



FY 2018

First Quarterly Update

Andrew M. Cuomo, Governor

Robert F. Mujica Jr., Budget Director

August 2017



Table of Contents

Introduction	1
Significant Budgetary/Accounting Practices	2
Financial Plan Overview	7
Financial Plan At-A-Glance: Key Measures	7
Summary.....	8
Multi-Year Financial Plan Revisions.....	10
All Funds Spending	16
General Fund Financial Plan	21
FY 2018 Financial Plan.....	21
Extraordinary Monetary Settlements	26
Other Matters Affecting the Financial Plan	33
State Financial Plan Projections Fiscal Years 2018 Through 2021	53
FY 2018 First Quarter Operating Results	109
Glossary of Acronyms	117
Financial Plan Tables and Accompanying Notes	123

Introduction



The First Quarterly Update to the FY 2018 Financial Plan is amended as of September 14, 2017 through the revision of selected values presented in several tables in order to make corrections to the update released on August 9, 2017. The following information in this amended First Quarterly Update is revised in accordance with the footnotes herein.

- State Funds total due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds. Financial Plan Tables: Capital Funds (T-36 to T-39), State Funds (T-45 to T-49), Cash Flow (T-69 to T-71), and Disbursements (T-153, T-154, T-165, and T-166).
- Federal Share of DOH Medicaid for FYs 2018 through 2021 and Public Health HCRA program spending estimate for FYs 2017 through 2019.
- General Fund transfers to the Environmental Protection Fund for FYs 2017 through 2021 and the Dedicated Mass Transportation Trust Fund for FYs 2018 through 2021.
- State-Related Debt Outstanding Percentage for FY 2017.

Introduction

This is the First Quarterly Update to the Enacted Budget Financial Plan (“Updated Financial Plan” or “First Quarterly Update”) for Fiscal Year (FY) 2018. Except for specific revisions described herein, the projections in the Updated Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the FY 2018 Enacted Budget Financial Plan (the “Enacted Budget”). The State’s FY 2018 began on April 1, 2017 and ends on March 31, 2018. The Division of the Budget (DOB) expects to next update its Financial Plan projections in October 2017.

The factors affecting the State’s financial condition are complex. This Updated Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions, which existed at the time they were prepared, and contains statements relating to future results and economic performance that are “forward-looking statements” as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Updated Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes” and analogous expressions are intended to identify forward-looking statements in this Updated Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Updated Financial Plan.

Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information in this Updated Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the mental hygiene program and patient income accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Updated Financial Plan is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," and are not held in distinct accounts within the General Fund and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded special revenue funds and debt service funds (spending from capital project funds and Federal funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, State Operating Funds is, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement

projections often emphasizes the State Operating Funds perspective. The State's adherence to a 2 percent annual spending growth limitation is calculated on the State Operating Funds basis.

As described later in the Updated Financial Plan reflects some actions that are expected to reduce the amount of annual spending that is accounted for in the State Operating Funds basis of reporting, including (i) realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget, (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting, and (iii) the restructuring of the STAR program such that the spending for certain benefits is instead provided in the form of a tax credit for consistency with how other State tax credits are reported. If these and other transactions are not implemented as planned, it would add upward pressure to annual spending growth in State Operating Funds.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP), although this requirement is for informational purposes. GAAP is a financial reporting regime, not a budgeting system. Thus, the GAAP-basis Financial Plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements.

The Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on a number of assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into account the current and projected fiscal position of the State.

The Financial Plan projections for FY 2019 and thereafter, set forth in this Updated Financial Plan, reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted in this Updated Financial Plan, to no greater than 2 percent. Total disbursements in the Financial Plan tables and narrative, contained in this Updated Financial Plan, do not reflect these assumed savings, which are instead reflected on a distinct line and labeled as “Adherence to 2 Percent Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors not known at this time. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

Financial Plan Overview

Financial Plan At-A-Glance: Key Measures

The following table provides certain financial information for FY 2017 and FY 2018.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)			
	FY 2017	FY 2018	
	Results	Enacted	First Quarter
State Operating Funds Disbursements			
Size of Budget	\$96,199	\$98,134	\$98,168
Annual Growth	2.0%	2.0%	2.0%
Other Disbursement Measures			
General Fund (Excluding Transfers)	\$57,988	\$61,035	\$61,087
Annual Growth	2.3%	5.3%	5.3%
General Fund (Including Transfers) ¹	\$68,080	\$71,199	\$71,233
Annual Growth	0.1%	4.6%	4.6%
State Funds (Including Capital)	\$104,029	\$109,396	\$109,341
Annual Growth	2.8%	5.2%	5.1%
Capital Budget (Federal and State)	\$10,156	\$13,111	\$13,046
Annual Growth	13.1%	29.1%	28.5%
Federal Operating Aid ²	\$41,397	\$41,625	\$42,280
Annual Growth	2.0%	0.6%	2.1%
All Funds ^{2,3}	\$147,752	\$152,870	\$153,494
Annual Growth	2.7%	3.5%	3.9%
Capital Budget (Including "Off-Budget" Capital ⁴)	\$10,737	\$13,794	\$13,729
Annual Growth	12.4%	28.5%	27.9%
All Funds (Including "Off-Budget" Capital ^{2,4})	\$148,333	\$153,553	\$154,177
Annual Growth	2.7%	3.5%	3.9%
Inflation (CPI)	1.6%	2.2%	1.5%
All Funds Receipts			
Taxes	\$74,372	\$77,926	\$77,923
Annual Growth	-0.4%	4.8%	4.8%
Miscellaneous Receipts	\$26,594	\$26,509	\$26,755
Annual Growth	-2.5%	-0.3%	0.6%
Federal Receipts ²	\$46,144	\$45,884	\$46,646
Annual Growth	3.7%	-0.6%	1.1%
Total Receipts ^{2,3}	\$147,110	\$150,319	\$151,324
Annual Growth	0.5%	2.2%	2.9%
General Fund Cash Balance	\$7,749	\$6,384	\$6,694
Tax Stabilization/Rainy Day Reserve	\$1,798	\$1,798	\$1,798
Extraordinary Monetary Settlements	\$5,335	\$3,870	\$4,181
All Other Reserves/Fund Balances	\$616	\$716	\$715
Debt			
Debt Service as % All Funds Receipts	4.1%	3.8%	3.7%
State-Related Debt Outstanding	\$50,709	\$52,337	\$52,296
Debt Outstanding as % Personal Income	4.2%	4.2%	4.2%
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	117,907	118,481	118,481

¹ Annual growth includes planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds.

² All Funds and Federal Operating Funds receipts and disbursements exclude: (a) Federal disaster aid for Superstorm Sandy, and (b) additional Federal aid associated with Federal health care reform. Federal grants reimburse certain transactions accounted for as transfers, and are not shown in disbursement totals in this table.

³ All Funds disbursements are expected to exceed receipts by \$2.2 billion in FY 2018. The financing sources for the difference primarily include monetary settlements and nearly \$1.0 billion in GO bond proceeds to reimburse planned first-instance capital spending.

⁴ Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

FY 2018 State Funds Spending and FY 2017 State-Related Debt Outstanding Percentage have been revised by this amended First Quarterly Update.

Summary

Financial Plan Update

- The Financial Plan for FY 2018 is expected to remain in balance on a cash basis in the General Fund, with new costs for litigation and other expenses offset by savings elsewhere in the Financial Plan. Spending growth in State Operating Funds is estimated at 2 percent, consistent with the Enacted Budget.
- At this point in the fiscal year, DOB is making no changes to the tax receipt projections set forth in the Enacted Budget,¹ aside from certain adjustments due to law changes enacted in the legislative session that ended in June 2017. Specifically, the Governor and Legislature approved the extension of certain sales tax exemptions for lower Manhattan, provided operational cost flexibility for Vernon Downs, and the creation of a state income-tax deduction for eligible homeowners affected by Lake Ontario flooding. Other bills with a fiscal impact were passed by the Legislature and are expected to be delivered to the Governor for his review in coming months. Any bills with a fiscal impact that are ultimately approved by the Governor will be reflected in future updates, as appropriate.
- Since enactment of the FY 2018 Budget, the State reached a labor agreement with CSEA for the five-year period from FY 2017 through FY 2021. The agreement was ratified by the CSEA membership in August and provides for general salary increases of 2 percent in each year of the contract period. The Enacted Budget Financial Plan previously assumed general salary increases of 2 percent for all unsettled unions through FY 2019 consistent with the three-year Public Employees Federation (PEF) labor agreement. As a result, spending is expected to increase by approximately \$65 million in FY 2020 and \$130 million in FY 2021, covering the last two years of the five-year contract. The retroactive costs are expected to be covered with the General Fund balance set aside for this purpose, and the ongoing costs funded within agency operating budgets, consistent with the treatment of other negotiated salary increases covering the FY 2012 through FY 2016 period.
- Pursuant to a May 24, 2017 consent order between the State Department of Financial Services (DFS) and BNP Paribas S.A. and BNP Paribas S.A. New York Branch (together "BNPP"), BNPP paid a \$350 million civil monetary penalty payment. The order pertains to BNPP engaging in improper, unsafe and unsound conduct, in violation of New York State laws and regulations that included collusive conduct, improper exchange of information, manipulation of the price daily benchmark rates were set, and misleading customers.
- As part of the reconstruction, realignment and improvement of the Brooklyn Queens Expressway, the State appropriated several parcels of land in January 2000 from New York Central Lines, LLC (now known as CSX Transportation Inc.). After extensive litigation and appeals regarding the proper valuation of said property, a second amended judgment against the State in the amount of approximately \$62 million was entered on

¹ DOB will continue to monitor tax receipts closely and further downward revisions are possible in future updates.

November 2, 2016. On March 30, 2017, the New York State Court of Appeals rejected the State's appeal of this matter. The State's share of the second amended judgment amount is approximately \$39 million and the Federal Government's share of that judgment is approximately \$23 million. Accordingly, the State now owes to CSX Transportation Inc. the November 2, 2016 second amended judgment amount of approximately \$62 million (inclusive of the Federal Government's share) plus appropriate additional interest. Any additional interest will be reflected in future updates, as appropriate. The Financial Plan assumes that \$39 million of the BNPP settlement payment will be used to pay the judgment, and the remainder set aside in the General Fund.

- The Financial Plan projections for FY 2019 and thereafter are based on an assumption that the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described herein, and based on the current composition of the State Operating Funds perspective as reported by DOB. The General Fund operating projections for FY 2019, FY 2020, and FY 2021 are calculated based on this assumption. DOB expects that specific proposals to limit spending growth to 2 percent will be included in future budget proposals.

First Quarter Operating Results

- The State ended June 2017 with a General Fund cash balance of \$3.0 billion, \$548 million above the estimate in the Enacted Budget. General Fund receipts, including transfers from other funds, totaled \$17.6 billion through June 2017, consistent with initial estimates. Tax receipts were approximately \$350 million below the Enacted Budget forecast, offset by a \$350 million Extraordinary Monetary Settlement with BNPP. PIT collections were \$498 million below planned levels, driven by weaker than expected quarterly estimated payments in June 2017 (which had been projected to grow by 9.1 percent but instead declined slightly), and greater than projected refund payments. Business tax collections were above plan due to higher gross receipts in corporate franchise taxes, partially offset by lower audits and higher refunds.
- General Fund disbursements, including transfers to other funds, totaled \$22.3 billion through June 2017, \$553 million lower than initial projections, mainly due to the timing of planned payments for local assistance and transfers that support capital projects. Lower local assistance spending was mainly due to the pending Federal approval of certain Medicaid rate packages that will increase provider rates and a reconciliation of Federal Affordable Care Act (ACA) funding that is expected to result in a State cost, as well as timing related under-spending in other health, education, and the Office of Children and Family Services (OCFS) programs. Spending for agency operations was above planned levels due to higher fringe benefit expenses that should be reimbursed later in FY 2018. In addition, certain General Fund transfers did not occur as planned and are now expected in future months, most notably for transfers to support capital spending for transportation programs, which spent at a slower than anticipated rate through June 2017.

Multi-Year Financial Plan Revisions¹

General Fund

The following table summarizes the revisions to the FY 2018 Enacted Budget Financial Plan. Descriptions of the changes follow the table below.

SUMMARY OF REVISIONS TO ENACTED BUDGET FINANCIAL PLAN GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2018	FY 2019	FY 2020	FY 2021
ENACTED BUDGET SURPLUS/(GAP) (BEFORE)	0	(4,021)	(5,853)	(7,512)
Adherence to 2% SOF Spending - Calculated Savings ¹	0	3,230	5,855	7,955
ENACTED BUDGET SURPLUS/(GAP) (AFTER)¹	0	(791)	2	443
Receipts Revisions²	344	(27)	(42)	2
BNPP Settlement Payment	350	0	0	0
Tax Receipts	(6)	(27)	(39)	5
Non-Tax Receipts	0	0	(3)	(3)
Disbursement Revisions	(34)	(35)	(110)	(246)
Local Assistance	(12)	(57)	(85)	(118)
Agency Operations	(40)	(15)	(73)	(123)
CSX Judgment Payment ³	(39)	0	0	0
Other Transfers	57	37	48	(5)
Change in Reserves	(310)	0	0	0
Extraordinary Monetary Settlements	(311)	0	0	0
BNPP Settlement Payment	(350)	0	0	0
CSX Judgment Payment	39	0	0	0
Community Projects Fund	1	0	0	0
Change in Adherence to 2% Spending - Calculated Savings		(12)	(113)	(201)
ENACTED BUDGET SURPLUS/(GAP) (BEFORE)	0	(4,083)	(6,005)	(7,756)
Adherence to 2% SOF Spending - Calculated Savings ¹	0	3,242	5,968	8,156
ENACTED BUDGET SURPLUS/(GAP) (AFTER)¹	0	(841)	(37)	400
<i>Net Change from Enacted Budget Financial Plan</i>	<i>0</i>	<i>(50)</i>	<i>(39)</i>	<i>(43)</i>

¹ Includes savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2018 estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund.

² Includes the impact of changes to estimated debt service that alter the amount of tax receipts that are transferred to the General Fund.

³ Does not include additional interest. Any additional interest will be reflected in future updates, as appropriate.

Receipts Revisions

General Fund receipts, including transfers from other funds, are now projected to total \$70.2 billion in FY 2018, an increase of \$344 million from the Enacted Budget projections.

- **BNPP Settlement Payment:** The State received a \$350 million civil monetary penalty payment from BNPP in June 2017 pursuant to a consent order between the State DFS and BNPP.
- **Tax Receipts:** Estimated PIT and sales tax receipts have been adjusted downward to reflect the June 2017 reauthorization of certain sales tax exemptions for lower Manhattan and the creation of a state income-tax deduction for eligible homeowners affected by Lake Ontario flooding, as well as the impact of changes in estimated debt service costs.
- **Non-Tax Receipts:** Certain reimbursements and transfers from other State funds have been revised based on updated information.

Disbursements Revisions

General Fund disbursements, including transfers to other funds, are expected to total \$71.2 billion in FY 2018, an increase of \$34 million from initial projections.

- **Local Assistance:** Local assistance spending in the General Fund is expected to total \$47.1 billion in FY 2018, an increase of \$12 million from the Enacted Budget estimate. The revision consists of increased costs related to the legislative session and updated forecasts. The most significant changes are for:
 - **Medicaid:** The statutorily indexed provisions of the Global Cap, which reflects the ten-year rolling average of the Medical component of the Consumer Price Index (CPI), is higher relative to the previous forecast. This results in an upward adjustment to allowable DOH Global Cap Medicaid spending of \$11 million in FY 2018, \$37 million in FY 2019, \$75 million in FY 2020, and \$135 million in FY 2021. The revised indexed portion of the Global Cap is 3.3 percent in FY 2018, 3.2 percent in FY 2019, and 3.1 percent in FYs 2020 and 2021.

This is partly offset by an additional \$6 million in Master Settlement Agreement (MSA) payments in FY 2018, for an annual total of \$103 million. The Enacted Budget authorizes using the payments to help defray the costs of the State's takeover of Medicaid costs borne by counties and New York City, one of the State's most important mandate relief measures enacted in recent years.

In addition, the Essential Plan (EP) program spending estimate has been revised downward by \$349 million in FY 2018. The decline reflects stabilizing enrollment trends and expected increases in Federal reimbursement due to changes in the marketplace premium index. DOH expects these savings will offset other potential costs within the Global Cap.

- **Public Health:** Child Health Plus (CHP) program spending has increased due to enrollment growth over the past 18 months which is driving increases to program expenses relative to previous estimates. In total, the Financial Plan now reflects additional CHP program costs of \$22 million in FY 2018; \$46 million in FY 2019; and \$33 million in FY 2020.
- **Vernon Downs Aid:** Legislation approved in June 2017 provides operational cost flexibility for Vernon Downs.
- **Agency Operations:** General Fund disbursements for agency operations, including fringe benefits, are expected to total \$14.0 billion in FY 2018, an increase of \$40 million from the Enacted Budget estimate. The increase mainly reflects:
 - **State Police:** Increased State police activity, including increasing the size of the July 2017 recruit class, is expected to add costs of \$26 million for FY 2018.
 - **Medicaid:** Administrative costs related to the EP and Medicaid administration is anticipated to result in higher agency operations of \$17 million in FY 2018, which is entirely offset by a reduction in local assistance spending under the Global cap. In addition, \$2 million in increased costs of the Qualified Health Plan (QHP) portion of the New York State of Health (NYSOH) insurance exchange have been reflected in the Updated Financial Plan.
- **Transfers to Other Funds:** General Fund transfers to other funds are expected to total \$10.1 billion in FY 2018, a decrease of \$18 million from the Enacted Budget estimate. The increase mainly reflects:
 - **CSX Judgment Payment:** The State recently lost an eminent domain case to CSX and has been ordered to pay approximately \$39 million. The Financial Plan assumes that the judgment against the State will be funded with a portion of the recent BNPP payment. The balance of the payment will be set aside in the General Fund.
 - Reductions in other transfers reflect lower debt service costs in the DHBTF, due to a bond refunding (\$26 million), and lower projected State Pay-As-You-Go (PAYGO) spending in FY 2018, primarily for the Department of Health (DOH) (\$17 million); a reduction in debt service costs due to actual bond sale results to date; and other changes based on updated information.

Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 2 percent in FY 2018, consistent with the spending benchmark adopted by the Governor. The table below illustrates the major sources of annual change in State spending by major program, purpose and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2017 Results	FY 2018 Updated	Annual Change	
			\$	%
LOCAL ASSISTANCE	64,369	66,075	1,706	2.7%
School Aid (School Year Basis)	24,689	25,727	1,038	4.2%
DOH Medicaid ¹	18,243	19,085	842	4.6%
Transportation	4,977	5,027	50	1.0%
STAR ²	3,139	2,630	(509)	-16.2%
Social Services	2,935	2,969	34	1.2%
Higher Education	2,874	2,800	(74)	-2.6%
Mental Hygiene	2,461	2,487	26	1.1%
All Other ³	5,051	5,350	299	5.9%
STATE OPERATIONS/FRINGE BENEFITS/GENERAL STATE CHARGES	26,314	26,799	485	1.8%
State Operations	18,680	18,740	60	0.3%
Personal Service:	<u>13,093</u>	<u>12,935</u>	<u>(158)</u>	<u>-1.2%</u>
Executive Agencies	7,302	7,163	(139)	-1.9%
University Systems	3,816	3,770	(46)	-1.2%
Elected Officials	1,975	2,002	27	1.4%
Non-Personal Service:	<u>5,587</u>	<u>5,805</u>	<u>218</u>	<u>3.9%</u>
Executive Agencies	2,717	2,882	165	6.1%
University Systems	2,281	2,309	28	1.2%
Elected Officials	589	614	25	4.2%
General State Charges	7,634	8,059	425	5.6%
Pension Contribution	2,446	2,540	94	3.8%
Health Insurance	3,708	3,983	275	7.4%
Other Fringe Benefits/Fixed Costs	1,480	1,536	56	3.8%
DEBT SERVICE	5,514	5,292	(222)	-4.0%
CAPITAL PROJECTS	2	2	0	0.0%
TOTAL STATE OPERATING FUNDS	96,199	98,168	1,969	2.0%
Capital Projects (State and Federal Funds)	10,156	13,046	2,890	28.5%
Federal Operating Aid⁴	41,397	42,280	883	2.1%
TOTAL ALL GOVERNMENTAL FUNDS⁵	147,752	153,494	5,742	3.9%

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The EP is not a Medicaid program; but State-funded support is managed within total DOH Medicaid Global Cap resources. In addition, total State share Medicaid funding excludes MSA payments to the State that will be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund to defray the State cost of the local MA takeover.

² The FY 2018 Enacted Budget converts the NYC PIT credit to a nonrefundable State PIT credit. This change has no impact on the STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

³ "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State Fiscal Year basis, School Aid is estimated to total \$25.8 billion in FY 2018, an increase of \$1.4 billion from FY 2017. It also includes the portion of the MA takeover that will be funded from MSA payments deposited directly to the MMIS escrow fund (\$103 million in FY 2018). Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety.

^{4,5} Federal Operating Funds and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy.

State Operating Funds -- Summary of Annual Spending Change

Local Assistance

- Medicaid and School Aid are the State's largest local aid programs, comprising approximately 45 percent of State Operating Funds spending. In SY 2018, School Aid is expected to total \$25.7 billion, an increase of \$1.0 billion (4.2 percent), including a \$700 million increase in Foundation Aid.² Medicaid, subject to the Global Cap, will grow at the indexed rate of 3.3 percent³ to \$18.3 billion. In total, Medicaid funded from State resources will increase to \$19.5 billion, including the EP,⁴ the takeover of local Medicaid costs, and other spending outside the Global Cap.
- In FY 2018, the bonds secured by annual payments under the MSA with tobacco manufacturers will be fully retired. DOB expects MSA payments of approximately \$103 million in FY 2018, \$329 million in FY 2019, \$327 million in 2020, and \$371 million in FY 2021 will be available for State purposes. The Enacted Budget authorizes using the payments to help defray the costs of the State's takeover of Medicaid costs borne by counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, was fully implemented in FY 2014 and is expected to cost the State \$735 million in FY 2018 and \$917 million in FY 2019. As authorized in State law, MSA payments will be directly deposited to the Medicaid Management Information System (MMIS) Escrow Fund. The deposit mechanism has no impact on overall Medicaid spending funded with State resources, but does decrease reported State-supported Medicaid spending accounted for in State Operating Funds.
- STAR spending is affected by the conversion of STAR benefits to State PIT credits, in addition to other program reestimates. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers, but does decrease reported disbursements for STAR on a State Operating Funds basis of reporting and decreases the level of reported PIT receipts by an identical amount.
- The annual change in local assistance spending is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, New York City is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State aid. The FY 2017 legislation specified that the money refunded from STARC could be

² Total education aid, including charter school supplemental tuition reimbursement and Smart Schools Bond Act debt service, will total \$25.8 billion, an increase of \$1.1 billion or 4.4 percent from School Year 2017.

³ The Medicaid Global Cap is a statutory limit on annual State-funded Medicaid expenditures, indexed to the Medical component of CPI. Total State-funded Medicaid expenditures also include certain program costs which are not subject to the indexed provisions of the Global Cap.

⁴ The EP is an insurance program authorized under the ACA for individuals who are not eligible for Medicaid and that meet certain income threshold standards. Approximately 90 percent of program expenses are subsidized with Federal funds. The EP is not a Medicaid program; however, the State Funds support is managed within total DOH Medicaid Global Cap resources.

received by the State as a miscellaneous receipt, or directed by the State to a State public authority to offset debt service costs on State-supported bonds. In the FY 2018 Enacted Budget, the Legislature reaffirmed that money recouped from the STARC refunding can be treated as an offset to State spending by adding specific "refund of appropriation" language to the STARC appropriation. The Financial Plan reflects the offset to spending in the calculation of State Operating Funds spending. In FY 2017, the State accounted for the money as a miscellaneous receipt.

State Operations/Fringe Benefits

- Spending for Executive agency operations is expected to increase slightly in FY 2018 from the prior year. The current spending estimates for personal service reflect the potential costs of labor agreements with all State unions patterned on the labor contract ratified by PEF in December 2016, as well as the five-year CSEA contract ratified in August 2017. In addition, Executive agencies are required to implement management plans intended to ensure that they can operate within existing cash ceilings. The estimated FY 2018 costs of potential labor agreements reflected in the Financial Plan for Executive agencies are roughly equal to the savings expected from the management plans.
- Agency spending growth is also affected by the reclassification of 3,173 Full-Time Equivalent (FTE) positions whose titles are associated with the maintenance, preservation and/or operation of facilities (e.g., Plant Utilities Engineers, General Mechanics, Electricians, etc.) to the Capital Projects Fund.
- Operations spending for the university systems and elected officials is expected to decrease by 0.3 percent and increase by 2.0 percent, respectively. More than half of the FTE reclassifications to capital project funds occur in SUNY, affecting the annual growth.
- Spending growth for fringe benefits is due to rising employee health care and prescription drug costs, as well as an increase in the annual pension contribution.

Debt Service

- Spending from debt service funds is expected to decrease by 4.0 percent from 2017, reflecting the payment of certain FY 2018 debt service costs in FY 2017.

All Funds Spending

All Funds spending, which includes spending from capital funds and Federal funds, is budgeted to increase by 3.9 percent from FY 2017 to FY 2018, excluding extraordinary Federal aid for disaster-related costs and health care transformation.

TOTAL DISBURSEMENTS (millions of dollars)				
	FY 2017 Results	FY 2018 Updated	Annual Change	Annual % Change
STATE OPERATING FUNDS	96,199	98,168	1,969	2.0%
General Fund (excluding transfers)	57,988	61,087	3,099	5.3%
Other State Funds	32,659	31,752	(907)	-2.8%
Debt Service Funds	5,552	5,329	(223)	-4.0%
ALL GOVERNMENTAL FUNDS (Excluding Extraordinary Aid)	147,752	153,494	5,742	3.9%
ALL GOVERNMENTAL FUNDS	157,014	164,035	7,021	4.5%
State Operating Funds	96,199	98,168	1,969	2.0%
Capital Projects Funds	10,156	13,046	2,890	28.5%
Federal Operating Funds	<u>50,659</u>	<u>52,821</u>	<u>2,162</u>	<u>4.3%</u>
Federal Disaster Aid for Superstorm Sandy	881	525	(356)	-40.4%
Federal Health Care Reform	8,381	10,016	1,635	19.5%
All Other Federal Aid	41,397	42,280	883	2.1%
GENERAL FUND (INCLUDING TRANSFERS)	68,080	71,233	3,153	4.6%
STATE FUNDS	104,029	109,341	5,312	5.1%

Growth in Capital Projects spending, which affects All Funds and State Funds spending, as well as transfers from the General Fund, reflects the continued implementation of major initiatives enacted in prior years, as well as new initiatives enacted in FY 2018. Major initiatives include: over \$55 billion for the Department of Transportation (DOT) and Metropolitan Transportation Authority (MTA) multi-year capital plans; \$2.5 billion in capital funding for Affordable and Homeless Housing; nearly \$3 billion for clean water infrastructure; \$2 billion for the Smart Schools Bond Act; nearly \$3 billion in Health Care capital grants; and capital grants for economic development programs, including the Buffalo Billion, expansion of the Jacob K. Javits Convention Center, and redevelopment of Moynihan Station.

FY 2018 State Funds Spending has been revised by this amended First Quarterly Update.

In addition, capital spending is expected to increase due to the multi-year disbursements of \$7.7 billion from Extraordinary Monetary Settlements that have been appropriated from Capital Projects Funds. This funding will support initiatives such as the Thruway Stabilization Program, Upstate Revitalization Initiative, and the expansion of statewide broadband services.

Increased Federal spending is mainly concentrated in health care and is partly offset by diminishing levels of Federal reimbursement for recovery costs related to severe storms (e.g., Superstorm Sandy).

General Fund spending, including transfers, is expected to grow by approximately 4.6 percent from FY 2017, and includes planned transfers of Extraordinary Monetary Settlements to fund spending appropriated in capital projects funds and the cost of a one-time litigation payment. In addition, funding for many agencies and programs is charged to several funds, and is affected by offsets and accounting reclassifications.

General Fund Financial Plan

FY 2018 Financial Plan

DOB estimates that the Updated Financial Plan provides for balanced operations in the General Fund in FY 2018. Excluding Extraordinary Monetary Settlement funds, estimated General Fund disbursements exceed receipts by \$556 million. The difference is financed by the use of Extraordinary Monetary Settlements that were not appropriated in the Enacted Budget (\$500 million) for General Fund operations and payment of the one-time unbudgeted CSX Judgment Payment, reserves set aside in FY 2017 to fund new labor contracts (\$25 million), resources carried in from FY 2016 (\$14 million), and Community Projects Fund resources (\$17 million).

The following table summarizes the projected annual change from FY 2017 to FY 2018 in General Fund receipts, disbursements, and fund balances, with and without the impact of Extraordinary Monetary Settlement activity.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2017 Results	FY 2018 Updated	Annual Change	
			Dollar	Percent
Opening Fund Balance (Excluding Extraordinary Monetary Settlements)	2,634	2,414	(220)	-8.4%
Total Receipts	<u>65,743</u>	<u>69,795</u>	<u>4,052</u>	<u>6.2%</u>
Taxes	62,264	66,460	4,196	6.7%
Miscellaneous Receipts/Federal Grants ¹	2,661	2,122	(539)	-20.3%
Transfers from Other Funds	818	1,213	395	48.3%
Total Disbursements	<u>65,963</u>	<u>70,351</u>	<u>4,388</u>	<u>6.7%</u>
Local Assistance	44,439	47,081	2,642	5.9%
State Operations	13,549	14,006	457	3.4%
Transfers to Other Funds ¹	7,975	9,264	1,289	16.2%
Net Change in Operations	(220)	(556)	(336)	-152.7%
Deposit to/ Use Of Reserves ²	0	655	655	0.0%
Closing Fund Balance (Excluding Extraordinary Monetary Settlements) ²	<u>2,414</u>	<u>2,513</u>	<u>99</u>	<u>4.1%</u>
Extraordinary Monetary Settlements ¹				
Settlements on Hand as of April 1	6,300	5,335	(965)	-15.3%
New Settlements Received/Expected	1,317	416	(901)	-68.4%
Transfers/Uses ²	(2,282)	(1,570)	712	31.2%
Closing Balance (Extraordinary Monetary Settlements)	<u>5,335</u>	<u>4,181</u>	<u>(1,154)</u>	<u>-21.6%</u>
Closing Fund Balance (Including Extraordinary Monetary Settlements)	<u>7,749</u>	<u>6,694</u>	<u>(1,055)</u>	<u>-13.6%</u>

¹ New settlements received reflect the gross value of Extraordinary Monetary Settlements paid to the State and the uses of such funds are accounted for by purpose. However, the General Fund miscellaneous receipts and transfers to other funds only exclude the amount that is received by the General Fund and transferred to other funds. Thus, it does not include any amounts retained and used for General Fund operations or Department of Law operations.

² In FY 2018, \$461 million in Extraordinary Monetary Settlements will be utilized to support ongoing operations and \$59 million will be used to fund an unbudgeted one-time expense for litigation. Another \$155 million will be retained in the General Fund to fund potential retroactive salary increases and is thus included in the General Fund closing balance.

As shown in the table above, the State expects to end FY 2018 with a General Fund cash balance of \$6.7 billion, a decrease of \$1.1 billion from FY 2017 results. DOB intends to make transfers of Extraordinary Monetary Settlements on an as-needed basis each year as spending occurs from appropriations funded with the Extraordinary Monetary Settlements. Legislation approved in the FY 2017 Enacted Budget provides transfer authority from the General Fund to the Dedicated Infrastructure Investment Fund (DIIF) through FY 2021.

Receipts (Excluding Extraordinary Monetary Settlements)

General Fund receipts estimates, including transfers from other funds, total \$69.8 billion in FY 2018, an increase of \$4.1 billion (6.2 percent) from FY 2017 results. Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, total \$66.5 billion in FY 2018, an increase of \$4.2 billion (6.7 percent) from FY 2017 results.

Estimated PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, total \$45.3 billion, an increase of \$2.5 billion (5.8 percent) from FY 2017. This primarily reflects growth in withholding and estimated payments attributable to the net effect of the first year of middle income tax cuts enacted with the FY 2017 and a decline in STAR Fund deposits associated with legislation included in the Enacted Budget.

Consumption/use tax receipts, including transfers after payment of debt service on the Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.3 billion in FY 2018, an increase of \$691 million (5.5 percent) from FY 2017, which mainly reflects projected growth in employment and taxable consumption.

Business tax receipts are estimated at \$5.7 billion in FY 2018, an increase of \$957 million (20.1 percent) from FY 2017. The significant growth is primarily attributable to the absence of one-time factors that affected FY 2017 receipts. Prior fiscal year collections were lower than planned due to lower audit receipts from corporate franchise taxpayers and a shortfall in cash payments associated with tax year 2015 final returns. These issues are not expected to recur.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air Bonds, are expected to total \$2.1 billion in FY 2018, an increase of \$45 million (2.2 percent) from FY 2017. This increase is mainly attributable to projected growth in the real estate transfer tax receipts due to an anticipated increase in housing starts and appreciation of home prices, partly offset by the continued phase-in of estate tax cuts.

Non-tax receipts and transfers are estimated at \$3.3 billion in FY 2018, a decrease of \$144 million (-4.1 percent) from FY 2017. The decrease includes \$250 million in State Insurance Fund (SIF) reserves released in FY 2017 that does not recur in FY 2018, and the accounting of the STARC debt refunding savings as an offset of the State's payment of New York City debt rather than a miscellaneous receipt. These declines are partly offset by an increase in the transfer of resources from other funds due to the accumulation of cash balances in prior years.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances among funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2018 Through 2021" herein.

Disbursements (Excluding Extraordinary Monetary Settlements)

General Fund disbursements, including transfers to other funds, are expected to total \$70.4 billion in FY 2018, an increase of \$4.4 billion (6.7 percent) from FY 2017. General Fund disbursements reflect the cautious estimation of disbursements in each financial category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

Projected local assistance spending is \$47.1 billion in FY 2018, an increase of \$2.6 billion (5.9 percent) from FY 2017. The increase includes \$1.3 billion for School Aid (on a State fiscal year basis) and \$919 million for Medicaid and the EP. Additional annual changes reflect anticipated growth in payments for social services, higher education, and other programs, as well as accounting reclassifications that have the effect of moving spending between financial categories and across fund types.

On a State Operating Funds basis, most executive agencies are expected to hold operations spending at FY 2017 levels (limited exceptions, include DOH costs attributable to the Medicaid administration, the EP program and increased State Police costs for additional security measures). The Financial Plan estimates for State Operations are affected by the reclassification to capital projects funds of certain personnel expenses related to maintenance and preservation of State assets; the costs of approved and pending labor settlements, as well as the potential costs of labor agreements with other State unions patterned on the labor contract ratified by PEF in December 2016; and expected savings from agency management plans. General Fund personal and non-personal service costs are expected to total \$8.2 billion in FY 2018, an increase of \$135 million (1.7 percent) from FY 2017. Operating costs for many agencies are charged to several funds outside the General Fund, and are thus affected by varying levels of offsets and accounting reclassifications.

General State Charges (GSCs), which account for fringe benefits and certain fixed costs, are expected to increase by \$322 million (5.9 percent) over FY 2017. Health insurance costs for State employees and retirees increase by \$275 million (7.4 percent), mainly due to increases in premiums. The State's annual pension payment grows by \$94 million (3.8 percent).

General Fund transfers to other funds total \$9.3 billion in FY 2018, an increase of \$1.3 billion from FY 2017. Transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements) increase by \$1.3 billion, reflecting the timing of reimbursement from bond proceeds and planned disbursements from the DHBTF.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

Closing Balance for FY 2018

DOB projects that the State will end FY 2018 with a General Fund cash balance of \$6.7 billion, a decrease of \$1.1 billion from FY 2017. The estimated balance of Extraordinary Monetary Settlements at the close of FY 2018 is \$4.2 billion, a decrease of \$1.2 billion from FY 2017. The decrease is due to the expected transfer of \$882 million in Extraordinary Monetary Settlements to capital projects funds to support initiatives funded with Extraordinary Monetary Settlements and the use of \$461 million for operating purposes and \$39 million for a one-time CSX Judgment Payment from Extraordinary Monetary Settlements not appropriated in the Enacted Budget. In addition, \$155 million in Extraordinary Monetary Settlements is set aside for labor contracts and displayed in the Financial Plan tables as “Reserved for Potential Labor Agreements.” (See “Uses of Extraordinary Monetary Settlements” herein.)

The estimated General Fund cash balance, excluding Extraordinary Monetary Settlements, is \$2.5 billion at the close of FY 2018, or \$99 million higher than FY 2017. The change in the balance includes \$155 million of Extraordinary Monetary Settlements in the General Fund that DOB has informally earmarked to fund retroactive salary increases for FY 2017 that may occur in FY 2018 or later. During the fiscal year, DOB may change the purposes for which the money is currently earmarked, depending on the fiscal environment. Other changes include the planned use of reserves for the payment of retroactive salary increases for Management/Confidential (M/C) employees (\$25 million), resources carried in the Community Projects Fund (\$17 million), and the undesignated fund balance carried in from FY 2017 (\$14 million).

The Updated Financial Plan maintains a reserve of \$500 million for debt management purposes in FY 2018, unchanged from the level held at the end of FY 2017. DOB will decide on the use of these funds based on market conditions, financial needs, and other factors.

TOTAL BALANCES (millions of dollars)			
	FY 2017 Results	FY 2018 Updated	Annual Change
TOTAL GENERAL FUND BALANCE	7,749	6,694	(1,055)
General Fund Total (Excluding Extraordinary Monetary Settlements)	2,414	2,513	99
Statutory Reserves:			
"Rainy Day" Reserves	1,798	1,798	0
Community Projects	56	39	(17)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Labor Agreements	25	155	130
Undesignated Fund Balance	14	0	(14)
Extraordinary Monetary Settlements Fund Balance	5,335	4,181	(1,154)

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2018 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

ALL FUNDS MONTH-END CASH BALANCES			
FY 2018			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	7,405	4,111	11,516
May	3,140	3,344	6,484
June	3,014	4,666	7,680
July	3,790	5,526	9,316
August	3,704	5,222	8,926
September	6,545	2,662	9,207
October	6,039	3,430	9,469
November	4,331	2,520	6,851
December	8,438	1,999	10,437
January	10,968	3,375	14,343
February	10,183	3,306	13,489
March	6,694	3,120	9,814

Extraordinary Monetary Settlements

From FY 2015 through FY 2018, DOB estimates that the State will have or expects to receive a total of \$10.3 billion in Extraordinary Monetary Settlements for violations of New York State laws by major financial and other institutions. The following table lists the Extraordinary Monetary Settlements by firm and amount. Since the Enacted Budget Financial Plan, the State received another \$350 million payment for the May 24, 2017 consent order between the State DFS and BNP Paribas S.A. and BNP Paribas S.A. New York Branch (together "BNPP"), pursuant to Banking Law Section 44 for engaging in improper, unsafe and unsound conduct, in violation of New York State laws and regulations, that included collusive conduct, improper exchange of information, manipulation of the price at which daily benchmark rates were set, and misleading customers.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)					
	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>Total</u>
Extraordinary Monetary Settlements	4,942	3,605	1,317	416	10,280
BNP Paribas	<u>2,243</u>	<u>1,348</u>	<u>0</u>	<u>350</u>	<u>3,941</u>
Department of Financial Services (DFS)	2,243	0	0	350	2,593
Asset Forfeiture (DANY)	0	1,348	0	0	1,348
Deutsche Bank	0	800	444	0	1,244
Credit Suisse AG	715	30	0	0	745
Commerzbank	610	82	0	0	692
Barclays	0	670	0	0	670
Credit Agricole	0	459	0	0	459
Bank of Tokyo Mitsubishi	315	0	0	0	315
Bank of America	300	0	0	0	300
Standard Chartered Bank	300	0	0	0	300
Goldman Sachs	0	50	190	0	240
Morgan Stanley	0	150	0	0	150
Bank Leumi	130	0	0	0	130
Ocwen Financial	100	0	0	0	100
Citigroup (State Share)	92	0	0	0	92
MetLife Parties	50	0	0	0	50
American International Group, Inc.	35	0	0	0	35
PricewaterhouseCoopers LLP	25	0	0	0	25
AXA Equitable Life Insurance Company	20	0	0	0	20
Promontory	0	15	0	0	15
New Day	0	1	0	0	1
Volkswagen	0	0	32	33	65
Mega Bank	0	0	180	0	180
Agricultural Bank of China	0	0	215	0	215
PHH Mortgage	0	0	28	0	28
Intesa SanPaolo	0	0	235	0	235
Other Settlements	7	0	(7)	33	33

Uses of Extraordinary Monetary Settlements

Consistent with the Executive's intention to use the majority of Extraordinary Monetary Settlements to fund capital investments and nonrecurring expenditures, the Enacted Budget authorizes the transfer/use of \$5.4 billion in remaining resources from Extraordinary Monetary Settlements over a five-year period, in addition to \$4.5 billion used as of the close of FY 2017.

Since FY 2015, DOB estimates the State has received, or expects to receive, over \$10 billion in Extraordinary Monetary Settlements for violations of State laws by major financial and other institutions. A total of \$7.7 billion is expected to finance various purposes from capital appropriations, including operating activities associated with the maintenance, protection, preservation, and operation of capital assets. Another \$2.2 billion is or will be used for other purposes, including resolution of Office for People with Developmental Disabilities (OPWDD) Federal disallowances in FY 2016, funding for retroactive labor costs, General Fund operations, one-time litigation costs, and costs of the Department of Law's Litigation Services Bureau.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
Opening Settlement Balance in General Fund	0	4,667	6,300	5,335	4,181	2,519	1,432	779
Receipt of Extraordinary Monetary Settlement Payment	4,942	3,605	1,317	416	0	0	0	0
Use/Transfer of Funds	275	1,972	2,282	1,570	1,662	1,087	653	468
Capital Purposes:								
Transfer to DIIIF	0	857	697	1,402	1,767	1,217	933	438
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	0
Transfer to Capital Projects Fund - Mass Transit	0	0	0	85	0	0	0	0
Transfer to Capital Projects Fund - Healthcare	0	0	0	25	45	50	50	30
Transfer to DIIIF for Javits Center Expansion	0	0	0	160	350	320	170	0
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	0	(500)	(500)	0
FY 2017 Temporary Loan to Capital Projects Fund	0	0	1,300	(1,300)	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	0	0	500	(500)	0	0	0
Other Purposes:								
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	0
CSX Judgment Payment	0	0	0	39	0	0	0	0
Financial Plan - General Fund Operating Purposes	275	250	102	461	0	0	0	0
Transfer to Local Assistance Account - Mass Transit Operating	0	0	0	10	0	0	0	0
Department of Law - Litigation Services Operations	0	10	63	33	0	0	0	0
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	0
Reservation of Funds:								
Reserve for Retroactive Labor Settlements	0	0	0	155	0	0	0	0
Closing Settlement Balance in General Fund	4,667	6,300	5,335	4,181	2,519	1,432	779	311

The Updated Financial Plan reflects the allocation of an additional \$1.9 billion in unbudgeted Extraordinary Monetary Settlements to support the following measures:

- **Buffalo Billion Phase II (\$400 million):** The Updated Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion Initiative, which totals \$500 million.
- **Life Sciences (\$320 million):** The Updated Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **Health Care Capital Grants (\$200 million):** The Budget includes a \$500 million increase to the health care facility transformation program, of which \$200 million will be funded from Extraordinary Monetary Settlements.
- **Security and Emergency Response Preparedness (\$100 million):** The Updated Financial Plan reflects the commitment of \$100 million over the next two years to continue counter-terrorism efforts in New York City including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels and to sustain the increased deployment of National Guard at transportation hubs that began in September 2014.
- **Downtown Revitalization (\$100 million):** The Updated Financial Plan reflects an additional \$100 million for the Downtown Revitalization Initiative to fund housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns. The existing program provides \$100 million to ten communities currently experiencing population loss and/or economic decline.
- **MTA Capital Plan (\$65 million):** The Updated Financial Plan reflects the commitment of an additional \$65 million to the MTA's 2015-2019 Capital Program. These new resources must be paid to the Authority before December 31, 2018.
- **Non MTA Transit (\$30 million):** The Updated Financial Plan invests an additional \$20 million in funds from Extraordinary Monetary Settlements toward DOT's mass transit capital program. Funds will be directed by DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions. The Updated Financial Plan also provides \$10 million for operating costs related to non-MTA Mass Transit purposes.

- **First-Year Costs of Potential Labor Agreements (\$155 million):** The Updated Financial Plan reserves \$155 million in Extraordinary Monetary Settlements to fund the year one costs of potential labor agreements with the remaining unionized employees, patterned on the PEF contract.
- **General Fund Operations (\$461 million):** The Updated Financial Plan reflects the use of Extraordinary Monetary Settlements that were not appropriated in the Enacted Budget.
- **CSX Judgment Payment (\$39 million):** The State recently lost an eminent domain case to CSX and has been ordered to pay approximately \$39 million. The Updated Financial Plan assumes that the judgment against the State will be funded with a portion of the recent BNPP payment.



Other Matters Affecting the Financial Plan

General

The State's Updated Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Updated Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted. In addition, projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings that result from the 2 percent limit will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in the adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent spending benchmark.

The Updated Financial Plan is based on numerous assumptions, including the condition of the State and national economies, and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impacts of: national and international events; ongoing financial instability in the Euro-zone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt on consumer spending and State tax collections; and the outcomes of litigation and other claims affecting the State.

The Updated Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Updated Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Updated Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of non-recurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Updated Financial Plan projections for the outyears generally assume that School Aid and Medicaid disbursements will be limited to the annual growth in New York State personal income, and the ten-year average growth of the medical component of the CPI, respectively. However, in School Year (SY) 2019 School Aid is projected to increase by 4.3 percent, a level \$100 million higher than the estimated 3.9 percent growth in personal income. In addition, since FY 2014, the State has annually authorized spending for School Aid to increase above the personal income growth index; in FY 2018, the Enacted Budget Financial Plan reflects a 4.2 percent School Aid increase, compared to the 3.9 percent growth in the index.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Updated Financial Plan. Over the past six years, DOH State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to a number of factors including fluctuations in economic conditions, which may increase caseload. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. However, these actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund (which finances approximately one-quarter of the DOH State-share costs of Medicaid).

The Updated Financial Plan forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of certain payments under the Tribal-State compact, including payments from the Seneca Nation⁵; receipt of miscellaneous revenues at the levels expected in the Updated Financial Plan, and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Updated Financial Plan in current or future years.

⁵ The Seneca Nation withheld a payment to the State that was expected in June 2017. The State and Seneca Nation are in discussions over the payment. The current Financial Plan assumes successful resolution by March 2018.

The Updated Financial Plan also includes actions that affect the spending reported in the State Operating Funds basis of reporting, including (i) the realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting; and (iii) the restructuring of the STAR program such that the spending for certain benefits is instead provided in the form of a tax credit for consistency with how other State tax credits are reported. If these and other transactions are not implemented as planned, this could add upward pressure to the reported level of annual spending growth in State Operating Funds.

In developing the Updated Financial Plan, DOB attempts to mitigate the financial risks from receipts volatility, litigation, and unexpected costs, with a particular emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements, and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that such resources will be sufficient to address risks that may materialize in a given fiscal year.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many of the policies that drive this Federal aid are subject to change under the current presidential administration and Congress. Current financial projections concerning Federal aid, and the assumptions on which they rely, are subject to revision in future financial updates as a result of changes in Federal policy.

The Federal government may enact budgetary changes or take other actions that adversely affect State finances. State legislation approved with the Enacted Budget sets forth a process by which the State would manage significant reductions in Federal aid during FY 2018 should they arise. Specifically, the legislation directs the Budget Director to prepare a corrective action plan for consideration by the Legislature in the event that (a) Federal aid for Medicaid is reduced by \$850 million or more or (b) Federal aid for all other programs is reduced by \$850 million or more. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must uniformly reduce appropriations and cash disbursements in the General Fund and State special revenue funds. Upon receipt of the plan, the Legislature has 90 days to adopt a corrective action plan by concurrent resolution, or the plan submitted by the Budget Director takes effect automatically.

In addition to the potential fiscal impact of policies that may be adopted by the Federal government, the Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules.

The Enacted Budget Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare and Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011, and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

Current issues of particular concern are described below.

Maintaining Current Federal Aid

The Trump Administration has proposed significant cuts to domestic programs in Federal FY 2018, and Federal funding for some mandatory programs such as the Children's Health Insurance Program are set to expire at the end of Federal FY 2017. If the proposed cuts are adopted or the mandatory programs set to expire in Federal FY 2017 are not continued, it could lead to a reduction of billions of dollars to the State.

Federal Health Care Policy

Passage of H.R. 1628, the American Health Care Act, in the House of Representatives, puts at risk a significant amount of Federal Aid for health care. Major components of the bill include ending the Basic Health Plan, the Patient Protection and ACA's Medicaid expansion, and shifting a larger share of the growth in Medicaid costs to the states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended entitlement. If adopted, these policies would have a substantial adverse impact on the Updated Financial Plan.

H.R. 1628 appears to have stalled in the United States Senate recently. However, it remains possible that legislative proposals narrower in scope than what was included in the legislation may come to fruition. DOB will continue to monitor Federal health care policy.

Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal CMS and the State have reached an agreement authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS, and to refine the eligibility data systems to draw the appropriate amount of enhanced FMAP. This reconciliation may result in a modification of payments to the State and local governments.

Federal Debt Limit

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and brought concern that the Federal debt limit would not be raised in a timely manner. Congress has passed three suspensions of the debt limit since that 2013 impasse, with the most recent extension having expired in March of 2017. Since then, the Treasury has operated under "extraordinary measures" to finance Federal outlays without further borrowing.

The Congressional Budget Office (CBO), in a June 29, 2017 report entitled "Federal Debt and the Statutory Limit, June 2017," projected, "...that if the debt limit remains unchanged, those [extraordinary] measures will be exhausted and the Treasury will most likely run out of cash in early to mid-October. (However, the timing and magnitude of revenues and outlays over the next few months could vary noticeably from CBO's projections, so those [extraordinary] measures could be exhausted and the Treasury could run out of cash earlier or later than CBO projects.)"

More recently, Treasury Secretary Steven Mnuchin, in a July 28, 2017 letter to Congress, noted that "it is critical that Congress act to increase the nation's borrowing authority by September 29, 2017."

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Enacted Budget Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Current Labor Negotiations (Current Contract Period)

Legislation has been enacted to implement a three year collective bargaining agreement providing 2 percent annual increases (FY 2017, FY 2018, and FY 2019) for employees represented by PEF and comparable increases for M/C employees. The agreement with PEF follows the one-year retroactive labor agreement authorizing payment of a 2 percent general salary increase to members for the period April 1, 2015 through March 31, 2016. The Graduate Student Employees Union (GSEU) have agreed to a similar three-year deal. The GSEU membership voted to ratify on March 3, 2017.

The New York State Police Investigators Association (NYSPIA) achieved a multi-year collective bargaining agreement patterned after the State's 2015 legislative session deals with the State Police Troopers and Commissioned- and Non-Commissioned Officers. The enacted NYSPIA pay bill provides the same schedule of general salary increases provided to the Police Benevolent

Other Matters Affecting the Financial Plan



Association of the New York State Troopers (NYSPBA) members; specifically, a 2 percent general salary increase for each of FY 2015 and FY 2016, in their entirety, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018, respectively.

Most recently, the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) membership voted not to ratify a tentative agreement on a five-year labor contract through FY 2021, which would have provided for annual 2 percent general salary increases through FY 2021, and differentials typically received within the law enforcement community (e.g., Hazardous Duty Pay), the costs of which were offset by benefit design changes within the New York State Health Insurance Program (NYSHIP) and reductions in overtime costs. The State will continue negotiations with NYSCOPBA.

On June 20, 2017, the State and CSEA reached a tentative agreement on a five-year labor contract that provides annual salary increases of 2 percent for FYs 2017 through 2021. The agreement was ratified by CSEA membership in August 2017. The Enacted Budget Financial Plan reflects annual salary increases of 2 percent through FY 2019.

The State is in active negotiations with all other employee unions whose contracts concluded in FY 2016, including United University Professions (UUP), Council 82, and District Council 37 (DC-37 Housing). Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

On June 27, 2016, the City University of New York (CUNY) Board of Trustees approved collective bargaining agreements between CUNY and unions representing almost all of the University's faculty and staff. For CUNY senior colleges, these agreements are estimated to cost approximately \$250 million for retroactive payments and \$150 million in ongoing annual costs. At the request of CUNY, the State advanced its planned payment of approximately \$250 million State support for CUNY senior colleges from October 2017 to June 2017, to make resources available for retroactive payments in the academic year ending June 2017.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorizes the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower re-calculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e. the normal rate⁶) to a system-wide amortization threshold (i.e. the graded rate). Graded rates are determined for the Employees' Retirement System (ERS) and the Police and Fire Retirement System (PFRS) according to a formula enacted in the 2010 legislation and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates.

The amortization threshold is projected to approximate the normal rate in upcoming fiscal years. Therefore, the Financial Plan no longer assumes amortization of State pension costs (including the Office of Court Administration) beyond FY 2016.

The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS. The "Normal Costs" column shows the State's underlying pension cost in each fiscal year, before the effects of amortization as authorized in 2010. The "(Amortized) / Excess Contributions" column shows amounts amortized. The "Amortization Payments" column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The "Total" column provides the State's actual or planned pension contribution, inclusive of amortization. The "Interest Rate" column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal

⁶ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e. normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Other Matters Affecting the Financial Plan



rate and graded rate, which are used to determine the maximum allowed "(Amortized)" amount or the mandatory "Excess Contributions" amount for a given fiscal year.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ⁽³⁾	Rates for Determining (Amortization Amount) / Excess Contributions			
	Normal Costs ²	(Amortized) / Excess Contributions	Amortization Payments	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,076.1	(778.5)	100.8	1,398.4	3.00	18.5	25.7	11.5	19.5
2014	2,633.8	(937.0)	192.0	1,888.8	3.67	20.5	28.9	12.5	20.5
2015	2,325.7	(713.1)	305.7	1,918.3	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.1)	389.9	2,005.9	3.21	17.8	24.7	14.5	22.5
2017	1,788.6	0.0	432.1	2,220.7	2.33	15.0	24.3	15.1	23.5
2018 Est.	1,881.0	0.0	432.1	2,313.1		14.9	24.3	14.9	24.3
-----Projected by DOB ⁵ -----									
2019	1,982.6	0.0	432.1	2,414.7		15.6	25.3	15.6	25.3
2020	2,093.0	0.0	432.1	2,525.1		16.6	26.3	16.6	26.3
2021	2,316.7	0.0	432.1	2,748.8		17.6	27.3	17.6	27.3
2022	2,530.6	0.0	399.8	2,930.4		18.6	28.3	18.6	28.3
2023	2,556.6	0.0	331.3	2,887.9		18.7	29.3	18.7	29.3
2024	2,582.7	0.0	240.1	2,822.8		18.5	29.1	18.5	29.1
2025	2,609.0	0.0	126.4	2,735.4		18.3	28.7	18.3	28.7
2026	2,635.0	0.0	42.2	2,677.2		18.1	28.3	18.1	28.3

¹ Pension contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in ERS and PFRS. This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.⁷ All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.8 percent to 3.8 percent and for PFRS the scale was reduced from 5.4 percent to 4.5 percent.

FY 2018 Projections

The State's FY 2018 ERS/PFRS pension estimate of \$2.3 billion is based on the most recent bill prepared by OSC as of February 2017. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals (i.e. amortizations) and additional interest savings from paying the majority of the pension bill in April 2017.

The preliminary FY 2018 ERS/PFRS pension estimate is impacted by FY 2016 investment returns of 0.2 percent, which was below the Comptroller's assumed rate of return (7 percent). However, the past year's underperformance is offset by stronger investment returns in the previous four years and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease slightly from 15.5 percent of payroll to 15.3, while the average contribution rate for PFRS will increase slightly from 24.3 percent of payroll to 24.4 percent.⁸

Pension estimates also reflect changes to military service credit provisions enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may receive extra pension credit for up to three years of military service if they were honorably discharged, have achieved five years of service in a public retirement system, and agree to pay the employee share of such additional pension credit. Costs to the State for employees in ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed

⁷ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both the State University of New York (SUNY) and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

⁸ Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the previous table.

across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs will first be billed in FY 2019). Additionally, under Section 25 of Retirement and Social Security Law (RSSL), the State is required to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The cost to the State for ERS (including the costs covered for local ERS) was \$77 million in FY 2017 based on actual credit purchased through December 31, 2016. DOB currently estimates ERS costs of \$100 million in FY 2018; \$79 million in FY 2019; and \$49 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as new cohorts of veterans become eligible to purchase the credit.

Outyear Projections

Pension estimates for FY 2019 and beyond, as projected by DOB, reflect growth in normal costs primarily based on the expectation that collective bargaining will result in continued salary increases and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in NYSHIP, or are enrolled in the NYSHIP opt-out program, at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a PAYGO basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2017, the State's Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2017, the unfunded actuarial accrued liability for FY 2017 is \$87.3 billion (\$72.830 billion for the State and \$14.427 billion for SUNY), an increase of \$9.4 billion from FY 2016 (attributable entirely to the State). The unfunded actuarial accrued liability for FY 2016 used an actuarial valuation of OPEB liabilities as of April 1, 2016 for the State and April 1, 2014 for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth

in the State's unfunded actuarial accrued liability has been driven by the reduction of the discount rate from 3.155 to 2.637 percent, calculated as the average STIP rate for the past 20 years at the time of valuation. The decline in the discount rate increases the present value of projected benefit obligation.

The actuarially determined annual OPEB cost for FY 2017 totaled \$4.2 billion (\$3.242 billion for the State and \$923 million for SUNY), a decline of \$7 million from FY 2016 (\$3.246 billion for the State and \$926 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.4 billion (\$1.795 billion for the State and \$639 million for SUNY) greater than the cash payments for retiree costs made by the State in FY 2017. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2017 by \$2.4 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Updated Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Updated Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The State is also currently examining GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, and is expected to be incorporated into the State's FY 2019 financial statements. The GASB Statement 75 will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the unfunded net OPEB obligation to be reported by the State. The inclusions of the remaining balance of the unfunded OPEB liability is expected to significantly increase the State's total long-term liabilities and act to lower the State's overall net position.

GASB Statement 75 is not expected to alter the Updated Financial Plan PAYGO projections for health insurance, as the DOB methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement. The Enacted Budget includes legislation to establish a Retiree Health Benefit Trust Fund for the purpose of funding health benefits of retired State employees and their dependents.

Retiree Health Benefit Trust

The Enacted Budget includes legislation creating a Retiree Health Benefit Trust Fund (the “Trust Fund”) that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit up to 0.5 percent of total OPEB liability (currently \$72.8 billion for the State and \$14.4 billion for SUNY). The Updated Financial Plan does not include any deposits to the Trust Fund.

Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Updated Financial Plan.

Storm Recovery

New York State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across New York State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful to guard against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems and the costs of remedying any such damage could be substantial.

Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Implementation of the Updated Financial Plan is dependent on the State's ability to market bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt.

Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt to capital purposes only, and for a maximum term of 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014. DOB, as administrator of the Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2016).

Current projections anticipate that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$6.1 billion in FY 2017 to about \$88 million in FY 2021. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected room under the debt cap is dependent on expected growth for State personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit (which are not backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2017	1,194,096	4.00%	47,764	41,623	6,141	3.49%	0.51%	7,999	49,622
FY 2018	1,253,809	4.00%	50,152	45,220	4,932	3.61%	0.39%	6,710	51,930
FY 2019	1,307,717	4.00%	52,309	49,605	2,704	3.79%	0.21%	5,804	55,409
FY 2020	1,368,287	4.00%	54,731	53,840	892	3.93%	0.07%	4,937	58,777
FY 2021	1,430,765	4.00%	57,231	57,143	88	3.99%	0.01%	3,461	60,603
FY 2022	1,495,424	4.00%	59,817	59,649	168	3.99%	0.01%	2,829	62,477

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2017	156,372	5.00%	7,819	4,279	3,540	2.74%	2.26%	1,206	5,484
FY 2018	161,863	5.00%	8,093	4,530	3,563	2.80%	2.20%	747	5,277
FY 2019	166,628	5.00%	8,331	5,134	3,198	3.08%	1.92%	1,294	6,428
FY 2020	170,170	5.00%	8,509	5,710	2,799	3.36%	1.64%	1,381	7,091
FY 2021	172,198	5.00%	8,610	6,156	2,454	3.57%	1.43%	1,201	7,356
FY 2022	174,819	5.00%	8,741	6,498	2,243	3.72%	1.28%	748	7,246

The State's available debt capacity under its statutory debt cap reflects the impact of several factors since the Enacted Budget Financial Plan. These include a reduction to the personal income forecast, actual bond sale results to date, and adjustment of debt issuances to align with projected bond-financed capital spending. Debt capacity amounts continue to assume that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit within one year of their call dates. The impact on the debt cap is shown in the following chart.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY (millions of dollars)						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
Enacted Budget Financial Plan	6,188	5,170	2,137	544	82	490
Personal Income Forecast Adjustment	(47)	(204)	(202)	(186)	(195)	(195)
Debt Issuances Adjustment	0	(34)	769	534	201	(127)
First Quarterly Update Financial Plan	6,141	4,932	2,704	892	88	168

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2017, there were approximately \$220 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$85 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$14 million in FY 2018, \$28 million annually in FY 2019 through FY 2021, and \$22 million in FY 2022. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$9 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds ("PIT Bonds"), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with the FPG Cobble Hill Acquisitions, LLC (the "Purchaser"), an affiliate of Fortis Property Group, LLC ("Fortis") (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center, which will provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial

closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Title to 17 of the 20 properties was conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. In its efforts to complete the demolitions and environmental remediation, the Purchaser is addressing issues raised by adjoining property owners and community groups. These challenges have delayed, and may continue to delay, demolition and environmental remediation.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other things, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the healthcare services expected to be provided by NYU Hospitals Center.

To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement. As an alternative to termination, in light of the delays, each of Holdings and NYU Hospitals Center has the contractual right at any time to take over and complete the demolition and environmental remediation at the Purchaser's sole cost and expense. If Holdings elects to take over the demolition and environmental remediation, it may do so directly or through a designee (i.e., a contractor).

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, title to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



State Financial Plan Projections Fiscal Years 2018 Through 2021

Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FY 2018 through FY 2021, with an emphasis on the FY 2018 projections, which reflect the impact of the Updated Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 38 percent of projected State-financed spending for State Operating Funds (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, transportation, and mental hygiene. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of this Updated Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2018 budget, FY 2019, is the most relevant from a planning perspective.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Summary

The Updated Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent spending growth benchmark.

The projections for FY 2019 and thereafter set forth in the Updated Financial Plan reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Updated Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Updated Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” The total disbursements in the Updated Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2019, FY 2020, and/or FY 2021, the projected operating position could decline.

The following tables present the Updated Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RECEIPTS					
Taxes (After Debt Service)	62,264	66,460	68,776	71,440	73,831
Miscellaneous Receipts/Federal Grants	3,813	2,505	2,131	2,135	2,058
Other Transfers	818	1,213	736	720	720
Total Receipts	66,895	70,178	71,643	74,295	76,609
DISBURSEMENTS					
Local Assistance	44,439	47,081	50,169	53,186	55,863
School Aid	21,017	22,323	23,438	24,519	25,783
Medicaid/EP	12,447	13,366	14,227	15,318	16,170
All Other	10,975	11,392	12,504	13,349	13,910
State Operations	8,087	8,222	8,773	9,167	9,650
Personal Service	6,065	5,976	6,239	6,490	6,927
Non-Personal Service	2,022	2,246	2,534	2,677	2,723
General State Charges	5,462	5,784	6,320	6,783	7,344
Transfers to Other Funds	10,092	10,146	12,126	12,251	12,161
Debt Service	924	916	1,144	1,042	1,067
Capital Projects	2,569	2,623	4,047	3,869	3,488
State Share of Mental Hygiene Medicaid	1,239	1,301	1,231	1,119	1,119
SUNY Operations	996	1,015	1,005	1,001	1,001
All Other	4,364	4,291	4,699	5,220	5,486
Total Disbursements	68,080	71,233	77,388	81,387	85,018
Use (Reservation) of Fund Balance:	1,185	1,055	1,662	1,087	653
Community Projects	7	17	0	0	0
Labor Agreements	140	(130)	0	0	0
Undesignated Fund Balance	73	14	0	0	0
Extraordinary Monetary Settlements ¹	965	1,154	1,662	1,087	653
BUDGET SURPLUS/(GAP) PROJECTIONS²	0	0	(4,083)	(6,005)	(7,756)
Adherence to 2% Spending Benchmark³	n/a	n/a	3,242	5,968	8,156
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(841)	(37)	400

¹ Reflect transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

² Before actions to adhere to the 2 percent benchmark.

³ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2018 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

State Financial Plan Projections Fiscal Years 2018 Through 2021



State Operating Funds Projections

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RECEIPTS					
Taxes	72,989	76,596	79,884	83,135	85,805
Miscellaneous Receipts/Federal Grants	21,830	19,784	18,983	18,890	18,586
Total Receipts	94,819	96,380	98,867	102,025	104,391
DISBURSEMENTS					
Local Assistance	64,369	66,075	69,062	72,125	74,859
School Aid (School Year Basis)	24,689	25,727	26,827	27,950	29,260
DOH Medicaid ¹	18,243	19,085	20,120	21,109	21,922
Tobacco Funding of Local Medicaid Takeover	0	(103)	(329)	(327)	(371)
Transportation	4,977	5,027	5,078	5,174	5,239
STAR	3,139	2,630	2,520	2,453	2,437
Higher Education	2,874	2,800	3,134	3,197	3,248
Social Services	2,935	2,969	3,056	3,203	3,276
Mental Hygiene	2,461	2,487	2,955	3,234	3,447
All Other ²	5,051	5,453	5,701	6,132	6,401
State Operations	18,680	18,740	19,200	19,662	20,263
Personal Service	13,093	12,935	13,180	13,519	14,060
Non-Personal Service	5,587	5,805	6,020	6,143	6,203
General State Charges	7,634	8,059	8,656	9,195	9,826
Pension Contribution	2,446	2,540	2,647	2,761	2,990
Health Insurance	3,708	3,983	4,260	4,551	4,860
All Other	1,480	1,536	1,749	1,883	1,976
Debt Service	5,514	5,292	6,455	7,120	7,385
Capital Projects	2	2	0	0	0
Total Disbursements³	96,199	98,168	103,373	108,102	112,333
Net Other Financing Sources/(Uses)	364	332	(1,011)	(770)	(350)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	3,242	5,968	8,156
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(841)	(37)	400

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The EP is not a Medicaid program; however, State-funded support is managed within total DOH Medicaid Global Cap resources. In addition, total State share Medicaid funding includes the utilization of tobacco MSA proceeds which will be directly deposited to the MMIS Escrow Fund to cover a portion of local Medicaid growth.

² All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

³ Before actions to adhere to the 2 percent benchmark.

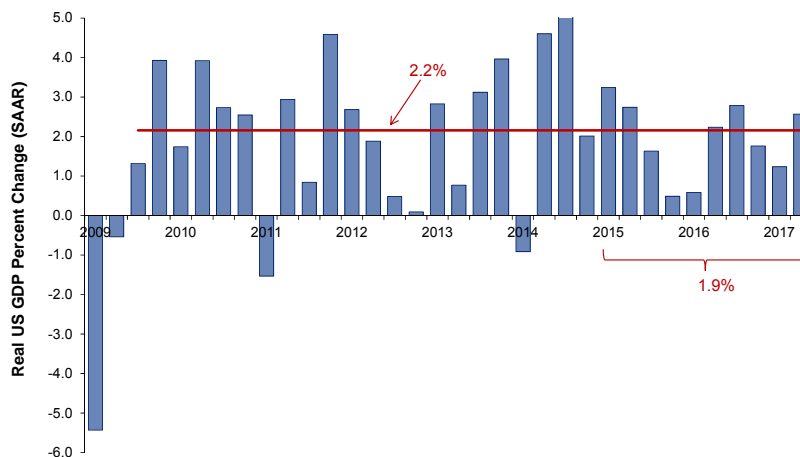
⁴ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2018 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, projected operating position would decline.

Economic Backdrop

The National Economy

Now in its ninth year, the U.S. economic expansion continues to plod ahead at a pace of about 2 percent, in little danger of losing its standing as the weakest of the postwar era. Based on the most recent data published by the U.S. Bureau of Economic Analysis (BEA), the national economy exhibited average quarterly growth of 1.9 percent in the first half of 2017, identical to the Enacted Budget forecast. The most recent data indicate that household spending and business investment were stronger than estimated, while the housing market and inventories were weaker. Stronger average quarterly growth of 2.6 percent is expected for the second half of 2017, with the help of improving business investment, single-family home construction, and export growth. Real household spending growth averaging 2.4 percent is expected for the second half, supported by steady gains in employment, real disposable income, and household wealth, although decelerating light-vehicle sales pose a risk. The national economy is estimated to grow 2.2 percent in 2017, well above 2016's subpar growth of 1.5 percent, but no better than its post-recession average.

US Economic Growth Remains Stuck in Low Gear



Source: Moody's Analytics.

Consistent with the Enacted Budget forecast, the national private sector labor market saw average monthly gains of 170,000 jobs during the first half of 2017, matching the average for all of 2016. Employment growth is expected to slow further as the expansion matures, with average monthly gains of 155,000 expected for the remainder of the year, more than sufficient to continue to bring the unemployment rate down. Although the conventional unemployment rate has returned to its pre-recession low, broader measures of under-employment, including the percentage of the workforce working part-time, remain elevated, an indication that some labor market slack remains. From this we conclude that the labor market still has room to run. Total nonagricultural employment growth of 1.5 percent is projected for 2017, virtually unchanged from the Enacted Budget forecast, but a significant deceleration from 1.8 percent growth in 2016.

State Financial Plan Projections Fiscal Years 2018 Through 2021



DOB's baseline forecast continues to abstract from future fiscal policy changes at the federal level due to the substantial degree of uncertainty that exists at this time. However, expectations pertaining to tax policy changes can have a substantial impact on taxpayer behavior independent of the direct impact of such changes. In the immediate aftermath of the election, anticipation began to mount that the new Congress and administration would be enacting Federal PIT rate reductions effective in 2017. In response, some wage payments were delayed from the fourth quarter of 2016 into the first quarter of 2017. Although this shifting was easily observable in New York State withholding data, it was never fully reflected in BEA national wage and income data until the end of July. The most recent national estimates reflect a downward revision to 2016Q4 wages from a decline of 1.4 percent to a decline of 3.4 percent, while 2017Q1 wage growth was revised from up 4.0 percent to 6.2 percent. DOB projects wage growth of 3.3 percent for 2017, following downwardly revised growth of 2.9 percent for 2016, and overall personal income growth of 3.7 percent for 2017, following growth of 2.4 percent for 2016.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2016 (Actual)	2017 (Forecast)	2018 (Forecast)
Real U.S. Gross Domestic Product	1.5	2.2	2.4
CPI	1.3	1.9	1.8
Personal Income	2.4	3.7	4.5
Nonagricultural Employment	1.8	1.5	1.3

Source: Moody's Analytics; DOB staff estimates.

The national housing market saw strong growth in both the fourth quarter of 2016 and the first quarter of 2017. However, housing starts fell for three consecutive months from March to May before rising once again in June. This pattern suggests that new residential construction was pulled forward due to our unusually warm winter weather. With continued growth in home prices and the revival of housing starts in June, residential investment can be expected to return to growth in the third quarter, but at a weaker pace than implied by the Enacted Budget forecast. DOB now estimates that real residential fixed investment will grow a downwardly revised 4.5 percent for 2017, down from 5.5 percent growth in 2016.

Improving global growth, a weakening dollar, and stronger corporate earnings all point to stronger growth in global demand for U.S. exports going forward. However, the export data through the first five months of this year have been weaker than projected in the Enacted Budget forecast. As a result, real export growth for 2017 has been revised down to 3.0 percent, an improvement from a 0.3 percent decline in 2016. Meanwhile, non-residential fixed investment growth in the first quarter of 2017 was the strongest in five years, mainly fueled by the energy production sector. Indeed, the oil rig count grew for 13 consecutive months through June, 2017. Capital spending in the oil production industry is expected to maintain its momentum even as oil prices continue to fluctuate near \$50 per barrel. DOB expects growth in business fixed investment of 4.4 percent for 2017, following a decline of 0.6 percent for 2016.

Inflation pressure had been building up coming out of 2016. As expected, the Federal Open Market Committee decided to raise the federal funds rate by 25 base points during its June meeting. However, energy prices started to shift downward in the second quarter of 2017, and other transitory factors, such as price declines in wireless telephone and medical care services, have also pushed inflation down. Consequently, DOB expects inflation to take a more gradual path towards its long term expectation of 2.0 percent. Consumer price inflation of 1.9 percent is estimated for 2017, five-tenths of a percentage point lower than the Enacted Budget forecast.

DOB continues to expect another short-term interest rate hike at the end of 2017, before which the Federal Reserve is expected to begin implementing a balance sheet normalization program that gradually reduces the Federal Reserve's securities holdings by decreasing reinvestment of principal payments from those securities. After the June rate hike, longer term interest rates did not rise accordingly, resulting in a flattening of the yield curve. Balance sheet normalization is expected to lift long term interest rates and steepen the yield curve. Although a steeper yield curve could boost banking sector profits over the near-term, higher long-term interest rates represent a risk to the continued recovery of the housing market.

The potential for major changes in federal tax and expenditure policy represents both upside and downside risks to this forecast. Policies that stimulate more public or private business investment than anticipated could result in stronger growth in both the near-term and the long-term, particularly if those investments raise productivity growth. If recent above-trend labor market gains are more representative of true underlying strength than assumed, employment and income growth could exceed the current forecast. A stronger housing market than projected could have a similar result.

On the negative side, policies that substantially widen the federal budget deficit without enhancing productivity growth could result in both accelerating inflation and higher interest rates, which, in turn, could result in weaker household and business investment spending than anticipated. In addition, policies resulting in heightened international tensions could result in less global growth and diminished demand for U.S. exports relative to current projections. Similarly, any development that serves to undermine the upward momentum of the European and Chinese economies could result in weaker growth in U.S. exports, corporate profits, and equity market prices. Finally, the response of both domestic and global financial markets to the unwinding of the Federal Reserve's unprecedentedly accommodative policies continues to pose risks, particularly in light of the uncertainty stemming from the fiscal policy side.

State Financial Plan Projections Fiscal Years 2018 Through 2021



The New York State Economy

New York State private sector employment growth has continued to slow, in line with weak national and global growth. After 10 consecutive quarters of growth above 2 percent, the rate of private job gains fell to 1.8 percent in the second quarter of 2016, with the slowdown continuing through the remainder of 2016. Indeed, private sector job growth had slowed to 1.4 percent by the fourth quarter of last year, though growth accelerated to 1.6 percent in the first quarter of 2017, likely due to unusually warm winter weather. Nevertheless, private sector job growth for 2017 remains virtually unchanged from the Enacted Budget forecast at 1.4 percent, following 1.8 percent growth for 2016. Total employment growth of 1.3 percent is projected for 2017, after growth of 1.6 percent for 2016.

The post-election anticipation of lower PIT rates at the Federal level resulted in a marked shifting of wages, presumably in the form of bonus payouts, from the end of last year to the beginning of 2017. That pattern is now estimated to have resulted in wage growth of 6.0 percent in 2017Q1, slightly above the Enacted Budget estimate, following a fourth quarter decline of 1.1 percent, the latter almost a full percentage point below the Enacted Budget estimate. On balance, total State wage growth for FY 2017 has been revised down to 3.4 percent. However, based on the most recent data, FY 2017 finance and insurance sector bonus growth has been revised up to modest growth of 2.0 percent, although revisions to both bonus and non-bonus wages outside of the financial sector are downward.

The first half of 2017 saw a significant improvement in financial sector revenues relative to the same period in 2016. Following two consecutive annual declines, Initial Public Offerings (IPOs) grew 167 percent during the first half of 2017 on a year-ago basis and already exceed the value for all of 2016. However, given the extreme uncertainty governing the current environment, revenues for the second half of 2017 are not expected to be as impressive. As a result, finance and insurance sector bonus growth of only 4.4 percent is projected for FY 2018, unchanged from the Enacted Budget forecast. Overall wage growth of 4.2 percent is projected for FY 2018, virtually unchanged from the Enacted Budget forecast.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2016 (Actual)	FY 2017 (Estimated)	FY 2018 (Forecast)
Personal Income	3.8	3.2	4.7
Wages	4.3	3.4	4.2
Nonagricultural Employment	1.9	1.5	1.2

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.



State Financial Plan Projections Fiscal Years 2018 Through 2021

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. Under a still evolving regulatory environment, the pattern of Wall Street bonus payouts continues to shift, with payments now more widely dispersed throughout the year. Taxable payouts can represent both current-year awards and deferred payments from prior years, with the deferral ratio itself proving to be unstable. These risks are further amplified by recent actions taken by the Federal Reserve to normalize both interest rates and its balance sheet. In contrast, stronger national and global growth could result in stronger employment and wage growth than projected.

Finally, with federal tax policy in flux, and taxpayers strategically responding to anticipated changes in tax policy, the uncertainty surrounding the forecast for bonuses and various forms of taxable non-wage income is even further heightened. A moderate rebound in capital gains realizations of 12.5 percent is expected for the 2017 tax year, following a decline of close to 20 percent for 2016, as taxpayers deferred financial transactions in order to take advantage of an anticipated lower tax rate in 2017 that never materialized. However, should substantial reductions in federal tax rates for the nation's top income earners be enacted and scheduled to take effect January 1, 2018, yet another round of income shifting could ensue, creating downside risk to income and tax revenue estimates related to the 2017 tax year.

Receipts

Enacted Budget Financial Plan receipts include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll mobility tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies that collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2018 are projected to total \$161.9 billion, an increase of 3.5 percent from FY 2017 preliminary results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
Personal Income Tax	47,565	49,383	3.8%	51,873	5.0%	53,918	3.9%	55,465	2.9%
Consumption/Use Taxes	16,212	16,857	4.0%	17,465	3.6%	18,023	3.2%	18,594	3.2%
Business Taxes	6,979	7,969	14.2%	8,127	2.0%	8,587	5.7%	8,957	4.3%
Other Taxes	2,236	2,276	1.8%	2,311	1.5%	2,420	4.7%	2,535	4.8%
Payroll Mobility Tax	1,380	1,438	4.2%	1,503	4.5%	1,578	5.0%	1,645	4.2%
Total State Taxes	74,372	77,923	4.8%	81,279	4.3%	84,526	4.0%	87,196	3.2%
Miscellaneous Receipts	26,594	26,755	0.6%	26,694	-0.2%	26,306	-1.5%	25,422	-3.4%
Federal Receipts	55,406	57,187	3.2%	58,656	2.6%	59,342	1.2%	59,581	0.4%
Total All Funds Receipts	156,372	161,865	3.5%	166,629	2.9%	170,174	2.1%	172,199	1.2%

State tax receipts are estimated to increase 4.8 percent in FY 2018, with increases across all tax categories. The estimated rebound to moderate growth is due to the tax-cut and taxpayer behavior impacts that reduced FY 2017 collections but do not recur in FY 2018.

Consistent with the projected growth in the New York economy over the multi-year Financial Plan period beyond FY 2018, all tax categories are projected to exhibit growth.

After controlling for the impact of tax law changes, base tax revenue decreased 0.1 percent in FY 2017, and is projected to increase by 4.6 percent in FY 2018 and 4.7 percent in FY 2019.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	47,565	49,383	3.8%	51,873	5.0%	53,918	3.9%	55,465	2.9%
Gross Collections	56,517	59,310	4.9%	62,991	6.2%	65,995	4.8%	66,821	1.3%
Refunds (Incl. State/City Offset)	(8,952)	(9,927)	-10.9%	(11,118)	-12.0%	(12,077)	-8.6%	(11,356)	6.0%
GENERAL FUND¹	32,535	34,407	5.8%	36,385	5.7%	37,986	4.4%	39,214	3.2%
Gross Collections	56,517	59,310	4.9%	62,991	6.2%	65,995	4.8%	66,821	1.3%
Refunds (Incl. State/City Offset)	(8,952)	(9,927)	-10.9%	(11,118)	-12.0%	(12,077)	-8.6%	(11,356)	6.0%
STAR	(3,139)	(2,630)	16.2%	(2,520)	4.2%	(2,453)	2.7%	(2,385)	2.8%
RBTF	(11,891)	(12,346)	-3.8%	(12,968)	-5.0%	(13,479)	-3.9%	(13,866)	-2.9%

¹Excludes Transfers.

All Funds PIT receipts for FY 2018 are estimated to total \$49.4 billion, an increase of \$1.8 billion (3.8 percent) from FY 2017 results. This increase is driven by growth in withholding and estimated payments for tax year 2017. Growth in these categories is partially offset by declines in final returns and extension payments attributable to the 2016 tax year, in addition to an increase in total refunds.

The following table summarizes, by component, actual receipts for FY 2017 and forecast amounts through FY 2021.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
(millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Updated	Projected	Projected	Projected
Receipts					
Withholding	37,524	39,459	41,314	42,557	43,543
Estimated Payments	14,972	15,924	17,521	19,069	18,712
Current Year	10,912	12,428	13,379	14,392	13,233
Prior Year ¹	4,060	3,496	4,142	4,677	5,479
Final Returns	2,588	2,511	2,669	2,818	2,978
Current Year	260	274	289	304	319
Prior Year ¹	2,328	2,237	2,380	2,514	2,659
Delinquent	1,433	1,416	1,487	1,551	1,588
Gross Receipts	56,517	59,310	62,991	65,995	66,821
Refunds					
Prior Year ¹	5,199	6,115	6,698	7,239	7,693
Previous Years	474	500	525	555	585
Current Year ¹	1,750	1,750	1,750	1,750	1,750
Advanced Credit Payment	678	689	1,247	1,709	479
State/City Offset ¹	851	873	898	824	849
Total Refunds	8,952	9,927	11,118	12,077	11,356
Net Receipts	47,565	49,383	51,873	53,918	55,465

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2018 is estimated to be \$1.9 billion (5.2 percent) higher than FY 2017 results, driven by moderate wage growth partially associated with improved bonus growth. Extension payments related to tax year 2016 are expected to decline by \$564 million (13.9 percent), primarily due to declines in capital gains resulting, in part, from taxpayer uncertainty regarding potential tax year 2017 Federal tax rate cuts. Estimated payments for tax year 2017 are projected to grow \$1.5 billion (13.9 percent), driven by nonwage income growth of 9.5 percent, including 13.2 percent growth in net capital gains. FY 2018 final return payments and delinquencies are projected to decline by \$77 million (3 percent) and \$17 million (1.2 percent), respectively.

The projected growth in total refunds of \$975 million (10.9 percent) includes increases of \$916 million (17.6 percent) in prior (tax year 2016) refunds, \$26 million (5.5 percent) in previous (tax year 2015 and earlier) refunds, \$11 million (1.6 percent) in advanced credit payments related to tax year 2017, and \$22 million (2.6 percent) in the state-city offset.

State Financial Plan Projections Fiscal Years 2018 Through 2021



General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2018 of \$34.4 billion are estimated to increase by \$1.9 billion (5.8 percent) from FY 2017 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$12.3 billion and the STAR transfer is projected to be \$2.6 billion.

All Funds PIT receipts for FY 2019 of \$51.9 billion are projected to increase by \$2.5 billion (5 percent) from FY 2018 estimates. Gross PIT receipts are projected to increase 6.2 percent, reflecting withholding that is projected to grow by \$1.9 billion (4.7 percent) and estimated payments related to tax year 2018 that are projected to grow by \$951 million (7.7 percent). Payments from extensions for tax year 2017 are projected to increase by \$646 million (18.5 percent), reflecting the taxpayer behavior related to potential Federal tax rate changes noted earlier. Final returns are expected to increase by \$158 million (6.3 percent) and delinquencies are projected to increase \$71 million (5 percent) from the prior year. Total refunds are projected to increase by \$1.2 billion (12 percent) from the prior year, primarily due to the property tax relief credit enacted in 2015 and the recent conversions of New York City STAR benefits into State tax credits.

General Fund PIT receipts for FY 2019 of \$36.4 billion are projected to increase by \$2 billion (5.7 percent). RBTF deposits are projected to be \$13 billion, and the STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts for FY 2020 of \$53.9 billion are projected to increase by over \$2 billion (3.9 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 4.8 percent, reflecting withholding that is projected to grow by \$1.2 billion (3 percent). The relatively low growth rate reflects the expiration of the Enacted Budget two-year high-income surcharge extension through tax year 2019. Estimated payments related to tax year 2019 are projected to grow by \$1 billion (7.6 percent), payments from extensions for tax year 2018 are projected to increase by \$535 million (12.9 percent), and final returns are expected to increase by \$149 million (5.6 percent). Delinquencies are projected to increase \$64 million (4.3 percent) from the prior year. Total refunds are projected to increase by \$959 million (8.6 percent) from the prior year.

General Fund PIT receipts for FY 2020 of \$38 billion are projected to increase by \$1.6 billion (4.4 percent). RBTF deposits are projected to be \$13.5 billion, and the STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts in FY 2021 are projected to increase by over \$1.5 billion to \$55.5 billion, while General Fund PIT receipts are projected to total \$39.2 billion. This projected modest growth is driven by the expiration of the high-income surcharge rate extension in tax year 2020, combined with continued phase-in of the FY 2017 Enacted Budget middle income tax cuts.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	16,212	16,857	4.0%	17,465	3.6%	18,023	3.2%	18,594	3.2%
Sales Tax	13,869	14,580	5.1%	15,164	4.0%	15,760	3.9%	16,365	3.8%
Cigarette and Tobacco Taxes	1,236	1,190	-3.7%	1,150	-3.4%	1,104	-4.0%	1,061	-3.9%
Motor Fuel Tax	519	515	-0.8%	512	-0.6%	507	-1.0%	504	-0.6%
Highway Use Tax	138	96	-30.4%	142	47.9%	142	0.0%	143	0.7%
Alcoholic Beverage Taxes	258	262	1.6%	267	1.9%	272	1.9%	276	1.5%
Medical Marijuana Excise Tax	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Taxicab Surcharge	64	64	0.0%	64	0.0%	64	0.0%	64	0.0%
TNC Assessment	0	12	0.0%	24	100.0%	24	0.0%	24	0.0%
Auto Rental Tax	127	137	7.9%	141	2.9%	149	5.7%	156	4.7%
GENERAL FUND¹	7,101	7,436	4.7%	7,719	3.8%	7,993	3.5%	8,271	3.5%
Sales Tax	6,483	6,819	5.2%	7,094	4.0%	7,374	3.9%	7,658	3.9%
Cigarette and Tobacco Taxes	360	343	-4.7%	334	-2.6%	323	-3.3%	313	-3.1%
Alcoholic Beverage Taxes	258	262	1.6%	267	1.9%	272	1.9%	276	1.5%
TNC Assessment	0	12	0.0%	24	100.0%	24	0.0%	24	0.0%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2018 are estimated to be nearly \$16.9 billion, a \$645 million (4 percent) increase from FY 2017 results. Sales tax receipts are estimated to increase \$711 million (5.1 percent) from the prior year, reflecting base growth (i.e., absent law changes) of 5 percent. This base growth stems from estimated disposable income and consumption growth. Cigarette and tobacco tax collections are estimated to decrease by \$46 million (3.7 percent). This mainly reflects an expected return to a trend decline in taxable cigarette consumption. This is due in part to collections from enforcement efforts of the Cigarette Strike Force. Highway use tax (HUT) collections are estimated to decrease by \$42 million (30.4 percent) due to an increase in refund payments of \$44.4 million as a result of the Independent Owner Operator Drivers Association v. New York Department of Taxation and Finance court decision that lowered HUT registration and decal fees (per truck) from \$19 to \$1.50. Motor fuel tax collections are estimated to decrease by \$4 million (0.8 percent), reflecting an increase in refund payments partially offset by slight growth in both taxable motor fuel and diesel fuel consumption. The newly enacted Transportation Network Company (TNC) assessment is estimated to generate \$12 million in All Funds receipts, as it will only be in effect for part of the fiscal year. Auto rental Tax receipts are estimated to increase by \$10 million (7.9 percent).

State Financial Plan Projections Fiscal Years 2018 Through 2021



General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2018 are estimated to total over \$7.4 billion, a \$335 million (4.7 percent) increase from FY 2017 results. This increase largely reflects the All Funds sales and cigarette and tobacco tax trends noted above and the partial impact of the newly enacted TNC assessment.

All Funds consumption/use tax receipts for FY 2019 are projected to be nearly \$17.5 billion, a \$608 million (3.6 percent) increase from FY 2018. The projected \$584 million (4 percent) increase in sales tax receipts reflects sales tax base growth of 3.4 percent, lower than the prior fiscal year. Consumption of taxable services and disposable income are projected to grow at a slower pace than in FY 2018. The TNC assessment in its first fully effective year is projected to generate \$24 million in FY 2019. The projected \$46 million (47.9 percent) increase in HUT collections are due to lower refund payments as they return to long-term trend levels following the prior year's significant increase as a result of the court decision noted above. A continued trend decline in taxable cigarette consumption is also projected.

General Fund consumption/use tax receipts are projected to total over \$7.7 billion in FY 2019, a \$283 million (3.8 percent) increase from FY 2018. The projected increase largely reflects the All Funds sales and cigarette and tobacco tax trends noted above and the first full year impact of the TNC assessment.

All Funds consumption/use tax receipts for FY 2020 are projected to be \$18 billion, a \$558 million (3.2 percent) increase from FY 2019. The projected \$596 million (3.9 percent) increase in sales tax receipts reflects sales tax base growth of 4 percent. The All Funds sales tax increase is slightly offset by a trend decline in taxable cigarette consumption. FY 2020 General Fund consumption/use tax receipts are projected to increase to nearly \$8 billion, a \$274 million (3.5 percent) increase from FY 2019.

All Funds consumption/use tax receipts are projected to reach nearly \$18.6 billion (3.2 percent growth) in FY 2021, largely representing base growth in sales tax receipts, slightly offset by a continued trend decline in taxable cigarette consumption.

General Fund consumption/use tax receipts are projected to increase to nearly \$8.3 billion (3.5 percent growth) in FY 2021, reflecting the All Funds trends noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	6,979	7,969	14.2%	8,127	2.0%	8,587	5.7%	8,957	4.3%
Corporate Franchise Tax	3,166	4,175	31.9%	4,373	4.7%	4,823	10.3%	5,123	6.2%
Corporation and Utilities Tax	720	765	6.3%	744	-2.7%	754	1.3%	764	1.3%
Insurance Tax	1,580	1,616	2.3%	1,745	8.0%	1,828	4.8%	1,965	7.5%
Bank Tax	389	328	-15.7%	143	-56.4%	71	-50.3%	0	-100.0%
Petroleum Business Tax	1,124	1,085	-3.5%	1,122	3.4%	1,111	-1.0%	1,105	-0.5%
GENERAL FUND	4,761	5,718	20.1%	5,770	0.9%	6,190	7.3%	6,522	5.4%
Corporate Franchise Tax	2,476	3,406	37.6%	3,524	3.5%	3,924	11.4%	4,187	6.7%
Corporation and Utilities Tax	538	585	8.7%	563	-3.8%	569	1.1%	575	1.1%
Insurance Tax	1,410	1,447	2.6%	1,561	7.9%	1,637	4.9%	1,760	7.5%
Bank Tax	337	280	-16.9%	122	-56.4%	60	-50.8%	0	-100.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2018 are estimated to total nearly \$8 billion, an increase of \$990 million (14.2 percent) from FY 2017 results. The estimate reflects increases for the corporation franchise tax, corporation and utilities tax and insurance tax partially offset by decreases in the bank tax and the petroleum business tax (PBT).

Corporation franchise tax receipts are estimated to increase \$1 billion (31.9 percent) in FY 2018, reflecting a rebound in gross receipts and audits. FY 2017 results were negatively impacted by a cut in the business income tax rate from 7.1 to 6.5 percent as well as a shortfall in cash remittances on tax year 2015 final returns. This lack of March 2017 cash remittances indicated taxpayers significantly overpaid on 2015 liability during previous estimated payment events. This is not expected to be repeated when tax year 2016 final returns are submitted in FY 2018. Audit receipts are estimated to be significantly higher in FY 2018 (\$288 million) as a greater number of large cases are expected to be closed.

Corporation and utilities tax receipts are estimated to increase \$45 million (6.3 percent) in FY 2018. Gross receipts are expected to increase from FY 2017 results as a result of late filings of mandatory first installment payments. The 2017 mandatory first installment was due March 15, but several taxpayers filed these payments in April 2017. Audits are expected to decline slightly.

Insurance tax receipts for FY 2018 are estimated to increase \$36 million (2.3 percent) from FY 2017 results. Projected growth in tax year 2017 liability is partially offset by higher refunds as life insurers continue to claim the tax credit for assessments paid to the Life Insurance Guaranty Corporation (LIGC). The LIGC exists to protect policyholders from the insolvency of their life insurers. This is the second year of refund claims for the credit for assessments paid earlier.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Receipts from the repealed bank tax (all from prior liability periods) are estimated to decrease by \$61 million in FY 2018. This decrease stems from lower audit receipts (\$99 million) partially offset by lower prior period adjustments.

PBT receipts are estimated to decrease \$39 million (3.5 percent) in FY 2018, primarily due to the 5 percent decrease in the PBT rate index effective January 2017. This decline is partially offset by estimated slight growth in both taxable motor fuel and diesel fuel consumption and the estimated 5 percent increase in the PBT rate index effective January 2018.

General Fund business tax receipts for FY 2018 of \$5.7 billion are estimated to increase \$957 million (20.1 percent) from FY 2017 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2019 of \$8.1 billion are projected to increase by \$158 million (2 percent) from the current year. The increase in corporation franchise tax receipts of \$198 million (4.7 percent) reflects projected growth in corporate profits. The corporation and utilities tax receipts decline of \$21 million (2.7 percent) is attributable to the one-time late filings (April 2017) that are not expected to repeat in FY 2019.

Insurance tax receipts for FY 2019 of over \$1.7 billion are projected to increase \$129 million (8 percent) from the current year. Projected growth in insurance tax premiums combined with lower expected LIGC credit claims contribute to this year-over-year growth. Bank tax receipts are projected to decrease by \$185 million (56.4 percent), due to lower projected audit receipts. PBT receipts are projected to increase \$37 million (3.4 percent) in FY 2019, primarily due to the estimated 5 percent increase in the PBT rate index effective January 2018 and a projected 4.7 percent increase in the PBT rate index effective January 2019. These increases are partially offset by a projected slight decline in taxable motor fuel and diesel fuel consumption.

General Fund business tax receipts for FY 2019 of nearly \$5.8 billion are projected to increase \$52 million (0.9 percent), reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of \$8.6 billion are projected to increase by \$460 million (5.7 percent), and General Fund business tax receipts are projected to increase to \$6.2 billion (7.3 percent growth) from the previous year. The projection primarily reflects growth in the corporation franchise tax driven by higher gross receipts and lower refunds. Increases in the corporation and utilities and insurance taxes are offset by declines in the bank and PBT.

All Funds business tax receipts for FY 2021 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2021, All Funds business tax receipts are projected to increase to nearly \$9 billion (4.3 percent growth), and General Fund business tax receipts are projected to increase to \$6.5 billion (5.4 percent growth).

Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE/ALL FUNDS	2,236	2,276	1.8%	2,311	1.5%	2,420	4.7%	2,535	4.8%
Estate Tax	1,091	1,052	-3.6%	1,033	-1.8%	1,092	5.7%	1,155	5.8%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Estate Transfer Tax	1,126	1,204	6.9%	1,258	4.5%	1,308	4.0%	1,360	4.0%
Pari-Mutuel Taxes	16	17	6.3%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,110	1,072	-3.4%	1,053	-1.8%	1,112	5.6%	1,175	5.7%
Estate Tax	1,091	1,052	-3.6%	1,033	-1.8%	1,092	5.7%	1,155	5.8%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	16	17	6.3%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2018 are estimated to be nearly \$2.3 billion, an increase of \$40 million (1.8 percent) from FY 2017 results. This largely reflects an estimated decrease in estate tax receipts of \$39 million (3.6 percent) from the continued phase-in of the increased filing threshold, partially offset by an estimated increase in real estate transfer tax receipts of \$78 million (6.9 percent) primarily due to projected growth in both housing starts and housing prices.

General Fund other tax receipts are estimated to be just under \$1.1 billion in FY 2018, a decrease of \$38 million (3.4 percent) from FY 2017 results, reflecting the decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2019 are projected to be over \$2.3 billion, an increase of \$35 million (1.5 percent) from FY 2018. Estate tax receipts are projected to decrease by \$19 million (1.8 percent) reflecting the continuation of the phase-in of the increased filing threshold, partially offset by projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$54 million (4.5 percent), reflecting projected growth in housing starts and housing prices.

General Fund other tax receipts for FY 2019 are projected to decrease by \$19 million (1.8 percent) due to the projected decline in estate tax receipts noted above.

State Financial Plan Projections Fiscal Years 2018 Through 2021



All Funds other tax receipts for FY 2020 are projected to be over \$2.4 billion, a \$109 million (4.7 percent) increase from FY 2019. Estate tax receipts are projected to increase by \$59 million (5.7 percent) reflecting projected growth in household net worth and the conclusion of the phase-in of the increased filing threshold. Real estate transfer tax receipts are projected to increase by \$50 million (4 percent), reflecting projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to increase by \$59 million (5.6 percent), due to the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 reflect projected trend growth in household net worth, housing starts, and housing prices. FY 2021 All Funds other tax receipts are projected to increase by \$115 million (4.8 percent growth), and General Fund other tax receipts are projected to increase by \$63 million (5.7 percent growth), reflecting the household net worth trends noted above.

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, Extraordinary Monetary Settlements and a variety of fees and licenses.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
ALL FUNDS	26,594	26,755	0.6%	26,694	-0.2%	26,306	-1.5%	25,422	-3.4%
General Fund	3,813	2,505	-34.3%	2,131	-14.9%	2,135	0.2%	2,058	-3.6%
Special Revenue Funds	17,686	16,958	-4.1%	16,532	-2.5%	16,434	-0.6%	16,210	-1.4%
Capital Projects Funds	4,637	6,833	47.4%	7,573	10.8%	7,278	-3.9%	6,695	-8.0%
Debt Service Funds	458	459	0.2%	458	-0.2%	459	0.2%	459	0.0%

All Funds miscellaneous receipts are estimated to total \$26.8 billion in FY 2018, an increase of 0.6 percent from FY 2017 results. This increase is primarily due to the impact of Extraordinary Monetary Settlements received in the General Fund during FY 2017, as described earlier in this Enacted Budget Financial Plan. In addition to the impact of Extraordinary Monetary Settlements, miscellaneous receipts are driven in part year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, and tuition income revenue.

All Funds miscellaneous receipts are projected to decline in all outyears in reflecting the impact of Extraordinary Monetary Settlements and a decrease in bond proceed reimbursements, which subsequently corresponds to the spend out of bond-financed capital projects.

Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
ALL FUNDS	55,406	57,187	3.2%	58,656	2.6%	59,342	1.2%	59,581	0.4%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	52,725	54,844	4.0%	56,154	2.4%	57,054	1.6%	57,337	0.5%
Capital Projects Funds	2,608	2,270	-13.0%	2,429	7.0%	2,215	-8.8%	2,171	-2.0%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are projected to grow to \$59.6 billion by FY 2021, primarily reflecting the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to continuing administration and Congressional authorization, appropriations and budget action.

Many of the policies that drive Federal aid may be subject to change with the new presidential administration and Congress that began in January 2017. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and subsequently adopted by the new administration and Congress. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

Disbursements

Total disbursements in FY 2018 are estimated at \$71.3 billion in the State's General Fund (including transfers) and \$98.2 billion in total State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

Local Assistance Grants

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$66.1 billion in FY 2018, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	Forecast		
			FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
MEDICAID					
Individuals Covered	6,133,681	6,178,707	6,206,629	6,220,590	6,227,570
- Essential Plan	674,478	684,352	689,095	691,466	692,652
- Child Health Plus (Caseload)	333,531	359,855	369,605	375,230	377,386
State Takeover of County/NYC Costs ¹	\$2,891	\$3,228	\$3,565	\$3,889	\$4,212
EDUCATION					
School Aid (School Year Basis Funding)	\$24,689	\$25,727	\$26,827	\$27,950	\$29,260
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	562,873	574,523	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	285,920	293,473	N/A	N/A	N/A
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	234,902	230,387	227,493	224,803	222,161
Safety Net Program (Families)	123,264	121,194	119,638	118,217	116,832
Safety Net Program (Singles)	204,947	207,139	209,728	212,134	214,779
MENTAL HYGIENE					
OMH Community Beds	43,077	44,526	46,957	48,057	48,257
OPWDD Community Beds	42,737	43,165	43,596	44,032	44,472
OASAS Community Beds	13,370	13,491	13,532	13,672	13,707
Total	99,184	101,182	104,085	105,761	106,436
PRISON POPULATION					
	51,300	51,000	51,000	51,000	51,000

¹ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to increase by \$1.0 billion (4.2 percent) in SY 2018, including a \$700 million Foundation Aid increase. A Community Schools set-aside of \$150 million within Foundation Aid, a \$50 million increase from the prior year, provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$288 million supports increased reimbursement in expense-based aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Updated Financial Plan also provides \$50 million in new competitive grant programs, highlighted by a \$35 million investment to expand after-school programs targeted towards low-income students within high need communities, and \$5 million to expand prekindergarten for three- and four-year olds in high-need school districts. New York State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten programs.

School Aid is projected to increase by an additional \$1.1 billion (4.3 percent) in SY 2019, based largely on personal income growth.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) ¹									
(millions of dollars)									
	<u>SY 2017</u>	<u>SY 2018</u>	<u>Change</u>	<u>SY 2019</u>	<u>Change</u>	<u>SY 2020</u>	<u>Change</u>	<u>SY 2021</u>	<u>Change</u>
Total	24,689	25,727	1,038 4.2%	26,827	1,100 4.3%	27,950	1,123 4.2%	29,260	1,310 4.7%

¹School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.

State Fiscal Year

The State finances School Aid from General Fund, commercial gaming and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	24,351	25,762	5.8%	26,803	4.0%	27,923	4.2%	29,190	4.5%
General Fund Local Assistance	21,017	22,322	6.2%	23,438	5.0%	24,519	4.6%	25,783	5.2%
Core Lottery Aid	2,360	2,395	1.5%	2,294	-4.2%	2,288	-0.3%	2,291	0.1%
VLT Lottery Aid	957	925	-3.3%	868	-6.2%	888	2.3%	888	0.0%
Commercial Gaming - VLT Offset	4	42	950.0%	90	114.3%	70	-22.2%	70	0.0%
Commercial Gaming	13	78	500.0%	113	44.9%	158	39.8%	158	0.0%

State fiscal year spending for School Aid is projected to total \$25.8 billion in FY 2018. Over the multi-year Financial Plan, an increasing share of School Aid spending is projected to be financed by commercial gaming revenues as opposed to traditional core lottery sales, as described in greater detail below. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid have been supplemented by commercial gaming revenues in FY 2017, following the State's receipt of one-time licensing fees in FY 2016. These receipts are expected to increase in FY 2018 and the outyears, with gaming revenues shared by the State and commercial gaming facilities. Between December 2014 and August 2016, four casino resorts were recommended by the State's Gaming Facility Location Board and approved by the State Gaming Commission. Three of the four approved casinos have since opened and are in operation, and the fourth approved casino is expected to open in 2018. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	2,193	2,269	3.5%	2,385	5.1%	2,475	3.8%	2,619	5.8%
Special Education	1,317	1,338	1.6%	1,453	8.6%	1,571	8.1%	1,690	7.6%
All Other Education	876	931	6.3%	932	0.1%	904	-3.0%	929	2.8%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State's adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

FY 2018 Special Education spending is projected to increase by 1.6 percent over FY 2017 due, in part, to the timing of rate-setting related to these programs. All Other Education growth primarily reflects increased reimbursement of charter school supplemental basic tuition and facilities aid to New York City charter schools in privately-leased space.

Continued growth in FY 2019 and beyond for Special Education is attributable to increased State reimbursement to special education providers for minimum wage costs, funding for excessive teacher turnover prevention, and projected enrollment and cost growth in preschool and summer school special education programs.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$65,500 exemption in FY 2018. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2018 program costs are: the basic school property tax exemption or credit for homeowners with incomes under \$500,000 (58 percent); enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,000 (31 percent); and a credit for income-eligible resident New York City personal income taxpayers (11 percent). The Enacted Budget includes the conversion of the New York City PIT rate reduction benefit into a PIT tax credit, which will reduce and eventually eliminate it as a component of State Operating Funds spending. This change will have no impact on the value of the STAR benefit received by taxpayers.

STAR property tax exemption spending reflects reimbursements made to school districts to offset a reduction in the amount of property tax revenue collected from STAR-eligible homeowners. In FY 2017, the STAR exemption program began a gradual shift from a spending program into an advance refundable PIT credit program, with this change applying to first-time homebuyers and to homeowners who move. Likewise, this change will have no impact on the value of the STAR benefit received by homeowners.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	3,139	2,630	-16.2%	2,520	-4.2%	2,453	-2.7%	2,437	-0.7%
Gross Program Costs	3,330	3,457	3.8%	3,458	0.0%	3,509	1.5%	3,613	3.0%
Program Conversion	0	(277)	0.0%	0	100.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(191)	(492)	-157.6%	(938)	-90.7%	(1,056)	-12.6%	(1,176)	-11.4%
FY 2017 Overpayment ¹	0	(58)	0.0%	0	100.0%	0	0.0%	0	0.0%
Basic Exemption	1,695	1,672	-1.4%	1,636	-2.2%	1,592	-2.7%	1,582	-0.6%
Gross Program Costs	1,763	1,807	2.5%	1,839	1.8%	1,862	1.3%	1,920	3.1%
Personal Income Tax Credit	(68)	(135)	-98.5%	(203)	-50.4%	(270)	-33.0%	(338)	-25.2%
Enhanced (Senior) Exemption	916	903	-1.4%	884	-2.1%	861	-2.6%	855	-0.7%
Gross Program Costs	952	976	2.5%	993	1.7%	1,007	1.4%	1,037	3.0%
Personal Income Tax Credit	(36)	(73)	-102.8%	(109)	-49.3%	(146)	-33.9%	(182)	-24.7%
New York City PIT	528	55	-89.6%	0	-100.0%	0	0.0%	0	0.0%
Gross Program Costs	615	674	9.6%	626	-7.1%	640	2.2%	656	2.5%
Program Conversion	0	(277)	0.0%	0	100.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(87)	(284)	-226.4%	(626)	-120.4%	(640)	-2.2%	(656)	-2.5%
FY 2017 Overpayment ¹	0	(58)	0.0%	0	100.0%	0	0.0%	0	0.0%

¹ Conversion of the NYC Rate Reduction Benefit to Personal Income Credit pertains to 2017 tax year, as such, it was retroactively made effective to January 1, 2017.

Much of the spending decline projected for FY 2018 is due to a timing change involving when the NYC rate reduction benefit is paid out upon conversion to a PIT credit. STAR actions enacted with the FY 2017 budget, will result in reduced revenues in addition to the spending changes noted above. Projected revenue reductions will increase over the course of the Financial Plan as STAR actions are implemented, in particular those driven by the conversion of the New York City PIT rate reduction benefit.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	2,874	2,800	-2.6%	3,134	11.9%	3,197	2.0%	3,248	1.6%
City University	1,424	1,410	-1.0%	1,485	5.3%	1,509	1.6%	1,535	1.7%
Senior Colleges	1,176	1,151	-2.1%	1,230	6.9%	1,254	2.0%	1,280	2.1%
Community College	248	259	4.4%	255	-1.5%	255	0.0%	255	0.0%
Higher Education Services	958	898	-6.3%	1,149	28.0%	1,188	3.4%	1,213	2.1%
Tuition Assistance Program	896	774	-13.6%	954	23.3%	965	1.2%	972	0.7%
Scholarships/Awards	51	112	119.6%	183	63.4%	211	15.3%	229	8.5%
Aid for Part-Time Study	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
State University	492	492	0.0%	500	1.6%	500	0.0%	500	0.0%
Community College	487	487	0.0%	496	1.8%	496	0.0%	496	0.0%
Other/Cornell	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 403,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 324,000 students. Spending for SUNY is concentrated in State Operations and thus does not appear in the table above. State funds support a significant portion of SUNY and CUNY operations. In addition to a \$1.1 billion General Fund transfer to support operations, the State pays employee fringe benefit costs for SUNY⁹. The State support for SUNY fringe benefits is estimated at nearly \$1.8 billion. The State also provides a sizeable benefit to the university systems by paying debt service on bond-financed capital projects. State debt service payments for capital projects at SUNY and CUNY are estimated at \$1.3 billion in FY 2018, an increase of \$94 million from FY 2017 levels. Neither the fringe benefits nor debt service costs are reflected in annual spending totals for the university systems.

HESC administers the Tuition Assistance Program (TAP), which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

⁹ State support for CUNY fringe benefit costs are included in total spending for Senior Colleges and reflected in local assistance.



State Financial Plan Projections Fiscal Years 2018 Through 2021

Higher Education spending is projected to modestly decrease between FY 2017 to FY 2018. This change in spending reflects the timing of CUNY payments in FY 2018, the potential sale of certain CUNY building assets, and the reconciliation of prior year TAP payment advances to colleges. Lower spending is partially offset in FY 2018 by new higher education initiatives. The Excelsior Scholarship program will allow students of working and middle-class families to attend college tuition-free at all public universities in New York State. Enrollment growth associated with the Excelsior Scholarship program will also drive additional future spending in community college operating aid and TAP. The Budget also provides new funding to begin implementation of open educational resources (low cost alternative to traditional textbooks) for students at SUNY and CUNY. SUNY and CUNY will use this funding to target high-enrollment courses to maximize student savings.

In addition, a new Enhanced Tuition Award will enable students attending private not-for-profit colleges to receive financial assistance to complete their college degree. The program provides a maximum award of \$3,000, requires private colleges to provide a match and freeze student tuition for the duration of the award, maximizing the financial benefit to the student. The Enacted Budget includes \$19 million for the program.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with a goal to achieve 25 percent reduction in avoidable hospital use over five years. The July Update Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of nearly \$8 billion through FY 2021. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Updated Financial Plan reflects the continuation of the Medicaid spending cap through FY 2021, and the projections assume that statutory authority will be extended in subsequent years. Upward adjustments to the statutorily indexed provisions of the Global Cap reflect an increase to the 10-year rolling average of the Medical component of the CPI relative to previous forecast assumptions. The revised estimates increase the Global Cap by \$11 million in FY 2018, \$37 million in FY 2019, \$75 million in FY 2020 and \$135 million in FY 2021. Allowable growth under the cap for medical services is 3.3 percent for FY 2018. Projecting medical CPI growth, DOB currently forecasts allowable cap growth at 3.2 percent in FY 2019; 3.1 percent in FY 2020; and 3.1 percent in FY 2021.

MEDICAID GLOBAL CAP FORECAST					
<i>(millions of dollars)</i>					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Global Medicaid Cap¹	17,692	18,270	18,863	19,446	20,048
Annual % Change		3.3%	3.2%	3.1%	3.1%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal Financial Participation (FFP) pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Updated Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

State Financial Plan Projections Fiscal Years 2018 Through 2021



TOTAL STATE-SHARE MEDICAID DISBURSEMENTS¹ (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Updated	Projected	Projected	Projected
Department of Health Medicaid	<u>18,235</u>	<u>19,245</u>	<u>19,840</u>	<u>20,851</u>	<u>21,626</u>
Local Assistance	17,974	19,003	19,765	20,744	21,547
State Operations	261	345	404	434	450
MSA Payments (Share of Local Growth) ²	0	(103)	(329)	(327)	(371)
Other State Agency Medicaid Spending	<u>4,441</u>	<u>4,466</u>	<u>4,735</u>	<u>4,938</u>	<u>5,138</u>
Mental Hygiene	4,302	4,330	4,584	4,783	4,974
Foster Care	82	86	101	105	114
Education	57	50	50	50	50
Total State Share Medicaid (All Agencies)	22,676	23,711	24,575	25,789	26,764
Annual \$ Change		1,035	864	1,214	975
Annual % Change		4.6%	3.6%	4.9%	3.8%
Essential Plan³	313	179	458	473	483

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and minimum wage increases.

² Tobacco MSA proceeds will be deposited directly to the MMIS Escrow Fund to cover total State share support for Medicaid.

³ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending. (More information on HCRA can be found in the section entitled "HCRA Financial Plan.")



State Financial Plan Projections Fiscal Years 2018 Through 2021

DEPARTMENT OF HEALTH MEDICAID ¹ (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE OPERATING FUNDS	22,989	23,890	3.9%	25,033	4.8%	26,262	4.9%	27,247	3.8%
Department of Health Medicaid	18,548	19,424	4.7%	20,298	4.5%	21,324	5.1%	22,109	3.7%
General Fund - DOH Medicaid Local	12,178	13,284	9.1%	13,872	4.4%	14,953	7.8%	15,794	5.6%
DOH Medicaid	10,457	11,150	6.6%	11,516	3.3%	12,154	5.5%	12,812	5.4%
Mental Hygiene - Global Cap Adjustment ²	1,125	1,247	10.8%	1,189	-4.7%	1,188	-0.1%	1,188	0.0%
Minimum Wage	44	255	479.5%	579	127.1%	838	44.7%	882	5.3%
Local Growth Takeover (Zero Growth Phase-in) ³	552	735	33.2%	917	24.8%	1,100	20.0%	1,283	16.6%
MSA Payments (Share of Local Growth) ⁴	0	(103)	0.0%	(329)	-219.4%	(327)	0.6%	(371)	-13.5%
General Fund - DOH Medicaid State Ops	261	345	32.2%	404	17.1%	434	7.4%	450	3.7%
General Fund - Essential Plan	313	179	-42.8%	458	155.9%	473	3.3%	483	2.1%
Local Assistance	269	82	-69.5%	355	332.9%	365	2.8%	375	2.7%
State Operations	44	97	120.5%	103	6.2%	108	4.9%	108	0.0%
Other State Funds - DOH Medicaid Local	5,796	5,616	-3.1%	5,564	-0.9%	5,464	-1.8%	5,382	-1.5%
HCRA Financing	3,981	3,893	-2.2%	3,840	-1.4%	3,740	-2.6%	3,657	-2.2%
Indigent Care Support	965	892	-7.6%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	850	831	-2.2%	832	0.1%	832	0.0%	833	0.1%
Other State Agency Medicaid Spending	4,441	4,466	0.6%	4,735	6.0%	4,938	4.3%	5,138	4.1%
USE OF MSA PAYMENTS (Share of Local Growth)⁴	0	103	0.0%	329	219.4%	327	-0.6%	371	13.5%
LOCAL SHARE OF MEDICAID^{5,6}	8,343	8,049	-3.5%	8,095	0.6%	8,025	-0.9%	8,054	0.4%
FEDERAL SHARE OF MEDICAID	37,719	40,161	6.5%	41,484	3.3%	42,738	3.0%	43,381	1.5%
DOH Medicaid	34,462	36,631	6.3%	37,379	2.0%	38,260	2.4%	38,440	0.5%
Essential Plan	3,257	3,530	8.4%	4,105	16.3%	4,478	9.1%	4,941	10.3%
ALL FUNDING SOURCES	69,051	72,203	4.6%	74,941	3.8%	77,352	3.2%	79,053	2.2%

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.
² The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
³ As of County Year (CY) 2015 the full share of local Medicaid services growth has been financed with State resources.
⁴ MSA payments will be deposited directly to the MMIS Escrow Fund to cover a portion of the State's share of local Medicaid growth.
⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals.
⁶ Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

Financial Plan impacts associated with the QHP portion of the NYSOH insurance exchange have been increased by \$2 million in FY 2018, decreased by \$7 million per year in FY 2019 and FY 2020, and decreased by \$14 million in FY 2021. These changes reflect updated assumptions based on actual enrollment and utilization levels. Other non-QHP portions of the NYSOH insurance exchange, including those associated with EP enrollment, have been adjusted to reflect net cost increases over the multi-year plan. These non-QHP re-estimates will be managed within the Global Cap without Financial Plan impact.

FYs 2018 through 2021 Federal Share of DOH Medicaid and All Funding Sources in the table above have been revised by this amended First Quarterly Update.

State Financial Plan Projections Fiscal Years 2018 Through 2021



With the retirement of all of the State’s tobacco securitization bonds on June 1, 2017, MSA payments will be used to fund a portion of the non-Federal share of annual Medicaid growth formerly borne by local governments, which the State now pays on behalf of local governments. The use of MSA payments will not affect total funding for the Medicaid program, but is expected to provide Financial Plan relief through lower annual General Fund Medicaid disbursements. The table below lists the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Share Support	<u>22,989</u>	<u>23,993</u>	<u>25,362</u>	<u>26,589</u>	<u>27,618</u>
State Funds Medicaid Disbursements ¹	22,989	23,890	25,033	26,262	27,247
MSA Payments (Local Growth)	0	103	329	327	371

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.

The Financial Plan provides General Fund support to the Global Cap to fund the costs of the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in Westchester, New York, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.¹⁰ The impact of these Minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$255 million in FY 2018; \$579 million in FY 2019; \$838 million in FY 2020; and \$882 million in FY 2021.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients are expected to reach about 6.2 million by the end of FY 2018, a slight increase from FY 2017.

¹⁰ Home health care workers in these counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York; \$3.22 for Westchester, Nassau, and Suffolk), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.



State Financial Plan Projections Fiscal Years 2018 Through 2021

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Financial Plan savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap, as noted above.

With the new presidential administration and Congress, many policies that drive Federal aid are subject to change. It is not possible at this time to predict potential fiscal impacts of new policies that may be proposed and adopted. The FY 2018 Enacted Budget included Federal Flexibility provisions to allow for the management of reductions of \$850 million or more in Federal funding for the State's Medicaid program during FY 2018. Management of such reduction levels would occur only through actions within the State's Medicaid program.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 90 percent of program expenditures are expected to be paid by the Federal government.

ESSENTIAL PLAN (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL ALL FUNDS SPENDING	3,570	3,709	3.9%	4,563	23.0%	4,951	8.5%	5,424	9.6%
State Operating Funds	313	179	-42.8%	458	155.9%	473	3.3%	483	2.1%
Local Assistance	269	82	-69.5%	355	332.9%	365	2.8%	375	2.7%
State Operations	44	97	120.5%	103	6.2%	108	4.9%	108	0.0%
Federal Operating Funds	3,257	3,530	8.4%	4,105	16.3%	4,478	9.1%	4,941	10.3%

EP program spending has been revised downward by \$349 million in FY 2018 to reflect a mix of factors, including stabilizing enrollment trends. In addition, growth in the marketplace premium index for the Federal reimbursement rate is outpacing growth in the premium index for the State reimbursement rate, thus contributing to the anticipation of a greater share of base program expenses being funded from Federal resources in the current year. The EP program savings will be managed by DOH to ensure balance related to other potential cost drivers within the Global Cap.

The Updated Financial Plan also reflects increased funding of \$24 million in FY 2018, \$27 million in FY 2019, \$21 million in FY 2020 and \$16 million in FY 2021 for the EP portion of the NYSOH insurance exchange due to program utilization; and \$12 million for non-NYSOH insurance exchange State operations costs in FYs 2018 - 2021.

State costs associated with the EP program and related savings are managed within the total available resources of the Medicaid Global Cap. This includes a portion of spending associated with increasing EP enrollment in part, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

Many of the policies that drive Federal aid are subject to change with the new presidential administration and Congress. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and adopted by the new administration and Congress. The Enacted Budget includes authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

Public Health/Aging Programs

Public Health includes the CHP program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three, with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	1,640	1,647	0.4%	1,704	3.5%	1,840	8.0%	1,976	7.4%
Public Health	1,515	1,524	0.6%	1,579	3.6%	1,710	8.3%	1,841	7.7%
Child Health Plus	219	256	16.9%	296	15.6%	413	39.5%	537	30.0%
General Public Health Work	194	198	2.1%	200	1.0%	204	2.0%	208	2.0%
EPIC	132	130	-1.5%	128	-1.5%	128	0.0%	128	0.0%
Early Intervention	173	175	1.2%	173	-1.1%	173	0.0%	165	-4.6%
HCRA Program	405	400	-1.2%	399	-0.3%	398	-0.3%	398	0.0%
All Other	392	365	-6.9%	383	4.9%	394	2.9%	405	2.8%
Aging	125	123	-1.6%	125	1.6%	130	4.0%	135	3.8%

Declining spending for HCRA and other health programs is partly affected by funding Roswell Park Cancer Institute (RPCI) from capital projects funds. Outyear increases are driven largely by anticipated growth in Cost-of-Living Adjustment (COLA) funding. The decline is also driven by a shift of funding for the Medicaid Utilization Review Program under the Medicaid Global Cap.

The Updated Financial Plan includes additional funding of \$22 million in FY 2018, \$46 million in FY 2019, and \$33 million in FY 2020 for the CHP program to address local enrollment increases. CHP spending is also anticipated to increase significantly in FY 2020, due to the September 2019 expiration of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support.

Reduced expenses for Statewide Health Information Network for New York (SHIN-NY)/All Payer Database (APD) due to lower than anticipated utilization of non-capital funds, and for EPIC as a result of lower claims. Additional funding for the Spinal Cord Injury Research Program, Quality of Care Improvement Program, Breast Cancer Research program, Alzheimer's Research program and the Sickle Cell program has been added to the FY 2018 spending estimates based on updated program needs.

FYs 2017 through 2019 Public Health HCRA program and all other public health spending estimates in the table above have been revised by this amended First Quarterly Update

State Financial Plan Projections Fiscal Years 2018 Through 2021



HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA FINANCIAL PLAN FY 2017 THROUGH FY 2021 (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
OPENING BALANCE	78	12	0	0	0
TOTAL RECEIPTS	5,799	5,772	5,796	5,817	5,788
Surcharges	3,262	3,311	3,369	3,428	3,496
Covered Lives Assessment	1,161	1,110	1,110	1,110	1,045
Cigarette Tax Revenue	876	847	816	781	748
Hospital Assessments	412	424	424	424	424
NYC Cigarette Tax Transfer/Other	88	80	77	74	75
TOTAL DISBURSEMENTS AND TRANSFERS	5,865	5,784	5,796	5,817	5,788
Medicaid Assistance Account ¹	<u>3,981</u>	<u>3,893</u>	<u>3,840</u>	<u>3,740</u>	<u>3,657</u>
Medicaid Costs	3,784	3,696	3,643	3,543	3,460
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	965	892	892	892	892
HCRA Program Account	413	408	407	407	406
Child Health Plus	223	261	301	418	543
Elderly Pharmaceutical Insurance Coverage	143	142	140	140	140
SHIN-NY/APCD	10	38	40	40	0
All Other	130	150	176	180	150
ANNUAL OPERATING SURPLUS/(DEFICIT)	(66)	(12)	0	0	0
CLOSING BALANCE	12	0	0	0	0

¹ NYSOH spending will be financed with available HCRA resources through the Medicaid program.



State Financial Plan Projections Fiscal Years 2018 Through 2021

Beginning in FY 2018, total HCRA receipts are forecasted to grow moderately through FY 2020, due primarily to higher surcharge collections generated from continued growth in health care utilization levels. The level of annual growth forecast for total HCRA revenue through the remainder of the multi-year planning period mainly reflects increases consistent with historic collection patterns. Continued outyear declines for cigarette tax collections, attributable to declining taxable consumption, partly offsets total HCRA receipts growth.

The Updated Financial Plan continues to reflect the inclusion of a three-year extension of program support, estimated at \$38 million in FY 2018, and \$40 million in FYs 2019 - 2020, for the SHIN-NY/APD infrastructure development initiative to improve informational and data capabilities associated with claiming records.

Additional CHP program spending of \$22 million in FY 2018, \$46 million in FY 2019 and \$33 million in FY 2020 is reflected in the Updated Financial Plan due to increasing enrollment trends. State operations expenses related to the CHIP portion of the NYSOH insurance exchange have been updated to reflect slight cost increases in FYs 2018 – 2021. Enhanced Federal funding provided through the ACA is scheduled to expire after September 30, 2019, driving significant State funds cost increases beginning in FY 2020.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Mental Hygiene

The Department of Mental Hygiene is comprised of OPWDD, Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,461	2,487	1.1%	2,955	18.8%	3,234	9.4%	3,447	6.6%
People with Developmental Disabilities	2,208	2,283	3.4%	2,476	8.5%	2,657	7.3%	2,812	5.8%
Residential Services	1,334	1,379	3.4%	1,496	8.5%	1,605	7.3%	1,698	5.8%
Day Programs	554	573	3.4%	621	8.4%	666	7.2%	706	6.0%
Clinic	24	25	4.2%	27	8.0%	29	7.4%	30	3.4%
All Other Local/Resources	296	306	3.4%	332	8.5%	357	7.5%	378	5.9%
Mental Health	1,187	1,205	1.5%	1,395	15.8%	1,480	6.1%	1,533	3.6%
Adult Local Services	952	962	1.1%	1,117	16.1%	1,187	6.3%	1,229	3.5%
Children Local Services	235	243	3.4%	278	14.4%	293	5.4%	304	3.8%
Alcohol and Substance Abuse	304	334	9.9%	351	5.1%	373	6.3%	386	3.5%
Outpatient/Methadone	117	128	9.4%	135	5.5%	142	5.2%	147	3.5%
Residential	120	132	10.0%	139	5.3%	148	6.5%	153	3.4%
Prevention and Program Support	58	64	10.3%	67	4.7%	71	6.0%	74	4.2%
Crisis	9	10	11.1%	10	0.0%	12	20.0%	12	0.0%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,700	3,823	3.3%	4,223	10.5%	4,511	6.8%	4,732	4.9%
OPWDD Offsets and Recoupments¹	(1,239)	(1,336)	-7.8%	(1,268)	5.1%	(1,277)	-0.7%	(1,285)	-0.6%

¹ Includes the DOH Global Cap Adjustment (\$1.1 billion in FY 2017 and \$1.2 billion in FYs 2018-2021), and other offsets and recoupment actions to reduce net expenses.

Local assistance accounts for approximately 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 8.9 percent annually. The main factors driving this level of growth are enhancements in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding not-for-profit providers for growth in employee wages related to minimum wage increases.

The FY 2018 Enacted Budget provides approximately \$124 million in increased local assistance funding for mental hygiene agencies. The spending increase is largely related to new community investments in OPWDD and OMH, as individuals are transitioned from State-operated services to community-integrated settings; new service investments in the OPWDD system, including funding to promote access to vital supports and services for individuals aging out of their educational settings or moving from home; community reinvestment for individuals relocating from institutional settings; expansion of the Systemic Therapeutic Assessment Respite and Treatment (START) model to downstate and funding to support the direct cost of minimum wage increases; transition of new residential beds opening in the mental health provider community; and funding in OASAS to address the heroin and opioid crisis.

The FY 2018 Enacted Budget also provides funding to support a 6.5 percent raise over the next two years for direct care workers, and a 3.25 percent raise for clinical workers serving the mental hygiene community, both aimed at assisting non-profits in the recruitment and retention of employees. Partly offsetting these cost increases is a deferral of the statutory COLA in FY 2018 and FY 2019.

The additional funding increase is offset by technical adjustments to the Medicaid Global Cap, as a greater share of OPWDD-related spending will be financed from Global Cap resources. These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

State Funds local assistance spending for mental hygiene services is expected to increase in FY 2019 relative to the current-year projections due primarily to continued investments in community services.

The Updated Financial Plan reflects state operations savings associated with the transition of certain State-operated inpatient and supported residential placements, to integrated community-based settings where individual service needs can be sized more appropriately and provided more cost-efficiently, as noted above.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, funded by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	1,220	1,316	7.9%	1,345	2.2%	1,356	0.8%	1,359	0.2%
SSI	645	658	2.0%	661	0.5%	663	0.3%	667	0.6%
Public Assistance Benefits	474	526	11.0%	545	3.6%	545	0.0%	541	-0.7%
Public Assistance Initiatives	11	26	136.4%	33	26.9%	33	0.0%	33	0.0%
All Other	90	106	17.8%	106	0.0%	115	8.5%	118	2.6%

OTDA spending on SSI is projected to increase between FY 2017 and FY 2018 and to continue to increase gradually over the course of the multi-year Financial Plan due to updated caseload projections. Public assistance benefits spending is projected to increase from FY 2017 to FY 2018 based on an update to DOB's caseload models, with DOB projecting a total of 558,720 recipients in FY 2018. Approximately 230,387 families are expected to receive benefits through the Family Assistance program in FY 2018, a decrease of 1.9 percent from FY 2017. The Safety Net caseload for families is projected at 121,194 in FY 2018, a decrease of 1.7 percent from FY 2017. The caseload for single adults/childless couples supported through the Safety Net program is projected at 207,139 in FY 2018, an increase of 1.1 percent from FY 2017.

Spending in public assistance will increase from FY 2017 to FY 2018 due to a variety of factors including the expansion of HIV/AIDS Services Administration (HASA) benefits to all public assistance recipients living in New York City, and increased costs associated with litigation proceedings that will increase Safety Net Assistance expenditures. Growth is expected to be more gradual in the outyears.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES									
(millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,715	1,653	-3.6%	1,711	3.5%	1,847	7.9%	1,917	3.8%
Child Welfare Service	551	472	-14.3%	482	2.1%	491	1.9%	501	2.0%
Foster Care Block Grant	446	388	-13.0%	393	1.3%	399	1.5%	405	1.5%
Adoption	150	144	-4.0%	143	-0.7%	141	-1.4%	135	-4.3%
Day Care	203	262	29.1%	234	-10.7%	235	0.4%	236	0.4%
Youth Programs	100	147	47.0%	182	23.8%	294	61.5%	339	15.3%
Medicaid	82	86	4.9%	101	17.4%	105	4.0%	114	8.6%
Committees on Special Education	50	26	-48.0%	27	3.8%	30	11.1%	33	10.0%
Adult Protective/Domestic Violence	43	33	-23.3%	35	6.1%	36	2.9%	38	5.6%
All Other	90	95	5.6%	114	20.0%	116	1.8%	116	0.0%

OCFS State Operating Funds spending is projected to decline from FY 2017 to FY 2018 due to a variety of factors, including restructuring the financing approach for foster care tuition and residential school placements of children with special needs in New York City; adjustments to the State share reimbursement under the Foster Care Block Grant to an estimated 50 percent, net of Federal funding; and the elimination of the planned Human Services COLA in FY 2018.

Spending is projected to increase in FY 2019 and into the outyears, primarily due to implementation of the “Raise the Age” initiative, which will increase the age limit of juvenile jurisdiction from 16 to 18.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Transportation

In FY 2018, the State will provide approximately \$5 billion in operating aid to mass transit systems, funded mainly from various dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of this aid. The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

TRANSPORTATION (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	4,977	5,027	1.0%	5,078	1.0%	5,174	1.9%	5,239	1.3%
Mass Transit Operating Aid:	<u>2,279</u>	<u>2,282</u>	<u>0.1%</u>	<u>2,282</u>	<u>0.0%</u>	<u>2,282</u>	<u>0.0%</u>	<u>2,282</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,152	2,152	0.0%	2,152	0.0%	2,152	0.0%	2,152	0.0%
Public Transit Aid	83	86	3.6%	86	0.0%	86	0.0%	86	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,967	2,005	1.9%	2,063	2.9%	2,159	4.7%	2,223	3.0%
Dedicated Mass Transit	669	668	-0.1%	676	1.2%	676	0.0%	677	0.1%
AMTAP	62	70	12.9%	57	-18.6%	57	0.0%	57	0.0%
All Other	0	2	0.0%	0	-100.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Updated Financial Plan includes revised spending estimates for transit assistance in each year to reflect the most recent revenue forecast assumptions. Funding is also included for Department of Motor Vehicles (DMV) county special traffic options programs for driving while intoxicated.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	715	723	1.1%	763	5.5%	763	0.0%	763	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	0	8	100.0%	48	500.0%	48	0.0%	48	0.0%

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to grow modestly from FY 2017 to FY 2018, due to revisions in the timing of spending. Additional increases in the outyears reflect potential awards from the Financial Restructuring Board for Local Governments.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, Information Technology (IT), and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the DOT and DMV are included in the capital projects fund type and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2017	FY 2018	Forecast		
	Results	Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Negotiated Base Salary Increases ¹					
Council 82/UUP/DC-37/NYSCOPBA/PBANYS	TBD	TBD	TBD	TBD	TBD
PEF/GSEU/MC	2%	2%	2%	TBD	TBD
CSEA ²	2%	2%	2%	2%	2%
NYSBPA/NYSPIA ³	1.5%	1.5%	TBD	TBD	TBD
State Workforce ⁴	117,907	118,481	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁵	16.6%	16.7%	16.7%	17.4%	19.2%
After Amortization ⁶	20.2%	20.5%	20.5%	21.1%	22.8%
PFRS Contribution Rate					
Before Amortization ⁵	25.1%	25.3%	25.7%	27.1%	29.7%
After Amortization ⁶	28.5%	28.3%	29.3%	30.7%	33.2%
Employee/Retiree Health Insurance Growth Rates	7.0%	7.4%	7.0%	6.8%	6.8%
PS/Fringe as % of Receipts (All Funds Basis)	13.6%	13.3%	13.4%	13.6%	14.2%

¹ Reflects current collective bargaining agreements with settled unions. GSEU requires enactment of paybills. Does not reflect potential impact of future negotiated labor agreements.

² Reflects contract ratified by membership.

³ Contracts contain "reopener" language which allows the union to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018.

⁴ Reflects workforce that is subject to direct Executive control.

⁵ Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.

⁶ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$18.7 billion in FY 2018 to \$20.3 billion in FY 2021. Most executive agencies are expected to hold spending at FY 2017 levels. Increases in later years of the Financial Plan are driven mainly by juvenile justice reform, higher Medicaid administration expenses expected to support the NYSOH insurance exchange as available Federal funding expires, and an additional administrative payroll in FY 2021.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Updated	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	10,019	10,045	10,248	10,538	10,891
Mental Hygiene	2,761	2,744	2,729	2,764	2,808
Corrections and Community Supervision	2,641	2,617	2,620	2,627	2,633
State Police	720	697	671	671	696
Information Technology Services ¹	548	536	560	560	570
Public Health	384	368	366	366	371
Tax and Finance	332	330	329	329	340
Medicaid Admin/EP	305	442	507	543	560
Children and Family Services	250	244	289	375	442
Environmental Conservation	225	211	211	212	218
Financial Services	209	207	207	211	217
Parks, Recreation and Historic Preservation	178	169	169	170	176
General Services	161	144	142	138	139
Gaming	138	115	100	100	101
Temporary and Disability Assistance	138	125	125	132	136
Workers' Compensation Board	139	142	143	145	151
Potential Labor Agreements ²	0	555	650	765	880
Agency Financial Management Plan	0	(500)	(500)	(500)	(500)
All Other	890	899	930	930	953
UNIVERSITY SYSTEMS	6,097	6,079	6,321	6,487	6,665
State University	6,003	5,962	6,230	6,395	6,572
City University	94	117	91	92	93
INDEPENDENT AGENCIES	319	324	321	324	337
Law	170	175	174	177	184
Audit & Control (OSC)	149	149	147	147	153
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,435	16,448	16,890	17,349	17,893
Judiciary	2,019	2,066	2,092	2,094	2,151
Legislature	226	226	218	219	219
Statewide Total	18,680	18,740	19,200	19,662	20,263
Personal Service	13,093	12,935	13,180	13,519	14,060
Non-Personal Service	5,587	5,805	6,020	6,143	6,203

¹ Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

² Includes the five-year settlement with CSEA and excludes the value of a settlement with UUP.

State Financial Plan Projections Fiscal Years 2018 Through 2021



The most significant changes to spending for agency operations include:

- **State Police:** Increased spending is driven by an enhanced presence at the JFK and LaGuardia Airports in order to bolster airport security, deter terrorism and provide additional law enforcement. In addition, State Police will initiate a new class of 225 recruits in July 2017. Offsetting these increases are cost reductions primarily reflecting the financing of certain State Police services by the recipients of such services and the reclassification of certain personal service spending related to titles associated with the maintenance and preservation of State assets to the capital projects fund.
- **Medicaid Administration/EP:** Increased spending starting in FY 2018 is mainly attributable to moving the QHP component of NYSOH administrative costs into the Global Medicaid Cap (from HCRA in Public Health), as well as anticipated higher Medicaid costs.
- **Gaming:** A change in the accounting structure related to advertising costs whereby direct payment is made to the vendor instead of reimbursing the Gaming Commission, resulting in lower State Operating Funds spending by the Gaming Commission.
- **Children and Family Services:** The Enacted Budget Financial Plan includes additional funding in OCFS to support raising the age of juvenile jurisdiction from 16 to 18 by October 1, 2019.
- **State University:** SUNY spending over the Financial Plan period reflects anticipated operating needs at SUNY campuses and hospitals, supported through campus revenues, State funding and hospital revenues.
- **Judiciary:** The Enacted Budget Financial Plan reflects the Judiciary's request to increase operating support, including the addition of 200 non-judicial positions in support of trial court operations, and temporary service funding for acting city, town and village justices.
- **Potential Labor Agreements:** Reflects costs of the recently negotiated settlement agreements with PEF and extended to unrepresented M/C employees, the costs associated with the five-year settlement with CSEA, and parity with remaining unsettled unions assuming the PEF model.
- **Agency Financial Management Plans:** The Financial Plan includes \$500 million in annual savings that will be allocated to agencies as agency management plans are completed. All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018. Agency plans identifying cost reductions and efficiencies to achieve the targeted savings are expected to be reviewed and approved by DOB prior to implementation, and must preserve funding for core services and strategic initiatives.

Workforce

In FY 2018, \$12.9 billion or 13.2 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 95,000 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (43,252) and Independent Agencies (18,276); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in three areas: SUNY, the mental hygiene agencies, and the Department of Corrections and Community Supervision (DOCCS).

STATE OPERATING FUNDS		
FY 2018 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
Subject to Direct Executive Control	7,163	94,888
Mental Hygiene Agencies	2,231	32,587
Corrections and Community Supervision	2,052	27,309
State Police	637	5,636
Tax and Finance	270	3,978
Information Technology Services	271	3,406
Health	253	3,693
Environmental Conservation	170	2,124
Children and Family Services	160	2,406
Financial Services	152	1,382
Parks, Recreation and Historic Preservation	124	1,305
Education	88	1,263
Workers' Compensation Board	81	1,165
Temporary and Disability Assistance	69	1,033
General Services	57	907
All Other	548	6,694
University Systems	3,770	43,252
State University	3,694	42,869
City University ²	76	383
Independent Agencies	2,002	18,276
Law	121	1,583
Audit & Control (OSC)	115	1,603
Judiciary	1,595	15,089
Legislature ³	171	1
Total	12,935	156,416

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,166 FTEs, which are excluded from this table.

³ Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate.

State Financial Plan Projections Fiscal Years 2018 Through 2021



General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State's employer-share of Social Security, health insurance, workers' compensation, unemployment insurance, survivors' benefits fund, employee benefits funds, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget.¹¹ The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits that are paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest reimbursement to the General Fund comes from the mental hygiene agencies, which combined account for nearly half of all payments.

GSCs also include fixed costs for several categories including State payments in lieu of taxes (PILOT), payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GSCs are projected to increase at an average annual rate of 6.5 percent over the multi-year Financial Plan period, driven primarily by cost increases for workers' compensation, growing pension contribution levels, and the State's share of costs for employee and retiree health insurance benefits.

In FY 2018, State Operating Funds spending for GSCs is projected to increase by \$425 million (5.6 percent). Health insurance increases reflect rising prescription drug costs, greater use of more expensive specialty drugs for chronic conditions, generic drug price inflation, increased outpatient utilization, and increased inpatient/outpatient utilization in Mental Health. Pension cost increases are driven by actual and forecasted salary base assumptions and the repayment of prior-year amortizations, partially offset by an increase in lower cost Tier 6 entrants.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	7,634	8,059	5.6%	8,656	7.4%	9,195	6.2%	9,826	6.9%
Fringe Benefits	7,212	7,632	5.8%	8,223	7.7%	8,755	6.5%	9,379	7.1%
Health Insurance	3,708	3,983	7.4%	4,260	7.0%	4,551	6.8%	4,860	6.8%
Pensions	2,446	2,540	3.8%	2,647	4.2%	2,761	4.3%	2,990	8.3%
Social Security	992	992	0.0%	995	0.3%	1,003	0.8%	1,009	0.6%
Workers' Compensation	230	326	41.7%	476	46.0%	591	24.2%	681	15.2%
Employee Benefits	94	95	1.1%	95	0.0%	95	0.0%	95	0.0%
Dental Insurance	64	65	1.6%	65	0.0%	66	1.5%	67	1.5%
Unemployment Insurance	11	15	36.4%	15	0.0%	15	0.0%	15	0.0%
All Other/Non-State Escrow	(333)	(384)	-15.3%	(330)	14.1%	(327)	0.9%	(338)	-3.4%
Fixed Costs	422	427	1.2%	433	1.4%	440	1.6%	447	1.6%

¹¹ As of July 2015, SUNY Teachers Insurance and Annuity Association - College Retirement Equities Fund (TIAA- CREF) and other SUNY fringe benefit costs are no longer paid directly by SUNY, and have been shifted to the central statewide appropriation.



State Financial Plan Projections Fiscal Years 2018 Through 2021

Growth in base GSC spending in FY 2018 has been partly offset by gap-closing savings of approximately \$81 million included as part of the Enacted Budget Financial Plan, as well as the expected use of an additional \$105 million from SIF reserves to reduce Workers' Compensation costs in FY 2018. The savings are primarily driven by \$63 million in interest savings achieved by paying the majority of the State pension bill in April 2017, rather than on a monthly basis as previously assumed.

Over the multi-year Financial Plan period, outyear pension costs are anticipated to increase based on a model that reflects forecasted salary base information and continuation of modest investment returns, as experienced in the past year. Health insurance growth reflects utilization and costs leading to higher forecasted rate renewal increases. Underlying workers' compensation growth is driven by an increase in funding liability, while net Financial Plan funding reflects the use of excess balances which are scheduled to be transferred by the Workers' Compensation Board (WCB) directly to SIF, or accessed directly from available balances residing with SIF, to partially offset workers' compensation payments from General Fund resources through FY 2020.

Agency fringe benefit spending, and the reimbursement of such payments to the General Fund, have been updated based on recent billing and payment activity.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
TOTAL TRANSFERS TO OTHER FUNDS	10,092	10,146	12,126	12,251	12,161
State Share of Mental Hygiene Medicaid ¹	1,239	1,301	1,231	1,119	1,119
Debt Service	924	916	1,144	1,042	1,067
SUNY University Operations	996	1,015	1,005	1,001	1,001
Capital Projects	2,569	2,623	4,047	3,869	3,488
Dedicated Highway and Bridge Trust Fund	562	709	631	950	1,005
Dedicated Infrastructure Investment Fund	697	1,402	1,767	1,217	933
FY 2017 Temporary Loan to Capital Projects Fund ²	1,300	(1,300)	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund ²	0	500	(500)	0	0
Transfer to DIIF for Javits Expansion	0	160	350	320	170
Bond Proceeds Receipts for Javits Expansion	0	0	0	(500)	(500)
Mass Transit Capital from Settlements	0	85	0	0	0
Statewide Health Care Capital from Settlements	0	25	45	50	50
Environmental Protection Fund	146	28	28	28	28
All Other Capital ³	(136)	1,014	1,726	1,804	1,802
ALL OTHER TRANSFERS	4,364	4,291	4,699	5,220	5,486
Mental Hygiene	3,287	3,198	3,593	4,094	4,332
Department of Transportation (MTA Payroll Tax)	334	269	269	270	270
SUNY - Medicaid Reimbursement	267	232	243	243	243
Judiciary Funds	107	106	110	109	110
SUNY - Hospital Operations	88	79	79	79	79
Dedicated Mass Transportation Trust Fund	63	66	66	66	66
Banking Services	42	53	53	53	53
Indigent Legal Services	31	35	35	58	82
Mass Transportation Operating Assistance	19	21	21	21	21
Public Transportation Systems	15	16	16	16	16
Correctional Industries	11	12	12	12	12
Spinal Cord Injury	8	9	9	9	9
Medical Marihuana Fund	5	5	7	5	7
SUNY - General Income Fund Reimbursable Account	14	14	0	0	0
All Other	73	176	186	185	186

¹ Includes transfers related to the multi-year OPWDD disallowance repayments.

² Represents the temporary use of Extraordinary Monetary Settlement fund balances to pay for capital projects in the first instance. These advances will be repaid in the following year when the State reimburses the capital spending from bond proceeds.

³ FY 2017 reflects the use of available bond proceeds to reimburse first-instance capital spending from prior years. This timing-related issue resulted in the State reimbursing more than it disbursed in FY 2017.

General Fund transfers to the Environmental Protection Fund and All Other Capital for FYs 2017 through 2021 and the Dedicated Mass Transportation Trust Fund and All Other Transfers for FYs 2018 through 2021 in the table above have been revised by this amended First Quarterly Update.

A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and HUT. The Updated Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$10.1 billion in FY 2018, a \$54 million increase from FY 2017. The revision is driven by a \$1.2 billion decline in transfers of Extraordinary Monetary Settlement funds for projects appropriated from DIIF and the capital projects fund in FY 2018, including temporary loans and planned repayment of funds related to debt management actions and offset by increased support for the DHBTF (\$147 million); as well as lower than anticipated transfers to capital projects funds in 2017, reflecting the timing of bond proceeds reimbursements from a March 2017 bond sale (\$1.2 billion).

State Financial Plan Projections Fiscal Years 2018 Through 2021



Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), the payment obligation on which is subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
General Fund	924	916	-0.9%	1,144	24.9%	1,042	-8.9%	1,067	2.4%
Other State Support	4,590	4,376	-4.7%	5,311	21.4%	6,078	14.4%	6,318	3.9%
State Operating/All Funds Total	5,514	5,292	-4.0%	6,455	22.0%	7,120	10.3%	7,385	3.7%

Total State Operating/All Funds debt service is projected at \$5.3 billion in FY 2018, of which approximately \$916 million is paid from the General Fund via transfers, and \$4.4 billion from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending in FY 2017 reflected pre-payments of about \$490 million of debt service due during FY 2018.



FY 2018
First Quarter Operating Results

This section provides a summary of operating results for April 2017 through June 2017 compared to: (1) results for the prior fiscal year (April 2016 through June 2016); and (2) the initial projections set forth in the FY 2018 Enacted Budget Financial Plan (“initial estimates”). The focus of the spending discussion is on a State Operating Funds basis.

Results Compared to Prior Year - All Governmental Funds

All Governmental Funds results, as compared to the same period during the prior year, include a lower opening balance (\$705 million), decreased receipts (\$681 million), and higher spending (\$3.1 billion). The combination of these annual changes resulted in a \$4.5 billion decline in overall fund balance.

ALL GOVERNMENTAL FUNDS - YEAR-OVER-YEAR				
April through June (millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2017	FY 2018	\$	%
OPENING BALANCE	11,810	11,105	(705)	-6.0%
RECEIPTS:	38,421	37,740	(681)	-1.8%
Total Taxes	19,835	18,628	(1,207)	-6.1%
Personal Income Tax	13,293	11,754	(1,539)	-11.6%
All Other Taxes	6,542	6,874	332	5.1%
Miscellaneous Receipts	5,904	5,264	(640)	-10.8%
Federal Grants	12,682	13,848	1,166	9.2%
SPENDING:				
LOCAL ASSISTANCE	16,190	17,118	928	5.7%
School Aid	5,857	6,430	573	9.8%
DOH Medicaid ¹	4,970	5,421	451	9.1%
All Other	5,363	5,267	(96)	-1.8%
STATE OPERATIONS	8,134	8,441	307	3.8%
Agency Operations	4,589	4,783	194	4.2%
Executive Agencies	2,369	2,484	115	4.9%
University Systems	1,556	1,612	56	3.6%
Elected Officials	664	687	23	3.5%
General State Charges	3,545	3,658	113	3.2%
Pension Contribution	2,167	2,250	83	3.8%
Health Insurance	925	964	39	4.2%
Other Fringe Benefits/Fixed Costs	453	444	(9)	-2.0%
DEBT SERVICE	366	422	56	15.3%
CAPITAL PROJECTS	0	0	0	0.0%
TOTAL STATE OPERATING FUNDS	24,690	25,981	1,291	5.2%
CAPITAL PROJECTS (State and Federal)	1,923	2,101	178	9.3%
FEDERAL OPERATING AID	11,387	13,054	1,667	14.6%
TOTAL ALL GOVERNMENTAL FUNDS	38,000	41,136	3,136	8.3%
OTHER FINANCING SOURCES	(11)	(29)	(18)	-163.6%
CHANGE IN OPERATIONS	410	(3,425)	(3,817)	-930.6%
CLOSING BALANCE	12,220	7,680	(4,522)	-37.0%

¹ Includes the Essential Plan (EP).

Receipts

All Funds tax receipts were \$1.2 billion, or 6.1 percent, lower than results in the prior year, and primarily concentrated in PIT (\$1.5 billion, or 11.6 percent, lower). The decline is comprised of lower extension payments (\$620 million) due to weak non-wage income growth in Tax Year 2016 and a largely timing-related increase in refunds (\$1.1 billion). The growth in total refunds paid is attributable to the payment of an additional \$800 million in January through March 2016 that were not paid in the same period of FY 2017, but rather paid in the beginning of FY 2018.

All other taxes combined were \$332 million higher than the prior year, mainly due to business tax collections and a large estate tax payment. Business tax collections reflect higher gross receipts across taxes mainly the result of late filing of mandatory first installment payments. The 2017 mandatory first installment was due March 15, however several taxpayers filed these payments in April 2017.

Miscellaneous Receipts were \$640 million below the prior year, primarily reflecting the maturity of and subsequent availability of bond proceeds in June 2017, which were deposited for reimbursement of prior year spending.

Federal grants were \$1.2 billion, or 9.2 percent, higher than FY 2017, consistent with the impact of the annual changes in Federal spending.

Spending

Through June 2017, State Operating Funds spending totaled nearly \$26 billion, an increase of \$1.3 billion, or 5.2 percent, above the same period last year. As described later, the Enacted Budget Financial Plan anticipated substantial increases in spending for the first three months of FY 2018 compared to the same period in FY 2017, but actual results were lower than expected in the Enacted Plan due to the timing of certain payments. DOB does not expect annual spending will exceed our annual estimates.

Local assistance growth includes the school aid “tail” payment of the 2017 school year (\$573 million) and growth in Medicaid (\$451 million) driven by increased spending for managed care claims. This growth is partly offset by a decline in fee-for-service expenses.

State operations spending growth includes planned cost increases for pensions and health insurance (\$122 million) and the cost of collective bargaining agreements for PEF and M/Cs, including retroactive payments for FY 2017 disbursed during the month of June in the current fiscal year (\$38 million). Additionally, non-personal services expenses for information technology services were higher due to the timing of certain payments made in the first quarter of FY 2018 were not disbursed, as previously expected, in March 2017 (\$45 million), and contractual expenses for the Medicaid and EP programs disbursed earlier than normal in comparison to prior years (\$46 million).



FY 2018 First Quarter Operating Results

Beyond State Operating Funds, higher Capital Projects spending (\$178 million) was primarily due to ESDC spending for expenses related to the Moynihan Station construction project (\$150 million).

Federal operating spending growth of \$1.7 billion includes higher Medicaid spending (\$1.3 billion), which was driven primarily by growth in claiming expenses and the DSRIP program (\$987 million); and growth in EP spending (\$272 million) due to enrollment growth. The second largest area of growth is for Education (\$232 million), which was driven by recent Title I Grants and IDEA claim submissions from New York City. Federal education payments are not made on a fixed schedule, but are processed in batches as claims are submitted, with the volume of payments sometimes increasing significantly based on the pattern of claims submitted by New York City.

Results Compared to Plan

All Governmental Funds Results

The State ended June 2017 with a closing balance of \$7.7 billion in All Funds, or \$1.2 billion above the initial FY 2018 Budget estimate. The higher balance is mainly due to \$1.4 billion in lower spending, partly offset by \$195 million in lower receipts.

ALL GOVERNMENTAL FUNDS MEASUREMENT TO PLAN				
April through June 2017				
(millions of dollars)				
	Enacted		Above/(Below)	
	Plan	Results	\$	%
OPENING BALANCE	11,105	11,105	0	0.0%
RECEIPTS:	37,935	37,740	(195)	-0.5%
Total Taxes	18,943	18,628	(315)	-1.7%
Personal Income Tax	12,253	11,754	(499)	-4.1%
Consumption / Use Tax	4,149	4,062	(87)	-2.1%
Business Taxes	1,657	1,879	222	13.4%
Other Taxes	884	933	49	5.5%
Miscellaneous Receipts	5,009	5,264	255	5.1%
Federal Grants	13,983	13,848	(135)	-1.0%
SPENDING:	17,779	17,118	(661)	-3.7%
LOCAL ASSISTANCE	6,494	6,430	(64)	-1.0%
School Aid	6,494	6,430	(64)	-1.0%
DOH Medicaid ¹	5,676	5,421	(255)	-4.5%
Transportation	1,178	1,183	5	0.4%
STAR	58	57	(1)	-1.7%
Social Services	798	682	(116)	-14.5%
Higher Education	985	1,010	25	2.5%
Mental Hygiene	599	611	12	2.0%
All Other	1,991	1,724	(267)	-13.4%
STATE OPERATIONS	8,226	8,441	215	2.6%
Agency Operations	4,694	4,783	89	1.9%
Personal Service:	3,383	3,434	51	1.5%
Executive Agencies	1,843	1,826	(17)	-0.9%
University Systems	1,005	1,046	41	4.1%
Elected Officials	535	562	27	5.0%
Non-Personal Service:	1,311	1,349	38	2.9%
Executive Agencies	649	658	9	1.4%
University Systems	530	566	36	6.8%
Elected Officials	132	125	(7)	-5.3%
General State Charges	3,532	3,658	126	3.6%
Pension Contribution	2,248	2,250	2	0.1%
Health Insurance	979	964	(15)	-1.5%
Other Fringe Benefits/Fixed Costs	305	444	139	45.6%
DEBT SERVICE	417	422	5	1.2%
CAPITAL PROJECTS	0	0	0	0.0%
TOTAL STATE OPERATING FUNDS	26,422	25,981	(441)	-1.7%
CAPITAL PROJECTS (State and Federal Funds)	2,852	2,101	(751)	-26.3%
FEDERAL OPERATING AID	13,226	13,054	(172)	-1.3%
TOTAL ALL GOVERNMENTAL FUNDS	42,500	41,136	(1,364)	-3.2%
OTHER FINANCING SOURCES	(32)	(29)	3	9.4%
CHANGE IN OPERATIONS	(4,597)	(3,425)	1,172	25.5%
CLOSING BALANCE	6,508	7,680	1,172	18.0%

¹ Includes the Essential Plan (EP).

Receipts

Through June 2017, total tax receipts were \$315 million below the initial estimates. PIT collections were \$499 million below the estimate. The shortfall in PIT receipts was driven by weaker than expected June quarterly estimated payments, which had been projected to grow by 9.1 percent in June but instead slightly declined, and greater than projected refund payments. The PIT refund variance is timing-related and expected to result in reduced October settlement-related refunds. Business tax collections were above plan due to higher gross receipts for both corporate franchise and insurance taxes in June 2017 for calendar year estimated payments, and the receipt of several amended corporate franchise returns from prior years.

Miscellaneous receipts exceeded initial projections by \$255 million in total, primarily reflecting the impact of the \$350 million Extraordinary Monetary Settlement payments from BNPP in June 2017. Partly offsetting the overall variance was lower than planned HCRA surcharge collections (\$80 million), reflecting timing primarily associated with revenue recoupments occurring ahead of schedule.

Federal grants were \$135 million lower than estimated, which is consistent with the variances in Federal spending.

Spending

Compared to the initial projections, State Operating Funds spending was \$441 million below plan, or 1.7 percent lower, reflecting a mix of lower expenses for local assistance (\$661 million) and higher expenses for state operations (\$215 million).

Lower local assistance spending compared to the Enacted Plan is mainly driven by lower Medicaid spending due to the timing of certain rate packages that will increase provider rates that are waiting for Federal approval and will be paid retroactively upon such approval (\$103 million), and the reconciliation of Federal ACA funding that is expected to be a State cost (\$152 million). Other causes of local variances from plan include under-spending due to the timing of processing for Excess Medical Malpractice payments (\$112 million), non-public schools (\$61 million), and charter school General Legislative Operations Program (GLOP) (\$54 million), and OCFS programs -- day care programs (\$70 million), Foster Care Block Grant and Youth Programs (\$40 million).

State Operations (including fringe benefits) spending was \$215 million above plan due to personal and non-personal service expenses (\$89 million combined) driven mostly by SUNY (\$77 million), as well as higher fringe benefit expenses (\$126 million) due to lower than planned reimbursement from other funds related to prior year prepayments.

Beyond State Operating Funds, lower capital spending (\$751 million) mainly occurred for transportation (\$455 million), special infrastructure projects (\$74 million), environmental programs (\$70 million), and economic development activity (\$55 million). This under-spending was driven by delayed implementation of project spending, and is expected to occur later in the year. Federal spending was also lower (\$172 million) due to the delayed Medicaid rate plan approvals in the current fiscal year.

General Fund Results

In the General Fund, total receipts were below projections by \$5 million and spending lagged behind projections by \$553 million, resulting in a fund balance of \$548 million higher than initial projections.

GENERAL FUND OPERATING RESULTS APRIL 2017 THROUGH JUNE 2017 (millions of dollars)				
	Enacted Plan	Results	Above/(Below) Enacted Plan	
			\$	%
Opening Balance	7,749	7,749	0	0.0%
Total Receipts	17,603	17,598	(5)	0.0%
Taxes:	17,160	16,811	(349)	-2.0%
Personal Income Tax ¹	12,176	11,678	(498)	-4.1%
Consumption / Use Taxes ¹	3,307	3,233	(74)	-2.2%
Business Taxes	1,156	1,328	172	14.9%
Other Taxes ¹	521	572	51	9.8%
Receipts and Grants	422	772	350	82.9%
Transfers From Other Funds	21	15	(6)	-28.6%
Total Spending	22,886	22,333	(553)	-2.4%
Local Assistance	14,201	13,642	(559)	-3.9%
State Operations (including GSCs)	5,041	5,203	162	3.2%
Debt Service Transfer	272	275	3	1.1%
Capital Projects Transfer	1,122	1,015	(107)	-9.5%
State Share of Medicaid Transfer	381	337	(44)	-11.5%
SUNY Operations Transfer	654	653	(1)	-0.2%
All Other Transfers	1,215	1,208	(7)	-0.6%
Change in Operations	(5,283)	(4,735)	548	10.4%
Closing Balance	2,466	3,014	548	22.2%

¹ Includes transfers from other funds after debt service.

General Fund tax collections were \$349 million below the estimate, consistent with the All Funds summary above. This was offset by an unplanned \$350 million Extraordinary Monetary Settlement payment from BNPP in June.

Through June 2017, General Fund disbursements, including transfers to other funds, were \$553 million below the initial projection. Lower local assistance (\$559 million) and higher agency operations (\$162 million) spending are similar to the State Operating Funds variances summarized above.

In addition, certain General Fund transfers did not occur as planned and are now expected in future months, most notably for transfers to support capital spending for transportation programs, which materialized at a slower than anticipated rate through the first quarter.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AGI	Adjusted Gross Income
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
APD	All Payer Database
ARC	Annual Required Contribution
AXA	AXA Equitable Life Insurance Company
BANs	Bond Anticipation Notes
BEA	Bureau of Economic Analysis
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CBO	Congressional Budget Office
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CSX	CSX Transportation, Inc.
CUNY	City University of New York
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTf	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development

Glossary of Acronyms



ESPRI	Empire State Poverty Reduction Initiative
FFP	Federal Financial Participation
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GLOP	General Legislative Operations Program
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IPOs	Initial Public Offerings
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIGC	Life Insurance Guaranty Corporation
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MMIS	Medicaid Management Information System
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPBA	Police Benevolent Association of the New York State Troopers
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits

OPWDD	Office for People with Developmental Disabilities
ORDA	Olympic Regional Development Authority
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TAP	Tuition Assistance Program
TIAA - CREF	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TNC	Transportation Network Company
TRS	Teachers' Retirement System
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLТ	Video Lottery Terminal
WCB	Workers' Compensation Board



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (i) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (ii) highway, parkway and rail preservation projects; (iii) outdoor recreation and environmental conservation projects; (iv) buildings and other capital facilities required by various State departments and agencies; (v) payments to local governments to help finance their

capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (vi) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Account for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS - Includes the payment of salaries and compensation for State employees.

NPS - Includes payments for operational costs, such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 – Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserve - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2018 Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for i) the payment of principal, interest, or related expenses; ii) retiring or defeasing existing State-supported debt obligations, including accrued interest; and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 – Items Affecting Annual Comparability

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

- **ACA** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.
- **Federal DSRIP** - On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **EP** - The EP is a health insurance program authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. Upon full implementation of EP, approximately 90 percent of program expenditures are expected to be funded by the Federal government. State funding for the EP is offset by lower State Funds Medicaid program spending and additional Federal Funds.

Note 6 – State Funding of SUNY Operating Support

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 – General Fund/HCRA Combined Gap

Current HCRA authorization ends April 1, 2020. HCRA is projected to remain balanced through FY 2021. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 – MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

Note 10 – Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

Note 11 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 12 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 13 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2017 was \$2.8 billion, comprised of activities that are financed initially by the advances for bond-reimbursable capital spending pending the receipt of bond proceeds, State pending Federal receipt, State Special Revenue Funds, and Proprietary Funds. The low balance as of March 31, 2016 was \$2.7 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2016	2017	
Total Loans Outstanding	2,748	2,759	11
State Special Revenue Funds	345	298	(47)
Federal Funds	1,258	980	(278)
Capital Funds	966	1,246	280
Proprietary Funds	179	235	56

Note 14 — 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The current Administration is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 15 - List of Settlements Received

The following Extraordinary Monetary Settlements were received by the State between April 1, 2014 through July 2017.

- BNP Paribas, S.A. New York Branch (BNPP) paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between the DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and the New York State DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its Foreign Exchange trading business.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank's transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney's Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank's actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsch Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates, including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- Deutsche Bank Securities Inc. (“Deutsche Bank”) paid in January 2017 a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") in accordance with a consent order with DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Barclays paid \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.

- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State allegedly resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.

- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York’s housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. (“Citigroup”) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.

- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its "AXA Tactical Manager" strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking laws.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$117 million for air quality improvement programs in New York.
- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively "Volkswagen"), will pay \$157.4 million pursuant to a March 29, 2017, Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, "Porsche") (Volkswagen and Porsche together, "Defendants") and the Attorneys General of the States of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the Commonwealths of Massachusetts and

Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against Defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) federal, state and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with state law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.

- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a vigorous compliance infrastructure, and inadequate attention to the state of compliance.
- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) will pay a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage’s failure to (i) maintain books, accounts, records, and files in an appropriate manner, (ii) adequately and accurately disclose certain fees, and (iii) comply with other laws and regulations.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between the NYS DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-2006 to process payments on behalf of Iranian clients and other entities.

Note 16 – List of Settlement Uses (Prior to FY 2018 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2018.

- **Thruway Stabilization (\$2.0 billion):** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion):** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as the Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Affordable and Homeless Housing (\$640 million):** Settlement funds will augment the multi-year investment in affordable housing services, and provide housing opportunities for individuals and families who are homeless or at risk of homelessness. Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Broadband Initiative (\$500 million):** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Health Care/Hospitals (\$400 million):** Provides \$355 million in grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities to create a financially sustainable system of care. The Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million), and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Penn Station Access (\$250 million):** The MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.
- **Transportation Capital Plan (\$200 million):** Provides funding for transportation infrastructure projects across the State.

- **Municipal Restructuring and Consolidation Competition (\$170 million):** Includes \$20 million in funding for a Municipal Consolidation Competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.
- **Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** Provides funding for operating purposes such as preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.
- **Transformative Economic Development Projects (\$150 million):** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Infrastructure Improvements (\$115 million):** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Economic Development (\$85 million):** Funding for the economic development strategy of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million):** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **Empire State Poverty Reduction Initiative (ESPRI) (\$25 million):** To combat poverty throughout the State, \$25 million was provided for the ESPRI. This program will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **EPF (\$120 million):** This \$120 million and other EPF resources would provide dedicated funding to communities throughout New York State to improve the environment, combat climate change, and reduce greenhouse gas emissions.

A large portion, \$7.7 billion, of the Extraordinary Monetary Settlements have been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Updated Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018. This will allow the settlement funds to be made available for the projects appropriated from DIIF. In addition, \$500 million of settlement resources will be utilized to support capital spending in FY 2018 with repayment projected in FY 2019.
2. Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, the spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2019. Subsequently, these expenses will be reimbursed from bond proceeds that are planned to be issued in FYs 2020 and 2021.

TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
Total Settlement Funds Replenished/(Used)	(1,300)	640	150	180	330	0
Transfer to DIIF for Javits Center Expansion	0	(160)	(350)	(320)	(170)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0

General Fund – Total Budget

Financial Plan Projections FY 2018 through FY 2021.....	T-1
Financial Plan, Annual Change from FY 2017 to FY 2018	T-2
FY 2018	T-3
FY 2019	T-4
FY 2020	T-5
FY 2021.....	T-6

General Fund – Revenue Detail (Excluding Transfers)

Financial Plan Projections FY 2018 through FY 2021.....	T-7
Financial Plan, Annual Change from FY 2017 to FY 2018	T-8

State Operating Funds Budget

FY 2017	T-9
FY 2018	T-10
FY 2019	T-11
FY 2020	T-12
FY 2021.....	T-13
Annual Change from FY 2017 to FY 2018	T-14

All Governmental Funds – Total Budget

FY 2017	T-15
FY 2018	T-16
FY 2019	T-17
FY 2020	T-18
FY 2021.....	T-19
Annual Change from FY 2017 to FY 2018	T-20

All Governmental Funds – Revenue Detail

FY 2017	T-21
FY 2018	T-22
FY 2019	T-23
FY 2020	T-24
FY 2021.....	T-25
Annual Change from FY 2017 to FY 2018	T-26

Special Revenue Funds

FY 2017	T-27
FY 2018	T-28
FY 2019	T-29
FY 2020	T-30
FY 2021.....	T-31
Annual Change from FY 2017 to FY 2018	T-32
Receipts Detail, FY 2018 through FY 2021.....	T-33
Receipts Detail, Annual Change from FY 2017 to FY 2018.....	T-34

Capital Budget

FY 2017	T-35
FY 2018	T-36
FY 2019	T-37
FY 2020	T-38
FY 2021	T-39
Annual Change from FY 2017 to FY 2018	T-40
Receipts Detail, FY 2018 through FY 2021	T-41
Receipts Detail, Annual Change from FY 2017 to FY 2018	T-41
Off Budget Capital Spending, FY 2017 through FY 2021	T-42

Debt Service Funds – Revenue Detail

Projections FY 2018 through FY 2021	T-43
Annual Change from FY 2017 to FY 2018	T-43

State Funds – Total Budget

FY 2017	T-44
FY 2018	T-45
FY 2019	T-46
FY 2020	T-47
FY 2021	T-48
Annual Change from FY 2017 to FY 2018	T-49

Cash Flow – Update of FY 2017 Results

General Fund	T-50
State Operating Funds	T-51
All Governmental Funds	T-52
Special Revenue Funds - Total	T-53
Special Revenue Funds - State	T-54
Special Revenue Funds - Federal	T-55
Debt Service Funds	T-56
Capital Projects Funds - Total	T-57
Capital Projects Funds - State	T-58
Capital Projects Funds - Federal	T-59
State Funds	T-60

Cash Flow – FY 2018 Monthly Projections

General Fund	T-61
State Operating Funds	T-62
All Governmental Funds	T-63
Special Revenue Funds - Total	T-64
Special Revenue Funds - State	T-65
Special Revenue Funds - Federal	T-66
Debt Service Funds	T-67
Capital Projects Funds - Total	T-68
Capital Projects Funds - State	T-69

Capital Projects Funds - Federal.....	T-70
State Funds.....	T-71
Health Care Reform Act Resources Fund	
Projections FY 2018 (Change from Enacted).....	T-72
Projections FY 2018 through FY 2021.....	T-73
Annual Change from FY 2017 to FY 2018	T-73
FY 2017 Monthly Cash Flow Projections.....	T-74
FY 2018 Monthly Cash Flow Projections	T-74
Proprietary and Fiduciary Funds (Projections FY 2017 through FY 2021)	T-75
Workforce Summary Report (FY 2016 through FY 2018)	
General Fund	T-76
State Operating Funds.....	T-77
State Funds	T-79
All Funds	T-81
Special Revenue Fund - Other	T-83
Special Revenue Fund - Federal.....	T-84
Capital Projects Fund - Other	T-85
Capital Projects Fund - Federal.....	T-86
Enterprise Fund	T-87
Internal Service Fund	T-88
Agency Trust Fund.....	T-89
Pension Trust Fund.....	T-90
Private Purpose Trust Fund.....	T-91
Spending Detail by Agency (FY 2017 through FY 2021)	
General Fund – Total (Agency Detail Excluding Transfers).....	T-92
General Fund – Total	T-98
General Fund – Local Assistance	T-100
General Fund – State Operations.....	T-102
General Fund – Personal Service	T-104
General Fund – Non-personal Service.....	T-106
General Fund – General State Charges	T-108
State Operating Funds – Total (Agency Detail)	T-109
State Operating Funds – Total	T-116
State Operating Funds – Local Assistance	T-118
State Operating Funds – State Operations	T-120
State Operating Funds – Personal Service.....	T-122
State Operating Funds – Non-personal Service	T-124
State Operating Funds – General State Charges	T-126
State Operating Funds – Capital Projects.....	T-128
Capital Projects Funds – Total.....	T-129

Financial Plan Tables and Accompanying Notes



All Governmental Funds – Total (Agency Detail)	T-131
All Governmental Funds – Total	T-139
All Governmental Funds – Local Assistance	T-141
All Governmental Funds – State Operations.....	T-143
All Governmental Funds – Personal Service	T-145
All Governmental Funds – Non-personal Service.....	T-147
All Governmental Funds – General State Charges.....	T-149
All Governmental Funds – Capital Projects	T-151
State Funds – Total.....	T-153
State Funds – Local Assistance	T-155
State Funds – State Operations.....	T-157
State Funds – Personal Service	T-159
State Funds – Non-personal Service.....	T-161
State Funds – General State Charges.....	T-163
State Funds – Capital Projects	T-165
Special Revenue State Funds – Local Assistance	T-167
Special Revenue State Funds – Personal Service	T-168
Special Revenue State Funds – Non-personal Service.....	T-170
Special Revenue State Funds – General State Charges.....	T-172
Special Revenue Federal Funds – Local Assistance	T-174
Special Revenue Federal Funds – Personal Service	T-175
Special Revenue Federal Funds – Non-personal Service.....	T-176
Special Revenue Federal Funds – General State Charges.....	T-178
General Fund Transfers (FY 2017 through FY 2021)	
General Fund Transfers from Other Funds	T-179
General Fund Transfers to Other Funds.....	T-181
FY 2018 Cash Basis Combining Statement	
General Fund.....	T-182
Special Revenue Funds.....	T-183
Special Revenue Other Funds Detail by Account.....	T-186
Miscellaneous Special Revenue Fund (339) Detail by Account.....	T-189
Capital Projects Funds	T-194
Debt Service Funds	T-196
Internal Services	T-197
Enterprise	T-198
Debt Service Tables.....	T-199
Financial Plan Table Appendices	
List of Joint Custody Funds	T-206
State Fund Structure	T-209

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018 through FY 2021
(millions of dollars)**

	<u>FY 2018 First Quarter</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	34,407	36,385	37,986	39,214
Consumption/Use Taxes	7,436	7,719	7,993	8,271
Business Taxes	5,718	5,770	6,190	6,522
Other Taxes	1,072	1,053	1,112	1,175
Miscellaneous Receipts	2,505	2,131	2,135	2,058
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,906	10,812	10,744	11,053
Sales Tax in Excess of LGAC	3,119	3,150	3,290	3,565
Sales Tax in Excess of Revenue Bond Debt Service	2,779	2,807	2,992	2,842
Real Estate Taxes in Excess of CW/CA Debt Service	1,023	1,080	1,133	1,189
All Other	1,213	736	720	720
Total Receipts	<u>70,178</u>	<u>71,643</u>	<u>74,295</u>	<u>76,609</u>
Disbursements:				
Local Assistance	47,081	50,169	53,186	55,863
State Operations:				
Personal Service	5,976	6,239	6,490	6,927
Non-Personal Service	2,246	2,534	2,677	2,723
General State Charges	5,784	6,320	6,783	7,344
Transfers to Other Funds:				
Debt Service	916	1,144	1,042	1,067
Capital Projects	2,623	4,047	3,869	3,488
State Share of Mental Hygiene Medicaid	1,301	1,231	1,119	1,119
SUNY Operations	1,015	1,005	1,001	1,001
Other Purposes	4,291	4,699	5,220	5,486
Total Disbursements	<u>71,233</u>	<u>77,388</u>	<u>81,387</u>	<u>85,018</u>
Use (Reservation) of Fund Balance:				
Community Projects	17	0	0	0
Potential Labor Agreements	(130)	0	0	0
Undesignated Fund Balance	14	0	0	0
Extraordinary Monetary Settlements	1,154	1,662	1,087	653
Total Use (Reservation) of Fund Balance	<u>1,055</u>	<u>1,662</u>	<u>1,087</u>	<u>653</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	(4,083)	(6,005)	(7,756)
Adherence to 2% Spending Benchmark	0	3,242	5,968	8,156
Net General Fund Surplus (Deficit)	<u>0</u>	<u>(841)</u>	<u>(37)</u>	<u>400</u>

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE FROM CURRENT YEAR
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	8,934	7,749	(1,185)	-13.3%
Receipts:				
Taxes:				
Personal Income Tax	32,535	34,407	1,872	5.8%
Consumption/Use Taxes	7,101	7,436	335	4.7%
Business Taxes	4,761	5,718	957	20.1%
Other Taxes	1,110	1,072	(38)	-3.4%
Miscellaneous Receipts	3,813	2,505	(1,308)	-34.3%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,275	10,906	631	6.1%
Sales Tax in Excess of LGAC	2,870	3,119	249	8.7%
Sales Tax in Excess of Revenue Bond Debt Service	2,672	2,779	107	4.0%
Real Estate Taxes in Excess of CW/CA Debt Service	940	1,023	83	8.8%
All Other	818	1,213	395	48.3%
Total Receipts	66,895	70,178	3,283	4.9%
Disbursements:				
Local Assistance	44,439	47,081	2,642	5.9%
State Operations:				
Personal Service	6,065	5,976	(89)	-1.5%
Non-Personal Service	2,022	2,246	224	11.1%
General State Charges	5,462	5,784	322	5.9%
Transfers to Other Funds:				
Debt Service	924	916	(8)	-0.9%
Capital Projects	2,569	2,623	54	2.1%
State Share of Mental Hygiene Medicaid	1,239	1,301	62	5.0%
SUNY Operations	996	1,015	19	1.9%
Other Purposes	4,364	4,291	(73)	-1.7%
Total Disbursements	68,080	71,233	3,153	4.6%
Excess (Deficiency) of Receipts Over Disbursements	(1,185)	(1,055)	130	11.0%
Closing Fund Balance	7,749	6,694	(1,055)	-13.6%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	56	39	(17)	
Reserved For				
Potential Labor Agreements	25	155	130	
Undesignated Fund Balance	14	0	(14)	
Debt Management	500	500	0	
Extraordinary Monetary Settlements	5,335	4,181	(1,154)	

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	34,406	1	34,407
Consumption/Use Taxes	7,438	(2)	7,436
Business Taxes	5,718	0	5,718
Other Taxes	1,072	0	1,072
Miscellaneous Receipts	2,152	353	2,505
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,923	(17)	10,906
Sales Tax in Excess of LGAC	3,120	(1)	3,119
Sales Tax in Excess of Revenue Bond Debt Service	2,766	13	2,779
Real Estate Taxes in Excess of CW/CA Debt Service	1,023	0	1,023
All Other	1,216	(3)	1,213
Total Receipts	<u>69,834</u>	<u>344</u>	<u>70,178</u>
Disbursements:			
Local Assistance	47,069	12	47,081
State Operations:			
Personal Service	5,950	26	5,976
Non-Personal Service	2,227	19	2,246
General State Charges	5,789	(5)	5,784
Transfers to Other Funds:			
Debt Service	921	(5)	916
Capital Projects	2,627	(4)	2,623
State Share of Mental Hygiene Medicaid	1,301	0	1,301
SUNY Operations	1,015	0	1,015
Other Purposes	4,300	(9)	4,291
Total Disbursements	<u>71,199</u>	<u>34</u>	<u>71,233</u>
Use (Reservation) of Fund Balance:			
Community Projects	16	1	17
Potential Labor Agreements	(130)	0	(130)
Undesignated Fund Balance	14	0	14
Rainy Day Reserve	0	0	0
Extraordinary Monetary Settlements	1,465	(311)	1,154
Total Use (Reservation) of Fund Balance	<u>1,365</u>	<u>(310)</u>	<u>1,055</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>0</u>	<u>0</u>	<u>0</u>
Adherence to 2% Spending Benchmark	<u>0</u>	<u>0</u>	<u>0</u>
Net General Fund Surplus (Deficit)	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	36,385	0	36,385
Consumption/Use Taxes	7,726	(7)	7,719
Business Taxes	5,770	0	5,770
Other Taxes	1,053	0	1,053
Miscellaneous Receipts	2,128	3	2,131
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,838	(26)	10,812
Sales Tax in Excess of LGAC	3,154	(4)	3,150
Sales Tax in Excess of Revenue Bond Debt Service	2,797	10	2,807
Real Estate Taxes in Excess of CW/CA Debt Service	1,080	0	1,080
All Other	739	(3)	736
Total Receipts	<u>71,670</u>	<u>(27)</u>	<u>71,643</u>
Disbursements:			
Local Assistance	50,112	57	50,169
State Operations:			
Personal Service	6,237	2	6,239
Non-Personal Service	2,513	21	2,534
General State Charges	6,328	(8)	6,320
Transfers to Other Funds:			
Debt Service	1,155	(11)	1,144
Capital Projects	4,068	(21)	4,047
State Share of Mental Hygiene Medicaid	1,231	0	1,231
SUNY Operations	1,005	0	1,005
Other Purposes	4,704	(5)	4,699
Total Disbursements	<u>77,353</u>	<u>35</u>	<u>77,388</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	1,662	0	1,662
Total Use (Reservation) of Fund Balance	<u>1,662</u>	<u>0</u>	<u>1,662</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,021)</u>	<u>(62)</u>	<u>(4,083)</u>
Adherence to 2% Spending Benchmark	<u>3,230</u>	<u>12</u>	<u>3,242</u>
Net General Fund Surplus (Deficit)	<u>(791)</u>	<u>(50)</u>	<u>(841)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2020
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	37,986	0	37,986
Consumption/Use Taxes	7,996	(3)	7,993
Business Taxes	6,190	0	6,190
Other Taxes	1,112	0	1,112
Miscellaneous Receipts	2,135	0	2,135
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,789	(45)	10,744
Sales Tax in Excess of LGAC	3,292	(2)	3,290
Sales Tax in Excess of Revenue Bond Debt Service	2,981	11	2,992
Real Estate Taxes in Excess of CW/CA Debt Service	1,133	0	1,133
All Other	723	(3)	720
Total Receipts	<u>74,337</u>	<u>(42)</u>	<u>74,295</u>
Disbursements:			
Local Assistance	53,101	85	53,186
State Operations:			
Personal Service	6,424	66	6,490
Non-Personal Service	2,661	16	2,677
General State Charges	6,792	(9)	6,783
Transfers to Other Funds:			
Debt Service	1,053	(11)	1,042
Capital Projects	3,899	(30)	3,869
State Share of Mental Hygiene Medicaid	1,119	0	1,119
SUNY Operations	1,001	0	1,001
Other Purposes	5,227	(7)	5,220
Total Disbursements	<u>81,277</u>	<u>110</u>	<u>81,387</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	1,087	0	1,087
Total Use (Reservation) of Fund Balance	<u>1,087</u>	<u>0</u>	<u>1,087</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(5,853)</u>	<u>(152)</u>	<u>(6,005)</u>
Adherence to 2% Spending Benchmark	<u>5,855</u>	<u>113</u>	<u>5,968</u>
Net General Fund Surplus (Deficit)	<u>2</u>	<u>(39)</u>	<u>(37)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2021
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	39,215	(1)	39,214
Consumption/Use Taxes	8,274	(3)	8,271
Business Taxes	6,522	0	6,522
Other Taxes	1,175	0	1,175
Miscellaneous Receipts	2,058	0	2,058
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,054	(1)	11,053
Sales Tax in Excess of LGAC	3,567	(2)	3,565
Sales Tax in Excess of Revenue Bond Debt Service	2,830	12	2,842
Real Estate Taxes in Excess of CW/CA Debt Service	1,189	0	1,189
All Other	723	(3)	720
Total Receipts	<u>76,607</u>	<u>2</u>	<u>76,609</u>
Disbursements:			
Local Assistance	55,745	118	55,863
State Operations:			
Personal Service	6,796	131	6,927
Non-Personal Service	2,718	5	2,723
General State Charges	7,357	(13)	7,344
Transfers to Other Funds:			
Debt Service	1,074	(7)	1,067
Capital Projects	3,479	9	3,488
State Share of Mental Hygiene Medicaid	1,119	0	1,119
SUNY Operations	1,001	0	1,001
Other Purposes	5,483	3	5,486
Total Disbursements	<u>84,772</u>	<u>246</u>	<u>85,018</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	653	0	653
Total Use (Reservation) of Fund Balance	<u>653</u>	<u>0</u>	<u>653</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(7,512)</u>	<u>(244)</u>	<u>(7,756)</u>
Adherence to 2% Spending Benchmark	<u>7,955</u>	<u>201</u>	<u>8,156</u>
Net General Fund Surplus (Deficit)	<u>443</u>	<u>(43)</u>	<u>400</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018	FY 2019	FY 2020	FY 2021
	First Quarter	Projected	Projected	Projected
Taxes:				
Withholdings	39,459	41,314	42,557	43,543
Estimated Payments	15,924	17,521	19,069	18,712
Final Payments	2,511	2,669	2,818	2,978
Other Payments	1,416	1,487	1,551	1,588
Gross Collections	59,310	62,991	65,995	66,821
State/City Offset	(873)	(898)	(824)	(849)
Refunds	(9,054)	(10,220)	(11,253)	(10,507)
Reported Tax Collections	49,383	51,873	53,918	55,465
STAR (Dedicated Deposits)	(2,630)	(2,520)	(2,453)	(2,385)
RBTF (Dedicated Transfers)	(12,346)	(12,968)	(13,479)	(13,866)
Personal Income Tax	34,407	36,385	37,986	39,214
Sales and Use Tax	13,638	14,188	14,748	15,316
Cigarette and Tobacco Taxes	343	334	323	313
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	262	267	272	276
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
TNC Assessment	12	24	24	24
Gross Utility Taxes and Fees	14,255	14,813	15,367	15,929
LGAC/STBF (Dedicated Transfers)	(6,819)	(7,094)	(7,374)	(7,658)
Consumption/Use Taxes	7,436	7,719	7,993	8,271
Corporation Franchise Tax	3,406	3,524	3,924	4,187
Corporation and Utilities Tax	585	563	569	575
Insurance Taxes	1,447	1,561	1,637	1,760
Bank Tax	280	122	60	0
Petroleum Business Tax	0	0	0	0
Business Taxes	5,718	5,770	6,190	6,522
Estate Tax	1,052	1,033	1,092	1,155
Real Estate Transfer Tax	1,204	1,258	1,308	1,360
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	17	17	17	17
Other Taxes	3	3	3	3
Gross Other Taxes	2,276	2,311	2,420	2,535
Real Estate Transfer Tax (Dedicated)	(1,204)	(1,258)	(1,308)	(1,360)
Other Taxes	1,072	1,053	1,112	1,175
Payroll Tax	0	0	0	0
Total Taxes	48,633	50,927	53,281	55,182
Licenses, Fees, Etc.	653	634	657	640
Abandoned Property	450	450	450	450
Motor Vehicle Fees	232	245	254	249
ABC License Fee	63	66	66	62
Reimbursements	289	286	288	288
Investment Income	21	8	8	8
Other Transactions	797	442	412	361
Miscellaneous Receipts	2,505	2,131	2,135	2,058
Federal Receipts	0	0	0	0
Total	51,138	53,058	55,416	57,240

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	37,524	39,459	1,935	5.2%
Estimated Payments	14,972	15,924	952	6.4%
Final Payments	2,588	2,511	(77)	-3.0%
Other Payments	1,433	1,416	(17)	-1.2%
Gross Collections	56,517	59,310	2,793	4.9%
State/City Offset	(851)	(873)	(22)	-2.6%
Refunds	(8,101)	(9,054)	(953)	-11.8%
Reported Tax Collections	47,565	49,383	1,818	3.8%
STAR (Dedicated Deposits)	(3,139)	(2,630)	509	16.2%
RBTF (Dedicated Transfers)	(11,891)	(12,346)	(455)	-3.8%
Personal Income Tax	32,535	34,407	1,872	5.8%
Sales and Use Tax	12,966	13,638	672	5.2%
Cigarette and Tobacco Taxes	360	343	(17)	-4.7%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	258	262	4	1.6%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
TNC Assessment	0	12	12	0.0%
Gross Utility Taxes and Fees	13,584	14,255	671	4.9%
LGAC/STBF (Dedicated Transfers)	(6,483)	(6,819)	(336)	-5.2%
Consumption/Use Taxes	7,101	7,436	335	4.7%
Corporation Franchise Tax	2,476	3,406	930	37.6%
Corporation and Utilities Tax	538	585	47	8.7%
Insurance Taxes	1,410	1,447	37	2.6%
Bank Tax	337	280	(57)	-16.9%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	4,761	5,718	957	20.1%
Estate Tax	1,091	1,052	(39)	-3.6%
Real Estate Transfer Tax	1,126	1,204	78	6.9%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	16	17	1	6.3%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,236	2,276	40	1.8%
Real Estate Transfer Tax (Dedicated)	(1,126)	(1,204)	(78)	-6.9%
Other Taxes	1,110	1,072	(38)	-3.4%
Payroll Tax	0	0	0	0.0%
Total Taxes	45,507	48,633	3,126	6.9%
Licenses, Fees, Etc.	644	653	9	1.4%
Abandoned Property	438	450	12	2.7%
Motor Vehicle Fees	174	232	58	33.3%
ABC License Fee	61	63	2	3.3%
Reimbursements	246	289	43	17.5%
Investment Income	24	21	(3)	-12.5%
Other Transactions	2,226	797	(1,429)	-64.2%
Miscellaneous Receipts	3,813	2,505	(1,308)	-34.3%
Federal Receipts	0	0	0	0.0%
Total	49,320	51,138	1,818	3.7%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	8,934	3,547	160	12,641
Receipts:				
Taxes	45,507	8,101	19,381	72,989
Miscellaneous Receipts	3,813	17,487	458	21,758
Federal Receipts	0	(1)	73	72
Total Receipts	49,320	25,587	19,912	94,819
Disbursements:				
Local Assistance	44,439	19,930	0	64,369
State Operations:				
Personal Service	6,065	7,028	0	13,093
Non-Personal Service	2,022	3,527	38	5,587
General State Charges	5,462	2,172	0	7,634
Debt Service	0	0	5,514	5,514
Capital Projects	0	2	0	2
Total Disbursements	57,988	32,659	5,552	96,199
Other Financing Sources (Uses):				
Transfers from Other Funds	17,575	7,733	3,609	28,917
Transfers to Other Funds	(10,092)	(476)	(17,985)	(28,553)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,483	7,257	(14,376)	364
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	185	(16)	(1,016)
Closing Fund Balance	7,749	3,732	144	11,625

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,749	3,732	144	11,625
Receipts:				
Taxes	48,633	7,713	20,250	76,596
Miscellaneous Receipts	2,505	16,746	459	19,710
Federal Receipts	0	1	73	74
Total Receipts	51,138	24,460	20,782	96,380
Disbursements:				
Local Assistance	47,081	18,994	0	66,075
State Operations:				
Personal Service	5,976	6,959	0	12,935
Non-Personal Service	2,246	3,522	37	5,805
General State Charges	5,784	2,275	0	8,059
Debt Service	0	0	5,292	5,292
Capital Projects	0	2	0	2
Total Disbursements	61,087	31,752	5,329	98,168
Other Financing Sources (Uses):				
Transfers from Other Funds	19,040	7,837	3,733	30,610
Transfers to Other Funds	(10,146)	(949)	(19,183)	(30,278)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	8,894	6,888	(15,450)	332
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,055)	(404)	3	(1,456)
Closing Fund Balance	6,694	3,328	147	10,169

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	50,927	7,756	21,201	79,884
Miscellaneous Receipts	2,131	16,320	458	18,909
Federal Receipts	0	1	73	74
Total Receipts	53,058	24,077	21,732	98,867
Disbursements:				
Local Assistance	50,169	18,893	0	69,062
State Operations:				
Personal Service	6,239	6,941	0	13,180
Non-Personal Service	2,534	3,436	50	6,020
General State Charges	6,320	2,336	0	8,656
Debt Service	0	0	6,455	6,455
Capital Projects	0	0	0	0
Total Disbursements	65,262	31,606	6,505	103,373
Other Financing Sources (Uses):				
Transfers from Other Funds	18,585	8,091	3,907	30,583
Transfers to Other Funds	(12,126)	(338)	(19,130)	(31,594)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,459	7,753	(15,223)	(1,011)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	1,662	0	0	1,662
Total Use (Reservation) of Fund Balance	1,662	0	0	1,662
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(4,083)	224	4	(3,855)
Adherence to 2% Spending Benchmark	3,242	0	0	3,242
Net Surplus (Deficit)	(841)	224	4	(613)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	53,281	7,812	22,042	83,135
Miscellaneous Receipts	2,135	16,222	459	18,816
Federal Receipts	0	1	73	74
Total Receipts	55,416	24,035	22,574	102,025
Disbursements:				
Local Assistance	53,186	18,939	0	72,125
State Operations:				
Personal Service	6,490	7,029	0	13,519
Non-Personal Service	2,677	3,416	50	6,143
General State Charges	6,783	2,412	0	9,195
Debt Service	0	0	7,120	7,120
Capital Projects	0	0	0	0
Total Disbursements	69,136	31,796	7,170	108,102
Other Financing Sources (Uses):				
Transfers from Other Funds	18,879	8,339	3,883	31,101
Transfers to Other Funds	(12,251)	(337)	(19,283)	(31,871)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,628	8,002	(15,400)	(770)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	1,087	0	0	1,087
Total Use (Reservation) of Fund Balance	1,087	0	0	1,087
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,005)	241	4	(5,760)
Adherence to 2% Spending Benchmark	5,968	0	0	5,968
Net Surplus (Deficit)	(37)	241	4	208

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	55,182	7,858	22,765	85,805
Miscellaneous Receipts	2,058	15,995	459	18,512
Federal Receipts	0	1	73	74
Total Receipts	57,240	23,854	23,297	104,391
Disbursements:				
Local Assistance	55,863	18,996	0	74,859
State Operations:				
Personal Service	6,927	7,133	0	14,060
Non-Personal Service	2,723	3,430	50	6,203
General State Charges	7,344	2,482	0	9,826
Debt Service	0	0	7,385	7,385
Capital Projects	0	0	0	0
Total Disbursements	72,857	32,041	7,435	112,333
Other Financing Sources (Uses):				
Transfers from Other Funds	19,369	8,535	3,846	31,750
Transfers to Other Funds	(12,161)	(236)	(19,703)	(32,100)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,208	8,299	(15,857)	(350)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	653	0	0	653
Total Use (Reservation) of Fund Balance	653	0	0	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,756)	112	5	(7,639)
Adherence to 2% Spending Benchmark	8,156	0	0	8,156
Net Surplus (Deficit)	400	112	5	517

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>First Quarter</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	12,641	11,625	(1,016)	-8.0%
Receipts:				
Taxes	72,989	76,596	3,607	4.9%
Miscellaneous Receipts	21,758	19,710	(2,048)	-9.4%
Federal Receipts	72	74	2	2.8%
Total Receipts	94,819	96,380	1,561	1.6%
Disbursements:				
Local Assistance	64,369	66,075	1,706	2.7%
State Operations:				
Personal Service	13,093	12,935	(158)	-1.2%
Non-Personal Service	5,587	5,805	218	3.9%
General State Charges	7,634	8,059	425	5.6%
Debt Service	5,514	5,292	(222)	-4.0%
Capital Projects	2	2	0	0.0%
Total Disbursements	96,199	98,168	1,969	2.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	28,917	30,610	1,693	5.9%
Transfers to Other Funds	(28,553)	(30,278)	(1,725)	-6.0%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	364	332	(32)	-8.8%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,016)	(1,456)	(440)	-43.3%
Closing Fund Balance	11,625	10,169	(1,456)	-12.5%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,934	3,607	(891)	160	11,810
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total Receipts	49,320	78,512	8,628	19,912	156,372
Disbursements:					
Local Assistance	44,439	68,294	3,604	0	116,337
State Operations:					
Personal Service	6,065	7,659	0	0	13,724
Non-Personal Service	2,022	4,898	0	38	6,958
General State Charges	5,462	2,465	0	0	7,927
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	6,552	0	6,554
Total Disbursements	57,988	83,318	10,156	5,552	157,014
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	2,751	3,609	31,668
Transfers to Other Funds	(10,092)	(2,262)	(1,392)	(17,985)	(31,731)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	7,483	5,471	1,359	(14,376)	(63)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	665	(169)	(16)	(705)
Closing Fund Balance	7,749	4,272	(1,060)	144	11,105

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,749	4,272	(1,060)	144	11,105
Receipts:					
Taxes	48,633	7,713	1,327	20,250	77,923
Miscellaneous Receipts	2,505	16,958	6,833	459	26,755
Federal Receipts	0	54,844	2,270	73	57,187
Total Receipts	<u>51,138</u>	<u>79,515</u>	<u>10,430</u>	<u>20,782</u>	<u>161,865</u>
Disbursements:					
Local Assistance	47,081	69,504	5,033	0	121,618
State Operations:					
Personal Service	5,976	7,576	0	0	13,552
Non-Personal Service	2,246	4,901	0	37	7,184
General State Charges	5,784	2,590	0	0	8,374
Debt Service	0	0	0	5,292	5,292
Capital Projects	0	2	8,013	0	8,015
Total Disbursements	<u>61,087</u>	<u>84,573</u>	<u>13,046</u>	<u>5,329</u>	<u>164,035</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	19,040	7,849	3,050	3,733	33,672
Transfers to Other Funds	(10,146)	(3,013)	(1,439)	(19,183)	(33,781)
Bond and Note Proceeds	0	0	988	0	988
Net Other Financing Sources (Uses)	<u>8,894</u>	<u>4,836</u>	<u>2,599</u>	<u>(15,450)</u>	<u>879</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,055)	(222)	(17)	3	(1,291)
Closing Fund Balance	<u>6,694</u>	<u>4,050</u>	<u>(1,077)</u>	<u>147</u>	<u>9,814</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	50,927	7,756	1,395	21,201	81,279
Miscellaneous Receipts	2,131	16,532	7,573	458	26,694
Federal Receipts	0	56,154	2,429	73	58,656
Total Receipts	53,058	80,442	11,397	21,732	166,629
Disbursements:					
Local Assistance	50,169	70,796	5,028	0	125,993
State Operations:					
Personal Service	6,239	7,565	0	0	13,804
Non-Personal Service	2,534	4,853	0	50	7,437
General State Charges	6,320	2,655	0	0	8,975
Debt Service	0	0	0	6,455	6,455
Capital Projects	0	0	9,757	0	9,757
Total Disbursements	65,262	85,869	14,785	6,505	172,421
Other Financing Sources (Uses):					
Transfers from Other Funds	18,585	8,103	4,334	3,907	34,929
Transfers to Other Funds	(12,126)	(2,347)	(1,445)	(19,130)	(35,048)
Bond and Note Proceeds	0	0	478	0	478
Net Other Financing Sources (Uses)	6,459	5,756	3,367	(15,223)	359
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,662	0	0	0	1,662
Total Use (Reservation) of Fund Balance	1,662	0	0	0	1,662
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(4,083)	329	(21)	4	(3,771)
Adherence to 2% Spending Benchmark					
	3,242	0	0	0	3,242
Net Surplus (Deficit)	(841)	329	(21)	4	(529)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	53,281	7,812	1,391	22,042	84,526
Miscellaneous Receipts	2,135	16,434	7,278	459	26,306
Federal Receipts	0	57,054	2,215	73	59,342
Total Receipts	55,416	81,300	10,884	22,574	170,174
Disbursements:					
Local Assistance	53,186	71,898	4,645	0	129,729
State Operations:					
Personal Service	6,490	7,658	0	0	14,148
Non-Personal Service	2,677	4,892	0	50	7,619
General State Charges	6,783	2,736	0	0	9,519
Debt Service	0	0	0	7,120	7,120
Capital Projects	0	0	9,061	0	9,061
Total Disbursements	69,136	87,184	13,706	7,170	177,196
Other Financing Sources (Uses):					
Transfers from Other Funds	18,879	8,351	4,131	3,883	35,244
Transfers to Other Funds	(12,251)	(2,117)	(1,709)	(19,283)	(35,360)
Bond and Note Proceeds	0	0	387	0	387
Net Other Financing Sources (Uses)	6,628	6,234	2,809	(15,400)	271
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,087	0	0	0	1,087
Total Use (Reservation) of Fund Balance	1,087	0	0	0	1,087
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(6,005)	350	(13)	4	(5,664)
Adherence to 2% Spending Benchmark					
	5,968	0	0	0	5,968
Net Surplus (Deficit)	(37)	350	(13)	4	304

CASH FINANCIAL PLAN
 ALL GOVERNMENTAL FUNDS
 FY 2021
 (millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	55,182	7,858	1,391	22,765	87,196
Miscellaneous Receipts	2,058	16,210	6,695	459	25,422
Federal Receipts	0	57,337	2,171	73	59,581
Total Receipts	57,240	81,405	10,257	23,297	172,199
Disbursements:					
Local Assistance	55,863	72,318	4,124	0	132,305
State Operations:					
Personal Service	6,927	7,788	0	0	14,715
Non-Personal Service	2,723	4,887	0	50	7,660
General State Charges	7,344	2,816	0	0	10,160
Debt Service	0	0	0	7,385	7,385
Capital Projects	0	0	8,422	0	8,422
Total Disbursements	72,857	87,809	12,546	7,435	180,647
Other Financing Sources (Uses):					
Transfers from Other Funds	19,369	8,547	3,684	3,846	35,446
Transfers to Other Funds	(12,161)	(2,016)	(1,692)	(19,703)	(35,572)
Bond and Note Proceeds	0	0	301	0	301
Net Other Financing Sources (Uses)	7,208	6,531	2,293	(15,857)	175
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	653	0	0	0	653
Total Use (Reservation) of Fund Balance	653	0	0	0	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(7,756)	127	4	5	(7,620)
Adherence to 2% Spending Benchmark					
	8,156	0	0	0	8,156
Net Surplus (Deficit)	400	127	4	5	536

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>First Quarter</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	11,810	11,105	(705)	-6.0%
Receipts:				
Taxes	74,372	77,923	3,551	4.8%
Miscellaneous Receipts	26,594	26,755	161	0.6%
Federal Receipts	55,406	57,187	1,781	3.2%
Total Receipts	156,372	161,865	5,493	3.5%
Disbursements:				
Local Assistance	116,337	121,618	5,281	4.5%
State Operations:				
Personal Service	13,724	13,552	(172)	-1.3%
Non-Personal Service	6,958	7,184	226	3.2%
General State Charges	7,927	8,374	447	5.6%
Debt Service	5,514	5,292	(222)	-4.0%
Capital Projects	6,554	8,015	1,461	22.3%
Total Disbursements	157,014	164,035	7,021	4.5%
Other Financing Sources (Uses):				
Transfers from Other Funds	31,668	33,672	2,004	6.3%
Transfers to Other Funds	(31,731)	(33,781)	(2,050)	-6.5%
Bond and Note Proceeds	0	988	988	0.0%
Net Other Financing Sources (Uses)	(63)	879	942	1495.2%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(705)	(1,291)	(586)	-83.1%
Closing Fund Balance	11,105	9,814	(1,291)	-11.6%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	37,524	0	0	0	37,524
Estimated Payments	14,972	0	0	0	14,972
Final Payments	2,588	0	0	0	2,588
Other Payments	1,433	0	0	0	1,433
Gross Collections	56,517	0	0	0	56,517
State/City Offset	(851)	0	0	0	(851)
Refunds	(8,101)	0	0	0	(8,101)
Reported Tax Collections	47,565	0	0	0	47,565
STAR (Dedicated Deposits)	(3,139)	3,139	0	0	0
RBTF (Dedicated Transfers)	(11,891)	0	0	11,891	0
Personal Income Tax	32,535	3,139	0	11,891	47,565
Sales and Use Tax	12,966	903	0	0	13,869
Cigarette and Tobacco Taxes	360	876	0	0	1,236
Motor Fuel Tax	0	109	410	0	519
Alcoholic Beverage Taxes	258	0	0	0	258
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	136	0	138
Auto Rental Tax	0	49	78	0	127
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	0	0	0	0	0
Gross Utility Taxes and Fees	13,584	2,004	624	0	16,212
LGAC/STBF (Dedicated Transfers)	(6,483)	0	0	6,483	0
Consumption/Use Taxes	7,101	2,004	624	6,483	16,212
Corporation Franchise Tax	2,476	690	0	0	3,166
Corporation and Utilities Tax	538	167	15	0	720
Insurance Taxes	1,410	170	0	0	1,580
Bank Tax	337	52	0	0	389
Petroleum Business Tax	0	499	625	0	1,124
Business Taxes	4,761	1,578	640	0	6,979
Estate Tax	1,091	0	0	0	1,091
Real Estate Transfer Tax	1,126	0	0	0	1,126
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,236	0	0	0	2,236
Real Estate Transfer Tax (Dedicated)	(1,126)	0	119	1,007	0
Other Taxes	1,110	0	119	1,007	2,236
Payroll Tax	0	1,380	0	0	1,380
Total Taxes	45,507	8,101	1,383	19,381	74,372
Licenses, Fees, Etc.	644	0	0	0	644
Abandoned Property	438	0	0	0	438
Motor Vehicle Fees	174	401	787	0	1,362
ABC License Fee	61	0	0	0	61
Reimbursements	246	0	0	0	246
Investment Income	24	0	0	0	24
Other Transactions	2,226	17,285	3,850	458	23,819
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total	49,320	78,512	8,628	19,912	156,372

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,459	0	0	0	39,459
Estimated Payments	15,924	0	0	0	15,924
Final Payments	2,511	0	0	0	2,511
Other Payments	1,416	0	0	0	1,416
Gross Collections	59,310	0	0	0	59,310
State/City Offset	(873)	0	0	0	(873)
Refunds	(9,054)	0	0	0	(9,054)
Reported Tax Collections	49,383	0	0	0	49,383
STAR (Dedicated Deposits)	(2,630)	2,630	0	0	0
RBTF (Dedicated Transfers)	(12,346)	0	0	12,346	0
Personal Income Tax	34,407	2,630	0	12,346	49,383
Sales and Use Tax	13,638	942	0	0	14,580
Cigarette and Tobacco Taxes	343	847	0	0	1,190
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	262	0	0	0	262
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	1	95	0	96
Auto Rental Tax	0	51	86	0	137
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	12	0	0	0	12
Gross Utility Taxes and Fees	14,255	2,014	588	0	16,857
LGAC/STBF (Dedicated Transfers)	(6,819)	0	0	6,819	0
Consumption/Use Taxes	7,436	2,014	588	6,819	16,857
Corporation Franchise Tax	3,406	769	0	0	4,175
Corporation and Utilities Tax	585	165	15	0	765
Insurance Taxes	1,447	169	0	0	1,616
Bank Tax	280	48	0	0	328
Petroleum Business Tax	0	480	605	0	1,085
Business Taxes	5,718	1,631	620	0	7,969
Estate Tax	1,052	0	0	0	1,052
Real Estate Transfer Tax	1,204	0	0	0	1,204
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,276	0	0	0	2,276
Real Estate Transfer Tax (Dedicated)	(1,204)	0	119	1,085	0
Other Taxes	1,072	0	119	1,085	2,276
Payroll Tax	0	1,438	0	0	1,438
Total Taxes	48,633	7,713	1,327	20,250	77,923
Licenses, Fees, Etc.	653	0	0	0	653
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	232	416	786	0	1,434
ABC License Fee	63	0	0	0	63
Reimbursements	289	0	0	0	289
Investment Income	21	0	0	0	21
Other Transactions	797	16,542	6,047	459	23,845
Miscellaneous Receipts	2,505	16,958	6,833	459	26,755
Federal Receipts	0	54,844	2,270	73	57,187
Total	51,138	79,515	10,430	20,782	161,865

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	41,314	0	0	0	41,314
Estimated Payments	17,521	0	0	0	17,521
Final Payments	2,669	0	0	0	2,669
Other Payments	<u>1,487</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,487</u>
Gross Collections	62,991	0	0	0	62,991
State/City Offset	(898)	0	0	0	(898)
Refunds	<u>(10,220)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(10,220)</u>
Reported Tax Collections	51,873	0	0	0	51,873
STAR (Dedicated Deposits)	(2,520)	2,520	0	0	0
RBTF (Dedicated Transfers)	<u>(12,968)</u>	<u>0</u>	<u>0</u>	<u>12,968</u>	<u>0</u>
Personal Income Tax	36,385	2,520	0	12,968	51,873
Sales and Use Tax	14,188	976	0	0	15,164
Cigarette and Tobacco Taxes	334	816	0	0	1,150
Motor Fuel Tax	0	107	405	0	512
Alcoholic Beverage Taxes	267	0	0	0	267
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	140	0	142
Auto Rental Tax	0	53	88	0	141
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	<u>24</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>24</u>
Gross Utility Taxes and Fees	14,813	2,019	633	0	17,465
LGAC/STBF (Dedicated Transfers)	<u>(7,094)</u>	<u>0</u>	<u>0</u>	<u>7,094</u>	<u>0</u>
Consumption/Use Taxes	7,719	2,019	633	7,094	17,465
Corporation Franchise Tax	3,524	849	0	0	4,373
Corporation and Utilities Tax	563	167	14	0	744
Insurance Taxes	1,561	184	0	0	1,745
Bank Tax	122	21	0	0	143
Petroleum Business Tax	<u>0</u>	<u>493</u>	<u>629</u>	<u>0</u>	<u>1,122</u>
Business Taxes	5,770	1,714	643	0	8,127
Estate Tax	1,033	0	0	0	1,033
Real Estate Transfer Tax	1,258	0	0	0	1,258
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>3</u>
Gross Other Taxes	2,311	0	0	0	2,311
Real Estate Transfer Tax (Dedicated)	<u>(1,258)</u>	<u>0</u>	<u>119</u>	<u>1,139</u>	<u>0</u>
Other Taxes	1,053	0	119	1,139	2,311
Payroll Tax	0	1,503	0	0	1,503
Total Taxes	50,927	7,756	1,395	21,201	81,279
Licenses, Fees, Etc.	634	0	0	0	634
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	245	418	793	0	1,456
ABC License Fee	66	0	0	0	66
Reimbursements	286	0	0	0	286
Investment Income	8	0	0	0	8
Other Transactions	<u>442</u>	<u>16,114</u>	<u>6,780</u>	<u>458</u>	<u>23,794</u>
Miscellaneous Receipts	2,131	16,532	7,573	458	26,694
Federal Receipts	0	56,154	2,429	73	58,656
Total	53,058	80,442	11,397	21,732	166,629

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,557	0	0	0	42,557
Estimated Payments	19,069	0	0	0	19,069
Final Payments	2,818	0	0	0	2,818
Other Payments	1,551	0	0	0	1,551
Gross Collections	65,995	0	0	0	65,995
State/City Offset	(824)	0	0	0	(824)
Refunds	(11,253)	0	0	0	(11,253)
Reported Tax Collections	53,918	0	0	0	53,918
STAR (Dedicated Deposits)	(2,453)	2,453	0	0	0
RBTF (Dedicated Transfers)	(13,479)	0	0	13,479	0
Personal Income Tax	37,986	2,453	0	13,479	53,918
Sales and Use Tax	14,748	1,012	0	0	15,760
Cigarette and Tobacco Taxes	323	781	0	0	1,104
Motor Fuel Tax	0	106	401	0	507
Alcoholic Beverage Taxes	272	0	0	0	272
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	1	141	0	142
Auto Rental Tax	0	56	93	0	149
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	24	0	0	0	24
Gross Utility Taxes and Fees	15,367	2,021	635	0	18,023
LGAC/STBF (Dedicated Transfers)	(7,374)	0	0	7,374	0
Consumption/Use Taxes	7,993	2,021	635	7,374	18,023
Corporation Franchise Tax	3,924	899	0	0	4,823
Corporation and Utilities Tax	569	171	14	0	754
Insurance Taxes	1,637	191	0	0	1,828
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	488	623	0	1,111
Business Taxes	6,190	1,760	637	0	8,587
Estate Tax	1,092	0	0	0	1,092
Real Estate Transfer Tax	1,308	0	0	0	1,308
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,420	0	0	0	2,420
Real Estate Transfer Tax (Dedicated)	(1,308)	0	119	1,189	0
Other Taxes	1,112	0	119	1,189	2,420
Payroll Tax	0	1,578	0	0	1,578
Total Taxes	53,281	7,812	1,391	22,042	84,526
Licenses, Fees, Etc.	657	0	0	0	657
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	254	421	786	0	1,461
ABC License Fee	66	0	0	0	66
Reimbursements	288	0	0	0	288
Investment Income	8	0	0	0	8
Other Transactions	412	16,013	6,492	459	23,376
Miscellaneous Receipts	2,135	16,434	7,278	459	26,306
Federal Receipts	0	57,054	2,215	73	59,342
Total	55,416	81,300	10,884	22,574	170,174

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,543	0	0	0	43,543
Estimated Payments	18,712	0	0	0	18,712
Final Payments	2,978	0	0	0	2,978
Other Payments	1,588	0	0	0	1,588
Gross Collections	66,821	0	0	0	66,821
State/City Offset	(849)	0	0	0	(849)
Refunds	(10,507)	0	0	0	(10,507)
Reported Tax Collections	55,465	0	0	0	55,465
STAR (Dedicated Deposits)	(2,385)	2,385	0	0	0
RBTF (Dedicated Transfers)	(13,866)	0	0	13,866	0
Personal Income Tax	39,214	2,385	0	13,866	55,465
Sales and Use Tax	15,316	1,049	0	0	16,365
Cigarette and Tobacco Taxes	313	748	0	0	1,061
Motor Fuel Tax	0	105	399	0	504
Alcoholic Beverage Taxes	276	0	0	0	276
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	1	142	0	143
Auto Rental Tax	0	59	97	0	156
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	24	0	0	0	24
Gross Utility Taxes and Fees	15,929	2,027	638	0	18,594
LGAC/STBF (Dedicated Transfers)	(7,658)	0	0	7,658	0
Consumption/Use Taxes	8,271	2,027	638	7,658	18,594
Corporation Franchise Tax	4,187	936	0	0	5,123
Corporation and Utilities Tax	575	175	14	0	764
Insurance Taxes	1,760	205	0	0	1,965
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	485	620	0	1,105
Business Taxes	6,522	1,801	634	0	8,957
Estate Tax	1,155	0	0	0	1,155
Real Estate Transfer Tax	1,360	0	0	0	1,360
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,535	0	0	0	2,535
Real Estate Transfer Tax (Dedicated)	(1,360)	0	119	1,241	0
Other Taxes	1,175	0	119	1,241	2,535
Payroll Tax	0	1,645	0	0	1,645
Total Taxes	55,182	7,858	1,391	22,765	87,196
Licenses, Fees, Etc.	640	0	0	0	640
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	249	420	786	0	1,455
ABC License Fee	62	0	0	0	62
Reimbursements	288	0	0	0	288
Investment Income	8	0	0	0	8
Other Transactions	361	15,790	5,909	459	22,519
Miscellaneous Receipts	2,058	16,210	6,695	459	25,422
Federal Receipts	0	57,337	2,171	73	59,581
Total	57,240	81,405	10,257	23,297	172,199

**CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 First Quarter	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	37,524	39,459	1,935	5.2%
Estimated Payments	14,972	15,924	952	6.4%
Final Payments	2,588	2,511	(77)	-3.0%
Other Payments	1,433	1,416	(17)	-1.2%
Gross Collections	56,517	59,310	2,793	4.9%
State/City Offset	(851)	(873)	(22)	-2.6%
Refunds	(8,101)	(9,054)	(953)	-11.8%
Reported Tax Collections	47,565	49,383	1,818	3.8%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	47,565	49,383	1,818	3.8%
Sales and Use Tax	13,869	14,580	711	5.1%
Cigarette and Tobacco Taxes	1,236	1,190	(46)	-3.7%
Motor Fuel Tax	519	515	(4)	-0.8%
Alcoholic Beverage Taxes	258	262	4	1.6%
Medical Marihuana Excise Tax	1	1	0	0.0%
Highway Use Tax	138	96	(42)	-30.4%
Auto Rental Tax	127	137	10	7.9%
Taxicab Surcharge	64	64	0	0.0%
TNC Assessment	0	12	12	0.0%
Gross Utility Taxes and Fees	16,212	16,857	645	4.0%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	16,212	16,857	645	4.0%
Corporation Franchise Tax	3,166	4,175	1,009	31.9%
Corporation and Utilities Tax	720	765	45	6.3%
Insurance Taxes	1,580	1,616	36	2.3%
Bank Tax	389	328	(61)	-15.7%
Petroleum Business Tax	1,124	1,085	(39)	-3.5%
Business Taxes	6,979	7,969	990	14.2%
Estate Tax	1,091	1,052	(39)	-3.6%
Real Estate Transfer Tax	1,126	1,204	78	6.9%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	16	17	1	6.3%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,236	2,276	40	1.8%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
Other Taxes	2,236	2,276	40	1.8%
Payroll Tax	1,380	1,438	58	4.2%
Total Taxes	74,372	77,923	3,551	4.8%
Licenses, Fees, Etc.	644	653	9	1.4%
Abandoned Property	438	450	12	2.7%
Motor Vehicle Fees	1,362	1,434	72	5.3%
ABC License Fee	61	63	2	3.3%
Reimbursements	246	289	43	17.5%
Investment Income	24	21	(3)	-12.5%
Other Transactions	23,819	23,845	26	0.1%
Miscellaneous Receipts	26,594	26,755	161	0.6%
Federal Receipts	55,406	57,187	1,781	3.2%
Total	156,372	161,865	5,493	3.5%

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,547	60	3,607
Receipts:			
Taxes	8,101	0	8,101
Miscellaneous Receipts	17,487	199	17,686
Federal Receipts	(1)	52,726	52,725
Total Receipts	25,587	52,925	78,512
Disbursements:			
Local Assistance	19,930	48,364	68,294
State Operations:			
Personal Service	7,028	631	7,659
Non-Personal Service	3,527	1,371	4,898
General State Charges	2,172	293	2,465
Capital Projects	2	0	2
Total Disbursements	32,659	50,659	83,318
Other Financing Sources (Uses):			
Transfers from Other Funds	7,733	0	7,733
Transfers to Other Funds	(476)	(1,786)	(2,262)
Net Other Financing Sources (Uses)	7,257	(1,786)	5,471
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	185	480	665
Closing Fund Balance	3,732	540	4,272

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,732	540	4,272
Receipts:			
Taxes	7,713	0	7,713
Miscellaneous Receipts	16,746	212	16,958
Federal Receipts	1	54,843	54,844
Total Receipts	24,460	55,055	79,515
Disbursements:			
Local Assistance	18,994	50,510	69,504
State Operations:			
Personal Service	6,959	617	7,576
Non-Personal Service	3,522	1,379	4,901
General State Charges	2,275	315	2,590
Capital Projects	2	0	2
Total Disbursements	31,752	52,821	84,573
Other Financing Sources (Uses):			
Transfers from Other Funds	7,837	12	7,849
Transfers to Other Funds	(949)	(2,064)	(3,013)
Net Other Financing Sources (Uses)	6,888	(2,052)	4,836
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(404)	182	(222)
Closing Fund Balance	3,328	722	4,050

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,328	722	4,050
Receipts:			
Taxes	7,756	0	7,756
Miscellaneous Receipts	16,320	212	16,532
Federal Receipts	1	56,153	56,154
Total Receipts	24,077	56,365	80,442
Disbursements:			
Local Assistance	18,893	51,903	70,796
State Operations:			
Personal Service	6,941	624	7,565
Non-Personal Service	3,436	1,417	4,853
General State Charges	2,336	319	2,655
Capital Projects	0	0	0
Total Disbursements	31,606	54,263	85,869
Other Financing Sources (Uses):			
Transfers from Other Funds	8,091	12	8,103
Transfers to Other Funds	(338)	(2,009)	(2,347)
Net Other Financing Sources (Uses)	7,753	(1,997)	5,756
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	224	105	329
Closing Fund Balance	3,552	827	4,379

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,552	827	4,379
Receipts:			
Taxes	7,812	0	7,812
Miscellaneous Receipts	16,222	212	16,434
Federal Receipts	1	57,053	57,054
Total Receipts	24,035	57,265	81,300
Disbursements:			
Local Assistance	18,939	52,959	71,898
State Operations:			
Personal Service	7,029	629	7,658
Non-Personal Service	3,416	1,476	4,892
General State Charges	2,412	324	2,736
Capital Projects	0	0	0
Total Disbursements	31,796	55,388	87,184
Other Financing Sources (Uses):			
Transfers from Other Funds	8,339	12	8,351
Transfers to Other Funds	(337)	(1,780)	(2,117)
Net Other Financing Sources (Uses)	8,002	(1,768)	6,234
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	241	109	350
Closing Fund Balance	3,793	936	4,729

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,793	936	4,729
Receipts:			
Taxes	7,858	0	7,858
Miscellaneous Receipts	15,995	215	16,210
Federal Receipts	1	57,336	57,337
Total Receipts	23,854	57,551	81,405
Disbursements:			
Local Assistance	18,996	53,322	72,318
State Operations:			
Personal Service	7,133	655	7,788
Non-Personal Service	3,430	1,457	4,887
General State Charges	2,482	334	2,816
Capital Projects	0	0	0
Total Disbursements	32,041	55,768	87,809
Other Financing Sources (Uses):			
Transfers from Other Funds	8,535	12	8,547
Transfers to Other Funds	(236)	(1,780)	(2,016)
Net Other Financing Sources (Uses)	8,299	(1,768)	6,531
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	112	15	127
Closing Fund Balance	3,905	951	4,856

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,607	4,272	665	18.4%
Receipts:				
Taxes	8,101	7,713	(388)	-4.8%
Miscellaneous Receipts	17,686	16,958	(728)	-4.1%
Federal Receipts	52,725	54,844	2,119	4.0%
Total Receipts	78,512	79,515	1,003	1.3%
Disbursements:				
Local Assistance	68,294	69,504	1,210	1.8%
State Operations:				
Personal Service	7,659	7,576	(83)	-1.1%
Non-Personal Service	4,898	4,901	3	0.1%
General State Charges	2,465	2,590	125	5.1%
Debt Service	0	0	0	0.0%
Capital Projects	2	2	0	0.0%
Total Disbursements	83,318	84,573	1,255	1.5%
Other Financing Sources (Uses):				
Transfers from Other Funds	7,733	7,849	116	1.5%
Transfers to Other Funds	(2,262)	(3,013)	(751)	-33.2%
Net Other Financing Sources (Uses)	5,471	4,836	(635)	-11.6%
Excess (Deficiency) of Receipts and Other	665	(222)	(887)	-133.4%
Closing Fund Balance	4,272	4,050	(222)	-5.2%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	<u>FY 2018 First Quarter</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Personal Income Tax	<u>2,630</u>	<u>2,520</u>	<u>2,453</u>	<u>2,385</u>
Consumption/Use Taxes	<u>2,014</u>	<u>2,019</u>	<u>2,021</u>	<u>2,027</u>
Sales and Use Tax	942	976	1,012	1,049
Cigarette and Tobacco Taxes	847	816	781	748
Motor Fuel Tax	108	107	106	105
Highway Use Tax	1	2	1	1
Medical Marihuana Excise Tax	1	1	1	1
Auto Rental Tax	51	53	56	59
Taxicab Surcharge	64	64	64	64
Business Taxes	<u>1,631</u>	<u>1,714</u>	<u>1,760</u>	<u>1,801</u>
Corporation Franchise Tax	769	849	899	936
Corporation and Utilities Tax	165	167	171	175
Insurance Taxes	169	184	191	205
Bank Tax	48	21	11	0
Petroleum Business Tax	480	493	488	485
Payroll Tax	<u>1,438</u>	<u>1,503</u>	<u>1,578</u>	<u>1,645</u>
Total Taxes	<u>7,713</u>	<u>7,756</u>	<u>7,812</u>	<u>7,858</u>
Miscellaneous Receipts	<u>16,958</u>	<u>16,532</u>	<u>16,434</u>	<u>16,210</u>
HCRA	4,925	4,980	5,036	5,040
State University Income	4,519	4,695	4,861	4,926
Lottery	3,383	3,328	3,342	3,345
Medicaid	832	832	832	832
Industry Assessments	832	832	832	832
Motor Vehicle Fees	416	418	421	420
All Other	2,051	1,447	1,110	815
Federal Receipts	<u>54,844</u>	<u>56,154</u>	<u>57,054</u>	<u>57,337</u>
Total	<u>79,515</u>	<u>80,442</u>	<u>81,300</u>	<u>81,405</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 First Quarter	Annual \$ Change	Annual % Change
Personal Income Tax	3,139	2,630	(509)	-16.2%
Consumption/Use Taxes	2,004	2,014	10	0.5%
Sales and Use Tax	903	942	39	4.3%
Cigarette and Tobacco Taxes	876	847	(29)	-3.3%
Motor Fuel Tax	109	108	(1)	-0.9%
Highway Use Tax	2	1	(1)	-50.0%
Medical Marihuana Excise Tax	1	1	0	0.0%
Auto Rental Tax	49	51	2	4.1%
Taxicab Surcharge	64	64	0	0.0%
Business Taxes	1,578	1,631	53	3.4%
Corporation Franchise Tax	690	768	78	11.3%
Corporation and Utilities Tax	167	166	(1)	-0.6%
Insurance Taxes	170	169	(1)	-0.6%
Bank Tax	52	48	(4)	-7.7%
Petroleum Business Tax	499	480	(19)	-3.8%
Payroll Tax	1,380	1,438	58	4.2%
Total Taxes	8,101	7,713	(388)	-4.8%
Miscellaneous Receipts	17,686	16,958	(728)	-4.1%
HCRA	4,923	4,925	2	0.0%
State University Income	4,689	4,519	(170)	-3.6%
Lottery	3,380	3,383	3	0.1%
Medicaid	850	832	(18)	-2.1%
Industry Assessments	577	832	255	44.2%
Motor Vehicle Fees	401	416	15	3.7%
All Other	2,866	2,051	(815)	-28.4%
Federal Receipts	52,725	54,844	2,119	4.0%
Total	78,512	79,515	1,003	1.3%

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(333)	(558)	(891)
Receipts:			
Taxes	1,383	0	1,383
Miscellaneous Receipts	4,635	2	4,637
Federal Receipts	5	2,603	2,608
Total Receipts	6,023	2,605	8,628
Disbursements:			
Local Assistance	2,754	850	3,604
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,076	1,476	6,552
Total Disbursements	7,830	2,326	10,156
Other Financing Sources (Uses):			
Transfers from Other Funds	3,032	(281)	2,751
Transfers to Other Funds	(1,383)	(9)	(1,392)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	1,649	(290)	1,359
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(158)	(11)	(169)
Closing Fund Balance	(491)	(569)	(1,060)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)

	State	Federal	Total
Opening Fund Balance	(491)	(569)	(1,060)
Receipts:			
Taxes	1,327	0	1,327
Miscellaneous Receipts	6,832	1	6,833
Federal Receipts	5	2,265	2,270
Total Receipts	8,164	2,266	10,430
Disbursements:			
Local Assistance	4,327	706	5,033
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,846	1,167	8,013
Total Disbursements	11,173	1,873	13,046
Other Financing Sources (Uses):			
Transfers from Other Funds	3,410	(360)	3,050
Transfers to Other Funds	(1,427)	(12)	(1,439)
Bond and Note Proceeds	988	0	988
Net Other Financing Sources (Uses)	2,971	(372)	2,599
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(38)	21	(17)
Closing Fund Balance	(529)	(548)	(1,077)

The State and Federal Funds share of capital projects disbursements and other financing sources (uses) have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(529)	(548)	(1,077)
Receipts:			
Taxes	1,395	0	1,395
Miscellaneous Receipts	7,573	0	7,573
Federal Receipts	5	2,424	2,429
Total Receipts	8,973	2,424	11,397
Disbursements:			
Local Assistance	4,322	706	5,028
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,448	1,309	9,757
Total Disbursements	12,770	2,015	14,785
Other Financing Sources (Uses):			
Transfers from Other Funds	4,710	(376)	4,334
Transfers to Other Funds	(1,434)	(11)	(1,445)
Bond and Note Proceeds	478	0	478
Net Other Financing Sources (Uses)	3,754	(387)	3,367
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(43)	22	(21)
Closing Fund Balance	(572)	(526)	(1,098)

The State and Federal Funds share of capital projects disbursements and other financing sources (uses) have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	State	Federal	Total
Opening Fund Balance	(572)	(526)	(1,098)
Receipts:			
Taxes	1,391	0	1,391
Miscellaneous Receipts	7,278	0	7,278
Federal Receipts	5	2,210	2,215
Total Receipts	8,674	2,210	10,884
Disbursements:			
Local Assistance	3,939	706	4,645
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,985	1,076	9,061
Total Disbursements	11,924	1,782	13,706
Other Financing Sources (Uses):			
Transfers from Other Funds	4,526	(395)	4,131
Transfers to Other Funds	(1,697)	(12)	(1,709)
Bond and Note Proceeds	387	0	387
Net Other Financing Sources (Uses)	3,216	(407)	2,809
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(34)	21	(13)
Closing Fund Balance	(606)	(505)	(1,111)

The State and Federal Funds share of capital projects disbursements and other financing sources (uses) have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(606)	(505)	(1,111)
Receipts:			
Taxes	1,391	0	1,391
Miscellaneous Receipts	6,695	0	6,695
Federal Receipts	5	2,166	2,171
Total Receipts	8,091	2,166	10,257
Disbursements:			
Local Assistance	3,418	706	4,124
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,396	1,026	8,422
Total Disbursements	10,814	1,732	12,546
Other Financing Sources (Uses):			
Transfers from Other Funds	4,086	(402)	3,684
Transfers to Other Funds	(1,680)	(12)	(1,692)
Bond and Note Proceeds	301	0	301
Net Other Financing Sources (Uses)	2,707	(414)	2,293
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(16)	20	4
Closing Fund Balance	(622)	(485)	(1,107)

The State and Federal Funds share of capital projects disbursements and other financing sources (uses) have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(891)</u>	<u>(1,060)</u>	<u>(169)</u>	<u>-19.0%</u>
Receipts:				
Taxes	1,383	1,327	(56)	-4.0%
Miscellaneous Receipts	4,637	6,833	2,196	47.4%
Federal Receipts	<u>2,608</u>	<u>2,270</u>	<u>(338)</u>	<u>-13.0%</u>
Total Receipts	<u>8,628</u>	<u>10,430</u>	<u>1,802</u>	<u>20.9%</u>
Disbursements:				
Local Assistance	3,604	5,033	1,429	39.7%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	<u>6,552</u>	<u>8,013</u>	<u>1,461</u>	<u>22.3%</u>
Total Disbursements	<u>10,156</u>	<u>13,046</u>	<u>2,890</u>	<u>28.5%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	2,751	3,050	299	10.9%
Transfers to Other Funds	(1,392)	(1,439)	(47)	-3.4%
Bond and Note Proceeds	<u>0</u>	<u>988</u>	<u>988</u>	<u>0.0%</u>
Net Other Financing Sources (Uses)	<u>1,359</u>	<u>2,599</u>	<u>1,240</u>	<u>91.2%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(169)</u>	<u>(17)</u>	<u>152</u>	<u>89.9%</u>
Closing Fund Balance	<u><u>(1,060)</u></u>	<u><u>(1,077)</u></u>	<u><u>(17)</u></u>	<u><u>-1.6%</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018 First Quarter	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Consumption/Use Taxes	588	633	635	638
Motor Fuel Tax	407	405	401	399
Highway Use Tax	95	140	141	142
Auto Rental Tax	86	88	93	97
Business Taxes	620	643	637	634
Corporation and Utilities Tax	15	14	14	14
Petroleum Business Tax	605	629	623	620
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,327	1,395	1,391	1,391
Miscellaneous Receipts	6,833	7,573	7,278	6,695
Authority Bond Proceeds	5,307	6,503	6,214	5,645
State Park Fees	123	127	129	114
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	786	793	786	786
All Other	540	73	72	73
Federal Receipts	2,270	2,429	2,215	2,171
Total	10,430	11,397	10,884	10,257

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 First Quarter	Annual \$ Change	Annual % Change
Consumption/Use Taxes	624	588	(36)	-5.8%
Motor Fuel Tax	410	407	(3)	-0.7%
Highway Use Tax	136	95	(41)	-30.1%
Auto Rental Tax	78	86	8	10.3%
Business Taxes	640	620	(20)	-3.1%
Corporation and Utilities Tax	15	15	0	0.0%
Petroleum Business Tax	625	605	(20)	-3.2%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,383	1,327	(56)	-4.0%
Miscellaneous Receipts	4,637	6,833	2,196	47.4%
Authority Bond Proceeds	3,619	5,307	1,688	46.6%
State Park Fees	125	123	(2)	-1.6%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	787	786	(1)	-0.1%
All Other	29	540	511	1762.1%
Federal Receipts	2,608	2,270	(338)	-13.0%
Total	8,628	10,430	1,802	20.9%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>First Quarter</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	7	9	9	5	5
Empire State Development Corporation	<u>1</u>	<u>13</u>	<u>13</u>	<u>13</u>	<u>13</u>
Functional Total	<u>8</u>	<u>22</u>	<u>22</u>	<u>18</u>	<u>18</u>
TRANSPORTATION					
Transportation, Department of	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	83	109	103	103	107
People with Developmental Disabilities, Office for	13	36	37	38	38
Alcoholism and Substance Abuse Services, Office of	<u>4</u>	<u>5</u>	<u>4</u>	<u>4</u>	<u>4</u>
Functional Total	<u>100</u>	<u>150</u>	<u>144</u>	<u>145</u>	<u>149</u>
EDUCATION					
Education School Aid	<u>15</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>10</u>
Functional Total	<u>15</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>10</u>
HIGHER EDUCATION					
City University of New York	359	385	395	395	394
State University of New York	<u>83</u>	<u>90</u>	<u>90</u>	<u>90</u>	<u>90</u>
Functional Total	<u>442</u>	<u>475</u>	<u>485</u>	<u>485</u>	<u>484</u>
ALL OTHER					
Judiciary	<u>16</u>	<u>16</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>16</u>	<u>16</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>581</u>	<u>683</u>	<u>671</u>	<u>668</u>	<u>661</u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018 First Quarter	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Income Tax	12,346	12,968	13,479	13,866
Consumption/Use Taxes	6,819	7,094	7,374	7,658
Sales and Use Tax	6,819	7,094	7,374	7,658
Other Taxes	1,085	1,139	1,189	1,241
Real Estate Transfer Tax	1,085	1,139	1,189	1,241
Total Taxes	20,250	21,201	22,042	22,765
Miscellaneous Receipts	459	458	459	459
Mental Hygiene Patient Receipts	310	311	311	311
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	5	3	4	4
Federal Receipts	73	73	73	73
Total	20,782	21,732	22,574	23,297

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 First Quarter	Annual \$ Change	Annual % Change
Personal Income Tax	11,891	12,346	455	3.8%
Consumption/Use Taxes	6,483	6,819	336	5.2%
Sales and Use Tax	6,483	6,819	336	5.2%
Other Taxes	1,007	1,085	78	7.7%
Real Estate Transfer Tax	1,007	1,085	78	7.7%
Total Taxes	19,381	20,250	869	4.5%
Miscellaneous Receipts	458	459	1	0.2%
Mental Hygiene Patient Receipts	329	310	(19)	-5.8%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	123	144	21	17.1%
All Other	6	5	(1)	-16.7%
Federal Receipts	73	73	0	0.0%
Total	19,912	20,782	870	4.4%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>8,934</u>	<u>3,547</u>	<u>(333)</u>	<u>160</u>	<u>12,308</u>
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,487	4,635	458	26,393
Federal Receipts	0	(1)	5	73	77
Total Receipts	<u>49,320</u>	<u>25,587</u>	<u>6,023</u>	<u>19,912</u>	<u>100,842</u>
Disbursements:					
Local Assistance	44,439	19,930	2,754	0	67,123
State Operations:					
Personal Service	6,065	7,028	0	0	13,093
Non-Personal Service	2,022	3,527	0	38	5,587
General State Charges	5,462	2,172	0	0	7,634
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	5,076	0	5,078
Total Disbursements	<u>57,988</u>	<u>32,659</u>	<u>7,830</u>	<u>5,552</u>	<u>104,029</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	3,032	3,609	31,949
Transfers to Other Funds	(10,092)	(476)	(1,383)	(17,985)	(29,936)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,483</u>	<u>7,257</u>	<u>1,649</u>	<u>(14,376)</u>	<u>2,013</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,185)</u>	<u>185</u>	<u>(158)</u>	<u>(16)</u>	<u>(1,174)</u>
Closing Fund Balance	<u><u>7,749</u></u>	<u><u>3,732</u></u>	<u><u>(491)</u></u>	<u><u>144</u></u>	<u><u>11,134</u></u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,749	3,732	(491)	144	11,134
Receipts:					
Taxes	48,633	7,713	1,327	20,250	77,923
Miscellaneous Receipts	2,505	16,746	6,832	459	26,542
Federal Receipts	0	1	5	73	79
Total Receipts	51,138	24,460	8,164	20,782	104,544
Disbursements:					
Local Assistance	47,081	18,994	4,327	0	70,402
State Operations:					
Personal Service	5,976	6,959	0	0	12,935
Non-Personal Service	2,246	3,522	0	37	5,805
General State Charges	5,784	2,275	0	0	8,059
Debt Service	0	0	0	5,292	5,292
Capital Projects	0	2	6,846	0	6,848
Total Disbursements	61,087	31,752	11,173	5,329	109,341
Other Financing Sources (Uses):					
Transfers from Other Funds	19,040	7,837	3,410	3,733	34,020
Transfers to Other Funds	(10,146)	(949)	(1,427)	(19,183)	(31,705)
Bond and Note Proceeds	0	0	988	0	988
Net Other Financing Sources (Uses)	8,894	6,888	2,971	(15,450)	3,303
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,055)	(404)	(38)	3	(1,494)
Closing Fund Balance	6,694	3,328	(529)	147	9,640

The disbursements and other financing sources (uses) for State Capital Projects Funds and total State Funds have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	50,927	7,756	1,395	21,201	81,279
Miscellaneous Receipts	2,131	16,320	7,573	458	26,482
Federal Receipts	0	1	5	73	79
Total Receipts	<u>53,058</u>	<u>24,077</u>	<u>8,973</u>	<u>21,732</u>	<u>107,840</u>
Disbursements:					
Local Assistance	50,169	18,893	4,322	0	73,384
State Operations:					
Personal Service	6,239	6,941	0	0	13,180
Non-Personal Service	2,534	3,436	0	50	6,020
General State Charges	6,320	2,336	0	0	8,656
Debt Service	0	0	0	6,455	6,455
Capital Projects	0	0	8,448	0	8,448
Total Disbursements	<u>65,262</u>	<u>31,606</u>	<u>12,770</u>	<u>6,505</u>	<u>116,143</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,585	8,091	4,710	3,907	35,293
Transfers to Other Funds	(12,126)	(338)	(1,434)	(19,130)	(33,028)
Bond and Note Proceeds	0	0	478	0	478
Net Other Financing Sources (Uses)	<u>6,459</u>	<u>7,753</u>	<u>3,754</u>	<u>(15,223)</u>	<u>2,743</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,662				
Total Use (Reservation) of Fund Balance	<u>1,662</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,083)</u>				
Adherence to 2% Spending Benchmark	<u>3,242</u>				
Net General Fund Surplus (Deficit)	<u>(841)</u>				

The disbursements and other financing sources (uses) for State Capital Projects Funds and total State Funds have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	53,281	7,812	1,391	22,042	84,526
Miscellaneous Receipts	2,135	16,222	7,278	459	26,094
Federal Receipts	0	1	5	73	79
Total Receipts	<u>55,416</u>	<u>24,035</u>	<u>8,674</u>	<u>22,574</u>	<u>110,699</u>
Disbursements:					
Local Assistance	53,186	18,939	3,939	0	76,064
State Operations:					
Personal Service	6,490	7,029	0	0	13,519
Non-Personal Service	2,677	3,416	0	50	6,143
General State Charges	6,783	2,412	0	0	9,195
Debt Service	0	0	0	7,120	7,120
Capital Projects	0	0	7,985	0	7,985
Total Disbursements	<u>69,136</u>	<u>31,796</u>	<u>11,924</u>	<u>7,170</u>	<u>120,026</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,879	8,339	4,526	3,883	35,627
Transfers to Other Funds	(12,251)	(337)	(1,697)	(19,283)	(33,568)
Bond and Note Proceeds	0	0	387	0	387
Net Other Financing Sources (Uses)	<u>6,628</u>	<u>8,002</u>	<u>3,216</u>	<u>(15,400)</u>	<u>2,446</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,087				
Total Use (Reservation) of Fund Balance	<u>1,087</u>				
Excess (Deficiency) of Receipts and Use (Reservation)	(6,005)				
Adherence to 2% Spending Benchmark	5,968				
Net General Fund Surplus (Deficit)	<u>(37)</u>				

The disbursements and other financing sources (uses) for State Capital Projects Funds and total State Funds have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	55,182	7,858	1,391	22,765	87,196
Miscellaneous Receipts	2,058	15,995	6,695	459	25,207
Federal Receipts	0	1	5	73	79
Total Receipts	57,240	23,854	8,091	23,297	112,482
Disbursements:					
Local Assistance	55,863	18,996	3,418	0	78,277
State Operations:					
Personal Service	6,927	7,133	0	0	14,060
Non-Personal Service	2,723	3,430	0	50	6,203
General State Charges	7,344	2,482	0	0	9,826
Debt Service	0	0	0	7,385	7,385
Capital Projects	0	0	7,396	0	7,396
Total Disbursements	72,857	32,041	10,814	7,435	123,147
Other Financing Sources (Uses):					
Transfers from Other Funds	19,369	8,535	4,086	3,846	35,836
Transfers to Other Funds	(12,161)	(236)	(1,680)	(19,703)	(33,780)
Bond and Note Proceeds	0	0	301	0	301
Net Other Financing Sources (Uses)	7,208	8,299	2,707	(15,857)	2,357
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	653				
Total Use (Reservation) of Fund Balance	653				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,756)				
Adherence to 2% Spending Benchmark	8,156				
Net General Fund Surplus (Deficit)	400				

The disbursements and other financing sources (uses) for State Capital Projects Funds and total State Funds have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017 and FY 2018
(millions of dollars)

	FY 2017 Results	FY 2018 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	12,308	11,134	(1,174)	-9.5%
Receipts:				
Taxes	74,372	77,923	3,551	4.8%
Miscellaneous Receipts	26,393	26,542	149	0.6%
Federal Receipts	77	79	2	2.6%
Total Receipts	100,842	104,544	3,702	3.7%
Disbursements:				
Local Assistance	67,123	70,402	3,279	4.9%
State Operations:				
Personal Service	13,093	12,935	(158)	-1.2%
Non-Personal Service	5,587	5,805	218	3.9%
General State Charges	7,634	8,059	425	5.6%
Debt Service	5,514	5,292	(222)	-4.0%
Capital Projects	5,078	6,848	1,770	34.9%
Total Disbursements	104,029	109,341	5,312	5.1%
Other Financing Sources (Uses):				
Transfers from Other Funds	31,949	34,020	2,071	6.5%
Transfers to Other Funds	(29,936)	(31,705)	(1,769)	-5.9%
Bond and Note Proceeds	0	988	988	0.0%
Net Other Financing Sources (Uses)	2,013	3,303	1,290	64.1%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,174)	(1,494)	(320)	-27.3%
Closing Fund Balance	11,134	9,640	(1,494)	-13.4%

The disbursements and other financing sources (uses) for State Capital Projects Funds and total State Funds have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASHFLOW
GENERAL FUND
FY 2017
(dollars in millions)**

	2016 April Results	2016 May Results	2016 June Results	2016 July Results	2016 August Results	2016 September Results	2016 October Results	2016 November Results	2016 December Results	2017 January Results	2017 February Results	2017 March Results	Total
OPENING BALANCE	8,934	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	8,934
RECEIPTS:													
Personal Income Tax	4,787	1,639	3,123	1,921	2,205	3,420	1,895	1,560	3,457	3,452	2,620	2,456	32,535
Consumption/Use Taxes	547	523	711	569	537	713	549	552	687	594	474	645	7,101
Business Taxes	158	84	871	71	42	1,079	409	(116)	1,045	(8)	(301)	1,427	4,761
Other Taxes	75	108	79	74	125	88	100	94	158		59	86	1,110
Total Taxes	5,567	2,354	4,784	2,635	2,909	5,300	2,953	2,090	5,347	4,102	2,852	4,614	45,507
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	61
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	644
Motor Vehicle Fees	17	16	26	(4)	30	6	(50)	69	17	8	10	29	174
Reimbursements	6	16	36	8	7	49	4	28	4	3	21	40	246
Other Transactions	16	404	54	29	216	149	56	304	345	52	453	148	2,226
Total Miscellaneous Receipts	69	513	176	87	321	281	97	619	509	139	521	481	3,813
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,594	531	1,181	469	270	1,430	633	246	1,187	582	602	1,550	10,275
Tax in Excess of LGAC	247	111	453	254	203	327	250	248	317	268	4	188	2,870
Sales Tax Bond Fund	196	184	274	201	189	295	191	205	260	211	162	304	2,672
Real Estate Taxes in Excess of CW/CA Debt Service	74	74	91	96	85	83	79	77	71	78	75	57	940
All Other	5	39	23	6	0	44	21	43	4	87	50	496	818
Total Transfers from Other Funds	2,116	939	2,022	1,026	747	2,179	1,174	819	1,839	1,226	893	2,595	17,575
TOTAL RECEIPTS	7,752	3,806	6,982	3,748	3,977	7,760	4,224	3,528	7,695	5,467	4,266	7,690	66,895
DISBURSEMENTS:													
School Aid	754	2,900	1,898	48	645	1,620	911	1,421	1,782	585	625	7,828	21,017
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	2,874
All Other Education	52	118	514	146	238	68	113	195	79	33	212	418	2,186
Medicaid - DOH	998	1,267	1,441	1,087	1,288	1,216	1,020	1,367	1,223	822	405	313	12,447
Public Health	20	207	52	57	39	36	41	65	41	96	34	42	730
Mental Hygiene	3	1	202	1	4	199	12	2	242	(1)	139	83	887
Children and Families	27	33	271	194	69	88	65	96	315	120	178	256	1,712
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	1,220
Transportation	0	23	11	0	24	0	0	24	0	0	14	0	106
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	760
All Other	9	19	93	31	46	53	58	30	36	(64)	125	64	500
Total Local Assistance	1,977	4,698	5,675	1,884	2,574	3,654	2,681	3,336	4,151	1,736	1,934	10,139	44,439
Personal Service	475	488	609	476	490	578	462	621	484	430	451	501	6,065
Non-Personal Service	103	158	162	132	186	171	205	205	130	152	163	262	2,022
Total State Operations	578	623	771	608	676	749	683	826	614	582	614	763	8,087
General State Charges	2,440	193	391	404	365	0	500	(20)	402	350	220	217	5,462
Debt Service	245	(2)	(3)	167	(18)	(80)	104	(2)	(2)	344	(15)	186	924
Capital Projects	162	179	107	344	342	(8)	281	287	443	243	481	(292)	2,569
State Share Medicaid	95	105	162	127	89	61	80	178	94	50	129	69	1,239
SUNY Operations	213	213	213	0	0	0	0	179	0	0	0	0	996
Other Purposes	83	939	208	480	485	47	538	135	471	131	(38)	885	4,364
Total Transfers to Other Funds	798	1,434	686	1,297	898	20	1,003	777	1,006	768	557	848	10,092
TOTAL DISBURSEMENTS	5,793	6,948	7,523	4,193	4,513	4,423	4,867	4,919	6,173	3,436	3,325	11,967	68,080
Excess/(Deficiency) of Receipts over Disbursements	1,959	(3,142)	(541)	(445)	(536)	3,337	(643)	(1,391)	1,522	2,031	941	(4,277)	(1,185)
CLOSING BALANCE	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	7,749	7,749

**CASHFLOW
STATE OPERATING FUNDS
FY 2017**

(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,641	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041		12,641
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,230	1,143	1,566	1,250	1,189	1,550	1,219	1,213	1,504	1,301	1,042	1,381	0	15,588
Business Taxes	231	139	1,096	1,350	112	1,326	543	(69)	1,315	80	(273)	1,700	0	6,339
Other Taxes	282	277	270	261	323	282	298	273	341	327	279	279	0	3,497
Total Taxes	8,127	3,744	7,656	4,212	4,564	7,862	4,593	3,511	7,912	9,303	4,546	6,959	0	72,989
Abandoned Property	0	0	0	0	0	38	29	159	49	4	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medicaid	77	72	72	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Other Transactions	279	660	423	322	496	608	278	501	794	335	651	606	0	5,928
Total Miscellaneous Receipts	1,358	1,857	1,677	1,439	1,863	2,191	1,485	1,879	2,074	1,538	2,250	2,147	0	21,758
Federal Receipts	15	1	0	1	20	1	3	(3)	(2)	2	35	(1)	0	72
TOTAL RECEIPTS	9,900	5,602	9,333	5,652	6,447	10,054	6,081	5,387	9,984	10,843	6,831	9,105	0	94,819
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,771	1,056	1,565	1,926	729	769	7,985	0	24,351
Higher Education	19	25	648	218	124	166	42	42	151	51	107	966	0	2,874
All Other Education	52	118	514	146	240	70	114	195	80	33	212	419	0	2,193
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	741	0	18,243
Public Health	82	235	188	113	90	95	94	103	87	166	61	201	0	1,515
Mental Hygiene	90	40	439	107	49	447	112	35	519	91	195	335	0	2,459
Children and Families	27	34	271	194	69	88	65	97	315	120	179	256	0	1,715
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	0	1,220
Transportation	192	481	524	308	490	429	376	622	882	206	298	169	0	4,977
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	31	57	134	57	95	76	68	90	63	(35)	151	136	0	923
Total Local Assistance	2,678	5,760	7,752	3,022	3,665	7,081	3,802	4,873	6,055	5,013	3,047	11,621	0	64,569
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	0	13,093
Non-Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	0	5,587
Total State Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	0	18,680
General State Charges	2,619	431	495	430	433	417	523	472	508	438	438	430	0	7,634
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	0	5,514
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
Total Disbursements	6,754	7,799	10,137	4,847	5,931	9,977	5,854	7,250	8,400	6,896	5,613	16,741	0	96,199
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,034	2,443	2,969	2,323	1,506	2,729	2,038	1,598	2,690	2,003	1,248	4,709	(373)	28,917
Transfers to other funds	(3,076)	(2,440)	(2,767)	(2,525)	(1,783)	(2,295)	(2,235)	(1,691)	(2,925)	(2,073)	(1,627)	(3,489)	373	(28,553)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(42)	3	202	(202)	(277)	434	(197)	(93)	(235)	(70)	(379)	1,220	0	364
Excess/(Deficiency) of Receipts over Disbursements	2,704	(2,194)	(602)	603	239	511	30	(1,956)	1,349	3,877	839	(6,416)	0	(1,016)
CLOSING BALANCE	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041	11,625	0	11,625

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,810	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886		11,810
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,266	1,261	1,571	1,351	1,089	1,425	0	16,212
Business Taxes	280	182	1,150	206	164	1,382	598	(17)	1,374	134	(226)	1,752	0	6,979
Other Taxes	282	277	334	273	310	283	354	296	354	339	296	291	0	3,616
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	0	74,372
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0	438
ABC License Fee	6	5	4	4	5	4	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medical	77	72	72	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	46	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Other Transactions	377	903	1,094	425	599	1,275	593	593	930	568	748	2,659	0	10,764
Total Miscellaneous Receipts	1,456	2,100	2,348	1,542	1,966	2,858	1,825	1,971	2,210	1,771	2,347	4,200	0	26,594
Federal Receipts	3,276	4,197	5,209	3,646	4,205	5,382	3,582	4,207	5,992	4,075	3,129	8,526	0	55,406
TOTAL RECEIPTS	12,952	10,124	15,345	9,528	10,850	16,241	10,094	9,799	16,253	15,265	10,128	19,793	0	156,372
DISBURSEMENTS:														
School Aid	955	3,083	2,431	174	751	3,859	1,334	1,698	2,088	877	1,470	8,531	0	27,251
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	0	2,874
All Other Education	119	218	583	195	331	111	187	235	105	74	262	467	0	2,887
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medical - DOH	3,835	4,615	5,070	4,636	5,024	3,789	4,064	5,643	4,725	4,498	2,940	6,709	0	55,548
Public Health	220	381	309	243	216	432	212	209	328	323	178	430	0	3,481
Mental Hygiene	120	58	457	128	63	472	136	53	535	116	217	366	0	2,721
Children and Families	87	136	324	295	227	326	138	112	348	125	187	262	0	2,577
Temporary & Disability Assistance	269	274	417	249	257	843	281	326	485	277	342	423	0	4,393
Transportation	225	524	608	385	574	663	449	661	1,166	253	504	568	0	6,580
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	181	238	327	300	499	288	288	379	513	116	472	525	0	4,126
Total Local Assistance	6,031	9,563	11,979	6,832	8,076	11,155	7,455	9,378	10,682	8,953	6,679	19,554	0	116,337
Personal Service	1,075	1,060	1,386	1,045	1,095	1,258	1,039	1,405	1,074	1,038	1,043	1,206	0	13,724
Non-Personal Service	363	523	603	444	656	606	605	606	531	564	642	754	0	6,958
Total State Operations	1,438	1,583	1,989	1,489	1,762	1,914	1,644	2,011	1,605	1,602	1,685	1,960	0	20,682
General State Charges	2,630	466	509	430	467	454	527	502	548	462	458	474	0	7,927
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	0	5,514
Capital Projects	313	486	647	471	561	691	586	646	540	465	485	664	0	6,555
TOTAL DISBURSEMENTS	10,525	12,261	15,214	9,247	11,148	15,004	10,245	12,611	13,739	11,508	9,967	25,546	0	157,015
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,195	2,627	3,108	2,686	1,859	2,729	2,325	1,898	3,145	2,259	1,749	4,461	(373)	31,668
Transfers to other funds	(5,202)	(2,631)	(3,108)	(2,687)	(1,874)	(2,726)	(2,350)	(1,900)	(3,146)	(2,256)	(1,754)	(4,490)	373	(31,731)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(7)	(4)	0	(1)	(15)	3	(5)	(2)	(1)	3	(5)	(29)	0	(63)
Excess/(Deficiency) of Receipts over Disbursements	2,420	(2,141)	131	280	(313)	1,240	(156)	(2,814)	2,513	3,760	156	(5,782)	0	(706)
CLOSING BALANCE	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886	11,104	0	11,104

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,607	3,870	4,768	5,296	5,554	5,577	4,365	4,566	3,001	4,178	4,121	3,489		3,607
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	107	2,244	0	243	0	3,139
Consumption/Use Taxes	185	145	200	173	167	184	170	163	184	172	131	130	0	2,004
Business Taxes	73	55	225	68	70	247	134	47	270	88	28	273	0	1,578
Other Taxes	117	94	100	85	108	105	114	96	106	180	144	131	0	1,380
Total Taxes	376	294	945	326	345	644	423	317	667	2,684	303	777	0	8,101
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medical	77	72	72	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	33	38	35	38	32	31	32	31	29	33	30	37	0	401
Other Transactions	265	275	416	169	245	428	180	184	434	213	179	455	0	3,443
Total Miscellaneous Receipts	1,291	1,363	1,548	1,228	1,507	1,879	1,371	1,247	1,550	1,329	1,710	1,663	0	17,686
Federal Receipts	3,150	4,041	4,981	3,491	3,940	5,020	3,397	4,004	5,612	3,934	2,959	8,196	0	52,725
TOTAL RECEIPTS	4,817	5,698	7,474	5,045	5,792	7,543	5,191	5,568	7,829	7,947	4,972	10,636	0	78,512
DISBURSEMENTS:														
School Aid	201	183	533	126	106	2,237	420	274	299	292	838	690	0	6,199
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	67	100	69	41	87	43	74	40	26	41	50	49	0	687
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medical - DOH	2,837	3,348	3,629	3,549	3,736	2,573	3,044	4,276	3,502	3,676	2,535	6,396	0	43,101
Public Health	200	170	257	182	177	392	167	144	284	189	140	385	0	2,687
Mental Hygiene	113	49	248	121	55	265	116	44	286	105	70	263	0	1,735
Children and Families	60	103	53	101	168	238	73	16	33	5	9	6	0	865
Temporary & Disability Assistance	174	164	261	156	160	717	185	233	344	168	247	310	0	3,119
Transportation	197	461	519	310	471	431	378	600	877	210	286	180	0	4,920
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	111	190	32	155	250	144	132	218	205	71	154	180	0	1,842
Total Local Assistance	3,961	4,768	6,017	4,741	5,210	7,148	4,590	5,864	5,963	7,000	4,329	8,703	0	68,294
Personal Service	600	572	777	569	605	680	577	784	590	608	592	705	0	7,659
Non-Personal Service	259	386	440	297	478	482	384	399	400	411	478	484	0	4,898
Total State Operations	859	958	1,217	866	1,083	1,162	961	1,183	990	1,019	1,070	1,189	0	12,557
General State Charges	190	273	118	26	102	454	27	522	146	112	238	257	0	2,465
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	5,010	5,999	7,352	5,634	6,395	8,765	5,577	7,570	7,100	8,131	5,637	10,148	0	83,318
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	560	1,365	755	996	708	219	677	635	656	372	197	966	(373)	7,733
Transfers to Other Funds	(104)	(166)	(349)	(149)	(82)	(209)	(90)	(198)	(208)	(245)	(164)	(671)	373	(2,262)
NET OTHER FINANCING SOURCES/(USES)	456	1,199	406	847	626	10	587	437	448	127	33	295	0	5,471
Excess/(Deficiency) of Receipts over Disbursements	263	898	528	258	23	(1,212)	201	(1,565)	1,177	(57)	(632)	783	0	665
CLOSING BALANCE	3,870	4,768	5,296	5,554	5,577	4,365	4,566	3,001	4,178	4,121	3,489	4,272	0	4,272

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,547	4,127	4,982	4,973	5,579	6,054	3,961	4,436	3,568	3,552	3,634	3,571		3,547
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	107	2,244	0	243	0	3,139
Consumption/Use Taxes	185	145	200	173	167	184	170	163	184	172	131	130	0	2,004
Business Taxes	73	55	225	68	70	247	134	47	270	88	28	273	0	1,578
Other Taxes	117	94	100	85	108	105	114	96	106	180	144	131	0	1,380
Total Taxes	376	294	945	326	345	644	423	317	667	2,684	303	777	0	8,101
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Miscellaneous	77	72	72	71	76	69	73	66	66	70	73	68	0	850
Motor Vehicle Fees	33	36	38	32	32	32	32	32	32	33	30	30	0	341
Other Transactions	232	231	407	155	228	417	163	161	425	201	165	433	0	3,244
Total Miscellaneous Receipts	1,278	1,319	1,539	1,214	1,490	1,868	1,354	1,232	1,541	1,317	1,694	1,641	0	17,487
Federal Receipts	15	1	0	(1)	(15)	1	3	(3)	(1)	0	0	(1)	0	(1)
TOTAL RECEIPTS	1,669	1,614	2,484	1,539	1,820	2,513	1,780	1,546	2,207	4,001	1,997	2,417	0	25,587
DISBURSEMENTS:														
School Aid	0	0	305	0	0	2,151	145	144	144	144	144	157	0	3,334
Higher Education	0	0	0	0	0	2	1	0	0	0	0	0	0	0
All Other Education	0	0	0	0	2	108	1	19	107	2,243	0	1	0	7
STAR	337	498	416	642	470	477	435	648	436	430	575	244	0	3,139
Medicaid - DOH	62	79	152	56	51	57	53	31	48	30	27	159	0	5,785
Medicaid - Health	87	39	237	106	45	248	100	33	277	92	56	252	0	1,572
Children and Families	0	1	0	0	0	0	0	0	0	0	1	0	0	3
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	192	458	513	308	466	429	376	598	872	206	284	169	0	4,871
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	38	41	26	49	23	10	60	27	29	26	72	0	423
Total Local Assistance	701	1,062	2,077	1,138	1,091	3,427	1,121	1,537	1,904	3,277	1,113	1,482	0	19,930
Personal Services	551	578	705	578	546	633	532	714	541	564	543	643	0	7,038
Non-Personal Service	214	292	333	218	326	303	282	288	316	272	310	383	0	3,527
Total State Operations	765	840	1,028	746	872	936	814	1,002	857	836	853	1,026	0	10,555
General State Charges	179	238	104	26	68	417	23	492	106	88	218	213	0	2,172
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	1,645	2,120	3,209	1,911	2,031	4,781	1,957	3,032	2,868	4,201	2,184	2,720	0	32,659
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	560	1,365	755	996	708	219	677	635	656	372	197	966	(373)	7,733
Transfers to Other Funds	(4)	(4)	(39)	(18)	(22)	(44)	(25)	(17)	(11)	(90)	(73)	(502)	373	(476)
NET OTHER FINANCING SOURCES/(USES)	556	1,361	716	978	686	175	652	618	645	282	124	464	0	7,257
Excess/(Deficiency) of Receipts over Disbursements	580	855	(9)	606	475	(2,093)	475	(868)	(16)	82	(62)	161	0	185
CLOSING BALANCE	4,127	4,982	4,973	5,579	6,054	3,961	4,436	3,568	3,552	3,634	3,571	3,732	0	3,732

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	60	(257)	(214)	323	(25)	(477)	404	130	(567)	626	487	(82)	60
RECEIPTS:													
Miscellaneous Receipts	13	44	9	14	17	11	17	15	9	12	16	22	199
Federal Receipts	3,135	4,040	4,981	3,492	3,955	5,019	3,394	4,007	5,613	3,934	2,959	8,197	52,726
TOTAL RECEIPTS	3,148	4,084	4,990	3,506	3,972	5,030	3,411	4,022	5,622	3,946	2,975	8,219	52,925
DISBURSEMENTS:													
School Aid	201	183	228	126	106	86	275	130	155	148	694	533	2,865
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	67	100	69	41	85	41	73	40	25	41	50	48	680
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,500	2,850	3,200	2,907	3,258	2,166	2,609	3,632	3,072	3,183	1,960	5,968	37,305
Public Health	138	142	121	126	126	333	114	106	238	119	113	226	1,902
Mental Hygiene	26	10	11	15	10	17	16	11	9	13	14	11	163
Children and Families	60	102	53	101	168	238	73	15	33	5	8	6	862
Temporary & Disability Assistance	174	164	261	156	160	717	185	233	344	168	247	310	3,119
Transportation	5	3	6	2	5	2	2	2	5	4	2	11	49
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	89	152	(9)	129	201	121	122	158	178	42	128	108	1,419
Total Local Assistance	3,260	3,706	3,940	3,603	4,119	3,721	3,469	4,327	4,059	3,723	3,216	7,221	48,364
Personal Service	49	44	72	41	59	47	45	70	49	44	49	62	631
Non-Personal Service	45	94	117	79	152	179	102	111	84	139	168	101	1,371
Total State Operations	94	138	189	120	211	226	147	181	133	183	217	163	2,002
General State Charges	11	35	14	0	34	37	4	30	40	24	20	44	293
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,365	3,879	4,143	3,723	4,364	3,984	3,620	4,538	4,232	3,930	3,453	7,428	50,659
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(100)	(162)	(310)	(131)	(60)	(165)	(65)	(181)	(197)	(155)	(91)	(169)	(1,786)
NET OTHER FINANCING SOURCES/(USES)	(100)	(162)	(310)	(131)	(60)	(165)	(65)	(181)	(197)	(155)	(91)	(169)	(1,786)
Excess/(Deficiency) of Receipts over Disbursements	(317)	43	537	(348)	(452)	881	(274)	(697)	1,193	(139)	(569)	622	480
CLOSING BALANCE	(257)	(214)	323	(25)	(477)	404	130	(567)	626	487	(82)	540	540

**CASHFLOW
DEBT SERVICE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	160	325	418	366	808	1,108	375	573	876	719	2,483	2,444	160
RECEIPTS:													
Personal Income Tax	1,596	546	1,181	641	735	1,176	633	523	1,188	1,899	873	900	11,891
Consumption/Use Taxes	488	475	655	508	485	653	500	498	633	535	437	606	6,483
Other Taxes	90	75	91	102	90	89	84	83	77	83	81	62	1,007
Total Taxes	2,184	1,096	1,927	1,251	1,310	1,918	1,217	1,104	1,898	2,517	1,391	1,568	19,381
Miscellaneous Receipts	11	25	(38)	138	52	42	34	28	24	82	35	25	458
Federal Receipts	0	0	0	2	35	0	0	0	(1)	2	35	0	73
TOTAL RECEIPTS	2,195	1,121	1,889	1,391	1,397	1,960	1,251	1,132	1,921	2,601	1,461	1,593	19,912
DISBURSEMENTS:													
State Operations	1	2	1	15	3	3	0	2	1	1	1	8	38
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	5,514
TOTAL DISBURSEMENTS	114	165	91	40	285	793	33	76	365	27	661	2,902	5,552
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	358	139	192	301	51	331	187	144	195	405	158	1,148	3,609
Transfers to Other Funds	(2,274)	(1,002)	(2,042)	(1,210)	(863)	(2,231)	(1,207)	(897)	(1,908)	(1,215)	(997)	(2,139)	(17,985)
NET OTHER FINANCING SOURCES/(USES)	(1,916)	(863)	(1,850)	(909)	(812)	(1,900)	(1,020)	(753)	(1,713)	(810)	(839)	(991)	(14,376)
Excess/(Deficiency) of Receipts over Disbursements	165	93	(52)	442	300	(733)	198	303	(157)	1,764	(39)	(2,300)	(16)
CLOSING BALANCE	325	418	366	808	1,108	375	573	876	719	2,483	2,444	144	160

CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(891)	(858)	(848)	(652)	(627)	(727)	(879)	(791)	(952)	(981)	(959)	(1,073)	(891)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	47	48	67	50	47	44	624
Business Taxes	49	43	54	67	52	56	55	52	59	54	47	52	640
Other Taxes	0	0	12	12	11	13	12	10	13	12	12	12	119
Total Taxes	93	83	132	128	115	139	114	110	139	116	106	108	1,383
Miscellaneous Receipts	85	199	662	89	86	656	323	77	127	221	81	2,031	4,637
Federal Receipts	126	156	228	153	230	362	165	203	381	139	135	330	2,608
TOTAL RECEIPTS	304	438	1,022	370	431	1,157	602	390	647	476	322	2,469	8,628
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	4	0	4	0	4	4	0	3	38	4	3	64
Mental Hygiene	4	8	7	6	4	8	8	7	7	12	8	20	99
School Aid	0	0	0	0	0	2	3	3	7	0	7	13	35
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	0	15	0	7	54
Transportation	28	40	78	75	79	232	71	37	279	43	204	388	1,554
All Other Local	61	29	202	114	203	91	98	131	272	109	193	281	1,784
Total Local Assistance	93	97	287	207	292	353	184	178	568	217	416	712	3,604
Economic Development	6	7	7	14	8	13	10	9	12	6	3	7	102
Parks & the Environment	18	30	39	28	33	82	30	35	60	54	40	70	519
Transportation	175	263	365	268	331	425	340	442	305	275	296	314	3,799
Health & Social Welfare	3	2	(11)	3	3	0	21	11	7	(15)	11	31	66
Mental Hygiene	11	11	11	13	10	14	24	15	21	16	11	41	210
Public Protection	18	29	31	26	46	24	35	34	30	31	33	76	413
Education	22	66	81	70	77	81	77	66	73	67	59	69	802
All Other	60	78	112	48	59	51	50	33	31	31	32	57	642
Total Capital Projects	313	486	647	470	561	690	587	645	539	465	485	665	6,553
TOTAL DISBURSEMENTS	406	583	934	677	853	1,043	771	823	1,107	682	901	1,377	10,157
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	161	184	139	363	353	0	287	300	455	256	501	(248)	2,751
Transfers to Other Funds	(26)	(29)	(31)	(31)	(31)	(266)	(30)	(28)	(24)	(28)	(36)	(832)	(1,392)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	135	155	108	332	322	(266)	257	272	431	228	465	(1,080)	1,359
Excess/(Deficiency) of Receipts over Disbursements	33	10	196	25	(100)	(152)	88	(164)	(29)	22	(114)	12	(170)
CLOSING BALANCE	(858)	(848)	(652)	(627)	(727)	(879)	(791)	(952)	(981)	(959)	(1,073)	(1,061)	(1,061)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(333)	(348)	(348)	(220)	(157)	(271)	(433)	(283)	(455)	(536)	(455)	(321)	(333)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	47	48	67	50	47	44	624
Business Taxes	49	43	54	67	52	56	55	52	59	54	47	52	640
Other Taxes	0	0	12	10	11	13	12	10	13	12	12	12	119
Total Taxes	93	83	132	128	115	139	114	110	139	116	106	108	1,383
Miscellaneous Receipts	84	199	662	89	86	656	323	76	127	221	81	2,031	4,635
Federal Receipts	0	0	0	0	0	2	0	1	0	0	0	2	5
TOTAL RECEIPTS	177	282	794	217	201	797	437	187	266	337	187	2,141	6,023
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	4	0	0	0	0	4	0	0	2	4	(1)	17
Mental Hygiene	4	8	7	6	4	8	7	7	7	12	8	20	99
School Aid	0	0	0	0	0	2	3	3	7	0	7	13	35
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	0	15	0	7	54
Transportation	6	3	51	11	14	117	10	6	221	6	121	344	910
All Other Local	61	29	202	114	203	89	98	131	130	109	193	266	1,625
Total Local Assistance	71	60	260	143	227	232	123	147	365	144	333	649	2,754
Economic Development	6	7	7	14	8	13	10	9	12	6	3	7	102
Parks & the Environment	17	29	39	28	33	28	30	35	60	51	39	69	458
Transportation	121	159	241	149	183	283	178	287	184	156	233	258	2,432
Health & Social Welfare	3	2	(11)	3	3	0	21	11	7	(16)	11	26	60
Mental Hygiene	11	11	23	13	10	14	24	15	21	16	11	41	210
Public Protection	17	26	28	23	43	22	32	30	26	30	29	72	378
Education	22	66	81	70	71	81	77	66	73	67	59	69	802
All Other	61	77	108	44	59	50	50	33	29	31	33	60	635
Total Capital Projects	258	377	516	344	410	491	422	486	412	341	418	602	5,077
TOTAL DISBURSEMENTS	329	437	776	487	637	723	545	633	777	485	751	1,251	7,831
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	162	184	138	362	353	30	287	300	455	258	784	(231)	3,092
Transfers to Other Funds	(25)	(29)	(28)	(29)	(31)	(266)	(29)	(26)	(25)	(29)	(36)	(830)	(1,383)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	137	155	110	333	322	(236)	258	274	430	229	698	(1,061)	1,649
Excess/(Deficiency) of Receipts over Disbursements	(15)	0	128	63	(114)	(162)	150	(172)	(81)	81	134	(171)	(159)
CLOSING BALANCE	(348)	(348)	(220)	(157)	(271)	(433)	(283)	(455)	(536)	(455)	(321)	(492)	(492)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(558)	(510)	(500)	(432)	(471)	(457)	(447)	(511)	(498)	(446)	(505)	(753)	(558)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	1	0	0	0	0	2
Federal Receipts	126	156	228	153	230	360	165	202	381	139	135	328	2,603
TOTAL RECEIPTS	127	156	228	153	230	360	165	203	381	139	135	328	2,605
DISBURSEMENTS:													
Public Health	0	0	0	0	0	4	0	0	3	36	0	4	47
Transportation	22	37	27	64	65	115	61	31	58	37	83	44	644
All Other Local	0	0	0	0	0	2	0	0	142	0	0	15	159
Total Local Assistance	22	37	27	64	65	121	61	31	203	73	83	63	850
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	0	0	0	54	0	0	0	3	1	1	61
Transportation	54	104	124	119	148	142	162	155	121	119	63	56	1,367
Health & Social Welfare	0	0	0	0	0	0	0	0	0	1	0	5	6
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	3	3	3	3	2	3	4	4	1	4	4	35
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	4	4	0	1	0	0	2	0	(1)	(4)	6
Total Capital Projects	55	109	131	126	151	199	165	159	127	124	67	62	1,475
TOTAL DISBURSEMENTS	77	146	158	190	216	320	226	190	330	197	150	125	2,325
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(1)	0	1	0	0	(30)	0	0	0	(2)	(233)	(16)	(281)
Transfers to Other Funds	(1)	0	(3)	(2)	0	0	(3)	0	1	1	0	(2)	(9)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(2)	0	(2)	(2)	0	(30)	(3)	0	1	(1)	(233)	(18)	(290)
Excess/(Deficiency) of Receipts over Disbursements	48	10	68	(39)	14	10	(64)	13	52	(59)	(248)	185	(10)
CLOSING BALANCE	(510)	(500)	(432)	(471)	(457)	(447)	(511)	(498)	(446)	(505)	(753)	(568)	(568)

**CASHFLOW
STATE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total	Intra-Fund Transfer Eliminations
OPENING BALANCE	12,308	14,997	12,803	12,329	12,995	13,120	13,469	13,649	11,521	12,789	16,747	17,720	12,308	
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	47,565	0
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,266	1,261	1,571	1,351	1,089	1,425	16,212	0
Business Taxes	280	182	1,150	206	164	1,382	598	(17)	1,374	134	(226)	1,752	6,979	0
Other Taxes	282	277	282	273	334	295	310	283	354	339	296	291	3,616	0
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	74,372	0
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	61	0
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	4,923	0
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	24	0
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	644	0
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	3,380	0
Medicaid	77	72	72	71	76	69	70	66	66	70	73	68	850	0
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	575	0
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	246	0
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	4,689	0
Other Transactions	363	859	1,085	411	582	1,264	576	577	921	556	732	2,637	10,563	0
Total Miscellaneous Receipts	1,442	2,056	2,339	1,528	1,949	2,847	1,808	1,955	2,201	1,759	2,331	4,178	26,393	0
Federal Receipts	15	1	0	1	20	3	3	(2)	(2)	2	35	1	77	0
TOTAL RECEIPTS	9,677	5,884	10,127	5,869	6,648	10,851	6,518	5,574	10,250	11,180	7,018	11,246	100,842	0
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,773	1,059	1,568	1,933	729	776	7,998	24,386	0
Higher Education	19	25	648	218	124	166	114	42	151	357	107	966	2,874	0
All Other Education	52	118	514	154	246	70	114	195	80	33	212	419	2,207	0
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	3,139	0
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	741	18,243	0
Public Health	82	239	188	117	90	95	98	103	87	168	65	200	1,532	0
Mental Hygiene	94	48	446	113	53	465	120	42	526	103	203	355	2,558	0
Children and Families	77	34	271	194	69	88	97	93	315	120	179	256	1,715	0
Temporary & Disability Assistance	95	110	156	93	97	126	96	93	91	109	95	113	1,274	0
Transportation	198	484	575	319	504	546	386	628	1,103	212	419	513	5,887	0
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	760	0
All Other	92	86	356	171	298	165	166	221	193	74	344	402	2,548	0
Total Local Assistance	2,749	5,820	8,012	3,165	3,892	7,313	3,925	5,020	6,420	5,157	3,380	12,270	67,123	0
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	13,093	0
Non-Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	5,587	0
Total State Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	18,680	0
General State Charges	2,619	431	495	430	433	417	523	472	508	438	438	430	7,634	0
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	5,514	0
Capital Projects	258	377	516	345	410	492	421	487	413	341	418	601	5,079	0
TOTAL DISBURSEMENTS	7,083	8,236	10,913	5,334	6,568	10,700	6,399	7,883	9,177	7,381	6,364	17,992	104,030	0
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,196	2,627	3,107	2,685	1,859	2,759	2,335	1,898	3,145	2,261	1,982	4,478	31,949	(373)
Transfers to other funds	(5,101)	(2,465)	(2,795)	(2,554)	(1,814)	(2,561)	(2,264)	(1,717)	(2,950)	(2,102)	(1,663)	(4,319)	(29,936)	373
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	95	158	312	131	45	198	61	181	195	159	319	159	2,013	0
Excess/(Deficiency) of Receipts over Disbursements	2,689	(2,194)	(474)	666	125	349	180	(2,128)	1,268	3,958	973	(6,587)	(1,175)	0
CLOSING BALANCE	14,997	12,803	12,329	12,995	13,120	13,469	13,649	11,521	12,789	16,747	17,720	11,133	11,133	0

**CASHFLOW
GENERAL FUND
FY 2018**
(dollars in millions)

	2017		2018		2018		2018		2018		2018		
	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
OPENING BALANCE	7,749	7,405	3,140	3,014	3,790	3,704	6,545	6,039	4,331	8,438	10,968	10,183	7,749
RECEIPTS:													
Personal Income Tax	3,751	1,576	3,431	1,987	2,279	3,827	2,133	1,759	4,139	4,420	2,604	2,501	34,407
Consumption/Use Taxes	522	539	731	577	568	754	593	589	729	636	501	697	7,436
Business Taxes	421	137	770	58	253	990	(4)	77	1,310	15	(108)	1,799	5,718
Other Taxes	91	114	103	71	88	87	86	86	86		86	88	1,072
Total Taxes	4,785	2,366	5,035	2,693	3,188	5,658	2,808	2,511	6,264	5,157	3,083	5,085	48,633
Abandoned Property	0	0	0	0	0	35	30	155	50	0	0	180	450
ABC License Fee	6	6	6	5	5	5	5	5	5	5	5	5	63
Investment Income	4	1	2	1	2	1	2	1	1	1	2	2	21
Licenses, Fees, etc.	27	73	36	51	65	35	55	55	65	70	35	86	653
Motor Vehicle Fees	36	29	31	(5)	19	20	18	20	15	16	17	16	232
Reimbursements	2	23	37	10	5	50	5	25	45	10	20	57	289
Other Transactions	20	381	52	51	33	71	41	18	58	19	17	36	797
Total Miscellaneous Receipts	95	513	164	113	129	217	156	279	240	121	96	382	2,505
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,249	509	1,162	475	355	1,510	712	389	1,418	801	702	1,624	10,906
Tax in Excess of LGAC	234	54	508	259	227	348	268	267	339	285	3	327	3,119
Sales Tax Bond Fund	179	186	280	210	203	318	205	203	276	221	169	329	2,779
Real Estate Taxes in Excess of CW/CA Debt Service	81	95	89	79	92	93	81	81	85	93	80	63	1,023
All Other	10	2	3	9	1	105	12	5	5	40	153	856	1,213
Total Transfers from Other Funds	1,753	846	2,042	1,032	878	2,374	1,289	957	2,123	1,440	1,107	3,199	19,040
TOTAL RECEIPTS	6,633	3,725	7,241	3,838	4,195	8,249	4,253	3,747	8,627	6,718	4,286	8,666	70,178
DISBURSEMENTS:													
School Aid	868	3,358	1,878	38	622	1,606	1,019	1,525	1,939	492	713	8,265	22,323
Higher Education	19	37	954	221	128	174	108	46	193	73	319	527	2,799
All Other Education	95	484	75	158	69	298	55	34	224	41	224	442	2,256
Medicaid - DOH	1,376	1,408	1,370	1,165	1,156	1,079	933	1,199	883	1,031	1,101	665	13,366
Public Health	68	177	50	63	67	61	47	48	49	30	31	14	705
Mental Hygiene	2	3	184	(1)	7	231	3	5	219	3	60	174	890
Children and Families	36	101	194	72	87	287	83	83	283	83	116	225	1,650
Temporary & Disability Assistance	95	112	143	92	106	105	106	107	106	106	106	132	1,316
Transportation	0	25	14	0	25	0	0	25	12	0	13	0	114
Unrestricted Aid	0	11	388	3	1	101	7	1	184	1	1	63	761
All Other	11	16	90	18	45	36	103	141	110	105	118	108	901
Total Local Assistance	2,570	5,732	5,340	1,829	2,313	3,978	2,464	3,214	4,259	1,965	2,802	10,615	47,081
Personal Service	485	642	475	466	503	426	547	586	427	423	420	576	5,976
Non-Personal Service	91	226	185	142	190	194	168	176	193	202	190	275	2,246
Total State Operations	576	868	660	608	693	620	715	776	620	625	610	851	8,222
General State Charges	2,398	292	409	347	113	255	489	159	235	506	232	349	5,784
Debt Service	274	(1)	2	152	(3)	(59)	361	0	(2)	294	(20)	(82)	916
Capital Projects	310	268	438	(600)	586	429	87	509	(777)	515	780	78	2,623
State Share Medicaid	100	138	98	64	104	132	108	108	108	108	110	122	1,301
SUNY Operations	218	218	181	181	0	0	0	181	0	0	0	(1)	1,015
Other Purposes	531	475	202	481	475	53	534	508	77	175	557	223	4,291
Total Transfers to Other Funds	1,433	1,098	958	278	1,162	555	1,091	1,306	(594)	1,092	1,427	340	10,146
TOTAL DISBURSEMENTS	6,977	7,990	7,367	3,062	4,281	5,408	4,759	5,455	4,520	4,188	5,071	12,155	71,233
Excess/(Deficiency) of Receipts over Disbursements	(344)	(4,265)	(1,126)	776	(86)	2,841	(506)	(1,708)	4,107	2,530	(785)	(3,489)	(1,055)
CLOSING BALANCE	7,405	3,140	3,014	3,790	3,704	6,545	6,039	4,331	8,438	10,968	10,183	6,694	6,694

**CASHFLOW
STATE OPERATING FUNDS
FY 2018**
(dollars in millions)

	2017 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,625	12,636	8,247	8,315	10,057	10,148	10,450	11,062	9,248	12,199	17,070	16,579	0	11,625
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,039	5,103	2,851	2,361	5,674	9,133	3,472	3,346	0	49,383
Consumption/Use Taxes	1,178	1,184	1,590	1,271	1,253	1,637	1,303	1,285	1,593	1,381	1,102	1,492	0	16,269
Business Taxes	556	198	977	122	357	1,208	46	123	1,570	63	(58)	2,187	0	7,349
Other Taxes	306	316	298	253	297	292	302	273	285	379	316	278	0	3,595
Total Taxes	7,041	3,800	7,517	4,295	4,946	8,240	4,502	4,042	9,122	10,956	4,832	7,303	0	76,596
Abandoned Property	0	0	0	0	0	35	30	155	50	5	0	180	0	450
ABC License Fee	397	395	398	473	418	408	422	405	419	409	5	5	0	63
HCRA	4	1	2	1	2	1	2	1	2	1	2	2	0	4,925
Investment Income	27	73	36	51	65	35	55	55	65	70	35	86	0	653
Licenses, Fees, etc.	263	308	288	259	310	257	253	305	250	312	270	308	0	3,383
Lottery	71	68	68	75	65	81	65	65	65	81	65	63	0	3,832
Medicaid	71	66	69	30	52	58	52	52	49	49	49	51	0	648
Motor Vehicle Fees	2	23	37	10	5	30	5	25	45	10	20	57	0	288
Reimbursements	290	248	301	98	380	676	386	259	260	459	649	513	0	4,519
State University Income	257	556	477	323	337	401	216	204	322	261	243	330	0	3,327
Other Transactions	1,388	1,748	1,682	1,325	1,639	1,991	1,507	1,531	1,532	1,657	1,742	1,972	0	19,170
Total Miscellaneous Receipts	0	0	0	0	2	35	0	0	0	0	2	35	0	74
Federal Receipts	8,429	5,544	9,199	5,620	6,587	10,266	6,009	5,573	10,654	12,613	6,576	9,310	0	96,380
TOTAL RECEIPTS														
DISBURSEMENTS:														
School Aid	868	3,358	2,205	38	622	3,795	1,164	1,670	2,084	637	858	8,464	0	25,763
Higher Education	19	37	954	221	128	174	108	46	193	73	319	527	0	2,799
All Other Education	95	484	76	160	70	301	56	34	282	41	224	446	0	2,630
STAR	0	0	58	0	0	0	5	12	116	2,430	0	9	0	2,630
Medicaid - DOH	1,765	1,939	1,717	1,486	1,813	1,534	1,370	1,784	1,349	1,528	1,592	1,105	0	18,982
Public Health	111	223	142	255	120	134	103	85	125	92	62	72	0	1,524
Mental Hygiene	39	124	448	106	87	464	74	64	457	96	121	426	0	2,486
Children and Families	36	102	194	72	87	287	83	83	283	83	117	227	0	1,654
Temporary & Disability Assistance	95	112	143	92	106	105	106	107	106	106	106	132	0	1,316
Transportation	245	504	434	373	507	409	346	611	903	199	254	240	0	5,025
Unrestricted Aid	0	11	388	3	1	101	7	1	184	1	1	63	0	761
All Other	42	41	110	49	101	64	56	100	76	66	77	84	0	866
Total Local Assistance	3,315	6,935	6,869	2,855	3,622	7,368	3,478	4,597	6,156	5,352	3,731	11,795	0	66,075
Personal Service	1,050	1,371	1,013	998	1,127	960	1,077	1,282	996	975	971	1,115	0	12,935
Non-Personal Service	322	500	526	364	513	521	443	484	518	488	502	624	0	5,805
Total State Operations	1,372	1,871	1,539	1,362	1,640	1,481	1,520	1,766	1,514	1,463	1,473	1,739	0	18,740
General State Charges	2,452	739	467	393	484	389	531	567	413	575	612	437	0	8,059
Debt Service	87	148	186	30	300	774	34	90	537	29	705	2,372	0	5,292
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
Total Disbursements	7,226	9,693	9,061	4,640	6,046	10,012	5,563	7,020	8,622	7,419	6,521	16,345	0	98,168
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,169	1,936	3,151	2,209	1,706	3,034	2,656	1,987	2,554	2,319	2,111	4,267	(489)	30,610
Transfers to other funds	(3,361)	(2,176)	(3,221)	(1,447)	(2,156)	(2,986)	(2,490)	(2,554)	(1,635)	(2,642)	(2,657)	(3,642)	489	(30,278)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(192)	(240)	(70)	762	(450)	48	166	(367)	919	(323)	(546)	625	0	332
Excess/(Deficiency) of Receipts over Disbursements	(1,011)	(4,389)	68	1,742	91	302	612	(1,814)	2,951	4,871	(491)	(6,410)	0	(1,456)
CLOSING BALANCE	12,636	8,247	8,315	10,057	10,148	10,450	11,062	9,248	12,199	17,070	16,579	10,169	0	10,169

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,272	4,732	3,896	5,154	5,493	5,108	3,819	4,215	3,579	3,507	3,182	3,502	4,272
RECEIPTS:													
Personal Income Tax	0	0	58	0	0	0	5	12	116	2,430	0	9	2,630
Consumption/Use Taxes	183	157	183	175	167	185	173	162	184	175	132	138	2,014
Business Taxes	135	61	207	64	104	218	107	46	260	48	50	388	1,631
Other Taxes	120	107	106	107	98	112	119	101	109	194	145	120	1,438
Total Taxes	438	325	554	337	383	510	347	321	669	2,847	327	655	7,713
HCRA	397	395	398	473	418	408	422	405	419	409	404	377	4,925
State University Income	290	248	301	98	380	676	386	259	260	459	649	513	4,519
Lottery	263	308	288	259	310	257	253	305	250	312	270	308	3,383
Medical	71	68	68	75	65	65	81	65	65	81	65	63	832
Motor Vehicle Fees	35	37	38	35	33	38	34	34	34	34	32	32	416
Other Transactions	200	181	381	234	272	315	161	171	252	184	194	338	2,883
Total Miscellaneous Receipts	1,256	1,237	1,474	1,174	1,478	1,759	1,337	1,237	1,280	1,478	1,614	1,634	16,958
Federal Receipts	3,337	4,583	5,398	3,634	5,622	4,622	3,904	4,631	5,000	4,038	4,072	6,003	54,844
TOTAL RECEIPTS	5,031	6,145	7,426	5,145	7,483	6,891	5,588	6,189	6,949	8,363	6,013	8,292	79,515
DISBURSEMENTS:													
School Aid	213	206	623	195	235	2,404	370	370	370	370	365	442	6,163
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	51	159	160	54	55	57	55	54	55	54	4	7	765
STAR	0	0	58	0	0	0	5	12	116	2,430	0	9	2,630
Medical - DOH	3,410	4,436	3,328	3,202	5,397	3,113	3,541	4,393	3,216	4,228	3,507	3,562	45,333
Public Health	103	222	270	360	179	312	171	148	328	180	202	270	2,745
Mental Hygiene	50	136	273	116	74	253	89	75	252	110	79	252	1,759
Children and Families	91	190	109	133	80	134	69	29	30	30	27	30	842
Temporary & Disability Assistance	161	124	235	150	220	222	252	217	550	274	401	638	3,444
Transportation	251	481	426	375	484	411	348	588	893	201	243	244	4,945
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	153	93	22	116	214	102	(86)	130	97	(23)	32	28	878
Total Local Assistance	4,483	6,047	5,504	4,601	6,938	7,008	4,814	6,016	5,907	7,854	4,860	5,472	69,504
Personal Service	615	808	590	579	672	582	575	763	616	596	597	583	7,576
Non-Personal Service	277	391	423	278	442	451	367	411	442	399	434	586	4,901
Total State Operations	892	1,199	1,013	857	1,114	1,033	942	1,174	1,058	995	1,031	1,169	12,477
General State Charges	61	493	69	57	391	188	50	424	234	77	400	146	2,590
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2
TOTAL DISBURSEMENTS	5,436	7,739	6,586	5,515	8,443	8,229	5,806	7,614	7,199	8,926	6,291	6,789	84,573
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	1,026	977	834	873	693	309	858	909	302	452	872	233	7,849
Transfers to Other Funds	(161)	(219)	(416)	(164)	(118)	(260)	(244)	(120)	(124)	(214)	(274)	(1,188)	(3,013)
NET OTHER FINANCING SOURCES/(USES)	865	758	418	709	575	49	614	789	178	238	598	(955)	4,836
Excess/(Deficiency) of Receipts over Disbursements	460	(836)	1,258	339	(385)	(1,289)	396	(656)	(72)	(325)	320	548	(222)
CLOSING BALANCE	4,732	3,896	5,154	5,493	5,108	3,819	4,215	3,579	3,507	3,182	3,502	4,050	4,050

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,732	4,805	4,536	4,883	5,430	5,340	3,471	4,130	3,805	3,073	3,502	4,068	0	3,732
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	0	5	12	116	2,430	0	9	0	2,630
Consumption/Use Taxes	183	157	183	175	167	185	173	162	184	175	132	138	0	2,014
Business Taxes	115	107	106	98	114	107	119	106	108	194	140	120	0	1,438
Other Taxes	438	325	554	337	383	510	347	321	109	2,847	327	655	0	7,713
Total Taxes	397	395	398	473	418	408	422	405	419	409	404	377	0	4,925
HCRA	290	248	301	98	380	676	386	405	260	459	649	513	0	4,519
State University Income	263	308	288	259	310	257	253	305	250	312	270	308	0	3,383
Lottery	71	68	68	75	65	65	81	65	65	81	65	65	0	832
Medicaid	35	37	38	33	33	38	34	34	34	33	32	35	0	416
Motor Vehicle Fees	187	133	369	231	260	306	151	159	242	170	189	274	0	2,671
Other Transactions	1,243	1,189	1,462	1,171	1,466	1,750	1,327	1,225	1,270	1,464	1,609	1,570	0	16,746
Total Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
Federal Receipts	1,683	1,514	2,016	1,508	1,849	2,260	1,674	1,546	1,939	4,311	1,936	2,236	0	24,460
TOTAL RECEIPTS														
DISBURSEMENTS:														
School Aid	0	0	327	0	0	2,189	145	145	145	145	145	199	0	3,440
Higher Education	0	0	0	2	1	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	0	3	0	1	0	1	0	0	4	0	13
STAR	0	0	58	0	0	0	5	12	116	2,430	0	9	0	2,630
Medicaid - DOH	389	531	347	321	657	455	437	585	466	497	491	440	0	5,616
Public Health	43	46	92	192	53	73	56	37	76	62	31	58	0	819
Mental Hygiene	37	121	264	107	60	233	71	59	238	93	61	252	0	1,596
Children and Families	0	1	0	0	0	0	0	0	0	0	1	2	0	4
Temporary & Disability Assistance	245	479	420	373	482	409	346	586	891	199	241	240	0	4,911
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	31	25	20	31	56	28	(47)	(41)	(34)	(39)	(43)	(24)	0	(35)
All Other	745	1,203	1,529	1,026	1,309	3,390	1,014	1,383	1,899	3,387	929	1,180	0	18,994
Total Local Assistance	565	729	538	532	624	534	530	696	569	552	551	539	0	6,959
Personal Service	230	272	337	214	321	323	275	292	323	285	310	340	0	3,522
Non-Personal Service	795	1,001	875	746	945	857	805	988	892	837	861	879	0	10,481
Total State Operations	54	447	58	46	371	134	42	408	178	69	380	88	0	2,275
General State Charges	0	0	0	0	0	0	0	0	0	0	0	2	0	2
Capital Projects	1,594	2,651	2,462	1,818	2,625	4,381	1,861	2,779	2,969	4,293	2,170	2,149	0	31,752
TOTAL DISBURSEMENTS														
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	977	834	873	693	306	858	909	299	452	872	227	(489)	7,837
Transfers to Other Funds	(40)	(109)	(41)	(16)	(7)	(54)	(12)	(1)	(1)	(41)	(72)	(1,044)	489	(949)
NET OTHER FINANCING SOURCES/(USES)	986	868	793	857	686	252	846	908	298	411	800	(817)	0	6,888
Excess/(Deficiency) of Receipts over Disbursements	1,073	(269)	347	547	(90)	(1,869)	659	(325)	(732)	429	566	(740)	0	(404)
CLOSING BALANCE	4,805	4,536	4,883	5,430	5,340	3,471	4,130	3,805	3,073	3,502	4,068	3,328	0	3,328

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	540	(73)	(640)	271	63	(232)	348	85	(226)	434	(320)	(566)	540
RECEIPTS:													
Miscellaneous Receipts	13	48	12	3	12	9	10	12	10	14	5	64	212
Federal Receipts	3,337	4,583	5,398	3,634	5,622	4,622	3,904	4,631	5,000	4,038	4,072	6,002	54,843
TOTAL RECEIPTS	3,350	4,631	5,410	3,637	5,634	4,631	3,914	4,643	5,010	4,052	4,077	6,066	55,055
DISBURSEMENTS:													
School Aid	213	206	296	195	235	215	225	225	225	225	220	243	2,723
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	51	159	159	52	54	54	54	54	54	54	4	3	752
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,021	3,905	2,981	2,881	4,740	2,658	3,104	3,808	2,750	3,731	3,016	3,122	39,717
Public Health	60	176	178	168	126	239	115	111	252	118	171	212	1,926
Mental Hygiene	13	15	9	9	14	20	18	16	14	17	18	0	163
Children and Families	91	189	109	33	80	134	69	29	30	30	26	18	838
Temporary & Disability Assistance	161	124	235	150	220	222	252	217	550	274	401	638	3,444
Transportation	6	2	6	2	2	2	2	2	2	2	2	4	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	122	68	2	85	158	74	(39)	171	131	16	73	52	913
Total Local Assistance	3,738	4,844	3,975	3,575	5,629	3,618	3,800	4,633	4,008	4,467	3,931	4,292	50,510
Personal Service	50	79	52	47	48	48	45	67	47	44	46	44	617
Non-Personal Service	47	119	86	64	121	128	92	119	119	114	124	246	1,379
Total State Operations	97	198	138	111	169	176	137	186	166	158	170	290	1,996
General State Charges	7	46	11	11	20	54	8	16	56	8	20	58	315
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,842	5,088	4,124	3,697	5,818	3,848	3,945	4,835	4,230	4,633	4,121	4,640	52,821
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	3	0	0	3	0	0	6	12
Transfers to Other Funds	(121)	(110)	(375)	(148)	(111)	(206)	(232)	(119)	(123)	(173)	(202)	(144)	(2,064)
NET OTHER FINANCING SOURCES/(USES)	(121)	(110)	(375)	(148)	(111)	(203)	(232)	(119)	(120)	(173)	(202)	(138)	(2,052)
Excess/(Deficiency) of Receipts over Disbursements	(613)	(567)	911	(208)	(295)	580	(263)	(311)	660	(754)	(246)	1,288	182
CLOSING BALANCE	(73)	(640)	271	63	(232)	348	85	(226)	434	(320)	(566)	722	722

**CASHFLOW
DEBT SERVICE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	144	426	571	418	837	1,104	434	893	1,112	688	2,600	2,328	144
RECEIPTS:													
Personal Income Tax	1,250	526	1,163	662	760	1,276	713	590	1,419	2,283	868	836	12,346
Consumption/Use Taxes	473	488	676	519	518	698	537	534	680	570	469	657	6,819
Other Taxes	95	95	89	84	97	98	97	86	90	99	85	70	1,085
Total Taxes	1,818	1,109	1,928	1,265	1,375	2,072	1,347	1,210	2,189	2,952	1,422	1,563	20,250
Miscellaneous Receipts	50	42	56	41	44	24	24	27	22	72	37	20	459
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	34	73
TOTAL RECEIPTS	1,868	1,151	1,984	1,306	1,421	2,131	1,371	1,237	2,211	3,024	1,461	1,617	20,782
DISBURSEMENTS:													
State Operations	1	2	4	8	2	4	0	2	2	1	2	9	37
Debt Service	87	148	186	30	300	774	34	90	537	29	705	2,372	5,292
TOTAL DISBURSEMENTS	88	150	190	38	302	778	34	92	539	30	707	2,381	5,329
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	390	113	275	304	135	354	509	121	132	427	132	841	3,733
Transfers to Other Funds	(1,888)	(969)	(2,222)	(1,153)	(987)	(2,377)	(1,387)	(1,047)	(2,228)	(1,509)	(1,158)	(2,258)	(19,183)
NET OTHER FINANCING SOURCES/(USES)	(1,498)	(856)	(1,947)	(849)	(852)	(2,023)	(878)	(926)	(2,096)	(1,082)	(1,026)	(1,417)	(15,450)
Excess/(Deficiency) of Receipts over Disbursements	282	145	(153)	419	267	(670)	459	219	(424)	1,912	(272)	(2,181)	3
CLOSING BALANCE	426	571	418	837	1,104	434	893	1,112	688	2,600	2,328	147	147

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
	(1,060)	(1,047)	(1,123)	(906)	(804)	(990)	(1,591)	(1,678)	(2,171)	(2,196)	(2,407)	(2,524)	(1,060)
OPENING BALANCE													
RECEIPTS:													
Consumption/Use Taxes	52	4	53	60	56	56	56	53	54	50	49	45	588
Business Taxes	47	46	55	53	50	53	53	50	56	49	52	54	620
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	50	120	125	118	123	121	115	122	111	113	110	1,327
Miscellaneous Receipts	93	94	191	1,235	86	127	751	76	1,772	152	84	2,172	6,833
Federal Receipts	136	113	282	139	178	292	124	269	172	131	129	305	2,270
TOTAL RECEIPTS	328	257	593	1,499	382	542	996	460	2,066	394	326	2,587	10,430
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	2	2	29	15	70	34	30	100	326
Public Health	6	16	12	7	8	8	9	10	42	7	12	43	152
Mental Hygiene	0	0	22	6	21	21	42	42	42	83	139	157	575
School Aid	0	0	0	0	0	0	16	0	0	16	0	15	63
Temporary & Disability Assistance	34	53	82	28	134	248	200	346	206	196	210	413	2,150
Transportation	212	130	175	156	148	70	140	173	195	98	78	160	1,735
All Other Local	252	208	293	224	339	365	437	587	522	444	470	892	5,033
Total Local Assistance	1	4	11	5	55	69	77	42	61	64	50	210	649
Economic Development	20	34	48	34	99	102	98	88	84	83	84	58	832
Parks & the Environment	216	262	266	354	389	432	279	468	316	253	344	472	4,051
Transportation	2	0	5	4	9	21	21	14	15	14	13	46	164
Health & Social Welfare	17	18	34	13	27	19	17	15	25	18	18	37	258
Mental Hygiene	19	36	51	43	36	40	40	38	59	36	36	57	550
Public Protection	49	81	70	75	69	132	72	83	83	59	51	98	893
Education	26	37	40	27	102	102	103	128	118	124	117	(308)	616
All Other	350	472	525	555	786	936	707	847	761	651	713	710	8,013
Total Capital Projects	602	680	818	779	1,125	1,301	1,144	1,434	1,283	1,095	1,183	1,602	13,046
TOTAL DISBURSEMENTS													
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	377	477	(585)	589	429	89	509	(777)	518	780	328	3,050
Transfers to Other Funds	(29)	(30)	(35)	(33)	(32)	(271)	(28)	(28)	(31)	(28)	(40)	(854)	(1,439)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	988	988
NET OTHER FINANCING SOURCES/(USES)	287	347	442	(618)	557	158	61	481	(808)	490	740	462	2,599
Excess/(Deficiency) of Receipts over Disbursements	13	(76)	217	102	(186)	(601)	(87)	(493)	(25)	(211)	(117)	1,447	(17)
CLOSING BALANCE	(1,047)	(1,123)	(906)	(804)	(990)	(1,591)	(1,678)	(2,171)	(2,196)	(2,407)	(2,524)	(1,077)	(1,077)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(491)	(502)	(557)	(470)	(381)	(600)	(1,237)	(1,347)	(1,791)	(1,813)	(2,073)	(2,224)	(491)
RECEIPTS:													
Consumption/Use Taxes	52	4	53	60	56	56	56	53	54	50	49	45	588
Business Taxes	47	46	55	53	50	50	53	50	56	49	52	54	620
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	50	120	125	118	123	121	115	122	111	113	110	1,327
Miscellaneous Receipts	92	94	191	1,235	86	127	751	76	1,772	152	84	2,172	6,832
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	191	144	311	1,360	204	252	872	191	1,894	263	197	2,285	8,164
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	2	2	1	1	0	2	2	1	32
Public Health	0	0	2	10	15	15	29	15	15	34	30	89	258
Mental Hygiene	6	16	12	7	8	7	9	10	7	15	12	43	152
School Aid	0	0	22	6	21	21	42	42	42	83	139	157	575
Temporary & Disability Assistance	0	0	0	0	16	0	16	0	0	16	0	15	63
Transportation	3	10	51	3	125	189	189	188	187	185	183	356	1,669
All Other Local	212	130	175	156	131	53	123	156	178	81	61	128	1,578
Total Local Assistance	221	165	262	199	312	288	409	412	431	416	426	786	4,327
Economic Development	1	4	11	5	55	69	77	42	61	64	50	210	649
Parks & the Environment	20	33	47	33	97	100	96	86	82	81	82	60	817
Transportation	136	175	154	257	277	265	212	331	242	205	300	403	2,957
Health & Social Welfare	2	0	4	4	9	20	21	14	14	14	12	41	155
Mental Hygiene	17	18	34	13	27	19	17	15	25	18	18	37	258
Public Protection	17	34	49	40	34	57	38	36	57	34	34	94	524
Education	49	81	70	75	69	132	72	54	83	59	51	98	893
All Other	26	36	40	27	100	100	101	126	116	122	115	(316)	593
Total Capital Projects	268	381	409	454	668	762	634	704	680	597	662	627	6,846
TOTAL DISBURSEMENTS	489	546	671	653	980	1,050	1,043	1,116	1,111	1,013	1,088	1,413	11,173
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	377	477	(585)	589	429	89	509	(777)	518	780	688	3,410
Transfers to Other Funds	(29)	(30)	(30)	(33)	(32)	(268)	(28)	(28)	(28)	(28)	(40)	(853)	(1,427)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	988
NET OTHER FINANCING SOURCES/(USES)	287	347	447	(618)	557	161	61	481	(805)	490	740	823	2,971
Excess/(Deficiency) of Receipts over Disbursements	(11)	(55)	87	89	(219)	(637)	(110)	(444)	(22)	(260)	(151)	1,695	(38)
CLOSING BALANCE	(502)	(557)	(470)	(381)	(600)	(1,237)	(1,347)	(1,791)	(1,813)	(2,073)	(2,224)	(529)	(529)

The transportation and transfers from other funds cashflow and total have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2018
(dollars in millions)

	2017	April	May	June	July	August	September	October	November	December	2018	January	February	March	Total
	Results	Results	Results	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected
OPENING BALANCE	(569)		(545)	(566)	(436)	(423)	(390)	(354)	(331)	(380)	(383)	(334)	(300)		(569)
RECEIPTS:															
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Federal Receipts	136	113	113	282	139	178	290	124	269	172	131	129	302	302	2,265
TOTAL RECEIPTS	137	113	113	282	139	178	290	124	269	172	131	129	302	302	2,266
DISBURSEMENTS:															
Public Health	0	0	0	0	0	1	1	0	0	55	0	0	0	11	68
Transportation	31	43	43	31	25	9	59	11	158	19	11	27	57	38	481
All Other Local	0	0	0	0	0	17	17	17	17	17	17	17	17	17	157
Total Local Assistance	31	43	43	31	25	27	77	28	175	91	28	44	106	106	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	1	1	2	2	2	2	2	2	2	2	2	2	15
Transportation	80	87	87	112	97	112	167	67	137	74	48	44	69	69	1,094
Health & Social Welfare	0	0	0	1	0	0	1	0	0	1	0	1	5	5	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	2	3	2	2	2	2	2	2	2	3	3	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	1	1	0	0	0	2	0	2	2	2	2	2	8	23
Total Capital Projects	82	91	91	116	101	118	174	73	143	81	54	51	83	83	1,167
TOTAL DISBURSEMENTS	113	134	134	147	126	145	251	101	318	172	82	95	189	189	1,873
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	(360)	(360)
Transfers to Other Funds	0	0	0	(5)	0	0	(3)	0	0	(3)	0	0	0	(1)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	(5)	0	0	(3)	0	0	(3)	0	0	0	(361)	(372)
Excess/(Deficiency) of Receipts over Disbursements	24	(21)	(21)	130	13	33	36	23	(49)	(3)	49	34	(248)	(248)	21
CLOSING BALANCE	(545)	(566)	(566)	(436)	(423)	(390)	(354)	(331)	(380)	(383)	(334)	(300)	(548)	(548)	(548)

The transportation and transfers from other funds cashflow and total have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASHFLOW
STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	11,134	12,134	7,690	7,845	9,676	9,548	9,213	9,715	7,457	10,386	14,997	14,355	11,134
RECEIPTS:													
Personal Income Tax	5,001	2,102	4,652	2,649	3,039	5,103	2,851	2,361	5,674	9,133	3,472	3,346	0
Consumption/Use Taxes	1,230	1,188	1,643	1,331	1,309	1,693	1,359	1,338	1,647	1,431	1,151	1,537	16,857
Business Taxes	603	244	1,032	175	407	1,263	99	1,73	1,626	112	(6)	2,241	7,969
Other Taxes	306	316	310	265	309	304	314	285	297	391	328	289	3,714
Total Taxes	7,140	3,850	7,637	4,420	5,064	8,363	4,623	4,157	9,244	11,067	4,945	7,413	0
Abandoned Property	0	0	0	0	0	35	30	155	50	0	0	180	0
ABC License Fee	6	6	6	5	5	5	5	5	5	5	5	5	63
HCRA	397	395	398	473	418	408	422	405	419	409	404	377	0
Investment Income	4	1	2	1	2	1	2	1	2	1	2	2	21
Licenses, Fees, etc.	27	73	36	51	65	35	55	55	65	70	35	86	653
Lottery	263	308	288	259	310	257	253	305	250	312	270	308	0
Medicaid	71	68	68	75	65	65	81	65	65	81	65	63	832
Motor Vehicle Fees	71	66	69	30	52	58	52	49	49	49	49	51	648
Reimbursements	2	23	37	10	5	25	25	25	260	459	649	513	0
State University Income	290	248	301	98	380	676	386	259	260	459	649	513	0
Other Transactions	349	650	668	1,558	423	528	967	280	2,094	413	327	2,502	0
Total Miscellaneous Receipts	1,480	1,838	1,873	2,560	1,725	2,118	2,258	1,607	3,304	1,809	1,826	4,144	0
Federal Receipts	0	0	0	0	2	37	0	0	0	0	2	38	79
TOTAL RECEIPTS	8,620	5,688	9,510	6,980	6,791	10,518	6,881	5,764	12,544	12,876	6,773	11,595	104,544
DISBURSEMENTS:													
School Aid	868	3,358	2,227	44	643	3,816	1,206	1,712	2,126	720	997	8,621	26,338
Higher Education	19	37	954	221	128	174	108	46	193	73	319	577	0
All Other Education	95	484	76	177	72	303	57	35	284	43	225	450	2,301
STAR	0	0	58	0	0	0	5	12	116	2,430	0	9	2,630
Medicaid - DOH	1,765	1,939	1,717	1,486	1,813	1,534	1,370	1,784	1,349	1,528	1,592	1,105	18,982
Public Health	111	232	144	265	130	149	132	100	140	126	92	161	0
Mental Hygiene	45	140	460	113	74	472	83	74	464	111	133	469	1,782
Children and Families	36	102	194	72	87	287	83	83	283	83	117	227	2,638
Temporary & Disability Assistance	95	112	143	92	122	105	122	107	106	122	106	147	0
Transportation	248	514	485	376	632	598	535	799	1,090	384	437	596	6,694
Unrestricted Aid	0	11	388	3	1	101	179	1	184	1	1	63	0
All Other	254	171	285	205	232	117	179	256	254	147	138	206	2,444
Total Local Assistance	3,536	7,100	7,131	3,054	3,934	7,656	3,887	5,009	6,588	5,768	4,157	12,581	70,402
Personal Service	1,050	1,371	1,013	998	1,127	960	1,077	1,282	996	975	971	1,115	12,935
Non-Personal Service	322	500	526	364	513	521	443	484	518	488	502	624	5,805
Total State Operations	1,372	1,871	1,539	1,362	1,640	1,481	1,520	1,766	1,514	1,463	1,473	1,739	18,740
General State Charges	2,452	739	467	393	484	389	531	567	413	575	612	437	8,059
Debt Service	87	148	186	30	300	774	34	90	537	29	705	2,372	5,292
Capital Projects	268	381	409	454	668	762	634	704	680	597	662	629	6,848
TOTAL DISBURSEMENTS	7,715	10,239	9,732	5,293	7,026	11,062	6,606	8,136	9,733	8,432	7,609	17,758	109,341
OTHER FINANCING SOURCES (USES)													
Transfers from other funds	3,485	2,313	3,628	1,624	2,295	3,463	2,745	2,496	1,777	2,837	2,891	4,955	34,020
Transfers to other funds	(3,390)	(2,066)	(3,251)	(1,480)	(2,188)	(3,254)	(2,518)	(2,382)	(1,663)	(2,670)	(2,697)	(4,495)	(31,705)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	988	0
NET OTHER FINANCING SOURCES/(USES)	95	107	377	144	107	209	227	114	114	167	194	1,448	3,303
Excess/(Deficiency) of Receipts over Disbursements	1,000	(4,444)	155	1,831	(128)	(335)	502	(2,258)	2,929	4,611	(642)	(4,715)	(1,494)
CLOSING BALANCE	12,134	7,690	7,845	9,676	9,548	9,213	9,715	7,457	10,386	14,997	14,355	9,640	9,640

The capital projects and transfers from other funds cashflow and total have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018
(millions of dollars)

	<u>Enacted</u>	<u>Change</u>	<u>Updated</u>
Opening Fund Balance	<u>12</u>	<u>0</u>	<u>12</u>
Receipts:			
Taxes	847	0	847
Miscellaneous receipts	<u>4,925</u>	<u>0</u>	<u>4,925</u>
Total Receipts	<u>5,772</u>	<u>0</u>	<u>5,772</u>
Disbursements and Transfers:			
Medical Assistance Account	3,912	(19)	3,893
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	407	1	408
Child Health Plus (CHP)	238	23	261
Elderly Pharmaceutical Insurance Coverage (EPIC)	145	(3)	142
SHIN-NY/APCD	40	(2)	38
New York State of Health	0	0	0
All Other	<u>150</u>	<u>0</u>	<u>150</u>
Total	<u>5,784</u>	<u>0</u>	<u>5,784</u>
Change in Fund Balance	<u>(12)</u>	<u>0</u>	<u>(12)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018 THROUGH FY 2021
(millions of dollars)

	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Opening Fund Balance	12	0	0	0
Receipts:				
Taxes	847	816	781	748
Miscellaneous receipts	4,925	4,980	5,036	5,040
Total Receipts	<u>5,772</u>	<u>5,796</u>	<u>5,817</u>	<u>5,788</u>
Disbursements and Transfers:				
Medical Assistance Account	3,893	3,840	3,740	3,657
Hospital Indigent Care Fund	892	892	892	892
HCRA Program Account	408	407	407	406
Child Health Plus (CHP)	261	301	418	543
Elderly Pharmaceutical Insurance Coverage (EPIC)	142	140	140	140
SHIN-NY/APCD	38	40	40	0
All Other	150	176	180	150
Total	<u>5,784</u>	<u>5,796</u>	<u>5,817</u>	<u>5,788</u>
Change in Fund Balance	(12)	0	0	0
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>Annual Change</u>
Opening Fund Balance	78	12	(66)
Receipts:			
Taxes	876	847	(29)
Miscellaneous receipts	4,923	4,925	2
	<u>5,799</u>	<u>5,772</u>	<u>(27)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,981	3,893	(88)
Hospital Indigent Care Fund	965	892	(73)
HCRA Program Account	413	408	(5)
Child Health Plus (CHP)	223	261	38
Elderly Pharmaceutical Insurance Coverage (EPIC)	143	142	(1)
SHIN-NY/APCD	10	38	28
All Other	130	150	20
Total	<u>5,865</u>	<u>5,784</u>	<u>(81)</u>
Change in Fund Balance	(66)	(12)	54
Closing Fund Balance	<u>12</u>	<u>0</u>	<u>(12)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	78	175	151	253	136	159	214	227	143	252	234	167	78
Receipts:													
Taxes	70	70	87	75	86	76	73	79	72	73	57	60	876
Miscellaneous receipts	366	433	440	435	394	405	418	383	466	393	408	381	4,923
Total Receipts	436	504	527	510	479	481	490	461	539	466	465	441	5,799
Disbursements and Transfers:													
Medical Assistance Account	260	355	249	415	338	287	326	442	314	352	436	207	3,981
Hospital Indigent Care Fund	0	143	36	156	64	63	97	65	62	59	66	153	965
HCRA Program Account	42	4	114	25	25	1	25	10	3	41	5	120	413
Child Health Plus (CHP)	17	15	10	16	12	42	14	13	30	12	15	28	223
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	10	13	13	14	14	13	13	13	15	6	15	143
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	10	10
All Other	17	2	3	2	3	18	2	2	9	3	5	62	130
Total	339	529	425	626	457	425	477	546	430	483	533	596	5,865
Change in Fund Balance	97	(25)	102	(117)	23	55	13	(84)	109	(17)	(68)	(155)	(66)
Closing Fund Balance	175	151	253	136	159	214	227	143	252	234	167	12	12

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018
(dollars in millions)

	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	12	111	57	157	192	117	120	121	123	126	125	121	12
Receipts:													
Taxes	64	77	75	73	82	73	71	77	71	71	56	58	847
Miscellaneous receipts	397	395	398	473	418	408	422	405	419	409	404	378	4,925
Total Receipts	461	471	473	546	500	481	493	482	489	480	460	436	5,772
Disbursements and Transfers:													
Medical Assistance Account	250	377	248	225	450	318	353	373	329	348	352	270	3,893
Hospital Indigent Care Fund	69	84	31	96	67	73	84	67	73	68	74	105	892
HCRA Program Account	38	1	54	142	29	18	20	4	23	32	4	43	408
Child Health Plus (CHP)	(1)	34	26	33	8	40	19	19	39	19	19	7	261
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	11	12	12	16	14	14	14	14	11	10	10	142
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	38	38
All Other	2	17	2	4	6	15	2	2	9	2	5	83	150
Total	362	525	373	512	575	478	492	479	487	480	464	557	5,784
Change in Fund Balance	99	(54)	100	34	(75)	3	1	2	2	(1)	(4)	(121)	(12)
Closing Fund Balance	111	57	157	192	117	120	121	123	126	125	121	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

	FY 2017 Results			FY 2018 First Quarter			FY 2019 Projected			FY 2020 Projected			FY 2021 Projected		
	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise
Opening Fund Balance	(127)	(47)	66	(200)	(38)	23	(186)	(73)	21	(166)	(71)	19	(139)	(70)	16
Receipts:															
Unemployment Taxes	0	0	2,156	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450
Miscellaneous Receipts	443	(1)	59	653	1	72	646	1	72	646	1	72	647	1	72
Federal Receipts	0	0	19	0	0	50	0	0	50	0	0	50	0	0	50
Total Receipts	443	(1)	2,234	653	1	2,572	646	1	2,572	646	1	2,572	647	1	2,572
Disbursements:															
Local Assistance	0	0	0	0	73	0	0	40	0	0	31	0	0	0	0
State Operations:															
Personal Service	94	0	5	108	0	9	107	0	9	107	0	10	110	0	10
Non-Personal Service	421	0	51	545	0	59	540	0	59	540	0	59	548	0	59
Unemployment Benefits	0	0	2,220	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500
General State Charges	53	1	1	58	0	5	59	0	5	59	0	5	60	0	5
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	568	0	2,277	711	73	2,573	706	40	2,573	706	31	2,574	718	31	2,574
Other Financing Sources (Uses):															
Transfers from Other Funds	88	10	0	109	37	0	108	41	0	108	31	0	108	0	0
Transfers to Other Funds	(36)	0	0	(37)	0	(1)	(28)	0	(1)	(21)	0	(1)	(12)	(1)	(1)
	52	10	0	72	37	(1)	80	41	(1)	87	31	(1)	96	(1)	(1)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(73)	9	(43)	14	(35)	(2)	20	2	(2)	27	1	(3)	25	(3)	(3)
Closing Fund Balance	(200)	(38)	23	(186)	(73)	21	(166)	(71)	19	(139)	(70)	16	(114)	13	(69)

Workforce Impact Summary

General Fund
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,403	2,440	2,362
Corrections and Community Supervision, Department of	28,742	28,846	27,305
Education Department, State	280	278	279
Environmental Conservation, Department of	1,065	941	933
General Services, Office of	1,073	1,188	894
Health, Department of	1,482	1,540	1,592
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1,372	1,268	1,124
State Police, Division of	5,369	5,295	5,300
Taxation and Finance, Department of	4,154	3,888	3,277
Temporary and Disability Assistance, Office of	949	1,002	1,033
Subtotal - Major Agencies	50,485	50,172	47,506
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	377	380	357
Alcoholic Beverage Control, Division of	0	112	127
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	27	28	30
Budget, Division of the	222	224	245
Civil Service, Department of	141	158	171
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	379	377	399
Economic Development, Department of	146	146	147
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Gaming Commission, New York State	53	56	57
Housing and Community Renewal, Division of	78	67	54
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Inspector General, Office of the	89	92	109
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	401	402	422
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	139	145	94
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
State, Department of	199	198	139
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	3,387	3,518	3,537
Subtotal - Subject to Direct Executive Control	53,872	53,690	51,043
Independently Elected Agencies			
Audit and Control, Department of	1,297	1,356	1,425
Law, Department of	1,075	1,075	1,065
Subtotal - Independently Elected Agencies	2,372	2,431	2,490
Grand Total	56,244	56,121	53,533

Workforce Impact Summary

State Operating Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,442	2,483	2,406
Corrections and Community Supervision, Department of	28,746	28,849	27,309
Education Department, State	1,258	1,216	1,263
Environmental Conservation, Department of	2,238	2,147	2,124
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,131	1,247	907
Health, Department of	3,697	3,615	3,693
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	371	348	508
Mental Health, Office of	14,350	14,156	13,191
Motor Vehicles, Department of	669	501	503
Parks, Recreation and Historic Preservation, Office of	1,574	1,509	1,305
People with Developmental Disabilities, Office for	18,954	18,946	18,235
State Police, Division of	5,435	5,645	5,636
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	949	1,002	1,033
Transportation, Department of	82	90	46
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	92,185	91,633	88,090
Minor Agencies	6,580	6,577	6,792
Subtotal - Subject to Direct Executive Control	98,765	98,210	94,882
University Systems			
City University of New York	338	391	383
State University of New York	44,249	45,314	42,869
Subtotal - University Systems	44,587	45,705	43,252
Independently Elected Agencies			
Audit and Control, Department of	1,467	1,530	1,603
Law, Department of	1,559	1,562	1,583
Subtotal - Independently Elected Agencies	3,026	3,092	3,186
Grand Total	146,378	147,007	141,320

Workforce Impact Summary

State Operating Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	421	427	400
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	730	725
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	142	160	176
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	383	379	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	406
Housing and Community Renewal, Division of	600	564	561
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	418	417	436
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	143	147	96
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	495
State, Department of	494	486	508
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Victim Services, Office of	44	51	54
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	6,580	6,577	6,792

Workforce Impact Summary

State Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,446	2,487	2,475
Corrections and Community Supervision, Department of	28,774	28,879	28,318
Education Department, State	1,258	1,216	1,263
Environmental Conservation, Department of	2,617	2,680	2,668
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,131	1,247	1,235
Health, Department of	3,770	3,685	3,831
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	371	348	508
Mental Health, Office of	14,382	14,209	13,874
Motor Vehicles, Department of	2,146	2,166	2,239
Parks, Recreation and Historic Preservation, Office of	1,734	1,733	1,730
People with Developmental Disabilities, Office for	18,954	18,946	18,612
State Police, Division of	5,435	5,645	5,711
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	954	1,007	1,041
Transportation, Department of	8,361	8,423	8,327
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	102,622	102,550	101,763
Minor Agencies	6,580	6,592	6,862
Subtotal - Subject to Direct Executive Control	109,202	109,142	108,625
University Systems			
City University of New York	338	391	383
State University Construction Fund	143	144	152
State University of New York	44,249	45,314	44,732
Subtotal - University Systems	44,730	45,849	45,267
Independently Elected Agencies			
Audit and Control, Department of	1,467	1,530	1,603
Law, Department of	1,564	1,566	1,590
Subtotal - Independently Elected Agencies	3,031	3,096	3,193
Grand Total	156,963	158,087	157,085

Workforce Impact Summary

State Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	421	427	400
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	745	741
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	142	160	176
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	383	379	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	406
Housing and Community Renewal, Division of	600	564	561
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	418	417	436
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	143	147	150
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	495
State, Department of	494	486	508
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Victim Services, Office of	44	51	54
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	6,580	6,592	6,862

Workforce Impact Summary

All Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,843	2,932	2,965
Corrections and Community Supervision, Department of	29,094	29,189	29,254
Education Department, State	2,700	2,590	2,692
Environmental Conservation, Department of	2,900	2,956	2,945
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,643	1,755	1,811
Health, Department of	4,898	4,853	5,082
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	2,880	2,861	2,992
Mental Health, Office of	14,391	14,221	13,903
Motor Vehicles, Department of	2,163	2,184	2,256
Parks, Recreation and Historic Preservation, Office of	1,751	1,752	1,749
People with Developmental Disabilities, Office for	18,964	18,958	18,630
State Police, Division of	5,435	5,645	5,711
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	1,868	1,973	2,026
Transportation, Department of	8,419	8,487	8,453
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	110,238	110,235	110,400
Minor Agencies	7,627	7,672	8,081
Subtotal - Subject to Direct Executive Control	117,865	117,907	118,481
University Systems			
City University of New York	13,681	13,635	13,549
State University Construction Fund	143	144	152
State University of New York	44,250	45,316	44,732
Subtotal - University Systems	58,074	59,095	58,433
Independently Elected Agencies			
Audit and Control, Department of	2,508	2,647	2,663
Law, Department of	1,776	1,787	1,839
Subtotal - Independently Elected Agencies	4,284	4,434	4,502
Grand Total	180,223	181,436	181,416

Workforce Impact Summary

All Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	93	90	95
Agriculture and Markets, Department of	467	472	483
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	745	741
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	300	324	347
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	415	410	436
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	80
Employee Relations, Office of	30	32	37
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	449	467	564
Housing and Community Renewal, Division of	693	644	682
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	158	155	164
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	418	417	441
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	446	432	426
Military and Naval Affairs, Division of	326	366	354
Prevention of Domestic Violence, Office for	26	26	28
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	520
State, Department of	510	499	525
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	82	89	98
Victim Services, Office of	68	79	92
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	7,627	7,672	8,081

Workforce Impact Summary

Special Revenue Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	39	43	44
Corrections and Community Supervision, Department of	4	3	4
Education Department, State	978	938	984
Environmental Conservation, Department of	1,173	1,206	1,191
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	58	59	13
Health, Department of	2,215	2,075	2,101
Labor, Department of	371	348	507
Mental Health, Office of	14,350	14,156	13,191
Motor Vehicles, Department of	669	501	503
Parks, Recreation and Historic Preservation, Office of	202	241	181
People with Developmental Disabilities, Office for	18,954	18,946	18,235
State Police, Division of	66	350	336
Taxation and Finance, Department of	95	58	701
Transportation, Department of	82	90	46
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	41,700	41,461	40,584
Minor Agencies			
Agriculture and Markets, Department of	44	47	43
Alcoholic Beverage Control, Division of	111	0	0
Alcoholism and Substance Abuse Services, Office of	738	728	725
Budget, Division of the	15	10	16
Civil Service, Department of	1	2	5
Criminal Justice Services, Division of	4	2	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	328	354	347
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	406
Housing and Community Renewal, Division of	522	497	507
Indigent Legal Services, Office of	18	17	30
Interest on Lawyer Account	8	9	8
Justice Center for the Protection of People with Special Needs	17	15	14
Military and Naval Affairs, Division of	4	2	2
Public Service Department	486	508	495
State, Department of	295	288	369
Victim Services, Office of	44	51	54
Subtotal - Minor Agencies	3,193	3,059	3,255
Subtotal - Subject to Direct Executive Control	44,893	44,520	43,839
University Systems			
City University of New York	338	391	383
State University of New York	44,249	45,314	42,869
Subtotal - University Systems	44,587	45,705	43,252
Independently Elected Agencies			
Audit and Control, Department of	170	174	178
Law, Department of	484	487	518
Subtotal - Independently Elected Agencies	654	661	696
Grand Total	90,134	90,886	87,787

Workforce Impact Summary

Special Revenue Funds - Federal 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	354	367	391
Corrections and Community Supervision, Department of	37	37	646
Education Department, State	1,292	1,227	1,285
Environmental Conservation, Department of	276	271	269
Health, Department of	1,128	1,122	1,211
Labor, Department of	2,487	2,494	2,468
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	18	17
Parks, Recreation and Historic Preservation, Office of	17	19	19
People with Developmental Disabilities, Office for	10	12	18
Temporary and Disability Assistance, Office of	914	966	985
Transportation, Department of	58	64	126
Subtotal - Major Agencies	6,590	6,597	7,446
Minor Agencies			
Aging, Office for the	83	79	83
Agriculture and Markets, Department of	6	6	35
Criminal Justice Services, Division of	32	31	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	148	155	158
Housing and Community Renewal, Division of	93	80	101
Human Rights, Division of	19	30	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	222	215	212
Military and Naval Affairs, Division of	183	187	202
Public Service Department	0	0	25
State, Department of	16	13	17
Veterans' Affairs, Division of	6	7	8
Victim Services, Office of	24	28	38
Subtotal - Minor Agencies	832	831	957
Subtotal - Subject to Direct Executive Control	7,422	7,428	8,403
University Systems			
State University of New York	1	2	0
Subtotal - University Systems	1	2	0
Independently Elected Agencies			
Audit and Control, Department of	5	5	5
Law, Department of	212	221	249
Subtotal - Independently Elected Agencies	217	226	254
Grand Total	7,640	7,656	8,657

Workforce Impact Summary

Capital Projects Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	4	4	69
Corrections and Community Supervision, Department of	28	30	1,009
Environmental Conservation, Department of	379	533	544
General Services, Office of	0	0	328
Health, Department of	73	70	138
Mental Health, Office of	32	53	683
Motor Vehicles, Department of	1,477	1,665	1,736
Parks, Recreation and Historic Preservation, Office of	160	224	425
People with Developmental Disabilities, Office for	0	0	377
State Police, Division of	0	0	75
Temporary and Disability Assistance, Office of	5	5	8
Transportation, Department of	8,279	8,333	8,281
Subtotal - Major Agencies	10,437	10,917	13,673
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	0	15	16
Military and Naval Affairs, Division of	0	0	54
Subtotal - Minor Agencies	0	15	70
Subtotal - Subject to Direct Executive Control	10,437	10,932	13,743
University Systems			
State University Construction Fund	143	144	152
State University of New York	0	0	1,863
Subtotal - University Systems	143	144	2,015
Independently Elected Agencies			
Law, Department of	5	4	7
Subtotal - Independently Elected Agencies	5	4	7
Grand Total	10,585	11,080	15,765

Workforce Impact Summary

Capital Projects Funds - Federal 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Environmental Conservation, Department of	7	5	8
Health, Department of	0	46	40
Subtotal - Major Agencies	7	51	48
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Military and Naval Affairs, Division of	0	32	0
Subtotal - Minor Agencies	0	32	20
Subtotal - Subject to Direct Executive Control	7	83	68
Grand Total	7	83	68

Workforce Impact Summary

Enterprise Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Corrections and Community Supervision, Department of	7	5	10
General Services, Office of	11	9	62
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	18	14	77
Minor Agencies			
Agriculture and Markets, Department of	38	36	45
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	38	36	47
Subtotal - Subject to Direct Executive Control	56	50	124
Grand Total	56	50	124

Workforce Impact Summary

Internal Service Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	43	78	99
Corrections and Community Supervision, Department of	276	268	280
Education Department, State	150	147	144
General Services, Office of	501	499	514
Labor, Department of	22	19	16
Mental Health, Office of	9	12	13
Subtotal - Major Agencies	1,001	1,023	1,066
Minor Agencies			
Civil Service, Department of	158	164	171
Employee Relations, Office of	8	6	11
Prevention of Domestic Violence, Office for	9	8	10
Subtotal - Minor Agencies	175	178	192
Subtotal - Subject to Direct Executive Control	1,176	1,201	1,258
Independently Elected Agencies			
Audit and Control, Department of	79	174	78
Subtotal - Independently Elected Agencies	79	174	78
Grand Total	1,255	1,375	1,336

Workforce Impact Summary

Agency Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
University Systems			
City University of New York	13,343	13,244	13,166
Subtotal - University Systems	13,343	13,244	13,166
Grand Total	13,343	13,244	13,166

Workforce Impact Summary

Pension Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Independently Elected Agencies			
Audit and Control, Department of	957	938	977
Subtotal - Independently Elected Agencies	957	938	977
Grand Total	957	938	977

Workforce Impact Summary

Private Purpose Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Agriculture and Markets, Department of	2	3	3
Subtotal - Minor Agencies	2	3	3
Subtotal - Subject to Direct Executive Control	2	3	3
Grand Total	2	3	3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	59,962	64,846	62,823	59,969	59,969	56,980
Local Assistance	27,279	31,980	31,761	28,907	28,907	24,907
State Operations	32,683	32,866	31,062	31,062	31,062	32,073
Personal Service	25,269	27,374	26,278	26,278	26,278	27,289
Non-Personal Service	7,414	5,492	4,784	4,784	4,784	4,784
<i>Alcoholic Beverage Control, Division of</i>	0	10,843	12,683	12,683	12,744	13,060
State Operations	0	10,843	12,683	12,683	12,744	13,060
Personal Service	0	7,567	8,147	8,147	8,208	8,524
Non-Personal Service	0	3,276	4,536	4,536	4,536	4,536
<i>Economic Development, Department of</i>	63,748	73,002	70,921	71,580	71,580	71,580
Local Assistance	44,963	53,748	53,320	53,979	53,979	53,979
State Operations	18,785	19,254	17,601	17,601	17,601	17,601
Personal Service	11,974	13,152	12,826	12,826	12,826	12,826
Non-Personal Service	6,811	6,102	4,775	4,775	4,775	4,775
<i>Empire State Development Corporation</i>	58,756	122,298	133,981	132,246	132,246	132,246
Local Assistance	58,756	122,298	133,981	132,246	132,246	132,246
<i>Olympic Regional Development Authority</i>	3,011	2,736	2,536	5,736	5,736	5,736
State Operations	3,011	2,736	2,536	5,736	5,736	5,736
Personal Service	2,548	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	463	188	(12)	3,188	3,188	3,188
Functional Total	185,477	273,725	282,944	282,214	282,275	279,602
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,535	4,413	4,413	4,413	4,571
State Operations	4,297	4,535	4,413	4,413	4,413	4,571
Personal Service	4,036	4,112	4,108	4,108	4,108	4,266
Non-Personal Service	261	423	305	305	305	305
<i>Environmental Conservation, Department of</i>	98,676	92,279	97,319	100,477	108,477	122,502
Local Assistance	4,262	1,906	6,911	4,618	4,618	4,618
State Operations	94,414	90,373	90,408	95,859	103,859	117,884
Personal Service	85,641	83,931	77,041	77,111	84,311	93,536
Non-Personal Service	8,773	6,442	13,367	18,748	19,548	24,348
<i>Parks, Recreation and Historic Preservation, Office of</i>	115,847	113,058	103,126	103,129	104,243	109,191
Local Assistance	4,891	4,298	1,790	750	750	750
State Operations	110,956	108,760	101,336	102,379	103,493	108,441
Personal Service	105,937	103,327	93,989	95,032	96,146	101,094
Non-Personal Service	5,019	5,433	7,347	7,347	7,347	7,347
Functional Total	218,820	209,872	204,858	208,019	217,133	236,264
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	0	0	1,600	0	0	0
Local Assistance	0	0	1,600	0	0	0
<i>Thruway Authority, New York State</i>	16,569	0	0	0	0	0
State Operations	16,569	0	0	0	0	0
Non-Personal Service	16,569	0	0	0	0	0
<i>Transportation, Department of</i>	112,503	107,383	115,520	102,020	102,020	102,020
Local Assistance	111,351	106,214	114,351	100,851	100,851	100,851
State Operations	1,152	1,169	1,169	1,169	1,169	1,169
Non-Personal Service	1,152	1,169	1,169	1,169	1,169	1,169
Functional Total	129,072	107,383	117,120	102,020	102,020	102,020
HEALTH						
<i>Aging, Office for the</i>	128,410	126,347	124,579	125,882	131,023	136,377
Local Assistance	127,134	125,116	123,348	124,651	129,792	135,103
State Operations	1,276	1,231	1,231	1,231	1,231	1,274
Personal Service	1,110	1,125	1,125	1,125	1,125	1,168
Non-Personal Service	166	106	106	106	106	106
<i>Health, Department of</i>	13,271,579	13,614,139	14,633,766	15,574,262	16,715,599	17,592,427
Medical Assistance	11,551,940	11,709,956	12,849,836	13,454,070	14,566,281	15,407,771
Local Assistance	11,551,940	11,709,956	12,849,836	13,454,070	14,566,281	15,407,771
Essential Plan	32,575	312,837	178,318	457,734	472,348	482,340
Local Assistance	19,215	269,000	82,000	354,940	364,878	374,730
State Operations	13,360	43,837	96,318	102,794	107,470	107,610
Personal Service	391	1,367	3,668	3,501	3,606	3,927
Non-Personal Service	12,969	42,470	92,650	99,293	103,864	103,683
Medicaid Administration	836,542	728,644	780,301	821,701	822,140	838,053
Local Assistance	565,205	467,643	434,306	417,908	386,511	386,511
State Operations	271,337	261,001	345,995	403,793	435,629	451,542
Personal Service	31,809	36,908	40,260	46,341	49,866	53,212
Non-Personal Service	239,528	224,093	305,735	357,452	385,763	398,330

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Public Health	850,522	862,702	825,311	840,757	854,830	864,263
Local Assistance	713,523	730,107	705,005	721,981	735,954	742,282
State Operations	136,999	132,595	120,306	118,776	118,876	121,981
Personal Service	86,985	87,337	78,138	77,195	77,195	80,300
Non-Personal Service	50,014	45,258	42,168	41,581	41,681	41,681
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
State Operations	20,619	20,489	18,533	18,111	18,111	18,718
Personal Service	16,621	16,415	15,358	14,936	14,936	15,543
Non-Personal Service	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	13,420,608	13,760,975	14,776,878	15,718,255	16,864,733	17,747,522
SOCIAL WELFARE						
Children and Family Services, Office of	1,966,086	1,929,379	1,875,738	1,976,649	2,199,024	2,335,743
OCFS	1,877,164	1,847,132	1,789,366	1,876,093	2,094,225	2,221,869
Local Assistance	1,644,520	1,629,928	1,563,297	1,606,463	1,738,089	1,799,038
State Operations	232,644	217,204	226,069	269,630	356,136	422,831
Personal Service	164,764	166,257	156,386	188,064	257,269	310,896
Non-Personal Service	67,880	50,947	69,683	81,566	98,867	111,935
OCFS - Other	88,922	82,247	86,372	100,556	104,799	113,874
Local Assistance	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	11,952	12,376	9,180	33,356	43,987	44,995
Local Assistance	4,764	4,585	4,630	28,806	39,437	40,090
State Operations	7,188	7,791	4,550	4,550	4,550	4,905
Personal Service	4,919	5,000	4,199	4,199	4,199	4,492
Non-Personal Service	2,269	2,791	351	351	351	413
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
State Operations	10,263	10,382	9,921	9,921	9,921	10,590
Personal Service	9,398	9,533	9,461	9,461	9,461	10,121
Non-Personal Service	865	849	460	460	460	469
Labor, Department of	12,355	15,392	15,558	288	288	349
Local Assistance	12,149	15,081	15,270	0	0	0
State Operations	206	311	288	288	288	349
Personal Service	38	120	88	88	88	94
Non-Personal Service	168	191	200	200	200	255
National and Community Service	458	471	687	690	690	699
Local Assistance	142	267	350	350	350	350
State Operations	316	204	337	340	340	349
Personal Service	311	203	328	331	331	340
Non-Personal Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,360,435	1,356,683	1,441,372	1,470,983	1,488,114	1,495,407
Welfare Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
Local Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	238,076	226,492	231,638	231,560	246,691	253,984
Local Assistance	91,073	89,812	106,474	106,396	115,196	117,996
State Operations	147,003	136,680	125,164	125,164	131,495	135,988
Personal Service	69,932	73,429	68,985	68,985	74,144	77,513
Non-Personal Service	77,071	63,251	56,179	56,179	57,351	58,475
Functional Total	3,361,549	3,324,683	3,352,456	3,491,887	3,742,024	3,887,783
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,276	27,098	40,156	34,853	34,853	34,853
OASAS	4,951	5,773	18,831	13,528	13,528	13,528
Local Assistance	4,951	5,773	18,831	13,528	13,528	13,528
OASAS - Other	21,325	21,325	21,325	21,325	21,325	21,325
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	37,210	37,222	39,049	38,705	38,971	40,985
Local Assistance	114	200	170	170	170	170
State Operations	37,096	37,022	38,879	38,535	38,801	40,815
Personal Service	25,430	28,113	29,773	29,773	29,773	31,173
Non-Personal Service	11,666	8,909	9,106	8,762	9,028	9,642
Mental Health, Office of	285,014	277,868	269,624	300,614	311,817	323,300
OMH	4,778	7,368	800	800	800	800
Local Assistance	4,299	6,587	0	0	0	0
State Operations	479	781	800	800	800	800
Non-Personal Service	479	781	800	800	800	800
OMH - Other	280,236	270,500	268,824	299,814	311,017	322,500
Local Assistance	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	863,457	583,212	580,889	803,043	919,748	1,029,968
OPWDD	84	92	0	0	0	0
Local Assistance	84	92	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
OPWDD - Other	863,373	583,120	580,889	803,043	919,748	1,029,968
Local Assistance	863,373	583,120	580,889	803,043	919,748	1,029,968
Functional Total	1,211,957	925,400	929,718	1,177,215	1,305,389	1,429,106
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
State Operations	2,297	2,431	2,651	2,651	2,651	2,773
Personal Service	2,042	2,234	2,330	2,414	2,414	2,531
Non-Personal Service	255	197	321	237	237	242
Correctional Services, Department of	2,695,483	2,643,575	2,619,924	2,622,680	2,629,835	2,635,465
Local Assistance	4,251	4,457	5,497	5,497	5,497	5,497
State Operations	2,691,232	2,639,118	2,614,427	2,617,183	2,624,338	2,629,968
Personal Service	2,166,584	2,113,239	2,052,008	2,054,764	2,061,919	2,067,549
Non-Personal Service	524,648	525,879	562,419	562,419	562,419	562,419
Criminal Justice Services, Division of	162,498	163,222	171,939	172,830	172,830	173,794
Local Assistance	127,977	129,354	138,221	139,109	139,109	139,109
State Operations	34,521	33,868	33,718	33,721	33,721	34,685
Personal Service	24,246	26,740	25,190	25,190	25,190	26,154
Non-Personal Service	10,275	7,128	8,528	8,531	8,531	8,531
Disaster Assistance	(51,789)	0	0	0	0	0
State Operations	(51,789)	0	0	0	0	0
Personal Service	(1,768)	0	0	0	0	0
Non-Personal Service	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	(11,215)	6,640	5,042	4,972	4,972	4,972
Local Assistance	(15,533)	5,501	3,972	3,972	3,972	3,972
State Operations	4,318	1,139	1,070	1,000	1,000	1,000
Personal Service	2,072	992	1,070	1,000	1,000	1,000
Non-Personal Service	2,246	147	0	0	0	0
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
State Operations	5,567	5,505	5,584	5,643	5,708	5,936
Personal Service	4,208	4,181	4,281	4,312	4,347	4,548
Non-Personal Service	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
State Operations	20	10	30	30	30	30
Non-Personal Service	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
State Operations	14	9	38	38	38	38
Non-Personal Service	14	9	38	38	38	38
Military and Naval Affairs, Division of	23,369	24,734	21,155	21,155	21,155	21,451
Local Assistance	805	786	820	820	820	820
State Operations	22,564	23,948	20,335	20,335	20,335	20,631
Personal Service	16,694	16,761	14,161	14,161	14,161	14,457
Non-Personal Service	5,870	7,187	6,174	6,174	6,174	6,174
State Police, Division of	652,278	652,546	653,361	632,931	632,211	657,291
State Operations	652,278	652,546	653,361	632,931	632,211	657,291
Personal Service	607,551	608,046	625,682	600,232	599,482	624,532
Non-Personal Service	44,727	44,500	27,679	32,699	32,729	32,759
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
State Operations	30,070	30,309	30,137	30,137	30,137	30,580
Personal Service	10,234	11,045	11,513	11,513	11,513	11,956
Non-Personal Service	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	1,870	1,201	2,788	2,788	2,788	2,788
Local Assistance	1,870	1,201	2,788	2,788	2,788	2,788
Functional Total	3,510,462	3,530,182	3,512,649	3,495,855	3,502,355	3,535,118
HIGHER EDUCATION						
City University of New York	1,430,239	1,424,632	1,409,629	1,485,289	1,509,267	1,534,691
Local Assistance	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
State Operations	777	503	0	0	0	0
Non-Personal Service	777	503	0	0	0	0
Higher Education Services Corporation, New York State	1,009,146	957,504	897,528	1,149,206	1,188,080	1,213,231
Local Assistance	1,009,146	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	551,618	492,548	492,021	500,063	499,730	499,730
Local Assistance	500,675	491,891	492,021	500,063	499,730	499,730
State Operations	9,841	657	0	0	0	0
Personal Service	1,591	0	0	0	0	0
Non-Personal Service	8,250	657	0	0	0	0
General State Charges	41,102	0	0	0	0	0
Functional Total	2,991,003	2,874,684	2,799,178	3,134,558	3,197,077	3,247,652
EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Arts, Council on the	41,819	42,832	45,315	45,155	45,155	45,251
Local Assistance	38,332	38,907	40,995	40,835	40,835	40,835
State Operations	3,487	3,925	4,320	4,320	4,320	4,416
Personal Service	2,253	2,491	2,498	2,498	2,498	2,594
Non-Personal Service	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	22,253,306	23,260,901	24,641,684	25,869,897	27,039,702	28,448,470
School Aid	20,133,021	21,016,609	22,322,709	23,437,940	24,518,934	25,782,770
Local Assistance	20,133,021	21,016,609	22,322,709	23,437,940	24,518,934	25,782,770
Special Education Categorical Programs	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
Local Assistance	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
All Other	803,704	926,933	980,785	979,277	950,240	975,382
Local Assistance	758,709	868,560	917,972	918,491	891,432	915,602
State Operations	44,995	58,373	62,813	60,786	58,808	59,780
Personal Service	27,609	30,913	29,811	29,812	29,334	30,306
Non-Personal Service	17,386	27,460	33,002	30,974	29,474	29,474
Functional Total	22,295,125	23,303,733	24,686,999	25,915,052	27,084,857	28,493,721
GENERAL GOVERNMENT						
Budget, Division of the	21,079	22,118	24,422	23,895	23,895	24,749
State Operations	21,079	22,118	24,422	23,895	23,895	24,749
Personal Service	18,945	19,346	22,216	22,216	22,216	23,070
Non-Personal Service	2,134	2,772	2,206	1,679	1,679	1,679
Civil Service, Department of	12,951	12,614	12,451	12,544	12,643	13,113
State Operations	12,951	12,614	12,451	12,544	12,643	13,113
Personal Service	11,407	12,061	12,050	12,138	12,229	12,699
Non-Personal Service	1,544	553	401	406	414	414
Deferred Compensation Board	61	39	57	57	57	58
State Operations	61	39	57	57	57	58
Personal Service	24	29	32	32	32	33
Non-Personal Service	37	10	25	25	25	25
Elections, State Board of	7,697	7,084	8,482	8,587	8,697	9,033
Local Assistance	93	93	0	0	0	0
State Operations	7,604	6,991	8,482	8,587	8,697	9,033
Personal Service	5,639	5,765	5,875	6,018	6,063	6,346
Non-Personal Service	1,965	1,226	2,607	2,569	2,634	2,687
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
State Operations	2,247	2,334	2,581	2,601	2,621	2,719
Personal Service	2,200	2,269	2,510	2,529	2,548	2,646
Non-Personal Service	47	65	71	72	73	73
Gaming Commission, New York State	5,608	5,709	6,771	6,771	6,771	6,936
State Operations	5,608	5,709	6,771	6,771	6,771	6,936
Personal Service	3,362	3,724	4,282	4,282	4,282	4,447
Non-Personal Service	2,246	1,985	2,489	2,489	2,489	2,489
General Services, Office of	146,202	153,855	139,889	138,089	133,989	134,451
State Operations	146,202	153,855	139,889	138,089	133,989	134,451
Personal Service	66,839	73,515	56,093	56,093	56,093	59,479
Non-Personal Service	79,363	80,340	83,796	81,996	77,896	74,972
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
State Operations	7,061	7,179	7,367	7,427	7,487	7,826
Personal Service	6,230	5,833	6,552	6,600	6,648	6,970
Non-Personal Service	831	1,346	815	827	839	856
Labor Management Committees	24,882	28,818	25,000	25,000	25,000	25,211
State Operations	24,882	28,818	25,000	25,000	25,000	25,211
Personal Service	6,619	7,871	5,446	5,446	5,487	5,698
Non-Personal Service	18,263	20,947	19,554	19,554	19,513	19,513
Prevention of Domestic Violence, Office for	2,039	2,028	2,876	2,876	2,976	3,048
Local Assistance	575	528	1,285	1,285	1,385	1,385
State Operations	1,464	1,500	1,591	1,591	1,591	1,663
Personal Service	1,351	1,338	1,423	1,423	1,423	1,456
Non-Personal Service	113	162	168	168	168	207
Public Employment Relations Board	3,400	3,269	3,529	3,560	3,589	3,719
State Operations	3,400	3,269	3,529	3,560	3,589	3,719
Personal Service	3,207	3,056	3,336	3,363	3,388	3,518
Non-Personal Service	193	213	193	197	201	201
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State Operations	4,332	4,876	5,531	5,576	5,630	5,835
Personal Service	3,480	3,808	4,620	4,646	4,681	4,867
Non-Personal Service	852	1,068	911	930	949	968
State, Department of	27,242	24,962	26,608	16,103	16,103	16,465
Local Assistance	15,063	11,701	16,945	6,440	6,440	6,440
State Operations	12,179	13,261	9,663	9,663	9,663	10,025
Personal Service	11,756	12,334	9,424	9,424	9,424	9,786

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service	423	927	239	239	239	239
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
State Operations	3,035	2,833	3,040	3,040	3,040	3,150
Personal Service	2,763	2,604	2,870	2,870	2,870	2,980
Non-Personal Service	272	229	170	170	170	170
Taxation and Finance, Department of	264,676	263,572	257,033	255,908	256,533	265,226
Local Assistance	914	908	926	926	926	926
State Operations	263,762	262,664	256,107	254,982	255,607	264,300
Personal Service	247,132	234,901	226,554	226,253	226,554	235,247
Non-Personal Service	16,630	27,763	29,553	28,729	29,053	29,053
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
State Operations	505,949	548,366	536,032	559,582	559,582	569,995
Personal Service	283,573	298,543	270,712	270,712	270,712	281,125
Non-Personal Service	222,376	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Local Assistance	7,855	8,340	9,389	7,637	7,637	7,637
State Operations	5,067	5,951	6,171	6,236	6,236	6,482
Personal Service	4,731	5,086	5,879	5,938	5,938	6,178
Non-Personal Service	336	865	292	298	298	304
Welfare Inspector General, Office of	569	592	672	686	701	731
State Operations	569	592	672	686	701	731
Personal Service	511	565	617	621	626	654
Non-Personal Service	58	27	55	65	75	77
Functional Total	1,051,952	1,104,539	1,077,901	1,086,175	1,083,187	1,106,384
ELECTED OFFICIALS						
Audit and Control, Department of	158,450	165,774	164,355	162,404	162,404	168,091
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	126,425	133,749	132,331	130,380	130,380	136,067
Personal Service	101,198	103,758	104,068	102,672	102,672	107,805
Non-Personal Service	25,227	29,991	28,263	27,708	27,708	28,262
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
State Operations	13,704	14,653	13,578	13,578	13,578	14,032
Personal Service	10,669	10,746	11,113	11,113	11,113	11,567
Non-Personal Service	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	2,504,174	2,589,330	2,693,700	2,751,503	2,751,503	2,808,503
Local Assistance	2,451	2,443	3,000	18,000	18,000	18,000
State Operations	1,838,149	1,911,837	1,956,000	1,981,400	1,983,900	2,040,900
Personal Service	1,451,032	1,509,278	1,536,400	1,561,800	1,564,300	1,621,300
Non-Personal Service	387,117	402,559	419,600	419,600	419,600	419,600
General State Charges	663,574	675,050	734,700	752,103	749,603	749,603
Law, Department of	102,098	104,150	105,434	102,838	103,761	108,535
State Operations	102,098	104,150	105,434	102,838	103,761	108,535
Personal Service	89,952	92,699	92,098	89,405	90,070	94,570
Non-Personal Service	12,146	11,451	13,336	13,433	13,691	13,965
Legislature	214,111	224,312	224,380	217,845	217,845	218,161
State Operations	214,111	224,312	224,380	217,845	217,845	218,161
Personal Service	167,444	170,623	171,104	166,331	166,331	166,647
Non-Personal Service	46,667	53,689	53,276	51,514	51,514	51,514
Lieutenant Governor, Office of the	499	510	614	614	614	634
State Operations	499	510	614	614	614	634
Personal Service	336	364	523	523	523	543
Non-Personal Service	163	146	91	91	91	91
Functional Total	2,993,036	3,098,729	3,202,061	3,248,782	3,249,705	3,317,956
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	763,347	763,347	763,347
Local Assistance	728,288	714,732	722,974	763,347	763,347	763,347
State Operations	0	107	0	0	0	0
Non-Personal Service	0	107	0	0	0	0
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Local Assistance	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Local Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Local Assistance	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES						

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
General State Charges	4,681,599	4,782,944	5,044,559	5,563,307	6,029,668	6,589,217
General State Charges	4,681,599	4,782,944	5,044,559	5,563,307	6,029,668	6,589,217
Miscellaneous	(154,891)	(69,320)	338,221	1,043,445	1,681,778	2,091,745
Local Assistance	(187,744)	(95,195)	271,533	556,057	944,390	1,111,057
State Operations	21,897	21,571	62,268	482,968	732,968	976,268
Personal Service	63	49	19,056	250,256	405,256	633,056
Non-Personal Service	21,834	21,522	43,212	232,712	327,712	343,212
General State Charges	10,956	4,304	4,420	4,420	4,420	4,420
Functional Total	4,526,708	4,713,624	5,382,780	6,606,752	7,711,446	8,680,962
TOTAL GENERAL FUND SPENDING	56,666,740	57,987,962	61,086,890	65,261,484	69,136,901	72,856,540

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	59,962	64,846	62,823	59,969	59,969	56,980
Alcoholic Beverage Control, Division of	0	10,843	12,683	12,683	12,744	13,060
Economic Development, Department of	63,748	73,002	70,921	71,580	71,580	71,580
Empire State Development Corporation	58,756	122,298	133,981	132,246	132,246	132,246
Olympic Regional Development Authority	3,011	2,736	2,536	5,736	5,736	5,736
Functional Total	185,477	273,725	282,944	282,214	282,275	279,602
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	98,676	92,279	97,319	100,477	108,477	122,502
Parks, Recreation and Historic Preservation, Office of	115,847	113,058	103,126	103,129	104,243	109,191
Functional Total	218,820	209,872	204,858	208,019	217,133	236,264
TRANSPORTATION						
Motor Vehicles, Department of	0	0	1,600	0	0	0
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	112,503	107,383	115,520	102,020	102,020	102,020
Functional Total	129,072	107,383	117,120	102,020	102,020	102,020
HEALTH						
Aging, Office for the	128,410	126,347	124,579	125,882	131,023	136,377
Health, Department of	13,271,579	13,614,139	14,633,766	15,574,262	16,715,599	17,592,427
<i>Medical Assistance</i>	11,551,940	11,709,956	12,849,836	13,454,070	14,566,281	15,407,771
<i>Essential Plan</i>	32,575	312,837	178,318	457,734	472,348	482,340
<i>Medicaid Administration</i>	836,542	728,644	780,301	821,701	822,140	838,053
<i>Public Health</i>	850,522	862,702	825,311	840,757	854,830	864,263
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	13,420,608	13,760,975	14,776,878	15,718,255	16,864,733	17,747,522
SOCIAL WELFARE						
Children and Family Services, Office of	1,966,086	1,929,379	1,875,738	1,976,649	2,199,024	2,335,743
<i>OCFS</i>	1,877,164	1,847,132	1,789,366	1,876,093	2,094,225	2,221,869
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	11,952	12,376	9,180	33,356	43,987	44,995
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	12,355	15,392	15,558	288	288	349
National and Community Service	458	471	687	690	690	699
Temporary and Disability Assistance, Office of	1,360,435	1,356,683	1,441,372	1,470,983	1,488,114	1,495,407
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	238,076	226,492	231,638	231,560	246,691	253,984
Functional Total	3,361,549	3,324,683	3,352,456	3,491,887	3,742,024	3,887,783
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,276	27,098	40,156	34,853	34,853	34,853
<i>OASAS</i>	4,951	5,773	18,831	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	37,210	37,222	39,049	38,705	38,971	40,985
Mental Health, Office of	285,014	277,868	269,624	300,614	311,817	323,300
<i>OMH</i>	4,778	7,368	800	800	800	800
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	863,457	583,212	580,889	803,043	919,748	1,029,968
<i>OPWDD</i>	84	92	0	0	0	0
<i>OPWDD - Other</i>	863,373	583,120	580,889	803,043	919,748	1,029,968
Functional Total	1,211,957	925,400	929,718	1,177,215	1,305,389	1,429,106
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,695,483	2,643,575	2,619,924	2,622,680	2,629,835	2,635,465
Criminal Justice Services, Division of	162,498	163,222	171,939	172,830	172,830	173,794
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	(11,215)	6,640	5,042	4,972	4,972	4,972
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	23,369	24,734	21,155	21,155	21,155	21,451
State Police, Division of	652,278	652,546	653,361	632,931	632,211	657,291
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	1,870	1,201	2,788	2,788	2,788	2,788
Functional Total	3,510,462	3,530,182	3,512,649	3,495,855	3,502,355	3,535,118
HIGHER EDUCATION						
City University of New York	1,430,239	1,424,632	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	1,009,146	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	551,618	492,548	492,021	500,063	499,730	499,730
Functional Total	2,991,003	2,874,684	2,799,178	3,134,558	3,197,077	3,247,652

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Arts, Council on the	41,819	42,832	45,315	45,155	45,155	45,251
Education, Department of	22,253,306	23,260,901	24,641,684	25,869,897	27,039,702	28,448,470
<i>School Aid</i>	20,133,021	21,016,609	22,322,709	23,437,940	24,518,934	25,782,770
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	803,704	926,933	980,785	979,277	950,240	975,382
Functional Total	22,295,125	23,303,733	24,686,999	25,915,052	27,084,857	28,493,721
GENERAL GOVERNMENT						
Budget, Division of the	21,079	22,118	24,422	23,895	23,895	24,749
Civil Service, Department of	12,951	12,614	12,451	12,544	12,643	13,113
Deferred Compensation Board	61	39	57	57	57	58
Elections, State Board of	7,697	7,084	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	5,608	5,709	6,771	6,771	6,771	6,936
General Services, Office of	146,202	153,855	139,889	138,089	133,989	134,451
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,000	25,000	25,000	25,211
Prevention of Domestic Violence, Office for	2,039	2,028	2,876	2,876	2,976	3,048
Public Employment Relations Board	3,400	3,269	3,529	3,560	3,589	3,719
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	27,242	24,962	26,608	16,103	16,103	16,465
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	264,676	263,572	257,033	255,908	256,533	265,226
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Welfare Inspector General, Office of	569	592	672	686	701	731
Functional Total	1,051,952	1,104,539	1,077,901	1,086,175	1,083,187	1,106,384
ELECTED OFFICIALS						
Audit and Control, Department of	158,450	165,774	164,355	162,404	162,404	168,091
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,504,174	2,589,330	2,693,700	2,751,503	2,751,503	2,808,503
Law, Department of	102,098	104,150	105,434	102,838	103,761	108,535
Legislature	214,111	224,312	224,380	217,845	217,845	218,161
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,993,036	3,098,729	3,202,061	3,248,782	3,249,705	3,317,956
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Miscellaneous	(154,891)	(69,320)	338,221	1,043,445	1,681,778	2,091,745
Functional Total	4,526,708	4,713,623	5,382,780	6,606,752	7,711,446	8,680,962
TOTAL GENERAL FUND SPENDING	56,666,740	57,987,961	61,086,890	65,261,484	69,136,901	72,856,540

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,761	28,907	28,907	24,907
Economic Development, Department of	44,963	53,748	53,320	53,979	53,979	53,979
Empire State Development Corporation	58,756	122,298	133,981	132,246	132,246	132,246
Functional Total	130,998	208,026	219,062	215,132	215,132	211,132
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	4,262	1,906	6,911	4,618	4,618	4,618
Parks, Recreation and Historic Preservation, Office of	4,891	4,298	1,790	750	750	750
Functional Total	9,153	6,204	8,701	5,368	5,368	5,368
TRANSPORTATION						
Motor Vehicles, Department of	0	0	1,600	0	0	0
Transportation, Department of	111,351	106,214	114,351	100,851	100,851	100,851
Functional Total	111,351	106,214	115,951	100,851	100,851	100,851
HEALTH						
Aging, Office for the	127,134	125,116	123,348	124,651	129,792	135,103
Health, Department of	12,849,883	13,176,706	14,071,147	14,948,899	16,053,624	16,911,294
<i>Medical Assistance</i>	11,551,940	11,709,956	12,849,836	13,454,070	14,566,281	15,407,771
<i>Essential Plan</i>	19,215	269,000	82,000	354,940	364,878	374,730
<i>Medicaid Administration</i>	565,205	467,643	434,306	417,908	386,511	386,511
<i>Public Health</i>	713,523	730,107	705,005	721,981	735,954	742,282
Functional Total	12,977,017	13,301,822	14,194,495	15,073,550	16,183,416	17,046,397
SOCIAL WELFARE						
Children and Family Services, Office of	1,733,442	1,712,175	1,649,669	1,707,019	1,842,888	1,912,912
<i>OCFS</i>	1,644,520	1,629,928	1,563,297	1,606,463	1,738,089	1,799,038
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	4,764	4,585	4,630	28,806	39,437	40,090
Labor, Department of	12,149	15,081	15,270	0	0	0
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,213,432	1,220,003	1,316,208	1,345,819	1,356,619	1,359,419
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	91,073	89,812	106,474	106,396	115,196	117,996
Functional Total	2,963,929	2,952,111	2,986,127	3,081,994	3,239,294	3,312,771
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,276	27,098	40,156	34,853	34,853	34,853
<i>OASAS</i>	4,951	5,773	18,831	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	114	200	170	170	170	170
Mental Health, Office of	284,535	277,087	268,824	299,814	311,017	322,500
<i>OMH</i>	4,299	6,587	0	0	0	0
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	863,457	583,212	580,889	803,043	919,748	1,029,968
<i>OPWDD</i>	84	92	0	0	0	0
<i>OPWDD - Other</i>	863,373	583,120	580,889	803,043	919,748	1,029,968
Functional Total	1,174,382	887,597	890,039	1,137,880	1,265,788	1,387,491
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	127,977	129,354	138,221	139,109	139,109	139,109
Homeland Security and Emergency Services, Division of	(15,533)	5,501	3,972	3,972	3,972	3,972
Military and Naval Affairs, Division of	805	786	820	820	820	820
Victim Services, Office of	1,870	1,201	2,788	2,788	2,788	2,788
Functional Total	119,370	141,299	151,298	152,186	152,186	152,186
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	1,009,146	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	500,675	491,891	492,021	500,063	499,730	499,730
Functional Total	2,939,283	2,873,524	2,799,178	3,134,558	3,197,077	3,247,652
EDUCATION						
Arts, Council on the	38,332	38,907	40,995	40,835	40,835	40,835
Education, Department of	22,208,311	23,202,528	24,578,871	25,809,111	26,980,894	28,388,690
<i>School Aid</i>	20,133,021	21,016,609	22,322,709	23,437,940	24,518,934	25,782,770
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	758,709	868,560	917,972	918,491	891,432	915,602
Functional Total	22,246,643	23,241,435	24,619,866	25,849,946	27,021,729	28,429,525
GENERAL GOVERNMENT						
Elections, State Board of	93	93	0	0	0	0
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	15,063	11,701	16,945	6,440	6,440	6,440
Taxation and Finance, Department of	914	908	926	926	926	926
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	24,500	21,570	28,545	16,288	16,388	16,388
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Judiciary	2,451	2,443	3,000	18,000	18,000	18,000
Functional Total	<u>34,476</u>	<u>34,468</u>	<u>35,024</u>	<u>50,024</u>	<u>50,024</u>	<u>50,024</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>770,971</u>	<u>760,326</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
Miscellaneous	(187,744)	(95,195)	271,533	556,057	944,390	1,111,057
Functional Total	<u>(187,744)</u>	<u>(95,195)</u>	<u>271,533</u>	<u>556,057</u>	<u>944,390</u>	<u>1,111,057</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>43,314,329</u></u>	<u><u>44,439,401</u></u>	<u><u>47,081,167</u></u>	<u><u>50,168,534</u></u>	<u><u>53,186,343</u></u>	<u><u>55,863,292</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,683	32,866	31,062	31,062	31,062	32,073
Alcoholic Beverage Control, Division of	0	10,843	12,683	12,683	12,744	13,060
Economic Development, Department of	18,785	19,254	17,601	17,601	17,601	17,601
Olympic Regional Development Authority	3,011	2,736	2,536	5,736	5,736	5,736
Functional Total	54,479	65,699	63,882	67,082	67,143	68,470
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	94,414	90,373	90,408	95,859	103,859	117,884
Parks, Recreation and Historic Preservation, Office of	110,956	108,760	101,336	102,379	103,493	108,441
Functional Total	209,667	203,668	196,157	202,651	211,765	230,896
TRANSPORTATION						
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	1,152	1,169	1,169	1,169	1,169	1,169
Functional Total	17,721	1,169	1,169	1,169	1,169	1,169
HEALTH						
Aging, Office for the	1,276	1,231	1,231	1,231	1,231	1,274
Health, Department of	421,696	437,433	562,619	625,363	661,975	681,133
<i>Essential Plan</i>	13,360	43,837	96,318	102,794	107,470	107,610
<i>Medicaid Administration</i>	271,337	261,001	345,995	403,793	435,629	451,542
<i>Public Health</i>	136,999	132,595	120,306	118,776	118,876	121,981
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	443,591	459,153	582,383	644,705	681,317	701,125
SOCIAL WELFARE						
Children and Family Services, Office of	232,644	217,204	226,069	269,630	356,136	422,831
<i>OCFS</i>	232,644	217,204	226,069	269,630	356,136	422,831
Housing and Community Renewal, Division of	7,188	7,791	4,550	4,550	4,550	4,905
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	206	311	288	288	288	349
National and Community Service	316	204	337	340	340	349
Temporary and Disability Assistance, Office of	147,003	136,680	125,164	125,164	131,495	135,988
<i>All Other</i>	147,003	136,680	125,164	125,164	131,495	135,988
Functional Total	397,620	372,572	366,329	409,893	502,730	575,012
MENTAL HYGIENE						
Justice Center	37,096	37,022	38,879	38,535	38,801	40,815
Mental Health, Office of	479	781	800	800	800	800
<i>OMH</i>	479	781	800	800	800	800
Functional Total	37,575	37,803	39,679	39,335	39,601	41,615
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,691,232	2,639,118	2,614,427	2,617,183	2,624,338	2,629,968
Criminal Justice Services, Division of	34,521	33,868	33,718	33,721	33,721	34,685
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	4,318	1,139	1,070	1,000	1,000	1,000
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	22,564	23,948	20,335	20,335	20,335	20,631
State Police, Division of	652,278	652,546	653,361	632,931	632,211	657,291
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Functional Total	3,391,092	3,388,883	3,361,351	3,343,669	3,350,169	3,382,932
HIGHER EDUCATION						
City University of New York	777	503	0	0	0	0
State University of New York	9,841	657	0	0	0	0
Functional Total	10,618	1,160	0	0	0	0
EDUCATION						
Arts, Council on the	3,487	3,925	4,320	4,320	4,320	4,416
Education, Department of	44,995	58,373	62,813	60,786	58,808	59,780
<i>All Other</i>	44,995	58,373	62,813	60,786	58,808	59,780
Functional Total	48,482	62,298	67,133	65,106	63,128	64,196
GENERAL GOVERNMENT						
Budget, Division of the	21,079	22,118	24,422	23,895	23,895	24,749
Civil Service, Department of	12,951	12,614	12,451	12,544	12,643	13,113
Deferred Compensation Board	61	39	57	57	57	58
Elections, State Board of	7,604	6,991	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	5,608	5,709	6,771	6,771	6,771	6,936
General Services, Office of	146,202	153,855	139,889	138,089	133,989	134,451
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,000	25,000	25,000	25,211
Prevention of Domestic Violence, Office for	1,464	1,500	1,591	1,591	1,591	1,663
Public Employment Relations Board	3,400	3,269	3,529	3,560	3,589	3,719
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	12,179	13,261	9,663	9,663	9,663	10,025

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	263,762	262,664	256,107	254,982	255,607	264,300
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,067	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	569	592	672	686	701	731
Functional Total	1,027,452	1,082,969	1,049,356	1,069,887	1,066,799	1,089,996
ELECTED OFFICIALS						
Audit and Control, Department of	126,425	133,749	132,331	130,380	130,380	136,067
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,838,149	1,911,837	1,956,000	1,981,400	1,983,900	2,040,900
Law, Department of	102,098	104,150	105,434	102,838	103,761	108,535
Legislature	214,111	224,312	224,380	217,845	217,845	218,161
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,294,986	2,389,211	2,432,337	2,446,655	2,450,078	2,518,329
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	21,897	21,571	62,268	482,968	732,968	976,268
Functional Total	21,897	21,571	62,268	482,968	732,968	976,268
TOTAL STATE OPERATIONS SPENDING	7,955,180	8,086,263	8,222,044	8,773,120	9,166,867	9,650,008

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,269	27,374	26,278	26,278	26,278	27,289
Alcoholic Beverage Control, Division of	0	7,567	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548	2,548
Functional Total	39,791	50,641	49,799	49,799	49,860	51,187
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	85,641	83,931	77,041	77,111	84,311	93,536
Parks, Recreation and Historic Preservation, Office of	105,937	103,327	93,989	95,032	96,146	101,094
Functional Total	195,614	191,370	175,138	176,251	184,565	198,896
HEALTH						
Aging, Office for the	1,110	1,125	1,125	1,125	1,125	1,168
Health, Department of	119,185	125,612	122,066	127,037	130,667	137,439
<i>Essential Plan</i>	391	1,367	3,668	3,501	3,606	3,927
<i>Medicaid Administration</i>	31,809	36,908	40,260	46,341	49,866	53,212
<i>Public Health</i>	86,985	87,337	78,138	77,195	77,195	80,300
Medicaid Inspector General, Office of the	16,621	16,415	15,358	14,936	14,936	15,543
Functional Total	136,916	143,152	138,549	143,098	146,728	154,150
SOCIAL WELFARE						
Children and Family Services, Office of	164,764	166,257	156,386	188,064	257,269	310,896
<i>OCFS</i>	164,764	166,257	156,386	188,064	257,269	310,896
Housing and Community Renewal, Division of	4,919	5,000	4,199	4,199	4,199	4,492
Human Rights, Division of	9,398	9,533	9,461	9,461	9,461	10,121
Labor, Department of	38	120	88	88	88	94
National and Community Service	311	203	328	331	331	340
Temporary and Disability Assistance, Office of	69,932	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	69,932	73,429	68,985	68,985	74,144	77,513
Functional Total	249,362	254,542	239,447	271,128	345,492	403,456
MENTAL HYGIENE						
Justice Center	25,430	28,113	29,773	29,773	29,773	31,173
Functional Total	25,430	28,113	29,773	29,773	29,773	31,173
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,166,584	2,113,239	2,052,008	2,054,764	2,061,919	2,067,549
Criminal Justice Services, Division of	24,246	26,740	25,190	25,190	25,190	26,154
Disaster Assistance	(1,768)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	2,072	992	1,070	1,000	1,000	1,000
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	16,694	16,761	14,161	14,161	14,161	14,457
State Police, Division of	607,551	608,046	625,682	600,232	599,482	624,532
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Functional Total	2,831,863	2,783,238	2,736,235	2,713,586	2,720,026	2,752,727
HIGHER EDUCATION						
State University of New York	1,591	0	0	0	0	0
Functional Total	1,591	0	0	0	0	0
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	27,609	30,913	29,811	29,812	29,334	30,306
<i>All Other</i>	27,609	30,913	29,811	29,812	29,334	30,306
Functional Total	29,862	33,404	32,309	32,310	31,832	32,900
GENERAL GOVERNMENT						
Budget, Division of the	18,945	19,346	22,216	22,216	22,216	23,070
Civil Service, Department of	11,407	12,061	12,050	12,138	12,229	12,699
Deferred Compensation Board	24	29	32	32	32	33
Elections, State Board of	5,639	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	3,362	3,724	4,282	4,282	4,282	4,447
General Services, Office of	66,839	73,515	56,093	56,093	56,093	59,479
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,351	1,338	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	11,756	12,334	9,424	9,424	9,424	9,786
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	247,132	234,901	226,554	226,253	226,554	235,247
Technology, Office for	283,573	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	4,731	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	511	565	617	621	626	654
Functional Total	679,769	692,648	640,491	640,604	641,214	667,199
ELECTED OFFICIALS						
Audit and Control, Department of	101,198	103,758	104,068	102,672	102,672	107,805
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Judiciary	1,451,032	1,509,278	1,536,400	1,561,800	1,564,300	1,621,300
Law, Department of	89,952	92,699	92,098	89,405	90,070	94,570
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	<u>1,820,631</u>	<u>1,887,468</u>	<u>1,915,306</u>	<u>1,931,844</u>	<u>1,935,009</u>	<u>2,002,432</u>
ALL OTHER CATEGORIES						
Miscellaneous	63	49	19,056	250,256	405,256	633,056
Functional Total	<u>63</u>	<u>49</u>	<u>19,056</u>	<u>250,256</u>	<u>405,256</u>	<u>633,056</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>6,010,892</u></u>	<u><u>6,064,625</u></u>	<u><u>5,976,103</u></u>	<u><u>6,238,649</u></u>	<u><u>6,489,755</u></u>	<u><u>6,927,176</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,414	5,492	4,784	4,784	4,784	4,784
Alcoholic Beverage Control, Division of	0	3,276	4,536	4,536	4,536	4,536
Economic Development, Department of	6,811	6,102	4,775	4,775	4,775	4,775
Olympic Regional Development Authority	463	188	(12)	3,188	3,188	3,188
Functional Total	14,688	15,058	14,083	17,283	17,283	17,283
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	261	423	305	305	305	305
Environmental Conservation, Department of	8,773	6,442	13,367	18,748	19,548	24,348
Parks, Recreation and Historic Preservation, Office of	5,019	5,433	7,347	7,347	7,347	7,347
Functional Total	14,053	12,298	21,019	26,400	27,200	32,000
TRANSPORTATION						
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	1,152	1,169	1,169	1,169	1,169	1,169
Functional Total	17,721	1,169	1,169	1,169	1,169	1,169
HEALTH						
Aging, Office for the	166	106	106	106	106	106
Health, Department of	302,511	311,821	440,553	498,326	531,308	543,694
<i>Essential Plan</i>	12,969	42,470	92,650	99,293	103,864	103,683
<i>Medicaid Administration</i>	239,528	224,093	305,735	357,452	385,763	398,330
<i>Public Health</i>	50,014	45,258	42,168	41,581	41,681	41,681
Medicaid Inspector General, Office of the	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	306,675	316,001	443,834	501,607	534,589	546,975
SOCIAL WELFARE						
Children and Family Services, Office of	67,880	50,947	69,683	81,566	98,867	111,935
<i>OCFS</i>	67,880	50,947	69,683	81,566	98,867	111,935
Housing and Community Renewal, Division of	2,269	2,791	351	351	351	413
Human Rights, Division of	865	849	460	460	460	469
Labor, Department of	168	191	200	200	200	255
National and Community Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	77,071	63,251	56,179	56,179	57,351	58,475
<i>All Other</i>	77,071	63,251	56,179	56,179	57,351	58,475
Functional Total	148,258	118,030	126,882	138,765	157,238	171,556
MENTAL HYGIENE						
Justice Center	11,666	8,909	9,106	8,762	9,028	9,642
Mental Health, Office of	479	781	800	800	800	800
<i>OMH</i>	479	781	800	800	800	800
Functional Total	12,145	9,690	9,906	9,562	9,828	10,442
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242
Correctional Services, Department of	524,648	525,879	562,419	562,419	562,419	562,419
Criminal Justice Services, Division of	10,275	7,128	8,528	8,531	8,531	8,531
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	2,246	147	0	0	0	0
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	5,870	7,187	6,174	6,174	6,174	6,174
State Police, Division of	44,727	44,500	27,679	32,699	32,729	32,759
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Functional Total	559,229	605,645	625,116	630,083	630,143	630,205
HIGHER EDUCATION						
City University of New York	777	503	0	0	0	0
State University of New York	8,250	657	0	0	0	0
Functional Total	9,027	1,160	0	0	0	0
EDUCATION						
Arts, Council on the	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	17,386	27,460	33,002	30,974	29,474	29,474
<i>All Other</i>	17,386	27,460	33,002	30,974	29,474	29,474
Functional Total	18,620	28,894	34,824	32,796	31,296	31,296
GENERAL GOVERNMENT						
Budget, Division of	2,134	2,772	2,206	1,679	1,679	1,679
Civil Service, Department of	1,544	553	401	406	414	414
Deferred Compensation Board	37	10	25	25	25	25
Elections, State Board of	1,965	1,226	2,607	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	2,246	1,985	2,489	2,489	2,489	2,489
General Services, Office of	79,363	80,340	83,796	81,996	77,896	74,972
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,554	19,554	19,513	19,513
Prevention of Domestic Violence, Office for	113	162	168	168	168	207
Public Employment Relations Board	193	213	193	197	201	201
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	423	927	239	239	239	239

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	16,630	27,763	29,553	28,729	29,053	29,053
Technology, Office for	222,376	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	336	865	292	298	298	304
Welfare Inspector General, Office of	58	27	55	65	75	77
Functional Total	347,683	390,321	408,865	429,283	425,585	422,797
ELECTED OFFICIALS						
Audit and Control, Department of	25,227	29,991	28,263	27,708	27,708	28,262
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	387,117	402,559	419,600	419,600	419,600	419,600
Law, Department of	12,146	11,451	13,336	13,433	13,691	13,965
Legislature	46,667	53,689	53,276	51,514	51,514	51,514
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	474,355	501,743	517,031	514,811	515,069	515,897
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	21,834	21,522	43,212	232,712	327,712	343,212
Functional Total	21,834	21,522	43,212	232,712	327,712	343,212
TOTAL NON-PERSONAL SERVICE SPENDING	1,944,288	2,021,638	2,245,941	2,534,471	2,677,112	2,722,832

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
HIGHER EDUCATION						
State University of New York	41,102	0	0	0	0	0
Functional Total	<u>41,102</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ELECTED OFFICIALS						
Judiciary	663,574	675,050	734,700	752,103	749,603	749,603
Functional Total	<u>663,574</u>	<u>675,050</u>	<u>734,700</u>	<u>752,103</u>	<u>749,603</u>	<u>749,603</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Miscellaneous	10,956	4,304	4,420	4,420	4,420	4,420
Functional Total	<u>4,692,555</u>	<u>4,787,247</u>	<u>5,048,979</u>	<u>5,567,727</u>	<u>6,034,088</u>	<u>6,593,637</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,397,231</u></u>	<u><u>5,462,297</u></u>	<u><u>5,783,679</u></u>	<u><u>6,319,830</u></u>	<u><u>6,783,691</u></u>	<u><u>7,343,240</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	83,541	78,233	69,997	83,005	83,064	80,302
Local Assistance	27,279	31,980	31,761	28,907	28,907	24,907
State Operations	54,745	44,534	36,448	52,239	52,244	53,363
Personal Service	27,923	30,523	29,183	29,238	29,243	30,358
Non-Personal Service/Indirect Costs	26,822	14,011	7,265	23,001	23,001	23,005
General State Charges	1,517	1,719	1,788	1,859	1,913	2,032
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
State Operations	12,501	11,127	12,683	12,683	12,744	13,060
Personal Service	7,622	7,742	8,147	8,147	8,208	8,524
Non-Personal Service/Indirect Costs	4,879	3,385	4,536	4,536	4,536	4,536
General State Charges	4,776	121	0	0	0	0
Economic Development, Department of	82,617	75,181	77,899	78,558	78,558	78,558
Local Assistance	62,153	53,998	58,320	58,979	58,979	58,979
State Operations	20,464	21,183	19,551	19,551	19,551	19,551
Personal Service	11,974	13,152	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	8,490	8,031	6,622	6,622	6,622	6,622
General State Charges	0	0	28	28	28	28
Empire State Development Corporation	58,756	122,298	133,981	132,246	132,246	132,246
Local Assistance	58,756	122,298	133,981	132,246	132,246	132,246
Energy Research and Development Authority	3,808	0	0	0	0	0
Local Assistance	1,842	0	0	0	0	0
State Operations	1,431	0	0	0	0	0
Personal Service	1,024	0	0	0	0	0
Non-Personal Service/Indirect Costs	407	0	0	0	0	0
General State Charges	535	0	0	0	0	0
Financial Services, Department of	360,052	362,563	359,644	361,581	368,179	381,291
Local Assistance	80,686	66,703	59,488	59,430	59,412	59,406
State Operations	201,930	209,208	206,743	206,790	210,728	216,873
Personal Service	149,000	151,522	151,883	151,928	152,663	158,612
Non-Personal Service/Indirect Costs	52,930	57,686	54,860	54,862	58,065	58,261
General State Charges	77,436	86,652	93,413	95,361	98,039	105,012
Olympic Regional Development Authority	3,111	2,736	2,686	5,886	5,886	5,886
State Operations	3,091	2,736	2,686	5,886	5,886	5,886
Personal Service	2,593	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	498	188	138	3,338	3,338	3,338
General State Charges	20	0	0	0	0	0
Public Service Department	68,475	75,529	76,535	77,070	77,800	80,131
Local Assistance	0	0	155	155	155	155
State Operations	49,483	50,006	49,558	49,558	49,558	51,169
Personal Service	40,858	41,485	41,886	41,886	41,886	43,497
Non-Personal Service/Indirect Costs	8,625	8,521	7,672	7,672	7,672	7,672
General State Charges	18,992	25,523	26,822	27,357	28,087	28,807
Functional Total	677,637	727,788	733,425	751,029	758,477	771,474
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
State Operations	4,297	4,535	4,413	4,413	4,413	4,571
Personal Service	4,036	4,112	4,108	4,108	4,108	4,266
Non-Personal Service/Indirect Costs	261	423	305	305	305	305
Environmental Conservation, Department of	287,360	274,904	268,709	267,747	265,331	271,148
Local Assistance	4,262	2,105	6,911	4,618	4,618	4,618
State Operations	237,912	225,085	211,135	211,234	211,937	218,437
Personal Service	181,598	176,058	170,209	170,560	170,677	177,242
Non-Personal Service/Indirect Costs	56,314	49,027	40,926	40,674	41,260	41,195
General State Charges	45,186	47,714	50,663	51,895	48,776	48,093
Parks, Recreation and Historic Preservation, Office of	195,155	191,925	181,412	177,887	179,206	185,677
Local Assistance	9,645	7,963	7,240	6,200	6,200	6,200
State Operations	180,898	178,446	168,553	168,530	169,841	176,164
Personal Service	135,656	135,346	123,847	124,824	126,135	132,456
Non-Personal Service/Indirect Costs	45,242	43,100	44,706	43,706	43,706	43,708
General State Charges	2,863	2,972	3,144	3,157	3,165	3,313
Capital Projects	1,749	2,544	2,475	0	0	0
Functional Total	486,812	471,364	454,534	450,047	448,950	461,396
TRANSPORTATION						
Motor Vehicles, Department of	83,762	70,033	74,387	72,865	72,865	74,128
Local Assistance	0	0	1,600	0	0	0
State Operations	59,397	49,560	50,565	50,565	50,565	51,357
Personal Service	43,684	35,655	36,479	36,479	36,479	37,249
Non-Personal Service/Indirect Costs	15,713	13,905	14,086	14,086	14,086	14,108
General State Charges	24,365	20,473	22,222	22,300	22,300	22,771
Thruway Authority, New York State	16,569	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	16,569	0	0	0	0	0
Non-Personal Service/Indirect Costs	16,569	0	0	0	0	0
Transportation, Department of	4,767,454	5,002,277	5,039,399	5,091,603	5,187,750	5,252,500
Local Assistance	4,745,228	4,977,299	5,025,262	5,078,348	5,174,495	5,239,039
State Operations	18,588	21,228	11,442	11,253	11,253	11,382
Personal Service	6,407	6,497	3,413	3,256	3,256	3,381
Non-Personal Service/Indirect Costs	12,181	14,731	8,029	7,997	7,997	8,001
General State Charges	3,638	3,750	2,695	2,002	2,002	2,079
Functional Total	4,867,785	5,072,310	5,113,786	5,164,468	5,260,615	5,326,628
HEALTH						
Aging, Office for the	128,410	126,347	124,580	125,883	131,024	136,378
Local Assistance	127,134	125,116	123,348	124,651	129,792	135,103
State Operations	1,276	1,231	1,232	1,232	1,232	1,275
Personal Service	1,110	1,125	1,125	1,125	1,125	1,168
Non-Personal Service/Indirect Costs	166	106	107	107	107	107
Health, Department of	19,823,519	20,477,016	21,349,861	22,274,284	23,433,537	24,355,587
Medical Assistance	16,868,571	17,505,933	18,466,071	19,017,694	20,029,612	20,788,581
Local Assistance	16,868,571	17,505,933	18,466,071	19,017,694	20,029,612	20,788,581
Essential Plan	32,575	312,837	178,318	457,734	472,348	482,340
Local Assistance	19,215	269,000	82,000	354,940	364,878	374,730
State Operations	13,360	43,837	96,318	102,794	107,470	107,610
Personal Service	391	1,367	3,668	3,501	3,606	3,927
Non-Personal Service/Indirect Costs	12,969	42,470	92,650	99,293	103,864	103,683
Medicaid Administration	836,542	728,644	780,301	821,701	822,140	838,053
Local Assistance	565,205	467,643	434,306	417,908	386,511	386,511
State Operations	271,337	261,001	345,995	403,793	435,629	451,542
Personal Service	31,809	36,908	40,260	46,341	49,866	53,212
Non-Personal Service/Indirect Costs	239,528	224,093	305,735	357,452	385,763	398,330
Public Health	2,085,831	1,929,602	1,925,171	1,977,155	2,109,437	2,246,613
Local Assistance	1,647,316	1,515,383	1,524,126	1,579,122	1,709,845	1,840,606
State Operations	406,943	383,676	368,228	365,764	366,422	370,907
Personal Service	229,651	223,681	208,741	207,424	207,419	211,980
Non-Personal Service/Indirect Costs	177,292	159,995	159,487	158,340	159,003	158,927
General State Charges	31,572	30,543	32,817	32,269	33,170	35,100
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
State Operations	20,619	20,489	18,533	18,111	18,111	18,718
Personal Service	16,621	16,415	15,358	14,936	14,936	15,543
Non-Personal Service/Indirect Costs	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	19,972,548	20,623,852	21,492,974	22,418,278	23,582,672	24,510,683
SOCIAL WELFARE						
Children and Family Services, Office of	2,001,389	1,966,820	1,899,326	2,000,876	2,223,281	2,360,470
OCFS	1,912,467	1,884,573	1,812,954	1,900,320	2,118,482	2,246,596
Local Assistance	1,646,887	1,633,092	1,566,879	1,610,045	1,741,671	1,802,620
State Operations	262,651	249,825	244,352	288,511	375,017	442,152
Personal Service	167,328	169,024	159,708	191,418	260,623	314,379
Non-Personal Service/Indirect Costs	95,323	80,801	84,644	97,093	114,394	127,773
General State Charges	2,929	1,656	1,723	1,764	1,794	1,824
OCFS - Other	88,922	82,247	86,372	100,556	104,799	113,874
Local Assistance	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	71,365	60,118	69,205	89,308	99,939	103,844
Local Assistance	5,059	4,370	5,482	29,658	40,289	40,942
State Operations	50,330	50,299	49,246	49,246	49,246	52,498
Personal Service	38,788	42,730	40,403	40,403	40,403	43,225
Non-Personal Service/Indirect Costs	11,542	7,569	8,843	8,843	8,843	9,273
General State Charges	15,976	5,449	14,477	10,404	10,404	10,404
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
State Operations	10,263	10,382	9,921	9,921	9,921	10,590
Personal Service	9,398	9,533	9,461	9,461	9,461	10,121
Non-Personal Service/Indirect Costs	865	849	460	460	460	469
Labor, Department of	72,790	82,569	82,426	67,156	67,156	69,893
Local Assistance	12,207	15,403	15,420	150	150	150
State Operations	46,543	47,669	46,519	46,519	46,519	49,256
Personal Service	32,557	34,150	32,618	32,618	32,618	34,864
Non-Personal Service/Indirect Costs	13,986	13,519	13,901	13,901	13,901	14,392
General State Charges	14,040	19,497	20,487	20,487	20,487	20,487
National and Community Service	458	471	687	690	690	699
Local Assistance	142	267	350	350	350	350
State Operations	316	204	337	340	340	349
Personal Service	311	203	328	331	331	340
Non-Personal Service/Indirect Costs	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,360,670	1,357,751	1,441,700	1,471,311	1,488,442	1,495,735

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Welfare Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
Local Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	238,311	227,560	231,966	231,888	247,019	254,312
Local Assistance	91,162	89,812	106,474	106,396	115,196	117,996
State Operations	147,079	137,626	125,364	125,364	131,695	136,188
Personal Service	69,932	73,429	68,985	68,985	74,144	77,513
Non-Personal Service/Indirect Costs	77,147	64,197	56,379	56,379	57,551	58,675
General State Charges	70	122	128	128	128	128
Functional Total	3,516,935	3,478,111	3,503,265	3,639,262	3,889,429	4,041,231
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	419,184	420,226	443,911	463,810	488,592	505,680
OASAS	332,361	330,886	360,957	379,145	402,616	417,502
Local Assistance	285,592	282,770	312,641	329,898	352,210	365,440
State Operations	33,651	34,313	31,155	31,829	32,318	33,128
Personal Service	19,602	19,701	23,287	23,746	23,997	24,627
Non-Personal Service/Indirect Costs	14,049	14,612	7,868	8,083	8,321	8,501
General State Charges	13,118	13,803	17,161	17,418	18,088	18,934
OASAS - Other	86,823	89,340	82,954	84,665	85,976	88,178
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	46,573	48,092	42,499	42,974	43,576	44,727
Personal Service	34,515	34,856	31,621	31,776	32,090	32,961
Non-Personal Service/Indirect Costs	12,058	13,236	10,878	11,198	11,486	11,766
General State Charges	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	39,478	39,719	41,745	41,480	41,765	43,779
Local Assistance	544	679	649	649	649	649
State Operations	38,304	38,190	40,203	39,860	40,127	42,141
Personal Service	26,608	29,240	31,060	31,060	31,060	32,460
Non-Personal Service/Indirect Costs	11,696	8,950	9,143	8,800	9,067	9,681
General State Charges	630	850	893	971	989	989
Mental Health, Office of	3,165,602	3,152,278	3,152,562	3,357,262	3,483,949	3,557,963
OMH	1,366,837	1,457,079	1,460,365	1,633,412	1,717,236	1,757,614
Local Assistance	862,255	916,016	935,845	1,095,393	1,169,451	1,209,829
State Operations	354,871	348,833	348,185	352,542	356,947	356,947
Personal Service	289,883	288,507	299,096	302,088	305,124	305,124
Non-Personal Service/Indirect Costs	64,988	60,326	49,089	50,454	51,823	51,823
General State Charges	149,711	192,230	176,335	185,477	190,838	190,838
OMH - Other	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Local Assistance	280,236	270,500	268,824	299,814	311,017	322,500
State Operations	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Personal Service	833,717	784,057	754,672	735,243	746,094	762,281
Non-Personal Service/Indirect Costs	229,069	211,376	228,500	231,350	235,803	241,769
General State Charges	455,743	429,266	440,201	457,443	473,799	473,799
Mental Hygiene, Department of	219	227	0	0	0	0
State Operations	219	227	0	0	0	0
Non-Personal Service/Indirect Costs	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,183,985	2,903,369	2,921,879	3,179,786	3,389,349	3,582,679
OPWDD	331,163	384,881	366,665	405,212	459,808	497,074
Local Assistance	331,146	384,818	366,484	405,031	459,627	496,893
State Operations	17	63	181	181	181	181
Non-Personal Service/Indirect Costs	17	63	181	181	181	181
OPWDD - Other	2,852,822	2,518,488	2,555,214	2,774,574	2,929,541	3,085,605
Local Assistance	864,528	583,954	580,889	803,043	919,748	1,029,968
State Operations	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Personal Service	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Non-Personal Service/Indirect Costs	215,819	205,332	208,948	209,262	213,973	218,913
General State Charges	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	6,808,468	6,515,819	6,560,097	7,042,338	7,403,655	7,690,101
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
State Operations	2,297	2,431	2,651	2,651	2,651	2,773
Personal Service	2,042	2,234	2,330	2,414	2,414	2,531
Non-Personal Service/Indirect Costs	255	197	321	237	237	242
Correctional Services, Department of	2,696,856	2,645,220	2,622,876	2,625,632	2,632,787	2,638,417
Local Assistance	4,251	4,457	5,497	5,497	5,497	5,497
State Operations	2,692,513	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Personal Service	2,166,752	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Non-Personal Service/Indirect Costs	525,761	527,258	565,046	565,046	565,046	565,046
General State Charges	92	101	106	106	106	106
Criminal Justice Services, Division of	198,307	191,089	197,486	195,374	195,374	196,349
Local Assistance	162,227	155,863	158,658	156,546	156,546	156,546
State Operations	36,039	35,193	38,793	38,793	38,793	39,768

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Service	24,588	26,998	25,582	25,582	25,582	26,557
Non-Personal Service/Indirect Costs	11,451	8,195	13,211	13,211	13,211	13,211
General State Charges	41	33	35	35	35	35
Disaster Assistance	(51,789)	0	0	0	0	0
State Operations	(51,789)	0	0	0	0	0
Personal Service	(1,768)	0	0	0	0	0
Non-Personal Service/Indirect Costs	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	50,307	70,123	64,058	94,817	130,251	129,145
Local Assistance	18,169	43,427	32,733	59,039	93,773	91,542
State Operations	31,631	26,030	30,626	35,056	35,756	36,881
Personal Service	13,037	16,748	18,383	22,162	22,857	23,977
Non-Personal Service/Indirect Costs	18,594	9,282	12,243	12,894	12,899	12,904
General State Charges	507	666	699	722	722	722
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Local Assistance	58,068	60,503	95,465	160,672	184,765	208,859
State Operations	1,449	2,171	3,300	3,300	3,300	3,366
Personal Service	1,211	1,678	2,520	2,520	2,520	2,586
Non-Personal Service/Indirect Costs	238	493	780	780	780	780
General State Charges	599	967	1,016	1,556	1,556	1,556
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
State Operations	5,567	5,505	5,584	5,643	5,708	5,936
Personal Service	4,208	4,181	4,281	4,312	4,347	4,548
Non-Personal Service/Indirect Costs	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
State Operations	20	10	30	30	30	30
Non-Personal Service/Indirect Costs	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
State Operations	14	9	38	38	38	38
Non-Personal Service/Indirect Costs	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,849	27,594	22,505	22,505	22,505	22,806
Local Assistance	805	786	820	820	820	820
State Operations	26,051	26,799	21,676	21,676	21,676	21,977
Personal Service	17,129	17,164	14,295	14,295	14,295	14,596
Non-Personal Service/Indirect Costs	8,922	9,635	7,381	7,381	7,381	7,381
General State Charges	(7)	9	9	9	9	9
State Police, Division of	695,750	738,493	699,674	674,323	673,713	699,505
State Operations	693,311	720,382	696,853	671,423	670,703	696,152
Personal Service	618,908	649,718	637,489	612,039	611,289	636,679
Non-Personal Service/Indirect Costs	74,403	70,664	59,364	59,384	59,414	59,473
General State Charges	2,439	18,111	2,821	2,900	3,010	3,353
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
State Operations	30,070	30,309	30,137	30,137	30,137	30,580
Personal Service	10,234	11,045	11,513	11,513	11,513	11,956
Non-Personal Service/Indirect Costs	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	24,469	28,996	41,067	41,067	41,067	41,184
Local Assistance	19,401	23,748	35,466	35,466	35,466	35,466
State Operations	3,533	3,678	3,951	3,951	3,951	4,068
Personal Service	3,154	3,145	3,176	3,176	3,176	3,293
Non-Personal Service/Indirect Costs	379	533	775	775	775	775
General State Charges	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	3,738,833	3,803,420	3,785,887	3,857,745	3,923,882	3,980,544
HIGHER EDUCATION						
City University of New York	1,524,823	1,526,003	1,534,553	1,583,640	1,609,107	1,636,048
Local Assistance	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
State Operations	87,469	94,213	117,032	90,459	91,948	93,465
Personal Service	46,870	48,975	75,996	47,596	48,228	48,870
Non-Personal Service/Indirect Costs	40,599	45,238	41,036	42,863	43,720	44,595
General State Charges	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	259	529	441	441	441	441
State Operations	198	386	291	291	291	291
Personal Service	133	283	198	198	198	198
Non-Personal Service/Indirect Costs	65	103	93	93	93	93
General State Charges	61	143	150	150	150	150
Higher Education Services Corporation, New York State	1,077,526	1,014,320	955,538	1,207,216	1,246,090	1,271,241
Local Assistance	1,025,146	957,504	897,528	1,149,206	1,188,080	1,213,231
State Operations	43,763	48,292	49,052	49,052	49,052	49,052
Personal Service	15,253	14,475	13,314	13,314	13,314	13,314
Non-Personal Service/Indirect Costs	28,510	33,817	35,738	35,738	35,738	35,738
General State Charges	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	6,778,626	6,914,895	6,915,476	7,217,386	7,407,497	7,604,238
Local Assistance	500,675	491,891	492,021	500,063	499,730	499,730
State Operations	5,866,320	6,002,721	5,961,568	6,229,897	6,394,720	6,571,461
Personal Service	3,628,296	3,766,633	3,693,572	3,857,106	3,964,807	4,122,542

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service/Indirect Costs	2,238,024	2,236,088	2,267,996	2,372,791	2,429,913	2,448,919
General State Charges	411,631	420,283	461,887	487,426	513,047	533,047
Functional Total	9,381,234	9,455,747	9,406,008	10,008,683	10,263,135	10,511,968
EDUCATION						
Arts, Council on the	41,819	42,832	45,413	45,253	45,253	45,349
Local Assistance	38,332	38,907	41,093	40,933	40,933	40,933
State Operations	3,487	3,925	4,320	4,320	4,320	4,416
Personal Service	2,253	2,491	2,498	2,498	2,498	2,594
Non-Personal Service/Indirect Costs	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	28,884,200	29,854,304	30,843,696	31,887,969	33,030,501	34,431,801
School Aid	23,302,030	24,350,703	25,762,457	26,803,440	27,923,434	29,190,270
Local Assistance	23,302,030	24,350,703	25,762,457	26,803,440	27,923,434	29,190,270
STAR Property Tax Relief	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Local Assistance	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Special Education Categorical Programs	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
Local Assistance	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
All Other	930,889	1,047,191	1,113,052	1,112,290	1,084,001	1,113,918
Local Assistance	768,228	875,873	931,005	931,524	904,465	928,635
State Operations	130,640	139,176	146,888	144,861	142,699	145,966
Personal Service	85,429	86,602	88,089	88,090	87,484	90,693
Non-Personal Service/Indirect Costs	45,211	52,574	58,799	56,771	55,215	55,273
General State Charges	32,021	32,142	35,159	35,905	36,837	39,317
Functional Total	28,926,019	29,897,136	30,889,109	31,933,222	33,075,754	34,477,150
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
State Operations	23,396	24,559	29,466	28,939	28,939	29,886
Personal Service	20,436	20,619	24,567	24,567	24,567	25,511
Non-Personal Service/Indirect Costs	2,960	3,940	4,899	4,372	4,372	4,375
General State Charges	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	13,424	13,379	13,293	13,419	13,529	14,013
State Operations	13,277	13,295	13,205	13,331	13,441	13,925
Personal Service	11,662	12,212	12,384	12,497	12,591	13,075
Non-Personal Service/Indirect Costs	1,615	1,083	821	834	850	850
General State Charges	147	84	88	88	88	88
Deferred Compensation Board	524	613	846	846	853	854
State Operations	377	418	641	641	648	649
Personal Service	304	368	410	410	413	414
Non-Personal Service/Indirect Costs	73	50	231	231	235	235
General State Charges	147	195	205	205	205	205
Elections, State Board of	7,918	7,188	8,482	8,587	8,697	9,033
Local Assistance	93	93	0	0	0	0
State Operations	7,825	7,095	8,482	8,587	8,697	9,033
Personal Service	5,639	5,765	5,875	6,018	6,063	6,346
Non-Personal Service/Indirect Costs	2,186	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
State Operations	2,247	2,334	2,581	2,601	2,621	2,719
Personal Service	2,200	2,269	2,510	2,529	2,548	2,646
Non-Personal Service/Indirect Costs	47	65	71	72	73	73
Gaming Commission, New York State	251,588	253,064	226,973	245,033	244,803	247,220
Local Assistance	91,881	101,820	98,670	131,730	131,500	131,500
State Operations	146,812	138,467	114,876	99,876	99,876	101,403
Personal Service	31,549	32,822	38,757	38,757	38,757	40,248
Non-Personal Service/Indirect Costs	115,263	105,645	76,119	61,119	61,119	61,155
General State Charges	12,895	12,777	13,427	13,427	13,427	14,317
General Services, Office of	159,528	161,219	144,297	142,627	138,527	139,092
State Operations	156,939	160,812	143,870	142,175	138,075	138,640
Personal Service	71,454	77,580	56,951	56,951	56,951	60,376
Non-Personal Service/Indirect Costs	85,485	83,232	86,919	85,224	81,124	78,264
General State Charges	2,589	407	427	452	452	452
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
State Operations	7,061	7,179	7,367	7,427	7,487	7,826
Personal Service	6,230	5,833	6,552	6,600	6,648	6,970
Non-Personal Service/Indirect Costs	831	1,346	815	827	839	856
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
State Operations	24,882	28,818	25,300	25,300	25,306	25,517
Personal Service	6,619	7,871	5,446	5,446	5,487	5,698
Non-Personal Service/Indirect Costs	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	2,039	2,028	2,881	2,881	2,981	3,053
Local Assistance	575	528	1,285	1,285	1,385	1,385
State Operations	1,464	1,500	1,596	1,596	1,596	1,668
Personal Service	1,351	1,338	1,423	1,423	1,423	1,456

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service/Indirect Costs	113	162	173	173	173	212
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
State Operations	3,433	3,281	3,573	3,604	3,634	3,764
Personal Service	3,207	3,056	3,336	3,363	3,388	3,518
Non-Personal Service/Indirect Costs	226	225	237	241	246	246
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State Operations	4,332	4,876	5,531	5,576	5,630	5,835
Personal Service	3,480	3,808	4,620	4,646	4,681	4,867
Non-Personal Service/Indirect Costs	852	1,068	911	930	949	968
State, Department of	67,405	69,942	71,642	61,358	61,662	63,565
Local Assistance	15,701	12,643	17,884	7,379	7,379	7,379
State Operations	43,332	47,676	42,130	42,130	42,130	43,238
Personal Service	28,886	29,588	28,337	28,337	28,337	29,424
Non-Personal Service/Indirect Costs	14,446	18,088	13,793	13,793	13,793	13,814
General State Charges	8,372	9,623	11,628	11,849	12,153	12,948
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
State Operations	3,035	2,833	3,040	3,040	3,040	3,150
Personal Service	2,763	2,604	2,870	2,870	2,870	2,980
Non-Personal Service/Indirect Costs	272	229	170	170	170	170
Taxation and Finance, Department of	354,747	357,907	358,640	358,315	358,140	369,667
Local Assistance	914	1,080	2,726	2,726	2,726	2,726
State Operations	335,774	331,810	329,624	329,132	329,124	339,531
Personal Service	292,613	284,526	269,907	269,907	269,907	280,269
Non-Personal Service/Indirect Costs	43,161	47,284	59,717	59,225	59,217	59,262
General State Charges	18,059	25,017	26,290	26,457	26,290	27,410
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
State Operations	505,949	548,366	536,032	559,582	559,582	569,995
Personal Service	283,573	298,543	270,712	270,712	270,712	281,125
Non-Personal Service/Indirect Costs	222,376	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Local Assistance	7,855	8,340	9,389	7,637	7,637	7,637
State Operations	5,067	5,951	6,171	6,236	6,236	6,482
Personal Service	4,731	5,086	5,879	5,938	5,938	6,178
Non-Personal Service/Indirect Costs	336	865	292	298	298	304
Welfare Inspector General, Office of	569	592	672	686	701	731
State Operations	569	592	672	686	701	731
Personal Service	511	565	617	621	626	654
Non-Personal Service/Indirect Costs	58	27	55	65	75	77
Workers' Compensation Board	183,210	187,641	193,016	196,792	198,595	208,376
State Operations	139,016	138,722	141,607	143,390	145,193	150,513
Personal Service	78,723	82,632	80,878	81,493	82,104	86,209
Non-Personal Service/Indirect Costs	60,293	56,090	60,729	61,897	63,089	64,304
General State Charges	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	1,629,040	1,690,886	1,650,849	1,682,143	1,680,257	1,720,132
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	182,905	183,148	181,070	181,253	187,628
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,681	149,275	149,094	146,661	146,844	153,187
Personal Service	111,792	115,964	115,350	113,609	113,687	119,369
Non-Personal Service/Indirect Costs	28,889	33,311	33,744	33,052	33,157	33,818
General State Charges	1,494	1,605	2,030	2,385	2,385	2,417
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
State Operations	13,704	14,653	13,578	13,578	13,578	14,032
Personal Service	10,669	10,746	11,113	11,113	11,113	11,567
Non-Personal Service/Indirect Costs	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	2,758,587	2,822,160	2,938,497	2,996,306	2,996,305	3,053,306
Local Assistance	114,655	101,099	108,497	123,503	123,502	123,503
State Operations	1,958,631	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Personal Service	1,509,384	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Non-Personal Service/Indirect Costs	449,247	450,799	470,500	470,500	470,500	470,500
General State Charges	685,301	702,162	764,300	781,703	779,203	779,203
Law, Department of	185,419	184,901	193,308	194,641	197,364	205,560
State Operations	168,775	170,170	175,579	174,387	176,833	183,996
Personal Service	119,099	120,219	121,274	119,097	120,450	126,506
Non-Personal Service/Indirect Costs	49,676	49,951	54,305	55,290	56,383	57,490
General State Charges	16,644	14,731	17,729	20,254	20,531	21,564
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
State Operations	215,580	225,893	225,980	218,795	218,795	219,111
Personal Service	167,444	170,623	171,104	166,331	166,331	166,647
Non-Personal Service/Indirect Costs	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	499	510	614	614	614	634
State Operations	499	510	614	614	614	634
Personal Service	336	364	523	523	523	543

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service/Indirect Costs	163	146	91	91	91	91
Functional Total	<u>3,347,989</u>	<u>3,431,022</u>	<u>3,555,125</u>	<u>3,605,004</u>	<u>3,607,909</u>	<u>3,680,271</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	763,347	763,347	763,347
Local Assistance	728,288	714,732	722,974	763,347	763,347	763,347
State Operations	0	107	0	0	0	0
Non-Personal Service/Indirect Costs	0	107	0	0	0	0
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Local Assistance	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Local Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Local Assistance	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	<u>770,971</u>	<u>760,433</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,944	5,044,559	5,563,307	6,029,668	6,589,217
General State Charges	4,681,599	4,782,944	5,044,559	5,563,307	6,029,668	6,589,217
Long-Term Debt Service	5,635,102	5,552,429	5,328,436	6,505,658	7,170,063	7,435,202
State Operations	36,616	38,647	36,852	50,389	50,389	50,389
Non-Personal Service/Indirect Costs	36,616	38,647	36,852	50,389	50,389	50,389
Debt Service	5,598,486	5,513,782	5,291,584	6,455,269	7,119,674	7,384,813
Miscellaneous	(152,479)	(64,162)	(111,834)	(42,471)	212,378	344,146
Local Assistance	(189,144)	(94,357)	(219,243)	(244,943)	(105,110)	(88,443)
State Operations	24,626	24,783	101,825	196,841	311,857	426,940
Personal Service	1,982	1,939	57,053	152,058	267,063	382,144
Non-Personal Service/Indirect Costs	22,644	22,844	44,772	44,783	44,794	44,796
General State Charges	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>10,164,222</u>	<u>10,271,211</u>	<u>10,261,161</u>	<u>12,026,494</u>	<u>13,412,109</u>	<u>14,368,565</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>94,288,493</u>	<u>96,199,099</u>	<u>98,167,568</u>	<u>103,373,413</u>	<u>108,101,544</u>	<u>112,332,593</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	83,541	78,233	69,997	83,005	83,064	80,302
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
Economic Development, Department of	82,617	75,181	77,899	78,558	78,558	78,558
Empire State Development Corporation	58,756	122,298	133,981	132,246	132,246	132,246
Energy Research and Development Authority	3,808	0	0	0	0	0
Financial Services, Department of	360,052	362,563	359,644	361,581	368,179	381,291
Olympic Regional Development Authority	3,111	2,736	2,686	5,886	5,886	5,886
Public Service Department	68,475	75,529	76,535	77,070	77,800	80,131
Functional Total	677,637	727,788	733,425	751,029	758,477	771,474
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	287,360	274,904	268,709	267,747	265,331	271,148
Parks, Recreation and Historic Preservation, Office of	195,155	191,925	181,412	177,887	179,206	185,677
Functional Total	486,812	471,364	454,534	450,047	448,950	461,396
TRANSPORTATION						
Motor Vehicles, Department of	83,762	70,033	74,387	72,865	72,865	74,128
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	4,767,454	5,002,277	5,039,399	5,091,603	5,187,750	5,252,500
Functional Total	4,867,785	5,072,310	5,113,786	5,164,468	5,260,615	5,326,628
HEALTH						
Aging, Office for the	128,410	126,347	124,580	125,883	131,024	136,378
Health, Department of	19,823,519	20,477,016	21,349,861	22,274,284	23,433,537	24,355,587
<i>Medical Assistance</i>	16,868,571	17,505,933	18,466,071	19,017,694	20,029,612	20,788,581
<i>Essential Plan</i>	32,575	312,837	178,318	457,734	472,348	482,340
<i>Medicaid Administration</i>	836,542	728,644	780,301	821,701	822,140	838,053
<i>Public Health</i>	2,085,831	1,929,602	1,925,171	1,977,155	2,109,437	2,246,613
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	19,972,548	20,623,852	21,492,974	22,418,278	23,582,672	24,510,683
SOCIAL WELFARE						
Children and Family Services, Office of	2,001,389	1,966,820	1,899,326	2,000,876	2,223,281	2,360,470
<i>OCFS</i>	1,912,467	1,884,573	1,812,954	1,900,320	2,118,482	2,246,596
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	71,365	60,118	69,205	89,308	99,939	103,844
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	72,790	82,569	82,426	67,156	67,156	69,893
National and Community Service	458	471	687	690	690	699
Temporary and Disability Assistance, Office of	1,360,670	1,357,751	1,441,700	1,471,311	1,488,442	1,495,735
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	238,311	227,560	231,966	231,888	247,019	254,312
Functional Total	3,516,935	3,478,111	3,503,265	3,639,262	3,889,429	4,041,231
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	419,184	420,226	443,911	463,810	488,592	505,680
<i>OASAS</i>	332,361	330,886	360,957	379,145	402,616	417,502
<i>OASAS - Other</i>	86,823	89,340	82,954	84,665	85,976	88,178
Justice Center	39,478	39,719	41,745	41,480	41,765	43,779
Mental Health, Office of	3,165,602	3,152,278	3,152,562	3,357,262	3,483,949	3,557,963
<i>OMH</i>	1,366,837	1,457,079	1,460,365	1,633,412	1,717,236	1,757,614
<i>OMH - Other</i>	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,183,985	2,903,369	2,921,879	3,179,786	3,389,349	3,582,679
<i>OPWDD</i>	331,163	384,881	366,665	405,212	459,808	497,074
<i>OPWDD - Other</i>	2,852,822	2,518,488	2,555,214	2,774,574	2,929,541	3,085,605
Functional Total	6,808,468	6,515,819	6,560,097	7,042,338	7,403,655	7,690,101
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,696,856	2,645,220	2,622,876	2,625,632	2,632,787	2,638,417
Criminal Justice Services, Division of	198,307	191,089	197,486	195,374	195,374	196,349
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	50,307	70,123	64,058	94,817	130,251	129,145
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,849	27,594	22,505	22,505	22,505	22,806
State Police, Division of	695,750	738,493	699,674	674,323	673,713	699,505
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	24,469	28,996	41,067	41,067	41,067	41,184
Functional Total	3,738,833	3,803,420	3,785,887	3,857,745	3,923,882	3,980,544
HIGHER EDUCATION						
City University of New York	1,524,823	1,526,003	1,534,553	1,583,640	1,609,107	1,636,048
Higher Education - Miscellaneous	259	529	441	441	441	441
Higher Education Services Corporation, New York State	1,077,526	1,014,320	955,538	1,207,216	1,246,090	1,271,241
State University of New York	6,778,626	6,914,894	6,915,476	7,217,386	7,407,497	7,604,238
Functional Total	9,381,234	9,455,746	9,406,008	10,008,683	10,263,135	10,511,968

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Arts, Council on the	41,819	42,832	45,413	45,253	45,253	45,349
Education, Department of	<u>28,884,200</u>	<u>29,854,304</u>	<u>30,843,696</u>	<u>31,887,969</u>	<u>33,030,501</u>	<u>34,431,801</u>
<i>School Aid</i>	23,302,030	24,350,703	25,762,457	26,803,440	27,923,434	29,190,270
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	930,889	1,047,191	1,113,052	1,112,290	1,084,001	1,113,918
Functional Total	<u>28,926,019</u>	<u>29,897,136</u>	<u>30,889,109</u>	<u>31,933,222</u>	<u>33,075,754</u>	<u>34,477,150</u>
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,424	13,379	13,293	13,419	13,529	14,013
Deferred Compensation Board	524	613	846	846	853	854
Elections, State Board of	7,918	7,188	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	251,588	253,064	226,973	245,033	244,803	247,220
General Services, Office of	159,528	161,219	144,297	142,627	138,527	139,092
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,039	2,028	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	67,405	69,942	71,642	61,358	61,662	63,565
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	354,747	357,907	358,640	358,315	358,140	369,667
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	183,210	187,641	193,016	196,792	198,595	208,376
Functional Total	<u>1,629,040</u>	<u>1,690,886</u>	<u>1,650,849</u>	<u>1,682,143</u>	<u>1,680,257</u>	<u>1,720,132</u>
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	182,905	183,148	181,070	181,253	187,628
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,758,586	2,822,161	2,938,497	2,996,306	2,996,305	3,053,306
Law, Department of	185,419	184,901	193,308	194,641	197,364	205,560
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	<u>3,347,988</u>	<u>3,431,023</u>	<u>3,555,125</u>	<u>3,605,004</u>	<u>3,607,909</u>	<u>3,680,271</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>770,971</u>	<u>760,433</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Long-Term Debt Service	5,635,102	5,552,429	5,328,436	6,505,658	7,170,063	7,435,202
Miscellaneous	(152,479)	(64,162)	(111,834)	(42,471)	212,378	344,146
Functional Total	<u>10,164,222</u>	<u>10,271,210</u>	<u>10,261,161</u>	<u>12,026,494</u>	<u>13,412,109</u>	<u>14,368,565</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>94,288,492</u>	<u>96,199,098</u>	<u>98,167,568</u>	<u>103,373,413</u>	<u>108,101,544</u>	<u>112,332,593</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,761	28,907	28,907	24,907
Economic Development, Department of	62,153	53,998	58,320	58,979	58,979	58,979
Empire State Development Corporation	58,756	122,298	133,981	132,246	132,246	132,246
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	59,488	59,430	59,412	59,406
Public Service Department	0	0	155	155	155	155
Functional Total	230,716	274,979	283,705	279,717	279,699	275,693
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	4,262	2,105	6,911	4,618	4,618	4,618
Parks, Recreation and Historic Preservation, Office of	9,645	7,963	7,240	6,200	6,200	6,200
Functional Total	13,907	10,068	14,151	10,818	10,818	10,818
TRANSPORTATION						
Motor Vehicles, Department of	0	0	1,600	0	0	0
Transportation, Department of	4,745,228	4,977,299	5,025,262	5,078,348	5,174,495	5,239,039
Functional Total	4,745,228	4,977,299	5,026,862	5,078,348	5,174,495	5,239,039
HEALTH						
Aging, Office for the	127,134	125,116	123,348	124,651	129,792	135,103
Health, Department of	19,100,307	19,757,959	20,506,503	21,369,664	22,490,846	23,390,428
<i>Medical Assistance</i>	16,868,571	17,505,933	18,466,071	19,017,694	20,029,612	20,788,581
<i>Essential Plan</i>	19,215	269,000	82,000	354,940	364,878	374,730
<i>Medicaid Administration</i>	565,205	467,643	434,306	417,908	386,511	386,511
<i>Public Health</i>	1,647,316	1,515,383	1,524,126	1,579,122	1,709,845	1,840,606
Functional Total	19,227,441	19,883,075	20,629,851	21,494,315	22,620,638	23,525,531
SOCIAL WELFARE						
Children and Family Services, Office of	1,735,809	1,715,339	1,653,251	1,710,601	1,846,470	1,916,494
<i>OCFS</i>	1,646,887	1,633,092	1,566,879	1,610,045	1,741,671	1,802,620
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	5,059	4,370	5,482	29,658	40,289	40,942
Labor, Department of	12,207	15,403	15,420	150	150	150
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,213,521	1,220,003	1,316,208	1,345,819	1,356,619	1,359,419
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	91,162	89,812	106,474	106,396	115,196	117,996
Functional Total	2,966,738	2,955,382	2,990,711	3,086,578	3,243,878	3,317,355
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	306,917	304,095	333,966	351,223	373,535	386,765
<i>OASAS</i>	285,592	282,770	312,641	329,898	352,210	365,440
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	544	679	649	649	649	649
Mental Health, Office of	1,142,491	1,186,516	1,204,669	1,395,207	1,480,468	1,532,329
<i>OMH</i>	862,255	916,016	935,845	1,095,393	1,169,451	1,209,829
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	1,195,674	968,772	947,373	1,208,074	1,379,375	1,526,861
<i>OPWDD</i>	331,146	384,818	366,484	405,031	459,627	496,893
<i>OPWDD - Other</i>	864,528	583,954	580,889	803,043	919,748	1,029,968
Functional Total	2,645,626	2,460,062	2,486,657	2,955,153	3,234,027	3,446,604
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	162,227	155,863	158,658	156,546	156,546	156,546
Homeland Security and Emergency Services, Division of	18,169	43,427	32,733	59,039	93,773	91,542
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Military and Naval Affairs, Division of	805	786	820	820	820	820
Victim Services, Office of	19,401	23,748	35,466	35,466	35,466	35,466
Functional Total	262,921	288,784	328,639	418,040	476,867	498,730
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	1,025,146	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	500,675	491,891	492,021	500,063	499,730	499,730
Functional Total	2,955,283	2,873,524	2,799,178	3,134,558	3,197,077	3,247,652
EDUCATION						
Arts, Council on the	38,332	38,907	41,093	40,933	40,933	40,933
Education, Department of	28,721,539	29,682,986	30,661,649	31,707,203	32,850,965	34,246,518
<i>School Aid</i>	23,302,030	24,350,703	25,762,457	26,803,440	27,923,434	29,190,270
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	768,228	875,873	931,005	931,524	904,465	928,635
Functional Total	28,759,871	29,721,893	30,702,742	31,748,136	32,891,898	34,287,451
GENERAL GOVERNMENT						
Elections, State Board of	93	93	0	0	0	0
Gaming Commission, New York State	91,881	101,820	98,670	131,730	131,500	131,500
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	15,701	12,643	17,884	7,379	7,379	7,379

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Taxation and Finance, Department of	914	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	117,019	124,504	129,954	150,757	150,627	150,627
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,655	101,099	108,497	123,503	123,502	123,503
Functional Total	146,680	133,124	140,521	155,527	155,526	155,527
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,326	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES						
Miscellaneous	(189,144)	(94,357)	(219,243)	(244,943)	(105,110)	(88,443)
Functional Total	(189,144)	(94,357)	(219,243)	(244,943)	(105,110)	(88,443)
TOTAL LOCAL ASSISTANCE SPENDING	62,653,257	64,368,663	66,075,076	69,061,704	72,125,140	74,859,034

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	54,745	44,534	36,448	52,239	52,244	53,363
Alcoholic Beverage Control, Division of	12,501	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	20,464	21,183	19,551	19,551	19,551	19,551
Energy Research and Development Authority	1,431	0	0	0	0	0
Financial Services, Department of	201,930	209,208	206,743	206,790	210,728	216,873
Olympic Regional Development Authority	3,091	2,736	2,686	5,886	5,886	5,886
Public Service Department	49,483	50,006	49,558	49,558	49,558	51,169
Functional Total	343,645	338,794	327,669	346,707	350,711	359,902
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	237,912	225,085	211,135	211,234	211,937	218,437
Parks, Recreation and Historic Preservation, Office of	180,898	178,446	168,553	168,530	169,841	176,164
Functional Total	423,107	408,066	384,101	384,177	386,191	399,172
TRANSPORTATION						
Motor Vehicles, Department of	59,397	49,560	50,565	50,565	50,565	51,357
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	18,588	21,228	11,442	11,253	11,253	11,382
Functional Total	94,554	70,788	62,007	61,818	61,818	62,739
HEALTH						
Aging, Office for the	1,276	1,231	1,232	1,232	1,232	1,275
Health, Department of	691,640	688,514	810,541	872,351	909,521	930,059
<i>Essential Plan</i>	13,360	43,837	96,318	102,794	107,470	107,610
<i>Medicaid Administration</i>	271,337	261,001	345,995	403,793	435,629	451,542
<i>Public Health</i>	406,943	383,676	368,228	365,764	366,422	370,907
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	713,535	710,234	830,306	891,694	928,864	950,052
SOCIAL WELFARE						
Children and Family Services, Office of	262,651	249,825	244,352	288,511	375,017	442,152
<i>OCFS</i>	262,651	249,825	244,352	288,511	375,017	442,152
Housing and Community Renewal, Division of	50,330	50,299	49,246	49,246	49,246	52,498
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	46,543	47,669	46,519	46,519	46,519	49,256
National and Community Service	316	204	337	340	340	349
Temporary and Disability Assistance, Office of	147,079	137,626	125,364	125,364	131,695	136,188
<i>All Other</i>	147,079	137,626	125,364	125,364	131,695	136,188
Functional Total	517,182	496,005	475,739	519,901	612,738	691,033
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,224	82,405	73,654	74,803	75,894	77,855
<i>OASAS</i>	33,651	34,313	31,155	31,829	32,318	33,128
<i>OASAS - Other</i>	46,573	48,092	42,499	42,974	43,576	44,727
Justice Center	38,304	38,190	40,203	39,860	40,127	42,141
Mental Health, Office of	1,417,657	1,344,266	1,331,357	1,319,135	1,338,844	1,360,997
<i>OMH</i>	354,871	348,833	348,185	352,542	356,947	356,947
<i>OMH - Other</i>	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	1,367,626	1,297,397	1,299,733	1,294,225	1,308,828	1,327,285
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,904,030	2,762,485	2,744,947	2,728,023	2,763,693	2,808,278
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,692,513	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Criminal Justice Services, Division of	36,039	35,193	38,793	38,793	38,793	39,768
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	31,631	26,030	30,626	35,056	35,756	36,881
Indigent Legal Services, Office of	1,449	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,051	26,799	21,676	21,676	21,676	21,977
State Police, Division of	693,311	720,382	696,853	671,423	670,703	696,152
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	3,533	3,678	3,951	3,951	3,951	4,068
Functional Total	3,470,706	3,493,179	3,450,912	3,432,727	3,439,927	3,474,383
HIGHER EDUCATION						
City University of New York	87,469	94,213	117,032	90,459	91,948	93,465
Higher Education - Miscellaneous	198	386	291	291	291	291
Higher Education Services Corporation, New York State	43,763	48,292	49,052	49,052	49,052	49,052
State University of New York	5,866,320	6,002,720	5,961,568	6,229,897	6,394,720	6,571,461
Functional Total	5,997,750	6,145,611	6,127,943	6,369,699	6,536,011	6,714,269
EDUCATION						
Arts, Council on the	3,487	3,925	4,320	4,320	4,320	4,416
Education, Department of	130,640	139,176	146,888	144,861	142,699	145,966

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
<i>All Other</i>	130,640	139,176	146,888	144,861	142,699	145,966
Functional Total	<u>134,127</u>	<u>143,101</u>	<u>151,208</u>	<u>149,181</u>	<u>147,019</u>	<u>150,382</u>
GENERAL GOVERNMENT						
Budget, Division of the	23,396	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,277	13,295	13,205	13,331	13,441	13,925
Deferred Compensation Board	377	418	641	641	648	649
Elections, State Board of	7,825	7,095	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	146,812	138,467	114,876	99,876	99,876	101,403
General Services, Office of	156,939	160,812	143,870	142,175	138,075	138,640
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,464	1,500	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	43,332	47,676	42,130	42,130	42,130	43,238
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	335,774	331,810	329,624	329,132	329,124	339,531
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,067	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	139,016	138,722	141,607	143,390	145,193	150,513
Functional Total	<u>1,424,787</u>	<u>1,468,584</u>	<u>1,415,764</u>	<u>1,423,849</u>	<u>1,421,956</u>	<u>1,454,505</u>
ELECTED OFFICIALS						
Audit and Control, Department of	140,681	149,275	149,094	146,661	146,844	153,187
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,958,631	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Law, Department of	168,775	170,170	175,579	174,387	176,833	183,996
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	<u>2,497,870</u>	<u>2,579,400</u>	<u>2,630,545</u>	<u>2,645,135</u>	<u>2,650,264</u>	<u>2,721,560</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	<u>0</u>	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,626	24,783	101,825	196,841	311,857	426,940
Functional Total	<u>61,242</u>	<u>63,430</u>	<u>138,677</u>	<u>247,230</u>	<u>362,246</u>	<u>477,329</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,582,535</u>	<u>18,679,784</u>	<u>18,739,818</u>	<u>19,200,141</u>	<u>19,661,438</u>	<u>20,263,604</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,923	30,523	29,183	29,238	29,243	30,358
Alcoholic Beverage Control, Division of	7,622	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,929	12,929	12,929	12,929
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548	2,548
Public Service Department	40,858	41,485	41,886	41,886	41,886	43,497
Functional Total	240,994	246,972	246,576	246,676	247,477	256,468
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	181,598	176,058	170,209	170,560	170,677	177,242
Parks, Recreation and Historic Preservation, Office of	135,656	135,346	123,847	124,824	126,135	132,456
Functional Total	321,290	315,516	298,164	299,492	300,920	313,964
TRANSPORTATION						
Motor Vehicles, Department of	43,684	35,655	36,479	36,479	36,479	37,249
Transportation, Department of	6,407	6,497	3,413	3,256	3,256	3,381
Functional Total	50,091	42,152	39,892	39,735	39,735	40,630
HEALTH						
Aging, Office for the	1,110	1,125	1,125	1,125	1,125	1,168
Health, Department of	261,851	261,956	252,669	257,266	260,891	269,119
<i>Essential Plan</i>	391	1,367	3,668	3,501	3,606	3,927
<i>Medicaid Administration</i>	31,809	36,908	40,260	46,341	49,866	53,212
<i>Public Health</i>	229,651	223,681	208,741	207,424	207,419	211,980
Medicaid Inspector General, Office of the	16,621	16,415	15,358	14,936	14,936	15,543
Functional Total	279,582	279,496	269,152	273,327	276,952	285,830
SOCIAL WELFARE						
Children and Family Services, Office of	167,328	169,024	159,708	191,418	260,623	314,379
<i>OCFS</i>	167,328	169,024	159,708	191,418	260,623	314,379
Housing and Community Renewal, Division of	38,788	42,730	40,403	40,403	40,403	43,225
Human Rights, Division of	9,398	9,533	9,461	9,461	9,461	10,121
Labor, Department of	32,557	34,150	32,618	32,618	32,618	34,864
National and Community Service	311	203	328	331	331	340
Temporary and Disability Assistance, Office of	69,932	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	69,932	73,429	68,985	68,985	74,144	77,513
Functional Total	318,314	329,069	311,503	343,216	417,580	480,442
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	54,117	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,602	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Justice Center	26,608	29,240	31,060	31,060	31,060	32,460
Mental Health, Office of	1,123,600	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	289,883	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,356,115	2,248,363	2,230,340	2,208,695	2,233,039	2,265,644
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,166,752	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Criminal Justice Services, Division of	24,588	26,998	25,582	25,582	25,582	26,557
Disaster Assistance	(1,768)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	13,037	16,748	18,383	22,162	22,857	23,977
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	17,129	17,164	14,295	14,295	14,295	14,596
State Police, Division of	618,908	649,718	637,489	612,039	611,289	636,679
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Victim Services, Office of	3,154	3,145	3,176	3,176	3,176	3,293
Functional Total	2,859,495	2,846,315	2,771,796	2,752,996	2,760,131	2,794,491
HIGHER EDUCATION						
City University of New York	46,870	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,253	14,475	13,314	13,314	13,314	13,314
State University of New York	3,628,295	3,766,631	3,693,572	3,857,106	3,964,807	4,122,542
Functional Total	3,690,551	3,830,364	3,783,080	3,918,214	4,026,547	4,184,924
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	85,429	86,602	88,089	88,090	87,484	90,693
<i>All Other</i>	85,429	86,602	88,089	88,090	87,484	90,693
Functional Total	87,682	89,093	90,587	90,588	89,982	93,287
GENERAL GOVERNMENT						
Budget, Division of the	20,436	20,619	24,567	24,567	24,567	25,511

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Civil Service, Department of	11,662	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	304	368	410	410	413	414
Elections, State Board of	5,639	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	31,549	32,822	38,757	38,757	38,757	40,248
General Services, Office of	71,454	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,351	1,338	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	28,886	29,588	28,337	28,337	28,337	29,424
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	292,613	284,526	269,907	269,907	269,907	280,269
Technology, Office for	283,573	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	4,731	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	511	565	617	621	626	654
Workers' Compensation Board	78,723	82,632	80,878	81,493	82,104	86,209
Functional Total	855,931	877,085	822,031	823,085	824,011	857,964
ELECTED OFFICIALS						
Audit and Control, Department of	111,792	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,509,383	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Law, Department of	119,099	120,219	121,274	119,097	120,450	126,506
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,918,723	1,986,016	2,014,564	2,031,273	2,035,204	2,104,732
ALL OTHER CATEGORIES						
Miscellaneous	1,982	1,939	57,053	152,058	267,063	382,144
Functional Total	1,982	1,939	57,053	152,058	267,063	382,144
TOTAL PERSONAL SERVICE SPENDING	12,980,750	13,092,380	12,934,738	13,179,355	13,518,641	14,060,520

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,822	14,011	7,265	23,001	23,001	23,005
Alcoholic Beverage Control, Division of	4,879	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,490	8,031	6,622	6,622	6,622	6,622
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	52,930	57,686	54,860	54,862	58,065	58,261
Olympic Regional Development Authority	498	188	138	3,338	3,338	3,338
Public Service Department	8,625	8,521	7,672	7,672	7,672	7,672
Functional Total	102,651	91,822	81,093	100,031	103,234	103,434
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	261	423	305	305	305	305
Environmental Conservation, Department of	56,314	49,027	40,926	40,674	41,260	41,195
Parks, Recreation and Historic Preservation, Office of	45,242	43,100	44,706	43,706	43,706	43,708
Functional Total	101,817	92,550	85,937	84,685	85,271	85,208
TRANSPORTATION						
Motor Vehicles, Department of	15,713	13,905	14,086	14,086	14,086	14,108
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	12,181	14,731	8,029	7,997	7,997	8,001
Functional Total	44,463	28,636	22,115	22,083	22,083	22,109
HEALTH						
Aging, Office for the	166	106	107	107	107	107
Health, Department of	429,789	426,558	557,872	615,085	648,630	660,940
<i>Essential Plan</i>	12,969	42,470	92,650	99,293	103,864	103,683
<i>Medicaid Administration</i>	239,528	224,093	305,735	357,452	385,763	398,330
<i>Public Health</i>	177,292	159,995	159,487	158,340	159,003	158,927
Medicaid Inspector General, Office of the	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	433,953	430,738	561,154	618,367	651,912	664,222
SOCIAL WELFARE						
Children and Family Services, Office of	95,323	80,801	84,644	97,093	114,394	127,773
<i>OCFS</i>	95,323	80,801	84,644	97,093	114,394	127,773
Housing and Community Renewal, Division of	11,542	7,569	8,843	8,843	8,843	9,273
Human Rights, Division of	865	849	460	460	460	469
Labor, Department of	13,986	13,519	13,901	13,901	13,901	14,392
National and Community Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	77,147	64,197	56,379	56,379	57,551	58,675
<i>All Other</i>	77,147	64,197	56,379	56,379	57,551	58,675
Functional Total	198,868	166,936	164,236	176,685	195,158	210,591
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,107	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,049	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Justice Center	11,696	8,950	9,143	8,800	9,067	9,681
Mental Health, Office of	294,057	271,702	277,589	281,804	287,626	293,592
<i>OMH</i>	64,988	60,326	49,089	50,454	51,823	51,823
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	215,836	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	547,915	514,122	514,607	519,328	530,654	542,634
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242
Correctional Services, Department of	525,761	527,258	565,046	565,046	565,046	565,046
Criminal Justice Services, Division of	11,451	8,195	13,211	13,211	13,211	13,211
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	18,594	9,282	12,243	12,894	12,899	12,904
Indigent Legal Services, Office of	238	493	780	780	780	780
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	8,922	9,635	7,381	7,381	7,381	7,381
State Police, Division of	74,403	70,664	59,364	59,384	59,414	59,473
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	379	533	775	775	775	775
Functional Total	611,211	646,864	679,116	679,731	679,796	679,892
HIGHER EDUCATION						
City University of New York	40,599	45,238	41,036	42,863	43,720	44,595
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	28,510	33,817	35,738	35,738	35,738	35,738
State University of New York	2,238,025	2,236,089	2,267,996	2,372,791	2,429,913	2,448,919
Functional Total	2,307,199	2,315,247	2,344,863	2,451,485	2,509,464	2,529,345
EDUCATION						
Arts, Council on the	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	45,211	52,574	58,799	56,771	55,215	55,273

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>All Other</i>	45,211	52,574	58,799	56,771	55,215	55,273
Functional Total	46,445	54,008	60,621	58,593	57,037	57,095
GENERAL GOVERNMENT						
Budget, Division of the	2,960	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,615	1,083	821	834	850	850
Deferred Compensation Board	73	50	231	231	235	235
Elections, State Board of	2,186	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	115,263	105,645	76,119	61,119	61,119	61,155
General Services, Office of	85,485	83,232	86,919	85,224	81,124	78,264
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	113	162	173	173	173	212
Public Employment Relations Board	226	225	237	241	246	246
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	14,446	18,088	13,793	13,793	13,793	13,814
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	43,161	47,284	59,717	59,225	59,217	59,262
Technology, Office for	222,376	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	336	865	292	298	298	304
Welfare Inspector General, Office of	58	27	55	65	75	77
Workers' Compensation Board	60,293	56,090	60,729	61,897	63,089	64,304
Functional Total	568,856	591,499	593,733	600,764	597,945	596,541
ELECTED OFFICIALS						
Audit and Control, Department of	28,889	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	449,248	450,799	470,500	470,500	470,500	470,500
Law, Department of	49,676	49,951	54,305	55,290	56,383	57,490
Legislature	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	579,147	593,384	615,981	613,862	615,060	616,828
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,644	22,844	44,772	44,783	44,794	44,796
Functional Total	59,260	61,491	81,624	95,172	95,183	95,185
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,601,785	5,587,404	5,805,080	6,020,786	6,142,797	6,203,084

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,517	1,719	1,788	1,859	1,913	2,032
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,436	86,652	93,413	95,361	98,039	105,012
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	18,992	25,523	26,822	27,357	28,087	28,807
Functional Total	103,276	114,015	122,051	124,605	128,067	135,879
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,186	47,714	50,663	51,895	48,776	48,093
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,313
Functional Total	48,049	50,686	53,807	55,052	51,941	51,406
TRANSPORTATION						
Motor Vehicles, Department of	24,365	20,473	22,222	22,300	22,300	22,771
Transportation, Department of	3,638	3,750	2,695	2,002	2,002	2,079
Functional Total	28,003	24,223	24,917	24,302	24,302	24,850
HEALTH						
Health, Department of	31,572	30,543	32,817	32,269	33,170	35,100
<i>Public Health</i>	31,572	30,543	32,817	32,269	33,170	35,100
Functional Total	31,572	30,543	32,817	32,269	33,170	35,100
SOCIAL WELFARE						
Children and Family Services, Office of	2,929	1,656	1,723	1,764	1,794	1,824
<i>OCFS</i>	2,929	1,656	1,723	1,764	1,794	1,824
Housing and Community Renewal, Division of	15,976	5,449	14,477	10,404	10,404	10,404
Labor, Department of	14,040	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	70	122	128	128	128	128
<i>All Other</i>	70	122	128	128	128	128
Functional Total	33,015	26,724	36,815	32,783	32,813	32,843
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	630	850	893	971	989	989
Mental Health, Office of	605,454	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	149,711	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,258,812	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	92	101	106	106	106	106
Criminal Justice Services, Division of	41	33	35	35	35	35
Homeland Security and Emergency Services, Division of	507	666	699	722	722	722
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	(7)	9	9	9	9	9
State Police, Division of	2,439	18,111	2,821	2,900	3,010	3,353
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	5,206	21,457	6,336	6,978	7,088	7,431
HIGHER EDUCATION						
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	411,631	420,283	461,887	487,426	513,047	533,047
Functional Total	428,201	436,611	478,887	504,426	530,047	550,047
EDUCATION						
Education, Department of	32,021	32,142	35,159	35,905	36,837	39,317
<i>All Other</i>	32,021	32,142	35,159	35,905	36,837	39,317
Functional Total	32,021	32,142	35,159	35,905	36,837	39,317
GENERAL GOVERNMENT						
Budget, Division of	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	88	88	88	88
Deferred Compensation Board	147	195	205	205	205	205
Gaming Commission, New York State	12,895	12,777	13,427	13,427	13,427	14,317
General Services, Office of	2,589	407	427	452	452	452
State, Department of	8,372	9,623	11,628	11,849	12,153	12,948
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	87,234	97,798	105,131	107,537	107,674	115,000
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	685,300	702,163	764,300	781,703	779,203	779,203

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Law, Department of	16,644	14,731	17,729	20,254	20,531	21,564
Functional Total	<u>703,438</u>	<u>718,499</u>	<u>784,059</u>	<u>804,342</u>	<u>802,119</u>	<u>803,184</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Miscellaneous	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>4,693,638</u>	<u>4,788,355</u>	<u>5,050,143</u>	<u>5,568,938</u>	<u>6,035,299</u>	<u>6,594,866</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,452,465</u></u>	<u><u>7,634,325</u></u>	<u><u>8,058,615</u></u>	<u><u>8,656,299</u></u>	<u><u>9,195,292</u></u>	<u><u>9,825,142</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	1,749	2,544	2,475	0	0	0
Functional Total	<u>1,749</u>	<u>2,544</u>	<u>2,475</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>1,749</u>	<u>2,544</u>	<u>2,475</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,776	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	1,569	4,617	8,274	10,442	0	5,525
Empire State Development Corporation	680,080	985,203	1,550,593	1,802,800	1,584,461	1,642,415
Energy Research and Development Authority	11,383	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	7,500	24,000	13,300	0	0
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Regional Economic Development Program	2,787	4,156	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Functional Total	716,136	1,024,801	1,701,471	1,935,476	1,679,615	1,701,120
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	536,048	692,871	873,250	944,680	923,434	914,741
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	141,328	151,320	148,242	153,757	155,477	140,430
Functional Total	680,828	844,191	1,021,492	1,098,437	1,078,911	1,055,171
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	189,879	217,104	223,036	232,491	234,316	240,184
Thruway Authority, New York State	1,379	0	0	0	0	0
Transportation, Department of	4,327,332	4,887,049	5,007,615	5,298,978	5,017,354	4,887,594
Functional Total	4,518,590	5,354,153	6,201,507	5,781,469	5,601,670	5,127,778
HEALTH						
Health, Department of	107,158	105,396	419,739	1,017,542	830,071	681,001
Public Health	107,158	105,396	419,739	1,017,542	830,071	681,001
Functional Total	107,158	105,396	419,739	1,017,542	830,071	681,001
SOCIAL WELFARE						
Children and Family Services, Office of	21,186	24,392	34,936	38,695	38,738	38,917
OCFS	21,186	24,392	34,936	38,695	38,738	38,917
Housing and Community Renewal, Division of	83,880	130,959	283,227	473,215	478,970	482,994
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	45,876	54,596	63,800	60,650	60,650	60,650
All Other	45,876	54,596	63,800	60,650	60,650	60,650
Functional Total	150,942	209,947	414,963	617,560	605,358	597,561
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	44,857	55,331	77,317	59,936	54,961	54,961
OASAS	44,857	55,331	77,317	59,936	54,961	54,961
Mental Health, Office of	159,048	184,328	255,045	223,523	219,218	219,218
OMH	159,048	184,328	255,045	223,523	219,218	219,218
People with Developmental Disabilities, Office for	39,094	69,870	77,486	77,808	78,257	78,257
OPWDD	39,094	69,870	77,486	77,808	78,257	78,257
Functional Total	242,999	309,529	409,848	361,267	352,436	352,436
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	260,188	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	104,394	64,492	91,321	36,153	5,000	5,000
Military and Naval Affairs, Division of	38,115	70,039	66,740	36,392	25,507	23,544
State Police, Division of	19,121	31,235	74,867	46,874	43,334	41,408
Functional Total	421,818	469,041	596,250	473,056	405,183	397,384
HIGHER EDUCATION						
City University of New York	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
State University of New York	945,565	760,044	840,337	909,760	911,133	903,310
Functional Total	979,161	796,571	880,737	958,160	960,253	955,662
EDUCATION						
Education, Department of	21,325	54,635	623,780	534,787	428,000	328,957
School Aid	0	35,205	575,000	460,000	375,000	288,250
All Other	21,325	19,430	48,780	74,787	53,000	40,707
Functional Total	21,325	54,635	623,780	534,787	428,000	328,957
GENERAL GOVERNMENT						
General Services, Office of	101,785	92,531	172,478	229,757	165,628	114,944
State, Department of	2,065	866	4,000	2,000	2,000	0
Technology, Office for	95,311	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,117	3,752	15,000	15,000	15,000	0
Functional Total	202,278	183,369	331,194	276,622	201,379	139,644
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,100	3,900	0	0	0
Judiciary	0	0	8,000	7,000	0	0
Law, Department of	1,449	6,201	10,000	2,000	1,287	0
Functional Total	1,449	8,301	21,900	9,000	1,287	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Miscellaneous	212,525	147,950	(499,241)	406,131	580,750	629,296

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Functional Total	<u>938,117</u>	<u>797,053</u>	<u>422,830</u>	<u>1,722,054</u>	<u>1,561,354</u>	<u>1,208,816</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u>8,980,801</u>	<u>10,156,987</u>	<u>13,045,711</u>	<u>14,785,430</u>	<u>13,705,517</u>	<u>12,545,530</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	103,855	96,908	114,460	134,347	111,986	100,277
Local Assistance	27,279	31,980	31,761	28,907	28,907	24,907
State Operations	<u>68,227</u>	<u>56,825</u>	<u>49,649</u>	<u>65,343</u>	<u>65,317</u>	<u>66,428</u>
Personal Service	32,116	34,188	32,596	32,651	32,656	33,771
Non-Personal Service/Indirect Costs	36,111	22,637	17,053	32,692	32,661	32,657
General State Charges	3,573	3,766	3,835	3,932	4,047	4,227
Capital Projects	4,776	4,337	29,215	36,165	13,715	4,715
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
State Operations	<u>12,501</u>	<u>11,127</u>	<u>12,683</u>	<u>12,683</u>	<u>12,744</u>	<u>13,060</u>
Personal Service	7,622	7,742	8,147	8,147	8,208	8,524
Non-Personal Service/Indirect Costs	4,879	3,385	4,536	4,536	4,536	4,536
General State Charges	4,776	121	0	0	0	0
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Local Assistance	6,614	1,844	12	0	0	0
Capital Projects	0	0	29,264	22,587	21,600	20,862
Economic Development, Department of	92,494	84,240	94,473	97,300	86,858	92,383
Local Assistance	71,410	62,448	66,375	67,034	67,034	67,034
State Operations	<u>21,056</u>	<u>21,775</u>	<u>19,796</u>	<u>19,796</u>	<u>19,796</u>	<u>19,796</u>
Personal Service	11,974	13,152	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	9,082	8,623	6,867	6,867	6,867	6,867
General State Charges	0	0	28	28	28	28
Capital Projects	28	17	8,274	10,442	0	5,525
Empire State Development Corporation	738,836	1,107,501	1,684,574	1,935,046	1,716,707	1,774,661
Local Assistance	705,116	1,031,640	1,176,896	1,386,268	1,244,029	1,239,733
Capital Projects	33,720	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	15,191	14,545	25,273	24,842	15,352	13,720
Local Assistance	1,842	0	0	0	0	0
State Operations	<u>1,431</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Personal Service	1,024	0	0	0	0	0
Non-Personal Service/Indirect Costs	407	0	0	0	0	0
General State Charges	535	0	0	0	0	0
Capital Projects	11,383	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	361,476	362,898	361,044	361,581	368,179	381,291
Local Assistance	80,686	66,703	60,888	59,430	59,412	59,406
State Operations	<u>203,339</u>	<u>209,543</u>	<u>206,743</u>	<u>206,790</u>	<u>210,728</u>	<u>216,873</u>
Personal Service	149,000	151,522	151,883	151,928	152,663	158,612
Non-Personal Service/Indirect Costs	54,339	58,021	54,860	54,862	58,065	58,261
General State Charges	77,451	86,652	93,413	95,361	98,039	105,012
Olympic Regional Development Authority	10,611	10,236	26,686	19,186	5,886	5,886
Local Assistance	0	0	10,000	0	0	0
State Operations	<u>3,091</u>	<u>2,736</u>	<u>2,686</u>	<u>5,886</u>	<u>5,886</u>	<u>5,886</u>
Personal Service	2,593	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	498	188	138	3,338	3,338	3,338
General State Charges	20	0	0	0	0	0
Capital Projects	7,500	7,500	14,000	13,300	0	0
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Local Assistance	0	1,916	0	0	0	0
Capital Projects	0	0	28,328	18,000	37,500	2,500
Public Service Department	71,087	78,938	79,500	80,035	80,765	83,096
Local Assistance	0	0	155	155	155	155
State Operations	<u>51,548</u>	<u>51,775</u>	<u>50,800</u>	<u>50,800</u>	<u>50,800</u>	<u>52,411</u>
Personal Service	42,792	42,918	43,088	43,088	43,088	44,699
Non-Personal Service/Indirect Costs	8,756	8,857	7,712	7,712	7,712	7,712
General State Charges	19,539	27,163	28,545	29,080	29,810	30,530
Regional Economic Development Program	2,787	4,156	512	338	337	337
Local Assistance	2,787	4,156	0	0	0	0
Capital Projects	0	0	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Local Assistance	1,427	683	0	0	0	0
Capital Projects	0	0	6,000	7,002	6,650	11,046
Functional Total	1,421,655	1,775,113	2,462,809	2,712,947	2,464,564	2,499,119
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,350	4,547	4,763	4,763	4,763	4,921
State Operations	<u>4,350</u>	<u>4,547</u>	<u>4,763</u>	<u>4,763</u>	<u>4,763</u>	<u>4,921</u>
Personal Service	4,036	4,112	4,108	4,108	4,108	4,266
Non-Personal Service/Indirect Costs	314	435	655	655	655	655
Environmental Conservation, Department of	878,293	1,026,898	1,201,969	1,272,457	1,248,795	1,247,427
Local Assistance	312,669	327,482	195,966	190,618	190,618	190,618
State Operations	<u>280,475</u>	<u>269,816</u>	<u>256,020</u>	<u>256,119</u>	<u>256,822</u>	<u>264,404</u>
Personal Service	207,472	202,238	197,968	198,319	198,436	206,069
Non-Personal Service/Indirect Costs	73,003	67,578	58,052	57,800	58,386	58,335
General State Charges	57,508	62,106	65,788	67,040	63,921	63,664

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Capital Projects	227,641	367,494	684,195	758,680	737,434	728,741
Hudson River Park Trust	3,452	0	0	0	0	0
Capital Projects	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	344,507	353,753	333,192	335,182	338,221	329,715
Local Assistance	13,682	13,281	8,510	7,470	7,470	7,470
State Operations	184,894	183,671	170,821	170,798	172,109	178,478
Personal Service	137,415	138,056	124,970	125,947	127,258	133,623
Non-Personal Service/Indirect Costs	47,479	45,615	45,851	44,851	44,851	44,855
General State Charges	2,863	2,972	3,144	3,157	3,165	3,337
Capital Projects	143,068	153,829	150,717	153,757	155,477	140,430
Functional Total	1,230,602	1,385,198	1,539,924	1,612,402	1,591,779	1,582,063
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Local Assistance	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	292,446	308,315	323,691	331,624	333,449	340,821
Local Assistance	14,681	15,199	19,600	18,000	18,000	18,000
State Operations	62,922	54,854	58,113	58,113	58,113	59,060
Personal Service	44,887	37,012	40,214	40,214	40,214	41,128
Non-Personal Service/Indirect Costs	18,035	17,842	17,899	17,899	17,899	17,932
General State Charges	24,964	21,158	22,942	23,020	23,020	23,577
Capital Projects	189,879	217,104	223,036	232,491	234,316	240,184
Thruway Authority, New York State	17,948	0	0	0	0	0
Local Assistance	1,379	0	0	0	0	0
State Operations	16,569	0	0	0	0	0
Non-Personal Service/Indirect Costs	16,569	0	0	0	0	0
Transportation, Department of	9,124,697	9,950,606	10,111,245	10,454,812	10,269,335	10,204,950
Local Assistance	5,680,162	6,331,110	6,238,405	6,196,034	6,279,523	6,332,037
State Operations	23,687	31,448	35,100	34,911	34,911	35,425
Personal Service	10,209	12,251	13,760	13,603	13,603	14,099
Non-Personal Service/Indirect Costs	13,478	19,197	21,340	21,308	21,308	21,326
General State Charges	5,745	6,299	9,341	8,648	8,648	8,965
Capital Projects	3,415,103	3,581,749	3,828,399	4,215,219	3,946,253	3,828,523
Functional Total	9,435,091	10,508,921	11,405,792	11,036,436	10,952,784	10,545,771
HEALTH						
Aging, Office for the	229,479	225,544	228,559	231,329	238,975	246,219
Local Assistance	217,793	213,003	216,602	220,297	226,738	233,797
State Operations	11,686	12,541	11,957	11,032	12,237	12,422
Personal Service	7,025	7,196	7,515	7,646	7,782	7,967
Non-Personal Service/Indirect Costs	4,661	5,345	4,442	3,386	4,455	4,455
Health, Department of	55,632,709	60,565,508	64,162,713	67,174,143	69,324,957	70,453,567
Medical Assistance	48,094,607	51,178,257	54,163,197	55,478,072	57,308,778	58,265,413
Local Assistance	48,094,607	51,178,257	54,163,197	55,478,072	57,308,778	58,265,413
Essential Plan	1,539,298	3,569,893	3,708,740	4,562,813	4,950,689	5,423,913
Local Assistance	1,525,938	3,526,056	3,612,422	4,460,019	4,843,219	5,316,303
State Operations	13,360	43,837	96,318	102,794	107,470	107,610
Personal Service	391	1,367	3,668	3,501	3,606	3,927
Non-Personal Service/Indirect Costs	12,969	42,470	92,650	99,293	103,864	103,683
Medicaid Administration	1,666,498	1,518,498	1,713,394	1,740,723	1,803,087	1,800,309
Local Assistance	1,005,222	843,305	923,742	822,344	790,947	790,947
State Operations	658,002	673,671	785,840	914,355	1,007,887	1,004,704
Personal Service	61,581	71,191	81,108	93,205	100,354	106,896
Non-Personal Service/Indirect Costs	596,421	602,480	704,732	821,150	907,533	897,808
General State Charges	3,274	1,522	3,812	4,024	4,253	4,658
Public Health	4,332,306	4,298,860	4,577,382	5,392,535	5,262,403	4,963,932
Local Assistance	3,555,898	3,482,012	3,777,655	4,605,869	4,474,421	4,218,511
State Operations	653,045	708,621	636,652	620,452	619,604	624,369
Personal Service	284,476	288,062	265,583	264,103	264,013	270,689
Non-Personal Service/Indirect Costs	368,569	420,559	371,069	356,349	355,591	353,680
General State Charges	62,215	67,062	71,357	71,693	73,828	78,472
Capital Projects	61,148	41,165	91,718	94,521	94,550	42,580
Medicaid Inspector General, Office of the	51,999	50,994	48,627	48,043	48,406	50,445
State Operations	43,045	41,851	39,019	38,175	38,175	39,438
Personal Service	33,122	32,737	30,910	30,066	30,066	31,287
Non-Personal Service/Indirect Costs	9,923	9,114	8,109	8,109	8,109	8,151
General State Charges	8,954	9,143	9,608	9,868	10,231	11,007
Functional Total	55,914,187	60,842,046	64,439,899	67,453,515	69,612,338	70,750,231
SOCIAL WELFARE						
Children and Family Services, Office of	3,015,520	2,941,351	2,877,513	2,984,822	3,207,788	3,348,406
OCFS	2,926,598	2,859,104	2,791,141	2,884,266	3,102,989	3,234,532

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	2,543,854	2,494,992	2,405,179	2,448,345	2,579,971	2,640,920
State Operations	344,820	328,252	338,992	384,749	471,255	540,631
Personal Service	190,637	194,832	188,665	220,665	289,870	344,830
Non-Personal Service/Indirect Costs	154,183	133,420	150,327	164,084	181,385	195,801
General State Charges	16,738	11,468	12,034	12,477	13,025	14,064
Capital Projects	21,186	24,392	34,936	38,695	38,738	38,917
OCFS - Other	88,922	82,247	86,372	100,556	104,799	113,874
Local Assistance	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	217,494	256,695	415,051	625,266	641,652	650,557
Local Assistance	139,769	187,653	334,143	548,307	564,693	569,370
State Operations	58,235	59,782	59,426	59,550	59,550	63,778
Personal Service	45,570	49,632	47,923	47,998	47,998	51,350
Non-Personal Service/Indirect Costs	12,665	10,150	11,503	11,552	11,552	12,428
General State Charges	19,490	9,260	18,482	14,409	14,409	14,409
Capital Projects	0	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,639	14,890	14,289	14,343	14,343	15,257
State Operations	14,639	14,890	14,289	14,343	14,343	15,257
Personal Service	11,899	12,205	12,567	12,596	12,596	13,475
Non-Personal Service/Indirect Costs	2,740	2,685	1,722	1,747	1,747	1,782
Labor, Department of	546,390	534,361	566,009	549,929	550,739	568,320
Local Assistance	168,509	150,118	167,312	152,042	152,042	152,042
State Operations	271,348	273,212	282,017	282,017	282,017	299,598
Personal Service	202,854	204,836	192,484	192,484	192,484	206,660
Non-Personal Service/Indirect Costs	68,494	68,376	89,533	89,533	89,533	92,938
General State Charges	106,533	111,031	116,680	115,870	116,680	116,680
National and Community Service	15,100	17,282	16,253	16,564	16,571	16,904
Local Assistance	142	267	350	350	350	350
State Operations	14,958	17,015	15,679	15,985	15,985	16,312
Personal Service	556	465	701	708	708	730
Non-Personal Service/Indirect Costs	14,402	16,550	14,978	15,277	15,277	15,582
General State Charges	0	0	224	229	236	242
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	27,000	15,000
Capital Projects	0	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	5,289,204	4,722,939	5,146,328	5,175,360	5,192,491	5,199,784
Welfare Assistance	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
Local Assistance	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
All Other	1,303,452	1,292,693	1,310,018	1,309,361	1,324,492	1,331,785
Local Assistance	974,149	963,125	986,474	983,246	992,046	994,846
State Operations	289,826	286,879	278,246	280,817	287,148	291,641
Personal Service	142,685	154,062	145,924	146,740	151,899	155,268
Non-Personal Service/Indirect Costs	147,141	132,817	132,322	134,077	135,249	136,373
General State Charges	39,101	42,343	44,498	44,498	44,498	44,498
Capital Projects	376	346	800	800	800	800
Functional Total	9,098,347	8,487,518	9,068,443	9,411,284	9,650,584	9,814,228
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	594,596	604,265	642,925	645,519	665,405	682,493
OASAS	507,773	514,925	559,971	560,854	579,429	594,315
Local Assistance	454,010	458,058	499,448	499,524	516,961	530,191
State Operations	40,645	41,998	38,362	39,112	39,680	40,490
Personal Service	24,709	24,808	28,396	28,899	29,193	29,823
Non-Personal Service/Indirect Costs	15,936	17,190	9,966	10,213	10,487	10,667
General State Charges	13,118	13,803	17,161	17,418	18,088	18,934
Capital Projects	0	1,066	5,000	4,800	4,700	4,700
OASAS - Other	86,823	89,340	82,954	84,665	85,976	88,178
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	46,573	48,092	42,499	42,974	43,576	44,727
Personal Service	34,515	34,856	31,621	31,776	32,090	32,961
Non-Personal Service/Indirect Costs	12,058	13,236	10,878	11,198	11,486	11,766
General State Charges	18,925	19,923	19,130	20,366	21,075	22,126
Developmental Disabilities Planning Council	3,386	3,892	4,200	4,200	4,200	4,200
State Operations	2,932	3,661	3,456	3,415	3,415	3,415
Personal Service	838	976	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,094	2,685	2,190	2,149	2,149	2,149
General State Charges	454	231	744	785	785	785
Justice Center	40,205	41,625	42,447	42,183	42,468	44,482
Local Assistance	544	679	649	649	649	649
State Operations	38,996	40,096	40,842	40,499	40,766	42,780
Personal Service	26,675	29,334	31,163	31,163	31,163	32,563
Non-Personal Service/Indirect Costs	12,321	10,762	9,679	9,336	9,603	10,217
General State Charges	665	850	956	1,035	1,053	1,053
Mental Health, Office of	3,359,196	3,379,732	3,449,400	3,622,588	3,744,983	3,811,043
OMH	1,560,431	1,684,533	1,757,203	1,898,738	1,978,270	2,010,694
Local Assistance	951,573	1,001,866	1,051,907	1,198,645	1,267,703	1,300,127

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	356,185	350,032	349,553	353,910	358,315	358,315
Personal Service	290,796	289,320	299,909	302,901	305,937	305,937
Non-Personal Service/Indirect Costs	65,389	60,712	49,644	51,009	52,378	52,378
General State Charges	150,200	192,665	176,781	185,933	191,307	191,307
Capital Projects	102,473	139,970	178,962	160,250	160,945	160,945
OMH - Other	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Local Assistance	280,236	270,500	268,824	299,814	311,017	322,500
State Operations	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Personal Service	833,717	784,057	754,672	735,243	746,094	762,281
Non-Personal Service/Indirect Costs	229,069	211,376	228,500	231,350	235,803	241,769
General State Charges	455,743	429,266	440,201	457,443	473,799	473,799
Mental Hygiene, Department of	219	227	0	0	0	0
State Operations	219	227	0	0	0	0
Non-Personal Service/Indirect Costs	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,223,358	2,974,349	3,008,865	3,267,094	3,477,106	3,670,436
OPWDD	370,536	455,861	453,651	492,520	547,565	584,831
Local Assistance	334,196	385,635	378,863	417,410	472,006	509,272
State Operations	296	1,173	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	296	1,173	1,181	1,181	1,181	1,181
Capital Projects	36,044	69,053	73,607	73,929	74,378	74,378
OPWDD - Other	2,852,822	2,518,488	2,555,214	2,774,574	2,929,541	3,085,605
Local Assistance	864,528	583,954	580,889	803,043	919,748	1,029,968
State Operations	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Personal Service	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Non-Personal Service/Indirect Costs	215,819	205,332	208,948	209,262	213,973	218,913
General State Charges	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	7,220,960	7,004,090	7,147,837	7,581,584	7,934,162	8,212,654
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
State Operations	2,297	2,431	2,651	2,651	2,651	2,773
Personal Service	2,042	2,234	2,330	2,414	2,414	2,531
Non-Personal Service/Indirect Costs	255	197	321	237	237	242
Correctional Services, Department of	2,982,445	2,971,422	3,004,183	2,997,254	2,982,114	2,983,834
Local Assistance	4,251	4,457	5,497	5,497	5,497	5,497
State Operations	2,717,010	2,662,598	2,634,217	2,636,973	2,644,128	2,649,758
Personal Service	2,190,226	2,134,801	2,067,980	2,070,736	2,077,891	2,083,521
Non-Personal Service/Indirect Costs	526,784	527,797	566,237	566,237	566,237	566,237
General State Charges	996	1,092	1,147	1,147	1,147	1,147
Capital Projects	260,188	303,275	363,322	353,637	331,342	327,432
Criminal Justice Services, Division of	224,078	215,234	222,485	220,373	220,373	221,427
Local Assistance	180,062	171,426	174,458	172,346	172,346	172,346
State Operations	43,716	43,444	47,644	47,644	47,644	48,698
Personal Service	29,647	31,283	30,184	30,184	30,184	31,238
Non-Personal Service/Indirect Costs	14,069	12,161	17,460	17,460	17,460	17,460
General State Charges	300	364	383	383	383	383
Disaster Assistance	(51,789)	0	0	0	0	0
State Operations	(51,789)	0	0	0	0	0
Personal Service	(1,768)	0	0	0	0	0
Non-Personal Service/Indirect Costs	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	1,974,600	1,432,507	1,124,869	1,015,107	875,388	818,282
Local Assistance	1,837,898	1,353,983	1,027,917	944,810	813,544	755,313
State Operations	120,000	62,691	43,928	48,358	49,058	50,183
Personal Service	27,041	26,736	24,873	28,652	29,347	30,467
Non-Personal Service/Indirect Costs	92,959	35,955	19,055	19,706	19,711	19,716
General State Charges	10,022	7,388	7,763	7,786	7,786	7,786
Capital Projects	6,680	8,445	45,261	14,153	5,000	5,000
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Local Assistance	58,068	60,503	95,465	160,672	184,765	208,859
State Operations	1,449	2,171	3,300	3,300	3,300	3,366
Personal Service	1,211	1,678	2,520	2,520	2,520	2,586
Non-Personal Service/Indirect Costs	238	493	780	780	780	780
General State Charges	599	967	1,016	1,556	1,556	1,556
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
State Operations	5,567	5,505	5,584	5,643	5,708	5,936
Personal Service	4,208	4,181	4,281	4,312	4,347	4,548
Non-Personal Service/Indirect Costs	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
State Operations	20	10	30	30	30	30
Non-Personal Service/Indirect Costs	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
State Operations	14	9	38	38	38	38
Non-Personal Service/Indirect Costs	14	9	38	38	38	38
Military and Naval Affairs, Division of	102,534	130,428	122,523	92,833	82,619	81,641

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	805	786	820	820	820	820
State Operations	57,893	53,525	48,576	49,114	49,663	50,523
Personal Service	35,517	29,020	26,295	26,535	26,780	27,330
Non-Personal Service/Indirect Costs	22,376	24,505	22,281	22,579	22,883	23,193
General State Charges	5,721	6,078	6,387	6,507	6,629	6,754
Capital Projects	38,115	70,039	66,740	36,392	25,507	23,544
State Police, Division of	733,485	788,957	803,041	749,697	745,547	769,703
Local Assistance	0	91	0	0	0	0
State Operations	710,507	737,575	723,853	698,423	697,703	723,442
Personal Service	629,333	655,292	644,489	619,039	618,289	643,969
Non-Personal Service/Indirect Costs	81,174	82,283	79,364	79,384	79,414	79,473
General State Charges	3,857	20,056	4,321	4,400	4,510	4,853
Capital Projects	19,121	31,235	74,867	46,874	43,334	41,408
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
State Operations	30,070	30,309	30,137	30,137	30,137	30,580
Personal Service	10,234	11,045	11,513	11,513	11,513	11,956
Non-Personal Service/Indirect Costs	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	64,276	73,166	86,737	90,237	90,237	90,405
Local Assistance	58,114	65,814	78,966	82,466	82,466	82,466
State Operations	4,627	5,782	6,121	6,121	6,121	6,289
Personal Service	4,074	4,638	4,834	4,834	4,834	5,002
Non-Personal Service/Indirect Costs	553	1,144	1,287	1,287	1,287	1,287
General State Charges	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	6,127,713	5,713,619	5,502,059	5,369,528	5,224,463	5,218,430
HIGHER EDUCATION						
City University of New York	1,565,917	1,564,711	1,577,587	1,627,174	1,653,361	1,681,034
Local Assistance	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
State Operations	95,103	96,394	124,666	98,093	99,582	101,099
Personal Service	46,870	48,975	75,996	47,596	48,228	48,870
Non-Personal Service/Indirect Costs	48,233	47,419	48,670	50,497	51,354	52,229
General State Charges	7,892	7,661	7,892	7,892	7,892	7,892
Capital Projects	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education - Miscellaneous	259	529	441	441	441	441
State Operations	198	386	291	291	291	291
Personal Service	133	283	198	198	198	198
Non-Personal Service/Indirect Costs	65	103	93	93	93	93
General State Charges	61	143	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
Local Assistance	136	0	0	0	0	0
Capital Projects	0	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,084,054	1,020,224	962,172	1,213,850	1,252,724	1,277,875
Local Assistance	1,025,184	957,549	897,528	1,149,206	1,188,080	1,213,231
State Operations	50,252	54,150	55,685	55,685	55,685	55,685
Personal Service	15,256	14,530	14,150	14,150	14,150	14,150
Non-Personal Service/Indirect Costs	34,996	39,620	41,535	41,535	41,535	41,535
General State Charges	8,618	8,525	8,959	8,959	8,959	8,959
State University of New York	8,042,847	7,985,267	8,067,853	8,439,186	8,630,670	8,819,588
Local Assistance	514,892	491,891	492,021	500,063	499,730	499,730
State Operations	6,184,867	6,313,023	6,273,557	6,541,886	6,706,709	6,883,450
Personal Service	3,637,323	3,775,120	3,700,801	3,864,335	3,972,036	4,129,771
Non-Personal Service/Indirect Costs	2,547,544	2,537,903	2,572,756	2,677,551	2,734,673	2,753,679
General State Charges	411,740	420,308	461,938	487,477	513,098	533,098
Capital Projects	931,348	760,045	840,337	909,760	911,133	903,310
Functional Total	10,693,213	10,570,731	10,613,053	11,293,151	11,549,696	11,793,938
EDUCATION						
Arts, Council on the	42,178	43,884	46,113	45,953	45,953	46,049
Local Assistance	38,691	39,959	41,693	41,533	41,533	41,533
State Operations	3,487	3,925	4,420	4,420	4,420	4,516
Personal Service	2,253	2,491	2,498	2,498	2,498	2,594
Non-Personal Service/Indirect Costs	1,234	1,434	1,922	1,922	1,922	1,922
Education, Department of	32,811,466	33,672,197	35,142,867	36,164,635	37,254,379	38,617,521
School Aid	25,501,461	27,250,623	29,060,857	30,033,290	31,115,792	32,344,458
Local Assistance	25,501,153	27,250,623	29,060,857	30,033,290	31,115,792	32,344,458
State Operations	296	0	0	0	0	0
Personal Service	82	0	0	0	0	0
Non-Personal Service/Indirect Costs	214	0	0	0	0	0
General State Charges	12	0	0	0	0	0
STAR Property Tax Relief	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Local Assistance	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Special Education Categorical Programs	2,201,098	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
Local Assistance	2,178,960	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
State Operations	17,627	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Service	9,167	0	0	0	0	0
Non-Personal Service/Indirect Costs	8,460	0	0	0	0	0
General State Charges	4,511	0	0	0	0	0
All Other	1,774,207	1,374,684	1,433,823	1,469,106	1,420,521	1,445,450
Local Assistance	1,379,274	979,302	1,034,831	1,049,731	1,000,172	1,024,342
State Operations	315,511	310,252	296,275	294,248	292,086	299,084
Personal Service	161,635	174,795	172,575	172,576	171,970	178,430
Non-Personal Service/Indirect Costs	153,876	135,457	123,700	121,672	120,116	120,654
General State Charges	72,002	79,985	85,437	86,840	89,263	95,317
Capital Projects	7,420	5,145	17,280	38,287	39,000	26,707
Functional Total	32,853,644	33,716,081	35,188,980	36,210,588	37,300,332	38,663,570
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
State Operations	23,396	24,559	29,466	28,939	28,939	29,886
Personal Service	20,436	20,619	24,567	24,567	24,567	25,511
Non-Personal Service/Indirect Costs	2,960	3,940	4,899	4,372	4,372	4,375
General State Charges	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	13,424	13,379	13,293	13,419	13,529	14,013
State Operations	13,277	13,295	13,205	13,331	13,441	13,925
Personal Service	11,662	12,212	12,384	12,497	12,591	13,075
Non-Personal Service/Indirect Costs	1,615	1,083	821	834	850	850
General State Charges	147	84	88	88	88	88
Deferred Compensation Board	524	613	846	846	853	854
State Operations	377	418	641	641	648	649
Personal Service	304	368	410	410	413	414
Non-Personal Service/Indirect Costs	73	50	231	231	235	235
General State Charges	147	195	205	205	205	205
Elections, State Board of	12,447	10,289	17,982	8,587	8,697	9,033
Local Assistance	586	647	300	0	0	0
State Operations	11,861	9,593	17,682	8,587	8,697	9,033
Personal Service	5,730	5,863	5,875	6,018	6,063	6,346
Non-Personal Service/Indirect Costs	6,131	3,730	11,807	2,569	2,634	2,687
General State Charges	0	49	0	0	0	0
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
State Operations	2,247	2,334	2,581	2,601	2,621	2,719
Personal Service	2,200	2,269	2,510	2,529	2,548	2,646
Non-Personal Service/Indirect Costs	47	65	71	72	73	73
Gaming Commission, New York State	251,588	253,064	226,973	245,033	244,803	247,220
Local Assistance	91,881	101,820	98,670	131,730	131,500	131,500
State Operations	146,812	138,467	114,876	99,876	99,876	101,403
Personal Service	31,549	32,822	38,757	38,757	38,757	40,248
Non-Personal Service/Indirect Costs	115,263	105,645	76,119	61,119	61,119	61,155
General State Charges	12,895	12,777	13,427	13,427	13,427	14,317
General Services, Office of	268,221	262,189	322,012	377,621	309,392	259,273
Local Assistance	0	0	250	250	250	250
State Operations	163,847	169,251	148,857	147,162	143,062	143,627
Personal Service	71,454	77,580	56,951	56,951	56,951	60,376
Non-Personal Service/Indirect Costs	92,393	91,671	91,906	90,211	86,111	83,251
General State Charges	2,589	407	427	452	452	452
Capital Projects	101,785	92,531	172,478	229,757	165,628	114,944
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
State Operations	7,061	7,179	7,367	7,427	7,487	7,826
Personal Service	6,230	5,833	6,552	6,600	6,648	6,970
Non-Personal Service/Indirect Costs	831	1,346	815	827	839	856
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
State Operations	24,882	28,818	25,300	25,300	25,306	25,517
Personal Service	6,619	7,871	5,446	5,446	5,487	5,698
Non-Personal Service/Indirect Costs	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	2,056	2,062	2,881	2,881	2,981	3,053
Local Assistance	575	528	1,285	1,285	1,385	1,385
State Operations	1,481	1,534	1,596	1,596	1,596	1,668
Personal Service	1,368	1,368	1,423	1,423	1,423	1,456
Non-Personal Service/Indirect Costs	113	166	173	173	173	212
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
State Operations	3,433	3,281	3,573	3,604	3,634	3,764
Personal Service	3,207	3,056	3,336	3,363	3,388	3,518
Non-Personal Service/Indirect Costs	226	225	237	241	246	246
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State Operations	4,332	4,876	5,531	5,576	5,630	5,835
Personal Service	3,480	3,808	4,620	4,646	4,681	4,867
Non-Personal Service/Indirect Costs	852	1,068	911	930	949	968
State, Department of	128,351	133,681	141,661	129,377	129,681	129,584
Local Assistance	72,431	71,828	73,341	62,836	62,836	62,836

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	46,485	51,058	49,900	49,900	49,900	51,008
Personal Service	31,060	32,134	32,068	32,068	32,068	33,155
Non-Personal Service/Indirect Costs	15,425	18,924	17,832	17,832	17,832	17,853
General State Charges	9,435	10,795	14,420	14,641	14,945	15,740
Capital Projects	0	0	4,000	2,000	2,000	0
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
State Operations	3,035	2,833	3,040	3,040	3,040	3,150
Personal Service	2,763	2,604	2,870	2,870	2,870	2,980
Non-Personal Service/Indirect Costs	272	229	170	170	170	170
Taxation and Finance, Department of	354,936	359,123	359,860	359,535	359,360	370,887
Local Assistance	914	1,080	2,726	2,726	2,726	2,726
State Operations	335,963	333,026	330,844	330,352	330,344	340,751
Personal Service	292,613	284,526	269,907	269,907	269,907	280,269
Non-Personal Service/Indirect Costs	43,350	48,500	60,937	60,445	60,437	60,482
General State Charges	18,059	25,017	26,290	26,457	26,290	27,410
Technology, Office for	602,366	635,173	682,336	589,447	578,333	594,695
State Operations	506,821	548,953	542,620	559,582	559,582	569,995
Personal Service	284,010	298,543	270,712	270,712	270,712	281,125
Non-Personal Service/Indirect Costs	222,811	250,410	271,908	288,870	288,870	288,870
General State Charges	234	0	0	0	0	0
Capital Projects	95,311	86,220	139,716	29,865	18,751	24,700
Veterans' Affairs, Division of	13,612	15,067	17,137	15,481	15,496	15,789
Local Assistance	7,855	8,340	9,389	7,637	7,637	7,637
State Operations	5,553	6,509	7,519	7,604	7,604	7,883
Personal Service	5,117	5,512	6,675	6,742	6,742	7,004
Non-Personal Service/Indirect Costs	436	997	844	862	862	879
General State Charges	204	218	229	240	255	269
Welfare Inspector General, Office of	569	592	672	686	701	731
State Operations	569	592	672	686	701	731
Personal Service	511	565	617	621	626	654
Non-Personal Service/Indirect Costs	58	27	55	65	75	77
Workers' Compensation Board	194,970	193,275	208,016	211,792	213,595	208,376
State Operations	147,659	140,604	141,607	143,390	145,193	150,513
Personal Service	78,723	82,632	80,878	81,493	82,104	86,209
Non-Personal Service/Indirect Costs	68,936	57,972	60,729	61,897	63,089	64,304
General State Charges	44,194	48,919	51,409	53,402	53,402	57,863
Capital Projects	3,117	3,752	15,000	15,000	15,000	0
Functional Total	1,912,281	1,953,163	2,072,184	2,032,849	1,955,735	1,933,922
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	185,005	187,048	181,070	181,253	187,628
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,681	149,275	149,094	146,661	146,844	153,187
Personal Service	111,792	115,964	115,350	113,609	113,687	119,369
Non-Personal Service/Indirect Costs	28,889	33,311	33,744	33,052	33,157	33,818
General State Charges	1,494	1,605	2,030	2,385	2,385	2,417
Capital Projects	0	2,100	3,900	0	0	0
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
State Operations	13,704	14,653	13,578	13,578	13,578	14,032
Personal Service	10,669	10,746	11,113	11,113	11,113	11,567
Non-Personal Service/Indirect Costs	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	2,764,516	2,828,688	2,953,997	3,010,806	3,003,805	3,060,806
Local Assistance	114,655	101,099	108,497	123,503	123,502	123,503
State Operations	1,964,295	2,024,995	2,072,800	2,098,200	2,100,700	2,157,700
Personal Service	1,510,827	1,570,097	1,596,800	1,622,200	1,624,700	1,681,700
Non-Personal Service/Indirect Costs	453,468	454,898	476,000	476,000	476,000	476,000
General State Charges	685,566	702,594	764,700	782,103	779,603	779,603
Capital Projects	0	0	8,000	7,000	0	0
Law, Department of	225,402	226,651	241,984	236,944	239,953	249,021
State Operations	195,131	195,421	203,433	202,510	205,455	213,788
Personal Service	136,608	138,838	140,969	139,078	140,779	147,851
Non-Personal Service/Indirect Costs	58,523	56,583	62,464	63,432	64,676	65,937
General State Charges	28,822	25,029	28,551	32,434	33,211	35,233
Capital Projects	1,449	6,201	10,000	2,000	1,287	0
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
State Operations	215,580	225,893	225,980	218,795	218,795	219,111
Personal Service	167,444	170,623	171,104	166,331	166,331	166,647
Non-Personal Service/Indirect Costs	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	499	510	614	614	614	634
State Operations	499	510	614	614	614	634
Personal Service	336	364	523	523	523	543
Non-Personal Service/Indirect Costs	163	146	91	91	91	91
Functional Total	3,393,901	3,481,400	3,623,201	3,661,807	3,657,998	3,731,232

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
LOCAL GOVERNMENT ASSISTANCE						
<i>Aid and Incentives for Municipalities</i>	728,288	714,839	722,974	763,347	763,347	763,347
Local Assistance	728,288	714,732	722,974	763,347	763,347	763,347
State Operations	0	107	0	0	0	0
Non-Personal Service/Indirect Costs	0	107	0	0	0	0
<i>Efficiency Incentive Grants Program</i>	1,289	0	0	0	0	0
Local Assistance	1,289	0	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	11,846	16,046	9,271	2,250	2,250	0
Local Assistance	11,846	16,046	9,271	2,250	2,250	0
<i>Municipalities with VLT Facilities</i>	29,331	29,331	28,885	28,885	28,885	28,885
Local Assistance	29,331	29,331	28,885	28,885	28,885	28,885
<i>Small Government Assistance</i>	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES						
<i>Arts and Cultural Facilities Improvement</i>	0	0	5,000	5,000	0	0
Capital Projects	0	0	5,000	5,000	0	0
<i>General State Charges</i>	4,681,599	4,782,944	5,044,559	5,563,307	6,029,668	6,589,217
General State Charges	4,681,599	4,782,944	5,044,559	5,563,307	6,029,668	6,589,217
<i>Long-Term Debt Service</i>	5,635,102	5,552,429	5,328,436	6,505,658	7,170,063	7,435,202
State Operations	36,616	38,647	36,852	50,389	50,389	50,389
Non-Personal Service/Indirect Costs	36,616	38,647	36,852	50,389	50,389	50,389
Debt Service	5,598,486	5,513,782	5,291,584	6,455,269	7,119,674	7,384,813
<i>Miscellaneous</i>	(406,371)	(167,516)	(1,085,785)	(133,005)	325,190	505,504
Local Assistance	(479,776)	(230,963)	(568,953)	(621,608)	(449,338)	(407,623)
State Operations	24,644	24,815	101,825	196,841	311,857	426,940
Personal Service	1,982	1,939	57,053	152,058	267,063	382,144
Non-Personal Service/Indirect Costs	22,662	22,876	44,772	44,783	44,794	44,796
General State Charges	12,039	5,412	5,584	5,631	5,631	5,649
Capital Projects	36,722	33,220	(624,241)	286,131	457,040	480,538
<i>Special Infrastructure Account</i>	725,592	649,103	917,071	1,310,923	980,604	579,520
Local Assistance	33,159	230,875	40,000	40,000	30,000	30,000
Capital Projects	692,433	418,228	877,071	1,270,923	950,604	549,520
Functional Total	10,635,922	10,816,960	10,209,281	13,251,883	14,505,525	15,109,443
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	150,708,487	157,015,273	164,034,810	172,422,674	177,194,660	180,647,051

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	103,855	96,908	114,460	134,347	111,986	100,277
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	92,494	84,240	94,473	97,300	86,858	92,383
Empire State Development Corporation	738,836	1,107,501	1,684,574	1,935,046	1,716,707	1,774,661
Energy Research and Development Authority	15,191	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	361,476	362,898	361,044	361,581	368,179	381,291
Olympic Regional Development Authority	10,611	10,236	26,686	19,186	5,886	5,886
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Public Service Department	71,087	78,938	79,500	80,035	80,765	83,096
Regional Economic Development Program	2,787	4,156	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Functional Total	1,421,655	1,775,113	2,462,809	2,712,947	2,464,564	2,499,119
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,350	4,547	4,763	4,763	4,763	4,921
Environmental Conservation, Department of	878,293	1,026,898	1,201,969	1,272,457	1,248,795	1,247,427
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	344,507	353,753	333,192	335,182	338,221	329,715
Functional Total	1,230,602	1,385,198	1,539,924	1,612,402	1,591,779	1,582,063
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	292,446	308,315	323,691	331,624	333,449	340,821
Thruway Authority, New York State	17,948	0	0	0	0	0
Transportation, Department of	9,124,697	9,950,606	10,111,245	10,454,812	10,269,335	10,204,950
Functional Total	9,435,091	10,508,921	11,405,792	11,036,436	10,952,784	10,545,771
HEALTH						
Aging, Office for the	229,479	225,544	228,559	231,329	238,975	246,219
Health, Department of	55,632,709	60,565,508	64,162,713	67,174,143	69,324,957	70,453,567
<i>Medical Assistance</i>	48,094,607	51,178,257	54,163,197	55,478,072	57,308,778	58,265,413
<i>Essential Plan</i>	1,539,298	3,569,893	3,708,740	4,562,813	4,950,689	5,423,913
<i>Medicaid Administration</i>	1,666,498	1,518,498	1,713,394	1,740,723	1,803,087	1,800,309
<i>Public Health</i>	4,332,306	4,298,860	4,577,382	5,392,535	5,262,403	4,963,932
Medicaid Inspector General, Office of the	51,999	50,994	48,627	48,043	48,406	50,445
Functional Total	55,914,187	60,842,046	64,439,899	67,453,515	69,612,338	70,750,231
SOCIAL WELFARE						
Children and Family Services, Office of	3,015,520	2,941,351	2,877,513	2,984,822	3,207,788	3,348,406
<i>OCFS</i>	2,926,598	2,859,104	2,791,141	2,884,266	3,102,989	3,234,532
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	217,494	256,695	415,051	625,266	641,652	650,557
Human Rights, Division of	14,639	14,890	14,289	14,343	14,343	15,257
Labor, Department of	546,390	534,361	566,009	549,929	550,739	568,320
National and Community Service	15,100	17,282	16,253	16,564	16,571	16,904
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	5,289,204	4,722,939	5,146,328	5,175,360	5,192,491	5,199,784
<i>Welfare Assistance</i>	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
<i>All Other</i>	1,303,452	1,292,693	1,310,018	1,309,361	1,324,492	1,331,785
Functional Total	9,098,347	8,487,518	9,068,443	9,411,284	9,650,584	9,814,228
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	594,596	604,265	642,925	645,519	665,405	682,493
<i>OASAS</i>	507,773	514,925	559,971	560,854	579,429	594,315
<i>OASAS - Other</i>	86,823	89,340	82,954	84,665	85,976	88,178
Developmental Disabilities Planning Council	3,386	3,892	4,200	4,200	4,200	4,200
Justice Center	40,205	41,625	42,447	42,183	42,468	44,482
Mental Health, Office of	3,359,196	3,379,732	3,449,400	3,622,588	3,744,983	3,811,043
<i>OMH</i>	1,560,431	1,684,533	1,757,203	1,898,738	1,978,270	2,010,694
<i>OMH - Other</i>	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,223,358	2,974,349	3,008,865	3,267,094	3,477,106	3,670,436
<i>OPWDD</i>	370,536	455,861	453,651	492,520	547,565	584,831
<i>OPWDD - Other</i>	2,852,822	2,518,488	2,555,214	2,774,574	2,929,541	3,085,605
Functional Total	7,220,960	7,004,090	7,147,837	7,581,584	7,934,162	8,212,654
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,982,445	2,971,422	3,004,183	2,997,254	2,982,114	2,983,834
Criminal Justice Services, Division of	224,078	215,234	222,485	220,373	220,373	221,427
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	1,974,600	1,432,507	1,124,869	1,015,107	875,388	818,282
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	102,534	130,428	122,523	92,833	82,619	81,641
State Police, Division of	733,485	788,957	803,041	749,697	745,547	769,703
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	64,276	73,166	86,737	90,237	90,237	90,405
Functional Total	6,127,713	5,713,619	5,502,059	5,369,528	5,224,463	5,218,430

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
HIGHER EDUCATION						
City University of New York	1,565,917	1,564,711	1,577,587	1,627,174	1,653,361	1,681,034
Higher Education - Miscellaneous	259	529	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,084,054	1,020,224	962,172	1,213,850	1,252,724	1,277,875
State University of New York	8,042,846	7,985,264	8,067,853	8,439,186	8,630,670	8,819,588
Functional Total	10,693,212	10,570,728	10,613,053	11,293,151	11,549,696	11,793,938
EDUCATION						
Arts, Council on the	42,178	43,884	46,113	45,953	45,953	46,049
Education, Department of	32,811,466	33,672,197	35,142,867	36,164,635	37,254,379	38,617,521
<i>School Aid</i>	25,501,461	27,250,623	29,060,857	30,033,290	31,115,792	32,344,458
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	2,201,098	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
<i>All Other</i>	1,774,207	1,374,684	1,433,823	1,469,106	1,420,521	1,445,450
Functional Total	32,853,644	33,716,081	35,188,980	36,210,588	37,300,332	38,663,570
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,424	13,379	13,293	13,419	13,529	14,013
Deferred Compensation Board	524	613	846	846	853	854
Elections, State Board of	12,447	10,289	17,982	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	251,588	253,064	226,973	245,033	244,803	247,220
General Services, Office of	268,221	262,189	322,012	377,621	309,392	259,273
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,056	2,062	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	128,351	133,681	141,661	129,377	129,681	129,584
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	354,936	359,123	359,860	359,535	359,360	370,887
Technology, Office for	602,366	635,173	682,336	589,447	578,333	594,695
Veterans' Affairs, Division of	13,612	15,067	17,137	15,481	15,496	15,789
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	194,970	193,275	208,016	211,792	213,595	208,376
Functional Total	1,912,281	1,953,163	2,072,184	2,032,849	1,955,735	1,933,922
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	185,005	187,048	181,070	181,253	187,628
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,764,517	2,828,689	2,953,997	3,010,806	3,003,805	3,060,806
Law, Department of	225,402	226,651	241,984	236,944	239,953	249,021
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	3,393,902	3,481,401	3,623,201	3,661,807	3,657,998	3,731,232
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Long-Term Debt Service	5,635,102	5,552,429	5,328,436	6,505,658	7,170,063	7,435,202
Miscellaneous	(406,371)	(167,516)	(1,085,785)	(133,005)	325,190	505,504
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Functional Total	10,635,922	10,816,959	10,209,281	13,251,883	14,505,525	15,109,443
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	150,708,487	157,015,270	164,034,810	172,422,674	177,194,660	180,647,051

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,761	28,907	28,907	24,907
Economic Development Capital	6,614	1,844	12	0	0	0
Economic Development, Department of	71,410	62,448	66,375	67,034	67,034	67,034
Empire State Development Corporation	705,116	1,031,640	1,176,896	1,386,268	1,244,029	1,239,733
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	60,888	59,430	59,412	59,406
Olympic Regional Development Authority	0	0	10,000	0	0	0
Power Authority, New York	0	1,916	0	0	0	0
Public Service Department	0	0	155	155	155	155
Regional Economic Development Program	2,787	4,156	0	0	0	0
Strategic Investment Program	1,427	683	0	0	0	0
Functional Total	897,161	1,201,370	1,346,087	1,541,794	1,399,537	1,391,235
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	312,669	327,482	195,966	190,618	190,618	190,618
Parks, Recreation and Historic Preservation, Office of	13,682	13,281	8,510	7,470	7,470	7,470
Functional Total	326,351	340,763	204,476	198,088	198,088	198,088
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	14,681	15,199	19,600	18,000	18,000	18,000
Thruway Authority, New York State	1,379	0	0	0	0	0
Transportation, Department of	5,680,162	6,331,110	6,238,405	6,196,034	6,279,523	6,332,037
Functional Total	5,696,222	6,596,309	7,228,861	6,464,034	6,647,523	6,350,037
HEALTH						
Aging, Office for the	217,793	213,003	216,602	220,297	226,738	233,797
Health, Department of	54,181,665	59,029,630	62,477,016	65,366,304	67,417,365	68,591,174
<i>Medical Assistance</i>	48,094,607	51,178,257	54,163,197	55,478,072	57,308,778	58,265,413
<i>Essential Plan</i>	1,525,938	3,526,056	3,612,422	4,460,019	4,843,219	5,316,303
<i>Medicaid Administration</i>	1,005,222	843,305	923,742	822,344	790,947	790,947
<i>Public Health</i>	3,555,898	3,482,012	3,777,655	4,605,869	4,474,421	4,218,511
Functional Total	54,399,458	59,242,633	62,693,618	65,586,601	67,644,103	68,824,971
SOCIAL WELFARE						
Children and Family Services, Office of	2,632,776	2,577,239	2,491,551	2,548,901	2,684,770	2,754,794
<i>OCFS</i>	2,543,854	2,494,992	2,405,179	2,448,345	2,579,971	2,640,920
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	139,769	187,653	334,143	548,307	564,693	569,370
Labor, Department of	168,509	150,118	167,312	152,042	152,042	152,042
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	4,959,901	4,393,371	4,822,784	4,849,245	4,860,045	4,862,845
<i>Welfare Assistance</i>	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
<i>All Other</i>	974,149	963,125	986,474	983,246	992,046	994,846
Functional Total	7,901,097	7,308,648	7,816,140	8,098,845	8,261,900	8,339,401
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	475,335	479,383	520,773	520,849	538,286	551,516
<i>OASAS</i>	454,010	458,058	499,448	499,524	516,961	530,191
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	544	679	649	649	649	649
Mental Health, Office of	1,231,809	1,272,366	1,320,731	1,498,459	1,578,720	1,622,627
<i>OMH</i>	951,573	1,001,866	1,051,907	1,198,645	1,267,703	1,300,127
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	1,198,724	969,589	959,752	1,220,453	1,391,754	1,539,240
<i>OPWDD</i>	334,196	385,635	378,863	417,410	472,006	509,272
<i>OPWDD - Other</i>	864,528	583,954	580,889	803,043	919,748	1,029,968
Functional Total	2,906,412	2,722,017	2,801,905	3,240,410	3,509,409	3,714,032
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	180,062	171,426	174,458	172,346	172,346	172,346
Homeland Security and Emergency Services, Division of	1,837,898	1,353,983	1,027,917	944,810	813,544	755,313
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Military and Naval Affairs, Division of	805	786	820	820	820	820
State Police, Division of	0	91	0	0	0	0
Victim Services, Office of	58,114	65,814	78,966	82,466	82,466	82,466
Functional Total	2,139,198	1,657,060	1,383,123	1,366,611	1,259,438	1,225,301
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Facilities Capital Matching Grants Program	136	0	0	0	0	0
Higher Education Services Corporation, New York State	1,025,184	957,549	897,528	1,149,206	1,188,080	1,213,231
State University of New York	514,892	491,891	492,021	500,063	499,730	499,730
Functional Total	2,969,674	2,873,569	2,799,178	3,134,558	3,197,077	3,247,652

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Arts, Council on the	38,691	39,959	41,693	41,533	41,533	41,533
Education, Department of	<u>32,394,087</u>	<u>33,276,815</u>	<u>34,743,875</u>	<u>35,745,260</u>	<u>36,834,030</u>	<u>38,196,413</u>
<i>School Aid</i>	25,501,153	27,250,623	29,060,857	30,033,290	31,115,792	32,344,458
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	2,178,960	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
<i>All Other</i>	<u>1,379,274</u>	<u>979,302</u>	<u>1,034,831</u>	<u>1,049,731</u>	<u>1,000,172</u>	<u>1,024,342</u>
Functional Total	<u>32,432,778</u>	<u>33,316,774</u>	<u>34,785,568</u>	<u>35,786,793</u>	<u>36,875,563</u>	<u>38,237,946</u>
GENERAL GOVERNMENT						
Elections, State Board of	586	647	300	0	0	0
Gaming Commission, New York State	91,881	101,820	98,670	131,730	131,500	131,500
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	72,431	71,828	73,341	62,836	62,836	62,836
Taxation and Finance, Department of	914	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	<u>174,242</u>	<u>184,243</u>	<u>185,961</u>	<u>206,464</u>	<u>206,334</u>	<u>206,334</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>114,655</u>	<u>101,099</u>	<u>108,497</u>	<u>123,503</u>	<u>123,502</u>	<u>123,503</u>
Functional Total	<u>146,680</u>	<u>133,124</u>	<u>140,521</u>	<u>155,527</u>	<u>155,526</u>	<u>155,527</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	<u>217</u>	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Functional Total	<u>770,971</u>	<u>760,326</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
Miscellaneous	(479,776)	(230,963)	(568,953)	(621,608)	(449,338)	(407,623)
Special Infrastructure Account	<u>33,159</u>	<u>230,875</u>	<u>40,000</u>	<u>40,000</u>	<u>30,000</u>	<u>30,000</u>
Functional Total	<u>(446,617)</u>	<u>(88)</u>	<u>(528,953)</u>	<u>(581,608)</u>	<u>(419,338)</u>	<u>(377,623)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>110,313,627</u>	<u>116,336,748</u>	<u>121,617,833</u>	<u>125,992,817</u>	<u>129,729,860</u>	<u>132,305,351</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	68,227	56,825	49,649	65,343	65,317	66,428
Alcoholic Beverage Control, Division of	12,501	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	21,056	21,775	19,796	19,796	19,796	19,796
Energy Research and Development Authority	1,431	0	0	0	0	0
Financial Services, Department of	203,339	209,543	206,743	206,790	210,728	216,873
Olympic Regional Development Authority	3,091	2,736	2,686	5,886	5,886	5,886
Public Service Department	51,548	51,775	50,800	50,800	50,800	52,411
Functional Total	361,193	353,781	342,357	361,298	365,271	374,454
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,350	4,547	4,763	4,763	4,763	4,921
Environmental Conservation, Department of	280,475	269,816	256,020	256,119	256,822	264,404
Parks, Recreation and Historic Preservation, Office of	184,894	183,671	170,821	170,798	172,109	178,478
Functional Total	469,719	458,034	431,604	431,680	433,694	447,803
TRANSPORTATION						
Motor Vehicles, Department of	62,922	54,854	58,113	58,113	58,113	59,060
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	23,687	31,448	35,100	34,911	34,911	35,425
Functional Total	103,178	86,302	93,213	93,024	93,024	94,485
HEALTH						
Aging, Office for the	11,686	12,541	11,957	11,032	12,237	12,422
Health, Department of	1,324,407	1,426,129	1,518,810	1,637,601	1,734,961	1,736,683
<i>Essential Plan</i>	13,360	43,837	96,318	102,794	107,470	107,610
<i>Medicaid Administration</i>	658,002	673,671	785,840	914,355	1,007,887	1,004,704
<i>Public Health</i>	653,045	708,621	636,652	620,452	619,604	624,369
Medicaid Inspector General, Office of the	43,045	41,851	39,019	38,175	38,175	39,438
Functional Total	1,379,138	1,480,521	1,569,786	1,686,808	1,785,373	1,788,543
SOCIAL WELFARE						
Children and Family Services, Office of	344,820	328,252	338,992	384,749	471,255	540,631
<i>OCFS</i>	344,820	328,252	338,992	384,749	471,255	540,631
Housing and Community Renewal, Division of	58,235	59,782	59,426	59,550	59,550	63,778
Human Rights, Division of	14,639	14,890	14,289	14,343	14,343	15,257
Labor, Department of	271,348	273,212	282,017	282,017	282,017	299,598
National and Community Service	14,958	17,015	15,679	15,985	15,985	16,312
Temporary and Disability Assistance, Office of	289,826	286,879	278,246	280,817	287,148	291,641
<i>All Other</i>	289,826	286,879	278,246	280,817	287,148	291,641
Functional Total	993,826	980,030	988,649	1,037,461	1,130,298	1,227,217
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	87,218	90,090	80,861	82,086	83,256	85,217
<i>OASAS</i>	40,645	41,998	38,362	39,112	39,680	40,490
<i>OASAS - Other</i>	46,573	48,092	42,499	42,974	43,576	44,727
Developmental Disabilities Planning Council	2,932	3,661	3,456	3,415	3,415	3,415
Justice Center	38,996	40,096	40,842	40,499	40,766	42,780
Mental Health, Office of	1,418,971	1,345,465	1,332,725	1,320,503	1,340,212	1,362,365
<i>OMH</i>	356,185	350,032	349,553	353,910	358,315	358,315
<i>OMH - Other</i>	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	1,367,905	1,298,507	1,300,733	1,295,225	1,309,828	1,328,285
<i>OPWDD</i>	296	1,173	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,916,241	2,778,046	2,758,617	2,741,728	2,777,477	2,822,062
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,717,010	2,662,598	2,634,217	2,636,973	2,644,128	2,649,758
Criminal Justice Services, Division of	43,716	43,444	47,644	47,644	47,644	48,698
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	120,000	62,691	43,928	48,358	49,058	50,183
Indigent Legal Services, Office of	1,449	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	57,893	53,525	48,576	49,114	49,663	50,523
State Police, Division of	710,507	737,575	723,853	698,423	697,703	723,442
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	4,627	5,782	6,121	6,121	6,121	6,289
Functional Total	3,641,381	3,606,050	3,546,079	3,528,432	3,536,181	3,571,616
HIGHER EDUCATION						
City University of New York	95,103	96,394	124,666	98,093	99,582	101,099
Higher Education - Miscellaneous	198	386	291	291	291	291
Higher Education Services Corporation, New York State	50,252	54,150	55,685	55,685	55,685	55,685
State University of New York	6,184,867	6,313,021	6,273,557	6,541,886	6,706,709	6,883,450
Functional Total	6,330,420	6,463,951	6,454,199	6,695,955	6,862,267	7,040,525
EDUCATION						
Arts, Council on the	3,487	3,925	4,420	4,420	4,420	4,516

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Education, Department of	333,434	310,252	296,275	294,248	292,086	299,084
<i>School Aid</i>	296	0	0	0	0	0
<i>Special Education Categorical Programs</i>	17,627	0	0	0	0	0
<i>All Other</i>	315,511	310,252	296,275	294,248	292,086	299,084
Functional Total	336,921	314,177	300,695	298,668	296,506	303,600
GENERAL GOVERNMENT						
Budget, Division of the	23,396	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,277	13,295	13,205	13,331	13,441	13,925
Deferred Compensation Board	377	418	641	641	648	649
Elections, State Board of	11,861	9,593	17,682	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	146,812	138,467	114,876	99,876	99,876	101,403
General Services, Office of	163,847	169,251	148,857	147,162	143,062	143,627
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,481	1,534	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	46,485	51,058	49,900	49,900	49,900	51,008
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	335,963	333,026	330,844	330,352	330,344	340,751
Technology, Office for	506,821	548,953	542,620	559,582	559,582	569,995
Veterans' Affairs, Division of	5,553	6,509	7,519	7,604	7,604	7,883
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	147,659	140,604	141,607	143,390	145,193	150,513
Functional Total	1,449,091	1,487,180	1,446,877	1,439,194	1,437,301	1,469,883
ELECTED OFFICIALS						
Audit and Control, Department of	140,681	149,275	149,094	146,661	146,844	153,187
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,964,296	2,024,994	2,072,800	2,098,200	2,100,700	2,157,700
Law, Department of	195,131	195,421	203,433	202,510	205,455	213,788
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,529,891	2,610,746	2,665,499	2,680,358	2,685,986	2,758,452
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,644	24,815	101,825	196,841	311,857	426,940
Functional Total	61,260	63,462	138,677	247,230	362,246	477,329
TOTAL STATE OPERATIONS SPENDING	20,572,259	20,682,387	20,736,252	21,241,836	21,765,624	22,375,969

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,116	34,188	32,596	32,651	32,656	33,771
Alcoholic Beverage Control, Division of	7,622	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,929	12,929	12,929	12,929
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548	2,548
Public Service Department	42,792	42,918	43,088	43,088	43,088	44,699
Functional Total	247,121	252,070	251,191	251,291	252,092	261,083
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	207,472	202,238	197,968	198,319	198,436	206,069
Parks, Recreation and Historic Preservation, Office of	137,415	138,056	124,970	125,947	127,258	133,623
Functional Total	348,923	344,406	327,046	328,374	329,802	343,958
TRANSPORTATION						
Motor Vehicles, Department of	44,887	37,012	40,214	40,214	40,214	41,128
Transportation, Department of	10,209	12,251	13,760	13,603	13,603	14,099
Functional Total	55,096	49,263	53,974	53,817	53,817	55,227
HEALTH						
Aging, Office for the	7,025	7,196	7,515	7,646	7,782	7,967
Health, Department of	346,448	360,620	350,359	360,809	367,973	381,512
<i>Essential Plan</i>	391	1,367	3,668	3,501	3,606	3,927
<i>Medicaid Administration</i>	61,581	71,191	81,108	93,205	100,354	106,896
<i>Public Health</i>	284,476	288,062	265,583	264,103	264,013	270,689
Medicaid Inspector General, Office of the	33,122	32,737	30,910	30,066	30,066	31,287
Functional Total	386,595	400,553	388,784	398,521	405,821	420,766
SOCIAL WELFARE						
Children and Family Services, Office of	190,637	194,832	188,665	220,665	289,870	344,830
<i>OCFS</i>	190,637	194,832	188,665	220,665	289,870	344,830
Housing and Community Renewal, Division of	45,570	49,632	47,923	47,998	47,998	51,350
Human Rights, Division of	11,899	12,205	12,567	12,596	12,596	13,475
Labor, Department of	202,854	204,836	192,484	192,484	192,484	206,660
National and Community Service	556	465	701	708	708	730
Temporary and Disability Assistance, Office of	142,685	154,062	145,924	146,740	151,899	155,268
<i>All Other</i>	142,685	154,062	145,924	146,740	151,899	155,268
Functional Total	594,201	616,032	588,264	621,191	695,555	772,313
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	59,224	59,664	60,017	60,675	61,283	62,784
<i>OASAS</i>	24,709	24,808	28,396	28,899	29,193	29,823
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Developmental Disabilities Planning Council	838	976	1,266	1,266	1,266	1,266
Justice Center	26,675	29,334	31,163	31,163	31,163	32,563
Mental Health, Office of	1,124,513	1,073,377	1,054,581	1,038,144	1,052,031	1,068,218
<i>OMH</i>	290,796	289,320	299,909	302,901	305,937	305,937
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,363,040	2,255,353	2,237,631	2,216,030	2,240,417	2,273,022
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,190,226	2,134,801	2,067,980	2,070,736	2,077,891	2,083,521
Criminal Justice Services, Division of	29,647	31,283	30,184	30,184	30,184	31,238
Disaster Assistance	(1,768)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	27,041	26,736	24,873	28,652	29,347	30,467
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	35,517	29,020	26,295	26,535	26,780	27,330
State Police, Division of	629,333	655,292	644,489	619,039	618,289	643,969
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Victim Services, Office of	4,074	4,638	4,834	4,834	4,834	5,002
Functional Total	2,931,765	2,900,908	2,819,299	2,800,739	2,808,119	2,843,148
HIGHER EDUCATION						
City University of New York	46,870	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,256	14,530	14,150	14,150	14,150	14,150
State University of New York	3,637,321	3,775,119	3,700,801	3,864,335	3,972,036	4,129,771
Functional Total	3,699,580	3,838,907	3,791,145	3,926,279	4,034,612	4,192,989
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	170,884	174,795	172,575	172,576	171,970	178,430
<i>School Aid</i>	82	0	0	0	0	0
<i>Special Education Categorical Programs</i>	9,167	0	0	0	0	0
<i>All Other</i>	161,635	174,795	172,575	172,576	171,970	178,430
Functional Total	173,137	177,286	175,073	175,074	174,468	181,024

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
GENERAL GOVERNMENT						
Budget, Division of the	20,436	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	11,662	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	304	368	410	410	413	414
Elections, State Board of	5,730	5,863	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	31,549	32,822	38,757	38,757	38,757	40,248
General Services, Office of	71,454	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,368	1,368	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	31,060	32,134	32,068	32,068	32,068	33,155
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	292,613	284,526	269,907	269,907	269,907	280,269
Technology, Office for	284,010	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	5,117	5,512	6,675	6,742	6,742	7,004
Welfare Inspector General, Office of	511	565	617	621	626	654
Workers' Compensation Board	78,723	82,632	80,878	81,493	82,104	86,209
Functional Total	859,036	880,185	826,558	827,620	828,546	862,521
ELECTED OFFICIALS						
Audit and Control, Department of	111,792	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,510,827	1,570,097	1,596,800	1,622,200	1,624,700	1,681,700
Law, Department of	136,608	138,838	140,969	139,078	140,779	147,851
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,937,676	2,006,632	2,035,859	2,052,854	2,057,133	2,127,677
ALL OTHER CATEGORIES						
Miscellaneous	1,982	1,939	57,053	152,058	267,063	382,144
Functional Total	1,982	1,939	57,053	152,058	267,063	382,144
TOTAL PERSONAL SERVICE SPENDING	13,598,152	13,723,534	13,551,877	13,803,848	14,147,445	14,715,872

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	36,111	22,637	17,053	32,692	32,661	32,657
Alcoholic Beverage Control, Division of	4,879	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	9,082	8,623	6,867	6,867	6,867	6,867
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	54,339	58,021	54,860	54,862	58,065	58,261
Olympic Regional Development Authority	498	188	138	3,338	3,338	3,338
Public Service Department	8,756	8,857	7,712	7,712	7,712	7,712
Functional Total	114,072	101,711	91,166	110,007	113,179	113,371
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	314	435	655	655	655	655
Environmental Conservation, Department of	73,003	67,578	58,052	57,800	58,386	58,335
Parks, Recreation and Historic Preservation, Office of	47,479	45,615	45,851	44,851	44,851	44,855
Functional Total	120,796	113,628	104,558	103,306	103,892	103,845
TRANSPORTATION						
Motor Vehicles, Department of	18,035	17,842	17,899	17,899	17,899	17,932
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	13,478	19,197	21,340	21,308	21,308	21,326
Functional Total	48,082	37,039	39,239	39,207	39,207	39,258
HEALTH						
Aging, Office for the	4,661	5,345	4,442	3,386	4,455	4,455
Health, Department of	977,959	1,065,509	1,168,451	1,276,792	1,366,988	1,355,171
<i>Essential Plan</i>	12,969	42,470	92,650	99,293	103,864	103,683
<i>Medicaid Administration</i>	596,421	602,480	704,732	821,150	907,533	897,808
<i>Public Health</i>	368,569	420,559	371,069	356,349	355,591	353,680
Medicaid Inspector General, Office of the	9,923	9,114	8,109	8,109	8,109	8,151
Functional Total	992,543	1,079,968	1,181,002	1,288,287	1,379,552	1,367,777
SOCIAL WELFARE						
Children and Family Services, Office of	154,183	133,420	150,327	164,084	181,385	195,801
<i>OCFS</i>	154,183	133,420	150,327	164,084	181,385	195,801
Housing and Community Renewal, Division of	12,665	10,150	11,503	11,552	11,552	12,428
Human Rights, Division of	2,740	2,685	1,722	1,747	1,747	1,782
Labor, Department of	68,494	68,376	89,533	89,533	89,533	92,938
National and Community Service	14,402	16,550	14,978	15,277	15,277	15,582
Temporary and Disability Assistance, Office of	147,141	132,817	132,322	134,077	135,249	136,373
<i>All Other</i>	147,141	132,817	132,322	134,077	135,249	136,373
Functional Total	399,625	363,998	400,385	416,270	434,743	454,904
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	27,994	30,426	20,844	21,411	21,973	22,433
<i>OASAS</i>	15,936	17,190	9,966	10,213	10,487	10,667
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Developmental Disabilities Planning Council	2,094	2,685	2,190	2,149	2,149	2,149
Justice Center	12,321	10,762	9,679	9,336	9,603	10,217
Mental Health, Office of	294,458	272,088	278,144	282,359	288,181	294,147
<i>OMH</i>	65,389	60,712	49,644	51,009	52,378	52,378
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	216,115	206,505	210,129	210,443	215,154	220,094
<i>OPWDD</i>	296	1,173	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	553,201	522,693	520,986	525,698	537,060	549,040
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242
Correctional Services, Department of	526,784	527,797	566,237	566,237	566,237	566,237
Criminal Justice Services, Division of	14,069	12,161	17,460	17,460	17,460	17,460
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	92,959	35,955	19,055	19,706	19,711	19,716
Indigent Legal Services, Office of	238	493	780	780	780	780
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	22,376	24,505	22,281	22,579	22,883	23,193
State Police, Division of	81,174	82,283	79,364	79,384	79,414	79,473
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	553	1,144	1,287	1,287	1,287	1,287
Functional Total	709,616	705,142	726,780	727,693	728,062	728,468
HIGHER EDUCATION						
City University of New York	48,233	47,419	48,670	50,497	51,354	52,229
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	34,996	39,620	41,535	41,535	41,535	41,535
State University of New York	2,547,546	2,537,902	2,572,756	2,677,551	2,734,673	2,753,679
Functional Total	2,630,840	2,625,044	2,663,054	2,769,676	2,827,655	2,847,536
EDUCATION						
Arts, Council on the	1,234	1,434	1,922	1,922	1,922	1,922

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Education, Department of	162,550	135,457	123,700	121,672	120,116	120,654
<i>School Aid</i>	214	0	0	0	0	0
<i>Special Education Categorical Programs</i>	8,460	0	0	0	0	0
<i>All Other</i>	153,876	135,457	123,700	121,672	120,116	120,654
Functional Total	163,784	136,891	125,622	123,594	122,038	122,576
GENERAL GOVERNMENT						
Budget, Division of the	2,960	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,615	1,083	821	834	850	850
Deferred Compensation Board	73	50	231	231	235	235
Elections, State Board of	6,131	3,730	11,807	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	115,263	105,645	76,119	61,119	61,119	61,155
General Services, Office of	92,393	91,671	91,906	90,211	86,111	83,251
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	113	166	173	173	173	212
Public Employment Relations Board	226	225	237	241	246	246
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	15,425	18,924	17,832	17,832	17,832	17,853
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	43,350	48,500	60,937	60,445	60,437	60,482
Technology, Office for	222,811	250,410	271,908	288,870	288,870	288,870
Veterans' Affairs, Division of	436	997	844	862	862	879
Welfare Inspector General, Office of	58	27	55	65	75	77
Workers' Compensation Board	68,936	57,972	60,729	61,897	63,089	64,304
Functional Total	590,055	606,995	620,319	611,574	608,755	607,362
ELECTED OFFICIALS						
Audit and Control, Department of	28,889	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	453,469	454,897	476,000	476,000	476,000	476,000
Law, Department of	58,523	56,583	62,464	63,432	64,676	65,937
Legislature	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	592,215	604,114	629,640	627,504	628,853	630,775
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,662	22,876	44,772	44,783	44,794	44,796
Functional Total	59,278	61,523	81,624	95,172	95,183	95,185
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	6,974,107	6,958,853	7,184,375	7,437,988	7,618,179	7,660,097

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,573	3,766	3,835	3,932	4,047	4,227
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,451	86,652	93,413	95,361	98,039	105,012
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	19,539	27,163	28,545	29,080	29,810	30,530
Functional Total	105,894	117,702	125,821	128,401	131,924	139,797
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	57,508	62,106	65,788	67,040	63,921	63,664
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,337
Functional Total	60,371	65,078	68,932	70,197	67,086	67,001
TRANSPORTATION						
Motor Vehicles, Department of	24,964	21,158	22,942	23,020	23,020	23,577
Transportation, Department of	5,745	6,299	9,341	8,648	8,648	8,965
Functional Total	30,709	27,457	32,283	31,668	31,668	32,542
HEALTH						
Health, Department of	65,489	68,584	75,169	75,717	78,081	83,130
<i>Medicaid Administration</i>	3,274	1,522	3,812	4,024	4,253	4,658
<i>Public Health</i>	62,215	67,062	71,357	71,693	73,828	78,472
Medicaid Inspector General, Office of the	8,954	9,143	9,608	9,868	10,231	11,007
Functional Total	74,443	77,727	84,777	85,585	88,312	94,137
SOCIAL WELFARE						
Children and Family Services, Office of	16,738	11,468	12,034	12,477	13,025	14,064
<i>OCFS</i>	16,738	11,468	12,034	12,477	13,025	14,064
Housing and Community Renewal, Division of	19,490	9,260	18,482	14,409	14,409	14,409
Labor, Department of	106,533	111,031	116,680	115,870	116,680	116,680
National and Community Service	0	0	224	229	236	242
Temporary and Disability Assistance, Office of	39,101	42,343	44,498	44,498	44,498	44,498
<i>All Other</i>	39,101	42,343	44,498	44,498	44,498	44,498
Functional Total	181,862	174,102	191,918	187,483	188,848	189,893
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Developmental Disabilities Planning Council	454	231	744	785	785	785
Justice Center	665	850	956	1,035	1,053	1,053
Mental Health, Office of	605,943	621,931	616,982	643,376	665,106	665,106
<i>OMH</i>	150,200	192,665	176,781	185,933	191,307	191,307
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,259,790	1,293,938	1,329,746	1,360,467	1,407,253	1,436,537
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	996	1,092	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	300	364	383	383	383	383
Homeland Security and Emergency Services, Division of	10,022	7,388	7,763	7,786	7,786	7,786
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	5,721	6,078	6,387	6,507	6,629	6,754
State Police, Division of	3,857	20,056	4,321	4,400	4,510	4,853
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	23,030	37,515	22,667	23,429	23,661	24,129
HIGHER EDUCATION						
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,618	8,525	8,959	8,959	8,959	8,959
State University of New York	411,739	420,308	461,938	487,477	513,098	533,098
Functional Total	428,310	436,637	478,939	504,478	530,099	550,099
EDUCATION						
Education, Department of	76,525	79,985	85,437	86,840	89,263	95,317
<i>School Aid</i>	12	0	0	0	0	0
<i>Special Education Categorical Programs</i>	4,511	0	0	0	0	0
<i>All Other</i>	72,002	79,985	85,437	86,840	89,263	95,317
Functional Total	76,525	79,985	85,437	86,840	89,263	95,317
GENERAL GOVERNMENT						
Budget, Division of the	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	88	88	88	88
Deferred Compensation Board	147	195	205	205	205	205
Elections, State Board of	0	49	0	0	0	0
Gaming Commission, New York State	12,895	12,777	13,427	13,427	13,427	14,317
General Services, Office of	2,589	407	427	452	452	452
State, Department of	9,435	10,795	14,420	14,641	14,945	15,740

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Technology, Office for	234	0	0	0	0	0
Veterans' Affairs, Division of	204	218	229	240	255	269
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	88,735	99,237	108,152	110,569	110,721	118,061
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	685,566	702,596	764,700	782,103	779,603	779,603
Law, Department of	28,822	25,029	28,551	32,434	33,211	35,233
Functional Total	715,882	729,230	795,281	816,922	815,199	817,253
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Miscellaneous	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	4,693,638	4,788,355	5,050,143	5,568,938	6,035,299	6,594,866
TOTAL GENERAL STATE CHARGES SPENDING	7,739,189	7,926,963	8,374,096	8,974,977	9,519,333	10,159,632

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,776	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	0	0	29,264	22,587	21,600	20,862
Economic Development, Department of	28	17	8,274	10,442	0	5,525
Empire State Development Corporation	33,720	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	11,383	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	7,500	14,000	13,300	0	0
Power Authority, New York	0	0	28,328	18,000	37,500	2,500
Regional Economic Development Program	0	0	512	338	337	337
Strategic Investment Program	0	0	6,000	7,002	6,650	11,046
Functional Total	57,407	102,260	648,544	681,454	567,832	593,633
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	227,641	367,494	684,195	758,680	737,434	728,741
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	143,068	153,829	150,717	153,757	155,477	140,430
Functional Total	374,161	521,323	834,912	912,437	892,911	869,171
TRANSPORTATION						
Motor Vehicles, Department of	189,879	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	3,415,103	3,581,749	3,828,399	4,215,219	3,946,253	3,828,523
Functional Total	3,604,982	3,798,853	4,051,435	4,447,710	4,180,569	4,068,707
HEALTH						
Health, Department of	61,148	41,165	91,718	94,521	94,550	42,580
<i>Public Health</i>	61,148	41,165	91,718	94,521	94,550	42,580
Functional Total	61,148	41,165	91,718	94,521	94,550	42,580
SOCIAL WELFARE						
Children and Family Services, Office of	21,186	24,392	34,936	38,695	38,738	38,917
<i>OCFS</i>	21,186	24,392	34,936	38,695	38,738	38,917
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	376	346	800	800	800	800
<i>All Other</i>	376	346	800	800	800	800
Functional Total	21,562	24,738	71,736	87,495	69,538	57,717
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	1,066	5,000	4,800	4,700	4,700
<i>OASAS</i>	0	1,066	5,000	4,800	4,700	4,700
Mental Health, Office of	102,473	139,970	178,962	160,250	160,945	160,945
<i>OMH</i>	102,473	139,970	178,962	160,250	160,945	160,945
People with Developmental Disabilities, Office for	36,044	69,053	73,607	73,929	74,378	74,378
<i>OPWDD</i>	36,044	69,053	73,607	73,929	74,378	74,378
Functional Total	138,517	210,089	257,569	238,979	240,023	240,023
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	260,188	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	6,680	8,445	45,261	14,153	5,000	5,000
Military and Naval Affairs, Division of	38,115	70,039	66,740	36,392	25,507	23,544
State Police, Division of	19,121	31,235	74,867	46,874	43,334	41,408
Functional Total	324,104	412,994	550,190	451,056	405,183	397,384
HIGHER EDUCATION						
City University of New York	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	0	5,000	12,500	12,500	15,000
State University of New York	931,348	760,044	840,337	909,760	911,133	903,310
Functional Total	964,808	796,571	880,737	958,160	960,253	955,662
EDUCATION						
Education, Department of	7,420	5,145	17,280	38,287	39,000	26,707
<i>All Other</i>	7,420	5,145	17,280	38,287	39,000	26,707
Functional Total	7,420	5,145	17,280	38,287	39,000	26,707
GENERAL GOVERNMENT						
General Services, Office of	101,785	92,531	172,478	229,757	165,628	114,944
State, Department of	0	0	4,000	2,000	2,000	0
Technology, Office for	95,311	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,117	3,752	15,000	15,000	15,000	0
Functional Total	200,213	182,503	331,194	276,622	201,379	139,644
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,100	3,900	0	0	0
Judiciary	0	0	8,000	7,000	0	0
Law, Department of	1,449	6,201	10,000	2,000	1,287	0
Functional Total	1,449	8,301	21,900	9,000	1,287	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Miscellaneous	36,722	33,220	(624,241)	286,131	457,040	480,538
Special Infrastructure Account	692,433	418,228	877,071	1,270,923	950,604	549,520
Functional Total	<u>729,155</u>	<u>451,448</u>	<u>257,830</u>	<u>1,562,054</u>	<u>1,407,644</u>	<u>1,030,058</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>6,484,926</u>	<u>6,555,390</u>	<u>8,015,045</u>	<u>9,757,775</u>	<u>9,060,169</u>	<u>8,421,286</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	88,317	82,570	99,212	119,170	96,779	85,017
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	84,186	79,798	86,173	89,000	78,558	84,083
Empire State Development Corporation	738,836	1,107,501	1,684,574	1,935,046	1,716,707	1,774,661
Energy Research and Development Authority	15,191	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	360,052	362,563	359,644	361,581	368,179	381,291
Olympic Regional Development Authority	10,611	10,236	26,686	19,186	5,886	5,886
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Public Service Department	68,475	75,529	76,535	77,070	77,800	80,131
Regional Economic Development Program	2,787	4,156	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Functional Total	1,393,773	1,752,589	2,434,896	2,686,505	2,438,092	2,472,594
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	663,309	754,553	974,372	1,044,840	1,021,178	1,018,302
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	330,250	338,130	326,854	328,844	331,883	323,307
Functional Total	1,001,308	1,097,218	1,305,639	1,378,097	1,357,474	1,346,180
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	273,641	287,137	297,423	305,356	307,181	314,312
Thruway Authority, New York State	17,948	0	0	0	0	0
Transportation, Department of	7,313,847	7,876,559	8,472,398	8,667,005	8,685,125	8,667,899
Functional Total	7,605,436	8,413,696	9,740,677	9,222,361	9,342,306	8,982,211
HEALTH						
Aging, Office for the	128,410	126,347	124,580	125,883	131,024	136,378
Health, Department of	19,884,667	20,529,647	21,693,311	23,215,537	24,187,319	24,960,299
<i>Medical Assistance</i>	16,868,571	17,505,933	18,466,071	19,017,694	20,029,612	20,788,581
<i>Essential Plan</i>	32,575	312,837	178,318	457,734	472,348	482,340
<i>Medicaid Administration</i>	836,542	728,644	780,301	821,701	822,140	838,053
<i>Public Health</i>	2,146,979	1,982,233	2,268,621	2,918,408	2,863,219	2,851,325
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	20,033,696	20,676,483	21,836,424	23,359,531	24,336,454	25,115,395
SOCIAL WELFARE						
Children and Family Services, Office of	2,022,575	1,991,212	1,934,262	2,039,571	2,262,019	2,399,387
<i>OCFS</i>	1,933,653	1,908,965	1,847,890	1,939,015	2,157,220	2,285,513
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	155,245	191,077	349,432	559,523	575,909	583,838
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	72,790	82,569	82,426	67,156	67,156	69,893
National and Community Service	458	471	687	690	690	699
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	1,406,546	1,412,347	1,505,500	1,531,961	1,549,092	1,556,385
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	284,187	282,156	295,766	292,538	307,669	314,962
Functional Total	3,667,877	3,688,058	3,915,228	4,253,822	4,491,787	4,635,792
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	464,041	475,557	521,228	523,746	543,553	560,641
<i>OASAS</i>	377,218	386,217	438,274	439,081	457,577	472,463
<i>OASAS - Other</i>	86,823	89,340	82,954	84,665	85,976	88,178
Justice Center	39,478	39,719	41,745	41,480	41,765	43,779
Mental Health, Office of	3,324,650	3,336,606	3,407,607	3,580,785	3,703,167	3,777,181
<i>OMH</i>	1,525,885	1,641,407	1,715,410	1,856,935	1,936,454	1,976,832
<i>OMH - Other</i>	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,223,079	2,973,239	2,999,365	3,257,594	3,467,606	3,660,936
<i>OPWDD</i>	370,257	454,751	444,151	483,020	538,065	575,331
<i>OPWDD - Other</i>	2,852,822	2,518,488	2,555,214	2,774,574	2,929,541	3,085,605
Functional Total	7,051,467	6,825,348	6,969,945	7,403,605	7,756,091	8,042,537
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,957,044	2,948,495	2,986,198	2,979,269	2,964,129	2,965,849
Criminal Justice Services, Division of	198,307	191,089	197,486	195,374	195,374	196,349
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	154,701	134,615	155,379	130,970	135,251	134,145
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	43,855	62,989	63,245	32,897	36,012	36,350
State Police, Division of	714,871	769,728	774,541	721,197	717,047	740,913
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	24,469	28,996	41,067	41,067	41,067	41,184
Functional Total	4,139,542	4,237,817	4,356,137	4,304,801	4,317,065	4,367,928

The transportation spending for FYs 2018 through 2021 have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
HIGHER EDUCATION						
City University of New York	1,558,283	1,562,530	1,569,953	1,619,540	1,645,727	1,673,400
Higher Education - Miscellaneous	259	529	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,077,526	1,014,320	955,538	1,207,216	1,246,090	1,271,241
State University of New York	7,724,191	7,674,938	7,755,813	8,127,146	8,318,630	8,507,548
Functional Total	10,360,395	10,252,317	10,286,745	10,966,843	11,223,388	11,467,630
EDUCATION						
Arts, Council on the	41,819	42,832	45,413	45,253	45,253	45,349
Education, Department of	28,905,525	29,908,939	31,467,476	32,422,756	33,458,501	34,760,758
<i>School Aid</i>	23,302,030	24,385,908	26,337,457	27,263,440	28,298,434	29,478,520
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	952,214	1,066,621	1,161,832	1,187,077	1,137,001	1,154,625
Functional Total	28,947,344	29,951,771	31,512,889	32,468,009	33,503,754	34,806,107
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,424	13,379	13,293	13,419	13,529	14,013
Deferred Compensation Board	524	613	846	846	853	854
Elections, State Board of	7,918	7,188	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	251,588	253,064	226,973	245,033	244,803	247,220
General Services, Office of	261,313	253,750	316,775	372,384	304,155	254,036
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,039	2,028	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	69,470	70,808	75,642	63,358	63,662	63,565
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	354,747	357,907	358,640	358,315	358,140	369,667
Technology, Office for	601,260	634,586	675,748	589,447	578,333	594,695
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	186,327	191,393	208,016	211,792	213,595	208,376
Functional Total	1,831,318	1,874,255	1,982,043	1,958,765	1,881,636	1,859,776
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	185,005	187,048	181,070	181,253	187,628
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,758,586	2,822,161	2,946,497	3,003,306	2,996,305	3,053,306
Law, Department of	186,868	191,102	203,308	196,641	198,651	205,560
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	3,349,437	3,439,324	3,577,025	3,614,004	3,609,196	3,680,271
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Long-Term Debt Service	5,635,102	5,552,429	5,328,436	6,505,658	7,170,063	7,435,202
Miscellaneous	37,280	76,129	(633,075)	347,360	793,128	973,442
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Functional Total	11,079,573	11,060,604	10,661,991	13,732,248	14,973,463	15,577,381
TOTAL STATE FUNDS SPENDING	101,232,137	104,029,913	109,340,987	116,143,291	120,025,406	123,146,252

The total State Funds spending for FYs 2018 through 2021 have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,761	28,907	28,907	24,907
Economic Development Capital	6,614	1,844	12	0	0	0
Economic Development, Department of	63,694	58,598	58,320	58,979	58,979	58,979
Empire State Development Corporation	705,116	1,031,640	1,176,896	1,386,268	1,244,029	1,239,733
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	59,488	59,430	59,412	59,406
Olympic Regional Development Authority	0	0	10,000	0	0	0
Power Authority, New York	0	1,916	0	0	0	0
Public Service Department	0	0	155	155	155	155
Regional Economic Development Program	2,787	4,156	0	0	0	0
Strategic Investment Program	1,427	683	0	0	0	0
Functional Total	889,445	1,197,520	1,336,632	1,533,739	1,391,482	1,383,180
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	154,566	169,993	40,966	35,618	35,618	35,618
Parks, Recreation and Historic Preservation, Office of	9,654	7,998	7,240	6,200	6,200	6,200
Functional Total	164,220	177,991	48,206	41,818	41,818	41,818
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	0	0	1,600	0	0	0
Thruway Authority, New York State	1,379	0	0	0	0	0
Transportation, Department of	5,250,252	5,637,160	5,723,497	5,681,126	5,764,615	5,817,129
Functional Total	5,251,631	5,887,160	6,695,953	5,931,126	6,114,615	5,817,129
HEALTH						
Aging, Office for the	127,134	125,116	123,348	124,651	129,792	135,103
Health, Department of	19,100,307	19,775,413	20,764,524	22,222,685	23,156,367	23,958,849
<i>Medical Assistance</i>	16,868,571	17,505,933	18,466,071	19,017,694	20,029,612	20,788,581
<i>Essential Plan</i>	19,215	269,000	82,000	354,940	364,878	374,730
<i>Medicaid Administration</i>	565,205	467,643	434,306	417,908	386,511	386,511
<i>Public Health</i>	1,647,316	1,532,837	1,782,147	2,432,143	2,375,366	2,409,027
Functional Total	19,227,441	19,900,529	20,887,872	22,347,336	23,286,159	24,093,952
SOCIAL WELFARE						
Children and Family Services, Office of	1,735,809	1,715,339	1,653,251	1,710,601	1,846,470	1,916,494
<i>OCFS</i>	1,646,887	1,633,092	1,566,879	1,610,045	1,741,671	1,802,620
<i>OCFS - Other</i>	88,922	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	88,939	135,329	285,709	499,873	516,259	520,936
Labor, Department of	12,207	15,403	15,420	150	150	150
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,259,021	1,274,253	1,379,208	1,405,669	1,416,469	1,419,269
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	136,662	144,062	169,474	166,246	175,046	177,846
Functional Total	3,096,118	3,140,591	3,333,938	3,616,643	3,779,698	3,857,199
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	351,774	358,360	406,283	406,359	423,796	437,026
<i>OASAS</i>	330,449	337,035	384,958	385,034	402,471	415,701
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	544	679	649	649	649	649
Mental Health, Office of	1,199,066	1,230,874	1,280,752	1,458,480	1,538,741	1,590,602
<i>OMH</i>	918,830	960,374	1,011,928	1,158,666	1,227,724	1,268,102
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	1,198,724	969,589	951,252	1,211,953	1,383,254	1,530,740
<i>OPWDD</i>	334,196	385,635	370,363	408,910	463,506	500,772
<i>OPWDD - Other</i>	864,528	583,954	580,889	803,043	919,748	1,029,968
Functional Total	2,750,108	2,559,502	2,638,936	3,077,441	3,346,440	3,559,017
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	162,227	155,863	158,658	156,546	156,546	156,546
Homeland Security and Emergency Services, Division of	115,883	99,474	78,793	81,039	93,773	91,542
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Military and Naval Affairs, Division of	805	786	820	820	820	820
Victim Services, Office of	19,401	23,748	35,466	35,466	35,466	35,466
Functional Total	360,635	344,831	374,699	440,040	476,867	498,730
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Facilities Capital Matching Grants Program	136	0	0	0	0	0
Higher Education Services Corporation, New York State	1,025,146	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	514,892	491,891	492,021	500,063	499,730	499,730
Functional Total	2,969,636	2,873,524	2,799,178	3,134,558	3,197,077	3,247,652

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Arts, Council on the	38,332	38,907	41,093	40,933	40,933	40,933
Education, Department of	<u>28,735,444</u>	<u>29,732,476</u>	<u>31,268,149</u>	<u>32,203,703</u>	<u>33,239,965</u>	<u>34,548,768</u>
<i>School Aid</i>	23,302,030	24,385,908	26,337,457	27,263,440	28,298,434	29,478,520
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	<u>782,133</u>	<u>890,158</u>	<u>962,505</u>	<u>968,024</u>	<u>918,465</u>	<u>942,635</u>
Functional Total	<u>28,773,776</u>	<u>29,771,383</u>	<u>31,309,242</u>	<u>32,244,636</u>	<u>33,280,898</u>	<u>34,589,701</u>
GENERAL GOVERNMENT						
Elections, State Board of	93	93	0	0	0	0
Gaming Commission, New York State	91,881	101,820	98,670	131,730	131,500	131,500
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	17,766	13,509	17,884	7,379	7,379	7,379
Taxation and Finance, Department of	914	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	<u>7,855</u>	<u>8,340</u>	<u>9,389</u>	<u>7,637</u>	<u>7,637</u>	<u>7,637</u>
Functional Total	<u>119,084</u>	<u>125,370</u>	<u>129,954</u>	<u>150,757</u>	<u>150,627</u>	<u>150,627</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>114,655</u>	<u>101,099</u>	<u>108,497</u>	<u>123,503</u>	<u>123,502</u>	<u>123,503</u>
Functional Total	<u>146,680</u>	<u>133,124</u>	<u>140,521</u>	<u>155,527</u>	<u>155,526</u>	<u>155,527</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	763,347	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	0	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	<u>217</u>	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Functional Total	<u>770,971</u>	<u>760,326</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
Miscellaneous	(13,341)	20,373	(94,243)	(124,943)	18,600	60,315
Special Infrastructure Account	<u>33,159</u>	<u>230,875</u>	<u>40,000</u>	<u>40,000</u>	<u>30,000</u>	<u>30,000</u>
Functional Total	<u>19,818</u>	<u>251,248</u>	<u>(54,243)</u>	<u>(84,943)</u>	<u>48,600</u>	<u>90,315</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>64,539,563</u>	<u>67,123,099</u>	<u>70,402,236</u>	<u>73,383,378</u>	<u>76,064,507</u>	<u>78,277,297</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	54,745	44,534	36,448	52,239	52,244	53,363
Alcoholic Beverage Control, Division of	12,501	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	20,464	21,183	19,551	19,551	19,551	19,551
Energy Research and Development Authority	1,431	0	0	0	0	0
Financial Services, Department of	201,930	209,208	206,743	206,790	210,728	216,873
Olympic Regional Development Authority	3,091	2,736	2,686	5,886	5,886	5,886
Public Service Department	49,483	50,006	49,558	49,558	49,558	51,169
Functional Total	343,645	338,794	327,669	346,707	350,711	359,902
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	237,912	225,085	211,135	211,234	211,937	218,437
Parks, Recreation and Historic Preservation, Office of	180,898	178,446	168,553	168,530	169,841	176,164
Functional Total	423,107	408,066	384,101	384,177	386,191	399,172
TRANSPORTATION						
Motor Vehicles, Department of	59,397	49,560	50,565	50,565	50,565	51,357
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	18,588	21,228	11,442	11,253	11,253	11,382
Functional Total	94,554	70,788	62,007	61,818	61,818	62,739
HEALTH						
Aging, Office for the	1,276	1,231	1,232	1,232	1,232	1,275
Health, Department of	691,640	688,514	810,541	872,351	909,521	930,059
<i>Essential Plan</i>	13,360	43,837	96,318	102,794	107,470	107,610
<i>Medicaid Administration</i>	271,337	261,001	345,995	403,793	435,629	451,542
<i>Public Health</i>	406,943	383,676	368,228	365,764	366,422	370,907
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	713,535	710,234	830,306	891,694	928,864	950,052
SOCIAL WELFARE						
Children and Family Services, Office of	262,651	249,825	244,352	288,511	375,017	442,152
<i>OCFS</i>	262,651	249,825	244,352	288,511	375,017	442,152
Housing and Community Renewal, Division of	50,330	50,299	49,246	49,246	49,246	52,498
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	46,543	47,669	46,519	46,519	46,519	49,256
National and Community Service	316	204	337	340	340	349
Temporary and Disability Assistance, Office of	147,079	137,626	125,364	125,364	131,695	136,188
<i>All Other</i>	147,079	137,626	125,364	125,364	131,695	136,188
Functional Total	517,182	496,005	475,739	519,901	612,738	691,033
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,224	82,405	73,654	74,803	75,894	77,855
<i>OASAS</i>	33,651	34,313	31,155	31,829	32,318	33,128
<i>OASAS - Other</i>	46,573	48,092	42,499	42,974	43,576	44,727
Justice Center	38,304	38,190	40,203	39,860	40,127	42,141
Mental Health, Office of	1,417,657	1,344,266	1,331,357	1,319,135	1,338,844	1,360,997
<i>OMH</i>	354,871	348,833	348,185	352,542	356,947	356,947
<i>OMH - Other</i>	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	1,367,626	1,297,397	1,299,733	1,294,225	1,308,828	1,327,285
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,904,030	2,762,485	2,744,947	2,728,023	2,763,693	2,808,278
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,692,513	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Criminal Justice Services, Division of	36,039	35,193	38,793	38,793	38,793	39,768
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	31,631	26,030	30,626	35,056	35,756	36,881
Indigent Legal Services, Office of	1,449	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,051	26,799	21,676	21,676	21,676	21,977
State Police, Division of	693,311	720,382	696,853	671,423	670,703	696,152
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	3,533	3,678	3,951	3,951	3,951	4,068
Functional Total	3,470,706	3,493,179	3,450,912	3,432,727	3,439,927	3,474,383
HIGHER EDUCATION						
City University of New York	87,469	94,213	117,032	90,459	91,948	93,465
Higher Education - Miscellaneous	198	386	291	291	291	291
Higher Education Services Corporation, New York State	43,763	48,292	49,052	49,052	49,052	49,052
State University of New York	5,866,320	6,002,720	5,961,568	6,229,897	6,394,720	6,571,461
Functional Total	5,997,750	6,145,611	6,127,943	6,369,699	6,536,011	6,714,269
EDUCATION						
Arts, Council on the	3,487	3,925	4,320	4,320	4,320	4,416
Education, Department of	130,640	139,176	146,888	144,861	142,699	145,966

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>All Other</i>	130,640	139,176	146,888	144,861	142,699	145,966
Functional Total	134,127	143,101	151,208	149,181	147,019	150,382
GENERAL GOVERNMENT						
Budget, Division of the	23,396	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,277	13,295	13,205	13,331	13,441	13,925
Deferred Compensation Board	377	418	641	641	648	649
Elections, State Board of	7,825	7,095	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	146,812	138,467	114,876	99,876	99,876	101,403
General Services, Office of	156,939	160,812	143,870	142,175	138,075	138,640
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,464	1,500	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	43,332	47,676	42,130	42,130	42,130	43,238
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	335,774	331,810	329,624	329,132	329,124	339,531
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,067	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	139,016	138,722	141,607	143,390	145,193	150,513
Functional Total	1,424,787	1,468,584	1,415,764	1,423,849	1,421,956	1,454,505
ELECTED OFFICIALS						
Audit and Control, Department of	140,681	149,275	149,094	146,661	146,844	153,187
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,958,631	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Law, Department of	168,775	170,170	175,579	174,387	176,833	183,996
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,497,870	2,579,400	2,630,545	2,645,135	2,650,264	2,721,560
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,626	24,783	101,825	196,841	311,857	426,940
Functional Total	61,242	63,430	138,677	247,230	362,246	477,329
TOTAL STATE OPERATIONS SPENDING	18,582,535	18,679,784	18,739,818	19,200,141	19,661,438	20,263,604

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,923	30,523	29,183	29,238	29,243	30,358
Alcoholic Beverage Control, Division of	7,622	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,929	12,929	12,929	12,929
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548	2,548
Public Service Department	40,858	41,485	41,886	41,886	41,886	43,497
Functional Total	240,994	246,972	246,576	246,676	247,477	256,468
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	181,598	176,058	170,209	170,560	170,677	177,242
Parks, Recreation and Historic Preservation, Office of	135,656	135,346	123,847	124,824	126,135	132,456
Functional Total	321,290	315,516	298,164	299,492	300,920	313,964
TRANSPORTATION						
Motor Vehicles, Department of	43,684	35,655	36,479	36,479	36,479	37,249
Transportation, Department of	6,407	6,497	3,413	3,256	3,256	3,381
Functional Total	50,091	42,152	39,892	39,735	39,735	40,630
HEALTH						
Aging, Office for the	1,110	1,125	1,125	1,125	1,125	1,168
Health, Department of	261,851	261,956	252,669	257,266	260,891	269,119
<i>Essential Plan</i>	391	1,367	3,668	3,501	3,606	3,927
<i>Medicaid Administration</i>	31,809	36,908	40,260	46,341	49,866	53,212
<i>Public Health</i>	229,651	223,681	208,741	207,424	207,419	211,980
Medicaid Inspector General, Office of the	16,621	16,415	15,358	14,936	14,936	15,543
Functional Total	279,582	279,496	269,152	273,327	276,952	285,830
SOCIAL WELFARE						
Children and Family Services, Office of	167,328	169,024	159,708	191,418	260,623	314,379
<i>OCFS</i>	167,328	169,024	159,708	191,418	260,623	314,379
Housing and Community Renewal, Division of	38,788	42,730	40,403	40,403	40,403	43,225
Human Rights, Division of	9,398	9,533	9,461	9,461	9,461	10,121
Labor, Department of	32,557	34,150	32,618	32,618	32,618	34,864
National and Community Service	311	203	328	331	331	340
Temporary and Disability Assistance, Office of	69,932	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	69,932	73,429	68,985	68,985	74,144	77,513
Functional Total	318,314	329,069	311,503	343,216	417,580	480,442
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	54,117	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,602	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Justice Center	26,608	29,240	31,060	31,060	31,060	32,460
Mental Health, Office of	1,123,600	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	289,883	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,356,115	2,248,363	2,230,340	2,208,695	2,233,039	2,265,644
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,166,752	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Criminal Justice Services, Division of	24,588	26,998	25,582	25,582	25,582	26,557
Disaster Assistance	(1,768)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	13,037	16,748	18,383	22,162	22,857	23,977
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	17,129	17,164	14,295	14,295	14,295	14,596
State Police, Division of	618,908	649,718	637,489	612,039	611,289	636,679
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Victim Services, Office of	3,154	3,145	3,176	3,176	3,176	3,293
Functional Total	2,859,495	2,846,315	2,771,796	2,752,996	2,760,131	2,794,491
HIGHER EDUCATION						
City University of New York	46,870	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,253	14,475	13,314	13,314	13,314	13,314
State University of New York	3,628,295	3,766,631	3,693,572	3,857,106	3,964,807	4,122,542
Functional Total	3,690,551	3,830,364	3,783,080	3,918,214	4,026,547	4,184,924
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	85,429	86,602	88,089	88,090	87,484	90,693
<i>All Other</i>	85,429	86,602	88,089	88,090	87,484	90,693
Functional Total	87,682	89,093	90,587	90,588	89,982	93,287
GENERAL GOVERNMENT						
Budget, Division of the	20,436	20,619	24,567	24,567	24,567	25,511

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Civil Service, Department of	11,662	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	304	368	410	410	413	414
Elections, State Board of	5,639	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	31,549	32,822	38,757	38,757	38,757	40,248
General Services, Office of	71,454	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,351	1,338	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	28,886	29,588	28,337	28,337	28,337	29,424
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	292,613	284,526	269,907	269,907	269,907	280,269
Technology, Office for	283,573	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	4,731	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	511	565	617	621	626	654
Workers' Compensation Board	78,723	82,632	80,878	81,493	82,104	86,209
Functional Total	855,931	877,085	822,031	823,085	824,011	857,964
ELECTED OFFICIALS						
Audit and Control, Department of	111,792	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,509,383	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Law, Department of	119,099	120,219	121,274	119,097	120,450	126,506
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,918,723	1,986,016	2,014,564	2,031,273	2,035,204	2,104,732
ALL OTHER CATEGORIES						
Miscellaneous	1,982	1,939	57,053	152,058	267,063	382,144
Functional Total	1,982	1,939	57,053	152,058	267,063	382,144
TOTAL PERSONAL SERVICE SPENDING	12,980,750	13,092,380	12,934,738	13,179,355	13,518,641	14,060,520

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,822	14,011	7,265	23,001	23,001	23,005
Alcoholic Beverage Control, Division of	4,879	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,490	8,031	6,622	6,622	6,622	6,622
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	52,930	57,686	54,860	54,862	58,065	58,261
Olympic Regional Development Authority	498	188	138	3,338	3,338	3,338
Public Service Department	8,625	8,521	7,672	7,672	7,672	7,672
Functional Total	102,651	91,822	81,093	100,031	103,234	103,434
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	261	423	305	305	305	305
Environmental Conservation, Department of	56,314	49,027	40,926	40,674	41,260	41,195
Parks, Recreation and Historic Preservation, Office of	45,242	43,100	44,706	43,706	43,706	43,708
Functional Total	101,817	92,550	85,937	84,685	85,271	85,208
TRANSPORTATION						
Motor Vehicles, Department of	15,713	13,905	14,086	14,086	14,086	14,108
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	12,181	14,731	8,029	7,997	7,997	8,001
Functional Total	44,463	28,636	22,115	22,083	22,083	22,109
HEALTH						
Aging, Office for the	166	106	107	107	107	107
Health, Department of	429,789	426,558	557,872	615,085	648,630	660,940
<i>Essential Plan</i>	12,969	42,470	92,650	99,293	103,864	103,683
<i>Medicaid Administration</i>	239,528	224,093	305,735	357,452	385,763	398,330
<i>Public Health</i>	177,292	159,995	159,487	158,340	159,003	158,927
Medicaid Inspector General, Office of the	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	433,953	430,738	561,154	618,367	651,912	664,222
SOCIAL WELFARE						
Children and Family Services, Office of	95,323	80,801	84,644	97,093	114,394	127,773
<i>OCFS</i>	95,323	80,801	84,644	97,093	114,394	127,773
Housing and Community Renewal, Division of	11,542	7,569	8,843	8,843	8,843	9,273
Human Rights, Division of	865	849	460	460	460	469
Labor, Department of	13,986	13,519	13,901	13,901	13,901	14,392
National and Community Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	77,147	64,197	56,379	56,379	57,551	58,675
<i>All Other</i>	77,147	64,197	56,379	56,379	57,551	58,675
Functional Total	198,868	166,936	164,236	176,685	195,158	210,591
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,107	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,049	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Justice Center	11,696	8,950	9,143	8,800	9,067	9,681
Mental Health, Office of	294,057	271,702	277,589	281,804	287,626	293,592
<i>OMH</i>	64,988	60,326	49,089	50,454	51,823	51,823
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	215,836	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	547,915	514,122	514,607	519,328	530,654	542,634
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242
Correctional Services, Department of	525,761	527,258	565,046	565,046	565,046	565,046
Criminal Justice Services, Division of	11,451	8,195	13,211	13,211	13,211	13,211
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	18,594	9,282	12,243	12,894	12,899	12,904
Indigent Legal Services, Office of	238	493	780	780	780	780
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	8,922	9,635	7,381	7,381	7,381	7,381
State Police, Division of	74,403	70,664	59,364	59,384	59,414	59,473
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	379	533	775	775	775	775
Functional Total	611,211	646,864	679,116	679,731	679,796	679,892
HIGHER EDUCATION						
City University of New York	40,599	45,238	41,036	42,863	43,720	44,595
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	28,510	33,817	35,738	35,738	35,738	35,738
State University of New York	2,238,025	2,236,089	2,267,996	2,372,791	2,429,913	2,448,919
Functional Total	2,307,199	2,315,247	2,344,863	2,451,485	2,509,464	2,529,345
EDUCATION						
Arts, Council on the	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	45,211	52,574	58,799	56,771	55,215	55,273

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>All Other</i>	45,211	52,574	58,799	56,771	55,215	55,273
Functional Total	46,445	54,008	60,621	58,593	57,037	57,095
GENERAL GOVERNMENT						
Budget, Division of the	2,960	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,615	1,083	821	834	850	850
Deferred Compensation Board	73	50	231	231	235	235
Elections, State Board of	2,186	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	115,263	105,645	76,119	61,119	61,119	61,155
General Services, Office of	85,485	83,232	86,919	85,224	81,124	78,264
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	113	162	173	173	173	212
Public Employment Relations Board	226	225	237	241	246	246
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	14,446	18,088	13,793	13,793	13,793	13,814
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	43,161	47,284	59,717	59,225	59,217	59,262
Technology, Office for	222,376	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	336	865	292	298	298	304
Welfare Inspector General, Office of	58	27	55	65	75	77
Workers' Compensation Board	60,293	56,090	60,729	61,897	63,089	64,304
Functional Total	568,856	591,499	593,733	600,764	597,945	596,541
ELECTED OFFICIALS						
Audit and Control, Department of	28,889	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	449,248	450,799	470,500	470,500	470,500	470,500
Law, Department of	49,676	49,951	54,305	55,290	56,383	57,490
Legislature	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	579,147	593,384	615,981	613,862	615,060	616,828
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,644	22,844	44,772	44,783	44,794	44,796
Functional Total	59,260	61,491	81,624	95,172	95,183	95,185
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,601,785	5,587,404	5,805,080	6,020,786	6,142,797	6,203,084

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,517	1,719	1,788	1,859	1,913	2,032
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,436	86,652	93,413	95,361	98,039	105,012
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	18,992	25,523	26,822	27,357	28,087	28,807
Functional Total	103,276	114,015	122,051	124,605	128,067	135,879
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,186	47,714	50,663	51,895	48,776	48,093
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,313
Functional Total	48,049	50,686	53,807	55,052	51,941	51,406
TRANSPORTATION						
Motor Vehicles, Department of	24,365	20,473	22,222	22,300	22,300	22,771
Transportation, Department of	3,638	3,750	2,695	2,002	2,002	2,079
Functional Total	28,003	24,223	24,917	24,302	24,302	24,850
HEALTH						
Health, Department of	31,572	30,543	32,817	32,269	33,170	35,100
<i>Public Health</i>	31,572	30,543	32,817	32,269	33,170	35,100
Functional Total	31,572	30,543	32,817	32,269	33,170	35,100
SOCIAL WELFARE						
Children and Family Services, Office of	2,929	1,656	1,723	1,764	1,794	1,824
<i>OCFS</i>	2,929	1,656	1,723	1,764	1,794	1,824
Housing and Community Renewal, Division of	15,976	5,449	14,477	10,404	10,404	10,404
Labor, Department of	14,040	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	70	122	128	128	128	128
<i>All Other</i>	70	122	128	128	128	128
Functional Total	33,015	26,724	36,815	32,783	32,813	32,843
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	630	850	893	971	989	989
Mental Health, Office of	605,454	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	149,711	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,258,812	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	92	101	106	106	106	106
Criminal Justice Services, Division of	41	33	35	35	35	35
Homeland Security and Emergency Services, Division of	507	666	699	722	722	722
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	(7)	9	9	9	9	9
State Police, Division of	2,439	18,111	2,821	2,900	3,010	3,353
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	5,206	21,457	6,336	6,978	7,088	7,431
HIGHER EDUCATION						
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	411,631	420,283	461,887	487,426	513,047	533,047
Functional Total	428,201	436,611	478,887	504,426	530,047	550,047
EDUCATION						
Education, Department of	32,021	32,142	35,159	35,905	36,837	39,317
<i>All Other</i>	32,021	32,142	35,159	35,905	36,837	39,317
Functional Total	32,021	32,142	35,159	35,905	36,837	39,317
GENERAL GOVERNMENT						
Budget, Division of	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	88	88	88	88
Deferred Compensation Board	147	195	205	205	205	205
Gaming Commission, New York State	12,895	12,777	13,427	13,427	13,427	14,317
General Services, Office of	2,589	407	427	452	452	452
State, Department of	8,372	9,623	11,628	11,849	12,153	12,948
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	87,234	97,798	105,131	107,537	107,674	115,000
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	685,300	702,163	764,300	781,703	779,203	779,203

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Law, Department of	16,644	14,731	17,729	20,254	20,531	21,564
Functional Total	<u>703,438</u>	<u>718,499</u>	<u>784,059</u>	<u>804,342</u>	<u>802,119</u>	<u>803,184</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	5,044,559	5,563,307	6,029,668	6,589,217
Miscellaneous	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>4,693,638</u>	<u>4,788,355</u>	<u>5,050,143</u>	<u>5,568,938</u>	<u>6,035,299</u>	<u>6,594,866</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,452,465</u></u>	<u><u>7,634,325</u></u>	<u><u>8,058,615</u></u>	<u><u>8,656,299</u></u>	<u><u>9,195,292</u></u>	<u><u>9,825,142</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,776	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	0	0	29,264	22,587	21,600	20,862
Economic Development, Department of	28	17	8,274	10,442	0	5,525
Empire State Development Corporation	33,720	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	11,383	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	7,500	14,000	13,300	0	0
Power Authority, New York	0	0	28,328	18,000	37,500	2,500
Regional Economic Development Program	0	0	512	338	337	337
Strategic Investment Program	0	0	6,000	7,002	6,650	11,046
Functional Total	57,407	102,260	648,544	681,454	567,832	593,633
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	225,645	311,761	671,608	746,093	724,847	716,154
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	136,835	148,714	147,917	150,957	152,677	137,630
Functional Total	365,932	460,475	819,525	897,050	877,524	853,784
TRANSPORTATION						
Motor Vehicles, Department of	189,879	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	2,041,369	2,214,421	2,734,764	2,972,624	2,907,255	2,837,309
Functional Total	2,231,248	2,431,525	2,957,800	3,205,115	3,141,571	3,077,493
HEALTH						
Health, Department of	61,148	35,177	85,429	88,232	88,261	36,291
<i>Public Health</i>	61,148	35,177	85,429	88,232	88,261	36,291
Functional Total	61,148	35,177	85,429	88,232	88,261	36,291
SOCIAL WELFARE						
Children and Family Services, Office of	21,186	24,392	34,936	38,695	38,738	38,917
<i>OCFS</i>	21,186	24,392	34,936	38,695	38,738	38,917
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	376	346	800	800	800	800
<i>All Other</i>	376	346	800	800	800	800
Functional Total	21,562	24,738	68,736	84,495	66,538	54,717
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	1,066	5,000	4,800	4,700	4,700
<i>OASAS</i>	0	1,066	5,000	4,800	4,700	4,700
Mental Health, Office of	102,473	139,970	178,962	160,250	160,945	160,945
<i>OMH</i>	102,473	139,970	178,962	160,250	160,945	160,945
People with Developmental Disabilities, Office for	36,044	69,053	73,607	73,929	74,378	74,378
<i>OPWDD</i>	36,044	69,053	73,607	73,929	74,378	74,378
Functional Total	138,517	210,089	257,569	238,979	240,023	240,023
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	260,188	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	6,680	8,445	45,261	14,153	5,000	5,000
Military and Naval Affairs, Division of	17,006	35,395	40,740	10,392	13,507	13,544
State Police, Division of	19,121	31,235	74,867	46,874	43,334	41,408
Functional Total	302,995	378,350	524,190	425,056	393,183	387,384
HIGHER EDUCATION						
City University of New York	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	0	5,000	12,500	12,500	15,000
State University of New York	931,348	760,044	840,337	909,760	911,133	903,310
Functional Total	964,808	796,571	880,737	958,160	960,253	955,662
EDUCATION						
Education, Department of	7,420	5,145	17,280	38,287	39,000	26,707
<i>All Other</i>	7,420	5,145	17,280	38,287	39,000	26,707
Functional Total	7,420	5,145	17,280	38,287	39,000	26,707
GENERAL GOVERNMENT						
General Services, Office of	101,785	92,531	172,478	229,757	165,628	114,944
State, Department of	0	0	4,000	2,000	2,000	0
Technology, Office for	95,311	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,117	3,752	15,000	15,000	15,000	0
Functional Total	200,213	182,503	331,194	276,622	201,379	139,644
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,100	3,900	0	0	0
Judiciary	0	0	8,000	7,000	0	0
Law, Department of	1,449	6,201	10,000	2,000	1,287	0
Functional Total	1,449	8,301	21,900	9,000	1,287	0

The transportation spending for FYs 2018 through 2021 have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Miscellaneous	13,956	25,561	(646,241)	269,831	457,040	480,538
Special Infrastructure Account	692,433	418,228	877,071	1,270,923	950,604	549,520
Functional Total	<u>706,389</u>	<u>443,789</u>	<u>235,830</u>	<u>1,545,754</u>	<u>1,407,644</u>	<u>1,030,058</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>5,059,088</u>	<u>5,078,923</u>	<u>6,848,734</u>	<u>8,448,204</u>	<u>7,984,495</u>	<u>7,395,396</u>

The total Capital Projects spending for FYs 2018 through 2021 have been revised due to a correction to the fund type classification of certain transportation spending and revenue source in the Dedicated Highway Bridge and Trust Fund from Federal Funds to State Funds.

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	17,190	250	5,000	5,000	5,000	5,000
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	59,488	59,430	59,412	59,406
Public Service Department	0	0	155	155	155	155
Functional Total	99,718	66,953	64,643	64,585	64,567	64,561
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	0	199	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	4,754	3,665	5,450	5,450	5,450	5,450
Functional Total	4,754	3,864	5,450	5,450	5,450	5,450
TRANSPORTATION						
Transportation, Department of	4,633,877	4,871,085	4,910,911	4,977,497	5,073,644	5,138,188
Functional Total	4,633,877	4,871,085	4,910,911	4,977,497	5,073,644	5,138,188
HEALTH						
Health, Department of	6,250,424	6,581,253	6,435,356	6,420,765	6,437,222	6,479,134
<i>Medical Assistance</i>	5,316,631	5,795,977	5,616,235	5,563,624	5,463,331	5,380,810
<i>Public Health</i>	933,793	785,276	819,121	857,141	973,891	1,098,324
Functional Total	6,250,424	6,581,253	6,435,356	6,420,765	6,437,222	6,479,134
SOCIAL WELFARE						
Children and Family Services, Office of	2,367	3,164	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,367	3,164	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	295	(215)	852	852	852	852
Labor, Department of	58	322	150	150	150	150
Temporary and Disability Assistance, Office of	89	0	0	0	0	0
<i>All Other</i>	89	0	0	0	0	0
Functional Total	2,809	3,271	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	280,641	276,997	293,810	316,370	338,682	351,912
<i>OASAS</i>	280,641	276,997	293,810	316,370	338,682	351,912
Justice Center	430	479	479	479	479	479
Mental Health, Office of	857,956	909,429	935,845	1,095,393	1,169,451	1,209,829
<i>OMH</i>	857,956	909,429	935,845	1,095,393	1,169,451	1,209,829
People with Developmental Disabilities, Office for	332,217	385,560	366,484	405,031	459,627	496,893
<i>OPWDD</i>	331,062	384,726	366,484	405,031	459,627	496,893
<i>OPWDD - Other</i>	1,155	834	0	0	0	0
Functional Total	1,471,244	1,572,465	1,596,618	1,817,273	1,968,239	2,059,113
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	34,250	26,509	20,437	17,437	17,437	17,437
Homeland Security and Emergency Services, Division of	33,702	37,926	28,761	55,067	89,801	87,570
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Victim Services, Office of	17,531	22,547	32,678	32,678	32,678	32,678
Functional Total	143,551	147,485	177,341	265,854	324,681	346,544
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	16,000	0	0	0	0	0
Functional Total	16,000	0	0	0	0	0
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,513,228	6,480,458	6,082,778	5,898,092	5,870,071	5,857,828
<i>School Aid</i>	3,169,009	3,334,094	3,439,748	3,365,500	3,404,500	3,407,500
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>All Other</i>	9,519	7,313	13,033	13,033	13,033	13,033
Functional Total	6,513,228	6,480,458	6,082,876	5,898,190	5,870,169	5,857,926
GENERAL GOVERNMENT						
Gaming Commission, New York State	91,881	101,820	98,670	131,730	131,500	131,500
State, Department of	638	942	939	939	939	939
Taxation and Finance, Department of	0	172	1,800	1,800	1,800	1,800
Functional Total	92,519	102,934	101,409	134,469	134,239	134,239
ELECTED OFFICIALS						
Judiciary	112,204	98,656	105,497	105,503	105,502	105,503
Functional Total	112,204	98,656	105,497	105,503	105,502	105,503
ALL OTHER CATEGORIES						
Miscellaneous	(1,400)	838	(490,776)	(801,000)	(1,049,500)	(1,199,500)
Functional Total	(1,400)	838	(490,776)	(801,000)	(1,049,500)	(1,199,500)
TOTAL LOCAL ASSISTANCE SPENDING	19,338,928	19,929,262	18,993,909	18,893,170	18,938,797	18,995,742

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,654	3,149	2,905	2,960	2,965	3,069
Alcoholic Beverage Control, Division of	7,622	175	0	0	0	0
Economic Development, Department of	0	0	103	103	103	103
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	45	0	0	0	0	0
Public Service Department	40,858	41,485	41,886	41,886	41,886	43,497
Functional Total	201,203	196,331	196,777	196,877	197,617	205,281
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	95,957	92,127	93,168	93,449	86,366	83,706
Parks, Recreation and Historic Preservation, Office of	29,719	32,019	29,858	29,792	29,989	31,362
Functional Total	125,676	124,146	123,026	123,241	116,355	115,068
TRANSPORTATION						
Motor Vehicles, Department of	43,684	35,655	36,479	36,479	36,479	37,249
Transportation, Department of	6,407	6,497	3,413	3,256	3,256	3,381
Functional Total	50,091	42,152	39,892	39,735	39,735	40,630
HEALTH						
Health, Department of	142,666	136,344	130,603	130,229	130,224	131,680
<i>Public Health</i>	142,666	136,344	130,603	130,229	130,224	131,680
Functional Total	142,666	136,344	130,603	130,229	130,224	131,680
SOCIAL WELFARE						
Children and Family Services, Office of	2,564	2,767	3,322	3,354	3,354	3,483
<i>OCFS</i>	2,564	2,767	3,322	3,354	3,354	3,483
Housing and Community Renewal, Division of	33,869	37,730	36,204	36,204	36,204	38,733
Labor, Department of	32,519	34,030	32,530	32,530	32,530	34,770
Functional Total	68,952	74,527	72,056	72,088	72,088	76,986
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	54,117	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,602	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Justice Center	1,178	1,127	1,287	1,287	1,287	1,287
Mental Health, Office of	1,123,600	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	289,883	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,330,685	2,220,250	2,200,567	2,178,922	2,203,266	2,234,471
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	168	165	219	219	219	219
Criminal Justice Services, Division of	342	258	392	392	392	403
Homeland Security and Emergency Services, Division of	10,965	15,756	17,313	21,162	21,857	22,977
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Military and Naval Affairs, Division of	435	403	134	134	134	139
State Police, Division of	11,357	41,672	11,807	11,807	11,807	12,147
Victim Services, Office of	3,154	3,145	3,176	3,176	3,176	3,293
Functional Total	27,632	63,077	35,561	39,410	40,105	41,764
HIGHER EDUCATION						
City University of New York	46,870	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,253	14,475	13,314	13,314	13,314	13,314
State University of New York	3,626,705	3,766,633	3,693,572	3,857,106	3,964,807	4,122,542
Functional Total	3,688,961	3,830,366	3,783,080	3,918,214	4,026,547	4,184,924
EDUCATION						
Education, Department of	57,820	55,689	58,278	58,278	58,150	60,387
<i>All Other</i>	57,820	55,689	58,278	58,278	58,150	60,387
Functional Total	57,820	55,689	58,278	58,278	58,150	60,387
GENERAL GOVERNMENT						
Budget, Division of	1,491	1,273	2,351	2,351	2,351	2,441
Civil Service, Department of	255	151	334	359	362	376
Deferred Compensation Board	280	339	378	378	381	381
Gaming Commission, New York State	28,187	29,098	34,475	34,475	34,475	35,801
General Services, Office of	4,615	4,065	858	858	858	897
State, Department of	17,130	17,254	18,913	18,913	18,913	19,638
Taxation and Finance, Department of	45,481	49,625	43,353	43,654	43,353	45,022
Workers' Compensation Board	78,723	82,632	80,878	81,493	82,104	86,209
Functional Total	176,162	184,437	181,540	182,481	182,797	190,765
ELECTED OFFICIALS						
Audit and Control, Department of	10,594	12,206	11,282	10,937	11,015	11,564
Judiciary	58,352	58,822	58,800	58,800	58,800	58,800
Law, Department of	29,147	27,520	29,176	29,692	30,380	31,936
Functional Total	98,093	98,548	99,258	99,429	100,195	102,300

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,919	1,890	37,997	(98,198)	(138,193)	(250,912)
Functional Total	<u>1,919</u>	<u>1,890</u>	<u>37,997</u>	<u>(98,198)</u>	<u>(138,193)</u>	<u>(250,912)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>6,969,860</u>	<u>7,027,757</u>	<u>6,958,635</u>	<u>6,940,706</u>	<u>7,028,886</u>	<u>7,133,344</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	19,408	8,519	2,481	18,217	18,217	18,221
Alcoholic Beverage Control, Division of	4,879	109	0	0	0	0
Economic Development, Department of	1,679	1,929	1,847	1,847	1,847	1,847
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	52,930	57,686	54,860	54,862	58,065	58,261
Olympic Regional Development Authority	35	0	150	150	150	150
Public Service Department	8,625	8,521	7,672	7,672	7,672	7,672
Functional Total	87,963	76,764	67,010	82,748	85,951	86,151
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	47,541	42,585	27,559	21,926	21,712	16,847
Parks, Recreation and Historic Preservation, Office of	40,223	37,667	37,359	36,359	36,359	36,361
Functional Total	87,764	80,252	64,918	58,285	58,071	53,208
TRANSPORTATION						
Motor Vehicles, Department of	15,713	13,905	14,086	14,086	14,086	14,108
Transportation, Department of	11,029	13,562	6,860	6,828	6,828	6,832
Functional Total	26,742	27,467	20,946	20,914	20,914	20,940
HEALTH						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	127,278	114,737	117,319	116,759	117,322	117,246
<i>Public Health</i>	127,278	114,737	117,319	116,759	117,322	117,246
Functional Total	127,278	114,737	117,320	116,760	117,323	117,247
SOCIAL WELFARE						
Children and Family Services, Office of	27,443	29,854	14,961	15,527	15,527	15,838
<i>OCFS</i>	27,443	29,854	14,961	15,527	15,527	15,838
Housing and Community Renewal, Division of	9,273	4,778	8,492	8,492	8,492	8,860
Labor, Department of	13,818	13,328	13,701	13,701	13,701	14,137
Temporary and Disability Assistance, Office of	76	946	200	200	200	200
<i>All Other</i>	76	946	200	200	200	200
Functional Total	50,610	48,906	37,354	37,920	37,920	39,035
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,107	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,049	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Justice Center	30	41	37	38	39	39
Mental Health, Office of	293,578	270,921	276,789	281,004	286,826	292,792
<i>OMH</i>	64,509	59,545	48,289	49,654	51,023	51,023
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	215,836	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	535,770	504,432	504,701	509,766	520,826	532,192
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,113	1,379	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	1,176	1,067	4,683	4,680	4,680	4,680
Homeland Security and Emergency Services, Division of	16,348	9,135	12,243	12,894	12,899	12,904
Indigent Legal Services, Office of	238	493	780	780	780	780
Military and Naval Affairs, Division of	3,052	2,448	1,207	1,207	1,207	1,207
State Police, Division of	29,676	26,164	31,685	26,685	26,685	26,714
Victim Services, Office of	379	533	775	775	775	775
Functional Total	51,982	41,219	54,000	49,648	49,653	49,687
HIGHER EDUCATION						
City University of New York	39,822	44,735	41,036	42,863	43,720	44,595
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	28,510	33,817	35,738	35,738	35,738	35,738
State University of New York	2,229,774	2,235,431	2,267,996	2,372,791	2,429,913	2,448,919
Functional Total	2,298,171	2,314,086	2,344,863	2,451,485	2,509,464	2,529,345
EDUCATION						
Education, Department of	27,825	25,114	25,797	25,797	25,741	25,799
<i>All Other</i>	27,825	25,114	25,797	25,797	25,741	25,799
Functional Total	27,825	25,114	25,797	25,797	25,741	25,799
GENERAL GOVERNMENT						
Budget, Division of the	826	1,168	2,693	2,693	2,693	2,696
Civil Service, Department of	71	530	420	428	436	436
Deferred Compensation Board	36	40	206	206	210	210
Elections, State Board of	221	104	0	0	0	0
Gaming Commission, New York State	113,017	103,660	73,630	58,630	58,630	58,666
General Services, Office of	6,122	2,892	3,123	3,228	3,228	3,292
Labor Management Committees	0	0	300	300	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	33	12	44	44	45	45
State, Department of	14,023	17,161	13,554	13,554	13,554	13,575

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Taxation and Finance, Department of Workers' Compensation Board	26,531	19,521	30,164	30,496	30,164	30,209
Functional Total	<u>60,293</u>	<u>56,090</u>	<u>60,729</u>	<u>61,897</u>	<u>63,089</u>	<u>64,304</u>
	<u>221,173</u>	<u>201,178</u>	<u>184,868</u>	<u>171,481</u>	<u>172,360</u>	<u>173,744</u>
ELECTED OFFICIALS						
Audit and Control, Department of Judiciary	3,662	3,320	5,481	5,344	5,449	5,556
Law, Department of Legislature	62,130	48,240	50,900	50,900	50,900	50,900
Functional Total	<u>37,530</u>	<u>38,500</u>	<u>40,969</u>	<u>41,857</u>	<u>42,692</u>	<u>43,525</u>
	<u>1,469</u>	<u>1,581</u>	<u>1,600</u>	<u>950</u>	<u>950</u>	<u>950</u>
	<u>104,791</u>	<u>91,641</u>	<u>98,950</u>	<u>99,051</u>	<u>99,991</u>	<u>100,931</u>
ALL OTHER CATEGORIES						
Miscellaneous	810	1,322	1,560	(187,929)	(282,918)	(298,416)
Functional Total	<u>810</u>	<u>1,322</u>	<u>1,560</u>	<u>(187,929)</u>	<u>(282,918)</u>	<u>(298,416)</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u>3,620,879</u>	<u>3,527,118</u>	<u>3,522,287</u>	<u>3,435,926</u>	<u>3,415,296</u>	<u>3,429,863</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,517	1,719	1,788	1,859	1,913	2,032
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,436	86,652	93,413	95,361	98,039	105,012
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	18,992	25,523	26,822	27,357	28,087	28,807
Functional Total	103,276	114,015	122,051	124,605	128,067	135,879
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,186	47,714	50,663	51,895	48,776	48,093
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,313
Functional Total	48,049	50,686	53,807	55,052	51,941	51,406
TRANSPORTATION						
Motor Vehicles, Department of	24,365	20,473	22,222	22,300	22,300	22,771
Transportation, Department of	3,638	3,750	2,695	2,002	2,002	2,079
Functional Total	28,003	24,223	24,917	24,302	24,302	24,850
HEALTH						
Health, Department of	31,572	30,543	32,817	32,269	33,170	35,100
<i>Public Health</i>	31,572	30,543	32,817	32,269	33,170	35,100
Functional Total	31,572	30,543	32,817	32,269	33,170	35,100
SOCIAL WELFARE						
Children and Family Services, Office of	2,929	1,656	1,723	1,764	1,794	1,824
<i>OCFS</i>	2,929	1,656	1,723	1,764	1,794	1,824
Housing and Community Renewal, Division of	15,976	5,449	14,477	10,404	10,404	10,404
Labor, Department of	14,040	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	70	122	128	128	128	128
<i>All Other</i>	70	122	128	128	128	128
Functional Total	33,015	26,724	36,815	32,783	32,813	32,843
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	630	850	893	971	989	989
Mental Health, Office of	605,454	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	149,711	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,258,812	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	92	101	106	106	106	106
Criminal Justice Services, Division of	41	33	35	35	35	35
Homeland Security and Emergency Services, Division of	507	666	699	722	722	722
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	(7)	9	9	9	9	9
State Police, Division of	2,439	18,111	2,821	2,900	3,010	3,353
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	5,206	21,457	6,336	6,978	7,088	7,431
HIGHER EDUCATION						
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	370,529	420,283	461,887	487,426	513,047	533,047
Functional Total	387,099	436,611	478,887	504,426	530,047	550,047
EDUCATION						
Education, Department of	32,021	32,142	35,159	35,905	36,837	39,317
<i>All Other</i>	32,021	32,142	35,159	35,905	36,837	39,317
Functional Total	32,021	32,142	35,159	35,905	36,837	39,317
GENERAL GOVERNMENT						
Budget, Division of	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	88	88	88	88
Deferred Compensation Board	147	195	205	205	205	205
Gaming Commission, New York State	12,895	12,777	13,427	13,427	13,427	14,317
General Services, Office of	2,589	407	427	452	452	452
State, Department of	8,372	9,623	11,628	11,849	12,153	12,948
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	87,234	97,798	105,131	107,537	107,674	115,000
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	21,727	27,112	29,600	29,600	29,600	29,600

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Law, Department of	16,644	14,731	17,729	20,254	20,531	21,564
Functional Total	<u>39,865</u>	<u>43,448</u>	<u>49,359</u>	<u>52,239</u>	<u>52,516</u>	<u>53,581</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,083	1,108	1,164	1,211	1,211	1,229
Functional Total	<u>1,083</u>	<u>1,108</u>	<u>1,164</u>	<u>1,211</u>	<u>1,211</u>	<u>1,229</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>2,055,235</u></u>	<u><u>2,172,027</u></u>	<u><u>2,274,936</u></u>	<u><u>2,336,469</u></u>	<u><u>2,411,601</u></u>	<u><u>2,481,902</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	7,716	3,850	8,055	8,055	8,055	8,055
Financial Services, Department of	<u>0</u>	<u>0</u>	<u>1,400</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>7,716</u>	<u>3,850</u>	<u>9,455</u>	<u>8,055</u>	<u>8,055</u>	<u>8,055</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,028	5,283	1,270	1,270	1,270	1,270
Functional Total	<u>4,028</u>	<u>5,283</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>
TRANSPORTATION						
Motor Vehicles, Department of	14,681	15,199	18,000	18,000	18,000	18,000
Transportation, Department of	<u>22,705</u>	<u>48,511</u>	<u>33,927</u>	<u>33,927</u>	<u>33,927</u>	<u>33,927</u>
Functional Total	<u>37,386</u>	<u>63,710</u>	<u>51,927</u>	<u>51,927</u>	<u>51,927</u>	<u>51,927</u>
HEALTH						
Aging, Office for the	90,659	87,887	93,254	95,646	96,946	98,694
Health, Department of	<u>35,035,348</u>	<u>39,207,440</u>	<u>41,642,492</u>	<u>43,073,619</u>	<u>44,190,998</u>	<u>44,562,325</u>
<i>Medical Assistance</i>	31,226,036	33,672,324	35,697,126	36,460,378	37,279,166	37,476,832
<i>Essential Plan</i>	1,506,723	3,257,056	3,530,422	4,105,079	4,478,341	4,941,573
<i>Medicaid Administration</i>	440,017	375,662	489,436	404,436	404,436	404,436
<i>Public Health</i>	<u>1,862,572</u>	<u>1,902,398</u>	<u>1,925,508</u>	<u>2,103,726</u>	<u>2,029,055</u>	<u>1,739,484</u>
Functional Total	<u>35,126,007</u>	<u>39,295,327</u>	<u>41,735,746</u>	<u>43,169,265</u>	<u>44,287,944</u>	<u>44,661,019</u>
SOCIAL WELFARE						
Children and Family Services, Office of	896,967	861,900	838,300	838,300	838,300	838,300
<i>OCFS</i>	896,967	861,900	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	50,830	52,324	48,434	48,434	48,434	48,434
Labor, Department of	156,302	134,715	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	<u>3,700,880</u>	<u>3,119,118</u>	<u>3,443,576</u>	<u>3,443,576</u>	<u>3,443,576</u>	<u>3,443,576</u>
<i>Welfare Assistance</i>	2,863,393	2,300,055	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	837,487	819,063	817,000	817,000	817,000	817,000
Functional Total	<u>4,804,979</u>	<u>4,168,057</u>	<u>4,482,202</u>	<u>4,482,202</u>	<u>4,482,202</u>	<u>4,482,202</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	123,561	121,023	114,490	114,490	114,490	114,490
<i>OASAS</i>	123,561	121,023	114,490	114,490	114,490	114,490
Mental Health, Office of	32,743	41,492	39,979	39,979	39,979	32,025
<i>OMH</i>	32,743	41,492	39,979	39,979	39,979	32,025
People with Developmental Disabilities, Office for	0	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	<u>0</u>	<u>0</u>	<u>8,500</u>	<u>8,500</u>	<u>8,500</u>	<u>8,500</u>
Functional Total	<u>156,304</u>	<u>162,515</u>	<u>162,969</u>	<u>162,969</u>	<u>162,969</u>	<u>155,015</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	17,835	15,563	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,722,015	1,254,509	949,124	863,771	719,771	663,771
State Police, Division of	0	91	0	0	0	0
Victim Services, Office of	<u>38,713</u>	<u>42,066</u>	<u>43,500</u>	<u>47,000</u>	<u>47,000</u>	<u>47,000</u>
Functional Total	<u>1,778,563</u>	<u>1,312,229</u>	<u>1,008,424</u>	<u>926,571</u>	<u>782,571</u>	<u>726,571</u>
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	38	45	0	0	0	0
Functional Total	<u>38</u>	<u>45</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION						
Arts, Council on the	359	1,052	600	600	600	600
Education, Department of	<u>3,658,643</u>	<u>3,544,339</u>	<u>3,475,726</u>	<u>3,541,557</u>	<u>3,594,065</u>	<u>3,647,645</u>
<i>School Aid</i>	2,199,123	2,864,715	2,723,400	2,769,850	2,817,358	2,865,938
<i>Special Education Categorical Programs</i>	862,379	590,480	680,000	690,000	695,000	700,000
<i>All Other</i>	<u>597,141</u>	<u>89,144</u>	<u>72,326</u>	<u>81,707</u>	<u>81,707</u>	<u>81,707</u>
Functional Total	<u>3,659,002</u>	<u>3,545,391</u>	<u>3,476,326</u>	<u>3,542,157</u>	<u>3,594,665</u>	<u>3,648,245</u>
GENERAL GOVERNMENT						
Elections, State Board of	493	554	300	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	<u>54,665</u>	<u>58,319</u>	<u>55,457</u>	<u>55,457</u>	<u>55,457</u>	<u>55,457</u>
Functional Total	<u>55,158</u>	<u>58,873</u>	<u>56,007</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
ALL OTHER CATEGORIES						
Miscellaneous	(466,435)	(251,336)	(474,710)	(496,665)	(467,938)	(467,938)
Functional Total	<u>(466,435)</u>	<u>(251,336)</u>	<u>(474,710)</u>	<u>(496,665)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>45,162,746</u>	<u>48,363,944</u>	<u>50,509,616</u>	<u>51,903,458</u>	<u>52,959,372</u>	<u>53,322,073</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,193	3,665	3,413	3,413	3,413	3,413
Public Service Department	1,934	1,433	1,202	1,202	1,202	1,202
Functional Total	6,127	5,098	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	25,874	26,180	27,759	27,759	27,759	28,827
Parks, Recreation and Historic Preservation, Office of	1,759	2,710	1,123	1,123	1,123	1,167
Functional Total	27,633	28,890	28,882	28,882	28,882	29,994
TRANSPORTATION						
Motor Vehicles, Department of	1,203	1,357	3,735	3,735	3,735	3,879
Transportation, Department of	3,802	5,754	10,347	10,347	10,347	10,718
Functional Total	5,005	7,111	14,082	14,082	14,082	14,597
HEALTH						
Aging, Office for the	5,915	6,071	6,390	6,521	6,657	6,799
Health, Department of	84,597	98,664	97,690	103,543	107,082	112,393
<i>Medicaid Administration</i>	29,772	34,283	40,848	46,864	50,488	53,684
<i>Public Health</i>	54,825	64,381	56,842	56,679	56,594	58,709
Medicaid Inspector General, Office of the	16,501	16,322	15,552	15,130	15,130	15,744
Functional Total	107,013	121,057	119,632	125,194	128,869	134,936
SOCIAL WELFARE						
Children and Family Services, Office of	23,309	25,808	28,957	29,247	29,247	30,451
<i>OCFS</i>	23,309	25,808	28,957	29,247	29,247	30,451
Housing and Community Renewal, Division of	6,782	6,902	7,520	7,595	7,595	8,125
Human Rights, Division of	2,501	2,672	3,106	3,135	3,135	3,354
Labor, Department of	170,297	170,686	159,866	159,866	159,866	171,796
National and Community Service	245	262	373	377	377	390
Temporary and Disability Assistance, Office of	72,753	80,633	76,939	77,755	77,755	77,755
<i>All Other</i>	72,753	80,633	76,939	77,755	77,755	77,755
Functional Total	275,887	286,963	276,761	277,975	277,975	291,871
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	5,107	5,107	5,109	5,153	5,196	5,196
<i>OASAS</i>	5,107	5,107	5,109	5,153	5,196	5,196
Developmental Disabilities Planning Council	838	976	1,266	1,266	1,266	1,266
Justice Center	67	94	103	103	103	103
Mental Health, Office of	913	813	813	813	813	813
<i>OMH</i>	913	813	813	813	813	813
Functional Total	6,925	6,990	7,291	7,335	7,378	7,378
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	23,474	21,397	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,059	4,285	4,602	4,602	4,602	4,681
Homeland Security and Emergency Services, Division of	14,004	9,988	6,490	6,490	6,490	6,490
Military and Naval Affairs, Division of	18,388	11,856	12,000	12,240	12,485	12,734
State Police, Division of	10,425	5,574	7,000	7,000	7,000	7,290
Victim Services, Office of	920	1,493	1,658	1,658	1,658	1,709
Functional Total	72,270	54,593	47,503	47,743	47,988	48,657
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	3	55	836	836	836	836
State University of New York	9,026	8,488	7,229	7,229	7,229	7,229
Functional Total	9,029	8,543	8,065	8,065	8,065	8,065
EDUCATION						
Education, Department of	85,455	88,193	84,486	84,486	84,486	87,737
<i>School Aid</i>	82	0	0	0	0	0
<i>Special Education Categorical Programs</i>	9,167	0	0	0	0	0
<i>All Other</i>	76,206	88,193	84,486	84,486	84,486	87,737
Functional Total	85,455	88,193	84,486	84,486	84,486	87,737
GENERAL GOVERNMENT						
Elections, State Board of	91	98	0	0	0	0
Prevention of Domestic Violence, Office for	17	30	0	0	0	0
State, Department of	2,174	2,546	3,731	3,731	3,731	3,731
Technology, Office for	437	0	0	0	0	0
Veterans' Affairs, Division of	386	426	796	804	804	826
Functional Total	3,105	3,100	4,527	4,535	4,535	4,557
ELECTED OFFICIALS						
Judiciary	1,444	1,997	1,600	1,600	1,600	1,600
Law, Department of	17,509	18,619	19,695	19,981	20,329	21,345
Functional Total	18,953	20,616	21,295	21,581	21,929	22,945
TOTAL PERSONAL SERVICE SPENDING	617,402	631,154	617,139	624,493	628,804	655,352

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	9,289	8,626	9,788	9,691	9,660	9,652
Economic Development, Department of	592	592	245	245	245	245
Financial Services, Department of	1,409	335	0	0	0	0
Public Service Department	131	336	40	40	40	40
Functional Total	11,421	9,889	10,073	9,976	9,945	9,937
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	53	12	350	350	350	350
Environmental Conservation, Department of	16,689	18,551	17,126	17,126	17,126	17,140
Parks, Recreation and Historic Preservation, Office of	2,237	2,515	1,145	1,145	1,145	1,147
Functional Total	18,979	21,078	18,621	18,621	18,621	18,637
TRANSPORTATION						
Motor Vehicles, Department of	2,322	3,937	3,813	3,813	3,813	3,824
Transportation, Department of	1,297	4,466	13,311	13,311	13,311	13,325
Functional Total	3,619	8,403	17,124	17,124	17,124	17,149
HEALTH						
Aging, Office for the	4,495	5,239	4,335	3,279	4,348	4,348
Health, Department of	548,170	638,951	610,579	661,707	718,358	694,231
<i>Medicaid Administration</i>	356,893	378,387	398,997	463,698	521,770	499,478
<i>Public Health</i>	191,277	260,564	211,582	198,009	196,588	194,753
Medicaid Inspector General, Office of the	5,925	5,040	4,934	4,934	4,934	4,976
Functional Total	558,590	649,230	619,848	669,920	727,640	703,555
SOCIAL WELFARE						
Children and Family Services, Office of	58,860	52,619	65,683	66,991	66,991	68,028
<i>OCFS</i>	58,860	52,619	65,683	66,991	66,991	68,028
Housing and Community Renewal, Division of	1,123	2,581	2,660	2,709	2,709	3,155
Human Rights, Division of	1,875	1,836	1,262	1,287	1,287	1,313
Labor, Department of	54,508	54,857	75,632	75,632	75,632	78,546
National and Community Service	14,397	16,549	14,969	15,268	15,268	15,573
Temporary and Disability Assistance, Office of	69,994	68,620	75,943	77,698	77,698	77,698
<i>All Other</i>	69,994	68,620	75,943	77,698	77,698	77,698
Functional Total	200,757	197,062	236,149	239,585	239,585	244,313
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,887	2,578	2,098	2,130	2,166	2,166
<i>OASAS</i>	1,887	2,578	2,098	2,130	2,166	2,166
Developmental Disabilities Planning Council	2,094	2,685	2,190	2,149	2,149	2,149
Justice Center	625	1,812	536	536	536	536
Mental Health, Office of	401	386	555	555	555	555
<i>OMH</i>	401	386	555	555	555	555
People with Developmental Disabilities, Office for	279	1,110	1,000	1,000	1,000	1,000
<i>OPWDD</i>	279	1,110	1,000	1,000	1,000	1,000
Functional Total	5,286	8,571	6,379	6,370	6,406	6,406
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,023	539	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	2,618	3,966	4,249	4,249	4,249	4,249
Homeland Security and Emergency Services, Division of	74,365	26,673	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	13,454	14,870	14,900	15,198	15,502	15,812
State Police, Division of	6,771	11,619	20,000	20,000	20,000	20,000
Victim Services, Office of	174	611	512	512	512	512
Functional Total	98,405	58,278	47,664	47,962	48,266	48,576
HIGHER EDUCATION						
City University of New York	7,634	2,181	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	6,486	5,803	5,797	5,797	5,797	5,797
State University of New York	309,521	301,813	304,760	304,760	304,760	304,760
Functional Total	323,641	309,797	318,191	318,191	318,191	318,191
EDUCATION						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	117,339	82,883	64,901	64,901	64,901	65,381
<i>School Aid</i>	214	0	0	0	0	0
<i>Special Education Categorical Programs</i>	8,460	0	0	0	0	0
<i>All Other</i>	108,665	82,883	64,901	64,901	64,901	65,381
Functional Total	117,339	82,883	65,001	65,001	65,001	65,481
GENERAL GOVERNMENT						
Elections, State Board of	3,945	2,400	9,200	0	0	0
General Services, Office of	6,908	8,439	4,987	4,987	4,987	4,987
Prevention of Domestic Violence, Office for	0	4	0	0	0	0
State, Department of	979	836	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	189	1,216	1,220	1,220	1,220	1,220
Technology, Office for	435	587	6,588	0	0	0
Veterans' Affairs, Division of	100	132	552	564	564	575
Workers' Compensation Board	8,643	1,882	0	0	0	0
Functional Total	21,199	15,496	26,586	10,810	10,810	10,821

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ELECTED OFFICIALS						
Judiciary	4,221	4,098	5,500	5,500	5,500	5,500
Law, Department of	<u>8,847</u>	<u>6,632</u>	<u>8,159</u>	<u>8,142</u>	<u>8,293</u>	<u>8,447</u>
Functional Total	<u>13,068</u>	<u>10,730</u>	<u>13,659</u>	<u>13,642</u>	<u>13,793</u>	<u>13,947</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>18</u>	<u>32</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>18</u>	<u>32</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u>1,372,322</u>	<u>1,371,449</u>	<u>1,379,295</u>	<u>1,417,202</u>	<u>1,475,382</u>	<u>1,457,013</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,056	2,047	2,047	2,073	2,134	2,195
Financial Services, Department of	15	0	0	0	0	0
Public Service Department	547	1,640	1,723	1,723	1,723	1,723
Functional Total	2,618	3,687	3,770	3,796	3,857	3,918
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	12,322	14,392	15,125	15,145	15,145	15,571
Parks, Recreation and Historic Preservation, Office of	0	0	0	0	0	24
Functional Total	12,322	14,392	15,125	15,145	15,145	15,595
TRANSPORTATION						
Motor Vehicles, Department of	599	685	720	720	720	806
Transportation, Department of	2,107	2,549	6,646	6,646	6,646	6,886
Functional Total	2,706	3,234	7,366	7,366	7,366	7,692
HEALTH						
Health, Department of	33,917	38,041	42,352	43,448	44,911	48,030
<i>Medicaid Administration</i>	3,274	1,522	3,812	4,024	4,253	4,658
<i>Public Health</i>	30,643	36,519	38,540	39,424	40,658	43,372
Medicaid Inspector General, Office of the	8,954	9,143	9,608	9,868	10,231	11,007
Functional Total	42,871	47,184	51,960	53,316	55,142	59,037
SOCIAL WELFARE						
Children and Family Services, Office of	13,809	9,812	10,311	10,713	11,231	12,240
<i>OCFS</i>	13,809	9,812	10,311	10,713	11,231	12,240
Housing and Community Renewal, Division of	3,514	3,811	4,005	4,005	4,005	4,005
Labor, Department of	92,493	91,534	96,193	95,383	96,193	96,193
National and Community Service	0	0	224	229	236	242
Temporary and Disability Assistance, Office of	39,031	42,221	44,370	44,370	44,370	44,370
<i>All Other</i>	39,031	42,221	44,370	44,370	44,370	44,370
Functional Total	148,847	147,378	155,103	154,700	156,035	157,050
MENTAL HYGIENE						
Developmental Disabilities Planning Council	454	231	744	785	785	785
Justice Center	35	0	63	64	64	64
Mental Health, Office of	489	435	446	456	469	469
<i>OMH</i>	489	435	446	456	469	469
Functional Total	978	666	1,253	1,305	1,318	1,318
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	904	991	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	259	331	348	348	348	348
Homeland Security and Emergency Services, Division of	9,515	6,722	7,064	7,064	7,064	7,064
Military and Naval Affairs, Division of	5,728	6,069	6,378	6,498	6,620	6,745
State Police, Division of	1,418	1,945	1,500	1,500	1,500	1,500
Functional Total	17,824	16,058	16,331	16,451	16,573	16,698
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	1	1	1	1	1	1
State University of New York	108	25	51	51	51	51
Functional Total	109	26	52	52	52	52
EDUCATION						
Education, Department of	44,504	47,843	50,278	50,935	52,426	56,000
<i>School Aid</i>	12	0	0	0	0	0
<i>Special Education Categorical Programs</i>	4,511	0	0	0	0	0
<i>All Other</i>	39,981	47,843	50,278	50,935	52,426	56,000
Functional Total	44,504	47,843	50,278	50,935	52,426	56,000
GENERAL GOVERNMENT						
Elections, State Board of	0	49	0	0	0	0
State, Department of	1,063	1,172	2,792	2,792	2,792	2,792
Technology, Office for	234	0	0	0	0	0
Veterans' Affairs, Division of	204	218	229	240	255	269
Functional Total	1,501	1,439	3,021	3,032	3,047	3,061
ELECTED OFFICIALS						
Judiciary	265	433	400	400	400	400
Law, Department of	12,178	10,298	10,822	12,180	12,680	13,669
Functional Total	12,443	10,731	11,222	12,580	13,080	14,069
TOTAL GENERAL STATE CHARGES SPENDING	286,723	292,638	315,481	318,678	324,041	334,490

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RBTF - Dedicated PIT in excess of Debt Service		10,274,975	10,906,246	10,811,925	10,743,531	11,053,100
STBF - Sales Tax Bond Fund		2,671,910	2,778,788	2,806,609	2,992,062	2,841,622
LGAC - Dedicated Sales Tax in excess of Debt Service		2,870,297	3,119,004	3,150,013	3,290,029	3,564,783
CWCA - Real Estate Transfer Tax in excess of Debt Service		940,201	1,023,128	1,080,136	1,133,209	1,189,219
Total All Other Transfers		817,703	1,213,314	736,310	720,216	719,965
339.21982	Administration Program	399	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	2,236	0	0	0	0
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	955	1	1	1	1
339.21977	Business and Licensing Services Account	40,171	66,508	49,807	49,581	49,330
323.55022	Business Services Center	54	0	0	0	0
339.21920	Certificate of Need Account	3,577	1,086	1,086	1,086	1,086
061.20810	Child Health Insurance Account	32	0	0	0	0
025.20401	Child Performer Protection Account	10	0	0	0	0
334.55055	Civil Service Administration Account	2,123	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
334.55056	Civil Service EHS Occupation Health Program Account	0	8	8	8	8
339.21962	Clinical Laboratory Reference Fee Account	510	289	289	289	289
501.23702	Commercial Gaming Regulation	0	2	2	2	2
501.23701	Commercial Gaming Revenue Account	120,800	0	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	357	357	357	357	357
339.21945	Criminal Justice Improvement Account	8,864	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	131	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund	0	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	9,040	8,372	8,372	8,372	8,372
323.55010	Design and Construction Account	1,434	1,866	1,866	1,866	1,866
486.26000	DOL Federal Grants	2,185	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	361	131	131	131	131
301.21080	EnCon Magazine Acct	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	0	131	131	131	131
301.21081	Environmental Regulatory Account	2,242	2,242	2,242	2,242	2,242
061.20818	EPIC Premium Account	69	0	0	0	0
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	3,301	1,961	1,961	1,961	1,961
291.313DD	Fed Grants - Capital	197	0	0	0	0
267.25200	Federal Education Fund	1,538	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,634	1,634	1,634	1,634
265.25100	Federal Health and Human Services Fund	107,710	117,423	117,423	103,423	103,423
290.25300	Federal Operating Grants Fund	97	576	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	38,496	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	6,218	18,504	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	0	43,692	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	27	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	25,277	28,849	28,849	28,849	28,849
061.20821	Health Care Delivery Administration Account	13	0	0	0	0
396.55300	Health Insurance Internal Services Account	2,100	3,428	3,428	3,428	3,428
061.20819	Health Occup Dev Wkpl Dem	43	0	0	0	0
502.23755	Health Operation and Oversight Account	160	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	HESC - Insurance Premium Payments	14,439	17,402	16,221	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,163	2,608	2,085	2,085	2,085
339.21994	Insurance Department Account	1,178	0	0	0	0
334.55071	Labor Contact Center Account	150	0	0	0	0
339.22096	Legal Services Assistance Fund	2,830	9,545	2,830	2,830	2,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	12	5	5	5	5
160.20902	Lottery Administration - New	4,466	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
225.23652	Metropolitan Transportation Authority Aid Trust Account	250	225	225	225	225
314.21452	Mobile Source Account	7,386	6,404	6,404	6,404	6,404
225.23651	Mobility Tax Trust Account	1,249	5,400	5,400	5,400	5,400
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	300	4,300	300	300	300
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
		Results	Updated	Projected	Projected	Projected
339.22177	Occupational Health Clinic Account	13	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,299	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	2,161	0	0	0	0
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
339.219YL	OGS Building Administration Account	1,086	0	0	0	0
323.550ZY	OGS Building Administration Account	19	0	0	0	0
323.550ZX	OGS Executive Direction Account	287	105	105	105	105
339.219YN	OGS Standards and Purchase Account	3,000	3,000	3,000	3,000	3,000
323.550ZZ	OGS Standards and Purchase Account	34	0	0	0	0
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	0	158	158	158	158
339.22088	Professional Medical Conduct Account	1,008	291	291	291	291
061.20815	Provider Collection Monitoring Account	79	0	0	0	0
339.22123	Public Safety Communication Account	3,257	55,161	5,161	5,161	5,161
339.22011	Public Service Account	4,652	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	3,769	0	0	0	0
339.21915	Quality of Care Account	0	65,099	0	0	0
339.21965	Radiological Health Protection	393	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	7,449	7,115	115	115	115
339.21900	Reserve for Transaction Risks	0	0	(144,465)	(145,939)	(145,939)
339.22024	Revenue Arrearage Account	0	60,442	18,677	18,677	18,677
020.20120	Special Events Account	27	0	0	0	0
339.22028	State Central Register Account	1,822	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	111,600	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	4,000	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	36,423	43,130	38,564	38,564	38,564
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	1,308	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,328	5,320	5,320	5,320	5,320
061.20801	Tobacco Control and Cancer Services Account	182	0	0	0	0
339.22055	Traffic Adjudication Account	3,132	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	136,017	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	39,051	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	4,873	3,211	3,211	3,211	3,211
339.22103	Vital Records Management Account	3,001	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	866	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
050.20452	Vocational School Supervision Account	297	297	0	0	0
339.21995	Workers' Compensation Account	15,806	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	0	154,869	55,000	55,000	55,000
		17,575,086	19,040,480	18,584,993	18,879,047	19,368,689

General Fund Transfers To Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Transfers to State Share of Mental Hygiene Medicaid		1,238,987	915,583	1,231,172	1,118,733	1,118,637
Transfers to Debt Service Funds		923,569	1,301,239	1,143,595	1,041,895	1,066,725
Transfers to Capital Projects Funds		2,569,547	2,622,911	4,047,273	3,869,430	3,488,032
Transfers to SUNY University Operations		996,256	1,014,990	1,004,582	1,000,778	1,000,778
Total All Other Transfers		4,364,298	4,290,569	4,698,989	5,220,413	5,486,256
020.20143	Alzheimers Disease Assistance	257	270	270	270	270
334.55057	Banking Services Account	41,618	53,435	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	400	500	500	500	500
334.55069	Centralized Technology Services Account	2,360	13,060	11,860	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	95,839	109,300	109,300	109,300
397.55350	Correctional Industries Account	10,500	12,000	12,000	12,000	12,000
340.22501	Court Facility Income Account	107,237	106,000	110,000	109,000	110,000
339.22015	Crimes Against Revenue Program Account	6,300	2,000	0	0	0
073.20853	Dedicated Mass Transportation Non MTA	5,013	5,274	5,274	5,274	5,274
319.40300	Health Income Fund	13,410	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	11,311	8,083	8,083	8,083	8,083
S02.23755	Health Operation and Oversight Account	4,886	4,540	6,550	4,626	6,913
316.40250	Housing Debt Fund	1,803	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	31,394	35,000	35,000	57,621	81,781
339.22157	Medicaid Income Account	527	0	0	0	0
339.21909	Mental Hygiene Patient Income Account	1,617,712	1,489,782	1,550,563	1,757,324	1,887,077
339.21907	Mental Hygiene Program Fund Account	1,669,351	1,708,439	2,042,192	2,337,112	2,445,346
313.21402	Metropolitan Mass Transportation Operating Assistance Account	18,713	21,175	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	334,035	268,710	269,165	269,611	270,020
334.55059	Neighbor Work Proj Acct	0	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	4,378	4,400	4,400	4,400	4,400
323.5502X	OGS Executive Direction Account	21,789	21,783	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	172	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	15,046	16,020	16,020	16,020	16,020
073.20852	Railroad Account	8,773	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,025	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	7,500	8,500	8,500	8,500	8,500
345.22653	State University General IFR Account	14,251	13,540	0	0	0
345.22656	State University Hospital IFR Operations Account	354,837	310,864	321,564	321,564	321,564
339.22168	Tax Revenue Arrearage Account	3,000	3,000	3,000	3,000	3,000
073.20851	Transit Authorities Account	48,876	51,394	51,394	51,394	51,394
020.20128	WB Hoyt Memorial Trust Fund	0	622	622	622	622
		10,092,657	10,145,292	12,125,611	12,251,249	12,160,428

CASH COMBINING STATEMENT
GENERAL FUND
FY 2018
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Debt Management	General Reserve Fund	Eliminations	Total
Opening Fund Balance	0	1,258	21	56	540	5,874	0	0	0	7,749
Receipts:										
Taxes	48,633	0	0	0	0	0	0	0	0	48,633
Miscellaneous Receipts	2,505	0	0	0	0	0	0	0	0	2,505
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total receipts	51,138	0	0	0	0	0	0	0	0	51,138
Disbursements:										
Local Assistance	47,080	0	0	1	0	0	0	0	0	47,081
State Operations	8,222	0	0	0	0	0	0	0	0	8,222
General State Charges	5,784	0	0	0	0	0	0	0	0	5,784
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	61,086	0	0	1	0	0	0	0	0	61,087
Other financing sources (uses):										
Transfers from Other Funds	49,818	0	0	0	0	167	500	5,679	(37,124)	19,040
Transfers to Other Funds	(39,870)	0	0	(16)	0	(5,886)	0	(1,498)	37,124	(10,146)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	9,948	0	0	(16)	0	(5,719)	500	4,181	0	8,894
Change in Fund Balance	0	0	0	(17)	0	(5,719)	500	4,181	0	(1,055)
Closing Fund Balance	0	1,258	21	39	540	155	500	4,181	0	6,694

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018
(Thousands of dollars)

	MENTAL HEALTH GIFTS AND DONATIONS (20850-20899)	COMBINED EXPENDABLE (20100-20299)	NEW YORK INTEREST ON LAWYER (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP (20350-20399)	PERFORMERS (20300-20449)	CHILD PERFORMERS (20300-20449)	REVENUE (20450-20499)	TUITION (20450-20499)	GOVERNMENT RECORDS MANAGEMENT (20500-20549)	SCHOOL TAX (20550-20599)	CHARTER SCHOOL (20600-20649)	HEALTH CARE REFORM ACT (20800-20849)
Opening Fund Balance	2,281	59,928	41,036	62	54	6,580	4,306	4,306	0	0	6,564	11,906
Receipts:												
Taxes	0	0	0	0	0	0	0	0	0	2,629,997	0	847,000
Miscellaneous Receipts	220	(85,762)	12,000	318	65	4,305	9,233	9,233	0	0	0	4,925,480
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	220	(85,762)	12,000	318	65	4,305	9,233	9,233	0	2,629,997	0	5,772,480
Disbursements:												
Local Assistance	0	8,497	23,000	0	0	0	5,056	2,629,997	4,837	0	0	5,571,547
State Operations	222	4,909	1,255	420	247	2,664	2,146	0	0	0	0	34,757
General State Charges	0	195	422	170	149	1,162	936	0	0	0	0	5,029
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,475	0	0	0	0	0	0	0	0	0	0
Total Disbursements	222	16,076	24,677	590	396	3,826	8,138	2,629,997	4,837	0	0	5,611,933
Other Financing Sources (Uses):												
Transfers from Other Funds	0	97,431	0	300	300	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	0	(8)	(8)	(562)	(1,383)	0	0	0	0	(172,447)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2)	97,431	(12,677)	292	300	(562)	(1,383)	0	0	0	4,837	(172,447)
Change in Fund Balance	(2)	(4,407)	28,359	82	23	6,497	4,018	0	0	0	6,564	(11,900)
Closing Fund Balance	2,279	55,521	68,395	144	77	13,097	12,254	0	0	0	13,128	10,006

	DEDICATED MASS TRANSPORTATION (20850-20899)	STATE LOCAL (20900-20949)	COMBINED STATE (20300-20349)	MITA FINANCIAL (20350-20399)	FEDERAL USA/FOOD AND NUTRITION (25300-25349)	FEDERAL HUMAN (25180-25199)	FEDERAL MISCELLANEOUS OPERATING (25300-25399)	SEWAGE TREATMENT PROGRAM (21000-21049)	ENCON SPECIAL (21050-21149)
Opening Fund Balance	80,920	122,398	5,682	155,469	13,226	628,949	(174,706)	(3,499)	(13,888)
Receipts:									
Taxes	460,800	0	0	1,553,000	0	0	0	0	0
Miscellaneous Receipts	141,206	3,382,527	27,728	191,190	100,011	53,586	4,373	900	81,911
Federal Grants	0	0	650	2,063,465	2,153,476	47,835,885	1,386,487	0	0
Total Receipts	602,006	3,382,527	28,378	1,744,190	2,153,476	47,839,471	1,390,860	900	81,911
Disbursements:									
Local Assistance	667,825	3,361,348	0	2,004,900	2,048,114	44,685,937	1,111,144	0	0
State Operations	0	86,947	27,792	0	58,117	950,447	231,642	145	66,737
General State Charges	0	7,685	0	0	13,153	102,437	48,078	84	25,471
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	667,825	3,455,980	27,792	2,004,900	2,119,384	45,738,821	1,390,864	229	92,208
Other Financing Sources (Uses):									
Transfers from Other Funds	65,884	42,334	0	268,710	0	0	11,517	0	19,121
Transfers to Other Funds	0	(4,870)	0	(5,625)	(34,092)	(1,954,937)	(11,554)	0	(11,274)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	65,884	37,464	0	263,085	(34,092)	(1,954,937)	(37)	0	7,847
Change in Fund Balance	65	(35,989)	586	2,375	13,226	814,652	(41)	671	(2,450)
Closing Fund Balance	80,985	86,409	6,268	157,844	26,452	13,914,123	(74,747)	(2,828)	(16,338)

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018
(Thousands of dollars)

	ENVIRONMENTAL PROTECTION COMPENSATION (2100-2149)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (2150-2159)	LAWYERS' FUND FOR PROTECTION (2190-2199)	EQUIPMENT LOAN FUND DISABLED (2150-2199)	TRANSPORTATION ASSISTANCE (2160-2149)	MASS AIR CLEAN AIR (2160-2149)	NEW YORK STATE INFANTS TRUST (2150-2159)	LEGISLATIVE SERVICES (2150-2159)	STATE UNIVERSITY DOMINGUEZ INCOME (4030-4039)
Opening Fund Balance	74,632	33,305	4,212	531	100,622	(22,160)	67	10,717	238,246
Receipts:									
Taxes	0	0	0	0	2,220,200	0	0	0	0
Miscellaneous Receipts	46,792	45,217	8,800	50	17,500	43,200	0	1,719	344,024
Federal Grants	0	0	0	0	0	0	0	0	0
Total Receipts	46,792	45,217	8,800	50	2,237,700	43,200	0	1,719	344,024
Disbursements:									
Local Assistance	0	0	0	0	2,238,186	0	0	0	0
State Operations	34,888	14,077	10,700	89	4,130	27,058	0	1,500	0
General State Charges	15,835	7,357	200	0	1,921	12,670	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	50,723	21,434	10,900	89	2,244,237	39,728	0	1,500	0
Other Financing Source (Uses):									
Transfers from Other Funds	75	19,006	0	0	37,195	0	0	0	0
Transfers to Other Funds	(1,859)	(36,706)	0	(7)	(121,548)	(6,404)	0	0	(360,025)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Source (Uses)	(1,784)	(17,700)	350	(7)	(84,353)	(6,404)	0	219	(360,025)
Change in Fund Balance	(5,715)	6,083	(2,100)	(46)	(90,890)	(2,532)	0	219	(16,001)
Closing Fund Balance	68,917	39,388	4,030	485	9,732	(25,092)	67	10,936	222,245

	COMBINED NON-EXPENDABLE (2160-2169)	WINTER SPORTS EDUCATION (21700-2179)	MUSICAL INSTRUMENT REVOLVING (21750-2179)	ARTS CAPITAL (21850-2189)	MISCELLANEOUS STATE SPECIAL (21900-2199)	COURT FACILITIES INCOME (22500-2259)	EMPLOYMENT (22550-2259)	STATE UNIVERSITY (22650-2269)	CHEMICAL DEPENDENCE (22700-2279)	LAKE GEORGE (22750-2279)
Opening Fund Balance	458	0	1	846	1,070,989	8,357	49	1,232,580	37,239	(13)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	115	75	0	60	2,384,454	150	0	4,519,444	6,467	1,208
Federal Grants	0	0	0	0	89	0	0	0	0	0
Total Receipts	115	75	0	60	2,384,543	150	0	4,519,444	6,467	1,208
Disbursements:										
Local Assistance	0	0	0	98	2,162,607	105,497	0	0	5,870	0
State Operations	59	75	0	0	4,184,223	1,800	0	5,084,545	574	946
General State Charges	0	0	0	0	1,685,406	800	0	461,887	0	404
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	59	75	0	98	8,032,236	108,097	0	6,146,432	6,444	1,350
Other Financing Source (Uses):										
Transfers from Other Funds	0	0	0	0	7,767,250	106,000	0	1,797,114	0	0
Transfers to Other Funds	0	0	0	0	(2,268,759)	(1,311)	0	(199,560)	(26,000)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Source (Uses)	56	0	0	(38)	(5,498,491)	104,689	0	1,597,554	(26,000)	0
Change in Fund Balance	514	0	1	808	921,787	5,099	49	1,203,146	1,262	(142)
Closing Fund Balance	1,172	39	2	1,654	10,654,521	15,831	49	12,143,582	22,201	1,073

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018

(thousands of dollars)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT INVESTIGATION THREAT & INSURANCE RAUD PREVENTION (2280-2289)	FEDERAL MAXIMIZATION CONTRACT (2380-2389)	HOUSING DEVELOPMENT (2390-2399)	INVESTMENT SAFETY PROGRAM (2400-2409)	VOCATIONAL REHABILITATION (2490-2499)	DRINKING WATER OPERATIONS MANAGEMENT AND ADMINISTRATION (2500-2549)	NEW YORK CITY CORPORATION OFFSET (2550-2559)	JUDICIARY PROCESSES OFFSET (2600-2649)	CITY UNIVERSITY REIMBURSEMENT (2650-2649)
Opening Fund Balance	33,127	23	10,660	(9,325)	82	(5,352)	(25,438)	9,757	17,019
Receipts:									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	123,567	0	900	3,068	100	0	34,900	40,000	94,206
Federal Grants	0	0	0	0	0	0	0	0	0
Total Receipts	123,567	0	900	3,068	100	0	34,900	40,000	94,206
Disbursements:									
Local Assistance	4,237	0	852	0	20	0	0	0	0
State Operations	9,244	0	0	3,449	25	0	23,100	24,700	117,032
General State Charges	35	0	0	0	0	0	11,400	8,800	7,892
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	13,516	0	852	3,449	45	0	34,500	33,500	124,924
Other Financing Sources (Uses):									
Transfers from Other Funds	0	0	0	0	0	0	4,400	0	0
Transfers to Other Funds	(116,720)	0	0	0	(32)	(1,108)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(116,720)	0	0	(32)	(32)	(1,108)	4,400	0	(30,718)
Change in Fund Balance	(6,669)	(45)	48	(381)	23	(1,108)	4,800	6,500	146,301
Closing Fund Balance	26,458	23	10,708	(9,706)	105	(6,460)	(20,638)	16,257	146,301

	US OLYMPIC COMMITTEE/ LAW ENFORCEMENT TRAINING (2350-2359)	UNEMPLOYMENT INSURANCE ADMINISTRATION (2590-2599)	UNEMPLOYMENT INTEREST AND PENALTY (2600-2649)	UNEMPLOYMENT OCCUPATIONAL TRAINING (2590-2599)	FEDERAL EMPLOYEE TRAINING (2600-2649)	NEW YORK COMMERCIAL GAMING (23700-23749)	MISCELLANEOUS TRUST (23750-23799)	NON MTA TRANSIT ASSISTANCE ACCOUNT	SPECIAL REVENUE OTHER	SUB-TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	91	82,991	26,506	1,171	(944)	17,176	3,951	0	(507)	4,271,692	0	4,271,692
Receipts:												
Taxes	0	0	0	0	0	0	1,000	0	0	7,712,997	0	7,712,997
Miscellaneous Receipts	85	53,840	9,600	0	0	140,364	2,000	0	0	16,958,308	0	16,958,308
Federal Grants	0	305,095	0	7,780	162,466	0	0	0	1,000	54,844,393	0	54,844,393
Total Receipts	85	358,935	9,600	7,780	162,466	140,364	3,000	0	1,000	79,515,698	0	79,515,698
Disbursements:												
Local Assistance	0	9,339	0	7,780	134,762	102,270	1,800	0	0	69,503,325	0	69,503,325
State Operations	75	213,034	2,685	0	22,534	3,223	4,591	746	35,500	12,476,856	0	12,476,856
General State Charges	0	87,894	1,173	0	8,258	1,346	771	273	0	2,590,417	0	2,590,417
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	2,475	0	2,475
Total Disbursements	75	310,267	3,858	7,780	165,574	107,139	7,162	1,019	35,500	84,573,273	0	84,573,273
Other Financing Sources (Uses):												
Transfers from Other Funds	0	0	0	0	0	0	4,540	0	0	10,281,364	(2,432,378)	7,848,986
Transfers to Other Funds	0	(50,569)	(3,211)	0	0	(42,336)	0	(45)	0	(5,445,779)	2,432,378	(3,013,401)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(50,569)	(3,211)	0	0	(42,336)	4,540	(45)	0	4,835,585	0	4,835,585
Change in Fund Balance	10	42,219	2,531	(1,901)	(2,908)	(9,111)	378	1,000	(34,500)	(21,990)	0	(21,990)
Closing Fund Balance	101	257,483	29,037	1,171	(3,852)	8,065	4,329	3,156	(35,007)	4,049,702	0	4,049,702

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Ment Hyg Gifts	2,277	0	220	0	0	0	220	0	0	222	0	0	0	0	0	0	222	2,275
020.20100-Combined Exp Tr	(35)	0	(109,300)	0	0	95,839	(13,461)	0	0	0	0	0	0	0	0	0	0	(13,496)
020.20101-Planting Fields	1,443	0	350	0	0	0	350	0	216	48	7	0	131	0	0	0	402	1,391
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	5	53
020.20109-Helen Hayes Hsp	45	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	10
020.20110-Oxford Donation	277	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	393
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	62	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	59
020.20113-Donations-Bataw	16	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(5)
020.20114-Montrose Donati	174	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	173
020.20116-IBR Genetic Cou	37	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	37
020.20118-Tech Transfer	30	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	55
020.20120-Spec Events	1,206	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	1,344
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Van Stand	1,193	0	715	0	0	0	715	0	44	508	1	0	27	0	0	0	580	1,328
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	2,713	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	2,585
020.20129-NYSCB Gift& Beq	189	0	0	0	0	0	0	0	0	32	0	0	0	0	0	0	32	157
020.20130-St T Transm Money	19,502	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	26,572
020.20142-Youth Grants &	273	0	0	0	0	0	0	0	43	410	0	0	0	0	0	0	453	(180)
020.20143-Alzheimers Dis	1,764	0	270	0	0	270	540	663	0	0	0	0	0	0	0	0	663	1,641
020.20144-Local Gov Comm	143	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	148
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	149	0	10	0	0	0	10	0	0	18	0	0	0	0	0	0	18	141
020.20150-Emergency Serv	13,635	0	5,688	0	0	0	5,688	3,101	127	93	4	0	28	0	0	0	3,363	15,970
020.20151-Batavia-Charlot	347	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	344
020.20152-Rome-Gifts And	74	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	75
020.20155-Br Can Res & Ed	8,303	0	540	0	0	500	1,040	1,923	0	0	0	0	0	0	0	0	1,923	7,420
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	98	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	98
020.20166-Erie Canal Muse	12	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	13
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,311	0	400	0	0	0	400	0	0	179	0	0	0	0	0	0	179	1,532
020.20176-Misc. Gifts Acc	(1,051)	0	3,975	0	0	0	3,975	0	250	1,000	0	0	0	0	2,475	0	3,725	(801)
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20183-Prostate Cancer	3,259	0	240	0	0	200	440	840	0	0	0	0	0	0	0	0	840	2,859
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	392	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	401
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20198-HESC Gifts Dona	528	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	528
020.201B4-DFY Rec & Welir	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	155	0	100	0	0	0	100	0	14	88	1	0	9	0	0	0	112	143
020.201HH-OWH Grant & Beq	864	0	74	0	0	0	74	0	0	75	0	0	0	0	0	0	75	863
020.201MH-RPMI Schoelkopf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,349	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,782
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	240	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	540
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	0
020.20201-Veterans Rem Ce	597	0	224	0	0	0	224	0	0	0	0	0	0	0	0	0	0	821
020.20205-Mental Illness	117	0	58	0	0	0	58	0	0	0	0	0	0	0	0	0	0	175
020.20206-Women's Cancer	148	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	48
023.20300-N Y Int Lawyers	41,035	0	12,000	0	0	0	12,000	23,000	647	554	54	0	422	0	0	0	24,677	28,358
024.20350-NYS Archvs Pine	62	0	318	0	0	300	618	0	292	119	9	170	0	0	0	8	598	82
025.20401-Child Performer	58	0	65	0	0	300	365	0	232	0	6	0	0	0	0	0	396	27
050.20451-Tuition Reimb	4,752	0	705	0	0	0	705	0	0	200	0	101	0	0	0	23	324	5,133
050.20452-Voc School Supe	1,829	0	3,600	0	0	0	3,600	0	1,713	700	51	1,061	0	0	0	539	4,064	1,365
052.20501-Loc Gov Record	4,306	0	9,233	0	0	0	9,233	5,056	1,745	350	51	936	0	0	0	1,383	9,521	4,018
053.20550-Sch Tax Relief	0	2,629,997	0	0	0	0	2,629,997	2,629,997	0	0	0	0	0	0	0	0	2,629,997	0
054.20601-Charter School	6,564	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,564

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2018

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Dist.	Closing Balance
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Chtr & S	117	0	0	0	0	0	0	0	1,583	144	42	0	1,218	0	0	0	2,987	(2,870)
061.20802-Health Care Sv	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	6	0	0	0	0	0	0	3,892.735	0	0	0	0	0	0	0	0	3,892.735	(3,892.729)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	399.712	0	8,328	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	1,049	0	0	0	0	0	0	10,570	2,158	1,421	5	1,306	0	0	0	0	408,040	(406,991)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	256.390	378	3,891	31	373	0	0	0	0	0	(2)
061.20809-EMS Training	860	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	860
061.20810-Child Health In	344	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	344
061.20811-HCRA Undistrib	5,463	847,000	4,880,803	0	0	0	5,727,803	0	0	0	0	0	0	0	0	0	0	5,463
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	90	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	90
061.20814-Primary Care In	0	0	0	0	0	0	0	0	204	0	5	0	164	0	0	158	531	(531)
061.20815-Prov Coll Monit	24	0	0	0	0	0	0	0	46	0	0	73	0	0	0	119	(95)	
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)	
061.20817-Indigent Care	1,207	0	0	0	0	0	0	882,500	0	0	0	0	0	0	9,000	891,500	(890,293)	
061.20818-EPIC Premium	1,854	0	44,677	0	0	0	44,677	1,29,580	1,136	10,342	4	697	0	0	0	141,759	(95,228)	
061.20819-Health Occup De	298	0	0	0	0	0	0	0	386	694	10	443	0	0	0	1,533	(1,235)	
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	104	0	0	0	0	0	0	0	343	2	8	173	0	0	0	526	(422)	
061.20822-Cig Task Force	486	0	0	0	0	0	0	0	1,942	1,605	49	1,182	0	0	0	4,778	(4,292)	
073.20851-Transit Authori	56,637	360,100	109,504	0	0	51,394	520,998	522,156	0	0	0	0	0	0	0	522,156	55,479	
073.20852-Railroad Account	10,032	63,400	19,204	0	0	9,216	91,820	91,060	0	0	0	0	0	0	0	91,060	10,792	
073.20853-DMTF	14,247	37,300	12,498	0	0	5,274	55,072	54,609	0	0	0	0	0	0	0	54,609	14,710	
160.20901-Education - New	93,718	0	2,301,000	0	0	0	2,301,000	2,394,714	0	0	0	0	0	0	0	2,394,714	0	4
160.20902-Lottery Adm New	18,708	0	153,587	0	0	0	153,587	0	17,842	64,384	488	6,003	0	0	0	4,204	92,921	79,374
160.20903-VLT Administrat	1,571	0	12,040	0	0	0	12,040	0	2,978	1,174	81	1,682	0	0	0	666	6,581	7,030
160.20904-VLT - Education	8,400	0	915,900	0	0	42,334	958,234	966,634	0	0	0	0	0	0	0	966,634	0	0
221.20950-Comb Student Ln	5,684	0	27,728	650	0	0	28,378	0	0	27,792	0	0	0	0	0	0	27,792	6,270
225.23651-Mobility Tax Tr	128,825	1,438,000	100	0	0	268,710	1,706,810	1,698,900	0	0	0	0	0	0	0	5,400	1,704,300	131,335
225.23652-MTA Aid Trust	20,153	115,000	191,090	0	0	0	306,090	306,000	0	0	0	0	0	0	0	225	306,225	20,018
300.21002-Encon Admin Acc	(3,501)	0	900	0	0	0	900	0	136	9	0	84	0	0	0	0	229	(2,830)
300.21051-Encon Energy Ef	161	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	161
300.21052-EnCon-Seized As	446	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	466
301.21053-Wst Tire Mgr/R	19,863	0	24,000	0	0	0	24,000	0	12,727	4,800	379	7,825	0	0	0	25,731	18,132	18,132
301.21054-Oil & Gas Accou	122	0	108	0	0	0	108	0	0	98	0	0	0	0	0	98	0	132
301.21055-Marine/Coastal	143	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	156
301.21060-Indirect Charge	2,661	0	0	0	0	10,121	10,121	0	2,971	3,306	100	782	0	0	2,608	9,767	3,015	
301.21061-Hazardous Sub B	691	0	350	0	0	0	350	0	152	33	8	93	0	0	0	286	755	0
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envr R	1	0	0	0	0	0	0	0	1,000	0	30	614	0	0	0	1,644	(1,643)	0
301.21065-Federal Grant I	505	0	40	0	0	9,000	9,040	0	7,152	168	0	0	0	0	1,634	8,954	591	
301.21066-Low Level Radio	(4,516)	0	2,811	0	0	0	2,811	0	1,190	171	31	795	0	0	0	433	2,620	(4,325)
301.21067-Recreation Acco	(6,712)	0	10,200	0	0	0	10,200	0	2,917	812	230	608	0	0	455	5,022	(1,534)	0
301.21077-Public Safety R	22	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	22
301.21080-Encon Magazine	827	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,218
301.21081-Environmental R	(33,668)	0	28,600	0	0	0	28,600	0	15,698	2,424	514	9,652	0	0	5,594	33,882	(38,950)	0
301.21082-Natural Resourc	(17,256)	0	4,811	0	0	0	4,811	0	2,127	397	136	1,308	0	0	400	4,368	(16,813)	0
301.21083-UST-Trust Recov	350	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	362
301.21084-Mined Land Recl	2,858	0	4,210	0	0	0	4,210	0	2,162	117	72	1,329	0	0	0	3,680	3,388	0
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21088-Monitors-Aggr	19,622	0	6,000	0	0	0	6,000	0	4,009	493	118	2,465	0	0	0	7,085	18,537	0
302.21150-Conservation	11,697	0	43,222	0	0	75	43,297	0	24,674	6,961	814	15,170	0	0	1,784	49,403	5,591	0
302.21151-Marine Resource	2,793	0	1,480	0	0	0	1,480	0	991	1,216	74	618	0	0	0	2,899	1,374	0
302.21152-Migratory Bird	139	0	10	0	0	0	10	0	45	0	0	0	0	0	0	0	45	104
302.21153-Guides License	92	0	55	0	0	0	55	0	51	6	1	31	0	0	0	89	58	0
302.21154-Fish And Game T	59,869	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	75	75	61,794	0
302.21155-Surf Clam/Quatho	20	0	0	0	0	0	0	0	26	29	0	16	0	0	0	71	(51)	0
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
303.21201-Oil Spill - DAC	3	0	121	0	0	705	826	0	523	223	(59)	343	0	0	0	1,030	(201)	0
303.21202-Oil Sp Relocam	3	0	0	0	0	301	301	0	184	14	0	112	0	0	0	310	(6)	0
303.21203-Oil Spill - DEC	(1)	0	0	0	0	18,000	18,000	0	11,226	1,635	331	6,902	0	0	4,000	24,094	(6,095)	0
303.21204-Oil Spill - DAC	33,299	0	31,396	0	0	0	31,396	0	0	0	0	0	0	0	0	19,006	45,689	0
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	0	0
305.21251-OSH Trng & Educ	342	0	26,357	0	0	0	26,357	0	10,802	7,201	277	7,172	0	0	0	25,452	1,247	0

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
305.21252-OSHA Inspection	2,028	0	22,139	0	0	350	22,489	0	11,875	3,224	305	0	6,333	0	0	0	21,737	2,780
306.21301-CSF Regis Fee	4,214	0	8,800	0	0	0	8,800	0	500	10,200	0	0	200	0	0	0	10,900	2,114
307.21351-Equipment Loan	532	0	50	0	0	0	50	0	0	89	0	0	0	0	0	7	96	486
313.21401-Pub Tran Systems	6,733	76,000	0	0	0	16,020	92,020	86,446	636	504	19	0	391	0	0	0	87,996	10,757
313.21402-Metropolitan Ma	93,779	2,144,200	17,500	0	0	21,175	2,182,875	2,151,740	2,488	410	73	0	1,530	0	0	121,548	2,277,789	(1,135)
313.21403-Urban Mass Tran	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(20,346)	0	9,200	0	0	0	9,200	0	4,152	1,647	209	0	2,553	0	0	0	8,561	(19,707)
314.21452-Mobile Source	(1,816)	0	34,000	0	0	0	34,000	0	16,455	4,108	487	0	10,117	0	0	6,404	37,571	(5,387)
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	6	38
321.21551-Legisl Comp R&D	10,656	0	1,717	0	0	0	1,717	0	0	1,500	0	0	0	0	0	0	1,500	10,873
321.21552-Demographics/RE	61	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	63
330.40350-S U Dorm Income	238,243	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	360,025	360,025	222,242
332.21651-Brummer Award	38	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	229
332.21652-William Vorce F	229	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	229
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Winir Sports Edu	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	846	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	808
340.22501-CFIA Undisrib	8,357	0	150	0	0	106,000	106,150	105,497	1,700	100	0	0	800	0	0	1,311	109,408	5,099
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22652-L I Vets Home	32,592	0	45,459	0	0	0	45,459	0	28,032	16,100	0	0	0	0	0	443	44,575	33,476
345.22653-S U Genl IFR	650,769	0	750,398	0	0	50,851	801,249	0	179,336	526,423	0	0	2,070	0	0	72,246	780,075	671,943
345.22654-S U Inc Offset	(19,543)	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	(19,533)
345.22655-Gen Rev Offset	91,839	0	1,857,916	0	0	1,014,990	2,872,906	0	2,223,983	569,142	0	0	4,270	0	0	58,504	2,855,899	108,846
345.22656-S U Hosp Ops	303,009	0	1,737,009	0	0	731,273	2,468,282	0	1,063,839	954,924	0	0	452,175	0	0	68,130	2,539,068	232,223
345.22657-SUNY Stabilizat	48,079	0	10	0	0	0	10	0	0	87	0	0	0	0	0	0	132	47,957
345.22658-State Univ Hosp	13,738	0	38,812	0	0	0	38,812	0	35,267	2,862	0	0	0	0	0	0	38,129	14,421
345.22659-SUNY Tuition Re	111,545	0	89,830	0	0	0	89,830	0	50,549	33,956	0	0	3,372	0	0	237	88,114	113,261
345.22660-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	37,238	0	6,467	0	0	0	6,467	5,870	0	574	0	0	0	0	0	26,000	32,444	11,261
349.22751-Lk George Park	(11)	0	1,208	0	0	0	1,208	0	677	250	19	0	404	0	0	0	1,350	(153)
354.22801-MV/FA	7,252	0	4,742	0	0	0	4,742	4,237	136	4	4	0	35	0	0	4,300	8,716	3,278
354.22802-St Police MV En	25,876	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	23,181
355.22851-Great Lakes Pro	608	0	160	0	0	0	160	0	82	70	3	0	50	0	0	205	563	1,577
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,659	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,707
362.23001-DOT Comm Veh Sa	(9,324)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(9,705)
365.23051-Vocatl Rehabil	83	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	106
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(25,437)	0	34,900	0	0	4,400	39,300	0	19,800	3,300	0	0	11,400	0	0	0	34,500	(20,637)
369.23201-Jud Data Proc O	9,757	0	40,000	0	0	0	40,000	0	18,700	6,000	0	0	8,800	0	0	0	33,500	16,257
377.23267-CUNY Stabilizn	4,899	0	3,000	0	0	0	3,000	0	0	0	0	0	0	0	0	0	0	7,899
377.2327X-CUNY Tuin Reim	74,470	0	5,108	0	0	0	5,108	0	5,818	0	0	0	7,892	0	0	0	5,818	73,760
385.23501-Lk Plecid Train	97,650	0	86,098	0	0	0	86,098	0	70,178	41,036	0	0	0	0	0	0	119,106	64,642
390.23551-Indigent Legal	91	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	101
482.23750-Med Marth Colle	215,263	0	132,000	0	0	35,000	167,000	95,465	2,520	25,610	170	0	1,016	0	0	0	124,781	257,482
501.23701-UI Sp Int & Pen	26,504	0	9,600	0	0	0	9,600	0	1,643	1,000	42	0	1,173	0	0	3,211	7,069	29,035
501.23701-Commercial Gami	20,763	0	130,171	0	0	0	130,171	102,270	0	0	0	0	0	0	0	42,334	144,604	6,330
501.23702-Comm Game Regul	(4,522)	0	10,193	0	0	0	10,193	0	2,236	1,223	64	0	1,346	0	0	2	4,871	800
501.23703-Prob Gambli Svcs	935	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	935
502.23750-Med Marth Colle	266	450	0	0	0	0	450	0	0	0	0	0	0	0	0	0	0	716
502.23752-MMF - County DI	98	450	0	0	0	0	450	1,800	0	0	0	0	0	0	0	0	1,800	(1,252)
502.23753-MMF - Law Enfor	30	50	0	0	0	0	50	0	0	0	0	0	0	0	0	0	0	80
502.23754-MMF - Addiction	30	50	0	0	0	0	50	0	0	0	0	0	0	0	0	0	0	80
502.23755-Health Operatio	3,527	0	2,000	0	0	4,540	6,540	0	1,776	2,815	0	0	771	0	0	0	5,362	4,705
503.23900-Inter Recip Pos	210	0	564	0	0	0	564	0	273	70	8	0	168	0	0	45	564	210
503.23901-Hwv Use Tax Adm	1,946	1,000	500	0	0	0	1,500	0	188	202	5	0	105	0	0	0	500	2,946
504.24950-Fan Sports Educ	3,163	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	0	0	8,163
504.24951-Fan Sports Admin	0	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,000)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,062	0	500	0	0	0	500	77	0	0	0	0	0	0	0	0	5,485
339.21902-S P A R C S	6,390	0	6,600	0	0	0	6,600	0	547	588	0	0	372	0	0	4,214	7,269
339.21904-Fire Prev/Code	28,882	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	43,692	0
339.21905-NYS Twp Police	(1,913)	0	3	0	0	0	3	0	1	1	0	0	1	0	0	0	(1,913)
339.21906-DMV Seiz Assets	226	0	0	0	0	0	0	0	0	0	0	0	0	0	0	114	112
339.21907-Mental Hygiene	8,733	0	(100,000)	0	0	3,024,678	2,924,678	1,588,769	712,966	120,237	20,887	0	426,419	0	0	57,400	6,733
339.21909-M H Patient Inc	60,556	0	199	0	0	2,749,320	2,749,519	0	1,486,211	316,676	40,767	0	901,181	0	0	2,637	62,603
339.21911-Fin Cntrl Board	(733)	0	3,044	0	0	0	3,044	0	1,350	913	39	0	742	0	0	0	(733)
339.21912-Reg of Racing	(4,601)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(6,724)
339.21913-NY Metro Trains	(22,970)	0	0	0	0	882	882	0	157	0	32	0	693	0	0	0	(22,970)
339.21914-S U Constr Fund	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108
339.21915-Quality Care	65,099	0	0	0	0	0	0	0	0	0	0	0	0	0	65,099	0	1,008
339.21916-Nurses Aide Reg	1,008	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	328
339.21917-Seized Assets	284	0	50	0	0	0	50	0	0	6	0	0	0	0	0	0	1,191
339.21918-Child Care & Pr	1,131	0	160	0	0	0	160	100	0	0	0	0	0	0	0	0	885
339.21919-Cyber Sec Upgr	885	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,941
339.21920-Cert of Need	10,947	0	2,959	0	0	0	2,959	0	1,565	1,605	0	0	1,026	0	0	7,769	1,941
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,006	0	131	0	0	0	131	0	37	5	0	0	24	0	0	2	1,069
339.21923-DOL Fee Penalty	4,217	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	8,672	4,401
339.21924-Educ Museum	149	0	842	0	0	0	842	0	282	334	8	0	100	0	0	62	205
339.21925-Ns Hm Receivshp	2,844	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,869
339.21926-3rd Party Hlth	453	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	453
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	143	0	684	0	0	0	684	0	111	528	3	0	18	0	0	0	167
339.21930-I Lve NY W Boat	101	0	245	0	0	0	245	0	130	25	3	0	79	0	0	0	109
339.21932-Snowmobile	4,359	0	6,150	0	0	0	6,150	5,450	111	363	9	0	67	0	0	0	4,509
339.21933-Tr Surplus Prop	2,811	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	2,234
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	112,521	136,710	0	0	0	0	0	22,384	38,405
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	2,922	0	6,000	0	0	0	6,000	3,000	703	687	30	0	436	0	0	1,350	2,716
339.21945-Crim Jus Improv	24,978	0	42,724	0	0	0	42,724	32,678	2,733	396	116	0	1,650	0	0	8,596	21,533
339.21948-Farm Prod Insp	672	0	1,390	0	0	0	1,390	0	648	123	17	0	398	0	0	0	876
339.21950-FgprimID&Tech	32,552	0	15,000	0	0	0	15,000	0	0	4,495	0	0	0	0	0	18,504	24,553
339.21953-NY Fire Academy	286	0	468	0	0	0	468	0	278	314	9	0	235	0	0	0	(82)
339.21958-Domestic Awatren	84	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	88
339.21959-Environmental L	2,296	0	3,700	0	0	0	3,700	0	1,486	576	0	0	1,026	0	0	131	2,777
339.21960-HESC Ins Prem P	27,759	0	69,881	0	0	0	69,881	0	13,314	35,316	422	0	8,958	0	0	17,402	22,228
339.21961-Train Mgmt Eval	501	0	2,300	0	0	0	2,300	0	1,541	87	46	0	966	0	0	8	153
339.21962-Clin Lab Refrm	(11,082)	0	18,059	0	0	0	18,059	0	5,541	3,026	0	0	3,558	0	0	4,848	(9,996)
339.21964-Pub Emp Rel Brd	701	0	86	0	0	0	86	0	0	44	0	0	0	0	0	0	743
339.21965-Radio Hlth Prot	2,514	0	4,048	0	0	0	4,048	0	2,074	143	0	0	1,382	0	0	796	2,167
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	890	0	0	0	0	6,000	6,000	0	0	3,791	0	0	0	0	0	0	3,099
339.21968-Educatin Library	135	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	140
339.21969-Teacher Certif	4,339	0	6,600	0	0	0	6,600	0	3,400	643	100	0	1,990	0	0	450	4,356
339.21970-Banking Deptmnt	32,037	0	94,472	0	0	0	94,472	0	50,509	13,049	1,491	0	31,053	0	0	0	30,407
339.21971-Cable TV Acct	5,226	0	3,130	0	0	0	3,130	0	1,361	109	40	0	837	0	0	0	6,009
339.21972-Econ Devel Ass	304	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	304
339.21973-Fin Svcs Seized	708	0	500	0	0	0	500	0	0	250	0	0	0	0	0	0	958
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	3,276	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,065	211
339.21977-Business and Li	44,752	0	86,443	0	0	0	86,443	939	16,619	11,262	491	0	10,217	0	0	66,508	25,159
339.21978-Indir Cost Reco	2,754	0	0	0	0	18,907	18,907	0	9,125	4,362	0	0	5,000	0	0	0	3,174
339.21979-High School Equ	1,021	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,021
339.21980-OTDA Program	1,581	0	0	0	0	500	500	0	0	200	0	0	128	0	0	0	1,753
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	1,828	0	13	0	0	8,500	8,513	0	3,799	2,272	0	0	2,484	0	0	1,343	443
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	12,619	0	0	0	12,619	0	8,000	5,000	0	0	0	0	0	0	(380)
339.21986-Seized Assets	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.21987-Spinal Injury	5,343	0	0	0	0	8,500	8,500	8,200	0	0	0	0	0	0	0	0	5,643
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	13,212	0	0	0	0	7,400	7,400	0	1,551	8,704	46	0	642	0	0	0	9,669
339.21990-OCTF Crime Forf	1,590	0	3,050	0	0	0	3,050	0	0	2,236	0	0	0	0	0	0	2,404
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	260	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	260
339.21993-Radon Detection	402	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	411
339.21994-Insurance Dept	25,864	0	425,317	0	0	0	425,317	58,938	101,374	36,098	2,992	0	62,360	0	0	0	189,419
339.21995-Workers' Compens	88,547	0	226,202	0	0	0	226,202	0	80,878	58,423	2,306	0	51,409	0	0	31,702	90,031
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	7,395	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	7,629
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219B0-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CC-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Slip Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Operns	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic Hl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Child Hlv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	735	0	810	0	0	0	810	0	375	77	12	0	231	0	0	0	850
339.219YL-OGS Bldg Admin	8,079	0	1,166	0	0	0	1,166	0	0	1,014	0	0	0	0	0	0	8,231
339.219YN-OGS Std & Purch	10,401	0	5,660	0	0	0	5,660	0	858	1,881	22	0	427	0	0	3,000	9,873
339.219Z3-MHP/A OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(2,453)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,453)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,602	0	0	0	0	0	0	2,000	182	100	5	0	100	0	0	52	2,663
339.22003-Bell Jar Collec	4	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	1	618
339.22004-Ind & Util Serv	2,930	0	2,547	0	0	0	2,547	0	1,550	0	74	0	846	0	0	0	3,007
339.22008-Courts Special	1,926	0	3,000	0	0	0	3,000	0	0	1,300	0	0	0	0	0	0	3,626
339.22009-Asbestos Trning	(220)	0	330	0	0	0	330	0	287	15	0	0	172	0	0	0	(364)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22011-Public Service	41,656	0	87,082	0	0	0	87,082	0	41,240	6,498	1,216	0	26,424	0	0	5,671	47,689
339.22012-Atty Licensing	8,762	0	30,000	0	0	0	30,000	0	18,100	5,000	0	0	8,400	0	0	0	7,262
339.22014-DSS Prov Recovs	189	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	189
339.22015-Crimes Against	2,846	0	0	0	0	2,000	2,000	3,000	0	0	0	0	0	0	0	0	1,846
339.22017-Camp Smith Bill	47	0	197	0	0	0	197	0	134	9	4	0	9	0	0	0	88
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,290	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,290
339.22022-College Savings	11,883	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	12,255
339.22023-Discover Queens	18	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	19
339.22024-Reven Arrearage	56,156	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	62,268	13,737
339.22025-Comm Svce Assis	8,497	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,497
339.22026-Cell Phone Towe	2,766	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,766
339.22027-Spec Conservy Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	5,099	0	3,333	0	0	0	3,333	0	129	0	4	0	79	0	0	1,822	6,398
339.22029-Plant Industry	(7)	0	529	0	0	0	529	0	274	0	7	0	170	0	0	0	71
339.22032-Baiavia School	(6,118)	0	9,600	0	0	900	10,500	0	5,676	628	167	0	3,574	0	0	0	(5,663)
339.22033-Alcohol Beverag	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22034-Investment Serv	715	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	715
339.22035-Diabetes Resear	14	0	6	0	0	0	6	0	0	45	0	0	0	0	0	0	(25)
339.22037-Keep Kids Drug	46	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	55
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,899)	0	4,304	0	0	0	4,304	0	2,759	243	74	0	1,687	0	0	0	(2,358)
339.22040-Senate Recyclab	559	0	20	0	0	0	20	0	0	50	0	0	0	0	0	0	529
339.22041-Medicaid Fraud	14,110	0	14,000	0	0	0	14,000	0	6,217	2,694	176	0	3,613	0	0	0	15,410
339.22042-DED Marketing A	4,214	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	4,224
339.22044-Tug Hill Admin	83	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	79
339.22045-Settlement Enf	997	0	900	0	0	0	900	550	0	50	0	0	0	0	0	0	1,297
339.22046-Regulation of I	(64,021)	0	13,388	0	0	0	13,388	0	8,293	462	207	0	4,742	0	0	329	(64,666)
339.22047-NYS FLEX Spend	111	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	111
339.22050-Crime Victims B	4	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	4
339.22051-Otc of Professi	32,082	0	47,265	0	0	0	47,265	0	19,327	9,795	570	0	11,989	0	0	6,032	31,634
339.22052-Armory Rental A	2,930	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,930
339.22053-Rome School	(1,590)	0	9,600	0	0	1,020	10,620	0	4,280	652	126	0	2,840	0	0	0	1,132
339.22054-Seized Assets	(8,114)	0	0	0	0	45	45	0	0	0	0	0	0	0	0	0	(8,069)
339.22055-Traf Adjudican	(8,386)	0	44,500	0	0	0	44,500	0	20,024	8,870	583	0	12,105	0	0	2,288	(7,756)
339.22056-Fed Salary Shar	(1)	0	2,637	0	0	2,637	2,637	419	1,287	0	37	0	893	0	0	0	0
339.22057-Cook/Chill Acco	2,022	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	2,022
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45	(2)
339.22062-NYC Assessment	18,883	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	22,553	0	0	0	13,129
339.22063-Cultural Educat	(2,753)	0	26,775	0	0	0	26,775	0	11,872	5,400	350	0	7,112	0	0	1,976	(2,688)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	2,010	0	3,150	0	0	0	3,150	0	334	409	11	0	88	0	0	1,961	2,357
339.22067-Trans Regul Acc	14,709	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,026	12,683
339.22068-Cons Prot Acct	1,958	0	91	0	0	0	91	0	236	77	7	0	145	0	0	0	1,584
339.22070-OER NASDER	(4)	0	470	0	0	0	470	0	215	9	0	0	111	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,974	0	470	0	0	0	470	0	0	9	0	0	0	0	0	95	2,014
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	44	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	44
339.22078-Local Services	1,063	0	1,153	0	0	0	1,153	0	722	0	19	0	373	0	0	0	1,102
339.22080-Adult Shelter	15,572	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	18,172
339.22081-QAA Earned Rev	396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	396
339.22082-Family Pres Svc	2,468	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	3,354
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	3	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(35)
339.22085-DHCR Mortgage S	(6,495)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(7,080)
339.22086-OMH-Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	8,655	0	24,900	0	0	0	24,900	990	10,773	6,589	0	0	7,022	0	0	3,960	4,221
339.22089-Hway Const & Ma	1,643	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,768
339.22090-Housing Indirec	(4,651)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	(1,584)
339.22091-Adult Home Qual	1,669	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	1,841

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	3,849	0	15	0	0	0	15	0	0	0	0	0	0	0	0	3,662	202
339.22095-IG Szrd Assets	99	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	99
339.22096-Leg Svcs Assist	30,927	0	20,500	0	0	0	20,500	13,200	0	0	0	0	0	0	0	9,545	28,682
339.22097-Loc Pub Hlth	5,267	0	84	0	0	0	84	0	200	4	0	0	121	0	0	59	4,967
339.22098-Local Dist Trai	0	0	0	0	0	0	0	0	0	295	0	0	0	0	0	0	(295)
339.22099-Voting Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22100-DHCR HCA Applic	(1,734)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,567	0	0	489	(1,665)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	48	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	45
339.22103-Vital Records M	7,100	0	4,840	0	0	0	4,840	0	656	460	0	0	401	0	0	4,687	5,736
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	227	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,048	0	267	0	0	0	267	0	0	0	0	0	0	0	0	0	1,088
339.22109-Conference & Sp	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22110-Assisted Living	1,257	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,257
339.22111-OCES Program	743	0	0	0	0	0	0	0	0	632	0	0	0	0	0	0	111
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	13,235	0	69,952	0	0	0	69,952	0	21,911	33,799	646	0	13,471	0	0	0	13,360
339.22118-Animal Populati	296	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	284
339.22119-Love Your Libra	67	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	73
339.22122-Local Wireless	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
339.22123-Pub Safe Commun	65,828	0	112,000	0	0	0	112,000	22,460	16,205	36,605	0	0	0	0	0	63,868	38,690
339.22124-Cuba Lake Mgmt	160	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	154
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Act	1,400	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,400
339.22130-Low Inc Housing	3,982	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	1,272	0	0	150	3,857
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22133-Procure Op News	410	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	235
339.22134-OVS RESTITUTION	669	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	669
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	945	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	885
339.22137-Pet Dealer	93	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	125
339.22138-Auth Bldg Office	1,323	0	2,088	0	0	1,826	3,914	0	917	254	27	0	596	0	45	0	3,398
339.22139-Patient Safety	3,253	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,253
339.22140-Helen Hayes Hos	11,078	0	115	0	0	59,583	59,698	0	37,154	20,902	0	0	1,000	0	1,585	0	10,135
339.22141-NYC Veterans	3,261	0	350	0	0	30,837	31,187	0	14,258	8,538	0	0	8,025	0	392	0	3,235
339.22142-NYS Home-Vetera	2,627	0	120	0	0	23,669	23,789	0	16,850	6,176	0	0	0	0	581	0	2,809
339.22143-WNY Vets Home	1,081	0	55	0	0	12,708	12,763	0	8,656	4,245	0	0	0	0	132	0	811
339.22144-Montrose S V H	3,742	0	30	0	0	27,785	27,815	0	17,260	7,588	0	0	0	0	295	0	6,414
339.22145-DOH Hospital Ho	2	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	123,745	0	(552)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	7,662	0	1,387	0	0	0	1,387	343	0	0	0	0	0	0	0	0	8,706
339.22149-Motor Fuel Qual	1,176	0	2,800	0	0	0	2,800	0	1,132	1,214	30	0	696	0	0	0	904
339.22150-Weights Measure	147	0	325	0	0	0	325	0	221	101	6	0	136	0	0	0	8
339.22151-Defier Comp Adm	(244)	0	820	0	0	0	820	0	378	183	23	0	205	0	0	0	(213)
339.22152-Hazard Abatemen	930	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	980
339.22153-Education Stais	262	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	317
339.22154-Real Estate Fin	4,944	0	1,693	0	0	0	1,693	0	1,048	1,381	31	0	645	0	0	0	3,532
339.22156-NYC Rent Rev	1,760	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	11,426	0	11,115	0	(4,127)
339.22157-Medicaid Income	408	0	550	0	0	0	550	0	380	0	0	0	0	0	0	0	408
339.22158-Rent Revenue	(462)	0	0	0	0	0	0	0	0	0	10	0	212	0	0	0	(514)
339.22159-CSFP Salvage Ac	62	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	62
339.22161-ES Slem Cell Tr	562	0	0	0	0	30,555	30,555	0	458	26,261	0	0	324	0	0	510	3,564
339.22162-Systems & Tech	9,019	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	9,449
339.22163-Patron Services	5,135	0	72,235	0	0	0	72,235	0	29,091	35,822	28	0	2,831	0	0	3,992	5,606
339.22165-Trans Aviati	1,607	0	3,660	0	0	0	3,660	0	132	4,709	4	0	81	0	0	0	341
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	300	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	300
339.22168-Tax Rev Arrear	3,416	0	0	0	0	3,000	3,000	0	0	1,500	0	0	0	0	0	0	4,916

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22169-TSCR Account	45,820	0	200,000	0	0	0	200,000	74,800	0	0	0	0	0	0	0	121,200	49,820
339.22170-Statewide Gamini	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,259	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,199
339.22172-Undrgrnd Sfty T	1,353	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	1,288
339.22173-Vol Fire Rec&Re	796	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	796
339.22174-HAVA Match	1,568	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,568
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,212	0	9,000	0	0	0	9,000	9,560	318	11	0	0	207	0	0	76	40
339.22178-Crim Back Check	381	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	381
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
339.22182-OWIG Adm Reimb	3,174	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,174
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	665	0	40	0	0	0	40	0	0	50	0	0	0	0	0	0	655
339.22186-Yth Fac PerDiem	99,869	0	55,000	0	0	0	55,000	832,000	0	0	0	0	0	0	0	154,869	0
339.22187-Provider Assess	200	0	832,000	0	0	0	832,000	0	0	0	0	0	0	0	0	0	200
339.22188-Fed Indirect Re	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27
339.22189-DOCS Asset Forf	367	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	367
339.22190-Conference&Sign	108	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	108
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	4,836	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,836
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,401	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,401
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	511	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	511
339.22198-HEP	79	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(221)
339.22199-Airport Securit	25	0	0	0	0	0	0	5,000	0	0	0	0	0	0	0	0	25
339.22202-SBCI Account	13	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	1	0	0	0	0	0	0	78	0	0	0	0	0	0	0	0	(77)
339.22206-Wholesale Mkt	8,610	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	7,910
339.22207-Tech Financing	5,353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,353
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	86	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	86
339.22213-BOE Enforcement	152	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	152
339.22214-Fireworks Reven	486	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	706
339.22215-Delivery Transf	84	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	84

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	(30)	(31,050)	144,047	6,242	(84,302)	14	147,159	164	668	3,328
Receipts:										
Taxes	0	1,208,200	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,378,114	1,354,790	90	2,328	123,265	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
Total Receipts	4,378,114	2,567,882	90	2,328	123,265	0	153,750	0	0	0
Disbursements:										
Local Assistance	3,690,548	78,757	0	0	0	0	2,033	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,198,913	2,168,736	50,000	2,328	123,100	0	215,242	0	0	0
Total Disbursements	5,889,461	2,247,493	50,000	2,328	123,100	0	217,275	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	1,513,638	1,077,572	50,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,325)	(1,398,190)	(2,500)	0	0	0	0	(25)	(200)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	200	0
Net Other Financing Sources (Uses)	1,511,313	(320,618)	47,500	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	(229)	(2,410)	0	165	14	(35,525)	0	0	0
Closing Fund Balance	(64)	(31,279)	141,637	6,242	(84,137)	14	111,634	164	668	3,328

	ENVIRONMENTAL QUALITY PROTECTION (30640-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION IMPROVEMENTS BOND (30660-30669)	ENVIRONMENTAL BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL PROJECTS (31500-31449)	FOREST PLANTATION EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,451	21,606	4,255	5,576	2,778	1,428	(569,606)	906	(156,029)	510
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	717	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,264,731	0	0	0
Total Receipts	0	0	0	0	0	0	2,265,448	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	15	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,166,311	10	104,882	0
Total Disbursements	0	0	0	0	0	0	1,872,292	10	104,897	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(750)	(407,312)	(25)	(2,260)	(25)	(4,000)	(372,379)	0	(28,849)	0
Bond & Note Proceeds	750	407,312	25	4,260	25	15,000	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	2,000	0	11,000	(372,379)	0	(13,349)	0
Change in Fund Balance	0	0	0	2,000	0	11,000	20,777	0	(14,996)	0
Closing Fund Balance	1,451	21,606	4,255	7,576	2,778	12,428	(548,829)	906	(171,025)	510

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
 FY 2018
 (thousands of dollars)

	DIVISION FOR SCHOOL FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(15,112)	(13,631)	(125,087)	19,172	(12,616)	184,345	45,636	(25)	(481,741)	(186,715)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	29,031	0	167,652	1,000	0	110,000	21,620	0	223,890	264,564
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	29,031	0	167,652	1,000	0	110,000	21,620	0	223,890	264,564
Disbursements:										
Local Assistance	0	0	168,227	47	0	0	0	0	122,567	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	29,031	0	168,227	970	0	110,000	57,500	0	106,573	363,322
Total Disbursements	29,031	0	168,227	1,017	0	110,000	57,500	0	229,140	363,322
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	27,500	25,000	0	5,250	98,758
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	27,500	25,000	0	5,250	98,758
Change in Fund Balance	0	0	(17)	(17)	0	27,500	(10,880)	0	0	0
Closing Fund Balance	(15,112)	(13,631)	(125,087)	19,155	(12,616)	211,845	34,756	(25)	(481,741)	(186,715)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(56,524)	82,648	0	(1,060,535)	0	(1,060,535)
Receipts:							
Taxes	0	0	0	0	1,327,300	0	1,327,300
Miscellaneous Receipts	0	18,181	0	301	6,833,453	0	6,833,453
Federal Grants	0	0	0	0	2,269,623	0	2,269,623
Total Receipts	0	18,181	0	301	10,430,376	0	10,430,376
Disbursements:							
Local Assistance	0	0	265,000	0	5,033,175	0	5,033,175
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	18,181	1,297,471	0	8,012,570	0	8,012,570
Capital Projects	0	18,181	1,562,471	0	13,045,745	0	13,045,745
Total Disbursements	0	36,362	1,562,471	0	13,045,745	0	13,045,745
Other Financing Sources (Uses):							
Transfers from Other Funds	(575,000)	0	1,562,471	0	4,404,264	(1,354,648)	3,049,616
Transfers to Other Funds	560,000	0	0	0	(2,793,865)	1,354,648	(1,439,217)
Bond & Note Proceeds	0	0	0	0	987,622	0	987,622
Net Other Financing Sources (Uses)	(15,000)	0	1,562,471	0	2,598,021	0	2,598,021
Change in Fund Balance	(15,000)	0	0	301	(17,348)	0	(17,348)
Closing Fund Balance	(15,000)	(56,524)	82,648	301	(1,077,883)	0	(1,077,883)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2018

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	116,564	0	0	27,796	(1)	0	144,359	0	144,359
Receipts:									
Taxes	0	15,754,982	0	0	1,084,900	3,409,650	20,249,532	0	20,249,532
Miscellaneous Receipts	310,406	0	4,715	143,702	0	500	459,323	0	459,323
Federal Grants	0	73,364	0	0	0	0	73,364	0	73,364
Total Receipts	310,406	15,828,346	4,715	143,702	1,084,900	3,410,150	20,782,219	0	20,782,219
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	2,778	28,919	0	2,246	0	3,309	37,252	0	37,252
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	171,014	4,800,563	5,715	26,555	0	287,737	5,291,584	0	5,291,584
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	173,792	4,829,482	5,715	28,801	0	291,046	5,328,836	0	5,328,836
Other Financing Sources (Uses):									
Transfers from Other Funds	1,345,260	2,686,168	1,000	42,069	0	0	4,074,497	(341,508)	3,732,989
Transfers to Other Funds	(1,481,874)	(13,685,034)	0	(154,028)	(1,084,900)	(3,119,004)	(19,524,840)	341,508	(19,183,332)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(136,614)	(10,998,866)	1,000	(111,959)	(1,084,900)	(3,119,004)	(15,450,343)	0	(15,450,343)
Change in Fund Balance	0	(2)	0	2,942	0	100	3,040	0	3,040
Closing Fund Balance	116,564	(2)	0	30,738	(1)	100	147,399	0	147,399

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(903)	0	63,652	0	0	0	63,652	0	29,251	15,423	740	0	15,111	0	0	1,866	62,391	358
323.55020-OGS Ent Contr	(60,853)	0	200,000	0	0	0	200,000	0	600	199,074	16	0	261	0	0	0	199,951	(60,804)
323.55022-Business Svc Ct	882	0	13,169	0	0	0	13,169	0	5,686	5,000	321	0	1,706	0	0	0	12,713	1,338
323.5500X-Misc Centr Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.5502X-OGS Exec Direct	(18,837)	0	142,837	0	0	0	142,837	0	4,447	129,208	107	0	990	0	0	28,268	163,020	(17,237)
323.5502Y-OGS Bkfg Admin	2,052	0	26,429	0	0	0	26,429	0	2,231	19,876	49	0	646	0	0	0	22,802	5,679
323.5502Z-OGS Sfd & Purch	(1,419)	0	11,954	0	0	0	11,954	0	3,211	5,430	78	0	1,041	0	0	0	9,760	775
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	76	0	1,627	0	0	0	1,627	0	920	114	27	0	477	0	0	0	1,538	165
334.55053-Fedl Single Aud	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,017	0	5,963	0	0	0	5,963	0	2,772	500	84	0	1,229	0	0	1,651	6,236	1,744
334.55056-EHS Occup Hlth	31	0	870	0	0	0	870	0	631	507	18	0	523	0	0	8	1,687	(786)
334.55057-Banking Service	(8)	0	500	0	0	0	53,935	0	0	50,610	0	0	0	0	0	0	50,610	3,317
334.55058-Cult Resources	(4,654)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	822	0	0	284	6,659	(3,984)
334.55059-Neighbor Work P	(9,717)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(9,717)
334.55060-Auto/Print Chgb	1,438	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,838	0	0	0	17,602	1,449
334.55061-NYT Account	(3,291)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(3,291)
334.55062-State Data Ctr	(54,400)	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	(54,400)
334.55063-Human Svcs Tele	12,449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,449
334.55065-OPWDD Copy Cent	717	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	717
334.55066-Intrusion Detec	(1,244)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,244)
334.55067-Dom Violence Cr	(104)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(104)
334.55068-Statewide Train	106	0	0	0	0	0	0	0	0	150	0	0	0	0	0	0	150	(44)
334.55069-Centralized Tec	(14,801)	0	30,000	0	0	13,060	43,060	0	0	30,000	0	0	908	0	0	0	30,908	(2,649)
334.55070-Learning Mgmt S	1,769	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	102
334.55071-Labor Cont Ctr	(139)	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	3,018	0	0	0	15,228	2,950
334.55072-HS Cont Ctr	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550CR-Civil Recover	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550MI-Personnel Mgmt	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
334.550PF-Public Financg	308	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	338
343.55100-Mental Hygiene	57	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	82
347.55150-DFY Voc Educatn	1,467	0	2,000	0	0	0	2,000	0	912	406	30	0	322	0	0	0	1,670	1,797
394.55200-Joint Labor-Mgt	(5,008)	0	1,950	0	0	0	1,950	0	2,290	577	541	40	756	0	0	0	4,204	(7,262)
395.55251-Ex Dir Intl Aud	(10,625)	0	17,000	0	0	0	17,000	0	5,100	12,700	71	0	6,561	0	0	0	24,432	(18,057)
395.55252-CIO INFO TECH C	(8,177)	0	14,121	0	0	8,083	22,204	0	9,150	1,700	270	0	4,411	0	0	3,428	18,959	(4,932)
396.55300-Health Insuranc	(9,360)	0	4,500	0	0	0	4,500	0	1,850	335	56	0	1,000	0	0	639	3,880	(8,740)
396.55301-CS EBD Adm Reim	(22,664)	0	49,000	0	0	12,000	61,000	0	17,828	35,930	535	0	12,011	0	0	357	66,661	(28,325)
397.55350-Correctional In																		

CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	144	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	144
325.50050-State Fair Rece	886	0	14,300	0	0	0	14,300	0	4,048	8,496	107	0	2,489	0	0	0	15,140	46
326.50100-DOCS Commissary	3,229	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,311
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	13	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	(3)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	172	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matris	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	196
331.50311-Arts & Crafts	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
331.50313-TRAND Services	29	0	1,222	0	0	0	1,222	0	609	166	15	0	426	0	0	0	1,216	35
331.50318-Convention Ctr	1,297	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,291
331.50319-Artica Emp Mess	84	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	75
331.50322-Asset Preservat	798	0	629	0	0	0	629	0	123	455	2	0	63	0	0	0	643	784
331.50323-Farm Program	(72)	0	300	0	0	0	300	0	105	131	3	0	18	0	0	0	257	(29)
331.50327-Emp Plz Gift Sh	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.5032Z-DDPC Pub Act	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	80	0	1,642	0	0	1,000	9,234	(1,142)
331.OGSEF-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	13	0	0	0	875	(1)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	0	0	63	0	0	0	168	(63)
351.50400-OMH Sheit Wikshs	2,175	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,175
352.50450-MR Shel Wikshop	1,793	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,693
353.50500-MH & MR Communi	4,152	0	2,200	0	0	0	2,200	0	383	1,172	10	0	223	0	0	0	1,788	4,564
353.50516-MR Community St	145	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	142
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-UJ Benefit Fnd	863,566	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,566
481.50651-Interest Assess	5,147	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,147
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>2,462,615</u>	<u>3,222,652</u>	<u>3,459,417</u>	<u>3,592,779</u>	<u>3,633,861</u>	<u>3,564,772</u>
REVENUE BONDS						
Personal Income Tax	31,782,820	34,022,079	37,573,524	40,983,202	43,479,116	45,363,039
Sales Tax	5,008,165	6,047,205	6,965,336	8,022,753	8,892,750	9,918,529
Dedicated Highway	2,264,035	2,126,185	1,952,995	1,759,375	1,327,415	1,193,090
Mental Health Services	754,125	598,645	486,965	393,605	309,865	248,930
SUNY Dorms	649,780	393,740	367,930	293,355	157,300	7,050
Health Income	184,120	165,850	147,680	128,610	108,620	88,320
LGAC	1,758,175	1,465,680	1,241,285	900,205	543,270	303,265
Subtotal Revenue Bonds	<u>42,401,220</u>	<u>44,819,384</u>	<u>48,735,715</u>	<u>52,481,105</u>	<u>54,818,335</u>	<u>57,122,223</u>
SERVICE CONTRACT	<u>4,757,704</u>	<u>3,888,172</u>	<u>3,213,793</u>	<u>2,702,770</u>	<u>2,151,053</u>	<u>1,790,451</u>
TOTAL STATE-SUPPORTED	<u>49,621,539</u>	<u>51,930,209</u>	<u>55,408,926</u>	<u>58,776,654</u>	<u>60,603,249</u>	<u>62,477,447</u>
BY PROGRAM AREA						
Economic Development & Housing	5,576,723	5,749,605	6,288,050	6,889,153	7,485,834	8,081,869
Education	16,362,178	17,219,875	18,218,401	19,095,155	19,662,171	19,961,208
Environment	2,292,323	2,757,558	3,163,619	3,583,789	3,856,988	4,048,941
Health & Mental Hygiene	4,119,770	4,393,732	4,924,673	5,503,865	5,941,882	6,368,145
State Facilities & Equipment	5,320,448	5,284,428	5,406,670	5,515,085	5,457,981	5,484,565
Transportation	14,191,922	15,059,331	16,166,227	17,289,402	17,655,123	18,229,454
LGAC	1,758,175	1,465,680	1,241,285	900,205	543,270	303,265
TOTAL STATE-SUPPORTED	<u>49,621,539</u>	<u>51,930,209</u>	<u>55,408,926</u>	<u>58,776,654</u>	<u>60,603,249</u>	<u>62,477,447</u>

STATE DEBT OUTSTANDING
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
SUBTOTAL STATE-SUPPORTED	<u>49,621,539</u>	<u>51,930,209</u>	<u>55,408,926</u>	<u>58,776,654</u>	<u>60,603,249</u>	<u>62,477,447</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	220,040	193,190	165,020	135,480	104,395	77,635
Tobacco Settlement Financing Corp.	659,865	0	0	0	0	0
Moral Obligation						
Housing Finance Agency	1,400	800	155	0	0	0
State Guaranteed Debt						
Job Development Authority	3,085	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	203,375	171,605	138,605	104,165	67,985	30,000
SUBTOTAL OTHER STATE	<u>1,087,765</u>	<u>365,595</u>	<u>303,780</u>	<u>239,645</u>	<u>172,381</u>	<u>107,635</u>
GRAND TOTAL STATE-RELATED	<u>50,709,304</u>	<u>52,295,804</u>	<u>55,712,706</u>	<u>59,016,299</u>	<u>60,775,630</u>	<u>62,585,082</u>

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>379,986</u>	<u>334,844</u>	<u>384,890</u>	<u>408,326</u>	<u>424,798</u>	<u>445,592</u>
REVENUE BONDS						
Personal Income Tax	2,990,728	2,816,304	3,600,726	4,235,627	4,689,308	4,958,703
Sales Tax	569,097	629,901	739,515	694,062	986,502	928,957
Dedicated Highway	251,147	280,069	292,901	521,982	201,818	182,557
Mental Health Services	192,864	171,014	138,548	115,160	101,016	74,165
Health Income	25,572	26,555	26,166	26,158	25,821	24,799
LGAC	<u>368,408</u>	<u>287,737</u>	<u>394,328</u>	<u>394,312</u>	<u>261,557</u>	<u>136,719</u>
Subtotal Revenue Bonds	<u>4,397,816</u>	<u>4,211,581</u>	<u>5,192,185</u>	<u>5,987,301</u>	<u>6,266,023</u>	<u>6,305,900</u>
SERVICE CONTRACT	<u>706,429</u>	<u>730,659</u>	<u>850,493</u>	<u>695,549</u>	<u>665,495</u>	<u>494,796</u>
TOTAL STATE-SUPPORTED	<u>5,484,231</u>	<u>5,277,084</u>	<u>6,427,567</u>	<u>7,091,175</u>	<u>7,356,315</u>	<u>7,246,288</u>
BY PROGRAM AREA						
Economic Development & Housing	788,429	850,500	1,070,974	1,093,823	1,007,043	947,905
Education	1,318,557	1,306,625	1,550,160	1,756,984	1,870,159	2,044,069
Environment	271,089	251,188	346,892	378,715	491,296	543,959
Health & Mental Hygiene	489,755	443,815	616,591	636,160	726,749	700,391
State Facilities & Equipment	593,518	585,485	626,353	664,113	769,531	669,231
Transportation	1,654,475	1,551,735	1,822,268	2,167,068	2,229,979	2,204,015
LGAC	<u>368,408</u>	<u>287,737</u>	<u>394,328</u>	<u>394,312</u>	<u>261,557</u>	<u>136,719</u>
TOTAL STATE-SUPPORTED	<u>5,484,231</u>	<u>5,277,084</u>	<u>6,427,567</u>	<u>7,091,175</u>	<u>7,356,315</u>	<u>7,246,288</u>

STATE DEBT SERVICE
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
SUBTOTAL STATE-SUPPORTED	5,484,231	5,277,084	6,427,567	7,091,175	7,356,315	7,246,288
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	49,217	37,845	37,853	37,844	37,859	31,857
Tobacco Settlement Financing Corp.	768,365	676,288	0	0	0	0
Moral Obligation						
Housing Finance Agency	695	700	697	161	0	0
State Guaranteed Debt						
Job Development Authority	3,416	3,252	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	40,966	40,986	40,964	41,204	41,263	41,265
SUBTOTAL OTHER STATE	<u>862,660</u>	<u>759,071</u>	<u>79,513</u>	<u>79,209</u>	<u>79,122</u>	<u>73,122</u>
GRAND TOTAL STATE-RELATED	<u>6,346,891</u>	<u>6,036,155</u>	<u>6,507,081</u>	<u>7,170,385</u>	<u>7,435,437</u>	<u>7,319,410</u>

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>0</u>	<u>989,622</u>	<u>479,771</u>	<u>385,106</u>	<u>299,218</u>	<u>204,825</u>
REVENUE BONDS						
Personal Income Tax	1,977,810	3,871,242	5,368,519	5,652,640	5,011,444	4,525,288
Sales Tax	<u>1,089,370</u>	<u>1,380,550</u>	<u>1,326,720</u>	<u>1,366,521</u>	<u>1,407,517</u>	<u>1,449,742</u>
Subtotal Revenue Bonds	<u>3,067,180</u>	<u>5,251,792</u>	<u>6,695,239</u>	<u>7,019,162</u>	<u>6,418,961</u>	<u>5,975,030</u>
TOTAL STATE-SUPPORTED	<u>3,067,180</u>	<u>6,241,414</u>	<u>7,175,010</u>	<u>7,404,268</u>	<u>6,718,179</u>	<u>6,179,855</u>
BY PROGRAM AREA						
Economic Development & Housing	1,140,830	940,961	1,333,756	1,376,372	1,248,836	1,148,767
Education	259,550	1,795,050	1,670,949	1,724,340	1,564,560	1,439,193
Environment	176,190	663,454	615,080	634,733	575,918	529,770
Health & Mental Hygiene	247,815	618,768	913,350	942,533	855,197	786,670
State Facilities & Equipment	202,245	323,635	477,711	492,975	447,295	411,454
Transportation	1,040,550	1,899,544	2,164,165	2,233,314	2,026,373	1,864,001
SUBTOTAL STATE-SUPPORTED	<u>3,067,180</u>	<u>6,241,414</u>	<u>7,175,010</u>	<u>7,404,268</u>	<u>6,718,179</u>	<u>6,179,855</u>

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	264,845	229,585	243,006	251,745	258,135	273,914
REVENUE BONDS						
Personal Income Tax	1,502,070	1,533,267	1,817,075	2,242,962	2,515,530	2,641,364
Sales Tax	335,225	341,510	408,589	309,104	537,520	423,963
Dedicated Highway	187,040	137,850	173,190	193,620	431,960	134,325
Mental Health Services	147,140	137,425	111,680	93,360	83,740	60,935
SUNY Dorms	32,395	29,835	25,810	21,935	19,095	15,885
Health Income	17,570	18,270	18,170	19,070	19,990	20,300
LGAC	300,225	292,495	224,395	341,080	356,935	240,005
Subtotal Revenue Bonds	<u>2,521,665</u>	<u>2,490,652</u>	<u>2,778,909</u>	<u>3,221,132</u>	<u>3,964,771</u>	<u>3,536,777</u>
SERVICE CONTRACT	711,932	832,532	674,379	511,023	551,717	360,601
TOTAL STATE-SUPPORTED	<u>3,498,442</u>	<u>3,552,769</u>	<u>3,696,293</u>	<u>3,983,900</u>	<u>4,774,623</u>	<u>4,171,293</u>
BY PROGRAM AREA						
Economic Development & Housing	633,168	740,249	795,310	775,269	652,155	552,732
Education	586,686	632,563	672,424	794,946	880,584	1,005,791
Environment	198,494	194,350	209,018	214,563	302,719	337,818
Health & Mental Hygiene	334,070	326,721	382,408	363,341	417,180	360,408
State Facilities & Equipment	347,047	359,631	355,468	384,561	504,399	384,869
Transportation	1,098,751	1,006,760	1,057,269	1,110,139	1,660,652	1,289,670
LGAC	300,225	292,495	224,395	341,080	356,935	240,005
TOTAL STATE-SUPPORTED	<u>3,498,442</u>	<u>3,552,769</u>	<u>3,696,293</u>	<u>3,983,900</u>	<u>4,774,623</u>	<u>4,171,293</u>

STATE DEBT RETIREMENTS
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
SUBTOTAL STATE-SUPPORTED	<u>3,498,442</u>	<u>3,552,769</u>	<u>3,696,293</u>	<u>3,983,900</u>	<u>4,774,623</u>	<u>4,171,293</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	36,485	26,850	28,170	29,540	31,085	26,760
Tobacco Settlement Financing Corp.	717,770	659,865	0	0	0	0
Moral Obligation						
Housing Finance Agency	550	600	645	155	0	0
State Guaranteed Debt						
Job Development Authority	3,085	3,085	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	30,295	31,770	33,000	34,440	36,180	37,985
SUBTOTAL OTHER STATE	<u>788,185</u>	<u>722,170</u>	<u>61,815</u>	<u>64,135</u>	<u>67,266</u>	<u>64,745</u>
GRAND TOTAL STATE-RELATED	<u>4,286,627</u>	<u>4,274,939</u>	<u>3,758,108</u>	<u>4,048,035</u>	<u>4,841,889</u>	<u>4,236,038</u>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		New York State Commercial Gaming Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart School Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

