	0	0
RBTF (Dedicated Transfers)	(26,931)	0	0	26,931	0
Personal Income Tax	25,181	1,750	0	26,931	53,862
Sales and Use Tax	14,604	1,022	0	0	15,626
Cigarette and Tobacco Taxes	285	579	0	0	864
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	106	396	0	502
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Excise Tax	66	0	0	0	66
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	25	81	0	106
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,224	1,744	617	0	17,585
LGAC/STBF (Dedicated Transfers)	(7,302)	0	0	7,302	0
Consumption/Use Taxes	7,922	1,744	617	7,302	17,585
Corporation Franchise Tax	4,309	1,167	0	0	5,476
Corporation and Utilities Tax	481	163	15	0	659
Insurance Taxes	2,128	261	0	0	2,389
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	467	601	0	1,068
Business Taxes	6,918	2,058	616	0	9,592
Estate Tax	1,128	0	0	0	1,128
Real Estate Transfer Tax	1,114	0	0	0	1,114
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,266	0	0	0	2,266
Real Estate Transfer Tax (Dedicated)	(1,114)	0	119	995	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,148	0	119	999	2,266
Payroll Tax	0	0	0	0	0
Total Taxes	41,169	5,552	1,352	35,232	83,305
Licenses, Fees, Etc.	578	0	0	0	578
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	219	795	0	1,252
ABC License Fee	64	0	0	0	64
Reimbursements	66	0	0	0	66
Investment Income	20	0	0	0	20
Extraordinary Settlements	0	0	0	0	0
Other Transactions	395	15,125	5,638	384	21,542
Miscellaneous Receipts	1,811	15,344	6,433	384	23,972
Federal Receipts	0	68,497	2,186	66	70,749
Total	42,980	89,393	9,971	35,682	178,026

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2020 Results	FY 2021 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	43,118	38,752	(4,366)	-10.1%
Estimated Payments	17,025	15,669	(1,356)	-8.0%
Final Payments	3,454	3,608	154	4.5%
Other Payments	1,388	1,611	223	16.1%
Gross Collections	64,985	59,640	(5,345)	-8.2%
State/City Offset	(1,117)	(1,274)	(157)	-14.1%
Refunds	(10,208)	(9,320)	888	8.7%
Reported Tax Collections	53,660	49,046	(4,614)	-8.6%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	53,660	49,046	(4,614)	-8.6%
Sales and Use Tax	15,933	13,463	(2,470)	-15.5%
Cigarette and Tobacco Taxes	1,035	971	(64)	-6.2%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	512	454	(58)	-11.3%
Alcoholic Beverage Taxes	259	266	7	2.7%
Opioid Excise Tax	19	80	61	321.1%
Medical Cannabis Excise Tax	6	6	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	142	131	(11)	-7.7%
Auto Rental Tax	106	89	(17)	-16.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	18,022	15,474	(2,548)	-14.1%
LGAC/STBF (Dedicated Transfers)	(1)	0	1	100.0%
Consumption/Use Taxes	18,021	15,474	(2,547)	-14.1%
Corporation Franchise Tax	4,824	4,868	44	0.9%
Corporation and Utilities Tax	705	640	(65)	-9.2%
Insurance Taxes	2,306	2,165	(141)	-6.1%
Bank Tax	0	270	270	0.0%
Petroleum Business Tax	1,161	1,014	(147)	-12.7%
Business Taxes	8,996	8,957	(39)	-0.4%
Estate Tax	1,070	1,100	30	2.8%
Real Estate Transfer Tax	1,124	949	(175)	-15.6%
Employer Compensation Expense Program	2	4	2	100.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	14	11	(3)	-21.4%
Other Taxes	2	2	0	0.0%
Gross Other Taxes	2,212	2,066	(146)	-6.6%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	2,212	2,066	(146)	-6.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	82,889	75,543	(7,346)	-8.9%
Licenses, Fees, Etc.	697	378	(319)	-45.8%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	1,356	1,357	1	0.1%
ABC License Fee	73	60	(13)	-17.8%
Reimbursements	214	124	(90)	-42.1%
Investment Income	174	78	(96)	-55.2%
Extraordinary Settlements	787	80	(707)	-89.8%
Other Transactions	25,715	28,142	2,427	9.4%
Miscellaneous Receipts	29,466	30,669	1,203	4.1%
Federal Receipts	65,080	72,833	7,753	11.9%
Total	177,435	179,045	1,610	0.9%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,009</u>	<u>293</u>	<u>4,302</u>
Receipts:			
Taxes	6,121	0	6,121
Miscellaneous Receipts	19,466	202	19,668
Federal Receipts	(1)	58,921	58,920
Total Receipts	<u>25,586</u>	<u>59,123</u>	<u>84,709</u>
Disbursements:			
Local Assistance	16,432	56,021	72,453
State Operations:			
Personal Service	4,968	637	5,605
Non-Personal Service	2,710	1,394	4,104
General State Charges	1,065	420	1,485
Capital Projects	0	0	0
Total Disbursements	<u>25,175</u>	<u>58,472</u>	<u>83,647</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,906	0	1,906
Transfers to Other Funds	(1,235)	(2,193)	(3,428)
Net Other Financing Sources (Uses)	<u>671</u>	<u>(2,193)</u>	<u>(1,522)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,082</u>	<u>(1,542)</u>	<u>(460)</u>
Closing Fund Balance	<u>5,091</u>	<u>(1,249)</u>	<u>3,842</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,091	(1,249)	3,842
Receipts:			
Taxes	6,059	0	6,059
Miscellaneous Receipts	19,064	215	19,279
Federal Receipts	(13)	62,910	62,897
Total Receipts	25,110	63,125	88,235
Disbursements:			
Local Assistance	16,789	56,453	73,242
State Operations:			
Personal Service	5,150	637	5,787
Non-Personal Service	2,928	1,399	4,327
General State Charges	969	334	1,303
Capital Projects	0	0	0
Total Disbursements	25,836	58,823	84,659
Other Financing Sources (Uses):			
Transfers from Other Funds	2,269	0	2,269
Transfers to Other Funds	(1,233)	(2,142)	(3,375)
Net Other Financing Sources (Uses)	1,036	(2,142)	(1,106)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	310	2,160	2,470
Closing Fund Balance	5,401	911	6,312

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>5,401</u>	<u>911</u>	<u>6,312</u>
Receipts:			
Taxes	5,630	0	5,630
Miscellaneous Receipts	15,636	230	15,866
Federal Receipts	(23)	70,600	70,577
Total Receipts	<u>21,243</u>	<u>70,830</u>	<u>92,073</u>
Disbursements:			
Local Assistance	15,260	60,785	76,045
State Operations:			
Personal Service	5,058	673	5,731
Non-Personal Service	2,350	1,442	3,792
General State Charges	1,047	350	1,397
Debt Service	0	133	133
Capital Projects	0	0	0
Total Disbursements	<u>23,715</u>	<u>63,383</u>	<u>87,098</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,535	0	2,535
Transfers to Other Funds	(798)	(2,054)	(2,852)
Net Other Financing Sources (Uses)	<u>1,737</u>	<u>(2,054)</u>	<u>(317)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(735)</u>	<u>5,393</u>	<u>4,658</u>
Closing Fund Balance	<u>4,666</u>	<u>6,304</u>	<u>10,970</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,666</u>	<u>6,304</u>	<u>10,970</u>
Receipts:			
Taxes	5,630	0	5,630
Miscellaneous Receipts	16,172	227	16,399
Federal Receipts	(20)	65,154	65,134
Total Receipts	<u>21,782</u>	<u>65,381</u>	<u>87,163</u>
Disbursements:			
Local Assistance	15,719	60,298	76,017
State Operations:			
Personal Service	5,080	674	5,754
Non-Personal Service	2,453	1,466	3,919
General State Charges	1,140	374	1,514
Capital Projects	0	0	0
Total Disbursements	<u>24,392</u>	<u>62,812</u>	<u>87,204</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,666	0	2,666
Transfers to Other Funds	(196)	(1,982)	(2,178)
Net Other Financing Sources (Uses)	<u>2,470</u>	<u>(1,982)</u>	<u>488</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(140)</u>	<u>587</u>	<u>447</u>
Closing Fund Balance	<u>4,526</u>	<u>6,891</u>	<u>11,417</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,526</u>	<u>6,891</u>	<u>11,417</u>
Receipts:			
Taxes	5,601	0	5,601
Miscellaneous Receipts	15,592	227	15,819
Federal Receipts	(18)	66,496	66,478
Total Receipts	<u>21,175</u>	<u>66,723</u>	<u>87,898</u>
Disbursements:			
Local Assistance	15,245	61,532	76,777
State Operations:			
Personal Service	5,149	677	5,826
Non-Personal Service	2,425	1,467	3,892
General State Charges	1,169	375	1,544
Capital Projects	0	0	0
Total Disbursements	<u>23,988</u>	<u>64,051</u>	<u>88,039</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,741	0	2,741
Transfers to Other Funds	(103)	(1,872)	(1,975)
Net Other Financing Sources (Uses)	<u>2,638</u>	<u>(1,872)</u>	<u>766</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(175)</u>	<u>800</u>	<u>625</u>
Closing Fund Balance	<u>4,351</u>	<u>7,691</u>	<u>12,042</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,351</u>	<u>7,691</u>	<u>12,042</u>
Receipts:			
Taxes	5,552	0	5,552
Miscellaneous Receipts	15,117	227	15,344
Federal Receipts	(17)	68,514	68,497
Total Receipts	<u>20,652</u>	<u>68,741</u>	<u>89,393</u>
Disbursements:			
Local Assistance	14,936	63,301	78,237
State Operations:			
Personal Service	5,160	679	5,839
Non-Personal Service	2,371	1,475	3,846
General State Charges	1,154	376	1,530
Capital Projects	0	0	0
Total Disbursements	<u>23,621</u>	<u>65,831</u>	<u>89,452</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,854	0	2,854
Transfers to Other Funds	(24)	(1,853)	(1,877)
Net Other Financing Sources (Uses)	<u>2,830</u>	<u>(1,853)</u>	<u>977</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(139)</u>	<u>1,057</u>	<u>918</u>
Closing Fund Balance	<u><u>4,212</u></u>	<u><u>8,748</u></u>	<u><u>12,960</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,842	6,312	2,470	64.3%
Receipts:				
Taxes	6,059	5,630	(429)	-7.1%
Miscellaneous Receipts	19,279	15,866	(3,413)	-17.7%
Federal Receipts	62,897	70,577	7,680	12.2%
Total Receipts	88,235	92,073	3,838	4.3%
Disbursements:				
Local Assistance	73,242	76,045	2,803	3.8%
State Operations:				
Personal Service	5,787	5,731	(56)	-1.0%
Non-Personal Service	4,327	3,792	(535)	-12.4%
General State Charges	1,303	1,397	94	7.2%
Debt Service	0	133	133	0.0%
Capital Projects	0	0	0	0.0%
Total Disbursements	84,659	87,098	2,439	2.9%
Other Financing Sources (Uses):				
Transfers from Other Funds	2,269	2,535	266	11.7%
Transfers to Other Funds	(3,375)	(2,852)	523	15.5%
Net Other Financing Sources (Uses)	(1,106)	(317)	789	71.3%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,470	4,658	2,188	88.6%
Closing Fund Balance	6,312	10,970	4,658	73.8%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2021	FY 2022	FY 2023	FY 2024
	Enacted	Projected	Projected	Projected
Personal Income Tax	2,073	1,980	1,858	1,750
Consumption/Use Taxes	1,691	1,738	1,739	1,744
Sales and Use Tax	879	954	987	1,022
Cigarette and Tobacco Taxes	675	641	609	579
Vapor Excise Tax	14	6	6	6
Motor Fuel Tax	96	107	107	106
Highway Use Tax	0	1	0	0
Medical Cannabis Excise Tax	6	6	6	6
Adult Use Cannabis Tax	0	0	0	0
Auto Rental Tax	21	23	24	25
Taxicab Surcharge	0	0	0	0
Business Taxes	1,866	1,912	2,004	2,058
Corporation Franchise Tax	986	1,031	1,117	1,167
Corporation and Utilities Tax	156	159	162	163
Insurance Taxes	236	247	254	261
Bank Tax	45	0	0	0
Petroleum Business Tax	443	475	471	467
Payroll Tax	0	0	0	0
Total Taxes	5,630	5,630	5,601	5,552
Miscellaneous Receipts	15,866	16,399	15,819	15,344
HCRA	5,502	5,593	5,685	5,779
State University Income	4,960	5,140	5,372	5,560
Lottery	2,793	3,176	3,171	3,171
Medicaid	936	962	989	1,016
Industry Assessments	710	704	704	704
Motor Vehicle Fees	220	219	220	219
All Other	745	605	(322)	(1,105)
Federal Receipts	70,577	65,134	66,478	68,497
Total	92,073	87,163	87,898	89,393

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2020	FY 2021	Annual	Annual
	Results	Enacted	\$ Change	% Change
Personal Income Tax	2,184	2,073	(111)	-5.1%
Consumption/Use Taxes	1,916	1,691	(225)	-11.7%
Sales and Use Tax	1,049	879	(170)	-16.2%
Cigarette and Tobacco Taxes	722	675	(47)	-6.5%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	108	96	(12)	-11.1%
Highway Use Tax	1	0	(1)	-100.0%
Medical Cannabis Excise Tax	6	6	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Auto Rental Tax	20	21	1	5.0%
Taxicab Surcharge	0	0	0	0.0%
Business Taxes	1,959	1,866	(93)	-4.7%
Corporation Franchise Tax	1,033	986	(47)	-4.5%
Corporation and Utilities Tax	172	156	(16)	-9.3%
Insurance Taxes	253	236	(17)	-6.7%
Bank Tax	(8)	45	53	662.5%
Petroleum Business Tax	509	443	(66)	-13.0%
Payroll Tax	0	0	0	0.0%
Total Taxes	6,059	5,630	(429)	-7.1%
Miscellaneous Receipts	19,279	15,866	(3,413)	-17.7%
HCRA	5,529	5,502	(27)	-0.5%
State University Income	4,763	4,960	197	4.1%
Lottery	3,483	2,793	(690)	-19.8%
Medicaid	931	936	5	0.5%
Industry Assessments	766	710	(56)	-7.3%
Motor Vehicle Fees	208	220	12	5.8%
All Other	3,599	745	(2,854)	-79.3%
Federal Receipts	62,897	70,577	7,680	12.2%
Total	88,235	92,073	3,838	4.3%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(568)</u>	<u>(583)</u>	<u>(1,151)</u>
Receipts:			
Taxes	1,434	0	1,434
Miscellaneous Receipts	7,496	1	7,497
Federal Receipts	5	2,345	2,350
Total Receipts	<u>8,935</u>	<u>2,346</u>	<u>11,281</u>
Disbursements:			
Local Assistance	4,516	718	5,234
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,806	1,226	7,032
Total Disbursements	<u>10,322</u>	<u>1,944</u>	<u>12,266</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,543	(324)	2,219
Transfers to Other Funds	(1,354)	0	(1,354)
Bond and Note Proceeds	133	0	133
Net Other Financing Sources (Uses)	<u>1,322</u>	<u>(324)</u>	<u>998</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(65)	78	13
Closing Fund Balance	<u><u>(633)</u></u>	<u><u>(505)</u></u>	<u><u>(1,138)</u></u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(633)	(505)	(1,138)
Receipts:			
Taxes	1,417	0	1,417
Miscellaneous Receipts	6,550	1	6,551
Federal Receipts	5	2,104	2,109
Total Receipts	7,972	2,105	10,077
Disbursements:			
Local Assistance	4,218	795	5,013
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,916	1,070	6,986
Total Disbursements	10,134	1,865	11,999
Other Financing Sources (Uses):			
Transfers from Other Funds	3,846	(299)	3,547
Transfers to Other Funds	(1,522)	0	(1,522)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	2,324	(299)	2,025
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	162	(59)	103
Closing Fund Balance	(471)	(564)	(1,035)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(471)	(564)	(1,035)
Receipts:			
Taxes	1,261	0	1,261
Miscellaneous Receipts	8,049	0	8,049
Federal Receipts	5	2,177	2,182
Total Receipts	9,315	2,177	11,492
Disbursements:			
Local Assistance	4,276	706	4,982
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,690	1,062	9,752
Total Disbursements	12,966	1,768	14,734
Other Financing Sources (Uses):			
Transfers from Other Funds	4,306	(402)	3,904
Transfers to Other Funds	(1,514)	0	(1,514)
Bond and Note Proceeds	850	0	850
Net Other Financing Sources (Uses)	3,642	(402)	3,240
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(9)	7	(2)
Closing Fund Balance	(480)	(557)	(1,037)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(480)	(557)	(1,037)
Receipts:			
Taxes	1,360	0	1,360
Miscellaneous Receipts	7,325	0	7,325
Federal Receipts	5	2,208	2,213
Total Receipts	8,690	2,208	10,898
Disbursements:			
Local Assistance	3,961	706	4,667
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,431	1,125	9,556
Total Disbursements	12,392	1,831	14,223
Other Financing Sources (Uses):			
Transfers from Other Funds	4,497	(376)	4,121
Transfers to Other Funds	(1,415)	0	(1,415)
Bond and Note Proceeds	488	0	488
Net Other Financing Sources (Uses)	3,570	(376)	3,194
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(132)	1	(131)
Closing Fund Balance	(612)	(556)	(1,168)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(612)	(556)	(1,168)
Receipts:			
Taxes	1,357	0	1,357
Miscellaneous Receipts	6,467	0	6,467
Federal Receipts	5	2,209	2,214
Total Receipts	7,829	2,209	10,038
Disbursements:			
Local Assistance	3,650	706	4,356
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,921	1,125	9,046
Total Disbursements	11,571	1,831	13,402
Other Financing Sources (Uses):			
Transfers from Other Funds	4,669	(361)	4,308
Transfers to Other Funds	(1,542)	0	(1,542)
Bond and Note Proceeds	513	0	513
Net Other Financing Sources (Uses)	3,640	(361)	3,279
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(102)	17	(85)
Closing Fund Balance	(714)	(539)	(1,253)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(714)	(539)	(1,253)
Receipts:			
Taxes	1,352	0	1,352
Miscellaneous Receipts	6,433	0	6,433
Federal Receipts	5	2,181	2,186
Total Receipts	7,790	2,181	9,971
Disbursements:			
Local Assistance	3,111	706	3,817
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,409	1,089	8,498
Total Disbursements	10,520	1,795	12,315
Other Financing Sources (Uses):			
Transfers from Other Funds	3,878	(364)	3,514
Transfers to Other Funds	(1,551)	0	(1,551)
Bond and Note Proceeds	413	0	413
Net Other Financing Sources (Uses)	2,740	(364)	2,376
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	10	22	32
Closing Fund Balance	(704)	(517)	(1,221)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(1,138)	(1,035)	103	9.1%
Receipts:				
Taxes	1,417	1,261	(156)	-11.0%
Miscellaneous Receipts	6,551	8,049	1,498	22.9%
Federal Receipts	2,109	2,182	73	3.5%
Total Receipts	10,077	11,492	1,415	14.0%
Disbursements:				
Local Assistance	5,013	4,982	(31)	-0.6%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,986	9,752	2,766	39.6%
Total Disbursements	11,999	14,734	2,735	22.8%
Other Financing Sources (Uses):				
Transfers From Other Funds	3,547	3,904	357	10.1%
Transfers to Other Funds	(1,522)	(1,514)	8	0.5%
Bond and Note Proceeds	0	850	850	0.0%
Net Other Financing Sources (Uses)	2,025	3,240	1,215	60.0%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	103	(2)	(105)	-101.9%
Closing Fund Balance	(1,035)	(1,037)	(2)	-0.2%

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Consumption/Use Taxes	557	616	617	617
Motor Fuel Tax	358	402	400	396
Highway Use Tax	131	138	139	140
Auto Rental Tax	68	76	78	81
Business Taxes	585	625	621	616
Corporation and Utilities Tax	14	15	15	15
Petroleum Business Tax	571	610	606	601
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,261	1,360	1,357	1,352
Miscellaneous Receipts	8,049	7,325	6,467	6,433
Authority Bond Proceeds	6,954	6,258	5,377	5,349
State Park Fees	127	127	127	127
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	806	795	798	795
All Other	85	68	88	85
Federal Receipts	2,182	2,213	2,214	2,186
Total	11,492	10,898	10,038	9,971

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 Enacted	Annual \$ Change	Annual % Change
Consumption/Use Taxes	631	557	(74)	-11.7%
Motor Fuel Tax	404	358	(46)	-11.4%
Highway Use Tax	141	131	(10)	-7.1%
Auto Rental Tax	86	68	(18)	-20.9%
Business Taxes	667	585	(82)	-12.3%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	652	571	(81)	-12.4%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,417	1,261	(156)	-11.0%
Miscellaneous Receipts	6,551	8,049	1,498	22.9%
Authority Bond Proceeds	5,430	6,954	1,524	28.1%
State Park Fees	110	127	17	15.5%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	806	806	0	0.0%
All Other	128	85	(43)	-33.6%
Federal Receipts	2,109	2,182	73	3.5%
Total	10,077	11,492	1,415	14.0%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Enacted</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	8	4	3	3	3
Functional Total	<u>8</u>	<u>4</u>	<u>3</u>	<u>3</u>	<u>3</u>
MENTAL HEALTH					
Mental Health, Office of	147	0	0	0	0
People with Developmental Disabilities, Office for	19	0	0	0	0
Addiction Services and Supports, Office of	3	0	0	0	0
Functional Total	<u>169</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION					
Education School Aid	10	15	15	15	7
Functional Total	<u>10</u>	<u>15</u>	<u>15</u>	<u>15</u>	<u>7</u>
HIGHER EDUCATION					
City University of New York	297	340	413	433	435
State University of New York	0	0	0	0	0
Functional Total	<u>297</u>	<u>340</u>	<u>413</u>	<u>433</u>	<u>435</u>
ALL OTHER					
Judiciary	1	0	0	0	0
Functional Total	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>485</u>	<u>359</u>	<u>431</u>	<u>451</u>	<u>445</u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Income Tax	24,523	23,988	25,366	26,931
Consumption/Use Taxes	6,292	6,827	7,059	7,302
Sales and Use Tax	6,292	6,827	7,059	7,302
Other Taxes	832	888	945	999
Real Estate Transfer Tax	830	885	942	995
Employer Compensation Expense Program	2	3	3	4
Total Taxes	31,647	31,703	33,370	35,232
Miscellaneous Receipts	381	385	384	384
Mental Hygiene Patient Receipts	233	238	238	238
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	3	2	2
Federal Receipts	74	72	69	66
Total	32,102	32,160	33,823	35,682

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 Enacted	Annual \$ Change	Annual % Change
Personal Income Tax	26,830	24,523	(2,307)	-8.6%
Consumption/Use Taxes	7,436	6,292	(1,144)	-15.4%
Sales and Use Tax	7,436	6,292	(1,144)	-15.4%
Other Taxes	1,006	832	(174)	-17.3%
Real Estate Transfer Tax	1,005	830	(175)	-17.4%
Employer Compensation Expense Program	1	2	1	100.0%
Total Taxes	35,272	31,647	(3,625)	-10.3%
Miscellaneous Receipts	477	381	(96)	-20.1%
Mental Hygiene Patient Receipts	320	233	(87)	-27.2%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	152	144	(8)	-5.3%
All Other	5	4	(1)	-20.0%
Federal Receipts	74	74	0	0.0%
Total	35,823	32,102	(3,721)	-10.4%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	9,445	4,009	(568)	153	13,039
Receipts:					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,466	7,496	433	30,981
Federal Receipts	0	(1)	5	74	78
Total Receipts	39,475	25,586	8,935	32,641	106,637
Disbursements:					
Local Assistance	49,745	16,432	4,516	0	70,693
State Operations:					
Personal Service	8,719	4,968	0	0	13,687
Non-Personal Service	2,622	2,710	0	38	5,370
General State Charges	7,139	1,065	0	0	8,204
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	5,806	0	5,806
Total Disbursements	68,225	25,175	10,322	6,737	110,459
Other Financing Sources (Uses):					
Transfers from Other Funds	31,069	1,906	2,543	3,537	39,055
Transfers to Other Funds	(4,558)	(1,235)	(1,354)	(29,529)	(36,676)
Bond and Note Proceeds	0	0	133	0	133
Net Other Financing Sources (Uses)	26,511	671	1,322	(25,992)	2,512
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,239)	1,082	(65)	(88)	(1,310)
Closing Fund Balance	7,206	5,091	(633)	65	11,729

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>(633)</u>	<u>65</u>	<u>11,729</u>
Receipts:					
Taxes	40,141	6,059	1,417	35,272	82,889
Miscellaneous Receipts	3,159	19,064	6,550	477	29,250
Federal Receipts	0	(13)	5	74	66
Total Receipts	<u>43,300</u>	<u>25,110</u>	<u>7,972</u>	<u>35,823</u>	<u>112,205</u>
Disbursements:					
Local Assistance	51,863	16,789	4,218	0	72,870
State Operations:					
Personal Service	8,940	5,150	0	0	14,090
Non-Personal Service	3,114	2,928	0	36	6,078
General State Charges	7,454	969	0	0	8,423
Debt Service	0	0	0	4,916	4,916
Capital Projects	0	0	5,916	0	5,916
Total Disbursements	<u>71,371</u>	<u>25,836</u>	<u>10,134</u>	<u>4,952</u>	<u>112,293</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,907	2,269	3,846	3,742	45,764
Transfers to Other Funds	(6,098)	(1,233)	(1,522)	(34,615)	(43,468)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>1,036</u>	<u>2,324</u>	<u>(30,873)</u>	<u>2,296</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>310</u>	<u>162</u>	<u>(2)</u>	<u>2,208</u>
Closing Fund Balance	<u>8,944</u>	<u>5,401</u>	<u>(471)</u>	<u>63</u>	<u>13,937</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>8,944</u>	<u>5,401</u>	<u>(471)</u>	<u>63</u>	<u>13,937</u>
Receipts:					
Taxes	37,005	5,630	1,261	31,647	75,543
Miscellaneous Receipts	6,373	15,636	8,049	381	30,439
Federal Receipts	0	(23)	5	74	56
Total Receipts	<u>43,378</u>	<u>21,243</u>	<u>9,315</u>	<u>32,102</u>	<u>106,038</u>
Disbursements:					
Local Assistance	46,400	15,260	4,276	0	65,936
State Operations:					
Personal Service	9,058	5,058	0	0	14,116
Non-Personal Service	2,597	2,350	0	44	4,991
General State Charges	7,249	1,047	0	0	8,296
Debt Service	0	0	0	10,338	10,338
Capital Projects	0	0	8,690	0	8,690
Total Disbursements	<u>65,304</u>	<u>23,715</u>	<u>12,966</u>	<u>10,382</u>	<u>112,367</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	27,564	2,535	4,306	4,686	39,091
Transfers to Other Funds	(7,865)	(798)	(1,514)	(26,413)	(36,590)
Bond and Note Proceeds	0	0	850	0	850
Net Other Financing Sources (Uses)	<u>19,699</u>	<u>1,737</u>	<u>3,642</u>	<u>(21,727)</u>	<u>3,351</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,227)</u>	<u>(735)</u>	<u>(9)</u>	<u>(7)</u>	<u>(2,978)</u>
Closing Fund Balance	<u>6,717</u>	<u>4,666</u>	<u>(480)</u>	<u>56</u>	<u>10,959</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	36,854	5,630	1,360	31,703	75,547
Miscellaneous Receipts	1,750	16,172	7,325	385	25,632
Federal Receipts	0	(20)	5	72	57
Total Receipts	<u>38,604</u>	<u>21,782</u>	<u>8,690</u>	<u>32,160</u>	<u>101,236</u>
Disbursements:					
Local Assistance	48,967	15,719	3,961	0	68,647
State Operations:					
Personal Service	8,996	5,080	0	0	14,076
Non-Personal Service	2,543	2,453	0	43	5,039
General State Charges	9,013	1,140	0	0	10,153
Debt Service	0	0	0	6,939	6,939
Capital Projects	0	0	8,431	0	8,431
Total Disbursements	<u>69,519</u>	<u>24,392</u>	<u>12,392</u>	<u>6,982</u>	<u>113,285</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	28,850	2,666	4,497	3,149	39,162
Transfers to Other Funds	(6,915)	(196)	(1,415)	(28,322)	(36,848)
Bond and Note Proceeds	0	0	488	0	488
Net Other Financing Sources (Uses)	<u>21,935</u>	<u>2,470</u>	<u>3,570</u>	<u>(25,173)</u>	<u>2,802</u>
Use (Reservation) of Fund Balance:					
Undesignated Fund Balance	548				
Extraordinary Monetary Settlements	959				
Total Use (Reservation) of Fund Balance	<u>1,507</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements					<u>(7,473)</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	39,069	5,601	1,357	33,370	79,397
Miscellaneous Receipts	1,773	15,592	6,467	384	24,216
Federal Receipts	0	(18)	5	69	56
Total Receipts	<u>40,842</u>	<u>21,175</u>	<u>7,829</u>	<u>33,823</u>	<u>103,669</u>
Disbursements:					
Local Assistance	52,444	15,245	3,650	0	71,339
State Operations:					
Personal Service	9,059	5,149	0	0	14,208
Non-Personal Service	2,494	2,425	0	43	4,962
General State Charges	9,559	1,169	0	0	10,728
Debt Service	0	0	0	7,357	7,357
Capital Projects	0	0	7,921	0	7,921
Total Disbursements	<u>73,556</u>	<u>23,988</u>	<u>11,571</u>	<u>7,400</u>	<u>116,515</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	29,865	2,741	4,669	3,143	40,418
Transfers to Other Funds	(7,169)	(103)	(1,542)	(29,562)	(38,376)
Bond and Note Proceeds	0	0	513	0	513
Net Other Financing Sources (Uses)	<u>22,696</u>	<u>2,638</u>	<u>3,640</u>	<u>(26,419)</u>	<u>2,555</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	747				
Total Use (Reservation) of Fund Balance	<u>747</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,271)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2024
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	41,169	5,552	1,352	35,232	83,305
Miscellaneous Receipts	1,811	15,117	6,433	384	23,745
Federal Receipts	0	(17)	5	66	54
Total Receipts	42,980	20,652	7,790	35,682	107,104
Disbursements:					
Local Assistance	55,585	14,936	3,111	0	73,632
State Operations:					
Personal Service	9,199	5,160	0	0	14,359
Non-Personal Service	2,619	2,371	0	43	5,033
General State Charges	9,689	1,154	0	0	10,843
Debt Service	0	0	0	7,550	7,550
Capital Projects	0	0	7,409	0	7,409
Total Disbursements	77,092	23,621	10,520	7,593	118,826
Other Financing Sources (Uses):					
Transfers from Other Funds	31,485	2,854	3,878	3,188	41,405
Transfers to Other Funds	(6,548)	(24)	(1,551)	(31,257)	(39,380)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	24,937	2,830	2,740	(28,069)	2,438
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	345				
Total Use (Reservation) of Fund Balance	345				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,830)</u>				

**CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	11,729	13,937	2,208	18.8%
Receipts:				
Taxes	82,889	75,543	(7,346)	-8.9%
Miscellaneous Receipts	29,250	30,439	1,189	4.1%
Federal Receipts	66	56	(10)	-15.2%
Total Receipts	112,205	106,038	(6,167)	-5.5%
Disbursements:				
Local Assistance	72,870	65,936	(6,934)	-9.5%
State Operations:				
Personal Service	14,090	14,116	26	0.2%
Non-Personal Service	6,078	4,991	(1,087)	-17.9%
General State Charges	8,423	8,296	(127)	-1.5%
Debt Service	4,916	10,338	5,422	110.3%
Capital Projects	5,916	8,690	2,774	46.9%
Total Disbursements	112,293	112,367	74	0.1%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,764	39,091	(6,673)	-14.6%
Transfers to Other Funds	(43,468)	(36,590)	6,878	15.8%
Bond and Note Proceeds	0	850	850	0.0%
Net Other Financing Sources (Uses)	2,296	3,351	1,055	45.9%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,208	(2,978)	(5,186)	-234.9%
Closing Fund Balance	13,937	10,959	(2,978)	-21.4%

**CASHFLOW
GENERAL FUND
FY 2019**
(dollars in millions)

	2018		2019		2019		2019		2019		2019		2019	
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total	Total
OPENING BALANCE	9,445	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,468	9,681	9,445	9,445
RECEIPTS:														
Personal Income Tax	2,928	1,092	2,476	1,450	1,487	2,398	1,287	1,087	1,986	1,970	1,693	1,767	21,621	21,621
Consumption/Use Taxes	544	580	799	598	595	765	588	602	769	631	519	691	7,681	7,681
Business Taxes	346	(120)	1,020	168	84	992	96	(14)	1,183	194	(108)	1,660	5,501	5,501
Other Taxes	52	82	71	99	74	123	100	127	128	118	57	55	1,086	1,086
Total Taxes	3,870	1,634	4,366	2,315	2,240	4,278	2,071	1,802	4,066	2,913	2,161	4,173	35,889	35,889
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	494	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	74	74
Investment Income	17	10	11	7	9	11	4	10	9	8	16	22	134	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	744	744
Motor Vehicle Fees	30	25	13	2	35	3	23	10	72	27	21	56	317	317
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	161	161
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	(1)	1,106	1,106
Other Transactions	24	100	(17)	52	26	92	52	49	81	17	12	68	556	556
Total Miscellaneous Receipts	220	205	383	141	165	183	157	1,072	278	85	295	402	3,586	3,586
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	2,928	1,070	2,487	1,438	1,056	2,648	1,287	926	2,053	2,761	805	1,887	21,346	21,346
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	249	218	405	275	250	355	269	274	361	287	(154)	324	3,113	3,113
Sales Tax Bond Fund	187	187	291	204	195	320	162	177	262	189	155	324	2,653	2,653
Real Estate Taxes in Excess of CW/CA Debt Service	74	92	88	82	105	78	71	75	92	75	74	50	956	956
All Other	101	81	86	68	172	109	74	42	151	282	187	1,648	3,001	3,001
Total Transfers from Other Funds	3,539	1,648	3,357	2,067	1,778	3,510	1,863	1,494	2,919	3,594	1,067	4,233	31,069	31,069
TOTAL RECEIPTS	7,629	3,487	8,106	4,523	4,183	7,971	4,091	4,368	7,263	6,592	3,523	8,808	70,544	70,544
DISBURSEMENTS:														
School Aid	1,113	3,526	1,566	371	648	1,617	883	1,516	1,973	599	726	8,542	23,080	23,080
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	2,980	2,980
All Other Education	42	46	51	300	463	32	78	22	486	40	106	468	2,134	2,134
Medicaid - DOH	1,523	1,924	1,381	1,133	1,977	1,208	1,213	1,624	1,244	1,054	1,106	(1,047)	14,340	14,340
Public Health	39	191	38	49	78	22	43	46	25	24	36	72	663	663
Mental Hygiene	150	36	323	149	43	330	151	49	286	114	172	345	2,148	2,148
Children and Families	12	35	41	102	109	216	150	62	220	44	76	588	1,655	1,655
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	62	110	1,139	1,139
Transportation	46	69	61	47	32	0	0	24	11	0	13	0	303	303
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	763	763
All Other	18	43	84	35	69	33	37	20	21	38	69	73	540	540
Total Local Assistance	3,035	6,019	4,298	3,448	3,580	3,901	2,711	3,466	4,772	2,031	2,416	10,068	49,745	49,745
Personal Service	668	846	684	725	838	660	827	664	686	808	657	656	8,719	8,719
Non-Personal Service	164	282	221	182	228	210	219	188	165	232	281	250	2,622	2,622
Total State Operations	832	1,128	905	907	1,066	870	1,046	852	851	1,040	938	906	11,341	11,341
General State Charges	2,707	368	470	319	245	435	542	401	375	452	414	411	7,139	7,139
Debt Service	192	38	20	223	(100)	(63)	201	(7)	5	287	(29)	19	786	786
Capital Projects	54	468	818	333	622	454	(587)	517	(12)	(65)	(442)	(272)	1,888	1,888
State Share Medicaid	(29)	0	0	0	0	0	0	0	0	0	0	0	(29)	(29)
SUNY Operations	218	218	218	182	2	0	0	182	0	0	0	0	1,020	1,020
Other Purposes	127	56	194	7	71	37	63	91	54	29	13	151	893	893
Total Transfers to Other Funds	562	780	1,250	745	595	428	(323)	783	47	251	(458)	(102)	4,558	4,558
TOTAL DISBURSEMENTS	7,136	8,295	6,923	5,419	5,486	5,634	3,976	5,502	6,045	3,774	3,310	11,283	72,783	72,783
Excess/(Deficiency) of Receipts over Disbursements	493	(4,808)	1,183	(896)	(1,303)	2,337	115	(1,134)	1,218	2,818	213	(2,475)	(2,239)	(2,239)
CLOSING BALANCE	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,468	9,681	7,206	7,206	7,206

**CASHFLOW
STATE OPERATING FUNDS
FY 2019
(dollars in millions)**

	2019												Intra-Fund Transfer Eliminations	Total
	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results		
OPENING BALANCE	13,607	15,387	10,769	12,337	13,257	12,327	12,615	13,074	12,443	12,892	18,253	19,500		13,607
RECEIPTS:														
Personal Income Tax Consumption/Use Taxes	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Business Taxes	1,233	1,257	1,718	1,323	1,300	1,659	1,292	1,304	1,677	1,372	1,129	1,448	0	16,712
Other Taxes	518	(36)	1,261	243	153	1,230	155	44	1,438	282	(63)	2,017	0	7,242
Total Taxes	139	174	160	187	184	206	176	207	225	198	137	109	0	2,102
	7,746	3,579	8,090	4,653	4,611	7,892	4,197	3,743	7,446	10,464	4,589	7,134	0	74,144
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	0	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	7	9	11	4	10	9	8	16	22	0	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	0	744
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid	77	70	77	72	73	78	74	77	84	81	75	48	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	0	161
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	(1)	0	1,106
Other Transactions	455	348	294	1,414	304	455	391	318	378	492	257	567	0	5,673
Total Miscellaneous Receipts	1,788	1,649	1,804	2,594	1,746	2,041	1,840	2,591	1,484	2,028	2,029	1,891	0	23,485
Federal Receipts	(2)	(1)	0	2	35	0	0	0	1	1	37	0	0	73
TOTAL RECEIPTS	9,532	5,227	9,894	7,249	6,392	9,933	6,037	6,334	8,931	12,493	6,655	9,025	0	97,702
DISBURSEMENTS:														
School Aid	1,113	3,526	1,892	371	648	3,700	1,019	1,652	2,109	735	862	8,776	0	26,403
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	42	46	52	301	464	33	80	22	487	41	106	469	0	2,143
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,551	1,828	2,069	1,704	1,595	1,673	(654)	0	20,032
Public Health	103	229	136	215	116	83	103	105	116	73	139	165	0	1,583
Mental Hygiene	149	36	323	149	42	330	152	287	287	114	172	346	0	2,149
Children and Families	12	36	41	102	109	216	150	63	220	44	76	590	0	1,659
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	62	110	0	1,139
Transportation	236	446	366	333	370	325	263	465	778	61	124	170	0	3,937
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	40	92	107	62	109	50	55	67	43	84	96	161	0	966
Total Local Assistance	3,591	7,025	5,509	4,263	4,709	6,731	3,806	4,602	6,317	5,201	3,360	11,063	0	66,177
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,403	1,093	1,111	1,177	1,051	1,041	0	13,687
Non-Personal Service	348	531	473	368	521	424	480	406	374	467	521	457	0	5,370
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,883	1,499	1,485	1,644	1,572	1,498	0	19,057
General State Charges	2,827	445	509	396	360	513	619	550	450	534	476	525	0	8,204
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,881	9,527	7,718	6,114	6,898	9,525	6,356	6,698	8,600	7,396	6,137	17,287	0	100,137
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,147	2,122	4,083	2,586	2,015	3,801	2,298	2,009	3,112	4,110	1,392	5,454	(617)	36,512
Transfers to other funds	(4,018)	(2,440)	(4,691)	(2,801)	(2,439)	(3,921)	(1,520)	(2,276)	(2,994)	(3,846)	(663)	(4,330)	617	(35,322)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	129	(318)	(608)	(215)	(424)	(120)	778	(267)	118	264	729	1,124	0	1,190
Excess/(Deficiency) of Receipts over Disbursements	1,780	(4,618)	1,568	920	(930)	288	459	(631)	449	5,361	1,247	(7,138)	0	(1,245)
CLOSING BALANCE	15,387	10,769	12,337	13,257	12,327	12,615	13,074	12,443	12,892	18,253	19,500	12,362	0	12,362

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,749	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,387	18,392	0	12,749
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,736	1,339	1,353	1,748	1,418	1,170	1,502	0	17,357
Business Taxes	585	3	1,321	299	207	1,290	208	100	1,492	339	(9)	2,077	0	7,912
Other Taxes	139	174	172	199	196	218	188	219	236	210	149	121	0	2,221
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,579	4,696	7,260	0	75,578
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	0	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	7	9	11	4	10	9	8	16	22	0	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	0	744
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid	77	70	77	72	73	78	74	77	84	81	75	48	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	47	47	8	0	161
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	(1)	0	1,106
Other Transactions	876	525	420	1,732	418	573	1,749	427	1,661	1,371	1,433	2,187	0	13,372
Total Miscellaneous Receipts	2,209	1,826	1,930	2,912	1,860	2,159	3,198	2,700	2,767	2,907	3,205	3,511	0	31,184
Federal Receipts	3,616	4,915	5,452	4,088	6,477	6,347	4,741	4,978	6,033	4,787	5,229	4,681	0	61,344
TOTAL RECEIPTS	13,682	10,409	15,610	11,773	13,062	16,547	12,248	11,538	16,382	18,273	13,130	15,452	0	168,106
DISBURSEMENTS:														
School Aid	1,434	4,094	2,107	641	784	3,777	1,149	1,805	2,274	1,070	1,049	9,084	0	29,268
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	107	98	189	387	702	103	149	49	584	92	156	646	0	3,262
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	4,778	6,180	5,595	4,399	6,894	5,699	5,626	5,567	5,171	5,204	5,777	2,981	0	63,871
Public Health	238	394	368	408	256	524	295	308	377	224	356	477	0	4,225
Mental Hygiene	166	62	346	173	55	347	176	64	303	132	197	371	0	2,392
Children and Families	48	95	85	114	341	392	179	62	331	85	80	709	0	2,521
Temporary & Disability Assistance	277	220	279	449	944	284	503	320	594	277	203	736	0	5,086
Transportation	294	489	723	378	473	530	361	559	1,113	142	401	374	0	5,837
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	332	438	144	478	281	256	513	502	272	707	364	517	0	4,804
Total Local Assistance	7,699	12,124	10,491	8,583	10,791	12,191	8,995	9,279	11,498	10,323	8,633	16,825	0	127,432
Personal Service	1,102	1,470	1,123	1,107	1,260	1,077	1,472	1,141	1,160	1,223	1,098	1,091	0	14,324
Non-Personal Service	418	622	563	429	652	629	585	479	453	594	710	630	0	6,764
Total State Operations	1,520	2,092	1,686	1,536	1,912	1,706	2,057	1,620	1,613	1,817	1,808	1,721	0	21,088
General State Charges	2,866	473	518	418	484	541	646	576	478	571	501	552	0	8,624
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	361	591	522	673	761	603	688	679	533	557	451	613	0	7,032
TOTAL DISBURSEMENTS	12,510	15,406	13,383	11,236	14,044	15,872	12,434	12,201	14,470	13,285	12,122	23,912	0	170,875
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,714	2,538	3,117	4,063	969	5,416	(617)	38,731
Transfers to other funds	(4,111)	(2,616)	(4,987)	(2,900)	(2,694)	(4,270)	(1,681)	(2,540)	(3,122)	(4,087)	(972)	(5,506)	617	(38,869)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	133	0	133
NET OTHER FINANCING SOURCES/(USES)	92	(21)	(56)	0	(52)	(10)	33	(2)	(5)	(24)	(3)	43	0	(5)
Excess/(Deficiency) of Receipts over Disbursements	1,264	(5,018)	2,171	537	(1,034)	665	(153)	(665)	1,907	4,964	1,005	(8,417)	0	(2,774)
CLOSING BALANCE	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,387	18,392	9,975	0	9,975

**CASHFLOW
GENERAL FUND
FY 2020**
(dollars in millions)

	2019	April	May	June	July	August	September	October	November	December	2020	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	7,206	11,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,818	10,963	7,206		
RECEIPTS:															
Personal Income Tax Consumption/Use Taxes	4,608	1,242	2,605	1,665	1,454	2,417	1,289	1,231	2,106	2,342	1,848	1,839	24,646		
Business Taxes	585	598	796	637	813	627	627	635	790	711	558	658	8,038		
Other Taxes	543	(181)	1,143	160	(20)	1,284	73	57	1,351	124	(102)	1,938	6,370		
Total Taxes	81	58	65	126	44	56	164	89	145	95	116	48	1,087		
Abandoned Property	5,817	1,717	4,609	2,588	2,108	4,570	2,153	2,012	4,392	3,272	2,420	4,483	40,141		
ABC License Fee	1	0	0	0	5	30	35	215	0	0	24	139	449		
Investment Income	6	6	5	6	7	7	7	7	5	6	5	5	72		
Licenses, Fees, etc.	22	17	20	13	14	13	14	13	11	10	13	16	176		
Motor Vehicle Fees	69	42	50	49	49	67	85	41	61	78	44	61	696		
Reimbursements	33	35	12	34	24	24	34	10	41	28	18	47	341		
Extraordinary Settlements	7	29	33	(69)	70	40	10	20	41	(84)	95	22	214		
Other Transactions	585	142	0	33	0	0	28	0	0	0	0	0	788		
Total Miscellaneous Receipts	19	8	38	20	(1)	98	33	25	68	39	7	69	423		
Federal Receipts	742	279	158	87	168	279	246	331	227	77	206	359	3,159		
PIT in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0		
ECEP in Excess of Revenue Bond Debt Service	4,607	1,126	2,606	1,658	1,476	2,683	1,289	960	2,147	2,950	1,049	3,311	25,862		
Tax in Excess of LGAC	0	0	0	0	0	0	0	0	0	0	0	0	0		
Sales Tax Bond Fund	261	105	542	290	277	367	303	292	370	318	(19)	311	3,417		
Real Estate Taxes in Excess of CW/CA Debt Service	191	198	294	212	213	367	205	202	284	255	182	159	2,762		
All Other	70	86	85	114	74	94	55	83	70	78	68	74	951		
Total Transfers from Other Funds	49	111	118	249	119	71	83	68	155	519	363	1,010	2,915		
TOTAL RECEIPTS	5,178	1,626	3,645	2,523	2,159	3,582	1,935	1,605	3,026	4,120	1,643	4,865	35,907		
	11,737	3,622	8,412	5,198	4,435	8,431	4,334	3,948	7,645	7,469	4,269	9,707	79,207		
DISBURSEMENTS:															
School Aid	775	3,896	1,722	366	599	1,497	864	1,661	1,969	711	668	8,793	23,521		
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	2,363		
All Other Education	97	57	130	183	44	706	181	53	136	54	138	528	2,307		
Medicaid - DOH	3,302	1,717	1,426	1,270	1,088	1,282	1,623	1,941	1,248	1,637	944	(1,407)	16,071		
Public Health	24	23	100	41	30	34	32	43	31	21	39	31	449		
Mental Hygiene	124	63	267	181	75	199	176	56	299	94	280	1,609	3,423		
Children and Families	19	21	30	29	93	79	364	186	68	108	141	52	1,190		
Temporary & Disability Assistance	67	65	150	106	95	105	65	245	65	67	64	68	1,162		
Transportation	0	24	14	0	24	0	0	24	11	0	13	0	110		
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	714		
All Other	24	(67)	144	32	65	34	31	75	38	30	55	92	553		
Total Local Assistance	4,470	5,834	4,708	3,320	2,148	4,116	3,431	4,309	4,203	2,765	2,400	10,159	51,863		
Personal Service	689	1,072	679	697	853	676	805	685	684	794	664	642	8,940		
Non-Personal Service	159	249	219	201	211	189	257	147	179	234	230	839	3,114		
Total State Operations	848	1,321	898	898	1,064	865	1,062	832	863	1,028	894	1,481	12,054		
General State Charges	728	2,349	365	385	423	477	573	410	409	447	415	473	7,454		
Debt Service	135	11	(7)	132	(17)	(28)	130	(20)	5	213	(29)	211	736		
Capital Projects	499	406	610	252	473	703	(712)	492	472	309	360	(736)	3,128		
SUNY Operations	219	218	327	181	3	59	0	97	10	16	31	18	1,179		
Other Purposes	75	230	140	9	69	75	85	72	74	53	53	120	1,055		
Total Transfers to Other Funds	928	865	1,070	574	528	809	(497)	641	561	591	415	(387)	6,098		
TOTAL DISBURSEMENTS	6,974	10,369	7,041	5,177	4,163	6,267	4,569	6,192	6,036	4,831	4,124	11,726	77,469		
Excess/(Deficiency) of Receipts over Disbursements	4,763	(6,747)	1,371	21	272	2,164	(235)	(2,244)	1,609	2,638	145	(2,019)	1,738		
CLOSING BALANCE	11,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,818	10,963	8,944	8,944		

**CASHFLOW
STATE OPERATING FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,362	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	19,009	20,009	0	12,362
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,320	1,295	1,709	1,381	1,366	1,756	1,362	1,368	1,718	1,501	1,208	1,407	0	17,391
Business Taxes	747	(139)	1,436	245	43	1,559	147	132	1,658	198	(10)	2,313	0	8,329
Other Taxes	164	144	152	245	123	141	237	177	219	178	189	123	0	2,092
Total Taxes	11,447	3,784	8,507	5,202	4,440	8,289	4,324	4,145	7,884	10,776	5,083	7,591	0	81,472
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	139	0	449
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	5	0	72
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	16	0	176
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	61	0	696
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	64	0	549
Reimbursements	7	29	33	(69)	70	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	0	0	788
Other Transactions	332	303	525	387	235	484	376	278	511	801	366	452	0	5,050
Total Miscellaneous Receipts	2,342	1,726	1,706	1,709	1,632	2,169	1,879	1,590	1,728	2,313	2,081	1,825	0	22,700
Federal Receipts	0	0	(1)	1	54	0	1	0	0	(26)	35	(3)	0	61
TOTAL RECEIPTS	13,789	5,510	10,212	6,912	6,126	10,458	6,204	5,735	9,612	13,063	7,199	9,413	0	104,233
DISBURSEMENTS:														
School Aid	775	3,896	2,071	366	599	4,005	1,010	1,807	2,114	858	814	9,052	0	27,367
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	97	58	131	183	46	710	182	53	136	54	139	529	0	2,318
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	2,221	1,438	(1,242)	0	21,755
Public Health	84	56	204	84	195	88	88	84	131	125	94	437	0	1,670
Mental Hygiene	124	63	268	181	75	200	176	56	300	94	280	1,609	0	3,426
Children and Families	20	22	30	29	94	78	364	187	68	108	142	52	0	1,194
Temporary & Disability Assistance	67	65	150	106	95	105	65	245	65	67	64	68	0	1,162
Transportation	68	427	279	274	389	327	280	499	739	63	103	40	0	3,488
Unrestricted Aid	0	11	388	0	7	39	10	0	193	0	1	64	0	714
All Other	70	(30)	163	68	100	77	63	122	84	25	154	115	0	1,011
Total Local Assistance	4,645	7,192	5,813	4,256	3,403	7,660	4,417	5,431	5,695	5,765	3,286	11,089	0	68,652
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,193	1,068	1,057	0	14,090
Non-Personal Service	404	500	418	438	473	406	516	430	400	541	505	1,047	0	6,078
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,734	1,573	2,104	0	20,168
General State Charges	792	2,436	421	464	518	549	639	540	514	529	480	541	0	8,423
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,004	11,902	7,955	6,350	5,673	10,113	6,984	7,560	8,113	8,072	6,059	16,374	0	102,159
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	5,705	2,418	4,298	3,020	2,505	4,022	2,373	1,885	3,514	4,657	1,985	6,046	(510)	41,918
Transfers to other funds	(6,134)	(2,501)	(4,748)	(3,126)	(2,729)	(4,468)	(1,457)	(2,235)	(3,585)	(4,662)	(2,125)	(4,686)	510	(41,946)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(429)	(83)	(450)	(106)	(224)	(446)	916	(350)	(71)	(5)	(140)	1,360	0	(28)
Excess/(Deficiency) of Receipts over Disbursements	6,356	(6,475)	1,807	456	229	(101)	136	(2,175)	1,428	4,986	1,000	(5,601)	0	2,046
CLOSING BALANCE	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	19,009	20,009	14,408	0	14,408

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2020
(dollars in millions)

	2020												Intra-Fund Transfer Eliminations	Total
	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results		
OPENING BALANCE	9,975	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	19,627	20,491		9,975
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,412	1,784	1,547	1,245	1,464	0	18,023
Business Taxes	807	(84)	1,496	298	103	1,619	207	185	1,712	253	35	2,364	0	8,995
Other Taxes	164	144	164	257	135	152	249	189	231	190	201	135	0	2,211
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,889	5,177	7,711	0	82,889
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	139	0	449
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	5	0	72
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	16	0	176
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	61	0	696
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	64	0	549
Reimbursements	7	29	33	(69)	70	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	0	0	788
Other Transactions	650	447	791	647	436	1,078	2,091	426	929	1,432	490	2,399	0	11,816
Total Miscellaneous Receipts	2,660	1,870	1,972	1,969	1,833	2,763	3,594	1,738	2,146	2,944	2,205	3,772	0	29,466
Federal Receipts	6,242	4,959	5,803	3,275	6,083	5,663	5,710	5,394	6,606	5,728	5,138	4,479	0	65,080
TOTAL RECEIPTS	20,463	10,714	16,419	10,557	12,476	16,865	13,744	11,386	16,768	19,561	12,520	15,962	0	177,435
DISBURSEMENTS:														
School Aid	1,065	4,398	2,300	617	762	4,123	1,161	2,015	2,423	1,076	985	9,259	0	30,184
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	154	150	174	229	127	821	252	90	178	155	228	641	0	3,199
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	6,760	6,758	5,309	5,172	5,919	5,371	5,984	6,284	5,264	6,465	5,224	1,665	0	66,175
Public Health	252	219	578	291	340	422	282	193	464	382	280	795	0	4,498
Mental Hygiene	138	71	282	198	97	216	191	75	321	109	292	1,635	0	3,625
Children and Families	68	56	68	63	194	342	547	356	72	246	162	175	0	2,349
Temporary & Disability Assistance	154	134	378	378	156	338	927	575	161	322	305	893	0	4,720
Transportation	306	479	358	338	439	860	370	541	1,071	124	190	196	0	5,272
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	0	714
All Other	286	336	566	243	226	301	389	486	428	706	448	420	0	4,835
Total Local Assistance	9,221	12,636	10,737	8,641	8,295	12,974	10,198	10,643	10,758	11,735	8,172	16,108	0	130,118
Personal Service	1,140	1,718	1,128	1,191	1,253	1,115	1,440	1,131	1,145	1,242	1,119	1,105	0	14,727
Non-Personal Service	474	576	515	520	578	592	649	513	525	683	656	1,196	0	7,477
Total State Operations	1,614	2,294	1,643	1,711	1,831	1,707	2,089	1,644	1,670	1,925	1,775	2,301	0	22,204
General State Charges	814	2,460	454	500	542	574	665	566	540	566	509	567	0	8,757
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	434	529	536	600	750	582	711	705	577	552	477	533	0	6,986
TOTAL DISBURSEMENTS	12,155	18,041	13,600	11,497	11,492	16,271	13,711	13,633	13,957	14,822	11,653	22,149	0	172,981
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,215	2,831	4,931	3,280	2,991	4,774	1,665	2,379	4,023	4,980	2,364	5,542	(510)	45,465
Transfers to other funds	(6,230)	(2,847)	(4,947)	(3,293)	(3,010)	(4,798)	(1,668)	(2,381)	(4,025)	(4,992)	(2,367)	(5,562)	510	(45,610)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(15)	(16)	(16)	(13)	(19)	(24)	(3)	(2)	(2)	(12)	(3)	(20)	0	(145)
Excess/(Deficiency) of Receipts over Disbursements	8,293	(7,343)	2,803	(953)	965	570	30	(2,249)	2,809	4,727	864	(6,207)	0	4,309
CLOSING BALANCE	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	19,627	20,491	14,284	0	14,284

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,842	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	7,175	7,586		3,842
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,107	0	35	0	2,184
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	155	128	128	0	1,916
Business Taxes	204	42	293	85	63	275	74	75	307	74	92	375	0	1,959
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,336	220	538	0	6,059
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	18	15	17	0	208
Other Transactions	284	310	461	292	215	382	325	247	405	736	346	362	0	4,365
Total Miscellaneous Receipts	1,571	1,462	1,522	1,547	1,443	1,886	1,615	1,253	1,463	2,210	1,862	1,445	0	19,279
Federal Receipts	6,204	4,816	5,671	3,118	5,874	5,484	5,368	5,210	6,447	5,383	4,983	4,339	0	62,897
TOTAL RECEIPTS	8,176	6,466	7,656	4,914	7,535	7,826	7,214	6,690	8,442	9,929	7,065	6,322	0	88,235
DISBURSEMENTS:														
School Aid	282	499	569	244	155	2,610	288	341	433	346	315	460	0	6,542
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	93	43	44	79	89	66	35	38	100	89	111	0	843
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,458	5,041	3,883	3,902	4,831	4,089	4,361	4,343	4,016	4,828	4,280	3,072	0	50,104
Public Health	200	156	460	181	294	371	181	126	393	282	213	695	0	3,552
Mental Hygiene	10	7	12	11	20	13	9	9	17	6	6	15	0	135
Children and Families	49	35	38	34	101	263	183	170	4	138	21	123	0	1,159
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	239	241	811	0	3,496
Transportation	72	408	268	277	369	329	282	478	732	71	96	40	0	3,422
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	172	90	9	111	81	112	183	238	165	231	237	176	0	1,805
Total Local Assistance	4,386	6,398	5,493	5,076	5,991	8,093	6,415	6,073	5,932	8,348	5,498	5,539	0	73,242
Personal Service	451	646	449	494	400	439	635	446	461	448	455	463	0	5,787
Non-Personal Service	314	325	294	310	360	402	391	364	344	449	424	350	0	4,327
Total State Operations	765	971	743	804	760	841	1,026	810	805	897	879	813	0	10,114
General State Charges	86	111	89	115	119	97	92	156	131	119	94	94	0	1,303
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,237	7,480	6,325	5,995	6,870	9,031	7,533	7,039	6,868	9,364	6,471	6,446	0	84,659
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	309	585	567	214	160	169	120	186	111	84	123	151	(510)	2,269
Transfers to Other Funds	(88)	(304)	(181)	(239)	(244)	(184)	(175)	(104)	(423)	(336)	(306)	(1,301)	510	(3,375)
NET OTHER FINANCING SOURCES/(USES)	221	281	386	(25)	(84)	(15)	(55)	82	(312)	(252)	(183)	(1,150)	0	(1,106)
Excess/(Deficiency) of Receipts over Disbursements	3,160	(733)	1,717	(1,106)	581	(1,220)	(374)	(267)	1,262	313	411	(1,274)	0	2,470
CLOSING BALANCE	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	7,175	7,586	6,312	0	6,312

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,091	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,609	6,037	0	5,091
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,107	0	35	0	2,184
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	155	128	128	0	1,916
Business Taxes	204	42	293	85	63	275	74	75	307	74	92	375	0	1,959
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,336	220	538	0	6,059
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	18	15	17	0	208
Other Transactions	270	259	449	277	193	370	312	228	391	724	327	350	0	4,150
Total Miscellaneous Receipts	1,557	1,411	1,510	1,532	1,421	1,874	1,602	1,234	1,449	2,198	1,843	1,433	0	19,064
Federal Receipts	0	0	(1)	0	19	0	0	0	0	(27)	0	(4)	0	(13)
TOTAL RECEIPTS	1,958	1,599	1,972	1,781	1,658	2,330	1,833	1,461	1,981	4,507	2,063	1,967	0	25,110
DISBURSEMENTS:														
School Aid	0	0	349	0	0	2,508	146	146	145	147	146	259	0	3,846
Higher Education	0	0	1	0	2	4	1	0	0	0	0	0	0	0
All Other Education	0	1	1	0	0	0	0	0	0	0	1	1	0	11
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	60	883	366	583	687	608	471	409	434	584	494	165	0	5,684
Public Health	0	33	104	43	165	54	56	41	100	104	55	406	0	1,221
Mental Hygiene	0	0	1	0	0	1	0	0	1	0	0	0	0	3
Children and Families	1	1	0	0	1	(1)	0	1	0	0	1	0	0	4
Temporary & Disability Assistance	68	403	265	274	365	327	280	475	728	63	90	40	0	3,378
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	46	37	19	36	35	43	32	47	46	(5)	99	23	0	458
All Other	175	1,358	1,105	936	1,255	3,544	986	1,122	1,492	3,000	886	930	0	16,789
Total Local Assistance	402	580	394	450	352	388	559	399	408	399	404	415	0	5,150
Personal Service	244	249	197	228	255	216	258	281	219	307	273	201	0	2,928
Non-Personal Service	646	829	591	678	607	604	817	680	627	706	677	616	0	8,078
Total State Operations	64	87	56	79	95	72	66	130	105	82	65	68	0	969
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	885	2,274	1,752	1,693	1,957	4,220	1,869	1,932	2,224	3,788	1,628	1,614	0	25,836
TOTAL DISBURSEMENTS	885	2,274	1,752	1,693	1,957	4,220	1,869	1,932	2,224	3,788	1,628	1,614	0	25,836
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	308	585	567	215	160	169	120	186	111	84	123	151	(510)	2,269
Transfers to Other Funds	(38)	(6)	(30)	(121)	(33)	(107)	(10)	(4)	(72)	(52)	(130)	(1,140)	510	(1,233)
NET OTHER FINANCING SOURCES/(USES)	270	579	537	94	127	62	110	182	39	32	(7)	(989)	0	1,036
Excess/(Deficiency) of Receipts over Disbursements	1,343	(96)	757	182	(172)	(1,828)	74	(289)	(204)	751	428	(636)	0	310
CLOSING BALANCE	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,609	6,037	5,401	0	5,401

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019	2020	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	(1,249)	568	(69)	891	(397)	356	964	516	538	2,004	1,566	1,549	(1,249)		
RECEIPTS:															
Miscellaneous Receipts	14	51	12	15	22	12	13	19	14	12	19	12	12	12	215
Federal Receipts	6,204	4,816	5,672	3,118	5,855	5,484	5,368	5,210	6,447	5,410	4,983	4,343	4,343	4,343	62,910
TOTAL RECEIPTS	6,218	4,867	5,684	3,133	5,877	5,496	5,381	5,229	6,461	5,422	5,002	4,355	4,355	4,355	63,125
DISBURSEMENTS:															
School Aid	282	499	220	244	155	102	142	195	288	199	169	201	201	201	2,696
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	92	42	44	77	85	65	35	38	100	88	110	110	110	832
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,458	4,158	3,517	3,319	4,144	3,481	3,890	3,934	3,582	4,244	3,786	2,907	2,907	2,907	44,420
Public Health	140	123	356	138	129	317	125	85	293	178	158	289	289	289	2,331
Mental Hygiene	10	7	11	11	20	12	9	9	16	6	6	15	15	15	132
Children and Families	48	34	38	34	100	264	183	169	96	138	20	123	123	123	1,155
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	239	241	811	811	811	3,496
Transportation	4	5	3	3	4	2	2	3	4	8	6	0	0	0	44
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	126	53	(10)	75	46	69	151	191	119	236	138	153	153	153	1,347
Total Local Assistance	4,211	5,040	4,388	4,140	4,736	4,549	5,429	4,951	4,440	5,348	4,612	4,609	4,609	4,609	56,453
Personal Service	49	66	55	44	48	51	76	47	53	49	51	48	48	48	637
Non-Personal Service	70	76	97	82	105	186	133	83	125	142	151	149	149	149	1,399
Total State Operations	119	142	152	126	153	237	209	130	178	191	202	197	197	197	2,036
General State Charges	22	24	33	36	24	25	26	26	26	37	29	26	26	26	334
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,352	5,206	4,573	4,302	4,913	4,811	5,664	5,107	4,644	5,576	4,843	4,832	4,832	4,832	58,823
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	1	0	0	(1)	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(50)	(298)	(151)	(118)	(211)	(77)	(165)	(100)	(351)	(284)	(176)	(161)	(161)	(161)	(2,142)
NET OTHER FINANCING SOURCES/(USES)	(49)	(298)	(151)	(119)	(211)	(77)	(165)	(100)	(351)	(284)	(176)	(161)	(161)	(161)	(2,142)
Excess/(Deficiency) of Receipts over Disbursements	1,817	(637)	960	(1,288)	753	608	(448)	22	1,466	(438)	(17)	(638)	(638)	(638)	2,160
CLOSING BALANCE	568	(69)	891	(397)	356	964	516	538	2,004	1,566	1,549	911	911	911	911

**CASHFLOW
DEBT SERVICE FUNDS
FY 2020**
(dollars in millions)

	2019	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	2020	Total
	April	May	June	July	August	September	October	November	December	January	February	March	Results	Results	Results	Results	Results	Results
OPENING BALANCE	65	315	683	362	615	744	307	604	962	985	2,582	3,009						65
RECEIPTS:																		
Personal Income Tax	4,608	1,242	2,605	1,666	1,454	2,416	1,289	1,234	2,144	4,450	1,848	1,874						26,830
Consumption/Use Taxes	538	551	743	580	581	762	578	584	742	635	522	621						7,437
Other Taxes	83	86	87	119	79	85	73	88	74	83	73	75						1,005
Total Taxes	5,229	1,879	3,435	2,365	2,114	3,263	1,940	1,906	2,960	5,168	2,443	2,570						35,272
Miscellaneous Receipts	43	36	38	90	43	16	31	25	52	38	32	33						477
Federal Receipts	0	0	0	1	35	0	1	0	0	1	35	1						74
TOTAL RECEIPTS	5,272	1,915	3,473	2,456	2,192	3,279	1,972	1,931	3,012	5,207	2,510	2,604						35,823
DISBURSEMENTS:																		
State Operations	1	2	2	9	7	1	1	2	2	0	2	7						36
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640						4,916
TOTAL DISBURSEMENTS	73	124	232	54	81	435	49	77	414	44	722	2,647						4,952
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	219	207	86	282	186	271	318	94	377	453	219	1,030						3,742
Transfers to Other Funds	(5,168)	(1,630)	(3,648)	(2,431)	(2,168)	(3,552)	(1,944)	(1,590)	(2,952)	(4,019)	(1,580)	(3,933)						(34,615)
NET OTHER FINANCING SOURCES/(USES)	(4,949)	(1,423)	(3,562)	(2,149)	(1,982)	(3,281)	(1,626)	(1,496)	(2,575)	(3,566)	(1,361)	(2,903)						(30,873)
Excess/(Deficiency) of Receipts over Disbursements	250	368	(321)	253	129	(437)	297	358	23	1,597	427	(2,946)						(2)
CLOSING BALANCE	315	683	362	615	744	307	604	962	985	2,582	3,009	63						63

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2020**
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(1,138)	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(948)	(1,067)	(1,138)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	46	37	57	632
Business Taxes	60	55	60	53	60	60	60	53	54	55	45	51	666
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	113	94	120	1,417
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	619	105	1,935	6,551
Federal Receipts	38	143	132	156	174	179	341	184	159	344	120	139	2,109
TOTAL RECEIPTS	456	337	523	512	473	911	2,159	422	695	1,076	319	2,194	10,077
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	1	1	2	49
Public Health	28	40	18	69	16	17	69	24	40	79	28	69	497
Mental Hygiene	4	1	3	6	2	4	6	10	5	9	6	11	67
School Aid	8	3	9	7	8	16	9	13	21	19	2	6	121
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	234	47	76	61	46	531	88	39	328	53	81	156	1,740
All Other Local	90	313	413	100	80	155	175	173	225	445	156	152	2,477
Total Local Assistance	365	404	536	245	156	765	352	261	623	622	274	410	5,013
Economic Development	3	3	22	3	28	1	2	35	1	12	43	(17)	136
Parks & the Environment	34	42	46	49	89	54	59	71	44	47	51	63	649
Transportation	229	279	281	309	333	323	380	362	301	254	203	194	3,448
Health & Social Welfare	4	5	6	5	6	9	5	5	7	8	27	12	99
Mental Hygiene	24	32	34	26	25	24	25	32	27	28	25	36	338
Public Protection	39	38	41	56	52	46	35	57	64	50	52	71	601
Education	57	78	47	85	145	72	134	80	59	113	65	89	1,024
All Other	44	52	59	67	72	53	71	63	74	40	11	85	691
Total Capital Projects	434	529	536	600	750	582	711	705	577	552	477	533	6,986
TOTAL DISBURSEMENTS	799	933	1,072	845	906	1,347	1,063	966	1,200	1,174	751	943	11,999
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(708)	494	509	323	379	(504)	3,547
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(46)	(66)	(715)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(754)	448	420	277	313	(1,219)	2,025
Excess/(Deficiency) of Receipts over Disbursements	120	(231)	36	(121)	(17)	63	342	(96)	(85)	179	(119)	32	103
CLOSING BALANCE	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(948)	(1,067)	(1,035)	(1,035)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(633)	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(482)	(500)	(633)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	46	37	57	632
Business Taxes	60	55	60	53	60	60	60	53	54	55	45	51	666
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	113	94	120	1,417
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	619	105	1,934	6,550
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	418	194	391	356	299	734	1,818	238	536	732	199	2,057	7,972
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	1	1	2	49
Public Health	28	40	18	69	16	16	31	24	40	79	28	65	454
Mental Hygiene	4	1	3	6	2	4	6	10	5	9	6	11	67
School Aid	8	3	9	7	8	16	9	13	21	19	2	6	121
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	194	10	28	22	7	499	38	7	269	13	9	102	1,198
All Other Local	90	313	403	100	80	145	175	165	225	274	156	141	2,267
Total Local Assistance	325	367	478	206	117	722	264	221	564	411	202	341	4,218
Economic Development	3	3	22	3	28	1	2	35	1	12	43	(17)	136
Parks & the Environment	33	40	45	48	88	52	58	70	43	47	49	63	636
Transportation	169	199	186	209	217	211	264	245	209	206	160	157	2,432
Health & Social Welfare	3	4	5	5	6	9	4	5	7	7	27	12	94
Mental Hygiene	24	32	34	26	25	24	25	32	27	28	25	36	338
Public Protection	36	37	38	53	50	44	32	53	61	48	49	65	566
Education	57	78	47	85	145	72	134	80	59	113	65	89	1,024
All Other	44	52	59	67	72	53	71	63	74	40	11	84	690
Total Capital Projects	369	445	436	496	631	466	590	583	481	501	429	489	5,916
TOTAL DISBURSEMENTS	694	812	914	702	748	1,188	854	804	1,045	912	631	830	10,134
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(532)	494	509	323	481	(483)	3,846
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(45)	(67)	(715)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(578)	448	420	278	414	(1,198)	2,324
Excess/(Deficiency) of Receipts over Disbursements	187	(253)	62	(134)	(33)	45	386	(118)	(89)	98	(18)	29	162
CLOSING BALANCE	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(482)	(500)	(471)	(471)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(505)	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(466)	(567)	(505)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
Federal Receipts	38	143	132	156	174	177	341	184	159	344	120	136	2,104
TOTAL RECEIPTS	38	143	132	156	174	177	341	184	159	344	120	137	2,105
DISBURSEMENTS:													
Public Health	0	0	0	0	0	1	38	0	0	0	0	4	43
Transportation	40	37	48	39	39	32	50	32	59	40	72	54	542
All Other Local	0	0	10	0	0	10	0	8	0	171	0	11	210
Total Local Assistance	40	37	58	39	39	43	88	40	59	211	72	69	795
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	1	1	2	1	1	1	0	2	0	13
Transportation	60	80	95	100	116	112	116	117	92	48	43	37	1,016
Health & Social Welfare	1	1	1	0	0	0	1	0	0	1	0	0	5
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	3	1	3	3	2	2	3	4	3	2	3	6	35
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	0	0	0	0	0	1	1
Total Capital Projects	65	84	100	104	119	116	121	122	96	51	48	44	1,070
TOTAL DISBURSEMENTS	105	121	158	143	158	159	209	162	155	262	120	113	1,865
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	(176)	0	0	0	(102)	(21)	(299)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	(1)	1	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	(176)	0	0	(1)	(101)	(21)	(299)
Excess/(Deficiency) of Receipts over Disbursements	(67)	22	(26)	13	16	18	(44)	22	4	81	(101)	3	(59)
CLOSING BALANCE	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(466)	(567)	(564)	(564)

**CASHFLOW
STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,729	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	18,527	19,509		11,729
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,412	1,784	1,547	1,245	1,464	0	18,023
Business Taxes	807	(84)	1,496	298	103	1,619	207	185	1,712	253	35	2,364	0	8,995
Other Taxes	164	144	164	257	135	152	249	189	231	190	201	135	0	2,211
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,889	5,177	7,711	0	82,889
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	139	0	449
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	5	0	72
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	16	0	176
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	61	0	696
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	64	0	549
Reimbursements	7	29	33	(69)	70	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	0	0	788
Other Transactions	636	396	779	632	414	1,066	2,078	407	915	1,420	471	2,386	0	11,600
Total Miscellaneous Receipts	2,646	1,819	1,960	1,954	1,811	2,751	3,581	1,719	2,132	2,932	2,186	3,759	0	29,250
Federal Receipts	0	0	(1)	1	54	2	1	0	0	(26)	35	0	0	66
TOTAL RECEIPTS	14,207	5,704	10,603	7,268	6,425	11,192	8,022	5,973	10,148	13,795	7,398	11,470	0	112,205
DISBURSEMENTS:														
School Aid	783	3,899	2,080	373	607	4,021	1,019	1,820	2,135	877	816	9,058	0	27,488
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	98	58	132	185	50	736	187	55	140	55	140	531	0	2,367
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	2,221	1,438	(1,242)	0	21,755
Public Health	112	96	222	153	211	104	119	108	171	204	122	502	0	2,124
Mental Hygiene	128	64	271	187	77	204	182	66	305	103	286	1,620	0	3,493
Children and Families	20	22	30	29	94	78	364	187	68	108	142	52	0	1,194
Temporary & Disability Assistance	67	65	166	106	95	121	65	245	65	83	64	82	0	1,224
Transportation	262	437	307	296	396	826	318	506	1,008	76	112	142	0	4,686
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	0	714
All Other	160	283	566	168	180	222	238	287	309	299	310	256	0	3,278
Total Local Assistance	4,970	7,559	6,291	4,462	3,520	8,382	4,681	5,652	6,259	6,176	3,488	11,430	0	72,870
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,193	1,068	1,057	0	14,090
Non-Personal Service	404	500	418	438	473	406	516	430	400	541	505	1,047	0	6,078
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,734	1,573	2,104	0	20,168
General State Charges	792	2,436	421	464	518	549	639	540	514	529	480	541	0	8,423
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	369	445	436	496	631	466	590	583	481	501	429	489	0	5,916
TOTAL DISBURSEMENTS	7,698	12,714	8,869	7,052	6,421	11,301	7,838	8,364	9,158	8,984	6,690	17,204	0	112,293
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,214	2,831	4,931	3,281	2,991	4,774	1,841	2,379	4,023	4,980	2,466	5,563	(510)	45,764
Transfers to other funds	(6,180)	(2,549)	(4,796)	(3,175)	(2,799)	(4,721)	(1,503)	(2,281)	(3,674)	(4,707)	(2,192)	(5,401)	510	(43,468)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	34	282	135	106	192	53	338	98	349	273	274	162	0	2,296
Excess/(Deficiency) of Receipts over Disbursements	6,543	(6,728)	1,869	322	196	(56)	522	(2,293)	1,339	5,084	982	(5,572)	0	2,208
CLOSING BALANCE	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	18,527	19,509	13,937	0	13,937

CASHFLOW
GENERAL FUND
FY 2021
(dollars in millions)

	2020		2021		Total								
	April Projected	May Projected	June Projected	July Projected		August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected
OPENING BALANCE	8,944	8,740	6,219	1,718	9,946	8,787	9,938	9,513	8,395	8,454	8,564	9,617	8,944
RECEIPTS:													
Personal Income Tax	280	911	2,256	5,918	1,324	2,189	1,123	1,157	2,070	1,986	1,473	1,763	22,450
Consumption/Use Taxes	528	528	688	533	502	635	551	532	653	645	507	632	6,934
Business Taxes	263	104	1,167	247	50	1,271	65	11	1,309	79	17	1,923	6,506
Other Taxes	99	98	98	99	99	99	100	100	98	74	74	77	1,115
Total Taxes	1,170	1,641	4,209	6,797	1,975	4,194	1,839	1,800	4,130	2,784	2,071	4,395	37,005
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	450
ABC License Fee	1	1	1	6	6	6	7	5	7	7	7	6	60
Investment Income	16	5	5	5	5	5	10	5	5	5	8	4	78
Licenses, Fees, etc.	20	20	35	20	45	15	35	55	40	20	35	38	378
Motor Vehicle Fees	22	8	3	52	27	27	36	13	44	31	21	47	331
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	124
Extraordinary Settlements	80	0	0	0	0	0	0	0	0	0	0	0	80
Other Transactions	8	3,008	1,539	31	8	90	26	8	67	9	20	58	4,872
Total Miscellaneous Receipts	153	3,048	1,602	120	97	173	155	317	182	78	127	321	6,373
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	280	911	2,256	5,176	507	1,808	748	612	680	1,528	1,844	2,802	19,152
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	2	2
Tax in Excess of LGAC	231	72	490	229	227	292	240	242	302	283	157	298	3,063
Sales Tax Bond Fund	121	132	211	120	119	230	131	132	193	173	128	297	1,987
Real Estate Taxes in Excess of CW/CA Debt Service	37	39	34	47	67	67	80	87	86	86	84	67	781
All Other	103	99	104	100	99	183	104	125	105	141	284	1,132	2,579
Total Transfers from Other Funds	772	1,253	3,095	5,672	1,019	2,580	1,303	1,198	1,366	2,211	2,497	4,598	27,564
TOTAL RECEIPTS	2,095	5,942	8,906	12,589	3,091	6,947	3,297	3,315	5,678	5,073	4,695	9,314	70,942
DISBURSEMENTS:													
School Aid	742	4,076	1,929	450	638	1,684	1,022	1,567	2,104	489	744	8,609	24,054
Higher Education	19	27	1,794	194	75	158	198	31	198	34	288	503	3,519
All Other Education	25	92	498	140	116	246	49	52	392	34	165	482	2,291
Medicaid - DOH	240	1,601	2,560	1,036	1,617	1,688	1,212	1,510	1,081	1,419	1,281	371	15,616
Public Health	(4)	191	233	53	31	28	27	27	22	25	63	16	712
Mental Hygiene	76	76	347	139	56	337	111	39	387	131	159	358	2,216
Children and Families	17	67	417	93	79	255	79	79	255	79	130	280	1,830
Temporary & Disability Assistance	66	102	227	161	102	102	102	102	102	102	102	146	1,416
Transportation	0	24	14	0	24	0	0	24	5	0	19	0	110
Unrestricted Aid	2	13	390	2	4	44	13	6	192	3	2	68	739
Budget Balance Reduction	0	0	(300)	(300)	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(2,800)	(8,000)
All Other	16	24	406	33	26	331	25	21	333	31	54	597	1,897
Total Local Assistance	1,199	6,293	8,515	2,001	2,368	3,873	2,338	3,158	3,771	2,047	2,207	8,630	46,400
Personal Service	859	698	813	844	709	957	710	700	949	692	695	962	9,588
Non-Personal Service	196	222	301	197	210	354	237	210	289	224	207	400	3,047
Budget Balance Reduction	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(980)
Total State Operations	1,055	920	1,016	943	821	1,213	849	812	1,140	818	804	1,264	11,655
General State Charges	422	338	2,538	403	338	437	480	349	375	467	571	531	7,249
Debt Service	32	0	(2)	79	(3)	(3)	50	0	(2)	1,689	(23)	(7)	1,810
Capital Projects	(513)	541	623	638	625	200	(96)	(81)	235	(115)	22	1,433	3,512
SUNY Operations	6	228	583	261	15	14	9	80	18	9	12	38	1,273
Other Purposes	98	143	134	36	86	62	92	115	82	48	49	325	1,270
Total Transfers to Other Funds	(377)	912	1,338	1,014	723	273	55	114	333	1,631	60	1,789	7,865
TOTAL DISBURSEMENTS	2,299	8,463	13,407	4,361	4,250	5,796	3,722	4,433	5,619	4,963	3,642	12,214	73,169
Excess/(Deficiency) of Receipts over Disbursements	(204)	(2,521)	(4,501)	8,228	(1,159)	1,151	(425)	(1,118)	59	110	1,053	(2,900)	(2,227)
CLOSING BALANCE	8,740	6,219	1,718	9,946	8,787	9,938	9,513	8,395	8,454	8,564	8,564	9,617	6,717
Exclude Budget Balance Reduction	0	0	(398)	(398)	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(2,898)	(8,980)
Exclude Liquidity Financing	0	(3,000)	(1,500)	425	350	625	375	375	425	1,925	0	0	0
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	8,740	3,219	(3,180)	5,075	3,768	4,446	3,798	2,657	1,743	3,380	3,535	(2,263)	(2,263)

**CASHFLOW
STATE OPERATING FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	14,408	14,444	12,112	7,968	17,546	16,902	17,065	17,159	16,481	17,609	22,324	23,017		14,408
RECEIPTS:														
Personal Income Tax	560	1,822	4,512	11,836	2,648	4,378	2,247	2,334	4,210	8,007	2,946	3,546	0	49,046
Consumption/Use Taxes	1,149	1,140	1,489	1,135	1,093	1,377	1,170	1,147	1,425	1,354	1,101	1,337	0	14,917
Business Taxes	341	150	1,398	348	117	1,590	144	72	1,638	160	76	2,338	0	8,372
Other Taxes	140	141	136	150	170	170	184	191	188	164	162	151	0	1,947
Total Taxes	2,190	3,253	7,535	13,469	4,028	7,515	3,745	3,744	7,461	9,685	4,285	7,372	0	74,282
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	1	1	1	6	6	6	7	5	7	7	7	6	0	60
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
Investment Income	16	5	5	5	5	5	10	5	5	5	8	4	0	78
Licenses, Fees, etc.	20	20	35	20	45	15	35	55	40	20	35	38	0	378
Lottery	173	130	122	251	233	292	237	242	306	254	263	290	0	2,793
Medicaid	115	78	78	78	78	78	78	78	78	78	78	41	0	936
Motor Vehicle Fees	38	13	7	81	49	45	58	35	66	52	39	68	0	551
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	0	124
State University Income	321	316	258	313	472	711	437	352	229	528	768	255	0	4,960
Extraordinary Settlements	80	0	0	0	0	0	0	0	0	0	0	0	0	80
Other Transactions	251	3,226	1,442	253	256	402	291	246	(30)	262	317	(438)	0	6,478
Total Miscellaneous Receipts	1,561	4,242	2,401	1,523	1,590	2,069	1,659	1,691	1,180	1,658	1,996	820	0	22,390
Federal Receipts	0	0	0	(1)	2	35	0	0	0	(24)	2	37	0	51
TOTAL RECEIPTS	3,751	7,495	9,936	14,991	5,620	9,619	5,404	5,435	8,641	11,319	6,283	8,229	0	96,723
DISBURSEMENTS:														
School Aid	742	4,076	2,256	450	638	3,670	1,102	1,647	2,184	569	824	8,749	0	26,907
Higher Education	19	27	1,794	194	75	158	198	31	198	34	288	503	0	3,519
All Other Education	25	92	499	141	119	248	51	52	393	34	165	485	0	2,304
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Medicaid - DOH	739	2,100	3,059	1,549	2,130	2,151	1,700	1,998	1,569	1,887	1,808	769	0	21,459
Public Health	64	236	315	108	209	112	122	89	133	104	116	151	0	1,759
Mental Hygiene	76	76	348	139	56	338	111	39	388	131	159	361	0	2,222
Children and Families	17	67	417	93	79	255	79	79	255	79	130	284	0	1,834
Temporary & Disability Assistance	66	102	227	161	102	102	102	102	102	102	102	146	0	1,416
Transportation	73	506	341	290	451	287	300	577	846	71	120	73	0	3,935
Unrestricted Aid	2	13	390	2	4	44	13	6	192	3	2	68	0	739
Budget Balance Reduction	0	0	(300)	(300)	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(2,800)	0	(8,000)
All Other	61	70	146	74	71	76	200	74	77	95	102	447	0	1,493
Total Local Assistance	1,884	7,365	9,492	2,901	3,534	6,441	3,479	4,404	5,072	4,827	3,016	9,245	0	61,660
Personal Service	1,444	1,119	1,137	1,261	1,096	1,444	1,115	1,109	1,299	1,103	1,109	1,410	0	14,646
Non-Personal Service	421	462	448	383	515	476	476	446	423	485	454	452	0	5,441
Budget Balance Reduction	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	0	(980)
Total State Operations	1,865	1,581	1,487	1,546	1,513	1,822	1,493	1,457	1,624	1,490	1,465	1,764	0	19,107
General State Charges	515	426	2,603	470	415	523	565	479	500	539	638	623	0	8,296
Debt Service	37	21	27	11	346	879	14	33	230	11	881	7,848	0	10,338
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,301	9,393	13,609	4,928	5,808	9,665	5,551	6,373	7,426	6,867	6,000	19,480	0	99,401
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	1,005	1,750	3,972	6,221	1,310	3,021	1,621	1,563	1,624	4,124	2,861	6,200	(487)	34,785
Transfers to other funds	(419)	(2,184)	(4,443)	(6,706)	(1,766)	(2,812)	(1,380)	(1,303)	(1,711)	(3,861)	(2,451)	(6,527)	487	(35,076)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	586	(434)	(471)	(485)	(456)	209	241	260	(87)	263	410	(327)	0	(291)
Excess/(Deficiency) of Receipts over Disbursements	36	(2,332)	(4,144)	9,578	(644)	163	94	(678)	1,128	4,715	693	(11,578)	0	(2,969)
CLOSING BALANCE	14,444	12,112	7,968	17,546	16,902	17,065	17,159	16,481	17,609	22,324	23,017	11,439	0	11,439
Exclude Budget Balance Reduction	0	0	(398)	(398)	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(2,898)	0	(8,980)
Exclude Liquidity Financing	0	(3,000)	(1,500)	425	350	625	375	375	425	1,925	0	0	0	0
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	14,444	9,112	3,070	12,675	11,883	11,573	11,444	10,743	10,898	17,140	16,935	2,459	0	2,459

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	2020 May Projected	2020 June Projected	2020 July Projected	2020 August Projected	2020 September Projected	2020 October Projected	2020 November Projected	2020 December Projected	2021 January Projected	2021 February Projected	2021 March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	14,284	18,611	15,029	10,815	19,567	18,126	18,896	18,971	17,869	19,704	23,446	23,096	0	14,284
RECEIPTS:														
Personal Income Tax	560	1,822	4,512	11,836	2,648	4,378	2,247	2,334	4,210	8,007	2,946	3,546	0	49,046
Consumption/Use Taxes	1,178	1,167	1,533	1,173	1,136	1,444	1,220	1,191	1,492	1,403	1,142	1,395	0	15,474
Business Taxes	371	180	1,431	397	170	1,642	206	125	1,693	221	126	2,395	0	8,957
Other Taxes	140	141	148	162	182	182	196	203	200	176	174	162	0	2,066
Total Taxes	2,249	3,310	7,624	13,568	4,136	7,646	3,869	3,853	7,595	9,807	4,388	7,498	0	75,543
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	1	1	1	6	6	6	7	5	7	7	7	6	0	60
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
Investment Income	16	5	5	5	5	5	10	5	5	5	8	4	0	78
Licenses, Fees, etc.	20	20	35	20	45	15	35	55	40	20	35	38	0	378
Lottery	173	130	122	251	233	292	237	242	306	254	263	290	0	2,793
Medicaid	115	78	78	78	78	78	78	78	78	78	78	41	0	936
Motor Vehicle Fees	38	13	7	81	49	45	58	35	66	52	39	68	0	551
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	0	124
State University Income	321	316	258	313	472	711	437	352	229	528	768	255	0	4,960
Extraordinary Settlements	80	0	0	0	0	0	0	0	0	0	0	0	0	80
Other Transactions	1,423	3,301	1,490	386	381	1,522	1,951	1,269	854	626	516	1,038	0	14,757
Total Miscellaneous Receipts	2,733	4,317	2,449	1,656	1,715	3,189	3,319	2,714	2,064	2,022	2,195	2,296	0	30,669
Federal Receipts	9,053	4,685	7,280	5,189	4,450	6,883	3,938	4,248	6,829	4,684	5,011	10,583	0	72,833
TOTAL RECEIPTS	14,035	12,312	17,353	20,413	10,301	17,718	11,126	10,815	16,488	16,513	11,594	20,377	0	179,045
DISBURSEMENTS:														
School Aid	1,072	4,606	2,466	773	881	3,893	1,385	1,930	2,517	972	1,147	9,268	0	30,910
Higher Education	19	27	1,794	194	75	158	198	31	198	34	288	503	0	3,519
All Other Education	83	149	557	199	210	357	160	110	450	92	224	646	0	3,237
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Medicaid - DOH	4,040	6,229	8,602	5,914	5,837	6,620	4,801	5,395	5,736	5,697	6,054	5,018	0	69,943
Public Health	261	487	688	306	382	428	307	248	449	262	261	512	0	4,591
Mental Hygiene	98	97	363	158	80	361	139	63	410	158	190	422	0	2,539
Children and Families	94	144	494	170	156	332	156	156	332	156	207	355	0	2,752
Temporary & Disability Assistance	159	299	617	374	308	551	280	353	590	312	289	837	0	4,969
Transportation	131	569	446	356	518	482	367	644	1,212	136	183	582	0	5,626
Unrestricted Aid	2	13	390	2	4	44	13	6	192	3	2	68	0	739
Budget Balance Reduction	0	0	(300)	(300)	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(2,800)	0	(8,000)
All Other	449	321	403	407	339	323	486	339	265	292	229	676	0	4,529
Total Local Assistance	6,408	12,941	16,520	8,553	8,390	12,549	7,793	8,985	11,086	9,832	8,274	16,096	0	127,427
Personal Service	1,513	1,171	1,189	1,310	1,146	1,513	1,166	1,162	1,349	1,152	1,163	1,485	0	15,319
Non-Personal Service	531	593	568	507	633	594	616	574	512	609	544	602	0	6,883
Budget Balance Reduction	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	0	(800)
Total State Operations	2,044	1,764	1,659	1,719	1,681	2,009	1,684	1,638	1,763	1,663	1,609	1,989	0	21,222
General State Charges	549	454	2,631	497	443	556	592	506	528	565	667	658	0	8,646
Debt Service	37	21	27	11	346	879	14	33	363	11	881	7,848	0	10,471
Capital Projects	654	674	717	878	878	950	961	751	909	695	632	1,053	0	9,752
TOTAL DISBURSEMENTS	9,692	15,854	21,554	11,658	11,738	16,943	11,044	11,913	14,649	12,766	12,063	27,644	0	177,518
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	498	2,303	4,600	6,865	1,947	3,227	1,531	1,487	1,871	4,015	2,889	7,943	(487)	38,689
Transfers to other funds	(514)	(2,343)	(4,613)	(6,868)	(1,951)	(3,232)	(1,538)	(1,491)	(1,875)	(4,020)	(2,770)	(7,916)	487	(38,644)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	850	0	850
NET OTHER FINANCING SOURCES/(USES)	(16)	(40)	(13)	(3)	(4)	(5)	(7)	(4)	(4)	(5)	119	877	0	895
Excess/(Deficiency) of Receipts over Disbursements	4,327	(3,582)	(4,214)	8,752	(1,441)	770	75	(1,102)	1,835	3,742	(350)	(6,390)	0	2,422
CLOSING BALANCE	18,611	15,029	10,815	19,567	18,126	18,896	18,971	17,869	19,704	23,446	23,096	16,706	0	16,706
Exclude Budget Balance Reduction	0	0	(398)	(398)	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(2,898)	0	(8,980)
Exclude Liquidity Financing	0	0	(1,500)	425	350	625	375	375	425	1,925	0	0	0	0
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	18,611	12,029	5,917	14,696	13,107	13,404	13,256	12,131	12,993	18,262	17,014	7,726	0	7,726

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2021
(dollars in millions)**

	2020	2021										Intra-Fund Transfer Eliminations	Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected		
OPENING BALANCE	6,312	11,004	9,941	10,515	10,316	9,648	9,413	8,774	7,949	8,386	8,107	8,045	6,312
RECEIPTS:													
Personal Income Tax	0	0	0	0	0	0	1	10	35	2,018	0	9	0
Consumption/Use Taxes	159	128	161	142	133	156	138	131	167	144	119	113	0
Business Taxes	78	46	231	101	67	319	79	61	329	81	59	415	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	237	174	392	243	200	475	218	202	531	2,243	178	537	0
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0
State University Income	321	316	258	313	472	711	437	352	229	528	768	255	0
Lottery	173	130	122	251	233	292	237	242	306	254	263	290	0
Medicaid	115	78	78	78	78	78	78	78	78	78	78	41	0
Motor Vehicle Fees	16	5	4	29	22	18	22	22	22	21	18	21	0
Other Transactions	233	227	(129)	203	234	301	229	213	(126)	241	285	(456)	0
Total Miscellaneous Receipts	1,398	1,203	767	1,384	1,479	1,885	1,468	1,349	969	1,568	1,857	539	0
Federal Receipts	8,892	4,519	7,110	5,027	4,287	6,672	3,739	4,079	6,608	4,505	4,830	10,309	0
TOTAL RECEIPTS	10,527	5,896	8,269	6,654	5,966	9,032	5,425	5,630	8,108	8,316	6,865	11,385	0
DISBURSEMENTS:													
School Aid	300	500	497	293	213	2,169	333	333	373	453	373	619	0
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	57	57	58	58	60	109	109	57	58	57	57	158	0
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0
Medicaid - DOH	3,800	4,628	6,042	4,878	4,220	4,932	3,589	3,885	4,655	4,278	4,773	4,647	0
Public Health	191	215	393	186	308	351	209	177	359	197	164	453	0
Mental Hygiene	13	11	6	9	15	14	15	11	10	10	14	29	0
Children and Families	77	77	77	77	77	77	77	77	77	77	77	75	0
Temporary & Disability Assistance	93	197	374	213	206	418	178	251	457	210	187	660	0
Transportation	77	486	338	301	438	298	311	564	852	82	112	80	0
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	158	45	(241)	159	121	(142)	282	163	(148)	174	152	(94)	0
Total Local Assistance	4,766	6,216	7,544	6,174	5,658	8,226	5,104	5,528	6,728	7,556	5,909	6,636	0
Personal Service	654	473	376	466	437	556	456	462	400	460	468	523	0
Non-Personal Service	335	365	266	310	413	238	379	362	222	385	333	184	0
Total State Operations	989	838	642	776	850	794	835	824	622	845	801	707	0
General State Charges	127	116	93	94	105	119	112	157	153	98	96	127	0
Debt Service	0	0	0	0	0	0	0	0	133	0	0	0	0
TOTAL DISBURSEMENTS	5,882	7,170	8,279	7,044	6,613	9,139	6,051	6,509	7,636	8,499	6,806	7,470	0
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	145	374	750	351	146	121	148	239	136	104	102	406	(487)
Transfers to Other Funds	(98)	(163)	(166)	(160)	(167)	(249)	(161)	(185)	(171)	(200)	(223)	(1,396)	487
NET OTHER FINANCING SOURCES/(USES)	47	211	584	191	(21)	(128)	(13)	54	(35)	(96)	(121)	(990)	0
Excess/(Deficiency) of Receipts over Disbursements	4,692	(1,063)	574	(199)	(668)	(235)	(639)	(825)	437	(279)	(62)	2,925	0
CLOSING BALANCE	11,004	9,941	10,515	10,316	9,648	9,413	8,774	7,949	8,386	8,107	8,045	10,970	0

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,401	5,566	5,450	5,827	6,213	6,078	5,253	5,199	4,955	4,661	4,956	5,466		5,401
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Consumption/Use Taxes	159	128	161	142	133	156	138	131	167	144	119	113	0	1,691
Business Taxes	78	46	231	101	67	319	79	61	329	81	59	415	0	1,866
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	237	174	392	243	200	475	218	202	531	2,243	178	537	0	5,630
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
State University Income	321	316	258	313	472	711	437	352	229	528	768	255	0	4,960
Lottery	173	130	122	251	233	292	237	242	306	254	263	290	0	2,793
Medicaid	115	78	78	78	78	78	78	78	78	78	78	41	0	936
Motor Vehicle Fees	16	5	4	29	18	22	22	22	22	21	18	21	0	220
Other Transactions	220	190	(141)	190	216	290	225	206	(129)	221	263	(526)	0	1,225
Total Miscellaneous Receipts	1,385	1,166	755	1,371	1,461	1,874	1,464	1,342	966	1,548	1,835	469	0	15,636
Federal Receipts	0	0	0	0	0	0	0	0	0	(24)	0	1	0	(23)
TOTAL RECEIPTS	1,622	1,340	1,147	1,614	1,661	2,349	1,682	1,544	1,497	3,767	2,013	1,007	0	21,243
DISBURSEMENTS:														
School Aid	0	0	327	0	0	1,986	80	80	80	80	80	140	0	2,853
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	1	3	2	2	0	1	0	0	3	0	13
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Medicaid - DOH	499	499	499	513	513	463	488	488	488	468	527	398	0	5,843
Public Health	68	45	82	55	178	84	95	62	111	79	53	135	0	1,047
Mental Hygiene	0	0	1	0	0	1	0	0	1	0	0	3	0	6
Children and Families	0	0	0	0	0	0	0	0	0	0	0	4	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	73	482	327	290	427	287	300	553	841	71	101	73	0	3,825
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	45	46	(260)	41	45	(255)	175	53	(256)	64	48	(150)	0	(404)
Total Local Assistance	685	1,072	977	900	1,166	2,568	1,141	1,246	1,301	2,780	809	615	0	15,260
Personal Service	585	421	324	417	387	487	405	409	350	411	414	448	0	5,058
Non-Personal Service	225	234	146	186	239	120	239	234	133	261	243	34	0	2,350
Total State Operations	810	655	470	603	682	607	644	643	483	672	657	482	0	7,408
General State Charges	93	88	65	67	77	86	85	130	125	72	67	92	0	1,047
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,588	1,815	1,512	1,570	1,925	3,261	1,870	2,019	1,909	3,524	1,533	1,189	0	23,715
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	145	374	750	351	146	121	148	239	136	104	102	406	(487)	2,535
Transfers to Other Funds	(14)	(15)	(8)	(9)	(17)	(34)	(14)	(8)	(18)	(52)	(72)	(1,024)	487	(798)
NET OTHER FINANCING SOURCES/(USES)	131	359	742	342	129	87	134	231	118	52	30	(618)	0	1,737
Excess/(Deficiency) of Receipts over Disbursements	165	(116)	377	386	(135)	(825)	(54)	(244)	(294)	295	510	(800)	0	(735)
CLOSING BALANCE	5,566	5,450	5,827	6,213	6,078	5,253	5,199	4,955	4,661	4,956	5,466	4,666	0	4,666

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
OPENING BALANCE	911	5,438	4,491	4,688	4,103	3,570	4,160	3,575	2,994	3,725	3,151	2,579	911
RECEIPTS:													
Miscellaneous Receipts	13	37	12	13	18	11	4	7	3	20	22	70	230
Federal Receipts	8,892	4,519	7,110	5,027	4,287	6,672	3,739	4,079	6,608	4,529	4,830	10,308	70,600
TOTAL RECEIPTS	8,905	4,556	7,122	5,040	4,305	6,683	3,743	4,086	6,611	4,549	4,852	10,378	70,830
DISBURSEMENTS:													
School Aid	300	500	170	293	213	183	253	253	293	373	293	479	3,603
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	57	57	57	57	57	107	107	57	57	57	57	155	882
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,301	4,129	5,543	4,365	3,707	4,469	3,101	3,397	4,167	3,810	4,246	4,249	48,484
Public Health	123	170	311	131	130	267	114	115	248	118	111	318	2,156
Mental Hygiene	13	11	5	9	15	13	15	11	9	10	14	26	151
Children and Families	77	77	77	77	77	77	77	77	77	77	77	71	918
Temporary & Disability Assistance	93	197	374	213	206	418	178	251	457	210	187	660	3,444
Transportation	4	4	11	11	11	11	11	11	11	11	11	7	114
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	113	(1)	19	118	76	113	107	110	108	110	104	56	1,033
Total Local Assistance	4,081	5,144	6,567	5,274	4,492	5,658	3,963	4,282	5,427	4,776	5,100	6,021	60,785
Personal Service	69	52	52	49	50	69	51	53	50	49	54	75	673
Non-Personal Service	110	131	120	124	118	118	140	128	89	124	90	150	1,442
Total State Operations	179	183	172	173	168	187	191	181	139	173	144	225	2,115
General State Charges	34	28	28	27	28	33	27	27	28	26	29	35	350
Debt Service	0	0	0	0	0	0	0	0	133	0	0	0	133
TOTAL DISBURSEMENTS	4,294	5,355	6,767	5,474	4,688	5,878	4,181	4,490	5,727	4,975	5,273	6,281	63,383
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(84)	(148)	(158)	(151)	(150)	(215)	(147)	(177)	(153)	(148)	(151)	(372)	(2,054)
NET OTHER FINANCING SOURCES/(USES)	(84)	(148)	(158)	(151)	(150)	(215)	(147)	(177)	(153)	(148)	(151)	(372)	(2,054)
Excess/(Deficiency) of Receipts over Disbursements	4,527	(947)	197	(585)	(533)	590	(585)	(581)	731	(574)	(572)	3,725	5,393
CLOSING BALANCE	5,438	4,491	4,688	4,103	3,570	4,160	3,575	2,994	3,725	3,151	2,579	6,304	6,304

CASHFLOW
DEBT SERVICE FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	63	138	443	423	1,387	2,037	1,874	2,447	3,131	4,494	8,804	7,934	63
RECEIPTS:													
Personal Income Tax	280	911	2,256	5,918	1,324	2,189	1,123	1,167	2,105	4,003	1,473	1,774	24,523
Consumption/Use Taxes	462	484	640	460	458	586	481	484	605	565	475	592	6,292
Other Taxes	41	43	38	51	71	71	84	91	90	90	88	74	832
Total Taxes	783	1,438	2,934	6,429	1,853	2,846	1,688	1,742	2,800	4,658	2,036	2,440	31,647
Miscellaneous Receipts	23	28	44	32	32	22	40	32	32	32	34	30	381
Federal Receipts	0	0	0	(1)	2	35	0	0	0	0	2	36	74
TOTAL RECEIPTS	806	1,466	2,978	6,460	1,887	2,903	1,728	1,774	2,832	4,690	2,072	2,506	32,102
DISBURSEMENTS:													
State Operations	0	6	1	0	10	2	0	2	1	0	4	18	44
Debt Service	37	21	27	11	346	879	14	33	230	11	881	7,848	10,338
TOTAL DISBURSEMENTS	37	27	28	11	356	881	14	35	231	11	885	7,866	10,382
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	88	123	127	198	145	320	170	126	122	1,809	262	1,196	4,686
Transfers to Other Funds	(782)	(1,257)	(3,097)	(5,683)	(1,026)	(2,505)	(1,311)	(1,181)	(1,360)	(2,178)	(2,319)	(3,714)	(26,413)
NET OTHER FINANCING SOURCES/(USES)	(694)	(1,134)	(2,970)	(5,485)	(881)	(2,185)	(1,141)	(1,055)	(1,238)	(369)	(2,057)	(2,518)	(21,727)
Excess/(Deficiency) of Receipts over Disbursements	75	305	(20)	964	650	(163)	573	684	1,363	4,310	(870)	(7,878)	(7)
CLOSING BALANCE	138	443	423	1,387	2,037	1,874	2,447	3,131	4,494	8,804	7,934	56	56

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,035)	(1,271)	(1,574)	(1,841)	(2,082)	(2,346)	(2,329)	(1,763)	(1,606)	(1,630)	(2,029)	(2,500)	(1,035)
RECEIPTS:													
Consumption/Use Taxes	29	27	44	38	43	67	50	44	67	49	41	58	557
Business Taxes	30	30	33	49	53	52	62	53	55	61	50	57	585
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	59	57	89	99	108	131	124	109	134	122	103	126	1,261
Miscellaneous Receipts	1,159	38	36	120	107	1,109	1,656	1,016	881	344	177	1,406	8,049
Federal Receipts	161	166	170	163	161	176	199	169	221	179	179	238	2,182
TOTAL RECEIPTS	1,379	261	295	382	376	1,416	1,979	1,294	1,236	645	459	1,770	11,492
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	1	34	2	2	1	0	1	2	6	51
Public Health	74	81	62	67	43	49	71	44	68	40	34	43	676
Mental Hygiene	9	10	10	10	9	10	13	13	13	17	17	35	166
School Aid	30	30	40	30	30	40	30	30	30	30	30	40	400
Temporary & Disability Assistance	0	0	16	0	0	31	0	0	31	0	0	31	109
Transportation	54	59	94	55	56	184	56	56	355	54	52	502	1,577
All Other Local	275	252	238	215	192	134	179	155	80	87	23	173	2,003
Total Local Assistance	443	432	461	378	364	450	351	299	587	229	158	830	4,982
Economic Development	103	55	60	132	60	89	191	134	65	59	46	155	1,149
Parks & the Environment	59	66	72	76	76	79	67	80	85	90	80	124	954
Transportation	271	287	339	347	374	456	405	355	489	345	333	433	4,434
Health & Social Welfare	5	6	6	5	5	12	7	6	9	8	17	59	145
Mental Hygiene	49	34	44	36	29	26	36	38	39	42	41	65	479
Public Protection	46	42	47	43	42	47	45	44	50	41	37	52	536
Education	47	42	63	95	169	118	163	84	91	81	71	98	1,122
All Other	74	142	86	144	123	123	47	10	81	29	7	67	933
Total Capital Projects	654	674	717	878	878	950	961	751	909	695	632	1,053	9,752
TOTAL DISBURSEMENTS	1,097	1,106	1,178	1,256	1,242	1,400	1,312	1,050	1,496	924	790	1,883	14,734
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(507)	553	628	644	637	206	(90)	(76)	247	(109)	28	1,743	3,904
Transfers to Other Funds	(11)	(11)	(12)	(11)	(35)	(205)	(11)	(11)	(11)	(11)	(168)	(1,017)	(1,514)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	850	850
NET OTHER FINANCING SOURCES/(USES)	(518)	542	616	633	602	1	(101)	(87)	236	(120)	(140)	1,576	3,240
Excess/(Deficiency) of Receipts over Disbursements	(236)	(303)	(267)	(241)	(264)	17	566	157	(24)	(399)	(471)	1,463	(2)
CLOSING BALANCE	(1,271)	(1,574)	(1,841)	(2,082)	(2,346)	(2,329)	(1,763)	(1,606)	(1,630)	(2,029)	(2,500)	(1,037)	(1,037)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(471)	(747)	(1,077)	(1,355)	(1,599)	(1,849)	(1,658)	(1,104)	(943)	(1,012)	(1,495)	(1,922)	(471)
RECEIPTS:													
Consumption/Use Taxes	29	27	44	38	43	67	50	44	67	49	41	58	557
Business Taxes	30	30	33	49	53	52	62	53	55	61	50	57	585
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	59	57	89	99	108	131	124	109	134	122	103	126	1,261
Miscellaneous Receipts	1,159	38	36	120	107	1,109	1,656	1,016	881	344	177	1,406	8,049
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	1,218	95	125	219	215	1,242	1,780	1,125	1,015	466	280	1,535	9,315
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	1	34	2	2	1	0	1	2	6	51
Public Health	74	81	62	66	43	46	58	44	43	39	34	16	606
Mental Hygiene	9	10	10	10	9	10	13	13	13	17	17	35	166
School Aid	30	30	40	30	30	40	30	30	40	30	30	40	400
Temporary & Disability Assistance	0	0	16	0	0	31	0	0	31	0	0	31	109
Transportation	14	19	54	15	16	144	16	16	315	14	12	461	1,096
All Other Local	262	239	225	202	179	121	166	142	67	74	11	160	1,848
Total Local Assistance	390	379	408	324	311	394	285	246	509	175	106	749	4,276
Economic Development	103	55	60	132	60	89	191	134	65	59	46	155	1,149
Parks & the Environment	57	64	70	74	74	77	66	79	84	89	79	120	933
Transportation	211	207	239	247	259	341	290	240	399	310	298	402	3,443
Health & Social Welfare	4	5	5	5	5	10	6	6	6	7	16	59	134
Mental Hygiene	49	34	44	36	29	26	36	38	39	42	41	65	479
Public Protection	42	39	44	40	39	44	42	41	47	38	39	49	504
Education	47	42	63	95	169	118	163	84	91	81	71	98	1,122
All Other	73	141	85	143	122	122	46	9	80	28	11	66	926
Total Capital Projects	586	587	610	772	757	827	840	631	811	654	601	1,014	8,690
TOTAL DISBURSEMENTS	976	966	1,018	1,096	1,068	1,221	1,125	877	1,320	829	707	1,763	12,966
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(507)	552	628	644	637	376	(90)	(76)	247	(109)	168	1,836	4,306
Transfers to Other Funds	(11)	(11)	(13)	(11)	(34)	(206)	(11)	(11)	(11)	(11)	(168)	(1,016)	(1,514)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	850	850
NET OTHER FINANCING SOURCES/(USES)	(518)	541	615	633	603	170	(101)	(87)	236	(120)	0	1,670	3,642
Excess/(Deficiency) of Receipts over Disbursements	(276)	(330)	(278)	(244)	(250)	191	554	161	(69)	(483)	(427)	1,442	(9)
CLOSING BALANCE	(747)	(1,077)	(1,355)	(1,599)	(1,849)	(1,658)	(1,104)	(943)	(1,012)	(1,495)	(1,922)	(480)	(480)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2021
(dollars in millions)

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(564)	(524)	(497)	(486)	(483)	(497)	(671)	(659)	(663)	(618)	(534)	(578)	(564)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	161	166	170	163	161	174	199	169	221	179	179	235	2,177
TOTAL RECEIPTS	161	166	170	163	161	174	199	169	221	179	179	235	2,177
DISBURSEMENTS:													
Public Health	0	0	0	1	0	3	13	0	25	1	0	27	70
Transportation	40	40	40	40	40	40	40	40	40	40	40	41	481
All Other Local	13	13	13	13	13	13	13	13	13	13	12	13	155
Total Local Assistance	53	53	53	54	53	56	66	53	78	54	52	81	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	2	2	2	2	2	2	1	1	1	1	1	4	21
Transportation	60	80	100	100	115	115	115	115	90	35	35	31	991
Health & Social Welfare	1	1	1	0	0	2	1	0	3	1	1	0	11
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	4	3	3	3	3	3	3	3	3	3	(2)	3	32
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	1	1	1	1	1	1	1	1	1	1	(4)	1	7
Total Capital Projects	68	87	107	106	121	123	121	120	98	41	31	39	1,062
TOTAL DISBURSEMENTS	121	140	160	160	174	179	187	173	176	95	83	120	1,768
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	1	0	0	0	(170)	0	0	0	0	(140)	(93)	(402)
Transfers to Other Funds	0	0	1	0	(1)	1	0	0	0	0	0	(1)	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	1	1	0	(1)	(169)	0	0	0	0	(140)	(94)	(402)
Excess/(Deficiency) of Receipts over Disbursements	40	27	11	3	(14)	(174)	12	(4)	45	84	(44)	21	7
CLOSING BALANCE	(524)	(497)	(486)	(483)	(497)	(671)	(659)	(663)	(618)	(534)	(578)	(557)	(557)

**CASHFLOW
STATE FUNDS
FY 2021
(dollars in millions)**

	2020 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,937	13,697	11,035	6,613	15,947	15,053	15,407	16,055	15,538	16,597	20,829	21,095	0	13,937
RECEIPTS:														
Personal Income Tax Consumption/Use Taxes	560	1,822	4,512	11,836	2,648	4,378	2,247	2,334	4,210	8,007	2,946	3,546	0	49,046
Business Taxes	1,178	1,167	1,533	1,173	1,136	1,444	1,220	1,191	1,492	1,403	1,142	1,395	0	15,474
Other Taxes	371	180	1,431	397	170	1,642	206	125	1,693	221	126	2,395	0	8,957
Total Taxes	2,249	3,310	7,624	13,568	4,136	7,646	3,869	3,853	7,595	9,807	4,388	7,498	0	20,666
Abandoned Property	0	0	0	0	0	10	35	225	0	0	30	150	0	450
ABC License Fee	1	1	1	6	6	6	7	5	7	7	7	6	0	60
HCRA	540	447	434	510	440	485	465	442	460	446	445	388	0	5,502
Investment Income	16	5	5	5	5	5	10	5	5	5	8	4	0	78
Licenses, Fees, etc.	20	20	35	20	45	15	35	55	40	20	35	38	0	378
Lottery	173	130	122	251	233	292	237	242	306	254	263	290	0	2,793
Medicaid	115	78	78	78	78	78	78	78	78	78	78	41	0	936
Motor Vehicle Fees	38	13	7	81	49	45	58	35	66	52	39	68	0	551
Reimbursements	6	6	19	6	6	20	6	6	19	6	6	18	0	124
State University Income	321	316	258	313	472	711	437	352	229	528	768	255	0	4,960
Extraordinary Settlements	80	0	0	0	0	0	0	0	0	0	0	0	0	80
Other Transactions	1,410	3,264	1,478	373	363	1,511	1,947	1,262	851	606	494	968	0	14,527
Total Miscellaneous Receipts	2,720	4,280	2,437	1,643	1,697	3,178	3,315	2,707	2,061	2,002	2,173	2,226	0	30,439
Federal Receipts	0	0	0	(1)	2	37	0	0	0	(24)	2	40	0	56
TOTAL RECEIPTS	4,969	7,590	10,061	15,210	5,835	10,861	7,184	6,560	9,656	11,785	6,563	9,764	0	106,038
DISBURSEMENTS:														
School Aid	772	4,106	2,296	480	668	3,710	1,132	1,677	2,224	599	854	8,789	0	27,307
Higher Education	19	27	1,794	194	75	158	198	31	198	34	288	503	0	3,519
All Other Education	26	92	500	142	153	250	53	53	393	35	167	491	0	2,355
STAR	0	0	0	0	0	0	1	10	35	2,018	0	9	0	2,073
Medicaid - DOH	739	2,100	3,059	1,549	2,130	2,151	1,700	1,998	1,569	1,887	1,808	769	0	21,459
Public Health	138	317	377	174	252	158	180	133	176	143	150	167	0	2,365
Mental Hygiene	85	86	358	149	65	348	124	52	401	176	176	396	0	2,388
Children and Families	17	67	417	93	79	255	79	79	255	79	130	284	0	1,834
Temporary & Disability Assistance	66	102	243	161	102	133	102	102	133	102	133	177	0	1,525
Transportation	87	525	395	305	467	431	316	593	1,161	85	132	534	0	5,031
Unrestricted Aid	2	13	390	2	4	44	13	6	192	3	2	68	0	739
Budget Balance Reduction	0	0	(300)	(300)	(400)	(1,000)	(500)	(300)	(1,300)	(300)	(800)	(2,800)	0	(8,000)
All Other	323	309	371	276	250	197	366	216	144	169	113	607	0	3,341
Total Local Assistance	2,274	7,744	9,900	3,225	3,845	6,835	3,764	4,650	5,581	5,002	3,122	9,994	0	65,936
Personal Service	1,444	1,119	1,137	1,261	1,096	1,444	1,115	1,109	1,299	1,103	1,109	1,410	0	14,646
Non-Personal Service	421	462	448	383	515	476	476	446	423	485	454	452	0	5,441
Budget Balance Reduction	0	0	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	(98)	0	(980)
Total State Operations	1,865	1,581	1,487	1,546	1,513	1,822	1,493	1,457	1,624	1,490	1,465	1,764	0	19,107
General State Charges	515	426	2,603	470	415	523	565	479	500	539	638	623	0	8,296
Debt Service	37	21	27	11	346	879	14	33	230	11	881	7,848	0	10,338
Capital Projects	586	587	610	772	757	827	840	631	811	654	601	1,014	0	8,690
TOTAL DISBURSEMENTS	5,277	10,359	14,627	6,024	6,876	10,886	6,676	7,250	8,746	7,696	6,707	21,243	0	112,367
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	498	2,302	4,600	6,865	1,947	3,397	1,531	1,487	1,871	4,015	3,029	8,036	(487)	39,091
Transfers to other funds	(430)	(2,195)	(4,456)	(6,717)	(1,800)	(3,018)	(1,391)	(1,314)	(1,722)	(3,872)	(2,619)	(7,543)	487	(36,590)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	850	0	850
NET OTHER FINANCING SOURCES/(USES)	68	107	144	148	147	379	140	173	149	143	410	1,343	0	3,351
Excess/(Deficiency) of Receipts over Disbursements	(240)	(2,662)	(4,422)	9,334	(894)	354	648	(517)	1,059	4,232	266	(10,136)	0	(2,978)
CLOSING BALANCE	13,697	11,035	6,613	15,947	15,053	15,407	16,055	15,538	16,597	20,829	21,095	21,095	0	10,959
Exclude Budget Balance Reduction	0	0	(398)	(398)	(498)	(1,098)	(598)	(398)	(1,398)	(398)	(898)	(2,898)	0	(8,980)
Exclude Liquidity Financing	0	(3,000)	(1,500)	425	350	625	375	375	425	1,925	0	0	0	0
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	13,697	8,035	1,715	11,076	10,034	9,915	10,340	9,800	9,886	15,645	15,013	1,979	0	1,979

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening Fund Balance	<u>0</u>	<u>16</u>	<u>16</u>
Receipts:			
Taxes	720	(31)	689
Miscellaneous receipts	<u>5,516</u>	<u>(14)</u>	<u>5,502</u>
Total Receipts	<u>6,236</u>	<u>(45)</u>	<u>6,191</u>
Disbursements and Transfers:			
Medical Assistance Account	3,834	356	4,190
Hospital Indigent Care Fund	892	(175)	717
HCRA Program Account	366	(82)	284
Child Health Plus (CHP)	747	(98)	649
Elderly Pharmaceutical Insurance Coverage (EPIC)	114	2	116
Qualified Health Plan Administration	48	1	49
All Other	<u>235</u>	<u>(33)</u>	<u>202</u>
Total Disbursements and Transfers	<u>6,236</u>	<u>(29)</u>	<u>6,207</u>
Change in Fund Balance	<u>0</u>	<u>(16)</u>	<u>(16)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021 THROUGH FY 2024
(millions of dollars)

	<u>FY 2021</u> <u>Enacted</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
Opening Fund Balance	16	0	0	0
Receipts:				
Taxes	689	647	615	585
Miscellaneous receipts	5,502	5,592	5,685	5,779
Total Receipts	<u>6,191</u>	<u>6,239</u>	<u>6,300</u>	<u>6,364</u>
Disbursements and Transfers:				
Medical Assistance Account	4,190	4,080	4,142	4,194
Hospital Indigent Care	717	717	717	717
HCRA Program Account	284	336	336	336
Child Health Plus	649	760	777	789
Elderly Pharmaceutical Insurance Coverage	116	114	114	114
Qualified Health Plan Administration	49	48	47	47
All Other	202	184	167	167
Total Disbursements and Transfers	<u>6,207</u>	<u>6,239</u>	<u>6,300</u>	<u>6,364</u>
Change in Fund Balance	<u>(16)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020 and FY 2021
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Enacted</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	<u>0</u>	<u>16</u>	<u>16</u>
Receipts:			
Taxes	732	689	(43)
Miscellaneous receipts	5,529	5,502	(27)
Total Receipts	<u>6,261</u>	<u>6,191</u>	<u>(70)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,836	4,190	354
Hospital Indigent Care	917	717	(200)
HCRA Program Account	363	284	(79)
Child Health Plus	747	649	(98)
Elderly Pharmaceutical Insurance Coverage	112	116	4
Qualified Health Plan Administration	41	49	8
All Other	229	202	(27)
Total Disbursements and Transfers	<u>6,245</u>	<u>6,207</u>	<u>(38)</u>
Change in Fund Balance	<u>16</u>	<u>(16)</u>	<u>(32)</u>
Closing Fund Balance	<u>16</u>	<u>0</u>	<u>(16)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	0	543	283	300	420	137	80	152	224	213	230	216	0
Receipts:													
Taxes	63	59	57	75	64	59	66	58	69	55	45	62	732
Miscellaneous receipts	541	448	436	511	441	473	466	395	456	490	451	421	5,529
Total Receipts	604	507	493	586	505	532	532	453	525	545	496	483	6,261
Disbursements and Transfers:													
Medical Assistance Account	0	600	300	330	551	330	330	330	330	330	347	58	3,836
Hospital Indigent Care	0	123	66	89	59	199	65	0	104	87	62	63	917
HCRA Program Account	33	4	53	15	131	2	24	32	5	31	12	21	363
Child Health Plus	22	21	41	19	23	41	26	3	79	61	38	373	747
Elderly Pharmaceutical Insurance Coverage	5	9	10	10	10	10	10	7	13	12	6	10	112
Qualified Health Plan Administration	0	1	4	1	2	2	4	2	3	3	10	9	41
All Other	1	9	2	2	12	5	1	7	2	4	35	149	229
Total	61	767	476	466	788	589	460	381	536	528	510	683	6,245
Change in Fund Balance	543	(260)	17	120	(283)	(57)	72	72	(11)	17	(14)	(200)	16
Closing Fund Balance	543	283	300	420	137	80	152	224	213	230	216	16	16

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	16	108	123	96	183	61	125	138	154	151	173	138	16
Receipts:													
Taxes	59	56	64	71	60	58	60	53	66	52	42	48	689
Miscellaneous receipts	540	447	434	510	440	485	465	442	460	446	445	388	5,502
Total Receipts	599	503	498	581	500	543	525	495	526	498	487	436	6,191
Disbursements and Transfers:													
Medical Assistance Account	375	375	375	375	375	325	350	350	350	330	390	220	4,190
Hospital Indigent Care	60	60	60	60	60	60	60	60	60	60	60	57	717
HCRA Program Account	29	2	2	11	134	2	42	8	2	26	2	24	284
Child Health Plus	33	33	72	33	33	72	43	43	100	43	43	101	649
Elderly Pharmaceutical Insurance Coverage	4	9	10	10	11	11	10	11	10	11	8	11	116
Qualified Health Plan Administration	3	3	3	2	3	3	2	3	3	4	10	10	49
All Other	3	6	3	3	6	6	5	4	4	2	9	151	202
Total	507	488	525	494	622	479	512	479	529	476	522	574	6,207
Change in Fund Balance	92	15	(27)	87	(122)	64	13	16	(3)	22	(35)	(138)	(16)
Closing Fund Balance	108	123	96	183	61	125	138	154	151	173	138	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS
(millions of dollars)**

	FY 2020 Results			FY 2021 Enacted			FY 2022 Projected			FY 2023 Projected			FY 2024 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(303)	25	14	(298)	30	15	(276)	27	16	(265)	24	17	(255)	21	18
Receipts:															
Unemployment Taxes	0	2,285	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	589	81	1	645	99	1	597	99	1	605	100	1	607	100	1
Federal Receipts	0	17	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	589	2,383	1	645	2,599	1	597	2,599	1	605	2,600	1	607	2,600	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	126	17	0	145	22	0	144	22	0	144	22	0	144	22	0
Non-Personal Service	490	57	0	542	76	0	495	76	0	500	77	0	502	77	0
Unemployment Benefits	0	2,303	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	56	2	0	77	6	0	77	6	0	77	6	0	77	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	672	2,379	0	764	2,604	0	716	2,604	0	721	2,605	0	723	2,605	0
Other Financing Sources (Uses):															
Transfers from Other Funds	110	1	0	153	3	0	138	3	0	134	3	0	134	3	0
Transfers to Other Funds	(22)	0	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0	(8)	(1)	0
	88	1	0	141	2	0	130	2	0	126	2	0	126	2	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	5	5	1	22	(3)	1	11	(3)	1	10	(3)	1	10	(3)	1
Closing Fund Balance	(298)	30	15	(276)	27	16	(265)	24	17	(255)	21	18	(245)	18	19

Workforce Impact Summary

General Fund 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,429	2,315	2,253
Corrections and Community Supervision, Department of	28,769	28,297	25,607
Education Department, State	328	305	279
Environmental Conservation, Department of	1,048	1,121	1,233
General Services, Office of	404	416	438
Health, Department of	1,568	1,572	1,933
Information Technology Services, Office of	3,541	3,377	3,421
Labor, Department of	0	0	1
Mental Health, Office of	13,293	13,348	12,988
Motor Vehicles, Department of	164	164	167
Parks, Recreation and Historic Preservation, Office of	1,303	1,209	1,238
People with Developmental Disabilities, Office for	18,640	18,589	18,187
State Police, Division of	5,367	5,387	5,330
Taxation and Finance, Department of	3,750	3,737	3,386
Temporary and Disability Assistance, Office of	1,011	990	1,014
Transportation, Department of	2,692	2,664	2,545
Subtotal - Major Agencies	84,307	83,491	80,020
Minor Agencies	4,242	4,300	4,359
Subtotal - Subject to Direct Executive Control	88,549	87,791	84,379
University Systems			
State University of New York	3	3	3
Subtotal - University Systems	3	3	3
Independently Elected Agencies			
Audit and Control, Department of	1,326	1,384	1,336
Law, Department of	1,083	1,050	1,065
Subtotal - Independently Elected Agencies	2,409	2,434	2,401
Grand Total	90,961	90,228	86,783

Workforce Impact Summary

General Fund 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	714	713	722
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	387	400	391
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	238	245	245
Civil Service, Department of	207	223	185
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	380	378	398
Economic Development, Department of	138	139	151
Elections, State Board of	69	68	77
Employee Relations, Office of	66	55	66
Executive Chamber	112	118	136
Gaming Commission, New York State	65	58	56
Housing and Community Renewal, Division of	35	30	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Inspector General, Office of the	81	78	92
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	413	419	415
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	248
Military and Naval Affairs, Division of	96	93	104
Prevention of Domestic Violence, Office for	17	22	21
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
State, Department of	212	218	138
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	4,242	4,300	4,359

Workforce Impact Summary

State Operating Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,486	2,360	2,297
Corrections and Community Supervision, Department of	28,773	28,301	25,611
Education Department, State	1,279	1,236	1,263
Environmental Conservation, Department of	2,128	2,185	2,322
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	460	475	451
Health, Department of	3,515	3,562	4,090
Information Technology Services, Office of	3,541	3,377	3,421
Labor, Department of	327	334	508
Mental Health, Office of	13,293	13,348	12,988
Motor Vehicles, Department of	661	662	665
Parks, Recreation and Historic Preservation, Office of	1,553	1,419	1,524
People with Developmental Disabilities, Office for	18,640	18,589	18,187
State Police, Division of	5,704	5,701	5,666
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,011	990	1,014
Transportation, Department of	2,729	2,704	2,591
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	92,292	91,440	89,183
Minor Agencies	6,521	6,693	7,164
Subtotal - Subject to Direct Executive Control	98,813	98,133	96,347
University Systems			
City University of New York	377	392	0
State University of New York	46,447	47,083	46,834
Subtotal - University Systems	46,824	47,475	46,834
Independently Elected Agencies			
Audit and Control, Department of	1,494	1,555	1,524
Law, Department of	1,551	1,513	1,533
Subtotal - Independently Elected Agencies	3,045	3,068	3,057
Grand Total	148,682	148,676	146,238

Workforce Impact Summary

State Operating Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	714	713	722
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	422	441	448
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	211	225	191
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	385	380	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	69	68	77
Employee Relations, Office of	66	55	66
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	509
Housing and Community Renewal, Division of	508	549	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Indigent Legal Services, Office of	31	30	38
Inspector General, Office of the	81	78	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	248
Military and Naval Affairs, Division of	96	93	106
Prevention of Domestic Violence, Office for	17	22	21
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
Public Service Department	489	490	503
State, Department of	479	511	560
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Victim Services, Office of	47	46	54
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	6,521	6,693	7,164

Workforce Impact Summary

State Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,552	2,426	2,366
Corrections and Community Supervision, Department of	28,800	28,331	26,620
Education Department, State	1,279	1,300	1,263
Environmental Conservation, Department of	2,717	2,749	2,915
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	881	878	871
Health, Department of	3,639	3,698	4,222
Information Technology Services, Office of	3,566	3,423	3,451
Labor, Department of	327	334	508
Mental Health, Office of	13,846	13,921	13,671
Motor Vehicles, Department of	2,324	2,977	2,762
Parks, Recreation and Historic Preservation, Office of	1,988	1,924	2,030
People with Developmental Disabilities, Office for	19,024	18,973	18,572
State Police, Division of	5,784	5,785	5,741
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,017	997	1,022
Transportation, Department of	8,336	8,392	8,394
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	102,272	102,305	100,993
Minor Agencies	6,594	6,750	7,247
Subtotal - Subject to Direct Executive Control	108,866	109,055	108,240
University Systems			
City University of New York	377	392	0
State University Construction Fund	142	141	141
State University of New York	46,447	47,083	46,834
Subtotal - University Systems	46,966	47,616	46,975
Independently Elected Agencies			
Audit and Control, Department of	1,499	1,557	1,524
Law, Department of	1,555	1,516	1,540
Subtotal - Independently Elected Agencies	3,054	3,073	3,064
Grand Total	158,886	159,744	158,279

Workforce Impact Summary

State Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	728	724	737
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	435	441	462
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	211	225	191
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	385	380	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	69	68	77
Employee Relations, Office of	66	55	66
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	509
Housing and Community Renewal, Division of	508	549	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Indigent Legal Services, Office of	31	30	38
Inspector General, Office of the	81	78	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	248
Military and Naval Affairs, Division of	142	139	160
Prevention of Domestic Violence, Office for	17	22	21
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
Public Service Department	489	490	503
State, Department of	479	511	560
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Victim Services, Office of	47	46	54
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	6,594	6,750	7,247

Workforce Impact Summary

All Funds

2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	3,008	2,889	2,856
Corrections and Community Supervision, Department of	29,117	28,651	27,556
Education Department, State	2,606	2,680	2,692
Environmental Conservation, Department of	2,996	3,017	3,162
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	1,803	1,844	1,975
Health, Department of	4,715	4,813	5,679
Information Technology Services, Office of	3,566	3,423	3,451
Labor, Department of	2,838	2,770	2,987
Mental Health, Office of	13,856	13,929	13,692
Motor Vehicles, Department of	2,363	3,025	2,815
Parks, Recreation and Historic Preservation, Office of	2,010	2,035	2,063
People with Developmental Disabilities, Office for	19,037	18,984	18,590
State Police, Division of	5,784	5,785	5,741
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,995	1,922	1,987
Transportation, Department of	8,442	8,487	8,520
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	110,328	110,451	110,351
Minor Agencies	7,639	7,742	8,499
Subtotal - Subject to Direct Executive Control	117,967	118,193	118,850
University Systems			
City University of New York	13,806	13,797	13,730
State University Construction Fund	142	141	141
State University of New York	46,448	47,085	46,836
Subtotal - University Systems	60,396	61,023	60,707
Independently Elected Agencies			
Audit and Control, Department of	2,610	2,698	2,663
Law, Department of	1,826	1,801	1,839
Subtotal - Independently Elected Agencies	4,436	4,499	4,502
Grand Total	182,799	183,715	184,059

Workforce Impact Summary

All Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	728	724	737
Adirondack Park Agency	49	50	54
Aging, Office for the	89	85	95
Agriculture and Markets, Department of	461	479	552
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	346	346	362
Correction, Commission of	30	39	32
Criminal Justice Services, Division of	413	405	435
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	74	76	85
Employee Relations, Office of	75	63	77
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	559	579	614
Housing and Community Renewal, Division of	584	622	776
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	159	154	164
Indigent Legal Services, Office of	31	30	38
Inspector General, Office of the	81	78	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	43
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	405	409	495
Military and Naval Affairs, Division of	382	386	405
Prevention of Domestic Violence, Office for	23	27	28
Public Employment Relations Board	30	32	33
Public Ethics, Joint Commission on	51	46	52
Public Service Department	489	490	528
State, Department of	491	524	577
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	87	93	98
Victim Services, Office of	88	88	102
Welfare Inspector General, Office of	7	6	7
Subtotal - Minor Agencies	7,639	7,742	8,499

Workforce Impact Summary

Special Revenue Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	57	45	44
Corrections and Community Supervision, Department of	4	4	4
Education Department, State	951	931	984
Environmental Conservation, Department of	1,080	1,064	1,089
Financial Services, Department of	1,342	1,329	1,391
General Services, Office of	56	59	13
Health, Department of	1,947	1,990	2,157
Labor, Department of	327	334	507
Motor Vehicles, Department of	497	498	498
Parks, Recreation and Historic Preservation, Office of	250	210	286
State Police, Division of	337	314	336
Taxation and Finance, Department of	56	50	699
Transportation, Department of	37	40	46
Workers' Compensation Board	1,044	1,081	1,109
Subtotal - Major Agencies	7,985	7,949	9,163
Minor Agencies	2,279	2,393	2,805
Subtotal - Subject to Direct Executive Control	10,264	10,342	11,968
University Systems			
City University of New York	377	392	0
State University of New York	46,444	47,080	46,831
Subtotal - University Systems	46,821	47,472	46,831
Independently Elected Agencies			
Audit and Control, Department of	168	171	188
Law, Department of	468	463	468
Subtotal - Independently Elected Agencies	636	634	656
Grand Total	57,721	58,448	59,455

Workforce Impact Summary

Special Revenue Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Agriculture and Markets, Department of	35	41	57
Alcoholic Beverage Control, Division of	0	0	0
Budget, Division of the	14	16	16
Civil Service, Department of	4	2	6
Criminal Justice Services, Division of	5	2	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	2
Financial Control Board, New York State	10	12	13
Gaming Commission, New York State	332	318	374
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	509
Housing and Community Renewal, Division of	473	519	601
Indigent Legal Services, Office of	31	30	38
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	12	13	14
Military and Naval Affairs, Division of	0	0	2
Public Service Department	489	490	503
State, Department of	267	293	422
Victim Services, Office of	47	46	54
Subtotal - Minor Agencies	2,279	2,393	2,805

Workforce Impact Summary

Special Revenue Funds - Federal 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	369	382	391
Corrections and Community Supervision, Department of	30	32	646
Education Department, State	1,185	1,238	1,285
Environmental Conservation, Department of	274	264	242
Health, Department of	1,037	1,074	1,411
Labor, Department of	2,492	2,423	2,463
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	39	48	53
Parks, Recreation and Historic Preservation, Office of	22	27	33
People with Developmental Disabilities, Office for	13	11	18
Temporary and Disability Assistance, Office of	978	925	965
Transportation, Department of	106	95	126
Subtotal - Major Agencies	6,545	6,519	7,644
Minor Agencies			
Aging, Office for the	77	73	77
Agriculture and Markets, Department of	0	0	35
Criminal Justice Services, Division of	28	25	32
Elections, State Board of	5	8	8
Homeland Security and Emergency Services, Division of	169	127	105
Housing and Community Renewal, Division of	76	73	121
Human Rights, Division of	12	0	40
Medicaid Inspector General, Office of the	202	204	247
Military and Naval Affairs, Division of	177	182	179
Public Service Department	0	0	25
State, Department of	12	13	17
Veterans' Services, Division of	7	8	8
Victim Services, Office of	41	42	48
Subtotal - Minor Agencies	806	755	942
Subtotal - Subject to Direct Executive Control	7,351	7,274	8,586
University Systems			
State University of New York	1	2	2
Subtotal - University Systems	1	2	2
Independently Elected Agencies			
Audit and Control, Department of	5	5	0
Law, Department of	220	204	249
Subtotal - Independently Elected Agencies	225	209	249
Grand Total	7,577	7,485	8,837

Workforce Impact Summary

Capital Projects Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	66	66	69
Corrections and Community Supervision, Department of	27	30	1,009
Education Department, State	0	64	0
Environmental Conservation, Department of	589	564	593
General Services, Office of	421	403	420
Health, Department of	124	136	132
Information Technology Services, Office of	25	46	30
Mental Health, Office of	553	573	683
Motor Vehicles, Department of	1,663	2,315	2,097
Parks, Recreation and Historic Preservation, Office of	435	505	506
People with Developmental Disabilities, Office for	384	384	385
State Police, Division of	80	84	75
Temporary and Disability Assistance, Office of	6	7	8
Transportation, Department of	5,607	5,688	5,803
Subtotal - Major Agencies	9,980	10,865	11,810
Minor Agencies			
Addiction Services and Supports, Office of	14	11	15
Agriculture and Markets, Department of	13	0	14
Military and Naval Affairs, Division of	46	46	54
Subtotal - Minor Agencies	73	57	83
Subtotal - Subject to Direct Executive Control	10,053	10,922	11,893
University Systems			
State University Construction Fund	142	141	141
Subtotal - University Systems	142	141	141
Independently Elected Agencies			
Audit and Control, Department of	5	2	0
Law, Department of	4	3	7
Subtotal - Independently Elected Agencies	9	5	7
Grand Total	10,204	11,068	12,041

Workforce Impact Summary

Capital Projects Funds - Federal 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Environmental Conservation, Department of	5	4	5
Health, Department of	39	41	46
Subtotal - Major Agencies	44	45	51
Minor Agencies			
Military and Naval Affairs, Division of	63	65	64
Subtotal - Minor Agencies	63	65	64
Subtotal - Subject to Direct Executive Control	107	110	115
Grand Total	107	110	115

Workforce Impact Summary

Enterprise Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Corrections and Community Supervision, Department of	6	4	10
General Services, Office of	9	10	62
Parks, Recreation and Historic Preservation, Office of	0	84	0
Subtotal - Major Agencies	15	98	72
Minor Agencies			
Agriculture and Markets, Department of	25	34	52
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	25	34	54
Subtotal - Subject to Direct Executive Control	40	132	126
Grand Total	40	132	126

Workforce Impact Summary

Internal Service Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	87	81	99
Corrections and Community Supervision, Department of	281	284	280
Education Department, State	142	142	144
General Services, Office of	913	956	1,042
Labor, Department of	19	13	16
Mental Health, Office of	10	8	10
Subtotal - Major Agencies	1,452	1,484	1,591
Minor Agencies			
Civil Service, Department of	135	121	171
Employee Relations, Office of	9	8	11
Prevention of Domestic Violence, Office for	6	5	7
Subtotal - Minor Agencies	150	134	189
Subtotal - Subject to Direct Executive Control	1,602	1,618	1,780
Independently Elected Agencies			
Audit and Control, Department of	171	149	161
Law, Department of	51	81	50
Subtotal - Independently Elected Agencies	222	230	211
Grand Total	1,824	1,848	1,991

Workforce Impact Summary

Agency Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
University Systems			
City University of New York	13,429	13,405	13,730
Subtotal - University Systems	13,429	13,405	13,730
Grand Total	13,429	13,405	13,730

Workforce Impact Summary

Pension Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Independently Elected Agencies			
Audit and Control, Department of	935	987	978
Subtotal - Independently Elected Agencies	935	987	978
Grand Total	935	987	978

Workforce Impact Summary

Private Purpose Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Agriculture and Markets, Department of	1	4	3
Subtotal - Minor Agencies	1	4	3
Subtotal - Subject to Direct Executive Control	1	4	3
Grand Total	1	4	3

Impact of SFY 2021 Enacted Budget on Local Governments, LFY Ending in 2020
Includes SFY 2020 Major Local Aid Programs for Local Governments

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
School Aid - Total SFY 2021 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
School Aid - Total SFY 2020 Major Local Aid Programs	27,592.5	11,410.8	16,181.6	0.0	0.0	0.0
Other Education						
Other Education - Total SFY 2021 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Other Education - Total SFY 2020 Major Local Aid Programs	219.1	0.0	0.0	0.0	0.0	0.0
Special Education						
Special Education - Total SFY 2021 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Special Education - Total SFY 2020 Major Local Aid Programs	1,330.7	659.3	224.0	447.4	0.0	0.0
STAR - Total SFY 2020 Major Local Aid Programs	2,183.5	165.5	2,018.0	0.0	0.0	0.0
Medicaid						
Medicaid - Total SFY 2021 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Medicaid - Total SFY 2020 Major Local Aid Programs	4,114.7	1,981.1	0.0	2,133.6	0.0	0.0
Human Services						
- Eliminate the 18.424% State Share for CSE Costs and Shift to ROS School Districts	(7.7)	0.0	(7.7)	0.0	0.0	0.0
- Increase the TANF FFFS Child Welfare Threshold to Offset State Child Welfare Costs	(11.7)	(3.6)	0.0	(8.1)	0.0	0.0
- Adjust NYC Family Assistance and Emergency Family Assurances for Families Shares	(12.8)	(12.8)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2021 Enacted Budget Impact on LFY 2020	(32.2)	(16.4)	(7.7)	(8.1)	0.0	0.0
Human Services - Total SFY 2020 Major Local Aid Programs	3,911.4	2,497.2	0.0	1,414.2	0.0	0.0
Health						
- Increase Essential Plan Rates	13.3	13.3	0.0	0.0	0.0	0.0
Health - Total SFY 2021 Enacted Budget Impact on LFY 2020	13.3	13.3	0.0	0.0	0.0	0.0
Health - Total SFY 2020 Major Local Aid Programs	302.5	79.2	0.0	223.5	0.0	0.0
Mental Hygiene						
- Reduce by 10 Percent OASAS Program Administration Funding	(0.2)	0.0	0.0	(0.2)	0.0	0.0
- Eliminate OASAS Program Admin to NYC DOE	(0.4)	(0.4)	0.0	0.0	0.0	0.0
- Reduce by 10 Percent OMH Program Administration Funding	(1.0)	(0.3)	0.0	(0.7)	0.0	0.0
- Require Counties to Pay Full Forensic 730 Costs	(5.3)	0.0	0.0	(5.3)	0.0	0.0
Mental Hygiene - Total SFY 2021 Enacted Budget Impact on LFY 2020	(6.9)	(0.7)	0.0	(6.2)	0.0	0.0
Mental Hygiene - Total SFY 2020 Major Local Aid Programs	71.5	31.0	4.0	36.5	0.0	0.0
Transportation						
- Increase Highway Assistance for Extreme Winter Recovery	36.2	2.3	0.0	14.6	3.0	16.3
- Increase NYC Support to Paratransit MTA	(47.0)	(47.0)	0.0	0.0	0.0	0.0
Transportation - Total SFY 2021 Enacted Budget Impact on LFY 2020	(10.8)	(44.7)	0.0	14.6	3.0	16.3
Transportation - Total SFY 2020 Major Local Aid Programs	840.6	183.1	0.0	371.1	56.2	230.1
Municipal Aid						
- Provide Aid to the City of Albany	12.0	0.0	0.0	0.0	12.0	0.0
- Increase Madison County Gaming Facility Aid	1.5	0.0	0.0	1.5	0.0	0.0
- Provide Aid to the City of Jamestown	1.0	0.0	0.0	0.0	1.0	0.0
Municipal Aid - Total SFY 2021 Enacted Budget Impact on LFY 2020	14.5	0.0	0.0	1.5	13.0	0.0
Municipal Aid - Total SFY 2020 Major Local Aid Programs	755.0	0.0	1.9	8.6	671.3	73.2
Public Protection						
- Provide up to \$40 Million in Aid for Discovery and Pre-Trial Services Reform Implementation	TBD	0.0	0.0	TBD	TBD	TBD
Public Protection - Total SFY 2010 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Public Protection - Total SFY 2020 Major Local Aid Programs	278.8	80.2	0.8	182.3	10.0	5.5
Environment						
Environment - Total SFY 2021 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
Environment - Total SFY 2020 Major Local Aid Programs	360.0	0.0	0.0	0.0	0.0	0.0
Economic Development						
- Transfer Welcome Center Lease and Utility Costs to the City of Geneva	(0.1)	0.0	0.0	0.0	(0.1)	0.0
Economic Development - Total SFY 2021 Enacted Budget Impact on LFY 2020	(0.1)	0.0	0.0	0.0	(0.1)	0.0
Economic Development - Total SFY 2020 Major Local Aid Programs	0.0	0.0	0.0	0.0	0.0	0.0
All Other Impacts						
All Other - Total SFY 2021 Enacted Budget Impact on LFY 2020	0.0	0.0	0.0	0.0	0.0	0.0
All Other - Total SFY 2020 Major Local Aid Programs	459.0	221.0	154.0	84.0	0.0	0.0
Revenue Actions						
- Extend Warrantless State Tax Debt Collection Methods for Five Years	2.0	2.0	0.0	0.0	0.0	0.0
Revenue Actions - Total SFY 2021 Enacted Budget Impact on LFY 2020	2.0	2.0	0.0	0.0	0.0	0.0
Total SFY 2021 Enacted Budget Impact on LFY 2020	(20.2)	(46.5)	(7.7)	1.8	15.9	16.3
Grand Total - Total SFY 2021 Enacted Budget Impact on LFY 2020	(20.2)	(46.5)	(7.7)	1.8	15.9	16.3
Grand Total - Total SFY 2020 Major Local Aid Programs	42,419.2	17,308.5	18,584.3	4,901.2	737.5	308.8

Impact of SFY 2021 Enacted Budget on Local Governments, LFY Ending in 2021
Includes SFY 2021 Major Local Aid Programs for Local Governments

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
- Increase School Aid	93.2	(17.4)	110.6	0.0	0.0	0.0
School Aid - Total SFY 2021 Enacted Budget Impact on LFY 2021	93.2	(17.4)	110.6	0.0	0.0	0.0
School Aid - Total SFY 2021 Major Local Aid Programs	27,685.7	11,393.5	16,292.2	0.0	0.0	0.0
Other Education						
Other Education - Total SFY 2021 Enacted Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Other Education - Total SFY 2021 Major Local Aid Programs	230.1	0.0	0.0	0.0	0.0	0.0
Special Education						
Special Education - Total SFY 2021 Enacted Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Special Education - Total SFY 2021 Major Local Aid Programs	1,357.2	670.9	246.0	440.4	0.0	0.0
STAR - Total SFY 2021 Major Local Aid Programs	2,073.1	157.1	1,916.0	0.0	0.0	0.0
Medicaid						
Medicaid - Total SFY 2021 Enacted Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Medicaid - Total SFY 2021 Major Local Aid Programs	4,467.3	2,202.0	0.0	2,265.4	0.0	0.0
Human Services						
- Eliminate the 18.424% State Share for CSE Costs and Shift to ROS School Districts	(18.1)	0.0	(18.1)	0.0	0.0	0.0
- Increase the TANF FFFS Child Welfare Threshold to Offset State Child Welfare Costs	(25.0)	(14.2)	0.0	(10.8)	0.0	0.0
- Adjust NYC Family Assistance and Emergency Family Assistances for Families Shares	(51.4)	(51.4)	0.0	0.0	0.0	0.0
Human Services - Total SFY 2021 Enacted Budget Impact on LFY 2021	(94.5)	(65.6)	(18.1)	(10.8)	0.0	0.0
Human Services - Total SFY 2021 Major Local Aid Programs	4,303.3	2,802.4	0.0	1,500.9	0.0	0.0
Health						
- Increase Essential Plan Rates	53.0	53.0	0.0	0.0	0.0	0.0
- Increase Early Intervention Insurer Participation	0.9	0.3	0.0	0.6	0.0	0.0
Health - Total SFY 2021 Enacted Budget Impact on LFY 2021	53.9	53.3	0.0	0.6	0.0	0.0
Health - Total SFY 2021 Major Local Aid Programs	464.7	249.2	0.0	215.5	0.0	0.0
Mental Hygiene						
- Reduce by 10 Percent OASAS Program Administration Funding	(0.3)	(0.1)	0.0	(0.2)	0.0	0.0
- Eliminate OASAS Program Admin to NYC DOE	(1.7)	(1.7)	0.0	0.0	0.0	0.0
- Reduce by 10 Percent OMH Program Administration Funding	(2.3)	(1.3)	0.0	(1.0)	0.0	0.0
- Require Counties to Pay Full Forensic 730 Costs	(10.9)	0.0	0.0	(10.9)	0.0	0.0
Mental Hygiene - Total SFY 2021 Enacted Budget Impact on LFY 2021	(15.2)	(3.1)	0.0	(12.1)	0.0	0.0
Mental Hygiene - Total SFY 2021 Major Local Aid Programs	71.5	31.0	4.0	36.5	0.0	0.0
Transportation						
- Increase Highway Assistance for Extreme Winter Recovery	28.8	6.8	0.0	4.9	3.0	14.1
- Increase NYC Support to Paratransit MTA	(99.0)	(99.0)	0.0	0.0	0.0	0.0
Transportation - Total SFY 2021 Enacted Budget Impact on LFY 2021	(70.2)	(92.2)	0.0	4.9	3.0	14.1
Transportation - Total SFY 2021 Major Local Aid Programs	1,022.2	337.3	0.0	398.6	56.2	230.0
Municipal Aid						
- Increase Madison County Gaming Facility Aid	1.5	0.0	0.0	1.5	0.0	0.0
Municipal Aid - Total SFY 2021 Enacted Budget Impact on LFY 2021	1.5	0.0	0.0	1.5	0.0	0.0
Municipal Aid - Total SFY 2021 Major Local Aid Programs	778.9	0.0	0.0	2.4	669.4	72.1
Public Protection						
- Provide up to \$40 Million in Aid for Discovery and Pre-Trial Services Reform Implementation	TBD	0.0	0.0	TBD	TBD	TBD
Public Protection - Total SFY 2021 Enacted Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Public Protection - Total SFY 2021 Major Local Aid Programs	325.8	94.3	0.8	215.2	10.0	5.5
Environment						
Environment - Total SFY 2021 Enacted Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
Environment - Total SFY 2021 Major Local Aid Programs	405.0	0.0	0.0	0.0	0.0	0.0
Economic Development						
- Transfer Welcome Center Lease and Utility Costs to the City of Geneva	(0.2)	0.0	0.0	0.0	(0.2)	0.0
Economic Development - Total SFY 2021 Enacted Budget Impact on LFY 2021	(0.2)	0.0	0.0	0.0	(0.2)	0.0
Economic Development - Total SFY 2021 Major Local Aid Programs	0.0	0.0	0.0	0.0	0.0	0.0
All Other Impacts						
All Other - Total SFY 2021 Enacted Budget Impact on LFY 2021	0.0	0.0	0.0	0.0	0.0	0.0
All Other - Total SFY 2021 Major Local Aid Programs	478.0	222.0	165.0	91.0	0.0	0.0
Revenue Actions						
- Extend Warrantless State Tax Debt Collection Methods for Five Years	8.0	8.0	0.0	0.0	0.0	0.0
- Intercept Sales Tax for Distressed Provider Assistance Program*	(337.5)	(250.0)	0.0	(87.5)	0.0	0.0
Revenue Actions - Total SFY 2021 Enacted Budget Impact on LFY 2021	(329.5)	(242.0)	0.0	(87.5)	0.0	0.0
Total SFY 2021 Enacted Budget Impact on LFY 2021	(361.0)	(367.0)	92.5	(103.4)	2.8	14.1
- New School Aid Competitive Grants	11.0	TBD	TBD	0.0	0.0	0.0
Grand Total - Total SFY 2021 Enacted Budget Impact on LFY 2021	(350.0)	(367.0)	92.5	(103.4)	2.8	14.1
Grand Total - Total SFY 2021 Major Local Aid Programs	43,662.8	18,159.6	18,624.0	5,165.7	735.6	307.6

*Annual intercept statewide is \$250M. Numbers reflect delay of initial intercept until January 2021

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	70,748	56,968	58,748	58,548	58,548
Local Assistance	35,047	20,821	24,247	24,247	24,247
State Operations	35,701	36,147	34,501	34,301	34,301
Personal Service	28,153	30,816	30,039	30,039	30,039
Non-Personal Service	7,548	5,331	4,462	4,262	4,262
<i>Alcoholic Beverage Control, Division of</i>	10,611	11,560	11,244	11,244	11,244
State Operations	10,611	11,560	11,244	11,244	11,244
Personal Service	8,088	8,316	8,316	8,316	8,316
Non-Personal Service	2,523	3,244	2,928	2,928	2,928
<i>Economic Development, Department of</i>	51,869	61,315	61,165	61,165	61,165
Local Assistance	36,019	45,974	45,824	45,824	45,824
State Operations	15,850	15,341	15,341	15,341	15,341
Personal Service	12,934	12,826	12,826	12,826	12,826
Non-Personal Service	2,916	2,515	2,515	2,515	2,515
<i>Empire State Development Corporation</i>	105,111	61,054	61,054	61,054	61,054
Local Assistance	105,111	61,054	61,054	61,054	61,054
<i>Olympic Regional Development Authority</i>	11,956	11,526	11,526	11,526	11,526
Local Assistance	2,268	0	0	0	0
State Operations	9,688	11,526	11,526	11,526	11,526
Personal Service	5,500	5,338	5,338	5,338	5,338
Non-Personal Service	4,188	6,188	6,188	6,188	6,188
Functional Total	250,295	202,423	203,737	203,537	203,537
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,443	5,018	4,835	4,819	4,685
State Operations	4,443	5,018	4,835	4,819	4,685
Personal Service	4,069	4,528	4,470	4,470	4,370
Non-Personal Service	374	490	365	349	315
<i>Environmental Conservation, Department of</i>	112,491	131,929	130,018	131,060	138,027
Local Assistance	2,202	1,478	1,247	978	978
State Operations	110,289	130,451	128,771	130,082	137,049
Personal Service	94,779	104,969	103,169	104,480	111,447
Non-Personal Service	15,510	25,482	25,602	25,602	25,602
<i>Parks, Recreation and Historic Preservation, Office of</i>	108,355	115,938	113,629	110,744	110,744
Local Assistance	1,399	100	100	100	100
State Operations	106,956	115,838	113,529	110,644	110,644
Personal Service	101,312	108,964	106,655	103,770	103,770
Non-Personal Service	5,644	6,874	6,874	6,874	6,874
Functional Total	225,289	252,885	248,482	246,623	253,456
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	10,562	12,586	12,830	12,830	12,830
State Operations	10,562	12,586	12,830	12,830	12,830
Personal Service	8,478	8,868	9,046	9,046	9,046
Non-Personal Service	2,084	3,718	3,784	3,784	3,784
<i>Transportation, Department of</i>	446,884	443,113	441,889	441,889	441,889
Local Assistance	110,339	109,851	109,851	109,851	109,851
State Operations	336,545	333,262	332,038	332,038	332,038
Personal Service	158,175	165,171	159,048	159,048	159,048
Non-Personal Service	178,370	168,091	172,990	172,990	172,990
Functional Total	457,446	455,699	454,719	454,719	454,719

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HEALTH					
Aging, Office for the	137,607	143,311	148,323	153,505	158,817
Local Assistance	135,561	141,306	146,361	151,543	156,855
State Operations	2,046	2,005	1,962	1,962	1,962
Personal Service	1,917	1,899	1,856	1,856	1,856
Non-Personal Service	129	106	106	106	106
Health, Department of	17,443,252	16,799,943	19,137,390	20,097,986	21,011,035
Medical Assistance	15,540,959	15,149,312	17,671,660	18,648,822	19,567,991
Local Assistance	15,540,959	15,149,312	17,671,660	18,648,822	19,567,991
Essential Plan	73,970	78,089	75,531	74,003	74,022
State Operations	73,970	78,089	75,531	74,003	74,022
Personal Service	3,326	4,692	4,621	4,634	4,557
Non-Personal Service	70,644	73,397	70,910	69,369	69,465
Medicaid Administration	737,623	721,644	729,647	715,009	708,870
Local Assistance	530,424	466,502	452,297	438,613	425,431
State Operations	207,199	255,142	277,350	276,396	283,439
Personal Service	34,960	41,935	47,053	50,144	52,666
Non-Personal Service	172,239	213,207	230,297	226,252	230,773
Public Health	1,090,700	850,898	660,552	660,152	660,152
Local Assistance	448,560	712,063	524,822	524,422	524,422
State Operations	642,140	138,835	135,730	135,730	135,730
Personal Service	101,668	107,069	103,964	103,964	103,964
Non-Personal Service	540,472	31,766	31,766	31,766	31,766
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
State Operations	17,983	18,679	18,072	18,072	18,072
Personal Service	15,599	16,231	15,624	15,624	15,624
Non-Personal Service	2,384	2,448	2,448	2,448	2,448
Functional Total	17,598,842	16,961,933	19,303,785	20,269,563	21,187,924
SOCIAL WELFARE					
Children and Family Services, Office of	1,319,237	2,190,743	1,906,151	1,906,734	1,911,385
OCFS	1,282,022	2,119,885	1,831,129	1,831,712	1,836,363
Local Assistance	1,153,112	1,759,157	1,471,730	1,472,313	1,472,313
State Operations	128,910	360,728	359,399	359,399	364,050
Personal Service	83,069	262,335	259,291	259,291	261,926
Non-Personal Service	45,841	98,393	100,108	100,108	102,124
OCFS - Other	37,215	70,858	75,022	75,022	75,022
Local Assistance	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	37,753	7,888	51,678	51,678	51,678
Local Assistance	30,019	2,983	46,937	46,937	46,937
State Operations	7,734	4,905	4,741	4,741	4,741
Personal Service	3,228	4,492	4,328	4,328	4,328
Non-Personal Service	4,506	413	413	413	413
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
State Operations	11,048	10,590	10,230	10,230	10,230
Personal Service	9,543	10,121	9,752	9,752	9,752
Non-Personal Service	1,505	469	478	478	478
Labor, Department of	25,756	2,599	5,351	5,351	5,351
Local Assistance	25,480	2,250	5,000	5,000	5,000
State Operations	276	349	351	351	351
Personal Service	84	94	91	91	91
Non-Personal Service	192	255	260	260	260

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
National and Community Service	655	781	781	781	784
Local Assistance	349	432	432	432	432
State Operations	306	349	349	349	352
Personal Service	305	340	340	340	343
Non-Personal Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,304,567	1,547,152	1,480,253	1,593,053	1,629,853
Welfare Assistance	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
Local Assistance	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	239,242	225,440	262,941	375,741	412,541
Local Assistance	96,214	94,215	128,996	241,796	278,596
State Operations	143,028	131,225	133,945	133,945	133,945
Personal Service	64,862	76,580	78,130	78,130	78,130
Non-Personal Service	78,166	54,645	55,815	55,815	55,815
Functional Total	2,699,016	3,759,753	3,454,444	3,567,827	3,609,281
MENTAL HYGIENE					
Addiction Services and Supports, Office of	442,943	447,096	466,166	486,769	505,751
OASAS	369,664	378,221	396,616	416,849	435,164
Local Assistance	338,842	341,356	360,122	380,048	397,858
State Operations	30,822	36,865	36,494	36,801	37,306
Personal Service	23,279	27,997	27,347	27,628	27,903
Non-Personal Service	7,543	8,868	9,147	9,173	9,403
OASAS - Other	73,279	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325
State Operations	51,954	47,550	48,225	48,595	49,262
Personal Service	37,249	35,334	35,589	35,914	36,243
Non-Personal Service	14,705	12,216	12,636	12,681	13,019
Justice Center	41,577	42,290	41,564	42,105	42,675
Local Assistance	170	170	170	170	170
State Operations	41,407	42,120	41,394	41,935	42,505
Personal Service	33,333	33,228	32,285	32,598	32,932
Non-Personal Service	8,074	8,892	9,109	9,337	9,573
Mental Health, Office of	2,712,931	2,742,119	2,951,326	3,046,629	3,149,205
OMH	1,387,457	1,524,922	1,583,308	1,651,346	1,727,777
Local Assistance	1,032,781	1,165,586	1,214,799	1,274,018	1,344,691
State Operations	354,676	359,336	368,509	377,328	383,086
Personal Service	286,101	321,384	329,413	336,752	340,610
Non-Personal Service	68,575	37,952	39,096	40,576	42,476
OMH - Other	1,325,474	1,217,197	1,368,018	1,395,283	1,421,428
Local Assistance	288,507	189,059	313,994	322,295	327,322
State Operations	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
Personal Service	831,217	806,961	812,060	819,286	828,673
Non-Personal Service	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	3,098,646	1,837,814	2,598,508	3,165,560	3,726,689
OPWDD	408,280	352,843	358,324	369,186	403,408
Local Assistance	408,279	352,843	358,324	369,186	403,408
State Operations	1	0	0	0	0
Non-Personal Service	1	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
OPWDD - Other	2,690,366	1,484,971	2,240,184	2,796,374	3,323,281
Local Assistance	1,333,390	145,638	886,665	1,425,934	1,935,632
State Operations	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service	195,647	186,010	190,672	195,584	200,664
Functional Total	6,296,097	5,069,319	6,057,564	6,741,063	7,424,320
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
State Operations	3,149	2,773	2,735	2,735	2,735
Personal Service	2,652	2,531	2,488	2,488	2,488
Non-Personal Service	497	242	247	247	247
Corrections and Community Supervision, Department of	2,877,434	2,708,264	2,710,528	2,750,272	2,747,272
DOCCS	2,877,434	2,706,264	2,710,528	2,750,272	2,747,272
Local Assistance	6,336	4,836	4,836	4,836	4,836
State Operations	2,871,098	2,701,428	2,705,692	2,745,436	2,742,436
Personal Service	2,380,498	2,207,737	2,218,040	2,251,459	2,251,459
Non-Personal Service	490,600	493,691	487,652	493,977	490,977
DOCCS - Other	0	2,000	0	0	0
Local Assistance	0	2,000	0	0	0
Criminal Justice Services, Division of	180,758	163,020	162,750	163,438	164,138
Local Assistance	146,855	127,781	127,781	127,781	127,781
State Operations	33,903	35,239	34,969	35,657	36,357
Personal Service	27,666	28,591	28,150	28,664	29,227
Non-Personal Service	6,237	6,648	6,819	6,993	7,130
Homeland Security and Emergency Services, Division of	6,552	5,572	5,290	5,396	5,505
Local Assistance	5,552	4,572	4,290	4,376	4,464
State Operations	1,000	1,000	1,000	1,020	1,041
Personal Service	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
State Operations	5,748	6,266	6,444	6,550	6,550
Personal Service	4,203	4,878	4,813	4,903	4,903
Non-Personal Service	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30
State Operations	0	30	30	30	30
Non-Personal Service	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
State Operations	6	38	38	38	38
Non-Personal Service	6	38	38	38	38
Military and Naval Affairs, Division of	20,951	21,957	22,593	23,046	23,509
Local Assistance	885	820	886	904	923
State Operations	20,066	21,137	21,707	22,142	22,586
Personal Service	14,158	14,539	14,615	14,908	15,207
Non-Personal Service	5,908	6,598	7,092	7,234	7,379
State Police, Division of	696,253	728,698	731,328	749,606	749,606
State Operations	696,253	728,698	731,328	749,606	749,606
Personal Service	661,291	699,888	695,508	713,074	713,074
Non-Personal Service	34,962	28,810	35,820	36,532	36,532
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
State Operations	31,517	31,161	31,329	31,944	31,944
Personal Service	11,650	12,537	12,333	12,568	12,568
Non-Personal Service	19,867	18,624	18,996	19,376	19,376

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Victim Services, Office of	37	0	0	0	0
Local Assistance	37	0	0	0	0
Functional Total	3,822,405	3,667,779	3,673,065	3,733,055	3,731,327
HIGHER EDUCATION					
City University of New York	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Local Assistance	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	950,474	814,459	838,598	843,003	843,241
Local Assistance	950,474	813,959	838,098	842,503	842,741
State Operations	0	500	500	500	500
Personal Service	0	500	500	500	500
State University of New York	482,093	460,244	461,968	460,968	460,968
Local Assistance	478,769	459,460	461,184	460,184	460,184
State Operations	3,324	784	784	784	784
Personal Service	52	42	42	42	42
Non-Personal Service	3,272	742	742	742	742
Functional Total	2,366,033	3,519,845	2,925,940	2,961,738	2,991,733
EDUCATION					
Arts, Council on the	48,264	45,251	45,155	45,155	45,155
Local Assistance	44,013	40,835	40,835	40,835	40,835
State Operations	4,251	4,416	4,320	4,320	4,320
Personal Service	2,681	2,594	2,498	2,498	2,498
Non-Personal Service	1,570	1,822	1,822	1,822	1,822
Education, Department of	25,884,919	26,403,542	26,607,412	27,729,106	28,754,989
School Aid	23,384,248	23,913,656	24,035,108	25,070,391	26,028,018
Local Assistance	23,384,248	23,913,656	24,035,108	25,070,391	26,028,018
School Aid – Other	137,708	140,000	140,000	140,000	140,000
Local Assistance	137,708	140,000	140,000	140,000	140,000
Special Education Categorical Programs	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	1,032,290	992,665	1,004,238	1,023,264	1,022,940
Local Assistance	976,583	933,455	946,000	965,026	964,702
State Operations	55,707	59,210	58,238	58,238	58,238
Personal Service	32,211	30,306	29,334	29,334	29,334
Non-Personal Service	23,496	28,904	28,904	28,904	28,904
Functional Total	25,933,183	26,448,793	26,652,567	27,774,261	28,800,144
GENERAL GOVERNMENT					
Budget, Division of the	23,927	23,749	22,895	22,895	22,895
State Operations	23,927	23,749	22,895	22,895	22,895
Personal Service	22,089	23,070	22,216	22,216	22,216
Non-Personal Service	1,838	679	679	679	679
Civil Service, Department of	15,182	14,516	14,142	14,150	14,150
Local Assistance	78	300	300	300	300
State Operations	15,104	14,216	13,842	13,850	13,850
Personal Service	15,103	14,216	13,842	13,850	13,850
Non-Personal Service	1	0	0	0	0
Deferred Compensation Board	36	58	57	57	57
State Operations	36	58	57	57	57
Personal Service	35	33	32	32	32

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service	1	25	25	25	25
Elections, State Board of	9,991	10,583	10,054	9,428	9,428
Local Assistance	1,352	0	0	0	0
State Operations	8,639	10,583	10,054	9,428	9,428
Personal Service	6,039	6,571	6,478	6,316	6,316
Non-Personal Service	2,600	4,012	3,576	3,112	3,112
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
State Operations	5,880	6,542	6,444	6,445	6,445
Personal Service	5,789	6,346	6,248	6,248	6,248
Non-Personal Service	91	196	196	197	197
Gaming Commission, New York State	4,522	6,527	6,362	6,362	6,362
State Operations	4,522	6,527	6,362	6,362	6,362
Personal Service	3,254	4,447	4,282	4,282	4,282
Non-Personal Service	1,268	2,080	2,080	2,080	2,080
General Services, Office of	101,989	81,683	83,279	84,831	86,331
State Operations	101,989	81,683	83,279	84,831	86,331
Personal Service	36,171	39,600	38,809	39,585	40,377
Non-Personal Service	65,818	42,083	44,470	45,246	45,954
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
State Operations	540,195	546,127	552,683	563,841	563,841
Personal Service	296,582	301,996	308,111	314,349	314,349
Non-Personal Service	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
State Operations	6,381	7,826	8,061	8,210	8,210
Personal Service	5,423	6,970	6,857	6,994	6,994
Non-Personal Service	958	856	1,204	1,216	1,216
Labor Management Committees	36,952	37,325	38,072	38,833	39,610
State Operations	30,566	32,325	33,072	33,833	34,610
Personal Service	8,420	5,698	5,487	5,487	5,487
Non-Personal Service	22,146	26,627	27,585	28,346	29,123
General State Charges	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,984	3,252	3,190	3,190	3,190
Local Assistance	1,288	1,385	1,412	1,412	1,412
State Operations	1,696	1,867	1,778	1,778	1,778
Personal Service	1,550	1,660	1,567	1,567	1,567
Non-Personal Service	146	207	211	211	211
Public Employment Relations Board	3,380	3,719	3,589	3,589	3,589
State Operations	3,380	3,719	3,589	3,589	3,589
Personal Service	3,214	3,468	3,338	3,338	3,338
Non-Personal Service	166	251	251	251	251
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State Operations	5,217	5,835	6,010	6,119	6,119
Personal Service	4,486	4,867	4,827	4,924	4,924
Non-Personal Service	731	968	1,183	1,195	1,195
State, Department of	25,155	13,769	13,407	13,407	13,407
Local Assistance	14,789	4,317	4,317	4,317	4,317
State Operations	10,366	9,452	9,090	9,090	9,090
Personal Service	10,012	9,216	8,854	8,854	8,854
Non-Personal Service	354	236	236	236	236
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
State Operations	2,871	3,150	3,040	3,040	3,040
Personal Service	2,640	2,936	2,870	2,870	2,870
Non-Personal Service	231	214	170	170	170
Taxation and Finance, Department of	255,432	279,942	270,349	270,349	270,349
Local Assistance	885	926	926	926	926

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	254,547	279,016	269,423	269,423	269,423
Personal Service	214,530	236,276	227,987	227,987	227,987
Non-Personal Service	40,017	42,740	41,436	41,436	41,436
Veterans' Services, Division of	14,442	14,322	14,257	14,257	14,324
Local Assistance	8,235	7,840	7,840	7,840	7,840
State Operations	6,207	6,482	6,417	6,417	6,484
Personal Service	5,546	6,178	6,107	6,107	6,168
Non-Personal Service	661	304	310	310	316
Welfare Inspector General, Office of	640	731	753	768	768
State Operations	640	731	753	768	768
Personal Service	630	654	646	659	659
Non-Personal Service	10	77	107	109	109
Functional Total	1,055,176	1,059,656	1,056,644	1,069,771	1,072,115
ELECTED OFFICIALS					
Audit and Control, Department of	169,540	175,709	173,287	173,287	173,287
Local Assistance	32,025	32,024	32,024	32,024	32,024
State Operations	137,515	143,685	141,263	141,263	141,263
Personal Service	110,531	114,603	112,181	112,181	112,181
Non-Personal Service	26,984	29,082	29,082	29,082	29,082
Executive Chamber	13,239	14,032	13,578	13,578	13,578
State Operations	13,239	14,032	13,578	13,578	13,578
Personal Service	10,876	11,567	11,113	11,113	11,113
Non-Personal Service	2,363	2,465	2,465	2,465	2,465
Judiciary	2,859,520	2,915,084	2,976,998	2,976,997	2,943,093
Local Assistance	47,313	51,500	66,500	66,500	66,500
State Operations	2,022,751	2,117,400	2,062,600	2,062,600	2,062,600
Personal Service	1,646,896	1,737,200	1,682,400	1,682,400	1,682,400
Non-Personal Service	375,855	380,200	380,200	380,200	380,200
General State Charges	789,456	746,184	847,898	847,897	813,993
Law, Department of	110,067	115,129	111,883	111,883	111,883
State Operations	110,067	115,129	111,883	111,883	111,883
Personal Service	101,472	103,347	100,101	100,101	100,101
Non-Personal Service	8,595	11,782	11,782	11,782	11,782
Legislature	227,546	248,106	254,999	255,052	255,052
State Operations	227,546	248,106	254,999	255,052	255,052
Personal Service	177,365	192,583	198,366	198,419	198,419
Non-Personal Service	50,181	55,523	56,633	56,633	56,633
Lieutenant Governor, Office of the	518	634	614	614	614
State Operations	518	634	614	614	614
Personal Service	431	543	523	523	523
Non-Personal Service	87	91	91	91	91
Functional Total	3,380,430	3,468,694	3,531,359	3,531,411	3,497,507
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
Local Assistance	662,054	671,166	704,192	704,192	704,192
State Operations	2	0	0	0	0
Non-Personal Service	2	0	0	0	0
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Local Assistance	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Local Assistance	11,998	3,750	3,750	3,750	3,750

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>Municipalities with VLT Facilities</i>	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885
<i>Small Government Assistance</i>	217	218	218	218	218
Local Assistance	217	218	218	218	218
Functional Total	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
<i>General State Charges</i>	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
<i>Miscellaneous</i>	(82,880)	(6,795,310)	(6,996,497)	(6,495,997)	(5,795,997)
Local Assistance	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
State Operations	45,809	(142,051)	(266,638)	(366,638)	(166,638)
Personal Service	73	(134,944)	(149,944)	(174,944)	(74,944)
Non-Personal Service	45,736	(7,107)	(116,694)	(191,694)	(91,694)
General State Charges	2,775	4,420	4,420	4,420	4,420
Functional Total	6,572,207	(302,086)	1,159,658	2,205,273	3,069,927
TOTAL GENERAL FUND SPENDING	71,370,741	65,303,712	69,519,009	73,555,886	77,093,035

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	70,748	56,968	58,748	58,548	58,548
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	51,869	61,315	61,165	61,165	61,165
Empire State Development Corporation	105,111	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	11,956	11,526	11,526	11,526	11,526
Functional Total	250,295	202,423	203,737	203,537	203,537
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	112,491	131,929	130,018	131,060	138,027
Parks, Recreation and Historic Preservation, Office of	108,355	115,938	113,629	110,744	110,744
Functional Total	225,289	252,885	248,482	246,623	253,456
TRANSPORTATION					
Motor Vehicles, Department of	10,562	12,586	12,830	12,830	12,830
Transportation, Department of	446,884	443,113	441,889	441,889	441,889
Functional Total	457,446	455,699	454,719	454,719	454,719
HEALTH					
Aging, Office for the	137,607	143,311	148,323	153,505	158,817
Health, Department of	17,443,252	16,799,943	19,137,390	20,097,986	21,011,035
<i>Medical Assistance</i>	15,540,959	15,149,312	17,671,660	18,648,822	19,567,991
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	737,623	721,644	729,647	715,009	708,870
<i>Public Health</i>	1,090,700	850,898	660,552	660,152	660,152
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
Functional Total	17,598,842	16,961,933	19,303,785	20,269,563	21,187,924
SOCIAL WELFARE					
Children and Family Services, Office of	1,319,237	2,190,743	1,906,151	1,906,734	1,911,385
<i>OCFS</i>	1,282,022	2,119,885	1,831,129	1,831,712	1,836,363
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	37,753	7,888	51,678	51,678	51,678
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
Labor, Department of	25,756	2,599	5,351	5,351	5,351
National and Community Service	655	781	781	781	784
Temporary and Disability Assistance, Office of	1,304,567	1,547,152	1,480,253	1,593,053	1,629,853
<i>Welfare Assistance</i>	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	239,242	225,440	262,941	375,741	412,541
Functional Total	2,699,016	3,759,753	3,454,444	3,567,827	3,609,281
MENTAL HYGIENE					
Addiction Services and Supports, Office of	442,943	447,096	466,166	486,769	505,751
<i>OASAS</i>	369,664	378,221	396,616	416,849	435,164
<i>OASAS - Other</i>	73,279	68,875	69,550	69,920	70,587
Justice Center	41,577	42,290	41,564	42,105	42,675
Mental Health, Office of	2,712,931	2,742,119	2,951,326	3,046,629	3,149,205
<i>OMH</i>	1,387,457	1,524,922	1,583,308	1,651,346	1,727,777
<i>OMH - Other</i>	1,325,474	1,217,197	1,368,018	1,395,283	1,421,428
People with Developmental Disabilities, Office for	3,098,646	1,837,814	2,598,508	3,165,560	3,726,689
<i>OPWDD</i>	408,280	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	2,690,366	1,484,971	2,240,184	2,796,374	3,323,281
Functional Total	6,296,097	5,069,319	6,057,564	6,741,063	7,424,320
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,877,434	2,708,264	2,710,528	2,750,272	2,747,272
<i>DOCCS</i>	2,877,434	2,706,264	2,710,528	2,750,272	2,747,272
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	180,758	163,020	162,750	163,438	164,138
Homeland Security and Emergency Services, Division of	6,552	5,572	5,290	5,396	5,505
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	20,951	21,957	22,593	23,046	23,509
State Police, Division of	696,253	728,698	731,328	749,606	749,606
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	37	0	0	0	0
Functional Total	3,822,405	3,667,779	3,673,065	3,733,055	3,731,327
HIGHER EDUCATION					
City University of New York	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	950,474	814,459	838,598	843,003	843,241
State University of New York	482,093	460,244	461,968	460,968	460,968
Functional Total	2,366,033	3,519,845	2,925,940	2,961,738	2,991,733
EDUCATION					
Arts, Council on the	48,264	45,251	45,155	45,155	45,155
Education, Department of	25,884,919	26,403,542	26,607,412	27,729,106	28,754,989
<i>School Aid</i>	23,384,248	23,913,656	24,035,108	25,070,391	26,028,018
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>Special Education Categorical Programs</i>	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,032,290	992,665	1,004,238	1,023,264	1,022,940
Functional Total	25,933,183	26,448,793	26,652,567	27,774,261	28,800,144
GENERAL GOVERNMENT					
Budget, Division of the	23,927	23,749	22,895	22,895	22,895
Civil Service, Department of	15,182	14,516	14,142	14,150	14,150
Deferred Compensation Board	36	58	57	57	57
Elections, State Board of	9,991	10,583	10,054	9,428	9,428
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	4,522	6,527	6,362	6,362	6,362
General Services, Office of	101,989	81,683	83,279	84,831	86,331
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	36,952	37,325	38,072	38,833	39,610
Prevention of Domestic Violence, Office for	2,984	3,252	3,190	3,190	3,190
Public Employment Relations Board	3,380	3,719	3,589	3,589	3,589
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	25,155	13,769	13,407	13,407	13,407
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	255,432	279,942	270,349	270,349	270,349
Veterans' Services, Division of	14,442	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	640	731	753	768	768
Functional Total	1,055,176	1,059,656	1,056,644	1,069,771	1,072,115
ELECTED OFFICIALS					
Audit and Control, Department of	169,540	175,709	173,287	173,287	173,287
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	2,859,520	2,915,084	2,976,998	2,976,997	2,943,093
Law, Department of	110,067	115,129	111,883	111,883	111,883
Legislature	227,546	248,106	254,999	255,052	255,052
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	3,380,430	3,468,694	3,531,359	3,531,411	3,497,507
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	714,322	739,019	797,045	797,045	797,045

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Miscellaneous	<u>(82,880)</u>	<u>(6,795,310)</u>	<u>(6,996,497)</u>	<u>(6,495,997)</u>	<u>(5,795,997)</u>
Functional Total	<u>6,572,207</u>	<u>(302,086)</u>	<u>1,159,658</u>	<u>2,205,273</u>	<u>3,069,927</u>
 TOTAL GENERAL FUND SPENDING	 <u><u>71,370,741</u></u>	 <u><u>65,303,712</u></u>	 <u><u>69,519,009</u></u>	 <u><u>73,555,886</u></u>	 <u><u>77,093,035</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	35,047	20,821	24,247	24,247	24,247
Economic Development, Department of	36,019	45,974	45,824	45,824	45,824
Empire State Development Corporation	105,111	61,054	61,054	61,054	61,054
Olympic Regional Development Authority	2,268	0	0	0	0
Functional Total	178,445	127,849	131,125	131,125	131,125
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,202	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	1,399	100	100	100	100
Functional Total	3,601	1,578	1,347	1,078	1,078
TRANSPORTATION					
Transportation, Department of	110,339	109,851	109,851	109,851	109,851
Functional Total	110,339	109,851	109,851	109,851	109,851
HEALTH					
Aging, Office for the	135,561	141,306	146,361	151,543	156,855
Health, Department of	16,519,943	16,327,877	18,648,779	19,611,857	20,517,844
<i>Medical Assistance</i>	15,540,959	15,149,312	17,671,660	18,648,822	19,567,991
<i>Medicaid Administration</i>	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	448,560	712,063	524,822	524,422	524,422
Functional Total	16,655,504	16,469,183	18,795,140	19,763,400	20,674,699
SOCIAL WELFARE					
Children and Family Services, Office of	1,190,327	1,830,015	1,546,752	1,547,335	1,547,335
<i>OCFS</i>	1,153,112	1,759,157	1,471,730	1,472,313	1,472,313
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	30,019	2,983	46,937	46,937	46,937
Labor, Department of	25,480	2,250	5,000	5,000	5,000
National and Community Service	349	432	432	432	432
Temporary and Disability Assistance, Office of	1,161,539	1,415,927	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	96,214	94,215	128,996	241,796	278,596
Functional Total	2,407,714	3,251,607	2,945,429	3,058,812	3,095,612
MENTAL HYGIENE					
Addiction Services and Supports, Office of	360,167	362,681	381,447	401,373	419,183
<i>OASAS</i>	338,842	341,356	360,122	380,048	397,858
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170
Mental Health, Office of	1,321,288	1,354,645	1,528,793	1,596,313	1,672,013
<i>OMH</i>	1,032,781	1,165,586	1,214,799	1,274,018	1,344,691
<i>OMH - Other</i>	288,507	189,059	313,994	322,295	327,322
People with Developmental Disabilities, Office for	1,741,669	498,481	1,244,989	1,795,120	2,339,040
<i>OPWDD</i>	408,279	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	1,333,390	145,638	886,665	1,425,934	1,935,632
Functional Total	3,423,294	2,215,977	3,155,399	3,792,976	4,430,406
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	146,855	127,781	127,781	127,781	127,781
Homeland Security and Emergency Services, Division of	5,552	4,572	4,290	4,376	4,464
Military and Naval Affairs, Division of	885	820	886	904	923
Victim Services, Office of	37	0	0	0	0
Functional Total	159,665	140,009	137,793	137,897	138,004
HIGHER EDUCATION					
City University of New York	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	950,474	813,959	838,098	842,503	842,741

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
State University of New York	478,769	459,460	461,184	460,184	460,184
Functional Total	<u>2,362,709</u>	<u>3,518,561</u>	<u>2,924,656</u>	<u>2,960,454</u>	<u>2,990,449</u>
EDUCATION					
Arts, Council on the	44,013	40,835	40,835	40,835	40,835
Education, Department of	25,829,212	26,344,332	26,549,174	27,670,868	28,696,751
<i>School Aid</i>	23,384,248	23,913,656	24,035,108	25,070,391	26,028,018
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>Special Education Categorical Programs</i>	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	976,583	933,455	946,000	965,026	964,702
Functional Total	<u>25,873,225</u>	<u>26,385,167</u>	<u>26,590,009</u>	<u>27,711,703</u>	<u>28,737,586</u>
GENERAL GOVERNMENT					
Civil Service, Department of	78	300	300	300	300
Elections, State Board of	1,352	0	0	0	0
Prevention of Domestic Violence, Office for	1,288	1,385	1,412	1,412	1,412
State, Department of	14,789	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	885	926	926	926	926
Veterans' Services, Division of	8,235	7,840	7,840	7,840	7,840
Functional Total	<u>26,627</u>	<u>14,768</u>	<u>14,795</u>	<u>14,795</u>	<u>14,795</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	47,313	51,500	66,500	66,500	66,500
Functional Total	<u>79,338</u>	<u>83,524</u>	<u>98,524</u>	<u>98,524</u>	<u>98,524</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>714,320</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES					
Miscellaneous	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
Functional Total	<u>(131,464)</u>	<u>(6,657,679)</u>	<u>(6,734,279)</u>	<u>(6,133,779)</u>	<u>(5,633,779)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>51,863,317</u>	<u>46,399,414</u>	<u>48,966,834</u>	<u>52,443,881</u>	<u>55,585,395</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	35,701	36,147	34,501	34,301	34,301
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	15,850	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	9,688	11,526	11,526	11,526	11,526
Functional Total	71,850	74,574	72,612	72,412	72,412
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	110,289	130,451	128,771	130,082	137,049
Parks, Recreation and Historic Preservation, Office of	106,956	115,838	113,529	110,644	110,644
Functional Total	221,688	251,307	247,135	245,545	252,378
TRANSPORTATION					
Motor Vehicles, Department of	10,562	12,586	12,830	12,830	12,830
Transportation, Department of	336,545	333,262	332,038	332,038	332,038
Functional Total	347,107	345,848	344,868	344,868	344,868
HEALTH					
Aging, Office for the	2,046	2,005	1,962	1,962	1,962
Health, Department of	923,309	472,066	488,611	486,129	493,191
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	207,199	255,142	277,350	276,396	283,439
<i>Public Health</i>	642,140	138,835	135,730	135,730	135,730
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
Functional Total	943,338	492,750	508,645	506,163	513,225
SOCIAL WELFARE					
Children and Family Services, Office of	128,910	360,728	359,399	359,399	364,050
<i>OCFS</i>	128,910	360,728	359,399	359,399	364,050
Housing and Community Renewal, Division of	7,734	4,905	4,741	4,741	4,741
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
Labor, Department of	276	349	351	351	351
National and Community Service	306	349	349	349	352
Temporary and Disability Assistance, Office of	143,028	131,225	133,945	133,945	133,945
<i>All Other</i>	143,028	131,225	133,945	133,945	133,945
Functional Total	291,302	508,146	509,015	509,015	513,669
MENTAL HYGIENE					
Addiction Services and Supports, Office of	82,776	84,415	84,719	85,396	86,568
<i>OASAS</i>	30,822	36,865	36,494	36,801	37,306
<i>OASAS - Other</i>	51,954	47,550	48,225	48,595	49,262
Justice Center	41,407	42,120	41,394	41,935	42,505
Mental Health, Office of	1,391,643	1,387,474	1,422,533	1,450,316	1,477,192
<i>OMH</i>	354,676	359,336	368,509	377,328	383,086
<i>OMH - Other</i>	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,356,977	1,339,333	1,353,519	1,370,440	1,387,649
<i>OPWDD</i>	1	0	0	0	0
<i>OPWDD - Other</i>	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,872,803	2,853,342	2,902,165	2,948,087	2,993,914
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,871,098	2,701,428	2,705,692	2,745,436	2,742,436
<i>DOCCS</i>	2,871,098	2,701,428	2,705,692	2,745,436	2,742,436

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Criminal Justice Services, Division of	33,903	35,239	34,969	35,657	36,357
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	20,066	21,137	21,707	22,142	22,586
State Police, Division of	696,253	728,698	731,328	749,606	749,606
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Functional Total	<u>3,662,740</u>	<u>3,527,770</u>	<u>3,535,272</u>	<u>3,595,158</u>	<u>3,593,323</u>
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	500	500	500	500
State University of New York	3,324	784	784	784	784
Functional Total	<u>3,324</u>	<u>1,284</u>	<u>1,284</u>	<u>1,284</u>	<u>1,284</u>
EDUCATION					
Arts, Council on the	4,251	4,416	4,320	4,320	4,320
Education, Department of	55,707	59,210	58,238	58,238	58,238
<i>All Other</i>	55,707	59,210	58,238	58,238	58,238
Functional Total	<u>59,958</u>	<u>63,626</u>	<u>62,558</u>	<u>62,558</u>	<u>62,558</u>
GENERAL GOVERNMENT					
Budget, Division of the	23,927	23,749	22,895	22,895	22,895
Civil Service, Department of	15,104	14,216	13,842	13,850	13,850
Deferred Compensation Board	36	58	57	57	57
Elections, State Board of	8,639	10,583	10,054	9,428	9,428
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	4,522	6,527	6,362	6,362	6,362
General Services, Office of	101,989	81,683	83,279	84,831	86,331
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	30,566	32,325	33,072	33,833	34,610
Prevention of Domestic Violence, Office for	1,696	1,867	1,778	1,778	1,778
Public Employment Relations Board	3,380	3,719	3,589	3,589	3,589
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	10,366	9,452	9,090	9,090	9,090
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	254,547	279,016	269,423	269,423	269,423
Veterans' Services, Division of	6,207	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	640	731	753	768	768
Functional Total	<u>1,022,163</u>	<u>1,039,888</u>	<u>1,036,849</u>	<u>1,049,976</u>	<u>1,052,320</u>
ELECTED OFFICIALS					
Audit and Control, Department of	137,515	143,685	141,263	141,263	141,263
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	2,022,751	2,117,400	2,062,600	2,062,600	2,062,600
Law, Department of	110,067	115,129	111,883	111,883	111,883
Legislature	227,546	248,106	254,999	255,052	255,052
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	<u>2,511,636</u>	<u>2,638,986</u>	<u>2,584,937</u>	<u>2,584,990</u>	<u>2,584,990</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	45,809	(142,051)	(266,638)	(366,638)	(166,638)
Functional Total	<u>45,809</u>	<u>(142,051)</u>	<u>(266,638)</u>	<u>(366,638)</u>	<u>(166,638)</u>
TOTAL STATE OPERATIONS SPENDING	<u>12,053,720</u>	<u>11,655,470</u>	<u>11,538,702</u>	<u>11,553,418</u>	<u>11,818,303</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	28,153	30,816	30,039	30,039	30,039
Alcoholic Beverage Control, Division of	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	12,934	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	5,500	5,338	5,338	5,338	5,338
Functional Total	54,675	57,296	56,519	56,519	56,519
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	94,779	104,969	103,169	104,480	111,447
Parks, Recreation and Historic Preservation, Office of	101,312	108,964	106,655	103,770	103,770
Functional Total	200,160	218,461	214,294	212,720	219,587
TRANSPORTATION					
Motor Vehicles, Department of	8,478	8,868	9,046	9,046	9,046
Transportation, Department of	158,175	165,171	159,048	159,048	159,048
Functional Total	166,653	174,039	168,094	168,094	168,094
HEALTH					
Aging, Office for the	1,917	1,899	1,856	1,856	1,856
Health, Department of	139,954	153,696	155,638	158,742	161,187
<i>Essential Plan</i>	3,326	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	34,960	41,935	47,053	50,144	52,666
<i>Public Health</i>	101,668	107,069	103,964	103,964	103,964
Medicaid Inspector General, Office of the	15,599	16,231	15,624	15,624	15,624
Functional Total	157,470	171,826	173,118	176,222	178,667
SOCIAL WELFARE					
Children and Family Services, Office of	83,069	262,335	259,291	259,291	261,926
<i>OCFS</i>	83,069	262,335	259,291	259,291	261,926
Housing and Community Renewal, Division of	3,228	4,492	4,328	4,328	4,328
Human Rights, Division of	9,543	10,121	9,752	9,752	9,752
Labor, Department of	84	94	91	91	91
National and Community Service	305	340	340	340	343
Temporary and Disability Assistance, Office of	64,862	76,580	78,130	78,130	78,130
<i>All Other</i>	64,862	76,580	78,130	78,130	78,130
Functional Total	161,091	353,962	351,932	351,932	354,570
MENTAL HYGIENE					
Addiction Services and Supports, Office of	60,528	63,331	62,936	63,542	64,146
<i>OASAS</i>	23,279	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,249	35,334	35,589	35,914	36,243
Justice Center	33,333	33,228	32,285	32,598	32,932
Mental Health, Office of	1,117,318	1,128,345	1,141,473	1,156,038	1,169,283
<i>OMH</i>	286,101	321,384	329,413	336,752	340,610
<i>OMH - Other</i>	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD - Other</i>	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,372,508	2,378,227	2,399,541	2,427,034	2,453,346
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,380,498	2,207,737	2,218,040	2,251,459	2,251,459
<i>DOCCS</i>	2,380,498	2,207,737	2,218,040	2,251,459	2,251,459
Criminal Justice Services, Division of	27,666	28,591	28,150	28,664	29,227
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,158	14,539	14,615	14,908	15,207
State Police, Division of	661,291	699,888	695,508	713,074	713,074
Statewide Financial System	11,650	12,537	12,333	12,568	12,568
Functional Total	3,103,118	2,971,701	2,976,947	3,029,084	3,029,967

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	500	500	500	500
State University of New York	52	42	42	42	42
Functional Total	<u>52</u>	<u>542</u>	<u>542</u>	<u>542</u>	<u>542</u>
EDUCATION					
Arts, Council on the	2,681	2,594	2,498	2,498	2,498
Education, Department of	32,211	30,306	29,334	29,334	29,334
<i>All Other</i>	32,211	30,306	29,334	29,334	29,334
Functional Total	<u>34,892</u>	<u>32,900</u>	<u>31,832</u>	<u>31,832</u>	<u>31,832</u>
GENERAL GOVERNMENT					
Budget, Division of the	22,089	23,070	22,216	22,216	22,216
Civil Service, Department of	15,103	14,216	13,842	13,850	13,850
Deferred Compensation Board	35	33	32	32	32
Elections, State Board of	6,039	6,571	6,478	6,316	6,316
Employee Relations, Office of	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	3,254	4,447	4,282	4,282	4,282
General Services, Office of	36,171	39,600	38,809	39,585	40,377
Information Technology Services, Office of	296,582	301,996	308,111	314,349	314,349
Inspector General, Office of the	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,486	4,867	4,827	4,924	4,924
State, Department of	10,012	9,216	8,854	8,854	8,854
Tax Appeals, Division of	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	214,530	236,276	227,987	227,987	227,987
Veterans' Services, Division of	5,546	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	630	654	646	659	659
Functional Total	<u>641,513</u>	<u>674,202</u>	<u>668,558</u>	<u>675,665</u>	<u>676,518</u>
ELECTED OFFICIALS					
Audit and Control, Department of	110,531	114,603	112,181	112,181	112,181
Executive Chamber	10,876	11,567	11,113	11,113	11,113
Judiciary	1,646,896	1,737,200	1,682,400	1,682,400	1,682,400
Law, Department of	101,472	103,347	100,101	100,101	100,101
Legislature	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	431	543	523	523	523
Functional Total	<u>2,047,571</u>	<u>2,159,843</u>	<u>2,104,684</u>	<u>2,104,737</u>	<u>2,104,737</u>
ALL OTHER CATEGORIES					
Miscellaneous	73	(134,944)	(149,944)	(174,944)	(74,944)
Functional Total	<u>73</u>	<u>(134,944)</u>	<u>(149,944)</u>	<u>(174,944)</u>	<u>(74,944)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>8,939,776</u>	<u>9,058,055</u>	<u>8,996,117</u>	<u>9,059,437</u>	<u>9,199,435</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	7,548	5,331	4,462	4,262	4,262
Alcoholic Beverage Control, Division of	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	2,916	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	4,188	6,188	6,188	6,188	6,188
Functional Total	17,175	17,278	16,093	15,893	15,893
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	374	490	365	349	315
Environmental Conservation, Department of	15,510	25,482	25,602	25,602	25,602
Parks, Recreation and Historic Preservation, Office of	5,644	6,874	6,874	6,874	6,874
Functional Total	21,528	32,846	32,841	32,825	32,791
TRANSPORTATION					
Motor Vehicles, Department of	2,084	3,718	3,784	3,784	3,784
Transportation, Department of	178,370	168,091	172,990	172,990	172,990
Functional Total	180,454	171,809	176,774	176,774	176,774
HEALTH					
Aging, Office for the	129	106	106	106	106
Health, Department of	783,355	318,370	332,973	327,387	332,004
<i>Essential Plan</i>	70,644	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	172,239	213,207	230,297	226,252	230,773
<i>Public Health</i>	540,472	31,766	31,766	31,766	31,766
Medicaid Inspector General, Office of the	2,384	2,448	2,448	2,448	2,448
Functional Total	785,868	320,924	335,527	329,941	334,558
SOCIAL WELFARE					
Children and Family Services, Office of	45,841	98,393	100,108	100,108	102,124
<i>OCFS</i>	45,841	98,393	100,108	100,108	102,124
Housing and Community Renewal, Division of	4,506	413	413	413	413
Human Rights, Division of	1,505	469	478	478	478
Labor, Department of	192	255	260	260	260
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	78,166	54,645	55,815	55,815	55,815
<i>All Other</i>	78,166	54,645	55,815	55,815	55,815
Functional Total	130,211	154,184	157,083	157,083	159,099
MENTAL HYGIENE					
Addiction Services and Supports, Office of	22,248	21,084	21,783	21,854	22,422
<i>OASAS</i>	7,543	8,868	9,147	9,173	9,403
<i>OASAS - Other</i>	14,705	12,216	12,636	12,681	13,019
Justice Center	8,074	8,892	9,109	9,337	9,573
Mental Health, Office of	274,325	259,129	281,060	294,278	307,909
<i>OMH</i>	68,575	37,952	39,096	40,576	42,476
<i>OMH - Other</i>	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	195,648	186,010	190,672	195,584	200,664
<i>OPWDD</i>	1	0	0	0	0
<i>OPWDD - Other</i>	195,647	186,010	190,672	195,584	200,664
Functional Total	500,295	475,115	502,624	521,053	540,568
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	497	242	247	247	247
Corrections and Community Supervision, Department of	490,600	493,691	487,652	493,977	490,977
<i>DOCCS</i>	490,600	493,691	487,652	493,977	490,977

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Criminal Justice Services, Division of	6,237	6,648	6,819	6,993	7,130
Judicial Conduct, Commission on	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	5,908	6,598	7,092	7,234	7,379
State Police, Division of	34,962	28,810	35,820	36,532	36,532
Statewide Financial System	19,867	18,624	18,996	19,376	19,376
Functional Total	<u>559,622</u>	<u>556,069</u>	<u>558,325</u>	<u>566,074</u>	<u>563,356</u>
HIGHER EDUCATION					
State University of New York	3,272	742	742	742	742
Functional Total	<u>3,272</u>	<u>742</u>	<u>742</u>	<u>742</u>	<u>742</u>
EDUCATION					
Arts, Council on the	1,570	1,822	1,822	1,822	1,822
Education, Department of	23,496	28,904	28,904	28,904	28,904
<i>All Other</i>	23,496	28,904	28,904	28,904	28,904
Functional Total	<u>25,066</u>	<u>30,726</u>	<u>30,726</u>	<u>30,726</u>	<u>30,726</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,838	679	679	679	679
Civil Service, Department of	1	0	0	0	0
Deferred Compensation Board	1	25	25	25	25
Elections, State Board of	2,600	4,012	3,576	3,112	3,112
Employee Relations, Office of	91	196	196	197	197
Gaming Commission, New York State	1,268	2,080	2,080	2,080	2,080
General Services, Office of	65,818	42,083	44,470	45,246	45,954
Information Technology Services, Office of	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	958	856	1,204	1,216	1,216
Labor Management Committees	22,146	26,627	27,585	28,346	29,123
Prevention of Domestic Violence, Office for	146	207	211	211	211
Public Employment Relations Board	166	251	251	251	251
Public Ethics, Joint Commission on	731	968	1,183	1,195	1,195
State, Department of	354	236	236	236	236
Tax Appeals, Division of	231	214	170	170	170
Taxation and Finance, Department of	40,017	42,740	41,436	41,436	41,436
Veterans' Services, Division of	661	304	310	310	316
Welfare Inspector General, Office of	10	77	107	109	109
Functional Total	<u>380,650</u>	<u>365,686</u>	<u>368,291</u>	<u>374,311</u>	<u>375,802</u>
ELECTED OFFICIALS					
Audit and Control, Department of	26,984	29,082	29,082	29,082	29,082
Executive Chamber	2,363	2,465	2,465	2,465	2,465
Judiciary	375,855	380,200	380,200	380,200	380,200
Law, Department of	8,595	11,782	11,782	11,782	11,782
Legislature	50,181	55,523	56,633	56,633	56,633
Lieutenant Governor, Office of the	87	91	91	91	91
Functional Total	<u>464,065</u>	<u>479,143</u>	<u>480,253</u>	<u>480,253</u>	<u>480,253</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	45,736	(7,107)	(116,694)	(191,694)	(91,694)
Functional Total	<u>45,736</u>	<u>(7,107)</u>	<u>(116,694)</u>	<u>(191,694)</u>	<u>(91,694)</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u>3,113,944</u>	<u>2,597,415</u>	<u>2,542,585</u>	<u>2,493,981</u>	<u>2,618,868</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
GENERAL GOVERNMENT					
Labor Management Committees	6,386	5,000	5,000	5,000	5,000
Functional Total	<u>6,386</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
ELECTED OFFICIALS					
Judiciary	789,456	746,184	847,898	847,897	813,993
Functional Total	<u>789,456</u>	<u>746,184</u>	<u>847,898</u>	<u>847,897</u>	<u>813,993</u>
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Miscellaneous	2,775	4,420	4,420	4,420	4,420
Functional Total	<u>6,657,862</u>	<u>6,497,644</u>	<u>8,160,575</u>	<u>8,705,690</u>	<u>8,870,344</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,453,704</u></u>	<u><u>7,248,828</u></u>	<u><u>9,013,473</u></u>	<u><u>9,558,587</u></u>	<u><u>9,689,337</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	76,530	64,944	82,858	82,693	82,693
Local Assistance	35,047	20,821	24,247	24,247	24,247
State Operations	40,172	41,963	56,350	56,150	56,150
Personal Service	30,677	34,150	33,539	33,539	33,539
Non-Personal Service/Indirect Costs	9,495	7,813	22,811	22,611	22,611
General State Charges	1,311	2,160	2,261	2,296	2,296
<i>Alcoholic Beverage Control, Division of</i>	10,611	11,560	11,244	11,244	11,244
State Operations	10,611	11,560	11,244	11,244	11,244
Personal Service	8,088	8,316	8,316	8,316	8,316
Non-Personal Service/Indirect Costs	2,523	3,244	2,928	2,928	2,928
<i>Economic Development, Department of</i>	53,059	63,293	68,143	68,143	68,143
Local Assistance	36,019	45,974	50,824	50,824	50,824
State Operations	17,040	17,291	17,291	17,291	17,291
Personal Service	12,934	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	4,106	4,362	4,362	4,362	4,362
General State Charges	0	28	28	28	28
<i>Empire State Development Corporation</i>	105,126	61,054	61,054	61,054	61,054
Local Assistance	105,126	61,054	61,054	61,054	61,054
<i>Financial Services, Department of</i>	371,795	391,039	382,991	382,991	382,991
Local Assistance	55,146	61,581	59,896	59,896	59,896
State Operations	218,385	214,981	208,844	208,844	208,844
Personal Service	157,002	159,736	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	61,383	55,245	54,951	54,951	54,951
General State Charges	98,264	114,477	114,251	114,251	114,251
<i>Olympic Regional Development Authority</i>	11,956	11,676	11,676	11,676	11,676
Local Assistance	2,268	0	0	0	0
State Operations	9,688	11,676	11,676	11,676	11,676
Personal Service	5,500	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	4,188	6,338	6,338	6,338	6,338
<i>Public Service Department</i>	79,617	84,783	82,239	81,099	81,099
Local Assistance	1,341	160	60	60	60
State Operations	51,122	53,426	51,406	49,740	49,740
Personal Service	42,684	46,025	44,550	44,577	44,577
Non-Personal Service/Indirect Costs	8,438	7,401	6,856	5,163	5,163
General State Charges	27,154	31,197	30,773	31,299	31,299
Functional Total	708,694	688,349	700,205	698,900	698,900
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,443	5,018	4,835	4,819	4,685
State Operations	4,443	5,018	4,835	4,819	4,685
Personal Service	4,069	4,528	4,470	4,470	4,370
Non-Personal Service/Indirect Costs	374	490	365	349	315
<i>Environmental Conservation, Department of</i>	266,117	291,887	282,963	277,628	268,582
Local Assistance	2,202	1,478	1,247	978	978
State Operations	216,331	240,253	234,243	228,836	228,421
Personal Service	179,044	200,670	193,895	188,440	188,440
Non-Personal Service/Indirect Costs	37,287	39,583	40,348	40,396	39,981
General State Charges	47,584	50,156	47,473	47,814	39,183
<i>Parks, Recreation and Historic Preservation, Office of</i>	175,540	180,451	177,015	174,130	174,130
Local Assistance	6,028	3,750	3,750	3,750	3,750
State Operations	164,815	174,694	171,324	168,439	168,439
Personal Service	126,957	140,668	137,298	134,413	134,413
Non-Personal Service/Indirect Costs	37,858	34,026	34,026	34,026	34,026

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
General State Charges	4,697	2,007	1,941	1,941	1,941
Functional Total	<u>446,100</u>	<u>477,356</u>	<u>464,813</u>	<u>456,577</u>	<u>447,397</u>
TRANSPORTATION					
Motor Vehicles, Department of	<u>82,879</u>	<u>86,529</u>	<u>86,862</u>	<u>86,862</u>	<u>86,862</u>
State Operations	60,446	60,566	60,247	60,247	60,247
Personal Service	44,731	44,207	44,497	44,497	44,497
Non-Personal Service/Indirect Costs	15,715	16,359	15,750	15,750	15,750
General State Charges	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	<u>3,835,340</u>	<u>4,279,228</u>	<u>4,249,693</u>	<u>4,437,328</u>	<u>4,631,836</u>
Local Assistance	3,488,416	3,934,548	3,906,299	4,093,934	4,288,442
State Operations	345,088	342,268	340,937	340,937	340,937
Personal Service	161,055	168,622	162,396	162,396	162,396
Non-Personal Service/Indirect Costs	184,033	173,646	178,541	178,541	178,541
General State Charges	1,836	2,412	2,457	2,457	2,457
Functional Total	<u>3,918,219</u>	<u>4,365,757</u>	<u>4,336,555</u>	<u>4,524,190</u>	<u>4,718,698</u>
HEALTH					
Aging, Office for the	<u>137,607</u>	<u>143,311</u>	<u>148,323</u>	<u>153,505</u>	<u>158,817</u>
Local Assistance	135,561	141,306	146,361	151,543	156,855
State Operations	2,046	2,005	1,962	1,962	1,962
Personal Service	1,917	1,899	1,856	1,856	1,856
Non-Personal Service/Indirect Costs	129	106	106	106	106
Health, Department of	<u>24,670,635</u>	<u>24,044,294</u>	<u>26,459,046</u>	<u>27,526,414</u>	<u>28,530,474</u>
Medical Assistance	<u>21,224,760</u>	<u>20,991,826</u>	<u>23,429,774</u>	<u>24,496,705</u>	<u>25,494,576</u>
Local Assistance	21,224,760	20,991,826	23,429,774	24,496,705	25,494,576
Essential Plan	<u>73,970</u>	<u>78,089</u>	<u>75,531</u>	<u>74,003</u>	<u>74,022</u>
State Operations	73,970	78,089	75,531	74,003	74,022
Personal Service	3,326	4,692	4,621	4,634	4,557
Non-Personal Service/Indirect Costs	70,644	73,397	70,910	69,369	69,465
Medicaid Administration	<u>738,152</u>	<u>723,185</u>	<u>731,188</u>	<u>716,550</u>	<u>710,411</u>
Local Assistance	530,424	466,502	452,297	438,613	425,431
State Operations	207,526	256,102	278,310	277,356	284,399
Personal Service	35,276	42,845	47,963	51,054	53,576
Non-Personal Service/Indirect Costs	172,250	213,257	230,347	226,302	230,823
General State Charges	202	581	581	581	581
Public Health	<u>2,633,753</u>	<u>2,251,194</u>	<u>2,222,553</u>	<u>2,239,156</u>	<u>2,251,465</u>
Local Assistance	1,669,065	1,759,491	1,734,940	1,750,933	1,762,858
State Operations	928,668	451,360	445,947	446,496	446,781
Personal Service	240,016	247,540	244,201	244,287	244,429
Non-Personal Service/Indirect Costs	688,652	203,820	201,746	202,209	202,352
General State Charges	36,020	40,343	41,666	41,727	41,826
Medicaid Inspector General, Office of the	<u>17,983</u>	<u>18,679</u>	<u>18,072</u>	<u>18,072</u>	<u>18,072</u>
State Operations	17,983	18,679	18,072	18,072	18,072
Personal Service	15,599	16,231	15,624	15,624	15,624
Non-Personal Service/Indirect Costs	2,384	2,448	2,448	2,448	2,448
Functional Total	<u>24,826,225</u>	<u>24,206,284</u>	<u>26,625,441</u>	<u>27,697,991</u>	<u>28,707,363</u>
SOCIAL WELFARE					
Children and Family Services, Office of	<u>1,342,973</u>	<u>2,215,602</u>	<u>1,931,301</u>	<u>1,931,884</u>	<u>1,936,883</u>
OCFS	<u>1,305,758</u>	<u>2,144,744</u>	<u>1,856,279</u>	<u>1,856,862</u>	<u>1,861,861</u>
Local Assistance	1,156,746	1,762,739	1,475,312	1,475,895	1,475,895

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	146,381	379,755	378,701	378,701	383,700
Personal Service	86,540	265,818	262,740	262,740	265,408
Non-Personal Service/Indirect Costs	59,841	113,937	115,961	115,961	118,292
General State Charges	2,631	2,250	2,266	2,266	2,266
OCFS - Other	37,215	70,858	75,022	75,022	75,022
Local Assistance	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	101,732	24,676	69,308	69,308	69,308
Local Assistance	29,887	3,835	47,789	47,789	47,789
State Operations	52,701	18,320	17,675	17,675	17,675
Personal Service	39,887	17,705	17,060	17,060	17,060
Non-Personal Service/Indirect Costs	12,814	615	615	615	615
General State Charges	19,144	2,521	3,844	3,844	3,844
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
State Operations	11,048	10,590	10,230	10,230	10,230
Personal Service	9,543	10,121	9,752	9,752	9,752
Non-Personal Service/Indirect Costs	1,505	469	478	478	478
Labor, Department of	93,925	72,143	76,435	76,435	76,435
Local Assistance	25,517	2,400	5,150	5,150	5,150
State Operations	47,559	49,256	48,280	48,280	48,280
Personal Service	32,931	34,864	33,620	33,620	33,620
Non-Personal Service/Indirect Costs	14,628	14,392	14,660	14,660	14,660
General State Charges	20,849	20,487	23,005	23,005	23,005
National and Community Service	655	781	781	781	784
Local Assistance	349	432	432	432	432
State Operations	306	349	349	349	352
Personal Service	305	340	340	340	343
Non-Personal Service/Indirect Costs	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,305,567	1,547,480	1,480,581	1,593,381	1,630,181
Welfare Assistance	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
Local Assistance	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	240,242	225,768	263,269	376,069	412,869
Local Assistance	96,214	94,215	128,996	241,796	278,596
State Operations	143,963	131,425	134,145	134,145	134,145
Personal Service	64,862	76,580	78,130	78,130	78,130
Non-Personal Service/Indirect Costs	79,101	54,845	56,015	56,015	56,015
General State Charges	65	128	128	128	128
Functional Total	2,855,900	3,871,272	3,568,636	3,682,019	3,723,821
MENTAL HYGIENE					
Addiction Services and Supports, Office of	452,774	458,367	477,452	498,236	517,414
OASAS	379,495	389,492	407,902	428,316	446,827
Local Assistance	342,230	346,156	364,922	384,848	402,658
State Operations	37,265	43,336	42,980	43,468	44,169
Personal Service	23,279	27,997	27,347	27,628	27,903
Non-Personal Service/Indirect Costs	13,986	15,339	15,633	15,840	16,266
OASAS - Other	73,279	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325
State Operations	51,954	47,550	48,225	48,595	49,262
Personal Service	37,249	35,334	35,589	35,914	36,243
Non-Personal Service/Indirect Costs	14,705	12,216	12,636	12,681	13,019
Justice Center	43,601	45,146	44,419	45,004	45,620
Local Assistance	649	649	649	649	649
State Operations	42,363	43,589	42,875	43,430	44,014
Personal Service	34,257	34,650	33,720	34,046	34,394

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Non-Personal Service/Indirect Costs	8,106	8,939	9,155	9,384	9,620
General State Charges	589	908	895	925	957
<i>Mental Health, Office of</i>	<u>2,715,731</u>	<u>2,748,639</u>	<u>2,957,846</u>	<u>3,053,149</u>	<u>3,155,725</u>
OMH	<u>1,390,257</u>	<u>1,531,442</u>	<u>1,589,828</u>	<u>1,657,866</u>	<u>1,734,297</u>
Local Assistance	1,032,812	1,166,661	1,215,874	1,275,093	1,345,766
State Operations	357,445	364,781	373,954	382,773	388,531
Personal Service	286,101	321,487	329,516	336,855	340,713
Non-Personal Service/Indirect Costs	71,344	43,294	44,438	45,918	47,818
OMH - Other	<u>1,325,474</u>	<u>1,217,197</u>	<u>1,368,018</u>	<u>1,395,283</u>	<u>1,421,428</u>
Local Assistance	288,507	189,059	313,994	322,295	327,322
State Operations	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
Personal Service	831,217	806,961	812,060	819,286	828,673
Non-Personal Service/Indirect Costs	205,750	221,177	241,964	253,702	265,433
<i>Mental Hygiene, Department of</i>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(22,594)</u>
Debt Service	0	0	0	0	(22,594)
<i>People with Developmental Disabilities, Office for</i>	<u>3,098,722</u>	<u>1,838,017</u>	<u>2,598,711</u>	<u>3,165,763</u>	<u>3,726,892</u>
OPWDD	<u>408,356</u>	<u>353,046</u>	<u>358,527</u>	<u>369,389</u>	<u>403,611</u>
Local Assistance	408,279	352,843	358,324	369,186	403,408
State Operations	77	203	203	203	203
Non-Personal Service/Indirect Costs	77	203	203	203	203
OPWDD - Other	<u>2,690,366</u>	<u>1,484,971</u>	<u>2,240,184</u>	<u>2,796,374</u>	<u>3,323,281</u>
Local Assistance	1,333,390	145,638	886,665	1,425,934	1,935,632
State Operations	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service/Indirect Costs	195,647	186,010	190,672	195,584	200,664
Functional Total	<u>6,310,828</u>	<u>5,090,169</u>	<u>6,078,428</u>	<u>6,762,152</u>	<u>7,423,057</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
<i>Correction, Commission of</i>	<u>3,149</u>	<u>2,773</u>	<u>2,735</u>	<u>2,735</u>	<u>2,735</u>
State Operations	3,149	2,773	2,735	2,735	2,735
Personal Service	2,652	2,531	2,488	2,488	2,488
Non-Personal Service/Indirect Costs	497	242	247	247	247
<i>Corrections and Community Supervision, Department of</i>	<u>2,878,884</u>	<u>2,711,216</u>	<u>2,713,480</u>	<u>2,753,249</u>	<u>2,750,249</u>
DOCCS	<u>2,878,884</u>	<u>2,709,216</u>	<u>2,713,480</u>	<u>2,753,249</u>	<u>2,750,249</u>
Local Assistance	6,336	4,836	4,836	4,836	4,836
State Operations	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
Personal Service	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
Non-Personal Service/Indirect Costs	491,689	496,318	490,279	496,625	493,625
General State Charges	141	106	106	106	106
DOCCS - Other	<u>0</u>	<u>2,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
Local Assistance	0	2,000	0	0	0
<i>Criminal Justice Services, Division of</i>	<u>210,316</u>	<u>240,509</u>	<u>240,330</u>	<u>201,075</u>	<u>201,841</u>
Local Assistance	174,934	202,171	202,171	162,171	162,171
State Operations	35,382	38,338	38,159	38,904	39,670
Personal Service	27,813	28,994	28,550	29,064	29,635
Non-Personal Service/Indirect Costs	7,569	9,344	9,609	9,840	10,035
<i>Homeland Security and Emergency Services, Division of</i>	<u>75,316</u>	<u>98,148</u>	<u>144,342</u>	<u>147,268</u>	<u>148,216</u>
Local Assistance	33,693	57,142	100,839	102,925	103,013
State Operations	40,957	40,171	42,598	43,438	44,298
Personal Service	28,927	30,314	32,215	32,774	33,433
Non-Personal Service/Indirect Costs	12,030	9,857	10,383	10,664	10,865
General State Charges	666	835	905	905	905
<i>Indigent Legal Services, Office of</i>	<u>90,265</u>	<u>166,463</u>	<u>226,631</u>	<u>276,820</u>	<u>290,763</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	85,503	160,000	220,000	270,000	283,762
State Operations	3,117	4,297	4,380	4,468	4,543
Personal Service	2,620	3,455	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	497	842	856	873	876
General State Charges	1,645	2,166	2,251	2,352	2,458
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
State Operations	5,748	6,266	6,444	6,550	6,550
Personal Service	4,203	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30
State Operations	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
State Operations	6	38	38	38	38
Non-Personal Service/Indirect Costs	6	38	38	38	38
Military and Naval Affairs, Division of	26,112	25,698	26,420	26,878	27,415
Local Assistance	885	820	886	904	923
State Operations	25,227	24,869	25,524	25,964	26,482
Personal Service	14,158	14,700	14,776	15,073	15,376
Non-Personal Service/Indirect Costs	11,069	10,169	10,748	10,891	11,106
General State Charges	0	9	10	10	10
State Police, Division of	800,259	839,521	837,821	857,473	857,473
Local Assistance	15	0	0	0	0
State Operations	773,899	810,568	808,498	827,950	827,950
Personal Service	709,801	750,020	745,878	764,494	764,494
Non-Personal Service/Indirect Costs	64,098	60,548	62,620	63,456	63,456
General State Charges	26,345	28,953	29,323	29,523	29,523
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
State Operations	31,517	31,161	31,329	31,944	31,944
Personal Service	11,650	12,537	12,333	12,568	12,568
Non-Personal Service/Indirect Costs	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	30,913	33,462	34,111	34,111	34,111
Local Assistance	25,496	27,744	28,398	28,398	28,398
State Operations	3,757	4,068	4,030	4,030	4,030
Personal Service	3,119	3,323	3,272	3,272	3,272
Non-Personal Service/Indirect Costs	638	745	758	758	758
General State Charges	1,660	1,650	1,683	1,683	1,683
Functional Total	4,152,485	4,155,285	4,263,711	4,338,171	4,351,365
HIGHER EDUCATION					
City University of New York	1,035,366	2,248,635	1,628,867	1,661,260	1,691,017
Local Assistance	933,466	2,245,142	1,625,374	1,657,767	1,687,524
State Operations	101,696	3,493	3,493	3,493	3,493
Personal Service	48,676	3,493	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	53,020	0	0	0	0
General State Charges	204	0	0	0	0
Higher Education - Miscellaneous	609	441	441	441	441
State Operations	428	291	291	291	291
Personal Service	283	198	198	198	198
Non-Personal Service/Indirect Costs	145	93	93	93	93
General State Charges	181	150	150	150	150
Higher Education Services Corporation, New York State	986,171	861,253	885,392	889,797	890,035
Local Assistance	950,474	813,959	838,098	842,503	842,741
State Operations	29,082	38,274	38,274	38,274	38,274
Personal Service	10,530	12,916	12,916	12,916	12,916

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Non-Personal Service/Indirect Costs	18,552	25,358	25,358	25,358	25,358
General State Charges	6,615	9,020	9,020	9,020	9,020
State University of New York	7,244,705	7,441,311	7,627,469	7,851,921	8,006,198
Local Assistance	478,769	459,460	461,184	460,184	460,184
State Operations	6,324,240	6,489,799	6,576,927	6,775,049	6,936,110
Personal Service	4,079,009	4,402,843	4,452,574	4,601,904	4,719,036
Non-Personal Service/Indirect Costs	2,245,231	2,086,956	2,124,353	2,173,145	2,217,074
General State Charges	441,696	492,052	589,358	616,688	609,904
Functional Total	9,266,851	10,551,640	10,142,169	10,403,419	10,587,691
EDUCATION					
Arts, Council on the	48,264	45,349	45,253	45,253	45,253
Local Assistance	44,013	40,933	40,933	40,933	40,933
State Operations	4,251	4,416	4,320	4,320	4,320
Personal Service	2,681	2,594	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,570	1,822	1,822	1,822	1,822
Education, Department of	32,048,137	31,476,986	32,009,271	32,999,538	33,917,349
School Aid	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
Local Assistance	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
School Aid – Other	137,708	140,000	140,000	140,000	140,000
Local Assistance	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Local Assistance	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	1,166,025	1,140,193	1,148,640	1,168,311	1,167,987
Local Assistance	987,856	946,488	959,033	978,059	977,735
State Operations	140,930	152,247	149,026	148,983	148,983
Personal Service	90,098	94,081	90,726	90,726	90,726
Non-Personal Service/Indirect Costs	50,832	58,166	58,300	58,257	58,257
General State Charges	37,239	41,458	40,581	41,269	41,269
Functional Total	32,096,401	31,522,335	32,054,524	33,044,791	33,962,602
GENERAL GOVERNMENT					
Budget, Division of the	28,955	30,603	29,596	29,596	29,596
State Operations	27,999	28,886	27,939	27,939	27,939
Personal Service	23,615	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	4,384	3,375	3,372	3,372	3,372
General State Charges	956	1,717	1,657	1,657	1,657
Civil Service, Department of	15,490	15,148	15,190	15,211	15,211
Local Assistance	78	300	300	300	300
State Operations	15,294	14,604	14,649	14,666	14,666
Personal Service	15,287	14,592	14,207	14,215	14,215
Non-Personal Service/Indirect Costs	7	12	442	451	451
General State Charges	118	244	241	245	245
Deferred Compensation Board	733	896	900	904	904
State Operations	473	649	648	648	648
Personal Service	441	414	413	413	413
Non-Personal Service/Indirect Costs	32	235	235	235	235
General State Charges	260	247	252	256	256
Elections, State Board of	10,261	10,583	10,054	9,428	9,428
Local Assistance	1,352	0	0	0	0
State Operations	8,909	10,583	10,054	9,428	9,428

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	6,039	6,571	6,478	6,316	6,316
Non-Personal Service/Indirect Costs	2,870	4,012	3,576	3,112	3,112
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
State Operations	5,880	6,542	6,444	6,445	6,445
Personal Service	5,789	6,346	6,248	6,248	6,248
Non-Personal Service/Indirect Costs	91	196	196	197	197
Gaming Commission, New York State	165,136	269,620	195,383	197,783	197,433
Local Assistance	97,809	183,170	111,350	113,750	113,400
State Operations	50,264	67,985	66,458	66,458	66,458
Personal Service	34,014	40,178	38,687	38,687	38,687
Non-Personal Service/Indirect Costs	16,250	27,807	27,771	27,771	27,771
General State Charges	17,063	18,465	17,575	17,575	17,575
General Services, Office of	117,857	86,412	88,014	89,641	91,141
State Operations	112,884	85,829	87,439	89,056	90,556
Personal Service	41,123	40,497	39,680	40,456	41,248
Non-Personal Service/Indirect Costs	71,761	45,332	47,759	48,600	49,308
General State Charges	4,973	583	575	585	585
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
State Operations	540,195	546,127	552,683	563,841	563,841
Personal Service	296,582	301,996	308,111	314,349	314,349
Non-Personal Service/Indirect Costs	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
State Operations	6,381	7,826	8,061	8,210	8,210
Personal Service	5,423	6,970	6,857	6,994	6,994
Non-Personal Service/Indirect Costs	958	856	1,204	1,216	1,216
Labor Management Committees	37,107	37,631	38,378	39,139	39,916
State Operations	30,721	32,631	33,378	34,139	34,916
Personal Service	8,420	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	22,301	26,933	27,891	28,652	29,429
General State Charges	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,984	3,257	3,195	3,195	3,195
Local Assistance	1,288	1,385	1,412	1,412	1,412
State Operations	1,696	1,872	1,783	1,783	1,783
Personal Service	1,550	1,660	1,567	1,567	1,567
Non-Personal Service/Indirect Costs	146	212	216	216	216
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
State Operations	3,401	3,764	3,634	3,634	3,634
Personal Service	3,214	3,468	3,338	3,338	3,338
Non-Personal Service/Indirect Costs	187	296	296	296	296
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State Operations	5,217	5,835	6,010	6,119	6,119
Personal Service	4,486	4,867	4,827	4,924	4,924
Non-Personal Service/Indirect Costs	731	968	1,183	1,195	1,195
State, Department of	66,893	62,723	61,889	61,906	61,906
Local Assistance	14,789	4,317	4,317	4,317	4,317
State Operations	42,464	43,618	42,506	42,506	42,506
Personal Service	28,759	30,346	29,258	29,258	29,258
Non-Personal Service/Indirect Costs	13,705	13,272	13,248	13,248	13,248
General State Charges	9,640	14,788	15,066	15,083	15,083
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
State Operations	2,871	3,150	3,040	3,040	3,040
Personal Service	2,640	2,936	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	231	214	170	170	170
Taxation and Finance, Department of	352,151	382,754	370,527	369,087	369,687
Local Assistance	3,302	3,491	3,491	3,491	3,491
State Operations	316,020	356,696	345,439	344,278	344,810

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Personal Service	265,994	282,555	272,834	272,386	272,493
Non-Personal Service/Indirect Costs	50,026	74,141	72,605	71,892	72,317
General State Charges	32,829	22,567	21,597	21,318	21,386
Veterans' Services, Division of	14,442	14,322	14,257	14,257	14,324
Local Assistance	8,235	7,840	7,840	7,840	7,840
State Operations	6,207	6,482	6,417	6,417	6,484
Personal Service	5,546	6,178	6,107	6,107	6,168
Non-Personal Service/Indirect Costs	661	304	310	310	316
Welfare Inspector General, Office of	640	731	753	768	768
State Operations	640	731	753	768	768
Personal Service	630	654	646	659	659
Non-Personal Service/Indirect Costs	10	77	107	109	109
Workers' Compensation Board	193,375	205,865	196,439	196,439	196,439
State Operations	139,495	148,002	143,219	143,219	143,219
Personal Service	84,865	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	54,630	58,394	58,327	58,327	58,327
General State Charges	53,880	57,863	53,220	53,220	53,220
Functional Total	1,569,969	1,693,789	1,604,447	1,618,643	1,621,237
ELECTED OFFICIALS					
Audit and Control, Department of	185,742	198,222	195,513	195,513	195,513
Local Assistance	32,025	32,024	32,024	32,024	32,024
State Operations	152,149	164,001	161,292	161,292	161,292
Personal Service	121,837	130,597	127,888	127,888	127,888
Non-Personal Service/Indirect Costs	30,312	33,404	33,404	33,404	33,404
General State Charges	1,568	2,197	2,197	2,197	2,197
Executive Chamber	13,239	14,032	13,578	13,578	13,578
State Operations	13,239	14,032	13,578	13,578	13,578
Personal Service	10,876	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	2,363	2,465	2,465	2,465	2,465
Judiciary	3,123,384	3,179,284	3,238,998	3,239,297	3,205,393
Local Assistance	166,113	164,500	179,500	179,500	179,500
State Operations	2,136,878	2,234,400	2,177,400	2,177,700	2,177,700
Personal Service	1,713,277	1,806,000	1,749,000	1,749,300	1,749,300
Non-Personal Service/Indirect Costs	423,601	428,400	428,400	428,400	428,400
General State Charges	820,393	780,384	882,098	882,097	848,193
Law, Department of	201,835	212,235	206,834	206,834	206,834
State Operations	185,013	191,186	186,591	186,591	186,591
Personal Service	136,064	137,554	132,958	132,958	132,958
Non-Personal Service/Indirect Costs	48,949	53,632	53,633	53,633	53,633
General State Charges	16,822	21,049	20,243	20,243	20,243
Legislature	228,725	249,056	255,949	256,002	256,002
State Operations	228,725	249,056	255,949	256,002	256,002
Personal Service	177,365	192,583	198,366	198,419	198,419
Non-Personal Service/Indirect Costs	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	518	634	614	614	614
State Operations	518	634	614	614	614
Personal Service	431	543	523	523	523
Non-Personal Service/Indirect Costs	87	91	91	91	91
Functional Total	3,753,443	3,853,463	3,911,486	3,911,838	3,877,934
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
Local Assistance	662,054	671,166	704,192	704,192	704,192

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
State Operations	2	0	0	0	0
Non-Personal Service/Indirect Costs	2	0	0	0	0
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Local Assistance	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Local Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance	217	218	218	218	218
Functional Total	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	4,952,363	10,381,297	6,981,939	7,400,398	7,615,326
State Operations	36,271	43,657	43,072	43,072	43,072
Non-Personal Service/Indirect Costs	36,271	43,657	43,072	43,072	43,072
Debt Service	4,916,092	10,337,640	6,938,867	7,357,326	7,572,254
Miscellaneous	(67,928)	(8,688,497)	(8,793,295)	(9,092,787)	(9,092,787)
Local Assistance	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
State Operations	48,975	(952,805)	(1,022,805)	(1,272,805)	(1,272,805)
Personal Service	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Non-Personal Service/Indirect Costs	46,887	(420,210)	(475,210)	(625,210)	(625,210)
General State Charges	4,050	5,789	5,789	5,797	5,797
Functional Total	11,539,522	8,186,024	6,344,799	7,008,881	7,388,463
TOTAL STATE OPERATING FUNDS SPENDING	102,158,959	99,400,742	100,892,259	104,944,617	108,305,573

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	76,530	64,944	82,858	82,693	82,693
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	53,059	63,293	68,143	68,143	68,143
Empire State Development Corporation	105,126	61,054	61,054	61,054	61,054
Financial Services, Department of	371,795	391,039	382,991	382,991	382,991
Olympic Regional Development Authority	11,956	11,676	11,676	11,676	11,676
Public Service Department	79,617	84,783	82,239	81,099	81,099
Functional Total	708,694	688,349	700,205	698,900	698,900
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	266,117	291,887	282,963	277,628	268,582
Parks, Recreation and Historic Preservation, Office of	175,540	180,451	177,015	174,130	174,130
Functional Total	446,100	477,356	464,813	456,577	447,397
TRANSPORTATION					
Motor Vehicles, Department of	82,879	86,529	86,862	86,862	86,862
Transportation, Department of	3,835,340	4,279,228	4,249,693	4,437,328	4,631,836
Functional Total	3,918,219	4,365,757	4,336,555	4,524,190	4,718,698
HEALTH					
Aging, Office for the	137,607	143,311	148,323	153,505	158,817
Health, Department of	24,670,635	24,044,294	26,459,046	27,526,414	28,530,474
<i>Medical Assistance</i>	21,224,760	20,991,826	23,429,774	24,496,705	25,494,576
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	738,152	723,185	731,188	716,550	710,411
<i>Public Health</i>	2,633,753	2,251,194	2,222,553	2,239,156	2,251,465
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
Functional Total	24,826,225	24,206,284	26,625,441	27,697,991	28,707,363
SOCIAL WELFARE					
Children and Family Services, Office of	1,342,973	2,215,602	1,931,301	1,931,884	1,936,883
<i>OCFS</i>	1,305,758	2,144,744	1,856,279	1,856,862	1,861,861
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	101,732	24,676	69,308	69,308	69,308
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
Labor, Department of	93,925	72,143	76,435	76,435	76,435
National and Community Service	655	781	781	781	784
Temporary and Disability Assistance, Office of	1,305,567	1,547,480	1,480,581	1,593,381	1,630,181
<i>Welfare Assistance</i>	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	240,242	225,768	263,269	376,069	412,869
Functional Total	2,855,900	3,871,272	3,568,636	3,682,019	3,723,821
MENTAL HYGIENE					
Addiction Services and Supports, Office of	452,774	458,367	477,452	498,236	517,414
<i>OASAS</i>	379,495	389,492	407,902	428,316	446,827
<i>OASAS - Other</i>	73,279	68,875	69,550	69,920	70,587
Justice Center	43,601	45,146	44,419	45,004	45,620
Mental Health, Office of	2,715,731	2,748,639	2,957,846	3,053,149	3,155,725
<i>OMH</i>	1,390,257	1,531,442	1,589,828	1,657,866	1,734,297
<i>OMH - Other</i>	1,325,474	1,217,197	1,368,018	1,395,283	1,421,428
Mental Hygiene, Department of	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	3,098,722	1,838,017	2,598,711	3,165,763	3,726,892
<i>OPWDD</i>	408,356	353,046	358,527	369,389	403,611
<i>OPWDD - Other</i>	2,690,366	1,484,971	2,240,184	2,796,374	3,323,281
Functional Total	6,310,828	5,090,169	6,078,428	6,762,152	7,423,057
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,878,884	2,711,216	2,713,480	2,753,249	2,750,249
<i>DOCCS</i>	2,878,884	2,709,216	2,713,480	2,753,249	2,750,249
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	210,316	240,509	240,330	201,075	201,841

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Homeland Security and Emergency Services, Division of	75,316	98,148	144,342	147,268	148,216
Indigent Legal Services, Office of	90,265	166,463	226,631	276,820	290,763
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	26,112	25,698	26,420	26,878	27,415
State Police, Division of	800,259	839,521	837,821	857,473	857,473
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	30,913	33,462	34,111	34,111	34,111
Functional Total	4,152,485	4,155,285	4,263,711	4,338,171	4,351,365
HIGHER EDUCATION					
City University of New York	1,035,366	2,248,635	1,628,867	1,661,260	1,691,017
Higher Education - Miscellaneous	609	441	441	441	441
Higher Education Services Corporation, New York State	986,171	861,253	885,392	889,797	890,035
State University of New York	7,244,705	7,441,311	7,627,469	7,851,921	8,006,198
Functional Total	9,266,851	10,551,640	10,142,169	10,403,419	10,587,691
EDUCATION					
Arts, Council on the	48,264	45,349	45,253	45,253	45,253
Education, Department of	32,048,137	31,476,986	32,009,271	32,999,538	33,917,349
<i>School Aid</i>	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,166,025	1,140,193	1,148,640	1,168,311	1,167,987
Functional Total	32,096,401	31,522,335	32,054,524	33,044,791	33,962,602
GENERAL GOVERNMENT					
Budget, Division of the	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	15,490	15,148	15,190	15,211	15,211
Deferred Compensation Board	733	896	900	904	904
Elections, State Board of	10,261	10,583	10,054	9,428	9,428
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	165,136	269,620	195,383	197,783	197,433
General Services, Office of	117,857	86,412	88,014	89,641	91,141
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,984	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	66,893	62,723	61,889	61,906	61,906
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	352,151	382,754	370,527	369,087	369,687
Veterans' Services, Division of	14,442	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	640	731	753	768	768
Workers' Compensation Board	193,375	205,865	196,439	196,439	196,439
Functional Total	1,569,969	1,693,789	1,604,447	1,618,643	1,621,237
ELECTED OFFICIALS					
Audit and Control, Department of	185,742	198,222	195,513	195,513	195,513
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	3,123,384	3,179,284	3,238,998	3,239,297	3,205,393
Law, Department of	201,835	212,235	206,834	206,834	206,834
Legislature	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	3,753,443	3,853,463	3,911,486	3,911,838	3,877,934

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>714,322</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	4,952,363	10,381,297	6,981,939	7,400,398	7,615,326
Miscellaneous	(67,928)	(8,688,497)	(8,793,295)	(9,092,787)	(9,092,787)
Functional Total	<u>11,539,522</u>	<u>8,186,024</u>	<u>6,344,799</u>	<u>7,008,881</u>	<u>7,388,463</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>102,158,959</u>	<u>99,400,742</u>	<u>100,892,259</u>	<u>104,944,617</u>	<u>108,305,573</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	35,047	20,821	24,247	24,247	24,247
Economic Development, Department of	36,019	45,974	50,824	50,824	50,824
Empire State Development Corporation	105,126	61,054	61,054	61,054	61,054
Financial Services, Department of	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,268	0	0	0	0
Public Service Department	1,341	160	60	60	60
Functional Total	234,947	189,590	196,081	196,081	196,081
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,202	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	6,028	3,750	3,750	3,750	3,750
Functional Total	8,230	5,228	4,997	4,728	4,728
TRANSPORTATION					
Transportation, Department of	3,488,416	3,934,548	3,906,299	4,093,934	4,288,442
Functional Total	3,488,416	3,934,548	3,906,299	4,093,934	4,288,442
HEALTH					
Aging, Office for the	135,561	141,306	146,361	151,543	156,855
Health, Department of	23,424,249	23,217,819	25,617,011	26,686,251	27,682,865
<i>Medical Assistance</i>	21,224,760	20,991,826	23,429,774	24,496,705	25,494,576
<i>Medicaid Administration</i>	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,669,065	1,759,491	1,734,940	1,750,933	1,762,858
Functional Total	23,559,810	23,359,125	25,763,372	26,837,794	27,839,720
SOCIAL WELFARE					
Children and Family Services, Office of	1,193,961	1,833,597	1,550,334	1,550,917	1,550,917
<i>OCFS</i>	1,156,746	1,762,739	1,475,312	1,475,895	1,475,895
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	29,887	3,835	47,789	47,789	47,789
Labor, Department of	25,517	2,400	5,150	5,150	5,150
National and Community Service	349	432	432	432	432
Temporary and Disability Assistance, Office of	1,161,539	1,415,927	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	96,214	94,215	128,996	241,796	278,596
Functional Total	2,411,253	3,256,191	2,950,013	3,063,396	3,100,196
MENTAL HYGIENE					
Addiction Services and Supports, Office of	363,555	367,481	386,247	406,173	423,983
<i>OASAS</i>	342,230	346,156	364,922	384,848	402,658
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	649	649	649	649	649
Mental Health, Office of	1,321,319	1,355,720	1,529,868	1,597,388	1,673,088
<i>OMH</i>	1,032,812	1,166,661	1,215,874	1,275,093	1,345,766
<i>OMH - Other</i>	288,507	189,059	313,994	322,295	327,322
People with Developmental Disabilities, Office for	1,741,669	498,481	1,244,989	1,795,120	2,339,040
<i>OPWDD</i>	408,279	352,843	358,324	369,186	403,408
<i>OPWDD - Other</i>	1,333,390	145,638	886,665	1,425,934	1,935,632
Functional Total	3,427,192	2,222,331	3,161,753	3,799,330	4,436,760
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	174,934	202,171	202,171	162,171	162,171
Homeland Security and Emergency Services, Division of	33,693	57,142	100,839	102,925	103,013
Indigent Legal Services, Office of	85,503	160,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	820	886	904	923
State Police, Division of	15	0	0	0	0
Victim Services, Office of	25,496	27,744	28,398	28,398	28,398
Functional Total	326,862	454,713	557,130	569,234	583,103

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION					
City University of New York	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	950,474	813,959	838,098	842,503	842,741
State University of New York	478,769	459,460	461,184	460,184	460,184
Functional Total	<u>2,362,709</u>	<u>3,518,561</u>	<u>2,924,656</u>	<u>2,960,454</u>	<u>2,990,449</u>
EDUCATION					
Arts, Council on the	44,013	40,933	40,933	40,933	40,933
Education, Department of	<u>31,869,968</u>	<u>31,283,281</u>	<u>31,819,664</u>	<u>32,809,286</u>	<u>33,727,097</u>
<i>School Aid</i>	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	987,856	946,488	959,033	978,059	977,735
Functional Total	<u>31,913,981</u>	<u>31,324,214</u>	<u>31,860,597</u>	<u>32,850,219</u>	<u>33,768,030</u>
GENERAL GOVERNMENT					
Civil Service, Department of	78	300	300	300	300
Elections, State Board of	1,352	0	0	0	0
Gaming Commission, New York State	97,809	183,170	111,350	113,750	113,400
Prevention of Domestic Violence, Office for	1,288	1,385	1,412	1,412	1,412
State, Department of	14,789	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	8,235	7,840	7,840	7,840	7,840
Functional Total	<u>126,853</u>	<u>200,503</u>	<u>128,710</u>	<u>131,110</u>	<u>130,760</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	166,113	164,500	179,500	179,500	179,500
Functional Total	<u>198,138</u>	<u>196,524</u>	<u>211,524</u>	<u>211,524</u>	<u>211,524</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>714,320</u>	<u>739,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES					
Miscellaneous	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
Functional Total	<u>(120,953)</u>	<u>(7,741,481)</u>	<u>(7,776,279)</u>	<u>(7,825,779)</u>	<u>(7,825,779)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>68,651,758</u>	<u>61,659,066</u>	<u>64,685,898</u>	<u>67,689,070</u>	<u>70,521,059</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	40,172	41,963	56,350	56,150	56,150
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	17,040	17,291	17,291	17,291	17,291
Financial Services, Department of	218,385	214,981	208,844	208,844	208,844
Olympic Regional Development Authority	9,688	11,676	11,676	11,676	11,676
Public Service Department	51,122	53,426	51,406	49,740	49,740
Functional Total	347,018	350,897	356,811	354,945	354,945
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	216,331	240,253	234,243	228,836	228,421
Parks, Recreation and Historic Preservation, Office of	164,815	174,694	171,324	168,439	168,439
Functional Total	385,589	419,965	410,402	402,094	401,545
TRANSPORTATION					
Motor Vehicles, Department of	60,446	60,566	60,247	60,247	60,247
Transportation, Department of	345,088	342,268	340,937	340,937	340,937
Functional Total	405,534	402,834	401,184	401,184	401,184
HEALTH					
Aging, Office for the	2,046	2,005	1,962	1,962	1,962
Health, Department of	1,210,164	785,551	799,788	797,855	805,202
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	207,526	256,102	278,310	277,356	284,399
<i>Public Health</i>	928,668	451,360	445,947	446,496	446,781
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
Functional Total	1,230,193	806,235	819,822	817,889	825,236
SOCIAL WELFARE					
Children and Family Services, Office of	146,381	379,755	378,701	378,701	383,700
<i>OCFS</i>	146,381	379,755	378,701	378,701	383,700
Housing and Community Renewal, Division of	52,701	18,320	17,675	17,675	17,675
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
Labor, Department of	47,559	49,256	48,280	48,280	48,280
National and Community Service	306	349	349	349	352
Temporary and Disability Assistance, Office of	143,963	131,425	134,145	134,145	134,145
<i>All Other</i>	143,963	131,425	134,145	134,145	134,145
Functional Total	401,958	589,695	589,380	589,380	594,382
MENTAL HYGIENE					
Addiction Services and Supports, Office of	89,219	90,886	91,205	92,063	93,431
<i>OASAS</i>	37,265	43,336	42,980	43,468	44,169
<i>OASAS - Other</i>	51,954	47,550	48,225	48,595	49,262
Justice Center	42,363	43,589	42,875	43,430	44,014
Mental Health, Office of	1,394,412	1,392,919	1,427,978	1,455,761	1,482,637
<i>OMH</i>	357,445	364,781	373,954	382,773	388,531
<i>OMH - Other</i>	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,357,053	1,339,536	1,353,722	1,370,643	1,387,852
<i>OPWDD</i>	77	203	203	203	203
<i>OPWDD - Other</i>	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,883,047	2,866,930	2,915,780	2,961,897	3,007,934
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
<i>DOCCS</i>	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
Criminal Justice Services, Division of	35,382	38,338	38,159	38,904	39,670
Homeland Security and Emergency Services, Division of	40,957	40,171	42,598	43,438	44,298
Indigent Legal Services, Office of	3,117	4,297	4,380	4,468	4,543
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Military and Naval Affairs, Division of	25,227	24,869	25,524	25,964	26,482
State Police, Division of	773,899	810,568	808,498	827,950	827,950
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	3,757	4,068	4,030	4,030	4,030
Functional Total	<u>3,795,166</u>	<u>3,666,853</u>	<u>3,672,303</u>	<u>3,734,358</u>	<u>3,733,577</u>
HIGHER EDUCATION					
City University of New York	101,696	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	428	291	291	291	291
Higher Education Services Corporation, New York State	29,082	38,274	38,274	38,274	38,274
State University of New York	6,324,240	6,489,799	6,576,927	6,775,049	6,936,110
Functional Total	<u>6,455,446</u>	<u>6,531,857</u>	<u>6,618,985</u>	<u>6,817,107</u>	<u>6,978,168</u>
EDUCATION					
Arts, Council on the	4,251	4,416	4,320	4,320	4,320
Education, Department of	140,930	152,247	149,026	148,983	148,983
<i>All Other</i>	140,930	152,247	149,026	148,983	148,983
Functional Total	<u>145,181</u>	<u>156,663</u>	<u>153,346</u>	<u>153,303</u>	<u>153,303</u>
GENERAL GOVERNMENT					
Budget, Division of the	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,294	14,604	14,649	14,666	14,666
Deferred Compensation Board	473	649	648	648	648
Elections, State Board of	8,909	10,583	10,054	9,428	9,428
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	50,264	67,985	66,458	66,458	66,458
General Services, Office of	112,884	85,829	87,439	89,056	90,556
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,696	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	42,464	43,618	42,506	42,506	42,506
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	316,020	356,696	345,439	344,278	344,810
Veterans' Services, Division of	6,207	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	640	731	753	768	768
Workers' Compensation Board	139,495	148,002	143,219	143,219	143,219
Functional Total	<u>1,317,011</u>	<u>1,371,812</u>	<u>1,360,554</u>	<u>1,372,594</u>	<u>1,375,470</u>
ELECTED OFFICIALS					
Audit and Control, Department of	152,149	164,001	161,292	161,292	161,292
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	2,136,878	2,234,400	2,177,400	2,177,700	2,177,700
Law, Department of	185,013	191,186	186,591	186,591	186,591
Legislature	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	<u>2,716,522</u>	<u>2,853,309</u>	<u>2,795,424</u>	<u>2,795,777</u>	<u>2,795,777</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	36,271	43,657	43,072	43,072	43,072
Miscellaneous	48,975	(952,805)	(1,022,805)	(1,272,805)	(1,272,805)
Functional Total	<u>85,246</u>	<u>(909,148)</u>	<u>(979,733)</u>	<u>(1,229,733)</u>	<u>(1,229,733)</u>
TOTAL STATE OPERATIONS SPENDING	<u>20,167,913</u>	<u>19,107,902</u>	<u>19,114,258</u>	<u>19,170,795</u>	<u>19,391,788</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,677	34,150	33,539	33,539	33,539
Alcoholic Beverage Control, Division of	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	12,934	12,929	12,929	12,929	12,929
Financial Services, Department of	157,002	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,500	5,338	5,338	5,338	5,338
Public Service Department	42,684	46,025	44,550	44,577	44,577
Functional Total	256,885	266,494	258,565	258,592	258,592
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	179,044	200,670	193,895	188,440	188,440
Parks, Recreation and Historic Preservation, Office of	126,957	140,668	137,298	134,413	134,413
Functional Total	310,070	345,866	335,663	327,323	327,223
TRANSPORTATION					
Motor Vehicles, Department of	44,731	44,207	44,497	44,497	44,497
Transportation, Department of	161,055	168,622	162,396	162,396	162,396
Functional Total	205,786	212,829	206,893	206,893	206,893
HEALTH					
Aging, Office for the	1,917	1,899	1,856	1,856	1,856
Health, Department of	278,618	295,077	296,785	299,975	302,562
<i>Essential Plan</i>	3,326	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	35,276	42,845	47,963	51,054	53,576
<i>Public Health</i>	240,016	247,540	244,201	244,287	244,429
Medicaid Inspector General, Office of the	15,599	16,231	15,624	15,624	15,624
Functional Total	296,134	313,207	314,265	317,455	320,042
SOCIAL WELFARE					
Children and Family Services, Office of	86,540	265,818	262,740	262,740	265,408
<i>OCFS</i>	86,540	265,818	262,740	262,740	265,408
Housing and Community Renewal, Division of	39,887	17,705	17,060	17,060	17,060
Human Rights, Division of	9,543	10,121	9,752	9,752	9,752
Labor, Department of	32,931	34,864	33,620	33,620	33,620
National and Community Service	305	340	340	340	343
Temporary and Disability Assistance, Office of	64,862	76,580	78,130	78,130	78,130
<i>All Other</i>	64,862	76,580	78,130	78,130	78,130
Functional Total	234,068	405,428	401,642	401,642	404,313
MENTAL HYGIENE					
Addiction Services and Supports, Office of	60,528	63,331	62,936	63,542	64,146
<i>OASAS</i>	23,279	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,249	35,334	35,589	35,914	36,243
Justice Center	34,257	34,650	33,720	34,046	34,394
Mental Health, Office of	1,117,318	1,128,448	1,141,576	1,156,141	1,169,386
<i>OMH</i>	286,101	321,487	329,516	336,855	340,713
<i>OMH - Other</i>	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD - Other</i>	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,373,432	2,379,752	2,401,079	2,428,585	2,454,911
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
<i>DOCCS</i>	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
Criminal Justice Services, Division of	27,813	28,994	28,550	29,064	29,635
Homeland Security and Emergency Services, Division of	28,927	30,314	32,215	32,774	33,433
Indigent Legal Services, Office of	2,620	3,455	3,524	3,595	3,667
Judicial Conduct, Commission on	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,158	14,700	14,776	15,073	15,376
State Police, Division of	709,801	750,020	745,878	764,494	764,494

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Statewide Financial System	11,650	12,537	12,333	12,568	12,568
Victim Services, Office of	3,119	3,323	3,272	3,272	3,272
Functional Total	<u>3,185,661</u>	<u>3,058,708</u>	<u>3,066,108</u>	<u>3,119,913</u>	<u>3,121,518</u>
HIGHER EDUCATION					
City University of New York	48,676	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	10,530	12,916	12,916	12,916	12,916
State University of New York	4,079,009	4,402,843	4,452,574	4,601,904	4,719,036
Functional Total	<u>4,138,498</u>	<u>4,419,450</u>	<u>4,469,181</u>	<u>4,618,511</u>	<u>4,735,643</u>
EDUCATION					
Arts, Council on the	2,681	2,594	2,498	2,498	2,498
Education, Department of	90,098	94,081	90,726	90,726	90,726
<i>All Other</i>	90,098	94,081	90,726	90,726	90,726
Functional Total	<u>92,779</u>	<u>96,675</u>	<u>93,224</u>	<u>93,224</u>	<u>93,224</u>
GENERAL GOVERNMENT					
Budget, Division of the	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,287	14,592	14,207	14,215	14,215
Deferred Compensation Board	441	414	413	413	413
Elections, State Board of	6,039	6,571	6,478	6,316	6,316
Employee Relations, Office of	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	34,014	40,178	38,687	38,687	38,687
General Services, Office of	41,123	40,497	39,680	40,456	41,248
Information Technology Services, Office of	296,582	301,996	308,111	314,349	314,349
Inspector General, Office of the	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,486	4,867	4,827	4,924	4,924
State, Department of	28,759	30,346	29,258	29,258	29,258
Tax Appeals, Division of	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	265,994	282,555	272,834	272,386	272,493
Veterans' Services, Division of	5,546	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	630	654	646	659	659
Workers' Compensation Board	84,865	89,608	84,892	84,892	84,892
Functional Total	<u>834,417</u>	<u>871,045</u>	<u>857,074</u>	<u>863,733</u>	<u>864,693</u>
ELECTED OFFICIALS					
Audit and Control, Department of	121,837	130,597	127,888	127,888	127,888
Executive Chamber	10,876	11,567	11,113	11,113	11,113
Judiciary	1,713,277	1,806,000	1,749,000	1,749,300	1,749,300
Law, Department of	136,064	137,554	132,958	132,958	132,958
Legislature	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	431	543	523	523	523
Functional Total	<u>2,159,850</u>	<u>2,278,844</u>	<u>2,219,848</u>	<u>2,220,201</u>	<u>2,220,201</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Functional Total	<u>2,088</u>	<u>(532,595)</u>	<u>(547,595)</u>	<u>(647,595)</u>	<u>(647,595)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>14,089,668</u>	<u>14,115,703</u>	<u>14,075,947</u>	<u>14,208,477</u>	<u>14,359,658</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	9,495	7,813	22,811	22,611	22,611
Alcoholic Beverage Control, Division of	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	4,106	4,362	4,362	4,362	4,362
Financial Services, Department of	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	4,188	6,338	6,338	6,338	6,338
Public Service Department	8,438	7,401	6,856	5,163	5,163
Functional Total	90,133	84,403	98,246	96,353	96,353
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	374	490	365	349	315
Environmental Conservation, Department of	37,287	39,583	40,348	40,396	39,981
Parks, Recreation and Historic Preservation, Office of	37,858	34,026	34,026	34,026	34,026
Functional Total	75,519	74,099	74,739	74,771	74,322
TRANSPORTATION					
Motor Vehicles, Department of	15,715	16,359	15,750	15,750	15,750
Transportation, Department of	184,033	173,646	178,541	178,541	178,541
Functional Total	199,748	190,005	194,291	194,291	194,291
HEALTH					
Aging, Office for the	129	106	106	106	106
Health, Department of	931,546	490,474	503,003	497,880	502,640
<i>Essential Plan</i>	70,644	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	172,250	213,257	230,347	226,302	230,823
<i>Public Health</i>	688,652	203,820	201,746	202,209	202,352
Medicaid Inspector General, Office of the	2,384	2,448	2,448	2,448	2,448
Functional Total	934,059	493,028	505,557	500,434	505,194
SOCIAL WELFARE					
Children and Family Services, Office of	59,841	113,937	115,961	115,961	118,292
<i>OCFS</i>	59,841	113,937	115,961	115,961	118,292
Housing and Community Renewal, Division of	12,814	615	615	615	615
Human Rights, Division of	1,505	469	478	478	478
Labor, Department of	14,628	14,392	14,660	14,660	14,660
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	79,101	54,845	56,015	56,015	56,015
<i>All Other</i>	79,101	54,845	56,015	56,015	56,015
Functional Total	167,890	184,267	187,738	187,738	190,069
MENTAL HYGIENE					
Addiction Services and Supports, Office of	28,691	27,555	28,269	28,521	29,285
<i>OASAS</i>	13,986	15,339	15,633	15,840	16,266
<i>OASAS - Other</i>	14,705	12,216	12,636	12,681	13,019
Justice Center	8,106	8,939	9,155	9,384	9,620
Mental Health, Office of	277,094	264,471	286,402	299,620	313,251
<i>OMH</i>	71,344	43,294	44,438	45,918	47,818
<i>OMH - Other</i>	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	195,724	186,213	190,875	195,787	200,867
<i>OPWDD</i>	77	203	203	203	203
<i>OPWDD - Other</i>	195,647	186,010	190,672	195,584	200,664
Functional Total	509,615	487,178	514,701	533,312	553,023
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	497	242	247	247	247
Corrections and Community Supervision, Department of	491,689	496,318	490,279	496,625	493,625
<i>DOCCS</i>	491,689	496,318	490,279	496,625	493,625
Criminal Justice Services, Division of	7,569	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	12,030	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	497	842	856	873	876
Judicial Conduct, Commission on	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	11,069	10,169	10,748	10,891	11,106
State Police, Division of	64,098	60,548	62,620	63,456	63,456
Statewide Financial System	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	638	745	758	758	758
Functional Total	<u>609,505</u>	<u>608,145</u>	<u>606,195</u>	<u>614,445</u>	<u>612,059</u>
HIGHER EDUCATION					
City University of New York	53,020	0	0	0	0
Higher Education - Miscellaneous	145	93	93	93	93
Higher Education Services Corporation, New York State	18,552	25,358	25,358	25,358	25,358
State University of New York	2,245,231	2,086,956	2,124,353	2,173,145	2,217,074
Functional Total	<u>2,316,948</u>	<u>2,112,407</u>	<u>2,149,804</u>	<u>2,198,596</u>	<u>2,242,525</u>
EDUCATION					
Arts, Council on the	1,570	1,822	1,822	1,822	1,822
Education, Department of	50,832	58,166	58,300	58,257	58,257
<i>All Other</i>	50,832	58,166	58,300	58,257	58,257
Functional Total	<u>52,402</u>	<u>59,988</u>	<u>60,122</u>	<u>60,079</u>	<u>60,079</u>
GENERAL GOVERNMENT					
Budget, Division of the	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	7	12	442	451	451
Deferred Compensation Board	32	235	235	235	235
Elections, State Board of	2,870	4,012	3,576	3,112	3,112
Employee Relations, Office of	91	196	196	197	197
Gaming Commission, New York State	16,250	27,807	27,771	27,771	27,771
General Services, Office of	71,761	45,332	47,759	48,600	49,308
Information Technology Services, Office of	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	958	856	1,204	1,216	1,216
Labor Management Committees	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	146	212	216	216	216
Public Employment Relations Board	187	296	296	296	296
Public Ethics, Joint Commission on	731	968	1,183	1,195	1,195
State, Department of	13,705	13,272	13,248	13,248	13,248
Tax Appeals, Division of	231	214	170	170	170
Taxation and Finance, Department of	50,026	74,141	72,605	71,892	72,317
Veterans' Services, Division of	661	304	310	310	316
Welfare Inspector General, Office of	10	77	107	109	109
Workers' Compensation Board	54,630	58,394	58,327	58,327	58,327
Functional Total	<u>482,594</u>	<u>500,767</u>	<u>503,480</u>	<u>508,861</u>	<u>510,777</u>
ELECTED OFFICIALS					
Audit and Control, Department of	30,312	33,404	33,404	33,404	33,404
Executive Chamber	2,363	2,465	2,465	2,465	2,465
Judiciary	423,601	428,400	428,400	428,400	428,400
Law, Department of	48,949	53,632	53,633	53,633	53,633
Legislature	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	87	91	91	91	91
Functional Total	<u>556,672</u>	<u>574,465</u>	<u>575,576</u>	<u>575,576</u>	<u>575,576</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	36,271	43,657	43,072	43,072	43,072
Miscellaneous	46,887	(420,210)	(475,210)	(625,210)	(625,210)
Functional Total	<u>83,158</u>	<u>(376,553)</u>	<u>(432,138)</u>	<u>(582,138)</u>	<u>(582,138)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>6,078,245</u>	<u>4,992,199</u>	<u>5,038,311</u>	<u>4,962,318</u>	<u>5,032,130</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	98,264	114,477	114,251	114,251	114,251
Public Service Department	27,154	31,197	30,773	31,299	31,299
Functional Total	126,729	147,862	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	4,697	2,007	1,941	1,941	1,941
Functional Total	52,281	52,163	49,414	49,755	41,124
TRANSPORTATION					
Motor Vehicles, Department of	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,836	2,412	2,457	2,457	2,457
Functional Total	24,269	28,375	29,072	29,072	29,072
HEALTH					
Health, Department of	36,222	40,924	42,247	42,308	42,407
<i>Medicaid Administration</i>	202	581	581	581	581
<i>Public Health</i>	36,020	40,343	41,666	41,727	41,826
Functional Total	36,222	40,924	42,247	42,308	42,407
SOCIAL WELFARE					
Children and Family Services, Office of	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	19,144	2,521	3,844	3,844	3,844
Labor, Department of	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	65	128	128	128	128
<i>All Other</i>	65	128	128	128	128
Functional Total	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE					
Justice Center	589	908	895	925	957
Functional Total	589	908	895	925	957
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	141	106	106	106	106
<i>DOCCS</i>	141	106	106	106	106
Homeland Security and Emergency Services, Division of	666	835	905	905	905
Indigent Legal Services, Office of	1,645	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	0	9	10	10	10
State Police, Division of	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,660	1,650	1,683	1,683	1,683
Functional Total	30,457	33,719	34,278	34,579	34,685
HIGHER EDUCATION					
City University of New York	204	0	0	0	0
Higher Education - Miscellaneous	181	150	150	150	150
Higher Education Services Corporation, New York State	6,615	9,020	9,020	9,020	9,020
State University of New York	441,696	492,052	589,358	616,688	609,904
Functional Total	448,696	501,222	598,528	625,858	619,074
EDUCATION					
Education, Department of	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	37,239	41,458	40,581	41,269	41,269
Functional Total	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT					
Budget, Division of the	956	1,717	1,657	1,657	1,657

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Civil Service, Department of	118	244	241	245	245
Deferred Compensation Board	260	247	252	256	256
Gaming Commission, New York State	17,063	18,465	17,575	17,575	17,575
General Services, Office of	4,973	583	575	585	585
Labor Management Committees	6,386	5,000	5,000	5,000	5,000
State, Department of	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	32,829	22,567	21,597	21,318	21,386
Workers' Compensation Board	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>126,105</u>	<u>121,474</u>	<u>115,183</u>	<u>114,939</u>	<u>115,007</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,568	2,197	2,197	2,197	2,197
Judiciary	820,393	780,384	882,098	882,097	848,193
Law, Department of	16,822	21,049	20,243	20,243	20,243
Functional Total	<u>838,783</u>	<u>803,630</u>	<u>904,538</u>	<u>904,537</u>	<u>870,633</u>
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Miscellaneous	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>6,659,137</u>	<u>6,499,013</u>	<u>8,161,944</u>	<u>8,707,067</u>	<u>8,871,721</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,423,196</u></u>	<u><u>8,296,134</u></u>	<u><u>10,153,236</u></u>	<u><u>10,727,426</u></u>	<u><u>10,843,066</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	11,426	20,165	10,330	5,915	5,765
Economic Development Capital	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	5,800	16,752	9,400	8,400	7,700
Empire State Development Corporation	925,099	1,938,377	1,976,905	1,751,908	1,399,413
Energy Research and Development Authority, New York State	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	10,315	30,500	9,500	500	500
Regional Economic Development Program	1,902	3,000	4,500	447	0
Strategic Investment Program	1,056	2,500	2,000	2,000	2,000
Functional Total	1,059,204	2,200,263	2,122,379	1,817,603	1,451,584
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	1,000	0	0	0
Environmental Conservation, Department of	920,939	1,187,467	1,269,153	1,368,905	1,448,917
Hudson River Park Trust	2,000	18,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	181,554	188,739	174,189	173,739	173,739
Functional Total	1,104,493	1,395,206	1,458,342	1,554,644	1,634,656
TRANSPORTATION					
Metropolitan Transportation Authority	544,486	485,856	0	0	0
Motor Vehicles, Department of	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	4,386,172	5,241,278	5,761,328	5,445,569	5,476,948
Functional Total	5,188,935	6,010,347	6,007,572	5,676,341	5,707,816
HEALTH					
Health, Department of	572,787	790,420	896,692	861,035	756,191
<i>Public Health</i>	572,787	790,420	896,692	861,035	756,191
Functional Total	572,787	790,420	896,692	861,035	756,191
SOCIAL WELFARE					
Children and Family Services, Office of	22,476	25,804	23,660	23,673	23,673
<i>OCFS</i>	22,476	25,804	23,660	23,673	23,673
Housing and Community Renewal, Division of	350,949	749,877	674,023	714,023	464,023
Nonprofit Infrastructure Capital Investment Program	19,641	18,000	15,000	15,000	14,298
Temporary and Disability Assistance, Office of	62,920	110,957	78,657	57,657	57,657
<i>All Other</i>	62,920	110,957	78,657	57,657	57,657
Functional Total	455,986	904,638	791,340	810,353	559,651
MENTAL HYGIENE					
Addiction Services and Supports, Office of	29,734	114,547	100,306	88,463	81,523
<i>OASAS</i>	29,734	114,547	100,306	88,463	81,523
Mental Health, Office of	272,930	394,707	363,171	347,098	340,337
<i>OMH</i>	272,930	394,707	363,171	347,098	340,337
People with Developmental Disabilities, Office for	102,022	135,585	125,307	132,074	121,868
<i>OPWDD</i>	102,022	135,585	125,307	132,074	121,868
Functional Total	404,686	644,839	588,784	567,635	543,728
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	412,424	340,646	337,685	316,975	321,140
<i>DOCCS</i>	412,424	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	75,661	71,250	46,653	45,367	37,914
Military and Naval Affairs, Division of	125,394	97,719	97,102	96,982	62,557
State Police, Division of	49,194	55,853	54,688	47,538	50,968
Functional Total	662,673	590,468	536,128	506,862	472,579

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION					
City University of New York	36,412	37,352	47,352	57,352	67,352
Higher Education Facilities Capital Matching Grants Program	5,669	15,750	12,650	14,150	14,150
State University of New York	977,079	993,175	1,169,149	1,207,126	1,126,793
Functional Total	<u>1,019,160</u>	<u>1,046,277</u>	<u>1,229,151</u>	<u>1,278,628</u>	<u>1,208,295</u>
EDUCATION					
Education, Department of	181,132	541,340	518,145	476,565	302,715
<i>School Aid</i>	121,176	400,000	400,000	400,000	250,000
<i>All Other</i>	59,956	141,340	118,145	76,565	52,715
Functional Total	<u>181,132</u>	<u>541,340</u>	<u>518,145</u>	<u>476,565</u>	<u>302,715</u>
GENERAL GOVERNMENT					
Elections, State Board of	2,876	16,000	7,700	3,200	800
General Services, Office of	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	81,010	163,329	83,939	62,145	43,474
State, Department of	6,763	22,000	47,000	79,000	54,681
Workers' Compensation Board	4,907	22,440	33,764	6,755	0
Functional Total	<u>271,462</u>	<u>545,252</u>	<u>390,365</u>	<u>302,729</u>	<u>250,416</u>
ELECTED OFFICIALS					
Audit and Control, Department of	3,430	2,806	5,365	4,727	0
Judiciary	21,295	25,700	12,283	0	0
Law, Department of	4,344	5,735	2,000	0	0
Functional Total	<u>29,069</u>	<u>34,241</u>	<u>19,648</u>	<u>4,727</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	3,456	6,500	6,500	0	0
Miscellaneous	256,286	(600,081)	(701,000)	(687,839)	(687,838)
Special Infrastructure Account	789,127	625,174	359,027	232,684	116,255
Functional Total	<u>1,048,869</u>	<u>31,593</u>	<u>(335,473)</u>	<u>(455,155)</u>	<u>(571,583)</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u>11,998,456</u>	<u>14,734,884</u>	<u>14,223,073</u>	<u>13,401,967</u>	<u>12,316,048</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	105,692	100,455	108,579	104,045	103,895
Local Assistance	35,410	20,821	24,247	24,247	24,247
State Operations	54,741	55,184	69,571	69,371	69,371
Personal Service	35,313	37,563	36,952	36,952	36,952
Non-Personal Service/Indirect Costs	19,428	17,621	32,619	32,419	32,419
General State Charges	4,115	4,285	4,431	4,512	4,512
Capital Projects	11,426	20,165	10,330	5,915	5,765
<i>Alcoholic Beverage Control, Division of</i>	10,611	11,560	11,244	11,244	11,244
State Operations	10,611	11,560	11,244	11,244	11,244
Personal Service	8,088	8,316	8,316	8,316	8,316
Non-Personal Service/Indirect Costs	2,523	3,244	2,928	2,928	2,928
<i>Economic Development Capital</i>	2,525	6,400	8,000	8,000	8,000
Local Assistance	2,525	6,400	8,000	8,000	8,000
<i>Economic Development, Department of</i>	66,479	88,345	85,843	84,843	84,143
Local Assistance	48,390	70,781	63,879	63,879	62,779
State Operations	17,756	17,536	17,536	17,536	17,536
Personal Service	12,934	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	4,822	4,607	4,607	4,607	4,607
General State Charges	0	28	28	28	28
Capital Projects	333	0	4,400	3,400	3,800
<i>Empire State Development Corporation</i>	1,031,284	1,999,431	2,037,959	1,812,962	1,460,467
Local Assistance	1,008,141	1,086,817	1,348,338	1,103,617	1,090,144
Capital Projects	23,143	912,614	689,621	709,345	370,323
<i>Energy Research and Development Authority, New York State</i>	15,646	21,569	18,244	20,433	18,206
Capital Projects	15,646	21,569	18,244	20,433	18,206
<i>Financial Services, Department of</i>	371,795	392,439	384,391	384,391	384,391
Local Assistance	55,146	61,581	59,896	59,896	59,896
State Operations	218,385	216,381	210,244	210,244	210,244
Personal Service	157,002	159,736	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	61,383	56,645	56,351	56,351	56,351
General State Charges	98,264	114,477	114,251	114,251	114,251
<i>Lake Ontario Resiliency/Economic Development</i>	285	30,000	40,000	10,000	0
Capital Projects	285	30,000	40,000	10,000	0
<i>Olympic Regional Development Authority</i>	97,106	142,676	55,176	21,676	21,676
Local Assistance	2,268	0	0	0	0
State Operations	9,688	11,676	11,676	11,676	11,676
Personal Service	5,500	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	4,188	6,338	6,338	6,338	6,338
Capital Projects	85,150	131,000	43,500	10,000	10,000
<i>Power Authority, New York</i>	10,315	30,500	9,500	500	500
Local Assistance	9,814	0	0	0	0
Capital Projects	501	30,500	9,500	500	500
<i>Public Service Department</i>	82,388	86,827	84,299	83,175	83,175
Local Assistance	1,500	160	60	60	60
State Operations	52,939	54,721	52,701	51,035	51,035
Personal Service	44,224	47,227	45,752	45,779	45,779
Non-Personal Service/Indirect Costs	8,715	7,494	6,949	5,256	5,256
General State Charges	27,949	31,946	31,538	32,080	32,080
<i>Regional Economic Development Program</i>	1,902	3,000	4,500	447	0
Local Assistance	1,902	0	0	0	0
Capital Projects	0	3,000	4,500	447	0
<i>Strategic Investment Program</i>	1,056	2,500	2,000	2,000	2,000

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	1,056	2,500	0	0	0
Capital Projects	0	0	2,000	2,000	2,000
Functional Total	1,797,084	2,915,702	2,849,735	2,543,716	2,177,697
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	6,368	5,185	5,169	5,035
State Operations	4,443	5,368	5,185	5,169	5,035
Personal Service	4,069	4,528	4,470	4,470	4,370
Non-Personal Service/Indirect Costs	374	840	715	699	665
Capital Projects	0	1,000	0	0	0
Environmental Conservation, Department of	1,243,110	1,543,202	1,616,345	1,711,115	1,782,081
Local Assistance	457,645	443,244	461,586	465,983	465,983
State Operations	257,274	286,104	280,094	274,666	274,251
Personal Service	204,013	229,579	222,804	217,328	217,328
Non-Personal Service/Indirect Costs	53,261	56,525	57,290	57,338	56,923
General State Charges	62,695	68,153	65,851	66,566	57,935
Capital Projects	465,496	745,701	808,814	903,900	983,912
Hudson River Park Trust	2,000	18,000	15,000	12,000	12,000
Capital Projects	2,000	18,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	364,604	372,998	355,012	351,677	351,677
Local Assistance	9,369	5,020	5,020	5,020	5,020
State Operations	168,989	177,208	173,838	170,953	170,953
Personal Service	129,473	142,035	138,665	135,780	135,780
Non-Personal Service/Indirect Costs	39,516	35,173	35,173	35,173	35,173
General State Charges	4,697	2,031	1,965	1,965	1,965
Capital Projects	181,549	188,739	174,189	173,739	173,739
Functional Total	1,614,157	1,940,568	1,991,542	2,079,961	2,150,793
TRANSPORTATION					
Metropolitan Transportation Authority	544,486	485,856	0	0	0
Local Assistance	544,486	485,856	0	0	0
Motor Vehicles, Department of	362,100	398,066	361,430	345,958	346,054
Local Assistance	14,070	18,000	18,000	18,000	18,000
State Operations	65,804	70,084	69,765	69,765	69,765
Personal Service	47,963	49,186	49,476	49,476	49,476
Non-Personal Service/Indirect Costs	17,841	20,898	20,289	20,289	20,289
General State Charges	23,949	26,769	27,421	27,421	27,421
Capital Projects	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	8,287,877	9,665,388	10,083,933	9,955,809	10,181,696
Local Assistance	4,728,430	5,139,128	5,053,381	5,238,968	5,437,775
State Operations	362,661	366,605	364,856	364,856	364,856
Personal Service	169,270	179,509	172,880	172,880	172,880
Non-Personal Service/Indirect Costs	193,391	187,096	191,976	191,976	191,976
General State Charges	6,788	9,053	8,946	8,946	8,946
Capital Projects	3,189,998	4,150,602	4,656,750	4,343,039	4,370,119
Functional Total	9,194,463	10,549,310	10,445,363	10,301,767	10,527,750
HEALTH					
Aging, Office for the	254,394	252,421	257,433	262,615	267,927
Local Assistance	246,601	240,000	245,055	250,237	255,549
State Operations	7,730	12,421	12,378	12,378	12,378
Personal Service	7,057	7,967	7,924	7,924	7,924
Non-Personal Service/Indirect Costs	673	4,454	4,454	4,454	4,454
General State Charges	63	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Health, Department of	72,735,168	76,238,611	78,861,381	81,491,905	84,168,607
Medical Assistance	61,310,204	64,595,396	67,214,192	69,849,734	72,607,589
Local Assistance	61,310,204	64,595,396	67,214,192	69,849,734	72,607,589
Essential Plan	3,908,166	4,584,422	4,590,919	4,598,445	4,607,518
Local Assistance	3,834,196	4,506,333	4,515,388	4,524,442	4,533,496
State Operations	73,970	78,089	75,531	74,003	74,022
Personal Service	3,326	4,692	4,621	4,634	4,557
Non-Personal Service/Indirect Costs	70,644	73,397	70,910	69,369	69,465
Medicaid Administration	1,572,734	1,527,739	1,565,861	1,554,264	1,543,193
Local Assistance	1,030,610	840,573	827,735	809,151	782,787
State Operations	538,264	681,306	730,098	736,396	751,388
Personal Service	68,849	78,372	87,484	93,397	98,192
Non-Personal Service/Indirect Costs	469,415	602,934	642,614	642,999	653,196
General State Charges	3,860	5,860	8,028	8,717	9,018
Public Health	5,944,064	5,531,054	5,490,409	5,489,462	5,410,307
Local Assistance	4,497,413	4,590,942	4,556,404	4,558,223	4,478,285
State Operations	1,305,301	741,194	733,458	731,801	732,485
Personal Service	289,441	306,545	300,859	300,956	301,099
Non-Personal Service/Indirect Costs	1,015,860	434,649	432,599	430,845	431,386
General State Charges	65,889	84,021	85,366	84,257	84,356
Capital Projects	75,461	114,897	115,181	115,181	115,181
Medicaid Inspector General, Office of the	45,787	48,113	46,892	46,892	46,892
State Operations	36,350	37,812	36,591	36,591	36,591
Personal Service	31,186	32,470	31,249	31,249	31,249
Non-Personal Service/Indirect Costs	5,164	5,342	5,342	5,342	5,342
General State Charges	9,437	10,301	10,301	10,301	10,301
Functional Total	73,035,349	76,539,145	79,165,706	81,801,412	84,483,426
SOCIAL WELFARE					
Children and Family Services, Office of	2,612,986	3,279,448	2,994,941	2,995,537	3,002,224
OCFS	2,575,771	3,208,590	2,919,919	2,920,515	2,927,202
Local Assistance	2,311,826	2,681,039	2,393,612	2,394,195	2,394,195
State Operations	227,047	479,716	480,636	480,636	487,323
Personal Service	113,208	296,269	293,800	293,800	296,779
Non-Personal Service/Indirect Costs	113,839	183,447	186,836	186,836	190,544
General State Charges	14,422	22,031	22,011	22,011	22,011
Capital Projects	22,476	25,804	23,660	23,673	23,673
OCFS - Other	37,215	70,858	75,022	75,022	75,022
Local Assistance	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	525,328	838,272	808,847	848,847	598,847
Local Assistance	442,491	799,146	767,246	807,246	557,246
State Operations	60,366	29,600	29,256	29,256	29,256
Personal Service	45,387	25,830	25,348	25,348	25,348
Non-Personal Service/Indirect Costs	14,979	3,770	3,908	3,908	3,908
General State Charges	22,471	6,526	9,345	9,345	9,345
Capital Projects	0	3,000	3,000	3,000	3,000
Human Rights, Division of	15,432	15,257	14,990	14,990	14,990
State Operations	15,432	15,257	14,990	14,990	14,990
Personal Service	12,576	13,475	13,173	13,173	13,173
Non-Personal Service/Indirect Costs	2,856	1,782	1,817	1,817	1,817
Labor, Department of	592,123	570,315	602,207	602,207	602,207
Local Assistance	162,002	154,292	157,042	157,042	157,042
State Operations	303,165	299,343	304,943	304,943	304,943
Personal Service	208,166	206,414	208,606	208,606	208,606

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service/Indirect Costs	94,999	92,929	96,337	96,337	96,337
General State Charges	126,956	116,680	140,222	140,222	140,222
National and Community Service	11,971	16,986	17,305	17,305	17,632
Local Assistance	349	432	432	432	432
State Operations	11,622	16,312	16,631	16,631	16,956
Personal Service	679	730	738	738	745
Non-Personal Service/Indirect Costs	10,943	15,582	15,893	15,893	16,211
General State Charges	0	242	242	242	244
Nonprofit Infrastructure Capital Investment Program	19,641	18,000	15,000	15,000	14,298
Local Assistance	19,641	18,000	15,000	15,000	14,298
Temporary and Disability Assistance, Office of	5,085,108	5,300,694	5,205,515	5,298,355	5,335,155
Welfare Assistance	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
Local Assistance	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
All Other	1,397,644	1,352,406	1,361,627	1,454,467	1,491,267
Local Assistance	1,032,451	1,020,572	1,023,853	1,115,653	1,152,453
State Operations	306,169	281,694	287,410	287,410	287,410
Personal Service	160,695	154,335	157,441	157,441	157,441
Non-Personal Service/Indirect Costs	145,474	127,359	129,969	129,969	129,969
General State Charges	58,211	48,540	49,564	50,604	50,604
Capital Projects	813	1,600	800	800	800
Functional Total	8,862,589	10,038,972	9,658,805	9,792,241	9,585,353
MENTAL HYGIENE					
Addiction Services and Supports, Office of	577,249	702,061	689,518	698,572	710,928
OASAS	503,970	633,186	619,968	628,652	640,341
Local Assistance	461,170	563,628	556,294	562,320	573,130
State Operations	41,201	53,793	50,250	50,851	51,670
Personal Service	26,214	35,062	32,397	32,729	33,055
Non-Personal Service/Indirect Costs	14,987	18,731	17,853	18,122	18,615
Capital Projects	1,599	15,765	13,424	15,481	15,541
OASAS - Other	73,279	68,875	69,550	69,920	70,587
Local Assistance	21,325	21,325	21,325	21,325	21,325
State Operations	51,954	47,550	48,225	48,595	49,262
Personal Service	37,249	35,334	35,589	35,914	36,243
Non-Personal Service/Indirect Costs	14,705	12,216	12,636	12,681	13,019
Developmental Disabilities Planning Council	4,636	4,200	4,200	4,200	4,200
State Operations	3,954	3,415	3,415	3,415	3,415
Personal Service	1,133	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,821	2,149	2,149	2,149	2,149
General State Charges	682	785	785	785	785
Justice Center	45,278	45,974	45,262	45,896	46,530
Local Assistance	649	649	649	649	649
State Operations	44,040	44,353	43,653	44,229	44,831
Personal Service	34,257	34,878	33,949	34,277	34,627
Non-Personal Service/Indirect Costs	9,783	9,475	9,704	9,952	10,204
General State Charges	589	972	960	1,018	1,050
Mental Health, Office of	3,032,581	3,177,208	3,354,879	3,434,109	3,529,924
OMH	1,707,107	1,960,011	1,986,861	2,038,826	2,108,496
Local Assistance	1,106,979	1,261,680	1,299,585	1,355,532	1,423,205
State Operations	359,817	366,149	375,322	384,141	389,899
Personal Service	287,113	322,300	330,329	337,668	341,526
Non-Personal Service/Indirect Costs	72,704	43,849	44,993	46,473	48,373
General State Charges	617	469	469	469	469
Capital Projects	239,694	331,713	311,485	298,684	294,923

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
OMH - Other	1,325,474	1,217,197	1,368,018	1,395,283	1,421,428
Local Assistance	288,507	189,059	313,994	322,295	327,322
State Operations	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
Personal Service	831,217	806,961	812,060	819,286	828,673
Non-Personal Service/Indirect Costs	205,750	221,177	241,964	253,702	265,433
Mental Hygiene, Department of	0	0	0	0	(22,594)
Debt Service	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	3,201,373	1,974,602	2,725,018	3,298,837	3,849,760
OPWDD	511,007	489,631	484,834	502,463	526,479
Local Assistance	413,701	356,722	362,203	373,065	407,287
State Operations	564	1,203	1,203	1,203	1,203
Personal Service	233	0	0	0	0
Non-Personal Service/Indirect Costs	331	1,203	1,203	1,203	1,203
General State Charges	142	0	0	0	0
Capital Projects	96,600	131,706	121,428	128,195	117,989
OPWDD - Other	2,690,366	1,484,971	2,240,184	2,796,374	3,323,281
Local Assistance	1,333,390	145,638	886,665	1,425,934	1,935,632
State Operations	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Personal Service	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Non-Personal Service/Indirect Costs	195,647	186,010	190,672	195,584	200,664
Functional Total	6,861,117	5,904,045	6,818,877	7,481,614	8,118,748
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
State Operations	3,149	2,773	2,735	2,735	2,735
Personal Service	2,652	2,531	2,488	2,488	2,488
Non-Personal Service/Indirect Costs	497	242	247	247	247
Corrections and Community Supervision, Department of	3,295,148	3,069,847	3,069,150	3,088,209	3,089,374
DOCCS	3,295,148	3,067,847	3,069,150	3,088,209	3,089,374
Local Assistance	6,336	4,836	4,836	4,836	4,836
State Operations	2,875,291	2,721,218	2,725,482	2,765,251	2,762,251
Personal Service	2,382,638	2,223,709	2,234,012	2,267,435	2,267,435
Non-Personal Service/Indirect Costs	492,653	497,509	491,470	497,816	494,816
General State Charges	1,097	1,147	1,147	1,147	1,147
Capital Projects	412,424	340,646	337,685	316,975	321,140
DOCCS - Other	0	2,000	0	0	0
Local Assistance	0	2,000	0	0	0
Criminal Justice Services, Division of	231,940	290,587	265,408	226,245	227,196
Local Assistance	187,905	217,971	217,971	177,971	177,971
State Operations	43,776	47,268	47,089	47,919	48,863
Personal Service	32,304	33,675	33,231	33,745	34,410
Non-Personal Service/Indirect Costs	11,472	13,593	13,858	14,174	14,453
General State Charges	259	348	348	355	362
Capital Projects	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	1,479,767	1,224,398	1,245,995	1,247,635	1,241,130
Local Assistance	1,395,172	1,119,142	1,138,839	1,145,925	1,147,427
State Operations	65,482	80,171	82,598	83,438	84,298
Personal Service	37,428	45,314	47,215	47,774	48,433
Non-Personal Service/Indirect Costs	28,054	34,857	35,383	35,664	35,865
General State Charges	4,605	7,835	7,905	7,905	7,905
Capital Projects	14,508	17,250	16,653	10,367	1,500
Indigent Legal Services, Office of	90,265	166,463	226,631	276,820	290,763
Local Assistance	85,503	160,000	220,000	270,000	283,762
State Operations	3,117	4,297	4,380	4,468	4,543

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	2,620	3,455	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	497	842	856	873	876
General State Charges	1,645	2,166	2,251	2,352	2,458
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
State Operations	5,748	6,266	6,444	6,550	6,550
Personal Service	4,203	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30
State Operations	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
State Operations	6	38	38	38	38
Non-Personal Service/Indirect Costs	6	38	38	38	38
Military and Naval Affairs, Division of	197,777	169,467	169,572	170,643	137,504
Local Assistance	885	820	886	904	923
State Operations	63,191	63,488	64,143	65,316	66,583
Personal Service	37,115	37,478	37,554	38,272	39,005
Non-Personal Service/Indirect Costs	26,076	26,010	26,589	27,044	27,578
General State Charges	8,307	7,440	7,441	7,441	7,441
Capital Projects	125,394	97,719	97,102	96,982	62,557
State Police, Division of	877,304	922,139	919,812	932,831	936,261
Local Assistance	15	0	0	0	0
State Operations	799,851	835,833	834,301	854,270	854,270
Personal Service	721,655	762,285	758,281	777,146	777,146
Non-Personal Service/Indirect Costs	78,196	73,548	76,020	77,124	77,124
General State Charges	28,244	30,453	30,823	31,023	31,023
Capital Projects	49,194	55,853	54,688	47,538	50,968
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
State Operations	31,517	31,161	31,329	31,944	31,944
Personal Service	11,650	12,537	12,333	12,568	12,568
Non-Personal Service/Indirect Costs	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	114,705	131,062	131,711	131,711	129,739
Local Assistance	104,191	120,744	121,398	121,398	121,398
State Operations	8,427	8,668	8,630	8,630	6,658
Personal Service	6,764	6,323	6,272	6,272	5,015
Non-Personal Service/Indirect Costs	1,663	2,345	2,358	2,358	1,643
General State Charges	2,087	1,650	1,683	1,683	1,683
Functional Total	6,327,326	6,014,231	6,068,855	6,115,391	6,093,264
HIGHER EDUCATION					
City University of New York	1,073,405	2,293,621	1,683,853	1,726,246	1,766,003
Local Assistance	933,466	2,245,142	1,625,374	1,657,767	1,687,524
State Operations	103,323	11,127	11,127	11,127	11,127
Personal Service	48,676	3,493	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	54,647	7,634	7,634	7,634	7,634
General State Charges	204	0	0	0	0
Capital Projects	36,412	37,352	47,352	57,352	67,352
Higher Education - Miscellaneous	609	441	441	441	441
State Operations	428	291	291	291	291
Personal Service	283	198	198	198	198
Non-Personal Service/Indirect Costs	145	93	93	93	93
General State Charges	181	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	5,669	15,750	12,650	14,150	14,150
Local Assistance	5,669	15,750	12,650	14,150	14,150

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Higher Education Services Corporation, New York State	990,167	867,887	892,026	896,431	896,669
Local Assistance	950,544	813,959	838,098	842,503	842,741
State Operations	33,008	44,907	44,907	44,907	44,907
Personal Service	10,530	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	22,478	31,155	31,155	31,155	31,155
General State Charges	6,615	9,021	9,021	9,021	9,021
State University of New York	8,570,335	8,783,173	9,145,305	9,407,734	9,481,678
Local Assistance	478,969	459,460	461,184	460,184	460,184
State Operations	6,672,692	6,838,435	6,925,563	7,123,685	7,284,746
Personal Service	4,088,768	4,411,149	4,460,880	4,610,210	4,727,342
Non-Personal Service/Indirect Costs	2,583,924	2,427,286	2,464,683	2,513,475	2,557,404
General State Charges	441,795	492,103	589,409	616,739	609,955
Capital Projects	976,879	993,175	1,169,149	1,207,126	1,126,793
Functional Total	10,640,185	11,960,872	11,734,275	12,045,002	12,158,941
EDUCATION					
Arts, Council on the	49,048	46,049	45,953	45,953	45,953
Local Assistance	44,797	41,533	41,533	41,533	41,533
State Operations	4,251	4,516	4,420	4,420	4,420
Personal Service	2,681	2,594	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,570	1,922	1,922	1,922	1,922
Education, Department of	35,975,909	36,717,577	36,744,023	37,332,866	38,076,827
School Aid	30,047,154	30,774,882	30,838,890	31,503,729	32,311,356
Local Assistance	30,047,154	30,769,697	30,838,890	31,503,729	32,311,356
State Operations	0	5,185	0	0	0
Personal Service	0	2,685	0	0	0
Non-Personal Service/Indirect Costs	0	2,500	0	0	0
School Aid – Other	137,708	140,000	140,000	140,000	140,000
Local Assistance	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Local Assistance	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
Local Assistance	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
All Other	1,536,786	1,572,358	1,557,610	1,535,701	1,511,527
Local Assistance	1,128,450	1,078,695	1,069,740	1,088,766	1,088,442
State Operations	306,464	305,365	302,144	302,101	302,101
Personal Service	176,964	181,818	178,463	178,463	178,463
Non-Personal Service/Indirect Costs	129,500	123,547	123,681	123,638	123,638
General State Charges	90,647	97,458	96,581	97,269	97,269
Capital Projects	11,225	90,840	89,145	47,565	23,715
Functional Total	36,024,957	36,763,626	36,789,976	37,378,819	38,122,780
GENERAL GOVERNMENT					
Budget, Division of the	28,955	30,603	29,596	29,596	29,596
State Operations	27,999	28,886	27,939	27,939	27,939
Personal Service	23,615	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	4,384	3,375	3,372	3,372	3,372
General State Charges	956	1,717	1,657	1,657	1,657
Civil Service, Department of	15,490	15,148	15,190	15,211	15,211
Local Assistance	78	300	300	300	300
State Operations	15,294	14,604	14,649	14,666	14,666
Personal Service	15,287	14,592	14,207	14,215	14,215
Non-Personal Service/Indirect Costs	7	12	442	451	451

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
General State Charges	118	244	241	245	245
Deferred Compensation Board	733	896	900	904	904
State Operations	473	649	648	648	648
Personal Service	441	414	413	413	413
Non-Personal Service/Indirect Costs	32	235	235	235	235
General State Charges	260	247	252	256	256
Elections, State Board of	22,321	31,363	21,334	14,232	11,832
Local Assistance	1,829	10,200	1,500	0	0
State Operations	17,541	15,063	13,334	10,732	10,732
Personal Service	6,636	7,051	6,958	6,796	6,796
Non-Personal Service/Indirect Costs	10,905	8,012	6,376	3,936	3,936
General State Charges	364	300	300	300	300
Capital Projects	2,587	5,800	6,200	3,200	800
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
State Operations	5,880	6,542	6,444	6,445	6,445
Personal Service	5,789	6,346	6,248	6,248	6,248
Non-Personal Service/Indirect Costs	91	196	196	197	197
Gaming Commission, New York State	165,136	269,620	195,383	197,783	197,433
Local Assistance	97,809	183,170	111,350	113,750	113,400
State Operations	50,264	67,985	66,458	66,458	66,458
Personal Service	34,014	40,178	38,687	38,687	38,687
Non-Personal Service/Indirect Costs	16,250	27,807	27,771	27,771	27,771
General State Charges	17,063	18,465	17,575	17,575	17,575
General Services, Office of	305,353	416,177	314,258	249,713	251,045
Local Assistance	0	250	250	250	250
State Operations	124,474	93,861	95,471	97,249	98,749
Personal Service	41,123	40,497	39,680	40,456	41,248
Non-Personal Service/Indirect Costs	83,351	53,364	55,791	56,793	57,501
General State Charges	4,973	583	575	585	585
Capital Projects	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	622,486	709,596	636,622	625,986	607,315
State Operations	541,476	546,267	552,683	563,841	563,841
Personal Service	296,582	301,996	308,111	314,349	314,349
Non-Personal Service/Indirect Costs	244,894	244,271	244,572	249,492	249,492
Capital Projects	81,010	163,329	83,939	62,145	43,474
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
State Operations	6,381	7,826	8,061	8,210	8,210
Personal Service	5,423	6,970	6,857	6,994	6,994
Non-Personal Service/Indirect Costs	958	856	1,204	1,216	1,216
Labor Management Committees	37,107	37,631	38,378	39,139	39,916
State Operations	30,721	32,631	33,378	34,139	34,916
Personal Service	8,420	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	22,301	26,933	27,891	28,652	29,429
General State Charges	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,984	3,257	3,195	3,195	3,195
Local Assistance	1,288	1,385	1,412	1,412	1,412
State Operations	1,696	1,872	1,783	1,783	1,783
Personal Service	1,550	1,660	1,567	1,567	1,567
Non-Personal Service/Indirect Costs	146	212	216	216	216
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
State Operations	3,401	3,764	3,634	3,634	3,634
Personal Service	3,214	3,468	3,338	3,338	3,338
Non-Personal Service/Indirect Costs	187	296	296	296	296
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State Operations	5,217	5,835	6,010	6,119	6,119

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	4,486	4,867	4,827	4,924	4,924
Non-Personal Service/Indirect Costs	731	968	1,183	1,195	1,195
State, Department of	133,787	153,295	177,462	209,479	185,160
Local Assistance	72,643	82,274	107,274	139,274	114,955
State Operations	47,053	51,422	50,310	50,310	50,310
Personal Service	31,618	34,104	33,016	33,016	33,016
Non-Personal Service/Indirect Costs	15,435	17,318	17,294	17,294	17,294
General State Charges	11,380	17,599	17,878	17,895	17,895
Capital Projects	2,711	2,000	2,000	2,000	2,000
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
State Operations	2,871	3,150	3,040	3,040	3,040
Personal Service	2,640	2,936	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	231	214	170	170	170
Taxation and Finance, Department of	352,299	383,974	371,747	370,307	370,907
Local Assistance	3,302	3,491	3,491	3,491	3,491
State Operations	316,168	357,916	346,659	345,498	346,030
Personal Service	265,994	282,555	272,834	272,386	272,493
Non-Personal Service/Indirect Costs	50,174	75,361	73,825	73,112	73,537
General State Charges	32,829	22,567	21,597	21,318	21,386
Veterans' Services, Division of	15,500	16,333	16,321	16,332	16,425
Local Assistance	8,235	7,840	7,840	7,840	7,840
State Operations	6,935	7,979	7,945	7,945	8,033
Personal Service	6,088	7,004	6,950	6,950	7,019
Non-Personal Service/Indirect Costs	847	975	995	995	1,014
General State Charges	330	514	536	547	552
Welfare Inspector General, Office of	640	731	753	768	768
State Operations	640	731	753	768	768
Personal Service	630	654	646	659	659
Non-Personal Service/Indirect Costs	10	77	107	109	109
Workers' Compensation Board	198,282	228,305	230,203	203,194	196,439
State Operations	139,495	148,002	143,219	143,219	143,219
Personal Service	84,865	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	54,630	58,394	58,327	58,327	58,327
General State Charges	53,880	57,863	53,220	53,220	53,220
Capital Projects	4,907	22,440	33,764	6,755	0
Functional Total	1,924,823	2,324,046	2,078,531	2,003,287	1,953,594
ELECTED OFFICIALS					
Audit and Control, Department of	189,172	201,028	200,878	200,240	195,513
Local Assistance	32,025	32,024	32,024	32,024	32,024
State Operations	152,149	164,001	161,292	161,292	161,292
Personal Service	121,837	130,597	127,888	127,888	127,888
Non-Personal Service/Indirect Costs	30,312	33,404	33,404	33,404	33,404
General State Charges	1,568	2,197	2,197	2,197	2,197
Capital Projects	3,430	2,806	5,365	4,727	0
Executive Chamber	13,239	14,032	13,578	13,578	13,578
State Operations	13,239	14,032	13,578	13,578	13,578
Personal Service	10,876	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	2,363	2,465	2,465	2,465	2,465
Judiciary	3,153,277	3,218,184	3,264,481	3,252,497	3,218,593
Local Assistance	166,113	164,500	179,500	179,500	179,500
State Operations	2,144,873	2,246,900	2,189,900	2,190,200	2,190,200
Personal Service	1,715,180	1,809,100	1,752,100	1,752,400	1,752,400
Non-Personal Service/Indirect Costs	429,693	437,800	437,800	437,800	437,800
General State Charges	820,996	781,084	882,798	882,797	848,893
Capital Projects	21,295	25,700	12,283	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Law, Department of	244,393	263,149	251,746	249,746	249,746
State Operations	210,980	221,937	216,486	216,486	216,486
Personal Service	156,208	160,514	155,062	155,062	155,062
Non-Personal Service/Indirect Costs	54,772	61,423	61,424	61,424	61,424
General State Charges	29,069	35,477	33,260	33,260	33,260
Capital Projects	4,344	5,735	2,000	0	0
Legislature	228,725	249,056	255,949	256,002	256,002
State Operations	228,725	249,056	255,949	256,002	256,002
Personal Service	177,365	192,583	198,366	198,419	198,419
Non-Personal Service/Indirect Costs	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	518	634	614	614	614
State Operations	518	634	614	614	614
Personal Service	431	543	523	523	523
Non-Personal Service/Indirect Costs	87	91	91	91	91
Functional Total	3,829,324	3,946,083	3,987,246	3,972,677	3,934,046
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
Local Assistance	662,054	671,166	704,192	704,192	704,192
State Operations	2	0	0	0	0
Non-Personal Service/Indirect Costs	2	0	0	0	0
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Local Assistance	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Local Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance	217	218	218	218	218
Functional Total	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	3,456	6,500	6,500	0	0
Local Assistance	3,363	6,500	6,500	0	0
Capital Projects	93	0	0	0	0
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	4,952,363	10,514,110	6,981,939	7,400,398	7,615,326
State Operations	36,271	43,657	43,072	43,072	43,072
Non-Personal Service/Indirect Costs	36,271	43,657	43,072	43,072	43,072
Debt Service	4,916,092	10,470,453	6,938,867	7,357,326	7,572,254
Miscellaneous	(245,880)	(9,756,515)	(9,962,233)	(10,248,564)	(10,248,563)
Local Assistance	(363,606)	(8,579,161)	(8,655,310)	(8,790,461)	(8,765,460)
State Operations	49,007	(952,804)	(1,022,805)	(1,272,805)	(1,272,805)
Personal Service	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Non-Personal Service/Indirect Costs	46,919	(420,209)	(475,210)	(625,210)	(625,210)

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
General State Charges	4,050	5,789	5,789	5,797	5,797
Capital Projects	64,669	(230,339)	(289,907)	(191,095)	(216,095)
<i>Special Infrastructure Account</i>	<u>789,127</u>	<u>625,174</u>	<u>359,027</u>	<u>232,684</u>	<u>116,255</u>
Local Assistance	461,206	10,000	0	20,000	5,690
Capital Projects	327,921	615,174	359,027	212,684	110,565
Functional Total	<u>12,154,153</u>	<u>7,882,493</u>	<u>5,541,388</u>	<u>6,085,788</u>	<u>6,348,942</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>172,979,849</u>	<u>177,518,112</u>	<u>177,927,344</u>	<u>182,398,720</u>	<u>186,452,379</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	105,692	100,455	108,579	104,045	103,895
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development Capital	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	66,479	88,345	85,843	84,843	84,143
Empire State Development Corporation	1,031,284	1,999,431	2,037,959	1,812,962	1,460,467
Energy Research and Development Authority, New York State	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	371,795	392,439	384,391	384,391	384,391
Lake Ontario Resiliency/Economic Development	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	97,106	142,676	55,176	21,676	21,676
Power Authority, New York	10,315	30,500	9,500	500	500
Public Service Department	82,388	86,827	84,299	83,175	83,175
Regional Economic Development Program	1,902	3,000	4,500	447	0
Strategic Investment Program	1,056	2,500	2,000	2,000	2,000
Functional Total	1,797,084	2,915,702	2,849,735	2,543,716	2,177,697
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	6,368	5,185	5,169	5,035
Environmental Conservation, Department of	1,243,110	1,543,202	1,616,345	1,711,115	1,782,081
Hudson River Park Trust	2,000	18,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	364,604	372,998	355,012	351,677	351,677
Functional Total	1,614,157	1,940,568	1,991,542	2,079,961	2,150,793
TRANSPORTATION					
Metropolitan Transportation Authority	544,486	485,856	0	0	0
Motor Vehicles, Department of	362,100	398,066	361,430	345,958	346,054
Transportation, Department of	8,287,877	9,665,388	10,083,933	9,955,809	10,181,696
Functional Total	9,194,463	10,549,310	10,445,363	10,301,767	10,527,750
HEALTH					
Aging, Office for the	254,394	252,421	257,433	262,615	267,927
Health, Department of	72,735,168	76,238,611	78,861,381	81,491,905	84,168,607
<i>Medical Assistance</i>	61,310,204	64,595,396	67,214,192	69,849,734	72,607,589
<i>Essential Plan</i>	3,908,166	4,584,422	4,590,919	4,598,445	4,607,518
<i>Medicaid Administration</i>	1,572,734	1,527,739	1,565,861	1,554,264	1,543,193
<i>Public Health</i>	5,944,064	5,531,054	5,490,409	5,489,462	5,410,307
Medicaid Inspector General, Office of the	45,787	48,113	46,892	46,892	46,892
Functional Total	73,035,349	76,539,145	79,165,706	81,801,412	84,483,426
SOCIAL WELFARE					
Children and Family Services, Office of	2,612,986	3,279,448	2,994,941	2,995,537	3,002,224
<i>OCFS</i>	2,575,771	3,208,590	2,919,919	2,920,515	2,927,202
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	525,328	838,272	808,847	848,847	598,847
Human Rights, Division of	15,432	15,257	14,990	14,990	14,990
Labor, Department of	592,123	570,315	602,207	602,207	602,207
National and Community Service	11,971	16,986	17,305	17,305	17,632
Nonprofit Infrastructure Capital Investment Program	19,641	18,000	15,000	15,000	14,298
Temporary and Disability Assistance, Office of	5,085,108	5,300,694	5,205,515	5,298,355	5,335,155
<i>Welfare Assistance</i>	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
<i>All Other</i>	1,397,644	1,352,406	1,361,627	1,454,467	1,491,267
Functional Total	8,862,589	10,038,972	9,658,805	9,792,241	9,585,353
MENTAL HYGIENE					
Addiction Services and Supports, Office of	577,249	702,061	689,518	698,572	710,928
<i>OASAS</i>	503,970	633,186	619,968	628,652	640,341
<i>OASAS - Other</i>	73,279	68,875	69,550	69,920	70,587
Developmental Disabilities Planning Council	4,636	4,200	4,200	4,200	4,200
Justice Center	45,278	45,974	45,262	45,896	46,530
Mental Health, Office of	3,032,581	3,177,208	3,354,879	3,434,109	3,529,924
<i>OMH</i>	1,707,107	1,960,011	1,986,861	2,038,826	2,108,496
<i>OMH - Other</i>	1,325,474	1,217,197	1,368,018	1,395,283	1,421,428

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Mental Hygiene, Department of	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	3,201,373	1,974,602	2,725,018	3,298,837	3,849,760
<i>OPWDD</i>	511,007	489,631	484,834	502,463	526,479
<i>OPWDD - Other</i>	2,690,366	1,484,971	2,240,184	2,796,374	3,323,281
Functional Total	6,861,117	5,904,045	6,818,877	7,481,614	8,118,748
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	3,295,148	3,069,847	3,069,150	3,088,209	3,089,374
<i>DOCCS</i>	3,295,148	3,067,847	3,069,150	3,088,209	3,089,374
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	231,940	290,587	265,408	226,245	227,196
Homeland Security and Emergency Services, Division of	1,479,767	1,224,398	1,245,995	1,247,635	1,241,130
Indigent Legal Services, Office of	90,265	166,463	226,631	276,820	290,763
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	197,777	169,467	169,572	170,643	137,504
State Police, Division of	877,304	922,139	919,812	932,831	936,261
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	114,705	131,062	131,711	131,711	129,739
Functional Total	6,327,326	6,014,231	6,068,855	6,115,391	6,093,264
HIGHER EDUCATION					
City University of New York	1,073,405	2,293,621	1,683,853	1,726,246	1,766,003
Higher Education - Miscellaneous	609	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	990,167	867,887	892,026	896,431	896,669
State University of New York	8,570,335	8,783,173	9,145,305	9,407,734	9,481,678
Functional Total	10,640,185	11,960,872	11,734,275	12,045,002	12,158,941
EDUCATION					
Arts, Council on the	49,048	46,049	45,953	45,953	45,953
Education, Department of	35,975,909	36,717,577	36,744,023	37,332,866	38,076,827
<i>School Aid</i>	30,047,154	30,774,882	30,838,890	31,503,729	32,311,356
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	1,536,786	1,572,358	1,557,610	1,535,701	1,511,527
Functional Total	36,024,957	36,763,626	36,789,976	37,378,819	38,122,780
GENERAL GOVERNMENT					
Budget, Division of the	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	15,490	15,148	15,190	15,211	15,211
Deferred Compensation Board	733	896	900	904	904
Elections, State Board of	22,321	31,363	21,334	14,232	11,832
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	165,136	269,620	195,383	197,783	197,433
General Services, Office of	305,353	416,177	314,258	249,713	251,045
Information Technology Services, Office of	622,486	709,596	636,622	625,986	607,315
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,984	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	133,787	153,295	177,462	209,479	185,160
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	352,299	383,974	371,747	370,307	370,907
Veterans' Services, Division of	15,500	16,333	16,321	16,332	16,425
Welfare Inspector General, Office of	640	731	753	768	768
Workers' Compensation Board	198,282	228,305	230,203	203,194	196,439
Functional Total	1,924,823	2,324,046	2,078,531	2,003,287	1,953,594
ELECTED OFFICIALS					
Audit and Control, Department of	189,172	201,028	200,878	200,240	195,513
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	3,153,277	3,218,184	3,264,481	3,252,497	3,218,593

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Law, Department of	244,393	263,149	251,746	249,746	249,746
Legislature	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	3,829,324	3,946,083	3,987,246	3,972,677	3,934,046
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	3,456	6,500	6,500	0	0
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	4,952,363	10,514,110	6,981,939	7,400,398	7,615,326
Miscellaneous	(245,880)	(9,756,515)	(9,962,233)	(10,248,564)	(10,248,563)
Special Infrastructure Account	789,127	625,174	359,027	232,684	116,255
Functional Total	12,154,153	7,882,493	5,541,388	6,085,788	6,348,942
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	172,979,849	177,518,112	177,927,344	182,398,720	186,452,379

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	35,410	20,821	24,247	24,247	24,247
Economic Development Capital	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	48,390	70,781	63,879	63,879	62,779
Empire State Development Corporation	1,008,141	1,086,817	1,348,338	1,103,617	1,090,144
Financial Services, Department of	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,268	0	0	0	0
Power Authority, New York	9,814	0	0	0	0
Public Service Department	1,500	160	60	60	60
Regional Economic Development Program	1,902	0	0	0	0
Strategic Investment Program	1,056	2,500	0	0	0
Functional Total	1,166,152	1,249,060	1,504,420	1,259,699	1,245,126
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	457,645	443,244	461,586	465,983	465,983
Parks, Recreation and Historic Preservation, Office of	9,369	5,020	5,020	5,020	5,020
Functional Total	467,014	448,264	466,606	471,003	471,003
TRANSPORTATION					
Metropolitan Transportation Authority	544,486	485,856	0	0	0
Motor Vehicles, Department of	14,070	18,000	18,000	18,000	18,000
Transportation, Department of	4,728,430	5,139,128	5,053,381	5,238,968	5,437,775
Functional Total	5,286,986	5,642,984	5,071,381	5,256,968	5,455,775
HEALTH					
Aging, Office for the	246,601	240,000	245,055	250,237	255,549
Health, Department of	70,672,423	74,533,244	77,113,719	79,741,550	82,402,157
<i>Medical Assistance</i>	61,310,204	64,595,396	67,214,192	69,849,734	72,607,589
<i>Essential Plan</i>	3,834,196	4,506,333	4,515,388	4,524,442	4,533,496
<i>Medicaid Administration</i>	1,030,610	840,573	827,735	809,151	782,787
<i>Public Health</i>	4,497,413	4,590,942	4,556,404	4,558,223	4,478,285
Functional Total	70,919,024	74,773,244	77,358,774	79,991,787	82,657,706
SOCIAL WELFARE					
Children and Family Services, Office of	2,349,041	2,751,897	2,468,634	2,469,217	2,469,217
<i>OCFS</i>	2,311,826	2,681,039	2,393,612	2,394,195	2,394,195
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	442,491	799,146	767,246	807,246	557,246
Labor, Department of	162,002	154,292	157,042	157,042	157,042
National and Community Service	349	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	19,641	18,000	15,000	15,000	14,298
Temporary and Disability Assistance, Office of	4,719,915	4,968,860	4,867,741	4,959,541	4,996,341
<i>Welfare Assistance</i>	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
<i>All Other</i>	1,032,451	1,020,572	1,023,853	1,115,653	1,152,453
Functional Total	7,693,439	8,692,627	8,276,095	8,408,478	8,194,576
MENTAL HYGIENE					
Addiction Services and Supports, Office of	482,495	584,953	577,619	583,645	594,455
<i>OASAS</i>	461,170	563,628	556,294	562,320	573,130
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	649	649	649	649	649
Mental Health, Office of	1,395,486	1,450,739	1,613,579	1,677,827	1,750,527
<i>OMH</i>	1,106,979	1,261,680	1,299,585	1,355,532	1,423,205
<i>OMH - Other</i>	288,507	189,059	313,994	322,295	327,322
People with Developmental Disabilities, Office for	1,747,091	502,360	1,248,868	1,798,999	2,342,919
<i>OPWDD</i>	413,701	356,722	362,203	373,065	407,287
<i>OPWDD - Other</i>	1,333,390	145,638	886,665	1,425,934	1,935,632
Functional Total	3,625,721	2,538,701	3,440,715	4,061,120	4,688,550
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	187,905	217,971	217,971	177,971	177,971
Homeland Security and Emergency Services, Division of	1,395,172	1,119,142	1,138,839	1,145,925	1,147,427
Indigent Legal Services, Office of	85,503	160,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	820	886	904	923
State Police, Division of	15	0	0	0	0
Victim Services, Office of	104,191	120,744	121,398	121,398	121,398
Functional Total	1,780,007	1,625,513	1,703,930	1,721,034	1,736,317
HIGHER EDUCATION					
City University of New York	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Facilities Capital Matching Grants Program	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	950,544	813,959	838,098	842,503	842,741
State University of New York	478,969	459,460	461,184	460,184	460,184
Functional Total	2,368,648	3,534,311	2,937,306	2,974,604	3,004,599
EDUCATION					
Arts, Council on the	44,797	41,533	41,533	41,533	41,533
Education, Department of	35,567,573	36,218,729	36,256,153	36,885,931	37,653,742
<i>School Aid</i>	30,047,154	30,769,697	30,838,890	31,503,729	32,311,356
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	1,128,450	1,078,695	1,069,740	1,088,766	1,088,442
Functional Total	35,612,370	36,260,262	36,297,686	36,927,464	37,695,275
GENERAL GOVERNMENT					
Civil Service, Department of	78	300	300	300	300
Elections, State Board of	1,829	10,200	1,500	0	0
Gaming Commission, New York State	97,809	183,170	111,350	113,750	113,400
General Services, Office of	0	250	250	250	250
Prevention of Domestic Violence, Office for	1,288	1,385	1,412	1,412	1,412
State, Department of	72,643	82,274	107,274	139,274	114,955
Taxation and Finance, Department of	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	8,235	7,840	7,840	7,840	7,840
Functional Total	185,184	288,910	233,417	266,317	241,648
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	166,113	164,500	179,500	179,500	179,500
Functional Total	198,138	196,524	211,524	211,524	211,524
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	714,320	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	3,363	6,500	6,500	0	0
Miscellaneous	(363,606)	(8,579,161)	(8,655,310)	(8,790,461)	(8,765,460)
Special Infrastructure Account	461,206	10,000	0	20,000	5,690
Functional Total	100,963	(8,562,661)	(8,648,810)	(8,770,461)	(8,759,770)
TOTAL LOCAL ASSISTANCE SPENDING	130,117,966	127,426,758	129,650,089	133,576,582	137,639,374

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	54,741	55,184	69,571	69,371	69,371
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	17,756	17,536	17,536	17,536	17,536
Financial Services, Department of	218,385	216,381	210,244	210,244	210,244
Olympic Regional Development Authority	9,688	11,676	11,676	11,676	11,676
Public Service Department	52,939	54,721	52,701	51,035	51,035
Functional Total	364,120	367,058	372,972	371,106	371,106
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	5,368	5,185	5,169	5,035
Environmental Conservation, Department of	257,274	286,104	280,094	274,666	274,251
Parks, Recreation and Historic Preservation, Office of	168,989	177,208	173,838	170,953	170,953
Functional Total	430,706	468,680	459,117	450,788	450,239
TRANSPORTATION					
Motor Vehicles, Department of	65,804	70,084	69,765	69,765	69,765
Transportation, Department of	362,661	366,605	364,856	364,856	364,856
Functional Total	428,465	436,689	434,621	434,621	434,621
HEALTH					
Aging, Office for the	7,730	12,421	12,378	12,378	12,378
Health, Department of	1,917,535	1,500,589	1,539,087	1,542,200	1,557,895
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	538,264	681,306	730,098	736,396	751,388
<i>Public Health</i>	1,305,301	741,194	733,458	731,801	732,485
Medicaid Inspector General, Office of the	36,350	37,812	36,591	36,591	36,591
Functional Total	1,961,615	1,550,822	1,588,056	1,591,169	1,606,864
SOCIAL WELFARE					
Children and Family Services, Office of	227,047	479,716	480,636	480,636	487,323
<i>OCFS</i>	227,047	479,716	480,636	480,636	487,323
Housing and Community Renewal, Division of	60,366	29,600	29,256	29,256	29,256
Human Rights, Division of	15,432	15,257	14,990	14,990	14,990
Labor, Department of	303,165	299,343	304,943	304,943	304,943
National and Community Service	11,622	16,312	16,631	16,631	16,956
Temporary and Disability Assistance, Office of	306,169	281,694	287,410	287,410	287,410
<i>All Other</i>	306,169	281,694	287,410	287,410	287,410
Functional Total	923,801	1,121,922	1,133,866	1,133,866	1,140,878
MENTAL HYGIENE					
Addiction Services and Supports, Office of	93,155	101,343	98,475	99,446	100,932
<i>OASAS</i>	41,201	53,793	50,250	50,851	51,670
<i>OASAS - Other</i>	51,954	47,550	48,225	48,595	49,262
Developmental Disabilities Planning Council	3,954	3,415	3,415	3,415	3,415
Justice Center	44,040	44,353	43,653	44,229	44,831
Mental Health, Office of	1,396,784	1,394,287	1,429,346	1,457,129	1,484,005
<i>OMH</i>	359,817	366,149	375,322	384,141	389,899
<i>OMH - Other</i>	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,357,540	1,340,536	1,354,722	1,371,643	1,388,852
<i>OPWDD</i>	564	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,895,473	2,883,934	2,929,611	2,975,862	3,022,035
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,875,291	2,721,218	2,725,482	2,765,251	2,762,251
<i>DOCCS</i>	2,875,291	2,721,218	2,725,482	2,765,251	2,762,251
Criminal Justice Services, Division of	43,776	47,268	47,089	47,919	48,863
Homeland Security and Emergency Services, Division of	65,482	80,171	82,598	83,438	84,298
Indigent Legal Services, Office of	3,117	4,297	4,380	4,468	4,543
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	63,191	63,488	64,143	65,316	66,583
State Police, Division of	799,851	835,833	834,301	854,270	854,270
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	8,427	8,668	8,630	8,630	6,658
Functional Total	3,899,555	3,801,211	3,807,199	3,870,589	3,868,763
HIGHER EDUCATION					
City University of New York	103,323	11,127	11,127	11,127	11,127
Higher Education - Miscellaneous	428	291	291	291	291
Higher Education Services Corporation, New York State	33,008	44,907	44,907	44,907	44,907
State University of New York	6,672,692	6,838,435	6,925,563	7,123,685	7,284,746
Functional Total	6,809,451	6,894,760	6,981,888	7,180,010	7,341,071
EDUCATION					
Arts, Council on the	4,251	4,516	4,420	4,420	4,420
Education, Department of	306,464	310,550	302,144	302,101	302,101
<i>School Aid</i>	0	5,185	0	0	0
<i>All Other</i>	306,464	305,365	302,144	302,101	302,101
Functional Total	310,715	315,066	306,564	306,521	306,521
GENERAL GOVERNMENT					
Budget, Division of the	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,294	14,604	14,649	14,666	14,666
Deferred Compensation Board	473	649	648	648	648
Elections, State Board of	17,541	15,063	13,334	10,732	10,732
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	50,264	67,985	66,458	66,458	66,458
General Services, Office of	124,474	93,861	95,471	97,249	98,749
Information Technology Services, Office of	541,476	546,267	552,683	563,841	563,841
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,696	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	47,053	51,422	50,310	50,310	50,310
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	316,168	357,916	346,659	345,498	346,030
Veterans' Services, Division of	6,935	7,979	7,945	7,945	8,033
Welfare Inspector General, Office of	640	731	753	768	768
Workers' Compensation Board	139,495	148,002	143,219	143,219	143,219
Functional Total	1,343,979	1,394,985	1,382,418	1,392,643	1,395,540
ELECTED OFFICIALS					
Audit and Control, Department of	152,149	164,001	161,292	161,292	161,292
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	2,144,873	2,246,900	2,189,900	2,190,200	2,190,200
Law, Department of	210,980	221,937	216,486	216,486	216,486
Legislature	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	2,750,484	2,896,560	2,837,819	2,838,172	2,838,172
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	2	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	36,271	43,657	43,072	43,072	43,072
Miscellaneous	49,007	(952,804)	(1,022,805)	(1,272,805)	(1,272,805)
Functional Total	85,278	(909,147)	(979,733)	(1,229,733)	(1,229,733)
TOTAL STATE OPERATIONS SPENDING	22,203,644	21,222,540	21,254,398	21,315,614	21,546,077

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	35,313	37,563	36,952	36,952	36,952
Alcoholic Beverage Control, Division of	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	12,934	12,929	12,929	12,929	12,929
Financial Services, Department of	157,002	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,500	5,338	5,338	5,338	5,338
Public Service Department	44,224	47,227	45,752	45,779	45,779
Functional Total	263,061	271,109	263,180	263,207	263,207
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	204,013	229,579	222,804	217,328	217,328
Parks, Recreation and Historic Preservation, Office of	129,473	142,035	138,665	135,780	135,780
Functional Total	337,555	376,142	365,939	357,578	357,478
TRANSPORTATION					
Motor Vehicles, Department of	47,963	49,186	49,476	49,476	49,476
Transportation, Department of	169,270	179,509	172,880	172,880	172,880
Functional Total	217,233	228,695	222,356	222,356	222,356
HEALTH					
Aging, Office for the	7,057	7,967	7,924	7,924	7,924
Health, Department of	361,616	389,609	392,964	398,987	403,848
<i>Essential Plan</i>	3,326	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	68,849	78,372	87,484	93,397	98,192
<i>Public Health</i>	289,441	306,545	300,859	300,956	301,099
Medicaid Inspector General, Office of the	31,186	32,470	31,249	31,249	31,249
Functional Total	399,859	430,046	432,137	438,160	443,021
SOCIAL WELFARE					
Children and Family Services, Office of	113,208	296,269	293,800	293,800	296,779
<i>OCFS</i>	113,208	296,269	293,800	293,800	296,779
Housing and Community Renewal, Division of	45,387	25,830	25,348	25,348	25,348
Human Rights, Division of	12,576	13,475	13,173	13,173	13,173
Labor, Department of	208,166	206,414	208,606	208,606	208,606
National and Community Service	679	730	738	738	745
Temporary and Disability Assistance, Office of	160,695	154,335	157,441	157,441	157,441
<i>All Other</i>	160,695	154,335	157,441	157,441	157,441
Functional Total	540,711	697,053	699,106	699,106	702,092
MENTAL HYGIENE					
Addiction Services and Supports, Office of	63,463	70,396	67,986	68,643	69,298
<i>OASAS</i>	26,214	35,062	32,397	32,729	33,055
<i>OASAS - Other</i>	37,249	35,334	35,589	35,914	36,243
Developmental Disabilities Planning Council	1,133	1,266	1,266	1,266	1,266
Justice Center	34,257	34,878	33,949	34,277	34,627
Mental Health, Office of	1,118,330	1,129,261	1,142,389	1,156,954	1,170,199
<i>OMH</i>	287,113	322,300	330,329	337,668	341,526
<i>OMH - Other</i>	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,161,562	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD</i>	233	0	0	0	0
<i>OPWDD - Other</i>	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,378,745	2,389,124	2,408,437	2,435,996	2,462,375
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,382,638	2,223,709	2,234,012	2,267,435	2,267,435
<i>DOCCS</i>	2,382,638	2,223,709	2,234,012	2,267,435	2,267,435
Criminal Justice Services, Division of	32,304	33,675	33,231	33,745	34,410
Homeland Security and Emergency Services, Division of	37,428	45,314	47,215	47,774	48,433
Indigent Legal Services, Office of	2,620	3,455	3,524	3,595	3,667
Judicial Conduct, Commission on	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	37,115	37,478	37,554	38,272	39,005

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Police, Division of	721,655	762,285	758,281	777,146	777,146
Statewide Financial System	11,650	12,537	12,333	12,568	12,568
Victim Services, Office of	6,764	6,323	6,272	6,272	5,015
Functional Total	3,239,029	3,132,185	3,139,723	3,194,198	3,195,070
HIGHER EDUCATION					
City University of New York	48,676	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	10,530	13,752	13,752	13,752	13,752
State University of New York	4,088,768	4,411,149	4,460,880	4,610,210	4,727,342
Functional Total	4,148,257	4,428,592	4,478,323	4,627,653	4,744,785
EDUCATION					
Arts, Council on the	2,681	2,594	2,498	2,498	2,498
Education, Department of	176,964	184,503	178,463	178,463	178,463
<i>School Aid</i>	0	2,685	0	0	0
<i>All Other</i>	176,964	181,818	178,463	178,463	178,463
Functional Total	179,645	187,097	180,961	180,961	180,961
GENERAL GOVERNMENT					
Budget, Division of the	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,287	14,592	14,207	14,215	14,215
Deferred Compensation Board	441	414	413	413	413
Elections, State Board of	6,636	7,051	6,958	6,796	6,796
Employee Relations, Office of	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	34,014	40,178	38,687	38,687	38,687
General Services, Office of	41,123	40,497	39,680	40,456	41,248
Information Technology Services, Office of	296,582	301,996	308,111	314,349	314,349
Inspector General, Office of the	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,486	4,867	4,827	4,924	4,924
State, Department of	31,618	34,104	33,016	33,016	33,016
Tax Appeals, Division of	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	265,994	282,555	272,834	272,386	272,493
Veterans' Services, Division of	6,088	7,004	6,950	6,950	7,019
Welfare Inspector General, Office of	630	654	646	659	659
Workers' Compensation Board	84,865	89,608	84,892	84,892	84,892
Functional Total	838,415	876,109	862,155	868,814	869,782
ELECTED OFFICIALS					
Audit and Control, Department of	121,837	130,597	127,888	127,888	127,888
Executive Chamber	10,876	11,567	11,113	11,113	11,113
Judiciary	1,715,180	1,809,100	1,752,100	1,752,400	1,752,400
Law, Department of	156,208	160,514	155,062	155,062	155,062
Legislature	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	431	543	523	523	523
Functional Total	2,181,897	2,304,904	2,245,052	2,245,405	2,245,405
ALL OTHER CATEGORIES					
Miscellaneous	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Functional Total	2,088	(532,595)	(547,595)	(647,595)	(647,595)
TOTAL PERSONAL SERVICE SPENDING	14,726,495	14,788,461	14,749,774	14,885,839	15,038,937

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	19,428	17,621	32,619	32,419	32,419
Alcoholic Beverage Control, Division of	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	4,822	4,607	4,607	4,607	4,607
Financial Services, Department of	61,383	56,645	56,351	56,351	56,351
Olympic Regional Development Authority	4,188	6,338	6,338	6,338	6,338
Public Service Department	8,715	7,494	6,949	5,256	5,256
Functional Total	101,059	95,949	109,792	107,899	107,899
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	374	840	715	699	665
Environmental Conservation, Department of	53,261	56,525	57,290	57,338	56,923
Parks, Recreation and Historic Preservation, Office of	39,516	35,173	35,173	35,173	35,173
Functional Total	93,151	92,538	93,178	93,210	92,761
TRANSPORTATION					
Motor Vehicles, Department of	17,841	20,898	20,289	20,289	20,289
Transportation, Department of	193,391	187,096	191,976	191,976	191,976
Functional Total	211,232	207,994	212,265	212,265	212,265
HEALTH					
Aging, Office for the	673	4,454	4,454	4,454	4,454
Health, Department of	1,555,919	1,110,980	1,146,123	1,143,213	1,154,047
<i>Essential Plan</i>	70,644	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	469,415	602,934	642,614	642,999	653,196
<i>Public Health</i>	1,015,860	434,649	432,599	430,845	431,386
Medicaid Inspector General, Office of the	5,164	5,342	5,342	5,342	5,342
Functional Total	1,561,756	1,120,776	1,155,919	1,153,009	1,163,843
SOCIAL WELFARE					
Children and Family Services, Office of	113,839	183,447	186,836	186,836	190,544
<i>OCFS</i>	113,839	183,447	186,836	186,836	190,544
Housing and Community Renewal, Division of	14,979	3,770	3,908	3,908	3,908
Human Rights, Division of	2,856	1,782	1,817	1,817	1,817
Labor, Department of	94,999	92,929	96,337	96,337	96,337
National and Community Service	10,943	15,582	15,893	15,893	16,211
Temporary and Disability Assistance, Office of	145,474	127,359	129,969	129,969	129,969
<i>All Other</i>	145,474	127,359	129,969	129,969	129,969
Functional Total	383,090	424,869	434,760	434,760	438,786
MENTAL HYGIENE					
Addiction Services and Supports, Office of	29,692	30,947	30,489	30,803	31,634
<i>OASAS</i>	14,987	18,731	17,853	18,122	18,615
<i>OASAS - Other</i>	14,705	12,216	12,636	12,681	13,019
Developmental Disabilities Planning Council	2,821	2,149	2,149	2,149	2,149
Justice Center	9,783	9,475	9,704	9,952	10,204
Mental Health, Office of	278,454	265,026	286,957	300,175	313,806
<i>OMH</i>	72,704	43,849	44,993	46,473	48,373
<i>OMH - Other</i>	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	195,978	187,213	191,875	196,787	201,867
<i>OPWDD</i>	331	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	195,647	186,010	190,672	195,584	200,664
Functional Total	516,728	494,810	521,174	539,866	559,660
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	497	242	247	247	247
Corrections and Community Supervision, Department of	492,653	497,509	491,470	497,816	494,816
<i>DOCCS</i>	492,653	497,509	491,470	497,816	494,816
Criminal Justice Services, Division of	11,472	13,593	13,858	14,174	14,453
Homeland Security and Emergency Services, Division of	28,054	34,857	35,383	35,664	35,865
Indigent Legal Services, Office of	497	842	856	873	876
Judicial Conduct, Commission on	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	26,076	26,010	26,589	27,044	27,578
State Police, Division of	78,196	73,548	76,020	77,124	77,124
Statewide Financial System	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	1,663	2,345	2,358	2,358	1,643
Functional Total	660,526	669,026	667,476	676,391	673,693
HIGHER EDUCATION					
City University of New York	54,647	7,634	7,634	7,634	7,634
Higher Education - Miscellaneous	145	93	93	93	93
Higher Education Services Corporation, New York State	22,478	31,155	31,155	31,155	31,155
State University of New York	2,583,924	2,427,286	2,464,683	2,513,475	2,557,404
Functional Total	2,661,194	2,466,168	2,503,565	2,552,357	2,596,286
EDUCATION					
Arts, Council on the	1,570	1,922	1,922	1,922	1,922
Education, Department of	129,500	126,047	123,681	123,638	123,638
<i>School Aid</i>	0	2,500	0	0	0
<i>All Other</i>	129,500	123,547	123,681	123,638	123,638
Functional Total	131,070	127,969	125,603	125,560	125,560
GENERAL GOVERNMENT					
Budget, Division of the	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	7	12	442	451	451
Deferred Compensation Board	32	235	235	235	235
Elections, State Board of	10,905	8,012	6,376	3,936	3,936
Employee Relations, Office of	91	196	196	197	197
Gaming Commission, New York State	16,250	27,807	27,771	27,771	27,771
General Services, Office of	83,351	53,364	55,791	56,793	57,501
Information Technology Services, Office of	244,894	244,271	244,572	249,492	249,492
Inspector General, Office of the	958	856	1,204	1,216	1,216
Labor Management Committees	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	146	212	216	216	216
Public Employment Relations Board	187	296	296	296	296
Public Ethics, Joint Commission on	731	968	1,183	1,195	1,195
State, Department of	15,435	17,318	17,294	17,294	17,294
Tax Appeals, Division of	231	214	170	170	170
Taxation and Finance, Department of	50,174	75,361	73,825	73,112	73,537
Veterans' Services, Division of	847	975	995	995	1,014
Welfare Inspector General, Office of	10	77	107	109	109
Workers' Compensation Board	54,630	58,394	58,327	58,327	58,327
Functional Total	505,564	518,876	520,263	523,829	525,758
ELECTED OFFICIALS					
Audit and Control, Department of	30,312	33,404	33,404	33,404	33,404
Executive Chamber	2,363	2,465	2,465	2,465	2,465
Judiciary	429,693	437,800	437,800	437,800	437,800
Law, Department of	54,772	61,423	61,424	61,424	61,424
Legislature	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	87	91	91	91	91
Functional Total	568,587	591,656	592,767	592,767	592,767
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	2	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	36,271	43,657	43,072	43,072	43,072
Miscellaneous	46,919	(420,209)	(475,210)	(625,210)	(625,210)
Functional Total	83,190	(376,552)	(432,138)	(582,138)	(582,138)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	7,477,149	6,434,079	6,504,624	6,429,775	6,507,140

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,115	4,285	4,431	4,512	4,512
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	98,264	114,477	114,251	114,251	114,251
Public Service Department	27,949	31,946	31,538	32,080	32,080
Functional Total	130,328	150,736	150,248	150,871	150,871
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	62,695	68,153	65,851	66,566	57,935
Parks, Recreation and Historic Preservation, Office of	4,697	2,031	1,965	1,965	1,965
Functional Total	67,392	70,184	67,816	68,531	59,900
TRANSPORTATION					
Motor Vehicles, Department of	23,949	26,769	27,421	27,421	27,421
Transportation, Department of	6,788	9,053	8,946	8,946	8,946
Functional Total	30,737	35,822	36,367	36,367	36,367
HEALTH					
Aging, Office for the	63	0	0	0	0
Health, Department of	69,749	89,881	93,394	92,974	93,374
<i>Medicaid Administration</i>	3,860	5,860	8,028	8,717	9,018
<i>Public Health</i>	65,889	84,021	85,366	84,257	84,356
Medicaid Inspector General, Office of the	9,437	10,301	10,301	10,301	10,301
Functional Total	79,249	100,182	103,695	103,275	103,675
SOCIAL WELFARE					
Children and Family Services, Office of	14,422	22,031	22,011	22,011	22,011
<i>OCFS</i>	14,422	22,031	22,011	22,011	22,011
Housing and Community Renewal, Division of	22,471	6,526	9,345	9,345	9,345
Labor, Department of	126,956	116,680	140,222	140,222	140,222
National and Community Service	0	242	242	242	244
Temporary and Disability Assistance, Office of	58,211	48,540	49,564	50,604	50,604
<i>All Other</i>	58,211	48,540	49,564	50,604	50,604
Functional Total	222,060	194,019	221,384	222,424	222,426
MENTAL HYGIENE					
Developmental Disabilities Planning Council	682	785	785	785	785
Justice Center	589	972	960	1,018	1,050
Mental Health, Office of	617	469	469	469	469
<i>OMH</i>	617	469	469	469	469
People with Developmental Disabilities, Office for	142	0	0	0	0
<i>OPWDD</i>	142	0	0	0	0
Functional Total	2,030	2,226	2,214	2,272	2,304
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	1,097	1,147	1,147	1,147	1,147
<i>DOCCS</i>	1,097	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	259	348	348	355	362
Homeland Security and Emergency Services, Division of	4,605	7,835	7,905	7,905	7,905
Indigent Legal Services, Office of	1,645	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	8,307	7,440	7,441	7,441	7,441
State Police, Division of	28,244	30,453	30,823	31,023	31,023
Victim Services, Office of	2,087	1,650	1,683	1,683	1,683
Functional Total	46,244	51,039	51,598	51,906	52,019
HIGHER EDUCATION					
City University of New York	204	0	0	0	0
Higher Education - Miscellaneous	181	150	150	150	150
Higher Education Services Corporation, New York State	6,615	9,021	9,021	9,021	9,021
State University of New York	441,795	492,103	589,409	616,739	609,955
Functional Total	448,795	501,274	598,580	625,910	619,126

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION					
Education, Department of	90,647	97,458	96,581	97,269	97,269
<i>All Other</i>	90,647	97,458	96,581	97,269	97,269
Functional Total	<u>90,647</u>	<u>97,458</u>	<u>96,581</u>	<u>97,269</u>	<u>97,269</u>
GENERAL GOVERNMENT					
Budget, Division of the	956	1,717	1,657	1,657	1,657
Civil Service, Department of	118	244	241	245	245
Deferred Compensation Board	260	247	252	256	256
Elections, State Board of	364	300	300	300	300
Gaming Commission, New York State	17,063	18,465	17,575	17,575	17,575
General Services, Office of	4,973	583	575	585	585
Labor Management Committees	6,386	5,000	5,000	5,000	5,000
State, Department of	11,380	17,599	17,878	17,895	17,895
Taxation and Finance, Department of	32,829	22,567	21,597	21,318	21,386
Veterans' Services, Division of	330	514	536	547	552
Workers' Compensation Board	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>128,539</u>	<u>125,099</u>	<u>118,831</u>	<u>118,598</u>	<u>118,671</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,568	2,197	2,197	2,197	2,197
Judiciary	820,996	781,084	882,798	882,797	848,893
Law, Department of	29,069	35,477	33,260	33,260	33,260
Functional Total	<u>851,633</u>	<u>818,758</u>	<u>918,255</u>	<u>918,254</u>	<u>884,350</u>
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Miscellaneous	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>6,659,137</u>	<u>6,499,013</u>	<u>8,161,944</u>	<u>8,707,067</u>	<u>8,871,721</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,756,791</u></u>	<u><u>8,645,810</u></u>	<u><u>10,527,513</u></u>	<u><u>11,102,744</u></u>	<u><u>11,218,699</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	11,426	20,165	10,330	5,915	5,765
Economic Development, Department of	333	0	4,400	3,400	3,800
Empire State Development Corporation	23,143	912,614	689,621	709,345	370,323
Energy Research and Development Authority, New York State	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	501	30,500	9,500	500	500
Regional Economic Development Program	0	3,000	4,500	447	0
Strategic Investment Program	0	0	2,000	2,000	2,000
Functional Total	136,484	1,148,848	822,095	762,040	410,594
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	1,000	0	0	0
Environmental Conservation, Department of	465,496	745,701	808,814	903,900	983,912
Hudson River Park Trust	2,000	18,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	181,549	188,739	174,189	173,739	173,739
Functional Total	649,045	953,440	998,003	1,089,639	1,169,651
TRANSPORTATION					
Motor Vehicles, Department of	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	3,189,998	4,150,602	4,656,750	4,343,039	4,370,119
Functional Total	3,448,275	4,433,815	4,902,994	4,573,811	4,600,987
HEALTH					
Health, Department of	75,461	114,897	115,181	115,181	115,181
<i>Public Health</i>	75,461	114,897	115,181	115,181	115,181
Functional Total	75,461	114,897	115,181	115,181	115,181
SOCIAL WELFARE					
Children and Family Services, Office of	22,476	25,804	23,660	23,673	23,673
<i>OCFS</i>	22,476	25,804	23,660	23,673	23,673
Housing and Community Renewal, Division of	0	3,000	3,000	3,000	3,000
Temporary and Disability Assistance, Office of	813	1,600	800	800	800
<i>All Other</i>	813	1,600	800	800	800
Functional Total	23,289	30,404	27,460	27,473	27,473
MENTAL HYGIENE					
Addiction Services and Supports, Office of	1,599	15,765	13,424	15,481	15,541
<i>OASAS</i>	1,599	15,765	13,424	15,481	15,541
Mental Health, Office of	239,694	331,713	311,485	298,684	294,923
<i>OMH</i>	239,694	331,713	311,485	298,684	294,923
People with Developmental Disabilities, Office for	96,600	131,706	121,428	128,195	117,989
<i>OPWDD</i>	96,600	131,706	121,428	128,195	117,989
Functional Total	337,893	479,184	446,337	442,360	428,453
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	412,424	340,646	337,685	316,975	321,140
<i>DOCCS</i>	412,424	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	14,508	17,250	16,653	10,367	1,500
Military and Naval Affairs, Division of	125,394	97,719	97,102	96,982	62,557
State Police, Division of	49,194	55,853	54,688	47,538	50,968
Functional Total	601,520	536,468	506,128	471,862	436,165
HIGHER EDUCATION					
City University of New York	36,412	37,352	47,352	57,352	67,352
State University of New York	976,879	993,175	1,169,149	1,207,126	1,126,793
Functional Total	1,013,291	1,030,527	1,216,501	1,264,478	1,194,145
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Education, Department of	11,225	90,840	89,145	47,565	23,715
<i>All Other</i>	11,225	90,840	89,145	47,565	23,715
Functional Total	<u>11,225</u>	<u>90,840</u>	<u>89,145</u>	<u>47,565</u>	<u>23,715</u>
GENERAL GOVERNMENT					
Elections, State Board of	2,587	5,800	6,200	3,200	800
General Services, Office of	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	81,010	163,329	83,939	62,145	43,474
State, Department of	2,711	2,000	2,000	2,000	2,000
Workers' Compensation Board	4,907	22,440	33,764	6,755	0
Functional Total	<u>267,121</u>	<u>515,052</u>	<u>343,865</u>	<u>225,729</u>	<u>197,735</u>
ELECTED OFFICIALS					
Audit and Control, Department of	3,430	2,806	5,365	4,727	0
Judiciary	21,295	25,700	12,283	0	0
Law, Department of	4,344	5,735	2,000	0	0
Functional Total	<u>29,069</u>	<u>34,241</u>	<u>19,648</u>	<u>4,727</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	93	0	0	0	0
Miscellaneous	64,669	(230,339)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	327,921	615,174	359,027	212,684	110,565
Functional Total	<u>392,683</u>	<u>384,835</u>	<u>69,120</u>	<u>21,589</u>	<u>(105,530)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>6,985,356</u></u>	<u><u>9,752,551</u></u>	<u><u>9,556,477</u></u>	<u><u>9,046,454</u></u>	<u><u>8,498,569</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	87,956	85,109	93,188	88,608	88,458
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development Capital	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	58,859	80,045	77,543	76,543	75,843
Empire State Development Corporation	1,030,225	1,999,431	2,037,959	1,812,962	1,460,467
Energy Research and Development Authority, New York State	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	371,795	391,039	382,991	382,991	382,991
Lake Ontario Resiliency/Economic Development	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	97,106	142,676	55,176	21,676	21,676
Power Authority, New York	10,315	30,500	9,500	500	500
Public Service Department	79,617	84,783	82,239	81,099	81,099
Regional Economic Development Program	1,902	3,000	4,500	447	0
Strategic Investment Program	1,056	2,500	2,000	2,000	2,000
Functional Total	<u>1,767,898</u>	<u>2,888,612</u>	<u>2,822,584</u>	<u>2,516,503</u>	<u>2,150,484</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	6,018	4,835	4,819	4,685
Environmental Conservation, Department of	975,293	1,311,767	1,384,529	1,478,946	1,549,912
Hudson River Park Trust	2,000	18,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	346,230	361,190	343,204	339,869	339,869
Functional Total	<u>1,327,966</u>	<u>1,696,975</u>	<u>1,747,568</u>	<u>1,835,634</u>	<u>1,906,466</u>
TRANSPORTATION					
Metropolitan Transportation Authority	544,486	485,856	0	0	0
Motor Vehicles, Department of	341,156	369,742	333,106	317,634	317,730
Transportation, Department of	6,662,842	8,048,311	8,538,826	8,410,702	8,636,589
Functional Total	<u>7,548,484</u>	<u>8,903,909</u>	<u>8,871,932</u>	<u>8,728,336</u>	<u>8,954,319</u>
HEALTH					
Aging, Office for the	137,607	143,311	148,323	153,505	158,817
Health, Department of	25,196,190	24,757,138	27,277,878	28,309,589	29,208,805
<i>Medical Assistance</i>	21,224,760	20,991,826	23,429,774	24,496,705	25,494,576
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	738,152	723,185	731,188	716,550	710,411
<i>Public Health</i>	3,159,308	2,964,038	3,041,385	3,022,331	2,929,796
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
Functional Total	<u>25,351,780</u>	<u>24,919,128</u>	<u>27,444,273</u>	<u>28,481,166</u>	<u>29,385,694</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,365,449	2,241,406	1,954,961	1,955,557	1,960,556
<i>OCFS</i>	1,328,234	2,170,548	1,879,939	1,880,535	1,885,534
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	452,681	771,553	740,331	780,331	530,331
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
Labor, Department of	93,925	72,143	76,435	76,435	76,435
National and Community Service	655	781	781	781	784
Nonprofit Infrastructure Capital Investment Program	19,641	18,000	15,000	15,000	14,298
Temporary and Disability Assistance, Office of	1,368,487	1,658,437	1,559,238	1,651,038	1,687,838
<i>Welfare Assistance</i>	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	303,162	336,725	341,926	433,726	470,526
Functional Total	<u>3,311,886</u>	<u>4,772,910</u>	<u>4,356,976</u>	<u>4,489,372</u>	<u>4,280,472</u>
MENTAL HYGIENE					
Addiction Services and Supports, Office of	482,508	572,914	577,758	586,699	598,937
<i>OASAS</i>	409,229	504,039	508,208	516,779	528,350
<i>OASAS - Other</i>	73,279	68,875	69,550	69,920	70,587
Justice Center	43,601	45,146	44,419	45,004	45,620
Mental Health, Office of	2,988,661	3,143,346	3,321,017	3,400,247	3,496,062
<i>OMH</i>	1,663,187	1,926,149	1,952,999	2,004,964	2,074,634
<i>OMH - Other</i>	1,325,474	1,217,197	1,368,018	1,395,283	1,421,428

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Mental Hygiene, Department of	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	3,200,744	1,973,602	2,724,018	3,297,837	3,848,760
<i>OPWDD</i>	510,378	488,631	483,834	501,463	525,479
<i>OPWDD - Other</i>	2,690,366	1,484,971	2,240,184	2,796,374	3,323,281
Functional Total	6,715,514	5,735,008	6,667,212	7,329,787	7,966,785
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	3,291,308	3,051,862	3,051,165	3,070,224	3,071,389
<i>DOCCS</i>	3,291,308	3,049,862	3,051,165	3,070,224	3,071,389
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	210,316	265,509	240,330	201,075	201,841
Homeland Security and Emergency Services, Division of	150,977	169,398	190,995	192,635	186,130
Indigent Legal Services, Office of	90,265	166,463	226,631	276,820	290,763
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	116,214	91,042	60,164	59,985	54,060
State Police, Division of	849,453	895,374	892,509	905,011	908,441
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	30,913	33,462	34,111	34,111	34,111
Functional Total	4,779,866	4,713,378	4,736,481	4,781,158	4,788,032
HIGHER EDUCATION					
City University of New York	1,071,778	2,285,987	1,676,219	1,718,612	1,758,369
Higher Education - Miscellaneous	609	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	986,171	861,253	885,392	889,797	890,035
State University of New York	8,221,784	8,434,486	8,796,618	9,059,047	9,132,991
Functional Total	10,286,011	11,597,917	11,371,320	11,682,047	11,795,986
EDUCATION					
Arts, Council on the	48,264	45,349	45,253	45,253	45,253
Education, Department of	32,229,269	32,018,326	32,527,416	33,476,103	34,220,064
<i>School Aid</i>	27,351,218	27,166,456	27,713,108	28,737,791	29,545,418
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,225,981	1,281,533	1,266,785	1,244,876	1,220,702
Functional Total	32,277,533	32,063,675	32,572,669	33,521,356	34,265,317
GENERAL GOVERNMENT					
Budget, Division of the	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	15,490	15,148	15,190	15,211	15,211
Deferred Compensation Board	733	896	900	904	904
Elections, State Board of	13,137	26,583	17,754	12,628	10,228
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	165,136	269,620	195,383	197,783	197,433
General Services, Office of	293,763	407,895	305,976	241,270	242,602
Information Technology Services, Office of	621,205	702,075	597,379	587,539	576,786
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,984	3,257	3,195	3,195	3,195
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	73,656	84,723	108,889	140,906	116,587
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	352,151	382,754	370,527	369,087	369,687
Veterans' Services, Division of	14,442	14,322	14,257	14,257	14,324
Welfare Inspector General, Office of	640	731	753	768	768
Workers' Compensation Board	198,282	228,305	230,203	203,194	196,439
Functional Total	1,841,431	2,231,660	1,955,569	1,882,925	1,841,124
ELECTED OFFICIALS					
Audit and Control, Department of	189,172	201,028	200,878	200,240	195,513
Executive Chamber	13,239	14,032	13,578	13,578	13,578

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judiciary	3,144,679	3,204,984	3,251,281	3,239,297	3,205,393
Law, Department of	206,179	217,970	208,834	206,834	206,834
Legislature	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	3,782,512	3,887,704	3,931,134	3,916,565	3,877,934
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	714,322	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	3,456	6,500	6,500	0	0
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Long-Term Debt Service	4,952,363	10,381,297	6,981,939	7,400,398	7,615,326
Miscellaneous	187,727	(9,288,578)	(9,494,295)	(9,780,626)	(9,780,625)
Special Infrastructure Account	789,127	625,174	359,027	232,684	116,255
Functional Total	12,587,760	8,217,617	6,009,326	6,553,726	6,816,880
TOTAL STATE FUNDS SPENDING	112,292,963	112,367,512	113,284,089	116,515,620	118,826,538

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	35,047	20,821	24,247	24,247	24,247
Economic Development Capital	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	41,486	62,726	55,824	55,824	54,724
Empire State Development Corporation	1,007,082	1,086,817	1,348,338	1,103,617	1,090,144
Financial Services, Department of	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,268	0	0	0	0
Power Authority, New York	9,814	0	0	0	0
Public Service Department	1,341	160	60	60	60
Regional Economic Development Program	1,902	0	0	0	0
Strategic Investment Program	1,056	2,500	0	0	0
Functional Total	1,157,667	1,241,005	1,496,365	1,251,644	1,237,071
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	248,269	288,244	306,586	310,983	310,983
Parks, Recreation and Historic Preservation, Office of	6,033	3,750	3,750	3,750	3,750
Functional Total	254,302	291,994	310,336	314,733	314,733
TRANSPORTATION					
Metropolitan Transportation Authority	544,486	485,856	0	0	0
Transportation, Department of	4,142,100	4,544,243	4,529,896	4,715,483	4,914,290
Functional Total	4,686,586	5,030,099	4,529,896	4,715,483	4,914,290
HEALTH					
Aging, Office for the	135,561	141,306	146,361	151,543	156,855
Health, Department of	23,878,703	23,823,342	26,328,522	27,362,105	28,253,875
<i>Medical Assistance</i>	21,224,760	20,991,826	23,429,774	24,496,705	25,494,576
<i>Medicaid Administration</i>	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	2,123,519	2,365,014	2,446,451	2,426,787	2,333,868
Functional Total	24,014,264	23,964,648	26,474,883	27,513,648	28,410,730
SOCIAL WELFARE					
Children and Family Services, Office of	1,193,961	1,833,597	1,550,334	1,550,917	1,550,917
<i>OCFS</i>	1,156,746	1,762,739	1,475,312	1,475,895	1,475,895
<i>OCFS - Other</i>	37,215	70,858	75,022	75,022	75,022
Housing and Community Renewal, Division of	380,836	750,712	718,812	758,812	508,812
Labor, Department of	25,517	2,400	5,150	5,150	5,150
National and Community Service	349	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	19,641	18,000	15,000	15,000	14,298
Temporary and Disability Assistance, Office of	1,223,646	1,525,284	1,424,165	1,515,965	1,552,765
<i>Welfare Assistance</i>	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	158,321	203,572	206,853	298,653	335,453
Functional Total	2,843,950	4,130,425	3,713,893	3,846,276	3,632,374
MENTAL HYGIENE					
Addiction Services and Supports, Office of	391,690	466,263	473,129	479,155	489,965
<i>OASAS</i>	370,365	444,938	451,804	457,830	468,640
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	649	649	649	649	649
Mental Health, Office of	1,354,555	1,418,714	1,581,554	1,645,802	1,718,502
<i>OMH</i>	1,066,048	1,229,655	1,267,560	1,323,507	1,391,180
<i>OMH - Other</i>	288,507	189,059	313,994	322,295	327,322
People with Developmental Disabilities, Office for	1,747,091	502,360	1,248,868	1,798,999	2,342,919
<i>OPWDD</i>	413,701	356,722	362,203	373,065	407,287
<i>OPWDD - Other</i>	1,333,390	145,638	886,665	1,425,934	1,935,632
Functional Total	3,493,985	2,387,986	3,304,200	3,924,605	4,552,035
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	2,000	0	0	0
Criminal Justice Services, Division of	174,934	202,171	202,171	162,171	162,171
Homeland Security and Emergency Services, Division of	94,846	111,142	130,839	137,925	139,427

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Indigent Legal Services, Office of	85,503	160,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	820	886	904	923
State Police, Division of	15	0	0	0	0
Victim Services, Office of	25,496	27,744	28,398	28,398	28,398
Functional Total	388,015	508,713	587,130	604,234	619,517
HIGHER EDUCATION					
City University of New York	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Facilities Capital Matching Grants Program	5,669	15,750	12,650	14,150	14,150
Higher Education Services Corporation, New York State	950,474	813,959	838,098	842,503	842,741
State University of New York	478,969	459,460	461,184	460,184	460,184
Functional Total	2,368,578	3,534,311	2,937,306	2,974,604	3,004,599
EDUCATION					
Arts, Council on the	44,013	40,933	40,933	40,933	40,933
Education, Department of	32,039,875	31,733,781	32,248,664	33,238,286	34,006,097
<i>School Aid</i>	27,351,218	27,166,456	27,713,108	28,737,791	29,545,418
<i>School Aid – Other</i>	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,036,587	996,988	988,033	1,007,059	1,006,735
Functional Total	32,083,888	31,774,714	32,289,597	33,279,219	34,047,030
GENERAL GOVERNMENT					
Civil Service, Department of	78	300	300	300	300
Elections, State Board of	1,641	10,200	1,500	0	0
Gaming Commission, New York State	97,809	183,170	111,350	113,750	113,400
Prevention of Domestic Violence, Office for	1,288	1,385	1,412	1,412	1,412
State, Department of	18,841	24,317	49,317	81,317	56,998
Taxation and Finance, Department of	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	8,235	7,840	7,840	7,840	7,840
Functional Total	131,194	230,703	175,210	208,110	183,441
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	166,113	164,500	179,500	179,500	179,500
Functional Total	198,138	196,524	211,524	211,524	211,524
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	11,166	35,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	714,320	739,019	797,045	797,045	797,045
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	3,363	6,500	6,500	0	0
Miscellaneous	70,664	(8,111,223)	(8,187,372)	(8,322,523)	(8,297,522)
Special Infrastructure Account	461,206	10,000	0	20,000	5,690
Functional Total	535,233	(8,094,723)	(8,180,872)	(8,302,523)	(8,291,832)
TOTAL LOCAL ASSISTANCE SPENDING	72,870,120	65,935,418	68,646,513	71,338,602	73,632,557

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	40,172	41,963	56,350	56,150	56,150
Alcoholic Beverage Control, Division of	10,611	11,560	11,244	11,244	11,244
Economic Development, Department of	17,040	17,291	17,291	17,291	17,291
Financial Services, Department of	218,385	214,981	208,844	208,844	208,844
Olympic Regional Development Authority	9,688	11,676	11,676	11,676	11,676
Public Service Department	51,122	53,426	51,406	49,740	49,740
Functional Total	347,018	350,897	356,811	354,945	354,945
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,443	5,018	4,835	4,819	4,685
Environmental Conservation, Department of	216,331	240,253	234,243	228,836	228,421
Parks, Recreation and Historic Preservation, Office of	164,815	174,694	171,324	168,439	168,439
Functional Total	385,589	419,965	410,402	402,094	401,545
TRANSPORTATION					
Motor Vehicles, Department of	60,446	60,566	60,247	60,247	60,247
Transportation, Department of	345,088	342,268	340,937	340,937	340,937
Functional Total	405,534	402,834	401,184	401,184	401,184
HEALTH					
Aging, Office for the	2,046	2,005	1,962	1,962	1,962
Health, Department of	1,210,164	785,551	799,788	797,855	805,202
<i>Essential Plan</i>	73,970	78,089	75,531	74,003	74,022
<i>Medicaid Administration</i>	207,526	256,102	278,310	277,356	284,399
<i>Public Health</i>	928,668	451,360	445,947	446,496	446,781
Medicaid Inspector General, Office of the	17,983	18,679	18,072	18,072	18,072
Functional Total	1,230,193	806,235	819,822	817,889	825,236
SOCIAL WELFARE					
Children and Family Services, Office of	146,381	379,755	378,701	378,701	383,700
<i>OCFS</i>	146,381	379,755	378,701	378,701	383,700
Housing and Community Renewal, Division of	52,701	18,320	17,675	17,675	17,675
Human Rights, Division of	11,048	10,590	10,230	10,230	10,230
Labor, Department of	47,559	49,256	48,280	48,280	48,280
National and Community Service	306	349	349	349	352
Temporary and Disability Assistance, Office of	143,963	131,425	134,145	134,145	134,145
<i>All Other</i>	143,963	131,425	134,145	134,145	134,145
Functional Total	401,958	589,695	589,380	589,380	594,382
MENTAL HYGIENE					
Addiction Services and Supports, Office of	89,219	90,886	91,205	92,063	93,431
<i>OASAS</i>	37,265	43,336	42,980	43,468	44,169
<i>OASAS - Other</i>	51,954	47,550	48,225	48,595	49,262
Justice Center	42,363	43,589	42,875	43,430	44,014
Mental Health, Office of	1,394,412	1,392,919	1,427,978	1,455,761	1,482,637
<i>OMH</i>	357,445	364,781	373,954	382,773	388,531
<i>OMH - Other</i>	1,036,967	1,028,138	1,054,024	1,072,988	1,094,106
People with Developmental Disabilities, Office for	1,357,053	1,339,536	1,353,722	1,370,643	1,387,852
<i>OPWDD</i>	77	203	203	203	203
<i>OPWDD - Other</i>	1,356,976	1,339,333	1,353,519	1,370,440	1,387,649
Functional Total	2,883,047	2,866,930	2,915,780	2,961,897	3,007,934
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	3,149	2,773	2,735	2,735	2,735
Corrections and Community Supervision, Department of	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
<i>DOCCS</i>	2,872,407	2,704,274	2,708,538	2,748,307	2,745,307
Criminal Justice Services, Division of	35,382	38,338	38,159	38,904	39,670
Homeland Security and Emergency Services, Division of	40,957	40,171	42,598	43,438	44,298
Indigent Legal Services, Office of	3,117	4,297	4,380	4,468	4,543
Judicial Conduct, Commission on	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	6	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Military and Naval Affairs, Division of	25,227	24,869	25,524	25,964	26,482
State Police, Division of	773,899	810,568	808,498	827,950	827,950
Statewide Financial System	31,517	31,161	31,329	31,944	31,944
Victim Services, Office of	3,757	4,068	4,030	4,030	4,030
Functional Total	3,795,166	3,666,853	3,672,303	3,734,358	3,733,577
HIGHER EDUCATION					
City University of New York	101,696	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	428	291	291	291	291
Higher Education Services Corporation, New York State	29,082	38,274	38,274	38,274	38,274
State University of New York	6,324,240	6,489,799	6,576,927	6,775,049	6,936,110
Functional Total	6,455,446	6,531,857	6,618,985	6,817,107	6,978,168
EDUCATION					
Arts, Council on the	4,251	4,416	4,320	4,320	4,320
Education, Department of	140,930	152,247	149,026	148,983	148,983
<i>All Other</i>	140,930	152,247	149,026	148,983	148,983
Functional Total	145,181	156,663	153,346	153,303	153,303
GENERAL GOVERNMENT					
Budget, Division of the	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,294	14,604	14,649	14,666	14,666
Deferred Compensation Board	473	649	648	648	648
Elections, State Board of	8,909	10,583	10,054	9,428	9,428
Employee Relations, Office of	5,880	6,542	6,444	6,445	6,445
Gaming Commission, New York State	50,264	67,985	66,458	66,458	66,458
General Services, Office of	112,884	85,829	87,439	89,056	90,556
Information Technology Services, Office of	540,195	546,127	552,683	563,841	563,841
Inspector General, Office of the	6,381	7,826	8,061	8,210	8,210
Labor Management Committees	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,696	1,872	1,783	1,783	1,783
Public Employment Relations Board	3,401	3,764	3,634	3,634	3,634
Public Ethics, Joint Commission on	5,217	5,835	6,010	6,119	6,119
State, Department of	42,464	43,618	42,506	42,506	42,506
Tax Appeals, Division of	2,871	3,150	3,040	3,040	3,040
Taxation and Finance, Department of	316,020	356,696	345,439	344,278	344,810
Veterans' Services, Division of	6,207	6,482	6,417	6,417	6,484
Welfare Inspector General, Office of	640	731	753	768	768
Workers' Compensation Board	139,495	148,002	143,219	143,219	143,219
Functional Total	1,317,011	1,371,812	1,360,554	1,372,594	1,375,470
ELECTED OFFICIALS					
Audit and Control, Department of	152,149	164,001	161,292	161,292	161,292
Executive Chamber	13,239	14,032	13,578	13,578	13,578
Judiciary	2,136,878	2,234,400	2,177,400	2,177,700	2,177,700
Law, Department of	185,013	191,186	186,591	186,591	186,591
Legislature	228,725	249,056	255,949	256,002	256,002
Lieutenant Governor, Office of the	518	634	614	614	614
Functional Total	2,716,522	2,853,309	2,795,424	2,795,777	2,795,777
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	2	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	36,271	43,657	43,072	43,072	43,072
Miscellaneous	48,975	(952,805)	(1,022,805)	(1,272,805)	(1,272,805)
Functional Total	85,246	(909,148)	(979,733)	(1,229,733)	(1,229,733)
TOTAL STATE OPERATIONS SPENDING	20,167,913	19,107,902	19,114,258	19,170,795	19,391,788

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,677	34,150	33,539	33,539	33,539
Alcoholic Beverage Control, Division of	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	12,934	12,929	12,929	12,929	12,929
Financial Services, Department of	157,002	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,500	5,338	5,338	5,338	5,338
Public Service Department	42,684	46,025	44,550	44,577	44,577
Functional Total	256,885	266,494	258,565	258,592	258,592
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,069	4,528	4,470	4,470	4,370
Environmental Conservation, Department of	179,044	200,670	193,895	188,440	188,440
Parks, Recreation and Historic Preservation, Office of	126,957	140,668	137,298	134,413	134,413
Functional Total	310,070	345,866	335,663	327,323	327,223
TRANSPORTATION					
Motor Vehicles, Department of	44,731	44,207	44,497	44,497	44,497
Transportation, Department of	161,055	168,622	162,396	162,396	162,396
Functional Total	205,786	212,829	206,893	206,893	206,893
HEALTH					
Aging, Office for the	1,917	1,899	1,856	1,856	1,856
Health, Department of	278,618	295,077	296,785	299,975	302,562
<i>Essential Plan</i>	3,326	4,692	4,621	4,634	4,557
<i>Medicaid Administration</i>	35,276	42,845	47,963	51,054	53,576
<i>Public Health</i>	240,016	247,540	244,201	244,287	244,429
Medicaid Inspector General, Office of the	15,599	16,231	15,624	15,624	15,624
Functional Total	296,134	313,207	314,265	317,455	320,042
SOCIAL WELFARE					
Children and Family Services, Office of	86,540	265,818	262,740	262,740	265,408
<i>OCFS</i>	86,540	265,818	262,740	262,740	265,408
Housing and Community Renewal, Division of	39,887	17,705	17,060	17,060	17,060
Human Rights, Division of	9,543	10,121	9,752	9,752	9,752
Labor, Department of	32,931	34,864	33,620	33,620	33,620
National and Community Service	305	340	340	340	343
Temporary and Disability Assistance, Office of	64,862	76,580	78,130	78,130	78,130
<i>All Other</i>	64,862	76,580	78,130	78,130	78,130
Functional Total	234,068	405,428	401,642	401,642	404,313
MENTAL HYGIENE					
Addiction Services and Supports, Office of	60,528	63,331	62,936	63,542	64,146
<i>OASAS</i>	23,279	27,997	27,347	27,628	27,903
<i>OASAS - Other</i>	37,249	35,334	35,589	35,914	36,243
Justice Center	34,257	34,650	33,720	34,046	34,394
Mental Health, Office of	1,117,318	1,128,448	1,141,576	1,156,141	1,169,386
<i>OMH</i>	286,101	321,487	329,516	336,855	340,713
<i>OMH - Other</i>	831,217	806,961	812,060	819,286	828,673
People with Developmental Disabilities, Office for	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
<i>OPWDD - Other</i>	1,161,329	1,153,323	1,162,847	1,174,856	1,186,985
Functional Total	2,373,432	2,379,752	2,401,079	2,428,585	2,454,911
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,652	2,531	2,488	2,488	2,488
Corrections and Community Supervision, Department of	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
<i>DOCCS</i>	2,380,718	2,207,956	2,218,259	2,251,682	2,251,682
Criminal Justice Services, Division of	27,813	28,994	28,550	29,064	29,635
Homeland Security and Emergency Services, Division of	28,927	30,314	32,215	32,774	33,433
Indigent Legal Services, Office of	2,620	3,455	3,524	3,595	3,667
Judicial Conduct, Commission on	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,158	14,700	14,776	15,073	15,376
State Police, Division of	709,801	750,020	745,878	764,494	764,494

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Statewide Financial System	11,650	12,537	12,333	12,568	12,568
Victim Services, Office of	3,119	3,323	3,272	3,272	3,272
Functional Total	<u>3,185,661</u>	<u>3,058,708</u>	<u>3,066,108</u>	<u>3,119,913</u>	<u>3,121,518</u>
HIGHER EDUCATION					
City University of New York	48,676	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	10,530	12,916	12,916	12,916	12,916
State University of New York	4,079,009	4,402,843	4,452,574	4,601,904	4,719,036
Functional Total	<u>4,138,498</u>	<u>4,419,450</u>	<u>4,469,181</u>	<u>4,618,511</u>	<u>4,735,643</u>
EDUCATION					
Arts, Council on the	2,681	2,594	2,498	2,498	2,498
Education, Department of	90,098	94,081	90,726	90,726	90,726
<i>All Other</i>	90,098	94,081	90,726	90,726	90,726
Functional Total	<u>92,779</u>	<u>96,675</u>	<u>93,224</u>	<u>93,224</u>	<u>93,224</u>
GENERAL GOVERNMENT					
Budget, Division of the	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,287	14,592	14,207	14,215	14,215
Deferred Compensation Board	441	414	413	413	413
Elections, State Board of	6,039	6,571	6,478	6,316	6,316
Employee Relations, Office of	5,789	6,346	6,248	6,248	6,248
Gaming Commission, New York State	34,014	40,178	38,687	38,687	38,687
General Services, Office of	41,123	40,497	39,680	40,456	41,248
Information Technology Services, Office of	296,582	301,996	308,111	314,349	314,349
Inspector General, Office of the	5,423	6,970	6,857	6,994	6,994
Labor Management Committees	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,550	1,660	1,567	1,567	1,567
Public Employment Relations Board	3,214	3,468	3,338	3,338	3,338
Public Ethics, Joint Commission on	4,486	4,867	4,827	4,924	4,924
State, Department of	28,759	30,346	29,258	29,258	29,258
Tax Appeals, Division of	2,640	2,936	2,870	2,870	2,870
Taxation and Finance, Department of	265,994	282,555	272,834	272,386	272,493
Veterans' Services, Division of	5,546	6,178	6,107	6,107	6,168
Welfare Inspector General, Office of	630	654	646	659	659
Workers' Compensation Board	84,865	89,608	84,892	84,892	84,892
Functional Total	<u>834,417</u>	<u>871,045</u>	<u>857,074</u>	<u>863,733</u>	<u>864,693</u>
ELECTED OFFICIALS					
Audit and Control, Department of	121,837	130,597	127,888	127,888	127,888
Executive Chamber	10,876	11,567	11,113	11,113	11,113
Judiciary	1,713,277	1,806,000	1,749,000	1,749,300	1,749,300
Law, Department of	136,064	137,554	132,958	132,958	132,958
Legislature	177,365	192,583	198,366	198,419	198,419
Lieutenant Governor, Office of the	431	543	523	523	523
Functional Total	<u>2,159,850</u>	<u>2,278,844</u>	<u>2,219,848</u>	<u>2,220,201</u>	<u>2,220,201</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,088	(532,595)	(547,595)	(647,595)	(647,595)
Functional Total	<u>2,088</u>	<u>(532,595)</u>	<u>(547,595)</u>	<u>(647,595)</u>	<u>(647,595)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>14,089,668</u>	<u>14,115,703</u>	<u>14,075,947</u>	<u>14,208,477</u>	<u>14,359,658</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	9,495	7,813	22,811	22,611	22,611
Alcoholic Beverage Control, Division of	2,523	3,244	2,928	2,928	2,928
Economic Development, Department of	4,106	4,362	4,362	4,362	4,362
Financial Services, Department of	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	4,188	6,338	6,338	6,338	6,338
Public Service Department	8,438	7,401	6,856	5,163	5,163
Functional Total	90,133	84,403	98,246	96,353	96,353
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	374	490	365	349	315
Environmental Conservation, Department of	37,287	39,583	40,348	40,396	39,981
Parks, Recreation and Historic Preservation, Office of	37,858	34,026	34,026	34,026	34,026
Functional Total	75,519	74,099	74,739	74,771	74,322
TRANSPORTATION					
Motor Vehicles, Department of	15,715	16,359	15,750	15,750	15,750
Transportation, Department of	184,033	173,646	178,541	178,541	178,541
Functional Total	199,748	190,005	194,291	194,291	194,291
HEALTH					
Aging, Office for the	129	106	106	106	106
Health, Department of	931,546	490,474	503,003	497,880	502,640
<i>Essential Plan</i>	70,644	73,397	70,910	69,369	69,465
<i>Medicaid Administration</i>	172,250	213,257	230,347	226,302	230,823
<i>Public Health</i>	688,652	203,820	201,746	202,209	202,352
Medicaid Inspector General, Office of the	2,384	2,448	2,448	2,448	2,448
Functional Total	934,059	493,028	505,557	500,434	505,194
SOCIAL WELFARE					
Children and Family Services, Office of	59,841	113,937	115,961	115,961	118,292
<i>OCFS</i>	59,841	113,937	115,961	115,961	118,292
Housing and Community Renewal, Division of	12,814	615	615	615	615
Human Rights, Division of	1,505	469	478	478	478
Labor, Department of	14,628	14,392	14,660	14,660	14,660
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	79,101	54,845	56,015	56,015	56,015
<i>All Other</i>	79,101	54,845	56,015	56,015	56,015
Functional Total	167,890	184,267	187,738	187,738	190,069
MENTAL HYGIENE					
Addiction Services and Supports, Office of	28,691	27,555	28,269	28,521	29,285
<i>OASAS</i>	13,986	15,339	15,633	15,840	16,266
<i>OASAS - Other</i>	14,705	12,216	12,636	12,681	13,019
Justice Center	8,106	8,939	9,155	9,384	9,620
Mental Health, Office of	277,094	264,471	286,402	299,620	313,251
<i>OMH</i>	71,344	43,294	44,438	45,918	47,818
<i>OMH - Other</i>	205,750	221,177	241,964	253,702	265,433
People with Developmental Disabilities, Office for	195,724	186,213	190,875	195,787	200,867
<i>OPWDD</i>	77	203	203	203	203
<i>OPWDD - Other</i>	195,647	186,010	190,672	195,584	200,664
Functional Total	509,615	487,178	514,701	533,312	553,023
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	497	242	247	247	247
Corrections and Community Supervision, Department of	491,689	496,318	490,279	496,625	493,625
<i>DOCCS</i>	491,689	496,318	490,279	496,625	493,625
Criminal Justice Services, Division of	7,569	9,344	9,609	9,840	10,035
Homeland Security and Emergency Services, Division of	12,030	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	497	842	856	873	876
Judicial Conduct, Commission on	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Judicial Screening Committees, New York State	6	38	38	38	38
Military and Naval Affairs, Division of	11,069	10,169	10,748	10,891	11,106
State Police, Division of	64,098	60,548	62,620	63,456	63,456
Statewide Financial System	19,867	18,624	18,996	19,376	19,376
Victim Services, Office of	638	745	758	758	758
Functional Total	<u>609,505</u>	<u>608,145</u>	<u>606,195</u>	<u>614,445</u>	<u>612,059</u>
HIGHER EDUCATION					
City University of New York	53,020	0	0	0	0
Higher Education - Miscellaneous	145	93	93	93	93
Higher Education Services Corporation, New York State	18,552	25,358	25,358	25,358	25,358
State University of New York	2,245,231	2,086,956	2,124,353	2,173,145	2,217,074
Functional Total	<u>2,316,948</u>	<u>2,112,407</u>	<u>2,149,804</u>	<u>2,198,596</u>	<u>2,242,525</u>
EDUCATION					
Arts, Council on the	1,570	1,822	1,822	1,822	1,822
Education, Department of	50,832	58,166	58,300	58,257	58,257
<i>All Other</i>	50,832	58,166	58,300	58,257	58,257
Functional Total	<u>52,402</u>	<u>59,988</u>	<u>60,122</u>	<u>60,079</u>	<u>60,079</u>
GENERAL GOVERNMENT					
Budget, Division of the	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	7	12	442	451	451
Deferred Compensation Board	32	235	235	235	235
Elections, State Board of	2,870	4,012	3,576	3,112	3,112
Employee Relations, Office of	91	196	196	197	197
Gaming Commission, New York State	16,250	27,807	27,771	27,771	27,771
General Services, Office of	71,761	45,332	47,759	48,600	49,308
Information Technology Services, Office of	243,613	244,131	244,572	249,492	249,492
Inspector General, Office of the	958	856	1,204	1,216	1,216
Labor Management Committees	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	146	212	216	216	216
Public Employment Relations Board	187	296	296	296	296
Public Ethics, Joint Commission on	731	968	1,183	1,195	1,195
State, Department of	13,705	13,272	13,248	13,248	13,248
Tax Appeals, Division of	231	214	170	170	170
Taxation and Finance, Department of	50,026	74,141	72,605	71,892	72,317
Veterans' Services, Division of	661	304	310	310	316
Welfare Inspector General, Office of	10	77	107	109	109
Workers' Compensation Board	54,630	58,394	58,327	58,327	58,327
Functional Total	<u>482,594</u>	<u>500,767</u>	<u>503,480</u>	<u>508,861</u>	<u>510,777</u>
ELECTED OFFICIALS					
Audit and Control, Department of	30,312	33,404	33,404	33,404	33,404
Executive Chamber	2,363	2,465	2,465	2,465	2,465
Judiciary	423,601	428,400	428,400	428,400	428,400
Law, Department of	48,949	53,632	53,633	53,633	53,633
Legislature	51,360	56,473	57,583	57,583	57,583
Lieutenant Governor, Office of the	87	91	91	91	91
Functional Total	<u>556,672</u>	<u>574,465</u>	<u>575,576</u>	<u>575,576</u>	<u>575,576</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	2	0	0	0	0
Functional Total	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	36,271	43,657	43,072	43,072	43,072
Miscellaneous	46,887	(420,210)	(475,210)	(625,210)	(625,210)
Functional Total	<u>83,158</u>	<u>(376,553)</u>	<u>(432,138)</u>	<u>(582,138)</u>	<u>(582,138)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>6,078,245</u>	<u>4,992,199</u>	<u>5,038,311</u>	<u>4,962,318</u>	<u>5,032,130</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	98,264	114,477	114,251	114,251	114,251
Public Service Department	27,154	31,197	30,773	31,299	31,299
Functional Total	126,729	147,862	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	4,697	2,007	1,941	1,941	1,941
Functional Total	52,281	52,163	49,414	49,755	41,124
TRANSPORTATION					
Motor Vehicles, Department of	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,836	2,412	2,457	2,457	2,457
Functional Total	24,269	28,375	29,072	29,072	29,072
HEALTH					
Health, Department of	36,222	40,924	42,247	42,308	42,407
<i>Medicaid Administration</i>	202	581	581	581	581
<i>Public Health</i>	36,020	40,343	41,666	41,727	41,826
Functional Total	36,222	40,924	42,247	42,308	42,407
SOCIAL WELFARE					
Children and Family Services, Office of	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	19,144	2,521	3,844	3,844	3,844
Labor, Department of	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	65	128	128	128	128
<i>All Other</i>	65	128	128	128	128
Functional Total	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE					
Justice Center	589	908	895	925	957
Functional Total	589	908	895	925	957
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	141	106	106	106	106
<i>DOCCS</i>	141	106	106	106	106
Homeland Security and Emergency Services, Division of	666	835	905	905	905
Indigent Legal Services, Office of	1,645	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	0	9	10	10	10
State Police, Division of	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,660	1,650	1,683	1,683	1,683
Functional Total	30,457	33,719	34,278	34,579	34,685
HIGHER EDUCATION					
City University of New York	204	0	0	0	0
Higher Education - Miscellaneous	181	150	150	150	150
Higher Education Services Corporation, New York State	6,615	9,020	9,020	9,020	9,020
State University of New York	441,696	492,052	589,358	616,688	609,904
Functional Total	448,696	501,222	598,528	625,858	619,074
EDUCATION					
Education, Department of	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	37,239	41,458	40,581	41,269	41,269
Functional Total	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT					
Budget, Division of the	956	1,717	1,657	1,657	1,657

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Civil Service, Department of	118	244	241	245	245
Deferred Compensation Board	260	247	252	256	256
Gaming Commission, New York State	17,063	18,465	17,575	17,575	17,575
General Services, Office of	4,973	583	575	585	585
Labor Management Committees	6,386	5,000	5,000	5,000	5,000
State, Department of	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	32,829	22,567	21,597	21,318	21,386
Workers' Compensation Board	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>126,105</u>	<u>121,474</u>	<u>115,183</u>	<u>114,939</u>	<u>115,007</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,568	2,197	2,197	2,197	2,197
Judiciary	820,393	780,384	882,098	882,097	848,193
Law, Department of	16,822	21,049	20,243	20,243	20,243
Functional Total	<u>838,783</u>	<u>803,630</u>	<u>904,538</u>	<u>904,537</u>	<u>870,633</u>
ALL OTHER CATEGORIES					
General State Charges	6,655,087	6,493,224	8,156,155	8,701,270	8,865,924
Miscellaneous	4,050	5,789	5,789	5,797	5,797
Functional Total	<u>6,659,137</u>	<u>6,499,013</u>	<u>8,161,944</u>	<u>8,707,067</u>	<u>8,871,721</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,423,196</u></u>	<u><u>8,296,134</u></u>	<u><u>10,153,236</u></u>	<u><u>10,727,426</u></u>	<u><u>10,843,066</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	11,426	20,165	10,330	5,915	5,765
Economic Development, Department of	333	0	4,400	3,400	3,800
Empire State Development Corporation	23,143	912,614	689,621	709,345	370,323
Energy Research and Development Authority, New York State	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	85,150	131,000	43,500	10,000	10,000
Power Authority, New York	501	30,500	9,500	500	500
Regional Economic Development Program	0	3,000	4,500	447	0
Strategic Investment Program	0	0	2,000	2,000	2,000
Functional Total	136,484	1,148,848	822,095	762,040	410,594
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	1,000	0	0	0
Environmental Conservation, Department of	463,109	733,114	796,227	891,313	971,325
Hudson River Park Trust	2,000	18,000	15,000	12,000	12,000
Parks, Recreation and Historic Preservation, Office of	170,685	180,739	166,189	165,739	165,739
Functional Total	635,794	932,853	977,416	1,069,052	1,149,064
TRANSPORTATION					
Motor Vehicles, Department of	258,277	283,213	246,244	230,772	230,868
Transportation, Department of	2,173,818	3,159,388	3,665,536	3,351,825	3,378,905
Functional Total	2,432,095	3,442,601	3,911,780	3,582,597	3,609,773
HEALTH					
Health, Department of	71,101	107,321	107,321	107,321	107,321
<i>Public Health</i>	71,101	107,321	107,321	107,321	107,321
Functional Total	71,101	107,321	107,321	107,321	107,321
SOCIAL WELFARE					
Children and Family Services, Office of	22,476	25,804	23,660	23,673	23,673
<i>OCFS</i>	22,476	25,804	23,660	23,673	23,673
Temporary and Disability Assistance, Office of	813	1,600	800	800	800
<i>All Other</i>	813	1,600	800	800	800
Functional Total	23,289	27,404	24,460	24,473	24,473
MENTAL HYGIENE					
Addiction Services and Supports, Office of	1,599	15,765	13,424	15,481	15,541
<i>OASAS</i>	1,599	15,765	13,424	15,481	15,541
Mental Health, Office of	239,694	331,713	311,485	298,684	294,923
<i>OMH</i>	239,694	331,713	311,485	298,684	294,923
People with Developmental Disabilities, Office for	96,600	131,706	121,428	128,195	117,989
<i>OPWDD</i>	96,600	131,706	121,428	128,195	117,989
Functional Total	337,893	479,184	446,337	442,360	428,453
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	412,424	340,646	337,685	316,975	321,140
<i>DOCCS</i>	412,424	340,646	337,685	316,975	321,140
Criminal Justice Services, Division of	0	25,000	0	0	0
Homeland Security and Emergency Services, Division of	14,508	17,250	16,653	10,367	1,500
Military and Naval Affairs, Division of	90,102	65,344	33,744	33,107	26,645
State Police, Division of	49,194	55,853	54,688	47,538	50,968
Functional Total	566,228	504,093	442,770	407,987	400,253
HIGHER EDUCATION					
City University of New York	36,412	37,352	47,352	57,352	67,352
State University of New York	976,879	993,175	1,169,149	1,207,126	1,126,793
Functional Total	1,013,291	1,030,527	1,216,501	1,264,478	1,194,145
EDUCATION					
Education, Department of	11,225	90,840	89,145	47,565	23,715

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
<i>All Other</i>	11,225	90,840	89,145	47,565	23,715
Functional Total	<u>11,225</u>	<u>90,840</u>	<u>89,145</u>	<u>47,565</u>	<u>23,715</u>
GENERAL GOVERNMENT					
Elections, State Board of	2,587	5,800	6,200	3,200	800
General Services, Office of	175,906	321,483	217,962	151,629	151,461
Information Technology Services, Office of	81,010	155,948	44,696	23,698	12,945
State, Department of	2,711	2,000	2,000	2,000	2,000
Workers' Compensation Board	4,907	22,440	33,764	6,755	0
Functional Total	<u>267,121</u>	<u>507,671</u>	<u>304,622</u>	<u>187,282</u>	<u>167,206</u>
ELECTED OFFICIALS					
Audit and Control, Department of	3,430	2,806	5,365	4,727	0
Judiciary	21,295	25,700	12,283	0	0
Law, Department of	4,344	5,735	2,000	0	0
Functional Total	<u>29,069</u>	<u>34,241</u>	<u>19,648</u>	<u>4,727</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	93	0	0	0	0
Miscellaneous	64,038	(230,339)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	327,921	615,174	359,027	212,684	110,565
Functional Total	<u>392,052</u>	<u>384,835</u>	<u>69,120</u>	<u>21,589</u>	<u>(105,530)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,915,642</u></u>	<u><u>8,690,418</u></u>	<u><u>8,431,215</u></u>	<u><u>7,921,471</u></u>	<u><u>7,409,467</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	0	0	5,000	5,000	5,000
Empire State Development Corporation	15	0	0	0	0
Financial Services, Department of	55,146	61,581	59,896	59,896	59,896
Public Service Department	1,341	160	60	60	60
Functional Total	56,502	61,741	64,956	64,956	64,956
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	4,629	3,650	3,650	3,650	3,650
Functional Total	4,629	3,650	3,650	3,650	3,650
TRANSPORTATION					
Transportation, Department of	3,378,077	3,824,697	3,796,448	3,984,083	4,178,591
Functional Total	3,378,077	3,824,697	3,796,448	3,984,083	4,178,591
HEALTH					
Health, Department of	6,904,306	6,889,942	6,968,232	7,074,394	7,165,021
<i>Medical Assistance</i>	5,683,801	5,842,514	5,758,114	5,847,883	5,926,585
<i>Public Health</i>	1,220,505	1,047,428	1,210,118	1,226,511	1,238,436
Functional Total	6,904,306	6,889,942	6,968,232	7,074,394	7,165,021
SOCIAL WELFARE					
Children and Family Services, Office of	3,634	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,634	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	(132)	852	852	852	852
Labor, Department of	37	150	150	150	150
Functional Total	3,539	4,584	4,584	4,584	4,584
MENTAL HYGIENE					
Addiction Services and Supports, Office of	3,388	4,800	4,800	4,800	4,800
<i>OASAS</i>	3,388	4,800	4,800	4,800	4,800
Justice Center	479	479	479	479	479
Mental Health, Office of	31	1,075	1,075	1,075	1,075
<i>OMH</i>	31	1,075	1,075	1,075	1,075
Functional Total	3,898	6,354	6,354	6,354	6,354
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	28,079	74,390	74,390	34,390	34,390
Homeland Security and Emergency Services, Division of	28,141	52,570	96,549	98,549	98,549
Indigent Legal Services, Office of	85,503	160,000	220,000	270,000	283,762
State Police, Division of	15	0	0	0	0
Victim Services, Office of	25,459	27,744	28,398	28,398	28,398
Functional Total	167,197	314,704	419,337	431,337	445,099
EDUCATION					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,040,756	4,938,949	5,270,490	5,138,418	5,030,346
<i>School Aid</i>	3,845,794	2,852,800	3,278,000	3,267,400	3,267,400
<i>STAR Property Tax Relief</i>	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>All Other</i>	11,273	13,033	13,033	13,033	13,033
Functional Total	6,040,756	4,939,047	5,270,588	5,138,516	5,030,444
GENERAL GOVERNMENT					
Gaming Commission, New York State	97,809	183,170	111,350	113,750	113,400
Taxation and Finance, Department of	2,417	2,565	2,565	2,565	2,565
Functional Total	100,226	185,735	113,915	116,315	115,965
ELECTED OFFICIALS					
Judiciary	118,800	113,000	113,000	113,000	113,000
Functional Total	118,800	113,000	113,000	113,000	113,000

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES					
Miscellaneous	10,511	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
Functional Total	<u>10,511</u>	<u>(1,083,802)</u>	<u>(1,042,000)</u>	<u>(1,692,000)</u>	<u>(2,192,000)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>16,788,441</u>	<u>15,259,652</u>	<u>15,719,064</u>	<u>15,245,189</u>	<u>14,935,664</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,524	3,334	3,500	3,500	3,500
Economic Development, Department of	0	103	103	103	103
Financial Services, Department of	157,002	159,736	153,893	153,893	153,893
Public Service Department	42,684	46,025	44,550	44,577	44,577
Functional Total	202,210	209,198	202,046	202,073	202,073
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	84,265	95,701	90,726	83,960	76,993
Parks, Recreation and Historic Preservation, Office of	25,645	31,704	30,643	30,643	30,643
Functional Total	109,910	127,405	121,369	114,603	107,636
TRANSPORTATION					
Motor Vehicles, Department of	36,253	35,339	35,451	35,451	35,451
Transportation, Department of	2,880	3,451	3,348	3,348	3,348
Functional Total	39,133	38,790	38,799	38,799	38,799
HEALTH					
Health, Department of	138,664	141,381	141,147	141,233	141,375
<i>Medicaid Administration</i>	316	910	910	910	910
<i>Public Health</i>	138,348	140,471	140,237	140,323	140,465
Functional Total	138,664	141,381	141,147	141,233	141,375
SOCIAL WELFARE					
Children and Family Services, Office of	3,471	3,483	3,449	3,449	3,482
<i>OCFS</i>	3,471	3,483	3,449	3,449	3,482
Housing and Community Renewal, Division of	36,659	13,213	12,732	12,732	12,732
Labor, Department of	32,847	34,770	33,529	33,529	33,529
Functional Total	72,977	51,466	49,710	49,710	49,743
MENTAL HYGIENE					
Justice Center	924	1,422	1,435	1,448	1,462
Mental Health, Office of	0	103	103	103	103
<i>OMH</i>	0	103	103	103	103
Functional Total	924	1,525	1,538	1,551	1,565
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	220	219	219	223	223
<i>DOCCS</i>	220	219	219	223	223
Criminal Justice Services, Division of	147	403	400	400	408
Homeland Security and Emergency Services, Division of	27,927	29,314	31,215	31,754	32,392
Indigent Legal Services, Office of	2,620	3,455	3,524	3,595	3,667
Military and Naval Affairs, Division of	0	161	161	165	169
State Police, Division of	48,510	50,132	50,370	51,420	51,420
Victim Services, Office of	3,119	3,323	3,272	3,272	3,272
Functional Total	82,543	87,007	89,161	90,829	91,551
HIGHER EDUCATION					
City University of New York	48,676	3,493	3,493	3,493	3,493
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	10,530	12,416	12,416	12,416	12,416
State University of New York	4,078,957	4,402,801	4,452,532	4,601,862	4,718,994
Functional Total	4,138,446	4,418,908	4,468,639	4,617,969	4,735,101
EDUCATION					
Education, Department of	57,887	63,775	61,392	61,392	61,392
<i>All Other</i>	57,887	63,775	61,392	61,392	61,392
Functional Total	57,887	63,775	61,392	61,392	61,392

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,526	2,441	2,351	2,351	2,351
Civil Service, Department of	184	376	365	365	365
Deferred Compensation Board	406	381	381	381	381
Gaming Commission, New York State	30,760	35,731	34,405	34,405	34,405
General Services, Office of	4,952	897	871	871	871
State, Department of	18,747	21,130	20,404	20,404	20,404
Taxation and Finance, Department of	51,464	46,279	44,847	44,399	44,506
Workers' Compensation Board	84,865	89,608	84,892	84,892	84,892
Functional Total	<u>192,904</u>	<u>196,843</u>	<u>188,516</u>	<u>188,068</u>	<u>188,175</u>
ELECTED OFFICIALS					
Audit and Control, Department of	11,306	15,994	15,707	15,707	15,707
Judiciary	66,381	68,800	66,600	66,900	66,900
Law, Department of	34,592	34,207	32,857	32,857	32,857
Functional Total	<u>112,279</u>	<u>119,001</u>	<u>115,164</u>	<u>115,464</u>	<u>115,464</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,015	(397,651)	(397,651)	(472,651)	(572,651)
Functional Total	<u>2,015</u>	<u>(397,651)</u>	<u>(397,651)</u>	<u>(472,651)</u>	<u>(572,651)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>5,149,892</u></u>	<u><u>5,057,648</u></u>	<u><u>5,079,830</u></u>	<u><u>5,149,040</u></u>	<u><u>5,160,223</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,947	2,482	18,349	18,349	18,349
Economic Development, Department of	1,190	1,847	1,847	1,847	1,847
Financial Services, Department of	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	0	150	150	150	150
Public Service Department	8,438	7,401	6,856	5,163	5,163
Functional Total	72,958	67,125	82,153	80,460	80,460
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	21,777	14,101	14,746	14,794	14,379
Parks, Recreation and Historic Preservation, Office of	32,214	27,152	27,152	27,152	27,152
Functional Total	53,991	41,253	41,898	41,946	41,531
TRANSPORTATION					
Motor Vehicles, Department of	13,631	12,641	11,966	11,966	11,966
Transportation, Department of	5,663	5,555	5,551	5,551	5,551
Functional Total	19,294	18,196	17,517	17,517	17,517
HEALTH					
Health, Department of	148,191	172,104	170,030	170,493	170,636
<i>Medicaid Administration</i>	11	50	50	50	50
<i>Public Health</i>	148,180	172,054	169,980	170,443	170,586
Functional Total	148,191	172,104	170,030	170,493	170,636
SOCIAL WELFARE					
Children and Family Services, Office of	14,000	15,544	15,853	15,853	16,168
<i>OCFS</i>	14,000	15,544	15,853	15,853	16,168
Housing and Community Renewal, Division of	8,308	202	202	202	202
Labor, Department of	14,436	14,137	14,400	14,400	14,400
Temporary and Disability Assistance, Office of	935	200	200	200	200
<i>All Other</i>	935	200	200	200	200
Functional Total	37,679	30,083	30,655	30,655	30,970
MENTAL HYGIENE					
Addiction Services and Supports, Office of	6,443	6,471	6,486	6,667	6,863
<i>OASAS</i>	6,443	6,471	6,486	6,667	6,863
Justice Center	32	47	46	47	47
Mental Health, Office of	2,769	5,342	5,342	5,342	5,342
<i>OMH</i>	2,769	5,342	5,342	5,342	5,342
People with Developmental Disabilities, Office for	76	203	203	203	203
<i>OPWDD</i>	76	203	203	203	203
Functional Total	9,320	12,063	12,077	12,259	12,455
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	1,089	2,627	2,627	2,648	2,648
<i>DOCCS</i>	1,089	2,627	2,627	2,648	2,648
Criminal Justice Services, Division of	1,332	2,696	2,790	2,847	2,905
Homeland Security and Emergency Services, Division of	12,030	9,857	10,383	10,664	10,865
Indigent Legal Services, Office of	497	842	856	873	876
Military and Naval Affairs, Division of	5,161	3,571	3,656	3,657	3,727
State Police, Division of	29,136	31,738	26,800	26,924	26,924
Victim Services, Office of	638	745	758	758	758
Functional Total	49,883	52,076	47,870	48,371	48,703
HIGHER EDUCATION					
City University of New York	53,020	0	0	0	0
Higher Education - Miscellaneous	145	93	93	93	93
Higher Education Services Corporation, New York State	18,552	25,358	25,358	25,358	25,358
State University of New York	2,241,959	2,086,214	2,123,611	2,172,403	2,216,332
Functional Total	2,313,676	2,111,665	2,149,062	2,197,854	2,241,783

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION					
Education, Department of	27,336	29,262	29,396	29,353	29,353
<i>All Other</i>	27,336	29,262	29,396	29,353	29,353
Functional Total	<u>27,336</u>	<u>29,262</u>	<u>29,396</u>	<u>29,353</u>	<u>29,353</u>
GENERAL GOVERNMENT					
Budget, Division of the	2,546	2,696	2,693	2,693	2,693
Civil Service, Department of	6	12	442	451	451
Deferred Compensation Board	31	210	210	210	210
Elections, State Board of	270	0	0	0	0
Gaming Commission, New York State	14,982	25,727	25,691	25,691	25,691
General Services, Office of	5,943	3,249	3,289	3,354	3,354
Labor Management Committees	155	306	306	306	306
Prevention of Domestic Violence, Office for	0	5	5	5	5
Public Employment Relations Board	21	45	45	45	45
State, Department of	13,351	13,036	13,012	13,012	13,012
Taxation and Finance, Department of	10,009	31,401	31,169	30,456	30,881
Workers' Compensation Board	54,630	58,394	58,327	58,327	58,327
Functional Total	<u>101,944</u>	<u>135,081</u>	<u>135,189</u>	<u>134,550</u>	<u>134,975</u>
ELECTED OFFICIALS					
Audit and Control, Department of	3,328	4,322	4,322	4,322	4,322
Judiciary	47,746	48,200	48,200	48,200	48,200
Law, Department of	40,354	41,850	41,851	41,851	41,851
Legislature	1,179	950	950	950	950
Functional Total	<u>92,607</u>	<u>95,322</u>	<u>95,323</u>	<u>95,323</u>	<u>95,323</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,151	(413,103)	(358,516)	(433,516)	(533,516)
Functional Total	<u>1,151</u>	<u>(413,103)</u>	<u>(358,516)</u>	<u>(433,516)</u>	<u>(533,516)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>2,928,030</u>	<u>2,351,127</u>	<u>2,452,654</u>	<u>2,425,265</u>	<u>2,370,190</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	98,264	114,477	114,251	114,251	114,251
Public Service Department	27,154	31,197	30,773	31,299	31,299
Functional Total	126,729	147,862	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,584	50,156	47,473	47,814	39,183
Parks, Recreation and Historic Preservation, Office of	4,697	2,007	1,941	1,941	1,941
Functional Total	52,281	52,163	49,414	49,755	41,124
TRANSPORTATION					
Motor Vehicles, Department of	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,836	2,412	2,457	2,457	2,457
Functional Total	24,269	28,375	29,072	29,072	29,072
HEALTH					
Health, Department of	36,222	40,924	42,247	42,308	42,407
<i>Medicaid Administration</i>	202	581	581	581	581
<i>Public Health</i>	36,020	40,343	41,666	41,727	41,826
Functional Total	36,222	40,924	42,247	42,308	42,407
SOCIAL WELFARE					
Children and Family Services, Office of	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	19,144	2,521	3,844	3,844	3,844
Labor, Department of	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	65	128	128	128	128
<i>All Other</i>	65	128	128	128	128
Functional Total	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE					
Justice Center	589	908	895	925	957
Functional Total	589	908	895	925	957
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	141	106	106	106	106
<i>DOCCS</i>	141	106	106	106	106
Homeland Security and Emergency Services, Division of	666	835	905	905	905
Indigent Legal Services, Office of	1,645	2,166	2,251	2,352	2,458
Military and Naval Affairs, Division of	0	9	10	10	10
State Police, Division of	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,660	1,650	1,683	1,683	1,683
Functional Total	30,457	33,719	34,278	34,579	34,685
HIGHER EDUCATION					
City University of New York	204	0	0	0	0
Higher Education - Miscellaneous	181	150	150	150	150
Higher Education Services Corporation, New York State	6,615	9,020	9,020	9,020	9,020
State University of New York	441,696	492,052	589,358	616,688	609,904
Functional Total	448,696	501,222	598,528	625,858	619,074
EDUCATION					
Education, Department of	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	37,239	41,458	40,581	41,269	41,269
Functional Total	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT					

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Budget, Division of the	956	1,717	1,657	1,657	1,657
Civil Service, Department of	118	244	241	245	245
Deferred Compensation Board	260	247	252	256	256
Gaming Commission, New York State	17,063	18,465	17,575	17,575	17,575
General Services, Office of	4,973	583	575	585	585
State, Department of	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	32,829	22,567	21,597	21,318	21,386
Workers' Compensation Board	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>119,719</u>	<u>116,474</u>	<u>110,183</u>	<u>109,939</u>	<u>110,007</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,568	2,197	2,197	2,197	2,197
Judiciary	30,937	34,200	34,200	34,200	34,200
Law, Department of	16,822	21,049	20,243	20,243	20,243
Functional Total	<u>49,327</u>	<u>57,446</u>	<u>56,640</u>	<u>56,640</u>	<u>56,640</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,275	1,369	1,369	1,377	1,377
Functional Total	<u>1,275</u>	<u>1,369</u>	<u>1,369</u>	<u>1,377</u>	<u>1,377</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>969,492</u></u>	<u><u>1,047,306</u></u>	<u><u>1,139,763</u></u>	<u><u>1,168,839</u></u>	<u><u>1,153,729</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	363	0	0	0	0
Economic Development, Department of	6,904	8,055	8,055	8,055	8,055
Empire State Development Corporation	1,059	0	0	0	0
Public Service Department	159	0	0	0	0
Functional Total	8,485	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	3,336	1,270	1,270	1,270	1,270
Functional Total	3,336	1,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	14,070	18,000	18,000	18,000	18,000
Transportation, Department of	43,840	113,904	42,504	42,504	42,504
Functional Total	57,910	131,904	60,504	60,504	60,504
HEALTH					
Aging, Office for the	111,040	98,694	98,694	98,694	98,694
Health, Department of	46,750,848	50,639,902	50,715,197	52,309,445	54,078,282
<i>Medical Assistance</i>	40,085,444	43,603,570	43,784,418	45,353,029	47,113,013
<i>Essential Plan</i>	3,834,196	4,506,333	4,515,388	4,524,442	4,533,496
<i>Medicaid Administration</i>	500,186	374,071	375,438	370,538	357,356
<i>Public Health</i>	2,331,022	2,155,928	2,039,953	2,061,436	2,074,417
Functional Total	46,861,888	50,738,596	50,813,891	52,408,139	54,176,976
SOCIAL WELFARE					
Children and Family Services, Office of	1,155,080	918,300	918,300	918,300	918,300
<i>OCFS</i>	1,155,080	918,300	918,300	918,300	918,300
Housing and Community Renewal, Division of	61,655	48,434	48,434	48,434	48,434
Labor, Department of	136,485	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,496,269	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,622,139	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	874,130	817,000	817,000	817,000	817,000
Functional Total	4,849,489	4,562,202	4,562,202	4,562,202	4,562,202
MENTAL HYGIENE					
Addiction Services and Supports, Office of	90,805	118,690	104,490	104,490	104,490
<i>OASAS</i>	90,805	118,690	104,490	104,490	104,490
Mental Health, Office of	40,931	32,025	32,025	32,025	32,025
<i>OMH</i>	40,931	32,025	32,025	32,025	32,025
Functional Total	131,736	150,715	136,515	136,515	136,515
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	12,971	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,300,326	1,008,000	1,008,000	1,008,000	1,008,000
Victim Services, Office of	78,695	93,000	93,000	93,000	93,000
Functional Total	1,391,992	1,116,800	1,116,800	1,116,800	1,116,800
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	70	0	0	0	0
Functional Total	70	0	0	0	0
EDUCATION					
Arts, Council on the	784	600	600	600	600
Education, Department of	3,527,698	4,484,948	4,007,489	3,647,645	3,647,645
<i>School Aid</i>	2,695,936	3,603,241	3,125,782	2,765,938	2,765,938
<i>Special Education Categorical Programs</i>	739,899	800,000	800,000	800,000	800,000
<i>All Other</i>	91,863	81,707	81,707	81,707	81,707
Functional Total	3,528,482	4,485,548	4,008,089	3,648,245	3,648,245
GENERAL GOVERNMENT					
Elections, State Board of	188	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
General Services, Office of	0	250	250	250	250
State, Department of	53,802	57,957	57,957	57,957	57,957
Functional Total	<u>53,990</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>
ALL OTHER CATEGORIES					
Miscellaneous	(434,270)	(467,938)	(467,938)	(467,938)	(467,938)
Functional Total	<u>(434,270)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>56,453,108</u></u>	<u><u>60,785,359</u></u>	<u><u>60,297,595</u></u>	<u><u>61,531,999</u></u>	<u><u>63,300,836</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,636	3,413	3,413	3,413	3,413
Public Service Department	1,540	1,202	1,202	1,202	1,202
Functional Total	6,176	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	24,969	28,909	28,909	28,888	28,888
Parks, Recreation and Historic Preservation, Office of	2,516	1,367	1,367	1,367	1,367
Functional Total	27,485	30,276	30,276	30,255	30,255
TRANSPORTATION					
Motor Vehicles, Department of	3,232	4,979	4,979	4,979	4,979
Transportation, Department of	8,215	10,887	10,484	10,484	10,484
Functional Total	11,447	15,866	15,463	15,463	15,463
HEALTH					
Aging, Office for the	5,140	6,068	6,068	6,068	6,068
Health, Department of	82,998	94,532	96,179	99,012	101,286
<i>Medicaid Administration</i>	33,573	35,527	39,521	42,343	44,616
<i>Public Health</i>	49,425	59,005	56,658	56,669	56,670
Medicaid Inspector General, Office of the	15,587	16,239	15,625	15,625	15,625
Functional Total	103,725	116,839	117,872	120,705	122,979
SOCIAL WELFARE					
Children and Family Services, Office of	26,668	30,451	31,060	31,060	31,371
<i>OCFS</i>	26,668	30,451	31,060	31,060	31,371
Housing and Community Renewal, Division of	5,500	8,125	8,288	8,288	8,288
Human Rights, Division of	3,033	3,354	3,421	3,421	3,421
Labor, Department of	175,235	171,550	174,986	174,986	174,986
National and Community Service	374	390	398	398	402
Temporary and Disability Assistance, Office of	95,833	77,755	79,311	79,311	79,311
<i>All Other</i>	95,833	77,755	79,311	79,311	79,311
Functional Total	306,643	291,625	297,464	297,464	297,779
MENTAL HYGIENE					
Addiction Services and Supports, Office of	2,935	7,065	5,050	5,101	5,152
<i>OASAS</i>	2,935	7,065	5,050	5,101	5,152
Developmental Disabilities Planning Council	1,133	1,266	1,266	1,266	1,266
Justice Center	0	228	229	231	233
Mental Health, Office of	1,012	813	813	813	813
<i>OMH</i>	1,012	813	813	813	813
People with Developmental Disabilities, Office for	233	0	0	0	0
<i>OPWDD</i>	233	0	0	0	0
Functional Total	5,313	9,372	7,358	7,411	7,464
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	1,920	15,753	15,753	15,753	15,753
<i>DOCCS</i>	1,920	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	4,491	4,681	4,681	4,681	4,775
Homeland Security and Emergency Services, Division of	8,501	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	22,957	22,778	22,778	23,199	23,629
State Police, Division of	11,854	12,265	12,403	12,652	12,652
Victim Services, Office of	3,645	3,000	3,000	3,000	1,743
Functional Total	53,368	73,477	73,615	74,285	73,552
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	836	836	836	836
State University of New York	9,759	8,306	8,306	8,306	8,306
Functional Total	9,759	9,142	9,142	9,142	9,142
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Education, Department of	86,866	90,422	87,737	87,737	87,737
<i>School Aid</i>	0	2,685	0	0	0
<i>All Other</i>	86,866	87,737	87,737	87,737	87,737
Functional Total	<u>86,866</u>	<u>90,422</u>	<u>87,737</u>	<u>87,737</u>	<u>87,737</u>
GENERAL GOVERNMENT					
Elections, State Board of	597	480	480	480	480
State, Department of	2,859	3,758	3,758	3,758	3,758
Veterans' Services, Division of	542	826	843	843	851
Functional Total	<u>3,998</u>	<u>5,064</u>	<u>5,081</u>	<u>5,081</u>	<u>5,089</u>
ELECTED OFFICIALS					
Judiciary	1,903	3,100	3,100	3,100	3,100
Law, Department of	20,144	22,960	22,104	22,104	22,104
Functional Total	<u>22,047</u>	<u>26,060</u>	<u>25,204</u>	<u>25,204</u>	<u>25,204</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>636,827</u></u>	<u><u>672,758</u></u>	<u><u>673,827</u></u>	<u><u>677,362</u></u>	<u><u>679,279</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	9,933	9,808	9,808	9,808	9,808
Economic Development, Department of	716	245	245	245	245
Financial Services, Department of	0	1,400	1,400	1,400	1,400
Public Service Department	277	93	93	93	93
Functional Total	10,926	11,546	11,546	11,546	11,546
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	350	350	350	350
Environmental Conservation, Department of	15,974	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	1,658	1,147	1,147	1,147	1,147
Functional Total	17,632	18,439	18,439	18,439	18,439
TRANSPORTATION					
Motor Vehicles, Department of	2,126	4,539	4,539	4,539	4,539
Transportation, Department of	9,358	13,450	13,435	13,435	13,435
Functional Total	11,484	17,989	17,974	17,974	17,974
HEALTH					
Aging, Office for the	544	4,348	4,348	4,348	4,348
Health, Department of	624,373	620,506	643,120	645,333	651,407
<i>Medicaid Administration</i>	297,165	389,677	412,267	416,697	422,373
<i>Public Health</i>	327,208	230,829	230,853	228,636	229,034
Medicaid Inspector General, Office of the	2,780	2,894	2,894	2,894	2,894
Functional Total	627,697	627,748	650,362	652,575	658,649
SOCIAL WELFARE					
Children and Family Services, Office of	53,998	69,510	70,875	70,875	72,252
<i>OCFS</i>	53,998	69,510	70,875	70,875	72,252
Housing and Community Renewal, Division of	2,165	3,155	3,293	3,293	3,293
Human Rights, Division of	1,351	1,313	1,339	1,339	1,339
Labor, Department of	80,371	78,537	81,677	81,677	81,677
National and Community Service	10,942	15,573	15,884	15,884	16,202
Temporary and Disability Assistance, Office of	66,373	72,514	73,954	73,954	73,954
<i>All Other</i>	66,373	72,514	73,954	73,954	73,954
Functional Total	215,200	240,602	247,022	247,022	248,717
MENTAL HYGIENE					
Addiction Services and Supports, Office of	1,001	3,392	2,220	2,282	2,349
<i>OASAS</i>	1,001	3,392	2,220	2,282	2,349
Developmental Disabilities Planning Council	2,821	2,149	2,149	2,149	2,149
Justice Center	1,677	536	549	568	584
Mental Health, Office of	1,360	555	555	555	555
<i>OMH</i>	1,360	555	555	555	555
People with Developmental Disabilities, Office for	254	1,000	1,000	1,000	1,000
<i>OPWDD</i>	254	1,000	1,000	1,000	1,000
Functional Total	7,113	7,632	6,473	6,554	6,637
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	964	1,191	1,191	1,191	1,191
<i>DOCCS</i>	964	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	3,903	4,249	4,249	4,334	4,418
Homeland Security and Emergency Services, Division of	16,024	25,000	25,000	25,000	25,000
Military and Naval Affairs, Division of	15,007	15,841	15,841	16,153	16,472
State Police, Division of	14,098	13,000	13,400	13,668	13,668
Victim Services, Office of	1,025	1,600	1,600	1,600	885
Functional Total	51,021	60,881	61,281	61,946	61,634

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
HIGHER EDUCATION					
City University of New York	1,627	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	3,926	5,797	5,797	5,797	5,797
State University of New York	338,693	340,330	340,330	340,330	340,330
Functional Total	<u>344,246</u>	<u>353,761</u>	<u>353,761</u>	<u>353,761</u>	<u>353,761</u>
EDUCATION					
Arts, Council on the	0	100	100	100	100
Education, Department of	78,668	67,881	65,381	65,381	65,381
<i>School Aid</i>	0	2,500	0	0	0
<i>All Other</i>	78,668	65,381	65,381	65,381	65,381
Functional Total	<u>78,668</u>	<u>67,981</u>	<u>65,481</u>	<u>65,481</u>	<u>65,481</u>
GENERAL GOVERNMENT					
Elections, State Board of	8,035	4,000	2,800	824	824
General Services, Office of	11,590	8,032	8,032	8,193	8,193
Information Technology Services, Office of	1,281	140	0	0	0
State, Department of	1,730	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	148	1,220	1,220	1,220	1,220
Veterans' Services, Division of	186	671	685	685	698
Functional Total	<u>22,970</u>	<u>18,109</u>	<u>16,783</u>	<u>14,968</u>	<u>14,981</u>
ELECTED OFFICIALS					
Judiciary	6,092	9,400	9,400	9,400	9,400
Law, Department of	5,823	7,791	7,791	7,791	7,791
Functional Total	<u>11,915</u>	<u>17,191</u>	<u>17,191</u>	<u>17,191</u>	<u>17,191</u>
ALL OTHER CATEGORIES					
Miscellaneous	32	1	0	0	0
Functional Total	<u>32</u>	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>1,398,904</u></u>	<u><u>1,441,880</u></u>	<u><u>1,466,313</u></u>	<u><u>1,467,457</u></u>	<u><u>1,475,010</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,804	2,125	2,170	2,216	2,216
Public Service Department	795	749	765	781	781
Functional Total	3,599	2,874	2,935	2,997	2,997
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	15,111	17,997	18,378	18,752	18,752
Parks, Recreation and Historic Preservation, Office of	0	24	24	24	24
Functional Total	15,111	18,021	18,402	18,776	18,776
TRANSPORTATION					
Motor Vehicles, Department of	1,516	806	806	806	806
Transportation, Department of	4,952	6,641	6,489	6,489	6,489
Functional Total	6,468	7,447	7,295	7,295	7,295
HEALTH					
Aging, Office for the	63	0	0	0	0
Health, Department of	33,527	48,957	51,147	50,666	50,967
<i>Medicaid Administration</i>	3,658	5,279	7,447	8,136	8,437
<i>Public Health</i>	29,869	43,678	43,700	42,530	42,530
Medicaid Inspector General, Office of the	9,437	10,301	10,301	10,301	10,301
Functional Total	43,027	59,258	61,448	60,967	61,268
SOCIAL WELFARE					
Children and Family Services, Office of	11,791	19,781	19,745	19,745	19,745
<i>OCFS</i>	11,791	19,781	19,745	19,745	19,745
Housing and Community Renewal, Division of	3,327	4,005	5,501	5,501	5,501
Labor, Department of	106,107	96,193	117,217	117,217	117,217
National and Community Service	0	242	242	242	244
Temporary and Disability Assistance, Office of	58,146	48,412	49,436	50,476	50,476
<i>All Other</i>	58,146	48,412	49,436	50,476	50,476
Functional Total	179,371	168,633	192,141	193,181	193,183
MENTAL HYGIENE					
Developmental Disabilities Planning Council	682	785	785	785	785
Justice Center	0	64	65	93	93
Mental Health, Office of	617	469	469	469	469
<i>OMH</i>	617	469	469	469	469
People with Developmental Disabilities, Office for	142	0	0	0	0
<i>OPWDD</i>	142	0	0	0	0
Functional Total	1,441	1,318	1,319	1,347	1,347
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections and Community Supervision, Department of	956	1,041	1,041	1,041	1,041
<i>DOCCS</i>	956	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	259	348	348	355	362
Homeland Security and Emergency Services, Division of	3,939	7,000	7,000	7,000	7,000
Military and Naval Affairs, Division of	8,307	7,431	7,431	7,431	7,431
State Police, Division of	1,899	1,500	1,500	1,500	1,500
Victim Services, Office of	427	0	0	0	0
Functional Total	15,787	17,320	17,320	17,327	17,334
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	1	1	1	1
State University of New York	99	51	51	51	51
Functional Total	99	52	52	52	52
EDUCATION					
Education, Department of	53,408	56,000	56,000	56,000	56,000
<i>All Other</i>	53,408	56,000	56,000	56,000	56,000
Functional Total	53,408	56,000	56,000	56,000	56,000
GENERAL GOVERNMENT					
Elections, State Board of	364	300	300	300	300

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
State, Department of	1,740	2,811	2,812	2,812	2,812
Veterans' Services, Division of	330	514	536	547	552
Functional Total	<u>2,434</u>	<u>3,625</u>	<u>3,648</u>	<u>3,659</u>	<u>3,664</u>
ELECTED OFFICIALS					
Judiciary	603	700	700	700	700
Law, Department of	12,247	14,428	13,017	13,017	13,017
Functional Total	<u>12,850</u>	<u>15,128</u>	<u>13,717</u>	<u>13,717</u>	<u>13,717</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>333,595</u></u>	<u><u>349,676</u></u>	<u><u>374,277</u></u>	<u><u>375,318</u></u>	<u><u>375,633</u></u>

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RBTF - Dedicated PIT in excess of Debt Service		25,862,436	19,152,047	20,560,484	21,643,927	23,145,098
ECEP in Excess of Revenue Bond Debt Service		0	1,650	2,950	3,250	3,650
STBF - Sales Tax Bond Fund		2,761,909	1,987,372	2,176,904	2,250,990	2,371,528
LGAC - Dedicated Sales Tax in excess of Debt Service		3,416,597	3,062,660	3,414,000	3,530,000	3,651,250
CWCA - Real Estate Transfer Tax in excess of Debt Service		951,073	780,852	841,082	904,669	961,401
Total All Other Transfers		2,914,756	2,578,790	1,855,366	1,531,961	1,352,425
339.21982	Administration Program	0	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	425	0	0	0	0
339.21977	Business and Licensing Services Account	3,785	60,058	58,328	58,328	58,328
339.21920	Certificate of Need Account	2,172	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	101,295	0	0	0	0
061.20810	Child Health Insurance Account	29	0	0	0	0
025.20401	Child Performer Protection Account	21	0	0	0	0
334.55055	Civil Service Administration Account	1,500	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	1,500	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	624	289	289	289	289
S01.23702	Commercial Gaming Regulation	0	2	2	2	2
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	228	357	357	357	357
339.21945	Criminal Justice Improvement Account	22,108	11,886	11,886	11,886	11,886
072.30050	Dedicated Highway and Bridge Trust Fund	63,755	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	1,075	0	0	0	0
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
169.00000	Distressed Provider Assistance Account	0	250,000	150,000	0	0
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
486.26000	Division of Labor Federal Grants	2,006	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20818	Elderly Pharmaceutical Insurance Coverage Premium Account	37	0	0	0	0
061.20809	Emergency Medical Services Training Account	257	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	193	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	0	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	1,614	1,314	1,314	1,314	1,314
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	134	0	0	0	0
265.25100	Federal Health and Human Services Fund	96,352	111,617	111,617	111,617	111,617
290.25300	Federal Operating Grants Fund	3,518	481	481	481	481
261.25000	Federal USDA/Food and Nutrition Services Fund	42,424	34,694	34,694	34,694	34,694
339.21950	Fingerprint Identification & Technology Account	15,612	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	0	20,810	20,810	20,810	20,810
339.22075	Funeral Directing Program Account	25	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	22,495	25,200	25,200	25,200	25,200
061.20821	Health Care Delivery Administration Account	17	0	0	0	0
S06.24850	Health Care Transformation Account	710,332	453,296	137,999	68,000	0
396.55300	Health Insurance Internal Services Account	3,121	3,428	3,428	3,428	3,428
061.20819	Health Occupation Development Workplan Demo Account	70	0	0	0	0
S02.23755	Health Operation and Oversight Account	346	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	11,438	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	0	201	201	201	201
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
334.55071	Labor Contact Center	189	0	0	0	0
339.22096	Legal Services Assistance Fund	17,080	9,830	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration - New	5,521	4,274	4,274	4,274	4,274
339.22130	Low Income Housing Monitor	717	0	0	0	0
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	1,466,392	1,274,525	1,183,195	1,085,273	973,579
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,468	0	0	0	0
S02.23753	MMF - Law Enforcement	150	0	0	0	0
314.21452	Mobile Source Account	0	6,404	6,404	6,404	6,404
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,400	1,100	1,100	0	0
339.22062	New York City Assessment Account	22,867	0	0	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
061.20823	New York State of Health	68	0	0	0	0
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	22	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,063	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	1,205	0	0	0	0
323.5502Y	Office of General Services Building Administration Account - Internal Service	21	0	0	0	0
339.219YL	Office of General Services Building Administration Account - Special Revenue State	2,075	0	0	0	0
323.5502X	Office of General Services Executive Direction Account	1,791	105	105	105	105
323.5502Z	Office of General Services Standards and Purchase Account - Internal Service	37	0	0	0	0
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,047	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	11	158	158	158	158
339.22088	Professional Medical Conduct Account	775	291	291	291	291
339.22123	Public Safety Communication Account	28,285	30,161	5,161	5,161	5,161
339.22011	Public Service Account	5,633	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	(3,181)	0	0	0	0
339.21965	Radiological Health Protection	246	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	0	115	115	115	115
339.21900	Reserve for Transaction Risks	0	(500,000)	(500,000)	(500,000)	(500,000)
339.22024	Revenue Arrearage Account	0	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	3,547	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	112,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	11,720	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	42,367	46,105	67,023	64,639	64,797
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	924	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,320	5,320	5,320	5,320	5,320
339.22192	Tax Return Preparers Fee	260	0	0	0	0
339.22055	Traffic Adjudication Account	0	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.22067	Transportation Regulation Account	0	2,428	2,443	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	2,777	2,777	2,777	2,777
339.22169	Tribal State Compact Revenue Account	0	340,000	129,000	127,000	127,000
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	31,038	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,632	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,702	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	0	666	666	666	666
365.23051	Vocational Rehabilitation Fund	0	32	32	32	32
339.21995	Workers' Compensation Account	12,299	16,352	16,352	16,352	16,352
		<u>35,906,771</u>	<u>27,563,371</u>	<u>28,850,786</u>	<u>29,864,797</u>	<u>31,485,352</u>

General Fund Transfers To Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Transfers to Debt Service Funds		735,820	1,810,026	488,046	501,370	553,104
Transfers to Capital Projects Funds		3,128,131	3,512,335	3,747,064	3,917,390	3,138,226
Transfers to SUNY University Operations		1,179,366	1,273,471	1,272,748	1,267,162	1,267,162
Total All Other Transfers		1,054,499	1,270,078	1,407,213	1,484,332	1,589,862
020.20143	Alzheimers Disease Assistance	247	270	270	270	270
334.55057	Banking Services	39,837	49,310	49,420	49,420	49,420
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	355	500	500	500	500
323.55022	Business Services Center	8,054	30,000	30,000	30,000	30,000
334.55069	Centralized Technology Services	11,460	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	159,300	159,300	159,300	159,300
397.55350	Correctional Industries	20,773	20,773	20,773	20,773	20,773
073.20853	Dedicated Mass Transportation Non MTA	5,365	5,274	5,274	5,274	5,274
225.23651	Department of Transportation (MTA Payroll Tax)	244,250	275,250	369,250	448,250	552,250
339.22056	Federal Salary Sharing Account	1,963	2,811	2,848	2,887	2,887
319.40300	Health Income Fund	10,239	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	7,853	12,000	12,000	12,000	12,000
316.40250	Housing Debt Fund	1,538	100	1,000	1,000	1,000
390.23551	Indigent Legal Services	22,123	28,000	74,781	74,781	74,781
340.22501	Judiciary Funds	113,672	115,000	115,000	115,000	115,000
313.21402	Mass Transportation Operating Assistance	23,345	21,175	21,175	21,175	21,175
S02.23755	Medical Cannabis Fund	4,626	6,913	6,913	6,913	6,913
339.22128	Medication Reimbursement Account	14	0	0	0	0
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
225.23653	New York Central Business District Trust	112,500	150,000	151,500	153,015	154,545
368.23151	NYC County Clerk Operations Offset Fund	3,214	3,200	3,200	3,200	3,200
323.550Z	Office of General Services Executive Direction Account	9,631	9,628	3,435	0	0
323.550ZY	OGS Building Administration Account	9,500	9,500	9,500	9,500	9,500
020.20183	Prostate Cancer Research and Education	149	200	200	200	200
313.21401	Public Transportation Systems	16,477	16,259	16,259	16,259	16,259
073.20852	Railroad Account	9,371	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,034	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury Fund	8,500	8,500	8,500	8,500	8,500
325.50050	State Fair Receipts Fund	500	3,000	3,000	3,000	3,000
345.22656	State University of New York - Medicaid Reimbursement	305,697	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	52,266	51,394	51,394	51,394	51,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		6,097,816	7,865,910	6,915,071	7,170,254	6,548,354

FY 2020 SPECIAL REVENUE FUND BALANCE TRANSFERS
PURSUANT TO SECTION 16 of PART BBB OF CHAPTER 59 OF THE LAWS OF 2019
(in dollars)

<u>Sending Fund</u>	<u>Receiving Fund</u>	<u>Transfer Amount</u>
22700 Chemical Dependence Service	10050 State Purposes Account	101,294,661.12
21950 Fingerprint Identification and Technology Account	10050 State Purposes Account	7,980,000.00
22051 Professional Education Services	10050 State Purposes Account	2,777,000.00
22103 Vital Records Management	10050 State Purposes Account	2,252,000.00
21933 Transportation Surplus Property	10050 State Purposes Account	1,803,000.00
22028 State Central Register Account	10050 State Purposes Account	1,725,000.00
21920 Certificate of Need Account	10050 State Purposes Account	1,086,000.00
22007 Parking Account	10050 State Purposes Account	1,000,000.00
21902 Health Statewide Planning and Research	10050 State Purposes Account	885,000.00
22162 Systems and Technology Account	10050 State Purposes Account	833,000.00
20501 Local Government Records Management	10050 State Purposes Account	782,000.00
21965 Radiological Health Protection Program Account	10050 State Purposes Account	216,000.00
22042 DED Marketing Account	10050 State Purposes Account	131,000.00
22138 Authority Budget Office	10050 State Purposes Account	45,000.00
20451 Tuition Reimbursement Account	10050 State Purposes Account	23,000.00
22091 Adult Home Quality Enhancement Account	10050 State Purposes Account	21,000.00
22021 Regulation of Manufactured Housing Account	10050 State Purposes Account	20,000.00
22044 Tug Hill Administration Account	10050 State Purposes Account	10,000.00
22110 Assisted Living Residence Quality Oversight Account	10050 State Purposes Account	9,000.00
22075 Funeral Directing Account	10050 State Purposes Account	8,000.00
22097 Local Public Health Services Account	10050 State Purposes Account	5,000.00
21922 Continuing Care Retirement Community Account	10050 State Purposes Account	2,000.00
21993 Radon Detection Device Account	10050 State Purposes Account	2,000.00
	Total	122,909,661.12

**CASH COMBINING STATEMENT
GENERAL FUND
FY 2020
(millions of dollars)**

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Total
Opening Fund Balance	0	1,258	21	35	790	4,194	408	500	0	0	7,206
Receipts:											
Taxes	40,141	0	0	0	0	0	0	0	0	0	40,141
Miscellaneous Receipts	2,372	0	0	0	0	787	0	0	0	0	3,159
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	42,513	0	0	0	0	787	0	0	0	0	43,300
Disbursements:											
Local Assistance	51,858	0	0	5	0	0	0	0	0	0	51,863
State Operations	12,054	0	0	0	0	0	0	0	0	0	12,054
General State Charges	7,454	0	0	0	0	0	0	0	0	0	7,454
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	71,366	0	0	5	0	0	0	0	0	0	71,371
Other financing sources (uses):											
Transfers from Other Funds	99,622	0	0	0	428	0	2,899	0	(67,042)	0	35,907
Transfers to Other Funds	(70,769)	0	0	1	0	(2,371)	(1)	0	67,042	0	(6,098)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	28,853	0	0	1	428	(2,371)	2,898	0	0	0	29,809
Change in Fund Balance	0	0	0	(4)	428	(1,584)	2,898	0	0	0	1,738
Closing Fund Balance	0	1,258	21	31	1,218	2,610	3,306	500	0	0	8,944

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020**
(thousands of dollars)

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMER'S PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	808	68,508	58,589	86	9	8,066	4,571	0	6,501	0	87,772
Receipts:											
Taxes	0	0	0	0	0	0	0	2,183,688	0	732,643	482,436
Miscellaneous Receipts	47	8,787	65,452	63	114	3,584	8,702	1	76	5,528,981	1,444,738
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	47	8,787	65,452	63	114	3,584	8,702	2,183,689	76	6,261,624	627,174
Disbursements:											
Local Assistance	0	5,253	19,639	0	0	0	5,246	2,183,689	1,894	5,944,326	697,119
State Operations	32	2,541	1,338	257	367	2,174	2,019	0	3,433	75,792	0
General State Charges	0	294	529	152	185	1,300	1,113	0	0	8,581	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	32	8,088	21,506	409	552	3,474	8,378	2,183,689	5,327	6,028,699	697,119
Other Financing Sources (Uses):											
Transfers from Other Funds	0	1,373	0	300	500	0	0	0	4,837	0	67,002
Transfers to Other Funds	0	(550)	0	(36)	(21)	(280)	(1,432)	0	0	(217,220)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	823	0	264	479	(280)	(1,432)	0	4,837	(217,220)	67,002
Change in Fund Balance	15	1,522	43,946	(82)	41	(170)	(1,108)	0	(414)	15,705	(2,943)
Closing Fund Balance	823	70,030	102,535	4	50	7,896	3,463	0	6,087	15,705	84,829

	STATE LOTTERY (20900-20949)	STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	360,880	28,748	33,323	(15,504)	(971,747)	(136,957)	(263,585)	(4,221)	(1,674)	74,061	33,983
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,483,496	26,469	1,298	100,288	25,728	0	1,369	1,739	89,388	46,708	49,285
Federal Grants	0	(27,546)	0	2,351,851	55,286,950	3,060,154	1,782,823	0	3	0	0
Total Receipts	3,483,496	(1,077)	1,298	2,452,139	55,312,678	3,060,154	1,784,192	1,739	89,391	46,708	49,285
Disbursements:											
Local Assistance	3,684,194	0	276,569	2,341,985	50,158,719	2,316,958	1,499,098	0	0	0	0
State Operations	26,262	9,237	0	88,405	918,010	557,081	216,711	517	63,344	24,403	13,693
General State Charges	10,880	0	0	20,185	111,006	52,063	44,272	310	26,003	11,876	7,475
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,721,336	9,237	276,569	2,450,575	51,187,735	2,926,102	1,760,081	827	89,347	36,279	21,168
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	356,750	0	0	0	0	0	19,777	609	21,763
Transfers to Other Funds	(5,521)	0	0	(43,872)	(2,039,951)	(12,324)	(13,394)	0	(12,455)	(1,832)	(57,307)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(5,521)	0	356,750	(43,872)	(2,039,951)	(12,324)	(13,394)	0	7,322	(1,223)	(35,544)
Change in Fund Balance	(243,361)	(10,314)	81,479	(42,308)	2,084,992	121,728	10,717	912	7,366	9,206	(7,427)
Closing Fund Balance	117,519	18,434	114,802	(57,812)	1,113,245	(15,229)	(252,868)	(3,309)	5,692	83,267	26,556

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	3,828	9,747	537	115,463	(27,665)	70	11,894	263,829	466	0	1
Receipts:											
Taxes	0	0	0	2,654,017	0	0	0	0	0	0	0
Miscellaneous Receipts	47,166	13,136	30	19,284	31,717	2	1,518	329,827	7	0	(1)
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	47,166	13,136	30	2,673,301	31,717	2	1,518	329,827	7	0	(1)
Disbursements:											
Local Assistance	0	0	0	2,404,389	0	0	0	0	0	0	0
State Operations	33,526	11,224	25	3,092	24,915	0	1,179	0	7	0	0
General State Charges	13,569	226	0	1,836	12,439	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	47,095	11,450	25	2,409,317	37,354	0	1,179	0	7	0	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	39,822	0	0	0	0	0	0	0
Transfers to Other Funds	(2,268)	0	0	(4,632)	0	0	0	(328,856)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2,268)	0	0	35,190	0	0	0	(328,856)	0	0	0
Change in Fund Balance	(2,197)	1,686	5	299,174	(5,637)	2	339	971	0	0	(1)
Closing Fund Balance	1,631	11,433	542	414,637	(33,302)	72	12,233	264,800	466	0	0

	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	COURT FACILITIES INCENTIVE AID (22500-22549)	EMPLOYMENT TRAINING (22550-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST (22750-22799)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	947	1,139,528	19,201	51	1,543,169	9,995	70	24,915	355	24	10,277
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	36	3,008,776	820	1	4,763,338	107,881	1,382	122,191	178	0	208
Federal Grants	0	14,652	0	0	0	0	0	0	0	0	0
Total Receipts	36	3,023,428	820	1	4,763,338	107,881	1,382	122,191	178	0	208
Disbursements:											
Local Assistance	0	1,147,711	118,800	0	0	0	0	3,293	0	0	(132)
State Operations	0	1,497,819	1,984	0	6,066,735	6,443	895	9,183	95	0	0
General State Charges	0	402,407	869	0	441,536	0	386	0	56	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	3,047,937	121,653	0	6,508,271	6,443	1,281	12,476	151	0	(132)
Other Financing Sources (Uses):											
Transfers from Other Funds	0	622,966	113,672	0	1,992,828	0	0	0	0	0	0
Transfers to Other Funds	0	(360,339)	(3,517)	0	(302,207)	(101,295)	0	(113,820)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	262,627	110,155	0	1,690,621	(101,295)	0	(113,820)	0	0	0
Change in Fund Balance	36	238,118	(10,678)	1	(54,312)	143	101	(4,105)	27	0	340
Closing Fund Balance	983	1,377,646	8,523	52	1,488,857	10,138	171	20,810	382	24	10,617

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020**
(thousands of dollars)

	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23249)	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)	UNEMPLOYMENT INSURANCE TRAINING (25950-25999)
Opening Fund Balance	(12,683)	31	(5,351)	(28,280)	37,796	190,533	183	313,697	141,983	34,816	(524)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,299	70	0	32,468	54,695	115,681	116	236,925	87,513	11,880	0
Federal Grants	0	0	0	0	0	0	0	0	256,332	0	4,266
Total Receipts	1,299	70	0	32,468	54,695	115,681	116	236,925	343,845	11,880	4,266
Disbursements:											
Local Assistance	0	0	0	0	0	0	0	91,193	2,729	0	4,256
State Operations	2,946	43	0	23,968	29,007	101,696	0	28,117	227,803	2,037	0
General State Charges	0	0	0	11,411	10,017	204	0	1,645	97,285	1,076	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	2,946	43	0	35,379	39,024	101,900	0	120,955	327,817	3,113	4,256
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,214	0	0	0	22,123	0	0	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	(31,038)	(11,632)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	3,214	0	0	0	22,123	(31,038)	(11,632)	0
Change in Fund Balance	(1,647)	27	0	303	15,671	13,781	116	138,093	(15,010)	(2,865)	10
Closing Fund Balance	(14,330)	58	(5,351)	(27,977)	53,467	204,314	299	451,790	126,973	31,951	(514)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIHUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23899)	FANTASY SPORTS (24950-24999)	HEALTH CARE TRANSFER (24850-24899)	CHARITABLE GIFTS TRUST FUND (24900-24949)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(2,015)	31,687	8,152	1,810	13,433	524,619	93,599	0	3,842,405	0	3,842,405
Receipts:											
Taxes	0	0	5,697	523	0	0	0	0	6,059,004	0	6,059,004
Miscellaneous Receipts	100	191,378	1,892	1,660	6,261	501,010	2,046	0	19,278,804	0	19,278,804
Federal Grants	167,381	0	0	0	0	0	0	0	62,896,866	0	62,896,866
Total Receipts	167,481	191,378	7,589	2,183	6,261	501,010	2,046	0	88,234,674	0	88,234,674
Disbursements:											
Local Assistance	129,363	202,841	2,417	0	0	0	0	500	73,242,049	0	73,242,049
State Operations	27,721	2,646	4,157	704	70	0	0	0	10,113,653	0	10,113,653
General State Charges	8,784	1,579	1,108	395	35	0	0	0	1,303,087	0	1,303,087
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	165,868	207,066	7,682	1,099	105	0	0	500	84,658,789	0	84,658,789
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	4,626	0	0	0	0	0	3,272,162	(1,002,965)	2,269,197
Transfers to Other Funds	(2,006)	0	(752)	(40)	0	(710,332)	0	500	(4,378,429)	1,002,965	(3,375,464)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2,006)	0	3,874	(40)	0	(710,332)	0	500	(1,106,267)	0	(1,106,267)
Change in Fund Balance	(393)	(15,688)	3,781	1,044	6,156	(209,322)	2,046	0	2,469,618	0	2,469,618
Closing Fund Balance	(2,408)	15,999	11,933	2,854	19,589	315,297	95,645	0	6,312,023	0	6,312,023

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hyg Gifts	806	0	47	0	0	0	47	0	0	32	0	0	0	0	0	0	32	821
020.20100-Combined Exp Tr	(35)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,540	0	1,526	0	0	0	1,526	0	166	594	6	0	106	0	0	0	872	2,194
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	2	0	0	0	2	0	0	1	0	0	0	0	0	0	1	54
020.20109-Helen Hayes Hsp	61	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	63
020.20110-Oxford Donation	320	0	51	0	0	0	51	0	0	29	0	0	0	0	0	0	29	342
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	107	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	0	112
020.20113-Donations-Batav	16	0	29	0	0	0	29	0	0	11	0	0	0	0	0	0	11	34
020.20114-Montrose Donati	195	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	208
020.20116-IBR Genetic Cou	(1)	0	70	0	0	0	70	0	0	44	0	0	0	0	0	0	44	25
020.20118-Tech Transfer	(1)	0	12	0	0	0	12	0	0	12	0	0	0	0	0	0	12	(1)
020.20120-Spec Events	2,660	0	881	0	0	0	881	0	175	79	9	0	158	0	0	0	421	3,120
020.20123-L.M. Josephthal	49	0	2	0	0	0	2	0	0	1	0	0	0	0	0	0	1	50
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,493	0	862	0	0	0	862	0	0	910	0	0	0	0	0	0	910	1,445
020.20127-DMNA Military	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.20128-WB Hoyt Memoria	4,253	0	88	0	0	622	710	0	0	0	0	0	0	0	0	0	0	4,963
020.20129-NYSCB Gift& Beq	180	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	0	184
020.20130-St Transm Money	20,140	0	436	0	0	0	436	0	0	0	0	0	0	0	0	0	0	20,576
020.20142-Youth Grants &	281	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	0	286
020.20143-Alzheimers Dis	1,586	0	245	0	0	247	492	670	0	0	0	0	0	0	0	0	670	1,408
020.20144-Local Gov Comm	148	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	0	151
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	436	0	140	0	0	0	140	0	0	8	0	0	0	0	0	0	8	568
020.20150-Emergency Serv	17,526	0	2,633	0	0	0	2,633	2,818	56	0	2	0	30	0	0	0	2,906	17,253
020.20151-Batavia-Charlot	348	0	50	0	0	0	50	0	0	6	0	0	0	0	0	0	6	392
020.20152-Rome-Gifts And	86	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	0	95
020.20155-Br Can Res & Ed	6,919	0	462	0	0	355	817	1,582	0	0	0	0	0	0	0	0	1,582	6,154
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	107	0	2	0	0	0	2	0	0	16	0	0	0	0	0	0	16	93
020.20166-Erie Canal Muse	16	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	0	19
020.20167-Grants and Bequ	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
020.20174-Life Pass It on	1,447	0	231	0	0	0	231	0	0	166	0	0	0	0	0	0	166	1,512
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20182-Parole Olcr Mem	43	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	0	2
020.20183-Prostate Cancer	2,583	0	185	0	0	149	334	0	0	0	0	0	0	0	0	0	0	2,917
020.20185-Percy T Phillip	42	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	43
020.20192-Missing Children	75	0	146	0	0	0	146	0	30	34	0	0	0	0	0	0	64	157
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	545	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	557
020.20184-DFY Rec & Welifr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201GW-CCF Grfs & Beqs	153	0	3	0	0	0	3	0	0	100	0	0	0	0	0	0	100	56
020.201HH-OMH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,605	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	1,130
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZZ-Donated Funds	(92)	0	61	0	0	0	61	0	0	0	0	0	0	0	0	0	0	373
020.20200-NY Teen Hth Ed	76	0	34	0	0	0	34	90	0	0	0	0	0	0	0	0	90	20

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.20201-Veterans Rem Ce	1,257	0	289	0	0	0	289	0	0	0	0	0	0	0	0	0	0	1,546
020.20205-Mental Illness	182	0	75	0	0	0	75	1	0	0	0	0	0	0	0	0	1	256
020.20206-Women's Cancer	195	0	76	0	0	0	76	92	0	0	0	0	0	0	0	0	92	179
020.20209-Combined Gifts	2,301	0	60	0	0	0	60	0	0	86	0	0	0	0	0	0	86	2,275
023.20300-N Y Int Lawyers	58,589	0	65,452	0	0	0	65,452	19,639	831	479	28	0	529	0	0	0	21,506	102,535
024.20350-NYS Archvs Pine	85	0	63	0	0	300	363	0	238	11	8	0	152	0	0	36	445	3
025.20401-Child Performer	12	0	114	0	0	500	614	0	290	67	10	0	185	0	0	21	573	53
050.20451-Tuition Reimb	4,981	0	274	0	0	0	274	0	0	41	0	0	268	0	0	23	332	4,923
050.20452-Voc School Slupe	3,087	0	3,310	0	0	0	3,310	0	1,561	518	54	0	1,032	0	0	257	3,422	2,975
052.20501-Loc Govt Record	4,569	0	8,702	0	0	0	8,702	5,246	1,743	216	60	0	1,113	0	0	1,432	9,810	3,461
053.20550-Sch Tax Relief	(1)	2,183,688	1	0	0	0	2,183,689	2,183,689	0	0	0	0	0	0	0	0	2,183,689	(1)
054.20601-Charter School	6,502	0	76	0	0	4,837	4,913	1,894	0	3,433	0	0	0	0	0	0	5,327	6,088
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	5	0	3,534	0	0	0	3,534	0	1,784	45	61	0	1,136	0	0	269	3,295	244
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assist.	(1)	0	3,836,254	0	0	0	3,836,254	3,836,200	0	0	0	0	0	0	0	0	3,836,200	53
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	(1,148)	0	365,497	0	0	0	365,497	354,881	284	7,338	9	0	170	0	0	0	362,682	1,667
061.20809-EEMS Training	(2)	0	15,072	0	0	0	15,072	9,357	1,889	1,093	64	0	1,194	0	0	735	14,332	738
061.20810-Child Health In	0	0	749,000	0	0	0	749,000	735,236	511	10,533	17	0	312	0	0	29	746,638	2,362
061.20811-HCRA Undistrib	1	732,643	(520,321)	0	0	0	212,322	0	0	0	0	0	0	0	0	206,151	206,151	6,172
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	0	0	94	0	0	0	94	60	0	0	0	0	0	0	0	0	60	34
061.20814-Primary Care In	(3)	0	512	0	0	0	512	0	266	0	9	0	170	0	0	11	456	53
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
061.20817-Indigent Care	1,148	0	916,670	0	0	0	916,670	907,473	0	0	0	0	0	0	0	9,128	916,601	1,217
061.20818-EPIC Premium	4	0	114,678	0	0	0	114,678	101,119	718	9,931	25	0	459	0	0	166	112,418	2,264
061.20819-Health Occup De	0	0	1,328	0	0	0	1,328	0	612	74	21	0	388	0	0	135	1,230	98
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	(3)	0	572	0	0	0	572	0	282	1	10	0	180	0	0	67	540	29
061.20822-Cig Task Force	0	0	4,269	0	0	0	4,269	0	2,456	60	84	0	1,568	0	0	0	4,168	101
061.20823-NYSOH	0	0	41,822	0	0	0	41,822	0	4,715	32,739	161	0	3,004	0	0	529	41,148	674
073.20851-Transit Authori	60,749	376,822	112,870	0	0	52,266	541,958	546,267	0	0	0	0	0	0	0	0	546,267	56,440
073.20852-Railroad Accoun	10,788	66,498	19,918	0	0	9,371	95,787	96,243	0	0	0	0	0	0	0	0	96,243	10,332
073.20853-DWTF	16,233	39,116	11,950	0	0	5,365	56,431	54,609	0	0	0	0	0	0	0	0	54,609	18,055
160.20901-Education - New	239,190	0	2,472,677	0	0	0	2,472,677	2,708,994	0	0	0	0	0	0	0	0	2,708,994	2,873
160.20902-Lottery Adm New	64,512	0	66,011	0	0	0	66,011	0	15,133	7,870	514	0	9,575	0	0	5,521	38,613	91,910
160.20903-VLT Administrat	24,905	0	808	0	0	0	808	0	2,056	619	70	0	1,305	0	0	0	4,050	21,663
160.20904-VLT - Education	32,274	0	944,000	0	0	0	944,000	975,200	0	0	0	0	0	0	0	0	975,200	1,074
221.20950-Comb Student Ln	28,750	0	26,469	(27,546)	0	0	(1,077)	0	0	9,237	0	0	0	0	0	0	9,237	18,436
225.23651-Mobility Tax Tr	1,005	0	437	0	0	244,250	244,687	244,250	0	0	0	0	0	0	0	0	244,250	1,442
225.23652-MTA Akl Trust	32,321	0	394	0	0	0	394	32,319	0	0	0	0	0	0	0	0	32,319	396
225.23653-NY Cen Bus Dis	0	0	467	0	0	112,500	112,967	0	0	0	0	0	0	0	0	0	0	112,967
300.21002-Encon Admin Acc	(4,222)	0	1,739	0	0	0	1,739	0	508	9	0	0	310	0	0	0	827	(3,310)
301.21051-EnCon Energy Ef	77	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	79
301.21052-EnCon-Seized As	79	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	81
301.21053-Wst Tire MgrRe	30,650	0	28,953	0	0	0	28,953	0	12,016	460	410	0	7,635	0	0	1,446	21,967	37,636
301.21054-Oil & Gas Accou	95	0	29	0	0	0	29	0	0	97	0	0	0	0	0	0	97	27
301.21055-Marine/Coastal	192	0	14	0	0	0	14	0	0	0	0	0	0	0	0	0	0	206
301.21060-Indirect Charge	1,197	0	44	0	0	10,427	10,471	0	1,767	4,751	61	0	1,127	0	0	2,085	9,791	2,477
301.21061-Hazardous Sub B	1,190	0	324	0	0	0	324	0	0	22	0	0	0	0	0	0	22	1,492
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	8	12

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21064-Utility Envir R	(1,671)	0	1,673	0	0	0	1,673	0	1,000	0	34	0	639	0	0	0	1,673	(1,671)
301.21065-Federal Grant I	654	0	0	3	0	9,350	9,353	0	8,204	228	0	0	0	0	0	1,041	9,473	534
301.21066-Low Level Radio	(4,328)	0	2,971	0	0	0	2,971	0	1,239	398	43	0	796	0	0	433	2,909	(4,266)
301.21067-Recreation Acco	4,431	0	10,600	0	0	0	10,600	0	2,466	1,321	84	0	855	0	0	455	5,181	9,850
301.21077-Public Safety R	10	0	1	0	0	0	1	0	0	10	0	0	0	0	0	0	10	1
301.21080-Encon Magazine	877	0	471	0	0	0	471	0	0	441	0	0	0	0	0	150	591	757
301.21081-Environmental R	(47,411)	0	25,918	0	0	0	25,918	0	14,941	2,384	509	0	9,472	0	0	6,445	33,751	(55,244)
301.21082-Natural Resourc	(13,354)	0	6,876	0	0	0	6,876	0	3,830	812	130	0	2,415	0	0	400	7,587	(14,065)
301.21083-UST-Trust Recov	460	0	146	0	0	0	146	0	0	0	0	0	0	0	0	0	0	606
301.21084-Mined Land Recl	3,777	0	4,139	0	0	0	4,139	0	2,172	165	74	0	1,379	0	0	0	3,790	4,126
301.21089-SEQR Review	(43)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(43)
301.211057-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2110ZZ-Monitors-Aggr	20,807	0	7,225	0	0	0	7,225	0	2,642	535	90	0	1,685	0	0	0	4,952	23,080
302.21150-Conservation	5,791	0	40,573	0	0	598	41,171	0	18,103	4,593	617	0	11,495	0	0	1,832	36,640	10,322
302.21151-Marine Resource	3,831	0	2,432	0	0	11	2,443	0	593	438	20	0	381	0	0	0	1,432	4,842
302.21152-Migratory Bird	33	0	1	0	0	0	1	0	0	34	0	0	0	0	0	0	34	0
302.21153-Guides License	225	0	61	0	0	0	61	0	0	1	0	0	0	0	0	0	1	285
302.21154-Fish And Game T	64,149	0	3,624	0	0	0	3,624	0	0	0	0	0	0	0	0	0	0	67,773
302.21155-Surf Clam/Quaho	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	5	0	0	0	5	0	0	4	0	0	0	0	0	0	4	3
302.21158-OUTDOOR REC & T	26	0	12	0	0	640	639	0	377	9	13	0	241	0	0	0	640	3
303.21201-Oil Spill - DAC	4	0	(1)	0	0	258	258	0	149	8	5	0	95	0	0	0	257	3
303.21202-Oil Sp Relocatn	2	0	162	0	0	20,110	20,272	0	11,219	1,530	383	0	7,139	0	0	0	20,271	(1)
303.21203-Oil Spill - DEC	(2)	0	38,027	0	0	0	38,027	0	0	0	0	0	0	0	0	45,454	45,454	26,552
303.21204-Oil Spill - DAC	33,979	0	11,097	0	0	755	11,852	0	0	0	0	0	0	0	0	11,853	11,853	0
303.21205-License Fee Sur	1	0	27,992	0	0	0	27,992	0	11,496	9,250	391	0	7,291	0	0	1,205	29,633	926
305.21251-OSH Trng & Educ	2,567	0	19,174	0	0	0	19,174	0	9,902	2,150	337	0	6,278	0	0	1,063	19,730	701
305.21252-OSHA Inspection	1,257	0	13,136	0	0	0	13,136	0	581	10,643	0	0	226	0	0	0	11,450	11,434
306.21301-CSF Regis Fee	9,748	0	30	0	0	0	30	0	0	25	0	0	0	0	0	0	25	545
307.21351-Equipment Loan	540	0	173	0	0	16,477	115,761	112,229	514	0	18	0	328	0	0	0	113,089	16,825
313.21401-Pub Tran Sysms	14,153	99,111	19,109	0	0	23,345	2,597,360	2,292,160	2,366	113	81	0	1,508	0	0	4,632	2,300,860	397,709
313.21402-Metropolitan Ma	101,209	2,554,906	2	0	0	0	2,557,302	0	0	0	0	0	0	0	0	0	0	112
313.21403-Urban Mass Tran	110	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	112
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(27,258)	0	3,743	0	0	0	3,743	0	3,896	1,054	133	0	2,467	0	0	0	7,550	(31,065)
314.21452-Mobile Source	(414)	0	27,974	0	0	0	27,974	0	15,749	3,547	536	0	9,972	0	0	0	29,804	(2,244)
318.21501-Housing Reserve	68	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	70
321.21551-Legisl Comp R&D	11,829	0	1,517	0	0	0	1,517	0	0	1,179	0	0	0	0	0	0	1,179	12,167
321.21552-Demographics/Re	63	0	1	0	0	0	1	0	0	6	0	0	0	0	0	0	6	(1)
330.40350-S U Dorm Income	263,825	0	329,827	0	0	0	329,827	0	0	0	0	0	0	0	0	0	0	242
332.21651-Brummer Award	39	0	2	0	0	0	2	0	0	1	0	0	0	0	0	0	1	40
332.21652-William Vorce F	237	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	0	242
332.21653-Rocky Poccantico	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
332.21654-OPWDD Nonexp Tr	74	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	64
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	74
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
335.21750-Nys Musical Ins	1	0	(1)	0	0	0	(1)	0	0	0	0	0	0	0	0	0	0	100
338.21851-Arts Capital Re	946	0	36	0	0	0	36	0	0	0	0	0	0	0	0	0	0	0
340.22501-CFIA Undistrib	19,201	0	820	0	0	113,672	114,492	118,800	1,972	12	0	0	869	0	0	3,517	125,170	8,523
341.22552-DFY-NYC Summer	52	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	53
345.22652-L I Vets Home	25,659	0	59,436	0	0	0	59,436	0	31,029	17,600	0	0	4	0	0	7,865	56,498	28,597
345.22653-S U Genl IFR	863,399	0	833,230	0	0	0	833,230	0	192,134	469,396	0	0	9,531	0	0	85,552	756,613	940,016
345.22654-S U Inc Offset	(20,179)	0	(434)	0	0	0	(434)	0	0	0	0	0	0	0	0	0	0	(20,613)
345.22655-Gen Rev Offset	154,396	0	1,571,752	0	0	1,179,366	2,751,118	0	2,332,645	407,540	0	0	(4,368)	0	0	107,788	2,843,605	61,909
345.22656-S U Hosp Ops	310,162	0	2,161,301	0	0	773,695	2,934,996	0	1,289,890	1,183,228	0	0	436,251	0	0	101,002	3,010,371	234,787

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22657-SUNY Stabilizat	76,216	0	(32,619)	0	0	39,767	7,148	0	706	1,496	0	0	3	0	0	0	2,205	81,159
345.22658-State Univ Hosp	15,083	0	48,160	0	0	0	48,160	0	50,340	3,683	0	0	2	0	0	0	54,025	9,218
345.22659-SUNY Tuition Re	119,826	0	122,512	0	0	0	122,512	0	56,121	30,927	0	0	113	0	0	0	87,161	155,177
346.22700-Chem Dep Svcs	9,993	0	107,881	0	0	0	107,881	0	0	6,443	0	0	0	0	0	101,295	107,738	
349.22751-Lk George Park	72	0	1,382	0	0	0	1,382	0	728	147	20	0	386	0	0	0	1,281	173
354.22801-MVTIFA	3,636	0	4,835	0	0	0	4,835	3,293	117	1	0	0	0	0	0	1,400	4,811	3,660
354.22802-St Police MV En	21,279	0	117,356	0	0	0	117,356	0	4,000	5,065	0	0	0	0	0	112,420	121,485	17,150
355.22851-Great Lakes Pro	351	0	178	0	0	0	178	0	87	5	3	0	56	0	0	0	151	378
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	(132)	0	0	0	0	0	0	0	0	(132)	(1,555)
360.22950-Housing Develop	10,276	0	208	0	0	0	208	0	0	0	0	0	0	0	0	0	0	10,616
362.23001-DOT Comm Veh Sa	(12,683)	0	1,299	0	0	0	1,299	0	2,944	2	0	0	0	0	0	0	2,946	(14,330)
365.23051-Vocall Rehabil	33	0	70	0	0	0	70	0	0	43	0	0	0	0	0	0	43	60
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,352)
368.23151-NYC County Cler	(28,280)	0	32,468	0	0	3,214	35,682	0	21,210	2,758	0	0	11,411	0	0	0	35,379	(27,977)
369.23201-Jud Data Proc O	37,795	0	54,695	0	0	0	54,695	0	25,429	3,578	0	0	10,017	0	0	0	39,024	53,466
377.23267-CUNY Stablizn	5,059	0	1,590	0	0	0	1,590	0	0	1,481	0	0	0	0	0	0	1,481	5,168
377.23272-CUNY Tuitt Rein	91,511	0	10,483	0	0	0	10,483	0	0	0	0	0	0	0	0	0	0	101,984
377.23273-CUNY Inc Reimb	93,963	0	103,608	0	0	0	103,608	0	48,676	51,539	0	0	204	0	0	0	100,419	97,152
385.23501-Lk Placid Train	183	0	116	0	0	0	116	0	0	0	0	0	0	0	0	0	0	299
390.23551-Indigent Legal	313,695	0	236,925	0	0	22,123	259,048	91,193	2,620	25,409	88	0	1,645	0	0	0	120,955	451,788
482.23601-UJ Sp Int & Pen	34,816	0	11,880	0	0	0	11,880	0	1,694	285	58	0	1,076	0	0	11,632	14,745	31,951
S01.23701-Commercial Gami	43,321	0	188,426	0	0	0	188,426	199,453	0	0	0	0	0	0	0	0	199,453	32,294
S01.23702-Comm Game Regul	(13,796)	0	1	0	0	0	1	0	2,485	76	85	0	1,579	0	0	0	4,225	(18,020)
S01.23703-Prob Gambi Svcs	2,158	0	2,951	0	0	0	2,951	3,388	0	0	0	0	0	0	0	0	3,388	1,721
S02.23750-Med Marth Colle	2,851	2,551	0	0	0	0	2,551	0	0	0	0	0	0	0	0	0	0	5,402
S02.23752-MMF - County Di	517	2,580	0	0	0	0	2,580	2,417	0	0	0	0	0	0	0	0	2,417	680
S02.23753-MMF - Law Enfor	317	283	0	0	0	0	283	0	0	0	0	0	0	0	0	150	150	450
S02.23754-MMF - Addiction	317	283	0	0	0	0	283	0	0	0	0	0	0	0	0	0	0	600
S02.23755-Health Operatio	4,151	0	1,892	0	0	4,626	6,518	0	1,746	2,351	60	0	1,108	0	0	602	5,867	4,802
S03.23800-Inter Recip Pos	1,283	0	1,361	0	0	0	1,361	0	320	64	11	0	204	0	0	40	639	2,005
S03.23801-Hwy Use Tax Adm	467	523	14	0	0	0	537	0	299	0	10	0	191	0	0	0	500	504
S03.23802-Cure Childhood	37	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	0	42
S03.23804-Lupus Research	0	0	62	0	0	0	62	0	0	0	0	0	0	0	0	0	0	62
S03.23807-Military Fam Re	23	0	72	0	0	0	72	0	0	0	0	0	0	0	0	0	0	95
S03.23808-Gifts For Food	0	0	62	0	0	0	62	0	0	0	0	0	0	0	0	0	0	62
S03.23809-NYS ALS Res&Edu	0	0	16	0	0	0	16	0	0	0	0	0	0	0	0	0	0	16
S03.23811-School Bas Hlth	0	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	0	9
S03.23814-Gift to the Art	0	0	15	0	0	0	15	0	0	0	0	0	0	0	0	0	0	15
S04.23815-Sr Well Nutritri	0	0	44	0	0	0	44	0	0	0	0	0	0	0	0	0	0	44
S04.24950-Fan Sports Educ	13,480	0	6,112	0	0	0	6,112	0	0	0	0	0	0	0	0	0	0	19,582
S04.24951-Fan Sports Admin	(48)	0	149	0	0	0	149	0	57	11	2	0	35	0	0	0	105	(4)
S06.24950-Hlth Care Trans	524,619	0	501,010	0	0	0	501,010	0	0	0	0	0	0	0	0	710,332	710,332	315,297
S07.24900-Hlth Caritable	58,005	0	1,267	0	0	0	1,267	0	0	0	0	0	0	0	0	0	0	59,272
S07.24901-Elem Sec Ed Cha	35,594	0	779	0	0	0	779	0	0	0	0	0	0	0	0	0	0	36,373

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,971	0	3,032	0	0	0	3,032	1,341	0	0	0	0	0	0	0	0	7,662
339.21902-S P A R C S	6,685	0	2,073	0	0	0	2,073	0	378	648	12	0	227	0	0	1,024	6,469
339.21904-Fire Prev/Code	41,967	0	15,403	0	0	0	15,403	0	0	0	0	0	0	0	0	0	57,370
339.21905-NYS Twy Police	(6,909)	0	56,129	0	0	0	56,129	0	36,817	24	0	0	23,313	0	0	0	(10,934)
339.21906-DMV Seiz Assets	118	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	121
339.21907-Mental Hygiene	(2,140)	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	(2,134)
339.21909-M H Patient Inc	7	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	8
339.21911-Fin Cntrl Board	(747)	0	1,984	0	0	0	1,984	0	1,184	604	40	0	746	0	0	0	(1,337)
339.21912-Reg of Racing	(2,744)	0	13,870	0	0	0	13,870	0	6,690	5,088	228	0	1,816	0	0	0	(2,696)
339.21913-NY Metro Trans	(18,293)	0	0	18,293	0	0	18,293	0	0	0	0	0	0	0	0	0	0
339.21914-S U Constr Fund	111	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	113
339.21915-Quality Care	0	0	133	0	0	0	133	0	0	0	0	0	0	0	0	0	133
339.21916-Nurses Aide Reg	1,040	0	22	0	0	0	22	0	0	0	0	0	0	0	0	0	1,062
339.21917-Med Frd Seized	240	0	230	0	0	0	230	0	0	370	0	0	0	0	0	0	100
339.21918-Child Care & Pr	2,077	0	368	0	0	0	368	0	0	0	0	0	0	0	0	0	2,445
339.21919-Cyber Sec Upgr	914	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	934
339.21920-Cert of Need	10,220	0	11,413	0	0	0	11,413	0	1,882	1,472	64	0	1,189	0	0	8,694	8,332
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,142	0	174	0	0	0	174	0	12	4	0	0	7	0	0	2	1,291
339.21923-DOL Fee Penalty	4,107	0	22,154	0	0	0	22,154	0	6,542	1,194	224	0	4,178	0	0	1,575	12,548
339.21924-Educ Museum	284	0	259	0	0	0	259	0	94	89	3	0	49	0	0	12	296
339.21925-Ns Hm Receiptshp	2,936	0	63	0	0	0	63	0	0	0	0	0	0	0	0	0	2,999
339.21926-3rd Party Hlth	468	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	478
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-Love NY Ves	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21929-Summer Sch Arts	77	0	636	0	0	0	636	0	127	484	4	0	15	0	0	0	83
339.21930-H Lve NY W Boat	96	0	148	0	0	0	148	0	57	0	2	0	37	0	0	0	148
339.21932-Snowmobile	6,141	0	4,972	0	0	0	4,972	4,629	199	76	7	0	127	0	0	0	6,075
339.21933-Tr Surplus Prop	5,314	0	3,462	0	0	0	3,462	0	0	974	0	0	0	0	0	1,803	5,999
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptrnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	29	0	0	245,074	245,103	0	126,092	118,852	0	0	160	0	0	0	(6)
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,722	0	6,137	0	0	0	6,137	3,000	575	33	19	0	358	0	0	1,350	4,524
339.21945-Crim Jus Improv	33,086	0	36,377	0	0	0	36,377	25,459	2,649	452	86	0	1,660	0	0	22,108	17,049
339.21948-Farm Prod Insp	195	0	1,129	12	0	0	1,141	0	575	208	0	0	68	0	0	0	485
339.21950-FgprintID&Tech	44,758	0	18,599	0	0	0	18,599	117	0	1,252	0	0	0	0	0	15,612	46,376
339.21953-NY Fire Academy	354	0	633	0	0	0	633	0	276	394	9	0	175	0	0	0	133
339.21958-Domestic Awaren	100	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	108
339.21959-Environmental L	3,643	0	4,906	0	0	0	4,906	0	1,421	443	48	0	890	0	0	1,126	4,621
339.21960-HESC-Ins Prem P	68,723	0	42,518	0	0	0	42,518	0	10,530	18,197	355	0	6,615	0	0	11,438	64,106
339.21961-Train Mgmt Eval	645	0	1,455	0	0	0	1,455	0	1,586	46	50	0	824	0	0	0	(406)
339.21962-Clin Lab Refrnc	(9,328)	0	13,499	0	0	0	13,499	0	5,322	1,433	182	0	3,386	0	0	3,188	(9,340)
339.21964-Pub Emp Rel Brd	800	0	83	0	0	0	83	0	0	21	0	0	0	0	0	0	862
339.21965-Radio Hlth Prot	1,166	0	3,736	0	0	0	3,736	0	2,007	133	69	0	1,278	0	0	433	982
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21967-OHRD St Match	3,432	0	78	0	0	1,932	2,010	0	0	3,452	0	0	0	0	0	0	1,990
339.21968-Educatin Library	161	0	39	0	0	0	39	0	0	28	0	0	0	0	0	0	172
339.21969-Teacher Certif	7,231	0	5,842	0	0	0	5,842	0	2,684	906	92	0	1,714	0	0	303	7,374
339.21970-Banking Deptmnt	24,672	0	122,238	0	0	0	122,238	0	55,009	14,419	1,871	0	34,761	0	0	0	40,850
339.21971-Cable TV Accont	3,958	0	3,570	0	0	0	3,570	0	1,231	93	42	0	775	0	0	0	5,387
339.21972-Econ Devel Asst	319	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	329
339.21973-Fin Svcs Seized	729	0	16	0	0	0	16	0	0	1	0	0	0	0	0	0	744
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	258	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	264
339.21977-Business and Li	54,985	0	98,988	0	0	0	98,988	0	16,954	11,866	462	0	8,597	0	0	3,785	112,309
339.21978-Indir Cost Reco	3,694	0	127	0	0	0	18,842	0	9,056	4,410	0	0	5,065	0	0	2,278	1,727
339.21979-High School Equ	1,348	0	151	0	0	0	151	0	0	0	0	0	0	0	0	15	1,484
339.21980-OTDA Program	1,733	0	21	0	0	0	21	0	0	935	0	0	65	0	0	0	754
339.21981-Disas Prep Conf	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
339.21982-Administration	250	0	26	0	0	8,500	8,526	0	4,040	1,675	138	0	2,568	0	0	0	355
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	8,500	8,595	7,451	0	0	0	0	0	0	0	0	7,257
339.21985-Abandon Prop Au	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21986-Seized Assets	5	0	1	0	0	0	1	0	8,831	3,165	0	0	0	0	0	0	2
339.21987-Spinal Injury	6,113	0	95	0	0	0	8,595	7,451	0	0	0	0	0	0	0	0	6
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	1,625	0	0	0	0	23,233	23,233	0	1,761	9,138	78	0	1,706	0	0	0	12,175
339.21990-OCTF Crime Forf	4,545	0	179	0	0	0	179	0	0	1,295	0	0	0	0	0	0	3,429
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	2
339.21992-Critical Infras	268	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	274
339.21993-Radon Detection	494	0	444	0	0	0	444	0	0	12	0	0	0	0	0	2	924
339.21994-Insurance Dept	149,049	0	318,728	(4,000)	0	0	314,728	55,146	101,993	41,610	3,450	0	63,503	0	0	0	198,075
339.21995-Workers' Compn	18,350	0	239,373	0	0	0	239,373	0	84,865	51,743	2,887	0	53,880	0	0	32,299	32,049
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enfr	9,307	0	6,978	0	0	0	6,978	0	2,923	371	99	0	1,841	0	0	(3,181)	14,232
339.219AC-Non-Inv Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219XX-A&M-Aggregated	765	0	631	0	0	0	631	0	263	8	9	0	168	0	0	0	948
339.219YL-OGS Bldg Admin	18,160	0	9,289	0	0	0	9,289	0	3,991	4,064	229	0	4,318	0	0	2,075	12,772
339.219YN-OGS Std & Purch	11,140	0	3,990	0	0	0	3,990	0	786	1,372	27	0	497	0	0	3,047	9,401
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	160	0	4,218	0	0	0	4,218	4,043	98	0	3	0	62	0	0	15	157
339.22003-Bell Jar Collec	0	0	1,848	0	0	0	1,848	0	547	185	18	0	341	0	0	425	332
339.22004-Ind & Util Serv	3,520	0	2,999	0	0	0	2,999	0	1,808	0	61	0	1,136	0	0	0	3,514
339.22008-Courts Special	964	0	1,766	0	0	0	1,766	0	0	649	0	0	0	0	0	0	2,081
339.22009-Asbestos Trning	(84)	0	351	0	0	0	351	0	142	2	5	0	87	0	0	0	31
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	44,337	0	86,336	0	0	0	86,336	0	41,453	6,983	1,320	0	26,379	0	0	5,633	48,905
339.22012-Atty Licensing	6,447	0	30,408	0	0	0	30,408	0	17,189	5,106	0	0	8,414	0	0	0	6,146
339.22014-DSS Prov Recovs	195	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	199
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	(88)	0	163	0	0	0	163	0	0	0	0	0	0	0	0	0	75
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22021-Regulation of M	2,240	0	392	0	0	0	392	0	0	0	0	0	0	0	0	20	2,612
339.22022-College Savings	18,490	0	4,184	0	0	0	4,184	0	283	135	10	0	181	0	0	0	22,065
339.22023-Discover Queens	22	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	25
339.22024-Reven Arrearage	76,968	0	29,201	0	0	0	29,201	0	1,063	2,151	36	0	661	0	0	1,826	100,432
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	8,475
339.22026-Cell Phone Towe	3,985	0	627	0	0	0	627	0	0	0	0	0	0	0	0	0	4,612
339.22027-Spec Conserv Ac	29	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	30
339.22028-State Central R	5,134	0	4,667	0	0	0	4,667	0	124	0	5	0	101	0	0	3,547	6,024
339.22029-Plant Industry	51	0	754	0	0	0	754	0	108	0	4	0	69	0	0	0	624
339.22032-Batavia School	(8,521)	0	10,615	0	0	900	11,515	0	5,797	475	199	0	3,857	0	0	1,281	(8,615)
339.22034-Investment Serv	1,813	0	4,435	0	0	0	4,435	0	1,759	1,055	60	0	1,119	0	0	0	2,255
339.22035-Diabetes Resear	41	0	7	0	0	0	7	0	0	0	0	0	0	0	0	0	48
339.22037-Keep Kids Drug	62	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	70
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,832)	0	2,668	0	0	0	2,668	0	2,098	70	71	0	1,327	0	0	0	(2,730)
339.22040-Senate Recyclab	624	0	27	0	0	0	27	0	0	0	0	0	0	0	0	0	651
339.22041-Medicaid Fraud	22,535	0	6,137	0	0	0	6,137	0	6,715	1,855	195	0	4,096	0	0	0	15,811
339.22042-DED Marketing A	3,038	0	1,504	0	0	0	1,504	0	0	1,102	0	0	0	0	0	131	3,309
339.22044-Tug Hill Admin	140	0	22	0	0	0	22	0	0	0	0	0	0	0	0	10	152
339.22045-Settlement Enf	411	0	8	0	0	0	8	0	0	32	0	0	0	0	0	0	387
339.22046-Regulation of I	(90,856)	0	5,653	0	0	0	5,653	0	8,541	438	293	0	5,444	0	0	0	(89,919)
339.22047-NYS FLEX Spend	609	0	323	0	0	0	323	0	0	155	0	0	0	0	0	0	777
339.22050-Crime Victims B	5	0	57	0	0	0	57	0	0	57	0	0	0	0	0	0	5
339.22051-Ofc of Professi	41,808	0	66,795	0	0	0	66,795	0	20,327	9,586	697	0	12,975	0	0	13,224	51,794
339.22052-Armory Rental A	4,044	0	863	0	0	0	863	0	0	1,846	0	0	0	0	0	0	3,061
339.22053-Rome School	(1,824)	0	6,213	0	0	1,034	7,247	0	4,212	579	157	0	2,805	0	0	1,039	(3,969)
339.22054-Seized Assets	(4,456)	0	2,962	0	0	0	2,962	15	0	0	0	0	0	0	0	0	(1,509)
339.22055-Traf Adjudicatn	(14,376)	0	31,138	0	0	0	31,138	0	20,504	8,851	697	0	12,461	0	0	0	(25,751)
339.22056-Fed Salary Shar	0	0	0	0	0	1,963	1,963	419	924	0	32	0	589	0	0	0	(1)
339.22057-Cook/Chill Acco	1,588	0	179	0	0	0	179	0	0	0	0	0	0	0	0	0	1,767
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	40,054	0	79,654	0	0	0	79,654	0	44,459	5,278	1,525	0	28,391	0	0	22,867	17,188
339.22063-Cultural Educat	(4,172)	0	25,830	0	0	0	25,830	0	11,410	4,752	387	0	7,725	0	0	1,559	(4,175)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(39)	0	112	0	0	0	112	0	184	0	6	0	118	0	0	0	(235)
339.22067-Trans Regul Acc	10,109	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	10,329
339.22068-Cons Prot Acct	2,018	0	158	0	0	0	158	0	1	62	0	0	0	0	0	0	2,113
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	31	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	32
339.22075-Funeral	2,219	0	619	0	0	0	619	0	240	4	8	0	153	0	0	62	2,371
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	31	0	20	0	0	0	20	0	0	10	0	0	0	0	0	0	41
339.22078-Local Services	1,222	0	1,082	0	0	0	1,082	0	683	0	23	0	424	0	0	0	1,174
339.22080-Adult Shelter	21,187	0	3,646	0	0	0	3,646	0	0	0	0	0	0	0	0	0	24,833
339.22081-QAA Earned Rev	408	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	417
339.22082-Family Pres Svc	3,223	0	68	0	0	4,000	4,068	3,634	0	0	0	0	0	0	0	0	3,657
339.22083-Electronic Bene	46	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	47

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22085-DHCR Mortgage S	(13,242)	0	896	0	0	0	896	0	2,219	908	0	0	0	0	0	0	(15,473)
339.22086-OMH-Research OH	78	0	2,488	0	0	0	2,488	0	0	2,486	0	0	0	0	0	0	80
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	7,850	0	23,989	0	0	0	23,989	988	9,889	5,835	335	0	6,244	0	0	4,263	4,285
339.22089-Hway Const & Ma	1,793	0	150	0	0	0	150	0	0	10	0	0	0	0	0	0	1,933
339.22090-Housing Indirect	(1,836)	0	1,323	0	0	4,000	5,323	0	2,555	269	0	0	0	0	0	0	663
339.22091-Adult Home Qual	3,062	0	568	0	0	0	568	0	0	0	0	0	0	0	0	21	3,609
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	240	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	245
339.22095-IG Szd Assets	102	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	104
339.22096-Leg Svcs Assist	44,624	0	34,331	0	0	0	34,331	18,979	0	0	0	0	0	0	0	17,080	42,896
339.22097-Loc Pub Hlth	7,699	0	1,306	0	0	0	1,306	0	155	0	5	0	99	0	0	5	8,741
339.22099-Voting Mach Exa	69	0	200	0	0	0	200	0	0	155	0	0	0	0	0	0	114
339.22100-DHCR HCA Applic	(3,789)	0	5,800	0	0	0	5,800	0	5,173	206	135	0	2,505	0	0	0	(6,008)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	8,581	0	7,054	0	0	0	7,054	0	582	397	20	0	372	0	0	4,832	9,432
339.22104-CHCCDP Transfer	36	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	37
339.22105-Tobacco Enforce	2	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,067	0	172	0	0	0	172	0	0	196	0	0	0	0	0	0	1,043
339.22109-Conference & Sp	129	0	16	0	0	0	16	0	0	0	0	0	0	0	0	0	145
339.22110-Assisted Living	1,935	0	375	0	0	0	375	250	0	0	0	0	0	0	0	9	2,051
339.22111-OCFS Program	381	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	389
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD JT Clin O	38	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	39
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	8,389	0	79,644	0	0	0	79,644	0	26,587	35,453	602	0	11,968	0	0	0	13,423
339.22118-Animal Populati	443	0	836	0	0	0	836	0	0	867	0	0	0	0	0	0	412
339.22119-Love Your Libra	105	0	71	0	0	0	71	0	0	0	0	0	0	0	0	0	176
339.22122-Local Wireless	120	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	123
339.22123-Pub Safe Commun	84,786	0	142,306	25	0	0	142,331	22,261	26,859	34,241	0	0	0	0	0	33,942	109,814
339.22124-Cuba Lake Mgmt	164	0	165	0	0	0	165	0	0	163	0	0	0	0	0	0	166
339.22126-St Justice Inst	71	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	73
339.22128-Med Reimb Acct	1,438	0	8	0	0	14	22	30	0	0	0	0	0	0	0	0	1,430
339.22130-Low Inc Housing	3,352	0	5,227	0	0	0	5,227	0	2,458	140	61	0	1,134	0	0	717	4,069
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	56	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	57
339.22133-Procure Op News	259	0	5	0	0	0	5	0	0	88	0	0	0	0	0	0	176
339.22134-OVS RESTITUTION	672	0	454	0	0	0	454	0	470	43	0	0	0	0	0	0	613
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	591	0	1,153	0	0	0	1,153	0	220	1,080	8	0	141	0	0	0	295
339.22137-Pet Dealer	21	0	59	0	0	0	59	0	45	0	2	0	28	0	0	0	5
339.22138-Auth Bdtg Office	1,215	0	18	0	0	1,826	1,844	0	1,029	52	35	0	657	0	0	45	1,241
339.22139-Patient Safety	3,883	0	413	0	0	0	413	0	0	0	0	0	0	0	0	0	4,296
339.22140-Helen Hayes Hos	10,401	0	181	0	0	57,485	57,666	0	35,655	21,123	21	0	2,446	0	0	2,295	6,527
339.22141-NYC Veterans	(483)	0	10	0	0	30,211	30,221	0	18,942	8,425	8	0	7,520	0	0	(5,500)	343
339.22142-NYS Home-Vetera	330	0	8	0	0	20,188	20,196	0	15,726	6,154	9	0	193	0	0	(1,786)	230
339.22143-WNY Vets Home	565	0	25	0	0	13,373	13,398	0	8,586	3,998	5	0	90	0	0	209	1,075

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22144-Montrose S V H	2,561	0	30	0	0	27,451	27,481	0	19,094	7,540	11	0	213	0	0	971	2,213
339.22145-DOH Hospital Ho	337	0	36	0	0	124,973	125,009	0	0	0	0	0	0	0	0	124,147	1,199
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	9,215	0	1,559	0	0	0	1,559	0	0	0	0	0	0	0	0	0	10,774
339.22149-Motor Fuel Qual	1,103	0	2,879	0	0	0	2,879	0	1,343	745	48	0	895	0	0	0	951
339.22150-Weights Measure	20	0	374	0	0	0	374	0	190	52	4	0	83	0	0	0	65
339.22151-Defer Comp Adm	(118)	0	697	0	0	0	697	0	406	17	14	0	260	0	0	0	(118)
339.22152-Hazard Abatemen	971	0	31	0	0	0	31	37	0	0	0	0	0	0	0	0	965
339.22153-Education Stats	931	0	19	110	0	0	129	0	0	2	0	0	63	0	0	0	995
339.22154-Real Estate Fin	3,722	0	1,584	0	0	0	1,584	0	1,290	545	39	0	758	0	0	0	2,674
339.22156-NYC Rent Rev	6,148	0	61,751	0	0	0	61,751	0	24,009	5,756	820	0	15,266	0	0	8,300	13,748
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	108	0	662	0	0	0	662	0	245	0	13	0	239	0	0	0	273
339.22159-CSFP Salvage AC	93	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	98
339.22161-ES Stem Cell Tr	(78)	0	48	0	0	26,647	26,695	0	549	23,008	19	0	350	0	0	0	2,691
339.22162-Systems & Tech	12,852	0	7,578	0	0	0	7,578	0	463	343	16	0	295	0	0	5,320	13,993
339.22163-Patron Services	5,824	0	75,010	0	0	0	75,010	0	25,223	31,473	38	0	4,427	0	0	3,992	15,681
339.22165-Trans Aviatn	1,727	0	4,124	0	0	0	4,124	0	0	4,467	0	0	0	0	0	0	1,384
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	369	0	8	0	0	0	8	0	0	24	0	0	0	0	0	0	353
339.22168-Tax Rev Arrear	4,026	0	0	0	0	500	500	0	0	1,853	0	0	0	0	0	0	2,673
339.22169-TSCR Account	135,066	0	88,135	0	0	0	88,135	59,956	0	0	0	0	0	0	0	0	163,245
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,137	0	37	0	0	2,087	2,124	0	0	3,297	0	0	0	0	0	0	964
339.22172-Undgrnd Sfty T	3,351	0	1,083	0	0	0	1,083	0	0	0	0	0	0	0	0	175	4,259
339.22173-Vol Fire Rec&Re	1,083	0	157	0	0	0	157	62	0	0	0	0	0	0	0	0	1,178
339.22174-HAVA Match	1,389	0	29	0	0	0	29	0	0	115	0	0	0	0	0	0	1,303
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,142	0	10,321	0	0	0	10,321	8,879	352	8	12	0	225	0	0	22	1,965
339.22178-Crim Back Check	393	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	401
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	12	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	14
339.22182-OWIG Adm Reimb	201	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	205
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	714	0	28	0	0	0	28	0	0	0	0	0	0	0	0	0	742
339.22186-Yth Fac PerDiem	1	0	262	0	0	0	262	0	0	0	0	0	0	0	0	0	263
339.22187-Provider Assess	416	0	931,207	0	0	0	931,207	931,000	0	0	0	0	0	0	0	0	623
339.22188-Fed Indirect Re	(2)	0	1	84	0	0	85	0	0	0	0	0	0	0	0	0	83
339.22189-DOCS Asset Forf	222	0	26	0	0	0	26	0	0	0	0	0	0	0	0	0	248
339.22190-Conference&Sign	76	0	1	0	0	0	1	0	0	45	0	0	0	0	0	0	32
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	7,853	0	1,731	0	0	0	1,731	0	0	0	0	0	0	0	0	260	9,324
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,645	0	57	0	0	0	57	0	0	0	0	0	0	0	0	0	2,702
339.22196-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	668	0	65	0	0	0	65	0	0	16	0	0	0	0	0	0	733
339.22198-HEP	55	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	40
339.22199-Airport Securit	522	0	345	0	0	0	345	0	0	506	0	0	0	0	0	360	1
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	105	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	107

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22206-Wholesale Mkt	7,203	0	151	0	0	0	151	0	0	467	0	0	0	0	0	0	6,887
339.22207-Tech Financing	46	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	47
339.22212-Lake George Inv	54	0	253	0	0	0	253	0	35	239	1	0	0	0	0	0	32
339.22213-BOE Enforcement	297	0	447	0	0	0	447	0	0	0	0	0	0	0	0	0	744
339.22214-Fireworks Reven	1,184	0	302	0	0	0	302	0	161	0	6	0	103	0	0	0	1,216
339.22215-Delivery Transf	1,559	0	529	0	0	0	529	0	0	181	0	0	0	0	0	0	1,907
339.22217-Eq Sh DTF Just	77	0	2	24	0	0	26	0	0	0	0	0	0	0	0	0	103
339.22218-Eq Sh DTF Treas	57	0	2	51	0	0	53	0	0	0	0	0	0	0	0	0	110
339.22231-Eq Sh DEC Just	172	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	176
339.22233-Eq Shar-DMN Jus	144	0	3	60	0	0	63	0	0	0	0	0	0	0	0	0	207
339.22234-Eq Shar-DMN Tre	7	0	0	(7)	0	0	(7)	0	0	0	0	0	0	0	0	0	0
339.22235-Instlt Accred	421	0	428	0	0	0	428	0	220	0	8	0	140	0	0	33	448
339.22238-Eq Sh PRK Treas	18	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	6
339.22239-Opioid Steward	567	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	579
339.22240-NYS Med Indmnty	0	0	0	0	0	0	0	0	316	0	11	0	202	0	0	0	(529)
339.22243-Securing Cities	0	0	17	0	0	360	377	0	0	325	0	0	0	0	0	0	52

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(89,804)	145,596	11,592	(25,302)	15	119,984	164	668	3,328
Receipts:										
Taxes	0	1,298,350	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,032,444	1,416,932	3,086	2,467	109,590	0	55,215	0	0	0
Federal Grants	0	4,635	0	0	0	0	0	0	0	0
Total Receipts	4,032,444	2,719,917	3,086	2,467	109,590	0	174,315	0	0	0
Disbursements:										
Local Assistance	2,974,088	8,860	0	0	3	0	126,441	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,643,611	1,851,406	59,366	0	128,236	0	123,175	0	0	0
Total Disbursements	5,617,699	1,860,266	59,366	0	128,239	0	249,616	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	1,591,541	2,501,917	59,508	0	0	0	261,109	0	0	0
Transfers to Other Funds	(6,288)	(3,305,239)	13	0	0	0	(233,109)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	1,585,253	(803,322)	59,521	0	0	0	28,000	0	0	0
Change in Fund Balance	(2)	56,329	3,241	2,467	(18,649)	0	(47,301)	0	0	0
Closing Fund Balance	(2)	(33,475)	148,837	14,059	(43,951)	15	72,683	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (30640-30649)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,419	18,876	5,551	2,778	1,428	(504,674)	1,056	(96,650)	527
Receipts:									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	1,112	23	113,266	11
Federal Grants	0	0	0	0	0	2,104,468	0	0	0
Total Receipts	0	0	0	0	0	2,105,580	23	113,266	11
Disbursements:									
Local Assistance	0	0	0	0	0	794,738	0	2,584	0
State Operations	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	1,069,714	0	85,662	0
Total Disbursements	0	0	0	0	0	1,864,452	0	88,246	0
Other Financing Sources (Uses):									
Transfers from Other Funds	0	0	0	0	0	0	0	13,653	0
Transfers to Other Funds	0	(1,547)	0	0	0	(299,145)	0	(22,495)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(1,547)	0	0	0	(299,145)	0	(8,842)	0
Change in Fund Balance	1,419	17,329	5,551	2,778	1,428	(58,017)	23	16,178	11
Closing Fund Balance	1,419	17,329	4,255	2,778	1,428	(562,691)	1,079	(80,472)	538

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE PROGRAM (31850-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS CAPITAL PROJECTS (32250-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(21,348)	(12,942)	(177,077)	17,054	(12,346)	120,004	83,477	(18)	(470,121)	(193,029)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	15,721	0	203,864	978	0	20,448	36,811	35	330,495	183,605
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	15,721	0	203,864	978	0	20,448	36,811	35	330,495	183,605
Disbursements:										
Local Assistance	0	0	247,375	33	0	0	89	0	52,697	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	15,609	0	0	886	(377)	43,313	66,617	0	165,462	412,424
Total Disbursements	15,609	0	247,375	919	(377)	43,313	66,706	0	218,159	412,424
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	4,328	152	0	79,849	61,191	0	1,960	105,863
Transfers to Other Funds	0	0	0	(152)	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	4,328	0	0	79,849	61,191	0	1,960	105,863
Change in Fund Balance	112	0	(39,183)	59	377	56,984	31,296	35	114,296	(122,956)
Closing Fund Balance	(21,236)	(12,942)	(216,260)	17,113	(11,969)	176,988	114,773	17	(355,825)	(315,985)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(88,664)	16,299	0	(1,137,904)	0	(1,137,904)
Receipts:							
Taxes	0	0	0	0	1,417,450	0	1,417,450
Miscellaneous Receipts	0	24,331	373	0	6,550,807	0	6,550,807
Federal Grants	0	0	0	0	2,109,103	0	2,109,103
Total Receipts	0	24,331	373	0	10,077,360	0	10,077,360
Disbursements:							
Local Assistance	0	(6,292)	812,484	0	5,013,100	0	5,013,100
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	(8,380)	328,632	0	6,985,356	0	6,985,356
Total Disbursements	0	(14,672)	1,141,116	0	11,998,456	0	11,998,456
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,210,957	0	5,892,028	(2,345,507)	3,546,521
Transfers to Other Funds	0	0	0	0	(3,867,962)	2,345,507	(1,522,455)
Bond & Note Proceeds	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	1,210,957	0	2,024,066	0	2,024,066
Change in Fund Balance	0	39,003	70,214	0	102,970	0	102,970
Closing Fund Balance	0	(49,661)	86,513	0	(1,034,934)	0	(1,034,934)

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2020**

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	28,431	0	0	36,349	0	0	64,780	0	64,780
Receipts:									
Taxes	0	30,548,956	0	0	1,004,666	3,718,258	35,271,880	0	35,271,880
Miscellaneous Receipts	320,027	0	3,855	152,326	0	739	476,947	0	476,947
Federal Grants	0	73,769	0	0	0	0	73,769	0	73,769
Total Receipts	320,027	30,622,725	3,855	152,326	1,004,666	3,718,997	35,822,596	0	35,822,596
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,277	31,430	0	1,948	0	1,616	36,271	0	36,271
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	7,096	4,576,660	5,393	26,158	0	300,785	4,916,092	0	4,916,092
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	8,373	4,608,090	5,393	28,106	0	302,401	4,952,363	0	4,952,363
Other Financing Sources (Uses):									
Transfers from Other Funds	1,436,884	2,683,480	1,538	29,364	55,669	0	4,206,935	(464,720)	3,742,215
Transfers to Other Funds	(1,745,885)	(28,698,114)	0	(157,630)	(1,060,335)	(3,416,597)	(35,078,561)	464,720	(34,613,841)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(309,001)	(26,014,634)	1,538	(128,266)	(1,004,666)	(3,416,597)	(30,871,626)	0	(30,871,626)
Change in Fund Balance	2,653	1	0	(4,046)	0	(1)	(1,393)	0	(1,393)
Closing Fund Balance	31,084	1	0	32,303	0	(1)	63,387	0	63,387

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2020**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centrl Services	0	0	(11,321)	0	0	11,311	(10)	0	0	(149)	0	0	0	0	0	149	0	(10)
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(14,025)	0	58,155	0	0	0	58,155	0	26,546	21,650	904	0	16,822	0	0	0	65,922	(21,792)
323.55020-OGS Ent Contr	(63,104)	0	141,625	0	0	0	141,625	0	357	133,554	7	0	122	0	0	0	134,040	(55,519)
323.55022-Business Srv Ct	(8,053)	0	0	0	0	8,054	8,054	0	24,459	2,459	0	0	0	0	0	0	26,918	(26,917)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	5,930	0	127,769	0	0	9,631	137,400	0	3,544	122,469	114	0	2,125	0	0	14,809	143,061	269
323.5502Y-OGS Bldg Admin	(9,093)	0	21,413	0	0	9,500	30,913	0	1,940	23,605	22	0	784	0	0	21	26,372	(4,552)
323.5502Z-OGS Sld & Purch	(3,127)	0	12,915	0	0	0	12,915	0	2,389	9,235	82	0	1,520	0	0	37	13,263	(3,475)
334.55050-Agencies Int Sv	(36)	0	(3,613)	0	0	1,042	(2,571)	0	0	(13,806)	0	0	0	0	0	11,235	(2,571)	(36)
334.55052-Archives R	342	0	1,480	0	0	0	1,480	0	934	269	32	0	597	0	0	91	1,923	(101)
334.55053-Fedl Single Aud	1,973	0	1,793	0	0	0	1,793	0	0	1,471	0	0	0	0	0	0	1,471	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,836	0	8,304	0	0	0	8,304	0	4,234	2,711	87	0	1,621	0	0	1,500	10,153	987
334.55056-EHS Occup Hlth	(119)	0	155	0	0	0	155	0	22	0	1	0	14	0	0	0	37	(1)
334.55057-Banking Service	(7)	0	2,175	0	0	39,837	42,012	0	0	42,013	0	0	(1)	0	0	0	42,012	(7)
334.55058-Cult Resources	(2,892)	0	3,853	0	0	0	3,853	0	1,344	890	47	0	868	0	0	192	3,341	(2,380)
334.55059-Neighbor Work P	(7,653)	0	8,918	0	0	1,000	9,918	0	0	13,491	0	0	0	0	0	0	13,491	(11,226)
334.55060-Auto/Print Chgb	608	0	16,334	0	0	0	16,334	0	8,044	2,632	0	0	5,368	0	0	357	16,401	541
334.55061-NYT Account	(2,339)	0	112	0	0	0	112	0	0	0	0	0	0	0	0	0	0	(2,227)
334.55062-State Data Ctr	(45,428)	0	12,529	0	0	0	12,529	0	0	8,994	0	0	0	0	0	0	8,994	(41,883)
334.55063-Human Svcs Tele	7	0	(3)	0	0	0	(3)	0	0	0	0	0	0	0	0	0	0	4
334.55065-OPWDD Copy Cent	792	0	39	0	0	0	39	0	0	0	0	0	0	0	0	0	0	831
334.55066-Intrusion Dete	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(166)	0	476	0	0	0	476	0	335	26	0	0	0	0	0	0	361	(51)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(72,303)	0	38,032	0	0	12,991	51,023	0	0	46,197	0	0	0	0	0	0	46,197	(67,477)
334.55070-Learning Mgmt S	1,542	0	33	0	0	0	33	0	0	0	0	0	0	0	0	0	0	1,575
334.55071-Labor Cont Ctr	(254)	0	2,530	0	0	0	2,530	0	1,069	330	36	0	676	0	0	189	2,300	(24)
334.55072-HS Cont Ctr	1,061	0	13,177	0	0	0	13,177	0	6,819	732	232	0	4,324	0	0	0	12,107	2,131
334.55074-Civil Recoverie	(17,475)	0	39,926	0	0	0	39,926	0	5,801	6,490	198	0	3,687	0	0	0	16,176	6,275
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550XZ-Misc Intl Srv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	71	0	740	0	0	0	740	0	452	59	16	0	289	0	0	0	816	(5)
347.55150-DFY Voc Educatn	69	0	34	0	0	0	34	0	0	20	0	0	0	0	0	0	20	83
394.55200-Joint Labor-Mgt	476	0	1,179	0	0	0	1,179	0	588	73	20	0	375	0	0	5	1,061	594
395.55251-Ex Dir Intl Aud	(8,722)	0	2,868	0	0	0	2,868	0	1,639	(143)	56	0	1,045	0	0	332	2,929	(8,783)
395.55252-CIO INFO TECH C	(22,366)	0	30,085	0	0	0	30,085	0	10,472	22,086	200	0	3,728	0	0	0	36,486	(28,767)
396.55300-Health Insuranc	(13,625)	0	11,203	0	0	7,853	19,056	0	6,554	1,225	224	0	4,169	0	0	3,752	15,924	(10,493)
396.55301-CS EBD Adm Reim	(1,155)	0	1,018	0	0	0	1,018	0	1,468	0	34	0	631	0	0	1,500	3,633	(3,770)
397.55350-Correctional In	(25,297)	0	45,315	0	0	20,773	66,088	0	17,183	37,940	404	0	7,505	0	0	229	63,261	(22,470)

CASH COMBINING STATEMENT BY ACCOUNT

ENTERPRISE
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	97	0	25	0	0	0	25	0	0	18	0	0	0	0	0	0	18	104
325.50050-State Fair Rece	1,260	0	13,635	0	0	500	14,135	0	5,943	7,866	0	0	0	0	0	0	13,809	1,586
326.50100-DOCS Commissary	4,076	0	41,883	0	0	0	41,883	0	0	42,203	0	0	0	0	0	0	42,203	3,756
331.50301-Mental Disab Pr	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50304-Maps And Demogr	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng MatrIs	178	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	0	182
331.50311-Arts & Crafts	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(274)	0	1,424	0	0	0	1,424	0	586	517	20	0	374	0	0	0	1,497	(347)
331.50319-Attica Emp Mess	1,939	0	1,822	0	0	0	1,822	0	347	968	12	0	216	0	0	0	1,543	2,218
331.50322-Asset Preservat	109	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	119
331.50323-Farm Program	607	0	341	0	0	0	341	0	98	201	4	0	68	0	0	0	371	577
331.50327-Emp Plz Gift Sh	(186)	0	165	0	0	0	165	0	101	4	3	0	64	0	0	0	172	(193)
331.50331-Retail Sales	0	0	1,597	0	0	0	1,597	0	0	321	0	0	0	0	0	0	321	1,276
331.50332-Golf	0	0	16,536	0	0	0	16,536	0	10,035	2,809	0	0	900	0	0	0	13,744	2,792
351.50400-OMH Shet Wkshs	2,159	0	260	0	0	0	260	0	0	199	0	0	0	0	0	0	199	2,220
352.50450-MR Shel Wkshop	1,735	0	1,306	0	0	0	1,306	0	0	1,119	0	0	0	0	0	0	1,119	1,922
353.50500-MH & MR Communi	4,581	0	1,512	0	0	0	1,512	0	36	1,224	1	0	23	0	0	0	1,284	4,809
353.50516-MR Community St	140	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	139
481.50650-U I Benefit Fnd	864,333	2,284,671	1	16,827	0	0	2,301,499	0	0	0	0	2,303,372	0	0	0	0	2,303,372	862,460
481.50651-Interest Assess	5,743	0	221	0	0	0	221	0	0	0	0	0	0	0	0	0	0	5,964
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**CASH COMBINING STATEMENT
GENERAL FUND
FY 2021
(millions of dollars)**

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Total
Opening Fund Balance	0	1,258	21	31	1,218	2,610	3,306	500	0	8,944
Receipts:										
Taxes	37,005	0	0	0	0	0	0	0	0	37,005
Miscellaneous Receipts	6,293	0	0	0	0	80	0	0	0	6,373
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	43,298	0	0	0	0	80	0	0	0	43,378
Disbursements:										
Local Assistance	46,400	0	0	0	0	0	0	0	0	46,400
State Operations	11,655	0	0	0	0	0	0	0	0	11,655
General State Charges	7,249	0	0	0	0	0	0	0	0	7,249
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	65,304	0	0	0	0	0	0	0	0	65,304
Other financing sources (uses):										
Transfers from Other Funds	68,053	0	0	0	0	0	(1,786)	0	(38,703)	27,564
Transfers to Other Funds	(46,047)	0	0	(16)	0	(505)	0	0	38,703	(7,865)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	22,006	0	0	(16)	0	(505)	(1,786)	0	0	19,699
Change in Fund Balance	0	0	0	(16)	0	(425)	(1,786)	0	0	(2,227)
Closing Fund Balance	0	1,258	21	15	1,218	2,185	1,520	500	0	6,717

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021

(thousands of dollars)

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMER'S PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	823	70,030	102,535	4	50	7,896	3,463	0	6,087	15,705	84,829
Receipts:											
Taxes	0	0	0	0	0	0	0	2,073,116	0	689,000	422,300
Miscellaneous Receipts	72	(146,673)	39,000	318	120	4,905	9,233	0	0	5,501,875	145,943
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(146,673)	39,000	318	120	4,905	9,233	2,073,116	0	6,190,875	568,243
Disbursements:											
Local Assistance	0	7,956	20,000	0	0	0	5,056	2,073,116	4,837	5,921,792	626,700
State Operations	72	3,725	1,533	432	264	2,974	2,224	0	0	87,589	0
General State Charges	0	233	570	197	149	1,285	1,177	0	0	9,681	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	11,914	22,103	629	413	4,259	8,457	2,073,116	4,837	6,019,062	626,700
Other Financing Sources (Uses):											
Transfers from Other Funds	0	160,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	0	0	(8)	0	(265)	(1,383)	0	0	(187,518)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,892	0	292	300	(265)	(1,383)	0	4,837	(187,518)	65,884
Change in Fund Balance	0	2,305	16,897	(19)	7	381	(607)	0	0	(15,705)	7,427
Closing Fund Balance	823	72,335	119,432	(15)	57	8,277	2,856	0	6,087	0	92,256

	STATE LOTTERY (20900-20949)	STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	117,519	18,434	114,802	(57,812)	1,113,245	(15,229)	(252,868)	(3,309)	5,692	83,267	26,556
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	2,793,125	26,469	0	100,011	68,933	392	4,255	900	75,111	46,792	58,166
Federal Grants	0	(23,000)	0	2,179,680	58,448,582	4,074,353	5,404,869	0	0	0	0
Total Receipts	2,793,125	3,469	0	2,279,691	58,517,515	4,074,745	5,409,124	900	75,111	46,792	58,166
Disbursements:											
Local Assistance	2,764,000	0	425,250	2,167,288	53,733,015	3,432,588	1,300,587	0	0	0	0
State Operations	41,176	8,000	0	62,250	960,999	567,517	273,895	437	67,815	25,812	14,004
General State Charges	12,382	0	0	15,168	124,229	62,068	52,059	269	26,224	14,430	8,134
Debt Service	0	0	0	0	0	0	132,813	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	2,817,558	8,000	425,250	2,244,706	54,818,243	4,062,173	1,759,354	706	94,039	40,242	22,138
Other Financing Sources (Uses):											
Transfers from Other Funds	5,000	0	425,250	0	0	0	0	0	22,757	75	19,006
Transfers to Other Funds	(4,940)	0	0	(34,985)	(1,944,928)	(12,572)	(10,921)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	60	0	425,250	(34,985)	(1,944,928)	(12,572)	(10,921)	0	12,006	(1,820)	(30,300)
Change in Fund Balance	(24,373)	(4,531)	0	0	1,754,344	0	3,638,849	194	(6,922)	4,730	5,728
Closing Fund Balance	93,146	13,903	114,802	(57,812)	2,867,589	(15,229)	3,385,981	(3,115)	(1,230)	87,997	32,284

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	1,631	11,433	542	414,637	(33,302)	72	12,233	264,800	466	0	0
Receipts:											
Taxes	0	0	0	2,439,424	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,000	28	17,500	32,849	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	9,000	28	2,456,924	32,849	0	1,719	344,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,772,747	0	0	0	0	0	0	0
State Operations	35,571	12,600	93	4,162	22,712	0	950	0	59	75	0
General State Charges	13,505	300	0	2,412	13,290	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	49,076	12,900	93	2,779,321	36,002	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,434	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(7,237)	(6,404)	0	0	(413,979)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(7)	30,197	(6,404)	0	0	(413,979)	0	0	0
Change in Fund Balance	(580)	(3,900)	(72)	(292,200)	(9,557)	0	769	(69,955)	56	0	0
Closing Fund Balance	1,051	7,533	470	122,437	(42,859)	72	13,002	194,845	522	0	0

	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	COURT FACILITIES INCENTIVE AID (22500-22549)	EMPLOYMENT TRAINING (22550-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST (22750-22799)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	983	1,377,646	8,523	52	1,488,857	10,138	171	20,810	382	24	10,617
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	938,849	150	0	4,959,740	6,494	1,208	123,625	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	938,938	150	0	4,959,740	6,494	1,208	123,625	160	0	900
Disbursements:											
Local Assistance	98	177,768	113,000	0	0	0	0	4,237	0	0	852
State Operations	0	732,318	2,300	0	6,220,449	6,471	999	9,245	158	0	0
General State Charges	0	417,388	1,000	0	491,897	0	491	0	60	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	1,327,474	116,300	0	6,712,346	6,471	1,490	13,482	218	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	692,558	115,000	0	2,002,419	0	0	0	0	0	0
Transfers to Other Funds	0	(318,598)	0	0	(263,337)	(3,000)	0	(113,520)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	373,960	115,000	0	1,739,082	(3,000)	0	(113,520)	0	0	0
Change in Fund Balance	(38)	(14,576)	(1,150)	0	(13,524)	(2,977)	(282)	(3,377)	(58)	0	48
Closing Fund Balance	945	1,363,070	7,373	52	1,475,333	7,161	(111)	17,433	324	24	10,665

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
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(thousands of dollars)

	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)
Opening Fund Balance	(14,330)	58	(5,351)	(27,977)	53,467	204,314	299	451,790	126,973	31,951	(514)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	49,000	5,108	85	212,662	56,151	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	318,199	0	7,780
Total Receipts	3,068	100	0	30,100	49,000	5,108	85	212,662	374,350	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	170,888	9,339	0	7,780
State Operations	3,579	25	0	25,300	26,900	3,493	75	29,297	226,548	2,828	0
General State Charges	0	0	0	12,000	13,100	0	0	2,166	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,579	45	0	37,300	40,000	3,493	75	202,351	323,781	4,001	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,200	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(32)	(1,108)	3,200	0	0	0	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(511)	23	(1,108)	(4,000)	9,000	1,615	10	38,311	0	282	0
Closing Fund Balance	(14,841)	81	(6,459)	(31,977)	62,467	205,929	309	490,101	126,973	32,233	(514)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23899)	FANTASY SPORTS (24950-24999)	HEALTH CARE TRANSFER (24850-24899)	CHARITABLE GIFTS TRUST FUND (24900-24949)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(2,408)	15,999	11,933	2,854	19,589	315,297	95,645	0	6,312,023	0	6,312,023
Receipts:											
Taxes	0	0	6,000	400	0	0	0	0	5,630,240	0	5,630,240
Miscellaneous Receipts	0	133,341	0	3,240	5,000	137,999	0	0	15,865,659	0	15,865,659
Federal Grants	166,449	0	0	0	0	0	0	0	70,577,001	0	70,577,001
Total Receipts	166,449	133,341	6,000	3,640	5,000	137,999	0	0	92,072,900	0	92,072,900
Disbursements:											
Local Assistance	134,762	120,270	2,565	1,500	0	0	0	47,000	76,045,011	0	76,045,011
State Operations	23,429	3,611	4,496	4,244	708	0	0	0	9,523,413	0	9,523,413
General State Charges	8,258	1,404	1,313	784	292	0	0	0	1,396,982	0	1,396,982
Debt Service	0	0	0	0	0	0	0	0	132,813	0	132,813
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	166,449	125,285	8,374	6,528	1,000	0	0	47,000	87,098,219	0	87,098,219
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	6,913	0	0	0	0	0	3,589,825	(1,054,814)	2,535,011
Transfers to Other Funds	0	(2)	0	(45)	(5,000)	(453,296)	0	0	(3,907,189)	1,054,814	(2,852,375)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(2)	6,913	(45)	(5,000)	(453,296)	0	0	(317,364)	0	(317,364)
Change in Fund Balance	0	8,054	4,539	(2,933)	(1,000)	(315,297)	0	(47,000)	4,657,317	0	4,657,317
Closing Fund Balance	(2,408)	24,053	16,472	(79)	18,589	0	95,645	(47,000)	10,969,340	0	10,969,340

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hyg Gifts	821	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	821
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	2,194	0	350	0	0	0	350	0	224	48	7	0	148	0	0	0	427	2,117
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	54	0	805	0	0	0	805	0	0	805	0	0	0	0	0	0	805	54
020.20109-Helen Hayes Hsp	63	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	28
020.20110-Oxford Donation	342	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	458
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	112	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	109
020.20113-Donations-Batav	34	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	13
020.20114-Montrose Donati	208	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	207
020.20116-IBR Genetic Cou	25	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	25
020.20118-Tech Transfer	(1)	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	25
020.20120-Spec Events	3,120	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	3,258
020.20123-L.M. Josephthal	50	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	50
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,445	0	744	0	0	0	744	0	45	528	1	0	29	0	0	0	603	1,586
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,963	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	4,835
020.20129-NYSCB Gift& Beq	184	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	167
020.20130-St Transm Money	20,576	0	240	0	0	0	240	0	0	0	0	0	0	0	0	0	0	20,816
020.20142-Youth Grants &	286	0	0	0	0	0	0	0	44	426	0	0	17	0	0	0	487	(201)
020.20143-Alzheimers Dis	1,408	0	270	0	0	270	540	670	0	0	0	0	0	0	0	0	670	1,278
020.20144-Local Gov Comm	151	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	156
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	568	0	10	0	0	0	10	0	0	22	0	0	0	0	0	0	22	556
020.20150-Emergency Serv	17,253	0	5,688	0	0	0	5,688	3,101	132	93	4	0	30	0	0	0	3,360	19,581
020.20151-Batavia-Charlot	392	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	389
020.20152-Rome-Gifts And	95	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	96
020.20155-Br Can Res & Ed	6,154	0	540	0	0	500	1,040	1,620	0	0	0	0	0	0	0	0	1,620	5,574
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	93	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	93
020.20166-Erie Canal Muse	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,512	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,735
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
020.20182-Parole Olcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,917	0	240	0	0	200	440	520	0	0	0	0	0	0	0	0	520	2,837
020.20185-Percy T Phillip	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20192-Missing Children	157	0	407	0	0	0	407	0	262	142	0	0	0	0	0	0	404	160
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	557	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	557
020.20184-DFY Rec & Welifr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201GW-CCF Grfs & Beqs	56	0	100	0	0	0	100	0	14	92	1	0	9	0	0	0	116	40
020.201HH-OWH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,130	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,563
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	373	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	673
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	20	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	20

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.20201-Veterans Rem Ce	1,546	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	1,621
020.20205-Mental Illness	256	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	75	239
020.20206-Women's Cancer	179	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	79
020.20209-Combined Gifts	2,275	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	2,272
023.20300-N Y Int Lawyers	102,535	0	39,000	0	0	0	39,000	20,000	905	594	34	0	570	0	0	0	22,103	119,432
024.20350-NYS Archvs Pine	3	0	318	0	0	300	618	0	303	119	10	0	197	0	0	8	637	(16)
025.20401-Child Performer	53	0	120	0	0	300	420	0	248	9	7	0	149	0	0	0	413	60
050.20451-Tuition Reimb	4,923	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,304
050.20452-Voc School Slupe	2,975	0	4,200	0	0	0	4,200	0	1,823	888	63	0	1,184	0	0	242	4,200	2,975
052.20501-Loc Govt Record	3,461	0	9,233	0	0	0	9,233	5,056	1,812	350	62	0	1,177	0	0	1,383	9,840	2,854
053.20550-Sch Tax Relief	(1)	2,073,116	0	0	0	0	2,073,116	2,073,116	0	0	0	0	0	0	0	0	2,073,116	(1)
054.20601-Charter School	6,088	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,088
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	244	0	0	0	0	0	0	0	1,608	142	59	0	1,130	0	0	0	2,939	(2,695)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assist.	53	0	0	0	0	0	0	4,190,014	0	0	0	0	0	0	0	0	4,190,014	(4,189,961)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	1,667	0	0	0	0	0	0	275,620	0	8,209	0	0	0	0	0	0	283,829	(282,162)
061.20809-EEMS Training	738	0	0	0	0	0	0	10,570	2,096	1,381	67	0	1,551	0	0	131	15,796	(15,058)
061.20810-Child Health In	2,362	0	0	0	0	0	0	633,615	1,031	12,830	146	0	886	0	0	0	648,508	(646,146)
061.20811-HCRA Undistrib	6,172	689,000	5,439,553	0	0	0	6,128,553	0	0	0	0	0	0	0	0	178,127	178,127	5,956,598
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	34	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(26)
061.20814-Primary Care In	53	0	0	0	0	0	0	280	0	0	9	0	146	0	0	158	593	(540)
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,217	0	0	0	0	0	0	707,500	0	0	0	0	0	0	0	9,000	716,500	(715,283)
061.20818-EPIC Premium	2,264	0	62,322	0	0	0	62,322	104,413	1,140	9,768	23	0	823	0	0	0	116,167	(51,581)
061.20819-Health Occup De	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	29	0	0	0	0	0	0	357	2	0	7	0	247	0	0	0	613	(584)
061.20822-Cig Task Force	101	0	0	0	0	0	0	2,494	206	0	66	0	1,533	0	0	0	4,299	(4,198)
061.20823-NYSOH	674	0	0	0	0	0	0	5,470	38,919	0	1,279	0	3,365	0	0	0	49,033	(48,359)
073.20851-Transit Authori	56,440	329,850	112,990	0	0	51,394	494,234	489,551	0	0	0	0	0	0	0	0	489,551	61,123
073.20852-Railroad Accoun	10,332	58,209	20,001	0	0	9,216	87,426	87,044	0	0	0	0	0	0	0	0	87,044	10,714
073.20853-DWTF	18,055	34,241	12,952	0	0	5,274	52,467	50,105	0	0	0	0	0	0	0	0	50,105	20,417
160.20901-Education - New	2,873	0	2,180,000	0	0	5,000	2,185,000	2,206,000	0	0	0	0	0	0	0	0	2,206,000	(18,127)
160.20902-Lottery Adm New	91,910	0	44,085	0	0	0	44,085	0	18,458	17,736	506	0	10,611	0	0	4,274	51,585	84,410
160.20903-VLT Administrat	21,663	0	12,040	0	0	0	12,040	0	3,111	1,280	85	0	1,771	0	0	666	6,913	26,790
160.20904-VLT - Education	1,074	0	557,000	0	0	0	557,000	558,000	0	0	0	0	0	0	0	0	558,000	74
221.20950-Comb Student Ln	18,436	0	26,469	(23,000)	0	0	3,469	275,250	0	8,000	0	0	0	0	0	0	8,000	13,905
225.23651-Mobility Tax Tr	1,442	0	0	0	0	275,250	275,250	275,250	0	0	0	0	0	0	0	0	275,250	1,442
225.23652-MTA Akl Trust	396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	396
225.23653-NY Cen Bus Dis	112,967	0	0	0	0	150,000	150,000	150,000	0	0	0	0	0	0	0	0	150,000	112,967
300.21002-Encon Admin Acc	(3,310)	0	900	0	0	0	900	0	423	14	0	0	269	0	0	0	706	(3,116)
301.21051-EnCon Energy Ef	79	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	79
301.21052-EnCon-Seized As	81	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	101
301.21053-Wst Tire MgrRe	37,636	0	19,200	0	0	0	19,200	0	12,967	400	415	0	8,342	0	0	0	22,124	34,712
301.21054-Oil & Gas Accou	27	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	17
301.21055-Marine/Coastal	206	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	219
301.21060-Indirect Charge	2,477	0	0	0	0	10,157	10,157	0	9,501	2,853	164	0	3,253	0	0	2,085	17,856	(5,222)
301.21061-Hazardous Sub B	1,492	0	350	0	0	0	350	0	218	38	8	0	111	0	0	0	375	1,467
301.21063-S-Area Landfill	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12

**CASH REVENUE STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021**

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21064-Utility Envir R	(1,671)	0	0	0	0	3,600	3,600	0	1,288	0	34	0	674	0	0	0	1,996	(67)
301.21065-Federal Grant I	534	0	40	0	0	9,000	9,040	0	8,767	168	0	0	0	0	0	1,041	9,976	(402)
301.21066-Low Level Radio	(4,266)	0	2,811	0	0	0	2,811	0	1,266	192	47	0	813	0	0	433	2,751	(4,206)
301.21067-Recreation Acco	9,850	0	8,200	0	0	8,200	8,200	0	2,579	862	98	0	1,093	0	0	455	5,087	12,963
301.21077-Public Safety R	1	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	(7)
301.21080-Encon Magazine	757	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,148
301.21081-Environmental R	(95,244)	0	28,600	0	0	0	28,600	0	14,126	2,436	447	0	7,345	0	0	6,187	30,541	(57,185)
301.21082-Natural Resourc	(14,065)	0	4,811	0	0	0	4,811	0	659	397	22	0	429	0	0	400	1,907	(11,161)
301.21083-UST-Trust Recov	606	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	618
301.21084-Mined Land Recl	4,126	0	4,210	0	0	0	4,210	0	2,254	117	75	0	1,459	0	0	0	3,905	4,431
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.211057-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2110ZZ-Monitors-Aggr	23,080	0	6,000	0	0	0	6,000	0	4,428	533	135	0	2,705	0	0	0	7,801	21,279
302.21150-Conservation	10,322	0	43,222	0	0	75	43,297	0	21,712	2,108	656	0	13,705	0	0	1,820	40,001	13,618
302.21151-Marine Resource	4,842	0	1,480	0	0	0	1,480	0	1,115	0	34	0	669	0	0	0	1,818	4,504
302.21152-Migratory Bird	0	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(35)
302.21153-Guides License	285	0	55	0	0	0	55	0	65	6	2	0	37	0	0	0	110	230
302.21154-Fish And Game T	67,773	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	69,698
302.21155-Surf Clam/Quaho	(3)	0	0	0	0	0	0	0	33	35	1	0	19	0	0	0	88	(91)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	3	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	28
302.21158-OUTDOOR REC & T	38	0	470	0	0	0	470	0	0	58	23	0	427	0	0	0	1,175	3
303.21201-Oil Spill - DAC	3	0	0	0	0	705	1,175	0	667	58	0	0	0	0	0	0	0	38
303.21202-Oil Sp Relocatn	3	0	0	0	0	301	301	0	190	8	6	0	133	0	0	0	337	(33)
303.21203-Oil Spill - DEC	(1)	0	0	0	0	18,000	18,000	0	11,724	951	377	0	7,574	0	0	35,606	20,626	(2,627)
303.21204-Oil Spill - DAC	26,552	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	0	35,606	34,942
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	926	0	26,357	0	0	0	26,357	0	11,556	7,345	338	0	7,172	0	0	0	26,411	872
305.21252-OSHA Inspection	701	0	22,139	0	0	0	22,139	0	12,674	3,288	370	0	6,333	0	0	0	22,665	175
306.21301-CSF Regis Fee	11,434	0	9,000	0	0	0	9,000	0	600	12,000	0	0	300	0	0	0	12,900	7,534
307.21351-Equipment Loan	545	0	28	0	0	0	28	0	0	93	0	0	0	0	0	7	100	473
313.21401-Pub Tran Sysms	16,825	91,371	0	0	0	16,259	107,630	104,223	685	195	21	0	479	0	0	0	105,603	18,852
313.21402-Metropolitan Ma	397,709	2,348,053	17,500	0	0	21,175	2,366,728	2,668,524	2,766	410	85	0	1,933	0	0	7,237	2,680,955	103,482
313.21403-Urban Mass Tran	112	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	112
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(31,065)	0	9,200	0	0	0	9,200	0	2,762	47	90	0	1,795	0	0	0	4,694	(26,559)
314.21452-Mobile Source	(2,244)	0	23,649	0	0	0	23,649	0	16,455	2,851	507	0	11,495	0	0	6,404	37,712	(16,307)
318.21501-Housing Reserve	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
321.21551-Legisl Comp R&D	12,167	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,934
321.21552-Demographics/Re	64	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	66
330.40350-S U Dorm Income	264,796	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	413,979	413,979	194,841
332.21651-Brummer Award	40	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	40
332.21652-William Vorce F	242	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	242
332.21653-Rocky Pocanico	(1)	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	57
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
338.21851-Arts Capital Re	982	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	0	944
340.22501-CFIA Undistrib	8,523	0	150	0	0	115,000	115,150	113,000	2,200	100	0	0	1,000	0	0	0	116,300	7,373
341.22552-DFY-NYC Summer	53	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	53
345.22652-L I Vets Home	28,597	0	48,231	0	0	0	48,231	0	32,286	18,906	0	0	0	0	0	0	51,192	25,636
345.22653-S U Genl IFR	940,016	0	649,738	0	0	31,487	681,225	0	198,899	389,445	0	0	15,523	0	0	37,028	640,995	980,346
345.22654-S U Inc Offset	(20,613)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,613)
345.22655-Gen Rev Offset	61,909	0	1,792,471	0	0	1,273,471	3,065,942	0	2,554,355	398,478	0	0	563	0	0	116,770	3,070,166	57,685
345.22656-S U Hosp Ops	234,787	0	2,314,069	0	0	662,461	2,976,530	0	1,378,066	1,104,407	0	0	471,071	0	0	109,599	3,063,083	148,234

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22657-SUNY Stablizati	81,159	0	0	0	0	35,000	35,000	0	400	600	0	0	0	0	0	0	1,000	115,159
345.22658-State Univ Hosp	9,218	0	52,631	0	0	0	52,631	0	52,409	3,463	0	0	0	0	0	0	55,872	5,977
345.22659-SUNY Tuition Re	155,177	0	102,600	0	0	0	102,600	0	61,241	27,494	0	0	4,740	0	0	0	93,475	164,302
346.22700-Chem Dep Svcs	10,136	0	6,494	0	0	0	6,494	0	6,471	6,471	0	0	0	0	0	3,000	9,471	7,159
349.22751-Lk George Park	173	0	1,208	0	0	0	1,208	0	727	250	22	0	491	0	0	0	1,490	(109)
354.22801-MVTIFA	3,660	0	4,800	0	0	0	4,800	4,237	141	4	0	0	0	0	0	1,100	5,482	2,978
354.22802-St Police MV En	17,150	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	14,455
355.22851-Great Lakes Pro	378	0	160	0	0	0	160	0	85	70	3	0	60	0	0	0	218	320
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	852	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,616	0	900	0	0	0	900	0	0	0	0	0	0	0	0	0	852	10,664
362.23001-DOT Comm Veh Sa	(14,330)	0	3,068	0	0	0	3,068	0	3,084	495	0	0	0	0	0	0	3,579	(14,841)
365.23051-Vocall Rehabil	60	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	83
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(27,977)	0	30,100	0	0	3,200	33,300	0	21,800	3,500	0	0	12,000	0	0	0	37,300	(31,977)
369.23201-Jud Data Proc O	53,466	0	49,000	0	0	0	49,000	0	26,900	0	0	0	13,100	0	0	0	40,000	62,466
377.23267-CUNY Stablizn	5,168	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,168
377.2322X-CUNY Tuitt Rein	101,994	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	103,609
377.2322Y-CUNY Inc Reimb	97,152	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	97,152
385.23501-Lk Placid Train	299	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	309
390.23551-Indigent Legal	451,788	0	212,662	0	0	28,000	240,662	170,888	3,455	25,723	119	0	2,166	0	0	0	202,351	490,099
482.23601-UJ Sp Int & Pen	31,951	0	15,866	0	0	0	15,866	0	1,757	1,020	51	0	1,173	0	0	11,583	15,584	32,233
S01.23701-Commercial Gami	32,294	0	125,000	0	0	0	125,000	116,970	0	0	0	0	0	0	0	0	116,970	40,324
S01.23702-Comm Game Regul	(18,020)	0	5,041	0	0	0	5,041	0	2,322	1,223	66	0	1,404	0	0	2	5,017	(17,996)
S01.23703-Prob Gambi Svcs	1,721	0	3,300	0	0	0	3,300	3,300	0	0	0	0	0	0	0	0	3,300	1,721
S02.23750-Med Marth Colle	5,402	2,700	0	0	0	0	2,700	0	0	0	0	0	0	0	0	0	0	8,102
S02.23752-MMF - County Di	680	2,700	0	0	0	0	2,700	2,565	0	0	0	0	0	0	0	0	2,565	815
S02.23753-MMF - Law Enfor	450	300	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	750
S02.23754-MMF - Addictio	600	300	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	900
S02.23755-Health Operatio	4,802	0	0	0	0	6,913	6,913	0	1,949	2,492	55	0	1,313	0	0	0	5,809	5,906
S03.23800-Inter Recip Pos	2,005	0	1,200	0	0	0	1,200	0	452	394	16	0	294	0	0	45	1,201	2,004
S03.23801-Hwy Use Tax Adm	504	400	500	0	0	0	900	0	188	202	6	0	116	0	0	0	512	892
S03.23802-Cure Childhood	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
S03.23804-Lupus Research	62	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	62
S03.23806-NYS Secure Choi	0	0	0	0	0	0	0	0	583	2,386	17	0	374	0	0	0	3,360	(3,360)
S03.23807-Military Fam Re	95	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	95
S03.23808-Gifts For Food	62	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	62
S03.23809-NYS ALS Res&Edu	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
S03.23811-School Bas Hlth	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
S03.23814-Gift to the Art	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
S03.23815-Sr Well Nutriti	44	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	84
S03.BHPCO-Beh Hlth Comp	0	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,500	0
S04.24950-Fan Sports Educ	19,592	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	5,000	5,000	19,592
S04.24951-Fan Sport Admin	(4)	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,004)
S06.24850-Hlth Care Trans	315,297	0	137,999	0	0	0	137,999	0	0	0	0	0	0	0	0	453,296	453,296	0
S07.24900-Hlth Caritable	59,272	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	59,272
S07.24901-Elem Sec Ed Cha	36,373	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36,373

**CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021**

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	7,662	0	500	0	0	0	500	60	0	0	0	0	0	0	0	0	8,102
339.21902-S P A R C S	6,469	0	6,600	0	0	0	6,600	0	1,014	3,648	33	0	703	0	0	4,214	3,457
339.21904-Fire Prev/Code	57,370	0	14,810	0	0	0	14,810	0	1,004	500	34	0	627	0	0	20,810	49,205
339.21905-NYS Twy Police	(10,934)	0	63,612	0	0	0	63,612	0	37,986	25	0	0	25,601	0	0	0	(10,934)
339.21906-DMV Seiz Assets	121	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	121
339.21907-Mental Hygiene	(2,134)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,134)
339.21909-M H Patient Inc	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
339.21911-Fin Cntrl Board	(1,337)	0	3,099	0	0	0	3,099	0	1,444	811	45	0	799	0	0	0	(1,337)
339.21912-Reg of Racing	(2,696)	0	12,647	0	0	0	12,647	0	7,071	3,877	178	0	1,931	0	0	458	(3,564)
339.21914-S U Constr Fund	113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	113
339.21915-Quality Care	133	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	133
339.21916-Nurses Aide Reg	1,062	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,062
339.21917-Med Frd Seized	100	0	160	0	0	0	160	0	0	160	0	0	0	0	0	0	100
339.21918-Child Care & Pr	2,445	0	425	0	0	0	425	100	0	0	0	0	0	0	0	0	2,770
339.21919-Cyber Sec Upgr	934	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	934
339.21920-Cert of Need	8,332	0	2,959	0	0	0	2,959	0	1,606	1,516	53	0	1,123	0	0	7,769	(776)
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,291	0	131	0	0	0	131	0	66	4	2	0	28	0	0	2	1,320
339.21923-DOL Fee Penalty	12,548	0	20,383	0	0	0	20,383	0	6,409	1,239	187	0	4,167	0	0	300	20,629
339.21924-Educ Museum	296	0	892	0	0	0	892	0	293	334	10	0	190	0	0	62	299
339.21925-Ns Hm Receiptship	2,999	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	3,024
339.21926-3rd Party Hlth	478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	478
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Afts	83	0	684	0	0	0	684	0	115	528	4	0	26	0	0	0	94
339.21930-I Lve NY W Boat	148	0	245	0	0	0	245	0	130	25	4	0	86	0	0	0	148
339.21932-Snowmobile	6,075	0	6,150	0	0	0	6,150	3,650	111	363	9	0	74	0	0	0	8,018
339.21933-Tr Surplus Prop	5,999	0	2,200	0	0	0	2,200	0	0	0	0	0	0	0	0	2,777	5,422
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pftnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(6)	0	0	0	0	310,025	310,025	0	125,145	135,421	0	0	155	0	0	0	49,298
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	4,524	0	6,000	0	0	0	6,000	3,000	730	687	30	0	453	0	0	1,350	4,274
339.21945-Crim Jus Improv	17,049	0	41,373	0	0	0	41,373	27,744	2,836	396	116	0	1,650	0	0	11,886	13,794
339.21948-Farm Prod Insp-	485	0	1,390	0	0	0	1,390	0	672	123	23	0	437	0	0	0	620
339.21950-FgprintID&Tech	46,376	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	38,318
339.21953-NY Fire Academy	133	0	468	0	0	0	468	0	289	314	9	0	239	0	0	0	(250)
339.21958-Domestic Awaren	108	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	112
339.21959-Environmental L	4,621	0	4,112	0	0	0	4,112	0	1,748	567	54	0	1,196	0	0	131	5,037
339.21960-HESC Ins Prem P	64,106	0	69,881	0	0	0	69,881	0	12,416	24,936	422	0	9,020	0	0	15,827	71,366
339.21961-Train Mgmt Eval	(406)	0	1,400	0	0	0	1,400	0	1,634	97	53	0	1,061	0	0	8	(1,859)
339.21962-Clin Lab Refrnc	(9,340)	0	18,059	0	0	0	18,059	0	5,521	2,079	176	0	3,974	0	0	4,848	(7,879)
339.21964-Pub Emp Rel Brid	862	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	903
339.21965-Radio Hlth Prot	982	0	5,132	0	0	0	5,132	0	2,071	133	70	0	1,488	0	0	796	1,556
339.21966-Cons Food Indus	57	0	80	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD ST Match	1,990	0	80	0	0	1,400	1,480	0	0	3,944	0	0	0	0	0	0	(474)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatn Library	172	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	177
339.21969-Teacher Certif	7,374	0	6,600	0	0	0	6,600	0	3,531	643	121	0	2,294	0	0	450	6,935
339.21970-Banking Deptmnt	40,850	0	106,082	0	0	0	106,082	0	52,053	12,609	1,598	0	36,415	0	0	0	44,257
339.21971-Cable TV Acct	5,387	0	3,130	0	0	0	3,130	0	1,413	109	49	0	918	0	0	0	6,028
339.21972-Econ Devel Asst	329	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	329
339.21973-Fin Svcs Seized	744	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	744
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	264	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	264
339.21977-Business and Li	112,309	0	86,443	0	0	0	86,443	0	17,785	10,007	549	0	12,403	0	0	60,058	97,950
339.21978-Indir Cost Reco	1,727	0	0	0	0	18,954	18,954	0	9,476	4,362	0	0	6,156	0	0	2,757	(2,070)
339.21979-High School Equ	1,484	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,484
339.21980-OTDA Program	754	0	0	0	0	500	500	0	0	200	0	0	128	0	0	0	926
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	355	0	13	0	0	13,350	13,363	0	3,799	6,531	119	0	2,729	0	0	1,343	(803)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	16,203	0	0	12,000	16,203	0	12,317	4,010	30	0	1,047	0	0	0	(152)
339.21986-Seized Assets	6	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	8
339.21987-Spinal Injury	7,257	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	7,257
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	12,175	0	0	0	0	12,000	12,000	0	1,612	9,341	52	0	1,047	0	0	0	12,123
339.21990-OCTF Crime Forf	3,429	0	1,372	0	0	0	1,372	0	0	1,372	0	0	0	0	0	0	3,429
339.21991-DMNA-Seiz Asset	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21992-Critical Infras	274	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	274
339.21993-Radon Detection	924	0	20	0	0	20	20	0	0	12	0	0	0	0	0	2	930
339.21994-Insurance Dept	198,075	0	291,248	0	0	0	291,248	61,090	107,683	37,301	3,437	0	78,062	0	0	0	201,750
339.21995-Workers' Compn	32,049	0	226,202	0	0	0	226,202	89,608	56,088	56,088	2,306	0	57,863	0	0	36,352	16,034
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	14,232	0	3,982	0	0	0	3,982	0	2,126	221	62	0	1,493	0	0	0	14,312
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219AC-Non-Inv Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219XX-A&M-Aggregated	948	0	1,200	0	0	0	1,200	0	441	14	15	0	286	0	0	0	1,392
339.219YL-OGS Bldg Admin	12,772	0	10,000	0	0	0	10,000	0	0	1,141	0	0	0	0	0	9,699	11,932
339.219YN-OGS Std & Purch	9,401	0	5,660	0	0	0	5,660	0	897	1,869	29	0	583	0	0	3,000	8,683
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	157	0	2,500	0	0	0	2,500	2,000	189	100	6	0	123	0	0	52	187
339.22003-Bell Jar Collec	332	0	1,875	0	0	0	1,875	0	716	124	21	0	445	0	0	0	901
339.22004-Inv & Util Serv	3,514	0	2,547	0	0	0	2,547	0	1,814	150	48	0	1,116	0	0	0	2,933
339.22008-Courts Special	2,081	0	2,400	0	0	0	2,400	0	0	2,200	0	0	0	0	0	0	2,281
339.22009-Asbestos Trning	31	0	867	0	0	0	867	0	292	2	7	0	207	0	0	0	390
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	48,905	0	87,082	0	0	0	87,082	0	45,442	5,964	1,626	0	30,845	0	0	9,271	42,839
339.22012-Atty Licensing	6,146	0	36,000	0	0	0	36,000	0	17,300	5,400	0	0	7,800	0	0	0	11,646
339.22014-DSS Prov Recovs	199	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	199
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	75	0	197	0	0	0	197	0	161	9	4	0	9	0	0	0	89
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,612	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	2,612
339.22022-College Savings	22,065	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	22,437
339.22023-Discover Queens	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.22024-Reven Atreage	100,432	0	25,000	0	0	0	25,000	0	1,684	2,500	47	0	1,025	0	0	20,503	99,673
339.22025-Comm Svce Assis	8,475	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,475
339.22026-Cell Phone Towe	4,612	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,612
339.22027-Spec Conserv Ac	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22028-State Central R	6,024	0	4,600	0	0	0	4,600	0	134	0	4	0	87	0	0	1,822	8,577
339.22029-Plant Industry	624	0	529	0	0	0	529	0	550	30	17	0	352	0	0	0	204
339.22032-Batavia School	(8,615)	0	9,600	0	0	900	10,500	0	5,578	628	202	0	3,624	0	0	522	(8,669)
339.22034-Investment Serv	2,255	0	4,038	0	0	0	4,038	0	2,150	673	66	0	1,285	0	0	0	2,119
339.22035-Diabetes Resear	48	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	54
339.22037-Keep Kids Drug	70	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	79
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(2,730)	0	4,848	0	0	0	4,848	0	3,010	125	76	0	1,770	0	0	0	(2,863)
339.22040-Senate Recyclab	651	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	671
339.22041-Medicaid Fraud	15,811	0	15,326	0	0	0	15,326	0	7,745	2,223	213	0	5,145	0	0	0	15,811
339.22042-DED Marketing A	3,309	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,319
339.22044-Tug Hill Admin	152	0	38	0	0	0	38	0	30	3	0	0	0	0	0	10	147
339.22045-Settlement Enf	387	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	387
339.22046-Regulation of I	(89,919)	0	13,388	0	0	0	13,388	0	8,635	765	240	0	5,363	0	0	329	(91,863)
339.22047-NYS FLEX Spend	777	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	771
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	51,794	0	56,852	0	0	0	56,852	0	23,645	9,559	811	0	15,360	0	0	31,491	27,780
339.22052-Armory Rental A	3,061	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,061
339.22053-Rome School	(3,369)	0	9,600	0	0	1,020	10,620	0	4,181	652	152	0	2,716	0	0	436	(886)
339.22054-Seized Assets	(1,509)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,509)
339.22055-Traf Adjudicat	(25,751)	0	44,500	0	0	0	44,500	0	18,869	8,605	639	0	14,459	0	0	2,288	(26,111)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,811	2,811	419	1,422	0	47	0	908	0	0	0	14
339.22057-Cook/Chill Acco	1,767	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,767
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	17,188	0	79,653	0	0	0	79,653	0	38,300	24,553	1,458	0	17,751	0	0	0	14,779
339.22063-Cultural Educat	(4,175)	0	29,865	0	0	0	29,865	0	12,076	8,095	423	0	7,845	0	0	2,393	(5,142)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(235)	0	125	0	0	0	125	0	376	0	12	0	244	0	0	1,961	(2,703)
339.22067-Trans Regul Acc	10,329	0	91	0	0	0	91	0	9	67	1	0	171	0	0	0	1,956
339.22068-Cons Prot Acct	2,113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,113
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	32
339.22075-Funeral	2,371	0	470	0	0	0	470	0	217	7	8	0	153	0	0	95	2,361
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	41	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	41
339.22078-Local Services	1,174	0	1,153	0	0	0	1,153	0	750	50	20	0	392	0	0	0	1,115
339.22080-Adult Shelter	24,833	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	27,433
339.22081-QAA Earned Rev	417	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	417
339.22082-Family Pres Svc	3,657	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	4,543

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22083-Electronic Bene	47	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	47
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(15,473)	0	3,833	0	0	0	3,833	0	4,727	4	0	0	0	0	0	0	(16,371)
339.22086-OMH-Research OH	80	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	8
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	4,285	0	24,900	0	0	0	24,900	990	10,988	6,191	335	0	7,706	0	0	3,960	(985)
339.22089-Hwy Const & Ma	1,933	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	2,058
339.22090-Housing Indirec	663	0	0	0	0	5,739	5,739	0	2,643	5	0	0	0	0	0	201	3,553
339.22091-Adult Home Qual	3,609	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	3,781
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	245	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	245
339.22095-IG Szd Assets	104	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	104
339.22096-Leg Svcs Assist	42,896	0	25,100	0	0	0	25,100	19,265	0	0	0	0	0	0	0	9,830	38,901
339.22097-Loc Pub Hlth	8,741	0	3,405	0	0	0	3,405	0	184	2	10	0	145	0	0	59	11,746
339.22099-Voting Mach Exa	114	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	114
339.22100-DHCR HCA Applic	(6,008)	0	5,000	0	0	0	5,000	0	3,000	14	88	0	1,584	0	0	489	(6,183)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	9,432	0	4,840	0	0	0	4,840	0	622	438	20	0	473	0	0	4,687	8,032
339.22104-CHCCDP Transfer	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,043	0	210	0	0	0	210	0	0	237	0	0	0	0	0	0	1,016
339.22109-Conference & Sp	145	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	145
339.22110-Assisted Living	2,051	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	2,051
339.22111-OCFS Program	389	0	0	0	0	0	0	0	0	658	0	0	0	0	0	0	(269)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	39
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	13,423	0	76,778	0	0	0	76,778	0	25,191	35,628	831	0	15,128	0	0	0	13,423
339.22118-Animal Populati	412	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	400
339.22119-Love Your Libra	176	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	182
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	123	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123
339.22123-Pub Safe Commun	109,814	0	137,000	0	0	0	137,000	46,269	28,002	34,214	0	0	0	0	0	35,911	102,418
339.22124-Cuba Lake Mgmt	166	0	200	0	0	0	200	0	0	210	0	0	0	0	0	0	156
339.22126-St Justice Inst	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
339.22128-Med Reimb Acct	1,430	0	1,500	0	0	0	1,500	1,000	0	0	0	0	0	0	0	0	1,930
339.22130-Low Inc Housing	4,069	0	3,631	0	0	0	3,631	0	2,436	7	72	0	812	0	0	150	4,223
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22133-Procure Op News	176	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	1
339.22134-OVS RESTITUTION	613	0	593	0	0	0	593	0	487	120	0	0	0	0	0	0	599
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	295	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	235
339.22137-Pet Dealer	5	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	37
339.22138-Auth Bdgt Office	1,241	0	2,088	0	0	1,826	3,914	0	1,024	254	31	0	715	0	0	45	3,086
339.22139-Patient Safety	4,296	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,296
339.22140-Helen Hayes Hos	6,527	0	3,115	0	0	59,583	62,698	0	35,356	21,220	21	0	2,423	0	0	1,591	8,614

**CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021**

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22141-NYC Veterans	343	0	350	0	0	30,837	31,187	0	18,399	8,735	12	0	7,157	0	0	473	(3,246)
339.22142-NYS Home-Vetera	230	0	120	0	0	23,669	23,789	0	15,422	6,313	9	0	182	0	0	575	1,518
339.22143-WNY Vets Home	1,075	0	55	0	0	12,708	12,763	0	8,738	4,196	5	0	94	0	0	159	646
339.22144-Montrose S V H	2,213	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	0	264	2,613
339.22145-DOH Hospital Ho	1,199	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	645
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	10,774	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	11,161
339.22149-Motor Fuel Qual	951	0	2,800	0	0	0	2,800	0	1,186	1,214	41	0	770	0	0	0	540
339.22150-Weights Measure	65	0	325	0	0	0	325	0	230	101	8	0	149	0	0	0	(98)
339.22151-Defer Comp Adm	(118)	0	820	0	0	0	820	0	381	187	23	0	247	0	0	0	(136)
339.22152-Hazard Abatement	965	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,015
339.22153-Education Stats	995	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	1,050
339.22154-Real Estate Fin	2,674	0	3,470	0	0	0	3,470	0	1,271	1,381	42	0	776	0	0	0	2,674
339.22156-NYC Rent Rev	13,748	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,633
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	273	0	550	0	0	0	550	0	407	0	12	0	125	0	0	0	279
339.22159-CSFP Salvage Ac	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22161-ES Stem Cell Tr	2,691	0	0	0	0	30,555	30,555	0	488	25,882	14	0	328	0	0	510	6,024
339.22162-Systems & Tech	13,993	0	7,300	0	0	0	7,300	0	757	120	29	0	692	0	0	5,320	14,375
339.22163-Paron Services	15,681	0	62,416	0	0	0	62,416	0	31,179	26,592	0	0	1,659	0	0	3,992	14,675
339.22165-Trans Aviath	1,384	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,085
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	353	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	353
339.22168-Tax Rev Airrear	2,673	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	2,673
339.22169-TSCR Account	163,245	0	524,000	0	0	0	524,000	155,000	0	0	0	0	0	0	0	340,000	192,245
339.22170-Statewide Gamini	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	964	0	39	0	0	2,087	2,126	0	0	3,300	0	0	0	0	0	0	(210)
339.22172-Undgrnd Sfty T	4,259	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	4,194
339.22173-Vol Fire Rec&Re	1,178	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,178
339.22174-HAVA Match	1,303	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,303
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,965	0	9,000	0	0	0	9,000	9,560	366	11	12	0	228	0	0	76	712
339.22178-Crim Back Check	401	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	401
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22182-OWIG Adm Reimb	205	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	205
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	742	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	782
339.22186-Yth Fac PerDiem	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.22187-Provider Assess	623	0	936,000	0	0	0	936,000	936,000	0	0	0	0	0	0	0	0	623
339.22188-Fed Indirect Re	83	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	83
339.22189-DOCS Asset Forf	248	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	248
339.22190-Conference&Sign	32	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	32
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	9,324	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,324
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,702	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,702
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	733	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	733
339.22198-HEP	40	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(260)

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22199-Airport Securit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	107	0	100	0	0	0	100	100	0	0	0	0	0	0	0	0	107
339.22206-Wholesale Mkt	6,887	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	6,187
339.22207-Tech Financing	47	0	40,000	0	0	0	40,000	40,000	0	0	0	0	0	0	0	0	47
339.22208-Offender Progra	0	0	200	0	0	0	200	0	0	200	0	0	0	0	0	0	0
339.22212-Lake George Inv	32	0	350	0	0	0	350	0	36	285	10	0	21	0	0	0	30
339.22213-BOE Enforcement	744	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	744
339.22214-Fireworks Reven	1,216	0	320	0	0	0	320	0	161	0	5	0	113	0	0	0	1,257
339.22215-Delivery Transf	1,907	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,907
339.22217-Eq Sh DTF Just	103	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	103
339.22218-Eq Sh DTF Treas	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
339.22231-Eq Sh DEC Just	176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	176
339.22233-Eq Shar-DMN Jus	207	0	260	0	0	0	260	0	0	250	0	0	0	0	0	0	217
339.22235-Institt Accred	448	0	570	0	0	0	570	0	301	56	6	0	171	0	0	47	437
339.22238-Eq Sh PRK Treas	6	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	6
339.22239-Opioid Steward	579	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	579
339.22240-NYS Med Indmnty	(529)	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	(529)
339.22243-Securing Cities	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	(2)	(33,475)	148,837	14,059	(43,951)	15	72,683	164	668	3,328
Receipts:										
Taxes	0	1,142,112	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	3,850,298	1,408,535	0	500	127,300	0	107,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	3,850,298	2,555,152	0	500	127,300	0	226,750	0	0	0
Disbursements:										
Local Assistance	3,137,637	59,681	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	4,253,581	1,999,253	67,250	500	147,300	0	260,525	0	0	0
Total Disbursements	7,391,218	2,058,934	67,250	500	147,300	0	260,525	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	3,547,795	992,319	75,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(6,875)	(1,488,728)	0	0	0	0	0	(25)	(340)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	340	25
Net Other Financing Sources (Uses)	3,540,920	(496,409)	75,000	0	0	0	28,000	0	0	0
Change in Fund Balance	0	(191)	7,750	0	(20,000)	0	(5,775)	0	0	0
Closing Fund Balance	(2)	(33,666)	156,587	14,059	(63,951)	15	66,908	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (30640-30649)	REBUILD AND RENEW TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,419	17,329	4,255	5,551	2,778	1,428	(562,691)	1,079	(80,472)	538
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,177,357	0	0	0
Total Receipts	0	0	0	0	0	0	2,177,357	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,062,133	12	104,996	0
Total Disbursements	0	0	0	0	0	0	1,768,114	12	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(738)	(389,764)	(25)	(3,608)	(25)	(3,848)	(401,673)	0	(25,200)	0
Bond & Note Proceeds	615	389,764	25	4,807	25	4,848	0	0	0	0
Net Other Financing Sources (Uses)	(123)	0	0	1,199	0	1,000	(401,673)	0	(9,700)	0
Change in Fund Balance	(123)	0	0	1,199	0	1,000	7,570	(2)	(11,446)	0
Closing Fund Balance	1,296	17,329	4,255	6,750	2,778	2,428	(555,121)	1,077	(91,918)	538

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32000-32099)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(21,236)	(12,942)	(216,260)	17,113	(11,969)	176,988	114,773	17	(355,825)	(315,985)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	16,462	0	672,255	1,000	0	66,250	22,622	0	434,218	231,941
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	16,462	0	672,255	1,000	0	66,250	22,622	0	434,218	231,941
Disbursements:										
Local Assistance	0	0	724,380	0	0	0	0	0	147,800	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	18,431	0	0	1,017	0	66,250	87,057	0	288,168	340,646
Total Disbursements	18,431	0	724,380	1,017	0	66,250	87,057	0	435,968	340,646
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	4,875	0	0	0	70,990	0	5,000	108,705
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	4,875	(17)	0	0	70,990	0	5,000	108,705
Change in Fund Balance	(1,969)	(12,942)	(47,250)	17,096	(11,969)	176,988	121,328	17	(352,575)	(315,985)
Closing Fund Balance	(23,205)	(12,942)	(263,510)	17,096	(11,969)	176,988	121,328	17	(352,575)	(315,985)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	MOTHER NATURE BOND FUND	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(49,661)	86,513	0	0	(1,034,934)	0	(1,034,934)
Receipts:								
Taxes	0	0	0	0	0	1,261,212	0	1,261,212
Miscellaneous Receipts	0	7,000	1,000,000	0	1	8,049,292	0	8,049,292
Federal Grants	0	0	0	0	0	2,181,862	0	2,181,862
Total Receipts	0	7,000	1,000,000	0	1	11,492,366	0	11,492,366
Disbursements:								
Local Assistance	0	0	206,854	0	0	4,982,333	0	4,982,333
State Operations	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	7,000	1,048,432	0	(1,000)	9,751,551	0	9,751,551
Total Disbursements	0	7,000	1,255,286	0	(1,000)	14,733,884	0	14,733,884
Other Financing Sources (Uses):								
Transfers from Other Funds	0	0	312,967	0	0	5,161,151	(1,256,946)	3,904,205
Transfers to Other Funds	(400,000)	0	0	(50,000)	0	(2,770,874)	1,256,946	(1,513,928)
Bond & Note Proceeds	400,000	0	0	50,000	0	850,474	0	850,474
Net Other Financing Sources (Uses)	0	0	312,967	0	0	3,240,751	0	3,240,751
Change in Fund Balance	0	(49,661)	57,681	0	1,001	(767)	0	(767)
Closing Fund Balance	0	(49,661)	144,194	0	1,001	(1,035,701)	0	(1,035,701)

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2021**

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	31,084	1	0	32,303	0	(1)	63,387	0	63,387
Receipts:									
Taxes	0	27,670,345	0	0	829,900	3,146,000	31,646,245	0	31,646,245
Miscellaneous Receipts	233,162	0	3,404	143,702	0	500	380,768	0	380,768
Federal Grants	0	73,552	0	0	0	0	73,552	0	73,552
Total Receipts	233,162	27,743,897	3,404	143,702	829,900	3,146,500	32,100,565	0	32,100,565
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,040	38,503	0	2,680	0	1,434	43,657	0	43,657
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	9,774	10,216,310	3,504	25,646	0	82,406	10,337,640	0	10,337,640
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	10,814	10,254,813	3,504	28,326	0	83,840	10,381,297	0	10,381,297
Other Financing Sources (Uses):									
Transfers from Other Funds	1,353,471	3,651,985	100	31,260	0	0	5,036,816	(350,387)	4,686,429
Transfers to Other Funds	(1,575,864)	(21,141,069)	0	(154,028)	(829,900)	(3,062,660)	(26,763,521)	350,387	(26,413,134)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(222,393)	(17,489,084)	100	(122,768)	(829,900)	(3,062,660)	(21,726,705)	0	(21,726,705)
Change in Fund Balance	(45)	0	0	(7,392)	0	0	(7,437)	0	(7,437)
Closing Fund Balance	31,039	1	0	24,911	0	(1)	55,950	0	55,950

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2021**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centrl Services	(10)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(10)
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(21,792)	0	70,626	0	0	0	70,626	0	30,603	15,731	943	0	21,379	0	0	1,866	70,522	(21,688)
323.55020-OGS Ent Contr	(55,519)	0	154,000	0	0	0	154,000	0	628	153,055	20	0	408	0	0	0	154,111	(55,630)
323.55022-Business Srv Ct	(26,917)	0	0	0	0	30,000	30,000	0	27,500	2,500	0	0	0	0	0	0	30,000	(26,917)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	269	0	115,000	0	0	9,628	124,628	0	4,652	109,792	150	0	3,022	0	0	4,248	121,864	3,033
323.5502Y-OGS Bldg Admin	(4,552)	0	24,129	0	0	19,199	43,328	0	2,320	28,256	75	0	1,507	0	0	0	32,158	6,618
323.5502Z-OGS Std & Purch	(3,475)	0	11,257	0	0	0	11,257	0	3,359	5,539	108	0	2,182	0	0	0	11,188	(3,406)
334.55050-Agencies Int Sv	(36)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36)
334.55052-Archives R	(101)	0	1,729	0	0	0	1,729	0	955	114	28	0	543	0	0	0	1,640	(12)
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	987	0	5,963	0	0	0	5,963	0	2,792	500	90	0	1,814	0	0	1,651	6,847	103
334.55056-EHS Occup Hlth	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.55057-Banking Service	(7)	0	500	0	0	49,310	49,810	0	0	49,810	0	0	0	0	0	0	49,810	(7)
334.55058-Cult Resources	(2,380)	0	7,329	0	0	1,000	9,200	0	1,484	4,082	44	0	926	0	0	284	6,820	(1,871)
334.55059-Neighbor Work P	(11,226)	0	8,200	0	0	0	8,200	0	0	9,200	0	0	0	0	0	0	9,200	(11,226)
334.55060-Auto/Print Chgb	541	0	18,878	0	0	0	18,878	0	8,743	4,345	0	0	5,452	0	0	0	18,540	879
334.55065-OPWDD Copy Cent	831	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	831
334.55066-Intrusion Dete	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(51)	0	843	0	0	0	843	0	737	103	3	0	0	0	0	0	843	(51)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(67,477)	0	35,837	0	0	11,460	47,297	0	0	41,402	0	0	0	0	0	0	41,402	(61,582)
334.55070-Learning Mgmt S	1,575	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,575
334.55071-Labor Cont Ctr	(24)	0	2,021	0	0	0	2,021	0	1,136	164	27	0	654	0	0	0	1,981	16
334.55072-HS Cont Ctr	2,131	0	17,971	0	0	0	17,971	0	9,042	3,158	268	0	5,503	0	0	0	17,971	2,131
334.55074-Civil Recoverie	6,275	0	16,700	0	0	0	16,700	0	7,719	3,901	264	0	4,816	0	0	0	16,700	6,275
334.550M1-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550XZ-Misc Intl Srv	(116)	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	(116)
343.55100-Mental Hygiene	(5)	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	25
347.55150-DFY Voc Educatn	83	0	1,000	0	0	0	1,000	0	912	406	30	0	592	0	0	0	1,940	(346)
394.55200-Joint Labor-Mgt	594	0	2,948	0	0	0	2,948	0	1,655	178	57	0	1,058	0	0	0	2,948	(8,783)
395.55251-Ex Dir Intl Aud	(8,783)	0	28,890	0	0	0	28,890	0	3,600	17,666	389	0	7,235	0	0	0	28,890	(28,767)
395.55252-CIO INFO TECH C	(10,493)	0	14,121	0	0	12,000	26,121	0	9,150	1,700	296	0	5,944	0	0	3,428	20,518	(4,890)
396.55300-Health Insuranc	(3,770)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,202	0	0	639	4,086	(3,356)
396.55301-CS EBD Adm Reim	(22,470)	0	49,000	0	0	20,773	69,773	0	25,855	34,831	535	0	12,011	0	0	357	73,589	(26,286)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2021**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	1,586	0	17,840	0	0	3,000	20,840	0	5,474	12,150	143	0	2,711	0	0	0	20,478	1,948
326.50100-DOCS Commissary	3,756	0	44,262	0	0	0	44,262	0	0	44,180	0	0	0	0	0	0	44,180	3,838
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	182	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	206
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	(347)	0	1,497	0	0	0	1,497	0	638	444	21	0	414	0	0	0	1,517	(367)
331.50319-Attica Emp Mess	2,218	0	1,256	0	0	0	1,256	0	288	803	10	0	167	0	0	0	1,268	2,206
331.50322-Asset Preservat	119	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	110
331.50323-Farm Program	577	0	629	0	0	0	629	0	123	455	2	0	63	0	0	0	643	563
331.50327-Emp Plz Gift Sh	(193)	0	500	0	0	0	500	0	110	334	4	0	71	0	0	0	519	(212)
331.50331-Retail Sales	1,276	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	1,276
331.50332-Golf	2,792	0	17,000	0	0	0	17,000	0	10,000	7,000	0	0	0	0	0	0	17,000	2,792
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	684	691	18	0	66	0	0	0	1,459	(1,459)
331.LOGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,884	0	0	1,000	9,490	(1,398)
331.OGSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	65	0	0	0	173	(68)
351.50400-OMH Shel Wkshs	2,220	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,220
352.50450-MR Shel Wkshop	1,922	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,822
353.50500-MH & MR Communi	4,809	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	5,206
353.50516-MR Community St	139	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	136
481.50650-UJ Benefit Fnd	862,460	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	862,460
481.50651-Interest Assess	5,964	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,964
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2020 RESULTS
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	35,037	74,762	35,701	71,449
Economic Development, Department of	35,787	254,171	15,850	25,570
Empire State Development Corporation	105,111	395,648	0	0
Olympic Regional Development Authority	2,268	0	9,688	26,940
FUNCTIONAL TOTAL	178,203	724,581	61,239	123,959
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,443	4,684
Environmental Conservation, Department of	2,161	17,950	110,289	149,690
Parks, Recreation and Historic Preservation, Office of	885	5,851	106,956	130,721
FUNCTIONAL TOTAL	3,046	23,801	221,688	285,095
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	110,339	113,009	336,545	607,362
FUNCTIONAL TOTAL	110,339	113,009	336,545	607,362
HEALTH				
Aging, Office for the	135,067	246,784	2,046	1,967
Health, Department of	16,519,789	87,850,355	923,309	784,795
Medicaid Inspector General, Office of the	0	0	17,983	19,426
FUNCTIONAL TOTAL	16,654,856	88,097,139	943,338	806,188
SOCIAL WELFARE				
Children and Family Services, Office of	1,189,425	2,817,508	128,910	344,787
Housing and Community Renewal, Division of	29,939	55,308	7,734	18,055
Human Rights, Division of	0	0	11,048	12,135
Labor, Department of	25,383	37,162	276	287
National and Community Service	349	1,548	306	336
Temporary and Disability Assistance, Office of	1,160,431	1,582,755	143,028	215,018
FUNCTIONAL TOTAL	2,405,527	4,494,281	291,302	590,618
MENTAL HYGIENE				
Addiction Services and Supports, Office of	360,159	452,116	82,776	124,647
Mental Health, Office of	1,321,267	1,526,553	1,391,643	2,255,535
Mental Hygiene	0	0	0	600,000
People with Developmental Disabilities, Office for	1,741,609	4,616,348	1,356,977	2,239,870
Justice Center	170	324	41,407	44,946
FUNCTIONAL TOTAL	3,423,205	6,595,341	2,872,803	5,264,998
PUBLIC PROTECTION				
Correction, Commission of	0	0	3,149	2,955
Correctional Services, Department of	6,336	72,459	2,871,098	2,634,802
Criminal Justice Services, Division of	146,706	317,538	33,903	38,309
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	5,404	1,309,500	1,000	1,000
Judicial Conduct, Commission on	0	0	5,748	6,026
Judicial Nomination, Commission on	0	0	0	30
Judicial Screening, Committees	0	0	6	38
Military and Naval Affairs, Division of	885	1,730	20,066	25,354
State Police, Division of	0	0	696,253	679,655
Statewide Financial Services	0	0	31,517	30,506
Victim Services	0	2,665	0	0
FUNCTIONAL TOTAL	159,331	1,703,892	3,662,740	3,418,675
EDUCATION				
Arts, Council on the	44,013	84,906	4,251	4,319
City University of New York	933,466	1,618,455	0	0
Education, Department of	25,828,742	30,106,685	55,707	80,337
Higher Education Services Corporation, New York State	950,474	1,173,443	0	500
State University of New York	478,769	490,239	3,324	1,762,770
FUNCTIONAL TOTAL	28,235,464	33,473,728	63,282	1,847,926
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,927	28,788
Civil Service, Department of	78	3,000	15,104	15,840
Deferred Compensation	0	0	36	111
Elections, State Board of	1,352	11,834	8,639	14,230
Employee Relations, Office of	0	0	5,880	6,736
Gaming Commission, New York State	0	0	4,522	6,431
General Services, Office of	0	0	101,989	125,086
Inspector General, Office of the	0	0	6,381	6,944
Labor Management Committee	0	0	30,566	118,893
Prevention of Domestic Violence, Office for	1,288	3,761	1,696	1,767
Public Employment Relations Board	0	0	3,380	3,672
Public Integrity, Commission on	0	0	5,217	5,582
State, Department of	14,267	40,786	10,366	11,061
Tax Appeals, Division of	0	0	2,871	3,040
Taxation and Finance, Department of	885	926	254,547	271,016
Technology, Office for	0	0	540,195	582,707
Veterans' Services, Division of	8,134	23,924	6,207	7,222
Welfare Inspector General, Office of	0	0	640	1,162
FUNCTIONAL TOTAL	26,004	84,231	1,022,163	1,210,288
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	137,515	137,417
Executive Chamber	0	0	13,239	17,854
Law, Department of	0	0	110,067	109,689
Judiciary	47,313	19,000	2,022,751	2,843,172
Legislature	0	0	227,546	240,433
Lieutenant Governor, Office of the	0	0	518	630
FUNCTIONAL TOTAL	79,338	51,025	2,511,636	3,349,195
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	170,000	0	0	0
Local Government Assistance	714,320	1,072,015	2	2,500
FUNCTIONAL TOTAL	884,320	1,072,015	2	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Appropriations reflect amounts included in the FY 2021 Enacted Budget.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 4: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2021 ENACTED
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	20,821	72,513	36,147	77,417
Economic Development, Department of	45,974	250,230	15,341	27,164
Empire State Development Corporation	61,054	409,897	0	0
Olympic Regional Development Authority	0	0	11,526	29,940
FUNCTIONAL TOTAL	127,849	732,640	63,014	134,521
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	5,018	5,034
Environmental Conservation, Department of	1,478	15,944	130,451	146,322
Parks, Recreation and Historic Preservation, Office of	100	5,324	115,838	130,986
FUNCTIONAL TOTAL	1,578	21,268	251,307	282,342
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	109,851	113,121	333,262	637,727
FUNCTIONAL TOTAL	109,851	113,121	333,262	637,727
HEALTH				
Aging, Office for the	141,306	269,756	2,005	1,967
Health, Department of	16,327,877	92,110,817	472,066	770,772
Medicaid Inspector General, Office of the	0	0	18,679	19,426
FUNCTIONAL TOTAL	16,469,183	92,380,573	492,750	792,165
SOCIAL WELFARE				
Children and Family Services, Office of	1,830,015	2,655,057	360,728	351,947
Housing and Community Renewal, Division of	2,983	23,713	4,905	18,472
Human Rights, Division of	0	0	10,590	12,135
Labor, Department of	2,250	34,755	349	287
National and Community Service	432	1,583	349	336
Temporary and Disability Assistance, Office of	1,415,927	1,623,258	131,225	212,475
FUNCTIONAL TOTAL	3,251,607	4,338,366	508,146	595,652
MENTAL HYGIENE				
Addiction Services and Supports, Office of	362,681	463,687	84,415	126,183
Mental Health, Office of	1,354,645	1,596,722	1,387,474	2,245,035
People with Developmental Disabilities, Office for Justice Center	498,481	6,569,031	1,339,333	2,239,870
	170	330	42,120	45,348
FUNCTIONAL TOTAL	2,215,977	8,629,770	2,853,342	4,656,436
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,773	2,955
Correctional Services, Department of	6,836	75,606	2,701,428	2,732,555
Criminal Justice Services, Division of	127,781	321,118	35,239	38,309
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	4,572	1,455,200	1,000	1,000
Judicial Conduct, Commission on	0	0	6,266	6,026
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	1,945	21,137	25,354
State Police, Division of	0	0	728,698	735,899
Statewide Financial Services	0	0	31,161	31,161
Victim Services	0	1,300	0	0
FUNCTIONAL TOTAL	140,009	1,855,169	3,527,770	3,573,327
EDUCATION				
Arts, Council on the	40,835	83,038	4,416	4,319
City University of New York	2,245,142	1,670,471	0	0
Education, Department of	26,344,332	30,731,287	59,210	80,062
Higher Education Services Corporation, New York State	813,959	1,098,044	500	500
State University of New York	459,460	471,218	784	1,885,446
FUNCTIONAL TOTAL	29,903,728	34,054,058	64,910	1,970,327
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,749	28,788
Civil Service, Department of	300	4,356	14,216	15,840
Deferred Compensation	0	0	58	111
Elections, State Board of	0	11,831	10,583	13,618
Employee Relations, Office of	0	0	6,542	6,736
Gaming Commission, New York State	0	0	6,527	6,431
General Services, Office of	0	0	81,683	103,722
Inspector General, Office of the	0	0	7,826	6,944
Labor Management Committee	0	0	32,325	134,002
Prevention of Domestic Violence, Office for	1,385	3,937	1,867	1,903
Public Employment Relations Board	0	0	3,719	3,672
Public Integrity, Commission on	0	0	5,835	5,582
State, Department of	4,317	45,541	9,452	11,059
Tax Appeals, Division of	0	0	3,150	3,040
Taxation and Finance, Department of	926	926	279,016	271,016
Technology, Office for	0	0	546,127	579,524
Veterans' Services, Division of	7,840	25,110	6,482	7,222
Welfare Inspector General, Office of	0	0	731	1,162
FUNCTIONAL TOTAL	14,768	91,701	1,039,888	1,200,372
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	143,685	141,263
Executive Chamber	0	0	14,032	17,854
Law, Department of	0	0	115,129	111,883
Judiciary	51,500	66,700	2,117,400	2,891,439
Legislature	0	0	248,106	450,102
Lieutenant Governor, Office of the	0	0	634	630
FUNCTIONAL TOTAL	83,524	98,725	2,638,986	3,613,171
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	170,000	0	0	0
Local Government Assistance	739,019	1,063,843	0	2,500
FUNCTIONAL TOTAL	909,019	1,063,843	0	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Appropriations reflect amounts included in the FY 2021 Enacted Budget.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 4: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2021
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal Income Tax	26,113	(7,838)	18,275
Consumption/Use Taxes	8,213	(1,642)	6,571
Business Taxes	6,996	(521)	6,475
Other Taxes	1,193	(78)	1,115
Miscellaneous Receipts	2,772	4,609	7,381
Federal Receipts	0	0	0
Total Receipts	<u>45,287</u>	<u>(5,470)</u>	<u>39,817</u>
Expenditures:			
Local Assistance	53,686	(9,498)	44,188
State Operations	13,421	(793)	12,628
General State Charges	6,281	113	6,394
Debt Service	0	0	0
Capital Projects	0	0	0
Total Disbursements	<u>73,388</u>	<u>(10,178)</u>	<u>63,210</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	36,075	(8,551)	27,524
Transfers To Other Funds	(9,400)	(1,194)	(10,594)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0
Net Other Financing Sources (Uses)	<u>26,675</u>	<u>(9,745)</u>	<u>16,930</u>
Operating Surplus/(Deficit)	<u>(1,426)</u>	<u>(5,037)</u>	<u>(6,463)</u>
Accumulated Surplus/(Deficit)¹	<u>601</u>		<u>(4,436)</u>

¹ FY 2020 results are expected to be made available in July 2020. FY 2021 projections are predicated upon assumptions made while projecting FY 2020 results, but there can be no assurance that actual results will not differ materially from these projections. Such variances could substantially impact FY 2021 GAAP projections.

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2021
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	32,436	5,585	1,260	31,588	70,869
Public Health/Patient Fees	0	5,502	0	472	5,974
Miscellaneous Receipts	7,381	1,722	2,480	(91)	11,492
Federal Receipts	0	75,744	2,184	74	78,002
Total Receipts	<u>39,817</u>	<u>88,553</u>	<u>5,924</u>	<u>32,043</u>	<u>166,337</u>
Expenditures:					
Local Assistance	44,188	81,314	4,987	0	130,489
State Operations	12,628	2,297	0	44	14,969
General State Charges	6,394	477	0	0	6,871
Debt Service	0	133	0	9,125	9,258
Capital Projects	0	0	9,079	0	9,079
Total Disbursements	<u>63,210</u>	<u>84,221</u>	<u>14,066</u>	<u>9,169</u>	<u>170,666</u>
Other Financing Sources (Uses):					
Transfers From Other Funds	27,524	3,111	3,829	4,686	39,150
Transfers To Other Funds	(10,594)	(2,804)	(1,514)	(27,626)	(42,538)
Proceeds Of General Obligation Bonds	0	0	850	0	850
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,213	0	5,213
Net Other Financing Sources (Uses)	<u>16,930</u>	<u>307</u>	<u>8,378</u>	<u>(22,940)</u>	<u>2,675</u>
Operating Surplus/(Deficit)	<u>(6,463)</u>	<u>4,639</u>	<u>236</u>	<u>(66)</u>	<u>(1,654)</u>

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2021
(millions of dollars)**

	Major Funds						Eliminations	Total
	Federal		General		Other			
	General Fund	Special Revenue	Debt Service	General	Governmental	Funds		
Revenues:								
Taxes:								
Personal Income Tax	18,275	0	24,523		2,074	0	44,872	
Consumption/Use Taxes	6,571	0	3,146		5,298	0	15,015	
Business Taxes	6,475	0	0		2,441	0	8,916	
Other Taxes	1,115	0	0		951	0	2,066	
Public Health/Patient Fees	0	0	0		5,974	0	5,974	
Miscellaneous Receipts	7,381	231	0		3,880	0	11,492	
Federal Receipts	0	75,768	74		2,160	0	78,002	
Total Receipts	39,817	75,999	27,743		22,778	0	166,337	
Expenditures:								
Local Assistance	44,188	66,469	0		19,832	0	130,489	
State Operations	12,628	1,865	38		438	0	14,969	
General State Charges	6,394	350	0		127	0	6,871	
Debt Service	0	266	13,504		(4,512)	0	9,258	
Capital Projects	0	0	0		9,079	0	9,079	
Total Disbursements	63,210	68,950	13,542		24,964	0	170,666	
Other Financing Sources (Uses):								
Transfers From Other Funds	27,524	0	5,152		6,474	(32,793)	6,357	
Transfers To Other Funds	(10,594)	(2,054)	(19,353)		(10,537)	32,793	(9,745)	
Proceeds Of General Obligation Bonds	0	0	0		850	0	850	
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0		5,213	0	5,213	
Net Other Financing Sources (Uses)	16,930	(2,054)	(14,201)		2,000	0	2,675	
Operating Surplus/(Deficit)	(6,463)	4,995	0		(186)	0	(1,654)	

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2021
(millions of dollars)

	Perspective		Entity		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Cash Financial Plan	Difference	Special Revenue Funds	Other Funds						
Revenues:										
Taxes:										
Personal Income Tax	22,450				22,450	(4,175)				18,275
Consumption/Use Taxes	6,934				6,934	(363)				6,571
Business Taxes	6,506				6,506	(31)				6,475
Other Taxes	1,115		0	0	1,115	0				1,115
Miscellaneous Receipts	6,373		1,061	744	8,178	(121)	(70)	(666)	60	7,381
Federal Receipts	0		0		0					0
Total Revenues	43,378		1,061	744	45,183	(4,690)	(70)	(666)	60	39,817
Expenditures:										
Local Assistance	46,400		167	0	46,567	(959)	0	0	(1,420)	44,188
State Operations	11,655		730	785	13,170	33	(70)	(666)	161	12,628
General State Charges	7,249		417	84	7,750	611	0	0	(1,967)	6,394
Debt Service	0		0	0	0	0	0	0	0	0
Capital Projects	0		0	0	0	0	0	0	0	0
Total Expenditures	65,304		1,314	869	67,487	(315)	(70)	(666)	(3,226)	63,210
Other Financing Sources (Uses):										
Transfers From Other Funds	27,564		548	156	28,268	0	(374)	0	(370)	27,524
Transfers To Other Funds	(7,865)		(174)	(13)	(8,052)	0	374	0	(2,916)	(10,594)
Proceeds From Financing Arrangements/ Advance Refundings	0		0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	19,699		374	143	20,216	0	0	0	(3,286)	16,930
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(2,227)		121	18	(2,088)	(4,375)	0	0	0	(6,463)
(Increase)/Decrease In Reserves			0	0	0	0	0	0	0	0
Operating Surplus/(Deficit)	(2,227)		121	18	(2,088)	(4,375)	0	0	0	(6,463)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)

	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	STATE UNIVERSITY INCOME (22650-22699)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	STATE LOTTERY (20900-20949)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Revenues:										
Taxes	5,630	0	0	0	0	0	0	0	(45)	5,585
Public Health	0	0	0	0	0	5,502	0	0	0	5,502
Miscellaneous Receipts	15,866	(5)	(4,960)	(939)	0	(5,502)	0	0	55	1,722
Federal Receipts	70,577	0	0	0	4,763	0	(349)	0	753	75,744
Total Receipts	92,073	(5)	(4,960)	(939)	4,763	0	(349)	0	763	88,553
Expenditures:										
Local Assistance	76,045	0	0	(178)	4,763	0	0	0	711	81,314
State Operations	9,523	(3)	(6,220)	(732)	0	0	(349)	0	119	2,297
General State Charges	1,397	0	(492)	(417)	0	0	0	0	1	477
Debt Service	133	0	0	0	0	0	0	0	0	133
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	87,098	(3)	(6,712)	(1,327)	4,763	0	(349)	0	831	84,221
Other Financing Sources (Uses):										
Transfers From Other Funds	2,535	0	(1,967)	(548)	0	0	0	354	0	3,111
Transfers To Other Funds	(2,852)	0	228	174	0	0	0	(354)	0	(2,804)
Net Other Financing Sources (Uses)	(317)	0	(1,739)	(374)	0	0	0	0	0	307
Operating Surplus/(Deficit)	4,658	(2)	13	14	0	0	0	0	(68)	4,639

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND
FY 2021
(millions of dollars)

	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	STATE CAPITAL PROJECTS (30000-30049)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Revenues:									
Taxes	1,261	0	0	0	0	0	0	(1)	1,260
Miscellaneous Receipts	8,049	0	(66)	(642)	0	0	(4,854)	1	2,480
Federal Receipts	2,182	0	0	0	0	0	0	2	2,184
Total Receipts	11,492	0	(66)	(642)	0	0	(4,854)	2	5,924
Expenditures:									
Local Assistance	4,982	0	0	0	0	0	0	5	4,987
Capital Projects	9,752	(67)	(66)	(897)	0	359	0	6	9,079
Total Disbursements	14,734	(67)	(66)	(897)	0	359	0	11	14,066
Other Financing Sources (Uses):									
Transfers From Other Funds	3,904	(75)	0	0	0	0	0	0	3,829
Transfers To Other Funds	(1,514)	0	0	0	0	0	0	0	(1,514)
Proceeds Of GO Bonds	850	0	0	0	0	0	0	0	850
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	359	4,854	0	5,213
Net Other Financing Sources (Uses)	3,240	(75)	0	0	0	359	4,854	0	8,378
Operating Surplus/(Deficit)	(2)	(8)	0	255	0	0	0	(9)	236

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
FY 2021
(millions of dollars)

	LGAC	Patient Fees	Reclass	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
Revenues:						
Taxes	31,647	0	0	0	(59)	31,588
Patient Fees	0	0	472	0	0	472
Miscellaneous Receipts	381	0	(472)	0	0	(91)
Federal Receipts	74	0	0	0	0	74
Total Receipts	32,102	0	0	0	(59)	32,043
Expenditures:						
State Operations	44	0	0	0	0	44
Debt Service	10,338	0	0	(1,213)	0	9,125
Total Disbursements	10,382	0	0	(1,213)	0	9,169
Other Financing Sources (Uses):						
Transfers From Other Funds	4,686	0	0	0	0	4,686
Transfers To Other Funds	(26,413)	0	0	(1,213)	0	(27,626)
Net Other Financing Sources (Uses)	(21,727)	0	0	(1,213)	0	(22,940)
Operating Surplus/(Deficit)	(7)	0	0	0	(59)	(66)

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2021 THROUGH FY 2024
(millions of dollars)**

	<u>FY 2021 Proposed</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Revenues:				
Taxes:				
Personal Income Tax	18,275	21,943	23,740	24,987
Consumption/Use Taxes	6,571	7,191	7,398	7,634
Business Taxes	6,475	6,347	6,648	6,890
Other Taxes	1,115	1,047	1,097	1,148
Miscellaneous Receipts	7,381	2,794	2,002	1,371
Federal Receipts	0	0	0	0
Total Receipts	<u>39,817</u>	<u>39,324</u>	<u>40,885</u>	<u>42,030</u>
Expenditures:				
Local Assistance	44,188	47,906	50,887	53,518
State Operations	12,628	12,555	12,629	12,704
General State Charges	6,394	6,692	7,149	7,496
Debt Service	0	0	0	0
Capital Projects	0	0	0	0
Total Disbursements	<u>63,210</u>	<u>67,152</u>	<u>70,665</u>	<u>73,718</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	27,524	29,546	30,553	32,234
Transfers To Other Funds	(10,594)	(10,317)	(10,697)	(10,095)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0
Net Other Financing Sources (Uses)	<u>16,930</u>	<u>19,229</u>	<u>19,857</u>	<u>22,139</u>
Operating Surplus/(Deficit)	<u>(6,463)</u>	<u>(8,599)</u>	<u>(9,923)</u>	<u>(9,549)</u>

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	FY 2020 Results	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected
GENERAL OBLIGATION BONDS	<u>2,131,500</u>	<u>2,814,681</u>	<u>3,111,325</u>	<u>3,425,447</u>	<u>3,630,915</u>	<u>3,767,439</u>
REVENUE BONDS						
Personal Income Tax	37,117,755	43,256,777	45,822,407	47,526,094	49,076,776	49,924,512
Sales Tax	11,542,330	10,716,410	11,730,152	12,524,553	13,361,109	14,020,123
Dedicated Highway	1,285,390	899,150	838,250	773,445	701,475	622,350
Mental Health Services	225,850	181,385	137,805	103,175	75,225	46,480
SUNY Dorms	47,525	28,430	12,545	-	-	-
Health Income	128,610	108,620	88,320	68,455	48,350	30,565
LGAC	<u>253,110</u>	<u>90,135</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Revenue Bonds	<u>50,600,570</u>	<u>55,280,907</u>	<u>58,629,479</u>	<u>60,995,722</u>	<u>63,262,935</u>	<u>64,644,030</u>
SERVICE CONTRACT	<u>1,475,178</u>	<u>1,182,098</u>	<u>1,032,341</u>	<u>891,185</u>	<u>725,065</u>	<u>565,364</u>
TOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>59,277,686</u>	<u>62,773,145</u>	<u>65,312,354</u>	<u>67,618,915</u>	<u>68,976,833</u>
BY PROGRAM AREA						
Economic Development & Housing	6,837,448	8,656,081	9,997,489	11,060,356	12,083,941	12,846,199
Education	17,707,615	18,604,254	18,982,768	19,127,995	19,171,587	18,991,398
Environment	2,927,873	3,593,284	3,998,010	4,397,791	4,772,075	5,083,168
Health & Mental Hygiene	4,278,535	4,903,253	5,334,024	5,737,753	6,043,829	6,322,601
State Facilities & Equipment	5,447,577	5,485,449	5,505,816	5,451,242	5,473,014	5,457,698
Transportation	16,373,610	17,714,620	18,836,113	19,496,736	20,070,819	20,275,769
LGAC ²	<u>634,590</u>	<u>320,745</u>	<u>118,925</u>	<u>40,480</u>	<u>3,650</u>	<u>-</u>
TOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>59,277,686</u>	<u>62,773,145</u>	<u>65,312,353</u>	<u>67,618,915</u>	<u>68,976,833</u>

¹ Does not include liquidity financings expected to be repaid with FY 2021.

² Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT OUTSTANDING
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>59,277,686</u>	<u>62,773,145</u>	<u>65,312,354</u>	<u>67,618,915</u>	<u>68,976,833</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	135,480	104,395	77,635	59,300	40,055	28,715
Moral Obligation						
Housing Finance Agency	0	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	104,165	67,985	30,000	0	0	0
SUBTOTAL OTHER STATE	<u>239,645</u>	<u>172,380</u>	<u>107,635</u>	<u>59,300</u>	<u>40,055</u>	<u>28,715</u>
GRAND TOTAL STATE-RELATED	<u>54,446,893</u>	<u>59,450,066</u>	<u>62,880,780</u>	<u>65,371,654</u>	<u>67,658,970</u>	<u>69,005,548</u>

¹ Does not include liquidity financings expected to be repaid with FY 2021.

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	483,120	241,125	230,471	212,647	194,772	214,695
REVENUE BONDS						
Personal Income Tax	2,368,000	4,096,064	5,034,834	5,410,859	5,554,474	5,575,490
Sales Tax	956,345	1,158,370	1,236,097	1,278,010	1,278,722	1,359,062
Dedicated Highway	448,181	106,687	107,572	111,637	115,215	114,597
Mental Health Services	7,096	9,775	8,188	-	-	-
SUNY Dorms	-	-	-	-	-	2
Health Income	26,158	25,646	24,799	23,733	21,709	18,902
LGAC	300,785	82,406	-	-	-	-
Subtotal Revenue Bonds	<u>4,106,565</u>	<u>5,478,948</u>	<u>6,411,490</u>	<u>6,824,239</u>	<u>6,970,120</u>	<u>7,068,053</u>
SERVICE CONTRACT	<u>326,407</u>	<u>117,569</u>	<u>296,906</u>	<u>320,441</u>	<u>384,768</u>	<u>402,410</u>
LIQUIDITY FINANCING ¹						
Personal Income Tax Notes ²	-	3,000,000	-	-	-	-
Service Contract Line of Credit	-	1,500,000	-	-	-	-
Subtotal Liquidity Financing	<u>-</u>	<u>4,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>4,916,092</u>	<u>10,337,643</u>	<u>6,938,867</u>	<u>7,357,327</u>	<u>7,549,660</u>	<u>7,685,158</u>
BY PROGRAM AREA						
Economic Development & Housing	471,020	774,138	1,284,658	1,510,347	1,629,560	1,738,746
Education	1,177,322	1,443,076	1,927,681	2,078,696	2,196,249	2,283,260
Environment	469,489	386,438	434,743	323,037	309,626	272,492
Health & Mental Hygiene	368,391	447,381	548,682	511,746	605,551	554,084
State Facilities & Equipment	440,035	687,933	708,119	754,990	678,480	672,141
Transportation	1,689,050	1,849,120	1,920,589	2,098,636	2,092,852	2,160,729
LGAC ³	300,785	249,556	114,395	79,874	37,342	3,706
Liquidity Financing ¹	-	4,500,000	-	-	-	-
TOTAL STATE-SUPPORTED	<u>4,916,092</u>	<u>10,337,643</u>	<u>6,938,867</u>	<u>7,357,327</u>	<u>7,549,660</u>	<u>7,685,158</u>

¹ Interest on liquidity financings is expected to be reimbursed by Federal aid from the Coronavirus Relief Fund established by the CARES Act.

² Personal Income Tax Notes will be issued on a subordinated basis.

³ Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT SERVICE
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>4,916,092</u>	<u>10,337,643</u>	<u>6,938,867</u>	<u>7,357,327</u>	<u>7,549,660</u>	<u>7,685,158</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program ²	4,587	4,603	4,599	0	0	0
Moral Obligation						
Housing Finance Agency	161	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	41,204	41,263	41,265	31,470	0	0
SUBTOTAL OTHER STATE	<u>45,952</u>	<u>45,866</u>	<u>45,864</u>	<u>31,470</u>	<u>0</u>	<u>0</u>
GRAND TOTAL STATE-RELATED	<u>4,962,044</u>	<u>10,383,509</u>	<u>6,984,731</u>	<u>7,388,797</u>	<u>7,549,660</u>	<u>7,685,158</u>

¹ Includes liquidity financings expected to be repaid within FY 2021, consisting of \$3 billion short-term note issuances and a \$1.5 billion draw on a line of credit facility.

² Debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	-	848,476	487,620	512,628	412,637	389,998
REVENUE BONDS						
Personal Income Tax	3,403,060	8,432,521	5,156,232	4,496,172	4,477,476	3,983,456
Sales Tax	<u>1,423,725</u>	<u>-</u>	<u>1,718,744</u>	<u>1,498,724</u>	<u>1,492,492</u>	<u>1,327,819</u>
Subtotal Revenue Bonds	<u>4,826,785</u>	<u>8,432,521</u>	<u>6,874,976</u>	<u>5,994,896</u>	<u>5,969,968</u>	<u>5,311,275</u>
LIQUIDITY FINANCING						
Personal Income Tax Notes ¹	-	3,000,000	-	-	-	-
Service Contract Line of Credit	<u>-</u>	<u>1,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Liquidity Financing	<u>-</u>	<u>4,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>4,826,785</u>	<u>13,780,997</u>	<u>7,362,596</u>	<u>6,507,524</u>	<u>6,382,605</u>	<u>5,701,273</u>
BY PROGRAM AREA						
Economic Development & Housing	749,335	2,479,147	1,966,702	1,738,295	1,704,926	1,522,928
Education	861,055	1,594,919	1,265,246	1,118,304	1,096,837	979,751
Environment	410,525	935,105	741,817	655,664	643,078	574,431
Health & Mental Hygiene	477,020	914,148	725,192	640,970	628,666	561,557
State Facilities & Equipment	305,315	516,691	409,890	362,287	355,332	317,401
Transportation	2,023,535	2,840,986	2,253,749	1,992,004	1,953,766	1,745,205
Liquidity Financing	<u>-</u>	<u>4,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
SUBTOTAL STATE-SUPPORTED	<u>4,826,785</u>	<u>13,780,996</u>	<u>7,362,596</u>	<u>6,507,524</u>	<u>6,382,605</u>	<u>5,701,273</u>

¹ Personal Income Tax Notes will be issued on a subordinated basis.

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	<u>179,915</u>	<u>165,295</u>	<u>190,976</u>	<u>198,506</u>	<u>207,169</u>	<u>253,474</u>
REVENUE BONDS						
Personal Income Tax	2,058,335	2,293,499	2,590,603	2,792,485	2,926,794	3,135,720
Sales Tax	291,395	825,920	705,002	704,323	655,936	668,805
Dedicated Highway	103,855	386,240	60,900	64,805	71,970	79,125
Mental Health Services	43,300	44,465	43,580	34,630	27,950	28,745
SUNY Dorms	21,935	19,095	15,885	7,050	-	-
Health Income	19,070	19,990	20,300	19,865	20,105	17,785
LGAC	<u>318,370</u>	<u>162,975</u>	<u>90,135</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Revenue Bonds	<u>2,856,260</u>	<u>3,752,184</u>	<u>3,526,405</u>	<u>3,623,158</u>	<u>3,702,755</u>	<u>3,930,180</u>
SERVICE CONTRACT	<u>371,728</u>	<u>293,080</u>	<u>149,756</u>	<u>141,156</u>	<u>166,120</u>	<u>159,701</u>
LIQUIDITY FINANCING						
Personal Income Tax Notes ¹	-	3,000,000	-	-	-	-
Service Contract Line of Credit	<u>-</u>	<u>1,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Subtotal Liquidity Financing	<u>-</u>	<u>4,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>3,407,903</u>	<u>8,710,559</u>	<u>3,867,137</u>	<u>3,962,820</u>	<u>4,076,044</u>	<u>4,343,355</u>
BY PROGRAM AREA						
Economic Development & Housing	758,990	660,514	625,294	675,427	681,342	760,670
Education	648,215	698,279	886,732	967,581	1,053,244	1,159,940
Environment	178,303	269,694	337,091	255,883	268,795	263,338
Health & Mental Hygiene	304,955	289,431	294,421	237,241	322,590	282,785
State Facilities & Equipment	288,451	478,820	389,523	416,861	333,561	332,717
Transportation	910,619	1,499,975	1,132,256	1,331,382	1,379,682	1,540,254
LGAC ²	<u>318,370</u>	<u>313,845</u>	<u>201,820</u>	<u>78,445</u>	<u>36,830</u>	<u>3,650</u>
Liquidity Financings	<u>-</u>	<u>4,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>3,407,903</u>	<u>8,710,559</u>	<u>3,867,137</u>	<u>3,962,820</u>	<u>4,076,044</u>	<u>4,343,354</u>

¹ Personal Income Tax Notes will be issued on a subordinated basis.

² Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT RETIREMENTS
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>3,407,903</u>	<u>8,710,559</u>	<u>3,867,137</u>	<u>3,962,820</u>	<u>4,076,044</u>	<u>4,343,355</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	29,540	31,085	26,760	18,335	19,245	11,340
Moral Obligation						
Housing Finance Agency	155	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	34,440	36,180	37,985	30,000	0	0
SUBTOTAL OTHER STATE	<u>64,135</u>	<u>67,265</u>	<u>64,745</u>	<u>48,335</u>	<u>19,245</u>	<u>11,340</u>
GRAND TOTAL STATE-RELATED	<u>3,472,038</u>	<u>8,777,824</u>	<u>3,931,882</u>	<u>4,011,155</u>	<u>4,095,289</u>	<u>4,354,695</u>

¹ Includes liquidity financings expected to be repaid within FY 2021, consisting of \$3 billion short-term note issuances and a \$1.5 billion draw on a line of credit facility.

PROJECTED PIT REVENUE BOND COVERAGE RATIOS ¹
FY 2020 THROUGH 2025
 (thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
Projected RBTF Receipts	26,830,698	24,524,345	23,990,810	25,369,675	26,934,105	27,582,905
Projected New PIT Bonds Issuances	3,403,060	8,432,521	5,156,232	4,496,172	4,477,476	3,983,456
Projected Total PIT Bonds Outstanding	37,117,755	43,256,777	45,822,407	47,526,094	49,076,776	49,924,512
Projected Maximum Annual Debt Service	3,950,808	4,690,307	5,070,320	5,377,420	5,619,839	5,914,469
Projected PIT Coverage Ratio	6.8	5.2	4.7	4.7	4.8	4.7

¹ Does not reflect the expected issuance of \$3 billion short-term PIT Notes, which will be issued on a subordinated basis.

PROJECTED SALES TAX REVENUE BOND COVERAGE RATIOS
FY 2020 THROUGH 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Enacted</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
Projected Sales Tax Receipts ¹	3,718,258	3,146,000	6,827,000	7,059,000	7,301,500	7,532,500
Projected New Sales Tax Bonds Issuances	1,423,725	-	1,718,744	1,498,724	1,492,492	1,327,819
Projected Total Sales Tax Bonds Outstanding	11,542,330	10,716,410	11,730,152	12,524,553	13,361,109	14,020,123
Projected Maximum Annual Debt Service	1,356,149	1,356,149	1,324,977	1,369,315	1,367,958	1,442,163
Projected Sales Tax Coverage Ratio	2.7	2.3	5.2	5.2	5.3	5.2

¹ Reflects increased deposits to the Sales Tax Revenue Bond Tax Fund from an amount equal to a one percent rate of taxation to two percent rate of taxation due to the full retirement of LGAC Bonds expected on April 1, 2021.

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		Commercial Gaming Revenue Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
24850-24899		Health Care Transformation Fund	Special Revenue
24900-24949		Charitable Gifts Trust Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
24950-24999		Interactive Fantasy Sports Fund	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart Schools Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

